

HUMAN RESOURCES MEMORANDUM 15-027		DATE ISSUED: November 13, 2015
SUBJECT: PAL Policy; Timely Entry and Approval		REFERENCE:
TO: Director, Chief Deputy Director, Deputy Directors, Office/Branch Chiefs, Managers, Supervisors, Personnel Liaisons and Attendance Clerks		SUPERCEDES: <i>HR Memo 13-005</i>

PLEASE ENSURE THAT THIS INFORMATION IS SHARED WITH YOUR EMPLOYEES

Introduction This replaces HR Memo 13-005 regarding PAL policy and includes revisions to the dock cutoff date for all employees and a change to overtime reporting for BU 12 and 13 employees.

PAL Approval Policy Departmental policy requires monthly employees to enter and submit their PAL timesheet no later than the last day of the pay period. This occurs twice a month for Semi-monthly employees.

Supervisors are expected to approve submitted timesheets by the end of the next workday. Reporting dock requires additional approval as clarified in the Dock section of this document.

The Office of Human Resources (OHR) encourages offices/branches to establish internal policies that would require more frequent recording of time. Once the policy has been established, we also request that each office/branch distribute the policy to all staff members.

Background Untimely PAL approvals, inadequate timesheet reviews and reporting of late dock are causing the following adverse effects:

- Inaccurate PAL leave balances
- Delays of overtime, shift and positive pay
- Re-work for supervisors, their staff and OHR

Regularly scheduled interfaces extract approved PAL records. They are then submitted electronically to the State Controller's Office (SCO) to update leave balances and process overtime, shift and positive pay. When timesheets are not approved prior to interface dates, leave balances are not updated, and pay is not submitted for processing.

Supervisor's Role

Managers and supervisors must ensure their staff's time is submitted timely, thoroughly and accurately. This includes confirming:

- Working projects are entered accurately
- Proper leave aliases are being used
- Proper expenditures are used for regular work and overtime
- Assuring the employee has sufficient leave available for the usage

For employees that have no computer access or are unavailable to complete their timesheet, PAL time can be entered by the office attendance clerk or supervisors with enhanced PAL access.

Attendance Clerk Role

Attendance clerks should monitor their program's timesheets through the ABMS PAL Timesheet History screen and follow up with managers and supervisors until:

- all dock is entered and approved by 11:00 a.m. on the SCO Master Payroll Cutoff, and
- all timesheets are entered and approved at the end of the pay period.

The ABMS PAL Timesheet History screen is located on the ABMS Main Menu under the View Lists menu item. Double click on View Lists, and then select PAL Timesheet History.

Execute the report two days prior to each deadline (including dock cut-off) to inform Managers/Supervisors of all employees who have unapproved timesheets. Unapproved timesheets include the following PAL statuses: "W" – Working; "S" – Submitted; "R" – Rejected; and "M" – Missing. Continue to use the ABMS PAL Timesheet History screen until all of the timesheets within your office are approved.

Detailed instructions on the ABMS PAL Timesheet History screen can be found in the ABMS Attendance Clerk user guide on the ABMS website at <http://inside.dgs.ca.gov/abms/UserGuides.aspx>.

The PAL user guide for Attendance Clerks can be found in the same location and includes instructions for completing the PAL timesheet, understanding PAL statuses, creating Personal Aliases and entering time for employees.

Absences at Approval

Supervisors with enhanced PAL access or attendance clerks can enter and submit time on behalf of absent employees at the close of the pay period.

Supervisors unavailable to approve time by the designated time frames should direct their employees to route their timesheet to another supervisor for approval. To do this, employees can change the PAL Approver field to the available supervisor and press the Submit button – even if the timesheet was already submitted.

Reporting Dock

The Dock process is used to reduce (or dock) an employees pay warrant when there are insufficient leave benefits to cover an employee’s absence. The process requires supervisors to enter and/or approve all known dock in PAL by the SCO Master Payroll Cutoff. Please note that this cutoff date is approximately one week prior to the end of the pay period and can be found in the PAL Payroll Calendar located on the PAL website at <http://inside.dgs.ca.gov/pal/Home/PayrollCalendar.aspx>.

