# Budgetary Process

The Governor's Budget is the result of a process which begins more than one year before the budget becomes law. When presented to the Legislature on January 10 of each year, the Governor's Budget incorporates revenue and expenditure estimates based upon the most current information available through late December. In the event that the Governor wants to change the budget presented to the Legislature, including adjustments resulting from changes in population, caseload or enrollment estimates, the Department of Finance proposes adjustments to the Legislature during budget hearings through Finance Letters. During late spring, usually May and June, the Department of Finance submits revised expenditure and revenue estimates for both the current and budget years to the Legislature. This update process is referred to as the May Revision. The Department of Finance also prepares monthly economic and cash revenue updates during the fiscal year. Listed below are the key documents used in the budget process.

| Title | Purpose | Prepared/Issued By | When |
|---|---|---|---|
| Budget Letters and Management Memos | Convey Administration guidelines for budget preparation to agencies and departments. | Governor/Department of Finance | January through December |
| Budget Change Proposal | Document which proposes to maintain or change the existing level of service. | Agencies and departments submit to Department of Finance for analysis | July through September |
| Governor's Budget | Governor's proposed budget for the upcoming fiscal year. | Governor/Department of Finance | January 10 |
| Governor's Budget Summary | A summary of the Governor's Budget. | Governor/Department of Finance | January 10 |
| Budget Bill | Requests spending authorization to carry out Governor's expenditure plan (legislative budget decision document). | Department of Finance/Legislature | January 10 |
| Budget Analysis | Analysis of the budget, including recommendations for changes to the Governor's Budget. | Legislative Analyst | February |
| May Revision of General Fund Revenues and Expenditures | Update of General Fund revenues, expenditures and reserve estimates based on the latest economic forecast. | Department of Finance | Mid-May |
| Budget Act | The primary annual expenditure authorization as approved by the Governor and Legislature, including a listing of Governor's vetoes. | Legislature/Governor | Late June |
| Final Budget Summary | Update of the individual Budget Act items with changes by the Governor's vetoes, including budget summary schedules. | Department of Finance | Late July–August |
| Final Change Book | Update of changes to the detailed fiscal information in the Governor's Budget. | Department of Finance | Late July–August |

# Glossary of Budget Terms

The following budgetary terms are used frequently throughout the Governor's Budget, the Governor's Budget Summary and the annual Budget (Appropriations) Bill. Definitions are provided for terminology which is common to all publications. For definitions of terms unique to a specific program area, please refer to the individual budget presentation of interest.

**Administration Program:**

The general program name used by departments for an accounting of central management costs such as the Director's Office, Legal Office, Personnel Office, Accounting and Business Services functions that generally serve the whole department, i.e., indirect or overhead costs.

``Administration-distributed'' is the general program name for the distribution of indirect costs to the direct program activities of a department. In most departments, all administrative costs are distributed to other programs.

**Allocation:**

A distribution of funds, or an expenditure limit established for an organizational unit or function.

**Appropriation:**

An authorization from a specific fund to a specific agency or program to make expenditures/incur obligations for a specified purpose and period of time. The Budget Act contains many appropriations, or items. These appropriation items are limited to one year, unless otherwise specified. Appropriations are made by the Legislature in the annual Budget Act and in other legislation. Continuous appropriations (see definition below) can be provided for by legislation or the California Constitution.

**Augmentation:**

An increase to an appropriation as provided by various control sections, Budget Bill language, or legislation.

**Authorized Positions:**

Those ongoing positions approved in the final budget of the preceding year less positions abolished because of continued, extended vacancy. The detail of authorized positions by classification is published in the Salaries and Wages Supplement for state organizations. Changes in authorized positions are listed following each department's budget presentation in the Governor's Budget. (See Proposed New Positions.)

**Balance Available:**

Generally, the portion of a fund balance which is available for appropriation. It is the excess of assets of a fund over its liabilities and reserves; or commonly called amount available for appropriation. It is also the unobligated balance of an appropriation.

**Baseline Budget:**

A baseline budget reflects the anticipated costs of carrying out the current level of service or activities as authorized by the Legislature. It may include an adjustment for cost increases, but does not include changes in level of service over that authorized by the Legislature.

**Budget, Program/Traditional:**

A plan of operation for a specific period of time expressed in financial terms. A *program budget* expresses the operating plan in terms of the costs of activities to be undertaken to achieve specific goals and objectives. A *traditional budget* expresses the plan in terms of the costs of the goods or services to be used to perform specific functions.

The Governor's Budget is primarily a program budget. However, a summary of proposed expenditures for goods and services (Summary by Object) is included for State Operations.

**Budget Bill/Act:**

The initial Budget Bill is prepared by the Department of Finance and is submitted to the Legislature in January accompanying the Governor's Budget. It is the Governor's proposal for spending authorization for the subsequent fiscal year. The Constitution requires the Legislature to pass the Budget Bill and forward it by June 15 to the Governor for signature. After signature by the Governor, the Budget Bill becomes the Budget Act. The Budget Act is the main legal authority to spend or obligate funds.

**Budget Change Proposal (BCP):**

A BCP is a proposal to change the level of service or funding sources for activities authorized by the Legislature, or to propose new program activities not currently authorized.

**Budget Year (BY):**

The next fiscal year beginning July 1 and ending June 30 for which the Governor's Budget is submitted. The year following the current fiscal year.

**Capital Outlay (CO):**

The expenditure of funds to acquire land or pay the cost of planning and construction of new buildings, or additions to and modification of existing buildings, and the equipment which is related to such construction.

**Carryover Appropriations:**

The balance of appropriations available for expenditure in years subsequent to the year of enactment.

**Category:**

A grouping of related objects of expenditure (goods or services), such as Personal Services, Operating Expenses and Equipment, and Special Items of Expense.

**Changes in Authorized Positions:**

A schedule included in each budget presentation in the Governor's Budget which reflects personnel staffing changes made subsequent to the adoption of the current year budget (transfers, positions established and selected reclassifications). It also includes proposed new positions and reductions of positions for the budget year.

**Character of Expenditure:**

A classification identifying the purpose of the expenditures. (See State Operations, Local Assistance or Capital Outlay.)

**Codes, Uniform:**

A set of codes, used in all major fiscal systems of California state government. These codes identify organizations, programs, funds, appropriation structures, receipts and line-item objects of expenditure. The Uniform Codes Manual, published by the Department of Finance, lists all such uniform codes. (See Section 1.50 of the Budget Act for an explanation of the codes used for Budget Act appropriation items.)

**Continuous Appropriation:**

Amount, specific or estimated, available each year under a permanent constitutional or statutory expenditure authorization which exists from year to year without further legislative action. The amount available may be a specific, recurring sum each year; all or a specified portion of the proceeds of specified revenues which have been dedicated permanently to a certain purpose; or whatever amount is required for the purpose as determined by formula—such as school apportionments.

**Control Sections, Budget Act:**

The Budget Act is divided into sections. Section 1.00 establishes a citation for the legislation. Section 1.50 provides a description of the format of the act. Section 2.00 contains the itemized appropriations. Sections 4.00 through 99.50 are general sections, also referred to as control sections, which generally provide additional authorizations or place additional restrictions on one or more of the itemized appropriations contained in Section 2.00.

**Cost of Living Adjustments (COLAs) Statutory/Discretionary:**

Increases provided in state-funded programs which include periodic adjustments predetermined in state law (statutory), e.g., K–12 education apportionments; and adjustments which may be established at optional levels (discretionary) by the Legislature each year.

**Current Year (CY):**

A term designating the operations of the present fiscal period, as opposed to the past or future periods (i.e., the time period we are in now). For the State, the fiscal year begins July 1 and ends the following June 30.

**Deficiency:**

A lack or shortage of (1) money in a fund, (2) expenditure authority due to an insufficient appropriation or (3) expenditure authority due to a cash problem, e.g., reimbursements not received on a timely basis.

**Encumbrance:**

An obligation placed on an appropriation to pay for goods or services which have been ordered by means of contracts, salary commitments, etc., but not yet received.

**Expenditure:**

Generally, this term designates the amount of an appropriation used for goods and services whether paid or unpaid, including expenses, provisions for debt retirement not reported as a liability of the fund from which retired, and capital outlays where the accounts are kept on an accrual basis or a modified accrual basis. Where the accounts are kept on a cash basis, the term designates only actual cash disbursements.

**Enrollment, Caseload, & Population (ECP):**

Adjustments that occur due to increases/decreases in enrollment for the educational segments, caseload adjustments for programs such as Medi-Cal and welfare programs, and population adjustments for state hospitals and youth and correctional facilities.

**Federal Funds:**

In state budget usage, this term describes all funds received directly from an agency of the federal government but not those received through other state departments. Generally, state departments must initially deposit such federal funds in the Federal Trust Fund, a fund in the State Treasury.

**Final Budget:**

The final budget is the Governor's Budget as amended by action taken on the Budget Bill. A Final Change Book is published by the Department of Finance after enactment of the Budget Act to reflect the changes made by the Legislature in their review of the Budget Bill and by the Governor by power of line item veto. It includes a detailed list of changes by item number.

**Finance Letters:**

Proposals made by the Director of Finance to the chairpersons of the committees in each house of the Legislature which consider appropriations to amend the Budget Bill and Governor's Budget from that submitted January 10 to reflect a revised plan of expenditure for the Current or Budget Year.

**Fiscal Year (FY):**

A 12-month state accounting period which varies from the calendar year and the federal fiscal year. In California State government, the fiscal year runs from July 1 through the following June 30. It is the period during which obligations are incurred, encumbrances are made and appropriations are expended. The Governor's Budget presents three years of detailed fiscal data for the past, current and budget years.

The state fiscal year is often referenced by the first calendar year of the fiscal year, e.g., ``'97'' or ``1997'' means the 1997–98 fiscal year. By contrast, the federal fiscal year is referenced by the last calendar year of the fiscal year, e.g., ``'98'' or ``1998'' means the 1997–98 fiscal year, and lasts from October 1, 1997 through September 30, 1998.

**Fund:**

A legal entity that provides for the segregation of moneys or other resources in the State Treasury for specific activities or obligations in accordance with specific restrictions or limitations. A separate set of accounts must be maintained for each fund to show its assets, liabilities, reserves and fund balance, as well as its income and expenditures. The assets of a fund may also be placed into separate accounts to provide for limitations on specified fund income or expenditures.

(See ``Description of Fund Classifications in State Treasury,'' which follows in this volume.)

**Fund Balance:**

Excess of the assets of a fund over its liabilities. (See ``Balance Available.'')

**Fund Condition Statement:**

A statement included in the Governor's Budget for the General Fund, special funds, special accounts in the General Fund, and selected bond funds and nongovernmental cost funds to disclose beginning reserves, revenues and transfers, expenditures, fund balance, and ending reserves.

**General Fund (GF):**

The General Fund is the predominant fund for financing state government programs. It is used to account for revenues which are not specifically designated to be accounted for by any other fund. The primary sources of revenue for the General Fund are the personal income tax, sales tax and bank and corporation taxes. A complete itemization of the revenue sources are listed in Summary Schedule 8. The General Fund is used as the major funding source for education (K–12 and higher education), health and welfare programs, youth and adult correctional programs and tax relief. Summary Schedule 9 provides a listing of expenditures for the General Fund.

**Intraschedule Transfer:**

A control section of the Budget Act authorizes the Department of Finance to augment or reduce any program, project, or function by transfer from any

other program, or project or function within the same appropriation.

Generally, transfers cannot exceed, in the aggregate, 20 percent of the amount scheduled. Lower limits exist for appropriations which exceed $2,000,000.

**Item:**

(See ``Appropriation.'')

**Governmental Cost Funds:**

(See ``Special Funds.'')

**Limited-Term Positions:**

A limited-term position is any position which has been authorized for a specific length of time with a set termination date. Limited-term positions may be authorized during the budget enactment process or in transactions approved by the Department of Finance.

**Line-Item:**

(See ``Objects.'')

**Local Assistance:**

Expenditures made for the support of local government activities.

**Local Mandates:**

(See ``State-Mandated Local Program.'')

**May Revision:**

An annual update to the Governor's proposed January budget containing revised General Fund revenues, and specified expenditures for the Governor's Budget. The Department of Finance is required to submit its May Revision to the Legislature by May 14.

**Merit Salary Adjustment:**

A cost factor resulting from the periodic increase in salaries paid to personnel occupying authorized positions. Personnel generally receive a salary adjustment of 5 percent per year to recognize proficiency in the work performed up to the upper salary limit of the classification.

**Minor Capital Outlay:**

Minor Capital Outlay consists of construction projects or equipment acquired to complete a construction project estimated to cost less than $250,000.

**Objects (line-items):**

A subclassification of expenditures based on type of goods or services. For example, the Personal Services category includes the objects of Salaries and Wages and Staff Benefits. These may be further subdivided into line items such as State Employees' Retirement, Workers' Compensation, etc. Objects do not reflect a function or purpose to be served by the expenditure. A Summary by Object is provided for each department's budget in the Governor's Budget for State Operations and Local Assistance, where applicable. The Department of Finance publishes a Uniform Codes Manual which reflects the standard line-item objects of expenditure.

**Past Year (PY):**

The fiscal year just completed. (See Fiscal Year.)

**Personnel Years:**

The actual or estimated portion of a position expended for the performance of work. For example, a full-time position which was filled by an employee for half of a year would result in an expenditure of 0.5 personnel years.

**Positions:**

(See ``Authorized Positions.'')

**Programs:**

The activities of an organization grouped on the basis of common objectives. Programs are comprised of elements, which can be further divided into components and tasks (the lowest defined program activity).

**Proposed New Positions:**

A request for an authorization for the employment of additional people for the performance of work. Proposed new positions may be for limited time periods (limited-term) and for full or less than full-time. Proposed new positions may be for an authorization sufficient to employ one person, or for a sum of funds (blanket) from which several people may be employed.

**Proposition 98:**

An initiative passed in the November 1988, and amended in the June 1990, election which provides a minimum funding guarantee for school districts, community college districts, and other state agencies that provide direct elementary and secondary instructional programs for Kindergarten through grade 14 (K–14) beginning with

fiscal year 1988–89. Also used to refer to any expenditures which fulfill the guarantee.

**Reappropriation:**

The extension of the availability of an appropriation for expenditure beyond its set termination date, usually for the same purpose. Reappropriations are usually authorized by the Legislature for one year extensions at a time.

**Receipts:**

Describes an increase in the assets of a fund including revenues as well as transfers from other funds, federal receipts and fund reimbursements.

**Reconciliation With Appropriations:**

A statement in each budget presentation which sets forth the source and amount of appropriations, by fund, available to the department and the disposition of such appropriated funds. Statements are presented by fund for each character of expenditure, i.e., State Operations, Local Assistance and Capital Outlay.

**Reimbursements:**

Amount received as a repayment of the cost of work, or service performed, or of other expenditures made for or on behalf of another governmental unit or department. Reimbursements represent the recovery of an expenditure. Reimbursements are available for expenditure in accordance with the budgeted amount (scheduled in an appropriation).

**Reserve:**

An amount set aside in a fund balance to provide for expenditures from the unencumbered balances of continuing appropriations, economic uncertainties, future apportionments, pending salary or price increase appropriations and appropriations for capital outlay projects.

**Revenue:**

The addition of cash or other current assets of governmental costs funds (receipts) which do not increase any liability or reserve and do not represent the recovery of an expenditure, i.e., reimbursements. Generally, revenue is derived from taxes, licenses and fees or investment earnings. Revenues are deposited in a fund for future appropriation.

**Reversion:**

The return of the unused portion of an appropriation to the fund from which the appropriation was made. The undisbursed portion of an appropriation reverts two years (four years for federal funds) after the last day of availability for encumbrance. The Budget Act often provides for the reversion of unused portions of appropriations when such reversion is to be made prior to the statutory limit.

**Salary Savings:**

Salary savings reflects personnel cost savings resulting from vacancies and downward reclassifications as a result of turnover of employees. The amount of budgeted salary savings is an estimate generally based on past experience.

**Special Fund for Economic Uncertainties:**

Statutes and the control sections of the Budget Act provide for the establishment of a Special Fund for Economic Uncertainties in the General Fund and a reserve for economic uncertainties in each special fund to provide for emergency situations.

**Special Funds:**

Special Funds is a generic term used for ``governmental cost funds'' other than the General Fund. Governmental cost funds generally are commonly defined as those funds used to account for revenues from taxes, licenses and fees where the use of such revenues is restricted by law for particular functions or activities of government. Examples of Special Funds are the transportation funds, fish and game funds and the professions and vocations funds. Revenues, expenditures and the condition of Special Funds are summarized in Schedules 8, 9 and 10 in the Governor's Budget Summary.

**Staff Benefits:**

An object of expenditure for the state costs of contributions for employees' retirement, OASDI, health and welfare benefits, workers' compensation, unemployment insurance, industrial disability leave benefits and nonindustrial disability leave benefits.

**State Appropriations Limit (SAL):**

The State Appropriations Limit is defined in Section 8 of Article XIII B of the California Constitution. It was enacted by the passage of Proposition 4 at the November 6, 1979, general election. This initiative imposed a limit on the annual growth in the level of certain appropriations from tax proceeds. The growth in the appropriations limit is calculated using the prior year's limit, adjusted for changes in the cost of living and the change in population.

Other adjustments may be made for such reasons as the transfer of services from one governmental entity to another.

**State-Mandated Local Program:**

State reimbursements to local governments for the cost of activities required by legislative and executive acts. This requirement was established by Chapter 1406, Statutes of 1972 (SB 90) and further ratified by the adoption of Proposition 4 (a constitutional amendment) at the November 6, 1979, general election. (See ``Governor's Budget: 8885 Commission on State Mandates.'')

**State Operations:**

Expenditures for the support of state government, exclusive of capital outlay and expenditures for local government activities.

**Summary by Object:**

A summary of actual past year and estimated current and budget year expenditures for goods and services for each organization presented for State Operations, Local Assistance and Capital Outlay expenditures.

**Summary of Program Requirements:**

At the front of each departmental budget is a Summary of Program Requirements. It presents the various departmental programs by title, dollar totals, personnel years, and source of funds for the past, current and budget years.

**Summary Schedules:**

The Governor's Budget Summary includes schedules which summarize state revenues, expenditures and other fiscal and personnel data for the past, current and budget years.

**Tax Expenditures:**

Subsidies provided through the taxation systems.

**Transfers:**

As reflected in fund condition statements, transfers reflect the movement of resources from one fund to another based on statutory authorization or specific legislative transfer authority.

**Unencumbered Balance:**

The balance of an appropriation after encumbrances (balances on Controller's records after accruals are posted).

# Description of Fund Classifications in the Treasury

Revenues and expenditures in the program budget and the summary schedules reflect the activities of many separate funds. Summary Schedule 10 provides a complete list of governmental cost funds. The Index lists those funds for which Fund Conditions are included in the budget and references the page number. General Fund and Special Fund expenditures represent the cost of government and are included in budget totals, and along with selected Bond Funds, are included in the overall expenditure totals.

**General Fund.** Used to account for all revenues and activities financed therefrom which are not required by law to be accounted by any other fund. Most state expenditures are financed from the General Fund. Normally, the only difference between the General Fund and the other governmental costs funds is the restriction placed on the use of the other governmental cost funds.

**Special Funds.** Consists of governmental cost funds used to account for taxes and revenues which are restricted by law for particular functions or activities of government. The funds included in these classifications are primarily for the regulation of businesses, professions and vocations; transportation; law enforcement; and capital outlay.

**General Fund Special Accounts.** Legislatively created accounts or dedicated revenues within the General Fund. Moneys credited to such accounts may be used only for the purposes specified in the legislation. As a result of Chapter 942, Statutes of 1977, these special accounts are treated as special funds, and revenues and expenditures are included in the special fund totals in the summary schedules of the budget. They are therefore excluded from the General Fund unrestricted revenues, expenditures and reserves.

**Other Funds.** Expenditures from funds which do not represent a cost of government are not included in the budget totals. They consist of moneys which were derived from sources other than general or special taxes, licenses, fees or other state revenues. Included are receipts from the Federal Government, funds created for accounting purposes, receipts from sale of lands, or moneys held in trust. These funds are segregated into the following classifications:

**Working Capital and Revolving Funds.** Funds created to finance internal service activities rendered by a state agency to other state agencies or to local governments for which charges are made at cost. The charges are reflected as expenditures in the budget of the agency receiving the commodity or service.

**Public Service Enterprise Funds.** Self-supporting activities operated by the State for the benefit of the public. Funds are derived from charges to those who use the service and no support is derived from taxes, licenses or other state revenues.

**Bond Funds.** Used to account for the receipt and disbursement of proceeds from the sale of bonds and to finance projects for which the bonds were authorized. Expenditures are considered a cost of government at the time interest payments are made and as the bonds are redeemed.

**Retirement Funds.** Moneys held in trust by the State for retirement benefit payments.

**Trust and Agency Funds.** Funds holding moneys in trust pending disbursements to trustors, moneys received from the Federal Government to be expended for specific purposes, and other funds which do not derive their sources from taxes or other state revenues, or are in the nature of transitory funds created for the convenience of accounting receipts or disbursements which are not necessarily revenues or expenditures.

**Selected Bond Funds.** Selected bond funds are General Obligation Bond funds which are nonself-liquidating. Included in the overall expenditure totals of Schedules 1 and 9 for budget purposes are expenditures from the selected bond funds. The following page provides a complete listing of those selected bond funds.

| Fund No. | Fund Name |
|---|---|
| 6004 | Agriculture and Open Space Mapping Subaccount |
| 6011 | Arroyo Pasajero Watershed Subaccount |
| 0546 | Bay-Delta Ecosystem Restoration Account |
| 6026 | Bay-Delta Multipurpose Water Management Subaccount |
| 0743 | Bond Proceeds Account, State School Building Lease-Purchase Fund |
| 0404 | Central Valley Project Improvement Subaccount |
| 0703 | Clean Air and Transportation Improvement Fund |
| 0740 | Clean Water Bond Fund of 1984, State |
| 0737 | Clean Water and Water Conservation Fund, State |
| 0764 | Clean Water and Water Reclamation Fund of 1988 |
| 0730 | Coastal Conservancy Fund of 1984, State |
| 6022 | Coastal Nonpoint Source Control Subaccount |
| 6018 | Coastal Watershed Salmon Habitat Subaccount |
| 0297 | Community and Economic Development Fund |
| 0716 | Community Parklands Fund |
| 6025 | Conjunctive Use Subaccount |
| 0711 | County Correctional Facility Capital Expenditure Fund of 1986 |
| 0796 | County Correctional Facility Capital Expenditure and Youth Facility Bond Fund of 1988 |
| 0409 | Delta Levee Rehabilitation Subaccount [1] |
| 0414 | Delta Recreation Subaccount |
| 0423 | Delta Tributary Watershed Subaccount [1] |
| 0422 | Drainage Management Subaccount [1] |
| 0788 | Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund, California |
| 0768 | Earthquake Safety and Public Building Rehabilitation Fund of 1990 |
| 0445 | Feasibility Projects Subaccount [1] |
| 6006 | Flood Control Subvention Subaccount |
| 6003 | Floodplain Mapping Subaccount |
| 6005 | Flood Protection Corridor Subaccount |
| 0748 | Fish and Wildlife Habitat Enhancement Fund |
| 0710 | Hazardous Substance Cleanup Fund |
| 0782 | Higher Education Capital Outlay Bond Fund |
| 0785 | Higher Education Capital Outlay Bond Fund, 1988 |
| 0791 | Higher Education Capital Outlay Fund, June 1990 |
| 0705 | Higher Education Capital Outlay Bond Fund of 1992 |
| 0658 | Higher Education Capital Outlay Bond Fund of 1996 |
| 0574 | Higher Education Capital Outlay Bond Fund of 1998 |
| 0714 | Home Building and Rehabilitation Fund |
| 6027 | Interim Water Supply and Water Quality Infrastructure and Management Subaccount |
| 6017 | Lake Elsinore and San Jacinto Watershed Subaccount |
| 0720 | Lake Tahoe Acquisition Fund |
| 0443 | Lake Tahoe Water Quality Subaccount [1] |
| 0794 | Library Construction and Renovation Fund, California |
| 0543 | Local Projects Subaccount [1] |
| 0723 | New Prison Construction Fund |
| 6019 | Nonpoint Source Pollution Control Subaccount |
| 0722 | Parkland Fund of 1984 |
| 0751 | Prison Construction Bond Fund of 1990 |
| 0746 | Prison Construction Fund of 1986 |
| 6000 | Public Library Construction and Renovation Fund, California |
| 0728 | Recreation and Fish and Wildlife Enhancement Fund |
| 0545 | River Parkway Subaccount [1] |
| 6015 | River Protection Subaccount |
| 0544 | Sacramento Valley Water Management and Habitat Project Subaccount [1] |
| 6001 | Safe Drinking Water, Clean Water, Watershed Protection, and Flood Protection Bond Fund |
| 0707 | Safe Drinking Water Fund, California |
| 0793 | Safe Drinking Water Fund of 1988, California |
| 0005 | Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund |
| 6016 | Santa Ana River Watershed Subaccount |
| 6009 | San Lorenzo River Flood Control Subaccount |
| 0424 | Seawater Intrusion Control Subaccount [1] |
| 0653 | Seismic Retrofit Bond Fund of 1996 |

| Fund No. | Fund Name |
|---|---|
| 0418 | Small Communities Grant Subaccount [1] |
| 0413 | South Delta Barriers Subaccount [1] |
| 6008 | State Capital Protection Subaccount |
| 0417 | State Revolving Fund Loan Subaccount [1] |
| 6020 | State Revolving Fund Loan Subaccount |
| 0119 | State School Facilities Fund, 1998 |
| 0742 | Urban and Coastal Park Fund, State |
| 6007 | Urban Stream Restoration Subaccount |
| 6021 | Wastewater Construction Grant Subaccount |
| 6014 | Water and Watershed Education Subaccount |
| 6023 | Water Conservation Account |
| 0446 | Water Conservation and Groundwater Recharge Subaccount [1] |
| 0790 | Water Conservation Fund of 1988 |
| 0744 | Water Conservation and Water Quality Bond Fund of 1986 |
| 0419 | Water Recycling Subaccount [1] |
| 6013 | Watershed Protection Subaccount |
| 0786 | Wildlife, Coastal and Park Land Conservation Fund of 1988, California |
| 6010 | Yuba Feather Flood Protection Subaccount |

[1] Account or subaccount of Safe, Clean, Reliable, Water Supply Fund

# Description of Key Schedules

The Budget Summary includes summary information in various schedules. The following schedules are those which may be the most useful for the public, private sector, or other levels of government.

**SCHEDULE 1.** *General Budget Summary*—Provides for a summary of total statewide revenues and expenditures for the General Fund and Special funds plus expenditure totals for the Selected Bond funds.

**SCHEDULE 2.** *Total State Spending Plan*—Provides in a single schedule the State's total spending plan. In addition to the General Fund, Special funds, and Selected Bond funds, expenditures from Nongovernmental Cost funds, Federal funds, and Reimbursements are shown.

**SCHEDULE 3A.** *Total State Spending Plan by Generally Accepted Accounting Principles (GAAP) Fund Classification*—Provides in a single schedule the State's Total Spending Plan (Schedule 2) rearranged into GAAP Fund Classifications.

**SCHEDULE 3B.** *Comparison of California's Current Fund Structure and GAAP Fund Structure*—Provides a comparison of California's Current Fund Structure to GAAP Fund Classifications.

**SCHEDULE 4.** *Personnel Years and Salary Cost Estimates*—Provides personnel year data and corresponding dollar amounts by functional breakdown and position classifications. This schedule reflects net data after salary savings.

**SCHEDULE 5A.** *Statement of Estimated Accounts Payable and Accounts Receivable*—Provides actual payable and receivable amounts as of June 30, 2000, and estimated amounts for June 30, 2001, and June 30, 2002.

**SCHEDULE 5B.** *1999–00 Cashflow Statement*—Provides actual receipts, disbursements, borrowable resources, and loan balances for fiscal year 1999–00.

**SCHEDULE 5C.** *2000–01 Cashflow Statement*—Provides projected receipts, disbursements, borrowable resources, and loan balances for fiscal year 2000–01.

**SCHEDULE 5D.** *2001–02 Cashflow Statement*—Provides projected receipts, disbursements, borrowable resources, and loan balances for fiscal year 2001–02.

**SCHEDULE 6.** *Summary of State Population, Employees, and Expenditures*—Provides historical data of State population, employees and expenditures.

**SCHEDULE 7.** *General Fund: Statement of Financial Condition*—Provides the financial condition of the General Fund as of June 30 from the most recently available information from the State Controller.

**SCHEDULE 8.** *Comparative Statement of Revenues*—Provides General Fund and Special fund revenue detailed amounts within three main breakdowns of: (1) major taxes and licenses, (2) other revenues, and (3) transfers.

**SCHEDULE 9.** *Comparative Statement of Expenditures*—Provides a listing of expenditures in the same order as the printing of budgets displayed in the Governor's Budget for the General Fund, Special funds, selected Bond funds and Federal funds for State Operations, Local Assistance, Capital Outlay, and Unclassified.

**SCHEDULE 10.** *Summary of Fund Condition Statements*—Provides for the General Fund and each Special fund the beginning reserve, income, expenditures, transfers, and ending reserve for each of the three fiscal years displayed in the Governor's Budget.

**SCHEDULE 11.** *Statement of General Obligation Bond and Commercial Paper Debt of the State of California*—Provides a listing of all general obligation bonds including maturity dates, authorized amount of bond issues, amounts of unissued bonds, redemptions and outstanding issues. It also provides a listing of authorized and outstanding commercial paper which is issued in-lieu of general obligation bonds.

**SCHEDULE 12A.** *State Appropriations Limit (SAL) Summary*—Provides a summary of Schedules 12B through 12E, calculates the SAL Appropriations, displays the SAL Limit, and calculates the SAL Limit Room or Surplus.

**SCHEDULE 12B.** *Revenues to Excluded Funds*—Provides a listing of revenues to special funds that are not included in the calculation of total appropriations subject to the State Appropriations Limit.

**SCHEDULE 12C.** *Non-tax Revenues in Funds Subject to Limit*—Provides a total of nontax revenues for General and Special funds deposited in funds that are included in the calculation of total appropriations subject to the State Appropriations Limit.

**SCHEDULE 12D.** *State Appropriations Limit, Transfer from Excluded Funds to Included Funds*—Provides the detail of transfers between funds that are used in calculating the appropriations subject to the State Appropriations Limit.

**SCHEDULE 12E.** *Excluded Appropriations*—Provides a distribution of exclusions from appropriations subject to the State Appropriations Limit.

# STANDARD FOOTNOTES

## FUND CLASSIFICATIONS

[b] Bond Fund (included in budget expenditure totals, i.e. governmental cost fund totals).

[f] Federal Funds (not included in budget expenditure totals, i.e. governmental cost fund totals).

[g] General Fund (included in budget expenditure totals, i.e. governmental cost fund totals).

[n] Non-Governmental Cost Fund (not included in budget expenditure totals, i.e. governmental cost fund totals).

[s] Special Fund (included in budget expenditure totals, i.e. governmental cost fund totals).

***Various Capital Outlay budgets use footnotes that do not conform to the standard footnotes listed above.***

## PURPOSE OF EXPENDITURE (The following footnotes are for Capital Outlay only)

[A] Acquisition

[B] Costs reflect conceptual estimates; refined budget package estimates are under development by the Department of General Services

[C] Construction

[D] Demolition

[E] Equipment

[L] Lease Purchase

[M] Master Planning

[O] Other (e.g. California Environmental Quality Act)

[P] Preliminary Plans

[R] Relocation Cost

[S] Study

[W] Working Drawings

# INDEX OF ORGANIZATIONS AND FUNDS
# 2001–02
# GOVERNOR'S BUDGET

This Index lists organizations and fund condition statements in alphabetical order. The four-digit organization code represents the number assigned to a department or budget entity in the State Uniform Codes Manual. For ease of reference, budgets are in numerical order in the Governor's Budget, and the organization code appears at the top of each page. The fund condition statements reflect the organization code of the budget entity to which they pertain.


| Department/*Fund Condition Statement* | *Organization Code* |
|---|---|
| **A** | |
| *Abandoned Watercraft Abatement Fund (0577)* | 3680 |
| **Academic Content and Performance Standards, Commission for the Establishment of** | 6115 |
| **Accountancy, California Board of (Consumer Affairs)** | 1110 |
| *Accountancy Fund, Professions and Vocations Fund (0704)* | 1110 |
| **Acupuncture Board (Consumer Affairs)** | 1110 |
| *Acupuncture Fund (0108)* | 1110 |
| *Administration Account (0625)* | 4260 |
| *Administration Account, California Children and Families Trust Fund (0638)* | 4250 |
| **Administrative Law, Office of** | 8910 |
| *Aeronautics Account, State Transportation Fund (0041)* | 2660 |
| **Aging, Commission on** | 4180 |
| **Aging, Department of** | 4170 |
| *Agricultural Biomass Utilization Account (3021)* | 8570 |
| *Agricultural Export Promotion Account, California (0124)* | 8570 |
| **Agricultural Labor Relations Board** | 8300 |
| *Agricultural Pest Control Research Account (0112)* | 8570 |
| *Agriculture Account, Agriculture Fund, Department of (0111)* | 8570 |
| *Air Pollution Control Fund (0115)* | 3900 |
| **Air Resources Board** | 3900 |
| *Air Toxics Inventory and Assessment Account (0434)* | 3900 |
| **Alcohol and Drug Programs, Department of** | 4200 |
| **Alcoholic Beverage Control, Department of** | 2100 |
| **Alcoholic Beverage Control Appeals Board** | 2120 |
| *Alcoholic Beverage Control Appeals Fund (0117)* | 2120 |
| *Alcoholic Beverage Control Fund (0081)* | 2100 |
| **Alternative Energy and Advanced Transportation Financing Authority, California** | 0971 |
| **Alternative Procurements for Information Technology** | 9903 |
| *Alzheimer's Disease and Related Disorders Research Fund, California (0823)* | 4260 |
| *Apprenticeship Training Contribution Fund (3022)* | 8350 |
| **Architectural Examiners, California Board of (Consumer Affairs)** | 1110 |
| *Architectural Examiners Fund, California Board of (0706)* | 1110 |
| *Armory Discretionary Improvement Account (0485)* | 8940 |
| **Arts Council, California** | 8260 |
| *Asbestos Consultant Certification Account, Asbestos Training and Consultant Certification Fund (0368)* | 8350 |
| *Asbestos Training Approval Account (0369)* | 8350 |
| **Assembly (See Legislature)** | 0100 |
| *Assembly Operating Fund (0125)* | 0100 |
| *Assembly and Senate, Operating Funds of the (0160)* | 0100 |
| *Asset Forfeiture Account, Special Deposit Fund, State (0942)* | 0820 |
| *Assistance for Fire Equipment Account, State (0437)* | 0690 |
| *Athletic Commission Fund (0326)* | 1110 |
| **Athletic Commission, State (Consumer Affairs)** | 1110 |
| **Attorney Fees, Department of Justice** | 9913 |
| *Attorney General Antitrust Account (0012)* | 0820 |
| *Audit Fund, State (0126)* | 8855 |
| *Audit Repayment Trust Fund (0816)* | 4200 |
| **Audits, Bureau of State** | 8855 |
| **Augmentation for Employee Compensation** | 9800 |
| **B** | |
| **Baldwin Hills Conservancy** | 3835 |
| *Banking Fund, State (0136)* | 2150 |
| *Barbering and Cosmetology Fund, State Board of (0069)* | 1111 |
| *Bay Fill Clean-Up and Abatement Fund (0914)* | 3820 |
| *Bay Protection and Toxic Cleanup Fund (0282)* | 3940 |
| *Beach and Coastal Enhancement Account, California (0371)* | 3720 |
| **Behavioral Sciences, Board of (Consumer Affairs)** | 1110 |
| *Behavioral Science Examiners Fund, Professions and Vocations Fund (0773)* | 1110 |
| *Beverage Container Recycling Fund, California (0133)* | 3480 |
| *Bicycle Transportation Account, State Transportation Fund (0045)* | 2660 |
| *Bi-metal Processing Fee Account, California Beverage Container Recycling Fund (0277)* | 3480 |
| **Boards. See subject (e.g., Air Resources, Control, etc.)** | |
| **Boating and Waterways, Department of** | 3680 |
| *Bond Proceeds Account, State School Building Lease-Purchase Fund (0743)* | 6350 |
| *Boxer's Neurological Examination Account (0492)* | 1110 |
| *Boxers Pension Account (0008)* | 1110 |
| *Breast Cancer Control Account (0009)* | 4260 |
| *Breast Cancer Fund (0004)* | 4260 |
| *Breast Cancer Research Account (0007)* | 4260 |
| *Breast Cancer Research Fund, California (0945)* | 6440 |
| *Business Fees Fund, Secretary of State's (0228)* | 0890 |
| *Business Reinvestment Fund (0274)* | 0890 |
| **Business, Transportation and Housing, Secretary for** | 0520 |
| **C** | |
| **CALFED Bay-Delta Program** | 3870 |
| *Cal-OSHA Targeted Inspection and Consultation Fund (0096)* | 8350 |
| *Cancer Research Fund (0589)* | 4260 |
| *Caseload Subaccount, Sales Tax Growth Account (0354)* | 5195 |
| *Cemetery Fund, Professions and Vocations Fund (0717)* | 1111 |
| *Central Valley Water Project Construction Fund (0506)* | 3860 |
| *Central Valley Water Project Revenue Fund (0507)* | 3860 |
| *Certification Account, Consumer Affairs Fund (0166)* | 1111 |
| *Certification Fund (0271)* | 5180 |
| *Child Abuse Fund, Department of Justice (0566)* | 0820 |
| *Child Care Account, California Children and Families Trust Fund (0636)* | 4250 |
| *Child Care and Development Facilities Direct Loan Fund (0472)* | 2240 |
| *Child Care and Development Facilities Loan Guaranty Fund (0474)* | 2240 |
| *Child Care Capital Outlay Fund, State (0863)* | 6350 |
| **Child Development Policy Advisory Committee** | 4220 |
| *Child Health and Safety Fund (0279)* | 5180 |
| **Child Support Services, Department of** | 5175 |
| *Childhood Lead Poisoning Prevention Fund (0080)* | 4260 |
| **Children and Families Commission, California** | 4250 |
| *Children and Families Trust Fund, California (0623)* | 4250 |

| Department/*Fund Condition Statement* | *Organization Code* |
|---|---|
| *Children's Trust Fund, State (0803)* | 5180 |
| **Chiropractic Examiners, Board of** | 8500 |
| *Chiropractic Examiners Fund, State Board of (0152)* | 8500 |
| *Cigarette and Tobacco Products Surtax Fund (0230)* | 4260 |
| **Citizens Compensation Commission, California** | 8385 |
| *Clean Air and Transportation Improvement Fund (0703)* | 2600 |
| *Clean Water and Water Conservation Fund, State (0737)* | 3940 |
| *Clean Water and Water Reclamation Fund, 1988 (0764)* | 3940 |
| *Clean Water Bond Fund, 1984 State (0740)* | 3940 |
| *Cleanup Loans and Environmental Assistance to Neighborhoods Account (1003)* | 3960 |
| *Clinical Laboratory Improvement Fund (0098)* | 4260 |
| **Coachella Valley Mountains Conservancy** | 3850 |
| *Coachella Valley Mountains Conservancy Fund (0296)* | 3850 |
| *Coastal Access Account, State Coastal Conservancy Fund (0593)* | 3760 |
| **Coastal Commission, California** | 3720 |
| **Coastal Conservancy, State** | 3760 |
| *Coastal Conservancy Fund, State (0565)* | 3760 |
| *Coastal Conservancy Fund of 1984, State (0730)* | 3760 |
| *Collins-Dugan California Conservation Corps Reimbursement Account (0318)* | 3340 |
| **Colorado River Board of California** | 3460 |
| *Colorado River Management Account (0050)* | 3860 |
| *Commerce Marketing Fund (0145)* | 2920 |
| **Community Colleges, Board of Governors of the California** | 6870 |
| *Community College Fund for Instructional Improvement (0909)* | 6870 |
| *Community Health Equity Subaccount, Sales Tax Growth Account (0356)* | 5195 |
| **Community Services and Development, Department of** | 4700 |
| **Compensation Insurance Fund** | 8430 |
| *Competitive Technology Fund (0173)* | 2920 |
| **Conservation, Department of** | 3480 |
| *Conservation and Enforcement Services Account, Off-Highway Vehicle Trust Fund (0265)* | 3790 |
| **Conservation Corps, California** | 3340 |
| *Conservatory Registry Fund (0195)* | 0820 |
| *Construction Management Education Account (0093)* | 1110 |
| **Consumer Affairs—Bureaus, Programs and Divisions, Department of** | 1111 |
| **Consumer Affairs—Regulatory Boards, Department of** | 1110 |
| *Consumer Affairs Fund (0702)* | 1111 |
| **Contingencies or Emergencies, Augmentation for** | 9840 |
| *Continuing Care Provider Fee Fund (0163)* | 5180 |
| *Contractors' License Fund (0735)* | 1110 |
| **Contractors' State License Board (Consumer Affairs)** | 1110 |
| **Contributions to. See subject (e.g., Judges' Retirement, Teachers' Retirement, etc.)** | |
| **Controller, State** | 0840 |
| **Controller, Statewide Information Technology Projects, State** | 0841 |
| **Corporations, Department of** | 2180 |
| *Corporations Fund, State (0067)* | 2180 |
| **Correctional Peace Officer Standards and Training, Commission on** | 5480 |
| **Corrections, Board of** | 5430 |
| **Corrections, Department of** | 5240 |
| *Corrections Training Fund (0170)* | 5430 |
| **Councils. See subject (e.g., Arts, etc.)** | |
| *Counties Children and Families First Account, California Children and Families First Trust Fund (0585)* | 4250 |
| *County Correctional Facility Capital Expenditure and Youth Facility Bond Fund, 1988 (0796)* | 5430 |
| *County Correctional Facility Capital Expenditure Fund, 1986 (0711)* | 5430 |
| *County Medical Services Subaccount, Sales Tax Growth Account (0359)* | 5195 |
| *County School Service Fund Contingency Account (0030)* | 6110 |
| *Court Collection Account (0242)* | 1730 |
| *Court Interpreters' Fund (0327)* | 0250 |
| **Court Reporters Board of California (Consumer Affairs)** | 1110 |
| *Court Reporters Fund (0771)* | 1110 |
| *Credit Union Fund (0299)* | 2150 |
| **Criminal Justice Planning, Office of** | 8100 |
| **D** | |
| *Dealers' Record of Sale Special Account (0460)* | 0820 |
| **Debt and Investment Advisory Commission, California** | 0956 |
| *Debt and Investment Advisory Commission Fund, California (0171)* | 0956 |
| **Debt Limit Allocation Committee, California** | 0959 |
| *Debt Limit Allocation Committee Fund, California (0169)* | 0959 |
| *Deferred Compensation Plan Fund (0915)* | 8380 |
| *Delinquent Tax Collection Fund (0167)* | 1730 |
| *Delta Flood Protection Fund (0176)* | 3860 |
| **Delta Protection Commission** | 3840 |
| **Dental Auxiliary, Committee on (Consumer Affairs)** | 1110 |
| *Dental Auxiliary Fund, State (0380)* | 1110 |
| **Dental Board of California (Consumer Affairs)** | 1110 |
| **Dentistry, Board of** | 1110 |
| *Dentistry Fund, State (0741)* | 1110 |
| **Department of. See subject (e.g., Corrections, Food and Agriculture, etc.)** | |
| **Developmental Disabilities, Area Boards on** | 4110 |
| **Developmental Disabilities, State Council on** | 4100 |
| *Developmental Disabilities Program Development Fund (0172)* | 4300 |
| *Developmental Disabilities Services Account (0496)* | 4300 |
| **Developmental Services, Department of** | 4300 |
| *Diesel Emission Reduction Fund (0314)* | 3360 |
| *Disability Access Account (0006)* | 1760 |
| *Disaster Relief Fund (0372)* | 0695 |
| **Disaster Service Workers** | 8460 |
| *Dispensing Opticians Fund (0175)* | 1110 |
| *Domestic Violence Training and Education Fund (0642)* | 4260 |
| *Drinking Water Operator Certification Special Account (0247)* | 4260 |
| *Drinking Water Treatment and Research Fund (0622)* | 4260 |
| *Driver Training Penalty Assessment Fund (0178)* | 6110 |
| *Driving-Under-the-Influence Program Licensing Trust Fund (0139)* | 4200 |
| *Drug and Device Safety Fund (3018)* | 4260 |
| **E** | |
| **e-Government Projects** | 9907 |
| *Earthquake Emergency Investigations Account, Natural Disaster Assistance Fund (0257)* | 8690 |
| *Earthquake Risk Reduction Fund of 1996 (0308)* | 6440 |
| *Earthquake Safety and Housing Rehabilitation Bond Account, California (0778)* | 2240 |
| *Economic Development Grant and Loan Fund, California (0922)* | 2920 |
| *Education Account, California Children and Families Trust Fund (0634)* | 4250 |
| **Education, Department of** | 6110 |
| **Educational Facilities Authority, California** | 0989 |
| **Education, Office of the Secretary for** | 0558 |
| *Educational Telecommunication Fund (0349)* | 6110 |
| **Electricity Oversight Board** | 8770 |
| *Electronic and Appliance Repair Fund (0325)* | 1111 |
| *Electrician Certification Fund (3002)* | 8350 |
| *Elevator Safety Account (0452)* | 8350 |
| *Emergency Clean Water Grant Fund (0486)* | 4260 |
| *Emergency Food Assistance Program Fund (0122)* | 5180 |
| *Emergency Housing Assistance Fund (0985)* | 2240 |
| **Emergency Medical Services Authority** | 4120 |
| *Emergency Medical Services Personnel Fund (0312)* | 4120 |

| Department/*Fund Condition Statement* | *Organization Code* |
|---|---|
| *Emergency Medical Services Training Program Approval Fund (0194)* | 4120 |
| **Emergency Services, Office of** | 0690 |
| *Emergency Services and Supplemental Payments Fund (0693)* | 4260 |
| *Emergency Telephone Number Account, State (0022)* | 1760 |
| *Employee Scholarship Fund, State (0077)* | 8380 |
| **Employment Development Department** | 5100 |
| *Employment Development Department Benefit Audit Fund (0184)* | 5100 |
| *Employment Development Department Building Fund (0690)* | 5100 |
| *Employment Development Department Contingent Fund (0185)* | 5100 |
| *Employment Training Fund (0514)* | 5100 |
| *Energy and Resources Fund (0188)* | 9860 |
| *Energy Conservation and Assistance Account, State (0033)* | 3360 |
| **Energy Initiatives** | 3365 |
| **Energy Resources Conservation and Development Commission** | 3360 |
| *Energy Resources Programs Account (0465)* | 3360 |
| *Energy Resources Surcharge Fund (0186)* | 3360 |
| *Energy Technologies Research, Development and Demonstration Account (0479)* | 3360 |
| *Environmental Enhancement Fund (0322)* | 3600 |
| *Environmental Enhancement and Mitigation Demonstration Program Fund (0183)* | 2660 |
| **Environmental Health Hazard Assessment, Office of** | 3980 |
| *Environmental Laboratory Improvement Fund (0179)* | 4260 |
| *Environmental License Plate Fund, California (0140)* | 3210 |
| **Environmental Protection, Secretary for** | 0555 |
| **Environmental Protection Program** | 3210 |
| *Environmental Protection Trust Fund (0225)* | 3940 |
| *Environmental Water Fund (0244)* | 3860 |
| **Equalization, State Board of** | 0860 |
| *Equipment Service Fund (0608)* | 2660 |
| **Equity Claims of California Victim Compensation and Government Claims Board and Settlements and Judgments by Department of Justice** | 9670 |
| *Exotic Species Control Account (0212)* | 3560 |
| *Expedited Site Remediation Trust Fund (0456)* | 3960 |
| *Export Document Program Fund (0082)* | 4260 |
| *Export Finance Fund (0809)* | 2920 |
| *Export Promotion Account, California State World Trade Commission Fund, California (0824)* | 2920 |
| *Exposition Park Improvement Fund (0267)* | 1100 |
| **F** | |
| *Fair and Exposition Fund (0191)* | 8570 |
| **Fair Employment and Housing Commission** | 1705 |
| **Fair Employment and Housing, Department of** | 1700 |
| **Fair Political Practices Commission** | 8620 |
| *False Claims Act Fund (0387)* | 0820 |
| *Family Law Trust Fund (0587)* | 0250 |
| *Farm and Ranch Solid Waste Cleanup and Abatement Account (0558)* | 3910 |
| *Farm Labor Contractors Special Account (0023)* | 8350 |
| *Farmland Conservancy Program Fund, California (0867)* | 3480 |
| *Farmworker Housing Grant Fund (0927)* | 2240 |
| *Federal Asset Forfeiture Account, Special Deposit Fund (0942)* | 0820 |
| *Federal Citation Penalties Account, Special Deposit Fund (0942)* | 4260 |
| **Federal Levy of State Funds** | 9818 |
| *Federal Receipts Account, Hazardous Waste Control Account (0013)* | 3960 |
| *Film California First Fund (3005)* | 2920 |
| **Finance, Department of** | 8860 |
| **Financial Institutions, Department of** | 2150 |
| *Financial Institutions Fund (0298)* | 2150 |
| *Financial Responsibility Penalty Account (0487)* | 2740 |
| *Fingerprint Fees Account (0017)* | 0820 |
| *Fire and Arson Training Fund, California (0198)* | 3540 |
| *Fire Equipment Account, State Assistance for (0437)* | 0690 |
| *Fire Marshal Licensing and Certification Fund, State (0102)* | 3540 |
| *Fire Safety Subaccount (3012)* | 3940 |
| *Firearms Safety Account (0032)* | 0820 |
| *Firearms Safety Training Fund Special Account (0015)* | 0820 |
| **Fish and Game, Department of** | 3600 |
| *Fish and Game Preservation Fund (0200)* | 3600 |
| *Fish and Wildlife Pollution Account (0207)* | 3600 |
| *Flexelect Benefit Fund (0821)* | 8380 |
| **Food and Agriculture, Department of** | 8570 |
| *Food Safety Account, Pesticide Regulation Fund (0224)* | 3930 |
| *Food Safety Fund (0177)* | 4260 |
| *Forest Resources Improvement Fund (0928)* | 3540 |
| **Forestry and Fire Protection, Department of** | 3540 |
| *Foster Family Home and Small Family Home Insurance Fund (0131)* | 5180 |
| **Franchise Tax Board** | 1730 |
| *Fund for Senior Citizens, California (0983)* | 4180 |
| *Funeral Directors and Embalmers Fund, Professions and Vocations Fund, State (0750)* | 1111 |
| **G** | |
| **Gambling Control Commission, California** | 0855 |
| *Gambling Control Fines and Penalties Account (0569)* | 0820 |
| *Gambling Control Fund (0567)* | 0820 |
| *Garment Industry Regulation Fund (3004)* | 8350 |
| *Garment Manufacturers Special Account (0481)* | 8350 |
| *General Growth Subaccount, Sales Tax Growth Account (0361)* | 5195 |
| **General Obligation Bonds and Commercial Paper** | 9600 |
| **General Services, Department of** | 1760 |
| *Genetic Disease Testing Fund (0203)* | 4260 |
| **Geologists and Geophysicists, Board for (Consumer Affairs)** | 1110 |
| *Geology and Geophysics Fund (0205)* | 1110 |
| *Geothermal Resources Development Account (0034)* | 3360 |
| **Geothermal Resources Development Program** | 3180 |
| *Glass Processing Fee Account, California Beverage Container Recycling Fund (0269)* | 3480 |
| **Governor's Office** | 0500 |
| *Governor's Residence Account (0700)* | 1760 |
| *Grant and Loan Collection Account (0695)* | 2920 |
| *Graphic Design License Plate Account (0078)* | 8260 |
| **Guide Dogs for the Blind, State Board of (Consumer Affairs)** | 1110 |
| *Guide Dogs for the Blind Fund, State Board of (0024)* | 1110 |
| **H** | |
| *Habitat Conservation Fund (0262)* | 3640 |
| *Harbors and Watercraft Revolving Fund (0516)* | 3680 |
| **Hastings College of the Law** | 6600 |
| *Hazardous and Idle-Deserted Well Abatement Fund (0275)* | 3480 |
| *Hazardous Liquid Pipeline Safety Fund, California (0209)* | 3540 |
| *Hazardous Materials Enforcement and Training Account (0010)* | 3960 |
| *Hazardous Spill Prevention Account, Railroad Accident Prevention and Response Fund (0059)* | 3960 |
| *Hazardous Substance Cleanup Fund (0710)* | 3960 |
| *Hazardous Substance Clearing Account (0484)* | 3960 |
| *Hazardous Substance Subaccount (0455)* | 3960 |
| *Hazardous Waste Control Account (0014)* | 3960 |
| *Hazardous Waste Reduction Loan Account, California Economic Development Grant and Loan Fund (0828)* | 2920 |
| **Health and Dental Benefits for Annuitants** | 9650 |
| **Health and Human Services, Secretary for California** | 0530 |
| **Health and Human Services Agency Data Center, California** | 4130 |

| Department/*Fund Condition Statement* | *Organization Code* |
|---|---|
| *Health and Human Services Agency Data Center Revolving Fund, California (0632)* | 4130 |
| *Health Care Deposit Fund (0912)* | 4260 |
| *Health Data and Planning Fund, California (0143)* | 4140 |
| *Health Education Account, Cigarette and Tobacco Products Surtax Fund (0231)* | 4260 |
| *Health Facilities Citation Penalties Account, Special Deposit Fund (0942)* | 4260 |
| **Health Facilities Financing Authority, California** | 0977 |
| **Health Insurance Portability and Accountability Act Compliance** | 9909 |
| *Health Professions Education Fund (0829)* | 4140 |
| **Health Services, Department of** | 4260 |
| *Health Statistics Special Fund (0099)* | 4260 |
| *Health Subaccount, Sales Tax Account (0353)* | 5195 |
| **Hearing Aid Dispensers Examining Committee (Consumer Affairs)** | 1110 |
| *Hearing Aid Dispensers Fund (0208)* | 1110 |
| *HICAP (Health Insurance Counseling and Advocacy Program) Fund, State (0289)* | 4170 |
| *Higher Education Earthquake Account, 1987, Disaster Response-Emergency Operations Account (0377)* | 6610 |
| *Higher Education Fees and Income—CSU (0498)* | 6610 |
| *High Polluter Repair or Removal Account (0582)* | 1111 |
| **High-Speed Rail Authority** | 2665 |
| *High Technology Theft Apprehension and Prosecution Program Trust Fund (0597)* | 8100 |
| *Highway Account, State Transportation Fund, State (0042)* | 2660 |
| **Highway Patrol, Department of the California** | 2720 |
| *Highway Users Tax Account Transportation Tax Fund (0062)* | 9350 |
| *Historic Property Maintenance Fund (0365)* | 2660 |
| *Home Building and Rehabilitation Fund (0714)* | 2240 |
| *Home Furnishings and Thermal Insulation Fund, Bureau of (0752)* | 1111 |
| **Horse Racing Board, California** | 8550 |
| *Hospital Building Fund (0121)* | 4140 |
| *Hospital Mental Health Equity Subaccount, Sales Tax Growth Account, State (0358)* | 5195 |
| *Hospital Services Account, Cigarette and Tobacco Products Surtax Fund (0232)* | 4260 |
| **Housing and Community Development, Department of** | 2240 |
| **Housing Finance Agency, California** | 2260 |
| *Housing Finance Fund, California (0501)* | 2260 |
| *Housing Insurance Fund (0916)* | 2260 |
| *Housing Rehabilitation Loan Fund (0929)* | 2240 |
| *Housing Trust Fund, California (0843)* | 2240 |
| **I** | |
| *Illegal Drug Lab Cleanup Account (0065)* | 3960 |
| **Independent Living Council, State** | 5170 |
| *Indian Gaming Special Distribution Fund (0367)* | 0855 |
| *Indigent Health Equity Subaccount, Sales Tax Growth Account (0355)* | 5195 |
| **Industrial Development Financing Advisory Commission, California** | 0965 |
| *Industrial Development Fund (0215)* | 0965 |
| *Industrial Medicine Fund (0079)* | 8350 |
| **Industrial Relations, Department of** | 8350 |
| *Industrial Relations Construction Industry Enforcement Fund (0216)* | 8350 |
| *Industrial Relations Unpaid Wage Fund (0913)* | 8350 |
| *Infant Botulism Treatment and Prevention Fund (0272)* | 4260 |
| *Infrastructure and Economic Development Bank Fund, California (0649)* | 2920 |
| **Information Technology Century Change** | 9904 |
| **Information Technology Innovation Activities** | 9905 |
| **Information Technology, Department of** | 0505 |
| *In-Home Supportive Service Registry Model Subaccount, Sales Tax Account (0350)* | 5195 |
| *Inland Wetlands Conservation Fund, Wildlife Restoration Fund (0266)* | 3640 |
| *Inmate Welfare Fund (0917)* | 5240 |
| **Inspector General, Office of the** | 0552 |
| **Inspector General for Veterans Affairs, Office of the** | 0553 |
| **Institutions (See Department of Corrections, Department of Health, etc.)** | |
| **Insurance, Department of** | 0845 |
| *Insurance Fund (0217)* | 0845 |
| *Integrated Waste Management Account, Integrated Waste Management Fund (0387)* | 3910 |
| **Integrated Waste Management Board, California** | 3910 |
| **Interest Payments to the Federal Government** | 9625 |
| **J** | |
| **Janitor/Contract Services** | 9908 |
| *Job Creation Investment Fund (0393)* | 2920 |
| *Jobs-Housing Balance Improvement Account (3006)* | 2240 |
| *Judges' Retirement Fund (0815)* | 0390 |
| **Judges' Retirement Fund, Contributions to** | 0390 |
| *Judges' Retirement System II Fund (0884)* | 0390 |
| **Judicial** | 0250 |
| *Judicial Administration Efficiency and Modernization Fund (0556)* | 0450 |
| **Judicial Performance, Commission on** | 0280 |
| **Justice, Department of** | 0820 |
| *Justice DNA Testing Fund, Department of (0255)* | 0820 |
| *Justice Sexual Habitual Offender Fund, Department of (0142)* | 0820 |
| **K** | |
| *Katz Schoolbus Fund (0854)* | 3360 |
| **L** | |
| *Lake Tahoe Acquisitions Fund (0720)* | 3125 |
| *Lake Tahoe Conservancy Account (0286)* | 3125 |
| **Lands Commission, State** | 3560 |
| *Landscape Architects' Fund, California Board of Architectual Examiners (0757)* | 1110 |
| *Law Library Special Account, California State (0020)* | 6120 |
| **Law Revision Commission, California** | 8830 |
| *Leaking Underground Storage Tank Cost Recovery Fund (0025)* | 3940 |
| **Lease-Revenue Notes and Bonds** | 9610 |
| **Legislative Claims** | 9670 |
| **Legislative Counsel Bureau** | 0160 |
| *Legislators' Retirement Fund (0820)* | 0150 |
| **Legislators' Retirement Fund, Contributions to** | 0150 |
| **Legislature** | 0100 |
| **Library, California State** | 6120 |
| *Licensed Midwifery Fund (0755)* | 1110 |
| **Lieutenant Governor, Office of the** | 0750 |
| *Lifetime License Trust Account, Fish and Game Preservation Fund (0219)* | 3600 |
| *Lighting Device Fund (0850)* | 3360 |
| *Local Agency Deposit Security Fund (0240)* | 0950 |
| *Local Airport Loan Account (0052)* | 2660 |
| **Local Governance for 21st Century, Commission on** | 8750 |
| **Local Government Financing** | 9210 |
| *Local Government Geothermal Resources Revolving Subaccount (0497)* | 3360 |
| *Local Health Capital Expenditure Account, County Health Services Fund (0900)* | 4260 |
| *Local Jurisdiction Energy Assistance Account (0429)* | 3360 |
| *Local Public Prosecutors and Public Defenders Training Fund (0241)* | 8100 |
| **Local Realignment, State** | 5195 |
| *Local Revenue Fund (0330)* | 5195 |
| *Long Term Management Strategy Completion Fund (0273)* | 3820 |
| *Loss Control Certification Fund (0284)* | 8350 |
| **Lottery Commission, California State** | 0850 |

| Department/*Fund Condition Statement* | *Organization Code* |
|---|---|
| *Lottery Education Fund—California Youth Authority, California State (0831)* | 5460 |
| *Low-Level Radioactive Waste Disposal Fund (0227)* | 4260 |
| **M** | |
| *Main Street Program, California (0535)* | 2920 |
| **Managed Health Care, Department of** | 2400 |
| *Managed Care Fund (0933)* | 2400 |
| **Managed Risk Medical Insurance Board** | 4280 |
| *Major Risk Medical Insurance Fund (0313)* | 4280 |
| *Manufactured Home Recovery Fund (0972)* | 2240 |
| *Marine Life and Marine Reserve Management Account (0647)* | 3600 |
| *Mass Media Communications Account, California Children and Families Trust Fund (0631)* | 4250 |
| **Medical Assistance Commission, California** | 4270 |
| **Medical Board of California (Consumer Affairs)** | 1110 |
| *Medical Board of California, Contingent Fund of the (0758)* | 1110 |
| *Medi-Cal Inpatient Payment Adjustment Fund (0834)* | 4260 |
| *Medical Waste Management Fund (0074)* | 4260 |
| **Membership in Interstate Organizations** | 8800 |
| **Mental Health, Department of** | 4440 |
| *Mental Health Equity Subaccount, Sales Tax Growth Account (0357)* | 5195 |
| *Mental Health Subaccount, Sales Tax Account (0351)* | 5195 |
| *Mexican American Veteran's Beautification and Enhancement Fund (0120)* | 8950 |
| **Military Department** | 8940 |
| **Milton Marks "Little Hoover" Commission on California State Government Organization and Economy** | 8780 |
| *Mine Reclamation Account (0336)* | 3480 |
| *Missing Children Reward Fund (0113)* | 8700 |
| *Missing Persons DNA Data Base Fund (3016)* | 0820 |
| *Mobilehome Manufactured Home Revolving Fund (0648)* | 2240 |
| *Mobilehome Park Purchase Fund (0530)* | 2240 |
| *Mobilehome Park Revolving Fund (0245)* | 2240 |
| *Mosquitoborne Disease Surveillance Account (0478)* | 4260 |
| *Motorcyclist Safety Fund, California (0840)* | 2720 |
| *Motor Carriers Permit Fund (0292)* | 2740 |
| *Motor Carriers Safety Improvement Fund (0293)* | 2720 |
| **Motor Vehicles, Department of** | 2740 |
| *Motor Vehicle Account, State Transportation Fund (0044)* | 2740 |
| *Motor Vehicle Fuel Account, Transportation Tax Fund (0061)* | 2660 |
| *Motor Vehicle Insurance Account, State (0026)* | 1760 |
| *Motor Vehicle License Fee Account, Transportation Tax Fund (0064)* | 2740 |
| *Motor Vehicle Parking Facilities Moneys Account (0003)* | 1760 |
| **N** | |
| *Narcotic Treatment Program Licensing Trust Fund (0243)* | 4200 |
| **Native American Heritage Commission** | 3780 |
| *Native Species Conservation and Enhancement Account, Fish and Game Preservation Fund (0213)* | 3600 |
| **Natural Disaster Assistance** | 0695 |
| *Natural Resources Infrastructure Fund (0383)* | 3640 |
| *New Motor Vehicle Board Account (0054)* | 2740 |
| *Northern California Veterans Cemetary Master Development Fund (0180)* | 8950 |
| *Nuclear Planning Assessment Special Account (0029)* | 0690 |
| *Nursing Home Administrators' State License Examining Board Fund (0260)* | 1111 |
| **O** | |
| *Occupancy Compliance Monitoring Account (0448)* | 0968 |
| **Occupational Information Coordinating Committee, California** | 6330 |
| *Occupational Lead Poisoning Prevention Account (0070)* | 4260 |
| **Occupational Therapy, California Board of (Consumer Affairs)** | 1110 |
| *Occupational Therapy Fund (3017)* | 1110 |
| *Off Highway License Fee Fund (0261)* | 9350 |
| *Off-Highway Vehicle Trust Fund (0263)* | 3790 |
| **Office of. See subject (e.g., Emergency Services, Employee Relations, etc.)** | |
| *Office of Migrant Services, Special Deposit Fund (0942)* | 2240 |
| *Oil Spill Prevention and Administration Fund (0320)* | 3600 |
| *Oil Spill Response Trust Fund (0321)* | 3600 |
| *Olympic Training Account, California (0442)* | 2920 |
| **Optometry, State Board of (Consumer Affairs)** | 1110 |
| *Optometry Fund, State, Professions and Vocations Fund (0763)* | 1110 |
| **Osteopathic Medical Board of California** | 8510 |
| *Osteopathic Medical Board of California Contingent Fund (0264)* | 8510 |
| *Outpatient Setting Fund of the Medical Board of California (0210)* | 1110 |
| **P** | |
| **Parks and Recreation, Department of** | 3790 |
| *Parks and Recreation Fund, State (0392)* | 3790 |
| *Parks System Deferred Maintenance Account, State (0646)* | 3790 |
| **Payment of Interest on General Fund Loans** | 9620 |
| **Payment to Counties for Costs of Homicide Trials** | 8180 |
| **Peace Officer Standards and Training, Commission on** | 8120 |
| *Peace Officers' and Firefighters' Defined Contribution Plan Fund (0615)* | 1900 |
| *Peace Officers' Training Fund (0268)* | 8120 |
| *Pedestrian Safety Account (2500)* | 2660 |
| *Penalty Account, Beverage Container Recycling Fund (0276)* | 3480 |
| *Penalty Fund, State (0903)* | 8100 |
| *Perinatal Insurance Fund (0309)* | 4280 |
| *Permanent Amusement Ride Safety Inspection Fund (3003)* | 8350 |
| **Personnel Administration, Department of** | 8380 |
| **Personnel Board, State** | 1880 |
| **Pesticide Regulation, Department of** | 3930 |
| *Pesticide Regulation Fund, Department of (0106)* | 3930 |
| *PET Processing Fee Account, California Beverage Container Recycling Fund (0278)* | 3480 |
| *Petroleum Financing Collection Account (0694)* | 2920 |
| *Petroleum Underground Storage Tank Financing Account (0440)* | 2920 |
| *Petroleum Violations Escrow Account (0853)* | 3360 |
| **Petroleum Violation Escrow Account Program** | 9895 |
| **Pharmacy, California State Board of (Consumer Affairs)** | 1110 |
| *Pharmacy Board Contingent Fund (0767)* | 1110 |
| **Physical Therapy Board of California (Consumer Affairs)** | 1110 |
| *Physical Therapy Fund (0759)* | 1110 |
| *Physician Assistant Fund (0280)* | 1110 |
| *Physician Services Account, Cigarette and Tobacco Products Surtax Fund (0233)* | 4260 |
| **Physician Assistant Committee (Consumer Affairs)** | 1110 |
| *Pierce's Disease Management Account (3010)* | 8570 |
| **Pilot Commissioners for the Bays of San Francisco, San Pablo and Suisun, Board of** | 8530 |
| *Pilot Commissioners' Special Fund, Board of (0290)* | 8530 |
| **Planning and Research, Office of** | 0650 |
| **Podiatric Medicine, California Board of (Consumer Affairs)** | 1110 |
| *Podiatric Medicine Fund (0295)* | 1110 |
| **Political Reform Act of 1974** | 8640 |

| Department/*Fund Condition Statement* | *Organization Code* |
|---|---|
| **Pollution Control Financing Authority, California** | 0974 |
| **Postage Rate Increase** | 9914 |
| **Postsecondary Education Commission, California** | 6420 |
| *Pressure Vessel Account (0453)* | 8350 |
| **Prison Terms, Board of** | 5440 |
| *Private Investigator Fund (0769)* | 1111 |
| *Private Postsecondary and Vocational Education Administration Fund (0305)* | 1111 |
| *Private Security Services Fund (0239)* | 1111 |
| **Professional Engineers and Land Surveyors, Board for (Consumer Affairs)** | 1110 |
| *Professional Engineers' and Land Surveyors' Fund (0770)* | 1110 |
| *Professional Forester Registration Fund (0300)* | 3540 |
| *Propane Safety Inspection and Enforcement Program Trust Fund (0051)* | 8660 |
| *Property Acquisition Law Money Account (0002)* | 1760 |
| *Protective Services Fund (0246)* | 2720 |
| *Psychiatric Technicians Account, Vocational Nurse and Psychiatric Technician Examiners Fund (0780)* | 1110 |
| **Psychology, Board of (Consumer Affairs)** | 1110 |
| *Psychology Fund (0310)* | 1110 |
| *Public Beach Restoration Fund (3001)* | 3680 |
| **Public Defender, State** | 8140 |
| *Public Employees' Contingency Reserve Fund (0950)* | 1900 |
| *Public Employees' Health Care Fund (0822)* | 1900 |
| *Public Employees' Retirement Fund (0830)* | 1900 |
| **Public Employees' Retirement System** | 1900 |
| **Public Employment Relations Board** | 8320 |
| *Public Interest Research, Development and Demonstration (RD&D) Programs Fund (0381)* | 3360 |
| *Public Resources Account, Cigarette and Tobacco Products Surtax Fund (0235)* | 4260 |
| *Public School Planning, Design and Construction Review Revolving Fund (0328)* | 1760 |
| *Public Transportation Account, State Transportation Fund (0046)* | 2660 |
| **Public Utilities Commission** | 8660 |
| *Public Utilities Commission Transportation Reimbursement Account (0461)* | 8660 |
| *Public Utilities Commission Utilities Reimbursement Account (0462)* | 8660 |

**R**

| | |
|---|---|
| *Racetrack Security Account, Special Deposit Fund (0942)* | 8550 |
| *Radiation Control Fund (0075)* | 4260 |
| *Rail Accident Prevention and Response Fund (0058)* | 3960 |
| **Real Estate, Department of** | 2320 |
| **Real Estate Appraisers, Office of** | 2310 |
| *Real Estate Appraisers Regulation Fund (0400)* | 2310 |
| *Real Estate Commissioner's Fund (0317)* | 2320 |
| **Realignment, State-Local** | 5195 |
| *Recycling Market Development Revolving Loan Account (0281)* | 3910 |
| *Registered Environmental Health Specialist Fund (0335)* | 4260 |
| *Registered Nurse Education Fund (0181)* | 4140 |
| **Registered Nursing, Board of (Consumer Affairs)** | 1110 |
| *Registered Nursing Fund, Professions and Vocations Fund, Board of (0761)* | 1110 |
| **Registered Veterinary Technician Committee (Consumer Affairs)** | 1110 |
| *Registered Veterinary Technician Examining Committee Fund (0118)* | 1110 |
| *Registry of International Student Exchange Visitor Placement Organization Fund (0288)* | 0820 |
| **Rehabilitation, Department of** | 5160 |
| *Removal and Remedial Action Account, Hazardous Waste Control Account (0294)* | 3960 |
| **Renewable Resources Investment Program** | 3370 |
| *Renewable Resources Investment Fund (0940)* | 3370 |
| *Renewable Resource Trust Fund (0382)* | 3360 |
| *Replacement Benefit Program Fund (0164)* | 1920 |
| *Research Account, Cigarette and Tobacco Products Surtax Fund (0234)* | 4260 |
| *Research and Development Account, California Children and Families Trust Fund (0637)* | 4250 |
| **Reserve for Liquidation of Encumbrances** | 9885 |
| *Resident-Run Housing Revolving Fund (0977)* | 4200 |
| *Residential Earthquake Recovery Fund, California (0285)* | 0845 |
| **Resources, Secretary for** | 0540 |
| **Respiratory Care Board of California (Consumer Affairs)** | 1110 |
| *Respiratory Care Fund (0319)* | 1110 |
| *Restitution Fund (0214)* | 8700 |
| *Rice Straw Demonstration Project Grant Fund (0489)* | 3900 |
| *Ridesharing Vanpool Revolving Loan and Grant Fund (0676)* | 2660 |
| *Rural CUPA Reimbursement Account (1006)* | 0555 |
| *Rural Development Fund (0218)* | 2920 |
| *Rural Economic Development Fund (0123)* | 2920 |
| *Rural Economic Development Infrastructure Revenue Bond Fund (0521)* | 2920 |
| *Rural Predevelopment Loan Fund (0635)* | 2240 |

**S**

| | |
|---|---|
| *Safe Drinking Water Account (0306)* | 4260 |
| *Safe Drinking Water Fund, California (0707)* | 3860 |
| *Safe Drinking Water Fund of 1988, California (0793)* | 3860 |
| *Safe Drinking Water State Revolving Fund (0629)* | 4260 |
| *Sale of Tobacco to Minors Control Account (0066)* | 4260 |
| *Sales Tax Account, Local Revenue Fund (0331)* | 5195 |
| *Sales Tax Growth Account, Local Revenue Fund (0333)* | 5195 |
| *Salmon and Steelhead Trout Restoration Account (0384)* | 3600 |
| **San Francisco Bay Conservation and Development Commission** | 3820 |
| **San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy** | 3825 |
| **San Joaquin River Conservancy** | 3830 |
| **Santa Monica Mountains Conservancy** | 3810 |
| *Santa Monica Mountains Conservancy Fund (0941)* | 3810 |
| *Satellite Wagering Account (0192)* | 8570 |
| **Scholarshare Investment Board** | 0954 |
| *School Building Aid Fund, State (0739)* | 6350 |
| *School Building Lease-Purchase Fund, Bond Proceeds Account (0743)* | 6350 |
| *School Building Lease-Purchase Fund, State (0344)* | 6350 |
| *School Building Safety Fund (0345)* | 6350 |
| *School Deferred Maintenance Fund, State (0961)* | 6350 |
| *School Employees Fund (0908)* | 5100 |
| **School Facilities Aid Program** | 6350 |
| *School Facilities Fee Assistance Fund (0101)* | 1760 |
| **School Finance Authority, California** | 0985 |
| *School Fund, State (0342)* | 6110 |
| *School Land Bank Fund (0347)* | 3560 |
| **Science Center, California** | 1100 |
| **Secretary of State** | 0890 |
| **Secretary for. See subject (e.g., Resources, etc.)** | |
| *Seismic Gas Valve Certification Fee Account (0450)* | 1760 |
| *Seismic Hazard Identification Fund (0338)* | 3480 |
| **Seismic Safety Commission** | 8690 |
| *Seismic Retrofit Bond Fund of 1996 (0653)* | 2660 |
| *Seismic Safety Retrofit Account, State Transportation Fund (0056)* | 2660 |
| *Self-Help Housing Fund (0813)* | 2240 |
| *Self-Insurance Plans Fund (0396)* | 8350 |
| **Senate (See Legislature)** | 0100 |
| *Senate Operating Fund (0348)* | 0100 |
| *Seniors Fund, California (0983)* | 4180 |
| *Seniors Special Fund, California (0886)* | 4180 |
| *Service Revolving Fund (0666)* | 1760 |
| *Sexual Predator Public Information Account (0256)* | 0820 |
| **Shared Revenues** | 9350 |
| *Site Remediation Account (0018)* | 3960 |
| *Site Operations and Maintenance Account, Hazardous Substance Account (0458)* | 3960 |

| Department/*Fund Condition Statement* | *Organization Code* |
|---|---|
| *Small Business Development Center Fund, California (0801)* | 2920 |
| *Small Business Expansion Fund (0918)* | 2920 |
| *Small System Technical Assistance Account (0628)* | 4260 |
| **Social Services, Department of** | 5180 |
| *Social Services Subaccount, Sales Tax Account (0352)* | 5195 |
| *Soil Conservation Fund (0141)* | 3480 |
| *Solid Waste Disposal Site Cleanup Trust Fund (0386)* | 3910 |
| *Source Protection Account (0627)* | 4260 |
| **Special Environmental Programs** | 3985 |
| *Special Reserve Fund for Vehicle License Fee Tax Relief (3011)* | 9350 |
| **Special Resources Program** | 3110 |
| **Special Transportation Programs** | 2640 |
| **Speech-Language Pathology and Audiology Examining Committee (Consumer Affairs)** | 1110 |
| *Speech-Language Pathology and Audiology Fund (0376)* | 1110 |
| **State. See subject (e.g., Controller, Treasurer, etc.)** | |
| **State and Consumer Services, Secretary for** | 0510 |
| *State Hospital Mental Health Equity Subaccount, Sales Tax Growth Account (0358)* | 5195 |
| **State-Local Realignment** | 5195 |
| **State Mandates, Commission on** | 8885 |
| *Supplemental Contributions Program Fund (0259)* | 1900 |
| **Statewide Americans with Disabilities Act Funding** | 9906 |
| **Statewide General Administrative Exenditures (Pro Rata)** | 9900 |
| **Statewide Health Planning and Development, Office of** | 4140 |
| **Status of Women, Commission on the** | 8820 |
| **Stephen P. Teale Data Center** | 2780 |
| *Stephen P. Teale Data Center Revolving Fund (0683)* | 2780 |
| *Strong-Motion Instrumentation Special Fund (0398)* | 3480 |
| **Structural Pest Control Board (Consumer Affairs)** | 1110 |
| *Structural Pest Control Device Fund (0645)* | 1110 |
| *Structural Pest Control Education and Enforcement Fund (0399)* | 1110 |
| *Structural Pest Control Fund, Professions and Vocations Fund (0775)* | 1110 |
| *Structural Pest Control Research Fund (0168)* | 1110 |
| **Student Aid Commission, California** | 7980 |
| *Student Tuition Recovery Fund (0960)* | 1111 |
| **Subsequent Injuries** | 8420 |
| *Subsequent Injuries Moneys Account (0016)* | 8420 |
| *Substance Abuse Treatment Trust Fund (3019)* | 4200 |
| **Summer School for the Arts, California State** | 6255 |
| *Superfund Bond Trust Fund (0826)* | 3960 |
| *Surface Impoundment Assessment Account (0482)* | 3940 |
| *Surface Mining and Reclamation Account (0035)* | 3480 |
| **T** | |
| **Tahoe Conservancy, California** | 3125 |
| **Tax Credit Allocation Committee, California** | 0968 |
| *Tax Credit Allocation Fee Account (0457)* | 0968 |
| *Tax Preparers Fund (0406)* | 1111 |
| **Tax Relief** | 9100 |
| **Teacher Credentialing, Commission on** | 6360 |
| *Teacher Credentials Fund (0407)* | 6360 |
| *Teachers' Health Benefit Fund (8001)* | 1920 |
| *Teachers' Retirement Fund (0835)* | 1920 |
| **Teachers' Retirement Fund, State Contributions to the State** | 6300 |
| **Teachers' Retirement System, State** | 1920 |
| *Technical Assistance Fund (0270)* | 5180 |
| **Technology, Trade, and Commerce Agency** | 2920 |
| *Telephone Medical Advice Services Fund (0459)* | 1111 |
| *Temporary Assistance for Needy Families Fund (0551)* | 5180 |
| *Test Development and Administration Account, Teacher Credentials Fund (0408)* | 6360 |
| *Timber Tax Fund (0965)* | 0860 |
| *Tire Recycling Management Fund, California (0226)* | 3910 |
| *Tissue Bank License Fund (0076)* | 4260 |
| *Title Insurance Fund (0548)* | 0845 |
| *Tobacco Settlement Fund (3020)* | 4260 |
| *Toll Bridge Funds, Consolidated (0987)* | 2660 |
| *Toll Bridge Seismic Retrofit Account, State Transportation Fund (0650)* | 2660 |
| **Toxic Substances Control, Department of** | 3960 |
| *Toxics Substances Control Account (0557)* | 3960 |
| *Traffic Congestion Relief Fund (3007)* | 2660 |
| **Traffic Safety, Office of** | 2700 |
| *Transcript Reimbursement Fund (0410)* | 1110 |
| **Transportation, Department of** | 2660 |
| **Transportation Commission, California** | 2600 |
| *Transportation Investment Fund (3008)* | 2660 |
| *Transportation Rate Fund (0412)* | 8660 |
| *Traumatic Brain Injury Fund (0311)* | 4440 |
| *Travel Seller Fund (0158)* | 0820 |
| **Treasurer, State** | 0950 |
| **Trial Court Funding, State** | 0450 |
| *Trial Court Improvement Fund (0159)* | 0450 |
| *Trial Court Trust Fund (0932)* | 0450 |
| *Trustline Voluntary Registration Fund, Department of Justice (0019)* | 0820 |
| **U** | |
| *Unallocated Account, California Children and Families Trust Fund (0639)* | 4250 |
| *Unallocated Account, Cigarette and Tobacco Products Surtax Fund (0236)* | 4260 |
| **Unallocated Capital Outlay** | 9860 |
| *Underground Storage Tank Cleanup Fund (0439)* | 3940 |
| *Underground Storage Tank Fund (0475)* | 3940 |
| *Underground Storage Tank Tester Account (0436)* | 3940 |
| *Unemployment Compensation Disability Fund (0588)* | 5100 |
| *Unified Program Account (0028)* | 3960 |
| **Uniform State Laws, Commission on** | 8840 |
| *Uninsured Employers' Account, Uninsured Employers' Fund (0571)* | 8350 |
| **University, California State** | 6610 |
| **University of California** | 6440 |
| *Upper Newport Bay Ecological Reserve Maintenance and Preservation Fund (0643)* | 3600 |
| **Urban Waterfront Area Restoration Financing Authority, California** | 0983 |
| *Used Oil Recycling Fund, California (0100)* | 3910 |
| **Utilities Costs** | 9911 |
| **V** | |
| *Vehicle Inspection and Repair Fund (0421)* | 1111 |
| *Vehicle License Collection Account, Local Revenue Fund (0329)* | 5195 |
| *Vehicle License Fee Account, Local Revenue Fund (0332)* | 5195 |
| *Vehicle License Fee Growth Account (0334)* | 5195 |
| **Veterans Affairs, Department of** | 8950 |
| *Veterans' Farm and Home Building Fund of 1943 (0592)* | 8950 |
| **Veterans Home of California** | 8950 |
| *Veterans Service Office Fund (0083)* | 8950 |
| *Veterinary Medical Board Contingent Fund (0777)* | 1110 |
| **Veterinary Medical Board (Consumer Affairs)** | 1110 |
| **Victim Compensation and Government Claims Board, California** | 8700 |
| *Victim Witness Assistance Fund (0425)* | 8100 |
| *Vietnam Veterans Memorial Account (0473)* | 8970 |
| **Vietnam Veterans Memorial Commission** | 8970 |
| *Vocational Nurse Examiners Fund (0779)* | 1110 |
| **Vocational Nursing and Psychiatric Technicians of the State of California, Board of (Consumer Affairs)** | 1110 |
| *Voluntary Alliance Uniting Employers Fund (0957)* | 4280 |
| *Volunteer Firefighters Length of Service Award Fund (0962)* | 1900 |

| Department/*Fund Condition Statement* | *Organization Code* |
|---|---|
| **W** | |
| *Waste Discharge Permit Fund (0193)* | 3940 |
| *Water Conservation and Water Quality Bond Fund, 1986 (0744)* | 3940 |
| *Water Conservation Fund, 1988 (0790)* | 3860 |
| *Water Device Certification Special Account (0129)* | 4260 |
| *Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund, California (0211)* | 3600 |
| *Water Fund, California (0144)* | 3860 |
| *Water Quality Control Fund, State (0679)* | 3940 |
| **Water Resources, Department of** | 3860 |
| **Water Resources Control Board, State** | 3940 |
| *Water Resources Development Bond Fund, California (0502)* | 3860 |
| *Water System Reliability Account (0626)* | 4260 |
| *Wildlife, Coastal Park Land Conservation Fund of 1988, California (0786)* | 3860 |
| **Wildlife Conservation Board** | 3640 |
| *Wildlife Restoration Fund (0447)* | 3640 |
| *Wine Safety Fund (0116)* | 4260 |
| *Winter Recreation Fund (0449)* | 3790 |
| *Work and Family Fund (0258)* | 8380 |
| *Workers' Compensation Administration Revolving Fund (0223)* | 8350 |
| *Workers' Compensation Managed Care Fund (0132)* | 8350 |
| **Workers' Compensation Benefits** | 8420 |
| **Workforce Investment Board, California** | 5120 |
| *Workplace Health and Safety Revolving Fund (0222)* | 8350 |
| **Y** | |
| *Yosemite Foundation Account, Environmental License Plate Fund (0071)* | 3210 |
| **Youth and Adult Correctional Agency, Secretary for** | 0550 |
| **Youth Authority, Department of the** | 5460 |
| *Youth Pilot Program Fund (0287)* | 5180 |
| **Youthful Offender Parole Board** | 5450 |

# TABLE OF CONTENTS

## LEGISLATIVE, JUDICIAL, EXECUTIVE


| | | |
|---|---|---|
| Legislature | LJE | 1 |
| Contributions to Legislators' Retirement System | LJE | 3 |
| Legislative Counsel Bureau | LJE | 4 |
| Judicial | LJE | 5 |
| Commission on Judicial Performance | LJE | 12 |
| Contributions to the Judges' Retirement System | LJE | 13 |
| State Trial Court Funding | LJE | 15 |
| Governor's Office | LJE | 19 |
| Department of Information Technology | LJE | 19 |
| Secretary for State and Consumer Services | LJE | 20 |
| Secretary for Business, Transportation and Housing | LJE | 21 |
| Secretary for California Health and Human Services Agency | LJE | 23 |
| Secretary for Resources | LJE | 24 |
| Secretary for Youth and Adult Correctional Agency | LJE | 27 |
| Office of the Inspector General | LJE | 29 |
| Office of the Inspector General for Veterans Affairs | LJE | 30 |
| Secretary for Environmental Protection | LJE | 31 |
| Office of the Secretary for Education | LJE | 36 |
| Office of Planning and Research | LJE | 37 |
| Office of Emergency Services | LJE | 40 |
| Natural Disaster Assistance | LJE | 47 |
| Office of the Lieutenant Governor | LJE | 48 |
| Department of Justice | LJE | 49 |
| State Controller | LJE | 77 |
| State Controller's Statewide Information Technology Projects | LJE | 83 |
| Department of Insurance | LJE | 84 |
| California State Lottery Commission | LJE | 91 |
| California Gambling Control Commission | LJE | 92 |
| State Board of Equalization | LJE | 95 |
| Secretary of State | LJE | 113 |
| State Treasurer | LJE | 120 |
| Scholarshare Investment Board | LJE | 124 |
| California Debt and Investment Advisory Commission | LJE | 126 |
| California Debt Limit Allocation Committee | LJE | 127 |
| California Industrial Development Financing Advisory Commission | LJE | 128 |
| California Tax Credit Allocation Committee | LJE | 130 |
| California Alternative Energy and Advanced Transportation Financing Authority | LJE | 132 |
| California Pollution Control Financing Authority | LJE | 133 |
| California Health Facilities Financing Authority | LJE | 133 |
| California Urban Waterfront Area Restoration Financing Authority | LJE | 134 |
| California School Finance Authority | LJE | 134 |
| California Educational Facilities Authority | LJE | 135 |

# 0100 LEGISLATURE

## SUMMARY OF PROGRAM REQUIREMENTS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10 Senate | $71,518 | $76,317 | $83,949 |
| 20 Assembly | 97,076 | 103,562 | 113,918 |
| TOTALS, PROGRAMS (General Fund) | $168,594 | $179,879 | $197,867 |

## SUMMARY BY OBJECT

### Senate

| General Fund Expenses: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Salaries of Senators | $4,442 | $4,756 | $5,232 |
| Mileage of Lt. Governor, Senators, Officers | – | 10 | 10 |
| Session Per Diem of Senators | 962 | 1,196 | 1,316 |
| Totals, General Fund Expenditures | $5,404 | $5,962 | $6,558 |
| Operating Fund Expenses: | | | |
| Salaries and employee benefits | 55,930 | 58,559 | 64,592 |
| Travel and per diem | 767 | 790 | 813 |
| Automotive expenses | 424 | 560 | 520 |
| Automotive repairs | 75 | 85 | 97 |
| Telephone | 861 | 1,005 | 1,111 |
| Telegraph | – | 1 | 1 |
| Postage | 1,032 | 1,045 | 1,150 |
| Freight | 97 | 110 | 135 |
| Office supplies | 154 | 165 | 185 |
| Printing | 939 | 1,002 | 1,205 |
| Publications | 113 | 128 | 140 |
| Building utilities, maintenance and rent | 1,360 | 1,452 | 1,640 |
| Office alterations | 186 | 450 | 350 |
| Furniture and equipment expense | 922 | 965 | 1,015 |
| Study contracts | 228 | 808 | 900 |
| Meals | 75 | 82 | 95 |
| Ceremonies and events | 24 | 27 | 38 |
| All other expenses | 604 | 642 | 708 |
| Totals, Operating Fund Expenses | $63,791 | $67,876 | $74,695 |
| Operating Fund Transfers For: | | | |
| Office of the Legislative Analyst (0130) | 2,323 | 2,479 | 2,696 |
| Totals, Operating Fund Transfers | $2,323 | $2,479 | $2,696 |
| Totals, Senate Expenditures | $71,518 | $76,317 | $83,949 |

### Assembly

| General Fund Expenses: | | | |
|---|---|---|---|
| Salaries of Assemblymembers | $8,731 | $9,000 | $9,939 |
| Mileage of Assemblymembers | – | 8 | 8 |
| Session Per Diem of Assemblymembers | 1,831 | 2,226 | 2,392 |
| Totals, General Fund Expenditures | $10,562 | $11,234 | $12,339 |
| Operating Fund Expenses: | | | |
| Salaries and employee benefits | 63,008 | 70,202 | 78,819 |
| Travel and per diem | 1,323 | 1,349 | 1,370 |
| Automotive expenses | 396 | 404 | 413 |
| Automotive repairs | 105 | 111 | 118 |
| Equipment and furniture | 3,976 | 3,996 | 4,036 |
| Building utilities, maintenance, and rent | 2,523 | 2,548 | 2,573 |
| Office alterations | 2,835 | 1,444 | 787 |
| Telephone | 1,481 | 1,614 | 1,776 |
| Telegraph | – | 1 | 1 |
| Postage | 686 | 741 | 807 |
| Freight | 113 | 121 | 133 |
| Communications | 2,195 | 2,370 | 2,631 |
| Office supplies | 877 | 939 | 1,033 |
| Printing | 2,100 | 2,241 | 2,465 |
| Publications | 372 | 395 | 426 |
| Study contracts | 81 | 85 | 98 |
| Meals | 65 | 69 | 76 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0100 LEGISLATURE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Ceremonies and events | $35 | $37 | $41 |
| All other expenses | 1,510 | 982 | 1,080 |
| Totals, Operating Fund Expenses | $83,681 | $89,649 | $98,683 |
| Operating Fund Transfers For: | | | |
| Legislative Counsel Bureau (0160) | 510 | 200 | 200 |
| Office of the Legislative Analyst (0130) | 2,323 | 2,479 | 2,696 |
| Totals, Operating Fund Transfers | $2,833 | $2,679 | $2,896 |
| Totals, Assembly Expenditures | $97,076 | $103,562 | $113,918 |
| TOTALS, EXPENDITURES | $168,594 | $179,879 | $197,867 |

---

## RECONCILIATION WITH APPROPRIATIONS

### 1 STATE OPERATIONS

#### Senate

#### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $71,518 | $76,317 | $83,949 |
| Salaries of Senators | (4,442) | (4,756) | (5,232) |
| Mileage of Lt. Governor, Senators and Officers | – | (10) | (10) |
| Expenses of Senators | (962) | (1,196) | (1,316) |
| Operating expenses | (65,615) | (69,710) | (76,774) |
| Automotive expenses | (499) | (645) | (617) |
| TOTALS, EXPENDITURES (0110) | $71,518 | $76,317 | $83,949 |

#### 0348 Senate Operating Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 9129 | $71,518 | $76,317 | $83,949 |
| Less funding provided by the General Fund | –71,518 | –76,317 | –83,949 |
| TOTALS, EXPENDITURES (0110) | – | – | – |
| TOTALS, EXPENDITURES, SENATE (ALL FUNDS) | $71,518 | $76,317 | $83,949 |

#### Assembly

#### 0001 General Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation | $97,143 | $103,590 | $113,918 |
| Salaries of Assemblymembers | (9,055) | (9,651) | (9,939) |
| Mileage of Assemblymembers and Officers | (8) | (8) | (8) |
| Expenses of Assemblymembers | (2,318) | (2,355) | (2,392) |
| Operating expenses | (85,216) | (91,044) | (101,048) |
| Automotive expenses | (546) | (532) | (531) |
| Totals Available | $97,143 | $103,590 | $113,918 |
| Unexpended balance, estimated savings | –67 | –28 | – |
| TOTALS, EXPENDITURES (0120) | $97,076 | $103,562 | $113,918 |

#### 0125 Assembly Operating Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 9129 | $97,076 | $103,562 | $113,918 |
| Less funding provided by the General Fund | –97,076 | –103,562 | –113,918 |
| TOTALS, EXPENDITURES (0120) | – | – | – |
| TOTALS, EXPENDITURES, ASSEMBLY (ALL FUNDS) | $97,076 | $103,562 | $113,918 |

#### Assembly and Senate

#### 0160 Operating Funds of Assembly and Senate [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Item 10.1, Budget Act of 1967 | $21 | $21 | $21 |
| Balance available in subsequent years | –21 | –21 | –21 |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $168,594 | $179,879 | $197,867 |

*** Dollars in thousands, except in Salary Range.**

## 0100 LEGISLATURE—Continued

### FUND CONDITION STATEMENT

| 0125 Assembly Operating Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0120 Legislature (State Operations) | $97,076 | $103,562 | $113,918 |
| Expenditure Reductions: | | | |
| 0120 Legislature: | | | |
| Less funding provided by the General Fund (State Operations) | –97,076 | –103,562 | –113,918 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

| 0160 Operating Funds of the Assembly and Senate [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $21 | $21 | $21 |
| FUND BALANCE | $21 | $21 | $21 |

| 0348 Senate Operating Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $308 | $308 | $308 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0110 Legislature (State Operations) | 71,518 | 76,317 | 83,949 |
| Expenditure Reductions: | | | |
| 0110 Legislature: | | | |
| Less funding provided by the General Fund (State Operations) | –71,518 | –76,317 | –83,949 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | $308 | $308 | $308 |
| Reserve for economic uncertainties | 308 | 308 | 308 |

## 0150 CONTRIBUTIONS TO THE LEGISLATORS' RETIREMENT SYSTEM

Established in 1947, the Legislators' Retirement System (LRS) provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers. Chapter 937, Statutes of 1977, established the State's contribution rate at 18.81 percent of payroll. The LRS also is financed through member contributions of 4 percent for members enrolled prior to March 4, 1972, and 8 percent for members enrolled thereafter, and through investment earnings. Administration of the LRS is provided by the Public Employees' Retirement System (CalPERS). Retirement benefits paid are based upon age, years of service, and compensation. For members of the Legislature and constitutional officers, benefits are modified according to the actual calendar years that the service was performed. Survivors, death, and disability benefits also are provided under specific conditions.

Pursuant to Proposition 140, approved by the electorate on November 6, 1990, legislators taking office for the first time after November 6, 1990 are not eligible for participation in the LRS.

Chapter 897, Statutes of 1999, eliminated the State's statutorily specified contribution rate to the LRS and instead requires the State's contribution to be actuarially determined. This Chapter, upon approval of the CalPERS Board of Administration, also allowed the reduction of member contributions when the State contribution is zero percent (0%). Since the LRS is currently super-funded, this Chapter effectively reduced General Fund contributions and member contributions to zero in 2000–01 and 2001–02. The LRS will pay approximately $7.0 million in benefits to annuitants in 2001–02. The CalPERS is requesting $234,000 for administrative costs.

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Government Code Section 9358 (expenditures) | $339 | – | – |

### 4 UNCLASSIFIED
### 0820 Legislators' Retirement Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Benefits Paid: | | | |
| Government Code Section 9359.1 (expenditures) | $6,630 | $6,780 | $7,049 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Unclassified) | $6,969 | $6,780 | $7,049 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0150 CONTRIBUTIONS TO THE LEGISLATORS' RETIREMENT SYSTEM—Continued

### FUND CONDITION STATEMENT
### 0820 Legislators' Retirement Fund [n]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $142,303 | $145,608 | $148,218 |
| Prior year adjustments | −2 | – | – |
| Balance, Adjusted | $142,301 | $145,608 | $148,218 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments: | | | |
| Net income | 13 | 5 | 5 |
| Net profit | 9,776 | 9,995 | 9,995 |
| 221000 Contributions from fiduciary funds: | | | |
| Contributions from members | 179 | – | – |
| Contributions from State (employer) | 339 | – | – |
| Refund of contributions | −164 | −375 | −150 |
| Totals, Operating Revenues | $10,143 | $9,625 | $9,850 |
| Totals, Resources | $152,444 | $155,233 | $158,068 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0150 Contributions to the Legislators' Retirement System (Benefits Paid) (Unclassified) | 6,630 | 6,780 | 7,049 |
| 1900 Public Employees' Retirement System (State Operations) | 206 | 235 | 234 |
| Totals, Disbursements | $6,836 | $7,015 | $7,283 |
| FUND BALANCE | $145,608 | $148,218 | $150,785 |

## 0160 LEGISLATIVE COUNSEL BUREAU

The Legislative Counsel Bureau provides legal assistance to the two houses of the Legislature and their members and committees in resolving a large volume of complex legal problems arising in connection with the legislative process, all of which must be resolved within a critical time span. The legal services furnished include rendering opinions, drafting bills, counseling, attendance as counsel at meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained, and all work is confidential.

In addition, the bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures, and compiles and indexes statutes and codes.

The bureau operates a data center which is used for the legislative information system and the processing of legislative measures.

### SUMMARY OF PROGRAM REQUIREMENTS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| TOTALS, PROGRAMS | 539.1 | 617.0 | 625.0 | $63,620 | $71,305 | $76,670 |
| *0001 General Fund* | | | | *62,620* | *71,174* | *76,539* |
| *0995 Reimbursements* | | | | *1,000* | *131* | *131* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SEVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 539.1 | 629.5 | 629.5 | $30,431 | $36,011 | $36,913 |
| Total Adjustments | – | – | 8.0 | – | – | 414 |
| Estimated Salary Savings | – | −12.5 | −12.5 | – | −599 | −599 |
| Net Totals, Salaries and Wages | 539.1 | 617.0 | 625.0 | $30,431 | $35,412 | $36,728 |
| Staff Benefits | – | – | – | 5,244 | 6,469 | 6,735 |
| Totals, Personal Services | 539.1 | 617.0 | 625.0 | $35,675 | $41,881 | $43,463 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $27,945 | $29,424 | $33,207 |
| TOTALS, EXPENDITURES | | | | $63,620 | $71,305 | $76,670 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0160 LEGISLATIVE COUNSEL BUREAU—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $62,320 | $71,146 | $76,539 |
| Allocation for employee compensation | 2,299 | 384 | – |
| Adjustment per Section 3.60 | –2,016 | –356 | – |
| Adjustment per Section 16.00 | 17 | – | – |
| TOTALS, EXPENDITURES | $62,620 | $71,174 | $76,539 |
| **0995 Reimbursements** | | | |
| Reimbursements | $1,000 | $131 | $131 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $63,620 | $71,305 | $76,670 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 539.1 | 629.5 | 629.5 | $30,431 | $36,011 | $36,913 |
| Proposed New Positions: | | | | | | |
| Legislative Data Center: | | | | Salary Range | | |
| Acctg Administrator I (Supvr) | – | – | 1.0 | 4,520–5,453 | – | 64 |
| Info Tech Spec I, Range D | – | – | 4.0 | 4,113–5,000 | – | 255 |
| Acctg Techn | – | – | 1.0 | 2,348–2,855 | – | 33 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 33 |
| Ofc Asst | – | – | 1.0 | 2,029–2,465 | – | 29 |
| Totals, Proposed New Positions | – | – | 8.0 | – | – | $414 |
| Total Adjustments | – | – | 8.0 | – | – | $414 |
| TOTALS, SALARIES AND WAGES | 539.1 | 629.5 | 637.5 | $30,431 | $36,011 | $37,327 |

## 0250 JUDICIAL

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Pursuant to Proposition 190 which amended the California Constitution, Article VI, Section 18(1), the budget for the Commission on Judicial Performance is separate from the budget of any other state agency or court. Therefore, the expenditures for that budget are under the Commission on Judicial Performance (0280). Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Supreme Court | 128.6 | 141.1 | 145.9 | $29,296 | $34,489 | $37,213 |
| 20 Courts of Appeal | 716.7 | 857.5 | 860.9 | 139,631 | 159,028 | 166,588 |
| 30 Judicial Council | 313.3 | 392.4 | 434.4 | 115,613 | 127,129 | 137,481 |
| 50 Habeas Corpus Resource Center | 37.4 | 62.7 | 64.6 | 6,971 | 10,643 | 9,914 |
| TOTALS, PROGRAMS | 1,196.0 | 1,453.7 | 1,505.8 | $291,511 | $331,289 | $351,196 |
| *0001 General Fund* | | | | *244,577* | *277,061* | *297,913* |
| *0044 Motor Vehicle Account, State Transportation Fund* | | | | *97* | *136* | *134* |
| *0327 Court Interpreters' Fund* | | | | *219* | *175* | *93* |
| *0587 Family Law Trust Fund* | | | | *925* | *900* | *954* |
| *0890 Federal Trust Fund* | | | | *925* | *6,249* | *4,699* |
| *0995 Reimbursements* | | | | *44,768* | *46,768* | *47,403* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0250 JUDICIAL—Continued

### 10 SUPREME COURT

#### Program Objectives Statement

The Supreme Court is the highest court in the California judicial system. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the state constitution, all death penalty judgments are appealed directly to the Supreme Court.

#### Major Budget Adjustments Proposed for 2001–02

- $661,000 and 5.0 positions (4.8 personnel years) to address increased workloads of the Automatic Appeals Unit and the Criminal Central Staff.
- $391,000 to cover increased costs of legal books and subscriptions.
- $184,000 to fund on-going costs in temporary help, overtime, and general expense resulting from increases in filings and public requests for information.
- $4,000 to fund pay differentials for judicial officers.
- $1.314 million for increases in the cost of court-appointed counsel services.

### 20 COURTS OF APPEAL

#### Program Objectives Statement

The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the State of California. Cases before the Courts of Appeal involve every area of civil and criminal law. There is an average of 195 notices of appeal per justice and each justice issues an average of 142 written opinions per year.

#### Major Budget Adjustments Proposed for 2001–02

- $154,000 and 2.5 positions (2.4 personnel years) to address increased, ongoing appellate workloads.
- $150,000 for settlement-conference training.
- $84,000 and 1.0 position (0.9 personnel year) to address the increased judicial clerical workload.
- $459,000 to provide additional office space for new appellate court justices.
- $20,000 for pay differentials for judicial officers.
- $653,000 for increases in the cost of court-appointed counsel services.

### 30 JUDICIAL COUNCIL

#### Program Objectives Statement

The Judicial Council of California is the constitutional policy making body for the state judiciary. The Council consists of 21 voting members and six advisory members; the Chief Justice of California serves as chair. The Administrative Office of the Courts is the administrative arm of the Council. This office provides policy support to the Council, administrative accountability in the operation of the courts as specified by law, and administrative support for courts in areas such as budget, coordination of the assignment of retired judges, technology, education and research.

#### Major Budget Adjustments Proposed for 2001–02

- $1,882,000 and 3.0 positions (2.8 personnel years) to initiate an external fiscal review and audit process for the trial courts.
- $210,000 and 2.0 positions (1.9 personnel years) to staff a consolidated grant management unit to monitor compliance of grants and standardize grant administration practices.
- $330,000 and 3.0 positions (2.8 personnel years) for the Accounting Unit to provide adequate management, staffing and an acceptable customer service level to the trial courts.
- $232,000 and 2.0 positions (1.9 personnel years) to implement a statistical indicator system to research family, juvenile, mental health, probate and domestic violence cases.
- $424,000 and 4.0 positions (3.8 personnel years) to provide improvements in programming, design, delivery and content development for the two court web sites.
- $207,000 and 2.0 positions (1.9 personnel years) for an attorney and support staff to provide legal services to the trial courts and others in procurement and contracting transactions.
- $112,000 and 1.0 position (0.9 personnel year) to improve the collection and analysis of data on court operations and provide this information to the courts in a timely manner.
- $103,000 for a contractual court fellow to serve as a field representative to the trial courts in implementing the provisions of the Trial Court Funding Act pursuant to Chapter 850, Statutes of 1997.
- $204,000 for additional costs related to test development and administration of the court interpreters program.
- $218,000 and 2.0 positions (1.9 personnel years) to establish successful practices for the trial courts and assist in their implementation.
- $356,000 and 3.0 positions (2.8 personnel years) to provide increased services for recruitment, test validation, compensation and appellate courts liaison supervision.
- $91,000 and 1.0 position (0.9 personnel year) to address the increased demand for conferences, meetings and travel services in a timely and efficient manner.
- $110,000 and 1.0 position (0.9 personnel year) for the development and implementation of procedures and policies related to judicial branch procurement and contracting activities.
- $381,000 for an annual equipment replacement program to replace equipment that has reached the end of its useful life and increase office productivity.
- $675,000 to recruit, train and supervise additional volunteers who have been selected for the Court Appointed Special Advocates (CASA) Grants Project.

*** Dollars in thousands, except in Salary Range.**

## 0250 JUDICIAL—Continued

- $832,000 to establish pilot projects to determine the effectiveness of five different models of court-based self-help programs for low-income persons.
- $605,000 for the AB 1058 Child Support Program that increases expenditure authority for child support commissioner salary increases and a guideline study.
- $5.0 million increase in the Equal Access Fund to provide additional legal representation for unrepresented indigent litigants.
- $2,148,000 and 18.5 positions (17.6 personnel years) to provide training, counsel and support to the trial courts in complying with the Trial Court Employment and Governance Act.
- $232,000 and 2.0 positions (1.9 personnel years) to provide for increased training and utilization of the current PeopleSoft Human Resources Management System.

## 50 HABEAS CORPUS RESOURCE CENTER

### Program Objectives Statement

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

### Major Budget Adjustments Proposed for 2001–02

- $125,000 to conduct additional training.
- $331,000 and 2.0 positions (1.9 personnel years) to provide Information Systems support.
- $223,000 for additional office space.
- $91,000 to fund geographic salary differentials.

---

## PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 SUPREME COURT** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$29,296* | *$34,489* | *$37,213* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 COURTS OF APPEAL** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$139,631* | *$159,028* | *$166,588* |
| **PROGRAM REQUIREMENTS** | | | |
| **30 JUDICIAL COUNCIL** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$57,447* | *$63,126* | *$66,666* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *97* | *136* | *134* |
| *0327 Court Interpreters' Fund* | *219* | *175* | *93* |
| *0587 Family Law Trust Fund* | *925* | *900* | *954* |
| *0890 Federal Trust Fund* | *902* | *1,424* | *1,424* |
| *0995 Reimbursements* | *1,750* | *2,656* | *2,721* |
| Totals, State Operations | $61,340 | $68,417 | $71,992 |
| Local Assistance: | | | |
| *0001 General Fund* | *11,245* | *12,275* | *18,532* |
| *0890 Federal Trust Fund* | *10* | *2,325* | *2,275* |
| *0995 Reimbursements* | *43,018* | *44,112* | *44,682* |
| Totals, Local Assistance | $54,273 | $58,712 | $65,489 |
| Totals, Program 30 | $115,613 | $127,129 | $137,481 |
| **PROGRAM REQUIREMENTS** | | | |
| **50 HABEAS CORPUS RESOURCE CENTER** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$6,958* | *$8,143* | *$8,914* |
| *0890 Federal Trust Fund* | *13* | *2,500* | *1,000* |
| Totals, State Operations | $6,971 | $10,643 | $9,914 |
| TOTALS, EXPENDITURES (State Operations) | $237,238 | $272,577 | $285,707 |
| TOTALS, EXPENDITURES (Local Assistance) | $54,273 | $58,712 | $65,489 |
| TOTALS, PROGRAMS | $291,511 | $331,289 | $351,196 |

*** Dollars in thousands, except in Salary Range.**

## 0250 JUDICIAL—Continued

### SUMMARY BY OBJECT
### 10 Supreme Court
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 128.6 | 144.0 | 144.0 | $11,155 | $13,003 | $13,428 |
| Total Adjustments | – | – | 5.0 | – | – | 524 |
| Estimated Salary Savings | – | −2.9 | −3.1 | – | −260 | −283 |
| Net Totals, Salaries and Wages | 128.6 | 141.1 | 145.9 | $11,155 | $12,743 | $13,669 |
| Staff Benefits | – | – | – | 1,973 | 1,461 | 1,569 |
| Totals, Personal Services | 128.6 | 141.1 | 145.9 | $13,128 | $14,204 | $15,238 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $7,530 | $6,737 | $8,427 |
| SPECIAL ITEMS OF EXPENSE | | | | 8,638 | 13,548 | 13,548 |
| TOTALS, EXPENDITURES, SUPREME COURT (General Fund) | | | | $29,296 | $34,489 | $37,213 |

### SUMMARY BY OBJECT
### 20 Courts of Appeal
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 716.7 | 884.0 | 884.0 | $59,136 | $74,673 | $77,907 |
| Total Adjustments | – | – | 3.5 | – | – | 243 |
| Estimated Salary Savings | – | −26.5 | −26.6 | – | −2,240 | −2,416 |
| Net Totals, Salaries and Wages | 716.7 | 857.5 | 860.9 | $59,136 | $72,433 | $75,734 |
| Staff Benefits | – | – | – | 9,722 | 8,106 | 8,502 |
| Totals, Personal Services | 716.7 | 857.5 | 860.9 | $68,858 | $80,539 | $84,236 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $22,792 | $28,904 | $32,767 |
| SPECIAL ITEMS OF EXPENSE | | | | 47,981 | 49,585 | 49,585 |
| TOTALS, EXPENDITURES, COURTS OF APPEAL (General Fund) | | | | $139,631 | $159,028 | $166,588 |

### SUMMARY BY OBJECT
### 30 Judicial Council
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 313.3 | 415.6 | 415.6 | $19,961 | $28,579 | $29,823 |
| Total Adjustments | – | – | 44.5 | – | – | 2,868 |
| Estimated Salary Savings | – | −23.2 | −25.7 | – | −1,896 | −2,163 |
| Net Totals, Salaries and Wages | 313.3 | 392.4 | 434.4 | $19,961 | $26,683 | $30,528 |
| Staff Benefits | – | – | – | 3,815 | 3,700 | 4,347 |
| Totals, Personal Services | 313.3 | 392.4 | 434.4 | $23,776 | $30,383 | $34,875 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $36,614 | $37,084 | $36,717 |
| SPECIAL ITEMS OF EXPENSE | | | | 950 | 950 | 400 |
| TOTALS, EXPENDITURES, JUDICIAL COUNCIL | | | | $61,340 | $68,417 | $71,992 |

*** Dollars in thousands, except in Salary Range.**

## 0250 JUDICIAL—Continued

### SUMMARY BY OBJECT
### 50 Habeas Corpus Resource Center
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 37.4 | 66.0 | 66.0 | $2,307 | $4,528 | $4,759 |
| Total Adjustments | – | – | 2.0 | – | – | 230 |
| Estimated Salary Savings | – | –3.3 | –3.4 | – | –226 | –242 |
| Net Totals, Salaries and Wages | 37.4 | 62.7 | 64.6 | $2,307 | $4,302 | $4,747 |
| Staff Benefits | – | – | – | 161 | 599 | 641 |
| Totals, Personal Services | 37.4 | 62.7 | 64.6 | $2,468 | $4,901 | $5,388 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,503 | $5,742 | $4,526 |
| TOTALS, EXPENDITURES, HABEAS CORPUS RESOURCE CENTER | | | | $6,971 | $10,643 | $9,914 |
| NET TOTALS, EXPENDITURES, ALL PROGRAMS | | | | $237,238 | $272,577 | $285,707 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $239,104 | $263,054 | $278,349 |
| 003 Budget Act appropriation | – | 1,024 | 1,032 |
| Allocation for employee compensation | 7,837 | 1,006 | – |
| Allocation for contingencies or emergencies | 1,338 | 550 | – |
| Adjustment per Section 3.60 | –5,485 | –1,063 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 215 | – |
| Adjustment per Section 16.00 | 51 | – | – |
| Transfer from Item 0250-101-0001 per Provision 2 | 500 | – | – |
| Totals Available | $243,345 | $264,786 | $279,381 |
| Unexpended balance, estimated savings | –10,013 | – | – |
| TOTALS, EXPENDITURES | $233,332 | $264,786 | $279,381 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $127 | $137 | $134 |
| Allocation for employee compensation | 6 | 1 | – |
| Adjustment per Section 3.60 | –7 | –2 | – |
| Totals Available | $126 | $136 | $134 |
| Unexpended balance, estimated savings | –29 | – | – |
| TOTALS, EXPENDITURES | $97 | $136 | $134 |

### 0327 Court Interpreters' Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $256 | $100 | $93 |
| Unexpended balance, estimated savings | –37 | – | – |
| Allocation for contingencies or emergencies | – | 75 | – |
| TOTALS, EXPENDITURES | $219 | $175 | $93 |

### 0587 Family Law Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Family Code Section 1852 (expenditures) | $925 | $900 | $954 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,650 | $3,848 | $2,424 |
| Allocation for employee compensation | 14 | – | – |
| Adjustment per Section 3.60 | –22 | – | – |
| Budget adjustment | –2,727 | 76 | – |
| TOTALS, EXPENDITURES | $915 | $3,924 | $2,424 |

*** Dollars in thousands, except in Salary Range.**

## 0250 JUDICIAL—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | $1,750 | $2,656 | $2,721 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $237,238 | $272,577 | $285,707 |

---

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation | $11,775 | $12,275 | $18,532 |
| Transfer to Item 0250-001-0001 per Provision 2 | −500 | – | – |
| Totals Available | $11,275 | $12,275 | $18,532 |
| Unexpended balance, estimated savings | −30 | – | – |
| TOTALS, EXPENDITURES | $11,245 | $12,275 | $18,532 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $1,525 | $1,525 | $2,275 |
| Budget adjustment | −1,515 | 800 | – |
| TOTALS, EXPENDITURES | $10 | $2,325 | $2,275 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $43,018 | $44,112 | $44,682 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $54,273 | $58,712 | $65,489 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $291,511 | $331,289 | $351,196 |

---

### FUND CONDITION STATEMENT
### 0327 Court Interpreters' Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $149 | $65 | – |
| REVENUES AND TRANSFERS | | | |
| 125700 Other Regulatory Licenses and Permits | 135 | 110 | $110 |
| Totals, Resources | $284 | $175 | $110 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0250 Judicial (State Operations) | 219 | 175 | 93 |
| FUND BALANCE | $65 | – | $17 |
| Reserve for economic uncertainties | 65 | – | 17 |

### 0587 Family Law Trust Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $696 | $1,278 | $1,885 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenue: (Dissolution Copy Fee (Government Code Section 26832)) | 1,507 | 1,507 | 1,507 |
| Totals, Resources | $2,203 | $2,785 | $3,392 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0250 Judicial: | | | |
| State Operations | 925 | 900 | 954 |
| Totals, Disbursements | $925 | $900 | $954 |
| FUND BALANCE | $1,278 | $1,885 | $2,438 |
| Reserve for economic uncertainties | 1,278 | 1,885 | 2,438 |

---

*** Dollars in thousands, except in Salary Range.**

## 0250 JUDICIAL—Continued

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 1,196.0 | 1,509.6 | 1,509.6 | $92,559 | $120,783 | $125,917 |
| Salary adjustments | – | – | – | – | – | 21 |
| Totals, Adjusted Authorized Positions | 1,196.0 | 1,509.6 | 1,509.6 | $92,559 | $120,783 | $125,938 |
| Proposed New Positions: | | | | | | |
| Supreme Court: | | | | Salary Range | | |
| Sr Supreme Court Atty | – | – | 5.0 | 6,886–8,539 | – | 463 |
| Totals, Supreme Court | – | – | 5.0 | – | – | $463 |
| Courts of Appeal: | | | | | | |
| Judicial Secty II | – | – | 1.0 | 3,589–4,363 | – | 48 |
| Assoc Court Sys Admin | – | – | 1.0 | 3,818–4,230 | – | 48 |
| Custodian | – | – | 1.5 | 2,378–2,892 | – | 48 |
| Totals, Courts of Appeal | – | – | 3.5 | – | – | $144 |
| Habeas Corpus Resource Center: | | | | | | |
| Mitigation Spec | – | – | 1.0 | 6,848–8,400 | – | 91 |
| Legal Secty | – | – | 1.0 | 3,589–4,363 | – | 48 |
| Totals, Habeas Corpus Resource Center | – | – | 2.0 | – | – | $139 |
| Administrative Office of the Courts: | | | | | | |
| Finance Division: | | | | | | |
| Audit Mgr (reclass) | – | – | 1.0 | 6,883–8,365 | – | 102 |
| Manager | – | – | 1.0 | 6,883–8,365 | – | 102 |
| Audit Supvr (reclass) | – | – | –1.0 | 5,769–7,012 | – | –85 |
| Sr Contract Analyst | – | – | 1.0 | 5,572–6,770 | – | 74 |
| Sr Accountant | – | – | 1.0 | 5,007–6,084 | – | 74 |
| Auditor | – | – | 3.0 | 4,557–5,538 | – | 202 |
| Accountant II | – | – | 1.0 | 4,557–5,538 | – | 68 |
| Accountant Techn | – | – | 1.0 | 2,862–3,481 | – | 42 |
| Totals, Finance Division | – | – | 8.0 | – | – | $579 |
| Information Services Division: | | | | | | |
| Sr Bus Sys Analyst | – | – | 1.0 | 5,493–6,677 | – | 81 |
| Totals, Information Services Division | – | – | 1.0 | – | – | $81 |
| Judicial Council Services: | | | | | | |
| Research Analyst | – | – | 2.0 | 4,557–5,538 | – | 134 |
| Court Svcs Analyst | – | – | 1.0 | 4,557–5,538 | – | 67 |
| Communications Spec II | – | – | 1.0 | 4,333–5,267 | – | 64 |
| Adm Coord II | – | – | 1.0 | 3,775–4,588 | – | 56 |
| Totals, Judicial Council Services | – | – | 5.0 | – | – | $321 |
| CFCC: | | | | | | |
| Sr Research Analyst | – | – | 1.0 | 5,007–6,084 | – | 74 |
| Research Analyst | – | – | 1.0 | 4,557–5,538 | – | 67 |
| Totals, CFCC | – | – | 2.0 | – | – | $141 |
| Education: | | | | | | |
| Web Editor | – | – | 1.0 | 4,620–5,616 | – | 62 |
| Educ Spec II | – | – | 1.0 | 4,557–5,538 | – | 67 |
| Adm Coord II | – | – | 1.0 | 3,775–4,588 | – | 52 |
| Totals, Education Division | – | – | 3.0 | – | – | $181 |
| Human Resources: | | | | | | |
| Supvng Human Resources Analyst (reclass) | – | – | 1.0 | 6,420–7,802 | – | 85 |
| Supvng Human Resources Analyst | – | – | 1.0 | 6,420–7,802 | – | 85 |
| Supvng Human Resources Analyst | – | – | 1.0 | 5,769–7,012 | – | 85 |
| Sr Human Resources Analyst | – | – | 2.0 | 5,007–6,084 | – | 148 |
| Supvng Human Resources Analyst | – | – | 1.0 | 5,007–6,084 | – | 85 |
| Sr Human Resources Analyst (reclass) | – | – | –1.0 | 5,007–6,084 | – | –74 |
| Sr Human Resources Analyst (reclass) | – | – | 1.0 | 5,007–6,084 | – | 74 |
| Human Resources Analyst | – | – | 8.5 | 4,557–5,538 | – | 573 |
| Adm Coord I | – | – | 1.0 | 3,431–4,171 | – | 51 |
| Secty I | – | – | 1.0 | 2,667–3,241 | – | 40 |
| Totals, Human Resources Division | – | – | 16.5 | – | – | $1,152 |

*** Dollars in thousands, except in Salary Range.**

## 0250 JUDICIAL—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Office of the General Council: | | | | Salary Range | | |
| Attorney | – | – | 4.0 | $6,188–7,673 | – | $371 |
| Adm Coord I | – | – | 1.0 | 3,431–4,171 | – | 51 |
| Secty II | – | – | 2.0 | 2,932–3,564 | – | 86 |
| Totals, Office of the General Council | – | – | 7.0 | – | – | $508 |
| Trial Court Programs: | | | | | | |
| Court Svcs Analyst | – | – | 2.0 | 4,557–5,538 | – | 135 |
| Totals, Trial Court Programs | – | – | 2.0 | – | – | $135 |
| Totals, Administrative Office of the Courts | – | – | 44.5 | – | – | $3,098 |
| Totals, Proposed New Positions | – | – | 55.0 | – | – | $3,844 |
| Total Adjustments | – | – | 55.0 | – | – | $3,865 |
| TOTALS, SALARIES AND WAGES | 1,196.0 | 1,509.6 | 1,564.6 | $92,559 | $120,783 | $129,782 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **80 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 90.20.201 Second Appellate District Renovation-Los Angeles | – | $873 PWCg | – |
| 90.20.301 Third Appellate District Renovation-Sacramento | – | 451 PWCg | – |
| 90.20.401 Fourth Appellate District New Courthouse-Santa Ana | – | 3,215 APg | $621 Wg |
| 90.20.501 Fifth Appellate District New Courthouse-Fresno | – | 1,506 APg | 684 Wg |
| 90.20.601 Second Appellate District, Los Angeles-Renovation for new Judgeships | – | – | 567 PWCg |
| Totals, Major Projects | – | $6,045 | $1,872 |
| **Minor Projects** | | | |
| 90.20.900 Minor Projects | – | – | $86 |
| Totals, Minor Projects | – | – | $86 |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | – | $6,045 | $1,958 |
| *0001 General Fund* | – | *6,045* | *1,958* |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $6,045 | $1,958 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | – | $6,045 | $1,958 |

## 0280 COMMISSION ON JUDICIAL PERFORMANCE

Article VI of the State Constitution creates the Commission on Judicial Performance to consider complaints against judges.

Pursuant to Proposition 190 which amended the California Constitution, Article VI, Section 18(*l*), the budget of the Commission on Judicial Performance shall be separate from the budget of any other state agency or court.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Commission on Judicial Performance | 23.2 | 27.0 | 27.0 | $3,516 | $3,680 | $3,976 |
| TOTALS, PROGRAMS (General Fund) | 23.2 | 27.0 | 27.0 | $3,516 | $3,680 | $3,976 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0280 COMMISSION ON JUDICIAL PERFORMANCE—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 23.2 | 27.0 | 27.0 | $1,811 | $2,160 | $2,207 |
| Total Adjustments | – | – | – | – | – | 160 |
| Net Totals, Salaries and Wages | 23.2 | 27.0 | 27.0 | $1,811 | $2,160 | $2,367 |
| Staff Benefits | – | – | – | 300 | 363 | 434 |
| Totals, Personal Services | 23.2 | 27.0 | 27.0 | $2,111 | $2,523 | $2,801 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,405 | $1,157 | $1,175 |
| TOTALS, EXPENDITURES | | | | $3,516 | $3,680 | $3,976 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $3,626 | $3,704 | $3,976 |
| Allocation for employee compensation | 135 | – | – |
| Adjustment per Section 3.60 | −134 | −24 | – |
| Totals Available | $3,627 | $3,680 | $3,976 |
| Unexpended balance, estimated savings | −111 | – | – |
| TOTALS, EXPENDITURES | $3,516 | $3,680 | $3,976 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 23.2 | 27.0 | 27.0 | $1,811 | $2,160 | $2,207 |
| Salary adjustments | – | – | – | – | – | 160 |
| Totals, Adjusted Authorized Positions | 23.2 | 27.0 | 27.0 | $1,811 | $2,160 | $2,367 |
| Total Adjustments | – | – | – | – | – | $160 |
| TOTALS, SALARIES AND WAGES | 23.2 | 27.0 | 27.0 | $1,811 | $2,160 | $2,367 |

## 0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM

The Judges' Retirement System (JRS) provides retirement benefit funding for California's Supreme and Appellate Court Judges and Superior and Municipal Court Judges. The JRS retirement benefits are based on age, years of service, compensation of active judges, and eligibility as determined by specific sections of the Judges' Retirement Law. Survivors, death, and disability benefits also are provided under specific conditions. The JRS receives contributions equal to eight percent of salary from both active judges and the State. Additional contributions come from filing fees from specific civil cases, investment income, and State General Fund. These contributions, however, are insufficient to fully fund benefit payments. Consequently, current law requires the State to fund the deficiency between existing contribution resources and the required benefit payments to annuitants (Government Code Section 75107).

Chapter 879, Statutes of 1994, established the Judges' Retirement System II (JRS II). All new judges elected or appointed on or after November 9, 1994, become members of JRS II. It is anticipated that JRS II will be fully funded from employer and member contributions on a prospective basis, thereby eliminating the ''pay-as-you-go'' feature characteristic of the original JRS program (JRS I). JRS II members also have the option of choosing a monetary credit plan (includes a lump-sum return of contributions and interest earned) or the defined benefit plan.

For 2001–02, the budget proposes a total of $77.5 million General Fund; $24.5 million for the State's contribution for sitting judges, and $53.0 million to pay benefits to retired judges.

The budget provides contributions for the following number of judges by type of court:

| Type of Court | Number of Judges | | |
|---|---|---|---|
| | *1999–00* | *2000–01* | *2001–02* |
| Supreme | 7 | 7 | 7 |
| Appellate | 93 | 105 | 105 |
| Local Assistance: | | | |
| Superior | 1,273 | 1,469 | 1,469 |
| Municipal | 207 | 31 | 31 |
| Totals | 1,580 | 1,612 | 1,612 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM—Continued

### Authority

Government Code Sections 75000, et seq.

---

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### Supreme and Appellate Court Judges
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (for transfer to Judges' Retirement Fund-0815) | $1,100 | $1,150 | $1,150 |
| Government Code Section 75101 (JRS I) | 1,064 | 1,022 | 1,038 |
| Government Code Section 75600.5 (JRS II) | 50 | 78 | 132 |
| Totals Available | $2,214 | $2,250 | $2,320 |
| TOTALS, EXPENDITURES (State Operations) | $2,214 | $2,250 | $2,320 |

---

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### Superior and Municipal Court Judges
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (for transfer to Judges' Retirement Fund) | $61,250 | $77,750 | $51,859 |
| Government Code Section 75101 (JRS I) | 10,670 | 12,600 | 12,300 |
| Government Code Section 75600.5 (JRS II) | 7,410 | 9,367 | 10,976 |
| TOTALS, EXPENDITURES (Local Assistance) | $79,330 | $99,717 | $75,135 |
| TOTALS, EXPENDITURES (State Operations and Local Assistance) | $81,544 | $101,967 | $77,455 |

### 4 UNCLASSIFIED
### 0815 Judges' Retirement Fund [n]

| | | | |
|---|---|---|---|
| Benefits Paid: | | | |
| Government Code Section 75025 | $90,171 | $98,582 | $101,817 |
| Number of Annuitants (JRS I) | (1,511) | (1,417) | (1,447) |
| **0884 Judges' Retirement System II Fund [n]** | | | |
| Government Code Section 75522 | $226 | $215 | $215 |
| Number of Annuitants (JRS II) | (2) | (2) | (2) |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | $90,397 | $98,797 | $102,032 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified) | $171,941 | $200,764 | $179,487 |

---

### FUND CONDITION STATEMENT
### 0815 Judges' Retirement Fund [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $19,865 | $21,515 | $34,147 |
| Prior year adjustments | −18 | – | – |
| Balance, Adjusted | $19,847 | $21,515 | $34,147 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 2,513 | 2,000 | 2,000 |
| 216000 Fees and licenses (filing fees) | 3,257 | 3,000 | 3,000 |
| 221000 Contributions from judges | 11,252 | 13,622 | 13,338 |
| 221000 Refunds of contributions | – | −50 | −50 |

*** Dollars in thousands, except in Salary Range.**

## 0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 221000 Contributions from State | $11,734 | $13,622 | $13,338 |
| 221000 Contributions for assignments | 1,093 | 500 | 500 |
| 299000 Budget Act appropriation (administration) (transfer from General Fund) | 360 | 380 | 382 |
| 299000 Budget Act appropriations (transfer from General Fund) | 61,990 | 78,520 | 52,627 |
| Totals, Operating Revenues | $92,199 | $111,594 | $85,135 |
| Totals, Resources | $112,046 | $133,109 | $119,282 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0390 Contributions to the Judges' Retirement System (Unclassified): Benefits paid from Judges' Retirement Fund | 90,171 | 98,582 | 101,817 |
| 1900 Public Employees' Retirement System—Administrative costs (State Operations) | 360 | 380 | 382 |
| Totals, Disbursements | $90,531 | $98,962 | $102,199 |
| FUND BALANCE | $21,515 | $34,147 | $17,083 |

### 0884 Judges' Retirement System II Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $28,382 | $41,376 | $56,455 |
| Prior year adjustments | –9 | – | – |
| Balance, Adjusted | $28,373 | $41,376 | $56,455 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 221000 Contributions from judges | 3,210 | 4,133 | 4,843 |
| 221000 Contributions from State | 7,460 | 9,445 | 11,108 |
| Interest income | 2,734 | 2,000 | 2,000 |
| Totals, Operating Revenue | $13,404 | $15,578 | $17,951 |
| Totals, Resources | $41,777 | $56,954 | $74,406 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0390 Contributions to the Judges' Retirement System (Unclassified): Benefits paid to Judges' Retirement II | 226 | 215 | 215 |
| 1900 Public Employees' Retirement System (State Operations) | 175 | 284 | 284 |
| Totals, Disbursements | $401 | $499 | $499 |
| FUND BALANCE | $41,376 | $56,455 | $73,907 |

## 0450 STATE TRIAL COURT FUNDING

Chapter 850, Statutes of 1997, enacted the Lockyer-Isenberg Trial Court Funding Act of 1997 to provide a stable and consistent funding source for the trial courts. Beginning with the 1997–98 fiscal year, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994–95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained, and each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the State General Fund in 1994–95.

### TRIAL COURT FUNDING

| SUMMARY OF PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10 Support for the Operation of Trial Courts | $1,609,465 | $1,775,235 | $1,861,506 |
| 25 Salaries of Superior Court Judges | 160,232 | 212,899 | 232,598 |
| 35 Assigned Judges | 16,745 | 17,703 | 17,819 |
| 45 Court Interpreters | 50,294 | 54,447 | 58,110 |
| TOTALS, PROGRAMS | $1,836,736 | $2,060,284 | $2,170,033 |
| *0001 General Fund* | *962,043* | *1,140,552* | *1,160,681* |
| *0159 Trial Court Improvement Fund* | *56,468* | *91,542* | *71,543* |
| *0556 Judicial Administration Efficiency and Modernization Fund* | *27,211* | *35,322* | *44,122* |
| *Less funding provided by the General Fund* | *–35,211* | *–37,322* | *–34,122* |
| *Less funding provided by the Judicial Administration Efficiency and Modernization Fund* | *–* | *–3,200* | *–* |
| *0932 Trial Court Trust Fund* | *1,753,057* | *1,936,620* | *2,054,368* |
| *Less funding provided by the General Fund* | *–926,832* | *–1,103,230* | *–1,126,559* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0450 STATE TRIAL COURT FUNDING—Continued

### 10 SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

#### Program Objectives Statement

This program's objective is to provide the resources necessary for the adjudication of civil and criminal cases in the state's countywide trial court systems. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of superior court judges, compensation for assigned judges, and support for language interpreters. This program is based on an earlier program, "Block Grants for Trial Courts," and was introduced as a replacement for block grant funding. Implementation of Chapter 850 and Trial Court Reform continues the transition from functional budgeting toward programmatic budgeting for the state trial courts.

#### Major Budget Adjustments Proposed for 2001–02

- $22,481,000 to fund both cost increases and additional levels of service for security, including contractual services and security equipment.
- $3,000,000 for Family and Children-related court programs and services, including family, juvenile, mental health, and probate/guardianship proceedings.
- $3,857,000 to address increased costs associated with appointment of counsel for juvenile dependency proceedings in 38 trial court systems.
- $8,114,000 to address increased charges for county-provided services, primarily for Auditor/Controller, Communications, Information Technology, Janitorial, Personnel, and Risk Management services.
- $4,217,000 to fund the second year of the two-year phase-in of costs for implementation of the one-day/one-trial system.
- $3,000,000 for support of operating expenses and staffing of various administrative operations throughout the statewide trial court system.
- $4,642,000 for Rule 810 allowable infrastructure improvements to address various trial court facilities throughout the statewide system.
- $3,600,000 for additional costs for appointed counsel and Court Appointed Special Advocates for Children in dependency proceedings to comply with the provisions of Chapter 450, Statutes of 2000.
- $3,452,000 to implement the provisions of the Trial Court Employment and Governance Act pursuant to Chapter 1010, Statutes of 2000.
- $94,000 for pay differentials for presiding judicial officers.

### 25 SALARIES FOR SUPERIOR COURT JUDGES

#### Program Objectives Statement

This program provides funding for the salaries and state benefits for Superior Court judges. Proposition 220, which was approved by the voters in 1998, provides for the unification of municipal and superior courts into a single superior court in each county. In doing so, court unification will result in higher salary costs as former municipal judgeships now become unified superior court judgeships with the commensurate superior court judges' salary and benefits.

### 35 ASSIGNED JUDGES

#### Program Objectives Statement

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

### 45 COURT INTERPRETERS

#### Program Objectives Statement

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.

#### Major Budget Adjustment Proposed for 2001–02

- $3,663,000 for interpreter services to fund workload growth in the trial courts.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 SUPPORT FOR OPERATION OF TRIAL COURTS

| Local Assistance: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$962,043* | *$1,140,552* | *$1,160,881* |
| *0159 Trial Court Improvement Fund* | *56,468* | *91,542* | *71,543* |
| *0556 Judicial Administration Efficiency and Modernization Fund* | *−8,000* | *−5,200* | *10,000* |
| *0932 Trial Court Trust Fund* | *598,954* | *548,341* | *619,082* |
| Totals, Local Assistance | $1,609,465 | $1,775,235 | $1,861,506 |

### PROGRAM REQUIREMENTS
### 25 SALARIES OF SUPERIOR COURT JUDGES

| Local Assistance: | | | |
|---|---|---|---|
| *0932 Trial Court Trust Fund* | *$160,232* | *$212,899* | *$232,598* |
| Totals, Local Assistance | $160,232 | $212,899 | $232,598 |

*** Dollars in thousands, except in Salary Range.**

## 0450 STATE TRIAL COURT FUNDING—Continued

### PROGRAM REQUIREMENTS
### 35 ASSIGNED JUDGES

| Local Assistance: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0932 Trial Court Trust Fund* | *$16,745* | *$17,703* | *$17,819* |
| Totals, Local Assistance | $16,745 | $17,703 | $17,819 |

### PROGRAM REQUIREMENTS
### 45 COURT INTERPRETERS

| Local Assistance: | | | |
|---|---|---|---|
| *0932 Trial Court Trust Fund* | *$50,294* | *$54,447* | *$58,110* |
| Totals, Local Assistance | $50,294 | $54,447 | $58,110 |
| TOTALS, EXPENDITURES (Local Assistance) | $1,836,736 | $2,060,284 | $2,170,033 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 111 Budget Act appropriation (transfer to Trial Court Trust Fund-0932) | $885,870 | $1,101,460 | $1,126,559 |
| Allocation for employee compensation | 11,179 | 1,770 | – |
| Allocation for contingencies or emergencies | 29,783 | – | – |
| 112 Budget Act appropriation (transfer to Judicial Administration Efficiency and Modernization Fund-0556) | 35,211 | 37,322 | 34,122 |
| TOTALS, EXPENDITURES | $962,043 | $1,140,552 | $1,160,681 |

### 0159 Trial Court Improvement Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 77209 (expenditures) | $56,468 | $91,542 | $71,543 |

### 0556 Judicial Administration Efficiency and Modernization Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 112 Budget Act appropriation | $35,211 | $37,322 | $34,122 |
| Prior year balances available: | | | |
| Item 0450-112-0556, Budget Act of 1999 as reappropriated by Item 0450-490, Budget Act of 2000 | – | 8,000 | – |
| Item 0450-112-0556, Budget Act of 2000 | – | – | 10,000 |
| Totals Available | $35,211 | $45,322 | $44,122 |
| Balance available in subsequent years | –8,000 | –10,000 | – |
| TOTALS, EXPENDITURES | $27,211 | $35,322 | $44,122 |
| Less funding by the General Fund | –35,211 | –37,322 | –34,122 |
| NET TOTALS, EXPENDITURES | –$8,000 | –$2,000 | $10,000 |

### 0932 Trial Court Trust Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $1,771,678 | $1,988,475 | $2,072,468 |
| Revised expenditure authority per Government Code Section 77209 (for transfer to Trial Court Improvement Fund) | –17,717 | –18,100 | –18,100 |
| Non-receipt of revenue | –36,692 | –38,725 | – |
| Allocation for employee compensation | 11,179 | 1,770 | – |
| Allocation for contingencies or emergencies | 29,783 | – | – |
| Transfer from Judicial Administration Efficiency and Modernization Fund (0556) per Government Code Section 77213(l) | – | 3,200 | – |
| Prior year balances available: | | | |
| Item 0450-101-0932, Budget Act of 1998 as reappropriated by Item 0450-490, Budget Act of 1999 | 1,000 | – | – |
| Totals Available | $1,759,231 | $1,936,620 | $2,054,368 |
| Unexpended balance, estimated savings | –6,174 | – | – |
| TOTALS, EXPENDITURES | $1,753,057 | $1,936,620 | $2,054,368 |

*** Dollars in thousands, except in Salary Range.**

## 0450 STATE TRIAL COURT FUNDING—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Less funding provided by the General Fund | –$926,832 | –$1,103,230 | –$1,126,559 |
| Less funding provided by the Judicial Administration Efficiency and Modernization Fund | – | –3,200 | – |
| NET TOTALS, EXPENDITURES | $826,225 | $830,190 | $927,809 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $1,836,736 | $2,060,284 | $2,170,033 |

## FUND CONDITION STATEMENT

### 0159 Trial Court Improvement Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $4,030 | $15,910 | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131700 Miscellaneous revenues from local agencies, fines and forfeitures—2% automation (Government Code Section 68090.8) | 13,404 | 12,400 | $12,400 |
| 131700 Miscellaneous revenues from local agencies, other fines and forfeitures (Government Code Section 77205) | 37,227 | 45,132 | 41,043 |
| Totals, Revenues | $50,631 | $57,532 | $53,443 |
| Transfers from Other Funds: | | | |
| F00932 Trial Court Trust Fund—1% reserve (Government Code Section 77209) | 17,717 | 18,100 | 18,100 |
| Totals, Revenues and Transfers | $68,348 | $75,632 | $71,543 |
| Totals, Resources | $72,378 | $91,542 | $71,543 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0450 State Trial Court Funding (Local Assistance) | 56,468 | 91,542 | 71,543 |
| FUND BALANCE | $15,910 | – | – |
| Reserve for economic uncertainties | 15,910 | – | – |

### 0556 Judicial Administration Efficiency and Modernization Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $8,000 | $10,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0450 State Trial Court Funding (Local Assistance) | $27,211 | 35,322 | 44,122 |
| Expenditure Reductions: | | | |
| 0450 Trial Court Funding (Local Assistance): | | | |
| Less funding provided by the General Fund | –35,211 | –37,322 | –34,122 |
| Totals, Expenditures | –$8,000 | –$2,000 | $10,000 |
| FUND BALANCE | $8,000 | $10,000 | – |
| Reserve for economic uncertainties | 8,000 | 10,000 | – |

### 0932 Trial Court Trust Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $5,949 | $5,841 | – |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 216500 Court filing fees (Government Code Section 68085) | 155,435 | 152,284 | $152,284 |
| 250300 Income from surplus money investments | 7,735 | 7,735 | 7,735 |
| 299000 Other (miscellaneous revenues from local agencies): | | | |
| County contribution (Government Code Section 77201) | 457,624 | 459,404 | 475,073 |
| Fines and forfeitures (Government Code Section 77201) | 223,040 | 223,026 | 223,026 |
| Other miscellaneous revenues | – | – | 87,791 |
| Totals, Operating Revenues | $843,834 | $842,449 | $945,909 |
| Transfers to Other Funds: | | | |
| T00159 Trial Court Improvement Fund per Government Code Section 77209 | –17,717 | –18,100 | –18,100 |
| Totals, Revenues and Transfers | $826,117 | $824,349 | $927,809 |
| Totals, Resources | $832,066 | $830,190 | $927,809 |

*** Dollars in thousands, except in Salary Range.**

## 0450 STATE TRIAL COURT FUNDING—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| 0450 State Trial Court Funding (Local Assistance) | $1,753,057 | $1,936,620 | $2,054,368 |
| Expenditure Reductions: | | | |
| 0450 State Trial Court Funding (Local Assistance): | | | |
| Less funding provided by the General Fund | −926,832 | −1,103,230 | −1,126,559 |
| Less funding provided by the Judicial Administration Efficiency and Modernization Fund | – | −3,200 | – |
| Totals, Expenditures | $826,225 | $830,190 | $927,809 |
| FUND BALANCE | $5,841 | – | – |

# 0500 GOVERNOR'S OFFICE

Article V of the Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained at Sacramento.

| SUMMARY OF PROGRAM REQUIRMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10.10 Governor's Office (General Fund) | 86.0 | 86.0 | 86.0 | $5,285 | $5,566 | $5,705 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVCES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions | 86.0 | 86.0 | 86.0 | $4,153 | $4,433 | $4,458 |
| Totals, Personal Services | 86.0 | 86.0 | 86.0 | $4,153 | $4,433 | $4,458 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,132 | $1,133 | $1,247 |
| TOTALS, EXPENDITURES | | | | $5,285 | $5,566 | $5,705 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $4,995 | $5,511 | $5,705 |
| Budget Act appropriation (support) | (4,920) | (5,436) | (5,630) |
| Budget Act appropriation (residence support) | (35) | (35) | (35) |
| Budget Act appropriation (contingent expenses) | (40) | (40) | (40) |
| Allocation for employee compensation | 291 | 55 | – |
| Totals Available | $5,286 | $5,566 | $5,705 |
| Unexpended balance, estimated savings | −1 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $5,285 | $5,566 | $5,705 |

## Governor's Office
# 0505 DEPARTMENT OF INFORMATION TECHNOLOGY

The Department of Information Technology, created by Executive Order and placed in statute by SB 1 (Chapter 508, Statutes of 1995) assumed responsibility for the strategic direction and oversight of the State's significant investment in information technology, effective January 1, 1996. Under SB 1, authorization for the Department would have sunset on July 1, 2000. However, AB 1686 (Chapter 873, 1999) extends the sunset date to July 1, 2002. The Department is charged with providing leadership, guidance, statewide coordination and oversight of information technology in state government. The Department is responsible for: developing strategic plans and policies to support and promote effective application of information technology within state government; overseeing the consolidation of information technology resources; evaluating and monitoring the implementation of agency and department information technology initiatives; improving information technology support processes including procurement, project management and risk management; and for the creation of user and other advisory committees to support these objectives.

### Authority

Government Code Section 11700 et seq.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0505 DEPARTMENT OF INFORMATION TECHNOLOGY—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Administration of Information Technology | 57.5 | 76.0 | 76.0 | $34,308 | $11,384 | $11,867 |
| *0001 General Fund* | | | | *34,255* | *10,634* | *11,117* |
| *0995 Reimbursements* | | | | *53* | *750* | *750* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 57.5 | 80.0 | 80.0 | $3,869 | $5,319 | $5,409 |
| Estimated Salary Savings | – | –4.0 | –4.0 | – | –266 | –270 |
| Net Totals, Salaries and Wages | 57.5 | 76.0 | 76.0 | $3,869 | $5,053 | $5,139 |
| Staff Benefits | – | – | – | 575 | 984 | 978 |
| Totals, Personal Services | 57.5 | 76.0 | 76.0 | $4,444 | $6,037 | $6,117 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $29,864 | $5,197 | $5,750 |
| TOTALS, EXPENDITURES | | | | $34,308 | $11,234 | $11,867 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $27,084 | $10,520 | $11,117 |
| Allocation for employee compensation | 385 | 26 | – |
| Allocation for Year 2000 per Item 9904-001-0001 | 16,563 | – | – |
| Adjustment per Section 3.60 | –305 | –62 | – |
| Totals Available | $43,727 | $10,484 | $11,117 |
| Unexpended balance, estimated savings | –9,472 | – | – |
| TOTALS, EXPENDITURES | $34,255 | $10,484 | $11,117 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $53 | $750 | $750 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $34,308 | $11,234 | $11,867 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $150 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $34,308 | $11,384 | $11,867 |

## Governor's Office
## 0510 SECRETARY FOR STATE AND CONSUMER SERVICES

The State and Consumer Services Agency brings together a diverse array of State departments which include: the California Science Center, the California African American Museum, the Department of Consumer Affairs, the Department of Fair Employment and Housing, the Fair Employment and Housing Commission, the Franchise Tax Board, the Department of General Services, the State Personnel Board, the Public Employees' Retirement System, the State Teachers' Retirement System, and the Building Standards Commission. In addition, within the Agency is the Office of the Insurance Advisor which provides expertise to the Governor on insurance-related issues including legislative bill analysis, constituent services and the development of policy initiatives.

### Authority

Government Code Sections 12800, 12801 and 12804.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0510 SECRETARY FOR STATE AND CONSUMER SERVICES—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Administration of State and Consumer Services Agency | 7.1 | 13.0 | 13.0 | $1,088 | $1,695 | $1,395 |
| *0001 General Fund* | | | | *595* | *884* | *882* |
| *0995 Reimbursements* | | | | *493* | *811* | *513* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 7.1 | 13.3 | 13.3 | $547 | $1,063 | $1,076 |
| Estimated Salary Savings | – | –0.3 | –0.3 | – | –19 | –19 |
| Net Totals, Salaries and Wages | 7.1 | 13.0 | 13.0 | $547 | $1,044 | $1,057 |
| Staff Benefits | – | – | – | 68 | 131 | 133 |
| Totals, Personal Services | 7.1 | 13.0 | 13.0 | $615 | $1,175 | $1,190 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $473 | $520 | $205 |
| TOTALS, EXPENDITURES | | | | $1,088 | $1,695 | $1,395 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $731 | $873 | $882 |
| Allocation for employee compensation | 37 | 7 | – |
| Adjustment per Section 3.60 | –32 | –3 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 7 | – |
| Totals Available | $736 | $884 | $882 |
| Unexpended balance, estimated savings | –141 | – | – |
| TOTALS, EXPENDITURES | $595 | $884 | $882 |
| **0995 Reimbursements** | | | |
| Reimbursements | $493 | $811 | $513 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,088 | $1,695 | $1,395 |

## Governor's Office
## 0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING

The strength of California's economy depends on efficient markets and sound infrastructure that encourages business and housing development. The Secretary of the Business, Transportation and Housing Agency is a member of the Governor's Cabinet and oversees 13 departments with responsibility for maintaining the strength of California's infrastructure and the efficiencies of its financial markets.

Departments that provide financial regulation important to an efficient marketplace include: the Department of Alcoholic Beverage Control; Department of Financial Institutions; Department of Corporations; Department of Real Estate; and the Office of Real Estate Appraisers. The Department of Housing and Community Development and the California Housing Finance Agency provide resources to foster neighborhood development.

Chapter 525, Statutes of 1999 established the Department of Managed Care (renamed to the Department of Managed Health Care) within the Business, Transportation and Housing Agency effective July 1, 2000. The Department oversees managed health care in California.

Departments that support transportation for the safe, efficient flow of people and commerce include: California Highway Patrol; Department of Motor Vehicles; Department of Transportation; and the Office of Traffic Safety. In addition, the Stephen P. Teale Data Center provides state-of-the-art computer technology services to government organizations throughout the state.

The Secretary of the Business, Transportation and Housing Agency co-chairs the Commission on Building for the 21st Century with the Lieutenant Governor. Created through Executive Order D-4-99, the Commission was originally scheduled to complete its work in 2000–01. The Commission expects to produce a report to the Governor by early spring and may submit a resource request for appropriate follow-up work at that time.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING—Continued

### SUMMARY OF PROGRAM REQUIREMENTS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Administration of BT&H Agency | 32.2 | 20.2 | 17.2 | $26,803 | $2,428 | $2,219 |
| 30 Agency Audits Office | 1.8 | 3.8 | 3.8 | 182 | 391 | 391 |
| TOTALS, PROGRAMS | 34.0 | 24.0 | 21.0 | $26,985 | $2,819 | $2,610 |
| *0001 General Fund* | | | | *115* | *207* | – |
| *0044 Motor Vehicle Account, State Transportation Fund* | | | | *638* | *962* | *952* |
| *0995 Reimbursements* | | | | *26,232* | *1,650* | *1,658* |

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$115* | *$207* | – |
| *0044 Motor Vehicle Account, State Transportation Fund* | *638* | *962* | *$952* |
| *0995 Reimbursements* | *26,050* | *1,259* | *1,267* |
| Totals, State Operations | $26,803 | $2,428 | $2,219 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Administration | 2,188 | 2,428 | 2,219 |
| State Operations: | | | |
| *0001 General Fund* | *115* | *207* | – |
| *0044 Motor Vehicle Account, State Transportation Fund* | *638* | *962* | *952* |
| *0095 Reimbursements* | *1,435* | *1,259* | *1,267* |
| 10.20 California Complete Count Administration | 24,615 | – | – |
| State Operations: | | | |
| *0995 Reimbursements* | *24,615* | – | – |

### PROGRAM REQUIREMENTS
### 30 AGENCY AUDITS OFFICE

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0995 Reimbursements* | *$182* | *$391* | *$391* |
| Totals, State Operations | $182 | $391 | $391 |
| TOTALS, EXPENDITURES (State Operations) | $26,985 | $2,819 | $2,610 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 34.0 | 25.0 | 22.0 | $2,069 | $1,743 | $1,582 |
| Estimated Salary Savings | – | –1.0 | –1.0 | – | –76 | –69 |
| Net Totals, Salaries and Wages | 34.0 | 24.0 | 21.0 | $2,069 | $1,667 | $1,513 |
| Staff Benefits | – | – | – | 231 | 331 | 290 |
| Totals, Personal Services | 34.0 | 24.0 | 21.0 | $2,300 | $1,998 | $1,803 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $24,685 | $821 | $807 |
| TOTALS, EXPENDITURES | | | | $26,985 | $2,819 | $2,610 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $200 | $206 | – |
| Allocation for employee compensation | 11 | 2 | – |
| Adjustment per Section 3.60 | −11 | −1 | – |
| Totals Available | $200 | $207 | – |
| Unexpended balance, estimated savings | −85 | – | – |
| TOTALS, EXPENDITURES | $115 | $207 | – |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $907 | $961 | $952 |
| Allocation for employee compensation | 37 | 7 | – |
| Adjustment per Section 3.60 | −31 | −6 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $914 | $962 | $952 |
| Unexpended balance, estimated savings | −276 | – | – |
| TOTALS, EXPENDITURES | $638 | $962 | $952 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $26,232 | $1,650 | $1,658 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $26,985 | $2,819 | $2,610 |

## Governor's Office
## 0530 SECRETARY FOR CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY

The California Health and Human Services Agency administers the State's health, social services, rehabilitation and employment programs. The Secretary for California Health and Human Services Agency, a member of the Governor's Cabinet, advises the Governor on major policy and program matters, and oversees the operation of the Agency departments.

The following departments fall under the purview of the California Health and Human Services Agency: the Departments of Aging, Alcohol and Drug Programs, Developmental Services, Health Services, Child Support Services, Mental Health, Rehabilitation, Social Services, the Emergency Medical Services Authority, the Employment Development Department, the California Workforce Investment Board, the Health and Human Services Agency Data Center, the Office of Statewide Health Planning and Development, the Managed Risk Medical Insurance Board, and the Department of Community Services and Development. Together, these departments are comprised of approximately 42,000 personnel years and manage total combined budgets of over $58.3 billion in State and federal funds.

### Authority

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Secretary for Health and Human Services | 16.1 | 24.7 | 24.7 | $2,482 | $2,886 | $3,086 |
| TOTALS, PROGRAMS | 16.1 | 24.7 | 24.7 | $2,482 | $2,886 | $3,086 |
| *0001 General Fund* | | | | *1,308* | *1,882* | *2,084* |
| *0995 Reimbursements* | | | | *1,174* | *1,004* | *1,002* |

### Major Budget Adjustment Proposed for 2001–02

- A one-time increase of $250,000 General Fund for a contract to evaluate the Employment Development Department's grant program for faith-based organizations.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0530 SECRETARY FOR CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 16.1 | 25.0 | 25.0 | $1,062 | $1,849 | $1,872 |
| Estimated Salary Savings | – | –0.3 | –0.3 | – | –92 | –94 |
| Net Totals, Salaries and Wages | 16.1 | 24.7 | 24.7 | $1,062 | $1,757 | $1,778 |
| Staff Benefits | – | – | – | 155 | 299 | 304 |
| Totals, Personal Services | 16.1 | 24.7 | 24.7 | $1,217 | $2,056 | $2,082 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,265 | $830 | $1,004 |
| TOTALS, EXPENDITURES | | | | $2,482 | $2,886 | $3,086 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,340 | $1,874 | $2,084 |
| Allocation for employee compensation | 61 | 14 | – |
| Adjustment per Section 3.60 | –57 | –16 | – |
| Adjustment per Section 4.60 | – | 10 | – |
| Totals Available | $1,344 | $1,882 | $2,084 |
| Unexpended balance, estimated savings | –36 | – | – |
| TOTALS, EXPENDITURES | $1,308 | $1,882 | $2,084 |
| **0995 Reimbursements** | | | |
| Reimbursements | $1,174 | $1,004 | $1,002 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $2,482 | $2,886 | $3,086 |

## Governor's Office
## 0540 SECRETARY FOR RESOURCES

The Resources Agency, through its various departments, boards, commissions, and conservancies, administers programs that conserve, preserve, restore and enhance the rich and diverse natural resources of California. The Secretary for Resources, a member of the Governor's Cabinet, is responsible for administering programs and policies governing the acquisition, development and use of the State's resources to attain these objectives.

The Resources Agency consists of the Departments of Forestry and Fire Protection, Conservation, Fish and Game, Boating and Waterways, Parks and Recreation, and Water Resources; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the State Reclamation Board; the Energy Resources Conservation and Development Commission; the California Conservation Corps; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; Baldwin Hills Conservancy; and the Special Resources Program.

The Secretary for Resources is a voting member of the State Coastal Conservancy, the California Tahoe Conservancy, the Santa Monica Mountains Conservancy, the Coachella Valley Mountains Conservancy, the San Joaquin River Conservancy, San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy, Baldwin Hills Conservancy, and the San Francisco Bay Conservation and Development Commission. The secretary is also an ex-officio, non-voting, member of the Energy Resources Conservation and Development Commission and the California Coastal Commission. Along with the United States Secretary of Interior, the secretary co-leads the CALFED Bay Delta Program. In addition, the secretary administers the Sea Grant Program, the Open Space Subvention Program, the California Environmental Quality Act, the San Joaquin River Management Program, the Environmental Enhancement and Mitigation Demonstration Program, and the Coastal Resources and Energy Assistance Programs.

### Major Budget Adjustments Proposed for 2001–02

- $70,000,000 General Fund for the River Parkways Program.
- $2,000,000 General Fund and 2.0 positions for the second year of the California Continuing Resource Investment Strategy Project.
- $88,703,000 Bay-Delta Ecosystem Restoration Account for implementation of the CALFED Ecosystem Restoration Program.
- $7,650,000 River Protection Subaccount for a grant program.

### Authority

Government Code Sections 12800, 12801 and 12805.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0540 SECRETARY FOR RESOURCES—Continued

### SUMMARY OF PROGRAM REQUIREMENTS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Administration of Resources Agency | 19.8 | 34.0 | 34.0 | $10,276 | $131,000 | $226,603 |
| TOTALS, PROGRAMS | 19.8 | 34.0 | 34.0 | $10,276 | $131,000 | $226,603 |
| *0001 General Fund* | | | | *8,483* | *11,181* | *76,930* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | | | | *–* | *41,549* | *180* |
| *0140 California Environmental License Plate Fund* | | | | *713* | *799* | *853* |
| *0183 Environmental Enhancement and Mitigation Demonstration Program Fund* | | | | *116* | *120* | *121* |
| *0546 Bay-Delta Ecosystem Restoration Account* | | | | *–* | *51,500* | *140,203* |
| *0890 Federal Trust Fund* | | | | *252* | *338* | *153* |
| *0928 Forest Resources Improvement Fund* | | | | *250* | *–* | *–* |
| *0995 Reimbursements* | | | | *462* | *513* | *513* |
| *6015 River Protection Subaccount* | | | | *–* | *25,000* | *7,650* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 19.8 | 34.8 | 32.8 | $1,195 | $2,124 | $2,055 |
| Total Adjustments | – | – | 2.0 | – | 19 | 134 |
| Estimated Salary Savings | – | –0.8 | –0.8 | – | –37 | –82 |
| Net Totals, Salaries and Wages | 19.8 | 34.0 | 34.0 | $1,195 | $2,106 | $2,107 |
| Staff Benefits | – | – | – | 179 | 417 | 430 |
| Totals, Personal Services | 19.8 | 34.0 | 34.0 | $1,374 | $2,523 | $2,537 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,772 | $7,210 | $3,066 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Bay-Delta Ecosystem Restoration Projects | | | | – | 51,500 | 140,203 |
| TOTALS, EXPENDITURES | | | | $3,146 | $61,233 | $145,806 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $1,575 | $7,781 | $3,783 |
| Allocation for employee compensation | 87 | 15 | – |
| Adjustment per Section 3.60 | –76 | –22 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 10 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $1,587 | $7,784 | $3,783 |
| Unexpended balance, estimated savings | –234 | – | – |
| TOTALS, EXPENDITURES | $1,353 | $7,784 | $3,783 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $180 | $180 |
| Allocation for employee compensation | – | 1 | – |
| Adjustment per Section 3.60 | – | –2 | – |
| TOTALS, EXPENDITURES | – | $179 | $180 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0540 SECRETARY FOR RESOURCES—Continued

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $763 | $782 | $853 |
| Allocation for employee compensation | 28 | 20 | – |
| Adjustment per Section 3.60 | −21 | −3 | – |
| Totals Available | $770 | $799 | $853 |
| Unexpended balance, estimated savings | −57 | – | – |
| TOTALS, EXPENDITURES | $713 | $799 | $853 |

### 0183 Environmental Enhancement and Mitigation Demonstration Program Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $115 | $120 | $121 |
| Allocation for employee compensation | 8 | 1 | – |
| Adjustment per Section 3.60 | −7 | −1 | – |
| TOTALS, EXPENDITURES | $116 | $120 | $121 |

### 0546 Bay-Delta Ecosystem Restoration Account [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $51,500 | $140,203 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $320 | $338 | $153 |
| Budget adjustment | −68 | – | – |
| TOTALS, EXPENDITURES | $252 | $338 | $153 |

### 0928 Forest Resources Improvement Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $250 | – | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $462 | $513 | $513 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,146 | $61,233 | $145,806 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and Subventions | $7,130 | $69,767 | $80,797 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $2,711 | $3,397 | $73,147 |
| 102 Budget Act appropriation | 2,500 | – | – |
| 103 Budget Act appropriation | 3,200 | – | – |
| Totals Available | $8,411 | $3,397 | $73,147 |
| Unexpended balance, estimated savings | −1,281 | – | – |
| TOTALS, EXPENDITURES | $7,130 | $3,397 | $73,147 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0540 SECRETARY FOR RESOURCES—Continued

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $41,370 | – |

### 6015 River Protection Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $25,000 | $7,650 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $7,130 | $69,767 | $80,797 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $10,276 | $131,000 | $226,603 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 19.8 | 34.8 | 32.8 | $1,195 | $2,124 | $2,055 |
| Salary adjustments | – | – | – | – | 19 | 16 |
| Totals, Adjusted Authorized Positions | 19.8 | 34.8 | 32.8 | $1,195 | $2,143 | $2,071 |
| Proposed New Positions: | | | | Salary Range | | |
| C.E.A. III | – | – | 1.0 | 7,648–8,432 | – | 90 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 28 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $118 |
| Total Adjustments | – | – | 2.0 | – | $19 | $134 |
| TOTALS, SALARIES AND WAGES | 19.8 | 34.8 | 34.8 | $1,195 | $2,143 | $2,189 |

## Governor's Office
## 0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY

The Youth and Adult Correctional Agency includes the Department of Corrections (CDC), Department of the Youth Authority, Board of Prison Terms, Youthful Offender Parole Board, Board of Corrections, the Narcotic Addict Evaluation Authority and the Commission on Correctional Peace Officers' Standards and Training. The Agency provides communication, coordination, and budget and policy direction for the departments and boards.

### Major Budget Adjustment Included in 2000–01

- 0.5 position (0.5 personnel year) and $66,000 to provide increased coordination of substance abuse programming efforts by departments within this agency.

### Major Budget Adjustment Proposed for 2001–02

- 1.0 position (0.9 personnel year) and $127,000 to provide increased coordination of substance abuse programming efforts by departments within this agency.

### Authority

Government Code Section 12811.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Secretary for the Youth and Adult Correctional Agency | 9.1 | 11.7 | 12.1 | $1,018 | $3,350 | $3,412 |
| 15 Commission on Correctional Peace Officers' Standards and Training | 2.4 | – | – | 617 | – | – |
| TOTALS, PROGRAMS | 11.5 | 11.7 | 12.1 | $1,635 | $3,350 | $3,412 |
| *0001 General Fund* | | | | *1,427* | *3,092* | *3,154* |
| *0995 Reimbursements* | | | | *208* | *258* | *258* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY—Continued

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**
**10 SECRETARY FOR THE YOUTH AND ADULT CORRECTIONAL AGENCY**

| State Operations: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| *0001 General Fund* | *9.1* | *11.7* | *12.1* | *$810* | *$3,092* | *$3,154* |
| *0995 Reimbursements* | *–* | *–* | *–* | *208* | *258* | *258* |
| Totals, State Operations | 9.1 | 11.7 | 12.1 | $1,018 | $3,350 | $3,412 |

**PROGRAM REQUIREMENTS**
**15 COMMISSION ON CORRECTIONAL PEACE OFFICERS' STANDARDS AND TRAINING**

| State Operations: | | | | | | |
|---|---|---|---|---|---|---|
| *0001 General Fund* | *2.4* | *–* | *–* | *$617* | *–* | *–* |
| Totals, State Operations | 2.4 | – | – | $617 | – | – |

**TOTAL EXPENDITURES**

| | | | |
|---|---|---|---|
| State Operations | $1,635 | $3,350 | $3,412 |
| TOTALS, EXPENDITURES | $1,635 | $3,350 | $3,412 |

**SUMMARY BY OBJECT**
**1 STATE OPERATIONS**

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 11.5 | 11.3 | 11.3 | $686 | $965 | $980 |
| Total Adjustments | – | 0.5 | 1.0 | – | 49 | 97 |
| Estimated Salary Savings | – | –0.1 | –0.2 | – | –11 | –13 |
| Net Totals, Salaries and Wages | 11.5 | 11.7 | 12.1 | $686 | $1,003 | $1,064 |
| Staff Benefits | – | – | – | 100 | 134 | 144 |
| Totals, Personal Services | 11.5 | 11.7 | 12.1 | $786 | $1,137 | $1,208 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $849 | $2,213 | $2,204 |
| TOTALS, EXPENDITURES | | | | $1,635 | $3,350 | $3,412 |

**RECONCILIATION WITH APPROPRIATIONS**
**1 STATE OPERATIONS**
**0001 General Fund**

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $833 | $3,017 | $3,154 |
| Allocation for employee compensation | 65 | 9 | – |
| Adjustment per Section 3.60 | –31 | – | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Allocation for contingencies or emergencies | – | 66 | – |
| 005 Budget Act appropriation | 622 | – | – |
| Allocation for employee compensation | 11 | – | – |
| Adjustment per Section 3.60 | –5 | – | – |
| Totals Available | $1,497 | $3,092 | $3,154 |
| Unexpended balance, estimated savings | –70 | – | – |
| TOTALS, EXPENDITURES | $1,427 | $3,092 | $3,154 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY—Continued

### 0995 Reimbursements

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | $208 | $258 | $258 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,635 | $3,350 | $3,412 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 11.5 | 11.3 | 11.3 | $686 | $965 | $980 |
| Proposed New Positions: | | | | Salary Range | | |
| C.E.A. III | – | 0.5 | 1.0 | 7,354–8,108 | 49 | 97 |
| Total, Proposed New Positions | – | 0.5 | 1.0 | – | $49 | $97 |
| Total Adjustments | – | 0.5 | 1.0 | – | $49 | $97 |
| TOTALS, SALARIES AND WAGES | 11.5 | 11.8 | 12.3 | $686 | $1,014 | $1,077 |

## 0552 OFFICE OF THE INSPECTOR GENERAL

The Office of the Inspector General has the responsibility for oversight of the State's Correctional System through audits and investigations of the boards and departments within the Youth and Adult Correctional Agency. Chapter 766, Statutes of 1994 created the Office of the Inspector General within the Youth and Adult Correctional Agency. However, Chapter 969, Statutes of 1998 changed and expanded the role of the Inspector General and re-established the office as an independent entity reporting directly to the Governor. The role of the Office of the Inspector General has been further expanded by the following legislation. Chapter 338, Statutes of 1998 required reviews of specified Internal Affairs investigations of the boards and departments within the Youth and Adult Correctional Agency. Chapter 806, Statutes of 1999 required investigations of complaints of retaliation against those reporting misconduct on the part of correctional agencies. And Chapter 918, Statutes of 1999, expanded the authority to conduct audits and investigations and required baseline audits following the confirmation of a new warden or the appointment of a superintendent.

### Major Budget Adjustments Proposed for 2001–02

- 5.0 positions (4.8 personnel years) and $520,000 General Fund to establish an Administrative Support Unit and to provide contractual services for information system support.
- $406,000 General Fund to augment the office's facilities operations.

### Authority

Penal Code, Part III, Title 7, Chapter 8.2, Sections 6125 to 6129.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Office of the Inspector General | 40.2 | 104.4 | 109.2 | $6,071 | $10,424 | $11,178 |
| TOTALS, PROGRAMS | 40.2 | 104.4 | 109.2 | $6,071 | $10,424 | $11,178 |
| *0001 General Fund* | | | | *6,071* | *10,424* | *11,178* |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS | | | | | | |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
| Authorized Positions (Equals Sch. 7A) | 40.2 | 109.9 | 109.9 | $2,681 | $6,645 | $6,873 |
| Total Adjustments | – | – | 5.0 | – | – | 217 |
| Estimated Salary Savings | – | –5.5 | –5.7 | – | –332 | –355 |
| Net Totals, Salaries and Wages | 40.2 | 104.4 | 109.2 | $2,681 | $6,313 | $6,735 |
| Staff Benefits | – | – | – | 272 | 1,039 | 1,109 |
| Totals, Personal Services | 40.2 | 104.4 | 109.2 | $2,953 | $7,352 | $7,844 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $3,118 | $3,072 | $3,334 |
| TOTALS, EXPENDITURES | | | | $6,071 | $10,424 | $11,178 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0552 OFFICE OF THE INSPECTOR GENERAL—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $7,294 | $10,248 | $11,178 |
| Allocation for employee compensation | 265 | 74 | – |
| Adjustment per Section 3.60 | −157 | 102 | – |
| Totals Available | $7,402 | $10,424 | $11,178 |
| Unexpended balance, estimated savings | −1,331 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $6,071 | $10,424 | $11,178 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 40.2 | 109.9 | 109.9 | $2,681 | $6,645 | $6,873 |
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Assoc Pers Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Records Mgmt Analyst I | – | – | 1.0 | 3,255–3,957 | – | 43 |
| Pers Spec II | – | – | 1.0 | 2,978–3,619 | – | 39 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Totals, Proposed New Positions | – | – | 5.0 | – | – | $217 |
| Total Adjustments | – | – | 5.0 | – | – | $217 |
| TOTALS, SALARIES AND WAGES | 40.2 | 109.9 | 114.9 | $2,681 | $6,645 | $7,090 |

## 0553 OFFICE OF THE INSPECTOR GENERAL FOR VETERANS AFFAIRS

Chapter 894, Statutes of 1999 created the Office of the Inspector General for Veterans Affairs. The Inspector General for Veterans Affairs has responsibility for reviewing the operations and financial conditions of State Veterans Homes, the State Farm and Home Purchase Program, State Veterans Services, and all other veterans programs supported by the State, including County Veterans Service Offices and Veterans Memorial Districts. The Inspector General also is required to operate a toll-free complaint hotline for veterans, conduct audits and investigations of state veterans programs, and to make recommendations for improving the operations of veterans programs.

### Authority

Military-Veterans Code, Division 1, Chapter 2, Sections 73.5, 73.6, and 73.7.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Office of the Inspector General for Veterans Affairs | – | 3.8 | 4.7 | – | $603 | $662 |
| *0001 General Fund* | | | | – | *514* | *579* |
| *0592 Veterans' Farm and Home Building Fund of 1943* | | | | – | *89* | *83* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 4.0 | 4.0 | – | $354 | $364 |
| Total Adjustments | – | – | 1.0 | – | – | 57 |
| Estimated Salary Savings | – | −0.2 | −0.3 | – | −18 | −21 |
| Net Totals, Salaries and Wages | – | 3.8 | 4.7 | – | $336 | $400 |
| Staff Benefits | – | – | – | – | 42 | 54 |
| Totals, Personal Services | – | 3.8 | 4.7 | – | $378 | $454 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $225 | $208 |
| TOTALS, EXPENDITURES | | | | – | $603 | $662 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0553 OFFICE OF THE INSPECTOR GENERAL FOR VETERANS AFFAIRS—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | $515 | $579 |
| Allocation for employee compensation | – | 2 | – |
| Adjustment per Section 3.60 | – | –3 | – |
| TOTALS, EXPENDITURES | – | $514 | $579 |

### 0592 Veterans' Farm and Home Building Fund of 1943 [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $90 | $83 |
| Adjustment per Section 3.60 | – | –1 | – |
| TOTALS, EXPENDITURES | – | $89 | $83 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | – | $603 | $662 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 4.0 | 4.0 | – | $354 | $364 |
| Proposed New Positions: | | | | Salary Range | | |
| Investigative Auditor III | – | – | 1.0 | $4,275–5,197 | – | 57 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $57 |
| Total Adjustments | – | – | 1.0 | – | – | $57 |
| TOTALS, SALARIES AND WAGES | – | 4.0 | 5.0 | – | $354 | $421 |

## Governor's Office
## 0555 SECRETARY FOR ENVIRONMENTAL PROTECTION

The Secretary for Environmental Protection (CalEPA), a member of the Governor's Cabinet, manages the State's environmental protection programs. The Secretary oversees the operations of the following organizations: Air Resources Board, California Integrated Waste Management Board, Department of Pesticide Regulation, State Water Resources Control Board, Department of Toxic Substances Control, and Office of Environmental Health Hazard Assessment. In addition, the Secretary administers the following special environmental programs: Permit Assistance Centers, Scientific Peer Review, Circuit Prosecutor Project, Information Technology for the new CalEPA headquarters building, Environmental Enforcement, and Environmental Management Systems.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Environmental Protection Programs | 19.4 | 25.6 | 36.2 | $2,705 | $2,939 | $5,383 |
| 20 Special Environmental Programs | 25.7 | 38.0 | 43.7 | 6,328 | 4,726 | 5,939 |
| TOTALS, PROGRAMS | 45.1 | 63.6 | 79.9 | $9,033 | $7,665 | $11,322 |
| *0001 General Fund* | | | | *3,781* | *4,182* | *6,909* |
| *0014 Hazardous Waste Control Account* | | | | *298* | *316* | *334* |
| *0028 Unified Program Account* | | | | – | – | *799* |
| *0044 Motor Vehicle Account, State Transportation Fund* | | | | *1,027* | *496* | *534* |
| *0100 California Used Oil Recycling Fund* | | | | *55* | *25* | *28* |
| *0106 Department of Pesticide Regulation Fund* | | | | *184* | *195* | *206* |
| *0193 Waste Discharge Permit Fund* | | | | *432* | *12* | – |
| *0226 California Tire Recycling Management Fund* | | | | *9* | *1* | – |
| *0281 Recycling Market Development Revolving Loan Account* | | | | *135* | *143* | *151* |
| *0387 Integrated Waste Management Account* | | | | *671* | *382* | *422* |
| *0439 Underground Storage Tank Cleanup Fund* | | | | *48* | *51* | *54* |
| *0679 State Water Quality Control Fund* | | | | *134* | *134* | *134* |
| *0995 Reimbursements* | | | | *2,259* | *1,728* | *1,751* |
| *1006 Rural CUPA Reimbursement Account* | | | | – | – | *900* |
| *Less funding provided by General Fund* | | | | – | – | *–900* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

### 10 ENVIRONMENTAL PROTECTION PROGRAMS

#### Program Objectives Statement

The primary objective of Environmental Protection Programs is to improve environmental quality and protect public health and California's natural resources through the coordination of State environmental programs, thereby reducing administrative duplication and addressing the greatest environmental and health risks.

#### Major Budget Adjustments Proposed for 2001–02

- $1,862,000 from various special funds and reimbursements to continue 24.0 positions in the Office of the Secretary.
- $799,000 Unified Hazardous Waste Program Account for the transfer of 10.0 positions from the Department of Toxic Substances Control for the oversight responsibilities of the Unified Hazardous Waste Program, which is a State and local effort to consolidate, coordinate and make consistent six existing programs regulating hazardous waste and hazardous materials management.
- $900,000 General Fund to fund the Rural CUPA Reimbursement Account that was established pursuant to Chapter 730, Statutes of 2000, to provide partial funding to local jurisdictions for implementation of the Unified Hazardous Waste Program.
- $100,000 General Fund to implement Chapter 728, Statutes of 2000, requiring CalEPA to convene a working group to assist in developing an interagency environmental justice strategy.
- $125,000 General Fund and 1.0 position to implement Chapter 742, Statutes of 2000, related to border environmental and public health protection.
- $350,000 General Fund to develop a multimedia emergency response plan.

#### Authority

Governor's Reorganization Plan No. 1 of 1991 and Health and Safety Code, Division 37.

### 20 SPECIAL ENVIRONMENTAL PROGRAMS

#### Program Objectives Statement

Special Environmental Programs includes Permit Assistance Centers, Scientific Peer Review, the Circuit Prosecutor Project, Information Technology for the new CalEPA headquarters building, Environmental Enforcement, and Environmental Management Systems.

Permit Assistance Centers assist individuals and businesses with their permitting needs. Thirteen Centers located throughout California provide a single point of contact to: (1) guide individuals and businesses through complex regulatory systems, (2) eliminate regulatory overlap, and (3) promote government coordination. Employees of cities, counties, regional governments and the State work together in shared facilities to better coordinate services to the public. In addition to the thirteen Centers, an Internet site (CalGold) provides greater convenience to those seeking permit assistance.

Chapter 295, Statutes of 1997, requires CalEPA to conduct an external peer review of the scientific basis for any rule or regulation proposed by a board, department or office within CalEPA. The external scientific body may be the National Academy of Sciences, the University of California, the California State University, or similar scientific institutions of higher learning, or a combination of those entities, or a scientist or group of scientists of comparable stature that is recommended by the President of the University of California. Program funds are used to contract for external scientific review services for various CalEPA boards, departments and offices, and to pay for related personnel, travel, and overhead costs.

The Circuit Prosecutor Project is a joint effort with the California District Attorneys' Association to assure appropriate and uniform environmental enforcement by handling criminal and civil environmental prosecutions of cases under State toxic, air, and water pollution laws in various rural counties.

The integration of individual information technology systems that consider policy and technical issues is important to address issues that cross environmental areas of interest and to provide a cost-effective and efficient technical operation that interlinks similar needs and requirements of various boards, departments and offices within CalEPA. The Information Technology program supports the planning and coordination of information technology services and systems in the new CalEPA headquarters building.

The Environmental Enforcement Project implements Chapter 65, Statutes of 1999, requiring the Secretary to develop a program to ensure that enforcement actions taken within CalEPA's jurisdiction are consistent, effective, and coordinated, including the establishment of a cross-media enforcement unit and the crosstraining of inspection and enforcement personnel.

Instead of the traditional regulatory emphasis on complying with process requirements, Environmental Management Systems incorporates a series of environmental standards and protocols to encourage businesses to incorporate performance-based standards to improve environmental performance at a lower cost than existing regulations. The Secretary for Environmental Protection convenes, directs, and oversees multi-disciplinary interdepartmental teams to conduct pilot projects involving Environmental Management Systems integrated compliance plans.

#### Major Budget Adjustment Proposed for 2001–02

- $1,272,000 General Fund and 8.5 positions to design and test an integrated sustainability planning and environmental improvement process.

#### Authority

Governor's Reorganization Plan No. 1 of 1991, Health and Safety Code, Division 37 and Section 57004.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 ENVIRONMENTAL PROTECTION PROGRAMS

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | – | *$491* | *$2,017* |
| *0028 Unified Program Account* | – | – | *799* |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0044 Motor Vehicle Account, State Transportation Fund* | *$594* | *$483* | *$534* |
| *0100 California Used Oil Recycling Fund* | *26* | *24* | *28* |
| *0387 Integrated Waste Management Account* | *272* | *225* | *254* |
| *0995 Reimbursements* | *1,813* | *1,716* | *1,751* |
| *1006 Rural CUPA Reimbursement Account* | *–* | *–* | *900* |
| *Less funding provided by General Fund* | *–* | *–* | *–900* |
| Totals, State Operations | $2,705 | $2,939 | $5,383 |

### PROGRAM REQUIREMENTS
### 20 SPECIAL ENVIRONMENTAL PROGRAMS

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$3,781* | *$3,691* | *$4,892* |
| *0014 Hazardous Waste Control Account* | *298* | *316* | *334* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *433* | *13* | *–* |
| *0100 California Used Oil Recycling Fund* | *29* | *1* | *–* |
| *0106 Department of Pesticide Regulation Fund* | *184* | *195* | *206* |
| *0193 Waste Discharge Permit Fund* | *432* | *12* | *–* |
| *0226 California Tire Recycling Management Fund* | *9* | *1* | *–* |
| *0281 Recycling Market Development Revolving Loan Account* | *135* | *143* | *151* |
| *0387 Integrated Waste Management Account* | *399* | *157* | *168* |
| *0439 Underground Storage Tank Cleanup Fund* | *48* | *51* | *54* |
| *0679 State Water Quality Control Fund* | *134* | *134* | *134* |
| *0995 Reimbursements* | *446* | *12* | *–* |
| Totals, State Operations | $6,328 | $4,726 | $5,939 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 20.10 Permit Assistance Centers | 2,378 | 2,428 | 2,439 |
| 20.15 Scientific Peer Review | 1,120 | 1,144 | 1,186 |
| 20.20 Circuit Prosecutor Project | 404 | 420 | 436 |
| 20.25 Information Technology | 1,599 | 46 | – |
| 20.30 Environmental Enforcement | 509 | 517 | 519 |
| 20.45 Environmental Management Systems | 168 | 171 | 1,359 |
| 20.50 Santa Susana Advisory Panel | 150 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $6,328 | $4,726 | $5,939 |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 45.1 | 65.4 | 40.4 | $2,655 | $3,893 | $2,202 |
| Total Adjustments | – | – | 43.5 | – | – | 2,782 |
| Estimated Salary Savings | – | –1.8 | –4.0 | – | –115 | –162 |
| Net Totals, Salaries and Wages | 45.1 | 63.6 | 79.9 | $2,655 | $3,778 | $4,822 |
| Staff Benefits | – | – | – | 396 | 640 | 876 |
| Totals, Personal Services | 45.1 | 63.6 | 79.9 | $3,051 | $4,418 | $5,698 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $5,982 | $3,247 | $5,624 |
| TOTALS, EXPENDITURES | | | | $9,033 | $7,665 | $11,322 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $3,710 | $4,177 | $6,009 |
| 011 Budget Act appropriation (for transfer to the Rural CUPA Reimbursement Account [1006]) | – | – | 900 |
| Allocation for employee compensation | 180 | 14 | – |
| Adjustment per Section 3.60 | –109 | –9 | – |
| TOTALS, EXPENDITURES | $3,781 | $4,182 | $6,909 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

### 0014 Hazardous Waste Control Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $298 | $316 | $334 |

### 0028 Unified Program Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | – | $799 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,012 | $494 | $534 |
| Allocation for employee compensation | 34 | 3 | – |
| Adjustment per Section 3.60 | –19 | –1 | – |
| TOTALS, EXPENDITURES | $1,027 | $496 | $534 |

### 0100 California Used Oil Recycling Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $55 | $25 | $28 |
| Allocation for employee compensation | 1 | – | – |
| Adjustment per Section 3.60 | –1 | – | – |
| TOTALS, EXPENDITURES | $55 | $25 | $28 |

### 0106 Department of Pesticide Regulation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $184 | $195 | $206 |

### 0193 Waste Discharge Permit Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $432 | $12 | – |
| Allocation for employee compensation | 2 | – | – |
| Adjustment per Section 3.60 | –2 | – | – |
| TOTALS, EXPENDITURES | $432 | $12 | – |

### 0226 California Tire Recycling Management Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $9 | $1 | – |

### 0281 Recycling Market Development Revolving Loan Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $135 | $143 | $151 |

### 0387 Integrated Waste Management Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $668 | $381 | $422 |
| Allocation for employee compensation | 12 | 2 | – |
| Adjustment per Section 3.60 | –9 | –1 | – |
| TOTALS, EXPENDITURES | $671 | $382 | $422 |

### 0439 Underground Storage Tank Cleanup Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $48 | $51 | $54 |

### 0679 State Water Quality Control Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $134 | $134 | $134 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $2,259 | $1,728 | $1,751 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

### 1006 Rural CUPA Reimbursement Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $900 |
| Less funding provided by the General Fund | – | – | –900 |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $9,033 | $7,665 | $11,322 |

### FUND CONDITION STATEMENT
### 1006 Rural CUPA Reimbursement Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 Secretary for Environmental Protection (State Operations) | – | – | $900 |
| Expenditure Reductions: | | | |
| 0555 Secretary for Environmental Protection | | | |
| Less funding provided by the General Fund (State Operations) | – | – | –900 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 45.1 | 65.4 | 40.4 | $2,655 | $3,893 | $2,202 |
| Proposed New Positions: | | | | Salary Range | | |
| Secty | – | – | 1.0 | 10,951 | – | 131 |
| Undersecty | – | – | 1.0 | 9,079–9,818 | – | 118 |
| Dep Secty | – | – | 1.0 | 9,079–9,818 | – | 109 |
| Dep Secty for Law Enforcement and Counsel | – | – | 1.0 | 8,681–9,388 | – | 108 |
| Dep Secty for External Affs | – | – | 1.0 | 8,201–8,870 | – | 107 |
| Dep Secty for Policy and Intergovtl Relations | – | – | 1.0 | 8,201–8,870 | – | 107 |
| C.E.A. III | – | – | 1.0 | 7,648–8,432 | – | 106 |
| Communications Director | – | – | 1.0 | 7,105–7,684 | – | 92 |
| C.E.A. II | – | – | 3.0 | 6,954–7,668 | – | 272 |
| C.E.A. I [1] | – | – | 2.5 | 5,493–6,976 | – | 204 |
| Supvng Hazardous Substance Scientist II | – | – | 1.0 | 5,585–6,741 | – | 74 |
| Sr Water Resource Cntrl Engr [1] | – | – | 1.5 | 5,087–6,181 | – | 101 |
| Staff Air Pollution Spec [1] | – | – | 1.5 | 4,847–5,891 | – | 96 |
| Sr Hazardous Substance Scientist [1] | – | – | 2.5 | 4,846–5,851 | – | 160 |
| Sr Integrated Waste Mgt Spec [1] | – | – | 0.5 | 4,846–5,851 | – | 32 |
| Envirntl Spec IV | – | – | 1.0 | 4,846–5,851 | – | 64 |
| Assoc Govtl Prog Analyst | – | – | 3.0 | 3,915–4,759 | – | 161 |
| Adm Asst II | – | – | 1.0 | 3,915–4,759 | – | 51 |
| Hazardous Substance Scientist | – | – | 3.0 | 3,327–4,008 | – | 132 |
| Staff Svcs Analyst-Gen | – | – | 3.0 | 2,507–3,957 | – | 116 |
| Exec Asst | – | – | 3.0 | 2,926–3,556 | – | 124 |
| Legal Secty | – | – | 1.0 | 2,704–3,450 | – | 39 |
| Exec Secty I | – | – | 3.0 | 2,688–3,268 | – | 112 |
| Accountant I-Spec | – | – | 1.0 | 2,554–3,104 | – | 34 |
| Ofc Techn-Typing | – | – | 2.0 | 2,348–2,855 | – | 61 |
| Ofc Asst-Typing | – | – | 1.0 | 1,908–2,465 | – | 28 |
| Temporary Help | – | – | 1.0 | – | – | 23 |
| Overtime | – | – | – | – | – | 20 |
| Totals, Proposed New Positions | – | – | 43.5 | – | – | $2,782 |
| Total Adjustments | – | – | 43.5 | – | – | $2,782 |
| TOTALS, SALARIES AND WAGES | 45.1 | 65.4 | 83.9 | $2,655 | $3,893 | $4,984 |

[1] 0.5 position effective 1/1/02 (continuation of position previously limited to 12/31/01).

*** Dollars in thousands, except in Salary Range.**

# Governor's Office
# 0558 OFFICE OF THE SECRETARY FOR EDUCATION

The Secretary for Education, a member of the Governor's Cabinet, is responsible for advising the Governor and making recommendations on state education policy and legislation. The Office of the Secretary for Education (OSE) administers several education programs, including the Academic Volunteer and Mentor Service Program (Chapter 901, Statutes of 1992), the Governor's Reading Award Program (Chapter 2, Statutes of 1999), READ California (Chapter 2, Statutes of 1999), and the Education Technology Grant Program (Chapter 78, Statutes of 2000).

For the current fiscal year, the costs of the OSE are funded through the Governor's Office of Planning and Research. It is assumed that legislation will be proposed in 2001 to establish the Secretary statutorily, effective on or before January 1, 2002.

## Major Budget Adjustments Proposed for 2001–02

- $20,000,000 in one-time Proposition 98 funding to establish the High Tech High Schools Grant Program.
- $5,000,000 Proposition 98 to establish the Math and Science Challenge Grant Program.
- $5,000,000 Proposition 98 to establish a student-level system to monitor Academic Performance Index scores.
- $5,000,000 Proposition 98 funds to expand the Academic Volunteer and Mentor Service Program.
- $2,000,000 Proposition 98 for continuation funding of the School-to-Career Partnership Grants, which were established by Chapter 793, Statutes of 2000.
- $120,000 General Fund and one position for support of the Math and Science Challenge Grant Program.

### SUMMARY OF PROGRAM REQUIREMENTS

| | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 10 | Office of the Secretary for Education | 21.2 | 24.0 | 24.0 | $6,055 | $7,163 | $6,175 |
| 20 | Academic Volunteer and Mentor Service Program | 2.1 | 3.0 | 4.0 | 10,145 | 10,200 | 15,200 |
| 30 | Education Technology Grant Program | – | 1.9 | 1.9 | 175,000 | 180 | 180 |
| 40 | Math and Science Challenge Grant Program | – | – | – | – | – | 5,120 |
| 50 | School-to-Career Partnership Grants | – | – | – | – | 2,000 | 2,000 |
| 60 | API Student Tracking System | – | – | – | – | – | 5,000 |
| 70 | High Tech High Schools Grant Program | – | – | – | – | – | 20,000 |
| TOTALS, PROGRAMS | | 23.3 | 28.9 | 29.9 | $191,200 | $19,543 | $53,675 |
| *0001* | *General Fund* | | | | *6,230* | *7,533* | *6,665* |
| *0001* | *General Fund (Proposition 98)* | | | | *184,970* | *12,000* | *47,000* |
| *0995* | *Reimbursements* | | | | – | *10* | *10* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 23.3 | 30.0 | 30.0 | $1,378 | $1,762 | $1,773 |
| Total Adjustments | – | – | 1.0 | – | – | 68 |
| Estimated Salary Savings | – | −1.1 | −1.1 | – | −68 | −82 |
| Net Totals, Salaries and Wages | 23.3 | 28.9 | 29.9 | $1,378 | $1,694 | $1,759 |
| Staff Benefits | – | – | – | 208 | 203 | 228 |
| Totals, Personal Services | 23.3 | 28.9 | 29.9 | $1,586 | $1,897 | $1,987 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,644 | $5,646 | $4,688 |
| TOTALS, EXPENDITURES | | | | $6,230 | $7,543 | $6,675 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $1,188 | $1,248 | $1,308 |
| Allocation from Office of Planning and Research | 1,093 | 6,273 | 5,357 |
| Allocation for employee compensation | 106 | 25 | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0558 OFFICE OF THE SECRETARY FOR EDUCATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Adjustment per Section 3.60 | −$86 | −$13 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Chapter 2, Statutes of 1999, First Extraordinary Session | 4,000 | – | – |
| Totals Available | $6,302 | $7,533 | $6,665 |
| Unexpended balance, estimated savings | −72 | – | – |
| TOTALS, EXPENDITURES | $6,230 | $7,533 | $6,665 |
| **0995 Reimbursements** | | | |
| Reimbursements (Allocation from Office of Planning and Research) | – | $10 | $10 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $6,230 | $7,543 | $6,675 |

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Grants and subventions (expenditures) | $184,970 | $12,000 | $47,000 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund, Proposition 98

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Allocation from Office of Planning and Research (Academic Volunteer Mentor) | $10,000 | $10,000 | $15,000 |
| Allocation from OPR (School-to-Career) | – | – | 2,000 |
| Allocation from OPR (Math and Science Challenge Grant) | – | – | 5,000 |
| Allocation from OPR (API Student Monitoring System) | – | – | 5,000 |
| Allocation from OPR (High Tech High Schools) | – | – | 20,000 |
| Chapter 71, Statutes of 2000 | 175,000 | – | – |
| Chapter 793, Statutes of 2000 (School-to-Career) | – | 2,000 | – |
| Totals Available | $185,000 | $12,000 | $47,000 |
| Unexpended balance, estimated savings | −30 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $184,970 | $12,000 | $47,000 |
| TOTALS, EXPENDITURES (State Operations and Local Assistance) | $191,200 | $19,543 | $53,675 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 23.3 | 30.0 | 30.0 | $1,378 | $1,762 | $1,773 |
| Proposed New Positions: | | | | Salary Range | | |
| Special Projects Director | – | – | 1.0 | 5,766 | – | 68 |
| Total Adjustments | – | – | 1.0 | – | – | $68 |
| TOTALS, SALARIES AND WAGES | 23.3 | 30.0 | 31.0 | $1,378 | $1,787 | $1,877 |

## Governor's Office
## 0650 OFFICE OF PLANNING AND RESEARCH

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, and liaison with local government. OPR also oversees programs for small business advocacy, rural policy, environmental justice, and helps implement decisions made within the Administration. In addition, the office has responsibilities pertaining to state planning, CEQA assistance, environmental and federal project review procedures, and oversees the Commission on Improving Life Through Service, which administers the California Americorps program.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0650 OFFICE OF PLANNING AND RESEARCH—Continued

### Authority

Government Code Sections 4530–4535.3, 12035–12038, 12078, 13367.5(h), 13367.65, 15202, 56430, 56815.2, 65025–65049, 65050, 65302.6, 65420–65428, 65962.5, 66452.7, 66455.5, and 67470; Public Resources Code Sections 5096.89, 21080.3–21080.4, 21083–21087, 21159.9, 21165, 25616, and 30415; Fish and Game Code Section 711.4; Health and Safety Code Sections 25199–25199.9; Streets and Highways Code Section 228; Unemployment Insurance Code Section 10535; Welfare and Institutions Code Section 10807; California Administrative Code Sections 15051 and 15065.5.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 11 State Planning and Policy Development | 43.5 | 64.3 | 65.0 | $4,404 | $5,811 | $46,569 |
| 21 California Commission on Improving Life Through Service | 10.5 | 22.2 | 22.2 | 28,757 | 46,343 | 53,805 |
| TOTALS, PROGRAMS | 54.0 | 86.5 | 87.2 | $33,161 | $52,154 | $100,374 |
| *0001 General Fund* | | | | *3,910* | *9,507* | *50,260* |
| *0002 Property Acquisition Law Money Account* | | | | *472* | *506* | *478* |
| *0890 Federal Trust Fund* | | | | *28,274* | *40,783* | *48,275* |
| *0995 Reimbursements* | | | | *505* | *1,358* | *1,361* |

## 11 STATE PLANNING AND POLICY DEVELOPMENT

### Program Objectives Statement

The major activities of the office include: (1) recommending and implementing state policies with regard to land-use and growth planning; (2) carrying out a program of policy research for the Governor and Cabinet; (3) providing technical advice to local governments with regard to planning; (4) advising permit applicants and government agencies on provisions of the California Environmental Quality Act (CEQA) and operating the State Clearinghouse for environmental and federal grant documents; (5) managing the Governor's Innovation in Government program; (6) preparing guidelines and providing assistance to local agency formation commissions; and (7) conducting such other activities as the Governor may direct.

### Major Budget Adjustments Proposed for 2001–02

- $750,000 General Fund to provide assistance to Local Agency Formation Commissions in implementing Chapter 761, Statutes of 2000.
- $250,000 General Fund to continue the Global Cities Online website, which provides information on sustainable local government policies to support environmental quality and economic prosperity.
- $40,000,000 General Fund one-time local assistance funding, to establish a touch screen voting pilot project.

## 21 CALIFORNIA COMMISSION ON IMPROVING LIFE THROUGH SERVICE (CCILTS)

### Program Objectives Statement

The Commission, which oversees California's Americorps program, is dedicated to uniting individuals in service to their communities, promoting responsible citizenship, and achieving demonstrable results in addressing California's persistent unmet human, educational, public safety, and environmental needs. Commission members, acting as ''Ambassadors of Service'' in their local communities, promote, support and build service opportunities and networks which encourage Californians of all backgrounds, abilities and ages to build a California where all residents understand the importance of community service and social responsibility.

### Major Budget Adjustment Proposed for 2001–02

- $8,489,000 Federal Trust Fund expenditure authority to provide an appropriate level of authority to utilize federal funds received.

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 54.0 | 82.2 | 82.2 | $2,466 | $4,503 | $4,532 |
| Total Adjustments | – | 6.7 | 7.2 | – | 541 | 314 |
| Estimated Salary Savings | – | –2.4 | –2.2 | – | –258 | –232 |
| Net Totals, Salaries and Wages | 54.0 | 86.5 | 87.2 | $2,466 | $4,786 | $4,614 |
| Staff Benefits | – | – | – | 440 | 901 | 870 |
| Totals, Personal Services | 54.0 | 86.5 | 87.2 | $2,906 | $5,687 | $5,484 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $3,047 | $8,167 | $9,090 |
| TOTALS, EXPENDITURES | | | | $5,953 | $13,854 | $14,574 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0650 OFFICE OF PLANNING AND RESEARCH—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $4,008 | $4,384 | $5,260 |
| Chapter 213, Statutes of 2000 | – | 5,000 | 5,000 |
| Chapter 597, Statutes of 2000 | – | 100 | – |
| Allocation for employee compensation | 170 | 46 | – |
| Adjustment per Section 3.60 | −104 | −23 | – |
| 011 Budget Act appropriation | 1,093 | 6,273 | 5,357 |
| Allocation for employee compensation | 106 | 25 | – |
| Adjustment per Section 3.60 | −86 | −13 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Chapter 2X, Statutes of 1999 | 4,000 | – | – |
| Transfer from Item 0558-001-0001 per Provision 1 | 1,188 | 1,248 | – |
| Less amount shown in Office of the Secretary for Education | −6,302 | −7,533 | −5,357 |
| Totals Available | $4,074 | $9,507 | $10,260 |
| Unexpended balance, estimated savings | −164 | – | – |
| TOTALS, EXPENDITURES | $3,910 | $9,507 | $10,260 |

### 0002 Property Acquisition Law Money Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $470 | $504 | $478 |
| Allocation for employee compensation | 18 | 4 | – |
| Adjustment per Section 3.60 | −12 | −2 | – |
| Totals Available | $476 | $506 | $478 |
| Unexpended balance, estimated savings | −4 | – | – |
| TOTALS, EXPENDITURES | $472 | $506 | $478 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,869 | $1,489 | $2,475 |
| Allocation for employee compensation | 56 | 10 | – |
| Adjustment per Section 3.60 | −43 | −5 | – |
| Budget adjustment | −816 | 989 | – |
| TOTALS, EXPENDITURES | $1,066 | $2,483 | $2,475 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $505 | $1,358 | $1,361 |
| Reimbursements (Allocation to Office of the Secretary for Education) | 10 | 10 | 10 |
| Less amount shown in Office of the Secretary for Education | −10 | −10 | −10 |
| TOTALS, EXPENDITURES | $505 | $1,358 | $1,361 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $5,953 | $13,854 | $14,574 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund, Proposition 98

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 111 Budget Act appropriation | $10,000 | $10,000 | $15,000 |
| 112 Budget Act appropriation | – | – | 5,000 |
| 114 Budget Act appropriation | – | – | 2,000 |
| 115 Budget Act appropriation | – | – | 5,000 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0650 OFFICE OF PLANNING AND RESEARCH—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 116 Budget Act appropriation | – | – | $20,000 |
| Chapter 71, Statutes of 2000 | $175,000 | – | – |
| Chapter 793, Statutes of 2000 | – | $2,000 | – |
| Less amount shown in Office of the Secretary for Education | −185,000 | −12,000 | −47,000 |
| TOTALS, EXPENDITURES | – | – | – |

### 0001 General Fund, Non-Proposition 98

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | – | – | $40,000 |
| TOTALS, EXPENDITURES | – | – | $40,000 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | $31,900 | $38,300 | $45,800 |
| Budget adjustment | −4,692 | – | – |
| TOTALS, EXPENDITURES | $27,208 | $38,300 | $45,800 |
| TOTALS, EXPENDITURES (Local Assistance) | $27,208 | $38,300 | $85,800 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $33,161 | $52,154 | $100,374 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 54.0 | 82.2 | 82.2 | $2,466 | $4,503 | $4,532 |
| Proposed New Positions: | | | | | | |
| California Commission on Improving Life Through Service: | | | | Salary Range | | |
| Temporary Help | – | 6.7 | 7.2 | – | 541 | 314 |
| Totals, Proposed New Positions | – | 6.7 | 7.2 | – | $541 | $314 |
| Total Adjustments | – | 6.7 | 7.2 | – | $541 | $314 |
| TOTALS, SALARIES AND WAGES | 54.0 | 88.9 | 89.4 | $2,466 | $5,044 | $4,846 |

## Governor's Office
## 0690 OFFICE OF EMERGENCY SERVICES

The principal objective of the Office of Emergency Services (OES) is the coordination of emergency activities to save lives and reduce property losses during disasters and to expedite recovery from the effects of disasters.

On a day-to-day basis, OES provides leadership, assistance and support to state and local agencies in planning and preparing for the most effective use of federal, state, local and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid in which a jurisdiction relies first on its own resources, then calls for assistance from its neighbors. OES' plans and programs are coordinated with those of the federal government, other states, and state and local agencies within California.

During an emergency, OES functions as the Governor's immediate staff to coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes, and it acts as the conduit for federal assistance through natural disaster grants and federal agency support.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 15 Mutual Aid Response | 79.7 | 85.6 | 86.4 | $13,121 | $21,687 | $24,109 |
| 35 Plans and Preparedness | 141.6 | 143.4 | 145.1 | 28,641 | 30,384 | 40,686 |
| 45 Disaster Assistance | 202.4 | 216.0 | 207.9 | 702,291 | 676,885 | 650,618 |
| 55 Administration and Executive | 68.7 | 68.2 | 69.0 | 4,423 | 4,806 | 5,725 |
| Distributed Administration and Executive | – | – | – | −4,423 | −4,806 | −4,830 |
| 98 State-Mandated Local Programs | – | – | – | 4 | – | – |
| TOTALS, PROGRAMS | 492.4 | 513.2 | 508.4 | $744,057 | $728,956 | $716,308 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0690 OFFICE OF EMERGENCY SERVICES—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 0001 | General Fund | $141,714 | $115,184 | $98,994 |
| 0028 | Unified Program Account | 385 | 578 | 580 |
| 0029 | Nuclear Planning Assessment Special Account | 2,664 | 2,823 | 2,911 |
| 0372 | Disaster Relief Fund | – | – | 378 |
| 0437 | State Assistance for Fire Equipment Account | 1 | 100 | 100 |
| 0890 | Federal Trust Fund | 597,834 | 608,040 | 611,114 |
| 0995 | Reimbursements | 1,459 | 2,231 | 2,231 |

### 15 MUTUAL AID RESPONSE

#### Program Objectives Statement

This program provides emergency mutual aid services, including the effective use of federal, state, and local resources, by and for the benefit of jurisdictions whose resources and services are overextended in a disaster situation. Central to this effort is maintaining operational readiness at all levels of government. Information Technology tools are used extensively to increase the effectiveness and efficiency of these efforts.

#### Major Budget Adjustments Proposed for 2001–02

- An increase of $98,000 General Fund to implement the provisions of Chapter 1087, Statutes of 2000 (SB 2012), Emergency Broadcasting Grants.

The following General Fund increases are to purchase equipment which would be provided to local agencies through the mutual aid program.

- An increase of $2,068,000 to purchase and maintain 12 Fire Water Tenders.
- An increase of $2,175,000 to replace rigid aluminum pipe with flexible large diameter hose.
- An increase of $7,141,000 to purchase and maintain 18 Urban Heavy Rescue Units.
- An increase of $269,000 to conduct annual Urban Search and Rescue Team mobilization exercises.

### 35 PLANS AND PREPAREDNESS

#### Program Objectives Statement

This program's objective is to develop and implement emergency plans to ensure consistency in planning at all levels of government. Training is also included in this program and covers emergency management courses in preparedness, mitigation and technical training for radiological response and recovery.

#### Major Budget Adjustment Included in 2000–01

- An increase of $5,697,000 Federal Trust Fund to accept grant funding for terrorism response programs.

#### Major Budget Adjustments Proposed for 2001–02

- An increase of $9,122,000 Federal Trust Fund authority to accept grant funding from the U.S. Office of Justice Planning (OJP) for terrorism response programs.
- $6,800,000 General Fund to operate and expand the Tri-Net seismic network earthquake monitoring system.

### 45 DISASTER ASSISTANCE

#### Program Objectives Statement

The objective of this program is to provide aid to local agencies for repair and restoration of public real property in stricken areas in time of disaster and to provide assurance that all public facilities will be restored in order to provide necessary services to the citizens of the affected areas.

The Natural Disaster Assistance Act was established by Chapter 290, Statutes of 1974. This law charges the director of the OES with the administration of the program. A local agency is eligible to participate in the program if it declares a local emergency which is found acceptable to the director of OES.

Through the Federal Disaster Relief Act (PL 93-288), local government and other public entities are provided financial and other assistance to recover from the effects of floods, earthquakes, and other natural disasters. In a presidential declaration of a ''major disaster'' or ''major emergency,'' assistance may be provided to the public sector for repair and restoration of public facilities, roads, buildings, utilities, flood control systems, etc. and/or the private sector by coordinating state agency response in providing assistance to individuals impacted by the disaster.

### 55 ADMINISTRATION AND EXECUTIVE

This program provides the overall policy direction of the department from the director's office as well as supporting services such as accounting, personnel and business services.

#### Major Budget Adjustment Included in 2000–01

- $24,388,000 General Fund allocated pursuant to Government Code Section 8690.6 to fund the non-federal share of Federal Emergency Management Agency claims for disaster recovery costs.

#### Major Budget Adjustment Proposed for 2001–02

- An increase of $895,000 General Fund to support annual maintenance and utility costs associated with the new OES headquarters.

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0690 OFFICE OF EMERGENCY SERVICES—Continued

### 98 STATE-MANDATED LOCAL PROGRAMS

**Program Objectives Statement**

The objective of this program is to provide funding, pursuant to Section 6 of Article XIIIB of the California Constitution, to reimburse local entities for costs they incur in complying with state mandates. This budget proposes to continue the suspension of the Deaf Teletype Equipment Mandate (Chapter 1032, Statutes of 1980).

---

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**
**15 MUTUAL AID RESPONSE**

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$12,724* | *$13,572* | *$23,659* |
| *0437 State Assistance for Fire Equipment Account* | *1* | *100* | *100* |
| *0890 Federal Trust Fund* | *396* | *865* | *350* |
| Totals, State Operations | $13,121 | $14,537 | $24,109 |
| Local Assistance: | | | |
| *0001 General Fund* | – | *7,000* | – |
| *0890 Federal Trust Fund* | – | *150* | – |
| Totals, Local Assistance | – | $7,150 | – |

**ELEMENT REQUIREMENTS**

| | | | |
|---|---|---|---|
| 15.10 Fire and Rescue | | | |
| State Operations: | | | |
| *0001 General Fund* | *4,591* | *5,088* | *17,131* |
| *0437 State Assistance for Fire Equipment Account* | *1* | *100* | *100* |
| *0890 Federal Trust Fund* | *111* | *249* | *350* |
| Local Assistance: | | | |
| *0890 Federal Trust Fund* | – | *150* | – |
| 15.20 Law Enforcement | | | |
| State Operations: | | | |
| *0001 General Fund* | *1,156* | *1,139* | *1,932* |
| *0890 Federal Trust Fund* | *2* | – | – |
| 15.30 Information Technology/Warning Center | | | |
| State Operations: | | | |
| *0001 General Fund* | *6,977* | *7,345* | *4,596* |
| *0890 Federal Trust Fund* | *283* | *616* | – |
| Local Assistance: | | | |
| *0001 General Fund* | – | *7,000* | – |

**PROGRAM REQUIREMENTS**
**35 PLANS AND PREPAREDNESS**

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$14,318* | *$7,858* | *$14,096* |
| *0028 Unified Program Account* | *385* | *578* | *580* |
| *0029 Nuclear Planning Assessment Special Account* | *684* | *831* | *857* |
| *0890 Federal Trust Fund* | *6,035* | *6,299* | *6,796* |
| *0995 Reimbursements* | *1,459* | *2,231* | *2,231* |
| Totals, State Operations | $22,881 | $17,797 | $24,560 |
| Local Assistance: | | | |
| *0029 Nuclear Planning Assessment Special Account* | *1,980* | *1,992* | *2,054* |
| *0890 Federal Trust Fund* | *3,780* | *10,595* | *14,072* |
| Totals, Local Assistance | $5,760 | $12,587 | $16,126 |

**ELEMENT REQUIREMENTS**

| | | | |
|---|---|---|---|
| 35.10 Plans and Preparedness | | | |
| State Operations: | | | |
| *0001 General Fund* | *11,385* | *5,970* | *11,930* |
| *0028 Unified Program Account* | *385* | *578* | *580* |
| *0029 Nuclear Planning Assessment Special Account* | *684* | *831* | *857* |
| *0890 Federal Trust Fund* | *5,717* | *4,529* | *5,225* |
| *0995 Reimbursements* | *73* | *95* | *95* |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0690 OFFICE OF EMERGENCY SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0029 Nuclear Planning Assessment Special Account* | *$41,980* | *$1,992* | *$2,054* |
| *0890 Federal Trust Fund* | *3,778* | *10,295* | *14,072* |
| 35.30 Training | | | |
| State Operations: | | | |
| *0001 General Fund* | *2,933* | *1,888* | *2,166* |
| *0890 Federal Trust Fund* | *318* | *1,770* | *1,571* |
| *0995 Reimbursements* | *1,386* | *2,136* | *2,136* |
| Local Assistance: | | | |
| *0890 Federal Trust Fund* | *2* | *300* | – |
| **PROGRAM REQUIREMENTS** | | | |
| **45 DISASTER ASSISTANCE** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$7,241* | *$9,219* | *$9,132* |
| *0890 Federal Trust Fund* | *10,921* | *12,421* | *12,186* |
| Totals, State Operations | $18,162 | $21,640 | $21,318 |
| Local Assistance: | | | |
| *0001 General Fund* | *107,427* | *77,535* | *51,212* |
| *0372 Natural Disaster Relief Fund* | – | – | *378* |
| *0890 Federal Trust Fund* | *576,702* | *577,710* | *577,710* |
| Totals, Local Assistance | $684,129 | $655,245 | $629,300 |
| **PROGRAM REQUIREMENTS** | | | |
| **55 ADMINISTRATION/EXECUTIVE** | | | |
| Undistributed Administration: | | | |
| State Operations: | | | |
| *0001 General Fund* | – | – | *$895* |
| Totals, State Operations for Undistributed Administration | – | – | $895 |
| **PROGRAM REQUIREMENTS** | | | |
| **98 STATE-MANDATED LOCAL PROGRAMS** | | | |
| Local Assistance: | | | |
| Ch. 1032/80—Deaf Teletype Equipment | $4 | $0 [1] | $0 [1] |
| Totals, Local Assistance | $4 | – | – |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $54,164 | $53,974 | $70,882 |
| Local Assistance | 689,893 | 674,982 | 645,426 |
| TOTALS, EXPENDITURES | $744,057 | $728,956 | $716,308 |

[1] Mandate suspended pursuant to Government Code Section 17581.

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 492.4 | 557.8 | 551.8 | $25,121 | $28,864 | $29,372 |
| Total Adjustments | – | – | –15.7 | – | – | 52 |
| Estimated Salary Savings | – | –44.6 | –27.7 | – | –2,309 | –1,472 |
| Net Totals, Salaries and Wages | 492.4 | 513.2 | 508.4 | $25,121 | $26,555 | $27,952 |
| Staff Benefits | – | – | – | 4,888 | 4,848 | 5,114 |
| Totals, Personal Services | 492.4 | 513.2 | 508.4 | $30,009 | $31,403 | $33,066 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $24,155 | $22,571 | $37,816 |
| TOTALS, EXPENDITURES | | | | $54,164 | $53,974 | $70,882 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0690 OFFICE OF EMERGENCY SERVICES—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $34,965 | $30,495 | $47,782 |
| Allocation for employee compensation | 1,667 | 66 | – |
| Allocation for Year 2000 per Item 9904-001-0001 | 3,459 | – | – |
| Adjustment per Section 3.60 | −1,040 | −135 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 9 | – |
| Adjustment per Section 16.00 | 9 | – | – |
| Transfer to Legislative Claims (9670) | −1 | −1 | – |
| Chapter 294, Statutes of 1999 | 75 | – | – |
| Prior year balances available: | | | |
| Chapter 294, Statutes of 1999 | – | 75 | – |
| Chapter 490, Statutes of 1998 | 140 | 140 | – |
| Totals Available | $39,274 | $30,649 | $47,782 |
| Balance available in subsequent years | −215 | – | – |
| Unexpended balance, estimated savings | −4,776 | – | – |
| TOTALS, EXPENDITURES | $34,283 | $30,649 | $47,782 |

### 0028 Unified Program Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $563 | $581 | $580 |
| Allocation for employee compensation | 32 | – | – |
| Adjustment per Section 3.60 | −25 | −3 | – |
| Totals Available | $570 | $578 | $580 |
| Unexpended balance, estimated savings | −185 | – | – |
| TOTALS, EXPENDITURES | $385 | $578 | $580 |

### 0029 Nuclear Planning Assessment Special Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $817 | $869 | $857 |
| Allocation for employee compensation | 32 | – | – |
| Adjustment per Section 3.60 | −20 | – | – |
| Prior year balances available: | | | |
| Item 0690-001-0029, Budget Act of 1998 per Government Code Section 8610.5(e) (San Onofre) | 155 | – | – |
| Totals Available | $984 | $869 | $857 |
| Unexpended balance, estimated savings | −300 | −38 | – |
| TOTALS, EXPENDITURES | $684 | $831 | $857 |

### 0437 State Assistance for Fire Equipment Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 8589.16 (expenditures) | $1 | $100 | $100 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $17,156 | $19,417 | $19,332 |
| Allocation for employee compensation | 744 | 10 | – |
| Adjustment per Section 3.60 | −467 | −60 | – |
| Adjustment per Section 16.00 | 6 | – | – |
| Budget adjustment | −87 | 218 | – |
| TOTALS, EXPENDITURES | $17,352 | $19,585 | $19,332 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $1,459 | $2,231 | $2,231 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $54,164 | $53,974 | $70,882 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0690 OFFICE OF EMERGENCY SERVICES—Continued

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $1,249 | – | – |
| 103 Budget Act appropriation | – | $6,935 | – |
| 112 Budget Act appropriation (Disaster Recovery Cost) | 61,993 | 51,212 | $51,212 |
| 295 Budget Act appropriation (State Mandates) | 0 [1] | 0 [1] | 0 [1] |
| Deficiency appropriation per Government Code Section 8690.6 | 45,000 | 24,388 | – |
| Chapter 1087, Statutes of 2000 | – | 2,000 | – |
| Prior year balances available: | | | |
| Chapter 780, Statutes of 1998 (State Mandates) | 4 | – | – |
| Totals Available | $108,246 | $84,535 | $51,212 |
| Unexpended balance, estimated savings | –815 | – | – |
| TOTALS, EXPENDITURES | $107,431 | $84,535 | $51,212 |

### 0029 Nuclear Planning Assessment Special Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $1,981 | $2,050 | $2,054 |
| Prior year balances available: | | | |
| Item 0690-101-0029, Budget Act of 1998 per Government Code Section 8610.5(e) (San Onofre) | 453 | – | – |
| Totals Available | $2,434 | $2,050 | $2,054 |
| Unexpended balance, estimated savings | –454 | –58 | – |
| TOTALS, EXPENDITURES | $1,980 | $1,992 | $2,054 |

### 0372 Disaster Relief Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 102 Budget Act appropriation (Loma-Prieta Disaster Relief) | $340 | – | $378 |
| Unexpended balance, estimated savings | –340 | – | – |
| TOTALS, EXPENDITURES | – | – | $378 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $254,950 | $582,660 | $591,782 |
| Increase expenditure authority per Provision 1 | 374,603 | – | – |
| Budget adjustment | –49,071 | 5,795 | – |
| TOTALS, EXPENDITURES | $580,482 | $588,455 | $591,782 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $689,893 | $674,982 | $645,426 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $744,057 | $728,956 | $716,308 |

[1] Suspended mandate.

### FUND CONDITION STATEMENT
### 0029 Nuclear Planning Assessment Special Account [s] [2]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $910 | $129 | $71 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees (Fixed Nuclear Powerplant Operators) | 2,437 | 3,251 | 3,415 |
| Totals, Resources | $3,347 | $3,380 | $3,486 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0690 OFFICE OF EMERGENCY SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0690 Office of Emergency Services: | | | |
| State Operations | $684 | $831 | $857 |
| Local Assistance | 1,980 | 1,992 | 2,054 |
| 4260 Department of Health Services (State Operations) | 554 | 486 | 572 |
| Totals, Disbursements | $3,218 | $3,309 | $3,483 |
| FUND BALANCE | $129 | $71 | $3 |
| Reserve for economic uncertainties | 129 | 71 | 3 |

[2] The fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

### 0437 State Assistance for Fire Equipment Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $285 | $292 | $252 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131700 Miscellaneous revenue from local agencies | 9 | 60 | 170 |
| Totals, Resources | $294 | $352 | $422 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0690 Office of Emergency Services (State Operations) | 1 | 100 | 100 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) | 1 | – | – |
| Totals, Disbursements | $2 | $100 | $100 |
| FUND BALANCE | $292 | $252 | $322 |
| Reserve for economic uncertainties | 292 | 252 | 322 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 492.4 | 557.8 | 551.8 | $25,121 | $28,864 | $29,372 |
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $52 |
| Excess Vacant Positions Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | −1.0 | 3,915–4,759 | – | – |
| Emergency Svcs Coord | – | – | −2.0 | 3,207–4,666 | – | – |
| Disaster Assistance Progs Spec I | – | – | −5.0 | 2,973–3,972 | – | – |
| Staff Svcs Analyst-Gen | – | – | −6.0 | 2,411–3,805 | – | – |
| Pers Svcs Spec I | – | – | −1.0 | 2,226–3,222 | – | – |
| Ofc Techn-Typing | – | – | −1.0 | 2,258–2,745 | – | – |
| Ofc Asst-Gen | – | – | −0.7 | 1,775–2,370 | – | – |
| Totals, Excess Vacant Positions Analysis | – | – | −16.7 | – | – | – |
| Total Adjustments | – | – | −15.7 | – | – | $52 |
| TOTALS, SALARIES AND WAGES | 492.4 | 557.8 | 536.1 | $25,121 | $28,864 | $29,424 |

*** Dollars in thousands, except in Salary Range.**

## Governor's Office
## 0690 OFFICE OF EMERGENCY SERVICES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **80 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Project** | | | |
| 80.10.001 Sacramento-OES Headquarters and State Operations Center | – | $31,733 WCEg | – |
| 80.10.005 Sacramento-OES Fire and Telecommunications Shop | – | – | $1,275 APg |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | – | $31,733 | $1,275 |
| *0001 General Fund* | – | *31,733* | *1,275* |
| *0660 Public Buildings Construction Fund* [n] | – | – | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | – | $31,438 | $1,275 |
| Transfer to and from Government Code Sections 16408 and 16409 | – | 295 Cg | – |
| TOTALS, EXPENDITURES | – | $31,733 | $1,275 |

### 0660 Public Buildings Construction Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $6,720 | – | – |
| Prior year balances available: | | | |
| Item 0690-301-0660, Budget Act of 1998, as reappropriated by Item 0690-490, Budget Act of 1999 | 24,162 | – | – |
| Transfer to and from Government Code Sections 16351.5 and 16352 | −612 | – | – |
| Totals Available | $30,270 | – | – |
| Unexpended balance, estimated savings | −30,270 | – | – |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | – | $31,733 | $1,275 |

## 0695 NATURAL DISASTER ASSISTANCE

The Loma Prieta earthquake struck the Greater San Francisco-Oakland Bay Area on October 17, 1989, causing several billion dollars worth of damage to private and public property and disrupting the lives of hundreds of thousands of Californians. As part of the response to the earthquake, the Governor called a Special Session of the Legislature on November 2, 1989 to provide funding and aid for victims of the earthquake and to restore public property damaged or destroyed by the earthquake.

As a result of the high cost of this disaster, a special one-quarter cent state sales tax was enacted and collected from December 1, 1989 through December 31, 1990. These receipts are maintained in the Disaster Relief Fund and are used for the various recovery and assistance programs for individuals, as well as local and state governmental entities. State agencies which have utilized these funds for Loma Prieta recovery programs include the Departments of Transportation, Housing and Community Development, Commerce, and Social Services, as well as the Office of Emergency Services (OES) and the Board of Control. Specific information about these programs may be obtained from those agencies.

This exhibit displays the current status of the Disaster Relief Fund. During the 1996–97 and prior fiscal years, monies from this fund were allocated by the Department of Finance to OES on an as-needed basis. Beginning with the 1997–98 fiscal year, expenditures from the Disaster Relief Fund were proposed by the OES through a Budget Act appropriation and are included in OES's budget.

### RECONCILIATION WITH APPROPRIATIONS
### Informational Display
### 1 STATE OPERATIONS
### 0372 Disaster Relief Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 102 Budget Act appropriation, Item 0690-102-0372 | ($340) | – | ($378) |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0695 NATURAL DISASTER ASSISTANCE—Continued

### FUND CONDITION STATEMENT
### 0372 Disaster Relief Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $378 | $378 | $378 |
| EXPENDITURES: | | | |
| 0690 Office of Emergency Services (Local Assistance) | – | – | 378 |
| FUND BALANCE | $378 | $378 | – |
| Reserve for economic uncertainties | 378 | 378 | – |

# 0750 OFFICE OF THE LIEUTENANT GOVERNOR

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues.

In addition, under state statutes the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance for the development of California's economy. The Lieutenant Governor is also a member of the California State World Trade Commission, the State Job Training Coordinating Council, and the California Emergency Council.

## Authority

Constitution, Article V, Sections 9, 10; Article IX, Section 9. Education Code Section 66602; Government Code Sections 8704, 8575, 15364.2 and 14999 through 14999.8; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

### SUMMARY OF PROGRAM REQUIREMENTS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 General Activities | 16.8 | 21.8 | 21.8 | $1,734 | $1,849 | $1,841 |
| TOTALS, PROGRAMS | 16.8 | 21.8 | 21.8 | $1,734 | $1,849 | $1,841 |
| *0001 General Fund* | | | | *1,734* | *1,849* | *1,841* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 16.8 | 23.0 | 23.0 | $914 | $1,163 | $1,206 |
| Estimated Salary Savings | – | −1.2 | −1.2 | – | −58 | −60 |
| Net Totals, Salaries and Wages | 16.8 | 21.8 | 21.8 | $914 | $1,105 | $1,146 |
| Staff Benefits | – | – | – | 143 | 157 | 157 |
| Totals, Personal Services | 16.8 | 21.8 | 21.8 | $1,057 | $1,262 | $1,303 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $677 | $587 | $538 |
| TOTALS, EXPENDITURES | | | | $1,734 | $1,849 | $1,841 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $1,740 | $1,829 | $1,841 |
| Allocation for employee compensation | 67 | 16 | – |
| Adjustment per Section 3.60 | −64 | −6 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 10 | – |
| Totals Available | $1,743 | $1,849 | $1,841 |
| Unexpended balance, estimated savings | −9 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $1,734 | $1,849 | $1,841 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 0820 DEPARTMENT OF JUSTICE

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissions and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust and civil rights laws; and assists district attorneys in the administration of justice. The department also coordinates efforts to address the statewide narcotic enforcement problem; assists local law enforcement in the investigation and analysis of crimes; provides person and property identification and information services to criminal justice agencies; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair and illegal activities.

| SUMMARY OF PROGRAM REQUIREMENTS | | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|---|
| 11.01 | Directorate and Administration | 196.5 | 308.6 | 310.6 | $16,086 | $19,237 | $20,922 |
| 11.02 | Distributed Directorate and Administration | – | – | – | –16,086 | –19,237 | –20,922 |
| 12.01 | Legal Support and Technology | 648.8 | 684.3 | 693.8 | 36,576 | 39,922 | 40,636 |
| 12.02 | Distributed Legal Support and Technology | – | – | – | –36,576 | –39,922 | –40,636 |
| 25 | Executive Programs | 66.1 | 54.3 | 56.2 | 9,537 | 10,064 | 13,825 |
| 30 | Civil Law | 479.3 | 492.4 | 493.9 | 92,624 | 97,611 | 97,460 |
| 40 | Criminal Law | 587.7 | 649.3 | 663.6 | 82,411 | 90,165 | 93,333 |
| 45 | Public Rights | 269.3 | 271.8 | 277.6 | 34,905 | 45,100 | 47,240 |
| 50 | Law Enforcement | 1,010.0 | 1,277.4 | 1,195.3 | 119,997 | 152,714 | 158,911 |
| 60 | Criminal Justice Information Services | 1,365.6 | 1,427.4 | 1,516.6 | 139,686 | 145,036 | 151,043 |
| 65 | Gambling | 50.6 | 158.1 | 158.1 | 5,541 | 13,425 | 12,982 |
| 70 | Firearms | – | 90.8 | 83.1 | – | 7,985 | 7,240 |
| 98 | State-Mandated Local Programs | – | – | – | 11,181 | 26,103 | 14,983 |
| TOTALS, PROGRAMS | | 4,673.9 | 5,414.4 | 5,448.8 | $495,882 | $588,203 | $597,017 |
| Less amount funded in the Political Reform Act | | – | – | – | (222) | (222) | –222 |
| NET TOTALS, PROGRAMS | | 4,673.9 | 5,414.4 | 5,448.8 | $495,882 | $588,203 | $596,795 |
| *0001* | *General Fund* | | | | *265,961* | *302,947* | *323,658* |
| *0012* | *Attorney General Antitrust Account* | | | | *1,047* | *1,066* | *1,070* |
| *0014* | *Hazardous Waste Control Account* | | | | *1,682* | *1,602* | *1,613* |
| *0015* | *Firearms Safety Training Fund* | | | | *444* | *455* | *456* |
| *0017* | *Fingerprint Fees Account* | | | | *43,420* | *49,514* | *50,924* |
| *0032* | *Firearm Safety Account* | | | | *–* | *355* | *309* |
| *0044* | *Motor Vehicle Account, State Transportation Fund* | | | | *18,413* | *18,819* | *19,255* |
| *0142* | *Sexual Habitual Offender Fund* | | | | *1,563* | *2,070* | *2,024* |
| *0158* | *Travel Seller Fund* | | | | *875* | *1,118* | *940* |
| *0195* | *Conservatorship Registry Fund* | | | | *–* | *49* | *45* |
| *0214* | *Restitution Fund* | | | | *737* | *5,699* | *3,000* |
| *0255* | *Department of Justice DNA Testing Fund* | | | | *254* | *225* | *225* |
| *Less funding provided by General Fund* | | | | | *–254* | *–225* | *–225* |
| *0256* | *Sexual Predator Public Information Fund* | | | | *49* | *49* | *49* |
| *0367* | *Indian Gaming* | | | | *1,454* | *8,135* | *7,809* |
| *0378* | *False Claims Act Fund* | | | | *4,386* | *9,309* | *9,966* |
| *0460* | *Dealers' Record of Sale Special Account* | | | | *6,778* | *8,930* | *8,170* |
| *0557* | *Toxics Substances Control Account* | | | | *1,779* | *1,897* | *1,916* |
| *0566* | *Department of Justice Child Abuse Fund* | | | | *176* | *198* | *294* |
| *0567* | *Gambling Control Fund* | | | | *4,244* | *5,700* | *5,758* |
| *0569* | *Gambling Control Fines and Penalties* | | | | *135* | *195* | *37* |
| *0641* | *Domestic Violence Restraining Order Reimbursement Fund* | | | | *199* | *1,918* | *1,918* |
| *0890* | *Federal Trust Fund* | | | | *32,063* | *44,165* | *25,791* |
| *0942* | *State Asset Forfeiture Account, Special Deposit Fund* | | | | *445* | *454* | *461* |
| *0942* | *Federal Asset Forfeiture Account, Special Deposit Fund* | | | | *1,104* | *1,338* | *1,828* |
| *0942* | *Lake Davis Northern Pike Eradication Relief Account, Special Deposit Fund* | | | | *1,476* | *1,158* | *–* |
| *0995* | *Reimbursements* | | | | *107,452* | *121,063* | *126,654* |
| *3016* | *Missing Persons DNA Data Base Fund* | | | | *–* | *–* | *2,850* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

## 11 DIRECTORATE AND ADMINISTRATION

### Program Objectives Statement

The Directorate and Administration divisions of the Department of Justice consist of the Attorney General's Executive Office, which maintains overall direction and administration of the diverse programs and projects of the department, the Affirmative Action Office and the Opinion Unit. The Opinion Unit performs the Attorney General's duty under Government Code Section 12519 to provide written opinions to designated public officers on questions of law relating to their respective offices. This unit drafts all formal opinions issued by the Attorney General, most of which are published. Also within this program is the Administrative Services Division which provides fiscal, personnel, and technical support activities for the entire department.

### Major Budget Adjustment Proposed for 2001–02

- An increase of 7.6 personnel years and $508,000 ($265,000 General Fund, $38,000 Federal Funds, $104,000 Reimbursements, and $101,000 various Special Funds) for increased staffing in the Personnel Office to administer the Department's programs.

## 12 DIVISION OF LEGAL SUPPORT AND TECHNOLOGY

### Program Objectives Statement

The Division of Legal Support and Technology encompasses a broad scope of programs and services, including information technology services; legal research; large document case indexing, automated trial presentation, and litigation support services; case management; orientation and training services to all legal office employees with special focus on legal secretaries and newly appointed deputies; legal secretarial services; and administrative functions essential to the operation of a law firm.

### Major Budget Adjustment Included in 2000–01

- An increase of 2.1 personnel years to assist legal staff in the Public Rights Division with an emergency legal response to California's energy crisis. The costs of these personnel years are distributed to the division.

### Major Budget Adjustment Proposed for 2001–02

- An increase of 20.8 personnel years to address workload requirements driven by the Civil Law Division, Criminal Law Division, and Public Rights Division. The costs of these personnel years are distributed to each legal division.

## 25 EXECUTIVE PROGRAMS

### Program Objectives Statement

The primary responsibility of the Division of Executive Programs is the establishment and maintenance of communications between the department and the public, the news media, the Legislature, and law enforcement. The division consists of the Legislative Unit; the Crime Prevention Center; the Public Inquiry Unit; the Office of Community and Consumer Affairs; Press, Communications and Media; Protective Services and Special Liaisons; the Office of Native American Affairs; the Office of Training and Professional Development; and the Special Assistant Attorneys General.

### Major Budget Adjustments Proposed for 2001–02

- An increase of 7.1 personnel years and $910,000 (General Fund) to augment programs within the Attorney General's Crime and Violence Prevention Center. These programs include a training video addressing crisis responses in school violence situations, establishing the California Community Relations Service, and continued operation of the Community Policing Clearinghouse.
- An increase of 0.9 personnel year and $676,000 ($76,000 General Fund, $236,000 Reimbursements and $364,000 Local Assistance Reimbursements) to implement the Attorney General's Youth Corps Program and to administer the California Student Survey.
- An increase of 0.9 personnel year and $2,000,000 (General Fund) to coordinate a multi-component public awareness campaign on elder abuse, as directed by Chapter 559, Statutes of 2000.

## 30 CIVIL LAW

### Program Objectives Statement

The Civil Law Program protects and preserves the public interests by providing skilled legal services to all state agencies and Constitutional Officers. The Civil Law Program provides specialized services in the following areas: Licensing; Government Law; False Claims; Business and Tax; Health, Education and Welfare; Health Quality Enforcement; Tort and Condemnation; and Employment, Regulation and Administration.

### Major Budget Adjustments Proposed for 2001–02

- A two-year limited term augmentation of 1.4 personnel years and $237,000 (General Fund) for AB 2300, Chapter 723, Statutes of 2000, the oversight enforcement of bond issuances.
- An increase of 3.8 personnel years and $599,000 (False Claims Act Fund) to handle the increasing false claims workload.
- An increase of 0.9 personnel year and $198,000 (General Fund) to provide litigation representation and/or legal counsel to the Department of Education, the Superintendent of Public Instruction, and the State Board of Equalization.
- A one-time increase of $4,500,000 (General Fund) for continued consultant fees for underwriters at Lloyd's Litigation.

### Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, as well as various sections of the Government Code including Sections 11042, 11043, 11157, 11522, 12511, 12512, and 12519.

*** Dollars in thousands, except in Salary Range.**

# 0820 DEPARTMENT OF JUSTICE—Continued

## 40 CRIMINAL LAW

### Program Objectives Statement

The Criminal Law Program represents the state in all criminal matters before the appellate and supreme courts and defends the state and its officers in actions filed by state prisoners under the Federal Civil Rights Act. This program also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are disqualified, and conducts criminal investigations and prosecutions of those engaged in illegal activities where local resources are inadequate to perform these functions. Additional responsibilities include: enforcing the Political Reform Act, advising the Governor on extradition matters, prosecuting criminal actions involving Medi-Cal provider fraud and patient abuse, investigating and prosecuting elder abuse crimes, the investigation and prosecution and coordination of litigation involving white-collar crime, high-tech/computer crime and financial crimes against the elderly.

### Major Budget Adjustments Proposed for 2001–02

- An increase of 17.1 personnel years (two year limited term) and $1,899,000 ($461,000 General Fund and $1,438,000 Federal Funds) for the Bureau of Medi-Cal Fraud and Elder Abuse to enhance its ability to investigate and prosecute elder abuse and neglect in Medi-Cal funded facilities.
- An increase of 2.4 personnel years and $447,000 (General Fund) which provides for post-conviction testing of DNA samples that would result in reversal of previous court convictions of incarcerated felons, pursuant to the provisions of SB 1342, Chapter 821, Statutes of 2000.
- An increase of 13.8 personnel years and $2,434,000 (General Fund) to address increased workload in federal habeas corpus matters.

### Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, in Government Code Sections 995, 996, 12511, 12512, 12550, 83116, 91001(a), and 91003, and in Penal Code Sections 1256 and 1548.3.

## 45 PUBLIC RIGHTS

### Program Objectives Statement

The Public Rights element protects and preserves the public interest by providing skilled legal services to all state agencies and Constitutional Officers. The Public Rights Program provides specialized services in the following areas: Civil Rights Enforcement; Charitable Trust (including Charitable Trust Registration); Natural Resources; Indian and Gaming Law; Environmental Law; Land Law; Consumer Law; Antitrust Law; and Tobacco Litigation Enforcement.

### Major Budget Adjustment Included in 2000–01

- An increase of 5.2 personnel years and $2,388,000 (General Fund) to address current year work associated with the current electricity and natural gas emergency facing California.

### Major Budget Adjustments Proposed for 2001–02

- An increase of 4.7 personnel years and $288,000 (General Fund) to comply with charitable oversight and enforcement activities as mandated by Chapter 778, Statutes of 2000.
- A re-appropriation of $225,000 for necessary litigation support requirements in the case of State Lands Commission v. City of Los Angeles.
- An augmentation of 4.7 personnel years and $1,309,000 ($1,034,000 General Fund and $275,000 Reimbursements) to provide litigation representation and legal counsel to client agencies that enforce and administer laws and programs that protect California's environment and natural resources.
- An increase of 14.7 personnel years and $3,975,000 (General Fund) to investigate the current electricity and natural gas emergency facing California.

### Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, as well as various sections of the Government Code including Sections 11042, 11043, 11157, 11522, 12511, 12512, and 12519.

## 50 LAW ENFORCEMENT

### Program Objectives Statement

The Division of Law Enforcement is organized into three elements. The Bureau of Investigation conducts criminal investigations of statewide importance and provides investigative services, criminal intelligence, and specialized equipment to criminal justice agencies, public agencies, and to the Department of Justice in criminal cases. The Bureau of Narcotic Enforcement combats the state's narcotic problem by providing leadership, coordination, and support to law enforcement through 9 regional offices and 28 multi-agency drug task forces, special operations units, drug diversion, violence suppression teams, clandestine laboratory teams, and crackdown teams. The Bureau of Forensic Services provides evaluation and analysis of physical evidence including expert court testimony to state and local law enforcement agencies by operating 11 specialized laboratories serving 46 counties as well as a forensic training facility and a DNA laboratory that is compiling and maintaining a database of sex and violent offenders. The Western States Information Network provides an automated database of suspected narcotic traffickers for member agencies in Alaska, Hawaii, California, Oregon, and Washington.

### Major Budget Adjustments Included in 2000–01

- An increase of 28.8 personnel years and $5,262,000 (Reimbursements) to implement the first year of the Administration program to perform rape kit DNA analyses.
- An increase of 6.7 personnel years and $1,000,000 (Reimbursements) to implement an Interagency Agreement between the Department of Justice and the Department of Conservation to conduct investigations into recycling fraud.

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

### Major Budget Adjustments Proposed for 2001–02

- A permanent fund shift of 106.4 personnel years and $10,445,000 from federal spending authority to General Fund to continue the California Methamphetamine Strategy.
- A one-time increase of $486,000 (Federal Asset Forfeiture Account) for the purchase of replacement communications equipment.
- An increase of $1,314,000 (General Fund) to replace old, outdated or unrepairable equipment and to update technical equipment used by the Bureau of Forensic Services' Criminalistics Laboratory System.
- An increase of 54.6 personnel years and $13,039,000 (Reimbursements) to implement the second year of the Administration program to perform rape kit DNA analyses.
- An increase of 6.2 personnel years and $2,850,000 (Missing Persons DNA Data Base Fund) to implement Chapter 822, Statutes of 2000. Chapter 822 provides for the comparison of DNA samples between missing persons and unidentified remains.
- An increase of 9.5 personnel years and $1,248,000 (Reimbursements) for the Bureau of Narcotic Enforcement to allow continued participation in the California High Intensity Drug Trafficking Area initiative.
- An increase of 6.6 personnel years and $1,000,000 (Reimbursements) to implement an Interagency Agreement between the Department of Justice and the Department of Conservation to conduct investigations into recycling fraud.
- An increase of 18.0 personnel years and $2,829,000 (General Fund) to augment the Sexual Predator Apprehension Team program by establishing teams within the Orange County and San Diego regional offices.
- A one-time increase of $1,706,000 (General Fund) to replace 105 agent and support vehicles that have reached their full-life potential in FY 2001–02.
- An increase of 5.9 personnel years and $1,394,000 (General Fund) to provide for post-conviction testing of DNA samples that could result in reversal of previous court convictions of specified incarcerated felons, pursuant to the provisions of Chapter 821, Statutes of 2000.

## 60 CRIMINAL JUSTICE INFORMATION SERVICES

### Program Objectives Statement

The Criminal Justice Information Services Division is organized into four elements. The Bureau of Criminal Identification and Information maintains and administers the fingerprint identification system and the criminal history record system. The Bureau of Criminal Information and Analysis maintains and administers data bases on persons, property, and firearms. The Hawkins Data Center operates the Criminal Justice Information System (CJIS) and the California Law Enforcement Telecommunications System (CLETS).

### Major Budget Adjustments Included in 2000–01

- A one-time increase of $2,500,000 (Federal Funds) to continue implementation of the National Criminal History Improvement Program (NCHIP).
- An increase of 8.6 personnel years and $846,000 (General Fund) to process increases in relative care giver background clearances.
- A one-time increase of $3,154,000 (Reimbursements) to purchase additional live scan devices to be placed in juvenile detention centers and book sites.
- An increase of $816,000 (Federal Funds) to add information about registered sex offenders to the National Sex Offender Registry.

### Major Budget Adjustments Proposed for 2001–02

- An increase of 0.9 personnel year and $52,000 (General Fund) to meet the workload demands of the Check Cashers Permit Program.
- An increase of 9.5 personnel years and $466,000 General Fund to address child abuse workload, and $104,000 (Child Abuse Fund) to enhance the capability of the licensing applicant history file.
- An increase of 42.7 personnel years and $4,103,000 (Federal Funds) and a one-time increase of $255,000 (Fingerprint Fees Account) to continue activities funded under the NCHIP grant.
- An increase of 4.8 personnel years and $1,157,000 (General Fund) to expand availability of the Cal-Photo Central Index to ten law enforcement agencies in the Northern and Central California regions and provide for continued statewide expansion of the system.
- An increase of 61.8 personnel years and $9,076,000 (General Fund) to address workload growth to process criminal fingerprints as well as providing enhancements to the existing Cal-ID System to accommodate this workload growth.
- An increase of 5.7 personnel years and $2,772,000 (Fingerprint Fees Account) to provide ongoing maintenance of various equipment and to purchase 51 additional applicant live scan devices.
- An increase of 3.3 personnel years and $570,000 ($256,000 General Fund and $314,000 Motor Vehicle Fund) to enhance user support for the California Law Enforcement Telecommunications System.
- An increase of 17.1 personnel years and $1,112,000 (General Fund) to process increases in relative care giver background clearances.
- A one-time increase of $448,000 ($389,000 Fingerprint Fees Account and $59,000 Reimbursements) to provide maintenance for live scan devices in juvenile detention centers and book sites.
- An increase of 0.9 personnel year and $48,000 (General Fund) to allow the Violent Crime Information Center to scan and upload current photographs of sex offenders taken prior to release from confinement as mandated by SB 446, Chapter 649, Statutes of 2000.

## 65 DIVISION OF GAMBLING CONTROL

### Program Objectives Statement

Pursuant to Chapter 867, Statutes of 1997 (SB 8), the Gambling Control Act and in accordance with regulations and related gaming laws, the Division of Gambling Control investigates the qualifications of individuals who apply for state gambling licenses and monitors the conduct of these licensees to ensure compliance.

Proposition 1A, which passed in March 2000, amended the California Constitution to permit Class III (Nevada-style) gambling on Indian lands providing that such activities are authorized by a tribal ordinance and conducted in conformity with a gaming compact entered into between the Tribe and the State. The Division of Gambling Control will ensure that each tribe is in compliance with all aspects of their compact. In addition, in a joint effort with compacted tribes, the State will safeguard tribal gaming activities from criminal and other undesirable elements by making sure that licenses are issued only to suitable individuals.

### Authority

The Division is established pursuant to the provisions of Chapter 867 Statutes of 1997.

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

## 70 FIREARMS DIVISION

### Program Objectives Statement

The Dangerous Weapons Control Law, Part 4, Title 2 of the California Penal Code, sections 12000 et seq., and relevant portions of the Welfare and Institutions Code contain the statutory authority for Firearms Division activities in the oversight and regulation of firearms in California. The Firearms Division conducts firearms eligibility reviews to identify persons determined ineligible to acquire or possess firearms and/or other dangerous weapons or carry concealed weapons; processes licenses/permits to possess, manufacture or sell dangerous weapons; administers the Basic Firearms Safety Certificate, centralized list, gun show producer and assault weapon registration programs; provides information to client agencies and firearms dealers; conducts firearms dealer and manufacturer inspections; and conducts the safe handgun and firearms safety device certification programs. This Division was created in the 1999–00 fiscal year and funding for the various firearms programs for the 1999–00 and 2000–01 fiscal years is displayed in the Program Requirements under Program 70. For the 1998–99 fiscal year, funding for these programs was in Program 60, Criminal Justice Information Services.

### Major Budget Adjustment Proposed for 2001–02

- An increase of 3.8 personnel years and $327,000 ($243,000 General Fund and $84,000 Dealers' Record of Sale Special Account) to increase the frequency of firearms dealer compliance inspections.