Reporting dock after the SCO Master Payroll Cutoff is considered Late Dock and for most bargaining units, requires returning the pay warrant to SCO for redeposit and issuing a salary advance within two to five business days after payday. When an employee’s pay warrant is re-deposited, payroll deductions are also re-deposited including medical benefits and payments, such as house and/or car payments, via payroll deduction.

Please refer to the guidelines below for reporting Initial and Late Dock:

<p><u>Initial Dock</u> (Prior to SCO Master Payroll Cutoff)</p>	<p><u>Approve by 11:00 a.m. on SCO Master Payroll Cutoff</u></p> <p>Supervisors must enter and approve all known dock in PAL for the <u>entire pay period</u> by 11:00 a.m. on the SCO Master Payroll Cutoff. Doing so allows OHR to intercept and adjust the employee’s payroll warrant before SCO runs payroll.</p> <p>Do not enter dock for employees if:</p> <ul style="list-style-type: none"> • There are eligible leave credits to cover the absence (except when reporting dock for an adverse situation), or • The period is covered by the employee’s <u>approved</u> disability leave. <p>Do not enter future dates of dock if you anticipate your employee returning to work.</p>
<p><u>Late Dock</u> (After SCO Master Payroll Cutoff)</p>	<p>After the Initial Dock period, supervisors must continue to enter and approve dock <u>daily</u> through the end of the pay period. Late dock entries shall then be reported immediately to your OHR Personnel Specialist to ensure your employee is not overpaid.</p>

Overpayments occur when supervisors fail to report dock as indicated above or knowingly release pay warrants for which the employee is not entitled. This initiates the Accounts Receivable process which takes two or more months to resolve and can cause hardships for your employee.

**Recording
Overtime;
Excluding
BU 12 & 13**

Employees in Work Week Group (WWG) 2 are entitled to premium overtime when they have physically worked more than 40 hours in the work week. When overtime occurs in the same week as leave, dock or an observed holiday, the overtime hours shall be posted to the PAL OTS (overtime straight) expenditures until the combined straight hours worked and overtime hours meet the 40 hour work week requirement. Overtime extending beyond the 40 physical hour requirement is then recorded to the appropriate premium expenditure.

**Recording
Overtime;
BU 12 & 13
Employees**

Bargaining Unit 12 and 13 employees in WWG 2 who are mandated to work overtime during a week with approved, pre-scheduled leave, other than sick leave, will earn premium overtime compensation when the combined hours worked, overtime, and pre-scheduled leave, other than sick leave, are in excess of 40 hours for the work week. Voluntary overtime does not qualify for premium compensation under this provision.

When reporting mandatory overtime in PAL during a week with approved leave as described above, the employee's supervisor or manager must notate the dates and hours of mandatory overtime in the PAL Approver Comment field. If no notation is made, OHR will request a correction to the timesheet which will delay the overtime payment.

**Recording
Overtime;
Last Week of
the Pay
Period**

When WWG 2 employees work overtime during the last week of a pay period that ends on a day other than Saturday, the remainder of the week in the new pay period must be entered and approved by the end of that work week in order for the interface to extract the overtime and submit it to SCO for processing. Doing so will avoid a delay in overtime payment.

PAL Balances

PAL balances are provided from SCO on a monthly basis and may reflect inaccurately for the following reasons:

- Unapproved leave usage at the time of the interface
- Changes to leave usage after the interface
- Updates such as disability leave restorals directly in the SCO system

Supervisors aware of the above circumstances for their employees should not rely on the PAL balance tool. Supervisors and employees should maintain leave records manually to avoid leave over usage.

Please contact me if you have any questions.

ANGIE BOLDRINI, Personnel Officer
Office of Human Resources

AB:tbw