---

## PROGRAM BUDGET DETAIL

| PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 11.01 Directorate and Administration | $16,086 | $19,237 | $20,922 |
| (Directorate) | 2,254 | 2,036 | 2,050 |
| (Administration) | 13,832 | 17,201 | 18,872 |
| (Legal Support programs) | – | – | – |
| 11.02 Distributed Directorate and Administration | −16,086 | −19,237 | −20,922 |
| 12.01 Legal Support and Technology | 36,576 | 39,922 | 40,636 |
| 12.02 Distributed Legal Support Technology | −36,576 | −39,922 | −40,636 |
| **PROGRAM REQUIREMENTS** | | | |
| **25 EXECUTIVE PROGRAMS** | $9,537 | $10,064 | $13,825 |
| State Operations: | | | |
| *0001 General Fund* | *6,063* | *6,380* | *10,187* |
| *0012 Attorney General Antitrust Account* | – | – | *2* |
| *0014 Hazardous Waste Control Account* | – | – | *3* |
| *0017 Fingerprint Fees Account* | – | – | *109* |
| *0044 Motor Vehicle Account, State Transportation Fund* | – | – | *40* |
| *0142 Sexual Habitual Offender Fund* | – | – | *5* |
| *0158 Travel Seller Fund* | – | – | *2* |
| *0367 Inding Gaming* | – | – | *17* |
| *0557 Toxics Substances Control Account* | – | – | *4* |
| *0567 Gambling Control Fund* | – | – | *13* |
| *0995 Reimbursements* | *290* | *394* | *460* |
| Totals, State Operations | $6,353 | $6,774 | $10,842 |
| Local Assistance: | | | |
| *0001 General Fund* | *3,184* | *3,290* | *2,619* |
| *0995 Reimbursements* | – | – | *364* |
| Totals, Local Assistance | $3,184 | $3,290 | $2,983 |
| **ELEMENT REQUIREMENTS** | | | |
| 25.10 Executive | 935 | 623 | 625 |
| State Operations: | | | |
| *0001 General Fund* | *935* | *623* | *625* |
| 25.20 Legislative Unit | 875 | 682 | 686 |
| State Operations: | | | |
| *0001 General Fund* | *875* | *682* | *686* |
| 25.30 Crime Prevention Center | 5,804 | 6,462 | 9,530 |
| State Operations: | | | |
| *0001 General Fund* | *2,330* | *2,778* | *5,787* |
| *0995 Reimbursements* | *290* | *394* | *460* |
| Local Assistance: | | | |
| *0001 General Fund* | *3,184* | *3,290* | *2,619* |
| *0995 Reimbursements* | – | – | *364* |
| 25.40 Public Inquiry Unit | 712 | 652 | 648 |
| State Operations: | | | |
| *0001 General Fund* | *712* | *652* | *648* |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 25.50 Community and Consumer Affairs | $181 | $115 | $113 |
| State Operations: | | | |
| *0001 General Fund* | *181* | *115* | *113* |
| 25.60 Press, Communications, and Media | 356 | 595 | 598 |
| State Operations: | | | |
| *0001 General Fund* | *356* | *595* | *598* |
| 25.70 Special Assistant Attorney General | 674 | 935 | 936 |
| State Operations: | | | |
| *0001 General Fund* | *674* | *935* | *936* |
| 25.80 Office of Training and Professional Development | – | – | 738 |
| State Operations: | | | |
| *0001 General Fund* | – | – | *543* |
| *0012 Attorney General Antitrust Account* | – | – | *2* |
| *0014 Hazardous Waste Control Account* | – | – | *3* |
| *0017 Fingerprint Fees Account* | – | – | *109* |
| *0044 Motor Vehicle Account, State Transportation Fund* | – | – | *40* |
| *0142 Sexual Habitual Offender Fund* | – | – | *5* |
| *0158 Travel Seller Fund* | – | – | *2* |
| *0367 Indian Gaming* | – | – | *17* |
| *0557 Toxics Substances Control Account* | – | – | *4* |
| *0567 Gambling Control Fines and Penalties* | – | – | *13* |
| 25.90 Office of Native American Affairs | – | – | 251 |
| State Operations: | | | |
| *0001 General Fund* | – | – | *251* |
| **PROGRAM REQUIREMENTS** | | | |
| **30 CIVIL LAW** | $92,624 | $97,611 | $97,460 |
| State Operations: | | | |
| *0001 General Fund* | *27,005* | *24,045* | *23,711* |
| *0378 False Claims Act Fund* | *4,386* | *9,309* | *9,966* |
| *0995 Reimbursements* | *59,757* | *63,099* | *63,783* |
| Totals, State Operations | $91,148 | $96,453 | $97,460 |
| Local Assistance: | | | |
| *0942 Lake Davis North Pike Eradication Relief Account, Special Deposit Fund* | *1,476* | *1,158* | – |
| Totals, Local Assistance | $1,476 | $1,158 | – |
| **ELEMENT REQUIREMENTS** | | | |
| 30.10 Licensing | 16,054 | 14,096 | 14,177 |
| State Operations: | | | |
| *0001 General Fund* | *2,760* | *952* | *972* |
| *0995 Reimbursements* | *13,294* | *13,144* | *13,205* |
| 30.20 State Government | 4,727 | 5,540 | 5,904 |
| State Operations: | | | |
| *0001 General Fund* | *3,118* | *3,537* | *3,833* |
| *0995 Reimbursements* | *1,609* | *2,003* | *2,071* |
| 30.30 Business and Tax | 8,164 | 8,744 | 7,141 |
| State Operations: | | | |
| *0001 General Fund* | *5,465* | *6,203* | *4,592* |
| *0995 Reimbursements* | *2,699* | *2,541* | *2,549* |
| 30.40 Health, Education, and Welfare | 9,645 | 10,061 | 10,293 |
| State Operations: | | | |
| *0001 General Fund* | *2,867* | *1,697* | *1,907* |
| *0995 Reimbursements* | *6,778* | *8,364* | *8,386* |
| 30.50 Tobacco | 2,361 | – | – |
| State Operations: | | | |
| *0001 General Fund* | *2,232* | – | – |
| *0995 Reimbursements* | *129* | – | – |
| 30.60 Health Quality Enforcement | 9,048 | 9,064 | 9,302 |
| State Operations: | | | |
| *0001 General Fund* | *191* | *207* | *217* |
| *0995 Reimbursements* | *8,857* | *8,857* | *9,085* |
| 30.70 Tort and Condemnation | 20,936 | 24,934 | 24,730 |
| State Operations: | | | |
| *0001 General Fund* | *9,682* | *10,791* | *11,521* |
| *0995 Reimbursements* | *9,778* | *12,985* | *13,209* |
| Local Assistance: | | | |
| *0942 Lake Davis Northern Pike Eradication Relief Account, Special Deposit Fund* | *1,476* | *1,158* | – |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 30.80 Employment, Regulation, and Administration | $17,303 | $15,863 | $15,947 |
| State Operations: | | | |
| *0001 General Fund* | *690* | *658* | *669* |
| *0995 Reimbursements* | *16,613* | *15,205* | *15,278* |
| 30.90 False Claims | 4,386 | 9,309 | 9,966 |
| State Operations: | | | |
| *0378 Attorney General False Claims Act Fund* | *4,386* | *9,309* | *9,966* |
| **PROGRAM REQUIREMENTS** | | | |
| **40 CRIMINAL LAW** | $82,411 | $90,165 | $93,333 |
| State Operations: | | | |
| *0001 General Fund* | *68,757* | *71,918* | *74,786* |
| *0890 Federal Trust Fund* | *9,628* | *13,215* | *13,433* |
| *0995 Reimbursements* | *672* | *1,187* | *1,189* |
| *Less amount funded in the Political Reform Act* | *(80)* | *(80)* | *80* |
| Totals, State Operations | $79,057 | $86,320 | $89,488 |
| Local Assistance: | | | |
| *0001 General Fund* | *3,354* | *3,845* | *3,845* |
| Totals, Local Assistance | $3,354 | $3,845 | $3,845 |
| **ELEMENT REQUIREMENTS** | | | |
| 40.10 Appeals, Writs, and Trials | 56,588 | 58,771 | 61,673 |
| State Operations: | | | |
| *0001 General Fund* | *53,127* | *54,782* | *57,605* |
| *0995 Reimbursements* | *107* | *144* | *143* |
| *Less amount funded in the Political Reform Act* | *(80)* | *(80)* | *80* |
| Local Assistance: | | | |
| *0001 General Fund* | *3,354* | *3,845* | *3,845* |
| 40.20 Correctional Law | 11,489 | 11,920 | 11,930 |
| State Operations: | | | |
| *0001 General Fund* | *11,425* | *11,893* | *11,903* |
| *0995 Reimbursements* | *64* | *27* | *27* |
| 40.30 Trials and Special Operations | 1,467 | 1,564 | 1,571 |
| State Operations: | | | |
| *0001 General Fund* | *1,308* | *1,017* | *1,022* |
| *0995 Reimbursements* | *159* | *547* | *549* |
| 40.90 Medi-Cal Fraud and Patient Abuse | 12,867 | 17,910 | 18,159 |
| State Operations: | | | |
| *0001 General Fund* | *2,897* | *4,226* | *4,256* |
| *0890 Federal Trust Fund* | *9,628* | *13,215* | *13,433* |
| *0995 Reimbursements* | *342* | *469* | *470* |
| **PROGRAM REQUIREMENTS** | | | |
| **45 PUBLIC RIGHTS** | $34,905 | $45,100 | $47,240 |
| State Operations: | | | |
| *0001 General Fund* | *20,438* | *28,227* | *31,208* |
| *0012 Attorney General Antitrust Account* | *1,047* | *1,066* | *1,068* |
| *0014 Hazardous Waste Control Account* | *1,682* | *1,602* | *1,610* |
| *0158 DOJ Travel Seller Fund* | *875* | *1,118* | *938* |
| *0195 Conservatory Registry Fund* | *–* | *49* | *45* |
| *0367 Indian Gaming* | *–* | *749* | *754* |
| *0557 Toxics Substances Control Account* | *1,779* | *1,897* | *1,912* |
| *0567 Gambling Control Fund* | *292* | *298* | *299* |
| *0995 Reimbursements* | *8,792* | *10,094* | *9,406* |
| Totals, State Operations | $34,905 | $45,100 | $47,240 |
| **ELEMENT REQUIREMENTS** | | | |
| 45.10 Charitable Trust Register | 4,444 | 5,069 | 5,306 |
| State Operations: | | | |
| *0001 General Fund* | *3,956* | *4,316* | *4,576* |
| *0995 Reimbursements* | *488* | *704* | *685* |
| *0195 Conservatory Registry Fund* | *–* | *49* | *45* |
| 45.15 Civil Rights Enforcement | 1,184 | 1,281 | 1,287 |
| State Operations: | | | |
| *0001 General Fund* | *1,184* | *1,281* | *1,287* |
| 45.20 Natural Resources | 7,939 | 10,959 | 13,823 |
| State Operations: | | | |
| *0001 General Fund* | *4,308* | *7,070* | *9,571* |
| *0995 Reimbursements* | *3,631* | *3,889* | *4,252* |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 45.25 Indian Gaming | $671 | $1,493 | $1,503 |
| State Operations: | | | |
| *0001 General Fund* | *379* | *446* | *450* |
| *0367 Indian Gaming* | *–* | *749* | *754* |
| *0567 Gambling Control Fund* | *292* | *298* | *299* |
| 45.30 Environment | 5,290 | 6,300 | 6,299 |
| State Operations: | | | |
| *0001 General Fund* | *1,660* | *2,612* | *2,588* |
| *0014 Hazardous Waste Control Account* | *1,682* | *1,602* | *1,610* |
| *0557 Toxics Substances Control Account* | *1,779* | *1,897* | *1,912* |
| *0995 Reimbursements* | *169* | *189* | *189* |
| 45.40 Land Law | 6,441 | 7,313 | 6,492 |
| State Operations: | | | |
| *0001 General Fund* | *3,868* | *4,163* | *4,390* |
| *0995 Reimbursements* | *2,573* | *3,150* | *2,102* |
| 45.50 Consumer Law | 4,275 | 5,276 | 5,095 |
| State Operations: | | | |
| *0001 General Fund* | *2,214* | *2,534* | *2,532* |
| *0158 DOJ Travel Seller Fund* | *875* | *1,118* | *938* |
| *0995 Reimbursements* | *1,186* | *1,624* | *1,625* |
| 45.60 Antitrust | 4,652 | 3,694 | 3,712 |
| State Operations: | | | |
| *0001 General Fund* | *2,866* | *2,090* | *2,091* |
| *0012 Attorney General Antitrust Account* | *1,047* | *1,066* | *1,068* |
| *0995 Reimbursements* | *739* | *538* | *553* |
| 45.70 Child Support Enforcement | 9 | – | – |
| State Operations: | | | |
| *0001 General Fund* | *3* | *–* | *–* |
| *0995 Reimbursements* | *6* | *–* | *–* |
| 45.80 Tobacco | – | 3,715 | 3,723 |
| State Operations: | | | |
| *0001 General Fund* | *–* | *3,715* | *3,723* |
| **PROGRAM REQUIREMENTS** | | | |
| **50 LAW ENFORCEMENT** | $119,997 | $152,714 | $158,911 |
| State Operations: | | | |
| *0001 General Fund* | *82,871* | *88,165* | *100,732* |
| *0214 Restitution* | *181* | *64* | *59* |
| *0367 Indian Gaming* | *–* | *408* | *392* |
| *0890 Federal Trust Fund* | *14,398* | *23,190* | *7,838* |
| *0942 State Asset Forfeiture Account, Special Deposit Fund* | *445* | *454* | *461* |
| *0942 Federal Asset Forfeiture Account, Special Deposit Fund* | *1,104* | *1,338* | *1,828* |
| *0995 Reimbursements* | *19,938* | *32,935* | *41,143* |
| *3016 Missing Persons DNA Data Base Fund* | *–* | *–* | *2,850* |
| *Less amount funded in the Political Reform Act* | *(142)* | *(142)* | *142* |
| Totals, State Operations | $118,937 | $146,554 | $155,445 |
| Local Assistance: | | | |
| *0001 General Fund* | *504* | *525* | *525* |
| *0214 Restitution* | *556* | *5,635* | *2,941* |
| *0255 Department of Justice DNA Testing Fund* | *254* | *225* | *225* |
| *Less funding provided by General Fund* | *–254* | *–225* | *–225* |
| Totals, Local Assistance | $1,060 | $6,160 | $3,466 |
| **ELEMENT REQUIREMENTS** | | | |
| 50.10 Investigations | 16,130 | 22,015 | 21,985 |
| State Operations: | | | |
| *0001 General Fund* | *13,309* | *13,401* | *15,971* |
| *0214 Restitution* | *181* | *64* | *59* |
| *0367 Indian Gaming* | *–* | *408* | *392* |
| *0995 Reimbursements* | *2,084* | *2,507* | *2,480* |
| *Less amount funded in the Political Reform Act* | *(142)* | *(142)* | *142* |
| Local Assistance: | | | |
| *0214 Restitution* | *556* | *5,635* | *2,941* |
| 50.15 Mission Support Branch | – | 15,907 | 16,078 |
| State Operations: | | | |
| *0001 General Fund* | *–* | *13,674* | *13,841* |
| *0995 Reimbursements* | *–* | *2,233* | *2,237* |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 50.20 Narcotic Enforcement | $71,997 | $64,575 | $60,723 |
| State Operations: | | | |
| *0001 General Fund* | *40,701* | *31,230* | *41,471* |
| *0890 Federal Trust Fund* | *14,064* | *18,658* | *3,658* |
| *0942 State Asset Forfeiture Account, Special Deposit Fund* | *445* | *454* | *461* |
| *0942 Federal Asset Forfeiture Account, Special Deposit Fund* | *1,104* | *1,338* | *1,828* |
| *0995 Reimbursements* | *15,433* | *12,595* | *13,005* |
| Local Assistance: | | | |
| *0001 General Fund* | *250* | *300* | *300* |
| 50.30 Forensic Services | 31,536 | 38,745 | 48,986 |
| State Operations: | | | |
| *0001 General Fund* | *28,861* | *29,860* | *29,449* |
| *0890 Federal Trust Fund* | – | – | – |
| *0995 Reimbursements* | *2,421* | *8,660* | *16,462* |
| *3016 Missing Persons DNA Data Base Fund* | – | – | *2,850* |
| Local Assistance: | | | |
| *0001 General Fund* | *254* | *225* | *225* |
| *0255 Department of Justice DNA Testing Fund* | *254* | *225* | *225* |
| *Less funding provided by General Fund* | *–254* | *–225* | *–225* |
| 50.50 Campaign Against Marijuana Planting | 334 | 290 | 290 |
| State Operations: | | | |
| *0890 Federal Trust Fund* | *334* | *290* | *290* |
| 50.60 Western States Information Network | – | 11,182 | 10,849 |
| State Operations: | | | |
| *0890 Federal Trust Fund* | – | *4,242* | *3,890* |
| *0995 Reimbursements* | – | *6,940* | *6,959* |
| **PROGRAM REQUIREMENTS** | | | |
| **60 CRIMINAL JUSTICE INFORMATION SYSTEMS** | $139,686 | $145,036 | $151,043 |
| State Operations: | | | |
| *0001 General Fund* | *42,604* | *50,324* | *60,819* |
| *0015 Firearm Safety Training Fund* | *444* | – | – |
| *0017 Fingerprint Fees Account* | *43,420* | *49,514* | *50,815* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *18,413* | *18,819* | *19,215* |
| *0142 DOJ Sexual Habitual Offender Fund* | *1,563* | *2,070* | *2,019* |
| *0256 Sexual Predator Public Information Fund* | *49* | *49* | *49* |
| *0460 Dealers Record of Sale Account* | *6,773* | *1,845* | *1,903* |
| *0566 Child Abuse Fund* | *176* | *198* | *294* |
| *0890 Federal Trust Fund* | *8,037* | *7,760* | *4,520* |
| *0995 Reimbursements* | *18,003* | *12,504* | *9,456* |
| Totals, State Operations | $139,482 | $143,083 | $149,090 |
| Local Assistance: | | | |
| *0460 Dealers Record of Sale Account* | *5* | *35* | *35* |
| *0641 Domestic Violence Restraining Order Reimbursement Fund* | *199* | *1,918* | *1,918* |
| Totals, Local Assistance | $204 | $1,953 | $1,953 |
| **ELEMENT REQUIREMENTS** | | | |
| 60.10 O. J. Hawkins Data Center | 30,688 | 36,035 | 37,291 |
| State Operations: | | | |
| *0001 General Fund* | *8,670* | *14,235* | *15,720* |
| *0017 Fingerprint Fees Account* | *562* | *541* | *539* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *17,268* | *17,655* | *18,049* |
| *0460 Dealers Record of Sale Account* | *659* | *688* | *689* |
| *0995 Reimbursements* | *3,529* | *2,916* | *2,924* |
| 60.20 Western States Information Network | 8,055 | – | – |
| State Operations: | | | |
| *0890 Federal Trust Fund* | *3,697* | – | – |
| *0995 Reimbursements* | *4,358* | – | – |
| 60.30 Criminal Information and Analysis | 22,955 | 16,770 | 16,121 |
| State Operations: | | | |
| *0001 General Fund* | *12,422* | *10,995* | *11,142* |
| *0015 Firearms Safety Training Fund* | *419* | – | – |
| *0017 Fingerprint Fees Account* | *684* | *814* | *827* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *1,088* | *1,109* | *1,114* |
| *0142 DOJ Sexual Habitual Offender Fund* | *1,518* | *2,027* | *1,976* |
| *0256 Sexual Predator Public Information Fund* | *46* | *46* | *46* |
| *0460 Dealers Record of Sale Account* | *5,598* | *540* | *603* |
| *0566 Child Abuse Fund* | *171* | *193* | *289* |
| *0890 Federal Trust Fund* | *919* | *966* | *44* |
| *0995 Reimbursements* | *90* | *80* | *80* |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 60.40 Criminal Identification and Information | $74,053 | $83,076 | $88,020 |
| State Operations: | | | |
| *0001 General Fund* | *21,512* | *22,277* | *31,192* |
| *0015 Firearms Safety Training Fund* | *25* | *–* | *–* |
| *0017 Fingerprint Fees Account* | *42,174* | *44,797* | *46,205* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *57* | *–* | *–* |
| *0142 DOJ Sexual Habitual Offender Fund* | *45* | *–* | *–* |
| *0256 Sexual Predator Public Information Fund* | *3* | *–* | *–* |
| *0460 Dealers Record of Sale Account* | *516* | *375* | *377* |
| *0566 Child Abuse Fund* | *5* | *–* | *–* |
| *0890 Federal Trust Fund* | *3,421* | *6,794* | *4,476* |
| *0995 Reimbursements* | *6,091* | *6,880* | *3,817* |
| Local Assistance: | | | |
| *0460 Dealers Record of Sale Account* | *5* | *35* | *35* |
| *0641 Domestic Violence Restraining Order Reimbursement Fund* | *199* | *1,918* | *1,918* |
| 60.50 California Parent Locator Support | 3,935 | – | – |
| State Operations: | | | |
| *0995 Reimbursements* | *3,935* | *–* | *–* |
| 60.60 Criminal Justice Operation Branch | – | 9,155 | 8,981 |
| State Operations: | | | |
| *0001 General Fund* | *–* | *2,817* | *2,765* |
| *0017 Fingerprint Fees Account* | *–* | *3,362* | *3,244* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *–* | *55* | *52* |
| *0142 DOJ Sexual Habitual Offender Fund* | *–* | *43* | *43* |
| *0256 Sexual Predator Public Information Fund* | *–* | *3* | *3* |
| *0460 Dealers Record of Sale Account* | *–* | *242* | *234* |
| *0566 Child Abuse Fund* | *–* | *5* | *5* |
| *0995 Reimbursements* | *–* | *2,628* | *2,635* |
| **PROGRAM REQUIREMENTS** | | | |
| **65 GAMBLING** | $5,541 | $13,425 | $12,982 |
| State Operations: | | | |
| *0367 Indian Gaming* | *1,454* | *6,978* | *6,646* |
| *0567 Gambling Control Fund* | *3,952* | *5,402* | *5,446* |
| *0569 Gambling Fines and Penalties* | *135* | *195* | *37* |
| *0995 Reimbursements* | *–* | *850* | *853* |
| Totals, State Operations | $5,541 | $13,425 | $12,982 |
| **PROGRAM REQUIREMENTS** | | | |
| **70 FIREARMS** | – | $7,985 | $7,240 |
| State Operations: | | | |
| *0001 General Fund* | *–* | *125* | *243* |
| *0015 Firearms Safety Training Fund* | *–* | *455* | *456* |
| *0032 Firearm Safety Account* | *–* | *355* | *309* |
| *0046 Dealers Record of Sale Special Account* | *–* | *6,962* | *6,144* |
| Totals, State Operations | – | $7,897 | $7,152 |
| Local Assistance: | | | |
| *0046 Dealers Record of Sale Special Account* | *–* | *88* | *88* |
| Totals, Local Assistance | – | $88 | $88 |
| **PROGRAM REQUIREMENTS** | | | |
| **98 STATE-MANDATED LOCAL PROGRAMS** | | | |
| Local Assistance: | | | |
| *Chapter 1399, Statutes of 1976—Custody of Minors* | *$9,909* | *$17,595* | *$13,599* |
| *Chapter 337, Statutes of 1990—Stolen Vehicles* | *308* | *529* | *362* |
| *Chapter 1105, Statutes of 1992—Misdemeanors* | *964* | *2,147* | *1,022* |
| *Chapter 1456, Statutes of 1988—Missing Persons Reports* | *–* | *–* | *–* |
| Carry Over from Previous Years: | | | |
| *Chapter 337, Statutes of 1990—Stolen Vehicles* | *–* | *56* | *–* |
| *Chapter 1105, Statutes of 1992—Misdemeanors* | *–* | *258* | *–* |
| *Chapter 1399, Statutes of 1976—Custody of Minors* | *–* | *4,652* | *–* |
| *Chapter 1456, Statutes of 1988—Missing Persons Reports* | *–* | *866* | *–* |
| Totals, Local Assistance | $11,181 | $26,103 | $14,983 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $475,423 | $545,606 | $569,699 |
| Local Assistance | 20,459 | 42,597 | 27,318 |
| Less amount funded in Political Reform Act | (222) | (222) | −222 |
| TOTALS, EXPENDITURES | $495,882 | $588,203 | $596,795 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4,673.9 | 5,645.5 | 5,474.8 | $261,264 | $314,025 | $312,415 |
| Total Adjustments | – | 54.0 | 260.7 | – | 3,509 | 22,674 |
| Estimated Salary Savings | – | –285.1 | –286.7 | – | –16,167 | –18,259 |
| Net Totals, Salaries and Wages | 4,673.9 | 5,414.4 | 5,448.8 | $261,264 | $301,367 | $316,830 |
| Staff Benefits | – | – | – | 43,456 | 49,653 | 53,283 |
| Totals, Personal Services | 4,673.9 | 5,414.4 | 5,448.8 | $304,720 | $351,020 | $370,113 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $170,703 | $194,586 | $199,586 |
| TOTALS, EXPENDITURES | | | | $475,423 | $545,606 | $569,699 |
| Less amount funded in Political Reform Act | | | | (222) | (222) | –222 |
| NET TOTALS, EXPENDITURES (State Operations) | | | | $475,423 | $545,606 | $569,477 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $238,729 | $264,912 | $300,257 |
| 003 Budget Act appropriation | – | – | 1,429 |
| 011 Budget Act appropriation | – | (8,138) | (7,809) |
| Allocation for employee compensation | 15,592 | 596 | – |
| Allocation for contingencies or emergencies | 2,796 | 3,234 | – |
| Adjustment per Section 3.60 | –8,980 | –531 | – |
| Allocation per Section 4.60 (Rental Rate) | – | 381 | – |
| Adjustment per Section 16.00 | 111 | – | – |
| Transfer to Legislative Claims (9670) | – | –1 | – |
| Transfer from Political Reform Act (Item 8640-001-001) | 222 | 222 | – |
| Chapter 479, Statutes of 2000 | – | 125 | – |
| Prior year balances available: | | | |
| Item 0820-001-0001, Budget Act of 1997, as reappropriated by Item 0820-490, Budget Acts of 1998, 1999 and 2000 | 266 | 246 | – |
| Chapter 911, Statutes of 1998 | 347 | – | – |
| Totals Available | $249,083 | $269,184 | $301,686 |
| Balance available in subsequent years | –246 | – | – |
| Unexpended balance, estimated savings | –1,099 | – | – |
| TOTALS, EXPENDITURES | $247,738 | $269,184 | $301,686 |

### 0012 Attorney General Antitrust Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,018 | $1,066 | $1,070 |
| Allocation for employee compensation | 66 | – | – |
| Adjustment per Section 3.60 | –38 | – | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $1,047 | $1,066 | $1,070 |

### 0014 Hazardous Waste Control Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,631 | $1,612 | $1,613 |
| Allocation for employee compensation | 114 | 1 | – |
| Adjustment per Section 3.60 | –64 | –11 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $1,682 | $1,602 | $1,613 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

### 0015 Firearms Safety Training Fund Special Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $451 | $458 | $456 |
| Allocation for employee compensation | 26 | – | – |
| Adjustment per Section 3.60 | −16 | −3 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $462 | $455 | $456 |
| Unexpended balance, estimated savings | −18 | – | – |
| TOTALS, EXPENDITURES | $444 | $455 | $456 |

### 0017 Fingerprint Fees Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $39,610 | $49,475 | $50,924 |
| Allocation for employee compensation | 1,507 | – | – |
| Allocation for contingencies or emergencies | 3,181 | 69 | – |
| Adjustment per Section 3.60 | −889 | −148 | – |
| Allocation per Section 4.60 (Rental Rate) | – | 118 | – |
| Adjustment per Section 16.00 | 11 | – | – |
| TOTALS, EXPENDITURES | $43,420 | $49,514 | $50,924 |

### 0032 Firearms Safety Account

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $355 | $309 |
| Allocation for employee compensation | – | – | – |
| Adjustment per Section 3.60 | – | – | – |
| TOTALS, EXPENDITURES | – | $355 | $309 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $18,095 | $18,771 | $19,255 |
| Allocation for employee compensation | 820 | 71 | – |
| Adjustment per Section 3.60 | −507 | −80 | – |
| Allocation per Section 4.60 (Rental Rate) | – | 57 | – |
| Adjustment per Section 16.00 | 5 | – | – |
| TOTALS, EXPENDITURES | $18,413 | $18,819 | $19,255 |

### 0142 Sexual Habitual Offender Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,518 | $2,079 | $2,024 |
| Allocation for employee compensation | 120 | 4 | – |
| Adjustment per section 3.60 | −75 | −13 | – |
| TOTALS, EXPENDITURES | $1,563 | $2,070 | $2,024 |

### 0158 Travel Seller Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $770 | $926 | $940 |
| Allocation for employee compensation | 37 | – | – |
| Adjustment per Section 3.60 | −18 | −4 | – |
| Prior year balances available: | | | |
| Chapter 924, Statutes of 1998 | 282 | 196 | – |
| Totals Available | $1,071 | $1,118 | $940 |
| Balance available in subsequent years | −196 | – | – |
| TOTALS, EXPENDITURES | $875 | $1,118 | $940 |

### 0195 Conservatory Registry Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $49 | $45 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

### 0214 Restitution Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $62 | $65 | $59 |
| Adjustment per Section 3.60 | – | –1 | – |
| Prior year balances available: | | | |
| Chapter 507, Statutes of 1997 | 119 | – | – |
| TOTALS, EXPENDITURES | $181 | $64 | $59 |

### 0256 Sexual Predator Public Information Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $48 | $50 | $49 |
| Allocation for employee compensation | 3 | – | – |
| Adjustment per Section 3.60 | –2 | –1 | – |
| TOTALS, EXPENDITURES | $49 | $49 | $49 |

### 0367 Indian Gaming Special Distribution Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $8,138 | $7,809 |
| Allocation for employee compensation | – | 10 | – |
| Allocation for contingencies or emergencies | $1,917 | – | – |
| Adjustment per Section 3.60 | – | –13 | – |
| Unexpended balance, estimated savings | –463 | – | – |
| TOTALS, EXPENDITURES | $1,454 | $8,135 | $7,809 |

### 0378 False Claims Act Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,161 | $9,332 | $9,966 |
| Allocation for employee compensation | 209 | 5 | – |
| Allocation for contingencies or emergencies | 2,635 | – | – |
| Adjustment per Section 3.60 | –45 | –28 | – |
| 011 Budget Act appropriation (transfer to the General Fund) | (25,000) | – | – |
| Totals, Available | $5,960 | $9,309 | $9,966 |
| Unexpended balance, estimated savings | –1,574 | – | – |
| TOTALS, EXPENDITURES | $4,386 | $9,309 | $9,966 |

### 0460 Dealers' Record of Sale Special Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $6,660 | $8,813 | $8,047 |
| Allocation for employee compensation | 311 | 14 | – |
| Adjustment per Section 3.60 | –199 | –30 | – |
| Allocation per Section 4.60 (Rental Rate) | – | 10 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $6,773 | $8,807 | $8,047 |

### 0557 Toxics Substances Control Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,725 | $1,909 | $1,916 |
| Allocation for employee compensation | 121 | 1 | – |
| Adjustment per Section 3.60 | –68 | –13 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $1,779 | $1,897 | $1,916 |

### 0566 Child Abuse Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $175 | $199 | $294 |
| Allocation for employee compensation | 10 | – | – |
| Adjustment per Section 3.60 | –9 | –1 | – |
| TOTALS, EXPENDITURES | $176 | $198 | $294 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

### 0567 Gambling Control Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $4,134 | $5,689 | $5,758 |
| Allocation for employee compensation | 265 | 8 | – |
| Adjustment per Section 3.60 | −153 | 3 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Totals Available | $4,248 | $5,700 | $5,758 |
| Unexpended balance, estimated savings | −4 | – | – |
| TOTALS, EXPENDITURES | $4,244 | $5,700 | $5,758 |

### 0569 Gambling Control Fines and Penalties Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $242 | $195 | $37 |
| Allocation for employee compensation | 15 | – | – |
| Totals Available | $257 | $195 | $37 |
| Unexpended balance, estimated savings | −122 | – | – |
| TOTALS, EXPENDITURES | $135 | $195 | $37 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $40,693 | $40,474 | $25,791 |
| Allocation for employee compensation | 1,805 | 29 | – |
| Adjustment per Section 3.60 | −1,077 | 186 | – |
| Allocation per Section 4.60 (Rental Rate) | – | 10 | – |
| Adjustment per Section 16.00 | 6 | – | – |
| Budget adjustment | −9,364 | 3,466 | – |
| TOTALS, EXPENDITURES | $32,063 | $44,165 | $25,791 |

### 0942 State Asset Forfeiture Account, Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation | $436 | $455 | $461 |
| Allocation for employee compensation | 28 | 2 | – |
| Adjustment per Section 3.60 | −19 | −3 | – |
| TOTALS, EXPENDITURES | $445 | $454 | $461 |

### 0942 Federal Asset Forfeiture Account, Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget act appropriation | $1,279 | $1,327 | $1,828 |
| Allocation for employee compensation | 71 | 2 | – |
| Adjustment per Section 3.60 | −42 | 9 | – |
| Totals Available | $1,308 | $1,338 | $1,828 |
| Unexpended balance, estimated savings | −204 | – | – |
| TOTALS, EXPENDITURES | $1,104 | $1,338 | $1,828 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $107,452 | $121,063 | $126,290 |

### 3016 Missing Persons DNA Data Base Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | – | $2,850 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $475,423 | $545,606 | $569,477 |

---

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 661701 Grants and subventions | $9,278 | $16,494 | $12,335 |
| State Mandates | 11,181 | 26,103 | 14,983 |
| TOTALS, EXPENDITURES | $20,459 | $42,597 | $27,318 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $6,524 | $6,764 | $6,764 |
| 102 Budget Act appropriation | – | 300 | – |
| 111 Budget Act appropriation (For transfer to Department of Justice DNA Testing Fund—0255) | 225 | 225 | 225 |
| 295 Budget Act appropriation (State Mandates) | 11,215 | 11,518 | 14,983 |
| Allocation for contingencies or emergencies | 1,080 | – | – |
| Chapter 574, Statutes of 1999 (State Mandates) | 5,812 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 8,753 | – |
| Prior year balances available: | | | |
| Chapter 885, Statutes of 1997 | 1,429 | 371 | – |
| Chapter 780, Statutes of 1998 (State Mandates) | 20 | 20 | – |
| Chapter 574, Statutes of 1999 (State Mandates) | – | 5,812 | – |
| Totals Available | $26,305 | $33,763 | $21,972 |
| Balance available in subsequent years | –6,203 | – | – |
| Unexpended balance, estimated savings | –1,879 | – | – |
| TOTALS, EXPENDITURES | $18,223 | $33,763 | $21,972 |

### 0214 Restitution Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $2,938 | $2,935 | $2,941 |
| Prior year balances available: | | | |
| Chapter 507, Statutes of 1997 | 2,700 | 2,700 | – |
| Totals Available | $5,638 | $5,635 | $2,941 |
| Balance available in subsequent years | –2,700 | – | – |
| Unexpended balance, estimated savings | –2,382 | – | – |
| TOTALS, EXPENDITURES | $556 | $5,635 | $2,941 |

### 0255 Department of Justice DNA Testing Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation | $225 | $225 | $225 |
| Allocation for contingencies or emergencies | 1,080 | – | – |
| Totals Available | $1,305 | $225 | $225 |
| Unexpended balance, estimated savings | –1,051 | – | – |
| Totals Expenditures | $254 | $225 | $225 |
| Less funding provided by General Fund | –254 | –225 | –225 |
| NET TOTALS, EXPENDITURES | – | – | – |

### 0460 Dealers' Record of Sale Special Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $85 | $123 | $123 |
| Unexpended balance, estimated savings | –80 | – | – |
| TOTALS, EXPENDITURES | $5 | $123 | $123 |

### 0641 Domestic Violence Restraining Order Reimbursement Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $600 | $1,918 | $1,918 |
| Unexpended balance, estimated savings | –401 | – | – |
| TOTALS, EXPENDITURES | $199 | $1,918 | $1,918 |

### 0942 Lake Davis Northern Pike Eradication Relief Account, Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapter 937, Statutes of 1998 | $2,634 | $1,158 | – |
| Balance available in subsequent years | –1,158 | – | – |
| TOTALS, EXPENDITURES | $1,476 | $1,158 | – |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | – | – | $364 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $20,459 | $42,597 | $27,318 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $495,882 | $588,203 | $596,795 |

### FUND CONDITION STATEMENT

| 0012 Attorney General Antitrust Account [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $1 | $133 | $286 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 12 | 19 | 19 |
| 160100 Attorney General proceeds of antitrust actions | 1,167 | 1,200 | 1,200 |
| Totals, Revenues | $1,179 | $1,219 | $1,219 |
| Totals, Resources | $1,180 | $1,352 | $1,505 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 1,047 | 1,066 | 1,070 |
| Totals, Expenditures | $1,047 | $1,066 | $1,070 |
| FUND BALANCE | $133 | $286 | $435 |
| Reserve for economic uncertainties | 133 | 286 | 435 |

| 0015 Firearms Safety Training Fund Special Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $264 | $289 | $403 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | 551 | 551 | 551 |
| 150300 Income from surplus money investments | 18 | 18 | 18 |
| Totals, Revenues | $569 | $569 | $569 |
| Transfers to Other Funds: | | | |
| T00460 Dealers' Record of Sale Special Account: | | | |
| Loan repayment per Chapter 950, Statutes of 1990 | −100 | – | – |
| Totals, Revenues, and Transfers | $469 | $569 | $569 |
| Totals, Resources | $733 | $858 | $972 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 444 | 455 | 456 |
| Totals, Expenditures | $444 | $455 | $456 |
| FUND BALANCE | $289 | $403 | $516 |
| Reserve for economic uncertainties | 289 | 403 | 516 |

| 0017 Fingerprint Fees Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $18,902 | $21,879 | $18,763 |
| Prior year adjustments | −1 | – | – |
| Balance, Adjusted | $18,901 | $21,879 | $18,763 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131600 Fingerprint ID card fees | 45,430 | 45,430 | 45,430 |
| 150300 Income from surplus money investments | 968 | 968 | 968 |
| Totals, Revenues | $46,398 | $46,398 | $46,398 |
| Totals, Revenues and Transfers | $46,398 | $46,398 | $46,398 |
| Totals, Resources | $65,299 | $68,277 | $65,161 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | $43,420 | $49,514 | $50,924 |
| Totals, Expenditures | $43,420 | $49,514 | $50,924 |
| FUND BALANCE | $21,879 | $18,763 | $14,237 |
| Reserve for economic uncertainties | 21,879 | 18,763 | 14,237 |

### 0019 Trustline Voluntary Registration Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $90 | $88 | $88 |
| Prior year adjustments | −2 | – | – |
| Balance Adjusted | $88 | $88 | $88 |
| FUND BALANCE | $88 | $88 | $88 |
| Reserve for economic uncertainties | 88 | 88 | 88 |

### 0032 Firearms Safety Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $118 | $139 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | $117 | 375 | 375 |
| 150300 Income from surplus money investments | 1 | 1 | 1 |
| Totals, Revenues | $118 | $376 | $376 |
| Totals, Revenues and Transfers | $118 | $376 | $376 |
| Totals, Resources | $118 | $494 | $515 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | – | 355 | 309 |
| Totals, Expenditures | – | $355 | $309 |
| FUND BALANCE | $118 | $139 | $206 |
| Reserve for economic uncertainties | 118 | 139 | 206 |

### 0142 Sexual Habitual Offender Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,265 | $2,014 | $2,258 |
| Prior year adjustments | −2 | – | – |
| Balance, Adjusted | $1,263 | $2,014 | $2,258 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | 2,244 | 2,244 | 2,244 |
| 150300 Income from surplus money investments | 70 | 70 | 70 |
| Totals, Revenues | $2,314 | $2,314 | $2,314 |
| Totals, Revenues and Transfers | $2,314 | $2,314 | $2,314 |
| Totals, Resources | $3,577 | $4,328 | $4,572 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 1,563 | 2,070 | 2,024 |
| Totals, Expenditures | $1,563 | $2,070 | $2,024 |
| FUND BALANCE | $2,014 | $2,258 | $2,548 |
| Reserve for economic uncertainties | 2,014 | 2,258 | 2,548 |

### 0158 Travel Seller Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,033 | $1,080 | $839 |
| Prior year adjustments | – | – | – |
| Balance, Adjusted | $1,033 | $1,080 | $839 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | $845 | $800 | $800 |
| 150300 Income from surplus money investments | 77 | 77 | 77 |
| Totals, Revenues | $922 | $877 | $877 |
| Totals, Resources | $1,955 | $1,957 | $1,716 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 875 | 1,118 | 940 |
| Totals, Expenditures | $875 | $1,118 | $940 |
| FUND BALANCE | $1,080 | $839 | $776 |
| Reserve for economic uncertainties | 1,080 | 839 | 776 |

### 0195 Conservatory Registry Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $113 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | – | $162 | 162 |
| Totals, Revenues | – | $162 | $162 |
| Totals, Revenues and Transfers | – | $162 | $162 |
| Totals, Resources | – | $162 | $275 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | – | 49 | 45 |
| Totals, Expenditures | – | $49 | $45 |
| FUND BALANCE | – | $113 | $230 |
| Reserve for economic uncertainties | – | 113 | 230 |

### 0255 DNA Testing Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $54 | $59 | $64 |
| Prior year adjustments | – | – | – |
| Balance, Adjusted | $54 | $59 | $64 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | – | – | – |
| 150300 Income from surplus money investments | 5 | 5 | 5 |
| Totals, Revenues | $5 | $5 | $5 |
| Totals, Revenues and Transfers | $5 | $5 | $5 |
| Totals, Resources | $59 | $64 | $69 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (Local Assistance) | 254 | 225 | 225 |
| Expenditure Reductions: | | | |
| 0820 Department of Justice: | | | |
| Local Assistance: | | | |
| Less funding provided by General Fund | –254 | –225 | –225 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | $59 | $64 | $69 |
| Reserve for economic uncertainties | 59 | 64 | 69 |

### 0256 Sexual Predator Public Information Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $197 | $217 | $239 |
| Prior year adjustments | – | – | – |
| Balance, Adjusted | $197 | $217 | $239 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | $57 | $57 | $57 |
| 150300 Income from surplus money investments | 12 | 14 | 14 |
| Totals, Revenues | $69 | $71 | $71 |
| Totals, Revenues and Transfers | $69 | $71 | $71 |
| Totals, Resources | $266 | $288 | $310 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 49 | 49 | 49 |
| Totals, Expenditures | $49 | $49 | $49 |
| FUND BALANCE | $217 | $239 | $261 |
| Reserve for economic uncertainties | 217 | 239 | 261 |

### 0288 The Registry of International Student Exchange Visitor Placement Organizations Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $17 | $21 | $25 |
| Prior year adjustments | – | – | – |
| Balance, Adjusted | $17 | $21 | $25 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | 3 | 3 | 3 |
| 150300 Income from surplus money investments | 1 | 1 | 1 |
| Totals, Revenues | $4 | $4 | $4 |
| Totals, Revenues and Transfers | $4 | $4 | $4 |
| Totals, Resources | $21 | $25 | $29 |
| FUND BALANCE | $21 | $25 | $29 |
| Reserve for economic uncertainties | 21 | 25 | 29 |

### 0378 False Claims Act Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,459 | $434 | $14,715 |
| Prior year adjustments | 5 | – | – |
| Balance, Adjusted | $3,464 | $434 | $14,715 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 111 | 111 | 111 |
| 164400 Civil and Criminal Violation Assessments | 26,245 | 23,479 | 9,750 |
| Totals, Revenues | $26,356 | $23,590 | $9,861 |
| Transfers to Other Funds: | | | |
| T0001 General Fund per Item 0820-011-0378, Budget Act of 1999 | –25,000 | – | – |
| Totals, Revenues and Transfers | $1,356 | $23,590 | $9,861 |
| Totals, Resources | $4,820 | $24,024 | $24,576 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 4,386 | 9,309 | 9,966 |
| Totals, Expenditures | $4,386 | $9,309 | $9,966 |
| FUND BALANCE | $434 | $14,715 | $14,610 |
| Reserve for economic uncertainties | 434 | 14,715 | 14,610 |

### 0460 Dealers' Record of Sale Special Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,819 | $4,976 | $4,881 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 107 | 107 | 107 |
| 131600 Fingerprint ID card fees | 413 | 413 | 413 |
| 142500 Miscellaneous services to the public | 8,117 | 8,117 | 8,117 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 150300 Income from surplus money investments | $190 | $190 | $190 |
| 150500 Interest income from interfund loans | – | – | – |
| 161000 Escheat of unclaimed checks and warrants | 8 | 8 | 8 |
| Totals, Revenues | $8,835 | $8,835 | $8,835 |
| Transfers from Other Funds: | | | |
| Loan repayment from Firearm Safety Training Fund 0015 | 100 | – | – |
| Totals, Transfers | $100 | – | – |
| Totals, Revenues and Transfers | $8,935 | $8,835 | $8,835 |
| Totals, Resources | $11,754 | $13,811 | $13,716 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice: | | | |
| State Operations | 6,773 | 8,807 | 8,047 |
| Local Assistance | 5 | 123 | 123 |
| Totals, Expenditures | $6,778 | $8,930 | $8,170 |
| FUND BALANCE | $4,976 | $4,881 | $5,546 |
| Reserve for economic uncertainties | 4,976 | 4,881 | 5,546 |

### 0566 Child Abuse Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $98 | $339 | $557 |
| Prior year adjustments | 1 | – | – |
| Balance, Adjusted | $99 | $339 | $557 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | 405 | 405 | 405 |
| 150300 Income from surplus money investments | 11 | 11 | 11 |
| Totals, Revenues | $416 | $416 | $416 |
| Totals, Revenues and Transfers | $416 | $416 | $416 |
| Totals, Resources | $515 | $755 | $973 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 176 | 198 | 294 |
| Totals, Expenditures | $176 | $198 | $294 |
| FUND BALANCE | $339 | $557 | $679 |
| Reserve for economic uncertainties | 339 | 557 | 679 |

### 0567 Gambling Control Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $4,660 | $5,776 | $4,310 |
| Prior year adjustments | – | – | – |
| Balance, Adjusted | $4,660 | $5,776 | $4,310 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 130 | 170 | 170 |
| 125800 Renewal Fees | 4,784 | 4,788 | 4,788 |
| 142500 Miscellaneous services to the public | 446 | 496 | 496 |
| 150300 Income from surplus money investments | – | – | – |
| Totals, Revenues | $5,360 | $5,454 | $5,454 |
| Totals, Revenues and Transfers | $5,360 | $5,454 | $5,454 |
| Totals, Resources | $10,020 | $11,230 | $9,764 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | $4,244 | $5,700 | $5,758 |
| 0855 California Gambling Control Commission (State Operations) | – | 1,220 | 1,934 |
| Totals, Expenditures | $4,244 | $6,920 | $7,692 |
| FUND BALANCE | $5,776 | $4,310 | $2,072 |
| Reserve for economic uncertainties | 5,776 | 4,310 | 2,072 |

### 0569 Gambling Control Fines and Penalties Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $565 | $836 | $683 |
| Prior year adjustments | 1 | – | – |
| Balance, Adjusted | $566 | $836 | $683 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 42 | 42 | 42 |
| 164300 Penalty assessment | 363 | – | – |
| Totals, Revenues | $405 | $42 | $42 |
| Totals, Revenues and Transfers | $405 | $42 | $42 |
| Totals, Resources | $971 | $878 | $725 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 135 | 195 | 37 |
| Totals, Expenditures | $135 | $195 | $37 |
| FUND BALANCE | $836 | $683 | $688 |
| Reserve for economic uncertainties | 836 | 683 | 688 |

### 0942 State Asset Forfeiture Account, Special Deposit Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $946 | $1,050 | $1,346 |
| Prior year adjustments | – | – | – |
| Balance, Adjusted | $946 | $1,050 | $1,346 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 67 | – | – |
| 299000 Other—miscellaneous revenue | 482 | 750 | 750 |
| Totals, Operating Revenues | $549 | $750 | $750 |
| Totals, Revenues and Transfers | $549 | $750 | $750 |
| Totals, Resources | $1,495 | $1,800 | $2,096 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 445 | 454 | 461 |
| Totals, Expenditures | $445 | $454 | $461 |
| FUND BALANCE | $1,050 | $1,346 | $1,635 |
| Reserve for economic uncertainties | 1,050 | 1,346 | 1,635 |

### 0942 Federal Asset Forfeiture Account, Special Deposit Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,843 | $2,299 | $2,761 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | – | – | – |
| 299000 Other—miscellaneous revenue | 1,560 | 1,800 | 1,800 |
| Totals, Operating Revenues | $1,560 | $1,800 | $1,800 |
| Totals, Revenues and Transfers | $1,560 | $1,800 | $1,800 |
| Totals, Resources | $3,403 | $4,099 | $4,561 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | $1,104 | $1,338 | $1,828 |
| Totals, Expenditures | $1,104 | $1,338 | $1,828 |
| FUND BALANCE | $2,299 | $2,761 | $2,733 |
| Reserve for economic uncertainties | 2,299 | 2,761 | 2,733 |

### 3016 Missing Persons DNA Data Base Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $1,425 |
| REVENUES AND TRANSFERS | | | |
| 141200 Sales of Documents | – | $1,425 | 2,850 |
| Totals, Revenues and Transfers | – | $1,425 | $2,850 |
| Totals, Resources | – | $1,425 | $4,275 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | – | – | 2,850 |
| Totals, Expenditures | – | – | $2,850 |
| FUND BALANCE | – | $1,425 | $1,425 |
| Reserve for economic uncertainties | – | 1,425 | 1,425 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 4,673.9 | 5,645.5 | 5,474.8 | $261,264 | $314,025 | $312,415 |
| Salary adjustments | – | – | – | – | 589 | 3,893 |
| Totals, Adjusted Authorized Positions | 4,673.9 | 5,645.5 | 5,474.8 | $261,264 | $314,614 | $316,308 |
| Workload and Administrative Adjustments: | | | | | | |
| Directorate-Administrative Services: | | | | | | |
| Personnel Office: | | | | Salary Range | | |
| Pers Svcs Spec I [1] (1.0 position effective 1/1/01) | – | 0.5 | – | 2,315–3,351 | 18 | – |
| Accounting Office: | | | | | | |
| Assoc Bus Mgt Analyst [1] (1.0 position effective 1/1/01) | – | 0.5 | – | 3,915–4,759 | 26 | – |
| Acctg Ofcr-Spec [1] (1.0 position effective 1/1/01) | – | 0.5 | – | 3,418–4,155 | 23 | – |
| Division of Legal Support and Technology: | | | | | | |
| Legal Secty [1] (4.5 positions effective 1/1/00) | – | 2.2 | – | 2,704–3,450 | 84 | – |
| Public Rights Division: | | | | | | |
| Natural Resources: | | | | | | |
| Dep Atty Gen III [1] (7.0 positions effective 1/1/00) | – | 3.5 | – | 6,573–8,111 | 304 | – |
| Assoc Mgt Auditor [1] (1.0 position effective 1/1/00) | – | 0.5 | – | 4,110–4,997 | 27 | – |
| Legal Analyst [1] (3.0 positions effective 1/1/00) | – | 1.5 | – | 3,418–4,155 | 68 | – |
| Division of Law Enforcement: | | | | | | |
| Bureau of Forensic Services: | | | | | | |
| Criminalist Mgr [1] (1.0 position effective 10/1/00) | – | 0.8 | – | 6,329–6,980 | 58 | – |
| Criminalist [1] (45.5 positions effective 1/1/01; 6.0 positions effective 10/1/00) | – | 26.4 | – | 4,515–5,508 | 1,459 | – |
| Assoc Govtl Prog Analyst [1] (1.0 position effective 10/1/00) | – | 0.8 | – | 3,915–4,759 | 35 | – |
| Ofc Techn [1] (1.0 position effective 10/1/00) | – | 0.8 | – | 2,348–2,855 | 21 | – |
| Bureau of Investigation: | | | | | | |
| Special Agent Supvr | – | 1.0 | – | 4,876–6,036 | 64 | – |
| Special Agent | – | 5.0 | – | 4,441–4,997 | 294 | – |
| Crim Intelligence Spec II | – | 1.0 | – | 3,047–3,662 | 40 | – |
| Overtime | – | – | – | – | 82 | – |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Western States Information Network: | | | | Salary Range | | |
| C.E.A. | – | 1.0 | – | $8,520–9,581 | $101 | – |
| Asst Bur Chief | – | 1.0 | – | 6,363–7,016 | 82 | – |
| DOJ Administrator II | – | 1.0 | – | 5,481–6,041 | 72 | – |
| DOJ Administrator I | – | 3.0 | – | 4,520–5,455 | 177 | – |
| Assoc Programmer Analyst-Spec | – | 1.0 | – | 4,110–4,997 | 60 | – |
| Investigative Auditor III, DOJ | – | 1.0 | – | 4,110–4,997 | 51 | – |
| Crim ID & Intelligence Supvr | – | 6.0 | – | 4,024–4,858 | 313 | – |
| Assoc Govtl Prog Analyst | – | 2.0 | – | 3,915–4,759 | 98 | – |
| Regional Coord-WSIN | – | 5.0 | – | 3,915–4,724 | 266 | – |
| Photo Electronics Spec | – | 2.0 | – | 3,934–4,525 | 103 | – |
| Asst Info Sys Analyst | – | 2.0 | – | 2,764–4,155 | 70 | – |
| Research Analyst-Gen | – | 1.0 | – | 2,764–4,155 | 48 | – |
| Crim Intelligence Spec III | – | 22.0 | – | 3,335–4,021 | 935 | – |
| Staff Svcs Analyst-Gen | – | 3.0 | – | 2,507–3,957 | 117 | – |
| Crim Intelligence Spec II | – | 5.0 | – | 3,047–3,662 | 194 | – |
| Bus Svcs Asst-Spec | – | 1.0 | – | 2,220–3,300 | 28 | – |
| Exec Secty I | – | 1.0 | – | 2,688–3,268 | 39 | – |
| Prop Controller I | – | 1.0 | – | 2,538–3,139 | 37 | – |
| Crim Intelligence Spec I | – | 49.0 | – | 2,560–3,047 | 1,618 | – |
| Ofc Techn-Typing | – | 4.0 | – | 2,348–2,855 | 116 | – |
| Ofc Techn-Gen | – | 1.0 | – | 2,348–2,855 | 29 | – |
| Prog Techn II | – | 1.0 | – | 2,348–2,855 | 29 | – |
| Word Proc Techn | – | 4.0 | – | 2,029–2,648 | 100 | – |
| Key Data Opr | – | 1.0 | – | 1,916–2,648 | 24 | – |
| Ofc Asst-Typing | – | 1.0 | – | 1,908–2,465 | 24 | – |
| Overtime | – | – | – | – | 247 | – |
| Criminal Justice Information Services Division: | | | | | | |
| Bureau of Criminal Identification and Information: | | | | | | |
| Fld Rep (2.0 positions effective 7/1/01) | – | 1.0 | – | 4,021–4,853 | 53 | – |
| Crim ID Spec II (3.5 positions effective 7/1/01) | – | 1.8 | – | 3,047–3,662 | 74 | – |
| Crim ID Spec I (3.0 positions effective 7/1/01) | – | 1.5 | – | 2,560–3,047 | 53 | – |
| Prog Techn II (3.0 positions effective 7/1/01) | – | 1.5 | – | 2,348–2,855 | 49 | – |
| Prog Techn (4.0 positions effective 7/1/01) | – | 2.0 | – | 2,029–2,648 | 56 | – |
| Ofc Asst-Gen (2.5 positions effective 7/1/01) | – | 1.2 | – | 2,029–2,465 | 32 | – |
| Western States Information Network: | | | | | | |
| C.E.A. | – | −1.0 | – | 8,520–9,581 | −101 | – |
| Asst Bur Chief | – | −1.0 | – | 6,363–7,016 | −82 | – |
| DOJ Administrator II | – | −1.0 | – | 5,481–6,041 | −72 | – |
| DOJ Administrator I | – | −3.0 | – | 4,520–5,455 | −177 | – |
| Assoc Programmer Analyst-Spec | – | −1.0 | – | 4,110–4,997 | −60 | – |
| Investigative Auditor III, DOJ | – | −1.0 | – | 4,110–4,997 | −51 | – |
| Crim ID & Intelligence Supvr | – | −6.0 | – | 4,024–4,858 | −313 | – |
| Assoc Govtl Prog Analyst | – | −2.0 | – | 3,915–4,759 | −98 | – |
| Regional Coord-WSIN | – | −5.0 | – | 3,915–4,724 | −266 | – |
| Photo Electronics Spec | – | −2.0 | – | 3,934–4,525 | −103 | – |
| Asst Info Sys Analyst | – | −2.0 | – | 2,764–4,155 | −70 | – |
| Research Analyst-Gen | – | −1.0 | – | 2,764–4,155 | −48 | – |
| Crim Intelligence Spec III | – | −22.0 | – | 3,335–4,021 | −935 | – |
| Staff Svcs Analyst-Gen | – | −3.0 | – | 2,507–3,957 | −117 | – |
| Crim Intelligence Spec II | – | −5.0 | – | 3,047–3,662 | −194 | – |
| Bus Svcs Asst-Spec | – | −1.0 | – | 2,220–3,300 | −28 | – |
| Exec Secty I | – | −1.0 | – | 2,688–3,268 | −39 | – |
| Prop Controller I | – | −1.0 | – | 2,538–3,139 | −37 | – |
| Crim Intelligence Spec I | – | −49.0 | – | 2,560–3,047 | −1,618 | – |
| Ofc Techn-Typing | – | −4.0 | – | 2,348–2,855 | −116 | – |
| Ofc Techn-Gen | – | −1.0 | – | 2,348–2,855 | −29 | – |
| Prog Techn II | – | −1.0 | – | 2,348–2,855 | −29 | – |
| Word Proc Techn | – | −4.0 | – | 2,029–2,648 | −100 | – |
| Key Data Opr | – | −1.0 | – | 1,916–2,648 | −24 | – |
| Ofc Asst-Typing | – | −1.0 | – | 1,908–2,465 | −24 | – |
| Overtime | – | – | – | – | −247 | – |
| Totals, Workload and Administrative Adjustments | – | 54.0 | – | – | $2,920 | – |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| Reduction per Section 31.50: | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Division of Legal Support and Technology: | | | | | | |
| Legal Support and Technology: | | | | Salary Range | | |
| Asst Info Sys Analyst | – | (–1.0) | (–1.0) | $2,764–4,155 | – | – |
| Staff Svcs Analyst | – | (–1.0) | (–1.0) | 2,507–3,957 | – | – |
| Legal Support Supvr I | – | (–1.0) | (–1.0) | 3,121–3,795 | – | – |
| Legal Secty | – | (–3.0) | (–3.0) | 2,704–3,450 | – | – |
| Exec Secty I | – | (–1.0) | (–1.0) | 2,688–3,268 | – | – |
| Ofc Asst-Typing | – | (–5.0) | (–5.0) | 1,908–2,465 | – | – |
| Ofc Asst-Gen | – | (–2.0) | (–2.0) | 1,846–2,465 | – | – |
| Law Library: | | | | | | |
| Sr Librarian Supvr | – | (–1.0) | (–1.0) | 4,154–4,987 | – | – |
| Library Tech Asst I | – | (–1.0) | (–1.0) | 2,466–3,000 | – | – |
| Ofc Techn-Typing | – | (–1.0) | (–1.0) | 2,348–2,855 | – | – |
| Civil Law Division: | | | | | | |
| Licensing: | | | | | | |
| Dep Atty Gen III | – | (–0.5) | (–0.5) | 6,573–8,111 | – | – |
| State Government: | | | | | | |
| Dep Atty Gen | – | (–1.0) | (–1.0) | 3,651–7,034 | – | – |
| Business and Tax: | | | | | | |
| Dep Atty Gen III | – | (–0.1) | (–0.1) | 6,573–8,111 | – | – |
| Health, Education, and Welfare: | | | | | | |
| Dep Atty Gen III | – | (–0.2) | (–0.2) | 6,573–8,111 | – | – |
| Dep Atty Gen | – | (–2.0) | (–2.0) | 3,651–7,034 | – | – |
| Legal Analyst | – | (–2.2) | (–2.2) | 3,418–4,155 | – | – |
| Health Quality Enforcement: | | | | | | |
| Dep Atty Gen IV | – | (–1.0) | (–1.0) | 7,262–8,967 | – | – |
| Legal Asst | – | (–1.0) | (–1.0) | 3,013–3,663 | – | – |
| Tort and Condemnation: | | | | | | |
| Dep Atty Gen IV | – | (–1.0) | (–1.0) | 7,262–8,967 | – | – |
| Dep Atty Gen III | – | (–1.2) | (–1.2) | 6,573–8,111 | – | – |
| Enforcement, Regulation, and Administration: | | | | | | |
| Dep Atty Gen IV | – | (–1.0) | (–1.0) | 7,262–8,967 | – | – |
| Dep Atty Gen III | – | (–5.0) | (–5.0) | 6,573–8,111 | – | – |
| Legal Analyst | – | (–0.4) | (–0.4) | 3,418–4,155 | – | – |
| Criminal Law Division: | | | | | | |
| Appeals, Writs, and Trials: | | | | | | |
| Dep Atty Gen IV | – | (–3.0) | (–3.0) | 7,262–8,967 | – | – |
| Dep Atty Gen III | – | (–4.0) | (–4.0) | 6,573–8,111 | – | – |
| Dep Atty Gen | – | (–4.0) | (–4.0) | 3,651–7,034 | – | – |
| Public Rights Division: | | | | | | |
| Land Law: | | | | | | |
| Legal Analyst | – | (–5.0) | (–5.0) | 3,418–4,155 | – | – |
| Natural Resources: | | | | | | |
| Legal Secty | – | (–0.1) | (–0.1) | 2,704–3,450 | – | – |
| Division of Law Enforcement: | | | | | | |
| Bureau of Narcotic Enforcement: | | | | | | |
| Special Agent Supvr | – | (–1.0) | (–1.0) | 4,876–6,036 | – | – |
| Special Agent | – | (–14.0) | (–14.0) | 2,798–5,491 | – | – |
| Latent Print Analyst II | – | (–4.0) | (–4.0) | 4,341–5,233 | – | – |
| Aircraft Pilot | – | (–4.0) | (–4.0) | 3,999–4,640 | – | – |
| Crim Intelligence Spec III | – | (–1.0) | (–1.0) | 3,335–4,021 | – | – |
| Crim Intelligence Spec II | – | (–1.0) | (–1.0) | 3,047–3,662 | – | – |
| Ofc Techn-Typing | – | (–3.0) | (–3.0) | 2,348–2,855 | – | – |
| Prog Techn | – | (–0.1) | (–0.1) | 2,029–2,648 | – | – |
| Word Proc Techn | – | (–2.0) | (–2.0) | 2,029–2,648 | – | – |
| Ofc Asst-Typing | – | (–2.0) | (–2.0) | 1,908–2,465 | – | – |
| Criminal Justice Information Services Division: | | | | | | |
| Bureau of Criminal Identification and Information: | | | | | | |
| Supvng Prog Techn II | – | (–1.0) | (–1.0) | 2,628–3,195 | – | – |
| Prog Techn III | – | (–1.0) | (–1.0) | 2,626–3,193 | – | – |
| Crim ID Spec I | – | (–1.0) | (–1.0) | 2,560–3,047 | – | – |
| Prog Techn II | – | (–8.0) | (–8.0) | 2,348–2,855 | – | – |
| Prog Techn | – | (–6.5) | (–6.5) | 2,029–2,648 | – | – |
| Word Proc Techn | – | (–2.0) | (–2.0) | 2,029–2,648 | – | – |
| Key Data Opr | – | (–4.5) | (–4.5) | 1,916–2,648 | – | – |
| Ofc Asst-Gen | – | (–6.0) | (–6.0) | 1,846–2,465 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Bureau of Criminal Information and Analysis: | | | | Salary Range | | |
| Research Analyst I-Gen | – | (–1.0) | (–1.0) | $2,764–4,155 | – | – |
| Supvng Prog Techn II | – | (–1.0) | (–1.0) | 2,628–3,195 | – | – |
| Prog Techn II | – | (–3.0) | (–3.0) | 2,348–2,855 | – | – |
| Prog Techn | – | (–5.3) | (–5.3) | 2,029–2,648 | – | – |
| Totals | – | (–122.1) | (–122.1) | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions | – | – | –105.3 | – | – | – |
| Totals | – | – | –105.3 | – | – | – |
| Proposed New Positions: | | | | | | |
| Directorate-Administrative Services: | | | | | | |
| Personnel Office: | | | | | | |
| Assoc Industrial Hygienist | – | – | 1.0 | 4,414–5,321 | – | $58 |
| Assoc Pers Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Assoc Govtl Prog Analyst | – | – | 2.0 | 3,915–4,759 | – | 104 |
| Pers Svcs Spec I [2] | – | – | 4.0 | 2,315–3,351 | – | 128 |
| Pers Techn | – | – | 1.0 | 2,143–3,049 | – | 28 |
| Accounting Office: | | | | | | |
| Assoc Bus Mgt Analyst [1] | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Acctg Ofcr-Spec [1] | – | – | 1.0 | 3,418–4,155 | – | 45 |
| Division of Legal Support and Technology: | | | | | | |
| Legal Secty (Range B) [1] | – | – | 1.0 | 2,839–3,450 | – | 36 |
| Legal Secty [3] | – | – | 20.9 | 2,704–3,450 | – | 769 |
| Division of Executive Programs: | | | | | | |
| Various Redirections for Executive Programs | – | – | –11.6 | – | – | – |
| Crime and Violence Prevention Center: | | | | | | |
| DOJ Administrator III | – | – | 1.0 | 6,027–6,645 | – | 80 |
| Marketing Spec [4] | – | – | 1.0 | 4,301–5,228 | – | 59 |
| Research Prog Spec | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Crime Prev Spec | – | – | 1.0 | 3,915–5,228 | – | 52 |
| Assoc Govtl Prog Analyst [2] | – | – | 3.0 | 3,915–4,759 | – | 161 |
| Editorial Techn | – | – | 0.5 | 2,670–3,246 | – | 18 |
| Ofc Techn | – | – | 2.0 | 2,348–2,855 | – | 62 |
| Office of Professional Training and Development: | | | | | | |
| Dep Atty Gen IV | – | – | 1.0 | 6,632–7,312 | – | 96 |
| Asst Bur Chief | – | – | 1.0 | 6,424–7,016 | – | 84 |
| Staff Svcs Mgr I | – | – | 1.0 | 4,520–5,455 | – | 60 |
| Trng Ofcr | – | – | 2.0 | 3,915–4,759 | – | 104 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Ofc Techn | – | – | 2.0 | 2,348–2,855 | – | 62 |
| Office of Native American Affairs: | | | | | | |
| C.E.A. I | – | – | 1.0 | 5,493–6,975 | – | 73 |
| Staff Svcs Analyst | – | – | 1.0 | 2,507–3,957 | – | 33 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Civil Law Division: | | | | | | |
| Health, Education, and Welfare: | | | | | | |
| Dep Atty Gen III | – | – | 1.0 | 6,320–7,799 | – | 87 |
| False Claims: | | | | | | |
| Investigative Auditor IV-Supvr | – | – | 1.0 | 4,346–5,243 | – | 60 |
| Investigative Auditor III | – | – | 3.0 | 3,952–4,805 | – | 163 |
| State Government: | | | | | | |
| Dep Atty Gen III [1] | – | – | 1.0 | 6,320–7,799 | – | 87 |
| Legal Analyst [1] | – | – | 0.5 | 3,287–3,995 | – | 23 |
| Criminal Law Division: | | | | | | |
| Bureau of Medi-Cal Fraud and Elder Abuse: | | | | | | |
| Dep Atty Gen III [5] | – | – | 2.0 | 6,573–8,111 | – | 174 |
| Special Agent Supvr [5] | – | – | 4.0 | 4,879–6,036 | – | 258 |
| Special Agent (Range C) [5] | – | – | 8.0 | 4,441–5,491 | – | 470 |
| Investigative Auditor II [5] | – | – | 2.0 | 3,418–4,155 | – | 90 |
| Legal Analyst [5] | – | – | 1.0 | 3,418–4,155 | – | 45 |
| Ofc Techn-Typing [5] | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Appeals, Writs, and Trials: | | | | | | |
| Dep Atty Gen III [16] | – | – | 17.0 | 6,573–8,111 | – | 1,478 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| Public Rights Division: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Charitable Trust: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | $3,915–4,759 | – | $52 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Prog Techn II | – | – | 3.0 | 2,258–2,745 | – | 93 |
| Temporary Help | – | – | – | – | – | 10 |
| Natural Resources and Land Law: | | | | | | |
| Dep Atty Gen III [17] | – | – | 10.0 | 6,573–8,111 | – | 870 |
| Assoc Mgt Auditor [1] | – | – | 1.0 | 4,110–4,997 | – | 54 |
| Legal Analyst [18] | – | – | 5.0 | 3,418–4,155 | – | 226 |
| Division of Law Enforcement: | | | | | | |
| Bureau of Forensic Services: | | | | | | |
| Criminalist Mgr [2] (0.7 permanent position will become 1.0 permanent position effective 7/1/02) | – | – | 1.7 | 6,329–6,980 | – | 172 |
| Criminalist Supvr [1] | – | – | 1.0 | 5,212–6,300 | – | 77 |
| Sr Criminalist [7] (1.2 permanent positions will become 2.0 permanent positions effective 7/1/02) | – | – | 5.2 | 4,626–5,726 | – | 391 |
| Criminalist [6] (3.2 permanent positions will become 6.0 permanent positions effective 7/1/02) | – | – | 54.7 | 4,515–5,508 | – | 3,294 |
| Special Agent [1] | – | – | 0.7 | 4,441–5,491 | – | 41 |
| Assoc Govtl Prog Analyst [2] (0.7 permanent position will become 1.0 permanent position effective 7/1/02) | – | – | 1.7 | 3,915–4,759 | – | 104 |
| Lab Techn-Criminalist (1.0 position effective 7/1/02) | – | – | 0.7 | 2,714–3,298 | – | 36 |
| Ofc Techn [1] | – | – | 1.5 | 2,348–2,855 | – | 47 |
| Overtime | – | – | – | – | – | 19 |
| Bureau of Narcotic Enforcement: | | | | | | |
| Special Agent | – | – | 5.0 | 4,441–5,491 | – | 294 |
| Investigative Auditor III | – | – | 2.0 | 4,110–4,997 | – | 109 |
| Crim Intelligence Spec III | – | – | 2.0 | 3,335–4,021 | – | 88 |
| Word Proc Techn | – | – | 1.0 | 2,029–2,465 | – | 27 |
| Overtime | – | – | – | – | – | 228 |
| Bureau of Investigation: | | | | | | |
| Special Agent Supvr | – | – | 2.0 | 4,876–6,036 | – | 128 |
| Special Agent | – | – | 19.0 | 4,441–4,997 | – | 1,116 |
| Crim Intelligence Spec III | – | – | 2.0 | 3,335–4,021 | – | 81 |
| Crim Intelligence Spec II | – | – | 1.0 | 3,047–3,662 | – | 40 |
| Ofc Techn-Typing | – | – | 2.0 | 2,348–2,855 | – | 62 |
| Overtime | – | – | – | – | – | 337 |
| Western States Information Network: | | | | | | |
| C.E.A. | – | – | 1.0 | 8,520–9,581 | – | 102 |
| Asst Bur Chief | – | – | 1.0 | 6,363–7,016 | – | 85 |
| DOJ Administrator II | – | – | 1.0 | 5,481–6,041 | – | 73 |
| DOJ Administrator I | – | – | 3.0 | 4,520–5,455 | – | 180 |
| Assoc Programmer Analyst-Spec | – | – | 1.0 | 4,110–4,997 | – | 60 |
| Investigative Auditor III, DOJ | – | – | 1.0 | 4,110–4,997 | – | 52 |
| Crim ID & Intelligence Supvr | – | – | 6.0 | 4,024–4,858 | – | 319 |
| Assoc Govtl Prog Analyst | – | – | 2.0 | 3,915–4,759 | – | 98 |
| Regional Coord-WSIN | – | – | 5.0 | 3,915–4,724 | – | 270 |
| Photo Electronics Spec | – | – | 2.0 | 3,934–4,525 | – | 104 |
| Assoc Info Sys Analyst | – | – | 2.0 | 2,764–4,155 | – | 73 |
| Research Analyst-Gen | – | – | 1.0 | 2,764–4,155 | – | 50 |
| Crim Intelligence Spec III | – | – | 22.0 | 3,335–4,021 | – | 949 |
| Staff Svcs Analyst-Gen | – | – | 3.0 | 2,507–3,957 | – | 120 |
| Crim Intelligence Spec II | – | – | 5.0 | 3,047–3,662 | – | 198 |
| Bus Svcs Asst-Spec | – | – | 1.0 | 2,220–3,300 | – | 28 |
| Exec Secty I | – | – | 1.0 | 2,688–3,268 | – | 39 |
| Prop Controller I | – | – | 1.0 | 2,538–3,139 | – | 38 |
| Crim Intelligence Spec I | – | – | 49.0 | 2,560–3,047 | – | 1,644 |
| Ofc Techn-Typing | – | – | 4.0 | 2,348–2,855 | – | 119 |
| Ofc Techn-Gen | – | – | 1.0 | 2,348–2,855 | – | 30 |
| Prog Techn II | – | – | 1.0 | 2,348–2,855 | – | 30 |
| Word Proc Techn | – | – | 4.0 | 2,029–2,648 | – | 103 |
| Key Data Opr | – | – | 1.0 | 1,916–2,648 | – | 25 |
| Ofc Asst-Typing | – | – | 1.0 | 1,908–2,465 | – | 25 |
| Overtime | – | – | – | – | – | 247 |

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

| Criminal Justice Information Services Division: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Bureau of Criminal Identification and Information: | | | | Salary Range | | |
| DOJ Administrator I [5] | – | – | 1.0 | $4,520–5,455 | – | $65 |
| Latent Print Analyst II [1] | – | – | 4.0 | 4,235–5,105 | – | 224 |
| Crim ID & Intelligence Supvr [8] | – | – | 2.0 | 4,024–4,858 | – | 106 |
| Fld Rep | – | – | 2.0 | 4,021–4,853 | – | 106 |
| Assoc Govtl Prog Analyst [5] | – | – | 1.0 | 3,915–4,759 | – | 57 |
| Crim ID Spec II [11] | – | – | 12.5 | 3,047–3,662 | – | 508 |
| Supvng Prog Techn II [9] | – | – | 4.0 | 2,628–3,195 | – | 140 |
| Crim ID Spec I [10] | – | – | 8.5 | 2,560–3,047 | – | 291 |
| Prog Techn II [12] | – | – | 45.0 | 2,348–2,855 | – | 1,402 |
| Prog Techn [13] | – | – | 46.5 | 2,029–2,648 | – | 1,252 |
| Ofc Asst-Gen [9] | – | – | 7.5 | 2,029–2,465 | – | 190 |
| Bureau of Criminal Information and Analysis: | | | | | | |
| Crim ID Spec II [1] | – | – | 1.0 | 3,047–3,662 | – | 40 |
| Prog Techn II [2] | – | – | 2.0 | 2,348–2,855 | – | 61 |
| Prog Techn [14] | – | – | 9.0 | 2,029–2,648 | – | 261 |
| Hawkins Data Center: | | | | | | |
| Sys Software Spec II [2] | – | – | 3.0 | 4,759–5,784 | – | 196 |
| Staff Info Sys Analyst-Spec | – | – | 1.0 | 4,507–5,480 | – | 60 |
| Staff Info Sys Analyst | – | – | 3.0 | 4,334–5,269 | – | 179 |
| Assoc Info Sys Analyst-Spec | – | – | 0.5 | 4,110–4,997 | – | 27 |
| Assoc Info Sys Analyst | – | – | 1.0 | 3,952–4,805 | – | 54 |
| Western States Information Network: | | | | | | |
| C.E.A. | – | – | −1.0 | 8,520–9,581 | – | −102 |
| Asst Bur Chief | – | – | −1.0 | 6,363–7,016 | – | −85 |
| DOJ Administrator II | – | – | −1.0 | 5,481–6,041 | – | −73 |
| DOJ Administrator I | – | – | −3.0 | 4,520–5,455 | – | −180 |
| Assoc Programmer Analyst-Spec | – | – | −1.0 | 4,110–4,997 | – | −60 |
| Investigative Auditor III, DOJ | – | – | −1.0 | 4,110–4,997 | – | −52 |
| Crim ID & Intelligence Supvr | – | – | −6.0 | 4,024–4,858 | – | −319 |
| Assoc Govtl Prog Analyst | – | – | −2.0 | 3,915–4,759 | – | −98 |
| Regional Coord-WSIN | – | – | −5.0 | 3,915–4,724 | – | −270 |
| Photo Electronics Spec | – | – | −2.0 | 3,934–4,525 | – | −104 |
| Asst Info Sys Analyst | – | – | −2.0 | 2,764–4,155 | – | −73 |
| Research Analyst-Gen | – | – | −1.0 | 2,764–4,155 | – | −50 |
| Crim Intelligence Spec III | – | – | −22.0 | 3,335–4,021 | – | −949 |
| Staff Svcs Analyst-Gen | – | – | −3.0 | 2,507–3,957 | – | −120 |
| Crim Intelligence Spec II | – | – | −5.0 | 3,047–3,662 | – | −198 |
| Bus Svcs Asst-Spec | – | – | −1.0 | 2,220–3,300 | – | −28 |
| Exec Secty I | – | – | −1.0 | 2,688–3,268 | – | −39 |
| Prop Controller I | – | – | −1.0 | 2,538–3,139 | – | −38 |
| Crim Intelligence Spec I | – | – | −49.0 | 2,560–3,047 | – | −1,644 |
| Ofc Techn-Typing | – | – | −4.0 | 2,348–2,855 | – | −119 |
| Ofc Techn-Gen | – | – | −1.0 | 2,348–2,855 | – | −30 |
| Prog Techn II | – | – | −1.0 | 2,348–2,855 | – | −30 |
| Word Proc Techn | – | – | −4.0 | 2,029–2,648 | – | −103 |
| Key Data Opr | – | – | −1.0 | 1,916–2,648 | – | −25 |
| Ofc Asst-Typing | – | – | −1.0 | 1,908–2,465 | – | −25 |
| Overtime | – | – | – | – | – | −247 |
| Firearms Division: | | | | | | |
| Fld Rep, DOJ | – | – | 4.0 | 4,021–4,853 | – | 213 |
| Totals, Proposed New Positions [15] | – | – | 366.0 | – | – | $18,781 |
| Total Adjustments | – | 54.0 | 260.7 | – | $3,509 | $22,674 |
| TOTALS, SALARIES AND WAGES | 4,673.9 | 5,699.5 | 5,735.5 | $261,264 | $317,534 | $335,089 |

[1] Positions limited-term to 6/30/03.
[2] 1.0 position limited-term to 6/30/03.
[3] 2.0 positions limited-term to 6/30/02; 6.1 positions limited-term to 6/30/03.
[4] Position limited-term to 6/30/04.
[5] Position limited-term to 6/30/02.
[6] 10.5 positions limited-term to 6/30/02; 41.0 positions limited-term to 6/30/03.
[7] 4.0 positions limited-term to 6/30/03.
[8] 1.0 position limited-term to 6/30/02.
[9] 2.0 positions limited-term to 6/30/02.
[10] 1.5 positions limited-term to 6/30/03.
[11] 2.0 positions limited-term to 6/30/03.

*** Dollars in thousands, except in Salary Range.**

## 0820 DEPARTMENT OF JUSTICE—Continued

[12] 9.5 positions limited-term to 6/30/03; 19.5 positions limited-term to 6/30/02.
[13] 14.0 positions limited-term to 6/30/03; 18.5 positions limited-term to 6/30/02.
[14] 8.0 positions limited-term to 6/30/03.
[15] Authority for the proposed permanent positions is not needed since DOJ has unused and unfunded positions that will be redirected. Funds needed to fill these positions are being provided.
[16] 2.5 positions limited-term to 6/30/03.
[17] 7.0 positions limited-term to 6/30/03.
[18] 3.0 positions limited-term to 6/30/03.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **80 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| 85.50.070.970 Central Valley Replacement Laboratory | $10,669 [Cn] | – | – |
| 85.50.080.970 Riverside Replacement Laboratory | 12,573 [Cn] | – | – |
| 85.60.010 Santa Barbara Replacement Laboratory | 392 [APWg] | $5,057 [Cg] | – |
| 85.60.020 Santa Rosa Replacement Laboratory | 460 [AWg] | 5,470 [Cg] | – |
| 85.60.030 Fresno Replacement Laboratory | 615 [Wg] | 11,670 [Cg] | – |
| 85.60.050 Hawkins Data Center Computer Lab | 1,194 [PWCg] | – | – |
| 85.60.060 Redding Replacement Laboratory | 710 [APg] | 6,548 [WCg] | – |
| 85.60.070 Freedom Replacement Laboratory | – | 2,108 [Ag] | – |
| 85.60.080 Parking Lot Improvement | – | 313 [Cg] | – |
| 85.60.090 Hawkins Data Center-Fire Supp. Sys. | – | 100 [PWg] | $933 [Cg] |
| 85.60.095 1300 I St. Bldg. Alterations | – | 649 [PWCEg] | – |
| 85.70.010 Statewide DNA Labs | – | – | 15,000 [APWCg] |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $26,613 | $31,915 | $15,933 |
| *0001 General Fund* [g] | *3,371* | *31,716* | *15,933* |
| *0660 Public Buildings Construction Fund* [n] | *23,242* | – | – |
| *0995 Reimbursements* [z] | – | *199* | – |

### RECONCILIATION WITH APPROPRIATIONS

#### 3 CAPITAL OUTLAY

#### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $2,048 | $31,716 | $15,933 |
| Prior year balances available: | | | |
| Item 0820-301-0001, Budget Act of 1998 as reappropriated by Item 0820-492, Budget Act of 1999 | 1,715 | 374 | – |
| Transfers to and from Government Code Sections 16408 and 16409 | –18 | –374 | – |
| Totals Available | $3,745 | $31,716 | $15,933 |
| Balance available in subsequent years | –374 | – | – |
| TOTALS, EXPENDITURES | $3,371 | $31,716 | $15,933 |

#### 0660 Public Buildings Construction Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $39,969 | – | – |
| Totals Available | $39,969 | – | – |
| Unexpended balance, estimated savings | –16,727 | – | – |
| TOTALS, EXPENDITURES | $23,242 | – | – |

#### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | – | $199 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $26,613 | $31,915 | $15,933 |

*** Dollars in thousands, except in Salary Range.**

# 0840 STATE CONTROLLER

The State Controller is the Chief Fiscal Officer of the State, elected by the people. As such, the Controller's primary objectives are to: (1) provide sound fiscal control over both receipts and disbursements of public funds; (2) report periodically on the financial operations and condition of both state and local governments; (3) make certain money due the State is collected through fair, equitable and effective tax administration; (4) provide fiscal guidance to local governments; (5) administer the Unclaimed Property and Property Tax Postponement Programs; and (6) develop and establish policy for a significant number of boards and commissions, including all major tax boards.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Accounting and Reporting | 122.8 | 145.9 | 145.9 | $10,262 | $10,716 | $10,726 |
| 20 Audits | 237.1 | 338.1 | 339.0 | 19,975 | 23,455 | 23,743 |
| 30 Personnel/Payroll Services | 207.5 | 234.1 | 234.1 | 20,105 | 21,167 | 21,240 |
| 40 Information Systems | 128.7 | 155.8 | 155.8 | 13,277 | 13,418 | 12,890 |
| 50 Collections | 159.8 | 183.6 | 183.6 | 10,852 | 12,759 | 13,196 |
| 60 Disbursements and Support | 212.7 | 141.4 | 152.8 | 25,612 | 33,938 | 37,597 |
| Distributed to Other Programs | – | – | – | –7,085 | –7,371 | –7,371 |
| TOTALS, PROGRAMS | 1,068.6 | 1,198.9 | 1,211.2 | $92,998 | $108,082 | $112,021 |
| *0001 General Fund* | | | | *60,535* | *72,638* | *76,829* |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | | | | *2,843* | *3,012* | *3,021* |
| *0062 Highway Users Tax Account, Transportation Tax Fund* | | | | *774* | *832* | *836* |
| *0330 Local Revenue Fund* | | | | *396* | *402* | *404* |
| *0344 State School Building Lease-Purchase Fund* | | | | *712* | *724* | *728* |
| *0494 Various Special Funds* | | | | *41* | *41* | *41* |
| *0797 Various Bond Funds* | | | | *166* | *170* | *171* |
| *0890 Federal Trust Fund* | | | | *837* | *1,324* | *1,325* |
| *0903 State Penalty Fund* | | | | *900* | *969* | *972* |
| *0988 Various Nongovernmental Cost Funds* | | | | *219* | *226* | *227* |
| *0995 Reimbursements* | | | | *25,575* | *27,744* | *27,467* |

## 10 ACCOUNTING AND REPORTING

### Program Objectives Statement

To maintain uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; to report the financial condition of the State; to maintain a database of information and report on local financial transactions; to apportion shared revenues to local governments; to administer Local Mandated Cost programs; to monitor the cash flow of the General Fund; and to prescribe uniform accounting procedures for counties and special districts.

### Authority

Constitution, Article XVI, Section 7 and Government Code Sections 12400 et seq.

## 20 AUDITS

### Program Objectives Statement

To determine the legality and accuracy of all claims against the State through the performance of prepayment audits; to assure the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent local auditors, and performing field audits for a variety of state and federal programs; and to audit major businesses for compliance with the Unclaimed Property Law.

### Major Budget Adjustment Proposed for 2001–02

- The continuation of $735,000 General Fund, $734,000 reimbursements and fourteen (14) positions for the performance of Medi-Cal audits for three years (includes one-year cost of $75,000).

### Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

## 30 PERSONNEL/PAYROLL SERVICES

### Program Objectives Statement

To administer the State's Payroll, Employment History and Leave Accounting Systems; to audit and process all personnel and payroll transactions for state civil service and exempt employees, and the California State University System; to provide information required to manage the personnel resources of the State; and to properly account for salary and wage expenditures.

### Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0840 STATE CONTROLLER—Continued

### 40 INFORMATION SYSTEMS

#### Program Objectives Statement

To develop, maintain, and operate all of the department's mainframe data processing systems; to develop and manage the fiscal system; and to oversee the planning, procurement, use, and maintenance of microcomputer systems.

#### Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

### 50 COLLECTIONS

#### Program Objectives Statement

To administer the Unclaimed Property Law by receiving unclaimed property from banks, savings and loans, and other business firms, and restoring property to owners; to administer and collect estate, inheritance, and gift taxes; to collect delinquent insurance and gas taxes; and to administer the Tax-Defaulted Land and Property Tax Postponement Programs for senior and disabled citizens.

#### Major Budget Adjustment Included in 2000–01

- Pursuant to Chapter 267, Statutes of 2000, a one-year augmentation of $779,000 General Fund and the utilization of 4.4 existing, unfunded positions for the Amnesty Program for holders of unclaimed property.

#### Major Budget Adjustment Proposed for 2001–02

- Pursuant to Chapter 267, Statutes of 2000, a one-year augmentation of $1,356,000 General Fund and the utilization of 18.9 existing, unfunded positions for the Amnesty Program for holders of unclaimed property.

#### Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.
Revenue and Taxation Code, Division 2, Parts 1.5, 2, 4, 7, 8, and 9.
Public Resources Code, Division 3, Chapter 1, Articles 5.5 to 7.

### 60 DISBURSEMENTS AND SUPPORT

#### Program Objectives Statement

To write and mail or deliver all payments of the State's obligations, including personal income tax refunds, payroll warrants, and retirement warrants; to provide staff support services to the Office; and to administer the information security program.

#### Major Budget Adjustment Included in 2000–01

- Pursuant to Chapter 106, Statutes of 2000, a one-year augmentation of $5,613,000 General Fund and the utilization of seven and one-half (7.5) existing, unfunded positions for the Vehicle License Fee Rebate Program.

#### Major Budget Adjustment Proposed for 2001–02

- Pursuant to Chapter 106, Statutes of 2000, an augmentation of $10,527,000 General Fund and thirteen (13) limited-term positions for the Vehicle License Fee Rebate Program for 2001–02. This amount represents the costs for the second year of a five-year plan.

#### Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.
Membership by State Controller on boards and commissions, principally:
- State Board of Equalization, Constitution, Articles 13, 7, and 9.
- State Board of Control, Government Code Section 13901.
- Franchise Tax Board, Government Code Section 15700.
- Pooled Money Investment Board, Government Code Section 16480.1.
- State Teachers' Retirement Board, Education Code Section 13851.
- Various bond and finance committees, Education Code Section 19510; Military and Veterans Code Section 991; Chapter 765/27, Chapter 23/63, 1st Ex; Water Code Section 12933; Harbors and Navigation Code Section 3902–3; Government Code Section 17220.
- California Exposition and Fair Executive Committee, Agricultural Code Section 72.1.
- State Lands Commission, Public Resources Code Section 6101.
- Reapportionment Commission, Constitution, Articles 4, 6.
- Reciprocity Commission, Vehicle Code Section 2600.
- Interagency Council for Ocean Resources, Government Code Sections 8810–11.
- Intergovernmental Council on Urban Growth, Government Code Section 34200.

*** Dollars in thousands, except in Salary Range.**

## 0840 STATE CONTROLLER—Continued

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**
**10 ACCOUNTING AND REPORTING**

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$7,353* | *$7,835* | *$7,832* |
| *0062 Highway Users Tax Account, Transportation Tax Fund* | *61* | *62* | *63* |
| *0330 Local Revenue Fund* | *396* | *402* | *404* |
| *0344 State School Building Lease-Purchase Fund* | *420* | *429* | *431* |
| *0494 Various Special Funds* | *41* | *41* | *41* |
| *0797 Various Bond Funds* | *166* | *170* | *171* |
| *0903 State Penalty Fund* | *142* | *147* | *146* |
| *0988 Various Nongovernmental Cost Funds* | *155* | *157* | *158* |
| *0995 Reimbursements* | *1,528* | *1,473* | *1,480* |
| Totals, State Operations | $10,262 | $10,716 | $10,726 |

**PROGRAM REQUIREMENTS**
**20 AUDITS**

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$9,873* | *$10,672* | *$10,721* |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *1,804* | *1,857* | *1,866* |
| *0062 Highway Users Tax Account, Transportation Tax Fund* | *654* | *710* | *713* |
| *0344 State School Building Lease-Purchase Fund* | *292* | *295* | *297* |
| *0890 Federal Trust Fund* | *837* | *1,306* | *1,307* |
| *0903 State Penalty Fund* | *758* | *822* | *826* |
| *0988 Various Nongovernmental Cost Funds* | *64* | *69* | *69* |
| *0995 Reimbursements* | *5,693* | *7,724* | *7,944* |
| Totals, State Operations | $19,975 | $23,455 | $23,743 |

**PROGRAM REQUIREMENTS**
**30 PERSONNEL/PAYROLL SERVICES**

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$15,222* | *$16,168* | *$16,225* |
| *0890 Federal Trust Fund* | *–* | *2* | *2* |
| *0995 Reimbursements* | *4,883* | *4,997* | *5,013* |
| Totals, State Operations | $20,105 | $21,167 | $21,240 |

**PROGRAM REQUIREMENTS**
**40 INFORMATION SYSTEMS**

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$11,720* | *$11,890* | *$11,351* |
| *0062 Highway Users Tax Account, Transportation Tax Fund* | *59* | *60* | *60* |
| *0995 Reimbursements* | *1,498* | *1,468* | *1,479* |
| Totals, State Operations | $13,277 | $13,418 | $12,890 |

**PROGRAM REQUIREMENTS**
**50 COLLECTIONS**

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$8,352* | *$10,144* | *$10,571* |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *1,039* | *1,155* | *1,155* |
| *0995 Reimbursements* | *1,461* | *1,460* | *1,470* |
| Totals, State Operations | $10,852 | $12,759 | $13,196 |

**PROGRAM REQUIREMENTS**

| | | | |
|---|---|---|---|
| **60 DISBURSEMENTS AND SUPPORT** | $25,612 | $33,938 | $37,597 |
| Amounts Charged to Other Programs: | | | |
| *10 Accounting and Reporting* | *–1,037* | *–1,068* | *–1,068* |
| *20 Audits* | *–1,952* | *–2,061* | *–2,061* |
| *30 Personnel/Payroll Services* | *–1,709* | *–1,733* | *–1,733* |
| *40 Information Systems* | *–1,109* | *–1,119* | *–1,119* |
| *50 Collections* | *–1,278* | *–1,390* | *–1,390* |
| Totals, Amounts Charged to Other Programs | –$7,085 | –$7,371 | –$7,371 |
| Net Totals, Disbursements and Support (State Operations) | $18,527 | $26,567 | $30,226 |

*** Dollars in thousands, except in Salary Range.**

## 0840 STATE CONTROLLER—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$8,015* | *$15,929* | *$20,129* |
| *0890 Federal Trust Fund* | *–* | *16* | *16* |
| *0995 Reimbursements* | *10,512* | *10,622* | *10,081* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $92,998 | $108,082 | $112,021 |
| TOTALS, EXPENDITURES | $92,998 | $108,082 | $112,021 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1,068.6 | 1,262.0 | 1,262.0 | $51,717 | $61,311 | $62,792 |
| Total Adjustments | – | – | 13.0 | – | 47 | 736 |
| Estimated Salary Savings | – | –63.1 | –63.8 | – | –3,307 | –4,284 |
| Net Totals, Salaries and Wages | 1,068.6 | 1,198.9 | 1,211.2 | $51,717 | $58,051 | $59,244 |
| Staff Benefits | – | – | – | 9,240 | 11,160 | 11,331 |
| Totals, Personal Services | 1,068.6 | 1,198.9 | 1,211.2 | $60,957 | $69,211 | $70,575 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $35,046 | $47,248 | $46,986 |
| TOTALS, EXPENDITURES | | | | $96,003 | $116,459 | $117,561 |
| Less funding provided by State Controller's Statewide Information Technology Projects (0841) | | | | –3,005 | –8,377 | –5,540 |
| NET TOTALS, EXPENDITURES | | | | $92,998 | $108,082 | $112,021 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $60,391 | $66,445 | $76,829 |
| Allocation for employee compensation | 3,781 | 193 | – |
| Allocation for contingencies or emergencies | – | 6,392 | – |
| Adjustment per Section 3.60 | –2,251 | –392 | – |
| Totals Available | $61,921 | $72,638 | $76,829 |
| Unexpended balance, estimated savings | –1,386 | – | – |
| TOTALS, EXPENDITURES | $60,535 | $72,638 | $76,829 |

### 0061 Motor Vehicle Fuel Account, Transportation Tax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,915 | $3,029 | $3,021 |
| Allocation for employee compensation | 171 | 4 | – |
| Adjustment per Section 3.60 | –128 | –21 | – |
| Totals Available | $2,958 | $3,012 | $3,021 |
| Unexpended balance, estimated savings | –115 | – | – |
| TOTALS, EXPENDITURES | $2,843 | $3,012 | $3,021 |

### 0062 Highway Users Tax Account, Transportation Tax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $804 | $836 | $836 |
| Allocation for employee compensation | 50 | 1 | – |
| Adjustment per Section 3.60 | –36 | –5 | – |
| Totals Available | $818 | $832 | $836 |
| Unexpended balance, estimated savings | –44 | – | – |
| TOTALS, EXPENDITURES | $774 | $832 | $836 |

*** Dollars in thousands, except in Salary Range.**

## 0840 STATE CONTROLLER—Continued

### 0330 Local Revenue Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $387 | $404 | $404 |
| Allocation for employee compensation | 27 | 1 | – |
| Adjustment per Section 3.60 | −18 | −3 | – |
| TOTALS, EXPENDITURES | $396 | $402 | $404 |

### 0344 State School Building Lease-Purchase Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $699 | $729 | $728 |
| Allocation for employee compensation | 45 | 1 | – |
| Adjustment per Section 3.60 | −32 | −6 | – |
| TOTALS, EXPENDITURES | $712 | $724 | $728 |

### 0494 Various Special Funds [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation | $41 | $42 | $41 |
| Allocation for employee compensation | 2 | – | – |
| Adjustment per Section 3.60 | −2 | −1 | – |
| TOTALS, EXPENDITURES | $41 | $41 | $41 |

### 0797 Various Bond Funds [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation | $162 | $171 | $171 |
| Allocation for employee compensation | 12 | – | – |
| Adjustment per Section 3.60 | −8 | −1 | – |
| TOTALS, EXPENDITURES | $166 | $170 | $171 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,273 | $1,330 | $1,325 |
| Allocation for employee compensation | 76 | 2 | – |
| Adjustment per Section 3.60 | −56 | −8 | – |
| Budget adjustment | −456 | – | – |
| TOTALS, EXPENDITURES | $837 | $1,324 | $1,325 |

### 0903 State Penalty Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $936 | $975 | $972 |
| Allocation for employee compensation | 57 | 1 | – |
| Adjustment per Section 3.60 | −42 | −7 | – |
| Totals Available | $951 | $969 | $972 |
| Unexpended balance, estimated savings | −51 | – | – |
| TOTALS, EXPENDITURES | $900 | $969 | $972 |

### 0988 Nongovernmental Cost Funds [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (Retail Sales Tax Fund) | $178 | $187 | $187 |
| 011 Budget Act appropriation | 40 | 41 | 40 |
| Allocation for employee compensation | 15 | – | – |
| Adjustment per Section 3.60 | −10 | −2 | – |
| Totals Available | $223 | $226 | $227 |
| Unexpended balance, estimated savings | −4 | – | – |
| TOTALS, EXPENDITURES | $219 | $226 | $227 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $25,575 | $27,744 | $27,467 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $92,998 | $108,082 | $112,021 |

*** Dollars in thousands, except in Salary Range.**

## 0840 STATE CONTROLLER—Continued

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0071 Yosemite Foundation Account, Environmental License Plate Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $840 | – | – |
| Allocation for contingencies or emergencies | – | $840 | – |
| Increased expenditure authority per Provision 1 | 13 | – | – |
| Allocated to Yosemite Foundation Account, ELPF | –853 | –840 | – |
| TOTALS, EXPENDITURES | – | – | – [1] |

[1] 2001–02 expenditures are reflected in 3110 Special Resources Programs.

### 0979 California Firefighters' Memorial Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $95 | – | $710 |
| Increased expenditure authority per Provision 1 | 259 | – | – |
| Allocated to California Firefighters' Memorial Fund | –354 | – | –710 |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $92,998 | $108,082 | $112,021 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 1,068.6 | 1,262.0 | 1,262.0 | $51,717 | $61,311 | $62,792 |
| Salary adjustments | – | – | – | – | 47 | 87 |
| Totals, Adjusted Authorized Positions | 1,068.6 | 1,262.0 | 1,262.0 | $51,717 | $61,358 | $62,879 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions for: | | | | | | |
| Information Technology Project: Budgeted in 0841: | | | | Salary Range | | |
| Assoc Info Sys Analyst-Spec | – | – | –1.5 [1] | 4,110–4,997 | – | –74 |
| Assoc Govtl Prog Analyst-Spec | – | – | –3.0 [1] | 3,915–4,759 | – | –141 |
| Info Sys Techn Spec I | – | – | –1.0 [1] | 3,110–3,780 | – | –37 |
| Subtotal | – | – | –5.5 | – | – | –$252 |
| Unclaimed Property Program—Amnesty: | | | | | | |
| Staff Mgmt Auditor-Spec | – | –0.5 [2] | – | 4,301–5,228 | –26 | – |
| Assoc Mgmt Auditor | – | –1.1 [2] | – | 4,110–4,997 | –54 | – |
| Assoc Govtl Prog Analyst-Spec | – | –0.5 [2] | – | 3,915–4,759 | –23 | – |
| Info Sys Techn Spec I | – | – | –0.9 [2] | 3,110–3,780 | – | –34 |
| Acctg Trainee | – | – | –1.0 [2] | 2,883–3,338 | – | –35 |
| Sr Claim Auditor | – | –0.5 [2] | – | 2,753–3,346 | –17 | – |
| Ofc Svcs Supvr II-Gen | – | – | –2.0 [2] | 2,628–3,195 | – | –63 |
| Staff Svcs Analyst | – | –0.6 [2] | – | 2,507–3,049 | –18 | – |
| Acctg Analyst | – | – | –1.0 [2] | 2,764–3,048 | – | –33 |
| Claim Auditor | – | –0.6 [2] | – | 2,495–3,033 | –18 | – |
| Ofc Techn | – | – | –4.0 [2] | 2,348–2,855 | – | –113 |
| Word Proc Techn | – | – | –1.0 [2] | 2,029–2,648 | – | –24 |
| Ofc Asst-Typing | – | –0.6 [2] | –3.0 [2] | 1,908–2,465 | –14 | –69 |
| Ofc Asst-Gen | – | – | –6.0 [2] | 1,846–2,465 | – | –133 |
| Subtotal | – | –4.4 | –18.9 | – | –$170 | –$504 |
| Motor Vehicle License Fee Rebate: | | | | | | |
| Sr Mgmt Auditor | – | –0.7 [3] | – | 4,963–5,987 | –42 | – |
| Assoc Mgmt Auditor | – | –0.7 [3] | – | 4,110–4,997 | –34 | – |
| Govtl Auditor III | – | –0.6 [3] | – | 4,110–4,997 | –30 | – |
| Sr Claim Auditor | – | –1.1 [3] | – | 2,753–3,346 | –36 | – |
| Ofc Svcs Supvr II | – | –1.1 [3] | – | 2,628–3,195 | –35 | – |

*** Dollars in thousands, except in Salary Range.**

## 0840 STATE CONTROLLER—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Ofc Techn | – | −1.5 [3] | – | $2,348–2,855 | −$42 | – |
| Word Proc Techn | – | −0.7 [3] | – | 2,029–2,648 | −17 | – |
| Ofc Asst-Gen | – | −1.1 [3] | – | 1,846–2,465 | −24 | – |
| Subtotal | – | −7.5 | – | – | −$260 | – |
| Proposed New Positions: | | | | | | |
| Information Technology Project Budgeted in 0841: | | | | | | |
| Assoc Info Sys Analyst-Spec | – | – | 1.0 [1] | 4,110–4,997 | – | $54 |
| Assoc Govtl Prog Analyst-Spec | – | – | 3.5 [1] | 3,915–4,759 | – | 187 |
| Info Sys Techn Spec I | – | – | 1.0 [1] | 3,110–3,780 | – | 41 |
| Subtotal | – | – | 5.5 | – | – | $282 |
| Unclaimed Property Program—Amnesty: | | | | | | |
| Assoc Govtl Prog Analyst | – | 1.2 [2] | 2.0 [2] | 3,915–4,759 | 62 | 104 |
| Acctg Ofcr-Spec | – | 0.5 [2] | 5.0 [2] | 3,418–4,155 | 20 | 226 |
| Acctg Trainee | – | 0.4 [2] | 0.4 [2] | 2,883–3,338 | 17 | 15 |
| Prog Techn III | – | – | 2.0 [2] | 2,626–3,193 | – | 70 |
| Staff Svcs Analyst | – | 0.9 [2] | 2.0 [2] | 2,507–3,049 | 30 | 66 |
| Prog Techn II | – | 0.9 [2] | 4.0 [2] | 2,348–2,855 | 28 | 124 |
| Prog Techn | – | – | 0.5 [2] | 2,029–2,648 | – | 14 |
| Key Data Opr | – | 0.5 [2] | 3.0 [2] | 1,916–2,329 | 13 | 76 |
| Subtotal | – | 4.4 | 18.9 | – | $170 | $695 |
| Motor Vehicle License Fee Rebate: | | | | | | |
| Assoc Mgmt Auditor | – | 0.8 [3] | – | 4,110–4,997 | 48 | – |
| Ofc Svcs Supvr II | – | 0.7 [3] | – | 2,628–3,195 | 27 | – |
| Computer Opr II | – | 0.2 [3] | – | 2,606–3,168 | 7 | – |
| Mailing Mach Opr II | – | 2.4 [3] | – | 2,357–2,862 | 75 | – |
| Ofc Techn | – | 2.7 [3] | – | 2,348–2,855 | 84 | – |
| Microfilm Techn I | – | 0.7 [3] | – | 2,097–2,588 | 19 | – |
| Subtotal | – | 7.5 | – | – | $260 | – |
| Totals, Excess Vacant Positions | – | – | – | – | – | $221 |
| Proposed New Positions: | | | | | | |
| Motor Vehicle License Fee Rebate: | | | | | | |
| Assoc Mgmt Auditor | – | – | 1.0 [4] | 4,110–4,997 | – | 54 |
| Ofc Svcs Supvr II | – | – | 1.0 [4] | 2,628–3,195 | – | 35 |
| Computer Opr | – | – | 0.5 [4] | 2,606–3,168 | – | 17 |
| Mailing Mach Opr II | – | – | 3.6 [4] | 2,357–2,862 | – | 112 |
| Ofc Techn | – | – | 5.4 [4] | 2,348–2,855 | – | 168 |
| Microfilm Techn II | – | – | 1.5 [4] | 2,254–2,738 | – | 42 |
| Subtotal | – | – | 13.0 | – | – | $428 |
| Medi-Cal Program Audits: | | | | | | |
| Staff Mgmt Auditor-Spec | – | – | (2.0) [5] | 4,301–5,228 | – | (63) |
| Assoc Mgmt Auditor | – | – | (12.0) [5] | 4,110–4,997 | – | (360) |
| Subtotal | – | – | (14.0) | – | – | ($423) |
| Totals, Proposed New Positions | – | – | 13.0 | – | – | $428 |
| Total Adjustments | – | – | 13.0 | – | $47 | $736 |
| TOTALS, SALARIES AND WAGES | 1,068.6 | 1,262.0 | 1,275.0 | $51,717 | $61,358 | $63,528 |

[1] Continuing redirection of existing unfunded positions for TEC through 6/30/02.
[2] Utilize existing unfunded positions for the Unclaimed Property Amnesty Program through 6/30/02.
[3] Utilize existing unfunded positions for the Motor Vehicle License Fee Rebate Program for 2000–01 only.
[4] New limited-term positions for the Motor Vehicle License Fee Rebate Program—2.5 positions through 6/30/02 and 10.5 positions through 6/30/03.
[5] Continuing existing positions for 3 years (2001–02 through 2003–04) for the Medi-Cal Program Audits.

## 0841 STATE CONTROLLER'S STATEWIDE INFORMATION TECHNOLOGY PROJECTS

The State Controller currently maintains and administers the Human Resource Management and Automated Statewide Travel Expense Reimbursement systems for the State. Since these two projects are infrastructure activities for the benefit of State government, these expenditures are reflected in this budget. The new Human Resource Management System will have the ability to manage both centralized and decentralized

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0841 STATE CONTROLLER'S STATEWIDE INFORMATION TECHNOLOGY PROJECTS—Continued

human resource information. It will have the flexibility to adapt and meet the human resource needs of individual departments and information access will be available to line managers and individual employees. The new Automated Statewide Travel Expense Reimbursement System will reduce the processing, review and approval time and will result in more efficient and accurate processing of these claims. This system is funded with reimbursements from various departments. The State Controller's Office will continue to administratively manage these projects using its own existing positions as shown in the Controller's budget (0840).

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Human Resource Management System and Automated Statewide Travel Expense Reimbursement System | – | – | – | $3,005 | $8,377 | $5,540 |
| *0001 General Fund* | | | | *1,470* | *7,792* | *4,058* |
| *0995 Reimbursements* | | | | *1,535* | *585* | *1,482* |

### 10 STATEWIDE INFORMATION TECHNOLOGY PROJECTS

**Major Budget Adjustment Proposed for 2001–02**

- A one-time one-year augmentation of $1,482,000 from reimbursements for the implementation, maintenance, and support of a new Automated Statewide Travel Expense Reimbursement System. This amount provides funding for 5.5 existing unfunded positions within the Controller's Office.

**Authority**

Constitution, Article XVI, Section 7 and Government Code Section 12400, et seq.

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $1,813 | $7,792 | $4,058 |
| Unexpended balance, estimated savings | –343 | – | – |
| TOTALS, EXPENDITURES | $1,470 | $7,792 | $4,058 |
| **0995 Reimbursements** | | | |
| Reimbursements | $1,535 | $585 | $1,482 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,005 | $8,377 | $5,540 |

---

## 0845 DEPARTMENT OF INSURANCE

The office of the Insurance Commissioner has the responsibility to enforce the insurance law found in the California Insurance Code. The role of the Insurance Commissioner is to regulate the insurance industry, thereby protecting California consumers from abusive insurance practices.

The Department regulates the largest insurance market in the United States with over $80 billion in direct premiums written in the state. In fulfilling its responsibility to protect California's insurance policyholders, the Department conducts examinations of insurance companies and producers to ensure that operations are consistent with the requirements of the Insurance Code and that insurance companies are financially viable and able to meet their obligations to policyholders and claimants. The Department also investigates complaints and responds to consumer inquiries; administers the conservation and liquidation of insolvent and delinquent insurance companies; reviews and approves insurance rates; and is a major contributor in combating insurance fraud.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Regulation of Insurance Companies and Insurance Producers | 363.5 | 433.2 | 433.2 | $50,640 | $55,357 | $57,408 |
| 12 Consumer Protection | 257.6 | 362.9 | 354.4 | 33,142 | 40,057 | 39,343 |
| 20 Fraud Control | 191.2 | 289.1 | 289.1 | 49,362 | 61,067 | 63,031 |
| 30 Tax Collection and Audit | 8.0 | 11.4 | 11.4 | 755 | 1,128 | 1,131 |
| 40 Earthquake Grants and Loans | 2.7 | 2.9 | 2.9 | 1,212 | 1,865 | 1,965 |
| 50.01 Administration | 188.3 | 208.5 | 208.5 | 15,571 | 16,460 | 16,994 |
| 50.02 Distributed Administration | – | – | – | –15,571 | –16,460 | –16,994 |
| TOTALS, PROGRAMS | 1,011.3 | 1,308.0 | 1,299.5 | $135,111 | $159,474 | $162,878 |
| *0001 General Fund* | | | | *4,088* | *1,128* | *1,131* |
| *0217 Insurance Fund* | | | | *129,535* | *155,613* | *159,072* |
| *0285 California Residential Earthquake Recovery Fund* | | | | *1,212* | *1,865* | *1,965* |
| *0548 Title Insurance Fund* | | | | *268* | *158* | – |
| *0995 Reimbursements* | | | | *8* | *710* | *710* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0845 DEPARTMENT OF INSURANCE—Continued

### 10 REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

#### Program Objectives Statement

The objectives of this program are: (1) to prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers, (2) to prevent unlawful or unfair practices by insurers as defined by the Insurance Code. To accomplish the objectives of this program, the Department conducts field examinations, regulates rates, maintains solvency surveillance, regulates proxy solicitations, manages financially distressed companies, admits qualified companies, maintains surveillance of admitted and surplus lines companies, reviews policy forms, investigates consumer complaints, and assures that producers are properly qualified and licensed.

#### Major Budget Adjustments Proposed for 2001–02

- Continuation of 0.9 personnel year and $90,000 Insurance Fund, to make permanent the limited-term position approved in the 1999–00 fiscal year for the California Organized Investment Network (COIN). The purpose of COIN is to provide leadership by increasing the level of insurance industry capital in safe and sound investments providing fair returns to investors and social benefits to underserved communities in California.
- An increase of $1,219,000 Insurance Fund for increased rent costs.
- An increase of $216,000 Insurance Fund and a redirection of 1.9 personnel years from existing position authority to implement the provisions of Chapter 321, Statutes of 2000 (AB 393) which creates and establishes fees for two new types of production agency licenses.
- An increase of $264,000 Insurance Fund and a redirection of 2.8 personnel years from existing position authority to address Business Process Reengineering opportunities, E-Government projects and to audit training providers for both Pre-Licensing and Continuing Education courses as specified within Sections 2186–2188.9 of the California Code of Regulations.

#### Authority

Insurance Code, Sections 1–12979, and 12997–15003.

### 12 CONSUMER PROTECTION

#### Program Objectives Statement

The objectives of this program are to provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair practices and excessive or discriminatory rates and to protect the general public and policyholders from discriminatory, unlawful or fraudulent practices as well as incompetence relating to the sale of insurance. To accomplish the objectives of this program, the Department has devoted a number of activities to consumer issues. An "800" hotline is maintained to respond to individual requests for information and consumer complaints. The hotline also conducts an outreach program which gives presentations to insurer and consumer groups and provides information at disaster sites. Two written-case bureaus specialize in handling written consumer complaints regarding detailed claims or rating and underwriting issues. All three of these direct consumer contact units act to mediate complaints, educate consumers, and identify violations of law. The Department performs market conduct examinations on-site at insurer locations to follow-up on trends in consumer complaints and review overall claims, underwriting and rating practices to evaluate compliance with insurance laws. The Department also deploys investigators who work to identify and curtail illegal practices taken by insurance producers and unlicensed entities. The Department's Legal Division also focuses on taking formal enforcement action based on referrals from program units.

#### Major Budget Adjustments Proposed for 2001–02

- Continuation of 15.2 personnel years and $1,506,000 on a 2-year limited-term basis to address a backlog of investigations and address increasing investigation workload.
- Continuation of 4.7 personnel years and $371,000 Insurance Fund to convert limited-term positions to permanent full-time status to continue the in-depth review of consumer insurance claims complaints.
- An increase of $241,000 Insurance Fund and a redirection of 0.9 personnel year from existing position authority to annually produce a comprehensive consumer rate guide for Medicare supplemental insurance as mandated by Chapter 707, Statutes of 2000.
- An increase of $187,000 Insurance Fund and a redirection of 0.9 personnel year from existing position authority to annually produce a comprehensive consumer rate guide for long-term care insurance as mandated by Chapter 699, Statutes of 1999 in conjunction with Chapter 560, Statutes of 2000.
- An increase of $58,000 Insurance Fund for increased rent costs.

#### Authority

Insurance Code, Sections 510, 730, 1857–1858, 10089.7, 12921.1–12921.4, and 12950.

### 20 FRAUD CONTROL

#### Program Objectives Statement

The objective of this program is to protect the public from economic loss and distress by actively investigating and arresting those who commit insurance fraud and to reduce the overall incidence of insurance fraud through anti-fraud outreach to the public, private and governmental sectors.

The staff is primarily certified peace officers who conduct criminal investigations working with both county district attorneys and federal prosecutors to prepare insurance fraud-related criminal cases for prosecution. The investigators serve warrants, make arrests and provide testimony and evidence.

The program supports outreach and education efforts to the public, insurance industry and governmental entities to further reduce insurance fraud through prevention and identification of fraud and causing efficiency of governmental action to impact on insurance fraud.

The program also administers funding to local district attorneys for the prosecution of workers' compensation and automobile insurance fraud crimes.

*** Dollars in thousands, except in Salary Range.**

## 0845 DEPARTMENT OF INSURANCE—Continued

### Major Budget Adjustments Proposed for 2001–02

- An increase of $1,818,000 Insurance Fund and a redirection of 11.4 personnel years from existing position authority to implement the Employment Misrepresentation Task Force. The mission of the task force is to protect the public and honest employers from economic loss and distress by actively investigating, arresting, and prosecuting those who commit workers' compensation premium fraud. This amount includes $1,305,000 in State Operations and $513,000 in Local Assistance funding to support efforts by local district attorneys.
- An increase of $734,000 Insurance Fund (one-time funding) to purchase specialized surveillance equipment to support the investigation of automobile fraud activities.
- An increase of $242,000 Insurance Fund (one-time replacement costs) for the replacement of high mileage vehicles used during fraud investigations.
- An increase of $412,000 Insurance Fund for increased rent costs.

### Authority

Insurance Code Sections, Chapter 12, Sections 1871–1879.

## 30 TAX COLLECTION AND AUDIT

### Program Objectives Statement

This program performs insurance tax collection, accounting, tax audits, proposes tax adjustments, monitors payment requirements and assists the Board of Equalization in determining various refund and assessment matters relative to insurers and surplus line brokers. Program staff audits returns to determine compliance with the rules and regulations contained in both the Insurance and Revenue and Taxation Codes.

### Authority

Insurance Code, Sections 1774–1780.
Revenue and Taxation Code, Part 7 of Division 2.

## 40 EARTHQUAKE GRANTS AND LOANS

### Program Objectives Statement

This program provides residential grants and loans to retrofit high-risk residential dwellings owned or occupied by low and moderate income households to minimize the risk of future earthquake damage to those dwellings. Since the program's inception in 1996, the Department has funded the retrofitting of approximately 770 homes through its' grant program. In addition, 14 homes have been retrofitted through the loan program. The Department anticipates that 480 more homes will be retrofitted during fiscal year 2000–01 and another 400 homes in fiscal year 2001–02. The program is authorized to be in effect until July 1, 2003.

### Authority

Chapter 899, Statutes of 1995.
Chapter 796, Statutes of 1999.

## 50 ADMINISTRATION

### Program Objectives Statement

The Administration Program provides the overall policy direction for the Department from the Commissioner's Office as well as administrative support services. Effective with a departmental reorganization on October 2, 2000, this program consists of: a Special Projects Office to coordinate special priority projects as directed by the Commissioner; a Legislative Office to support consumers through legislative advocacy; an Internal Audits/Information Security Office to ensure adherence to federal, state, and CDI policies, mandates and procedures; a Media Relations Office to provide information to the public and the media about CDI's mission to protect California consumers; a Strategic Planning, Policy and Research Office to oversee strategic planning, and coordinate CDI's involvement with national affairs such as NAIC, and issues related to Federal Financial Modernization (Gramm-Leach-Bliley Act). The support services in the Administration & Licensing Services Branch provide budget and revenue management, accounting, human resources, and business management services for the department; and the E-Government & Technology Solutions Branch provides the blueprint to implement regulatory practices compatible with new technological advancements as well as all other IT maintenance and support activities. Due to the current departmental reorganization in progress, the funding to support Licensing Services will be reflected in this program beginning in FY 2002–03 Governor's Budget.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $230,000 Insurance Fund and a redirection of 1.9 personnel years from existing position authority to assist the Information Technology User Help Desk. The Information Technology Help Desk supports all Department of Insurance employees in 15 locations throughout California.
- An increase of $273,000 Insurance Fund for increased rent costs.

### Authority

Chapter 722, Statutes of 1982.

*** Dollars in thousands, except in Salary Range.**

## 0845 DEPARTMENT OF INSURANCE—Continued

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**
**10 REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS**

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10.30 Rate Regulation | $18,482 | $19,378 | $19,899 |
| 10.40 Regulatory | 13,661 | 18,388 | 18,990 |
| 10.50 Licensing/Compliance | – | – | – |
| 10.51 Licensing | 15,326 | 13,162 | 14,046 |
| 10.70 Special Programs | 3,171 | 4,429 | 4,473 |
| Totals, State Operations | $50,640 | $55,357 | $57,408 |
| State Operations: | | | |
| *0217 Insurance Fund* | *50,364* | *54,489* | *56,698* |
| *0548 Title Insurance Fund* | *268* | *158* | *–* |
| *0995 Reimbursements* | *8* | *710* | *710* |

**PROGRAM REQUIREMENTS**
**12 CONSUMER PROTECTION**

| State Operations: | | | |
|---|---|---|---|
| 12.10 Legal Compliance | $7,666 | $9,906 | $8,629 |
| 12.20 Investigations | 8,575 | 10,378 | 10,716 |
| 12.30 Consumer Services and Market Conduct | 16,901 | 19,773 | 19,998 |
| Totals, State Operations | $33,142 | $40,057 | $39,343 |
| State Operations: | | | |
| *0001 General Fund* | *3,333* | *–* | *–* |
| *0217 Insurance Fund* | *29,809* | *40,057* | *39,343* |

**PROGRAM REQUIREMENTS**
**20 FRAUD CONTROL**

| 0217 Insurance Fund: | | | |
|---|---|---|---|
| State Operations: | | | |
| 20.10 Fraud-Auto | $11,133 | $14,515 | $15,385 |
| 20.20 Fraud-Workers' Compensation | 9,796 | 13,021 | 13,537 |
| 20.30 Fraud-General Assessment | 1,336 | 1,628 | 1,693 |
| Totals, State Operations | $22,265 | $29,164 | $30,615 |
| Local Assistance: | | | |
| 20.10 Fraud-Auto | 10,567 | 15,130 | 15,130 |
| 20.20 Fraud-Workers' Compensation | 16,530 | 16,773 | 17,286 |
| Totals, Local Assistance | $27,097 | $31,903 | $32,416 |
| State Operations: | | | |
| *0217 Insurance Fund* | *22,265* | *29,164* | *30,615* |
| Local Assistance: | | | |
| *0217 Insurance Fund* | *27,097* | *31,903* | *32,416* |

**PROGRAM REQUIREMENTS**
**30 TAX COLLECTION AND AUDIT**

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$755* | *$1,128* | *$1,131* |
| Totals, State Operations | $755 | $1,128 | $1,131 |

**PROGRAM REQUIREMENTS**
**40 EARTHQUAKE GRANTS AND LOANS**

| 0285 California Residential Earthquake Recovery Fund: | | | |
|---|---|---|---|
| State Operations | $206 | $265 | $265 |
| Local Assistance | 1,006 | 1,600 | 1,700 |

**TOTAL EXPENDITURES**

| | | | |
|---|---|---|---|
| State Operations | $107,008 | $125,971 | $128,762 |
| Local Assistance | 28,103 | 33,503 | 34,116 |
| TOTALS, EXPENDITURES | $135,111 | $159,474 | $162,878 |

*** Dollars in thousands, except in Salary Range.**

## 0845 DEPARTMENT OF INSURANCE—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1,011.3 | 1,376.8 | 1,345.8 | $55,091 | $74,154 | $74,370 |
| Total Adjustments | – | – | 22.0 | – | 215 | 2,441 |
| Estimated Salary Savings | – | –68.8 | –68.3 | – | –3,720 | –3,819 |
| Net Totals, Salaries and Wages | 1,011.3 | 1,308.0 | 1,299.5 | $55,091 | $70,649 | $72,992 |
| Staff Benefits | – | – | – | 9,714 | 9,535 | 9,709 |
| Totals, Personal Services | 1,011.3 | 1,308.0 | 1,299.5 | $64,805 | $80,184 | $82,701 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $42,203 | $45,787 | $46,061 |
| TOTALS, EXPENDITURES | | | | $107,008 | $125,971 | $128,762 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $735 | $1,137 | $1,131 |
| 011 Budget Act appropriation (Loan to Insurance Fund) | (4,668) | (3,778) | – |
| Allocation for employee compensation | 56 | – | – |
| Adjustment per Section 3.60 | –27 | –9 | – |
| Prior year balances available: | | | |
| Chapter 239, Statutes of 1997 | 3,333 | – | – |
| Totals Available | $4,097 | $1,128 | $1,131 |
| Unexpended balance, estimated savings | –9 | – | – |
| TOTALS, EXPENDITURES | $4,088 | $1,128 | $1,131 |

### 0217 Insurance Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $101,582 | $122,399 | $126,656 |
| Allocation for employee compensation | 5,855 | 364 | – |
| Adjustment per Section 3.60 | –3,936 | –348 | – |
| Adjustment per Section 16.00 | 41 | – | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 160 | – |
| Prior year balances available: | | | |
| Item 0845-001-0217, Budget Act of 1999 as reappropriated by Item 0845-490, Budget Act of 2000 | – | 1,135 | – |
| Chapter 239, Statutes of 1997 | 835 | – | – |
| Annual transfer from General Fund | 3,333 | – | – |
| Chapter 963, Statutes of 1998 as reappropriated by Item 0845-490, Budget Act of 1999 | 1,696 | – | – |
| Totals Available | $109,406 | $123,710 | $126,656 |
| Balance available in subsequent years | –1,135 | – | – |
| Unexpended balance, estimated savings | –2,500 | – | – |
| TOTALS, EXPENDITURES | $105,771 | $123,710 | $126,656 |
| Less funding provided by the General Fund | –3,333 | – | – |
| NET TOTALS, EXPENDITURES | $102,438 | $123,710 | $126,656 |

### 0285 California Residential Earthquake Recovery Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Transfer from Local Assistance per Chapter 899, Statutes of 1995 as amended by Chapter 796, Statutes of 1999 | $265 | $265 | $265 |
| Allocation for employee compensation | 13 | – | – |
| Adjustment per Section 3.60 | –9 | – | – |
| Totals Available | $269 | $265 | $265 |
| Unexpended balance, estimated savings | –63 | – | – |
| TOTALS, EXPENDITURES | $206 | $265 | $265 |

*** Dollars in thousands, except in Salary Range.**

## 0845 DEPARTMENT OF INSURANCE—Continued

### 0548 Title Insurance Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $260 | $158 | – |
| Allocation for employee compensation | 16 | – | – |
| Adjustment per Section 3.60 | –8 | – | – |
| TOTALS, EXPENDITURES | $268 | $158 | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $8 | $710 | $710 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $107,008 | $125,971 | $128,762 |

---

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 2 LOCAL ASSISTANCE
### 0217 Insurance Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $27,097 | $31,903 | $32,416 |

### 0285 California Residential Earthquake Recovery Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapter 899, Statutes of 1995 as amended by Chapter 796, Statutes of 1999 | $2,833 | $4,962 | $3,097 |
| Transfer to State Operations per Chapter 796, Statutes of 1999 | –265 | –265 | –265 |
| Increased expenditure authority per Chapter 796, Statutes of 1999 | 3,400 | – | – |
| Totals Available | $5,968 | $4,697 | $2,832 |
| Balance available in subsequent years | –4,962 | –3,097 | –1,132 |
| TOTALS, EXPENDITURES | $1,006 | $1,600 | $1,700 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $28,103 | $33,503 | $34,116 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $135,111 | $159,474 | $162,878 |

---

## FUND CONDITION STATEMENT
### 0217 Insurance Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $27,630 | $39,551 | $42,674 |
| Prior year adjustments | 7,214 | – | – |
| Balance, Adjusted | $34,844 | $39,551 | $42,674 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 123100 Insurance Company License Fees and Penalties | 23,504 | 23,500 | 23,500 |
| 123200 Insurance Company Examination Fees | 14,731 | 18,033 | 16,497 |
| 127100 Insurance Department Fees, Prop. 103 | 15,184 | 21,126 | 21,412 |
| 127200 Insurance Department Fees, General | 13,043 | 13,000 | 13,000 |
| 127300 Insurance Fraud Assessment, Workers' Compensation | 30,569 | 31,496 | 31,496 |
| 127400 Insurance Fraud Assessment, Auto | 32,059 | 42,446 | 38,731 |
| 127500 Insurance Fraud Assessment, General | 1,384 | 1,431 | 1,860 |
| 131600 Fingerprint Identification Card Fees | 4 | – | – |
| 141200 Sales of Documents | 16 | 16 | 16 |
| 142500 Miscellaneous Services to the Public | 290 | 290 | 290 |
| 150300 Income From Surplus Money Investments | 2,291 | 2,200 | 2,200 |
| 161000 Escheat of Unclaimed Checks and Warrants | 80 | – | – |
| 161400 Miscellaneous Revenue | 79 | 80 | 80 |
| 161900 Other Revenue-Cost Recoveries | 1,510 | 1,561 | 1,561 |
| Totals, Revenues | $134,744 | $155,179 | $150,643 |

---

*** Dollars in thousands, except in Salary Range.**

## 0845 DEPARTMENT OF INSURANCE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Transfers from Other Funds: | | | |
| F00001 General Fund loan per Item 0845-011-0001, Budget Act of 1999 and 2000 | – | $3,778 | – |
| F00970 Unclaimed Property Fund per Civil Code Section 1523 (Chapter 963, Statutes of 1998) | – | – | – |
| Totals, Transfers from Other Funds | – | $3,778 | – |
| Totals, Revenues and Transfers | $134,744 | $158,957 | $150,643 |
| Totals, Resources | $169,588 | $198,508 | $193,317 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0845 Department of Insurance: | | | |
| State Operations | 105,771 | 123,710 | 126,656 |
| Local Assistance | 27,097 | 31,903 | 32,416 |
| 9670 Legislative Claims (State Operations) | 502 | 221 | – |
| Totals, Disbursements | $133,370 | $155,834 | $159,072 |
| Expenditure Reductions: | | | |
| 0845 Department of Insurance (State Operations) | | | |
| Less funding provided by the General Fund | –3,333 | – | – |
| Totals, Expenditure Reductions | –$3,333 | – | – |
| Totals, Expenditures | $130,037 | $155,834 | $159,072 |
| FUND BALANCE | $39,551 | $42,674 | $34,245 |
| Reserve for economic uncertainties | 39,551 | 42,674 | 34,245 |

NOTE: Ending Fund Balance for 0217 Insurance Fund contains moneys restricted for the purpose of Proposition 103 and fraud activities.

### 0285 California Residential Earthquake Recovery Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $6,977 | $6,260 | $4,824 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 495 | 430 | 430 |
| Totals, Resources | $7,472 | $6,690 | $5,254 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0845 Department of Insurance: | | | |
| State Operations | 206 | 265 | 265 |
| Local Assistance | 1,006 | 1,600 | 1,700 |
| 9670 Legislative Claims (State Operations) | – | 1 | – |
| Totals, Disbursements | $1,212 | $1,866 | $1,965 |
| FUND BALANCE | $6,260 | $4,824 | $3,289 |
| Reserve for economic uncertainties | 6,260 | 4,824 | 3,289 |

### 0548 Title Insurance Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $271 | $270 | $112 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 127200 General Insurance Department Fees | 267 | – | – |
| Totals, Resources | $538 | $270 | $112 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0845 Department of Insurance (State Operations) | 268 | 158 | – |
| FUND BALANCE | $270 | $112 | $112 |
| Reserve for economic uncertainties | 270 | 112 | 112 |

*** Dollars in thousands, except in Salary Range.**

## 0845 DEPARTMENT OF INSURANCE—Continued

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 1,011.3 | 1,376.8 | 1,345.8 | $55,091 | $74,154 | $74,370 |
| Salary adjustments | – | – | – | – | 215 | 284 |
| Totals, Adjusted Authorized Positions | 1,011.3 | 1,376.8 | 1,345.8 | $55,091 | $74,369 | $74,654 |
| Proposed New Positions (Continuation of Expiring Limited-Term Positions): | | | | | | |
| Administration Branch: | | | | Salary Range | | |
| Staff Info Sys Analyst-Spec | – | – | (2.0) | 4,507–5,480 | – | 119 |
| Consumer Services and Market Conduct Branch: | | | | | | |
| Sr Ins Policy Ofcr | – | – | 1.0 | 4,550–5,490 | – | 60 |
| Assoc Ins Policy Ofcr | – | – | 4.0 | 4,110–4,997 | – | 218 |
| Assoc Ins Policy Ofcr | – | – | (1.0) | 4,110–4,997 | – | 54 |
| Ofc Asst-Typing | – | – | (1.0) | 1,908–2,465 | – | 27 |
| Enforcement Branch: | | | | | | |
| Supvng Ins Investigator [1] | – | – | 1.0 | 4,769–5,758 | – | 63 |
| Assoc Ins Investigator [1] | – | – | 4.0 | 4,143–4,999 | – | 219 |
| Assoc Govtl Prog Analyst | – | – | (1.0) | 3,915–4,759 | – | 52 |
| Ins Investigator [1] | – | – | 10.0 | 2,642–4,546 | – | 455 |
| Staff Svcs Mgmt Auditor | – | – | (2.0) | 2,507–3,957 | – | 80 |
| Staff Svcs Analyst | – | – | (1.0) | 2,507–3,957 | – | 36 |
| Ofc Techn-Typing [1] | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Prog Techn II | – | – | (1.0) | 2,348–2,855 | – | 31 |
| Executive Branch: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Fraud Branch: | | | | | | |
| Fraud Investigator | – | – | (4.0) | 3,307–4,999 | – | 240 |
| Gen Auditor III | – | – | (7.0) | 4,110–4,997 | – | 381 |
| Staff Svcs Analyst | – | – | (1.0) | 2,507–3,957 | – | 39 |
| Totals, Proposed New Positions | – | – | 22.0 | – | – | $2,157 |
| Total Adjustments | – | – | 22.0 | – | $215 | $2,441 |
| TOTALS, SALARIES AND WAGES | 1,011.3 | 1,376.8 | 1,367.8 | $55,091 | $74,369 | $76,811 |

[1] Limited-term, expires 6/30/03.

## 0850 CALIFORNIA STATE LOTTERY COMMISSION

The California Constitution authorizes the establishment of a statewide lottery. An initiative statute, the California State Lottery Act of 1984, created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The primary purpose of the Act is to provide supplemental monies to benefit public education without the imposition of additional or increased taxes. The Lottery is administered by a five-person Commission appointed by the Governor with the concurrence of the State Senate.

The statute requires that not less than 84 percent of the total annual revenues from the sale of state lottery tickets shall be returned to the public in the form of prizes and net revenues to benefit public education. Fifty (50) percent of the total annual revenues shall be returned to the public in the form of prizes. At least 34 percent of those revenues shall be allocated to the benefit of public education, and no more than 16 percent of the revenues are to be used for administrative costs. The Commission may also use a portion of its administrative funds to pay for prizes in order to increase sales and revenues to education. Those revenues allocated to the benefit of public education are to be placed in a special fund, known as the California State Lottery Education Fund, which is appropriated for the benefit of public education and which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following four categories: K–12 education, Community Colleges, the California State University and the University of California. These funds, which augment, rather than replace, funds already allocated for public education, are to be spent exclusively for instructional purposes, and may not be spent for acquisition of real property, construction of facilities, financing of research, or other noninstructional purposes.

By a legislative initiative in March 2000, the Lottery Act was amended to provide that one-half of the amount of the share allocated to public education in excess of the amount allocated to education in fiscal year 1997–98 shall be allocated to school and community college districts for the purchase of instructional materials.

In the 15 years from the start of sales in October 1985 through June 30, 2000, the California State Lottery has raised over $11.7 billion for public education.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2000–01 and 2001–02 cannot be made with certainty.

### Authority

California Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880–8880.72).

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0850 CALIFORNIA STATE LOTTERY COMMISSION—Continued

| STATEMENT OF OPERATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Lottery sales | $2,598,379 | $2,850,000 | $2,850,000 |
| Less prizes | 1,369,435 | 1,500,225 | 1,500,225 |
| Sales after prizes | $1,228,944 | $1,349,775 | $1,349,775 |
| Less Game Costs: | | | |
| Retailer costs | 179,242 | 196,509 | 196,509 |
| On-line game costs | 38,644 | 44,351 | 44,351 |
| Off-line game costs | 35,805 | 36,852 | 36,852 |
| Totals, Game Costs | $253,691 | $277,712 | $277,712 |
| Income before operating expenses | 975,253 | 1,072,063 | 1,072,063 |
| Operating Expenses: | | | |
| Salaries, wages and benefits | 36,976 | 39,532 | 39,532 |
| Advertising | 24,480 | 25,614 | 25,614 |
| Promotion, public relations and point of sale | 8,459 | 8,529 | 8,529 |
| Other professional services | 4,787 | 8,011 | 8,011 |
| Depreciation and amortization | 7,546 | 8,159 | 8,159 |
| Other general and administrative expenses | 6,777 | 13,218 | 13,218 |
| Total Operating Expenses | $89,025 | $103,063 | $103,063 |
| Operating income | 886,228 | 969,000 | 969,000 |
| Interest income | 21,390 | 21,000 | 21,000 |
| Other income | 153 | – | – |
| Net Income | $907,771 | $990,000 | $990,000 |
| Unclaimed on-line prizes | 40,732 | 35,000 | 35,000 |
| NET INCOME, DUE TO EDUCATION FUND | $948,503 | $1,025,000 | $1,025,000 |

### 0562 State Lottery Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (revised estimated expenditures) | ($344,250) | ($344,250) | ($344,250) |

### DISTRIBUTION OF STATE LOTTERY EDUCATION FUND REVENUES

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Department of Education | $769,959 | $826,447 | $826,447 |
| California Community Colleges | 126,317 | 137,163 | 137,163 |
| California State University/California Maritime Academy | 32,155 | 37,355 | 37,355 |
| University of California | 18,957 | 21,996 | 21,996 |
| Hastings College of Law | 130 | 148 | 148 |
| California Youth Authority | 614 | 726 | 726 |
| State Special Schools | 136 | 140 | 140 |
| Department of Developmental Services | 146 | 560 | 560 |
| Department of Mental Health | 89 | 465 | 465 |
| TOTALS | $948,503 | $1,025,000 | $1,025,000 |

## 0855 CALIFORNIA GAMBLING CONTROL COMMISSION

Chapter 867, Statutes of 1997 (SB 8) created, effective January 1, 1999, the California Gambling Control Commission (Commission). Pursuant to statute, the five member Commission is appointed by the Governor, subject to Senate confirmation, and is vested with jurisdiction over gambling establishments in this state and over all persons or things having to do with the operations of gambling establishments in this state, including jurisdiction over operation concentration, and supervision. Specifically, the Commission's responsibilities include:

- Assuring that licenses, approval, and permits are not issued to, or held by, unqualified or disqualified persons, or by persons whose operations are conducted in a manner that is inimical to the public health, safety, or welfare, and
- Assuring that there is not material involvement directly, or indirectly, with a licensed gambling operation, or the ownership or management thereof, by unqualified or disqualified persons, or by persons whose operations are conducted in a manner that is inimical to the public health, safety, or welfare.

### Major Budget Adjustment Included in 2000–01

- An augmentation of $2,387,000 ($646,000 Gambling Control Fund, $1,741,000 Indian Gaming Special Distribution Fund) and 15.6 personnel years to provide for the partial-year operation of the Commission, including staff, operating expenses, and equipment. Current year appropriation authority is proposed in Administration-sponsored legislation authorizing an augmentation to the Commission's existing Budget Act appropriation from the Gambling Control Fund, and authorizing a General Fund loan to the Indian Gaming Special Distribution Fund, from which the balance of the Commission's operating budget will be supported.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0855 CALIFORNIA GAMBLING CONTROL COMMISSION—Continued

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $3,479,000 ($719,000 Gambling Control Fund, $2,760,000 Indian Gaming Special Distribution Fund) and 32.3 personnel years to provide full-scale, ongoing support for the Commission. A General Fund loan to the Indian Gaming Special Distribution Fund in support of the Commission's operating budget is also proposed.

### Authority

Business and Professions Code, Division 8, Chapter 5, Article 2, Section 19810A–19823A, Article 3 (commencing with Section 19830A).

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 California Gambling Control Commission | – | 20.1 | 42.8 | – | $2,962 | $4,695 |
| 0366 Indian Gaming Revenue Sharing Trust Fund | | | | – | 1 | 1 |
| 0367 Indian Gaming Special Distribution Fund | | | | – | 1,741 | 2,760 |
| 0567 Gambling Control Fund | | | | – | 1,220 | 1,934 |

| SUMMARY BY OBJECT 1 STATE OPERATIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | – | 5.5 | 11.0 | – | $411 | $824 |
| Total Adjustments | – | 15.6 | 34.0 | – | 963 | 1,856 |
| Estimated Salary Savings | – | –1.0 | –2.2 | – | –69 | –134 |
| Net Totals, Salaries and Wages | – | 20.1 | 42.8 | – | $1,305 | $2,546 |
| Staff Benefits | – | – | – | – | 305 | 665 |
| Totals, Personal Services | – | 20.1 | 42.8 | – | $1,610 | $3,211 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $1,351 | $1,483 |
| TOTALS, EXPENDITURES | | | | – | $2,961 | $4,694 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 011 Budget Act appropriation (loan to Indian Gaming Special Distribution Fund—0367) | – | – | ($2,760) |
| Pending legislation (loan to Indian Gaming Special Distribution Fund—0367) | – | ($1,741) | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0367 Indian Gaming Special Distribution Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $2,760 |
| Pending legislation | – | $1,741 | – |
| TOTALS, EXPENDITURES | – | $1,741 | $2,760 |

### 0567 Gambling Control Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,128 | $576 | $1,934 |
| Allocation for employee compensation | 51 | 4 | – |
| Allocation per pending legislation | – | 646 | – |
| Adjustment per Section 3.60 | –54 | –6 | – |
| Totals Available | $1,125 | $1,220 | $1,934 |
| Unexpended balance, estimated savings | –1,125 | – | – |
| TOTALS, EXPENDITURES | – | $1,220 | $1,934 |
| TOTALS, EXPENDITURES (State Operations) | – | $2,961 | $4,694 |

*** Dollars in thousands, except in Salary Range.**

## 0855 CALIFORNIA GAMBLING CONTROL COMMISSION—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0366 Indian Gaming Revenue Sharing Trust Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriations (expenditures) | – | $1 | $1 |
| TOTALS, EXPENDITURES (Local Assistance) | – | $1 | $1 |
| TOTALS, EXPENDITURES (State Operations and Local Assistance) | – | $2,962 | $4,695 |

### FUND CONDITION STATEMENT
### 0367 Indian Gaming Special Distribution Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers: | | | |
| Transfers from: | | | |
| F00001 General Fund loan per Government Code Section 16351 | $1,454 | – | – |
| F00001 General Fund loan per 0820-011-0001, Budget Acts of 2000 and 2001 | – | $8,135 | $7,809 |
| F00001 General Fund loan per 8380-011-0001, Budget Act of 2000 | – | 400 | – |
| F00001 General Fund loan per pending legislation | – | 1,741 | – |
| F00001 General Fund loan per 0855-011-0001, Budget Act of 2001 | – | – | 2,760 |
| Totals, Transfers from Other Funds | $1,454 | $10,276 | $10,569 |
| Totals, Revenues and Transfers | $1,454 | $10,276 | $10,569 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 1,454 | 8,135 | 7,809 |
| 0855 California Gambling Control Commission (State Operations) | – | 1,741 | 2,760 |
| 8380 Department of Personnel Administration (State Operations) | – | 400 | – |
| Totals, Disbursements | $1,454 | $10,276 | $10,569 |
| FUND BALANCE | – | – | – |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 5.5 | 11.0 | – | $411 | $824 |
| Proposed New Positions: | | | | | | |
| Executive Office: | | | | Salary Range | | |
| Commissioners | – | 4.2 | 5.0 | $9,516 | 480 | 571 |
| C.E.A. V (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 8,493–11,113 | 56 | 113 |
| C.E.A. III (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 7,648–8,024 | 48 | 96 |
| Staff Mgmt Auditor (1.0 position effective 3/1/00) | – | 0.4 | 1.0 | 4,520–5,453 | 24 | 60 |
| Assoc Mgmt Auditor | – | – | 1.0 | 4,110–4,997 | – | 54 |
| Exec Asst (4.0 positions effective 1/1/00) | – | 2.0 | 4.0 | 2,926–3,556 | 77 | 155 |
| Ofc Techn (1.0 position effective 4/1/00) | – | 0.3 | 1.0 | 2,348–2,855 | 9 | 31 |
| Legal Division: | | | | | | |
| Chief Counsel II (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 8,242–9,268 | 56 | 113 |
| Staff Counsel (1.0 position effective 1/4/00) | – | 0.3 | 1.0 | 5,067–6,127 | 18 | 61 |
| Assoc Govtl Prog Analyst (1.0 position effective 4/1/00) | – | 0.3 | 1.0 | 3,915–4,759 | 16 | 54 |
| Legal Secty (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 2,704–3,286 | 19 | 38 |
| Ofc Techn (1.0 position effective 4/1/00) | – | 0.3 | 1.0 | 2,348–2,855 | 9 | 31 |

*** Dollars in thousands, except in Salary Range.**

## 0855 CALIFORNIA GAMBLING CONTROL COMMISSION—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Legislative Office: | | | | Salary Range | | |
| C.E.A. I (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | $5,493–6,953 | $38 | $76 |
| Assoc Govtl Prog Analyst (1.0 position effective 4/1/00) | – | 0.3 | 1.0 | 3,915–4,759 | 16 | 52 |
| Ofc Techn (1.0 position effective 4/1/00) | – | 0.5 | 1.0 | 2,348–2,855 | 16 | 31 |
| Public Information Office: | | | | | | |
| C.E.A. I (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 5,493–6,953 | 38 | 76 |
| Licensing Oversight Division: | | | | | | |
| C.E.A. I (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 5,493–6,953 | 38 | 76 |
| Spec Agent Supvr (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 4,876–6,036 | 31 | 62 |
| Inv Auditor IV (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 4,301–5,228 | 28 | 57 |
| Inv Auditor III (2.0 positions effective 3/1/00) | – | 0.8 | 2.0 | 4,110–4,997 | 43 | 105 |
| Special Agent (1.0 position effective 4/1/00) | – | 0.3 | 2.0 | 3,682–4,552 | 15 | 97 |
| Inv Auditor II (2.0 positions effective 3/1/00) | – | 0.8 | 2.0 | 3,418–4,155 | 36 | 87 |
| Ofc Techn (2.0 positions effective 3/1/00) | – | 0.8 | 2.0 | 2,348–2,855 | 25 | 50 |
| Administrative Division: | | | | | | |
| Staff Svcs Mgr II (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 4,963–5,987 | 33 | 66 |
| Sr Acctg Ofcr (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 3,915–4,759 | 26 | 52 |
| Assoc Pers Analyst (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 3,915–4,759 | 26 | 52 |
| Bus Svc Ofcr (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 3,255–3,957 | 22 | 43 |
| Ofc Techn (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 2,348–2,855 | 16 | 31 |
| Information Services: | | | | | | |
| Sr Programmer Analyst (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 4,958–6,026 | 33 | 66 |
| Assoc Info Sys Analyst (1.0 position effective 4/1/00) | – | 0.3 | 1.0 | 3,418–4,155 | 16 | 54 |
| Ofc Techn (1.0 position effective 1/1/00) | – | 0.5 | 1.0 | 2,348–2,855 | 16 | 31 |
| Research Unit: | | | | | | |
| Research Analyst II | – | – | 2.0 | 4,110–4,997 | – | 109 |
| Temporary Help | – | 1.5 | 1.0 | – | 50 | 30 |
| Deleted Positions: | | | | | | |
| Commissioners | – | −2.5 | −5.0 | 9,516 | −285 | −571 |
| C.E.A. I | – | −0.5 | −1.0 | 5,493–6,953 | −33 | −66 |
| Legal Counsel | – | −1.0 | −2.0 | 5,067–6,127 | −44 | −88 |
| Adm Asst I | – | −0.5 | −1.0 | 3,130–3,805 | −19 | −39 |
| Exec Secty I | – | −0.5 | −1.0 | 2,926–3,556 | −16 | −32 |
| Ofc Techn | – | −0.5 | −1.0 | 2,348–2,855 | −14 | −28 |
| Totals, Proposed New Positions | – | 15.6 | 34.0 | – | $963 | $1,856 |
| Total Adjustments | – | 15.6 | 34.0 | – | $963 | $1,856 |
| TOTALS, SALARIES AND WAGES | – | 21.1 | 45.0 | – | $1,374 | $2,680 |

## 0860 STATE BOARD OF EQUALIZATION

The mission of the State Board of Equalization is to serve the public through fair, effective, and efficient tax administration. The State Board of Equalization collects numerous taxes and fees for support of State and local government services. These include: Sales and Use Taxes (State, Local, and Transit District); Motor Vehicle Fuel License (Gasoline) Tax; Diesel and Use Fuel Tax; Alcoholic Beverage Tax; Cigarette Tax; Cigarette and Tobacco Products Surtax; Insurance Tax; Energy Resources Surcharge; Emergency Telephone Users Surcharge; Hazardous Substances Tax; Integrated Waste Management Fee; Underground Storage Tank Fee; Oil Spill Prevention Fee; Occupational Lead Poisoning Prevention Fee; Childhood Lead Poisoning Prevention Fee; Tire Recycling Fee; Private Railroad Car Tax; Timber Yield Tax; and Natural Gas Surcharge Tax. The Board also administers utility assessments for local property tax purposes, and provides guidance to local government in the administration of property tax.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

The Board is composed of five members and was established by the State Constitution. Four of the five Board members are elected specifically to represent equalization districts, and the State Controller serves as an ex officio, voting member.

The Board operates in 27 locations throughout California as well as offices in New York, Chicago, and Houston, and administers audit and collection programs generating tax revenues in excess of $42.4 billion.

The Board adopts rules and regulations for the administration of the business taxes programs and for the guidance and direction of the state's property tax program involving county assessors, boards of supervisors, and local assessment appeals boards in valuing property.

As an appellate body, the Board adjudicates appeals from taxpayers on business taxes audit findings, county officials on intra-county and inter-county property tax assessments, public utilities on assessments of utility properties, and assessees on privately owned railroad cars. The Board also serves as the appellate body for contested Franchise Tax Board decisions under the Personal Income Tax laws, Bank and Corporation Tax laws, Senior Citizens Property Tax relief laws, and appeals of rulings by the Insurance Commissioner.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 15 County Assessment Standards Program | 82.7 | 90.5 | 90.5 | $6,713 | $7,968 | $8,062 |
| 20 State-Assessed Property Program | 80.0 | 80.7 | 80.7 | 6,053 | 6,555 | 6,649 |
| 25 Timber Tax Program | 30.5 | 32.5 | 32.5 | 2,634 | 2,788 | 2,819 |
| 30 Sales and Use Tax Program | 3,183.6 | 3,248.0 | 3,217.8 | 242,818 | 251,173 | 257,137 |
| 35 Hazardous Substances Tax Program | 46.9 | 51.2 | 51.2 | 3,083 | 3,441 | 3,484 |
| 40 Alcoholic Beverage Tax Program | 24.3 | 33.5 | 33.5 | 1,739 | 2,361 | 2,637 |
| 41 Tire Recycling Fee Program | 6.9 | 7.7 | 7.7 | 499 | 508 | 827 |
| 45 Cigarette and Tobacco Products Tax Program | 46.4 | 44.1 | 42.2 | 4,688 | 4,794 | 5,411 |
| 50 Motor Vehicle Fuel License Tax Program | 20.3 | 19.9 | 28.9 | 1,851 | 2,574 | 3,942 |
| 55 Diesel and Use Fuel Tax Program | 155.6 | 165.4 | 165.4 | 13,256 | 14,131 | 17,017 |
| 56 Occupational Lead Poisoning Prevention Fee Program | 7.2 | 7.1 | 7.1 | 537 | 569 | 586 |
| 57 Integrated Waste Management Program | 3.7 | 3.7 | 3.7 | 344 | 349 | 390 |
| 58 Underground Storage Tank Fee Program | 22.4 | 22.4 | 22.4 | 1,609 | 1,650 | 1,891 |
| 59 Oil Spill Prevention Program | 2.1 | 2.4 | 2.4 | 176 | 235 | 266 |
| 60 Energy Resources Surcharge Program | 1.9 | 2.6 | 2.6 | 187 | 200 | 243 |
| 62 Childhood Lead Poisoning Prevention Fee Program | 4.2 | 5.8 | 5.8 | 351 | 525 | 539 |
| 63 Ballast Water Management Fee Program | 3.0 | 4.7 | 4.7 | 259 | 411 | 395 |
| 65 Emergency Telephone Users Surcharge Program | 8.9 | 9.0 | 9.0 | 662 | 671 | 763 |
| 70 Insurance Tax Program | 4.5 | 3.8 | 3.8 | 378 | 353 | 396 |
| 75 Natural Gas Surcharge Program | – | – | – | – | – | 33 |
| 80 Appeals from Other Governmental Programs | 17.2 | 18.7 | 18.7 | 1,461 | 1,428 | 1,445 |
| 85.01 Administration | 404.2 | 350.3 | 350.3 | 28,826 | 27,769 | 27,769 |
| 85.02 Distributed Administration | –404.2 | –350.3 | –350.3 | –28,601 | –27,521 | –27,521 |
| TOTALS, PROGRAMS | 3,752.3 | 3,853.7 | 3,830.6 | $289,523 | $302,932 | $315,180 |
| *0001 General Fund* | | | | *182,547* | *191,580* | *197,052* |
| *0004 Breast Cancer Fund* | | | | *107* | *106* | *123* |
| *0022 State Emergency Telephone Number Special Account, General Fund* | | | | *662* | *671* | *763* |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | | | | *15,061* | *15,918* | *20,231* |
| *0070 Occupational Lead Poisoning Prevention Account, General Fund* | | | | *537* | *569* | *586* |
| *0080 Childhood Lead Poisoning Prevention Fund* | | | | *351* | *525* | *539* |
| *0230 Cigarette and Tobacco Products Surtax Fund* | | | | *1,283* | *1,337* | *1,529* |
| *0320 Oil Spill Prevention and Administration Fund* | | | | *176* | *235* | *266* |
| *0387 Integrated Waste Management Account, Integrated Waste Management Fund* | | | | *344* | *349* | *390* |
| *0439 Underground Storage Tank Cleanup Fund, General Fund* | | | | *1,609* | *1,650* | *1,891* |
| *0465 Energy Resources Programs Account, General Fund* | | | | *187* | *200* | *243* |
| *0623 California Children and Families First Trust Fund* | | | | *876* | *886* | *949* |
| *0890 Federal Trust Fund* | | | | *46* | *102* | *102* |
| *0965 Timber Tax Fund* | | | | *2,634* | *2,788* | *2,819* |
| *0995 Reimbursements* | | | | *83,103* | *86,016* | *87,664* |
| *3015 Gas Consumption Surcharge Fund* | | | | *–* | *–* | *33* |

## 15 COUNTY ASSESSMENT STANDARDS PROGRAM

### Program Objectives Statement

This program carries out the Board's constitutional and statutory responsibility of ensuring that taxable properties are enrolled and that all properties are assessed by the 58 county assessors in full conformity with the law, assuring a complete and equitable tax base that is fair to taxpayers and supports both local agencies and the State's General Fund. The Board is also charged with providing consultation and services to county assessors, their staff, and others involved with the assessing function to aid them in distributing property tax assessments legally and equitably among property owners. The County Assessment Standards Program establishes standards, provides training for assessors and assessment appeals boards,

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

and evaluates the effectiveness of each county assessor's administration of the assessment function and the degree to which the practices of the assessors' offices conform to existing law and policies.

### Authority

Constitution—Article XIII; Government Code sections 15606, 15608, 15611, 15612, 15624, and 15640–15646; Revenue and Taxation Code sections 63.1, 64, 69.5, 75.60, 169, 218.5, 251, 401.5, 407, 452, 480, 480.1, 482, 601, 602, 670–673, 1153, 1254, 1603, 5364, 5781, and 5840; California Administrative Code—Rules 101, 171, 202, 252, 282–283, 1045, and 1051.

### Program Element Statements

### 15.10 County Surveys

California taxpayers will pay an estimated $24.7 billion in property taxes during 2000–01 to support various local governmental agencies. Approximately 96 percent of these taxes will result from valuations based on ad valorem assessments made by the 58 county assessors. Almost 48 percent of these revenues go to local agencies other than schools. Schools receive approximately one-half of the property tax revenues. Since the State is ultimately responsible for providing a specified level of funding for schools, any shortfalls in property tax revenue must be met by the State's General Fund. Because of the importance of this revenue source to the State, schools, and local government, it is necessary that a central agency provide direction, supervision, and review of local assessment practices (administrative oversight) to assure equitable treatment of all property taxpayers both within and between counties.

To accomplish these objectives, ''assessment practices'' and ''special topics'' surveys are conducted to ascertain the specific assessment practices of the 58 county assessors. The assessment practices surveys are performed over a five-year cycle and include a comprehensive audit of the assessor's operation, resulting in a report that includes recommendations and suggestions for improvement. For the 11 largest counties and 15 other counties selected either at random or for cause during the cycle, a survey also includes a random selection of a representative sampling of the local assessment roll, the appraisal by staff appraisers of the sampled assessments, and an in-depth analysis of the differences between the staff appraisals and the enrolled assessments. The survey also includes a comprehensive review of the assessment system emphasizing the principal causes for significant differences and contains recommendations for improving the systems and resulting assessments. The special topics surveys provide an in-depth evaluation of the problems associated with current assessment issues that have a statewide impact.

### 15.20 Technical Advisory Services

This program carries out the Board's constitutional and legislative duties to advise and assist the 58 county assessors in compiling and standardizing (statewide) the assessment rolls of all taxable properties within their counties. The Board relies on five primary functions to fulfill this responsibility: 1) Provides technical advice on real, personal and specialty property appraisal problems. 2) Publishes ''Letters To Assessors'' and prepares and revises ''Assessors' Handbooks''. 3) Annually prescribes the format of most forms used by the assessors, including all those requiring taxpayer entries. 4) Formulates rules that are binding on assessors and have the full force and effect of law. 5) Certifies appraisers, monitors completion of their mandatory annual training, and provides training to appraisers and assessment appeals board members.

### 15.30 Technical Services

The Board has three primary areas of responsibility in providing assistance to counties in administering the property taxes. 1) The Board and the counties jointly administer the welfare exemption which removes millions of dollars from the tax base of local government and schools. The assessor receives and reviews the original claim, inspects the property, and forwards the claim to the Board together with a recommendation on the applicability of the exemption. Board staff reviews the case and recommends approval or disapproval. Disapprovals are subject to an appeal to the Board. The matter is returned to the county where the assessor can act only on applications found qualifying by the Board. 2) Current law prescribes that a ''change in control'' of a legal entity (i.e., a corporation or partnership) is equivalent to a ''change in ownership'' requiring a reappraisal of the real property. Changes in control of legal entities are difficult for the assessor to find, whereas ''changes in ownership'' are relatively easy to locate due to the recording of ownership documents. The Board, by researching financial publications and inserting questions on the state corporate and partnership tax returns, can detect most of these transactions and advise all counties where affected real property is located. 3) Numerous exclusions from the change in ownership reappraisals have been adopted by the voters for property replacing properties taken by governmental agencies, senior citizens and disabled persons selling their residence and purchasing a replacement residence, and certain transfers among family members. Each of these exclusions must be tracked to avoid duplicate applications and to conform with the law. The Board provides a ''clearinghouse'' for all such claims, assuring no duplication.

## 20 STATE-ASSESSED PROPERTY PROGRAM

### Program Objectives Statement

The State Constitution mandates that the Board annually value and assess intercounty pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Once market values are derived for these state assessees, those values must be allocated on a county-by-county basis among the agencies of local government in which properties are located. After the values are allocated, taxes are levied and collected for use by the local agencies. The Board collects the Private Railroad Car Tax which is deposited in the State's General Fund.

### Authority

Constitution—Article 13, Section 19; Revenue and Taxation Code—Division 1, Part 2; Division 2, Part 6.

### Program Element Statements

### 20.10 Assessment of Public Utilities

State assessees annually file property statements with the Board listing all properties by situs, together with associated costs, and provide fiscal and financial information on their operations. These and other economic data are used by staff to develop ''indicators of value'' which are used by the Board to determine the annual market value of the assessable property of each state assessee. Staff audits the property statements filed annually by state assessees.

All property contained on the assessment rolls must be identified to the combination of taxing jurisdictions serving that property. These taxing districts are overlapping and their boundaries are not coterminous with one another. Clusters of properties all served by the same taxing jurisdictions and having the same distribution of property tax revenues constitute a unique ''tax rate area.'' The Board is charged with maintaining statewide maps delineating each taxing jurisdiction. The resulting 57,969 tax rate areas are used by the county assessors in preparing the local assessment roll and state assessees in reporting their nonunitary property holdings.

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

### 20.20 Private Railroad Car Tax

The taxable value of private railroad cars is determined by the Board from cost information required of private railroad car owners on property statements and car movement information required of railroads. Information is processed using a statutory valuation formula employing the number of cars in the state and taxable value for each class of car. Assessments are prepared, tax bills are issued, and petitions for reassessment are received and processed. The revenue is deposited into the General Fund.

## 25 TIMBER TAX PROGRAM

### Program Objectives Statement

The Timber Tax Section administers the Timber Yield Tax by collecting revenue for the Timber Tax Fund, establishing timber value areas and the immediate harvest values for species of timber within those areas used to determine tax liability, developing a standard method of timber measurement and conversion factors where the standard cannot be used, controlling and auditing the reporting and self-assessment of the yield tax liability, and providing the data base for allocating the Timber Tax Fund to the county of harvest.

### Authority

Government Code Chapter 6.7 of Part 1 of Division 1 of Title 5; Revenue and Taxation Code Article 1.7 of Chapter 3 of Part 2 of Division 1 and Part 18.5 of Division 2.

### Program Element Statements

### 25.10 Timber Valuation

Forest property appraisers evaluate data from sales of private timber and timber on public lands and harvests on operator-owned land for purposes of establishing a data base to be used in developing immediate harvest value schedules and evaluating future harvest report filings of taxpayers. Value schedules adopted by the Board are used by taxpayers to determine their tax liability. Field inspections provide data to evaluate both the harvest reports and tax returns at the time of an audit.

### 25.20 Taxpayer Registration, Return Processing, and Collection

When a taxpayer files either a harvest plan with the California Department of Forestry and Fire Protection or acquires the right to harvest timber on government land, the taxpayer is required to register with the Board unless already registered. Once identified, the taxpayer is provided with instructions on how to file tax returns and harvest reports, and delinquency controls are established on anticipated tax returns. Tax returns and harvest reports are filed along with the tax due, permitting the identification of delinquent accounts and establishing a data base for future audits where necessary.

### 25.30 Auditing

Taxpayers are required to report and self-declare tax liability by species, size, logging system, and location. Misreporting of the volume harvested or misclassification by species or log size category significantly alters the tax liability. Further, rather than being reported, some harvests are disclosed by a reconciliation of log deliveries at the mills. The auditors, in conjunction with the forest property appraisers, evaluate the accuracy of the self-reporting and investigate any differences.

## 30 SALES AND USE TAX PROGRAM

### Program Objectives Statement

This program ensures that all sales and use tax revenues are collected in an equitable and effective manner through timely reporting of tax liability, detecting and correcting errors in self-assessments, and promptly collecting amounts determined to be due and economically recoverable.

The Board administers the Sales and Use Tax Law, the Bradley-Burns Uniform Local Sales and Use Tax Law, and transactions (sales) and use tax ordinances for the Bay Area Rapid Transit District, the Santa Clara County Transit District, the Santa Cruz Metropolitan Transit District, the Los Angeles County Transportation Commission, the San Mateo County Transit District, the Alameda County Transportation Authority, the Fresno County Transportation Authority, the San Diego Regional Transportation Commission, the Inyo County Rural Counties Transaction Tax, the San Mateo County Transportation Authority, the Sacramento Transportation Authority, the Contra Costa Transportation Authority, the Riverside County Transportation Commission, the San Francisco County Transportation Authority, the Imperial County Local Transportation Authority, Calexico Heffernan Memorial Hospital District, the Santa Barbara County Local Transportation Authority, the San Bernardino County Transportation Authority, the Madera County Transportation Authority, the Orange County Local Transportation Authority, the San Joaquin County Transportation Authority, the Sonoma County Open Space Authority, the San Francisco County Public Finance Authority, the City of Clearlake Public Safety Transactions and Use Tax, the Stanislaus County Library Transactions and Use Tax, the Santa Clara County Transactions and Use Tax, the Santa Cruz County Public Library Transactions and Use Tax, the Napa County Flood Protection Authority, the Solano County Public Library Transaction and Use Tax, the Town of Truckee Road Maintenance District, the Nevada County Public Library Transaction and Use Tax, Fresno County Public Library Transactions and Use Tax, City of Placerville Public Safety Transactions and Use Tax, City of Clovis Public Safety Transactions and Use Tax, Mariposa County Healthcare Authority, and City of Woodland General Revenue Transactions and Use Tax.

### Major Budget Adjustments Proposed for 2001–02

### Program 30

- An augmentation of $2,068,000 ($1,549,000 General Fund, $148,000 Special Fund, and $371,000 Reimbursements) to accommodate the budgetary impact of salary adjustments, rental rate increases for field offices, replacement of deteriorating carpet at the Capital Square headquarters building, and replacing a large volume high-speed copier located in the headquarters reproduction center.
- An augmentation of $470,000 ($356,000 General Fund, $35,000 Special Funds, and $79,000 Reimbursements) to acquire tools for the management and maintenance of the Board of Equalization's various networks.
- One-time augmentation of $1,561,000 ($1,178,000 General Fund, $120,000 Special Funds, and $263,000 Reimbursements) to continue the replacement of obsolete personal computer hardware and software.
- An augmentation of $1,634,000 ($1,307,000 General Fund and $327,000 Reimbursements) and a net reduction of 0.7 personnel year to begin the statewide implementation of LAN connectivity to the remaining Sales and Use Tax field offices.

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

- An augmentation of $218,000 (Local Reimbursements) and 3 positions (2.8 personnel years) to accommodate the ongoing workload associated with enhancing the Board of Equalization's ability to minimize and correct local tax allocation reporting errors in a timely manner.
- An augmentation of $289,000 (Local Reimbursements) and 4 positions (3.8 personnel years) for Fiscal Year (FY) 2001–02, and beginning FY 2002–03, two of the four positions on a 3-year limited-term basis to continue the efforts of reducing the local tax reallocation inquiry backlog and preventing the reoccurrence of another backlog.

### Authority

Revenue and Taxation Code, Division 2, Parts 1, 1.5 and 1.6.

### Program Element Statements

### 30.10 Registration of Taxpayers

Registration enables the Board to furnish sellers and consumers with proper tax forms and instructions for the reporting and allocation of sales and use tax.

### 30.20 Processing Tax Returns

The returns filed are processed through the Cashier Unit, the Return Analysis Section, and the Local Revenue Allocation Section for deposit of revenue, accuracy, and fiscal accounting purposes. This accounting includes the proper distribution of local and district taxes and whether the taxpayer filed a return. Taxpayers who fail to file returns are sent a notice of delinquency. After a reasonable period of time, taxpayers whose accounts continue to be delinquent are cited to appear at the nearest Board office to show cause why their permit(s) should not be revoked.

Returns are reviewed for mathematical accuracy, proper preparation, and reporting of tax according to law. Billings and refunds are prepared to notify taxpayers of errors in self-declared tax. Additionally, taxpayers may be contacted to explain deductions.

The BOE is pursuing automating its current labor-intensive, sequential return processing system. The Board's intent is to implement technology that will improve the current incoming mail, cashiering, data capture, workflow, storage and retrieval, and tracking functions. The Return Processing Automation project will allow for simultaneous processing of return forms thereby increasing productivity and enhancing the service provided to taxpayers. In an effort to successfully implement this project, the BOE will require additional resources. In the Spring of 2001, the Administration expects to consider the resources necessary to ensure that this project proceeds effectively and efficiently.

### 30.30 Auditing Accounts

This activity is statistically designed to cover the tax base in a manner that will result in audits of accounts most likely to make substantial errors in reporting. A major objective of the audit selection system, which is based on the principle of marginal analysis, is to audit accounts that will produce tax deficiencies in excess of the cost of auditing. Tax deficiency is the difference between self-assessed taxes and the final determination of taxes due after auditing.

### 30.40 Collecting Taxes Receivable

Field offices are primarily responsible for the collection of delinquent amounts. The effort expended on each account is consistent with the amount due. Although efforts are made to accomplish collection through use of the telephone or by correspondence, field calls and the utilization of statutory remedies may be necessary to collect larger amounts when other efforts have not been productive.

In 1996–97, the Board implemented the Automated Compliance Management System (ACMS) as part of its collection program. The ACMS automates many tasks that would otherwise be done manually; expedites the issuance of liens, levies, and warrants; and provides for on-line tracking and management of collection cases.

## 35 HAZARDOUS SUBSTANCES TAX PROGRAM

### Program Objectives Statement

This program provides revenues for the Hazardous Waste Control Account and the Toxics Substances Control Account in the General Fund. The revenue is derived by collecting fees from generators of hazardous wastes, from hazardous waste facilities, and from certain corporations as identified by statute. Fees are collected by the Board for the Department of Toxic Substances Control (DTSC).

Hazardous waste ''generators'' and ''facilities'' are subject to either: 1) a generator fee paid annually from persons generating more than five tons of hazardous waste during the calendar year; or, 2) a facility fee paid annually from operators of certain hazardous waste facilities. Persons who generate hazardous wastes for shipment to an in-state facility for disposal, or who dispose of it on-site, are subject to a disposal fee. In addition to these fees, corporations with certain standard industrial codes (SIC) may owe an environmental fee based upon the number of employees. Fees are also collected from persons who receive certain services from DTSC (activity fee) or from persons operating under a permit-by-rule who owe an annual tiered permitting fee.

The objectives of the program are to ensure that appropriate returns and reports are filed, prescribed fees are paid, and taxes are assessed and collected in an equitable and effective manner.

Administration includes registering persons required to pay fees; processing tax returns and reports; collecting fees and taxes due; auditing accounts; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

### Authority

Sections 25174, 25174.1, 25174.2, 25205.2, 25205.4, 25205.5, 25205.6, 25205.7, 25205.8, 25205.14, 25205.21, 25205.22, 25221 and 25343 of the Health and Safety Code, Part 22 of Division 2 of the Revenue and Taxation Code.

## 40 ALCOHOLIC BEVERAGE TAX PROGRAM

### Program Objectives Statement

This program ensures that all alcoholic beverage tax revenues are collected equitably and efficiently through timely reporting of tax liability, detecting and correcting errors in reporting, and promptly collecting amounts determined due and recoverable.

Self-assessed State excise taxes imposed on the manufacturing, importation, and sale of alcoholic beverages in this state are administered by the Board.

### Authority

Revenue and Taxation Code—Part 14, Division 2.

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

### Program Element Statements

### 40.10 Registration of Taxpayers

Persons subject to alcoholic beverage taxes are identified through licenses issued by the Department of Alcoholic Beverage Control. The Board registers them as taxpayers and furnishes tax returns for the reporting of tax. Accounts are regularly reviewed to reassess security requirements. Nontaxpaying common carriers, customs brokers, and out-of-state beer vendors are also registered and supplied with forms necessary to report information concerning the shipments of alcoholic beverages into California.

### 40.20 Processing Tax Returns and Reports

Alcoholic beverage tax returns are filed directly with the Board's headquarters office. The returns are processed through the mail processing, cashier, and technology services units for deposit of funds and fiscal accounting purposes. The Excise Taxes Division then verifies reported tax computations, notifies taxpayers of apparent discrepancies on returns, and reviews the returns for completeness and proper application of the law.

### 40.30 Auditing Accounts

Informational reports are received from common carriers, customs brokers, out-of-state beer vendors, and other states. Specific procedures are performed using the data contained in these informational reports, which include data entry, analysis, matching, and verification. Based on the results of the procedures performed, the Excise Taxes Division is able to effectively select for field auditing those accounts which appear to have understated the tax. The audits deter tax evasion and promote accurate reporting of self-declared tax.

### 40.40 Collecting Taxes Receivable

The Excise Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when necessary to locate assets which may be levied upon or which are subject to lien.

## 41 TIRE RECYCLING FEE PROGRAM

### Program Objectives Statement

This program is needed to provide revenues for the California Tire Recycling Account in the California Tire Recycling Management Fund. The program objective is to ensure that all tire recycling fee revenues are collected in an equitable and effective manner through timely reporting of liabilities, detecting and correcting errors in feepayer self-assessments and promptly collecting amounts determined to be due and economically recoverable.

The fee is collected by sellers of tires identified and registered by the Board. The fee provides funding for a tire recycling program which will reduce landfill disposal and stockpiling of used tires by recycling and reclaiming used tires and used tire components to the greatest extent possible. Administration of this fee is performed by the Excise Taxes Division and includes: identifying and registering sellers of tires required to pay the fees; maintaining current registration information for each feepayer; printing, mailing and receiving returns; depositing fees; examining returns; following up on problem or unfiled returns; storing returns; providing periodical reports to the California Integrated Waste Management Board; auditing accounts; collecting fees receivable; resolving petitions for redetermination; and advising interested persons about the law.

Chapter 838, Statutes of 2000, deleted the sunset date for this program.

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $311,000 (Reimbursements) to implement the provisions of Chapter 838, Statutes of 2000, which amends Public Resources Code Section 42885 to increase the tire recycling fee.

### Authority

Public Resources Code—Sections 42860 through 42895.

## 45 CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM

### Program Objectives Statement

This program provides revenue for the Cigarette Tax Fund, Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and through the passage of Proposition 10, the California Children and Families First Trust Fund. The program objective is to ensure that all cigarette and tobacco products tax revenues are collected equitably and effectively by effecting timely reporting and payment of tax liabilities, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable.

The cigarette tax is imposed upon distributors of cigarettes at the rate of 4.35 cents per cigarette (87 cents per standard package of 20) and upon consumers who acquire untaxed cigarettes which are not otherwise exempt from tax. For the most part, the tax is paid by distributors through the purchase of stamps from commercial banks which contract with the state for the issuance of stamps. Cigarette distributors are allowed a discount of .85 percent on purchases of cigarette tax stamps. The tobacco products tax is imposed upon distributors for the wholesale cost of tobacco products at a rate equivalent to the combined rate of tax imposed on cigarettes. The rate is determined annually by the Board. For Fiscal Year 1999–00, the rate was 66.50 percent, effective July 1, 2000, the rate changed to 54.89 percent.

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $140,000 (California Children and Families First Trust Fund) for one additional year to accommodate a cost increase in the cigarette tax indicia contract with Bank of America.

### Authority

Revenue and Taxation Code—Part 13, Division 2.

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

### Program Element Statements

### 45.10 Registration of Taxpayers

Every person desiring to engage in the sale of cigarettes or tobacco products as a distributor or as a cigarette wholesaler must obtain a license from the Excise Taxes Division for each location at which he or she engages in such business. This enables the Board to furnish proper forms for the reporting, processing, allocation, and administration of taxes. Necessary security is obtained from licensed distributors to assure payment of the tax.

### 45.20 Processing Tax Returns and Reports

Forms and instructions are mailed to licensed distributors and wholesalers to enable them to file monthly reports to account for their purchases and distributions of tobacco products, cigarettes, and tax stamps; to enable cigarette manufacturers (who are all licensed distributors) to report tax on sample cigarettes distributed in California; and to enable tobacco products distributors to report tax on their distribution of tobacco products. Reports relating to the acquisition and sale of tax stamps are received from banks. Information reports are received from manufacturers and common carriers. These reports are processed by the Excise Taxes Division to account for tax payments, verify mathematical accuracy, assure conformity with the law, and select accounts for audit. When a timely report is not filed, the taxpayer is notified. If the delinquency is not cleared, revocation proceedings are instituted.

### 45.30 Auditing Accounts

Field auditing is essential to assure uniform application of the law, deter tax evasion and carelessness in self-reporting, and identify and correct errors in self-reporting. Reconciliations are made in the Excise Taxes Division using data reported by manufacturers, distributors, wholesalers, banks, and others. In most cases, immediate corrective action is taken when underreported tax is indicated. Field audit activities are designed to resolve more difficult discrepancies and to identify tobacco products and cigarettes received from illegal sources. These audits deter tax evasion and promote accurate reporting of self-declared tax.

### 45.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through tax stamp counterfeiting, bootlegging, tax underreporting, smuggling and illegal sales of cigarettes and tobacco products.

Field inspections are made of distributors' stamping machines to see that indicia is properly affixed, clear, and legible. Inspections also are made of cigarette stocks in retail stores and in vending machines to assure that all packages are properly stamped. Additionally, spot inspections of transit vehicles, vessels and aircraft are made to help deter illegal transportation of untaxed cigarettes and tobacco products for sale in the state.

### 45.50 Collecting Taxes Receivable

The Excise Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when necessary to locate assets which may be levied upon or which are subject to lien. The staff prepares liens, issues sheriffs' warrants and maintains the taxes receivable ledger.

## 50 MOTOR VEHICLE FUEL LICENSE TAX PROGRAM

### Program Objectives Statement

This program provides revenue for the Transportation Tax Fund, Aeronautics Fund, Harbor and Watercraft Revolving Fund, Off-Highway Vehicle Fund, and Department of Agriculture Fund. The objective is to ensure that the Motor Vehicle Fuel License Tax is administered equitably and effectively through timely reporting of tax liability, and detecting and correcting errors in taxpayers' self-assessments.

Through December 31, 2001, the gasoline tax is imposed on distributors and brokers based on their distributions of motor vehicle fuel. The gasoline tax rate is 18 cents per gallon. A 2-cent per gallon tax is imposed on sales of aircraft jet fuel by dealers to certain users. The State Controller shares responsibility with the State Board of Equalization for the administration of this tax by collecting delinquent tax and making refunds to consumers who use tax paid gasoline in an exempt manner subject to refund.

Effective January 1, 2002, the collection point of the gasoline tax will be transferred from the distributors and brokers distribution of motor vehicle fuel to the supplier's removal of the fuel from the terminal rack. This is being done to conform the tax imposition to federal law and to create more uniform reporting requirements for taxpayers, thus simplifying their reporting burden.

### Major Budget Adjustment Included in 2000–01

- An augmentation of $685,000 (Reimbursements) is proposed to begin the implementation of federally-funded fuel tax evasion projects.

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $626,000 (Reimbursements) to continue implementation of federally-funded fuel tax evasion projects.
- An augmentation of $1,077,000 (Motor Vehicle Fuel) and 9.5 positions (9.0 personnel years) to implement and administer the provisions of Chapter 1053, Statutes of 2000 (AB 2114), related to changing the point of taxation on gasoline.
- An augmentation of $3,916,000 ($585,000 General Fund, $3,292,000 Special Funds, and $39,000 Reimbursements) to begin integrating the Special Taxes programs into the Integrated Corporate Database.

### Authority

Revenue and Taxation Code—Part 2, Division 2.

### Program Element Statements

### 50.10 Registration of Taxpayers

Persons subject to the motor vehicle fuel license tax are registered to enable the Board to furnish proper forms for the reporting, processing, and administration of the tax. Necessary security, within the limits allowed by law, is obtained to assure payment of the tax.

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

### 50.20 Processing Tax Returns

Returns are processed through the cashier and the Fuel Taxes Division for proper deposit of funds and fiscal accounting purposes. Taxpayers failing to file returns are identified and notices are sent to them.

### 50.30 Auditing Accounts

Accounts to be audited are selected through a process which assures optimum use of field audit time to detect misplaced tax, and deter tax evasion. Selection of accounts for audit places emphasis on those accounts which are expected to produce a deficiency tax change greater than the cost of auditing. These audits protect the tax base and result in a sustained high level of self-declared tax.

### 50.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through illegal distribution of untaxed fuel. Field inspections are performed at places where motor vehicle fuel is produced or stored, or at any inspection site where evidence of activities involving evasion may be discovered.

## 55 DIESEL AND USE FUEL TAX PROGRAM

### Program Objectives Statement

This program provides revenue for the Transportation Tax Fund. The program objective is to ensure that all revenues are collected in an equitable and effective manner by effecting timely reporting of tax liability, detecting and correcting errors in taxpayer self-assessment, and promptly collecting amounts determined to be due and economically recoverable.

The collection point of the excise tax on diesel fuel is the first removal from the terminal rack. The diesel fuel tax rate is 18 cents per gallon. The use fuel tax rate varies by fuel type. The imposition of the excise tax on the remaining use fuels is upon the user or vendor of the fuel.

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $561,000 (Motor Vehicle Fuel) and 8.5 positions (8.1 personnel years) to permanently continue the efforts of implementing the component B element of tax on diesel fuel as mandated by Chapter 618, Statutes of 1997.
- An augmentation of $164,000 (Motor Vehicle Fuel) for overtime funds on a three year basis to eliminate a workload backlog to ensure that the Board of Equalization is in compliance with the provisions of the International Fuel Tax Agreement.

### Authority

Revenue and Taxation Code—Parts 3 and 31 of Division 2.

### Program Element Statements

### 55.10 Registration of Taxpayers

Registration of suppliers, distributors, vendors and users allows the Board to furnish them with proper tax forms and instructions for the purpose of reporting and processing of these taxes, and claiming allowable refunds. Necessary security, within the limits allowed by law, is obtained to assure payment of the taxes. The Board establishes an account for each new taxpayer, maintains the account on a current basis, and closes the account when the activity terminates.

### 55.20 Processing Tax Returns

Returns are processed through the mail, cashier, and technology services sections for deposit of revenue receipts and fiscal accounting purposes. The Board establishes delinquencies for taxpayers failing to file returns and sends notices to them. In addition, returns are reviewed for mathematical accuracy, proper preparation and application of the law. Returns claiming refunds of tax paid on fuel used in an exempt manner are reviewed for compliance with applicable laws and rules, prior to refunds being processed.

### 55.30 Auditing Accounts

This activity assures uniform application of the tax by detecting and correcting errors in reporting, and deterring tax evasion. In selecting accounts for audit, the Board places emphasis on those expected to produce a deficiency tax greater than the cost of auditing. These audits protect the tax base, and result in a high level of self-declared tax.

### 55.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through illegal distribution of untaxed fuel. Field inspections are performed at places where diesel fuel is produced or stored, or at any inspection site where evidence of activities involving evasion may be discovered. Additionally, spot inspections at designated inspection sites along state highways to physically inspect, examine or otherwise search any tank or container used in the transportation of diesel fuel.

### 55.50 Collecting Taxes Receivable

The Fuel Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when it is necessary to locate assets which may be levied upon, or which are subject to a lien. The staff prepares liens, issues sheriffs' warrants and maintains the taxes receivable ledger.

## 56 OCCUPATIONAL LEAD POISONING PREVENTION FEE

### Program Objectives Statement

This program provides revenue for the Occupational Lead Poisoning Prevention Account in the General Fund. Fees are collected from all employers in specified standard industrial classification (SIC) codes who employ ten or more employees. The identified SIC codes are those in which the Department of Health Services has determined that a potential for lead poisoning exists. The fee provides funding for the Occupational Lead Poisoning Prevention Program conducted by the Department of Health Services.

Administration includes registering employers required to pay the fee; processing the annual returns; collecting amounts due; auditing accounts; resolving claims for refund and petitions for redetermination; and advising interested persons regarding the law.

### Authority

Health and Safety Code Sections 105175, 105185, 105190 and Part 22 of Division 2 of the Revenue and Taxation Code.

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

### 57 INTEGRATED WASTE MANAGEMENT FEE PROGRAM

#### Program Objectives Statement

This program provides revenue for the Integrated Waste Management Account in the Integrated Waste Management Fund by administering the collection of a fee on all solid waste disposed at each solid waste landfill required to have a solid waste facility permit. The fee provides funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills. In addition, the fee supports state and local landfill permit enforcement programs and provides grants to local agencies to initiate and implement waste separation programs.

Administration includes registering facility operators required to pay the fees; processing quarterly reports; computing the fee liability of each operator; mailing assessment notices; collecting amounts due; auditing accounts; providing statistical analysis of reported amounts; resolving petitions for redeterminations and claims for refunds; and advising interested persons regarding the law.

#### Authority

Division 30, Part 1—Public Resources Code, Division 2, Part 23—Revenue and Taxation Code.

### 58 UNDERGROUND STORAGE TANK FEE PROGRAM

#### Program Objectives Statement

This program provides revenue for the Petroleum Underground Storage Tank Financing Account in the General Fund by collecting a fee on all underground petroleum tanks. The fee provides funding to monitor and regulate underground storage tanks containing petroleum, and to protect human health and the environment. Established by statute, the fee is collected quarterly from owners of underground storage tanks containing petroleum.

Administration of this program includes registering underground tank owners, processing tax returns, collecting fees, auditing accounts, resolving petitions for redeterminations and claims for refunds, and advising feepayers regarding the law.

#### Authority

Health and Safety Code—Sections 25299.41 and 25299.43.

### 59 OIL SPILL PREVENTION PROGRAM

#### Program Objectives Statement

This program provides revenue for the Oil Spill Prevention and Administration Fund and the Oil Spill Response Trust Fund. The objective is to collect two fees on all crude oil and petroleum products received in this state via marine pipelines and terminals. The prevention and administration fee provides funding in order to implement oil spill prevention programs, and to reimburse the member agencies of the State Interagency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install and maintain emergency programs, equipment, and facilities to respond to, contain and cleanup oil spills. The response fee provides funding in order to promptly cover costs of response, containment, and cleanups of oil spills into marine waters; including damage assessment costs and wildlife rehabilitation.

The Board's administration includes issuing monthly returns, receiving monthly returns, depositing payments, auditing amounts reported, resolving petitions and claims for refund, providing statistical analysis, and advising interested persons regarding the law.

#### Authority

Government Code—Sections 8670.40 and 8670.48.

### 60 ENERGY RESOURCES SURCHARGE PROGRAM

#### Program Objectives Statement

This program provides revenue for the state Energy Resources Programs Account, General Fund, by administering a surcharge on the consumption of electricity.

Administration of this surcharge on consumers of electrical energy includes registering of electric utilities required to collect the surcharge from consumers; registering of consumers purchasing electrical energy from the United States; processing tax returns; auditing accounts; collecting taxes receivable; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

#### Authority

Part 19 of Division 2 of the Revenue and Taxation Code.

### 62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM

#### Program Objectives Statement

This program provides revenue for the Childhood Lead Poisoning Prevention Fund, by collecting fees to support the Childhood Lead Poisoning Prevention Program administered by the Department of Health Services. The fee, established by statute and implemented by regulation, is collected annually from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California.

Administration of this program includes registering feepayers, processing fee returns, collecting fees, auditing accounts, resolving petitions for redetermination and claims for refund, and advising feepayers regarding the law.

#### Authority

Health and Safety Code Article 4.6, Section 372, Chapter 2 of Part 1 of Division 1 and Revenue and Taxation Code Sections 43507, 43152.14, and 43554. Title 17, California Code of Regulations Sections 33001, 33010, 33020, and 33030.

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

### 63 BALLAST WATER MANAGEMENT FEE PROGRAM

#### Program Objectives Statement

This program provides revenue for the Exotic Species Control Fund. The program objective is to administer the collection of a fee from owners or operators of qualifying vessels that enter California ports with ballast water loaded from outside a designated economic enterprise zone. Under the statute's provisions, the fee, established in regulations adopted by the State Lands Commission, is assessed upon the owner or operator when their vessel arrives in California. The fee provides funding to carry out the ballast water management program as described in statute.

Administration of this program includes registering vessel owners or operators, processing assessments or returns, collecting fees, auditing accounts, resolving petitions for redeterminations and claims for refunds, and advising feepayers regarding the law.

#### Authority

Public Resources Code—Division 36 (commencing with Section 71200).

### 65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM

#### Program Objectives Statement

This program provides revenue to fund the ''911'' emergency telephone number system, by administering a surcharge on intra-state telephone communication services.

Administration of this surcharge includes: registering telephone service suppliers required to collect the surcharge from users; processing tax returns; auditing accounts; collecting taxes receivable; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

#### Authority

Part 20 of Division 2 of the Revenue and Taxation Code.

### 70 INSURANCE TAX PROGRAM

#### Program Objectives Statement

This program assesses taxes on insurance premiums and marine profits of underwriters, and levies retaliatory assessments against ''foreign'' insurers.

The program is administered jointly by the Board, the Controller and the Insurance Commissioner. The Board is responsible for assessing the tax and adjudicating petitions for redetermination and claims for refund.

The Board annually assesses each insurance company based on the net premiums on California business other than ocean marine insurance, and on the average annual underwriting profit on ocean marine business. It also imposes a retaliatory tax on any out-of-state company doing business in California whenever that company's home state subjects a California company doing business in that state to a greater burden than California imposes on insurers domiciled in that state. The Board also hears taxpayer appeals and makes decisions to grant or deny petitions for redetermination and claims for refund.

#### Authority

The State Constitution, Article XIII, Section 28 and Part 7 of Division 2 of the Revenue and Taxation Code.

### 75 NATURAL GAS SURCHARGE PROGRAM

#### Program Objectives Statement

This program provides revenue for the Gas Consumption Surcharge Fund by administering a surcharge on the consumption of all natural gas in this state. The surcharge, established by the California Public Utility Commission, is collected by the Board of Equalization annually from public utility gas corporations and consumers who receive natural gas through interstate pipeline. The objective of this program is to collect the revenue intended to fund low-income assistance programs, cost effective energy efficiency programs, conservation activities, and public interest research and development.

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $33,000 (Gas Consumption Fund) to enable the State Board of Equalization to effectively and efficiently administer the new Natural Gas Surcharge Program as mandated by the provisions of Chapter 932, Statutes of 2000 (AB 1002).

#### Authority

Chapter 4 of Part 1 of Division 1, Article X—Public Utilities Code.

### 80 APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

#### Program Objectives Statement

An independent administrative review is necessary when a taxpayer disagrees with actions taken by the Franchise Tax Board. Upon the filing of a taxpayer's written request, the Board of Equalization reviews assessments of franchise and income taxes and eligibility determinations made in administering the Senior Citizens Property Tax Assistance program.

The Board also acts as an impartial tribunal to settle disputes between entities of local government concerning property tax assessments of property owned by one local government entity but located outside its boundaries and within boundaries of another entity. Such property owned by a local government outside its boundaries is subject to tax by the entity within whose boundaries the property is located.

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

### Authority

1) Administration of Income and Franchise Tax Laws: Revenue and Taxation Code Section 18401–19802. Procedural regulations regarding appeals from the Franchise Tax Board are contained within the Rules of Practice of the State Board of Equalization, California Code of Regulations, Title 18, Division 2, Chapter 10 (effective January 1, 1996); 2) Senior Citizens Homeowners and Renters Property Tax Assistance: Revenue and Taxation Code Section 20501–20646; and, 3) Publicly Owned Property: Section 11 Article XIII of the California Constitution and Section 1840 and 1841 of the Revenue and Taxation Code. Procedural Regulations are found in Title 18, California Code of Regulations at Sections 5050 through 5063, 5070 through 5075, 5076, 5076.2, and 5077 through 5087.

### Program Element Statements

### 80.10 Franchise and Income Tax Appeals

The Board initiates action after a taxpayer files a written appeal. The Board's legal staff frames the issues of law and fact by means of memoranda from, and stipulations by, the parties. If the taxpayer requests an oral hearing before the Board, the Board may decide the case at the conclusion of the hearing or refer the case to its legal staff for review, analysis, and preparation of a written opinion or decision which is later voted on by board members. If an oral hearing is not requested, the case is referred to the legal staff for review, analysis, and preparation of a written opinion or decision.

### 80.20 Senior Citizens Property Tax Assistance Appeals

A taxpayer files a written notice to initiate action with the Board of Equalization. Upon receipt of a re-review statement from the Franchise Tax Board, the Board's legal staff frames the issues of law and fact and submits them to the Board. The Board makes a determination and informs the claimant of this decision.

### 80.30 Equalization of Assessment of Publicly Owned Property

Action is initiated when the local public entity that owns the taxable property outside its boundaries files with the Board an application for review, equalization, and adjustment of the assessment imposed by the county in which the property is located. The assessor may file an answer. A prehearing conference is conducted by a member of the legal staff to define the issues and arrange for stipulations and exchange of exhibits. A formal hearing is conducted before the Board which renders a written decision.

## 85 ADMINISTRATION PROGRAM

### Program Objectives Statement

The objectives are to effectively and efficiently implement the policies and directives of the Board members, and to provide direction, leadership, planning, and support services for all Board programs.

These responsibilities are carried out by the Executive Director, deputy directors, chief counsel, and various administrative staff reporting to these positions.

---

## PROGRAM BUDGET DETAIL

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **15 COUNTY ASSESSMENT STANDARDS PROGRAM** | | | |
| *0001 General Fund* | *$6,713* | *$7,968* | *$8,062* |
| Totals, County Assessment Standards Program | $6,713 | $7,968 | $8,062 |
| **ELEMENT REQUIREMENTS** | | | |
| 15.10 County Surveys | 3,370 | 3,932 | 3,982 |
| *0001 General Fund* | *3,370* | *3,932* | *3,982* |
| 15.20 Technical Advisory Services | 2,260 | 2,855 | 2,884 |
| *0001 General Fund* | *2,260* | *2,855* | *2,884* |
| 15.30 Technical Services | 1,083 | 1,181 | 1,196 |
| *0001 General Fund* | *1,083* | *1,181* | *1,196* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 STATE-ASSESSED PROPERTY PROGRAM** | | | |
| *0001 General Fund* | *$5,861* | *$6,363* | *$6,457* |
| *0995 Reimbursements* | *192* | *192* | *192* |
| Totals, State-Assessed Property Program | $6,053 | $6,555 | $6,649 |
| **ELEMENT REQUIREMENTS** | | | |
| 20.10 Assessment of Public Utilities | 5,666 | 6,332 | 6,423 |
| *0001 General Fund* | *5,474* | *6,140* | *6,231* |
| *0995 Reimbursements* | *192* | *192* | *192* |
| 20.20 Private Railroad Car Tax | 387 | 223 | 226 |
| *0001 General Fund* | *387* | *223* | *226* |

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **25 TIMBER TAX PROGRAM** | | | |
| *0965 Timber Tax Fund* | *$2,634* | *$2,788* | *$2,819* |
| Totals, Timber Tax Program | $2,634 | $2,788 | $2,819 |
| **ELEMENT REQUIREMENTS** | | | |
| 25.10 Timber Valuation | 670 | 713 | 717 |
| *0965 Timber Tax Fund* | *670* | *713* | *717* |
| 25.20 Taxpayer Registration, Return Processing and Collection | 1,378 | 1,561 | 1,588 |
| *0965 Timber Tax Fund* | *1,378* | *1,561* | *1,588* |
| 25.30 Auditing | 586 | 514 | 514 |
| *0965 Timber Tax Fund* | *586* | *514* | *514* |
| **PROGRAM REQUIREMENTS** | | | |
| **30 SALES AND USE TAX PROGRAM** | | | |
| *0001 General Fund* | *$163,973* | *$170,642* | *$175,245* |
| *0995 Reimbursements* | *78,845* | *80,531* | *81,892* |
| Totals, Sales and Use Tax Program | $242,818 | $251,173 | $257,137 |
| **ELEMENT REQUIREMENTS** | | | |
| 30.10 Registration of Taxpayers | 47,027 | 48,399 | 49,768 |
| *0001 General Fund* | *31,763* | *34,594* | *35,640* |
| *0995 Reimbursements* | *15,264* | *13,805* | *14,128* |
| 30.20 Processing Tax Returns | 63,633 | 65,290 | 66,725 |
| *0001 General Fund* | *42,979* | *42,703* | *43,821* |
| *0995 Reimbursements* | *20,654* | *22,587* | *22,904* |
| 30.30 Auditing Accounts | 103,343 | 106,276 | 108,944 |
| *0001 General Fund* | *69,769* | *71,154* | *73,225* |
| *0995 Reimbursements* | *33,574* | *35,122* | *35,719* |
| 30.40 Collecting Taxes Receivable | 28,815 | 31,208 | 31,700 |
| *0001 General Fund* | *19,462* | *22,191* | *22,559* |
| *0995 Reimbursements* | *9,353* | *9,017* | *9,141* |
| **PROGRAM REQUIREMENTS** | | | |
| **35 HAZARDOUS SUBSTANCES TAX PROGRAM** | | | |
| *0995 Reimbursements* | *$3,083* | *$3,441* | *$3,484* |
| Totals, Hazardous Substances Tax Program | $3,083 | $3,441 | $3,484 |
| **PROGRAM REQUIREMENTS** | | | |
| **40 ALCOHOLIC BEVERAGE TAX PROGRAM** | | | |
| *0001 General Fund* | *$1,739* | *$2,361* | *$2,637* |
| Totals, Alcoholic Beverage Tax Program | $1,739 | $2,361 | $2,637 |
| **ELEMENT REQUIREMENTS** | | | |
| 40.10 Registration of Taxpayers | 441 | 424 | 537 |
| *0001 General Fund* | *441* | *424* | *537* |
| 40.20 Processing Tax Returns and Reports | 457 | 906 | 1,024 |
| *0001 General Fund* | *457* | *906* | *1,024* |
| 40.30 Auditing Accounts | 614 | 758 | 781 |
| *0001 General Fund* | *614* | *758* | *781* |
| 40.40 Collecting Taxes Receivable | 227 | 273 | 295 |
| *0001 General Fund* | *227* | *273* | *295* |
| **PROGRAM REQUIREMENTS** | | | |
| **41 TIRE RECYCLING FEE PROGRAM** | | | |
| *0995 Reimbursements* | *$499* | *$508* | *$827* |
| Totals, Tire Recycling Fee Program | $499 | $508 | $827 |
| **PROGRAM REQUIREMENTS** | | | |
| **45 CIGARETTE AND TOBACCO TAX PROGRAM** | | | |
| *0001 General Fund* | *$2,422* | *$2,465* | *$2,810* |
| *0004 Breast Cancer Fund* | *107* | *106* | *123* |
| *0230 Cigarette and Tobacco Products Surtax Fund* | *1,283* | *1,337* | *1,529* |
| *0623 California Children and Families First Trust Fund* | *876* | *886* | *949* |
| *0995 Reimbursements* | *–* | *–* | *–* |
| Totals, Cigarette and Tobacco Tax Program | $4,688 | $4,794 | $5,411 |

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

| ELEMENT REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 45.10 Registration of Taxpayers | $465 | $494 | $760 |
| *0001 General Fund* | *396* | *282* | *423* |
| *0004 Breast Cancer Fund* | *6* | *6* | *12* |
| *0230 Cigarette and Tobacco Products Surtax Fund* | *58* | *201* | *276* |
| *0623 California Children and Families First Trust Fund* | *5* | *5* | *49* |
| *0995 Reimbursements* | – | – | – |
| 45.20 Processing Tax Returns | 1,966 | 1,977 | 2,272 |
| *0001 General Fund* | *946* | *1,042* | *1,188* |
| *0004 Breast Cancer Fund* | *48* | *50* | *58* |
| *0230 Cigarette and Tobacco Products Surtax Fund* | *561* | *475* | *564* |
| *0623 California Children and Families First Trust Fund* | *411* | *410* | *462* |
| *0995 Reimbursements* | – | – | – |
| 45.30 Auditing Accounts | 787 | 924 | 945 |
| *0001 General Fund* | *296* | *456* | *478* |
| *0004 Breast Cancer Fund* | *20* | *20* | *21* |
| *0230 Cigarette and Tobacco Products Surtax Fund* | *278* | *256* | *267* |
| *0623 California Children and Families First Trust Fund* | *193* | *192* | *179* |
| *0995 Reimbursements* | – | – | – |
| 45.40 Enforcement Activities | 1,250 | 1,153 | 1,149 |
| *0001 General Fund* | *663* | *556* | *568* |
| *0004 Breast Cancer Fund* | *26* | *26* | *27* |
| *0230 Cigarette and Tobacco Products Surtax Fund* | *319* | *316* | *322* |
| *0623 California Children and Families First Trust Fund* | *242* | *255* | *232* |
| *0995 Reimbursements* | – | – | – |
| 45.50 Collecting Taxes Receivable | 220 | 246 | 285 |
| *0001 General Fund* | *121* | *129* | *153* |
| *0004 Breast Cancer Fund* | *7* | *4* | *5* |
| *0230 Cigarette and Tobacco Products Surtax Fund* | *67* | *89* | *100* |
| *0623 California Children and Families First Trust Fund* | *25* | *24* | *27* |
| *0995 Reimbursements* | – | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **50 MOTOR VEHICLE FUEL LICENSE TAX PROGRAM** | | | |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *$1,851* | *$1,928* | *$3,347* |
| *0890 Federal Trust Fund* | – | *50* | *50* |
| *0995 Reimbursements* | – | *596* | *545* |
| Totals, Motor Vehicle Fuel License Tax Program | $1,851 | $2,574 | $3,942 |
| **ELEMENT REQUIREMENTS** | | | |
| 50.10 Registration of Taxpayers | 300 | 303 | 532 |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *300* | *253* | *482* |
| *0890 Federal Trust Fund* | – | *50* | *50* |
| 50.20 Processing Tax Returns | 220 | 823 | 1,906 |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *220* | *227* | *1,361* |
| *0995 Reimbursements* | – | *596* | *545* |
| 50.30 Auditing Accounts | 868 | 915 | 943 |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *868* | *915* | *943* |
| 50.40 Enforcement | 463 | 533 | 561 |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *463* | *533* | *561* |
| **PROGRAM REQUIREMENTS** | | | |
| **55 DIESEL AND USE FUEL TAX PROGRAM** | | | |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *$13,210* | *$13,990* | *$16,884* |
| *0890 Federal Trust Fund* | *46* | *52* | *52* |
| *0995 Reimbursements* | – | *89* | *81* |
| Totals, Diesel and Use Fuel Tax Program | $13,256 | $14,131 | $17,017 |
| **ELEMENT REQUIREMENTS** | | | |
| 55.10 Registration of Taxpayers | 2,840 | 3,301 | 4,417 |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *2,794* | *3,249* | *4,365* |
| *0890 Federal Trust Fund* | *46* | *52* | *52* |
| 55.20 Processing Tax Returns | 3,576 | 4,269 | 5,649 |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *3,576* | *4,180* | *5,568* |
| *0995 Reimbursements* | – | *89* | *81* |
| 55.30 Auditing Accounts | 3,521 | 3,122 | 3,290 |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *3,521* | *3,122* | *3,290* |
| 55.40 Enforcement | 2,284 | 2,803 | 2,835 |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *2,284* | *2,803* | *2,835* |
| 55.50 Collecting Taxes Receivable | 1,035 | 636 | 826 |
| *0061 Motor Vehicle Fuel Account, Transportation Tax Fund* | *1,035* | *636* | *826* |

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

| PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **56 OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM** | | | |
| *0070 Occupational Lead Poisoning Prevention Fund* | *$537* | *$569* | *$586* |
| Totals, Occupational Lead Poisoning Prevention Fee Program | $537 | $569 | $586 |
| **PROGRAM REQUIREMENTS** | | | |
| **57 INTEGRATED WASTE MANAGEMENT FEE PROGRAM** | | | |
| *0387 Integrated Waste Management Account, Integrated Waste Management Fund* | *$344* | *$349* | *$390* |
| Totals, Integrated Waste Management Fee Program | $344 | $349 | $390 |
| **PROGRAM REQUIREMENTS** | | | |
| **58 UNDERGROUND STORAGE TANK FEE PROGRAM** | | | |
| *0439 Underground Storage Tank Cleanup Fund* | *$1,609* | *$1,650* | *$1,891* |
| Totals, Underground Storage Tank Fee Program | $1,609 | $1,650 | $1,891 |
| **PROGRAM REQUIREMENTS** | | | |
| **59 OIL SPILL PREVENTION PROGRAM** | | | |
| *0320 Oil Spill Prevention and Administration Fund* | *$176* | *$235* | *$266* |
| Totals, Oil Spill Prevention Program | $176 | $235 | $266 |
| **PROGRAM REQUIREMENTS** | | | |
| **60 ENERGY RESOURCES SURCHARGE PROGRAM** | | | |
| *0465 Energy Resources Programs Account, General Fund* | *$187* | *$200* | *$243* |
| Totals, Energy Resources Surcharge Program | $187 | $200 | $243 |
| **PROGRAM REQUIREMENTS** | | | |
| **62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM** | | | |
| *0080 Childhood Lead Poisoning Prevention Fund* | *$351* | *$525* | *$539* |
| Totals, Childhood Lead Poisoning Prevention Fee Program | $351 | $525 | $539 |
| **PROGRAM REQUIREMENTS** | | | |
| **63 BALLAST WATER MANAGEMENT FEE PROGRAM** | | | |
| *0995 Reimbursements* | *$259* | *$411* | *$395* |
| Totals, Ballast Water Management Fee Program | $259 | $411 | $395 |
| **PROGRAM REQUIREMENTS** | | | |
| **65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM** | | | |
| *0022 State Emergency Telephone Number Special Account, General Fund* | *$662* | *$671* | *$763* |
| Totals, Emergency Telephone Users Surcharge Program | $662 | $671 | $763 |
| **PROGRAM REQUIREMENTS** | | | |
| **70 INSURANCE TAX PROGRAM** | | | |
| *0001 General Fund* | *$378* | *$353* | *$396* |
| Totals, Insurance Tax Program | $378 | $353 | $396 |
| **PROGRAM REQUIREMENTS** | | | |
| **75 NATURAL GAS SURCHARGE PROGRAM** | | | |
| *3015 Gas Consumption Surcharge Fund* | *–* | *–* | *$33* |
| Totals, Natural Gas Surcharge Program | – | – | $33 |
| **PROGRAM REQUIREMENTS** | | | |
| **80 APPEALS FROM OTHER GOVERNMENTAL PROGRAMS** | | | |
| *0001 General Fund* | *$1,461* | *$1,428* | *$1,445* |
| Totals, Appeals from Other Governmental Programs | $1,461 | $1,428 | $1,445 |

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

| ELEMENT REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 80.10 Franchise and Income Tax Appeals | $1,452 | $1,406 | $1,423 |
| *0001 General Fund* | *1,452* | *1,406* | *1,423* |
| 80.20 Senior Citizens Property Tax Assistance | 5 | 18 | 18 |
| *0001 General Fund* | *5* | *18* | *18* |
| 80.30 Equalization of Assessment of Publicly Owned Property | 4 | 4 | 4 |
| *0001 General Fund* | *4* | *4* | *4* |
| **PROGRAM REQUIREMENTS** | | | |
| **85 ADMINISTRATION** | | | |
| Undistributed Administration: | | | |
| *0995 Reimbursements* | *$225* | *$248* | *$248* |
| Totals, Administration | $225 | $248 | $248 |
| TOTALS, EXPENDITURES (State Operations) | $289,523 | $302,932 | $315,180 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 3,752.3 | 4,076.7 | 4,063.2 | $178,754 | $198,570 | $203,140 |
| Total Adjustments | – | 8.6 | 16.8 | – | 329 | 1,434 |
| Estimated Salary Savings | – | –231.6 | –249.4 | – | –10,548 | –10,995 |
| Net Totals, Salaries and Wages | 3,752.3 | 3,853.7 | 3,830.6 | $178,754 | $188,351 | $193,579 |
| Staff Benefits | – | – | – | 35,150 | 36,860 | 35,208 |
| Totals, Personal Services | 3,752.3 | 3,853.7 | 3,830.6 | $213,904 | $225,211 | $228,787 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $75,619 | $77,721 | $86,393 |
| TOTALS, EXPENDITURES | | | | $289,523 | $302,932 | $315,180 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $179,762 | $192,154 | $197,052 |
| Allocation for employee compensation | 10,802 | 321 | – |
| Adjustment per Section 3.60 | –7,059 | –978 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 88 | – |
| Adjustment per Section 16.00 | 91 | – | – |
| Transfer to Legislative Claims (9670) | – | –5 | – |
| Totals Available | $183,596 | $191,580 | $197,052 |
| Unexpended balance, estimated savings | –1,049 | – | – |
| TOTALS, EXPENDITURES | $182,547 | $191,580 | $197,052 |

### 0004 Breast Cancer Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $101 | $107 | $123 |
| Allocation for employee compensation | 9 | – | – |
| Allocation for contingencies or emergencies | 1 | – | – |
| Adjustment per Section 3.60 | –4 | –1 | – |
| TOTALS, EXPENDITURES | $107 | $106 | $123 |

### 0022 State Emergency Telephone Number Special Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $674 | $673 | $763 |
| Allocation for employee compensation | 20 | 1 | – |
| Allocation for contingencies or emergencies | 5 | – | – |
| Adjustment per Section 3.60 | –37 | –3 | – |
| TOTALS, EXPENDITURES | $662 | $671 | $763 |

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

### 0061 Motor Vehicle Fuel Account, Transportation Tax Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $15,114 | $15,973 | $20,231 |
| Allocation for employee compensation | 929 | 26 | – |
| Adjustment per Section 3.60 | −573 | −81 | – |
| Adjustment per Section 16.00 | 6 | – | – |
| Totals Available | $15,476 | $15,918 | $20,231 |
| Unexpended balance, estimated savings | −415 | – | – |
| TOTALS, EXPENDITURES | $15,061 | $15,918 | $20,231 |

### 0070 Occupational Lead Poisoning Prevention Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $490 | $571 | $586 |
| Allocation for employee compensation | 63 | 1 | – |
| Adjustment per Section 3.60 | −17 | −3 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $537 | $569 | $586 |

### 0080 Childhood Lead Poisoning Prevention Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $533 | $527 | $539 |
| Allocation for employee compensation | 22 | 1 | – |
| Allocation for contingencies or emergencies | 1 | – | – |
| Adjustment per Section 3.60 | −29 | −3 | – |
| Totals Available | $527 | $525 | $539 |
| Unexpended balance, estimated savings | −176 | – | – |
| TOTALS, EXPENDITURES | $351 | $525 | $539 |

### 0230 Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,211 | $1,342 | $1,529 |
| Allocation for employee compensation | 110 | 2 | – |
| Allocation for contingencies or emergencies | 10 | – | – |
| Adjustment per Section 3.60 | −49 | −7 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $1,283 | $1,337 | $1,529 |

### 0320 Oil Spill Prevention and Administration Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $228 | $236 | $266 |
| Allocation for employee compensation | 15 | – | – |
| Allocation for contingencies or emergencies | 1 | – | – |
| Adjustment per Section 3.60 | −9 | −1 | – |
| Totals Available | $235 | $235 | $266 |
| Unexpended balance, estimated savings | −59 | – | – |
| TOTALS, EXPENDITURES | $176 | $235 | $266 |

### 0387 Integrated Waste Management Account, Integrated Waste Management Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $332 | $351 | $390 |
| Allocation for employee compensation | 23 | – | – |
| Allocation for contingencies or emergencies | 2 | – | – |
| Adjustment per Section 3.60 | −13 | −2 | – |
| TOTALS, EXPENDITURES | $344 | $349 | $390 |

### 0439 Underground Storage Tank Cleanup Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,506 | $1,656 | $1,891 |
| Allocation for employee compensation | 141 | 2 | – |

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Allocation for contingencies or emergencies | $10 | – | – |
| Adjustment per Section 3.60 | −49 | −$8 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $1,609 | $1,650 | $1,891 |

### 0465 Energy Resources Programs Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $167 | $201 | $243 |
| Allocation for employee compensation | 23 | – | – |
| Allocation for contingencies or emergencies | 2 | – | – |
| Adjustment per Section 3.60 | −5 | −1 | – |
| TOTALS, EXPENDITURES | $187 | $200 | $243 |

### 0623 California Children and Families First Trust Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $712 | $890 | $949 |
| Allocation for employee compensation | 46 | 1 | – |
| Allocation for contingencies or emergencies | 146 | – | – |
| Adjustment per Section 3.60 | −28 | −5 | – |
| TOTALS, EXPENDITURES | $876 | $886 | $949 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $102 | $102 | $102 |
| Budget adjustment | −56 | – | – |
| TOTALS, EXPENDITURES | $46 | $102 | $102 |

### 0965 Timber Tax Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,738 | $2,798 | $2,819 |
| Allocation for employee compensation | 84 | 4 | – |
| Adjustment per Section 3.60 | −104 | −14 | – |
| Totals Available | $2,718 | $2,788 | $2,819 |
| Unexpended balance, estimated savings | −84 | – | – |
| TOTALS, EXPENDITURES | $2,634 | $2,788 | $2,819 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $83,103 | $86,016 | $87,664 |

### 3015 Gas Consumption Surcharge Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (expenditures) | – | – | $33 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $289,523 | $302,932 | $315,180 |

---

### FUND CONDITION STATEMENT
### 0965 Timber Tax Fund [n]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $5,323 | $7,276 | – |
| Prior year adjustments | 574 | – | – |
| Balance, Adjusted | $5,897 | $7,276 | – |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 213000 Property and Natural Resources (Timber Yield Tax) | 24,697 | 25,000 | $25,000 |
| 215000 Income from investments | – | – | – |
| Totals, Operating Revenues | $24,697 | $25,000 | $25,000 |
| Totals, Resources | $30,594 | $32,276 | $25,000 |

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| 0860 State Board of Equalization (State Operations) | $2,634 | $2,788 | $2,819 |
| 3540 Department of Forestry (State Operations) | 19 | 26 | 26 |
| Totals, Disbursements | $2,653 | $2,814 | $2,845 |
| Other Disbursements: | | | |
| Allocation to counties (Local Assistance expenditure not reflected in departmental budget) | 20,631 | 29,462 | 22,155 |
| Prior year adjustments | 34 | – | – |
| Totals, Expenditures | $23,318 | $32,276 | $25,000 |
| FUND BALANCE | $7,276 | – | – |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 3,752.3 | 4,076.7 | 4,063.2 | $178,754 | $198,570 | $203,140 |
| Salary adjustments | – | – | – | – | 125 | 146 |
| Totals, Adjusted Authorized Positions | 3,752.3 | 4,076.7 | 4,063.2 | $178,754 | $198,695 | $203,286 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: [a] | | | | | | |
| Executive: | | | | | | |
| Customer and Taxpayer Services Division: | | | | Salary Range | | |
| Tax Techn III [b] | – | – | – | – | 16 | – |
| Technology Services: | | | | | | |
| Assoc Info Sys Analyst [c] | – | – | 0.5 | 4,110–4,997 | – | 25 |
| Administration: | | | | | | |
| Personnel Management Division: | | | | | | |
| Staff Svcs Analyst-Gen [c] | – | 0.8 | 1.0 | 2,507–3,907 | 24 | 29 |
| Administrative Support Division: | | | | | | |
| Cashiers: | | | | | | |
| Ofc Asst-Gen [e] | – | 3.0 | 4.0 | 1,846–2,465 | 53 | 64 |
| Mail Services: | | | | | | |
| Ofc Asst-Gen [d] | – | 0.8 | 1.0 | 1,846–2,465 | 18 | 21 |
| Sales and Use Tax Department: | | | | | | |
| Assoc Info Sys Analyst [c] | – | – | 0.5 | 4,110–4,997 | – | 25 |
| Blanket Funds: | | | | | | |
| Overtime | – | – | – | – | –95 | –114 |
| Reductions in Authorized Positions: | | | | | | |
| Excess Vacant Positions | | | | | | |
| Various | – | – | –13.0 | – | – | –626 |
| Field Offices: | | | | | | |
| Tax Techn I/II [f] | – | – | –1.5 | 2,029–2,885 | – | –40 |
| Totals Workload and Administrative Adjustments [a] | – | 4.6 | –7.5 | – | $16 | –$616 |
| Proposed New Positions: | | | | | | |
| Executive: | | | | | | |
| Technology Services Division: | | | | | | |
| Staff Info Sys Analyst-Spec | – | – | 2.0 | 4,507–5,480 | – | 119 |
| Staff Programmer Analyst-Spec | – | – | 1.0 | 4,507–5,480 | – | 60 |
| Asst Info Sys Analyst | – | – | 1.0 | 2,764–4,155 | – | 36 |
| Administration: | | | | | | |
| FMD Accounting Section: | | | | | | |
| Accountant Trainee | – | – | 0.5 | 2,883–3,338 | – | 19 |
| Return Analysis and Allocation Division: | | | | | | |
| Data Entry Section: | | | | | | |
| Key Data Opr [g] | – | – | 1.5 | 1,916–2,648 | – | 38 |
| Local Tax Allocation Section: | | | | | | |
| BT Spec I | – | – | 1.0 | 4,517–5,228 | – | 63 |
| Assoc Tax Auditor | – | – | 2.0 | 4,110–4,997 | – | 109 |
| Blanket Funds: | | | | | | |
| Overtime [h] | – | – | – | – | – | 785 |
| Sales and Use Taxes: | | | | | | |
| SUTD Administration: | | | | | | |
| Staff Info Sys Analyst-Spec | – | – | 1.0 | 4,507–5,480 | – | 60 |
| Tax Techn I/II [i] | – | – | –0.7 | 2,029–2,855 | – | –20 |

*** Dollars in thousands, except in Salary Range.**

## 0860 STATE BOARD OF EQUALIZATION—Continued

| Headquarters Operations Divison: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Refund Section: | | | | Salary Range | | |
| Assoc Tax Auditor [j] | – | 4.0 | 4.0 | $4,110–4,997 | $188 | $218 |
| Field Offices: | | | | | | |
| Staff Info Sys Analyst-Spec | – | – | 14.0 | 4,507–5,480 | – | 835 |
| Tax Auditor [k] | – | – | –19.0 | 2,764–4,155 | – | –1,110 |
| Special Taxes Department: | | | | | | |
| Fuel Taxes Division: | | | | | | |
| Fuel Taxes Section: | | | | | | |
| BT Comp Supvr II [l] | – | – | 1.0 | 4,520–5,453 | – | 60 |
| BT Spec [l] | – | – | 1.0 | 4,517–5,228 | – | 63 |
| BT Comp Spec [l] | – | – | 1.0 | 4,110–4,997 | – | 54 |
| Assoc Tax Auditor [m] | – | – | 0.5 | 4,110–4,997 | – | 27 |
| BT Rep [n] | – | – | 1.0 | 2,764–4,155 | – | 37 |
| Tax Techn III [o] | – | – | 2.0 | 2,626–3,193 | – | 70 |
| Tax Techn II [m] | – | – | 0.5 | 2,348–2,855 | – | 15 |
| Ofc Asst-Typing [m] | – | – | 0.5 | 1,908–2,465 | – | 13 |
| Ofc Asst-Gen [m] | – | – | 0.5 | 1,846–2,465 | – | 12 |
| Motor Carrier Section: | | | | | | |
| Supvng Tax Auditor | – | – | 1.0 | 4,517–5,489 | – | 60 |
| Assoc Tax Auditor | – | – | 2.0 | 4,110–4,997 | – | 109 |
| Tax Auditor | – | – | 2.0 | 2,764–4,155 | – | 73 |
| BT Rep | – | – | 1.0 | 2,764–4,155 | – | 37 |
| Tax Techn II | – | – | 2.0 | 2,348–2,855 | – | 62 |
| Totals, Proposed New Positions | – | 4.0 | 24.3 | – | $188 | $1,904 |
| Total Adjustments | – | 8.6 | 16.8 | – | $329 | $1,434 |
| TOTALS, SALARIES AND WAGES | 3,752.3 | 4,085.3 | 4,080.0 | $178,754 | $198,899 | $204,574 |

[a] All positions are effective 7/1/01 unless otherwise footnoted.
[b] One (1.0) position limited-term 8/1/00 through 12/31/00.
[c] One (1.0) effective 1/1/02.
[d] One (1.0) position effective 8/31/00.
[e] Four (4.0) positions effective 8/31/00.
[f] Three (3.0) positions being relinquished effective 1/1/02.
[g] Three (3.0) positions limited-term 01/01/02 through 6/30/04.
[h] Overtime Funds—$112,000 limited-term through 6/30/02, and $101,000 limited-term through 6/30/03, $257,000 limited-term through 6/30/04, and $11,000 limited-term through 6/30/05.
[i] Two (2.0) positions being relinquished effective 3/1/02.
[j] Two (2.0) positions limited-term 7/1/01 through 6/30/02 and two (2.0) positions limited-term 7/1/01 through 6/30/05.
[k] Nineteen (19.0) positions being relinquished effective 7/1/01.
[l] One (1.0) position limited-term 7/1/01 through 6/30/04.
[m] One (1.0) position limited-term 1/1/02 through 6/30/04.
[n] Two (2.0) positions limited-term 1/1/02 through 6/30/04.
[o] Four (4.0) positions limited-term 1/1/02 through 6/30/04.

# 0890 SECRETARY OF STATE

The Secretary of State, a constitutionally established office, is the chief election officer of the State and is responsible for the administration and enforcement of election laws. The office is also responsible for the administration and enforcement of laws pertaining to filing documents associated with corporations, limited partnerships, and the perfection of security agreements. In addition, the office is responsible for the appointment of notaries public, enforcement of notary laws and preservation of documents and records having historical significance. All documents filed are a matter of public record and of historical importance. They are available through prescribed procedures for public review and certification as to authenticity. In addition, the office is responsible for the newly created International Business Relations Program that will promote California's international relations and improve the economic climate for foreign business development by providing specialized services needed to develop stronger connections between California and foreign-based companies.

The executive staff determines policy associated with the administration of the office through the programs of Elections, Political Reform, Business Programs, Archives, Information Technology and Management Services Divisions.

| SUMMARY OF PROGRAM REQUIREMENTS | | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|---|
| 05 | Business Programs | 239.5 | 275.7 | 260.8 | $27,798 | $37,749 | $38,092 |
| 10 | Elections | 28.4 | 29.7 | 33.0 | 19,549 | 14,630 | 14,592 |
| 15 | Political Reform | 23.6 | 22.7 | 22.8 | 3,116 | 3,543 | 2,938 |
| 30 | Archives | 22.3 | 26.9 | 28.8 | 13,540 | 14,029 | 14,518 |
| 32 | Executive | 31.6 | 19.2 | 21.8 | 3,365 | 2,822 | 2,842 |
| | Executive Distributed | – | – | – | –3,104 | –2,595 | –2,614 |
| 35 | Management Services | 49.1 | 50.5 | 52.5 | 5,358 | 6,026 | 6,055 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0890 SECRETARY OF STATE—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 35 Management Services Distributed | – | – | – | –$5,093 | –$5,651 | –$5,679 |
| 38 Information Technology | 29.9 | 40.2 | 40.9 | 8,251 | 8,846 | 8,726 |
| Information Technology Distributed | – | – | – | –8,017 | –8,529 | –8,408 |
| 98 State-mandated local programs | – | – | – | 7,386 | 14,590 | 8,104 |
| TOTALS, PROGRAMS | 424.4 | 464.9 | 460.6 | $72,149 | $85,460 | $79,166 |
| Less amount funded in the Political Reform Act of 1974 | – | – | – | (714) | (723) | –726 |
| Less reimbursements authorized in the Political Reform Act of 1974 | – | – | – | (8) | (8) | –8 |
| NET TOTALS, PROGRAMS | 424.4 | 464.9 | 460.6 | $72,149 | $85,460 | $78,432 |
| *0001 General Fund* | | | | *37,642* | *45,416* | *38,742* |
| *0228 Secretary of State's Business Fees Fund* | | | | *19,978* | *29,936* | *31,248* |
| *0274 Business Reinvestment Fund* | | | | *335* | *2,309* | *442* |
| *0995 Reimbursements* | | | | *14,194* | *7,799* | *8,000* |

### Major Budget Adjustment Included in 2000–01

- An increase of $581,000 General Fund to implement the provisions of Proposition 34, recently approved by the voters.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $7,275,000 in Business Fees Fund to support Phase II of the Business Programs Automation Project (BPA).
- An increase of $700,000 in Business Fees Fund, $266,000 in Reimbursements, and 12.5 positions redirected from other vacancies to respond to additional workload requirements in the Corporate Filing Section of the Business Programs Division.
- An increase of $250,000 in General Fund and 3.0 positions redirected from other vacancies to address the backlog of archival records processing.
- An increase of $200,000 in General Fund to augment the Oral History Program of the California State Archives.

## 05 BUSINESS PROGRAMS DIVISION

### Program Objectives Statement

The Business Programs Division was created effective July 1, 1997 by combining the Corporate Filings, Limited Partnership, Uniform Commercial Code and the Notary Public Divisions. The consolidation of these programs will streamline activities and enhance customer service provided to companies and organizations doing business within the State of California.

The Corporate Filings Section files articles of incorporation, foreign qualifications, and related documents to ensure that corporations are properly formed, merged, amended, and dissolved in compliance with California law. The Section provides proprietary control over the proposed corporate name, the formation and changes in the structure of the corporation, and the cancellation and suspension of delinquent corporate entities. The Section also administers the filings of statement of officers, directors and agents for all corporations of record.

The Limited Partnership Section is responsible for filing and maintaining documents pertaining to a variety of non-corporate business entities, including limited partnerships, limited liability companies, limited liability partnerships, general partnerships and unincorporated associations. Once filed, the information is available via written request, over the telephone or in person at our public counter. In addition, the Section processes trademark and service mark filings, domestic partnership declarations, the Roster of Public Agencies, successor-in-interest filings, various types of bonds, including immigration consultants, and many other ''special filings''.

The Uniform Commercial Code section provides for the filing of financing statements and related documents so that a secured creditor may perfect a security interest in personal property covered by a security agreement as against other creditors. Not only does this program afford a secured creditor some protection against debtor bankruptcy, insolvency or default, but it also provides a prospective lender or seller with the means to determine if there are any previously perfected security interests involving certain personal property. The Section further files notices of federal tax liens against certain business entities, state tax liens and attachment liens against personal property, judgment liens, various agricultural liens, and related documents. The Secretary of State's staff examine all documents presented for filing for statutory compliance. The documents are then filed and open to public inspection. Certificates of filing and copies of filed records are available upon request.

The Notary Public Section appoints qualified persons authorized by Government Code, Chapter 3, Division 1, Title 2 to the office of Notary Public in sufficient numbers to perform a variety of official transactions necessary to fulfill personal and business needs throughout the State. Applicants seeking an appointment to act as a notary public are investigated by the Secretary of State to insure that the applicant has the required honesty and integrity to hold a commission. Investigations are conducted to determine if any administrative, civil or criminal law has been violated by either individuals holding current notary public commissions or by people holding themselves out as such. If so, the Secretary of State's Office takes appropriate action through the use of injunctions, restraining orders, civil penalties, administrative adjudication and criminal prosecution.

### Authority

Corporations Code Titles 1–3 and Government Code Section 12180 et seq. Uniform Commercial Code, Chapter 4, Divisions 9, 10 and 11; Uniform Federal Tax Lien Registration Act; Chapter 14, Division 7 of Title 1 of the Government Code relating to the registration of State tax liens; Section 488.375 of the Code of Civil Procedure relating to attachment liens; Division 2, Chapter 1, Article 3, Section 697.510 of the Code of Civil Procedure relating to judgement liens; Sections 9790 and 22900 of the Business and Professions Code; and Sections 55701, 57402, 57510 and 57561 of the Food and Agricultural Code.

## 10 ELECTIONS

### Program Objectives Statement

The Secretary of State, as California's chief election officer, has broad responsibility in the areas of voter registration, the state ballot pamphlet, the initiative process, ballot voting, voting systems, voter participation, candidate certification, reporting of election results, and ensures that the

*** Dollars in thousands, except in Salary Range.**

## 0890 SECRETARY OF STATE—Continued

state's elections laws are administered and complied with in a uniform manner. The Secretary of State issues technical information and legal opinions to the public, legislators and staff, and local elections officers regarding elections laws and procedures. The complexity of the state's elections systems requires constant vigilance by the Secretary of State, as well as promulgation of appropriate rules and regulations to ensure adequate and uniform enforcement of state elections laws. The investigations unit investigates allegations of illegal activity in voting, registration, petition circulation and elections administration. The Secretary of State certifies to the nomination and election of candidates; passage or failure of statewide ballot measures; produces and distributes the state ballot pamphlet; and is the central repository for data concerning voter registration and official election results.

### Authority

Constitution, Elections Code, Government Code, Federal Voting Rights Act and the Federal Overseas Voting Act.

## 15 POLITICAL REFORM

### Program Objectives Statement

The Secretary of State administers the campaign and lobbying disclosure provisions of the Political Reform Act of 1974, ensuring timely compliance with filing requirements. Under this law, the Secretary of State registers all campaign recipient committees and assures compliance with statutory reporting requirements through the review of reports filed. The Secretary of State also registers lobbying firms and employers, reviews the periodic reports filed by lobbying entities, and publishes a Directory of Lobbyists, Lobbying Firms and Lobbyist Employers. Since January of 2000, this division also supports the electronic filing requirements of the Political Reform Act and posts relevant disclosure information to the Internet so voters can track where campaign money is raised and spent and what lobbying activity is occurring in state government.

### Authority

Government Code (Title 9, Political Reform).

## 30 ARCHIVES

### Program Objectives Statement

The California State Archives acquires, catalogs, indexes, preserves and provides reference access to historic and irreplaceable record material from a wide range of origins within the state, supplemented by an oral history program to fill gaps in the documentary materials. The Archives serves the general public directly and assists state agencies and other institutions by providing an organized and select body of California history from all three branches of government. Without this program for assembling historic information, many essential original materials would be lost or destroyed—leaving the state with a reference vacuum that would jeopardize vital state interests. The State Archives assisted in developing a museum and education program to extend archival resources to wider public audiences, under a partnership agreement with the California Archives Foundation. The Golden State Museum opened in the fall of 1998. Support for the California Heritage Preservation Commission is included in the budget for the State Archives.

### Authority

Government Code Sections 6268, 12153, 12173, 12220–12233, 14755, 14901; Civil Code Section 1798.24(j).

## 32 EXECUTIVE OFFICE

### Program Objectives Statement

The Executive Office develops and manages overall departmental policy, coordinates and disseminates public information, handles correspondence and the scheduling of functions and appointments for the Secretary of State. In addition, the office is responsible for the newly-created International Business Relations Program that will promote California's international relations and improve the economic climate for foreign business development by providing specialized services needed to develop stronger connections between California and foreign-based companies.

### Authority

California Business and Professions Code commencing with Section 14233.

## 35 MANAGEMENT SERVICES

### Program Objectives Statement

The Management Services Division provides the agency with the necessary personnel, general administrative, budgeting and fiscal services necessary to ensure the smooth and efficient operation of the line functions within the agency. Through analysis and research personnel, fiscal, budgetary and other administrative options are developed by Management Services to support the Secretary of State in policy, planning and directing the various programs administered within the agency. It also manages the Safe At Home Program created by Chapter 1005, Statutes of 1998.

## 38 INFORMATION TECHNOLOGY

### Program Objectives Statement

The Information Technology Division provides expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions. These systems support the various program areas. The Division also provides oversight and sets policy for all information technology projects within the Secretary of State's Office.

## 98 LOCAL ASSISTANCE

### Program Objectives Statement

The objective of this program is to provide funding, pursuant to Section 6 of Article XIIIB of the California Constitution, to reimburse local entities for costs they incur in complying with certain state-mandated local programs. Funding for four ongoing mandates is proposed for inclusion

*** Dollars in thousands, except in Salary Range.**

## 0890 SECRETARY OF STATE—Continued

in the Budget Act: Voter registration procedures (Ch. 704/75); Absentee ballots (Ch. 77/78); Permanent absentee voters (Ch. 1422/82); and Brendon Maguire Act (Ch. 391/88). In addition, this budget proposes to continue the suspension of five mandates: Voter registration roll purge (Ch. 1401/76); Handicapped voter access (Ch. 494/79); Local elections (Ch. 1013/81); Democratic presidential delegates (Ch. 1603/82); and Election materials (Ch. 1042/85).

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 05 BUSINESS PROGRAMS DIVISION

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| TOTALS, BUSINESS PROGRAMS DIVISION | $27,798 | $37,749 | $38,092 |
| State Operations: | | | |
| 05.10 Business Programs | 18,386 | 27,668 | 27,963 |
| 05.15 Executive Distribution | 2,042 | 1,629 | 1,640 |
| 05.20 Management Services | 3,514 | 3,953 | 3,969 |
| 05.30 Information Technology | 3,856 | 4,499 | 4,520 |
| *0001 General Fund* | – | – | – |
| *0228 SOS Business Fees Fund* | *19,245* | *29,127* | *30,438* |
| *0274 Business Reinvestment Fund* | *335* | *2,309* | *442* |
| *0995 Reimbursements* | *8,218* | *6,313* | *7,212* |

### PROGRAM REQUIREMENTS
### 10 ELECTIONS

| | | | |
|---|---|---|---|
| TOTALS, ELECTIONS | $19,549 | $14,630 | $14,592 |
| State Operations: | | | |
| 10.10 Election—General | 3,003 | 2,938 | 2,971 |
| 10.20 Ballot Pamphlet Printing | 6,019 | 4,300 | 4,300 |
| 10.30 Registration By Mail—Printing | 845 | 404 | 404 |
| 10.40 Ballot Pamphlet Mailing | 5,776 | 2,719 | 2,719 |
| 10.50 Registration by Mail—Postage | 1,228 | 1,800 | 1,800 |
| 10.51 Election Night Reporting | 142 | – | – |
| 10.55 Executive Distribution | 271 | 220 | 221 |
| 10.60 Management Services | 460 | 514 | 516 |
| 10.70 Information Technology | 1,805 | 1,735 | 1,661 |
| *0001 General Fund* | *18,622* | *14,017* | *14,024* |
| *0228 SOS Business Fees Fund* | *538* | *538* | *538* |
| *0995 Reimbursements* | *389* | *75* | *30* |

### PROGRAM REQUIREMENTS
### 15 POLITICAL REFORM

| | | | |
|---|---|---|---|
| TOTALS, POLITICAL REFORM | $3,116 | $3,543 | $2,938 |
| State Operations: | | | |
| 15.10 Political Reform | 1,832 | 2,291 | 1,721 |
| 15.15 Executive Distribution | 141 | 115 | 116 |
| 15.20 Management Services | 236 | 264 | 265 |
| 15.30 Information Technology | 907 | 873 | 836 |
| *0001 General Fund* | *2,857* | *3,487* | *2,185* |
| *0995 Reimbursements* | *259* | *56* | *19* |
| *Less Amount Funded in Political Reform Act* | *(714)* | *(723)* | *726* |
| *Less Reimbursement in PRA* | *(8)* | *(8)* | *8* |

### PROGRAM REQUIREMENTS
### 30 ARCHIVES

| | | | |
|---|---|---|---|
| TOTALS, ARCHIVES | $13,540 | $14,029 | $14,518 |
| State Operations: | | | |
| 30.10 Archives | 10,514 | 11,012 | 11,517 |
| 30.15 Services to Business Fees Programs | 44 | 44 | 44 |
| 30.18 Executive Distribution | 650 | 631 | 637 |
| 30.20 Management Services | 883 | 920 | 929 |
| 30.30 Information Technology | 1,449 | 1,422 | 1,391 |
| *0001 General Fund* | *8,464* | *12,947* | *14,053* |
| *0228 SOS Business Fees Fund* | *44* | *44* | *44* |
| *0995 Reimbursements* | *5,032* | *1,038* | *421* |

*** Dollars in thousands, except in Salary Range.**

## 0890 SECRETARY OF STATE—Continued

### PROGRAM REQUIREMENTS
### 32 EXECUTIVE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| TOTALS, EXECUTIVE | $261 | $227 | $228 |
| *0001 General Fund* | *110* | – | – |
| *0228 SOS Business Fees Fund* | *151* | *227* | *228* |

### PROGRAM REQUIREMENTS
### 35 MANAGEMENT SERVICES

| | | | |
|---|---|---|---|
| TOTALS, MANAGEMENT SERVICES | $265 | $375 | $376 |
| *0001 General Fund* | *203* | *375* | *376* |
| *0995 Reimbursements* | *62* | – | – |

### PROGRAM REQUIREMENTS
### 38 INFORMATION TECHNOLOGY

| | | | |
|---|---|---|---|
| TOTALS, INFORMATION TECHNOLOGY | $234 | $317 | $318 |
| Reimbursements | 234 | 317 | 318 |
| TOTALS, EXPENDITURES (State Operations) | $64,763 | $70,870 | $71,062 |

### PROGRAM REQUIREMENTS
### 98 STATE-MANDATED LOCAL PROGRAMS

| | | | |
|---|---|---|---|
| Ch. 704/75—Voter Registration Procedures: | | | |
| Budget Act | $954 | $1,416 | $1,461 |
| Claims bill (Ch. 780/98) | – | 3 | – |
| Totals | $954 | $1,419 | $1,461 |
| Ch. 77/78—Absentee Ballots: | | | |
| Budget Act | 6,111 | 6,111 | 6,307 |
| Pending legislation set aside for 2000 | – | 5,405 | – |
| Totals | $6,111 | $11,516 | $6,307 |
| Ch. 1422/82—Permanent absentee voters: | | | |
| Budget Act | 253 | 325 | 335 |
| Claims bill (Ch. 780/98) | – | 944 | – |
| Pending legislation set aside for 2000 | – | 385 | – |
| Ch. 1042/85—Election Materials: | | | |
| Claims bill (Ch. 780/98) | 68 | – | – |
| Ch. 391/88—Brendon Maguire Act: | | | |
| Budget Act | – | 1 | 1 |
| Totals | $7,386 | $14,590 | $8,104 |
| Budget Acts | 7,318 | 7,853 | 8,104 |
| Claims bills | 68 | 6,737 | – |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 424.4 | 525.5 | 525.5 | $17,912 | $21,629 | $22,226 |
| Total Adjustments | – | – | –40.0 | – | 63 | –515 |
| Estimated Salary Savings | – | –60.6 | –24.9 | – | –2,549 | –1,811 |
| Net Totals, Salaries and Wages | 424.4 | 464.9 | 460.6 | $17,912 | $19,143 | $19,900 |
| Staff Benefits | – | – | – | 3,658 | 4,449 | 4,620 |
| Totals, Personal Services | 424.4 | 464.9 | 460.6 | $21,570 | $23,592 | $24,520 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $16,694 | $25,528 | $24,804 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Printing ballot pamphlets | | | | 6,019 | 4,300 | 4,300 |
| Mailing ballot pamphlets | | | | 5,776 | 2,719 | 2,719 |
| Printing registration cards | | | | 845 | 404 | 404 |
| Mailing registration cards | | | | 1,228 | 1,800 | 1,800 |
| Election night reporting | | | | 142 | – | – |
| Totals, Special Items | | | | $14,010 | $9,223 | $9,223 |

*** Dollars in thousands, except in Salary Range.**

## 0890 SECRETARY OF STATE—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Lease Revenue Bond | $12,489 | $12,527 | $12,515 |
| Base Rental Fee | 12,443 | 12,467 | 12,455 |
| Structural Insurance | 46 | 60 | 60 |
| TOTALS, EXPENDITURES | $64,763 | $70,870 | $71,062 |
| Less amount funded in the Political Reform Act | (714) | (723) | −726 |
| Less reimbursements in the Political Reform Act | (8) | (8) | −8 |
| NET TOTALS, EXPENDITURES | $64,763 | $70,870 | $70,328 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $20,258 | $21,081 | $21,545 |
| 003 Budget Act appropriation (Rental payments on Lease Revenue Bonds; structural insurance shifted from 001 Budget Act appropriation to 003 Budget Act appropriation effective 1997–98) | 85 | 8,413 | 9,093 |
| Allocation for employee compensation | 760 | 40 | – |
| Allocation for contingencies and emergencies | 5,098 | 581 | – |
| Adjustment per Section 3.60 | −456 | −59 | – |
| Adjustment per Section 4.50 | 3,859 | – | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 8 | – |
| Adjustment per Section 16.00 | 24 | – | – |
| Transfer from Item 8640-001-0001 (Political Reform Act) | 739 | 723 | – |
| Chapter 360, Statutes of 1999 | 40 | – | – |
| Prior year balances available: | | | |
| Chapter 360, Statutes of 1999 | – | 40 | – |
| Totals Available | $30,407 | $30,827 | $30,638 |
| Balance available in subsequent years | −40 | – | – |
| Unexpended balance, estimated savings | −111 | −1 | – |
| TOTALS, EXPENDITURES | $30,256 | $30,826 | $30,638 |

### 0228 Secretary of State's Business Fees Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $18,766 | $27,185 | $28,377 |
| 003 Budget Act appropriation (Rental payments on Lease Revenue Bonds; structural insurance shifted from 001 Budget Act appropriation to 003 Budget Act appropriation effective 1997–98) | 27 | 2,654 | 2,871 |
| Allocation for employee compensation | 753 | 61 | – |
| Adjustment per Section 3.60 | −448 | −84 | – |
| Adjustment per Section 4.50 | 1,217 | – | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 2 | – |
| Adjustment per Section 16.00 | 8 | – | – |
| Chapter 991, Statutes of 1999 | 128 | – | – |
| Prior year balances available: | | | |
| Chapter 991, Statutes of 1999 | – | 118 | – |
| Totals Available | $20,451 | $29,936 | $31,248 |
| Balance available in subsequent years | −118 | – | – |
| Unexpended balance, estimated savings | −355 | – | – |
| TOTALS, EXPENDITURES | $19,978 | $29,936 | $31,248 |

### 0274 Business Reinvestment Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $375 | $2,309 | $442 |
| Unexpended balance, estimated savings | −40 | – | – |
| TOTALS, EXPENDITURES | $335 | $2,309 | $442 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $14,194 | $7,799 | $8,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $64,763 | $70,870 | $70,328 |

---

*** Dollars in thousands, except in Salary Range.**

## 0890 SECRETARY OF STATE—Continued

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 295 Budget Act appropriation (State Mandates) | $7,853 | $7,853 | $8,104 |
| Chapter 574, Statutes of 1999 (State Mandates) | 3,467 | – | – |
| Adjustment per Government Code Section 17613 | –3,467 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 5,790 | – |
| Prior year balances available: | | | |
| Chapter 780, Statutes of 1998 (State Mandates) | 1,015 | 947 | – |
| Totals Available | $8,868 | $14,590 | $8,104 |
| Balance available in subsequent years | –947 | – | – |
| Unexpended balance, estimated savings | –535 | – | – |
| TOTALS, EXPENDITURES (Local Assistance) | $7,386 | $14,590 | $8,104 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $72,149 | $85,460 | $78,432 |

### FUND CONDITION STATEMENT
### 0228 Secretary of State's Business Fees Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $976 | $1,000 | $1,000 |
| Prior year adjustments | 237 | – | – |
| Balance, Adjusted | $1,213 | $1,000 | $1,000 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 124100 Domestic Corporation Fees | 7,520 | 8,712 | 8,712 |
| 124200 Foreign Corporation Fees | 1,341 | 1,548 | 1,548 |
| 124300 Notary Public License Fees | 963 | 985 | 985 |
| 124400 Filing Financing Statements | 6,520 | 4,745 | 5,437 |
| 125600 Other Regulatory Fees | 3,117 | 3,534 | 3,534 |
| 142000 General Fees—Secretary of State | 13,965 | 14,000 | 14,000 |
| 142500 Miscellaneous Services | 3 | 5 | 5 |
| 150300 Interest from Surplus Money Investments | 772 | 500 | 500 |
| 161400 Miscellaneous Revenue | 198 | 98 | 85 |
| 164400 CCVIASS | 1 | – | – |
| Totals, Revenues | $34,400 | $34,127 | $34,806 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Government Code Section 12181 | –14,635 | –4,191 | –3,558 |
| Totals, Transfers | –$14,635 | –$4,191 | –$3,558 |
| Totals, Revenues and Transfers | $19,765 | $29,936 | $31,248 |
| Totals, Resources | $20,978 | $30,936 | $32,248 |
| EXPENDITURES | | | |
| 0890 Secretary of State's Office (State Operations) | 19,978 | 29,936 | 31,248 |
| FUND BALANCE | $1,000 | $1,000 | $1,000 |
| Reserve for continuing appropriation | 118 | – | – |
| Reserve for unencumbered balance of continuing appropriations | 882 | 1,000 | 1,000 |

### 0274 Business Reinvestment Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $6,139 | $5,804 | $3,495 |
| EXPENDITURES | | | |
| 0890 Secretary of State's Office (State Operations) | 335 | 2,309 | 442 |
| FUND BALANCE | $5,804 | $3,495 | $3,053 |
| Reserve for unencumbered balance of continuing appropriations | 5,804 | 3,495 | 3,053 |

*** Dollars in thousands, except in Salary Range.**

## 0890 SECRETARY OF STATE—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 424.4 | 525.5 | 525.5 | $17,912 | $21,629 | $22,226 |
| Salary adjustments | – | – | – | – | 63 | 222 |
| Totals, Adjusted Authorized Positions | 424.4 | 525.5 | 525.5 | $17,912 | $21,692 | $22,448 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | Salary Range | | |
| Assoc Programmer Analyst-Spec | – | – | −0.6 | 4,110–4,997 | – | −36 |
| Acctg Ofcr-Spec | – | – | −1.0 | 3,418–4,155 | – | −50 |
| Staff Svcs Analyst | – | – | −2.0 | 2,507–3,957 | – | −95 |
| Prog Techn III | – | – | −1.0 | 2,626–3,193 | – | −38 |
| Info Sys Techn | – | – | −3.0 | 2,207–3,168 | – | −114 |
| Prog Techn II | – | – | −10.0 | 2,348–2,855 | – | −343 |
| Prog Techn | – | – | −13.4 | 2,029–2,648 | – | −426 |
| Mail Machines Opr I | – | – | −1.0 | 2,029–2,648 | – | −32 |
| Acct Clk II | – | – | −1.0 | 2,104–2,559 | – | −31 |
| Ofc Asst-Typing | – | – | −7.0 | 1,909–2,465 | – | −207 |
| Totals, Workload and Administrative Adjustments | – | – | −40.0 | – | – | −$1,372 |
| Proposed New Positions: | | | | | | |
| Staff Counsel (Range D) | – | – | (1.0) | 5,484–6,763 | – | 75 |
| Archivist II | – | – | (3.0) | 3,795–4,610 | – | 157 |
| Corporation Asst | – | – | (1.0) | 3,401–4,134 | – | 47 |
| Corporation Document Examiner | – | – | (3.0) | 2,872–3,490 | – | 119 |
| Supvng Prog Techn II | – | – | (1.0) | 2,527–3,072 | – | 35 |
| Prog Techn II | – | – | (6.5) | 2,258–2,745 | – | 202 |
| Totals, Proposed New Positions | – | – | (15.5) | – | – | $635 |
| Total Adjustments | – | – | −40.0 | – | $63 | −$515 |
| Totals, Authorized Positions | 424.4 | 525.5 | 485.5 | $17,912 | $21,692 | $21,711 |

# 0950 STATE TREASURER

The State Treasurer provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the State; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants drawn by the State Controller and other state agencies.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Investment Services | 13.7 | 15.2 | 15.2 | $2,145 | $2,116 | $2,200 |
| 20 Cash Management | 55.8 | 51.7 | 51.7 | 6,728 | 7,111 | 7,289 |
| 30 Public Finance | 36.8 | 40.8 | 42.6 | 3,718 | 4,978 | 4,642 |
| 35 Securities Management | 36.6 | 38.2 | 35.8 | 3,976 | 4,133 | 4,157 |
| 50 Administration and Information Services | 81.2 | 85.9 | 85.9 | 9,481 | 8,854 | 8,850 |
| Distributed Administration | – | – | – | −8,265 | −7,625 | −7,621 |
| 98 State-mandated local programs | – | – | – | 13,566 | 4,076 | 3,449 |
| TOTALS, PROGRAMS | 224.1 | 231.8 | 231.2 | $31,349 | $23,643 | $22,966 |
| *0001 General Fund* | | | | *17,601* | *10,804* | *10,343* |
| *0240 Local Agency Deposit Security Fund* | | | | *238* | *174* | – |
| *0321 Oil Spill Response Trust Fund* | | | | – | – | *200* |
| *0995 Reimbursements* | | | | *13,510* | *12,665* | *12,423* |

### Authority

Government Code Sections 12300–12333, 16300–16600, 53661.

## 10 INVESTMENT SERVICES

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 1999–2000 fiscal year, this Division handled 7,991 security investment transactions totaling $260.8 billion. The Pooled Money Investment Board program accounted for 5,416 of these transactions totaling $247.0 billion; time deposits accounted for 1,031 transactions totaling $13.5 billion. The remaining $300 million is invested on behalf of the State's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Game, the State's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the Pooled Money Investment Board program. In the 1999–2000 fiscal year, 2,796 local agencies participated in LAIF, with deposits averaging $12.9 billion for the fiscal year.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0950 STATE TREASURER—Continued

### Major Budget Adjustment Proposed for 2001–02

- An increase of $82,000 ($12,000 General Fund and $70,000 reimbursements) and one redirected position (0.9 personnel year) to address increased workload due to increased participation in the Time Deposit Program. (See Securities Management also.)

## 20 CASH MANAGEMENT

The Cash Management Division is responsible for managing the State's cash resources as mandated by the Pooled Money Investment Board and Government Code Sections 16500 through 16510. The Division analyzes the State's cashflow and completes a daily forecast of available cash which allows others to make informed investment and business decisions. The Division is also responsible for administering the Centralized Banking Services Program. An integral part of managing the State's cash is the Centralized Treasury System which is responsible for processing and reconciling state warrants and agency checks presented by banks for payment, processing stop payment and forgery items, and reconciling all the State's deposits within the Treasury System. The Division is also responsible for maintaining the State's vault used for the safekeeping of moneys and securities pursuant to Government Code Section 12320 and for providing security in the handling and processing of billions of dollars in negotiable securities.

### Major Budget Adjustment Proposed for 2001–02

- A one-time net increase of $178,000 ($519,000 General Fund increase and $341,000 reimbursement decrease) to process additional State Controller warrants issued for the Vehicle License Fee Offset Program authorized by Chapter 106, Statutes of 2000 (AB 858).

## 30 PUBLIC FINANCE

The Public Finance Division is responsible for selling all State of California general obligation bonds, revenue anticipation notes, commercial paper notes and many revenue bonds. Interim financing from the Pooled Money Investment Account is also arranged to meet the immediate cash needs of the various bond programs. Interest costs are minimized through a planned bond marketing program. This program includes disseminating information to bondholders through the investor relations program.

The Division also assures compliance with federal tax laws applicable to state debt by investing and reinvesting bond sale proceeds as needed to meet federal yield restriction requirements, tracking expenditures, and computing and rebating arbitrate profit or other payments to the federal government.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $351,000 General Fund and four positions (3.8 personnel years) to implement the General Obligation Variable Rate Demand Bond Program as authorized by Chapter 522, Statutes of 1999 (SB 997).
- A one-time increase of $200,000 Oil Spill Trust Fund in operating expense and equipment to fund the update of the Oil Spill Prevention and Response Program financing plan for the Department of Fish and Game.

## 35 SECURITIES MANAGEMENT

The Securities Management Division was created in 1999 and consists of the Bondholder Services Section and the Securities Clearance Section, which were formerly part of the Public Finance Division.

The Securities Management Division is responsible for servicing and redeeming all general obligation bonds, certain revenue bonds and revenue anticipation notes for the State of California. The Division maintains certain ownership information for the bonds and ensures the timely payment of principal and interest to the bondholders.

The Division is responsible for the clearing, settling and accounting for all securities purchased or sold for investment purposes for the Pooled Money Investment Account and other state agencies through its custodian.

The Division is also responsible for the safekeeping of all securities and other personal property owned by or pledged to the State. These securities are held in the Treasurer's vault or in approved depositories such as the Federal Reserve Bank in San Francisco.

Pursuant to Chapter 127, Statutes of 2000, on January 1, 2001, the Local Agency Security Program transferred back to the Department of Financial Institutions.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $197,000 ($30,000 General Fund and $167,000 reimbursements) and two redirected positions (1.9 personnel years) to address increased workload due to increased participation in the Time Deposit Program. (See Investment Services also.)

## 50 ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

## 98 STATE-MANDATED LOCAL PROGRAMS

This program provides funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs incurred in complying with state mandates.

---

## PROGRAM BUDGET DETAIL

## PROGRAM REQUIREMENTS

## 10 INVESTMENT SERVICES

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$445* | *$486* | *$499* |
| *0995 Reimbursements* | *1,700* | *1,630* | *1,701* |
| Totals, State Operations | $2,145 | $2,116 | $2,200 |

*** Dollars in thousands, except in Salary Range.**

## 0950 STATE TREASURER—Continued

### PROGRAM REQUIREMENTS
### 20 CASH MANAGEMENT

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$1,027* | *$2,784* | *$3,303* |
| *0995 Reimbursements* | *5,701* | *4,327* | *3,986* |
| Totals, State Operations | $6,728 | $7,111 | $7,289 |

### PROGRAM REQUIREMENTS
### 30 PUBLIC FINANCE

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$947* | *$1,766* | *$1,370* |
| *0321 Oil Spill Response Trust Fund* | *–* | *–* | *200* |
| *0995 Reimbursements* | *2,771* | *3,212* | *3,072* |
| Totals, State Operations | $3,718 | $4,978 | $4,642 |

### PROGRAM REQUIREMENTS
### 35 SECURITIES MANAGEMENT DIVISION

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$1,616* | *$1,692* | *$1,722* |
| *0240 Local Agency Deposit Security Fund* | *238* | *174* | *–* |
| *0995 Reimbursements* | *2,122* | *2,267* | *2,435* |
| Totals, State Operations | $3,976 | $4,133 | $4,157 |

### PROGRAM REQUIREMENTS
### 50 ADMINISTRATION AND INFORMATION SERVICES—UNDISTRIBUTED

| State Operations: | | | |
|---|---|---|---|
| *0995 Reimbursements* | *$1,216* | *$1,229* | *$1,229* |
| Totals, State Operations | $1,216 | $1,229 | $1,229 |

### PROGRAM REQUIREMENTS
### 98 STATE-MANDATED LOCAL PROGRAMS

| Local Assistance: | | | |
|---|---|---|---|
| Ch. 783/95-Investment Reports | $13,566 | $4,076 | $3,449 |
| Totals, Local Assistance | $13,566 | $4,076 | $3,449 |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $17,783 | $19,567 | $19,517 |
| Local Assistance | 13,566 | 4,076 | 3,449 |
| TOTALS, EXPENDITURES | $31,349 | $23,643 | $22,966 |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 224.1 | 243.8 | 239.3 | $11,036 | $12,399 | $12,498 |
| Total Adjustments | – | – | 4.0 | – | 53 | 453 |
| Estimated Salary Savings | – | –12.0 | –12.1 | – | –605 | –626 |
| Net Totals, Salaries and Wages | 224.1 | 231.8 | 231.2 | $11,036 | $11,847 | $12,325 |
| Staff Benefits | – | – | – | 1,987 | 2,198 | 1,983 |
| Totals, Personal Services | 224.1 | 231.8 | 231.2 | $13,023 | $14,045 | $14,308 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,760 | $5,522 | $5,209 |
| TOTALS, EXPENDITURES | | | | $17,783 | $19,567 | $19,517 |

*** Dollars in thousands, except in Salary Range.**

## 0950 STATE TREASURER—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $5,079 | $6,702 | $6,894 |
| Allocation for employee compensation | 329 | 32 | – |
| Adjustment per Section 3.60 | –179 | –39 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 33 | – |
| Totals Available | $5,229 | $6,728 | $6,894 |
| Unexpended balance, estimated savings | –1,194 | – | – |
| TOTALS, EXPENDITURES | $4,035 | $6,728 | $6,894 |

### 0240 Local Agency Deposit Security Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $302 | $174 | – |
| Allocation for employee compensation | 21 | – | – |
| Adjustment per Section 3.60 | –5 | – | – |
| Totals Available | $318 | $174 | – |
| Unexpended balance, estimated savings | –80 | – | – |
| TOTALS, EXPENDITURES | $238 | $174 | – |

### 0321 Oil Spill Response Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $200 |
| TOTALS, EXPENDITURES | – | – | $200 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $13,510 | $12,665 | $12,423 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $17,783 | $19,567 | $19,517 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Mandates | $13,566 | $4,076 | $3,449 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 295 Budget Act appropriation (State Mandates) | – | $3,342 | $3,449 |
| Chapter 574, Statutes of 1999 (State Mandates: Investment Reports) | $14,300 | – | – |
| Prior year balances available: | | | |
| Chapter 574, Statutes of 1999 (State Mandates: Investment Reports) | – | 734 | – |
| Balance available in subsequent years | –734 | – | – |
| TOTALS, EXPENDITURES (Local Assistance) | $13,566 | $4,076 | $3,449 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $31,349 | $23,643 | $22,966 |

### FUND CONDITION STATEMENT
### 0240 Local Agency Deposit Security Fund [s]

Fund transferred to Department of Financial Institutions (2150) per Chapter 127, Statutes of 2000, Government Code Section 53661.

*** Dollars in thousands, except in Salary Range.**

## 0950 STATE TREASURER—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 224.1 | 243.8 | 239.3 | $11,036 | $12,399 | $12,498 |
| Salary adjustments | – | – | – | – | 53 | 74 |
| Totals, Adjusted Authorized Positions | 224.1 | 243.8 | 239.3 | $11,036 | $12,452 | $12,572 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | Salary Range | | |
| Assoc Treasury Prog Ofcr (Investment Svcs Div) | – | – | –1.0 | 3,915–4,759 | – | –47 |
| Assoc Treasury Prog Ofcr (Securities Mgt Div) | – | – | –2.0 | 3,915–4,759 | – | –94 |
| Totals | – | – | –3.0 | – | – | –$141 |
| Proposed New Positions: | | | | | | |
| Assoc Treasury Prog Ofcr | – | – | 3.0 [1] | 3,915–4,759 | – | 155 |
| Totals | – | – | 3.0 | – | – | $155 |
| Proposed New Positions: | | | | | | |
| Treasury Prog Mgr II | – | – | 1.0 [2] | 5,211–6,287 | – | 69 |
| Treasury Prog Mgr I | – | – | 1.0 [2] | 4,520–5,453 | – | 60 |
| Assoc Treasury Prog Ofcr | – | – | 2.0 [2] | 3,915–4,759 | – | 104 |
| Temporary Help | – | – | – | – | – | 121 |
| Overtime | – | – | – | – | – | 11 |
| Totals, Proposed New Positions | – | – | 4.0 | – | – | $365 |
| Total Adjustments | – | – | 4.0 | – | $53 | $453 |
| TOTALS, SALARIES AND WAGES | 224.1 | 243.8 | 243.3 | $11,036 | $12,452 | $12,951 |

[1] For Time Deposit Program.
[2] For General Obligation Variable Rate Demand Bond Program.

## 0954 SCHOLARSHARE INVESTMENT BOARD

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Golden State ScholarShare Trust Program (ScholarShare Administrative Fund) | 1.1 | 3.0 | 3.0 | $94 | $933 | $959 |
| 20 Governor's Scholarship Programs (General Fund) | – | 3.0 | 3.0 | – | 118,999 | 119,300 |
| TOTALS, PROGRAMS | 1.1 | 6.0 | 6.0 | $94 | $119,932 | $120,259 |
| *0001 General Fund* | | | | – | *118,999* | *119,300* |
| *0564 ScholarShare Administrative Fund* | | | | *94* | *933* | *959* |

### 10 Golden State ScholarShare Trust Program

Chapter 851, Statutes of 1997, authorized the California Student Aid Commission (SAC) to establish the Golden State ScholarShare Trust Program, which is a State-sponsored, college savings program. ScholarShare was created to allow Californians the opportunity to invest on a tax-deferred basis to meet the costs of higher education, as allowed by federal law. Participants may invest for their children's college costs at modest levels over a number of years. The money that participants contribute is not taxed until the time of disbursement to the beneficiary's school of choice; it is then taxed at the beneficiary's rate. Administrative costs for the program are paid from the ScholarShare Administrative Fund, which is supported by a portion of the investment returns. Initial expenses of this program were supported by a loan from the General Fund. Program implementation started in 1999.

Chapter 664, Statutes of 1999, established a reconstituted ScholarShare Investment Board (SIB) to oversee and administer the ScholarShare Trust, and moved the ScholarShare Investment Board from the SAC to the umbrella of the State Treasurer's Office, effective January 1, 2000, and made technical changes to the Golden State ScholarShare Trust Act to enhance the program for interested participants.

#### Authority

Chapter 664, Statutes of 1999, amended Education Code Section 69980.

### 20 Governor's Scholarship Programs

Chapter 404, Statutes of 2000, established the Governor's Scholarship Programs to be administered by the SIB. The Governor's Scholarship Programs reward public school students who demonstrate high academic achievement, as evidenced by their scores in the 9th, 10th, or 11th grades on certain examinations. Under the guidelines of the Governor's Scholar's Programs, students receive a $1,000 higher education scholarship award if they either: 1) attain a score on the nationally normed reading and mathematics portions of the Standardized Testing and Reporting (STAR) examination that places them in the top 5 percent of students statewide, or 2) attain a score on the nationally norm-referenced portion of the

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0954 SCHOLARSHARE INVESTMENT BOARD—Continued

STAR examination that places them in the top 10 percent of students within their school. Under the guidelines of the Governor's Distinguished Mathematics and Science Scholars Program, a supplemental award of $2,500 is available to students who also attain specific scores on particular Advanced Placement, International Baccalaureate or Golden State exams. These higher education scholarship awards are invested in a Golden State ScholarShare Trust Account until used by the student for eligible educational expenses.

### Major Budget Adjustment Proposed for 2001–02

- $118 million General Fund for the Governor's Scholarship Programs.

### Authority

Chapter 404, Statutes of 2000, added Article 20 (commencing with Section 69995) to Chapter 2 of Part 42 to the Education Code.

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1.1 | 6.0 | 6.0 | $62 | $321 | $332 |
| Net Totals, Salaries and Wages | 1.1 | 6.0 | 6.0 | $62 | $321 | $332 |
| Staff Benefits | – | – | – | 10 | 45 | 40 |
| Totals, Personal Services | 1.1 | 6.0 | 6.0 | $72 | $366 | $372 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $22 | $1,566 | $1,887 |
| TOTALS, EXPENDITURES | | | | $94 | $1,932 | $2,259 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | $1,000 | $1,300 |
| Allocation for employee compensation | – | 1 | – |
| Adjustment per Section 3.60 | – | –2 | – |
| TOTALS, EXPENDITURES | – | $999 | $1,300 |

### 0564 ScholarShare Administrative Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $934 | $959 |
| Transfer from Student Aid Commission (7980-001-0564) per Chapter 664, Statutes of 1999 | $732 | – | – |
| Allocation for employee compensation | 8 | 1 | – |
| Adjustment per Section 3.60 | –11 | –2 | – |
| Totals Available | $729 | $933 | $959 |
| Unexpended balance, estimated savings | –635 | – | – |
| TOTALS, EXPENDITURES | $94 | $933 | $959 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $94 | $1,932 | $2,259 |

---

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | – | – | $118,000 |
| Chapter 404, Statutes of 2000 (Governor's Scholarship Programs) | – | $118,000 | – |
| TOTALS, EXPENDITURES (Local Assistance) | – | $118,000 | $118,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $94 | $119,932 | $120,259 |

---

*** Dollars in thousands, except in Salary Range.**

# 0956 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

The California Debt Advisory Commission (CDAC), was created by Chapter 1088, Statutes of 1981, to assist state and local governments to effectively and efficiently issue, monitor and manage public debt. Under Chapter 833, Statutes of 1996, the CDAC's responsibilities were expanded to include a municipal education and oversight program to help local governments safely and effectively invest public funds. Under this law, the Commission was renamed the California Debt *and Investment* Advisory Commission (CDIAC) in recognition of its responsibilities related to the investment of public funds. Chapter 470, Statutes of 1998, requires the CDIAC to prepare an annual report of outstanding public debt in California.

To carry out its responsibilities, the Commission maintains a database of all public debt issued in California, conducts continuing education programs in the public debt and public investment areas, publishes a monthly newsletter with debt issuance data and informative articles, and conducts research to develop reports, guidelines and briefs on topical issues in public finance.

State and local issuers of public debt in California are required to give written notice of proposed sales to the Commission 30 days prior to the sale date. This must be followed up by a report of final sale submitted no later than 45 days after the specific sale date. Nonprofit student loan corporations, organized for the purpose of acquiring student loans, also must report proposed debt issues to the Commission. The Commission is authorized to charge fees not to exceed one-fortieth of one percent of the principal amount of the issue, up to a maximum of $5,000 for any one issue. The fees collected are deposited into the California Debt and Investment Advisory Commission Fund (CDIACF) to cover the administrative costs of the Commission.

The Commission consists of nine members including the State Treasurer, who is chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; and two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly and, two members of the Senate appointed by the Senate Committee on Rules.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 California Debt and Investment Advisory Commission | 12.6 | 16.0 | 16.0 | $1,424 | $1,798 | $1,778 |
| *0171 California Debt and Investment Advisory Commission Fund* | | | | *1,353* | *1,698* | *1,678* |
| *0995 Reimbursements* | | | | *71* | *100* | *100* |

## Authority

Government Code Sections 8855–8859.

---

| SUMMARY BY OBJECT 1 STATE OPERATIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 12.6 | 16.0 | 16.0 | $650 | $844 | $871 |
| Net Totals, Salaries and Wages | 12.6 | 16.0 | 16.0 | $650 | $844 | $871 |
| Staff Benefits | – | – | – | 130 | 143 | 149 |
| Totals, Personal Services | 12.6 | 16.0 | 16.0 | $780 | $987 | $1,020 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $644 | $811 | $758 |
| TOTALS, EXPENDITURES | | | | $1,424 | $1,798 | $1,778 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0171 California Debt and Investment Advisory Commission Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,641 | $1,694 | $1,678 |
| Allocation for employee compensation | 72 | 4 | – |
| Adjustment per Section 3.60 | –50 | –6 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 6 | – |
| Totals Available | $1,663 | $1,698 | $1,678 |
| Unexpended balance, estimated savings | –310 | – | – |
| TOTALS, EXPENDITURES | $1,353 | $1,698 | $1,678 |
| **0995 Reimbursements** | | | |
| Reimbursements | $71 | $100 | $100 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,424 | $1,798 | $1,778 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0956 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION—Continued

| FUND CONDITION STATEMENT<br>0171 California Debt and Investment Advisory Commission Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $3,779 | $4,075 | $3,792 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,435 | 1,200 | 1,100 |
| 150300 Income from surplus money investments | 214 | 215 | 200 |
| Totals, Revenues and Transfers | $1,649 | $1,415 | $1,300 |
| Totals, Resources | $5,428 | $5,490 | $5,092 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0956 California Debt and Investment Advisory Commission (State Operations) | 1,353 | 1,698 | 1,678 |
| FUND BALANCE | $4,075 | $3,792 | $3,414 |
| Reserve for economic uncertainties | 4,075 | 3,792 | 3,414 |

# 0959 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

The California Debt Limit Allocation Committee was created through a proclamation signed by the Governor on July 19, 1984, in response to the enactment of the Federal Tax Reform Act of 1984. Another proclamation in response to the Federal Tax Reform Act of 1986 was signed by the Governor on September 30, 1986. Chapter 943, Statutes of 1987, supersedes the prior proclamations as the continuing authority for the Committee's operation. The Tax Reform Acts of 1984 and 1986 limit the dollar volume of federally tax-exempt "private activity" bonds which may be sold in any one state during a calendar year. The term "private activity", as applied to tax-exempt bonds, generally includes industrial development bonds, housing bonds and exempt facilities bonds for solid waste disposal. The Tax Reform Act of 1986, in addition to further limiting the purposes for which federally tax-exempt "private activity" bonds may be issued, reduces the dollar volume limit of such bonds to approximately $1.6 billion ($50 per capita) for California after 1987. As a result of the 1998 Omnibus Budget Act, the per capita dollar amount will increase in increments beginning in 2003 to $75 per capita in 2007.

The Committee oversees the State's allocation system for the issuance of "private activity" bonds under the provisions of Chapter 943, Statutes of 1987. The Committee is comprised of the State Treasurer, as chairperson; the Governor or, upon his designation, the Director of Finance; and the State Controller.

The Committee is funded on a fee-supported basis.

### Authority

Chapter 943, Statutes of 1987.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 California Debt Limit Allocation Committee (California Debt Limit Allocation Committee Fund) | 6.3 | 8.0 | 8.0 | $823 | $868 | $875 |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS | | | | | | |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
| Authorized Positions (Equals Sch. 7A) | 6.3 | 8.0 | 8.0 | $323 | $404 | $416 |
| Net Totals, Salaries and Wages | 6.3 | 8.0 | 8.0 | $323 | $404 | $416 |
| Staff Benefits | – | – | – | 53 | 60 | 63 |
| Totals, Personal Services | 6.3 | 8.0 | 8.0 | $376 | $464 | $479 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $447 | $404 | $396 |
| TOTALS, EXPENDITURES | | | | $823 | $868 | $875 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0959 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0169 California Debt Limit Allocation Committee Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $676 | $865 | $875 |
| Allocation for employee compensation | 27 | 2 | – |
| Increase expenditure authority per Provision 1 | 148 | – | – |
| Adjustment per Section 3.60 | −19 | −1 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 2 | – |
| Totals Available | $832 | $868 | $875 |
| Unexpended balance, estimated savings | −9 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $823 | $868 | $875 |

### FUND CONDITION STATEMENT
### 0169 California Debt Limit Allocation Committee Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $3,092 | $3,159 | $3,194 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 706 | 701 | 707 |
| 150300 Income from surplus money investments | 184 | 202 | 202 |
| Totals, Revenues and Transfers | $890 | $903 | $909 |
| Totals, Resources | $3,982 | $4,062 | $4,103 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0959 California Debt Limit Allocation Committee (State Operations) | 823 | 868 | 875 |
| FUND BALANCE | $3,159 | $3,194 | $3,228 |
| Reserve for economic uncertainties | 3,159 | 3,194 | 3,228 |

## 0965 CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION

The California Industrial Development Financing Advisory Commission (CIDFAC) was created by Chapter 1358, Statutes of 1980. The State Treasurer serves as chairperson of the Commission. The other members are the Director of Finance, the State Controller, the Secretary of the Trade and Commerce Agency, and the Commissioner of Corporations.

Chapter 1358 allows cities and counties to issue industrial development revenue bonds. Bonds issued under this program are not a debt, liability or a pledge of the faith and credit nor the taxing power of the State of California. The Bonds are subject to the State's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986, and allocated by the California Debt Limit Allocation Committee.

The program is intended to benefit economically distressed areas within the State. The proceeds of the bonds provide industry with an alternative method of financing capital outlay to acquire, construct or rehabilitate facilities which will increase employment or otherwise contribute to economic development.

Expenses of local industrial development authorities are funded by fees collected from applicants for financing under the program. Direct expenses of the Commission and those of the Office of the State Treasurer are also funded by fees collected from applicants and from bond proceeds.

Chapter 1605, Statutes of 1982, as amended by Chapter 1109, Statutes of 1987, provides that the aggregate amount of bonds issued shall not exceed $350 million per calendar year for federally tax-exempt issues and a like amount for federally taxable issues.

Chapter 913, Statutes of 1994, provides financing incentives under the State Enterprise Zone Act.

Chapter 1035, Statutes of 1998, extended the authority for the Commission to issue tax-exempt Industrial Development Bonds until January 1, 2004.

Chapter 863, Statutes of 1999, created the Revolving Loan Fund Securitization Program within the Commission. The new program allows the Commission to issue bonds to securitize local economic development revolving loan funds.

### Authority

Government Code Sections 91500 to 91564, Financial Code Section 1364, Insurance Code Section 1192.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0965 CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 California Industrial Development Financing Advisory Commission | 2.3 | 4.0 | 4.0 | $305 | $524 | $537 |
| *0215 Industrial Development Fund* | | | | *305* | *451* | *468* |
| *0297 Community and Economic Development Fund* | | | | – | *73* | *69* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 2.3 | 4.0 | 4.0 | $170 | $277 | $284 |
| Net Totals, Salaries and Wages | 2.3 | 4.0 | 4.0 | $170 | $277 | $284 |
| Staff Benefits | – | – | – | 30 | 40 | 43 |
| Totals, Personal Services | 2.3 | 4.0 | 4.0 | $200 | $317 | $327 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $105 | $207 | $210 |
| TOTALS, EXPENDITURES | | | | $305 | $524 | $537 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0215 Industrial Development Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $447 | $447 | $468 |
| Allocation for employee compensation | 16 | 2 | – |
| Adjustment per Section 3.60 | –13 | – | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 2 | – |
| Totals Available | $450 | $451 | $468 |
| Unexpended balance, estimated savings | –145 | – | – |
| TOTALS, EXPENDITURES | $305 | $451 | $468 |

### 0297 Community and Economic Development Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriations (expenditures) | – | $73 | $69 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $305 | $524 | $537 |

### FUND CONDITION STATEMENT
### 0215 Industrial Development Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $1,142 | $1,021 | $795 |
| Prior year adjustment | – | – | – |
| Balance, Adjusted | $1,142 | $1,021 | $795 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees (application fees) | 124 | 180 | 180 |
| 150300 Income from surplus money investments | 60 | 100 | 60 |
| Totals, Revenues | $184 | $280 | $240 |
| Transfers to Other Funds: | | | |
| T00297 Loan to Community and Economic Development Fund per Government Code Section 9155 | – | –55 | –55 |
| Totals, Transfers to Other Funds | – | –$55 | –$55 |
| Totals, Revenues and Transfers | $184 | $225 | $185 |
| Totals, Resources | $1,326 | $1,246 | $980 |

*** Dollars in thousands, except in Salary Range.**

## 0965 CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| 0965 California Industrial Development Financing Advisory Commission (State Operations) | $305 | $451 | $468 |
| FUND BALANCE | $1,021 | $795 | $512 |
| Reserve for economic uncertainties | 1,021 | 795 | 512 |

# 0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

Chapter 1097, Statutes of 1981, established the California Mortgage Bond Allocation Committee for the purpose of approving mortgage revenue bond allocations. In response to the 1986 Federal Tax Reform Act, the Committee was authorized by Chapter 688, Statutes of 1987, to allocate federal Low-Income Housing Tax Credits (LIHTC) to foster development of affordable rental housing. The program provides tax credits of $1.25 per capita per calendar year. Chapter 943, Statutes of 1987, transferred authorization for approving mortgage revenue bond allocations to the California Debt Limit Allocation Committee. Chapter 1138, Statutes of 1987, established a state tax credit program, similar to the LIHTC, making available up to $35 million per year. Chapter 9, Statutes of 1998, increased the state tax credit ceiling for building low-income rental housing from $35 million to $50 million per year for calendar years 1998 and 1999. Chapter 3, Statutes of 2000, made permanent the increase in state tax credit ceiling as provided in Chapter 9. As of December 31, 1999, a total of $557,465,123 federal credit, and $537,022,845 of state credit, has been allocated.

Chapter 166, Statutes of 1990, renamed the California Mortgage Bond Allocation Committee to the "California Tax Credit Allocation Committee" (CTCAC). The CTCAC consists of seven members, including the Treasurer who is designated as chairman, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency and two local government representatives.

Chapter 1164, Statutes of 1994, established a subaccount within the Tax Credit Allocation Fee Account named the Occupancy Compliance Monitoring Account. One-time monitoring fees are collected and deposited into this subaccount for the purpose of paying the costs of monitoring projects receiving allocations of tax credits for compliance with federal and state laws for a 55-year compliance period. The fees and interest earnings will be used to fund the compliance activities during the 55-year period. This monitoring program applies to all projects awarded credits since 1987 including some 1,564 projects (92,413 units) as of December 31, 1999.

Chapter 954, Statutes of 1996, established the Farmworker Housing Assistance Program making available up to $500,000 per year of state tax credits for construction of farmworker housing. As of December 31, 1999, a total of $770,000 in tax credit has been awarded under the Farmworker Housing Assistance Program.

The CTCAC's activities are funded from fees paid by applicants for tax credits.

### Authority

Chapter 1097, Statutes of 1981; Chapters 688, 943, and 1138, Statutes of 1987; Chapter 166, Statutes of 1990; Chapter 1164, Statutes of 1994; Chapter 954, Statutes of 1996; Chapter 9, Statutes of 1998, and Chapter 3, Statutes of 2000.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 California Tax Credit Allocation Committee | 17.4 | 24.1 | 24.1 | $2,142 | $2,470 | $2,645 |
| *0448 Occupancy Compliance Monitoring Account* | | | | *605* | *985* | *1,039* |
| *0457 Tax Credit Allocation Fee Account* | | | | *1,498* | *1,455* | *1,576* |
| *0995 Reimbursements* | | | | *39* | *30* | *30* |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS<br>PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 17.4 | 24.1 | 24.1 | $822 | $1,113 | $1,161 |
| Net Totals, Salaries and Wages | 17.4 | 24.1 | 24.1 | $822 | $1,113 | $1,161 |
| Staff Benefits | – | – | – | 155 | 172 | 181 |
| Totals, Personal Services | 17.4 | 24.1 | 24.1 | $977 | $1,285 | $1,342 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $771 | $1,043 | $1,161 |
| SPECIAL ITEMS OF EXPENSE | | | | 5 | 6 | 6 |
| TOTALS, EXPENDITURES | | | | $1,753 | $2,334 | $2,509 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0448 Occupancy Compliance Monitoring Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $648 | $986 | $1,039 |
| Allocation for employee compensation | 32 | 1 | – |
| Adjustment per Section 3.60 | −18 | −5 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 3 | – |
| Totals Available | $662 | $985 | $1,039 |
| Unexpended balance, estimated savings | −57 | – | – |
| TOTALS, EXPENDITURES | $605 | $985 | $1,039 |

### 0457 Tax Credit Allocation Fee Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,258 | $1,311 | $1,434 |
| Health and Safety Code Section 50199.9(b) | 5 | 6 | 6 |
| Allocation for employee compensation | 60 | 1 | – |
| Adjustment per Section 3.60 | −43 | −3 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 4 | – |
| Totals Available | $1,280 | $1,319 | $1,440 |
| Unexpended balance, estimated savings | −171 | – | – |
| TOTALS, EXPENDITURES | $1,109 | $1,319 | $1,440 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $39 | $30 | $30 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,753 | $2,334 | $2,509 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0457 Tax Credit Allocation Fee Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Health and Safety Code Section 50199.9(b) (expenditures) | $389 | $136 | $136 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $2,142 | $2,470 | $2,645 |

### FUND CONDITION STATEMENT
### 0448 Occupancy Compliance Monitoring Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $13,017 | $17,358 | $21,613 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 4,129 | 4,200 | 4,200 |
| 150300 Income from surplus money investments | 817 | 1,040 | 1,317 |
| Totals, Revenues | $4,946 | $5,240 | $5,517 |
| Totals, Resources | $17,963 | $22,598 | $27,130 |
| EXPENDITURES | | | |
| 0968 California Tax Credit Allocation Committee (State Operations) | 605 | 985 | 1,039 |
| FUND BALANCE | $17,358 | $21,613 | $26,091 |
| Reserve for economic uncertainties | 17,358 | 21,613 | 26,091 |

*** Dollars in thousands, except in Salary Range.**

## 0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE—Continued

| 0457 Tax Credit Allocation Fee Account [s 1] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $16,386 | $18,983 | $21,835 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 3,090 | 3,200 | 3,200 |
| 150300 Income from surplus money investments | 999 | 1,107 | 1,282 |
| 161000 Escheat of Unclaimed Checks and Warrants | 6 | – | – |
| Totals, Revenues | $4,095 | $4,307 | $4,482 |
| Totals, Resources | $20,481 | $23,290 | $26,317 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0968 California Tax Credit Allocation Committee: | | | |
| State Operations | 1,109 | 1,319 | 1,440 |
| Local Assistance | 389 | 136 | 136 |
| Totals, Disbursements | $1,498 | $1,455 | $1,576 |
| FUND BALANCE | $18,983 | $21,835 | $24,741 |
| Reserve for economic uncertainties | 18,983 | 21,835 | 24,741 |

[1] Fund 0457-Mortgage Bond and Tax Credit Allocation Fee Account, Renamed the Tax Credit Allocation Fee Account pursuant to Chapter 1164, Statutes of 1994.

## 0971 CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

The California Alternative Energy Source Financing Authority was established by Chapter 908, Statutes of 1980, for the purpose of providing California industry an alternative method of financing the construction and installation of facilities using alternative methods and sources of energy. Such construction can help meet the energy needs of the State in a manner which minimizes degradation of the environment and conserves scarce energy resources.

Chapter 1218, Statutes of 1994, renamed the Authority to the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) and thereby expanded the purpose of the Authority to include the financing and development of advanced transportation technologies.

The Authority consists of five members: the State Treasurer (Chairperson); the State Controller; the Director of Finance; the Chairperson of the Energy Resources Conservation and Development Commission; and the President of the Public Utilities Commission.

The Authority is empowered to establish criteria for projects selected for financing, issue revenue bonds, enter into loan agreements for the sale, construction, installation, or acquisition of projects, and assist small business entities in locating a funding source for projects not financed by the Authority.

With the exception of the projects specified in the transition rules of the Federal Tax Reform Act of 1986, projects over $10 million generally are no longer eligible for financing with federally tax-exempt bonds; however, such projects could be financed by bonds which are exempt from state taxation. Other federally tax-exempt bonds of the Authority, in general, are subject to the State's "private activity" bond ceiling as specified in the Tax Reform Act and allocated by the California Debt Limit Allocation Committee.

The Authority is authorized to issue up to $350 million in revenue bonds to finance alternative energy projects pursuant to Chapter 328, Statutes of 1994. As of June 30, 2000, $181.6 million in bonds had been sold.

### Authority

Public Resources Code Sections 26004–26017.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Alternative Energy and Advanced Transportation Financing Authority (California Alternative Energy Authority Fund) | 0.8 | 1.0 | 1.0 | $98 | $164 | $165 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 0.8 | 1.0 | 1.0 | $62 | $78 | $79 |
| Net Totals, Salaries and Wages | 0.8 | 1.0 | 1.0 | $62 | $78 | $79 |
| Staff Benefits | – | – | – | 11 | 17 | 18 |
| Totals, Personal Services | 0.8 | 1.0 | 1.0 | $73 | $95 | $97 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $25 | $69 | $68 |
| TOTALS, EXPENDITURES | | | | $98 | $164 | $165 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0971 CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0528 California Alternative Energy Authority Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $125 | $163 | $165 |
| Allocation for employee compensation | 7 | 1 | – |
| Increase expenditure authority per Provision 1 | 26 | – | – |
| Adjustment per Section 3.60 | –4 | – | – |
| Totals Available | $154 | $164 | $165 |
| Unexpended balance, estimated savings | –56 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $98 | $164 | $165 |

## 0974 CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY

The California Pollution Control Financing Authority (CPCFA) was established by Chapter 1257, Statutes of 1972, to provide California businesses with a reasonable method of financing pollution control facilities and to foster compliance with government imposed environmental standards and requirements. The CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of Finance.

Industrial firms and agricultural producers receive funds from the sale of CPCFA revenue bonds for the acquisition, construction, or installation of pollution control facilities to meet environmental requirements mandated by public agencies. Companies that have received assistance through the program include food processors, cooperatives, manufacturers, waste disposal and resource recovery firms, metal platers, public utilities, refiners and marketers. As of June 30, 2000, bonds totaling $9.961 billion have been sold by the CPCFA for pollution control projects. Projects ranging from $10,000 to approximately $500 million have been financed through the CPCFA.

Chapter 342, Statutes of 1985, authorized the CPCFA to establish a Small Business Assistance Fund. Small businesses usually do not have access to financial markets in the same way that larger businesses do. The Small Business Assistance Tax-Exempt Bond Program gives small businesses ''access'' to the financial markets by issuing bonds on behalf of the borrower and provides other financial and technical assistance to applicants. As of June 30, 2000, bonds totaling $333.74 million have been issued by the CPCFA for small business projects. The CPCFA also administers the California Capital Access Program (CalCAP). CalCAP encourages banks and other financial institutions to make loans to small businesses. From its inception in 1994 through June 30, 2000, CalCAP has enabled $392 million in loans to small businesses.

The Federal Reform Act of 1986 constrained the ability of the CPCFA to issue tax-exempt bonds for private uses (i.e., air and water pollution control projects). In addition, the federal tax legislation imposed a ceiling on the total amount of tax exempt bonds which can be sold in each state. Thus, the CPCFA's projects must now compete with those from other California authorities and financing agencies for debt allocation. This limitation has resulted in some of the CPCFA's projects being only partially funded by tax exempt bonds and taxable bonds.

Recently, the CPCFA's bond sales have been (1) for refinancing previous bond issues and (2) for the financing of resource recovery projects and solid waste disposal projects required by the California Integrated Waste Management Act of 1989. This Act (Chapter 1095, Statutes of 1989) mandated cities and counties to divert 25 percent of solid waste from landfills through source reduction, recycling, and composting by January 1, 1995. A 50 percent diversion was mandated by January 1, 2000. Integrated Waste Management programs are expected to be a large component of the CPCFA's workload until the requirements of the California Integrated Waste Management Act of 1989 have been met.

Chapter 914, Statutes of 2000, authorizes CPCFA to award up to $2.5 million in grants and loans to assist economically struggling communities. Cities and counties may use the funds to develop and implement sustainable development and sound environmental policies and programs. The program will sunset on January 1, 2007.

Chapter 915, Statutes of 2000, authorizes CPCFA to provide financing assistance for remediation and development of brownfield sites. Initial program efforts will focus on providing technical assistance and financing assessment costs through forgivable loans.

### Authority

Health and Safety Code Sections 44500–44520 and 44526–44548.

## 0977 CALIFORNIA HEALTH FACILITIES FINANCING AUTHORITY

The California Health Facilities Financing Authority (CHFFA) was established by Chapter 1033, Statutes of 1979. The CHFFA consists of nine members: the State Treasurer, the Director of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

The CHFFA issues revenue bonds to assist qualified private nonprofit corporations or associations, counties and hospital districts in financing or refinancing the construction, equipping or acquiring of health facilities. The funding or refinancing is accomplished by making loans to health institutions, by direct purchase and leaseback of the health facility by the CHFFA, or by a health institution acting as an agent for the CHFFA. The CHFFA can determine the location and character of any proposed project and can solicit state and federal mortgage insurance for any funded project. To qualify for funding under the Act, the project must be a health facility, as defined in the Act, operated by a private nonprofit corporation or association, city, city and county, county or hospital district. Numerous statutes enacted between 1983 and 1989 broadened the types of facilities that may be financed by the CHFFA.

Prior to September 30, 1998, the CHFFA was authorized to have outstanding at any one point in time up to $5.999 billion in revenue bonds. Chapter 1035, Statutes of 1998, eliminated the limitation on bonds outstanding in its entirety.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0977 CALIFORNIA HEALTH FACILITIES FINANCING AUTHORITY—Continued

The Federal Tax Reform Act of 1986 does not restrict health facility bonds in terms of the State's "private activity" bond limit. Bonds issued under this program are not a debt or liability or a pledge of faith and credit of the taxing power of the State or any of its political subdivisions. The full faith and credit of the participating institutions are pledged to the payment of bonds. This is a trust activity and all operating expenses must be paid from revenues and other moneys available to the CHFFA.

In 1999–00, the Legislature provided a one-year $5 million General Fund augmentation to the CHFFA to continue the HELP II Loan Program. The HELP II Loan Program provides low cost loans to small and/or rural public or non-profit health facilities to expand services to clients, purchase equipment, renovate facilities, and refinance higher interest rate loans to stabilize cash flow.

Chapter 99, Statutes of 2000, establishes the Cedillo-Alarcon Community Care Investment Act of 2000, which authorizes CHFFA to award grants to eligible primary care clinics for capital outlay projects. The Budget Act of 2000 includes a one-time $50 million General Fund augmentation for transfer to CHFFA Fund to award grants in amounts not to exceed $250 thousand to any clinic facility. CHFFA is developing selection criteria and a process for awarding the grants and anticipates the disbursement of the funds during 2000–01 and 2001–02. The Authority will report to the Joint Legislative Budget Committee on the recipients of grants upon disbursement of all grant funds.

### Authority

Government Code Sections 15430–15463.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 California Health Facilities Financing Authority | – | – | – | $5,000 | $50,000 | – |
| *0001 General Fund* | | | | *5,000* | *50,000* | – |

| SUMMARY BY OBJECT<br>RECONCILIATION WITH APPROPRIATIONS<br>2 LOCAL ASSISTANCE<br>0001 General Fund | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $5,000 | $50,000 | – |
| TOTALS, EXPENDITURES (Local Assistance) | $5,000 | $50,000 | – |

## 0983 CALIFORNIA URBAN WATERFRONT AREA RESTORATION FINANCING AUTHORITY

The California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was created by Chapter 1264, Statutes of 1983. It consists of five members: the State Treasurer (Chairperson); the Director of Finance; the State Controller; the Secretary for Resources; and the Executive Officer of the State Coastal Conservancy.

The Authority was established to issue up to $650 million in revenue bonds to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the State's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. To date, the Authority has sold $3.33 million in revenue bonds for the Santa Monica International American Youth Hostel.

### Authority

Public Resources Code Sections 32000–32003.

## 0985 CALIFORNIA SCHOOL FINANCE AUTHORITY

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established the California School Finance Authority and authorized the issuance of $400 million in revenue bonds or other debt instruments. The proceeds from the sale of the bonds are available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, acquisition of portable/relocatable buildings, and to provide working capital. The proceeds of loan repayments provide the resources necessary for payment of bond debt service. All expenditures of the Authority for debt service and other expenses must be paid from the revenues available to the Authority. Effective January 1, 1997, Chapter 1071, Statutes of 1996, authorized the issuance of an additional $400 million in revenue bonds per fiscal year for school districts and county offices of education that agree to guarantee payment of the bonds with Proposition 98 funds. The total outstanding amount may not exceed $4 billion at any one time. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the $400 million annual issuance cap is eliminated.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 0985 CALIFORNIA SCHOOL FINANCE AUTHORITY—Continued

Pursuant to Chapter 718, Statutes of 1999, as amended by Chapter 193, Statutes of 2000 (effective January 1, 2001), the Authority is authorized to provide interim financing to school districts in anticipation of receiving Proposition 1A bond funding from the State Allocation Board. The Authority issued $47.8 million in interim financing to school districts that would otherwise have waited until the second phase of Proposition 1A bond funds, which became available in July 2000.

As of July 1, 2000, $47.9 million in bonds and notes were outstanding for projects at 16 school districts.

### Authority

Education Code Sections 17170–17199.5.

---

# 0989 CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY

The California Educational Facilities Authority (CEFA) is a public instrumentality of the State comprised of five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor. All members serve four-year terms.

The Authority issues revenue bonds to assist private educational institutions of higher learning in the expansion and construction of nonsectarian educational facilities. Facilities used for sectarian instruction or as a place of religious worship are not eligible for financing from the Authority. Through its ability to issue tax-exempt bonds, the Authority provides lower cost financing to these institutions than they would be able to secure on the open market. Bonds issued by the Authority are not a debt or liability or a pledge of the faith and credit of the taxing power of the State or any of its political subdivisions. Instead, the full faith and credit of the participating institutions are pledged to the payment of bonds issued by the Authority. Bonds issued for this purpose are not subject to the State's ''private activity'' bond ceiling as specified in the Federal Tax Reform Act of 1986. The bonds are issued for institutions on a stand-alone basis and also on a pooled or combined basis.

Prior to January 1, 1999, the Authority was authorized to have outstanding at any one time $2.6 billion in bonds for educational facilities. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the limitation of $2.6 billion in bonds outstanding has been eliminated entirely. As of June 30, 2000, bonds and notes in the amount of $4.405 billion have been issued and $2.339 billion were outstanding.

Pursuant to Chapter 917, Statutes of 1995, effective January 1, 1996, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations and functions were transferred to the CEFA.

The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds, thereby expanding student access to such low-cost federally reinsured loans. Pursuant to Chapter 917, the functions were expanded under CEFA to include direct student lending from proceeds of tax-exempt revenue bonds issued by the Authority. The Authority was authorized to have outstanding at any one time $300 million in bonds for student loans. This cap has been eliminated by Chapter 741, Statutes of 1998. As of June 30, 2000, $55.26 million in bonds were outstanding, from a total $220.373 million issued. Bonds for this purpose are subject to the State's ''private activity'' bond ceiling, as specified in the Federal Tax Reform Act of 1986 and allocated by the California Debt Limit Allocation Committee. Bonds issued for student loans are not a debt, or liability, or a pledge of the faith and credit of the taxing power of the State or any of its political subdivisions.

This is a trust activity and all operating expenses must be paid from revenues and other moneys available to CEFA.

### Authority

Education Code Sections 94100–94213.

---

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# TABLE OF CONTENTS

## STATE AND CONSUMER SERVICES


California Science Center ..... SCS 1
Department of Consumer Affairs ..... SCS 5
Department of Fair Employment and Housing ..... SCS 98
Fair Employment and Housing Commission ..... SCS 99
Franchise Tax Board ..... SCS 100
Department of General Services ..... SCS 111
State Personnel Board ..... SCS 129
Public Employees' Retirement System ..... SCS 132
State Teachers' Retirement System ..... SCS 138

# 1100 CALIFORNIA SCIENCE CENTER

The California Science Center is an educational, scientific and technological center administered by a nine-member board of directors appointed by the Governor. It is located in Exposition Park, a 160-acre tract just south of the central part of Los Angeles, which is owned by the State in the name of the Science Center. The Science Center is a place where children, teachers and families can explore how science is relevant to their everyday lives. Through hands-on experiences, children, teachers and families are introduced to scientific principles in the context of the world that surrounds them. It is an approach intended to challenge people to think, to question, and to see their world in an entirely new way.

In a number of State-owned buildings, the Science Center presents a series of exhibits and conducts associated educational programs centering on scientific and technological development of the State. In addition, the Science Center through the Park Manager, has responsibility for maintenance of the park, public safety and parking facilities.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Education | 82.3 | 107.1 | 111.3 | $13,271 | $12,535 | $12,804 |
| 20 Park Manager | 32.4 | 39.3 | 39.3 | 390 | 2,715 | 3,188 |
| 30 California African American Museum | 27.7 | 33.2 | 34.7 | 2,310 | 5,318 | 4,319 |
| 40 Administration | 16.5 | 20.6 | 20.6 | 1,057 | 1,169 | 1,176 |
| Distributed Administration | – | – | – | −1,057 | −1,169 | −1,176 |
| TOTALS, PROGRAMS | 158.9 | 200.2 | 205.9 | $15,971 | $20,568 | $20,311 |
| *0001 General Fund* | | | | *12,739* | *15,446* | *16,296* |
| *0267 Exposition Park Improvement Fund* | | | | *2,784* | *2,716* | *2,698* |
| *0995 Reimbursements* | | | | *448* | *2,406* | *1,317* |

## 10 EDUCATION

The program performed by the California Science Center exhibits scientific and industrial capabilities and accomplishments. The Executive Director and staff, in cooperation with the nine-member board of directors, administer the Science Center's operations.

The Science Center's primary exhibition hall, which opened in February 1998, includes a major science center, a resource center with professional development programming for science educators, and a new state-of-the-art 3D IMAX theater. The Science Center has also joined with the Los Angeles Unified School District to build a science- and math-focused neighborhood elementary school on-site.

The primary purpose of the Science Center's educational programs is to create and stimulate the interest of Californians in the fields of science, industry, and economics. It is particularly oriented to reach the largest possible number of the State's young people, with the anticipation that some will pursue scientific, industrial, and economic careers and thereby provide California with needed resources in these fields. The Science Center's programs, in addition to permanent, temporary and traveling exhibits, consist of lectures, seminars, films, science workshops and teaching institutes led by eminent scientists from across the country. A major portion of these activities are financed by the California Science Center Foundation which is supported by private contributions. Admission to the Science Center is free.

Aerospace Hall, renamed the Air and Space Gallery, serves to fill the gap between the public's growing use of the benefits of space exploration and research, and its limited understanding of the basic use of these achievements in their daily lives. The Air and Space Gallery is anticipated to reopen in July 2001, after being closed for renovations and upgrades since February 1998.

### Major Budget Adjustments Proposed for 2001–02

- $492,000 General Fund and 4.0 positions to maintain and support exhibit displays, keep the building clean for the public, and facilitate a learning environment for guests at the Air and Space Gallery.
- $220,000 General Fund to purchase and replace maintenance equipment.

### Authority

Agricultural Code, Division 3, Part 3, Chapter 6 (Sections 4101 through 4106); Chapter 571, Statutes of 1977; and Chapter 1171, Statutes of 1988.

## 20 PARK MANAGER

The Park Manager program was established in 1999–00 to provide a management structure to facilitate coordination, scheduling and administration of activities within Exposition Park. Park management consolidates responsibility for the outstanding and proposed leases that impact the State's interests, including upgrades of other facilities, the development of playground areas and ground leases, and other leaseholds that are held by the State.

### Major Budget Adjustment Proposed for 2001–02

- $150,000 General Fund to obtain an appraisal of Exposition Park and its operations.

## 30 CALIFORNIA AFRICAN AMERICAN MUSEUM

The California African American Museum preserves and displays the contributions of African Americans to the arts, science, religion, education, literature, entertainment, politics, sports, and to the history and culture of California and the world. The Executive Director and staff, in cooperation with the seven-member Board of Directors, administer this educational program to promote awareness and understanding of the accomplishments and contributions of African American culture and heritage. The program consists of permanent, temporary and traveling exhibits, lectures, seminars, films and cultural presentations. The African American Museum Foundation supports some of these activities.

### Major Budget Adjustment Proposed for 2001–02

- $434,000 General Fund and 2.0 positions to address outreach workload, provide a canteen manager, replace internal lighting fixtures, provide external lighting fixtures, and rent additional office and storage space.

### Authority

Chapter 1439, Statutes of 1987.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1100 CALIFORNIA SCIENCE CENTER—Continued

### 40 ADMINISTRATION

The administrative staff of the California Science Center provide personnel, budgeting, business services, contract negotiation and monitoring, planning and clerical services in support of the Science Center. This function provides the essential administrative support services and assures the proper operation and maintenance of all facilities. The public parking operation is contracted with a private operator with the Science Center retaining certain parking lots for Science Center visitor parking.

### Supplemental Information

The expenditures reflected below are displayed for informational purposes only and are not included in overall budget totals. The California Science Center Foundation of Los Angeles is a nonprofit corporation which functions as a membership auxiliary to the Science Center. It was established in 1950 to solicit funds to acquire and maintain exhibits to be displayed at the Science Center and to assist in the establishment and operation of educational activities.

### California Science Center Foundation

| Expenditures: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Administrative, Human Resources and Information Systems | $1,207 | $927 | $1,000 |
| Exhibits | 4,083 | 5,332 | 5,300 |
| Capital Expense—Exhibits | 2,293 | 5,385 | 5,500 |
| Educational Programs | 1,634 | 1,831 | 2,000 |
| Development and Membership | 1,758 | 1,889 | 1,900 |
| Communications | 563 | 628 | 630 |
| Guest Services | 674 | 754 | 760 |
| IMAX Theatre Operation/Gift Shop | 2,993 | 3,271 | 3,370 |
| Science Center Events | 700 | 700 | 700 |
| TOTALS, EXPENDITURES | $15,905 | $20,717 | $21,160 |
| Less: Depreciation expense | –3,905 | –4,000 | –4,000 |
| NET TOTALS, EXPENDITURES, ALL FUNDS | $12,000 | $16,717 | $17,160 |
| Revenues | 12,000 | 17,189 | 17,500 |

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 EDUCATION

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$10,467* | *$11,773* | *$12,438* |
| *0267 Exposition Park Improvement Fund* | *2,394* | *396* | *–* |
| *0995 Reimbursements* | *410* | *366* | *366* |
| Totals, State Operations | $13,271 | $12,535 | $12,804 |

### PROGRAM REQUIREMENTS
### 20 PARK MANAGER

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *–* | *$395* | *$490* |
| *0267 Exposition Park Improvement Fund* | *$390* | *2,320* | *2,698* |
| Totals, State Operations | $390 | $2,715 | $3,188 |

### PROGRAM REQUIREMENTS
### 30 CALIFORNIA AFRICAN AMERICAN MUSEUM

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$2,272* | *$3,278* | *$3,279* |
| *0995 Reimbursements* | *38* | *2,040* | *1,040* |
| Totals, State Operations | $2,310 | $5,318 | $4,319 |
| TOTALS, EXPENDITURES (State Operations) | $15,971 | $20,568 | $20,311 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 158.9 | 212.5 | 213.0 | $6,610 | $8,663 | $8,974 |
| Total Adjustments | – | – | 6.0 | – | – | 123 |
| Estimated Salary Savings | – | –12.3 | –13.1 | – | –499 | –524 |
| Net Totals, Salaries and Wages | 158.9 | 200.2 | 205.9 | $6,610 | $8,164 | $8,573 |
| Staff Benefits | – | – | – | $1,131 | $1,388 | $1,486 |
| Totals, Personal Services | 158.9 | 200.2 | 205.9 | $7,741 | $9,552 | $10,059 |

*** Dollars in thousands, except in Salary Range.**

## 1100 CALIFORNIA SCIENCE CENTER—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| OPERATING EXPENSES AND EQUIPMENT | $5,498 | $8,273 | $7,512 |
| SPECIAL ITEMS OF EXPENSE | | | |
| Lease payments | 2,713 | 2,720 | 2,717 |
| Bond insurance | 19 | 23 | 23 |
| Totals, Special Items of Expense | $2,732 | $2,743 | $2,740 |
| TOTALS, EXPENDITURES | $15,971 | $20,568 | $20,311 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $9,814 | $12,817 | $13,556 |
| 003 Budget Act appropriation | 2,423 | 2,651 | 2,740 |
| Allocation for employee compensation | 521 | 34 | – |
| Adjustment per Section 3.60 | –319 | –28 | – |
| Allocation per Section 4.50 (lease revenue bonds) | 309 | – | – |
| Transfer to Legislative Claims (9670) | – | –28 | – |
| Totals Available | $12,748 | $15,446 | $16,296 |
| Unexpended balance, estimated savings | –9 | – | – |
| TOTALS, EXPENDITURES | $12,739 | $15,446 | $16,296 |

### 0267 Exposition Park Improvement Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,728 | $2,690 | $2,698 |
| Allocation for employee compensation | 146 | 6 | – |
| Adjustment per Section 3.60 | –90 | 20 | – |
| TOTALS, EXPENDITURES | $2,784 | $2,716 | $2,698 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $448 | $2,406 | $1,317 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $15,971 | $20,568 | $20,311 |

### FUND CONDITION STATEMENT
### 0267 Exposition Park Improvement Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $1,627 | $423 | $327 |
| Prior year adjustments | –904 | – | – |
| Balance, Adjusted | $723 | $423 | $327 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 140900 Parking lot revenues | 2,154 | 2,290 | 2,090 |
| 152200 Rentals of state property | 261 | 261 | 261 |
| 152300 Miscellaneous revenue from use of property and money | 69 | 69 | 69 |
| Totals, Revenues and Transfers | $2,484 | $2,620 | $2,420 |
| Totals, Resources | $3,207 | $3,043 | $2,747 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1100 California Science Center (State Operations) | 2,784 | 2,716 | 2,698 |
| FUND BALANCE | $423 | $327 | $49 |
| Reserve for economic uncertainties | 423 | 327 | 49 |

*** Dollars in thousands, except in Salary Range.**

## 1100 CALIFORNIA SCIENCE CENTER—Continued

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 158.9 | 212.5 | 213.0 | $6,610 | $8,663 | $8,974 |
| Proposed New Positions: | | | | Salary Range | | |
| Science Center: | | | | | | |
| Exhibit Electronic Techn | – | – | 1.0 | 2,926–3,513 | – | 37 |
| Exhibit Designer/Installer | – | – | 1.0 | 2,878–3,452 | – | 38 |
| Janitor | – | – | 2.0 | 1,795–2,182 | – | 48 |
| California African American Museum: | | | | | | |
| Outreach Coord | – | – | 1.0 | 3,764–4,576 | – | – |
| Canteen Mgr | – | – | 1.0 | 2,992–3,595 | – | – |
| Totals, Proposed New Positions | – | – | 6.0 | – | – | $123 |
| Total Adjustments | – | – | 6.0 | – | – | $123 |
| TOTALS, SALARIES AND WAGES | 158.9 | 212.5 | 219.0 | $6,610 | $8,663 | $9,097 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **90 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Budget Adjustment Proposed for 2001–02** | | | |
| • $10.5 million for the continuation of the Science Center Phase II project. | | | |
| **Major Projects** | | | |
| 11.02.000 Master Plan Parking Facility, Phase I | $335 SPg | $588 Pf | $28,577 WCgf |
| 11.01.000 Science Center Phase II | – | 3,100 Pg | 10,525 PWg |
| 11.04.000 Technology Hall & Hall of Health Remodel | 115 Pg | 2,385 WCgs | – |
| Totals, Major Projects | $450 | $6,073 | $39,102 |
| **Minor Projects** | | | |
| 11.05.003 California African-American Museum, Minor projects | $38 | – | $609 |
| TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY | $488 | $6,073 | $39,711 |
| *0001 General Fund* | *488* | *3,485* | *20,799* |
| *0890 Federal Fund* | – | *588* | *18,912* |
| *0995 Reimbursements* | – | *2,000* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $538 | $3,100 | $11,134 |
| Prior year balances available: | | | |
| Item 1100-301-0001, Budget Act of 1998 as reappropriated by 1100-490, Budget Acts of 1999 and 2000 | 10,000 | 9,665 | 9,665 |
| Item 1100-301-0001, Budget Act of 1999, as reappropriated by 1100-490, Budget Act of 2000 | – | 385 | – |
| Totals Available | $10,538 | $13,150 | $20,799 |
| Balance available in subsequent years | –10,050 | –9,665 | – |
| TOTALS, EXPENDITURES | $488 | $3,485 | $20,799 |

### 0267 Exposition Park Improvement Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriations (expenditures) | – | $0 [1] | – |

[1] Fully reimbursed item.

*** Dollars in thousands, except in Salary Range.**

## 1100 CALIFORNIA SCIENCE CENTER—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0890 Federal Fund** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 1100-301-0890, Budget Act of 1998 as reappropriated by 1100-490, Budget Act of 1999 and 2000 | $19,500 | $19,500 | $18,912 |
| Totals Available | $19,500 | $19,500 | $18,912 |
| Balance available in subsequent years | −19,500 | −18,912 | – |
| TOTALS, EXPENDITURES | – | $588 | $18,912 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $2,000 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $488 | $6,073 | $39,711 |

# DEPARTMENT OF CONSUMER AFFAIRS
## 1110 REGULATORY BOARDS AND 1111 BUREAUS, PROGRAMS, DIVISIONS

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 03 California Board of Accountancy | 86.5 | 81.0 | 81.0 | $10,025 | $9,792 | $10,336 |
| 06 California Board of Architectural Examiners | 22.4 | 32.5 | 27.3 | 3,179 | 3,537 | 3,410 |
| 09 State Athletic Commission | 9.5 | 15.2 | 15.2 | 981 | 1,045 | 1,125 |
| 18 Board of Behavioral Sciences | 32.6 | 33.7 | 33.7 | 4,454 | 4,547 | 5,001 |
| 30 Contractors' State License Board | 440.2 | 454.5 | 454.9 | 45,046 | 45,585 | 49,101 |
| 36 Dental Board of California | 59.0 | 61.1 | 61.1 | 7,397 | 8,373 | 8,778 |
| 51 Board for Geologists and Geophysicists | 9.7 | 8.9 | 9.8 | 937 | 976 | 1,145 |
| 54 State Board of Guide Dogs for the Blind | 1.0 | 1.5 | 1.5 | 95 | 151 | 125 |
| 63 Medical Board of California | 321.1 | 365.1 | 366.0 | 42,516 | 48,920 | 50,108 |
| 67 California Board of Occupational Therapy | – | 1.9 | 5.7 | – | 395 | 558 |
| 69 State Board of Optometry | 7.0 | 6.9 | 6.9 | 1,212 | 1,161 | 1,104 |
| 72 California State Board of Pharmacy | 44.6 | 50.7 | 51.6 | 6,624 | 6,693 | 7,456 |
| 75 Board for Professional Engineers and Land Surveyors | 59.3 | 57.2 | 57.2 | 7,048 | 6,979 | 7,377 |
| 78 Board of Registered Nursing | 88.6 | 91.9 | 91.9 | 13,457 | 13,647 | 14,577 |
| 81 Court Reporters Board of California | 7.0 | 5.1 | 5.1 | 831 | 1,067 | 1,034 |
| 84 Structural Pest Control Board | 30.2 | 30.5 | 30.5 | 3,157 | 3,530 | 3,558 |
| 90 Veterinary Medical Board | 11.1 | 11.6 | 11.5 | 1,621 | 1,842 | 1,837 |
| 91 Board of Vocational Nursing and Psychiatric Technicians of the State of California | 32.8 | 38.5 | 40.3 | 4,974 | 5,554 | 5,637 |
| Consumer Affairs-Bureaus, Programs and Divisions | 1,401.9 | 1,624.5 | 1,605.7 | 165,804 | 193,126 | 202,246 |
| TOTALS, PROGRAMS | 2,664.5 | 2,972.3 | 2,956.9 | $319,358 | $356,920 | $374,513 |
| *0001 General Fund* | | | | *25,626* | *1,511* | *2,830* |
| *0008 Boxers Pension Account* | | | | *64* | *83* | *88* |
| *0024 State Board of Guide Dogs for the Blind Fund* | | | | *95* | *151* | *125* |
| *0069 State Board of Barbering and Cosmetology Contingent Fund* | | | | *8,543* | *9,380* | *10,670* |
| *0093 Construction Management Education Account (CMEA)* | | | | – | *15* | *15* |
| *0108 Acupuncture Fund* | | | | *1,648* | *1,864* | *1,846* |
| *0166 Consumer Affairs-Certification Account* | | | | *583* | *597* | *807* |
| *0168 Structural Pest Control Research Fund* | | | | *13* | *95* | *95* |
| *0175 Dispensing Opticians Fund* | | | | *217* | *289* | *299* |
| *0205 Geology and Geophysics Fund* | | | | *937* | *976* | *1,145* |
| *0208 Hearing Aid Dispensers Fund* | | | | *455* | *535* | *661* |
| *0210 Outpatient Setting Fund of the Medical Board of California* | | | | – | *23* | *23* |
| *0239 Private Security Services Fund* | | | | *4,840* | *5,613* | *5,664* |
| *0260 Nursing Home Administrator's State License Examining Board Fund* | | | | *364* | *476* | *521* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1110 REGULATORY BOARDS AND 1111 BUREAUS, PROGRAMS, DIVISIONS—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 0280 | *Physician Assistant Fund* | 717 | 862 | 856 |
| 0295 | *Podiatric Medicine Fund* | 814 | 1,042 | 1,026 |
| 0305 | *Private Postsecondary Education Administration Fund* | 6,588 | 5,164 | 5,643 |
| 0310 | *Psychology Fund* | 2,631 | 3,048 | 3,059 |
| 0319 | *Respiratory Care Fund* | 1,479 | 2,559 | 2,923 |
| 0325 | *Electronic and Appliance Repair Fund* | 1,525 | 1,611 | 1,671 |
| 0376 | *Speech-Language Pathology and Audiology Fund* | 457 | 581 | 579 |
| 0380 | *State Dental Auxiliaries Fund* | 1,202 | 1,551 | 1,449 |
| 0399 | *Structural Pest Control Education and Enforcement Fund* | 240 | 275 | 273 |
| 0410 | *Transcript Reimbursement Fund* | 184 | 300 | 300 |
| 0421 | *Vehicle Inspection and Repair Fund* | 78,833 | 90,425 | 91,612 |
| 0459 | *Telephone Medical Advice Services Fund* | – | 521 | 522 |
| 0492 | *Boxer's Neurological Examination Account* | 29 | 101 | 101 |
| 0582 | *High Polluter Repair or Removal Account* | 6,680 | 46,994 | 47,485 |
| 0702 | *Consumer Affairs Fund, Professions and Vocations Fund* | 76 | – | – |
| 0704 | *Accountancy Fund, Professions and Vocations Fund* | 9,796 | 9,588 | 10,132 |
| 0706 | *California Board of Architectural Examiners Fund* | 2,656 | 2,898 | 2,811 |
| 0717 | *Cemetery Fund, Professions and Vocations Fund* | 1,037 | 1,177 | 1,272 |
| 0735 | *Contractors' License Fund* | 44,667 | 45,317 | 48,733 |
| 0741 | *State Dentistry Fund* | 5,700 | 6,471 | 6,937 |
| 0750 | *State Funeral Directors and Embalmers' Fund, Professions and Vocations Fund* | 652 | 1,159 | 1,281 |
| 0752 | *Bureau of Home Furnishings and Thermal Insulation Fund* | 3,069 | 3,187 | 3,270 |
| 0757 | *California Board of Architectural Examiners-Landscape Architects Fund* | 488 | 634 | 594 |
| 0758 | *Contingent Fund of the Medical Board of California* | 31,009 | 36,096 | 36,815 |
| 0759 | *Physical Therapy Fund* | 1,386 | 1,957 | 2,083 |
| 0761 | *Board of Registered Nursing Fund, Professions and Vocations Fund* | 12,501 | 13,104 | 14,034 |
| 0763 | *State Optometry Fund, Professions and Vocations Fund* | 1,164 | 1,155 | 1,098 |
| 0767 | *Pharmacy Board Contingent Fund* | 6,156 | 6,442 | 7,205 |
| 0769 | *Private Investigator Fund* | 859 | 811 | 572 |
| 0770 | *Professional Engineers and Land Surveyors Fund* | 7,005 | 6,963 | 7,361 |
| 0771 | *Court Reporters Fund* | 630 | 749 | 716 |
| 0773 | *Board of Behavioral Science Examiners Fund, Professions and Vocations Fund* | 4,214 | 4,371 | 4,825 |
| 0775 | *Structural Pest Control Fund, Professions and Vocations Fund* | 2,859 | 3,158 | 3,188 |
| 0777 | *Veterinary Medical Board Contingent Fund* | 1,581 | 1,816 | 1,811 |
| 0779 | *Vocational Nurse Examiners Fund* | 3,603 | 4,056 | 4,126 |
| 0780 | *Psychiatric Technician Account, Vocational Nurse and Psychiatric Technician Examiners Fund* | 909 | 1,124 | 1,137 |
| 0890 | *Federal Trust Fund* | 1,018 | 1,130 | 1,130 |
| 0960 | *Student Tuition Recovery Fund* | 964 | 903 | 905 |
| 0995 | *Reimbursements* | 30,595 | 25,617 | 29,631 |
| 3017 | *Occupational Therapy Fund* | – | 395 | 558 |

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS

| SUMMARY OF PROGRAM REQUIREMENTS | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 03 | California Board of Accountancy | 86.5 | 81.0 | 81.0 | $10,025 | $9,792 | $10,336 |
| 06 | California Board of Architectural Examiners | 22.4 | 32.5 | 27.3 | 3,179 | 3,537 | 3,410 |
| 09 | State Athletic Commission | 9.5 | 15.2 | 15.2 | 981 | 1,045 | 1,125 |
| 18 | Board of Behavioral Sciences | 32.6 | 33.7 | 33.7 | 4,454 | 4,547 | 5,001 |
| 30 | Contractors' State License Board | 440.2 | 454.5 | 454.9 | 45,046 | 45,585 | 49,101 |
| 36 | Dental Board of California | 59.0 | 61.1 | 61.1 | 7,397 | 8,373 | 8,778 |
| 51 | Board for Geologists and Geophysicists | 9.7 | 8.9 | 9.8 | 937 | 976 | 1,145 |
| 54 | State Board of Guide Dogs for the Blind | 1.0 | 1.5 | 1.5 | 95 | 151 | 125 |
| 63 | Medical Board of California | 321.1 | 365.1 | 366.0 | 42,516 | 48,920 | 50,108 |
| 67 | California Board of Occupational Therapy | – | 1.9 | 5.7 | – | 395 | 558 |
| 69 | State Board of Optometry | 7.0 | 6.9 | 6.9 | 1,212 | 1,161 | 1,104 |
| 72 | California State Board of Pharmacy | 44.6 | 50.7 | 51.6 | 6,624 | 6,693 | 7,456 |
| 75 | Board for Professional Engineers and Land Surveyors | 59.3 | 57.2 | 57.2 | 7,048 | 6,979 | 7,377 |
| 78 | Board of Registered Nursing | 88.6 | 91.9 | 91.9 | 13,457 | 13,647 | 14,577 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 81 | Court Reporters Board of California | 7.0 | 5.1 | 5.1 | $831 | $1,067 | $1,034 |
| 84 | Structural Pest Control Board | 30.2 | 30.5 | 30.5 | 3,157 | 3,530 | 3,558 |
| 90 | Veterinary Medical Board | 11.1 | 11.6 | 11.5 | 1,621 | 1,842 | 1,837 |
| 91 | Board of Vocational Nursing and Psychiatric Technicians of the State of California | 32.8 | 38.5 | 40.3 | 4,974 | 5,554 | 5,637 |
| TOTALS, PROGRAMS | | 1,262.6 | 1,347.8 | 1,351.2 | $153,554 | $163,794 | $172,267 |
| *0001* | *General Fund* | | | | *885* | *861* | *936* |
| *0008* | *Boxers Pension Account* | | | | *64* | *83* | *88* |
| *0024* | *State Board of Guide Dogs for the Blind Fund* | | | | *95* | *151* | *125* |
| *0093* | *Construction Management Education Account (CMEA)* | | | | *–* | *15* | *15* |
| *0108* | *Acupuncture Fund* | | | | *1,648* | *1,864* | *1,846* |
| *0168* | *Structural Pest Control Research Fund* | | | | *13* | *95* | *95* |
| *0175* | *Dispensing Opticians Fund* | | | | *217* | *289* | *299* |
| *0205* | *Geology and Geophysics Fund* | | | | *937* | *976* | *1,145* |
| *0210* | *Outpatient Setting Fund of the Medical Board of California* | | | | *–* | *23* | *23* |
| *0280* | *Physician Assistant Fund* | | | | *717* | *862* | *856* |
| *0295* | *Board of Podiatric Medicine Fund* | | | | *814* | *1,042* | *1,026* |
| *0310* | *Psychology Fund* | | | | *2,631* | *3,048* | *3,059* |
| *0319* | *Respiratory Care Fund* | | | | *1,479* | *2,559* | *2,923* |
| *0376* | *Speech-Language Pathology and Audiology Fund* | | | | *–* | *581* | *579* |
| *0380* | *State Dental Auxiliaries Fund* | | | | *1,202* | *1,551* | *1,449* |
| *0399* | *Structural Pest Control Education and Enforcement Fund* | | | | *240* | *275* | *273* |
| *0410* | *Transcript Reimbursement Fund* | | | | *184* | *300* | *300* |
| *0492* | *Boxer's Neurological Examination Account* | | | | *29* | *101* | *101* |
| *0704* | *Accountancy Fund, Professions and Vocations Fund* | | | | *9,796* | *9,588* | *10,132* |
| *0706* | *California Board of Architectural Examiners Fund* | | | | *2,656* | *2,898* | *2,811* |
| *0735* | *Contractors' License Fund* | | | | *44,667* | *45,317* | *48,733* |
| *0741* | *State Dentistry Fund* | | | | *5,700* | *6,471* | *6,937* |
| *0757* | *California Board of Architectural Examiners-Landscape Architects Fund* | | | | *488* | *634* | *594* |
| *0758* | *Contingent Fund of the Medical Board of California* | | | | *31,009* | *36,096* | *36,815* |
| *0759* | *Physical Therapy Fund* | | | | *1,386* | *1,957* | *2,083* |
| *0761* | *Board of Registered Nursing Fund, Professions and Vocations Fund* | | | | *12,501* | *13,104* | *14,034* |
| *0763* | *State Optometry Fund, Professions and Vocations Fund* | | | | *1,164* | *1,155* | *1,098* |
| *0767* | *Pharmacy Board Contingent Fund* | | | | *6,156* | *6,442* | *7,205* |
| *0770* | *Professional Engineers and Land Surveyors Fund* | | | | *7,005* | *6,963* | *7,361* |
| *0771* | *Court Reporters Fund* | | | | *630* | *749* | *716* |
| *0773* | *Board of Behavioral Science Examiners Fund, Professions and Vocations Fund* | | | | *4,214* | *4,371* | *4,825* |
| *0775* | *Structural Pest Control Fund, Professions and Vocations Fund* | | | | *2,859* | *3,158* | *3,188* |
| *0777* | *Veterinary Medical Board Contingent Fund* | | | | *1,581* | *1,816* | *1,811* |
| *0779* | *Vocational Nurse Examiners Fund* | | | | *3,603* | *4,056* | *4,126* |
| *0780* | *Psychiatric Technician Account, Vocational Nurse and Psychiatric Technician Examiners Fund* | | | | *909* | *1,124* | *1,137* |
| *0995* | *Reimbursements* | | | | *6,075* | *2,824* | *2,965* |
| *3017* | *Occupational Therapy Fund* | | | | *–* | *395* | *558* |

## 03 CALIFORNIA BOARD OF ACCOUNTANCY (1120)

The mission of the California Board of Accountancy is to protect the public welfare by ensuring that only qualified persons are licensed and that appropriate standards of competency and practice are established and enforced. In California, the accounting profession's licensed practitioners are the Certified Public Accountant (CPA) and the Public Accountant (PA). The Board currently regulates over 62,000 licensees, the largest group of accounting professionals in the nation, including individuals, partnerships, and corporations. Because of the dynamic, progressive nature of the public accounting profession, licensees continually must update their skills and knowledge to remain qualified to practice.

By authority of the Accountancy Act, the Board: (1) administers the National Uniform CPA Examination to California candidates; (2) certifies licenses, and renews licenses of individual CPAs and PAs; (3) registers CPA partnerships, PA partnerships, and corporations; (4) receives and investigates complaints; (5) takes disciplinary action against licensees for violation of Board statutes and regulations; (6) monitors compliance with continuing education requirements; and (7) reviews the work products of CPAs, PAs, and CPA firms to ensure adherence to professional standards.

### The Board's objective is to protect consumers by:

- Ensuring individuals possess the necessary knowledge and qualifications to competently and ethically practice public accounting.
- Minimizing substandard practice, rehabilitating licensees, and disciplining licensees, as warranted.

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $477,000 for the increased cost of the national examination contract.

### Authority

Business and Professions Code Sections 5000–5172.

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 86.5 | 81.0 | 81.0 | $10,025 | $9,792 | $10,336 |
| *0704 Accountancy Fund, Professions and Vocations Fund* | | | | *9,796* | *9,588* | *10,132* |
| *0995 Reimbursements* | | | | *229* | *204* | *204* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 86.5 | 84.3 | 84.3 | $3,002 | $3,174 | $3,242 |
| Total Adjustments | – | – | – | – | 3 | 23 |
| Estimated Salary Savings | – | −3.3 | −3.3 | – | −87 | −87 |
| Net Totals, Salaries and Wages | 86.5 | 81.0 | 81.0 | $3,002 | $3,090 | $3,178 |
| Staff Benefits | – | – | – | 548 | 525 | 526 |
| Totals, Personal Services | 86.5 | 81.0 | 81.0 | $3,550 | $3,615 | $3,704 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $6,475 | $6,177 | $6,632 |
| TOTALS, EXPENDITURES | | | | $10,025 | $9,792 | $10,336 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0704 Accountancy Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $9,205 | $9,610 | $10,132 |
| Allocation for employee compensation | 324 | 11 | – |
| Allocation for contingencies and emergencies | 424 | – | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 128 | – | – |
| Adjustment per Section 3.60 | −198 | −33 | – |
| Totals Available | $9,883 | $9,588 | $10,132 |
| Unexpended balance, estimated savings | −87 | – | – |
| TOTALS, EXPENDITURES | $9,796 | $9,588 | $10,132 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $229 | $204 | $204 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $10,025 | $9,792 | $10,336 |

### FUND CONDITION STATEMENT
### 0704 Accountancy Fund, Professions and Vocations Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $10,006 | $5,373 | $7,133 |
| Prior year adjustments | 92 | – | – |
| Balance, Adjusted | $10,098 | $5,373 | $7,133 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,194 | 1,124 | 1,146 |
| 125700 Other regulatory licenses and permits | 1,875 | 2,067 | 2,553 |
| 125800 Renewal fees | 1,417 | 5,907 | 5,908 |
| 125900 Delinquent fees | 77 | 289 | 289 |
| 141200 Sale of documents | 1 | 4 | 4 |
| 142500 Miscellaneous services to the public | 26 | 30 | 30 |
| 150300 Income from surplus money investments | 440 | 268 | 356 |
| 161000 Escheat of unclaimed checks and warrants | 2 | – | – |
| 161400 Miscellaneous revenue | 1 | 3 | 3 |
| 164300 Penalty assessments | 38 | 46 | 46 |
| Totals, Revenues | $5,071 | $9,738 | $10,335 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.2, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | $1,610 | – |
| Totals, Transfers from Other Funds | – | $1,610 | – |
| Totals, Revenues and Transfers | $5,071 | $11,348 | $10,335 |
| Totals, Resources | $15,169 | $16,721 | $17,468 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1120 California Board of Accountancy (State Operations) | 9,796 | 9,588 | 10,132 |
| FUND BALANCE | $5,373 | $7,133 | $7,336 |
| Reserve for economic uncertainties | 5,373 | 7,133 | 7,336 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 86.5 | 84.3 | 84.3 | $3,002 | $3,174 | $3,242 |
| Salary adjustments | – | – | – | – | 3 | 23 |
| Totals, Adjusted Authorized Positions | 86.5 | 84.3 | 84.3 | $3,002 | $3,177 | $3,265 |
| Total Adjustments | – | – | – | – | $3 | $23 |
| TOTALS, SALARIES AND WAGES | 86.5 | 84.3 | 84.3 | $3,002 | $3,177 | $3,265 |

## 06 CALIFORNIA BOARD OF ARCHITECTURAL EXAMINERS (1130)

The public is subject to serious injury or death should a structure such as a school, store, office building, or dwelling collapse. To reduce the possibility of such an occurrence resulting from faulty design, those who hold themselves out to the public as skilled in the design of such structures are required to meet minimum standards established by law. It is also necessary that those who have not proved by education, experience and examination that they meet these minimum standards be prevented from practicing in the field of architecture.

| Program Requirements | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 06.10 Architects | 18.2 | 28.2 | 23.0 | $2,689 | $2,903 | $2,816 |
| 06.20 Landscape Architects | 4.2 | 4.3 | 4.3 | 490 | 634 | 594 |
| Totals, Board of Architectural Examiners | 22.4 | 32.5 | 27.3 | $3,179 | $3,537 | $3,410 |
| *0706 California Board of Architectural Examiners Fund* | | | | *2,656* | *2,898* | *2,811* |
| *0757 California Board of Architectural Examiners-Landscape Architects Fund* | | | | *488* | *634* | *594* |
| *0995 Reimbursements* | | | | *35* | *5* | *5* |

### 06.10 Architectural Examiners (1130)

The Board's objectives are: 1) To ensure that only those persons possessing the necessary minimum qualifications are licensed as architects, 2) To enforce the provisions of the Architectural Act and subsequent regulations, and 3) To ensure the ongoing competence of licensees.

### Major Budget Adjustment Proposed for 2001–02

- A permanent reduction of $98,000 and 5.5 positions in the Exam Proctor blanket.

### Authority

Business and Professions Code Sections 5500–5610.7.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 18.2 | 28.2 | 23.0 | $2,689 | $2,903 | $2,816 |
| *0706 California Board of Architectural Examiners Fund* | | | | *2,656* | *2,898* | *2,811* |
| *0995 Reimbursements* | | | | *33* | *5* | *5* |

| SUMMARY BY OBJECT 1 STATE OPERATIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 18.2 | 29.6 | 29.6 | $797 | $1,038 | $1,058 |
| Total Adjustments | – | – | −5.5 | – | – | −97 |
| Estimated Salary Savings | – | −1.4 | −1.1 | – | −25 | −20 |
| Net Totals, Salaries and Wages | 18.2 | 28.2 | 23.0 | $797 | $1,013 | $941 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Staff Benefits | – | – | – | $146 | $180 | $181 |
| Totals, Personal Services | 18.2 | 28.2 | 23.0 | $943 | $1,193 | $1,122 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,746 | $1,710 | $1,694 |
| TOTALS, EXPENDITURES | | | | $2,689 | $2,903 | $2,816 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0706 California Board of Architectural Examiners Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $2,861 | $2,907 | $2,811 |
| Allocation for employee compensation | 118 | 3 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 86 | – | – |
| Adjustment per Section 3.60 | −73 | −12 | – |
| Totals Available | $2,992 | $2,898 | $2,811 |
| Unexpended balance, estimated savings | −336 | – | – |
| TOTALS, EXPENDITURES | $2,656 | $2,898 | $2,811 |
| **0995 Reimbursements** | | | |
| Reimbursements | $33 | $5 | $5 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $2,689 | $2,903 | $2,816 |

### FUND CONDITION STATEMENT
### 0706 California Board of Architectural Examiners Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $2,012 | $2,564 | $1,977 |
| Prior year adjustments | 12 | – | – |
| Balance, Adjusted | $2,024 | $2,564 | $1,977 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1 | 1 | – |
| 125700 Other regulatory licenses and permits | 452 | 486 | 470 |
| 125800 Renewal fees | 2,554 | 1,653 | 2,505 |
| 125900 Delinquent fees | 53 | 26 | 43 |
| 142500 Miscellaneous services to the public | 1 | 1 | 1 |
| 150300 Income from surplus money investments | 133 | 93 | 101 |
| 161000 Escheat of unclaimed checks | 2 | – | – |
| Totals, Revenues | $3,196 | $2,260 | $3,120 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 51 | – |
| Totals, Transfers from Other Funds | – | $51 | – |
| Totals, Revenues and Transfers | $3,196 | $2,311 | $3,120 |
| Totals, Resources | $5,220 | $4,875 | $5,097 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1130 California Board of Architectural Examiners (State Operations) | 2,656 | 2,898 | 2,811 |
| FUND BALANCE | $2,564 | $1,977 | $2,286 |
| Reserve for economic uncertainties | 2,564 | 1,977 | 2,286 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 18.2 | 29.6 | 29.6 | $797 | $1,038 | $1,058 |
| Salary adjustments | – | – | – | – | – | 6 |
| Totals, Adjusted Authorized Positions | 18.2 | 29.6 | 29.6 | $797 | $1,038 | $1,064 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| Workload and Administrative Adjustments: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Blanket Reduction: | | | | Salary Range | | |
| Exam Proctors | – | – | −5.5 | – | – | −$103 |
| Totals, Workload and Administrative Adjustments | – | – | −5.5 | – | – | −$103 |
| Total Adjustments | – | – | −5.5 | – | – | −$97 |
| TOTALS, SALARIES AND WAGES | 18.2 | 29.6 | 24.1 | $797 | $1,038 | $961 |

## 06.20 LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE (1130)

The Board's objectives are to establish and enforce levels of professional competence, eliminate unlicensed activity and provide consumer/industry education.

### Authority

Business and Professions Code Sections 5615–5683.

| **Input** | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | 4.2 | 4.3 | 4.3 | $490 | $634 | $594 |
| *0757 California Board of Architectural Examiners-Landscape Architects Fund* | | | | *488* | *634* | *594* |
| *0995 Reimbursements* | | | | *2* | – | – |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4.2 | 4.5 | 4.5 | $171 | $194 | $204 |
| Total Adjustments | – | – | – | – | – | 1 |
| Estimated Salary Savings | – | −0.2 | −0.2 | – | −2 | −2 |
| Net Totals, Salaries and Wages | 4.2 | 4.3 | 4.3 | $171 | $192 | $203 |
| Staff Benefits | – | – | – | 26 | 36 | 37 |
| Totals, Personal Services | 4.2 | 4.3 | 4.3 | $197 | $228 | $240 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $293 | $406 | $354 |
| TOTALS, EXPENDITURES | | | | $490 | $634 | $594 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0757 California Board of Architectural Examiners-Landscape Architects Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $557 | $635 | $594 |
| Allocation for employee compensation | 19 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 8 | – | – |
| Adjustment per Section 3.60 | −14 | −2 | – |
| Totals Available | $570 | $634 | $594 |
| Unexpended balance, estimated savings | −82 | – | – |
| TOTALS, EXPENDITURES | $488 | $634 | $594 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $2 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $490 | $634 | $594 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### FUND CONDITION STATEMENT
### 0757 California Board of Architectural Examiners-Landscape Architects Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $884 | $1,053 | $1,088 |
| Prior year adjustments | 13 | – | – |
| Balance, Adjusted | $897 | $1,053 | $1,088 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 2 | 4 | 4 |
| 125700 Other regulatory licenses and permits | 162 | 146 | 149 |
| 125800 Renewal fees | 409 | 408 | 408 |
| 125900 Delinquent fees | 15 | 17 | 17 |
| 150300 Income from surplus money investments | 56 | 53 | 51 |
| Totals, Revenues | $644 | $628 | $629 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 41 | – |
| Totals, Transfers from Other Funds | – | $41 | – |
| Totals, Revenues and Transfers | $644 | $669 | $629 |
| Totals, Resources | $1,541 | $1,722 | $1,717 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1130 Landscape Architects Technical Committee (State Operations) | 488 | 634 | 594 |
| FUND BALANCE | $1,053 | $1,088 | $1,123 |
| Reserve for economic uncertainties | 1,053 | 1,088 | 1,123 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 4.2 | 4.5 | 4.5 | $171 | $194 | $204 |
| Salary adjustments | – | – | – | – | – | 1 |
| Totals, Adjusted Authorized Positions | 4.2 | 4.5 | 4.5 | $171 | $194 | $205 |
| Total Adjustments | – | – | – | – | – | $1 |
| TOTALS, SALARIES AND WAGES | 4.2 | 4.5 | 4.5 | $171 | $194 | $205 |

## 09 STATE ATHLETIC COMMISSION (1140)

Contestants in boxing and full-contact karate matches could be subject to serious injury and death through mismatches and lack of proper medical examinations. The public and State government could also suffer monetary losses due to fraudulent promotional practices and improper collection and verification of attendance and revenue. The Commission sets standards for the industry and enforces them through examinations, regulatory inspections and attendance by Commission representatives at all matches, exhibitions and closed circuit events.

### Major Budget Adjustment Included in 2000–01

- An augmentation of $63,000 for increased Attorney General costs.

### Authority

Business and Professions Code Sections 18600–18890.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 9.5 | 15.2 | 15.2 | $981 | $1,045 | $1,125 |
| *0001 General Fund* | | | | *885* | *861* | *936* |
| *0008 Boxers Pension Account* | | | | *64* | *83* | *88* |
| *0492 Boxer's Neurological Examination Account* | | | | *29* | *101* | *101* |
| *0995 Reimbursements* | | | | *3* | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 9.5 | 15.3 | 15.3 | $465 | $613 | $627 |
| Total Adjustments | – | – | – | – | – | 10 |
| Estimated Salary Savings | – | –0.1 | –0.1 | – | –5 | –5 |
| Net Totals, Salaries and Wages | 9.5 | 15.2 | 15.2 | $465 | $608 | $632 |
| Staff Benefits | – | – | – | 74 | 104 | 104 |
| Totals, Personal Services | 9.5 | 15.2 | 15.2 | $539 | $712 | $736 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $442 | $333 | $389 |
| TOTALS, EXPENDITURES | | | | $981 | $1,045 | $1,125 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $761 | $800 | $936 |
| Allocation for employee compensation | 47 | 3 | – |
| Allocation for contingencies and emergencies | 97 | 63 | – |
| Allocation for Year 2000 per Item 9904-001-0001 | 10 | – | – |
| Adjustment per Section 3.60 | –29 | –5 | – |
| Totals Available | $886 | $861 | $936 |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | $885 | $861 | $936 |

### 0008 Boxers Pension Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 002 Budget Act appropriation | $79 | $83 | $88 |
| Allocation for employee compensation | 2 | – | – |
| Adjustment per Section 3.60 | –1 | – | – |
| Totals Available | $80 | $83 | $88 |
| Unexpended balance, estimated savings | –16 | – | – |
| TOTALS, EXPENDITURES | $64 | $83 | $88 |

### 0492 Boxer's Neurological Examination Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $97 | $101 | $101 |
| Allocation for employee compensation | 3 | – | – |
| Adjustment per Section 3.60 | –2 | – | – |
| Totals Available | $98 | $101 | $101 |
| Unexpended balance, estimated savings | –69 | – | – |
| TOTALS, EXPENDITURES | $29 | $101 | $101 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $3 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $981 | $1,045 | $1,125 |

### FUND CONDITION STATEMENT
### 0008 Boxers Pension Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $280 | $144 | $117 |
| Prior year adjustments | –300 | – | – |
| Balance, Adjusted | –$20 | $144 | $117 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $210 | $50 | $50 |
| 150300 Income from surplus money investments | 12 | 6 | 4 |
| 161000 Escheat of unclaimed checks and warrants | 6 | – | – |
| Totals, Revenues | $228 | $56 | $54 |
| Totals, Resources | $208 | $200 | $171 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1140 State Athletic Commission (State Operations) | 64 | 83 | 88 |
| FUND BALANCE | $144 | $117 | $83 |
| Reserve for economic uncertainties | 144 | 117 | 83 |

### 0326 Athletic Commission Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| Prior year adjustments | $81 | – | – |
| Balance, Adjusted | $81 | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfer to Other Funds: | | | |
| T00001 General fund per Chapter 150, Statutes of 1994 | –81 | – | – |
| Totals, Revenues and Transfers | –$81 | – | – |
| FUND BALANCE | – | – | – |

### 0492 Boxer's Neurological Examination Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $99 | $122 | $69 |
| Prior year adjustments | 1 | – | – |
| Balance, Adjusted | $100 | $122 | $69 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 46 | 40 | 40 |
| 150300 Income from surplus money investments | 5 | 3 | – |
| Totals, Revenues | $51 | $43 | $40 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 5 | – |
| Totals, Transfers from Other Funds | – | $5 | – |
| Totals, Revenues and Transfers | $51 | $48 | $40 |
| Totals, Resources | $151 | $170 | $109 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1140 State Athletic Commission (State Operations) | 29 | 101 | 101 |
| FUND BALANCE | $122 | $69 | $8 |
| Reserve for economic uncertainties | 122 | 69 | 8 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 9.5 | 15.3 | 15.3 | $465 | $613 | $627 |
| Salary adjustments | – | – | – | – | – | 4 |
| Totals, Adjusted Authorized Positions | 9.5 | 15.3 | 15.3 | $465 | $613 | $631 |
| Workload and Administrative Adjustments: | | | | | | |
| Blanket Augmentation: | | | | Salary Range | | |
| Overtime | – | – | – | – | – | 6 |
| Totals, Workload and Administrative Adjustments | – | – | – | – | – | $6 |
| Total Adjustments | – | – | – | – | – | $10 |
| TOTALS, SALARIES AND WAGES | 9.5 | 15.3 | 15.3 | $465 | $613 | $637 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### 18 BOARD OF BEHAVIORAL SCIENCES (1170)

The confidential and sensitive nature of services performed by marriage, family, and child counselors, licensed clinical social workers, and educational psychologists necessitates the development and enforcement of performance standards and competence consistent with the public welfare. The Board of Behavioral Sciences ensures that minimum competency standards are met by establishing educational and experience requirements, conducting written and oral examinations, investigating violations of professional ethics and law, and disciplining licensees when appropriate.

### Major Budget Adjustment Proposed for 2001–02

- A one-time augmentation of $88,000 to fund the cost of an occupational analysis for the Marriage/Family Therapist Exam.

### Authority

Business and Professions Code Sections 4980–4998.7.

| Input | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | 32.6 | 33.7 | 33.7 | $4,454 | $4,547 | $5,001 |
| *0773 Behavioral Science Examiners Fund, Professions and Vocations Fund* | | | | *4,214* | *4,371* | *4,825* |
| *0995 Reimbursements* | | | | *240* | *176* | *176* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 32.6 | 35.9 | 35.9 | $1,222 | $1,450 | $1,478 |
| Total Adjustments | – | – | – | – | – | 9 |
| Estimated Salary Savings | – | −2.2 | −2.2 | – | −51 | −51 |
| Net Totals, Salaries and Wages | 32.6 | 33.7 | 33.7 | $1,222 | $1,399 | $1,436 |
| Staff Benefits | – | – | – | 263 | 263 | 264 |
| Totals, Personal Services | 32.6 | 33.7 | 33.7 | $1,485 | $1,662 | $1,700 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,969 | $2,885 | $3,301 |
| TOTALS, EXPENDITURES | | | | $4,454 | $4,547 | $5,001 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0773 Behavioral Science Examiners Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $4,252 | $4,380 | $4,825 |
| Allocation for employee compensation | 203 | 3 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 165 | – | – |
| Adjustment per Section 3.60 | −131 | −12 | – |
| Totals Available | $4,489 | $4,371 | $4,825 |
| Unexpended balance, estimated savings | −275 | – | – |
| TOTALS, EXPENDITURES | $4,214 | $4,371 | $4,825 |
| **0995 Reimbursements** | | | |
| Reimbursements | $240 | $176 | $176 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $4,454 | $4,547 | $5,001 |

### FUND CONDITION STATEMENT
### 0773 Behavioral Science Examiners Fund, Professions and Vocations Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $6,976 | $8,029 | $8,308 |
| Prior year adjustments | 119 | – | – |
| Balance, Adjusted | $7,095 | $8,029 | $8,308 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $78 | $84 | $88 |
| 125700 Other regulatory licenses and permits | 1,442 | 1,561 | 1,599 |
| 125800 Renewal fees | 3,114 | 2,252 | 1,417 |
| 125900 Delinquent fees | 54 | 30 | 18 |
| 142500 Miscellaneous services to the public | 6 | 9 | 10 |
| 150300 Income from surplus money investments | 448 | 401 | 415 |
| 161000 Escheat of unclaimed checks | 5 | – | – |
| 161400 Miscellaneous Revenue | 1 | – | – |
| Totals, Revenues | $5,148 | $4,337 | $3,547 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 313 | – |
| Totals, Transfers from Other Funds | – | $313 | – |
| Totals, Revenues and Transfers | $5,148 | $4,650 | $3,547 |
| Totals, Resources | $12,243 | $12,679 | $11,855 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1170 Board of Behavioral Sciences (State Operations) | 4,214 | 4,371 | 4,825 |
| FUND BALANCE | $8,029 | $8,308 | $7,030 |
| Reserve for economic uncertainties | 8,029 | 8,308 | 7,030 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 32.6 | 35.9 | 35.9 | $1,222 | $1,450 | $1,478 |
| Salary adjustments | – | – | – | – | – | 9 |
| Totals, Adjusted Authorized Positions | 32.6 | 35.9 | 35.9 | $1,222 | $1,450 | $1,487 |
| Total Adjustments | – | – | – | – | – | $9 |
| TOTALS, SALARIES AND WAGES | 32.6 | 35.9 | 35.9 | $1,222 | $1,450 | $1,487 |

## 30 CONTRACTORS' STATE LICENSE BOARD (1230)

The Contractors' State License Board's mission is to promote the health and general welfare of the public in matters relating to building construction by: (1) ensuring that all construction is performed in a safe, competent and professional manner; (2) providing resolution to disputes which arise from building construction activities; and, (3) providing information so that the public can make informed choices.

The Board's objectives are to ensure:

- Greater consumer education
- Prompt issuance of licenses
- Prompt processing of licensee transactions
- Prompt responses to telephone inquiries for licensing information
- Prompt complaint resolution

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $2,045,000 and 0.9 personnel year to implement an imaging and workflow automation system to reduce processing time for licensing, complaints, and investigations.
- An augmentation of $412,000 to conduct program studies, establish an enforcement program monitor, and to add additional Board Members, as required by Chapter 1005, Statutes of 2000.
- An augmentation of $350,000 to fund the costs of an occupational analysis and exam development.
- A one-time augmentation of $315,000 to purchase an interactive voice response telephone system.

### Authority

Business and Professions Code Sections 7000–7191.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 440.2 | 454.5 | 454.9 | $45,046 | $45,585 | $49,101 |
| *0093 Construction Management Education Account (CMEA)* | | | | *–* | *15* | *15* |
| *0735 Contractors' License Fund* | | | | *44,667* | *45,317* | *48,733* |
| *0995 Reimbursements* | | | | *379* | *253* | *353* |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 440.2 | 473.1 | 472.6 | $18,453 | $20,240 | $20,675 |
| Total Adjustments | – | – | 1.0 | – | 18 | 240 |
| Estimated Salary Savings | – | −18.6 | −18.7 | – | −983 | −993 |
| Net Totals, Salaries and Wages | 440.2 | 454.5 | 454.9 | $18,453 | $19,275 | $19,922 |
| Staff Benefits | – | – | – | 4,033 | 4,046 | 4,064 |
| Totals, Personal Services | 440.2 | 454.5 | 454.9 | $22,486 | $23,321 | $23,986 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $22,560 | $22,264 | $25,115 |
| TOTALS, EXPENDITURES | | | | $45,046 | $45,585 | $49,101 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

#### 0093 Construction Management Education Account (CMEA) [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $15 | $15 | $15 |
| Unexpended balance, estimated savings | −15 | – | – |
| TOTALS, EXPENDITURES | – | $15 | $15 |

#### 0735 Contractors' License Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $43,345 | $45,442 | $48,733 |
| Allocation for employee compensation | 2,105 | 63 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 1,476 | – | – |
| Adjustment per Section 3.60 | −1,345 | −211 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 23 | – |
| Transfer to Legislative Claims (9670) | −6 | – | – |
| Totals Available | $45,575 | $45,317 | $48,733 |
| Unexpended balance, estimated savings | −908 | – | – |
| TOTALS, EXPENDITURES | $44,667 | $45,317 | $48,733 |

#### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $379 | $253 | $353 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $45,046 | $45,585 | $49,101 |

### FUND CONDITION STATEMENT

#### 0093 Construction Management Education Account (CMEA) [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $190 | $235 | $250 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 45 | 30 | 30 |
| Totals, Revenues | $45 | $30 | $30 |
| Totals, Resources | $235 | $265 | $280 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1230 Contractors' State License Board (State Operations) | – | 15 | 15 |
| FUND BALANCE | $235 | $250 | $265 |
| Reserve for economic uncertainties | 235 | 250 | 265 |

#### 0735 Contractors' License Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $20,102 | $17,920 | $21,978 |
| Prior year adjustments | 215 | – | – |
| Balance, Adjusted | $20,317 | $17,920 | $21,978 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $39 | $41 | $41 |
| 125700 Other regulatory licenses and permits | 9,852 | 9,370 | 10,210 |
| 125800 Renewal fees | 28,896 | 31,334 | 29,249 |
| 125900 Delinquent fees | 655 | 920 | 872 |
| 141200 Sales of documents | 3 | – | – |
| 142500 Miscellaneous services to the public | 37 | 48 | 48 |
| 150300 Income from surplus money investments | 1,084 | 1,001 | 693 |
| 160400 Sale of fixed assets | 1 | – | – |
| 161000 Escheat of unclaimed checks and warrants | 9 | – | – |
| 161400 Miscellaneous revenue | 6 | – | – |
| 164300 Penalty assessments | 817 | 817 | 817 |
| Totals, Revenues | $41,399 | $43,531 | $41,930 |
| Transfers from Other Funds: | | | |
| F00717 Cemetery Fund per Budget Act of 1996, Item 1111-001-0735 (loan repayment) | 877 | 767 | 850 |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Aramovitz Lawsuit) | – | 5,077 | – |
| Totals, Transfers from Other Funds | $877 | $5,844 | $850 |
| Totals, Revenues and Transfers | $42,276 | $49,375 | $42,780 |
| Totals, Resources | $62,593 | $67,295 | $64,758 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1230 Contractors' State License Board (State Operations) | 44,667 | 45,317 | 48,733 |
| 9670 Legislative Claims (State Operations) | 6 | – | – |
| Totals, Disbursements | $44,673 | $45,317 | $48,733 |
| FUND BALANCE | $17,920 | $21,978 | $16,025 |
| Reserve for economic uncertainties | 17,920 | 21,978 | 16,025 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 440.2 | 473.1 | 472.6 | $18,453 | $20,240 | $20,675 |
| Salary adjustments | – | – | – | – | 18 | 162 |
| Totals, Adjusted Authorized Positions | 440.2 | 473.1 | 472.6 | $18,453 | $20,258 | $20,837 |
| Reduction per Section 31.50: | | | | Salary Range | | |
| Enforcement Rep I | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Techn-Typing | – | (–2.0) | (–2.0) | – | – | – |
| Prog Techn II | – | (–2.0) | (–2.0) | – | – | – |
| Ofc Asst-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Totals | – | (–6.0) | (–6.0) | – | – | – |
| Proposed New Positions: | | | | | | |
| DP Mgr II | – | – | 1.0 | 4,963–5,987 | – | 66 |
| Bd Mbrs | – | – | – | – | – | 12 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $78 |
| Total Adjustments | – | – | 1.0 | – | $18 | $240 |
| TOTALS, SALARIES AND WAGES | 440.2 | 473.1 | 473.6 | $18,453 | $20,258 | $20,915 |

## 36 DENTAL BOARD OF CALIFORNIA (1250)

The Dental Board of California establishes qualifications for licensure as a dentist, hygienist, assistant, expanded function auxiliary, or professional corporation; administers examinations; approves dental schools and training programs; sets requirements for continuing education; receives complaints and investigates possible violations of the Dental Practices Act; and enforces policies against unlicensed practice.

| Program Requirements | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 36.10 Dental Examiners | 50.4 | 52.3 | 52.3 | $5,983 | $6,600 | $7,107 |
| 36.20 Dental Auxiliaries | 8.6 | 8.8 | 8.8 | 1,414 | 1,773 | 1,671 |
| Totals, Dental Board of California | 59.0 | 61.1 | 61.1 | $7,397 | $8,373 | $8,778 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0741 State Dentistry Fund* | *$5,700* | *$6,471* | *$6,937* |
| *0380 State Dental Auxiliaries Fund* | *1,202* | *1,551* | *1,449* |
| *0995 Reimbursements* | *495* | *351* | *392* |

## 36.10 Dental Examiners (1260)

The Board's objective is to ensure that the consumer receives dental treatment which meets or exceeds the standards for dental care established by this agency.

### Major Budget Adjustments Proposed for 2001–02

- A one-time augmentation of $175,000 for the costs of an occupational analysis and exam validation.
- An augmentation of $140,000 for exam site rental increases.
- A one-time augmentation of $134,000 to print and distribute the Dental Practices Act.

### Authority

Business and Professions Code Sections 1600–1724.5 and 1800–1808.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 50.4 | 52.3 | 52.3 | $5,983 | $6,600 | $7,107 |
| *0741 State Dentistry Fund* | | | | *5,700* | *6,471* | *6,937* |
| *0995 Reimbursements* | | | | *283* | *129* | *170* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 50.4 | 54.4 | 54.4 | $2,267 | $2,596 | $2,636 |
| Total Adjustments | – | – | – | – | – | 16 |
| Estimated Salary Savings | – | –2.1 | –2.1 | – | –63 | –64 |
| Net Totals, Salaries and Wages | 50.4 | 52.3 | 52.3 | $2,267 | $2,533 | $2,588 |
| Staff Benefits | – | – | – | 410 | 545 | 546 |
| Totals, Personal Services | 50.4 | 52.3 | 52.3 | $2,677 | $3,078 | $3,134 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $3,306 | $3,522 | $3,973 |
| TOTALS, EXPENDITURES | | | | $5,983 | $6,600 | $7,107 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0741 State Dentistry Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $5,978 | $6,374 | $6,937 |
| Allocation for employee compensation | 253 | 6 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 250 | – | – |
| Adjustment per Section 3.60 | –175 | –9 | – |
| Chapter 840, Statutes of 1999 (Peace Officer Study) | 100 | – | – |
| Prior year balances available: | | | |
| Chapter 840, Statutes of 1999 (Peace Officer Study) | – | 100 | – |
| Totals Available | $6,406 | $6,471 | $6,937 |
| Balance available in subsequent years | –100 | – | – |
| Unexpended balance, estimated savings | –606 | – | – |
| TOTALS, EXPENDITURES | $5,700 | $6,471 | $6,937 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $283 | $129 | $170 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $5,983 | $6,600 | $7,107 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### FUND CONDITION STATEMENT
### 0741 State Dentistry Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $2,646 | $4,501 | $5,979 |
| Prior year adjustments | 27 | – | – |
| Balance, Adjusted | $2,673 | $4,501 | $5,979 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 51 | 48 | 51 |
| 125700 Other regulatory licenses and permits | 1,760 | 2,084 | 2,043 |
| 125800 Renewal fees | 5,407 | 5,375 | 5,350 |
| 125900 Delinquent fees | 56 | 57 | 57 |
| 150300 Income from surplus money investments | 225 | 285 | 349 |
| 161000 Escheat of unclaimed checks | 5 | – | – |
| 161400 Miscellaneous revenue | 24 | – | – |
| Totals, Revenues | $7,528 | $7,849 | $7,850 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 100 | – |
| Totals, Transfers from Other Funds | – | $100 | – |
| Totals, Revenues and Transfers | $7,528 | $7,949 | $7,850 |
| Totals, Resources | $10,201 | $12,450 | $13,829 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1260 Board of Dental Examiners (State Operations) | 5,700 | 6,471 | 6,937 |
| FUND BALANCE | $4,501 | $5,979 | $6,892 |
| Reserve for economic uncertainties | 4,501 | 5,979 | 6,892 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 50.4 | 54.4 | 54.4 | $2,267 | $2,596 | $2,636 |
| Salary adjustments | – | – | – | – | – | 16 |
| Totals, Adjusted Authorized Positions | 50.4 | 54.4 | 54.4 | $2,267 | $2,596 | $2,652 |
| Total Adjustments | – | – | – | – | – | $16 |
| TOTALS, SALARIES AND WAGES | 50.4 | 54.4 | 54.4 | $2,267 | $2,596 | $2,652 |

## 36.20 Committee on Dental Auxiliaries (1270)

The utilization of dental auxiliaries contributes to providing quality dental services to Californians. To achieve this goal the Committee on Dental Auxiliaries (COMDA) was created. COMDA performs credential review, examination development and administration, and curriculum and site evaluation of postsecondary dental assisting vocational education programs.

### Authority

Business and Professions Code Sections 1725–1767.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 8.6 | 8.8 | 8.8 | $1,414 | $1,773 | $1,671 |
| *0380 State Dental Auxiliaries Fund* | | | | *1,202* | *1,551* | *1,449* |
| *0995 Reimbursements* | | | | *212* | *222* | *222* |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 8.6 | 9.0 | 9.0 | $356 | $424 | $429 |
| Total Adjustments | – | – | – | – | – | 2 |
| Estimated Salary Savings | – | –0.2 | –0.2 | – | –6 | –6 |
| Net Totals, Salaries and Wages | 8.6 | 8.8 | 8.8 | $356 | $418 | $425 |
| Staff Benefits | – | – | – | 61 | 59 | 59 |
| Totals, Personal Services | 8.6 | 8.8 | 8.8 | $417 | $477 | $484 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $997 | $1,296 | $1,187 |
| TOTALS, EXPENDITURES | | | | $1,414 | $1,773 | $1,671 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0380 State Dental Auxiliaries Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,165 | $1,555 | $1,449 |
| Allocation for employee compensation | 45 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 49 | – | – |
| Adjustment per Section 3.60 | –28 | –5 | – |
| Totals Available | $1,231 | $1,551 | $1,449 |
| Unexpended balance, estimated savings | –29 | – | – |
| TOTALS, EXPENDITURES | $1,202 | $1,551 | $1,449 |
| **0995 Reimbursements** | | | |
| Reimbursements | $212 | $222 | $222 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,414 | $1,773 | $1,671 |

### FUND CONDITION STATEMENT
### 0380 State Dental Auxiliaries Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,154 | $963 | $861 |
| Prior year adjustments | 4 | – | – |
| Balance, Adjusted | $1,158 | $963 | $861 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 10 | 9 | 9 |
| 125700 Other regulatory licenses and permits | 393 | 603 | 603 |
| 125800 Renewal fees | 489 | 676 | 678 |
| 125900 Delinquent fees | 44 | 44 | 43 |
| 142500 Miscellaneous services to the public | 1 | – | – |
| 150300 Income from surplus money investments | 67 | 41 | 38 |
| 161000 Escheat of unclaimed checks | 2 | – | – |
| 161400 Miscellaneous revenue | 1 | – | – |
| Totals, Revenues | $1,007 | $1,373 | $1,371 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 76 | – |
| Totals, Transfers from Other Funds | – | $76 | – |
| Totals, Revenues and Transfers | $1,007 | $1,449 | $1,371 |
| Totals, Resources | $2,165 | $2,412 | $2,232 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| 1270 Committee on Dental Auxiliaries (State Operations) | $1,202 | $1,551 | $1,449 |
| FUND BALANCE | $963 | $861 | $783 |
| Reserve for economic uncertainties | 963 | 861 | 783 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 8.6 | 9.0 | 9.0 | $356 | $424 | $429 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | 8.6 | 9.0 | 9.0 | $356 | $424 | $431 |
| Total Adjustments | – | – | – | – | – | $2 |
| TOTALS, SALARIES AND WAGES | 8.6 | 9.0 | 9.0 | $356 | $424 | $431 |

## 51 BOARD FOR GEOLOGISTS AND GEOPHYSICISTS (1340)

The Board for Geologists and Geophysicists: (1) examines and licenses qualified geologists and geophysicists; (2) develops policies, rules and regulations; (3) acts on complaints and violations of the law by licensees and nonlicensees; and (4) provides education to the public through outreach programs.

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $100,000 and 0.9 personnel year to address enforcement caseload increases.

### Authority

Business and Professions Code Sections 7800–7887.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 9.7 | 8.9 | 9.8 | $937 | $976 | $1,145 |
| *0205 Geology and Geophysics Fund* | | | | *937* | *976* | *1,145* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 9.7 | 9.2 | 9.2 | $318 | $325 | $331 |
| Total Adjustments | – | – | 1.0 | – | – | 44 |
| Estimated Salary Savings | – | –0.3 | –0.4 | – | –4 | –7 |
| Net Totals, Salaries and Wages | 9.7 | 8.9 | 9.8 | $318 | $321 | $368 |
| Staff Benefits | – | – | – | 57 | 56 | 65 |
| Totals, Personal Services | 9.7 | 8.9 | 9.8 | $375 | $377 | $433 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $562 | $599 | $712 |
| TOTALS, EXPENDITURES | | | | $937 | $976 | $1,145 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0205 Geology and Geophysics Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $918 | $978 | $1,145 |
| Allocation for employee compensation | 38 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 111 | – | – |
| Adjustment per Section 3.60 | –26 | –3 | – |
| Totals Available | $1,041 | $976 | $1,145 |
| Unexpended balance, estimated savings | –104 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $937 | $976 | $1,145 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| FUND CONDITION STATEMENT<br>0205 Geology and Geophysics Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $739 | $546 | $370 |
| Prior year adjustments | 33 | – | – |
| Balance, Adjusted | $772 | $546 | $370 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 3 | 13 | 12 |
| 125700 Other regulatory licenses and permits | 207 | 235 | 247 |
| 125800 Renewal fees | 450 | 473 | 496 |
| 125900 Delinquent fees | 15 | 16 | 18 |
| 150300 Income from surplus money investments | 36 | 27 | 18 |
| Totals, Revenues | $711 | $764 | $791 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 36 | – |
| Totals, Transfers from Other Funds | – | $36 | – |
| Totals, Revenues and Transfers | $711 | $800 | $791 |
| Totals, Resources | $1,483 | $1,346 | $1,161 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1340 Board for Geologists and Geophysicists (State Operations) | 937 | 976 | 1,145 |
| FUND BALANCE | $546 | $370 | $16 |
| Reserve for economic uncertainties | 546 | 370 | 16 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 9.7 | 9.2 | 9.2 | $318 | $325 | $331 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | 9.7 | 9.2 | 9.2 | $318 | $325 | $333 |
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Engrng Geologist | – | – | 0.5 | 4,415–5,365 | – | 30 |
| Ofc Asst-Typing | – | – | 0.5 | 1,846–2,242 | – | 12 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $42 |
| Total Adjustments | – | – | 1.0 | – | – | $44 |
| TOTALS, SALARIES AND WAGES | 9.7 | 9.2 | 10.2 | $318 | $325 | $375 |

## 54 STATE BOARD OF GUIDE DOGS FOR THE BLIND (1350)

The primary objectives of the State Board of Guide Dogs for the Blind are to:

- Protect the safety of blind guide dog users by ensuring that instructors and schools possess minimum qualifications and are licensed.
- Enforce licensing standards for performance and conduct.

### Authority

Business and Professions Code Sections 7200–7218.

| Input | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | 1.0 | 1.5 | 1.5 | $95 | $151 | $125 |
| *0024 State Board of Guide Dogs for the Blind Fund* | | | | *95* | *151* | *125* |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1.0 | 1.5 | 1.5 | $60 | $105 | $77 |
| Total Adjustments | – | – | – | – | – | 1 |
| Estimated Salary Savings | – | – | – | – | –1 | –1 |
| Net Totals, Salaries and Wages | 1.0 | 1.5 | 1.5 | $60 | $104 | $77 |
| Staff Benefits | – | – | – | 8 | 14 | 12 |
| Totals, Personal Services | 1.0 | 1.5 | 1.5 | $68 | $118 | $89 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $27 | $33 | $36 |
| TOTALS, EXPENDITURES | | | | $95 | $151 | $125 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0024 State Board of Guide Dogs for the Blind Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $111 | $152 | $125 |
| Allocation for employee compensation | 5 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 3 | – | – |
| Adjustment per Section 3.60 | –5 | –2 | – |
| Totals Available | $114 | $151 | $125 |
| Unexpended balance, estimated savings | –19 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $95 | $151 | $125 |

### FUND CONDITION STATEMENT
### 0024 State Board of Guide Dogs for the Blind Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $75 | $109 | $65 |
| Prior year adjustments | 8 | – | – |
| Balance, Adjusted | $83 | $109 | $65 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 3 | 2 | 2 |
| 125800 Renewal fees | 114 | 101 | 101 |
| 150300 Income from surplus money investment | 4 | 4 | 3 |
| Totals, Revenues | $121 | $107 | $106 |
| Totals, Resources | $204 | $216 | $171 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1350 State Board of Guide Dogs for the Blind (State Operations) | 95 | 151 | 125 |
| FUND BALANCE | $109 | $65 | $46 |
| Reserve for economic uncertainties | 109 | 65 | 46 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 1.0 | 1.5 | 1.5 | $60 | $105 | $77 |
| Salary adjustments | – | – | – | – | – | 1 |
| Totals, Adjusted Authorized Positions | 1.0 | 1.5 | 1.5 | $60 | $105 | $78 |
| Total Adjustments | – | – | – | – | – | $1 |
| TOTALS, SALARIES AND WAGES | 1.0 | 1.5 | 1.5 | $60 | $105 | $78 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### 63 MEDICAL BOARD OF CALIFORNIA (1380)

The Medical Board of California licenses physicians, midwives, opticians, spectacle lens dispensers, contact lens dispensers and research psychoanalysts. The Board also has oversight responsibility for the Physician Assistant Committee and the Boards of Physical Therapy, Acupuncture, Podiatric Medicine, Psychology, Respiratory Care, and Speech-Language Pathology and Audiology.

| Program Requirements | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 63.10 Medical Board of California | 264.8 | 293.5 | 293.5 | $32,978 | $36,403 | $37,122 |
| 63.15 Registered Dispensing Opticians | 1.3 | 1.0 | 1.0 | 219 | 289 | 299 |
| 63.17 Outpatient Setting | – | – | – | – | 23 | 23 |
| 63.20 Acupuncture Board | 9.0 | 10.3 | 10.3 | 1,702 | 1,887 | 1,869 |
| 63.40 Physical Therapy Board of California | 8.7 | 8.5 | 9.4 | 1,549 | 2,056 | 2,182 |
| 63.50 Physician Assistant Committee | 5.4 | 5.2 | 5.2 | 771 | 887 | 881 |
| 63.60 California Board of Podiatric Medicine | 4.9 | 5.2 | 5.2 | 841 | 1,046 | 1,030 |
| 63.70 Board of Psychology | 12.6 | 12.6 | 12.6 | 2,754 | 3,099 | 3,110 |
| 63.75 Respiratory Care Board of California | 14.4 | 23.6 | 23.6 | 1,702 | 2,625 | 2,989 |
| 63.80 Speech-Language Pathology and Audiology Board | – | 5.2 | 5.2 | – | 605 | 603 |
| Totals, Medical Board of California | 321.1 | 365.1 | 366.0 | $42,516 | $48,920 | $50,108 |
| *0108 Acupuncture Fund* | | | | *1,648* | *1,864* | *1,846* |
| *0175 Dispensing Opticians Fund* | | | | *217* | *289* | *299* |
| *0210 Outpatient Setting Fund of the Medical Board of California* | | | | *–* | *23* | *23* |
| *0280 Physician Assistant Fund* | | | | *717* | *862* | *856* |
| *0295 State Board of Podiatric Medicine Fund* | | | | *814* | *1,042* | *1,026* |
| *0310 Psychology Fund* | | | | *2,631* | *3,048* | *3,059* |
| *0319 Respiratory Care Fund* | | | | *1,479* | *2,559* | *2,923* |
| *0376 Speech-Language Pathology and Audiology Fund* | | | | *–* | *581* | *579* |
| *0758 Contingent Fund of the Medical Board of California* | | | | *31,009* | *36,096* | *36,815* |
| *0759 Physical Therapy Fund* | | | | *1,386* | *1,957* | *2,083* |
| *0995 Reimbursements* | | | | *2,615* | *599* | *599* |

### 63.10 Medical Board of California (1390)

The Board assures the initial and continued competence of the health professions and occupations under its jurisdiction through licensure, investigation of complaints against its licensees, and discipline of those found guilty of violations of the law or regulations.

The Board's objective is to improve the quality of medical services within California. In order to accomplish this objective, the Board must ensure that only those persons possessing the necessary education, examination and experience qualifications receive licenses; that all licentiates obtain the required continuing medical education training; that the consumers are informed of their rights and how complaints may be directed to the Board; that consumer complaints against licentiates are promptly, thoroughly and fairly investigated; and that appropriate action is taken against licentiates whose care or behavior is outside of acceptable standards.

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $82,000 and the redirection of 1.9 personnel years for web site maintenance and development.

#### Authority

Business and Professions Code Sections 2000–2356.

| Program Components | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 63.10.010 Medical Board of California | 264.8 | 293.5 | 293.5 | $33,693 | $37,200 | $37,919 |
| 63.10.020 Distributed Medical Board of California (Internal cost recovery) | – | – | – | –715 | –797 | –797 |
| Net Totals, Medical Board of California | 264.8 | 293.5 | 293.5 | $32,978 | $36,403 | $37,122 |
| **Input** | | | | | | |
| Expenditures | 264.8 | 293.5 | 293.5 | 32,978 | 36,403 | 37,122 |
| *0758 Contingent Fund of the Medical Board of California* | | | | *31,009* | *36,096* | *36,815* |
| *0995 Reimbursements* | | | | *1,969* | *307* | *307* |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 264.8 | 309.7 | 309.7 | $12,992 | $15,374 | $15,714 |
| Total Adjustments | – | – | – | – | 10 | 162 |
| Estimated Salary Savings | – | −16.2 | −16.2 | – | −657 | −663 |
| Net Totals, Salaries and Wages | 264.8 | 293.5 | 293.5 | $12,992 | $14,727 | $15,213 |
| Staff Benefits | – | – | – | 2,428 | 2,848 | 2,862 |
| Totals, Personal Services | 264.8 | 293.5 | 293.5 | $15,420 | $17,575 | $18,075 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $18,273 | $19,625 | $19,844 |
| TOTALS, EXPENDITURES | | | | $33,693 | $37,200 | $37,919 |
| Internal Cost Recovery | | | | −715 | −797 | −797 |
| NET TOTALS, EXPENDITURES | | | | $32,978 | $36,403 | $37,122 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0758 Contingent Fund of the Medical Board of California [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $32,661 | $35,964 | $36,815 |
| Allocation for employee compensation | 1,447 | 45 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 452 | – | – |
| Adjustment per Section 3.60 | −990 | 87 | – |
| Transfer to Legislative Claims (9670) | −1 | – | – |
| Totals Available | $33,569 | $36,096 | $36,815 |
| Unexpended balance, estimated savings | −2,560 | – | – |
| TOTALS, EXPENDITURES | $31,009 | $36,096 | $36,815 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $1,969 | $307 | $307 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $32,978 | $36,403 | $37,122 |

### FUND CONDITION STATEMENT
### 0758 Contingent Fund of the Medical Board of California [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $11,519 | $14,377 | $11,301 |
| Prior year adjustments | 49 | – | – |
| Balance, Adjusted | $11,568 | $14,377 | $11,301 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 396 | 465 | 465 |
| 125700 Other regulatory licenses and permits | 3,750 | 3,745 | 3,745 |
| 125800 Renewal fees | 28,659 | 28,030 | 28,030 |
| 125900 Delinquent fees | 90 | 90 | 90 |
| 141200 Sales of documents | 1 | 2 | 2 |
| 142500 Miscellaneous services to the public | 63 | 50 | 50 |
| 150300 Income from surplus money investments | 840 | 636 | 488 |
| 161000 Escheat of unclaimed checks and warrants | 19 | – | – |
| 161400 Miscellaneous revenue | 1 | 2 | 2 |
| Totals, Revenues | $33,819 | $33,020 | $32,872 |
| Totals, Resources | $45,387 | $47,397 | $44,173 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1390 Medical Board of California (State Operations) | 31,009 | 36,096 | 36,815 |
| 9670 Legislative Claims (State Operations) | 1 | – | – |
| Totals, Disbursements | $31,010 | $36,096 | $36,815 |
| FUND BALANCE | $14,377 | $11,301 | $7,358 |
| Reserve for economic uncertainties | 14,377 | 11,301 | 7,358 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 264.8 | 309.7 | 309.7 | $12,992 | $15,374 | $15,714 |
| Salary adjustments | – | – | – | – | 10 | 115 |
| Totals, Adjusted Authorized Positions | 264.8 | 309.7 | 309.7 | $12,992 | $15,384 | $15,829 |
| Reduction per Section 31.50: | | | | Salary Range | | |
| Investigator | – | (–1.0) | (–1.0) | – | – | – |
| Investigator Asst | – | (–3.0) | (–3.0) | – | – | – |
| Totals | – | (–4.0) | (–4.0) | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Staff Svcs Mgr I | – | – | –1.0 | 4,520–5,453 | – | –55 |
| Ofc Asst-Typing | – | – | –1.0 | 1,908–2,465 | – | –24 |
| Totals | – | – | –2.0 | – | – | –$79 |
| Proposed New Positions: | | | | | | |
| Staff Info Sys Analyst-Spec | – | – | 1.0 | 4,507–5,480 | – | 66 |
| Assoc Info Sys Analyst-Spec | – | – | 1.0 | 4,110–4,997 | – | 60 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $126 |
| Total Adjustments | – | – | – | – | $10 | $162 |
| TOTALS, SALARIES AND WAGES | 264.8 | 309.7 | 309.7 | $12,992 | $15,384 | $15,876 |

### 63.15 Registered Dispensing Opticians (1390)

Registered dispensing opticians are businesses that fill the prescriptions of ophthalmologists and optometrists for eyeglasses and contact lenses. Each business must employ one or more registered spectacle lens or contact lens dispenser, as appropriate, to fill prescriptions.

**Authority**

Business and Professions Code Sections 2550–2569.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 1.3 | 1.0 | 1.0 | $219 | $289 | $299 |
| *0175 Dispensing Opticians Fund* | | | | *217* | *289* | *299* |
| *0995 Reimbursements* | | | | *2* | – | – |

| SUMMARY BY OBJECT 1 STATE OPERATIONS | | | | | | |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
| Authorized Positions (Equals Sch. 7A) | 1.3 | 1.0 | 1.0 | $49 | $44 | $47 |
| Net Totals, Salaries and Wages | 1.3 | 1.0 | 1.0 | $49 | $44 | $47 |
| Staff Benefits | – | – | – | 10 | 5 | 5 |
| Totals, Personal Services | 1.3 | 1.0 | 1.0 | $59 | $49 | $52 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $160 | $240 | $247 |
| TOTALS, EXPENDITURES | | | | $219 | $289 | $299 |

**RECONCILIATION WITH APPROPRIATIONS**
**1 STATE OPERATIONS**
**0175 Dispensing Opticians Fund** [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $279 | $289 | $299 |
| Allocation for employee compensation | 5 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Allocation for Year 2000 per Item 9904-001-0494 | $3 | – | – |
| Adjustment per Section 3.60 | −3 | – | – |
| Totals Available | $284 | $289 | $299 |
| Unexpended balance, estimated savings | −67 | – | – |
| TOTALS, EXPENDITURES | $217 | $289 | $299 |
| **0995 Reimbursements** | | | |
| Reimbursements | $2 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $219 | $289 | $299 |

### FUND CONDITION STATEMENT
### 0175 Dispensing Opticians Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $336 | $266 | $151 |
| Prior year adjustments | −23 | – | – |
| Balance, Adjusted | $313 | $266 | $151 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees (citation fee) | 3 | 3 | 3 |
| 125700 Other regulatory licenses and permits | 15 | 18 | 18 |
| 125800 Renewal fees | 127 | 134 | 134 |
| 125900 Delinquent fees | 5 | 5 | 5 |
| 150300 Income from surplus money investments | 19 | 14 | 9 |
| 161000 Escheat of unclaimed checks and warrants | 1 | – | – |
| Totals, Revenues | $170 | $174 | $169 |
| Totals, Resources | $483 | $440 | $320 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1390 Medical Board of California (Registered Dispensing Opticians) (State Operations) | 217 | 289 | 299 |
| FUND BALANCE | $266 | $151 | $21 |
| Reserve for economic uncertainties | 266 | 151 | 21 |

### 63.17 Outpatient Settings (1390)

The Medical Board of California is required to approve agencies that perform accreditation of outpatient settings, adopt standards for approval of such agencies and establish fees. Chapter 1276, Statutes of 1994 established the Outpatient Setting Fund and authorized a loan of $150,000 from the Contingent Fund of the Medical Board of California to the Outpatient Setting Fund to be repaid with interest by January 1, 2003.

**Authority**

Business and Professions Code Sections 2515–2217.

| **Input** | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | – | – | – | $23 | $23 |
| *0210 Outpatient Setting Fund of the Medical Board of California* | | | | – | *23* | *23* |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0210 Outpatient Setting Fund of the Medical Board of California [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $23 | $23 | $23 |
| Totals Available | $23 | $23 | $23 |
| Unexpended balance, estimated savings | −23 | – | – |
| TOTALS, EXPENDITURES (State Operations) | – | $23 | $23 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### FUND CONDITION STATEMENT
### 0210 Outpatient Setting Fund of the Medical Board of California [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $136 | $144 | $190 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125800 Renewal Fees | – | 60 | – |
| 150300 Income from surplus money investments | 8 | 9 | 9 |
| Totals, Revenues | $8 | $69 | $9 |
| Totals, Resources | $144 | $213 | $199 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1390 Medical Board of California (Outpatient Settings) (State Operations) | – | 23 | 23 |
| Totals, Disbursements | – | $23 | $23 |
| FUND BALANCE | $144 | $190 | $176 |
| Reserve for economic uncertainties | 144 | 190 | 176 |

## 63.18 Licensed Midwifery Program (1390)

The Division of Licensing, Medical Board of California is required to license and regulate the practice of midwifery in California. The program establishes criteria for licensing, provides for the expiration and renewal of licenses. The Board is authorized to suspend and revoke licenses for specified reasons.

### Authority

Business and Professions Code Sections 2505–2521.

### FUND CONDITION STATEMENT
### 0755 Licensed Midwifery Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $33 | $18 | $33 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 4 | 3 | 3 |
| 155800 Renewal fees | 8 | 11 | 10 |
| 150300 Income from surplus money investments | 1 | 1 | 2 |
| Totals, Revenues | $13 | $15 | $15 |
| Transfers to Other Funds: | | | |
| T00001 General Fund, per Chapter 1280, Statutes of 1993 (loan repayment) | –28 | – | – |
| Totals, Transfers to Other Funds | –$28 | – | – |
| Totals, Revenues and Transfers | –$15 | $15 | $15 |
| Totals, Resources | $18 | $33 | $48 |
| FUND BALANCE | $18 | $33 | $48 |
| Reserve for economic uncertainties | 18 | 33 | 48 |

## 63.20 Acupuncture Board (1400)

Acupuncture is a theory and method for treatment of illness and disability and for strengthening and invigorating the body. The Acupuncture Board regulates and controls individuals practicing acupuncture pursuant to the Acupuncture Certification Act.

### Major Budget Adjustment Proposed for 2001–02

- A one-time augmentation of $65,000 to conduct an occupational analysis of the Acupuncture licensing exam.

### Authority

Business and Professions Code Sections 4925–4979.

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 9.0 | 10.3 | 10.3 | $1,702 | $1,887 | $1,869 |
| *0108 Acupuncture Fund* | | | | *1,648* | *1,864* | *1,846* |
| *0995 Reimbursements* | | | | *54* | *23* | *23* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 9.0 | 10.8 | 10.8 | $332 | $404 | $415 |
| Total Adjustments | – | – | – | – | – | 2 |
| Estimated Salary Savings | – | –0.5 | –0.5 | – | –11 | –10 |
| Net Totals, Salaries and Wages | 9.0 | 10.3 | 10.3 | $332 | $393 | $407 |
| Staff Benefits | – | – | – | 58 | 67 | 68 |
| Totals, Personal Services | 9.0 | 10.3 | 10.3 | $390 | $460 | $475 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,312 | $1,427 | $1,394 |
| TOTALS, EXPENDITURES | | | | $1,702 | $1,887 | $1,869 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0108 Acupuncture Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $1,777 | $1,868 | $1,846 |
| Allocation for employee compensation | 59 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 81 | – | – |
| Adjustment per Section 3.60 | –36 | –5 | – |
| Totals Available | $1,881 | $1,864 | $1,846 |
| Unexpended balance, estimated savings | –233 | – | – |
| TOTALS, EXPENDITURES | $1,648 | $1,864 | $1,846 |
| **0995 Reimbursements** | | | |
| Reimbursements | $54 | $23 | $23 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,702 | $1,887 | $1,869 |

### FUND CONDITION STATEMENT
### 0108 Acupuncture Fund

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $1,720 | $1,354 | $1,505 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 14 | 19 | 19 |
| 125700 Other regulatory licenses and permits | 531 | 888 | 888 |
| 125800 Renewal fees | 641 | 976 | 814 |
| 125900 Delinquent fees | 3 | 6 | 4 |
| 150300 Income from surplus money investments | 91 | 68 | 75 |
| 161000 Escheat of unclaimed checks | 1 | – | – |
| 161400 Miscellaneous revenue | 1 | 1 | 1 |
| Totals, Revenues | $1,282 | $1,958 | $1,801 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 57 | – |
| Totals, Transfers from Other Funds | – | $57 | – |
| Totals, Revenues and Transfers | $1,282 | $2,015 | $1,801 |
| Totals, Resources | $3,002 | $3,369 | $3,306 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| 1400 Medical Board of California (Acupuncture Board) (State Operations) | $1,648 | $1,864 | $1,846 |
| FUND BALANCE | $1,354 | $1,505 | $1,460 |
| Reserve for economic uncertainties | 1,354 | 1,505 | 1,460 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 9.0 | 10.8 | 10.8 | $332 | $404 | $415 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | 9.0 | 10.8 | 10.8 | $332 | $404 | $417 |
| Total Adjustments | – | – | – | – | – | $2 |
| TOTALS, SALARIES AND WAGES | 9.0 | 10.8 | 10.8 | $332 | $404 | $417 |

### 63.40 Physical Therapy Board of California (1420)

Physical therapists in independent practice treat patients who have disabilities resulting from accidents, congenital defects or illnesses. To ensure proper patient evaluation and treatment, those physical therapists must meet the minimum standards established according to law. This is accomplished by licensing those who possess the necessary qualifications enforcing standards of ethical conduct established for such licensees and policing against unlicensed practice.

**Major Budget Adjustment Proposed for 2001–02**

- An augmentation of $76,000 and 0.9 personnel year to address consumer protection services workload increases.

**Authority**

Business and Professions Code Sections 2600–2696.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 8.7 | 8.5 | 9.4 | $1,549 | $2,056 | $2,182 |
| *0759 Physical Therapy Fund* | | | | *1,386* | *1,957* | *2,083* |
| *0995 Reimbursements* | | | | *163* | *99* | *99* |

**SUMMARY BY OBJECT**
**1 STATE OPERATIONS**

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 8.7 | 8.8 | 8.8 | $381 | $393 | $399 |
| Total Adjustments | – | – | 1.0 | – | – | 54 |
| Estimated Salary Savings | – | –0.3 | –0.4 | – | –9 | –12 |
| Net Totals, Salaries and Wages | 8.7 | 8.5 | 9.4 | $381 | $384 | $441 |
| Staff Benefits | – | – | – | 76 | 63 | 74 |
| Totals, Personal Services | 8.7 | 8.5 | 9.4 | $457 | $447 | $515 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,092 | $1,609 | $1,667 |
| TOTALS, EXPENDITURES | | | | $1,549 | $2,056 | $2,182 |

**RECONCILIATION WITH APPROPRIATIONS**
**1 STATE OPERATIONS**
**0759 Physical Therapy Fund** [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $1,410 | $1,961 | $2,083 |
| Allocation for employee compensation | 48 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 46 | – | – |
| Adjustment per Section 3.60 | –41 | –5 | – |
| Totals Available | $1,463 | $1,957 | $2,083 |
| Unexpended balance, estimated savings | –77 | – | – |
| TOTALS, EXPENDITURES | $1,386 | $1,957 | $2,083 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | $163 | $99 | $99 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,549 | $2,056 | $2,182 |

### FUND CONDITION STATEMENT
### 0759 Physical Therapy Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $584 | $1,291 | $1,531 |
| Prior year adjustments | 10 | – | – |
| Balance, Adjusted | $594 | $1,291 | $1,531 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 21 | 21 | 21 |
| 125700 Other regulatory licenses and permits | 843 | 937 | 941 |
| 125800 Renewal fees | 1,142 | 1,155 | 1,170 |
| 125900 Delinquent fees | 17 | 17 | 17 |
| 150300 Income from surplus money investments | 55 | 67 | 78 |
| 161400 Miscellaneous revenue | 5 | – | – |
| Totals, Revenues | $2,083 | $2,197 | $2,227 |
| Totals, Resources | $2,677 | $3,488 | $3,758 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1420 Medical Board of California (Physical Therapy Board of California) (State Operations) | 1,386 | 1,957 | 2,083 |
| FUND BALANCE | $1,291 | $1,531 | $1,675 |
| Reserve for economic uncertainties | 1,291 | 1,531 | 1,675 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 8.7 | 8.8 | 8.8 | $381 | $393 | $399 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | 8.7 | 8.8 | 8.8 | $381 | $393 | $401 |
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $52 |
| Total Adjustments | – | – | 1.0 | – | – | $54 |
| TOTALS, SALARIES AND WAGES | 8.7 | 8.8 | 9.8 | $381 | $393 | $453 |

## 63.50 Physician Assistant Committee (1430)

Concern over the growing shortage and geographic maldistribution of health care services in California has been expressed in both the private and public sector. The physician assistant is one category of health care manpower that is providing a means by which California can combat this shortage. The effective use of physician assistants enables the physician to delegate health care tasks where such delegation is consistent with the patient's health and welfare, thereby freeing the physician to concentrate his or her skills on more complex health care services.

The Physician Assistant Committee facilitates the utilization of physician assistants by assuring the public that the Committee's licensees and approved programs have met certain minimum requirements. Such minimum licensing requirements attempt to protect the public from inadequately trained, unethical or incompetent practitioners.

### Authority

Business and Professions Code Sections 3500–3546.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 5.4 | 5.2 | 5.2 | $771 | $887 | $881 |
| *0280 Physician Assistant Fund* | | | | *717* | *862* | *856* |
| *0995 Reimbursements* | | | | *54* | *25* | *25* |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 5.4 | 5.3 | 5.3 | $229 | $254 | $258 |
| Total Adjustments | – | – | – | – | – | 2 |
| Estimated Salary Savings | – | –0.1 | –0.1 | – | –3 | –3 |
| Net Totals, Salaries and Wages | 5.4 | 5.2 | 5.2 | $229 | $251 | $257 |
| Staff Benefits | – | – | – | 31 | 38 | 38 |
| Totals, Personal Services | 5.4 | 5.2 | 5.2 | $260 | $289 | $295 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $511 | $598 | $586 |
| TOTALS, EXPENDITURES | | | | $771 | $887 | $881 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0280 Physician Assistant Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $776 | $864 | $856 |
| Allocation for employee compensation | 24 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 20 | – | – |
| Adjustment per Section 3.60 | –16 | –3 | – |
| Totals Available | $804 | $862 | $856 |
| Unexpended balance, estimated savings | –87 | – | – |
| TOTALS, EXPENDITURES | $717 | $862 | $856 |
| **0995 Reimbursements** | | | |
| Reimbursements | $54 | $25 | $25 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $771 | $887 | $881 |

### FUND CONDITION STATEMENT
### 0280 Physician Assistant Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,490 | $1,636 | $1,520 |
| Prior year adjustments | 14 | – | – |
| Balance, Adjusted | $1,504 | $1,636 | $1,520 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1 | 1 | 1 |
| 125700 Other regulatory licenses and permits | 176 | 118 | 94 |
| 125800 Renewal fees | 566 | 453 | 407 |
| 125900 Delinquent fees | 16 | 7 | 2 |
| 150300 Income from surplus money investments | 88 | 82 | 76 |
| 161000 Escheat of unclaimed checks | 2 | – | – |
| Totals, Revenues | $849 | $661 | $580 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 85 | – |
| Totals, Transfers from Other Funds | – | $85 | – |
| Totals, Revenues and Transfers | $849 | $746 | $580 |
| Totals, Resources | $2,353 | $2,382 | $2,100 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1430 Medical Board of California (Physician Assistant Committee) (State Operations) | 717 | 862 | 856 |
| FUND BALANCE | $1,636 | $1,520 | $1,244 |
| Reserve for economic uncertainties | 1,636 | 1,520 | 1,244 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 5.4 | 5.3 | 5.3 | $229 | $254 | $258 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | 5.4 | 5.3 | 5.3 | $229 | $254 | $260 |
| Total Adjustments | – | – | – | – | – | $2 |
| TOTALS, SALARIES AND WAGES | 5.4 | 5.3 | 5.3 | $229 | $254 | $260 |

### 63.60 California Board of Podiatric Medicine (1440)

The primary objectives of the Board of Podiatric Medicine are to: (1) ensure the public that only those persons possessing the required educational background and demonstrated clinical skills receive licenses to practice as podiatrists, and (2) assist the public by investigating complaints and referring appropriate cases to the Attorney General for administrative hearings or negotiating and resolving complaints by direct intervention.

**Authority**

Business and Professions Code Sections 2460–2499.8.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 4.9 | 5.2 | 5.2 | $841 | $1,046 | $1,030 |
| *0295 Board of Podiatric Medicine Fund* | | | | *814* | *1,042* | *1,026* |
| *0995 Reimbursements* | | | | *27* | *4* | *4* |

**SUMMARY BY OBJECT**
**1 STATE OPERATIONS**

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4.9 | 5.2 | 5.2 | $244 | $295 | $299 |
| Total Adjustments | – | – | – | – | – | 2 |
| Estimated Salary Savings | – | – | – | – | –3 | –4 |
| Net Totals, Salaries and Wages | 4.9 | 5.2 | 5.2 | $244 | $292 | $297 |
| Staff Benefits | – | – | – | 46 | 34 | 35 |
| Totals, Personal Services | 4.9 | 5.2 | 5.2 | $290 | $326 | $332 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $551 | $720 | $698 |
| TOTALS, EXPENDITURES | | | | $841 | $1,046 | $1,030 |

**RECONCILIATION WITH APPROPRIATIONS**
**1 STATE OPERATIONS**
**0295 Board of Podiatric Medicine Fund [s]**

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $985 | $1,044 | $1,026 |
| Allocation for employee compensation | 27 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 19 | – | – |
| Adjustment per Section 3.60 | –18 | –3 | – |
| Totals Available | $1,013 | $1,042 | $1,026 |
| Unexpended balance, estimated savings | –199 | – | – |
| TOTALS, EXPENDITURES | $814 | $1,042 | $1,026 |
| **0995 Reimbursements** | | | |
| Reimbursements | $27 | $4 | $4 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $841 | $1,046 | $1,030 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### FUND CONDITION STATEMENT
### 0295 Board of Podiatric Medicine Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $595 | $664 | $678 |
| Prior year adjustments | –6 | – | – |
| Balance, Adjusted | $589 | $664 | $678 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 14 | 5 | 5 |
| 125700 Other regulatory licenses and permits | 134 | 127 | 127 |
| 125800 Renewal fees | 701 | 745 | 655 |
| 125900 Delinquent fees | 6 | 6 | 5 |
| 142500 Miscellaneous service to the public | 1 | – | – |
| 150300 Income from surplus money investments | 33 | 33 | 34 |
| Totals, Revenues | $889 | $916 | $826 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 140 | – |
| Totals, Transfers from Other Funds | – | $140 | – |
| Totals, Revenues and Transfers | $889 | $1,056 | $826 |
| Totals, Resources | $1,478 | $1,720 | $1,504 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1440 Medical Board of California (California Board of Podiatric Medicine) (State Operations) | 814 | 1,042 | 1,026 |
| FUND BALANCE | $664 | $678 | $478 |
| Reserve for economic uncertainties | 664 | 678 | 478 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 4.9 | 5.2 | 5.2 | $244 | $295 | $299 |
| Salary Adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | 4.9 | 5.2 | 5.2 | $244 | $295 | $301 |
| Total Adjustments | – | – | – | – | – | $2 |
| TOTALS, SALARIES AND WAGES | 4.9 | 5.2 | 5.2 | $244 | $295 | $301 |

## 63.70 Board of Psychology (1450)

The primary objectives of the Board of Psychology are to: (1) protect the public from the practice of psychology by unqualified individuals, (2) guarantee that licensed individuals delivering psychological services to the public are competent, and (3) educate the public and the professional communities regarding the laws and regulations governing the practice of psychology.

### Authority

Business and Professions Code Sections 2900–2999.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 12.6 | 12.6 | 12.6 | $2,754 | $3,099 | $3,110 |
| *0310 Psychology Fund* | | | | *2,631* | *3,048* | *3,059* |
| *0995 Reimbursements* | | | | *123* | *51* | *51* |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 12.6 | 13.3 | 13.3 | $546 | $686 | $652 |
| Total Adjustments | – | – | – | – | 2 | 4 |
| Estimated Salary Savings | – | –0.7 | –0.7 | – | –18 | –18 |
| Net Totals, Salaries and Wages | 12.6 | 12.6 | 12.6 | $546 | $670 | $638 |
| Staff Benefits | – | – | – | 89 | 102 | 104 |
| Totals, Personal Services | 12.6 | 12.6 | 12.6 | $635 | $772 | $742 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,119 | $2,327 | $2,368 |
| TOTALS, EXPENDITURES | | | | $2,754 | $3,099 | $3,110 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0310 Psychology Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $2,869 | $3,053 | $3,059 |
| Allocation for employee compensation | 60 | 2 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 37 | – | – |
| Adjustment per Section 3.60 | –38 | –7 | – |
| Totals Available | $2,928 | $3,048 | $3,059 |
| Unexpended balance, estimated savings | –297 | – | – |
| TOTALS, EXPENDITURES | $2,631 | $3,048 | $3,059 |
| **0995 Reimbursements** | | | |
| Reimbursements | $123 | $51 | $51 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $2,754 | $3,099 | $3,110 |

### FUND CONDITION STATEMENT
### 0310 Psychology Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $2,709 | $3,641 | $4,150 |
| Prior year adjustments | 2 | – | – |
| Balance, Adjusted | $2,711 | $3,641 | $4,150 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 9 | 14 | 17 |
| 125700 Other regulatory licenses and permits | 841 | 894 | 1,090 |
| 125800 Renewal fees | 2,497 | 2,449 | 2,492 |
| 125900 Delinquent fees | 14 | 15 | 16 |
| 141200 Sale of documents | 5 | – | – |
| 141250 Sale of documents | 1 | – | – |
| 150300 Income from surplus money investments | 194 | 185 | 184 |
| Totals, Revenues | $3,561 | $3,557 | $3,799 |
| Totals, Resources | $6,272 | $7,198 | $7,949 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1450 Medical Board of California (Board of Psychology) (State Operations) | 2,631 | 3,048 | 3,059 |
| FUND BALANCE | $3,641 | $4,150 | $4,890 |
| Reserve for economic uncertainties | 3,641 | 4,150 | 4,890 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 12.6 | 13.3 | 13.3 | $546 | $686 | $652 |
| Salary adjustments | – | – | – | – | 2 | 4 |
| Totals, Adjusted Authorized Positions | 12.6 | 13.3 | 13.3 | $546 | $688 | $656 |
| Total Adjustments | – | – | – | – | $2 | $4 |
| TOTALS, SALARIES AND WAGES | 12.6 | 13.3 | 13.3 | $546 | $688 | $656 |

### 63.75 Respiratory Care Board of California (1455)

The Respiratory Care Board's primary objectives are to: (1) assure that applicants for licensure meet minimum standards of education and training, (2) administer an exam and issue licenses to successful applicants, and (3) protect the public from unlicensed or incompetent practitioners.

#### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $167,000 to address increases in discipline related caseload for Attorney General services, administrative hearings, and expert witnesses.
- A one-time augmentation of $108,000 to conduct an occupational analysis on the Respiratory Care exam.

#### Authority

Business and Professions Code Sections 3700–3777.

| Input | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | 14.4 | 23.6 | 23.6 | $1,702 | $2,625 | $2,989 |
| *0319 Respiratory Care Fund* | | | | *1,479* | *2,559* | *2,923* |
| *0995 Reimbursements* | | | | *223* | *66* | *66* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 14.4 | 24.9 | 24.9 | $589 | $1,031 | $1,074 |
| Total Adjustments | – | – | – | – | – | 6 |
| Estimated Salary Savings | – | –1.3 | –1.3 | – | –45 | –46 |
| Net Totals, Salaries and Wages | 14.4 | 23.6 | 23.6 | $589 | $986 | $1,034 |
| Staff Benefits | – | – | – | 113 | 222 | 223 |
| Totals, Personal Services | 14.4 | 23.6 | 23.6 | $702 | $1,208 | $1,257 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,000 | $1,417 | $1,732 |
| TOTALS, EXPENDITURES | | | | $1,702 | $2,625 | $2,989 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0319 Respiratory Care Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,679 | $2,564 | $2,923 |
| Allocation for employee compensation | 72 | 2 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 48 | – | – |
| Adjustment per Section 3.60 | –48 | –7 | – |
| Totals Available | $1,751 | $2,559 | $2,923 |
| Unexpended balance, estimated savings | –272 | – | – |
| TOTALS, EXPENDITURES | $1,479 | $2,559 | $2,923 |
| **0995 Reimbursements** | | | |
| Reimbursements | $223 | $66 | $66 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,702 | $2,625 | $2,989 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### FUND CONDITION STATEMENT
### 0319 Respiratory Care Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $1,209 | $1,470 | $1,102 |
| Prior year adjustments | −64 | – | – |
| Balance, Adjusted | $1,145 | $1,470 | $1,102 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 108 | 115 | 115 |
| 125700 Other regulatory licenses and permits | 288 | 409 | 409 |
| 125800 Renewal fees | 1,291 | 1,358 | 1,425 |
| 125900 Delinquent fees | 33 | 50 | 50 |
| 142500 Miscellaneous service to public | 3 | – | – |
| 150300 Income from surplus money investments | 80 | 83 | 64 |
| 161400 Miscellaneous revenue | 1 | – | – |
| Totals, Revenues | $1,804 | $2,015 | $2,063 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 176 | – |
| Totals, Transfers from Other Funds | – | $176 | – |
| Totals, Revenues and Transfers | $1,804 | $2,191 | $2,063 |
| Totals, Resources | $2,949 | $3,661 | $3,165 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1455 Medical Board of California (Respiratory Care Board of California) (State Operations) | 1,479 | 2,559 | 2,923 |
| FUND BALANCE | $1,470 | $1,102 | $242 |
| Reserve for economic uncertainties | 1,470 | 1,102 | 242 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 14.4 | 24.9 | 24.9 | $589 | $1,031 | $1,074 |
| Salary adjustments | – | – | – | – | – | 6 |
| Totals, Adjusted Authorized Positions | 14.4 | 24.9 | 24.9 | $589 | $1,031 | $1,080 |
| Total Adjustments | – | – | – | – | – | $6 |
| TOTALS, SALARIES AND WAGES | 14.4 | 24.9 | 24.9 | $589 | $1,031 | $1,080 |

## 63.80 Speech-Language Pathology and Audiology Board (1460)

Thousands of California citizens are afflicted with serious hearing communication disorders, stemming from congenital disorders, stroke, occupational injuries, and other speech and hearing related dysfunctions. The Board protects consumers by expanding the information base related to communication disorders through oversight of a continuing professional development program, and by requiring adherence to statutes and regulations designed to ensure the qualifications and competency of providers of speech-language pathology and audiology services. The Board is also responsible for protecting consumers from unlicensed and incompetent practitioners by receiving complaints and investigating possible violations of the law and regulations.

Pursuant to Chapter 908, Statutes of 1994, authority for the operation of the Board sunset in 1999–00. The duties and responsibilities of the Board were vested with the Department of Consumer Affairs, effective July 1, 1999, and the 1999–00 expenditures for this program are reflected in the Department of Consumer Affairs Item 1111.

Pursuant to Chapter 436, Statutes of 1999, the duties and responsibilities of the Board were restored effective January 1, 2000.

### Authority

Business and Professions Code Sections 2530–2538.7.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 5.2 | 5.2 | – | $605 | $603 |
| *0376 Speech-Language Pathology and Audiology Fund* | | | | – | *581* | *579* |
| *0995 Reimbursements* | | | | – | *24* | *24* |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 5.2 | 5.2 | – | $221 | $225 |
| Total Adjustments | – | – | – | – | – | 2 |
| Estimated Salary Savings | – | – | – | – | −5 | −5 |
| Net Totals, Salaries and Wages | – | 5.2 | 5.2 | – | $216 | $222 |
| Staff Benefits | – | – | – | – | 39 | 40 |
| Totals, Personal Services | – | 5.2 | 5.2 | – | $255 | $262 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $350 | $341 |
| TOTALS, EXPENDITURES | | | | – | $605 | $603 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0376 Speech-Language Pathology and Audiology Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | $584 | $579 |
| Adjustment per Section 3.60 | – | −3 | – |
| TOTALS, EXPENDITURES | – | $581 | $579 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $24 | $24 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | – | $605 | $603 |

### FUND CONDITION STATEMENT
### 0376 Speech-Language Pathology and Audiology Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $333 | $331 | $209 |
| Prior year adjustments | 18 | – | – |
| Balance, Adjusted | $351 | $331 | $209 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory revenue | 1 | 2 | 2 |
| 125700 Other regulatory licenses and permits | 58 | 55 | 51 |
| 125800 Renewal fees | 337 | 368 | 393 |
| 125900 Delinquent fees | 11 | 12 | 19 |
| 150300 Income from surplus money investments | 29 | 17 | 10 |
| 161000 Escheat of unclaimed checks and warrants | 1 | – | – |
| Totals, Revenues | $437 | $454 | $475 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 5 | – |
| Totals, Transfers from Other Funds | – | $5 | – |
| Totals, Revenues and Transfers | $437 | $459 | $475 |
| Totals, Resources | $788 | $790 | $684 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 457 | – | – |
| 1460 Medical Board of California (Speech-Language Pathology and Audiology Board) (State Operations) | – | 581 | 579 |
| Totals, Disbursements | $457 | $581 | $579 |
| FUND BALANCE | $331 | $209 | $105 |
| Reserve for economic uncertainties | 331 | 209 | 105 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 5.2 | 5.2 | – | $221 | $225 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | – | 5.2 | 5.2 | – | $221 | $227 |
| Total Adjustments | – | – | – | – | – | $2 |
| TOTALS, SALARIES AND WAGES | – | 5.2 | 5.2 | – | $221 | $227 |

## 67 CALIFORNIA BOARD OF OCCUPATIONAL THERAPY (1475)

Pursuant to Chapter 697, Statutes of 2000, the Occupational Therapy Practice Act established the California Board of Occupational Therapy. The Board is responsible for regulating two types of practitioners: Occupational Therapists and Occupational Therapy Assistants. Occupational therapy practitioners provide health and rehabilitation skills necessary for independent functioning. The Board's mission is to regulate these professions to ensure that the public receives the highest level of therapeutic care available.

The Act authorizes the Board to 1) grant a license or certificate to those practitioners who have met specified requirements, 2) enforce the law and discipline violators, 3) provide for the renewal of a license or certificate, and 4) develop the necessary continuing education requirements for the profession.

### Major Budget Adjustment Included in 2000–01

- Chapter 697, Statutes of 2000 authorized a loan of up to $1 million from the General Fund to the Occupational Therapy Fund and provided an appropriation of $610,000 to the Occupational Therapy Board for use in 2000–01. The Budget includes $395,000 and 1.9 personnel years for Board start-up activities.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $163,000 and 3.8 personnel years for full-year operating and personnel costs of the Occupational Therapy Board.

### Authority

Business and Professions Code Sections 2570–2570.24

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 1.9 | 5.7 | – | $395 | $558 |
| *3017 Occupational Therapy Fund* | | | | – | *395* | *558* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | – | – | – | – | – |
| Total Adjustments | – | 2.0 | 6.0 | – | $107 | $274 |
| Estimated Salary Savings | – | –0.1 | –0.3 | – | –5 | –14 |
| Net Totals, Salaries and Wages | – | 1.9 | 5.7 | – | $102 | $260 |
| Staff Benefits | – | – | – | – | 21 | 60 |
| Totals, Personal Services | – | 1.9 | 5.7 | – | $123 | $320 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $272 | $238 |
| TOTALS, EXPENDITURES | | | | – | $395 | $558 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 3017 Occupational Therapy Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $558 |
| Chapter 697, Statutes of 2000 (Occupational Therapy Act) | – | $610 | – |
| Totals Available | – | $610 | $558 |
| Unexpended balance, estimated savings | – | –215 | – |
| TOTALS, EXPENDITURES (State Operations) | – | $395 | $558 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| FUND CONDITION STATEMENT<br>3017 Occupational Therapy Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $215 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | – | – | 765 |
| 161400 Miscellaneous income | – | – | 11 |
| Totals, Revenues | – | – | $776 |
| Transfers from Other Funds: | | | |
| F00001 General Fund per Chapter 697, Statutes of 2000 (loan) | – | $610 | – |
| Totals, Transfers from Other Funds | – | $610 | – |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Chapter 697, Statutes of 2000 (loan repayment) | – | – | –232 |
| Totals, Transfers to Other Funds | – | – | –$232 |
| Totals, Revenues and Transfers | – | $610 | $544 |
| Totals, Resources | – | $610 | $759 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1475 California Board of Occupational Therapy (State Operations) | – | 395 | 558 |
| FUND BALANCE | – | $215 | $201 |
| Reserve for economic uncertainties | – | 215 | 201 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | – | – | – | – | – |
| Proposed New Positions: | | | | Salary Range | | |
| Exec Director | – | 0.5 | 1.0 | $6,160–6,661 | $38 | $77 |
| Assoc Govtl Prog Analyst | – | 0.5 | 1.0 | 3,915–4,759 | 26 | 52 |
| Staff Svcs Analyst | – | 0.5 | 1.0 | 2,507–3,049 | 17 | 34 |
| Ofc Techn | – | 0.5 | 1.0 | 2,348–2,855 | 16 | 31 |
| Prog Techn | – | – | 2.0 | 2,029–2,648 | – | 56 |
| Bd Members-Blanket | – | – | – | 100/day | 5 | 20 |
| Temporary Help-Blanket | – | – | – | – | 5 | 4 |
| Totals, Proposed New Positions | – | 2.0 | 6.0 | – | $107 | $274 |
| Total Adjustments | – | 2.0 | 6.0 | – | $107 | $274 |
| TOTALS, SALARIES AND WAGES | – | 2.0 | 6.0 | – | $107 | $274 |

## 69 STATE BOARD OF OPTOMETRY (1480)

The Optometry Practice Act requires the administration of a licensing examination, issuance of licenses for the practice of optometry, licensing branch offices, registration of optometric corporations. The Act also authorizes the Board to issue fictitious name permits, statements of licensure accrediting schools and colleges of optometry, and to enforce the regulatory features of the Act.

The Board's objective is to ensure that only those who possess the optometric expertise and knowledge can acquire and hold a license to practice as a licensed optometrist within the provisions of the Optometry Practice Act. The Board is required to enforce the optometry legal statutes and to discipline the malfeasant practitioner.

### Authority

Business and Professions Code Sections 3000–3167.

### Input

| | | | | | | |
|---|---|---|---|---|---|---|
| Expenditures | 7.0 | 6.9 | 6.9 | $1,212 | $1,161 | $1,104 |
| *0763 State Optometry Fund, Professions and Vocations Fund* | | | | *1,164* | *1,155* | *1,098* |
| *0995 Reimbursements* | | | | *48* | *6* | *6* |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 7.0 | 7.1 | 7.1 | $326 | $377 | $379 |
| Total Adjustments | – | – | – | – | – | 2 |
| Estimated Salary Savings | – | –0.2 | –0.2 | – | –6 | –6 |
| Net Totals, Salaries and Wages | 7.0 | 6.9 | 6.9 | $326 | $371 | $375 |
| Staff Benefits | – | – | – | 68 | 50 | 51 |
| Totals, Personal Services | 7.0 | 6.9 | 6.9 | $394 | $421 | $426 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $818 | $740 | $678 |
| TOTALS, EXPENDITURES | | | | $1,212 | $1,161 | $1,104 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0763 State Optometry Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,066 | $1,161 | $1,098 |
| Allocation for employee compensation | 52 | 1 | – |
| Allocation for contingencies or emergencies | 105 | – | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 34 | – | – |
| Adjustment per Section 3.60 | –35 | –7 | – |
| Totals Available | $1,222 | $1,155 | $1,098 |
| Unexpended balance, estimated savings | –58 | – | – |
| TOTALS, EXPENDITURES | $1,164 | $1,155 | $1,098 |
| **0995 Reimbursements** | | | |
| Reimbursements | $48 | $6 | $6 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,212 | $1,161 | $1,104 |

### FUND CONDITION STATEMENT
### 0763 State Optometry Fund, Professions and Vocations Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,107 | $1,070 | $1,001 |
| Prior year adjustments | 38 | – | – |
| Balance, Adjusted | $1,145 | $1,070 | $1,001 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 26 | 28 | 28 |
| 125700 Other regulatory licenses and permits | 120 | 106 | 104 |
| 125800 Renewal fees | 864 | 895 | 923 |
| 125900 Delinquent fees | 4 | 3 | 3 |
| 150300 Income from surplus money investments | 67 | 53 | 49 |
| 161400 Miscellaneous income | 8 | – | – |
| Totals, Revenues | $1,089 | $1,085 | $1,107 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 1 | – |
| Totals, Transfers from Other Funds | – | $1 | – |
| Totals, Revenues and Transfers | $1,089 | $1,086 | $1,107 |
| Totals, Resources | $2,234 | $2,156 | $2,108 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1480 State Board of Optometry (State Operations) | 1,164 | 1,155 | 1,098 |
| FUND BALANCE | $1,070 | $1,001 | $1,010 |
| Reserve for economic uncertainties | 1,070 | 1,001 | 1,010 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 7.0 | 7.1 | 7.1 | $326 | $377 | $379 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | 7.0 | 7.1 | 7.1 | $326 | $377 | $381 |
| Total Adjustments | – | – | – | – | – | $2 |
| TOTALS, SALARIES AND WAGES | 7.0 | 7.1 | 7.1 | $326 | $377 | $381 |

## 72 CALIFORNIA STATE BOARD OF PHARMACY (1490)

The distribution and dispensing of prescription drugs and controlled substances must be regulated to prevent illegal distribution or improper use of these potentially dangerous substances. Also, the patient must be properly consulted regarding the possible harmful effects if the drug is misused.

To accomplish this, the Board of Pharmacy sets minimum requirements for licensure of pharmacists and health and safety standards for the licensure of pharmacies and drug wholesalers. The Board oversees a continuing education program, receives complaints and investigates possible violations, investigates unlicensed practices and inspects pharmacies and drug wholesalers regularly for compliance with Board rules and regulations.

The Board's objectives are: (1) to ensure that licensees are qualified and competent to practice their profession safely and effectively, (2) to promote and protect public health and safety through enforcement of statutes, and (3) to support full utilization of the pharmacist's knowledge and expertise in drug therapy to effect better patient care.

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $541,000 for the increased Attorney General costs.
- A one-time augmentation of $100,000 to produce educational materials for the quality assurance program, pursuant to Chapter 677, Statutes of 2000.
- An augmentation of $60,000 and 0.9 personnel year to address increased consumer complaint and mediation workload.

### Authority

Business and Professions Code Sections 4000–4480.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 44.6 | 50.7 | 51.6 | $6,624 | $6,693 | $7,456 |
| *0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund* | | | | *6,156* | *6,442* | *7,205* |
| *0995 Reimbursements* | | | | *468* | *251* | *251* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 44.6 | 52.9 | 52.9 | $2,125 | $2,824 | $2,828 |
| Total Adjustments | – | – | 1.0 | – | – | 58 |
| Estimated Salary Savings | – | −2.2 | −2.3 | – | −64 | −66 |
| Net Totals, Salaries and Wages | 44.6 | 50.7 | 51.6 | $2,125 | $2,760 | $2,820 |
| Staff Benefits | – | – | – | 412 | 544 | 555 |
| Totals, Personal Services | 44.6 | 50.7 | 51.6 | $2,537 | $3,304 | $3,375 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,087 | $3,389 | $4,081 |
| TOTALS, EXPENDITURES | | | | $6,624 | $6,693 | $7,456 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $5,755 | $6,457 | $7,205 |
| Allocation for employee compensation | 366 | 6 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 383 | – | – |
| Adjustment per Section 3.60 | −164 | −24 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 3 | – |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapter 738, Statutes of 1996 | $466 | – | – |
| Totals Available | $6,806 | $6,442 | $7,205 |
| Unexpended balance, estimated savings | −650 | – | – |
| TOTALS, EXPENDITURES | $6,156 | $6,442 | $7,205 |
| **0995 Reimbursements** | | | |
| Reimbursements | $468 | $251 | $251 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $6,624 | $6,693 | $7,456 |

### FUND CONDITION STATEMENT
### 0767 Pharmacy Board Contingent Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $11,043 | $10,948 | $11,467 |
| Prior year adjustments | 210 | – | – |
| Balance, Adjusted | $11,253 | $10,948 | $11,467 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 90 | 22 | 22 |
| 125700 Other regulatory licenses and permits | 1,417 | 1,488 | 1,562 |
| 125800 Renewal fees | 3,616 | 3,641 | 3,527 |
| 125900 Delinquent fees | 69 | 60 | 61 |
| 131700 Miscellaneous revenue from local agencies | 18 | – | – |
| 141200 Sale of documents | 4 | – | – |
| 142500 Miscellaneous services to the public | 3 | – | – |
| 150300 Income from surplus money investments | 628 | 537 | 562 |
| 161000 Escheat of unclaimed checks | 5 | – | – |
| 161400 Miscellaneous income | 1 | – | – |
| Totals, Revenues | $5,851 | $5,748 | $5,734 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 1,213 | – |
| Totals, Transfers from Other Funds | – | $1,213 | – |
| Totals, Revenues and Transfers | $5,851 | $6,961 | $5,734 |
| Totals, Resources | $17,104 | $17,909 | $17,201 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1490 Board of Pharmacy (State Operations) | 6,156 | 6,442 | 7,205 |
| FUND BALANCE | $10,948 | $11,467 | $9,996 |
| Reserve for economic uncertainties | 10,948 | 11,467 | 9,996 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 44.6 | 52.9 | 52.9 | $2,125 | $2,824 | $2,828 |
| Salary adjustments | – | – | – | – | – | 18 |
| Totals, Adjusted Authorized Positions | 44.6 | 52.9 | 52.9 | $2,125 | $2,824 | $2,846 |
| Proposed New Positions: | | | | Salary Range | | |
| Staff Svcs Analyst | – | – | 1.0 | 2,714–3,957 | – | 40 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $40 |
| Total Adjustments | – | – | 1.0 | – | – | $58 |
| TOTALS, SALARIES AND WAGES | 44.6 | 52.9 | 53.9 | $2,125 | $2,824 | $2,886 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### 75 BOARD FOR PROFESSIONAL ENGINEERS AND LAND SURVEYORS (1500)

The planning and design of public or private utilities, structures, machines and projects, and the determination of property lines and preparation of official maps require adequate education and technical expertise. The Board ensures that engineers and land surveyors meet professional standards. It examines, licenses, and registers these individuals and enforces the Professional Engineers' and Professional Land Surveyor's Act.

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $200,000 to implement Chapter 1006, Statutes of 2000 which requires an analysis of the Board's title acts.

### Authority

Business and Professions Code Sections 6700–6799 and 8700–8805.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 59.3 | 57.2 | 57.2 | $7,048 | $6,979 | $7,377 |
| *0770 Professional Engineers and Land Surveyors Fund* | | | | *7,005* | *6,963* | *7,361* |
| *0995 Reimbursements* | | | | *43* | *16* | *16* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 59.3 | 59.5 | 59.5 | $1,999 | $2,136 | $2,181 |
| Total Adjustments | – | – | – | – | 2 | 16 |
| Estimated Salary Savings | – | –2.3 | –2.3 | – | –46 | –46 |
| Net Totals, Salaries and Wages | 59.3 | 57.2 | 57.2 | $1,999 | $2,092 | $2,151 |
| Staff Benefits | – | – | – | 317 | 337 | 338 |
| Totals, Personal Services | 59.3 | 57.2 | 57.2 | $2,316 | $2,429 | $2,489 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,732 | $4,550 | $4,888 |
| TOTALS, EXPENDITURES | | | | $7,048 | $6,979 | $7,377 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0770 Professional Engineers' and Land Surveyors' Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $6,948 | $6,976 | $7,361 |
| Allocation for employee compensation | 215 | 10 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 408 | – | – |
| Adjustment per Section 3.60 | –134 | –23 | – |
| Totals Available | $7,437 | $6,963 | $7,361 |
| Unexpended balance, estimated savings | –432 | – | – |
| TOTALS, EXPENDITURES | $7,005 | $6,963 | $7,361 |
| **0995 Reimbursements** | | | |
| Reimbursements | $43 | $16 | $16 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $7,048 | $6,979 | $7,377 |

### FUND CONDITION STATEMENT
### 0770 Professional Engineers' and Land Surveyors' Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $4,262 | $3,752 | $4,049 |
| Prior year adjustments | 768 | – | – |
| Balance, Adjusted | $5,030 | $3,752 | $4,049 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 21 | 4 | 4 |
| 125700 Other regulatory licenses and permits | 1,796 | 1,913 | 1,893 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 125800 Renewal fees | $3,583 | $4,136 | $4,668 |
| 125900 Delinquent fees | 58 | 58 | 56 |
| 141200 Sales of documents | 4 | 11 | 11 |
| 142500 Miscellaneous services to the public | 3 | 10 | 10 |
| 150300 Income from surplus money investments | 257 | 187 | 202 |
| 161000 Escheat of unclaimed checks | 4 | – | – |
| 161400 Miscellaneous revenue | 1 | – | – |
| Totals, Revenues | $5,727 | $6,319 | $6,844 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 941 | – |
| Totals, Transfers from Other Funds | – | $941 | – |
| Totals, Revenues and Transfers | $5,727 | $7,260 | $6,844 |
| Totals, Resources | $10,757 | $11,012 | $10,893 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1500 Board for Professional Engineers and Land Surveyors (State Operations) | 7,005 | 6,963 | 7,361 |
| FUND BALANCE | $3,752 | $4,049 | $3,532 |
| Reserve for economic uncertainties | 3,752 | 4,049 | 3,532 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 59.3 | 59.5 | 59.5 | $1,999 | $2,136 | $2,181 |
| Salary adjustments | – | – | – | – | 2 | 16 |
| Totals, Adjusted Authorized Positions | 59.3 | 59.5 | 59.5 | $1,999 | $2,138 | $2,197 |
| Total Adjustments | – | – | – | – | $2 | $16 |
| TOTALS, SALARIES AND WAGES | 59.3 | 59.5 | 59.5 | $1,999 | $2,138 | $2,197 |

## 78 BOARD OF REGISTERED NURSING (1510)

The registered nurse has the responsibility directly and indirectly for administration of safe, effective nursing care to the consumer, including directing and coordinating the activities of ancillary health team members. The Board of Registered Nursing ensures that registered nurses are competent and safe to practice through 1) sound licensing standards, 2) a continued competency program, 3) an effective enforcement program to prosecute violations of the Nursing Practice Act, 4) a diversion program to intervene with chemically dependent or mentally ill nurses, and 5) public information efforts.

### Authority

Business and Professions Code Sections 2700–2837.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 88.6 | 91.9 | 91.9 | $13,457 | $13,647 | $14,577 |
| *0761 Board of Registered Nursing Fund, Professions and Vocations Fund* | | | | *12,501* | *13,104* | *14,034* |
| *0995 Reimbursements* | | | | *956* | *543* | *543* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 88.6 | 96.7 | 96.7 | $3,725 | $4,186 | $4,269 |
| Total Adjustments | – | – | – | – | – | 33 |
| Estimated Salary Savings | – | –4.8 | –4.8 | – | –123 | –123 |
| Net Totals, Salaries and Wages | 88.6 | 91.9 | 91.9 | $3,725 | $4,063 | $4,179 |
| Staff Benefits | – | – | – | 751 | 770 | 766 |
| Totals, Personal Services | 88.6 | 91.9 | 91.9 | $4,476 | $4,833 | $4,945 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $8,981 | $8,814 | $9,632 |
| TOTALS, EXPENDITURES | | | | $13,457 | $13,647 | $14,577 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0761 Board of Registered Nursing Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $12,508 | $13,147 | $14,034 |
| Allocation for employee compensation | 638 | 14 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 350 | – | – |
| Adjustment per Section 3.60 | –417 | –57 | – |
| Totals Available | $13,079 | $13,104 | $14,034 |
| Unexpended balance, estimated savings | –578 | – | – |
| TOTALS, EXPENDITURES | $12,501 | $13,104 | $14,034 |
| **0995 Reimbursements** | | | |
| Reimbursements | $956 | $543 | $543 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $13,457 | $13,647 | $14,577 |

### FUND CONDITION STATEMENT
### 0761 Board of Registered Nursing Fund, Professions and Vocations Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $13,843 | $15,608 | $15,477 |
| Prior year adjustments | 390 | – | – |
| Balance, Adjusted | $14,233 | $15,608 | $15,477 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 571 | 494 | 494 |
| 125700 Other regulatory licenses and permits | 2,195 | 2,060 | 2,060 |
| 125800 Renewal fees | 9,998 | 9,827 | 9,827 |
| 125900 Delinquent fees | 210 | 200 | 200 |
| 141200 Sales of documents | 1 | – | – |
| 142500 Miscellaneous services to the public | 17 | – | – |
| 150300 Income from surplus money investments | 870 | 725 | 686 |
| 161000 Escheat of unclaimed checks and warrants | 6 | – | – |
| 161400 Miscellaneous revenue | 8 | – | – |
| Totals, Revenues | $13,876 | $13,306 | $13,267 |
| Transfers from Other Funds: | | | |
| F00459 Telephone Medical Advice Services Fund per Budget Act 2000, Item 1111-002-0459 (loan repayment) | – | – | 151 |
| F00942 Special Deposit Fund per Section 12.00, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 189 | – |
| Totals, Transfers from Other Funds | – | $189 | $151 |
| Transfers to Other Funds: | | | |
| T00459 Telephone Medical Advice Services Fund per Budget Act 2000, Item 1111-012-0761 (loan) | – | –522 | – |
| Totals, Transfers to Other Funds | – | –$522 | – |
| Totals, Revenues and Transfers | $13,876 | $12,973 | $13,418 |
| Totals, Resources | $28,109 | $28,581 | $28,895 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1510 Board of Registered Nursing (State Operations) | 12,501 | 13,104 | 14,034 |
| FUND BALANCE | $15,608 | $15,477 | $14,861 |
| Reserve for economic uncertainties | 15,608 | 15,477 | 14,861 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 88.6 | 96.7 | 96.7 | $3,725 | $4,186 | $4,269 |
| Salary adjustments | – | – | – | – | – | 33 |
| Totals, Adjusted Authorized Positions | 88.6 | 96.7 | 96.7 | $3,725 | $4,186 | $4,302 |
| Total Adjustments | – | – | – | – | – | $33 |
| TOTALS, SALARIES AND WAGES | 88.6 | 96.7 | 96.7 | $3,725 | $4,186 | $4,302 |

## 81 COURT REPORTERS BOARD OF CALIFORNIA (1520)

The Court Reporters Board of California certifies persons who have met basic, minimum standards of practice to provide the public with competent, and impartial verbatim reporting of depositions and oral court/judicial proceedings. The Board also specifies a minimum curriculum to be offered by schools, receives and investigates complaints, and administers a fund which provides transcripts to indigent civil litigants.

### Major Budget Adjustment Proposed for 2001–02

- A one-time augmentation of $65,000 to conduct exam development workshops.

### Authority

Business and Professions Code Sections 8000–8010.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 7.0 | 5.1 | 5.1 | $831 | $1,067 | $1,034 |
| *0410 Transcript Reimbursement Fund* | | | | *184* | *300* | *300* |
| *0771 Court Reporters Fund* | | | | *630* | *749* | *716* |
| *0995 Reimbursements* | | | | *17* | *18* | *18* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 7.0 | 5.1 | 5.1 | $263 | $228 | $235 |
| Total Adjustments | – | – | – | – | – | 2 |
| Estimated Salary Savings | – | – | – | – | –2 | –2 |
| Net Totals, Salaries and Wages | 7.0 | 5.1 | 5.1 | $263 | $226 | $235 |
| Staff Benefits | – | – | – | 48 | 42 | 41 |
| Totals, Personal Services | 7.0 | 5.1 | 5.1 | $311 | $268 | $276 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $520 | $799 | $758 |
| TOTALS, EXPENDITURES | | | | $831 | $1,067 | $1,034 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0410 Transcript Reimbursement Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Business and Professions Code, Section 8030.2 (expenditures) | $184 | $300 | $300 |

### 0771 Court Reporters Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $655 | $750 | $716 |
| Business and Professions Code Section 8030.2 (Transfer to Transcript Reimbursement Fund) | (184) | (300) | (300) |
| Allocation for employee compensation | 24 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 14 | – | – |
| Adjustment per Section 3.60 | –15 | –2 | – |
| Totals Available | $678 | $749 | $716 |
| Unexpended balance, estimated savings | –48 | – | – |
| TOTALS, EXPENDITURES | $630 | $749 | $716 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | $17 | $18 | $18 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $831 | $1,067 | $1,034 |

---

### FUND CONDITION STATEMENT

| 0410 Transcript Reimbursement Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $51 | $55 | $59 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 4 | 4 | 4 |
| Totals, Revenues | $4 | $4 | $4 |
| Transfers from Other Funds: | | | |
| F00771 Court Reporters Fund per Business and Professions Code Section 8030.2 | 184 | 300 | 300 |
| Totals, Transfers from Other Funds | $184 | $300 | $300 |
| Totals, Revenues and Transfers | $188 | $304 | $304 |
| Totals, Resources | $239 | $359 | $363 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1520 Court Reporters Board of California (State Operations) | 184 | 300 | 300 |
| Totals, Disbursements | $184 | $300 | $300 |
| FUND BALANCE | $55 | $59 | $63 |
| Reserve for economic uncertainties | 55 | 59 | 63 |

| 0771 Court Reporters Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,291 | $1,452 | $1,395 |
| Prior year adjustments | 8 | – | – |
| Balance, Adjusted | $1,299 | $1,452 | $1,395 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 24 | 1 | 1 |
| 125700 Other regulatory licenses and permits | 43 | 87 | 87 |
| 125800 Renewal fees | 796 | 770 | 770 |
| 125900 Delinquent fees | 20 | 18 | 18 |
| 142500 Miscellaneous services to the public | 2 | 1 | 1 |
| 150300 Income from surplus money investments | 81 | 79 | 82 |
| 161000 Escheat of unclaimed checks and warrants | 1 | – | – |
| Totals, Revenues | $967 | $956 | $959 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 36 | – |
| Totals, Transfers from Other Funds | – | $36 | – |
| Transfers to Other Funds: | | | |
| T00410 Transcript Reimbursement Fund per Section 8030.2 of the Business and Professions Code | –184 | –300 | –300 |
| Totals, Transfers to Other Funds | –$184 | –$300 | –$300 |
| Totals, Revenues and Transfers | $783 | $692 | $659 |
| Totals, Resources | $2,082 | $2,144 | $2,054 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1520 Court Reporters Board of California (State Operations) | 630 | 749 | 716 |
| FUND BALANCE | $1,452 | $1,395 | $1,338 |
| Reserve for economic uncertainties | 1,452 | 1,395 | 1,338 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 7.0 | 5.1 | 5.1 | $263 | $228 | $235 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | 7.0 | 5.1 | 5.1 | $263 | $228 | $237 |
| Total Adjustments | – | – | – | – | – | $2 |
| TOTALS, SALARIES AND WAGES | 7.0 | 5.1 | 5.1 | $263 | $228 | $237 |

## 84 STRUCTURAL PEST CONTROL BOARD (1530)

Scientific and professional standards are necessary to assure the public that persons obtain and maintain the skills and knowledge to inspect the structural condition of buildings for pest problems, identify pests, apply pest control chemicals, and recommend and implement other means to control structural pests. The Board's objective is to license those persons that possess the necessary qualifications to professionally perform structural pest control work.

### Authority

Business and Professions Code Sections 8500–8697.6.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 30.2 | 30.5 | 30.5 | $3,157 | $3,530 | $3,558 |
| *0168 Structural Pest Control Research Fund* | | | | *13* | *95* | *95* |
| *0399 Structural Pest Control Education and Enforcement Fund* | | | | *240* | *275* | *273* |
| *0775 Structural Pest Control Fund, Professions and Vocations Fund* | | | | *2,859* | *3,158* | *3,188* |
| *0995 Reimbursements* | | | | *45* | *2* | *2* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 30.2 | 31.5 | 31.5 | $1,213 | $1,274 | $1,295 |
| Total Adjustments | – | – | – | – | – | 10 |
| Estimated Salary Savings | – | −1.0 | −1.0 | – | −25 | −25 |
| Net Totals, Salaries and Wages | 30.2 | 30.5 | 30.5 | $1,213 | $1,249 | $1,280 |
| Staff Benefits | – | – | – | 265 | 230 | 229 |
| Totals, Personal Services | 30.2 | 30.5 | 30.5 | $1,478 | $1,479 | $1,509 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,679 | $2,051 | $2,049 |
| TOTALS, EXPENDITURES | | | | $3,157 | $3,530 | $3,558 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

| 0168 Structural Pest Control Research Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Business and Professions Code Section 8674 (expenditures) | $13 | $95 | $95 |

| 0399 Structural Pest Control Education and Enforcement Fund [s] | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $274 | $275 | $273 |
| Unexpended balance, estimated savings | −34 | – | – |
| TOTALS, EXPENDITURES | $240 | $275 | $273 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### 0775 Structural Pest Control Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $2,866 | $3,168 | $3,188 |
| Allocation for employee compensation | 151 | 3 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 57 | – | – |
| Adjustment per Section 3.60 | −97 | −13 | – |
| Totals Available | $2,977 | $3,158 | $3,188 |
| Unexpended balance, estimated savings | −118 | – | – |
| TOTALS, EXPENDITURES | $2,859 | $3,158 | $3,188 |
| **0995 Reimbursements** | | | |
| Reimbursements | $45 | $2 | $2 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,157 | $3,530 | $3,558 |

---

### FUND CONDITION STATEMENT
### 0168 Structural Pest Control Research Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $296 | $585 | $622 |
| Prior year adjustments | 179 | – | – |
| Balance, Adjusted | $475 | $585 | $622 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 88 | 102 | 107 |
| 125700 Other regulatory licenses and permits | 1 | – | – |
| 150300 Income from surplus money investments | 34 | 30 | 32 |
| Totals, Revenues | $123 | $132 | $139 |
| Totals, Resources | $598 | $717 | $761 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1530 Structural Pest Control Board (State Operations) | 13 | 95 | 95 |
| FUND BALANCE | $585 | $622 | $666 |
| Reserve for economic uncertainties | 585 | 622 | 666 |

### 0399 Structural Pest Control Education and Enforcement Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $272 | $340 | $327 |
| Prior year adjustments | 51 | – | – |
| Balance, Adjusted | $323 | $340 | $327 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 231 | 246 | 250 |
| 150300 Income from surplus money investments | 26 | 16 | 15 |
| Totals, Revenues | $257 | $262 | $265 |
| Totals, Resources | $580 | $602 | $592 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1530 Structural Pest Control Board (State Operations) | 240 | 275 | 273 |
| FUND BALANCE | $340 | $327 | $319 |
| Reserve for economic uncertainties | 340 | 327 | 319 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| 0645 Structural Pest Control Device Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $162 | $416 | $479 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 323 | 323 | 323 |
| 150300 Income from surplus money investments | – | 23 | 26 |
| Totals, Revenues | $323 | $346 | $349 |
| Totals, Resources | $485 | $762 | $828 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3930 Department of Pesticide Regulation (State Operations) | 69 | 283 | 287 |
| FUND BALANCE | $416 | $479 | $541 |
| Reserve for economic uncertainties | 416 | 479 | 541 |

| 0775 Structural Pest Control Fund, Professions and Vocations Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,836 | $3,684 | $3,391 |
| Prior year adjustments | 73 | – | – |
| Balance, Adjusted | $3,909 | $3,684 | $3,391 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,987 | 2,150 | 2,150 |
| 125700 Other regulatory licenses and permits | 193 | 172 | 172 |
| 125800 Renewal fees | 225 | 183 | 183 |
| 125900 Delinquent fees | 24 | 2 | 2 |
| 141200 Sale of documents | 3 | – | – |
| 142500 Miscellaneous services to the public | 3 | 4 | 4 |
| 150300 Income from surplus money investments | 198 | 161 | 137 |
| 161000 Escheat of unclaimed checks and warrants | 1 | – | – |
| 161400 Miscellaneous revenue | – | 2 | 2 |
| Totals, Revenues | $2,634 | $2,674 | $2,650 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 191 | – |
| Totals, Transfers from Other Funds | – | $191 | – |
| Totals, Revenues and Transfers | $2,634 | $2,865 | $2,650 |
| Totals, Resources | $6,543 | $6,549 | $6,041 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1530 Structural Pest Control Board (State Operations) | 2,859 | 3,158 | 3,188 |
| FUND BALANCE | $3,684 | $3,391 | $2,853 |
| Reserve for economic uncertainties | 3,684 | 3,391 | 2,853 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 30.2 | 31.5 | 31.5 | $1,213 | $1,274 | $1,295 |
| Salary adjustments | – | – | – | – | – | 10 |
| Totals, Adjusted Authorized Positions | 30.2 | 31.5 | 31.5 | $1,213 | $1,274 | $1,305 |
| Total Adjustments | – | – | – | – | – | $10 |
| TOTALS, SALARIES AND WAGES | 30.2 | 31.5 | 31.5 | $1,213 | $1,274 | $1,305 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### 90 VETERINARY MEDICAL BOARD (1560)

The Veterinary Medical Board is responsible for: (1) the licensing and regulation of Veterinarians and (2) the certification and regulation of Registered Veterinary Technicians.

Veterinarians and registered veterinary technicians protect the health and welfare of animals and the public through prevention, control and eradication of animal diseases. Examination ensures minimum competency through licensure. Enforcement of minimum standards of practice are carried out through unannounced inspections of veterinary hospitals and mobile facilities. The Board further responds to complaints, investigates alleged violations and has the authority to administer fines and suspend or revoke licenses.

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $75,000 for expert witnesses.
- An augmentation of $37,000 and 0.5 personnel year to implement Chapter 995, Statutes of 2000 for mandatory continuing education.
- An augmentation of $36,000 to increase facility inspections.

### Authority

Business and Professions Code Sections 4800–4844 and 4846–4917.

| Input | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | 11.1 | 11.6 | 11.5 | $1,621 | $1,842 | $1,837 |
| *0777 Veterinary Medical Board Contingent Fund* | | | | *1,581* | *1,816* | *1,811* |
| *0995 Reimbursements* | | | | *40* | *26* | *26* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 11.1 | 12.0 | 12.0 | $428 | $516 | $529 |
| Total Adjustments | – | – | –0.1 | – | – | –13 |
| Estimated Salary Savings | – | –0.4 | –0.4 | – | –7 | –8 |
| Net Totals, Salaries and Wages | 11.1 | 11.6 | 11.5 | $428 | $509 | $508 |
| Staff Benefits | – | – | – | 97 | 73 | 78 |
| Totals, Personal Services | 11.1 | 11.6 | 11.5 | $525 | $582 | $586 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,096 | $1,260 | $1,251 |
| TOTALS, EXPENDITURES | | | | $1,621 | $1,842 | $1,837 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0777 Veterinary Medical Board Contingent Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,488 | $1,821 | $1,811 |
| Allocation for employee compensation | 66 | 2 | – |
| Allocation for contingencies and emergencies | $63 | – | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 26 | – | – |
| Adjustment per Section 3.60 | –43 | –$7 | – |
| Totals Available | $1,600 | $1,816 | $1,811 |
| Unexpended balance, estimated savings | –19 | – | – |
| TOTALS, EXPENDITURES | $1,581 | $1,816 | $1,811 |
| **0995 Reimbursements** | | | |
| Reimbursements | $40 | $26 | $26 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,621 | $1,842 | $1,837 |

### FUND CONDITION STATEMENT
### 0118 Registered Veterinary Technician Examining Committee Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Transfer from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | $14 | – |
| Totals, Transfers from Other Funds | – | $14 | – |
| Transfers to Other Funds: | | | |
| T00777 Veterinary Medical Board Contingent Fund per Section 4842.2 of the Business and Professions Code | – | –14 | – |
| Totals, Transfers to Other Funds | – | –$14 | – |
| Totals, Revenues and Transfers | – | – | – |
| Totals, Resources | – | – | – |
| FUND BALANCE | – | – | – |

### 0777 Veterinary Medical Board Contingent Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,703 | $1,602 | $1,391 |
| Prior year adjustments | 1 | – | – |
| Balance, Adjusted | $1,704 | $1,602 | $1,391 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1 | 1 | 1 |
| 125700 Other regulatory licenses and permits | 308 | 219 | 215 |
| 125800 Renewal fees | 1,025 | 1,025 | 1,045 |
| 125900 Delinquent fees | 14 | 15 | 15 |
| 141200 Sales of documents | 5 | 5 | 6 |
| 150300 Income from surplus money investments | 96 | 80 | 69 |
| 161000 Escheat of unclaimed checks and warrants | 1 | – | – |
| 161400 Miscellaneous revenue | 29 | 29 | 31 |
| Totals, Revenues | $1,479 | $1,374 | $1,382 |
| Transfers from Other Funds: | | | |
| F00118 Registered Veterinary Technician Examining Committee Fund per Section 4842.2 of the Business and Professions Code | – | 14 | – |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 217 | – |
| Totals, Transfers from Other Funds | – | $231 | – |
| Totals, Revenues and Transfers | $1,479 | $1,605 | $1,382 |
| Totals, Resources | $3,183 | $3,207 | $2,773 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1560 Veterinary Medical Board (State Operations) | 1,581 | 1,816 | 1,811 |
| FUND BALANCE | $1,602 | $1,391 | $962 |
| Reserve for economic uncertainties | 1,602 | 1,391 | 962 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 11.1 | 12.0 | 12.0 | $428 | $516 | $529 |
| Salary adjustments | – | – | – | – | – | 3 |
| Totals, Adjusted Authorized Positions | 11.1 | 12.0 | 12.0 | $428 | $516 | $532 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Blankets: | | | | Salary Range | | |
| Consultants | – | – | –0.6 | – | – | –30 |
| Totals, Workload and Administrative Adjustments | – | – | –0.6 | – | – | –$30 |
| Proposed New Positions: | | | | | | |
| Ofc Techn-Typing | – | – | 0.5 | 2,348–2,855 | – | 14 |
| Totals, Proposed New Positions | – | – | 0.5 | – | – | $14 |
| Total Adjustments | – | – | –0.1 | – | – | –$13 |
| TOTALS, SALARIES AND WAGES | 11.1 | 12.0 | 11.9 | $428 | $516 | $516 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### 91 BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS OF THE STATE OF CALIFORNIA (1580)

The Board establishes and enforces licensure standards for vocational nurses and psychiatric technicians, and approves educational and training programs.

| Program Requirements | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 91.10 Vocational Nurse | 29.6 | 33.5 | 34.4 | $4,006 | $4,408 | $4,478 |
| 91.20 Psychiatric Technician | 3.2 | 5.0 | 5.9 | 968 | 1,146 | 1,159 |
| Totals, Board of Vocational Nurse and Psychiatric Technician Examiners | 32.8 | 38.5 | 40.3 | $4,974 | $5,554 | $5,637 |
| *0779 Vocational Nurse Examiners Fund* | | | | *3,603* | *4,056* | *4,126* |
| *0780 Psychiatric Technicians Account, Vocational Nurse and Psychiatric Technician Examiners Fund* | | | | *909* | *1,124* | *1,137* |
| *0995 Reimbursements* | | | | *462* | *374* | *374* |

### 91.10 Vocational Nursing Program (1590)

This element includes two components: the regulatory activities of the Board and administrative services provided to the Psychiatric Technician Program element.

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $64,000 and 0.9 personnel year to address increased enforcement caseload.

#### Authority

Business and Professions Code Sections 2840–2895.1.

| Program Components | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 93.10.010 Vocational Nurses Program | 29.6 | 33.5 | 34.4 | $4,043 | $4,445 | $4,515 |
| 93.10.020 Distributed Vocational Nurses (Internal cost recovery) | – | – | – | −37 | −37 | −37 |
| Net Totals, Vocational Nurses Program | 29.6 | 33.5 | 34.4 | $4,006 | $4,408 | $4,478 |
| **Input** | | | | | | |
| Expenditures | 29.6 | 33.5 | 34.4 | $4,006 | $4,408 | $4,478 |
| *0779 Vocational Nurse Examiners Fund* | | | | *3,603* | *4,056* | *4,126* |
| *0995 Reimbursements* | | | | *403* | *352* | *352* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 29.6 | 34.7 | 34.7 | $1,300 | $1,515 | $1,534 |
| Total Adjustments | – | – | 1.0 | – | 2 | 65 |
| Estimated Salary Savings | – | −1.2 | −1.3 | – | −33 | −36 |
| Net Totals, Salaries and Wages | 29.6 | 33.5 | 34.4 | $1,300 | $1,484 | $1,563 |
| Staff Benefits | – | – | – | 228 | 224 | 234 |
| Totals, Personal Services | 29.6 | 33.5 | 34.4 | $1,528 | $1,708 | $1,797 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,515 | $2,737 | $2,718 |
| TOTALS, EXPENDITURES | | | | $4,043 | $4,445 | $4,515 |
| Internal Cost Recovery | | | | −37 | −37 | −37 |
| NET TOTALS, EXPENDITURES | | | | $4,006 | $4,408 | $4,478 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0779 Vocational Nurse Examiners Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $3,651 | $4,066 | $4,126 |
| Allocation for employee compensation | 206 | 9 | – |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Allocation for Year 2000 per Item 9904-001-0494 | $132 | – | – |
| Adjustment per Section 3.60 | −131 | −$19 | – |
| Totals Available | $3,858 | $4,056 | $4,126 |
| Unexpended balance, estimated savings | −255 | – | – |
| TOTALS, EXPENDITURES | $3,603 | $4,056 | $4,126 |
| **0995 Reimbursements** | | | |
| Reimbursements | $403 | $352 | $352 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $4,006 | $4,408 | $4,478 |

### FUND CONDITION STATEMENT
### 0779 Vocational Nurse Examiners Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $1,534 | $1,629 | $1,995 |
| Prior year adjustments | 15 | – | – |
| Balance, Adjusted | $1,549 | $1,629 | $1,995 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 103 | 85 | 85 |
| 125700 Other regulatory licenses and permits | 852 | 968 | 968 |
| 125800 Renewal fees | 2,537 | 2,950 | 2,950 |
| 125900 Delinquent fees | 84 | 98 | 98 |
| 141200 Sales of documents | 3 | – | – |
| 142500 Miscellaneous service to public | 2 | – | – |
| 150300 Income from surplus money investments | 97 | 96 | 103 |
| 161000 Escheat of unclaimed checks and warrants | 1 | – | – |
| 161400 Miscellaneous revenue | 4 | – | – |
| Totals, Revenues | $3,683 | $4,197 | $4,204 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 225 | – |
| Totals, Transfers from Other Funds | – | $225 | – |
| Totals, Revenues and Transfers | $3,683 | $4,422 | $4,204 |
| Totals, Resources | $5,232 | $6,051 | $6,199 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1590 Vocational Nurse Program (State Operations) | 3,603 | 4,056 | 4,126 |
| FUND BALANCE | $1,629 | $1,995 | $2,073 |
| Reserve for economic uncertainties | 1,629 | 1,995 | 2,073 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 29.6 | 34.7 | 34.7 | $1,300 | $1,515 | $1,534 |
| Salary adjustments | – | – | – | – | 2 | 13 |
| Totals, Adjusted Authorized Positions | 29.6 | 34.7 | 34.7 | $1,300 | $1,517 | $1,547 |
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $52 |
| Total Adjustments | – | – | 1.0 | – | $2 | $65 |
| TOTALS, SALARIES AND WAGES | 29.6 | 34.7 | 35.7 | $1,300 | $1,517 | $1,599 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

### 91.20 Psychiatric Technician Program (1600)

This element consists of the regulatory activities of the Psychiatric Technician Program.

**Major Budget Adjustment Proposed for 2001–02**

- An augmentation of $64,000 and 0.9 personnel year to address increased enforcement caseload.

**Authority**

Business and Professions Code Sections 4500–4548.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | 3.2 | 5.0 | 5.9 | $968 | $1,146 | $1,159 |
| *0780 Psychiatric Technicians Account, Vocational Nurse and Psychiatric Technician Examiners Fund* | | | | *909* | *1,124* | *1,137* |
| *0995 Reimbursements* | | | | *59* | *22* | *22* |

**SUMMARY BY OBJECT**
**1 STATE OPERATIONS**

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 3.2 | 5.3 | 5.3 | $144 | $252 | $256 |
| Total Adjustments | – | – | 1.0 | – | – | 54 |
| Estimated Salary Savings | – | –0.3 | –0.4 | – | –6 | –8 |
| Net Totals, Salaries and Wages | 3.2 | 5.0 | 5.9 | $144 | $246 | $302 |
| Staff Benefits | – | – | – | 29 | 44 | 54 |
| Totals, Personal Services | 3.2 | 5.0 | 5.9 | $173 | $290 | $356 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $795 | $856 | $803 |
| TOTALS, EXPENDITURES | | | | $968 | $1,146 | $1,159 |

**RECONCILIATION WITH APPROPRIATIONS**
**1 STATE OPERATIONS**
**0780 Psychiatric Technicians Account, Vocational Nurse and Psychiatric Technician Examiners Fund s**

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $989 | $1,126 | $1,137 |
| Allocation for employee compensation | 41 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 61 | – | – |
| Adjustment per Section 3.60 | –30 | –3 | – |
| Totals Available | $1,061 | $1,124 | $1,137 |
| Unexpended balance, estimated savings | –152 | – | – |
| TOTALS, EXPENDITURES | $909 | $1,124 | $1,137 |
| **0995 Reimbursements** | | | |
| Reimbursements | $59 | $22 | $22 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $968 | $1,146 | $1,159 |

**FUND CONDITION STATEMENT**
**0780 Psychiatric Technicians Account, Vocational Nurse and Psychiatric Technician Examiners Fund s**

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $311 | $448 | $621 |
| Prior year adjustments | 20 | – | – |
| Balance, Adjusted | $331 | $448 | $621 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 6 | 4 | 4 |
| 125700 Other regulatory licenses and permits | 112 | 166 | 166 |

*** Dollars in thousands, except in Salary Range.**

## 1110 DEPARTMENT OF CONSUMER AFFAIRS, BOARDS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 125800 Renewal fees | $855 | $1,056 | $1,056 |
| 125900 Delinquent fees | 24 | 36 | 37 |
| 141200 Sales of documents | 1 | – | – |
| 150300 Income from surplus money investments | 26 | 29 | 36 |
| 161000 Escheat of unclaimed checks and warrants | 1 | – | – |
| 161400 Miscellaneous revenue | 1 | – | – |
| Totals, Revenues | $1,026 | $1,291 | $1,299 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 6 | – |
| Totals, Transfers from Other Funds | – | $6 | – |
| Totals, Revenues and Transfers | $1,026 | $1,297 | $1,299 |
| Totals, Resources | $1,357 | $1,745 | $1,920 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1600 Psychiatric Technician Program (State Operations) | 909 | 1,124 | 1,137 |
| FUND BALANCE | $448 | $621 | $783 |
| Reserve for economic uncertainties | 448 | 621 | 783 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 3.2 | 5.3 | 5.3 | $144 | $252 | $256 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | 3.2 | 5.3 | 5.3 | $144 | $252 | $258 |
| Proposed New Positions: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | Salary Range 3,915–4,759 | – | 52 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $52 |
| Total Adjustments | – | – | 1.0 | – | – | $54 |
| TOTALS, SALARIES AND WAGES | 3.2 | 5.3 | 6.3 | $144 | $252 | $310 |

## 1111 DEPARTMENT OF CONSUMER AFFAIRS BUREAUS, PROGRAMS, DIVISIONS

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 7 California Complete Count Committee | – | – | – | $24,666 | – | – |
| 8 Downey Cemetery | – | – | – | 75 | $150 | – |
| 22 Bureau of Barbering and Cosmetology | – | 88.6 | 88.6 | – | 9,437 | $10,727 |
| 23 Arbitration Certification Program | – | 4.8 | 6.7 | – | 597 | 807 |
| 24 Hearing Aid Dispensers Bureau | – | 3.6 | 3.6 | – | 544 | 670 |
| 25 Bureau of Security and Investigative Services | – | 45.5 | 45.0 | – | 8,824 | 8,696 |
| 26 Bureau of Nursing Home Administrators | – | 5.4 | 5.4 | – | 477 | 522 |
| 27 Bureau for Private Postsecondary and Vocational Education | – | 68.7 | 68.7 | – | 7,277 | 7,758 |
| 28 Bureau of Electronic and Appliance Repair | – | 23.2 | 15.2 | – | 1,624 | 1,684 |
| 29 Bureau of Speech-Language Pathology and Audiology | – | – | – | – | – | – |
| 31 Bureau of Automotive Repair | – | 703.1 | 624.9 | – | 137,537 | 139,215 |
| 37 Telephone Medical Advice Services Bureau | – | 4.7 | 4.7 | – | 521 | 522 |
| 32 Cemetery Bureau | – | 10.4 | – | – | 1,296 | – |
| 33 Bureau of Funeral Directors and Embalmers | – | 12.0 | – | – | 1,171 | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 38 Cemetery and Funeral Bureau | – | – | 22.4 | – | – | $2,684 |
| 34 Bureau of Home Furnishings and Thermal Insulation | – | 33.6 | 33.1 | – | $3,192 | 3,275 |
| 35 Consumer Affairs | 1,401.9 | 620.9 | 687.4 | $141,063 | 20,479 | 25,686 |
| TOTALS, PROGRAMS | 1,401.9 | 1,624.5 | 1,605.7 | $165,804 | $193,126 | $202,246 |
| *0001 General Fund* | | | | *24,741* | *650* | *1,894* |
| *0069 State Board of Barbering and Cosmetology Fund* | | | | *8,543* | *9,380* | *10,670* |
| *0166 Certification Account, Consumer Affairs Fund* | | | | *583* | *597* | *807* |
| *0208 Hearing Aid Dispensers Fund* | | | | *455* | *535* | *661* |
| *0239 Private Security Services Fund* | | | | *4,840* | *5,613* | *5,664* |
| *0260 Nursing Home Administrator's State License Examining Board Fund* | | | | *364* | *476* | *521* |
| *0305 Private Postsecondary Education Administration Fund* | | | | *6,588* | *5,164* | *5,643* |
| *0325 Electronic and Appliance Repair Fund* | | | | *1,525* | *1,611* | *1,671* |
| *0376 Speech-Language Pathology and Audiology Fund* | | | | *457* | *–* | *–* |
| *0421 Vehicle Inspection and Repair Fund* | | | | *78,833* | *90,425* | *91,612* |
| *0459 Telephone Medical Advice Services Fund* | | | | *–* | *521* | *522* |
| *0582 High Polluter Repair or Removal Account* | | | | *6,680* | *46,994* | *47,485* |
| *0702 Consumer Affairs Fund, Professions and Vocations Fund* | | | | *76* | *–* | *–* |
| *0717 Cemetery Fund, Professions and Vocations Fund* | | | | *1,037* | *1,177* | *1,272* |
| *0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund* | | | | *652* | *1,159* | *1,281* |
| *0752 Bureau of Home Furnishings and Thermal Insulation Fund* | | | | *3,069* | *3,187* | *3,270* |
| *0769 Private Investigator Fund* | | | | *859* | *811* | *572* |
| *0890 Federal Trust Fund* | | | | *1,018* | *1,130* | *1,130* |
| *0960 Student Tuition Recovery Fund* | | | | *964* | *903* | *905* |
| *0995 Reimbursements* | | | | *24,520* | *22,793* | *26,666* |

### Program Objectives Statement

The Department of Consumer Affairs serves as a leading consumer advocate in California government by promoting a free and competitive marketplace in which consumers are treated fairly. In order to protect the interests of consumers, the Department establishes standards of competency for more than 200 professions and occupations and administers the licensing, examination, and enforcement regulatory functions for those professions and occupations. In 2001–02, 28 boards, committees, and a commission function semiautonomously in regulating specific professions and services under the umbrella of the Department of Consumer Affairs and another 10 bureaus and a program are under the authority of the Director of the Department of Consumer Affairs. The bureaus and program include the following:

- Bureau of Automotive Repair
- Bureau of Barbering and Cosmetology
- Arbitration Certification Program
- Hearing Aid Dispensers Bureau
- Bureau of Security and Investigative Services
- State Bureau of Nursing Home Administrators
- Bureau for Private Postsecondary and Vocational Education
- Bureau of Electronic and Appliance Repair
- Cemetery and Funeral Bureau
- Telephone Medical Advice Services Bureau
- Bureau of Home Furnishings and Thermal Insulation

In 1993, the Department of Consumer Affairs was selected to participate in a performance-based budgeting pilot project. Under the pilot, the Department was afforded the flexibility to manage its resources based on fluctuating program demands resulting from social, economic and market conditions. To meet the goals of the pilot project, the Department reorganized and centralized the core business functions previously performed by individual bureaus. Fiscal year 1998–99 was the last year of the pilot project. Fiscal year 1999–00 was a transitional year as the department moved to a more traditional bureau structure where enforcement and licensing functions were centralized under a bureau chief. Centralization of some functions resulted in more efficient and effective delivery of services; consequently those functions remained centralized within the Department. Those functions include call-intake, administrative and information services, public education and awareness, examination development, cashiering, legal counsel, legislation and regulatory review.

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1,401.9 | 1,681.7 | 1,681.2 | $65,753 | $80,944 | $82,904 |
| Total Adjustments | – | –2.0 | –18.3 | – | –67 | 4,583 |
| Estimated Salary Savings | – | –55.2 | –57.2 | – | –2,457 | –2,645 |
| Net Totals, Salaries and Wages | 1,401.9 | 1,624.5 | 1,605.7 | $65,753 | $78,420 | $84,842 |
| Staff Benefits | – | – | – | 13,053 | 14,736 | 15,592 |
| Totals, Personal Services | 1,401.9 | 1,624.5 | 1,605.7 | $78,806 | $93,156 | $100,434 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $85,959 | $127,516 | $130,803 |
| Distributed to Bureaus | | | | – | –28,234 | –29,529 |
| Internal Cost Recovery | | | | – | –285 | –285 |
| TOTALS, EXPENDITURES | | | | $164,765 | $192,153 | $201,423 |

*** Dollars in thousands, except in Salary Range.**

# 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

## 7 CALIFORNIA COMPLETE COUNT COMMITTEE

In an effort to reach the thousands of Californians failing to respond to the once-in-a-decade effort to count the United States' population, California undertook aggressive measures. The purpose of the California Complete Count Committee was to provide an intensive, short-term outreach program designed to maximize participation of those groups that have been historically undercounted. On November 4, 1999, Governor Davis signed Executive Order D-11-99 which established the California Complete Count Committee to develop, recommend, and assist in the administration of an outreach strategy to encourage full participation in the 2000 federal decennial census of population required by Section 141 of Title 13 of the United States Code. The Executive Order additionally designated the Business, Transportation and Housing Agency as the principal State agency for coordination of the Committee (transferred from the Department of Consumer Affairs via an interagency agreement).

### Authority

Chapter 50, Statutes of 1999.

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $24,715 | – | – |
| Unexpended balance, estimated savings | –49 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $24,666 | – | – |

## 8 DOWNEY CEMETERY

Department of Consumer Affairs administered a local assistance appropriation for the City of Downey, Downey Cemetery for the construction of a memorial wall and garden in 1999–00 and a columbarium in 2000–01.

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $75 | $150 | – |
| TOTALS, EXPENDITURES (Local Assistance) | $75 | $150 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $24,741 | $150 | – |
| OPERATING EXPENSES AND EQUIPMENT | | | |
| Administration | $5,116 | – | – |
| State Agency Outreach | 2,000 | – | – |
| County Complete Count Committees | 5,000 | – | – |
| School-based Outreach Programs | 1,250 | – | – |
| Nonprofit Questionnaire Assistance Centers | 1,300 | – | – |
| Media Campaign | 10,000 | – | – |
| TOTALS, OPERATING EXPENSES AND EQUIPMENT | $24,666 | – | – |
| OPERATING EXPENSES AND EQUIPMENT | | | |
| Local Assistance | $75 | $150 | – |
| TOTALS, OPERATING EXPENSES AND EQUIPMENT | $75 | $150 | – |

## 22 BUREAU OF BARBERING AND COSMETOLOGY (1111)

The potential for harm exists in the performance of barbering and cosmetology services. Consumers are subject to a range of possible injuries from the instruments and chemicals used during the services. The objective of the Bureau of Barbering and Cosmetology is to prevent consumer harm by:

- Developing course curriculums and standards designed to ensure that applicants obtain at least minimum competency skills.
- Developing and administering examinations that are job relevant and valid for determining competency to practice.
- Licensing in a timely manner those applicants demonstrating competency.
- Ensuring compliance with sanitation and sterilization regulations through regular inspections of schools and establishments.
- Responding to consumer complaints in a timely manner to foster positive communication between the industry and the consumer.
- Consistently enforcing the Bureau's regulations and promptly disciplining those who violate these regulations.

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $615,000 ($387,000 one-time) to relocate the Los Angeles Exam Facility.

### Authority

Business and Professions Code Sections 7301–7427.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 88.6 | 88.6 | – | $9,437 | $10,727 |
| *0069 State Board of Barbering and Cosmetology Fund* | | | | – | *9,380* | *10,670* |
| *0995 Reimbursements* | | | | – | *57* | *57* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 91.1 | 91.1 | – | $3,629 | $3,721 |
| Total Adjustments | – | – | – | – | – | 23 |
| Estimated Salary Savings | – | –2.5 | –2.5 | – | –72 | –73 |
| Net Totals, Salaries and Wages | – | 88.6 | 88.6 | – | $3,557 | $3,671 |
| Staff Benefits | – | – | – | – | 647 | 649 |
| Totals, Personal Services | – | 88.6 | 88.6 | – | $4,204 | $4,320 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $5,233 | $6,407 |
| TOTALS, EXPENDITURES | | | | – | $9,437 | $10,727 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0069 State Board of Barbering and Cosmetology Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $8,125 | – | – |
| 002 Budget Act appropriation | – | $9,400 | $10,670 |
| Allocation for employee compensation | 427 | 11 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 497 | – | – |
| Adjustment per Section 3.60 | –266 | –31 | – |
| Totals Available | $8,783 | $9,380 | $10,670 |
| Unexpended balance, estimated savings | –240 | – | – |
| TOTALS, EXPENDITURES | $8,543 | $9,380 | $10,670 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $57 | $57 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $8,543 | $9,437 | $10,727 |

### FUND CONDITION STATEMENT
### 0069 State Board of Barbering and Cosmetology Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $9,633 | $11,032 | $11,786 |
| Prior year adjustments | 323 | – | – |
| Balance, Adjusted | $9,956 | $11,032 | $11,786 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 730 | 752 | 775 |
| 125700 Other regulatory licenses and permits | 1,584 | 1,698 | 1,733 |
| 125800 Renewal fees | 6,129 | 6,228 | 6,415 |
| 125900 Delinquent fees | 408 | 420 | 432 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 141200 Sale of documents | $4 | – | – |
| 150300 Income from surplus money investments | 748 | $748 | $748 |
| 161000 Escheat of unclaimed checks | 11 | 10 | 10 |
| 161400 Miscellaneous revenue | 5 | 5 | 5 |
| Totals, Revenues | $9,619 | $9,861 | $10,118 |
| Transfers from Other Funds: | | | |
| F00713 State Board of Barber Examiners Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 140 | – |
| F00738 Board of Cosmetology Contingent Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 133 | – |
| Totals, Transfer from Other Funds | – | $273 | – |
| Totals, Revenues and Transfers | $9,619 | $10,134 | $10,118 |
| Totals, Resources | $19,575 | $21,166 | $21,904 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 8,543 | 9,380 | 10,670 |
| FUND BALANCE | $11,032 | $11,786 | $11,234 |
| Reserve for economic uncertainties | 11,032 | 11,786 | 11,234 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 91.1 | 91.1 | – | $3,629 | $3,721 |
| Salary adjustments | – | – | – | – | – | 23 |
| Totals, Adjusted Authorized Positions | – | 91.1 | 91.1 | – | $3,629 | $3,744 |
| Reduction per Section 31.50: | | | | Salary Range | | |
| Ofc Svcs Supvr I | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Asst-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Totals | – | (–2.0) | (–2.0) | – | – | – |
| Total Adjustments | – | – | – | – | – | $23 |
| TOTALS, SALARIES AND WAGES | – | 91.1 | 91.1 | – | $3,629 | $3,744 |

## 23 ARBITRATION CERTIFICATION PROGRAM (1111)

Many vehicle manufacturers sponsor state-certified arbitration programs which offer buyers or leasees of their products a free, informal arbitration process to resolve warranty disputes.

The California Department of Consumer Affairs' Arbitration Certification Program (ACP) certifies and monitors arbitration programs to ensure that they substantially comply with state and federal regulations.

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $149,000 and 1.9 personnel years (redirected from vacant positions) to expand the arbitration certification program and enhance consumer awareness of automobile "lemon laws."

### Authority

Business and Professions Code Sections 472–472.5.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 4.8 | 6.7 | – | $597 | $807 |
| *0166 Certification Account, Consumer Affairs Fund* | | | | – | *597* | *807* |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equal Sch. 7A) | – | 5.0 | 5.0 | – | $268 | $271 |
| Total Adjustments | – | – | 2.0 | – | – | 106 |
| Estimated Salary Savings | – | –0.2 | –0.3 | – | –8 | –13 |
| Net Totals, Salaries and Wages | – | 4.8 | 6.7 | – | $260 | $364 |
| Staff Benefits | – | – | – | – | 39 | 61 |
| Totals, Personal Services | – | 4.8 | 6.7 | – | $299 | $425 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $298 | $382 |
| TOTALS, EXPENDITURES | | | | – | $597 | $807 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0166 Certification Account, Consumer Affairs Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $574 | – | – |
| 002 Budget Act appropriation | – | $599 | $807 |
| Allocation for employee compensation | 30 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 4 | – | – |
| Adjustment per Section 3.60 | –21 | –3 | – |
| Totals Available | $587 | $597 | $807 |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $583 | $597 | $807 |

### FUND CONDITION STATEMENT
### 0166 Certification Account, Consumer Affairs Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $121 | $120 | $160 |
| Prior year adjustments | 27 | – | – |
| Balance, Adjusted | $148 | $120 | $160 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 531 | 625 | 755 |
| 150300 Income from surplus money investments | 24 | 12 | 12 |
| Totals, Revenues and Transfers | $555 | $637 | $767 |
| Totals, Resources | $703 | $757 | $927 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 583 | 597 | 807 |
| FUND BALANCE | $120 | $160 | $120 |
| Reserve for economic uncertainties | 120 | 160 | 120 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 5.0 | 5.0 | – | $268 | $271 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | – | 5.0 | 5.0 | – | $268 | $273 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| Excess Vacant Positions Analysis: | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 2.0 | $3,915–4,759 | – | $104 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $104 |
| Total Adjustments | – | – | 2.0 | – | – | $106 |
| TOTALS, SALARIES AND WAGES | – | 5.0 | 7.0 | – | $268 | $377 |

## 24 HEARING AID DISPENSERS BUREAU (1111)

It is estimated that one out of every five California citizens has some degree of hearing loss. For those who must wear hearing aid devices, unlicensed or unreputable hearing aid dispensers pose a danger. The Hearing Aid Dispensers Bureau protects hearing-impaired Californians from fraudulent or incompetent fitting and selling of hearing aids; prepares, administers, and grades an examination designed to evaluate competence, and enforces the Hearing Aid Dispensers Licensing Law.

Effective FY 1999–00, pursuant to Chapter 908, Statutes of 1994, authority for the operation of the Committee sunset. The duties and responsibilities of the Committee were vested with the Department of Consumer Affairs, effective July 1, 1999, and the 1999–00 expenditures for this newly constituted bureau are reflected in the Department of Consumer Affairs' Item 1111.

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $53,000 to fund the creation of an advisory body for the bureau, pursuant to Chapter 277, Statutes of 2000 (AB 2697).

### Authority

Business and Professions Code Sections 3300–3456

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 3.6 | 3.6 | – | $544 | $670 |
| *0208 Hearing Aid Dispensers Fund* | | | | – | *535* | *661* |
| *0995 Reimbursements* | | | | – | *9* | *9* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equal Sch. 7A) | – | 3.7 | 3.7 | – | $168 | $172 |
| Total Adjustments | – | – | – | – | – | 30 |
| Estimated Salary Savings | – | –0.1 | –0.1 | – | –3 | –4 |
| Net Totals, Salaries and Wages | – | 3.6 | 3.6 | – | $165 | $198 |
| Staff Benefits | – | – | – | – | 19 | 27 |
| Totals, Personal Services | – | 3.6 | 3.6 | – | $184 | $225 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $360 | $445 |
| TOTALS, EXPENDITURES | | | | – | $544 | $670 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0208 Hearing Aid Dispensers Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $471 | – | – |
| 002 Budget Act appropriation | – | $537 | $661 |
| Allocation for employee compensation | 21 | – | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 29 | – | – |
| Adjustment per Section 3.60 | –16 | –2 | – |
| Totals Available | $505 | $535 | $661 |
| Unexpended balance, estimated savings | –50 | – | – |
| TOTALS, EXPENDITURES | $455 | $535 | $661 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | – | $9 | $9 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $455 | $544 | $670 |

### FUND CONDITION STATEMENT
### 0208 Hearing Aid Dispensers Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $529 | $662 | $720 |
| Prior year adjustments | −13 | – | – |
| Balance, Adjusted | $516 | $662 | $720 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 2 | 2 | 3 |
| 125700 Other regulatory licenses and permits | 120 | 120 | 124 |
| 125800 Renewal fees | 435 | 438 | 439 |
| 125900 Delinquent fees | 6 | 6 | 6 |
| 150300 Income from surplus money investments | 36 | 27 | 27 |
| 161400 Miscellaneous revenue | 2 | – | – |
| Totals, Revenues and Transfers | $601 | $593 | $599 |
| Totals, Resources | $1,117 | $1,255 | $1,319 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 455 | 535 | 661 |
| Totals, Disbursements | $455 | $535 | $661 |
| FUND BALANCE | $662 | $720 | $658 |
| Reserve for economic uncertainties | 662 | 720 | 658 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 3.7 | 3.7 | – | $168 | $172 |
| Salary adjustments | – | – | – | – | – | 30 |
| Totals, Adjusted Authorized Positions | – | 3.7 | 3.7 | – | $168 | $202 |
| Total Adjustments | – | – | – | – | – | $30 |
| TOTALS, SALARIES AND WAGES | – | 3.7 | 3.7 | – | $168 | $202 |

## 25 BUREAU OF SECURITY AND INVESTIGATIVE SERVICES (1111)

The public must have the assurance that those persons who offer services as private investigators, repossessors, uniformed security guards, private patrol operators, alarm company operators, alarm agents, locksmiths, protection dog operators, and firearm and baton training facilities are fair and competent as required by law. The Bureau ensures that only those persons who can meet the prescribed qualifications be licensed and enforces standards of ethical conduct established for such licensees.

| PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 25.10 Private Security Services Program | – | 40.9 | 40.4 | – | $7,963 | $8,014 |
| 25.20 Private Investigators Program | – | 4.6 | 4.6 | – | 861 | 682 |
| Totals, Bureau of Security and Investigative Services | – | 45.5 | 45.0 | – | $8,824 | $8,696 |
| *0239 Private Security Services Fund* | | | | – | *5,613* | *5,664* |
| *0769 Private Investigators Fund* | | | | – | *811* | *572* |
| *0995 Reimbursements* | | | | – | *2,400* | *2,460* |

*** Dollars in thousands, except in Salary Range.**

# 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### 25.10 Private Security Services Program

**Authority**

Business and Professions Code Sections 6980–6980.84, 7500–7511, and 7580–7599.75.

| **Input** | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | – | 40.9 | 40.4 | – | $7,963 | $8,014 |
| *0239 Private Security Services Fund* | | | | – | *5,613* | *5,664* |
| *0995 Reimbursements* | | | | – | *2,350* | *2,350* |

**SUMMARY BY OBJECT**
**1 STATE OPERATIONS**

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 42.7 | 42.7 | – | $1,634 | $1,700 |
| Total Adjustments | – | – | −0.5 | – | – | 10 |
| Estimated Salary Savings | – | −1.8 | −1.8 | – | −67 | −67 |
| Net Totals, Salaries and Wages | – | 40.9 | 40.4 | – | $1,567 | $1,643 |
| Staff Benefits | – | – | – | – | 301 | 300 |
| Totals, Personal Services | – | 40.9 | 40.4 | – | $1,868 | $1,943 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $6,199 | $6,175 |
| TOTALS, EXPENDITURES | | | | – | $8,067 | $8,118 |
| Internal Cost Recovery | | | | – | −104 | −104 |
| NET TOTALS, EXPENDITURES | | | | – | $7,963 | $8,014 |

**RECONCILIATION WITH APPROPRIATIONS**
**1 STATE OPERATIONS**
**0239 Private Security Services Fund [s]**

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $5,290 | – | – |
| 002 Budget Act appropriation | – | $5,628 | $5,664 |
| Allocation for employee compensation | 451 | 5 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 269 | – | – |
| Adjustment per Section 3.60 | −259 | −20 | – |
| Totals Available | $5,751 | $5,613 | $5,664 |
| Unexpended balance, estimated savings | −911 | – | – |
| TOTALS, EXPENDITURES | $4,840 | $5,613 | $5,664 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $2,350 | $2,350 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $4,840 | $7,963 | $8,014 |

**FUND CONDITION STATEMENT**
**0239 Private Security Services Fund [s]**

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $480 | $961 | $580 |
| Prior year adjustments | 133 | – | – |
| Balance, Adjusted | $613 | $961 | $580 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 232 | 229 | 234 |
| 125700 Other regulatory licenses and permits | 2,253 | 2,295 | 2,358 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 125800 Renewal fees | $2,499 | $2,549 | $2,623 |
| 125900 Delinquent fees | 78 | 79 | 81 |
| 141200 Sale of documents | 1 | 1 | 1 |
| 142500 Miscellaneous service to the public | 1 | 1 | 1 |
| 150300 Income from surplus money investments | 119 | 75 | – |
| 161000 Escheat of unclaimed checks | 1 | – | – |
| 161400 Miscellaneous revenue | 4 | 3 | 2 |
| Totals, Revenues and Transfers | $5,188 | $5,232 | $5,300 |
| Totals, Resources | $5,801 | $6,193 | $5,880 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 4,840 | 5,613 | 5,664 |
| FUND BALANCE | $961 | $580 | $216 |
| Reserve for economic uncertainties | 961 | 580 | 216 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 42.7 | 42.7 | – | $1,634 | $1,700 |
| Salary adjustments | – | – | – | – | – | 10 |
| Totals, Adjusted Authorized Positions | – | 42.7 | 42.7 | – | $1,634 | $1,710 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions transferred to the Consumer Relations and Outreach Division (Mediation): | | | | | | |
| Prog Techn II | – | – | –0.5 | Salary Range $2,348–2,855 | – | – |
| Totals, Workload and Administrative Adjustments | – | – | –0.5 | – | – | – |
| Reduction per Section 31.50: | | | | | | |
| Assoc Govtl Prog Analyst | – | (–1.0) | (–1.0) | – | – | – |
| Staff Svcs Analyst-Gen | – | (–1.0) | (–1.0) | – | – | – |
| Totals | – | (–2.0) | (–2.0) | – | – | – |
| Total Adjustments | – | – | –0.5 | – | – | $10 |
| TOTALS, SALARIES AND WAGES | – | 42.7 | 42.2 | – | $1,634 | $1,710 |

## 25.20 Private Investigator Program

### Major Budget Adjustment Proposed for 2001–02

- A increase of $60,000 in reimbursements to provide pocket picture identification cards to private investigators, pursuant to Chapter 216, Statutes of 2000 (AB 1985).

### Authority

Business and Professions Code Sections 7512–7573.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 4.6 | 4.6 | – | $861 | $682 |
| *0769 Private Investigator Fund* | | | | – | *811* | *572* |
| *0995 Reimbursements* | | | | – | *50* | *110* |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 4.8 | 4.8 | – | $179 | $185 |
| Total Adjustments | – | – | – | – | – | 1 |
| Estimated Salary Savings | – | –0.2 | –0.2 | – | –6 | –6 |
| Net Totals, Salaries and Wages | – | 4.6 | 4.6 | – | $173 | $180 |
| Staff Benefits | – | – | – | – | 27 | 27 |
| Totals, Personal Services | – | 4.6 | 4.6 | – | $200 | $207 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $661 | $475 |
| TOTALS, EXPENDITURES | | | | – | $861 | $682 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0769 Private Investigator Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $744 | – | – |
| 002 Budget Act appropriation | – | $812 | $572 |
| Allocation for employee compensation | 85 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 43 | – | – |
| Adjustment per Section 3.60 | –20 | –2 | – |
| Revised transfer per Item 1111-010-0702, Provision 1, Budget Act of 1999 | 7 | – | – |
| TOTALS, EXPENDITURES | $859 | $811 | $572 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $50 | $110 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $859 | $861 | $682 |

### FUND CONDITION STATEMENT
### 0769 Private Investigator Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $931 | $861 | $847 |
| Prior year adjustments | 12 | – | – |
| Balance, Adjusted | $943 | $861 | $847 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 7 | 6 | 7 |
| 125700 Other regulatory licenses and permits | 173 | 178 | 183 |
| 125800 Renewal fees | 523 | 537 | 553 |
| 125900 Delinquent fees | 17 | 18 | 18 |
| 150300 Income from surplus money investments | 55 | 57 | 58 |
| 161000 Escheat of unclaimed checks and warrants | 2 | – | – |
| 161400 Miscellaneous income | – | 1 | 1 |
| Totals, Revenues and Transfers | $777 | $797 | $820 |
| Totals, Resources | $1,720 | $1,658 | $1,667 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 859 | 811 | 572 |
| FUND BALANCE | $861 | $847 | $1,095 |
| Reserve for economic uncertainties | 861 | 847 | 1,095 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 4.8 | 4.8 | – | $179 | $185 |
| Salary adjustments | – | – | – | – | – | 1 |
| Totals, Adjusted Authorized Positions | – | 4.8 | 4.8 | – | $179 | $186 |
| Total Adjustments | – | – | – | – | – | $1 |
| TOTALS, SALARIES AND WAGES | – | 4.8 | 4.8 | – | $179 | $186 |

### 26 BUREAU OF NURSING HOME ADMINISTRATORS (1111)

The Bureau of Nursing Home Administrators ensures that the health, safety, security and individual rights of long-term care patients are safeguarded. The bureau prescribes standards for licensing of administrators, provides and monitors an administrator-in-training program for prospective licensees, examines applicants, issues licenses, and administers an enforcement program to take disciplinary actions against administrators who violate provisions of the Business and Professions Code.

The principal objectives of the Bureau of Nursing Home Administrators are: (1) through a program of examination and licensure, to identify those persons who have demonstrated that they are qualified to function as Nursing Home Administrators; (2) to ensure, through the setting of standards and through disciplinary actions, that Nursing Home Administrators provide quality services to their patients, in accordance with the laws and the rules governing nursing homes; and (3) to ensure that complaints against Nursing Home Administrators are investigated completely and thoroughly and appropriate disciplinary action is taken as indicated.

**Authority**

Business and Professions Code Sections 3901–3950.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 5.4 | 5.4 | – | $477 | $522 |
| *0260 Nursing Home Administrator's State License Examining Board Fund* | | | | – | *476* | *521* |
| *0995 Reimbursements* | | | | – | *1* | *1* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 5.5 | 5.5 | – | $204 | $211 |
| Total Adjustments | – | – | – | – | – | 1 |
| Estimated Salary Savings | – | –0.1 | –0.1 | – | –2 | –2 |
| Net Totals, Salaries and Wages | – | 5.4 | 5.4 | – | $202 | $210 |
| Staff Benefits | – | – | – | – | 34 | 35 |
| Totals, Personal Services | – | 5.4 | 5.4 | – | $236 | $245 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $241 | $277 |
| TOTALS, EXPENDITURES | | | | – | $477 | $522 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0260 Nursing Home Administrator's State License Examining Board Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $458 | – | – |
| 002 Budget Act appropriation | – | $478 | $521 |
| Allocation for employee compensation | 23 | – | – |
| Adjustment per Section 3.60 | –14 | –2 | – |
| Totals Available | $467 | $476 | $521 |
| Unexpended balance, estimated savings | –103 | – | – |
| TOTALS, EXPENDITURES | $364 | $476 | $521 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | – | $1 | $1 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $364 | $477 | $522 |

### FUND CONDITION STATEMENT
### 0260 Nursing Home Administrator's State License Examining Board Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $324 | $398 | $324 |
| Prior year adjustments | 26 | – | – |
| Balance, Adjusted | $350 | $398 | $324 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 51 | 21 | 46 |
| 125700 Other regulatory licenses and permits | 90 | 104 | 91 |
| 125800 Renewal fees | 236 | 238 | 223 |
| 125900 Delinquent fees | 4 | 3 | 4 |
| 150300 Income from surplus money investments | 31 | 3 | 3 |
| Totals, Revenues | $412 | $369 | $367 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 33 | – |
| Totals, Transfers from Other Funds | – | $33 | – |
| Totals, Revenues and Transfers | $412 | $402 | $367 |
| Totals, Resources | $762 | $800 | $691 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 364 | 476 | 521 |
| FUND BALANCE | $398 | $324 | $170 |
| Reserve for economic uncertainties | 398 | 324 | 170 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 5.5 | 5.5 | – | $204 | $211 |
| Salary adjustments | – | – | – | – | – | 1 |
| Totals, Adjusted Authorized Positions | – | 5.5 | 5.5 | – | $204 | $212 |
| Total Adjustments | – | – | – | – | – | 1 |
| TOTALS, SALARIES AND WAGES | – | 5.5 | 5.5 | – | $204 | $212 |

## 27 BUREAU FOR PRIVATE POSTSECONDARY AND VOCATIONAL EDUCATION (1111)

The Bureau for Private Postsecondary and Vocational Education is responsible for the oversight and approval of private colleges, universities and vocational institutions and for developing state policies for private postsecondary education in California. To ensure proper oversight, the Bureau is structured around the following programs:

The Private Postsecondary and Vocational Education Program ensures that privately supported institutions conferring diplomas, degrees, and certificates meet the standards established by the State of California. The Program reviews institutions, issues approvals, and investigates complaints.

The Federal Trust Program is responsible for approving the quality of education and training programs offered by any public or private California postsecondary educational institution that seeks to enroll veterans and other eligible persons who receive educational assistance benefits administered by the United States Department of Veterans Affairs. Acting under contract on behalf of the Federal government, the Federal Trust Program is California's official State Approving Agency for Veterans' Education Programs.

The Student Tuition Recovery Fund protects California residents enrolled in private postsecondary educational institutions approved by the bureau. This includes both students in degree-granting programs and students in vocational and non-degree programs.

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 27.10 Private Postsecondary and Vocational Education Program | – | 54.1 | 54.1 | – | $5,244 | $5,723 |
| 27.20 Federal Trust Program | – | 12.6 | 12.6 | – | 1,130 | 1,130 |
| 27.30 Student Tuition Recovery Program | – | 2.0 | 2.0 | – | 903 | 905 |
| Totals, Bureau for Private Postsecondary and Vocational Education | – | 68.7 | 68.7 | – | $7,277 | $7,758 |
| *0305 Private Postsecondary Education Administration Fund* | | | | – | *5,164* | *5,643* |
| *0890 Federal Trust Fund* | | | | – | *1,130* | *1,130* |
| *0960 Student Tuition Recovery Fund* | | | | – | *903* | *905* |
| *0995 Reimbursements* | | | | – | *80* | *80* |

### 27.10 Private Postsecondary and Vocational Education Program

**Authority**

U.S. Code, Title 38, Section 1774; Education Code, Division 10, Part 59, Chapter 3.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 54.1 | 54.1 | – | $5,244 | $5,723 |
| *0305 Private Postsecondary Education Administration Fund* | | | | – | *5,164* | *5,643* |
| *0995 Reimbursements* | | | | – | *80* | *80* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 56.0 | 56.0 | – | $2,692 | $2,777 |
| Total Adjustments | – | – | – | – | – | 24 |
| Estimated Salary Savings | – | –1.9 | –1.9 | – | –91 | –92 |
| Net Totals, Salaries and Wages | – | 54.1 | 54.1 | – | $2,601 | $2,709 |
| Staff Benefits | – | – | – | – | 383 | 385 |
| Totals, Personal Services | – | 54.1 | 54.1 | – | $2,984 | $3,094 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $2,370 | $2,739 |
| TOTALS, EXPENDITURES | | | | – | $5,354 | $5,833 |
| Internal Cost Recovery | | | | – | –110 | –110 |
| NET TOTALS, EXPENDITURES | | | | – | $5,244 | $5,723 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0305 Private Postsecondary Education Administration Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $7,846 | – | – |
| 002 Budget Act appropriation | – | $5,193 | $5,643 |
| Allocation for employee compensation | 496 | 8 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 148 | – | – |
| Adjustment per Section 3.60 | –273 | –37 | – |
| Totals Available | $8,217 | $5,164 | $5,643 |
| Unexpended balance, estimated savings | –1,629 | – | – |
| TOTALS, EXPENDITURES | $6,588 | $5,164 | $5,643 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | – | $80 | $80 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $6,588 | $5,244 | $5,723 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### FUND CONDITION STATEMENT
### 0305 Private Postsecondary Education Administration Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $4,123 | $2,603 | $2,581 |
| Prior year adjustments | 103 | – | – |
| Balance, Adjusted | $4,226 | $2,603 | $2,581 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 83 | 92 | 92 |
| 125700 Other regulatory licenses and permits | 4,618 | 4,777 | 4,801 |
| 125800 Renewal fees | 1 | 1 | 1 |
| 125900 Delinquent fees | 32 | 34 | 31 |
| 141200 Sale of documents | 3 | 4 | 4 |
| 142500 Miscellaneous services to the public | 17 | 18 | 18 |
| 150300 Income from surplus money investments | 208 | 214 | 221 |
| 161000 Escheat of unclaimed checks | 2 | 1 | 1 |
| 161400 Miscellaneous revenue | 1 | 1 | 1 |
| Totals, Revenues and Transfers | $4,965 | $5,142 | $5,170 |
| Totals, Resources | $9,191 | $7,745 | $7,751 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 6,588 | 5,164 | 5,643 |
| FUND BALANCE | $2,603 | $2,581 | $2,108 |
| Reserve for economic uncertainties | 2,603 | 2,581 | 2,108 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 56.0 | 56.0 | – | $2,692 | $2,777 |
| Salary adjustments | – | – | – | – | – | 24 |
| Totals, Adjusted Authorized Positions | – | 56.0 | 56.0 | – | $2,692 | $2,801 |
| Total Adjustments | – | – | – | – | – | $24 |
| TOTALS, SALARIES AND WAGES | – | 56.0 | 56.0 | – | $2,692 | $2,801 |

### 27.20 Federal Trust Program

**Authority**

U.S. Code, Title 38, Section 3671(a).

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 12.6 | 12.6 | – | $1,130 | $1,130 |
| *0890 Federal Trust Fund* | | | | – | *1,130* | *1,130* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 13.0 | 13.0 | – | $770 | $787 |
| Estimated Salary Savings | – | –0.4 | –0.4 | – | –18 | –18 |
| Net Totals, Salaries and Wages | – | 12.6 | 12.6 | – | $752 | $769 |
| Staff Benefits | – | – | – | – | 157 | 157 |
| Totals, Personal Services | – | 12.6 | 12.6 | – | $909 | $926 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $221 | $204 |
| TOTALS, EXPENDITURES | | | | – | $1,130 | $1,130 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $1,000 | – | – |
| 002 Budget Act appropriation | – | $1,130 | $1,130 |
| Allocation for employee compensation | 42 | – | – |
| Adjustment per Section 3.60 | –8 | – | – |
| Budget adjustment | –16 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $1,018 | $1,130 | $1,130 |

### 27.30 Student Tuition Recovery Program

**Authority**

Education Code Sections 94944–94948.

| **Input** | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | – | 2.0 | 2.0 | – | $903 | $905 |
| *0960 Student Tuition Recovery Fund* | | | | – | *903* | *905* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 4.1 | 4.1 | – | $200 | $209 |
| Total Adjustments | – | –2.0 | –2.0 | – | –101 | –106 |
| Estimated Salary Savings | – | –0.1 | –0.1 | – | –28 | –31 |
| Net Totals, Salaries and Wages | – | 2.0 | 2.0 | – | $71 | $72 |
| Staff Benefits | – | – | – | – | 4 | 5 |
| Totals, Personal Services | – | 2.0 | 2.0 | – | $75 | $77 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $5 | $5 |
| TOTALS, EXPENDITURES | | | | – | $80 | $82 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0960 Student Tuition Recovery Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | ($312) | – | – |
| 002 Budget Act appropriation | – | $400 | $82 |
| Allocation for employee compensation | (44) | – | – |
| Adjustment per Section 3.60 | (–44) | – | – |
| Totals Available | ($312) | $400 | $82 |
| Unexpended balance, estimated savings | (–236) | –320 | – |
| TOTALS, EXPENDITURES (State Operations) | ($76) | $80 | $82 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

### 0960 Student Tuition Recovery Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $964 | $823 | $823 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0960 Student Tuition Recovery Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Education Code Section 94944 (expenditures) | $964 | $823 | $823 |
| TOTALS, EXPENDITURES (Local Assistance) | $964 | $823 | $823 |
| TOTALS, EXPENDITURES (State Operations and Local Assistance) | $964 | $903 | $905 |

### FUND CONDITION STATEMENT
### 0960 Student Tuition Recovery Fund [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $8 | $583 | $533 |
| Prior year adjustments | –33 | – | – |
| Balance, Adjusted | –$25 | $583 | $533 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 216000 Fees and Licenses | 763 | 786 | 809 |
| 217600 Delinquent fees | 4 | 4 | 4 |
| 215030 Income from investments | 13 | 13 | 14 |
| 261900 Escheat of unclaimed checks, warrants, bonds, coupons | 1 | 1 | 1 |
| 216900 Special Assessment | 867 | 49 | – |
| Totals, Operating Revenues | $1,648 | $853 | $828 |
| Transfers to Other Funds: | | | |
| T00702 Consumer Affairs Fund per Item 1111-001-0960, Budget Act of 1999 | –76 | – | – |
| Totals, Transfer to Other Funds | –$76 | – | – |
| Totals, Revenues and Transfers | $1,572 | $853 | $828 |
| Totals, Resources | $1,547 | $1,436 | $1,361 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions: | | | |
| State Operations | – | 80 | 82 |
| Local Assistance | 964 | 823 | 823 |
| Totals, Disbursements | $964 | $903 | $905 |
| FUND BALANCE | $583 | $533 | $456 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 4.1 | 4.1 | – | $200 | $209 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | Salary Range | | |
| Staff Svcs Mgr I | – | –1.0 | –1.0 | $4,346–5,243 | –52 | –55 |
| Assoc Govtl Prog Analyst | – | –1.0 | –1.0 | 3,915–4,759 | –49 | –51 |
| Totals | – | –2.0 | –2.0 | – | –$101 | –$106 |
| Total Adjustments | – | –2.0 | –2.0 | – | –$101 | –$106 |
| TOTALS, SALARIES AND WAGES | – | 2.1 | 2.1 | – | $99 | $103 |

*** Dollars in thousands, except in Salary Range.**

# 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

## 28 BUREAU OF ELECTRONIC AND APPLIANCE REPAIR (1111)

With the growing complexity of electronic technology and the rapidly changing nature of the repair industry for both home electronic entertainment equipment and major appliances, consumers may be subject to fraud, deceit, incompetence, and negligence in repair transactions. The objectives of the Bureau are to register and regulate all persons engaged in major home appliance and electronic equipment repair by imposing specific obligations of ethical conduct, honesty, and full and fair disclosure, providing certain safeguards to consumers when they need repair services, and ridding the repair industry of unscrupulous repair dealers.

### Authority

Business and Professions Code Sections 9800–9874.

| Input | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | – | 23.2 | 15.2 | – | $1,624 | $1,684 |
| *0325 Electronic and Appliance Repair Fund* | | | | – | *1,611* | *1,671* |
| *0995 Reimbursements* | | | | – | *13* | *13* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 23.5 | 23.0 | – | $1,056 | $1,065 |
| Total Adjustments | – | – | −7.5 | – | – | 7 |
| Estimated Salary Savings | – | −0.3 | −0.3 | – | −16 | −15 |
| Net Totals, Salaries and Wages | – | 23.2 | 15.2 | – | $1,040 | $1,057 |
| Staff Benefits | – | – | – | – | 83 | 80 |
| Totals, Personal Services | – | 23.2 | 15.2 | – | $1,123 | $1,137 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $501 | $547 |
| TOTALS, EXPENDITURES | | | | – | $1,624 | $1,684 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0325 Electronic and Appliance Repair Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $1,430 | – | – |
| 002 Budget Act appropriation | – | $1,560 | $1,671 |
| Allocation for employee compensation | 99 | 12 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 43 | – | – |
| Adjustment per Section 3.60 | −55 | −11 | – |
| Revised transfer per Item 1111-010-0702, Provision 1, Budget Act of 1998 | 8 | – | – |
| Prior year balances available: | | | |
| Chapter 1075, Statutes of 1998 (Service Contract Study) | 50 | 50 | – |
| Totals Available | $1,575 | $1,611 | $1,671 |
| Balance available in subsequent years | −50 | – | – |
| TOTALS, EXPENDITURES | $1,525 | $1,611 | $1,671 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $13 | $13 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,525 | $1,624 | $1,684 |

### FUND CONDITION STATEMENT
### 0325 Electronic and Appliance Repair Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $639 | $908 | $1,150 |
| Prior year adjustments | 39 | – | – |
| Balance, Adjusted | $678 | $908 | $1,150 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | $182 | $173 | $173 |
| 125800 Renewal fees | 1,452 | 1,470 | 1,470 |
| 125900 Delinquent fees | 64 | 60 | 60 |
| 150300 Income from surplus money investments | 57 | 57 | 57 |
| Totals, Revenues | $1,755 | $1,760 | $1,760 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 93 | – |
| Totals, Transfers from Other Funds | – | $93 | – |
| Totals, Revenues and Transfers | $1,755 | $1,853 | $1,760 |
| Totals, Resources | $2,433 | $2,761 | $2,910 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 1,525 | 1,611 | 1,671 |
| FUND BALANCE | $908 | $1,150 | $1,239 |
| Reserve for economic uncertainties | 908 | 1,150 | 1,239 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 23.5 | 23.0 | – | $1,056 | $1,065 |
| Salary adjustments | – | – | – | – | – | 7 |
| Totals, Adjusted Authorized Positions | – | 23.5 | 23.0 | – | $1,056 | $1,072 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions transferred to the Consumer Relations and Outreach Division (Mediation): | | | | Salary Range | | |
| Field Rep | – | – | −1.0 | $3,775–4,546 | – | – |
| Assoc Govtl Prog Analyst | – | – | −0.5 | 3,764–4,576 | – | – |
| Totals, Workload and Administrative Adjustments | – | – | −1.5 | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Field Rep | – | – | −2.0 | 3,775–4,546 | – | – |
| Staff Svcs Analyst-Gen | – | – | −1.0 | 2,507–3,957 | – | – |
| Ofc Techn-Typing | – | – | −3.0 | 2,348–2,855 | – | – |
| Totals | – | – | −6.0 | – | – | – |
| Total Adjustments | – | – | −7.5 | – | – | $7 |
| TOTALS, SALARIES AND WAGES | – | 23.5 | 15.5 | – | $1,056 | $1,072 |

## 29 BUREAU OF SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY (1111)

Pursuant to Chapter 436, Statutes of 1999, the duties and responsibilities of the Board were effective January 1, 2000. The 2000–01 and 2001–02 expenditures for this Board are reflected in the Department of Consumer Affairs' Item 1460.

### Authority

Business and Professions Code Sections 2530–2538.7.

## RECONCILIATION WITH APPROPRIATIONS
## 1 STATE OPERATIONS
### 0376 Speech-Language Pathology and Audiology Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $431 | – | – |
| Allocation for employee compensation | 22 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Allocation for Year 2000 per Item 9904-001-0494 | $14 | – | – |
| Adjustment per Section 3.60 | −13 | – | – |
| Revised transfer per Item 1111-010-0702, Provision 1, Budget Act of 1999 | 3 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $457 | – | – |

## 31 BUREAU OF AUTOMOTIVE REPAIR (1111)

Californians are highly dependent upon their automobiles for their economic and personal well-being. They also rely on the service industry for the maintenance and repair of their technologically complex vehicles. The Bureau of Automotive Repair administers two major programs in automotive repair markets that protect the consumer: the Consumer Protection Operation and the Smog Check Program. Both programs are designed to protect consumers in the automotive repair marketplace, promote consumer education, lower barriers to market entry to ensure competition, and discipline unethical service dealers and technicians.

In the Consumer Protection Operation, the strategic focus is to:

- Identify areas of greatest risk to the public in the marketplace.
- Educate industry members and associations on how to comply with state laws, regulations and policies.
- In a swift and effective manner, discipline automotive repair dealers that defraud consumers.
- Educate consumers on how to shop for quality automotive repair services.

In the Smog Check Program, the strategic focus is to:

- Work with all government agencies responsible for California's compliance with the federal Clean Air Act and required vehicular emissions reductions.
- Employ the latest technology to identify and track vehicles failing a smog inspection.
- Effectively identify high polluting vehicles for repair or retirement.
- Assist those who cannot afford to bring their vehicles into compliance.
- Measure success by reduction in tonnage of hydrocarbons, carbon monoxide, and oxides of nitrogen.
- Promote consumer and industry compliance with the Smog Check Program.
- Identify and discipline licensees that commit fraud.
- Educate consumers on the requirements of the Smog Check Program.

| **PROGRAM REQUIREMENTS** | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 31.10 Smog Check Program and Consumer Protection Operation | – | 634.9 | 562.0 | – | $90,543 | $91,730 |
| 31.20 Smog Check Consumer Assistance Program | – | 68.2 | 62.9 | – | 46,994 | 47,485 |
| Totals, Bureau of Automotive Repair | – | 703.1 | 624.9 | – | $137,537 | $139,215 |
| *0421 Vehicle Inspection and Repair Fund* | | | | – | *90,425* | *91,612* |
| *0582 High Polluter Repair or Removal Account* | | | | – | *46,994* | *47,485* |
| *0995 Reimbursements* | | | | – | *118* | *118* |

For display the expenditures for the Bureau of Automotive Repair, Smog Check Program and Consumer Protection Operation from the Vehicle Inspection and Repair Fund (0421) and Consumer Assistance Program from the High Polluter Repair or Removal Account (0582) are detailed below:

| | | | |
|---|---|---|---|
| Smog Check Program and Consumer Protection Operation | $78,833 | $90,614 | $91,801 |
| Education | 513 | 772 | – |
| Smog Quality Assurance and Engineering | 21,457 | 32,511 | – |
| Licensing | 1,797 | 1,544 | – |
| Intake | 5,672 | 5,223 | – |
| Mediation | 2,643 | 5,618 | – |
| Enforcement | 13,680 | 15,642 | – |
| Special Projects | 3,277 | 2,981 | – |
| Consumer Protection Operations | 29,794 | 26,323 | – |
| Smog Check Program and Consumer Protection Operation | – | – | 72,480 |
| Administrative and Information Services | – | – | 11,006 |
| Consumer Relations and Outreach | – | – | 7,740 |
| Communications and Education | – | – | 575 |
| Consumer Assistance Program | 6,692 | 46,994 | 47,485 |
| Income-Eligible Vehicle Repair | 2,335 | 9,970 | – |
| Test-only Directed Vehicle Repair | – | 14,202 | – |
| Vehicle Retirement | 1,011 | 15,072 | 15,072 |
| Vehicle Repair Assistance | – | – | 24,172 |
| Program Administration | 3,346 | 7,750 | 8,241 |

*** Dollars in thousands, except in Salary Range.**

# 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### 31.10 Smog Check Program and Consumer Protection Operation

**Major Budget Adjustments Proposed for 2001–02**

- An augmentation of $3,200,000 to educate consumers about the Smog Check and Consumer Assistance Programs.
- Pursuant to Chapter 867, Statutes of 2000 (SB 1988), an augmentation of $1,103,000 and 7.6 personnel years for a two-year pilot program. This program would inspect insured vehicles that have undergone auto body repair to identify work that was not done according to the repair invoice.

**Authority**

Business and Professions Code Sections 9882–9886.2 and Health and Safety Code Sections 44001.5–44003.

| Input | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | – | 634.9 | 562.0 | – | $90,543 | $91,730 |
| *0421 Vehicle Inspection and Repair Fund* | | | | – | *90,425* | *91,612* |
| *0995 Reimbursements* | | | | – | *118* | *118* |

**SUMMARY BY OBJECT**
**1 STATE OPERATIONS**

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 657.7 | 657.7 | – | $32,928 | $33,618 |
| Total Adjustments | – | – | −74.6 | – | – | 537 |
| Estimated Salary Savings | – | −22.8 | −21.1 | – | −1,017 | −1,042 |
| Net Totals, Salaries and Wages | – | 634.9 | 562.0 | – | $31,911 | $33,113 |
| Staff Benefits | – | – | – | – | 6,208 | 6,262 |
| Totals, Personal Services | – | 634.9 | 562.0 | – | $38,119 | $39,375 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $52,495 | $52,426 |
| TOTALS, EXPENDITURES | | | | – | $90,614 | $91,801 |
| Internal Cost Recovery | | | | – | −71 | −71 |
| NET TOTALS, EXPENDITURES | | | | – | $90,543 | $91,730 |

**RECONCILIATION WITH APPROPRIATIONS**
**1 STATE OPERATIONS**
**0421 Vehicle Inspection and Repair Fund** [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $93,565 | – | – |
| 002 Budget Act appropriation | – | $90,597 | $91,612 |
| Allocation for employee compensation | 3,787 | 67 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 2,061 | – | – |
| Adjustment per Section 3.60 | −2,369 | −339 | – |
| Revised transfer per Item 1111-010-0702, Provision 1, Budget Act of 1998 | −19 | – | – |
| Chapter 867, Statutes of 2000 (Vehicle Inspection Pilot Program) | – | 100 | – |
| Totals Available | $97,025 | $90,425 | $91,612 |
| Unexpended balance, estimated savings | −18,192 | – | – |
| TOTALS, EXPENDITURES | $78,833 | $90,425 | $91,612 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $118 | $118 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $78,833 | $90,543 | $91,730 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### FUND CONDITION STATEMENT
### 0421 Vehicle Inspection and Repair Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $21,523 | $60,469 | $73,840 |
| Prior year adjustments | 3,556 | – | – |
| Balance, Adjusted | $25,079 | $60,469 | $73,840 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,187 | 1,169 | 1,160 |
| 125700 Other regulatory licenses and permits | 112,236 | 102,031 | 103,672 |
| 125800 Renewal fees | 6,817 | 6,850 | 6,825 |
| 125900 Delinquent fees | 234 | 230 | 225 |
| 141200 Sales of documents | 115 | 115 | 115 |
| 142500 Miscellaneous services to the public | 13 | 14 | 14 |
| 150300 Income from surplus money investments | 2,262 | 2,300 | 2,350 |
| 160400 Sale of fixed assets | 35 | – | – |
| 161000 Escheat of unclaimed checks and warrants | 7 | 5 | 5 |
| 161400 Miscellaneous income | 1,052 | 1,000 | 1,000 |
| Totals, Revenues and Transfers | $123,958 | $113,714 | $115,366 |
| Totals, Resources | $149,037 | $174,183 | $189,206 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 78,833 | 90,425 | 91,612 |
| 3900 Air Resources Board-Vehicle Inspection (State Operations) | 9,735 | 9,918 | 10,167 |
| Totals, Expenditures | $88,568 | $100,343 | $101,779 |
| FUND BALANCE | $60,469 | $73,840 | $87,427 |
| Reserve for economic uncertainties | 60,469 | 73,840 | 87,427 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 657.7 | 657.7 | – | $32,928 | $33,618 |
| Salary adjustments | – | – | – | – | – | 254 |
| Totals, Adjusted Authorized Positions | – | 657.7 | 657.7 | – | $32,928 | $33,872 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions transferred to the Consumer Relations and Outreach Division (Mediation): | | | | Salary Range | | |
| Staff Svcs Mgr II-Supvr | – | – | –2.0 | $4,772–5,757 | – | – |
| Staff Svcs Mgr I | – | – | –6.0 | 4,346–5,243 | – | – |
| Prog Rep II-Spec | – | – | –2.0 | 3,984–4,807 | – | – |
| Assoc Govtl Prog Analyst | – | – | –2.0 | 3,764–4,576 | – | – |
| Prog Rep I | – | – | –2.0 | 3,630–4,371 | – | – |
| Staff Svcs Analyst-Gen | – | – | –5.0 | 2,411–3,805 | – | – |
| Consumer Svcs Rep | – | – | –37.1 | 2,923–3,552 | – | – |
| Communications Opr | – | – | –1.0 | 2,278–3,132 | – | – |
| Mgt Svcs Techn | – | – | –2.0 | 2,135–2,932 | – | – |
| Ofc Techn-Typing | – | – | –8.0 | 2,258–2,745 | – | – |
| Totals, Workload and Administrative Adjustments | – | – | –67.1 | – | – | – |
| Reduction per Section 31.50: | | | | | | |
| Staff Svcs Mgr II | – | (–1.0) | (–1.0) | – | – | – |
| Statistical Methods Analyst | – | (–1.0) | (–1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–1.0) | (–1.0) | – | – | – |
| Prog Rep I | – | (–2.0) | (–2.0) | – | – | – |
| Consumer Svcs Rep | – | (–1.0) | (–1.0) | – | – | – |
| Air Quality Rep I | – | (–2.0) | (–2.0) | – | – | – |
| Ofc Techn-Typing | – | (–3.0) | (–3.0) | – | – | – |
| Prog Techn II | – | (–1.0) | (–1.0) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Mgt Svcs Techn | – | (–1.0) | (–1.0) | – | – | – |
| Word Proc Techn | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Asst-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Totals | – | (–15.0) | (–15.0) | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Staff Svcs Mgr III | – | – | –1.0 | $6,032–6,651 | – | – |
| Prog Mgr II | – | – | –1.0 | 5,887–6,491 | – | – |
| C.E.A. I | – | – | –2.0 | 5,593–6,975 | – | – |
| Consumer Svcs Rep | – | – | –2.9 | 3,040–3,694 | – | –$24 |
| Programmer I | – | – | –1.0 | 2,903–3.465 | – | – |
| Ofc Techn-Typing | – | – | –4.6 | 2,348–2,855 | – | –50 |
| Ofc Asst-Typing | – | – | –3.0 | 1,908–2,465 | – | –26 |
| Totals | – | – | –15.5 | – | – | –$100 |
| Proposed New Positions: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Prog Rep I | – | – | 6.0 | 3,775–4,546 | – | 300 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Totals | – | – | 8.0 | – | – | $383 |
| Total Adjustments | – | – | –74.6 | – | – | $537 |
| TOTALS, SALARIES AND WAGES | – | 657.7 | 583.1 | – | $32,928 | $34,155 |

### 31.20 Consumer Assistance Program

The Bureau of Automotive Repair (BAR), through the Consumer Assistance Program, provides two options to help eligible consumers whose vehicles fail a biennial Smog Check inspection. Under the Vehicle Retirement option, BAR will pay qualified consumers to retire their vehicles. Under the Repair Assistance option, BAR will pay a portion of the consumer's repair bill in order to bring the vehicle into compliance with the requirements of the Smog Check Program.

**Authority**

Health and Safety Code Sections 44062.1–44094.1.

| **Input** | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 68.2 | 62.9 | – | $46,994 | $47,485 |
| *0582 High Polluter Repair or Removal Account* | | | | – | *46,994* | *47,485* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 70.9 | 70.9 | – | $3,005 | $3,148 |
| Total Adjustments | – | – | –5.3 | – | – | –11 |
| Estimated Salary Savings | – | –2.7 | –2.7 | – | –116 | –116 |
| Net Totals, Salaries and Wages | – | 68.2 | 62.9 | – | $2,889 | $3,021 |
| Staff Benefits | – | – | – | – | 631 | 632 |
| Totals, Personal Services | – | 68.2 | 62.9 | – | $3,520 | $3,653 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $43,474 | $43,832 |
| TOTALS, EXPENDITURES | | | | – | $46,994 | $47,485 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0582 High Polluter Repair or Removal Account [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $62,267 | – | – |
| 002 Budget Act appropriation | – | $47,020 | $47,485 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Allocation for employee compensation | $510 | $3 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 133 | – | – |
| Adjustment per Section 3.60 | −184 | −29 | – |
| Totals Available | $62,726 | $46,994 | $47,485 |
| Unexpended balance, estimated savings | −56,046 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $6,680 | $46,994 | $47,485 |

### FUND CONDITION STATEMENT
### 0582 High Polluter Repair or Removal Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $82,617 | $116,276 | $97,494 |
| Prior year adjustments | 1,670 | – | – |
| Balance, Adjusted | $84,287 | $116,276 | $97,494 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 32,588 | 22,187 | 24,214 |
| 142500 Miscellaneous revenue from local agencies | 126 | 130 | 130 |
| 150300 Income from surplus money investments | 6,172 | 6,000 | 5,500 |
| Totals, Revenues and Transfers | $38,886 | $28,317 | $29,844 |
| Totals, Resources | $123,173 | $144,593 | $127,338 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 6,680 | 46,994 | 47,485 |
| 3900 Air Resources Board-Vehicle Inspection (State Operations) | 217 | 105 | – |
| Totals, Disbursements | $6,897 | $47,099 | $47,485 |
| FUND BALANCE | $116,276 | $97,494 | $79,853 |
| Reserve for economic uncertainties | 116,276 | 97,494 | 79,853 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 70.9 | 70.9 | – | $3,005 | $3,148 |
| Salary adjustments | – | – | – | – | – | 20 |
| Totals, Adjusted Authorized Positions | – | 70.9 | 70.9 | – | $3,005 | $3,168 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | −0.5 | $3,915–4,759 | – | – |
| Prog Rep I | – | – | −1.3 | 3,775–4,546 | – | −15 |
| Ofc Svcs Supvr II | – | – | −1.0 | 2,348–2,858 | – | – |
| Ofc Techn-Typing | – | – | −2.5 | 2,348–2,855 | – | −16 |
| Totals | – | – | −5.3 | – | – | −$31 |
| Total Adjustments | – | – | −5.3 | – | – | −$11 |
| TOTALS, SALARIES AND WAGES | – | 70.9 | 65.6 | – | $3,005 | $3,137 |

## 37 TELEPHONE MEDICAL ADVICE SERVICES BUREAU (1111)

Chapter 535, Statutes of 1999 (AB 285) established the Telephone Medical Advice Services Bureau, effective January 1, 2000. The law requires that any business providing medical advice by telephone to California residents must register with the Bureau. This includes businesses located inside and outside the state of California.

Chapter 857, Statutes of 2000 (AB 2903), effective January 1, 2001, amended the Telephone Medical Advice Services law so that those businesses that provide telephone medical advice and employ less than five people need not be registered. In addition, although they must register, businesses with a significant total number of charity or medically indigent patients may be exempt from the registration fee.

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

The overall objective of the Bureau is to: (1) protect consumers by regulating businesses that provide telephone medical advice to California patients; (2) ensure that providers of telephone medical advice are qualified licensed health-care professionals; (3) inform patients of their rights; and (4) pursue any reported harmful activities.

### Authority

Business and Professions Code Sections 4999–4999.9.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 4.7 | 4.7 | – | $521 | $522 |
| *0459 Telephone Medical Advice Services Program Fund* | | | | – | *521* | *522* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 5.0 | 5.0 | – | $244 | $253 |
| Total Adjustments | – | – | – | – | – | 2 |
| Estimated Salary Savings | – | –0.3 | –0.3 | – | –12 | –12 |
| Net Totals, Salaries and Wages | – | 4.7 | 4.7 | – | $232 | $243 |
| Staff Benefits | – | – | – | – | 54 | 54 |
| Totals, Personal Services | – | 4.7 | 4.7 | – | $286 | $297 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $235 | $225 |
| TOTALS, EXPENDITURES | | | | – | $521 | $522 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0459 Telephone Medical Advice Services Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 002 Budget Act appropriation | – | $522 | $522 |
| Adjustment per Section 3.60 | – | –1 | – |
| TOTALS, EXPENDITURES (State Operations) | – | $521 | $522 |

### 0761 Board of Registered Nursing Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 012 Budget Act appropriation (expenditures) | – | ($522) | – |

### FUND CONDITION STATEMENT
### 0459 Telephone Medical Advice Services Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $474 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | – | $450 | 188 |
| 150300 Income from surplus money investments | – | 23 | 23 |
| Totals, Revenues | – | $473 | $211 |
| Transfers from Other Funds: | | | |
| F00761 Board of Registered Nursing Fund, per Item 1111-012-0761, Budget Act of 2000 (loan) | – | 522 | – |
| Totals, Transfers from Other Funds | – | $522 | – |
| Transfers to Other Funds: | | | |
| T00761 Board of Registered Nursing Fund, per Item 1111-012-0761, Budget Act of 2000 (loan repayment) | – | – | –151 |
| Totals, Transfers to Other Funds | – | – | –$151 |
| Totals, Revenues and Transfers | – | $995 | $60 |
| Totals, Resources | – | $995 | $534 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | – | $521 | $522 |
| FUND BALANCE | – | $474 | $12 |
| Reserve for economic uncertainties | – | 474 | 12 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 5.0 | 5.0 | – | $244 | $253 |
| Salary adjustments | – | – | – | – | – | 2 |
| Totals, Adjusted Authorized Positions | – | 5.0 | 5.0 | – | $244 | $255 |
| Total Adjustments | – | – | – | – | – | $2 |
| TOTALS, SALARIES AND WAGES | – | 5.0 | 5.0 | – | $244 | $255 |

## 32 CEMETERY BUREAU (1111)

Pursuant to Chapter 568, Statutes of 2000, effective January 1, 2001, the Cemetery Bureau's duties and responsibilities were transferred to the Cemetery and Funeral Bureau.

### Authority

Business and Professions Code Sections 9600–9789.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 10.4 | – | – | $1,296 | – |
| *0717 Cemetery Fund, Professions and Vocations Fund* | | | | – | *1,177* | – |
| *0995 Reimbursements* | | | | – | *119* | – |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 10.8 | 10.8 | – | $521 | $542 |
| Total Adjustments | – | – | −10.8 | – | – | −542 |
| Estimated Salary Savings | – | −0.4 | – | – | −17 | – |
| Net Totals, Salaries and Wages | – | 10.4 | – | – | $504 | – |
| Staff Benefits | – | – | – | – | 85 | – |
| Totals, Personal Services | – | 10.4 | – | – | $589 | – |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $707 | – |
| TOTALS, EXPENDITURES | | | | – | $1,296 | – |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0717 Cemetery Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $1,168 | – | – |
| 002 Budget Act appropriation | – | $1,180 | – |
| Allocation for employee compensation | 65 | 2 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 85 | – | – |
| Adjustment per Section 3.60 | −43 | −5 | – |
| Totals Available | $1,275 | $1,177 | – |
| Unexpended balance, estimated savings | −238 | – | – |
| TOTALS, EXPENDITURES | $1,037 | $1,177 | – |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | – | $119 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,037 | $1,296 | – |

### FUND CONDITION STATEMENT
### 0406 Tax Preparers Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | $55 | – |
| Transfers to Other Funds: | | | |
| T00717 Cemetery Fund per Chapter 1137, Statutes of 1996 | – | –55 | – |
| Totals, Revenues and Transfers | – | – | – |
| FUND BALANCE | – | – | – |
| **0717 Cemetery Fund, Professions and Vocations Fund [s]** | | | |
| BEGINNING BALANCE | $250 | $204 | $232 |
| Prior year adjustments | 99 | – | – |
| Balance, Adjusted | $349 | $204 | $232 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,454 | 1,532 | 1,563 |
| 125700 Other regulatory licenses and permits | 90 | 101 | 103 |
| 125800 Renewal fees | 183 | 193 | 192 |
| 125900 Delinquent fees | 3 | 3 | 3 |
| 150300 Income from surplus money investments | 39 | 39 | 35 |
| Totals, Revenues | $1,769 | $1,868 | $1,896 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 49 | – |
| F00406 Tax Preparers Fund per Government Code 16346 | – | 55 | – |
| Totals, Transfers from Other Funds | – | $104 | – |
| Transfers to Other Funds: | | | |
| T00735 Loan repayment to Contractors License Fund per 1111-001-0735, Budget Act of 1996 | –877 | –767 | –850 |
| Totals, Transfers to Other Funds | –$877 | –$767 | –$850 |
| Totals, Revenues and Transfers | $892 | $1,205 | $1,046 |
| Totals, Resources | $1,241 | $1,409 | $1,278 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 1,037 | 1,177 | 1,272 |
| FUND BALANCE | $204 | $232 | $6 |
| Reserve for economic uncertainties | 204 | 232 | 6 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 10.8 | 10.8 | – | $521 | $542 |
| Totals, Adjusted Authorized Positions | – | 10.8 | 10.8 | – | $521 | $542 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions transferred to the Cemetery and Funeral Bureau: | | | | Salary Range | | |
| C.E.A. I | – | – | –1.0 | $5,493–11,113 | – | –80 |
| Sr Mgt Auditor | – | – | –1.0 | 4,963–5,987 | – | –63 |
| Staff Svcs Mgr I | – | – | –1.0 | 4,520–5,453 | – | –65 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Gen Auditor III | – | – | −1.8 | $4,110–4,997 | – | −$93 |
| Assoc Govtl Prog Analyst | – | – | −1.0 | 3,915–4,759 | – | −49 |
| Staff Svcs Analyst-Gen | – | – | −2.0 | 2,507–3,957 | – | −92 |
| Mgt Svcs Techn | – | – | −1.0 | 2,220–3,049 | – | −36 |
| Ofc Techn-Typing | – | – | −2.0 | 2,348–2,855 | – | −64 |
| Totals, Workload and Administrative Adjustments | – | – | −10.8 | – | – | −$542 |
| Total Adjustments | – | – | −10.8 | – | – | −$542 |
| TOTALS, SALARIES AND WAGES | – | 10.8 | – | – | $521 | – |

## 33 BUREAU OF FUNERAL DIRECTORS AND EMBALMERS (1111)

Pursuant to Chapter 568, Statutes of 2000, effective January 1, 2001, the Bureau of Funeral Directors and Embalmers' duties and responsibilities were transferred to the Cemetery and Funeral Bureau.

### Authority

Business and Professions Code Sections 7600–7745.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 12.0 | – | – | $1,171 | – |
| *0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund* | | | | – | *1,159* | – |
| *0995 Reimbursements* | | | | – | *12* | – |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 12.4 | 12.4 | – | $482 | $504 |
| Total Adjustments | – | – | −12.4 | – | – | −504 |
| Estimated Salary Savings | – | −0.4 | – | – | −17 | – |
| Net Totals, Salaries and Wages | – | 12.0 | – | – | $465 | – |
| Staff Benefits | – | – | – | – | 72 | – |
| Totals, Personal Services | – | 12.0 | – | – | $537 | – |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $634 | – |
| TOTALS, EXPENDITURES | | | | – | $1,171 | – |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $771 | – | – |
| 002 Budget Act appropriation | – | $1,164 | – |
| Allocation for employee compensation | 68 | – | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 35 | – | – |
| Adjustment per Section 3.60 | −45 | −5 | – |
| Totals Available | $829 | $1,159 | – |
| Unexpended balance, estimated savings | −177 | – | – |
| TOTALS, EXPENDITURES | $652 | $1,159 | – |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $12 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $652 | $1,171 | – |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### FUND CONDITION STATEMENT
### 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $1,010 | $1,571 | $1,590 |
| Prior year adjustments | 24 | – | – |
| Balance, Adjusted | $1,034 | $1,571 | $1,590 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 116 | 97 | 97 |
| 125700 Other regulatory licenses and permits | 126 | 141 | 141 |
| 125800 Renewal fees | 855 | 852 | 852 |
| 125900 Delinquent fees | 29 | 25 | 25 |
| 150300 Income from surplus money investments | 63 | 63 | 63 |
| Totals, Revenues and Transfers | $1,189 | $1,178 | $1,178 |
| Totals, Resources | $2,223 | $2,749 | $2,768 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 652 | 1,159 | 1,281 |
| FUND BALANCE | $1,571 | $1,590 | $1,487 |
| Reserve for economic uncertainties | 1,571 | 1,590 | 1,487 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 12.4 | 12.4 | – | $482 | $504 |
| Totals, Adjusted Authorized Positions | – | 12.4 | 12.4 | – | $482 | $504 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions transferred from the Bureau of Funeral Directors and Embalmers: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | −1.0 | $3,915–4,759 | – | −56 |
| Fld Rep | – | – | −4.0 | 3,499–4,215 | – | −189 |
| Gen Auditor | – | – | −1.0 | 3,418–4,155 | – | −44 |
| Insp II | – | – | −2.0 | 2,909–3,499 | – | −73 |
| Prog Techn II | – | – | −2.0 | 2,348–2,855 | – | −60 |
| Ofc Techn-Typing | – | – | −1.4 | 2,348–2,855 | – | −41 |
| Prog Techn I | – | – | −1.0 | 2,029–2,648 | – | −26 |
| Temporary Help | – | – | – | – | – | −11 |
| Overtime | – | – | – | – | – | −4 |
| Totals, Workload and Administrative Adjustments | – | – | −12.4 | – | – | −$504 |
| Total Adjustments | – | – | −12.4 | – | – | −$504 |
| TOTALS, SALARIES AND WAGES | – | 12.4 | – | – | $482 | – |

## 38 CEMETERY AND FUNERAL BUREAU (1111)

Pursuant to Chapter 568, Statutes of 2000, effective January 1, 2001, the Cemetery Bureau and the Bureau of Funeral Directors and Embalmers merged into the Cemetery and Funeral Bureau.

The objectives of the Cemetery and Funeral Bureau are to ensure: 1) that only qualified applicants receive licenses to operate cemeteries, crematories or funeral establishments, or act as funeral directors, embalmers, apprentice embalmers, cremated remains disposers, cemetery brokers or salespeople; 2) that licensees comply with applicable rules and regulations; 3) that all trust funds are appropriately managed; 4) permanence of mausoleums and columbariums; and 5) proper handling of human remains in the interest of public health.

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 38.10 Cemetery Program | – | – | 10.4 | – | – | $1,391 |
| 38.20 Funeral Directors and Embalmers Program | – | – | 12.0 | – | – | 1,293 |
| Totals, Cemetery and Funeral Bureau | – | – | 22.4 | – | – | $2,684 |
| *0717 Cemetery Fund, Professions and Vocations Fund* | | | | – | – | *1,272* |
| *0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund* | | | | – | – | *1,281* |
| *0995 Reimbursements* | | | | – | – | *131* |

### 38.10 Cemetery Program

**Authority**

Business and Professions Code Sections 9600–9789.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | – | 10.4 | – | – | $1,391 |
| *0717 Cemetery Fund, Professions and Vocations Fund* | | | | – | – | *1,272* |
| *0995 Reimbursements* | | | | – | – | *119* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals (Sch. 7A) | – | – | – | – | – | – |
| Total Adjustments | – | – | 10.8 | – | – | $545 |
| Estimated Salary Savings | – | – | –0.4 | – | – | –17 |
| Net Totals, Salaries and Wages | – | – | 10.4 | – | – | $528 |
| Staff Benefits | – | – | – | – | – | 86 |
| Totals, Personal Services | – | – | 10.4 | – | – | $614 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | – | $777 |
| TOTALS, EXPENDITURES | | | | – | – | $1,391 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0717 Cemetery Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 002 Budget Act appropriation (expenditures) | – | – | $1,272 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | – | $119 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | – | – | $1,391 |

### FUND CONDITION STATEMENT
### 0717 Cemetery Fund, Professions and Vocations Fund [s]

Fund Condition Statement is displayed in Item 1111, Program 32, Cemetery Bureau.

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | – | – | – | – | – |
| Salary adjustments | – | – | – | – | – | $3 |
| Totals, Adjusted Authorized Positions | – | – | – | – | – | $3 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions transferred from the Cemetery Bureau: | | | | Salary Range | | |
| C.E.A. I | – | – | 1.0 | $5,493–11,113 | – | 80 |
| Sr Mgt Auditor | – | – | 1.0 | 4,963–5,987 | – | 63 |
| Staff Svcs Mgr I | – | – | 1.0 | 4,520–5,453 | – | 65 |
| Gen Auditor III | – | – | 1.8 | 4,110–4,997 | – | 93 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 49 |
| Staff Svcs Analyst-Gen | – | – | 2.0 | 2,507–3,957 | – | 92 |
| Mgt Svcs Techn | – | – | 1.0 | 2,220–3,049 | – | 36 |
| Ofc Techn-Typing | – | – | 2.0 | 2,348–2,855 | – | 64 |
| Totals, Workload and Administrative Adjustments | – | – | 10.8 | – | – | $542 |
| Total Adjustments | – | – | 10.8 | – | – | $545 |
| TOTALS, SALARIES AND WAGES | – | – | 10.8 | – | – | $545 |

### 38.20 Funeral Directors and Embalmers Program

**Authority**

Business and Professions Code Sections 7600–7745.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | – | 12.0 | – | – | $1,293 |
| *0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund* | | | | – | – | *1,281* |
| *0995 Reimbursements* | | | | – | – | *12* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | – | – | – | – | – |
| Total Adjustments | – | – | 12.4 | – | – | $507 |
| Estimated Salary Savings | – | – | –0.4 | – | – | –17 |
| Net Totals, Salaries and Wages | – | – | 12.0 | – | – | $490 |
| Staff Benefits | – | – | – | – | – | 72 |
| Totals, Personal Services | – | – | 12.0 | – | – | $562 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | – | $731 |
| TOTALS, EXPENDITURES | | | | – | – | $1,293 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 002 Budget Act appropriation (expenditures) | – | – | $1,281 |
| **0995 Reimbursements** | **1999–00*** | **2000–01*** | **2001–02*** |
| Reimbursements | – | – | $12 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | – | – | $1,293 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### FUND CONDITION STATEMENT
### 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund [s]

Fund Condition Statement is displayed in Item 1111, Program 33, Bureau of Funeral Directors and Embalmers.

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | – | – | – | – | – |
| Salary adjustments | – | – | – | – | – | $3 |
| Totals, Adjusted Authorized Positions | – | – | – | – | – | $3 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions transferred from the Bureau of Funeral Directors and Embalmers: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | $3,915–4,759 | – | 56 |
| Fld Rep | – | – | 4.0 | 3,499–4,215 | – | 189 |
| Gen Auditor II | – | – | 1.0 | 3,418–4,155 | – | 44 |
| Insp II | – | – | 2.0 | 2,909–3,499 | – | 73 |
| Prog Techn II | – | – | 2.0 | 2,348–2,855 | – | 60 |
| Ofc Techn-Typing | – | – | 1.4 | 2,348–2,855 | – | 41 |
| Prog Techn I | – | – | 1.0 | 2,029–2,648 | – | 26 |
| Temporary Help | – | – | – | – | – | 11 |
| Overtime | – | – | – | – | – | 4 |
| Totals, Workload and Administrative Adjustments | – | – | 12.4 | – | – | $504 |
| Total Adjustments | – | – | 12.4 | – | – | $507 |
| TOTALS, SALARIES AND WAGES | – | – | 12.4 | – | – | $507 |

## 34 BUREAU OF HOME FURNISHINGS AND THERMAL INSULATION (1111)

The manufacture and sale of upholstered furniture, bedding, and insulation made with defective, substandard, or highly flammable materials poses an unacceptable hazard to consumers. Consumers are also subject to fraud from misrepresentation, misleading product claims or false advertising in the merchandising of goods. The Bureau's objectives are to ensure that fair and reasonable standards are developed, and that those standards are enforced through on-site inspections, investigations and through laboratory testing.

### Authority

Business and Professions Code Sections 19000–19221.

| Input | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | – | 33.6 | 33.1 | – | $3,192 | $3,275 |
| *0752 Bureau of Home Furnishings and Thermal Insulation Fund* | | | | – | *3,187* | *3,270* |
| *0995 Reimbursements* | | | | – | *5* | *5* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 34.6 | 34.6 | – | $1,678 | $1,707 |
| Total Adjustments | – | – | –0.5 | – | – | 11 |
| Estimated Salary Savings | – | –1.0 | –1.0 | – | –50 | –50 |
| Net Totals, Salaries and Wages | – | 33.6 | 33.1 | – | $1,628 | $1,668 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Staff Benefits | – | – | – | – | $291 | $292 |
| Totals, Personal Services | – | 33.6 | 33.1 | – | $1,919 | $1,960 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $1,273 | $1,315 |
| TOTALS, EXPENDITURES | | | | – | $3,192 | $3,275 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0752 Bureau of Home Furnishings and Thermal Insulation Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Consumer Affairs Fund) | $2,984 | – | – |
| 002 Budget Act appropriation | – | $3,199 | $3,270 |
| Allocation for employee compensation | 227 | 5 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 127 | – | – |
| Adjustment per Section 3.60 | −138 | −17 | – |
| Totals Available | $3,200 | $3,187 | $3,270 |
| Unexpended balance, estimated savings | −131 | – | – |
| TOTALS, EXPENDITURES | $3,069 | $3,187 | $3,270 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $5 | $5 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,069 | $3,192 | $3,275 |

### FUND CONDITION STATEMENT
### 0752 Bureau of Home Furnishings and Thermal Insulation Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $948 | $1,045 | $570 |
| Prior year adjustments | 78 | – | – |
| Balance, Adjusted | $1,026 | $1,045 | $570 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 73 | 77 | 73 |
| 125700 Other regulatory licenses and permits | 661 | 661 | 667 |
| 125800 Renewal fees | 2,196 | 1,635 | 2,171 |
| 125900 Delinquent fees | 75 | 88 | 61 |
| 150300 Income from surplus money investments | 80 | 80 | 80 |
| 161000 Escheat of unclaimed checks | 3 | – | – |
| Totals, Revenues | $3,088 | $2,541 | $3,052 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000 (Malibu/Abramovitz Lawsuit) | – | 171 | – |
| Totals, Transfers from Other Funds | – | $171 | – |
| Totals, Revenues and Transfers | $3,088 | $2,712 | $3,052 |
| Totals, Resources | $4,114 | $3,757 | $3,622 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs, and Divisions (State Operations) | 3,069 | 3,187 | 3,270 |
| FUND BALANCE | $1,045 | $570 | $352 |
| Reserve for economic uncertainties | 1,045 | 570 | 352 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 34.6 | 34.6 | – | $1,678 | $1,707 |
| Salary adjustments | – | – | – | – | – | 11 |
| Totals, Adjusted Authorized Positions | – | 34.6 | 34.6 | – | $1,678 | $1,718 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions transferred to the Consumer Relations and Outreach Division (Mediation): | | | | | | |
| Prog Techn II | – | – | –0.5 | Salary Range $2,348–2,855 | – | – |
| Totals, Workload and Administrative Adjustments | – | – | –0.5 | – | – | – |
| Reduction per Section 31.50: | | | | | | |
| Textile Techn II | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Svcs Supvr I-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Totals | – | (–2.0) | (–2.0) | – | – | – |
| Total Adjustments | – | – | –0.5 | – | – | $11 |
| TOTALS, SALARIES AND WAGES | – | 34.6 | 34.1 | – | $1,678 | $1,718 |

## 35 CONSUMER AFFAIRS (1111)

| Program Requirements | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Consumer Affairs | – | 620.9 | 687.4 | – | $20,479 | $25,686 |
| *0001 General Fund* | | | | – | *500* | *1,894* |
| *0995 Reimbursements* | | | | – | *19,979* | *23,792* |
| **Program Elements** | | | | | | |
| 35.10.010 Administrative and Information Services Division | – | 452.4 | 450.5 | – | $37,169 | $38,144 |
| 35.10.015 Communications and Education Division | – | 14.5 | 15.4 | – | 1,315 | 1,401 |
| 35.10.020 Consumer Relations and Outreach Division | – | 74.1 | 140.7 | – | 3,801 | 9,118 |
| 35.10.025 Division of Investigation | – | 79.9 | 80.8 | – | 6,428 | 6,552 |
| Totals, Consumer Affairs | – | 620.9 | 687.4 | – | $48,713 | $55,215 |

### 35.10.010 Administrative and Information Services Division

In order to maximize the efficiency and effectiveness in the delivery of services, ensure proper oversight and accountability, and minimize duplication of effort, the Department centralizes several functions that support the administration and implementation of the goals of the boards, bureaus, programs, committees, and the commission. They are summarized as follows:

- The Executive Office provides support and oversight for the Department's boards, bureaus, programs, committees, and the commission. The Executive Office also provides policy direction for the bureaus and programs under the Director's appointing authority.
- The Internal Audit Office evaluates the level of accountability in the Department's programs and processes.
- The Legal Affairs Office provides legal services to the Director and the boards, bureaus and committees.
- The Legislative and Regulatory Review Office provides analysis of legislation and proposed regulatory changes.
- The Office of Examination Resources assists boards, bureaus, and programs to develop and administer reliable and valid examination programs that fairly assess candidates' competence to practice. The Office is responsible for interpreting and promoting technical and professional standards to establish content-related validity of examination programs, performing occupational analysis and developing examinations, performing statistical analysis of examination program results, evaluating exams developed by professional associations and test providers, and advising the licensing programs regarding psychometric issues.
- The Family Support Unit ensures compliance with court-ordered family support by partnering with the Department of Child Support Services to identify and notify applicants and licensees of the potential license suspension for failure to comply with family support court orders.
- The Office of Administrative Services is responsible for providing administrative support, including personnel, budget, purchasing, space planning, and accounting services.
- The Office of Information Services is responsible for providing information services, including general application and programming services and supports Department applications.

*** Dollars in thousands, except in Salary Range.**

# 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### Major Budget Adjustments Included in 2000–01

- A General Fund augmentation of $1,394,000 and 7.1 personnel years to establish the Office of Privacy Protection which will serve as a clearinghouse for privacy related consumer complaints. This program was established by Chapter 984, Statutes of 2000 (SB 129).
- An augmentation of $1,260,000 to upgrade the Applicant Tracking System (ATS).
- An augmentation of $755,000 for project planning related to an integrated information system for the Department's licensing and enforcement activities.
- An augmentation of $118,000 and 0.9 personnel year to establish the Task Force and Cultural and Linguistic competency for Physicians and Dentists, pursuant to Chapter 802, Statutes of 2000 (AB 2394).

### Authority

Business and Professions Code Section 201.

| Input | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | – | 452.4 | 450.5 | – | $37,169 | $38,144 |
| *0001 General Fund* | | | | – | – | *1,221* |
| *0702 Consumer Affairs Fund-Distributed to Bureaus* | | | | – | *22,824* | *19,253* |
| *0995 Reimbursements* | | | | – | *14,345* | *17,670* |

### SUMMARY BY OBJECT
### Administrative and Information Services Division
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 467.6 | 467.6 | – | $23,236 | $23,786 |
| Total Adjustments | – | – | −1.5 | – | 30 | 584 |
| Estimated Salary Savings | – | −15.2 | −15.6 | – | −674 | −698 |
| Net Totals, Salaries and Wages | – | 452.4 | 450.5 | – | $22,592 | $23,672 |
| Staff Benefits | – | – | – | – | 4,084 | 4,179 |
| Totals, Personal Services | – | 452.4 | 450.5 | – | $26,676 | $27,851 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $10,493 | $10,293 |
| TOTALS, EXPENDITURES | | | | – | $37,169 | $38,144 |
| Distributed to Bureaus | | | | – | −22,824 | −19,253 |
| NET TOTALS, EXPENDITURES | | | | – | $14,345 | $18,891 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 467.6 | 467.6 | – | $23,236 | $23,786 |
| Salary adjustments | – | – | – | – | 30 | 208 |
| Totals, Adjusted Authorized Positions | – | 467.6 | 467.6 | – | $23,266 | $23,994 |
| Reduction per Section 31.50: | | | | Salary Range | | |
| Staff Mgt Auditor | – | (−1.0) | (−1.0) | – | – | – |
| Staff Svcs Mgr I | – | (−2.0) | (−2.0) | – | – | – |
| Assoc Adm Analyst-Acctg | – | (−0.8) | (−0.8) | – | – | – |
| Assoc Govtl Prog Analyst | – | (−1.0) | (−1.0) | – | – | – |
| Staff Svcs Analyst-Gen | – | (−5.5) | (−5.5) | – | – | – |
| Prog Techn II | – | (−1.0) | (−1.0) | – | – | – |
| Ofc Techn-Typing | – | (−1.0) | (−1.0) | – | – | – |
| Totals | – | (−12.3) | (−12.3) | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Assoc Budget Analyst | – | – | −1.0 | $3,915–4,759 | – | – |
| Assoc Govtl Prog Analyst | – | – | −3.0 | 3,915–4,759 | – | – |
| Acctg Techn | – | – | −1.0 | 2,348–2,855 | – | – |
| Prog Techn II | – | – | −1.0 | 2,348–2,855 | – | – |
| Ofc Asst-Typing | – | – | −2.0 | 1,908–2,465 | – | – |
| Totals | – | – | −8.0 | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Proposed New Positions: | | | | Salary Range | | |
| C.E.A. II | – | – | 1.0 | $6,954–7,668 | – | $108 |
| Staff Counsel III | – | – | 1.0 | 6,573–8,111 | – | 89 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Consumer Svcs Rep | – | – | 1.5 | 3,040–3,694 | – | 61 |
| Mgt Svcs Techn | – | – | 1.0 | 2,220–3,049 | – | 30 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Committee Members | – | – | – | 100/day | – | 5 |
| Totals | – | – | 6.5 | – | – | $376 |
| Total Adjustments | – | – | −1.5 | – | $30 | $584 |
| TOTALS, SALARIES AND WAGES | – | 467.6 | 466.1 | – | $23,266 | $24,370 |

### 35.10.015 Communications and Education Division

The Communications and Education Division provides the primary public affairs function for the boards, bureaus, and divisions of the Department of Consumer Affairs by developing consumer and industry educational material in the form of brochures, newsletters for licensees, fact sheets, reports, and other materials. The Division also conducts large statewide media campaigns, as needed.

### Authority

Business and Professions Code Sections 335–336.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 14.5 | 15.4 | – | $1,315 | $1,401 |
| *0001 General Fund* | | | | – | – | *79* |
| *0702 Consumer Affairs Fund-Distributed to Bureaus* | | | | – | *763* | *766* |
| *0995 Reimbursements* | | | | – | *552* | *556* |

### SUMMARY BY OBJECT
### Communications and Education Division
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 15.0 | 15.0 | – | $802 | $822 |
| Total Adjustments | – | – | 1.0 | – | – | 68 |
| Estimated Salary Savings | – | −0.5 | −0.6 | – | −26 | −29 |
| Net Totals, Salaries and Wages | – | 14.5 | 15.4 | – | $776 | $861 |
| Staff Benefits | – | – | – | – | 163 | 176 |
| Totals, Personal Services | – | 14.5 | 15.4 | – | $939 | $1,037 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $376 | $364 |
| TOTALS, EXPENDITURES | | | | – | $1,315 | $1,401 |
| Distributed to Bureaus | | | | – | −763 | −766 |
| NET TOTALS, EXPENDITURES | | | | – | $552 | $635 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 15.0 | 15.0 | – | $802 | $822 |
| Salary adjustments | – | – | – | – | – | 5 |
| Totals, Adjusted Authorized Positions | – | 15.0 | 15.0 | – | $802 | $827 |
| Reduction per Section 31.50: | | | | | | |
| Staff Svcs Analyst-Gen | – | (−1.0) | (−1.0) | – | – | – |
| Totals | – | (−1.0) | (−1.0) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| Excess Vacant Position Analysis: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Proposed New Positions: | | | | Salary Range | | |
| Info Ofcr II | – | – | 1.0 | $4,727–5,703 | – | $63 |
| Totals | – | – | 1.0 | – | – | $63 |
| Total Adjustments | – | – | 1.0 | – | – | $68 |
| TOTALS, SALARIES AND WAGES | – | 15.0 | 16.0 | – | $802 | $890 |

### 35.10.020 Consumer Relations and Outreach Division

The Division is responsible for operation of the Consumer Information Center and the Outreach Section. The Consumer Information Center responds to consumer and licensee questions, assists in the filing of complaints, distributes requested publications and license applications, and provides referrals to other consumer resources. The Outreach Section provides consumer protection education, develops systemic solutions to consumer problems, and represents consumer interests at local, state, and federal levels.

Effective in 2001–02 complaint mediation positions are redirected from the various Bureaus into the mediation unit within the Consumer Relations and Outreach Division.

### Authority

Business and Professions Code Sections 325–327.

| **Input** | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | – | 74.1 | 140.7 | – | $3,801 | $9,118 |
| *0001 General Fund* | | | | – | *500* | *500* |
| *0702 Consumer Affairs Fund-Distributed to Bureaus* | | | | – | *3,301* | *8,618* |
| *0995 Reimbursements* | | | | – | – | – |

### SUMMARY BY OBJECT
### Consumer Relations and Outreach Division
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 75.6 | 75.6 | – | $2,647 | $2,733 |
| Total Adjustments | – | – | 69.6 | – | 2 | 3,204 |
| Estimated Salary Savings | – | −1.5 | −4.5 | – | −74 | −196 |
| Net Totals, Salaries and Wages | – | 74.1 | 140.7 | – | $2,575 | $5,741 |
| Staff Benefits | – | – | – | – | 462 | 1,103 |
| Totals, Personal Services | – | 74.1 | 140.7 | – | $3,037 | $6,844 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $764 | $2,274 |
| TOTALS, EXPENDITURES | | | | – | $3,801 | $9,118 |
| Distributed to Bureaus | | | | – | −3,301 | −8,618 |
| NET TOTALS, EXPENDITURES | | | | – | $500 | $500 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 75.6 | 75.6 | – | $2,647 | $2,733 |
| Salary adjustments | – | – | – | – | 2 | 20 |
| Totals, Adjusted Authorized Positions | – | 75.6 | 75.6 | – | $2,649 | $2,753 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions transferred from Smog Check Program and Consumer Protection Operation (Mediation): | | | | Salary Range | | |
| Staff Svcs Mgr II-Sup | – | – | 2.0 | $4,772–5,757 | – | 140 |
| Staff Svcs Mgr I | – | – | 6.0 | 4,346–5,243 | – | 363 |
| Prog Rep II-Spec | – | – | 2.0 | 3,984–4,807 | – | 116 |
| Assoc Govtl Prog Analyst | – | – | 2.0 | 3,764–4,576 | – | 108 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Prog Rep I | – | – | 2.0 | $3,630–4,371 | – | $105 |
| Staff Svcs Analyst-Gen | – | – | 5.0 | 2,411–3,805 | – | 218 |
| Consumer Svcs Rep | – | – | 39.6 | 2,923–3,552 | – | 1,678 |
| Communications Opr | – | – | 1.0 | 2,278–3,132 | – | 39 |
| Mgmt Svcs Techn | – | – | 2.0 | 2,135–2,932 | – | 70 |
| Ofc Techn-Typing | – | – | 8.0 | 2,258–2,745 | – | 265 |
| Temporary Help | – | – | – | – | – | 74 |
| Overtime | – | – | – | – | – | 8 |
| Totals, Workload and Administrative Adjustments | – | – | 69.6 | – | – | $3,184 |
| Total Adjustments | – | – | 69.6 | – | $2 | $3,204 |
| TOTALS, SALARIES AND WAGES | – | 75.6 | 145.2 | – | $2,649 | $5,937 |

### 35.10.025 Division of Investigation

The Division of Investigation provides centralized investigative services for 23 licensing agencies within the Department of Consumer Affairs. The Division's mission is to protect public health and safety by providing objective, timely and cost-effective investigations regarding allegations of misconduct by licensees of client agencies and to develop information for filing criminal, administrative and civil actions by or on behalf of these agencies.

### Authority

Business and Professions Code Sections 159.5–160.

| Input | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Expenditures | – | 79.9 | 80.8 | – | $6,428 | $6,552 |
| *0001 General Fund* | | | | – | – | *94* |
| *0702 Consumer Affairs Fund-Distributed to Bureaus* | | | | – | *1,346* | *892* |
| *0995 Reimbursements* | | | | – | *5,082* | *5,566* |

### SUMMARY BY OBJECT
### Division of Investigation
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equal Sch. 7A) | – | 82.7 | 82.7 | – | $4,601 | $4,693 |
| Total Adjustments | – | – | 1.0 | – | 2 | 86 |
| Estimated Salary Savings | – | −2.8 | −2.9 | – | −143 | −147 |
| Net Totals, Salaries and Wages | – | 79.9 | 80.8 | – | $4,460 | $4,632 |
| Staff Benefits | – | – | – | – | 992 | 1,010 |
| Totals, Personal Services | – | 79.9 | 80.8 | – | $5,452 | $5,642 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $976 | $910 |
| TOTALS, EXPENDITURES | | | | – | $6,428 | $6,552 |
| Distributed to Bureaus | | | | – | −1,346 | −892 |
| NET TOTALS, EXPENDITURES | | | | – | $5,082 | $5,660 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | 82.7 | 82.7 | – | $4,601 | $4,693 |
| Salary adjustments | – | – | – | – | 2 | 32 |
| Totals, Adjusted Authorized Positions | – | 82.7 | 82.7 | – | $4,603 | $4,725 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

| Excess Vacant Position Analysis: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Proposed New Positions: | | | | Salary Range | | |
| Sr Investigator | – | – | 1.0 | $4,143–4,999 | – | $54 |
| Totals | – | – | 1.0 | – | – | $54 |
| Total Adjustments | – | – | 1.0 | – | $2 | $86 |
| TOTALS, SALARIES AND WAGES | – | 82.7 | 83.7 | – | $4,603 | $4,779 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 002 Budget Act appropriation (expenditures) | – | $500 | $1,894 |

### 0702 Consumer Affairs Fund, Professions and Vocations Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 010 Budget Act appropriation | $187,436 | – | – |
| 002 Budget Act appropriation | – | 0 [1] | 0 [1] |
| Allocation for employee compensation | 6,398 | – | – |
| Budget Adjustment | – | – | – |
| Allocation for Year 2000 per Item 9904-001-0988 | 3,488 | – | – |
| Adjustment per Section 3.60 | –3,769 | – | – |
| Transfer to Legislative Claims (9670) | –4 | – | – |
| Totals Available | $193,549 | – | – |
| Unexpended balance, estimated savings | –77,970 | – | – |
| TOTALS, EXPENDITURES | $115,579 | – | – |
| Less funding provided by: | | | |
| 0069 State Board of Barbering and Cosmetology Contingent Fund | –8,543 | – | – |
| 0166 Consumer Affairs-Certification Account | –583 | – | – |
| 0208 Hearing Aid Dispensers Fund | –455 | – | – |
| 0239 Private Security Services Fund | –4,840 | – | – |
| 0260 Nursing Home Administrator's State License Examining Board Fund | –364 | – | – |
| 0305 Private Postsecondary Education Administration Fund | –6,588 | – | – |
| 0325 Electronic and Appliance Repair Fund | –1,525 | – | – |
| 0376 Speech-Language Pathology and Audiology Fund | –457 | – | – |
| 0421 Vehicle Inspection and Repair Fund | –78,833 | – | – |
| 0582 High Polluter Repair or Removal Account | –6,680 | – | – |
| 0717 Cemetery Fund, Professions and Vocations Fund | –1,037 | – | – |
| 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund | –652 | – | – |
| 0752 Bureau of Home Furnishings and Thermal Insulation Fund | –3,069 | – | – |
| 0769 Private Investigator Fund | –859 | – | – |
| 0890 Federal Trust Fund | –1,018 | – | – |
| Total less funding provided by | –$115,503 | – | – |
| NET TOTALS, EXPENDITURES | $76 | – | – |

[1] Fully reimbursed.

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $24,520 | $19,979 | $23,792 |
| TOTALS, EXPENDITURES, ALL FUNDS | $24,596 | $20,479 | $25,686 |
| TOTALS, EXPENDITURES, ALL 1111 FUNDS (State Operations) | $164,765 | $192,153 | $201,423 |
| TOTALS, EXPENDITURES, ALL 1111 FUNDS (Local Assistance) | $1,039 | $973 | $823 |
| TOTALS, EXPENDITURES, ALL 1111 FUNDS (State Operations and Local Assistance) | $165,804 | $193,126 | $202,246 |

*** Dollars in thousands, except in Salary Range.**

## 1111 DEPARTMENT OF CONSUMER AFFAIRS
## BUREAUS, PROGRAMS, DIVISIONS—Continued

### FUND CONDITION STATEMENT

| 0702 Consumer Affairs Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $621 | $1,009 | $1,009 |
| Prior year adjustments | −616 | – | – |
| Balance, Adjusted | $5 | $1,009 | $1,009 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 250300 Income from Surplus Money Investment Fund | 860 | – | – |
| 213000 Property and natural resources (sale of fixed assets) | 94 | – | – |
| 261900 Escheat of unclaimed checks, warrants, bonds and coupons | 51 | – | – |
| 299000 Other miscellaneous revenue | 3 | – | – |
| Totals, Operating Revenues | $1,008 | – | – |
| Transfers from Other Funds: | | | |
| F00960 Student Tuition Recovery Fund per Item 1111-001-0960, Budget Act of 1999 | 76 | – | – |
| Totals, Transfers from Other Funds | $76 | – | – |
| Totals, Revenues and Transfers | $1,084 | – | – |
| Totals, Resources | $1,089 | $1,009 | $1,009 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations) | 115,579 | – | – |
| 9670 Legislative Claims (State Operations) | 4 | – | – |
| Totals, Disbursements | $115,583 | – | – |
| Expenditure Reductions: | | | |
| 1111 Department of Consumer Affairs-Bureaus, Programs and Divisions (State Operations): | | | |
| Less funding provided by: | | | |
| 0069 State Board of Barbering and Cosmetology Contingent Fund | −8,543 | – | – |
| 0166 Consumer Affairs-Certification Account | −583 | – | – |
| 0208 Hearing Aid Dispensers Fund | −455 | – | – |
| 0239 Private Security Services Fund | −4,840 | – | – |
| 0260 Nursing Home Administrator's State License Examining Board Fund | −364 | – | – |
| 0305 Private Postsecondary Education Administration Fund | −6,588 | – | – |
| 0325 Electronic and Appliance Repair Fund | −1,525 | – | – |
| 0376 Speech-Language Pathology and Audiology Fund | −457 | – | – |
| 0421 Vehicle Inspection and Repair Fund | −78,833 | – | – |
| 0582 High Polluter Repair or Removal Account | −6,680 | – | – |
| 0717 Cemetery Fund, Professions and Vocations Fund | −1,037 | – | – |
| 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund | −652 | – | – |
| 0752 Bureau of Home Furnishings and Thermal Insulation Fund | −3,069 | – | – |
| 0769 Private Investigator Fund | −859 | – | – |
| 0890 Federal Trust Fund | −1,018 | – | – |
| Totals, Expenditure Reductions | −$115,503 | – | – |
| Totals, Expenditures | $80 | – | – |
| FUND BALANCE | $1,009 | $1,009 | $1,009 |

*** Dollars in thousands, except in Salary Range.**

# 1700 DEPARTMENT OF FAIR EMPLOYMENT AND HOUSING

The mission of the Department of Fair Employment and Housing is to protect the people of California from unlawful discrimination in employment, housing and public accommodations, and from the perpetration of acts of hate violence.

## Major Budget Adjustments Proposed for 2001–02

- An augmentation of $106,000 (General Fund) to relocate two of the Department's three district offices in Los Angeles County to increase public access.
- An augmentation of 1.9 personnel years and $151,000 (General Fund) to address workload created by Chapter 291, Statutes of 2000 which requires the Department to assist the public in the identification of prohibited covenants in documents or deeds related to common interest developments.
- An augmentation of $225,000 (General Fund) for educational materials and forums.

## Authority

Government Code Sections 11135–11139.5, 12900 et seq., 19702.5, 19704, 19705, and 50085.5; Civil Code Sections 51 and 51.7; Education Code Sections 44066 and 87402; Labor Code Section 3096.

### SUMMARY OF PROGRAM REQUIREMENTS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 50 Administration of Civil Rights Law | 269.6 | 308.6 | 287.6 | $19,403 | $22,021 | $22,384 |
| *0001 General Fund* | | | | *15,225* | *17,918* | *18,261* |
| *0890 Federal Trust Fund* | | | | *4,163* | *4,088* | *4,108* |
| *0995 Reimbursements* | | | | *15* | *15* | *15* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 269.6 | 324.8 | 324.8 | $13,077 | $15,966 | $16,405 |
| Total Adjustments | – | – | –22.1 | – | – | –670 |
| Estimated Salary Savings | – | –16.2 | –15.1 | – | –798 | –785 |
| Net Totals, Salaries and Wages | 269.6 | 308.6 | 287.6 | $13,077 | $15,168 | $14,950 |
| Staff Benefits | – | – | – | 2,701 | 3,337 | 3,289 |
| Totals, Personal Services | 269.6 | 308.6 | 287.6 | $15,778 | $18,505 | $18,239 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $3,625 | $3,516 | $4,145 |
| TOTALS, EXPENDITURES | | | | $19,403 | $22,021 | $22,384 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $14,803 | $17,994 | $18,261 |
| Allocation for employee compensation | 1,091 | 25 | – |
| Adjustment per Section 3.60 | –665 | –108 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 7 | – |
| Totals Available | $15,229 | $17,918 | $18,261 |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES | $15,225 | $17,918 | $18,261 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,905 | $4,107 | $4,108 |
| Allocation for employee compensation | 279 | 6 | – |
| Adjustment per Section 3.60 | –175 | –25 | – |
| Budget adjustment | 154 | – | – |
| TOTALS, EXPENDITURES | $4,163 | $4,088 | $4,108 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $15 | $15 | $15 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $19,403 | $22,021 | $22,384 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1700 DEPARTMENT OF FAIR EMPLOYMENT AND HOUSING—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 269.6 | 324.8 | 324.8 | $13,077 | $15,966 | $16,405 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Executive Office: | | | | Salary Range | | |
| Ofc Techn-Typing | – | – | –1.0 | 2,348–2,855 | – | –28 |
| Administrative Services: | | | | | | |
| Fiscal Resources Management: | | | | | | |
| Acctg Techn | – | – | –1.0 | 2,348–2,855 | – | –28 |
| Bus Svc Asst-Spec | – | – | –1.0 | 2,220–2,700 | – | –27 |
| Enforcement of Anti-Discrimination Laws: | | | | | | |
| FEH Consultant III-Spec | – | – | –1.0 | 4,301–5,228 | – | –52 |
| FE&H Consultant I | – | – | –13.1 | 2,507–3,049 | – | –394 |
| Ofc Techn-Typing | – | – | –1.0 | 2,348–2,855 | – | –28 |
| Ofc Asst-Typing | – | – | –3.0 | 1,908–2,319 | – | –68 |
| Legal Program: | | | | | | |
| Consultant III-Spec | – | – | –1.0 | 4,301–5,228 | – | –52 |
| FEH Counsel | – | – | –1.0 | 3,651–4,008 | – | –44 |
| Legal Secty | – | – | –1.0 | 2,704–3,286 | – | –32 |
| Total | – | – | –24.1 | – | – | –$753 |
| Proposed New Positions: | | | | | | |
| Consultant II | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $83 |
| Total Adjustments | – | – | –22.1 | – | – | –$670 |
| TOTALS, SALARIES AND WAGES | 269.6 | 324.8 | 302.7 | $13,077 | $15,966 | $15,735 |

# 1705 FAIR EMPLOYMENT AND HOUSING COMMISSION

The Fair Employment and Housing Commission is a quasi-judicial body responsible for the enforcement of State civil rights laws against discrimination in employment, housing, public accommodations, and against hate violence. The Commission also enforces state laws providing family and medical leave. The seven members of the Commission are appointed by the Governor. The Commission holds hearings and issues decisions on accusations prosecuted before it by the Department of Fair Employment and Housing, interprets civil rights statutes through regulations and provides a forum for civil rights concerns.

The objective of the Commission is to reduce social tensions and ensure equal opportunity in employment, housing and public accommodations by preventing and eliminating discrimination based on race, religious creed, color, national origin, ancestry, sex, marital status, physical and mental disability, medical condition and age over 40, and to address issues of hate violence.

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $108,000 to establish Advisory Committees to investigate and make recommendations to the Commission on specific topics under the Fair Employment and Housing Act.

### Authority

Government Code Section 12900 et seq.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Fair Employment and Housing Commission | 10.6 | 11.8 | 11.8 | $1,290 | $1,415 | $1,529 |
| *0001 General Fund* | | | | *1,250* | *1,264* | *1,378* |
| *0995 Reimbursements* | | | | *40* | *151* | *151* |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS<br>PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 10.6 | 11.8 | 11.8 | $708 | $829 | $843 |
| Net Totals, Salaries and Wages | 10.6 | 11.8 | 11.8 | $708 | $829 | $843 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1705 FAIR EMPLOYMENT AND HOUSING COMMISSION—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Staff Benefits | – | – | – | $115 | $234 | $228 |
| Totals, Personal Services | 10.6 | 11.8 | 11.8 | $823 | $1,063 | $1,071 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $467 | $352 | $458 |
| TOTALS, EXPENDITURES | | | | $1,290 | $1,415 | $1,529 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $1,232 | $1,272 | $1,378 |
| Allocation for employee compensation | 69 | 2 | – |
| Adjustment per Section 3.60 | –46 | –10 | – |
| Totals Available | $1,255 | $1,264 | $1,378 |
| Unexpended balance, estimated savings | –5 | – | – |
| TOTALS, EXPENDITURES | $1,250 | $1,264 | $1,378 |
| **0995 Reimbursements** | | | |
| Reimbursements | $40 | $151 | $151 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,290 | $1,415 | $1,529 |

# 1730 FRANCHISE TAX BOARD

The mission of the Franchise Tax Board is to collect the proper amount of tax revenue and operate its programs at the least cost; serve the public by continually improving the quality of its products and services; and perform with integrity, efficiency and fairness, warranting the highest degree of public confidence.

The Board consists of the State Controller, the Chairperson of the State Board of Equalization and the Director of Finance. A board-appointed executive officer implements the policies and performs duties delegated by the Board and delineated in statute.

The Franchise Tax Board administers the Personal Income Tax and Bank & Corporation Tax laws which consist of self-assessment, filing enforcement, audit and tax collection activities. These activities contribute approximately 68 percent of the General Fund's major tax and license revenue.

The Franchise Tax Board also collects delinquent debts on behalf of other governmental agencies. The Child Support Collection Program enables the Board to collect child support payments on behalf of county district attorneys; the Department of Motor Vehicle Collections Program enables the collection of delinquent motor vehicle registration fees; and the Court Collection Program provides for the collection of court imposed fines, penalties, forfeitures, and restitution orders. Within the Contract Work Program, the Board collects delinquent fees, wages, penalties and interest owed to the Department of Industrial Relations. Within the Inter-agency Intercept agreement, the Board redirects tax refunds and lottery winnings of individuals who owe debts to other governmental agencies within California.

The Franchise Tax Board also administers the Senior Citizens Property Tax Assistance Program. In 2001–02, the Board will authorize approximately $140.6 million in disbursements to assist low income senior and disabled homeowners and renters (refer to 9100 Tax Relief).

The Political Reform Audit Program, authorized by the Political Reform Act of 1974, enables the Franchise Tax Board to conduct political audits of candidates, campaign committees, lobbying entities, political action committees and ballot measures on behalf of the Fair Political Practices Commission.

### SUMMARY OF PROGRAM REQUIREMENTS

| | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 10 | Tax Programs | 4,599.9 | 4,617.0 | 4,510.3 | $341,708 | $353,155 | $357,993 |
| 20 | Homeowners and Renters Assistance | 110.9 | 64.1 | 64.1 | 6,037 | 5,991 | 6,011 |
| 30 | Political Reform Audit | 16.0 | 17.0 | 17.0 | 1,242 | 1,296 | 1,324 |
| 40 | Child Support Collections | 103.5 | 192.1 | 192.1 | 7,815 | 22,931 | 23,051 |
| 45 | Child Support Automation | 22.9 | 110.1 | 110.1 | 5,720 | 18,700 | 19,606 |
| 50 | DMV Collections | 47.5 | 109.5 | 65.1 | 4,952 | 8,360 | 5,131 |
| 60 | Court Collection Program | 28.6 | 34.7 | 34.7 | 1,880 | 3,112 | 3,255 |
| 70 | Contract Work | 105.7 | 118.0 | 54.5 | 6,032 | 7,855 | 4,057 |
| 00 | Lease Revenue Bond Payments | – | – | – | 7,441 | 7,424 | 7,421 |
| 80 | Administration—distributed to other programs | 353.8 | 273.2 | 273.2 | (19,756) | (22,670) | (22,670) |
| 98 | State-Mandated Local Programs | – | – | – | – | 8,720 | – |
| TOTALS, PROGRAMS | | 5,388.8 | 5,535.7 | 5,321.1 | $382,827 | $437,544 | $427,849 |
| Less amount funded in Item 8640-001-001 (Political Reform Act of 1974) | | (15.9) | (16.8) | (16.8) | (1,242) | (1,296) | –1,324 |
| NET TOTALS, PROGRAMS | | 5,388.8 | 5,535.7 | 5,321.1 | $382,827 | $437,544 | $426,525 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 0001 | General Fund | $361,288 | $388,132 | $385,114 |
| 0042 | State Highway Account, State Transportation Fund | – | 1 | 1 |
| 0044 | Motor Vehicle Account, State Transportation Fund | 1,720 | 2,903 | 1,771 |
| 0064 | Motor Vehicle License Fee Account, Transportation Tax Fund | 3,232 | 5,456 | 3,359 |
| 0120 | California Mexican American Veteran's Memorial Beautification and Enhancement Account | 1 | 4 | 4 |
| 0122 | Emergency Food Assistance Program Fund | 5 | 6 | 6 |
| 0167 | Delinquent Tax Collection Fund | 231 | 404 | 404 |
| | Less funding provided by the General Fund | −231 | −404 | −404 |
| 0200 | Fish and Game Preservation Fund | 8 | 13 | 13 |
| 0242 | Court Collection Account | 1,880 | 3,112 | 3,255 |
| 0803 | State Children's Trust Fund | 8 | 11 | 11 |
| 0823 | California Alzheimer's Disease and Related Disorders Research Fund | 7 | 11 | 11 |
| 0876 | D.A.R.E. California (Drug Abuse Resistance Education) Fund | 3 | 6 | 6 |
| 0886 | California Seniors Special Fund | 1 | 4 | 4 |
| 0919 | Birth Defects Research Fund | 4 | 5 | 5 |
| 0945 | California Breast Cancer Research Fund | 7 | 7 | 7 |
| 0974 | California Peace Officer Memorial Foundation Fund | 3 | 5 | 5 |
| 0975 | California Public Schools Library Protection Fund | 6 | 11 | 11 |
| 0979 | California Firefighters' Memorial Fund | 3 | 7 | 7 |
| 0983 | California Fund for Senior Citizens | 4 | 7 | 7 |
| 0995 | Reimbursements | 14,647 | 37,843 | 32,928 |

## 10 TAX PROGRAM

### Program Objectives Statement

The Franchise Tax Board is responsible for administering the Revenue and Taxation Code by reasonably interpreting and impartially applying the legislatively enacted laws that protect the General Fund's revenue base. Tax program activities include taxpayer assistance and tax return processing, filing enforcement, audit and tax collection functions. The Program also includes the collection and distribution of voluntary contributions to, and on behalf of certain non-profit charitable organizations.

### Major Budget Adjustment Included in 2000–01

- Augmentation of $4,210,000 and 57.2 positions (56.5 personnel years) to implement the provisions of AB 480 (Dependent Care Credit) and to enhance fraud prevention, detection, and investigations.

### Major Budget Adjustments Proposed for 2001–02

- Augmentation of $1,052,000 to upgrade computer network hardware.
- Augmentation of $778,000 and 6 positions (5.7 personnel years) to process increased legal support workloads.
- Augmentation of $2,992,000 to fund equipment, software, and maintenance costs to improve the capabilities of the Data Center.
- Augmentation of $3,784,000 and 65.9 positions (64.4 personnel years) to implement the full year impact of AB 480 (Dependent Care Credit) and fraud prevention, detection, and investigations. These positions are a redirection of resources from the elimination of the Student Loan Collection program.
- Savings of 20.5 positions (20.5 personnel years) and $577,000 to reflect the decrease of printing, mailing, and receiving paper tax returns in favor of electronically filed returns.
- Reduction of $915,000 of one-time vendor payment resources for the Integrated Non-filer Compliance Project.

### Authority

Government Code Sections 15700–15702; Revenue and Taxation Code Sections 17001–18180, 18401–19802, 21001–21027, 23001–25141, 38001–38021.

## 20 HOMEOWNERS AND RENTERS ASSISTANCE PROGRAM

### Program Objectives Statement

The Homeowners and Renters Assistance (HRA) program authorizes partial repayment of property taxes or rent paid by eligible senior citizens, disabled, and blind individuals. Activities include designing and distributing claim forms and instructions, providing advisory services to claimants, and processing claims.

### Authority

Government Code Sections 15700–15702; Revenue and Taxation Code Sections 20501–20564, 20641–20646.

## 30 POLITICAL REFORM AUDIT PROGRAM

### Program Objectives Statement

The Political Reform Audit Program's objective is to determine the accuracy and completeness of political statement reports filed with the Secretary of State, and compliance with disclosures and record keeping requirements.

On behalf of the Fair Political Practices Commission, and in compliance with the Political Reform Act of 1974, the Franchise Tax Board conducts randomly selected field audits of committees supporting and opposing political candidates and statewide measures, as well as any state or county central committee or independent committee which spends $10,000 or more.

### Authority

Government Code Sections 90000–90007.

*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

### 40 CHILD SUPPORT COLLECTIONS

#### Program Objectives Statement

The program objective is to continue the administration of the State's collections of delinquent child support payments on behalf of custodial parents by utilizing the Franchise Tax Board's collection capabilities currently used to collect personal income tax. Chapter 478, Statutes of 1999, as amended by Chapter 480, Statutes of 1999, requires all California counties to participate in the Statewide Child Support Delinquency Enforcement Program and requires counties to transfer to the Franchise Tax Board all child support accounts greater than $100 that are more than 60 days in arrears. The program is an enforcement tool and resource for the Department of Child Support Services and local child support agencies.

#### Authority

Revenue and Taxation Code Sections 19271–19274, 19548; Family Code Section 17501.

### 45 CHILD SUPPORT AUTOMATION PROGRAM

#### Program Objectives Statement

The Child Support Automation Program's objective is to procure, develop, implement and maintain the new statewide child support automated system to locate non-custodial parents, establish and enforce child support obligations, collect and distribute support payments, and comply with federal distribution requirements.

#### Authority

Welfare and Institutions Code Chapter 4 (commencing with Section 10080) of Part 1, Division 9.

### 50 DEPARTMENT OF MOTOR VEHICLES COLLECTION PROGRAM

#### Program Objectives Statement

This program increases collections of delinquent motor vehicle license fees, taxes and penalties on behalf of the Department of Motor Vehicles by utilizing the Franchise Tax Board's automated collection capabilities that are used to collect personal income tax and non-tax debts.

#### Major Budget Adjustment Proposed for 2001–02

- Reduction of $3,377,000 and 44.4 positions (44.4 personnel years) for the Department of Motor Vehicles Collections Program due to declining workloads.

#### Authority

Revenue and Taxation Code Sections 10876–10878.

### 60 COURT COLLECTIONS PROGRAM

#### Program Objectives Statement

The Court Collections Program increases collections of delinquent fines, penalties and orders imposed by, and on behalf of, superior, municipal and justice courts. The Franchise Tax Board utilizes the same automated collection capabilities that are used to collect personal income tax and non-tax debts.

Pursuant to Chapter 1242, Statutes of 1994, all amounts collected by the Franchise Tax Board above the Board's administrative costs of collection are, per Revenue and Taxation Code Section 19282, to be transferred by the State Controller to the counties to which the funds were originally owed. For 2001–02, it is projected that about $21.6 million will be collected for transfer to the counties.

#### Authority

Revenue and Taxation Code Sections 19280–19283.

### 70 CONTRACT WORK

#### Program Objectives Statement

The objective of the Contract Work Program is to provide cost-effective goods and services to other governmental entities through contractual agreements. Such goods and services include rental space to on-site child care and cafeteria entities, data processing services for small governmental agencies and delinquent debt collection services for the Department of Industrial Relations. Associated expenditures incurred by the Franchise Tax Board are reimbursed by the respective entities.

#### Major Budget Adjustment Proposed for 2001–02

- Reduction of $3,762,000 and 66.2 positions (63.5 personnel years) for the Student Loan Collection Program.

### 80 ADMINISTRATION PROGRAM

#### Program Objectives Statement

The Administration Program's objective is to provide executive leadership under the general direction of the Franchise Tax Board by directing departmental operations, developing and executing policies, making decisions concerning program operations and ensuring that the Board's programs and services are carried out in accordance with Government Code Sections 15701 and 15702. The program also provides the Board with the personnel, administration, training, budgeting and accounting services necessary to ensure that functions are performed with integrity, efficiency and fairness.

*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

### 98 STATE-MANDATED LOCAL PROGRAMS

**Program Objectives Statement**

This program provides funding, pursuant to Section 6 of Article XIIIB of the California Constitution, to reimburse local entities for costs incurred in complying with state mandates.

---

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**

**10 TAX PROGRAMS**

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$341,648* | *$353,058* | *$357,896* |
| *0120 California Mexican American Veterans' Memorial Beautification and Enhancement Account* | *1* | *4* | *4* |
| *0122 Emergency Food Assistance Program Fund* | *5* | *6* | *6* |
| *0167 Delinquent Tax Collection Fund* | *231* | *404* | *404* |
| *Less funding provided by the General Fund* | *−231* | *−404* | *−404* |
| *0200 Fish and Game Preservation Fund* | *8* | *13* | *13* |
| *0803 State Children's Trust Fund* | *8* | *11* | *11* |
| *0823 California Alzheimer's Disease and Related Disorders Research Fund* | *7* | *11* | *11* |
| *0876 D.A.R.E. California (Drug Abuse Resistance Education) Fund* | *3* | *6* | *6* |
| *0886 California Seniors Special Fund* | *1* | *4* | *4* |
| *0919 California Birth Defects Research Fund* | *4* | *5* | *5* |
| *0945 California Breast Cancer Research Fund* | *7* | *7* | *7* |
| *0974 California Peace Officer Memorial Foundation Fund* | *3* | *5* | *5* |
| *0975 California Public Schools Library Protection Fund* | *6* | *11* | *11* |
| *0979 California Firefighters' Memorial Fund* | *3* | *7* | *7* |
| *0983 California Fund for Senior Citizens* | *4* | *7* | *7* |
| Totals, State Operations | $341,708 | $353,155 | $357,993 |

**ELEMENT REQUIREMENTS**

| | | | |
|---|---|---|---|
| 10.10 Personal Income Tax | 235,761 | 245,127 | 248,128 |
| State Operations: | | | |
| *0001 General Fund* | *235,701* | *245,030* | *248,031* |
| *0120 California Mexican American Veterans' Memorial Beautification and Enhancement Account* | *1* | *4* | *4* |
| *0122 Emergency Food Assistance Program Fund* | *5* | *6* | *6* |
| *0167 Delinquent Tax Collection Fund* | *231* | *404* | *404* |
| *Less funding provided by the General Fund* | *−231* | *−404* | *−404* |
| *0200 Fish and Game Preservation Fund* | *8* | *13* | *13* |
| *0803 State Children's Trust Fund* | *8* | *11* | *11* |
| *0823 California Alzheimer's Disease and Related Disorders Research Fund* | *7* | *11* | *11* |
| *0876 D.A.R.E. California (Drug Abuse Resistance Education) Fund* | *3* | *6* | *6* |
| *0886 California Seniors Special Fund* | *1* | *4* | *4* |
| *0919 California Birth Defects Research Fund* | *4* | *5* | *5* |
| *0945 California Breast Cancer Research Fund* | *7* | *7* | *7* |
| *0974 California Peace Officer Memorial Foundation Fund* | *3* | *5* | *5* |
| *0975 California Public Schools Library Protection Fund* | *6* | *11* | *11* |
| *0979 California Firefighters' Memorial Fund* | *3* | *7* | *7* |
| *0983 California Fund for Senior Citizens* | *4* | *7* | *7* |
| 10.20 Bank and Corporation Tax | 105,895 | 108,013 | 109,850 |
| State Operations: | | | |
| *0001 General Fund* | *105,895* | *108,013* | *109,850* |
| 10.25 Non-Admitted Insurance Tax | 52 | 15 | 15 |
| State Operations: | | | |
| *0001 General Fund* | *52* | *15* | *15* |

**PROGRAM REQUIREMENTS**

**20 HOMEOWNERS AND RENTERS ASSISTANCE**

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$6,037* | *$5,991* | *$6,011* |
| Totals, State Operations | $6,037 | $5,991 | $6,011 |

*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

### PROGRAM REQUIREMENTS
### 30 POLITICAL REFORM AUDIT

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$1,242* | *$1,296* | *–* |
| *Amount funded in Item 8640-001-001 (Political Reform Act of 1974)* | *(1,242)* | *(1,296)* | *$1,324* |
| Totals, State Operations | $1,242 | $1,296 | $1,324 |

### PROGRAM REQUIREMENTS
### 40 CHILD SUPPORT COLLECTIONS

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$3,674* | *$7,799* | *$7,820* |
| *0995 Reimbursements* | *4,141* | *15,132* | *15,231* |
| Totals, State Operations | $7,815 | $22,931 | $23,051 |

### PROGRAM REQUIREMENTS
### 45 CHILD SUPPORT AUTOMATION

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$1,398* | *$3,974* | *$6,066* |
| *0995 Reimbursements* | *4,322* | *14,726* | *13,540* |
| Totals, State Operations | $5,720 | $18,700 | $19,606 |

### PROGRAM REQUIREMENTS
### 50 DMV COLLECTIONS

| State Operations: | | | |
|---|---|---|---|
| *0042 State Highway Account, State Transportation Fund* | *–* | *$1* | *$1* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *$1,720* | *2,903* | *1,771* |
| *0064 Motor Vehicle License Fee Account, Transportation Tax Fund* | *3,232* | *5,456* | *3,359* |
| Totals, State Operations | $4,952 | $8,360 | $5,131 |

### PROGRAM REQUIREMENTS
### 60 COURT COLLECTION PROGRAM

| State Operations: | | | |
|---|---|---|---|
| *0242 Court Collection Account* | *$1,880* | *$3,112* | *$3,255* |
| Totals, State Operations | $1,880 | $3,112 | $3,255 |

### PROGRAM REQUIREMENTS
### 70 CONTRACT WORK

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *–* | *$48* | *–* |
| *0995 Reimbursements* | *$6,032* | *7,807* | *$4,057* |
| Totals, State Operations | $6,032 | $7,855 | $4,057 |

### PROGRAM REQUIREMENTS
### LEASE REVENUE BOND PAYMENTS

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$7,289* | *$7,246* | *$7,321* |
| *0995 Reimbursements* | *152* | *178* | *100* |
| Totals, State Operations | $7,441 | $7,424 | $7,421 |

### PROGRAM REQUIREMENTS
### 98 STATE-MANDATED LOCAL PROGRAMS

| Local Assistance: | | | |
|---|---|---|---|
| Chapter 177, Statutes of 2000 (State-Mandates) | – | $8,720 | – |
| Totals, Local Assistance | – | $8,720 | – |

### NET TOTALS, EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $382,827 | $428,824 | $427,849 |
| Local Assistance | – | 8,720 | – |
| Less amount funded in Item 8640-001-001 (Political Reform Act of 1974) | (1,242) | (1,296) | –1,324 |
| TOTALS, EXPENDITURES | $382,827 | $437,544 | $426,525 |

*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 5,388.8 | 5,816.6 | 5,801.3 | $227,443 | $264,230 | $270,931 |
| Total Adjustments | – | 57.2 | –46.2 | – | 3,050 | 1,112 |
| Estimated Salary Savings | – | –338.1 | –434.0 | – | –15,377 | –20,183 |
| Net Totals, Salaries and Wages | 5,388.8 | 5,535.7 | 5,321.1 | $227,443 | $251,903 | $251,860 |
| Staff Benefits | – | – | – | 43,266 | 50,373 | 45,660 |
| Totals, Personal Services | 5,388.8 | 5,535.7 | 5,321.1 | $270,709 | $302,276 | $297,520 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $104,446 | $119,124 | $122,908 |
| CAPITAL COSTS | | | | | | |
| Building Lease/Purchase | | | | 7,672 | 7,424 | 7,421 |
| TOTALS, EXPENDITURES | | | | $382,827 | $428,824 | $427,849 |
| Less amount funded in Political Reform Act of 1974 | | | | (1,242) | (1,296) | –1,324 |
| NET TOTALS, EXPENDITURES | | | | $382,827 | $428,824 | $426,525 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $341,095 | $369,344 | $377,389 |
| 002 Budget Act appropriation (debt service) | 5,786 | 7,247 | 7,321 |
| Revenue and Taxation Code Section 19378 (transfer to Delinquent Tax Collection Fund-0167) | 231 | 404 | 404 |
| Allocation for employee compensation | 21,454 | 944 | – |
| Allocation for contingencies or emergencies | 3,210 | 4,210 | – |
| Allocation from Chapter 479, Statutes of 1999 | 6,600 | – | – |
| Adjustment per Section 3.60 | –12,808 | –1,685 | – |
| Adjustment per Section 4.50 | 1,487 | – | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 39 | – |
| Allocation per Section 16.00 | 177 | – | – |
| Transfer from Budget Act Item 8640-001-001 (Political Reform Act of 1974) | 1,242 | 1,296 | – |
| Transfer from Department of Social Services per Chapter 479, Statutes of 1999, Section 10 | 1,924 | – | – |
| Transfer to Legislative Claims (9670) | –1 | – | – |
| Totals Available | $370,397 | $381,799 | $385,114 |
| Unexpended balance, estimated savings | –9,109 | –2,387 | – |
| TOTALS, EXPENDITURES | $361,288 | $379,412 | $385,114 |

### 0042 State Highway Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1 | $1 | $1 |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | – | $1 | $1 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,844 | $2,926 | $1,771 |
| Allocation for employee compensation | 137 | 3 | – |
| Adjustment per Section 3.60 | –88 | –26 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Totals Available | $2,895 | $2,903 | $1,771 |
| Unexpended balance, estimated savings | –1,175 | – | – |
| TOTALS, EXPENDITURES | $1,720 | $2,903 | $1,771 |

*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

### 0064 Motor Vehicle License Fee Account, Transportation Tax Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $5,339 | $5,495 | $3,359 |
| Allocation for employee compensation | 253 | 6 | – |
| Adjustment per Section 3.60 | −163 | −45 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| Totals Available | $5,433 | $5,456 | $3,359 |
| Unexpended balance, estimated savings | −2,201 | – | – |
| TOTALS, EXPENDITURES | $3,232 | $5,456 | $3,359 |

### 0120 California Mexican American Veterans' Memorial Beautification and Enhancement Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $4 | $4 | $4 |
| Unexpended balance, estimated savings | −3 | – | – |
| TOTALS, EXPENDITURES | $1 | $4 | $4 |

### 0122 Emergency Food Assistance Program Fund Enhancement Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $6 | $6 | $6 |
| Unexpended balance, estimate savings | −1 | – | – |
| TOTALS, EXPENDITURES | $5 | $6 | $6 |

### 0167 Delinquent Tax Collection Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Revenue and Taxation Code Section 19378 (as amended by Chapters 26 and 31, Statutes of 1993) | $231 | $404 | $404 |
| Less funding provided by the General Fund | −231 | −404 | −404 |
| TOTALS, EXPENDITURES | – | – | – |

### 0200 Fish and Game Preservation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $13 | $13 | $13 |
| Unexpended balance, estimated savings | −5 | – | – |
| TOTALS, EXPENDITURES | $8 | $13 | $13 |

### 0242 Court Collection Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,490 | $3,121 | $3,255 |
| Allocation for employee compensation | 90 | 6 | – |
| Adjustment per Section 3.60 | −62 | −15 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Totals Available | $2,520 | $3,112 | $3,255 |
| Unexpended balance, estimated savings | −640 | – | – |
| TOTALS, EXPENDITURES | $1,880 | $3,112 | $3,255 |

### 0803 State Children's Trust Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $11 | $11 | $11 |
| Unexpended balance, estimated savings | −3 | – | – |
| TOTALS, EXPENDITURES | $8 | $11 | $11 |

### 0823 California Alzheimer's Disease and Related Disorders Research Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $11 | $11 | $11 |
| Unexpended balance, estimated savings | −4 | – | – |
| TOTALS, EXPENDITURES | $7 | $11 | $11 |

*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

### 0875 California Military Museum Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $6 | – | – |
| Unexpended balance, estimated savings | –6 | – | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0876 D.A.R.E. California (Drug Abuse Resistance Education) Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $6 | $6 | $6 |
| Unexpended balance, estimated savings | –3 | – | – |
| TOTALS, EXPENDITURES | $3 | $6 | $6 |

### 0886 California Seniors Special Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $4 | $4 | $4 |
| Unexpended balance, estimated savings | –3 | – | – |
| TOTALS, EXPENDITURES | $1 | $4 | $4 |

### 0919 Birth Defects Research Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $5 | $5 |
| Allocation for contingencies and emergencies | $8 | – | – |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES | $4 | $5 | $5 |

### 0945 California Breast Cancer Research Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $7 | $7 | $7 |

### 0974 California Peace Officers Memorial Foundation Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $5 | $5 |
| Allocation for contingencies and emergencies | $8 | – | – |
| Unexpended balance, estimated savings | –5 | – | – |
| TOTALS, EXPENDITURES | $3 | $5 | $5 |

### 0975 California Public Schools Library Protection Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $11 | $11 | $11 |
| Unexpended balance, estimated savings | –5 | – | – |
| TOTALS, EXPENDITURES | $6 | $11 | $11 |

### 0979 California Firefighters' Memorial Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $7 | $7 | $7 |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES | $3 | $7 | $7 |

### 0983 California Fund for Senior Citizens [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $7 | $7 | $7 |
| Unexpended balance, estimated savings | –3 | – | – |
| TOTALS, EXPENDITURES | $4 | $7 | $7 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $14,647 | $37,843 | $32,928 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $382,827 | $428,824 | $426,525 |

*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Mandates | – | $8,720 | – |
| NET TOTALS, EXPENDITURES | – | $8,720 | – |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 295 Budget Act appropriation (State Mandates) | $0 [1] | $0 [1] | $0 [1] |
| Chapter 574, Statutes of 1999 (State Mandates) | 5,794 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 8,720 | – |
| Adjustment per Government Code Section 17613 | –5,794 | – | – |
| TOTALS, EXPENDITURES | – | $8,720 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $382,827 | $437,544 | $426,525 |

[1] Suspended mandates.

### FUND CONDITION STATEMENT
### 0167 Delinquent Tax Collection Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | $231 | $404 | $404 |
| Expenditure Reductions: | | | |
| Less funding provided by the General Fund per Revenue and Taxation Code Section 19378 (Chapters 26 and 31, Statutes of 1993) (State Operations) | –231 | –404 | –404 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

### 0242 Court Collection Account [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $406 | $1,089 | $1,099 |
| Prior year adjustments | 286 | – | – |
| Balance, Adjusted | $692 | $1,089 | $1,099 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161000 Escheat of unclaimed checks & warrants | 1 | 1 | 1 |
| 161900 Other revenue-Cost Recoveries | 15,112 | 21,600 | 21,600 |
| Totals, Revenues and Transfers | $15,113 | $21,601 | $21,601 |
| Totals, Resources | $15,805 | $22,690 | $22,700 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | 1,880 | 3,112 | 3,255 |
| Allocation to counties | 12,836 | 18,479 | 18,479 |
| Totals, Disbursements | $14,716 | $21,591 | $21,734 |
| FUND BALANCE | $1,089 | $1,099 | $966 |
| Reserve for economic uncertainties | 1,089 | 1,099 | 966 |

*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 5,388.8 | 5,816.6 | 5,801.3 | $227,443 | $264,230 | $270,931 |
| Salary adjustments | – | – | – | – | 514 | 824 |
| Totals, Adjusted Authorized Positions | 5,388.8 | 5,816.6 | 5,801.3 | $227,443 | $264,744 | $271,755 |
| Workload and Administrative Adjustments: | | | | | | |
| Executive Branch: | | | | Salary Range | | |
| Overtime | – | – | – | – | – | 1,700 |
| Totals, Executive Branch | – | – | – | – | – | $1,700 |
| Reductions in Authorized Positions: | | | | | | |
| Executive Branch: | | | | | | |
| Accountant I-Spec | – | – | −3.0 | 2,554–3,104 | – | −98 |
| Overtime | – | – | – | – | – | −80 |
| Totals, Executive Branch | – | – | −3.0 | – | – | −$178 |
| Legal Branch: | | | | | | |
| Tax Counsel III | – | – | −0.5 | 6,573–8,111 | – | −39 |
| Tax Counsel | – | – | −1.0 | 3,651–4,008 | – | −48 |
| Totals, Legal Branch | – | – | −1.5 | – | – | −$87 |
| Technology and Resources Branch: | | | | | | |
| Sys Software Spec I-Tech | – | – | −2.0 | 4,506–5,437 | – | −118 |
| Assoc Info Sys Analyst | – | – | −1.0 | 4,110–4,997 | – | −60 |
| Computer Opers Spec I | – | – | −1.0 | 3,110–3,737 | – | −37 |
| Mailing Machines Opr II | – | – | −1.0 | 2,357–2,862 | – | −28 |
| Pers Svcs Spec I | – | – | −2.0 | 2,315–2,814 | – | −56 |
| Totals, Technology and Resources Branch | – | – | −7.0 | – | – | −$299 |
| ARTPS Branch: | | | | | | |
| Administrator II | – | – | −1.0 | 4,963–5,987 | – | −68 |
| Administrator I | – | – | −2.0 | 4,520–5,453 | – | −118 |
| Prin Compliance Rep | – | – | −1.0 | 4,301–5,189 | – | −52 |
| Sr Compliance Rep | – | – | −9.0 | 4,110–4,997 | – | −521 |
| Assoc Prog Analyst Spec | – | – | −4.0 | 4,110–4,959 | – | −225 |
| Assoc Info Sys Analyst | – | – | −3.0 | 4,110–4,959 | – | −162 |
| Assoc Pers Analyst | – | – | −1.0 | 3,915–4,724 | – | −49 |
| Assoc Opers Spec | – | – | −1.0 | 3,915–4,724 | – | −49 |
| Compliance Rep, Range A | – | – | −7.0 | 2,851–3,300 | – | −308 |
| Tax Techn, Range B | – | – | −16.0 | 2,507–3,049 | – | −722 |
| Compliance Rep, Range B | – | – | −15.0 | 2,764–2,980 | – | −498 |
| Ofc Techn-Gen | – | – | −4.0 | 2,348–2,855 | – | −113 |
| Tax Prog Asst, Range B | – | – | −5.0 | 1,846–2,242 | – | −145 |
| Overtime | – | – | – | – | – | −81 |
| Totals, ARTPS Branch | – | – | −69.0 | – | – | −$3,111 |
| Operations Branch: | | | | | | |
| Assoc Opers Spec | – | – | −1.0 | 3,915–4,724 | – | −49 |
| Tax Prog Supvr | – | – | −1.0 | 2,760–3,356 | – | −35 |
| Key Data Supvr I | – | – | −1.0 | 2,443–2,967 | – | −34 |
| Tax Prog Techn I | – | – | −1.0 | 2,348–2,855 | – | −32 |
| Tax Prog Asst | – | – | −5.0 | 1,646–2,242 | – | −135 |
| Key Data Opr, Range A | – | – | −3.0 | 1,916–2,114 | – | −74 |
| Temporary Help | – | – | −38.6 | – | – | −815 |
| Totals, Operations Branch | – | – | −50.6 | – | – | −$1,174 |
| Totals, Reductions in Authorized Positions | – | – | −131.1 | – | – | −$4,849 |
| Proposed New Positions: | | | | | | |
| Legal Branch: | | | | | | |
| Tax Counsel III-Spec | – | – | 4.0 | 6,573–8,111 | – | 352 |
| Tax Counsel, Range D | – | – | 2.0 | 5,703–7,034 | – | 153 |
| Totals, Legal Branch | – | – | 6.0 | – | – | $505 |
| Technology and Resources Branch: | | | | | | |
| Overtime | – | – | – | – | 24 | 26 |
| Totals, Technology and Resources Branch | – | – | – | – | $24 | $26 |

*** Dollars in thousands, except in Salary Range.**

## 1730 FRANCHISE TAX BOARD—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| ARTPS Branch: | | | | Salary Range | | |
| Investigation Spec I [a] | – | 5.0 | 10.0 | $4,044–4,876 | $266 | $535 |
| Tax Techn [b] | – | 1.0 | 2.0 | 2,220–2,615 | 29 | 58 |
| Overtime | – | – | – | – | 64 | 64 |
| Totals, ARTPS Branch | – | 6.0 | 12.0 | – | $359 | $657 |
| Operations Branch: | | | | | | |
| Tax Prog Supvr | – | – | 1.0 | 2,760–3,356 | – | 37 |
| Tax Prog Techn II [c] | – | 7.5 | 15.0 | 2,626–3,193 | 260 | 524 |
| Tax Techn, Range B (Limited-Term to 6/30/03) | – | – | 13.0 | 2,507–2,980 | – | 463 |
| Tax Techn, Range A | – | – | 2.0 | 2,220–2,615 | – | 58 |
| Temporary Help | – | 43.7 | 35.9 | – | 968 | 872 |
| Overtime | – | – | – | – | 925 | 295 |
| Totals, Operations Branch | – | 51.2 | 66.9 | – | $2,153 | $2,249 |
| Totals, Proposed New Positions | – | 57.2 | 84.9 | – | $2,536 | $3,437 |
| Total Adjustments | – | 57.2 | –46.2 | – | $3,050 | $1,112 |
| TOTALS, SALARIES AND WAGES | 5,388.8 | 5,873.8 | 5,755.1 | $227,443 | $267,280 | $272,043 |

[a] 10.0 full-time positions effective 1/1/01.
[b] 2.0 full-time positions effective 1/1/01.
[c] 15.0 full-time positions effective 1/1/01.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **90 CAPITAL OUTLAY** | | | |
| **Major Budget Adjustment Proposed for 2001–02** | | | |
| • $447,000 from the General Fund for modifications to the fire alarm system at the Central Office. | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 90.01.010 Sacramento Central Office Security Improvements | $967 Cg | – | – |
| 90.01.020 Security Improvements, Stockton | 276 PWCg | – | – |
| 90.01.030 Security Improvements, Los Angeles | 438 PWCg | – | – |
| 90.01.050 Central Office: Fire Alarms Modifications | – | – | $447 PWCg |
| Totals, Major Projects | $1,681 | – | $447 |
| **Minor Projects** | | | |
| 90.01.040 Minor Capital Outlay | $249 Cg | $126 Cg | – |
| Totals, Minor Projects | $249 | $126 | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $1,930 | $126 | $447 |
| *0001 General Fund* | *1,930* | *126* | *447* |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $963 | $126 | $447 |
| Prior year balances available: | | | |
| Item 1730-301-0001, Budget Act of 1998 as reappropriated by Item 1730-490, Budget Act of 1999 | 974 | – | – |
| Transfer to and from Government Code Sections 16408 and 16409 | –7 | – | – |
| TOTALS, EXPENDITURES (Capital Outlay) | $1,930 | $126 | $447 |

*** Dollars in thousands, except in Salary Range.**

# 1760 DEPARTMENT OF GENERAL SERVICES

The objectives of the Department of General Services are to: (a) Meet the varied responsibilities for management review, control and support of state agencies as assigned by the Governor and specified in statute; (b) Provide support services to operating departments with greater efficiency and economy than they can individually provide for themselves; and (c) Increase effectiveness and economy in the administration of state government by establishing and improving statewide policies and guidelines.

| SUMMARY OF PROGRAM REQUIREMENTS | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 10 | Building Regulation Services | 152.7 | 168.4 | 149.5 | $29,830 | $35,796 | $36,143 |
| 15 | Real Estate Services | 1,702.0 | 1,927.5 | 1,956.0 | 276,156 | 385,254 | 397,353 |
| 20 | Statewide Support Services | 1,577.4 | 1,611.3 | 1,595.8 | 354,309 | 365,763 | 386,476 |
| 30.01 | Administration | 259.1 | 311.6 | 311.6 | 25,060 | 37,378 | 37,739 |
| 30.02 | Distributed Administration | – | – | – | −10,540 | −14,194 | −12,994 |
| TOTALS, PROGRAMS | | 3,691.2 | 4,018.8 | 4,012.9 | $674,815 | $809,997 | $844,717 |
| *0001* | *General Fund* | | | | *54,156* | *69,676* | *62,401* |
| *0002* | *Property Acquisition Law Money Account* | | | | *2,546* | *3,268* | *4,397* |
| *0003* | *Motor Vehicle Parking Facilities Moneys Account* | | | | *3,906* | *4,859* | *4,884* |
| *0006* | *Disability Access Account* | | | | *1,876* | *2,200* | *2,219* |
| *0022* | *State Emergency Telephone Number Account* | | | | *97,762* | *95,339* | *127,004* |
| *0026* | *State Motor Vehicle Insurance Account* | | | | *18,286* | *18,181* | *18,263* |
| *0101* | *State School Facilities Fee Assistance Fund* | | | | *−37,944* | *–* | *–* |
| *0119* | *1998 State School Facilities Fund* | | | | *615* | *898* | *10,720* |
| *0328* | *Public School Planning, Design and Construction Review Revolving Fund* | | | | *16,878* | *21,876* | *22,102* |
| *0344* | *State School Building Lease-Purchase Fund* | | | | *9,561* | *9,732* | *–* |
| *0450* | *Seismic Gas Valve Certification Fee Account* | | | | *–* | *75* | *75* |
| *0465* | *Energy Resources Programs Account* | | | | *1,305* | *1,333* | *1,337* |
| *0602* | *Architecture Revolving Fund* | | | | *24,423* | *32,366* | *35,421* |
| *0666* | *Service Revolving Fund* | | | | *469,918* | *535,380* | *550,287* |
| *0768* | *Earthquake Safety and Public Buildings Rehabilitation Bond Fund of 1990* | | | | *11,403* | *2,309* | *5,468* |
| *0853* | *Petroleum Violation Escrow Account* | | | | *–* | *12,366* | *–* |
| *0961* | *State School Deferred Maintenance Fund* | | | | *124* | *139* | *139* |

## 10 BUILDING REGULATION SERVICES

### Program Objectives Statement

With a multi-million dollar investment in facilities for state offices and schools, centralized responsibilities for inspection and approval are needed. This is achieved in the following ways: (a) Assuring protection of lives and property in public buildings through plan and field supervision; (b) Insuring that facilities constructed with state funds are accessible to the physically handicapped; (c) Establishing uniform public health and safety regulations for building construction; (d) Administering the State's School Building Lease-Purchase program, which provides financial assistance to school districts for the development of school sites, construction, and reconstruction or replacement of school buildings and purchase of furniture and equipment; and (e) Adopting, codifying and publish building standards for the design and construction of state buildings.

### Major Budget Adjustments Proposed for 2001–02

- $858,000 1998 State School Facilities Fund and 11.4 personnel years in the Office of Public School Construction to meet the workload requirements of Chapter 407, Statutes of 1998 (SB 50).
- Transfer the support appropriation of $9,777,000 from the State School Building Lease-Purchase Fund to the 1998 State School Facilities Fund.

### Authority

a. Public School Construction: Government Code Section 15500; Education Code Sections 19551–19689, 17700, and 16000.

b. Building Standards: Government Code Sections 11343, 11346.1, 11346.2, 11349.1, and 11446; Health and Safety Code Section 18931.

c. State Architect: Government Code Sections 4450–4458 and 14950.

## 15 REAL ESTATE SERVICES

Centralized responsibilities for planning, acquisition, design, construction, maintenance and operation are needed to avoid duplication of effort and uncontrolled investment in office space. This is achieved in the following ways: (a) Providing adequate space for state operations at a cost below that which each agency could provide for itself; (b) Assuring protection of lives and property in public buildings through design and construction supervision; (c) Providing impartial hearings for the general public and construction industry in their interactions with state agencies; (d) Acquiring, managing and disposing of real property (except leases) for state agencies, and providing public service facilities with economy of operations and uniform practices for protecting the public's interests and insuring equitable treatment of private property owners; and (e) Preserving the state's capital investment in buildings, grounds, and equipment through an efficient and effective centralized maintenance and operations program.

### Major Budget Adjustments Included in 2000–01

- $1,933,000 Architecture Revolving Fund and 18.2 personnel years in the Project Management Branch and the Business, Operations, Policy and Planning Branch to manage and provide administrative support for capital outlay construction projects; authorized pursuant to Provision 4, Budget Act of 2000.
- $1,559,000 Service Revolving Fund and 18.9 personnel years in the Professional Services Branch and the Business, Operations, Policy and Planning Branch to provide space planning services as requested by client agencies; authorized pursuant to Provision 4, Budget Act of 2000.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

- $538,000 Service Revolving Fund and 7.6 personnel years in the Professional Services Branch to provide leasing services as requested by client agencies; authorized pursuant to Provision 4, Budget Act of 2000.
- $49,555,000 Service Revolving Fund in the Professional Services Branch to fund the payment of natural gas purchases in the Natural Gas Services Program.
- $524,000 Service Revolving Fund and 13.1 personnel years in the Building and Property Management Branch to provide building maintenance services for various client agencies; authorized pursuant to Provision 4, Budget Act of 2000.
- $244,000 Service Revolving Fund in the Building and Property Management Branch to provide building maintenance services as requested by the California Department of Transportation; authorized pursuant to Provision 4, Budget Act of 2000.

### Major Budget Adjustments Proposed for 2001–02

- $463,000 Architecture Revolving Fund and 3.8 personnel years in the Project Management Branch to perform seismic retrofit capital outlay project management workload.
- $1,804,000 Architecture Revolving Fund and 18.2 personnel years in the Project Management Branch and the Business, Operations, Policy and Planning Branch to manage and provide administrative support for capital outlay construction projects; authorized pursuant to Provision 4, Budget Act of 2000.
- $1,900,000 Property Acquisition Law Money Account in the Asset Planning and Enhancement Branch and a $1,100,000 General Fund loan to the Property Acquisition Law Money Account to fund the sale or disposition of various properties to ensure optimum use or maximize potential revenue (one-time).
- $3,151,000 Architecture Revolving Fund and 22.3 limited-term personnel years in the Professional Services Branch to provide construction supervision and inspection services on the Delano II prison construction project.
- Reappropriate $4,743,000 Earthquake Safety and Public Building Rehabilitation Bond Act of 1990 in the Professional Services Branch to fund local government seismic rehabilitation grants.
- $2,348,000 Service Revolving Fund and 27.0 personnel years in the Professional Services Branch and the Business, Operations, Policy and Planning Branch to provide space planning services as requested by client agencies.
- $506,000 Service Revolving Fund and 6.6 personnel years in the Professional Services Branch to provide leasing services as requested by client agencies.
- $49,555,000 Service Revolving Fund in the Professional Services Branch to fund the payment of natural gas purchases in the Natural Gas Services Program.
- $3,721,000 Service Revolving Fund and 104.9 personnel years in the Building and Property Management Branch to provide janitorial maintenance services in state facilities.
- $497,000 Service Revolving Fund and 9.5 personnel years in the Building and Property Management Branch to provide property management services at the new Office of Emergency Services facility.
- $601,000 Service Revolving Fund in the Building and Property Management Branch to provide training resources to fund an employee development and vocational training program.
- $187,000 Service Revolving Fund in the Building and Property Management Branch to fund assessments charged to facilities or properties within a Business Improvement District.
- $991,000 Service Revolving Fund in the Building and Property Management Branch for payment of natural gas purchases.
- Reduction of $5,712,000 Service Revolving Fund and 57.0 personnel years in the Building and Property Management Branch as a result of not providing property management services at the new CalEPA facility in Sacramento as previously anticipated.
- $2,407,000 Service Revolving Fund in the Building and Property Management Branch to fund deferred maintenance projects as requested by the Public Utilities Commission for their San Francisco facility.
- $2,700,000 one-time Service Revolving Fund in the Building and Property Management Branch to perform deferred maintenance projects at various DGS-owned facilities.
- $526,000 Service Revolving Fund and 13.1 personnel years in the Building and Property Management Branch to provide building maintenance services for various client agencies; authorized pursuant to Provision 4, Budget Act of 2000.

### Authority

a. State Architect: Government Code Sections 4454, 14250–14404, 14600, 14650, 14651, 14679–14682, 14838, 14950–14962, and 15800; Education Code Sections 15002.1 and 15451–15465; Health and Safety Code Sections 15000–15023.

b. Building and Grounds: Government Code Sections 14600, 14660, 14670, 14685–14687, 14700, 15850, and 15862.

c. Facilities Planning and Development: Government Code Sections 8160 and 14600.

d. Real Estate: Government Code Sections 11005, 11011, 14654, 14660–14670, 14678, 14820, 14821, 15800, 15817, 15850, 15862, and 15863.

## 20 STATEWIDE SUPPORT SERVICES

### Program Objectives Statement

To fulfill their program responsibilities, state agencies require support services for business transactions and activities which are basic to their organizational functions. These operational requirements include procurement of materials, communication, transportation, printing, and related business and office services.

Other state agency support requirements, include consulting services for legal contracts and insurance management. Additionally, licensing agencies require services of hearing officers for alleged violations by license holders.

These diverse services are more effectively and economically provided under a consolidated program which centralizes resources, capabilities, talents, and facilities for efficient response to support requirements of client state agencies.

### Major Budget Adjustments Included in 2000–01

- $1,000,000 Service Revolving Fund in the Office of Public Safety Radio Services to purchase microwave equipment for California Department of Transportation communications projects; authorized pursuant to Provision 4, Budget Act of 2000.
- $265,000 Service Revolving Fund in the Office of Administrative Hearings to provide Pro tempore Administrative Law Judge and defense counsel services as requested by the California Department of Corrections; authorized pursuant to Provision 4, Budget Act of 2000.
- $5,085,000 Service Revolving Fund in the Office of Fleet Administration to purchase vehicles as requested by various state agencies; authorized pursuant to Provision 4, Budget Act of 2000.
- $415,000 Service Revolving Fund in the Office of Fleet Administration to fund gasoline and insurance expenses for previously purchased vehicles as requested by client agencies; authorized pursuant to Provision 4, Budget Act of 2000.

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

### Major Budget Adjustments Proposed for 2001–02

- $31,659,000 State Emergency Telephone Number Account-Local Assistance in the Office of Public Safety Radio Services to fund enhanced wireless services
- A reduction of $10,922,000 Service Revolving Fund/Intrafund in the Office of Network Services due to the cancellation of the CALNET contract and implementation of the California Integrated Information Network contract.
- $6,370,000 Service Revolving Fund in the Office of Fleet Administration to purchase low-emission and alternative fuel vehicles.
- $265,000 Service Revolving Fund in the Office of Administrative Hearings to provide Pro tempore Administrative Law Judge and defense counsel services as requested by the California Department of Corrections; authorized pursuant to Provision 4, Budget Act of 2000.
- $232,000 Service Revolving Fund in the Office of Fleet Administration to maintain vehicles purchased in 2000–2001 as requested by various state agencies; authorized pursuant to Provision 4, Budget Act of 2000.
- $634,000 Service Revolving Fund in the Office of Fleet Administration to fund the required relocation of the Los Angeles State Garage.
- $415,000 Service Revolving Fund in the Office of Fleet Administration to fund gasoline and insurance expenses for previously purchased vehicles as requested by client agencies; authorized pursuant to Provision 4, Budget Act of 2000.
- $1,863,000 Service Revolving Fund (one-time) in the Office of State Publishing to fund equipment purchases.
- $169,000 Service Revolving Fund in the Office of State Publishing, Office of Fleet Administration, Procurement Division and Telecommunications Division for payment of natural gas purchases.

### Authority

a. Administrative Hearings: Administrative Procedure Act, and Government Code Sections 11370–11370.5, 11371–11374, 11380, 11409, 11502, and 11340–11528.

b. Communications: Government Code Section 14931; Revenue and Taxation Code Sections 41137, 41137.1, 41138, and 41140.

c. Fleet Administration: Government Code Sections 13950–13956, 14615, 14621, 14669, and 14675–14678; Board of Control Rules and Regulations, Articles 8 and 15; and State Administrative Manual Sections 4100–4300.

d. Insurance: Government Code Sections 11007.5, 11007.7, 11290, 14848, 16378, 16379, and 18310; State Administrative Manual Sections 0480–0484, 2500–2520, 2530, 2541, 2560, 2581–2582.1, 8576, and 8776–77.

e. Legal Services: Government Code Sections 14610 and 14780.

f. Procurement: Government Code Sections 14740–14755, 14760, 14765–14768, 14770–14774, 14780–14814, 14835–14842, 14860, and 14880–14922; State Administrative Manual Sections 1600–1653.

g. State Publishing: Government Code Sections 9700–9768 and 14850–14877; State Administrative Manual Sections 1252, 1253, 2121–2122.26, 2840–2880 and 3122.2.

## 30 ADMINISTRATION

This program provides the executive leadership for the department by directing departmental operations, setting policy, making decisions concerning program operations and ensuring that departmental programs and services are carried out in accordance with the Governor's policies and legislative intent as codified in the State Constitution, the Government Code, the Administrative Procedures Act and the State Administrative Manual.

This program also provides the department with the necessary personnel, administration, training, budgeting and accounting services necessary to ensure smooth and efficient operating of the line functions of the department. Personnel Services are also provided for various boards and commissions.

### Major Budget Adjustments Proposed for 2001–02

- $3,009,000 General Fund ($2,692,000 one-time) and 0.9 personnel year in the Executive Office to administer the Enterprise Business Program (eBusiness Center).
- $3,170,000 General Fund in the Executive Office to continue restructuring the California Home Page upgrading the network infrastructure.
- $2,000,000 General Fund in the Executive Office to fund expenses to retain counsel in the case of *Williams, et al.* v. *State of California, et al.*

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 BUILDING REGULATION SERVICES

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$52* | *$56* | *$56* |
| *0006 Disability Access Account* | *1,876* | *2,200* | *2,219* |
| *0119 1998 State School Facilities Fund* | *615* | *898* | *10,720* |
| *0328 Public School Planning, Design and Construction Review Revolving Fund* | *16,878* | *21,876* | *22,102* |
| *0344 State School Building Lease-Purchase Fund* | *9,561* | *9,732* | *–* |
| *0666 Service Revolving Fund* | *587* | *729* | *741* |
| *0961 State School Deferred Maintenance Fund* | *124* | *139* | *139* |
| Distributed Administration | 137 | 166 | 166 |
| Totals, State Operations | $29,830 | $35,796 | $36,143 |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10.15 Division of the State Architect | $18,806 | $24,132 | $24,377 |
| State Operations: | | | |
| *0001 General Fund* | *52* | *56* | *56* |
| *0006 Disability Access Account* | *1,876* | *2,200* | *2,219* |
| *0328 Public School Planning, Design, and Construction Review Revolving Fund* | *16,878* | *21,876* | *22,102* |
| 10.40 Public School Construction | 10,311 | 10,769 | 10,859 |
| State Operations: | | | |
| *0119 1998 State School Facilities Fund* | *615* | *898* | *10,720* |
| *0344 State School Building Lease-Purchase Fund* | *9,561* | *9,732* | *–* |
| *0666 Service Revolving Fund* | *11* | *–* | *–* |
| *0961 State School Deferred Maintenance Fund* | *124* | *139* | *139* |
| 10.90 Building Standards | 713 | 895 | 907 |
| State Operations: | | | |
| *0666 Service Revolving Fund* | *576* | *729* | *741* |
| Distributed Administration | 137 | 166 | 166 |
| **PROGRAM REQUIREMENTS** | | | |
| **15 REAL ESTATE SERVICES** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$6,387* | *$10,409* | *$3,405* |
| *0002 Property Acquisition Law Money Account* | *2,546* | *3,268* | *4,397* |
| *0450 Seismic Gas Valve Certification Fee Account* | *–* | *75* | *75* |
| *0465 Energy Resources Programs Account* | *911* | *939* | *941* |
| *0602 Architecture Revolving Fund* | *24,423* | *32,366* | *35,421* |
| *0666 Service Revolving Fund* | *224,975* | *292,242* | *304,000* |
| *0768 Earthquake Safety and Public Buildings Rehabilitation Bond Fund of 1990* | *621* | *722* | *725* |
| Distributed Administration | 3,225 | 3,646 | 3,646 |
| Totals, State Operations | $263,088 | $343,667 | $352,610 |
| Local Assistance: | | | |
| *0001 General Fund* | *40,230* | *40,000* | *40,000* |
| *0101 State School Facilities Fee Assistance Fund* | *–37,944* | *–* | *–* |
| *0768 Earthquake Safety and Public Buildings Rehabilitation Bond Fund of 1990* | *10,782* | *1,587* | *4,743* |
| Totals, Local Assistance | $13,068 | $41,587 | $44,743 |
| 15.10 Customer Account Management Branch | 1,276 | 1,508 | 1,523 |
| State Operations: | | | |
| *0001 General Fund* | *11* | *13* | *13* |
| *0002 Property Acquisition Law Money Account* | *57* | *67* | *64* |
| *0465 Energy Resources Programs Account* | *6* | *7* | *7* |
| *0602 Architecture Revolving Fund* | *466* | *557* | *558* |
| *0666 Service Revolving Fund* | *723* | *819* | *836* |
| *0768 Earthquake Safety and Public Buildings Rehabilitation Bond Fund of 1990* | *13* | *15* | *15* |
| Distributed Administration | – | 30 | 30 |
| 15.20 Asset Planning and Enhancement Branch | 2,398 | 3,381 | 4,394 |
| State Operations: | | | |
| *0001 General Fund* | *–* | *250* | *–* |
| *0002 Property Acquisition Law Money Account* | *665* | *1,396* | *2,623* |
| *0666 Service Revolving Fund* | *1,664* | *1,614* | *1,650* |
| Distributed Administration | 69 | 121 | 121 |
| 15.30 Project Management Branch | 7,886 | 10,163 | 10,313 |
| State Operations: | | | |
| *0602 Architecture Revolving Fund* | *7,005* | *10,163* | *10,313* |
| *0666 Service Revolving Fund* | *880* | *–* | *–* |
| Distributed Administration | 1 | – | – |
| 15.40 Business, Operations, Policy and Planning | 5,875 | 6,021 | 6,069 |
| State Operations: | | | |
| *0001 General Fund* | *47* | *23* | *23* |
| *0002 Property Acquisition Law Money Account* | *172* | *164* | *159* |
| *0465 Energy Resources Programs Account* | *31* | *36* | *36* |
| *0602 Architecture Revolving Fund* | *2,492* | *2,925* | *2,937* |
| *0666 Service Revolving Fund* | *3,073* | *2,744* | *2,785* |
| *0768 Earthquake Safety and Public Buildings Rehabilitation Bond Fund of 1990* | *28* | *30* | *30* |
| Distributed Administration | 32 | 99 | 99 |
| 15.50 Professional Services Branch | 92,539 | 175,612 | 181,833 |
| State Operations: | | | |
| *0001 General Fund* | *3,240* | *3,366* | *3,369* |
| *0002 Property Acquisition Law Money Account* | *1,652* | *1,641* | *1,551* |
| *0450 Seismic Gas Valve Certification Fee Account* | *–* | *75* | *75* |
| *0465 Energy Resources Programs Account* | *874* | *896* | *898* |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0602 Architecture Revolving Fund* | *$14,460* | *$18,721* | *$21,613* |
| *0666 Service Revolving Fund* | *57,563* | *108,162* | *108,417* |
| *0768 Earthquake Safety and Public Buildings Rehabilitation Bond Fund of 1990* | *580* | *677* | *680* |
| Distributed Administration | 1,102 | 487 | 487 |
| Local Assistance: | | | |
| *0001 General Fund* | *40,230* | *40,000* | *40,000* |
| *0101 School Facilities Fee Assistance Fund* | *−37,944* | – | – |
| *0768 Earthquake Safety and Public Buildings Rehabilitation Bond Fund of 1990* | *10,782* | *1,587* | *4,743* |
| 15.60 Building and Property Management Branch | 166,182 | 188,569 | 193,221 |
| State Operations: | | | |
| *0001 General Fund* | *3,089* | *6,757* | – |
| *0666 Service Revolving Fund* | *161,072* | *178,903* | *190,312* |
| Distributed Administration | 2,021 | 2,909 | 2,909 |

## PROGRAM REQUIREMENTS

## 20 STATEWIDE SUPPORT SERVICES

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$7,487* | *$7,555* | *$7,571* |
| *0003 Motor Vehicle Parking Facilities Moneys Account* | *3,906* | *4,859* | *4,884* |
| *0022 State Emergency Telephone Number Account* | *1,074* | *1,216* | *1,222* |
| *0026 State Motor Vehicle Insurance Account* | *18,286* | *18,181* | *18,263* |
| *0465 Energy Resources Programs Account* | *394* | *394* | *396* |
| *0666 Service Revolving Fund* | *222,666* | *219,025* | *221,514* |
| *0853 Petroleum Violation Escrow Account* | – | *12,366* | – |
| Distributed Administration | 3,808 | 8,044 | 6,844 |
| Totals, State Operations | $257,621 | $271,640 | $260,694 |
| Local Assistance: | | | |
| *0022 State Emergency Telephone Number Account* | *96,688* | *94,123* | *125,782* |
| Totals, Local Assistance | $96,688 | $94,123 | $125,782 |
| 20.10 Administrative Hearings | 11,145 | 10,722 | 10,854 |
| State Operations: | | | |
| *0666 Service Revolving Fund* | *11,138* | *10,722* | *10,854* |
| Distributed Administration | 7 | – | – |
| 20.15 Telecommunications | 154,989 | 173,805 | 192,991 |
| State Operations: | | | |
| *0022 State Emergency Telephone Number Account* | *1,074* | *1,216* | *1,222* |
| *0666 Service Revolving Fund* | *57,036* | *77,068* | *65,789* |
| Distributed Administration | 191 | 1,398 | 198 |
| Local Assistance: | | | |
| *0022 State Emergency Telephone Number Account* | *96,688* | *94,123* | *125,782* |
| 20.20 Fleet Administration | 44,803 | 45,335 | 43,614 |
| State Operations: | | | |
| *0001 General Fund* | *213* | *219* | *220* |
| *0003 Motor Vehicle Parking Facilities Moneys Account* | *3,906* | *4,859* | *4,884* |
| *0666 Service Revolving Fund* | *39,406* | *26,247* | *36,866* |
| *0853 Petroleum Violation Escrow Account* | – | *12,366* | – |
| Distributed Administration | 1,278 | 1,644 | 1,644 |
| 20.25 Risk and Insurance Management | 19,590 | 19,623 | 19,720 |
| State Operations: | | | |
| *0026 State Motor Vehicle Insurance Account* | *18,286* | *18,181* | *18,263* |
| *0666 Service Revolving Fund* | *1,263* | *1,411* | *1,426* |
| Distributed Administration | 41 | 31 | 31 |
| 20.30 Legal Services | 2,127 | 2,183 | 2,219 |
| State Operations: | | | |
| *0666 Service Revolving Fund* | *925* | *1,517* | *1,553* |
| Distributed Administration | 1,202 | 666 | 666 |
| 20.45 Procurement | 46,189 | 55,024 | 55,473 |
| State Operations: | | | |
| *0465 Energy Resources Programs Account* | *394* | *394* | *396* |
| *0666 Service Revolving Fund* | *44,897* | *50,325* | *50,772* |
| Distributed Administration | 898 | 4,305 | 4,305 |
| 20.60 State Publishing | 72,355 | 59,071 | 61,605 |
| State Operations: | | | |
| *0001 General Fund* | *7,274* | *7,336* | *7,351* |
| *0666 Service Revolving Fund* | *65,081* | *51,735* | *54,254* |
| 20.65 Small Business Certification and Resources | 3,111 | – | – |
| State Operations: | | | |
| *0666 Service Revolving Fund* | *2,920* | – | – |
| Distributed Administration | 191 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

### PROGRAM REQUIREMENTS
### 30 ADMINISTRATION

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | – | *$11,656* | *$11,369* |
| *0666 Service Revolving Fund* | *$21,690* | *23,384* | *24,032* |
| Distributed Administration | 3,370 | 2,338 | 2,338 |
| Totals, State Operations | $25,060 | $37,378 | $37,739 |
| 30.10 Executive | 9,978 | 17,527 | 17,206 |
| State Operations: | | | |
| *0001 General Fund* | – | *11,656* | *11,369* |
| *0666 Service Revolving Fund* | *9,938* | *5,871* | *5,837* |
| Distributed Administration | 40 | – | – |
| 30.15 Technology Resources | – | 5,651 | 5,893 |
| State Operations: | | | |
| *0666 Service Revolving Fund* | – | *5,651* | *5,893* |
| 30.20 Human Resources | 5,882 | 5,432 | 5,539 |
| State Operations: | | | |
| *0666 Service Revolving Fund* | *5,878* | *5,432* | *5,539* |
| Distributed Administration | 4 | – | – |
| 30.24 Fiscal Services | 9,200 | 8,768 | 9,101 |
| State Operations: | | | |
| *0666 Service Revolving Fund* | *5,874* | *6,430* | *6,763* |
| Distributed Administration | 3,326 | 2,338 | 2,338 |

### TOTAL EXPENDITURES

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations | $575,599 | $688,481 | $687,186 |
| Distributed Administration | –10,540 | –14,194 | –12,994 |
| Net Total Expenditures, State Operations | $565,059 | $674,287 | $674,192 |
| Local Assistance | 109,756 | 135,710 | 170,525 |
| TOTALS, EXPENDITURES | $674,815 | $809,997 | $844,717 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 3,691.2 | 4,157.5 | 4,131.0 | $168,450 | $193,539 | $196,308 |
| Total Adjustments | – | 109.4 | 132.9 | – | 4,295 | 5,941 |
| Estimated Salary Savings | – | –248.1 | –251.0 | – | –11,563 | –11,900 |
| Net Totals, Salaries and Wages | 3,691.2 | 4,018.8 | 4,012.9 | $168,450 | $186,271 | $190,349 |
| Staff Benefits | – | – | – | 34,578 | 37,106 | 35,473 |
| Totals, Personal Services | 3,691.2 | 4,018.8 | 4,012.9 | $203,028 | $223,377 | $225,822 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $341,152 | $428,928 | $422,743 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Disability access | | | | – | – | 2,219 |
| Motor vehicle insurance claims | | | | 14,541 | 14,300 | 14,300 |
| Public school planning design and construction | | | | 16,878 | 21,876 | 22,102 |
| Totals, Special Items of Expense | | | | $31,419 | $36,176 | $38,621 |
| Distributed Administration | | | | –10,540 | –14,194 | –12,994 |
| TOTALS, EXPENDITURES | | | | $565,059 | $674,287 | $674,192 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $10,535 | $24,290 | $18,996 |
| 011 Budget Act appropriation | 3,357 | 3,407 | 3,405 |
| 012 Budget Act appropriation (loan to Property Acquisition Law Money Account) | – | – | (1,100) |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Allocation for employee compensation | $300 | $9 | – |
| Allocation for contingencies or emergencies | – | 2,000 | – |
| Adjustment per Section 3.60 | −169 | −30 | – |
| Totals Available | $14,023 | $29,676 | $22,401 |
| Unexpended balance, estimated savings | −97 | – | – |
| TOTALS, EXPENDITURES | $13,926 | $29,676 | $22,401 |

### 0002 Property Acquisition Law Money Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,628 | $2,624 | $2,497 |
| 015 Budget Act appropriation | – | 650 | 1,900 |
| Allocation for employee compensation | 149 | 10 | – |
| Adjustment per Section 3.60 | −104 | −16 | – |
| Totals Available | $2,673 | $3,268 | $4,397 |
| Unexpended balance, estimated savings | −127 | – | – |
| TOTALS, EXPENDITURES | $2,546 | $3,268 | $4,397 |

### 0003 Motor Vehicle Parking Facilities Moneys Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $4,198 | $3,757 | $3,782 |
| 002 Budget Act appropriation | 1,105 | 1,103 | 1,102 |
| Allocation for employee compensation | 49 | – | – |
| Adjustment per Section 3.60 | −9 | −1 | – |
| Adjustment per Section 16.00 | 63 | – | – |
| Totals Available | $5,406 | $4,859 | $4,884 |
| Unexpended balance, estimated savings | −1,500 | – | – |
| TOTALS, EXPENDITURES | $3,906 | $4,859 | $4,884 |

### 0006 Disability Access Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,130 | $2,199 | – |
| Government Code Section 4454 (Chapter 989, Statutes of 2000) | – | – | $2,219 |
| Allocation for employee compensation | 149 | 9 | – |
| Adjustment per Section 3.60 | −66 | −8 | – |
| Totals Available | $2,213 | $2,200 | $2,219 |
| Unexpended balance, estimated savings | −337 | – | – |
| TOTALS, EXPENDITURES | $1,876 | $2,200 | $2,219 |

### 0022 State Emergency Telephone Number Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,197 | $1,221 | $1,222 |
| Allocation for employee compensation | 60 | 2 | – |
| Adjustment per Section 3.60 | −50 | −7 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| Totals Available | $1,211 | $1,216 | $1,222 |
| Unexpended balance, estimated savings | −137 | – | – |
| TOTALS, EXPENDITURES | $1,074 | $1,216 | $1,222 |

### 0026 State Motor Vehicle Insurance Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $3,699 | $3,880 | $3,963 |
| Government Code Section 16379 | 14,541 | 14,300 | 14,300 |
| Allocation for employee compensation | 54 | 5 | – |
| Revised expenditure authority per Provision 6 | 98 | – | – |
| Adjustment per Section 3.60 | −31 | −4 | – |
| Totals Available | $18,361 | $18,181 | $18,263 |
| Unexpended balance, estimated savings | −75 | – | – |
| TOTALS, EXPENDITURES | $18,286 | $18,181 | $18,263 |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

### 0119 1998 State School Facilities Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $775 | $902 | $10,720 |
| Allocation for employee compensation | 58 | – | – |
| Adjustment per Section 3.60 | –37 | –4 | – |
| Totals Available | $796 | $898 | $10,720 |
| Unexpended balance, estimated savings | –181 | – | – |
| TOTALS, EXPENDITURES | $615 | $898 | $10,720 |

### 0328 Public School Planning, Design, and Construction Review Revolving Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 39147 (Chapter 1147, Statutes of 1992) (expenditures) | $16,878 | $21,876 | $22,102 |

### 0344 State School Building Lease-Purchase Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $9,409 | $9,766 | – |
| Allocation for employee compensation | 558 | 22 | – |
| Adjustment per Section 3.60 | –371 | –56 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| Totals Available | $9,600 | $9,732 | – |
| Unexpended balance, estimated savings | –39 | – | – |
| TOTALS, EXPENDITURES | $9,561 | $9,732 | – |

### 0450 Seismic Gas Valve Certification Fee Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $75 | $75 | $75 |
| Unexpended balance, estimated savings | –75 | – | – |
| TOTALS, EXPENDITURES | – | $75 | $75 |

### 0465 Energy Resources Programs Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,293 | $1,334 | $1,337 |
| Allocation for employee compensation | 48 | 2 | – |
| Adjustment per Section 3.60 | –30 | –3 | – |
| Totals Available | $1,311 | $1,333 | $1,337 |
| Unexpended balance, estimated savings | –6 | – | – |
| TOTALS, EXPENDITURES | $1,305 | $1,333 | $1,337 |

### 0602 Architecture Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $25,245 | $30,547 | $35,421 |
| Allocation for employee compensation | 1,622 | 52 | – |
| Revised expenditure authority per Provision 4 | 2,205 | 1,933 | – |
| Adjustment per Section 3.60 | –1,016 | –170 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 4 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| Totals Available | $28,060 | $32,366 | $35,421 |
| Unexpended balance, estimated savings | –3,637 | – | – |
| TOTALS, EXPENDITURES | $24,423 | $32,366 | $35,421 |

### 0666 Service Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $375,874 | $401,166 | $464,334 |
| 002 Budget Act appropriation | 64,836 | 69,833 | 71,526 |
| 003 Budget Act appropriation | – | 7,617 | 14,427 |
| Allocation for employee compensation | 13,235 | 587 | – |
| Allocation for contingencies or emergencies | – | 49,555 | – |
| Revised expenditure authority per Provision 4 | 24,277 | 9,670 | – |
| Revised expenditure authority per Provision 6 | 15,539 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Adjustment per Section 3.60 | −$8,303 | −$1,250 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 47 | – |
| Adjustment per Section 16.00 | 91 | – | – |
| Totals Available | $485,549 | $537,225 | $550,287 |
| Unexpended balance, estimated savings | −15,631 | −1,845 | – |
| TOTALS, EXPENDITURES | $469,918 | $535,380 | $550,287 |

### 0768 Earthquake Safety and Public Buildings Rehabilitation Fund of 1990 [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $709 | $726 | $725 |
| Allocation for employee compensation | 32 | 1 | – |
| Adjustment per Section 3.60 | −29 | −5 | – |
| Totals Available | $712 | $722 | $725 |
| Unexpended balance, estimated savings | −91 | – | – |
| TOTALS, EXPENDITURES | $621 | $722 | $725 |

### 0853 Petroleum Violation Escrow Account [f]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (expenditures) | – | $12,366 | – |

### 0961 State School Deferred Maintenance Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $134 | $140 | $139 |
| Allocation for employee compensation | 8 | – | – |
| Adjustment per Section 3.60 | −5 | −1 | – |
| Totals Available | $137 | $139 | $139 |
| Unexpended balance, estimated savings | −13 | – | – |
| TOTALS, EXPENDITURES | $124 | $139 | $139 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $565,059 | $674,287 | $674,192 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Residential structures and sponsors of housing developments | $2,056 | $40,000 | $40,000 |
| San Francisco Child Care Center | 230 | – | – |
| Emergency telephone number subventions | 96,688 | 94,123 | 125,782 |
| Local grant subventions | 10,782 | 1,587 | 4,743 |
| TOTALS, EXPENDITURES (Local Assistance) | $109,756 | $135,710 | $170,525 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation | $230 | – | – |
| Health and Safety Code Section 51452 (Chapter 67, Statutes of 1999) | 40,000 | $40,000 | $40,000 |
| TOTALS, EXPENDITURES | $40,230 | $40,000 | $40,000 |

### 0022 State Emergency Telephone Number Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | $81,679 | $94,123 | $125,782 |
| Allocation for contingencies or emergencies | 14,926 | – | – |
| Prior year balances available: | | | |
| Item 1760–101–0022, Budget Act of 1998 as reappropriated by Item 1760-494, Budget Act of 1999 | 180 | – | – |
| Totals Available | $96,785 | $94,123 | $125,782 |
| Unexpended balance, estimated savings | −97 | – | – |
| TOTALS, EXPENDITURES | $96,688 | $94,123 | $125,782 |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

### 0101 School Facilities Fee Assistance Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Health and Safety Code Section 51452 | $2,056 | $40,000 | $40,000 |
| Less funding provided by the General Fund | –40,000 | –40,000 | –40,000 |
| NET TOTALS, EXPENDITURES | –$37,944 | – | – |

### 0768 Earthquake Safety and Public Buildings Rehabilitation Fund of 1990 [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $4,796 | – | – |
| Prior year balances available: | | | |
| Item 1760-101-768, Budget Act of 1994, as reappropriated by Item 1760-491, Budget Acts of 1995, 1996, 1997, 1998, 1999, and 2000 | 12,793 | $5,401 | $3,814 |
| Item 1760-101-0768, as reappropriated by Item 1760-491, Budget Acts of 2000 and 2001 | – | 929 | 929 |
| Totals Available | $17,589 | $6,330 | $4,743 |
| Balance available in subsequent years | –6,330 | –4,743 | – |
| Unexpended balance, estimated savings | –477 | – | – |
| TOTALS, EXPENDITURES | $10,782 | $1,587 | $4,743 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $109,756 | $135,710 | $170,525 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $674,815 | $809,997 | $844,717 |

---

### FUND CONDITION STATEMENT
### 0002 Property Acquisition Law Money Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $787 | $1,517 | $2,311 |
| Prior year adjustments | 175 | – | – |
| Balance, Adjusted | $962 | $1,517 | $2,311 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 152200 Rentals of state property | 1,246 | 1,344 | 1,385 |
| 152300 Miscellaneous revenue from use of property and money | 2,327 | 3,224 | 360 |
| Totals, Revenues | $3,573 | $4,568 | $1,745 |
| Transfer from Other Funds: | | | |
| F00001 General Fund loan per Item 1760-015-0001, Budget Act of 2001 | – | – | 1,100 |
| Totals, Transfer from Other Funds | – | – | $1,100 |
| Totals, Revenues and Transfers | $3,573 | $4,568 | $2,845 |
| Totals, Resources | $4,535 | $6,085 | $5,156 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0650 Office of Planning and Research (State Operations) | 472 | 506 | 478 |
| 1760 Department of General Services (State Operations) | 2,546 | 3,268 | 4,397 |
| Totals, Expenditures | $3,018 | $3,774 | $4,875 |
| FUND BALANCE | $1,517 | $2,311 | $281 |
| Reserve for economic uncertainties | 1,517 | 2,311 | 281 |

### 0003 Motor Vehicle Parking Facilities Moneys Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| Prior year adjustments | $190 | – | – |
| Balance, Adjusted | $190 | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 140900 Parking lot revenue | 3,703 | $4,859 | $4,897 |
| Transfers from Other Funds: | | | |
| F00666 Service Revolving Fund loan per Item 1760-001-0666, Budget Act of 1999, Provision 2 | 13 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Transfers to Other Funds: | | | |
| T00666 Service Revolving Fund loan repayment per Item 1760-001-0666, Budget Act of 1999, Provision 2 | – | – | –$13 |
| Totals, Transfers | $13 | – | –$13 |
| Totals, Revenues and Transfers | $3,716 | $4,859 | $4,884 |
| Totals, Resources | $3,906 | $4,859 | $4,884 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1760 Department of General Services (State Operations) | 3,906 | 4,859 | 4,884 |
| Totals, Expenditures | $3,906 | $4,859 | $4,884 |
| FUND BALANCE | – | – | – |

### 0006 Disability Access Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $4,446 | $6,302 | $8,476 |
| Prior year adjustments | –20 | – | – |
| Balance, Adjusted | $4,426 | $6,302 | $8,476 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 123800 Building construction filing fees | 3,750 | 4,374 | 4,374 |
| 130600 Architecture Public Building Fund | 2 | – | – |
| Totals, Revenues and Transfers | $3,752 | $4,374 | $4,374 |
| Totals, Resources | $8,178 | $10,676 | $12,850 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1760 Department of General Services (State Operations) | 1,876 | 2,200 | 2,219 |
| Totals, Expenditures | $1,876 | $2,200 | $2,219 |
| FUND BALANCE | $6,302 | $8,476 | $10,631 |
| Reserve for economic uncertainties | 6,302 | 8,476 | 10,631 |

### 0022 State Emergency Telephone Number Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $59,157 | $67,936 | $81,997 |
| Prior year adjustments | 2,489 | – | – |
| Balance, Adjusted | $61,646 | $67,936 | $81,997 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 141100 Emergency telephone users surcharge | 104,687 | 110,071 | 116,455 |
| 161100 Escheat of Unclaimed Checks and Warrants | 27 | – | – |
| Totals, Revenues and Transfers | $104,714 | $110,071 | $116,455 |
| Totals, Resources | $166,360 | $178,007 | $198,452 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0860 Board of Equalization (State Operations) | 662 | 671 | 763 |
| 1760 Department of General Services: | | | |
| State Operations | 1,074 | 1,216 | 1,222 |
| Local Assistance | 96,688 | 94,123 | 125,782 |
| Totals, Expenditures | $98,424 | $96,010 | $127,767 |
| FUND BALANCE | $67,936 | $81,997 | $70,685 |
| Reserve for economic uncertainties | 67,936 | 81,997 | 70,685 |

### 0026 State Motor Vehicle Insurance Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $14,922 | $13,729 | $12,048 |
| Prior year adjustments | –35 | – | – |
| Balance, Adjusted | $14,887 | $13,729 | $12,048 |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous revenue | $17,128 | $16,500 | $16,500 |
| Totals, Revenues and Transfers | $17,128 | $16,500 | $16,500 |
| Totals, Resources | $32,015 | $30,229 | $28,548 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1760 Department of General Services (State Operations) | 18,286 | 18,181 | 18,263 |
| Totals, Expenditures | $18,286 | $18,181 | $18,263 |
| FUND BALANCE | $13,729 | $12,048 | $10,285 |
| Reserve for economic uncertainties | 13,729 | 12,048 | 10,285 |

### 0101 School Facilities Fees Assistance Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $12,500 | $50,444 | $50,444 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1760 Department of General Services (State Operations) | 2,056 | 40,000 | 40,000 |
| Expenditure Reductions: | | | |
| 1760 Department of General Services (Local Assistance): | | | |
| Less funding provided by the General Fund | −40,000 | −40,000 | −40,000 |
| Totals, Expenditures | −$37,944 | – | – |
| FUND BALANCE | $50,444 | $50,444 | $50,444 |
| Reserve for economic uncertainties | 50,444 | 50,444 | 50,444 |

### 0328 Public School Planning, Design, and Construction Review Revolving Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $10,205 | $16,743 | $20,698 |
| Prior year adjustments | −933 | – | – |
| Balance, Adjusted | $9,272 | $16,743 | $20,698 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 130600 Architecture public building fees | 23,377 | 24,800 | 24,800 |
| 150300 Income from surplus money investments | 972 | 1,031 | 1,031 |
| Totals, Revenues and Transfers | $24,349 | $25,831 | $25,831 |
| Totals, Resources | $33,621 | $42,574 | $46,529 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1760 Department of General Services (State Operations) | 16,878 | 21,876 | 22,102 |
| Totals, Expenditures | $16,878 | $21,876 | $22,102 |
| FUND BALANCE | $16,743 | $20,698 | $24,427 |
| Reserve for economic uncertainties | 16,743 | 20,698 | 24,427 |

### 0450 Seismic Gas Valve Certification Fee Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | −$2 | −$2 | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | – | 77 | $75 |
| Totals, Revenues and Transfers | – | $77 | $75 |
| Totals, Resources | −$2 | $75 | $75 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1760 Department of General Services (State Operations) | – | 75 | 75 |
| Totals, Expenditures | – | $75 | $75 |
| FUND BALANCE | −$2 | – | – |
| Reserve for economic uncertainties | −2 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| 0666 Service Revolving Fund [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $50,138 | $54,999 | $51,468 |
| Prior year adjustments | −4,185 | – | – |
| Balance, Adjusted | $45,953 | $54,999 | $51,468 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 299000 Income from operations | 467,417 | 542,937 | 548,211 |
| Totals, Revenues | $467,417 | $542,937 | $548,211 |
| Transfers to Other Funds: | | | |
| T00300 Motor Vehicle Parking Facilities Account Loan per Item 1760-001-0666, Budget Act of 1999, Provision 2 | −13 | – | – |
| Totals, Transfer to Other Funds | −$13 | – | – |
| Transfers from Other Funds: | | | |
| F00300 Motor Vehicle Parking Facilities Account repayment per Item 1760-001-0666, Budget Act of 1999, Provision 2 | – | – | 13 |
| Totals, Transfer from Other Funds | – | – | $13 |
| Totals, Transfers | −$13 | – | $13 |
| Totals, Revenues and Transfers | $467,404 | $542,937 | $548,224 |
| Totals, Resources | $513,357 | $597,936 | $599,692 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1760 Department of General Services: | | | |
| State Operations | 469,918 | 535,380 | 550,287 |
| Capital Outlay | 275 | 4,331 | – |
| 9760 Legislative Claims (State Operations) | 8 | – | – |
| Totals, Expenditures | $470,201 | $539,711 | $550,287 |
| Changes in other assets and liabilities affecting balance reserves | 11,843 | −6,757 | −5,178 |
| FUND BALANCE | $54,999 | $51,468 | $44,227 |
| Reserve for economic uncertainties | 54,999 | 51,468 | 44,227 |
| Inventories and equipment | 108,967 | 108,575 | 103,318 |
| Accounts receivable/accounts payable | 39,780 | 33,195 | 35,611 |
| Cash | −93,748 | −90,302 | −94,702 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 3,691.2 | 4,157.5 | 4,131.0 | $168,450 | $193,539 | $196,308 |
| Salary adjustments | – | – | – | – | 186 | 220 |
| Totals, Adjusted Authorized Positions | 3,691.2 | 4,157.5 | 4,131.0 | $168,450 | $193,725 | $196,528 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: | | | | | | |
| Category Transfers: | | | | Salary Range | | |
| Bldg & Prop Mgt Warehouse Worker | – | 1.0 | – | 2,462–2,677 | 31 | – |
| Provision 4's: | | | | | | |
| Professional Services Branch: | | | | | | |
| Career Supvry Assignment V | – | 3.0 | – | 4,772–5,757 | 177 | – |
| Staff Space Plnr | – | 6.0 | – | 4,136–5,027 | 308 | – |
| Staff Real Estate Ofcr | – | 1.0 | – | 4,136–5,027 | 51 | – |
| Assoc Space Plnr | – | 10.0 | – | 3,952–4,805 | 490 | – |
| Assoc Real Estate Ofcr | – | 5.0 | – | 3,952–4,805 | 245 | – |
| Ofc Techn | – | 2.0 | – | 2,258–2,745 | 56 | – |
| Business, Operations, Policy and Planning Branch: | | | | | | |
| Assoc Info Sys Analyst | – | 1.0 | – | 3,952–4,805 | 49 | – |
| Reduction in Authorized Positions: | | | | | | |
| Budget Change Proposals: | | | | | | |
| Executive Office: | | | | | | |
| C.E.A. II | – | – | −1.0 | 6,954–11,113 | – | −83 |
| Staff Info Sys Analyst | – | – | −1.0 | 4,507–5,480 | – | −57 |
| Building and Property Management: | | | | | | |
| Career Supvry Assignment IV | – | −1.0 | −1.0 | 4,545–5,484 | −57 | −57 |
| Career Suprvy Assignment II | – | −1.0 | −1.0 | 4,139–4,992 | −52 | −52 |
| Chief Engr II | – | −1.0 | −1.0 | 4,054–4,882 | −50 | −50 |
| Stationary Engr | – | −5.0 | −5.0 | 4,213 | −263 | −263 |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Maint Mechanic | – | –1.0 | –1.0 | $3,282–3,954 | –$41 | –$41 |
| Electrician I | – | –2.0 | –2.0 | 3,276–3,947 | –82 | –82 |
| Painter I | – | –1.0 | –1.0 | 3,132–3,433 | –39 | –39 |
| Bldg Maint Worker | – | –1.0 | –1.0 | 2,726–3,276 | –34 | –34 |
| Electronics Techn | – | –1.0 | –1.0 | 2,793–3,202 | –35 | –35 |
| Ofc Techn | – | –2.0 | –2.0 | 2,258–2,745 | –56 | –56 |
| Groundskeeper | – | –1.0 | –1.0 | 2,299–2,611 | –29 | –29 |
| Janitor Supvr II | – | –3.0 | –3.0 | 2,145–2,610 | –80 | –80 |
| Janitor | – | –40.0 | –40.0 | 1,795–2,182 | –887 | –887 |
| Chapter 989, Statutes of 2000: | | | | | | |
| Division of the State Architect: | | | | | | |
| Career Mgt Assignment IV | – | – | –1.0 | 5,996–6,651 | – | –79 |
| Sr Architect | – | – | –5.0 | 5,082–6,181 | – | –372 |
| Assoc Architect | – | – | –4.0 | 4,635–5,631 | – | –260 |
| Architectural Assoc | – | – | –7.0 | 4,414–5,364 | – | –442 |
| Assoc Govtl Prog Analyst | – | – | –1.0 | 3,915–4,759 | – | –57 |
| Secty | – | – | –1.0 | 2,390–2,906 | – | –30 |
| Prog Techn II | – | – | –1.0 | 2,348–2,855 | – | –30 |
| Temporary | – | – | – | – | – | –31 |
| Overtime | – | – | – | – | – | –35 |
| Totals, Workload and Administrative Adjustments | – | –31.0 | –82.0 | – | –$298 | –$3,181 |
| Reduction per Section 31.50: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Office of Network Services: | | | | | | |
| Sr Telecomm Engr | – | (–1.0) | (–1.0) | – | – | – |
| Office of Public Safety Radio Services: | | | | | | |
| Career Supvry Assignment IV | – | (–1.0) | (–1.0) | – | – | – |
| Assoc Telecomm Engr | – | (–1.0) | (–1.0) | – | – | – |
| Sr Telecomm Techn | – | (–1.0) | (–1.0) | – | – | – |
| Office of Fleet Administration: | | | | | | |
| Sr Equip Material Spec | – | (–1.0) | (–1.0) | – | – | – |
| Auto Mechanic | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Techn | – | (–1.5) | (–1.5) | – | – | – |
| Svc Asst-Auto | – | (–1.0) | (–1.0) | – | – | – |
| Office of Fiscal Services: | | | | | | |
| Assoc Budget Analyst | – | (–0.5) | (–0.5) | – | – | – |
| Sr Acct Clk | – | (–2.5) | (–2.5) | – | – | – |
| Procurement Division: | | | | | | |
| Career Mgt Assignment V | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Mach Svc Techn-Electronic | – | (–1.0) | (–1.0) | – | – | – |
| Bus Svc Asst-Spec | – | (–1.0) | (–1.0) | – | – | – |
| Mech & Tech Occ Trainee | – | (–1.0) | (–1.0) | – | – | – |
| Building and Property Management Branch: | | | | | | |
| Janitor | – | (–78.3) | (–78.3) | – | – | – |
| Total | – | (–93.8) | (–93.8) | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Office of Fleet Administration: | | | | | | |
| Auto Mechanic | – | – | –4.0 | 2,856–3,433 | – | –144 |
| Division of the State Architect: | | | | | | |
| Assoc Architect | – | – | –0.5 | 4,635–5,632 | – | –28 |
| Procurement Division: | | | | | | |
| Ofc Techn | – | – | –1.0 | 2,258–2,745 | – | –28 |
| Office of Network Services: | | | | | | |
| Mgt Svcs Techn | – | – | –1.0 | 2,135–2,932 | – | –28 |
| Office of Public Safety Radio Services: | | | | | | |
| Telecomm Sys Analyst I | – | – | –1.0 | 2,411–3,805 | – | –37 |
| Office of State Publishing: | | | | | | |
| Webfed Offset Press Opr | – | – | –1.0 | 4,429 | – | –55 |
| Offset Press Asst | – | – | –1.0 | 3,333 | – | –41 |
| Printing Trades Spec Trainee | – | – | –1.0 | 1,748–2,028 | – | –22 |
| Mech & Techn Occ Trainee | – | – | –2.0 | 1,656–1,824 | – | –41 |
| Seasonal Clk | – | – | –0.8 | 1,274–1,456 | – | –13 |
| Overtime Increases: | | | | | | |
| Office of State Publishing: | | | | | | |
| Overtime | – | – | – | – | – | 172 |
| Total | – | – | –13.3 | – | – | –$265 |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| Proposed New Positions: | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Provision 4's: | | | | | | |
| Building and Property Management Branch: | | | | Salary Range | | |
| Stationary Engr | – | 1.0 | 1.0 | $4,213 | $52 | $53 |
| Painter I | – | 1.0 | 1.0 | 3,123–3,433 | 39 | 39 |
| Janitor Supvr II | – | 1.0 | 1.0 | 2,145–2,610 | 27 | 27 |
| Janitor | – | 11.0 | 11.0 | 1,795–2,182 | 245 | 246 |
| Project Management Branch: | | | | | | |
| Proj Director II | – | 13.0 | 13.0 | 4,887–5,937 | 912 | 918 |
| Ofc Techn | – | 1.0 | 1.0 | 2,258–2,745 | 31 | 31 |
| Business, Operations, Policy and Planning Branch: | | | | | | |
| Assoc Govtl Prog Analyst | – | 1.0 | 1.0 | 3,764–4,542 | 51 | 52 |
| Ofc Techn | – | 1.0 | 1.0 | 2,258–2,745 | 31 | 31 |
| Budget Change Proposals: | | | | | | |
| Project Management Branch: | | | | | | |
| Proj Director II | – | – | 4.0 | 5,082–6,174 | – | 282 |
| Business, Operations, Policy and Planning Branch: | | | | | | |
| Assoc Info Sys Analyst [1] | – | – | 1.0 | 3,952–4,805 | – | 54 |
| Professional Services Branch: | | | | | | |
| Career Supvry Assignment VIII [2] | – | – | 1.0 | 5,368–6,525 | – | 74 |
| Career Supvry Assignment V [3] | – | – | 4.0 | 4,772–5,757 | – | 263 |
| Constrn Supvr II (1.0 position effective 8/1/01 through 4/30/02, 1.0 position effective 8/1/01 through 5/31/02, and 10.0 positions effective 7/1/01 through 6/30/02) [4] | – | – | 11.6 | 4,661–5,665 | – | 744 |
| Staff Real Estate Ofcr [1] | – | – | 1.0 | 4,136–5,027 | – | 56 |
| Staff Space Plnr [5] | – | – | 8.0 | 4,136–5,027 | – | 455 |
| Constrn Supvr I (1.0 position effective 8/1/01 through 5/31/02, 1.0 position effective 8/1/01 through 6/30/02, and 6.0 positions effective 7/1/01 through 6/30/02) [6] | – | – | 7.7 | 4,051–4,921 | – | 429 |
| Assoc Real Estate Ofcr [1] | – | – | 4.0 | 3,952–4,805 | – | 218 |
| Assoc Space Plnr [7] | – | – | 12.0 | 3,952–4,805 | – | 653 |
| Mech Insp II [2] | – | – | 1.0 | 3,854–4,683 | – | 53 |
| Elec Insp II [2] | – | – | 1.0 | 3,854–4,683 | – | 53 |
| Assoc Govtl Prog Analyst [1] | – | – | 2.5 | 3,764–4,576 | – | 129 |
| Ofc Techn [3] | – | – | 3.0 | 2,258–2,745 | – | 93 |
| Overtime [2] | – | – | – | – | – | 138 |
| Building and Property Management Branch: | | | | | | |
| Stationary Engr | – | – | 2.0 | 4,213 | – | 116 |
| Chief Engr II | – | – | 0.5 | 4,045–4,882 | – | 28 |
| Warehouse Worker | – | – | 1.0 | 2,462–2,677 | – | 34 |
| Groundskeeper | – | – | 0.5 | 2,299–2,611 | – | 16 |
| Janitor Supvr II | – | – | 1.0 | 2,145–2,610 | – | 30 |
| Janitor | – | 110.4 | 116.4 | 1,795–2,182 | 3,019 | 3,019 |
| Executive Office: | | | | | | |
| C.E.A. III | – | – | 1.0 | 7,648–11,113 | – | 96 |
| DP Mgr III | – | – | 1.0 | 6,032–6,652 | – | 76 |
| Ofc Techn | – | – | 1.0 | 2,258–2,745 | – | 31 |
| Office of Public School Construction: | | | | | | |
| Career Supvry Assignment III | – | – | 1.0 | 4,346–5,243 | – | 60 |
| School Facility Prog Analyst II | – | – | 11.0 | 3,764–4,576 | – | 570 |
| Totals, Proposed New Positions | – | 140.4 | 228.2 | – | $4,407 | $9,167 |
| Totals, Adjustments | – | 109.4 | 132.9 | – | $4,295 | $5,941 |
| TOTALS, SALARIES AND WAGES | 3,691.2 | 4,266.9 | 4,263.9 | $168,450 | $197,834 | $202,249 |

[1] Limited-term through 6/30/03.
[2] Limited-term through 6/30/02.
[3] 2.0 limited-term through 6/30/03.
[4] 1.0 limited-term 8/1/01 through 4/30/02, 1.0 limited-term 8/1/01 through 5/31/02, and 10.0 limited-term 7/1/01 through 6/30/02.
[5] 5.0 limited-term through 6/30/03.
[6] 1.0 limited-term 8/1/01 through 5/31/02, 1.0 limited-term 8/1/01 through 6/30/02, and 6.0 limited-term 7/1/01 through 6/30/02.
[7] 8.0 limited-term through 6/30/03.

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

## 50 CAPITAL OUTLAY

For 2001–02, work will continue on the Capitol Area East End Project. The Capitol Area East End Project was enacted in 1997 and authorized the Department to construct approximately 1,470,200 gross square feet of office space and approximately 742,625 gross square feet of parking structures in proximity to the East End of Capitol Park. Also, pre-construction phases will continue on the State Office Building at Butterfield Way in Sacramento. Chapter 1152, Statutes of 1996 authorized the Department to construct approximately 1,000,000 gross square feet of office space in close proximity to the existing Franchise Tax Board site in Sacramento.

## PROGRAM ELEMENTS

### Major Budget Adjustments Proposed for 2001–02

- $13.2 million for the construction phase to renovate the Bonderson Building in Sacramento.
- $20.8 million for the construction phase of the renovation of the Food and Agriculture Building at 1220 N Street in Sacramento.
- $28 million for new and continuing seismic retrofit projects statewide.
- $4 million to begin pre-construction phases for renovation of Office Buildings 8 and 10 in Sacramento.

### Major Projects

| | | | | |
|---|---|---|---|---|
| **50.10 SACRAMENTO** | | | | |
| 50.10.051 | State Office Building at Butterfield Way | $1,562 Pb | $22,923 PWb | $186,515 WCb |
| 50.10.140 | Food and Agricultural Building Renovation | – | – | 20,754 Cg |
| 50.10.142 | Blue Anchor Building, Fire and Life Safety; ADA Code Deficiencies | 65 Pg | 1,013 WCn | – |
| 50.10.144 | Resources Building Fire and Life Safety Code Deficiencies | 73 Pg | 1,673 WCn | – |
| 50.10.150 | Capitol Area East End Project | 26,617 PWb | 104,884 Cb | 22,200 Cg |
| | | – | – | 221,335 Cb |
| 50.10.151 | Capitol East End Backfill Studies, Education Building and Office Building Number 8 | 275 Sn | – | – |
| 50.10.152 | Bonderson Building Renovation | – | 841 PWn | 13,195 Cg |
| 50.10.157 | Food and Agriculture Annex Renovation, 1215 O Street | – | – | 2,008 PWg |
| 50.10.160 | Office Building 8 Renovation, 714 P Street, Sacramento | – | – | 1,858 Pg |
| 50.10.161 | Office Building 10 Renovation, 721 Capitol Mall, Sacramento | – | – | 2,166 PWg |
| **50.25 VAN NUYS** | | | | |
| 50.25.003 | Van Nuys State Building Tenant Improvements | – | 892 PWCn | – |
| 50.25.005 | Van Nuys State Building Space Frame Roof | – | 1,000 PWCg | – |
| **50.27 SAN DIEGO** | | | | |
| 50.27.003 | Mission Valley State Office Building, San Diego | – | – | 39,650 Ag |
| **50.99 STATEWIDE—STATE BUILDING PROGRAM** | | | | |
| 50.99.002 | DSA 421601.1-Department of Corrections, San Quentin East Block Building: Structural Retrofit | 3,061 Cb | – | – |
| 50.99.017 | DSA 304301.1-Department of Mental Health, Metropolitan State Hospital, Norwalk CT West A–E: Structural Retrofit | 15,370 Cb | – | – |
| 50.99.029 | Program Management | 788 Sb | 818 Sb | 823 Sb |
| 50.99.031 | DSA 48-Department of General Services, Sacramento Legislative Office Bldg. Annex Hazard Mitigation and Demolition | 257 Cb | – | – |
| 50.99.032 | DSA 11270: Military Dept., Santa Barbara Armory, Structural Retrofit | 193 Cb | – | – |
| 50.99.034 | DSA 421301,02: Dept. of Corrections, San Quentin, South Block: Structural Retrofit | 256 Cb | – | – |
| 50.99.035 | DSA 421501,02: Dept. of Corrections, San Quentin, West Block: Structural Retrofit | 219 Cb | – | – |
| 50.99.038 | DSA 24: Dept. of General Services Santa Ana State Office Bldg.: Structural Retrofit | 8,314 Cb | – | — |
| 50.99.044 | DSA 3921: Dept. of Mental Health, Napa B Ward: Structural Retrofit | 1,316 Cb | – | – |
| 50.99.051 | DSA 4211: Dept. of Corrections, San Quentin Neumiller Infirmary: Structural Retrofit | – | 6,710 WCb | – |
| 50.99.051.201 | Dept. of Corrections, San Quentin Neumiller Infirmary-Temporary Trailer: Structural Retrofit | – | 1,500 Cg | – |
| 50.99.052 | DSA 4152: Dept. of Corrections, San Quentin North Block: Structural Retrofit | 3,758 Cb | – | – |
| 50.99.053 | DSA 3275, 3276, 3277: Dept. of Developmental Services, Fairview, D1516, E3014, F1112: Structural Retrofit | 2,891 Cb | – | – |
| 50.99.056 | DSA 11169: Dept. of the Military, Sacramento Meadowview Armory: Structural Retrofit | 941 Cb | – | – |
| 50.99.057 | DSA 4406: Dept. of Corrections, Folsom State Prison, Officers & Guards: Structural Retrofit | 4,166 Cb | – | – |
| 50.99.059 | DSA 872, 880 & 876: Dept. of Corrections, DVI Tracy, Wings L, W & R: Structural Retrofit | – | 2,132 Cb | – |
| 50.99.061 | DSA 10788, 10795, & 10819: Dept. of Corrections Soledad, North Facility Gym/Dining/Kitchen, VS-1 & Wing V Ed: Structural Retrofit | 2,320 Cb | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 50.99.062 DSA 11178: Dept. of the Military, Ventura Armory: Structural Retrofit | $1,028 Cb | – | – |
| 50.99.065 DSA 3963: Dept. of Mental Health, Napa State Hospital, McGrath School: Structural Retrofit | 1,072 WCb | – | – |
| 50.99.069 DSA 4348: Dept. of Corrections, California Correctional Institution, Tehachapi, Van Weston Hall: Structural Retrofit | 1,595 Cb | – | – |
| 50.99.072 DSA 406201-05: Dept. of Corrections, Chino, Central Guidance Center A: Structural Retrofit | 3,766 Cb | – | – |
| 50.99.077 CDC, California Men's Colony, SLO: Bldgs. B, D, L, Q: Structural Retrofit | – | $6,508 WCb | – |
| 50.99.078 CDC, San Quentin, Kitchen and Dining: Structural Retrofit | – | 210 WCb | $3,113 Cb |
| 50.99.079 CDC, San Quentin, Bldg. 22: Structural Retrofit | – | 1,510 PWb | – |
| 50.99.080 DMH, Atascadero State Hospital: Kitchen/Dining Rooms 3 & 4 Canteen Dining Rooms 1 & 2: Structural Retrofit | – | 818 WCb | – |
| 50.99.081 CDC, CRC Norco: Administration Building 100 Occupant Relocation | – | 17,224 WCg | – |
| 50.99.082 DVA Yountville, Recreation Building: Structural Retrofit | – | 2,072 WCb | – |
| 50.99.083 DMH, Metropolitan State Hospital, Norwalk, Main Kitchen: Structural Retrofit | – | 240 Pb | 3,721 WCg |
| 50.99.084 DVA Yountville, Administration Building: Structural Retrofit | – | 65 Pb | 540 WCg |
| 50.99.085 DMH, Metropolitan State Hospital, Norwalk, Laundry Building: Structural Retrofit | – | 135 Pb | 1,392 WCg |
| 50.99.086 DMH, Napa State Hospital, Building 199, Unit 2: Structural Retrofit | – | 174 Pb | 2,351 WCg |
| 50.99.087 DDS, Sonoma Developmental Center, Eldridge, Porter Administration Building: Structural Retrofit | – | 174 Pb | 2,380 WCg |
| 50.99.088 CDC, Correctional Training Facility, Soledad, Hospital Wing Q: Structural Retrofit | – | 174 Pb | 2,288 WCg |
| 50.99.089 CDC, California Men's Colony, SLO, Building A-Administration: Structural Retrofit | – | 87 Pb | 874 WCg |
| 50.99.091 CDC, DVI, Tracy, Hospital Building: Structural Retrofit | – | 73 Pb | 804 WCg |
| 50.99.092 CDC, California Correctional Institute, Tehachapi, Dorm. E1, E2, E3, E4: Structural Retrofit | – | 135 Pb | 1,378 WCg |
| 50.99.200 State Fleet Alternative Fuel Infrastructure—Sacramento, Oakland, Los Angeles | – | 1,989 PWCs | – |
| 50.99.400 Program Management, Seismic Program | – | – | 775 Sg |
| 50.99.401 DDS, Lanterman Developmental Center, Hospital B-50, Structural Retrofit | – | – | 852 SPg |
| 50.99.402 DMH, Patton State Hospital—30 Building, A–E, Structural Retrofit | – | – | 336 SPg |
| 50.99.403 DMH Patton State Hospital—70 Building, A–E, Structural Retrofit | – | – | 336 SPg |
| 50.99.404 DMH Patton State Hospital—Building N, Structural Retrofit | – | – | 7,665 PWg |
| 50.99.405 CDC, CMF Vacaville, Administration Building A, Structural Retrofit | – | – | 219 SPg |
| 50.99.406 CDC, San Quentin State Prison, Maintenance Vocational Building 43, Structural Retrofit | – | – | 324 SPg |
| 50.99.407 DMH, Metro State Hospital, Vocational Rehabilitation Building, Structural Retrofit | – | – | 389 PWg |
| 50.99.408 CDC, California Correctional Institution, Tehachapi, Dormitories F5, F6, F7, F8, Structural Retrofit | – | – | 286 SPg |
| 50.99.409 CDC, CMF Vacaville, Inmate Housing Wing U, Structural Retrofit | – | – | 284 SPg |
| 50.99.410 DDS, Sonoma Developmental Center, Eldridge, Multi-purpose Complex Structural Retrofit | – | – | 165 PWg |
| 50.99.411 CDC, Correctional Training Facility, Soledad, South Dorm C, D, E, Structural Retrofit | – | – | 221 SPg |
| 50.99.412 DMH, Metropolitan State Hospital, Ward 306, Structural Retrofit | – | – | 101 PWg |
| 50.99.413 DMH, Metropolitan State Hospital, Volunteer Center, Structural Retrofit | – | – | 169 SPg |
| 50.99.414 DMH, Atascadero State Hospital, East-West Corridor, Structural Retrofit | – | – | 74 SPg |
| 50.99.415 DMH, Metropolitan State Hospital, Wards 313 and 315, Structural Retrofit | – | – | 113 SPg |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $79,903 | $175,974 | $541,654 |
| *0001 General Fund* | *138* | *19,812* | *129,868* |
| *0660 Public Buildings Construction Fund* | *28,179* | *127,807* | *407,850* |
| *0666 Service Revolving Fund* | *275* | *4,331* | – |

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| *0768 Earthquake Safety and Public Buildings Rehabilitation Fund of 1990* | *$32,059* | *$22,035* | *$3,936* |
| *0853 Petroleum Violation Escrow Account* | *–* | *1,989* | *–* |
| *0995 Reimbursements* | *19,252* | *–* | *–* |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $226 | $19,724 | $129,868 |
| Prior year balances available: | | | |
| Item 1760-301-0001, Budget Act of 1999, as reapropriated by Item 1760-490, Budget Act of 2000 | – | 88 | – |
| Totals Available | $226 | $19,812 | $129,868 |
| Balance available in subsequent years | −88 | – | – |
| TOTALS, EXPENDITURES | $138 | $19,812 | $129,868 |

### 0660 Public Buildings Construction Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Government Code Section 15819.32 (Chapter 430, Statutes of 1993) (Riverside/San Bernardino Plan) | $175,000 | $175,000 | $175,000 |
| Government Code Section 14669.13 (Chapter 659 Statutes of 1994) (Long Beach State Building) | 75,000 | 75,000 | 75,000 |
| Government Code Section 14669.35, (Chapter 1152, Statutes of 1996) (State Office Building at Butterfield Way) | 211,000 | 209,438 | 186,515 |
| Government Code Section 8169.5 (Chapter 761, Statutes of 1997) (Capitol Area East End Project) | 375,036 [1] | 348,419 | 243,535 |
| Government Code Section 14669.20 (Chapter 782, Statutes of 1998) (Department of Corrections Headquarters Building) | 160,000 | 160,000 | 160,000 |
| Totals Available | $996,036 | $967,857 | $840,050 |
| Balance available in subsequent years | −967,857 | −840,050 | −410,000 |
| Unexpended balance, estimated savings | – | – | −22,200 |
| TOTALS, EXPENDITURES | $28,179 | $127,807 | $407,850 |

[1] This carryover amount includes $44,121 which was erroneously shown as a past year expenditure in last year's Governor's Budget. The State Controller and departmental records have been adjusted to reflect this corrected amount.

### 0666 Service Revolving Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | $275 | $4,331 | – |

### 0768 Earthquake Safety and Public Buildings Rehabilitation Fund of 1990 [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,870 | $25,421 | $823 |
| Transfers to and from Government Code Sections 16351.5 and 16352 | – | −73 | – |
| Prior year balances available: | | | |
| Item 1760-301-0768, Budget Act of 1997 | 23,281 | – | – |
| Item 1760-302-0768, Budget Act of 1997 | 0 [2] | – | – |
| Item 1760-302-0768, Budget Act of 1998 | 7,067 [3] | – | – |
| Item 1760-301-0768, Budget Act of 1998, as reappropriated by Item 1760-490, Budget Act of 1999 | 34,290 | 755 | – |
| Item 1760-301-0768, Budget Act of 2000 | – | – | 3,113 |
| Transfers to and from Government Code Sections 16351.5 and 16352 | −5,523 | −755 | – |
| Totals Available | $60,985 | $25,348 | $3,936 |
| Unexpended balance, estimated savings | −28,171 | −200 | – |
| Balance available in subsequent years | −755 | −3,113 | – |
| TOTALS, EXPENDITURES | $32,059 | $22,035 | $3,936 |

[2] Fully reimbursed item.
[3] This carryover amount includes $7,067 which was erroneously shown as a past year savings in last year's Governor's Budget. The State Controller and departmental records have been adjusted to reflect this corrected amount.

*** Dollars in thousands, except in Salary Range.**

## 1760 DEPARTMENT OF GENERAL SERVICES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0853 Petroleum Violation Escrow Account [f]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $1,989 | – |
| **0995 Reimbursements** | | | |
| Reimbursements | $19,252 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $79,903 | $175,974 | $541,654 |

**FUND CONDITION STATEMENT**
**0700 Governor's Residence Account [s]**

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $3,192 | $3,374 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | – | 182 | – |
| Transfer from Other Funds: | | | |
| F00942 Governor's Residence Account, Special Deposit Fund per Government Code Section 8175(c) | $3,192 | – | – |
| Totals, Revenues and Transfers | $3,192 | $182 | – |
| Totals, Resources | $3,192 | $3,374 | $3,374 |
| FUND BALANCE | $3,192 | $3,374 | $3,374 |
| Reserve for economic uncertainties | 3,192 | 3,374 | 3,374 |

# 1880 STATE PERSONNEL BOARD

The State Personnel Board enforces civil service statutes, prescribes probationary periods and classifications, reviews disciplinary actions, and adopts other rules authorized by statute. Through its authority to adopt rules and promulgate policy, SPB administers a civil service system based upon merit ascertained through competitive examination. In 1999 and 2000, SPB's achievements include:

- Mediation—Partnered with over 40 State departments as well as employee organizations; the State Employee Mediation Program has mediated 170 workplace disputes to conclusion, with over a 93% resolution rate.
- Examining on the Internet—Developed exams for 16 Statewide classifications (including 2 Information Technology classes) which allow testing 24 hours a day and a continuously updated list.
- Certified Selection Analyst Training Program—Developed a program for human resource professionals to improve staff competencies and professionalism in the State's selection program.
- Diversity—Promoted a diverse civil service work force showing gains in all minority groups' representation over the last 10 years.
- Psychological Screening—The SPB medical office reviewed and approved 9,700 medical evaluations in the last year.
- Testing Center—This new facility has 21 computer work stations and allows job seekers to test for the Office Assistant exam and Staff Services Analyst exam after applying and scheduling themselves on-line. The new computerized testing system also gives immediate results.
- Bilingual Services Program—This unit is now fully staffed and lends support to departments in meeting their bilingual services needs.
- On-Line Application—The SPB has created a new On-Line State Application that will allow applicants to fill out a job application and file it electronically.

## Authority

California Constitution, Article VII, Sections 2 and 3; Government Code, Title 2, Division 5.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Merit System Administration | 98.8 | 135.1 | 135.1 | $14,524 | $18,208 | $17,243 |
| 40 Local Government Services | 0.5 | 0.5 | 0.5 | 1,761 | 2,557 | 2,733 |
| 50.01 Administrative Services | 52.4 | 50.0 | 50.0 | 3,874 | 3,956 | 3,971 |
| 50.02 Distributed Administrative Services | – | – | – | −3,285 | −3,338 | −3,336 |
| TOTALS, PROGRAMS | 151.7 | 185.6 | 185.6 | $16,874 | $21,383 | $20,611 |
| *0001 General Fund* | | | | *7,431* | *8,922* | *7,939* |
| *0995 Reimbursements* | | | | *9,443* | *12,461* | *12,672* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1880 STATE PERSONNEL BOARD—Continued

### 10 MERIT SYSTEM ADMINISTRATION

#### Program Objectives Statement

A constitutional Board of five members is appointed by the Governor to ten-year terms. The Board provides direction to the State Civil Service System through its authority to adopt rules and promulgate policy in the area of personnel management. Ongoing program management is provided through the Executive Officer, who is appointed by the Board. Legal advice and strategy are developed by the Chief Counsel.

This program provides a hearing and appellate process for reviewing state disciplinary actions as well as other merit oversight activities. Included are activities to promulgate statewide policies and procedures necessary to insure a fair and merit-based selection process within the civil service system.

#### Major Budget Adjustments Included in 2000–01

- $1,435,000 Reimbursements and 4.0 positions for increased psychological screenings of peace officer applicants for the Department of Corrections, the California Youth Authority, and the California Highway Patrol.
- $589,000 Reimbursements to provide merit systems support for transitioning local child support service agencies.

#### Major Budget Adjustments Proposed for 2001–02

- $1,433,000 Reimbursements and 4.0 positions for increased psychological screenings of peace officer applicants for the Department of Corrections, the California Youth Authority, and the California Highway Patrol.
- $768,000 Reimbursements to provide merit systems support for transitioning local child support service agencies.

### 40 LOCAL GOVERNMENT SERVICES

#### Program Objectives Statement

This program provides direction and assistance to local, grant-aided agencies to ensure that their personnel programs are operated effectively and efficiently, and continue to qualify for federal funds.

The Interpreter Program ensures a pool of qualified non-English language interpreters for use in California administrative hearings and medical interpreters for medical examinations conducted for the purpose of determining damages in court actions.

### 50 ADMINISTRATIVE SERVICES

#### Program Objectives Statement

This program provides fiscal, personnel, training, facility maintenance, information technology, contracting and a variety of other administrative services in support of the Board's programs.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 MERIT SYSTEM ADMINISTRATION

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$7,431* | *$8,922* | *$7,939* |
| *0995 Reimbursements* | *7,093* | *9,286* | *9,304* |
| Totals, State Operations | $14,524 | $18,208 | $17,243 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.20 List Establishment | | | |
| State Operations: | | | |
| *0001 General Fund* | *2,116* | *2,261* | *2,259* |
| *0995 Reimbursements* | *983* | *722* | *725* |
| Totals, State Operations | $3,099 | $2,983 | $2,984 |
| 10.30 Personnel Management Policy Development | | | |
| State Operations: | | | |
| *0001 General Fund* | *1,388* | *1,485* | *1,465* |
| *0995 Reimbursements* | *842* | *744* | *765* |
| Totals, State Operations | $2,230 | $2,229 | $2,230 |
| 10.40 Civil Rights Program | | | |
| State Operations: | | | |
| *0001 General Fund* | *290* | *305* | *305* |
| *0995 Reimbursements* | *141* | *157* | *158* |
| Totals, State Operations | $431 | $462 | $463 |
| 10.60 Merit Oversight | | | |
| State Operations: | | | |
| *0001 General Fund* | *1,787* | *1,815* | *1,815* |
| *0995 Reimbursements* | *2,141* | *4,065* | *4,076* |
| Totals, State Operations | $3,928 | $5,880 | $5,891 |

*** Dollars in thousands, except in Salary Range.**

## 1880 STATE PERSONNEL BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10.70 Merit Appeals | | | |
| State Operations: | | | |
| *0001 General Fund* | *$1,762* | *$1,924* | *$1,924* |
| Totals, State Operations | $1,762 | $1,924 | $1,924 |
| 10.80 Hearing Office | | | |
| State Operations: | | | |
| *0001 General Fund* | *88* | *1,132* | *171* |
| *0995 Reimbursements* | *2,986* | *3,598* | *3,580* |
| Totals, State Operations | $3,074 | $4,730 | $3,751 |
| **PROGRAM REQUIREMENTS** | | | |
| **40 LOCAL GOVERNMENT SERVICES** | | | |
| State Operations: | | | |
| *0995 Reimbursements* | *$1,761* | *$2,557* | *$2,733* |
| Totals, State Operations | $1,761 | $2,557 | $2,733 |
| **ELEMENT REQUIREMENTS** | | | |
| 40.20 Merit System Services | | | |
| State Operations: | | | |
| *0995 Reimbursements* | *1,638* | *2,174* | *2,357* |
| Totals, State Operations | $1,638 | $2,174 | $2,357 |
| 40.50 Court Interpreter Services | | | |
| State Operations: | | | |
| *0995 Reimbursements* | *123* | *383* | *376* |
| Totals, State Operations | $123 | $383 | $376 |
| **PROGRAM REQUIREMENTS** | | | |
| **50 ADMINISTRATIVE SERVICES (undistributed)** | | | |
| State Operations: | | | |
| *0995 Reimbursements* | *$589* | *$618* | *$635* |
| Totals, State Operations | $589 | $618 | $635 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $16,874 | $21,383 | $20,611 |
| TOTALS, EXPENDITURES | $16,874 | $21,383 | $20,611 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 151.7 | 192.0 | 192.0 | $8,057 | $10,015 | $10,180 |
| Total Adjustments | – | 4.0 | 4.0 | – | 219 | 233 |
| Estimated Salary Savings | – | −10.4 | −10.4 | – | −561 | −570 |
| Net Totals, Salaries and Wages | 151.7 | 185.6 | 185.6 | $8,057 | $9,673 | $9,843 |
| Staff Benefits | – | – | – | 1,773 | 2,245 | 2,284 |
| Totals, Personal Services | 151.7 | 185.6 | 185.6 | $9,830 | $11,918 | $12,127 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $7,044 | $9,465 | $8,484 |
| TOTALS, EXPENDITURES | | | | $16,874 | $21,383 | $20,611 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $7,143 | $8,930 | $7,939 |
| Allocation for employee compensation | 464 | 41 | – |
| Allocation for contingencies or emergencies | 175 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1880 STATE PERSONNEL BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Adjustment per Section 3.60 | −$335 | −$76 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 27 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Totals Available | $7,450 | $8,922 | $7,939 |
| Unexpended balance, estimated savings | −19 | – | – |
| TOTALS, EXPENDITURES | $7,431 | $8,922 | $7,939 |
| **0995 Reimbursements** | | | |
| Reimbursements | $9,443 | $12,461 | $12,672 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $16,874 | $21,383 | $20,611 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 151.7 | 192.0 | 192.0 | $8,057 | $10,015 | $10,180 |
| Salary adjustments | – | – | – | – | 27 | 32 |
| Proposed New Positions: | | | | | | |
| Personnel Resources and Innovations Division: | | | | Salary Range | | |
| Psychologist | – | 2.0 | 2.0 | 4,749–6,234 | 132 | 138 |
| Ofc Techn-Typing | – | 2.0 | 2.0 | 2,258–2,745 | 60 | 63 |
| Totals, Proposed New Positions | – | 4.0 | 4.0 | – | $192 | $201 |
| Total Adjustments | – | 4.0 | 4.0 | – | $219 | $233 |
| TOTALS, SALARIES AND WAGES | 151.7 | 196.0 | 196.0 | $8,057 | $10,234 | $10,413 |

# 1900 PUBLIC EMPLOYEES' RETIREMENT SYSTEM

The California Public Employees' Retirement System (CalPERS) administers retirement and health benefits to more than 1.1 million past and present public employees in California. This includes retirement, disability, and death benefits; administration of Social Security coverage for State employees; and the development, negotiation, and administration of contracts with a number of health maintenance organizations, group hospital, and medical insurance plans. In addition, CalPERS administers a long-term care program for members and eligible individuals. CalPERS is managed by a Board of Administration whose thirteen members consist of six individuals elected by specified membership groups, two individuals appointed by the Governor, one individual appointed jointly by the Speaker of the Assembly and the Senate Rules Committee, the Director of the Department of Personnel Administration, the State Treasurer, the State Controller, and one individual designated by the State Personnel Board.

Participants in the System's programs include State employees, classified school employees, volunteer firefighters, judges, legislators, and any other public employees whose employer has contracted for benefits administered by CalPERS.

The CalPERS Board of Administration interprets Proposition 162, approved by California voters on November 3, 1992, as amending the California Constitution to give the Board of Administration plenary authority for administration of the operations of the CalPERS. Consequently, the Board of Administration has concluded that Proposition 162 provides the Board with the sole and exclusive authority over the investment and administration of the System's resources pursuant to the California State Constitution, Article XVI, Section 17. Therefore, the budget data are presented for informational purposes only, with the exception of that component of the Health Benefits program funded from the Public Employees' Contingency Reserve Fund. In addition, this budget presentation does not include any 2001–02 budget change proposals. Budget change proposals for 2001–02 are currently being considered by the CalPERS Board and will be disclosed during the 2001–02 Legislative budget hearing process.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Retirement | 493.6 | 559.1 | 559.1 | $34,301 | $36,363 | $36,525 |
| 30 Health Benefits | 120.7 | 144.3 | 144.3 | 8,484 | 14,510 | 17,421 |
| 40 Investment Operations | 87.1 | 123.1 | 123.1 | 88,472 | 95,174 | 95,237 |
| 50 Administration | 597.2 | 717.0 | 717.0 | 137,867 | 138,761 | 137,005 |
| TOTALS, PROGRAMS | 1,298.6 | 1,543.5 | 1,543.5 | $269,124 | $284,808 | $286,188 |
| *0815 Judges' Retirement Fund* | | | | *360* | *380* | *382* |
| *0820 Legislators' Retirement Fund* | | | | *206* | *235* | *234* |
| *0822 Public Employees' Health Care Fund (PEHCF)* | | | | *2,458* | *4,376* | *4,313* |
| *0830 Public Employees' Retirement Fund* | | | | *254,310* | *266,063* | *264,623* |
| *0884 Judges' Retirement System II Fund* | | | | *175* | *284* | *284* |
| *0950 Public Employees Contingency Reserve Fund* | | | | *8,512* | *9,162* | *12,037* |
| *0962 Volunteer Firefighter Length of Service Award Fund* | | | | *75* | *76* | *77* |
| *0995 Reimbursements* | | | | *3,028* | *4,232* | *4,238* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1900 PUBLIC EMPLOYEES' RETIREMENT SYSTEM—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 0001 | General Fund (State retirement contribution to CalPERS) | ($71,225) | ($78,362) | ($78,362) |
| 0494 | Special Funds (State retirement contribution to CalPERS) | (45,785) | (50,151) | (50,151) |
| 0988 | Nongovernmental Cost Funds (State retirement contribution to CalPERS) | (25,754) | (28,210) | (28,210) |

## 10 RETIREMENT

### Program Objectives Statement

This program provides retirement planning education and service retirement, disability, and death benefits for employees of California public employers. CalPERS provides retirement benefits based on service or disability to members; death benefits for members and annuitants; survivors' benefits for members not covered by Social Security; and for members in certain occupations, special benefits based on death or disability incurred in the line of duty. There are currently 863,781 members and 355,690 annuitants under the CalPERS system.

Retirement benefit stipends generally are based upon age at retirement, years of service, and compensation. There are different formulas for each of the member categories, such as miscellaneous, industrial, CHP, and safety. Over 50 contract options are available to contracting public agencies, including survivor benefits for members without Social Security coverage. Benefits are modified by the selection of one of several options and by the employee's membership category. A member's retirement and death benefits are determined by contract options.

As of June 30, 2000, there were 2,457 public agency and school district employers providing CalPERS retirement, death, and survivor benefits to California public employees. CalPERS benefits are portable for members who continue their careers with many other public employers in California because of reciprocity agreements.

CalPERS also administers the Legislators', Judges', and Volunteer Firefighters' Retirement Systems.

### Authority

Title 2, Division 5, Part 3, Government Code.

Note: The amounts enclosed in parentheses represent the State's contributions to the Public Employees' Retirement System for the retirement costs of State members of the System.

## 30 HEALTH BENEFITS

### Program Objectives Statement

This program contracts with health maintenance organizations, claim administrators, employee associations, and others to provide health and long term care insurance for State, California State University, and local Public Agency employees, annuitants, and their dependents. Primary program objectives are to negotiate and monitor health plan contracts; develop cost effective programs; meet and confer with employee organizations and employer representatives; maintain demographic and statistical information systems; establish standards for Basic, Supplement to Medicare, and Managed Medicare health plans; establish and maintain health benefits coverage for eligible employees and annuitants; establish by resolution coverage for public agencies; provide accurate and timely accounting for participating agencies and serve as an impartial intermediary between CalPERS enrollees and insurance carriers in resolving claim and service disputes.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $2.9 million for the Contingency Reserve Fund and an equivalent reduction in the Public Employees' Retirement Fund in 2001–02 to facilitate the equitable allocation of administrative costs.

### Authority

Title 2, Division 5, Part 5, Government Code.

## 40 INVESTMENT OPERATIONS

### Program Objectives Statement

This program invests trust funds in various asset classes for the purposes of minimizing employer contributions to the system as well as providing benefits to active participants, retired members, and their beneficiaries. Investments are made in domestic and international fixed income, domestic and international stocks, mortgages, real estate holdings, venture capital, mortgage-backed securities, and other similar investments.

Over the past 67 years, the System has grown from $800,000 in 1932 to its current value of $172.2 billion, as of June 30, 2000.

### Authority

Article XVI, Section 17, State Constitution.

## 50 ADMINISTRATION

### Program Objectives Statement

The internal management of CalPERS is presented under this program. The objective of the Administration Program is to provide executive direction, specialized information, and administrative support necessary to administer all CalPERS programs. The various services include: Audits; Change Management; Executive; Fiscal Services; Human Resources; Information Security; Information Technology Services; Legal; Office of Governmental Affairs; Operation Support; Planning and Research; and Public Affairs.

*** Dollars in thousands, except in Salary Range.**

## 1900 PUBLIC EMPLOYEES' RETIREMENT SYSTEM—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1,298.6 | 1,549.7 | 1,549.7 | $67,423 | $80,024 | $81,740 |
| Total Adjustments | – | – | – | – | 83 | 126 |
| Estimated Salary Savings | – | −6.2 | −6.2 | – | −301 | −310 |
| Net Totals, Salaries and Wages | 1,298.6 | 1,543.5 | 1,543.5 | $67,423 | $79,806 | $81,556 |
| Staff Benefits | – | – | – | 11,710 | 14,852 | 14,894 |
| Totals, Personal Services | 1,298.6 | 1,543.5 | 1,543.5 | $79,133 | $94,658 | $96,450 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $114,007 | $109,006 | $108,594 |
| Other: | | | | | | |
| External Investment Advisors | | | | 75,984 | 81,144 | 81,144 |
| Totals, Operating Expenses and Equipment | | | | $189,991 | $190,150 | $189,738 |
| TOTALS, EXPENDITURES | | | | $269,124 | $284,808 | $286,188 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State retirement contribution to CalPERS (expenditures) | ($71,225) | ($78,362) | ($78,362) |

#### 0494 Special Funds [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| State retirement contribution to CalPERS (expenditures) | ($45,785) | ($50,151) | ($50,151) |

#### 0815 Judges' Retirement Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 015 Budget Act appropriation (PERS Support Funding) | ($354) | ($383) | ($382) |
| Revised expenditure authority | (6) | (−3) | – |
| California Constitution, Article XVI, Section 17 | 360 | 380 | 382 |
| TOTALS, EXPENDITURES | $360 | $380 | $382 |

#### 0820 Legislators' Retirement Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 015 Budget Act appropriation (PERS Support Funding) | ($202) | ($216) | ($234) |
| Revised expenditure authority | (4) | (19) | – |
| California Constitution, Article XVI, Section 17 | 206 | 235 | 234 |
| TOTALS, EXPENDITURES | $206 | $235 | $234 |

#### 0822 Public Employees' Health Care Fund (PEHCF) [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 22840.2 (PERSCARE administrative costs) (expenditures) | $2,458 | $4,376 | $4,313 |

#### 0830 Public Employees' Retirement Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 003 Budget Act appropriation (External Investment Advisors) | ($73,464) | ($73,688) | ($81,144) |
| 015 Budget Act appropriation (PERS Support Funding) | (153,077) | (182,136) | (183,479) |
| Revised expenditure authority | (27,769) | (10,239) | – |
| California Constitution, Article XVI, Section 17 | 178,276 | 184,869 | 183,429 |
| Government Code Section 20236 (Investment related bill analysis) | 50 | 50 | 50 |
| Government Code Section 20210 (External Investment Advisors) | 75,984 | 81,144 | 81,144 |
| TOTALS, EXPENDITURES | $254,310 | $266,063 | $264,623 |

*** Dollars in thousands, except in Salary Range.**

## 1900 PUBLIC EMPLOYEES' RETIREMENT SYSTEM—Continued

### 0884 Judges' Retirement System II Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 015 Budget Act appropriation (PERS Support Funding) | ($172) | ($184) | ($284) |
| Revised expenditure authority | (3) | (100) | – |
| California Constitution, Article XVI, Section 17 | 175 | 284 | 284 |
| TOTALS, EXPENDITURES | $175 | $284 | $284 |

### 0950 Public Employees Contingency Reserve Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $8,522 | $9,194 | $12,037 |
| Allocation for employee compensation | 288 | 10 | – |
| Adjustment per Section 3.60 | −298 | −42 | – |
| TOTALS, EXPENDITURES | $8,512 | $9,162 | $12,037 |

### 0962 Volunteer Firefighter Length of Service Award Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 015 Budget Act appropriation (PERS Support Funding) | ($76) | ($77) | ($77) |
| Revised expenditure authority | (−1) | (−1) | – |
| California Constitution, Article XVI, Section 17 | 75 | 76 | 77 |
| TOTALS, EXPENDITURES | $75 | $76 | $77 |

### 0988 Nongovernmental Cost Funds [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| State retirement contribution to CalPERS (expenditures) | ($25,754) | ($28,210) | ($28,210) |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $3,028 | $4,232 | $4,238 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $269,124 | $284,808 | $286,188 |

---

## RECONCILIATION WITH APPROPRIATIONS

### 4 UNCLASSIFIED

| 0615 State Peace Officers' and Firefighters' Defined Contribution Plan Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Government Code Sections 22960.45–22960.49 (benefits paid) (expenditures) | $214 | $735 | $1,259 |
| **0822 Public Employees' Health Care Fund (PEHCF) [n]** | | | |
| Government Code Section 22840.2 (benefits paid) (expenditures) | $541,035 | $569,750 | $616,500 |
| **0830 Public Employees' Retirement Fund [n]** | | | |
| Government Code Sections 20170–20178 (benefits paid) (expenditures) | $5,176,074 | $5,575,000 | $6,084,000 |
| **0962 Volunteer Firefighter Length of Service Award Fund [n]** | | | |
| Government Code Section 50956 (Service Award Payments) (expenditures) | $41 | $50 | $55 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | $5,717,364 | $6,145,535 | $6,701,814 |

---

## FUND CONDITION STATEMENT

| 0259 Supplemental Contributions Program Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | $22,408 | $27,258 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | −$368 | 3,000 | 3,300 |
| 299000 Other operating revenues: | | | |
| Member contributions | 1,315 | 2,500 | 2,750 |
| 221000 Refund of contributions | −574 | −650 | −715 |
| Totals, Operating Revenues | $373 | $4,850 | $5,335 |

*** Dollars in thousands, except in Salary Range.**

## 1900 PUBLIC EMPLOYEES' RETIREMENT SYSTEM—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Transfers from Other Funds: | | | |
| F00830 Equity transfers in from PERF, per Chapter 307, Statutes of 1999 | $22,035 | – | – |
| Totals, Transfers from Other Funds | $22,035 | – | – |
| Totals, Revenues and Transfers | $22,408 | $4,850 | $5,335 |
| Totals, Resources | $22,408 | $27,258 | $32,593 |
| FUND BALANCE | $22,408 | $27,258 | $32,593 |

### 0615 State Peace Officers' and Firefighters' Defined Contribution Plan Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $16,048 | $48,265 | $85,357 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 2,420 | 4,827 | 8,536 |
| 299000 Other operating revenues: | | | |
| Employer contributions | 30,011 | 33,000 | 36,300 |
| Totals, Operating Revenues | $32,431 | $37,827 | $44,836 |
| Totals, Resources | $48,479 | $86,092 | $130,193 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| Unclassified: | | | |
| Administrative cost—CalPERS | 214 | 235 | 259 |
| Retirement allowances | – | 500 | 1,000 |
| Totals, Disbursements | $214 | $735 | $1,259 |
| FUND BALANCE | $48,265 | $85,357 | $128,934 |

### 0822 Public Employees' Health Care Fund (PEHCF) [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $98,157 | $26,761 | $10,635 |
| Prior year adjustments | –1 | – | – |
| Balance, Adjusted | $98,156 | $26,761 | $10,635 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments (interest) | 10,891 | 3,000 | 1,500 |
| 221000 Contributions to fiduciary funds: | | | |
| Premiums | 461,207 | 555,000 | 640,000 |
| Totals, Operating Revenues | $472,098 | $558,000 | $641,500 |
| Totals, Resources | $570,254 | $584,761 | $652,135 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1900 Public Employees' Retirement System: | | | |
| State Operations: | | | |
| Administrative cost—PERS | 2,458 | 4,376 | 4,313 |
| Totals, State Operations | $2,458 | $4,376 | $4,313 |
| Unclassified: | | | |
| Administrative cost—Controllers | 2,350 | 2,475 | 2,600 |
| Administrative cost—Carriers | 30,737 | 32,275 | 33,900 |
| Medical payments | 346,935 | 365,000 | 400,000 |
| Drug payments | 161,013 | 170,000 | 180,000 |
| Totals, Unclassified | $541,035 | $569,750 | $616,500 |
| Totals, Disbursements | $543,493 | $574,126 | $620,813 |
| FUND BALANCE | $26,761 | $10,635 | $31,322 |

### 0830 Public Employees' Retirement Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $159,357,022 | $172,538,647 | $180,556,184 |
| Prior year adjustments | 763 | – | – |
| Balance, Adjusted | $159,357,785 | $172,538,647 | $180,556,184 |

*** Dollars in thousands, except in Salary Range.**

## 1900 PUBLIC EMPLOYEES' RETIREMENT SYSTEM—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | $16,656,459 | $12,000,000 | $12,000,000 |
| 221000 Contributions to fiduciary funds | 2,113,904 | 2,000,000 | 2,300,000 |
| 299000 Other | 2,178 | 2,200 | 2,200 |
| 299000 Other—Unclaimed benefits returned | 1,392 | 1,400 | 1,400 |
| 221000 Refunds of contributions | −140,644 | −145,000 | −150,000 |
| Totals, Operating Revenues | $18,633,289 | $13,858,600 | $14,153,600 |
| Transfers to Other Funds: | | | |
| T00259 Supplemental Contributions Program Fund, per Chapter 307, Statutes of 1999 | −22,035 | – | – |
| Totals, Transfers to Other Funds | −$22,035 | – | – |
| Totals, Revenues and Transfers | $18,611,254 | $13,858,600 | $14,153,600 |
| Totals, Resources | $177,969,039 | $186,397,247 | $194,709,784 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1900 Public Employees' Retirement System: | | | |
| State Operations: | | | |
| Support | 178,276 | 184,869 | 183,429 |
| Investment related bill analysis (Govt Code Section 20139.5) | 50 | 50 | 50 |
| External Investment Advisors (Govt Code Section 20216.5) | 75,984 | 81,144 | 81,144 |
| Totals, State Operations | $254,310 | $266,063 | $264,623 |
| Unclassified: | | | |
| Retirement allowances | 5,109,898 | 5,500,000 | 6,000,000 |
| Death benefits | 66,176 | 75,000 | 84,000 |
| Totals, Unclassified | $5,176,074 | $5,575,000 | $6,084,000 |
| 9670 Legislative Claims (State Operations) | 8 | – | – |
| Totals, Disbursements | $5,430,392 | $5,841,063 | $6,348,623 |
| FUND BALANCE | $172,538,647 | $180,556,184 | $188,361,161 |
| Reserve for deficiencies | 382,315 | 415,000 | 450,000 |
| Remaining assets available for future benefits | 172,156,332 | 180,141,184 | 187,911,161 |

### 0950 Public Employees Contingency Reserve Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,933 | $8,179 | $10,330 |
| Prior year adjustments | −18 | – | – |
| Balance, Adjusted | $3,915 | $8,179 | $10,330 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments (interest) | 523 | 595 | 656 |
| 221000 Contributions to fiduciary funds: | | | |
| Administrative | 12,253 | 10,718 | 11,812 |
| Totals, Operating Revenues | $12,776 | $11,313 | $12,468 |
| Totals, Resources | $16,691 | $19,492 | $22,798 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1900 Public Employees' Retirement System (State Operations) | 8,512 | 9,162 | 12,037 |
| Totals, Disbursements | $8,512 | $9,162 | $12,037 |
| FUND BALANCE | $8,179 | $10,330 | $10,761 |

### 0962 Volunteer Firefighter Length of Service Award Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,208 | $2,423 | $2,697 |
| Prior year adjustments | −13 | – | – |
| Balance, Adjusted | $2,195 | $2,423 | $2,697 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 130 | 150 | 150 |
| 299000 Other operating revenues: | | | |
| Department contribution | 214 | 250 | 300 |
| Totals, Operating Revenues | $344 | $400 | $450 |
| Totals, Resources | $2,539 | $2,823 | $3,147 |

*** Dollars in thousands, except in Salary Range.**

## 1900 PUBLIC EMPLOYEES' RETIREMENT SYSTEM—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| 1900 Public Employees' Retirement System: | | | |
| State Operations | $75 | $76 | $77 |
| Unclassified: | | | |
| Service award payments | 41 | 50 | 55 |
| Totals, Disbursements | $116 | $126 | $132 |
| FUND BALANCE | $2,423 | $2,697 | $3,015 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 1,298.6 | 1,549.7 | 1,549.7 | $67,423 | $80,024 | $81,740 |
| Salary adjustments | – | – | – | – | 83 | 126 |
| Totals, Adjusted Authorized Positions | 1,298.6 | 1,549.7 | 1,549.7 | $67,423 | $80,107 | $81,866 |
| Total Adjustments | – | – | – | – | $83 | $126 |
| TOTALS, SALARIES AND WAGES | 1,298.6 | 1,549.7 | 1,549.7 | $67,423 | $80,107 | $81,866 |

# 1920 STATE TEACHERS' RETIREMENT SYSTEM

The California State Teachers' Retirement System (STRS) is the largest teachers' retirement system in the United States. It has a total membership of approximately 661,372 and assets of $112.8 billion as of June 2000. STRS' primary responsibility is to provide retirement related benefits and services to teachers in public schools from kindergarten through the community college system.

The State Teachers' Retirement Board (Board) has exclusive control over the investment and administration of the Teachers' Retirement Fund. The Board makes rules, sets policies, and has the power and authority to hear and determine all facts pertaining to application for benefits under the retirement system. The twelve-member Board consists of four ex-officio members, including the Superintendent of Public Instruction, the State Treasurer, the State Controller, and the Director of Finance. The Governor-appointed members of the Board include four members of STRS, one retiree of STRS, and three representatives from the general public.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Service to Members and Employers | 324.1 | 356.1 | 364.9 | $26,334 | $30,778 | $34,325 |
| 20 Administration—distributed | 153.9 | 167.4 | 174.7 | 24,672 | 24,909 | 25,400 |
| TOTALS, PROGRAMS | 478.0 | 523.5 | 539.6 | $51,006 | $55,687 | $59,725 |
| *0001 General Fund (Retirement Contributions—Informational)* | | | | *(936,528)* | *(902,353)* | *(873,564)* |
| *0835 Teachers' Retirement Fund* | | | | *50,429* | *54,848* | *59,023* |
| *Supplemental Benefits Maintenance Account, Teachers' Retirement Fund* | | | | *(63)* | *(63)* | *(63)* |
| *0995 Reimbursements* | | | | *577* | *339* | *339* |
| *8001 Teachers' Health Benefits Fund* | | | | *–* | *500* | *363* |

## 10 SERVICE TO MEMBERS AND EMPLOYERS

### Program Objectives Statement

The primary objectives of the STRS are as follows:

1. To maintain a financially sound retirement system.
2. To maintain an efficient operational system for the administration of STRS.
3. To continuously improve the delivery of benefits and services to STRS members.
4. To expand and improve upon benefits while minimizing taxpayer costs.

Income to the STRS is derived from member contributions, employing school district contributions, appropriations from the General Fund, and investment earnings (see 6300-Contributions to Teachers' Retirement Fund for State contributions for benefits). The cost of administration is paid from the State Teachers' Retirement Fund as provided in Section 22304 of the Education Code.

The STRS is responsible for the determination and payment of benefits to members, retirees, and beneficiaries, and for the distribution of information to all members, employers, and other interested groups. The three basic benefits provided by STRS are the service retirement allowance, survivor, and disability benefits. The basic retirement allowance is determined on the basis of a member's age, years of service, and final compensation. The member may modify the basic allowance by selecting a joint and survivor annuity option to provide for a beneficiary. Generally, the vast majority of the payments of benefits are made no later than 45 days after receipt of the application, effective date, or receipt of all necessary information.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 1920 STATE TEACHERS' RETIREMENT SYSTEM—Continued

### Major Budget Adjustments Proposed for 2001–02

- $5.3 million ($3.9 million in one-time) and 4.6 positions for information technology and E-service projects. (Includes $36,000 and 1.6 positions in Temporary Help and $85,000 in Overtime).
- $269,000 and 3 positions to establish a Health Benefits Program.
- $326,000 and 4 positions to address enhanced investment activities in the Investment Branch.
- $1.1 million and 8 positions to meet various workload increases in support and to administer new changes to the retirement statutes.
- $398,000 and 4 positions to manage the billing service process.
- $1.9 million and 8 positions to support the Supplemental Benefit Program.

### Authority

Education Code, Section 22000 et seq.

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 478.0 | 552.9 | 541.7 | $22,404 | $26,459 | $26,624 |
| Total Adjustments | – | 4.0 | 32.3 | – | 191 | 1,578 |
| Estimated Salary Savings | – | −33.4 | −34.4 | – | −1,482 | −1,587 |
| Net Totals, Salaries and Wages | 478.0 | 523.5 | 539.6 | $22,404 | $25,168 | $26,615 |
| Staff Benefits | – | – | – | 4,273 | 4,685 | 5,104 |
| Totals, Personal Services | 478.0 | 523.5 | 539.6 | $26,677 | $29,853 | $31,719 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $24,329 | $25,834 | $28,006 |
| TOTALS, EXPENDITURES | | | | $51,006 | $55,687 | $59,725 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 011 Budget Act appropriation (State retirement contribution) | ($936,528) | ($1,003,271) | ($873,564) |
| Revised estimate | – | (−100,918) | – |
| TOTALS, EXPENDITURES | ($936,528) | ($902,353) | ($873,564) |

### 0835 Teachers' Retirement Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $49,254 | $53,598 | $58,960 |
| 002 Budget Act (external investment advisors) | (52,600) | (52,600) | (62,000) |
| Revised estimate | (8,665) | (7,400) | – |
| Education Code Section 22954 (Administration of the Supplemental Benefit Maintenance Account) | 63 | 63 | 63 |
| Allocation for employee compensation | 2,139 | 70 | – |
| Adjustment per Section 3.60 | −1,387 | −285 | – |
| Adjustment per Section 16.00 | 14 | – | – |
| Chapter 740, Statutes of 1999 (Health Benefits Program Development) | 625 | – | – |
| Chapter 632, Statutes of 1999 (Minimum Allowance) | 750 | – | – |
| Chapter 1021, Statutes of 2000 (Supplemental Benefits) | – | 600 | – |
| Prior year balances available: | | | |
| Item 1920-001-0835, Budget Act of 1997 as reappropriated by Item 1920-490, Budget Act of 1998 | 549 | – | – |
| Item 1920-001-0835, Budget Act of 1998 as reappropriated by Item 1920-490, Budget Act of 1999 | 600 | – | – |
| Chapter 968, Statutes of 1998 | 1 | – | – |
| Chapter 632, Statutes of 1999 | – | 312 | – |
| Chapter 740, Statutes of 1999 | – | 490 | – |
| Totals Available | $52,608 | $54,848 | $59,023 |
| Balance available in subsequent years | −802 | – | – |
| Unexpended balance, estimated savings | −1,377 | – | – |
| TOTALS, EXPENDITURES | $50,429 | $54,848 | $59,023 |

*** Dollars in thousands, except in Salary Range.**

## 1920 STATE TEACHERS' RETIREMENT SYSTEM—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | $577 | $339 | $339 |
| **8001 Teachers' Health Benefits Fund [n]** | | | |
| Education Code Section 25930 | – | – | $363 |
| Chapter 1032, Statutes of 2000 and Education Code Section 25930 | – | $500 | – |
| TOTALS, EXPENDITURES | – | $500 | $363 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $51,006 | $55,687 | $59,725 |

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 4 UNCLASSIFIED

### 0164 Teachers' Replacement Benefits Program Fund [s]

| BENEFITS PAID | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Education Code Section 24260 (benefit payments) | – | $9 | $18 |
| Totals, Benefits Paid | – | $9 | $18 |

### 0835 Teachers' Retirement Fund [n]

| BENEFITS PAID | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Education Code Section 24202 (benefit payments) | $3,432,962 | $3,791,771 | $4,187,675 |
| Education Code Section 24414 (purchasing power benefit payments) | 190,478 | 185,207 | 256,399 |
| Totals, Benefits Paid | $3,623,440 | $3,976,978 | $4,444,074 |
| ADMINISTRATION | | | |
| Section 22307 Education Code (administrative costs) | 141,364 | 148,786 | 160,483 |
| TOTALS, EXPENDITURES | $3,764,804 | $4,125,764 | $4,604,557 |

### 8001 Teachers' Health Benefits Fund [n]

| BENEFITS PAID | | | |
|---|---|---|---|
| Education Code Section 25930 (benefit payments) | – | $3,884 | $47,828 |
| Totals, Benefits Paid | – | $3,884 | $47,828 |
| TOTALS, EXPENDITURES (Unclassified) | $3,764,804 | $4,129,657 | $4,652,403 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Unclassified) | $3,815,810 | $4,185,344 | $4,712,128 |

## FUND CONDITION STATEMENT

| 0164 Teachers' Replacement Benefits Program Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Receipts: | | | |
| Operating Revenues: | | | |
| 161400 Miscellaneous Revenue | – | $9 | $18 |
| Totals, Operating Revenues | – | $9 | $18 |
| Totals, Receipts | – | $9 | $18 |
| Totals, Resources | – | $9 | $18 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1920 State Teachers' Retirement System | | | |
| Unclassified: | | | |
| Benefits: | | | |
| Retired benefits | – | $9 | $18 |
| Totals, Benefits | – | $9 | $18 |
| Totals, Disbursements | – | $9 | $18 |
| REMAINING ASSETS AVAILABLE FOR FUTURE BENEFITS | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 1920 STATE TEACHERS' RETIREMENT SYSTEM—Continued

### 0835 Teachers' Retirement Fund [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE (Prior year resources) | | | |
| Assets: | | | |
| Cash in Treasury | $1,932 | $3,234 | $3,000 |
| Investments at Fair Value | 99,562,570 | 111,320,194 | 124,781,917 |
| Accounts receivable | 2,853,025 | 4,815,591 | 4,000,000 |
| Equipment | 221 | 339 | 400 |
| Securities Lending Collateral | 15,516,345 | 16,107,099 | 17,000,000 |
| Total Assets | $117,934,093 | $132,246,457 | $145,785,317 |
| Liabilities: | | | |
| Accounts payable | 2,514,247 | 3,211,298 | 2,500,000 |
| Deferred income | 112,495 | 139,687 | 90,000 |
| Other liabilities | 6,279 | 6,685 | 6,000 |
| Securities Lending Obligation | 15,516,345 | 16,107,099 | 17,000,000 |
| Total Liabilities | $18,149,366 | $19,464,769 | $19,596,000 |
| BEGINNING BALANCE | $99,784,727 | $112,781,688 | $126,189,317 |
| Prior year adjustment | –1,784 | – | – |
| Balance, Adjusted | $99,782,943 | $112,781,688 | $126,189,317 |
| REVENUES AND TRANSFERS | | | |
| Receipts: | | | |
| Operating Revenues: | | | |
| 215000 Income from investments: | | | |
| Purchasing power receipts (State Lands Royalties) | 2,704 | 3,210 | 4,000 |
| Other investment income | 12,700,718 | 13,391,212 | 14,119,245 |
| 221000 Member contributions | 1,532,380 | 1,579,271 | 1,627,597 |
| 299000 State Contribution (Benefits Funding) | 518,584 | 454,624 | 385,539 |
| 299000 Purchasing power receipts (SBMA) Federal | – | 36 | 36 |
| 299000 Purchasing power receipts (SBMA) | 417,944 | 447,729 | 488,025 |
| 299000 Employer contributions | 1,590,891 | 1,653,890 | 1,719,384 |
| 299000 Other receipts | 436 | 400 | 300 |
| 299000 Securities Lending Income (net) [1] | 50,321 | 57,869 | 66,550 |
| Totals, Operating Revenues | $16,813,978 | $17,588,241 | $18,410,676 |
| Totals, Resources | $116,596,921 | $130,369,929 | $144,599,993 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1920 State Teachers' Retirement System | | | |
| State Operations: | | | |
| Administrative support | 50,429 | 54,848 | 59,023 |
| Unclassified: | | | |
| Benefits: | | | |
| Retired benefits | 3,266,570 | 3,615,647 | 4,000,846 |
| Disability family benefits | 79,031 | 83,314 | 87,830 |
| Survivor benefits | 33,714 | 36,067 | 38,585 |
| Death benefits | 53,295 | 56,743 | 60,414 |
| Subvention payments | 352 | – | – |
| Purchasing power payments (SBMA and State Lands Royalties) | 190,478 | 185,207 | 256,399 |
| Totals, Benefits | $3,623,440 | $3,976,978 | $4,444,074 |
| Other: | | | |
| Investment advisors | 61,253 | 60,000 | 62,000 |
| Refunds | 79,987 | 88,730 | 98,428 |
| Delinquent benefit payment penalties | 49 | 50 | 50 |
| Benefit Related Legal and Tax Claims | 75 | 5 | 5 |
| Totals, Other | $141,364 | $148,785 | $160,483 |
| 9670 Legislative Claims (State Operations) | – | 1 | – |
| Totals, Disbursements | $3,815,233 | $4,180,612 | $4,663,580 |
| REMAINING ASSETS AVAILABLE FOR FUTURE BENEFITS | $112,781,688 | $126,189,317 | $139,936,413 |

[1] Securities Lending Expenses have been netted against Securities Lending Income in compliance with GASB 28.

*** Dollars in thousands, except in Salary Range.**

## 1920 STATE TEACHERS' RETIREMENT SYSTEM—Continued

### 8001 Teachers' Health Benefits Fund [n]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE (Prior year resources) | | | |
| Assets: | | | |
| Investments at Fair Market Value | – | – | $388 |
| Total Assets | – | – | $388 |
| Liabilities: | | | |
| Other Liabilities | – | – | – |
| Total Liabilities | – | – | – |
| BEGINNING BALANCE | – | – | $388 |
| REVENUES AND TRANSFERS | | | |
| Receipts: | | | |
| Operating Revenues: | | | |
| 299000 Employer contributions | – | $4,772 | 53,610 |
| Totals, Operating Revenues | – | $4,772 | $53,610 |
| Totals, Receipts | – | $4,772 | $53,610 |
| Totals, Resources | – | $4,772 | $53,998 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1920 State Teachers' Retirement System | | | |
| State Operations: | | | |
| Administrative support | – | 500 | 363 |
| Unclassified: | | | |
| Benefits: | | | |
| Health benefit premiums | – | 3,884 | 47,828 |
| Totals, Benefits | – | $3,884 | $47,828 |
| Totals, Disbursements | – | $4,384 | $48,191 |
| REMAINING ASSETS AVAILABLE FOR FUTURE BENEFITS | – | $388 | $5,807 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 478.0 | 552.9 | 541.7 | $22,404 | $26,459 | $26,624 |
| Workload and Administrative Adjustments: | | | | | | |
| Cash Balance Benefits Program: | | | | | | |
| Temporary Help | – | – | 0.7 | – | – | 14 |
| Overtime | – | – | – | – | – | 3 |
| Totals, Workload and Administrative Adjustments | – | – | 0.7 | – | – | $17 |
| Proposed New Positions: | | | | | | |
| Administration Branch: | | | | | | |
| Personnel Office: | | | | Salary Range | | |
| Staff Svcs Analyst | – | – | 1.0 | 2,507–3,957 | – | 43 |
| Pers Techn II | – | – | 1.0 | 2,476–3,009 | – | 37 |
| Administrative Services: | | | | | | |
| Assoc Info Sys Analyst | – | – | 1.0 | 4,110–4,997 | – | 54 |
| Investments Branch: | | | | | | |
| Investments Support: | | | | | | |
| Investment Ofcr II | – | – | 2.0 | 5,189–6,307 | – | 137 |
| Investment Ofcr I | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Assoc Investment Ofcr | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Client Benefits and Services Branch: | | | | | | |
| Client Benefits and Services Management: | | | | | | |
| Temporary Help [1] | – | – | 1.6 | – | – | 38 |
| Overtime [1] | – | – | – | – | – | 77 |
| Public Service Office: | | | | | | |
| Sr Pension Prog Rep [4] | – | 1.0 | – | 2,626–3,193 | 35 | – |
| Pension Prog Mgr | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Pension Prog Supvr | – | – | 1.0 | 4,113–4,963 | – | 55 |

*** Dollars in thousands, except in Salary Range.**

## 1920 STATE TEACHERS' RETIREMENT SYSTEM—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Sr Pension Prog Rep | – | – | 6.0 | $2,626–3,193 | – | $199 |
| Temporary Help [4] | – | 0.5 | – | – | $9 | – |
| Information and Financial Systems Branch: | | | | | | |
| Revenue and Special Accounting: | | | | | | |
| Sr Acctg Ofcr | – | – | 2.0 | 3,915–4,759 | – | 104 |
| Benefits Accounting: | | | | | | |
| Sr Acctg Ofcr [2, 4] | – | 1.5 | 3.0 | 3,915–4,759 | 78 | 156 |
| Information Technology Services Division: | | | | | | |
| Overtime [1] | – | – | – | – | – | 50 |
| Staff Info Sys Analyst | – | – | 2.0 | 4,507–5,480 | – | 119 |
| External Affairs and Program Development Branch: | | | | | | |
| Legislation, Planning, and Program Development Division: | | | | | | |
| Assoc Info Sys Analyst | – | – | 1.0 | 4,110–4,997 | – | 55 |
| Assoc Pension Prog Analyst [4] | – | 0.5 | – | 3,915–4,759 | 43 | – |
| Assoc Pension Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Pension Prog Rep [4] | – | 0.5 | – | 2,029–2,855 | 26 | – |
| Pension Prog Rep | – | – | 1.0 | 2,029–2,855 | – | 31 |
| Cash Balance Benefit Program: | | | | | | |
| Sr Pension Prog Rep | – | – | 1.0 | 2,626–3,193 | – | 35 |
| Pension Prog Rep [3] | – | – | 1.0 | 2,029–2,855 | – | 31 |
| Health Benefits Program Development: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Staff Svcs Analyst | – | – | 1.0 | 2,507–3,957 | – | 36 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Totals, Proposed New Positions | – | 4.0 | 31.6 | – | $191 | $1,561 |
| Totals, Adjustments | – | 4.0 | 32.3 | – | $191 | $1,578 |
| TOTALS, SALARIES AND WAGES | 478.0 | 556.9 | 574.0 | $22,404 | $26,650 | $28,202 |

[1] One-time temporary help and overtime to expire 6/30/02.
[2] One limited-term position to expire 6/30/03.
[3] One limited-term position to expire 6/30/03.
[4] Chapter 74, Statutes of 2000 effective 1/1/00.

*** Dollars in thousands, except in Salary Range.**

# TABLE OF CONTENTS

## BUSINESS, TRANSPORTATION AND HOUSING


| | | |
|---|---|---|
| Department of Alcoholic Beverage Control | BTH | 1 |
| Alcoholic Beverage Control Appeals Board | BTH | 3 |
| Department of Financial Institutions | BTH | 5 |
| Department of Corporations | BTH | 11 |
| Department of Housing and Community Development | BTH | 15 |
| California Housing Finance Agency | BTH | 32 |
| Office of Real Estate Appraisers | BTH | 34 |
| Department of Real Estate | BTH | 35 |
| Department of Managed Care | BTH | 38 |
| California Transportation Commission | BTH | 40 |
| Special Transportation Programs | BTH | 42 |
| Department of Transportation | BTH | 43 |
| High-Speed Rail Authority | BTH | 67 |
| Office of Traffic Safety | BTH | 68 |
| Department of the California Highway Patrol | BTH | 70 |
| Department of Motor Vehicles | BTH | 78 |
| Stephen P. Teale Data Center | BTH | 89 |

# 2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL

The Department of Alcoholic Beverage Control administers the provisions of the Alcoholic Beverage Control Act, which vests in the Department the exclusive right and power to license and regulate the manufacture, sale, purchase, possession and transportation of alcoholic beverages within the state and, subject to certain laws of the United States, to regulate the importation and exportation of alcoholic beverages into and from the state.

## Authority

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Administration of the Alcoholic Beverage Control Act | 429.7 | 454.7 | 446.7 | $33,360 | $35,255 | $35,441 |
| *0081 Alcohol Beverage Control Fund* | | | | *31,318* | *33,508* | *34,572* |
| *0995 Reimbursements* | | | | *2,042* | *1,747* | *869* |

## 10 ADMINISTRATION OF ALCOHOLIC BEVERAGE CONTROL ACT

### Program Objectives Statement

This program conducts the three major activities of the Department: licensing, which ensures that only qualified persons and legitimate businesses are licensed to sell, manufacture, or otherwise deal in alcoholic beverages; compliance, which ensures adherence to the alcoholic beverage control laws and regulations by all persons operating within the alcoholic beverage industry; and administration, which provides staff support and conducts administrative appeal hearings.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $588,000 for a supplemental salary increase for sworn personnel.
- An increase of $379,000 for office rent.

### Authority

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 10 ADMINISTRATION OF ALCOHOLIC BEVERAGE CONTROL ACT

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0081 Alcohol Beverage Control Fund* | *$29,834* | *$32,008* | *$33,072* |
| *0995 Reimbursements* | *2,042* | *1,747* | *869* |
| Totals, State Operations | $31,876 | $33,755 | $33,941 |
| Local Assistance: | | | |
| *0081 Alcohol Beverage Control Fund* | *1,484* | *1,500* | *1,500* |
| Totals, Local Assistance | $1,484 | $1,500 | $1,500 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Licensing | 16,189 | 17,450 | 18,001 |
| State Operations: | | | |
| *0081 Alcohol Beverage Control Fund* | *15,574* | *16,707* | *17,258* |
| *0995 Reimbursements* | *615* | *743* | *743* |
| 10.20 Compliance | 15,687 | 16,305 | 15,940 |
| State Operations: | | | |
| *0081 Alcohol Beverage Control Fund* | *14,260* | *15,301* | *15,814* |
| *0995 Reimbursements* | *1,427* | *1,004* | *126* |
| Local Assistance: | | | |
| *0081 Alcohol Beverage Control Fund* | *1,484* | *1,500* | *1,500* |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $31,876 | $33,755 | $33,941 |
| Local Assistance | 1,484 | 1,500 | 1,500 |
| TOTALS, EXPENDITURES | $33,360 | $35,255 | $35,441 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 429.7 | 480.2 | 480.2 | $20,679 | $23,323 | $24,061 |
| Total Adjustments | – | – | −8.0 | – | – | −386 |
| Estimated Salary Savings | – | −25.5 | −25.5 | – | −1,454 | −1,491 |
| Net Totals, Salaries and Wages | 429.7 | 454.7 | 446.7 | $20,679 | $21,869 | $22,184 |
| Staff Benefits | – | – | – | 3,043 | 3,677 | 3,598 |
| Totals, Personal Services | 429.7 | 454.7 | 446.7 | $23,722 | $25,546 | $25,782 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $8,154 | $8,209 | $8,159 |
| TOTALS, EXPENDITURES | | | | $31,876 | $33,755 | $33,941 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0081 Alcohol Beverage Control Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $30,644 | $31,600 | $33,072 |
| Allocation for employee compensation | 1,855 | 65 | – |
| Adjustment per Section 3.60 | −1,493 | 299 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 44 | – |
| Adjustment per Section 16.00 | 13 | – | – |
| Totals Available | $31,019 | $32,008 | $33,072 |
| Unexpended balance, estimated savings | −1,185 | – | – |
| TOTALS, EXPENDITURES | $29,834 | $32,008 | $33,072 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $2,042 | $1,747 | $869 |
| TOTALS, EXPENDITURES (State Operations) | $31,876 | $33,755 | $33,941 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0081 Alcohol Beverage Control Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (grants) | $1,500 | $1,500 | $1,500 |
| Unexpended balance, estimated savings | −16 | – | – |
| TOTALS, EXPENDITURES | $1,484 | $1,500 | $1,500 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $33,360 | $35,255 | $35,441 |

### FUND CONDITION STATEMENT
### 0081 Alcohol Beverage Control Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $5,126 | $6,230 | $4,925 |
| Prior year adjustments | 219 | – | – |
| Balance, Adjusted | $5,345 | $6,230 | $4,925 |

*** Dollars in thousands, except in Salary Range.**

## 2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 121000 Liquor license fees | $34,275 | $34,275 | $34,275 |
| 161000 Escheat of unclaimed checks, warrants | 9 | 9 | 9 |
| 161400 Miscellaneous revenue | 2 | 2 | 2 |
| Totals, Revenues | $34,286 | $34,286 | $34,286 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Business and Professions Code Section 25761 | −2,083 | −2,083 | −2,083 |
| Totals, Transfers to Other Funds | −$2,083 | −$2,083 | −$2,083 |
| Totals, Revenues and Transfers | $32,203 | $32,203 | $32,203 |
| Totals, Resources | $37,548 | $38,433 | $37,128 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2100 Department of Alcoholic Beverage Control: | | | |
| State Operations | 29,834 | 32,008 | 33,072 |
| Local Assistance | 1,484 | 1,500 | 1,500 |
| Totals, Disbursements | $31,318 | $33,508 | $34,572 |
| FUND BALANCE | $6,230 | $4,925 | $2,556 |
| Reserve for economic uncertainties | 6,230 | 4,925 | 2,556 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 429.7 | 480.2 | 480.2 | $20,679 | $23,323 | $24,061 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Every 15 Minutes Grant: | | | | Salary Range | | |
| Temporary Help | – | – | −8.0 | – | – | −386 |
| Totals, Workload and Administrative Adjustments | – | – | −8.0 | – | – | −$386 |
| Total Adjustments | – | – | −8.0 | – | – | −$386 |
| TOTALS, SALARIES AND WAGES | 429.7 | 480.2 | 472.2 | $20,679 | $23,323 | $23,675 |

## 2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD

The Alcoholic Beverage Control Appeals Board consists of three members appointed by the Governor. The Board provides a forum of appeal to persons who are dissatisfied with the Department of Alcoholic Beverage Control's decision to order penalties or issue, deny, condition, transfer, suspend or revoke any alcoholic beverage license. Following the filing of an appeal, receipt of the record on appeal, and submission of written briefs, the Board hears oral arguments in Northern and Southern California on the appropriateness of the Department's decision. The Board then prepares, publishes and distributes a formal written opinion. A party seeking review of an Appeals Board decision must file a petition for writ of review with the Court of Appeal.

The Alcoholic Beverage Control Appeals Fund is supported by a surcharge on license fees of the Department of Alcoholic Beverage Control.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Administrative Review | 8.8 | 8.8 | 8.8 | $701 | $713 | $742 |
| *0117 Alcoholic Beverage Control Appeals Fund* | | | | *701* | *713* | *742* |

### 10 ADMINISTRATIVE REVIEW

#### Program Objectives Statement

This program provides an appeals process and issues decisions on appeals filed with the Alcoholic Beverage Control Appeals Board. In 1999–00, 243 appeals were filed with the Board, and 238 decisions were issued by the Board.

The appeals to the Board are from decisions of the Department of Alcoholic Beverage Control, which was a party to 700 administrative hearings during the 1999–00 fiscal year. Most of these hearings involved license applications or alleged violations of the Alcoholic Beverage Control Act.

During 1999–00, judicial review of Board decisions was requested of the Court of Appeal or State Supreme Court on 59 occasions. The appellate courts denied petitions in 41 cases and granted a writ of review in seven cases. Eleven cases from 1999–00 and prior years are pending appellate decision.

#### Major Budget Adjustment Included in 2000–01

- Deletion of $33,000 for the Chief Counsel's accumulated annual leave and $7,000 for training replacement attorney staff because the Chief Counsel did not retire as originally planned. Of these savings, $22,000 will be expended for increased transcript costs, $7,000 for approved salary adjustments and the remaining $11,000 is shown as anticipated savings.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD—Continued

### Major Budget Adjustments Proposed for 2001–02

- Continuation of $22,000 for increased transcript costs, $7,000 for approved salary adjustments and augmentation of $3,000 for increased office rent.
- Augmentation of $8,000 for placing the Board's decisions on its website for public access via the Internet.

### Authority

Article XX, Section 22, of the California Constitution and Division 9, Business and Professions Code.

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 8.8 | 8.8 | 8.8 | $417 | $471 | $436 |
| Total Adjustments | – | – | – | – | −37 | – |
| Net Totals, Salaries and Wages | 8.8 | 8.8 | 8.8 | $417 | $434 | $436 |
| Staff Benefits | – | – | – | 57 | 58 | 59 |
| Totals, Personal Services | 8.8 | 8.8 | 8.8 | $474 | $492 | $495 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $227 | $221 | $247 |
| TOTALS, EXPENDITURES | | | | $701 | $713 | $742 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0117 Alcoholic Beverage Control Appeals Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $710 | $727 | $742 |
| Allocation for employee compensation | 32 | 1 | – |
| Adjustment per Section 3.60 | −26 | −4 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $717 | $724 | $742 |
| Unexpended balance, estimated savings | −16 | −11 | – |
| TOTALS, EXPENDITURES (State Operations) | $701 | $713 | $742 |

### FUND CONDITION STATEMENT
### 0117 Alcoholic Beverage Control Appeals Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $584 | $580 | $448 |
| Prior year adjustments | 116 | – | – |
| Balance, Adjusted | $700 | $580 | $448 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 581 | 581 | 581 |
| Totals, Revenues and Transfers | $581 | $581 | $581 |
| Totals, Resources | $1,281 | $1,161 | $1,029 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2120 Alcoholic Beverage Control Appeals Board (State Operations) | 701 | 713 | 742 |
| FUND BALANCE | $580 | $448 | $287 |
| Reserve for economic uncertainties | 580 | 448 | 287 |

*** Dollars in thousands, except in Salary Range.**

## 2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 8.8 | 8.8 | 8.8 | $417 | $471 | $436 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | Salary Range | | |
| Temporary Help | – | – | – | – | −37 | – |
| Total Adjustments | – | – | – | – | −$37 | – |
| TOTALS, SALARIES AND WAGES | 8.8 | 8.8 | 8.8 | $417 | $434 | $436 |

# 2150 DEPARTMENT OF FINANCIAL INSTITUTIONS

The Department of Financial Institutions was established effective July 1, 1997 to regulate depository institutions, including commercial banks, savings associations, credit unions, industrial loan companies, and certain other providers of financial services. In addition, the Department licenses and regulates issuers of payment instruments, including companies licensed to sell money orders and/or travelers' checks or licensed to engage in the business of transmitting money abroad, and business and industrial development corporations. Programs are supported by assessment of the various industries, license and application fees, and charges for various other services.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Licensing and Supervision of Banks and Trust Companies | 94.5 | 116.9 | 109.0 | $12,618 | $14,473 | $14,377 |
| 20 Payment Instruments | 6.8 | 6.9 | 6.6 | 424 | 609 | 606 |
| 40 Administration of Local Agency Security | – | 2.3 | 4.1 | – | 174 | 341 |
| 50 Supervision of California Business and Industrial Development Corporations | 0.1 | 0.1 | 0.1 | 27 | 28 | 28 |
| 60 Credit Unions | 26.6 | 26.5 | 25.3 | 2,074 | 2,628 | 2,559 |
| 70 Savings and Loan | 1.1 | 1.5 | 1.5 | 106 | 116 | 117 |
| 80 Industrial Loan Companies | 9.9 | 10.5 | 10.1 | 891 | 946 | 942 |
| 90 Administration | 33.6 | 49.5 | 47.3 | 2,975 | 3,921 | 3,894 |
| Distributed Administration | – | – | – | −2,975 | −3,921 | −3,894 |
| TOTALS, PROGRAMS | 172.6 | 214.2 | 204.0 | $16,140 | $18,974 | $18,970 |
| *0240 Local Agency Deposit Security Fund* | | | | *–* | *174* | *341* |
| *0298 Financial Institutions Fund* | | | | *13,533* | *16,013* | *15,911* |
| *0299 Credit Union Fund* | | | | *2,074* | *2,628* | *2,559* |
| *0995 Reimbursements* | | | | *533* | *159* | *159* |

## 10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

### Program Objectives Statement

The primary objectives of this program are: (1) to protect the public from economic losses that result from bank and trust company failures without depriving the public of reasonably priced and convenient banking and trust services, and (2) to guard against the secondary effect on smaller financial institutions often associated with the failure of a bank or trust company.

### Major Budget Adjustments Proposed for 2001–02

- A reduction of $392,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $282,000 to relocate the department's Sacramento facilities.
- A net reduction of $45,000 and 3 positions to reflect the findings of the excess vacant position analysis. $125,000 of the funding from these positions will be retained to cover unfunded personnel and operating expense costs.

### Authority

California Financial Code, Division 1, Chapters 3, 4, 10, 12, 13.5, 15, 16, 17, 19, and 21 (Banking Law).

## 20 PAYMENT INSTRUMENTS

### Program Objectives Statement

This program protects the public from economic loss resulting from the failure of a company licensed either to sell payment instruments (money orders) or travelers' checks, or to engage in the business of transmitting money abroad. The program ensures that business is being conducted in a financially sound manner by periodically examining these facilities and by requiring and analyzing specific reports. In addition, the program issues licenses to persons engaged in the business of receiving money for the purpose of transmitting the same or its equivalent to foreign countries, issuing travelers' checks, or selling money orders. In order to protect the public, a thorough investigation of each applicant is conducted before the license is issued.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

### Major Budget Adjustments Proposed for 2001–02

- A reduction of $19,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $12,000 to relocate the department's Sacramento facilities.
- Two existing positions will be directed to address increased workload associated with the growing number and size of money transmitters.

### Authority

California Financial Code, Division 1, Chapter 14, 14A, Division 16, Chapters 1–11.

## 40 ADMINISTRATION OF LOCAL AGENCY SECURITY

### Program Objectives Statement

The Budget Act of 2000 transferred the Local Agency Security Program from the State Treasurer's Office back to the Department of Financial Institutions (DFI) effective January 1, 2001. As the Administrator of the Local Agency Security Program, the Commissioner acts as an agent for approximately 1,500 local treasurers in verifying the amount and quality of collateral pledged to secure deposits of public funds made by local agencies. The Commissioner also administers local agency security for savings and loans and credit unions.

### Major Budget Adjustments Proposed for 2001–02

- A reduction of $37,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $24,000 to relocate the department's Sacramento facilities.

### Authority

Government Code Sections 53630–53686.

## 50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS

### Program Objectives Statement

This program licenses and regulates nonfiduciary businesses and industrial development corporations. The program ensures that business is conducted in a financially sound manner through periodic examinations of these corporations and by requiring and analyzing specific reports. In addition, the department licenses corporations operating as business and industrial development corporations. Certain federal programs, most notably the Small Business Administration's Section 7A Loan Guarantee Program, require a lender to be a licensed and regulated financial institution to qualify for guarantees. By licensing and regulating business and industrial development corporations, this program ensures that these corporations qualify for loan guarantees.

### Authority

California Financial Code, Division 15.

## 60 CREDIT UNIONS

### Program Objectives Statement

A credit union is a cooperative, organized for the purposes of promoting thrift and savings among its members, and creating a source of credit for them. The credit union program administers and enforces the provisions of the Financial Code relating to credit unions. These responsibilities include: reviewing of applications to determine the appropriateness of financial data and personnel; monitoring financial condition and operating procedures for statutory compliance through reporting and field examinations; and responding to public inquiries for information and assistance.

### Major Budget Adjustments Proposed for 2001–02

- A reduction of $112,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $73,000 to relocate the department's Sacramento facilities.
- Two vacant positions in the Credit Union program will be directed to address increased workload for examining federally chartered credit unions converting to state charters.

### Authority

California Financial Code, Division 5, Sections 14000 through 16154.

## 70 SAVINGS AND LOAN

### Program Objectives Statement

The savings and loan program ensures that savings associations comply with laws and regulations, including those involving consumer protection and anti-discrimination, and ensures the continued financial growth of these associations consistent with public need and convenience.

### Authority

California Financial Code, Division 2, Sections 5000 through 11709.

## 80 INDUSTRIAL LOAN COMPANIES

### Program Objectives Statement

Industrial loan companies serve a wide range of customers for both consumer and commercial loans, but most industrial loan companies specialize in only a few specific areas of lending. The industrial loan program administers and enforces the provisions of the Financial Code relating to industrial loan companies, including the processing of applications and amendments for industrial loan companies, and conducting examinations to determine current and future safety and soundness.

*** Dollars in thousands, except in Salary Range.**

## 2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

### Major Budget Adjustments Proposed for 2001–02

- A reduction of $30,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $21,000 to relocate the department's Sacramento facilities.

### Authority

California Financial Code, Division 7, Sections 18000 through 18643.

## 90 ADMINISTRATION

### Program Objectives Statement

The Administration Program provides services essential for the administration of the Department and its programs, including executive, administrative, legal, legislative, policy, and information support.

### Authority

California Financial Code, Division 1, Chapter 2, Article 4 (Banking Law).

---

## PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES** | | | |
| State Operations: | | | |
| *0298 Financial Institutions Fund (Banking Account)* | *$12,085* | *$14,314* | *$14,218* |
| *0995 Reimbursements* | *533* | *159* | *159* |
| Totals, State Operations | $12,618 | $14,473 | $14,377 |
| **ELEMENT REQUIREMENTS** | | | |
| 10.10 Investigation of Applications for New Facilities | 268 | 305 | 310 |
| State Operations: | | | |
| *0298 Financial Institutions Fund (Banking Account)* | *268* | *305* | *310* |
| 10.20 Continuing Supervision of Existing Banking Facilities | 11,668 | 13,468 | 13,352 |
| State Operations: | | | |
| *0298 Financial Institutions Fund (Banking Account)* | *11,526* | *13,409* | *13,293* |
| *0995 Reimbursements* | *142* | *59* | *59* |
| 10.30 Continuing Supervision of Trust Facilities | 682 | 700 | 715 |
| State Operations: | | | |
| *0298 Financial Institutions Fund (Banking Account)* | *291* | *600* | *615* |
| *0995 Reimbursements* | *391* | *100* | *100* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 PAYMENT INSTRUMENTS** | $424 | $609 | $606 |
| State Operations: | | | |
| *0298 Financial Institutions Fund (Banking Account)* | *424* | *609* | *606* |
| **PROGRAM REQUIREMENTS** | | | |
| **40 ADMINISTRATION OF LOCAL AGENCY SECURITY** | – | $174 | $341 |
| State Operations: | | | |
| *0240 Local Agency Security Deposit Fund* | – | *174* | *341* |
| **PROGRAM REQUIREMENTS** | | | |
| **50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS** | $27 | $28 | $28 |
| State Operations: | | | |
| *0298 Financial Institutions Fund (Banking Account)* | *27* | *28* | *28* |
| **PROGRAM REQUIREMENTS** | | | |
| **60 CREDIT UNIONS** | $2,074 | $2,628 | $2,559 |
| State Operations: | | | |
| *0299 Credit Union Fund* | *2,074* | *2,628* | *2,559* |
| **PROGRAM REQUIREMENTS** | | | |
| **70 SAVINGS AND LOAN** | $106 | $116 | $117 |
| State Operations: | | | |
| *0298 Financial Institutions Fund (Savings and Loan Account)* | *106* | *116* | *117* |

*** Dollars in thousands, except in Salary Range.**

## 2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

| PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **80 INDUSTRIAL LOAN COMPANIES** | $891 | $946 | $942 |
| State Operations: | | | |
| *0298 Financial Institutions Fund (Industrial Loan Account)* | *891* | *946* | *942* |
| TOTALS, EXPENDITURES (State Operations) | $16,140 | $18,974 | $18,970 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 172.6 | 218.5 | 221.0 | $10,417 | $12,770 | $13,368 |
| Total Adjustments | – | – | –3.0 | – | 2 | –114 |
| Estimated Salary Savings | – | –4.3 | –14.0 | – | –255 | –638 |
| Net Totals, Salaries and Wages | 172.6 | 214.2 | 204.0 | $10,417 | $12,517 | $12,616 |
| Staff Benefits | – | – | – | 1,734 | 2,370 | 1,775 |
| Totals, Personal Services | 172.6 | 214.2 | 204.0 | $12,151 | $14,887 | $14,391 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $3,989 | $4,087 | $4,579 |
| TOTALS, EXPENDITURES | | | | $16,140 | $18,974 | $18,970 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0240 Local Agency Security Deposit Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $174 | $341 |

### 0298 Financial Institutions Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $15,481 | $16,127 | $15,911 |
| Allocation for employee compensation | 1,029 | 40 | – |
| Adjustment per Section 3.60 | –672 | –154 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 17 | – |
| Adjustment per Section 16.00 | 5 | – | – |
| Totals Available | $15,843 | $16,030 | $15,911 |
| Unexpended balance, estimated savings | –2,310 | –17 | – |
| TOTALS, EXPENDITURES | $13,533 | $16,013 | $15,911 |

### 0299 Credit Union Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,488 | $2,640 | $2,559 |
| Allocation for employee compensation | 171 | 5 | – |
| Adjustment per Section 3.60 | –94 | –20 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 3 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $2,566 | $2,628 | $2,559 |
| Unexpended balance, estimated savings | –492 | – | – |
| TOTALS, EXPENDITURES | $2,074 | $2,628 | $2,559 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $533 | $159 | $159 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $16,140 | $18,974 | $18,970 |

*** Dollars in thousands, except in Salary Range.**

## 2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

### FUND CONDITION STATEMENT

| 0136 State Banking Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Acts of 1998 and 2000 (Malibu/Abromovitz Judgement) | – | $1,211 | – |
| Transfers to Other Funds: | | | |
| T00298 Financial Institutions Fund per Section 12.20, Budgets Act of 1998 and 2000 | – | –$1,211 | – |
| Totals, Revenues and Transfers | – | – | – |
| Totals, Resources | – | – | – |
| FUND BALANCE | – | – | – |
| **0240 Local Agency Deposit Security Fund [s]** | | | |
| BEGINNING BALANCE | $217 | $143 | $146 |
| Prior year adjustments | –8 | – | – |
| Balance, Adjusted | $209 | $143 | $146 |
| Revenues: | | | |
| 121200 Other Regulatory Taxes | 145 | 320 | 369 |
| 164300 Penalty Assessments | 31 | 31 | 31 |
| Totals, Revenues and Transfers | $176 | $351 | $400 |
| Totals, Resources | $385 | $494 | $546 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0950 State Treasurer's Office | 238 | 174 | – |
| 9900 Statewide General Administrative Expenditures (Pro Rata) | 4 | – | 29 |
| 2150 Department of Financial Institutions (State Operations) | – | 174 | 341 |
| Totals, Disbursements | $242 | $348 | $370 |
| FUND BALANCE | $143 | $146 | $176 |
| Reserve for economic uncertainties | 143 | 146 | 176 |
| **0298 Financial Institutions Fund [1 s]** | | | |
| BEGINNING BALANCE | $9,045 | $9,717 | $8,439 |
| Prior year adjustments [2] | 93 | – | – |
| Balance, Adjusted | $9,138 | $9,717 | $8,439 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 121200 Other regulatory taxes | 10,939 | 10,673 | 11,000 |
| 125700 Other regulatory licenses and permits | 1,566 | 1,400 | 1,600 |
| 141200 Sale of documents | 1 | 1 | 1 |
| 150300 Income from surplus money investments | 738 | 700 | 700 |
| 161400 Miscellaneous revenue | 868 | 750 | 750 |
| Totals, Revenues | $14,112 | $13,524 | $14,051 |
| Transfers from Other Funds: | | | |
| F00136 State Banking Fund per Section 12.20, Budget Acts of 1998 and 2000 | – | 1,211 | – |
| Totals, Transfers from Other Funds | – | $1,211 | – |
| Totals, Revenues and Transfers | $14,112 | $14,735 | $14,051 |
| Totals, Resources | $23,250 | $24,452 | $22,490 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2150 Department of Financial Institutions (State Operations) | 13,533 | 16,013 | 15,911 |
| FUND BALANCE | $9,717 | $8,439 | $6,579 |
| Reserve for economic uncertainties | 9,717 | 8,439 | 6,579 |

[1] Includes the State Banking Account, the Savings and Loan Account and the Industrial Loan Account displayed separately for informational purposes following this statement.
[2] See State Banking Account.

*** Dollars in thousands, except in Salary Range.**

## 2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

### 0298 State Banking Account [s] (Informational Display)

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $7,859 | $8,418 | $7,252 |
| Prior year adjustments | 93 | – | – |
| Balance, Adjusted | $7,952 | $8,418 | $7,252 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 121200 Other regulatory taxes | 10,939 | 10,673 | 11,000 |
| Assessment of banks | (10,556) | (10,257) | (10,600) |
| Assessment of payment instruments licenses | (383) | (416) | (400) |
| 125700 Other regulatory licenses and permits | 456 | 450 | 575 |
| 141200 Sales of documents | 1 | 1 | 1 |
| 150300 Income from surplus money investments | 738 | 700 | 700 |
| 161400 Miscellaneous revenue | 868 | 750 | 750 |
| Totals, Revenues | $13,002 | $12,574 | $13,026 |
| Transfers from Other Funds: | | | |
| F00136 State Banking Fund per Section 12.20, Budget Act of 1998 | – | 1,211 | – |
| Totals, Transfers from Other Funds | – | $1,211 | – |
| Totals, Revenues and Transfers | $13,002 | $13,785 | $13,026 |
| Totals, Resources | $20,954 | $22,203 | $20,278 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2150 Department of Financial Institutions (State Operations) | 12,536 | 14,951 | 14,843 |
| FUND BALANCE | $8,418 | $7,252 | $5,435 |
| Reserve for economic uncertainties | 8,418 | 7,252 | 5,435 |

### 0298 Savings and Loan Account [s] (Informational Display)

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $292 | $236 | $245 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses | 50 | 125 | 125 |
| Totals, Revenues and Transfers | $50 | $125 | $125 |
| Totals, Resources | $342 | $361 | $370 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2150 Department of Financial Institutions (State Operations) | 106 | 116 | 117 |
| FUND BALANCE | $236 | $245 | $253 |
| Reserve for economic uncertainties | 236 | 245 | 253 |

### 0298 Industrial Loan Account [s] (Informational Display)

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $894 | $1,063 | $942 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 1,060 | 825 | 900 |
| Totals, Revenues and Transfers | $1,060 | $825 | $900 |
| Totals, Resources | $1,954 | $1,888 | $1,842 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2150 Department of Financial Institutions (State Operations) | 891 | 946 | 951 |
| FUND BALANCE | $1,063 | $942 | $891 |
| Reserve for economic uncertainties | 1,063 | 942 | 891 |

### 0299 Credit Union Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,188 | $1,572 | $1,738 |
| Prior year adjustments | 1 | – | – |
| Balance, Adjusted | $1,189 | $1,572 | $1,738 |

*** Dollars in thousands, except in Salary Range.**

## 2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | $2,279 | $2,694 | $2,700 |
| 150300 Income from surplus money investments | 178 | 100 | 100 |
| Totals, Revenues and Transfers | $2,457 | $2,794 | $2,800 |
| Totals, Resources | $3,646 | $4,366 | $4,538 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2150 Department of Financial Institutions (State Operations) | 2,074 | 2,628 | 2,559 |
| FUND BALANCE | $1,572 | $1,738 | $1,979 |
| Reserve for economic uncertainties | 1,572 | 1,738 | 1,979 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 172.6 | 218.5 | 221.0 | $10,417 | $12,770 | $13,368 |
| Salary adjustments | – | – | – | – | 2 | 11 |
| Totals, Adjusted Authorized Positions | 172.6 | 218.5 | 221.0 | $10,417 | $12,772 | $13,379 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | Salary Range | | |
| Financial Institutions Examiner | – | – | –3.0 | 2,658–4,788 | – | –125 |
| Totals | – | – | –3.0 | – | – | –$125 |
| Total Adjustments | – | – | –3.0 | – | $2 | –$114 |
| TOTALS, SALARIES AND WAGES | 172.6 | 218.5 | 218.0 | $10,417 | $12,772 | $13,254 |

# 2180 DEPARTMENT OF CORPORATIONS

The Department of Corporations protects the public through administration and enforcement of state laws regulating securities, franchise investment, lenders and fiduciaries. Activities include licensing, examination, and responding to public inquiries and complaints. Each program enforces its laws through administrative and civil actions. Pursuant to Chapter 525, Statutes of 1999, commencing July 1, 2000, the administration and the enforcement of health care laws were transferred to the Department of Managed Health Care.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Investment Program | 135.1 | 136.1 | 135.7 | $12,891 | $15,108 | $15,224 |
| 20 Lender-Fiduciary Program | 71.9 | 80.1 | 76.1 | 7,386 | 8,748 | 8,227 |
| 30 Health Plan Program | 145.2 | – | – | 17,887 | – | – |
| 50 Administration | 76.7 | 63.6 | 63.6 | 5,510 | 5,118 | 4,827 |
| Distributed Administration | – | – | – | –5,510 | –4,618 | –4,827 |
| TOTALS, PROGRAMS | 428.9 | 279.8 | 275.4 | $38,164 | $24,356 | $23,451 |
| *0067 State Corporations Fund* | | | | *38,140* | *23,755* | *23,451* |
| *0890 Federal Trust Fund* | | | | *24* | *101* | – |
| *0995 Reimbursements* | | | | – | *500* | – |

## 10 INVESTMENT PROGRAM

### Program Objectives Statement

The Investment Program protects investors in securities and franchise investment transactions and promotes efficient capital formation in California. The program qualifies the offer and sale of certain securities, registers the sale of franchises, and licenses broker-dealers and investment advisers.

### Major Budget Adjustments Proposed for 2001–02

- Transfer 5.0 positions (4.7 personnel years) from the Lender-Fiduciary Program to the Investment Program to study ways to examine and monitor broker-dealer branch offices. This redirection is limited in term, expiring on June 30, 2003.
- An increase of $200,000 for a study to develop methods to educate California investors about ways to avoid becoming victims of investment frauds and schemes.

### Authority

Corporations Code, Sections 25000–25804, inclusive.
Corporations Code, Sections 28000–28958, inclusive.
Corporations Code, Section 29500 et seq.
Corporations Code, Sections 31000–31516, inclusive.
California Code of Regulations, Title 10, Sections 250.1–250.25, 260.000–260.617, 310.000–310.505; 350.000–350.541.1.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2180 DEPARTMENT OF CORPORATIONS—Continued

### 20 LENDER-FIDUCIARY PROGRAM

**Program Objectives Statement**

The Lender-Fiduciary Program protects the public when borrowing and entering into financial transactions with lenders and fiduciaries licensed by the Department. The program licenses and examines mortgage bankers, finance lenders and escrow companies.

**Authority**

Financial Code, Part 5, Divisions 3, 6, 9, 20.
California Code of Regulations, Title 10, Sections 1290–1299, 1400–1570, 1700–1805.
Business and Professions Code, Section 17750 et seq.

### 30 HEALTH PLAN PROGRAM

Chapter 525, Statutes of 1999 (AB 78) transferred the responsibility for the regulation of the health care program to the new Department of Managed Health Care effective July 1, 2000.

### 50 ADMINISTRATION

**Program Objectives Statement**

This program provides executive leadership and direction to the Department, including budgeting, accounting, personnel, business and information technology services.

---

### PROGRAM BUDGET DETAIL

| PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **10 INVESTMENT PROGRAM** | $12,891 | $15,108 | $15,224 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *12,867* | *15,007* | *15,224* |
| *0890 Federal Trust Fund* | *24* | *101* | *–* |
| 10.10 Corporate Securities Law | 8,705 | 7,951 | 8,309 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *8,681* | *7,850* | *8,309* |
| *0890 Federal Trust Fund* | *24* | *101* | *–* |
| 10.15 Broker/Dealers | 2,200 | 3,229 | 3,125 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *2,200* | *3,229* | *3,125* |
| 10.25 Investment Advisers | 1,129 | 2,347 | 2,263 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *1,129* | *2,347* | *2,263* |
| 10.35 Agent Monitoring Law | 195 | 485 | 465 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *195* | *485* | *465* |
| 10.55 California Commodity Law | 200 | 101 | 100 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *200* | *101* | *100* |
| 10.65 Franchise Investment Law | 459 | 911 | 884 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *459* | *911* | *884* |
| 10.75 Capitol Access Law | 3 | 84 | 78 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *3* | *84* | *78* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 LENDER-FIDUCIARY PROGRAM** | $7,386 | $8,748 | $8,227 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *7,386* | *8,748* | *8,227* |
| 20.10 Check Sellers, Bill Payers and Proraters | 22 | 23 | 23 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *22* | *22* | *23* |
| 20.30 Escrow Law | 2,836 | 2,731 | 2,655 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *2,836* | *2,731* | *2,655* |
| 20.90 California Finance Lenders Law | 2,854 | 4,017 | 3,676 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *2,854* | *4,017* | *3,676* |
| 20.95 Mortgage Bankers Law | 1,674 | 1,977 | 1,873 |
| State Operations: | | | |
| *0067 State Corporations Fund* | *1,674* | *1,977* | *1,873* |

*** Dollars in thousands, except in Salary Range.**

## 2180 DEPARTMENT OF CORPORATIONS—Continued

| PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **30 HEALTH PLAN PROGRAM** | $17,887 | – | – |
| State Operations: | | | |
| *0067 State Corporations Fund* | *17,887* | – | – |
| 30.10 Health Care Service Plans | 17,887 | – | – |
| State Operations: | | | |
| *0067 State Corporations Fund* | *17,887* | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **50 ADMINISTRATION** (undistributed) | – | $500 | – |
| State Operations: | | | |
| *0995 Reimbursements* | – | *500* | – |
| TOTALS, EXPENDITURES (State Operations) | $38,164 | $24,356 | $23,451 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 428.9 | 297.8 | 297.0 | $22,959 | $16,582 | $16,423 |
| Total Adjustments | – | – | – | – | 8 | 25 |
| Estimated Salary Savings | – | –18.0 | –21.6 | – | –920 | –1,203 |
| Net Totals, Salaries and Wages | 428.9 | 279.8 | 275.4 | $22,959 | $15,670 | $15,245 |
| Staff Benefits | – | – | – | 4,008 | 2,706 | 2,789 |
| Totals, Personal Services | 428.9 | 279.8 | 275.4 | $26,967 | $18,376 | $18,034 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $11,197 | $5,980 | $5,417 |
| TOTALS, EXPENDITURES | | | | $38,164 | $24,356 | $23,451 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0067 State Corporations Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $36,833 | $23,827 | $23,451 |
| Allocation for employee compensation | 2,220 | 56 | – |
| Allocation for contingencies or emergencies | 2,071 | – | – |
| Adjustment per Section 3.60 | –1,481 | –131 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 3 | – |
| Adjustment per Section 16.00 | 15 | – | – |
| Totals Available | $39,658 | $23,755 | $23,451 |
| Unexpended balance, estimated savings | –1,518 | – | – |
| TOTALS, EXPENDITURES | $38,140 | $23,755 | $23,451 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $72 | – |
| Federal funds | $24 | – | – |
| Budget adjustment | – | 29 | – |
| TOTALS, EXPENDITURES | $24 | $101 | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | – | $500 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $38,164 | $24,356 | $23,451 |

*** Dollars in thousands, except in Salary Range.**

## 2180 DEPARTMENT OF CORPORATIONS—Continued

### FUND CONDITION STATEMENT
### 0067 State Corporations Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $30,436 | $20,889 | $29,275 |
| Prior year adjustments | 718 | – | – |
| Balance, Adjusted | $31,154 | $20,889 | $29,275 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits [1] | 31,051 | 30,132 | 30,116 |
| 125800 Renewal fees | 586 | 797 | 807 |
| 125900 Delinquent fees | – | – | – |
| 141200 Sales of documents | 20 | 9 | 9 |
| 142500 Miscellaneous services to the public | 64 | 13 | 13 |
| 150300 Income from surplus money investments | 1,531 | 1,185 | 1,185 |
| 161000 Escheat of unclaimed checks and warrants | 13 | – | – |
| 161900 Other revenue, cost recoveries | 295 | – | – |
| 164300 Penalties assessments | 472 | 5 | 5 |
| 164400 Civil and criminal violation assessments | 1 | – | – |
| Totals, Revenues | $34,033 | $32,141 | $32,135 |
| Transfers to Other Funds: | | | |
| T00933 Managed Care Fund per Chapter 525, Statutes of 1999 [2] | −6,158 | – | – |
| Totals, Transfers to Other Funds | −$6,158 | – | – |
| Totals, Revenues and Transfers | $27,875 | $32,141 | $32,135 |
| Totals, Resources | $59,029 | $53,030 | $61,410 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2180 Department of Corporations (State Operations) | 38,140 | 23,755 | 23,451 |
| Totals, Disbursements | $38,140 | $23,755 | $23,451 |
| FUND BALANCE | $20,889 | $29,275 | $37,959 |
| Reserve for economic uncertainties | 20,889 | 29,275 | 37,959 |

[1] The two-year suspension of certain Corporate Securities Law notice filing fees per Chapter 328, Statutes of 1998 (SB 1589) ended June 30, 2000.
[2] The 1999–00 Fund Balance reflected above includes the cash transfer to Department of Managed Health Care of $6,158,000 which was not reflected in the Department of Corporations year end financial statements.

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 428.9 | 297.8 | 297.0 | $22,959 | $16,582 | $16,423 |
| Salary adjustments | – | – | – | – | 8 | 25 |
| Totals, Adjusted Authorized Positions | 428.9 | 297.8 | 297.0 | $22,959 | $16,590 | $16,448 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Lender-Fiduciary Program: | | | | Salary Range | | |
| Corporation Examiner, Range B [3] | – | −2.5 | −5.0 | – | −129,030 | −258,060 |
| Proposed New Positions: | | | | | | |
| Investment Program: | | | | | | |
| Corporation Examiner, Range B [3] | – | 2.5 | 5.0 | – | 129,030 | 258,060 |
| Total Adjustments | – | – | – | – | $8 | $25 |
| TOTALS, SALARIES AND WAGES | 428.9 | 297.8 | 297.0 | $22,959 | $16,590 | $16,448 |

[3] Redirection from January 1, 2001 until June 30, 2003 at which time the positions will be directed back to their original program.

*** Dollars in thousands, except in Salary Range.**

# 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT

To expand and preserve safe and affordable housing opportunities and promote strong communities for all Californians, the Department of Housing and Community Development: (1) administers housing finance, economic development and rehabilitation programs, (2) proposes housing policy, (3) analyzes and implements building codes and (4) enforces construction standards for manufactured homes.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Codes and Standards Program | 215.0 | 219.5 | 217.3 | $22,380 | $29,065 | $23,996 |
| 20 Community Affairs Program | 124.9 | 158.8 | 157.7 | 173,460 | 463,725 | 355,284 |
| 30.01 Housing Policy Development Program | 13.9 | 20.8 | 20.8 | 2,251 | 9,762 | 153,852 |
| 30.02 Distributed Housing Policy Development Program | – | – | – | – | −122 | −122 |
| 50.01 Administration Program | 98.2 | 103.4 | 100.7 | 6,969 | 8,400 | 8,347 |
| 50.02 Distributed Administration | – | – | – | −6,969 | −8,400 | −8,347 |
| TOTALS, PROGRAMS | 452.0 | 502.5 | 496.5 | $198,091 | $502,430 | $533,010 |
| 69 Loan Repayments Program | – | – | – | −3,932 | −3,079 | −2,582 |
| 98 State-Mandated Local Programs | – | – | – | 850 | 2,335 | 850 |
| TOTALS, ADJUSTED PROGRAMS | 452.0 | 502.5 | 496.5 | $195,009 | $501,686 | $531,278 |
| *0001 General Fund* | | | | *38,826* | *560,706* | *317,198* |
| *0245 Mobilehome Park Revolving Fund* | | | | *3,599* | *4,008* | *4,007* |
| *0472 Child Care and Development Facilities Direct Loan Fund* | | | | *147* | *315* | *413* |
| *0474 Child Care and Development Facilities Loan Guaranty Fund* | | | | *142* | *117* | *118* |
| *0530 Mobilehome Park Purchase Fund* | | | | *1,880* | *6,670* | *8,564* |
| *Loan repayments from local agencies to Mobilehome Park Purchase Fund* | | | | *−1,077* | *−900* | *−750* |
| *0635 Rural Predevelopment Loan Fund* | | | | *1,310* | *1,117* | *1,124* |
| *Loan repayments from local agencies to Rural Predevelopment Loan Fund* | | | | *−1,262* | *−570* | *−500* |
| *0648 Mobilehome-Manufactured Home Revolving Fund* | | | | *16,986* | *17,149* | *16,808* |
| *0714 Home Building and Rehabilitation Fund* | | | | *1,050* | *888* | *865* |
| *0788 California Earthquake Safety and Housing Rehabilitation Bond Account* | | | | *1,000* | *1,189* | *–* |
| *0813 Self-Help Housing Fund* | | | | *42* | *−6,834* | *5,639* |
| *Loan repayments from local agencies to Self-Help Housing Fund* | | | | *−203* | *−133* | *−120* |
| *0890 Federal Trust Fund* | | | | *123,685* | *117,636* | *107,305* |
| *0927 Farmworker Housing Grant Fund* | | | | *1,069* | *−11,080* | *10,992* |
| *0929 Housing Rehabilitation Loan Fund* | | | | *6,110* | *−81,352* | *86,672* |
| *Loan repayments from local agencies to Housing Rehabilitation Loan Fund* | | | | *−921* | *−950* | *−934* |
| *0938 Rental Housing Construction Fund* | | | | *−278* | *12,015* | *10,607* |
| *Loan repayments from local agencies to Rental Housing Construction Fund* | | | | *−469* | *−526* | *−278* |
| *0942 Special Deposit Fund—Office of Migrant Services* | | | | *2,772* | *2,189* | *2,571* |
| *0942 Special Deposit Fund—Century Freeway Housing Program* | | | | *13* | *–* | *–* |
| *0972 Manufactured Home Recovery Fund* | | | | *284* | *644* | *644* |
| *0985 Emergency Housing Assistance Fund* | | | | *76* | *−11,049* | *10,184* |
| *0995 Reimbursements* | | | | *228* | *437* | *437* |
| *3006 Jobs-Housing Balance Improvement Account* | | | | *–* | *−110,000* | *−50,288* |

## 10 CODES AND STANDARDS PROGRAM

### Program Objectives Statement

The Codes and Standards Program administers and enforces California Health and Safety Code provisions established to protect the public's health, safety and general welfare in buildings, structures and vehicles designed for human occupancy or habitation. The program has broad responsibility for administration and enforcement of the State Housing Law, Employee Housing Act and Mobilehome Parks Act, California Factory-Built Housing Law, and the Mobilehome-Manufactured Housing Act of 1980, as well as for enforcement of federal and state standards and regulations relating to the construction and safety of manufactured homes, mobilehomes, commercial coaches and recreational vehicles. The program: (1) establishes minimum standards for the design, construction, maintenance, use and occupancy of structures used for human occupancy; (2) reviews and adopts building code requirements to minimize housing costs, conserve existing housing stock and protect the public's welfare; (3) ensures that farmworker and other employee housing is safe and sanitary; (4) protects the security of all parties in interest to the registration and titling of manufactured housing; and (5) licenses the manufactured housing industry and enforces state laws governing manufactured housing sales practices.

### Major Budget Adjustments Proposed for 2001–02

- $212,000 (General Fund) and redirection of 2.0 positions (1.9 personnel years, from workload reductions in the rental housing preservation program) for the state housing law program for on-going workload in the residential building standards development and adoption process.
- Net reduction of $123,000 and redirection of 2.0 positions (1.9 personnel years, from excess vacancies) to support the Codes and Standards Automated System. System maintenance is being partially transitioned from a contractor to the department.
- $50,000 (General Fund) to contract for engineering services as needed for plan checking per Chapter 702, Statutes of 2000.
- Pursuant to the analysis of vacant positions, a total of 3.0 positions will be abolished in this program, and 1.5 positions will be redirected to other activities.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

### 20 COMMUNITY AFFAIRS PROGRAM

#### Program Objectives Statement

The Community Affairs Program implements programs and policies which: (1) assist in the development and preservation of affordable housing for low income persons and families and special needs populations; and (2) promote economic, community and job development. To accomplish these objectives, the Division of Community Affairs administers loan, grant and assistance programs including those which:

1. Produce new multi-family rental housing, affordable to lower income persons, families, and special needs populations by providing loans and grants to local government, non-profit and for-profit developers, and by providing housing assistance certificates and vouchers.
2. Develop rural communities, Indian reservations and rancherias and their economies through revitalization of neighborhoods, improvement of community facilities and infrastructure, housing rehabilitation and retention of jobs for low-income families.
3. Produce and maintain temporary housing and support services for migrant agricultural workers and their families.
4. Produce homeownership opportunities for low- and moderate-income households through loans to mobilehome park resident organizations for park purchases.
5. Produce emergency shelter and related services for the homeless through grants for acquiring, rehabilitating, renovating, expanding, equipping and operating emergency shelters and for shelter vouchers.
6. Protect and preserve state-assisted housing resources for use by low-income persons and families by monitoring project management, controlling fund disbursements and repayments, and managing resolution of properties which may become physically or fiscally deficient in the existing loan and grant portfolios.
7. Produce and improve child care facilities through loans and loan guarantees.

#### Major Budget Adjustments Proposed for 2001–02

- $20.2 million (one-time) for infrastructure improvement grants and program support.
- An increase of $9,645,000 for the Office of Migrant Services: $7,528,000 to continue the multi-year reconstruction of migrant housing centers; $800,000 for major infrastructure repairs at two centers; $117,000 for on-going center operations; and $1,200,000 to finish replacing migrant center playground equipment as required by Chapter 712, Statutes of 1999.
- A one-time augmentation of $2,500,000 and redirection of $1,500,000 (one-time) and 1 position from the preservation predevelopment program to a consolidated loan program to fund predevelopment expenses incurred in the production or rehabilitation of affordable housing.
- Pursuant to the analysis of vacant positions, 1 unfunded position will be abolished in this program, and 3 positions will be redirected to support the Jobs-Housing Balance Improvement Program (1.5 limited-term positions in Program 20, and 1.5 limited-term positions to Program 30).

### 30 HOUSING POLICY DEVELOPMENT PROGRAM

#### Program Objectives Statement

The Housing Policy Development Program analyzes and formulates housing and growth management policy for the State, assists private and public housing providers, and implements state housing goals through the review of local general plan housing elements. The program researches and identifies California's housing needs, administers planning and incentive grant programs, and prepares the Consolidated State/Federal Housing Plan, which brings an estimated $100 million in federal funding for housing, community and economic development to California annually.

#### Major Budget Adjustments Proposed for 2001–02

- A $200,000,000 increase, for a total available of $300,000,000 over two years, to provide incentive grants through the Jobs-Housing Balance Improvement Program to local governments that comply with state housing and planning laws and increase residential permits. The budget proposes modifying the program to allow local governments to spend funds on any local purpose.
- A one-time augmentation of $200,000 (General Fund) for consultant services to assist with the first post-Census 2000 mandated update of the Statewide Housing Plan.
- Redirection of 2 positions from Programs 10 and 20 (1.9 personnel years, two-year limited term) for operation of the Jobs-Housing Balance Improvement Program—incentive grant component.
- Pursuant to the analysis of vacant positions, 0.5 positions in this program will be abolished.

### 50 ADMINISTRATION PROGRAM

#### Program Objectives Statement

The Administration Program provides: 1) effective executive leadership in designing and implementing housing programs and policies; 2) fiduciary oversight and sound fiscal management through its audit, accounting and budget functions; 3) development, review and implementation of legislation affecting the department; 4) housing-specific legal expertise; and 5) support services in the areas of personnel, data processing, business services and contract management.

#### Major Budget Adjustment Proposed for 2001–02

- Pursuant to an analysis of vacant positions, 2 positions in this program will be abolished and 1 position will be redirected to other activities.

### 69 LOAN REPAYMENT PROGRAM

#### Program Objectives Statement

This program displays the estimated loan repayments to the department's special funds.

#### Authority

Health and Safety Code Sections 50000, et seq.

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

### 98 STATE-MANDATED LOCAL PROGRAM

**Program Objectives Statement**

This program reimburses councils of government and individual jurisdictions for preparation of the Regional Housing Needs Plans and other housing element mandates pursuant to Chapter 1143, Statutes of 1980.

**Authority**

Government Code Sections 65580, et seq.

---

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**
**10 CODES AND STANDARDS PROGRAM**

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 0001 General Fund | $1,161 | $1,874 | $1,897 |
| 0245 Mobilehome Park Revolving Fund | 3,599 | 4,008 | 4,007 |
| 0648 Mobilehome-Manufactured Home Revolving Fund | 16,986 | 17,149 | 16,808 |
| 0890 Federal Trust Fund | 122 | 200 | 200 |
| 0929 Housing Rehabilitation Loan Fund | – | 100 | 100 |
| (Code Enforcement Incentive Program) | – | (100) | (100) |
| 0972 Manufactured Home Recovery Fund | 105 | 244 | 244 |
| 0995 Reimbursements | 228 | 340 | 340 |
| Totals, State Operations | $22,201 | $23,915 | $23,596 |
| Local Assistance: | | | |
| 0001 General Fund | – | 5,000 | – |
| 0972 Manufactured Home Recovery Fund | 179 | 400 | 400 |
| 0929 Housing Rehabilitation Loan Fund | – | −250 | – |
| (Code Enforcement Incentive Program) | – | (4,750) | – |
| Totals, Local Assistance | $179 | $5,150 | $400 |

**PROGRAM REQUIREMENTS**
**20 COMMUNITY AFFAIRS PROGRAM**

| State Operations: | | | |
|---|---|---|---|
| 0001 General Fund | $2,427 | $2,574 | $2,884 |
| 0472 Child Care and Development Facilities Direct Loan Fund | 147 | 565 | 413 |
| 0474 Child Care and Development Facilities Loan Guaranty Fund | 142 | 117 | 118 |
| 0530 Mobilehome Park Purchase Fund | 535 | 670 | 564 |
| 0635 Rural Predevelopment Loan Fund | 101 | 117 | 124 |
| 0714 Homebuilding and Rehabilitation Fund | 1,050 | 888 | 865 |
| (for Family Home Demonstration Program) | (41) | (75) | (75) |
| 0788 California Earthquake Safety and Housing Rehabilitation Bond Account | 1,000 | 1,189 | – |
| 0813 Self-Help Housing Fund | 110 | 766 | 739 |
| (Self-Help Program) | (110) | (216) | (213) |
| (Calhome Program) | – | (550) | (526) |
| 0890 Federal Trust Fund | 4,589 | 5,262 | 5,005 |
| 0927 Farmworker Housing Grant Fund | 465 | 720 | 712 |
| 0929 Housing Rehabilitation Loan Fund | 2,169 | 3,278 | 4,522 |
| (for California Disaster Housing Repair Program) | (728) | (800) | (800) |
| (for Homeownership Assistance Program) | (73) | (80) | (80) |
| (for Multi-family Housing Program) | – | (1,122) | (1,145) |
| (for Downtown Rebound Program) | – | (213) | (247) |
| 0938 Rental Housing Construction Fund | 610 | 789 | 781 |
| (for Family Home Demonstration Program) | (41) | (75) | (75) |
| (for Urban Predevelopment Loan Program) | (36) | – | – |
| (Economic Development/Jobs-Housing Balance Program) | – | (75) | (52) |
| (Transit Oriented Predevelopment Loan Program) | – | (75) | (100) |
| 0942 Special Deposit Fund-Century Freeway Housing Program | 13 | – | – |
| 0985 Emergency Housing Assistance Fund | 429 | 761 | 744 |
| 0995 Reimbursements | – | 97 | 97 |
| Totals, State Operations | $13,787 | $17,793 | $17,568 |
| Local Assistance: | | | |
| 0001 General Fund | 32,137 | 428,933 | 107,699 |
| 0472 Child Care and Development Facilities Direct Loan Fund | – | −250 | – |
| 0530 Mobilehome Park Purchase Fund | 1,345 | 6,000 | 8,000 |
| 0635 Rural Predevelopment Loan Fund | 1,209 | 1,000 | 1,000 |
| 0813 Self-Help Housing Fund | −68 | −7,600 | 4,900 |
| (Self-Help Program) | (2,000) | (2,000) | (2,000) |
| (Calhome Program) | – | (42,500) | (5,000) |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0890 Federal Trust Fund* | *$118,974* | *$112,174* | *$102,100* |
| *0927 Farmworker Housing Grant Fund* | *604* | *−11,800* | *10,280* |
| *0929 Housing Rehabilitation Loan Fund* | *3,941* | *−84,130* | *81,900* |
| *(Multi-family Housing Program)* | *–* | *(100,000)* | *(108,050)* |
| *(Downtown Rebound Program)* | *–* | *(21,470)* | *(2,850)* |
| *0938 Rental Housing Construction Fund* | *−888* | *11,226* | *9,826* |
| *(Preservation Predevelopment Program)* | *–* | *(2,900)* | *(4,000)* |
| *(Economic Development/Jobs-Housing Balance Program)* | *–* | *(2,425)* | *(2,425)* |
| *(Transit Oriented Predevelopment/Jobs-Housing Balance Program)* | *–* | *(2,425)* | *(2,425)* |
| *0942 Special Deposit Fund—Office of Migrant Services* | *2,772* | *2,189* | *2,571* |
| *0985 Emergency Housing Assistance Fund* | *−353* | *−11,810* | *9,440* |
| Totals, Local Assistance | $159,673 | $445,932 | $337,716 |

### PROGRAM REQUIREMENTS
### 30 HOUSING POLICY DEVELOPMENT PROGRAM

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$2,251* | *$2,590* | *$2,468* |
| Distributed to Other Funds | – | (122) | (122) |
| *0929 Housing Rehabilitation Loan Fund* | *–* | *270* | *220* |
| *(Downtown Rebound Program)* | *–* | *(120)* | *(70)* |
| *(Inter-regional Partnership Grants for Jobs-Housing Balance)* | *–* | *(150)* | *(150)* |
| *3006 Jobs-Housing Balance Improvement Account* | *–* | *–* | *212* |
| Totals, State Operations | $2,251 | $2,860 | $2,900 |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *117,400* | *201,400* |
| *0929 Housing Rehabilitation Loan Fund* | *–* | *−620* | *−70* |
| *(Downtown Rebound Program)* | *–* | *(2,280)* | *(1,330)* |
| *(Inter-regional Partnership Grants for Jobs-Housing Balance)* | *–* | *(4,500)* | *–* |
| *3006 Jobs-Housing Balance Improvement Account* | *–* | *−110,000* | *−50,500* |
| Totals, Local Assistance | – | $6,780 | $150,830 |

### PROGRAM REQUIREMENTS
### 69 LOAN REPAYMENTS PROGRAM

| | | | |
|---|---|---|---|
| *0530 Mobilehome Park Purchase Fund* | *−$1,077* | *−$900* | *−$750* |
| *0635 Rural Predevelopment Loan Fund* | *−1,262* | *−570* | *−500* |
| *0813 Self-Help Housing Fund* | *−203* | *−133* | *−120* |
| *0929 Housing Rehabilitation Loan Fund* | *−921* | *−950* | *−934* |
| *0938 Rental Housing Construction Fund* | *−469* | *−526* | *−278* |

### PROGRAM REQUIREMENTS
### 98 STATE-MANDATED LOCAL PROGRAMS

| | | | |
|---|---|---|---|
| Local Assistance: | | | |
| (a) Ch. 1143/80—Regional Housing Needs Plan | $850 | $850 | $850 |
| (b) AB 1110-Chapter 574—Set aside for 1999 Mandates Claims Bill | – | 662 | – |
| (c) SB 1894-Chapter 117—Set aside for 2000 Mandates Claims Bill | – | 823 | – |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $38,239 | $44,568 | $44,064 |
| Local Assistance | 156,770 | 457,118 | 487,214 |
| TOTALS, EXPENDITURES | $195,009 | $501,686 | $531,278 |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 452.0 | 532.0 | 531.7 | $21,236 | $25,926 | $26,445 |
| Total Adjustments | – | – | −6.5 | – | 4 | −7 |
| Estimated Salary Savings | – | −29.5 | −28.7 | – | −778 | −980 |
| Net Totals, Salaries and Wages | 452.0 | 502.5 | 496.5 | $21,236 | $25,152 | $25,458 |
| Staff Benefits | – | – | – | 4,140 | 5,029 | 5,091 |
| Totals, Personal Services | 452.0 | 502.5 | 496.5 | $25,376 | $30,181 | $30,549 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $12,863 | $14,387 | $13,515 |
| TOTALS, EXPENDITURES | | | | $38,239 | $44,568 | $44,064 |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $5,984 | $7,073 | $7,249 |
| Allocation for employee compensation | 322 | 13 | – |
| Adjustment per Section 3.60 | −224 | −50 | – |
| Adjustment per Section 4.60 | – | 2 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Transfer to Legislative claim (9670) | −1 | – | – |
| Totals Available | $6,084 | $7,038 | $7,249 |
| Unexpended balance, estimated savings | −245 | – | – |
| TOTALS, EXPENDITURES | $5,839 | $7,038 | $7,249 |

### 0245 Mobilehome Park Revolving Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,764 | $4,030 | $4,007 |
| Allocation for employee compensation | 259 | 7 | – |
| Adjustment per Section 3.60 | −148 | −29 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Totals Available | $3,878 | $4,008 | $4,007 |
| Unexpended balance, estimated savings | −279 | – | – |
| TOTALS, EXPENDITURES | $3,599 | $4,008 | $4,007 |

### 0472 Child Care and Development Facilities Direct Loan Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 014 Budget Act appropriation | $177 | $567 | $413 |
| Allocation for employee compensation | 6 | 1 | – |
| Adjustment per Section 3.60 | −5 | −3 | – |
| Totals Available | $178 | $565 | $413 |
| Unexpended balance, estimated savings | −31 | – | – |
| TOTALS, EXPENDITURES | $147 | $565 | $413 |

### 0474 Child Care and Development Facilities Loan Guaranty Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 013 Budget Act appropriation | $177 | $118 | $118 |
| Allocation for employee compensation | 6 | – | – |
| Adjustment per Section 3.60 | −5 | −1 | – |
| Totals Available | $178 | $117 | $118 |
| Unexpended balance, estimated savings | −36 | – | – |
| TOTALS, EXPENDITURES | $142 | $117 | $118 |

### 0530 Mobilehome Park Purchase Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $542 | $674 | $564 |
| Allocation for employee compensation | 34 | 1 | – |
| Adjustment per Section 3.60 | −24 | −5 | – |
| Totals Available | $552 | $670 | $564 |
| Unexpended balance, estimated savings | −17 | – | – |
| TOTALS, EXPENDITURES | $535 | $670 | $564 |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

### 0635 Rural Predevelopment Loan Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $113 | $118 | $124 |
| Allocation for employee compensation | 6 | – | – |
| Adjustment per Section 3.60 | –5 | –1 | – |
| Totals Available | $114 | $117 | $124 |
| Unexpended balance, estimated savings | –13 | – | – |
| TOTALS, EXPENDITURES | $101 | $117 | $124 |

### 0648 Mobilehome-Manufactured Home Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $17,131 | $17,242 | $16,808 |
| Allocation for employee compensation | 734 | 33 | – |
| Adjustment per Section 3.60 | –555 | –126 | – |
| Adjustment per Section 16.00 | 6 | – | – |
| Totals Available | $17,316 | $17,149 | $16,808 |
| Unexpended balance, estimated savings | –330 | – | – |
| TOTALS, EXPENDITURES | $16,986 | $17,149 | $16,808 |

### 0714 Home Building and Rehabilitation Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Chapters 30 and 48, Statutes of 1988: | | | |
| Transfer from Local Assistance for transfer to: | | | |
| Housing Rehabilitation Loan Fund (0929) | $193 | – | – |
| Rental Housing Construction Fund (0938) | 857 | $888 | $865 |
| TOTALS, EXPENDITURES | $1,050 | $888 | $865 |

### 0788 California Earthquake Safety and Housing Rehabilitation Bond Account [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Chapter 27, Statutes of 1988 (transfer from Local Assistance for transfer to Housing Rehabilitation Loan Fund—0929) | $1,000 | $1,150 | – |
| Chapter 27, Statutes of 1988 (transfer from Local Assistance) | – | 39 | – |
| TOTALS, EXPENDITURES | $1,000 | $1,189 | – |

### 0813 Self-Help Housing Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $114 | $768 | $213 |
| Health and Safety Code Section 50697.1 (Calhome Program) | – | – | 526 |
| Allocation for employee compensation | 5 | – | – |
| Adjustment per Section 3.60 | –5 | –2 | – |
| Totals Available | $114 | $766 | $739 |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES | $110 | $766 | $739 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $5,031 | $5,321 | $5,205 |
| Allocation for employee compensation | 259 | 10 | – |
| Adjustment per Section 3.60 | –183 | –39 | – |
| Budget adjustment | –396 | 170 | – |
| TOTALS, EXPENDITURES | $4,711 | $5,462 | $5,205 |

### 0927 Farmworker Housing Grant Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Health and Safety Code Section 50517.6 | $465 | $720 | $712 |

### 0929 Housing Rehabilitation Loan Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,968 | $3,047 | $1,980 |
| Health and Safety Code Section 50661 | 1,193 | 1,150 | 1,150 |
| Health and Safety Code Section 50661 (Multi-family Housing Program) | 282 | 510 | 1,145 |
| Health and Safety Code Section 50661 (Downtown Rebound Program) | – | – | 317 |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Health and Safety Code Section 50661 (Inter-regional Partnership Grants for Jobs-Housing Balance) | – | – | $150 |
| Health and Safety Code Section 50661 (Code Enforcement Incentive Program) | – | $100 | 100 |
| Allocation for employee compensation | $128 | 5 | – |
| Adjustment per Section 3.60 | −89 | −14 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Totals Available | $3,485 | $4,798 | $4,842 |
| Unexpended balance, estimated savings | −123 | – | – |
| TOTALS, EXPENDITURES | $3,362 | $4,798 | $4,842 |
| Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account (0788) | −1,000 | −1,150 | – |
| Less funding provided by Home Building and Rehabilitation Fund (0714) | −193 | – | – |
| NET TOTALS, EXPENDITURES | $2,169 | $3,648 | $4,842 |

### 0938 Rental Housing Construction Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $608 | $643 | $629 |
| Health and Safety Code Section 50740 | 857 | 888 | 865 |
| Health and Safety Code Section 50740 (Economic Development/Jobs-Housing Balance Program) | – | 75 | 52 |
| Health and Safety Code Section 50740 (Transit Oriented Predevelopment/Jobs-Housing Balance Program) | – | 75 | 100 |
| Allocation for employee compensation | 50 | 1 | – |
| Adjustment per Section 3.60 | −34 | −5 | – |
| Totals Available | $1,481 | $1,677 | $1,646 |
| Unexpended balance, estimated savings | −14 | – | – |
| TOTALS, EXPENDITURES | $1,467 | $1,677 | $1,646 |
| Less funding provided by Home Building and Rehabilitation Fund (0714) | −857 | −888 | −865 |
| NET TOTALS, EXPENDITURES | $610 | $789 | $781 |

### 0942 Special Deposit Fund Century Freeway Housing Program [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Health and Safety Code Section 16370 (expenditures) | $13 | – | – |

### 0972 Manufactured Home Recovery Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Health and Safety Code Section 18070.6 (expenditures) | $105 | $244 | $244 |

### 0985 Emergency Housing Assistance Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $445 | $764 | $744 |
| Allocation for employee compensation | 25 | 2 | – |
| Adjustment per Section 3.60 | −17 | −5 | – |
| Totals Available | $453 | $761 | $744 |
| Unexpended balance, estimated savings | −24 | – | – |
| TOTALS, EXPENDITURES | $429 | $761 | $744 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $228 | $437 | $437 |

### 3006 Jobs-Housing Balance Improvement Account

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | – | – | $212 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $38,239 | $44,568 | $44,064 |

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 661701 Grants and subventions | $144,095 | $208,152 | $251,371 |
| 664731 Loans | 16,607 | 252,045 | 238,425 |
| 669791 Special adjustment-loan repayments | −3,932 | −3,079 | −2,582 |
| TOTALS, EXPENDITURES | $156,770 | $457,118 | $487,214 |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $7,054 | $5,554 | $25,571 |
| 102 Budget Act appropriation (for transfer to Office of Migrant Services Account, Special Deposit Fund—0942) | 5,750 | 7,679 | 9,528 |
| 103 Budget Act appropriation (for transfer to Self-Help Housing Fund—0813) | 2,000 | 102,100 | 2,100 |
| 104 Budget Act appropriation (for transfer to Farmworker Housing Grant Fund—0927) | 3,500 | 46,500 | 18,500 |
| 105 Budget Act appropriation (for transfer to Emergency Housing Assistance Fund—0985) | 2,000 | 39,000 | 14,000 |
| 106 Budget Act appropriation (for transfer to Rental Housing Construction Fund—0938) | 5,000 | 1,500 | 4,000 |
| 107 Budget Act appropriation (for transfer to Housing Rehabilitation Loan Fund—0929) | 6,000 | 213,000 | 35,400 |
| 109 Budget Act appropriation (for transfer to Child Care—Direct Loan Fund—0472) | – | 16,000 | – |
| 112 Budget Act appropriation (for transfer to Housing Rehabilitation Loan Fund—0929) | – | 10,000 | – |
| 114 Budget Act appropriation (for transfer to Jobs-Housing Balance Improvement Account) | – | 110,000 | 200,000 |
| 295 Budget Act appropriation (State Mandates) | 850 | 850 | 850 |
| Chapter 308, Statutes of 1999 | 60 | – | – |
| Chapter 574, Statutes of 1999 (State Mandates) | 662 | – | – |
| Chapter 793, Statutes of 1999 | 773 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 823 | – |
| Prior year balances available: | | | |
| Chapter 574, Statutes of 1999 (State Mandates) | – | 662 | – |
| Totals Available | $33,649 | $553,668 | $309,949 |
| Balance available in subsequent years | –662 | – | – |
| TOTALS, EXPENDITURES | $32,987 | $553,668 | $309,949 |

### 0472 Child Care and Development Facilities Direct Loan Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 8277.5 and 8277.6 | – | $15,750 | – |
| Less funding provided by the General Fund | – | –16,000 | – |
| TOTALS, EXPENDITURES | – | –$250 | – |

### 0530 Mobilehome Park Purchase Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Health and Safety Code Section 50782 | $1,345 | $6,000 | $8,000 |
| Loan repayments from local agencies | –1,077 | –900 | –750 |
| TOTALS, EXPENDITURES | $268 | $5,100 | $7,250 |

### 0635 Rural Predevelopment Loan Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Health and Safety Code Section 50516 | $1,209 | $1,000 | $1,000 |
| Loan repayments from local agencies | –1,262 | –570 | –500 |
| TOTALS, EXPENDITURES | –$53 | $430 | $500 |

### 0714 Home Building and Rehabilitation Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapters 30 (Part 8(a)) and 48, Statutes of 1988 (Proposition 84, for transfer to various funds) | $7,792 | $6,742 | $5,854 |
| Transfer to State Operations | –1,050 | –888 | –865 |
| Chapters 30 (Part 8(a)) and 48, Statutes of 1988 (Proposition 107, for transfer to various funds) | 13,948 | 13,948 | 13,948 |
| Totals Available | $20,690 | $19,802 | $18,937 |
| Balance available in subsequent years | –20,690 | –19,802 | –18,937 |
| TOTALS, EXPENDITURES | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

### 0788 California Earthquake Safety and Housing Rehabilitation Bond Account [b]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Chapter 27, Statutes of 1988 (for transfer to Housing Rehabilitation Loan Fund—0929) | $2,189 | $1,189 | – |
| Transfer to State Operations | –1,000 | –1,189 | – |
| Totals Available | $1,189 | – | – |
| Balance available in subsequent years | –1,189 | – | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0813 Self-Help Housing Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 103 Budget Act appropriation | $2,000 | $101,550 | – |
| Health and Safety Code Section 50697.1 (Calhome Program) | – | – | $5,000 |
| Health and Safety Code Section 50697.1 (Self-Help Program) | – | – | 2,000 |
| Totals Available | $2,000 | $101,550 | $7,000 |
| Unexpended balance, estimated savings | –68 | –$7,050 | – |
| TOTALS, EXPENDITURES | $1,932 | $94,500 | $7,000 |
| Loan repayments from local agencies | –203 | –133 | –120 |
| Less funding provided by the General Fund | –2,000 | –102,100 | –2,100 |
| NET TOTALS, EXPENDITURES | –$271 | –$7,733 | $4,780 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | $105,835 | $102,600 | $102,100 |
| Budget adjustment | 13,139 | 9,574 | – |
| TOTALS, EXPENDITURES | $118,974 | $112,174 | $102,100 |

### 0927 Farmworker Housing Grant Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50517.5 | $4,104 | $34,700 | $28,780 |
| Less funding provided by the General Fund | –3,500 | –46,500 | –18,500 |
| TOTALS, EXPENDITURES | $604 | –$11,800 | $10,280 |

### 0929 Housing Rehabilitation Loan Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATONS | | | |
| Health and Safety Code Section 50661 (Code Enforcement Incentive Program) | – | $4,750 | – |
| Health and Safety Code Section 50661 | $9,941 | 5,000 | $5,000 |
| Health and Safety Code Section 50661 (Multi-family Housing Program) | – | 100,000 | 108,050 |
| Health and Safety Code Section 50661 (Downtown Rebound Program) | – | 23,750 | 4,180 |
| Health and Safety Code Section 50661 (Inter-regional Partnership Grants for Jobs-Housing Balance) | – | 4,500 | – |
| TOTALS, EXPENDITURES | $9,941 | $138,000 | $117,230 |
| Less funding provided by the General Fund | –6,000 | –223,000 | –35,400 |
| Loan repayments from local agencies | –921 | –950 | –934 |
| NET TOTALS, EXPENDITURES | $3,020 | –$85,950 | $80,896 |

### 0938 Rental Housing Construction Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50740 | – | $4,976 | $4,976 |
| Health and Safety Code Section 50740 (Economic Development/Jobs-Housing Balance Program) | – | 2,425 | 2,425 |
| Health and Safety Code Section 50740 (Transit Oriented Predevelopment/Jobs-Housing Balance Program) | – | 2,425 | 2,425 |
| Health and Safety Code Section 50740 (Preservation Predevelopment Loan Program) | $5,512 | 2,900 | 4,000 |
| Health and Safety Code Section 50882 (Transfer to Housing Rehabilitation Loan Fund) | (5,000) | – | – |
| TOTALS, EXPENDITURES | $5,512 | $12,726 | $13,826 |
| Less funding provided by the General Fund | –6,400 | –1,500 | –4,000 |
| Loan repayments from local agencies | –469 | –526 | –278 |
| NET TOTALS, EXPENDITURES | –$1,357 | $10,700 | $9,548 |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

| 0942 Office of Migrant Services Account, Special Deposit Fund [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Government Code Section 16370 | $8,522 | $9,868 | $12,099 |
| Less funding provided by the General Fund | –5,750 | –7,679 | –9,528 |
| TOTALS, EXPENDITURES | $2,772 | $2,189 | $2,571 |
| **0972 Manufactured Home Recovery Fund [n]** | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 18070 (expenditures) | $179 | $400 | $400 |
| **0985 Emergency Housing Assistance Fund [n]** | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50800.5 | $2,420 | $27,190 | $23,440 |
| Less funding provided by the General Fund | –2,773 | –39,000 | –14,000 |
| TOTALS, EXPENDITURES | –$353 | –$11,810 | $9,440 |
| **3006 Jobs-Housing Balance Improvement Account [s]** | | | |
| APPROPRIATIONS | | | |
| 114 Budget Act appropriation | – | – | $299,576 |
| Balance available in subsequent years | – | – | –150,076 |
| Less funding provided by the General Fund | – | –$110,000 | –200,000 |
| TOTALS, EXPENDITURES | – | –$110,000 | –$50,500 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $156,770 | $457,118 | $487,214 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $195,009 | $501,686 | $531,278 |

---

### FUND CONDITION STATEMENT

| 0245 Mobilehome Park Revolving Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $50 | $445 | $285 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 3,751 | 3,705 | 3,705 |
| 125700 Other regulatory licenses and permits | 170 | 82 | 82 |
| 141200 Sales of documents | 6 | 6 | 6 |
| 161400 Miscellaneous revenue | 67 | 55 | 55 |
| Totals, Revenues and Transfers | $3,994 | $3,848 | $3,848 |
| Totals, Resources | $4,044 | $4,293 | $4,133 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development (State Operations) | 3,599 | 4,008 | 4,007 |
| Totals, Expenditures | $3,599 | $4,008 | $4,007 |
| FUND BALANCE | $445 | $285 | $126 |
| Reserve for economic uncertainties | 445 | 285 | 126 |
| **0472 Child Care and Development Facilities Direct Loan Fund [n]** | | | |
| BEGINNING BALANCE | $1,021 | $931 | $666 |
| Prior year adjustments | 1 | – | – |
| Balance, Adjusted | $1,022 | $931 | $666 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 250300 Income from Surplus Money Investment Fund | 56 | 50 | 25 |
| Totals, Operating Revenues | $56 | $50 | $25 |
| Totals, Resources | $1,078 | $981 | $691 |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | $147 | $565 | $413 |
| Local Assistance [1] | – | 15,750 | – |
| Totals, Disbursements | $147 | $16,315 | $413 |
| Expenditure Reduction: | | | |
| 2240 Department of Housing and Community Development | | | |
| Less funding provided by General Fund (Local Assistance) | – | –16,000 | – |
| Totals, Expenditures | $147 | $315 | $413 |
| FUND BALANCE | $931 | $666 | $278 |

[1] Local Assistance funds are transferred to the Technology, Trade and Commerce Agency which holds the funds until they are disbursed as loans. As of November 2000 the Agency had committed $1,500,000 of these funds, and had another $2,000,000 in applications in review.

### 0474 Child Care and Development Facilities Loan Guaranty Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $623 | $515 | $423 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 250300 Income from Surplus Money Investment Fund | 34 | 25 | 15 |
| Totals, Operating Revenues | $34 | $25 | $15 |
| Totals, Resources | $657 | $540 | $438 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development (State Operations) | 142 | 117 | 118 |
| FUND BALANCE | $515 | $423 | $320 |

### 0530 Mobilehome Park Purchase Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $14,141 | $16,616 | $13,747 |
| Prior year adjustments | –111 | – | – |
| Balance, Adjusted | $14,030 | $16,616 | $13,747 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214000 Interest from loans | 682 | 400 | 350 |
| 216000 Fees and licenses | 1,778 | 1,600 | 1,600 |
| 250300 Income from Surplus Money Investment Fund | 928 | 900 | 800 |
| 299900 Miscellaneous income | 1 | 1 | 1 |
| Totals, Operating Revenues | $3,389 | $2,901 | $2,751 |
| Totals, Resources | $17,419 | $19,517 | $16,498 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 535 | 670 | 564 |
| Local Assistance | 1,345 | 6,000 | 8,000 |
| Totals, Disbursements | $1,880 | $6,670 | $8,564 |
| Expenditure Reductions: | | | |
| 2240 Department of Housing and Community Development | | | |
| Loan repayments (Local Assistance) | –1,077 | –900 | –750 |
| Totals, Expenditures | $803 | $5,770 | $7,814 |
| FUND BALANCE | $16,616 | $13,747 | $8,684 |

### 0635 Rural Predevelopment Loan Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $624 | $782 | $450 |
| Prior year adjustments | –29 | – | – |
| Balance, Adjusted | $595 | $782 | $450 |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | $126 | $125 | $100 |
| 250300 Income from Surplus Money Investment Fund | 109 | 90 | 75 |
| Totals, Operating Revenues | $235 | $215 | $175 |
| Totals, Resources | $830 | $997 | $625 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 101 | 117 | 124 |
| Local Assistance | 1,209 | 1,000 | 1,000 |
| Totals, Disbursements | $1,310 | $1,117 | $1,124 |
| Expenditure Reductions: | | | |
| 2240 Department of Housing and Community Development | | | |
| Loan repayments (Local Assistance) | −1,262 | −570 | −500 |
| Totals, Expenditures | $48 | $547 | $624 |
| FUND BALANCE | $782 | $450 | $1 |

### 0648 Mobilehome-Manufactured Home Revolving Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $213 | $261 | $134 |
| Prior year adjustments | −22 | – | – |
| Balance, Adjusted | $191 | $261 | $134 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215400 Mobilehome In-Lieu Tax | 2,388 | 2,388 | 2,388 |
| 212000 Sale of documents | 5 | 6 | 6 |
| 215000 Income from investments | 595 | 300 | 200 |
| 216000 Fees and licenses | 14,968 | 15,628 | 15,478 |
| Totals, Operating Revenues | $17,956 | $18,322 | $18,072 |
| Transfers to Other Funds: | | | |
| T00001 General Fund loan repayment per Item 2240-011-0001, Budget Act of 1997 | −700 | −810 | – |
| T00972 Manufactured Home Recovery Fund loan repayment per Item 2240-011-0972, Budget Act of 1997 | −200 | −490 | – |
| Totals, Transfers to Other Funds | −$900 | −$1,300 | – |
| Totals, Operating Revenues | $17,056 | $17,022 | $18,072 |
| Totals, Resources | $17,247 | $17,283 | $18,206 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development (State Operations) | 16,986 | 17,149 | 16,808 |
| FUND BALANCE | $261 | $134 | $1,398 |

### 0714 Home Building and Rehabilitation Fund [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| 520000 Proceeds from sale of bonds | ($1,050) | ($888) | ($865) |
| 550000 Loan proceeds from Pooled Money Investment | 1,050 | 888 | 865 |
| Totals, Receipts | $1,050 | $888 | $865 |
| Totals, Resources | $1,050 | $888 | $865 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 1,050 | 888 | 865 |
| For transfer to Housing Rehabilitation Loan Fund—0929 | (193) | – | – |
| For transfer to Rental Housing Construction Fund—0938 | (857) | (888) | (865) |
| (For Family Housing Demonstration Program—included in RHCP transfer) | (51) | (75) | (75) |
| Totals, Disbursements | $1,050 | $888 | $865 |
| FUND BALANCE | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

### 0788 California Earthquake Safety and Housing Rehabilitation Bond Account [b]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| 520000 Proceeds from sales of bonds | ($1,000) | ($1,189) | – |
| 550000 Loan proceeds | 1,000 | 1,189 | – |
| Totals, Receipts | $1,000 | $1,189 | – |
| Totals, Resources | $1,000 | $1,189 | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 1,000 | 1,189 | – |
| For transfer to Housing Rehabilitation Loan Fund—0929 (State Operations) | (1,000) | (1,150) | – |
| Totals, Disbursements | $1,000 | $1,189 | – |
| FUND BALANCE | – | – | – |

### 0813 Self-Help Housing Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,012 | $1,566 | $11,133 |
| Prior year adjustments | 47 | – | – |
| Balance, Adjusted | $1,059 | $1,566 | $11,133 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 133 | 100 | 100 |
| 250300 Income from Surplus Money Investment Fund | 213 | 2,500 | 1,500 |
| Totals, Operating Revenues | $346 | $2,600 | $1,600 |
| Totals, Resources | $1,405 | $4,166 | $12,733 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 110 | 766 | 739 |
| Local Assistance | 1,932 | 94,500 | 7,000 |
| Totals, Disbursements | $2,042 | $95,266 | $7,739 |
| Expenditure Reductions: | | | |
| 2240 Department of Housing and Community Development: | | | |
| Local Assistance: | | | |
| Loan repayments from local agencies | –203 | –133 | –120 |
| Less funding provided by the General Fund | –2,000 | –102,100 | –2,100 |
| Totals, Expenditure Reductions | –$2,203 | –$102,233 | –$2,220 |
| Totals, Expenditures | –$161 | –$6,967 | $5,519 |
| FUND BALANCE | $1,566 | $11,133 | $7,214 |

### 0843 California Housing Trust Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $35 | $36 | $38 |
| Prior year adjustments | –1 | – | – |
| Balance, Adjusted | $34 | $36 | $38 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 213000 Property and natural resources (Tidelands Oil Revenue) | – | – | – |
| 250300 Income from Surplus Money Investment Fund | 2 | 2 | 2 |
| 299900 Income-Residual from Item 2240-510-0942-013 | – | – | – |
| Totals, Operating Revenues and Transfers | $2 | $2 | $2 |
| Transfers to Other Funds: | | | |
| T00985 Emergency Housing and Assistance Fund per Item 2240-101-0843, Budget Act of 2001 | – | – | –40 |
| Totals, Operating Revenues | $2 | $2 | –$38 |
| Totals, Resources | $36 | $38 | – |
| FUND BALANCE | $36 | $38 | – |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

| 0927 Farmworker Housing Grant Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,432 | $254 | $11,334 |
| Prior year adjustments | −115 | – | – |
| Balance, Adjusted | $1,317 | $254 | $11,334 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 6 | – | – |
| 580000 Fund abatements | – | – | – |
| Totals, Operating Revenues | $6 | – | – |
| Totals, Resources | $1,323 | $254 | $11,334 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 465 | 720 | 712 |
| Local Assistance | 4,104 | 34,700 | 28,780 |
| Totals, Disbursements | $4,569 | $35,420 | $29,492 |
| Expenditure Reductions: | | | |
| 2240 Department of Housing and Community Development | | | |
| Less funding provided by General Fund (Local Assistance) | −3,500 | −46,500 | −18,500 |
| Totals, Expenditures | $1,069 | −$11,080 | $10,992 |
| FUND BALANCE | $254 | $11,334 | $342 |

| 0929 Housing Rehabilitation Loan Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $19,275 | $25,353 | $113,782 |
| Prior year adjustments | 1,811 | – | – |
| Balance, Adjusted | $21,086 | $25,353 | $113,782 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 213000 Property and natural resources | 24 | – | – |
| 215000 Income from investments | 2,117 | 1,127 | 1,106 |
| 250300 Income from Surplus Money Investment Fund | 2,315 | 5,000 | 5,000 |
| Totals, Operating Revenues | $4,456 | $6,127 | $6,106 |
| Transfers from Other Funds: | | | |
| F00938 Housing Rehabilitation Loan Fund per Health & Safety Section 50882(e) | 5,000 | – | – |
| Totals, Operating Revenues | $9,456 | $6,127 | $6,106 |
| Totals, Resources | $30,542 | $31,480 | $119,888 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 3,362 | 4,798 | 4,842 |
| California Disaster Housing Repair Program | (765) | (800) | (800) |
| Homeownership Assistance Program | (76) | (80) | (80) |
| 20 Community Affairs Division (Local Assistance) | 9,941 | 138,000 | 117,230 |
| Totals, Disbursements | $13,303 | $142,798 | $122,072 |
| Expenditure Reductions: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations: | | | |
| Less funding from Home Building and Rehabilitation Fund—0714 | −193 | – | – |
| Less funding from Earthquake Safety and Housing Rehabilitation Fund—0788 | −1,000 | −1,150 | – |
| Local Assistance: | | | |
| Less funding provided by General Fund | −6,000 | −223,000 | −35,400 |
| Loan repayments from local agencies | −921 | −950 | −934 |
| Totals, Expenditure Reductions | −$8,114 | −$225,100 | −$36,334 |
| Totals, Expenditures | $5,189 | −$82,302 | $85,738 |
| FUND BALANCE | $25,353 | $113,782 | $34,150 |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

| 0938 Rental Housing Construction Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $9,319 | $10,609 | $11,955 |
| Prior year adjustments | 2,618 | – | – |
| Balance, Adjusted | $11,937 | $10,609 | $11,955 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 293 | 235 | 105 |
| 250300 Income from Surplus Money Investment Fund | 2,618 | 2,600 | 2,600 |
| 299900 Income—Other abatements, revenue and interest on escrows | 14 | – | – |
| Totals, Operating Revenues | $2,925 | $2,835 | $2,705 |
| Transfers to Other Funds: | | | |
| T00929 Housing Rehabilitation Loan Fund per Health & Safety Section 50882(e) | –5,000 | – | – |
| Transfers from Other Funds: | | | |
| F03006 Jobs-Housing Balance Improvement Account per Chapter 80, Statutes 2000 | – | 10,000 | – |
| Totals, Operating Revenues | –$2,075 | $12,835 | $2,705 |
| Totals, Resources | $9,862 | $23,444 | $14,660 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 1,467 | 1,677 | 1,646 |
| Family Housing Demonstration Program | (51) | (75) | (75) |
| Urban Predevelopment Loan Program | (36) | – | – |
| Local Assistance | 5,512 | 12,726 | 13,826 |
| Totals, Disbursements | $6,979 | $14,403 | $15,472 |
| Expenditure Reductions: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations: | | | |
| Less funding from Home Building and Rehabilitation Fund—0714 | –857 | –888 | –865 |
| Local Assistance: | | | |
| Less funding provided by General Fund | –6,400 | –1,500 | –4,000 |
| Loan repayments | –469 | –526 | –278 |
| Totals, Expenditure Reductions | –$7,726 | –$2,914 | –$5,143 |
| Totals, Expenditures | –$747 | $11,489 | $10,329 |
| FUND BALANCE | $10,609 | $11,955 | $4,331 |

| 0942 Special Deposit Fund—Office of Migrant Services [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $466 | $7 | $67 |
| Prior year adjustments | 125 | – | – |
| Balance, Adjusted | $591 | $7 | $67 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299900 Income—Other rent revenue | 2,188 | 2,249 | 2,504 |
| Totals, Operating Revenues | $2,188 | $2,249 | $2,504 |
| Totals, Resources | $2,779 | $2,256 | $2,571 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development (Local Assistance) | 8,522 | 9,868 | 12,099 |
| Expenditure Reductions: | | | |
| 2240 Department of Housing and Community Development | | | |
| Less transfer provided by the General Fund (Local Assistance) | –5,750 | –7,679 | –9,528 |
| Totals, Expenditures | $2,772 | $2,189 | $2,571 |
| FUND BALANCE | $7 | $67 | – |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

| 0972 Manufactured Home Recovery Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $794 | $1,356 | $1,310 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 216000 Fees and licenses | 589 | 98 | 295 |
| 250300 Income from Surplus Money Investment Fund | 57 | 10 | 29 |
| Totals, Operating Revenues | $646 | $108 | $324 |
| Transfers from Other Funds: | | | |
| F00648 Mobilehome-Manufactured Home Revolving Fund per Item 2240-011-0972, Budget Act of 1997 | 200 | 490 | – |
| Totals, Operating Revenues | $846 | $598 | $324 |
| Totals, Resources | $1,640 | $1,954 | $1,634 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 105 | 244 | 244 |
| Local Assistance | 179 | 400 | 400 |
| Totals, Expenditures | $284 | $644 | $644 |
| FUND BALANCE | $1,356 | $1,310 | $990 |

| 0985 Emergency Housing and Assistance Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,407 | $1,868 | $13,667 |
| Prior year adjustments | −39 | – | – |
| Balance, Adjusted | $1,368 | $1,868 | $13,667 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 250300 Income from Surplus Money Investment Fund | 228 | 750 | 500 |
| 299900 Income—Other contract disencumbrances | 256 | – | – |
| 580000 Fund Abatements | 92 | – | – |
| Totals, Operating Revenues | $576 | $750 | $500 |
| Transfers from Other Funds: | | | |
| F00843 California Housing Trust Fund per Item 2240-101-0843, Budget Act of 1997 | – | – | 40 |
| Totals, Operating Revenues | $576 | $750 | $540 |
| Totals, Resources | $1,944 | $2,618 | $14,207 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | 429 | 761 | 744 |
| Local Assistance | 2,420 | 27,190 | 23,440 |
| Totals, Disbursements | $2,849 | $27,951 | $24,184 |
| Expenditure Reductions: | | | |
| 2240 Department of Housing and Community Development | | | |
| Less funding from General Fund (Local Assistance) | −2,773 | −39,000 | −14,000 |
| Totals, Expenditure Reductions | −$2,773 | −$39,000 | −$14,000 |
| Totals, Expenditures | $76 | −$11,049 | $10,184 |
| FUND BALANCE | $1,868 | $13,667 | $4,023 |

| 3006 Jobs-Housing Balance Improvement Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $100,000 |
| REVENUES AND TRANSFERS | | | |
| Revenue: | | | |
| 250300 Income from Surplus Money Investment Fund | – | – | – |
| Totals, Revenues | – | – | – |
| Transfers to Other Funds: | | | |
| T00938 Rental Construction Fund per Chapter 80, Statutes 2000 | – | −$10,000 | – |
| Totals, Revenues and Transfers | – | −$10,000 | – |
| Totals, Resources | – | −$10,000 | $100,000 |

*** Dollars in thousands, except in Salary Range.**

## 2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2240 Department of Housing and Community Development: | | | |
| State Operations | – | – | $212 |
| Local Assistance | – | – | 149,500 |
| Totals, Disbursements | – | – | $149,712 |
| Expenditure Reductions: | | | |
| 2240 Department of Housing and Community Development | | | |
| Less funding provided by General Fund (Local Assistance) | – | –$110,000 | –200,000 |
| Totals, Expenditures | – | –$110,000 | –$50,288 |
| FUND BALANCE | – | $100,000 | $150,288 |
| Reserve for unencumbered balance of continuing appropriations | – | – | 150,076 |
| Reserve for economic uncertainties | – | 100,000 | 212 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 452.0 | 532.0 | 531.7 | $21,236 | $25,926 | $26,445 |
| Salary adjustments | – | – | – | – | 4 | 26 |
| Totals, Adjusted Authorized Positions | 452.0 | 532.0 | 531.7 | $21,236 | $25,930 | $26,471 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | Salary Range | | |
| Housing & Community Dev Rep II (Prog 30) | – | – | –3.0 | 4,110–4,997 | – | –166 |
| Proposed New Positions: | | | | | | |
| Codes & Stds Dist Rep II (Prog 10) | – | – | 2.0 | 4,238–5,109 | – | 112 |
| Housing & Community Dev Rep II (Prog 20) | – | – | 1.0 | 4,110–4,997 | – | 54 |
| Totals, Workload and Administrative Adjustments | – | – | – | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Assoc Civil Engr (Prog 10) | – | – | –1.0 | 4,639–5,637 | – | – |
| Research Spec I (Prog 30) | – | – | –0.5 | 4,506–5,437 | – | – |
| Housing & Community Dev Rep II (Prog 20)[1] | – | – | –4.0 | 4,110–4,997 | – | –166 |
| Dist Rep I (Prog 10) | – | – | –1.0 | 3,860–4,650 | – | – |
| Pers Techn (Prog 50) | – | – | –1.0 | 2,348–2,855 | – | –31 |
| Prog Techn II (Prog 10) | – | – | –1.5 | 2,348–2,855 | – | –47 |
| Prog Techn (Prog 10) | – | – | –1.0 | 2,029–2,648 | – | –31 |
| Stock Clk (Prog 50) | – | – | –1.0 | 2,139–2,601 | – | –32 |
| Ofc Asst (Prog 50) | – | – | –1.0 | 2,230–2,449 | – | –29 |
| Totals | – | – | –12.0 | – | – | –$336 |
| Proposed New Positions: | | | | | | |
| Housing & Community Dev Spec I (Prog 30)[1] | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Assoc Info Sys Analyst (Prog 10) | – | – | 2.0 | 4,110–4,997 | – | 109 |
| Housing & Community Dev Rep II (Prog 30)[1] | – | – | 1.0 | 4,110–4,997 | – | 55 |
| Housing & Community Dev Rep II (Prog 20)[1, 2] | – | – | 1.5 | 4,110–4,997 | – | 82 |
| Totals | – | – | 5.5 | – | – | $303 |
| Total Adjustments | – | – | –6.5 | – | $4 | –$7 |
| TOTALS, SALARIES AND WAGES | 452.0 | 532.0 | 525.2 | $21,236 | $25,930 | $26,438 |

[1] One 2-year limited term; expires 6/30/03.
[2] 0.5 18-month limited term; expires 12/31/02.

*** Dollars in thousands, except in Salary Range.**

# 2260 CALIFORNIA HOUSING FINANCE AGENCY

The California Housing Finance Agency helps meet the need for safe, decent, and affordable housing for low and moderate income individuals and families by offering below market-rate loans. The Agency is fiscally self-supporting, setting loan interest rates slightly above the interest cost on its bonds and charging fees for specific services. It is administered by a fourteen-member Board of Directors representing various segments of the housing industry and state government. Ex-officio members include the State Treasurer, the Secretary for the Business, Transportation, and Housing Agency, the Director of the Department of Housing and Community Development, the Director of the Department of Finance, and the Director of the California Housing Finance Agency. Each year the Board adopts an annual budget and updates a five-year business plan. The Board has not yet adopted a final operating budget for 2001–02; the budget presented here reflects the full-year cost at the approved level for 2000–01.[1] The Agency is currently implementing an $8.8 billion business plan for the fiscal years 2000–01 through 2004–05.

The Agency also administers a homebuyer downpayment assistance program and a rental assistance program authorized through December 31, 2002 by the Leroy F. Greene School Facilities Act of 1998, approved in the General Election of November 1998; $160 million is available by contract with the Department of General Services (DGS) over a four-year period that began January 1, 1999. This program provides funding to eligible homebuyers and rental developers to offset the cost of specified school facility fees paid for the home or rental project. The past year expenditures and budgeted funding available for this program are displayed in the School Facilities Fee Assistance Fund condition statement in the Department of General Services' budget (organization code 1760).

In addition, this year the Agency will begin administering the California Homebuyers Downpayment Assistance Program as authorized by Chapter 81, Statutes of 2000. This program helps address the declining rate of homeownership in the state by offering deferred-payment low cost loans for downpayment assistance. The $50 million funding for the program will be allocated by contract from the Department of Housing and Community Development, and expenditures for the program will be displayed in that department's budget (organization code 2240).

## Authority

Health and Safety Code Sections 50000–52533.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Lending Activity | 176.8 | 194.7 | 194.7 | $14,981 | $17,916 | $18,006 |
| 20 Insurance Activity | 9.5 | 10.5 | 10.5 | 1,456 | 1,731 | 1,736 |
| TOTALS, PROGRAMS | 186.3 | 205.2 | 205.2 | $16,437 | $19,647 | $19,742 |
| *0501 California Housing Finance Fund* | | | | *14,981* | *17,916* | *18,006* |
| *0916 Housing Insurance Fund* | | | | *1,456* | *1,731* | *1,736* |

## 10 LENDING ACTIVITY

The Agency sells tax-exempt and taxable bonds and uses the proceeds to finance housing at below-market interest rates by: (1) making mortgage loans to qualified borrowers to finance the purchase of a single family home, and (2) making loans for the development and preservation of multifamily housing. In addition to increasing the supply of affordable housing, the Agency's financing activities stimulate the state's economy, resulting in additional employment opportunities and increased income to California residents.

| | | | |
|---|---|---|---|
| Bonds/Notes Outstanding: | | | |
| Issued during year | $1,591,504 | $1,475,000 | $1,200,000 |
| Outstanding | 6,597,403 | 7,462,403 | 7,762,403 |
| Lending Activities: | | | |
| Loaned: | | | |
| During year | $1,045,261 | $1,475,000 | $1,200,000 |
| Outstanding | 5,856,889 | 6,991,899 | 7,366,899 |
| Dwelling Units: | | | |
| During year | 10,700 | 10,700 | 10,700 |
| Outstanding | 125,500 | 136,200 | 146,900 |

## 20 INSURANCE ACTIVITY

The California Housing Loan Insurance Fund Program encourages and facilitates the preservation of existing housing and improves housing opportunities for persons of low and moderate income.

Chapter 610, Statutes of 1977, established this program for bond and loan insurance. The program was initially funded with a $5 million appropriation and a $5 million loan from the General Fund. The loan has since been fully repaid. All money in the fund is continuously appropriated for the purposes of insuring single family loans pursuant to the program. To address the need for mortgage insurance products for the low and moderate income housing market, the fund currently insures California Housing Finance Agency, Federal National Mortgage Association and Federal Home Loan Mortgage Corporation single family loans and has earned a claims-paying ability credit rating equivalent to that of a private mortgage insurance company. The program expects to insure $1.9 billion in mortgages beginning in the current year through the next five years of market operation. It is self-supporting and does not depend upon either the faith and credit or the taxing power of the State of California to operate.

## Performance Measures

| | | | |
|---|---|---|---|
| Primary New Insurance Written | $257 | $395 | $440 |
| Pool New Insurance Written | – | – | – |
| Renewal Insurance Written | 621 | 703 | 633 |

---

## PROGRAM BUDGET DETAIL

## PROGRAM REQUIREMENTS

## 10 LENDING ACTIVITY

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0501 California Housing Finance Fund* | *$14,981* | *$17,916* | *$18,006* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2260 CALIFORNIA HOUSING FINANCE AGENCY—Continued

### PROGRAM REQUIREMENTS
### 20 INSURANCE ACTIVITY

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0916 Housing Insurance Fund* | *$1,456* | *$1,731* | *$1,736* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $16,437 | $19,647 | $19,742 |
| TOTALS, EXPENDITURES | $16,437 | $19,647 | $19,742 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 186.3 | 216.0 | 216.0 | $9,979 | $12,417 | $12,497 |
| Estimated Salary Savings | – | –10.8 | –10.8 | – | –621 | –625 |
| Net Totals, Salaries and Wages | 186.3 | 205.2 | 205.2 | $9,979 | $11,796 | $11,872 |
| Staff Benefits | – | – | – | 1,562 | 2,949 | 2,968 |
| Totals, Personal Services | 186.3 | 205.2 | 205.2 | $11,541 | $14,745 | $14,840 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,896 | $4,902 | $4,902 |
| TOTALS, EXPENDITURES | | | | $16,437 | $19,647 | $19,742 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0501 California Housing Finance Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Health and Safety Code Section 51000 (expenditures) | $14,981 | $17,916 | $18,006 |
| **0916 Housing Insurance Fund [n]** | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 51653 (expenditures) | $1,456 | $1,731 | $1,736 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $16,437 | $19,647 | $19,742 |

### REVENUE AND EXPENDITURES STATEMENT
### 0501 California Housing Finance Fund [n]

| OPERATING REVENUES | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 214000 Interest income from loans | $384,168 | $402,000 | $420,000 |
| 215000 Income from investments | 90,412 | 92,000 | 94,000 |
| 216000 Fees and licenses—Financing and application fees | 16,551 | 18,000 | 20,000 |
| 299000 Other income | 77,818 | 80,000 | 82,000 |
| Totals, Operating Revenues | $568,949 | $592,000 | $616,000 |
| EXPENDITURES | | | |
| Interest payments on bonds and notes | 370,981 | 390,000 | 408,000 |
| Servicing fees and other expenditures | 110,832 | 113,000 | 115,000 |
| State operations | 14,981 | 17,916 | 18,006 |
| TOTALS, EXPENDITURES | $496,794 | $520,916 | $541,006 |
| Revenue over (under) expenditures | 72,155 | 71,084 | 74,994 |
| **0916 Housing Insurance Fund [n]** | | | |
| REVENUES | | | |
| Insurance premium | $5,129 | $6,616 | $7,941 |
| Investment interest | 1,544 | 1,191 | 1,283 |
| Other | 860 | 900 | 900 |
| Totals, Revenues | $7,533 | $8,707 | $10,124 |

*** Dollars in thousands, except in Salary Range.**

## 2260 CALIFORNIA HOUSING FINANCE AGENCY—Continued

| EXPENDITURES | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Reinsurance premium | $2,656 | $3,639 | $4,200 |
| Claims | 582 | 1,703 | 3,065 |
| State operations | 1,456 | 1,731 | 1,736 |
| Other | 351 | 400 | 400 |
| TOTALS, EXPENDITURES | $5,045 | $7,473 | $9,401 |
| Revenues over (under) expenditures | 2,488 | 1,234 | 723 |

[1] The Agency's operations budget is not subject to Budget Act appropriations. The Agency's funds are derived from self-supporting revenue bonds, and its budget is displayed in the Governor's Budget for informational purposes only.

# 2310 OFFICE OF REAL ESTATE APPRAISERS

The Office of Real Estate Appraisers (OREA) administers a program for licensing of real estate appraisers in federally related loan transactions. All appraisals for federally regulated real estate financing transactions must be conducted by persons licensed in accordance with applicable state standards. In addition, certain appraisals, because of the size of the real property or complexity involved, must be performed only by a state licensed appraiser.

### SUMMARY OF PROGRAM REQUIREMENTS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Administration of Real Estate Appraisers Program | 33.9 | 38.5 | 38.5 | $3,749 | $3,884 | $3,875 |
| *0400 Real Estate Appraisers Regulation Fund* | | | | *3,687* | *3,809* | *3,800* |
| *0995 Reimbursements* | | | | *62* | *75* | *75* |

## 10 ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM

### Program Objectives Statement

This program conducts the three major activities of the office: licensing, which ensures that only qualified persons are licensed to conduct appraisals in federally related real estate loan transactions; enforcement, which ensures adherence to the applicable laws and regulations by all persons licensed by the State; and administration, which provides staff support.

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 33.9 | 40.5 | 40.5 | $1,578 | $2,064 | $2,109 |
| Estimated Salary Savings | – | –2.0 | –2.0 | – | –97 | –100 |
| Net Totals, Salaries and Wages | 33.9 | 38.5 | 38.5 | $1,578 | $1,967 | $2,009 |
| Staff Benefits | – | – | – | 516 | 394 | 412 |
| Totals, Personal Services | 33.9 | 38.5 | 38.5 | $2,094 | $2,361 | $2,421 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,655 | $1,523 | $1,454 |
| TOTALS, EXPENDITURES | | | | $3,749 | $3,884 | $3,875 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0400 Real Estate Appraisers Regulation Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $4,159 | $3,823 | $3,800 |
| Allocation for employee compensation | 178 | 7 | – |
| Adjustment per Section 3.60 | –109 | –21 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Totals Available | $4,230 | $3,809 | $3,800 |
| Unexpended balance, estimated savings | –543 | – | – |
| TOTALS, EXPENDITURES | $3,687 | $3,809 | $3,800 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $62 | $75 | $75 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,749 | $3,884 | $3,875 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2310 OFFICE OF REAL ESTATE APPRAISERS—Continued

| FUND CONDITION STATEMENT<br>0400 Real Estate Appraisers Regulation Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $4,631 | $3,413 | $3,335 |
| Prior year adjustments | 175 | – | – |
| Balance, Adjusted | $4,806 | $3,413 | $3,335 |
| REVENUE AND TRANSFERS | | | |
| Revenues: | | | |
| 123400 Real Estate Examination fees | 480 | 902 | 514 |
| 123500 Real Estate License fees | 1,012 | 2,020 | 1,013 |
| 125600 Other Regulatory fees | 269 | 426 | 200 |
| 150300 Income from surplus money investments | 226 | 190 | 100 |
| 161400 Miscellaneous revenue | 70 | 43 | 118 |
| 164300 Penalty assessments | 237 | 150 | 150 |
| Totals, Revenues and Transfers | $2,294 | $3,731 | $2,095 |
| Totals, Resources | $7,100 | $7,144 | $5,430 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2310 Office of Real Estate Appraisers (State Operations) | 3,687 | 3,809 | 3,800 |
| FUND BALANCE | $3,413 | $3,335 | $1,630 |
| Reserve for economic uncertainties | 3,413 | 3,335 | 1,630 |

# 2320 DEPARTMENT OF REAL ESTATE

The Department of Real Estate (1) protects the public in offerings of subdivided property; (2) ensures that licensed individuals conducting real estate transactions are competent and qualified; (3) prevents fraud, deceit and misrepresentation in the real estate marketplace by assisting the public through the investigation of complaints; and (4) educates the public and professional communities regarding the laws and regulations governing the handling of real estate transactions.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Licensing and Education | 65.5 | 66.6 | 66.6 | $6,239 | $5,990 | $6,005 |
| 20 Enforcement and Recovery | 162.6 | 173.4 | 173.4 | 16,141 | 17,852 | 17,858 |
| 30 Subdivisions | 58.9 | 61.4 | 61.4 | 4,437 | 4,965 | 4,885 |
| 40 Administration | 46.8 | 48.0 | 48.0 | 5,702 | 4,489 | 4,490 |
| Distributed Administration | –46.8 | –48.0 | –48.0 | –5,702 | –4,489 | –4,490 |
| TOTALS, PROGRAMS | 287.0 | 301.4 | 301.4 | $26,817 | $28,807 | $28,748 |
| *0317 Real Estate Commissioner's Fund* | | | | *26,083* | *28,057* | *27,998* |
| *0995 Reimbursements* | | | | *734* | *750* | *750* |

## 10 LICENSING AND EDUCATION

### Program Objectives Statement

The Licensing and Education Program (1) ensures that only those persons who meet prescribed qualifications are licensed; (2) renews licenses for individuals who maintain qualifications by updating their technical knowledge through required continuing education; and (3) enforces standards of legal conduct established for such licensees. Dealing in real property is often a once-in-a-lifetime activity for a large segment of the public. The degree of sophistication associated with real estate transactions makes it essential that real estate agents are qualified to render services that meet the public's needs.

### Authority

Business and Professions Code, Division 4, Part 1.

## 20 ENFORCEMENT AND RECOVERY

### Program Objectives Statement

The Enforcement and Recovery Program investigates complaints filed by the public and possible infractions noted by the staff or other regulatory agencies to determine violations of the Real Estate Law. Trust fund complaint cases are subject to investigative audits, and mortgage loan brokers, property management companies and broker-escrows are routinely audited as resources allow. If the Department's findings justify further action, disciplinary proceedings, including suspension or revocation of a license and/or criminal proceedings, may be sought to protect the public. The goal of the program is to provide maximum protection for the purchasers of real property and those persons dealing with real estate licensees.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2320 DEPARTMENT OF REAL ESTATE—Continued

The Department's legal staff administers the Real Estate Recovery Account, a fund of last resort to members of the public defrauded by real estate licensees in connection with acts requiring a real estate license. Whenever a person obtains a judgment based on licensee fraud and the licensee is unable to pay, the victim may file a claim against the Real Estate Recovery Account up to the maximum allowed by law. Recovery claims are decided by the Commissioner. When claims are paid out of the fund, the license of the agent on whose account the payment was made is automatically suspended until the recovery account has been repaid with interest.

### Authority

Business and Professions Code, Division 4, Parts 1 and 2.

## 30 SUBDIVISIONS

### Program Objectives Statement

The Subdivisions Program protects the public from fraud and misrepresentation in the sale or lease of subdivided land. No person may offer to sell or lease interest in a subdivision covered by the subdivided lands law without first filing a notice of intention and obtaining from the Real Estate Commissioner a public report on the subdivision. The public report examines virtually all aspects of the project and requires compliance with specified statutory and regulatory standards. The public report serves two functions aimed at protecting buyers of subdivision interests: (1) requires disclosure of material facts, and (2) ensures adherence to applicable standards for creating, operating, financing and documenting the project.

### Authority

Business and Professions Code, Division 4, Parts 1 and 2.

## 40 ADMINISTRATION

### Program Objectives Statement

The Administration Program conducts the final review of subdivision, regulatory and license transactions, issues and renews licenses, and maintains the official files of the Department. Administrative support staff perform the major part of the Department's financial management, personnel, electronic data processing, training, support and business services activities.

This program advises the Commissioner on legal matters and drafts proposed legislation and regulations for administration of the Real Estate Law and the Subdivided Lands Law. The legal staff also represents the State at disciplinary hearings, and responds to inquiries from the public, licensees, and members of the Legislature.

### Authority

Business and Professions Code, Division 4, Parts 1 and 2.

---

## PROGRAM BUDGET DETAIL

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 LICENSING AND EDUCATION** | | | |
| State Operations: | | | |
| *0317 Real Estate Commissioner's Fund* | *$5,505* | *$5,240* | *$5,255* |
| *0995 Reimbursements* | *734* | *750* | *750* |
| Totals, State Operations | $6,239 | $5,990 | $6,005 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 ENFORCEMENT AND RECOVERY** | | | |
| State Operations: | | | |
| *0317 Real Estate Commissioner's Fund* | *$16,141* | *$17,852* | *$17,858* |
| Totals, State Operations | $16,141 | $17,852 | $17,858 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 SUBDIVISIONS** | | | |
| State Operations: | | | |
| *0317 Real Estate Commissioner's Fund* | *$4,437* | *$4,965* | *$4,885* |
| Totals, State Operations | $4,437 | $4,965 | $4,885 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $26,817 | $28,807 | $28,748 |

*** Dollars in thousands, except in Salary Range.**

## 2320 DEPARTMENT OF REAL ESTATE—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 287.0 | 320.0 | 320.0 | $13,779 | $15,454 | $15,759 |
| Total Adjustments | – | – | – | – | 8 | 14 |
| Estimated Salary Savings | – | −18.6 | −18.6 | – | −679 | −679 |
| Net Totals, Salaries and Wages | 287.0 | 301.4 | 301.4 | $13,779 | $14,783 | $15,094 |
| Staff Benefits | – | – | – | 2,511 | 2,880 | 2,939 |
| Totals, Personal Services | 287.0 | 301.4 | 301.4 | $16,290 | $17,663 | $18,033 |
| OPERATING EXPENSES AND EQUIPMENT | | | | 8,478 | 8,144 | 7,715 |
| SPECIAL ITEMS OF EXPENSE | | | | 2,049 | 3,000 | 3,000 |
| TOTALS, EXPENDITURES | | | | $26,817 | $28,807 | $28,748 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0317 Real Estate Commissioner's Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $27,706 | $28,150 | $27,998 |
| Allocation for employee compensation | 1,354 | 59 | – |
| Adjustment per Section 3.60 | −874 | −159 | – |
| Adjustment per Section 4.60 (rental rate) | – | 7 | – |
| Adjustment per Section 16.00 | 10 | – | – |
| Totals Available | $28,196 | $28,057 | $27,998 |
| Unexpended balance, estimated savings | −2,113 | – | – |
| TOTALS, EXPENDITURES | $26,083 | $28,057 | $27,998 |
| **0995 Reimbursements** | | | |
| Reimbursements | $734 | $750 | $750 |
| TOTALS, EXPENDITURES (State Operations) | $26,817 | $28,807 | $28,748 |

### FUND CONDITION STATEMENT
### 0317 Real Estate Commissioner's Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $25,035 | $19,539 | $13,060 |
| Prior year adjustments | 660 | – | – |
| Balance, Adjusted | $25,695 | $19,539 | $13,060 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 123400 Division of Real Estate examination fees | 3,463 | 3,561 | 3,625 |
| 123500 Division of Real Estate license fees | 7,398 | 6,701 | 14,340 |
| 123600 Subdivision filing fees | 6,605 | 6,849 | 7,595 |
| 125700 Other regulatory licenses and permits | 209 | 209 | 209 |
| 141200 Sales of documents | 142 | 142 | 142 |
| 142500 Miscellaneous services to the public | 211 | 211 | 211 |
| 150300 Income from surplus money investments | 1,386 | 1,100 | 1,100 |
| 161000 Escheat of unclaimed checks and warrants | 27 | 27 | 27 |
| 161400 Miscellaneous revenue | 291 | 254 | 254 |
| 164300 Penalty assessments | 195 | 195 | 195 |
| Totals, Revenues | $19,927 | $19,249 | $27,698 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Act of 1998 and 2000 | – | 2,329 | – |
| Totals, Transfers from Other Funds | – | $2,329 | – |
| Totals, Revenues and Transfers | $19,927 | $21,578 | $27,698 |
| Totals, Resources | $45,622 | $41,117 | $40,758 |

*** Dollars in thousands, except in Salary Range.**

## 2320 DEPARTMENT OF REAL ESTATE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2320 Department of Real Estate (State Operations) | $26,083 | $28,057 | $27,998 |
| FUND BALANCE | $19,539 | $13,060 | $12,760 |
| Reserve for economic uncertainties: | | | |
| Department of Real Estate | 11,962 | 5,528 | 6,129 |
| Reserve for Education and Research | 5,439 | 6,380 | 6,180 |
| Reserve for Recovery | 2,138 | 1,152 | 451 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 287.0 | 320.0 | 320.0 | $13,779 | $15,454 | $15,759 |
| Salary adjustments | – | – | – | – | 8 | 14 |
| Totals, Adjusted Authorized Positions | 287.0 | 320.0 | 320.0 | $13,779 | $15,462 | $15,773 |
| Total Adjustments | – | – | – | – | $8 | $14 |
| TOTALS, SALARIES AND WAGES | 287.0 | 320.0 | 320.0 | $13,779 | $15,462 | $15,773 |

# 2400 DEPARTMENT OF MANAGED HEALTH CARE

Chapter 525, Statutes of 1999, established the Department of Managed Health Care, transferring its responsibilities from the Department of Corporations (organization code 2180) effective July 1, 2000. The Department of Managed Health Care protects the public through administration and enforcement of state laws regulating health care plans. The administration of these laws involves a variety of activities including licensing, examination, and responding to public inquiries and complaints. The program enforces its laws through administrative and civil actions.

Working in tandem with the Department is the new Office of the Patient Advocate which will help ensure that the needs of managed care consumers are heard and met. Also, advising the Department are three boards comprised of a broad cross-section of health care leaders including providers, purchasers and consumers: the Advisory Committee on Managed Care, the Clinical Advisory Panel, and the Financial Solvency Standards Board.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 30 Health Plan Program [1] | – | 259.0 | 248.0 | – | $33,950 | $30,547 |
| 50.01 Administration | – | 46.8 | 46.8 | – | 5,178 | 5,178 |
| 50.02 Distributed Administration | – | – | – | – | –5,178 | –5,178 |
| TOTALS, PROGRAMS | – | 305.8 | 294.8 | – | $33,950 | $30,547 |
| *0933 Managed Care Fund* | | | | – | *33,950* | *30,547* |

## 30 HEALTH PLAN PROGRAM

### Program Objectives Statement

The Health Plan Program assures the accessibility and availability of medically necessary health care delivered to the public with appropriate quality-of-care oversight and through financially sound managed care plans. The program licenses health care service plans, conducts routine financial and medical surveys, and operates a consumer services toll-free complaint line.

### Major Budget Adjustments Proposed for 2001–02

- An increase in funding of $300,000 for consultants to assist with performing more frequent financial examinations of full-service health care plans.
- Budget year and future resource requirements for consumer education and report cards will be proposed in a Spring Finance Letter, by which time the newly established Office of Patient Advocate and the Department as a whole will have had the opportunity to further develop programs and determine longer-range resource needs.

### Authority

Health and Safety Code Sections 1340–1399.64 inclusive. California Code of Regulations, Title 10, Sections 1300.43–1300.826.

## PROGRAM BUDGET DETAIL

| PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **30 HEALTH PLAN PROGRAM** | – | $33,950 | $30,547 |
| State Operations: | | | |
| *0933 Managed Care* | – | *33,950* | *30,547* |
| 30.10 Health Care Service Plans | – | 32,965 | 29,558 |
| State Operations: | | | |
| *0933 Managed Care* | – | *32,965* | *29,558* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2400 DEPARTMENT OF MANAGED HEALTH CARE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 30.20 Office of Patient Advocate | – | $985 | $989 |
| State Operations: | | | |
| *0933 Managed Care* | – | *985* | *989* |
| TOTALS, EXPENDITURES (State Operations) | – | $33,950 | $30,547 |

[1] For prior year information, see Department of Corporations (org. code 2180) Health Plan Program.

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 340.0 | 334.0 | – | $17,422 | $17,774 |
| Estimated Salary Savings | – | –34.2 | –39.2 | – | –1,755 | –2,085 |
| Net Totals, Salaries and Wages | – | 305.8 | 294.8 | – | $15,667 | $15,689 |
| Staff Benefits | – | – | – | – | 2,935 | 2,928 |
| Totals, Personal Services | – | 305.8 | 294.8 | – | $18,602 | $18,617 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $15,348 | $11,930 |
| TOTALS, EXPENDITURES | | | | – | $33,950 | $30,547 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0933 Managed Care Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | – | $33,017 | $29,558 |
| 002 Budget Act appropriation | – | 988 | 989 |
| Allocation for employee compensation | – | 53 | – |
| Adjustment per Section 3.60 | – | –108 | – |
| TOTALS, EXPENDITURES (State Operations) | – | $33,950 | $30,547 |

### FUND CONDITION STATEMENT
### 0933 Managed Care Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | $6,158 | $2,032 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | – | 28,058 | 30,142 |
| 141200 Sales of documents | – | 21 | 21 |
| 142500 Miscellaneous services to the public | – | 6 | 6 |
| 150300 Income from surplus money investments | – | 425 | 425 |
| 161900 Other revenue, cost recoveries | – | 1,314 | 2,075 |
| Totals, Revenues | – | $29,824 | $32,669 |
| Transfer from Other Funds: | | | |
| F00067 Corporations Fund per Chapter 525, Statutes 1999 | $6,158 | – | – |
| Totals, Transfers from Other Funds | $6,158 | – | – |
| Totals, Revenues and Transfers | $6,158 | $29,824 | $32,669 |
| Totals, Resources | $6,158 | $35,982 | $34,701 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2400 Department of Managed Care (State Operations) | – | 33,950 | 30,547 |
| FUND BALANCE | $6,158 | $2,032 | $4,154 |
| Reserve for economic uncertainties | 6,158 | 2,032 | 4,154 |

*** Dollars in thousands, except in Salary Range.**

# 2600 CALIFORNIA TRANSPORTATION COMMISSION

The California Transportation Commission is responsible for the following activities:

(1) Adopting the State Transportation Improvement Program, which includes an estimate of state and federal funds expected to be available for transportation projects over a five-year period;
(2) Adopting other capital improvement programs for highway, rail, aeronautics, toll bridge and enhancement projects;
(3) Adopting guidelines for and administering a new program to loan unallocated funds in the State Highway Account to transportation planning agencies, county transportation commissions, transit districts, and local transportation authorities for the advancement of projects eligible under the State Transportation Improvement Program;
(4) Adopting guidelines for and administering a new program to designate projects to be funded from the proceeds of federal highway grant anticipation notes issued;
(5) Allocating State funds for capital improvements to specific highway, toll bridge, public mass transportation and aeronautics projects upon readiness for construction, within the constraint of available financial resources;
(6) Recommending funding priorities to the Legislature among the various elements of the State's Mass Transportation program;
(7) Adopting guidelines, approving project applications and substitute projects, and allocating funds for a $4.9 billion list of transportation projects defined in the Traffic Congestion Relief Program (TCRP) enacted by AB 2928 (Chapter 91, Statutes of 2000);
(8) Adopting guidelines to implement a fund exchange program, established by AB 2928 (Chapter 91, Statutes of 2000) to allow the exchange of federal regional surface transportation funds, and federal congestion mitigation and air quality program funds for state transportation funds;
(9) Providing policy guidance to the Administration and Legislature by identifying key issues in the areas of financing, operating and maintaining current and future transportation systems through the annual report to the Legislature;
(10) Evaluating for the Legislature the proposed budget of the California Department of Transportation, its adequacy for contributing to a balanced transportation program and the adequacy of current state transportation revenues, including gasoline and diesel fuel taxes and vehicle weight fees; and
(11) Monitoring and reporting on the progress on implementation of transportation capital improvement programs.

The Commission advises and assists the Secretary of the Business, Transportation and Housing Agency and the Legislature in formulating and evaluating state policies and plans for California's transportation programs. The Commission also actively participates in the development of state and federal legislation that seeks to secure financial stability for the State's transportation needs.

The Commission consists of nine members appointed by the Governor, all appointed to staggered four-year terms, and two non-voting ex-officio members, one from the State Senate and one from the State Assembly.

## Authority

Government Code Sections 14500–14536; Streets and Highways Code Sections 70–86, 111, 111.5, 118, 150, 155.5, 165, 168, 183–183.3, 199–199.9, 210–215, 254; Public Utilities Code Section 130252.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Administration of California Transportation Commission | 13.0 | 18.2 | 18.2 | $1,399 | $1,973 | $1,981 |
| 30 Clean Air and Transportation Improvement | 1.8 | 1.5 | 1.5 | 107,746 | 120,488 | 130,275 |
| TOTALS, PROGRAMS | 14.8 | 19.7 | 19.7 | $109,145 | $122,461 | $132,256 |
| *0042 State Highway Account, State Transportation Fund* | | | | *144* | *374* | *533* |
| *0046 Public Transportation Account, State Transportation Fund* | | | | *1,255* | *1,441* | *1,448* |
| *0703 Clean Air and Transportation Improvement Fund* | | | | *107,746* | *120,646* | *130,275* |

## SUMMARY BY OBJECT
## 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 14.8 | 19.8 | 19.8 | $1,012 | $1,310 | $1,229 |
| Estimated Salary Savings | – | –0.1 | –0.1 | – | –17 | –17 |
| Net Totals, Salaries and Wages | 14.8 | 19.7 | 19.7 | $1,012 | $1,293 | $1,212 |
| Staff Benefits | – | – | – | 153 | 171 | 143 |
| Totals, Personal Services | 14.8 | 19.7 | 19.7 | $1,165 | $1,464 | $1,355 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,273 | $997 | $901 |
| TOTALS, EXPENDITURES | | | | $2,438 | $2,461 | $2,256 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2600 CALIFORNIA TRANSPORTATION COMMISSION—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

**0042 State Highway Account, State Transportation Fund [s]**

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $173 | $532 | $533 |
| Allocation for employee compensation | 6 | 1 | – |
| Adjustment per Section 3.60 | –5 | –1 | – |
| Totals Available | $174 | $532 | $533 |
| Unexpended balance, estimated savings | –30 | –158 | – |
| TOTALS, EXPENDITURES | $144 | $374 | $533 |

**0046 Public Transportation Account, State Transportation Fund [s]**

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,247 | $1,444 | $1,448 |
| Allocation for employee compensation | 58 | 10 | – |
| Adjustment per Section 3.60 | –47 | –13 | – |
| Totals Available | $1,258 | $1,441 | $1,448 |
| Unexpended balance, estimated savings | –3 | – | – |
| TOTALS, EXPENDITURES | $1,255 | $1,441 | $1,448 |

**0703 Clean Air and Transportation Improvement Fund [b]**

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Utilities Code Section 99652 (expenditures) | $1,039 | $646 | $275 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $2,438 | $2,461 | $2,256 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and Subventions | $106,707 | $120,000 | $130,000 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE

**0703 Clean Air and Transportation Improvement Fund [b]**

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Public Utilities Code Section 99612 (expenditures) | $106,707 | $120,000 | $130,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $106,707 | $120,000 | $130,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $109,145 | $122,461 | $132,256 |

### FUND CONDITION STATEMENT

**0703 Clean Air and Transportation Improvement Fund [b]**

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $170,262 | $62,470 | $61,794 |
| Prior year adjustments | 5 | – | – |
| Balance, Adjusted | $170,267 | $62,470 | $61,794 |
| REVENUES AND TRANSFERS | | | |
| Other Revenues: | | | |
| 520000 Proceeds from sale of bonds | – | 120,000 | 130,000 |
| Transfers to Other Funds: | | | |
| T00630 General Obligation Bond expense in connection with sale of bonds per Government Code Section 16724.5 | –44 | –30 | –33 |
| Totals, Revenues and Transfers | –$44 | $119,970 | $129,967 |
| Totals, Resources | $170,223 | $182,440 | $191,761 |

*** Dollars in thousands, except in Salary Range.**

## 2600 CALIFORNIA TRANSPORTATION COMMISSION—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| State Operations: | | | |
| 0840 State Controller's Office | $7 | – | – |
| 2600 California Transportation Commission | 1,039 | $646 | $275 |
| Totals, State Operations | $1,046 | $646 | $275 |
| Local Assistance: | | | |
| 2600 California Transportation Commission | 106,707 | 120,000 | 130,000 |
| Totals, Disbursements | $107,753 | $120,646 | $130,275 |
| FUND BALANCE | $62,470 | $61,794 | $61,486 |

# 2640 SPECIAL TRANSPORTATION PROGRAMS

The Special Transportation Programs budget reflects mass transit program funding that is appropriated to the State Controller for allocation to regional transportation planning agencies. Administration of the State Transportation Assistance program is performed by the State Controller and the Department of Transportation.

| SUMMARY OF PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10 State Transportation Assistance | $100,259 | $111,820 | $189,213 |
| TOTALS, PROGRAMS (Public Transportation Account, State Transportation Fund) | $100,259 | $111,820 | $189,213 |

## 10 STATE TRANSPORTATION ASSISTANCE

### Program Objectives Statement

This program provides funds to local agencies for the operation of public mass transit systems and for street and road purposes in rural areas.

### Major Budget Adjustment Included in 2000–01

- An increase of $10,819,000 to reflect the statutory allocation based upon the current revenue estimate for the Public Transportation Account.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $88,212,000 to reflect the statutory allocation based upon the current revenue estimate for the Public Transportation Account.

### Authority

Public Utilities Code Sections 99312–99314.5; Revenue and Taxation Code Section 7102; and Government Code Section 29530.

| SUMMARY BY OBJECT<br>2 LOCAL ASSISTANCE | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 661701 Grants and subventions (State Transportation Assistance) (expenditures) | $100,259 | $111,820 | $189,213 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0046 Public Transportation Account
### State Transportation Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation | $100,259 | $101,001 | $189,213 |
| Allocation for contingencies or emergencies | – | 10,819 | – |
| TOTALS, EXPENDITURES | $100,259 | $111,820 | $189,213 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 2660 DEPARTMENT OF TRANSPORTATION

The California Department of Transportation is recognized as one of the world's outstanding transportation and engineering organizations. The Department constructs, operates, and maintains a comprehensive transportation system of more than 15,200 miles of highways and freeways and provides intercity rail passenger services under contract with Amtrak. California's road network supports the vast majority of all personal and commercial travel in California. The state highway system, which comprises less than nine percent of the total roadway mileage in California, handles 54 percent of the miles traveled. The Department continues its efforts in the areas of congestion relief, rail transportation, promotion of transportation technology, protection of the State's transportation investment, and environmental and worker protection. The Department also ensures that California's 600+ airports/heliports meet safety, land use, and noise standards and provides funds to develop publicly owned airports. The Department's objectives are identified under six primary programs: Aeronautics, Highway Transportation, Mass Transportation, Transportation Planning, Administration, and Equipment Service Center.

| SUMMARY OF PROGRAM REQUIREMENTS | | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|---|
| 10 | Aeronautics | 28.0 | 29.8 | 29.8 | $13,315 | $10,513 | $11,372 |
| 20 | Highway Transportation | 17,784.2 | 19,356.7 | 19,456.5 | 5,093,813 | 6,855,782 | 8,038,977 |
| 30 | Mass Transportation | 152.4 | 187.5 | 169.7 | 435,592 | 756,291 | 839,328 |
| 40 | Transportation Planning | 948.9 | 1,125.9 | 1,001.2 | 135,237 | 195,778 | 190,269 |
| 50 | Administration | 1,569.2 | 1,741.8 | 1,730.7 | 252,862 | 305,808 | 304,481 |
| 60.10 | Equipment Service Center | 725.2 | 715.5 | 723.3 | 143,183 | 150,435 | 169,112 |
| 60.20 | Distributed Equipment Service Center | – | – | – | −143,183 | – | – |
| 98 | State-Mandated Local Programs | – | – | – | 480 | 2,046 | 544 |
| TOTALS, PROGRAMS | | 21,207.9 | 23,157.2 | 23,111.2 | $5,931,299 | $8,276,653 | $9,554,083 |
| *0001* | *General Fund* | | | | *38,335* | *1,625,228* | *934,341* |
| *0041* | *Aeronautics Account, State Transportation Fund* | | | | *6,726* | *6,854* | *8,073* |
| *0042* | *State Highway Account, State Transportation Fund* | | | | *2,556,331* | *3,006,007* | *3,116,009* |
| *0045* | *Bicycle Transportation Account, State Transportation Fund* | | | | *998* | *1,510* | *7,200* |
| *0046* | *Public Transportation Account, State Transportation Fund* | | | | *144,153* | *125,489* | *267,956* |
| *0052* | *Local Airport Loan Account* | | | | *6,382* | *2,850* | *2,850* |
| *0183* | *Environmental Enhancement and Mitigation Demonstration Program Fund* | | | | *9,895* | *10,000* | *10,000* |
| *0365* | *Historic Property Maintenance Fund* | | | | – | – | *3,700* |
| *0608* | *Equipment Service Center* | | | | – | *128,537* | *151,227* |
| *0650* | *Toll Bridge Seismic Retrofit Account* | | | | *449,368* | *264,948* | *482,563* |
| *0653* | *Seismic Retrofit Bond Act of 1996* | | | | *51,637* | *155,619* | *117,778* |
| *0676* | *Ridesharing Vanpool Revolving Loan and Grant Fund* | | | | *5,707* | – | – |
| *0853* | *Petroleum Violation Escrow Account* | | | | – | – | – |
| | *Less funding provided by Ridesharing Vanpool Revolving Loan and Grant Fund* | | | | *−5,707* | – | – |
| *0890* | *Federal Trust Fund* | | | | *2,042,153* | *3,100,149* | *3,612,402* |
| *0987* | *Consolidated Toll Bridge Funds* | | | | *3,810* | *3,218* | *1,801* |
| *0995* | *Reimbursements* | | | | *621,511* | *946,244* | *1,086,524* |
| *2500* | *Pedestrian Safety Account, State Transportation Fund* | | | | – | – | *6,000* |
| *3007* | *Traffic Congestion Relief Fund* | | | | – | *400,000* | *680,000* |
| | *Less funding provided by the General Fund* | | | | – | *−1,500,000* | – |
| *3008* | *Transportation Investment Fund* | | | | – | – | – |
| | *Less funding provided by the General Fund* | | | | – | – | *−934,341* |

## 10 AERONAUTICS

### Program Objectives Statement

The Aeronautics Program supports California's aviation activities by promoting optimum use of existing airports. California's aeronautical activity is greater than any other area in the United States. The program alleviates problems such as incompatible land uses, potential safety hazards, aircraft noise and airport terminal congestion by: (1) ensuring that airports and heliports comply with safety regulations; (2) providing engineering and financial assistance to local governments; (3) preparing the California Aviation System Plan which includes commercial air service; (4) providing guidance to local governments about airport land use; (5) administering the airport noise standards regulations; (6) enhancing goods movement to and from airports; and (7) ensuring improved ground access to airports.

### Authority

Public Utilities Code, Division 9.

## 20 HIGHWAY TRANSPORTATION

### Program Objectives Statement

Highway Transportation maintains and operates existing facilities and builds new roads and highways. This budget commits major resources to congestion relief in urban areas, improvements to the rural interregional road system, highway safety projects, and efficient operation of the state highway system. The Highway Transportation Program provides for: the administration, legal support, tort funding and related legal costs, coordination and control required by federal and state law for implementing transportation projects and furnishing financial assistance to city and county transportation programs; the management of traffic through a system surveillance, analysis and control effort; and the operation of toll bridges. In addition, the Highway Transportation Program responds to the need for safe, efficient and environmentally compatible highway transportation service through the use of theoretical and applied research, testing and evaluation, demonstration projects, new technology development and resource conservation research.

The Highway Transportation Program's capital and local assistance budgets reflect the Department's current estimates of expenditures on the state's major transportation capital programs: the State Transportation Improvement Program funded from state and federal fuel excise taxes and commercial vehicle weight fees, the seismic retrofit program funded from state funds and tolls on Bay Area bridges, and the new Traffic Congestion

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

Relief Program funded from the General Fund and a five-year dedication of the sales tax on gasoline. Projects receiving funding from these programs are not selected through the budget process.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $7,682,000 to the Operations Program to fund the final implementation of the Advanced Toll Collection and Accounting System (ATCAS) on the seven Bay Area toll bridges.
- An increase of $3,700,000, of which $2,200,000 is one time, from the Historical Property Maintenance Fund for maintenance and operation of historic properties within State-owned Interstate 710 right-of-way corridor in South Pasadena.
- An increase of $1,770,000 to the Operations Program to develop a method for evaluating the benefits of various highway operations system components such as changeable message signs, ramp meters, video surveillance, other information gathering and monitoring technology and traffic flow oriented capital projects. The goal of this study is to lead Caltrans toward the development of advanced traffic management systems to relieve traffic congestion and maximize utilization of the state highway system.
- An increase of $570,000 for a pilot effort to inventory and inspect drainage culverts to develop ways to identify those likely to fail and to repair or replace them before failure.

### Authority

Government Code, Title 2, Division 3, Part 5; Vehicle Code, Division 11, Chapters 2, 3, 13, and 14; Public Utilities Code, Division 1, Part 1, Chapters 6 and 6.5; Public Resources Code, Division 15, Chapter 5.8; Business and Professions Code, Division 3, Chapter 2.

## 30 MASS TRANSPORTATION

### Program Objectives Statement

Mass Transportation supports the Department's multi-modal transportation focus and includes: (1) administration and oversight of intercity rail service in California including capital projects; (2) grant administration of State and federal capital and operations programs for mass transportation; and (3) planning support and coordination for mass transportation services in California. These activities support the State's transportation system by providing leadership in the implementation of safe, effective public transportation, improved air quality, environmental protection and the efficient movement of people, information and services. Additionally, it promotes the conservation of energy resources by providing, developing and facilitating: (1) the transportation needs of all persons, including older persons, persons with low-mobility and economically disadvantaged; (2) improved intercity passenger service through expanded service and related facility enhancements; (3) improved urban/commuter rail services and (4) enhanced mobility in congested corridors.

The Mass Transportation Program's capital and local assistance budgets reflect the Department's current estimates of expenditures on two of the State's major transportation capital programs: the State Transportation Improvement Program and the new Traffic Congestion Relief Program. Projects receiving funding from these programs are not selected through the budget process.

### Major Budget Adjustments Proposed for 2001–02

- The capital outlay budget includes funding for three intercity rail capital projects funded from monies deposited in the Public Transportation Account (pursuant to Chapter 91, Statutes of 2000) that are not required to be allocated through any of the existing programs for project selection. The budget proposes $48 million for double-tracking portions of the Pacific Surfliner Service and allowing more daily trips, $29.4 million for double-tracking between Oakley and Pittsburg on the San Joaquin line to improve service for Central Valley to Bay Area commuters and add additional trips, and $20.6 million to double track between Sacramento and Davis on the Capitol Corridor.
- The local assistance budget includes one-time funding for a rural transit grant program for capital projects and equipment.
- An increase of $9.5 million for the Rail Program to increase the level of intercity rail service on the San Joaquin and Capitol rail routes.
- An increase of $2,314,000 for the Mass Transportation Program to match $2,000,000 in federal funds to implement the Farmworker Transportation Services Pilot Project in the San Joaquin Valley.
- An increase of $848,000 to purchase and perform quality control inspections on passenger rail cars and locomotives.
- An increase of $216,000 for the Rail Program to deliver 20 capital outlay intercity rail projects totaling $552 million from the Governor's Transportation Congestion Relief Plan.
- A reduction of $800,000 for the Rail Program to reflect fluctuating heavy overhaul needs.
- A reduction of $783,000 and 10.2 personnel years in reimbursements to the Mass Transportation Program and a five-year limited-term increase of $412,000 and 5.0 personnel years within the Public Transportation Account to continue the administration of Proposition 116 capital projects.

### Authority

Government Code, Title 2, Division 3, Part 5, Chapter 1, Articles 3, 6 and Title 3; Division 3, Chapter 3, Article 2; Public Utilities Code, Division 4, Chapter 1, Article 9, Division 10, Part II, Chapter 4, Sections 702 and 2056 through 2059; Streets and Highways Code Sections 183.5, 199 through 199.10, 2170 through 2180, and 2500 through 2506.

## 40 TRANSPORTATION PLANNING

### Program Objectives Statement

Transportation Planning oversees implementation of statewide policy through coordination and development of transportation plans and resulting projects. The Department prepares the long-range state transportation plan required by State and federal law and provides long-range transportation system planning and transportation planning studies as input to the regional transportation plans, the State Transportation Improvement Program (STIP), and departmental policies and programs. Caltrans also prepares the Interregional Transportation Strategic Plan, which guides investment of interregional funds in the STIP. In addition, Caltrans provides analyses and recommendations on current transportation issues and manages multi-modal transportation planning activities. Additionally, Caltrans' planning activities reflect air quality goals, goods movement needs, livable communities, corridor preservation, traffic forecasts, emission modeling, and energy conservation. Through Advanced Transportation System Development activities and clearing house/environmental review processes, Caltrans evaluates the potential impact on the State transportation system of proposed local development. Further, the Department builds and maintains partnerships with regional/local agencies, tribal governments, and the private sector to coordinate transportation and local development.

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

### Major Budget Adjustment Proposed for 2001–02

- A reduction of 11.6 personnel years and $1,369,000 due to reduced requests from the Bay Area Toll Authority for project initiation documents and bike and terminal studies.

## 50 ADMINISTRATION

Administration provides the budgeting, accounting, auditing, personnel, administrative and computer services required to support the programmatic responsibilities of the department. Major activities include accounting and related financial services, computer services, and a wide range of personnel services, including training and equal opportunity programs.

### Major Budget Adjustment Proposed for 2001–02

- A continuing allocation of $477,000 and 7.4 personnel years to improve labor-management relations at each of Caltrans' 12 districts by 1) training management on the implementation of new bargaining agreements, 2) servicing complaints, and 3) settling labor grievances.

## 60 EQUIPMENT SERVICE CENTER

### Program Objectives Statement

The Equipment Service Center provides mobile fleet equipment and services including: 1) mobile fleet equipment and services including purchasing new vehicles; 2) receiving, servicing and equipping new units; 3) assembling equipment components into completed units; 4) managing the fleet through rental to Caltrans' programs and other tax-supported entities; 5) repairing and maintaining the fleet, including payments for fuel and insurance; and 6) disposing of used vehicles. The Center is designed to reduce equipment costs through proactive equipment budget management by programs. The fleet consists of 14,277 units, ranging from sedans to heavy off-road equipment, with a total asset value of $241,638,000.

### Major Budget Adjustments Proposed for 2001–02

- A one-time increase of $20,331,000 to replace and retrofit selected vehicles to operate using cleaner burning fuels. In addition to lowering pollutants from Caltrans' mobile fleet, this effort will create a bank of mobile NOx emission credits to be used for transportation capital projects and other state priorities.
- An increase of $4,700,000 million to meet increased fuel costs.
- A one-time increase of $1,503,000 to purchase mobile fleet equipment for use by crews responsible for painting over 800 structural steel bridges throughout the state.

## 98 STATE-MANDATED LOCAL PROGRAMS

### Program Objectives Statement

This program authorizes reimbursement of certain state-mandated costs incurred by local entities.

### Major Budget Adjustments Proposed for 2000–01 and 2001–02

- The 2000–01 Budget includes $567,000 for two-way traffic signal communications pursuant to Chapter 1297, Statutes of 1994 and airport land use plans.
- The 2001–02 Budget includes $544,000 to pay for the same state-mandated programs.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 10 AERONAUTICS

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations: | | | |
| *0041 Aeronautics Account* | *$2,222* | *$2,601* | *$2,561* |
| *0890 Federal Trust Fund* | *305* | *734* | *584* |
| Totals, State Operations | $2,527 | $3,335 | $3,145 |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *200* | *–* |
| *0041 Aeronautics Account* | *4,406* | *4,128* | *5,377* |
| *0052 Local Airport Loan Account* | *6,382* | *2,850* | *2,850* |
| Totals, Local Assistance | $10,788 | $7,178 | $8,227 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Safety and Local Assistance | | | |
| State Operations: | | | |
| *0041 Aeronautics Account* | *2,146* | *2,550* | *2,510* |
| *0890 Federal Trust Fund* | *255* | *734* | *584* |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *200* | *–* |
| *0041 Aeronautics Account* | *4,406* | *4,128* | *5,377* |
| *0052 Local Airport Loan Account* | *6,382* | *2,850* | *2,850* |
| 10.30 Planning and Noise | | | |
| State Operations: | | | |
| *0041 Aeronautics Account* | *32* | *–* | *–* |
| *0890 Federal Trust Fund* | *50* | *–* | *–* |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10.65 Legal Program | | | |
| State Operations: | | | |
| *0041 Aeronautics Account* | *$44* | *$51* | *$51* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 HIGHWAY TRANSPORTATION** | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *$1,455,076* | *$1,712,617* | *$1,725,945* |
| *0045 Bicycle Transportation Account* | *8* | *10* | *10* |
| *0365 Historic Property Maintenance Fund* | *–* | *–* | *3,700* |
| *0650 Toll Bridge Seismic Retrofit Account* | *83,391* | *95,470* | *94,232* |
| *0653 Seismic Retrofit Bond Act of 1996* | *46,850* | *31,924* | *32,903* |
| *0890 Federal Trust Fund* | *326,598* | *341,200* | *334,801* |
| *0987 Toll Bridge Funds* | *1,042* | *786* | *794* |
| *0995 Reimbursements* | *83,529* | *142,629* | *115,728* |
| Totals, State Operations | $1,996,494 | $2,324,636 | $2,308,113 |
| Local Assistance: | | | |
| *0001 General Fund* | *835* | *3,084* | *–* |
| *0042 State Highway Account* | *245,049* | *400,878* | *361,956* |
| *0045 Bicycle Transportation Account* | *990* | *1,500* | *7,190* |
| *0183 Environmental Enhancement and Mitigation Demonstration Program Fund* | *9,895* | *10,000* | *10,000* |
| *0853 Petroleum Violation Escrow Account* | *–* | *–* | *–* |
| *0890 Federal Trust Fund* | *602,756* | *1,013,090* | *1,311,306* |
| *2500 Pedestrian Safety Account* | *–* | *–* | *6,000* |
| *3007 Traffic Congestion Relief Fund* | *–* | *70,000* | *80,000* |
| Totals, Local Assistance | $859,525 | $1,498,552 | $1,776,452 |
| Capital Outlay: | | | |
| *0001 General Fund* | *–* | *1,500,145* | *934,341* |
| *0042 State Highway Account* | *378,038* | *376,065* | *558,540* |
| *0650 Toll Bridge Seismic Retrofit Account* | *365,977* | *166,887* | *385,374* |
| *0653 Seismic Retrofit Bond Act of 1996* | *4,787* | *123,695* | *84,875* |
| *0890 Federal Trust Fund* | *1,025,598* | *1,518,852* | *1,814,245* |
| *0987 Toll Bridge Funds* | *–* | *2,432* | *1,007* |
| *0995 Reimbursements* | *463,394* | *769,518* | *910,371* |
| *3007 Traffic Congestion Relief Fund* | *–* | *–1,425,000* | *200,000* |
| *3008 Transportation Investment Fund* | *–* | *–* | *–934,341* |
| Totals, Capital Outlay | $2,237,794 | $3,032,594 | $3,954,412 |
| **ELEMENT REQUIREMENTS** | | | |
| 20.10 Capital Outlay Support | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *468,140* | *694,092* | *702,485* |
| *0365 Historic Property Maintenance Fund* | *–* | *–* | *3,700* |
| *0650 Toll Bridge Seismic Retrofit Account* | *83,391* | *95,470* | *94,232* |
| *0653 Seismic Retrofit Bond Act of 1996* | *46,850* | *31,924* | *32,903* |
| *0890 Federal Trust Fund* | *288,269* | *274,935* | *279,478* |
| *0987 Toll Bridge Funds* | *130* | *786* | *794* |
| *0995 Reimbursements* | *50,702* | *77,561* | *77,045* |
| 20.20 Capital Outlay Projects | | | |
| Capital Outlay: | | | |
| *0001 General Fund* | *–* | *1,500,145* | *934,341* |
| *0042 State Highway Account* | *378,038* | *376,065* | *558,540* |
| *0650 Toll Bridge Seismic Retrofit Account* | *365,977* | *166,887* | *385,374* |
| *0653 Seismic Retrofit Bond Act of 1996* | *4,787* | *123,695* | *84,875* |
| *0890 Federal Trust Fund* | *1,025,598* | *1,518,852* | *1,814,245* |
| *0987 Toll Bridge Funds* | *–* | *2,432* | *1,007* |
| *0995 Reimbursements* | *463,394* | *769,518* | *910,371* |
| *3007 Traffic Congestion Relief Fund* | *–* | *–1,425,000* | *200,000* |
| *3008 Transportation Investment Fund* | *–* | *–* | *–934,341* |
| 20.25 State-Local Transportation Partnership | | | |
| Local Assistance: | | | |
| *0042 State Highway Account* | *75,537* | *120,669* | *45,973* |
| 20.30 Local Assistance | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *19,930* | *27,360* | *27,654* |
| *0045 Bicycle Transportation Account* | *8* | *10* | *10* |
| *0890 Federal Trust Fund* | *1,868* | *2,245* | *2,269* |
| *0995 Reimbursements* | *1,280* | *1,875* | *1,897* |
| Local Assistance: | | | |
| *0001 General Fund* | *835* | *3,084* | *–* |
| *0042 State Highway Account* | *169,512* | *280,209* | *315,983* |
| *0045 Bicycle Transportation Account* | *990* | *1,500* | *7,190* |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0183 Environmental Enhancement and Mitigation Demonstration Program Fund* | *$9,895* | *$10,000* | *$10,000* |
| *0890 Federal Trust Fund* | *602,756* | *1,013,090* | *1,311,306* |
| *2500 Pedestrian Safety Account* | *–* | *–* | *6,000* |
| *3007 Traffic Congestion Relief Fund* | *–* | *70,000* | *80,000* |
| 20.40 Program Development | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *42,596* | *42,676* | *40,009* |
| *0890 Federal Trust Fund* | *31,675* | *58,911* | *47,892* |
| *0995 Reimbursements* | *466* | *1,098* | *1,099* |
| 20.65 Legal Program | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *61,279* | *63,015* | *63,274* |
| *0987 Toll Bridge Funds* | *5* | *–* | *–* |
| *0995 Reimbursements* | *–* | *20* | *20* |
| 20.70 Operations | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *104,216* | *117,638* | *115,031* |
| *0890 Federal Trust Fund* | *786* | *1,007* | *1,016* |
| *0987 Toll Bridge Funds* | *872* | *–* | *–* |
| *0995 Reimbursements* | *22,913* | *50,700* | *29,620* |
| 20.80 Maintenance | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *758,915* | *767,836* | *777,492* |
| *0890 Federal Trust Fund* | *4,000* | *4,102* | *4,146* |
| *0987 Toll Bridge Funds* | *35* | *–* | *–* |
| *0995 Reimbursements* | *8,168* | *11,375* | *6,047* |

## PROGRAM REQUIREMENTS

## 30 MASS TRANSPORTATION

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0042 State Highway Account* | *$303* | *$335* | *$336* |
| *0046 Public Transportation Account* | *81,487* | *89,302* | *99,816* |
| *0890 Federal Trust Fund* | *1,316* | *1,773* | *1,664* |
| *0995 Reimbursements* | *1,115* | *1,651* | *869* |
| Totals, State Operations | $84,221 | $93,061 | $102,685 |
| Local Assistance: | | | |
| *0001 General Fund* | *20,000* | *71,799* | *–* |
| *0042 State Highway Account* | *204,608* | *89,552* | *83,683* |
| *0046 Public Transportation Account* | *5,771* | *10,666* | *42,708* |
| *0890 Federal Trust Fund* | *16,025* | *107,115* | *28,300* |
| *3007 Traffic Congestion Relief Fund* | *–* | *225,000* | *350,000* |
| Totals, Local Assistance | $246,404 | $504,132 | $504,691 |
| Capital Outlay: | | | |
| *0001 General Fund* | *17,500* | *50,000* | *–* |
| *0042 State Highway Account* | *26,605* | *55,290* | *29,702* |
| *0046 Public Transportation Account* | *–* | *–* | *98,000* |
| *0890 Federal Trust Fund* | *733* | *3,000* | *4,250* |
| *0995 Reimbursements* | *60,129* | *20,808* | *50,000* |
| *3007 Traffic Congestion Relief Fund* | *–* | *30,000* | *50,000* |
| Totals, Capital Outlay | $104,967 | $159,098 | $231,952 |

## ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 30.10 State and Federal Mass Transit | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *–* | *33* | *33* |
| *0046 Public Transportation Account* | *5,766* | *7,085* | *7,905* |
| *0890 Federal Trust Fund* | *1,316* | *1,540* | *1,429* |
| *0995 Reimbursements* | *1,115* | *1,404* | *622* |
| Local Assistance: | | | |
| *0001 General Fund* | *6,000* | *71,799* | *–* |
| *0042 State Highway Account* | *166,154* | *80,544* | *81,111* |
| *0046 Public Transportation Account* | *5,599* | *10,666* | *42,708* |
| *0890 Federal Trust Fund* | *16,025* | *107,115* | *28,300* |
| *3007 Traffic Congestion Relief Fund* | *–* | *225,000* | *350,000* |
| 30.20 Rail Transit Capital | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *303* | *302* | *303* |
| *0046 Public Transportation Account* | *75,641* | *82,124* | *91,818* |
| *0890 Federal Trust Fund* | *–* | *233* | *235* |
| *0995 Reimbursements* | *–* | *247* | *247* |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| 0001 General Fund | $14,000 | – | – |
| 0042 State Highway Account | 38,454 | $9,008 | $2,572 |
| 0046 Public Transportation Account | 172 | – | – |
| 0890 Federal Trust Fund | – | – | – |
| Capital Outlay: | | | |
| 0001 General Fund | 17,500 | 50,000 | – |
| 0042 State Highway Account | 26,605 | 55,290 | 29,702 |
| 0046 Public Transportation Account | – | – | 98,000 |
| 0890 Federal Trust Fund | 733 | 3,000 | 4,250 |
| 0995 Reimbursements | 60,129 | 20,808 | 50,000 |
| 3007 Traffic Congestion Relief Fund | – | 30,000 | 50,000 |
| 30.65 Legal Program | | | |
| State Operations: | | | |
| 0046 Public Transportation Account | 80 | 93 | 93 |
| **PROGRAM REQUIREMENTS** | | | |
| **40 TRANSPORTATION PLANNING** | | | |
| State Operations: | | | |
| 0042 State Highway Account | $9,100 | $58,221 | $48,466 |
| 0046 Public Transportation Account | 55,370 | 23,570 | 22,122 |
| 0890 Federal Trust Fund | 30,168 | 43,136 | 42,473 |
| 0995 Reimbursements | 51 | 1,851 | 208 |
| Totals, State Operations | $94,689 | $126,778 | $113,269 |
| Local Assistance: | | | |
| 0042 State Highway Account | 1,936 | 4,000 | 10,000 |
| 0890 Federal Trust Fund | 38,612 | 40,000 | 42,000 |
| Totals, Local Assistance | $40,548 | $44,000 | $52,000 |
| Unclassified: | | | |
| 0890 Federal Trust Fund | – | 25,000 | 25,000 |
| **ELEMENT REQUIREMENTS** | | | |
| 40.10 Statewide Planning | | | |
| State Operations: | | | |
| 0042 State Highway Account | 9,100 | 58,221 | 48,466 |
| 0046 Public Transportation Account | 46,508 | 18,821 | 17,351 |
| 0890 Federal Trust Fund | 21,478 | 41,609 | 40,923 |
| 0995 Reimbursements | 51 | 1,850 | 207 |
| 40.20 Regional Planning | | | |
| State Operations: | | | |
| 0046 Public Transportation Account | 3,396 | 4,609 | 4,631 |
| 0890 Federal Trust Fund | 8,566 | 1,527 | 1,550 |
| 0995 Reimbursements | – | 1 | 1 |
| Local Assistance: | | | |
| 0042 State Highway Account | 1,936 | 4,000 | 10,000 |
| 0890 Federal Trust Fund | 38,612 | 40,000 | 42,000 |
| Unclassified: | | | |
| 0890 Federal Trust Fund | – | 25,000 | 25,000 |
| 40.30 Administration | | | |
| State Operations: | | | |
| 0046 Public Transportation Account | 5,345 | – | – |
| 0890 Federal Trust Fund | 124 | – | – |
| 40.65 Legal Program | | | |
| State Operations: | | | |
| 0046 Public Transportation Account | 121 | 140 | 140 |
| **PROGRAM REQUIREMENTS** | | | |
| **50 ADMINISTRATION** | | | |
| State Operations: | | | |
| 0041 Aeronautics Account | $98 | $125 | $135 |
| 0042 State Highway Account | 235,136 | 285,105 | 275,855 |
| 0046 Public Transportation Account | 1,525 | 1,951 | 5,310 |
| 0608 Equipment Service Fund | – | – | 3,097 |
| 0650 Toll Bridge Seismic Retrofit Account | – | 2,591 | 2,957 |
| 0890 Federal Trust Fund | 42 | 6,249 | 7,779 |
| 0987 Toll Bridge Funds | 2,768 | – | – |
| 0995 Reimbursements | 13,293 | 9,787 | 9,348 |
| Totals, State Operations | $252,862 | $305,808 | $304,481 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

### ELEMENT REQUIREMENTS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 50.10 General Administration | | | |
| State Operations: | | | |
| *0042 State Highway Account* | *$44,029* | *$98,730* | *$82,605* |
| *0987 Toll Bridge Funds* | *78* | *–* | *–* |
| *0995 Reimbursements* | *13,292* | *5,694* | *5,251* |
| 50.20 Central Administrative Services | | | |
| State Operations: | | | |
| *0041 Aeronautics Account* | *46* | *68* | *78* |
| *0042 State Highway Account* | *27,362* | *42,848* | *55,743* |
| *0046 Public Transportation Account* | *725* | *1,107* | *4,455* |
| *0608 Equipment Service Fund* | *–* | *–* | *3,097* |
| *0650 Toll Bridge Seismic Retrofit Account* | *–* | *2,591* | *2,957* |
| *0890 Federal Trust Fund* | *42* | *6,249* | *7,779* |
| *0987 Toll Bridge Funds* | *2,620* | *–* | *–* |
| 50.60 Business, Information and Technical Services | | | |
| State Operations: | | | |
| *0041 Aeronautics Account* | *53* | *57* | *57* |
| *0042 State Highway Account* | *163,745* | *143,527* | *137,507* |
| *0046 Public Transportation Account* | *800* | *844* | *855* |
| *0987 Toll Bridge Funds* | *70* | *–* | *–* |
| *0995 Reimbursements* | *–* | *4,093* | *4,097* |

### PROGRAM REQUIREMENTS
### 60 EQUIPMENT SERVICE CENTER

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0042 State Highway Account* | *–* | *$21,898* | *$20,982* |
| *0608 Equipment Service Fund* | *$143,183* | *128,537* | *148,130* |
| *Distributed Costs to Other Programs* | *−143,183* | *–* | *–* |
| Totals, State Operations | – | $150,435 | $169,112 |

### PROGRAM REQUIREMENTS
### 98 STATE-MANDATED LOCAL PROGRAMS

| | | | |
|---|---|---|---|
| Claiming Bills: | | | |
| Ch. 1082/90-Seismic Safety Retrofit | – | $1,979 | $542 |
| Ch. 644/95-Airport Land Use Commissions/Plans | – | 35 | 2 |
| Ch. 574/99-Two-Way Traffic Signal Communication | $480 | 32 | – |
| Totals | $480 | $2,046 | $544 |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $2,430,793 | $3,004,053 | $3,000,805 |
| Local Assistance | 1,157,745 | 2,055,908 | 2,341,914 |
| Capital Outlay | 2,342,761 | 3,191,692 | 4,186,364 |
| Unclassified | – | 25,000 | 25,000 |
| TOTALS, EXPENDITURES | $5,931,299 | $8,276,653 | $9,554,083 |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 21,207.9 | 24,604.6 | 24,581.5 | $1,130,565 | $1,447,031 | $1,461,501 |
| Total Adjustments | – | 20.0 | −206.9 | – | 1,100 | −5,510 |
| Estimated Salary Savings | – | −1,467.4 | −1,263.4 | – | −173,712 | −167,874 |
| Net Totals, Salaries and Wages | 21,207.9 | 23,157.2 | 23,111.2 | $1,130,565 | $1,274,419 | $1,288,117 |
| Staff Benefits | – | – | – | 220,305 | 222,221 | 237,637 |
| Totals, Personal Services | 21,207.9 | 23,157.2 | 23,111.2 | $1,350,870 | $1,496,640 | $1,525,754 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,046,384 | $1,466,057 | $1,433,695 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Tort Payments | | | | 33,539 | 41,356 | 41,356 |
| TOTALS, EXPENDITURES | | | | $2,430,793 | $3,004,053 | $3,000,805 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0041 Aeronautics Account, State Transportation Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $2,525 | $2,742 | $2,696 |
| Allocation for employee compensation | 164 | 4 | – |
| Adjustment per Section 3.60 | −101 | −20 | – |
| 011 Budget Act appropriation (Transfer to Public Transportation Account-0046) | (30) | (30) | (30) |
| Totals Available | $2,588 | $2,726 | $2,696 |
| Unexpended balance, estimated savings | −268 | – | – |
| TOTALS, EXPENDITURES | $2,320 | $2,726 | $2,696 |

### 0042 State Highway Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,661,672 | $1,988,601 | $1,974,473 |
| Allocation for employee compensation | 89,561 | 2,284 | – |
| Adjustment per Section 3.60 | −54,877 | −9,606 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 30 | – |
| Adjustment per Section 16.00 | 533 | – | – |
| Transfer to Legislative Claims (9670) | −78 | −877 | – |
| Revised expenditure authority per Provision 3 | −20,198 | – | – |
| 005 Budget Act appropriation | 14,869 | 14,552 | 14,608 |
| Adjustment per Section 4.50 | −2 | – | – |
| 007 Budget Act appropriation | 37,938 | 61,521 | 61,521 |
| 011 Budget Act appropriation (Trsf to Toll Bridge Seismic Retrofit Acct-0650) | (129,713) | (123,388) | (341,826) |
| 012 Budget Act appropriation (Deficiencies) | (40,000) | (40,000) | (40,000) |
| 013 Budget Act appropriation (Transfer to Public Transportation Account-0046) | (28,040) | – | – |
| 021 Budget Act appropriation (Transfer to Public Transportation Account-0046) | (57,665) | (25,024) | (25,400) |
| 022 Budget Act appropriation (Trsf to Enviro Enhance & Mitgation Demo Fd-0183) | (10,000) | (10,000) | (10,000) |
| 031 Budget Act appropriation (Transfer to Equipment Services Fund-0608) | – | 21,898 | 20,982 |
| Totals Available | $1,729,418 | $2,078,403 | $2,071,584 |
| Unexpended balance, estimated savings | −29,803 | −227 | – |
| TOTALS, EXPENDITURES | $1,699,615 | $2,078,176 | $2,071,584 |

### 0045 Bicycle Transportation Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $10 | $10 | $10 |
| Allocation for employee compensation | 1 | – | – |
| Adjustment per Section 3.60 | −1 | – | – |
| Totals Available | $10 | $10 | $10 |
| Unexpended balance, estimated savings | −2 | – | – |
| TOTALS, EXPENDITURES | $8 | $10 | $10 |

### 0046 Public Transportation Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $139,261 | $114,990 | $127,248 |
| Allocation for employee compensation | 3,684 | 52 | – |
| Adjustment per Section 3.60 | −2,259 | −219 | – |
| Totals Available | $140,686 | $114,823 | $127,248 |
| Unexpended balance, estimated savings | −2,304 | – | – |
| TOTALS, EXPENDITURES | $138,382 | $114,823 | $127,248 |

### 0365 Historic Property Maintenance Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $3,700 |
| TOTALS, EXPENDITURES | – | – | $3,700 |

### 0608 Equipment Service Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $141,842 | – | – |
| Allocation for employee compensation | 3,364 | – | – |
| Adjustment per Section 3.60 | −2,023 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 002 Budget Act appropriation | – | $60,242 | $65,029 |
| Allocation for employee compensation | – | 80 | – |
| Adjustment per Section 3.60 | – | –393 | – |
| Government Code Section 14067 | – | 90,506 | – |
| Streets and Highways Code Section 140.3 | – | – | 107,180 |
| TOTALS, EXPENDITURES | $143,183 | $150,435 | $172,209 |
| Less funding provided by State Highway Account | – | –21,898 | –20,982 |
| Less charges from various items | –143,183 | – | – |
| NET TOTALS, EXPENDITURES | – | $128,537 | $151,227 |

### 0650 Toll Bridge Seismic Retrofit Account, State Transportation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Streets and Highways Code Section 188.10 | $83,391 | $98,061 | $97,189 |
| TOTALS, EXPENDITURES | $83,391 | $98,061 | $97,189 |

### 0653 Seismic Retrofit Bond Fund of 1996 [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Government Code Section 8879.3 | $24,131 | $31,924 | $32,903 |
| Government Code Section 16724.6 | 135 | – | – |
| Government Code Section 16312 | 22,584 | – | – |
| TOTALS, EXPENDITURES | $46,850 | $31,924 | $32,903 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $367,609 | $394,841 | $387,301 |
| Allocation for employee compensation | 11,499 | 449 | – |
| Adjustment per Section 3.60 | –7,051 | –2,198 | – |
| Budget Adjustment | –13,628 | – | – |
| TOTALS, EXPENDITURES | $358,429 | $393,092 | $387,301 |

### 0987 Toll Bridge Funds [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Streets and Highways Code, Division 17 | $3,810 | $786 | $794 |
| TOTALS, EXPENDITURES | $3,810 | $786 | $794 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Reimbursements | $97,988 | $155,918 | $126,153 |
| TOTALS, EXPENDITURES, (State Operations) | $2,430,793 | $3,004,053 | $3,000,805 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Grants and subventions (expenditures) | $1,157,745 | $2,055,908 | $2,341,914 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation | $20,000 | $75,083 | – |
| 104 Budget Act appropriation | 835 | – | – |
| TOTALS, EXPENDITURES | $20,835 | $75,083 | – |

### 0041 Aeronautics Account, State Transportation Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Public Utilities Code Section 21680 | $3,001 | $2,658 | $3,877 |
| Public Utilities Code Section 21681 | 1,405 | 1,470 | 1,500 |
| TOTALS, EXPENDITURES | $4,406 | $4,128 | $5,377 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

### 0042 State Highway Account, State Transportation Fund s [1]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $621,781 | $421,224 | $338,138 |
| Transfer to Pedestrian Safety Account per Chapter 833, Statutes of 2000 | – | –8,000 | – |
| Transfer from Item 2660-301-0042 per Provision 2 | 116,000 | – | – |
| 295 Budget Act appropriation (State Mandates) | – | – | 544 |
| Chapter 574, Statutes of 1999 (State Mandates) | 567 | – | – |
| Adjustment per Government Code Section 17613 | –55 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 2,014 | – |
| Prior year balances available: | | | |
| Item 2660-101-0042/1997, reapp by Item 2660-492/2001 | 209,488 | 44,325 | 5,808 |
| Item 2660-101-0042/1998 | 576,100 | 366,365 | 75,132 |
| Item 2660-101-0042/1999 | – | 621,430 | 461,061 |
| Item 2660-101-0042/2000 | – | – | 341,493 |
| Item 2660-125-0042/1996 | 46,035 | 43,318 | – |
| Chapter 574, Statutes of 1999 (State Mandates) | – | 32 | – |
| Totals Available | $1,569,916 | $1,490,708 | $1,222,176 |
| Unexpended balance, estimated savings | –42,373 | –110,738 | –322,410 |
| Balance available in subsequent years | –1,075,470 | –883,494 | –443,583 |
| TOTALS, EXPENDITURES | $452,073 | $496,476 | $456,183 |

[1] Consistent with the fund estimate for the State Transportation Improvement Program, expenditures for this fund are reflected on a modified cash basis.

### 0045 Bicycle Transportation Account, State Transportation Fund s

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $1,000 | $1,500 | $7,190 |
| Totals Available | $1,000 | $1,500 | $7,190 |
| Unexpended balance, estimated savings | –10 | – | – |
| TOTALS, EXPENDITURES | $990 | $1,500 | $7,190 |

### 0046 Public Transportation Account, State Transportation Fund s

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | – | – | $31,915 |
| 103 Budget Act appropriation | – | $2,000 | – |
| 105 Budget Act appropriation | – | 2,363 | 2,793 |
| Allocation for contingencies or emergencies | – | 403 | – |
| Chapter 656, Statutes of 2000 | – | 13,900 | – |
| Prior year balances available: | | | |
| Item 2660-101-0046/1997 | $12,767 | 6,996 | 6,996 |
| Item 2660-103-0046/2000, Reapp by Item 2660-492/2001 | – | – | 2,000 |
| Item 2660-125-0046/1995 | 22,762 | – | – |
| Item 2660-125-0046/1996 | 3,098 | 3,098 | – |
| Chapter 656, Statutes of 2000 | – | – | 6,000 |
| Totals Available | $38,627 | $28,760 | $49,704 |
| Unexpended balance, estimated savings | –22,762 | –3,098 | –6,996 |
| Balance available in subsequent years | –10,094 | –14,996 | – |
| TOTALS, EXPENDITURES | $5,771 | $10,666 | $42,708 |

### 0052 Local Airport Loan Account s

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 21602 | $8,091 | $4,000 | $4,000 |
| TOTALS, EXPENDITURES | $8,091 | $4,000 | $4,000 |
| Loan repayments from local agencies | –1,709 | –1,150 | –1,150 |
| NET TOTALS, EXPENDITURES | $6,382 | $2,850 | $2,850 |

### 0183 Environmental Enhancement and Mitigation Demonstration Program Fund s

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $10,000 | $10,000 | $10,000 |
| Totals Available | $10,000 | $10,000 | $10,000 |
| Unexpended balance, estimated savings | –105 | – | – |
| TOTALS, EXPENDITURES | $9,895 | $10,000 | $10,000 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

### 0676 Ridesharing Vanpool Revolving Loan and Grant Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Transfer to Petroleum Violation Escrow Account (0853) per Item 2660-497/1999 | $5,707 | – | – |
| TOTALS, EXPENDITURES | $5,707 | – | – |

### 0853 Petroleum Violation Escrow Account [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| TOTALS, EXPENDITURES | – | – | – |
| Less funding provided by Ridesharing Vanpool Revolving Loan Grant Fund | –$5,707 | – | – |
| NET TOTALS, EXPENDITURES | –$5,707 | – | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $1,388,372 | $1,021,663 | $1,323,733 |
| Budget Adjustment | –1,388 | – | – |
| 102 Budget Act appropriation | – | 2,000 | – |
| Prior year balances available: | | | |
| Item 2660-101-0890/1998 | 179,065 | 72,248 | – |
| Budget Adjustment | – | –72,248 | – |
| Item 2660-101-0890/1999 | – | 836,408 | 462,900 |
| Item 2660-101-0890/2000 | – | – | 234,966 |
| Item 2660-102-0890/2000, Reapp by Item 2660-492/2001 | – | – | 2,000 |
| Totals Available | $1,566,049 | $1,860,071 | $2,023,599 |
| Unexpended balance, estimated savings | – | – | –462,900 |
| Balance available in subsequent years | –908,656 | –699,866 | –179,093 |
| TOTALS, EXPENDITURES | $657,393 | $1,160,205 | $1,381,606 |

### 2500 Pedestrian Safety Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Transfer from Item 2660-101-0042 per Chapter 833, Statutes of 2000 | – | $8,000 | $8,000 |
| Totals Available | – | $8,000 | $8,000 |
| Balance available in subsequent years | – | –8,000 | –2,000 |
| TOTALS, EXPENDITURES | – | – | $6,000 |

### 3007 Traffic Congestion Relief Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| Streets and Highways Code Section 14556.5 | – | $295,000 | $430,000 |
| TOTALS, EXPENDITURES | – | $295,000 | $430,000 |
| TOTALS, EXPENDITURES, (Local Assistance) | $1,157,745 | $2,055,908 | $2,341,914 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

## SUMMARY BY OBJECT
## 3 CAPITAL OUTLAY
### Summary of Office Building Projects

This schedule summarizes projected expenditures for the Office Building subcomponent of the Department of Transportation Capital Outlay program.

**Projects**

| | | | |
|---|---|---|---|
| 20.20.500 Studies, preplanning and budget packages | – | $338 [Ss] | $102 [Ss] |
| 20.20.502 Headquarters Office Phase II: Fire & Life Safety Improvements | $778 | – | – |
| 20.20.510 San Diego Office Building—Replacement [B] | 2,000 | 2,974 [Ws] | – |
| 20.20.511 Seismic Retrofit—Eureka Office Bldg | – | 632 [PWs] | 5,137 [Cs] |

Provides complete structural seismic retrofit, fire and life safety improvements.

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 20.20.512 Seismic Retrofit—Redding District Office Bldg | $28 | $457 WCs | – |
| Provides complete structural seismic retrofit, fire and life safety improvements. | | | |
| 20.20.513 Headquarters Office, Sacramento—Seismic Retrofit | – | 1,165 PWs | $8,939 Cs |
| Provide complete structural seismic retrofit for Annex I & II. | | | |
| 20.20.514 Los Angeles Office Building—Replacement B | – | 3,967 Ps | 136,681 WCs |
| Construct district headquarters replacement facility. | | | |
| Totals, Office Building Capital Outlay Projects | $2,806 | $9,533 | $150,859 |
| **Summary of Transportation Capital Outlay Projects** | | | |
| TOTALS, EXPENDITURES | $2,339,955 | $3,182,159 | $4,035,505 |
| NET TOTALS, EXPENDITURES | $2,342,761 | $3,191,692 | $4,186,364 |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $17,500 | $50,145 | – |
| Revenue and Taxation Code 7104 (Transfer to the Transportation Investment Fund) | – | – | $934,341 |
| Chapter 91, Statutes of 2000 (Transfer to Traffic Congestion Relief Fund-3007) | – | 1,500,000 | – |
| TOTALS, EXPENDITURES | $17,500 | $1,550,145 | $934,341 |

### 0042 State Highway Account, State Transportation Fund s 1

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $975,462 | $540,710 | $1,118,078 |
| Transfer to Item 2660-101-0042 per Provision 2 | −116,000 | – | – |
| 302 Budget Act appropriation | – | 27,000 | – |
| 311 Budget Act appropriation | 2,674 | 9,715 | 150,859 |
| Revision per Government Code Section 16351,16351.5,and 16408 | – | −233 | – |
| Augmentation per Government Code Section 16352,16354,and 16409 | 333 | – | – |
| Allocation from Item 2660-399-0042 | 5,000 | 5,000 | 5,000 |
| Prior year balances available: | | | |
| Item 2660-301-0042/1997 | 651,954 | 517,970 | 460,835 |
| Item 2660-301-0042/1998, reapp by Item 2660-492/2001 | 463,875 | 279,505 | 140,274 |
| Item 2660-301-0042/1999 | – | 798,650 | 613,089 |
| Item 2660-301-0042/2000 | – | – | 506,014 |
| Item 2660-302-0042/2000 | – | – | 26,800 |
| Item 2660-311-0042/1999, Reapp by Item 2660-494/2000 | – | 51 | – |
| Item 2660-325-0042/1996, Reapp by Item 2660-492/1999 | 20,615 | – | – |
| Totals Available | $2,003,913 | $2,178,368 | $3,020,949 |
| Unexpended balance, estimated savings | −3,094 | – | −1,051,907 |
| Balance available in subsequent years | −1,596,176 | −1,747,013 | −1,380,800 |
| TOTALS, EXPENDITURES | $404,643 | $431,355 | $588,242 |

[1] Consistent with the fund estimate for the State Transportation Improvement Program, expenditures for this fund are reflected on a modified cash basis.

### 0046 Public Transportation Account, State Transportation Fund s 1

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | – | – | $98,000 |
| 302 Budget Act appropriation | $0 | – | 0 |
| Prior year balances available: | | | |
| Item 2660-302-0046/1997 | 0 | – | – |
| Item 2660-302-0046/1998 | 0 | $0 | – |
| Item 2660-302-0046/1999 | – | 0 | 0 |
| TOTALS, EXPENDITURES | – | – | $98,000 |

[1] Fully reimbursed items.

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0650 Toll Bridge Seismic Retrofit Account, State Transportation Fund [s]** | | | |
| APPROPRIATIONS | | | |
| Streets and Highways Code Section 188.10 | $365,977 | $166,887 | $385,374 |
| TOTALS, EXPENDITURES | $365,977 | $166,887 | $385,374 |
| **0653 Seismic Retrofit Bond Fund of 1996 [b]** | | | |
| APPROPRIATIONS | | | |
| Government Code Section 8879.3 | $4,199 | $11,000 | $9,000 |
| Government Code Section 8870.3 | 588 | 112,695 | 75,875 |
| TOTALS, EXPENDITURES | $4,787 | $123,695 | $84,875 |
| **0890 Federal Trust Fund** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,574,280 | $1,660,250 | $1,542,163 |
| 302 Budget Act appropriation | – | 199,000 | – |
| Prior year balances available: | | | |
| Item 2660-301-0890/1996, Reapp by Item 2660-492/1999 | 118,485 | – | – |
| Budget Adjustment | –118,485 | – | – |
| Item 2660-301-0890/1997 | 22,594 | – | – |
| Item 2660-301-0890/1998 | 470,656 | 108,477 | – |
| Item 2660-301-0890/1999 | – | 932,723 | 547,197 |
| Item 2660-301-0890/2000 | – | – | 524,924 |
| Item 2660-302-0890/2000 | – | – | 198,000 |
| Totals Available | $2,067,530 | $2,900,450 | $2,812,284 |
| Unexpended balance, estimated savings | – | –108,477 | –547,197 |
| Balance available in subsequent years | –1,041,199 | –1,270,121 | –446,592 |
| TOTALS, EXPENDITURES | $1,026,331 | $1,521,852 | $1,818,495 |
| **0987 Toll Bridge Funds [n]** | | | |
| APPROPRIATIONS | | | |
| Streets and Highways Code, Division 17 | – | $2,432 | $1,007 |
| TOTALS, EXPENDITURES | – | $2,432 | $1,007 |
| **0995 Reimbursements** | | | |
| APPROPRIATIONS | | | |
| Reimbursements | $523,523 | $790,326 | $960,371 |
| **3007 Traffic Congestion Relief Fund [s]** | | | |
| APPROPRIATIONS | | | |
| Streets and Highways Code 14556.5 | – | $105,000 | $250,000 |
| TOTALS, EXPENDITURES | – | $105,000 | $250,000 |
| Less funding provided by the General Fund | – | –1,500,000 | – |
| NET TOTALS, EXPENDITURES | – | –$1,395,000 | $250,000 |
| **3008 Transportation Investment Fund [s]** | | | |
| APPROPRIATIONS | | | |
| TOTALS, EXPENDITURES | – | – | – |
| Less funding provided by the General Fund | – | – | –$934,341 |
| NET TOTALS, EXPENDITURES | – | – | –$934,341 |
| TOTALS, EXPENDITURES, (Capital Outlay) | $2,342,761 | $3,191,692 | $4,186,364 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **RECONCILIATION WITH APPROPRIATIONS** | | | |
| **4 UNCLASSIFIED** | | | |
| **0042 State Highway Account, State Transportation Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 399 Budget Act appropriation | $5,000 | $5,000 | $5,000 |
| Allocation to Capital Outlay | −5,000 | −5,000 | – |
| Allocation to Capital Outlay | – | – | −5,000 |
| TOTALS, EXPENDITURES | – | – | – |
| **0890 Federal Trust Fund** | | | |
| APPROPRIATIONS | | | |
| 399 Budget Act appropriation | – | $25,000 | $25,000 |
| TOTALS, EXPENDITURES | – | $25,000 | $25,000 |
| TOTALS, EXPENDITURES, (Unclassified) | – | $25,000 | $25,000 |
| TOTALS, EXPENDITURES, (State Operations, Local Assistance, Capital Outlay and Unclassified) | $5,931,299 | $8,276,653 | $9,554,083 |

| | | | |
|---|---|---|---|
| **FUND CONDITION STATEMENT** | | | |
| **0041 Aeronautics Account, State Transportation Fund [s 1]** | | | |
| BEGINNING BALANCE | $4,824 | $8,667 | $9,496 |
| Prior year adjustments | 595 | – | – |
| Balance, Adjusted | $5,419 | $8,667 | $9,496 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 141200 Sales of documents | 2 | 2 | 2 |
| 150300 Income from surplus money investments | 951 | 701 | 688 |
| Totals, Revenues | $953 | $703 | $690 |
| Transfers from Other Funds: | | | |
| F00061 Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code, Section 8352.3 | 9,051 | 7,010 | 6,880 |
| Totals, Transfers from Other Funds | $9,051 | $7,010 | $6,880 |
| Transfers to Other Funds: | | | |
| T00046 Public Transportation Account, State Transportation Fund per Budget Act Item 2660-011-0041 | −30 | −30 | −30 |
| Totals, Transfers to Other Funds | −$30 | −$30 | −$30 |
| Totals, Revenues and Transfers | $9,974 | $7,683 | $7,540 |
| Totals, Resources | $15,393 | $16,350 | $17,036 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2660 Department of Transportation: | | | |
| State Operations | 2,320 | 2,726 | 2,696 |
| Local Assistance | 4,406 | 4,128 | 5,377 |
| Totals, Disbursements | $6,726 | $6,854 | $8,073 |
| FUND BALANCE | $8,667 | $9,496 | $8,963 |
| Reserve for economic uncertainties | 8,667 | 9,496 | 8,963 |

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0042 State Highway Account, State Transportation Fund** [s 1] | | | |
| BEGINNING BALANCE | $1,400,479 | $1,374,738 | $878,488 |
| Prior year adjustments | 123,767 | – | – |
| Balance, Adjusted | $1,524,246 | $1,374,738 | $878,488 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 114100 Motor vehicle registration (weight fees) | 746,016 | 770,000 | 804,000 |
| 125700 Other regulatory licenses and permits (permit revenues) | 8,079 | 8,700 | 8,950 |
| 141200 Sales of documents (materials) | 991 | 1,150 | 1,150 |
| 142500 Miscellaneous services to the public | – | – | – |
| 150300 Income from surplus money investments | 101,954 | 100,000 | 100,000 |
| 151200 Income from condemnation deposits fund investments | 3,136 | 3,200 | 3,400 |
| 152200 Rentals of state property (real property income) | 36,311 | 20,300 | 18,800 |
| 152300 Miscellaneous revenue from use of property and money | 71,602 | 20,000 | 20,000 |
| 161000 Escheat revenue | 573 | 570 | 570 |
| 161400 Miscellaneous revenue | 2,381 | 1,800 | 1,800 |
| Totals, Revenues | $971,043 | $925,720 | $958,670 |
| Transfers from Other Funds: | | | |
| F00044 E Motor Vehicle Account, STF per Goverment Code Section 16475 | 3,048 | 3,050 | 3,050 |
| F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108 | 1,579,007 | 1,643,858 | 1,685,669 |
| F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Sections 2104.1 and 2107.6 | 4,297 | 5,000 | 5,000 |
| F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108 | 307,122 | 306,918 | 321,454 |
| F00596 Loan Repayment (Vincent Thomas Ch 74158) | 6,160 | – | – |
| Totals, Transfers from Other Funds | $1,899,634 | $1,958,826 | $2,015,173 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per *PECG* vs. *Wilson II* Judgement | −41,194 | – | – |
| T00044 Motor Vehicle Account, State Transportation Fund per *PECG* vs. *Wilson II* Judgement | −130,000 | – | – |
| T00046 Public Transportation Account, State Transportation Fund per Budget Act Item 2660-021-0042 | −57,665 | −25,024 | −25,400 |
| T00046 Public Transportation Account, State Transportation Fund per Budget Act Item 2660-013-0042 | −28,040 | – | – |
| T00046 Public Transportation Account, State Transportation Fund per Streets and Highways Code 183.1 | – | −114,421 | −46,450 |
| T00183 Environmental Enhancement and Mitigation Demo Program Fund per Budget Act Item 2660-022-0042 | −10,000 | −10,000 | −10,000 |
| T00308 Earthquake Risk Reduction Fund of 1996 per Budget Act Item 6440-011-0042 | −1,000 | −1,000 | −1,000 |
| T00650 Toll Bridge Seismic Retrofit Account, State Transportation Fund per Budget Act Item 2660-011-0042 | −129,713 | −123,260 | −341,826 |
| T00608 Transfer to Equipment Services Fund 2660-031-0042 | – | −21,898 | −20,982 |
| T02500 E Pedestrian Safety Account, STF per Chaper 833, Statutes of 2000 | – | −8,000 | – |
| Totals, Transfers to Other Funds | −$397,612 | −$303,603 | −$445,658 |
| Totals, Revenues and Transfers | $2,473,065 | $2,580,943 | $2,528,185 |
| Totals, Resources | $3,997,311 | $3,955,681 | $3,406,673 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board: | | | |
| State Operations | – | 1 | 1 |
| 2600 California Transportation Commission: | | | |
| State Operations | 144 | 374 | 533 |
| 2660 Department of Transportation: | | | |
| State Operations [2] | 1,699,615 | 2,078,176 | 2,071,584 |
| Local Assistance | 452,073 | 496,476 | 456,183 |
| Capital Outlay | 404,643 | 431,355 | 588,242 |
| 2720 California Highway Patrol: | | | |
| State Operations | 23,665 | 22,491 | 21,947 |
| Capital Outlay | 1,800 | 3,007 | – |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 2740 Department of Motor Vehicles: | | | |
| State Operations | $37,429 | $39,814 | $42,025 |
| Local Assistance | – | – | – |
| Capital Outlay | 461 | 1,132 | 632 |
| 3480 Department of Conservation: | | | |
| State Operations | 12 | 12 | 12 |
| 8570 Department of Food and Agriculture Capital Outlay | – | 578 | 672 |
| 8660 Public Utilities Commission: | | | |
| State Operations | 2,381 | 2,385 | 2,544 |
| 9625 Interest Payments to the Federal Government: | | | |
| State Operations | 270 | 500 | 500 |
| 9670 Legislative Claims: | | | |
| State Operations | 80 | 892 | – |
| Totals, Disbursements | $2,622,573 | $3,077,193 | $3,184,875 |
| FUND BALANCE | $1,374,738 | $878,488 | $221,798 |
| Reserve for economic uncertainties | 1,374,738 | 878,488 | 221,798 |

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

[2] Expenditures for capital outlay support allocable to the Traffic Congestion Relief Fund are initially appropriated in the State Highway Account and have not yet been determined for fiscal years 2000–01 and 2001–02.

### 0045 Bicycle Transportation Account, State Transportation Fund [s 1]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,263 | $1,465 | $1,726 |
| Prior year adjustments | 19 | – | – |
| Balance, Adjusted | $1,282 | $1,465 | $1,726 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 181 | 271 | 431 |
| Transfers from Other Funds: | | | |
| F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2106 | 1,000 | 1,500 | 7,200 |
| Totals, Revenues and Transfers | $1,181 | $1,771 | $7,631 |
| Totals, Resources | $2,463 | $3,236 | $9,357 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2660 Department of Transportation: | | | |
| State Operations | 8 | 10 | 10 |
| Local Assistance | 990 | 1,500 | 7,190 |
| Totals, Disbursements | $998 | $1,510 | $7,200 |
| FUND BALANCE | $1,465 | $1,726 | $2,157 |
| Reserve for economic uncertainties | 1,465 | 1,726 | 2,157 |

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

### 0046 Public Transportation Account, State Transportation Fund [s 1]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $97,599 | $132,653 | $261,401 |
| Prior year adjustments | 3,660 | – | – |
| Balance, Adjusted | $101,259 | $132,653 | $261,401 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 114900 Retail sales and use taxes | $189,188 | $223,640 | $292,980 |
| 150300 Income from surplus money investments | 8,559 | 8,563 | 15,521 |
| Totals, Revenues | $197,747 | $232,203 | $308,501 |
| Transfers from Other Funds: | | | |
| F00041 Aeronautics Account, State Transportation Fund per Budget Act Item 2660-011-0041 | 30 | 30 | 30 |
| F00042 State Highway Account, State Transportation Fund per Budget Act Item 2660-021-0042 | 57,665 | 25,024 | 25,400 |
| F00042 State Highway Account, State Transportation Fund per Budget Act Item 2660-013-0042 | 28,040 | – | – |
| F00042 E Highway Account, State Transportation Fund per Item 2660-014-0042, Budget Act of 2000 | – | 114,421 | 46,450 |
| F03008 E Transportation Investment Fund 2660-001-3008 Budget Act 2000, Revenue and Tax Code Section 7104 | – | – | 85,447 |
| Totals, Transfers from Other Funds | $85,735 | $139,475 | $157,327 |
| Totals, Revenues and Transfers | $283,482 | $371,678 | $465,828 |
| Totals, Resources | $384,741 | $504,331 | $727,229 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2600 California Transportation Commission: | | | |
| State Operations | 1,255 | 1,441 | 1,448 |
| 2640 Special Transportation Programs: | | | |
| Local Assistance | 100,259 | 111,820 | 189,213 |
| 2660 Department of Transportation: | | | |
| State Operations | 138,382 | 114,823 | 127,248 |
| Local Assistance | 5,771 | 10,666 | 42,708 |
| Capital Outlay | – | – | 98,000 |
| 2665 High Speed Rail Authority: | | | |
| State Operations | 3,029 | 1,022 | 1,047 |
| 6440 University of California: | | | |
| State Operations | 956 | 956 | 956 |
| 8660 Public Utilities Commission: | | | |
| State Operations | 2,436 | 2,202 | 2,357 |
| 9990 Statewide General Administration Expenditures (pro rata) (State Operations) | – | – | – |
| Totals, Expenditures | $252,088 | $242,930 | $462,977 |
| FUND BALANCE | $132,653 | $261,401 | $264,252 |
| Reserve for unencumbered balance of continuing appropriations | 132,653 | 261,401 | 264,252 |

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

### 0052 Local Airport Loan Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $8,511 | $3,466 | $1,999 |
| Prior year adjustments | –46 | – | – |
| Balance, Adjusted | $8,465 | $3,466 | $1,999 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131200 Interest on loans to local agencies | 1,000 | 1,000 | 1,000 |
| 150300 Income from surplus money investments | 383 | 383 | 383 |
| Totals, Revenues and Transfers | $1,383 | $1,383 | $1,383 |
| Totals, Resources | $9,848 | $4,849 | $3,382 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2660 Department of Transportation (Local Assistance) | $8,091 | $4,000 | $4,000 |
| Expenditure Reductions: | | | |
| 2660 Department of Transportation (Local Assistance): | | | |
| Loan repayments from local agencies | –1,709 | –1,150 | –1,150 |
| Totals, Expenditures | $6,382 | $2,850 | $2,850 |
| FUND BALANCE | $3,466 | $1,999 | $532 |
| Reserve for economic uncertainties | 3,466 | 1,999 | 532 |
| **0056 Seismic Safety Retrofit Account, State Transportation Fund [s]** | | | |
| BEGINNING BALANCE | $9,039 | $9,891 | $10,559 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 852 | 668 | 713 |
| Totals, Revenues and Transfers | $852 | $668 | $713 |
| Totals, Resources | $9,891 | $10,559 | $11,272 |
| FUND BALANCE | $9,891 | $10,559 | $11,272 |
| Reserve for economic uncertainties | 9,891 | 10,559 | 11,272 |
| **0061 Motor Vehicle Fuel Account, Transportation Tax Fund [s 1]** | | | |
| BEGINNING BALANCE | $181,960 | $181,092 | $182,912 |
| Prior year adjustments | 106 | – | – |
| Balance, Adjusted | $182,066 | $181,092 | $182,912 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 113800 Motor vehicle fuel tax (gasoline) | 2,593,205 | 2,688,900 | 2,753,950 |
| 113900 Jet fuel tax | 1,806 | 1,979 | 2,070 |
| 114000 Motor vehicle fuel tax (diesel) | 446,359 | 446,000 | 464,700 |
| 125700 Other regulatory licenses and permits | 275 | 350 | 375 |
| 150300 Income from surplus money investments | 3,625 | 4,250 | 4,375 |
| 161000 Escheat of unclaimed checks and warrants | 86 | 75 | 80 |
| Totals, Revenues | $3,045,356 | $3,141,554 | $3,225,550 |
| Transfers to Other Funds: | | | |
| T00041 Aeronautics Account, State Transportation Fund per Section 8352.3, Revenue and Taxation Code | –9,051 | –7,010 | –6,880 |
| T00062 Highway Users' Tax Account, Transportation Tax Fund per Section 8353, Revenue and Taxation Code | –2,918,371 | –3,011,880 | –3,097,355 |
| T00111 Agriculture Account, Agriculture Fund per Section 8352, Revenue and Taxation Code | –26,112 | –26,400 | –27,650 |
| T00263 Off-Highway Vehicle Fund per Section 8352.6, Revenue and Taxation Code | –$19,936 | –$19,780 | –$20,360 |
| T00265 Conservation and Enforcement Services Account, Off-Highway Vehicle Fund per Section 8352.8, Revenue and Taxation Code | –9,819 | –9,750 | –10,040 |
| T00392 Parks and Recreation Account, State Parks and Recreation Fund per Budget Act Item 3790-012-0061 | –11,649 | –11,649 | –11,649 |
| Totals, Transfers to Other Funds | –$2,994,938 | –$3,086,469 | –$3,173,934 |
| Totals, Revenues and Transfers | $50,418 | $55,085 | $51,616 |
| Totals, Resources | $232,484 | $236,177 | $234,528 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0840 State Controller: | | | |
| State Operations | $2,843 | $3,012 | $3,021 |
| 0860 State Board of Equalization: | | | |
| State Operations | 15,061 | 15,918 | 20,231 |
| 3680 Department of Boating and Waterways: | | | |
| Unclassified | 33,488 | 34,325 | 35,183 |
| 9670 Legislative Claims | – | 10 | – |
| Totals, Expenditures | $51,392 | $53,265 | $58,435 |
| FUND BALANCE | $181,092 | $182,912 | $176,093 |
| Reserve for economic uncertainties | 181,092 | 182,912 | 176,093 |

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

### 0183 Environmental Enhancement and Mitigation Demonstration Program Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $5,919 | $8,709 | $10,029 |
| Prior year adjustments | 1,361 | – | – |
| Balance, Adjusted | $7,280 | $8,709 | $10,029 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 1,440 | 1,440 | 1,440 |
| Transfers from Other Funds: | | | |
| F00042 State Highway Account, State Transportation Fund per Budget Act Item 2660-022-0042 | 10,000 | 10,000 | 10,000 |
| Totals, Revenues and Transfers | $11,440 | $11,440 | $11,440 |
| Totals, Resources | $18,720 | $20,149 | $21,469 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0540 (3030) Secretary for Resources: | | | |
| State Operations | 116 | 120 | 120 |
| 2660 Department of Transportation: | | | |
| Local Assistance | 9,895 | 10,000 | 10,000 |
| Totals, Expenditures | $10,011 | $10,120 | $10,120 |
| FUND BALANCE | $8,709 | $10,029 | $11,349 |
| Reserve for economic uncertainties | 8,709 | 10,029 | 11,349 |

### 0365 Historic Property Maintenance Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $0 | $600 | $2,200 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 152200 Rentals of state property | 600 | 1,600 | 1,500 |
| Totals, Resources | $600 | $2,200 | $3,700 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2660 Department of Transportation: | | | |
| State Operations | – | – | $3,700 |
| Totals, Expenditures | – | – | $3,700 |
| FUND BALANCE | $600 | $2,200 | – |
| Reserve for economic uncertainties | 600 | 2,200 | – |

### 0608 Equipment Service Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $37,211 |
| Less prior year purchases | – | – | –21,898 |
| Balance, Adjusted | – | – | $15,313 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299000 Other (Program Rental) | – | $138,850 | $142,359 |
| 200500 External (Local Government) | – | 5,000 | 5,000 |
| Totals, Operating Revenues | – | $143,850 | $147,359 |
| Transfers from Other Funds: | | | |
| F00042 State Highway Acccount, STF per Budget Act Item 2660-031-0042 | – | 21,898 | 20,982 |
| Totals, Transfers | – | $21,898 | $20,982 |
| Totals, Operating Revenues and Transfers | – | $165,748 | $168,341 |
| Totals, Resources | – | $165,748 | $183,654 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2660 Department of Transportation: | | | |
| State Operations | $143,183 | 150,435 | 172,209 |
| Totals, Disbursements | $143,183 | $150,435 | $172,209 |
| Expenditure Reductions: | | | |
| Less funding provided by State Highway Account (State Operations) | – | −21,898 | −20,982 |
| Less charges to other funds (State Operations) | −143,183 | – | – |
| Totals, Expenditure Reductions | −$143,183 | −$21,898 | −$20,982 |
| Totals, Expenditures | – | $128,537 | $151,227 |
| FUND BALANCE | – | $37,211 | $32,427 |
| Reserve for net assets | – | 21,898 | 20,982 |
| Cash reserve | – | 15,313 | 11,445 |
| **0650 Toll Bridge Seismic Retrofit Account State Transportation Fund s [1]** | | | |
| BEGINNING BALANCE | $168,635 | $10,602 | $18,936 |
| Prior year adjustments | −3,471 | – | – |
| Balance, Adjusted | $165,164 | $10,602 | $18,936 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131700 E Miscellaneous revenue from local agencies | 27,193 | 5,807 | – |
| 142500 Miscellaneous services to the public | 124,405 | 125,000 | 125,000 |
| 150600 Income from other investments | 13,495 | 19,215 | 12,298 |
| Totals, Revenues | $165,093 | $150,022 | $137,298 |
| Transfers from Other Funds: | | | |
| F00042 State Highway Account, State Transportation Fund per Item 2660-011-0042, Budget Acts of 1999 and 2000 | 129,713 | 123,260 | 341,826 |
| Totals, Transfers from Other Funds | $129,713 | $123,260 | $341,826 |
| Totals, Revenues and Transfers | $294,806 | $273,282 | $479,124 |
| Totals, Resources | $459,970 | $283,884 | $498,060 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2660 Department of Transportation: | | | |
| State Operations | $83,391 | $98,061 | $97,189 |
| Capital Outlay | 365,977 | 166,887 | 385,374 |
| Totals, Disbursements | $449,368 | $264,948 | $482,563 |
| FUND BALANCE | $10,602 | $18,936 | $15,497 |
| Reserve for economic uncertainties | 10,602 | 18,936 | 15,497 |

[1] Fund renumbered from 0584 to 0650 and reclassified from nongovernmental cost fund to special fund.

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0653 Seismic Retrofit Bond Fund of 1996 [b]** | | | |
| BEGINNING BALANCE | – | – | – |
| Prior year adjustments | –$30,225 | – | – |
| Balance, Adjusted | –$30,225 | – | – |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 520000 Proceeds from sale of bonds | 51,310 | $137,738 | $105,569 |
| 250300 Income from SMIF | 30,552 | 17,881 | 12,209 |
| Totals, Operating Revenues and Transfers | $81,862 | $155,619 | $117,778 |
| Totals, Resources | $51,637 | $155,619 | $117,778 |
| EXPENDITURES [1] | | | |
| Disbursements: | | | |
| 2660 Department of Transportation: | | | |
| State Operations: | | | |
| Phase 2 | 24,131 | 20,937 | 20,224 |
| Interest PMIB Loans | 22,719 | 10,987 | 12,679 |
| Totals, State Operations | $46,850 | $31,924 | $32,903 |
| Capital Outlay: | | | |
| Phase 2 | 4,199 | 11,000 | 9,000 |
| Toll | 588 | 112,695 | 75,875 |
| Totals, Capital Outlay | $4,787 | $123,695 | $84,875 |
| Totals, Expenditures | $51,637 | $155,619 | $117,778 |
| FUND BALANCE | – | – | – |

[1] Expenditures in this fund are fully accrued to the year of encumbrance and do not reflect the modified accrued methodology used to account for State Highway Account capital expenditures.

| | | | |
|---|---|---|---|
| **0676 Ridesharing Vanpool Revolving Loan and Grant Fund [n]** | | | |
| BEGINNING BALANCE | $5,558 | – | – |
| Operating Revenues: | | | |
| 215000 Income from investments | 149 | – | – |
| Totals, Operating Revenues and Transfers | $149 | – | – |
| Totals, Resources | $5,707 | – | – |
| Disbursements: | | | |
| 2660 Department of Transportation: | | | |
| Local Assistance | 5,707 | – | – |
| Totals, Expenditures | $5,707 | – | – |
| FUND BALANCE | – | – | – |
| **0987 Consolidated Toll Bridge Funds [n]** | | | |
| BEGINNING BALANCE | $95,083 | $55,260 | $48,755 |
| Prior year adjustments | 2,218 | – | – |
| Balance, Adjusted | $97,301 | $55,260 | $48,755 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 211000 Services to public (Sec. 211000) | 9,531 | 5,865 | 5,924 |
| 215000 Income from investments (Sec. 215000) | 2,564 | 658 | 334 |
| Totals, Operating Revenues | $12,095 | $6,523 | $6,258 |
| Transfers to Other Funds: | | | |
| T00049 Toll Bridge Revenues Account per Sec. 30796.7 | –6,277 | –6,187 | –6,078 |
| T00049 Toll Bridge Revenue Account per Ch. 328, Stats. 1997 | –35,537 | –3,623 | – |
| Totals, Transfers to Other Funds | –$41,814 | –$9,810 | –$6,078 |
| Totals, Operating Revenues and Transfers | –$29,719 | –$3,287 | $180 |
| Totals, Resources | $67,582 | $51,973 | $48,935 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2660 Department of Transportation: | | | |
| State Operations | $3,810 | $786 | $794 |
| Capital Outlay | – | 2,432 | 1,007 |
| Totals, Disbursements | $3,810 | $3,218 | $1,801 |
| Other Disbursements: | | | |
| Debt Service and Other | 8,512 | – | – |
| Payments of Agent's Fees | – | – | – |
| Totals, Other Disbursements | $8,512 | – | – |
| Totals, Expenditures | $12,322 | $3,218 | $1,801 |
| FUND BALANCE | $55,260 | $48,755 | $47,134 |
| **2500 Pedestrian Safety Account** [s] | | | |
| BEGINNING BALANCE | – | – | $8,000 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from Surplus Money Investments | – | – | 450 |
| Transfers from Other Funds: | | | |
| F00042 E Highway Account, State, STF per Chapter 833, Statutes of 2000 | – | $8,000 | – |
| Totals, Revenues and Transfers | – | $8,000 | $450 |
| Totals, Resources | – | $8,000 | $8,450 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2660 Department of Transportation: | | | |
| Local Assistance | – | – | 6,000 |
| Totals, Expenditures | – | – | $6,000 |
| FUND BALANCE | – | $8,000 | $2,450 |
| Reserve for economic uncertainties | – | – | – |
| **3007 Traffic Congestion Relief Fund** [s] | | | |
| BEGINNING BALANCE | – | – | $1,195,000 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 114900 Retail Sales and Use Taxes | – | $500,000 | – |
| 150300 Income from Surplus Money Investments | – | – | – |
| Totals, Revenues | – | $500,000 | – |
| Transfers from Other Funds: | | | |
| F03008 Transportation Investment Fund per Section 7104(c)(1), of the Revenue and Taxation Code | – | – | $678,000 |
| Totals, Transfers from Other Funds | – | – | $678,000 |
| Totals, Revenues and Transfers | – | $500,000 | $678,000 |
| Totals, Resources | – | $500,000 | $1,873,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2660 Department of Transportation: [1] | | | |
| Local Assistance | – | 295,000 | 430,000 |
| Capital Outlay | – | 105,000 | 250,000 |
| 2665 High-Speed Rail Authority: | | | |
| State Operations | – | 5,000 | – |
| Totals, Disbursements | – | $405,000 | $680,000 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| Apportionments: | | | |
| 9533 Apportionment of Traffic Congestion Relief Fund | | | |
| Local Assistance: | | | |
| To Counties, including a city and county (Streets and Highways Code Section 2182(a)(1)) | – | $200,000 | – |
| To Cities, including a city and county (Streets and Highways Code Section 2182(a)(2)) | – | 200,000 | – |
| Totals, Apportionments | – | $400,000 | – |
| Expenditure Reductions: | | | |
| 2660 Department of Transportation: | | | |
| Less funding provided by General Fund (Capital Outlay) | – | –1,500,000 | – |
| Totals, Expenditure Reductions | – | –$1,500,000 | – |
| Totals, Expenditures | – | –$695,000 | $680,000 |
| FUND BALANCE | – | $1,195,000 | $1,193,000 |
| Reserve for economic uncertainties | – | – | – |

[1] Expenditures for capital outlay support allocable to this fund are initially appropriated in the State Highway Account and have not yet been determined for fiscal years 2000–01 and 2001–02.

### 3008 Transportation Investment Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from Surplus Money Investments | – | – | – |
| Totals, Revenues | – | – | – |
| Transfers to Other Funds: | | | |
| T00046 Public Transportation Account, State Transportation per Revenue and Tax Code 7104 | – | – | –$85,447 |
| T003007 Traffic Congestion Relief Fund per Section 7104(c)(1), of the Revenue and Taxation Code | – | – | –678,000 |
| Totals, Transfers to Other Funds | – | – | –$763,447 |
| Totals, Revenues and Transfers | – | – | –$763,447 |
| Totals, Resources | – | – | –$763,447 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 9350 Shared Revenues: | | | |
| Local Assistance: | | | |
| Counties, including a city and county Revenue and Taxation Code Section 7104(c)(4) | – | – | 85,447 |
| Cities, including a city and county per Revenue and Taxation Code Section 7104(c)(5) | – | – | 85,447 |
| Totals, Shared Revenues | – | – | $170,894 |
| Totals, Disbursements | – | – | $170,894 |
| EXPENDITURE REDUCTIONS | | | |
| 2660 Department of Transportation: | | | |
| Less funding provided by the General Fund (Capital Outlay) | – | – | –$934,341 |
| 9350 Shared Revenues: | | | |
| Less funding provided by the General Fund (Local Assistance) | – | – | –170,894 |
| Totals, Expenditure Reductions | – | – | –$1,105,235 |
| Totals, Expenditures | – | – | –$934,341 |
| FUND BALANCE | – | – | $170,894 |
| Reserve for economic uncertainties | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | | | | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|---|---|---|
| **CHANGES IN AUTHORIZED POSITIONS** | ***99–00*** | ***00–01*** | ***01–02*** | ***1999–00**** | ***2000–01**** | ***2001–02**** |
| Totals, Authorized Positions | 21,207.9 | 24,604.6 | 24,581.5 | $1,130,565 | $1,447,031 | $1,461,501 |
| Salary Adjustments | – | – | – | – | 330 | 592 |
| Totals, Adjusted Authorized Positions | 21,207.9 | 24,604.6 | 24,581.5 | $1,130,565 | $1,447,361 | $1,462,093 |
| Workload and Administrative Adjustments: | | | | | | |
| Highway Transportation: | | | | | | |
| Traffic Operations: | | | | Salary Range | | |
| Supvng Transp Engr, CT | – | 0.5 | 0.5 | 6,174–6,810 | 37 | 37 |
| Sr Prog Analyst-Spec | – | 0.5 | 0.5 | 4,958–6,026 | 30 | 30 |
| Sys Software Spec I-Tech | – | 1.0 | 1.0 | 4,506–5,479 | 54 | 54 |
| Staff Svcs Mgr I | – | 1.0 | 1.0 | 4,520–5,453 | 54 | 54 |
| Assoc Prog Asst-Spec | – | 0.1 | 0.1 | 4,110–4,997 | 5 | 5 |
| Assoc CT Admin | – | 1.0 | 1.0 | 3,915–4,759 | 47 | 47 |
| Transp Engr-Mech | – | 1.0 | 1.0 | 3,273–3,788 | 39 | 39 |
| Transp Engr-Elec A | – | 6.3 | 6.3 | 3,273–3,788 | 247 | 247 |
| Asst CT Admin A | – | 7.6 | 7.6 | 2,507–3,049 | 229 | 229 |
| Ofc Techn-Gen | – | 1.0 | 1.0 | 2,348–2,855 | 28 | 28 |
| Maintenance: | | | | | | |
| Sr Transp Engr CT-Civil | – | – | 1.8 | 5,082–6,177 | – | 61 |
| Transp Engr CT-Civil | – | – | 9.0 | 3,273–3,788 | – | 353 |
| CT Equipt Opr | – | – | 9.0 | 3,039–3,320 | – | 328 |
| Totals, Redirection | – | 20.0 | 39.8 | – | $770 | $1,512 |
| Reduction per Vacant Positions Drill: | | | | | | |
| Traffic Operations: | | | | | | |
| Transp Engrng Techn A | – | – | −17.0 | 2,444–2,971 | – | −499 |
| Local Assistance: | | | | | | |
| Assoc CT Admin | – | – | −1.0 | 2,507–3,049 | – | −30 |
| Traffic System Information: | | | | | | |
| Transp Engr-Elec | – | – | −0.1 | 3,273–3,788 | – | −2 |
| Transp Engr-Civil A | – | – | −0.7 | 3,273–3,788 | – | −27 |
| Statistical Methods Analyst I | – | – | −1.0 | 2,731–3,320 | – | −33 |
| Staff Svcs Analyst-Gen A | – | – | −0.2 | 2,507–3,049 | – | −7 |
| Transp Plnr A | – | – | −1.8 | 2,764–3,048 | – | −60 |
| Transp Engrng Techn A | – | – | −4.0 | 2,444–2,971 | – | −117 |
| Ofc Techn-Typing | – | – | −0.1 | 2,348–2,855 | – | −1 |
| CT Landscape Maint Worker | – | – | −0.1 | 2,557–2,776 | – | −2 |
| Maintenance: | | | | | | |
| CT Equipt Opr | – | – | −11.0 | 3,039–3,320 | – | −401 |
| CT Landscape Maint Worker | – | – | −42.8 | 2,557–2,776 | – | −1,289 |
| CT Hwy Maint Worker | – | – | −23.0 | 2,557–2,776 | – | −706 |
| Mass Transportation: | | | | | | |
| Transp Plnr A | – | – | −2.0 | 2,764–3,048 | – | −66 |
| Word Proc Techn A | – | – | −1.0 | 2,029–2,465 | – | −24 |
| Rail: | | | | | | |
| Rail Transp Asst A | – | – | −6.0 | 2,764–3,048 | – | – |
| Word Proc Techn A | – | – | −2.0 | 2,029–2,465 | – | – |
| Planning: | | | | | | |
| Transp Plnr A | – | – | −16.0 | 2,764–3,048 | – | −531 |
| Envirntl Plnr A | – | – | −5.2 | 2,764–3,048 | – | −172 |
| Transp Engrng Techn A | – | – | −79.0 | 2,444–2,971 | – | −2,323 |
| Word Proc Techn A | – | – | −8.0 | 2,029–2,465 | – | −209 |
| Administration: | | | | | | |
| Assoc Constrn Analyst | – | – | −1.0 | $4,204–5,067 | – | −$50 |
| Maint Mechanic A | – | – | −1.0 | 3,413–3,744 | – | −41 |
| Asst CT Admin A | – | – | −2.0 | 2,507–3,049 | – | −60 |
| Ofc Techn-Typing | – | – | −2.0 | 2,348–2,855 | – | −56 |
| Pers Svcs Spec I A | – | – | −2.0 | 2,315–2,814 | – | −56 |
| Ofc Asst-Typing A | – | – | −2.0 | 1,908–2,319 | – | −46 |
| Equipment: | | | | | | |
| Heavy Equipt Mechanic Apprnt C A | – | – | −2.0 | 2,391–2,607 | – | −57 |
| Totals, Vacant Position Drill | – | – | −234.0 | – | – | −$6,865 |
| Subtotals, Workload and Administrative Adjustments | – | 20.0 | −194.2 | – | $770 | −$5,353 |

*** Dollars in thousands, except in Salary Range.**

## 2660 DEPARTMENT OF TRANSPORTATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | | | | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|---|---|---|
| Proposed New Positions: | | | | Salary Range | | |
| Labor Relations Mgr I | – | – | 1.0 | $5,493–6,058 | – | $66 |
| Sr Right of Way Agent | – | – | –0.2 | 5,987 | – | –15 |
| Sr Transp Plnr | – | – | –1.3 | 4,930–5,987 | – | –80 |
| Assoc Bridge Engr A | – | – | –3.0 | 4,598–5,634 | – | –166 |
| Transp Engr, CT-Civil D | – | – | –2.3 | 4,958–5,632 | – | –127 |
| Assoc Architect | – | – | –1.0 | 4,598–5,632 | – | –55 |
| Labor Relations Spec | – | – | 4.0 | 4,520–5,453 | – | 217 |
| Sys Software Spec I-Tech | – | – | –0.2 | 4,800–5,402 | – | –12 |
| Assoc Seismologist | – | – | –1.0 | 4,177–5,321 | – | –50 |
| Rail Transp Assoc | – | – | –2.9 | 4,657–5,110 | – | –162 |
| Assoc Mgmt Auditor | – | – | –2.5 | 4,997 | – | –150 |
| Assoc Transp Plnr | – | – | –2.4 | 4,084–4,997 | – | –132 |
| Assoc Budget Analyst S | – | – | –0.3 | 4,761 | – | –17 |
| Assoc Pers Analyst | – | – | –0.1 | 3,889–4,693 | – | –5 |
| Assoc CT Admin | – | – | –0.1 | 3,889–4,693 | – | –3 |
| Engrng Geologist B | – | – | –1.0 | 3,723–4,550 | – | –45 |
| Acctg Ofcr-Spec | – | – | –0.8 | 4,155 | – | –40 |
| Right of Way Agent B | – | – | –0.6 | 3,397–4,155 | – | –24 |
| Envirntl Plnr C | – | – | –0.5 | 3,397–4,155 | – | –20 |
| Accountant I-Supvr | – | – | –0.1 | 2,668–3,172 | – | –4 |
| Ofc Techn-Typing | – | – | 2.7 | 2,348–2,855 | – | 76 |
| Pers Svcs Spec I-A | – | – | –0.1 | 2,295–2,791 | – | –1 |
| Totals, Proposed New Positions | – | – | –12.7 | – | – | –$749 |
| Total Adjustments | – | 20.0 | –206.9 | – | $1,100 | –$5,510 |
| TOTALS, SALARIES AND WAGES | 21,207.9 | 24,624.6 | 24,374.6 | $1,130,565 | $1,448,131 | $1,455,991 |

# 2665 HIGH-SPEED RAIL AUTHORITY

The High-Speed Rail Authority was created by Chapter 796, Statutes of 1996, to direct the development and implementation of intercity high-speed rail service that is fully coordinated with other public transportation services. The Authority is required to prepare a plan for the construction and operation of a high-speed train network for the state, consistent with and continuing the work of the Intercity High-Speed Rail Commission conducted prior to January 1, 1997, and submit the plan to the Legislature and Governor or to the voters for approval. The Authority was scheduled to sunset on June 30, 2001, unless the plan was approved.

Chapter 791, Statutes of 2000, extended the Authority until December 31, 2003, reconstituted the Authority's membership, and continued the requirement to prepare the high-speed rail plan contingent upon a Budget Act appropriation for that purpose. Additional funding needs will be considered after the Authority's new membership is in place.

### Major Budget Adjustment Included in 2000–01

- Chapter 91, Statutes of 2000 (AB 2928), the Traffic Congestion Relief Act of 2000, appropriated $5 million from the Traffic Congestion Relief Fund to the Authority for the purpose of commencing preliminary environmental documentation for the implementation of high-speed rail service in California.

### Authority

Public Utilities Code, Division 19.5 (commencing with Section 185000).
As amended by Chapter 791, Statutes of 2000 (AB 1703).

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| High-Speed Rail Authority | 5.0 | 5.7 | 5.7 | $3,029 | $6,022 | $1,047 |
| *0046 Public Transportation Account, State Transportation Fund* [s] | | | | *3,029* | *1,022* | *1,047* |
| *3007 Traffic Congestion Relief Fund* [s] | | | | – | *5,000* | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2665 HIGH-SPEED RAIL AUTHORITY—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch 7A) | 5.0 | 6.0 | 6.0 | $424 | $474 | $483 |
| Estimated Salary Savings | – | –0.3 | –0.3 | – | –24 | –24 |
| Net Totals, Salaries and Wages | 5.0 | 5.7 | 5.7 | $424 | $450 | $459 |
| Staff Benefits | – | – | – | 52 | 58 | 63 |
| Totals, Personal Services | 5.0 | 5.7 | 5.7 | $476 | $508 | $522 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,553 | $5,514 | $525 |
| TOTALS, EXPENDITURES | | | | $3,029 | $6,022 | $1,047 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0046 Public Transportation Account, State Transportation Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $3,027 | $1,021 | $1,047 |
| Allocation for employee compensation | 29 | 4 | – |
| Adjustment per Section 3.60 | –24 | –3 | – |
| Totals Available | $3,032 | $1,022 | $1,047 |
| Unexpended balance, estimated savings | –3 | – | – |
| TOTALS, EXPENDITURES | $3,029 | $1,022 | $1,047 |

### 3007 Traffic Congestion Relief Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Chapter 91, Statutes of 2000 | – | $5,000 | – |
| TOTALS, EXPENDITURES (State Operations) | $3,029 | $6,022 | $1,047 |

## 2700 OFFICE OF TRAFFIC SAFETY

The Office of Traffic Safety administers the California Traffic Safety Program. Under the provisions of State law, the office conducts a wide range of activities designed to reduce deaths, injuries and property damage that result from traffic accidents. Activities include: (1) developing the California Highway Safety Plan, which identifies major traffic safety problems, appropriate countermeasure programs and available state and federal funds; (2) administering funds to state and local governmental entities in the form of project grants; and (3) coordinating statewide traffic safety programs and activities.

The nine priority areas of traffic safety are alcohol and drugs, occupant protection, pedestrian and bicycle safety, traffic records, emergency medical services, roadway safety, policy traffic services, motorcycle safety and speed control.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $204,000 and 2.9 personnel years redirected from vacant positions reduced in other departments in the Business, Transportation and Housing Agency to administer the increased grant funds available to California under Chapter 1 of Title 23 of the Transportation Equity Act for the 21st Century (TEA-21).

### Authority

Vehicle Code, Division 2, Chapter 5.

### SUMMARY OF PROGRAM REQUIREMENTS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 California Traffic Safety Program | 29.2 | 29.2 | 32.1 | $58,321 | $42,467 | $42,681 |
| TOTALS, PROGRAMS | 29.2 | 29.2 | 32.1 | $58,321 | $42,467 | $42,681 |
| *0044 Motor Vehicle Account, State Transportation Fund* | | | | *326* | *360* | *371* |
| *0890 Federal Trust Fund* | | | | *57,995* | *42,107* | *42,310* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2700 OFFICE OF TRAFFIC SAFETY—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 29.2 | 30.0 | 30.0 | $1,439 | $1,504 | $1,525 |
| Total Adjustments | – | – | 3.0 | – | 3 | 159 |
| Estimated Salary Savings | – | –0.8 | –0.9 | – | –38 | –42 |
| Net Totals, Salaries and Wages | 29.2 | 29.2 | 32.1 | $1,439 | $1,469 | $1,642 |
| Staff Benefits | – | – | – | 274 | 262 | 273 |
| Totals, Personal Services | 29.2 | 29.2 | 32.1 | $1,713 | $1,731 | $1,915 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,083 | $2,237 | $2,334 |
| SPECIAL ITEMS OF EXPENSE | | | | 29,134 | 21,144 | 21,077 |
| TOTALS, EXPENDITURES | | | | $32,930 | $25,112 | $25,326 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $333 | $360 | $371 |
| Allocation for employee compensation | 14 | 2 | – |
| Adjustment per Section 3.60 | –10 | –2 | – |
| Totals Available | $337 | $360 | $371 |
| Unexpended balance, estimated savings | –11 | – | – |
| TOTALS, EXPENDITURES | $326 | $360 | $371 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $24,596 | $24,762 | $24,955 |
| Allocation for employee compensation | 90 | 6 | – |
| Adjustment per Section 3.60 | –76 | –16 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Budget adjustment | 7,993 | – | – |
| TOTALS, EXPENDITURES | $32,604 | $24,752 | $24,955 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $32,930 | $25,112 | $25,326 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $17,355 | $17,355 | $17,355 |
| Budget adjustment | 8,036 | – | – |
| TOTALS, EXPENDITURES (Local Assistance) | $25,391 | $17,355 | $17,355 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $58,321 | $42,467 | $42,681 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 29.2 | 30.0 | 30.0 | $1,439 | $1,504 | $1,525 |
| Salary adjustments | – | – | – | – | 3 | 17 |
| Totals, Adjusted Authorized Positions | 29.2 | 30.0 | 30.0 | $1,439 | $1,507 | $1,542 |

*** Dollars in thousands, except in Salary Range.**

## 2700 OFFICE OF TRAFFIC SAFETY—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 2.0 | $3,915–4,759 | – | $91 |
| Acctg Techn | – | – | 1.0 | 2,348–2,855 | – | 27 |
| Totals, Proposed New Positions | – | – | 3.0 | – | – | $118 |
| Overtime | – | – | – | – | – | 24 |
| Total Adjustments | – | – | 3.0 | – | $3 | $159 |
| TOTALS, SALARIES AND WAGES | 29.2 | 30.0 | 33.0 | $1,439 | $1,507 | $1,684 |

# 2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL

The California Highway Patrol ensures the safe, convenient, and efficient transportation of people and goods across the state's highway system.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Traffic Management | 8,630.0 | 8,896.3 | 8,901.3 | $799,427 | $851,214 | $869,242 |
| 20 Regulation and Inspection | 973.2 | 975.0 | 989.0 | 86,902 | 89,901 | 93,075 |
| 30 Vehicle Ownership Security | 230.4 | 306.1 | 304.8 | 20,568 | 24,971 | 25,098 |
| 40.01 Administration | 1,746.0 | 1,812.1 | 1,806.5 | 94,447 | 129,970 | 129,515 |
| 40.02 Distributed Administration | −1,746.0 | −1,812.1 | −1,806.5 | −94,447 | −129,970 | −129,515 |
| TOTALS, PROGRAMS | 9,833.6 | 10,177.4 | 10,195.1 | $906,897 | $966,086 | $987,415 |
| *0001 General Fund* | | | | *–* | *38,515* | *36,719* |
| *0042 State Highway Account, State Transportation Fund* | | | | *23,665* | *22,491* | *21,947* |
| *0044 Motor Vehicle Account, State Transportation Fund* | | | | *791,006* | *863,256* | *889,017* |
| *Less funding provided by the General Fund* | | | | *–* | *−33,515* | *−29,719* |
| *0246 Protective Services Fund* | | | | *28,848* | *–* | *–* |
| *0292 Motor Carrier Permit Fund* | | | | *1,555* | *1,570* | *1,592* |
| *0293 Motor Carrier Safety Improvement Fund* | | | | *1,054* | *1,115* | *1,082* |
| *0840 California Motorcyclist Safety Fund* | | | | *889* | *1,121* | *1,123* |
| *0890 Federal Trust Fund* | | | | *6,005* | *5,881* | *5,966* |
| *0942 Hazardous Substance Account, Special Deposit Fund* | | | | *34* | *200* | *200* |
| *0942 Asset Forfeiture Account, Special Deposit Fund* | | | | *970* | *2,002* | *2,002* |
| *0995 Reimbursements* | | | | *52,871* | *63,450* | *57,486* |

## 10 TRAFFIC MANAGEMENT

### Program Objectives Statement

The objectives of this program are to minimize deaths, injuries, and property losses due to traffic accidents; to minimize traffic delays to the motoring public; and to provide protection and assistance to the motoring public, State employees and property.

### Major Budget Adjustment Included in 2000–01

- An increase of $10,394,000 in reimbursements for highway safety grants approved by the Office of Traffic Safety, of which $4,739,000 is for two replacement helicopters.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $8,850,000 and 63.2 personnel years to deploy additional motorcycle officers to provide concentrated congestion relief efforts.
- An increase of $1,999,000 for the program's prorated share of improvements to the telecommunications infrastructure.
- An increase of $7,193,000 in reimbursements for highway safety grants approved by the Office of Traffic Safety.
- An increase of $2,340,000 for the program's prorated share of replacement vehicles.
- An increase of $1,286,000 for the program's prorated share of janitorial services offered by the Department of General Services.
- An increase of $1,507,000 for the program's prorated share of increased gasoline costs.
- An increase of $1,008,000 for the program's prorated share of increased service fees associated with administering workers' compensation claims.
- An increase of $531,000 and 6 personnel years to continue the expansion of the El Protector Program to provide driver safety information to additional language groups.

### Authority

Vehicle Code, Division 2, Chapter 2, Article 3.

## 20 REGULATION AND INSPECTION

### Program Objectives Statement

This program: reduces the incidence of truck and bus accidents attributable to defective vehicle equipment, improper maintenance, loading or securement of cargo, or disqualified drivers; protects the public from spills of hazardous materials or specially regulated loads and from the improper operation of specified vehicles such as ambulances and armored cars; protects farm workers transported in farm labor vehicles and children transported in school buses; ensures that proper registration fees are paid; and protects highways from excessive weights. The goal is to inspect as many vehicles, loads, hazardous materials shipping facilities, and vehicle maintenance facilities as possible with the resources available.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

### Major Budget Adjustments Proposed for 2001–02

- An increase of $1,752,000 and 10 personnel years to augment the Farm Labor Vehicle Inspection and Certification program.
- An increase of $1,685,000 and 16 personnel years to augment the CHP's Motor Carrier Safety Unit staffing to handle increased workload.
- An increase of $168,000 for the program's prorated share of improvements to the telecommunications infrastructure.
- An increase of $196,000 for the program's prorated share of replacement vehicles.
- An increase of $108,000 for the program's prorated share of janitorial services offered by the Department of General Services.
- An increase of $255,000 for the program's prorated share of increased gasoline costs.
- An increase of $85,000 for the program's prorated share of increased service fees associated with administering workers' compensation claims.

### Authority

Vehicle Code Sections 2416, 2417, 2501, 2510, 2512, 2802, 2804, 2805, 2807, 2807.1, 2813, 12519, 12522, 31401, 34500, et seq., 34501, Division 14.8; Education Code Section 39813; Administrative Code Section 14204.

## 30 VEHICLE OWNERSHIP SECURITY

### Program Objectives Statement

This program protects the public from vehicle theft through (1) investigation and prosecution of the professional vehicle thief; (2) assistance and training of CHP and allied agency personnel; and (3) prevention of vehicle theft through public awareness and coordination with industry. The program also ensures that vehicles registered in California have an appropriate vehicle identification number attached.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $55,000 for the program's prorated share of improvements to the telecommunications infrastructure.
- An increase of $65,000 for the program's prorated share of replacement vehicles.
- An increase of $36,000 for the program's prorated share of janitorial services offered by the Department of General Services.
- An increase of $38,000 for the program's prorated share of increased gasoline costs.
- An increase of $28,000 for the program's prorated share of increased service fees associated with administering workers' compensation claims.
- An increase of $7,000,000 for local assistance grants to local law enforcement agencies for the cost of collecting data on the race of drivers stopped by law enforcement officers.

### Authority

Vehicle Code Sections 2400 and 2805.

## 40 ADMINISTRATION

### Authority

Vehicle Code, Division 2, Chapter 2, Article 1.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 TRAFFIC MANAGEMENT

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | – | *$33,515* | *$29,719* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *$719,110* | *782,915* | *804,898* |
| *Less funding provided by the General Fund* | – | *–33,515* | *–29,719* |
| *0246 Protective Services Fund* | *28,848* | – | – |
| *0840 California Motorcyclist Safety Fund* | *889* | *1,121* | *1,123* |
| *0890 Federal Trust Fund* | *316* | *427* | *434* |
| *0942 Asset Forfeiture Account, Special Deposit Fund* | *485* | *1,001* | *1,001* |
| *0995 Reimbursements* | *49,779* | *60,750* | *54,786* |
| Totals, State Operations | $799,427 | $846,214 | $862,242 |
| Local Assistance: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | – | *5,000* | *7,000* |
| Totals, Local Assistance | – | $5,000 | $7,000 |
| Totals, Program 10 | $799,427 | $851,214 | $869,242 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Ground Operations | 786,286 | 832,174 | 854,636 |
| State Operations: | | | |
| *0001 General Fund* | – | *33,515* | *29,719* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *705,969* | *768,614* | *790,292* |
| *Less funding provided by the General Fund* | – | *–33,515* | *–29,719* |
| *0246 Protective Services Fund* | *28,848* | – | – |
| *0840 California Motorcyclist Safety Fund* | *889* | *1,121* | *1,123* |

*** Dollars in thousands, except in Salary Range.**

## 2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0890 Federal Trust Fund* | *$316* | *$427* | *$434* |
| *0942 Asset Forfeiture Account, Special Deposit Fund* | *485* | *1,001* | *1,001* |
| *0995 Reimbursements* | *49,779* | *56,011* | *54,786* |
| Local Assistance: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *–* | *5,000* | *7,000* |
| 10.20 Flight Operations | 13,141 | 19,040 | 14,606 |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *13,141* | *14,301* | *14,606* |
| *0995 Reimbursements* | *–* | *4,739* | *–* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 REGULATION AND INSPECTION** | | | |
| State Operations: | | | |
| *0042 State Highway Account, State Transportation Fund* | *$23,665* | *$22,491* | *$21,947* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *53,014* | *58,234* | *61,885* |
| *0292 Motor Carrier Permit Fund* | *1,555* | *1,570* | *1,592* |
| *0293 Motor Carrier Safety Improvement Fund* | *1,054* | *1,115* | *1,082* |
| *0890 Federal Trust Fund* | *5,450* | *5,133* | *5,211* |
| *0942 Hazardous Substance Account, Special Deposit Fund* | *34* | *200* | *200* |
| *0995 Reimbursements* | *2,130* | *1,158* | *1,158* |
| Totals, Program 20 | $86,902 | $89,901 | $93,075 |
| **ELEMENT REQUIREMENTS** | | | |
| 20.05 School Pupil Transportation Safety | 6,220 | 6,755 | 6,900 |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *6,220* | *6,717* | *6,862* |
| *0995 Reimbursements* | *–* | *38* | *38* |
| 20.10 Regulated Special Purpose Vehicles | 1,365 | 1,473 | 1,506 |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *1,365* | *1,473* | *1,506* |
| 20.15 Transportation of Hazardous Materials | 5,383 | 5,918 | 6,041 |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *5,295* | *5,718* | *5,841* |
| *0942 Hazardous Substance Account, Special Deposit Fund* | *34* | *200* | *200* |
| *0995 Reimbursements* | *54* | *–* | *–* |
| 20.20 Farm Labor Transportation Safety | 1,547 | 2,654 | 3,371 |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *1,547* | *2,654* | *3,371* |
| 20.25 Commercial Vehicle Inspection and Enforcement | 50,719 | 51,426 | 51,689 |
| State Operations: | | | |
| *0042 State Highway Account, State Transportation Fund* | *23,665* | *22,491* | *21,947* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *21,096* | *24,628* | *25,446* |
| *0292 Motor Carrier Permit Fund* | *1,555* | *1,570* | *1,592* |
| *0293 Motor Carrier Safety Improvement Fund* | *1,054* | *1,115* | *1,082* |
| *0890 Federal Trust Fund* | *1,273* | *502* | *502* |
| *0995 Reimbursements* | *2,076* | *1,120* | *1,120* |
| 20.45 Motor Carrier Safety Operations | 21,668 | 21,675 | 23,568 |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *17,491* | *17,044* | *18,859* |
| *0890 Federal Trust Fund* | *4,177* | *4,631* | *4,709* |
| **PROGRAM REQUIREMENTS** | | | |
| **30 VEHICLE OWNERSHIP SECURITY** | | | |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *$18,882* | *$22,107* | *$22,234* |
| *0890 Federal Trust Fund* | *239* | *321* | *321* |
| *0942 Asset Forfeiture Account, Special Deposit Fund* | *485* | *1,001* | *1,001* |
| *0995 Reimbursements* | *962* | *1,542* | *1,542* |
| Totals, Program 30 | $20,568 | $24,971 | $25,098 |
| **ELEMENT REQUIREMENTS** | | | |
| 30.10 Vehicle Theft Control | 18,580 | 22,644 | 22,721 |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *16,894* | *19,780* | *19,857* |
| *0890 Federal Trust Fund* | *239* | *321* | *321* |
| *0942 Asset Forfeiture Account, Special Deposit Fund* | *485* | *1,001* | *1,001* |
| *0995 Reimbursements* | *962* | *1,542* | *1,542* |
| 30.20 Vehicle Identification Numbering Program | 1,988 | 2,327 | 2,377 |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *1,988* | *2,327* | *2,377* |

*** Dollars in thousands, except in Salary Range.**

## 2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

### PROGRAM REQUIREMENTS
### 40 ADMINISTRATION

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 40.01 Administration | $94,447 | $129,970 | $129,515 |
| 40.02 Distributed Administration | –94,447 | –129,970 | –129,515 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $906,897 | $961,086 | $980,415 |
| Local Assistance | – | 5,000 | 7,000 |
| TOTALS, EXPENDITURES | $906,897 | $966,086 | $987,415 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 9,833.6 | 10,362.0 | 10,347.3 | $588,229 | $623,966 | $629,164 |
| Total Adjustments | – | –28.0 | 83.5 | – | –806 | 6,127 |
| Estimated Salary Savings | – | –156.6 | –235.7 | – | –10,426 | –15,693 |
| Net Totals, Salaries and Wages | 9,833.6 | 10,177.4 | 10,195.1 | $588,229 | $612,734 | $619,598 |
| Staff Benefits | – | – | – | 103,113 | 115,090 | 123,040 |
| Totals, Personal Services | 9,833.6 | 10,177.4 | 10,195.1 | $691,342 | $727,824 | $742,638 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $214,038 | $232,848 | $236,675 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Taxes and Assessments | | | | 288 | 119 | 119 |
| Tort Payments | | | | 183 | 3 | 3 |
| Other | | | | 1,046 | 292 | 980 |
| Totals, Special Items of Expense | | | | $1,517 | $414 | $1,102 |
| TOTALS, EXPENDITURES | | | | $906,897 | $961,086 | $980,415 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (Transfer to Motor Vehicle Account (0044) (expenditures) | – | $33,515 | $29,719 |

### 0042 State Highway Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $24,253 | $24,295 | $23,805 |
| Allocation for employee compensation | 1,261 | 9 | – |
| Allocation for contingencies or emergencies | 98 | – | |
| Adjustment per Section 3.60(a) | –229 | 36 | – |
| Reduction per Section 3.60(b) | –1,717 | –1,849 | – |
| Estimated savings per Section 3.60(b) | – | – | –1,858 |
| Totals Available | $23,666 | $22,491 | $21,947 |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | $23,665 | $22,491 | $21,947 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $821,924 | $915,585 | $945,813 |
| 003 Budget Act appropriation (lease revenue debt) | – | 436 | 970 |
| 021 Budget Act appropriation (advance authorization) | (5,000) | (5,000) | (5,000) |
| Allocation for employee compensation | 38,985 | 1,296 | – |
| Allocation for contingencies or emergencies | 6,019 | – | – |
| Adjustment per Section 3.60(a) | –6,419 | 295 | – |
| Reduction per Section 3.60(b) | –70,165 | –55,196 | – |
| Estimated savings per Section 3.60(b) | – | – | –57,766 |

*** Dollars in thousands, except in Salary Range.**

## 2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Adjustment per Section 4.60 (Rental Rate) | – | $11 | – |
| Transfer to Legislative Claims (9670) | –$23 | –27 | – |
| Chapter 557, Statutes of 1999 | 1,750 | – | – |
| Prior year balances available: | | | |
| Chapter 557, Statutes of 1999 | – | 983 | – |
| Totals Available | $792,071 | $863,383 | $889,017 |
| Balance available in subsequent years | –983 | – | – |
| Unexpended balance, estimated savings | –82 | –127 | – |
| TOTALS, EXPENDITURES | $791,006 | $863,256 | $889,017 |
| Less funding provided by the General Fund | – | –33,515 | –29,719 |
| NET TOTALS, EXPENDITURES | $791,006 | $829,741 | $859,298 |

### 0246 Protective Services Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $29,102 | – | – |
| Allocation for employee compensation | 1,513 | – | – |
| Allocation for contingencies or emergencies | 164 | – | – |
| Adjustment per Section 3.60(a) | –228 | – | – |
| Reduction per Section 3.60(b) | –1,703 | – | – |
| TOTALS, EXPENDITURES | $28,848 | – | – |

### 0292 Motor Carrier Permit Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,611 | $1,651 | $1,677 |
| Allocation for employee compensation | 59 | 2 | – |
| Allocation for contingencies or emergencies | 5 | – | – |
| Adjustment per Section 3.60(a) | –10 | 1 | – |
| Reduction per Section 3.60(b) | –77 | –84 | – |
| Estimated savings per Section 3.60(b) | – | – | –85 |
| Prior year balances available: | | | |
| Chapter 1042, Statutes of 1996 | 452 | – | – |
| Totals Available | $2,040 | $1,570 | $1,592 |
| Unexpended balance, estimated savings | –485 | – | – |
| TOTALS, EXPENDITURES | $1,555 | $1,570 | $1,592 |

### 0293 Motor Carrier Safety Improvement Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,489 | $1,187 | $1,156 |
| Allocation for employee compensation | 65 | 2 | – |
| Allocation for contingencies or emergencies | 4 | – | – |
| Adjustment per Section 3.60(a) | –9 | – | – |
| Reduction per Section 3.60(b) | –69 | –74 | – |
| Estimated savings per Section 3.60(b) | – | – | –74 |
| Prior year balances available: | | | |
| Chapter 1042, Statutes of 1996 | 480 | – | – |
| Totals Available | $1,960 | $1,115 | $1,082 |
| Unexpended balance, estimated savings | –906 | – | – |
| TOTALS, EXPENDITURES | $1,054 | $1,115 | $1,082 |

### 0840 California Motorcyclist Safety Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (expenditures) | $889 | $1,121 | $1,123 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $5,481 | $5,870 | $5,966 |
| Allocation for employee compensation | 230 | 9 | – |
| Adjustment per Section 3.60(a) | – | 2 | – |
| Reduction per Section 3.60(b) | –41 | – | – |
| Budget adjustment | 335 | – | – |
| TOTALS, EXPENDITURES | $6,005 | $5,881 | $5,966 |

*** Dollars in thousands, except in Salary Range.**

## 2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

### 0903 State Penalty Fund [n]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 012 Budget Act appropriation (transfer to California Motorcyclist Safety Fund) (expenditures) | – | ($250) | ($250) |

### 0942 Hazardous Substance Account, Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $200 | $200 | $200 |
| Unexpended balance, estimated savings | −166 | – | – |
| TOTALS, EXPENDITURES | $34 | $200 | $200 |

### 0942 Asset Forfeiture Account, Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation | $2,002 | $2,002 | $2,002 |
| Unexpended balance, estimated savings | −1,032 | – | – |
| TOTALS, EXPENDITURES | $970 | $2,002 | $2,002 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $52,871 | $63,450 | $57,486 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $906,897 | $961,086 | $980,415 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Grants and subventions | – | $5,000 | $7,000 |

---

### RECONCILIATION WITH APPROPRATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $5,000 | $7,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $906,897 | $966,086 | $987,415 |

---

### FUND CONDITION STATEMENT
### 0246 Protective Services Fund [n]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING RESERVES | $926 | $779 | $676 |
| Prior year adjustments | −361 | – | – |
| Balance, Adjusted | $565 | $779 | $676 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299000 Income from operations | 29,062 | – | – |
| Totals, Revenues and Transfers | $29,062 | – | – |
| Totals, Resources | $29,627 | $779 | $676 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2720 California Highway Patrol (State Operations) | 28,848 | – | – |
| 9900 Statewide General Administrative (Pro Rata) (State Operations) | – | 103 | – |
| Totals, Disbursements | $28,848 | $103 | – |
| FUND BALANCE | $779 | $676 | $676 |
| Reserve for encumbrances | 779 | 676 | 676 |

*** Dollars in thousands, except in Salary Range.**

## 2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

| 0293 Motor Carrier Safety Improvement Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,944 | $1,678 | $2,252 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Interest income from Surplus Money Investment Fund | 107 | 110 | 115 |
| Totals, Revenues | $107 | $110 | $115 |
| Transfers from Other Funds: | | | |
| F00292 Motor Carrier Permit Fund per Revenue and Taxation Code Section 7236(b) | 661 | 1,569 | 2,479 |
| F00412 Transportation Rate Fund per Public Utilities Code Section 5003.1 | 20 | 10 | 10 |
| Totals, Transfers from Other Funds | $681 | $1,579 | $2,489 |
| Totals, Revenues and Transfers | $788 | $1,689 | $2,604 |
| Totals, Resources | $2,732 | $3,367 | $4,856 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2720 California Highway Patrol (State Operations) | 1,054 | 1,115 | 1,082 |
| FUND BALANCE | $1,678 | $2,252 | $3,774 |
| Reserve for economic uncertainties | 1,678 | 2,252 | 3,774 |

| 0840 California Motorcyclist Safety Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $703 | $787 | $872 |
| Prior year adjustments | −12 | – | – |
| Balance, Adjusted | $691 | $787 | $872 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214000 Interest income from loans | 56 | 52 | 52 |
| 216000 Motorcycle registration fees | 925 | 900 | 900 |
| Totals, Operating Revenues | $981 | $952 | $952 |
| Transfers from Other Funds: | | | |
| F00044 Motor Vehicle Account, STF, Per Government Code Section 16475 | 4 | 4 | 4 |
| F00903 State Penalty Fund per Chapter 610, Statutes of 1999 | – | 250 | 250 |
| Totals, Transfers from Other Funds | $4 | $254 | $254 |
| Totals, Revenues and Transfers | $985 | $1,206 | $1,206 |
| Totals, Resources | $1,676 | $1,993 | $2,078 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2720 California Highway Patrol (State Operations) | 889 | 1,121 | 1,123 |
| FUND BALANCE | $787 | $872 | $955 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 9,833.6 | 10,362.0 | 10,347.3 | $588,229 | $623,966 | $629,164 |
| Salary adjustments | – | – | – | – | 67 | 137 |
| Totals, Adjusted Authorized Positions | 9,833.6 | 10,362.0 | 10,347.3 | $588,229 | $624,033 | $629,301 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Abolished: | | | | Salary Range | | |
| Communications Opr II | – | −23.0 | −23.0 | 2,681–3,259 | −740 | −740 |
| Info Sys Techn | – | −3.0 | −3.0 | 2,207–3,168 | −79 | −79 |
| Auto Techn I | – | −1.0 | −1.0 | 2,617–3,119 | −31 | −31 |
| Ofc Asst-Typing | – | −1.0 | −1.0 | 1,908–2,466 | −23 | −23 |
| Totals, Workload and Administrative Adjustments | – | −28.0 | −28.0 | – | −$873 | −$873 |

*** Dollars in thousands, except in Salary Range.**

## 2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Pers Analyst | – | – | 1.0 | $3,915–4,758 | – | $50 |
| Ofcr, California Hwy Patrol [1] | – | – | 92.0 | 3,692–4,488 | – | 5,202 |
| Acctg Ofcr, Spec | – | – | 1.0 | 3,418–4,115 | – | 45 |
| Motor Carrier Spec I | – | – | 16.0 | 3,588–4,113 | – | 723 |
| Auto Techn I | – | – | 1.0 | 2,617–3,119 | – | 34 |
| Acctg Techn | – | – | 0.5 | 2,348–2,855 | – | 16 |
| Overtime | – | – | – | – | – | 793 |
| Totals, Proposed New Positions | – | – | 111.5 | – | – | $6,863 |
| Total Adjustments | – | −28.0 | 83.5 | – | −$806 | $6,127 |
| TOTALS, SALARIES AND WAGES | 9,833.6 | 10,334.0 | 10,430.8 | $588,229 | $623,160 | $635,291 |

[1] 6.0 positions effective through 6/30/02.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **50 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| **50.15 WILLOWS** | | | |
| 50.15.115 Building Alterations | $174 PWs | $1,299 Cs | – |
| **50.16 WILLIAMS** | | | |
| 50.16.106 Replacement Facility B | – | 818 APs | $3,174 WCs |
| Provides for the construction of a 10,416 square foot area office replacement facility. | | | |
| **50.20 SOUTH SACRAMENTO** | | | |
| 50.20.200 Building Alterations | 202 PWs | 1,459 Cs | – |
| **50.21 SOUTH LAKE TAHOE** | | | |
| 50.21.207 New Facility | 271 APs | 2,372 WCs | – |
| **50.29 SACRAMENTO TRAFFIC MANAGEMENT CENTER** | | | |
| 50.29.209 Equipment for Sacramento Traffic Management Center | 627 Es | – | – |
| **50.55 EAST LOS ANGELES** | | | |
| 50.55.505 Purchase of Leased Facility | 7,435 Ls | – | – |
| **50.56 LOS ANGELES REGIONAL TRANSPORTATION MANAGEMENT CENTER** | | | |
| 50.56.506 Equipment for Transportation Management Center | 1,800 Es | 3,007 Es | – |
| **50.57 SANTA FE SPRINGS** | | | |
| 50.57.507 Replacement Facility | – | – | 1,985 APs |
| Provides for the construction of a 20,341 square foot area office replacement facility | | | |
| **50.61 SAN GORGONIO PASS** | | | |
| 50.61.610 Purchase of Leased Facility | 3,577 Ls | – | – |
| **50.62 SAN DIEGO** | | | |
| 50.62.602 Building Alterations | – | – | 174 PWs |
| **50.69 EL CAJON** | | | |
| 50.69.609 Building Alterations | 446 PWs | 1,020 Cs | – |
| **50.73 MONTEREY** | | | |
| 50.73.703 New Facility | 362 SPs | 1,493 AWs | 4,241 Cs |
| **50.90 STATEWIDE** | | | |
| 50.90.900 Property Options and Appraisals | 3 | 20 | 20 |
| 50.90.901 Studies, Preplanning and Budget Packages | 40 | 100 | 100 |
| Totals, Major Projects | $14,937 | $11,588 | $9,694 |
| **Minor Projects** | | | |
| 50.01.001 Minor Projects | $1,000 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY | $15,937 | $11,588 | $9,694 |

*** Dollars in thousands, except in Salary Range.**

## 2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| *0042 State Highway Account* | *$1,800* | *$3,007* | – |
| *0044 Motor Vehicle Account, State Transportation Fund* | *3,125* | *8,581* | *$9,694* |
| *0660 Public Buildings Construction Fund* | *11,012* | – | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0042 State Highway Account, State Transportation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $4,807 | – | – |
| Prior year balances available: | | | |
| Item 2720-301-0042, Budget Act of 1999 | – | $3,007 | – |
| Totals Available | $4,807 | $3,007 | – |
| Balance available in subsequent years | −3,007 | – | – |
| TOTALS, EXPENDITURES | $1,800 | $3,007 | – |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $5,833 | $7,393 | $9,694 |
| Prior year balances available: | | | |
| Item 2720-301-0044, Budget Act of 1997 | 689 | 62 | – |
| Item 2720-301-0044, Budget Act of 1999 | – | 1,188 | – |
| Transfers to and from Government Code Section 16351.5 and 16352 | – | −62 | – |
| Totals Available | $6,522 | $8,581 | $9,694 |
| Balance available in subsequent years | −1,250 | – | – |
| Unexpended balance, estimated savings | −2,147 | – | – |
| TOTALS, EXPENDITURES | $3,125 | $8,581 | $9,694 |

### 0660 Public Buildings Construction Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $11,214 | – | – |
| Prior year balances available: | | | |
| Item 2720-301-0660, Budget Act of 1999 | – | $202 | – |
| Transfers to and from Government Code Section 16351.5 and 16352 | – | −202 | – |
| Totals Available | $11,214 | – | – |
| Balance available in subsequent years | −202 | – | – |
| TOTALS, EXPENDITURES | $11,012 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $15,937 | $11,588 | $9,694 |

# 2740 DEPARTMENT OF MOTOR VEHICLES

The Department of Motor Vehicles' objectives are: (1) to protect the public interest in vehicle and vessel ownership, to provide various revenue collection services for state and local agencies and to provide miscellaneous registration-related services through the vehicle and vessel registration and titling process; (2) to promote highway safety and financial responsibility by regulating the issuance and retention of driver licenses and to provide personal identification services to drivers and nondrivers; and (3) to provide public protection by licensing and regulating occupations and businesses related to the manufacture, transport, sale and disposal of vehicles and occupations and businesses related to the instruction of drivers in the safe operation of vehicles on the highways.

## Authority

Vehicle Code, Division 2, Chapters 1 and 6.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 11 Vehicle / Vessel Identification and Compliance | 4,204.8 | 4,443.3 | 4,286.8 | $342,298 | $380,371 | $385,216 |
| 22 Driver Licensing and Personal Identification | 2,145.3 | 2,168.3 | 2,200.6 | 165,358 | 164,907 | 173,247 |
| 25 Driver Safety | 1,201.4 | 1,177.9 | 1,193.7 | 75,031 | 81,179 | 84,768 |
| 32 Occupational Licensing and Investigative Services | 429.1 | 480.7 | 487.3 | 32,623 | 34,061 | 35,784 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 35 New Motor Vehicle Board | 22.8 | 22.8 | 22.8 | $1,365 | $1,598 | $1,615 |
| 41 Administration | 600.7 | 624.1 | 639.5 | 73,049 | 76,923 | 80,975 |
| Distributed Administration | – | – | – | –73,049 | –76,923 | –80,975 |
| TOTALS, PROGRAMS | 8,604.1 | 8,917.1 | 8,830.7 | $616,675 | $662,116 | $680,630 |
| *0001 General Fund* | | | | *4,082* | *16,394* | *5,771* |
| *0042 State Highway Account, State Transportation Fund* | | | | *37,429* | *39,814* | *42,025* |
| *0044 Motor Vehicle Account, State Transportation Fund* | | | | *320,575* | *337,392* | *343,721* |
| *0054 New Motor Vehicle Board Account* | | | | *1,365* | *1,598* | *1,615* |
| *0064 Motor Vehicle License Fee Account, Transportation Tax Fund* | | | | *234,558* | *250,911* | *268,841* |
| *0292 Motor Carriers Permit Fund* | | | | *3,016* | *2,661* | *2,660* |
| *0516 Harbors and Watercraft Revolving Fund* | | | | *3,901* | *1,787* | *4,685* |
| *0890 Federal Trust Fund* | | | | *10* | *–* | *–* |
| *0995 Reimbursements* | | | | *11,739* | *11,559* | *11,312* |

## 11 VEHICLE / VESSEL IDENTIFICATION AND COMPLIANCE

### Program Objectives Statement

This program establishes identification and ownership of vehicles and vessels of California residents, assures compliance with various related laws, collects revenue for various state and local government programs, and provides information from vehicle and vessel records. Consistent with these objectives, the department participates in the International Registration Plan that provides for the proration of commercial vehicle fees to the member states and provinces of Canada.

### Major Budget Adjustments Included in 2000–01

- An increase of $3,384,000 and 48.2 personnel years to implement Chapter 861, Statutes of 2000, which modified commercial vehicle fees to conform to the International Registration Plan by eliminating weight fees on trailers and leasing fees on motor units on gross vehicle weight (maximum allowed loading) instead of unloaded weight.
- An increase of $9,372,000 and 69.4 personnel years for the vehicle license fee rebates required by Chapter 455, Statutes of 2000.
- A carryover of funds from Chapter 31, Statutes of 2000, of $6,962,000 (an increase of $2,272,000 and 32.2 personnel years) to complete the rebate of smog fees.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $7,643,000 for program maintenance to compensate for cost increases.
- An increase of $4,569,000 for the third year costs of replacing the department's current accounting system.
- An increase of $2,775,000 to fund increasing workers' compensation costs.
- An increase of $192,000 for the Enterprise Alternative Procurement for independent project oversight of the legacy data processing systems replacement project.
- An increase of $7,476,000 and 15.6 personnel years for driver's license fraud prevention and investigation.
- An increase of $5,711,000 and 77.1 personnel years to implement Chapter 455, Statutes of 2000 which requires the department to calculate a vehicle license fee rebate for each fee with a final due date in calendar years 2001 and 2002.
- An increase of $3,996,000 and 26.1 personnel years related to Chapter 861, Statutes of 2000 (SB 2084) which will establish a new method of registering California's commercial vehicles.

### Authority

Vehicle Code, Division 3; 3.5; 16.5, Chapter 1 and 2; and 16.7. Revenue and Tax Code, Division 2, Part 5.

## 22 DRIVER LICENSING AND PERSONAL IDENTIFICATION

### Program Objectives Statement

This program issues identifying documentation to individuals who are eligible drivers and personal identification to other individuals. Activities in this program include application review, photography, fees collection and response to information requests. The program also promotes the financial responsibility of vehicle owners and operators.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $3,413,000 and 7.9 personnel years for driver's license fraud prevention and investigation.
- An increase of $3,489,000 for program maintenance to compensate for cost increases.
- An increase of $2,086,000 for the third year costs of replacing the department's current accounting system.
- An increase of $1,267,000 to fund increasing worker's compensation costs.
- An increase of $87,000 for the Enterprise Alterative Procurement for independent project oversight of the legacy data processing systems replacement project.

### Authority

Vehicle Code, Divisions 6; 7; and 10, Sections 20012 and 20014.

## 25 DRIVER SAFETY

### Program Objectives Statement

This program promotes highway safety by screening driver license applicants for driving competency, and regulation, control and improvement of drivers who become safety risks. Driver license applicants are required to demonstrate: (1) knowledge and understanding of safe driving principles and the laws governing the operation of vehicles on the highways; (2) the skill to drive within reasonably safe standards; and (3) adequate and/or compensating vision efficiency for the safe operation of vehicles. Driver control programs are maintained at hearing points throughout the state and include both those in which driver control actions are mandated by statute and in which action is determined administratively.

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

### Major Budget Adjustments Proposed for 2001–02

- An increase of $1,724,000 for program maintenance to compensate for cost increases.
- An increase of $1,030,000 for the third year costs of replacing the department's current accounting system.
- An increase of $626,000 for workers' compensation staff benefits.
- An increase of $43,000 for the Enterprise Alternative Procurement for independent project oversight of the legacy data processing systems replacement project.
- An increase of $1,686,000 and 4.0 personnel years for driver's license fraud prevention and investigation.

### Authority

Vehicle Code, Divisions 6; 7; and 10, Sections 20012 and 20014.

## 32 OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES

### Program Objectives Statement

This program provides consumer protection by licensing and regulating principal segments of motor vehicle-related businesses and enforcing laws within the department's jurisdiction.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $720,000 for program maintenance to compensate for cost increases.
- An increase of $430,000 for the third year costs of replacing the department's current accounting system.
- An increase of $261,000 for workers' compensation staff benefits.
- An increase of $18,000 for the Enterprise Alternative Procurement for independent project oversight of the legacy data processing systems replacement project.
- An increase of $704,000 and 1.6 personnel years for driver's license fraud prevention and investigation.

### Authority

Vehicle Code, Division 5.

## 35 NEW MOTOR VEHICLE BOARD

### Program Objectives Statement

The primary objective of this program is (1) to prohibit manufacturers from adding, withdrawing or relocating, automobile dealerships in market areas of existing franchisees, where such effect would be injurious to the existing franchisees and to the public interest, and (2) to protect members of the public from the activities of dishonest or unqualified motor vehicle licensees.

The New Motor Vehicle Board is a quasi-judicial tribunal that adjudicates disputes that arise between new motor vehicle franchisees and their respective franchisors concerning rights or obligations afforded by statute or by virtue of the franchise relationship between the parties. The Board hears and considers: protests filed by new motor vehicle dealers against the proposed termination or modification of, or refusal to continue, the franchise; protests on the proposed establishment or relocation of dealerships; disputes concerning dealer delivery preparation obligations and the compensation thereof; and disputes which arise as a result of the warranty relationship which exists between the dealers and their respective franchisors. The Board also hears and considers virtually every other type of dispute arising between a dealer and manufacturer or distributor concerning the franchise relationship. Further, the Board hears appeals on final decisions of the Director of the Department of Motor Vehicles. Finally, the Board mediates disputes that arise between consumers of new motor vehicles and the dealers and/or manufacturers or distributors from which the vehicles are acquired.

## 41 ADMINISTRATION

### Major Budget Adjustment Included in 2000–01

- An increase of $207,000 to implement Chapter 861, Statutes of 2000, which modified commercial vehicle registration and fees.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $974,000 for the third year costs of replacing the department's current accounting system.
- An increase of $591,000 for workers' compensation staff benefits.
- An increase of $41,000 for the Enterprise Alternative Procurement for independent project oversight of the legacy data processing sysetms replacement project.
- An increase of $72,000 for janitorial cost increases.
- An increase of $1,594,000 and 2.2 personnel years for driver's license fraud prevention and investigation.
- An increase of $336,000 and 4.8 personnel years to implement Chapter 455, Statutes of 2000 which requires the department to calculate a vehicle license fee rebate for each fee with a final due date in calendar years 2001 and 2002.
- An increase of $235,000 and 1.6 personnel years related to Chapter 861, Statutes of 2000 which will establish a new method of registering California's commercial vehicles.

### Authority

Vehicle Code, Division 2, Chapter 6.

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**
**11 VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE**

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$4,022* | *$16,334* | *–* |
| *0042 State Highway Account, State Transportation Fund* | *37,429* | *39,814* | *$42,025* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *50,285* | *59,612* | *57,421* |
| *0064 Motor Vehicle License Fee Account, Transportation Tax Fund* | *234,558* | *250,911* | *268,841* |
| *0292 Motor Carriers Permit Fund* | *3,016* | *2,661* | *2,660* |
| *0516 Harbors and Watercraft Revolving Fund* | *3,901* | *1,787* | *4,685* |
| *0995 Reimbursements* | *9,087* | *9,252* | *9,584* |
| Totals, State Operations | $342,298 | $380,371 | $385,216 |

**PROGRAM REQUIREMENTS**
**22 DRIVER LICENSING AND PERSONAL IDENTIFICATION**

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$60* | *$60* | *$5,771* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *163,611* | *163,045* | *165,770* |
| *0890 Federal Trust Fund* | *10* | *–* | *–* |
| *0995 Reimbursements* | *1,677* | *1,802* | *1,706* |
| Totals, State Operations | $165,358 | $164,907 | $173,247 |

**PROGRAM REQUIREMENTS**
**25 DRIVER SAFETY**

| State Operations: | | | |
|---|---|---|---|
| *0044 Motor Vehicle Account, State Transportation Fund* | *$74,207* | *$80,753* | *$84,752* |
| *0995 Reimbursements* | *824* | *426* | *16* |
| Totals, State Operations | $75,031 | $81,179 | $84,768 |

**PROGRAM REQUIREMENTS**
**32 OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES**

| State Operations: | | | |
|---|---|---|---|
| *0044 Motor Vehicle Account, State Transportation Fund* | *$32,472* | *$33,982* | *$35,778* |
| *0995 Reimbursements* | *151* | *79* | *6* |
| Totals, State Operations | $32,623 | $34,061 | $35,784 |

**PROGRAM REQUIREMENTS**
**35 NEW MOTOR VEHICLE BOARD**

| State Operations: | | | |
|---|---|---|---|
| *0054 New Motor Vehicle Board Account* | *$1,365* | *$1,598* | *$1,615* |
| Totals, State Operations | $1,365 | $1,598 | $1,615 |
| TOTALS, EXPENDITURES | $616,675 | $662,116 | $680,630 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 8,604.1 | 9,234.4 | 9,153.4 | $325,497 | $356,282 | $361,333 |
| Total Adjustments | – | 154.8 | 148.1 | – | 4,582 | 6,151 |
| Estimated Salary Savings | – | –472.1 | –470.8 | – | –17,370 | –17,300 |
| Net Totals, Salaries and Wages | 8,604.1 | 8,917.1 | 8,830.7 | $325,497 | $343,494 | $350,184 |
| Staff Benefits | – | – | – | 80,010 | 79,088 | 82,259 |
| Totals, Personal Services | 8,604.1 | 8,917.1 | 8,830.7 | $405,507 | $422,582 | $432,443 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $211,252 | $239,536 | $248,188 |
| SPECIAL ITEMS OF EXPENSE | | | | –84 | –2 | –1 |
| TOTALS, EXPENDITURES | | | | $211,168 | $239,534 | $248,187 |
| NET TOTALS, EXPENDITURES | | | | $616,675 | $662,116 | $680,630 |

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $60 | $60 | $5,771 |
| Allocation for contingencies or emergencies | – | 9,372 | – |
| Chapter 31, Statutes of 2000 | 10,984 | – | – |
| Prior year balances available: | | | |
| Chapter 31, Statutes of 2000 | – | 6,962 | – |
| Totals Available | $11,044 | $16,394 | $5,771 |
| Balance available in subsequent years | −6,962 | – | – |
| TOTALS, EXPENDITURES | $4,082 | $16,394 | $5,771 |

### 0042 State Highway Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $35,677 | $39,782 | $42,025 |
| Allocation for employee compensation | 1,990 | 228 | – |
| Allocation for contingencies or emergencies | 731 | – | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 161 | – | – |
| Adjustment per Section 3.60 | −1,148 | −197 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 1 | – |
| Adjustment per Section 16.00 | 18 | – | – |
| TOTALS, EXPENDITURES | $37,429 | $39,814 | $42,025 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $311,107 | $333,726 | $343,585 |
| 011 Budget Act appropriation (deficiencies) | (1,000) | (1,000) | (1,000) |
| Allocation for employee compensation | 17,001 | 1,926 | – |
| Allocation for contingencies or emergencies | 990 | – | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 1,396 | – | – |
| Adjustment per Section 3.60 | −9,958 | −1,649 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 5 | – |
| Adjustment per Section 16.00 | 159 | – | – |
| Transfer to Legislative Claims (9670) | −7 | −1 | – |
| Chapter 861, Satutes of 2000 | – | 3,521 | – |
| Prior year balances available: | | | |
| Chapter 861, Statutes of 2000 | – | – | 136 |
| Totals Available | $320,688 | $337,528 | $343,721 |
| Balance available in subsequent years | – | −136 | – |
| Unexpended balance, estimated savings | −113 | – | – |
| TOTALS, EXPENDITURES | $320,575 | $337,392 | $343,721 |

### 0054 New Motor Vehicle Board Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,499 | $1,606 | $1,615 |
| Allocation for employee compensation | 93 | – | – |
| Adjustment per Section 3.60 | −94 | −8 | – |
| Totals Available | $1,498 | $1,598 | $1,615 |
| Unexpended balance, estimated savings | −133 | – | – |
| TOTALS, EXPENDITURES | $1,365 | $1,598 | $1,615 |

### 0064 Motor Vehicle License Fee Account, Transportation Tax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $223,546 | $250,710 | $268,841 |
| Allocation for employee compensation | 12,463 | 1,436 | – |
| Allocation for contingencies or emergencies | 4,583 | – | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 1,004 | – | – |
| Adjustment per Section 3.60 | −7,153 | −1,239 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 4 | – |
| Adjustment per Section 16.00 | 115 | – | – |
| TOTALS, EXPENDITURES | $234,558 | $250,911 | $268,841 |

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

### 0292 Motor Carriers Permit Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act Appropriation | $2,865 | $2,658 | $2,660 |
| Allocation for employee compensation | 245 | 16 | – |
| Adjustment per Section 3.60 | –94 | –13 | – |
| TOTALS, EXPENDITURES | $3,016 | $2,661 | $2,660 |

### 0516 Harbors and Watercraft Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,754 | $1,786 | $4,685 |
| Allocation for employee compensation | 260 | 10 | – |
| Adjustment per Section 3.60 | –113 | –9 | – |
| TOTALS, EXPENDITURES | $3,901 | $1,787 | $4,685 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| Budget Adjustment | $10 | – | – |
| Totals, Expenditures | $10 | – | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $11,739 | $11,559 | $11,312 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $616,675 | $662,116 | $680,630 |

---

### FUND CONDITION STATEMENT

### 0044 Motor Vehicle Account, State Transportation Fund [s 1]

| | | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|
| BEGINNING BALANCE | | $275,920 | $299,414 | $312,265 |
| Prior year adjustments | | –6,114 | – | – |
| Balance, Adjusted | | $269,806 | $299,414 | $312,265 |
| REVENUES AND TRANSFERS | | | | |
| Revenues: | | | | |
| 114100 | Motor vehicle registration (and other fees) | 980,687 | 1,008,000 | 991,000 |
| 114200 | Driver's license fees | 139,560 | 141,691 | 98,026 |
| 114300 | Other motor vehicle fees | 42,097 | 46,166 | 48,951 |
| 114400 | Identification card fees | 7,640 | 7,969 | 8,312 |
| 120900 | Off-highway vehicle fees (registration and other fees) | 2,451 | 2,524 | 2,600 |
| 125700 | Other regulatory licenses and permits | 13,435 | 13,500 | 13,500 |
| 142500 | Miscellaneous services to the public (sale of information) | 57,041 | 56,305 | 55,579 |
| 150300 | Income from surplus money investments | 18,627 | 15,919 | 13,989 |
| 161400 | Miscellaneous revenue | 14,624 | 14,482 | 14,786 |
| Totals, Revenues | | $1,276,162 | $1,306,556 | $1,246,743 |
| Transfers from Other Funds: | | | | |
| F00001 | General Fund per *PECG* vs *Wilson II* Judgment | 130,000 | – | – |
| F00140 | California Environmental License Plate Fund per Section 21191(b), Public Resources Code | 3,890 | 3,890 | 3,890 |
| Totals, Transfers from Other Funds | | $133,890 | $3,890 | $3,890 |
| Transfers to Other Funds: | | | | |
| T00001 | General Fund per Chapter 85, Statutes of 1999 (*PECG* vs *Wilson II*) | –162,931 | – | – |
| T00001 | General Fund Transfer GC Section 16475 | –91 | –62 | –41 |
| T00042 | State Highway Account, SMIF INT Transfer GL 16475 | –3,048 | –3,050 | –3,050 |
| T00064 | Vehicle License Fee Account, SMIF Interest Transfer GL Code 16475 | –10,175 | –7,219 | –5,552 |
| T00292 | Motor Carrier Permit, SMIF Interest Transfer GL Code 16475 | –46 | –43 | –43 |
| T00840 | Calif Motorcycle Safety Fund, SMIF Interest Transfer GL 16475 | –4 | –4 | –4 |
| T00140 | Environmental License Plate Fund, SMIF Interest Transfer GL 16475 | –124 | –124 | –124 |
| T00263 | Off Highway Vehicle Trust, SMIF Interest Transfer GL 16475 | –7 | –7 | –7 |
| T00261 | Off Highway License Fee, SMIF Interest Transfer GL 16475 | –3 | –3 | –3 |
| Totals, Transfers to Other Funds | | –$176,429 | –$10,512 | –$8,824 |
| Totals, Revenues and Transfers | | $1,233,623 | $1,299,934 | $1,241,809 |
| Totals, Resources | | $1,503,429 | $1,599,348 | $1,554,074 |

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0250 Judicial Council (State Operations) | $97 | $136 | $134 |
| 0520 Secretary, Business, Transportation and Housing (2030) (State Operations) | 638 | 962 | 952 |
| 0555 Secretary for Environmental Protection (3895) (State Operations) | 1,027 | 496 | 534 |
| 0820 Department of Justice (State Operations) | 18,413 | 18,819 | 19,255 |
| 1730 Franchise Tax Board (State Operations) | 1,720 | 2,903 | 1,771 |
| 2700 Office of Traffic Safety (State Operations) | 326 | 360 | 371 |
| 2720 Department of the California Highway Patrol: | | | |
| State Operations | 791,006 | 863,256 | 889,017 |
| Capital Outlay | 3,125 | 8,581 | 9,694 |
| 2740 Department of Motor Vehicles: | | | |
| State Operations | 320,575 | 337,392 | 343,721 |
| Capital Outlay | 4,647 | 10,587 | 5,475 |
| 3360 State Energy Resources Conservation and Development Commission (State Operations) | 117 | 119 | 120 |
| 3900 Air Resources Board: | | | |
| State Operations | 52,969 | 58,153 | 59,053 |
| Local Assistance | 7,511 | 15,111 | 15,111 |
| 4260 Department of Health Services (State Operations) | 811 | 831 | 945 |
| 9670 Legislative Claims, State Board of Control (State Operations) | 1,033 | 2,892 | – |
| Totals, Disbursements | $1,204,015 | $1,320,598 | $1,346,153 |
| Expenditure Reduction: | | | |
| 2720 California Highway Patrol (State Operations): | | | |
| Less funding provided by the General Fund | – | −33,515 | −29,719 |
| Totals, Expenditures | $1,204,015 | $1,287,080 | $1,316,434 |
| FUND BALANCE | $299,414 | $312,265 | $237,640 |
| Reserve for economic uncertainties | 299,414 | 312,265 | 237,640 |

### 0054 New Motor Vehicle Board Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,947 | $1,987 | $1,664 |
| Prior year adjustments | −21 | – | – |
| Balance, Adjusted | $2,926 | $1,987 | $1,664 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 121300 New motor vehicle dealer license fee | 394 | 1,245 | 1,450 |
| 142500 Miscellaneous services to the public | 29 | 30 | 37 |
| 161400 Miscellaneous revenue | 3 | – | – |
| Totals, Revenues | $426 | $1,275 | $1,487 |
| Totals, Resources | $3,352 | $3,262 | $3,151 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2740 Department of Motor Vehicles (State Operations) | 1,365 | 1,598 | 1,615 |
| FUND BALANCE | $1,987 | $1,664 | $1,536 |
| Reserve for economic uncertainties | 1,987 | 1,664 | 1,536 |

### 0064 Motor Vehicle License Fee Account, Transportation Tax Fund [s 1]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| Prior year adjustments | $19,054 | – | – |
| Balance, Adjusted | $19,054 | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 113600 Motor vehicle license (in-lieu) fees | 2,482,125 | $2,415,460 | $2,615,450 |
| 150300 Income from surplus money investments | 11,953 | 11,953 | 16,953 |
| Totals, Revenues | $2,494,078 | $2,427,413 | $2,632,403 |

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Transfers: | | | |
| Transfers From: | | | |
| F00044 Motor Vehicle Account, SHA SMIF Interest Transferred Gov't Code Section 16475 | $10,175 | $7,219 | $5,552 |
| Totals, Transfers from Other Funds | $10,175 | $7,219 | $5,552 |
| Total, Revenues and Transfers | $2,504,253 | $2,434,632 | $2,637,955 |
| Totals, Resources | $2,523,307 | $2,434,632 | $2,637,955 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | 3,232 | 5,456 | 3,359 |
| 2740 Department of Motor Vehicles: | | | |
| State Operations | 234,558 | 250,911 | 268,841 |
| Capital Outlay | 2,801 | 7,190 | 4,004 |
| 9670 Legislative Claims, State Board of Control (State Operations) | – | – | – |
| Totals, Disbursements | $240,591 | $263,557 | $276,204 |
| Apportionments: | | | |
| 9430 Shared Revenues (Local Assistance) to cities and counties | 3,283,574 | 3,577,672 | 3,812,072 |
| Expenditure Reduction: | | | |
| 9430 Shared Revenue (Local Assistance): | | | |
| Less funding provided by the General Fund | –1,000,858 | –1,406,597 | –1,450,321 |
| Net Totals, Disbursements (Local Assistance—Shared Revenues) | $2,282,716 | $2,171,075 | $2,361,751 |
| Totals, Expenditures | $2,523,307 | $2,434,632 | $2,637,955 |
| FUND BALANCE | – | – | – |

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

### 0292 Motor Carriers Permit Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $6,872 | $7,388 | $8,242 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 8,862 | 9,771 | 10,680 |
| 150300 Income from surplus money investments | 437 | 437 | 437 |
| Totals, Revenues | $9,299 | $10,208 | $11,117 |
| Transfers from Other Funds: | | | |
| F00044 Motor Vehicle Account,SHA SMIF Interest Transfer Government Code 16475 | 46 | 43 | 43 |
| Totals, Transfers from Other Funds | $46 | $43 | $43 |
| Transfers to Other Funds: | | | |
| T00001 General Fund (Uniform Business License) per Revenue and Taxation Code Section 7236 | –3,597 | –3,597 | –3,597 |
| T00293 Motor Carrier Safety Improvement Fund per Revenue and Taxation Code Section 7236 | –661 | –1,569 | –2,479 |
| Totals, Transfer to Other Funds | –$4,258 | –$5,166 | –$6,076 |
| Totals, Revenues and Transfers | $5,087 | $5,085 | $5,084 |
| Totals, Resources | $11,959 | $12,473 | $13,326 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2720 California Highway Patrol Safety Enforcement Program (State Operations) | 1,555 | 1,570 | 1,592 |
| 2740 Department of Motor Vehicles (State Operations) | 3,016 | 2,661 | 2,660 |
| Totals, Expenditures | $4,571 | $4,231 | $4,252 |
| FUND BALANCE | $7,388 | $8,242 | $9,074 |
| Reserve for economic uncertainties | 7,388 | 8,242 | 9,074 |

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

| 0487 Financial Responsibility Penalty Account [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $2,827 | $2,569 | $2,569 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 164100 Traffic violations | 2,542 | 2,542 | 2,542 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Vehicle Code Section 16072(c) | −2,800 | −2,542 | −2,542 |
| Totals, Revenues and Transfers | −$258 | $0 | $0 |
| Totals, Resources | $2,569 | $2,569 | $2,569 |
| FUND BALANCE | $2,569 | $2,569 | $2,569 |
| Reserve for economic uncertainties | 2,569 | 2,569 | 2,569 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 8,604.1 | 9,234.4 | 9,153.4 | $325,497 | $356,282 | $361,333 |
| Salary adjustments | – | – | – | – | 171 | 143 |
| Totals, Adjusted Authorized Positions | 8,604.1 | 9,234.4 | 9,153.4 | $325,497 | $356,453 | $361,476 |
| Workload and Administrative Adjustments: | | | | | | |
| Reductions in Authorized Positions: | | | | | | |
| Administrative Services Division: | | | | | | |
| Departmental Training Branch: | | | | Salary Range | | |
| Temporary Help | – | −0.3 | – | – | −10 | – |
| Financial Services Branch: | | | | | | |
| Temporary Help | – | – | – | – | −5 | – |
| Facilities Operations/Management Support Branch: | | | | | | |
| Temporary Help | – | – | – | – | −16 | – |
| Human Resources Branch: | | | | | | |
| Temporary Help | – | −0.3 | – | – | −9 | – |
| Business and Administrative Support Branch: | | | | | | |
| Temporary Help | – | −0.4 | – | – | −16 | – |
| Communication Program Division: | | | | | | |
| Customer Information Branch: | | | | | | |
| Overtime | – | – | – | – | −29 | – |
| Licensing Operations Division: | | | | | | |
| Driver License Operations Branch: | | | | | | |
| Temporary Help | – | −0.3 | – | – | −9 | – |
| Registration Operations Division: | | | | | | |
| Registration Policy/Automation Branch: | | | | | | |
| Motor Vehicle Techn | – | – | −1.0 | 2,180–2,855 | – | −25 |
| Registration Services Branch: | | | | | | |
| Motor Vehicle Techn | – | – | −1.0 | 2,180–2,855 | – | −25 |
| Temporary Help | – | −16.5 | – | – | −592 | – |
| Totals | – | −17.8 | −2.0 | – | −$686 | −$50 |
| Field Operations Division: | | | | | | |
| General Administration: | | | | | | |
| Motor Vehicle Fld Rep (B) | – | – | −22.0 | 2,029–2,855 | – | −576 |
| Temporary Help | – | – | – | – | −10 | – |
| Totals | – | – | −22.0 | – | −$10 | −$576 |
| Information System Division: | | | | | | |
| Management Information Systems Branch: | | | | | | |
| Miscellaneous | – | −6.7 | – | – | −352 | – |
| Totals, Workload and Administrative Adjustments | – | −24.5 | −24.0 | – | −$1,048 | −$626 |
| Proposed New Positions: | | | | | | |
| Investigations and Audits Division: | | | | | | |
| Investigations Branch: | | | | | | |
| Investigator, DMV | – | – | 12.0 | 3,307–4,999 | – | 511 |
| Administrative Services Division: | | | | | | |
| Financial Services Branch: | | | | | | |
| Assoc Admin Analyst [1, 15] | – | 1.0 | 2.0 | 4,110–4,997 | 49 | 111 |
| Acctg Ofcr [2, 16] | – | 0.5 | 3.0 | 3,418–4,155 | 21 | 138 |
| Accountant Trainee [17, 18] | – | – | 1.0 | 2,883–3,338 | – | 37 |
| Acctg Techn [19] | – | – | 2.0 | 2,348–2,855 | – | 62 |

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Key Data Opr [16, 20, 21] | – | – | 4.0 | $1,916–2,648 | – | $97 |
| Temporary Help [22, 23, 24] | – | 5.8 | 1.0 | – | $203 | 46 |
| Overtime | – | – | – | – | 45 | 88 |
| Miscellaneous | – | – | – | – | – | 254 |
| Totals | – | 7.3 | 13.0 | – | $318 | $833 |
| Facilities Operations/Management Support Branch: | | | | | | |
| Temporary Help | – | 0.9 | – | – | – | – |
| Human Resources Branch: | | | | | | |
| Temporary Help [13, 14] | – | 0.4 | 0.7 | – | 13 | 24 |
| Communication Program Division: | | | | | | |
| Customer Information Branch: | | | | | | |
| Temporary Help | – | 0.1 | – | – | 3 | – |
| Overtime | – | – | – | – | – | 3 |
| Totals | – | 0.1 | – | – | $3 | $3 |
| Industry Operations Division: | | | | | | |
| International Registration Plan: | | | | | | |
| Assoc Govtl Prog Analyst [5] | – | 0.5 | 0.5 | 3,915–4,759 | 23 | 27 |
| Mgr I [15, 25] | – | – | 1.8 | 2,970–3,609 | – | 72 |
| Sr Motor Vehicle Techn [20, 28] | – | – | 3.4 | 2,626–3,193 | – | 118 |
| Motor Vehicle Techn (B) [3, 4, 7, 26, 27] | – | 14.5 | 50.6 | 2,348–2,855 | 409 | 1,599 |
| Ofc Techn [6] | – | 0.5 | – | 2,348–2,855 | 14 | – |
| Temporary Help [8, 9, 14, 15, 29, 30] | – | 34.7 | 1.9 | – | 1,121 | 72 |
| Overtime | – | – | – | – | 1 | 49 |
| Totals | – | 50.2 | 58.2 | – | $1,568 | $1,937 |
| Registration Operations Division: | | | | | | |
| Registration Policy/Automation Branch: | | | | | | |
| Mgr III [10] | – | 1.5 | 1.5 | 3,917–4,761 | 71 | 81 |
| Temporary Help [34] | – | 1.1 | – | – | 39 | – |
| Overtime | – | – | – | – | – | 67 |
| Totals | – | 2.6 | 1.5 | – | $110 | $148 |
| Registration Services Branch: | | | | | | |
| Mgr III [17] | – | – | 1.0 | 3,917–4,761 | – | 52 |
| Motor Vehicle Techn (B) [35, 36] | – | – | 20.0 | 2,348–2,855 | – | 624 |
| Ofc Asst (B) [1, 16, 37] | – | 0.5 | 6.0 | 2,029–2,465 | 12 | 152 |
| Temporary Help [38, 39] | – | 20.3 | 1.2 | – | 602 | 35 |
| Overtime | – | – | – | – | – | 23 |
| Miscellaneous | – | 57.2 | – | – | 1,343 | – |
| Totals | – | 78.0 | 28.2 | – | $1,957 | $886 |
| Field Operations Division: | | | | | | |
| General Administration: | | | | | | |
| Motor Vehicle Fld Rep (B) [1, 11] | – | 25.5 | 47.0 | 2,180–2,855 | 667 | 1,385 |
| Overtime | – | – | – | – | – | 13 |
| Temporary Help | – | 0.1 | – | – | – | – |
| Totals | – | 25.6 | 47.0 | – | $667 | $1,398 |
| Information Systems Division: | | | | | | |
| Management Information Systems Branch: | | | | | | |
| Sys Software Spec II [15] | – | – | 1.0 | 4,949–6,015 | – | 66 |
| Staff Info Sys Analyst [12, 15] | – | 3.5 | 4.5 | 4,507–5,480 | 189 | 277 |
| Staff Programmer Analyst [15] | – | – | 1.0 | 4,507–5,480 | – | 60 |
| Temporary Help [31] | – | 8.2 | – | – | 477 | – |
| Overtime | – | – | – | – | 20 | 55 |
| Miscellaneous | – | – | – | – | – | 163 |
| Totals | – | 11.7 | 6.5 | – | $686 | $621 |
| Customer Services Branch: | | | | | | |
| Assoc Info Sys Analyst [32] | – | – | 5.0 | 4,110–4,997 | – | 273 |
| Temporary Help [33] | – | 2.5 | – | – | 137 | – |
| Totals | – | 2.5 | 5.0 | – | $137 | $273 |
| Totals, Proposed New Positions | – | 179.3 | 172.1 | – | $5,459 | $6,634 |
| Totals, Adjustments | – | 154.8 | 148.1 | – | $4,582 | $6,151 |
| TOTALS, SALARIES AND WAGES | 8,604.1 | 9,389.2 | 9,301.5 | $325,497 | $360,864 | $367,484 |

[1] 2.0 positions effective 1/1/01; limited-term 6/30/02.
[2] 1.0 position effective 1/1/01.
[3] 11.0 positions effective 1/1/01; limited-term 6/30/01.
[4] 5.0 positions effective 1/1/01.

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

[5] 1.0 position effective 1/1/01; limited-term 12/31/01.
[6] 1.0 position effective 1/1/01; limited-term 6/30/01.
[7] 13.0 positions effective 1/1/01; limited-term 6/30/02.
[8] 1.8 positions effective 1/1/01; limited-term 6/30/01.
[9] 0.2 position effective 1/1/01; limited-term 6/30/02.
[10] 3.0 positions effective 1/1/01; limited-term 12/31/01.
[11] 50.0 positions effective 1/1/01; limited-term 12/31/01.
[12] 7.0 positions effective 1/1/01; limited-term 12/31/01.
[13] 0.6 position limited-term 6/30/03.
[14] 0.1 position limited-term 6/30/02.
[15] 1.0 position limited-term 6/30/05.
[16] 2.0 positions limited-term 6/30/03.
[17] 1.0 position limited-term 6/30/03.
[18] 0.5 position effective 7/1/02; limited-term 6/30/03.
[19] 2.0 positions limited-term 6/30/02.
[20] 2.0 positions limited-term 6/30/04.
[21] 1.0 position effective 7/1/02; limited-term 6/30/03.
[22] 0.5 position limited-term 6/30/03.
[23] 0.5 position limited-term 6/30/02.
[24] 3.3 positions limited-term 6/30/01.
[25] 0.8 position limited-term 6/30/02.
[26] 19.3 positions limited-term 6/30/04.
[27] 13.3 positions limited-term 6/30/02.
[28] 1.4 positions limited-term 6/30/02.
[29] 32.0 positions limited-term 6/30/01.
[30] 0.6 position limited-term 6/30/04.
[31] 8.2 positions limited-term 6/30/01.
[32] 5.0 positions limited-term 6/30/05.
[33] 2.5 positions limited-term 6/30/01.
[34] 1.0 position limited-term 6/30/01.
[35] 10.0 positions limited-term 6/30/02.
[36] 10.0 positions limited-term 6/30/03.
[37] 3.0 positions limited-term 6/30/02.
[38] 18.6 positions limited-term 6/30/01.
[39] 1.2 positions limited-term 6/30/03.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **71 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| **71.03 SACRAMENTO HEADQUARTERS BUILDING** | | | |
| 71.03.015 7th Floor Asbestos Removal | – | $512 [Cs] | – |
| 71.03.016 Lower Level: Asbestos Removal | $642 [Cs] | 15 [Cs] | – |
| 71.03.017 4th Floor Asbestos Removal | 6,747 [Cs] | – | – |
| 71.03.018 1st Floor Asbestos Removal and Seismic Retrofit | 440 [Ps] | 15,508 [WDCs] | – |
| 71.03.019 3rd Floor Asbestos Removal and Seismic Retrofit | – | – | $200 [P] |
| **71.22 BUDGET PACKAGES** | | | |
| 71.22.010 Studies, Preplanning and Budget Packages | – | 100 [Ss] | 100 [Ss] |
| **71.37 OAKLAND-CLAREMONT** | | | |
| 71.37.010 Field Office Replacement | 80 [Cs] | 305 [Cs] | – |
| **71.39 LONG BEACH** | | | |
| 71.39.010 Purchase of Leased Facility | – | 4 [Cs] | – |
| **71.43 STOCKTON** | | | |
| 71.43.010 Field Office Replacement [B] | – | 511 [AP] | 5,066 [WDCs] |
| This project will construct a 16,500 gsf facility to house a full service Field and Investigations Office. | | | |
| **71.46 SAN YSIDRO** | | | |
| 71.46.010 Field Office Relocation [B] | – | 1,954 [AP] | 4,745 [WDCs] |
| This project will construct a 15,000 gsf facility to house a Field and Occupational Licensing Office. | | | |
| Totals, Major Projects | $7,909 | $18,909 | $10,111 |

*** Dollars in thousands, except in Salary Range.**

## 2740 DEPARTMENT OF MOTOR VEHICLES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **Minor Projects** | | | |
| 71.01 Minor Projects | – | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $7,909 | $18,909 | $10,111 |
| *0042 State Highway Account, State Transportation Fund* [s] | *461* | *1,132* | *632* |
| *0044 Motor Vehicle Account, State Transportation Fund* [s] | *4,647* | *10,587* | *5,475* |
| *0064 Motor Vehicle License Fee Account Transportation Tax Fund* [s] | *2,801* | *7,190* | *4,004* |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0042 State Highway Account, State Transportation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | $461 | $1,132 | $632 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $3,947 | $9,751 | $5,475 |
| Transfers to and from Government Code Sections 16351.5 and 16352 | 78 | – | – |
| Prior year balances available: | | | |
| Item 2740-301-0044, Budget Act of 1998 | 1,558 | 836 | – |
| Totals Available | $5,583 | $10,587 | $5,475 |
| Balance available in subsequent years | −836 | – | – |
| Unexpended balance, estimated savings | −100 | – | – |
| TOTALS, EXPENDITURES | $4,647 | $10,587 | $5,475 |

### 0064 Motor Vehicle License Fee Account, Transportation Tax Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | $2,801 | $7,190 | $4,004 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $7,909 | $18,909 | $10,111 |

## 2780 STEPHEN P. TEALE DATA CENTER

The Stephen P. Teale Data Center assists California state agencies in meeting their business objectives by providing a cost-effective range of quality information technology services and products.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Service Bureau Operations | 281.5 | 304.3 | 304.3 | $82,343 | $83,425 | $80,270 |
| 20 Executive and Administrative Operations | 77.7 | 82.6 | 82.6 | 6,690 | 6,709 | 7,164 |
| 00 Lease Revenue Bond Payments | – | – | – | – | – | 4,928 |
| TOTALS, PROGRAMS | 359.2 | 386.9 | 386.9 | $89,033 | $90,134 | $92,362 |
| *0683 Stephen P. Teale Data Center Revolving Fund* | | | | *88,780* | *90,134* | *92,362* |
| *0995 Reimbursements* | | | | *253* | – | – |

### 10 SERVICE BUREAU OPERATIONS

#### Program Objectives Statement

The Service Bureau ensures efficient use of Teale Data Center resources based on estimates of customer workload; provides information technology support to customer organizations; and is committed to providing Teale Data Center services and products to the managers and non-technical staff of customer departments.

Specific functions of the program are:

(1) Data Center Services—Provides data processing services 24 hours a day, 7 days a week, including the Multiple Virtual Storage systems, direct access storage devices, and tape media. Provides installation and maintenance of software and hardware ensuring system reliability, availability and serviceability. Provides customer support in the efficient use of the various platforms with specific attention to the satisfaction of Teale's customers.

(2) Enterprise Systems—Assists departments with building information technology systems that support and improve business processes. Applies new and emerging technologies, provides support for strategic databases, provides Virtual Memory and UNIX hardware/software support, provides geographic information system services, and supports customer needs for client/server and Personal Computer/Local Area Network services.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 2780 STEPHEN P. TEALE DATA CENTER—Continued

(3) Network Systems—Provides support for Teale's statewide telecommunications network (CSGnet), information security and operational recovery functions. Avails state agencies of products, services and support to enable the protection of information stored at Teale and accessed by state and other government employees, private sector businesses, and the public.

(4) Customer Relations/Marketing—Facilitates the resolution of complex business problems of Teale's customers and provides education regarding data center service offerings and new technologies. Acts as the principal liaison for customer concerns, communicates customers' business needs, and generates new business through education and marketing.

### Major Budget Adjustment Included in 2000–01

- An increase of $1,088,000 to acquire additional UNIX system capacity to meet workload growth in customer use of this platform and an increase of $60,000 for overtime.

### Major Budget Adjustments Proposed for 2001–02

- $1,227,000 to acquire additional central processing unit capacity to: 1) meet projected existing customer workload increases; 2) provide required capacity for proposed new customer projects; and 3) provide ongoing annual hardware maintenance and software licenses.
- $485,000 to acquire additional direct access storage device equipment to provide capacity required due to new and increased customer workload.
- $7,091,000 to acquire additional mid-range and internet web application server systems and $242,000 for overtime to: 1) meet increased customer use of client/server platforms; 2) address mandated data center consolidation requirements; and 3) accommodate increased capacity requirements driven by the development of eGovernment web-enabled applications.
- $198,000 in overtime resources to provide cost and feasibility estimates during alternative procurements that identify the data center as the infrastructure piece of a project's solution.
- A decrease of $4,750,000 for maintaining the new data center facility in Rancho Cordova. Due to the planned purchase of the facility, future bond debt repayments will be made directly from the Teale Data Center Revolving Fund (Item 2780-003-0683).
- A decrease of $6,626,000 to adjust Teale's baseline expenditure authority to align the budgets for previously approved projects with the actual costs.

### Authority

Government Code Sections 11752, 11754, 13975.

## 20 EXECUTIVE AND ADMINISTRATIVE OPERATIONS

### Program Objectives Statement

This program provides executive, technological, and administrative support to the Service Bureau to ensure the smooth operation of the line functions. Services include: project management, procurement, contract administration, budget, customer billing, training, personnel, legal guidance, facility management, fiscal and accounting, and general executive and administrative management services.

### Authority

Government Code Sections 11752, 11754, 13975.

## 00 LEASE REVENUE BOND PAYMENTS

### Program Objectives Statement

This program provides funding pursuant to Chapter 293, Statutes of 1999, for the purchase of the Center's currently leased facility. The State Public Works Board will issue revenue bonds to finance the acquisition of the facility. Debt service repayment will be made through Item 2780-003-0683.

### Major Budget Adjustment Proposed for 2001–02

- $4,928,000 for debt service repayment.

### Authority

Government Code Section 14669.14.

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 359.2 | 401.0 | 401.0 | $21,809 | $24,780 | $25,119 |
| Total Adjustments | – | – | – | – | 60 | 440 |
| Estimated Salary Savings | – | –14.1 | –14.1 | – | –956 | –962 |
| Net Totals, Salaries and Wages | 359.2 | 386.9 | 386.9 | $21,809 | $23,884 | $24,597 |
| Staff Benefits | – | – | – | 3,799 | 3,577 | 3,406 |
| Totals, Personal Services | 359.2 | 386.9 | 386.9 | $25,608 | $27,461 | $28,003 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $63,425 | $62,673 | $59,431 |
| CAPITAL COSTS | | | | | | |
| Building Lease/Purchase | | | | – | – | 4,928 |
| TOTALS, EXPENDITURES | | | | $89,033 | $90,134 | $92,362 |

*** Dollars in thousands, except in Salary Range.**

## 2780 STEPHEN P. TEALE DATA CENTER—Continued

### RECONCILIATION WITH APPROPRIATIONS

| 1 STATE OPERATIONS<br>0683 Stephen P. Teale Center Revolving Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $87,663 | $89,212 | $87,434 |
| 003 Budget Act appropriation (debt service) | – | – | 4,928 |
| Allocation for employee compensation | 2,250 | 69 | – |
| Adjustment per Section 3.60 | −1,442 | −295 | – |
| Adjustment per Section 16.00 | 11 | – | – |
| Increased expenditure authority per Provision 1 | 4,631 | 1,148 | – |
| Totals Available | $93,113 | $90,134 | $92,362 |
| Unexpended balance, estimated savings | −4,333 | – | – |
| TOTALS, EXPENDITURES | $88,780 | $90,134 | $92,362 |
| **0995 Reimbursements** | | | |
| Reimbursements | $253 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $89,033 | $90,134 | $92,362 |

### FUND CONDITION STATEMENT

| 0683 Stephen P. Teale Data Center Revolving Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $26,328 | $16,948 | $16,314 |
| Prior year adjustments | −10,611 | – | – |
| Balance, Adjusted | $15,717 | $16,948 | $16,314 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299000 Other: | | | |
| Miscellaneous income | 908 | 500 | 500 |
| Income from operations | 89,103 | 89,000 | 89,000 |
| Totals, Operating Revenues | $90,011 | $89,500 | $89,500 |
| Totals, Resources | $105,728 | $106,448 | $105,814 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2780 Stephen P. Teale Data Center (State Operations) | 88,780 | 90,134 | 92,362 |
| FUND BALANCE | $16,948 | $16,314 | $13,452 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 359.2 | 401.0 | 401.0 | $21,809 | $24,780 | $25,119 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Overtime | – | – | – | – | 60 | 440 |
| Totals, Workload and Administrative Adjustments | – | – | – | – | $60 | $440 |
| Total Adjustments | – | – | – | – | $60 | $440 |
| TOTALS, SALARIES AND WAGES | 359.2 | 401.0 | 401.0 | $21,809 | $24,840 | $25,559 |

*** Dollars in thousands, except in Salary Range.**

# TABLE OF CONTENTS

TECHNOLOGY, TRADE, AND COMMERCE

Technology, Trade, and Commerce Agency ..... TT&C 1

# 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY

The Technology, Trade, and Commerce Agency is the leading business advocate for the State of California. Its programs help California businesses remain competitive and provide the most fair and equitable business climate possible. Its various divisions advance its mission in all industry sectors.

| SUMMARY OF PROGRAM REQUIREMENTS | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 05 | California Infrastructure and Economic Development Bank | – | – | 18.5 | – | – | $208,220 |
| 07 | Science, Technology and Innovation | – | – | 12.0 | – | – | 28,988 |
| 10 | Economic Development | 108.7 | 148.7 | 115.9 | $58,305 | $329,333 | 75,780 |
| 20 | International Trade and Investment | 62.4 | 90.7 | 90.7 | 10,553 | 13,127 | 13,250 |
| 25 | Marketing and Communications | 6.2 | 9.4 | 9.4 | 658 | 1,167 | 936 |
| 30 | Tourism | 18.6 | 20.0 | 20.0 | 7,750 | 8,145 | 8,240 |
| 40 | Contracts, Grants and Loans | 14.4 | 18.2 | 18.2 | 1,171 | 1,562 | 1,585 |
| 60 | Economic Research and Strategic Initiatives | 12.2 | 13.0 | 13.0 | 1,602 | 1,760 | 1,784 |
| 70.01 | Administration | 51.6 | 64.0 | 64.0 | 4,521 | 5,225 | 5,332 |
| 70.02 | Distributed Administration | – | – | – | –4,521 | –5,225 | –5,332 |
| TOTALS, PROGRAMS | | 274.1 | 364.0 | 361.7 | $80,039 | $355,094 | $338,783 |
| Loan Repayment Programs | | | | | –7,227 | –7,459 | –7,574 |
| NET TOTALS, PROGRAMS | | | | | $72,812 | $347,635 | $331,209 |
| *0001* | *General Fund* | | | | *480,438* | *108,996* | *100,912* |
| *0123* | *Rural Economic Development Fund* | | | | *98* | *389* | *353* |
| | *Loan Repayments from local agencies to Rural Economic Development Fund* | | | | *–184* | *–200* | *–198* |
| *0145* | *Commerce Marketing Fund* | | | | *76* | *106* | *107* |
| *0173* | *California Competitive Technology Fund* | | | | *–* | *12* | *–* |
| *0218* | *Rural Development Fund* | | | | *–* | *30* | *30* |
| *Less funding provided by the General Fund* | | | | | *–* | *–30* | *–30* |
| *0393* | *Job Creation Investment Fund* | | | | *1,246* | *1,806* | *1,570* |
| *0440* | *Petroleum Underground Storage Tank Financing Account* [s] | | | | *6,618* | *20,488* | *11,621* |
| | *Loan Repayments from local agencies to Petroleum Underground Storage Tank Financing Account* | | | | *–5,386* | *–5,770* | *–5,908* |
| *0521* | *Rural Economic Development Infrastructure Bond Fund* [n] | | | | *8* | *2,790* | *4,190* |
| | *Loan Repayments from local agencies to Rural Economic Development Infrastructure Bond Fund* | | | | *–568* | *–571* | *–603* |
| *0535* | *California Main Street Program Fund* [n] | | | | *3* | *60* | *60* |
| *0649* | *California Infrastructure and Economic Development Bank Fund* [n] | | | | *1,174* | *202,427* | *203,656* |
| *Less funding provided by the General Fund* | | | | | *–425,000* | *–* | *–* |
| *0695* | *Grant and Loan Collection Account* [n] | | | | *4* | *6* | *5* |
| *0801* | *California Small Business Development Center Fund* [n] | | | | *77* | *1,246* | *1,249* |
| *0809* | *California Export Finance Fund* [n] | | | | *947* | *901* | *913* |
| *0824* | *California Export Promotion Account* [n] | | | | *181* | *504* | *511* |
| *0828* | *Hazardous Waste Reduction Loan Account* [n] | | | | *887* | *789* | *790* |
| | *Loan Repayments from local agencies to Hazardous Waste Reduction Loan Account* | | | | *–650* | *–492* | *–435* |
| *0890* | *Federal Trust Fund* | | | | *8,032* | *9,338* | *9,342* |
| *0918* | *Small Business Expansion Fund* [n] | | | | *2,401* | *1,098* | *286* |
| *0922* | *California Economic Development Grant and Loan Fund* [n] | | | | *1,886* | *2,572* | *1,728* |
| | *Loan Repayments from local agencies to Economic Development Grant and Loan Fund* | | | | *–439* | *–426* | *–430* |
| *0995* | *Reimbursements* | | | | *963* | *1,566* | *1,490* |
| *3005* | *Film California First Fund* | | | | *–* | *15,000* | *15,000* |
| *Less funding provided by the General Fund* | | | | | *–* | *–15,000* | *–15,000* |

## 05 CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK

### Program Objectives Statement

Created in 1994 to promote economic revitalization, development, and a healthy climate for jobs in California, the bank provides low-cost financing to public agencies for a wide variety of infrastructure and public improvements. The bank's conduit revenue bond program issues industrial development bonds for manufacturing companies, 501(c)(3) bonds for non-profit organizations, exempt facility bonds, and other types of revenue bonds.

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $548,000 from the California Infastructure and Economic Development Bank Fund to convert to permanent status 6 currently limited-term positions (5.7 personnel years) for the bank's programs, and also for overtime associated with issuing revenue bonds for deposit to the State Water Pollution Control Revolving Fund per Chapter 1078, Statutes of 2000.
- A one-time augmentation of $110,000 for the Rural Investment Tax Exemption program established by Chapter 107, Statutes of 2000.
- An augmentation of $29,000 for this program's share of the temporary satellite office space cost.

### Authority

Sections 63000 et seq., Division 1, Title 6.7 of the Government Code.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

## 07 SCIENCE, TECHNOLOGY AND INNOVATION

### Program Objectives Statement

This program focuses on bringing to market products and services that use new technology; manufacturing technology development; and investment in space commerce and the aeronautics industry. The program also administers grants that provide matching funding to leverage private and federal dollars for technology development and commercialization.

### Major Budget Adjustments Proposed for 2001–02

- A $6,163,000 augmentation for the California Technology Investment Partnership Program including $5 million (one-time) for grants and $1 million for existing and new Regional Technology Alliances to administer the program throughout the State.
- An augmentation of $16,000 for this program's share of the temporary satellite office space cost.

### Authority

Sections 15329, Article 3, Chapter 1, Part 6.7, Division 3, Title 2 of the Government Code.

## 10 ECONOMIC DEVELOPMENT

### Program Objectives Statement

This program offers a range of services to assist businesses in California. It provides coordination and direct assistance for economic and business development, problem solving, business expansion facilitation, and grant programs to leverage existing monies.

(1) The regional offices (Bay Area, Los Angeles, Sacramento, and San Diego), take the lead in assisting businesses in problem solving, fostering and encouraging business expansion, and attracting non-California companies to locate within the state.

(2) The Office of Business Development focuses on marketing activities related to the business community and a business retention component that includes Enterprise Zones. This office also includes a defense conversion grant program that leverages federal funds and an Office of Local Development that helps communities to develop and implement business retention and expansion programs, including Main Street and Team California.

(3) The Office of Small Business provides hands-on help to small business in a cooperative effort with small business development corporations and small business development centers. The office oversees a range of loan programs, from energy conservation and hazardous waste reduction to environmental assistance and farm loans. This office also operates the State's Small Business Loan Guarantee Program.

(4) The Office of Permit Assistance helps businesses obtain environmental permit approvals, provides counseling for companies as they enter the regulatory process and serves in an ombudsman's role throughout that process.

(5) The California Film Commission is responsible for promoting, increasing and retaining the production of motion pictures, television programs and commercials within the state.

(6) The Office of Major Corporate Projects encourages Fortune 500 companies to site their expansions in California.

(7) The Office of Military Base Retention protects California's remaining military installations from defense downsizing and base closures.

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $3,000,000 for Defense Adjustment Matching grants and a 2-year limited term support position (0.9 personnel years, redirected).
- An augmentation of $503,000 for consultants to help plan and implement the statewide base retention effort.
- A one-time $3,000,000 increase in grants to replace or upgrade underground storage tanks to comply with Chapter 812, Statutes of 1999.
- A continuation of $117,000 in federal funding and an augmentation of $100,000 (General Fund) for two existing positions (1.8 personnel years) for the Rural Development Council.
- An augmentation of $164,000 for this program's share of the temporary satellite office space cost.

### Authority

Sections 15323.5–15335 of the Government Code, Chapters 1 and 3, Part 5.7, Division 3, Title 2 of the Government Code, Part 6.7, Division 3, Title 2 of the Government Code, Chapters 12.8 and 12.9, Division 7, Title 1 of the Government Code, and Chapter 1, Part 5, Division 3, Title 1 of the Corporations Code.

## 20 INTERNATIONAL TRADE AND INVESTMENT

### Program Objectives Statement

This program promotes California exports overseas and brings foreign investment into the state, serving a vital role in assuring California's competitive position in the global marketplace. Included in this program are:

(1) The International Trade and Investment central office analyzes state, federal, and international issues that impact California's ability to be globally competitive and serves as the program headquarters.

(2) The California Foreign Trade and Investment offices provide business outreach to other nations. Current locations include Argentina, Canada, China-Hong Kong, China-Shanghai, Germany, India, Israel, Japan, Mexico, the Philippines, Singapore, South Africa, South Korea, Taiwan, and the United Kingdom. Future sites will be established based on research by the California State World Trade Commission.

(3) The Office of Foreign Investment assists overseas companies considering locating to or expanding in California. The office provides investors with detailed data on California sites and financial incentives for companies, and acts as a business advocate and liaison between government and foreign investors.

(4) The Office of Export Finance provides loan guarantees for California companies seeking capital to complete export sales.

(5) The Office of Export Development assists California companies by organizing international and domestic business missions and trade shows, linking companies with international export opportunities, and providing general export assistance and counseling.

(6) The Office of California-Mexico Affairs strengthens economic, governmental, educational and cultural ties between California and the Mexican states bordering the U.S. Included in the Office of California-Mexico Affairs is the Commission of the Californias, which was established to further develop favorable economic, educational, and cultural relations with the Mexican states of Baja California and Baja California Sur.

### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $60,000 for this program's share of the temporary satellite office space cost.

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

### 25 MARKETING AND COMMUNICATIONS

#### Program Objectives Statement

This program provides centralized support for the Agency's marketing and communications and public affairs outreach, including management of the state's business development marketing campaign.

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $13,000 for this program's share of the temporary satellite space cost.

#### Authority

Article 4.5, Chapters 1 and 1.6, Part 6.7, Division 3, Title 2 of the Government Code.

### 30 TOURISM

#### Program Objectives Statement

The Tourism Program develops California's economy and increases job opportunities by promoting the state as a travel destination. The program works closely with the private, non-profit California Travel and Tourism Commission, with local, state and federal agencies, and with the state's travel industry and other private sector partners, to develop marketing and promotional activities that increase consumer and trade interest in visiting California. Activities include national advertising, travel publicity development, visitor information production and fulfillment, promotion of lesser-known and under-utilized California destinations, domestic and international travel trade development, and economic, market and program evaluation research.

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $93,000 for this program's share of the temporary satellite office space cost.

#### Authority

Section 15334, 15337, 15364.50–55 and 15372.60–131 of the Government Code and Chapter 1.6, Part 6.7, Division 3, Title 2 of the Government Code, and Section 19559 of the Revenue and Taxation Code.

### 40 CONTRACTS, GRANTS AND LOANS

#### Program Objectives Statement

This office develops and approves contract, grant, and loan agreements for the Agency.

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $22,000 for this program's share of the temporary satellite office space cost.

#### Authority

Authority for work completed by this unit lies within the various grant and loan programs within the Economic Development Program and Sections 15338.5 and 15338.6 of the Government Code.

### 60 ECONOMIC RESEARCH AND STRATEGIC INITIATIVES

#### Program Objectives Statement

The Economic Research and Strategic Initiatives Program provides critical analyses, policy recommendations and economic development initiatives to address California's complex and changing economy. The program develops studies about the economy, commerce and industry; assesses the economic and fiscal impact of proposed regulations; represents the Agency on economic matters with leaders from industry, academia and government; and supports the continuous, biennial strategic planning process of the California Economic Strategy Panel. Ongoing strategic planning serves as a basis for the Agency to develop management policy guidelines, legislative proposals and budget change proposals.

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $20,000 for this program's share of the temporary satellite office space cost.

#### Authority

Section 15331 of the Government Code.

### 70 ADMINISTRATION

The Administration Program provides executive leadership for the Agency's overall mission, and monitors and implements all legislation affecting the Agency and its program activities. Administration includes the Executive Office of the Secretary as well as a number of personnel-related programs.

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $417,000 for the cost of temporary satellite office space in downtown Sacramento to accommodate some Agency offices (e.g., tourism, economic development) until the Agency locates adequate downtown space to reconsolidate all headquarters' offices into one facility. Costs are prorated to the Agency's various other programs.

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

### PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 05 CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | – | – | *$110* |
| *0123 Rural Economic Development Fund* | – | – | *153* |
| *0521 Rural Economic Development Infrastructure Bond Fund* | – | – | *390* |
| *0649 California Infrastructure and Economic Development Bank Fund* | – | – | *2,567* |
| Totals, State Operations | – | – | $3,220 |
| Local Assistance: | | | |
| *0123 Rural Economic Development Fund* | – | – | *200* |
| *0521 Rural Economic Development Infrastructure Bond Fund* | – | – | *3,800* |
| *0649 California Infrastructure and Economic Development Bank Fund* | – | – | *201,000* |
| Totals, Local Assistance | – | – | $205,000 |
| Loan Repayments Program | – | – | −801 |

### PROGRAM REQUIREMENTS
### 07 SCIENCE, TECHNOLOGY AND INNOVATION

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | – | – | *$1,922* |
| Local Assistance: | | | |
| *0001 General Fund* | – | – | *27,066* |

### PROGRAM REQUIREMENTS
### 10 ECONOMIC DEVELOPMENT

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$12,620* | *$26,807* | *$17,867* |
| *0123 Rural Economic Development Fund* | *98* | *151* | – |
| *0145 Commerce Marketing Fund* | *12* | *26* | *26* |
| *0218 Rural Economic Development Fund* | – | *30* | *30* |
| *Less funding provided by the General Fund* | – | *−30* | *−30* |
| *0393 Job Creation Investment Fund* | *148* | *205* | *206* |
| *0440 Petroleum Underground Storage Tank Financing Account* | *224* | *653* | *656* |
| *0521 Rural Economic Development Infrastructure Bond Fund* | *8* | *390* | – |
| *0535 California Main Street Program Fund* | *3* | *60* | *60* |
| *0649 California Infrastructure and Economic Development Bank Fund* | *1,172* | *2,339* | – |
| *0695 Grant and Loan Collection Account* | *4* | *6* | *5* |
| *0801 Small Business Development Center Fund* | *1* | *246* | *249* |
| *0828 Hazardous Waste Reduction Account* | *61* | *81* | *81* |
| *0890 Federal Trust Fund* | *1,231* | *1,229* | *1,233* |
| *0918 Small Business Expansion Fund* | *2,089* | *866* | *286* |
| *0922 California Economic Development Grant and Loan Fund* | *328* | *484* | *140* |
| *0995 Reimbursements* | *483* | *775* | *680* |
| Totals, State Operations | $18,482 | $34,318 | $21,489 |
| Local Assistance: | | | |
| *0001 General Fund* | *448,041* | *59,067* | *30,834* |
| *0123 Rural Economic Development Fund* | – | *238* | – |
| *0173 California Competitive Technology Fund* | – | *12* | – |
| *0393 Job Creation Investment Fund* | *1,049* | *1,550* | *1,313* |
| *0440 Petroleum Underground Storage Tank Financing Account* | *6,287* | *19,672* | *10,800* |
| *0521 Rural Economic Development Infrastructure Bond Fund* | – | *2,400* | – |
| *0649 California Infrastructure and Economic Development Bank Fund* | *−425,000* | *200,000* | – |
| *0801 Small Business Development Center Fund* | *76* | *1,000* | *1,000* |
| *0828 Hazardous Waste Reduction Account* | *792* | *660* | *660* |
| *0890 Federal Trust Fund* | *6,801* | *8,109* | *8,109* |
| *0918 Small Business Expansion Fund* | *312* | *232* | – |
| *0922 California Economic Development Grant and Loan Fund* | *1,465* | *2,075* | *1,575* |
| Totals, Local Assistance | $39,823 | $295,015 | $54,291 |
| Less Loan Repayments | −7,227 | −7,459 | −6,773 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.01 Infrastructure and Economic Development Bank, California | 526 | 204,747 | – |
| State Operations: | | | |
| *0123 Rural Economic Development Fund* | *98* | *151* | – |
| *0521 Rural Economic Development Infrastructure Bond Fund* | *8* | *390* | – |
| *0649 California Infrastructure and Economic Development Bank Fund* | *1,172* | *2,339* | – |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | *$425,000* | – | – |
| *0123 Rural Economic Development Fund* | – | *$238* | – |
| *0521 Rural Economic Development Infrastructure Bond Fund* | – | *2,400* | – |
| *0649 California Infrastructure and Economic Development Bank Fund* | *−425,000* | *200,000* | – |
| *Loan Repayment Program* | *−752* | *−771* | – |
| 10.09 Military Base Retention | 954 | 1,520 | $1,958 |
| State Operations: | | | |
| *0001 General Fund* | *619* | *1,025* | *1,558* |
| *0145 Commerce Marketing Fund* | *10* | – | – |
| *0890 Federal Trust Fund* | *214* | – | – |
| *0922 California Economic Development Grant and Loan Fund* | *111* | *95* | – |
| Local Assistance: | | | |
| *0001 General Fund* | – | *400* | *400* |
| 10.10 Business Development | 2,341 | 2,157 | 2,165 |
| State Operations: | | | |
| *0001 General Fund* | *2,341* | *2,157* | *2,165* |
| 10.20 California Film Commission | 1,819 | 18,577 | 18,574 |
| State Operations: | | | |
| *0001 General Fund* | *1,819* | *3,573* | *3,570* |
| *0145 Commerce Marketing Fund* | – | *4* | *4* |
| Local Assistance: | | | |
| *0001 General Fund* | – | *15,000* | *15,000* |
| *3005 Film California First Fund* | – | *15,000* | *15,000* |
| *Less funding provided by the General Fund* | – | *−15,000* | *−15,000* |
| 10.30 Strategic Technology | 18,890 | 28,361 | – |
| State Operations: | | | |
| *0001 General Fund* | *1,102* | *1,751* | – |
| Local Assistance: | | | |
| *0001 General Fund* | *17,788* | *26,598* | – |
| *0173 California Competitive Technology Fund* | – | *12* | – |
| 10.40 Local Development | 7,607 | 19,140 | 17,286 |
| State Operations: | | | |
| *0001 General Fund* | *1,483* | *1,376* | *1,377* |
| *0393 Job Creation Investment Fund* | *148* | *205* | *206* |
| *0535 Califoria Main Street Program Fund* | *3* | *60* | *60* |
| *0890 Federal Trust Fund* | *184* | *214* | *214* |
| *0922 California Economic Development Grant and Loan Fund* | *202* | *325* | *100* |
| *0995 Reimbursements* | *402* | *425* | *427* |
| Local Assistance: | | | |
| *0001 General Fund* | *3,176* | *13,885* | *13,000* |
| *0393 Job Creation Investment Fund* | *1,049* | *1,550* | *1,313* |
| *0890 Federal Trust Fund* | *396* | *409* | *409* |
| *0922 California Economic Development Grant and Loan Fund* | *889* | *1,000* | *500* |
| *Loan Repayment Program* | *−325* | *−309* | *−320* |
| 10.50 Small Business | 18,366 | 46,642 | 28,289 |
| State Operations: | | | |
| *0001 General Fund* | *4,681* | *16,195* | *8,462* |
| *0145 Commerce Marketing Fund* | *2* | *22* | *22* |
| *0440 Petroleum Underground Storage Tank Financing Account* | *224* | *653* | *656* |
| *0695 Grant and Loan Collection Account* | *4* | *6* | *5* |
| *0801 Small Business Development Center Fund* | *1* | *246* | *249* |
| *0828 Hazardous Waste Reduction Loan Account* | *61* | *81* | *81* |
| *0890 Federal Trust Fund* | *833* | *1,015* | *1,019* |
| *0918 Small Business Expansion Fund* | *2,089* | *866* | *286* |
| *0922 California Economic Development Grant and Loan Fund* | *15* | *64* | *40* |
| *0995 Reimbursements* | *81* | *350* | *253* |
| Local Assistance: | | | |
| *0001 General Fund* | *2,077* | *3,184* | *2,434* |
| *0440 Petroleum Underground Storage Tank Financing Account* | *6,287* | *19,672* | *10,800* |
| *0801 Small Business Development Center Fund* | *76* | *1,000* | *1,000* |
| *0828 Hazardous Waste Reduction Account* | *792* | *660* | *660* |
| *0890 Federal Trust Fund* | *6,405* | *7,700* | *7,700* |
| *0918 Small Business Expansion Fund* | *312* | *232* | – |
| *0922 California Economic Development Grant and Loan Fund* | *576* | *1,075* | *1,075* |
| *Loan Repayment Program* | *−6,150* | *−6,379* | *−6,453* |
| 10.60 Office of Permit Assistance | 575 | 730 | 735 |
| State Operations: | | | |
| *0001 General Fund* | *575* | *730* | *735* |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10.70 Regional Offices | $2,971 | $2,820 | $2,834 |
| Program Elements: | | | |
| *Regional Offices* | *2,971* | *2,820* | *2,834* |
| Distributed Regional Offices: | | | |
| Amounts Charged to Other Programs: | | | |
| *10.09 Military Base Retention* | *–60* | *–395* | *–397* |
| *10.10 Business Development* | *–713* | *–508* | *–510* |
| *10.40 Local Development* | *–475* | *–395* | *–397* |
| *10.50 Small Business* | *–1,426* | *–1,325* | *–1,332* |
| *10.60 Office of Permit Assistance* | *–297* | *–197* | *–198* |
| Totals, Amounts Charged to Other Programs | –$2,971 | –$2,820 | –$2,834 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 INTERNATIONAL TRADE AND INVESTMENT** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$9,393* | *$11,728* | *$11,832* |
| *0809 California Export Finance Fund* | *947* | *895* | *907* |
| *0824 California Export Promotion Account* | *181* | *504* | *511* |
| *0995 Reimbursements* | *32* | *–* | *–* |
| Totals, State Operations | $10,553 | $13,127 | $13,250 |
| **ELEMENT REQUIREMENTS** | | | |
| 20.08 Commission of the Californias | – | 244 | 245 |
| State Operations: | | | |
| *0001 General Fund* | *–* | *244* | *245* |
| 20.09 Office of California-Mexico Affairs | 405 | 310 | 311 |
| State Operations: | | | |
| *0001 General Fund* | *405* | *310* | *311* |
| 20.10 International Trade and Investment | 1,622 | 1,552 | 1,527 |
| State Operations: | | | |
| *0001 General Fund* | *1,622* | *1,552* | *1,527* |
| 20.20 Export Finance | 1,774 | 1,725 | 1,748 |
| State Operations: | | | |
| *0001 General Fund* | *827* | *830* | *841* |
| *0809 California Export Finance Fund* | *947* | *895* | *907* |
| 20.30 Export Development | 1,337 | 1,944 | 1,819 |
| State Operations: | | | |
| *0001 General Fund* | *1,156* | *1,440* | *1,308* |
| *0824 California Export Promotion Account* | *181* | *504* | *511* |
| 20.40 Foreign Investment | 783 | 794 | 828 |
| State Operations: | | | |
| *0001 General Fund* | *783* | *794* | *828* |
| 20.50 Overseas Offices | 3,986 | 4,891 | 4,905 |
| State Operations: | | | |
| *0001 General Fund* | *3,954* | *4,891* | *4,905* |
| Africa | 275 | 416 | 413 |
| Germany | 538 | 562 | 564 |
| Hong Kong | 627 | 836 | 838 |
| Japan | 875 | 1,044 | 1,051 |
| London | 511 | 522 | 523 |
| Mexico City | 865 | 1,181 | 1,185 |
| Taiwan | 263 | 330 | 331 |
| *0995 Reimbursements* | *32* | *–* | *–* |
| 20.60 Overseas Offices (Contract Offices) | 646 | 1,667 | 1,867 |
| State Operations: | | | |
| *0001 General Fund* | *646* | *1,667* | *1,867* |
| Calgary | – | 149 | 149 |
| Korea | 150 | 271 | 271 |
| Phillippines | – | 160 | 160 |
| Shanghai | 296 | 285 | 285 |
| India | – | 297 | 297 |
| Singapore | 200 | 200 | 200 |
| Buenos Aires | – | 305 | 305 |
| Israel | – | – | 200 |
| **PROGRAM REQUIREMENTS** | | | |
| **25 MARKETING AND COMMUNICATIONS** | $658 | $1,167 | $936 |
| State Operations: | | | |
| *0001 General Fund* | *592* | *1,167* | *936* |
| *0922 California Economic Development Grant and Loan Fund* | *66* | *–* | *–* |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **30 TOURISM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$7,257* | *$7,289* | *$7,364* |
| *0145 Commerce Marketing Fund* | *64* | *80* | *81* |
| *0995 Reimbursements* | *429* | *776* | *795* |
| Totals, State Operations | $7,750 | $8,145 | $8,240 |
| **PROGRAM REQUIREMENTS** | | | |
| **40 CONTRACTS, GRANTS AND LOANS** | $1,171 | $1,562 | $1,585 |
| State Operations: | | | |
| *0001 General Fund* | *933* | *1,178* | *1,197* |
| *0393 Job Creation Investment Fund* | *49* | *51* | *51* |
| *0440 Petroleum Underground Storage Tank Financing Account* | *107* | *163* | *165* |
| *0649 California Infrastructure and Economic Development Bank Fund* | *2* | *88* | *89* |
| *0809 California Export Finance Fund* | *–* | *6* | *6* |
| *0828 Hazardous Waste Reduction Account* | *34* | *48* | *49* |
| *0922 California Economic Development Grant and Loan Fund* | *27* | *13* | *13* |
| *0995 Reimbursements* | *19* | *15* | *15* |
| Totals, State Operations | $1,171 | $1,562 | $1,585 |
| **PROGRAM REQUIREMENTS** | | | |
| **60 ECONOMIC RESEARCH AND STRATEGIC INITIATIVES** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$1,602* | *$1,760* | *$1,784* |
| **PROGRAM REQUIREMENTS** | | | |
| **70.01 ADMINISTRATION** | $4,521 | $5,225 | $5,332 |
| Program Elements: | | | |
| Administration | 4,521 | 5,225 | 5,332 |
| Distributed Administration, amounts charged to other programs: | | | |
| *05 California Infrastructure and Economic Development Bank* | *–* | *–* | *–282* |
| *07 Science, Technology and Innovation* | *–* | *–* | *–220* |
| *10 Economic Development* | *–2,275* | *–2,683* | *–2,235* |
| *20 International Trade and Investment* | *–1,294* | *–1,457* | *–1,489* |
| *25 Marketing and Communications* | *–125* | *–166* | *–168* |
| *30 Tourism* | *–351* | *–374* | *–383* |
| *40 Contracts, Grants and Loans* | *–215* | *–287* | *–293* |
| *60 Economic Research and Strategic Initiatives* | *–261* | *–258* | *–262* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $40,216 | $60,079 | $52,426 |
| Local Assistance | 32,596 | 287,556 | 278,783 |
| TOTALS, EXPENDITURES | $72,812 | $347,635 | $331,209 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 274.1 | 383.3 | 374.9 | $14,485 | $20,247 | $20,451 |
| Total Adjustments | – | – | 6.0 | – | – | 280 |
| Estimated Salary Savings | – | –19.3 | –19.2 | – | –1,009 | –1,035 |
| Net Totals, Salaries and Wages | 274.1 | 364.0 | 361.7 | $14,485 | $19,238 | $19,696 |
| Staff Benefits | – | – | – | 2,677 | 3,173 | 3,208 |
| Totals, Personal Services | 274.1 | 364.0 | 361.7 | $17,162 | $22,411 | $22,904 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $22,494 | $36,915 | $28,769 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Special Operations, Loan Defaults | | | | 560 | 753 | 753 |
| Totals, Special Items of Expense | | | | $560 | $753 | $753 |
| TOTALS, EXPENDITURES | | | | $40,216 | $60,079 | $52,426 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $25,555 | $30,397 | $31,548 |
| 011 Budget Act appropriation | 1,662 | 12,692 | 4,692 |
| Transfer to Small Business Expansion Fund—0918 | (1,662) | (12,662) | (4,662) |
| Transfer to Rural Development Fund—0218 | – | (30) | (30) |
| 012 Budget Act appropriation | 6,349 | 6,544 | 6,772 |
| Allocation for employee compensation | 1,321 | 94 | – |
| Adjustment per Section 3.60 | –836 | –117 | – |
| Adjustment per Section 16.00 | 9 | – | – |
| Transfer to Legislative Claims (9670) | – | –2 | – |
| Chapter 596, Statutes of 1999 | 125 | – | – |
| Chapter 597, Statutes of 1999 | 15 | – | – |
| Chapter 598, Statutes of 1999 | 50 | – | – |
| Prior year balances available: | | | |
| Chapter 922, Statutes of 1997 | 99 | 97 | – |
| Chapter 923, Statutes of 1997 | 200 | 49 | – |
| Chapter 596, Statutes of 1999 | – | 125 | – |
| Chapter 598, Statutes of 1999 | – | 50 | – |
| Totals Available | $34,549 | $49,929 | $43,012 |
| Balance available in subsequent years | –321 | – | – |
| Unexpended balance, estimated savings | –1,831 | – | – |
| TOTALS, EXPENDITURES | $32,397 | $49,929 | $43,012 |

### 0123 Rural Economic Development Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $145 | $152 | $153 |
| Allocation for employee compensation | 8 | – | – |
| Adjustment per Section 3.60 | –4 | –1 | – |
| Totals Available | $149 | $151 | $153 |
| Unexpended balance, estimated savings | –51 | – | – |
| TOTALS, EXPENDITURES | $98 | $151 | $153 |

### 0145 Commerce Marketing Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $106 | $106 | $107 |
| Unexpended balance, estimated savings | –30 | – | – |
| TOTALS, EXPENDITURES | $76 | $106 | $107 |

### 0218 Rural Development Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $30 | $30 |
| Less funding provided by the General Fund | – | –30 | –30 |
| TOTALS, EXPENDITURES | – | – | – |

### 0393 Job Creation Investment Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 002 Budget Act appropriation | $234 | $257 | $257 |
| Allocation for employee compensation | 29 | – | – |
| Adjustment per Section 3.60 | –15 | –1 | – |
| Totals Available | $248 | $256 | $257 |
| Unexpended balance, estimated savings | –51 | – | – |
| TOTALS, EXPENDITURES | $197 | $256 | $257 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

### 0440 Petroleum Underground Storage Tank Financing Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $807 | $818 | $821 |
| Allocation for employee compensation | 21 | – | – |
| Adjustment per Section 3.60 | –21 | –2 | – |
| Totals Available | $807 | $816 | $821 |
| Unexpended balance, estimated savings | –476 | – | – |
| TOTALS, EXPENDITURES | $331 | $816 | $821 |

### 0521 Rural Economic Development Infrastructure Revenue Bond Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 15373.71 (expenditures) | $8 | $390 | $390 |

### 0535 California Main Street Program Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Sections 15399.1–15399.7 (expenditures) | $3 | $60 | $60 |

### 0649 California Infrastructure and Economic Development Bank Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,452 | $2,433 | $2,656 |
| Allocation for employee compensation | 60 | 4 | – |
| Adjustment per Section 3.60 | –62 | –10 | – |
| Totals Available | $2,450 | $2,427 | $2,656 |
| Unexpended balance, estimated savings | –1,276 | – | – |
| TOTALS, EXPENDITURES | $1,174 | $2,427 | $2,656 |

### 0695 Grant and Loan Collection Account [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 15328 (expenditures) | $4 | $6 | $5 |

### 0801 California Small Business Development Center Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $240 | $246 | $249 |
| Allocation for employee compensation | 9 | – | – |
| Adjustment per Section 3.60 | –6 | – | – |
| Totals Available | $243 | $246 | $249 |
| Unexpended balance, estimated savings | –242 | – | – |
| TOTALS, EXPENDITURES | $1 | $246 | $249 |

### 0809 Export Finance Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 15395.2 (expenditures) | $947 | $901 | $913 |

### 0824 California Export Promotion Account, California State World Trade Commission Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 15365.2 (expenditures) | $181 | $504 | $511 |

### 0828 Hazardous Waste Reduction Loan Account [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Corporations Code Sections 14141 and 14142(c) | $130 | $129 | $130 |
| Allocation for employee compensation | 3 | – | – |
| Adjustment per Section 3.60 | –4 | – | – |
| Totals Available | $129 | $129 | $130 |
| Unexpended balance, estimated savings | –34 | – | – |
| TOTALS, EXPENDITURES | $95 | $129 | $130 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,015 | $1,117 | $1,233 |
| Allocation for employee compensation | 50 | 1 | – |
| Adjustment per Section 3.60 | −32 | −6 | – |
| Budget adjustment | 198 | 117 | – |
| TOTALS, EXPENDITURES | $1,231 | $1,229 | $1,233 |

### 0918 Small Business Expansion Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Corporations Code Section 14030 | $3,609 | $13,322 | $4,742 |
| Corporations Code Section 14075 | 142 | 206 | 206 |
| Corporations Code Section 14069.6 | 5 | – | – |
| Totals Available | $3,756 | $13,528 | $4,948 |
| Unexpended balance, estimated savings | −5 | – | – |
| TOTALS, EXPENDITURES | $3,751 | $13,528 | $4,948 |
| Less funding provided by the General Fund | −1,662 | −12,662 | −4,662 |
| NET TOTALS, EXPENDITURES | $2,089 | $866 | $286 |

### 0922 California Economic Development Grant and Loan Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Sections 15327 and 15328 | $421 | $497 | $153 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $963 | $1,566 | $1,490 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $40,216 | $60,079 | $52,426 |

---

## SUMMARY BY OBJECT
## 2 LOCAL ASSISTANCE

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 661701 Grants and subventions | $456,279 | $73,420 | $70,009 |
| 664731 Loans | −423,683 | 214,136 | 208,774 |
| TOTALS, EXPENDITURES | $32,596 | $287,556 | $278,783 |

---

## RECONCILIATION WITH APPROPRIATIONS
## 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $22,903 | $43,432 | $42,900 |
| 111 Budget Act appropriation | 425,000 | 15,000 | 15,000 |
| Transfer to California Infrastructure and Economic Development Bank Fund-0649 | (425,000) | – | – |
| Transfer to Film California First Fund-3005 | – | (15,000) | (15,000) |
| Chapter 519, Statutes of 1999 | 135 | – | – |
| Prior year balances available: | | | |
| Item 2920-101-0001, Budget Act of 1998 as reappropriated by Item 2920-490, Budget Act of 1999 | 500 | – | – |
| Item 2920-101-0001, Budget Act of 1997 as reappropriated by Item 2920-491, Budget Act of 2000 | – | 500 | – |
| Chapter 519, Statutes of 1999 | – | 135 | – |
| Totals Available | $448,538 | $59,067 | $57,900 |
| Balance available in subsequent years | −135 | – | – |
| Unexpended balance estimated savings | −362 | – | – |
| TOTALS, EXPENDITURES | $448,041 | $59,067 | $57,900 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

### 0123 Rural Economic Development Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Government Code Section 15373.2(c)(3) and (e) | – | $238 | $200 |
| Loan repayments per Government Code Section 15373.2(b) | –$184 | –200 | –198 |
| TOTALS, EXPENDITURES | –$184 | $38 | $2 |

### 0173 Competitive Technology Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 15379.11(d) (expenditures) | – | $12 | – |

### 0393 Job Creation Investment Fund [s]

| | | | |
|---|---|---|---|
| Government Code Section 15365.53(a) (expenditures) | $1,049 | $1,550 | $1,313 |

### 0440 Petroleum Underground Storage Tank Financing Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | – | $5,000 | $3,000 |
| Government Code Section 15399.17 | $6,287 | 14,672 | 7,800 |
| Loan repayments per Government Code Section 15399.17(a)(2) | –5,386 | –5,770 | –5,908 |
| TOTALS, EXPENDITURES | $901 | $13,902 | $4,892 |

### 0521 Rural Economic Development Infrastructure Revenue Bond Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 15373.8 | – | $2,400 | $3,800 |
| Loan repayments per Government Code Section 15373 | –$568 | –571 | –603 |
| TOTALS, EXPENDITURES | –$568 | $1,829 | $3,197 |

### 0649 California Infrastructure and Economic Development Bank Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 63050 | – | $200,000 | $201,000 |
| Less funding provided by the General Fund | –$425,000 | – | – |
| Loan repayments per Government Code 63050 | – | – | – |
| TOTALS, EXPENDITURES | –$425,000 | $200,000 | $201,000 |

### 0801 California Small Business Development Center Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $1,000 | $1,000 | $1,000 |
| Unexpended balance, estimated savings | –924 | – | – |
| TOTALS, EXPENDITURES | $76 | $1,000 | $1,000 |

### 0828 Hazardous Waste Reduction Loan Account [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Corporations Code Section 14141 | $792 | $660 | $660 |
| Loan repayments per Corporations Code Section 14142 | –650 | –492 | –435 |
| TOTALS, EXPENDITURES | $142 | $168 | $225 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $6,814 | $8,109 | $8,109 |
| Budget adjustments | –13 | – | – |
| TOTALS, EXPENDITURES | $6,801 | $8,109 | $8,109 |

### 0918 Small Business Expansion Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Corporations Code Section 14075 (expenditures) | $312 | $232 | – |

### 0922 California Economic Development Grant and Loan Fund [n]

| | | | |
|---|---|---|---|
| Government Code Section 15327 | $1,465 | $2,075 | $1,575 |
| Loan repayments per Government Code Section 15327 | –439 | –426 | –430 |
| TOTALS, EXPENDITURES | $1,026 | $1,649 | $1,145 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

### 3005 Film California First Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act Appropriation | – | – | $15,000 |
| Government Code Section 15363.73 | – | $15,000 | – |
| Less funding provided by the General Fund | – | −15,000 | −15,000 |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUND SOURCES (Local Assistance) | $32,596 | $287,556 | $278,783 |
| TOTALS, EXPENDITURES, ALL FUND SOURCES (State Operations and Local Assistance) | $72,812 | $347,635 | $331,209 |

---

### FUND CONDITION STATEMENT
### 0123 Rural Economic Development Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $651 | $882 | $879 |
| Prior year adjustments | 9 | – | – |
| Balance, Adjusted | $660 | $882 | $879 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 34 | 47 | 47 |
| 150400 Interest income from loans | 102 | 139 | 139 |
| Totals, Revenues and Transfers | $136 | $186 | $186 |
| Totals, Resources | $796 | $1,068 | $1,065 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| State Operations | 98 | 151 | 153 |
| Local Assistance | – | 238 | 200 |
| Totals | $98 | $389 | $353 |
| Expenditure Reductions: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| Local Assistance: | | | |
| Less loan repayments per Government Code Section 15373.2(b) | −184 | −200 | −198 |
| Totals, Expenditures | −$86 | $189 | $155 |
| FUND BALANCE | $882 | $879 | $910 |
| Reserve for economic uncertainties | 882 | 879 | 910 |

### 0145 Commerce Marketing Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $98 | $122 | $92 |
| Prior year adjustments | 5 | – | – |
| Balance, Adjusted | $103 | $122 | $92 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 141200 Sales of documents | 54 | 55 | 55 |
| 150300 Income from surplus money investments | 7 | 5 | 5 |
| 161400 Miscellaneous revenue | 34 | 16 | 16 |
| Totals, Revenues and Transfers | $95 | $76 | $76 |
| Totals, Resources | $198 | $198 | $168 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency (State Operations) | 76 | 106 | 107 |
| Totals, Expenditures | $76 | $106 | $107 |
| FUND BALANCE | $122 | $92 | $61 |
| Reserve for economic uncertainties | 122 | 92 | 61 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

| 0173 Competitive Technology Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $21 | $11 | – |
| Prior year adjustments | −13 | – | – |
| Balance, Adjusted | $8 | $11 | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 3 | 1 | – |
| Totals, Revenues and Transfers | $3 | $1 | – |
| Totals, Resources | $11 | $12 | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency (Local Assistance) | – | 12 | – |
| Totals, Expenditures | – | $12 | – |
| FUND BALANCE | $11 | – | – |
| Reserve for economic uncertainties | 11 | – | – |

| 0218 Rural Development Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency (State Operations) | – | $30 | $30 |
| Totals, Disbursements | – | $30 | $30 |
| Expenditure Reductions: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| Less funding provided by the General Fund (State Operations) | – | −30 | −30 |
| Totals, Expenditure Reductions | – | −$30 | −$30 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

| 0393 Job Creation Investment Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $4,169 | $3,135 | $1,504 |
| Prior year adjustments | 1 | – | – |
| Balance, Adjusted | $4,170 | $3,135 | $1,504 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 211 | 175 | 66 |
| Totals, Revenues and Transfers | $211 | $175 | $66 |
| Totals, Resources | $4,381 | $3,310 | $1,570 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| State Operations | 197 | 256 | 257 |
| Local Assistance | 1,049 | 1,550 | 1,313 |
| Totals, Expenditures | $1,246 | $1,806 | $1,570 |
| FUND BALANCE | $3,135 | $1,504 | – |
| Reserve for economic uncertainties | 3,135 | 1,504 | – |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

| 0440 Petroleum Underground Storage Tank Financing Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $32,823 | $34,422 | $24,530 |
| Prior year adjustments | –1,169 | – | – |
| Balance, Adjusted | $31,654 | $34,422 | $24,530 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 1,268 | 1,845 | 1,478 |
| 150400 Interest income from loans | 2,703 | 2,969 | 3,743 |
| 164300 Fines and penalties | 29 | 12 | 12 |
| Totals, Revenues and Transfers | $4,000 | $4,826 | $5,233 |
| Totals, Resources | $35,654 | $39,248 | $29,763 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| State Operations | 331 | 816 | 821 |
| Local Assistance | 6,287 | 19,672 | 10,800 |
| Totals, Disbursements | $6,618 | $20,488 | $11,621 |
| Expenditure Reductions: | | | |
| 2920 California Technology, Trade, and Commerce Agency (Local Assistance) | | | |
| Less loan repayments Government Code Section 15399.17(a)(2) | –5,386 | –5,770 | –5,908 |
| Totals, Expenditures | $1,232 | $14,718 | $5,713 |
| FUND BALANCE | $34,422 | $24,530 | $24,050 |
| Reserve for economic uncertainties | 34,422 | 24,530 | 24,050 |

| 0442 California Olympic Training Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1 | – | – |
| Prior year adjustments | 7 | – | – |
| Balance, Adjusted | $8 | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 143000 Sales of personalized license plates | 93 | $87 | $87 |
| Totals, Revenues and Transfers | $93 | $87 | $87 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Chapter 28, Statutes 1989 | –101 | –87 | –87 |
| Totals, Transfers to Other Funds | –$101 | –$87 | –$87 |
| Totals, Revenues and Transfers | –$8 | – | – |
| Totals, Resources | – | – | – |
| FUND BALANCE | – | – | – |

| 0521 Rural Economic Development Infrastructure Revenue Bond Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $12,325 | $8,052 | $6,649 |
| Prior year adjustments | –5,640 | – | – |
| Balance, Adjusted | $6,685 | $8,052 | $6,649 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214600 Interest income from loans | 481 | 484 | 509 |
| 215600 Income from other investments | 202 | 202 | 202 |
| 250300 Income from surplus money investments | 124 | 130 | 130 |
| Totals, Operating Revenues | $807 | $816 | $841 |
| Totals, Resources | $7,492 | $8,868 | $7,490 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| State Operations | $8 | $390 | $390 |
| Local Assistance | – | 2,400 | 3,800 |
| Totals, Disbursements | $8 | $2,790 | $4,190 |
| Expenditure Reductions: | | | |
| 2920 California Technology, Trade, and Commerce Agency | | | |
| Loan repayments per Government Code Section 15373 (Local Assistance) | −568 | −571 | −603 |
| Totals, Expenditures | −$560 | $2,219 | $3,587 |
| FUND BALANCE | $8,052 | $6,649 | $3,903 |

### 0535 California Main Street Program [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2 | $9 | $1 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenue: | | | |
| 212600 Materials and Supplies | 2 | 7 | 15 |
| 299500 Other-Local Government | 1 | 5 | 5 |
| 299600 Other-Private Sector | 7 | 40 | 40 |
| Totals, Operating Revenues | $10 | $52 | $60 |
| Totals, Resources | $12 | $61 | $61 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency (State Operations) | 3 | 60 | 60 |
| Totals, Expenditures | $3 | $60 | $60 |
| FUND BALANCE | $9 | $1 | $1 |

### 0649 California Infrastructure and Economic Development Bank Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $52,503 | $480,498 | $284,551 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214600 Interest income from loans | – | 2,083 | 5,990 |
| 216600 Fees and licenses | 311 | 825 | 1,801 |
| 250300 Income from surplus money investments | 3,786 | 3,500 | 2,500 |
| 299600 Other—external: private sector | 72 | 72 | 72 |
| Totals, Operating Revenues | $4,169 | $6,480 | $10,363 |
| Totals, Resources | $56,672 | $486,978 | $294,914 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| State Operations | 1,174 | 2,427 | 2,656 |
| Local Assistance (loans) | – | 200,000 | 201,000 |
| Totals, Disbursements | $1,174 | $202,427 | $203,656 |
| 2920 California Technology, Trade, and Commerce Agency | | | |
| Expenditure Reductions: | | | |
| Loan repayments per Government Code Section 63050 | – | – | – |
| Less funding provided by the General Fund (Local Assistance) | −425,000 | – | – |
| Totals, Expenditure Reductions | −$425,000 | – | – |
| Totals, Expenditures | −$423,826 | $202,427 | $203,656 |
| FUND BALANCE | $480,498 | $284,551 | $91,258 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

### 0694 Petroleum Financing Collection Account [n] (California Economic Development Grant and Loan Fund)

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $847 | $960 | $1,146 |
| Prior year adjustments | −1 | – | – |
| Balance, Adjusted | $846 | $960 | $1,146 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 216600 Fees and licenses | 54 | 120 | 78 |
| 250300 Income from surplus money investments | 49 | 55 | 60 |
| 299600 Other—External:Private Sector | 11 | 11 | 11 |
| Totals, Operating Revenues | $114 | $186 | $149 |
| Totals, Resources | $960 | $1,146 | $1,295 |
| FUND BALANCE | $960 | $1,146 | $1,295 |

### 0695 Grant and Loan Collection Account [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $726 | $767 | $806 |
| Prior year adjustments | 1 | – | – |
| Balance, Adjusted | $727 | $767 | $806 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 216600 Fees and Licenses | 2 | 2 | 2 |
| 250300 Income from surplus money investments | 42 | 43 | 44 |
| Totals, Operating Revenues | $44 | $45 | $46 |
| Totals, Resources | $771 | $812 | $852 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency (State Operations) | 4 | 6 | 5 |
| Totals, Expenditures | $4 | $6 | $5 |
| FUND BALANCE | $767 | $806 | $847 |

### 0801 California Small Business Development Center Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $16 | – |
| Prior year adjustments | −$4 | – | – |
| Balance, Adjusted | −$4 | $16 | – |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299600 Other—external: private sector | 97 | 1,230 | $1,249 |
| Totals, Operating Revenues | $97 | $1,230 | $1,249 |
| Totals, Resources | $93 | $1,246 | $1,249 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| State Operations | 1 | 246 | 249 |
| Local Assistance | 76 | 1,000 | 1,000 |
| Totals, Expenditures | $77 | $1,246 | $1,249 |
| FUND BALANCE | $16 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

| 0809 Export Finance Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $11,140 | $10,915 | $10,739 |
| Prior year adjustments | –9 | – | – |
| Balance, Adjusted | $11,131 | $10,915 | $10,739 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 216600 Fees and licenses | 94 | 100 | 120 |
| 250300 Income from surplus money investments | 637 | 625 | 625 |
| Totals, Operating Revenues | $731 | $725 | $745 |
| Totals, Resources | $11,862 | $11,640 | $11,484 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| State Operations: | | | |
| Support | 381 | 401 | 413 |
| Special operations, loan defaults | 566 | 500 | 500 |
| Totals, Expenditures | $947 | $901 | $913 |
| FUND BALANCE | $10,915 | $10,739 | $10,571 |

| 0824 California Export Promotion Account, California State World Trade Commission Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $59 | $64 | $65 |
| Prior year adjustments | 7 | – | – |
| Balance, Adjusted | $66 | $64 | $65 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 216600 Fees and licenses | – | 1 | 1 |
| 250300 Income from surplus money investments | 4 | 4 | 4 |
| 299600 Other—external: private sector | 175 | 500 | 500 |
| Totals, Operating Revenues | $179 | $505 | $505 |
| Totals, Resources | $245 | $569 | $570 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency (State Operations) | 181 | 504 | 511 |
| Totals, Expenditures | $181 | $504 | $511 |
| FUND BALANCE | $64 | $65 | $59 |

| 0828 Hazardous Waste Reduction Loan Account, California Economic Development Grant and Loan Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,294 | $990 | $871 |
| Prior year adjustments | –330 | – | – |
| Balance, Adjusted | $964 | $990 | $871 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214600 Interest income from loans | 191 | 113 | 91 |
| 216600 Fees and licenses | 8 | 11 | 11 |
| 217600 Fines and penalties | 14 | 14 | 14 |
| 250300 Income from surplus money investments | 50 | 40 | 40 |
| Totals, Operating Revenues | $263 | $178 | $156 |
| Totals, Resources | $1,227 | $1,168 | $1,027 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| State Operations | 95 | 129 | 130 |
| Local Assistance | 792 | 660 | 660 |
| Totals, Disbursements | $887 | $789 | $790 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Expenditure Reductions: | | | |
| 2920 California Technology, Trade, and Commerce Agency (Local Assistance) | | | |
| Loan repayments per Corporations Code Section 14142 | –$650 | –$492 | –$435 |
| Totals, Expenditures | $237 | $297 | $355 |
| FUND BALANCE | $990 | $871 | $672 |

### 0918 Small Business Expansion Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $10,375 | $8,549 | $8,001 |
| Prior year adjustments | 5 | – | – |
| Balance, Adjusted | $10,380 | $8,549 | $8,001 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 250300 Income from surplus money investments | 569 | 550 | 550 |
| 261900 Escheat of Unclaimed Checks, Warrants | 1 | – | – |
| Totals, Operating Revenues | $570 | $550 | $550 |
| Totals, Resources | $10,950 | $9,099 | $8,551 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency | | | |
| State Operations: | | | |
| Loan Guarantee Defaults | 142 | 206 | 206 |
| Support | 3,609 | 13,322 | 4,742 |
| Disaster Relief (Local Assistance) | 312 | 232 | – |
| Totals, Disbursements | $4,063 | $13,760 | $4,948 |
| Expenditure Reductions: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| Less funding provided by the General Fund | –1,662 | –12,662 | –4,662 |
| Totals, Expenditure Reductions | –$1,662 | –$12,662 | –$4,662 |
| Totals, Expenditures | $2,401 | $1,098 | $286 |
| FUND BALANCE | $8,549 | $8,001 | $8,265 |

### 0922 California Economic Development Grant and Loan Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $6,789 | $3,642 | $2,060 |
| Prior year adjustments | –2,830 | – | – |
| Balance, Adjusted | $3,959 | $3,642 | $2,060 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214600 Interest income from loans | 196 | 260 | 268 |
| 217600 Fines and penalties | 4 | 4 | 4 |
| 250300 Income from surplus money investments | 337 | 300 | 300 |
| 299100 Other-interstate | 593 | – | – |
| Totals, Operating Revenues | $1,130 | $564 | $572 |
| Totals, Resources | $5,089 | $4,206 | $2,632 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency: | | | |
| State Operations | 421 | 497 | 153 |
| Local Assistance | 1,465 | 2,075 | 1,575 |
| Totals, Disbursements | $1,886 | $2,572 | $1,728 |
| Expenditure Reductions: | | | |
| 2920 California Technology, Trade, and Commerce Agency (Local Assistance) | | | |
| Loan repayments per Government Code Section 15327 | –439 | –426 | –430 |
| Totals, Expenditure Reductions | –$439 | –$426 | –$430 |
| Totals, Expenditures | $1,447 | $2,146 | $1,298 |
| FUND BALANCE | $3,642 | $2,060 | $1,334 |

*** Dollars in thousands, except in Salary Range.**

## 2920 TECHNOLOGY, TRADE, AND COMMERCE AGENCY—Continued

| 3005 Film California First Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2920 California Technology, Trade, and Commerce Agency (Local Assistance) | – | $15,000 | $15,000 |
| Totals, Expenditures | – | $15,000 | $15,000 |
| Expenditure Reductions: | | | |
| 2920 California Technology, Trade, and Commerce Agency Less funding provided by the General Fund (Local Assistance) | – | −15,000 | −15,000 |
| Totals, Expenditure Reductions | – | −$15,000 | −$15,000 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 274.1 | 383.3 | 374.9 | $14,485 | $20,247 | $20,451 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: | | | | Salary Range | | |
| California Infrastructure and Economic Development Bank: | | | | | | |
| Assoc Govtl Prog Analyst | – | 0.9 | 1.0 | 3,915–4,759 | 41 | 47 |
| Contracts, Grants and Loan Unit: | | | | | | |
| Loan Ofcr | – | −0.9 | −1.0 | 3,915–4,759 | −41 | −47 |
| Totals, Workload and Administrative Adjustments | – | – | – | – | – | – |
| Proposed New Positions: | | | | | | |
| California Infrastructure and Economic Development Bank: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 2.0 | 3,915–4,759 | – | 109 |
| Staff Svcs Analyst | – | – | 3.0 | 2,507–3,957 | – | 100 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Overtime | – | – | – | – | – | 40 |
| Totals, Proposed New Positions | – | – | 6.0 | – | – | $280 |
| Total Adjustments | – | – | 6.0 | – | – | $280 |
| TOTALS, SALARIES AND WAGES | 274.1 | 383.3 | 380.9 | $14,485 | $20,247 | $20,731 |

*** Dollars in thousands, except in Salary Range.**

# TABLE OF CONTENTS

## RESOURCES


Special Resources Programs ..... R 1
California Tahoe Conservancy ..... R 2
Geothermal Resources Development Program ..... R 7
Environmental Protection Program ..... R 8
California Conservation Corps ..... R 11
Energy Resources Conservation and Development Commission ..... R 15
Energy Initiatives ..... R 29
Renewable Resources Investment Program ..... R 30
Colorado River Board of California ..... R 31
Department of Conservation ..... R 32
Department of Forestry and Fire Protection ..... R 45
State Lands Commission ..... R 58
Department of Fish and Game ..... R 62
Wildlife Conservation Board ..... R 82
Department of Boating and Waterways ..... R 89
California Coastal Commission ..... R 95
State Coastal Conservancy ..... R 100
Native American Heritage Commission ..... R 107
Department of Parks and Recreation ..... R 108
Santa Monica Mountains Conservancy ..... R 126
San Francisco Bay Conservation and Development Commission ..... R 129
San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy ..... R 131
San Joaquin River Conservancy ..... R 131
Baldwin Hills Conservancy ..... R 133
Delta Protecton Commission ..... R 134
Coachella Valley Mountains Conservancy ..... R 135
Department of Water Resources ..... R 137
CALFED Bay-Delta Program ..... R 158

# 3110 SPECIAL RESOURCES PROGRAMS

The Special Resources Programs include the Tahoe Regional Planning Agency, the Yosemite Foundation Program, and the Sea Grant Program.

## Authority

Government Code Sections 66800-1, 66901, 67000–67132 and Public Resources Code Section 6217.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10 Tahoe Regional Planning Agency (Local Assistance) | $2,806 | $2,818 | $3,651 |
| 20 Yosemite Foundation Program (Local Assistance) | – | – | 840 |
| 30 Sea Grant Program (State Operations) | 429 | 1,102 | 1,100 |
| TOTALS, PROGRAMS | $3,235 | $3,920 | $5,591 |
| *0001 General Fund* | *2,787* | *3,527* | *4,360* |
| *0071 Yosemite Foundation Account, Environmental License Plate Fund* | – | – | *840* |
| *0140 California Environmental License Plate Fund* | *277* | *269* | *267* |
| *0516 Harbors and Watercraft Revolving Fund* | *171* | *124* | *124* |

## 10 TAHOE REGIONAL PLANNING AGENCY

### Program Objectives Statement

A congressionally approved compact between California and Nevada established the Tahoe Regional Planning Agency. The agency provides coordinated planning and enforceable regulations that preserve and enhance the environment and resources of the Lake Tahoe Basin. Funding for the agency, according to the compact, is shared between the States of Nevada (one-third) and California (two-thirds).

### Major Budget Adjustments Proposed for 2001–02

- $233,000 General Fund to increase staff salaries and benefits to a level that is comparable with the prevailing wages being offered by other state governmental agencies in California and Nevada.
- $400,000 General Fund to update Environmental Threshold Carrying Capacity studies.
- $200,000 General Fund to address increased regulatory workload as a result of implementation of the Environmental Improvement Program.

## 20 YOSEMITE FOUNDATION PROGRAM

### Program Objectives Statement

This program receives revenue from the sale of personalized motor vehicle license plates by the Department of Motor Vehicles. The funds are allocated by the State Controller for restoration and preservation projects in Yosemite National Park.

## 30 SEA GRANT PROGRAM

### Program Objectives Statement

This program provides state assistance to the University of California and University of Southern California. These funds are the necessary matching funds for selected projects under the federal Sea Grant Program. The Sea Grant Program in California encourages research and education in the fields of marine resources and technology.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 TAHOE REGIONAL PLANNING AGENCY

| Local Assistance: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$2,468* | *$2,527* | *$3,360* |
| *0140 California Environmental License Plate Fund* | *167* | *167* | *167* |
| *0516 Harbors and Watercraft Revolving Fund* | *171* | *124* | *124* |
| Totals, Local Assistance | $2,806 | $2,818 | $3,651 |

### PROGRAM REQUIREMENTS
### 20 YOSEMITE FOUNDATION PROGRAM

| Local Assistance: | | | |
|---|---|---|---|
| *0071 Yosemite Foundation Account, Environmental License Plate Fund* | – | – | *$840* |

### 30 SEA GRANT PROGRAM

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$319* | *$1,000* | *$1,000* |
| *0140 California Environmental License Plate Fund* | *110* | *102* | *100* |
| Totals, State Operations | $429 | $1,102 | $1,100 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3110 SPECIAL RESOURCES PROGRAMS—Continued

| TOTAL EXPENDITURES | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations | $429 | $1,102 | $1,100 |
| Local Assistance | 2,806 | 2,818 | 4,491 |
| TOTALS, EXPENDITURES | $3,235 | $3,920 | $5,591 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (Sea Grant Program) (expenditures) | $319 | $1,000 | $1,000 |
| **0140 California Environmental License Plate Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Sea Grant Program) | $111 | $102 | $100 |
| Unexpended balance, estimated savings | −1 | – | – |
| TOTALS, EXPENDITURES | $110 | $102 | $100 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $429 | $1,102 | $1,100 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (Tahoe Regional Planning Agency) (expenditures) | $2,468 | $2,527 | $3,360 |
| **0071 Yosemite Foundation Account, Environmental License Plate Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (Yosemite Foundation Program) [1] (expenditures) | – | – | $840 |
| **0140 California Environmental License Plate Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (Tahoe Regional Planning Agency) (expenditures) | $167 | $167 | $167 |
| **0516 Harbors and Watercraft Revolving Fund [n]** | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (Tahoe Regional Planning Agency) (expenditures) | $171 | $124 | $124 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $2,806 | $2,818 | $4,491 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $3,235 | $3,920 | $5,591 |

[1] 1999–00 and 2000–01 expenditures are reflected in 0840 State Controller's Office.

## 3125 CALIFORNIA TAHOE CONSERVANCY

### Program Objectives Statement

The California Tahoe Conservancy acquires and manages land to protect the natural environment, provides public access and recreational facilities, and preserves wildlife habitat areas; it also awards grants to other public agencies and nonprofit organizations for the purposes of its programs.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3125 CALIFORNIA TAHOE CONSERVANCY—Continued

### Major Budget Adjustments Proposed for 2001–02

- $6,841,000 General Fund, $3,025,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Act of 2000, and $601,000 other funding to restore Stream Environment Zones and watersheds consistent with the Lake Tahoe Environmental Improvement Program.
- $4,587,000 General Fund and $1,340,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Act of 2000 for public access and recreation projects consistent with the Lake Tahoe Environmental Improvement Program.
- $2,163,000 General Fund and $837,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Act of 2000 for Soil Erosion Control grants consistent with the Lake Tahoe Environmental Improvement Program.
- $889,000 General Fund and $628,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Act of 2000 to preserve and enhance key habitats consistent with the Lake Tahoe Environmental Improvement Program.
- $594,000 General Fund, $174,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Act of 2000, and 7.9 new positions in support of Lake Tahoe Environmental Improvement Program projects.
- $226,000 General Fund and $524,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Act of 2000 for acquisitions consistent with the Lake Tahoe Environmental Improvement Program.

### Authority

Government Code, Title 7.42, commencing with Section 66905, and Title 7.43 commencing with Section 66950.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Tahoe Conservancy | 19.1 | 35.6 | 43.6 | $4,268 | $15,296 | $9,799 |
| TOTALS, PROGRAMS | 19.1 | 35.6 | 43.6 | $4,268 | $15,296 | $9,799 |
| *0001 General Fund* | | | | *3,938* | *9,730* | *8,378* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | | | | *–* | *126* | *1,137* |
| *0140 California Environmental License Plate Fund* | | | | *63* | *5,163* | *–* |
| *0262 Habitat Conservation Fund* | | | | *16* | *17* | *17* |
| *0286 Lake Tahoe Conservancy Account* | | | | *99* | *56* | *62* |
| *0568 Tahoe Conservancy Fund* | | | | *119* | *171* | *172* |
| *0995 Reimbursements* | | | | *33* | *33* | *33* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 19.1 | 35.9 | 34.8 | $1,056 | $1,655 | $1,653 |
| Total Adjustments | – | – | 9.5 | – | – | 483 |
| Estimated Salary Savings | – | –0.3 | –0.7 | – | –17 | –17 |
| Net Totals, Salaries and Wages | 19.1 | 35.6 | 43.6 | $1,056 | $1,638 | $2,119 |
| Staff Benefits | – | – | – | 182 | 250 | 364 |
| Totals, Personal Services | 19.1 | 35.6 | 43.6 | $1,238 | $1,888 | $2,483 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,505 | $2,245 | $2,316 |
| TOTALS, EXPENDITURES | | | | $3,743 | $4,133 | $4,799 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $3,646 | $3,744 | $4,215 |
| Allocation for employee compensation | 144 | 7 | – |
| Adjustment per Section 3.60 | –82 | –21 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $3,709 | $3,730 | $4,215 |
| Unexpended balance, estimated savings | –296 | – | – |
| TOTALS, EXPENDITURES | $3,413 | $3,730 | $4,215 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $127 | $300 |
| Adjustment per Section 3.60 | – | –1 | – |
| TOTALS, EXPENDITURES | – | $126 | $300 |

*** Dollars in thousands, except in Salary Range.**

## 3125 CALIFORNIA TAHOE CONSERVANCY—Continued

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $68 | – | – |
| Allocation for employee compensation | 2 | – | – |
| Adjustment per Section 3.60 | –2 | – | – |
| Totals Available | $68 | – | – |
| Unexpended balance, estimated savings | –5 | – | – |
| TOTALS, EXPENDITURES | $63 | – | – |

### 0262 Habitat Conservation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $17 | $17 | $17 |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | $16 | $17 | $17 |

### 0286 Lake Tahoe Conservancy Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $101 | $56 | $62 |
| Allocation for employee compensation | 4 | – | – |
| Adjustment per Section 3.60 | –2 | – | – |
| Totals Available | $103 | $56 | $62 |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES | $99 | $56 | $62 |

### 0568 Tahoe Conservancy Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $168 | $172 | $172 |
| Allocation for employee compensation | 7 | – | – |
| Adjustment per Section 3.60 | –4 | –1 | – |
| Totals Available | $171 | $171 | $172 |
| Unexpended balance, estimated savings | –52 | – | – |
| TOTALS, EXPENDITURES | $119 | $171 | $172 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $33 | $33 | $33 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,743 | $4,133 | $4,799 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and Subventions | $525 | $11,163 | $5,000 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $2,000 | $2,000 | $4,163 |
| Prior year balances available: | | | |
| Item 3125-101-0001, Budget Act of 1997 | 1,902 | – | – |
| Item 3125-101-0001, Budget Act of 1998 | 2,000 | 2,000 | – |
| Item 3125-101-0001, Budget Act of 1999 | – | 2,000 | – |
| Totals Available | $5,902 | $6,000 | $4,163 |
| Balance available in subsequent years | –4,000 | – | – |
| Unexpended balance, estimated savings | –1,377 | – | – |
| TOTALS, EXPENDITURES | $525 | $6,000 | $4,163 |

*** Dollars in thousands, except in Salary Range.**

## 3125 CALIFORNIA TAHOE CONSERVANCY—Continued

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | – | $837 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $2,000 | $1,163 | – |
| Prior year balances available: | | | |
| Item 3125-101-0140, Budget Act of 1998 | 2,000 | 2,000 | – |
| Item 3125-101-0140, Budget Act of 1999 | – | 2,000 | – |
| Totals Available | $4,000 | $5,163 | – |
| Balance available in subsequent years | –4,000 | – | – |
| TOTALS, EXPENDITURES | – | $5,163 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $525 | $11,163 | $5,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $4,268 | $15,296 | $9,799 |

### FUND CONDITION STATEMENT
### 0286 Lake Tahoe Conservancy Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $990 | $1,005 | $421 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 143000 Personalized license plates | 613 | 630 | 630 |
| Totals, Resources | $1,603 | $1,635 | $1,051 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3125 California Tahoe Conservancy: | | | |
| State Operations | 99 | 56 | 62 |
| Capital Outlay | 498 | 1,158 | 713 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | 1 | – | – |
| Totals, Expenditures | $598 | $1,214 | $775 |
| FUND BALANCE | $1,005 | $421 | $276 |
| Reserve for economic uncertainties | 1,005 | 421 | 276 |

### 0720 Lake Tahoe Acquisitions Fund [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $35 | $35 | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3125 California Tahoe Conservancy (Capital Outlay) | – | 35 | – |
| FUND BALANCE | $35 | – | – |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 19.1 | 35.9 | 34.8 | $1,056 | $1,655 | $1,653 |
| Proposed New Positions: | | | | Salary Range | | |
| Tahoe Conservancy Prog Mgr [1] | – | – | 1.0 | 5,231–5,769 | – | 66 |
| Tahoe Conservancy Prog Analyst II | – | – | 6.7 | 3,915–4,759 | – | 349 |
| Assoc Govtl Prog Analyst | – | – | 1.8 | 3,915–4,759 | – | 68 |
| Totals, Proposed New Positions | – | – | 9.5 | – | – | $483 |
| Total Adjustments | – | – | 9.5 | – | – | $483 |
| TOTALS, SALARIES AND WAGES | 19.1 | 35.9 | 44.3 | $1,056 | $1,655 | $2,136 |

[1] 1.0 position limited-term to June 30, 2002.

*** Dollars in thousands, except in Salary Range.**

## 3125 CALIFORNIA TAHOE CONSERVANCY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **50 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| **50.30 Land Acquisition** | | | |
| 50.30.002 Land acquisition and site improvements—public access and recreation | $1,652 | $8,665 | $6,783 |
| 50.30.003 Land acquisition and site improvements—wildlife, waterfowl and fisheries | 688 | 3,759 | 2,000 |
| 50.30.004 Land acquisition and site improvements—stream environment zones and watershed restorations | 10,905 | 5,883 | 10,717 |
| 50.30.005 Land acquisition pursuant to Section 66907 of the Government Code | 2,722 | 5,738 | 2,500 |
| 50.30.006 River Parkway Program, Truckee River Land acquisition | 1,000 | – | – |
| 50.30.007 Lake Tahoe Water Quality Program | 2,479 | 4,131 | – |
| 50.30.008 Land Acquisition and Site Improvements—Upper Truckee River Watershed | – | 6,354 | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $19,446 | $34,530 | $22,000 |
| *0001 General Fund* | *9,351* | *15,234* | *15,043* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | *–* | *6,354* | *5,517* |
| *0140 California Environmental License Plate Fund* | *5,060* | *5,175* | *–* |
| *0262 Habitat Conservation Fund* | *188* | *1,387* | *483* |
| *0286 Lake Tahoe Conservancy Account* | *498* | *1,158* | *713* |
| *0443 Lake Tahoe Water Quality Subaccount* | *2,479* | *4,131* | *–* |
| *0545 River Parkway Subaccount* | *1,000* | *–* | *–* |
| *0720 Lake Tahoe Acquisition Fund* | *–* | *39* | *–* |
| *0995 Reimbursements* | *870* | *1,052* | *244* |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $12,341 | $6,085 | $15,043 |
| Prior year balances available: | | | |
| Item 3125-301-0001, Budget Act of 1997 | 1,381 | – | – |
| Item 3125-301-0001, Budget Act of 1998 | 4,779 | 503 | – |
| Item 3125-301-0001, Budget Act of 1999 | – | 8,646 | – |
| Totals Available | $18,501 | $15,234 | $15,043 |
| Balance available in subsequent years | –9,149 | – | – |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | $9,351 | $15,234 | $15,043 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $6,354 | $5,517 |

### 0140 California Environmental License Plate Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $3,280 | $3,675 | – |
| Prior year balances available: | | | |
| Item 3125-301-0001, Budget Act of 1998 | 3,280 | – | – |
| Item 3125-301-0001, Budget Act of 1999 | – | 1,500 | – |
| Totals Available | $6,560 | $5,175 | – |
| Balance available in subsequent years | –1,500 | – | – |
| TOTALS, EXPENDITURES | $5,060 | $5,175 | – |

*** Dollars in thousands, except in Salary Range.**

## 3125 CALIFORNIA TAHOE CONSERVANCY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0262 Habitat Conservation Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $483 | $483 | $483 |
| Prior year balances available: | | | |
| Item 3125-301-0262, Budget Act of 1997 | 126 | – | – |
| Item 3125-301-0262, Budget Act of 1998 | 483 | 421 | – |
| Item 3125-301-0262, Budget Act of 1999 | – | 483 | – |
| Totals Available | $1,092 | $1,387 | $483 |
| Balance available in subsequent years | –904 | – | – |
| TOTALS, EXPENDITURES | $188 | $1,387 | $483 |
| **0286 Lake Tahoe Conservancy Account [s]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $680 | $713 | $713 |
| Prior year balances available: | | | |
| Item 3125-301-0286, Budget Act of 1997 | 53 | – | – |
| Item 3125-301-0286, Budget Act of 1998 | 210 | 105 | – |
| Item 3125-301-0286, Budget Act of 1999 | – | 340 | – |
| Totals Available | $943 | $1,158 | $713 |
| Balance available in subsequent years | –445 | – | – |
| TOTALS, EXPENDITURES | $498 | $1,158 | $713 |
| **0443 Lake Tahoe Water Quality Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3125-301-0443, Budget Act of 1997 | $1,736 | – | – |
| Item 3125-301-0443, Budget Act of 1998 | 5,000 | $4,131 | – |
| Totals Available | $6,736 | $4,131 | – |
| Balance available in subsequent years | –4,131 | – | – |
| Unexpended balance, estimated savings | –126 | – | – |
| TOTALS, EXPENDITURES | $2,479 | $4,131 | – |
| **0545 River Parkway Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3125-301-0545, Budget Act of 1999 (expenditures) | $1,000 | – | – |
| **0720 Lake Tahoe Acquisition Fund [b]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $39 | – | – |
| Prior year balances available: | | | |
| Item 3125-301-0720, Budget Act of 1999 | – | $39 | – |
| Balance available in subsequent years | –39 | – | – |
| TOTALS, EXPENDITURES | – | $39 | – |
| **0995 Reimbursements** | | | |
| Reimbursements | $870 | $1,052 | $244 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $19,446 | $34,530 | $22,000 |

# 3180 GEOTHERMAL RESOURCES DEVELOPMENT PROGRAM

### Program Objectives Statement

All moneys received by the State for geothermal leases on federal lands are deposited in the Geothermal Resources Development Account in the General Fund. In addition, pursuant to Section 3826 of the Public Resources Code, 50 percent of all revenues received by the State Lands Commission from the lease of state indemnity lands for geothermal development are deposited in this account. (The other 50 percent is deposited in the State Teachers' Retirement Fund.) Funds in the account are allocated as follows:

- 40 percent is disbursed to counties of lease origin in proportion to the amount of revenue derived from existing leases within each county of origin.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3180 GEOTHERMAL RESOURCES DEVELOPMENT PROGRAM—Continued

- 30 percent is available to the State Energy Resources Conservation and Development Commission as grants or loans to local jurisdictions having geothermal resources. Five percent of this amount, not to exceed $100,000, may be used to provide direct technical assistance to local jurisdictions eligible for grants.
- 30 percent is transferred to the Renewable Resources Investment Fund for appropriation for the purposes of Section 34000 of the Public Resources Code.

The Geothermal Resources Development Account Fund Condition Statement previously displayed in 3180 is now displayed in 3360—California Energy Resources Conservation and Development Commission.

---

# 3210 ENVIRONMENTAL PROTECTION PROGRAM

The California Environmental Protection Program receives revenue from the sale of personalized motor vehicle license plates by the Department of Motor Vehicles. Revenues are deposited in the California Environmental License Plate Fund. Funds expended pursuant to Division 13.5 of the Public Resources Code must have one or more of the following purposes:

a. The control and abatement of air pollution, including all phases of research into the sources, dynamics and effects of environmental pollutants.
b. The acquisition, preservation, restoration, or any combination thereof, of natural areas or ecological reserves.
c. Environmental education, including formal school programs and informal public education programs.
d. Protection of nongame species and threatened and endangered plants and animals.
e. Protection, enhancement, and restoration of fish and wildlife habitat and related water quality, including review of the potential impact of development activities and land use changes on that habitat.
f. The purchase, on an opportunity basis, of real property consisting of sensitive natural areas for the state park system and for local and regional parks.
g. Reduction or minimization of the effects of soil erosion and the discharge of sediment into the waters of the Lake Tahoe region, including the restoration of disturbed wetlands and stream environment zones.

The appropriations and expenditures for programs and projects funded from the California Environmental License Plate Fund are contained in the budgets of the various state boards, commissions and departments identified in the narrative provided below.

### SUMMARY OF PROGRAM REQUIREMENTS

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Environmental Protection Program (California Environmental License Plate Fund) | $27,000 | $36,011 | $22,708 |
| **0540 SECRETARY FOR RESOURCES** | | | |
| • California Environmental Resources Evaluation System (CERES) | ($713) | ($799) | ($853) |
| **3110 SPECIAL RESOURCES PROGRAMS** | | | |
| • Resource Enhancement Activities in the California Sea Grant Program | ($110) | ($102) | ($100) |
| • Regulatory Streamlining Program | – | – | – |
| • Threshold Studies | (167) | (167) | (167) |
| **3125 CALIFORNIA TAHOE CONSERVANCY** | | | |
| • Tahoe Re-Green | – | – | – |
| • Environmental Improvement Plan Implementation | ($5,123) | ($10,338) | – |
| **3340 CALIFORNIA CONSERVATION CORPS** | | | |
| • Backcountry Trails Program | ($307) | ($306) | ($301) |
| **3460 COLORADO RIVER BOARD** | | | |
| • Salinity Control Forum | ($16) | ($18) | ($15) |
| **3480 DEPARTMENT OF CONSERVATION** | | | |
| • Land Resource Protection | ($52) | ($55) | ($55) |
| • Agricultural Land Stewardship Program Grants | – | – | – |
| **3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION** | | | |
| • Forest Pest Management | – | – | – |
| • Project Learning Tree | ($82) | ($83) | ($83) |
| • Biological Diversity | (209) | (214) | (216) |
| • Environmental Protection | (66) | (69) | (69) |
| • Sierra Nevada Integrated Resources Assessment | (125) | (125) | (125) |
| **3600 DEPARTMENT OF FISH AND GAME** | | | |
| • Nongame Fish and Wildlife Activities | ($5,219) | ($6,667) | ($5,188) |
| • Natural Diversity Data Base | (685) | (661) | (661) |
| • Threatened Endangered and Rare Wildlife | (1,241) | (1,292) | (1,292) |
| • Management of Department Lands | (1,311) | (2,316) | (1,453) |
| • Conservation Education | (413) | (413) | (413) |
| • Multi-species and Habitat Conservation Planning | (4,051) | (4,918) | (3,969) |
| • Departmental Administration | (2,608) | (2,659) | (2,983) |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3210 ENVIRONMENTAL PROTECTION PROGRAM—Continued

### 3640 WILDLIFE CONSERVATION BOARD

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| • Riparian Habitat Conservation | ($84) | ($237) | ($241) |
| • Acquisition, Restoration and Enhancement Projects | (–) | – | – |

### 3790 DEPARTMENT OF PARKS AND RECREATION

| | | | |
|---|---|---|---|
| • Office of Historic Preservation—California Environmental Quality Act Review | ($128) | ($112) | ($113) |
| • Anza Borrego Desert State Park | (479) | (256) | (183) |
| • Bodie State Historic Park | – | – | – |
| • Grants Administration | – | – | – |
| • Local Assistance Grants | (305) | – | – |

### 3810 SANTA MONICA MOUNTAINS CONSERVANCY

| | | | |
|---|---|---|---|
| • Santa Monica Mountains Conservancy | ($447) | ($631) | ($652) |

### 3825 SAN GABRIEL AND LOWER LOS ANGELES RIVERS AND MOUNTAINS CONSERVANCY

| | | | |
|---|---|---|---|
| • San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy | – | ($243) | ($244) |

### 3830 SAN JOAQUIN RIVER CONSERVANCY

| | | | |
|---|---|---|---|
| • River and Environs Management and Access Program | ($174) | ($221) | ($205) |

### 3840 DELTA PROTECTION COMMISSION

| | | | |
|---|---|---|---|
| • Delta Protection Program | ($122) | ($155) | ($146) |

### 3850 COACHELLA VALLEY MOUNTAINS CONSERVANCY

| | | | |
|---|---|---|---|
| • Mountains and Environs Management and Access Program | – | ($104) | ($105) |

### 3860 DEPARTMENT OF WATER RESOURCES

| | | | |
|---|---|---|---|
| • Urban Streams Restoration Program | ($184) | ($190) | ($191) |
| • Trinity River Restoration Program | (357) | (368) | (373) |
| • San Joaquin River Management Program | (195) | (202) | (204) |

### 3930 DEPARTMENT OF PESTICIDE REGULATION

| | | | |
|---|---|---|---|
| • Expansion of Toxic Air Contaminant Program | ($324) | ($363) | ($366) |
| • Surface Water Protection Program | (115) | (129) | (130) |

### 3980 OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT

| | | | |
|---|---|---|---|
| • Risk Assessment Peer Review | ($303) | ($317) | ($318) |
| • Ecotoxicological Risk Assessment | (454) | (474) | (490) |

### 6110 DEPARTMENT OF EDUCATION

| | | | |
|---|---|---|---|
| • Environmental Education | ($797) | ($800) | ($800) |

### 9900 STATEWIDE GENERAL ADMINISTRATIVE EXPENDITURES (Pro Rata)

| | | | |
|---|---|---|---|
| • Pro Rata | ($33) | ($7) | ($4) |

---

### FUND CONDITION STATEMENT

| 0140 California Environmental License Plate Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $11,439 | $11,495 | $2,541 |
| Prior year adjustments | –1 | – | – |
| Balance, Adjusted | $11,438 | $11,495 | $2,541 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 143000 Personalized license plates | 30,383 | 30,383 | 30,383 |
| 150300 Income from surplus money investments | 440 | 440 | 440 |
| Totals, Revenues | $30,823 | $30,823 | $30,823 |
| Transfers from Other Funds: | | | |
| F00044 Motor Vehicle Account per Government Code Section 16475 | 124 | 124 | 124 |
| Totals, Transfers from Other Funds | $124 | $124 | $124 |

*** Dollars in thousands, except in Salary Range.**

## 3210 ENVIRONMENTAL PROTECTION PROGRAM—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Transfers to Other Funds: | | | |
| T00044 Motor Vehicle Account, State Transportation Fund per Public Resources Code Section 21191(b) | –$3,890 | –$3,890 | –$3,890 |
| Totals, Transfers to Other Funds | –$3,890 | –$3,890 | –$3,890 |
| Totals, Revenues and Transfers | $27,057 | $27,057 | $27,057 |
| Totals, Resources | $38,495 | $38,552 | $29,598 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0540 (3030) Secretary for Resources (State Operations) | 713 | 799 | 853 |
| 3110 Special Resources: | | | |
| State Operations | 110 | 102 | 100 |
| Local Assistance | 167 | 167 | 167 |
| 3125 California Tahoe Conservancy: | | | |
| State Operations | 63 | – | – |
| Local Assistance | – | 5,163 | – |
| Capital Outlay | 5,060 | 5,175 | – |
| 3340 California Conservation Corp (State Operations) | 307 | 306 | 301 |
| 3460 Colorado River Board (State Operations) | 16 | 18 | 15 |
| 3480 Department of Conservation (State Operations) | 52 | 55 | 55 |
| 3540 Department of Forestry and Fire Protection (State Operations) | 482 | 491 | 493 |
| 3600 Department of Fish and Game (State Operations) | 15,528 | 18,926 | 15,959 |
| 3640 Wildlife Conservation Board: | | | |
| State Operations | 84 | 237 | 241 |
| Capital Outlay | 1 | – | – |
| 3790 Department of Parks and Recreation: | | | |
| State Operations | 128 | 112 | 113 |
| Local Assistance | 305 | – | – |
| Capital Outlay | 479 | 256 | 183 |
| 3810 Santa Monica Mountains Conservancy (State Operations) | 447 | 631 | 652 |
| 3825 San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy (State Operations) | – | 243 | 244 |
| 3830 San Joaquin River Conservancy (State Operations) | 174 | 221 | 205 |
| 3840 Delta Protection Commission (State Operations) | 122 | 155 | 146 |
| 3850 Coachella Valley Mountains Conservancy (State Operations) | – | 104 | 105 |
| 3860 Department of Water Resources (State Operations) | 736 | 760 | 768 |
| 3930 Department of Pesticide Regulation (State Operations) | 439 | 492 | 496 |
| 3980 Office of Environmental Health Hazard Assessment (State Operations) | 757 | 791 | 808 |
| 6110 Department of Education (Local Assistance) | 797 | 800 | 800 |
| 9900 Statewide Pro Rata (State Operations) | 33 | 7 | 4 |
| Totals, Expenditures | $27,000 | $36,011 | $22,708 |
| FUND BALANCE | $11,495 | $2,541 | $6,890 |
| Reserve for economic uncertainties | 11,495 | 2,541 | 6,890 |

### 0071 Yosemite Foundation Account, Environmental License Plate Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $7 | $28 |
| Prior year adjustments | –$1 | – | – |
| Balance, Adjusted | –$1 | $7 | $28 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 143000 Personalized License Plates | 861 | 861 | 861 |
| Totals, Resources | $860 | $868 | $889 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3110 Special Resources Program (Local Assistance) | – | – | 840 |
| 9901 Statewide Issues (Local Assistance) | 853 | 840 | – |
| Totals, Disbursements | $853 | $840 | $840 |
| FUND BALANCE | $7 | $28 | $49 |
| Reserve for economic uncertainties | 7 | 28 | 49 |

*** Dollars in thousands, except in Salary Range.**

# 3340 CALIFORNIA CONSERVATION CORPS

The California Conservation Corps (CCC) assists federal, state and local agencies, and nonprofit entities in conserving and improving California's natural resources while providing employment, training, and educational opportunities for young men and women.

The CCC provides more than three million hours of conservation work each year. In addition to tree planting, stream clearance, trail building, park development, landscaping, energy conservation, forest improvements, plant nursery operations, and wildlife habitat restoration, the CCC responds to emergencies caused by fires, floods, earthquakes, and other natural disasters. The annualized corpsmember population for 2001–02 is estimated to be 2,250, of which approximately 250 are corpsmembers supervised by local nonprofit conservation corps.

## Authority

Public Resources Code Section 14000.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Training and Work Program | 279.0 | 414.4 | 408.7 | $77,943 | $87,130 | $99,072 |
| 10.55 Administration | 184.3 | 163.1 | 139.5 | 9,353 | 10,330 | 11,889 |
| 10.55 Distributed Administration | – | – | – | −9,353 | −10,330 | −11,889 |
| TOTALS, PROGRAMS | 463.3 | 577.5 | 548.2 | $77,943 | $87,130 | $99,072 |
| *0001 General Fund* | | | | *33,087* | *36,415* | *70,627* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | | | | *–* | *3,000* | *3,525* |
| *0140 California Environmental License Plate Fund* | | | | *307* | *306* | *301* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | | | | *253* | *260* | *262* |
| *0318 Collins-Dugan California Conservation Corps Reimbursement Account* | | | | *28,075* | *28,663* | *23,863* |
| *0465 Energy Resources Programs Account* | | | | *6,124* | *6,277* | *–* |
| *0853 Petroleum Violation Escrow Account* | | | | *10,097* | *11,713* | *–* |
| *0890 Federal Trust Fund* | | | | *–* | *496* | *494* |

## 10 TRAINING AND WORK PROGRAM

### Program Objective and Description

The CCC hires California youth primarily between 18 and 23 years old who reflect the diversity of the state's population. The age range varies with grants and specially funded programs. The mission of the CCC is to engage young men and women in meaningful work, public service and educational activities that assist them in becoming more responsible citizens, while protecting and enhancing California's environment, human resources and communities. This is done through fostering an appreciation for the value of hard work and the importance of education. The work is varied, meaningful, and productive. Statewide, there are 11 service districts which include 16 residential centers, and more than 40 nonresidential satellites in urban and rural areas. A major program emphasis is emergency response.

### Major Budget Adjustments Proposed for 2001–02

- $11,172,000 General Fund to restore training levels and reduce reliance on reimbursements.
- $9,737,000 General Fund to continue statewide energy programs by replacing Petroleum Violation Escrow Account funding with General Fund.
- $6,225,000 General Fund to continue statewide energy programs by replacing Energy Resources Programs Account funding with General Fund.
- $5,191,000 General Fund to address significant special repair, infrastructure, and vehicle needs.
- $3,525,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund to continue resource conservation projects.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 10 TRAINING AND WORK PROGRAM

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$33,087* | *$36,415* | *$70,627* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | *–* | *588* | *621* |
| *0140 California Environmental License Plate Fund* | *307* | *306* | *301* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *253* | *260* | *262* |
| *0318 Collins-Dugan California Conservation Corps Reimbursement Account* | *28,075* | *28,663* | *23,863* |
| *0465 Energy Resources Programs Account* | *6,124* | *6,277* | *–* |
| *0853 Petroleum Violation Escrow Account* | *10,097* | *11,713* | *–* |
| *0890 Federal Trust Fund* | *–* | *496* | *494* |
| Totals, State Operations | $77,943 | $84,718 | $96,168 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3340 CALIFORNIA CONSERVATION CORPS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | – | *$2,412* | *$2,904* |
| Totals, Local Assistance | – | $2,412 | $2,904 |
| Totals, State Operations and Local Assistance for Training and Work Program | $77,943 | $87,130 | $99,072 |
| **ELEMENT REQUIREMENTS** | | | |
| 10.20 Training and Work Program—Base Centers | 59,041 | 62,416 | 83,090 |
| State Operations: | | | |
| *0001 General Fund* | *24,056* | *26,508* | *57,593* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | – | *588* | *621* |
| *0140 California Environmental License Plate Fund* | *307* | *306* | *301* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *193* | *196* | *218* |
| *0318 Collins-Dugan California Conservation Corps Reimbursement Account* | *28,075* | *27,856* | *23,863* |
| *0465 Energy Resources Programs Account* | *4,673* | *4,729* | – |
| *0853 Petroleum Violation Escrow Account* | *1,737* | *1,737* | – |
| *0890 Federal Trust Fund* | – | *496* | *494* |
| 10.25 Weatherization and Energy Efficient Housing Program | 8,360 | 10,783 | – |
| State Operations: | | | |
| *0853 Petroleum Violation Escrow Account* | *8,360* | *9,976* | – |
| *0318 Collins-Dugan California Conservation Corps Reimbursement Account* | – | *807* | – |
| 10.40 Training and Work Program—Local Corps | 1,189 | 3,601 | 4,093 |
| State Operations: | | | |
| *0001 General Fund* | *1,189* | *1,189* | *1,189* |
| Local Assistance: | | | |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | – | *2,412* | *2,904* |
| 10.55 Administration | 9,353 | 10,330 | 11,889 |
| State Operations: | | | |
| *0001 General Fund* | *7,842* | *8,718* | *11,845* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *60* | *64* | *44* |
| *0465 Energy Resources Programs Account* | *1,451* | *1,548* | – |
| TOTALS, EXPENDITURES (State Operations and Local Assistance) | $77,943 | $87,130 | $99,072 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 463.3 | 608.0 | 608.0 | $21,279 | $25,705 | $24,992 |
| Total Adjustments | – | – | –30.8 | – | 14 | 24 |
| Estimated Salary Savings | – | –30.5 | –29.0 | – | –1,285 | –1,250 |
| Net Totals, Salaries and Wages | 463.3 | 577.5 | 548.2 | $21,279 | $24,434 | $23,766 |
| Staff Benefits | – | – | – | 4,863 | 5,617 | 5,461 |
| Totals, Personal Services | 463.3 | 577.5 | 548.2 | $26,142 | $30,051 | $29,227 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $51,801 | $54,667 | $66,941 |
| TOTALS, EXPENDITURES | | | | $77,943 | $84,718 | $96,168 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $32,195 | $35,071 | $70,627 |
| Allocation for employee compensation | 2,061 | 368 | – |
| Allocation for contingencies or emergencies | – | 1,050 | – |
| Adjustment per Section 3.60 | –1,174 | –74 | – |
| Adjustment per Section 16.00 | 5 | – | – |
| TOTALS, EXPENDITURES | $33,087 | $36,415 | $70,627 |

*** Dollars in thousands, except in Salary Range.**

## 3340 CALIFORNIA CONSERVATION CORPS—Continued

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $588 | $621 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $307 | $306 | $301 |

### 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $250 | $258 | $262 |
| Allocation for employee compensation | 12 | 3 | – |
| Adjustment per Section 3.60 | –9 | –1 | – |
| TOTALS, EXPENDITURES | $253 | $260 | $262 |

### 0318 Collins-Dugan California Conservation Corps Reimbursement Account [s]

| | | | |
|---|---|---|---|
| Public Resources Code Sections 14312 & 14315 (Chapter 976, Statutes of 1996 Section 1) (expenditures) | $28,075 | $28,663 | $23,863 |

### 0465 Energy Resources Programs Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $6,050 | $6,225 | – |
| Allocation for employee compensation | 295 | 65 | – |
| Adjustment per Section 3.60 | –221 | –13 | – |
| TOTALS, EXPENDITURES | $6,124 | $6,277 | – |

### 0853 Petroleum Violation Escrow Account [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $9,737 | $9,737 | – |
| Prior year balances available: | | | |
| Chapter 980, Statutes of 1995 | 7 | 7 | – |
| Chapter 659, Statutes of 1997 | 2,329 | 1,969 | – |
| Totals Available | $12,073 | $11,713 | – |
| Balance available in subsequent years | –1,976 | – | – |
| TOTALS, EXPENDITURES | $10,097 | $11,713 | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $497 | $496 | $494 |
| Budget adjustment | –497 | – | – |
| TOTALS, EXPENDITURES | – | $496 | $494 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $77,943 | $84,718 | $96,168 |

---

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and Subventions | – | $2,412 | $2,904 |

*** Dollars in thousands, except in Salary Range.**

## 3340 CALIFORNIA CONSERVATION CORPS—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $2,412 | $2,904 |
| TOTALS, EXPENDITURES (Local Assistance) | – | $2,412 | $2,904 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $77,943 | $87,130 | $99,072 |

### FUND CONDITION STATEMENT
### 0318 Collins-Dugan California Conservation Corps Reimbursement Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $208 | – | – |
| Prior year adjustments | 99 | – | – |
| Balance, Adjusted | $307 | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenue | 27,768 | $28,663 | $23,863 |
| Totals, Resources | $28,075 | $28,663 | $23,863 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3340 California Conservation Corps (State Operations) | 28,075 | 28,663 | 23,863 |
| FUND BALANCE | – | – | – |
| Reserve for economic uncertainties | – | – | – |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 463.3 | 608.0 | 608.0 | $21,279 | $25,705 | $24,992 |
| Salary adjustments | – | – | – | – | 14 | 24 |
| Totals, Adjusted Authorized Positions | 463.3 | 608.0 | 608.0 | $21,279 | $25,719 | $25,016 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | Salary Range | | |
| Conservation Administrator I | – | – | −1.0 | 4,208–5,071 | – | – |
| Assoc Govtl Prog Analyst | – | – | −1.0 | 3,915–4,759 | – | – |
| Conservationist II | – | – | −1.0 | 3,662–4,415 | – | – |
| Bus Svc Ofcr I-Spec | – | – | −1.0 | 3,255–3,957 | – | – |
| Staff Svcs Analyst | – | – | −2.0 | 2,507–3,049 | – | – |
| Secretary | – | – | −1.0 | 2,390–2,906 | – | – |
| Ofc Techn-Typing | – | – | −1.0 | 2,348–2,855 | – | – |
| Cook | – | – | −1.0 | 2,277–2,768 | – | – |
| Conservationist I | – | – | −19.0 | 2,213–2,614 | – | – |
| Ofc Asst-Typing | – | – | −2.8 | 1,908–2,319 | – | – |
| Totals | – | – | −30.8 | – | – | – |
| Total Adjustments | – | – | −30.8 | – | $14 | $24 |
| TOTALS, SALARIES AND WAGES | 463.3 | 608.0 | 577.2 | $21,279 | $25,719 | $25,016 |

*** Dollars in thousands, except in Salary Range.**

## 3340 CALIFORNIA CONSERVATION CORPS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **20 CAPITAL OUTLAY** | | | |
| **Major Budget Adjustment Proposed for 2001–02** | | | |
| • $12.1 million from the General Fund for two residential facility replacements, one nursery office/classroom building, one residential facility relocation study, and a minor capital outlay program. | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 20.10.120.200 Elkhorn Slough Facility: Replace Kitchen Facilities/ Construction | – | $204 C | – |
| 20.10.125 Pacific Bays Residential Study | – | – | $150 Sg |
| This project will complete a study to determine a suitable site for this residential program. | | | |
| 20.10.135 Sequoia Base Center/Kitchen Expansion/Office Relocation | $1,054 WC | 62 WC | – |
| 20.10.145 Camarillo Satellite Relocation/Construction | – | 526 P | 10,522 WCg |
| 20.10.150 Delta Service Center District Construction | – | 55 S | 588 Pg |
| This project consists of construction of a new residential facility. | | | |
| 20.10.160 Napa Nursery Office/Classroom Building | – | 35 P | 543 WCg |
| Totals, Major Projects | $1,054 | $882 | $11,803 |
| **Minor Projects** | | | |
| 20.10.140 Minor Projects | $199 | $470 | $337 Cg |
| Funding for Minor Capital Projects will provide lighting, fire suppression devices, and shower/bathroom upgrades. | | | |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $1,253 | $1,352 | $12,140 |
| *0001 General Fund* | *1,253* | *1,352* | *12,140* |
| **RECONCILIATION WITH APPROPRIATIONS** | | | |
| **3 CAPITAL OUTLAY** | | | |
| **0001 General Fund** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $349 | $1,290 | $12,140 |
| Prior year balances available: | | | |
| Item 3340-301-0001, Budget Act of 1998 as reappropriated by Item 3340-490, Budget Act of 1999 | 1,054 | – | – |
| Transfers to and from Government Code Sections 16408 and 16409 | – | 62 | – |
| Totals Available | $1,403 | $1,352 | $12,140 |
| Unexpended balance, estimated savings | −150 | – | – |
| TOTALS, EXPENDITURES (Capital Outlay) | $1,253 | $1,352 | $12,140 |

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION

The Energy Resources Conservation and Development Commission works to ensure a reliable supply of energy to meet California's needs, while complying with environmental, safety and land use goals. The Commission processes applications for siting new power facilities, encourages measures to reduce wasteful and inefficient use of energy, and monitors alternative ways to conserve, generate and supply energy.

### Authority

Government Code, Title 7.42, commencing with Section 66905 and Title 7.43 commencing with Section 66950.

| SUMMARY OF PROGRAM REQUIREMENTS | | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|---|
| 10 | Regulatory and Planning | 163.8 | 202.7 | 156.9 | $23,202 | $34,632 | $31,188 |
| 20 | Energy Resources Conservation | 55.3 | 69.9 | 90.1 | 12,378 | 70,153 | 22,506 |
| 30 | Development | 119.3 | 131.6 | 153.0 | 153,569 | 214,068 | 158,947 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 40.01 Policy, Management and Administration | 103.1 | 111.3 | 115.5 | $8,382 | $9,696 | $9,879 |
| 40.02 Distributed Policy, Management and Administration | – | – | – | −8,382 | −9,696 | −9,879 |
| TOTALS, PROGRAMS | 441.5 | 515.5 | 515.5 | $189,149 | $318,853 | $212,641 |
| 99 Loan Repayments | | | | −2,246 | −1,969 | −1,878 |
| TOTALS, ADJUSTED PROGRAMS | | | | $186,903 | $316,884 | $210,763 |
| *0001 General Fund* | | | | *4,992* | *60,045* | *17,211* |
| *0033 State Energy Conservation and Assistance Account* | | | | *1,015* | *4,026* | *1,668* |
| *Less Loan Repayments to the State Energy Conservation and Assistance Account* | | | | *−1,174* | *−1,015* | *−1,032* |
| *0034 Geothermal Resources Development Account (transfer)* | | | | *(712)* | *(700)* | *(700)* |
| *0044 Motor Vehicle Account, State Transportation Fund* | | | | *117* | *119* | *120* |
| *0314 Diesel Emission Reduction Fund* | | | | *207* | *496* | *424* |
| *0381 Public Interest Research, Development and Demonstration Programs Fund* | | | | *44,460* | *109,687* | *69,573* |
| *0382 Renewable Resource Trust Fund* | | | | *84,432* | *65,044* | *59,111* |
| *0429 Local Jurisdiction Energy Assistance Account* | | | | *1,790* | *3,834* | *1,135* |
| *Less Repayments to the Local Jurisdiction Energy Assistance Account* | | | | *−787* | *−693* | *−629* |
| *0465 Energy Resources Programs Account* | | | | *36,602* | *40,226* | *45,412* |
| *0479 Energy Technologies Research, Development and Demonstration Account* | | | | *443* | *1,858* | *723* |
| *Less loan Repayments to the Energy Technologies Research, Development and Demonstration Account* | | | | *−51* | *−140* | *−217* |
| *0497 Local Government Geothermal Resources Revolving Subaccount, Geothermal Resources Development Account* | | | | *3,992* | *3,066* | *1,367* |
| *0850 Lighting Device Fund* | | | | *50* | *–* | *–* |
| *0853 Petroleum Violation Escrow Account* | | | | *3,220* | *15,071* | *967* |
| *Less Loan Repayments to the Petroleum Violation Escrow Account* | | | | *−234* | *−121* | *–* |
| *0854 Katz Schoolbus Fund* | | | | *661* | *222* | *223* |
| *0890 Federal Trust Fund* | | | | *4,731* | *9,073* | *9,621* |
| *0995 Reimbursements* | | | | *2,437* | *6,086* | *5,086* |

## 10 REGULATORY AND PLANNING PROGRAM

### Program Objectives Statement

The Regulatory and Planning Program: (1) facilitates markets to provide adequate statewide energy supplies by developing accurate long-range outlooks of future energy supply and demand; (2) maintains a current knowledge of the statewide electrical generation, transmission and fuels markets; (3) certifies and monitors for compliance new energy facilities consistent with state energy policies as required by statute; (4) develops an informed state energy policy based on the economic, financial, security and environmental implications of supply, demand and price assessments; (5) monitors energy markets to ensure competitive prices and to avoid potential market abuses; and (6) disseminates useful, objective energy market information.

### Major Budget Adjustments Included in 2000–01

- $3,044,000 General Fund and 12.0 administratively established positions to review energy facility siting applications in response to an expedited certification process mandated by Chapter 329, Statutes of 2000 (AB 970).
- $1,600,000 Reimbursements (Energy Efficiency Public Goods Charge Fund) to conduct a statewide commercial energy end-use survey.

### Major Budget Adjustments Proposed for 2001–02

- $50,000 General Fund to develop an electricity transmission planning model.
- $100,000 General Fund to monitor market dynamics in the supply side of the market.
- $3,129,000 General Fund and 19.0 three-year limited-term positions to respond to the mandates of Chapter 329, Statutes of 2000 (AB 970), ensure sufficient resources to process energy facility siting applications, and survey existing coastal power plants to expedite the permitting of plants proposed to be repowered.
- Elimination of 15.0 excess vacant positions commission-wide.

### Authority

Public Resources Code, Division 15, Chapter 4, commencing with Section 25300, Chapters 5, 6, 7, 8 and 10.

## 20 ENERGY RESOURCES CONSERVATION PROGRAM

### Program Objectives Statement

The Energy Resources Conservation Program: (1) develops and implements energy efficiency standards and marketing programs for nonresidential and residential buildings and appliances to ensure that all new buildings constructed in California and major appliances sold in California are energy efficient and cost-effective, while providing Californians with a greater level of comfort, and (2) identifies energy efficiency opportunities and develops and implements marketing programs and standards for the use of energy in agriculture and food processing, manufacturing, water systems, moving water or wastewater, and other processing functions.

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

### Major Budget Adjustments Included in 2000–01

- $51,198,000 General Fund and 6.0 administratively established positions to implement an electricity peak reduction grant program and update energy efficiency building and appliance standards pursuant to Chapter 329, Statutes of 2000 (AB 970).

### Major Budget Adjustments Proposed for 2001–02

- $2,800,000 General Fund for retail customer survey programs.
- $200,000 General Fund to monitor customer response in the demand side of the market.
- $200,000 Energy Resources Programs Account to convert and maintain an existing database of energy efficiency ratings on numerous consumer appliances.
- $1,351,000 Energy Resources Programs Account and 8.0 one-year limited term positions to develop building efficiency standards designed to address peak energy demand and system reliability issues.
- $300,000 Energy Resources Programs Account to investigate and support Title 24 compliance for new homes.
- $300,000 Energy Resources Programs Account to provide in-field and Internet-based training to local building departments, homebuilders and new homebuyers.

### Authority

Public Resources Code, Division 15, Chapter 5, commencing with Section 25400, Chapter 8, commencing with Section 25700.

## 30 DEVELOPMENT PROGRAM

### Program Objectives Statement

The Energy Technology Development Program conducts research, development, demonstration and commercialization activities on new and existing energy technologies to ensure that future energy supplies are cost-effective, more secure and reliable, enhance environmental quality and promote state and local economic development. The program provides technical assistance, financial assistance, direct technology research and demonstration, technology forecasting, technology analysis and evaluation and information transfer.

### Major Budget Adjustments Proposed for 2001–02

- $7,500,000 General Fund for the purchase of clean, fuel efficient state vehicles.
- $2,000,000 General Fund to continue the California Fuel Cell Partnership program.
- $1,100,000 General Fund for market development and analytical activities to identify barriers to the advancement of ethanol production from biomass resources.
- $80,000 General Fund for a revised, short-term natural gas supply model and associated data.
- $175,000 Renewable Resource Trust Funds to support existing and emerging renewable resource technologies, pursuant to Chapter 854, Statutes of 1996 (AB 1890).
- $300,000 Energy Resources Programs Account to create web access to planning information specifically designed for local governments Planning for Community Energy, Economic, and Environmental Sustainability.
- $250,000 Energy Resources Programs Account to implement Chapter 1018, Statutes of 2000 (SB 1771) to establish the California Climate Action Registry.
- $1,000,000 Energy Resources Programs Account to issue awards and administer the Solar and Distributed Generation Grants program, pursuant to Chapter 537, Statutes of 2000 (SB 1345).

### Authority

Public Resources Code, Division 15, Chapter 7, commencing with Section 25600.

## 40 POLICY, MANAGEMENT AND ADMINISTRATION PROGRAM

### Major Budget Adjustment Proposed for 2001–02

- $302,000 Energy Resources Programs Account for building rent and merit salary adjustments.

### Authority

Public Resources Code, Division 15, commencing with Section 25500.

## 99 LOAN REPAYMENT PROGRAM

### Program Objectives Statement

This program consists of loan repayments made in the Conservation and Development programs. Previously approved loans are repaid and deposited in the following accounts: State Energy Conservation Account, Local Jurisdiction Energy Assistance Account, Energy Technologies Research, Development and Demonstration Account, Local Government Geothermal Resources Revolving Subaccount, and Petroleum Violation Escrow Account.

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

### PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 REGULATORY AND PLANNING PROGRAM

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | – | *$3,044* | *$3,279* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *$117* | *119* | – |
| *0465 Energy Resources Programs Account* | *21,380* | *25,916* | *22,631* |
| *0890 Federal Trust Fund* | *267* | *425* | *1,150* |
| *0995 Reimbursements* | *1,438* | *5,128* | *4,128* |
| Totals, State Operations | $23,202 | $34,632 | $31,188 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Power Plant Siting and Certification | 9,656 | 19,204 | 21,340 |
| State Operations: | | | |
| *0001 General Fund* | – | *2,994* | *3,129* |
| *0465 Energy Resources Programs Account* | *9,405* | *15,470* | *16,746* |
| *0890 Federal Trust Fund* | *200* | *425* | *1,150* |
| *0995 Reimbursements* | *51* | *315* | *315* |
| 10.15 Siting and Permit Assistance | 64 | 472 | 291 |
| State Operations: | | | |
| *0465 Energy Resources Programs Account* | *64* | *472* | *291* |
| 10.20 Electricity Resource Planning | 2,626 | 2,456 | 2,679 |
| State Operations: | | | |
| *0001 General Fund* | – | – | *150* |
| *0465 Energy Resources Programs Account* | *2,490* | *2,456* | *2,529* |
| *0890 Federal Trust Fund* | *67* | – | – |
| *0995 Reimbursements* | *69* | – | – |
| 10.30 Demand Forecasting | 5,963 | 8,081 | 4,624 |
| State Operations: | | | |
| *0001 General Fund* | – | *50* | – |
| *0465 Energy Resources Programs Account* | *4,793* | *3,218* | *811* |
| *0995 Reimbursements* | *1,170* | *4,813* | *3,813* |
| 10.40 Fossil Fuels Planning | 1,997 | 1,703 | – |
| State Operations: | | | |
| *0044 Motor Vehicle Account, State Transportation Fund* | *117* | *119* | – |
| *0465 Energy Resources Programs Account* | *1,732* | *1,584* | – |
| *0995 Reimbursements* | *148* | – | – |
| 10.50 Technology Assessment | 28 | – | – |
| State Operations: | | | |
| *0465 Energy Resources Programs Account* | *28* | – | – |
| 10.80 Management and Support | 2,868 | 2,716 | 2,254 |
| State Operations: | | | |
| *0465 Energy Resources Programs Account* | *2,868* | *2,716* | *2,254* |

### PROGRAM REQUIREMENTS
### 20 ENERGY RESOURCES CONSERVATION PROGRAM

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | – | *$51,198* | *$3,000* |
| *0033 State Energy Conservation and Assistance Account* | *$1,015* | *4,026* | *1,668* |
| *0429 Local Jurisdiction Energy Assistance Account* | *1,790* | – | – |
| *0465 Energy Resources Programs Account* | *4,643* | *4,977* | *10,292* |
| *0850 Lighting Device Fund* | *50* | – | – |
| *0853 Petroleum Violation Escrow Account* | *713* | *924* | *417* |
| *0890 Federal Trust Fund* | *3,388* | *4,102* | *5,036* |
| *0995 Reimbursements* | *87* | *958* | *958* |
| Totals, State Operations | $11,686 | $66,185 | $21,371 |
| Local Assistance: | | | |
| *0001 General Fund* | *692* | *134* | – |
| *0429 Local Jurisdiction Energy Assistance Account* | – | *3,834* | *1,135* |
| Totals, Local Assistance | $692 | $3,968 | $1,135 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 20.10 Buildings | 3,023 | 5,054 | 7,104 |
| State Operations: | | | |
| *0001 General Fund* | – | *786* | – |
| *0465 Energy Resources Programs Account* | *610* | *2,204* | *5,023* |
| *0850 Lighting Device Fund* | *50* | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0853 Petroleum Violation Escrow Account* | *$188* | – | – |
| *0890 Federal Trust Fund* | *2,088* | *$1,949* | *$1,966* |
| *0995 Reimbursements* | *87* | *115* | *115* |
| 20.20 Appliances and Equipment | 20 | 217 | 426 |
| State Operations: | | | |
| *0465 Energy Resources Programs Account* | *20* | *217* | *426* |
| 20.30 Energy Projects Evaluation and Assistance | 6,060 | 62,050 | 7,095 |
| State Operations: | | | |
| *0001 General Fund* | – | *50,263* | – |
| *0033 State Energy Conservation and Assistance Account* | *1,015* | *4,026* | *1,668* |
| *0429 Local Jurisdiction Energy Assistance Account* | *1,790* | – | – |
| *0465 Energy Resources Programs Account* | *739* | *565* | *520* |
| *0853 Petroleum Violation Escrow Account* | *525* | *924* | *417* |
| *0890 Federal Trust Fund* | *1,299* | *2,153* | *3,070* |
| *0995 Reimbursements* | – | *285* | *285* |
| Local Assistance: | | | |
| *0001 General Fund* | *692* | – | – |
| *0429 Local Jurisdiction Energy Assistance Account* | – | *3,834* | *1,135* |
| 20.40 Demand Side Program Evaluation | 1,667 | 887 | 5,851 |
| State Operations: | | | |
| *0001 General Fund* | – | *149* | *3,000* |
| *0465 Energy Resources Programs Account* | *1,667* | *604* | *2,851* |
| Local Assistance: | | | |
| *0001 General Fund* | – | *134* | – |
| 20.50 Management and Support | 1,428 | 1,188 | 1,472 |
| State Operations: | | | |
| *0465 Energy Resources Programs Account* | *1,428* | *1,188* | *1,472* |
| 20.60 Contingency Planning | 180 | 757 | 558 |
| State Operations: | | | |
| *0465 Energy Resources Programs Account* | *179* | *199* | – |
| *0890 Federal Trust Fund* | *1* | – | – |
| *0995 Reimbursements* | – | *558* | *558* |

## PROGRAM REQUIREMENTS

## 30 DEVELOPMENT PROGRAM

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$4,000* | *$5,249* | *$10,932* |
| *0044 Motor Vehicle Account, State Transportation Fund* | – | – | *120* |
| *0314 Diesel Emission Reduction Fund* | *207* | *496* | *424* |
| *0381 Public Interest Research, Development and Demonstration Programs Trust Fund* | *44,460* | *109,687* | *69,573* |
| *0382 Renewable Resource Trust Fund* | *84,432* | *65,044* | *59,111* |
| *0465 Energy Resources Programs Account* | *10,579* | *9,333* | *12,489* |
| *0479 Energy Technologies Research, Development and Demonstration Account* | *443* | *1,858* | *723* |
| *0497 Local Government Geothermal Resources Revolving Subaccount, Geothermal Resources Development Account* | *258* | *266* | *267* |
| *0853 Petroleum Violation Escrow Account* | *2,507* | *14,147* | *550* |
| *0854 Katz Schoolbus Fund* | *661* | *222* | *223* |
| *0890 Federal Trust Fund* | *1,076* | *4,546* | *3,435* |
| *0995 Reimbursements* | *912* | – | – |
| Totals, State Operations | $149,535 | $210,848 | $157,847 |
| Local Assistance: | | | |
| *0001 General Fund* | *300* | *420* | – |
| *0497 Local Government Geothermal Resources Revolving Subaccount, Geothermal Resources Development Account* | *3,734* | *2,800* | *1,100* |
| Totals, Local Assistance | $4,034 | $3,220 | $1,100 |

## ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 30.20 Transportation Technology and Fuels | 12,180 | 22,375 | 16,960 |
| State Operations: | | | |
| *0001 General Fund* | *4,000* | *5,249* | *10,932* |
| *0044 Motor Vehicle Account, State Transportation Fund* | – | – | *120* |
| *0314 Diesel Emission Reduction Fund* | *207* | *496* | *424* |
| *0465 Energy Resources Programs Account* | *2,897* | *1,983* | *4,561* |
| *0853 Petroleum Violation Escrow Account* | *2,507* | *13,855* | *550* |
| *0854 Katz Schoolbus Fund* | *661* | *222* | *223* |
| *0890 Federal Trust Fund* | *696* | *150* | *150* |
| *0995 Reimbursements* | *912* | – | – |
| Local Assistance: | | | |
| *0001 General Fund* | *300* | *420* | – |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 30.30 Research and Development | $52,036 | $122,629 | $76,926 |
| State Operations: | | | |
| *0381 Public Interest Research, Development and Demonstration Programs Trust Fund* | *44,460* | *109,687* | *69,573* |
| *0465 Energy Resources Programs Account* | *2,867* | *3,530* | *1,978* |
| *0479 Energy Technologies Research, Development and Demonstration Account* | *443* | *1,858* | *723* |
| *0497 Local Government Geothermal Resources Revolving Subaccount, Geothermal Resources Development Account* | *258* | *266* | *267* |
| *0853 Petroleum Violation Escrow Account* | *–* | *92* | *–* |
| *0890 Federal Trust Fund* | *274* | *4,396* | *3,285* |
| Local Assistance: | | | |
| *0497 Local Government Geothermal Resources Revolving Subaccount, Geothermal Resources Development Account* | *3,734* | *2,800* | *1,100* |
| 30.40 Technology Evaluation | 88,208 | 67,642 | 63,085 |
| State Operations: | | | |
| *0382 Renewable Resource Trust Fund* | *84,432* | *65,044* | *59,111* |
| *0465 Energy Resources Programs Account* | *3,670* | *2,398* | *3,974* |
| *0853 Petroleum Violation Escrow Account* | *–* | *200* | *–* |
| *0890 Federal Trust Fund* | *106* | *–* | *–* |
| 30.50 Management and Support | 1,145 | 1,422 | 1,771 |
| State Operations: | | | |
| *0465 Energy Resources Programs Account* | *1,145* | *1,422* | *1,771* |
| 30.60 Contingency Planning | – | – | 205 |
| State Operations: | | | |
| *0465 Energy Resources Programs Account* | *–* | *–* | *205* |
| **PROGRAM REQUIREMENTS** | | | |
| **40 POLICY, MANAGEMENT AND ADMINISTRATION PROGRAM** | | | |
| Totals, Policy, Management and Administration | $8,382 | $9,696 | $9,879 |
| 40.01 Policy, Management and Administration | 8,382 | 9,696 | 9,879 |
| Less amounts charged to other programs: | | | |
| *10 Regulatory and Planning* | *–4,059* | *–4,672* | *–3,875* |
| *20 Energy Resources Conservation* | *–1,369* | *–1,575* | *–2,225* |
| *30 Development* | *–2,954* | *–3,449* | *–3,779* |
| Totals, Amounts Charged to Other Programs | –$8,382 | –$9,696 | –$9,879 |
| Net Totals, Policy, Management and Administration | – | – | – |
| 99 Loan Repayments | –2,246 | –1,969 | –1,878 |
| State Operations: | | | |
| *0033 State Energy Conservation Assistance Account* | *–1,174* | *–1,015* | *–1,032* |
| *0429 Local Jurisdiction Energy Assistance Account* | *–787* | *–* | *–* |
| *0479 Energy Technologies Research, Development and Demonstration Account* | *–51* | *–140* | *–217* |
| *0853 Petroleum Violation Escrow Account* | *–234* | *–121* | *–* |
| Local Assistance: | | | |
| *0429 Local Jurisdiction Energy Assistance Account* | *–* | *–693* | *–629* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $182,177 | $310,389 | $209,157 |
| Local Assistance | 4,726 | 6,495 | 1,606 |
| TOTALS, EXPENDITURES | $186,903 | $316,884 | $210,763 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 441.5 | 531.1 | 531.1 | $25,557 | $30,844 | $31,493 |
| Total Adjustments | – | 18.0 | 12.0 | – | 1,142 | 1,169 |
| Estimated Salary Savings | – | –33.0 | –27.6 | – | –1,925 | –1,660 |
| Net Totals, Salaries and Wages | 441.5 | 516.1 | 515.5 | $25,557 | $30,061 | $31,002 |
| Staff Benefits | – | – | – | 4,347 | 4,724 | 4,814 |
| Totals, Personal Services | 441.5 | 516.1 | 515.5 | $29,904 | $34,785 | $35,816 |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| OPERATING EXPENSES AND EQUIPMENT | $21,475 | $28,461 | $31,738 |
| SPECIAL ITEMS OF EXPENSE | 133,044 | 248,419 | 142,852 |
| UNCLASSIFIED | | | |
| Special Adjustment—Loan Repayments | −2,246 | −1,276 | −1,249 |
| TOTALS, EXPENDITURES | $182,177 | $310,389 | $209,157 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $4,000 | $5,250 | $17,211 |
| Allocation for employee compensation | – | 5 | – |
| Adjustment per Section 3.60 | – | −14 | – |
| Chapter 329, Statutes of 2000 | – | 54,250 | – |
| TOTALS, EXPENDITURES | $4,000 | $59,491 | $17,211 |

### 0033 State Energy Conservation and Assistance Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 25416 | $1,015 | $4,027 | $1,668 |
| Adjustment per Section 3.60 | – | −1 | – |
| Totals Available | $1,015 | $4,026 | $1,668 |
| Loan repayments per Public Resources Code Sections 25410–25421: | | | |
| Schools, Hospitals and Streetlights | −1,174 | −1,015 | −1,032 |
| TOTALS, EXPENDITURES | −$159 | $3,011 | $636 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $114 | $120 | $120 |
| Allocation for employee compensation | 9 | – | – |
| Adjustment per Section 3.60 | −6 | −1 | – |
| TOTALS, EXPENDITURES | $117 | $119 | $120 |

### 0314 Diesel Emission Reduction Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,002 | $417 | $424 |
| Allocation for employee compensation | 17 | 1 | – |
| Adjustment per Section 3.60 | −11 | −2 | – |
| Prior year balances available: | | | |
| Item 3360-001-0314, Budget Act of 1999 | – | 801 | – |
| Totals Available | $1,008 | $1,217 | $424 |
| Balance available in subsequent years | −801 | – | – |
| Unexpended balance, estimated savings | – | −721 | – |
| TOTALS, EXPENDITURES | $207 | $496 | $424 |

### 0381 Public Interest Research, Development and Demonstration Programs Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $66,855 | $69,491 | $69,573 |
| Allocation for employee compensation | 116 | 13 | – |
| Adjustment per Section 3.60 | −77 | −39 | – |
| Prior year balances available: | | | |
| Item 3360-001-0381, Budget Act of 1998 | 17,900 | – | – |
| Item 3360-001-0381, Budget Act of 1999 | – | 40,222 | – |
| Totals Available | $84,794 | $109,687 | $69,573 |
| Balance available in subsequent years | −40,222 | – | – |
| Unexpended balance, estimated savings | −112 | – | – |
| TOTALS, EXPENDITURES | $44,460 | $109,687 | $69,573 |

---

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

### 0382 Renewable Resource Trust Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $2,343 | $2,778 | $2,710 |
| Public Utilities Code Section 445 | 82,148 | 62,278 | 56,401 |
| Allocation for employee compensation | 44 | 6 | – |
| Adjustment per Section 3.60 | −29 | −18 | – |
| Totals Available | $84,506 | $65,044 | $59,111 |
| Unexpended balance, estimated savings | −74 | – | – |
| TOTALS, EXPENDITURES | $84,432 | $65,044 | $59,111 |

### 0429 Local Jurisdiction Energy Assistance Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 25449.3 (expenditure of loan repayments) | $1,790 | – | – |
| Loan repayments per Public Resources Code Section 25443 | −787 | – | – |
| TOTALS, EXPENDITURES | $1,003 | – | – |

### 0465 Energy Resources Programs Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $34,085 | $41,173 | $44,387 |
| Public Resources Code Section 25402.1 | – | 25 | 25 |
| Allocation for employee compensation | 2,049 | 70 | – |
| Allocation for contingencies or emergencies | 1,880 | – | – |
| Adjustment per Section 3.60 | −1,406 | −205 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 163 | – |
| Adjustment per Section 16.00 | 15 | – | – |
| Prior year balances available: | | | |
| Item 3360-001-0465, Budget Act of 2000 as reappropriated by 3360-490, Budget Act of 2001 | – | – | 1,000 |
| Totals Available | $36,623 | $41,226 | $45,412 |
| Balance available in subsequent years | – | −1,000 | – |
| Unexpended balance, estimated savings | −21 | – | – |
| TOTALS, EXPENDITURES | $36,602 | $40,226 | $45,412 |

### 0479 Energy Technologies Research, Development and Demonstration Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,134 | $724 | $723 |
| Prior year balances available: | | | |
| Item 3360-001-0479, Budget Act of 1998 | 700 | – | – |
| Item 3360-001-0479, Budget Act of 1999 | – | 1,134 | – |
| Totals Available | $1,834 | $1,858 | $723 |
| Balance available in subsequent years | −1,134 | – | – |
| Unexpended balance, estimated savings | −257 | – | – |
| TOTALS, EXPENDITURES | $443 | $1,858 | $723 |
| Loan repayments per Public Resources Code 25683 | −51 | −19 | −22 |
| Loan repayments per Public Resources Code 25650 | – | −121 | −195 |
| NET TOTALS, EXPENDITURES | $392 | $1,718 | $506 |

### 0497 Local Government Geothermal Resources Revolving Subaccount, Geothermal Resources Development Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $251 | $267 | $267 |
| Allocation for employee compensation | 21 | 1 | – |
| Adjustment per Section 3.60 | −14 | −2 | – |
| TOTALS, EXPENDITURES | $258 | $266 | $267 |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

### 0850 Lighting Device Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Public Resources Code Section 25402.5 (expenditures) | $50 | – | – |

### 0853 Petroleum Violation Escrow Account [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,403 | $13,394 | $967 |
| Allocation for employee compensation | 117 | 3 | – |
| Adjustment per Section 3.60 | –78 | –8 | – |
| Prior year balances available: | | | |
| Item 3360-001-0853, Budget Act of 1998 | 861 | – | – |
| Chapter 659, Statutes of 1997 | 2,600 | 1,682 | – |
| Totals Available | $4,903 | $15,071 | $967 |
| Balance available in subsequent years | –1,682 | – | – |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | $3,220 | $15,071 | $967 |
| Loan repayments per Chapters 1338, 1341, and 1343, Statutes of 1986 | –234 | –121 | – |
| NET TOTALS, EXPENDITURES | $2,986 | $14,950 | $967 |

### 0854 Katz Schoolbus Fund [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $643 | $223 | $223 |
| Allocation for employee compensation | 54 | 1 | – |
| Adjustment per Section 3.60 | –36 | –2 | – |
| TOTALS, EXPENDITURES | $661 | $222 | $223 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $8,659 | $8,957 | $9,621 |
| Allocation for employee compensation | 114 | 5 | – |
| Adjustment per Section 3.60 | –76 | –14 | – |
| Budget adjustment | –3,966 | 125 | – |
| TOTALS, EXPENDITURES | $4,731 | $9,073 | $9,621 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $2,437 | $6,086 | $5,086 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $182,177 | $310,389 | $209,157 |

---

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | – | $554 | – |
| 102 Budget Act appropriation | $600 | – | – |
| Chapter 1003, Statutes of 1999 | 392 | – | – |
| TOTALS, EXPENDITURES | $992 | $554 | – |

### 0034 Geothermal Resources Development Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 3822 (transfer to Local Government Geothermal Resources Revolving Subaccount—0497) (expenditures) | ($712) | ($700) | ($700) |

### 0429 Local Jurisdiction Energy Assistance Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 25449.3 (expenditure of loan repayments) | – | $3,834 | $1,135 |
| Loan repayments per Public Resources Code Section 25443 | – | –693 | –629 |
| TOTALS, EXPENDITURES | – | $3,141 | $506 |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

### 0497 Local Government Geothermal Resources Revolving Subaccount, Geothermal Resources Development Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $3,200 | $700 | $1,100 |
| Prior year balances available: | | | |
| Item 3360-101-0497, Budget Act of 1998 | 3,937 | – | – |
| Item 3360-101-0497, Budget Act of 1999 | – | 3,100 | – |
| Totals Available | $7,137 | $3,800 | $1,100 |
| Balance available in subsequent years | –3,100 | – | – |
| Unexpended balance, estimated savings | –303 | –1,000 | – |
| TOTALS, EXPENDITURES | $3,734 | $2,800 | $1,100 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $4,726 | $6,495 | $1,606 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $186,903 | $316,884 | $210,763 |

---

### FUND CONDITION STATEMENT

### 0033 State Energy Conservation and Assistance Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $9,691 | $10,517 | $8,138 |
| Prior year adjustments | 27 | – | – |
| Balance, Adjusted | $9,718 | $10,517 | $8,138 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 384 | 400 | 400 |
| 150600 Income from other investments | 256 | 232 | 236 |
| Totals, Revenues and Transfers | $640 | $632 | $636 |
| Totals, Resources | $10,358 | $11,149 | $8,774 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3360 Energy Resources Conservation and Development Commission (State Operations) | 1,015 | 4,026 | 1,668 |
| Expenditure Reductions: | | | |
| 3360 Energy Resources Conservation and Development Commission: | | | |
| State Operations: | | | |
| Loan repayments per Public Resources Code Sections 25410–25421: | | | |
| Schools, Hospitals and Streetlights | –1,174 | –1,015 | –1,032 |
| Totals, Expenditures | –$159 | $3,011 | $636 |
| FUND BALANCE | $10,517 | $8,138 | $8,138 |
| Reserve for economic uncertainties | 10,517 | 8,138 | 8,138 |

### 0034 Geothermal Resources Development Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 151800 Federal lands royalties | $2,316 | $2,277 | $2,277 |
| 152400 School lands royalties | 57 | 56 | 56 |
| Totals, Revenues | $2,373 | $2,333 | $2,333 |
| Transfers to Other Funds: | | | |
| T00497 Local Government Geothermal Resources Subaccount per Public Resources Code Section 3822 | –712 | –700 | –700 |
| Totals, Revenues and Transfers | $1,661 | $1,633 | $1,633 |
| Totals, Resources | $1,661 | $1,633 | $1,633 |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3370 Renewable Resources Investment Program Transfer to Renewable Resources Investment Fund (State Operations) | $712 | $700 | $700 |
| 9520 Shared Revenues (Local Assistance) | 949 | 933 | 933 |
| Totals, Disbursements | $1,661 | $1,633 | $1,633 |
| FUND BALANCE | – | – | – |

### 0186 Energy Resources Surcharge Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 120300 Electrical Energy Tax | $47,576 | $47,924 | $48,882 |
| Transfers to Other Funds: | | | |
| T00465 Energy Resources Programs Account per Revenue and Taxation Code Section 40182 | –47,576 | –47,924 | –48,882 |
| Totals, Resources | – | – | – |
| FUND BALANCE | – | – | – |

### 0314 Diesel Emission Reduction Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $103 | $198 | $12 |
| Prior year adjustments | 9 | – | – |
| Balance, Adjusted | $112 | $198 | $12 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 5 | 10 | 15 |
| 164300 Penalty assessments | 288 | 300 | 400 |
| Totals, Revenues | $293 | $310 | $415 |
| Totals, Resources | $405 | $508 | $427 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3360 Energy Resources Conservation and Development Commission (State Operations) | 207 | 496 | 424 |
| FUND BALANCE | $198 | $12 | $3 |
| Reserve for economic uncertainties | 198 | 12 | 3 |

### 0381 Public Interest Research, Development and Demonstration Programs Trust Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $22,995 | $47,345 | $7,458 |
| Prior year adjustments | 1,619 | – | – |
| Balance, Adjusted | $24,614 | $47,345 | $7,458 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 120300 Energy resource surcharge | 61,858 | 61,800 | 61,800 |
| 150300 Income from surplus money investments | 5,333 | 8,000 | 8,000 |
| Totals, Revenues and Transfers | $67,191 | $69,800 | $69,800 |
| Totals, Resources | $91,805 | $117,145 | $77,258 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3360 Energy Resources Conservation and Development Commission (State Operations) | 44,460 | 109,687 | 69,573 |
| FUND BALANCE | $47,345 | $7,458 | $7,685 |
| Reserve for economic uncertainties | 47,345 | 7,458 | 7,685 |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

| 0382 Renewable Resource Trust Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $77,981 | $107,427 | $170,383 |
| Prior year adjustments | 14 | – | – |
| Balance, Adjusted | $77,995 | $107,427 | $170,383 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 120300 Energy resource surcharge | 109,560 | 123,000 | 143,120 |
| 150300 Income from surplus money investments | 4,304 | 5,000 | 5,000 |
| Totals, Revenues and Transfers | $113,864 | $128,000 | $148,120 |
| Totals, Resources | $191,859 | $235,427 | $318,503 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3360 Energy Resources Conservation and Development Commission (State Operations) | 84,432 | 65,044 | 59,111 |
| FUND BALANCE | $107,427 | $170,383 | $259,392 |
| Reserve for economic uncertainties | 107,427 | 170,383 | 259,392 |

| 0429 Local Jurisdiction Energy Assistance Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $7,539 | $7,181 | $4,618 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 388 | 350 | 300 |
| 150600 Income from other investments | 257 | 228 | 207 |
| Totals, Revenues and Transfers | $645 | $578 | $507 |
| Totals, Resources | $8,184 | $7,759 | $5,125 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3360 Energy Resources Conservation and Development Commission: | | | |
| State Operations | 1,790 | – | – |
| Local Assistance | – | 3,834 | 1,135 |
| Expenditure Reductions: | | | |
| 3360 Energy Resources Conservation and Development Commission: | | | |
| Loan Repayments per Public Resources Code Section 25443: | | | |
| State Operations | –787 | – | – |
| Local Assistance | – | –693 | –629 |
| Totals, Expenditures | $1,003 | $3,141 | $506 |
| FUND BALANCE | $7,181 | $4,618 | $4,619 |
| Reserve for economic uncertainties | 7,181 | 4,618 | 4,619 |

| 0465 Energy Resources Programs Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,340 | $4,950 | $2,861 |
| Prior year adjustments | 181 | – | – |
| Balance, Adjusted | $2,521 | $4,950 | $2,861 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 155 | 100 | 100 |
| 161400 Miscellaneous revenues | – | 25 | 25 |
| 164300 Penalty assessments | – | 75 | – |
| Totals, Revenues | $155 | $200 | $125 |
| Transfers from Other Funds: | | | |
| F00186 Energy Resources Surcharge Fund per Revenue and Tax Code Section 40182 | 47,576 | 47,924 | 48,882 |
| Totals, Revenues and Transfers | $47,731 | $48,124 | $49,007 |
| Totals, Resources | $50,252 | $53,074 | $51,868 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0860 State Board of Equalization (State Operations) | 187 | 200 | 243 |
| 1760 Department of General Services (State Operations) | 1,305 | 1,333 | 1,337 |
| 3340 California Conservation Corps (State Operations) | 6,124 | 6,277 | – |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 3360 Energy Resources Conservation and Development Commission (State Operations) | $36,602 | $40,226 | $45,412 |
| 3860 Department of Water Resources | 729 | 1,681 | 1,700 |
| 8770 Electricity Oversight Board (State Operations) | 355 | 496 | 456 |
| Totals, Disbursements | $45,302 | $50,213 | $49,148 |
| FUND BALANCE | $4,950 | $2,861 | $2,720 |
| Reserve for economic uncertainties | 4,950 | 2,861 | 2,720 |

### 0479 Energy Technologies Research, Development and Demonstration Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,212 | $2,320 | $744 |
| Prior year adjustments | 320 | – | – |
| Balance, Adjusted | $2,532 | $2,320 | $744 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 176 | 125 | 125 |
| 150600 Income from other investments | 4 | 15 | 23 |
| 161400 Miscellaneous revenue (royalty payment) | – | 2 | – |
| Totals, Revenues | $180 | $142 | $148 |
| Totals, Resources | $2,712 | $2,462 | $892 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3360 Energy Resources Conservation and Development Commission (State Operations) | 443 | 1,858 | 723 |
| Expenditure Reductions: | | | |
| State Operations: | | | |
| Loan repayments from local agencies per Chapter 553, Statutes of 1994: | | | |
| 3360 Energy Resources Conservation and Development Commission | –51 | –140 | –217 |
| Totals, Expenditures | $392 | $1,718 | $506 |
| FUND BALANCE | $2,320 | $744 | $386 |
| Reserve for economic uncertainties | 2,320 | 744 | 386 |

### 0497 Local Government Geothermal Resources Revolving Subaccount, Geothermal Resources Development Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $5,579 | $2,691 | $525 |
| Prior year adjustments | 2 | – | – |
| Balance, Adjusted | $5,581 | $2,691 | $525 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 390 | 200 | 200 |
| Transfers from Other Funds: | | | |
| F00034 Geothermal Resources Development Account per Public Resources Code Section 3822 | 712 | 700 | 700 |
| Totals, Revenues and Transfers | $1,102 | $900 | $900 |
| Totals, Resources | $6,683 | $3,591 | $1,425 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3360 Energy Resources Conservation and Development Commission: | | | |
| State Operations | 258 | 266 | 267 |
| Local Assistance | 3,734 | 2,800 | 1,100 |
| Totals, Expenditures | $3,992 | $3,066 | $1,367 |
| FUND BALANCE | $2,691 | $525 | $58 |
| Reserve for economic uncertainties | 2,691 | 525 | 58 |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

| 0850 Lighting Device Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $71 | $23 | $23 |
| REVENUES | | | |
| Operating Revenues: | | | |
| 250300 Income from surplus money investments | 2 | – | – |
| Totals, Resources | $73 | $23 | $23 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3360 Energy Resources Conservation and Development Commission (State Operations) | 50 | – | – |
| FUND BALANCE | $23 | $23 | $23 |

| 0853 Petroleum Violation Escrow Account [f] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $13,231 | $14,135 | $2,957 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 200400 External: Federal Government—Settlements | 1,811 | 2,289 | – |
| 215000 Income from other investments | 25 | 48 | 21 |
| 250300 Income from surplus money investment | 2,444 | 3,870 | 3,719 |
| Totals, Operating Revenues | $4,280 | $6,207 | $3,740 |
| Transfers from Other Funds: | | | |
| F00001 General Fund per audit adjustment—repayment of interest | 4,000 | 28,633 | – |
| Totals, Revenues and Transfers | $8,280 | $34,840 | $3,740 |
| Totals, Resources | $21,511 | $48,975 | $6,697 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| State Operations: | | | |
| 1760 Department of General Services | – | 12,366 | – |
| 3340 California Conservation Corps | 10,097 | 11,713 | – |
| 3360 Energy Resources Conservation and Development Commission | 3,220 | 15,071 | 967 |
| 3900 Air Resources Board | – | 5,000 | – |
| Capital Outlay: | | | |
| 1760 Department of General Services | – | 1,989 | – |
| Totals, Disbursements | $13,317 | $46,139 | $967 |
| Expenditure Reductions: | | | |
| 3360 Energy Resources Conservation and Development Commission: | | | |
| State Operations: | | | |
| Loan repayment | −234 | −121 | – |
| Local Assistance: | | | |
| 2660 Department of Transportation, Transfer from Ridesharing Vanpool Revolving Loan and Grant Fund per Item 2660-497, Budget Act of 1999 (interest due) | −5,707 | – | – |
| Totals, Expenditure Reductions | −$5,941 | −$121 | – |
| Totals, Expenditures | $7,376 | $46,018 | $967 |
| FUND BALANCE | $14,135 | $2,957 | $5,730 |
| Reserve for carryovers | 3,658 | – | – |
| Uncommitted balance | 10,477 | 2,957 | 5,730 |

| 0854 Katz Schoolbus Fund [f] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,147 | $1,633 | $1,536 |
| Prior year adjustments | −1 | – | – |
| Balance, Adjusted | $2,146 | $1,633 | $1,536 |
| REVENUES | | | |
| Operating Revenues: | | | |
| 250300 Income from surplus money investments | 148 | 125 | 100 |
| Totals, Operating Revenues | $148 | $125 | $100 |
| Totals, Resources | $2,294 | $1,758 | $1,636 |

*** Dollars in thousands, except in Salary Range.**

## 3360 ENERGY RESOURCES CONSERVATION AND DEVELOPMENT COMMISSION—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| 3360 Energy Resources Conservation and Development Commission (State Operations) | $661 | $222 | $223 |
| FUND BALANCE | $1,633 | $1,536 | $1,413 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 441.5 | 531.1 | 531.1 | $25,557 | $30,844 | $31,493 |
| Salary adjustments | – | – | – | – | 12 | 220 |
| Totals, Adjusted Authorized Positions | 441.5 | 531.1 | 531.1 | $25,557 | $30,856 | $31,713 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | Salary Range | | |
| C.E.A. II | – | – | −1.0 | 6,954–7,668 | – | −83 |
| Mech Engr | – | – | −1.0 | 3,273–5,632 | – | −45 |
| Assoc Mech Engr | – | – | −3.0 | 4,415–5,363 | – | −159 |
| Plnr II | – | – | −3.0 | 4,301–5,228 | – | −155 |
| Energy Commission Supvr I | – | – | −1.0 | 4,305–5,192 | – | −52 |
| Energy Commission Spec I | – | – | −2.0 | 4,301–5,189 | – | −103 |
| Assoc Energy Spec | – | – | −1.0 | 3,915–4,724 | – | −47 |
| Energy Analyst | – | – | −2.0 | 2,507–3,915 | – | −65 |
| Ofc Techn | – | – | −1.0 | 2,348–2,855 | – | −28 |
| Totals, Reduction in Authorized Positions | – | – | −15.0 | – | – | −$737 |
| Proposed New Positions: | | | | | | |
| Facilities Siting and Environmental Division: | | | | | | |
| Hearing Advr II [1] | – | 1.5 | 2.0 | 6,566–7,953 | 130 | 174 |
| Staff Counsel [1] | – | 1.5 | 2.0 | 3,651–7,034 | 101 | 134 |
| Sr Elec Engr [2] | – | 0.8 | 1.0 | 5,082–6,174 | 53 | 67 |
| Sr Mech Engr [1] | – | 1.5 | 2.0 | 5,082–6,174 | 101 | 134 |
| Plnr III-Energy [3] | – | 1.5 | 5.0 | 4,727–5,703 | 94 | 313 |
| Plnr II-Energy [4] | – | 0.7 | 3.0 | 4,301–5,228 | 40 | 171 |
| Energy Commission Spec I [2] | – | 0.8 | 1.0 | 4,301–5,189 | 46 | 57 |
| Plnr I-Energy [1] | – | 3.0 | 2.0 | 3,915–4,759 | 155 | 104 |
| Assoc Govtl Prog Analyst [2] | – | 0.7 | 1.0 | 3,915–4,759 | 36 | 52 |
| Overtime | – | – | – | – | 17 | – |
| Energy Efficiency Division: | | | | | | |
| Staff Counsel [5] | – | 1.5 | 2.0 | 3,651–7,034 | 101 | 134 |
| Energy Commission Spec II [6] | – | – | 1.0 | 4,724–5,699 | – | 62 |
| Energy Commission Spec I [7] | – | 4.5 | 5.0 | 4,301–5,189 | 256 | 284 |
| Totals, Proposed New Positions | – | 18.0 | 27.0 | – | $1,130 | $1,686 |
| Total Adjustments | – | 18.0 | 12.0 | – | $1,142 | $1,169 |
| TOTALS, SALARIES AND WAGES | 441.5 | 549.1 | 543.1 | $25,557 | $31,986 | $32,662 |

[1] 2.0 positions limited to 6/30/04.
[2] 1.0 position limited to 6/30/04.
[3] 5.0 positions limited to 6/30/04.
[4] 3.0 positions limited to 6/30/04.
[5] 2.0 positions limited to 6/30/02.
[6] 1.0 position limited to 6/30/02.
[7] 5.0 positions limited to 6/30/02.

## 3365 ENERGY INITIATIVES

The Governor's Budget provides $1 billion General Fund in support of energy initiatives for allocation to state agencies, departments, commissions, boards or other entities of state government.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| TOTALS, EXPENDITURES (Balance available for allocation) | – | – | – | – | – | $1,000,000 |
| *0001 General Fund* | | | | – | – | *1,000,000* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3365 ENERGY INITIATIVES—Continued

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (Balance available for allocation) (expenditures) | – | – | $1,000,000 |
| TOTALS, EXPENDITURES (State Operations) (Balance available for allocation) | – | – | $1,000,000 |

# 3370 RENEWABLE RESOURCES INVESTMENT PROGRAM

The Renewable Resources Investment Program receives 30 percent of the royalties deposited in the Geothermal Resources Development Account (General Fund). The State receives this money from the federal government for geothermal leases. Statutorily, monies from the Renewable Resources Investment Fund may be expended only for the following: fish habitat improvements; forest resource improvements; urban forestry projects; agricultural soil drainage and soil erosion programs; agricultural, industrial and urban water conservation; wildland fire protection; and coastal resource enhancement projects.

Specific project and program expenditures for the Renewable Resources Investment Program are included in the budget for various departments as reflected in Tables 1 through 5 below.

## Authority

Public Resources Code, Sections 3825 and 34000.

### Table 1
### Department of Fish and Game

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| California Conservation Corps Habitat Restoration Project | $274 | $174 | – |
| Totals, Expenditures | $274 | $174 | – |

### Table 2
### State Coastal Conservancy

| | | | |
|---|---|---|---|
| Ocean and Coastal Resources | $525 | – | – |
| Totals, Expenditures | $525 | – | – |

### Table 3
### Department of Water Resources

| | | | |
|---|---|---|---|
| Northern California Water Management | $258 | $309 | $304 |
| Urban and Agricultural Water Conservation | 211 | 238 | 232 |
| Water Education Program | 107 | 128 | 126 |
| Totals, Expenditures | $576 | $675 | $662 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0034 Geothermal Resources Development Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Public Resources Code, Section 3825 (For transfer to Renewable Resources Investment Fund—0940) (expenditures) | $712 | $700 | $700 |
| **0940 Renewable Resources Investment Fund [n]** | | | |
| Less funding provided by Geothermal Resources Development Account (expenditures) | –$712 | –$700 | –$700 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | – | – | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3370 RENEWABLE RESOURCES INVESTMENT PROGRAM—Continued

| FUND CONDITION STATEMENT<br>0940 Renewable Resources Investment Fund [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $820 | $154 | $5 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3600 Department of Fish and Game (State Operations) | 274 | 174 | – |
| 3760 State Coastal Conservancy (Capital Outlay) | 525 | – | – |
| 3860 Department of Water Resources (State Operations) | 576 | 675 | 662 |
| 9900 Statewide General Administrative Expenditures (pro rata) (State Operations) | 3 | – | – |
| Expenditure Reductions: | | | |
| 3370 Renewable Resources Investment Program: | | | |
| State Operations: | | | |
| Less funding provided by Geothermal Resources Development Account | −712 | −700 | −700 |
| Totals, Expenditures | $666 | $149 | −$38 |
| FUND BALANCE | $154 | $5 | $43 |

# 3460 COLORADO RIVER BOARD OF CALIFORNIA

The Colorado River Board protects California's rights and interests in the water and power resources of the Colorado River system. The board works with the other Colorado River Basin states, federal agencies, Congress, and the courts. Activities include analyses of the engineering, legal and economic matters concerning the Colorado River resources of the seven basin states (Arizona, California, Colorado, Nevada, New Mexico, Utah, and Wyoming) and the 1944 U.S.-Mexico Water Treaty obligation to deliver Colorado River water to Mexico. The board develops a single position among the California agencies having the major rights to Colorado River water and power. The board also collaborates with other California agencies, primarily the Departments of Water Resources and Fish and Game and the State Water Resources Control Board.

By statute, the board consists of ten members appointed by the Governor: one from each of the six major public agencies having rights to the use of water or power from the Colorado River, two from the general public, and the directors of the Departments of Water Resources and Fish and Game. The six public agencies are: Palo Verde Irrigation District, Imperial Irrigation District, Coachella Valley Water District, the Metropolitan Water District of Southern California, San Diego County Water Authority, and the Department of Water and Power of the City of Los Angeles.

### Authority

California Water Code, Division 6, Part 5, Sections 12500–12553.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Protection of California's Colorado River Rights and Interests | 7.5 | 11.9 | 11.9 | $948 | $1,115 | $1,133 |
| *0001 General Fund* | | | | *187* | *222* | *225* |
| *0140 California Environmental License Plate Fund* | | | | *16* | *18* | *15* |
| *0995 Reimbursements* | | | | *745* | *875* | *893* |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 7.5 | 11.9 | 11.9 | $556 | $798 | $814 |
| Net Totals, Salaries and Wages | 7.5 | 11.9 | 11.9 | $556 | $798 | $814 |
| Staff Benefits | – | – | – | 110 | 115 | 116 |
| Totals, Personal Services | 7.5 | 11.9 | 11.9 | $666 | $913 | $930 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $282 | $202 | $203 |
| TOTALS, EXPENDITURES | | | | $948 | $1,115 | $1,133 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3460 COLORADO RIVER BOARD OF CALIFORNIA—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $211 | $223 | $225 |
| Allocation for employee compensation | 13 | 1 | – |
| Adjustment per Section 3.60 | –10 | –2 | – |
| Totals Available | $214 | $222 | $225 |
| Unexpended balance, estimated savings | –27 | – | – |
| TOTALS, EXPENDITURES | $187 | $222 | $225 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $18 | $18 | $15 |
| Unexpended balance, estimated savings | –2 | – | – |
| TOTALS, EXPENDITURES | $16 | $18 | $15 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $745 | $875 | $893 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $948 | $1,115 | $1,133 |

## 3480 DEPARTMENT OF CONSERVATION

The Department of Conservation protects public health and safety, ensures environmental quality, and supports the State's long-term viability in the use of California's earth resources. The department provides policy direction, education, regulation and dissemination of information concerning agricultural and open space lands and soils; beverage container recycling; geology and seismology; and mineral, geothermal and petroleum resources.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Geologic Hazards and Mineral Resources Conservation | 157.2 | 213.8 | 202.4 | $20,251 | $26,928 | $25,865 |
| 20 Oil, Gas, and Geothermal Resources | 118.5 | 123.2 | 121.3 | 11,970 | 12,890 | 13,906 |
| 30 Land Resource Protection | 17.3 | 34.7 | 35.2 | 7,458 | 23,831 | 10,446 |
| 40 Administration | 83.8 | 109.3 | 107.0 | 7,440 | 9,166 | 11,912 |
| Distributed Administration | – | – | – | –7,440 | –9,166 | –11,912 |
| 50 Beverage Container Recycling and Litter Reduction Program | 160.6 | 242.1 | 219.4 | 416,705 | 498,391 | 492,203 |
| TOTALS, PROGRAMS | 537.4 | 723.1 | 685.3 | $456,384 | $562,040 | $542,420 |
| *0001 General Fund* | | | | *22,198* | *32,243* | *24,256* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | | | | *–* | *5,500* | *5,490* |
| *0035 Surface Mining and Reclamation Account* | | | | *2,326* | *2,039* | *2,049* |
| *0042 State Highway Account, State Transportation Fund* | | | | *12* | *12* | *12* |
| *0133 California Beverage Container Recycling Fund* | | | | *360,479* | *417,387* | *417,871* |
| *0140 California Environmental License Plate Fund* | | | | *52* | *55* | *55* |
| *0141 Soil Conservation Fund* | | | | *1,005* | *3,087* | *1,154* |
| *0269 Glass Processing Fee Account, California Beverage Container Recycling Fund* | | | | *32,250* | *36,381* | *37,170* |
| *0275 Hazardous and Idle-Deserted Well Abatement Fund* | | | | *74* | *80* | *80* |
| *0277 Bi-Metal Processing Fee Account, California Beverage Container Recycling Fund* | | | | *12* | *106* | *20* |
| *0278 PET Processing Fee Account, California Beverage Container Recycling Fund* | | | | *23,964* | *44,442* | *37,067* |
| *0336 Mine Reclamation Account* | | | | *1,258* | *1,419* | *1,427* |
| *0338 Seismic Hazards Identification Fund* | | | | *1,707* | *1,925* | *1,947* |
| *0398 Strong-Motion Instrumentation Special Fund* | | | | *3,255* | *3,305* | *3,344* |
| *0867 California Farmland Conservancy Program Fund* | | | | *1,491* | *3,600* | *–* |
| *0890 Federal Trust Fund* | | | | *650* | *1,655* | *1,655* |
| *0995 Reimbursements* | | | | *5,651* | *8,306* | *8,324* |
| *6004 Agriculture and Open Space Mapping Subaccount* | | | | *–* | *498* | *499* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

### 10 GEOLOGIC HAZARDS AND MINERAL RESOURCES CONSERVATION

#### Program Objectives Statement

The program's objectives are to develop and disseminate technical information and advice on California's geology, geologic hazards, and mineral resources. The department is the state's resource center for scientific information concerning California's geologic, earthquake, landslide, volcanologic, and mineralogic hazards; earthquake engineering; mineral resources; and the reclamation of mined lands. Information is used by state and local governmental agencies, industry, and the public for land-use decisions, watersheds assessments, the development of mineral resources; regulation of surface mining and reclamation of mined lands including hazardous abandoned mines; mitigation of property damage and protection of health and safety of the public from geologic hazards; and the safeguarding of the state's environment and natural resources.

#### Major Budget Adjustment Proposed for 2001–02

- Elimination of 19.5 positions departmentwide pursuant to the Excess Vacant Position Analysis.

#### Authority

Public Resources Code, Division 1, Chapter 2, Article 3, and Division 2.

### 20 OIL, GAS, AND GEOTHERMAL RESOURCES

#### Program Objectives Statement

The program's objectives are to prevent the occurrence of public safety hazards and environmental damage by supervising the drilling, operation, maintenance, and plugging of oil, gas, and geothermal wells and the operation, maintenance, and proper removal of facilities attendant to such wells, including production pipelines; to prevent damage to oil, gas, and geothermal reservoirs, underground and surface freshwater sources, property and natural resources; and to promote sound engineering practices through the enforcement of regulations that encourage the prudent development of hydrocarbon and geothermal resources.

The State is reimbursed fully for program expenditures by annual assessments and fees on the respective industries. Approximately 650 companies operate over 95,000 wells in California for the production of oil, gas, and geothermal resources.

#### Authority

Public Resources Code, Division 3.

### 30 LAND RESOURCE PROTECTION

#### Program Objectives Statement

The program's objectives are to develop and provide information and technical assistance to protect agricultural and open-space land, and support land-use planning and watershed management. These objectives are accomplished through a statewide agricultural land-use mapping program as well as voluntary tax incentive and conservation easement grant programs for landowners, local government, nonprofit land trusts, and others which encourage the long-term conservation of productive agricultural land and open space. In addition, the program provides assistance to the State's 103 local resource conservation districts (RCDs) in the form of training, technical assistance, and grants focused on the specific needs of the districts.

#### Major Budget Adjustments Proposed for 2001–02

- $5 million Safe Neighborhood Parks, Clean Water, Clear Air, and Coastal Protection Bond Fund to fund the second year of the California Farmland Conservancy Program, which provides grants for agricultural easements to reduce development pressures on agricultural land.
- $500,000 Agriculture and Open Space Mapping Subaccount to fund the second year of expanded farmland mapping.
- $130,000 Soil Conservation Fund and 1.5 positions to continue the mapping of Williamson Act land.
- $111,000 General Fund and 1.0 redirected position to review Williamson Act contract cancellation notices, as required by Chapter 889, Statutes of 2000 (AB 1944).

#### Authority

Public Resources Code, Division 9 (Soil Resource Protection Program).
Public Resources Code, Division 10.2 (California Farmland Conservancy Program).
Public Resources Code 612, Government Code 65570(b)–(e) (Farmland Mapping and Monitoring Program).
Government Code 65570 (Williamson Act).
Government Code 16140 et seq. (Open Space Subvention Act).

### 50 BEVERAGE CONTAINER RECYCLING AND LITTER REDUCTION

#### Program Objectives Statement

The program's objectives are to promote beverage container recycling and litter reduction throughout the state. SB 332 (Ch. 815, Statutes of 1999) expanded the definition of beverage resulting in the addition of two billion new beverage containers to the program annually.

#### Major Budget Adjustment Proposed for 2001–02

- $1.301 million General Fund and $1.302 million California Beverage Container Recycling Fund to upgrade the department's computer network (Program 40-Administration).

#### Authority

Public Resources Code, Division 12.1.

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

### PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 GEOLOGIC HAZARDS AND MINERAL RESOURCES CONSERVATION

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$5,949* | *$9,116* | *$7,959* |
| *0035 Surface Mining and Reclamation Account* | *2,326* | *2,039* | *2,049* |
| *0042 State Highway Account, State Transportation Fund* | *12* | *12* | *12* |
| *0336 Mine Reclamation Account* | *1,258* | *1,419* | *1,427* |
| *0338 Seismic Hazards Identification Fund* | *1,707* | *1,925* | *1,947* |
| *0398 Strong-Motion Instrumentation Program Fund* | *3,255* | *3,305* | *3,344* |
| *0890 Federal Trust Fund* | *204* | *1,044* | *1,042* |
| *0995 Reimbursements* | *5,540* | *8,068* | *8,085* |
| Totals, State Operations | $20,251 | $26,928 | $25,865 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.16 Mineral Resources Development | 2,473 | 2,410 | 2,421 |
| State Operations: | | | |
| *0001 General Fund* | *710* | *747* | *751* |
| *0035 Surface Mining and Reclamation Account* | *1,423* | *1,252* | *1,257* |
| *0336 Mine Reclamation Account* | *297* | *206* | *208* |
| *0890 Federal Trust Fund* | *35* | *–* | *–* |
| *0995 Reimbursements* | *8* | *205* | *205* |
| 10.26 Environmental Review and Reclamation | 4,828 | 9,730 | 8,566 |
| State Operations: | | | |
| *0001 General Fund* | *1,939* | *4,470* | *3,293* |
| *0035 Surface Mining and Reclamation Account* | *903* | *787* | *792* |
| *0336 Mine Reclamation Account* | *961* | *1,213* | *1,219* |
| *0890 Federal Trust Fund* | *35* | *189* | *189* |
| *0995 Reimbursements* | *990* | *3,071* | *3,073* |
| 10.36 Geohazards Assessment | 4,801 | 4,770 | 4,807 |
| State Operations: | | | |
| *0001 General Fund* | *1,037* | *1,611* | *1,617* |
| *0042 State Highway Account, State Transportation Fund* | *12* | *12* | *12* |
| *0338 Seismic Hazards Identification Fund* | *1,707* | *1,925* | *1,947* |
| *0890 Federal Trust Fund* | *–* | *78* | *78* |
| *0995 Reimbursements* | *2,045* | *1,144* | *1,153* |
| 10.46 Earthquake Engineering | 5,554 | 6,759 | 6,804 |
| State Operations: | | | |
| *0398 Strong-Motion Instrumentation Program Fund* | *3,255* | *3,305* | *3,344* |
| *0890 Federal Trust Fund* | *3* | *58* | *58* |
| *0995 Reimbursements* | *2,296* | *3,396* | *3,402* |
| 10.56 Geologic Information/Support | 2,595 | 3,259 | 3,267 |
| State Operations: | | | |
| *0001 General Fund* | *2,263* | *2,288* | *2,298* |
| *0890 Federal Trust Fund* | *131* | *719* | *717* |
| *0995 Reimbursements* | *201* | *252* | *252* |

### PROGRAM REQUIREMENTS
### 20 OIL, GAS, AND GEOTHERMAL RESOURCES

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$11,342* | *$12,056* | *$13,069* |
| *0275 Hazardous and Idle-Deserted Well Abatement Fund* | *74* | *80* | *80* |
| *0890 Federal Trust Fund* | *446* | *611* | *613* |
| *0995 Reimbursements* | *108* | *143* | *144* |
| Totals, State Operations | $11,970 | $12,890 | $13,906 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 20.10 Regulation of Oil and Gas Operations | 11,219 | 12,000 | 13,013 |
| State Operations: | | | |
| *0001 General Fund* | *10,591* | *11,166* | *12,176* |
| *0275 Hazardous and Idle-Deserted Well Abatement Fund* | *74* | *80* | *80* |
| *0890 Federal Trust Fund* | *446* | *611* | *613* |
| *0995 Reimbursements* | *108* | *143* | *144* |
| 20.20 Regulation of Geothermal Operations | 751 | 890 | 893 |
| State Operations: | | | |
| *0001 General Fund* | *751* | *890* | *893* |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

### PROGRAM REQUIREMENTS
### 30 LAND RESOURCE PROTECTION

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$1,070* | *$1,526* | *$1,612* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | *–* | *500* | *490* |
| *0140 California Environmental License Plate Fund* | *52* | *55* | *55* |
| *0141 Soil Conservation Fund* | *1,005* | *1,087* | *1,154* |
| *0867 California Farmland Conservancy Program Fund* | *32* | *–* | *–* |
| *0995 Reimbursements* | *3* | *20* | *20* |
| *6004 Agriculture and Open Space Mapping Subaccount* | *–* | *498* | *499* |
| Totals, State Operations | $2,162 | $3,686 | $3,830 |
| Local Assistance: | | | |
| *0001 General Fund* | *3,837* | *9,545* | *1,616* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | *–* | *5,000* | *5,000* |
| *0141 Soil Conservation Fund* | *–* | *2,000* | *–* |
| *0867 California Farmland Conservancy Program Fund* | *1,459* | *3,600* | *–* |
| Totals, Local Assistance | $5,296 | $20,145 | $6,616 |
| Totals, State Operations and Local Assistance | $7,458 | $23,831 | $10,446 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 30.10 Open-Space Subvention Administration | 6,108 | 19,942 | 8,668 |
| State Operations: | | | |
| *0001 General Fund* | *808* | *1,406* | *1,498* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | *–* | *500* | *490* |
| *0140 California Environmental License Plate Fund* | *52* | *55* | *55* |
| *0141 Soil Conservation Fund* | *69* | *77* | *129* |
| *0867 California Farmland Conservancy Program Fund* | *32* | *–* | *–* |
| Local Assistance: | | | |
| *0001 General Fund* | *3,688* | *7,304* | *1,496* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | *–* | *5,000* | *5,000* |
| *0141 Soil Conservation Fund* | *–* | *2,000* | *–* |
| *0867 California Farmland Conservancy Program Fund* | *1,459* | *3,600* | *–* |
| 30.20 Farmland Mapping and Monitoring | 942 | 1,218 | 1,232 |
| State Operations: | | | |
| *0001 General Fund* | *262* | *–* | *–* |
| *0141 Soil Conservation Fund* | *677* | *700* | *713* |
| *0995 Reimbursements* | *3* | *20* | *20* |
| *6004 Agriculture and Open Space Mapping Subaccount* | *–* | *498* | *499* |
| 30.40 Soil Resource Protection | 408 | 2,671 | 546 |
| State Operations: | | | |
| *0001 General Fund* | *–* | *120* | *114* |
| *0141 Soil Conservation Fund* | *259* | *310* | *312* |
| Local Assistance: | | | |
| *0001 General Fund* | *149* | *2,241* | *120* |

### PROGRAM REQUIREMENTS
### 40 ADMINISTRATION

| | | | |
|---|---|---|---|
| Program Elements: | | | |
| 40.01 Administration | $7,440 | $9,166 | $11,912 |
| Amount charged to other programs: | | | |
| 10 Geologic Hazards and Mineral Resources Conservation | –2,497 | –3,114 | –3,163 |
| 20 Oil, Gas, and Geothermal Protection | –1,459 | –1,260 | –2,588 |
| 30 Land Resource Protection | –303 | –591 | –597 |
| 50 Container Recycling and Litter Reduction Program | –3,181 | –4,201 | –5,564 |
| Totals, Distributed Administration | –$7,440 | –$9,166 | –$11,912 |
| Totals, State Operations | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

### PROGRAM REQUIREMENTS

### 50 BEVERAGE CONTAINER RECYCLING AND LITTER REDUCTION

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0133 California Beverage Container Recycling Fund* | *$360,479* | *$417,387* | *$417,871* |
| *0269 Glass Processing Fee Account, California Beverage Container Recycling Fund* | *32,250* | *36,381* | *37,170* |
| *0277 Bi-Metal Processing Fee Account, California Beverage Container Recycling Fund* | *12* | *106* | *20* |
| *0278 PET Processing Fee Account, California Beverage Container Recycling Fund* | *23,964* | *44,442* | *37,067* |
| *0995 Reimbursements* | *–* | *75* | *75* |
| Totals, State Operations | $416,705 | $498,391 | $492,203 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 50.22 Administration and Internal Review | 20,829 | 25,092 | 25,390 |
| State Operations: | | | |
| *0133 California Beverage Container Recycling Fund* | *20,829* | *25,092* | *25,390* |
| 50.23 Legal and Enforcements | 2,903 | 4,658 | 5,742 |
| State Operations: | | | |
| *0133 California Beverage Container Recycling Fund* | *2,903* | *4,658* | *5,742* |
| 50.24 Industry Services | 3,015 | 375,393 | 375,154 |
| State Operations: | | | |
| *0133 California Beverage Container Recycling Fund* | *3,015* | *375,393* | *375,154* |
| 50.25 Audits | 2,515 | 2,990 | 3,016 |
| State Operations: | | | |
| *0133 California Beverage Container Recycling Fund* | *2,515* | *2,990* | *3,016* |
| 50.26 Market Research | 68,713 | 83,822 | 76,514 |
| State Operations: | | | |
| *0133 California Beverage Container Recycling Fund* | *12,487* | *2,818* | *2,182* |
| *0269 Glass Processing Fee Account, California Beverage Container Recycling Fund* | *32,250* | *36,381* | *37,170* |
| *0277 Bi-Metal Processing Fee Account, California Beverage Container Recycling Fund* | *12* | *106* | *20* |
| *0278 PET Processing Fee Account, California Beverage Container Recyling Fund* | *23,964* | *44,442* | *37,067* |
| *0995 Reimbursements* | *–* | *75* | *75* |
| 50.27 Community Outreach | 318,730 | 6,436 | 6,387 |
| State Operations: | | | |
| *0133 California Beverage Container Recycling Fund* | *318,730* | *6,436* | *6,387* |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $451,088 | $541,895 | $535,804 |
| Local Assistance | 5,296 | 20,145 | 6,616 |
| TOTALS, EXPENDITURES | $456,384 | $562,040 | $542,420 |

---

### SUMMARY BY OBJECT

### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 537.4 | 761.2 | 739.4 | $28,314 | $38,782 | $38,951 |
| Total Adjustments | – | – | –18.0 | – | 96 | 553 |
| Estimated Salary Savings | – | –38.1 | –36.1 | – | –1,943 | –1,979 |
| Net Totals, Salaries and Wages | 537.4 | 723.1 | 685.3 | $28,314 | $36,935 | $37,525 |
| Staff Benefits | – | – | – | 5,270 | 7,060 | 6,108 |
| Totals, Personal Services | 537.4 | 723.1 | 685.3 | $33,584 | $43,995 | $43,633 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $21,181 | $28,630 | $29,463 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Payments to Recyclers, Processors, Manufacturers | | | | 396,323 | 469,270 | 462,708 |
| Totals, Special Items of Expense | | | | $396,323 | $469,270 | $462,708 |
| TOTALS, EXPENDITURES | | | | $451,088 | $541,895 | $535,804 |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $18,208 | $22,415 | $22,640 |
| 002 Budget Act appropriation | 265 | – | – |
| Allocation for employee compensation | 1,136 | 117 | – |
| Allocation for contingencies or emergencies | – | 340 | – |
| Adjustment per Section 3.60 | −693 | −176 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 2 | – |
| Adjustment per Section 16.00 | 8 | – | – |
| Totals Available | $18,924 | $22,698 | $22,640 |
| Unexpended balance, estimated savings | −563 | – | – |
| TOTALS, EXPENDITURES | $18,361 | $22,698 | $22,640 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $502 | $490 |
| Adjustment per Section 3.60 | – | −2 | – |
| TOTALS, EXPENDITURES | – | $500 | $490 |

### 0035 Surface Mining and Reclamation Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,319 | $2,050 | $2,049 |
| Allocation for employee compensation | 166 | 3 | – |
| Adjustment per Section 3.60 | −96 | −14 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $2,390 | $2,039 | $2,049 |
| Unexpended balance, estimated savings | −64 | – | – |
| TOTALS, EXPENDITURES | $2,326 | $2,039 | $2,049 |

### 0042 State Highway Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $12 | $12 | $12 |

### 0133 California Beverage Container Recycling Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (administrative support) | $22,970 | $29,183 | $29,420 |
| Public Resources Code Section 14580 (for payments to recycling industries) | 339,486 | 388,341 | 388,451 |
| Allocation for employee compensation | 1,030 | 43 | – |
| Adjustment per Section 3.60 | −673 | −183 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 3 | – |
| Adjustment per Section 16.00 | 7 | – | – |
| Totals Available | $362,820 | $417,387 | $417,871 |
| Unexpended balance, estimated savings | −2,341 | – | – |
| TOTALS, EXPENDITURES | $360,479 | $417,387 | $417,871 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $55 | $56 | $55 |
| Allocation for employee compensation | 1 | – | – |
| Adjustment per Section 3.60 | −2 | −1 | – |
| Totals Available | $54 | $55 | $55 |
| Unexpended balance, estimated savings | −2 | – | – |
| TOTALS, EXPENDITURES | $52 | $55 | $55 |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

### 0141 Soil Conservation Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,060 | $1,095 | $1,154 |
| Allocation for employee compensation | 80 | 1 | – |
| Adjustment per Section 3.60 | –41 | –9 | – |
| Totals Available | $1,099 | $1,087 | $1,154 |
| Unexpended balance, estimated savings | –94 | – | – |
| TOTALS, EXPENDITURES | $1,005 | $1,087 | $1,154 |

### 0269 Glass Processing Fee Account, California Beverage Container Recycling Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 14580 (expenditures) | $32,250 | $36,381 | $37,170 |

### 0275 Hazardous and Idle-Deserted Well Abatement Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 3206 (expenditures) | $74 | $80 | $80 |

### 0277 Bi-Metal Processing Fee Account, California Beverage Container Recycling Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 14580 (expenditures) | $12 | $106 | $20 |

### 0278 PET Processing Fee Account, California Beverage Container Recycling Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 14580 (expenditures) | $23,964 | $44,442 | $37,067 |

### 0336 Mine Reclamation Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,270 | $1,424 | $1,427 |
| Allocation for employee compensation | 99 | 8 | – |
| Adjustment per Section 3.60 | –56 | –13 | – |
| Totals Available | $1,313 | $1,419 | $1,427 |
| Unexpended balance, estimated savings | –55 | – | – |
| TOTALS, EXPENDITURES | $1,258 | $1,419 | $1,427 |

### 0338 Seismic Hazards Identification Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,802 | $1,936 | $1,947 |
| Allocation for employee compensation | 169 | 3 | – |
| Adjustment per Section 3.60 | –64 | –14 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $1,908 | $1,925 | $1,947 |
| Unexpended balance, estimated savings | –201 | – | – |
| TOTALS, EXPENDITURES | $1,707 | $1,925 | $1,947 |

### 0398 Strong-Motion Instrumentation Special Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,258 | $3,318 | $3,344 |
| Allocation for employee compensation | 157 | 7 | – |
| Adjustment per Section 3.60 | –98 | –20 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Totals Available | $3,319 | $3,305 | $3,344 |
| Unexpended balance, estimated savings | –64 | – | – |
| TOTALS, EXPENDITURES | $3,255 | $3,305 | $3,344 |

### 0867 California Farmland Conservancy Program Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Item 3480-001-0867, Budget Act of 1998 | $36 | – | – |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES | $32 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,630 | $1,655 | $1,655 |
| Allocation for employee compensation | 35 | 4 | – |
| Adjustment per Section 3.60 | –20 | –4 | – |
| Budget adjustment | –995 | – | – |
| TOTALS, EXPENDITURES | $650 | $1,655 | $1,655 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $5,651 | $8,306 | $8,324 |

### 6004 Agriculture and Open Space Mapping Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $500 | $499 |
| Adjustment per Section 3.60 | – | –2 | – |
| TOTALS, EXPENDITURES | – | $498 | $499 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $451,088 | $541,895 | $535,804 |

---

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 2 LOCAL ASSISTANCE

### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $1,616 | $3,616 | $1,616 |
| 295 Budget Act appropriation (State Mandates) | 0 [1] | 0 [1] | 0 [1] |
| Prior year balances available: | | | |
| Item 3480-101-0001, Budget Act of 1998 | 8,112 | 4,313 | – |
| Item 3480-101-0001, Budget Act of 1999 | – | 1,616 | – |
| Chapter 928, Statutes of 1997 | 38 | – | – |
| Totals Available | $9,766 | $9,545 | $1,616 |
| Balance available in subsequent years | –5,929 | – | – |
| TOTALS, EXPENDITURES | $3,837 | $9,545 | $1,616 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $5,000 | $5,000 |

### 0141 Soil Conservation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Item 3480-101-0141, Budget Act of 1998 | $2,000 | $2,000 | – |
| Balance available in subsequent years | –2,000 | – | – |
| TOTALS, EXPENDITURES | – | $2,000 | – |

### 0867 California Farmland Conservancy Program Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Item 3480-101-0867, Budget Act of 1997 | $1,459 | – | – |
| Item 3480-101-0867, Budget Act of 1998 | 3,600 | $3,600 | – |
| Totals Available | $5,059 | $3,600 | – |
| Balance available in subsequent years | –3,600 | – | – |
| TOTALS, EXPENDITURES | $1,459 | $3,600 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $5,296 | $20,145 | $6,616 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $456,384 | $562,040 | $542,420 |

[1] Mandates suspended pursuant to Government Code 17581.

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

### FUND CONDITION STATEMENT

| 0035 Surface Mining and Reclamation Account [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $554 | $282 | $298 |
| Prior year adjustments | −1 | – | – |
| Balance, Adjusted | $553 | $282 | $298 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 55 | 55 | 55 |
| 151800 Federal lands royalties (receipts from the Federal Government) | 2,000 | 2,000 | 2,000 |
| Totals, Revenues | $2,055 | $2,055 | $2,055 |
| Totals, Revenues and Transfers | $2,055 | $2,055 | $2,055 |
| Totals, Resources | $2,608 | $2,337 | $2,353 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation (State Operations) | 2,326 | 2,039 | 2,049 |
| FUND BALANCE | $282 | $298 | $304 |
| Reserve for economic uncertainties | 282 | 298 | 304 |

| 0133 California Beverage Container Recycling Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $116,017 | $91,217 | $104,163 |
| Prior year adjustments | −2,082 | – | – |
| Balance, Adjusted | $113,935 | $91,217 | $104,163 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125100 Beverage container redemption fees | 380,745 | 466,270 | 466,300 |
| 150300 Income from surplus money investments | 4,522 | 5,900 | 5,500 |
| 161100 Escheat of unclaimed warrants | 2 | – | – |
| 161400 Miscellaneous revenue | 33 | – | – |
| 164300 Penalty assessments | 510 | – | – |
| Totals, Revenues | $385,812 | $472,170 | $471,800 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Hathaway v. Wilson Judgment | – | 21,377 | – |
| Totals, Transfers from Other Funds | – | $21,377 | – |
| Transfers to Other Funds: | | | |
| T00269 Glass Processing Fee Account per Public Resources Code 14580 | −23,079 | −27,053 | −27,711 |
| T00277 Bi-Metal Processing Fee Account per Public Resources Code 14580 | −6 | −80 | −15 |
| T00278 PET Processing Fee Account per Public Resources Code 14580 | −21,747 | −33,331 | −27,709 |
| Totals, Transfers to Other Funds | −$44,832 | −$60,464 | −$55,435 |
| Totals, Transfers | −$44,832 | −$39,087 | −$55,435 |
| Totals, Revenues and Transfers | $340,980 | $433,083 | $416,365 |
| Totals, Resources | $454,915 | $524,300 | $520,528 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation (State Operations) | 360,479 | 417,387 | 417,871 |
| 9670 Legislative Claims (State Operations) | 139 | – | – |
| 9900 Statewide General Administrative (Pro Rata) | 3,080 | 2,750 | 2,854 |
| Totals, Expenditures | $363,698 | $420,137 | $420,725 |
| FUND BALANCE | $91,217 | $104,163 | $99,803 |
| Reserve for economic uncertainties | 91,217 | 84,263 | 79,003 |
| Reserve per Public Resources Code 14580 | – | 19,900 | 20,800 |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

| 0141 Soil Conservation Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $2,323 | $3,046 | $1,224 |
| Prior year adjustments | 459 | – | – |
| Balance, Adjusted | $2,782 | $3,046 | $1,224 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131800 Open space cancellation fee deferred taxes | 1,099 | 1,095 | 1,095 |
| 150300 Income from surplus money investments | 170 | 170 | 170 |
| Totals, Revenues | $1,269 | $1,265 | $1,265 |
| Totals, Revenues and Transfers | $1,269 | $1,265 | $1,265 |
| Totals, Resources | $4,051 | $4,311 | $2,489 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation: | | | |
| State Operations | 1,005 | 1,087 | 1,154 |
| Local Assistance | – | 2,000 | – |
| Totals, Expenditures | $1,005 | $3,087 | $1,154 |
| FUND BALANCE | $3,046 | $1,224 | $1,335 |
| Reserve for economic uncertainties | 3,046 | 1,224 | 1,335 |

| 0269 Glass Processing Fee Account, California Beverage Container Recycling Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $11,329 | $15,607 | $15,457 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125100 Processing fees (glass) | 12,917 | 9,004 | 9,015 |
| 150300 Income from surplus money investments | 532 | 485 | 465 |
| Totals, Revenues | $13,449 | $9,489 | $9,480 |
| Transfers from Other Funds: | | | |
| F00133 California Beverage Container Recycling Fund, per Public Resources Code Section 14580 | 23,079 | 27,053 | 27,711 |
| Totals, Transfers from Other Funds | $23,079 | $27,053 | $27,711 |
| Totals, Revenues and Transfers | $36,528 | $36,542 | $37,191 |
| Totals, Resources | $47,857 | $52,149 | $52,648 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation (State Operations) | 32,250 | 36,381 | 37,170 |
| 9900 Statewide General Administrative (Pro Rata) | – | 311 | 222 |
| Totals, Expenditures | $32,250 | $36,692 | $37,392 |
| FUND BALANCE | $15,607 | $15,457 | $15,256 |
| Reserve for economic uncertainties | 15,607 | 15,457 | 15,256 |

| 0275 Hazardous and Idle-Deserted Well Abatement Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $44 | $91 | $95 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 115 | 80 | 80 |
| 150300 Income from surplus money investments | 6 | 4 | 4 |
| Totals, Revenues | $121 | $84 | $84 |
| Totals, Resources | $165 | $175 | $179 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation (State Operations) | 74 | 80 | 80 |
| FUND BALANCE | $91 | $95 | $99 |
| Reserve for economic uncertainties | 91 | 95 | 99 |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

| 0276 Penalty Account, Beverage Container Recycling Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | $169 | $877 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | $2 | 30 | 80 |
| 164300 Penalty assessments | 167 | 678 | 750 |
| Totals, Revenues | $169 | $708 | $830 |
| Totals, Resources | $169 | $877 | $1,707 |
| FUND BALANCE | $169 | $877 | $1,707 |
| Reserve for economic uncertainties | 169 | 877 | 1,707 |

| 0277 Bi-Metal Processing Fee Account, California Beverage Container Recycling Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $30 | $44 | $64 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125100 Processing fees (bi-metal) | 18 | 44 | 84 |
| 150300 Income from surplus money investments | 2 | 2 | 1 |
| Totals, Revenues | $20 | $46 | $85 |
| Transfers from Other Funds: | | | |
| F00133 California Beverage Container Recycling Fund, per Public Resources Code Section 14580 | 6 | 80 | 15 |
| Totals, Transfers from Other Funds | $6 | $80 | $15 |
| Totals, Revenues and Transfers | $26 | $126 | $100 |
| Totals, Resources | $56 | $170 | $164 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation (State Operations) | 12 | 106 | 20 |
| Totals, Expenditures | $12 | $106 | $20 |
| FUND BALANCE | $44 | $64 | $144 |
| Reserve for economic uncertainties | 44 | 64 | 144 |

| 0278 PET Processing Fee Account, California Beverage Container Recycling Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $943 | $7,246 | $11,001 |
| Prior year adjustments | –3 | – | – |
| Balance, Adjusted | $940 | $7,246 | $11,001 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125100 Beverage container redemption fees | 8,215 | 14,391 | 12,984 |
| 150300 Income from surplus money investments | 370 | 475 | 500 |
| Totals, Revenues | $8,585 | $14,866 | $13,484 |
| Transfers from Other Funds: | | | |
| F00133 California Beverage Container Recycling Fund, per Public Resources Code Section 14580 | 21,747 | 33,331 | 27,709 |
| Totals, Transfers from Other Funds | $21,747 | $33,331 | $27,709 |
| Totals, Revenues and Transfers | $30,332 | $48,197 | $41,193 |
| Totals, Resources | $31,272 | $55,443 | $52,194 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation (State Operations) | 23,964 | 44,442 | 37,067 |
| 9900 Statewide General Administrative (Pro Rata) | 62 | – | 121 |
| Totals, Expenditures | $24,026 | $44,442 | $37,188 |
| FUND BALANCE | $7,246 | $11,001 | $15,006 |
| Reserve for economic uncertainties | 7,246 | 11,001 | 15,006 |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

| 0336 Mine Reclamation Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $696 | $712 | $433 |
| Prior year adjustments | 1 | – | – |
| Balance, Adjusted | $697 | $712 | $433 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,146 | 1,070 | 1,070 |
| 150300 Income from surplus money investments | 52 | 40 | 40 |
| 164300 Penalty assessments | 75 | 30 | 30 |
| Totals, Revenues | $1,273 | $1,140 | $1,140 |
| Totals, Revenues and Transfers | $1,273 | $1,140 | $1,140 |
| Totals, Resources | $1,970 | $1,852 | $1,573 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation (State Operations) | 1,258 | 1,419 | 1,427 |
| FUND BALANCE | $712 | $433 | $146 |
| Reserve for economic uncertainties | 712 | 433 | 146 |

| 0338 Seismic Hazards Identification Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $956 | $1,152 | $1,207 |
| Prior year adjustments | 78 | – | – |
| Balance, Adjusted | $1,034 | $1,152 | $1,207 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131700 Miscellaneous revenue from local agencies | 1,746 | 1,900 | 1,900 |
| 150300 Income from surplus money investments | 79 | 80 | 80 |
| Totals, Revenues | $1,825 | $1,980 | $1,980 |
| Totals, Revenues and Transfers | $1,825 | $1,980 | $1,980 |
| Totals, Resources | $2,859 | $3,132 | $3,187 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation (State Operations) | 1,707 | 1,925 | 1,947 |
| FUND BALANCE | $1,152 | $1,207 | $1,240 |
| Reserve for economic uncertainties | 1,152 | 1,207 | 1,240 |

| 0398 Strong-Motion Instrumentation Special Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,002 | $5,069 | $6,465 |
| Prior year adjustments | 808 | – | – |
| Balance, Adjusted | $3,810 | $5,069 | $6,465 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131700 Miscellaneous revenue from local agencies (construction permit fees) | 4,174 | 4,400 | 4,400 |
| 150300 Income from surplus money investments | 338 | 300 | 300 |
| 161400 Miscellaneous revenue (fees and charges from dam owners) | 2 | 1 | 1 |
| Totals, Revenues | $4,514 | $4,701 | $4,701 |
| Totals, Revenues and Transfers | $4,514 | $4,701 | $4,701 |
| Totals, Resources | $8,324 | $9,770 | $11,166 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation (State Operations) | 3,255 | 3,305 | 3,344 |
| FUND BALANCE | $5,069 | $6,465 | $7,822 |
| Reserve for economic uncertainties | 5,069 | 6,465 | 7,822 |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

| 0867 California Farmland Conservancy Program Fund [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $8,794 | $3,874 | $274 |
| Prior year adjustments | −3,699 | – | – |
| Balance, Adjusted | $5,095 | $3,874 | $274 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 270 | – | – |
| Totals, Revenues | $270 | – | – |
| Totals, Revenues and Transfers | $270 | – | – |
| Totals, Resources | $5,365 | $3,874 | $274 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3480 Department of Conservation: | | | |
| State Operations | 32 | – | – |
| Local Assistance | 1,459 | 3,600 | – |
| Totals, Expenditures | $1,491 | $3,600 | – |
| FUND BALANCE | $3,874 | $274 | $274 |
| Reserve for economic uncertainties | 3,874 | 274 | 274 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 537.4 | 761.2 | 739.4 | $28,314 | $38,782 | $38,951 |
| Salary adjustments | – | – | – | – | 96 | 243 |
| Totals, Adjusted Authorized Positions | 537.4 | 761.2 | 739.4 | $28,314 | $38,878 | $39,194 |
| Workload and Administrative Adjustments: | | | | | | |
| Excess Vacant Position Analysis: | | | | | | |
| Reductions in Authorized Positions: | | | | | | |
| Geologic Hazards and Mineral Resources Conservation: | | | | Salary Range | | |
| Assoc Engrng Geologist | – | – | −2.0 | 4,418–5,370 | – | – |
| Research Prog Spec I | – | – | −0.5 | 4,301–5,228 | – | – |
| Energy & Mineral Resources Engr | – | – | −1.0 | 3,358–5,118 | – | – |
| Research Analyst II (GIS) | – | – | −1.0 | 4,110–4,997 | – | – |
| Seismological Instrument Techn I | – | – | −1.0 | 2,903–3,527 | – | – |
| Temporary Help | – | – | −4.1 | – | – | – |
| Totals, Geologic Hazards and Mineral Resources Conservation | – | – | −9.6 | – | – | – |
| Oil, Gas and Geothermal Resources: | | | | | | |
| Oil & Gas Techn III | – | – | −1.0 | 2,903–3,527 | – | – |
| Ofc Asst-Typing | – | – | −1.0 | 1,908–2,465 | – | – |
| Totals, Oil, Gas and Geothermal Resources | – | – | −2.0 | – | – | – |
| Administration: | | | | | | |
| Staff Svcs Analyst | – | – | −0.4 | 2,507–3,957 | – | – |
| Bus Svc Asst-Spec | – | – | −1.0 | 2,220–3,330 | – | – |
| Accountant I-Spec | – | – | −1.0 | 2,554–3,104 | – | – |
| Totals, Administration | – | – | −2.4 | – | – | – |
| Beverage Container Recycling and Litter Reduction Program: | | | | | | |
| Ofc Asst-Typing | – | – | −0.5 | 1,908–2,465 | – | – |
| Temporary Help | – | – | −5.0 | – | – | – |
| Totals, Beverage Container Recycling and Litter Reduction Program | – | – | −5.5 | – | – | – |
| Totals | – | – | −19.5 | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3480 DEPARTMENT OF CONSERVATION—Continued

| Proposed New Positions | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Land Resources Protection: | | | | Salary Range | | |
| Research Analyst II-Geographic Info | – | – | (1.0) | $4,110–4,997 | – | $55 |
| Assoc Land & Water Use Analyst | – | – | (1.0) | 4,110–4,997 | – | 54 |
| Assoc Plnr | – | – | (1.0) | 3,915–4,759 | – | 52 |
| Land & Water Use Analyst | – | – | (1.0) | 3,327–4,008 | – | 44 |
| Research Analyst I [1] | – | – | 1.0 | 2,851–3,330 | – | 38 |
| Graduate Student Asst [1] | – | – | 0.5 | 1,958–2,134 | – | 12 |
| Totals, Land Resources Protection | – | – | 1.5 | – | – | $255 |
| Administration: | | | | | | |
| Assoc Info Sys Analyst-Spec | – | – | (1.0) | 4,110–4,997 | – | 54 |
| Overtime | – | – | – | – | – | 1 |
| Totals, Administration | – | – | (1.0) | – | – | $55 |
| Totals, Proposed New Positions | – | – | 1.5 | – | – | $310 |
| Total Adjustments | – | – | –18.0 | – | $96 | $553 |
| TOTALS, SALARIES AND WAGES | 537.4 | 761.2 | 721.4 | $28,314 | $38,878 | $39,504 |

[1] 1.5 positions limited to 6/30/03.

# 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION

The California Department of Forestry and Fire Protection protects the people of California from fires, responds to emergencies, and protects and enhances forest, range, and watershed values, providing social, economic, and environmental benefits to rural and urban citizens. The Department of Forestry and Fire Protection's objectives are intended to protect the lives, property, and natural resources of the people of the State of California, while maintaining the health and safety of its workforce; contain costs and losses due to wildfire through improved prevention of damaging fires and the optimization of its initial attack fire suppression organization; streamline its operational and regulatory functions regarding forest practices and vegetation management practices on wildlands; and optimize the cost-effectiveness of the services provided through partnerships and cooperative agreements with all levels of government and the private sector.

The Department of Forestry and Fire Protection provides fire protection services for some local governments on a cost reimbursement basis. Departmental employees and equipment provide emergency response services for floods, earthquakes, and hazardous material spills, and other non-fire emergencies as part of the California Emergency Plan. The Department of Forestry and Fire Protection also provides protection of lives and property through the development and application of fire prevention engineering, enforcement and education.

## Authority

Constitution, Public Resources Code (principally), Health and Safety Code, Penal Code, Welfare and Institutions Code, Military and Veterans Code, Board of Forestry policy.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Office of the State Fire Marshal | 99.2 | 104.0 | 113.5 | $10,202 | $13,141 | $14,218 |
| 11 Fire Protection | 4,040.5 | 3,926.1 | 3,934.0 | 580,473 | 493,190 | 507,755 |
| 12 Resource Management | 286.0 | 350.4 | 350.5 | 38,478 | 47,808 | 47,222 |
| 20 Administration | 513.9 | 529.1 | 532.0 | 42,419 | 46,409 | 46,990 |
| Distributed Administration | – | – | – | –42,132 | –46,102 | –46,683 |
| TOTALS, PROGRAMS | 4,939.6 | 4,909.6 | 4,930.0 | $629,440 | $554,446 | $569,502 |
| *0001 General Fund* | | | | *440,754* | *394,433* | *406,681* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | | | | – | *2,842* | *1,377* |
| *0028 Unified Program Account* | | | | *197* | *249* | *250* |
| *0102 State Fire Marshal Licensing and Certification Fund* | | | | *1,721* | *1,856* | *1,817* |
| *0140 California Environmental License Plate Fund* | | | | *482* | *491* | *493* |
| *0198 California Fire and Arson Training Fund* | | | | *1,358* | *1,425* | *1,398* |
| *0209 California Hazardous Liquid Pipeline Safety Fund* | | | | *1,023* | *2,398* | *2,214* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | | | | *330* | *347* | *340* |
| *0300 Professional Forester Registration Fund* | | | | *105* | *172* | *169* |
| *0890 Federal Trust Fund* | | | | *27,492* | *10,148* | *15,836* |
| *0928 Forest Resources Improvement Fund* | | | | *16,386* | *19,462* | *17,363* |
| *0965 Timber Tax Fund* | | | | *19* | *26* | *26* |
| *0995 Reimbursements* | | | | *139,573* | *120,597* | *121,538* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

### 10 OFFICE OF THE STATE FIRE MARSHAL

#### Program Objectives Statement

The primary responsibility of the Office of the State Fire Marshal (OSFM) is the protection of life and property from fire. This is done through development, maintenance, and enforcement of fire safety standards for educational and institutional occupancies, public assembly facilities, organized camps, buildings over 75 feet in height, and in state-owned structures. Statewide standards are written and enforced relative to the control of fire extinguishing systems, explosives and special effects, fireworks, decorative materials and fabrics, building materials and other fire safety products, and wearing apparel. OSFM analyzes reports of all fires occurring in the state. Training in fire prevention, fire suppression, and arson and bomb investigation is provided to fire departments and law enforcement agencies. OSFM maintains standards and provides certification for vapor recovery systems and components. OSFM participates in the oversight, certification, and evaluation of the Certified Unified Program Agency (CUPA) program. OSFM has been designated as a State Repository for pipeline data by the National Pipeline Mapping System.

The Office of the State Fire Marshal has primary jurisdiction for the above in state facilities, and a secondary, support role to the local fire service in privately owned facilities.

#### Major Budget Adjustment Proposed for 2001–02

- $1,556,000 General Fund and 10.0 positions to enforce fire and life safety requirements in existing state facilities.

### 11 FIRE PROTECTION

#### Program Objectives Statement

The fire protection program protects California's forest, brush, and grass covered wildlands from potential damages resulting from uncontrolled fire on private and state-owned lands and enhances the quality and usefulness of the resources. The program provides ''basic fire protection'' to SRA and other wildland areas, and seeks to hold fire damage at or below the 15-year average and minimize the impairment of economic, social and environmental benefits. The underlying assumption is that uncontrolled fire is a public nuisance which must be abated by a combination of fire prevention, fire control and cooperative fire protection.

#### Major Budget Adjustment Included in 2000–01

- $1,000,000 Federal Trust Fund for Pre-Fire projects designed to reduce the costs and losses associated with wildland fires.

#### Major Budget Adjustments Proposed for 2001–02

- $10,228,000 General Fund for the acquisition of a new Computer Aided Dispatching system.
- $7,000,000 General Fund to replace critical communication equipment.
- $1,500,000 General Fund, $464,000 Reimbursements and 3.0 limited-term positions to allow CDF to fill vacated positions created by the increasing level of retirements.
- $1,474,000 General Fund to relocate the Aviation Management Unit from Mather Airport to McClellan Air Force Base.
- $386,000 General Fund and 3.0 positions to reactivate one conservation camp fire crew.
- $6,551,000 federal funds and 3.0 limited-term positions to fund Pre-Fire projects designed to reduce the costs and losses associated with wildland fires.

### 12 RESOURCE MANAGEMENT

#### Program Objectives Statement

California's state and private forest, range, watershed lands, and urban forests provide multiple human and environmental benefits. The objective of this program is to maintain and enhance those benefits and to minimize damage to these resources from natural catastrophe and human misuse. Objectives are met by regulation of timber harvesting, technical assistance to nonindustrial landowners, operation of state forests, and administration of federal forestry assistance programs.

#### Major Budget Adjustments Proposed for 2001–02

- $1,651,000 General Fund and 3.0 positions to assist in the implementation of watershed activities identified in the CALFED Watershed Program Plans.
- $425,000 General Fund one-time funding to provide a comprehensive manual of assessment methods, scientific peer review of assessment products and improved road data within the North Coast Watershed Assessment Program framework.
- $300,000 General Fund to contract for a study to address the need for statewide Geographical Information System land record information.
- $2,684,000 Forest Resources Improvement Fund and 6.0 positions for the California Forest Improvement Program.
- $300,000 General Fund to contract for a study to pay newly assessed fees for the Vegetation Management Programs prescribed burns.
- $1,437,000 Forest Resources Improvement Fund and 4.7 positions for the Urban and Community Forestry Program.
- $1,325,000 Forest Resources Improvement Fund and 18.5 positions for the State Nursery Program.
- $1,312,000 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund to continue grants for planting and maintenance of trees in urban areas.
- $914,000 federal funds and 6.3 limited-term positions to continue funding for the State and Private Forestry program.
- $716,000 Forest Resources Improvement Fund and 1.0 position to monitor projects in demonstration state forests.
- $64,000 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund and 0.5 position to administer the Forest Legacy Program.

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

### PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 OFFICE OF THE STATE FIRE MARSHAL

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$2,981* | *$3,661* | *$4,990* |
| *0028 Unified Program Account* | *197* | *249* | *250* |
| *0102 State Fire Marshal Licensing and Certification Fund* | *1,721* | *1,856* | *1,817* |
| *0198 California Fire and Arson Training Fund* | *1,358* | *1,425* | *1,398* |
| *0209 California Hazardous Liquid Pipeline Safety Fund* | *1,023* | *2,398* | *2,214* |
| *0890 Federal Trust Fund* | *738* | *1,002* | *992* |
| *0995 Reimbursements* | *2,184* | *2,550* | *2,557* |
| Totals, State Operations for Office of the State Fire Marshal | $10,202 | $13,141 | $14,218 |

### PROGRAM REQUIREMENTS
### 11 FIRE PROTECTION

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$417,706* | *$367,545* | *$375,966* |
| *0890 Federal Trust Fund* | *25,359* | *7,573* | *13,109* |
| *0928 Forest Resources Improvement Fund* | *490* | *500* | *500* |
| *0995 Reimbursements* | *136,718* | *117,010* | *118,091* |
| Totals, State Operations | $580,273 | $492,628 | $507,666 |
| Local Assistance: | | | |
| *0001 General Fund* | *200* | *562* | *89* |
| Totals, Local Assistance | $200 | $562 | $89 |
| Totals, State Operations and Local Assistance for Fire Protection | $580,473 | $493,190 | $507,755 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 11.10 Fire Prevention | 12,190 | 13,531 | 19,209 |
| *0001 General Fund* | *10,604* | *10,946* | *11,076* |
| *0890 Federal Trust Fund* | *222* | *1,250* | *6,798* |
| *0928 Forest Resources Improvement Fund* | *490* | *500* | *500* |
| *0995 Reimbursements* | *874* | *835* | *835* |
| 11.30 Fire Control | 202,806 | 210,148 | 220,658 |
| State Operations: | | | |
| *0001 General Fund* | *199,363* | *205,071* | *215,914* |
| *0890 Federal Trust Fund* | *1,235* | *2,248* | *2,237* |
| *0995 Reimbursements* | *2,108* | *2,267* | *2,418* |
| Local Assistance: | | | |
| *0001 General Fund* | *100* | *562* | *89* |
| 11.40 Cooperative Fire Protection | 131,132 | 142,188 | 143,692 |
| State Operations: | | | |
| *0001 General Fund* | *28,265* | *30,200* | *30,776* |
| *0995 Reimbursements* | *102,767* | *111,988* | *112,916* |
| Local Assistance: | | | |
| *0001 General Fund* | *100* | *–* | *–* |
| 11.60 Conservation Camps | 55,822 | 68,323 | 65,196 |
| *0001 General Fund* | *54,851* | *66,328* | *63,200* |
| *0890 Federal Trust Fund* | *2* | *75* | *74* |
| *0995 Reimbursements* | *969* | *1,920* | *1,922* |
| 11.80 Emergency Fire Suppression | 178,523 | 59,000 | 59,000 |
| *0001 General Fund* | *124,623* | *55,000* | *55,000* |
| *0890 Federal Trust Fund* | *23,900* | *4,000* | *4,000* |
| *0995 Reimbursements* | *30,000* | *–* | *–* |

### PROGRAM REQUIREMENTS
### 12 RESOURCE MANAGEMENT

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$19,867* | *$22,665* | *$25,636* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | *–* | *162* | *202* |
| *0140 California Environmental License Plate Fund* | *482* | *491* | *493* |
| *0235 Public Resource Account, Cigarette and Tobacco Products Surtax Fund* | *330* | *347* | *340* |
| *0300 Professional Forester Registration Fund* | *105* | *172* | *169* |

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0890 Federal Trust Fund* | *$1,108* | *$1,266* | *$1,428* |
| *0928 Forest Resources Improvement Fund* | *13,983* | *16,962* | *16,863* |
| *0965 Timber Tax Fund* | *19* | *26* | *26* |
| *0995 Reimbursements* | *671* | *1,037* | *890* |
| Totals, State Operations | $36,565 | $43,128 | $46,047 |
| Local Assistance: | | | |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | *–* | *2,680* | *1,175* |
| *0928 Forest Resources Improvement Fund* | *1,913* | *2,000* | *–* |
| Totals, Local Assistance | $1,913 | $4,680 | $1,175 |
| Totals, State Operations and Local Assistance for Resource Management | $38,478 | $47,808 | $47,222 |
| **ELEMENT REQUIREMENTS** | | | |
| 12.10 Resources Protection and Improvement | 22,032 | 30,013 | 27,010 |
| State Operations: | | | |
| *0001 General Fund* | *7,663* | *8,871* | *9,264* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | *–* | *162* | *202* |
| *0140 California Environmental License Plate Fund* | *148* | *155* | *156* |
| *0890 Federal Trust Fund* | *1,108* | *1,266* | *1,428* |
| *0928 Forest Resources Improvement Fund* | *10,616* | *13,987* | *14,041* |
| *0995 Reimbursements* | *584* | *892* | *744* |
| Local Assistance: | | | |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | *–* | *2,680* | *1,175* |
| *0928 Forest Resources Improvement Fund* | *1,913* | *2,000* | *–* |
| 12.30 Forest Practice Regulations | 11,341 | 13,024 | 13,151 |
| State Operations: | | | |
| *0001 General Fund* | *10,905* | *12,506* | *12,639* |
| *0235 Public Resource Account, Cigarette and Tobacco Products Surtax Fund* | *330* | *347* | *340* |
| *0965 Timber Tax Fund* | *19* | *26* | *26* |
| *0995 Reimbursements* | *87* | *145* | *146* |
| 12.40 Forest Resource Inventory and Assessment | 5,000 | 4,599 | 6,892 |
| State Operations: | | | |
| *0001 General Fund* | *1,299* | *1,288* | *3,733* |
| *0140 California Environmental License Plate Fund* | *334* | *336* | *337* |
| *0928 Forest Resources Improvement Fund* | *3,367* | *2,975* | *2,822* |
| 12.50 Foresters Licensing | 105 | 172 | 169 |
| State Operations: | | | |
| *0300 Professional Forester Registration Fund* | *105* | *172* | *169* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 ADMINISTRATION** | | | |
| Undistributed Administration: | | | |
| State Operations: | | | |
| *0890 Federal Trust Fund* | *$287* | *$307* | *$307* |
| Totals, State Operations for Undistributed Administration | $287 | $307 | $307 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $627,327 | $549,204 | $568,238 |
| Local Assistance | 2,113 | 5,242 | 1,264 |
| TOTALS, EXPENDITURES | $629,440 | $554,446 | $569,502 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4,939.6 | 5,162.4 | 5,128.0 | $300,934 | $287,419 | $291,119 |
| Total Adjustments | – | – | 55.1 | – | 213 | 4,626 |
| Estimated Salary Savings | – | –252.8 | –253.1 | – | –2,796 | –6,028 |
| Net Totals, Salaries and Wages | 4,939.6 | 4,909.6 | 4,930.0 | $300,934 | $284,836 | $289,717 |
| Staff Benefits | – | – | – | 51,367 | 62,063 | 62,264 |
| Totals, Personal Services | 4,939.6 | 4,909.6 | 4,930.0 | $352,301 | $346,899 | $351,981 |

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| OPERATING EXPENSES AND EQUIPMENT | $276,656 | $145,795 | $159,280 |
| SPECIAL ITEMS OF EXPENSE | | | |
| Unallocated emergency fire suppression and detection | (178,523) | 59,000 | 59,000 |
| Less amount funded by Capital Outlay | −1,630 | −2,490 | −2,023 |
| TOTALS, EXPENDITURES | $627,327 | $549,204 | $568,238 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (support) | $324,397 | $332,318 | $350,477 |
| 003 Budget Act appropriation (lease revenue) | 188 | 1,099 | 1,115 |
| 006 Budget Act appropriation (emergency fire suppression) | 20,000 | 55,000 | 55,000 |
| Allocation for employee compensation | 16,635 | 638 | – |
| Allocation for contingencies or emergencies | 25,240 | – | – |
| Proposed Deficiency Bill | – | – | – |
| Allocation per Item 3540-401 of the Budget Acts | 10,000 | – | – |
| Allocation for Year 2000 per Item 9901-001-0001 | 2,303 | – | – |
| Allocation per Government Code Section 8690.6 | 8,000 | – | – |
| Adjustment per Section 3.60 | −14,251 | 4,668 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 52 | – |
| Adjustment per Section 16.00 | 85 | – | – |
| Transfer to Legislative Claims (9670) | – | −5 | – |
| Chapter 2, Statutes of 2000 (Emergency Fire Suppression) | 63,200 | – | – |
| Prior year balances available: | | | |
| Item 3540-001-0001, Budget Act of 1999 as partially reappropriated by Item 3540-491, Budget Act of 2000 | – | 250 | – |
| Totals Available | $455,797 | $394,020 | $406,592 |
| Balance available in subsequent years | −250 | – | – |
| Unexpended balance, estimated savings | −14,993 | −149 | – |
| TOTALS, EXPENDITURES | $440,554 | $393,871 | $406,592 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $162 | $202 |

### 0028 Unified Program Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $266 | $246 | $250 |
| Allocation for employee compensation | 4 | – | – |
| Adjustment per Section 3.60 | −6 | 3 | – |
| Totals Available | $264 | $249 | $250 |
| Unexpended balance, estimated savings | −67 | – | – |
| TOTALS, EXPENDITURES | $197 | $249 | $250 |

### 0102 State Fire Marshal Licensing and Certification Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,830 | $1,838 | $1,817 |
| Allocation for employee compensation | 72 | 2 | – |
| Adjustment per Section 3.60 | −57 | 16 | – |
| Totals Available | $1,845 | $1,856 | $1,817 |
| Unexpended balance, estimated savings | −124 | – | – |
| TOTALS, EXPENDITURES | $1,721 | $1,856 | $1,817 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $459 | $490 | $493 |
| Allocation for employee compensation | 32 | 1 | – |
| Adjustment per Section 3.60 | −9 | – | – |
| TOTALS, EXPENDITURES | $482 | $491 | $493 |

---

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

### 0198 California Fire and Arson Training Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,429 | $1,422 | $1,398 |
| Allocation for employee compensation | 34 | 1 | – |
| Adjustment per Section 3.60 | –23 | 2 | – |
| Totals Available | $1,440 | $1,425 | $1,398 |
| Unexpended balance, estimated savings | –82 | – | – |
| TOTALS, EXPENDITURES | $1,358 | $1,425 | $1,398 |

### 0209 California Hazardous Liquid Pipeline Safety Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,111 | $2,223 | $2,214 |
| Allocation for employee compensation | 110 | 4 | – |
| Adjustment per Section 3.60 | –79 | 17 | – |
| Prior year balances available: | | | |
| Chapter 814, Statutes of 1997 | 160 | 154 | – |
| Totals Available | $2,302 | $2,398 | $2,214 |
| Balance available in subsequent years | –154 | – | – |
| Unexpended balance, estimated savings | –1,125 | – | – |
| TOTALS, EXPENDITURES | $1,023 | $2,398 | $2,214 |

### 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $349 | $345 | $340 |
| Allocation for employee compensation | 3 | – | – |
| Adjustment per Section 3.60 | –5 | 2 | – |
| Totals Available | $347 | $347 | $340 |
| Unexpended balance, estimated savings | –17 | – | – |
| TOTALS, EXPENDITURES | $330 | $347 | $340 |

### 0300 Professional Forester Registration Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $171 | $172 | $169 |
| Allocation for employee compensation | 4 | 1 | – |
| Adjustment per Section 3.60 | –3 | –1 | – |
| Totals Available | $172 | $172 | $169 |
| Unexpended balance, estimated savings | –67 | – | – |
| TOTALS, EXPENDITURES | $105 | $172 | $169 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $9,650 | $9,138 | $15,836 |
| Allocation for employee compensation | 46 | – | – |
| Adjustment per Section 3.60 | –51 | 10 | – |
| Budget adjustment | 19,900 | 1,000 | – |
| Totals Available | $29,545 | $10,148 | $15,836 |
| Unexpended balance, estimated savings | –2,053 | – | – |
| TOTALS, EXPENDITURES | $27,492 | $10,148 | $15,836 |

### 0928 Forest Resources Improvement Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (support) | $15,689 | $16,953 | $17,013 |
| 011 Budget Act appropriation (For transfer to General Fund) | (300) | (300) | (300) |
| Allocation for employee compensation | 456 | 16 | – |
| Adjustment per Section 3.60 | –390 | 143 | – |
| Prior year balances available: | | | |
| Item 3540-001-0928, Budget Act of 1998 | 1,750 | 1,400 | 1,050 |
| Totals Available | $17,505 | $18,512 | $18,063 |
| Balance available in subsequent years | –1,400 | –1,050 | –700 |
| Unexpended balance, estimated savings | –1,632 | – | – |
| TOTALS, EXPENDITURES | $14,473 | $17,462 | $17,363 |

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

### 0965 Timber Tax Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $27 | $26 | $26 |
| Totals Available | $27 | $26 | $26 |
| Unexpended balance, estimated savings | −8 | – | – |
| TOTALS, EXPENDITURES | $19 | $26 | $26 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $139,573 | $120,597 | $121,538 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $627,327 | $549,204 | $568,238 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $2,113 | $5,242 | $1,264 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE

#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 102 Budget Act appropriation | $200 | – | – |
| 295 Budget Act appropriation | – | – | $89 |
| Chapter 177, Statutes of 2000, Mandates Claims Bill | – | $562 | – |
| TOTALS, EXPENDITURES | $200 | $562 | $89 |

#### 0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | – | $1,255 | $1,175 |
| 102 Budget Act appropriation | – | 1,425 | – |
| TOTALS, EXPENDITURES | – | $2,680 | $1,175 |

#### 0928 Forest Resources Improvement Fund [n]

| | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $2,000 | $2,000 | – |
| Unexpended balance, estimated savings | −87 | – | – |
| TOTALS, EXPENDITURES | $1,913 | $2,000 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $2,113 | $5,242 | $1,264 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $629,440 | $554,446 | $569,502 |

---

### FUND CONDITION STATEMENT
### 0102 State Fire Marshal Licensing and Certification Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $477 | $562 | $338 |
| Prior year adjustments | 6 | – | – |
| Balance, Adjusted | $483 | $562 | $338 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 13 | 13 | 13 |
| 125700 Other regulatory licenses and permits | 345 | 346 | 346 |
| 125800 Renewal and prepaid fees | 1,400 | 1,225 | 1,300 |

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 125900 Delinquent fees | $32 | $39 | $39 |
| 141200 Sales of documents | 2 | 1 | 1 |
| 161400 Miscellaneous revenue | 8 | 8 | 8 |
| Totals, Revenues and Transfers | $1,800 | $1,632 | $1,707 |
| Totals, Resources | $2,283 | $2,194 | $2,045 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3540 Department of Forestry and Fire Protection (State Operations) | 1,721 | 1,856 | 1,817 |
| FUND BALANCE | $562 | $338 | $228 |
| Reserve for economic uncertainties | 562 | 338 | 228 |

### 0198 California Fire and Arson Training Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $460 | $618 | $692 |
| Prior year adjustments | 27 | – | – |
| Balance, Adjusted | $487 | $618 | $692 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 141200 Sales of documents | 182 | 184 | 185 |
| 142500 Miscellaneous services to the public (regulations) | 1,280 | 1,283 | 1,285 |
| 150300 Income from surplus money investments | 24 | 26 | 28 |
| 161400 Miscellaneous revenue | 3 | 6 | 6 |
| Totals, Revenues and Transfers | $1,489 | $1,499 | $1,504 |
| Totals, Resources | $1,976 | $2,117 | $2,196 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3540 Department of Forestry and Fire Protection (State Operations) | 1,358 | 1,425 | 1,398 |
| FUND BALANCE | $618 | $692 | $798 |
| Reserve for economic uncertainties | 618 | 692 | 798 |

### 0209 California Hazardous Liquid Pipeline Safety Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,232 | $2,550 | $1,501 |
| Prior year adjustments | –6 | – | – |
| Balance, Adjusted | $2,226 | $2,550 | $1,501 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,134 | 1,134 | 1,134 |
| 150300 Income from surplus money investments | 175 | 177 | 116 |
| 161400 Miscellaneous revenue | 38 | 38 | 39 |
| Totals, Revenues and Transfers | $1,347 | $1,349 | $1,289 |
| Totals, Resources | $3,573 | $3,899 | $2,790 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3540 Department of Forestry and Fire Protection (State Operations) | 1,023 | 2,398 | 2,214 |
| FUND BALANCE | $2,550 | $1,501 | $576 |
| Reserve for economic uncertainties | 2,550 | 1,501 | 576 |

### 0300 Professional Forester Registration Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $351 | $403 | $395 |
| Prior year adjustments | 6 | – | – |
| Balance, Adjusted | $357 | $403 | $395 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits (registration fees) | 126 | 139 | 139 |
| 141200 Sales of documents | 1 | 1 | 1 |
| 150300 Income from surplus money investments | 23 | 23 | 23 |
| 164300 Penalty Assessments | 1 | 1 | 1 |
| Totals, Revenues and Transfers | $151 | $164 | $164 |
| Totals, Resources | $508 | $567 | $559 |

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3540 Department of Forestry and Fire Protection (State Operations) | $105 | $172 | $169 |
| FUND BALANCE | $403 | $395 | $390 |
| Reserve for economic uncertainties | 403 | 395 | 390 |

### 0928 Forest Resources Improvement Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $12,970 | $10,115 | $2,253 |
| Prior year adjustments | −111 | – | – |
| Balance, Adjusted | $12,859 | $10,115 | $2,253 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 213000 Sales of forest products | 13,780 | 13,500 | 17,000 |
| 215000 Income from investments | 912 | 500 | 250 |
| Totals, Revenues | $14,692 | $14,000 | $17,250 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Item 3540-011-0928, Budget Act of 1999 | −300 | −300 | −300 |
| Totals, Revenues and Transfers | $14,392 | $13,700 | $16,950 |
| Totals, Resources | $27,251 | $23,815 | $19,203 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0540 Secretary for Resources (State Operations) | 250 | – | – |
| 3540 Department of Forestry and Fire Protection: | | | |
| State Operations | 14,473 | 17,462 | 17,363 |
| Local Assistance | 1,913 | 2,000 | – |
| 3640 Wildlife Conservation Board (Capital Outlay) | 500 | 2,100 | – |
| 9900 Statewide General Administrative Expenditures (Pro Rata) | – | – | 18 |
| Totals, Expenditures | $17,136 | $21,562 | $17,381 |
| FUND BALANCE | $10,115 | $2,253 | $1,822 |
| Reserve for economic uncertainties | 10,115 | 2,253 | 1,822 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 4,939.6 | 5,162.4 | 5,128.0 | $300,934 | $287,419 | $291,119 |
| Salary adjustments | – | – | – | – | 89 | 1,771 |
| Totals, Adjusted Authorized Positions | 4,939.6 | 5,162.4 | 5,128.0 | $300,934 | $287,508 | $292,890 |
| Workload and Administrative Adjustments: | | | | | | |
| Coast Cascade Region: | | | | | | |
| Sugar Pine Conservation Camp: | | | | Salary Range | | |
| Fire Capt (B) | – | 2.0 | 2.0 | 3,813–4,633 | 110 | 110 |
| Overtime | – | – | – | – | 14 | 14 |
| Totals, Workload and Administrative Adjustments | – | 2.0 | 2.0 | – | $124 | $124 |
| Reduction per Section 31.50: | | | | | | |
| Coast Cascade Region: | | | | | | |
| Sonoma-Lake-Napa Ranger Unit: | | | | | | |
| Heavy Equipt Mechanic | – | (−1.0) | (−1.0) | – | – | – |
| Fire Apparatus Engr | – | (−1.0) | (−1.0) | – | – | – |
| Firefighter II | – | (−1.0) | (−1.0) | – | – | – |
| Dispatcher Clk | – | (−1.0) | (−1.0) | – | – | – |
| Butte Ranger Unit: | | | | | | |
| Fire Capt | – | (−3.0) | (−3.0) | – | – | – |
| Firefighter II | – | (−1.0) | (−1.0) | – | – | – |
| Shasta-Trinity Ranger Unit: | | | | | | |
| Staff Svcs Analyst | – | (−1.0) | (−1.0) | – | – | – |
| Dispatcher Clk | – | (−1.0) | (−1.0) | – | – | – |
| Nevada-Yuba-Placer Ranger Unit: | | | | | | |
| Dispatcher Clk | – | (−1.0) | (−1.0) | – | – | – |
| Ofc Techn-Typing | – | (−1.0) | (−1.0) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Sierra-South Region: | | | | | | |
| Riverside Ranger Unit: | | | | Salary Range | | |
| Assoc Prog Analyst-Spec | – | (–1.0) | (–1.0) | – | – | – |
| Fire Capt | – | (–3.0) | (–3.0) | – | – | – |
| Heavy Equipt Mechanic | – | (–1.0) | (–1.0) | – | – | – |
| Fire Apparatus Engr | – | (–7.0) | (–7.0) | – | – | – |
| Firefighter II | – | (–7.0) | (–7.0) | – | – | – |
| Ofc Asst-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Fresno-Kings Ranger Unit: | | | | | | |
| Firefighter II | – | (–12.0) | (–12.0) | – | – | – |
| Madera-Mariposa-Merced Ranger Unit: | | | | | | |
| Fire Capt | – | (–1.0) | (–1.0) | – | – | – |
| Local Government: | | | | | | |
| Unallocated Authorized Positions | – | (–95.0) | (–95.0) | – | – | – |
| Temporary Help Blanket 904 | – | (–75.0) | (–75.0) | – | – | – |
| Total Reduction per Section 31.50 | – | (–215.0) | (–215.0) | – | – | – |
| Proposed New Positions: | | | | | | |
| Management Services: | | | | | | |
| Accounting Office: | | | | | | |
| Overtime | – | – | – | – | – | $38 |
| Human Resources: | | | | | | |
| Assoc Pers Analyst [1] | – | – | 2.0 | $3,915–4,759 | – | 100 |
| Pers Svcs Spec II[1] | – | – | 1.0 | 2,978–3,619 | – | 38 |
| Information Technology: | | | | | | |
| Sys Software Spec I-Tech | – | –2.0 | –2.0 | 4,506–5,479 | – | –132 |
| Fire Protection: | | | | | | |
| Pre-Fire Management: | | | | | | |
| Assoc Govtl Prog Analyst[1] | – | – | 1.0 | 3,915–4,759 | – | 51 |
| Aviation Management: | | | | | | |
| Overtime | – | – | – | – | – | 98 |
| Resource Management: | | | | | | |
| Fiscal and Administrative Coordination: | | | | | | |
| Staff Svcs Analyst-Gen | – | – | 1.0 | 3,255–3,957 | – | 44 |
| Temporary Help | – | – | 2.0 | – | – | 70 |
| Environmental Protection: | | | | | | |
| Sr State Archeologist | – | – | 1.0 | 4,627–5,585 | – | 61 |
| Forest Improvement: | | | | | | |
| Forester II-Non-Supvry[1] | – | – | 1.0 | 5,221–6,345 | – | 73 |
| Forester II-Non-Supvry[2] | – | – | 0.5 | 5,221–6,345 | – | 37 |
| Ofc Techn-Typing | – | – | 0.5 | 2,348–2,855 | – | 16 |
| Temporary Help | – | – | 3.5 | – | – | 115 |
| Forest Management: | | | | | | |
| Forester II-Non-Supvry | – | – | 1.0 | 5,221–6,345 | – | 74 |
| Fire and Resource Assessment Program: | | | | | | |
| Research Prog Spec II | – | – | 4.0 | 4,724–5,741 | – | 250 |
| Coast Cascade Region: | | | | | | |
| Coast-Cascade Region Headquarters: | | | | | | |
| Forester I-Non-Supvry[1] | – | – | 1.0 | 4,420–5,373 | – | 62 |
| Assoc Govtl Prog Analyst [1] | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Moran Reforestation Center: | | | | | | |
| Forestry Asst II | – | – | 2.0 | 3,813–4,533 | – | 107 |
| Temporary Help | – | – | 6.0 | – | – | 199 |
| Forester Techn | – | – | 2.0 | 2,889–3,511 | – | 82 |
| Magalia Nursery: | | | | | | |
| Forester Techn | – | – | 2.0 | 2,889–3,511 | – | 82 |
| Temporary Help | – | – | 6.0 | – | – | 198 |
| Ofc Asst-Typing | – | – | 0.5 | 2,029–2,456 | – | 13 |
| Humboldt-Del Norte Ranger Unit: | | | | | | |
| Forester II-Non-Supvry | – | – | 1.0 | 5,221–6,345 | – | 73 |
| Shasta-Trinity Ranger Unit: | | | | | | |
| Forester II-Non-Supvry | – | – | 1.0 | 5,221–6,345 | – | 73 |
| Delta Conservation Camp: | | | | | | |
| Batallion Chief-Supvry | – | – | 1.0 | 4,423–5,376 | – | 64 |
| Fire Capt (B) | – | – | 2.0 | 3,813–4,633 | – | 110 |
| Overtime | – | – | – | – | – | 26 |
| Sugar Pine Conservation Camp: | | | | | | |
| Batallion Chief-Supvry | – | – | 1.0 | 4,423–5,367 | – | 64 |
| Overtime | – | – | – | – | – | 13 |
| Sierra-South Region: | | | | | | |
| Sierra-South Region Headquarters: | | | | | | |
| Forester I-Non-Supvry[1] | – | – | 1.0 | 4,420–5,373 | – | 62 |
| Assoc Govtl Prog Analyst [1] | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Ofc Techn-Typing[1] | – | – | 1.0 | 2,348–2,855 | – | 31 |

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Riverside Ranger Unit: | | | | Salary Range | | |
| Forester I-Non-Supvry | – | – | 1.0 | $4,420–5,373 | – | $62 |
| Fresno-Kings Ranger Unit: | | | | | | |
| Forester I-Non-Supvry | – | – | 1.0 | 4,420–5,373 | – | 62 |
| Amador-El Dorado Ranger Unit: | | | | | | |
| Forester II-Non-Supvry | – | – | 1.0 | 5,221–6,345 | – | 73 |
| Office of the State Fire Marshal: | | | | | | |
| Coastal Region: | | | | | | |
| Dep State Fire Marshal III-Supv | – | – | 1.0 | 4,653–5,621 | – | 62 |
| Dep State Fire Marshal III-Spec | – | – | 3.0 | 4,650–5,617 | – | 187 |
| Dep State Fire Marshal | – | – | 6.0 | 3,860–4,650 | – | 311 |
| Overtime | – | – | – | – | – | 42 |
| Capital Outlay Staffing: | | | | | | |
| Management Services: | | | | | | |
| Information Technology: | | | | | | |
| Temporary Help | – | – | −0.1 | – | – | −4 |
| Fire Protection: | | | | | | |
| Technical Services: | | | | | | |
| Temporary Help | – | – | 0.6 | – | – | −110 |
| Coast Cascade Region: | | | | | | |
| Coast Cascade Headquarters: | | | | | | |
| Temporary Help | – | – | 0.3 | – | – | 12 |
| Sierra South Region: | | | | | | |
| Region Headquarters | – | – | −6.7 | – | – | −262 |
| Temporary Help | – | – | – | – | – | – |
| Totals, Proposed New Positions | – | −2.0 | 53.1 | – | – | $2,731 |
| Total Adjustments | – | – | 55.1 | – | $213 | $4,626 |
| TOTALS, SALARIES AND WAGES | 4,939.6 | 5,162.4 | 5,183.1 | $300,934 | $287,632 | $295,745 |

[1] Position(s) limited-term to 6/30/03.
[2] Position(s) limited-term to 01/01/07.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

## 30 CAPITAL OUTLAY

### Major Budget Adjustments Proposed for 2001–02

- $32.3 million for thirty major and three minor forest fire station projects to replace, relocate, or improve facilities;
- $4.3 million for one major project and two minor projects to replace, relocate, or improve air and helicopter attack bases;
- $10.1 million for four major projects and one minor project to address, sewer, water, utility, and other deficiencies at CDF conservation camps and youth conservation camps;
- $8.7 million for three major projects and one minor project to replace and improve facilities at ranger unit headquarters;
- $4.5 million for one major project to relocate the Aviation Management unit;
- $0.8 million for one major project to relocate an area headquarters;
- $0.3 million for one minor project to expand an emergency command center.

## PROGRAM ELEMENTS

### Major Projects

**30.10 COAST AREA**

| | | | | |
|---|---|---|---|---|
| 30.10.005 | Alma Helitack Base—Replace Facility | $38 Ag | $1,400 Ag | – |
| 30.10.010 | Sonoma Air Attack Base—Replace Facility | 65 Cg | – | – |
| 30.10.015 | Ukiah Forest Fire Station—Replace Facility | 140 PWg | – | $163 PWg |
| 30.10.030 | Bridgeville Forest Fire Station—Relocate Facility | 83 APWg | 126 AWg | 101 Wg |
| 30.10.035 | Stevens Creek Forest Fire Station—Replace Facility | 913 C | – | 1,754 WCg |
| 30.10.050 | Rohnerville Air Attack Base—Replace Facility | 75 Cg | – | – |
| 30.10.055 | Ukiah Air Attack Base—Relocate Facility | 254 APWg | 920 Awg | – |
| 30.10.065 | Sweetwater Forest Fire Station—Relocate Facility [B] | 85 Ag | 87 Ag | 76 Pg |
| 30.10.070 | Mt. St. Helena Lookout and Radio Vault—Acquisition | 23 Ag | 218 Ag | – |
| 30.10.075 | Castle Rock Forest Fire Station—Relocation | 204 Cg | 217 Cg | – |
| 30.10.090 | Pacheco Forest Fire Station—Replace Facility | – | – | 1,265 Cg |
| 30.10.110 | Elk Camp Forest Fire Station—Relocate Facility | 85 Ag | 142 APg | 1,605 WCg |
| 30.10.115 | Fortuna Forest Fire Station—Relocate Facility [B] | 85 Ag | 95 Ag | 89 Pg |
| 30.10.125 | Mendocino Ranger Unit Headquarters—Replace Automotive Shop | 197 PWg | 1,149 Cg | – |
| 30.10.130 | Santa Clara Ranger Unit Headquarters—Replace Automotive Shop | 40 PWg | – | 1,495 WCg |

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **30.20 CASCADE AREA** | | | |
| 30.20.020 Lassen-Modoc Ranger Unit Headquarters—Replace Apparatus Building and Automotive Shop | – | – | $1,378 WCg |
| 30.20.025 Ogo Forest Fire Station—Relocate Facility | $796 Cn | – | – |
| 30.20.030 Harts Mill Forest Fire Station—Relocate Facility | 121 APg | $75 AWg | 1,323 Cg |
| 30.20.035 Fort Jones Forest Fire Station—Relocate Facility | 72 Pg | – | 118 PWg |
| 30.20.040 Manton Forest Fire Station—Relocate Facility | 74 APg | 30 Ag | 1,447 WCg |
| 30.20.045 Weaverville Forest Fire Station—Relocate Facility | 53 Pg | 110 Ag | 146 PWg |
| 30.20.050 Tuscan Butte Lookout and Radio Vault—Acquisition | 14 Ag | 79 Ag | – |
| 30.20.065 Lassen Lodge Forest Fire Station—Acquire Site | – | 280 Ag | – |
| 30.20.080 Cohasset Forest Fire Station—Relocation | 1 Cg | – | – |
| 30.20.090 Alder Conservation Camp—Replace Water and Wastewater Systems, Construct Access Road | – | 1,096 WCg | – |
| 30.20.095 Susanville Emergency Command Center—Relocate Emergency Command Center | 550 PWCg | – | – |
| 30.20.110 Butte Fire Center—Replace Messhall | 97 Pg | 1,315 WCg | – |
| 30.20.130 Buckhorn Forest Fire Station—Replace Apparatus Building | – | 200 Ag | 70 Pg |
| **30.30 SOUTH AREA** | | | |
| 30.30.015 Independence Forest Fire Station—New Facility | 45 Pg | – | 1,506 WCg |
| 30.30.020 San Luis Obispo Ranger Unit Headquarters—Replace Facility | 570 Pg | 614 Wg | 5,720 Cg |
| 30.30.030 Riverside Ranger Unit Headquarters—Replace Emergency Command Center | 8 Cg | – | – |
| 30.30.045 Hesperia Forest Fire Station—Relocate Facility | – | – | 1,653 WCg |
| 30.30.050 Ramona Air Attack Base—Replace Facility | 78 Cg | – | – |
| 30.30.055 Sage Forest Fire Station—Relocation | 130 Cg | – | – |
| 30.30.060 Hemet-Ryan Air Attack Base—Replace Facility | 330 PWg | – | 3,347 Cg |
| 30.30.065 San Marcos Forest Fire Station—Relocate Facility | 48 Pg | 130 Ag | – |
| 30.30.070 Valley Center Forest Fire Station—Relocate Facility | 244 APg | 75 Ag | 126 PWg |
| 30.30.075 Warner Springs Forest Fire Station—Replace Facility [B] | – | 250 Ag | – |
| 30.30.110 Owens Valley Conservation Camp—Expand Wastewater System, Construct Apparatus Building | 700 Cn | – | – |
| 30.30.115 Ventura Youth Conservation Camp—Construct Vehicle Apparatus Building, Shop, Warehouse | 51 Pg | – | 1,515 WCg |
| 30.30.120 Fenner Canyon Conservation Camp—Construct Vehicle Apparatus Buildings, Replace Office | 86 PWg | 114 Wg | 2,571 WCg |
| 30.30.130 San Bernardino Ranger Unit Headquarters—Acquisition | 494 Ag | 6 Ag | – |
| 30.30.135 Paso Robles Air Attack Base—Replace Facility | 392 PWg | 2,706 Cg | – |
| 30.30.140 Chino Hills Forest Fire Station—Replace Facility | 74 Pg | 1,202 WCg | – |
| 30.30.145 Elsinore Forest Fire Station—Relocate Facility [B] | 85 Ag | 135 Ag | – |
| 30.30.150 Nipomo Forest Fire Station—Replace Facility | 100 Pg | – | 1,916 WCg |
| 30.30.155 Campo Forest Fire Station—Relocate Facility | 128 Pg | 1,819 WCg | – |
| 30.30.160 South Operations Area Headquarters—Relocate Facility | – | – | 803 Pg |
| This project will relocate the South Area Emergency Operations Center to a site at March Air Force Base. | | | |
| 30.30.165 Cuyamaca Forest Fire Station—Relocate Facility | – | – | 535 Ag |
| This project will provide a new site and relocate a one-engine Forest Fire Station. | | | |
| 30.30.175 Owens Valley Conservation Camp—Construct Facility Upgrades | – | 264 PWg | 1,852 Cg |
| **30.40 SIERRA AREA** | | | |
| 30.40.010 Piedra Forest Fire Station—Acquisition | 24 Ag | 15 Ag | – |
| 30.40.015 Sonora Forest Fire Station—Relocate Facility | 87 Pg | 270 Ag | 3,012 WCg |
| 30.40.020 Batterson Forest Fire Station—Relocate Facility | – | – | 70 PWg |
| 30.40.035 Sand Creek Forest Fire Station—Relocate Facility | 85 APg | 95 Ag | 1,424 WCg |
| 30.40.040 Hammond Forest Fire Station—Relocate Facility | – | – | 2,221 Cg |
| 30.40.050 Rancheria Forest Fire Station—Replace Facility | 102 Pg | – | 1,913 WCg |
| 30.40.055 Coalinga Forest Fire Station—Relocate Facility | 1,700 Cg | – | – |
| 30.40.070 Murphys Forest Fire Station—Relocation | 103 Cg | – | – |
| 30.40.075 Usona Forest Fire Station—Replace Facility | 105 Pg | – | 120 PWg |
| 30.40.090 Antelope Forest Fire Station—Replace Barracks/Messhall | 84 Pg | 977 WCg | – |
| 30.40.100 Blasingame Forest Fire Station—Replace Facility | 70 Ag | 180 APWg | 1,108 Cg |
| 30.40.105 Vallecito Conservation Camp—Replace Utilities, Tanks, Construct Vehicle Apparatus Buildings | 123 Pg | 1,640 WCg | – |
| 30.40.110 Hollister Air Attack Base—Relocate Facility | 85 Ag | 715 APWg | – |
| 30.40.115 Porterville Air Attack Base—Replace Facility | 2,255 PWCg | – | – |
| 30.40.120 Dew Drop Forest Fire Station—Replace Facility | 124 PWg | 128 Wg | 1,546 Cg |
| 30.40.125 Twain Harte Forest Fire Station—Relocate Facility [B] | 85 Ag | 115 Ag | – |
| 30.40.130 Springville Forest Fire Station—Relocate Facility [B] | 85 Ag | 115 Ag | 79 Pg |
| 30.40.135 Raymond Forest Fire Station—Relocate Facility [B] | 70 Ag | 30 Ag | 66 Pg |
| 30.40.140 Ahwahnee Forest Fire Station—Replace Facility | 50 Pg | – | 1,787 WCg |
| 30.40.145 Bautista Conservation Camp—Replace Modular Buildings | 140 PWg | – | – |
| 30.40.150 Baseline Conservation Camp—Remodel Facility | 174 PWg | – | 3,674 WCg |

*** Dollars in thousands, except in Salary Range.**

## 3540 DEPARTMENT OF FORESTRY AND FIRE PROTECTION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 30.40.155 Sierra-South Region Headquarters—Facility Study | – | $75 [Sg] | – |
| 30.40.180 Squaw Valley Forest Fire Station—Replace Facility | – | 261 [PWg] | $1,780 [Cg] |
| 30.40.195 Altaville Forest Fire Station—Replace Facility | – | 311 [PWg] | 2,109 [Cg] |
| **30.50 SACRAMENTO HEADQUARTERS** | | | |
| 30.50.010 Aviation Management Unit—Relocate Facility | – | – | 4,546 [Ag] |
| **30.60 DEPARTMENTWIDE** | | | |
| 30.60.035 Statewide: Construct Telecommunication Towers and Vaults, Phase 2 | $7,530 [Cg] | – | – |
| Totals, Major Projects | $20,709 | $19,781 | $58,029 |
| **Minor Projects** | | | |
| 30.80 Minor Capital Outlay | $4,352 [Cg] | $4,853 [Cg] | $3,000 [Cg] |
| Funding for minor capital projects will correct problems with emergency command centers, water/sewers systems, fire apparatus buildings, barracks/messhalls, and fuel tanks. | | | |
| Totals, Minor Projects | $4,352 | $4,853 | $3,000 |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $25,061 | $24,634 | $61,029 |
| *0001 General Fund* [g] | *23,565* | *24,634* | *61,029* |
| *0660 Public Building Construction Fund* [n] | *1,496* | – | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund [g]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $17,022 | $20,044 | $61,029 |
| 302 Budget Act appropriation | – | 500 | – |
| Transfers to and from Government Code Sections 16408 and 16409 | –40 | – | – |
| Prior year balances available: | | | |
| Item 3540-301-0001, Budget Act of 1995, as partially reappropriated by Item 3540-490, Budget Acts of 1996, 1997, and 1998 | 967 | 435 | – |
| Item 3540-301-0001, Budget Act of 1996, as partially reappropriated by Item 3540-490, Budget Acts of 1997 and 1999 | 576 | 79 | – |
| Item 3540-301-0001, Budget Act of 1998, as partially reappropriated by Item 3540-490, Budget Acts of 1999 and 2000 | 13,755 | 1,315 | – |
| Item 3540-301-0001, Budget Act of 1999, as partially reappropriated by Item 3540-490, Budget Act of 2000 | – | 2,250 | – |
| Transfers to and from Government Code Sections 16408 and 16409 | –887 | 11 | – |
| Totals Available | $31,393 | $24,634 | $61,029 |
| Balance available in subsequent years | –4,079 | – | – |
| Unexpended balance, estimated savings | –3,749 | – | – |
| TOTALS, EXPENDITURES | $23,565 | $24,634 | $61,029 |

### 0660 Public Buildings Construction Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $4,394 | – | – |
| Prior year balances available: | | | |
| Item 3540-301-0660, Budget Act of 1999 | – | $2,898 | – |
| Transfers to and from Government Code Sections 16408 and 16409 | – | –2,898 | – |
| Totals Available | $4,394 | – | – |
| Balance available in subsequent years | –2,898 | – | – |
| TOTALS, EXPENDITURES | $1,496 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $25,061 | $24,634 | $61,029 |

*** Dollars in thousands, except in Salary Range.**

# 3560 STATE LANDS COMMISSION

The State Lands Commission administers policies established by the Legislature and the Commission for the management and protection of all statutory lands which the State has received from the federal government upon its entry into the Union. These lands include the beds of all naturally navigable waterways such as major rivers, streams and lakes, tide and submerged lands in the Pacific Ocean which extend from the mean high tide line seaward to the three-mile limit, swamp and overflow lands, state school lands, and granted lands.

California's growing population continues to make increasing demands upon the State's land resources. The State Lands Commission is responsible for comprehensive land management activities involving more than 4,000,000 acres of state-owned lands. The Commission authorizes the use of public lands subject to reasonable rules and regulations and the determination of fair and adequate compensation. The Commission's decisions are reached at public hearings and are based upon environmental, health and safety, and public benefit considerations. The three member commission consists of the Lieutenant Governor, the State Controller, and the Director of Finance.

## Authority

Divisions 6, 7.7 and 7.8, Public Resources Code; Division 1 of Title 2, Government Code; Chapter 29, Statutes of 1956 (1st Ex. Sess.); Chapter 138, Statutes of 1964 (1st Ex. Sess.); Chapter 941, Statutes of 1991; Chapter 1213, Statutes of 1983; Chapter 879, Statutes of 1984 and Chapter 1248, Statutes of 1990; Chapter 849, Statutes of 1999.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Mineral Resources Management | 49.5 | 62.1 | 62.1 | $5,675 | $6,847 | $10,382 |
| 20 Land Management | 62.1 | 83.2 | 85.7 | 10,148 | 8,899 | 13,005 |
| 30 Executive and Administration | 29.7 | 34.4 | 34.4 | 2,179 | 2,816 | 2,829 |
| Distributed Administration | – | – | – | –2,179 | –2,816 | –2,829 |
| 40 Marine Facilities Management | 49.1 | 62.5 | 62.5 | 7,746 | 6,312 | 6,168 |
| TOTALS, PROGRAMS | 190.4 | 242.2 | 244.7 | $23,569 | $22,058 | $29,555 |
| *0001 General Fund* | | | | *10,919* | *12,186* | *20,112* |
| *0212 Exotic Species Control Fund* | | | | *591* | *898* | *935* |
| *0320 Oil Spill Prevention and Administration Fund* | | | | *5,692* | *5,866* | *5,845* |
| *0347 School Land Bank Fund* | | | | *37* | – | – |
| *0942 Special Deposit Trust Fund* | | | | *98* | – | – |
| *0943 Land Bank Fund* | | | | *3,123* | – | – |
| *0995 Reimbursements* | | | | *3,109* | *3,108* | *2,663* |

## 10 MINERAL RESOURCES MANAGEMENT

### Program Objectives Statement

The State Lands Commission oversees any extractive development of mineral resources that are located on state lands. The Commission also controls the development and operation of the Long Beach tidelands oil operations. The objectives of the Mineral Resources Management Program are: to manage the orderly extraction of oil, gas, geothermal resources and other minerals; to maximize the revenue generated from extractive activities consistent with the best interests of the State; and to ensure the efficient development of these resources consistent with public safety considerations and environmental protection.

### Major Budget Adjustment Proposed for 2001–02

- $3,598,000 General Fund to remove hazards along the Santa Barbara/Ventura coast.

### Authority

Division 6, Public Resources Code; Chapter 29, Statutes of 1956 (1st Ex. Sess.); Chapter 138, Statutes of 1964 (1st Ex. Sess.); Chapter 941, Statutes of 1991.

## 20 LAND MANAGEMENT

### Program Objectives Statement

The State Lands Commission provides:

1. Management of all state sovereign lands to ensure use of the lands consistent with the public trust and prudent land use practices.
2. Management of all state school lands to ensure the maximum return to the State Teachers' Retirement System.

Program objectives are to:

- Plan for and control use of both sovereign and school lands in order to protect the State's interests.
- Maintain a program of land use to meet orderly land planning requirements.
- Assure appropriate compensation for use of state lands.
- Minimize commercial and recreational trespass on state lands.
- Perfect title to the lands the State owns.
- Review activities on lands granted to local entities.

### Major Budget Adjustments Proposed for 2001–02

- $3,865,000 General Fund to rehabilitate the La Jenelle public fishing jetty in Ventura County and to remove hazards from the Sacramento River.
- $166,000 General Fund and 2.0 positions to assist local jurisdictions in their management of sovereign lands granted by the Legislature.
- $661,000 General Fund and 1.0 position to preserve and manage historical land ownership records.
- $300,000 General Fund to accept coastal access dedications.
- $170,000 General Fund and 1.8 positions for environmental compliance and permit workload associated with the CALFED program.

### Authority

Division 6 and 7.7 Public Resources Code, Chapter 1213, Statutes of 1983; Chapter 879, Statutes of 1984.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3560 STATE LANDS COMMISSION—Continued

### 30 EXECUTIVE AND ADMINISTRATION

#### Program Objectives Statement

The Executive and Administrative Program provides management, policy direction and administrative support to the line programs of the Commission.

### 40 MARINE FACILITIES MANAGEMENT

#### Program Objectives Statement

The State Lands Commission adopts rules, regulations, guidelines and leasing policies for leasing and operation of existing and proposed marine terminals within California. The Commission also inspects all marine facilities, reviews oil spill contingency plans and marine facility operations manuals.

#### Major Budget Adjustment Proposed for 2001–02

- $105,000 Oil Spill Prevention and Administration Fund and 1.0 position for marine oil terminal engineering standards.

#### Authority

Division 1 of Title 2, Government Code; Division 7.8, Public Resources Code; Chapter 1248, Statutes of 1990; Chapter 849, Statutes of 1999.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 MINERAL RESOURCES MANAGEMENT

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$4,090* | *$4,582* | *$8,134* |
| *0320 Oil Spill Prevention and Administration Fund* | *548* | *608* | *612* |
| *0995 Reimbursements* | *1,037* | *1,657* | *1,636* |
| Totals, State Operations for Mineral Resources Management | $5,675 | $6,847 | $10,382 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Mineral Resources Management-State Leases: | | | |
| *0001 General Fund* | *3,168* | *3,656* | *7,204* |
| *0320 Oil Spill Prevention and Administration Fund* | *548* | *608* | *612* |
| *0995 Reimbursements* | *737* | *957* | *936* |
| 10.20 Mineral Resources Management-Long Beach: | | | |
| *0001 General Fund* | *922* | *926* | *930* |
| *0995 Reimbursements* | *300* | *700* | *700* |

### PROGRAM REQUIREMENTS
### 20 LAND MANAGEMENT

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$4,975* | *$7,024* | *$11,978* |
| *0347 School Land Bank Fund* | *37* | *–* | *–* |
| *0942 Special Deposit Trust Fund* | *98* | *–* | *–* |
| *0943 Land Bank Fund* | *3,123* | *–* | *–* |
| *0995 Reimbursements* | *1,915* | *1,295* | *1,027* |
| Totals, State Operations for Land Management | $10,148 | $8,319 | $13,005 |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *580* | *–* |
| Totals, Local Assistance for Land Management | – | $580 | – |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 20.10 Ownership Determination: | | | |
| *0001 General Fund* | *1,922* | *2,330* | *2,337* |
| 20.20 Land Management: | | | |
| *0001 General Fund* | *3,053* | *4,694* | *9,641* |
| *0347 School Land Bank Fund* | *37* | *–* | *–* |
| *0942 Special Deposit Trust Fund* | *98* | *–* | *–* |
| *0943 Land Bank Fund* | *3,123* | *–* | *–* |
| *0995 Reimbursements* | *1,915* | *1,295* | *1,027* |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *580* | *–* |

*** Dollars in thousands, except in Salary Range.**

## 3560 STATE LANDS COMMISSION—Continued

### PROGRAM REQUIREMENTS
### 30 ADMINISTRATION

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 30.01 Executive and Administration: | | | |
| *0001 General Fund* | *$2,179* | *$2,816* | *$2,829* |
| 30.02 Distributed Administration: | | | |
| *0001 General Fund* | *−2,179* | *−2,816* | *−2,829* |

### PROGRAM REQUIREMENTS
### 40 MARINE FACILITIES MANAGEMENT

| | | | |
|---|---|---|---|
| *0001 General Fund* | *$1,854* | – | – |
| *0212 Exotic Species Control Fund* | *591* | *$898* | *$935* |
| *0320 Oil Spill Prevention and Administration Fund* | *5,144* | *5,258* | *5,233* |
| *0995 Reimbursements* | *157* | *156* | – |
| Totals, State Operations for Marine Facilities Management | $7,746 | $6,312 | $6,168 |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $23,569 | $21,478 | $29,555 |
| Local Assistance | – | 580 | – |
| TOTALS, EXPENDITURES | $23,569 | $22,058 | $29,555 |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 190.4 | 255.0 | 252.0 | $11,407 | $15,072 | $15,311 |
| Total Adjustments | – | – | 5.8 | – | 5 | 327 |
| Estimated Salary Savings | – | −12.8 | −13.1 | – | −752 | −786 |
| Net Totals, Salaries and Wages | 190.4 | 242.2 | 244.7 | $11,407 | $14,325 | $14,852 |
| Staff Benefits | – | – | – | 1,802 | 2,094 | 2,032 |
| Totals, Personal Services | 190.4 | 242.2 | 244.7 | $13,209 | $16,419 | $16,884 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $10,323 | $5,059 | $12,671 |
| SPECIAL ITEMS OF EXPENSE | | | | 37 | – | – |
| TOTALS, EXPENDITURES | | | | $23,569 | $21,478 | $29,555 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $11,342 | $11,667 | $20,112 |
| Allocation for employee compensation | 672 | 27 | – |
| Adjustment per Section 3.60 | −420 | −88 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| Totals Available | $11,598 | $11,606 | $20,112 |
| Unexpended balance, estimated savings | −679 | – | – |
| TOTALS, EXPENDITURES | $10,919 | $11,606 | $20,112 |

### 0212 Exotic Species Control Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $901 | $935 |
| Allocation for contingencies and emergencies (loan) | $615 | – | – |
| Allocation for employee compensation | – | 1 | – |
| Adjustment per Section 3.60 | – | −4 | – |
| Totals Available | $615 | $898 | $935 |
| Unexpended balance, estimated savings | −24 | – | – |
| TOTALS, EXPENDITURES | $591 | $898 | $935 |

*** Dollars in thousands, except in Salary Range.**

## 3560 STATE LANDS COMMISSION—Continued

### 0320 Oil Spill Prevention and Administration Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $5,631 | $5,894 | $5,845 |
| Allocation for employee compensation | 373 | 14 | – |
| Adjustment per Section 3.60 | –240 | –42 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Totals Available | $5,766 | $5,866 | $5,845 |
| Unexpended balance (estimated savings) | –74 | – | – |
| TOTALS, EXPENDITURES | $5,692 | $5,866 | $5,845 |

### 0347 School Land Bank Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Public Resources Code Section 8711 (expenditures) | $37 | – | – |

### 0942 Special Deposit Trust Fund Environmental Mitigation Trust Account [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Government Code Section 16370 (expenditures) | $98 | – | – |

### 0943 Land Bank Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Public Resources Code Section 8610 (expenditures) | $3,123 | – | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $3,109 | $3,108 | $2,663 |
| TOTALS, EXPENDITURES (State Operations) | $23,569 | $21,478 | $29,555 |

---

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Chapter 719, Statutes of 2000 | – | $580 | – |
| TOTALS, EXPENDITURES (Local Assistance) | – | $580 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $23,569 | $22,058 | $29,555 |

---

### FUND CONDITION STATEMENT
### 0212 Exotic Species Control Account

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | $911 | $866 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $1,502 | 1,998 | 1,998 |
| Totals, Revenues | $1,502 | $1,998 | $1,998 |
| Transfers: | | | |
| Transfers from other funds: | | | |
| F00001 Loan from General Fund per Item 9840-011-0001, Budget Act of 1999 | 615 | – | – |
| Transfers to other funds: | | | |
| T00212 Loan repayment to General Fund per Item 9840-011-0001, Budget Act of 1999 | –615 | – | – |
| Totals, Transfers | – | – | – |
| Totals, Revenues and Transfers | $1,502 | $1,998 | $1,998 |
| Totals, Resources | $1,502 | $2,909 | $2,864 |

*** Dollars in thousands, except in Salary Range.**

## 3560 STATE LANDS COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3560 State Lands Commission (State Operations) | $591 | $898 | $935 |
| 3600 Department of Fish and Game (State Operations) | – | 908 | 809 |
| 3940 State Water Resources Control Board (State Operations) | – | 237 | 238 |
| Totals, Disbursements | $591 | $2,043 | $1,982 |
| FUND BALANCE | $911 | $866 | $882 |
| Reserve for economic uncertainties | 911 | 866 | 882 |

### 0347 School Land Bank Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $31,029 | $34,680 | $37,630 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 1,652 | 1,950 | 2,088 |
| 160600 Sale of state public lands | 2,036 | 1,000 | 2,000 |
| Totals, Revenues and Transfers | $3,688 | $2,950 | $4,088 |
| Totals, Resources | $34,717 | $37,630 | $41,718 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3560 State Lands Commission (State Operations) | 37 | – | – |
| FUND BALANCE | $34,680 | $37,630 | $41,718 |
| Reserve for economic uncertainties | 34,680 | 37,630 | 41,718 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 190.4 | 255.0 | 252.0 | $11,407 | $15,072 | $15,311 |
| Salary adjustments | – | – | – | – | 5 | 5 |
| Totals, Adjusted Authorized Positions | 190.4 | 255.0 | 252.0 | $11,407 | $15,077 | $15,316 |
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Engr-Petroleum Structures | – | – | 1.0 | 5,380–6,533 | – | 71 |
| Envirntl Spec IV-Spec | – | – | 0.8 | 4,846–5,851 | – | 53 |
| Pub Land Mgmt Spec III | – | – | 3.0 | 4,110–4,997 | – | 166 |
| Document Preservation Techn | – | – | 1.0 | 2,425–2,945 | – | 32 |
| Totals, Proposed New Positions | – | – | 5.8 | – | – | $322 |
| Total Adjustments | – | – | 5.8 | – | $5 | $327 |
| TOTALS, SALARIES AND WAGES | 190.4 | 255.0 | 257.8 | $11,407 | $15,077 | $15,638 |

# 3600 DEPARTMENT OF FISH AND GAME

The Department of Fish and Game maintains native fish, wildlife, plant species and natural communities for their intrinsic and ecological value and their benefits to people. This includes habitat protection and maintenance in a sufficient amount and quality to ensure the survival of all species and natural communities. The department is also responsible for the diversified use of fish and wildlife including recreational, commercial, scientific and educational uses.

## Authority

The Constitution of California, the Fish and Game Code, the Public Resources Code, State Water Code, Government Code, Health and Welfare Code and regulations prepared by the Fish and Game Commission.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 20 Biodiversity Conservation Program | 642.3 | 827.0 | 860.8 | $73,189 | $122,677 | $108,406 |
| 25 Hunting, Fishing, and Public Use | 423.4 | 500.9 | 503.7 | 40,861 | 44,677 | 44,547 |
| 30 Management of Department Lands and Facilities | 371.9 | 455.3 | 399.4 | 33,352 | 51,970 | 52,633 |
| 40 Conservation Education and Enforcement | 477.8 | 497.4 | 476.5 | 42,343 | 52,643 | 50,822 |
| 50 Spill Prevention and Response | 236.7 | 249.4 | 239.1 | 21,852 | 24,456 | 24,943 |
| 70.01 Administration | 311.4 | 419.4 | 419.4 | 26,515 | 34,130 | 33,026 |
| 70.02 Distributed Administration | –311.4 | –419.4 | –419.4 | –26,515 | –34,130 | –33,026 |
| TOTALS, PROGRAMS | 2,152.1 | 2,530.0 | 2,479.5 | $211,597 | $296,423 | $281,351 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 0001 | General Fund | $36,982 | $84,312 | $86,531 |
| 0005 | Safe Neighborhood Parks, Clean Water, Clean Air/Coastal Protection Bond Fund | – | 853 | 969 |
| 0140 | California Environmental License Plate Fund | 15,528 | 18,926 | 15,959 |
| 0200 | Fish and Game Preservation Fund | 81,736 | 80,054 | 86,404 |
| 0207 | Fish and Wildlife Pollution Account | 2,788 | 2,071 | 2,125 |
| 0211 | California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund | 174 | 211 | 207 |
| 0212 | Exotic Species Control Fund | – | 908 | 809 |
| 0235 | Public Resources Account, Cigarette and Tobacco Products Surtax Fund | 3,283 | 1,493 | 1,560 |
| 0320 | Oil Spill Prevention and Administration Fund | 13,798 | 16,333 | 16,413 |
| 0321 | Oil Spill Response Trust Fund | 2,695 | 420 | – |
| 0322 | Environmental Enhancement Fund | 6 | 106 | 103 |
| 0384 | Salmon and Steelhead Trout Restoration Account | – | 8,000 | 8,000 |
| 0404 | Central Valley Project Improvement Subaccount | 10,452 | 8,204 | 45 |
| 0516 | Harbors and Watercraft Revolving Fund | 3 | 5 | 5 |
| 0643 | Upper Newport Bay Ecological Reserve Maintenance and Preservation Fund | −200 | −200 | −200 |
| 0647 | Marine Life and Marine Reserve Management Account | – | 2,200 | 2,200 |
| 0786 | California Wildlife, Coastal, and Parkland Conservation Fund of 1988 | 44 | – | – |
| 0890 | Federal Trust Fund | 25,600 | 41,713 | 31,403 |
| 0940 | Renewable Resources Investment Fund | 318 | 174 | – |
| 0995 | Reimbursements | 18,390 | 22,916 | 23,593 |
| 6018 | Coastal Watershed Salmon Habitat Subaccount | – | 7,724 | 5,225 |

## 20 BIODIVERSITY CONSERVATION PROGRAM

### Program Objectives Statement

The objective of this program is to encourage the preservation, conservation, and maintenance of wildlife resources under the jurisdiction and influence of the State, including the conservation, protection and management of fish, wildlife, native plants, and habitat necessary for biologically sustainable populations of those species. This program includes department activities conducted in response to human population growth and associated economic development, such as consulting with lead and responsible agencies and providing biological expertise on impacts arising from project activities.

### Major Budget Adjustments Proposed for 2001–02

- $8,164,000 ($6,094,000 General Fund; $624,000 Federal Trust Funds; $1,446,000 Reimbursements) and 83.0 positions to continue and expand restoration planning, implementation, and monitoring activities related to CALFED.
- $3,327,000 ($3,504,000 General Fund; –$177,000 Reimbursements) and 8.0 positions for staff support ($2,327,000) and local assistance grants ($1,000,000) to collect data on the distribution and abundance of species and habitats to develop scientifically based conservation strategies.
- $250,000 General Fund and 1.0 position to support implementation of Chapter 950, Statutes of 2000 relative to wetlands mitigation banking activities.
- $220,000 General Fund and 2.0 positions to address the expedited approval process for siting energy-related facilities established pursuant to Chapter 329, Statutes of 2000.
- $80,000 General Fund in contract funds to support implementation of Chapter 964, Statutes of 2000, which requires the Resources Agency to update the State's existing wetlands inventory in order to identify opportunities to preserve, restore and enhance public and privately owned wetlands.
- $1,710,000 ($1,368,000 Fish and Game Preservation Fund; $342,000 Reimbursements) and the conversion of 24.5 limited-term positions to permanent to fulfill the responsibilities under Fish and Game Code Sections 1601/1603 and Section 5653 for the Lake and Streambed Alteration (1600) Program.
- $101,000 ($80,000 Fish and Game Preservation Fund; $21,000 federal funds) and the conversion of 2.2 positions to maintain and expand sport fish restoration and improvement program activities.
- Elimination of 33.5 positions Departmentwide as a result of the Vacant Position Analysis.

### Authority

State: Constitution, Fish and Game Code, State Water Code, Government Code, Health and Safety Code, Public Resources Code.

Federal: Fish and Wildlife Coordination Act, the Water Projects Recreation Act, the Federal Power Act, the National Environmental Policy Act, the Clean Water Act, the Federal Endangered Species Act, and the Intergovernmental Cooperative Act of 1968.

## 25 HUNTING, FISHING, AND PUBLIC USE PROGRAM

### Program Objectives Statement

The objective of this program is to provide for diverse and sustainable hunting, fishing (recreational and commercial), trapping, and other public uses (wildlife observation) and associated economic benefits to the State. Activities include collection and assessment of information on the distribution and abundance of game fish and wildlife to determine the need for regulations (bag limits, gear restrictions, etc.) and to monitor the effects of those regulations.

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

### Major Budget Adjustments Proposed for 2001–02

- $4,723,000 Fish and Game Preservation Fund and a reduction of 9.1 positions to implement the Automated License Data System (ALDS).
- $591,000 ($159,000 Fish and Game Preservation Fund; $432,000 Federal Trust Fund) and the conversion of 25.6 limited-term positions to permanent to maintain and expand sport fish restoration and improvement program activities.

### Authority

State Constitution, Fish and Game Code.

## 30 MANAGEMENT OF DEPARTMENT LANDS AND FACILITIES PROGRAM

### Program Objectives Statement

The objective of this program is to manage department-owned or leased lands and facilities (including hatcheries, wildlife areas, ecological reserves, fish and wildlife laboratories, and public access areas) for their contribution to the conservation, protection, and management of fish and wildlife, and for their use by the public.

### Major Budget Adjustments Proposed for 2001–02

- $9,910,000 General Fund to meet obligations to the Bureau of Reclamation associated with the Central Valley Project Improvement Act (CVPIA).
- $722,000 General Fund and 0.7 position to investigate and remediate lead-based paint at Department residences in Regions 4 and 5.
- $1,148,000 ($1,038,000 Fish and Game Preservation Fund; $110,000 Public Resources Account) and the conversion of 23.4 limited-term positions to permanent to continue land stewardship and maintenance activities.
- $247,000 ($37,000 Fish and Game Preservation Fund; $109,000 Federal Trust Fund; $101,000 Reimbursements) and the conversion of 5.6 limited-term positions to permanent to maintain and expand sport fish restoration and improvement program activities.

### Authority

State Constitution, Fish and Game Code.

## 40 CONSERVATION EDUCATION AND ENFORCEMENT PROGRAM

### Program Objectives Statement

The objective of this program is to serve the public through hunter education and other conservation education programs, and to promote compliance with laws and regulations protecting fish and wildlife resources, habitats and public safety.

### Major Budget Adjustment Proposed for 2001–02

- $123,000 ($2,000 Fish and Game Preservation Fund; $121,000 federal funds) and the conversion of 2.4 limited-term positions to permanent to maintain and expand sport fish restoration and improvement program activities.

### Authority

State Constitution, Fish and Game Code.

## 50 SPILL PREVENTION AND RESPONSE PROGRAM

### Program Objectives Statement

The objective of this program is to prevent damage, minimize impacts, restore, and rehabilitate California's fish and wildlife populations and their habitats from the harmful effects of oil and other deleterious material spills in marine waters and inland habitats.

### Authority

State Constitution, State Water Code, Government Code, Public Resources Code, and Health and Safety Code.

## 70 ADMINISTRATION

### Major Budget Adjustments Proposed for 2001–02

- $4,951,000 General Fund to support and maintain departmental migration to Microsoft Office automation operating systems.
- $4,544,000 General Fund to upgrade the department's computer network infrastructure.
- $73,000 ($21,000 General Fund; $25,000 Fish and Game Preservation Fund; $9,000 federal funds; $5,000 Reimbursements; $13,000 various special funds) and the conversion of 1.0 limited-term position to permanent to address labor relations workload needs.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 20 BIODIVERSITY CONSERVATION

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$24,061* | *$43,824* | *$48,792* |
| *0140 California Environmental License Plate Fund* | *9,671* | *10,372* | *8,642* |
| *0200 Fish and Game Preservation Fund* | *8,747* | *8,137* | *8,927* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *1,110* | *–* | *–* |
| *0384 Salmon and Steelhead Trout Restoration Account* | *–* | *8,000* | *8,000* |
| *0404 Central Valley Water Project Improvement Subaccount* | *10,452* | *8,204* | *45* |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0516 Harbors and Watercraft Revolving Fund* | *$3* | *$5* | *$5* |
| *0786 California Wildlife, Coastal, and Parkland Conservation Fund* | *44* | *–* | *–* |
| *0890 Federal Trust Fund* | *8,497* | *19,215* | *10,656* |
| *0940 Renewable Resources Investment Fund* | *318* | *174* | *–* |
| *0995 Reimbursements* | *8,678* | *12,568* | *13,518* |
| *6018 Safe Neighborhood Parks, Clean Water, Clean Air/Coastal Protection Bond Fund* | *–* | *7,724* | *5,225* |
| Totals, State Operations | $71,581 | $118,223 | $103,810 |
| Local Assistance: | | | |
| *0001 General Fund* | *1,608* | *4,454* | *4,596* |
| Totals, Local Assistance | $1,608 | $4,454 | $4,596 |
| Totals, State Operations and Local Assistance by Biodiversity Conservation | $73,189 | $122,677 | $108,406 |
| **ELEMENT REQUIREMENTS** | | | |
| 20.10 Multi-Species and Habitat Conservation Planning | 33,417 | 57,630 | 59,919 |
| State Operations: | | | |
| *0001 General Fund* | *11,921* | *31,776* | *35,283* |
| *0140 California Environmental License Plate Fund* | *6,433* | *6,574* | *5,878* |
| *0200 Fish and Game Preservation Fund* | *3,370* | *2,610* | *3,236* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *–* | *–* | *–* |
| *0890 Federal Trust Fund* | *3,921* | *6,028* | *5,727* |
| *0995 Reimbursements* | *6,164* | *6,188* | *5,199* |
| Local Assistance: | | | |
| *0001 General Fund* | *1,608* | *4,454* | *4,596* |
| 20.20 Biodiversity (Wildlife and Habitat) Protection and Restoration | 39,772 | 65,047 | 48,487 |
| State Operations: | | | |
| *0001 General Fund* | *12,140* | *12,048* | *13,509* |
| *0140 California Environmental License Plate Fund* | *3,238* | *3,798* | *2,764* |
| *0200 Fish and Game Preservation Fund* | *5,377* | *5,527* | *5,691* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *1,110* | *–* | *–* |
| *0384 Salmon and Steelhead Trout Restoration Account* | *–* | *8,000* | *8,000* |
| *0404 Central Valley Project Improvement Subaccount* | *10,452* | *8,204* | *45* |
| *0516 Harbors and Watercraft Revolving Fund* | *3* | *5* | *5* |
| *0786 California Wildlife, Coastal, and Parkland Conservation Fund* | *44* | *–* | *–* |
| *0890 Federal Trust Fund* | *4,576* | *13,187* | *4,929* |
| *0940 Renewable Resources Investment Fund* | *318* | *174* | *–* |
| *0995 Reimbursements* | *2,514* | *6,380* | *8,319* |
| *6018 Clean Water* | *–* | *7,724* | *5,225* |
| **PROGRAM REQUIREMENTS** | | | |
| **25 HUNTING, FISHING, AND PUBLIC USE** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$3,721* | *$5,127* | *$4,506* |
| *0140 California Environmental License Plate Fund* | *462* | *1,052* | *516* |
| *0200 Fish and Game Preservation Fund* | *26,446* | *25,672* | *27,023* |
| *0211 California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund* | *174* | *211* | *–* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *541* | *–* | *–* |
| *0647 Marine Life and Marine Reserve Management Account* | *–* | *1,651* | *1,651* |
| *0890 Federal Trust Fund* | *7,382* | *9,138* | *8,982* |
| *0995 Reimbursements* | *2,135* | *1,826* | *1,869* |
| Totals, State Operations for Hunting, Fishing, and Public Use | $40,861 | $44,677 | $44,547 |
| **ELEMENT REQUIREMENTS** | | | |
| 25.10 Hunting, Sport Fishing, and Public Use Regulations | 20,264 | 26,725 | 26,136 |
| State Operations | | | |
| *0001 General Fund* | *3,721* | *5,127* | *4,065* |
| *0140 California Environmental License Plate Fund* | *65* | *385* | *258* |
| *0200 Fish and Game Preservation Fund* | *10,851* | *14,542* | *15,664* |
| *0211 California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund* | *174* | *211* | *–* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *146* | *–* | *–* |
| *0890 Federal Trust Fund* | *3,621* | *5,835* | *5,596* |
| *0995 Reimbursements* | *1,686* | *625* | *553* |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 25.20 Commercial Fisheries Management (Marine and Inland) | $11,946 | $8,952 | $9,043 |
| State Operations: | | | |
| *0001 General Fund* | – | – | *49* |
| *0140 California Environmental License Plate Fund* | – | *75* | – |
| *0200 Fish and Game Preservation Fund* | *11,493* | *6,735* | *6,899* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *54* | – | – |
| *0647 Marine Life and Marine Reserve Management Account* | – | *1,651* | *1,651* |
| *0890 Federal Trust Fund* | *270* | *381* | *333* |
| *0995 Reimbursements* | *129* | *110* | *111* |
| 25.30 Providing Hunting and Fishing Opportunities—Non-Departmental Lands and Waters | 8,651 | 9,000 | 9,368 |
| State Operations: | | | |
| *0001 General Fund* | – | – | *392* |
| *0140 California Environmental License Plate Fund* | *397* | *592* | *258* |
| *0200 Fish and Game Preservation Fund* | *4,102* | *4,395* | *4,460* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *341* | – | – |
| *0890 Federal Trust Fund* | *3,491* | *2,922* | *3,053* |
| *0995 Reimbursements* | *320* | *1,091* | *1,205* |
| **PROGRAM REQUIREMENTS** | | | |
| **30 MANAGEMENT OF DEPARTMENT LANDS AND FACILITIES** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$522* | *$17,399* | *$16,262* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air/Coastal Protection Bond Fund* | – | *853* | *969* |
| *0140 California Environmental License Plate Fund* | *1,802* | *3,321* | *3,125* |
| *0200 Fish and Game Preservation Fund* | *19,143* | *18,601* | *20,461* |
| *0211 California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund* | – | – | *207* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *1,142* | *1,493* | *1,560* |
| *0643 Upper Newport Bay Ecological Reserve Maintenance and Preservation Fund* | *–200* | *–200* | *–200* |
| *0890 Federal Trust Fund* | *6,806* | *6,848* | *6,692* |
| *0995 Reimbursements* | *4,137* | *3,620* | *3,522* |
| Totals, State Operations for Management of Department Lands and Facilities | $33,352 | $51,935 | $52,598 |
| Local Assistance: | | | |
| *0001 General Fund* | – | *35* | *35* |
| Totals, State Operations and Local Assistance for Management of Department Lands and Facilities | $33,352 | $51,970 | $52,633 |
| **ELEMENT REQUIREMENTS** | | | |
| 30.10 Lands | 14,219 | 32,365 | 31,617 |
| State Operations: | | | |
| *0001 General Fund* | *491* | *17,079* | *15,031* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air/Coastal Protection Bond Fund* | – | *853* | *969* |
| *0140 California Environmental License Plate Fund* | *1,761* | *2,885* | *2,872* |
| *0200 Fish and Game Preservation Fund* | *5,261* | *5,096* | *5,861* |
| *0211 California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund* | – | – | *207* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *876* | *1,493* | *1,560* |
| *0643 Upper Newport Bay Ecological Reserve Maintenance and Preservation Fund* | *–200* | *–200* | *–200* |
| *0890 Federal Trust Fund* | *4,127* | *3,679* | *3,990* |
| *0995 Reimbursements* | *1,903* | *1,445* | *1,292* |
| Local Assistance: | | | |
| *0001 General Fund* | – | *35* | *35* |
| 30.20 Hatcheries and Fish Planting Facilities | 18,753 | 18,104 | 19,358 |
| State Operations: | | | |
| *0001 General Fund* | *31* | *310* | *1,163* |
| *0140 California Environmental License Plate Fund* | – | *329* | *142* |
| *0200 Fish and Game Preservation Fund* | *13,546* | *12,121* | *13,121* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *263* | – | – |
| *0890 Federal Trust Fund* | *2,679* | *3,169* | *2,702* |
| *0995 Reimbursements* | *2,234* | *2,175* | *2,230* |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 30.30 Wildlife Laboratories | $380 | $1,501 | $1,658 |
| State Operations: | | | |
| *0001 General Fund* | – | *10* | *68* |
| *0140 California Environmental License Plate Fund* | *41* | *107* | *111* |
| *0200 Fish and Game Preservation Fund* | *336* | *1,384* | *1,479* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *3* | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **40 CONSERVATION EDUCATION AND ENFORCEMENT** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$6,720* | *$11,945* | *$10,368* |
| *0140 California Environmental License Plate Fund* | *3,593* | *4,181* | *3,676* |
| *0200 Fish and Game Preservation Fund* | *27,400* | *27,644* | *29,993* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *490* | – | – |
| *0647 Marine Life and Marine Reserve Management Account* | – | *549* | *549* |
| *0890 Federal Trust Fund* | *2,862* | *5,983* | *4,625* |
| *0995 Reimbursements* | *1,278* | *1,841* | *1,611* |
| Totals, State Operations for Conservation Education and Enforcement | $42,343 | $52,143 | $50,822 |
| Local Assistance: | | | |
| *0001 General Fund* | – | *500* | – |
| Totals, Local Assistance | – | $500 | – |
| Totals, State Operations and Local Assistance for Conservation and Enforcement | $42,343 | $52,643 | $50,822 |
| **ELEMENT REQUIREMENTS** | | | |
| 40.10 Conservation Education | 4,308 | 4,129 | 3,743 |
| State Operations: | | | |
| *0001 General Fund* | – | – | *139* |
| *0140 California Environmental License Plate Fund* | *588* | *423* | *361* |
| *0200 Fish and Game Preservation Fund* | *1,056* | *609* | *702* |
| *0890 Federal Trust Fund* | *2,390* | *2,308* | *2,345* |
| *0995 Reimbursements* | *274* | *289* | *196* |
| Local Assistance: | | | |
| *0001 General Fund* | – | *500* | – |
| 40.20 Enforcement and Public Safety | 38,035 | 48,514 | 47,079 |
| State Operations: | | | |
| *0001 General Fund* | *6,720* | *11,945* | *10,229* |
| *0140 California Environmental License Plate Fund* | *3,005* | *3,758* | *3,315* |
| *0200 Fish and Game Preservation Fund* | *26,344* | *27,035* | *29,291* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *490* | – | – |
| *0647 Marine Life and Marine Reserve Management Account* | – | *549* | *549* |
| *0890 Federal Trust Fund* | *472* | *3,675* | *2,280* |
| *0995 Reimbursements* | *1,004* | *1,552* | *1,415* |
| **PROGRAM REQUIREMENTS** | | | |
| **50 SPILL PREVENTION AND RESPONSE** | | | |
| State Operations: | | | |
| *0001 General Fund* | – | *$1,028* | *$1,972* |
| *0207 Fish and Wildlife Pollution Account* | *$2,755* | *2,038* | *2,092* |
| *0212 Exotic Species Control Fund* | – | *908* | *809* |
| *0320 Oil Spill Prevention and Administration Fund* | *13,049* | *15,433* | *15,513* |
| *0321 Oil Spill Response Trust Fund* | *2,695* | *420* | – |
| *0322 Environmental Enhancement Fund* | *6* | *106* | *103* |
| *0890 Federal Trust Fund* | *53* | *529* | *448* |
| *0995 Reimbursements* | *2,162* | *3,061* | *3,073* |
| Totals, State Operations | $20,720 | $23,523 | $24,010 |
| Local Assistance: | | | |
| *0001 General Fund* | *350* | – | – |
| *0207 Fish and Wildlife Pollution Account* | *33* | *33* | *33* |
| *0320 Oil Spill Prevention and Administration Fund* | *749* | *900* | *900* |
| Totals, Local Assistance | $1,132 | $933 | $933 |
| Totals, State Operations and Local Assistance for Spill Prevention and Response | $21,852 | $24,456 | $24,943 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| ELEMENT REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 50.10 Prevention | $3,402 | $4,384 | $1,517 |
| State Operations: | | | |
| *0207 Fish and Wildlife Pollution Account* | *286* | *190* | *196* |
| *0320 Oil Spill Prevention and Administration Fund* | *2,584* | *3,662* | *789* |
| Local Assistance: | | | |
| *0320 Oil Spill Prevention and Administration Fund* | *532* | *532* | *532* |
| 50.20 Readiness | 10,024 | 12,620 | 15,345 |
| State Operations: | | | |
| *0001 General Fund* | *–* | *1,028* | *1,972* |
| *0207 Fish and Wildlife Pollution Account* | *1,092* | *780* | *812* |
| *0320 Oil Spill Prevention and Administration Fund* | *6,544* | *6,935* | *9,105* |
| *0321 Oil Spill Response Trust Fund* | *–* | *420* | *–* |
| *0322 Environmental Enhancement Fund* | *6* | *106* | *103* |
| *0890 Federal Trust Fund* | *13* | *529* | *448* |
| *0995 Reimbursements* | *1,802* | *2,454* | *2,537* |
| Local Assistance: | | | |
| *0001 General Fund* | *350* | *–* | *–* |
| *0320 Oil Spill Prevention and Administration Fund* | *217* | *368* | *368* |
| 50.30 Response | 4,072 | 1,976 | 1,893 |
| State Operations: | | | |
| *0207 Fish and Wildlife Pollution Account* | *1,377* | *1,068* | *1,084* |
| *0212 Marine Life and Marine Reserve Management Account* | *–* | *908* | *809* |
| *0321 Oil Spill Response Trust Fund* | *2,695* | *–* | *–* |
| 50.40 Restoration and Remediation | 409 | 528 | 1,321 |
| State Operations: | | | |
| *0207 Fish and Wildlife Pollution Account* | *–* | *–* | *–* |
| *0320 Oil Spill Prevention and Administration Fund* | *–* | *–* | *767* |
| *0890 Federal Trust Fund* | *20* | *–* | *–* |
| *0995 Reimbursements* | *356* | *495* | *521* |
| Local Assistance: | | | |
| *0207 Fish and Wildlife Pollution Account* | *33* | *33* | *33* |
| 50.50 Program Support | 3,945 | 4,948 | 4,867 |
| State Operations: | | | |
| *0320 Oil Spill Prevention and Administration Fund* | *3,921* | *4,836* | *4,852* |
| *0890 Federal Trust Fund* | *20* | *–* | *–* |
| *0995 Reimbursements* | *4* | *112* | *15* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $208,857 | $290,501 | $275,787 |
| Local Assistance | 2,740 | 5,922 | 5,564 |
| TOTALS, EXPENDITURES | $211,597 | $296,423 | $281,351 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 2,152.1 | 2,648.9 | 2,527.8 | $95,556 | $116,630 | $115,655 |
| Total Adjustments | – | – | 137.8 | – | 528 | 7,646 |
| Estimated Salary Savings | – | –118.9 | –186.1 | – | –5,179 | –7,126 |
| Net Totals, Salaries and Wages | 2,152.1 | 2,530.0 | 2,479.5 | $95,556 | $111,979 | $116,175 |
| Staff Benefits | – | – | – | 17,467 | 19,944 | 20,645 |
| Totals, Personal Services | 2,152.1 | 2,530.0 | 2,479.5 | $113,023 | $131,923 | $136,820 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $95,834 | $158,578 | $138,967 |
| TOTALS, EXPENDITURES | | | | $208,857 | $290,501 | $275,787 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $34,128 | $65,062 | $81,308 |
| 002 Budget Act appropriation | – | 5,005 | – |
| 011 Budget Act appropriation (transfer to Fish and Game Preservation Fund for reimbursement for free fishing licenses) | 17 | 17 | 17 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Allocation for employee compensation | $1,299 | $76 | – |
| Allocation for contingencies or emergencies | 175 | 9,946 | – |
| Allocation per Item 9907-001-0001, Budget Act of 2000 | – | 300 | – |
| Adjustment per Section 3.60 | −765 | −123 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 35 | – |
| Adjustment per Section 16.00 | 5 | – | – |
| Chapter 777, Statutes of 1998 (for transfer to the Upper Newport Bay Ecological Reserve Maintenance and Preservation Fund—0643) | 200 | 200 | $200 |
| Chapter 329, Statutes of 2000 (Energy Siting) | – | 90 | – |
| Prior year adjustment: | | | |
| Item 3600-001-0001, Budget Act of 2000, as reappropriated by Item 3600-490, Budget Act of 2001 | – | – | 1,285 |
| Totals Available | $35,059 | $80,608 | $82,810 |
| Balance available in subsequent years | – | −1,285 | −910 |
| Unexpended balance, estimated savings | −35 | – | – |
| TOTALS, EXPENDITURES | $35,024 | $79,323 | $81,900 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, Coastal Protection Bond Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | – | $854 | $969 |
| Adjustment per Section 3.60 | – | −1 | – |
| TOTALS, EXPENDITURES | – | $853 | $969 |

### 0140 California Environmental License Plate Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $15,167 | $15,320 | $15,959 |
| Allocation for employee compensation | 915 | 33 | – |
| Allocation for contingencies or emergencies | – | 3,600 | – |
| Adjustment per Section 3.60 | −558 | −32 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 5 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| TOTALS, EXPENDITURES | $15,528 | $18,926 | $15,959 |

### 0200 Fish and Game Preservation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $79,973 | $79,911 | $86,421 |
| Allocation for employee compensation | 4,525 | 156 | – |
| Adjustment per Section 3.60 | −2,598 | 25 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 21 | – |
| Adjustment per Section 16.00 | 22 | – | – |
| Transfer to Legislative Claims (9670) | −12 | −42 | – |
| Totals Available | $81,910 | $80,071 | $86,421 |
| Unexpended balance, estimated savings | −157 | – | – |
| TOTALS, EXPENDITURES | $81,753 | $80,071 | $86,421 |
| Less funding provided by the General Fund | −17 | −17 | −17 |
| NET TOTALS, EXPENDITURES | $81,736 | $80,054 | $86,404 |

### 0207 Fish and Wildlife Pollution Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,001 | $2,035 | $2,092 |
| Fish and Game Code Section 12017 | 1,367 | – | – |
| Allocation for employee compensation | 83 | 3 | – |
| Adjustment per Section 3.60 | −55 | −1 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 1 | – |
| Totals Available | $3,396 | $2,038 | $2,092 |
| Unexpended balance, estimated savings | −641 | – | – |
| TOTALS, EXPENDITURES | $2,755 | $2,038 | $2,092 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

### 0211 California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $212 | $211 | $207 |
| Unexpended balance, estimated savings | –38 | – | – |
| TOTALS, EXPENDITURES | $174 | $211 | $207 |

### 0212 Exotic Species Control Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $909 | $809 |
| Adjustment per Section 3.60 | – | –1 | – |
| TOTALS, EXPENDITURES | – | $908 | $809 |

### 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,184 | $5,097 | $1,560 |
| Allocation for employee compensation | 226 | 7 | – |
| Adjustment per Section 3.60 | –128 | –12 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 1 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $3,283 | $5,093 | $1,560 |
| Unexpended balance, estimated savings | – | –3,600 | – |
| TOTALS, EXPENDITURES | $3,283 | $1,493 | $1,560 |

### 0320 Oil Spill Prevention and Administration Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $15,013 | $15,446 | $15,513 |
| Allocation for employee compensation | 922 | 27 | – |
| Adjustment per Section 3.60 | –581 | –54 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 14 | – |
| Adjustment per Section 16.00 | 5 | – | – |
| Transfer to Legislative Claims (9670) | –17 | – | |
| Totals Available | $15,342 | $15,433 | $15,513 |
| Unexpended balance, estimated savings | –2,293 | – | – |
| TOTALS, EXPENDITURES | $13,049 | $15,433 | $15,513 |

### 0321 Oil Spill Response Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 8670.46 | $1,518 | – | – |
| Allocation for contingencies or emergencies | 1,177 | – | – |
| Prior year balances available: | | | |
| Item 3600-001-0321, Budget Act of 1996 as reappropriated by Item 3600-491, Budget Act of 2000 | – | $420 | – |
| TOTALS, EXPENDITURES | $2,695 | $420 | – |

### 0322 Environmental Enhancement Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $106 | $106 | $103 |
| Unexpended balance, estimated savings | –100 | – | – |
| TOTALS, EXPENDITURES | $6 | $106 | $103 |

### 0384 Salmon and Steelhead Trout Restoration Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $8,000 | $8,000 |
| Allocation for employee compensation | – | (1) | – |
| Adjustment per Section 3.60 | – | (–6) | – |
| TOTALS, EXPENDITURES | – | $8,000 | $8,000 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

### 0404 Central Valley Project Improvement Subaccount [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $11,402 | $8,205 | $45 |
| Government Code Section 16312(c) | 296 | – | – |
| Government Code Section 16724.6 | 3 | – | – |
| Allocation for employee compensation | 63 | – | – |
| Adjustment per Section 3.60 | –29 | –1 | – |
| Totals Available | $11,735 | $8,204 | $45 |
| Unexpended balance, estimated savings | –1,283 | – | – |
| TOTALS, EXPENDITURES | $10,452 | $8,204 | $45 |

### 0516 Harbors and Watercraft Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Harbors and Navigation Code Section 64(d) (expenditures) | $3 | $5 | $5 |

### 0643 Upper Newport Bay Ecological Reserve Maintenance and Preservation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Less funding provided by the General Fund per Chapter 77, Statutes of 1998 (expenditures) | –$200 | –$200 | –$200 |

### 0647 Marine Life and Marine Reserve Management Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $2,200 | $2,200 |

### 0786 California Wildlife, Coastal, and Parkland Conservation Fund of 1988 [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $80 | – | – |
| Government Code Section 16724.6 | 2 | – | – |
| Totals Available | $82 | – | – |
| Unexpended balance, estimated savings | –38 | – | – |
| TOTALS, EXPENDITURES | $44 | – | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $29,252 | $32,781 | $31,403 |
| Allocation for employee compensation | 1,472 | 41 | – |
| Adjustment per Section 3.60 | –806 | –126 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 17 | – |
| Adjustment per Section 16.00 | 7 | – | – |
| Budget adjustment | –4,325 | – | – |
| Chapter 38, Statutes of 2000 | 9,000 | – | – |
| Prior year balances available: | | | |
| Chapter 38, Statutes of 2000 | – | 9,000 | – |
| Totals Available | $34,600 | $41,713 | $31,403 |
| Balance available in subsequent years | –9,000 | – | – |
| TOTALS, EXPENDITURES | $25,600 | $41,713 | $31,403 |

### 0940 Renewable Resources Investment Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $318 | $274 | – |
| Unexpended balance, estimated savings | – | –100 | – |
| TOTALS, EXPENDITURES | $318 | $174 | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $18,390 | $22,916 | $23,593 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

### 6018 Coastal Watershed Salmon Habitat Subaccount [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | $7,725 | $5,225 |
| Adjustment per Section 3.60 | – | –1 | – |
| TOTALS, EXPENDITURES | – | $7,724 | $5,225 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $208,857 | $290,501 | $275,787 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and Subventions | $2,740 | $5,922 | $5,564 |
| (Oil Spill Prevention and Response) | (1,132) | (933) | (933) |
| (Biodiversity Conservation) | (1,608) | (4,454) | (4,596) |
| (Conservation Education and Enforcement) | – | (500) | – |
| TOTALS, EXPENDITURES | $2,740 | $5,922 | $5,564 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $1,600 | $13,481 | $2,600 |
| 102 Budget Act appropriation | 350 | – | – |
| Chapter 811, Statutes of 1999 | 8 | – | – |
| Chapter 223, Statutes of 2000 | – | 140 | – |
| Prior year balances available: | | | |
| Item 3600-101-0001, Budget Act of 2000, as reappropriated by Item 3600-490, Budget Act of 2001 | – | – | 8,527 |
| Chapter 223, Statutes of 2000 | – | – | 105 |
| Totals Available | $1,958 | $13,621 | $11,232 |
| Balance available in subsequent years | – | –8,632 | –6,601 |
| TOTALS, EXPENDITURES | $1,958 | $4,989 | $4,631 |

### 0207 Fish and Wildlife Pollution Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $33 | $33 | $33 |

### 0320 Oil Spill Prevention and Administration Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $900 | $900 | $900 |
| Unexpended balance, estimated savings | –151 | – | – |
| TOTALS, EXPENDITURES | $749 | $900 | $900 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $2,000 | – | – |
| Budget adjustment | –2,000 | – | – |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $2,740 | $5,922 | $5,564 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $211,597 | $296,423 | $281,351 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

### FUND CONDITION STATEMENT
### 0200 Fish and Game Preservation Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $15,352 | $14,350 | $18,454 |
| Prior year adjustments | 1,155 | – | – |
| Balance, Adjusted | $16,507 | $14,350 | $18,454 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| Department of Fish and Game: | | | |
| 120200 General fish and game taxes | 2,221 | 2,170 | 2,103 |
| 121500 General fish and game license tags permits | 71,838 | 73,831 | 75,173 |
| 125600 Other regulatory fees | 1,159 | 1,850 | 1,850 |
| 125700 Other regulatory license and permits | 1,724 | 2,111 | 2,861 |
| 131000 Fish and game violation fines | 495 | 495 | 495 |
| 131100 Penalty assessments on fish and game fines | 554 | 746 | 700 |
| 131300 Additional assessments on fish and game fines | 63 | 67 | 69 |
| 141200 Sales of documents | 18 | 18 | 18 |
| 142500 Miscellaneous services to the public | 3 | 3 | 3 |
| 150200 Income from pooled money investments | 447 | 915 | 1,208 |
| 152200 Rentals of state property | 359 | 359 | 359 |
| 152300 Miscellaneous revenue from use of property and money | 1 | 1 | 1 |
| 160400 Sale of fixed assets | 190 | 190 | 190 |
| 161000 Escheat of unclaimed checks and warrants | 69 | 69 | 69 |
| 161400 Miscellaneous revenue | 1,044 | 1,003 | 1,003 |
| 161900 Other revenue-cost recoveries | 102 | – | – |
| 164300 Penalty assessments | 27 | 27 | 27 |
| Totals, Revenues | $80,314 | $83,855 | $86,129 |
| Transfers from Other Funds: | | | |
| F00213 Loan repayment from Native Species Conservation and Enhancement Account, per Chapter 1539, Statutes of 1988 | – | 345 | 104 |
| F00219 Lifetime License Trust Fund per Fish and Game Code Section 13005 | – | 13 | 13 |
| Totals, Transfers from Other Funds | – | $358 | $117 |
| Totals, Revenues and Transfers | $80,314 | $84,213 | $86,246 |
| Totals, Resources | $96,821 | $98,563 | $104,700 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | 8 | 13 | 13 |
| 3600 Department of Fish and Game: | | | |
| State Operations | 81,753 | 80,071 | 86,421 |
| Capital Outlay | 715 | – | 2,126 |
| 9670 Legislative Claims (State Operations) | 12 | 42 | – |
| Totals, Disbursements | $82,488 | $80,126 | $88,560 |
| Expenditure Reductions: | | | |
| 3600 Department of Fish and Game (State Operations): | | | |
| Less funding provided by the General Fund | –17 | –17 | –17 |
| Totals, Expenditure Reductions | –$17 | –$17 | –$17 |
| Totals, Expenditures | $82,471 | $80,109 | $88,543 |
| FUND BALANCE | $14,350 | $18,454 | $16,157 |
| Reserve for economic uncertainties | 14,350 | 18,454 | 16,157 |

### INFORMATIONAL FUND CONDITION STATEMENT
### 0200 Fish and Game Preservation Fund—Non-Dedicated [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | –$1,187 | $105 | $7,069 |
| Prior year adjustments | 701 | – | – |
| Balance, Adjusted | –$486 | $105 | $7,069 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| Department of Fish and Game: | | | |
| 120200 General fish and game taxes | 1,998 | 1,998 | 1,998 |
| 121500 General fish and game license/tags and permits | 64,255 | 66,363 | 67,600 |
| 125600 Other regulatory fees (Environmental Review) | 1,159 | 1,850 | 1,850 |
| 125700 Other regulatory licenses and permits (Streambed Alteration) | 11 | 11 | 11 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 131000 Fish and game violation fines | $480 | $480 | $480 |
| 141200 Sales of documents | 16 | 16 | 16 |
| 142500 Miscellaneous services to the public | 3 | 3 | 3 |
| 150200 Income from pooled money investments | – | 206 | 644 |
| 152200 Rentals of state property | 359 | 359 | 359 |
| 152300 Miscellaneous revenue from use of property and money | 1 | 1 | 1 |
| 160400 Sale of fixed assets | 190 | 190 | 190 |
| 161000 Escheat of unclaimed checks and warrants | 69 | 69 | 69 |
| 161400 Miscellaneous revenue | 480 | 480 | 480 |
| 161900 Other revenue-cost recoveries | 102 | – | – |
| 164300 Penalty assessments | 27 | 27 | 27 |
| Totals, Revenues | $69,150 | $72,053 | $73,728 |
| Transfers from Other Funds: | | | |
| F00213 Loan repayment from Native Species Conservation and Enhancement Account, per Chapter 1539, Statutes of 1988 | – | 345 | 104 |
| F00219 Lifetime License Trust Fund per Chapter 1060, Statutes of 1988 | – | 13 | 13 |
| Totals, Transfers from Other Funds | – | $358 | $117 |
| Totals, Revenues and Transfers | $69,150 | $72,411 | $73,845 |
| Totals, Resources | $68,664 | $72,516 | $80,914 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | 8 | 13 | 13 |
| 3600 Department of Fish and Game: | | | |
| State Operations | 67,911 | 65,409 | 71,341 |
| Capital Outlay | 645 | – | 2,126 |
| 9670 Legislative Claims (State Operations) | 12 | 42 | – |
| Totals, Disbursements | $68,576 | $65,464 | $73,480 |
| Expenditure Reductions: | | | |
| 3600 Department of Fish and Game (State Operations): | | | |
| Less funding provided by the General Fund | −17 | −17 | −17 |
| Totals, Expenditure Reductions | −$17 | −$17 | −$17 |
| Totals, Expenditures | $68,559 | $65,447 | $73,463 |
| FUND BALANCE | $105 | $7,069 | $7,451 |
| Reserve for economic uncertainties | 105 | 7,069 | 7,451 |

### INFORMATIONAL FUND CONDITION STATEMENT
### 0200 Fish and Game Preservation Fund—Dedicated [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $16,539 | $14,245 | $11,385 |
| Prior year adjustments | 454 | – | – |
| Balance, Adjusted | $16,993 | $14,245 | $11,385 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 120200 General fish and game taxes | 223 | 172 | 105 |
| 121500 General fish and game license/tags and permits | 7,583 | 7,468 | 7,573 |
| 125700 Other regulatory licenses and permits (Streambed Alteration) | 1,713 | 2,100 | 2,850 |
| 131000 Fish and game violation fines | 15 | 15 | 15 |
| 131100 Penalty assessments on fish and game fines | 554 | 746 | 700 |
| 131300 Additional assessments on fish and game fines | 63 | 67 | 69 |
| 141200 Sales of documents | 2 | 2 | 2 |
| 150200 Income from pooled money investments | 447 | 709 | 564 |
| 161400 Miscellaneous revenue | 564 | 523 | 523 |
| Totals, Revenues | $11,164 | $11,802 | $12,401 |
| Totals, Revenues and Transfers | $11,164 | $11,802 | $12,401 |
| Totals, Resources | $28,157 | $26,047 | $23,786 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3600 Department of Fish and Game: | | | |
| State Operations | $13,842 | $14,662 | $15,080 |
| Capital Outlay | 70 | – | – |
| Totals, Disbursements | $13,912 | $14,662 | $15,080 |
| Totals, Expenditures | $13,912 | $14,662 | $15,080 |
| FUND BALANCE | $14,245 | $11,385 | $8,706 |
| Reserve for economic uncertainties | 14,245 | 11,385 | 8,706 |

### 0207 Fish and Wildlife Pollution Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $11,666 | $11,048 | $11,469 |
| Prior year adjustments | 46 | – | – |
| Balance, Adjusted | $11,712 | $11,048 | $11,469 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131000 Fish and game violation fines | 300 | – | – |
| 150300 Income from pooled money investments | 632 | 626 | 615 |
| 161900 Other revenue cost recoveries | 1,192 | 1,906 | 600 |
| Totals, Revenues | $2,124 | $2,532 | $1,215 |
| Totals, Revenues and Transfers | $2,124 | $2,532 | $1,215 |
| Totals, Resources | $13,836 | $13,580 | $12,684 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3600 Department Fish and Game: | | | |
| State Operations | 2,755 | 2,038 | 2,092 |
| Local Assistance | 33 | 33 | 33 |
| Capital Outlay | – | 40 | – |
| Totals, Disbursements | $2,788 | $2,111 | $2,125 |
| Totals, Expenditures | $2,788 | $2,111 | $2,125 |
| FUND BALANCE | $11,048 | $11,469 | $10,559 |
| Reserve for economic uncertainties | 11,048 | 11,469 | 10,559 |

### 0211 California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,794 | $3,303 | $3,275 |
| Prior year adjustments | 501 | – | – |
| Balance, Adjusted | $3,295 | $3,303 | $3,275 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from pooled money investments | 182 | 183 | 181 |
| Totals, Revenues | $182 | $183 | $181 |
| Totals, Revenues and Transfers | $182 | $183 | $181 |
| Totals, Resources | $3,477 | $3,486 | $3,456 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3600 Department of Fish and Game (State Operations) | 174 | 211 | 207 |
| Totals, Disbursements | $174 | $211 | $207 |
| Totals, Expenditures | $174 | $211 | $207 |
| FUND BALANCE | $3,303 | $3,275 | $3,249 |
| Reserve for economic uncertainties | 3,303 | 3,275 | 3,249 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

### 0213 Native Species Conservation and Enhancement Account, Fish and Game Preservation Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $133 | $244 | $3 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 121500 General fish and game taxes (Sale of decals) | 77 | 77 | 77 |
| 150300 Income from surplus money investments | 10 | 3 | 3 |
| 161400 Miscellaneous revenue | 24 | 24 | 24 |
| Totals, Revenues | $111 | $104 | $104 |
| Transfers to Other Funds: | | | |
| T00200 Loan repayment to various Dedicated Accounts in the Fish and Game Preservation Fund per Chapter 1539, Statutes of 1988 | – | −345 | −104 |
| Totals, Transfers to Other Funds | – | −$345 | −$104 |
| Totals, Revenues and Transfers | $111 | −$241 | – |
| Totals, Resources | $244 | $3 | $3 |
| FUND BALANCE | $244 | $3 | $3 |
| Reserve for economic uncertainties | 244 | 3 | 3 |

### 0219 Lifetime License Trust Account, Fish and Game Preservation Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,070 | $1,557 | $2,062 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 121500 General fish and game licenses, tags, permits | 417 | 418 | 418 |
| 150300 Income from surplus money investments | 70 | 100 | 129 |
| Totals, Revenues | $487 | $518 | $547 |
| Transfers to Other Funds: | | | |
| T00200 Transfer to Fish and Game Preservation Fund per Fish and Game Code Section 13005 | – | −13 | −13 |
| Totals, Transfers to Other Funds | – | −$13 | −$13 |
| Totals, Revenues and Transfers | $487 | $505 | $534 |
| Totals, Resources | $1,557 | $2,062 | $2,596 |
| FUND BALANCE | $1,557 | $2,062 | $2,596 |
| Reserve for economic uncertainties | 1,557 | 2,062 | 2,596 |

### 0320 Oil Spill Prevention and Administration Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $9,999 | $10,085 | $6,587 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees (Oil spill prevention and administration fee) | 18,779 | 18,500 | 18,500 |
| 150200 Income from surplus money investments | 679 | 436 | 234 |
| 161400 Other revenue-cost recoveries | 311 | – | – |
| Totals, Revenues | $19,769 | $18,936 | $18,734 |
| Totals, Revenues and Transfers | $19,769 | $18,936 | $18,734 |
| Totals, Resources | $29,768 | $29,021 | $25,321 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0860 Board of Equalization (State Operations) | 176 | 235 | 266 |
| 3560 State Lands Commission (State Operations) | 5,692 | 5,866 | 5,845 |
| 3600 Department of Fish and Game: | | | |
| State Operations | 13,049 | 15,433 | 15,513 |
| Local Assistance | 749 | 900 | 900 |
| 9670 Legislative Claims (State Operations) | 17 | – | – |
| Totals, Disbursements | $19,683 | $22,434 | $22,524 |
| Totals, Expenditures | $19,683 | $22,434 | $22,524 |
| FUND BALANCE | $10,085 | $6,587 | $2,797 |
| Reserve for economic uncertainties | 10,085 | 6,587 | 2,797 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| 0321 Oil Spill Response Trust Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $52,691 | $56,075 | $61,172 |
| Prior year adjustments | 808 | – | – |
| Balance, Adjusted | $53,499 | $56,075 | $61,172 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from pooled money investments | 3,177 | 3,250 | 3,529 |
| 161900 Other revenue-cost recoveries | 3,463 | 3,567 | 2,644 |
| Totals, Revenues | $6,640 | $6,817 | $6,173 |
| Totals, Revenues and Transfers | $6,640 | $6,817 | $6,173 |
| Totals, Resources | $60,139 | $62,892 | $67,345 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0950 State Treasurer's Office (State Operations) | – | – | 200 |
| 3600 Department of Fish and Game (State Operations) | 2,695 | 420 | – |
| 6440 University of California (State Operations) | 1,300 | 1,300 | 1,300 |
| 9900 Statewide General Administrative (pro rata) (State Operations) | 69 | – | – |
| Totals, Disbursements | $4,064 | $1,720 | $1,500 |
| Totals, Expenditures | $4,064 | $1,720 | $1,500 |
| FUND BALANCE | $56,075 | $61,172 | $65,845 |
| Reserve for economic uncertainties | 56,075 | 61,172 | 65,845 |

| 0322 Environmental Enhancement Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $613 | $1,070 | $1,607 |
| REVENUES AND TRANSFERS | | | |
| 150300 Income from pooled money investments | 46 | 74 | 105 |
| 161900 Other revenue-cost recoveries | 417 | 569 | 569 |
| Totals, Revenues | $463 | $643 | $674 |
| Totals, Revenues and Transfers | $463 | $643 | $674 |
| Totals, Resources | $1,076 | $1,713 | $2,281 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3600 Department of Fish and Game (State Operations) | 6 | 106 | 103 |
| Totals, Disbursements | $6 | $106 | $103 |
| Totals, Expenditures | $6 | $106 | $103 |
| FUND BALANCE | $1,070 | $1,607 | $2,178 |
| Reserve for economic uncertainties | 1,070 | 1,607 | 2,178 |

| 0384 Salmon and Steelhead Trout Restoration Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $119 | $490 | – |
| Prior year adjustments | 371 | – | – |
| Balance, Adjusted | $490 | $490 | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 152500 State lands royalties | 8,489 | 8,000 | $8,000 |
| Totals, Revenues | $8,489 | $8,000 | $8,000 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Public Resources Code Section 6217 | –8,489 | –490 | – |
| Totals, Transfers to Other Funds | –$8,489 | –$490 | – |
| Totals, Revenues and Transfers | – | $7,510 | $8,000 |
| Totals, Resources | $490 | $8,000 | $8,000 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3600 Department of Fish and Game (State Operations) | – | $8,000 | $8,000 |
| Totals, Disbursements | – | $8,000 | $8,000 |
| Totals, Expenditures | – | $8,000 | $8,000 |
| FUND BALANCE | $490 | – | – |
| Reserve for economic uncertainties | 490 | – | – |

### 0643 Upper Newport Bay Ecological Reserve Maintenance and Preservation Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $200 | $400 | $600 |
| EXPENDITURES | | | |
| Expenditure Reductions: | | | |
| 3600 Department of Fish and Game: | | | |
| State Operations: | | | |
| Less funding provided by the General Fund | –200 | –200 | –200 |
| Totals, Expenditure Reductions | –$200 | –$200 | –$200 |
| Totals, Expenditures | –$200 | –$200 | –$200 |
| FUND BALANCE | $400 | $600 | $800 |
| Reserve for economic uncertainties | 400 | 600 | 800 |

### 0647 Marine Life and Marine Reserve Management Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 152500 State lands royalties | $2,200 | $2,200 | $2,200 |
| Totals, Revenues | $2,200 | $2,200 | $2,200 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Public Resources Code Section 6217 | –2,200 | – | – |
| Totals, Transfers to Other Funds | –$2,200 | – | – |
| Totals, Revenues and Transfers | – | $2,200 | $2,200 |
| Totals, Resources | – | $2,200 | $2,200 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3600 Department of Fish and Game (State Operations) | – | 2,200 | 2,200 |
| Totals, Disbursements | – | $2,200 | $2,200 |
| Totals, Expenditures | – | $2,200 | $2,200 |
| FUND BALANCE | – | – | – |
| Reserve for economic uncertainties | – | – | – |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 2,152.1 | 2,648.9 | 2,527.8 | $95,556 | $116,630 | $115,655 |
| Salary adjustments | – | – | – | – | 15 | 25 |
| Totals, Adjusted Authorized Positions | 2,152.1 | 2,648.9 | 2,527.8 | $95,556 | $116,645 | $115,680 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Administration: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | –1.0 | 3,915–4,759 | – | – |
| Digital Composition Spec | – | – | –1.0 | 3,297–4,008 | – | –9 |
| Warehouse Worker | – | – | –2.0 | 2,560–2,784 | – | – |
| Prog Techn | – | – | –1.0 | 2,029–2,648 | – | –9 |
| Acct Clk II | – | – | –2.0 | 2,104–2,559 | – | – |
| Ofc Asst-Gen | – | – | –1.0 | 1,846–2,242 | – | – |
| Temporary Help | – | – | –1.1 | – | – | –22 |
| Totals, Workload and Administrative Adjustments | – | – | –9.1 | – | – | –$40 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Redirection per Section 31.50: | | | | Salary Range | | |
| Envirntl Spec IV-Spec | – | –1.0 | –1.0 | $4,846–5,851 | –$70 | –$70 |
| Assoc Civil Engr | – | –5.0 | –5.0 | 4,639–5,637 | –280 | –280 |
| Assoc Biologist-Wildlife | – | –1.0 | –1.0 | 4,627–5,585 | –55 | –55 |
| Staff Svcs Mgr I | – | –1.0 | –1.0 | 4,520–5,453 | –63 | –63 |
| Envirntl Spec III | – | –10.0 | –10.0 | 4,209–5,082 | –539 | –539 |
| Research Analyst II (GIS) | – | –1.0 | –1.0 | 4,110–4,997 | –60 | –60 |
| Assoc Biologist-Wildlife | – | –10.0 | –10.0 | 4,123–4,970 | –500 | –500 |
| Assoc Biologist-Botany | – | –1.0 | –1.0 | 4,123–4,969 | –49 | –49 |
| Assoc Biologist-Marine Fisheries | – | –2.0 | –2.0 | 4,123–4,969 | –98 | –98 |
| Fish & Wildlife Interpreter II | – | –1.0 | –1.0 | 3,926–4,732 | –47 | –47 |
| Fish & Game Patrol Lieut-Spec | – | –1.0 | –1.0 | 3,923–4,709 | –58 | –58 |
| Fish & Game Warden (Range A) | – | –3.0 | –3.0 | 3,515–4,221 | –105 | –105 |
| Fish & Game Warden (Range B) | – | –7.0 | –7.0 | 3,515–4,221 | –310 | –310 |
| Fish Hatchery Mgr I | – | –1.0 | –1.0 | 3,195–3,882 | –44 | –44 |
| Biologist-Wildlife | – | –1.0 | –1.0 | 3,093–3,722 | –44 | –44 |
| Fish & Game Warden (Range A) | – | –1.0 | –1.0 | 2,947–3,515 | –35 | –35 |
| Mate Fish & Game Vessel | – | –1.0 | –1.0 | 3,186–3,492 | –42 | –42 |
| Research Analyst I (GIS) (Range B) | – | –1.0 | –1.0 | 2,851–3,300 | –45 | –45 |
| Fish & Wildlife Techn (Range B) | – | –6.0 | –6.0 | 2,567–3,120 | –210 | –210 |
| Fish & Wildlife Techn (Range A) | – | –1.0 | –1.0 | 2,473–2,862 | –29 | –29 |
| Ofc Techn-Typing | – | –4.0 | –4.0 | 2,348–2,855 | –119 | –119 |
| Biologist-Marine Fisheries | – | –10.0 | –10.0 | 2,507–2,842 | –300 | –300 |
| Word Proc Techn (Range B) | – | –1.0 | –1.0 | 2,180–2,648 | –32 | –32 |
| Word Proc Techn (Range A) | – | –1.0 | –1.0 | 2,029–2,465 | –24 | –24 |
| Ofc Asst (Range A) | – | –1.0 | –1.0 | 1,846–2,242 | –23 | –23 |
| CEQA: | | | | | | |
| Envirntl Prog Mgr I | – | 2.0 | 2.0 | 5,585–6,741 | 134 | 134 |
| Envirntl Spec IV-Spec | – | 21.0 | 21.0 | 4,846–5,851 | 1,218 | 1,218 |
| Envirntl Spec III | – | 2.0 | 2.0 | 4,209–5,082 | 102 | 102 |
| Deferred Maintenance: | | | | | | |
| Assoc Civil Engr | – | 1.0 | 1.0 | 4,639–5,637 | 56 | 56 |
| Enforcement: | | | | | | |
| Fish & Game Patrol Capt | – | 1.0 | 1.0 | 4,500–5,414 | 54 | 54 |
| Fish & Game Patrol Lieut-Supvr | – | 2.0 | 2.0 | 3,926–4,713 | 94 | 94 |
| Fish & Game Warden (Range B) | – | 7.0 | 7.0 | 3,515–4,221 | 294 | 294 |
| Land Management: | | | | | | |
| Sr Biologist Spec-Wildlife | – | 2.0 | 2.0 | 4,624–5,581 | 110 | 110 |
| Wildlife Habitat Asst | – | 2.0 | 2.0 | 2,790–3,389 | 66 | 66 |
| Palos Verdes: | | | | | | |
| Regional Patrol Chief | – | 1.0 | 1.0 | 4,941–5,940 | 59 | 59 |
| Fish & Game Patrol Lieut-Supvr | – | 3.0 | 3.0 | 3,926–4,715 | 141 | 141 |
| Fish & Game Warden (Range B) | – | 16.0 | 16.0 | 3,515–4,224 | 672 | 672 |
| Motor Vessel Engr | – | 1.0 | 1.0 | 2,776–3,186 | 33 | 33 |
| Ofc Techn | – | 1.0 | 1.0 | 2,348–2,855 | 28 | 28 |
| Timber Harvest Program: | | | | | | |
| Envirntl Prog Mgr I | – | 1.0 | 1.0 | 5,585–6,741 | 67 | 67 |
| Envirntl Spec IV-Spec | – | 8.0 | 8.0 | 4,846–5,851 | 464 | 464 |
| Envirntl Spec III | – | 2.0 | 2.0 | 4,209–5,082 | 102 | 102 |
| Transfer from Department | – | – | – | – | – | – |
| Totals | – | – | – | – | $513 | $513 |
| Excess Vacant Position Analysis: | | | | | | |
| Reductions in Authorized Positions: | | | | | | |
| Temporary Help | – | – | –33.5 | – | – | – |
| Proposed New Positions: | | | | | | |
| Habitat Conservation: | | | | | | |
| Staff Counsel (Range D) | – | – | 1.0 | 5,703–7,034 | – | 76 |
| Envirntl Spec IV-Supvr | – | – | 3.0 | 4,850–5,854 | – | 193 |
| Envirntl Spec IV-Spec [1] | – | – | 15.0 | 4,846–5,851 | – | 962 |
| Sr Biologist Supvr-Wildlife | – | – | 1.0 | 4,627–5,585 | – | 61 |
| Sr Biologist Supvr-Marine Fisheries | – | – | 1.0 | 4,627–5,585 | – | 61 |
| Sr Biologist-Wildlife | – | – | 3.0 | 4,624–5,581 | – | 184 |
| Sr Biologist Spec-Marine Fisheries | – | – | 1.0 | 4,624–5,581 | – | 61 |
| Research Prog Spec I | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Envirntl Spec III | – | – | 27.5 | 4,209–5,082 | – | 1,534 |
| Assoc Biologist-Marine Fisheries [2] | – | – | 12.0 | 4,123–4,969 | – | 619 |
| Assoc Biologist-Wildlife | – | – | 2.0 | 4,123–4,969 | – | 109 |
| Adm Ofcr II | – | – | 1.0 | 4,113–4,963 | – | 55 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Biologist-Marine Fisheries (Range B) | – | – | 7.0 | 3,093–3,722 | – | 286 |
| Biologist-Wildlife (Range B) | – | – | 1.0 | 3,093–3,722 | – | 41 |
| Biologist-Botany (Range B) | – | – | 1.0 | 3,093–3,722 | – | 41 |
| Biologist-Marine Fisheries (Range B) | – | – | 3.0 | 3,093–3,722 | – | 123 |
| Fish & Wildlife Techn (Range B) | – | – | 4.0 | 2,567–3,120 | – | 136 |
| Ofc Techn-Typing | – | – | 11.0 | 2,348–2,855 | – | 344 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Biologist-Wildlife | – | – | 2.0 | $2,507–2,842 | – | $64 |
| Biologist-Marine Fisheries | – | – | 3.0 | 2,507–2,842 | – | 114 |
| Sr Lab Asst | – | – | 1.0 | 2,220–2,697 | – | 30 |
| Temporary Help | – | – | 17.0 | – | – | 303 |
| Wildlife & Inland Fisheries: | | | | | | |
| Assoc Biologist-Botany | – | – | 2.0 | 4,123–4,969 | – | 109 |
| Assoc Biologist-Wildlife | – | – | 2.0 | 4,123–4,969 | – | 109 |
| Fish & Wildlife Interpreter II | – | – | 1.0 | 3,926–4,732 | – | 52 |
| Fish Habitat Asst | – | – | 1.0 | 2,790–3,389 | – | 37 |
| Wildlife Habitat Asst | – | – | 1.0 | 2,790–3,389 | – | 37 |
| Tractor Opr Laborer | – | – | 1.0 | 2,981–3,271 | – | 38 |
| Research Analyst I (GIS) | – | – | 1.0 | 2,764–3,048 | – | 37 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | – |
| Biologist-Botany | – | – | 1.0 | 2,507–2,842 | – | 41 |
| Biologist-Wildlife | – | – | 1.0 | 2,507–2,842 | – | 41 |
| Fish & Wildlife Interpreter I | – | – | 2.0 | 2,507–2,842 | – | 75 |
| Fish & Wildlife Asst I | – | – | 3.0 | 2,378–2,752 | – | 96 |
| Temporary Help | – | – | 42.2 | – | – | 757 |
| Administration: | | | | | | |
| Labor Relations Analyst | – | – | 1.0 | 3,917–4,761 | – | 52 |
| Temporary Help [3] | – | – | 0.7 | – | – | 35 |
| Overtime | – | – | – | – | – | 126 |
| Totals, Proposed New Positions | – | – | 180.4 | – | – | $7,148 |
| Total Adjustments | – | – | 137.8 | – | $528 | $7,646 |
| TOTALS, SALARIES AND WAGES | 2,152.1 | 2,648.9 | 2,665.6 | $95,556 | $117,158 | $123,301 |

[1] 1.0 position limited-term to 6/30/03.
[2] 1.0 position limited-term to 6/30/03.
[3] 0.7 position limited-term to 6/30/02.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **90 CAPITAL OUTLAY** | | | |
| **Major Budget Adjustment Proposed for 2001–02** | | | |
| • $1,665,000 from the General Fund, $451,000 from the Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund, $2,126,000 from the Fish and Game Preservation Fund, $200,000 in Federal Trust Fund, and $683,000 in reimbursement for various major and minor capital outlay projects. | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 90.88.020 Project Planning | – | – | $450 Smfq |
| 90.98.001 Napa Sonoma Marsh WLA—Water Control Structures | $145 PWCm | – | – |
| 90.98.004 Heenan Lake Water Rights—Acquisition | 550 Ac | – | – |
| 90.00.001 Schaeffer Fish Barrier Reconstruction | – | $66 Wg | 1,821 Cm |
| Reconstruct the fish barrier on the south fork of the Kern River to prevent nonnative fish migration into golden trout habitat. | | | |
| 90.00.002 Mt. Whitney Fish Hatchery Structural Retrofit | – | 311 PWg | 1,665 Cg |
| Seismically retrofit the historic Mt. Whitney fish hatchery building. | | | |
| Totals, Major Projects | $695 | $377 | $3,936 |
| **Minor Projects** | | | |
| 90.07.100 Minor Projects | $570 PWCm | – | $255 PWCm |
| 90.07.100 Minor Projects | 822 PWCq | $917 PWCq | 483 PWCq |
| 90.07.100 Minor Projects | – | 343 PWCf | – |
| 90.07.100 Minor Projects | – | 605 PWCs | 451 PWCs |
| 90.07.100 Minor Projects | – | 40 PWCp | – |
| 90.07.100 Minor Projects | 40 Cg | 15 Cg | – |
| Totals, Minor Projects | $1,432 | $1,920 | $1,189 |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $2,127 | $2,297 | $5,125 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| *0001 General Fund* [g] | *$40* | *$392* | *$1,665* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* [s] | *–* | *605* | *451* |
| *0200 Fish and Game Preservation Fund* [m] | *715* | *–* | *2,126* |
| *0207 Fish and Wildlife Pollution Account* [p] | *–* | *40* | *–* |
| *0786 Wildlife, Coast and Park Conservation Fund 1988* [c] | *550* | *–* | *–* |
| *0890 Federal Trust Fund* [f] | *–* | *343* | *200* |
| *0995 Reimbursements* [q] | *822* | *917* | *683* |

For special Department of Fish and Game footnotes, see page R 81.

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund [g]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | – | $377 | $1,665 |
| Prior year balances available: | | | |
| Chapter 1304, Statutes of 1976 | $55 | 15 | – |
| Totals Available | $55 | $392 | $1,665 |
| Balance available in subsequent years | −15 | – | – |
| TOTALS, EXPENDITURES | $40 | $392 | $1,665 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $605 | $451 |

### 0200 Fish and Game Preservation Fund [m]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $635 | – | $2,126 |
| 302 Budget Act appropriation | 75 | – | – |
| Prior year balances available: | | | |
| Item 3600-301-0200, Budget Act of 1998 as reappropriated by Item 3600-490, Budget Act of 1999 | 145 | – | – |
| Totals Available | $855 | – | $2,126 |
| Unexpended balance, estimated savings | −140 | – | – |
| TOTALS, EXPENDITURES | $715 | – | $2,126 |

### 0207 Fish and Wildlife Pollution Account [p]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $40 | – |

### 0786 Wildlife, Coastal and Park Conservation Fund of 1988 [c]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3600-301-0786, Budget Act of 1998 (expenditures) | $550 | – | – |

### 0890 Federal Trust Fund [f]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $250 | $93 | $200 |
| Prior year balances available: | | | |
| Item 3600-301-0890, Budget Act of 1999 as reappropriated by Item 3600-490, Budget Act of 2000 | – | 250 | – |
| Totals Available | $250 | $343 | $200 |
| Balance available in subsequent years | −250 | – | – |
| TOTALS, EXPENDITURES | – | $343 | $200 |

*** Dollars in thousands, except in Salary Range.**

## 3600 DEPARTMENT OF FISH AND GAME—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0995 Reimbursements [q]** | | | |
| Reimbursements | $822 | $917 | $683 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $2,127 | $2,297 | $5,125 |

The following footnotes may differ from the standard statewide footnotes due to the variety of specific fund sources for the Department of Fish and Game budget. Footnotes apply only to Capital Outlay for Fish and Game.
[c] Wildlife, Coastal and Park Conservation Fund of 1988.
[f] Federal Trust Fund.
[g] General Fund.
[m] Fish and Game Preservation Fund.
[p] Fish and Wildlife Pollution Account.
[q] Reimbursements.
[r] Fish and Game Preservation Fund—Commercial Augmented Salmon Stamp Dedicated Account.
[s] Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund.

# 3640 WILDLIFE CONSERVATION BOARD

### Program Objectives Statement

The Wildlife Conservation Board was established within the Department of Fish and Game (DFG) to administer a capital outlay program for wildlife conservation and related public access pursuant to the Wildlife Conservation Act of 1947 (Business and Professions Code Section 19632(a)). The Board conducts or causes to be conducted, investigations and studies to determine the areas within the State considered most essential for wildlife production and preservation, and which will provide compatible recreational opportunities. The Board develops fishing piers and fishing access sites at lakes, on the ocean, and along the State's waterways and aqueducts. The Wildlife Protection Act of 1990 (Proposition 117) was implemented in order to provide a long-term funding mechanism to provide for the preservation, and enhancement of California's diverse wildlife heritage, and the habitats upon which it depends. The Inland Wetlands Conservation Program, Chapter 1645, Statutes of 1990, and the California Riparian Habitat Conservation Program (Chapter 762, Statutes of 1991) are administered with funding provided in the annual budget. Projects authorized by the Board are evaluated and recommended by the DFG to the Board.

### Major Budget Adjustments Proposed for 2001–02

- $207,000 General Fund and 3.0 positions to implement capital outlay projects and acquisitions.
- $107,000 General Fund and 1.0 position to implement Natural Heritage Preservation Tax Credit Act of 2000.

### Authority

Fish and Game Code, Division 2, Chapter 4, Article 1, Section 1300 through Section 1431.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Wildlife Conservation Board | 15.2 | 26.4 | 30.2 | $2,952 | $2,006 | $2,427 |
| TOTALS, PROGRAMS | 15.2 | 26.4 | 30.2 | $2,952 | $2,006 | $2,427 |
| *0001 General Fund* | | | | *374* | *268* | *715* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | | | | *–* | *415* | *369* |
| *0140 California Environmental License Plate Fund* | | | | *84* | *237* | *241* |
| *0262 Habitat Conservation Fund* | | | | *2,063* | *325* | *326* |
| *Less funding provided by the General Fund* | | | | *−310* | *–* | *–* |
| *0447 Wildlife Restoration Fund* | | | | *695* | *761* | *776* |
| *0995 Reimbursements* | | | | *46* | *–* | *–* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 15.2 | 26.4 | 26.4 | $885 | $1,524 | $1,531 |
| Total Adjustments | – | – | 4.0 | – | – | 255 |
| Estimated salary savings | – | – | −0.2 | – | – | −10 |
| Net Totals, Salaries and Wages | 15.2 | 26.4 | 30.2 | $885 | $1,524 | $1,776 |
| Staff Benefits | – | – | – | 81 | 117 | 137 |
| Totals, Personal Services | 15.2 | 26.4 | 30.2 | $966 | $1,641 | $1,913 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3640 WILDLIFE CONSERVATION BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| OPERATING EXPENSES AND EQUIPMENT | $228 | $365 | $514 |
| SPECIAL ITEMS OF EXPENSE | 1,758 | – | – |
| TOTALS, EXPENDITURES | $2,952 | $2,006 | $2,427 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | – | $273 | $715 |
| 011 Budget Act appropriation (transfer to Habitat Conservation Fund) | $310 | – | – |
| Allocation for contingencies or emergencies | 114 | – | – |
| Adjustment per Section 3.60 | – | –5 | – |
| Totals Available | $424 | $268 | $715 |
| Unexpended balance, estimated savings | –50 | – | – |
| TOTALS, EXPENDITURES | $374 | $268 | $715 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $420 | $369 |
| Adjustment per Section 3.60 | – | –5 | – |
| TOTALS, EXPENDITURES | – | $415 | $369 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $274 | $240 | $241 |
| Allocation for employee compensation | 6 | – | – |
| Adjustment per Section 3.60 | –4 | –3 | – |
| Totals Available | $276 | $237 | $241 |
| Unexpended balance, estimated savings | –192 | – | – |
| TOTALS, EXPENDITURES | $84 | $237 | $241 |

### 0262 Habitat Conservation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,068 | $328 | $326 |
| Allocation for employee compensation | 24 | – | – |
| Adjustment per Section 3.60 | –15 | –3 | – |
| Totals Available | $2,077 | $325 | $326 |
| Unexpended balance, estimated savings | –14 | – | – |
| TOTALS, EXPENDITURES | $2,063 | $325 | $326 |
| Less funding provided by the General Fund | –310 | – | – |
| NET TOTALS, EXPENDITURES | $1,753 | $325 | $326 |

### 0447 Wildlife Restoration Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $732 | $769 | $776 |
| Allocation for employee compensation | 53 | 2 | – |
| Adjustment per Section 3.60 | –37 | –10 | – |
| Totals Available | $748 | $761 | $776 |
| Unexpended balance, estimated savings | –53 | – | – |
| TOTALS, EXPENDITURES | $695 | $761 | $776 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $46 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $2,952 | $2,006 | $2,427 |

---

*** Dollars in thousands, except in Salary Range.**

## 3640 WILDLIFE CONSERVATION BOARD—Continued

### FUND CONDITION STATEMENT
### 0262 Habitat Conservation Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $27,655 | $32,013 | $317 |
| Prior year adjustments | 214 | – | – |
| Balance, Adjusted | $27,869 | $32,013 | $317 |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund, per Fish and Game Code Section 2795(a) | 9,351 | 9,053 | 8,923 |
| F00383 Natural Resources Infrastructure Fund | – | 9,513 | 21,077 |
| Totals, Transfers from Other Funds | $9,351 | $18,566 | $30,000 |
| Totals, Revenues and Transfers | $9,351 | $18,566 | $30,000 |
| Totals, Resources | $37,220 | $50,579 | $30,317 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3125 California Tahoe Conservancy: | | | |
| State Operations | 16 | 17 | 17 |
| Capital Outlay | 188 | 1,387 | 483 |
| 3640 Wildlife Conservation Board: | | | |
| State Operations | 2,063 | 325 | 326 |
| Capital Outlay | 14,234 | 41,306 | 20,667 |
| 3760 State Coastal Conservancy (Capital Outlay) | 4,990 | 12,985 | 4,000 |
| 3790 Department of Parks and Recreation: | | | |
| State Operations | 66 | 50 | – |
| Local Assistance | 1,970 | 2,527 | 2,000 |
| Capital Outlay | 2,100 | 2,925 | 2,500 |
| Totals, Disbursements | $25,627 | $61,522 | $29,993 |
| Expenditure Reductions: | | | |
| 3640 Wildlife Conservation Board: | | | |
| State Operations: | | | |
| Less funding provided by the General Fund | –310 | – | – |
| Capital Outlay: | | | |
| Less funding provided by the General Fund (per Budget Act appropriation) | –17,290 | –11,260 | – |
| 3760 State Coastal Conservancy: | | | |
| Capital Outlay: | | | |
| Less funding provided by the General Fund (per Budget Act appropriation) | –320 | – | – |
| 3790 Department of Parks and Recreation: | | | |
| Capital Outlay: | | | |
| Less funding provided by the General Fund (per Budget Act appropriation) | –2,500 | – | – |
| Totals, Expenditure Reductions | –$20,420 | –$11,260 | – |
| Totals, Expenditures | $5,207 | $50,262 | $29,993 |
| FUND BALANCE | $32,013 | $317 | $324 |
| Reserve for unencumbered balance of continuing appropriations | – | – | – |
| Reserve for economic uncertainties | 32,013 | 317 | 324 |

### 0266 Inland Wetlands Conservation Fund, Wildlife Restoration Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,203 | $1,348 | $1,340 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 75 | 75 | 60 |
| 152200 Rentals of State property | 41 | 30 | 30 |
| 160600 Sales of State's public land | 30 | 30 | 25 |
| 161400 Miscellaneous Revenue (receipts from federal agency) | – | 225 | 300 |
| Totals, Revenues and Transfers | $146 | $360 | $415 |
| Totals, Resources | $1,349 | $1,708 | $1,755 |

*** Dollars in thousands, except in Salary Range.**

## 3640 WILDLIFE CONSERVATION BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3640 Wildlife Conservation Board (Capital Outlay) | $1 | $368 | $368 |
| FUND BALANCE | $1,348 | $1,340 | $1,387 |
| Reserve for economic uncertainties | 1,348 | 1,340 | 1,387 |

### 0383 Natural Resources Infrastructure Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $23 | –$1,643 | – |
| Prior year adjustments [1] | –2,060 | – | – |
| Balance, Adjusted | –$2,037 | –$1,643 | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 152500 State Lands royalties | 39,347 | 26,290 | $25,563 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Public Resources Code Section 6217 | –38,939 | –15,125 | –4,486 |
| T00262 Habitat Conservation Fund per Item 3640-311-0383 | – | –9,513 | –21,077 |
| Totals, Transfers to Other Funds | –$38,939 | –$24,638 | –$25,563 |
| Transfers from Other Funds: | | | |
| F00235 Public Resources Account per Item 3760-311-0235 | 607 | – | – |
| F00235 Public Resources Account per Item 3790-112-0235 | 575 | – | – |
| Totals, Transfers from Other Funds | $1,182 | – | – |
| Totals, Revenues and Transfers | $1,590 | $1,652 | – |
| Totals, Resources | –$447 | $9 | – |
| DISBURSEMENTS | | | |
| 3760 State Coastal Conservancy (Capital Outlay) | 529 | 9 | – |
| 3790 Department of Parks and Recreation: | | | |
| State Operations | 27 | – | – |
| Local Assistance | 640 | – | – |
| Totals, Disbursements | $1,196 | $9 | – |
| FUND BALANCE | –$1,643 | – | – |
| Reserve for unencumbered balance of continuing appropriations | – | – | – |
| Reserve for economic uncertainties | –1,643 | – | – |

### 0447 Wildlife Restoration Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $707 | $133 | $419 |
| Prior year adjustments | 22 | – | – |
| Reserves, Adjusted | $729 | $133 | $419 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 110900 Horse racing fees-licenses | 750 | 750 | 750 |
| 150300 Income from surplus money investments | 65 | 75 | 75 |
| 152200 Rentals of State property | 206 | 100 | 100 |
| 160600 Sales of State's public lands | 18 | 250 | 100 |
| 161400 Miscellaneous revenue (Receipts from federal agencies) | 272 | 200 | 100 |
| Totals, Revenues and Transfers | $1,311 | $1,375 | $1,125 |
| Totals, Resources | $2,040 | $1,508 | $1,544 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3640 Wildlife Conservation Board: | | | |
| State Operations | 695 | 761 | 776 |
| Capital Outlay | 1,212 | 328 | 200 |
| Totals, Disbursements | $1,907 | $1,089 | $976 |
| FUND BALANCE | $133 | $419 | $568 |
| Reserve for economic uncertainties | 133 | 419 | 568 |

[1] Of this amount, $600 was erroneously reported in 98/99.

*** Dollars in thousands, except in Salary Range.**

## 3640 WILDLIFE CONSERVATION BOARD—Continued

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 15.2 | 26.4 | 26.4 | $885 | $1,524 | $1,531 |
| Salary adjustments | – | – | – | – | – | – |
| Totals, Adjusted Authorized Positions | 15.2 | 26.4 | 26.4 | $885 | $1,524 | $1,531 |
| Proposed New Positions: | | | | Salary Range | | |
| Sr Land Agent-Spec [1, 2] | – | – | 2.0 | 4,656–5,660 | – | 151 |
| Pub Land Mgmt Spec IV | – | – | 1.0 | 4,656–5,660 | – | 68 |
| Ofc Techn [1, 2] | – | – | 1.0 | 3,952–4,805 | – | 36 |
| Totals, Proposed New Positions | – | – | 4.0 | – | – | $255 |
| Total Adjustments | – | – | 4.0 | – | – | $255 |
| TOTALS, SALARIES AND WAGES | 15.2 | 26.4 | 30.4 | $885 | $1,524 | $1,786 |

[1] Limited-term positions through June 30, 2002.
[2] Conversion of limited-term positions to permanent.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **80 CAPITAL OUTLAY PROGRAM ELEMENTS** | | | |
| Wildlife Conservation Board Projects (unscheduled) | $15,972 | $57,130 | $21,035 |
| Headwaters, Grizzly Creek and Owl Creek Acquisitions and Acquisition Costs | 257 | 88,124 | – |
| 80.10.010 Minor Projects | 817 | 100 | 200 |
| 80.10.015 Boating-related access projects | – | 1,050 | – |
| 80.10.030 Land Acquisition | 395 | 228 | – |
| 80.10.055 NCCP Land Acquisition | – | 5,000 | – |
| 80.10.060 Acquisition/Restoration of Habitat, etc. | 2,378 | 80,124 | – |
| 80.10.101 Wetlands through Dept. of Fish and Game | – | 1,309 | – |
| 80.10.103 San Joaquin River Conservancy Projects and Acquisitions | 3,774 | 1,226 | – |
| 80.10.200 SF Bay-Wetlands, Acquisition/Development | 408 | 744 | – |
| 80.10.201 Interior Wetlands | 34 | 100 | – |
| 80.10.300 River Parkway Program—San Joaquin Parkway | 4,644 | – | – |
| 80.10.350 River Parkway Program—Sacramento River | 876 | – | – |
| 80.10.401 Redwoods to the Sea Corridor | 500 | 2,100 | – |
| 80.10.403 San Bernardino Mtns Wildlife Habitat | 8 | 1,992 | – |
| 80.10.404 Morro Bay Sand Dunes | – | 4,000 | – |
| 80.10.405 Coal Canyon Biological Corridor | 2,000 | – | – |
| 80.10.406 Inland Wetlands Conservation Program | – | 1,000 | – |
| 80.10.407 East Bay Regional Park Dist.: Big Break in the Delta-Public Access, Fishing Pier | – | 500 | – |
| 80.10.408 Martinez Regional Land Trust Almond Ranch Purchase | – | 100 | – |
| 80.10.500 Wetlands Restoration and Acquisition | – | 55,000 | – |
| 80.10.600 WCB Scheduled Projects | – | 1,000 | – |
| 80.10.603 Safe Neighborhood Parks Bond (SJRC Projects) | – | 14,562 | – |
| 80.10.610 Safe Neighborhood Parks Bond (A)(1)(A) | 1 | 4,999 | – |
| 80.10.620 Safe Neighborhood Parks Bond (A)(1)(B) | – | 5,000 | – |
| 80.10.630 Safe Neighborhood Parks Bond (A)(2) | – | 10,000 | – |
| 80.10.640 Safe Neighborhood Parks Bond (A)(4) | 1 | 12,999 | – |
| 80.10.650 Safe Neighborhood Parks Bond (A)(7) | – | 5,000 | – |
| 80.10.660 Safe Neighborhood Parks Bond (A)(3) | – | 42,750 | – |
| 80.10.670 Safe Neighborhood Parks Bond (A)(5) | – | 78,375 | – |
| 80.10.680 Safe Neighborhood Parks Bond (A)(6) | – | 95,000 | – |
| 80.10.700 River Protection Project | – | 24,000 | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $32,065 | $593,512 | $21,235 |
| *0001 General Fund (GF)* | *27,441* | *266,092* | – |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | *2* | *268,685* | – |
| *0140 California Environmental License Plate Fund (ELPF)* | *1* | – | – |
| *0262 Habitat Conservation Fund (HCF)* | *14,234* | *41,306* | *20,667* |
| *Less funding provided by the General Fund* | *–17,290* | *–11,260* | – |

*** Dollars in thousands, except in Salary Range.**

## 3640 WILDLIFE CONSERVATION BOARD—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| *0266 Inland Wetland Conservation Fund (IWCF)* | *$1* | *$368* | *$368* |
| *0383 Natural Resources Infrastructure Fund (NRIF)* | – | *(8,838)* | – |
| *0447 Wildlife Restoration Fund (WRF)* | *1,212* | *328* | *200* |
| *0516 Harbors and Watercraft Revolving Fund (HWRF)* | – | *1,050* | – |
| *0545 River Parkway Subaccount (RPS)* | *5,520* | – | – |
| *0786 California Wildlife, Coastal and Park Land Conservation Fund of 1988 ('88 Bond)* | *444* | *843* | – |
| *0928 Forest Resources Improvement Fund* | *500* | *2,100* | – |
| *0995 Reimbursements* | – | *10,000* | – |
| *6015 River Protection Subaccount* | – | *14,000* | – |

## RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $33,100 | $60,000 | – |
| 302 Budget Act appropriation | – | 54,000 | – |
| 303 Budget Act appropriation | – | 1,000 | – |
| 311 Budget Act appropriation (transfer to Habitat Conservation Fund—0262) | 17,290 | – | – |
| 312 Budget Act appropriation (transfer to Habitat Conservation Fund—0262) | – | 11,260 | – |
| Chapter 395, Statutes of 2000 (transfer to Wildlife Restoration Fund-0447) | – | 25,000 | – |
| Transfers to Local Assistance | – | – | – |
| Prior year balances available: | | | |
| Item 3640-301-0001, Budget Act of 1998 | 3,502 | 1,124 | – |
| Item 3640-301-0001, Budget Act of 1999 | – | 25,584 | – |
| Chapter 615, Statutes of 1998: | | | |
| Grizzly Creek | 20,000 | 20,000 | – |
| Acquisition Costs | 381 | 124 | – |
| Owl Creek, as reappropriated by Chapter 50, Statutes 1999, Item 3640-490 | – | 68,000 | – |
| Totals Available | $74,273 | $266,092 | – |
| Balance available in subsequent years | –46,832 | – | – |
| TOTALS, EXPENDITURES | $27,441 [1] | $266,092 | – |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | – | $216,125 | – |
| 302 Budget Act appropriation | – | 14,562 | – |
| Public Resources Code Section 5096.350 | $38,000 | – | – |
| Prior year balance available: | | | |
| Public Resources Code Section 5096.350 | – | 37,998 | – |
| Totals Available | $38,000 | $268,685 | – |
| Balance available in subsequent years | –37,998 | – | – |
| TOTALS, EXPENDITURES | $2 | $268,685 | – |

### 0140 California Environmental License Plate Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3640-301-0140, Budget Act of 1997 | $5 | – | – |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES | $1 | – | – |

[1] Of this amount $2,243,000 was used for Local Assistance Grants.

*** Dollars in thousands, except in Salary Range.**

## 3640 WILDLIFE CONSERVATION BOARD—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0262 Habitat Conservation Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $18,932 | $20,672 | $20,667 |
| Prior year balances available: | | | |
| Item 3640-301-0262, Budget Act of 1997 | 5,660 | – | – |
| Item 3640-301-0262, Budget Act of 1998 | 10,032 | 3,922 | – |
| Item 3640-301-0262, Budget Act of 1999 | – | 16,712 | – |
| Fish and Game Code Section 2787(e) | 250 | – | – |
| Totals Available | $34,874 | $41,306 | $20,667 |
| Balance available in subsequent years | −20,634 | – | – |
| Unexpended balance, estimated savings | −6 | – | – |
| TOTALS, EXPENDITURES | $14,234 [2] | $41,306 | $20,667 |
| Less funding provided by General Fund | −17,290 | −11,260 | – |
| NET TOTALS, EXPENDITURES | −$3,056 | $30,046 | $20,667 |
| **0266 Inland Wetlands Conservation Fund, Wildlife Restoration Fund [s]** | | | |
| APPROPRIATIONS | | | |
| Fish and Game Code Section 1431 (expenditures) | $1 | $368 | $368 |
| **0383 Natural Resources Infrastructure Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 311 Budget Act appropriation (expenditures) | – | ($8,838) | – |
| **0447 Wildlife Restoration Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,155 | $100 | $200 |
| Chapter 395, Statutes of 2000 | – | 25,000 | – |
| Prior year balances available: | | | |
| Item 3640-301-0447, Budget Act of 1997 | 370 | – | – |
| Item 3640-301-0447, Budget Act of 1998 | 4,573 | 4,546 | – |
| Item 3640-301-0447, Budget Act of 1999 | – | 182 | – |
| Totals Available | $6,098 | $29,828 | $200 |
| Balance available in subsequent years | −4,728 | – | – |
| Unexpended balance, estimated savings | −158 | −4,500 [3] | – |
| TOTALS, EXPENDITURES | $1,212 | $25,328 | $200 |
| Less funding provided by General Fund | – | −25,000 | – |
| NET TOTALS, EXPENDITURES | $1,212 | $328 | $200 |
| **0516 Harbors and Watercraft Revolving Fund [n]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,050 | – | – |
| Prior year balances available: | | | |
| Item 3640-301-0516, Budget Act of 1998 | 325 | – | – |
| Item 3640-301-0516, Budget Act of 1999 | – | $1,050 | – |
| Transfers to and from Government Code Section 16351.5 | −325 | – | – |
| Totals Available | $1,050 | $1,050 | – |
| Balance available in subsequent years | −1,050 | – | – |
| TOTALS, EXPENDITURES | – | $1,050 | – |

[2] Of this amount $5,881,823 was used for Local Assistance Grants.
[3] Expenditure authority for Federal Section 6 funds which were never granted.

*** Dollars in thousands, except in Salary Range.**

## 3640 WILDLIFE CONSERVATION BOARD—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0545 River Parkway Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3640-301-0545, Budget Act of 1997 | $5,984 | – | – |
| Unexpended balance, estimated savings | –464 | – | – |
| TOTALS, EXPENDITURES | $5,520 [4] | – | – |
| **0786 California Wildlife, Coastal, and Park Land Conservation Fund of 1988 [b]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3640-301-0786, Budget Act of 1997 | $10 | – | – |
| Chapter 1251, Statutes of 1993 | 1,286 | $843 | – |
| Totals Available | $1,296 | $843 | – |
| Balance available in subsequent years | –843 | – | – |
| Unexpended balance, estimated savings | –9 | – | – |
| TOTALS, EXPENDITURES | $444 | $843 | – |
| **0928 Forest Resources Improvement Fund [r]** | | | |
| APPROPRIATIONS | | | |
| Budget Act appropriation | $2,600 | – | – |
| Prior year balances available: | | | |
| Item 3640-301-0928, Budget Act of 1999 | – | $2,100 | – |
| Totals Available | $2,600 | $2,100 | – |
| Balance available in subsequent years | –2,100 | – | – |
| TOTALS, EXPENDITURES | $500 [5] | $2,100 | – |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $10,000 | – |
| **6015 River Protection Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $14,000 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $32,065 | $593,512 | $21,235 |

[4] Of this amount $249,350 was used for Local Assistance Grants.
[5] Of this amount $500,000 was used for Local Assistance Grants.

# 3680 DEPARTMENT OF BOATING AND WATERWAYS

The Department of Boating and Waterways is authorized by statute to (1) plan and develop boating facilities on waterways throughout California and (2) protect the public's right to safe boating by providing financial aid to local law enforcement agencies. In addition, the Department has responsibility for boating safety and education, licensing of yacht and shipbrokers, aquatic weed control in the Sacramento-San Joaquin Delta, and beach erosion control along California's coast.

## Authority

Division 1, Chapter 4 of the Harbors and Navigation Code.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Boating Facilities | 40.5 | 51.0 | 51.0 | $54,902 | $57,365 | $64,709 |
| 20 Boating Operations | 15.4 | 19.0 | 19.9 | 15,656 | 15,081 | 15,503 |
| 30 Beach Erosion Control | 1.0 | 1.0 | 1.0 | 1,028 | 11,453 | 829 |
| 40.01 Administration | 19.3 | 22.0 | 22.0 | 1,928 | 1,995 | 2,071 |
| 40.02 Distributed Administration | – | – | – | –1,928 | –1,995 | –2,071 |
| TOTALS, PROGRAMS | 76.2 | 93.0 | 93.9 | $71,586 | $83,899 | $81,041 |
| *0001 General Fund* | | | | – | *10,230* | – |
| *0516 Harbors and Watercraft Revolving Fund* | | | | *66,383* | *67,688* | *74,945* |
| *0577 Abandoned Watercraft Abatement Fund* | | | | *471* | *400* | *750* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3680 DEPARTMENT OF BOATING AND WATERWAYS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *Less transfer from the Harbors and Watercraft Revolving Fund* | *−$1,000* | – | *−$750* |
| *0890 Federal Trust Fund* | *5,621* | *$5,581* | *6,081* |
| *0995 Reimbursements* | *111* | – | *15* |
| *3001 Public Beach Restoration Fund* | – | *10,000* | – |
| *Less transfer from the General Fund* | – | *−10,000* | – |

## 10 BOATING FACILITIES

### Program Objectives Statements

The Boating Facilities program expands and improves public and boater access to California's waterways. To accomplish this, the Department (a) grants funds to cities, counties and other governmental agencies for the design and construction of boat launching facilities, floating restrooms, and vessel sewage pumpouts, (b) loans funds to cities, counties, and districts for the planning, design and construction of small craft harbors, and provides loans to businesses for the development of recreational marinas, (c) plans, designs, and constructs boating facilities on State-owned or controlled properties, (d) provides aquatic weed control in the Sacramento-San Joaquin Delta, its tributaries and the Suisun Marsh, and (e) grants funds, on a cost-sharing basis, to local and Federal agencies to finance beach erosion control measures to protect coastal resources.

### Major Budget Adjustments Proposed for 2001–02

- $25,923,000 Harbors and Watercraft Revolving Fund for local assistance launching facility grants.
- $24,686,000 Harbors and Watercraft Revolving Fund for public small craft harbor and private marina loans.

### PROGRAM REQUIREMENTS
### 10 BOATING FACILITIES

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0516 Harbors and Watercraft Revolving Fund* | *$8,026* | *$10,981* | *$10,937* |
| *0890 Federal Trust Fund* | *866* | *1,110* | *1,110* |
| *0995 Reimbursements* | – | – | – |
| Totals, State Operations | $8,892 | $12,091 | $12,047 |
| Local Assistance: | | | |
| *0516 Harbors and Watercraft Revolving Fund* | *44,852* | *43,721* | *50,609* |
| *0890 Federal Trust Fund* | *1,158* | *1,553* | *2,053* |
| Totals, Local Assistance | $46,010 | $45,274 | $52,662 |
| Totals, State Operations and Local Assistance for Boating Facilities | $54,902 | $57,365 | $64,709 |

## 20 BOATING OPERATIONS

### Program Objectives Statement

The Boating Operations program protects the public's right to safe and enjoyable boating on the waters of California. This includes: promoting boating safety and education; assisting local boating law enforcement agencies; ensuring uniformity in boating regulations; and licensing for-hire boat operators and yacht and ship brokers.

The department gathers statistical information on boating accidents to monitor accident trends and problem areas, and to research and document causal factors of accidents. To inform the public of preventative measures, the department publishes and distributes Boating safety literature and educational materials.

The department provides continuous coordination with over 700 municipal and justice courts and over 100 enforcement agencies to ensure an acceptable level of uniformity in boating law enforcement.

### Major Budget Adjustments Proposed for 2001–02

- $750,000 Abandoned Watercraft Abatement Fund to provide grants to local agencies to remove abandoned vessels.
- $150,000 Harbors and Watercraft Revolving Fund and one position to provide boating safety outreach programs to the public, as recommended by the Boating Safety Advisory Committee.

### PROGRAM REQUIREMENTS
### 20 BOATING OPERATIONS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0516 Harbors and Watercraft Revolving Fund* | *$3,524* | *$3,663* | *$3,720* |
| *0890 Federal Trust Fund* | *2,699* | *2,043* | *2,043* |
| *0995 Reimbursements* | *111* | – | *15* |
| Totals, State Operations | $6,334 | $5,706 | $5,778 |
| Local Assistance: | | | |
| *0516 Harbors and Watercraft Revolving Fund* | *8,953* | *8,100* | *8,850* |
| *0577 Abandoned Watercraft Abatement Fund* | *471* | *400* | *750* |
| *Less transfer from the Harbors and Watercraft Revolving Fund* | *−1,000* | – | *−750* |
| *0890 Federal Trust Fund* | *898* | *875* | *875* |
| Totals, Local Assistance | $9,322 | $9,375 | $9,725 |
| Totals, State Operations and Local Assistance for Boating Operations | $15,656 | $15,081 | $15,503 |

*** Dollars in thousands, except in Salary Range.**

## 3680 DEPARTMENT OF BOATING AND WATERWAYS—Continued

### 30 BEACH EROSION CONTROL

### Program Objectives Statement

The Beach Erosion Control program strives to mitigate coastal erosion through beach enhancement and restoration. California's beaches provide important infrastructure for recreation, tourism, and shoreline protection. The department provides advice to local, state, and federal governments on the need to protect and maintain critical areas from erosion, and for cooperating with all levels of government in programs to provide restoration.

The program involves cooperative efforts with the federal government, state agencies, and local agencies to study and report on problems of beach erosion. Regional beach restoration erosion projects are constructed by the U.S. Army Corps of Engineers in cooperation with state and local agencies. Localized beach restoration is typically constructed by local agencies with state cooperation.

### PROGRAM REQUIREMENTS
### 30 BEACH EROSION CONTROL

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations: | | | |
| *0516 Harbors and Watercraft Revolving Fund (State Operations)* | *$273* | *$263* | *$209* |
| Totals, State Operations | $273 | $263 | $209 |
| Local Assistance: | | | |
| *0001 General Fund* | – | *10,230* | – |
| *0516 Harbors and Watercraft Revolving Fund* | *755* | *960* | *620* |
| *3001 Public Beach Restoration Fund* | – | *10,000* | – |
| *Less transfer from the General Fund* | – | *–10,000* | – |
| Totals, Local Assistance | $755 | $11,190 | $620 |
| Totals, State Operations and Local Assistance for Beach Erosion Control | $1,028 | $11,453 | $829 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 76.2 | 96.6 | 96.6 | $3,755 | $4,504 | $4,626 |
| Total Adjustments | – | – | 1.0 | – | – | 52 |
| Estimated Salary Savings | – | –3.6 | –3.7 | – | –133 | –136 |
| Net Totals, Salaries and Wages | 76.2 | 93.0 | 93.9 | $3,755 | $4,371 | $4,542 |
| Staff Benefits | – | – | – | 684 | 1,133 | 1,137 |
| Totals, Personal Services | 76.2 | 93.0 | 93.9 | $4,439 | $5,504 | $5,679 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $11,060 | $12,556 | $12,355 |
| TOTALS, EXPENDITURES | | | | $15,499 | $18,060 | $18,034 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0516 Harbors and Watercraft Revolving Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $12,336 | $14,945 | $14,866 |
| Allocation for employee compensation | 355 | 13 | – |
| Adjustments per Section 3.60 | –243 | –51 | – |
| Totals Available | $12,448 | $14,907 | $14,866 |
| Unexpended balance, estimated savings | –625 | – | – |
| TOTALS, EXPENDITURES | $11,823 | $14,907 | $14,866 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $4,683 | $3,153 | $3,153 |
| Budget adjustments | –1,118 | – | – |
| TOTALS, EXPENDITURES | $3,565 | $3,153 | $3,153 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $111 | – | $15 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $15,499 | $18,060 | $18,034 |

*** Dollars in thousands, except in Salary Range.**

## 3680 DEPARTMENT OF BOATING AND WATERWAYS—Continued

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 661701 | Grants and subventions | $48,498 | $35,953 | $38,321 |
| 664731 | Loans | 7,589 | 29,886 | 24,686 |
| TOTALS, EXPENDITURES | | $56,087 | $65,839 | $63,007 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation (For transfer to the Public Beach Restoration Fund-3001) | – | $10,000 | – |
| 102 Budget Act appropriation | – | 230 | – |
| TOTALS, EXPENDITURES | – | $10,230 | – |

### 0516 Harbors and Watercraft Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $55,134 | $51,821 | $59,329 |
| Public small craft harbor loans | (26,666) | (24,886) | (19,686) |
| Launching facility grants | (16,613) | (13,835) | (25,923) |
| Boating safety and enforcement | (8,100) | (8,100) | (8,100) |
| Private marina loans | (3,000) | (5,000) | (5,000) |
| Beach Erosion | (755) | – | (620) |
| 102 Budget Act appropriation (For transfer to the Abandoned Watercraft Abatement Fund-0577) | 1,000 | – | 750 |
| Chapter 1003, Statutes of 1999 | 120 | – | – |
| Prior year balances available: | | | |
| Item 3680-101-0516, Budget Act of 1997 as reappropriated by Item 3680-491, Budget Act of 2000 | – | 960 | – |
| Totals Available | $56,254 | $52,781 | $60,079 |
| Unexpended balance, estimated savings | −1,694 | – | – |
| TOTALS, EXPENDITURES | $54,560 | $52,781 | $60,079 |

### 0577 Abandoned Watercraft Abatement Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $1,000 | $400 | $750 |
| Unexpended balance, estimated savings | −529 | – | – |
| TOTALS, EXPENDITURES | $471 | $400 | $750 |
| Less funding provided by the Harbors and Watercraft Revolving Fund-0516 (Item 3680-102-0516) | −1,000 | – | −750 |
| NET TOTALS, EXPENDITURES | −$529 | $400 | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $2,528 | $2,428 | $2,928 |
| Budget adjustment | −472 | – | – |
| TOTALS, EXPENDITURES | $2,056 | $2,428 | $2,928 |

### 3001 Public Beach Restoration Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | – | $10,000 | – |
| Less funding provided by the General Fund (Item 3680-101-0001) | – | −10,000 | – |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $56,087 | $65,839 | $63,007 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $71,586 | $83,899 | $81,041 |

*** Dollars in thousands, except in Salary Range.**

## 3680 DEPARTMENT OF BOATING AND WATERWAYS—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 4 UNCLASSIFIED
### 0061 Motor Vehicle Fuel Account, Transportation Tax Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Section 8352.4, Revenue and Taxation Code, provides for a transfer from the Motor Vehicle Fuel Account to the Harbors and Watercraft Revolving Fund. Appropriation expenditures are from the Harbors and Watercraft Revolving Fund | $33,488 | $34,325 | $35,183 |

### FUND CONDITION STATEMENT
### 0516 Harbors and Watercraft Revolving Fund [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $47,142 | $57,249 | $38,127 |
| Prior year adjustments | 19,383 | – | – |
| Balance, Adjusted | $66,525 | $57,249 | $38,127 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214000 Interest on public loans for small craft harbors | 6,638 | 7,396 | 7,396 |
| 214000 Interest on private loans | 1,492 | 1,300 | 1,300 |
| 216000 Boat registration fees | 11,908 | 3,000 | 11,600 |
| 216000 Fees and licenses | 120 | 107 | 107 |
| 217000 License fees and penalties | 7 | 7 | 7 |
| 250300 Interest from Surplus Money Investment Fund | 8,053 | 5,700 | 5,700 |
| 261900 Escheat of Unclaimed Checks, Warrants | 9 | – | – |
| 530000 Public loan repayments | 4,580 | 6,206 | 6,206 |
| 530000 Private loan repayments | 2,213 | 1,180 | 1,180 |
| 299000 Miscellaneous revenue | 103 | – | – |
| Totals, Operating Revenues | $35,123 | $24,896 | $33,496 |
| Transfers from Other Funds: | | | |
| F00061 Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code Section 8352.4 | 33,488 | 34,325 | 35,183 |
| F00942 Special Deposit Fund per Section 12.20 Budget Acts of 1996 and 1997 | – | 4,181 | – |
| Totals, Transfers from Other Funds | $33,488 | $38,506 | $35,183 |
| Totals, Revenues and Transfers | $68,611 | $63,402 | $68,679 |
| Totals, Resources | $135,136 | $120,651 | $106,806 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 2740 Department of Motor Vehicles (State Operations) | 3,901 | 1,787 | 4,685 |
| 3110 Tahoe Regional Planning Agency (Local Assistance) | 171 | 124 | 124 |
| 3600 Department of Fish and Game (State Operations) | 3 | 5 | 5 |
| 3640 Wildlife Conservation Board (Capital Outlay) | – | 1,050 | – |
| 3680 Department of Boating and Waterways: | | | |
| State Operations | 11,823 | 14,907 | 14,866 |
| Local Assistance | 54,560 | 52,781 | 60,079 |
| Capital Outlay | 5,634 | 9,307 | 8,541 |
| 3790 Department of Parks and Recreation: | | | |
| State Operations | 631 | 650 | 620 |
| Capital Outlay | 5 | 574 | – |
| 3840 Delta Protection Commission (State Operations) | 125 | 184 | 169 |
| 3940 State Water Resources Control Board | 98 | 207 | – |
| 8570 Department of Food and Agriculture (State Operations) | 936 | 948 | 947 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | – | – | 3 |
| Totals, Expenditures | $77,887 | $82,524 | $90,039 |
| FUND BALANCE | $57,249 | $38,127 | $16,767 |

*** Dollars in thousands, except in Salary Range.**

## 3680 DEPARTMENT OF BOATING AND WATERWAYS—Continued

| 0577 Abandoned Watercraft Abatement Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $853 | $1,382 | $982 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3680 Department of Boating and Waterways (Local Assistance) | 471 | 400 | 750 |
| Expenditure Reductions: | | | |
| 3680 Department of Boating and Waterways (Local Assistance): | | | |
| Less funding provided by the Harbors and Watercraft Revolving Fund | −1,000 | – | −750 |
| Totals, Expenditures | −$529 | $400 | – |
| FUND BALANCE | $1,382 | $982 | $982 |
| Reserve for economic uncertainties | 1,382 | 982 | 982 |

| 3001 Public Beach Restoration Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3680 Department of Boating and Waterways (Local Assistance) | – | $10,000 | – |
| Expenditure Reductions: | | | |
| 3680 Department of Boating and Waterways (Local Assistance): | | | |
| Less funding provided by the General Fund | – | −10,000 | – |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 76.2 | 96.6 | 96.6 | $3,755 | $4,504 | $4,626 |
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | – | – | 52 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $52 |
| Total Adjustments | – | – | 1.0 | – | – | $52 |
| TOTALS, SALARIES AND WAGES | 76.2 | 96.6 | 97.6 | $3,755 | $4,504 | $4,678 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

## 50 CAPITAL OUTLAY

### PROGRAM ELEMENTS

### Major Capital Outlay Budget Adjustment Proposed for 2001–02

- $8.54 million from the Harbors and Watercraft Revolving Fund for one major boat launching facility project, three boating instruction and safety centers and a minor capital outlay program. These projects will enhance boating access and safety at state parks, beaches and reservoirs.

### Major Projects

| | | | |
|---|---|---|---|
| 50.04 Lake Oroville SRA, Spillway | | | |
| 50.04.020 Boat Launching Facility | $140 [P] | $2,354 [C] | – |
| 50.10 Millerton Lake SRA (Crows Nest Area) | | | |
| 50.10.010 Boat Launching Facility | 90 [W] | 1,395 [C] | – |
| 50.12 Humboldt Bay | | | |
| 50.12.020 Boat Instruction and Safety Center | – | – | $245 [P] |
| 50.19 Castaic Lake SRA (East Ramp Area) | | | |
| 50.19.011 Boat Launching Facility | – | – | 2,816 [C] |
| 50.19 Castaic Lake SRA (Paradise Cove Area) | | | |
| 50.19.012 Boat Instruction and Safety Center | 128 [P] | 132 [W] | 1,680 [C] |
| 50.19 Pyramid Lake SRA-Emigrant Landing | | | |
| 50.19.030.980 Boat Launching Facility | 994 [C] | – | – |
| 50.24 San Luis Reservoir-O'Neill Forebay (Phase I) (Medeiros Area) | | | |
| 50.24.030 Boat Launching Facility | – | 1,227 [C] | – |
| 50.31 Folsom Lake SRA, Granite Bay | | | |
| 50.31.010.970 Boat Launching Facility | 1,544 [C] | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3680 DEPARTMENT OF BOATING AND WATERWAYS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 50.34 Lake Natoma, Nimbus Flat | | | |
| 50.34.030.980 Boating Instruction and Safety Center | $147 [W] | $2,444 [C] | – |
| 50.56 Channel Islands | | | |
| 50.56.010 Boating Instruction and Safety Center [B] | – | – | $233 [P] |
| 50.99.010 Project Planning | 60 | 125 | 135 |
| Totals, Major Projects | $3,103 | $7,677 | $5,109 |
| **Minor Projects** | | | |
| 50.99.020 Minor Projects | $2,531 | $1,630 | $3,432 |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $5,634 | $9,307 | $8,541 |
| *0516 Harbors and Watercraft Revolving Fund* | *5,634* | *9,307* | *8,541* |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0516 Harbors and Watercraft Revolving Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $7,828 | $9,307 | $8,541 |
| Prior year balances available: | | | |
| Item 3680-301-0516, Budget Act of 1998, reappropriated by Item 3680-490, Budget Act of 1999 | 132 [W] | – | – |
| Item 3680-301-0516, Budget Act of 1999 | – | 1,976 | – |
| Transfer to and from Government Code Sections 16351.5 and 16352 | 8 [W] | −1,976 | – |
| Totals Available | $7,968 | $9,307 | $8,541 |
| Balance available in subsequent years | −1,976 | – | – |
| Unexpended balance, estimated savings | −358 | – | – |
| TOTALS, EXPENDITURES (Capital Outlay) | $5,634 | $9,307 | $8,541 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $5,634 | $9,307 | $8,541 |

# 3720 CALIFORNIA COASTAL COMMISSION

The California Coastal Commission manages California's coastal resources. The commission is composed of 16 members, 12 voting and 4 nonvoting. The Governor, the Senate Rules Committee, and the Speaker of the Assembly with confirmation of the Assembly Rules Committee each appoint 2 public members and 2 locally elected officials. The 4 nonvoting members are the Secretary for Resources, the Secretary for Business, Transportation and Housing, the Secretary for Trade and Commerce, and the chairperson of the State Lands Commission.

The Coastal Act of 1976 established policies with which ''coastal zone'' conservation and development decisions must comply. The ''coastal zone'' extends three miles seaward and generally about two miles inland. In particularly important and generally undeveloped areas where there can be a considerable impact on the coastline from inland development, the coastal zone extends as much as twelve miles inland. In developed urban areas, the coastal zone extends considerably less than 1,000 yards inland. The commission's jurisdiction does not extend into or around San Francisco Bay, where development is regulated by the San Francisco Bay Conservation and Development Commission.

The Coastal Act addresses public access to the coast, coastal recreation, the marine environment, coastal land resources, and coastal development of various types, including energy facilities and other industrial development. Each local government within the coastal zone is required to prepare a local coastal plan (LCP) which is submitted to the commission for review and certification. Until the LCP is certified, virtually all development within the coastal zone requires a coastal permit from the commission and a local permit from the city or county in which the development would be located. After certification of an LCP, the commission's regulatory authority over most types of development is delegated to the local government, subject to limited appeal to the commission. An alternative process is available to local government to assume authority for regulating most coastal development upon the certification of the land use plan portion of its LCP. Under this option, all local decisions on coastal development permits are subject to appeal to the commission.

The Coastal Commission is also the designated State coastal management agency for the purpose of administering the federal Coastal Zone Management Act in California. Under the federal law, California receives financial assistance to develop and implement the federally approved California Coastal Management Program. The Federal Coastal Act gives the commission authority over federal activities otherwise not subject to State control.

## Authority

Public Resources Code, Division 20, Sections 30000 et seq. and USC 1456 (Section 307, Federal Coastal Zone Management Act of 1972).

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3720 CALIFORNIA COASTAL COMMISSION—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Coastal Management Program | 104.2 | 115.5 | 119.3 | $12,410 | $15,306 | $14,841 |
| 20 Coastal Energy Program | 6.0 | 6.0 | 6.0 | 519 | 603 | 881 |
| 30 Administration | 27.7 | 27.7 | 27.7 | 1,738 | 2,034 | 1,650 |
| Distributed Administration | – | – | – | −1,277 | −1,569 | −1,569 |
| 98 State Mandated Local Programs | – | – | – | – | – | – |
| TOTALS, PROGRAMS | 137.9 | 149.2 | 153.0 | $13,390 | $16,374 | $15,803 |
| *0001 General Fund* | | | | *9,454* | *12,161* | *11,291* |
| *0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund* | | | | *377* | *730* | *746* |
| *0890 Federal Trust Fund* | | | | *2,772* | *3,018* | *2,900* |
| *0995 Reimbursements* | | | | *787* | *465* | *866* |

### 10 COASTAL MANAGEMENT PROGRAM

#### Program Objectives Statement

This program manages and protects California's coastal resources. Activities include: preparation and certification of LCPs; interim regulation of coastal zone development; the permanent regulation of development on tidelands, submerged lands, and public trust lands; monitoring, enforcement, and handling of appeals of certain local regulatory decisions; reviewing federal projects and activities for consistency with the California Coastal Management Program; the operation of a program to protect and increase usable areas for public access to the coastline; and the provision of technical information and assistance to local governments in the implementation of their LCPs.

#### Authority

Public Resources Code, Division 20, Sections 30000 et seq.

### 20 COASTAL ENERGY PROGRAM

#### Program Objectives Statement

This program addresses State and national energy concerns, which are afforded special treatment in the Coastal Act and the federal Coastal Zone Management Act. These concerns are met by including an energy component in the LCPs of local jurisdictions facing significant energy development; regulating coastal energy development under special conditions which allow approval even if a development would not meet other policies of the act; regulating oil and gas drilling offshore to ensure that drilling will be consistent with the California Coastal Management Program; and designating, every five years, areas where the location of thermal electric generating plants would conflict with the objectives of the Coastal Act.

#### Authority

Public Resources Code, Division 20, Sections 30000 et seq. and U.S.C. 1456 (Section 307, Federal Coastal Zone Management Act of 1972).

### 98 STATE-MANDATED LOCAL PROGRAMS

#### Program Objectives Statement

This budget proposes to continue the suspension of the "Local Coastal Plans" mandate (Ch. 1330/76) which was first suspended in 1993–94.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 COASTAL MANAGEMENT PROGRAM

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$8,435* | *$10,908* | *$10,685* |
| *0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund* | *247* | *371* | *387* |
| *0890 Federal Trust Fund* | *2,772* | *3,018* | *2,900* |
| *0995 Reimbursement* | *326* | *–* | *510* |
| Totals, State Operations | $11,780 | $14,297 | $14,482 |
| Local Assistance: | | | |
| *0001 General Fund* | *500* | *650* | *–* |
| *0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund* | *130* | *359* | *359* |
| Totals, Local Assistance | $630 | $1,009 | $359 |
| Totals, State Operations and Local Assistance for Coastal Management Program | $12,410 | $15,306 | $14,841 |

*** Dollars in thousands, except in Salary Range.**

## 3720 CALIFORNIA COASTAL COMMISSION—Continued

| ELEMENT REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10.10 Regulation of Coastal Development | $5,300 | $5,516 | $5,412 |
| State Operations: | | | |
| *0001 General Fund* | *4,226* | *4,411* | *4,004* |
| *0890 Federal Trust Fund* | *1,049* | *1,105* | *1,098* |
| *0995 Reimbursements* | *25* | *–* | *310* |
| 10.20 Local Coastal Program | 4,121 | 5,288 | 5,089 |
| State Operations: | | | |
| *0001 General Fund* | *2,225* | *3,203* | *3,665* |
| *0890 Federal Trust Fund* | *1,213* | *1,435* | *1,324* |
| *0995 Reimbursement* | *183* | *–* | *100* |
| Local Assistance: | | | |
| *0001 General Fund* | *500* | *650* | *–* |
| 10.30 Planning and Support Studies | 1,817 | 2,715 | 2,520 |
| State Operations: | | | |
| *0001 General Fund* | *1,725* | *2,615* | *2,420* |
| *0890 Federal Trust Fund* | *92* | *100* | *100* |
| 10.40 Federal Coastal Management Program | 418 | 333 | 333 |
| State Operations: | | | |
| *0890 Federal Trust Fund* | *418* | *333* | *333* |
| 10.50 Coastal Access Program | 207 | 554 | 487 |
| State Operations: | | | |
| *0001 General Fund* | *207* | *509* | *442* |
| *0890 Federal Trust Fund* | *–* | *45* | *45* |
| 10.60 Coastal Resources Information Center | 547 | 900 | 1,000 |
| State Operations: | | | |
| *0001 General Fund* | *52* | *170* | *154* |
| *0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund* | *247* | *371* | *387* |
| *0995 Reimbursements* | *118* | *–* | *100* |
| Local Assistance: | | | |
| *0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund* | *130* | *359* | *359* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 COASTAL ENERGY PROGRAM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$519* | *$603* | *$606* |
| *0995 Reimbursements* | *–* | *–* | *275* |
| Totals, State Operations | $519 | $603 | $881 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 ADMINISTRATION AND SUPPORT ACTIVITIES** | | | |
| Undistributed Administration: | | | |
| State Operations: | | | |
| *0995 Reimbursements* | *$461* | *$465* | *$81* |
| **PROGRAM REQUIREMENTS** | | | |
| **98 STATE-MANDATED LOCAL PROGRAMS** | | | |
| Local Assistance: | | | |
| Budget Act: | | | |
| Ch. 1330/76-Local Coastal Plans [1] | – | – | – |
| Carryover from Previous Years | – | – | – |
| Totals, Local Assistance for State-Mandated Local Programs | – | – | – |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $12,760 | $15,365 | $15,444 |
| Local Assistance | 630 | 1,009 | 359 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $13,390 | $16,374 | $15,803 |

[1] Mandate suspended pursuant to Government Code Section 17581.

*** Dollars in thousands, except in Salary Range.**

## 3720 CALIFORNIA COASTAL COMMISSION—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 137.9 | 157.1 | 156.1 | $7,272 | $8,683 | $8,865 |
| Total Adjustments | – | – | 5.0 | – | 3 | 270 |
| Estimated Salary Savings | – | –7.9 | –8.1 | – | –434 | –457 |
| Net Totals, Salaries and Wages | 137.9 | 149.2 | 153.0 | $7,272 | $8,252 | $8,678 |
| Staff Benefits | – | – | – | 1,211 | 1,322 | 1,388 |
| Totals, Personal Services | 137.9 | 149.2 | 153.0 | $8,483 | $9,574 | $10,066 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,277 | $5,791 | $5,378 |
| TOTALS, EXPENDITURES | | | | $12,760 | $15,365 | $15,444 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $8,644 | $11,463 | $11,191 |
| Allocation for employee compensation | 636 | 36 | – |
| Adjustment per Section 3.60 | –419 | –88 | – |
| Adjustment per Section 16.00 | 5 | – | – |
| Prior year balances available: | | | |
| Chapter 897, Statutes of 1997 | 100 | 100 | 100 |
| Totals, Available | $8,966 | $11,511 | $11,291 |
| Unexpended balance, estimated savings | –12 | – | – |
| TOTALS, EXPENDITURES | $8,954 | $11,511 | $11,291 |

### 0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $260 | $372 | $387 |
| Allocation for employee compensation | 5 | 1 | – |
| Adjustment per Section 3.60 | –3 | –2 | – |
| Totals, Available | $262 | $371 | $387 |
| Unexpended balance, estimated savings | –15 | – | – |
| TOTALS, EXPENDITURES | $247 | $371 | $387 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,008 | $3,023 | $2,900 |
| Allocation for employee compensation | 20 | 2 | – |
| Adjustment per Section 3.60 | –13 | –7 | – |
| Budget adjustment | –243 | – | – |
| TOTALS, EXPENDITURES | $2,772 | $3,018 | $2,900 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $787 | $465 | $866 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $12,760 | $15,365 | $15,444 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $500 | $650 | – |
| 295 Budget Act appropriation (State Mandates) [1] | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3720 CALIFORNIA COASTAL COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapter 780, Statutes of 1998 (State Mandates) | $3 | – | – |
| Adjustment per Government Code Section 17613 | −3 | – | – |
| TOTALS, EXPENDITURES | $500 | $650 | – |

### 0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (expenditures) | $130 | $359 | $359 |
| TOTALS, EXPENDITURES (Local Assistance) | $630 | $1,009 | $359 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $13,390 | $16,374 | $15,803 |

[1] Mandates suspended pursuant to Government Code 17581.

## FUND CONDITION STATEMENT

### 0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $577 | $713 | $832 |
| REVENUE AND TRANSFERS | | | |
| Revenues: | | | |
| 143000 Personalized License Plates | 659 | 849 | 1,002 |
| Totals, Resources | $1,236 | $1,562 | $1,834 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3720 California Coastal Commission: | | | |
| State Operations | 247 | 371 | 387 |
| Local Assistance | 130 | 359 | 359 |
| 3760 State Coastal Conservancy (Capital Outlay) | 146 | – | 400 |
| Totals, Expenditures | $523 | $730 | $1,146 |
| FUND BALANCE | $713 | $832 | $688 |
| Reserve for economic uncertainties | 713 | 832 | 688 |

## CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 137.9 | 157.1 | 156.1 | $7,272 | $8,683 | $8,865 |
| Salary adjustments | – | – | – | – | 3 | 9 |
| Totals, Adjusted Authorized Positions | 137.9 | 157.1 | 156.1 | $7,272 | $8,686 | $8,874 |
| Proposed New Positions: | | | | | | |
| Coastal Management Program: | | | | Salary Range | | |
| Coastal Prog Analyst II | – | – | 4.0 | 4,110–4,997 | – | 218 |
| Staff Svcs Analyst [1] | – | – | 1.0 | 3,255–3,957 | – | 43 |
| Totals, Proposed New Positions | – | – | 5.0 | – | – | $261 |
| Total Adjustments | – | – | 5.0 | – | $3 | $270 |
| TOTALS, SALARIES AND WAGES | 137.9 | 157.1 | 161.1 | $7,272 | $8,686 | $9,135 |

[1] Position limited-term to 6/30/02.

*** Dollars in thousands, except in Salary Range.**

# 3760 STATE COASTAL CONSERVANCY

## Program Objectives Statement

The State Coastal Conservancy develops and implements programs to protect, restore and enhance resources in the coastal zone pursuant to the California Coastal Act of 1976. The State Coastal Conservancy:

a) acquires agricultural lands to prevent the loss of such lands to other uses and to assemble such lands into parcels of adequate size to permit continued agricultural production;

b) provides grants to local agencies for, or undertakes, projects designed to restore areas which may be adversely affecting the coastal environment or impeding orderly development due to poor lot layout, scattered ownerships, incompatible land uses, or other conditions;

c) awards grants to local or State public agencies for, or undertakes, projects designed to enhance natural and scenic values threatened by dredging or filling, improper location of improvements and other conditions;

d) undertakes projects in the preservation of significant coastal resource areas until other public agencies are willing or able to acquire such sites; and,

e) awards grants to local public agencies for the purpose of acquiring and developing public accessways to the coast.

## Major Budget Adjustment Proposed for 2001–02

- $285,000 General Fund and 3 limited-term positions to support the Conservancy's California Coastal Trail Program.

| SUMMARY OF PROGRAM REQUIREMENTS | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 15 | Coastal Resource Development | 20.4 | 23.4 | 26.3 | $2,724 | $3,464 | $3,362 |
| 25 | Coastal Resource Enhancement | 10.8 | 12.5 | 12.5 | 1,859 | 2,155 | 2,171 |
| 90.01 | Administration | 16.8 | 21.3 | 21.3 | 1,310 | 2,034 | 2,158 |
| 90.02 | Distributed Administration | – | – | – | –1,310 | –2,034 | –2,158 |
| TOTALS, PROGRAMS | | 48.0 | 57.2 | 60.1 | $4,583 | $5,619 | $5,533 |
| *0001* | *General Fund* | | | | *1,647* | *2,295* | *2,549* |
| *0005* | *Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | | | | – | – | *1,783* |
| *0565* | *State Coastal Conservancy Fund* | | | | *2,725* | *3,088* | *989* |
| *0786* | *California Wildlife, Coastal and Park Land Conservation Fund of 1988* | | | | – | *27* | – |
| *0890* | *Federal Trust Fund* | | | | *112* | *107* | *108* |
| *0995* | *Reimbursements* | | | | *99* | *102* | *104* |

## 15 COASTAL RESOURCE DEVELOPMENT PROGRAM

### Program Objectives Statement

The Coastal Resource Development Program preserves coastal agricultural land in farming use; assists in the design or redesign of subdivisions and waterfronts to encourage appropriate private development and public and commercial use; protects and provides public accessways to coastal lands with high scenic, recreational or habitat value and land along the coast and bay shore; and acquires important coastal resource lands for eventual conveyance to public agencies or qualified nonprofit organizations.

### Authority

Public Resources Code Division 21, Chapter 5, Sections 31150–31356.

## 25 COASTAL RESOURCE ENHANCEMENT

### Program Objectives Statement

The Coastal Resource Enhancement Program creates new fish and wildlife habitats and restores and enhances watersheds, wetlands, riparian corridors, and other existing habitat areas. The program provides technical assistance and mediation to resolve land use conflicts in a manner that preserves and restores existing resources or establishes new habitats. The program also provides funding for planning and implementing resource restoration and enhancement projects.

### Authority

Public Resources Code Division 21, Chapter 5, Sections 31251–31270.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 15 COASTAL RESOURCES DEVELOPMENT

| State Operations: | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| *0001* | *General Fund* | *$941* | *$1,446* | *$1,529* |
| *0005* | *Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | – | – | *1,184* |
| *0565* | *State Coastal Conservancy Fund* | *1,783* | *1,935* | *593* |
| *0786* | *California Wildlife, Coastal and Park Land Fund of 1988* | – | *17* | – |
| *0890* | *Federal Trust Fund* | – | *33* | *23* |
| *0995* | *Reimbursements* | – | *33* | *33* |
| Totals, State Operations | | $2,724 | $3,464 | $3,362 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3760 STATE COASTAL CONSERVANCY—Continued

### ELEMENT REQUIREMENTS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 15.10 Public Access and Waterfronts | | | |
| State Operations: | | | |
| *0001 General Fund* | *$376* | *$578* | *$612* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | *–* | *–* | *645* |
| *0565 State Coastal Conservancy Fund* | *713* | *774* | *237* |
| *0786 California Wildlife, Coastal and Park Land Fund of 1988* | *–* | *7* | *–* |
| *0890 Federal Trust Fund* | *–* | *13* | *13* |
| *0995 Reimbursements* | *–* | *17* | *17* |
| Totals, State Operations | $1,089 | $1,389 | $1,524 |
| 15.20 Land Use Conservation | | | |
| State Operations: | | | |
| *0001 General Fund* | *565* | *868* | *917* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | *–* | *–* | *539* |
| *0565 State Coastal Conservancy Fund* | *1,070* | *1,161* | *356* |
| *0786 California Wildlife, Coastal and Park Land Fund of 1988* | *–* | *10* | *–* |
| *0890 Federal Trust Fund* | *–* | *20* | *10* |
| *0995 Reimbursements* | *–* | *16* | *16* |
| Totals, State Operations | $1,635 | $2,075 | $1,838 |

### PROGRAM REQUIREMENTS

### 25 COASTAL RESOURCE ENHANCEMENT

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$706* | *$849* | *$1,020* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | *–* | *–* | *599* |
| *0565 State Coastal Conservancy Fund* | *942* | *1,153* | *396* |
| *0786 California Wildlife, Coastal and Park Land Fund of 1988* | *–* | *10* | *–* |
| *0890 Federal Trust Fund* | *112* | *74* | *85* |
| *0995 Reimbursements* | *99* | *69* | *71* |
| Totals, State Operations | $1,859 | $2,155 | $2,171 |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $4,583 | $5,619 | $5,533 |

---

### SUMMARY BY OBJECT

### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 48.0 | 59.7 | 59.7 | $2,553 | $3,261 | $3,334 |
| Total Adjustments | – | – | 3.0 | – | – | 176 |
| Estimated Salary Savings | – | –2.5 | –2.6 | – | –137 | –146 |
| Net Totals, Salaries and Wages | 48.0 | 57.2 | 60.1 | $2,553 | $3,124 | $3,364 |
| Staff Benefits | – | – | – | 643 | 778 | 548 |
| Totals, Personal Services | 48.0 | 57.2 | 60.1 | $3,196 | $3,902 | $3,912 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,258 | $1,711 | $1,615 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Lease payments to county | | | | 4 | 6 | 6 |
| Pre-project feasibility | | | | 125 | – | – |
| Totals, Special Items of Expense | | | | $129 | $6 | $6 |
| TOTALS, EXPENDITURES | | | | $4,583 | $5,619 | $5,533 |

*** Dollars in thousands, except in Salary Range.**

## 3760 STATE COASTAL CONSERVANCY—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,499 | $2,306 | $2,549 |
| Allocation for employee compensation | 77 | 4 | – |
| Allocation for contingencies or emergencies | 120 | – | – |
| Adjustment per Section 3.60 | –50 | –15 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $1,647 | $2,295 | $2,549 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | – | $1,783 |

### 0565 State Coastal Conservancy Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,720 | $2,802 | $989 |
| Allocation for employee compensation | 141 | 6 | – |
| Adjustment per Section 3.60 | –95 | –20 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Transfer from Capital Outlay per Provision 2, Budget Act of 2000 | – | 300 | – |
| Totals Available | $2,767 | $3,088 | $989 |
| Unexpended balance, estimated savings | –42 | – | – |
| TOTALS, EXPENDITURES | $2,725 | $3,088 | $989 |

### 0786 California Wildlife, Coastal and Park Land Conservation Fund of 1988 [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $27 | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $101 | $108 | $108 |
| Allocation for employee compensation | 6 | – | – |
| Adjustment per Section 3.60 | – | –1 | – |
| Budget adjustment | 5 | – | – |
| TOTALS, EXPENDITURES | $112 | $107 | $108 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $99 | $102 | $104 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $4,583 | $5,619 | $5,533 |

### FUND CONDITION STATEMENT
### 0565 State Coastal Conservancy Fund [n]

| BEGINNING BALANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State, Urban and Coastal Park Bond Act of 1976 (Sec. 5096.125, Public Resources Code) | $10,352 | $8,698 | $6,410 |
| Main account | (8,170) | (6,617) | (4,534) |
| Hollister Ranch (in lieu fees) | (165) | (165) | (165) |
| Violation Remediation Account (fines and penalties) | (590) | (489) | (284) |
| Carlsbad (mitigation fees) | (1,427) | (1,427) | (1,427) |
| Prior year adjustments (main account) | – | – | – |
| Prior year adjustments (mitigation fees) | –25 | – | – |
| Balance, Adjusted | $10,327 | $8,698 | $6,410 |

*** Dollars in thousands, except in Salary Range.**

## 3760 STATE COASTAL CONSERVANCY—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 200600 Mitigation fees (Carlsbad) | – | – | – |
| 214000 Interest income from loans | $11 | $4 | $2 |
| 217000 Fines and penalties (Violation Remediation Account) | – | 40 | 40 |
| 261900 Unclaimed checks | 1 | – | – |
| 299900 Estimated repayments (main account) | 1,619 | 1,201 | 473 |
| Totals, Operating Revenues | $1,631 | $1,245 | $515 |
| Totals, Resources | $11,958 | $9,943 | $6,925 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3760 State Coastal Conservancy: | | | |
| State Operations (main account) | 2,725 | 3,088 | 989 |
| Capital Outlay (main account) | 459 | 200 | 300 |
| Capital Outlay (Violation Remediation Account) | 76 | 245 | – |
| Totals, Expenditures | $3,260 | $3,533 | $1,289 |
| FUND BALANCE | $8,698 | $6,410 | $5,636 |
| **0593 Coastal Access Account, State Coastal Conservancy Fund [s]** | | | |
| BEGINNING BALANCE | $849 | $1,137 | $371 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 665 | 600 | 600 |
| Totals, Resources | $1,514 | $1,737 | $971 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3760 State Coastal Conservancy (Capital Outlay) | 377 | 1,366 | 500 |
| FUND BALANCE | $1,137 | $371 | $471 |
| Reserve for economic uncertainties | 1,137 | 371 | 471 |
| **0730 State Coastal Conservancy Fund of 1984 [b]** | | | |
| BEGINNING BALANCE | $29 | $29 | $29 |
| FUND BALANCE | $29 | $29 | $29 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 48.0 | 59.7 | 59.7 | $2,553 | $3,261 | $3,334 |
| Proposed New Position: | | | | Salary Range | | |
| Conservancy Project Dev Analyst III [1] | – | – | 3.0 | 3,952–4,804 | – | 176 |
| Totals, Proposed New Position | – | – | 3.0 | – | – | $176 |
| Total Adjustments | – | – | 3.0 | – | – | $176 |
| TOTALS, SALARIES AND WAGES | 48.0 | 59.7 | 62.7 | $2,553 | $3,261 | $3,334 |

[1] 3.0 positions limited-term through June 30, 2003.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

## 20 CAPITAL OUTLAY

### Major Budget Adjustments Proposed for 2001–02

- $5,978,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund to continue project efforts to implement the San Francisco Bay Area Conservancy Program.
- $5,000,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund to continue project efforts to implement the Santa Monica Bay restoration plan.
- $7,500,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund for restoring coastal salmon habitat.
- $2,925,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund for planning and implementation of Coastal Trail Program.

*** Dollars in thousands, except in Salary Range.**

## 3760 STATE COASTAL CONSERVANCY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

- $7,517,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund for restoration of fish and wildlife habitat in Upper Newport Bay, Orange County.
- $9,500,000 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund to purchase approximately 770 acres to complete the assemblage of the Laguna Coast Wilderness Park.

### PROGRAM ELEMENTS

| | | | | |
|---|---|---|---|---|
| 80.00.020 | Public Access | – | $4,275 | – |
| 80.00.021 | Southern California Wetlands Recovery Program | – | 5,000 | $7,950 |
| 80.00.022 | Wetlands Restoration and Acquisition | – | 32,000 | – |
| 80.00.023 | San Francisco Bay Area Conservancy Program | – | 16,988 | – |
| 80.00.024 | Salmon Habitat Restoration Program | – | 7,500 | – |
| 80.00.026 | Santa Monica Bay Restoration Program | – | 5,000 | – |
| 80.00.094 | Hamilton Airfield Wetlands Restoration | – | 13,480 | – |
| 80.01.023 | San Francisco Bay Area Conservancy Program | – | – | 5,978 |
| 80.01.024 | Salmon Habitat Restoration Program | – | – | 7,500 |
| 80.01.026 | Santa Monica Bay Restoration Program | – | – | 5,000 |
| 80.01.027 | Upper Newport Bay Restoration & Protection Program | – | – | 7,517 |
| 80.01.028 | California Coastal Trail Program | – | – | 2,640 |
| 80.01.030 | Laguna Coast Wilderness Park | – | – | 9,500 |
| 80.70.082 | San Luis Obispo and Santa Barbara Counties Coastal Dunes and Wetlands | $377 | 1,668 | 1,668 |
| 80.70.083 | Monterey County Wetlands and Natural Areas | – | 3 | 3 |
| 80.70.084 | San Mateo County Coastal Lands | – | 107 | 108 |
| 80.70.085 | Sonoma County Natural Lands and Wetlands | 200 | 51 | 51 |
| 80.93.015 | Coastal Resource Development | 4,236 | 33,028 | 1,700 |
| 80.93.025 | Coastal Resource Enhancement | 6,803 | 31,894 | 11,650 |
| 80.96.080 | Humboldt Bay South Spit-Planning & Acquisition | – | – | – |
| 80.97.030 | Conservancy Programs | 15,790 | 140,600 | 2,800 |
| 80.97.040 | Challenge Grant Program | 6,400 | 3,600 | – |
| 80.97.060 | Natural Community Conservation Planning Program | 7,168 | 912 | – |
| 80.97.070 | Ocean and Coastal Resources Initiative | 5,413 | 2,433 | – |
| 80.97.090 | River Parkway Program, Los Angeles River and Tributaries | 1,876 | – | – |
| 80.97.091 | River Parkway Program, Napa River | 950 | – | – |
| 80.97.092 | River Parkway Program, Otay River | – | – | – |
| 80.97.093 | River Parkway Program, Russian River | 747 [A] | – | – |
| 80.97.094 | River Parkway Program, Otay, Santa Clara, Tijuana | – | 21,500 [A] | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | | $49,960 | $320,039 | $64,065 |
| *0001* | *General Fund* | *36,757* | *97,901* | *5,000* |
| *0005* | *Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund* | – | *165,127* | *48,235* |
| *0235* | *Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *407* | *1,008* | – |
| *0262* | *Habitat Conservation Fund* | *4,990* | *12,985* | *4,000* |
| *Less funding provided by the General Fund* | | *−320* | – | – |
| *0371* | *California Beach and Coastal Enhancement Account, California Environmental License Plate Fund* | *146* | – | *400* |
| *0383* | *Natural Resources Infrastructure Fund* | *529* | *9* | – |
| *0545* | *River Parkway Subaccount* | *3,573* | – | – |
| *0565* | *State Coastal Conservancy Fund of 1976* | *535* | *445* | *300* |
| *0593* | *Coastal Access Account of the State Coastal Conservancy Fund of 1976* | *377* | *1,366* | *500* |
| *0748* | *Fish and Wildlife Habitat Enhancement Fund* | – | *2,000* | – |
| *0786* | *California Wildlife, Coastal and Park Land Conservation Fund of 1988* | *577* | *1,829* | *1,830* |
| *0890* | *Federal Trust Fund* | *1,338* | *4,704* | *2,000* |
| *0940* | *Renewable Resources Investment Fund* | *525* | – | – |
| *0995* | *Reimbursements* | *526* | *11,165* | *1,800* |
| *6015* | *River Protection Subaccount* | – | *21,500* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $28,090 | $48,655 | $5,000 |
| 302 Budget Act appropriation | 38,750 | 4,050 | – |
| Reduction per Chapter 672, Statutes of 2000 | – | −500 | – |

*** Dollars in thousands, except in Salary Range.**

## 3760 STATE COASTAL CONSERVANCY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 311 Budget Act appropriation (transfer to the Habitat Conservation Fund) | $320 | – | – |
| Chapter 1003, Statutes of 1999, Section 4 (Monterey County Window by the Bay) | 1,000 | – | – |
| Prior year balances available: | | | |
| Item 3760-301-0001, Budget Act of 1998 | 14,293 [1] | $4,345 | – |
| Item 3760-301-0001, Budget Act of 1999 | – | 13,668 | – |
| Item 3760-302-0001, Budget Act of 1999 | – | 27,683 | – |
| Totals Available | $82,453 | $97,901 | $5,000 |
| Balance available in subsequent years | −45,696 | – | – |
| TOTALS, EXPENDITURES | $36,757 | $97,901 | $5,000 |

Note: Of the amount expended from Fund 0001 for 99/00 FY $27,331 was expended as Local Assistance grants.

[1] The carryover amount of Item 3760-301-001/98 includes $2,985 which was erroneously shown as a past year expenditure in last year's Governor's Budget. The state Controller and departmental records have been adjusted to reflect this corrected amount.

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection Bond Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | – | $60,500 | $48,235 |
| 302 Budget Act appropriation | – | 104,927 | – |
| Transfer to support per Provision 2 | – | −300 | – |
| Totals, Available | – | $165,127 | $48,235 |

### 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,320 | – | – |
| 311 Budget Act appropriation (transfer to Natural Resources Infrastructure Fund) | (607) | – | – |
| Prior year balances available: | | | |
| Chapter 1022, Statutes of 1996 | $95 | $95 | – |
| Item 3760-301-0235, Budget Act of 1999 | – | 913 | – |
| Totals Available | $1,415 | $1,008 | – |
| Balance available in subsequent years | −1,008 | – | – |
| TOTALS, EXPENDITURES | $407 | $1,008 | – |

Note: Of the amount expended from Fund 0235 for 99/00 FY, $403, was expended as Local Assistance grants.

### 0262 Habitat Conservation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $4,000 | $4,000 | $4,000 |
| Prior year balances available: | | | |
| Item 3760-301-262, Budget Act of 1991 | 231 [1] | 25 | – |
| Item 3760-301-262, Budget Act of 1992 | 241 | 241 | – |
| Item 3760-301-262, Budget Act of 1993 | 706 | 706 | – |
| Item 3760-301-262, Budget Act of 1994 | 1,398 [2] | 1,119 | – |
| Item 3760-301-262, Budget Act of 1995 | 1,747 [3] | 608 | – |
| Item 3760-301-0262, Budget Act of 1996 | 1,204 | 915 | – |
| Item 3760-301-0262, Budget Act of 1997 | 2,248 | 1,742 | – |
| Item 3760-301-0262, Budget Act of 1998 | 2,200 | 359 | – |
| Item 3760-301-0262, Budget Act of 1999 | – | 3,270 | – |
| Totals Available | $13,975 | $12,985 | $4,000 |
| Balance available in subsequent years | −8,985 | – | – |
| TOTALS, EXPENDITURES | $4,990 | $12,985 | $4,000 |
| Less funding provided by the General Fund | −320 | – | – |
| NET TOTALS, EXPENDITURES | $4,670 | $12,985 | $4,000 |

Note: Of the amount expended from Fund 0262 for 99/00 FY, $1,680 was expended as Local Assistance grants.

[1] The carryover amount of Item 3760-301-0262/91 includes $224 which was erroneously shown as a past year expenditure in last year's Governor's Budget. The state Controller and departmental records have been adjusted to reflect this corrected amount.
[2] The carryover amount of Item 3760-301-0262/94 includes $280 which was erroneously shown as a past year expenditure in last year's Governor's Budget. The state Controller and departmental records have been adjusted to reflect this corrected amount.
[3] The carryover amount of Item 3760-301-0262/95 includes $1,143 which was erroneously shown as a past year expenditure in last year's Governor's Budget. The state Controller and departmental records have been adjusted to reflect this corrected amount.

*** Dollars in thousands, except in Salary Range.**

## 3760 STATE COASTAL CONSERVANCY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | $146 | – | $400 |
| Note: Of the amount expended from Fund 0371 for 99/00 FY, $146 was expended as Local Assistance grants. | | | |
| **0383 Natural Resources Infrastructure Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | – | – | – |
| Prior year balances available: | | | |
| Item 3760-301-0383, Budget Act of 1997 | $538 | $9 | – |
| Totals Available | $538 | $9 | – |
| Balance available in subsequent years | –9 | – | – |
| TOTALS, EXPENDITURES | $529 | $9 | – |
| Note: Of the amount expended from Fund 0380 for 99/00 FY, $507 was expended as Local Assistance grants. | | | |
| **0545 River Parkway Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3760-301-0545, Budget Act of 1997 | $3,923 | – | – |
| Unexpended balance, estimated savings | –350 | – | – |
| TOTALS, EXPENDITURES | $3,573 | – | – |
| Note: Of the amount expended from Fund 0545 for 99/00 FY, $3,210 was expended as Local Assistance grants. | | | |
| **0565 State Coastal Conservancy Fund [n]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $0 [1] | $200 | $300 |
| Prior year balances available: | | | |
| Item 3760-301-0565, Budget Act of 1996 (main account) | – | – | – |
| Item 3760-301-0565, Budget Act of 1997 (main account) | 184 [2] | – | – |
| Item 3760-301-0565, Budget Act of 1998 (main account) | 621 | 245 | – |
| Item 3760-301-0565, Budget Act of 1999 | – | 0 [1] | – |
| Totals Available | $805 | $445 | $300 |
| Unexpended balance, estimated savings | –25 | – | – |
| Balance available in subsequent years | –245 | – | – |
| TOTALS, EXPENDITURES | $535 | $445 | $300 |
| Note: Of the amount expended from Fund 0565 for 99/00 FY, $375 was expended as Local Assistance grants. | | | |

[1] Fully reimbursed item.
[2] The carryover amount of Item 3760-301-0565/97 includes $160 which was erroneously shown as a past year expenditure in last year's Governor's Budget. The state Controller and departmental records have been adjusted to reflect this corrected amount.

| | | | |
|---|---|---|---|
| **0593 Coastal Access Account, State Coastal Conservancy Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $700 | $900 | $500 |
| Prior year balances available: | | | |
| Item 3760-301-0593, Budget Act of 1998 | 143 | – | – |
| Item 3760-301-0593, Budget Act of 1999 | – | 466 | – |
| Totals Available | $843 | $1,366 | $500 |
| Balance available in subsequent years | –466 | – | – |
| TOTALS, EXPENDITURES | $377 | $1,366 | $500 |
| Note: Of the amount expended from Fund 0593 for 99/00 FY $337 was expended as Local Assistance grants. | | | |
| **0748 Fish and Wildlife Habitat Enhancement Fund [b]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $2,000 | – |

*** Dollars in thousands, except in Salary Range.**

## 3760 STATE COASTAL CONSERVANCY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0786 California Wildlife, Coastal and Park Land Conservation Fund of 1988 [b]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Public Resources Code Section 5907(d) as amended by Chapter 326, Statutes of 1998, Section 10 | $4,236 | $3,659 | $1,830 |
| Balance available in subsequent years | −3,659 | −1,830 | – |
| TOTALS, EXPENDITURES | $577 | $1,829 | $1,830 |
| Note: Of the amount expended from Fund 0786 for 99/00 FY, $10 was expended as Local Assistance grants. | | | |
| **0890 Federal Trust Fund** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $2,000 | $2,000 | $2,000 |
| Prior year balances available: | | | |
| Item 3760-301-0890, Budget Act of 1996 | – | – | – |
| Item 3760-301-0890, Budget Act of 1997 | 1,222 | – | – |
| Item 3760-301-0890, Budget Act of 1998 | 1,850 | 867 | – |
| Item 3760-301-0890, Budget Act of 1999 | – | 1,837 | – |
| Totals Available | $5,072 | $4,704 | $2,000 |
| Unexpended balance, estimated savings | −1,030 | – | – |
| Balance available in subsequent years | −2,704 | – | – |
| TOTALS, EXPENDITURES | $1,338 | $4,704 | $2,000 |
| Note: Of the amount expended from Fund 0890 for 99/00 FY, $182 was expended as Local Assistance grants. | | | |
| **0940 Renewable Resources Investment Fund [n]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,180 | – | – |
| Prior year balances available: | | | |
| Item 3760-301-0940, Budget Act of 1998 | 970 | $445 | – |
| Item 3760-301-0940, Budget Act of 1999 | – | 705 | – |
| Totals Available | $2,150 | $1,150 | – |
| Balance available in subsequent years | −1,150 | – | – |
| Unexpended balances, estimated savings | −475 | −1,150 | – |
| TOTALS, EXPENDITURES | $525 | – | – |
| Note: Of the amount expended from Fund 0940 for 99/00 FY, $525 was expended as Local Assistance grants. | | | |
| **0995 Reimbursements** | | | |
| Reimbursements | $526 | $11,165 | $1,800 |
| Note: Of the amount expended from Fund 0995 for 99/00 FY $426 was expended as Local Assistance grants. | | | |
| **6015 River Protection Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $21,500 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $49,960 | $320,039 | $64,065 |

# 3780 NATIVE AMERICAN HERITAGE COMMISSION

## Program Objectives Statement

The Native American Heritage Commission preserves and protects California Native American cultures. The commission's powers and duties include: identifying and cataloging geographic sites of importance to Native Americans; helping Native Americans obtain access to these sites when necessary; protecting Native American burial and sacred sites; and ensuring that remains are treated appropriately when burial sites are discovered.

## Authority

Public Resources Code Sections 5097.9–5097.99.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3780 NATIVE AMERICAN HERITAGE COMMISSION—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Native American Heritage Commission | 3.8 | 4 | 4 | $311 | $319 | $319 |
| TOTALS, PROGRAMS | 3.8 | 4 | 4 | $311 | $319 | $319 |
| *0001 General Fund* | | | | *311* | *319* | *319* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 3.8 | 4 | 4 | $216 | $211 | $216 |
| Net Totals, Salaries and Wages | 3.8 | 4 | 4 | $216 | $211 | $216 |
| Staff Benefits | – | – | – | 24 | 30 | 30 |
| Totals, Personal Services | 3.8 | 4 | 4 | $240 | $241 | $246 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $71 | $78 | $73 |
| TOTALS, EXPENDITURES | | | | $311 | $319 | $319 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $304 | $318 | $319 |
| Allocation for employee compensation | 19 | 1 | – |
| Adjustment per Section 3.60 | −12 | −2 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 2 | – |
| TOTALS, EXPENDITURES (State Operations) | $311 | $319 | $319 |

## 3790 DEPARTMENT OF PARKS AND RECREATION

The mission of the California Department of Parks and Recreation is to provide for the health, inspiration, and education of the people of California by helping to preserve the state's extraordinary biological diversity, protecting its most valued natural and cultural resources, and creating opportunities for high-quality outdoor recreation. An ever-increasing population in California has resulted in accelerated urbanization which necessitates the establishment of park units and recreation areas accessible to the major population centers of the State. It is necessary to provide for the wise and constructive use of the State's natural resources for recreational uses and to preserve the State's cultural, historical, and natural heritage for future generations to enjoy.

### Major Budget Adjustments Proposed for 2001–02

- $11,000,000 General Fund and 4.0 positions to provide ongoing resource maintenance to protect and preserve natural resources.
- $2,154,000 General Fund and 16.0 positions for recently acquired parklands and completed development projects.
- $1,111,000 General Fund and 5.0 positions to implement and monitor projects included in the Lake Tahoe Environmental Improvement Program.
- $571,000 General Fund and 5.0 positions for statewide planning and technical assistance for parks and recreation providers, State Park System planning, and recreational trails projects.
- $367,000 General Fund ($349,000) and Off-Highway Vehicle Trust Fund ($18,000) and 3.0 positions for achieving compliance with the Americans with Disabilities Act (ADA).
- $3,437,000 Off-Highway Vehicle Trust Fund and 6.0 positions to implement environmental compliance, law enforcement, and educational elements and for the administration of off-highway grants and the operation of State Vehicle Recreation Areas.
- $2,573,000 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund and 1.0 position to implement the Cultural Resources Stewardship Program and the Natural Resources Stewardship Programs in accordance with Proposition 12.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Department of Parks and Recreation | 3,030.2 | 2,971.7 | 3,033.0 | $293,634 | $1,034,349 | $576,577 |
| State Operations: | | | | | | |
| *0001 General Fund* | | | | *110,112* | *246,250* | *137,664* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | | | | *–* | *29,276* | *23,584* |
| *0140 California Environmental License Plate Fund* | | | | *128* | *112* | *113* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | | | | *12,940* | *13,220* | *13,028* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 0262 Habitat Conservation Fund | $66 | $50 | – |
| 0263 Off-Highway Vehicle Trust Fund | 13,933 | 16,314 | $19,484 |
| 0383 Natural Resources Infrastructure Fund | 27 | – | – |
| 0392 State Parks and Recreation Fund | 81,859 | 57,139 | 57,005 |
| 0414 Delta Recreation Subaccount | 34 | 26 | – |
| 0449 Winter Recreation Fund | 231 | 238 | 284 |
| 0516 Harbors and Watercraft Revolving Fund | 631 | 650 | 620 |
| 0646 State Parks System Deferred Maintenance Account | – | – | 10,000 |
| 0742 State Urban and Coastal Park Fund | 2,347 | – | – |
| 0858 Recreational Trails Fund | 89 | 7 | – |
| 0890 Federal Trust Fund | 2,498 | 2,930 | 2,915 |
| 0995 Reimbursements | 8,086 | 11,958 | 11,958 |
| Totals, State Operations | $232,981 | $378,170 | $276,655 |
| Local Assistance: | | | |
| 0001 General Fund | 44,609 | 91,558 | – |
| 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund | – | 510,187 | 269,847 |
| 0140 California Environmental License Plate Fund | 305 | – | – |
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund | 495 | – | – |
| 0262 Habitat Conservation Fund | 1,970 | 2,527 | 2,000 |
| 0263 Off-Highway Vehicle Trust Fund | 8,638 | 21,721 | 15,500 |
| 0383 Natural Resources Infrastructure Fund | 640 | – | – |
| 0414 Delta Recreation Subaccount | 563 | – | – |
| 0716 Community Parklands Fund (less funding provided by the Public Resources Account) | –120 | – | – |
| 0786 California Wildlife, Coastal and Park Land Conservation Fund of 1988 | 14 | 13 | – |
| 0858 Recreational Trails Fund | 3,257 | 9,423 | 4,000 |
| 0890 Federal Trust Fund | 282 | 6,599 | 8,575 |
| 0995 Reimbursements | – | 8,651 | – |
| 6015 River Protection Subaccount | – | 1,500 | – |
| Totals, Local Assistance | $60,653 | $652,179 | $299,922 |

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 SUPPORT OF THE DEPARTMENT OF PARKS AND RECREATION [1]

| Program Elements: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Resource Protection | $26,734 | $34,983 | $35,998 |
| Education/Interpretation | 21,585 | 28,246 | 29,065 |
| Facilities | 112,580 | 220,617 | 114,529 |
| Public Safety | 43,764 | 57,268 | 58,931 |
| Recreation | 28,318 | 37,056 | 38,132 |
| Totals, Core Program Elements | $232,981 | $378,170 | $276,655 |

### PROGRAM REQUIREMENTS
### 80 LOCAL ASSISTANCE GRANTS

| Program Elements: | | | |
|---|---|---|---|
| OHV Grants | $9,164 | $25,049 | $16,700 |
| Recreational Grants | 51,157 | 617,880 | 273,841 |
| Historic Preservation Grants | 332 | 9,250 | 9,381 |
| Disaster Relief Grants | – | – | – |
| TOTAL PROGRAMS, LOCAL ASSISTANCE GRANTS | $60,653 | $652,179 | $299,922 |
| TOTAL PROGRAMS, STATE OPERATIONS AND LOCAL ASSISTANCE | $293,634 | $1,030,349 | $576,577 |

[1] Expenditure detail by program information has been extrapolated from data for the individual departmental units that have switched to the new program element system.

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 3,030.2 | 3,177.5 | 3,202.0 | $120,158 | $134,545 | $137,995 |
| Total Adjustments | – | – | 40.0 | – | 18 | 1,937 |
| Estimated Salary Savings | – | −205.8 | −209.0 | – | −5,220 | −8,177 |
| Net Totals, Salaries and Wages | 3,030.2 | 2,971.7 | 3,033.0 | $120,158 | $129,343 | $131,755 |
| Staff Benefits | – | – | – | 22,748 | 19,047 | 22,966 |
| Totals, Personal Services | 3,030.2 | 2,971.7 | 3,033.0 | $142,906 | $148,390 | $154,721 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $91,746 | $231,274 | $123,678 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Less amount for Capital Outlay | | | | −1,671 | −1,494 | −1,744 |
| TOTALS, EXPENDITURES | | | | $232,981 | $378,170 | $276,655 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $66,676 | $120,439 | $137,664 |
| Allocation for employee compensation | 10,524 | 3,197 | – |
| Adjustment per Section 3.60 | −2,329 | 78 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 119 | – |
| Adjustment per Section 16.00 | 43 | – | – |
| Allocation per Chapter 570, Statutes of 2000 | – | 895 | – |
| 002 Budget Act appropriation | 157,000 | – | – |
| Transfer to Item 3790-301-0001 per Chapter 811, Statutes of 1999 Section 2 | −3,010 | – | – |
| Trsf from Item 3790-101-0001/1999, per Provision 1 of Item 3790-001-0392/1999 | 0 | – | – |
| Trsf from Item 3790-101-0001/1999, per Chapter 1021, Statutes of 1999 Sec. 12 | 3 | – | – |
| Trsf from Item 3790-101-0001/1999, per Provision 1 of Item 3790-001-0392 | 719 | – | – |
| Trsf from Item 3790-101-0001/2000, per Provision 1 of Item 3790-001-0392/2000 | – | 1,129 | – |
| Trsf from Item 3790-101-0001/2000, per Provision 1 of Item 3790-001-0392/2000 | – | 8 | – |
| Trsf from Item 3790-102-0001/1999, per Provision 1 of Item 3790-001-0392/1999 | 8 | – | – |
| Chapter 986, Statutes of 1999 | 400 | – | – |
| Prior year balances available: | | | |
| Item 3790-002-0001/1999 | – | 119,038 | – |
| Trsf from Item 3790-101-0001/1999, per Provision 1 of Item 3790-001-0392/1999 | – | 722 | – |
| Chapter 986, Statutes of 1999 | – | 400 | – |
| Trsf from Item 3790-102-0001/1998, per Provision 1 of Item 3790-001-0392/1998 | 463 | – | – |
| Trsf from Item 3790-102-0001 per Provision 1 of Item 3790-001-0392/1998 | – | 225 | – |
| Totals Available | $230,497 | $246,250 | $137,664 |
| Balance available in subsequent years | −120,385 | – | – |
| TOTALS, EXPENDITURES | $110,112 | $246,250 | $137,664 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $29,236 | $23,584 |
| Allocation for employee compensation | – | 33 | – |
| Adjustment per Section 3.60 | – | 3 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 4 | – |
| TOTALS, EXPENDITURES | – | $29,276 | $23,584 |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

### 0061 Motor Vehicle Fuel Account, Transportation Tax Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 012 Budget Act appropriation (transfer to State Parks and Recreation Fund) (expenditures) | ($11,649) | ($11,649) | ($11,649) |

### 0062 Highway Users Tax Account, Transportation Tax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation (transfer to State Parks and Recreation Fund) (expenditures) | ($3,400) | ($3,400) | ($3,400) |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $111 | $112 | $113 |
| Allocation for employee compensation | 5 | – | – |
| Adjustment per Section 3.60 | –2 | – | – |
| Prior year balances available: | | | |
| Trsf from Item 3790-101-0140/1997, per Provision 1 of Item 3790-001-0392/1997 | 14 | – | – |
| TOTALS, EXPENDITURES | $128 | $112 | $113 |

### 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $11,883 | $12,735 | $13,028 |
| Allocation for employee compensation | 1,264 | 470 | – |
| Adjustment per Section 3.60 | –254 | 6 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 9 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Prior year balances available: | | | |
| Transfer from Item 3790-101-0262, per Provision 1 of Item 3790-001-0392/1997 | 44 | – | – |
| TOTALS, EXPENDITURES | $12,940 | $13,220 | $13,028 |

### 0262 Habitat Conservation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Item 3790-101-0262, per Provision 1 of Item 3790-001-0395/1999 | $0 | – | – |
| Transfer to State Operations per Provision 1 of Item 3790-001-0392/1999 | 30 | – | – |
| Trsf from Item 3790-101-0262/2000, per Provision 1 of Item 3790-001-0392/2000 | – | $0 | – |
| Trsf from Item 3790-101-0262/2000, per Provision 1 of Item 3790-001-0392/2000 | – | 30 | – |
| Prior year balances available: | | | |
| Item 3790-101-0262, per Provision 1 of Item 3790-001-0392/1997 | 26 | – | – |
| Item 3790-101-0262, per Provision 1 of Item 3790-001-0392/1998 | 30 | – | – |
| Item 3790-101-0262, per Provision 1 of Item 3790-001-0395/1999 | – | 20 | – |
| Totals Available | $86 | $50 | – |
| Balance available in subsequent years | –20 | – | – |
| TOTALS, EXPENDITURES | $66 | $50 | – |

### 0263 Off-Highway Vehicle Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $14,442 | $15,724 | $19,484 |
| Allocation for employee compensation | 1,566 | 572 | – |
| Adjustment per Section 3.60 | –309 | 7 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 11 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| Totals Available | $15,703 | $16,314 | $19,484 |
| Unexpended balance, estimated savings | –1,770 | – | – |
| TOTALS, EXPENDITURES | $13,933 | $16,314 | $19,484 |

### 0383 Natural Resources Infrastructure Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Item 3790-001-0383, per Provision 1 of Item 3790-001-0392/1997 | $27 | – | – |
| TOTALS, EXPENDITURES | $27 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

### 0392 State Parks and Recreation Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $81,897 | $57,192 | $57,005 |
| Allocation for employee compensation | 903 | – | – |
| Adjustment per Section 3.60 | −903 | – | – |
| Transfer to Legislative Claims (9670) | −30 | −53 | – |
| Totals Available | $81,867 | $57,139 | $57,005 |
| Unexpended balance, estimated savings | −8 | – | – |
| TOTALS, EXPENDITURES | $81,859 | $57,139 | $57,005 |

### 0414 Delta Recreation Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Water Code Section 78568 | $60 | $26 | – |
| Totals Available | $60 | $26 | – |
| Balance available in subsequent years | −26 | – | – |
| TOTALS, EXPENDITURES | $34 | $26 | – |

### 0449 Winter Recreation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $225 | $238 | $284 |
| Allocation for employee compensation | 11 | – | – |
| Adjustment per Section 3.60 | −5 | – | – |
| TOTALS, EXPENDITURES | $231 | $238 | $284 |

### 0516 Harbors and Watercraft Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $581 | $630 | $620 |
| Allocation for employee compensation | 62 | 20 | – |
| Adjustment per Section 3.60 | −12 | – | – |
| TOTALS, EXPENDITURES | $631 | $650 | $620 |

### 0646 State Parks System Deferred Maintenance Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | – | $10,000 |

### 0742 State Urban and Coastal Park Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $2,347 | – | – |

### 0858 Recreational Trails Fund [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $151 | $7 | – |
| Allocation for employee compensation | 7 | – | – |
| Adjustment per Section 3.60 | −3 | – | – |
| Budget Adjustment | −66 | – | – |
| TOTALS, EXPENDITURES | $89 | $7 | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,796 | $2,930 | $2,915 |
| Allocation for employee compensation | 134 | – | – |
| Adjustment per Section 3.60 | −60 | – | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Budget Adjustment | −373 | – | – |
| TOTALS, EXPENDITURES | $2,498 | $2,930 | $2,915 |

### 0995 Reimbursements

| APPROPRIATIONS | | | |
|---|---|---|---|
| Reimbursements | $8,086 | $11,958 | $11,958 |
| TOTALS, EXPENDITURES, (State Operations) | $232,981 | $378,170 | $276,655 |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Grants and Subventions | $60,653 | $652,179 | $299,922 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation | $47,452 | – | – |
| Allocation per Chapter 1021, Statutes of 1999 | 1,149 | – | – |
| Reduction of trsf to State Operations per Chapter 1021/1999 Section 12 | 14 | – | – |
| Allocation per Chapter 1003, Statutes of 1999 | 500 | – | – |
| Transfer to State Operations per Provision 1 of Item 3790-001-0392/1999 | −737 | – | – |
| Transfer to Item 3790-302-0001 per Chapter 1021, Statutes of 1999 | −950 | – | – |
| 101 Budget Act appropriation as amended by Chapter 672, Statutes of 2000 | – | $75,790 | – |
| Transfer to State Operations per Provision 1 of Item 3790-001-0392/2000 | – | −1,129 | – |
| Transfer to State Operations per Provision 1 of Item 3790-001-0392/2000 | – | −8 | – |
| 102 Budget Act appropriation | 530 | – | – |
| Transfer to State Operations per Provision 1 of Item 3790-001-0392/1999 | −8 | – | – |
| Prior year balances available: | | | |
| Item 3790-101-0001/1999 | – | 15,136 | – |
| Item 3790-102-0001/1998 | 13,613 | 1,769 | – |
| Totals Available | $61,563 | $91,558 | – |
| Unexpended balance, estimated savings | −49 | – | – |
| Balance available in subsequent years | −16,905 | – | – |
| TOTALS, EXPENDITURES | $44,609 | $91,558 | – |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | – | $64,085 | $269,847 |
| 102 Budget Act appropriation | – | 79,580 | – |
| 103 Budget Act appropriation | – | 366,522 | – |
| TOTALS, EXPENDITURES | – | $510,187 | $269,847 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Item 3790-101-0140/1997 | $305 | – | – |
| TOTALS, EXPENDITURES | $305 | – | – |

### 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation (transfer to Community Parklands Fund) | $121 | – | – |
| 112 Budget Act appropriation (transfer Natural Resources Infrastructure Fund) | (575) | – | – |
| Prior year balances available: | | | |
| Item 3790-101-0235/1997 | 325 | – | – |
| Chapter 439, Statutes of 1998 | 50 | – | – |
| Totals Available | $496 | – | – |
| Unexpended balance, estimated savings | −1 | – | – |
| TOTALS, EXPENDITURES | $495 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

### 0262 Habitat Conservation Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $2,000 | $2,398 | $2,000 |
| Transfer to State Operations per Provision 1 of Item 3790-001-0392/2000 | −30 | −30 | – |
| Prior year balances available: | | | |
| Item 3790-101-0262/1998 | 159 | 159 | – |
| Totals Available | $2,129 | $2,527 | $2,000 |
| Balance available in subsequent years | −159 | – | – |
| TOTALS, EXPENDITURES | $1,970 | $2,527 | $2,000 |

### 0263 Off-Highway Vehicle Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $16,555 | $13,500 | $15,500 |
| Prior year balances available: | | | |
| Item 3790-101-0263/1997 | 2,029 | – | – |
| Item 3760-101-0263/1998 | 304 | – | – |
| Item 3790-101-0263/1998 | – | 136 | – |
| Item 3790-101-0263/1999 | – | 8,085 | – |
| Totals Available | $18,888 | $21,721 | $15,500 |
| Unexpended balance, estimated savings | −2,029 | – | – |
| Balance available in subsequent years | −8,221 | – | – |
| TOTALS, EXPENDITURES | $8,638 | $21,721 | $15,500 |

### 0383 Natural Resources Infrastructure Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Item 3790-101-0383/1997 | $640 | – | – |
| TOTALS, EXPENDITURES | $640 | – | – |

### 0414 Delta Recreation Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Item 3790-101-0414/1997 | $844 | – | – |
| Totals Available | $844 | – | – |
| Unexpended balance, estimated savings | −281 | – | – |
| TOTALS, EXPENDITURES | $563 | – | – |

### 0716 Community Parklands Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| TOTALS, EXPENDITURES | – | – | – |
| Less funding provided by the Public Resources Account (0235) | −$120 | – | – |
| NET TOTALS, EXPENDITURES | −$120 | – | – |

### 0786 California Wildlife, Coastal and Park Land Conservation Fund of 1988 [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Public Resources Code Section 5907 (Proposition 70) Direct Appropriation | $27 | $13 | – |
| Totals Available | $27 | $13 | – |
| Balance available in subsequent years | −13 | – | – |
| TOTALS, EXPENDITURES | $14 | $13 | – |

### 0858 Recreational Trails Fund [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $4,500 | $4,000 | $4,000 |
| Prior year balances available: | | | |
| Item 3790-101-0858/1997 | 1,453 | – | – |
| Budget Adjustment | −1,282 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Item 3790-101-0858/1998 | $4,009 | $1,326 | – |
| Item 3790-101-0858/1999 | – | 4,097 | – |
| Totals Available | $8,680 | $9,423 | $4,000 |
| Balance available in subsequent years | –5,423 | – | – |
| TOTALS, EXPENDITURES | $3,257 | $9,423 | $4,000 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | $2,375 | $2,375 | $8,575 |
| Prior year balances available: | | | |
| Item 3790-101-0890/1997 | 2,070 | – | – |
| Budget Adjustment | –2,070 | – | – |
| Item 3790-101-0890/1998 | 2,131 | 2,102 | – |
| Item 3790-101-0890/1999 | – | 2,122 | – |
| Totals Available | $4,506 | $6,599 | $8,575 |
| Balance available in subsequent years | –4,224 | – | – |
| TOTALS, EXPENDITURES | $282 | $6,599 | $8,575 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Reimbursements | – | $8,651 | – |

### 6015 River Protection Subaccount [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | – | $1,500 | – |
| TOTALS, EXPENDITURES | – | $1,500 | – |
| TOTALS, EXPENDITURES, (Local Assistance) | $60,653 | $652,179 | $299,922 |
| TOTALS, EXPENDITURES, (State Operations and Local Assistance) | $293,634 | $1,030,349 | $576,577 |

---

## FUND CONDITION STATEMENT

### 0263 Off-Highway Vehicle Trust Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $32,610 | $45,927 | $20,930 |
| Prior year adjustments | 3 | – | – |
| Balance, Adjusted | $32,613 | $45,927 | $20,930 |
| REVENUES AND TRANSFERS | | | |
| Receipts: | | | |
| Revenues: | | | |
| 120900 Off-highway vehicle fees | 2,134 | 1,800 | 1,800 |
| 140600 State beach and park service fees | 1,260 | 900 | 900 |
| 150300 Income from surplus money investments | 3,475 | 2,100 | 2,100 |
| 152300 Miscellaneous revenue from use of property and money | 195 | 100 | 100 |
| 161400 Miscellaneous revenue | 4 | 4 | 4 |
| 164200 Parking violations | 34 | 25 | 25 |
| Totals, Revenues | $7,102 | $4,929 | $4,929 |
| Transfers from Other Funds: | | | |
| F000044 Motor Vehicle Account, State Transportation Fund per Government Code 16475 | 7 | 7 | 7 |
| F00061 Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code Section 8352.6 | 19,936 | 19,780 | 20,360 |
| F00265 Conservation Enforcement Services Account per Budget Act Item 3790-401 | 10,146 | 9,950 | 10,240 |
| F00942 Special Deposit Fund, per Section 12.20 of the Budget Act | – | 2,170 | – |
| Totals, Transfers from Other Funds | $30,089 | $31,907 | $30,607 |
| Totals, Revenues and Transfers | $37,191 | $36,836 | $35,536 |
| Totals, Resources | $69,804 | $82,763 | $56,466 |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3790 Department of Parks and Recreation: | | | |
| State Operations | $13,933 | $16,314 | $19,484 |
| Local Assistance | 8,638 | 21,721 | 15,500 |
| Capital Outlay | 1,306 | 23,798 | 4,665 |
| Totals, Disbursements | $23,877 | $61,833 | $39,649 |
| FUND BALANCE | $45,927 | $20,930 | $16,817 |
| Reserve for unencumbered balance of continuing appropriations | 21,765 | – | – |
| Reserve for economic uncertainties | 24,162 | 20,930 | 16,817 |

### 0265 Conservation and Enforcement Services Account, Off-Highway Vehicle Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Receipt: | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | $327 | $200 | $200 |
| Totals, Revenue | $327 | $200 | $200 |
| Transfers from Other Funds: | | | |
| F00061 Motor Vehicle Fuel Account, Transportation Tax Fund, Section 8352.8, Revenue and Taxation Code | 9,819 | 9,750 | 10,040 |
| Totals, Transfers from Other Funds | $9,819 | $9,750 | $10,040 |
| Transfers to Other Funds: | | | |
| T00263 Off-Highway Vehicle Fund per Budget Act Item 3790-401 | –10,146 | –9,950 | –10,240 |
| Totals, Transfers to Other Funds | –$10,146 | –$9,950 | –$10,240 |
| Totals, Revenues and Transfers | – | – | – |
| Totals, Resources | – | – | – |
| FUND BALANCE | – | – | – |

### 0392 State Parks and Recreation Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,204 | $1,909 | $2,322 |
| Prior year adjustments | –3,985 | – | – |
| Balance, Adjusted | –$1,781 | $1,909 | $2,322 |
| REVENUES AND TRANSFERS | | | |
| Receipts: | | | |
| State Park System Revenues: | | | |
| 140600 State beach and park service fees | 54,068 | 29,000 | 29,000 |
| 150300 Income from surplus money investments | 744 | 300 | 300 |
| 152300 Miscellaneous revenue from use of property and money | 13,788 | 12,000 | 12,000 |
| 160600 Sale of state public lands | 59 | 20 | 20 |
| 161400 Miscellaneous revenue | 1,070 | 936 | 936 |
| 164200 Parking violations | 801 | 300 | 300 |
| Totals, Revenues | $70,530 | $42,556 | $42,556 |
| Transfers from Other Funds: | | | |
| F00061 Motor Vehicle Fuel Account, Transportation Tax Fund per Budget Act Item 3790-012-0061 | 11,649 | 11,649 | 11,649 |
| F00062 Highway User Tax Account, Transportation Tax Fund per Budget Act Item 3790-011-0062 | 3,400 | 3,400 | 3,400 |
| Totals, Transfers from Other Funds | $15,049 | $15,049 | $15,049 |
| Totals, Revenues and Transfers | $85,579 | $57,605 | $57,605 |
| Totals, Resources | $83,798 | $59,514 | $59,927 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3790 Department of Parks and Recreation (State Operations) | 81,859 | 57,139 | 57,005 |
| 9670 Legislative Claims (State Operations) | 30 | 53 | – |
| Totals, Disbursements | $81,889 | $57,192 | $57,005 |
| FUND BALANCE | $1,909 | $2,322 | $2,922 |
| Reserve for economic uncertainties | 1,909 | 2,322 | 2,922 |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| 0449 Winter Recreation Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $103 | $150 | $187 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 278 | 275 | 275 |
| Totals, Revenues | $278 | $275 | $275 |
| Totals, Resources | $381 | $425 | $462 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3790 Department of Parks and Recreation (State Operations) | 231 | 238 | 284 |
| FUND BALANCE | $150 | $187 | $178 |
| Reserve for economic uncertainties | 150 | 187 | 178 |

| 0646 State Parks System Deferred Maintenance Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES | | | |
| Revenues: | | | |
| 152500 State lands royalties | $10,000 | $10,000 | $10,000 |
| Totals, Revenues | $10,000 | $10,000 | $10,000 |
| Transfers to Other Funds: | | | |
| T000001 General Fund per Public Resources Code Section 6217 | –10,000 | –10,000 | 0 |
| Totals, Transfers to Other Funds | –$10,000 | –$10,000 | 0 |
| Totals, Revenues and Transfers | – | – | $10,000 |
| Totals, Resources | – | – | $10,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3790 Department of Parks and Recreation (State Operations) | – | – | 10,000 |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 3,030.2 | 3,177.5 | 3,202.0 | $120,158 | $134,545 | $137,995 |
| Salary adjustments | – | – | – | – | 18 | 44 |
| Totals, Adjusted Authorized Positions | 3,030.2 | 3,177.5 | 3,202.0 | $120,158 | $134,563 | $138,039 |
| Proposed New Positions: | | | | | | |
| Cultural Resources: | | | | | | |
| Cultural Heritage: | | | | Salary Range | | |
| Sr State Archeologist | – | – | 1.0 | 4,627–5,585 | – | 61 |
| Natural Resources: | | | | | | |
| Natural Heritage: | | | | | | |
| Envirntl Spec IV | – | – | 1.0 | 4,846–5,851 | – | 64 |
| Sr State Park Resource Ecologist | – | – | 1.0 | 4,406–5,319 | – | 58 |
| Research Analyst II-GIS | – | – | 1.0 | 4,110–4,997 | – | 55 |
| Assoc State Park Resource Ecologist | – | – | 1.0 | 3,926–4,732 | – | 52 |
| Park Design and Construction: | | | | | | |
| Accessibility: | | | | | | |
| Staff Svcs Mgr II | – | – | 1.0 | 4,963–5,987 | – | 66 |
| Assoc Architect | – | – | 1.0 | 4,635–5,632 | – | 61 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Planning: | | | | | | |
| Planning Section: | | | | | | |
| Staff Parks & Recr Spec | – | – | 1.0 | 4,727–5,703 | – | 63 |
| Assoc Parks & Recr Spec | – | – | 4.0 | 4,301–5,228 | – | 228 |
| Northern Division: | | | | | | |
| Silverado—Safety: | | | | | | |
| State Park Ranger | – | – | 0.5 | 2,752–3,938 | – | 20 |
| Non-Safety: | | | | | | |
| Temporary Help | – | – | 0.5 | – | – | 10 |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Sierra—Non-Safety: | | | | Salary Range | | |
| Assoc Civil Engr | – | – | 1.0 | $4,635–5,634 | – | $62 |
| Assoc State Park Resource Ecologist | – | – | 2.0 | 3,926–4,732 | – | 104 |
| Assoc State Archeologist | – | – | 1.0 | 3,924–4,732 | – | 52 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Central Division: | | | | | | |
| Monterey—Non-Safety: | | | | | | |
| Interpreter II | – | – | 1.0 | 3,568–4,338 | – | 48 |
| Ofc Svcs Supvr I | – | – | 1.0 | 2,349–2,858 | – | 31 |
| Museum Custodian | – | – | 1.0 | 1,934–2,523 | – | 27 |
| San Joaquin—Safety: | | | | | | |
| State Park Supt | – | – | 1.0 | 4,140–4,993 | – | 55 |
| Supvng Ranger | – | – | 1.0 | 3,776–4,547 | – | 50 |
| Non-Safety: | | | | | | |
| Interpreter I | – | – | 1.0 | 3,110–3,780 | – | 41 |
| Museum Curator | – | – | 1.0 | 3,112–3,739 | – | 41 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Groundskeeper | – | – | 1.0 | 2,391–2,715 | – | 31 |
| San Luis Obispo—Safety: | | | | | | |
| State Park Ranger | – | – | 2.0 | 2,752–3,938 | – | 80 |
| Southern Division: | | | | | | |
| Angeles—Safety: | | | | | | |
| State Park Ranger | – | – | 1.0 | 2,752–3,938 | – | 40 |
| Non-Safety: | | | | | | |
| Curator II | – | – | 1.0 | 3,571–4,305 | – | 47 |
| Park Maint Worker II | – | – | 1.0 | 2,835–3,407 | – | 38 |
| Groundskeeper | – | – | 1.0 | 2,391–2,715 | – | 31 |
| Off-Highway Motor Vehicle Recreation: | | | | | | |
| Headquarters-Sacramento—Safety: | | | | | | |
| Supvng Ranger | – | – | 3.0 | 3,596–4,330 | – | 143 |
| Hungry Valley—Safety: | | | | | | |
| State Park Ranger | – | – | 1.0 | 2,752–3,938 | – | 40 |
| Oceano Dunes—Safety: | | | | | | |
| State Park Ranger | – | – | 1.0 | 2,752–3,938 | – | 40 |
| Ocotillo Wells—Safety: | | | | | | |
| State Park Ranger | – | – | 1.0 | 2,752–3,938 | – | 40 |
| Totals, Proposed New Positions | – | – | 40.0 | – | – | $1,893 |
| Totals, Adjustments | – | – | 40.0 | – | $18 | $1,937 |
| TOTALS, SALARIES AND WAGES | 3,030.2 | 3,177.5 | 3,242.0 | $120,158 | $134,563 | $139,932 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

## 90 CAPITAL OUTLAY

### PROGRAM ELEMENTS

### Major Budget Adjustments Proposed for 2001–02

- $43 million in bond funds for acquisitions and critical infrastructure projects to address public safety and facility deterioration and continue seven existing projects.
- $11 million in General Fund to continue five existing projects including two projects that are part of the Tahoe Environmental Improvement Program.
- $4.7 million from Off-Highway Vehicle Trust Fund for state vehicular areas (SVRAs). This includes acquisition funding for the SVRA in San Luis Obispo County.
- $4.2 million from various special funds to acquire habitat areas and continue the General Plan at Anza-Borrego Desert State Park.

### Major Projects

| | | | |
|---|---|---|---|
| 90.JH ANDERSON MARSH SHP | | | |
| 90.JH.100 Acquisition of Connector | – | $800 Ac | – |
| 90.HA ANZA-BORREGO DESERT SP | | | |
| 90.HA.105 General Plan | $237 Sn | 256 Sn | $183 Sn |
| 90.3F BENBOW LAKE SRA | | | |
| 90.3F.605 Benbow Dam Repair | 1,239 PWCb | – | – |
| 90.BA BIG BASIN REDWOODS SP | | | |
| 90.BA.405 Acquisition—Sempervirens Matching Program | 500 Ar | – | – |
| 90.90 BODIE SHP | | | |
| 90.90.110 Stabilize and Repair Buildings, Phase III-Construction | 242 Cn | – | – |
| 90.90.110 Health and Safety Mitigation | 428 PWCb | – | – |
| 90.EU BOLSA CHICA STATE BEACH | | | |
| 90.EU.120 Replace Restrooms/Concession Facility | – | 7,943 PWCc | – |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 90.IL BORDER FIELD SP | | | |
| 90.IL.101 Develop and Rehabilitate Day Use Facilities [B] | – | – | $197 [Pc] |
| This project will construct an addition to the Visitor Center, replace a burned down restroom, replace an unsafe entrance contact station, upgrade the beach/equestrian user parking lot, and upgrade the family picnic area, including the addition of a group picnic area. | | | |
| 90.IL.102 Sediment Basins and Road Realignment | – | – | 1,200 [Cc] |
| Reimbursement | – | – | 5,249 [Cz] |
| This project will construct sediment basins and realign road. | | | |
| 90.H9 CARDIFF SB | | | |
| 90.H9.101 Rebuild South Cardiff Facilities [B] | – | – | 201 [PWc] |
| This project will rebuild and reconfigure the storm-damaged entrance and parking lot and will install shoreline protection to minimize the potential for future storm damage. | | | |
| 90.7K CARNEGIE SVRA | | | |
| 90.7K.601 Tesla and Alameda | $22 [Po] | $1,200 [WCo] | – |
| 90.7K.101 Mitchell Ravine | – | 9,000 [Ao] | – |
| 90.E4 CHINO HILLS SP | | | |
| 90.E4.400 Brea Olinda Wilderness Acquisition | 3 [Ar] | 766 [Ar] | – |
| 90.E4.400 Coal Canyon Biological Corridor—Acquisition | – | 3,000 [Ab] | – |
| 90.E4.101 Entrance Road | – | 261 [Sc] | – |
| 90.E4.102 Public Use Facility | – | 337 [PWc] | 1,708 [Cc] |
| 90.9H COLONEL ALLENSWORTH SHP | | | |
| 90.9H.120 Restorations | 545 [PWCb] | 3,455 [PWCb] | – |
| 90.9H.121 Restoration | – | 4,000 [PWCc] | – |
| 90.8J COLUMBIA SHP | | | |
| 90.8J.600 Knapp Block Rehabilitation | 51 [SPb] | 251 [Wb] | 4,394 [Cb] |
| 90.GI CRYSTAL COVE SP | | | |
| 90.GI.101 El Morro Mobilehome Park Conversion | – | 2,118 [SPc] | 803 [Wc] |
| 90.H6 CUYAMACA RANCHO SP | | | |
| 90.H6.605 Rehabilitation and Replacement of Paso Picacho Campground Facilities | 1,615 [PWCb] | – | – |
| 90.8D DONNER MEMORIAL SP | | | |
| 90.8D.101 Replace Restrooms and Water System | – | 155 [Pb] | 1,961 [WCb] |
| 90.64 EAST BAY REGIONAL PARK DISTRICT | – | 2,600 [APWCc] | – |
| 90.8U FOLSOM LAKE SRA | | | |
| 90.8U.102 Proposed additions | – | 4,000 [Ac] | – |
| 90.8U.104 GB Recreational Trails | – | 500 [APWCc] | – |
| 90.AA FOLSOM POWERHOUSE SHP | | | |
| 90.AA.101 Powerhouse Stabilization [B] | – | – | 233 [Pc] |
| This project will perform seismic retrofit of main powerhouse/wheelhouse and lower powerhouse, replace roofing and gutters, treat corrosion of penstocks, update interpretive plan and exhibits, repair the historic highway bridge, repair and reconstruct historic elements, and make miscellaneous site improvements. | | | |
| 90.101 FORT ROSS SHP | | | |
| 90.5R.101 Reconstruct Historic Fur Warehouse [B] | – | – | 236 [Pc] |
| This project will include reconstruction of the historic, two-story Fur Warehouse restroom, septic tank and leach field. | | | |
| 90.BG FREMONT PEAK SP | | | |
| 90.BG.100 Campground Rehabilitation/Restoration | – | 500 [PWCc] | – |
| 90.DQ HEARST SAN SIMEON SHM | | | |
| 90.DQ.101 Hearst Road Stabilization [B] | – | – | 601 [PWc] |
| This project includes stabilization of road, soil retaining systems, drainage systems and grading. | | | |
| 90.C0 HENRY W. COE SP | | | |
| 90.C0.402 Mt. Hamilton Acquisition | 994 [Ab] | 6 [Ab] | – |
| | – | 12,000 [Ac] | – |
| 90.C0.101 Day Use Development at Dowdy Ranch | – | 278 [Pc] | 2,247 [WCEc] |
| 90.6P JACK LONDON SHP | | | |
| 90.6P.101 Restore Cottage as House Museum [B] | – | – | 161 [PWc] |
| This project will include restoration/seismic retrofitting, reroofing, miscellaneous improvements, interpretative and furnishings, upgrade HVAC, installation of fire/security systems, site modifications, and disabled access. | | | |
| 90.EC KENNETH B. HAHN SRA | | | |
| 90.EC.401 Expansion-Acquisition | 390 [Ab] | 3,610 [Ab] | – |
| 90.EC.101 Acquisition/Improvements | – | 32,500 [ACc] | – |
| 90.47 LAKE OROVILLE SRA | | | |
| 90.47.100 Sewer System Rehabilitation | 49 [Pb] | 2,100 [WCb] | – |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 90.E9 LA PURISIMA MISSION SHP | | | |
| 90.E9.100 Visitor Center | – | $3,000 PWCc | – |
| 90.94 LELAND STANFORD MANSION SHP | | | |
| 90.94.101 Stanford Mansion State Historic Park Preservation | – | 900 PWCz | – |
| | – | 5,000 PWCb | – |
| 90.F0 LEO CARRILLO SB | | | |
| 90.F0.100 Proposed additions | – | 1,300 Ac | – |
| 90.F0.101 Nature Trust of Santa Monica Mountains | – | 3,250 Ac | – |
| 90.KV LOS ANGELES RIVER PARKWAY PROJECT | | | |
| 90.KV.101 Taylor Yard, Acquisiton and Development | – | 5,000 Acb | – |
| 90.KV.100 Acquisition and Development | – | 40,000 ACc | – |
| 90.F6 LOS ENCINOS SHP | | | |
| 90.F6.101 De La Ossa Adobe House Museum | – | – | $134 Pc |
| This project will update the interpretive plan, create new displays, install new exhibit panels, replace furnishings, and replace, rehabilitate or introduce appropriate historic finishes on interior of building. | | | |
| 90.EX MALIBU CREEK SP | | | |
| 90.EX.102 Liberty Canyon | – | 2,500 Ac | – |
| 90.EX.101 Restore Sepulveda Adobe | – | – | 118 Sc |
| This project will include restoration of interior building finishes, lighting, and other fixed improvements, interpretive and furnishing plans, landscaping and handicap accessibility. | | | |
| 90.AI MILLERTON LAKE SRA | | | |
| 90.AI.100 Building and Picnic Area Rehabilitation | – | 500 PWCc | – |
| 90.C9 MONTANA DE ORO SP | | | |
| 90.C9.100 Irish Hills | – | 13,000 Ac | – |
| 90.CS MONTERY SB | | | |
| 90.CS.100 Acquisition | – | 2,500 Ac | – |
| 90.CN MONTEREY SHP | | | |
| 90.CN.100 Stevenson House Adobe Repair | – | 349 PWCc | – |
| 90.CB MORRO BAY SP | | | |
| 90.CB.600 Campground and Day Use Area Rehabilitation | $125 Pb | 88 PWb | 260 Wb |
| 90.CB.101 Natural History Museum Exhibit Rehabilitation | – | – | 850 Cc |
| This project will develop, construct, and install new museum exhibits within the Museum of Natural History. | | | |
| 90.5N MOUNT DIABLO SP | | | |
| 90.5N.493 Acquisition—Proposition 70—Direct Appropriation | 493 Aw | 302 Aw | – |
| 90.5N.400 Acquisition | 2 Ax | – | – |
| 90.5N.102 Macedo Ranch Interpretive Center | – | 175 PWCc | – |
| 90.BC NEW BRIGHTON SB | | | |
| 90.BC.101 Rehabilitate Campground/Day Use | – | 245 SPc | 2,696 WCEc |
| 90.7C OCEANO DUNES SVRA | | | |
| 90.7C.400 LaGrande Tract Acquisition | – | – | 2,900 Ao |
| This project will purchase fee ownership of the LaGrande Tract from San Luis Obispo County. | | | |
| 90.7C.101 Pier Avenue Lots | – | – | 1,200 Ao |
| This project is to purchase four parcels of land located at the end of Pier Avenue in San Luis Obispo County. | | | |
| 90.C7 OCOTILLO WELLS SVRA | | | |
| 90.C7.400 Northern Acquisition | 636 Ao | 237 Ao | – |
| 90.C7.400 Eastern Acquisition | 347 Ao | 4,051 Ao | – |
| 90.IJ OLD TOWN SAN DIEGO SHP | | | |
| 90.IJ.101 McCoy House Exhibits | – | – | 793 PCc |
| This project will provide exhibits for the newly reconstructed James McCoy House, including fabrication and installation of exhibits, videos, related equipment and materials. | | | |
| 90.5L OLOMPALI SHP | | | |
| 90.5L.101 Rehabilitation of Frame House | – | – | 1,082 WCc |
| Reimbursement | – | – | 41 PWCz |
| This project will upgrade the historic Frame House to contain a new visitor center, offices, restrooms, connection to municipal water system, electrical, telephone, septic and propane systems, walkway and parking. | | | |
| 90.2Y PATRICK'S POINT SP | | | |
| 90.2Y.101 Campground/Day Use Rehabilitation | – | 129 Pc | 1,127 WCc |
| 90CG PFEIFFER BIG SUR SP | | | |
| 90CG.101 Park Entrance and Day Use Redevelopment [B] | – | 497 Sc | 242 Pc |
| 90.CG.102 Rancho Ventana Addition | – | 1,320 Ac | – |
| 90.FB PIO PICO SHP | | | |
| 90.FB.101 Pio Pico Mansion | 773 Cz | – | – |
| 90.FB.100 Restoration | 728 PWCb | – | – |
| 90.FB.101 Restoration | 496 PWCb | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 90.4C POINT CABRILLO LIGHT STATION AND PRESERVE | | | |
| 90.4C.101 Initial Studies for Rehabilitation | – | – | $290 [Sc] |
| This project will accomplish initial studies of the Point Cabrillo Preserve in order to assess the cultural and natural resources, identify immediate needs and constraints, and to establish priorities for future rehabilitation and development work. | | | |
| 90.2W PRAIRIE CREEK SP | | | |
| 90.2W.101 Public Use Improvements [B] | – | – | 186 [Pc] |
| This project will provide for upgrading electrical service, replacing existing combination buildings, comfort station, interpretive panels and trail connection. | | | |
| 90.86 RANCHO SAN ANDRES CASTRO ADOBE | | | |
| 90.86.100 Acquisition, Repair and Restoration | $13 [APWCb] | $937 [APWCb] | – |
| 90.FC SALTON SEA SP | | | |
| 90.FC.100 Restoration Project | – | 1,250 [PWCc] | – |
| 90.EB SAN BUENAVENTURA SB | | | |
| 90.EB.100 Improvements | – | 2,000 [PWCc] | – |
| 90.I6 SAN ELIJO SB | | | |
| 90.I6.605 Campground Public Use Facilities | 3,111 [WCb] | – | – |
| 90.BF SAN JUAN BAUTISTA SHP | | | |
| 90.BF.102 Rehabilitate Castro Brean Adobe | – | 1,650 [PWCc] | – |
| 90.C1 SANTA CRUZ MISSION SHP | | | |
| 90.C1.110 Public Use Facilities | 2 [Cw] | – | – |
| 90.RS SANTA LUCIA MOUNTAINS | | | |
| 90.RS.407 Acquisition: | | | |
| Habitat Conservation Fund | – | 1,500 [Ah] | 1,500 [Ah] |
| General Fund | 1,500 [Ab] | – | – |
| 90.E1 SANTA SUSANA MOUNTAINS PROJECT | | | |
| 90.E1.488 Acquisition—Proposition 70—Direct Appropriation | – | 30 [Aw] | – |
| 90.GG SILVERWOOD LAKE SRA | | | |
| 90.GG.101 Campground and Day Use Rehabilitation [B] | – | – | 356 [PWc] |
| This project will provide new group picnic ramadas, repave walkways, parking, handicapped-access restroom, expanded beach area, irrigation system, new Nature Center, RV hookups, and new turf. | | | |
| 90.3P SINKYONE WILDERNESS SP | | | |
| 90.3P.101 Watershed Rehabilitation | – | – | 1,272 [PCc] |
| This project involved the restoration of three watershed units at Sinkyone Wilderness SP. | | | |
| 90.5T SONOMA COAST SB | | | |
| 90.5T.600 Trail Rehabilitation and Development | – | 152 [SPb] | 601 [WCb] |
| 90.D8 STILWELL HALL AT FORT ORD | | | |
| 90.D8.100 Relocation and Restoration | – | 3,010 [PWCb] | – |
| 90.9F SUGAR PINE POINT SP | | | |
| 90.9F.605 Rehabilitate Day Use Area | – | 353 [PWb] | 1,889 [Cb] |
| 90.8E TAHOE SRA | | | |
| 90.8E.101 Restoration and Rehabilitation of Truckee River Outlet Parcel | – | 430 [PWb] | 2,185 [Cb] |
| 90.6L TOMALES BAY SP | | | |
| 90.6L.400 Scenic Lands, Millerton Point | 337 [Ab] | 1,200 [Ab] | – |
| 90.6L.100 Acquisition | – | 1,200 [Ac] | – |
| 90.FW TOPANGA SP | | | |
| 90.FW.100 Topanga Canyon | – | 40,000 [Ac] | – |
| 90.CO WILDER RANCH SP | | | |
| 90.CO.101 Farmhouse Rehabilitation [B] | – | – | 1,879 [PWCs] |
| This project will rehabilitate and furnish the existing 1871 Farmhouse, will bring structure up to current standards for fire and life safety, access compliance, security, and electrical and plumbing codes to make the building available to the public. | | | |
| **STATEWIDE** | | | |
| 90.RS.401 Acquisition and Prebudget Appraisal Costs | – | $50 [APb] | – |
| 90.KX.100 Acquiring and restoring space to house the Office of Historic Preservation | – | 1,200 [ACb] | – |
| 90.RS.602 Budget Development 2000 Bond | – | 500 [Sc] | $500 [Sc] |
| 90.RS.810 Capital Outlay Projects—Reimbursements | $2,342 [Cz] | 659 [Cz] | – |
| 90.RS.422 Chappie Shasta | 12 [Ao] | 2,488 [Ao] | – |
| 90.RS.130 Dispatch Centers | – | 95 [CEb] | – |
| | 5 [PWCEe] | 574 [Ee] | – |
| 90.RS.801 Federal Trust Fund—Acquisition and/or Development | 316 [ACf] | 1,200 [ACf] | 1,500 [APWCf] |
| 90.RS.601 Fresno Area/Southern San Joaquin Valley—OHV Planning | 6 [Ao] | 100 [Ao] | – |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 90.RS.416 Habitat Acquisition 2000 Bond | – | $10,000 [Ac] | $10,000 [Ac] |
| 90.RS.406 Habitat Conservation: Proposed Additions—Acquisition | | | |
| These funds will be used to acquire high priority properties that will preserve and protect wildlife and natural habitat. | | | |
| Habitat Conservation Fund | $600 [Ah] | 1,425 [Ah] | 1,000 [Ah] |
| Less Funding Provided by General Fund | −1,000 [Ah] | – | – |
| General Fund | 1,000 [Ab] | – | – |
| 90.RS.402 Inholding Purchases | – | 1,000 [Ab] | – |
| 90.RS.403 Opportunity Purchases | | | |
| Off-Highway Vehicle Trust Fund | 34 [Ao] | 121 [Ao] | – |
| 90.RS.409 Opportunity Purchases 2000 Bond | – | 5,000 [Ac] | 5,000 [Ac] |
| 90.RS.605 OHV Budget Package/Schematic Planning | – | 30 [So] | 30 [So] |
| 90.RS.405 OHV Opportunity Purchases and Prebudget Appraisals | 52 [Ao] | 748 [Ao] | 400 [Ao] |
| This will provide for expenses associated with preacquisition planning and expenses required for processing various acquisitions, and property appraisals. | | | |
| 90.RS.415 Redwood Acquisition 2000 Bond | – | 5,000 [Ac] | 4,318 [Ac] |
| 90.RS.811 River Parkway Program | 285 [Cd] | – | – |
| 90.RS.411 San Diego Area: OHV Site Acquisition | 1 [Ao] | 3,999 [Ao] | – |
| 90.RS.706 South Cow Mountain Project | – | 1,400 [SAo] | – |
| 90.RS.491.980 State Parks-Acq. and Minor Projects (Los Angeles Counties) | – | 290 [ACw] | – |
| 90.RS.492.980 State Parks-Acq. and Minor Projects (Orange, Riverside, and San Bernardino Counties) | 8 [Aw] | 2,411 [ACw] | – |
| 90.RS.494.980 State Parks-Acq. and Minor Projects (Sacramento Counties) | 8 [ACw] | 729 [ACw] | – |
| 90.RS.495.980 State Parks-Acq. and Minor Projects (Lake, Napa, and Sonoma Counties) | – | 568 [ACw] | – |
| 90.RS.496.980 State Parks-Acq. and Minor Projects (Nevada Counties) | 240 [ACw] | – | – |
| Totals, Major Projects | $18,787 | $269,278 | $63,723 |
| **MINOR PROGRAMS** | | | |
| 90.B2.205 Auburn SRA-Quarry Road Stabilization | $40 [Cb] | – | – |
| 90.RS.240 California Sno-Park | | | |
| 88 Bond | 360 [Cw] | – | – |
| 2000 Bond | – | – | $810 [Cc] |
| This allocation will provide snow-cleared parking facilities near winter recreation areas. | | | |
| 90.RS.220 Disasters Program | 62 [Cb] | – | – |
| 90.F6.206 Los Encinos SHP-Earthquake Repair | 400 [Cb] | – | – |
| 90.RS.206 OHV Unit Projects | 197 [Co] | $424 [Co] | 135 [Co] |
| This allocation will allow completion of various minor projects to improve facilities at SVRAs. | | | |
| 90.4C.102 Point Cabrillo Light Station and Preserve | – | – | 134 [Cc] |
| This allocation will allow for drainage and erosion control. | | | |
| 90.RS.260 Recreational Trails | 249 [Cw] | – | 65 [Cw] |
| This allocation will provide for additional trails and related improvements. | | | |
| 90.RS.205 State Park System Projects | | | |
| General Fund | 2,644 [Cb] | – | – |
| Recreation and Fish and Wildlife Enhancement Fund | 39 [Cs] | – | – |
| 90.RS.291.980 State Parks-Minor Projects (Riverside Co.) | – | 444 [Cw] | – |
| 90.RS.292.980 State Parks-Minor Projects (Sacramento Co.) | – | 5 [Cw] | – |
| 90.RS.293.980 State Parks-Minor Projects (Lake Co.) | – | 46 [Cw] | – |
| 90.RS.294.980 State Parks-Minor Projects (San Mateo Co.) | – | 180 [Cw] | – |
| 90.RS.230 Stewardship Program | 283 [Cw] | 258 [Cw] | – |
| 90.RS.235 Volunteer Program | | | |
| 2000 Bond | – | – | 3,619 [Cc] |
| 88 Bond | 59 [Cw] | – | – |
| This allocation will allow facility improvements to enhance volunteer participation. | | | |
| Totals, Minor Projects | $4,333 | $1,357 | $4,763 |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $23,120 | $270,635 | $68,486 |
| *0001 General Fund* [b] | *15,767* | *31,092* | *11,290* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | – | *203,202* | *42,993* |
| *0140 California Environmental License Plate Fund* [n] | *479* | *256* | *183* |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* [x] | *$2* | – | – |
| *0262 Habitat Conservation Fund* [h] | *2,100* | *$2,925* | *$2,500* |
| *Less funding provided by the General Fund* | *–2,500* | – | – |
| *0263 Off-Highway Vehicle Trust Fund* [o] | *1,307* | *23,798* | *4,665* |
| *0516 Harbors and Watercraft Revolving Fund* [e] | *5* | *574* | – |
| *0545 River Parkway Subaccount* [d] | *285* | – | – |
| *0722 Parklands Fund of 1984* [r] | *503* | *766* | – |
| *0728 Recreation and Fish and Wildlife Enhancement Fund* [s] | *39* | – | – |
| *0786 California Wildlife, Coastal and Park Land Conservation Fund of 1988* [w] | *1,702* | *5,263* | *65* |
| *0890 Federal Trust Fund* [f] | *316* | *1,200* | *1,500* |
| *0995 Reimbursements* [z] | *3,115* | *1,559* | *5,290* |
| NET TOTALS, EXPENDITURES, CAPITAL OUTLAY (ALL FUNDS) | $23,120 | $270,635 | $68,486 |

## RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $7,304 | $9,152 | $11,030 |
| 302 Budget Act appropriation | 11,447 | 1,200 | – |
| Transfer from Item 3790-101-0001 per Chapter 1021, Statutes of 1999 | 3,960 | – | – |
| 311 Budget Act appropriation (transfer to the Habitat Conservation Fund) | 2,500 | – | – |
| Prior year balances available: | | | |
| Item 3790-301-0001/1996, Reapp by Item 3790-490/1999 | 100 | 95 | – |
| Item 3790-301-0001/1997, Reapp by Item 3790-490/1999 | 0 [1] | 0 [1] | – |
| Item 3790-301-0001/1998, Reapp by Item 3790-490/1999 and 2000 | 9,019 | 5,083 | – |
| Revision per Government Code Section 16351,16351.5, and 16408 | –410 | – | – |
| Item 3790-301-0001/00, Reapp by Item 3790-490/2001 | – | – | 260 |
| Item 3790-301-0001/1999, Reapp by Item 3790-490/2000 | – | 604 | – |
| Item 3790-302-0001/1998, Reapp by Item 3790-490/1999 | 3,787 | 3,022 | – |
| Revision per Government Code Section 16351, 16351.5, and 16408 | – | –22 | – |
| Item 3790-302-0001/1999, Reapp by Item 3790-490/2000 | – | 12,222 | – |
| Revision per Government Code Section 16351, 16351.5, and 16408 | – | –4 | – |
| Tsf Sec 8g auth from 3790-301-164/93 to GF per GC Sec 16346; reapp 3790-490/97 | 1 | – | – |
| Totals Available | $37,708 | $31,352 | $11,290 |
| Unexpended balance, estimated savings | –916 | – | – |
| Balance available in subsequent years | –21,025 | –260 | – |
| TOTALS, EXPENDITURES | $15,767 | $31,092 | $11,290 |

[1] Fully reimbursed.

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [c]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | – | $177,608 | $42,993 |
| 302 Budget Act appropriation | – | 25,594 | – |
| TOTALS, EXPENDITURES | – | $203,202 | $42,993 |

### 0140 California Environmental License Plate Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $248 | $256 | $183 |
| Prior year balances available: | | | |
| Item 3790-301-0140/1997 | 243 | – | – |
| Totals Available | $491 | $256 | $183 |
| Unexpended balance, estimated savings | –12 | – | – |
| TOTALS, EXPENDITURES | $479 | $256 | $183 |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund [x]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3790-301-0235/1996, Reapp by Item 3790-490/1999 | $2 | – | – |
| TOTALS, EXPENDITURES | $2 | – | – |
| **0262 Habitat Conservation Fund [h]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $2,500 | $2,500 | $2,500 |
| Prior year balances available: | | | |
| Item 3790-301-0262/1998 | 25 | – | – |
| Item 3790-301-0262/1999 | – | 425 | – |
| Totals Available | $2,525 | $2,925 | $2,500 |
| Balance available in subsequent years | –425 | – | – |
| TOTALS, EXPENDITURES | $2,100 | $2,925 | $2,500 |
| Less funding provided by the General Fund | –2,500 | – | – |
| NET TOTALS, EXPENDITURES | –$400 | $2,925 | $2,500 |
| **0263 Off-Highway Vehicle Trust Fund [o]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $10,814 | $13,054 | $4,665 |
| Revision per Government Code Sections 16351, 16351.5, and 16408 | –5,304 | – | – |
| Prior year balances available: | | | |
| Item 3790-301-0263/1991, Reapp by Item 3790-490/1994 and 1997 | 112 | – | – |
| Item 3790-301-0263/1994, Reapp by Item 3790-490/1997 | 1 | – | – |
| Item 3790-301-0263/1995, Reapp by Item 3790-490/1996, 1997 and 1998 | 876 | 239 | – |
| Item 3790-301-0263/1996, Reapp by Item 3790-490/1999 | 4,000 | 3,999 | – |
| Item 3790-301-0263/1997, Reapp by Item 3790-490/2000 | 1,326 | 1,075 | – |
| Item 3790-301-0263/1998 | 3,519 | 3,195 | – |
| Item 3790-301-0263/1999 | – | 5,036 | – |
| Totals Available | $15,344 | $26,598 | $4,665 |
| Unexpended balance, estimated savings | –493 | –2,800 | – |
| Balance available in subsequent years | –13,544 | – | – |
| TOTALS, EXPENDITURES | $1,307 | $23,798 | $4,665 |
| **0392 State Parks and Recreation Fund [p]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3790-301-0392/1987, Reapp by Item 3790-490/1990, 1993, 1996 and 1997 | $193 | – | – |
| Chapter 1371, Statutes of 1990, Reapp by Item 3790-490/1993, 1996 and 1997 | 41 | – | – |
| Totals Available | $234 | – | – |
| Unexpended balance, estimated savings | –234 | – | – |
| TOTALS, EXPENDITURES | – | – | – |
| **0516 Harbors and Watercraft Revolving Fund [e]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3790-301-0516/1994, Reapp by Item 3790-490/1995, 1996, 1997 and 2000 | $579 | $574 | – |
| Totals Available | $579 | $574 | – |
| Balance available in subsequent years | –574 | – | – |
| TOTALS, EXPENDITURES | $5 | $574 | – |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0545 River Parkway Subaccount [d]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3790-301-0545/1998, Reapp by Item 3790-490/1999 | $408 | – | – |
| Totals Available | $408 | – | – |
| Unexpended balance, estimated savings | –123 | – | – |
| TOTALS, EXPENDITURES | $285 | – | – |
| **0722 Parkland Fund of 1984 [r]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3790-301-0722/1985, Reapp by Item 3790-490/1986-89, 1992, 1995 & 1998 | $769 | $766 | – |
| Item 3790-301-0722/1987, Reapp by Item 3790-490/1990, 1992, 1993, 1996 & 1997 | 910 | – | – |
| Totals Available | $1,679 | $766 | – |
| Unexpended balance, estimated savings | –410 | – | – |
| Balance available in subsequent years | –766 | – | – |
| TOTALS, EXPENDITURES | $503 | $766 | – |
| **0728 Recreation and Fish and Wildlife Enhancement Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $447 | – | – |
| Totals Available | $447 | – | – |
| Unexpended balance, estimated savings | –408 | – | – |
| TOTALS, EXPENDITURES | $39 | – | – |
| **0786 California Wildlife, Coastal and Park Land Conservation Fund of 1988 [w]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $841 | – | $65 |
| Prior year balances available: | | | |
| Item 3790-301-0786/1994, Reapp by Item 3790-490/1995, 1996, 1997 and 1998 | 143 | – | – |
| Item 3790-301-0786/1997 | 276 | – | – |
| Item 3790-301-0786/1998 | 190 | $133 | – |
| Item 3790-301-0786/1999 | – | 125 | – |
| Public Resources Code Section 5922 as reallocated by Chapter 326/1998 | 5,723 | 4,975 | – |
| Public Resources Code Section 5907 (Proposition 70) | 30 | 30 | – |
| Totals Available | $7,203 | $5,263 | $65 |
| Unexpended balance, estimated savings | –238 | – | – |
| Balance available in subsequent years | –5,263 | – | – |
| TOTALS, EXPENDITURES | $1,702 | $5,263 | $65 |
| **0890 Federal Trust Fund [f]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $600 | – | $1,500 |
| Prior year balances available: | | | |
| Item 3790-301-0890/1997 | 600 | – | – |
| Budget Adjustment | –284 | – | – |
| Item 3790-301-0890/1998 | 600 | $600 | – |
| Item 3790-301-0890/1999 | – | 600 | – |
| Totals Available | $1,516 | $1,200 | $1,500 |
| Balance available in subsequent years | –1,200 | – | – |
| TOTALS, EXPENDITURES | $316 | $1,200 | $1,500 |

*** Dollars in thousands, except in Salary Range.**

## 3790 DEPARTMENT OF PARKS AND RECREATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0995 Reimbursements [z]** | | | |
| APPROPRIATIONS | | | |
| Reimbursements | $3,115 | $1,559 | $5,290 |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $23,120 | $270,635 | $68,486 |

The following footnotes differ from the standard statewide footnotes due to the variety of specific fund sources for the Department of Parks and Recreation budget. Footnotes apply only to Parks and Recreation capital outlay.

[b] General Fund
[c] Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund.
[d] River Parkway Subaccount
[e] Harbors and Watercraft Revolving Fund
[f] Federal Trust Fund
[h] Habitat Conservation Fund
[n] Environmental License Plate Fund, California
[o] Off-Highway Vehicle Trust Fund
[p] State Parks and Recreation Fund
[r] Parklands Fund of 1984
[s] Recreation & Fish & Wildlife Enhancement Fund
[v] State, Urban, and Coastal Park Fund (1976)
[w] California Wildlife, Coastal Park Land Conservation Fund of 1988
[x] Public Resources Account, Cigarette and Tobacco Products Surtax Fund
[z] Reimbursements

ABBREVIATIONS
OHV Off-Highway Vehicle
SB State Beach
SHM State Historic Monument
SHP State Historic Park
SP State Park
SR State Reserve
SRA State Recreation Area
SVRA State Vehicular Recreation Area
SW State Wilderness
WC Wayside Campground

# 3810 SANTA MONICA MOUNTAINS CONSERVANCY

### Program Objectives Statement

The Santa Monica Mountains Conservancy acquires, restores and consolidates lands in the Santa Monica Mountains Zone for park, recreation or conservation purposes. The powers of the Conservancy include authority to:

1. Acquire real property, and improve, lease, rent, sell, transfer or exchange these lands for park purposes;
2. Award grants or interest free loans to state and local agencies for purchase or restoration of park, recreation, conservation or buffer-zone purposes to ensure that the character and intensity of development on these lands is generally compatible with and does not adversely impact the zone;
3. Implement programs to improve access from the inner city areas surrounding the zone, thereby providing recreational opportunities for all groups wishing to enjoy nature;
4. Execute projects consistent with Division 23 of the Public Resources Code within the Rim of the Valley Trail Corridor to provide a recreational trail corridor; and
5. Work with local government to implement the Safe Neighborhood Parks Act of 1992 and the Los Angeles County Park, Beach, and Recreational Facilities Act of 1996, and other measures which help achieve the Conservancy's objectives.

### Authority

Public Resources Code Section 33000, et seq.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Santa Monica Mountains Conservancy | 4.9 | 6.0 | 6.0 | $521 | $631 | $652 |
| *0140 California Environmental License Plate Fund* | | | | *447* | *631* | *652* |
| *0941 Santa Monica Mountains Conservancy Fund* | | | | *74* | – | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3810 SANTA MONICA MOUNTAINS CONSERVANCY—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4.9 | 6.0 | 6.0 | $352 | $410 | $415 |
| Net Totals, Salaries and Wages | 4.9 | 6.0 | 6.0 | $352 | $410 | $415 |
| Staff Benefits | – | – | – | 60 | 63 | 64 |
| Totals, Personal Services | 4.9 | 6.0 | 6.0 | $412 | $473 | $479 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $109 | $158 | $173 |
| TOTALS, EXPENDITURES | | | | $521 | $631 | $652 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $437 | $629 | $652 |
| Allocation for employee compensation | 23 | 3 | – |
| Adjustment per Section 3.60 | –13 | –1 | – |
| TOTALS, EXPENDITURES | $447 | $631 | $652 |

### 0941 Santa Monica Mountains Conservancy Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation | $120 | – | – |
| Allocation for employee compensation | 7 | – | – |
| Adjustment per Section 3.60 | –4 | – | – |
| Totals Available | $123 | – | – |
| Unexpended balance, estimated savings | –49 | – | – |
| TOTALS, EXPENDITURES | $74 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $521 | $631 | $652 |

### FUND CONDITION STATEMENT
### 0941 Santa Monica Mountains Conservancy Fund [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $52 | $86 | $86 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 213000 Property and Natural Resources | 55 | – | – |
| 216000 Fees and Licenses | 5 | – | – |
| 217000 Fines and Penalties | 6 | – | – |
| 299000 Other Revenues | 42 | – | – |
| Totals, Operating Revenues | $108 | – | – |
| Totals, Resources | $160 | $86 | $86 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3810 Santa Monica Mountains Conservancy (State Operations) | 74 | – | – |
| FUND BALANCE | $86 | $86 | $86 |

*** Dollars in thousands, except in Salary Range.**

## 3810 SANTA MONICA MOUNTAINS CONSERVANCY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **20 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 20.10.140 Capital Outlay and Grants | $9,620 | $7,130 | – |
| 20.10.141 Santa Monica Mountains and Rim of the Valley Trail Corridor Projects | – | – | $11,750 |
| 20.10.142 Zanja Madre Park-Chinatown Yard (Phase I) | – | – | 2,500 |
| 50.10.001 River Parkway Program, Los Angeles River and Tributaries | 262 | – | – |
| 50.20.001 Capital Outlay Acquisitions | 345 | 100 | $50 |
| Capital Outlay and Local Assistance | – | 22,500 | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $10,227 | $29,730 | $14,300 |
| *0001 General Fund* | *9,620* | *5,630* | – |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection* | – | *19,000* | *14,250* |
| *0545 River Parkway Subaccount* | *262* | – | – |
| *0995 Reimbursements* | *345* | *100* | *50* |
| *6015 River Protection Subaccount* | – | *5,000* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $15,000 | $250 | – |
| Prior year balances available: | | | |
| Item 3810-301-0001, Budget Act of 1999 | – | 5,380 | – |
| Totals Available | $15,000 | $5,630 | – |
| Balance available in subsequent years | –5,380 | – | – |
| TOTALS, EXPENDITURES | $9,620 | $5,630 | – |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air and Coastal Protection [b]

| | | | |
|---|---|---|---|
| 301 Budget Act appropriation | – | $17,500 | $14,250 |
| 302 Budget Act appropriation | – | 1,500 | – |
| TOTALS, EXPENDITURES | – | $19,000 | $14,250 |

### 0545 River Parkway Subaccount [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 3810-301-0545, Budget Act of 1997 | $300 | – | – |
| Unexpended balance, estimated savings | –38 | – | – |
| TOTALS, EXPENDITURES | $262 | – | – |

### 0941 Santa Monica Mountains Conservancy Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $0 [1] | $0 [1] | $0 [1] |
| Prior year balances available: | | | |
| Item 3810-301-0941, Budget Act of 1999 | – | 0 [1] | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $345 | $100 | $50 |

### 6015 River Protection Subaccount [b]

| | | | |
|---|---|---|---|
| 301 Budget Act appropriation (expenditures) | – | $5,000 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $10,227 | $29,730 | $14,300 |

[1] Fully reimbursed item.

*** Dollars in thousands, except in Salary Range.**

# 3820 SAN FRANCISCO BAY CONSERVATION AND DEVELOPMENT COMMISSION

The San Francisco Bay Conservation and Development Commission (BCDC) is a regional planning agency responsible for protecting the bay and its shoreline. The commission performs the following functions: maintains the Bay Plan to serve as a guide for the conservation of the San Francisco Bay and the development of its shoreline; issues or denies permits for filling or dredging in the Bay; approves any change in the use of salt ponds, property within 100 feet of the Bay, or other "managed wetlands" adjacent to the Bay; and implements the Suisun Marsh Preservation Act of 1977.

The commission is the designated agency for the Bay segment of the coastal zone for purposes of the federal Coastal Zone Management Act. Under this federal law, the commission develops and implements the federally approved coastal management program for the Bay and exercises authority over federal activities otherwise not subject to State control. Partial reimbursement is derived from federal grants received by the California Coastal Commission.

## Major Budget Adjustments Proposed for 2001–02

- $184,000 General Fund and 2.0 positions to support personnel and accounting services.
- $328,000 General Fund and 3.0 positions to implement the CALFED program.

## Authority

Title 7.2, Section 66600 et seq., Government Code and Division 19 (beginning with Section 29000), Public Resources Code.

### SUMMARY OF PROGRAM REQUIREMENTS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Bay Conservation and Development | 33.2 | 41.7 | 46.4 | $3,779 | $4,505 | $4,958 |
| TOTALS, PROGRAMS | 33.2 | 41.7 | 46.4 | $3,779 | $4,505 | $4,958 |
| *0001 General Fund* | | | | *2,813* | *3,796* | *4,325* |
| *0890 Federal Trust Fund* | | | | *7* | *76* | *–* |
| *0914 Bay Fill Clean-Up and Abatement Fund* | | | | *176* | *–* | *–* |
| *0995 Reimbursements* | | | | *783* | *633* | *633* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 33.2 | 44.0 | 44.0 | $1,811 | $2,473 | $2,538 |
| Total Adjustments | – | – | 5.0 | – | 4 | 246 |
| Estimated Salary Savings | – | –2.3 | –2.6 | – | –123 | –140 |
| Net Totals, Salaries and Wages | 33.2 | 41.7 | 46.4 | $1,811 | $2,354 | $2,644 |
| Staff Benefits | – | – | – | 313 | 433 | 502 |
| Totals, Personal Services | 33.2 | 41.7 | 46.4 | $2,124 | $2,787 | $3,146 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,655 | $1,718 | $1,812 |
| TOTALS, EXPENDITURES | | | | $3,779 | $4,505 | $4,958 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $2,784 | $3,807 | $4,325 |
| Allocation for employee compensation | 150 | 12 | – |
| Adjustment per Section 3.60 | –96 | –23 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $2,839 | $3,796 | $4,325 |
| Unexpended balance, estimated savings | –26 | – | – |
| TOTALS, EXPENDITURES | $2,813 | $3,796 | $4,325 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $106 | $76 | – |
| Allocation for employee compensation | 1 | – | – |
| Adjustment per Section 3.60 | –1 | – | – |
| Budget adjustment | –99 | – | – |
| TOTALS, EXPENDITURES | $7 | $76 | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3820 SAN FRANCISCO BAY CONSERVATION AND DEVELOPMENT COMMISSION—Continued

### 0914 Bay Fill Clean-Up and Abatement Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $175 | – | – |
| Allocation for employee compensation | 7 | – | – |
| Adjustment per Section 3.60 | –6 | – | – |
| TOTALS, EXPENDITURES | $176 | – | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $783 | $633 | $633 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,779 | $4,505 | $4,958 |

## FUND CONDITION STATEMENT

### 0273 Long Term Management Strategy Completion Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $3 | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfer to Other Funds: | | | |
| T00001 General Fund per Government Code Section 16346 | –3 | – | – |
| Totals, Revenues and Transfers | –$3 | – | – |
| Totals, Resources | – | – | – |
| FUND BALANCE | – | – | – |

### 0914 Bay Fill Clean-Up and Abatement Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $154 | $22 | $142 |
| Prior year adjustments | –9 | – | – |
| Balance, Adjusted | $145 | $22 | $142 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 4 | 16 | 16 |
| 217000 Fines and penalties | 49 | 104 | 100 |
| Totals, Operating Revenues | $53 | $120 | $116 |
| Totals, Resources | $198 | $142 | $258 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3820 San Francisco Bay Conservation and Development Commission (State Operations) | 176 | – | – |
| FUND BALANCE | $22 | $142 | $258 |

## CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 33.2 | 44.0 | 44.0 | $1,811 | $2,473 | $2,538 |
| Salary adjustments | – | – | – | – | 4 | 5 |
| Totals, Adjusted Authorized Positions | 33.2 | 44.0 | 44.0 | $1,811 | $2,477 | $2,543 |
| Proposed New Positions: | | | | Salary Range | | |
| Coastal Prog Analyst II | – | – | 2.0 | 4,110–4,997 | – | 109 |
| Assoc Pers Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Acctg Ofcr | – | – | 1.0 | 3,418–4,155 | – | 48 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 32 |
| Totals, Proposed New Positions | – | – | 5.0 | – | – | $241 |
| Total Adjustments | – | – | 5.0 | – | $4 | $246 |
| TOTALS, SALARIES AND WAGES | 33.2 | 44.0 | 49.0 | $1,811 | $2,477 | $2,784 |

*** Dollars in thousands, except in Salary Range.**

# 3825 SAN GABRIEL AND LOWER LOS ANGELES RIVERS AND MOUNTAINS CONSERVANCY

Chapters 788 and 789, Statutes of 1999, established the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy to acquire and manage public lands in the main San Gabriel Basin, the San Gabriel River and its tributaries, the Lower Los Angeles River and its tributaries, and the San Gabriel mountains, and to provide open space, low-impact recreational and educational uses, water conservation, watershed improvement, and wildlife and habitat restoration and protection. The governing board consists of 13 voting members and 7 non-voting members. During the initial year, the Conservancy will engage in the development of a master plan for the river systems.

## Authority

Division 22.8, Section 32600 et seq., Public Resources Code.

### SUMMARY OF PROGRAM REQUIREMENTS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy | – | 2.0 | 2.0 | – | $243 | $244 |
| TOTALS, PROGRAMS | – | 2.0 | 2.0 | – | $243 | $244 |
| *0140 California Environmental License Plate Fund* | | | | – | *243* | *244* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 2.0 | 2.0 | – | $117 | $117 |
| Estimated Salary Savings | – | – | – | – | −4 | −3 |
| Net Totals, Salaries and Wages | – | 2.0 | 2.0 | – | $113 | $114 |
| Staff Benefits | – | – | – | – | 28 | 28 |
| Totals, Personal Services | – | 2.0 | 2.0 | – | $141 | $142 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $102 | $102 |
| TOTALS, EXPENDITURES | | | | – | $243 | $244 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $243 | $244 |
| TOTALS, EXPENDITURES (State Operations) | – | $243 | $244 |

# 3830 SAN JOAQUIN RIVER CONSERVANCY

The San Joaquin River Conservancy was established in 1992 for the purpose of acquiring and managing public lands within the San Joaquin River Parkway. The Parkway consists of the San Joaquin River and approximately 5,900 acres on both sides of the river between the Friant Dam and the Highway 99 crossing. The governing board for the Conservancy consists of fifteen voting members.

## Authority

Public Resources Code, Division 22.5 (commencing with Section 32500).

### SUMMARY OF PROGRAM REQUIREMENTS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 San Joaquin River Conservancy | 1.1 | 2.0 | 2.0 | $174 | $236 | $205 |
| TOTALS, PROGRAMS | 1.1 | 2.0 | 2.0 | $174 | $236 | $205 |
| *0140 California Environmental License Plate Fund* | | | | *174* | *221* | *205* |
| *0995 Reimbursements* | | | | – | *15* | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3830 SAN JOAQUIN RIVER CONSERVANCY—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1.1 | 2.0 | 2.0 | $56 | $79 | $83 |
| Net Totals, Salaries and Wages | 1.1 | 2.0 | 2.0 | $56 | $79 | $83 |
| Staff Benefits | – | – | – | 7 | 26 | 23 |
| Totals, Personal Services | 1.1 | 2.0 | 2.0 | $63 | $105 | $106 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $111 | $131 | $99 |
| TOTALS, EXPENDITURES | | | | $174 | $236 | $205 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

#### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $202 | $221 | $205 |
| Allocation for employee compensation | 6 | 1 | – |
| Adjustment per Section 3.60 | –4 | –1 | – |
| Totals Available | $204 | $221 | $205 |
| Unexpended balance, estimated savings | –30 | – | – |
| TOTALS, EXPENDITURES | $174 | $221 | $205 |

#### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | – | $15 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $174 | $236 | $205 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **20 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 20 Capital Outlay Acquisition and Enhancement Projects | $158 [A] | $9,642 [A] | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $158 | $9,642 | – |
| *0995 Reimbursements* | *158* | *9,642* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY

#### 0104 San Joaquin River Conservancy Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 301 Budget Act appropriation | $0 [1] | – | – |
| Prior year balances available: | | | |
| Item 3830-301-0104, Budget Act of 1997 | 0 [1] | – | – |
| Item 3830-301-0104, Budget Act of 1998 | 0 [1] | $0 [1] | – |
| Item 3830-301-0104, Budget Act of 1999 | – | 0 [1] | – |

#### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $158 | $9,642 | – |
| TOTAL EXPENDITURES, CAPITAL OUTLAY (All Funds) | $158 | $9,642 | – |

[1] Fully reimbursed item.

*** Dollars in thousands, except in Salary Range.**

# 3835 BALDWIN HILLS CONSERVANCY

## Program Objective Statement

The Baldwin Hills Conservancy acquires and manages public lands within the Baldwin Hills area, and provides recreational, open-space, wildlife habitat restoration and protection, and lands for educational uses within the area.

## Major Budget Adjustment Proposed for 2001–02

- $264,000 General Fund and 2.0 positions to provide an executive officer and support staff for this newly established conservancy.

## Authority

Division 22.7, Section 32550 et seq., Public Resources Code.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Baldwin Hills Conservancy | – | – | 1.9 | – | – | $264 |
| TOTALS, PROGRAMS | – | – | 1.9 | – | – | $264 |
| *0001 General Fund* | | | | – | – | *264* |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | – | – | – | – | – | – |
| Total Adjustments | – | – | 2.0 | – | – | $123 |
| Estimated Salary Savings | – | – | –0.1 | – | – | – |
| Net Totals, Salaries and Wages | – | – | 1.9 | – | – | $123 |
| Staff Benefits | – | – | – | – | – | 27 |
| Totals, Personal Services | – | – | 1.9 | – | – | $150 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | – | $114 |
| TOTALS, EXPENDITURES | | | | – | – | $264 |

| RECONCILIATION WITH APPROPRIATIONS<br>1 STATE OPERATIONS<br>0001 General Fund | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (expenditures) | – | – | $264 |
| TOTALS, EXPENDITURES (State Operations) | – | – | $264 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | – | – | – | – | – | – |
| Proposed New Positions: | | | | Salary Range | | |
| Bd Members Per Diem | – | – | – | $100/meeting | – | $6 |
| Exec Ofcr | – | – | 1.0 | 6,462–6,988 | – | 81 |
| Exec Secty | – | – | 1.0 | 2,688–3,268 | – | 36 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $123 |
| Total Adjustments | – | – | 2.0 | – | – | $123 |
| TOTALS, SALARIES AND WAGES | – | – | 2.0 | – | – | $123 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 3840 DELTA PROTECTION COMMISSION

The Delta Protection Commission provides a regional approach to protecting the Sacramento-San Joaquin Delta's resources through comprehensive regional land use planning implemented by local government in its local land use planning procedures and enforcement. The commission, comprised of 13 local and 6 state government officials, prepared and adopted a ''comprehensive long-term resources management plan'' for land uses within the Sacramento-San Joaquin Delta. The commission is established until January 1, 2010 (extended from January 1, 1999 by Chapter 584, Statutes of 1998) to ensure that local governments conform their general plans and development permit decisions to the requirements of the regional plan and to adopt amendments to the regional plan as necessary.

## Authority

Public Resources Code Division 19.5 (commencing with Section 29700).

### SUMMARY OF PROGRAM REQUIREMENTS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Delta Protection | 2.4 | 3.6 | 3.6 | $248 | $339 | $315 |
| *0140 California Environmental License Plate Fund* | | | | *122* | *155* | *146* |
| *0516 Harbors and Watercraft Revolving Fund* | | | | *125* | *184* | *169* |
| *0995 Reimbursements* | | | | *1* | – | – |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 2.4 | 3.8 | 3.8 | $130 | $151 | $153 |
| Estimated Salary Savings | – | –0.2 | –0.2 | – | –8 | –8 |
| Net Totals, Salaries and Wages | 2.4 | 3.6 | 3.6 | $130 | $143 | $145 |
| Staff Benefits | – | – | – | 23 | 45 | 46 |
| Totals, Personal Services | 2.4 | 3.6 | 3.6 | $153 | $188 | $191 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $95 | $151 | $124 |
| TOTALS, EXPENDITURES | | | | $248 | $339 | $315 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $132 | $155 | $146 |
| Allocation for employee compensation | 6 | 1 | – |
| Adjustment per Section 3.60 | –5 | –1 | – |
| Totals Available | $133 | $155 | $146 |
| Unexpended balance, estimated savings | –11 | – | – |
| TOTALS, EXPENDITURES | $122 | $155 | $146 |

### 0516 Harbors and Watercraft Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $155 | $184 | $169 |
| Allocation for employee compensation | 5 | 1 | – |
| Adjustment per Section 3.60 | –5 | –1 | – |
| Totals Available | $155 | $184 | $169 |
| Unexpended balance, estimated savings | –30 | – | – |
| TOTALS, EXPENDITURES | $125 | $184 | $169 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $1 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $248 | $339 | $315 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3840 DELTA PROTECTION COMMISSION—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 2.4 | 3.8 | 3.8 | $130 | $151 | $153 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: | | | | | | |
| Envirntl Spec I | – | 1.0 | – | Salary Range 2,633–3,163 | 33 | – |
| Reductions in Authorized Positions: | | | | | | |
| Temporary Help | – | −1.0 | −1.0 | – | −33 | −33 |
| Totals, Workload and Administrative Adjustments | – | – | −1.0 | – | – | −$33 |
| Proposed New Positions: | | | | | | |
| Envirntl Spec I | – | – | 1.0 | 2,633–3,163 | – | 33 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $33 |
| Total Adjustments | – | – | – | – | – | – |
| TOTALS, SALARIES AND WAGES | 2.4 | 3.8 | 3.8 | $130 | $151 | $153 |

## 3850 COACHELLA VALLEY MOUNTAINS CONSERVANCY

The Coachella Valley Mountains Conservancy was established in 1990 to acquire and hold, in perpetual open space, mountainous lands surrounding the Coachella Valley and natural community conservation lands within the Coachella Valley, and to provide for the protection of wildlife resources on, and the public's enjoyment of those lands. The governing board consists of twenty-one voting members.

### Authority

Division 23.5, Section 33500 et seq., Public Resources Code.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Coachella Valley Mountains Conservancy | 2.0 | 2.0 | 2.0 | $181 | $251 | $252 |
| TOTALS, PROGRAMS | 2.0 | 2.0 | 2.0 | $181 | $251 | $252 |
| *0140 California Environmental License Plate Fund* | | | | – | *104* | *105* |
| *0296 Coachella Valley Mountains Conservancy Fund* | | | | – | *35* | *35* |
| *0995 Reimbursements* | | | | *181* | *112* | *112* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 2.0 | 2.0 | 2.0 | $119 | $132 | $134 |
| Net Totals, Salaries and Wages | 2.0 | 2.0 | 2.0 | $119 | $132 | $134 |
| Staff Benefits | – | – | – | 23 | 21 | 21 |
| Totals, Personal Services | 2.0 | 2.0 | 2.0 | $142 | $153 | $155 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $39 | $98 | $97 |
| TOTALS, EXPENDITURES | | | | $181 | $251 | $252 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | – | $105 | $105 |
| Allocation for employee compensation | – | 1 | – |
| Adjustment per Section 3.60 | – | −2 | – |
| TOTALS, EXPENDITURES | – | $104 | $105 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3850 COACHELLA VALLEY MOUNTAINS CONSERVANCY—Continued

### 0296 Coachella Valley Mountains Conservancy Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $30 | $35 | $35 |
| Allocation for employee compensation | 1 | – | – |
| Adjustment per Section 3.60 | –1 | – | – |
| Totals Available | $30 | $35 | $35 |
| Unexpended balance, estimated savings | –30 | – | – |
| TOTALS, EXPENDITURES | – | $35 | $35 |
| **0995 Reimbursements** | | | |
| Reimbursements | $181 | $112 | $112 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $181 | $251 | $252 |

### FUND CONDITION STATEMENT
### 0296 Coachella Valley Mountains Conservancy Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $3 | $1 | $2 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous revenue | – | 165 | 33 |
| Totals, Revenues and Transfers | – | $165 | $33 |
| Totals, Resources | $3 | $166 | $35 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3850 Coachella Valley Mountains Conservancy: | | | |
| State Operations | – | 35 | 35 |
| Capital Outlay | 2 | 129 | – |
| Totals, Disbursements | $2 | $164 | $35 |
| FUND BALANCE | $1 | $2 | – |
| Reserve for economic uncertainties | 1 | 2 | – |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **20 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 20 Capital Outlay Acquisition and Enhancement Projects and Costs | $2 [As] | $11,129 [Asb] | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $2 | $11,129 | – |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | – | *4,854* | – |
| *0296 Coachella Valley Mountains Conservancy Fund* | *2* | *129* | – |
| *0995 Reimbursements* | – | *6,146* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $4,854 | – |

*** Dollars in thousands, except in Salary Range.**

## 3850 COACHELLA VALLEY MOUNTAINS CONSERVANCY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0296 Coachella Valley Mountains Conservancy Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $100 | – | – |
| Prior year balances available: | | | |
| Item 3850-301-0296, Budget Act of 1997 | 29 | – | – |
| Item 3850-301-0296, Budget Act of 1998 | 29 | $29 | – |
| Item 3850-301-0296, Budget Act of 1999 | – | 100 | – |
| Totals Available | $158 | $129 | – |
| Balance available in subsequent years | −129 | – | – |
| Unexpended balance, estimated savings | −27 | – | – |
| TOTALS, EXPENDITURES | $2 | $129 | – |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $6,146 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $2 | $11,129 | – |

# 3860 DEPARTMENT OF WATER RESOURCES

The Department of Water Resources protects, conserves, develops, and manages California's water. The department has a major responsibility for supplying suitable water for personal use, irrigation, industry, recreation, power generation, and fish and wildlife; for flood management and the safety of dams, and to educate the public about the importance of water and its proper use.

### Authority

California Water Code, Division 1, Chapter 2, Article 1.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Continuing Formulation of the California Water Plan | 259.9 | 285.7 | 348.0 | $60,113 | $393,388 | $200,272 |
| 15 CALFED Bay-Delta Program | 65.4 | 71.9 | 114.1 | 20,181 | 167,552 | 113,811 |
| 20 Implementation of the State Water Resources Development System | 1,435.1 | 1,577.7 | 1,544.2 | 217,104 | 247,384 | 238,067 |
| 30 Public Safety and Prevention of Damage | 244.3 | 268.6 | 270.5 | 82,468 | 245,307 | 191,914 |
| 40 Services | 17.9 | 19.7 | 14.9 | 4,725 | 4,866 | 4,899 |
| 50 Management and Administration | 579.7 | 637.3 | 636.4 | 70,202 | 50,459 | 76,942 |
| Distributed Management and Administration | – | – | – | −70,202 | −50,459 | −76,942 |
| TOTALS, PROGRAMS | 2,602.3 | 2,860.9 | 2,928.1 | $384,591 | $1,058,497 | $748,963 |
| 99 Loan Repayment Program | | | | −4,154 | −4,013 | −4,013 |
| TOTALS, ADJUSTED PROGRAMS | | | | $380,437 | $1,054,484 | $744,950 |
| *0001 General Fund* | | | | *110,248* | *338,986* | *256,385* |
| *0050 Colorado River Management Account* | | | | – | *50,000* | – |
| *Less funding provided by the General Fund* | | | | – | *−50,000* | – |
| *0140 California Environmental License Plate Fund* | | | | *736* | *760* | *768* |
| *0176 Delta Flood Protection Fund* | | | | *500* | – | — |
| *0404 Central Valley Project Improvement Subaccount* | | | | *2,890* | *4,342* | *1,565* |
| *0409 Delta Levee Rehabilitation Subaccount* | | | | *1,273* | *30,229* | *10,133* |
| *0445 Feasibility Projects Subaccount* | | | | *907* | *2,864* | *1,456* |
| *0446 Water Conservation and Groundwater Recharge Subaccount* | | | | *10,287* | *14,315* | *119* |
| *0465 Energy Resources Programs Account* | | | | *729* | *1,681* | *1,700* |
| *State Water Project Funds* | | | | *222,321* | *251,267* | *242,557* |
| *Loan Repayments* | | | | *−1,576* | *−1,530* | *−1,530* |
| *0502 California Water Resources Development Bond Fund* | | | | *(188,120)* | *(193,976)* | *(181,312)* |
| *Loan Repayments* | | | | *(−1,303)* | *(−1,300)* | *(−1,300)* |
| *0506 Central Valley Water Project Construction Fund* | | | | *(23,863)* | *(44,689)* | *(48,323)* |
| *Loan Repayments to Local Agencies* | | | | *(−273)* | *(−230)* | *(−230)* |
| *0507 Central Valley Water Project Revenue Fund* | | | | *(10,338)* | *(12,602)* | *(12,922)* |
| *0543 Local Projects Subaccount* | | | | *233* | *10,232* | *10,233* |
| *0544 Sacramento Valley Water Management and Habitat Protection Subaccount* | | | | *423* | *8,145* | *16,460* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 0629 | Safe Drinking Water State Revolving Fund | – | −$70,000 | – |
| 0707 | California Safe Drinking Water Fund | $474 | 3,707 | $4,786 |
| 0740 | 1984 State Clean Water Bond Fund | 578 | 692 | 1,072 |
| | Loan Repayments | −328 | −325 | −325 |
| 0744 | 1986 Water Conservation and Water Quality Bond Fund | 3,781 | 5,917 | 5,060 |
| | Loan Repayments | −2,250 | −2,158 | −2,158 |
| 0786 | California Wildlife, Coastal and Park Land Conservation Fund of 1988 | 82 | – | – |
| 0790 | 1988 Water Conservation Fund | 3,292 | 8,695 | 12,042 |
| 0793 | California Safe Drinking Water Bond Fund of 1988 | 2,215 | 7,673 | 7,251 |
| 0890 | Federal Trust Fund | 7,783 | 30,294 | 60,176 |
| 0940 | Renewable Resources Investment Fund | 577 | 675 | 662 |
| 0995 | Reimbursements | 15,262 | 10,812 | 20,609 |
| 6001 | Safe Drinking Water, Clean Water, Watershed Protection, and Flood Protection Bond Fund | – | 70,000 | – |
| 6003 | Floodplain Mapping Subaccount | – | 2,375 | – |
| 6005 | Flood Protection Corridor Subaccount | – | 36,626 | 937 |
| 6006 | Flood Control Subventions Subaccount | – | 42,750 | – |
| 6007 | Urban Streams Restoration Subaccount | – | 2,447 | 7,447 |
| 6009 | San Lorenzo River Flood Control Subaccount | – | 1,900 | – |
| 6010 | Yuba Feather Flood Protection Subaccount | – | 6,578 | 4,458 |
| 6011 | Arroyo Pasajero Watershed Subaccount | – | 50 | 627 |
| 6014 | Water and Watershed Education Subaccount | – | 4,750 | – |
| 6015 | River Protection Subaccount | – | 17,500 | – |
| 6023 | Water Conservation Account | – | 41,191 | 23,539 |
| 6025 | Conjunctive Use Subaccount | – | 9,500 | – |
| 6026 | Bay-Delta Multipurpose Water Management Subaccount | – | – | 25,870 |
| 6027 | Interim Water Supply and Water Quality Infrastructure and Management Subaccount | – | 161,544 | 33,051 |

## 10 CONTINUING FORMULATION OF THE CALIFORNIA WATER PLAN

### Program Objectives Statement

This program develops and maintains the plan for sound management of California's water resources by local, state, and federal agencies. Regional water needs are combined into a statewide view; options are then explored to identify ways to meet these needs in an environmentally acceptable manner. This program investigates and identifies water management practices, such as conservation, water recycling, water transfers and conjunctive use, as well as structural measures.

### Major Budget Adjustments Proposed for 2001–02

- $8.1 million General Fund (GF) to preserve the Suisun Marsh.
- $300,000 GF to identify and destroy State owned abandoned wells in Ventura County.
- $110,000 GF for a scoping study to update the California Water Atlas.
- Elimination of 71.0 positions departmentwide pursuant to the Excess Vacant Position Analysis.

## 15 CALFED BAY-DELTA PROGRAM

### Program Objectives Statement

The CALFED Bay-Delta Program, a cooperative State and federal effort, was established in 1995 to address problems of the San Francisco Bay/Sacramento-San Joaquin Delta Estuary (the Bay-Delta) system. The Program's objectives are to reduce conflicts in the system by solving problems in ecosystem quality, water quality, water supply reliability, and levee and channel integrity. Its mission is to develop a long-term comprehensive plan that will restore ecological health and improve water management for beneficial uses of the Bay-Delta system. It is comprised of State and federal agencies with management or regulatory responsibilities for the Bay-Delta and is advised by the Bay-Delta Advisory Council, citizens advisors who provide public participation.

### Major Budget Adjustment Proposed for 2001–02

- $82.7 million GF, $103.2 million in other funds and 138.7 positions for implementation of the CALFED Bay-Delta Program.

## 20 IMPLEMENTATION OF THE STATE WATER RESOURCES DEVELOPMENT SYSTEM

### Program Objectives Statement

This program has three objectives:

To provide necessary water supplies to agencies which have contracted for water from the State Water Project, a network of physical facilities located from Plumas County to the Mexican border;

To plan, design, construct, operate, maintain, and manage State Water Project facilities in an efficient, economical, and timely manner; and,

To further the development of essential and economically justified local water projects through financial assistance to local public agencies.

The capital outlay portion of this program is reflected in the Summary of Capital Expenditures in this budget.

## 30 PUBLIC SAFETY AND PREVENTION OF DAMAGE

### Program Objectives Statement

This program was established to protect life and property from damage or destruction by floods, ensures proper construction and maintenance of jurisdictional dams; make loans for construction improvement or rehabilitation of domestic water systems to enable them to meet state standards for drinking water; and provide information, guidance, and assistance in water management during dry years. Activities include: preventive floodplain management to discourage unwise development in areas subject to flooding and promote proper floodproofing in already developed areas; issuance of flood warnings in cooperation with the National Weather Service; operation of flood control facilities; coordination and supervision of

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

flood fighting activities; and, annual levee and flood channel maintenance. This program also funds the cost of lands, easements, and rights-of-way for federal flood control projects. The dam safety element of this program provides design review of proposed new dams as well as periodic inspection and re-evaluation of all existing jurisdictional dams for proper construction and maintenance.

### Major Budget Adjustments Proposed for 2001–02

- $73.6 million GF for the flood control subventions program.
- $4.3 million GF for sediment removal along the Sacramento River.
- $536,000 GF and three positions for the review of flood control projects, as required by Chapter 1071, Statutes of 2000 (AB 1147).
- $375,000 GF to ensure that the department's flood warning system complies with federal radio frequency regulations.
- $300,000 GF for the Floodplain Management Task Force, as required by Chapter 1071, Statutes of 2000 (AB 1147).
- $4.4 million Yuba Feather Flood Protection Subaccount for the second year of Yuba Feather Flood Protection Program.
- $587,000 Flood Protection Corridor Subaccount for the second year of the Flood Protection Corridor Program.

## 40 SERVICES

### Program Objectives Statement

This program provides technical support to the department's activities and provides services and other departmental expertise to other agencies in the fields of water resources planning, development and management; chemical laboratory analysis; electronic data processing; mapping and surveying.

## 99 LOAN REPAYMENT PROGRAM

### Program Objectives Statement

The purpose of this program display is to show estimated Davis-Grunsky, Clean Water Bond Law of 1984 and 1986 Water Conservation and Water Quality Bond loan repayments.

### Authority

Water Code Sections 12937(b), 12938, 13450, 13999.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 10 CONTINUING FORMULATION OF THE CALIFORNIA WATER PLAN

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$22,907* | *$34,672* | *$53,409* |
| *0140 California Environmental License Plate Fund* | *736* | *760* | *768* |
| *0404 Central Valley Project Improvement Subaccount* | *2,890* | *4,342* | *1,565* |
| *0445 Feasibility Projects Subaccount* | *907* | *2,864* | *1,456* |
| *0446 Water Conservation and Groundwater Recharge Subaccount* | *287* | *315* | *119* |
| *0465 Energy Resources Programs Account* | *729* | *1,681* | *1,700* |
| *0543 Local Projects Subaccount* | *195* | *232* | *233* |
| *0544 Sacramento Valley Water Management and Habitat Protection Subaccount* | *53* | *266* | *275* |
| *0740 1984 State Clean Water Bond Fund* | *2* | *2* | *2* |
| *0744 1986 Water Conservation and Water Quality Bond Fund* | *141* | *137* | *180* |
| *State Water Project Funds* | *6,708* | *6,948* | *8,423* |
| *0790 1988 Water Conservation Fund* | *40* | *195* | *42* |
| *0890 Federal Trust Fund* | *438* | *2,627* | *5,880* |
| *0940 Renewable Resources Investment Fund* | *577* | *675* | *662* |
| *0995 Reimbursements* | *2,245* | *2,991* | *4,886* |
| *6007 Urban Stream Restoration Subaccount* | *–* | *447* | *447* |
| *6014 Water and Watershed Education Subaccount* | *–* | *2,850* | *–* |
| *6015 River Protection Subaccount* | *–* | *10,000* | *–* |
| *6023 Water Conservation Account* | *–* | *1,191* | *2,041* |
| *6026 Bay-Delta Multipurpose Water Management Subaccount* | *–* | *–* | *12,500* |
| *6027 Interim Water Supply Subaccount* | *–* | *–* | *28,233* |
| Totals, State Operations | $38,855 | $73,195 | $122,821 |
| Local Assistance: | | | |
| *0001 General Fund* | *3,300* | *50,900* | *–* |
| *0050 Colorado River Management Account* | *–* | *50,000* | *–* |
| *Less funding provided by General Fund* | *–* | *–50,000* | *–* |
| *0446 Water Conservation and Groundwater Recharge Subaccount* | *10,000* | *14,000* | *–* |
| *0543 Local Projects Subaccount* | *38* | *10,000* | *10,000* |
| *0544 Sacramento Valley Water Management and Habitat Protection Subaccount* | *370* | *7,879* | *16,185* |
| *0740 1984 State Clean Water Bond Fund* | *576* | *690* | *1,070* |
| *0744 1986 Water Conservation and Water Quality Bond Fund* | *3,640* | *5,780* | *4,880* |
| *0786 California Wildlife, Coastal, and Park Land Conservation Fund of 1988* | *82* | *–* | *–* |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0790 1988 Water Conservation Fund* | *$3,252* | *$8,500* | *$12,000* |
| *6007 Urban Stream Restoration Subaccount* | – | *2,000* | *7,000* |
| *6014 Water and Watershed Education Subaccount* | – | *1,900* | – |
| *6015 River Protection Subaccount* | – | *7,500* | – |
| *6023 Water Conservation Account* | – | *40,000* | *21,498* |
| *6025 Conjunctive Use Subaccount* | – | *9,500* | – |
| *6027 Interim Water Supply and Water Quality Infrastructure and Management Subaccount* | – | *161,544* | *4,818* |
| Totals, Local Assistance | $21,258 | $320,193 | $77,451 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Water Management Planning | 31,851 | 126,916 | 120,686 |
| State Operations: | | | |
| *0001 General Fund* | *17,670* | *28,258* | *40,133* |
| *0140 California Environmental License Plate Fund* | *541* | *558* | *564* |
| *0404 Central Valley Improvement Subaccount* | *2,890* | *4,342* | *1,565* |
| *0445 Feasibility Projects Subaccount* | *907* | *2,864* | *1,456* |
| *State Water Project Funds* | *4,015* | *4,242* | *5,556* |
| *0544 Sacramento Valley Water Management and Habitat Protection Subaccount* | *53* | *266* | *275* |
| *0890 Federal Trust Fund* | *206* | *1,235* | *4,486* |
| *0940 Renewable Resources Investment Fund* | *259* | *311* | *304* |
| *0995 Reimbursements* | *1,558* | *1,364* | *1,982* |
| *6007 Urban Stream Restoration Subaccount* | – | *447* | *447* |
| *6014 Water and Watershed Education Subaccount* | – | *2,850* | – |
| *6015 River Protection Subaccount* | – | *10,000* | – |
| *6026 Bay-Delta Multipurpose Water Management Subaccount* | – | – | *12,500* |
| *6027 Interim Water Supply Subaccount* | – | – | *28,233* |
| Local Assistance: | | | |
| *0001 General Fund* | *3,300* | *50,900* | – |
| *0050 Colorado River Management Account* | – | *50,000* | – |
| *Less funding provided by General Fund* | – | *–50,000* | – |
| *0544 Sacramento Valley Water Management and Habitat Protection Subaccount* | *370* | *7,879* | *16,185* |
| *0786 California Wildlife, Coastal, and Park Land Conservation Fund of 1988* | *82* | – | – |
| *6007 Urban Stream Restoration Subaccount* | – | *2,000* | *7,000* |
| *6014 Water and Watershed Education Subaccount* | – | *1,900* | – |
| *6015 River Protection Subaccount* | – | *7,500* | – |
| 10.20 New Sources of Water | 3,033 | 165,778 | 10,825 |
| State Operations: | | | |
| *0001 General Fund* | – | – | *339* |
| *0140 California Environmental License Plate Fund* | *195* | *202* | *204* |
| *State Water Project Funds* | *2,693* | *2,706* | *2,867* |
| *0890 Federal Trust Fund* | *37* | *225* | *226* |
| *0995 Reimbursements* | *108* | *1,101* | *2,371* |
| Local Assistance: | | | |
| *6027 Interim Water Supply and Water Quality Infrastructure and Management Subaccount* | – | *161,544* | *4,818* |
| 10.25 Water Conservation | 1,375 | 3,109 | 9,625 |
| State Operations: | | | |
| *0001 General Fund* | *435* | *1,191* | *7,693* |
| *0465 Energy Resources Programs Account* | *729* | *1,681* | *1,700* |
| *0940 Renewable Resources Investment Fund* | *211* | *237* | *232* |
| 10.27 Water Education | 107 | 127 | 126 |
| State Operations: | | | |
| *0940 Renewable Resources Investment Fund* | *107* | *127* | *126* |
| 10.29 Conservation Loans | 18,171 | 90,542 | 52,065 |
| State Operations: | | | |
| *0446 Water Conservation and Groundwater Recharge Subaccount* | *287* | *315* | *119* |
| *0543 Local Projects Subaccount* | *195* | *232* | *233* |
| *0740 1984 State Clean Water Bond Fund* | *2* | *2* | *2* |
| *0744 1986 Water Conservation and Water Quality Bond Fund* | *141* | *137* | *180* |
| *0790 1988 Water Conservation Fund* | *40* | *195* | *42* |
| *6023 Water Conservation Account* | – | *1,191* | *2,041* |
| Local Assistance: | | | |
| *0446 Water Conservation and Groundwater Recharge Subaccount* | *10,000* | *14,000* | – |
| *0543 Local Projects Subaccount* | *38* | *10,000* | *10,000* |
| *0740 1984 State Clean Water Bond Fund* | *576* | *690* | *1,070* |
| *0744 1986 Water Conservation and Water Quality Bond Fund* | *3,640* | *5,780* | *4,880* |
| *0790 1988 Water Conservation Fund* | *3,252* | *8,500* | *12,000* |
| *6023 Water Conservation Account* | – | *40,000* | *21,498* |
| *6025 Conjunctive Use Subaccount* | – | *9,500* | – |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10.30 Data Collection, Evaluation And Use | $5,576 | $6,916 | $6,945 |
| State Operations: | | | |
| *0001 General Fund* | *4,802* | *5,223* | *5,244* |
| *0890 Federal Trust Fund* | *195* | *1,167* | *1,168* |
| *0995 Reimbursements* | *579* | *526* | *533* |
| **PROGRAM REQUIREMENTS** | | | |
| **15 CALFED BAY-DELTA PROGRAM** | | | |
| 15.10 CALFED Bay-Delta Program | | | |
| State Operations: | | | |
| *0001 General Fund* | *$8,139* | *$133,552* | *$41,877* |
| *0890 Federal Trust Fund* | *5,513* | *24,000* | *50,713* |
| *0995 Reimbursements* | *6,529* | *–* | *7,851* |
| *6026 Bay-Delta Multipurpose Water Management Subaccount* | *–* | *–* | *13,370* |
| Totals, State Operations | $20,181 | $157,552 | $113,811 |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *10,000* | *–* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 IMPLEMENTATION OF THE STATE WATER RESOURCES DEVELOPMENT SYSTEM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$6* | *$6* | *$297* |
| *State Water Project Funds* | *215,233* | *243,939* | *233,754* |
| *0890 Federal Trust Fund* | *942* | *2,608* | *2,608* |
| *0995 Reimbursements* | *543* | *401* | *401* |
| *6011 Arroyo Pasajero Watershed Subaccount* | *–* | *50* | *627* |
| Totals, State Operations | $216,724 | $247,004 | $237,687 |
| Local Assistance: | | | |
| *State Water Project Funds* | *380* | *380* | *380* |
| Totals, Local Assistance | $380 | $380 | $380 |
| **ELEMENT REQUIREMENTS** | | | |
| 20.10 Planning and Investigations of the State Water Resources Development System | 33,195 | 30,375 | 30,399 |
| State Operations: | | | |
| *0001 General Fund* | *–* | *–* | *291* |
| *State Water Project Funds* | *32,164* | *28,643* | *28,376* |
| *0890 Federal Trust Fund* | *737* | *1,580* | *1,580* |
| *0995 Reimbursements* | *294* | *152* | *152* |
| 20.20 Design, Right of Way, and Construction of the State Water Resources Development System | 32,390 | 46,118 | 42,348 |
| State Operations: | | | |
| *State Water Project Funds* | *32,054* | *44,999* | *40,652* |
| *0890 Federal Trust Fund* | *87* | *820* | *820* |
| *0995 Reimbursements* | *249* | *249* | *249* |
| *6011 Arroyo Pasajero Watershed Subaccount* | *–* | *50* | *627* |
| 20.30 Operation and Maintenance of the State Water Resources Development System | 132,847 | 161,637 | 144,827 |
| State Operations: | | | |
| *0001 General Fund* | *6* | *6* | *6* |
| *State Water Project Funds* | *132,723* | *161,423* | *144,613* |
| *0890 Federal Trust Fund* | *118* | *208* | *208* |
| 20.40 State Financial Assistance for Local Projects | 620 | 600 | 653 |
| State Operations: | | | |
| *State Water Project Funds* | *240* | *220* | *273* |
| Local Assistance: | | | |
| *State Water Project Funds* | *380* | *380* | *380* |
| 20.50 Financial and Contract Management of the State Water Resources Development System | 18,052 | 8,654 | 19,840 |
| State Operations: | | | |
| *State Water Project Funds* | *18,052* | *8,654* | *19,840* |
| **PROGRAM REQUIREMENTS** | | | |
| **30 PUBLIC SAFETY AND PREVENTION OF DAMAGE** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$23,672* | *$24,837* | *$34,044* |
| *0409 Delta Levee Rehabilitation Subaccount* | *1,273* | *4,229* | *1,733* |
| *0707 California Safe Drinking Water Fund* | *30* | *30* | *1* |
| *0793 California Safe Drinking Water Fund of 1988* | *50* | *173* | *31* |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0890 Federal Trust Fund* | *$775* | *$808* | *$724* |
| *0995 Reimbursements* | *2,039* | *3,524* | *3,545* |
| *6003 Floodplain Mapping Subaccount* | – | *2,375* | – |
| *6005 Flood Protection Corridor Subaccount* | – | *938* | *937* |
| *6010 Yuba Feather Flood Protection Subaccount* | – | *978* | *978* |
| Totals, State Operations | $27,839 | $37,892 | $41,993 |
| Local Assistance: | | | |
| *0001 General Fund* | *51,520* | *84,300* | *126,036* |
| *0176 Delta Flood Protection Fund* | *500* | – | – |
| *0409 Delta Levee Rehabilitation Subaccount* | – | *26,000* | *8,400* |
| *0707 California Safe Drinking Water Fund* | *444* | *3,677* | *4,785* |
| *0793 California Safe Drinking Water Fund of 1988* | *2,165* | *7,500* | *7,220* |
| *6005 Flood Protection Corridor Subaccount* | – | *35,688* | – |
| *6006 Flood Control Subventions Subaccount* | – | *42,750* | – |
| *6009 San Lorenzo River Flood Control Subaccount* | – | *1,900* | – |
| *6010 Yuba Feather Flood Protection Subaccount* | – | *5,600* | *3,480* |
| Totals, Local Assistance | $54,629 | $207,415 | $149,921 |
| **ELEMENT REQUIREMENTS** | | | |
| 30.10 Flood Management | 21,966 | 66,092 | 30,890 |
| State Operations: | | | |
| *0001 General Fund* | *16,677* | *16,867* | *21,876* |
| *0890 Federal Trust Fund* | *775* | *722* | *724* |
| *0995 Reimbursements* | *2,039* | *2,924* | *2,895* |
| *6003 Floodplain Mapping Subaccount* | – | *2,375* | – |
| *6005 Flood Protection Corridor Subaccount* | – | *938* | *937* |
| *6010 Yuba Feather Flood Protection Subaccount* | – | *978* | *978* |
| Local Assistance: | | | |
| *0001 General Fund* | *2,475* | – | – |
| *6005 Flood Protection Corridor Subaccount* | – | *35,688* | – |
| *6010 Yuba Feather Flood Protection Subaccount* | – | *5,600* | *3,480* |
| 30.20 Flood Control Subventions | 50,818 | 159,179 | 140,346 |
| State Operations: | | | |
| *0001 General Fund* | – | – | *4,177* |
| *0409 Delta Levee Rehabilitation Subaccount* | *1,273* | *4,229* | *1,733* |
| Local Assistance: | | | |
| *0001 General Fund* | *49,045* | *84,300* | *126,036* |
| *0176 Delta Flood Protection Fund* | *500* | – | – |
| *0409 Delta Levee Rehabilitation Subaccount* | – | *26,000* | *8,400* |
| *6006 Flood Control Subventions Subaccount* | – | *42,750* | – |
| *6009 San Lorenzo River Flood Control Subaccount* | – | *1,900* | – |
| 30.30 Safety of Dams | 6,995 | 8,056 | 7,991 |
| State Operations: | | | |
| *0001 General Fund* | *6,995* | *7,970* | *7,991* |
| *0890 Federal Trust Fund* | – | *86* | – |
| 30.40 Safe Drinking Water Projects | 2,689 | 11,980 | 12,687 |
| State Operations: | | | |
| *0001 General Fund* | – | – | – |
| *0707 California Safe Drinking Water Fund* | *30* | *30* | *1* |
| *0793 California Safe Drinking Water Fund of 1988* | *50* | *173* | *31* |
| *0995 Reimbursements* | – | *600* | *650* |
| Local Assistance: | | | |
| *0707 California Safe Drinking Water Fund* | *444* | *3,677* | *4,785* |
| *0793 California Safe Drinking Water Fund of 1988* | *2,165* | *7,500* | *7,220* |
| **PROGRAM REQUIREMENTS** | | | |
| **40 SERVICES** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$704* | *$719* | *$722* |
| *0890 Federal Trust Fund* | *115* | *251* | *251* |
| *0995 Reimbursements* | *3,906* | *3,896* | *3,926* |
| Totals, State Operations | $4,725 | $4,866 | $4,899 |
| **ELEMENT REQUIREMENTS** | | | |
| 40.10 Services to Other Agencies | 4,725 | 4,866 | 4,899 |
| *0001 General Fund* | *704* | *719* | *722* |
| *0890 Federal Trust Fund* | *115* | *251* | *251* |
| *0995 Reimbursements* | *3,906* | *3,896* | *3,926* |
| 40.20 Technical Services Continuing Program Costs | – | 19,580 | 19,580 |
| *Amounts charged to other programs* | – | *−14,176* | *−14,176* |
| *Amounts charged to Equipment Reserve* | – | *−5,404* | *−5,404* |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **50 MANAGEMENT AND ADMINISTRATION** | $70,202 | $76,942 | $76,942 |
| Distributed, Management and Administration | −70,202 | −76,942 | −76,942 |
| **PROGRAM REQUIREMENTS** | | | |
| **99 LOAN REPAYMENT PROGRAM** | −$4,154 | −$4,013 | −$4,013 |
| *State Water Project Funds* | *(1,576)* | *(1,530)* | *(1,530)* |
| *0740 1984 State Clean Water Bond Fund* | *(328)* | *(325)* | *(325)* |
| *0744 1986 Water Conservation and Water Quality Bond Fund* | *(2,250)* | *(2,158)* | *(2,158)* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $308,324 | $520,509 | $521,211 |
| Local Assistance | 72,113 | 533,975 | 223,739 |
| TOTALS, EXPENDITURES | $380,437 | $1,054,484 | $744,950 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 2,602.3 | 3,011.5 | 3,004.5 | $142,614 | $167,183 | $170,726 |
| Total Adjustments | – | – | 77.7 | – | 232 | 8,624 |
| Estimated Salary Savings | – | −150.6 | −154.1 | – | −8,371 | −8,968 |
| Net Totals, Salaries and Wages | 2,602.3 | 2,860.9 | 2,928.1 | $142,614 | $159,044 | $170,382 |
| Staff Benefits | – | – | – | 28,988 | 29,423 | 31,521 |
| Totals, Personal Services | 2,602.3 | 2,860.9 | 2,928.1 | $171,602 | $188,467 | $201,903 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $136,722 | $332,042 | $319,308 |
| TOTALS, EXPENDITURES | | | | $308,324 | $520,509 | $521,211 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $53,190 | $193,860 | $130,349 |
| 002 Budget Act appropriation | 1,000 | – | – |
| Allocation for employee compensation | 3,056 | 146 | – |
| Adjustment per Section 3.60 | −1,951 | −308 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 91 | – |
| Adjustment per Section 16.00 | 16 | – | – |
| Transfer to Legislative Claims (9670) | −1 | −3 | – |
| Prior year balances available: | | | |
| Item 3860-001-0001, Budget Act of 1998 as reappropriated by Item 3860-490, Budget Act of 1999 | 255 | – | – |
| Chapter 1, Statutes of 1997, First Extraordinary Session | 133 | – | – |
| Totals Available | $55,698 | $193,786 | $130,349 |
| Unexpended balance, estimated savings | −270 | – | – |
| TOTALS, EXPENDITURES | $55,428 | $193,786 | $130,349 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $745 | $762 | $768 |
| Allocation for employee compensation | 43 | 2 | – |
| Adjustment per Section 3.60 | −27 | −5 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 1 | – |
| Totals Available | $761 | $760 | $768 |
| Unexpended balance, estimated savings | −25 | – | – |
| TOTALS, EXPENDITURES | $736 | $760 | $768 |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

### 0144 California Water Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 005 Budget Act appropriation (estimated transfer to Delta Flood Protection Fund-0176) (expenditures) | ($1,533) | – | – |

### 0404 Central Valley Project Improvement Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,986 | $4,344 | $1,565 |
| Allocation for employee compensation | 25 | – | – |
| Adjustment per Section 3.60 | −16 | −2 | – |
| Totals Available | $2,995 | $4,342 | $1,565 |
| Unexpended balance, estimated savings | −105 | – | – |
| TOTALS, EXPENDITURES | $2,890 | $4,342 | $1,565 |

### 0409 Delta Levee Rehabilitation Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,703 | $4,231 | $1,733 |
| Allocation for employee compensation | 84 | 4 | – |
| Adjustment per Section 3.60 | −53 | −8 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 2 | – |
| Totals Available | $1,734 | $4,229 | $1,733 |
| Unexpended balance, estimated savings | −461 | – | – |
| TOTALS, EXPENDITURES | $1,273 | $4,229 | $1,733 |

### 0445 Feasibility Projects Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,967 | $2,865 | $1,456 |
| Allocation for employee compensation | 34 | – | – |
| Adjustment per Section 3.60 | −21 | −1 | – |
| Totals Available | $2,980 | $2,864 | $1,456 |
| Unexpended balance, estimated savings | −2,073 | – | – |
| TOTALS, EXPENDITURES | $907 | $2,864 | $1,456 |

### 0446 Water Conservation and Groundwater Recharge Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $488 | $317 | $119 |
| Allocation for employee compensation | 33 | 1 | – |
| Adjustment per Section 3.60 | −21 | −3 | – |
| Totals Available | $500 | $315 | $119 |
| Unexpended balance, estimated savings | −213 | – | – |
| TOTALS, EXPENDITURES | $287 | $315 | $119 |

### 0465 Energy Resources Programs Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,649 | $1,683 | $1,700 |
| Allocation for employee compensation | 90 | 6 | – |
| Adjustment per Section 3.60 | −57 | −11 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 3 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $1,683 | $1,681 | $1,700 |
| Unexpended balance, estimated savings | −954 | – | – |
| TOTALS, EXPENDITURES | $729 | $1,681 | $1,700 |

### 0502 California Water Resources Development Bond Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Sections 12937(b) and 12938 (expenditures) | $187,740 | $193,596 | $180,932 |

### 0506 Central Valley Water Project Construction Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 11814 (expenditures) | $23,863 | $44,689 | $48,323 |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

### 0507 Central Valley Water Project Revenue Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Water Code Section 11821 (expenditures) | $10,338 | $12,602 | $12,922 |

### 0543 Local Projects Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $291 | $234 | $233 |
| Allocation for employee compensation | 18 | – | – |
| Adjustment per Section 3.60 | −12 | −2 | – |
| Totals Available | $297 | $232 | $233 |
| Unexpended balance, estimated savings | −102 | – | – |
| TOTALS, EXPENDITURES | $195 | $232 | $233 |

### 0544 Sacramento Valley Water Management and Habitat Protection Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $162 | $270 | $275 |
| Allocation for employee compensation | 9 | 9 | – |
| Adjustment per Section 3.60 | −6 | −18 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 5 | – |
| Totals Available | $165 | $266 | $275 |
| Unexpended balance, estimated savings | −112 | – | – |
| TOTALS, EXPENDITURES | $53 | $266 | $275 |

### 0629 Safe Drinking Water State Revolving Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| Less funding provided by Safe Drinking Water State Revolving Fund (expenditures) | – | −$70,000 | – |

### 0707 California Safe Drinking Water Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 13861(a) (expenditures) | $30 | $30 | $1 |

### 0740 1984 State Clean Water Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $5 | $2 | $2 |
| Unexpended balance, estimated savings | −3 | – | – |
| TOTALS, EXPENDITURES | $2 | $2 | $2 |

### 0744 1986 Water Conservation and Water Quality Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $289 | $137 | $180 |
| Allocation for employee compensation | 16 | – | – |
| Adjustment per Section 3.60 | −10 | – | – |
| Totals Available | $295 | $137 | $180 |
| Unexpended balance, estimated savings | −154 | – | – |
| TOTALS, EXPENDITURES | $141 | $137 | $180 |

### 0790 1988 Water Conservation Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $290 | $195 | $42 |
| Allocation for employee compensation | 21 | 1 | – |
| Adjustment per Section 3.60 | −14 | −1 | – |
| Totals Available | $297 | $195 | $42 |
| Unexpended balance, estimated savings | −257 | – | – |
| TOTALS, EXPENDITURES | $40 | $195 | $42 |

### 0793 California Safe Drinking Water Fund of 1988 [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 13861(a) (expenditures) | $50 | $173 | $31 |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $5,191 | $30,210 | $60,176 |
| Allocation for employee compensation | 139 | 6 | – |
| Adjustment per Section 3.60 | –89 | –12 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 4 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Budget adjustment | 2,541 | 86 | – |
| TOTALS, EXPENDITURES | $7,783 | $30,294 | $60,176 |

### 0940 Renewable Resources Investment Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $644 | $657 | $662 |
| Allocation for employee compensation | 35 | 1 | – |
| Adjustment per Section 3.60 | –22 | –3 | – |
| Prior year balances available: | | | |
| Chapter 954, Statutes of 1986 | 20 | 20 | – |
| Totals Available | $677 | $675 | $662 |
| Balance available in subsequent years | –20 | – | – |
| Unexpended balance, estimated savings | –80 | – | – |
| TOTALS, EXPENDITURES | $577 | $675 | $662 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $15,262 | $10,812 | $20,609 |

### 6001 Safe Drinking Water, Clean Water, Watershed Protection, and Flood Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (transfer to Safe Drinking Water State Revolving Fund (0629)) (expenditures) | – | $70,000 | – |

### 6003 Floodplain Mapping Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $2,375 | – |

### 6005 Flood Protection Corridor Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $2,112 | $937 |
| Unexpended balance, estimated savings | – | –1,174 | – |
| TOTALS, EXPENDITURES | – | $938 | $937 |

### 6007 Urban Streams Restoration Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $447 | $447 |

### 6010 Yuba Feather Flood Protection Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $978 | $978 |

### 6011 Arroyo Pasajero Watershed Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $50 | $627 |

### 6014 Water and Watershed Education Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $2,850 | – |

### 6015 River Protection Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $10,000 | – |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

### 6023 Water Conservation Account [b]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $1,191 | $2,041 |

### 6026 Bay-Delta Multipurpose Water Management Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | – | $25,870 |

### 6027 Interim Water Supply and Water Quality Infrastructure and Management Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | – | $28,233 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $308,324 | $520,509 | $521,211 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Grants and subventions | $55,782 | $485,662 | $177,612 |
| Loans | 20,485 | 52,326 | 50,140 |
| Special adjustment—loan repayments | –4,154 | –4,013 | –4,013 |
| TOTALS, EXPENDITURES (Local Assistance) | $72,113 | $533,975 | $223,739 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation | $7,000 | $51,200 | $82,036 |
| 201 Budget Act appropriation | 5,725 | – | – |
| Chapter 326, Statutes of 1998 | 44,000 | 44,000 | $44,000 |
| Chapter 813, Statutes of 1998 (for transfer to Colorado River Management Account 0050) | 200,000 | – | – |
| Chapter 1003, Statutes of 1999 | 300 | – | – |
| Prior year balances available: | | | |
| Chapter 813, Statutes of 1998 (for transfer to Colorado River Management Account 0050) | – | 200,000 | 150,000 |
| Totals Available | $257,025 | $295,200 | $276,036 |
| Balance available in subsequent years | –200,000 | –150,000 | –150,000 |
| Unexpended balance, estimated savings | –2,205 | – | – |
| TOTALS, EXPENDITURES | $54,820 | $145,200 | $126,036 |

### 0050 Colorado River Management Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Chapter 813, Statutes of 1998 | – | $50,000 | – |
| Less funding provided by General Fund | – | –50,000 | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0176 Delta Flood Protection Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $2,333 | – | – |
| Unexpended balance, estimated savings | –1,833 | – | – |
| TOTALS, EXPENDITURES | $500 | – | – |

### 0409 Delta Levee Rehabilitation Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $26,000 | $8,400 |

---

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

### 0446 Water Conservation and Groundwater Recharge Subaccount [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $11,243 | $14,000 | – |
| Unexpended balance, estimated savings | −1,243 | – | – |
| TOTALS, EXPENDITURES | $10,000 | $14,000 | – |

### 0502 California Water Resources Development Bond Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 12937(b) and 12938 | $380 | $380 | $380 |
| Loan repayments from Local Agencies (Water Code Sections 12937(b) and 12938) | −1,303 | −1,300 | −1,300 |
| TOTALS, EXPENDITURES | −$923 | −$920 | −$920 |

### 0506 Central Valley Water Project Construction Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Loan repayment from Local Agencies (Water Code Sections 12937(b) and 12938) (expenditures) | −$273 | −$230 | −$230 |

### 0543 Local Projects Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $18,000 | $10,000 | $10,000 |
| Unexpended balance, estimated savings | −17,962 | – | – |
| TOTALS, EXPENDITURES | $38 | $10,000 | $10,000 |

### 0544 Sacramento Valley Water Management and Habitat Protection Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $7,983 | $7,879 | $16,185 |
| Unexpended balance, estimated savings | −7,613 | – | – |
| TOTALS, EXPENDITURES | $370 | $7,879 | $16,185 |

### 0707 California Safe Drinking Water Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 13861(a) (expenditures) | $444 | $3,677 | $4,785 |

### 0740 1984 State Clean Water Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $20 | $120 | $500 |
| Water Code Section 12879.4(f) | 576 | 570 | 570 |
| Totals Available | $596 | $690 | $1,070 |
| Unexpended balance, estimated savings | −20 | – | – |
| TOTALS, EXPENDITURES | $576 | $690 | $1,070 |
| Loan repayments from Local Agencies (Water Code Section 13999) | −328 | −325 | −325 |
| NET TOTALS, EXPENDITURES | $248 | $365 | $745 |

### 0744 1986 Water Conservation and Water Quality Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $10,000 | $2,500 | $1,600 |
| Water Code Section 13460 | 3,640 | 3,280 | 3,280 |
| Totals Available | $13,640 | $5,780 | $4,880 |
| Unexpended balance, estimated savings | −10,000 | – | – |
| TOTALS, EXPENDITURES | $3,640 | $5,780 | $4,880 |
| Loan repayments from Local Agencies (Water Code Section 13450) | −2,250 | −2,158 | −2,158 |
| NET TOTALS, EXPENDITURES | $1,390 | $3,622 | $2,722 |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

### 0786 California Wildlife, Coastal, and Park Land Conservation Fund of 1988 [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $87 | – | – |
| Unexpended balance, estimated savings | –5 | – | – |
| TOTALS, EXPENDITURES | $82 | – | – |

### 0790 1988 Water Conservation Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $5,000 | $8,500 | $12,000 |
| Unexpended balance, estimated savings | –1,748 | – | – |
| TOTALS, EXPENDITURES | $3,252 | $8,500 | $12,000 |

### 0793 California Safe Drinking Water Fund of 1988 [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 13861(a) (expenditures) | $2,165 | $7,500 | $7,220 |

### 6005 Flood Protection Corridor Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $35,688 | – |

### 6006 Flood Control Subventions Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $42,750 | – |

### 6007 Urban Streams Restoration Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $2,000 | $7,000 |

### 6009 San Lorenzo River Flood Control Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $1,900 | – |

### 6010 Yuba Feather Flood Protection Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $5,600 | $3,480 |

### 6014 Water and Watershed Education Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $1,900 | – |

### 6015 River Protection Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $7,500 | – |

### 6023 Water Conservation Account [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $40,000 | $21,498 |

### 6025 Conjunctive Use Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $9,500 | – |

### 6027 Interim Water Supply and Water Quality Infrastructure and Management Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $161,544 | $4,818 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $72,113 | $533,975 | $223,739 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $380,437 | $1,054,484 | $744,950 |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

### FUND CONDITION STATEMENT

| 0050 Colorado River Management Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $35,000 | $35,000 | $35,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources (Local Assistance) | – | 50,000 | – |
| Expenditure Reductions: | | | |
| 3860 Department of Water Resources (Local Assistance): | | | |
| Less funding provided by the General Fund | – | –50,000 | – |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | $35,000 | $35,000 | $35,000 |
| Reserve for economic uncertainties | 35,000 | 35,000 | 35,000 |
| **0144 California Water Fund [s]** | | | |
| BEGINNING BALANCE | $1,599 | $914 | $939 |
| Prior year adjustments | 771 | – | – |
| Balance, Adjusted | $2,370 | $914 | $939 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 83 | 25 | 50 |
| Totals, Revenues | $83 | $25 | $50 |
| Transfers to Other Funds: | | | |
| T00244 Environmental Water Fund per Budget Act Item 3860-011-0144 | –1,533 | – | – |
| Totals, Revenues and Transfers | –$1,450 | $25 | $50 |
| Totals, Resources | $920 | $939 | $989 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 9670 Legislative Claims | 6 | – | – |
| Totals, Disbursements | $6 | – | – |
| FUND BALANCE | $914 | $939 | $989 |
| Reserve for economic uncertainties | 914 | 939 | 989 |
| **0176 Delta Flood Protection Fund [s]** | | | |
| BEGINNING BALANCE | –$179 | $14 | $114 |
| Prior year adjustments | –880 | – | – |
| Balance, Adjusted | –$1,059 | $14 | $114 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 56 | 100 | 40 |
| Transfers from Other Funds: | | | |
| F00144 California Water Fund per Item 3860-005-0144 Budget Act 1999 | 1,533 | – | – |
| Totals, Revenues and Transfers | $1,589 | $100 | $40 |
| Totals, Resources | $530 | $114 | $154 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources (Local Assistance) | 500 | – | – |
| 9900 Statewide General Administrative (Pro Rata) | 16 | – | – |
| Totals, Disbursements | $516 | – | – |
| FUND BALANCE | $14 | $114 | $154 |
| Reserve for economic uncertainties | 14 | 114 | 154 |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| 0244 Environmental Water Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,743 | $3,859 | $4,259 |
| Prior year adjustments | 1,443 | – | – |
| Balance, Adjusted | $3,186 | $3,859 | $4,259 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 673 | 400 | 400 |
| Totals, Revenues and Transfers | $673 | $400 | $400 |
| Totals, Resources | $3,859 | $4,259 | $4,659 |
| FUND BALANCE | $3,859 | $4,259 | $4,659 |
| Reserve for economic uncertainties | 3,859 | 4,259 | 4,659 |
| **0502 California Water Resources Development Bond Fund [n]** | | | |
| BEGINNING BALANCE | $87,659 | $170,317 | $213,726 |
| Prior year adjustments | −28,611 | – | – |
| Balance, Adjusted | $59,048 | $170,317 | $213,726 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 213000 Property and natural resources | 456,775 | 412,872 | 412,872 |
| Operating revenues | (300,414) | (300,502) | (300,502) |
| Capital revenues | (124,582) | (108,370) | (108,370) |
| Income credited to construction operations and maintenance | (31,779) | (4,000) | (4,000) |
| 215100 Income from investments | 983 | 5,000 | 5,000 |
| 299000 Other operating revenue | 4,990 | 1,500 | 1,500 |
| Other | (4,990) | (1,500) | (1,500) |
| Totals, Operating Revenues | $462,748 | $419,372 | $419,372 |
| Transfers to Other Funds: | | | |
| Totals, Revenues and Transfers | $462,748 | $419,372 | $419,372 |
| Totals, Resources | $521,796 | $589,689 | $633,098 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources: | | | |
| State Operations | 187,740 | 193,596 | 180,932 |
| Local Assistance | 380 | 380 | 380 |
| Capital Outlay | 164,662 | 183,287 | 182,695 |
| Totals, Disbursements | $352,782 | $377,263 | $364,007 |
| Expenditure Reductions: | | | |
| 3860 Department of Water Resources (Local Assistance): | | | |
| Loan repayments from local agencies | −1,303 | −1,300 | −1,300 |
| Totals, Expenditures | $351,479 | $375,963 | $362,707 |
| FUND BALANCE | $170,317 | $213,726 | $270,391 |
| Commitments: | | | |
| Advances to the Water Resources Revolving Fund | (48,577) | (65,471) | (64,892) |
| Replacement reserve | (19,475) | (24,833) | (24,614) |
| Operating capital | (78,265) | (91,816) | (149,558) |
| Debt service reserve | (24,000) | (31,606) | (31,327) |
| **0506 Central Valley Water Project Construction Fund [n]** | | | |
| BEGINNING BALANCE | $88,861 | $58,787 | $37,284 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 7,251 | 2,500 | 2,500 |
| 299000 Other operating revenue | 230 | 500 | 500 |
| Totals, Operating Revenues | $7,481 | $3,000 | $3,000 |
| Other Receipts: | | | |
| 520000 Proceeds from sale of bonds and notes (bonds) | 28,344 | 100,000 | 100,000 |
| Totals, Other Receipts | $28,344 | $100,000 | $100,000 |
| Totals, Revenues and Transfers | $35,825 | $103,000 | $103,000 |
| Totals, Resources | $124,686 | $161,787 | $140,284 |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources: | | | |
| State Operations | $23,863 | $44,689 | $48,323 |
| Capital Outlay | 42,309 | 80,044 | 77,921 |
| Totals, Disbursements | $66,172 | $124,733 | $126,244 |
| Expenditure Reductions: | | | |
| 3860 Department of Water Resources (Local Assistance): | | | |
| Loan repayments to local agencies | −273 | −230 | −230 |
| Totals, Expenditures | $65,899 | $124,503 | $126,014 |
| FUND BALANCE | $58,787 | $37,284 | $14,270 |
| Commitments: | | | |
| Advances to the Water Resources Revolving Fund | (42,940) | (27,137) | (10,123) |
| Construction capital | (12,047) | (6,000) | – |
| Prepayment to Architecture Revolving Fund | – | (147) | (147) |
| Fish enhancement projects | (3,800) | (4,000) | (4,000) |

### 0507 Central Valley Water Project Revenue Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $184,772 | $201,059 | $193,704 |
| Prior year adjustments | 8,225 | – | – |
| Balance, Adjusted | $192,997 | $201,059 | $193,704 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 213000 Property and natural resources (water contracting agencies) | 254,547 | 239,048 | 239,048 |
| 215000 Income from investments | – | 22,000 | 22,000 |
| Other reductions to revenue | – | −20,000 | −20,000 |
| Totals, Operating Revenues | $254,547 | $241,048 | $241,048 |
| Other Receipts: | | | |
| 520000 Proceeds from sale of bonds and notes (bonds) | – | 5,000 | 5,000 |
| Totals, Revenues and Transfers | $254,547 | $246,048 | $246,048 |
| Totals, Resources | $447,544 | $447,107 | $439,752 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources: | | | |
| State Operations | 10,338 | 12,602 | 12,922 |
| Capital Outlay | 236,147 | 240,801 | 246,809 |
| Totals, Disbursements | $246,485 | $253,403 | $259,731 |
| FUND BALANCE | $201,059 | $193,704 | $180,021 |
| Commitments: | | | |
| Replacement reserve | – | (42) | (42) |
| Operating capital | (31,876) | (892) | (892) |
| Debt service reserve | (160,000) | (183,587) | (171,383) |
| Funds held by trustee | (9,183) | (9,183) | (7,704) |

### 0707 California Safe Drinking Water Fund [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE (Bonds authorized) | $30,603 | $30,129 | $26,422 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources: | | | |
| State Operations | 30 | 30 | 1 |
| Local Assistance (loans and grants) | 444 | 3,677 | 4,785 |
| Totals, Disbursements | $474 | $3,707 | $4,786 |
| FUND BALANCE | $30,129 | $26,422 | $21,636 |

### 0786 California Wildlife, Coastal Park Land Conservation Fund of 1988 [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE (Bonds authorized) | $87 | $5 | $5 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources: Local Assistance (loans and grants) | 82 | – | – |
| FUND BALANCE | $5 | $5 | $5 |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| 0790 1988 Water Conservation Fund [b] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE (Bonds authorized) | $27,352 | $24,060 | $15,365 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources: | | | |
| State Operations | 40 | 195 | 42 |
| Local Assistance (loans and grants) | 3,252 | 8,500 | 12,000 |
| Totals, Disbursements | $3,292 | $8,695 | $12,042 |
| FUND BALANCE | $24,060 | $15,365 | $3,323 |

| 0793 California Safe Drinking Water Fund of 1988 [b] | | | |
|---|---|---|---|
| BEGINNING BALANCE (Bonds authorized) | $39,724 | $37,509 | $29,836 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources: | | | |
| State Operations | 50 | 173 | 31 |
| Local Assistance (loans and grants) | 2,165 | 7,500 | 7,220 |
| Totals, Disbursements | $2,215 | $7,673 | $7,251 |
| FUND BALANCE | $37,509 | $29,836 | $22,585 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions (Equals Sch. 7A) | 2,602.3 | 3,011.5 | 3,004.5 | $142,614 | $167,183 | $170,726 |
| Salary adjustments | – | – | – | – | 232 | 300 |
| Totals, Adjusted Authorized Positions | 2,602.3 | 3,011.5 | 3,004.5 | $142,614 | $167,415 | $171,026 |
| Workload and Administrative Adjustments: | | | | | | |
| Excess Vacant Position Analysis: | | | | | | |
| Reductions in Authorized Positions: | | | | | | |
| CALFED: | | | | | | |
| CALFED Bay Delta Program: | | | | Salary Range | | |
| Prin Engr, WR | – | – | –1.0 | 6,525–7,196 | – | – |
| Supvng Engr, WR | – | – | –1.0 | 5,368–6,525 | – | – |
| Envirntl Prog Mgr I | – | – | –1.0 | 5,730–6,482 | – | – |
| Sr Engr, WR | – | – | –2.0 | 4,891–5,943 | – | – |
| Engr, WR | – | – | –1.0 | 3,603–4,375 | – | – |
| Ofc Asst-Typing | – | – | –1.0 | 1,835–2,370 | – | – |
| Environmental Services Office: | | | | | | |
| Ecological Studies Branch: | | | | | | |
| Assoc Info Sys Analyst-Spec | – | – | –1.0 | 3,952–4,805 | – | – |
| Mitigation and Restoration Branch: | | | | | | |
| Envirntl Spec IV-Spec | – | – | –1.0 | 4,660–5,626 | – | – |
| Envirntl Spec II | – | – | –1.0 | 3,199–3,854 | – | – |
| Information Systems and Services Office: | | | | | | |
| Office of the Chief: | | | | | | |
| Staff Prog Analyst-Spec | – | – | –1.0 | 4,334–5,269 | – | – |
| Research Prog Spec I-GIS | – | – | –1.0 | 4,301–5,228 | – | – |
| Business Information Systems Development: | | | | | | |
| Staff Prog Analyst-Spec | – | – | –1.0 | 4,334–5,269 | – | – |
| Assoc Info Sys Analyst | – | – | –1.0 | 2,658–3,995 | – | – |
| Division of Management Services: | | | | | | |
| Imaging and Records Management: | | | | | | |
| Ofc Asst-Typing | – | – | –1.0 | 1,835–2,370 | – | – |
| Office of State Water Project Planning: | | | | | | |
| Supply Reliability Planning Branch: | | | | | | |
| Ofc Techn-Typing | – | – | –1.0 | 2,258–2,745 | – | – |
| Bay Delta Hearing and Program Development: | | | | | | |
| Supvng Engr, WR | – | – | –1.0 | 5,368–6,525 | – | – |
| Assoc Engr, WR | – | – | –1.0 | 4,457–5,415 | – | – |
| South Delta Management: | | | | | | |
| Supvng Engr, WR | – | – | –1.0 | 5,368–6,525 | – | – |
| Engr, WR | – | – | –1.0 | 3,147–4,375 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Temporary Barriers Project and Land Management: | | | | Salary Range | | |
| Engr, WR | – | – | –2.0 | $3,147–4,375 | – | – |
| Hydrology and Operations: | | | | | | |
| Sr Engr, WR | – | – | –1.0 | 4,891–6,525 | – | – |
| Engr, WR | – | – | –2.0 | 3,147–4,375 | – | – |
| Computer Assistance: | | | | | | |
| Assoc Info Sys Analyst-Spec | – | – | –1.0 | 3,952–4,805 | – | – |
| Division of Flood Management: | | | | | | |
| Floodway Protection Section: | | | | | | |
| Engr, WR | – | – | –3.0 | 3,147–4,375 | – | – |
| Floodplain Mapping and Technical Services Section: | | | | | | |
| Engr, WR | – | – | –2.0 | 3,147–4,375 | – | – |
| Flood Project Analysis Section A: | | | | | | |
| Engr, WR | – | – | –1.0 | 3,147–4,375 | – | – |
| Flood Project Analysis Section B: | | | | | | |
| Sr Engr, WR | – | – | –1.0 | 4,891–6,525 | – | – |
| Engr WR | – | – | –1.0 | 3,147–4,375 | – | – |
| Safety of Dams: | | | | | | |
| Administrative Section: | | | | | | |
| Word Proc Techn | – | – | –1.0 | 1,951–2,546 | – | – |
| Division of Planning and Local Assistance: | | | | | | |
| Office of the Chief: | | | | | | |
| Ofc Asst-Typing | – | – | –1.0 | 1,835–2,370 | – | – |
| Ofc Asst-Gen | – | – | –1.0 | 1,775–2,370 | – | – |
| Resources Management Branch: | | | | | | |
| Ofc Asst-Typing | – | – | –1.0 | 1,835–2,370 | – | – |
| CA Irrigation Management Unit: | | | | | | |
| Envirntl Spec IV-Spec | – | – | –1.0 | 4,660–5,626 | – | – |
| Research Prog Spec II | – | – | –1.0 | 4,542–5,520 | – | – |
| Economic Analysis and Financial Assistance Section: | | | | | | |
| Research Prog Spec II-Econ | – | – | –1.0 | 4,542–5,520 | – | – |
| Envirntl Spec I | – | – | –1.0 | 2,633–3,163 | – | – |
| Water Quality Assessment Branch: | | | | | | |
| Envirntl Spec IV-Supvry | – | – | –1.0 | 4,663–5,629 | – | – |
| Envirntl Spec III | – | – | –1.0 | 4,047–4,887 | – | – |
| Bryte Laboratory: | | | | | | |
| Pub Hlth Chemist II | – | – | –1.0 | 3,764–4,542 | – | – |
| Land and Water Use: | | | | | | |
| Supvng Land & Water Use Analyst | – | – | –1.0 | 5,170–6,241 | – | – |
| Water Resources Engrng Assoc-Spec | – | – | –1.0 | 4,244–5,158 | – | – |
| Operations and Maintenance: | | | | | | |
| Office of the Chief: | | | | | | |
| Ofc Techn-Typing | – | – | –1.0 | 2,258–2,745 | – | – |
| Program Control Office: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | –1.0 | 3,764–4,576 | – | – |
| Staff Svcs Analyst-Gen | – | – | –1.0 | 2,411–3,805 | – | – |
| Civil Maintenance Branch: | | | | | | |
| Engr, WR | – | – | –1.0 | 3,147–4,375 | – | – |
| Environmental Assessment Branch: | | | | | | |
| Envirntl Spec II | – | – | –1.0 | 3,199–3,854 | – | – |
| Support Systems Branch: | | | | | | |
| Sr Graphic Artist | – | – | –1.0 | 3,199–3,854 | – | – |
| Project Operations Planning Branch: | | | | | | |
| Engr, WR | – | – | –1.0 | 3,147–4,375 | – | – |
| San Luis Field Division: | | | | | | |
| Operations Branch: | | | | | | |
| Mech & Tech Occ Trainee | – | – | –1.0 | 1,656–1,824 | – | – |
| Southern Field Division: | | | | | | |
| Administration Branch: | | | | | | |
| Bus Svc Asst-Spec | – | – | –1.0 | 2,135–3,173 | – | – |
| Ofc Asst-Typing | – | – | –1.0 | 1,835–2,370 | – | – |
| Operations Branch: | | | | | | |
| Sr HEP Opr | – | – | –1.0 | 4,773–5,241 | – | – |
| Division of Engineering: | | | | | | |
| Mechanical and Electrical Engineering Branch: | | | | | | |
| Assoc Elec Engr HS | – | – | –1.0 | 4,245–5,157 | – | – |
| Civil Engineering Branch: | | | | | | |
| Engr, WR | – | – | –2.0 | 3,147–4,375 | – | – |
| Coastal Contract Administration: | | | | | | |
| Supvng Constrn Engr, WR | – | – | –1.0 | 5,368–6,525 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Northern Field Division: | | | | | | |
| Resources Assessment Branch: | | | | Salary Range | | |
| Engr, WR | – | – | –1.0 | $3,147–4,375 | – | – |
| Water Resources Techn II | – | – | –1.0 | 3,356–4,078 | – | – |
| Water Resources Techn I | – | – | –1.0 | 2,791–3,391 | – | – |
| Central District: | | | | | | |
| Water Management Branch: | | | | | | |
| Assoc Land & Water Use Analyst | – | – | –1.0 | 4,047–4,887 | – | – |
| Resources Assessment Branch: | | | | | | |
| Engr, WR | – | – | –1.0 | 3,147–4,375 | – | – |
| Water Resources Techn II | – | – | –2.0 | 3,356–4,078 | – | – |
| San Joaquin District: | | | | | | |
| Water Management Branch: | | | | | | |
| Engr, WR | – | – | –1.0 | 3,147–4,375 | – | – |
| Southern District: | | | | | | |
| Resources Assessment Branch: | | | | | | |
| Research Writer | – | – | –1.0 | 3,764–4,576 | – | – |
| Excess Vacant Positions-Reduction in Salaries and Wages [1] | – | – | – | – | – | –$183 |
| Totals, Workload and Administrative Adjustments | – | – | –71.0 | – | – | –$183 |
| Proposed New Positions: | | | | | | |
| Division of Planning and Local Assistance: | | | | | | |
| C.E.A. II | – | – | 1.0 | 6,954–11,113 | – | 108 |
| Prin Engr, WR | – | – | 3.0 | 6,786–7,484 | – | 257 |
| Supvng Engr, WR | – | – | 5.0 | 5,583–6,786 | – | 371 |
| Sr Engr, WR | – | – | 10.8 | 5,087–6,786 | – | 765 |
| Envirntl Prog Mgr I | – | – | 1.0 | 5,585–6,741 | – | 74 |
| Supvng Land & Water Use Analyst | – | – | 1.0 | 5,377–6,491 | – | 71 |
| Envirntl Spec IV-Supvry | – | – | 5.0 | 4,850–5,854 | – | 321 |
| Envirntl Spec IV-Spec | – | – | 8.0 | 4,846–5,851 | – | 513 |
| Engr, WR | – | – | 23.0 | 3,273–5,632 | – | 1,295 |
| Assoc Engr, GEO | – | – | 1.0 | 4,415–5,365 | – | 60 |
| Assoc Land & Water Use Analyst | – | – | 5.0 | 4,209–5,082 | – | 279 |
| Envirntl Spec III | – | – | 7.0 | 4,209–5,082 | – | 367 |
| Research Analyst II | – | – | 2.0 | 4,110–4,997 | – | 109 |
| Assoc Land Agent | – | – | 1.0 | 4,110–4,997 | – | 55 |
| Engrng Geologist, Range B | – | – | 2.0 | 3,747–4,550 | – | 100 |
| Staff Svcs Analyst, Range C | – | – | 1.0 | 3,255–3,957 | – | 43 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Ofc Asst-Typing | – | – | 1.0 | 1,846–2,465 | – | 26 |
| Operations and Maintenance: | | | | | | |
| Sr Engr, WR | – | – | 1.0 | 5,087–6,786 | – | 71 |
| Assoc Engr, WR | – | – | 2.0 | 4,635–5,632 | – | 123 |
| State Water Project Analysis Office: | | | | | | |
| Envirntl Prog Mgr II | – | – | 1.0 | 7,125–7,858 | – | 90 |
| Supvng Engr, WR | – | – | 1.0 | 5,583–6,786 | – | 74 |
| Sr Engr, WR | – | – | 1.0 | 5,087–6,786 | – | 71 |
| Assoc Engr, WR | – | – | 1.0 | 4,635–5,632 | – | 62 |
| Environmental Services Office: | | | | | | |
| Envirntl Spec III | – | – | 3.0 | 4,209–5,082 | – | 168 |
| Engr, WR | – | – | 1.0 | 3,273–4,550 | – | 47 |
| CALFED: | | | | | | |
| Exec Director | – | – | 1.0 | 10,333 | – | 124 |
| Envirntl Prog Mgr II | – | – | 1.0 | 7,125–7,858 | – | 90 |
| Supvng Engr, WR | – | – | 5.0 | 5,583–6,786 | – | 370 |
| Sr Engr, WR | – | – | 2.8 | 5,087–6,786 | – | 199 |
| Envirntl Prog Mgr I | – | – | 3.0 | 5,585–6,746 | – | 112 |
| Envirntl Spec IV-Supvry | – | – | 1.0 | 4,850–5,854 | – | 64 |
| Envirntl Spec IV-Spec | – | – | 4.0 | 4,846–5,851 | – | 223 |
| Assoc Engr, WR | – | – | 2.0 | 4,635–5,632 | – | 132 |
| Engr, WR | – | – | 1.7 | 4,635–5,632 | – | 96 |
| Staff Svcs Mgr I | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Envirntl Spec III | – | – | 2.0 | 4,209–5,082 | – | 223 |
| Assoc Govtl Prog Analyst | – | – | 11.4 | 3,915–4,759 | – | 593 |
| Research Writer | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Recds Mgmt Analyst I | – | – | 1.0 | 3,917–4,727 | – | 52 |
| Staff Svcs Analyst | – | – | 1.0 | 2,507–3,957 | – | 35 |
| Biologist | – | – | 2.0 | 2,507–3,722 | – | 75 |
| Info Sys Spec I | – | – | 1.0 | 2,295–2,790 | – | 31 |
| Ofc Svcs Supvr I | – | – | 1.0 | 2,349–2,858 | – | 31 |
| Ofc Techn | – | – | 4.0 | 2,348–2,855 | – | 158 |
| Ofc Asst | – | – | 2.0 | 1,908–2,465 | – | 52 |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Division of Flood Management: | | | | Salary Range | | |
| Sr Engr [2] | – | – | 1.0 | $5,087–6,786 | – | ($67) |
| Engr [2] | – | – | 3.0 | 4,635–5,632 | – | (173) |
| Envirntl Spec II [2] | – | – | 1.0 | 4,209–5,082 | – | (51) |
| Envirntl Spec III [2] | – | – | 2.0 | 4,209–5,082 | – | (112) |
| Engr | – | – | 3.0 | 4,635–5,632 | – | 184 |
| Totals, Proposed New Positions | – | – | 148.7 | – | – | $8,507 |
| Totals, Adjustments | – | – | 77.7 | – | $232 | $8,624 |
| TOTALS, SALARIES AND WAGES | 2,602.3 | 3,011.5 | 3,082.2 | $142,614 | $167,415 | $179,350 |

[1] A portion of the funding for 40.6 excess vacant positions is moved from personal services to operating expenses and equipment. The balance remains in personal services.
[2] 7.0 positions limited to 6/30/02.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **Major Budget Adjustments Proposed for 2001–02** | | | |
| • $31.9 million ($23.3 million General Fund and $8.6 million in local reimbursements) for eleven flood control projects to enhance public safety. | | | |
| • $1 million from the South Delta Barriers Subaccount for the Delta Barrier Improvement Program. | | | |
| • A general explanation of the state projects under the California Water Resources Development Program is presented in the support budget with a description of the significant units of each project as well as detailed expenditure information. | | | |
| **CAPITAL OUTLAY PROGRAMS** | | | |
| **SUMMARY OF CAPITAL EXPENSES** | | | |
| **10 Continuing Formulation of the California Water Plan** | | | |
| Major Capital Outlay: | | | |
| 10.95.015 South Delta Barrier Program | – | $5,920 [b] | $1,000 [b] |
| Totals, Continuing Formulation of the California Water Plan | – | $5,920 | $1,000 |
| **20 Implementation of the State Water Resources Development System Program** | | | |
| 20.20 Design and construction | $71,962 [n] | $80,044 [n] | $80,566 [n] |
| 20.30 Operations and maintenance | 131,872 [n] | 151,959 [n] | 152,952 [n] |
| 20.50 Financial and Contract Administration | 239,284 [n] | 272,129 [n] | 273,907 [n] |
| Totals, Implementation of the State Water Resources Development System | $443,118 | $504,132 | $507,425 |
| **30 Public Safety and Prevention of Damage** | | | |
| Major Capital Outlay: | | | |
| 30.95.010 Sacramento River Bank Protection Project | $2,871 | $3,743 [C] | $1,000 [C] |
| Reimbursements | – | – | – |
| 30.95.030 Merced County Streams | – | 500 [C] | – |
| 30.95.085 Cache Creek Settling Basin Project | 3 | 72 [C] | – |
| 30.95.105 Marysville/Yuba Levee Reconstruction | 25 | – | – |
| Reimbursements | – | – | – |
| 30.95.111 1997 Flood Damage Repair Projects | 2,259 | 3,013 [AC] | – |
| Reimbursements | – | 1,170 [AC] | |
| 30.95.115.970 American River Flood Control Project Phase I—Common Elements | 943 | 9,487 [C] | – |
| Reimbursements | 866 | 2,855 [C] | – |
| 30.95.150 West Sacramento Levee Reconstruction | 250 | 140 [C] | – |
| Reimbursements | 424 | 60 [C] | – |
| 30.95.155 Mid-Valley Levee Reconstruction | 830 | – | – |
| Reimbursements | 255 | 105 [C] | – |
| 30.95.200 Magpie Creek Small Flood Control Project | – | 1,530 [AWC] | – |
| Reimbursements | – | 533 [AWC] | – |
| 30.95.202 Sacramento/San Joaquin River Basins Comprehensive Study | 5,650 | 1,450 [S] | 1,650 [S] |
| 30.95.205 Sutter County Bridge Replacement (Franklin and Butte House Road Bridges) | 2 | 359 [C] | – |
| 30.95.205 Sutter County Bridge Replacement (Marcuse and O'Banion Road Bridges) | 17 | 663 [C] | – |
| 30.95.210 Tisdale Bridge Replacement | 4 | 1,796 [C] | – |
| 30.95.215 Lower Sacramento Area Levee Reconstruction Project | 689 | – | – |
| Reimbursements | 478 | 132 [C] | – |
| 30.95.220 Upper Sacramento Area Levee Reconstruction Project | 171 | 1,641 [C] | – |
| Reimbursements | 514 | 386 [C] | – |
| 30.95.230 San Joaquin River Watershed Management Study | – | 20 [S] | – |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 30.95.245 American River Flood Control Project—Natomas Features | – | $2,840 [O] | $2,060 [O] |
| 30.95.250 Yuba River—Preconstruction, Engineering and Design Work | – | 210 [W] | – |
| Reimbursements | – | 90 [W] | – |
| 30.95.250.200 Yuba River—Construction | – | 4,613 [C] | – |
| Reimbursements | – | 1,920 [C] | – |
| 30.95.255 Eastside Bypass, Lower San Joaquin River | $1,749 | 1,100 [C] | – |
| 30.95.260 South Sacramento County Streams | – | 7,010 [AC] | – |
| Reimbursements | – | 2,957 [AC] | – |
| 30.95.280 Terminus Dam, Lake Kaweah Project | 1,539 | 8,185 [C] | – |
| Reimbursements | – | 4,202 [C] | – |
| 30.95.285 Willow Slough Bypass Levee Subsidence Repair | 307 | 2,633 [SAPWC] | – |
| 30.95.286 Middle Creek Feasibility Study | 250 | – | 175 [S] |
| Reimbursements | 250 | – | 75 [S] |
| 30.95.290 Hamilton City Feasibility Study | – | 150 [S] | – |
| Reimbursements | – | 150 [S] | – |
| 30.95.295 Tehama Feasibility Study | – | 50 [S] | – |
| Reimbursements | 50 | – | – |
| 30.95.297 Success Reservoir—Preconstruction, Engineering & Design Work | 235 | – | 58 [W] |
| Reimbursements | – | – | 126 [W] |
| 30.95.298 Colusa Basin Watershed Flood Protection Program | – | 2,500 [C] | – |
| 30.95.299 Sacramento and San Joaquin River Basins—Early Implementation Projects | – | 625 [S] | 625 [S] |
| Reimbursements | – | 625 [S] | 625 [S] |
| 30.95.302 Sutter Basin Feasibility Study | – | 500 [S] | 125 [S] |
| Reimbursements | – | 500 [S] | 125 [S] |
| 30.95.303 Tuolumne River Flood Control Project | – | 600 [S] | – |
| Reimbursements | – | 600 [S] | – |
| 30.95.306 West Stanislaus Feasibility Study | – | 325 [SW] | 262 [W] |
| Reimbursements | – | 325 [SW] | 113 [W] |
| 30.95.309 American River Long-Term Flood Protection Study | – | 350 [S] | 350 [S] |
| 30.95.310 Lower Cache Creek, Yolo County, Woodland Area Feasibility Study | – | – | 200 [S] |
| Reimbursements | – | – | 200 [S] |
| 30.95.311 Folsom Dam Modifications Project | – | 1,580 [C] | 16,800 [C] |
| Reimbursements | – | 520 [C] | 7,350 [C] |
| Totals, Public Safety and Prevention of Damage (major capital outlay) | $20,631 | $74,815 | $31,919 |
| Minor Programs: | | | |
| 30.90.065 Mallott Road Bridge Construction | 209 | 39 | – |
| 30.90.070 Goose Lake Flood Relief Structure | – | 66 | – |
| Totals, Minor Projects | $209 | $105 | – |
| TOTALS, CAPITAL EXPENDITURES | $463,958 | $584,972 | $540,344 |
| *0001 General Fund* | *17,073* | *35,289* | *23,305* |
| *0413 South Delta Barriers Subaccount* [b] | – | *5,920* | *1,000* |
| *0502 California Water Resources Development Bond Fund* [n] | *164,662* | *183,287* | *182,695* |
| *0506 Central Valley Water Project Construction Fund* [n] | *42,309* | *80,044* | *77,921* |
| *0507 Central Valley Water Project Revenue Fund* [n] | *236,147* | *240,801* | *246,809* |
| *0995 Reimbursements* | *3,767* | *17,131* | *8,614* |
| *6008 State Capital Protection Subaccount* | – | *20,000* | – |
| *6010 Yuba Feather Flood Protection Subaccount* | – | *2,500* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $22,225 | $11,463 | $23,305 |
| Chapter 811, Statutes of 1999 | 235 | – | – |
| Prior year balances available: | | | |
| Item 3860-301-001 Budget Act of 1994, as partially reappropriated by Item 3860-490, Budget Act of 1997 | 25 | – | – |
| Item 3860-301-0001 Budget Act of 1997, as partially reappropriated by Item 3860-490, Budget Act of 2000 | 3,351 | 1,641 | – |
| Item 3860-301-0001 Budget Act of 1998, as partially reappropriated by Item 3860-491, Budget Act of 1999 and 3860-490, Budget Act of 2000 | 10,727 | 7,696 | – |
| Item 3860-301-0001 Budget Act of 1999, as partially reappropriated by Item 3860-490, Budget Act of 2000 | – | 13,454 | – |

*** Dollars in thousands, except in Salary Range.**

## 3860 DEPARTMENT OF WATER RESOURCES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| Chapter 2, Statutes of 1997, reappropriated by Item 3860-490, Budget Act of 2000 | $2,957 | $698 | – |
| Chapter 5, Statutes of 1997, as partially reappropriated by Item 3860-490, Budget Act of 2000 | 1,380 | 337 | – |
| Totals Available | $40,900 | $35,289 | $23,305 |
| Balance available in subsequent years | −23,826 | – | – |
| Unexpended balance, estimated savings | −1 | – | – |
| TOTALS, EXPENDITURES | $17,073 | $35,289 | $23,305 |
| **0413 South Delta Barriers Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $3,270 | $1,000 | $1,000 |
| Prior year balances available: | | | |
| Item 3860-301-0413, Budget Act of 1997 | 600 | – | – |
| Item 3860-301-0413, Budget Act of 1998 | 1,650 | 1,650 | – |
| Item 3860-301-0413, Budget Act of 1999 | – | 3,270 | – |
| Totals Available | $5,520 | $5,920 | $1,000 |
| Balance available in subsequent years | −4,920 | – | |
| Unexpended balance, estimated savings | −600 | – | – |
| TOTALS, EXPENDITURES | – | $5,920 | $1,000 |
| **0502 California Water Resources Development Bond Fund [n]** | | | |
| APPROPRIATIONS | | | |
| Water Code Sections 12937(b) and 12938 (expenditures) | $164,662 | $183,287 | $182,695 |
| **0506 Central Valley Water Project Construction Fund [n]** | | | |
| APPROPRIATIONS | | | |
| Water Code Section 11814 (expenditures) | $42,309 | $80,044 | $77,921 |
| **0507 Central Valley Water Project Revenue Fund [n]** | | | |
| APPROPRIATIONS | | | |
| Water Code Section 11821 (expenditures) | $236,147 | $240,801 | $246,809 |
| **0995 Reimbursements** | | | |
| Reimbursements | $3,767 | $17,131 | $8,614 |
| **6008 State Capital Protection Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $20,000 | – |
| **6010 Yuba Feather Flood Protection Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $2,500 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $463,958 | $584,972 | $540,344 |

## 3870 CALFED Bay-Delta Program

The CALFED Bay-Delta Program is an unprecedented effort to implement a framework for managing California's most precious natural resource: water. On August 28, 2000, with the signing of the Record of Decision (ROD) for the Final Programmatic Environmental Impact Statement/Report (EIS/EIR), California and the federal government announced a commitment to move forward with implementation of the CALFED Bay-Delta Program. The ROD and Final EIS/EIR established the framework for implementation of a thirty year program which includes ten elements: ecosystem restoration, water quality, Delta levees, watershed management, water storage, water transfers, water use efficiency, Delta water conveyance, a strong science element and an Environmental Water Account for water purchases.

Implementation of the CALFED Program involves numerous state and federal agencies as well as local governments, Native American tribes, and private and nonprofit entities. Year 1 of implementation began in FY 2000–01 with the signing of the ROD. Oversight and coordination of the CALFED Program will continue to be provided by State and federal staff which are currently funded in the Department of Water Resources and the U.S. Bureau of Reclamation.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3870 CALFED Bay-Delta Program—Continued

Displayed below are the State and federal agencies involved in achieving the objectives of the CALFED Program. With the shift from planning to implementation of the CALFED Program, an Implementation Memorandum of Understanding was developed and signed by 20 state and federal agencies as part of the ROD. Within the MOU, existing state and federal programs and funds were identified as Category A or B programs. The Crosscut Budget has been organized by Category A and B Programs:

- Category A programs are those programs that are an integral part of the CALFED objectives and therefore should be managed and implemented directly through the CALFED process.
- Category B programs are those programs that are consistent with, and related to, the CALFED objectives and therefore should be managed in coordination with the CALFED Program.

Crosscut information for the federal portion of CALFED for the federal fiscal year 2002 (beginning October 2001) will not be available until sometime during 2001. Specific State funding requests for the CALFED Program are identified in individual department budgets.

### CALFED Agencies Category A and B Funding

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **State—Category A** | | | |
| Resources Agency | – | $119,487 | $140,203 |
| CALFED | – | 181,396 | 55,239 |
| Department of Water Resources | – | 254,846 | 168,694 |
| Department of Fish and Game | – | 17,363 | 6,972 |
| State Water Resources Control Board | – | 18,458 | 45,739 |
| Department of Parks and Recreation | – | 13,000 | – |
| Department of Forestry and Fire Protection | – | – | 1,651 |
| State Lands Commission | – | – | 170 |
| San Francisco Bay Conservation and Development Commission | – | – | 328 |
| Subtotal, State—Category A | – | $604,550 [1] | $418,996 [1] |
| **Federal—Category A** | | | |
| U.S. Bureau of Reclamation | – | $58,338 | – |
| U.S. Army Corps of Engineers | – | 1,172 | – |
| National Marine Fisheries Service | – | 35 | – |
| U.S. Geological Survey | – | 782 | – |
| U.S. Fish and Wildlife Service | – | 1,531 | – |
| U.S. Environmental Protection Agency | – | 20 | – |
| Subtotal, Federal—Category A | – | $61,878 | – [2] |
| **State—Category B** | | | |
| Department of Water Resources | – | $71,855 | $28,268 |
| Department of Fish and Game | – | – | 1,779 |
| State Water Resources Control Board | – | 100,779 | 63,000 |
| Department of Food and Agriculture | – | 3,774 | 3,847 |
| Department of Boating and Waterways | – | 5,960 | 5,960 |
| Department of Parks and Recreation | – | 365 | – |
| Department of Forestry and Fire Protection | – | 1,200 | 1,200 |
| Subtotal, State—Category B | – | $183,933 [1] | $104,054 [1] |
| **Federal—Category B** | | | |
| U.S. Bureau of Reclamation | – | $9,751 | – |
| U.S. Army Corps of Engineers | – | 6,305 | – |
| Natural Resource Conservation Service | – | 14,110 | – |
| U.S. Geological Survey | – | 3,959 | – |
| U.S. Fish and Wildlife Service | – | 231 | – |
| U.S. Environmental Protection Agency | – | 50,587 | – |
| U.S. Forest Service | – | 97,000 | – |
| Subtotal, Federal—Category B | – | $181,943 | – [2] |
| TOTAL | – | $1,032,304 | $523,050 |

[1] Departments, such as Department of Food and Agriculture and Department of Health Services, that receive reimbursements from other State agencies for CALFED are not itemized in this display.

[2] Crosscut information for the federal portion of CALFED for the federal fiscal year 2002 will not be available until sometime during 2001.

*** Dollars in thousands, except in Salary Range.**

## 3870 CALFED Bay-Delta Program—Continued

### CALFED Agencies Total Category A and B Funding

| State | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Resources Agency | – | $119,487 | $140,203 |
| CALFED | – | 181,396 | 55,239 |
| Department of Water Resources | – | 326,701 | 196,962 |
| Department of Fish and Game | – | 17,363 | 8,751 |
| State Water Resources Control Board | – | 119,237 | 108,739 |
| Department of Food and Agriculture | – | 3,774 | 3,847 |
| Department of Boating and Waterways | – | 5,960 | 5,960 |
| Department of Parks and Recreation | – | 13,365 | – |
| Department of Forestry and Fire Protection | – | 1,200 | 2,851 |
| State Lands Commission | – | – | 170 |
| San Francisco Bay Conservation and Development Commission | – | – | 328 |
| Subtotal (State) | – | $788,483 | $523,050 |
| **Federal** | | | |
| U.S. Bureau of Reclamation | – | $68,089 | – |
| U.S. Army Corps of Engineers | – | 7,477 | – |
| Natural Resource Conservation Service | – | 14,110 | – |
| National Marine Fisheries Services | – | 35 | – |
| U.S. Geological Survey | – | 4,741 | – |
| U.S. Fish and Wildlife Service | – | 1,762 | – |
| U.S. Environmental Protection Agency | – | 50,607 | – |
| U.S. Forest Service | – | 97,000 | – |
| Subtotal (Federal) | – | $243,821 | – [2] |
| TOTAL | – | $1,032,304 | $523,050 |

### State and Federal Category A Funding by CALFED Program Element

| State Agencies | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Ecosystem Restoration | – | $188,411 | $161,480 |
| Resources Agency | – | 119,125 | 140,203 |
| CALFED | – | – | 10,796 |
| Department of Water Resources | – | 41,850 | 10,271 |
| Department of Fish and Game | – | 14,436 | – |
| State Water Resources Control Board | – | – | 210 |
| Department of Parks and Recreation | – | 13,000 | – |
| Environmental Water Account | – | $59,583 | $30,246 |
| CALFED | – | 59,500 | 163 |
| Department of Water Resources | – | – | 29,822 |
| Department of Fish and Game | – | 83 | 261 |
| Water Quality | – | $48,900 | $26,070 |
| CALFED | – | 23,900 | 15,595 |
| Department of Water Resources | – | 24,000 | 339 |
| State Water Resources Control Board | – | 1,000 | 10,136 |
| Water Use Efficiency | – | $66,384 | $45,077 |
| CALFED | – | 15,000 | 2,293 |
| Department of Water Resources | – | 36,384 | 17,784 |
| State Water Resources Control Board | – | 15,000 | 25,000 |
| Watershed Management | – | $21,227 | $20,234 |
| CALFED | – | 20,000 | 4,634 |
| Department of Water Resources | – | 227 | 3,167 |
| Department of Fish and Game | – | – | 782 |
| State Water Resources Control Board | – | 1,000 | 10,000 |
| Department of Forestry and Fire Protection | – | – | 1,651 |
| Water Transfers | – | $3,083 | $1,300 |
| CALFED | – | 3,000 | 506 |
| Department of Water Resources | – | 83 | 650 |
| State Water Resources Control Board | – | – | 144 |
| Levees | – | $30,755 | $23,550 |
| CALFED | – | – | 1,618 |
| Department of Water Resources | – | 30,485 | 20,762 |
| Department of Fish and Game | – | 270 | 757 |
| State Water Resources Control Board | – | – | 249 |
| San Francisco Bay Conservation and Development Commission | – | – | 164 |
| Storage | – | $105,323 | $55,608 |
| CALFED | – | 5,000 | 800 |
| Department of Water Resources | – | 99,996 | 54,116 |
| Department of Fish and Game | – | 327 | 692 |

[2] Crosscut information for the federal portion of CALFED for the federal fiscal year 2002 will not be available until sometime during 2001.

*** Dollars in thousands, except in Salary Range.**

## 3870 CALFED Bay-Delta Program—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Conveyance | – | $43,034 | $28,497 |
| CALFED | – | 27,800 | 3,138 |
| Department of Water Resources | – | 15,234 | 24,755 |
| Department of Fish and Game | – | – | 604 |
| Science | – | $21,709 | $18,399 |
| CALFED | – | 13,000 | 9,019 |
| Department of Water Resources | – | 6,587 | 6,478 |
| Department of Fish and Game | – | 2,112 | 2,902 |
| State Water Resources Control Board | – | 10 | – |
| CALFED Support | – | $16,141 | $8,535 |
| Resources Agency | – | 362 | – |
| CALFED | – | 14,196 | 6,677 |
| Department of Water Resources | – | – | 550 |
| Department of Fish and Game | – | 135 | 974 |
| State Water Resources Control Board | – | 1,448 | – |
| State Lands Commission | – | – | 170 |
| San Francisco Bay Conservation and Development Commission | – | – | 164 |
| State Subtotal | – | $604,550 | $418,996 |
| **Federal Agencies** | | | |
| Ecosystem Restoration | – | $26,942 | – |
| U.S. Bureau of Reclamation | – | 25,242 | – |
| U.S. Army Corps of Engineers | – | 400 | – |
| U.S. Fish and Wildlife Service | – | 1,300 | – |
| Water Use Efficiency | – | $26,178 | – |
| U.S. Bureau of Reclamation | – | 26,178 | – |
| Water Transfers | – | $118 | – |
| U.S. Bureau of Reclamation | – | 118 | – |
| Levees | – | $300 | – |
| U.S. Army Corps of Engineers | – | 300 | – |
| Storage | – | $1,800 | – |
| U.S. Bureau of Reclamation | – | 1,800 | – |
| Conveyance | – | $1,000 | – |
| U.S. Bureau of Reclamation | – | 1,000 | – |
| Science | – | $5,290 | – |
| U.S. Bureau of Reclamation | – | 4,000 | – |
| U.S. Army Corps of Engineers | – | 222 | – |
| National Marine Fisheries Service | – | 35 | – |
| U.S. Geological Survey | – | 782 | – |
| U.S. Fish and Wildlife Service | – | 231 | – |
| U.S. Environmental Protection Agency | – | 20 | – |
| CALFED Support | – | $250 | – |
| U.S. Army Corps of Engineers | – | 250 | – |
| Federal Subtotal | – | $61,878 | – [2] |
| TOTAL | – | $666,428 | $418,996 |
| **Fund Source** | | | |
| General Fund | – | $174,779 | $91,728 |
| Prop 204 | – | 133,170 | 150,206 |
| Prop 12 | – | 13,000 | – |
| Prop 13 | – | 258,396 | 151,279 |
| Other State Funds | – | 25,205 | 25,783 |
| Federal Agency Funds | – | 61,878 | – |
| TOTAL | – | $666,428 | $418,996 |

[2] Crosscut information for the federal portion of CALFED for the federal fiscal year 2002 will not be available until sometime during 2001.

*** Dollars in thousands, except in Salary Range.**

# TABLE OF CONTENTS

## ENVIRONMENTAL PROTECTION


Air Resources Board ..... EP 1
California Integrated Waste Management Board ..... EP 6
Department of Pesticide Regulation ..... EP 16
State Water Resources Control Board ..... EP 21
Department of Toxic Substances Control ..... EP 37
Office of Environmental Health Hazard Assessment ..... EP 52

# 3900 AIR RESOURCES BOARD

The Air Resources Board has primary responsibility for protecting air quality in California. This responsibility includes establishing ambient air quality standards for specific pollutants, administering air pollution research studies, evaluating standards adopted by the U.S. Environmental Protection Agency and developing and implementing plans to attain and maintain these standards. These plans include emission limitations for vehicular and industrial sources established by the Board and local air pollution control districts.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 15 Mobile Source | 531.9 | 586.5 | 584.9 | $90,738 | $180,709 | $240,170 |
| 25 Stationary Source | 311.0 | 338.6 | 342.0 | 41,363 | 47,267 | 50,777 |
| 30 Program Direction and Support | 112.1 | 114.8 | 114.8 | 9,860 | 9,902 | 9,952 |
| Distributed Program Direction and Support | – | – | – | –9,860 | –9,902 | –9,952 |
| 35 Subvention | – | – | – | 7,511 | 15,111 | 15,111 |
| TOTALS, PROGRAMS | 955.0 | 1,039.9 | 1,041.7 | $139,612 | $243,087 | $306,058 |
| *0001 General Fund* | | | | *30,033* | *128,175* | *185,987* |
| *0044 Motor Vehicle Account, State Transportation Fund* | | | | *60,480* | *73,264* | *74,164* |
| *0115 Air Pollution Control Fund* | | | | *23,926* | *9,625* | *18,800* |
| *0421 Vehicle Inspection and Repair Fund* | | | | *9,735* | *9,918* | *10,167* |
| *0434 Air Toxics Inventory and Assessment Account* | | | | *1,218* | *1,296* | *1,304* |
| *0489 Rice Straw Demonstration Project Grant Fund* | | | | *1,231* | *–* | *1,000* |
| *Less funding provided by General Fund* | | | | *–* | *–* | *–1,000* |
| *0582 High Polluter Repair or Removal Account* | | | | *217* | *105* | *–* |
| *0853 Petroleum Violation Escrow Account* | | | | *–* | *5,000* | *–* |
| *0890 Federal Trust Fund* | | | | *8,142* | *10,423* | *10,510* |
| *0995 Reimbursements* | | | | *4,630* | *5,281* | *5,126* |

## 15 MOBILE SOURCE

### Program Objectives Statement

The Mobile Source Program is directed at controlling emissions from internal combustion engines. Activities of this program include the following:

1. Develop, implement and enforce laws and regulations limiting emissions from new and in-use vehicles. Surveillance of vehicles is performed to assess the effectiveness of established procedures. In addition, the effects of replacement parts, retrofitted emission control devices and fuel additives on emissions are studied.
2. Develop test and evaluation procedures for vehicles, engines, emission control components, fuel additives and test equipment to assure that emission standards are met for the useful life of required emission control components.
3. Conduct information and training seminars for vehicle dealers, mechanics and members of the public on vehicle emissions and the resulting air pollution.
4. Develop inspection standards, perform effectiveness evaluations and provide analytical capabilities for California's motor vehicle inspection and maintenance program.
5. Develop regulations for presently unregulated modes of internal combustion engine use, as well as other mobile sources.

### Major Budget Adjustments Proposed for 2001–02

- $100,000,000 General Fund (GF) for the Diesel NOx Reduction Program to replace older diesel engines with cleaner models.
- $50,000,000 GF for grants to support the California Zero Emission Vehicle Program.
- $3,950,000 Air Pollution Control Fund (APC) one-time funding to upgrade existing equipment and instrumentation with the latest technological advancements.
- $935,000 APC one-time funding to purchase equipment to conduct in-use vehicle compliance testing.
- $650,000 APC to increase the scope of the ongoing Children's Health Study to adapt to changes in air monitoring needs and improvements in technologies.
- $369,000 Motor Vehicle Account (MVA), $75,000 Vehicle Inspection and Repair Fund, and $1,000 Reimbursements to replace obsolete scientific equipment.
- $311,000 MVA to enhance and expand the air monitoring equipment network in the Lake Tahoe region.

### Authority

Health and Safety Code Section 39000 et seq.

## 25 STATIONARY SOURCE

### Program Objectives Statement

This program works with air pollution control districts and the business and scientific communities to reduce emissions from stationary sources to comply with State and federal laws. The functions performed include the following:

1. Develop measures for reducing emissions from stationary and other sources as required by the California Clean Air Act, and work with local air pollution control districts to achieve and maintain State and federal ambient air quality standards.
2. Identify substances which are toxic air contaminants and develop measures to control such emissions.
3. Provide guidance on control technology for stationary sources.
4. Review and comment on environmental impact reports relating to general projects, as required by the Public Resources Code and the National Environmental Policy Act, and provide technical assistance to local and regional agencies.
5. Assist industries wishing to locate or expand in California and provide comments to lead agencies and districts on applications for permits to construct or modify facilities that are major sources of air pollution.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3900 AIR RESOURCES BOARD—Continued

6. Develop and implement, in conjunction with local air pollution control districts, a new source siting program for California that meets Federal Clean Air Act and State requirements.
7. Provide technical assistance to districts on source-specific toxic (or potentially toxic) air contaminant exposure assessments.

### Major Budget Adjustments Proposed for 2001–02

- $2,550,000 APC one-time funding to upgrade existing equipment and instrumentation with the latest technological advancements.
- $1,000,000 GF to implement Chapter 1019, Statutes of 2000 (SB 1794) to provide funding to the Rice Straw Demonstration Project Grant Fund to make cost-sharing grants for development of demonstration projects for new rice straw technologies.
- $972,000 GF reduction to correspond to an Office of Environmental Health Hazard Assessment proposal to convert reimbursements from the Air Resources Board to a direct GF appropriation for various air quality risk assessment activities.
- $311,000 GF to enhance and expand the air monitoring equipment network in the Lake Tahoe region.
- $192,000 GF and 2.0 positions to implement Chapter 741, Statutes of 2000 (SB 1298) to develop emission standards for distributed generators of electricity and certify related technologies.
- $114,000 GF and $1,000 Reimbursements to replace obsolete scientific equipment.
- $350,000 APC to increase the scope of the ongoing Children's Health Study to adapt to changes in air monitoring needs and improvements in technologies.
- $187,000 GF and 2.0 positions for continuation of the Agencywide Economic Analysis Unit.
- $343,000 GF and 3.5 positions to implement Chapter 329, Statutes of 2000 (AB 970) to address energy needs in California, including measures to reduce demand for, and increase supply of, electricity during peak-use periods.

### Authority

Health and Safety Code Section 39000 et seq.

## 35 SUBVENTION

Since 1972, the Air Resources Board has provided subventions to local air pollution control districts in order to encourage and provide support for effective district programs. The state's 35 local air pollution control districts have the primary responsibility for controlling stationary sources of air pollution in California. Subvention funding requires that certain general program criteria be met in addition to strict matching requirements.

### Authority

Health and Safety Code Section 39800 et seq.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 15 MOBILE SOURCE

| State Operatons: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$5,000* | *$98,500* | *$156,000* |
| *0044 Motor Vehicle Account* | *52,969* | *58,153* | *59,053* |
| *0115 Air Pollution Control Fund* | *20,379* | *6,235* | *12,252* |
| *0421 Vehicle Inspection and Repair Fund* | *9,735* | *9,918* | *10,167* |
| *0582 High Polluter Repair or Removal Account* | *217* | *105* | *–* |
| *0853 Petroleum Violation Escrow Account* | *–* | *5,000* | *–* |
| *0890 Federal Trust Fund* | *919* | *1,169* | *1,178* |
| *0995 Reimbursements* | *1,519* | *1,629* | *1,520* |
| Totals, State Operations | $90,738 | $180,709 | $240,170 |

### 25 STATIONARY SOURCE

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$25,033* | *$29,675* | *$29,987* |
| *0115 Air Pollution Control Fund* | *3,547* | *3,390* | *6,548* |
| *0434 Air Toxics Inventory and Assessment Account* | *1,218* | *1,296* | *1,304* |
| *0489 Rice Straw Demonstration Project Grant Fund* | *1,231* | *–* | *1,000* |
| *Less funding provided by General Fund* | *–* | *–* | *–1,000* |
| *0890 Federal Trust Fund* | *7,223* | *9,254* | *9,332* |
| *0995 Reimbursements* | *3,111* | *3,652* | *3,606* |
| Totals, State Operations | $41,363 | $47,267 | $50,777 |

### 35 SUBVENTION

| Local Assistance: | | | |
|---|---|---|---|
| *0044 Motor Vehicle Account* | *$7,511* | *$15,111* | *$15,111* |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $132,101 | $227,976 | $290,947 |
| Local Assistance | 7,511 | 15,111 | 15,111 |
| TOTALS, EXPENDITURES | $139,612 | $243,087 | $306,058 |

*** Dollars in thousands, except in Salary Range.**

## 3900 AIR RESOURCES BOARD—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 955.0 | 1,081.5 | 1,075.0 | $54,811 | $62,963 | $63,876 |
| Total Adjustments | – | 2.9 | 11.5 | – | 209 | 725 |
| Estimated Salary Savings | – | –44.5 | –44.8 | – | –2,631 | –2,695 |
| Net Totals, Salaries and Wages | 955.0 | 1,039.9 | 1,041.7 | $54,811 | $60,541 | $61,906 |
| Staff Benefits | – | – | – | 9,648 | 9,737 | 9,864 |
| Totals, Personal Services | 955.0 | 1,039.9 | 1,041.7 | $64,459 | $70,278 | $71,770 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $67,642 | $157,698 | $219,177 |
| TOTALS, EXPENDITURES | | | | $132,101 | $227,976 | $290,947 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $29,334 | $124,517 | $178,987 |
| 011 Budget Act appropriation (transfer to the Rice Straw Demonstration Grant Fund 0489) | – | – | 1,000 |
| Allocation for employee compensation | 1,520 | 53 | – |
| Adjustment per Section 3.60 | –832 | –156 | – |
| Adjustment per Section 16.00 | 11 | – | – |
| Chapter 329, Statutes of 2000 | – | 261 | – |
| Chapter 1072, Statutes of 2000, Section 2a | – | 18,000 | – |
| Chapter 1072, Statutes of 2000, Section 2b | – | 500 | – |
| Prior year balances available: | | | |
| Chapter 1072, Statutes of 2000, Section 2a | – | – | 15,000 |
| Totals Available | $30,033 | $143,175 | $194,987 |
| Balance available in subsequent years | – | –15,000 | –9,000 |
| TOTALS, EXPENDITURES | $30,033 | $128,175 | $185,987 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $51,633 | $58,380 | $59,053 |
| Allocation for employee compensation | 2,954 | 89 | – |
| Adjustment per Section 3.60 | –1,637 | –304 | – |
| Adjustment per Section 16.00 | 19 | – | – |
| Transfer to Legislative Claims (9670) | – | –12 | – |
| TOTALS, EXPENDITURES | $52,969 | $58,153 | $59,053 |

### 0115 Air Pollution Control Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $23,805 | $9,655 | $18,800 |
| Allocation for employee compensation | 350 | 7 | – |
| Adjustment per Section 3.60 | –229 | –37 | – |
| TOTALS, EXPENDITURES | $23,926 | $9,625 | $18,800 |

### 0421 Vehicle Inspection and Repair Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $9,499 | $9,955 | $10,167 |
| Allocation for employee compensation | 541 | 10 | – |
| Adjustment per Section 3.60 | –305 | –47 | – |
| TOTALS, EXPENDITURES | $9,735 | $9,918 | $10,167 |

*** Dollars in thousands, except in Salary Range.**

## 3900 AIR RESOURCES BOARD—Continued

### 0434 Air Toxics Inventory and Assessment Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,480 | $1,298 | $1,304 |
| Allocation for employee compensation | 26 | – | – |
| Adjustment per Section 3.60 | −17 | −2 | – |
| Totals Available | $1,489 | $1,296 | $1,304 |
| Unexpended balance, estimated savings | −271 | – | – |
| TOTALS, EXPENDITURES | $1,218 | $1,296 | $1,304 |

### 0489 Rice Straw Demonstration Project Grant Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $1,000 |
| Prior year balances available: | | | |
| Item 3900-001-0489, Budget Act of 1998, as reappropriated by Chapter 640, Statutes of 1999 | $1,231 | – | – |
| TOTALS, EXPENDITURES | $1,231 | – | $1,000 |
| Less funding provided by General Fund | – | – | −1,000 |
| NET TOTALS, EXPENDITURES | $1,231 | – | – |

### 0582 High Polluter Repair or Removal Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $214 | $105 | – |
| Allocation for employee compensation | 3 | – | – |
| TOTALS, EXPENDITURES | $217 | $105 | – |

### 0853 Petroleum Violation Escrow Account [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $5,000 | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $10,767 | $10,461 | $10,510 |
| Allocation for employee compensation | – | 10 | – |
| Adjustment per Section 3.60 | −295 | −48 | – |
| Budget adjustment | −2,330 | – | – |
| TOTALS, EXPENDITURES | $8,142 | $10,423 | $10,510 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $4,630 | $5,281 | $5,126 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $132,101 | $227,976 | $290,947 |

---

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 2 LOCAL ASSISTANCE

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $7,511 | $15,111 | $15,111 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $7,511 | $15,111 | $15,111 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $139,612 | $243,087 | $306,058 |

---

## FUND CONDITION STATEMENT

### 0115 Air Pollution Control Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $6,759 | $8,592 | $11,769 |
| Prior year adjustments | 514 | – | – |
| Balance, Adjusted | $7,273 | $8,592 | $11,769 |

*** Dollars in thousands, except in Salary Range.**

## 3900 AIR RESOURCES BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $9,049 | $9,208 | $9,390 |
| 150300 Income from surplus money investments | 1,141 | 500 | 500 |
| 160400 Sale of fixed assets | 4 | – | – |
| 164300 Penalty assessments | 15,051 | 3,365 | 3,365 |
| Totals, Revenues and Transfers | $25,245 | $13,073 | $13,255 |
| Totals, Resources | $32,518 | $21,665 | $25,024 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3900 Air Resources Board: | | | |
| State Operations | 23,926 | 9,625 | 18,800 |
| Capital Outlay | – | 271 | 2,199 |
| Totals, Disbursements | $23,926 | $9,896 | $20,999 |
| FUND BALANCE | $8,592 | $11,769 | $4,025 |
| Reserve for economic uncertainties | 8,592 | 11,769 | 4,025 |

### 0434 Air Toxics Inventory and Assessment Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $17 | $17 | $19 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,207 | 1,287 | 1,287 |
| 150300 Income from surplus money investments | 11 | 11 | 11 |
| Totals, Revenues and Transfers | $1,218 | $1,298 | $1,298 |
| Totals, Resources | $1,235 | $1,315 | $1,317 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3900 Air Resources Board (State Operations) | 1,218 | 1,296 | 1,304 |
| FUND BALANCE | $17 | $19 | $13 |
| Reserve for economic uncertainties | 17 | 19 | 13 |

### 0489 Rice Straw Demonstration Project Grant Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| Prior year adjustments | $1,231 | – | – |
| Balance, Adjusted | $1,231 | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3900 Air Resources Board (State Operations) | 1,231 | – | $1,000 |
| Totals, Disbursements | $1,231 | – | $1,000 |
| Expenditure Reductions: | | | |
| 3900 Air Resources Board (State Operations: less funding provided by the General Fund) | – | – | −1,000 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 955.0 | 1,081.5 | 1,075.0 | $54,811 | $62,963 | $63,876 |
| Salary adjustments | – | – | – | – | 41 | 49 |
| Totals, Adjusted Authorized Positions | 955.0 | 1,081.5 | 1,075.0 | $54,811 | $63,004 | $63,925 |

*** Dollars in thousands, except in Salary Range.**

## 3900 AIR RESOURCES BOARD—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Proposed New Positions: | | | | Salary Range | | |
| Air Resources Engr [1] | – | 2.9 | 8.5 | $4,635–5,632 | $165 | $494 |
| Air Pollution Spec [2] | – | – | 1.0 | 4,213–5,118 | – | 51 |
| Research Analyst II-Econ | – | – | 2.0 | 4,110–4,997 | – | 117 |
| Overtime | – | – | – | – | 3 | 14 |
| Totals, Proposed New Positions | – | 2.9 | 11.5 | – | $168 | $676 |
| Total Adjustments | – | 2.9 | 11.5 | – | $209 | $725 |
| TOTALS, SALARIES AND WAGES | 955.0 | 1,084.4 | 1,086.5 | $54,811 | $63,172 | $64,601 |

[1] 3.0 positions limited to 6/30/02 and 3.5 positions limited to 6/30/04.
[2] 1.0 position limited to 6/30/02.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **40 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 40.10.001 Haagen-Smit Laboratory Breezeway Renovation | – | $271 PWs | $2,199 Cs |
| Totals, Major Projects | – | $271 | $2,199 |

**RECONCILIATION WITH APPROPRIATIONS**
**3 CAPITAL OUTLAY**
**0115 Air Pollution Control Fund [s]**

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $271 | $2,199 |
| TOTALS, EXPENDITURES (Capital Outlay) | – | $271 | $2,199 |

# 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD

The California Integrated Waste Management Board promotes the following waste management practices: (1) source reduction, (2) recycling and composting, (3) reuse, and (4) environmentally safe transformation and land disposal. The Board protects public health and safety and the environment through the regulation of solid waste facilities, including landfills.

The Board's activities include: permitting, inspection and enforcement at solid waste facilities and the cleanup of abandoned solid waste sites; training, certification, oversight and evaluation of Local Enforcement Agencies that regulate solid waste facilities; review and approval of, and technical assistance related to, local integrated waste management plans; research and investigations of new or improved solid waste handling, disposal, or recycling methods and of waste reduction and reuse alternatives; public awareness and education programs; market development and business development programs to promote recycling-based industries and alternatives to land disposal; operation of a statewide integrated data base describing California's waste management infrastructure; used oil recycling programs; household hazardous waste programs; and programs to promote the recycling or reuse of used tires, abate tire piles, and issue permits for tire piles.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Planning and Enforcement | 230.2 | – | – | $20,635 | – | – |
| 11 Waste Reduction and Management | – | 472.4 | 472.4 | – | $115,451 | $98,896 |
| Solid Waste Disposal Site Cleanup Loan Repayment | – | – | – | – | −70 | −70 |
| Recycling Market Development Loan Repayments | – | – | – | – | −4,922 | −4,922 |
| 15 Disposal Site Cleanup and Maintenance | 3.4 | – | – | 4,327 | – | – |
| Solid Waste Disposal Site Cleanup Loan Repayment | – | – | – | −70 | – | – |
| 20 Waste Reduction and Resource Recovery | 144.2 | – | – | 74,042 | – | – |
| Recycling Market Development Loan Repayments | – | – | – | −4,356 | – | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

| | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 25 | Tire Recycling | 17.0 | – | – | $10,522 | – | – |
| 30 | Administration | 85.3 | 100.9 | 100.9 | 8,001 | $8,662 | $8,662 |
| | Distributed Administration | –85.3 | –100.9 | –100.9 | –8,001 | –8,662 | –8,662 |
| TOTALS, PROGRAMS | | 394.8 | 472.4 | 472.4 | $105,100 | $110,459 | $93,904 |
| *0001* | *General Fund* | | | | *93* | *1,708* | *209* |
| *0005* | *Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | | | | – | *2,812* | *2,813* |
| *0100* | *California Used Oil Recycling Fund* | | | | *36,907* | *33,640* | *27,442* |
| *0226* | *California Tire Recycling Management Fund* | | | | *10,522* | *5,232* | *5,233* |
| *0281* | *Recycling Market Development Revolving Loan Account* | | | | *14,329* | *11,869* | *5,283* |
| *0386* | *Solid Waste Disposal Site Cleanup Trust Fund* | | | | *4,257* | *9,323* | *9,320* |
| *0387* | *Integrated Waste Management Account* | | | | *38,243* | *43,628* | *41,926* |
| *0558* | *Farm and Ranch Solid Waste Cleanup and Abatement Account* | | | | *259* | *1,021* | *1,038* |
| *0890* | *Federal Trust Fund* | | | | *16* | *556* | *55* |
| *0995* | *Reimbursements* | | | | *474* | *670* | *585* |

## 10 PLANNING AND ENFORCEMENT

### Program Objectives Statement

The Planning and Enforcement Program ensures that all nonhazardous solid wastes are stored, collected, processed and disposed of in a safe and environmentally sound manner. This is accomplished through the enforcement of environmental and health standards at solid waste facilities, and the development and maintenance of local solid waste management plans which describe how each city and county will reduce the amount of solid waste disposed.

Cities and counties are responsible for local planning and diversion program implementation under State guidelines. The Board is responsible for approving the plans and monitoring the implementation of the programs to achieve a 50 percent diversion of waste from landfills. The local plans also identify a minimum of 15 years of landfill capacity for remaining solid waste not diverted. Local enforcement agencies (LEAs) issue permits for solid waste facilities and enforce permit conditions and State standards. Permits are subject to Board concurrence. LEAs are evaluated by the Board every three years to ensure that State standards are being met. The Board inspects all landfills every 18 months (monthly inspections are conducted by the LEAs) and maintains an inventory of solid waste facilities that violate State standards. The Board also investigates illegal or abandoned disposal sites and administers a program to clean up illegal disposal sites located on farm and ranch property in California.

Beginning with 2000–01, this program is consolidated into Program 11.

### Authority

Public Resources Code Section 40000 et seq. and Health and Safety Code Section 4500.

## 11 WASTE REDUCTION AND MANAGEMENT

### Program Objectives Statement

This program, beginning in 2000–01, consolidates Programs 10, 15, and 20 to streamline administration of diversion, waste reduction, resource recovery, and permitting and enforcement activities. This consolidation will enable the Board to provide leadership, coordination, and direct assistance through a centralized operation and avoid duplication. The program objectives of Program 11 incorporate the prior program objectives of Programs 10, 15, and 20 including: (1) ensuring that all nonhazardous solid wastes are stored, collected, processed, and disposed of in a safe and environmentally sound manner through the enforcement of environmental and health standards at solid waste facilities; (2) ensuring the development and maintenance of local solid waste management plans, which describe how each city and county will reduce the amount of solid waste disposed to achieve a 50 percent diversion of waste from landfills; (3) cleaning up solid waste disposal sites for which the responsible party either cannot be identified or is unable or unwilling to pay for timely remediation, and where cleanup is needed to protect health and safety or the environment; (4) reducing the amount of waste generated and promoting composting, recycling, and the use of recycled materials in manufacturing processes; (5) reducing the number of used tires that are placed in landfills or illegally dumped or stockpiled and promoting technologies that turn waste tires into useful products; and (6) reducing the amount of improperly disposed used oil and promoting the recycling of used oil.

### Major Budget Adjustments Proposed for 2001–02

- $1,859,000 Integrated Waste Management Account (IWMA) to continue 23.5 positions to increase the percentage of waste diverted from landfills.
- $130,000 IWMA and 2.0 positions to develop a model planning document, implement various planning procedures, and prepare a related report to the Legislature, pursuant to Chapter 740, Statutes of 2000 (SB 2202).
- $96,000 redirection from local assistance to state operations to provide training to local enforcement agencies regarding changes in State and federal regulations and act as the local enforcement agency where there is none.

### Authority

Public Resources Code Section 40000 et seq., Health and Safety Code Section 4500, Chapter 655, Statutes of 1993, Public Resources Code Section 48020 et seq., Public Resources Code Section 40000 et seq., Chapter 35, Statutes of 1990, and Public Resources Code Section 42860 et seq.

| PROGRAM ACTIVITIES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Permitting | – | 28.0 | 28.0 | – | $1,835 | $1,900 |
| Enforcement | – | 36.0 | 34.0 | – | 3,850 | 2,548 |
| Used Oil Recycling Grants | – | 6.0 | 7.0 | – | 12,159 | 28,974 |
| Waste Tire Remediation | – | 7.0 | 5.0 | – | 6,036 | 4,795 |
| Waste Tire Market Development | – | 5.0 | 6.0 | – | 1,386 | 1,095 |

*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Recycling Market Development Zone Loans | – | 7.0 | 7.0 | – | $11,290 | $10,425 |
| Project Recycle | – | 16.0 | 9.0 | – | 1,314 | 1,173 |
| Solid Waste Disposal Site Remediation | – | 11.0 | 7.0 | – | 5,603 | 4,920 |

## 15 DISPOSAL SITE CLEANUP AND MAINTENANCE

### Program Objectives Statement

The program objective is to clean up solid waste disposal sites for which the responsible party either cannot be identified or is unable or unwilling to pay for timely remediation, and where cleanup is needed to protect public health and safety or the environment. In administering the program, the Board may expend funds directly for cleanup, provide loans to responsible parties who demonstrate the ability to repay State funds, and provide matching grants to local governments to assist in the cleanup.

Beginning with 2000–01, this program is consolidated into Program 11.

### Authority

Chapter 655, Statutes of 1993.
Public Resources Code Section 48020 et seq.

## 20 WASTE REDUCTION AND RESOURCE RECOVERY

### Program Objectives Statement

The Waste Reduction and Resource Recovery Program reduces the amount of waste generated and promotes the recovery, reuse, and incorporation of recyclable materials as a feedstock into manufacturing processes. The program integrates source reduction, recycling and composting into local integrated waste management systems. Activities include: waste reduction, market development, recycled product procurement, and recovery.

Beginning with 2000–01, this program is consolidated into Program 11.

### Authority

Public Resources Code Section 40000 et seq.

## 25 TIRE RECYCLING

### Program Objectives Statement

The purposes of the Tire Recycling Program are to (1) reduce landfill disposal and stockpiling of used whole tires, (2) recycle and reclaim used tires and used tire components to recover valuable natural resources for new markets, and (3) eliminate illegal dumping and unsafe stockpiling of used tires. The program includes support for waste tire facility permitting, waste tire site remediation and stabilization, tire recycling grants, technical assistance, research, and waste tire hauler registration.

Beginning with 2000–01, this program is consolidated into Program 11.

### Authority

Chapter 35, Statutes of 1990.
Public Resources Code Section 42860 et seq.

---

## PROGRAM BUDGET DETAIL

| **PROGRAM REQUIREMENTS** | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **10 PLANNING AND ENFORCEMENT** | $20,635 | – | – |
| State Operations: | | | |
| *0387 Integrated Waste Management Account* | *18,546* | – | – |
| *0558 Farm and Ranch Solid Waste Cleanup and Abatement Account* | *258* | – | – |
| *0995 Reimbursements* | *331* | – | – |
| Totals, State Operations | $19,135 | – | – |
| Local Assistance: | | | |
| *0387 Integrated Waste Management Account* | *1,500* | – | – |
| Totals, Local Assistance | $1,500 | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **11 WASTE REDUCTION AND MANAGEMENT** | – | – | – |
| State Operations: | | | |
| *0001 General Fund* | – | *$1,188* | *$209* |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | – | *254* | *255* |
| *0100 California Used Oil Recycling Fund* | – | *13,406* | *8,271* |
| *0226 California Tire Recycling Management Fund* | – | *4,732* | *4,733* |
| *0281 Recycling Market Development Revolving Loan Account* | – | *6,791* | *3,203* |
| *0386 Solid Waste Disposal Site Cleanup Trust Fund* | – | *9,323* | *9,320* |
| *0387 Integrated Waste Management Account* | – | *39,128* | *37,522* |

*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0558 Farm and Ranch Solid Waste Cleanup and Abatement Account* | – | *$1,021* | *$1,038* |
| *0890 Federal Trust Fund* | – | *556* | *55* |
| *0995 Reimbursements* | – | *670* | *585* |
| Totals, State Operations | – | $77,069 | $65,191 |
| Local Assistance: | | | |
| *0001 General Fund* | – | *520* | – |
| *0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund* | – | *2,558* | *2,558* |
| *0100 California Used Oil Recycling Fund* | – | *20,234* | *19,171* |
| *0226 California Tire Recycling Management Fund* | – | *500* | *500* |
| *0281 Recycling Market Development Revolving Loan Account* | – | *5,078* | *2,080* |
| *0387 Integrated Waste Management Account* | – | *4,500* | *4,404* |
| Totals, Local Assistance | – | $33,390 | $28,713 |
| **PROGRAM REQUIREMENTS** | | | |
| **15 DISPOSAL SITE CLEANUP AND MAINTENANCE** | $4,257 | – | – |
| State Operations: | | | |
| *0386 Solid Waste Disposal Site Cleanup Trust Fund* | *4,257* | – | – |
| Totals, State Operations | $4,257 | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **20 WASTE REDUCTION AND RESOURCE RECOVERY** | $69,686 | – | – |
| State Operations: | | | |
| *0001 General Fund* | *93* | – | – |
| *0100 California Used Oil Recycling Fund* | *8,771* | – | – |
| *0281 Recycling Market Development Revolving Loan Account* | *6,614* | – | – |
| *0387 Integrated Waste Management Account* | *13,697* | – | – |
| *0890 Federal Trust Fund* | *16* | – | – |
| *0995 Reimbursements* | *143* | – | – |
| Totals, State Operations | $29,334 | – | – |
| Local Assistance: | | | |
| *0100 California Used Oil Recycling Fund* | *28,136* | – | – |
| *0281 Recycling Market Development Revolving Loan Account* | *7,716* | – | – |
| *0387 Integrated Waste Management Account* | *4,500* | – | – |
| Totals, Local Assistance | $40,352 | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **25 TIRE RECYCLING** | $10,522 | – | – |
| State Operations: | | | |
| *0226 California Tire Recycling Management Fund* | *10,059* | – | – |
| Totals, State Operations | $10,059 | – | – |
| Local Assistance: | | | |
| *0226 California Tire Recycling Management Fund* | *463* | – | – |
| Totals, Local Assistance | $463 | – | – |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $62,785 | $77,069 | $65,191 |
| Local Assistance | 42,315 | 33,390 | 28,713 |
| TOTALS, EXPENDITURES | $105,100 | $110,459 | $93,904 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 394.8 | 497.3 | 471.8 | $22,648 | $27,088 | $26,433 |
| Total Adjustments | – | – | 25.5 | – | 96 | 1,628 |
| Estimated Salary Savings | – | –24.9 | –24.9 | – | –1,369 | –1,403 |
| Net Totals, Salaries and Wages | 394.8 | 472.4 | 472.4 | $22,648 | $25,815 | $26,658 |
| Staff Benefits | – | – | – | 4,003 | 4,325 | 4,445 |
| Totals, Personal Services | 394.8 | 472.4 | 472.4 | $26,651 | $30,140 | $31,103 |

*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| OPERATING EXPENSES AND EQUIPMENT | $34,054 | $44,663 | $31,822 |
| SPECIAL ITEMS OF EXPENSE | | | |
| Special adjustments—loan repayments | −70 | −70 | −70 |
| Incentive payments | 2,150 | 2,336 | 2,336 |
| Totals, Special Items of Expense | $2,080 | $2,266 | $2,266 |
| TOTALS, EXPENDITURES | $62,785 | $77,069 | $65,191 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $92 | $1,188 | $209 |
| Allocation for employee compensation | 6 | – | – |
| Adjustment per Section 3.60 | −3 | – | – |
| Totals Available | $95 | $1,188 | $209 |
| Unexpended balance, estimated savings | −2 | – | – |
| TOTALS, EXPENDITURES | $93 | $1,188 | $209 |

### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $255 | $255 |
| Adjustment per Section 3.60 | – | −1 | – |
| TOTALS, EXPENDITURES | – | $254 | $255 |

### 0100 California Used Oil Recycling Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,757 | $4,058 | $4,300 |
| 003 Budget Act appropriation (transfer to Farm and Ranch Cleanup and Abatement Account-0558) | (333) | (333) | (333) |
| Public Resources Code Section 48653(a)(1) | 2,150 | 2,336 | 2,336 |
| Public Resources Code Section 48653(a)(4) | 2,853 | 6,865 | 1,635 |
| Public Resources Code Section 48656 | 5 | 159 | – |
| Allocation for employee compensation | 207 | 7 | – |
| Adjustment per Section 3.60 | −136 | −19 | – |
| Totals Available | $8,836 | $13,406 | $8,271 |
| Unexpended balance, estimated savings | −65 | – | – |
| TOTALS, EXPENDITURES | $8,771 | $13,406 | $8,271 |

### 0226 California Tire Recycling Management Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $10,018 | $4,737 | $4,733 |
| 003 Budget Act appropriation (transfer to Farm and Ranch Cleanup and Abatement Account-0558) | (333) | (333) | (333) |
| Allocation for employee compensation | 120 | 2 | – |
| Adjustment per Section 3.60 | −68 | −7 | – |
| Totals Available | $10,070 | $4,732 | $4,733 |
| Unexpended balance, estimated savings | −11 | – | – |
| TOTALS, EXPENDITURES | $10,059 | $4,732 | $4,733 |

### 0281 Recycling Market Development Revolving Loan Account, Integrated Waste Management Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $6,600 | $6,565 | $2,169 |
| Public Resources Code Section 42023.1 | 860 | 1,034 | 1,034 |
| Allocation for employee compensation | 86 | 1 | – |
| Adjustment per Section 3.60 | −50 | −11 | – |

*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Prior year balances available: | | | |
| Item 3910-001-0281, Budget Act of 1998 | $2,319 | $156 | – |
| Item 3910-001-0281, Budget Act of 1999 | – | 3,046 | – |
| Totals Available | $9,815 | $10,791 | $3,203 |
| Balance available in subsequent years | –3,202 | – | – |
| Unexpended balance, estimated savings | – | –4,000 | – |
| TOTALS, EXPENDITURES | $6,613 | $6,791 | $3,203 |

### 0386 Solid Waste Disposal Site Cleanup Trust Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $409 | $442 | $439 |
| Public Resources Code Section 48028(b) | 3,916 | 8,951 | 8,951 |
| Allocation for employee compensation | 19 | 1 | – |
| Adjustment per Section 3.60 | –14 | –1 | – |
| Totals Available | $4,330 | $9,393 | $9,390 |
| Unexpended balance, estimated savings | –3 | – | – |
| TOTALS, EXPENDITURES | $4,327 | $9,393 | $9,390 |
| Loan repayments per Public Resources Code Section 48027(c)(3) | –70 | –70 | –70 |
| NET TOTALS, EXPENDITURES | $4,257 | $9,323 | $9,320 |

### 0387 Integrated Waste Management Account, Integrated Waste Management Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $33,160 | $37,771 | $37,522 |
| 003 Budget Act appropriation (loan to Recycling Market Development Revolving Loan Account-0281) | (5,000) | – | – |
| 004 Budget Act appropriation (transfer to Solid Waste Disposal Site Cleanup Trust Fund-0386) | (5,000) | (5,000) | (5,000) |
| 005 Budget Act appropriation (transfer to Farm and Ranch Cleanup and Abatement Account-0558) | (334) | (334) | (334) |
| Allocation for employee compensation | 1,714 | 85 | – |
| Adjustment per Section 3.60 | –1,198 | –168 | – |
| Adjustment per Section 16.00 | 13 | – | – |
| Prior year balance available: | | | |
| Item 3910-001-0387, Budget Act of 1999 | – | 1,440 | – |
| Totals Available | $33,689 | $39,128 | $37,522 |
| Balance available in subsequent years | –1,440 | – | – |
| Unexpended balance, estimated savings | –6 | – | – |
| TOTALS, EXPENDITURES | $32,243 | $39,128 | $37,522 |

### 0558 Farm and Ranch Solid Waste Cleanup and Abatement Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $998 | $1,022 | $1,038 |
| Allocation for employee compensation | 5 | – | – |
| Adjustment per Section 3.60 | –3 | –1 | – |
| Totals Available | $1,000 | $1,021 | $1,038 |
| Unexpended balance, estimated savings | –741 | – | – |
| TOTALS, EXPENDITURES | $259 | $1,021 | $1,038 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $552 | $556 | $55 |
| Allocation for employee compensation | 3 | – | – |
| Adjustment per Section 3.60 | –2 | – | – |
| Budget adjustment | –537 | – | – |
| TOTALS, EXPENDITURES | $16 | $556 | $55 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $474 | $670 | $585 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $62,785 | $77,069 | $65,191 |

*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $46,671 | $38,312 | $33,635 |
| Loan repayments | –4,356 | –4,922 | –4,922 |
| TOTALS, EXPENDITURES | $42,315 | $33,390 | $28,713 |

### RECONCILIATION WITH APPROPRIATIONS

#### 2 LOCAL ASSISTANCE

#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $520 | – |

#### 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $2,558 | $2,558 |

#### 0100 California Used Oil Recycling Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 48653(a) (expenditures) | $28,136 | $20,234 | $19,171 |

#### 0226 California Tire Recycling Management Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $463 | $500 | $500 |

#### 0281 Recycling Market Development Revolving Loan Account, Integrated Waste Management Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Public Resources Code Section 42010(c)(2) | $12,072 | $10,000 | $7,002 |
| Loan repayments per Public Resources Code Section 42010(d) | –4,356 | –4,922 | –4,922 |
| TOTALS, EXPENDITURES | $7,716 | $5,078 | $2,080 |

#### 0387 Integrated Waste Management Account, Integrated Waste Management Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $7,500 | $4,500 | $4,404 |
| Unexpended balance, estimated savings | –1,500 | – | – |
| TOTALS, EXPENDITURES | $6,000 | $4,500 | $4,404 |

#### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $1,500 | $1,500 | – |
| Budget adjustment | –1,500 | –1,500 | – |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $42,315 | $33,390 | $28,713 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $105,100 | $110,459 | $93,904 |

### FUND CONDITION STATEMENT

#### 0100 California Used Oil Recycling Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $41,982 | $29,111 | $19,249 |
| Prior year adjustments | –141 | – | – |
| Balance, Adjusted | $41,841 | $29,111 | $19,249 |

*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $23,338 | $23,600 | $24,800 |
| 125900 Delinquent Fees | 54 | – | – |
| 150300 Income from surplus money investments | 1,344 | 500 | 500 |
| 161000 Escheat-Checks | 13 | – | – |
| Totals, Revenues | $24,749 | $24,100 | $25,300 |
| Transfers to Other Funds: | | | |
| T00558 Farm and Ranch Solid Waste Cleanup and Abatement Account per Item 3910-003-0100, Budget Act | −333 | – | −333 |
| Totals, Revenues and Transfers | $24,416 | $24,100 | $24,967 |
| Totals, Resources | $66,257 | $53,211 | $44,216 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 (3895) Secretary for Environmental Protection (State Operations) | 55 | 25 | 28 |
| 3910 California Integrated Waste Management Board: | | | |
| State Operations | 8,771 | 13,406 | 8,271 |
| Local Assistance | 28,136 | 20,234 | 19,171 |
| 3960 Department of Toxic Substances Control (State Operations) | 184 | 297 | 319 |
| Totals, Disbursements | $37,146 | $33,962 | $27,789 |
| FUND BALANCE | $29,111 | $19,249 | $16,427 |
| Reserve for prior commitments of continuing appropriations | 12,057 | 12,563 | 12,696 |
| Reserve for economic uncertainties | 17,054 | 6,686 | 3,731 |

### 0226 California Tire Recycling Management Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $5,590 | $1,097 | $12,296 |
| Prior year adjustments | 184 | – | – |
| Balance, Adjusted | $5,774 | $1,097 | $12,296 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 4,979 | 15,000 | 29,000 |
| 150300 Income from surplus money investments | 623 | 1,132 | 2,555 |
| 164300 Penalty assessments | 585 | 300 | 300 |
| Totals, Revenues | $6,187 | $16,432 | $31,855 |
| Transfers to Other Funds: | | | |
| T00558 Farm and Ranch Solid Waste Cleanup and Abatement Account per Item 3910-003-0226, Budget Act | −333 | – | −333 |
| Totals, Revenues and Transfers | $5,854 | $16,432 | $31,522 |
| Totals, Resources | $11,628 | $17,529 | $43,818 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 (3895) Secretary for Environmental Protection (State Operations) | 9 | 1 | – |
| 3910 California Integrated Waste Management Board: | | | |
| State Operations | 10,059 | 4,732 | 4,733 |
| Local Assistance | 463 | 500 | 500 |
| Totals, Disbursements | $10,531 | $5,233 | $5,233 |
| FUND BALANCE | $1,097 | $12,296 | $38,585 |
| Reserve for economic uncertainties | 1,097 | 12,296 | 38,585 |

### 0281 Recycling Market Development Revolving Loan Account, Integrated Waste Management Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $21,440 | $14,322 | $4,530 |
| Prior year adjustments | 3 | – | – |
| Balance, Adjusted | $21,443 | $14,322 | $4,530 |

*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | $1,145 | $650 | $280 |
| 150400 Interest income from loans | 1,140 | 1,520 | 1,713 |
| 152300 Miscellaneous revenue from use of property and money | 11 | 50 | 35 |
| 161400 Miscellaneous revenue | 47 | – | – |
| Totals, Revenues | $2,343 | $2,220 | $2,028 |
| Transfers from Other Funds: | | | |
| F00387 Loan from Integrated Waste Management Account per Item 3910-003-0387, Budget Act | 5,000 | – | – |
| Totals, Revenues and Transfers | $7,343 | $2,220 | $2,028 |
| Totals, Resources | $28,786 | $16,542 | $6,558 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 (3895) Secretary for Environmental Protection (State Operations) | 135 | 143 | 151 |
| 3910 California Integrated Waste Management Board: | | | |
| State Operations | 6,613 | 6,791 | 3,203 |
| Local Assistance | 12,072 | 10,000 | 7,002 |
| Totals, Disbursements | $18,820 | $16,934 | $10,356 |
| Expenditure Reductions: | | | |
| 3910 California Integrated Waste Management Board (Local Assistance): | | | |
| Less loan repayments per Public Resources Code Section 42010(d) | –4,356 | –4,922 | –4,922 |
| Totals, Expenditures | $14,464 | $12,012 | $5,434 |
| FUND BALANCE | $14,322 | $4,530 | $1,124 |
| Reserve for prior commitments of continuing appropriations | 815 | 1,000 | 1,124 |
| Reserve for economic uncertainties | 13,507 | 3,530 | – |

### 0386 Solid Waste Disposal Site Cleanup Trust Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $10,301 | $11,909 | $8,507 |
| Prior year adjustments | –526 | – | – |
| Balance, Adjusted | $9,775 | $11,909 | $8,507 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 696 | 856 | 627 |
| 150400 Interest income from loans | 123 | 65 | 65 |
| 164000 Miscellaneous revenue | 254 | – | – |
| 164300 Penalty assessments | 318 | – | – |
| Totals, Revenues | $1,391 | $921 | $692 |
| Transfers from Other Funds: | | | |
| F00387 Integrated Waste Management Account per Item 3910-004-0387, Budget Act | 5,000 | 5,000 | 5,000 |
| Totals, Revenues and Transfers | $6,391 | $5,921 | $5,692 |
| Totals, Resources | $16,166 | $17,830 | $14,199 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3910 California Integrated Waste Management Board (State Operations) | 4,327 | 9,393 | 9,390 |
| Expenditure Reductions: | | | |
| 3910 California Integrated Waste Management Board (State Operations): | | | |
| Less loan repayments per Public Resources Code Section 48027(c)(3) | –70 | –70 | –70 |
| Totals, Expenditures | $4,257 | $9,323 | $9,320 |
| FUND BALANCE | $11,909 | $8,507 | $4,879 |
| Reserve for prior commitments of continuing appropriations | 8,502 | 4,251 | – |
| Reserve for economic uncertainties | 3,407 | 4,256 | 4,879 |

### 0387 Integrated Waste Management Account, Integrated Waste Management Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $13,018 | $8,436 | $4,011 |
| Prior year adjustments | 3,110 | – | – |
| Balance, Adjusted | $16,128 | $8,436 | $4,011 |

*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $46,318 | $50,200 | $51,200 |
| 150300 Income from surplus money investments | 785 | 553 | 577 |
| 161400 Miscellaneous revenue | 192 | 166 | 166 |
| 164300 Penalty assessments (Local Government Assistance Account) | 21 | 21 | 21 |
| Totals, Revenues | $47,316 | $50,940 | $51,964 |
| Transfers to Other Funds: | | | |
| T00281 Loan to Recycling Market Development Revolving Loan Account per Item 3910-003-0387, Budget Acts of 1998 and 1999 | –5,000 | – | – |
| T00386 Solid Waste Disposal Site Cleanup Trust Fund per Item 3910-004-0387, Budget Act | –5,000 | –5,000 | –5,000 |
| T00558 Farm and Ranch Solid Waste Cleanup and Abatement Account per Item 3910-005-0387, Budget Act | –334 | – | –334 |
| Totals, Transfers to Other Funds | –$10,334 | –$5,000 | –$5,334 |
| Totals, Revenues and Transfers | $36,982 | $45,940 | $46,630 |
| Totals, Resources | $53,110 | $54,376 | $50,641 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 (3895) Secretary for Environmental Protection (State Operations) | 671 | 382 | 422 |
| 0860 State Board of Equalization (State Operations) | 344 | 349 | 390 |
| 3910 California Integrated Waste Management Board: | | | |
| State Operations (includes Local Government Assistance Account) | 32,243 | 39,128 | 37,522 |
| Local Assistance | 6,000 | 4,500 | 4,404 |
| 3940 State Water Resources Control Board (State Operations) | 5,416 | 6,006 | 5,346 |
| Totals, Disbursements | $44,674 | $50,365 | $48,084 |
| FUND BALANCE | $8,436 | $4,011 | $2,557 |
| Reserve for economic uncertainties | 8,436 | 4,011 | 2,557 |

### 0558 Farm and Ranch Solid Waste Cleanup and Abatement Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $379 | $1,196 | $209 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 76 | 34 | 34 |
| Transfers from Other Funds: | | | |
| F00100 California Used Oil Recycling Fund per Item 3910-003-0100, Budget Act | 333 | – | 333 |
| F00226 California Tire Recycling Management Fund per Item 3910-003-0226, Budget Act | 333 | – | 333 |
| F00387 California Integrated Waste Management Account per Item 3910-005-0387, Budget Act | 334 | – | 334 |
| Totals, Revenues and Transfers | $1,076 | $34 | $1,034 |
| Totals, Resources | $1,455 | $1,230 | $1,243 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3910 California Integrated Waste Management Board (State Operations) | 259 | 1,021 | 1,038 |
| FUND BALANCE | $1,196 | $209 | $205 |
| Reserve for economic uncertainties | 1,196 | 209 | 205 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 394.8 | 497.3 | 471.8 | $22,648 | $27,088 | $26,433 |
| Salary adjustments | – | – | – | – | 96 | 278 |
| Totals, Adjusted Authorized Positions | 394.8 | 497.3 | 471.8 | $22,648 | $27,184 | $26,711 |
| Proposed New Positions: | | | | Salary Range | | |
| Supvng Integrated Waste Mgt Spec [1] | – | – | 2.0 | 4,850–5,854 | – | 116 |
| Info Ofcr [1] | – | – | 1.0 | 4,727–5,703 | – | 47 |
| Waste Mgt Engr [1] | – | – | 1.0 | 3,273–5,632 | – | 56 |

*** Dollars in thousands, except in Salary Range.**

## 3910 CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Research Prog Spec [1] | – | – | 1.0 | $4,301–5,228 | – | $52 |
| Integrated Waste Mgt Spec [2] | – | – | 14.0 | 2,738–5,082 | – | 812 |
| Assoc Programmer Analyst [1] | – | – | 3.0 | 4,110–4,997 | – | 148 |
| Assoc Govtl Prog Analyst [1] | – | – | 1.0 | 3,915–4,759 | – | 47 |
| Staff Svcs Analyst [1] | – | – | 1.0 | 2,507–3,957 | – | 30 |
| Ofc Techn [1] | – | – | 1.5 | 2,348–2,855 | – | 42 |
| Totals, Proposed New Positions | – | – | 25.5 | – | – | $1,350 |
| Total Adjustments | – | – | 25.5 | – | $96 | $1,628 |
| TOTALS, SALARIES AND WAGES | 394.8 | 497.3 | 497.3 | $22,648 | $27,184 | $28,061 |

[1] Positions limited to 6/30/03.
[2] 1.0 position limited to 6/30/02 and 13.0 positions limited to 6/30/03.

# 3930 DEPARTMENT OF PESTICIDE REGULATION

The Department of Pesticide Regulation regulates all aspects of pesticide sales and use, recognizing the need to control pests, while protecting public health and the environment, and fostering reduced-risk pest management strategies.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 12 Registration and Health Evaluation | 130.0 | 160.4 | 160.4 | $12,921 | $15,540 | $16,966 |
| 17 Enforcement and Environmental Monitoring | 153.6 | 215.4 | 189.8 | 38,720 | 46,071 | 46,165 |
| 20 Executive and Administrative Services | 54.4 | 63.1 | 88.7 | 4,637 | 5,237 | 7,716 |
| Distributed Executive and Administrative Services | – | – | – | –4,637 | –5,237 | –7,716 |
| 98 State-Mandated Local Programs | – | – | – | 540 | 580 | 232 |
| TOTALS, PROGRAMS | 338.0 | 438.9 | 438.9 | $52,181 | $62,191 | $63,363 |
| *0001 General Fund* | | | | *13,793* | *17,231* | *17,101* |
| *0106 Department of Pesticide Regulation Fund* | | | | *33,007* | *39,235* | *40,850* |
| *0140 California Environmental License Plate Fund* | | | | *439* | *492* | *496* |
| *0224 Food Safety Account, Pesticide Regulation Fund* | | | | *1,931* | *2,027* | *2,037* |
| *0645 Structural Pest Control Device Fund* | | | | *69* | *283* | *287* |
| *0890 Federal Trust Fund* | | | | *2,093* | *2,269* | *2,160* |
| *0995 Reimbursements* | | | | *849* | *654* | *432* |

## 12 REGISTRATION AND HEALTH EVALUATION

### Program Objectives Statement

This program evaluates and registers all pesticides before sale or use in California, identifies and develops measures to reduce potential risks from pesticide use, provides a safer environment for workers and others who handle or are exposed to pesticides, and assesses the safety and effectiveness of pesticide active ingredients and products.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $888,000 Pesticide Regulation Fund to reflect an interprogram redistribution of Information Technology Branch costs.

### Authority

Food and Agricultural Code, Divisions 2, 6 and 7.

## 17 ENFORCEMENT AND ENVIRONMENTAL MONITORING

### Program Objectives Statement

This program monitors, regulates, and controls the use of pesticides; enforces laws and regulations concerning the sale and use of pesticides; tests produce for pesticide residues; monitors pesticide product compliance; identifies environmental contamination resulting from pesticide use and provides strategies to reduce environmental impacts from pesticide use; and identifies, develops and encourages the use of reduced-risk pest management practices.

### Major Budget Adjustments Proposed for 2001–02

- A reduction of $888,000 Pesticide Regulation Fund to reflect an interprogram redistribution of Information Technology Branch costs.
- An increase of $380,000 General Fund to implement the Healthy Schools Act of 2000 (Chapter 718, Statutes of 2000).

### Authority

Food and Agricultural Code, Divisions 2, 6 and 7.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3930 DEPARTMENT OF PESTICIDE REGULATION—Continued

### 20 EXECUTIVE AND ADMINISTRATIVE SERVICES

This program provides management, program and policy direction, legislative and public affairs activities, legal and administrative services, and centralized information technology strategy and coordination to the divisions of the Department.

### Major Budget Adjustment Proposed for 2001–02

- Transfer the Information Technology Branch, 27.0 positions and $2,610,000, from Enforcement and Environmental Monitoring to Executive and Administrative Services. Costs are distributed to the other programs.

---

## PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **12 REGISTRATION AND HEALTH EVALUATION** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$3,691* | *$4,438* | *$4,785* |
| *0106 Pesticide Regulation Fund* | *8,075* | *9,851* | *10,972* |
| *0140 Environmental License Plate Fund* | *322* | *363* | *366* |
| *0224 Food Safety Account, Pesticide Regulation Fund* | *439* | *482* | *484* |
| *0645 Structural Pest Control Device Fund* | *69* | *283* | *287* |
| *0890 Federal Trust Fund* | *125* | *123* | *72* |
| *0995 Reimbursements* | *200* | – | – |
| Totals, State Operations | $12,921 | $15,540 | $16,966 |
| **PROGRAM REQUIREMENTS** | | | |
| **17 ENFORCEMENT AND ENVIRONMENTAL MONITORING** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$7,113* | *$9,764* | *$9,635* |
| *0106 Pesticide Regulation Fund* | *15,121* | *17,921* | *17,755* |
| *0140 Environmental License Plate Fund* | *117* | *129* | *130* |
| *0224 Food Safety Account, Pesticide Regulation Fund* | *1,492* | *1,545* | *1,553* |
| *0890 Federal Trust Fund* | *1,968* | *2,146* | *2,088* |
| *0995 Reimbursements* | *649* | *654* | *432* |
| Totals, State Operations | $26,460 | $32,159 | $31,593 |
| Local Assistance: | | | |
| *0001 General Fund* | *2,449* | *2,449* | *2,449* |
| *0106 Pesticide Regulation Fund* | *9,811* | *11,463* | *12,123* |
| Totals, Local Assistance | $12,260 | $13,912 | $14,572 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 EXECUTIVE AND ADMINISTRATIVE SERVICES** | | | |
| **PROGRAM ELEMENTS** | | | |
| 20.10.001 Executive | $1,919 | $2,717 | $2,986 |
| 20.10.002 Administrative Services | 2,718 | 2,520 | 4,730 |
| Totals, Executive and Administrative Services | $4,637 | $5,237 | $7,716 |
| Less amounts charged to other programs: | | | |
| 12 Registration and Health Evaluation | –1,581 | –1,780 | –2,701 |
| 17 Enforcement and Environmental Monitoring | –3,056 | –3,457 | –5,015 |
| Net Totals, Executive and Administrative Services | – | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **98 STATE-MANDATED LOCAL PROGRAMS** | | | |
| Claims Bills: | | | |
| Deficiencies in Prior Appropriations: | | | |
| Ch. 1200/89-Pesticide Use Reports | $540 | $580 | $232 |
| Totals, Claims Bills | $540 | $580 | $232 |
| Totals, Local Assistance | $540 | $580 | $232 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $39,381 | $47,699 | $48,559 |
| Local Assistance | 12,800 | 14,492 | 14,804 |
| TOTALS, EXPENDITURES | $52,181 | $62,191 | $63,363 |

*** Dollars in thousands, except in Salary Range.**

## 3930 DEPARTMENT OF PESTICIDE REGULATION—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 338.0 | 462.0 | 462.0 | $17,799 | $23,785 | $24,343 |
| Total Adjustments | – | – | – | – | 21 | 36 |
| Estimated Salary Savings | – | –23.1 | –23.1 | – | –1,189 | –1,217 |
| Net Totals, Salaries and Wages | 338.0 | 438.9 | 438.9 | $17,799 | $22,617 | $23,162 |
| Staff Benefits | – | – | – | 3,203 | 3,940 | 4,048 |
| Totals, Personal Services | 338.0 | 438.9 | 438.9 | $21,002 | $26,557 | $27,210 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $18,266 | $21,142 | $21,349 |
| SPECIAL ITEMS OF EXPENSE | | | | 113 | – | – |
| TOTALS, EXPENDITURES | | | | $39,381 | $47,699 | $48,559 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $11,246 | $14,241 | $14,420 |
| Allocation for employee compensation | 602 | 27 | – |
| Adjustment per Section 3.60 | –318 | –68 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Prior year balances available: | | | |
| Chapter 274, Statutes of 1998 | 2 | 2 | – |
| Totals Available | $11,535 | $14,202 | $14,420 |
| Balance available in subsequent years | –2 | – | – |
| Unexpended balance, estimated savings | –729 | – | – |
| TOTALS, EXPENDITURES | $10,804 | $14,202 | $14,420 |

### 0106 Department of Pesticide Regulation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $23,470 | $27,868 | $28,727 |
| 003 Budget Act appropriation (transfer to Food Safety Account) | (1,643) | (1,838) | (1,757) |
| Food and Agricultural Code Section 11481 | 33 | – | – |
| Allocation for employee compensation | 1,651 | 62 | – |
| Adjustment per Section 3.60 | –877 | –158 | – |
| Adjustment per Section 16.00 | 9 | – | – |
| Totals Available | $24,286 | $27,772 | $28,727 |
| Unexpended balance, estimated savings | –1,090 | – | – |
| TOTALS, EXPENDITURES | $23,196 | $27,772 | $28,727 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $470 | $492 | $496 |
| Allocation for employee compensation | 32 | – | – |
| Adjustment per Section 3.60 | –21 | – | – |
| Totals Available | $481 | $492 | $496 |
| Unexpended balance, estimated savings | –42 | – | – |
| TOTALS, EXPENDITURES | $439 | $492 | $496 |

### 0224 Food Safety Account, Department of Pesticide Regulation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,964 | $2,026 | $2,037 |
| Allocation for employee compensation | 83 | – | – |
| Adjustment per Section 3.60 | –40 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3930 DEPARTMENT OF PESTICIDE REGULATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapter 1059, Statutes of 1994 | $1 | $1 | – |
| Totals Available | $2,008 | $2,027 | $2,037 |
| Balance available in subsequent years | –1 | – | – |
| Unexpended balance, estimated savings | –76 | – | – |
| TOTALS, EXPENDITURES | $1,931 | $2,027 | $2,037 |

### 0645 Structural Pest Control Device Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Business and Professions Code Section 8674.5 (expenditures) | $69 | $283 | $287 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,173 | $2,035 | $2,160 |
| Allocation for employee compensation | 21 | – | – |
| Adjustment per Section 3.60 | –10 | – | – |
| Budget adjustment | –91 | 234 | – |
| TOTALS, EXPENDITURES | $2,093 | $2,269 | $2,160 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $849 | $654 | $432 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $39,381 | $47,699 | $48,559 |

## SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 661701 Grants and subventions | $12,800 | $14,492 | $14,804 |

## RECONCILIATION WITH APPROPRIATIONS

### 2 LOCAL ASSISTANCE

### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation | $2,449 | $2,449 | $2,449 |
| 295 Budget Act appropriation (State Mandates) | 225 | 225 | 232 |
| Chapter 574, Statutes of 1999 (State Mandates) | 131 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 355 | – |
| Adjustment per Government Code Section 17613 | 184 | – | – |
| TOTALS, EXPENDITURES | $2,989 | $3,029 | $2,681 |

### 0106 Department of Pesticide Regulation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (county agricultural programs) | $466 | $466 | $466 |
| Food and Agricultural Code Section 12844 (pesticide mill assessments) | 9,352 | 10,997 | 11,657 |
| Totals Available | $9,818 | $11,463 | $12,123 |
| Unexpended balance, estimated savings | –7 | – | – |
| TOTALS, EXPENDITURES | $9,811 | $11,463 | $12,123 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $12,800 | $14,492 | $14,804 |
| TOTAL EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $52,181 | $62,191 | $63,363 |

## FUND CONDITION STATEMENT

### 0106 Department of Pesticide Regulation Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $10,397 | $8,211 | $4,038 |
| Prior year adjustments | 470 | – | – |
| Balance, Adjusted | $10,867 | $8,211 | $4,038 |

*** Dollars in thousands, except in Salary Range.**

## 3930 DEPARTMENT OF PESTICIDE REGULATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 121200 Other regulatory taxes | $27,039 | $32,076 | $34,000 |
| 125700 Other regulatory licenses and permits | 2,577 | 2,558 | 2,558 |
| 125800 Renewal fees | 826 | 838 | 838 |
| 125900 Delinquent fees | 220 | 188 | 188 |
| 141200 Sales of documents | 20 | 22 | 22 |
| 142500 Miscellaneous services to the public | 1 | 1 | 1 |
| 150300 Income from surplus money investments | 1,065 | 1,118 | 1,061 |
| 161000 Escheat of unclaimed checks, warrants, bonds and coupons | 7 | – | – |
| 161400 Miscellaneous revenues | 13 | 10 | 10 |
| 164400 Civil and criminal violation assessments | 410 | 284 | 284 |
| Totals, Revenues | $32,178 | $37,095 | $38,962 |
| Transfers: | | | |
| Transfers to Other Funds: | | | |
| T00224 Food Safety Account per Budget Act Item 3930-003-0106 | −1,643 | −1,838 | −1,757 |
| Totals, Revenues and Transfers | $30,535 | $35,257 | $37,205 |
| Totals, Resources | $41,402 | $43,468 | $41,243 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 (3895) Secretary for Environmental Protection (State Operations) | 184 | 195 | 206 |
| 3930 Department of Pesticide Regulation: | | | |
| State Operations | 23,196 | 27,772 | 28,727 |
| Local Assistance | 9,811 | 11,463 | 12,123 |
| Totals, Disbursements | $33,191 | $39,430 | $41,056 |
| FUND BALANCE | $8,211 | $4,038 | $187 |
| Reserve for economic uncertainties | 8,211 | 4,038 | 187 |

### 0224 Food Safety Account, Pesticide Regulation Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $545 | $392 | $292 |
| Prior year adjustments | 45 | – | – |
| Balance, Adjusted | $590 | $392 | $292 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 89 | 89 | 82 |
| 161000 Escheat of unclaimed checks, warrants, bonds and coupons | 1 | – | – |
| Totals, Revenues | $90 | $89 | $82 |
| Transfers: | | | |
| Transfers from Other Funds: | | | |
| F00106 Pesticide Regulation Fund per Budget Act Item 3930-003-0106 | 1,643 | 1,838 | 1,757 |
| Totals, Revenues and Transfers | $1,733 | $1,927 | $1,839 |
| Totals, Resources | $2,323 | $2,319 | $2,131 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3930 Department of Pesticide Regulation (State Operations) | 1,931 | 2,027 | 2,037 |
| FUND BALANCE | $392 | $292 | $94 |
| Reserve for economic uncertainties | 392 | 292 | 94 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 338.0 | 462.0 | 462.0 | $17,799 | $23,785 | $24,343 |
| Salary adjustments | – | – | – | – | 21 | 36 |
| Totals, Adjusted Authorized Positions | 338.0 | 462.0 | 462.0 | $17,799 | $23,806 | $24,379 |
| Total Adjustments | – | – | – | – | $21 | $36 |
| TOTALS, SALARIES AND WAGES | 338.0 | 462.0 | 462.0 | $17,799 | $23,806 | $24,379 |

*** Dollars in thousands, except in Salary Range.**

# 3940 STATE WATER RESOURCES CONTROL BOARD

The State Water Resources Control Board (State Board) and the nine Regional Water Quality Control Boards (Regional Boards) preserve and enhance the quality of California's water resources and assure their proper allocation and effective use. These objectives are achieved through two programs: Water Quality and Water Rights.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Water Quality | 1,093.8 | 1,447.9 | 1,456.5 | $564,527 | $818,890 | $858,860 |
| 20 Water Rights | 93.4 | 118.1 | 118.1 | 10,366 | 12,430 | 14,049 |
| 30 Administration | 146.7 | 160.6 | 160.6 | 18,201 | 18,281 | 17,192 |
| Distributed Administration | – | – | – | −18,201 | −18,281 | −17,192 |
| TOTALS, PROGRAMS | 1,333.9 | 1,726.6 | 1,735.2 | $574,893 | $831,320 | $872,909 |
| State Operations | | | | (345,085) | (410,805) | (419,794) |
| *0001 General Fund* | | | | *54,522* | *87,211* | *109,203* |
| *0025 Leaking Underground Storage Tank Cost Recovery Fund* | | | | *2,351* | *–* | *–* |
| *0028 Unified Program Account* | | | | *603* | *619* | *492* |
| *0193 Waste Discharge Permit Fund* | | | | *12,845* | *15,415* | *17,183* |
| *0212 Exotic Species Control Fund* | | | | *–* | *237* | *238* |
| *0225 Environmental Protection Trust Fund* | | | | *1,544* | *1,638* | *1,657* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | | | | *1,626* | *1,983* | *1,997* |
| *0387 Integrated Waste Management Account* | | | | *5,416* | *6,006* | *5,346* |
| *0417 State Revolving Fund Loan Subaccount* | | | | *312* | *478* | *480* |
| *0418 Small Communities Grant Subaccount* | | | | *138* | *748* | *752* |
| *0419 Water Recycling Subaccount* | | | | *132* | *143* | *1,644* |
| *0422 Drainage Management Subaccount* | | | | *32* | *74* | *74* |
| *0423 Delta Tributary Watershed Subaccount* | | | | *107* | *219* | *220* |
| *0424 Seawater Intrusion Control Subaccount* | | | | *22* | *36* | *36* |
| *0436 Underground Storage Tank Tester Account* | | | | *21* | *27* | *27* |
| *0439 Underground Storage Tank Cleanup Fund* | | | | *220,412* | *236,318* | *226,395* |
| *0475 Underground Storage Tank Fund* | | | | *1,196* | *705* | *733* |
| *0516 Harbors and Watercraft Revolving Fund* | | | | *98* | *207* | *–* |
| *0617 State Water Pollution Control Revolving Fund* | | | | *4,263* | *5,236* | *4,505* |
| *Less funding provided from 1984 State Clean Water Bond Fund* | | | | *–* | *−1,207* | *−1,085* |
| *Less funding provided from Federal Trust Fund* | | | | *−4,263* | *−4,029* | *−3,420* |
| *0679 State Water Quality Control Fund* | | | | *7,870* | *4,266* | *3,961* |
| *0737 State Clean Water and Water Conservation Fund* | | | | *923* | *33* | *33* |
| *0740 1984 State Clean Water Bond Fund* [c] | | | | *83* | *1,511* | *1,390* |
| *0744 1986 Water Conservation Water Quality Bond Fund* | | | | *272* | *–* | *–* |
| *0890 Federal Trust Fund* | | | | *28,417* | *35,088* | *34,544* |
| *0942 Special Deposit Fund* | | | | *118* | *607* | *608* |
| *0995 Reimbursements* | | | | *6,025* | *9,873* | *10,404* |
| *3012 Fire Safety Subaccount* | | | | *–* | *5,000* | *–* |
| *6013 Watershed Protection Subaccount* | | | | *–* | *319* | *321* |
| *6016 Santa Ana River Watershed Subaccount* | | | | *–* | *1,204* | *1,211* |
| *6017 Lake Elsinore and San Jacinto Watershed Subaccount* | | | | *–* | *75* | *76* |
| *6019 Nonpoint Source Pollution Control Subaccount* | | | | *–* | *352* | *354* |
| *6020 State Revolving Fund Loan Subaccount* | | | | *–* | *81* | *81* |
| *6021 Wastewater Construction Grant Subaccount* | | | | *–* | *21* | *21* |
| *6022 Coastal Nonpoint Source Control Subaccount* | | | | *–* | *311* | *313* |
| Local Assistance | | | | (229,808) | (420,515) | (453,115) |
| *0001 General Fund* | | | | *2,250* | *13,365* | *99,565* |
| *0417 State Revolving Fund Loan Subaccount* | | | | *14,115* | *15,000* | *15,000* |
| *0418 Small Communities Grant Subaccount* | | | | *12,212* | *21,000* | *6,000* |
| *0419 Water Recycling Subaccount* | | | | *155* | *40,000* | *40,000* |
| *0422 Drainage Management Subaccount* | | | | *1,964* | *6,000* | *6,000* |
| *0423 Delta Tributary Watershed Subaccount* | | | | *1,912* | *6,000* | *6,000* |
| *0424 Seawater Intrusion Control Subaccount* | | | | *5* | *3,000* | *3,000* |
| *0617 State Water Pollution Control Revolving Fund* | | | | *253,268* | *96,000* | *96,000* |
| *Loan repayments from public agencies (Federal Trust Fund)* | | | | *−44,928* | *−1,000* | *−1,000* |
| *Loan repayments from public agencies (1984 State Clean Water Bond Fund)* | | | | *–* | *−7,000* | *−7,000* |
| *Less funding provided from State Water Quality Control Fund* | | | | *−5,003* | *−682* | *−682* |
| *Less funding provided from Federal Trust Fund* | | | | *−83,690* | *−90,000* | *−90,000* |
| *Less funding provided by State Revolving Fund Loan Subaccount* | | | | *−14,115* | *–* | *–* |
| *Less funding provided from 1984 State Clean Water Bond Fund* | | | | *–* | *−6,000* | *−6,000* |
| *0679 State Water Quality Control Fund* | | | | *4,513* | *132* | *132* |
| *0737 State Clean Water and Water Conservation Fund* | | | | *78* | *–* | *–* |
| *0740 1984 State Clean Water Bond Fund* | | | | *770* | *5,000* | *5,000* |
| *0744 1986 Water Conservation and Water Quality Bond Fund* | | | | *2,527* | *11,000* | *10,000* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| *0764* | *1988 Clean Water and Water Reclamation Fund* | *$85* | *$1,200* | *$1,200* |
| *0890* | *Federal Trust Fund* | *83,690* | *90,000* | *90,000* |
| *6013* | *Watershed Protection Subaccount* | *–* | *20,000* | *20,000* |
| *6016* | *Santa Ana River Watershed Subaccount* | *–* | *133,000* | *87,900* |
| *6017* | *Lake Elsinore and San Jacinto Watershed Subaccount* | *–* | *8,000* | *6,500* |
| *6019* | *Nonpoint Source Pollution Control Subaccount* | *–* | *10,000* | *32,000* |
| *6020* | *State Revolving Fund Loan Subaccount* | *–* | *6,500* | *–* |
| *6021* | *Wastewater Construction Grant Subaccount* | *–* | *30,000* | *3,500* |
| *6022* | *Coastal Nonpoint Source Control Subaccount* | *–* | *10,000* | *30,000* |

## 10 WATER QUALITY

### Program Objectives Statement

This program achieves and maintains the highest possible quality of the waters of the State, consistent with their uses. Specific objectives are to:

1. Formulate, adopt and update water quality control plans and policies that set standards for the waters of the state and provide guidance in water management decisions.
2. Monitor the quality of the waters of the state to determine compliance with control plans, permit terms, conditions and water standards; report such quality, its causes and effects; develop and implement Total Maximum Daily Loads and assess the effectiveness of the State's water pollution control program.
3. Assure that waters of the state are not degraded by hazardous waste spills or tank leaks, or by spills or tank leaks from solid and hazardous waste treatment, storage, and disposal facilities.
4. Require waste dischargers, including storm water dischargers, to prevent and abate water pollution; inspect dischargers to determine compliance with requirements; and enforce compliance with waste discharge requirements.
5. Ensure that State and federal funds allocated for construction of wastewater treatment facilities and nonpoint source storm drainage and estuary cleanup are spent in a timely and proper manner, and assist local agencies in financing cost-effective projects.
6. Protect water quality in watersheds and coastal waters from point source and nonpoint sources of pollution.
7. Evaluate new problems and specialized techniques and concepts in water quality control, and define and develop solutions to unique water quality problems in the state.
8. Assist owners and operators of underground tanks in financing the cleanup of unauthorized releases from their tanks.

### Major Budget Adjustment Included in 2000–01

- $99,000 General Fund and 0.6 position to implement Chapter 329, Statutes of 2000 (AB 970) that establishes expedited processes for site certification of thermal powerplants.

### Major Budget Adjustments Proposed for 2001–02

- $206,400,000 to carry out the provisions of the Safe Drinking Water, Clean Water, Watershed Protection and Flood Protection Act of 2000.
- $100,000,000 General Fund for the Clean Beaches Initiative.
- $8,091,000 General Fund and 9.5 positions to reduce the impact of storm water runoff on water quality.
- $9,569,000 General Fund and continuation of 72.0 positions for permit, waiver, and inspection workload in the core regulatory programs.
- $3,159,000 General Fund and 5.3 positions to automate water quality business processes, provide tools for water quality analysis and data sharing, and facilitate public access to this information.
- $4,378,000 ($2,878,000 General Fund and $1,500,000 Waste Discharge Permit Fund) to provide additional or expanded facilities related to staff growth over the last two years.
- $2,994,000 General Fund and 0.5 position for research and monitoring of the specific sources of nutrients and sediment in Lake Tahoe, and methods to remove them from the lake.
- $1,147,000 ($738,000 General Fund and $409,000 Reimbursements) and 8.3 positions to support the CALFED program.
- $132,000 General Fund and 1.4 positions to implement Chapter 329, Statutes of 2000 (AB 970) that establishes expedited processes for site certification of thermal powerplants.
- $86,000 Reimbursements and 1.1 positions to implement Chapter 515, Statutes of 2000 (SB 244) that revised certain procedures for the submission and review of reclamation plans for surface mining operations in the San Gabriel Basin.
- $988,000 General Fund and 2.4 positions to implement the provisions of Chapter 781, Statutes of 2000 (AB 885) related to the permitting and operation of new and failing onsite sewage treatment systems.
- A reduction of $730,000 Integrated Waste Management Account and 9.3 positions to align expenditures with available resources.

### Authority

California Water Code Section 13000 et seq., and powers delegated to the State by federal water pollution control legislation.

The following chart summarizes selected activities within the Water Quality Program that have been of special interest. The chart identifies both the expenditures and staff resources (personnel years) directed to these activities.

| Selected Water Quality Program Activities | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| • NPDES, WDR, Chapter 15 Enforcement | 436.7 | 456.0 | 455.9 | $34,532 | $53,360 | $65,614 |
| Spills, Leaks, Investigations and Cleanups | 101.7 | 100.7 | 98.6 | 14,306 | 10,570 | 10,393 |
| • Nonpoint Source | 84.7 | 81.1 | 81.2 | 10,908 | 15,892 | 16,056 |
| • Underground Storage Tank Cleanup | 106.2 | 115.6 | 114.7 | 205,048 | 219,200 | 208,006 |
| • Leaking Underground Storage Tank Cleanup | 115.5 | 116.3 | 115.6 | 24,556 | 25,800 | 26,708 |
| • Aboveground Storage Tank Cleanup | 16.8 | 16.7 | 16.8 | 1,544 | 1,638 | 1,657 |
| • Well Investigation Program | 20.5 | 20.5 | 20.5 | 1,377 | 2,152 | 1,909 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

### 20 WATER RIGHTS

#### Program Objectives Statement

This program assures that California's water resources are put to beneficial use, while protecting prior rights, water quality and the environment. Specific objectives are to:

1. Allocate the unappropriated waters of the state to ensure the use of water in accordance with State laws.
2. Maintain a record of title of appropriated water rights initiated and maintained since 1914.
3. Maintain records of water diversion and use under riparian and pre-1914 rights, stockpond water rights, groundwater extractions in four southern counties, and cessation of, or reduction in, extractions of groundwater by use of water from a contributory source.
4. Enforce permit and license terms and conditions, abate illegal diversions, protect public trust resources, and prevent waste or unreasonable use under all rights.
5. Determine existing rights throughout the state through court reference and statutory adjudication proceedings.

#### Major Budget Adjustment Proposed for 2001–02

- $1,000,000 General Fund to reduce the backlog of applications for water rights permits and petitions requesting changes to existing water rights permits and licenses.

#### Authority

Division 2 of the California Water Code and Title 23 of the California Administrative Code.

### 30 ADMINISTRATION

#### Program Objectives Statement

This program provides management, program and policy direction, legal and administrative services, legislative and public affairs activities, and coordination to the divisions of the State Board and the Regional Boards.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 10 WATER QUALITY

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 0001 General Fund | $45,159 | $76,308 | $96,693 |
| 0025 Leaking Underground Storage Tank Cost Recovery Fund | 2,351 | – | – |
| 0028 Unified Program Account | 603 | 619 | 492 |
| 0193 Waste Discharge Permit Fund | 12,845 | 15,415 | 17,183 |
| 0212 Exotic Species Control Fund | – | 237 | 238 |
| 0225 Environmental Protection Trust Fund | 1,544 | 1,638 | 1,657 |
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund | 1,296 | 1,610 | 1,608 |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund | 5,416 | 6,006 | 5,346 |
| 0417 State Revolving Fund Loan Subaccount, Safe, Clean, Reliable Water Supply Fund (1996) | 312 | 478 | 480 |
| 0418 Small Communities Grant Subaccount, Safe, Clean, Reliable Water Supply Fund (1996) | 138 | 748 | 752 |
| 0419 Water Recycling Subaccount, Safe, Clean, Reliable Water Supply Fund (1996) | 132 | 143 | 1,644 |
| 0422 Drainage Management Subaccount, Safe, Clean, Reliable Water Supply Fund (1996) | 32 | 74 | 74 |
| 0423 Delta Tributary Watershed Subaccount, Safe, Clean, Reliable Water Supply Fund (1996) | 107 | 219 | 220 |
| 0424 Seawater Intrusion Control Subaccount, Safe, Clean, Reliable Water Supply Fund (1996) | 22 | 36 | 36 |
| 0436 Underground Storage Tank Tester Account | 21 | 27 | 27 |
| 0439 Underground Storage Tank Cleanup Fund | 220,412 | 236,318 | 226,395 |
| 0475 Underground Storage Tank Fund | 1,196 | 705 | 733 |
| 0516 Harbors and Watercraft Revolving Fund | 98 | 207 | – |
| 0617 Water Pollution Control Revolving Fund | 4,263 | 5,236 | 4,505 |
| Less funding provided from 1984 State Clean Water Bond Fund | – | −1,207 | −1,085 |
| Less funding provided from Federal Trust Fund | −4,263 | −4,029 | −3,420 |
| 0679 State Water Quality Control Fund | 7,870 | 4,266 | 3,961 |
| 0737 State Clean Water and Water Conservation Fund | 923 | – | – |
| 0740 1984 State Clean Water Bond Fund | 83 | 1,511 | 1,390 |

* Dollars in thousands, except in Salary Range.

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0744 1986 Water Conservation and Water Quality Bond Fund* | *$272* | *$33* | *$33* |
| *0890 Federal Trust Fund* | *28,208* | *34,698* | *34,150* |
| *0942 Special Deposit Fund* | *118* | *607* | *608* |
| *0995 Reimbursements* | *5,561* | *9,109* | *9,648* |
| *3012 Fire Safety Agency Subaccount* | *–* | *5,000* | *–* |
| *6013 Watershed Protection Subaccount* | *–* | *319* | *321* |
| *6016 Santa Ana River Watershed Subaccount* | *–* | *1,204* | *1,211* |
| *6017 Lake Elsinore and San Jacinto Watershed Subaccount* | *–* | *75* | *76* |
| *6019 Nonpoint Source Pollution Control Subaccount* | *–* | *352* | *354* |
| *6020 State Revolving Fund Loan Subaccount* | *–* | *81* | *81* |
| *6021 Wastewater Construction Grant Subaccount* | *–* | *21* | *21* |
| *6022 Coastal Nonpoint Source Control Subaccount* | *–* | *311* | *313* |
| Totals, State Operations | $334,719 | $398,375 | $405,745 |
| Local Assistance: | | | |
| *0001 General Fund* | *2,250* | *13,365* | *99,565* |
| *0417 State Revolving Fund Loan Subaccount, Safe, Clean, Reliable Water Supply Fund (1996)* | *14,115* | *15,000* | *15,000* |
| *0418 Small Communities Grant Subaccount, Safe, Clean, Reliable Water Supply Fund (1996)* | *12,212* | *21,000* | *6,000* |
| *0419 Water Recycling Subaccount, Safe, Clean, Reliable Water Supply Fund (1996)* | *155* | *40,000* | *40,000* |
| *0422 Drainage Management Subaccount, Safe, Clean, Reliable Water Supply Fund (1996)* | *1,964* | *6,000* | *6,000* |
| *0423 Delta Tributary Watershed Subaccount, Safe, Clean, Reliable Water Supply Fund (1996)* | *1,912* | *6,000* | *6,000* |
| *0424 Seawater Intrusion Control Subaccount, Safe, Clean, Reliable Water Supply Fund (1996)* | *5* | *3,000* | *3,000* |
| *0617 Water Pollution Control Revolving Fund* | *253,268* | *96,000* | *96,000* |
| *Less funding provided from State Water Quality Control Fund* | *−5,003* | *−682* | *−682* |
| *Less funding provided from Federal Trust Fund* | *−83,690* | *−90,000* | *−90,000* |
| *Less funding provided from 1984 State Clean Water Bond Fund* | *–* | *−6,000* | *−6,000* |
| *Less funding provided by State Revolving Fund Loan Subaccount* | *−14,115* | *–* | *–* |
| *Loan repayments from public agencies (Federal Trust Fund)* | *−44,928* | *−1,000* | *−1,000* |
| *Loan repayments from public agencies (1984 State Clean Water Bond)* | *–* | *−7,000* | *−7,000* |
| *0679 State Water Quality Control Fund* | *4,512* | *132* | *132* |
| *0737 State Clean Water and Water Conservation Fund* | *78* | *–* | *–* |
| *0740 1984 State Clean Water Bond Fund* | *770* | *5,000* | *5,000* |
| *0744 1986 Water Conservation and Water Quality Bond Fund* | *2,527* | *11,000* | *10,000* |
| *0764 1988 Clean Water and Water Reclamation Fund* | *86* | *1,200* | *1,200* |
| *0890 Federal Trust Fund* | *83,690* | *90,000* | *90,000* |
| *6013 Watershed Protection Subaccount* | *–* | *20,000* | *20,000* |
| *6016 Santa Ana River Watershed Subaccount* | *–* | *133,000* | *87,900* |
| *6017 Lake Elsinore and San Jacinto Watershed Subaccount* | *–* | *8,000* | *6,500* |
| *6019 Nonpoint Source Pollution Control Subaccount* | *–* | *10,000* | *32,000* |
| *6020 State Revolving Fund Loan Subaccount* | *–* | *6,500* | *–* |
| *6021 Wastewater Construction Grant Subaccount* | *–* | *30,000* | *3,500* |
| *6022 Coastal Nonpoint Source Control Subaccount* | *–* | *10,000* | *30,000* |
| Totals, Local Assistance | $229,808 | $420,515 | $453,115 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 WATER RIGHTS** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$9,363* | *$10,903* | *$12,510* |
| *0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund* | *330* | *373* | *389* |
| *0890 Federal Trust Fund* | *209* | *390* | *394* |
| *0995 Reimbursements* | *464* | *764* | *756* |
| Totals, State Operations | $10,366 | $12,430 | $14,049 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $345,085 | $410,805 | $419,794 |
| Local Assistance | 229,808 | 420,515 | 453,115 |
| TOTALS, EXPENDITURES | $574,893 | $831,320 | $872,909 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1,333.9 | 1,810.1 | 1,734.1 | $75,640 | $98,815 | $97,738 |
| Total Adjustments | – | 0.6 | 85.9 | – | 81 | 4,818 |
| Estimated Salary Savings | – | −84.1 | −84.8 | – | −4,664 | −4,867 |
| Net Totals, Salaries and Wages | 1,333.9 | 1,726.6 | 1,735.2 | $75,640 | $94,232 | $97,689 |
| Staff Benefits | – | – | – | 41,189 | 26,410 | 19,067 |
| Totals, Personal Services | 1,333.9 | 1,726.6 | 1,735.2 | $116,829 | $120,642 | $116,756 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $228,256 | $290,163 | $303,038 |
| TOTALS, EXPENDITURES | | | | $345,085 | $410,805 | $419,794 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $54,489 | $87,197 | $109,103 |
| Allocation for employee compensation | 3,186 | 113 | – |
| Adjustment per Section 3.60 | −1,882 | −395 | – |
| Adjustment per Section 4.60 | – | 97 | – |
| Adjustment per Section 16.00 | 18 | – | – |
| Chapter 897, Statutes of 1997 | 100 | 100 | 100 |
| Chapter 329, Statutes of 2000 | – | 99 | – |
| Prior year balances available: | | | |
| Chapter 779, Statutes of 1997 | 11 | 25 | – |
| Totals Available | $55,922 | $87,236 | $109,203 |
| Balance available in subsequent years | −25 | – | – |
| Unexpended balance, estimated savings | −1,375 | −25 | – |
| TOTALS, EXPENDITURES | $54,522 | $87,211 | $109,203 |

### 0025 Leaking Underground Storage Tank Cost Recovery Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,279 | – | – |
| Allocation for employee compensation | 308 | – | – |
| Adjustment per Section 3.60 | −83 | – | – |
| Totals Available | $2,504 | – | – |
| Unexpended balance, estimated savings | −153 | – | – |
| TOTALS, EXPENDITURES | $2,351 | – | – |

### 0028 Unified Program Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $588 | $621 | $492 |
| Allocation for employee compensation | 35 | 1 | – |
| Adjustment per Section 3.60 | −20 | −3 | – |
| TOTALS, EXPENDITURES | $603 | $619 | $492 |

### 0193 Waste Discharge Permit Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $14,430 | $15,457 | $17,183 |
| Allocation for employee compensation | 876 | 26 | – |
| Adjustment per Section 3.60 | −378 | −91 | – |
| Adjustment per Section 4.60 | – | 23 | – |
| Adjustment per Section 16.00 | 7 | – | – |
| Totals Available | $14,935 | $15,415 | $17,183 |
| Unexpended balance, estimated savings | −2,090 | – | – |
| TOTALS, EXPENDITURES | $12,845 | $15,415 | $17,183 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

### 0212 Exotic Species Control Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | $238 | $238 |
| Adjustment per Section 3.60 | – | –1 | – |
| TOTALS, EXPENDITURES | – | $237 | $238 |

### 0225 Environmental Protection Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,554 | $1,647 | $1,657 |
| Allocation for employee compensation | 115 | 2 | – |
| Adjustment per Section 3.60 | –64 | –11 | – |
| Totals Available | $1,605 | $1,638 | $1,657 |
| Unexpended balance, estimated savings | –61 | – | – |
| TOTALS, EXPENDITURES | $1,544 | $1,638 | $1,657 |

### 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,628 | $1,993 | $1,997 |
| Allocation for employee compensation | 110 | 2 | – |
| Adjustment per Section 3.60 | –62 | –12 | – |
| Totals Available | $1,676 | $1,983 | $1,997 |
| Unexpended balance, estimated savings | –50 | – | – |
| TOTALS, EXPENDITURES | $1,626 | $1,983 | $1,997 |

### 0387 Integrated Waste Management Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $5,594 | $6,037 | $5,346 |
| Allocation for employee compensation | 511 | 8 | – |
| Adjustment per Section 3.60 | –243 | –39 | – |
| Totals Available | $5,862 | $6,006 | $5,346 |
| Unexpended balance, estimated savings | –446 | – | – |
| TOTALS, EXPENDITURES | $5,416 | $6,006 | $5,346 |

### 0417 State Revolving Fund Loan Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $464 | $481 | $480 |
| Allocation for employee compensation | 26 | 1 | – |
| Adjustment per Section 3.60 | –22 | –4 | – |
| Totals Available | $468 | $478 | $480 |
| Unexpended balance, estimated savings | –156 | – | – |
| TOTALS, EXPENDITURES | $312 | $478 | $480 |

### 0418 Small Communities Grant Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $425 | $753 | $752 |
| Allocation for employee compensation | 16 | 1 | – |
| Adjustment per Section 3.60 | –16 | –6 | – |
| Totals Available | $425 | $748 | $752 |
| Unexpended balance, estimated savings | –287 | – | – |
| TOTALS, EXPENDITURES | $138 | $748 | $752 |

### 0419 Water Recycling Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $137 | $144 | $1,644 |
| Allocation for employee compensation | 9 | – | – |
| Adjustment per Section 3.60 | –5 | –1 | – |
| Totals Available | $141 | $143 | $1,644 |
| Unexpended balance, estimated savings | –9 | – | – |
| TOTALS, EXPENDITURES | $132 | $143 | $1,644 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

### 0422 Drainage Management Subaccount [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $64 | $74 | $74 |
| Allocation for employee compensation | 15 | – | – |
| Adjustment per Section 3.60 | –8 | – | – |
| Totals Available | $71 | $74 | $74 |
| Unexpended balance, estimated savings | –39 | – | – |
| TOTALS, EXPENDITURES | $32 | $74 | $74 |

### 0423 Delta Tributary Watershed Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $220 | $220 | $220 |
| Unexpended balance, estimated savings | –113 | –1 | – |
| TOTALS, EXPENDITURES | $107 | $219 | $220 |

### 0424 Seawater Intrusion Control Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $34 | $36 | $36 |
| Allocation for employee compensation | 2 | – | – |
| Adjustment per Section 3.60 | –1 | – | – |
| Totals, Available | $35 | $36 | $36 |
| Unexpended balance, estimated savings | –13 | – | – |
| TOTALS, EXPENDITURES | $22 | $36 | $36 |

### 0436 Underground Storage Tank Tester Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $51 | $54 | $27 |
| Allocation for employee compensation | 4 | – | – |
| Adjustment per Section 3.60 | –2 | – | – |
| Totals Available | $53 | $54 | $27 |
| Unexpended balance, estimated savings | –32 | –27 | – |
| TOTALS, EXPENDITURES | $21 | $27 | $27 |

### 0439 Underground Storage Tank Cleanup Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $220,355 | $241,381 | $226,395 |
| Allocation for employee compensation | 1,267 | 36 | – |
| Adjustment per Section 3.60 | –678 | –125 | – |
| Adjustment per Section 4.60 | – | 26 | – |
| Adjustment per Section 16.00 | 6 | – | – |
| Totals Available | $220,950 | $241,318 | $226,395 |
| Unexpended balance, estimated savings | –538 | –5,000 | – |
| TOTALS, EXPENDITURES | $220,412 | $236,318 | $226,395 |

### 0475 Underground Storage Tank Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,431 | $707 | $733 |
| Adjustment per Section 3.60 | – | –2 | – |
| Totals Available | $1,431 | $705 | $733 |
| Unexpended balance, estimated savings | –235 | – | – |
| TOTALS, EXPENDITURES | $1,196 | $705 | $733 |

### 0516 Harbors and Watercraft Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $252 | $208 | – |
| Allocation for employee compensation | 9 | – | – |
| Adjustment per Section 3.60 | –9 | –1 | – |
| Totals Available | $252 | $207 | – |
| Unexpended balance, estimated savings | –154 | – | – |
| TOTALS, EXPENDITURES | $98 | $207 | – |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

### 0617 State Water Pollution Control Revolving Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Water Code Sections 13477 and 13478 | $4,263 | $5,236 | $4,505 |
| Less funding provided by 1984 State Clean Water Bond Fund | – | –1,207 | –1,085 |
| Less funding provided by Federal Trust Fund | –4,263 | –4,029 | –3,420 |
| TOTALS, EXPENDITURES | – | – | – |

### 0679 State Water Quality Control Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Sections 13441, 13442 and 13443 (Pollution Cleanup and Abatement Account) (expenditures) | $7,870 | $4,266 | $3,961 |

### 0737 State Clean Water and Water Conservation Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Sections 13955–13969 (expenditures) | $923 | $33 | $33 |

### 0740 1984 State Clean Water Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (support) | $286 | $305 | $305 |
| 011 Budget Act appropriation (transfer to Water Pollution Control Revolving Fund) | 1,193 | 1,212 | 1,085 |
| Allocation for employee compensation | 56 | 1 | – |
| Adjustment per Section 3.60 | –44 | –7 | – |
| Totals Available | $1,491 | $1,511 | $1,390 |
| Unexpended balance, estimated savings | –1,408 | – | – |
| TOTALS, EXPENDITURES | $83 | $1,511 | $1,390 |

### 0744 1986 Water Conservation Water Quality Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 13455 (expenditures) | $272 | – | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $29,997 | $31,151 | $31,124 |
| Water Code Section 13478(d) (transfer to Water Pollution Control Revolving Fund) | 3,555 | 4,051 | 3,420 |
| Allocation for employee compensation | 1,590 | 39 | – |
| Adjustment per Section 3.60 | –1,050 | –153 | – |
| Adjustment per Section 16.00 | 6 | – | – |
| Budget adjustment | –5,681 | – | – |
| TOTALS, EXPENDITURES | $28,417 | $35,088 | $34,544 |

### 0942 Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $602 | $608 | $608 |
| Allocation for employee compensation | 8 | – | – |
| Adjustment per Section 3.60 | –4 | –1 | – |
| Totals Available | $606 | $607 | $608 |
| Unexpended balance, estimated savings | –488 | – | – |
| TOTALS, EXPENDITURES | $118 | $607 | $608 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $6,025 | $9,873 | $10,404 |

### 3012 Fire Safety Subaccount [b]

| | | | |
|---|---|---|---|
| Chapter 144, Statutes of 2000 (expenditures) | – | $5,000 | – |

### 6013 Watershed Protection Subaccount [b]

| | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $321 | $321 |
| Allocation for employee compensation | – | 1 | – |
| Adjustment per Section 3.60 | – | –3 | – |
| TOTALS, EXPENDITURES | – | $319 | $321 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

| 6016 Santa Ana River Watershed Subaccount [b] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | – | $1,212 | $1,211 |
| Allocation for employee compensation | – | 2 | – |
| Adjustment per Section 3.60 | – | –10 | – |
| TOTALS, EXPENDITURES | – | $1,204 | $1,211 |
| **6017 Lake Elsinore and San Jacinto Watershed Subaccount [b]** | | | |
| 001 Budget Act appropriation | – | $76 | $76 |
| Adjustment per Section 3.60 | – | –1 | – |
| TOTALS, EXPENDITURES | – | $75 | $76 |
| **6019 Nonpoint Source Pollution Control Subaccount [b]** | | | |
| 001 Budget Act appropriation | – | $354 | $354 |
| Allocation for employee compensation | – | 1 | – |
| Adjustment per Section 3.60 | – | –3 | – |
| TOTALS, EXPENDITURES | – | $352 | $354 |
| **6020 State Revolving Fund Loan Subaccount [b]** | | | |
| 001 Budget Act appropriation (expenditures) | – | $81 | $81 |
| **6021 Wastewater Construction Grant Subaccount [b]** | | | |
| 001 Budget Act appropriation (expenditures) | – | $21 | $21 |
| **6022 Coastal Nonpoint Source Control Subaccount [b]** | | | |
| 001 Budget Act appropriation | – | $313 | $313 |
| Allocation for employee compensation | – | 1 | – |
| Adjustment per Section 3.60 | – | –3 | – |
| TOTALS, EXPENDITURES | – | $311 | $313 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $345,085 | $410,805 | $419,794 |

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Grants and subventions | $17,158 | $30,729 | $33,184 |
| Construction and Water Code loans | 212,650 | 389,786 | 419,931 |
| Loans | (266,075) | (381,786) | (411,931) |
| Loan repayments | (53,425) | (8,000) | (8,000) |
| TOTALS, EXPENDITURES | $229,808 | $420,515 | $453,115 |

### RECONCILIATION WITH APPROPRIATIONS

#### 2 LOCAL ASSISTANCE

#### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $2,250 | $13,365 | $99,565 |
| **0417 State Revolving Fund Loan Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| Water Code Section 78613 (expenditures) | $14,115 | $15,000 | $15,000 |
| **0418 Small Communities Grant Subaccount [b]** | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | – | $15,000 | – |
| Water Code Section 78613 | $12,212 | 6,000 | $6,000 |
| TOTALS, EXPENDITURES | $12,212 | $21,000 | $6,000 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

### 0419 Water Recycling Subaccount [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | – | $25,000 | $25,000 |
| Water Code Section 78621 | $155 | 15,000 | 15,000 |
| TOTALS, EXPENDITURES | $155 | $40,000 | $40,000 |

### 0422 Drainage Management Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 78642 (expenditures) | $1,964 | $6,000 | $6,000 |

### 0423 Delta Tributary Watershed Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 78647 (expenditures) | $1,912 | $6,000 | $6,000 |

### 0424 Seawater Intrusion Control Subaccount [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 78648.2 (expenditures) | $5 | $3,000 | $3,000 |

### 0617 State Water Pollution Control Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Sections 13477 and 13478 | $253,268 | $96,000 | $96,000 |
| Loan repayments from public agencies (Federal Trust Fund) | –44,928 | –1,000 | –1,000 |
| Loan repayments from public agencies (1984 State Clean Water Bond Funds) | – | –7,000 | –7,000 |
| Less funding provided from Water Quality Control Fund—0679 | –5,003 | –682 | –682 |
| Less funding provided by Federal Trust Fund | –83,690 | –90,000 | –90,000 |
| Less funding provided by 1984 State Clean Water Bond Fund—0740 | – | –6,000 | –6,000 |
| Less funding provided by State Revolving Fund Loan Subaccount—0417 | –14,115 | – | – |
| TOTALS, EXPENDITURES | $105,532 | –$8,682 | –$8,682 |

### 0679 State Water Quality Control Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Sections 13478 and 13999.8 (transfer to Water Pollution Control Revolving Fund—0617) | $5,003 | $682 | $682 |
| Loan repayments from public agencies | –490 | –550 | –550 |
| TOTALS, EXPENDITURES | $4,513 | $132 | $132 |

### 0737 State Clean Water and Water Conservation Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Sections 13955, 13970 and 13985 (expenditures) | $78 | – | – |

### 0740 1984 State Clean Water Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Water Code Section 13999 (transfer to Water Pollution Control Revolving Fund—0617) | $3,174 | $6,000 | $6,000 |
| Loan repayments from local agencies per Water Code Section 13999 | –2,404 | –1,000 | –1,000 |
| TOTALS, EXPENDITURES | $770 | $5,000 | $5,000 |

### 0744 1986 Water Conservation and Water Quality Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $11,063 | $10,000 | $9,000 |
| Water Code Section 13460 (For transfer to the General Fund) | 3,728 | 2,000 | 2,000 |
| Totals Available | $14,791 | $12,000 | $11,000 |
| Unexpended balance, estimated savings | –8,536 | – | – |
| Totals, Expenditures | $6,255 | $12,000 | $11,000 |
| Loan repayments from public agencies per Water Code Section 13450 | –3,728 | –1,000 | –1,000 |
| NET TOTALS, EXPENDITURES | $2,527 | $11,000 | $10,000 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

### 0764 1988 Clean Water and Water Reclamation Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Water Code Section 13999.5 | $1,959 | $2,000 | $2,000 |
| Loan repayments from local agencies per Water Code Section 14050 | −1,874 | −800 | −800 |
| TOTALS, EXPENDITURES | $85 | $1,200 | $1,200 |

### 0890 Federal Trust Fund [f]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Water Code Section 13478(d) (transfer to Water Pollution Control Revolving Fund—0617) (expenditures) | $83,690 | $90,000 | $90,000 |
| **6013 Watershed Protection Subaccount [b]** | | | |
| 101 Budget Act appropriation (expenditures) | – | $20,000 | $20,000 |
| **6016 Santa Ana River Watershed Subaccount [b]** | | | |
| 101 Budget Act appropriation (expenditures) | – | $133,000 | $87,900 |
| **6017 Lake Elsinore and San Jacinto Watershed Subaccount [b]** | | | |
| 101 Budget Act appropriation (expenditures) | – | $8,000 | $6,500 |
| **6019 Nonpoint Source Pollution Control Subaccount [b]** | | | |
| 101 Budget Act appropriation (expenditures) | – | $10,000 | $32,000 |
| **6020 State Revolving Fund Loan Subaccount [b]** | | | |
| 101 Budget Act appropriation (expenditures) | – | $6,500 | – |
| **6021 Wastewater Construction Grant Subaccount [b]** | | | |
| 101 Budget Act appropriation (expenditures) | – | $30,000 | $3,500 |
| **6022 Coastal Nonpoint Source Control Subaccount [b]** | | | |
| 101 Budget Act appropriation (expenditures) | – | $10,000 | $30,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $229,808 | $420,515 | $453,115 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $574,893 | $831,320 | $872,909 |

---

### FUND CONDITION STATEMENT
### 0025 Leaking Underground Storage Tank Cost Recovery Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $3,090 | $836 | $896 |
| Prior year adjustments | 14 | – | – |
| Balance, Adjusted | $3,104 | $836 | $896 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 64 | 45 | 45 |
| 161900 Other revenue—cost recoveries | 19 | 15 | 15 |
| Totals, Revenues and Transfers | $83 | $60 | $60 |
| Totals, Resources | $3,187 | $896 | $956 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3940 State Water Resources Control Board (State Operations) | 2,351 | – | – |
| FUND BALANCE | $836 | $896 | $956 |
| Reserve for economic uncertainties | 836 | 896 | 956 |

### 0193 Waste Discharge Permit Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,446 | $5,070 | $4,616 |
| Prior year adjustments | −74 | – | – |
| Balance, Adjusted | $3,372 | $5,070 | $4,616 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $14,502 | $14,500 | $14,500 |
| 150300 Income from surplus money investments | 400 | 400 | 400 |
| 161000 Escheat of unclaimed checks and warrants | 3 | 3 | 3 |
| 164300 Penalty assessments | 70 | 70 | 70 |
| Totals, Revenues and Transfers | $14,975 | $14,973 | $14,973 |
| Totals, Resources | $18,347 | $20,043 | $19,589 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 (3895) Secretary for Environmental Protection (State Operations) | 432 | 12 | – |
| 3940 State Water Resources Control Board (State Operations) | 12,845 | 15,415 | 17,183 |
| 9900 Statewide General Administrative Expense (Pro Rata) (State Operations) | – | – | 25 |
| Totals, Disbursements | $13,277 | $15,427 | $17,208 |
| FUND BALANCE | $5,070 | $4,616 | $2,381 |
| Reserve for economic uncertainties | 5,070 | 4,616 | 2,381 |

### 0225 Environmental Protection Trust Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $881 | $870 | $752 |
| Prior year adjustments | −10 | – | – |
| Balance, Adjusted | $871 | $870 | $752 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,014 | 1,000 | 1,000 |
| 150300 Income from surplus money investments | 79 | 79 | 79 |
| 161000 Escheat of unclaimed checks and warrants | – | 1 | 1 |
| 161900 Other revenue—cost recoveries | 450 | 440 | 440 |
| Totals, Revenues and Transfers | $1,543 | $1,520 | $1,520 |
| Totals, Resources | $2,414 | $2,390 | $2,272 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3940 State Water Resources Control Board (State Operations) | 1,544 | 1,638 | 1,657 |
| FUND BALANCE | $870 | $752 | $615 |
| Reserve for economic uncertainties | 870 | 752 | 615 |

### 0282 Bay Protection and Toxic Cleanup Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $194 | $215 | $236 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 21 | 21 | 21 |
| Totals, Revenues and Transfers | $21 | $21 | $21 |
| Totals, Resources | $215 | $236 | $257 |
| FUND BALANCE | $215 | $236 | $257 |
| Reserve for economic uncertainties | 215 | 236 | 257 |

### 0436 Underground Storage Tank Tester Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $34 | $34 | $17 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 19 | 9 | 9 |
| 150300 Income from surplus money investments | 2 | 1 | 1 |
| Totals, Revenues and Transfers | $21 | $10 | $10 |
| Totals, Resources | $55 | $44 | $27 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3940 State Water Resources Control Board (State Operations) | $21 | $27 | $27 |
| FUND BALANCE | $34 | $17 | – |
| Reserve for economic uncertainties | 34 | 17 | – |

### 0439 Underground Storage Tank Cleanup Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $68,239 | $96,506 | $47,507 |
| Prior year adjustments | 56,098 | – | – |
| Balance, Adjusted | $124,337 | $96,506 | $47,507 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 189,655 | 189,500 | 189,500 |
| 150300 Income from surplus money investments | 9,520 | 9,520 | 9,520 |
| 161000 Escheat of unclaimed checks and warrants | 63 | – | – |
| Totals, Revenues | $199,238 | $199,020 | $199,020 |
| Transfers to Other Funds: | | | |
| T00622 Drinking Water Treatment and Research Fund per Section 25299.99.1 of the Health and Safety Code | –5,000 | –5,000 | –5,000 |
| T03012 Fire Safety Subaccount per Chapter 144, Statutes of 2000 | – | –5,000 | – |
| Totals, Transfers to Other Funds | –$5,000 | –$10,000 | –$5,000 |
| Totals, Revenues and Transfers | $194,238 | $189,020 | $194,020 |
| Totals, Resources | $318,575 | $285,526 | $241,527 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 (3895) Secretary for Environmental Protection (State Operations) | 48 | 51 | 54 |
| 0860 Board of Equalization (State Operations) | 1,609 | 1,650 | 1,891 |
| 3940 State Water Resources Control Board (State Operations) | 220,412 | 236,318 | 226,395 |
| Totals, Disbursements | $222,069 | $238,019 | $228,340 |
| FUND BALANCE | $96,506 | $47,507 | $13,187 |
| Pending Reversions | 26,000 | 15,000 | 11,000 |
| Reserve for economic uncertainties | 70,506 | 32,507 | 2,187 |

### 0475 Underground Storage Tank Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,192 | $2,146 | $1,511 |
| Prior year adjustments | –1 | – | – |
| Balance, Adjusted | $3,191 | $2,146 | $1,511 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees (permit surcharge) | 17 | 18 | 18 |
| 150300 Income from surplus money investments | 134 | 52 | 52 |
| Totals, Revenues and Transfers | $151 | $70 | $70 |
| Totals, Resources | $3,342 | $2,216 | $1,581 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3940 State Water Resources Control Board (State Operations) | 1,196 | 705 | 733 |
| FUND BALANCE | $2,146 | $1,511 | $848 |
| Reserve for economic uncertainties | 2,146 | 1,511 | 848 |

### 0482 Surface Impoundment Assessment Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $974 | $1,016 | $1,058 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 42 | 42 | 42 |
| Totals, Revenues and Transfers | $42 | $42 | $42 |
| Totals, Resources | $1,016 | $1,058 | $1,100 |
| FUND BALANCE | $1,016 | $1,058 | $1,100 |
| Reserve for economic uncertainties | 1,016 | 1,058 | 1,100 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

| 0679 State Water Quality Control Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $7,258 | $2,389 | $8,736 |
| Prior year adjustments | 28 | – | – |
| Balance, Adjusted | $7,286 | $2,389 | $8,736 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 211600 Services income (pollution cleanup and abatement) | 3,773 | 4,107 | 4,107 |
| 214500 Investment income from loans | 230 | 464 | 400 |
| 217500 Fines and penalties (pollution cleanup and abatement) | 2,863 | 5,000 | 5,000 |
| 250300 Income from surplus money investments | 752 | 1,308 | 1,308 |
| 161000 Escheat of unclaimed checks and warrants | 2 | – | – |
| Totals, Operating Revenues | $7,620 | $10,879 | $10,815 |
| Totals, Resources | $14,906 | $13,268 | $19,551 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 (3895) Secretary for Environmental Protection (State Operations) | 134 | 134 | 134 |
| 3940 State Water Resources Control Board: | | | |
| State Operations | 7,870 | 4,266 | 3,961 |
| Local Assistance | 5,003 | 682 | 682 |
| Totals, Disbursements | $13,007 | $5,082 | $4,777 |
| Expenditure Reductions: | | | |
| 3940 State Water Resources Control Board (Local Assistance): | | | |
| Loan repayments from public agencies (principal) | –490 | –550 | –550 |
| Totals, Expenditures | $12,517 | $4,532 | $4,227 |
| FUND BALANCE | $2,389 | $8,736 | $15,324 |
| Reserve for Pollution Cleanup and Abatement Account | 2,389 | 8,736 | 15,324 |

| 0737 State Clean Water and Water Conservation Fund [b] [2] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,787 | $1,786 | $1,753 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3940 State Water Resources Control Board: | | | |
| State Operations | 923 | 33 | 33 |
| Local Assistance | 78 | – | – |
| Totals, Disbursements | $1,001 | $33 | $33 |
| FUND BALANCE | $1,786 | $1,753 | $1,720 |
| Reserve for unexpended prior allocations | 1,228 | 1,753 | 1,720 |
| Funds available for allocation | 558 | – | – |

[2] The State Clean Water Bond Fund (Fund 0734) accounted for the Bond Laws of 1970, 1974 and 1978. Since only the Bond Law of 1978 (State Clean Water and Water Conservation Fund—Fund 0737) has any remaining funds, the fund name and title have been changed.

| 0740 1984 State Clean Water Bond Fund [b] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $32,053 | $34,017 | $27,139 |
| Prior year adjustments | 7 | – | – |
| Balance, Adjusted | $32,060 | $34,017 | $27,139 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214500 Interest income from loans | 1,025 | – | – |
| 250300 Income from surplus money investments | 2,035 | – | – |
| Totals, Operating Revenues and Transfers | $3,060 | – | – |
| Totals, Resources | $35,120 | $34,017 | $27,139 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources: | | | |
| State Operations | 2 | 2 | 2 |
| Local Assistance | 576 | 690 | 1,070 |
| 3940 State Water Resources Control Board: | | | |
| State Operations | 83 | 1,511 | 1,390 |
| Local Assistance | 3,174 | 6,000 | 6,000 |
| Totals, Disbursements | $3,835 | $8,203 | $8,462 |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Expenditure Reductions: | | | |
| Loan repayments from public agencies (Local Assistance): | | | |
| 3860 Department of Water Resources | –$328 | –$325 | –$325 |
| 3940 State Water Resources Control Board | –2,404 | –1,000 | –1,000 |
| Totals, Expenditure Reductions | –$2,732 | –$1,325 | –$1,325 |
| Totals, Expenditures | $1,103 | $6,878 | $7,137 |
| FUND BALANCE | $34,017 | $27,139 | $20,002 |
| Reserve for unexpended prior allocations | 2,970 | 26,820 | 19,676 |
| Funds available for allocation | 31,047 | 319 | 326 |

### 0744 1986 Water Conservation and Water Quality Bond Fund [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $35,743 | $23,971 | $11,432 |
| Prior year adjustments | –9,083 | – | – |
| Balance, Adjusted | $26,660 | $23,971 | $11,432 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214500 Interest income from loans | 1,641 | 2,220 | 2,220 |
| Totals, Operating Revenues and Transfers | $1,641 | $2,220 | $2,220 |
| Totals, Resources | $28,301 | $26,191 | $13,652 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3860 Department of Water Resources: | | | |
| State Operations | 141 | 137 | 180 |
| Local Assistance | 3,640 | 5,780 | 4,880 |
| 3940 State Water Resources Control Board: | | | |
| State Operations | 272 | – | – |
| Local Assistance | 6,255 | 12,000 | 11,000 |
| Totals, Disbursements | $10,308 | $17,917 | $16,060 |
| Expenditure Reductions: | | | |
| Loan repayments from public agencies (Local Assistance): | | | |
| 3860 Department of Water Resources | –2,250 | –2,158 | –2,158 |
| 3940 State Water Resources Control Board | –3,728 | –1,000 | –1,000 |
| Totals, Expenditure Reductions | –$5,978 | –$3,158 | –$3,158 |
| Totals, Expenditures | $4,330 | $14,759 | $12,902 |
| FUND BALANCE | $23,971 | $11,432 | $750 |
| Reserve for unexpended prior allocations | 23,971 | 11,432 | 750 |

### 0764 1988 Clean Water and Water Reclamation Fund [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,644 | $4,453 | $4,283 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 214500 Interest income from loans | 894 | 840 | 840 |
| 250300 Income from surplus money investments | – | 190 | 190 |
| Totals, Operating Revenues and Transfers | $894 | $1,030 | $1,030 |
| Totals, Resources | $4,538 | $5,483 | $5,313 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3940 State Water Resources Control Board (Local Assistance) | 1,959 | 2,000 | 2,000 |
| Totals, Disbursements | $1,959 | $2,000 | $2,000 |
| Expenditure Reductions: | | | |
| 3940 State Water Resources Control Board | | | |
| Loan repayments from local agencies (Local Assistance) | –1,874 | –800 | –800 |
| Totals, Expenditure Reductions | –$1,874 | –$800 | –$800 |
| Totals, Expenditures | $85 | $1,200 | $1,200 |
| FUND BALANCE | $4,453 | $4,283 | $4,113 |
| Reserve for unexpended prior allocations | 4,063 | 4,283 | 4,113 |
| Funds available for allocation | 390 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3940 STATE WATER RESOURCES CONTROL BOARD—Continued

| 0942 Special Deposit Fund [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING RESERVES | $1,676 | $2,486 | $2,673 |
| REVENUES | | | |
| Operating Revenues: | | | |
| 250300 Income from surplus money investments | 133 | 84 | 84 |
| 299600 Circle K bankruptcy special deposits | 795 | 710 | – |
| Totals, Operating Revenues and Transfers | $928 | $794 | $84 |
| Totals, Resources | $2,604 | $3,280 | $2,757 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3940 State Water Resources Control Board (State Operations) | 118 | 607 | 608 |
| FUND BALANCE | $2,486 | $2,673 | $2,149 |
| Reserve for unexpended prior Board allocations | 2,486 | 2,673 | 2,149 |

| 3012 Fire Safety Subaccount [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfer from Other Funds: | | | |
| F00439 Underground Storage Tank Cleanup Fund per Chapter 144, Statutes of 2000 | – | $5,000 | – |
| Totals, Transfers from Other Funds | – | $5,000 | – |
| Totals, Revenues and Transfers | – | $5,000 | – |
| Disbursements: | | | |
| 3940 State Water Resources Control Board (State Operations) | – | 5,000 | – |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 1,333.9 | 1,810.1 | 1,734.1 | $75,640 | $98,815 | $97,738 |
| Salary adjustments | – | – | – | – | 65 | 78 |
| Totals, Adjusted Authorized Positions | 1,333.9 | 1,810.1 | 1,734.1 | $75,640 | $98,880 | $97,816 |
| Workload and Administrative Adjustments: | | | | | | |
| Reductions in Authorized Positions: | | | | Salary Range | | |
| Water Resource Cntrl Engr | – | – | –14.3 | 3,273–5,632 | – | –764 |
| Totals, Workload and Administrative Adjustments | – | – | –14.3 | – | – | –$764 |
| Proposed New Positions: | | | | | | |
| Staff Counsel | – | – | 0.9 | 3,651–7,034 | – | 58 |
| Supvng Water Resource Cntrl Engr | – | – | 0.3 | 5,583–6,786 | – | 22 |
| Sr Info Sys Analyst | – | – | 1.0 | 5,583–6,766 | – | 74 |
| Sr Water Resource Cntrl Engr | – | – | 7.7 | 5,087–6,181 | – | 521 |
| Staff Info Sys Analyst | – | – | 1.3 | 4,958–6,026 | – | 86 |
| Envirntl Spec IV | – | – | 0.5 | 4,846–5,851 | – | 32 |
| Water Resource Cntrl Engr | – | 0.6 | 85.5 | 3,273–5,632 | 16 | 4,568 |
| Research Mgr II | – | – | 1.0 | 4,520–5,454 | – | 60 |
| Research Analyst | – | – | 2.0 | 2,764–4,155 | – | 83 |
| Totals, Proposed New Positions | – | 0.6 | 100.2 | – | $16 | $5,504 |
| Total Adjustments | – | 0.6 | 85.9 | – | $81 | $4,818 |
| TOTALS, SALARIES AND WAGES | 1,333.9 | 1,810.7 | 1,820.0 | $75,640 | $98,896 | $102,556 |

*** Dollars in thousands, except in Salary Range.**

# 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL

## Department Objectives Statement

The Department of Toxic Substances Control protects public health and the environment by (a) regulating hazardous waste management activities, (b) overseeing or performing cleanup activities at sites contaminated with hazardous substances, (c) encouraging pollution prevention and the development of environmentally protective technologies and (d) providing regulatory assistance and public education. The Environmental Cleanup and Fee Reform Act of 1997 (Chapter 870, Statutes of 1997 [SB 660]) changed the Department's fee structure by eliminating, replacing and reducing many of the existing fees paid by the hazardous waste management industry and other businesses. The Act eliminated hazardous waste industry fees as a source of funding for the cleanup of hazardous substance release sites, including state match at National Priority List Sites, and replaced them with an expanded environmental fee. The Act was the first step to establish a more reliable and stable funding structure for the Department's programs.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 12 Site Mitigation | 310.8 | 389.1 | 383.1 | $64,025 | $108,819 | $165,531 |
| 13 Hazardous Waste Management | 350.9 | 446.7 | 428.5 | 38,757 | 43,829 | 43,749 |
| 15 Statewide Support | – | – | – | 4,001 | 3,945 | 3,945 |
| 19 Administration | 164.1 | 202.9 | 209.5 | 24,557 | 27,261 | 30,344 |
| 19.02 Distributed Administration | – | – | – | −24,557 | −27,261 | −30,344 |
| 20 Science, Pollution Prevention and Technology | 68.2 | 100.1 | 88.8 | 8,781 | 12,651 | 14,761 |
| TOTALS, PROGRAMS | 894.0 | 1,138.8 | 1,109.9 | $115,564 | $169,244 | $227,986 |
| *0001 General Fund* | | | | *31,050* | *137,066* | *110,301* |
| *0014 Hazardous Waste Control Account* | | | | *31,909* | *35,797* | *34,489* |
| *Less funding provided by the General Fund* | | | | *–* | *–* | *−1,200* |
| *0018 Site Remediation Account* | | | | *–* | *7,737* | *7,326* |
| *Less funding provided by the General Fund* | | | | *–* | *–* | *−4,800* |
| *0028 Unified Program Account* | | | | *–* | *–* | *976* |
| *0100 California Used Oil Recycling Fund* | | | | *184* | *297* | *319* |
| *0294 Removal and Remedial Action Account* | | | | *424* | *156* | *306* |
| *0455 Hazardous Substance Subaccount* | | | | *688* | *2,500* | *8,000* |
| *0456 Expedited Site Remediation Trust Fund* | | | | *–* | *460* | *474* |
| *0458 Site Operations and Maintenance Account, Hazardous Substance Account* | | | | *–* | *48* | *50* |
| *0484 Hazardous Substance Clearing Account* | | | | *5,760* | *5,555* | *28,930* |
| *0557 Toxic Substances Control Account* | | | | *27,980* | *35,830* | *29,487* |
| *Less funding provided by the General Fund* | | | | *−4,800* | *−4,800* | *–* |
| *0710 Hazardous Substance Cleanup Fund* | | | | *528* | *1,892* | *510* |
| *0826 Superfund Bond Trust Fund* | | | | *–* | *–* | *–* |
| *Less funding provided by the General Fund* | | | | *−3,256* | *−3,050* | *−21,280* |
| *Less funding provided by Hazardous Substance Subaccount* | | | | *−688* | *−2,500* | *−8,000* |
| *0890 Federal Trust Fund* | | | | *20,066* | *22,086* | *22,175* |
| *0995 Reimbursements* | | | | *5,719* | *7,670* | *7,423* |
| *1003 Cleanup Loans and Environmental Assistance to Neighborhoods Account* | | | | *–* | *7,500* | *12,500* |
| *Less funding provided by the General Fund* | | | | *–* | *−85,000* | *–* |

## 12 SITE MITIGATION

## Program Objectives Statement

The Site Mitigation Program (SMP) implements the State's site cleanup laws and participates in the federal Superfund program. SMP emphasis is on accomplishing cleanup through departmental oversight of work performed by responsible parties (RPs). Where no RPs can be found or where they are not taking proper and timely action, the Department may use State or federal funds to perform the cleanup. However, the Department attempts to recover all of its costs from identified RPs. The Department is currently overseeing approximately 583 hazardous substance release site investigations and cleanups. In addition, it is monitoring long-term operations and maintenance activities at 94 sites where the cleanup process has been completed. New sites are being identified through surveillance and enforcement efforts and by examination of other previously identified potential sites.

SMP oversees many different types of sites and projects including the cleanup of military installations and clandestine drug labs. Recent legislation has given SMP the responsibility to ensure that new public schools are not constructed on properties contaminated with hazardous materials. SMP is also implementing a new Governor's Initiative for an $85 million Cleanup Loans and Environmental Assistance to Neighborhoods (CLEAN) program to help developers, property owners, and local governments redevelop brownfield sites in urban areas.

The Department also is responsible for the Stringfellow Hazardous Waste Site, a former hazardous waste disposal site, for which the State has been named a responsible party. Proposed and actual expenditures for the Stringfellow site are:

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Personal Services | $1,012 | $1,463 | $1,470 |
| Operating Expenses and Equipment | 5,373 | 11,980 | 11,965 |
| Distributed Administration | – | 3,311 | 4,456 |
| Totals | $6,385 | $16,754 | $17,891 |
| *0001 General Fund* | *6,385* | *16,706* | *17,841* |
| *0458 Site Operations and Maintenance Account (source General Fund)* | *–* | *48* | *50* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

### Major Budget Adjustments Included in 2000–01

- $750,000 General Fund (GF) reduction related to the reappropriation of the funds in 2001–02 to investigate and remediate soil contamination at Nipomo Waste Oil Dump Site.
- $450,000 GF reduction related to the reappropriation of the funds in 2001–02 to acquire property for construction of the Stringfellow pretreatment plant.

### Major Budget Adjustments Proposed for 2001–02

- $37,500,000 GF for transfer to the Financial Assurance and Insurance for Redevelopment Account to provide low-cost insurance for cleanup of urban properties.
- $2,500,000 Cleanup Loans and Environmental Assistance to Neighborhoods (CLEAN) Account to cover ongoing administrative costs in implementing the CLEAN program.
- $285,000 GF and 3.0 positions to implement a new architectural and engineering contracts process, pursuant to Chapter 725, Statutes of 2000 (AB 1813).
- $131,000 Reimbursements and redirection of 4.0 positions to assess environmental contamination at proposed school sites.
- $1,500,000 GF reduction to adjust funding to reflect a decrease in illegal drug lab removals.
- A redirection of $67,000 GF in contract funding and 4.0 positions to develop guidelines for remediating illegal drug labs.
- $750,000 GF reappropriated from 2000–01 to investigate and remediate soil contamination at Nipomo Waste Oil Dump Site.
- $900,000 GF reappropriated from 1999–00 and 2000–01 to acquire property for construction of the Stringfellow pretreatment plant.
- A reduction of 24.0 positions to reflect departmentwide elimination of unfunded vacant positions.

### Authority

Health and Safety Code Section 25300 et seq.

## 13 HAZARDOUS WASTE MANAGEMENT

The Hazardous Waste Management Program (HWMP) regulates hazardous waste through permitting, enforcement and Unified Program oversight activities. HWMP maintains the U.S. Environmental Protection Agency (U.S. EPA) authorization to implement the Resource Conservation and Recovery Act (RCRA) program in California. HWMP develops regulations and policies, and conducts investigations, inspections and follow-up enforcement actions to assure safe storage, treatment, transportation and disposal of hazardous waste under applicable federal, State and local laws. In addition, HWMP issues permits and conducts corrective action and closure programs, including the long-term maintenance of closed facilities, to ensure that any releases of hazardous constituents by facilities are remediated in a manner that is both timely and protective of public health and the environment.

HWMP oversees the technical implementation of the State's Unified Program—a consolidation of six environmental programs at the local level. HWMP coordinates state agency triennial reviews of Unified Program agencies to ensure that their programs are consistent statewide, conform to standards, and deliver quality environmental protection at the local level. HWMP also oversees the hazardous waste generator and onsite waste treatment surveillance and enforcement programs carried out by local Unified Programs.

### Major Budget Adjustments Proposed for 2001–02

- $1,200,000 GF for transfer to the Hazardous Waste Control Account (HWCA) to pay costs for cleaning up hazardous waste.
- A shift of $204,000 GF and $772,000 HWCA to the Unified Hazardous Waste Program Account to reflect appropriate funding for the Department's existing 9.0 positions in the Unified Hazardous Waste Program.
- Reductions of $667,000 HWCA, $132,000 Reimbursements, and 10.0 positions to shift some program funding and activities for the Unified Program from the Department to the California Environmental Protection Agency (CalEPA).
- A reduction of $56,000 GF and 1.0 position to shift some program funding and activities from the Department to CalEPA for border environmental and public health protection.

### Authority

Health and Safety Code Section 25100 et seq.

## 15 STATEWIDE SUPPORT

This program includes support from the Hazardous Waste Control Account and the Toxic Substances Control Account for departments other than the Department of Toxic Substances Control. This support is provided by interagency or interdepartmental agreements in lieu of direct appropriations.

## 19 ADMINISTRATION

### Major Budget Adjustments Proposed for 2001–02

- $629,000 GF, $1,868,000 HWCA, and $1,237,000 TSCA for increased operating equipment and expenses.
- $292,000 GF, $132,000 HWCA, $368,000 TSCA, 3.0 positions, and redirection of 17.0 positions to provide administrative support to meet increased program demands.
- $100,000 GF and $600,000 Toxic Substances Control Account (TSCA) one-time to develop and implement a revised cost recovery billing system.

## 20 SCIENCE, POLLUTION PREVENTION AND TECHNOLOGY

### Program Objectives Statement

The Science, Pollution Prevention and Technology Program (SPPTP) implements the Hazardous Waste Technology Certification Program and the Pollution Prevention Program. These initiatives encourage independent research on pollution prevention activities, the development of new environmental technologies, and the evaluation of source-reduction plans submitted by industry.

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

### Major Budget Adjustments Proposed for 2001–02

- $549,000 GF one-time to replace or upgrade laboratory equipment and a redirection of 1.0 position.
- $350,000 HWCA, $350,000 TSCA, 2.0 positions, and redirection of 1.0 position to improve the Department's industrial hygiene and field safety capability.
- A redirection of $261,000 in contract funding and 2.0 positions to perform pollution prevention activities.

### Authority

Health and Safety Code Section 25244 et seq.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 12 SITE MITIGATION

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$30,595* | *$134,956* | *$106,790* |
| *0018 Site Remediation Account* | – | *7,737* | *7,326* |
| *Less funding provided by the General Fund* | – | – | *−4,800* |
| *0294 Removal and Remedial Action Account* | *424* | *156* | *306* |
| *0455 Hazardous Substance Subaccount* | *688* | *2,500* | *8,000* |
| *0456 Expedited Site Remediation Trust Fund* | – | *460* | *474* |
| *0458 Site Operation and Maintenance Account, Hazardous Substance Account* | – | *48* | *50* |
| *0484 Hazardous Substance Clearing Account* | *5,760* | *5,555* | *28,930* |
| *0557 Toxic Substances Control Account* | *19,226* | *25,103* | *17,213* |
| *Less funding provided by the General Fund* | *−4,800* | *−4,800* | – |
| *0710 Hazardous Substance Cleanup Fund* | *528* | *1,892* | *510* |
| *0826 Superfund Bond Trust Fund* | – | – | – |
| *Less funding provided by the General Fund* | *−3,256* | *−3,050* | *−21,280* |
| *Less funding provided by the Hazardous Substance Subaccount* | *−688* | *−2,500* | *−8,000* |
| *0890 Federal Trust Fund* | *12,056* | *13,679* | *12,917* |
| *0995 Reimbursements* | *3,492* | *4,583* | *4,595* |
| *1003 Cleanup Loans and Environmental Assistance to Neighborhoods Account* | – | *2,500* | *2,500* |
| *Less funding provided by the General Fund* | – | *−85,000* | – |
| Totals, State Operations | $64,025 | $103,819 | $155,531 |
| Local Assistance: | | | |
| *1003 Cleanup Loans and Environmental Assistance to Neighborhoods Account* | – | *5,000* | *10,000* |
| Totals, Local Assistance | – | $5,000 | $10,000 |

### PROGRAM REQUIREMENTS
### 13 HAZARDOUS WASTE MANAGEMENT

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$248* | *$1,856* | *$2,694* |
| *0014 Hazardous Waste Control Account* | *28,806* | *32,682* | *31,374* |
| *Less funding provided by the General Fund* | – | – | *−1,200* |
| *0028 Unified Program Account* | – | – | *976* |
| *0100 California Used Oil Recycling Fund* | *184* | *297* | *319* |
| *0890 Federal Trust Fund* | *7,602* | *7,147* | *7,998* |
| *0995 Reimbursements* | *1,917* | *1,847* | *1,588* |
| Totals, State Operations | $38,757 | $43,829 | $43,749 |

### PROGRAM REQUIREMENTS
### 15 STATEWIDE SUPPORT

| State Operations: | | | |
|---|---|---|---|
| *0014 Hazardous Waste Control Account* | *$3,103* | *$3,115* | *$3,115* |
| *0557 Toxic Substances Control Account* | *898* | *830* | *830* |
| Totals, State Operations | $4,001 | $3,945 | $3,945 |

### PROGRAM REQUIREMENTS
### 19 ADMINISTRATION

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *($3,586)* | *($7,481)* | *($11,182)* |
| *0014 Hazardous Waste Control Account* | *(7,744)* | *(7,332)* | *(7,755)* |
| *0028 Unified Program Account* | – | – | *(61)* |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0100 California Used Oil Recycling Fund* | – | *($54)* | *($68)* |
| *0557 Toxic Substances Control Account* | *($8,414)* | *(7,912)* | *(6,723)* |
| *0890 Federal Trust Fund* | *(2,324)* | *(3,679)* | *(3,768)* |
| *0995 Reimbursements* | *(2,489)* | *(803)* | *(787)* |
| Totals, State Operations | ($24,557) | ($27,261) | ($30,344) |

### PROGRAM REQUIREMENTS

### 20 SCIENCE, POLLUTION PREVENTION AND TECHNOLOGY

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$207* | *$255* | *$817* |
| *0557 Toxic Substances Control Account* | *7,856* | *9,896* | *11,444* |
| *0890 Federal Trust Fund* | *407* | *1,260* | *1,260* |
| *0995 Reimbursements* | *311* | *1,240* | *1,240* |
| Totals, State Operations | $8,781 | $12,651 | $14,761 |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $115,564 | $164,244 | $217,986 |
| Local Assistance | – | 5,000 | 10,000 |
| TOTALS, EXPENDITURES | $115,564 | $169,244 | $227,986 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 894.0 | 1,198.3 | 1,195.3 | $50,848 | $64,417 | $65,810 |
| Total Adjustments | – | – | –27.0 | – | 24 | 151 |
| Estimated Salary Savings | – | –59.5 | –58.4 | – | –3,300 | –3,075 |
| Net Totals, Salaries and Wages | 894.0 | 1,138.8 | 1,109.9 | $50,848 | $61,141 | $62,886 |
| Staff Benefits | – | – | – | 8,988 | 10,911 | 9,974 |
| Totals, Personal Services | 894.0 | 1,138.8 | 1,109.9 | $59,836 | $72,052 | $72,860 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $41,656 | $76,403 | $67,281 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Debt Service | | | | 5,760 | 5,555 | 28,930 |
| Brownfields | | | | – | – | 37,500 |
| Interagency Pass-through Disbursement | | | | 8,312 | 6,820 | 6,820 |
| Totals, Special Items of Expense | | | | $14,072 | $12,375 | $73,250 |
| UNCLASSIFIED | | | | | | |
| Responsible Parties | | | | – | 2,718 | 2,863 |
| Federal Special Projects | | | | – | 696 | 1,732 |
| Totals, Unclassified | | | | – | $3,414 | $4,595 |
| TOTALS, EXPENDITURES | | | | $115,564 | $164,244 | $217,986 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $32,270 | $41,864 | $43,271 |
| Allocation for employee compensation | 546 | 27 | – |
| Adjustment per Section 3.60 | –314 | –118 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| 011 Budget Act appropriation (transfer to Cleanup Loans and Environmental Assistance to Neighborhoods Account-1003) | – | 85,000 | – |
| 012 Budget Act appropriation (transfer to Toxic Substances Control Account-0557) | 4,800 | 4,800 | – |
| 013 Budget Act appropriation (transfer to the Superfund Bond Trust Fund-0826) | 3,256 | 3,050 | 21,280 |
| 014 Budget Act appropriation (transfer to Financial Assurance and Insurance for Redevelopment Account) | – | – | 37,500 |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 015 Budget Act appropriation (transfer to Hazardous Waste Control Account-0014) | – | – | $1,200 |
| 016 Budget Act appropriation (transfer to Site Remediation Account-0018) | – | – | 4,800 |
| Prior year balances available: | | | |
| Chapter 1428, Statutes of 1985 | $100 | – | – |
| Item 3960-001-0001, Budget Act of 1999, as reappropriated by Item 3960-490, Budget Act of 2000 and 2001 | – | $6,186 | 2,542 |
| Item 3960-001-0001, Budget Act of 2000, as reappropriated by Item 3960-490, Budget Act of 2001 | – | – | 1,200 |
| Transfer to Capital Outlay per reappropriation 3960-490, Budget Act of 2001 | – | – | –900 |
| Totals Available | $40,662 | $140,809 | $110,893 |
| Balance available in subsequent years | –6,186 | –3,743 | –592 |
| Unexpended balance, estimated savings | –3,426 | – | – |
| TOTALS, EXPENDITURES | $31,050 | $137,066 | $110,301 |

### 0014 Hazardous Waste Control Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $31,370 | $35,107 | $34,489 |
| Allocation for employee compensation | 2,754 | 114 | – |
| Adjustment per Section 3.60 | –1,538 | –324 | – |
| Adjustment per Section 16.00 | 14 | – | – |
| Prior year balances available: | | | |
| Item 3960-001-0014, Budget Act of 1998, as reappropriated by Item 3960-490, Budget Acts of 1999 and 2000 | 903 | 900 | – |
| Totals Available | $33,503 | $35,797 | $34,489 |
| Balance available in subsequent years | –900 | – | – |
| Unexpended balance, estimated savings | –694 | – | – |
| TOTALS, EXPENDITURES | $31,909 | $35,797 | $34,489 |
| Less funding provided by the General Fund | – | – | –1,200 |
| NET TOTALS, EXPENDITURES | $31,909 | $35,797 | $33,289 |

### 0018 Site Remediation Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | – | $2,850 | $7,326 |
| Prior year balances available: | | | |
| Item 3960-001-0018, Budget Act of 1998, as reappropriated by Item 3960-490, Budget Act of 2000 | $4,887 | 4,887 | – |
| Totals Available | $4,887 | $7,737 | $7,326 |
| Balance available in subsequent years | –4,887 | – | – |
| TOTALS, EXPENDITURES | – | $7,737 | $7,326 |
| Less funding provided by the General Fund | – | – | –4,800 |
| NET TOTALS, EXPENDITURES | – | $7,737 | $2,526 |

### 0028 Unified Program Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (expenditures) | – | – | $976 |

### 0058 Rail Accident Prevention and Response Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation (transfer to Hazardous Spill Prevention Account, Rail Accident Prevention and Response Fund-0059) | ($222) | – | – |
| Adjustment per Provision 1 | (–215) | – | – |
| TOTALS, EXPENDITURES | ($7) | – | – |

### 0059 Hazardous Spill Prevention Account, Railroad Accident Prevention and Response Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation (transfer to Toxic Substances Control Account-0557) | ($222) | – | – |
| Increase per Provision 1, Item 3960-011-0059, Budget Act of 1998 | (287) | – | – |
| TOTALS, EXPENDITURES | ($509) | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

### 0100 California Used Oil Recycling Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $399 | $299 | $319 |
| Allocation for employee compensation | 22 | – | – |
| Adjustment per Section 3.60 | –13 | –2 | – |
| Totals Available | $408 | $297 | $319 |
| Unexpended balance, estimated savings | –224 | – | – |
| TOTALS, EXPENDITURES | $184 | $297 | $319 |

### 0294 Removal and Remedial Action Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Health and Safety Code Section 25330.4 (expenditures) | $424 | $156 | $306 |

### 0455 Hazardous Substance Subaccount [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Chapter 376, Statutes of 1984 (transfer to Superfund Bond Trust Fund-0826) (expenditures) | $688 | $2,500 | $8,000 |

### 0456 Expedited Site Remediation Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $450 | $460 | $474 |
| Unexpended balance, estimated savings | –450 | – | – |
| TOTALS, EXPENDITURES | – | $460 | $474 |

### 0458 Site Operations and Maintenance Account, Hazardous Substance Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Health and Safety Code Section 25330.5 (expenditures) | – | $48 | $50 |

### 0484 Hazardous Substance Clearing Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Health and Safety Code Sections 25334 and 25386: | | | |
| Principal and interest reimbursement to the General Fund (expenditures) | $5,760 | $5,555 | $28,930 |

### 0557 Toxic Substances Control Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $35,661 | $29,258 | $29,487 |
| Allocation for employee compensation | 2,495 | 88 | – |
| Adjustment per Section 3.60 | –1,455 | –296 | – |
| Adjustment per Section 16.00 | 14 | – | – |
| 011 Budget Act appropriation (transfer to Expedited Site Remediation Trust Fund-0456) | (414) | (424) | (435) |
| 012 Budget Act appropriation (transfer to Site Remediation Account-0018) | – | (2,850) | (2,526) |
| 013 Budget Act appropriation (transfer to Hazardous Substance Account-0455) | – | – | (5,000) |
| Prior year balances available: | | | |
| Item 3960-001-0557, Budget Act of 1999 | – | 6,640 | – |
| Chapter 601, Statutes of 1999 | 140 | 140 | – |
| Totals Available | $36,855 | $35,830 | $29,487 |
| Balance available in subsequent years | –6,780 | – | – |
| Unexpended balance, estimated savings | –2,095 | – | – |
| TOTALS, EXPENDITURES | $27,980 | $35,830 | $29,487 |
| Less funding provided by the General Fund | –4,800 | –4,800 | – |
| NET TOTALS, EXPENDITURES | $23,180 | $31,030 | $29,487 |

### 0710 Hazardous Substance Cleanup Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapter 1439, Statutes of 1985 | $2,930 | $2,402 | $510 |
| Balance available in subsequent years | –2,402 | –510 | – |
| TOTALS, EXPENDITURES | $528 | $1,892 | $510 |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

### 0826 Superfund Bond Trust Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Transfer to Hazardous Substance Clearing Account (0484) per Health and Safety Code Sections 25385.5 and 25385.8 | ($4,250) | ($5,500) | ($29,000) |
| Less funding provided by the General Fund | −3,256 | −3,050 | −21,280 |
| Less funding provided by the Hazardous Substance Account per Health and Safety Code Section 25385.8 | −688 | −2,500 | −8,000 |
| TOTALS, EXPENDITURES | −$3,944 | −$5,550 | −$29,280 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $22,229 | $22,086 | $22,175 |
| Budget adjustment | −2,163 | – | – |
| TOTALS, EXPENDITURES | $20,066 | $22,086 | $22,175 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $5,719 | $7,670 | $7,423 |

### 1003 Cleanup Loans and Environmental Assistance to Neighborhoods Account [s]

| | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $2,500 |
| Chapter 144, Statutes of 2000 | – | $500 | – |
| Chapter 912, Statutes of 2000 | – | 2,000 | – |
| TOTALS, EXPENDITURES | – | $2,500 | $2,500 |
| Less funding provided by the General Fund per Chapter 144, Statutes of 2000 | – | −85,000 | – |
| NET TOTALS, EXPENDITURES | – | −$82,500 | $2,500 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $115,564 | $164,244 | $217,986 |

---

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 1003 Cleanup Loan and Environmental Assistance to Neighborhoods Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Health and Safety Code Section 25395.20 (expenditures) | – | $5,000 | $10,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | – | $5,000 | $10,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $115,564 | $169,244 | $227,986 |

---

### FUND CONDITION STATEMENT
### 0010 Hazardous Materials Enforcement and Training Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $30 | $34 | $37 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 2 | 2 | 2 |
| 164300 Penalty assessments | 2 | 1 | 1 |
| Totals, Revenues and Transfers | $4 | $3 | $3 |
| Totals, Resources | $34 | $37 | $40 |
| FUND BALANCE | $34 | $37 | $40 |
| Reserve for economic uncertainties | 34 | 37 | 40 |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| 0013 Federal Receipts Account, Hazardous Waste Control Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $983 | – | – |
| Prior year adjustments | 292 | – | – |
| Balance, Adjusted | $1,275 | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers to Other Funds: | | | |
| T00014 Hazardous Waste Control Account, per Health and Safety Code Section 25174.9 | −1,275 | – | – |
| Totals, Revenues and Transfers | −$1,275 | – | – |
| Totals, Resources | – | – | – |
| FUND BALANCE | – | – | – |
| **0014 Hazardous Waste Control Account [s]** | | | |
| BEGINNING BALANCE | $8,814 | $12,861 | $7,103 |
| Prior year adjustments | 2,179 | – | – |
| Balance, Adjusted | $10,993 | $12,861 | $7,103 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125400 Hazardous waste control fees | 26,591 | 24,476 | 27,132 |
| 125600 Other regulatory fees | 5,478 | 5,656 | 5,688 |
| 150300 Income from surplus money investments | 248 | 248 | 248 |
| 161400 Miscellaneous revenue | 228 | 52 | 52 |
| 161900 Other revenue—cost recoveries, administrative charges | 1,935 | 1,500 | 1,600 |
| 164300 Penalty assessments | 2 | 25 | 50 |
| Totals, Revenues | $34,482 | $31,957 | $34,770 |
| Transfers from Other Funds: | | | |
| F00013 Federal Receipts Account, per Health and Safety Code Section 25174.9 | 1,275 | – | – |
| Totals, Transfers from Other Funds | $1,275 | – | – |
| Totals, Revenues and Transfers | $35,757 | $31,957 | $34,770 |
| Totals, Resources | $46,750 | $44,818 | $41,873 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 (3895) Secretary for Environmental Protection (State Operations) | 298 | 316 | 334 |
| 0820 Department of Justice (State Operations) | 1,682 | 1,602 | 1,611 |
| 3960 Department of Toxic Substances Control (State Operations) | 31,909 | 35,797 | 34,489 |
| Totals, Disbursements | $33,889 | $37,715 | $36,434 |
| Expenditure Reductions: | | | |
| 3960 Department of Toxic Substances Control (State Operations) Less funding provided by the General Fund | – | – | −1,200 |
| Totals, Expenditures | $33,889 | $37,715 | $35,234 |
| FUND BALANCE | $12,861 | $7,103 | $6,639 |
| Reserve for economic uncertainties | 12,861 | 7,103 | 6,639 |
| **0018 Site Remediation Account [s]** | | | |
| BEGINNING BALANCE | $5,598 | $6,496 | $1,609 |
| Prior year adjustments | 637 | – | – |
| Balance, Adjusted | $6,235 | $6,496 | $1,609 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 261 | – | – |
| Totals, Revenues | $261 | – | – |
| Transfers from Other Funds: | | | |
| F00557 Toxic Substances Control Account per Item 3960-012-0557, Budget Acts of 2000 and 2001 | – | 2,850 | 2,526 |
| Totals, Revenues and Transfers | $261 | $2,850 | $2,526 |
| Totals, Resources | $6,496 | $9,346 | $4,135 |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | – | $7,737 | $7,326 |
| Expenditure Reductions: | | | |
| 3960 Department of Toxic Substances Control (State Operations) Less funding provided by the General Fund | – | – | –4,800 |
| FUND BALANCE | $6,496 | $1,609 | $1,609 |
| Reserve for economic uncertainties | 6,496 | 1,609 | 1,609 |

### 0028 Unified Program Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,000 | $1,309 | $1,318 |
| Prior year adjustments | 460 | – | – |
| Balance, Adjusted | $1,460 | $1,309 | $1,318 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 984 | 1,375 | 1,800 |
| 150300 Income from surplus money investments | 86 | 80 | 80 |
| Totals, Revenues and Transfers | $1,070 | $1,455 | $1,880 |
| Totals, Resources | $2,530 | $2,764 | $3,198 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0555 California Environmental Protection Agency (State Operations) | – | – | 799 |
| 0690 Office of Emergency Services (State Operations) | 385 | 578 | 580 |
| 3540 Department of Forestry and Fire Protection (State Operations) | 197 | 249 | 250 |
| 3940 State Water Resources Control Board (State Operations) | 603 | 619 | 492 |
| 3960 Department of Toxic Substances Control (State Operations) | – | – | 976 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | 36 | – | – |
| Totals, Disbursements | $1,221 | $1,446 | $3,097 |
| FUND BALANCE | $1,309 | $1,318 | $101 |
| Reserve for economic uncertainties | 1,309 | 1,318 | 101 |

### 0058 Rail Accident Prevention and Response Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $5 | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 2 | – | – |
| Transfers to Other Funds: | | | |
| T00059 Transfer to Hazardous Spill Prevention Account per Item 3960-011-0058, Budget Act of 1999 | –7 | – | – |
| Totals, Revenues and Transfers | –$5 | – | – |
| Totals, Resources | – | – | – |
| FUND BALANCE | – | – | – |

### 0059 Hazardous Spill Prevention Account, Railroad Accident Prevention and Response Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $495 | $9 | $9 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 16 | – | – |
| Transfers from Other Funds: | | | |
| F00058 Rail Accident Prevention and Response Fund per Item 3960-011-0058, Budget Act of 1999 | 7 | – | – |
| Transfers to Other Funds: | | | |
| T00557 Toxic Substances Control Account per Item 3960-011-0059, Budget Act of 1999 | –509 | – | – |
| Totals, Revenues and Transfers | –$486 | – | – |
| Totals, Resources | $9 | $9 | $9 |
| FUND BALANCE | $9 | $9 | $9 |
| Reserve for economic uncertainties | 9 | 9 | 9 |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| 0065 Illegal Drug Lab Cleanup Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,165 | $3,344 | $3,344 |
| Prior year adjustments | 2,179 | – | – |
| Balance, Adjusted | $3,344 | $3,344 | $3,344 |
| Totals, Resources | $3,344 | $3,344 | $3,344 |
| FUND BALANCE | $3,344 | $3,344 | $3,344 |
| Reserve for economic uncertainties | 3,344 | 3,344 | 3,344 |

| 0294 Removal and Remedial Action Account, Hazardous Waste Control Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $775 | $1,175 | $1,419 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 441 | 400 | 400 |
| 161900 Other Revenue-cost recoveries | 383 | – | – |
| Totals, Revenues and Transfers | $824 | $400 | $400 |
| Totals, Resources | $1,599 | $1,575 | $1,819 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | 424 | 156 | 306 |
| FUND BALANCE | $1,175 | $1,419 | $1,513 |
| Reserve for unexpended prior allocations | 1,005 | 1,005 | 1,005 |
| Reserve for economic uncertainties | 170 | 414 | 508 |

| 0455 Hazardous Substance Subaccount [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,391 | $3,533 | $2,009 |
| Prior year adjustments | 23 | – | – |
| Balanced, Adjusted | $3,414 | $3,533 | $2,009 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 148 | 30 | 30 |
| 164300 Penalty assessments | 659 | 970 | 970 |
| Totals, Revenues | $807 | $1,000 | $1,000 |
| Transfers from Other Funds: | | | |
| F00557 Toxic Substances Control Account per Health and Safety Code Section 25173.6(b)(10) | – | – | 5,000 |
| Totals, Transfers from Other Funds | – | – | $5,000 |
| Totals, Revenues and Transfers | $807 | $1,000 | $6,000 |
| Totals, Resources | $4,221 | $4,533 | $8,009 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | 688 | 2,500 | 8,000 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | – | 24 | 2 |
| Totals, Disbursements | $688 | $2,524 | $8,002 |
| FUND BALANCE | $3,533 | $2,009 | $7 |
| Reserve for economic uncertainties | 3,533 | 2,009 | 7 |

| 0456 Expedited Site Remediation Trust Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $432 | $876 | $890 |
| Prior year adjustments | –1 | – | – |
| Balance, Adjusted | $431 | $876 | $890 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 31 | 50 | 50 |
| Totals, Revenues | $31 | $50 | $50 |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Transfers from Other Funds: | | | |
| F00557 Toxic Substances Control Account per Health and Safety Code Section 25173.7 | $414 | $424 | $435 |
| Totals, Transfers from Other Funds | $414 | $424 | $435 |
| Totals, Revenues and Transfers | $445 | $474 | $485 |
| Totals, Resources | $876 | $1,350 | $1,375 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | – | 460 | 474 |
| FUND BALANCE | $876 | $890 | $901 |
| Reserve for economic uncertainties | 876 | 890 | 901 |

### 0458 Site Operations and Maintenance Account, Hazardous Substance Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,221 | $2,317 | $2,319 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 93 | 47 | 47 |
| 151200 Income from Condemnation Deposits Fund | 3 | 3 | 3 |
| Totals, Revenues and Transfers | $96 | $50 | $50 |
| Totals, Resources | $2,317 | $2,367 | $2,369 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | – | 48 | 50 |
| FUND BALANCE | $2,317 | $2,319 | $2,319 |
| Reserve for unexpended prior allocations | 240 | 240 | 240 |
| Reserve for economic uncertainties | 2,077 | 2,079 | 2,079 |

### 0484 Hazardous Substance Clearing Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,299 | $18 | $23 |
| Prior year adjustments | 37 | – | – |
| Balance, Adjusted | $1,336 | $18 | $23 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 12 | 10 | 10 |
| 161400 Miscellaneous revenue | 55 | 50 | 50 |
| 161900 Other revenue—cost recoveries | 125 | – | – |
| Totals, Revenues | $192 | $60 | $60 |
| Transfers from Other Funds: | | | |
| F00826 Superfund Bond Trust Fund per Health and Safety Code Section 25385.8 | 4,250 | 5,500 | 29,000 |
| Totals, Transfers from Other Funds | $4,250 | $5,500 | $29,000 |
| Totals, Revenues and Transfers | $4,442 | $5,560 | $29,060 |
| Totals, Resources | $5,778 | $5,578 | $29,083 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3960 Department of Toxic Substances Control (State Operations) Principal and interest expense to the General Fund per Health and Safety Code Section 25534 | 5,760 | 5,555 | 28,930 |
| FUND BALANCE | $18 | $23 | $153 |
| Reserve for economic uncertainties | 18 | 23 | 153 |

### 0557 Toxic Substances Control Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $4,516 | $12,550 | $11,229 |
| Prior year adjustments | 901 | – | – |
| Balance, Adjusted | $5,417 | $12,550 | $11,229 |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125400 Hazardous waste control fees | $26,362 | $27,400 | $28,400 |
| 150300 Income from surplus money investments | 553 | 150 | 150 |
| 161400 Miscellaneous revenue | 19 | 20 | 20 |
| 161900 Other revenues—cost recoveries | 4,349 | 6,800 | 5,800 |
| 164300 Penalty assessments | 714 | 510 | 544 |
| Totals, Revenues | $31,997 | $34,880 | $34,914 |
| Transfers from Other Funds: | | | |
| F00059 Hazardous Spill Prevention Account per Item 3960-011-0059, Budget Act of 1999 | 509 | – | – |
| Totals, Transfers from Other Funds | $509 | – | – |
| Transfers to Other Funds: | | | |
| T00456 Expedited Site Remediation Trust Fund per Item 3960-011-0557, Budget Acts of 1999, 2000 and 2001 | –414 | –424 | –435 |
| T00018 Site Remediation Account per Item 3960-012-0557, Budget Acts of 2000 and 2001 | – | –2,850 | –2,526 |
| T00455 Hazardous Substance Account per Item 3960-013-0557, Budget Act of 2001 | – | – | –5,000 |
| Totals, Transfers to Other Funds | –$414 | –$3,274 | –$7,961 |
| Totals, Revenues and Transfers | $32,092 | $31,606 | $26,953 |
| Totals, Resources | $37,509 | $44,156 | $38,182 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (State Operations) | 1,779 | 1,897 | 1,914 |
| 3960 Department of Toxic Substances Control (State Operations) | 27,980 | 35,830 | 29,487 |
| Expenditure Reductions: | | | |
| 3960 Department of Toxic Substances Control (State Operations) Less funding provided by the General Fund | –4,800 | –4,800 | – |
| Totals, Expenditures | $24,959 | $32,927 | $31,401 |
| FUND BALANCE | $12,550 | $11,229 | $6,781 |
| Reserve for economic uncertainties | 12,550 | 11,229 | 6,781 |

### 0710 Hazardous Substance Cleanup Fund [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,248 | $2,723 | $831 |
| Prior year adjustments | 3 | – | – |
| Balance, Adjusted | $3,251 | $2,723 | $831 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | 528 | 1,892 | 510 |
| FUND BALANCE | $2,723 | $831 | $321 |
| Reserve for unexpended prior allocations | 1,892 | – | – |
| Funds available for allocation | 831 | 831 | 321 |

### 0826 Superfund Bond Trust Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $233 | $182 | $232 |
| Prior year adjustments | 255 | – | – |
| Balance, Adjusted | $488 | $182 | $232 |
| REVENUES AND TRANSFERS | | | |
| Transfers to Other Funds: | | | |
| T00484 Hazardous Substance Clearing Account per Health and Safety Code Section 25385.8 | –4,250 | –5,500 | –29,000 |
| Totals, Revenues and Transfers | –$4,250 | –$5,500 | –$29,000 |
| Totals, Resources | –$3,762 | –$5,318 | –$28,768 |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Expenditure Reductions: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | | | |
| Less funding provided by the General Fund | –$3,256 | –$3,050 | –$21,280 |
| Less funding provided by the Hazardous Substance Subaccount | –688 | –2,500 | –8,000 |
| Totals, Expenditure Reductions | –$3,944 | –$5,550 | –$29,280 |
| FUND BALANCE | $182 | $232 | $512 |
| Reserve for economic uncertainties | 182 | 232 | 512 |

### 1003 Cleanup Loans and Environmental Assistance to Neighborhoods Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $77,500 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | – | $2,500 | 2,500 |
| 3960 Department of Toxic Substances Control (Local Assistance) | – | 5,000 | 10,000 |
| Totals, Disbursements | – | $7,500 | $12,500 |
| Expenditure Reductions: | | | |
| 3960 Department of Toxic Substances Control (State Operations) | | | |
| Less funding provided by the General Fund | – | –85,000 | – |
| Totals, Expenditures | – | –$77,500 | $12,500 |
| FUND BALANCE | – | $77,500 | $65,000 |
| Reserve for economic uncertainties | – | 77,500 | 65,000 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 894.0 | 1,198.3 | 1,195.3 | $50,848 | $64,417 | $65,810 |
| Salary adjustments | – | – | – | – | 24 | 39 |
| Totals, Adjusted Authorized Positions | 894.0 | 1,198.3 | 1,195.3 | $50,848 | $64,441 | $65,849 |
| Workload and Administrative Adjustments: | | | | | | |
| Reductions in Authorized Positions: | | | | | | |
| Hazardous Waste Management: | | | | Salary Range | | |
| Hazardous Substance Scientist | – | – | –9.0 | 2,738–5,082 | – | –501 |
| Administrative Services: | | | | | | |
| Staff Svcs Analyst-Gen | – | – | –1.0 | 2,507–3,957 | – | –30 |
| Acctg Techn | – | – | –1.0 | 2,029–2,648 | – | –28 |
| Totals, Reductions in Authorized Positions | – | – | –11 | – | – | –$559 |
| Reduction per Section 31.50: | | | | | | |
| Executive Office: | | | | | | |
| Assoc Info Sys Analyst-Spec | – | (–0.5) | (–0.5) | – | – | – |
| Supvng Prog Techn I | – | (–1.0) | (–1.0) | – | – | – |
| Assistant Director's Office: | | | | | | |
| Assoc Mgt Auditor | – | (–0.5) | (–0.5) | – | – | – |
| Science, Pollution Prevention and Environmental Technology: | | | | | | |
| Staff Toxicologist | – | (1.0) | (1.0) | – | – | – |
| Hazardous Substance Scientist | – | (–1.0) | (–1.0) | – | – | – |
| Pub Hlth Chemist II | – | (–1.0) | (–1.0) | – | – | – |
| Office of Legal Counsel: | | | | | | |
| Staff Counsel II-Spec | – | (1.0) | (1.0) | – | – | – |
| Site Mitigation: | | | | | | |
| Supvng Hazardous Substance Scientist I | – | (–1.0) | (–1.0) | – | – | – |
| Supvng Hazardous Substance Scientist I | – | (1.0) | (1.0) | – | – | – |
| Hazardous Substance Engr | – | (–1.0) | (–1.0) | – | – | – |
| Hazardous Substance Engr | – | (3.0) | (3.0) | – | – | – |
| Hazardous Substance Engrng Geologist | – | (–0.2) | (–0.2) | – | – | – |
| Hazardous Substance Scientist | – | (–4.5) | (–4.5) | – | – | – |
| Hazardous Substance Scientist | – | (3.0) | (3.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (0.6) | (0.6) | – | – | – |
| Ofc Techn-Typing | – | (–0.5) | (–0.5) | – | – | – |
| Ofc Techn-Typing | – | (0.5) | (0.5) | – | – | – |
| Ofc Asst-Typing | – | (0.2) | (0.2) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Hazardous Waste Management: | | | | Salary Range | | |
| Supvng Hazardous Substance Scientist I | – | (–0.3) | (–0.3) | – | – | – |
| Hazardous Substance Engr | – | (–3.0) | (–3.0) | – | – | – |
| Hazardous Substance Scientist | – | (–6.0) | (–6.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–0.5) | (–0.5) | – | – | – |
| Word Proc Techn | – | (–0.1) | (–0.1) | – | – | – |
| Ofc Asst-Typing | – | (–0.3) | (–0.3) | – | – | – |
| Office of External Affairs: | | | | | | |
| Pub Participation Spec | – | (–0.6) | (–0.6) | – | – | – |
| Administrative Services: | | | | | | |
| Staff Svcs Mgr II | – | (1.0) | (1.0) | – | – | – |
| Acctg Administrator II | – | (1.0) | (1.0) | – | – | – |
| Staff Svcs Mgr I | – | (1.0) | (1.0) | – | – | – |
| Sr Acctg Ofcr-Spec | – | (1.4) | (1.4) | – | – | – |
| Acctg Ofcr-Spec | – | (1.5) | (1.5) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–1.0) | (–1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (4.4) | (4.4) | – | – | – |
| Assoc Adm Analyst-Acctg | – | (1.0) | (1.0) | – | – | – |
| Hazardous Substance Engr | – | (2.0) | (2.0) | – | – | – |
| Mgt Svcs Techn | – | (–0.5) | (–0.5) | – | – | – |
| Ofc Techn-Typing | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Asst-Typing | – | (0.4) | (0.4) | – | – | – |
| Ofc Asst-Gen | – | (–0.5) | (–0.5) | – | – | – |
| Totals | – | – | – | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Science, Pollution Prevention and Environmental Technology: | | | | | | |
| Staff Toxicologist-Spec | – | – | –1.0 | $5,699–6,894 | – | – |
| Envirntl Biochemist | – | – | –1.0 | 5,441–6,577 | – | – |
| Sr Hazardous Substance Scientist | – | – | –1.0 | 4,846–5,851 | – | – |
| Hazardous Substance Scientist | – | – | –3.0 | 2,738–5,082 | – | – |
| Pub Hlth Chemist II | – | – | –1.0 | 3,915–4,724 | – | – |
| Pub Hlth Chemist I | – | – | –1.0 | 3,405–4,103 | – | – |
| Word Proc Techn | – | – | –1.0 | 2,029–2,648 | – | – |
| Site Mitigation: | | | | | | |
| Hazardous Substance Engr | – | – | –0.8 | 3,273–5,632 | – | – |
| Hazardous Substance Scientist | – | – | –3.0 | 2,738–5,082 | – | – |
| Staff Svcs Analyst-Gen | – | – | –1.0 | 2,507–3,957 | – | – |
| Ofc Techn-Typing | – | – | –0.2 | 2,348–2,855 | – | – |
| Word Proc Techn | – | – | –0.5 | 2,029–2,648 | – | – |
| Hazardous Waste Management: | | | | | | |
| Hazardous Substance Engr | – | – | –3.0 | 3,273–5,632 | – | – |
| Hazardous Substance Engrng Geologist | – | – | –1.0 | 3,273–5,365 | – | – |
| Hazardous Substance Scientist | – | – | –5.0 | 2,738–5,082 | – | – |
| Staff Svcs Analyst-Gen | – | – | –0.5 | 2,507–3,957 | – | – |
| Totals | – | – | –24.0 | – | – | – |
| Redirected Positions: | | | | | | |
| Executive Office: | | | | | | |
| Assoc Programmer Analyst-Spec | – | – | (–1.0) | 4,110–4,977 | – | – |
| Assoc Info Sys Analyst-Spec | – | – | (–1.0) | 4,110–4,977 | – | – |
| Staff Svcs Analyst-Gen | – | – | (–1.0) | 2,507–3,957 | – | – |
| Science, Pollution Prevention and Environmental Technology: | | | | | | |
| Sr Industrial Hygienist | – | – | (–1.0) | 5,013–6,050 | – | –$61 |
| Sr Industrial Hygienist | – | – | (1.0) | 5,013–6,050 | – | 61 |
| Sr Hazardous Substance Scientist | – | – | (2.5) | 4,846–5,851 | – | 135 |
| Hazardous Substance Engr | – | – | (–3.5) | 3,273–5,632 | – | –119 |
| Pub Participation Spec | – | – | (1.0) | 3,915–4,759 | – | 80 |
| Pub Hlth Chemist II | – | – | (–1.0) | 3,915–4,724 | – | –47 |
| Pub Hlth Chemist II | – | – | (1.0) | 3,915–4,724 | – | 47 |
| Office of Legal Counsel: | | | | | | |
| Hazardous Substance Scientist | – | – | (–1.0) | 2,738–5,082 | – | – |
| Special Investigator I | – | – | (–1.0) | 3,307–4,546 | – | – |
| Site Mitigation: | | | | | | |
| Supvng Hazardous Substance Engr I | – | – | – | 4,619–6,181 | – | 38 |
| Supvng Hazardous Substance Scientist I | – | – | (2.0) | 4,850–5,854 | – | 160 |
| Sr Hazardous Substance Scientist | – | – | (1.0) | 4,846–5,851 | – | 64 |
| Hazardous Substance Engr | – | – | (–3.0) | 3,273–5,632 | – | –145 |
| Hazardous Substance Engr | – | – | (1.0) | 3,273–5,632 | – | 52 |
| Hazardous Substance Engrng Geologist | – | – | (1.0) | 3,273–5,632 | – | 52 |

*** Dollars in thousands, except in Salary Range.**

## 3960 DEPARTMENT OF TOXIC SUBSTANCES CONTROL—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Hazardous Substance Engrng Geologist | – | – | (–2.0) | $3,273–5,365 | – | –$79 |
| Hazardous Substance Scientist | – | – | (–3.0) | 2,738–5,082 | – | –130 |
| Hazardous Substance Scientist | – | – | (2.0) | 2,738–5,082 | – | 97 |
| Assoc Govtl Prog Analyst | – | – | (1.0) | 3,915–4,759 | – | 52 |
| Word Proc Techn | – | – | (–2.0) | 2,029–2,648 | – | – |
| Ofc Asst-Typing | – | – | (2.0) | 2,029–2,465 | – | – |
| Hazardous Waste Management: | | | | | | |
| Hazardous Substance Engr | – | – | (–0.5) | 3,273–5,632 | – | – |
| Hazardous Substance Engrng Geologist | – | – | (–0.5) | 3,273–5,632 | – | – |
| Ofc Techn-Typing | – | – | (–0.5) | 2,348–2,855 | – | – |
| Ofc Techn-Typing | – | – | (0.5) | 2,348–2,855 | – | – |
| Ofc Asst-Typing | – | – | (1.0) | 2,029–2,465 | – | – |
| Office of External Affairs: | | | | | | |
| Pub Participation Spec | – | – | (–1.0) | 3,915–4,759 | – | – |
| Ofc Techn-Typing | – | – | (1.0) | 2,348–2,855 | – | – |
| Administrative Services: | | | | | | |
| Staff Svcs Mgr II | – | – | (–1.0) | 4,963–5,987 | – | – |
| Hlth Prog Auditor III | – | – | (–1.0) | 4,110–4,997 | – | – |
| Assoc Pers Analyst | – | – | (2.0) | 3,915–4,759 | – | – |
| Assoc Govtl Prog Analyst | – | – | (1.0) | 3,915–4,759 | – | – |
| Acctg Ofcr-Spec | – | – | (1.0) | 3,418–4,115 | – | – |
| Acctg Analyst | – | – | (–1.0) | 2,764–4,115 | – | – |
| Staff Svcs Analyst-Gen | – | – | (–1.0) | 2,507–3,957 | – | – |
| Staff Svcs Analyst-Gen | – | – | (1.0) | 2,507–3,957 | – | – |
| Pers Svcs Spec II | – | – | (2.0) | 2,978–3,619 | – | – |
| Accountant I-Spec | – | – | (1.0) | 2,554–3,104 | – | – |
| Ofc Techn-Typing | – | – | (2.0) | 2,348–2,855 | – | – |
| Ofc Techn-Typing | – | – | (–1.0) | 2,348–2,855 | – | – |
| Totals | – | – | – | – | – | $257 |
| Proposed New Positions: | | | | | | |
| Science, Pollution Prevention and Environmental Technology: | | | | | | |
| Assoc Industrial Hygienist | – | – | 2.0 | 4,414–5,321 | – | 116 |
| Administrative Services: | | | | | | |
| Staff Svcs Mgr I | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Assoc Govtl Prog Analyst | – | – | 3.0 | 3,915–4,759 | – | 155 |
| Assoc Pers Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Totals, Proposed New Positions | – | – | 8.0 | – | – | $414 |
| Total Adjustments | – | – | –27.0 | – | $24 | $151 |
| TOTALS, SALARIES AND WAGES | 894.0 | 1,198.3 | 1,168.3 | $50,848 | $64,441 | $65,961 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **40 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 12.18.STF Stringfellow Pretreatment Plant Site Procurement | – | – | $900 [Ag] |
| Purchase land for the development of a new pretreatment plant. | | | |
| Totals, Major Projects | – | – | $900 |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Transfer from State Operations, Item 3960-001-0001, Budget Acts of 1999 and 2000 per Item 3960-490, Budget Act of 2001 | – | – | $900 |
| TOTALS, EXPENDITURES (Capital Outlay) | – | – | $900 |

*** Dollars in thousands, except in Salary Range.**

# 3980 OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT

The Office of Environmental Health Hazard Assessment (OEHHA) protects and enhances public health and the environment by objective, scientific evaluation of risks posed by hazardous substances. OEHHA's primary role is as risk assessor for various programs under the California Environmental Protection Agency, as well as other State and local agencies. OEHHA provides these programs with the scientific tools and information upon which to base risk management decisions. Distinct programs within OEHHA focus on assessing the health risks from exposures to chemicals in air, water, food, consumer products, hazardous and municipal waste facilities, fish and shellfish, and sediments in bay and estuarine waters.

OEHHA strives to provide scientific leadership in developing guidelines, criteria, and risk assessment methodologies that will protect public health and the environment and form the basis of a unified scientific multimedia approach.

OEHHA uses an open public process, with internal and external review, to ensure that its work products are based upon the best available scientific approaches and information.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Health Risk Assessment | 81.8 | 137.2 | 133.4 | $11,390 | $17,183 | $17,819 |
| 10.50 Administration | 26.4 | 29.5 | 32.3 | 2,351 | 3,109 | 3,189 |
| 10.51 Distributed Administration | – | – | – | −2,351 | −3,109 | −3,189 |
| TOTALS, PROGRAMS | 108.2 | 166.7 | 165.7 | $11,390 | $17,183 | $17,819 |
| *0001 General Fund* | | | | *8,492* | *12,913* | *14,419* |
| *0140 California Environmental License Plate Fund* | | | | *757* | *791* | *808* |
| *0995 Reimbursements* | | | | *2,141* | *3,479* | *2,592* |

## 10 Health Risk Assessment

### Program Objectives Statement

OEHHA's primary objective, as articulated in its Strategic Plan, is to provide scientific leadership and tools that form the basis of a unified scientific approach to integrating health and environmental risks across all environmental exposure sources and media. In carrying out this objective, OEHHA identifies chemicals with the potential to cause adverse health effects; characterizes the hazards of these chemicals; quantifies risks and recommends health-based goals and standards; develops scientific policies and guidelines for hazard identification and risk assessment; provides oversight of regulatory activities and guidance on scientific aspects of environmental protection, including guidance on ecological risk assessments; and provides medical, scientific, and public health support, consultation, and training to state regulators, local governmental agencies, and the public. A key OEHHA mandate is to implement the Safe Drinking Water and Toxic Enforcement Act of 1986 (Proposition 65). Through the Registered Environmental Assessor program, OEHHA licenses individuals qualified to serve as a registered environmental assessor or a private site manager to oversee hazardous site cleanups. The program also serves to connect businesses with assessors who have a particular expertise to assist them with compliance on environmental regulations.

### Major Budget Adjustments Proposed for 2001–02

- A shift of $1,116,000 from Reimbursements from the Air Resources Board and the Water Resources Control Board to General Fund for risk assessments of toxic air contaminants and criteria air pollutants ($675,000), indoor air ($297,000), and coastal fish ($144,000).
- $834,000 General Fund and 6.0 positions to evaluate human health and environmental risks associated with various mixtures and components of gasoline.
- $300,000 General Fund and 1.0 position to maintain and update an environmental indicator system for California.
- $400,000 Reimbursements and 1.0 limited-term position to develop risk assessment guidelines for cleaning up illegal drug laboratory sites.
- A fund shift of $195,000 Reimbursements to General Fund plus an additional $62,000 General Fund to establish a centralized Business and Contract Services Unit.
- $100,000 General Fund (one-time) to contract for an assessment of exposures to and risk of consumption of hexavalent chromium in drinking water drawn from the San Fernando Basin aquifer, pursuant to Chapter 868, Statutes of 2000 (SB 2127).

### Authority

Business and Professions Code, Section 10084.1; Education Code, Sections 32064, 32065, 32066; Fish and Game Code, Sections 217.6, 7715; Food and Agricultural Code, Sections 405, 5029, 11454.1, 12798, 12798.4, 12798.6, 12980–12982, 13060, 13061, 13126, 13129, 13130.3, 13131.2, 13143, 13144, 13148, 13150, 14022, 14023; Government Code, Sections 6253, 8574.21, 8574.9, 11552, 12812; Health and Safety Code, Sections 901, 2950, 2950.1, 2952, 2952.1, 105200, 105205, 105215, 105220, 116365, 25249.13–25249.5, 25261, 25356.2, 25356.10, 25395.15, 25398.10–25398.12, 25416, 25531.2, 25543.1, 25543.3, 25570.3, 25886.5, 26205, 26505.5, 39606, 39660, 39661, 39668, 39670, 41982, 42315, 44343, 44360, 44361, 44362, 44380, 44380.5, 59000–59017; Labor Code, Section 50.8; Public Resources Code, Sections 6217, 21151.1, 25912, 36300, 42820, 42830, 42889, 71011, 71017, 72301; and Water Code, Sections 13177.5, 13177.6, 13392, 13392.5, 13393.5, 13395.5, 13396.5.

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 108.2 | 174.9 | 165.9 | $6,918 | $11,131 | $10,791 |
| Total Adjustments | – | – | 8.0 | – | 9 | 611 |
| Estimated Salary Savings | – | −8.2 | −8.2 | – | −554 | −567 |
| Net Totals, Salaries and Wages | 108.2 | 166.7 | 165.7 | $6,918 | $10,586 | $10,835 |
| Staff Benefits | – | – | – | 1,090 | 1,709 | 1,741 |
| Totals, Personal Services | 108.2 | 166.7 | 165.7 | $8,008 | $12,295 | $12,576 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 3980 OFFICE OF ENVIRONMENTAL HEALTH HAZARD ASSESSMENT—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| OPERATING EXPENSES AND EQUIPMENT | $3,382 | $4,888 | $5,243 |
| TOTALS, EXPENDITURES | $11,390 | $17,183 | $17,819 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $9,162 | $12,777 | $14,419 |
| Allocation for employee compensation | 478 | 25 | – |
| Adjustment per Section 3.60 | −389 | −89 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Prior year balances available: | | | |
| Item 3980-001-0001, Budget Act of 1999 as reappropriated by Item 3980-490, Budget Act of 2000 | – | 200 | – |
| Totals Available | $9,253 | $12,913 | $14,419 |
| Balance available in subsequent years | −200 | – | – |
| Unexpended balance, estimated savings | −561 | – | – |
| TOTALS, EXPENDITURES | $8,492 | $12,913 | $14,419 |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $759 | $793 | $808 |
| Allocation for employee compensation | 50 | 1 | – |
| Adjustment per Section 3.60 | −28 | −3 | – |
| Totals Available | $781 | $791 | $808 |
| Unexpended balance, estimated savings | −24 | – | – |
| TOTALS, EXPENDITURES | $757 | $791 | $808 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $2,141 | $3,479 | $2,592 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $11,390 | $17,183 | $17,819 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 108.2 | 174.9 | 165.9 | $6,918 | $11,131 | $10,791 |
| Salary adjustments | – | – | – | – | 9 | 11 |
| Totals, Adjusted Authorized Positions | 108.2 | 174.9 | 165.9 | $6,918 | $11,140 | $10,802 |
| Proposed New Positions: | | | | Salary Range | | |
| Pub Hlth Med Ofcr II | – | – | 1.0 | 7,752–10,165 | – | 108 |
| Research Scientist III | – | – | 1.0 | 5,703–6,899 | – | 76 |
| Staff Toxicologist [1] | – | – | 2.0 | 5,699–6,894 | – | 151 |
| Sr Hazardous Substance Scientist | – | – | 2.0 | 4,846–5,851 | – | 128 |
| Research Scientist II | – | – | 2.0 | 4,724–5,699 | – | 125 |
| Overtime | – | – | – | – | – | 12 |
| Totals, Proposed New Positions | – | – | 8.0 | – | – | $600 |
| Total Adjustments | – | – | 8.0 | – | $9 | $611 |
| TOTALS, SALARIES AND WAGES | 108.2 | 174.9 | 173.9 | $6,918 | $11,140 | $11,402 |

[1] 1.0 position limited to 6/30/03.

*** Dollars in thousands, except in Salary Range.**

# TABLE OF CONTENTS

## HEALTH AND HUMAN SERVICES


State Council on Developmental Disabilities ..... HHS 1
Area Boards on Developmental Disabilities ..... HHS 2
Emergency Medical Services Authority ..... HHS 3
California Health and Human Services Agency Data Center ..... HHS 7
Office of Statewide Health Planning and Development ..... HHS 10
Department of Aging ..... HHS 17
Commission on Aging ..... HHS 24
Department of Alcohol and Drug Programs ..... HHS 26
Child Development Policy Advisory Committee ..... HHS 32
California Children and Families Commission ..... HHS 33
Department of Health Services ..... HHS 38
California Medical Assistance Commission ..... HHS 88
Managed Risk Medical Insurance Board ..... HHS 89
Department of Developmental Services ..... HHS 96
Department of Mental Health ..... HHS 107
Department of Community Services and Development ..... HHS 118
Employment Development Department ..... HHS 121
California Workforce Investment Board ..... HHS 135
Department of Rehabilitation ..... HHS 136
State Independent Living Council ..... HHS 143
Department of Child Support Services ..... HHS 144
Department of Social Services ..... HHS 148
State-Local Realignment ..... HHS 169

# 4100 STATE COUNCIL ON DEVELOPMENTAL DISABILITIES

The nineteen-member California State Council on Developmental Disabilities (Council) is a federally-funded independent state agency established in federal and state law to assist in planning, coordinating, monitoring and evaluating services for individuals with developmental disabilities and their families.

Federal law requires the Council to identify ways to improve and increase services for individuals and their families, and to submit these to the federal government as part of a State Plan. The Council's central charge under California law is to aid coordination among the numerous and varied elements of California's developmental disabilities service system, and to facilitate full implementation of the federal Developmental Disabilities Assistance and Bill of Rights Act and California's Lanterman Act.

The Council's programs and activities serve individuals who meet the federal definition of developmental disabilities, which includes persons whose disability:

(1) Occurs before age 22;
(2) Includes a mental or physical impairment or a combination of both; and
(3) Causes a substantial limitation in three or more of the following major life areas: self-care, expressive or receptive language, learning, mobility, capacity for independent living, economic self-sufficiency, or self-direction.

## Authority

Welfare and Institutions Code, Division 4.5, commencing with Section 4520.
Developmental Disabilities Assistance and Bill of Rights Act (42 USC 6000).

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 State Council Planning and Operations | 8.6 | 12.8 | 12.8 | $1,240 | $1,149 | $1,153 |
| 20 Community Program Development | – | – | – | 1,426 | 1,318 | 1,318 |
| 30 Allocation to Area Boards | – | – | – | 3,315 | 3,108 | 3,108 |
| TOTALS, PROGRAMS | 8.6 | 12.8 | 12.8 | $5,981 | $5,575 | $5,579 |
| *0890 Federal Trust Fund* | – | – | – | *5,981* | *5,575* | *5,579* |

## 10 STATE COUNCIL PLANNING AND OPERATIONS

### Program Objectives Statement

The Council and its staff are responsible for developing and implementing a periodic State Plan containing goals, objectives, activities, and projected outcomes designed to improve and enhance the availability and quality of services and support to individuals with developmental disabilities and their families. The Council coordinates, monitors, and evaluates the California service system. The State Council identifies and reports annual outcomes to the federal government.

## 20 COMMUNITY PROGRAM DEVELOPMENT

### Program Objectives Statement

Federal funds received by the Council are used to fund community program development projects that implement State Plan objectives and improve and enhance services for individuals with developmental disabilities and their families.

## 30 ALLOCATION TO AREA BOARDS

### Program Objectives Statement

The Council contracts with the thirteen Area Boards on Developmental Disabilities to assist with local implementation of State Plan-related activities through local Plans of Action approved by the Council. California State law imposes additional duties on the Area Boards. Each Area Board is an independent state agency responsibile for a defined geographic region within California. Area Boards report local outcomes to the Council for inclusion in reports to the federal government.

---

## SUMMARY BY OBJECT
## 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 8.6 | 13.5 | 13.5 | $530 | $701 | $713 |
| Total Adjustments | – | – | – | – | 5 | 9 |
| Estimated Salary Savings | – | –0.7 | –0.7 | – | –33 | –34 |
| Net Totals, Salaries and Wages | 8.6 | 12.8 | 12.8 | $530 | $673 | $688 |
| Staff Benefits | – | – | – | 103 | 133 | 133 |
| Totals, Personal Services | 8.6 | 12.8 | 12.8 | $633 | $806 | $821 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $607 | $343 | $332 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Community program development | | | | 1,426 | 1,318 | 1,318 |
| Allocation to area boards | | | | 3,315 | 3,108 | 3,108 |
| TOTALS, EXPENDITURES | | | | $5,981 | $5,575 | $5,579 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4100 STATE COUNCIL ON DEVELOPMENTAL DISABILITIES—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $6,033 | $5,577 | $5,579 |
| Allocation for employee compensation | – | 5 | – |
| Adjustment per Section 3.60 | – | –7 | – |
| Budget adjustments | –52 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $5,981 | $5,575 | $5,579 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 8.6 | 13.5 | 13.5 | $530 | $701 | $713 |
| Salary adjustments | – | – | – | – | 5 | 9 |
| Totals, Adjusted Authorized Positions | 8.6 | 13.5 | 13.5 | $530 | $706 | $722 |
| Total Adjustments | – | – | – | – | $5 | $9 |
| TOTALS, SALARIES AND WAGES | 8.6 | 13.5 | 13.5 | $530 | $706 | $722 |

## 4110 AREA BOARDS ON DEVELOPMENTAL DISABILITIES

The mission of the Area Boards on Developmental Disabilities is to protect and advocate for the legal, civil, and service rights of persons with developmental disabilities. The thirteen Area Boards are responsible for monitoring and coordinating these activities. An Organization of Area Boards, in Sacramento, resolves common problems, improves coordination and promotes exchange of information. Voting membership is composed of volunteers appointed by the Governor and by the governing body of each county in the area served. The Area Boards also review the policies and practices of publicly funded agencies; encourage and assist in establishing citizen advocacy organizations; encourage the development of needed services; coordinate services to prevent duplication, fragmentation, and unnecessary expenditures; conduct life quality assessments; and assist the State Council on Developmental Disabilities in preparation of the State Plan.

The Area Boards are supported by federal grant funds allocated by the State Council on Developmental Disabilities pursuant to Public Law 103-230. A memorandum of understanding with the State Council requires each of the Area Boards to meet specific performance objectives. The Area Boards also receive funds through contract with the Department of Developmental Services to provide various services to persons with developmental disabilities.

### Major Budget Adjustment Included in 2000–01

- $84,000 (reimbursements) and 1.0 position (0.6 personnel year) to expand volunteer advocacy assistance to residents at the Sierra Vista and Canyon Springs facilities.

### Major Budget Adjustments Proposed for 2001–02

- $113,000 (reimbursements) and 1.0 position (0.9 personnel year) to continue the volunteer advocacy assistance to residents at the Sierra Vista and Canyon Springs facilities.
- $887,000 (reimbursements) and 12.0 positions (11.4 personnel years) to provide clients' rights advocacy services for persons with developmental disabilities.
- $347,000 (reimbursements) and 8.8 positions (8.4 personnel years) to conduct life quality assessments on persons with developmental disabilities.
- $140,000 (General Fund) reduction due to expiring one-time funding for development of cost allocation plans for the 13 Area Boards and the Organization of Area Boards.

### Authority

Welfare and Institutions Code Section 4570 et seq.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Area Board Services | 78.3 | 87.8 | 92.6 | $7,271 | $7,810 | $8,049 |
| *0001 General Fund* | | | | – | *140* | – |
| *0995 Reimbursements* | | | | *7,271* | *7,670* | *8,049* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4110 AREA BOARDS ON DEVELOPMENTAL DISABILITIES—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 78.3 | 93.5 | 79.8 | $3,754 | $4,418 | $4,026 |
| Total Adjustments | – | 2.5 | 21.8 | – | 88 | 899 |
| Estimated Salary Savings | – | –8.2 | –9.0 | – | –400 | –494 |
| Net Totals, Salaries and Wages | 78.3 | 87.8 | 92.6 | $3,754 | $4,106 | $4,431 |
| Staff Benefits | – | – | – | 675 | 884 | 940 |
| Totals, Personal Services | 78.3 | 87.8 | 92.6 | $4,429 | $4,990 | $5,371 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,842 | $2,820 | $2,678 |
| TOTALS, EXPENDITURES | | | | $7,271 | $7,810 | $8,049 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

| 0001 General Fund | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $140 | – |
| **0995 Reimbursements** | | | |
| Reimbursements | $7,271 | $7,670 | $8,049 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $7,271 | $7,810 | $8,049 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 78.3 | 93.5 | 79.8 | $3,754 | $4,418 | $4,026 |
| Salary adjustments | – | – | – | – | 9 | 35 |
| Totals, Adjusted Authorized Positions | 78.3 | 93.5 | 79.8 | $3,754 | $4,427 | $4,061 |
| Proposed New Positions: | | | | Salary Range | | |
| Staff Counsel (Range B) | – | – | 1.0 | (4,205–4,616) | – | 53 |
| Community Prog Spec II | – | 2.0 | 11.2 | (3,915–4,899) | 79 | 570 |
| Ofc Asst-Typing | – | 0.5 | 7.1 | (1,908–2,319) | – | 171 |
| Ofc Techn-Typing | – | – | 2.5 | (2,258–2,855) | – | 70 |
| Totals, Proposed New Positions | – | 2.5 | 21.8 | – | $79 | $864 |
| Totals, Adjustments | – | 2.5 | 21.8 | – | $88 | $899 |
| TOTALS, SALARIES AND WAGES | 78.3 | 96.0 | 101.6 | $3,754 | $4,506 | $4,925 |

## 4120 EMERGENCY MEDICAL SERVICES AUTHORITY

The Emergency Medical Services Authority (EMSA) coordinates emergency medical services statewide; develops guidelines for local emergency medical service (EMS) systems; regulates the education, training, and certification of EMS personnel; and coordinates the State's medical response to any disaster.

The EMSA receives and distributes federal Prevention 2000 Grant funding (nationally known as the Federal Preventive Health and Health Services Block Grant) to develop and enhance local EMS systems. The EMSA also provides funds to poison control centers to allow health professionals to provide immediate advice to the public on preventing and caring for poison exposures.

The overall responsibilities and goals of the EMSA are to:

- Assess statewide needs, effectiveness, and coordination of EMS systems;
- Review and approve local EMS plans;
- Coordinate medical and hospital disaster preparedness and response, and assist the Office of Emergency Services in the preparation of the medical component of the State Emergency Plan;
- Establish standards for the education, training, and licensing of specified emergency medical care personnel;
- Establish standards for designating and monitoring poison control centers;
- License EMS paramedics and conduct disciplinary investigations as necessary;
- Develop standards for pediatric first aid and CPR training programs for child care providers; and
- Develop standards for emergency medical dispatcher training for the 9-1-1 emergency telephone system.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4120 EMERGENCY MEDICAL SERVICES AUTHORITY—Continued

### Major Budget Adjustments Proposed for 2001–02

- An increase of $120,000 (General Fund) and 1.5 positions to permanently continue the Emergency Medical Services for Children Program.
- An increase of $200,000 (General Fund) to continue providing site support and training to the Disaster Medical Assistance Team.
- An increase of $157,000 (Special Fund) to support the annual review of the Pediatric first aid, CPR, and Preventive Health Training programs and to work on the special needs components of the Child Care program.
- An increase of $239,000 (Reimbursements) and 1.5 limited-term positions from an Office of Traffic Safety Grant to continue the implementation of a pilot EMS Communication System that will interface with all EMS service providers and local public safety agencies.

### Authority

Health and Safety Code, Division 2.5.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Emergency Medical Services Authority | 36.6 | 48.5 | 43.9 | $12,820 | $14,872 | $13,909 |
| *0001 General Fund* | | | | *9,202* | *9,102* | *9,312* |
| *0194 Emergency Medical Services Training Program Approval Fund* | | | | *23* | *199* | *358* |
| *0312 Emergency Medical Services Personnel Fund* | | | | *706* | *811* | *790* |
| *0890 Federal Trust Fund* | | | | *2,698* | *3,479* | *3,210* |
| *0995 Reimbursements* | | | | *191* | *1,281* | *239* |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS | | | | | | |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
| Authorized Positions (Equals Sch. 7A) | 36.6 | 43.2 | 41.7 | $1,880 | $2,330 | $2,332 |
| Total Adjustments | – | 6.0 | 3.0 | – | 310 | 160 |
| Estimated Salary Savings | – | –0.7 | –0.8 | – | –23 | –23 |
| Net Totals, Salaries and Wages | 36.6 | 48.5 | 43.9 | $1,880 | $2,617 | $2,469 |
| Staff Benefits | – | – | – | 340 | 478 | 412 |
| Totals, Personal Services | 36.6 | 48.5 | 43.9 | $2,220 | $3,095 | $2,881 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,779 | $2,486 | $1,737 |
| TOTALS, EXPENDITURES | | | | $3,999 | $5,581 | $4,618 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,841 | $1,906 | $2,105 |
| Allocation for employee compensation | 108 | 8 | – |
| Allocation for Year 2000 per Item 9904-001-0001 | 168 | – | – |
| Adjustment per Section 3.60 | –78 | –19 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $2,040 | $1,895 | $2,105 |
| Unexpended balance, estimated savings | –45 | – | – |
| TOTALS, EXPENDITURES | $1,995 | $1,895 | $2,105 |

### 0194 Emergency Medical Services Training Program Approval Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $23 | $200 | $358 |
| Allocation for employee compensation | 3 | – | – |
| Adjustment per Section 3.60 | –2 | –1 | – |
| Totals Available | $24 | $199 | $358 |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | $23 | $199 | $358 |

*** Dollars in thousands, except in Salary Range.**

## 4120 EMERGENCY MEDICAL SERVICES AUTHORITY—Continued

### 0312 Emergency Medical Services Personnel Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $768 | $798 | $790 |
| Allocation for employee compensation | 27 | 17 | – |
| Adjustment per Section 3.60 | −18 | −4 | – |
| Totals Available | $777 | $811 | $790 |
| Unexpended balance, estimated savings | −71 | – | – |
| TOTALS, EXPENDITURES | $706 | $811 | $790 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,027 | $1,122 | $1,126 |
| Allocation for employee compensation | 55 | 4 | – |
| Adjustment per Section 3.60 | −36 | −6 | – |
| Budget adjustment | 38 | 275 | – |
| TOTALS, EXPENDITURES | $1,084 | $1,395 | $1,126 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $191 | $1,281 | $239 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,999 | $5,581 | $4,618 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $8,821 | $9,291 | $9,291 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriations | $7,207 | $7,207 | $7,207 |
| Transfer to Item 4260-101-0693 per Provision 2 (Poison Control Program) | (2,500) | (3,000) | – |
| Regional EMS Grants | (2,447) | (2,447) | (2,447) |
| Disaster EMS Grants | (760) | (760) | (760) |
| Poison Control Center Grants | (1,500) | (1,000) | (4,000) |
| TOTALS, EXPENDITURES | $7,207 | $7,207 | $7,207 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $2,084 | $2,084 | $2,084 |
| Budget adjustment | −470 | – | – |
| TOTALS, EXPENDITURES | $1,614 | $2,084 | $2,084 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $8,821 | $9,291 | $9,291 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $12,820 | $14,872 | $13,909 |

---

### FUND CONDITION STATEMENT
### 0194 Emergency Medical Services Training Program Approval Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $8 | $218 | $264 |
| Prior year adjustments | −1 | – | – |
| Balance, Adjusted | $7 | $218 | $264 |

*** Dollars in thousands, except in Salary Range.**

## 4120 EMERGENCY MEDICAL SERVICES AUTHORITY—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $229 | $240 | $240 |
| 150300 Income from surplus money investments | 5 | 5 | 5 |
| Totals, Revenues and Transfers | $234 | $245 | $245 |
| Totals, Resources | $241 | $463 | $509 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4120 Emergency Medical Services Authority (State Operations) | 23 | 199 | 358 |
| FUND BALANCE | $218 | $264 | $151 |
| Reserve for economic uncertainties | 218 | 264 | 151 |

### 0312 Emergency Medical Services Personnel Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $35 | $124 | $130 |
| Prior year adjustments | 2 | – | – |
| Balance, Adjusted | $37 | $124 | $130 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 768 | 802 | 829 |
| 131600 Fingerprint identification card fees | 14 | 6 | 6 |
| 142500 Miscellaneous service to the public | 2 | – | – |
| 150300 Income from surplus money investments | 9 | 9 | 9 |
| Totals, Revenues and Transfers | $793 | $817 | $844 |
| Totals, Resources | $830 | $941 | $974 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4120 Emergency Medical Services Authority (State Operations) | 706 | 811 | 790 |
| FUND BALANCE | $124 | $130 | $184 |
| Reserve for economic uncertainties | 124 | 130 | 184 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 36.6 | 43.2 | 41.7 | $1,880 | $2,330 | $2,332 |
| Salary adjustments | – | – | – | – | 4 | 6 |
| Totals, Adjusted Authorized Positions | 36.6 | 43.2 | 41.7 | $1,880 | $2,334 | $2,338 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst [1] | – | 1.0 | – | 4,520–5,453 | 54 | – |
| Hlth Prog Spec I [1] | – | 1.0 | – | 4,301–5,228 | 63 | – |
| Assoc Info Sys Analyst [1] | – | 1.0 | – | 4,110–4,997 | 60 | – |
| Staff Svcs Analyst-Gen [1] | – | 1.0 | – | 4,110–4,997 | 60 | – |
| Ofc Techn [1] | – | 2.0 | – | 2,348–2,855 | 69 | – |
| Totals, Workload and Administrative Adjustments | – | 6.0 | – | – | $306 | – |
| Proposed New Positions: | | | | | | |
| Hlth Prog Spec I | – | – | 1.0 | 4,301–5,228 | – | 63 |
| Assoc Govtl Prog Analyst [2] | – | – | 1.0 | 3,915–4,759 | – | 57 |
| Ofc Techn [3] | – | – | 1.0 | 2,348–2,855 | – | 34 |
| Totals, Proposed New Positions | – | – | 3.0 | – | – | $154 |
| Total Adjustments | – | 6.0 | 3.0 | – | $310 | $160 |
| TOTALS, SALARIES AND WAGES | 36.6 | 49.2 | 44.7 | $1,880 | $2,640 | $2,492 |

[1] Positions limited to 6/30/01.
[2] Position limited to 9/30/04.
[3] 0.5 position limited to 9/30/04.

*** Dollars in thousands, except in Salary Range.**

# 4130 CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY DATA CENTER

The California Health and Human Services Agency Data Center is one of three consolidated data centers in State government. Its primary objectives include:

(1) Ensuring effective, efficient, and economical use of agency electronic data processing (EDP) resources by providing services at reduced cost, eliminating unnecessary duplication, and ensuring optimum use.

(2) Ensuring EDP resources are available to meet Agency needs by providing appropriate computer capability and capacity.

(3) Promoting appropriate use of EDP resources to assist in achieving Agency goals and objectives by identifying potential EDP-related applications and by formulating and recommending policies on the appropriate use of EDP in the Agency.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Facilities Operations | 217.5 | – | – | $89,264 | – | – |
| 20 Administration | 62.8 | – | – | 17,227 | – | – |
| 25 Operations | – | 330.9 | 322.5 | – | $142,830 | $142,389 |
| 30 Systems Management Services | 110.6 | 143.9 | 138.1 | 122,529 | 143,888 | 163,742 |
| TOTALS, PROGRAMS | 390.9 | 474.8 | 460.6 | $229,020 | $286,718 | $306,131 |
| *0632 California Health and Human Services Agency Data Center Revolving Fund* | | | | *229,020* | *286,718* | *306,131* |

## 25 OPERATIONS

### Program Objectives Statement

The Operations program is comprised of the following major elements:

(1) Operations—Includes functions related to the operation of the Data Center's central computer processors and attached peripheral equipment. The equipment operates to meet user schedules. Normal operations are 24 hours-a-day, seven days-a-week.

(2) Software Support—Includes maintaining the software operating systems for the computers, maintaining software for the on-line inquiry systems, and installing and implementing proprietary software packages. Also included are monitoring and adjusting the computer system's configuration to achieve optimal performance and efficiency, and ensuring adequate hardware and software resources are available to meet current and projected needs of user departments. In addition, advice and consultation are provided to user departments regarding software systems, hardware service requests, workload, and resource utilization.

(3) Telecommunications—Includes delivering client information through the most efficient and cost-effective data communications network available. Telecommunications designs and maintains the network to meet current and projected requirements of user departments and maintains software for the entire telecommunications system.

(4) Information Systems—Includes investigating emerging technologies and consulting with the Department of Information Technology to determine if these technologies could be applied to the State's business problems and/or opportunities.

This program also provides the following administrative services to support the Data Center programs: training, budgeting, accounting, cost recovery, liaison, contracts administration, procurement of hardware and software, general business services, planning, and personnel.

### Major Budget Adjustments Proposed for 2001–02

- –$6.9 million for adjustments to the funding for projects budgeted in prior years.
- $7.5 million for additional infrastructure (equipment) due to an increase in workload.
- $91,000 and 1.0 (0.9 personnel year) position for increased infrastructure workload.
- $780,000 for additional client dedicated services due to an increase in workload.
- $290,000 for the Statewide Voucher Program due to an increase in workload.

### Authority

Government Code Sections 11753 and 11755.

## 30 SYSTEMS MANAGEMENT SERVICES

### Program Objectives Statement

Currently, this program administers five automation projects for the Department of Social Services. Funding for these projects is within the Department of Social Services, Program 16.30.030—CalWORKs Administration, Program 16.85—Automation Projects, Program 25.15.010—IHSS Services, and Program 25.25.010—Child Welfare Services in 2000–01 and 2001–02. These projects include, but are not limited to:

(1) Child Welfare Services/Case Management System (CWS/CMS)—The CWS/CMS is a State and federally-required comprehensive statewide database, case management tool, and reporting system for the Child Welfare Services Program.

(2) Statewide Automated Welfare System (SAWS)—The SAWS provides automated eligibility determination and benefit computation, case management, and information management for the California Work Opportunity and Responsibility to Kids (CalWORKs), Foster Care, Food Stamp, Medi-Cal, Refugee Assistance, and County Medical Services programs. The SAWS strategy permits four separate systems for statewide welfare automation: Interim SAWS (ISAWS) is comprised of 35 counties; Los Angeles County is the sole participant in the Los Angeles Eligibility, Automated Determination, Evaluation and Reporting System (LEADER); the Welfare Client Data System (WCDS) includes 18 counties; and four counties form Consortium IV. The SAWS Welfare Data Tracking Implementation (WDTIP) Project will enable the four consortia to electronically exchange welfare-related time limits tracking data. The Welfare Reform and Infrastructure Modification (WRIM) project provides for major application changes resulting from State and federal welfare reform legislation and infrastructure modifications that could not be accomplished within the ongoing ISAWS Consortium maintenance and operations budget.

(3) Statewide Fingerprint Imaging System (SFIS)—The SFIS is a database system which will automate the collection, interpretation, and storage of fingerprints of those applying for public benefits in order to reduce CalWORKs and Food Stamp fraud.

(4) Electronic Benefit Transfer (EBT)—The EBT system is one which will use electronic fund transfer, automated teller machines, and point-of-sale technology for the delivery and control of Food Stamp and CalWORKs benefits.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4130 CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY DATA CENTER—Continued

(5) Case Management, Information, and Payrolling System (CMIPS)—The CMIPS processes eligibility determinations of In-Home Supportive Services (IHSS) applicants; provides case management services for recipients; provides payroll services for individual providers; and produces reports for program management.

### Major Budget Adjustments Proposed for 2001–02

- –$6.8 million for adjustments to the funding for projects budgeted in prior years.
- –$2.2 million for the WDTIP project due to the transition to the maintenance and operations phase.
- –$4.4 million for completion of the SFIS project implementation and the transition to the maintenance and operations phase.
- $104,000 and 0.5 (0.5 personnel year) position for additional workload and increased contract costs of the EBT project.
- $20.5 million due to changes in information technology, contract extension costs, and county technical support for CWS/CMS maintenance and operations.
- $244,000 and 1.0 (0.9 personnel year) position for SAWS Consortia Planning and Management for increased oversight activities.
- $3.2 million and a decrease of 1.0 (0.9 personnel year) position due to increased maintenance hours and related contract staff for ISAWS maintenance and operations.
- $309,000 and 3.5 (3.3 personnel years) positions for the extension of limited-term CMIPS staff.
- $460,000 and 3.0 (2.8 personnel years) positions to fund staffing and consulting costs for the assessment/planning/procurement phase for CalSERV.
- $508,000 and 1.0 (0.9 personnel year) position to design, develop, and implement an expanded adoption system for the CWS/CMS Expanded Adoption Subsystem project.
- $7.6 million for server hardware, operating system, and support software for the CWS/CMS Application Server Replacement project.
- $309,000 for modifications to the ISAWS WRIM project.

### Authority

Government Code Sections 11753 and 11755.

---

## PROGRAM BUDGET DETAIL

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 FACILITIES OPERATIONS** | | | |
| *0632 California Health and Human Services Agency Data Center Revolving Fund* | *$89,264* | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **20 ADMINISTRATION** | | | |
| *0632 California Health and Human Services Agency Data Center Revolving Fund* | *$17,227* | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **25 OPERATIONS** | | | |
| *0632 California Health and Human Services Agency Data Center Revolving Fund* | – | *$142,830* | *$142,389* |
| **PROGRAM REQUIREMENTS** | | | |
| **30 SYSTEMS MANAGEMENT SERVICES** | | | |
| *0632 California Health and Human Services Agency Data Center Revolving Fund* | *$122,529* | *$143,888* | *$163,742* |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 390.9 | 550.4 | 547.4 | $22,409 | $31,690 | $32,440 |
| Total Adjustments | – | 1.6 | 2.0 | – | 240 | 988 |
| Estimated Salary Savings | – | –77.2 | –88.8 | – | –4,461 | –5,402 |
| Net Totals, Salaries and Wages | 390.9 | 474.8 | 460.6 | $22,409 | $27,469 | $28,026 |
| Staff Benefits | – | – | – | 3,705 | 4,820 | 4,971 |
| Totals, Personal Services | 390.9 | 474.8 | 460.6 | $26,114 | $32,289 | $32,997 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $202,906 | $254,429 | $273,134 |
| TOTALS, EXPENDITURES | | | | $229,020 | $286,718 | $306,131 |

*** Dollars in thousands, except in Salary Range.**

## 4130 CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY DATA CENTER—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0632 California Health and Human Services Agency Data Center Revolving Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $282,798 | $286,786 | $306,131 |
| Allocation for employee compensation | 2,404 | 195 | – |
| Adjustment per Section 3.60 | −1,722 | −262 | – |
| Adjustment per Section 16.00 | 24 | – | – |
| Increased expenditure authority per Item 4130-001-0632, Provision 1 | 1,332 | – | – |
| Transfer to Legislative Claims (9670) | – | −1 | – |
| Totals Available | $284,836 | $286,718 | $306,131 |
| Unexpended balance, estimated savings | −55,816 | – | – |
| TOTALS, EXPENDITURES | $229,020 | $286,718 | $306,131 |
| TOTALS, EXPENDITURES (State Operations) | $229,020 | $286,718 | $306,131 |

### FUND CONDITION STATEMENT
### 0632 California Health and Human Services Agency Data Center Revolving Fund [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $26 | $26 | $26 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299000 Other (income from operations) | 229,020 | 286,718 | 306,131 |
| Totals, Resources | $229,046 | $286,744 | $306,157 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4130 California Health and Human Services Agency Data Center (State Operations) | 229,020 | 286,718 | 306,131 |
| FUND BALANCE | $26 | $26 | $26 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 390.9 | 550.4 | 547.4 | $22,409 | $31,690 | $32,440 |
| Salary adjustments | – | – | – | – | 183 | 423 |
| Totals, Adjusted Authorized Positions | 390.9 | 550.4 | 547.4 | $22,409 | $31,873 | $32,863 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Info Techn Spec II | – | – | −5.0 | – | – | – |
| Info Techn Spec I, Rg D | – | – | −2.0 | – | – | – |
| Totals, Workload and Administrative Adjustments | – | – | −7.0 | – | – | – |
| Proposed New Positions: | | | | | | |
| Program 25—Operations: | | | | | | |
| Info Techn Spec I, Rg E | – | – | 1.0 | 4,969–5,479 | – | 60 |
| Program 30—Systems Management Services: | | | | | | |
| HHSDC Mgr [1] | – | – | 1.5 | 6,334–6,651 | – | 117 |
| Info Techn Spec II | – | 1.0 | 2.5 | 5,457–6,014 | 44 | 163 |
| HHSDC Supvr IV | – | – | 1.0 | 5,472–5,987 | – | 66 |
| Staff Svcs Mgr I [1] | – | – | 0.5 | 4,983–5,453 | – | 31 |
| Info Techn Spec I, Rg D [1] | – | – | 1.5 | 4,532–4,997 | – | 77 |

*** Dollars in thousands, except in Salary Range.**

## 4130 CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY DATA CENTER—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Admin Svcs Spec, Rg D [1] | – | – | 0.5 | $4,316–4,759 | – | $31 |
| Ofc Techn [1] | – | – | 0.5 | 2,590–2,855 | – | 20 |
| Temporary Help | – | 0.6 | – | – | $13 | – |
| Totals, Proposed New Positions | – | 1.6 | 9.0 | – | $57 | $565 |
| Total Adjustments | – | 1.6 | 2.0 | – | $240 | $988 |
| TOTALS, SALARIES AND WAGES | 390.9 | 552.0 | 549.4 | $22,409 | $31,930 | $33,428 |

[1] Partial-year positions are effective 1/1/02.

# 4140 OFFICE OF STATEWIDE HEALTH PLANNING AND DEVELOPMENT

The Office of Statewide Health Planning and Development (OSHPD) develops policies, plans, and programs to assist health care systems in meeting current and future health needs of the people of California by ensuring the ongoing safety of health care facilities, evaluating the ability of health care facilities to provide continued operation and necessary health services in the event of a disaster, and improving the overall delivery and accessibility of health care in the State.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Health Policy and Analysis | 29.9 | 36.1 | 34.7 | $6,590 | $8,929 | $8,647 |
| 30 Health Professions Development | 18.6 | 20.2 | 19.8 | 9,853 | 11,115 | 10,432 |
| 42 Facilities Development | 150.5 | 174.3 | 175.4 | 18,760 | 22,223 | 22,086 |
| 45 Cal-Mortgage Loan Insurance | 19.8 | 24.6 | 24.6 | 34,821 | 5,593 | 5,011 |
| 60 Healthcare Information | 73.3 | 66.5 | 68.4 | 10,985 | 11,754 | 10,490 |
| 80.01 Administration | 88.6 | 91.7 | 93.4 | 9,502 | 10,168 | 8,569 |
| 80.02 Distributed Administration | – | – | – | –9,078 | –9,777 | –8,228 |
| TOTALS, PROGRAMS | 380.7 | 413.4 | 416.3 | $81,433 | $60,005 | $57,007 |
| *0001 General Fund* | | | | *8,730* | *9,288* | *9,087* |
| *0121 Hospital Building Fund* | | | | *18,286* | *20,333* | *20,207* |
| *0143 California Health Data and Planning Fund* | | | | *14,230* | *15,788* | *14,264* |
| *0181 Registered Nurse Education Fund* | | | | *715* | *765* | *759* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | | | | *1,032* | *1,047* | *1,047* |
| *0518 Health Facility Construction Loan Insurance Fund (California-Mortgage Loan Insurance)* | | | | *35,160* | *6,001* | *5,421* |
| *0829 Health Professions Education Fund* | | | | *129* | *443* | *442* |
| *0890 Federal Trust Fund* | | | | *1,273* | *1,498* | *1,498* |
| *0995 Reimbursements* | | | | *1,878* | *4,842* | *4,282* |

## 10 HEALTH POLICY AND ANALYSIS

### Program Objectives Statement

The Health Policy and Analysis program is responsible for analyzing health care costs and quality, making policy recommendations for future health-related needs, and conducting health policy research activities in the development of statewide health policy. The OSHPD produces an annual report of hospital outcomes; analyzes and makes recommendations on specific issues affecting the cost or quality of health care; monitors and projects the need for health facilities, services, and professionals; recommends areas or populations for federal designation as medically underserved; and provides support for the Cal-Mortgage Loan Insurance program.

The California Health Policy and Data Advisory Commission advises OSHPD on the collection and reporting of health facility data, public access to data, regulations, risk-adjusted outcome studies, and health planning issues. The commission holds hearings for health facilities appealing fines for delinquent data submission and for applicants of the Cal-Mortgage Loan Insurance program, and makes recommendations to the Director.

### Major Budget Adjustments Proposed for 2001–02

- A redirection of $298,000 (California Health Data and Planning Fund) to make permanent 4.0 positions and continue the in-house implementation of the California Hospital Outcomes Project.
- A one-time increase of $3,000,000 (General Fund) to continue the Rural Health Development Capital Grants Program for one year.
- A one-time increase of $1,047,000 (Proposition 99 funds) to continue the Rural Health Development Small Grants Program for one year.

### Authority

Health and Safety Code Sections 127000, 127125 et seq., 127155, 127340–127360, 128695, 128725, 128745, 128750, 128755, 129005, 129010, 129100, and 129460.

## 30 HEALTH PROFESSIONS DEVELOPMENT

### Program Objectives Statement

The Health Professions Development Program supports development and expansion of primary care and allied health training throughout the state and promotes recruitment of students into health professions. It includes the following four program areas:

- Health Manpower Pilot Projects Program—provides information and recommendations for future health manpower and training needs in California. Under this program, trainees in approved projects are exempted from other provisions of law, such as the healing arts practice acts. Results of the pilot projects become the basis for recommendations to improve the healing arts practice acts and regulations.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4140 OFFICE OF STATEWIDE HEALTH PLANNING AND DEVELOPMENT—Continued

- Family Physician Training Program—grants State funds to medical schools, teaching hospitals, and other training programs to increase the number and improve the distribution of family practice physicians, primary care nurse practitioners, and physicians' assistants.
- Health Professions Career Opportunity Program—provides financial assistance to address health care needs in underserved areas by increasing the number of qualified students in health professions.
- Health Professions Education Foundation—a non-profit public benefit corporation, provides financial assistance to economically disadvantaged students and administers two statutorily established funds: the Health Professions Education Fund and the Registered Nurse Education Fund.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $172,000 (California Health Data and Planning Fund) to make permanent 2.0 positions and continue the Health Careers Training Program.

### Authority

Health and Safety Code Sections 128200 et seq., 127875 et seq., 128125 et seq., 128330 et seq., 128375 et seq., and 128425 et seq.

## 42 FACILITIES DEVELOPMENT

### Program Objectives Statement

The Facilities Development program ensures that health facilities are safe and available to provide care to the community in the event of a major disaster.

This program reviews health facility construction and alteration plans and specifications, and monitors construction to ensure consistency with State statute and the continued functioning of health facilities in case of a catastrophic event.

### Authority

Health and Safety Code Sections 1226, 1250, 1275, and 129675–130070.

## 45 CAL-MORTGAGE LOAN INSURANCE

### Program Objectives Statement

The Cal Mortgage Program is an insurance program for health facility construction, improvement, and expansion loans, to ensure that health care providers have adequate access to capital to provide the facilities necessary to meet the health care needs of California.

The program provides financial analysis and review of health facility project applications for Health Facility Construction Loan Insurance, administers loan payback provisions of Fire Protection Loans and Clinic Renovation Grants, and administers Eminent Domain Applications for health facilities. The program ensures that available financial assistance is allocated to eligible health facilities in California. Projects receiving this assistance are subject to on-site construction progress and payment verification inspections to assure conformity with approved plans and specifications, loan agreements, wage and labor standards, affirmative action, and equal employment mandates.

### Authority

Health and Safety Code Sections 129000–129355, 127010.

## 60 HEALTHCARE INFORMATION

### Program Objectives Statement

The Health Care Information program collects and provides uniform and objective information to the public about the costs, capacity, and use of health facilities in California. This information is used by various levels of government in formulating and evaluating health system policies and in managing governmental health delivery programs; by health care consultants, employers, insurers, organized labor, and other health care purchasers in making informed decisions in today's health care market; and by service providers in strategic market planning and service management.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $540,000 (California Health Data and Planning Fund) and 4.0 positions, 3.0 of which will support Phase 3 of the Medical Information Reporting for California Project (MIRCal) to continue implementing Chapter 735, Statutes of 1998, and 1.0 of which will initiate compliance with the Health Insurance Portability and Accountability Act of 1996.

### Authority

Health and Safety Code Sections 128675–128815.

## 80 ADMINISTRATION

### Program Objectives Statement

The objective of this program is to provide overall management, planning, policy development, legal, legislative, audit, and administrative services to the Department.

### Major Budget Adjustment Proposed for 2001–02

- A net increase of $15,000 (Reimbursements) and 0.5 position to provide increased administrative support duties related to the expansion of the Managed Risk Medical Insurance Board's Healthy Families Program.

*** Dollars in thousands, except in Salary Range.**

## 4140 OFFICE OF STATEWIDE HEALTH PLANNING AND DEVELOPMENT—Continued

### PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 HEALTH POLICY AND ANALYSIS** | | | |
| State Operations: | | | |
| *0121 Hospital Building Fund* | – | *$204* | *$220* |
| *0143 California Health Data and Planning Fund* | *$2,875* | *3,833* | *3,558* |
| *0518 Health Facilities Construction Loan Insurance Fund* | *339* | *408* | *410* |
| *0995 Reimbursements* | *356* | *437* | *412* |
| Totals, State Operations | $3,570 | $4,882 | $4,600 |
| Local Assistance: | | | |
| *0001 General Fund* | *1,988* | *3,000* | *3,000* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *1,032* | *1,047* | *1,047* |
| Totals, Local Assistance | $3,020 | $4,047 | $4,047 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 HEALTH PROFESSIONS DEVELOPMENT** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$828* | *$853* | *$852* |
| *0143 California Health Data and Planning Fund* | *372* | *406* | *421* |
| *0181 Registered Nurse Education Fund* | *715* | *765* | *759* |
| *0829 Health Professions Education Fund* | *129* | *443* | *442* |
| *0890 Federal Fund* | *273* | *498* | *498* |
| *0995 Reimbursements* | *359* | *856* | *825* |
| Totals, State Operations | $2,676 | $3,821 | $3,797 |
| Local Assistance: | | | |
| *0001 General Fund* | *5,914* | *5,435* | *5,235* |
| *0890 Federal Fund* | *1,000* | *1,000* | *1,000* |
| *0995 Reimbursements* | *263* | *859* | *400* |
| Totals, Local Assistance | $7,177 | $7,294 | $6,635 |
| **PROGRAM REQUIREMENTS** | | | |
| **42 FACILITIES DEVELOPMENT** | | | |
| State Operations: | | | |
| *0121 Hospital Building Fund* | *$18,286* | *$20,129* | *$19,987* |
| *0995 Reimbursements* | *474* | *2,094* | *2,099* |
| Totals, State Operations | $18,760 | $22,223 | $22,086 |
| **PROGRAM REQUIREMENTS** | | | |
| **45 CAL-MORTGAGE LOAN INSURANCE** | | | |
| State Operations: | | | |
| *0518 Health Facilities Construction Loan Insurance Fund* | *$34,821* | *$5,593* | *$5,011* |
| Totals, State Operations | $34,821 | $5,593 | $5,011 |
| **PROGRAM REQUIREMENTS** | | | |
| **60 HEALTHCARE INFORMATION** | | | |
| State Operations: | | | |
| *0143 California Health Data and Planning Fund* | *$10,983* | *$11,549* | *$10,285* |
| *0995 Reimbursements* | *2* | *205* | *205* |
| Totals, State Operations | $10,985 | $11,754 | $10,490 |
| **PROGRAM REQUIREMENTS** | | | |
| **80 ADMINISTRATION** | | | |
| Undistributed Administration: | | | |
| State Operations: | | | |
| *0995 Reimbursements* | *$424* | *$391* | *$341* |
| Totals, State Operations | $424 | $391 | $341 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $71,236 | $48,664 | $46,325 |
| Local Assistance | 10,197 | 11,341 | 10,682 |
| TOTALS, EXPENDITURES | $81,433 | $60,005 | $57,007 |

*** Dollars in thousands, except in Salary Range.**

## 4140 OFFICE OF STATEWIDE HEALTH PLANNING AND DEVELOPMENT—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 380.7 | 441.4 | 434.9 | $21,094 | $25,799 | $26,027 |
| Total Adjustments | – | 0.7 | 10.1 | – | 77 | 803 |
| Estimated Salary Savings | – | −28.7 | −28.7 | – | −1,447 | −1,502 |
| Net Totals, Salaries and Wages | 380.7 | 413.4 | 416.3 | $21,094 | $24,429 | $25,328 |
| Staff Benefits | – | – | – | – | 3,983 | 4,138 |
| Totals, Personal Services | 380.7 | 413.4 | 416.3 | $21,094 | $28,412 | $29,466 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $14,034 | $19,394 | $16,001 |
| SPECIAL ITEMS OF EXPENSE | | | | 36,108 | 858 | 858 |
| TOTALS, EXPENDITURES | | | | $71,236 | $48,664 | $46,325 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $826 | $851 | $852 |
| Allocation for employee compensation | 38 | 2 | – |
| Adjustment per Section 3.60 | −29 | −5 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 5 | – |
| Totals Available | $835 | $853 | $852 |
| Unexpended balance, estimated savings | −7 | – | – |
| TOTALS, EXPENDITURES | $828 | $853 | $852 |

### 0121 Hospital Building Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $18,291 | $20,391 | $20,207 |
| Allocation for employee compensation | 1,160 | 38 | – |
| Adjustment per Section 3.60 | −809 | −152 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 56 | – |
| Totals Available | $18,642 | $20,333 | $20,207 |
| Unexpended balance, estimated savings | −356 | – | – |
| TOTALS, EXPENDITURES | $18,286 | $20,333 | $20,207 |

### 0143 California Health Data and Planning Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $14,075 | $15,308 | $14,264 |
| Allocation for employee compensation | 685 | 46 | – |
| Adjustment per Section 3.60 | −424 | −64 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 14 | – |
| Prior year balances available: | | | |
| Chapter 735, Statutes of 1998 | 731 | 484 | – |
| Totals Available | $15,067 | $15,788 | $14,264 |
| Balance available in subsequent years | −484 | – | – |
| Unexpended balance, estimated savings | −353 | – | – |
| TOTALS, EXPENDITURES | $14,230 | $15,788 | $14,264 |

### 0181 Registered Nurse Education Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $745 | $763 | $759 |
| Allocation for employee compensation | 12 | 1 | – |
| Adjustment per Section 3.60 | −3 | – | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 1 | – |
| Totals Available | $754 | $765 | $759 |
| Unexpended balance, estimated savings | −39 | – | – |
| TOTALS, EXPENDITURES | $715 | $765 | $759 |

*** Dollars in thousands, except in Salary Range.**

## 4140 OFFICE OF STATEWIDE HEALTH PLANNING AND DEVELOPMENT—Continued

### 0518 Health Facility Construction Loan Insurance Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Health and Safety Code Section 129200 (expenditures) | $35,160 | $6,001 | $5,421 |

### 0829 Health Professions Education Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Health and Safety Code Section 128355: | | | |
| Administration | $89 | $174 | $173 |
| Scholarships and loan repayment aid | 40 | 269 | 269 |
| TOTALS, EXPENDITURES | $129 | $443 | $442 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $735 | $500 | $498 |
| Allocation for employee compensation | 10 | – | – |
| Adjustment for Section 3.60 | –7 | –2 | – |
| Budget adjustment | –465 | – | – |
| TOTALS, EXPENDITURES | $273 | $498 | $498 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $1,615 | $3,983 | $3,882 |
| TOTALS, EXPENDITURES | $1,615 | $3,983 | $3,882 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $71,236 | $48,664 | $46,325 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Family physician training | $4,255 | $4,336 | $3,882 |
| Nurse Practitioner/Physicians Assistant Training | 1,922 | 1,958 | 1,753 |
| State Loan Repayment Program | 1,000 | 1,000 | 1,000 |
| Rural Health Grants | 3,020 | 4,047 | 4,047 |
| TOTALS, EXPENDITURES | $10,197 | $11,341 | $10,682 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $7,235 | $8,235 | $8,235 |
| Prior year balances available: | | | |
| Item 4140-101-0001, Budget Act of 1996 | 5 | – | – |
| Item 4140-101-0001, Budget Act of 1998 | 874 | 29 | – |
| Item 4140-101-0001, Budget Act of 1999 | – | 171 | – |
| Totals Available | $8,114 | $8,435 | $8,235 |
| Balance available in subsequent years | –200 | – | – |
| Unexpended balance, estimated savings | –12 | – | – |
| TOTALS, EXPENDITURES | $7,902 | $8,435 | $8,235 |

### 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation | $1,047 | $1,047 | $1,047 |
| Unexpended balance, estimated savings | –15 | – | – |
| TOTALS, EXPENDITURES | $1,032 | $1,047 | $1,047 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $1,000 | $1,000 | $1,000 |

*** Dollars in thousands, except in Salary Range.**

## 4140 OFFICE OF STATEWIDE HEALTH PLANNING AND DEVELOPMENT—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | $263 | $859 | $400 |
| TOTALS, EXPENDITURES (Local Assistance) | $10,197 | $11,341 | $10,682 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $81,433 | $60,005 | $57,007 |

### FUND CONDITION STATEMENT

| 0121 Hospital Building Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $3,315 | $2,388 | $2,855 |
| Prior year adjustments | –1,161 | – | – |
| Balance, Adjusted | $2,154 | $2,388 | $2,855 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| Appropriated revenues, Chapter 303, Statutes of 1982: | | | |
| 125600 Other Regulatory Fees | 17,776 | 20,000 | 20,000 |
| 150300 Income from surplus money investments | 725 | 800 | 800 |
| 161000 Escheat of unclaimed checks and warrants | 19 | – | – |
| Totals, Revenues | $18,520 | $20,800 | $20,800 |
| Totals, Resources | $20,674 | $23,188 | $23,655 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4140 Office of Statewide Health Planning and Development (State Operations) Facilities Development | 18,286 | 20,333 | 20,207 |
| FUND BALANCE | $2,388 | $2,855 | $3,448 |
| Reserve for economic uncertainties | 2,388 | 2,855 | 3,448 |

| 0143 California Health Data and Planning Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $5,814 | $5,351 | $3,698 |
| Prior year adjustments | 144 | – | – |
| Balance, Adjusted | $5,958 | $5,351 | $3,698 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| Appropriated revenues, Chapter 1021, Statutes of 1985: | | | |
| 125700 Other regulatory fees (Health facilities) | 12,671 | 13,135 | 13,690 |
| 141200 Sales of documents | 98 | 200 | 200 |
| 150300 Income from surplus money investments | 854 | 800 | 800 |
| Totals, Revenues | $13,623 | $14,135 | $14,690 |
| Totals, Resources | $19,581 | $19,486 | $18,388 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4140 Office of Statewide Health Planning and Development (State Operations) | 14,230 | 15,788 | 14,264 |
| FUND BALANCE | $5,351 | $3,698 | $4,124 |
| Reserve for economic uncertainties | 5,351 | 3,698 | 4,124 |

| 0181 Registered Nurse Education Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $836 | $1,016 | $941 |
| Prior year adjustments | 156 | – | – |
| Balance, Adjusted | $992 | $1,016 | $941 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 633 | 600 | 600 |
| 150300 Income from surplus money investments | 91 | 70 | 70 |
| 150400 Interest Income from loans | 15 | 20 | 20 |
| Totals, Revenues | $739 | $690 | $690 |
| Totals, Resources | $1,731 | $1,706 | $1,631 |

*** Dollars in thousands, except in Salary Range.**

## 4140 OFFICE OF STATEWIDE HEALTH PLANNING AND DEVELOPMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4140 Office of Statewide Health Planning and Development (State Operations) | $715 | $765 | $759 |
| FUND BALANCE | $1,016 | $941 | $872 |
| Reserve for economic uncertainties | 1,016 | 941 | 872 |

### 0829 Health Professions Education Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $61 | $140 | $98 |
| Prior year adjustments | 2 | – | – |
| Balance, Adjusted | $63 | $140 | $98 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 150300 Income from surplus money investments | 1 | 1 | 1 |
| 299000 Miscellaneous revenues | 205 | 400 | 400 |
| Totals, Operating Revenues | $206 | $401 | $401 |
| Totals, Resources | $269 | $541 | $499 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4140 Office of Statewide Health Planning and Development: | | | |
| State Operations: | | | |
| Administration | 89 | 174 | 173 |
| Scholarships and loan repayment aid | 40 | 269 | 269 |
| Totals, Disbursements | $129 | $443 | $442 |
| FUND BALANCE | $140 | $98 | $57 |
| Reserve for economic uncertainties | 140 | 98 | 57 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 380.7 | 441.4 | 434.9 | $21,094 | $25,799 | $26,027 |
| Salary adjustments | – | – | – | – | 31 | 205 |
| Totals, Adjusted Authorized Positions | 380.7 | 441.4 | 434.9 | $21,094 | $25,830 | $26,232 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: | | | | Salary Range | | |
| Temporary Help | – | 0.7 | – | – | 46 | – |
| Reduction in Authorized Positions: | | | | | | |
| Division of Administration: | | | | | | |
| Fiscal and Staff Services: | | | | | | |
| Assoc Pers Analyst | – | – | –0.5 | 3,915–4,760 | – | –26 |
| Totals, Workload and Administrative Adjustments | – | 0.7 | –0.5 | – | $46 | –$26 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| CalSAMMP/SAMET: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | –0.4 | 3,915–4,760 | – | –22 |
| Facilities Development Division: | | | | | | |
| Overtime | – | – | – | – | – | 22 |
| Totals | – | – | –0.4 | – | – | – |
| Proposed New Positions: | | | | | | |
| Administration: | | | | | | |
| Sr Acctg Ofcr | – | – | 0.5 | 3,915–4,760 | – | 26 |
| Bus Svc Asst | – | – | 0.4 | 2,220–3,300 | – | 13 |
| Temporary Help | – | – | 0.1 | – | – | 4 |
| Overtime | – | – | – | – | – | 28 |
| Information Systems Section: | | | | | | |
| Sr Prog Analyst-Spec | – | – | 1.0 | 4,958–6,026 | – | 66 |
| Staff Prog Analyst-Spec | – | – | 1.0 | 4,507–5,479 | – | 60 |
| Health Policy and Planning: | | | | | | |
| Research Scientist II | – | – | 1.0 | 4,724–5,699 | – | 68 |
| Biostatistician III | – | – | 1.0 | 4,103–4,986 | – | 54 |
| Statistical Methods Analyst III | – | – | 1.0 | 3,945–4,794 | – | 52 |
| Ofc Techn-Typing | – | – | 1.0 | 2,258–2,745 | – | 33 |

*** Dollars in thousands, except in Salary Range.**

## 4140 OFFICE OF STATEWIDE HEALTH PLANNING AND DEVELOPMENT—Continued

| Health Professions Development: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Health Careers Training: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 2.0 | $3,915–4,760 | – | $104 |
| Healthcare Information Division: | | | | | | |
| MIRCal: | | | | | | |
| Research Prog Specialist II | – | – | 1.0 | 4,724–5,742 | – | 62 |
| Research Analyst II [1] | – | – | 1.0 | 4,110–4,996 | – | 54 |
| Totals, Proposed New Positions | – | – | 11.0 | – | – | $624 |
| Total Adjustments | – | 0.7 | 10.1 | – | $77 | $803 |
| TOTALS, SALARIES AND WAGES | 380.7 | 442.1 | 445.0 | $21,094 | $25,876 | $26,830 |

[1] Position expires 6/30/03.

# 4170 DEPARTMENT OF AGING

The California Department of Aging provides leadership in developing systems of home and community-based services that maintain individuals in their own homes, with their families, or in the least restrictive home-like environments.

The Department has responsibility for development, coordination, and use of resources to meet the long-term care needs of older individuals through the administration of the federal Older Americans Act and the State Older Californians Act.

The Department works with Area Agencies on Aging (AAAs) which manage a wide array of federally and State-funded services to the elderly and functionally impaired adults at the community level. Those services include nutrition programs, social and supportive services (such as Ombudsman, case management and respite care), and health insurance counseling. The Department advocates for improved quality of life and an environment that respects and values seniors.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Nutrition | – | – | – | $70,854 | $71,901 | $72,101 |
| 20 Senior Community Employment | 2.5 | 4.7 | 4.7 | 7,377 | 8,526 | 9,071 |
| 30 Supportive Services and Centers | 9.1 | 21.7 | 21.7 | 40,927 | 62,670 | 44,654 |
| 40 Special Projects | 32.0 | 55.5 | 63.1 | 25,724 | 34,230 | 33,174 |
| 50.01 Administration | 77.5 | 84.4 | 84.4 | 6,084 | 7,251 | 7,580 |
| 50.02 Distributed Administration | – | – | – | –6,084 | –7,251 | –7,580 |
| TOTALS, PROGRAMS | 121.1 | 166.3 | 173.9 | $144,882 | $177,327 | $159,000 |
| *0001 General Fund* | | | | *36,110* | *63,020* | *44,529* |
| *0289 State HICAP Fund* | | | | *1,184* | *1,607* | *1,595* |
| *0890 Federal Trust Fund* | | | | *103,839* | *106,834* | *106,735* |
| *0995 Reimbursements* | | | | *3,749* | *5,866* | *6,141* |

## 10 NUTRITION

### Program Objectives Statement

The Nutrition Program provides nutritionally balanced meals to persons 60 years of age or older. The meals are served at strategically located congregate centers or delivered to the homebound. In addition to promoting better health through improved nutrition, the program focuses on reducing the isolation of the elderly and providing a link to other social and supportive services (such as transportation, information and referral, escort, employment, and education). The United States Department of Agriculture (USDA) provides reimbursement for meals served to seniors through elderly nutrition programs. This reimbursement is provided either in cash or in commodities. California has elected to receive cash in lieu of commodities to supplement the Nutrition Program. Federal funds require a 15 percent match, one-third of which must be from State sources. The State continues to provide $7 million in General Fund support above the federal fund match requirement in 2001–02.

### Authority

Welfare and Institutions Code, Division 8.5, Chapter 4.

## 20 SENIOR COMMUNITY EMPLOYMENT SERVICE

### Program Objectives Statement

The federal Senior Community Service Employment Program, Title V of the Older Americans Act, provides part-time subsidized training and employment in community service facilities for low-income persons, 55 years of age and older. The program also promotes transition to unsubsidized employment. Title V enrollees are placed in host agencies throughout the state in a variety of community service jobs such as child and adult day care jobs, outreach workers, nutrition site aides, and various community services trainees.

### Authority

Welfare and Institutions Code, Division 8.5, Chapter 4.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4170 DEPARTMENT OF AGING—Continued

### 30 SUPPORTIVE SERVICES AND CENTERS

#### Program Objectives Statement

This program provides grants for supportive services including senior centers, elder abuse prevention, and in-home services for frail older Californians as authorized by Titles III and VII of the Older Americans Act. The services provided are designed to assist older individuals to live as independently as possible and access the facilities and services available to them. This program also includes Long-term Care Ombudsman services. Funding supports 35 local ombudsman projects which provide services throughout California.

#### Major Budget Adjustments Proposed for 2001–02

- An increase of 2.0 positions and $1,005,000 (General Fund) for support to continue to develop and provide a Senior Wellness and Prevention Media Campaign to avoid higher levels of care as the senior population ages.
- An increase of $170,000 (General Fund) in local assistance to provide for Ombudsman program expansion associated with implementation of Chapter 451 of the Statutes of 2000 (AB 1731).

#### Authority

Welfare and Institutions Code, Division 8.5, Chapters 4 and 9.

### 40 SPECIAL PROJECTS

#### Program Objectives Statement

This program includes the Multipurpose Senior Services Program, Adult Day Health Care Program, and Community-Based Services Programs. The Multipurpose Senior Services Program provides health/social case management in order to prevent premature and unnecessary long-term care institutionalization of frail elderly persons. There are 35 sites statewide that serve Medi-Cal eligible persons, 65 years of age or older, who are certifiable for admission into skilled nursing or intermediate care facilities. The average per capita costs for persons served by the program cannot exceed the costs of institutionalization. The local assistance costs for the Multipurpose Senior Services Program are in the budget of the Department of Health Services. The Adult Day Health Care Program provides a day program of health, therapeutic, and social services in approximately 396 licensed centers in order to restore or maintain optimal capacity for self-care to frail elderly and younger functionally impaired adults. The local assistance costs for the Adult Day Health Care Program are included in the budget of the Department of Health Services. The Community-Based Services Programs are primarily State-funded programs originally established through special legislation. These programs include the Foster Grandparent, Senior Companion, Brown Bag Network, Alzheimer's Day Care Resource Centers, Linkages, Health Insurance Counseling and Advocacy, and Respite programs. Chapter 1097, Statutes of 1996, established these programs as Community-Based Services Programs administered through AAAs.

#### Major Budget Adjustments Proposed for 2001–02

- An increase of 8.5 positions and $982,000 ($484,000 General Fund, $498,000 Title XIX Reimbursements) to provide for increased Adult Day Health Care program workload (3.5 of the positions are two-year limited-term positions).
- An adjustment to make 2.0 current limited-term positions permanent to provide for increased Adult Day Health Care program workload.
- A base increase of $1,500,000 (General Fund) to expand the Linkages Program.

#### Authority

Welfare and Institutions Code, Division 8.5, Chapters 4.7, 5, 5.7, 8 and 9.1. Health and Safety Code, Division 2, Chapter 3.2 and 3.3.

---

## PROGRAM BUDGET DETAIL

| PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **10 NUTRITION** | $70,854 | $71,901 | $72,101 |
| State Operations: | | | |
| *0001 General Fund* | *740* | *1,108* | *1,110* |
| *0890 Federal Trust Fund* | *2,492* | *2,349* | *2,547* |
| Totals, State Operations | $3,232 | $3,457 | $3,657 |
| Local Assistance: | | | |
| *0001 General Fund* | *9,457* | *9,442* | *9,442* |
| *0890 Federal Trust Fund* | *58,165* | *59,002* | *59,002* |
| Totals, Local Assistance | $67,622 | $68,444 | $68,444 |
| **ELEMENT REQUIREMENTS** | | | |
| 10.10 Congregate Nutrition | 37,774 | 38,718 | 39,020 |
| State Operations: | | | |
| *0001 General Fund* | *414* | *456* | *444* |
| *0890 Federal Trust Fund* | *1,385* | *1,331* | *1,645* |
| Totals, State Operations | $1,799 | $1,787 | $2,089 |
| Local Assistance: | | | |
| *0001 General Fund* | *3,849* | *3,906* | *3,906* |
| *0890 Federal Trust Fund* | *32,126* | *33,025* | *33,025* |
| Totals, Local Assistance | $35,975 | $36,931 | $36,931 |

*** Dollars in thousands, except in Salary Range.**

## 4170 DEPARTMENT OF AGING—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10.20 Home Delivered Nutrition | $33,080 | $33,183 | $33,081 |
| State Operations: | | | |
| *0001 General Fund* | *326* | *652* | *666* |
| *0890 Federal Trust Fund* | *1,107* | *1,018* | *902* |
| Totals, State Operations | $1,433 | $1,670 | $1,568 |
| Local Assistance: | | | |
| *0001 General Fund* | *5,608* | *5,536* | *5,536* |
| *0890 Federal Trust Fund* | *26,039* | *25,977* | *25,977* |
| Totals, Local Assistance | $31,647 | $31,513 | $31,513 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 SENIOR COMMUNITY EMPLOYMENT** | $7,377 | $8,526 | $9,071 |
| State Operations: | | | |
| *0890 Federal Trust Fund* | *289* | *471* | *470* |
| Totals, State Operations | $289 | $471 | $470 |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *930* | *1,476* |
| *0890 Federal Trust Fund* | *7,088* | *7,125* | *7,125* |
| Totals, Local Assistance | $7,088 | $8,055 | $8,601 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 SUPPORTIVE SERVICES AND CENTERS** | $40,927 | $62,670 | $44,654 |
| State Operations: | | | |
| *0001 General Fund* | *686* | *3,120* | *3,136* |
| *0890 Federal Trust Fund* | *1,707* | *2,444* | *2,236* |
| *0995 Reimbursements* | *40* | *116* | *46* |
| Totals, State Operations | $2,433 | $5,680 | $5,418 |
| Local Assistance: | | | |
| *0001 General Fund* | *5,679* | *23,211* | *5,565* |
| *0890 Federal Trust Fund* | *32,775* | *33,749* | *33,671* |
| *0995 Reimbursements* | *40* | *30* | *–* |
| Totals, Local Assistance | $38,494 | $56,990 | $39,236 |
| **ELEMENT REQUIREMENTS** | | | |
| 30.10 Supportive Services | 37,461 | 55,093 | 36,939 |
| State Operations: | | | |
| *0001 General Fund* | *323* | *2,730* | *2,739* |
| *0890 Federal Trust Fund* | *1,138* | *1,662* | *1,468* |
| *0995 Reimbursements* | *40* | *91* | *46* |
| Totals, State Operations | $1,501 | $4,483 | $4,253 |
| Local Assistance: | | | |
| *0001 General Fund* | *5,636* | *19,556* | *1,740* |
| *0890 Federal Trust Fund* | *30,284* | *31,024* | *30,946* |
| *0995 Reimbursements* | *40* | *30* | *–* |
| Totals, Local Assistance | $35,960 | $50,610 | $32,686 |
| 30.20 Ombudsman and Elder Abuse | 3,466 | 7,577 | 7,715 |
| State Operations: | | | |
| *0001 General Fund* | *363* | *390* | *397* |
| *0890 Federal Trust Fund* | *569* | *782* | *768* |
| *0995 Reimbursements* | *–* | *25* | *–* |
| Totals, State Operations | $932 | $1,197 | $1,165 |
| Local Assistance: | | | |
| *0001 General Fund* | *43* | *3,655* | *3,825* |
| *0890 Federal Trust Fund* | *2,491* | *2,725* | *2,725* |
| Totals, Local Assistance | $2,534 | $6,380 | $6,550 |
| **PROGRAM REQUIREMENTS** | | | |
| **40 SPECIAL PROJECTS** | $25,724 | $34,230 | $33,174 |
| State Operations: | | | |
| *0001 General Fund* | *2,306* | *3,041* | *3,553* |
| *0289 State HICAP Fund* | *163* | *189* | *177* |
| *0890 Federal Trust Fund* | *102* | *147* | *148* |
| *0995 Reimbursements* | *1,624* | *2,856* | *3,256* |
| Totals, State Operations | $4,195 | $6,233 | $7,134 |

*** Dollars in thousands, except in Salary Range.**

## 4170 DEPARTMENT OF AGING—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | *$17,242* | *$22,168* | *$20,247* |
| *0289 State HICAP Fund* | *1,021* | *1,418* | *1,418* |
| *0890 Federal Trust Fund* | *1,221* | *1,547* | *1,536* |
| *0995 Reimbursements* | *2,045* | *2,864* | *2,839* |
| Totals, Local Assistance | $21,529 | $27,997 | $26,040 |
| **ELEMENT REQUIREMENTS** | | | |
| 40.40 Multipurpose Senior Services Program | 1,446 | 5,371 | 2,044 |
| State Operations: | | | |
| *0001 General Fund* | *769* | *980* | *990* |
| *0995 Reimbursements* | *677* | *1,070* | *1,054* |
| Totals, State Operations | $1,446 | $2,050 | $2,044 |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *3,321* | *–* |
| *0995 Reimbursements* | *–* | *–* | *–* |
| Totals, Local Assistance | – | $3,321 | – |
| 40.50 Adult Day Health Care | 1,569 | 2,976 | 3,758 |
| State Operations: | | | |
| *0001 General Fund* | *834* | *1,365* | *1,834* |
| *0995 Reimbursements* | *735* | *1,511* | *1,924* |
| Totals, State Operations | $1,569 | $2,876 | $3,758 |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *100* | *–* |
| Totals, Local Assistance | – | $100 | – |
| 40.90 Community-Based Services Programs | 22,709 | 25,883 | 27,372 |
| State Operations: | | | |
| *0001 General Fund* | *703* | *696* | *729* |
| *0289 State HICAP Fund* | *163* | *189* | *177* |
| *0890 Federal Trust Fund* | *102* | *147* | *148* |
| *0995 Reimbursements* | *212* | *275* | *278* |
| Totals, State Operations | $1,180 | $1,307 | $1,332 |
| Local Assistance: | | | |
| *0001 General Fund* | *17,242* | *18,747* | *20,247* |
| *0289 State HICAP Fund* | *1,021* | *1,418* | *1,418* |
| *0890 Federal Trust Fund* | *1,221* | *1,547* | *1,536* |
| *0995 Reimbursements* | *2,045* | *2,864* | *2,839* |
| Totals, Local Assistance | $21,529 | $24,576 | $26,040 |
| 40.90.10 Health Insurance Counseling and Advocacy | | | |
| State Operations: | | | |
| *0289 State HICAP Fund* | *163* | *189* | *177* |
| *0890 Federal Trust Fund* | *102* | *147* | *148* |
| *0995 Reimbursements* | *212* | *275* | *278* |
| Totals, State Operations | $477 | $611 | $603 |
| Local Assistance: | | | |
| *0289 State HICAP Fund* | *1,021* | *1,418* | *1,418* |
| *0890 Federal Trust Fund* | *1,221* | *1,197* | *1,186* |
| *0995 Reimbursements* | *2,045* | *2,864* | *2,839* |
| Totals, Local Assistance | $4,287 | $5,479 | $5,443 |
| 40.90.20 Alzheimer's Day Care Resource Centers | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *4,315* | *4,317* | *4,317* |
| *0890 Federal Trust Fund* | *–* | *350* | *350* |
| Totals, Local Assistance | $4,315 | $4,667 | $4,667 |
| 40.90.30 Brown Bag | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *879* | *879* | *879* |
| Totals, Local Assistance | $879 | $879 | $879 |

*** Dollars in thousands, except in Salary Range.**

## 4170 DEPARTMENT OF AGING—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 40.90.40 Foster Grandparent | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *$1,287* | *$1,288* | *$1,288* |
| Totals, Local Assistance | $1,287 | $1,288 | $1,288 |
| 40.90.50 Linkages | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *7,225* | *8,727* | *10,277* |
| Totals, Local Assistance | $7,225 | $8,727 | $10,227 |
| 40.90.60 Respite | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *645* | *645* | *645* |
| Totals, Local Assistance | $645 | $645 | $645 |
| 40.90.70 Senior Companion | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *1,864* | *1,865* | *1,865* |
| Totals, Local Assistance | $1,864 | $1,865 | $1,865 |
| 40.90.80 Community-Based Services Programs Administration | | | |
| State Operations: | | | |
| *0001 General Fund* | *703* | *696* | *729* |
| *0995 Reimbursements* | – | – | – |
| Totals, State Operations | $703 | $696 | $729 |
| Local Assistance: | | | |
| *0001 General Fund* | *1,027* | *1,026* | *1,026* |
| Totals, Local Assistance | $1,027 | $1,026 | $1,026 |

## PROGRAM REQUIREMENTS

### 50 ADMINISTRATION

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 50.01 Administration | 77.5 | 84.4 | 84.4 | $6,084 | $7,251 | $7,580 |
| 50.02 Distributed Administration | – | – | – | –6,084 | –7,251 | –7,580 |
| Amounts Charged to Other Programs: | | | | | | |
| 10 Nutrition | – | – | – | 3,226 | 3,457 | 3,657 |
| 20 Senior Community Employment Services | – | – | – | 85 | 121 | 131 |
| 30 Supportive Services and Centers | – | – | – | 1,665 | 2,037 | 1,851 |
| 40 Special Projects | – | – | – | 1,108 | 1,636 | 1,941 |
| Totals Charged to Other Programs | – | – | – | $6,084 | $7,251 | $7,580 |
| NET TOTALS, ADMINISTRATION | 77.5 | 84.4 | 84.4 | – | – | – |

## TOTAL EXPENDITURES

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations | $10,149 | $15,841 | $16,679 |
| Local Assistance | 134,733 | 161,486 | 142,321 |
| TOTALS, EXPENDITURES | $144,882 | $177,327 | $159,000 |

---

## SUMMARY BY OBJECT

### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 121.1 | 175.8 | 173.3 | $6,231 | $8,744 | $8,890 |
| Total Adjustments | – | – | 10.5 | – | – | 513 |
| Estimated Salary Savings | – | –9.5 | –9.9 | – | –439 | –471 |
| NET TOTALS, SALARIES AND WAGES | 121.1 | 166.3 | 173.9 | $6,231 | $8,305 | $8,932 |
| Staff Benefits | – | – | – | 1,068 | 1,599 | 1,768 |
| Totals, Personal Services | 121.1 | 166.3 | 173.9 | $7,299 | $9,904 | $10,700 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,850 | $5,937 | $5,979 |
| TOTALS, EXPENDITURES | | | | $10,149 | $15,841 | $16,679 |

*** Dollars in thousands, except in Salary Range.**

## 4170 DEPARTMENT OF AGING—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $3,742 | $7,297 | $7,799 |
| Allocation for employee compensation | 226 | 15 | – |
| Adjustment per Section 3.60 | −157 | −43 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Totals Available | $3,813 | $7,269 | $7,799 |
| Unexpended balance, estimated savings | −81 | – | – |
| TOTALS, EXPENDITURES | $3,732 | $7,269 | $7,799 |

### 0289 State HICAP Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $159 | $190 | $177 |
| Allocation for employee compensation | 9 | – | – |
| Adjustment per Section 3.60 | −5 | −1 | – |
| TOTALS, EXPENDITURES | $163 | $189 | $177 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $4,809 | $5,430 | $5,401 |
| Allocation for employee compensation | 229 | 13 | – |
| Adjustment per Section 3.60 | −152 | −32 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Budget adjustment | −298 | – | – |
| TOTALS, EXPENDITURES | $4,590 | $5,411 | $5,401 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $1,664 | $2,972 | $3,302 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $10,149 | $15,841 | $16,679 |

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $134,733 | $161,486 | $142,321 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $32,085 | $55,377 | $36,730 |
| Allocation for contingencies or emergencies | – | 274 | – |
| Prior year balances available: | | | |
| Item 4170-101-0001, Budget Act of 1998 as reappropriated by Item 4170-490, Budget Acts of 1999 and 2000 | 400 [1] | 100 | – |
| Totals Available | $32,485 | $55,751 | $36,730 |
| Balance available in subsequent years | −100 | – | – |
| Unexpended balance, estimated savings | −7 | – | – |
| TOTALS, EXPENDITURES | $32,378 | $55,751 | $36,730 |

### 0289 State HICAP Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $1,021 | $1,418 | $1,418 |

[1] This carryover amount includes $300 which was erroneously shown as a past year expenditure in last year's Governor's Budget. The State Controller and departmental records have been adjusted to reflect this corrected amount.

*** Dollars in thousands, except in Salary Range.**

## 4170 DEPARTMENT OF AGING—Continued

### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $95,670 | $99,972 | $101,334 |
| Budget adjustment | 3,579 | 1,451 | – |
| TOTALS, EXPENDITURES | $99,249 | $101,423 | $101,334 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $2,085 | $2,894 | $2,839 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $134,733 | $161,486 | $142,321 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $144,882 | $177,327 | $159,000 |

### FUND CONDITION STATEMENT
### 0289 State HICAP Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,288 | $1,233 | $1,268 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 1,089 | 1,584 | 1,584 |
| 150300 Income from Surplus Money Investments | 40 | 58 | 58 |
| Totals, Revenues | $1,129 | $1,642 | $1,642 |
| Totals, Resources | $2,417 | $2,875 | $2,910 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4170 Department of Aging: | | | |
| State Operations | 163 | 189 | 177 |
| Local Assistance | 1,021 | 1,418 | 1,418 |
| Totals, Expenditures | $1,184 | $1,607 | $1,595 |
| FUND BALANCE | $1,233 | $1,268 | $1,315 |
| Reserve for economic uncertainties | 1,233 | 1,268 | 1,315 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 121.1 | 175.8 | 173.3 | $6,231 | $8,744 | $8,890 |
| Workload and Administrative Adjustments: | | | | | | |
| Proposed New Positions: | | | | | | |
| Directorate: | | | | Salary Range | | |
| Info Ofcr I | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Long-Term Care/Aging Services Division: | | | | | | |
| Staff Svcs Mgr I | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Assoc Govtl Prog Analyst [1] | – | – | 6.5 | 3,915–4,759 | – | 339 |
| Assoc Govtl Prog Analyst [2] | – | – | (2.0) | 3,915–4,759 | – | (104) |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Totals, Proposed New Positions | – | – | 10.5 | – | – | $513 |
| Total Adjustments | – | – | 10.5 | – | – | $513 |
| TOTALS, SALARIES AND WAGES | 121.1 | 175.8 | 183.8 | $6,231 | $8,744 | $9,403 |

[1] 3.5 positions limited-term to 6/30/03.
[2] Set to expire 6/30/02, now being made permanent.

*** Dollars in thousands, except in Salary Range.**

# 4180 COMMISSION ON AGING

The Commission on Aging ensures that the interests of older persons in California are represented by advising the Governor, Legislature, California Department of Aging, and agencies at all levels of government regarding the problems and needs of older persons.

The Commission meets to identify the needs and solicits the recommendations of older persons. The Commission works closely with the State's 33 Area Agency on Aging Advisory Councils and provides information to individuals and senior organizations regarding matters of public policy affecting older persons. The Commission also serves in an advisory capacity to several state programs for the elderly.

The Commission sponsors, coordinates, and convenes the annual California Senior Legislature and provides staff and other administrative support to the Senior Legislature throughout the year. The California Senior Legislature is supported by voluntary contributions made through check-offs included on personal income tax forms. These voluntary contributions are collected and disbursed through the California Fund for Senior Citizens.

The Revenue and Taxation Code provides a personal income tax credit for taxpayers who are 65 years of age or older. Chapter 1451, Statutes of 1990, established the California Seniors Special Fund and allows seniors who qualify for the exemption to contribute their tax credit to the Fund. Pursuant to the legislation, the first annual priority of the California Seniors Special Fund is to support the Area Agency on Aging Advisory Council of California for its advocacy efforts for senior citizens.

## Authority

Older Californians Act (Chapter 1097, Statutes of 1996).

### SUMMARY OF PROGRAM REQUIREMENTS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Commission on Aging | 5.2 | 6.5 | 6.5 | $618 | $572 | $640 |
| TOTALS, PROGRAMS | 5.2 | 6.5 | 6.5 | $618 | $572 | $640 |
| *0001 General Fund* | | | | *–* | *30* | *–* |
| *0886 California Seniors Special Fund* | | | | *74* | *39* | *79* |
| *0890 Federal Trust Fund* | | | | *320* | *291* | *292* |
| *0983 California Fund for Senior Citizens* | | | | *224* | *212* | *269* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 5.2 | 6.5 | 6.5 | $238 | $260 | $263 |
| Net Totals, Salaries and Wages | 5.2 | 6.5 | 6.5 | $238 | $260 | $263 |
| Staff Benefits | – | – | – | 45 | 44 | 44 |
| Totals, Personal Services | 5.2 | 6.5 | 6.5 | $283 | $304 | $307 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $335 | $238 | $333 |
| TOTALS, EXPENDITURES | | | | $618 | $542 | $640 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0886 California Seniors Special Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 002 Budget Act appropriation | $73 | $79 | $79 |
| Allocation for employee compensation | 2 | – | – |
| Adjustment per Section 3.60 | –1 | – | – |
| Totals Available | $74 | $79 | $79 |
| Unexpended balance, estimated savings | – | –40 | – |
| TOTALS, EXPENDITURES | $74 | $39 | $79 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 002 Budget Act appropriation | $280 | $291 | $292 |
| Allocation for employee compensation | 15 | 1 | – |
| Adjustment per Section 3.60 | –10 | –1 | – |
| Budget adjustment | 35 | – | – |
| TOTALS, EXPENDITURES | $320 | $291 | $292 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4180 COMMISSION ON AGING—Continued

### 0983 California Fund for Senior Citizens [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $197 | $216 | $236 |
| Revenue and Taxation Code Section 18723: | | | |
| California Senior Legislature (sessions) | (75) | (75) | (75) |
| California Senior Legislature (ongoing) | (89) | (108) | (128) |
| California Senior Legislature (elections) | (33) | (33) | (33) |
| Allocation for employee compensation | 8 | – | – |
| Adjustment per Section 3.60 | –5 | – | – |
| Revised expenditure authority per Provision 3 | – | 20 | – |
| Prior year balances available: | | | |
| Item 4180-001-0983, Budget Act of 1998 | 33 | – | – |
| Item 4180-001-0983, Budget Act of 1999 | – | 9 | – |
| Item 4180-001-0983, Budget Act of 2000 | – | – | 33 |
| Totals Available | $233 | $245 | $269 |
| Balance available in subsequent years | –9 | –33 | – |
| California Senior Legislature (elections) | – | (33) | – |
| TOTALS, EXPENDITURES | $224 | $212 | $269 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $618 | $542 | $640 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | – | $30 | – |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $30 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | – | $30 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $618 | $572 | $640 |

### FUND CONDITION STATEMENT
### 0886 California Seniors Special Fund [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $67 | $38 | $56 |
| Prior year adjustments | –4 | – | – |
| Balance, Adjusted | $63 | $38 | $56 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215100 Income from investments | 3 | 3 | 3 |
| 299000 Miscellaneous | 47 | 58 | 58 |
| Totals, Operating Revenues | $50 | $61 | $61 |
| Totals, Resources | $113 | $99 | $117 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | 1 | 4 | 4 |
| 4180 Commission on Aging (State Operations) | 74 | 39 | 79 |
| Totals, Disbursements | $75 | $43 | $83 |
| FUND BALANCE | $38 | $56 | $34 |

*** Dollars in thousands, except in Salary Range.**

## 4180 COMMISSION ON AGING—Continued

| 0983 California Fund for Senior Citizens [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $217 | $212 | $226 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 7 | 7 | 7 |
| 299000 Miscellaneous Revenues | 216 | 226 | 236 |
| Totals, Operating Revenues | $223 | $233 | $243 |
| Totals, Resources | $440 | $445 | $469 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | 4 | 7 | 7 |
| 4180 Commission on Aging (State Operations) | 224 | 212 | 269 |
| Totals, Disbursements | $228 | $219 | $276 |
| FUND BALANCE | $212 | $226 | $193 |

# 4200 DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS

The mission of the Department of Alcohol and Drug Programs is to reduce the incidence and severity of alcohol and other drug-related problems and to promote healthy and safe communities.

To accomplish its mission, the Department's strategic plan includes goals in six major areas: (1) service delivery systems; (2) access to services; (3) quality of services; (4) system financing; (5) information technology; and (6) continuous quality improvement.

The Department funds prevention, treatment, and recovery programs to reduce the economic, social, and personal costs of problems caused by the use of alcohol and other drugs. The Department's activities enhance the effectiveness and efficiency of the statewide network of services administered or provided by county governments and received by approximately 500,000 Californians each year.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 15 Alcohol and Other Drug Services Program | 289.5 | 310.6 | 335.0 | $405,241 | $608,004 | $680,301 |
| 31 Administration | 89.4 | 91.5 | 102.2 | 7,412 | 8,769 | 10,373 |
| Distributed Administration | –89.4 | –91.5 | –102.2 | –7,412 | –8,769 | –10,373 |
| TOTALS, PROGRAMS | 289.5 | 310.6 | 335.0 | $405,241 | $608,004 | $680,301 |
| *0001 General Fund* | | | | *99,650* | *203,653* | *273,014* |
| *0066 Sale of Tobacco to Minors Control Account* | | | | *–2,000* | *–2,000* | *–2,000* |
| *0139 Driving-Under-the-Influence Program Licensing Trust Fund* | | | | *1,395* | *1,726* | *1,732* |
| *0243 Narcotic Treatment Program Licensing Trust Fund* | | | | *1,067* | *1,085* | *1,091* |
| *0816 Audit Repayment Trust Fund* | | | | *67* | *67* | *67* |
| *0890 Federal Trust Fund* | | | | *253,166* | *326,746* | *320,610* |
| *0977 Resident-Run Housing Revolving Fund* | | | | *12* | *39* | *39* |
| *0995 Reimbursements* | | | | *51,884* | *76,688* | *85,748* |
| *3019 Substance Abuse Treatment Trust Fund* | | | | *–* | *60,000* | *120,000* |
| *Less funding provided by the General Fund* | | | | *–* | *–60,000* | *–120,000* |

## 15 ALCOHOL AND OTHER DRUG SERVICES

### Program Objectives Statement

The objective of the Alcohol and Other Drug Services program is to provide leadership and coordination in planning, developing, implementing, and evaluating comprehensive statewide alcohol and drug abuse prevention, intervention, detoxification, treatment, and recovery services. The service delivery system is achieved in partnership with county government, and in cooperation with numerous private and public agencies, organizations, groups, and individuals.

In the area of alcohol and drug prevention, emphasis is placed on youth not served by other programs. Programs include alternative activities for youth, youth violence prevention, community education, resource development and distribution, and mentoring. The mentoring program, in collaboration with other State departments, is a statewide effort of programs and organizations that enable at-risk children to be matched with a mentor. The Department actively promotes mentoring as a prevention strategy and provides increasing support to county alcohol and drug prevention programs to assist in this endeavor.

### Major Budget Adjustments Included in 2000–01

- $60 million (General Fund) to implement the Substance Abuse Treatment and Crime Prevention Act of 2000 (Proposition 36). This amount includes $1.2 million and 15 positions (7.1 personnel years) for State Operations and $58.8 million for Local Assistance.
- $17.4 million ($9.5 million General Fund) for caseload adjustments in the Drug Medi-Cal program.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4200 DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS—Continued

### Major Budget Adjustments Proposed for 2001–02

- $120 million (General Fund) to continue the Substance Abuse Treatment and Crime Prevention Act of 2000 (Proposition 36). This amount includes $2.8 million and 25.2 positions (23.9 personnel years) for State Operations and $117.2 million for Local Assistance.
- $38.3 million ($19.7 million General Fund) for caseload adjustments in the Drug Medi-Cal program.
- $2.8 million (reimbursements) and 2.0 positions (1.9 personnel years) for an interagency agreement with the Department of Social Services to develop and implement a Substance Abuse program in Indian Health Clinics.
- $6 million reduction in the federal Substance Abuse Prevention and Treatment Block Grant.
- $352,000 ($176,000 General Fund) and 4 positions (3.8 personnel years) to increase Drug Medi-Cal program audits.
- $300,000 (General Fund) for a feasibility study of a statewide, consolidated database to be used for client billing, service utilization reviews, and program cost comparisons.

### Authority

Health and Safety Code, Division 10.5, commencing with Section 11750.

## 30 ADMINISTRATION

### Program Objectives Statement

The objective of this program is to provide overall management, planning and policy development, and legal, legislative, audit, and administrative services to the Department.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

| 15 ALCOHOL AND OTHER DRUG SERVICES PROGRAM | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| TOTALS, PROGRAM 15 | $405,241 | $608,004 | $680,301 |
| *0001 General Fund* | *99,650* | *203,653* | *273,014* |
| *0066 Sale of Tobacco to Minors Control Account* | *−2,000* | *−2,000* | *−2,000* |
| *0139 Driving-Under-the-Influence Program Licensing Trust Fund* | *1,395* | *1,726* | *1,732* |
| *0243 Narcotic Treatment Program Licensing Trust Fund* | *1,067* | *1,085* | *1,091* |
| *0816 Audit Repayment Trust Fund* | *67* | *67* | *67* |
| *0890 Federal Trust Fund* | *253,166* | *326,746* | *320,610* |
| *0977 Resident-Run Housing Revolving Fund* | *12* | *39* | *39* |
| *0995 Reimbursements* | *51,884* | *76,688* | *85,748* |
| *3019 Substance Abuse Treatment Trust Fund* | *–* | *60,000* | *120,000* |
| *Less funding provided by the General Fund* | *–* | *−60,000* | *−120,000* |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 15.20 Prevention | | | |
| Expenditures | 59,602 | 75,217 | 74,089 |
| *0001 General Fund* | *9,573* | *13,090* | *13,090* |
| *0066 Sale of Tobacco to Minors Control Account* | *−2,000* | *−2,000* | *−2,000* |
| *0890 Federal Trust Fund* | *52,029* | *63,767* | *62,999* |
| *0995 Reimbursements* | *–* | *360* | *–* |
| 15.30 Treatment and Recovery | | | |
| Expenditures | 299,832 | 476,924 | 553,191 |
| *0001 General Fund* | *64,139* | *160,004* | *230,330* |
| *0139 Driving-Under-the-Influence Program Licensing Trust Fund* | *1,395* | *1,726* | *1,732* |
| *0243 Narcotic Treatment Program Licensing Trust Fund* | *1,067* | *1,085* | *1,091* |
| *0816 Audit Repayment Trust Fund* | *67* | *67* | *67* |
| *0890 Federal Trust Fund* | *183,062* | *241,414* | *236,046* |
| *0977 Resident-Run Housing Revolving Fund* | *12* | *39* | *39* |
| *0995 Reimbursements* | *50,090* | *72,589* | *83,886* |
| *3019 Substance Abuse Treatment Trust Fund* | *–* | *60,000* | *120,000* |
| *Less funding provided by the General Fund* | *–* | *−60,000* | *−120,000* |
| 15.40 Perinatal | | | |
| Expenditures | 45,807 | 55,863 | 53,021 |
| *0001 General Fund* | *25,938* | *30,559* | *29,594* |
| *0890 Federal Trust Fund* | *18,075* | *21,565* | *21,565* |
| *0995 Reimbursements* | *1,794* | *3,739* | *1,862* |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $26,096 | $29,913 | $32,110 |
| Local Assistance | 379,145 | 578,091 | 648,191 |
| TOTALS, EXPENDITURES | $405,241 | $608,004 | $680,301 |

*** Dollars in thousands, except in Salary Range.**

## 4200 DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 289.5 | 319.5 | 319.5 | $15,117 | $17,262 | $17,649 |
| Total Adjustments | – | 15.0 | 33.2 | – | 653 | 1,970 |
| Estimated Salary Savings | – | –23.9 | –17.7 | – | –897 | –1,080 |
| Net Totals, Salaries and Wages | 289.5 | 310.6 | 335.0 | $15,117 | $17,018 | $18,539 |
| Staff Benefits | – | – | – | 2,696 | 3,242 | 3,533 |
| Totals, Personal Services | 289.5 | 310.6 | 335.0 | $17,813 | $20,260 | $22,072 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $8,283 | $9,653 | $10,038 |
| TOTALS, EXPENDITURES | | | | $26,096 | $29,913 | $32,110 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $4,646 | $4,944 | $5,567 |
| Allocation for employee compensation | 300 | 17 | – |
| Adjustment per Section 3.60 | –201 | –47 | – |
| Transfer to Legislative Claims (9670) | – | – | – |
| Transfer from Item 4200-101-0001 per Provision 1, Budget Act of 2000 | – | 500 | – |
| Transfer to Judicial Council per Health and Safety Code 11970.3 | – | –250 | – |
| Totals Available | $4,745 | $5,164 | $5,567 |
| Unexpended balance, estimated savings | –900 | – | – |
| TOTALS, EXPENDITURES | $3,845 | $5,164 | $5,567 |

### 0066 Sale of Tobacco to Minors Control Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Less funding provided by the Federal Trust Fund (expenditures) | –$2,000 | –$2,000 | –$2,000 |

### 0139 Driving-Under-the-Influence Program Licensing Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,676 | $1,735 | $1,732 |
| Allocation for employee compensation | 86 | 3 | – |
| Adjustment per Section 3.60 | –53 | –12 | – |
| Totals Available | $1,709 | $1,726 | $1,732 |
| Unexpended balance, estimated savings | –314 | – | – |
| TOTALS, EXPENDITURES | $1,395 | $1,726 | $1,732 |

### 0243 Narcotic Treatment Program Licensing Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,036 | $1,096 | $1,091 |
| Allocation for employee compensation | 79 | 2 | – |
| Increased expenditure authority per Provision 1, Budget Acts | 33 | – | – |
| Adjustment per Section 3.60 | –53 | –13 | – |
| Totals Available | $1,095 | $1,085 | $1,091 |
| Unexpended balance, estimated savings | –28 | – | – |
| TOTALS, EXPENDITURES | $1,067 | $1,085 | $1,091 |

### 0816 Audit Repayment Trust Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $67 | $67 | $67 |

*** Dollars in thousands, except in Salary Range.**

## 4200 DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS—Continued

### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $17,856 | $18,651 | $18,755 |
| Allocation for employee compensation | 896 | 29 | – |
| Adjustment per Section 3.60 | −569 | −131 | – |
| Chapter 1009, Statutes of 1994 (transfer to Sale of Tobacco to Minors Control Account-0066) | (2,000) | (2,000) | (2,000) |
| Budget adjustment | 81 | 342 | – |
| TOTALS, EXPENDITURES | $18,264 | $18,891 | $18,755 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $3,458 | $3,761 | $4,115 |

### 3019 Substance Abuse Treatment Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Health and Safety Code Sections 11999.4 and 11999.10 (expenditures) | – | $1,219 | $2,783 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $26,096 | $29,913 | $32,110 |

---

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $379,145 | $578,196 | $648,296 |
| Loans | – | −105 | −105 |
| TOTALS, EXPENDITURES | $379,145 | $578,091 | $648,191 |

---

### RECONCILIATION WITH APPROPRIATIONS

#### 2 LOCAL ASSISTANCE

#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (Alcohol and Other Drug Services Program) | $32,328 | $56,828 | $56,078 |
| 102 Budget Act appropriation (Drug Medi-Cal Services-Perinatal) | 4,000 | 4,024 | 1,701 |
| 103 Budget Act appropriation (Drug Medi-Cal-Regular) | 31,300 | 40,696 | 62,711 |
| Allocation for contingencies or emergencies | – | 11,842 | – |
| 104 Budget Act appropriation (Perinatal Substance Abuse Program) | 23,635 | 26,135 | 26,957 |
| Transfer to Legislative Claims (9670) | −27 | – | – |
| Transfer to Item 4200-001-0001 per Provision 1, Budget Act of 2000 | – | −500 | – |
| Health and Safety Code Sections 11999.4 and 11999.10 (Transfer to Substance Abuse Treatment Trust Fund) | – | 60,000 | 120,000 |
| Prior year balances available: | | | |
| Item 4200-101-0001, Budget Act of 1999, as reappropriated by Item 4200-490, Budget Act of 2000 | – | 1,772 | – |
| Item 4200-101-0001, Budget Act of 1998, as reappropriated by Item 4200-490, Budget Act of 1999 | 2,427 | – | – |
| Item 4200-102-0001, Budget Act of 1998, as reappropriated by Item 4200-490, Budget Act of 1999 | 1,790 | – | – |
| Item 4200-101-0001, Budget Act of 1997, as reappropriated by Item 4200-490, Budget Acts of 1998 and 1999 | 6,841 | – | – |
| Item 4200-102-0001, Budget Act of 1997, as reappropriated by Item 4200-490, Budget Acts of 1998 and 1999 | 780 | – | – |
| Totals Available | $103,074 | $200,797 | $267,447 |
| Balance available in subsequent years | −1,772 | – | – |
| Unexpended balance, estimated savings | −5,497 | −2,308 | – |
| TOTALS, EXPENDITURES | $95,805 | $198,489 | $267,447 |

#### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $253,251 | $307,855 | $301,855 |
| Budget adjustments | −18,349 | – | – |
| TOTALS, EXPENDITURES | $234,902 | $307,855 | $301,855 |

*** Dollars in thousands, except in Salary Range.**

## 4200 DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS—Continued

### 0977 Resident-Run Housing Revolving Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $144 | $144 | $144 |
| Unexpended balance, estimated savings | −132 | – | – |
| Totals Available | $12 | $144 | $144 |
| Loan repayments from local agencies | – | −105 | −105 |
| TOTALS, EXPENDITURES | $12 | $39 | $39 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $48,426 | $72,927 | $81,633 |

### 3019 Substance Abuse Treatment Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Health and Safety Code Section 11999.4 and 11999.6 | – | $58,781 | $117,217 |
| Less funding provided by the General Fund | – | −60,000 | −120,000 |
| TOTALS, EXPENDITURES | – | −$1,219 | −$2,783 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $379,145 | $578,091 | $648,191 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $405,241 | $608,004 | $680,301 |

---

### FUND CONDITION STATEMENT
### 0139 Driving-Under-the-Influence Program Licensing Trust Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,963 | $2,307 | $1,766 |
| Prior year adjustments | 33 | – | – |
| Balance, Adjusted | $1,996 | $2,307 | $1,766 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 1,668 | 1,165 | 1,165 |
| 164300 Penalty assessments | 38 | 20 | 20 |
| Totals, Revenues and Transfers | $1,706 | $1,185 | $1,185 |
| Totals, Resources | $3,702 | $3,492 | $2,951 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4200 Department of Alcohol and Drug Programs (State Operations) | 1,395 | 1,726 | 1,732 |
| FUND BALANCE | $2,307 | $1,766 | $1,219 |
| Reserve for economic uncertainties | 2,307 | 1,766 | 1,219 |

### 0243 Narcotic Treatment Program Licensing Trust Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $145 | $148 |
| Prior year adjustments | $130 | – | – |
| Balance, Adjusted | $130 | $145 | $148 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 11 | 4 | 4 |
| 125700 Other regulatory licenses and permits | 12 | 15 | 15 |
| 125800 Renewal fees | 1,055 | 1,062 | 1,145 |
| 164300 Penalty assessments | 4 | 7 | 7 |
| Totals, Revenues and Transfers | $1,082 | $1,088 | $1,171 |
| Totals, Resources | $1,212 | $1,233 | $1,319 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4200 Department of Alcohol and Drug Programs (State Operations) | 1,067 | 1,085 | 1,091 |
| FUND BALANCE | $145 | $148 | $228 |
| Reserve for economic uncertainties | 145 | 148 | 228 |

*** Dollars in thousands, except in Salary Range.**

## 4200 DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS—Continued

| 0816 Audit Repayment Trust Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $260 | $343 | $326 |
| Prior year adjustments | 16 | – | – |
| Balance, Adjusted | $276 | $343 | $326 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299000 Other | 134 | 50 | 50 |
| Totals, Resources | $410 | $393 | $376 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4200 Department of Alcohol and Drug Programs (State Operations) | 67 | 67 | 67 |
| FUND BALANCE | $343 | $326 | $309 |

| 0977 Resident-Run Housing Revolving Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $225 | $233 | $194 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from Investments | 20 | – | – |
| Totals, Resources | $245 | $233 | $194 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4200 Department of Alcohol and Drug Programs (Local Assistance) | 12 | 144 | 144 |
| Expenditure Reductions: | | | |
| 4200 Department of Alcohol and Drug Programs: | | | |
| Local Assistance: | | | |
| Loan repayments from local agencies | – | –105 | –105 |
| Total Expenditures | $12 | $39 | $39 |
| FUND BALANCE | $233 | $194 | $155 |

| 3019 Substance Abuse Treatment Trust Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4200 Alcohol and Drug Programs: | | | |
| State Operations | – | $1,219 | $2,783 |
| Local Assistance | – | 58,781 | 117,217 |
| Totals, Disbursements | – | $60,000 | $120,000 |
| Expenditure Reductions: | | | |
| 4200 Alcohol and Drug Programs: | | | |
| Less funding provided by General Fund (local assistance) | – | –60,000 | –120,000 |
| Total Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions [1] (per 7A & 8) | 289.5 | 319.5 | 319.5 | $15,117 | $17,262 | $17,649 |
| Salary adjustments [1] | – | – | – | – | 60 | 176 |
| Totals, Adjusted Authorized Positions | 289.5 | 319.5 | 319.5 | $15,117 | $17,322 | $17,825 |
| Workload and Administrative Adjustments: [1] | | | | | | |
| Workload Adjustments: | | | | | | |
| Directorate: | | | | Salary Range | | |
| Temporary Help | – | – | – | – | 114 | – |
| Total Workload Adjustments | – | – | – | – | $114 | – |

*** Dollars in thousands, except in Salary Range.**

## 4200 DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS—Continued

| Proposed New Positions: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Directorate: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | $3,915–4,759 | – | $49 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 30 |
| Temporary Help | – | – | – | – | – | 104 |
| Office of Criminal Justice Collaboration: | | | | | | |
| C.E.A. I/II | – | 1.0 | 1.0 | 6,954–7,667 | $44 | 88 |
| Staff Svcs Mgr I Supvr | – | 1.0 | 1.0 | 4,520–5,453 | 30 | 60 |
| Assoc Govtl Prog Analyst/SSA | – | 5.0 | 5.0 | 3,915–4,759 | 130 | 259 |
| Secty | – | 1.0 | 1.0 | 2,390–2,906 | 16 | 32 |
| Office of Legal Services: | | | | | | |
| Staff Counsel III | – | 1.0 | 1.0 | 6,573–8,111 | 44 | 87 |
| Office of Applied Research and Analysis: | | | | | | |
| Research Prog Spec II | – | 1.0 | 1.0 | 4,724–5,741 | 31 | 62 |
| Research Prog Spec I | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Program Operations Division: | | | | | | |
| Staff Svcs Mgr I (Non-Supvr) | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Quality Assurance Division: | | | | | | |
| Licensing and Certification Branch: | | | | | | |
| Staff Svcs Mgr I | – | 1.0 | 1.0 | 4,520–5,453 | 30 | 60 |
| Assoc Govtl Prog Analyst | – | 2.0 | 5.0 | 3,915–4,759 | 52 | 259 |
| Ofc Techn | – | 1.0 | 1.0 | 2,348–2,855 | 16 | 31 |
| Division of Administration: | | | | | | |
| Fiscal and Administrative Services Branch: | | | | | | |
| Administrative Services Office: | | | | | | |
| Temporary Help | – | – | – | – | 15 | 30 |
| Human Resources: | | | | | | |
| Temporary Help | – | – | – | – | 25 | 29 |
| Audit Services Branch: | | | | | | |
| Staff Mgt Auditor-Supvr | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Assoc Mgt Auditor | – | – | 1.0 | 4,110–4,997 | – | 54 |
| Staff Svcs Mgt Auditor | – | – | 9.0 | 2,764–4,155 | – | 317 |
| Ofc Techn | – | – | 0.2 | 2,348–2,855 | – | 6 |
| Information Technology Division: | | | | | | |
| Information Technology Group Branch: | | | | | | |
| Staff Info Sys Analyst | – | 1.0 | 1.0 | 4,507–5,480 | 30 | 60 |
| Temporary Help | – | – | – | – | 16 | – |
| Totals, Proposed New Positions | – | 15.0 | 33.2 | – | $479 | $1,794 |
| Total Adjustments | – | 15.0 | 33.2 | – | $653 | $1,970 |
| TOTALS, SALARIES AND WAGES | 289.5 | 334.5 | 352.7 | $15,117 | $17,915 | $19,619 |

[1] 2 Assoc Govtl Prog Analyst positions limited-term through 6/30/02.

# 4220 CHILD DEVELOPMENT POLICY ADVISORY COMMITTEE

The Child Development Policy Advisory Committee provides policy recommendations to the Governor, the Superintendent of Public Instruction, the Legislature, and other relevant state agencies concerning child care and development. The Committee also reviews and evaluates the effectiveness of child development programs and the need for children's services. The Committee provides technical assistance to local child care planning councils and assists the California Department of Education with its state plan for child care.

The Committee consists of 27 members and is composed of representatives from various State agencies, public members (representing health care, child welfare, child care, private and public education, and community action interests) and parents of children in child care programs.

## Authority

Education Code Section 8286.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Child Development Policy Advisory Committee | 4.6 | 8.2 | 8.2 | $769 | $936 | $937 |
| TOTALS, PROGRAMS | 4.6 | 8.2 | 8.2 | $769 | $936 | $937 |
| *0001 General Fund* | | | | *439* | *493* | *494* |
| *0995 Reimbursements* | | | | *330* | *443* | *443* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4220 CHILD DEVELOPMENT POLICY ADVISORY COMMITTEE—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4.6 | 8.4 | 8.4 | $256 | $390 | $401 |
| Estimated Salary Savings | – | −0.2 | −0.2 | – | −19 | −19 |
| Net Totals, Salaries and Wages | 4.6 | 8.2 | 8.2 | $256 | $371 | $382 |
| Staff Benefits | – | – | – | 41 | 73 | 74 |
| Totals, Personal Services | 4.6 | 8.2 | 8.2 | $297 | $444 | $456 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $472 | $492 | $481 |
| TOTALS, EXPENDITURES | | | | $769 | $936 | $937 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $488 | $491 | $494 |
| Allocation for employee compensation | 15 | 1 | – |
| Adjustment per Section 3.60 | −11 | −1 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 2 | – |
| Totals Available | $492 | $493 | $494 |
| Unexpended balance, estimated savings | −53 | – | – |
| TOTALS, EXPENDITURES | $439 | $493 | $494 |
| **0995 Reimbursements** | | | |
| Reimbursements | $330 | $443 | $443 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $769 | $936 | $937 |

## 4250 CALIFORNIA CHILDREN AND FAMILIES COMMISSION

The California Children and Families First Act of 1998 created the California Children and Families Commission, effective December 18, 1998. The Commission is responsible for the implementation of comprehensive and integrated solutions to provide information and services promoting, supporting and improving the early childhood development of children through the age of five. These solutions are to be provided either directly by the Commission or via cooperative efforts with Children and Families County Commissions. The Commission consists of seven voting members. Three of these members are appointed by the Governor, two by the Speaker of the Assembly, and two by the Senate Rules Committee. In addition, the Secretary of Child Development and Education and the Secretary of the Health and Human Services Agency each serve as ex-officio members of the Commission. The administration of the Commission's state-level operations is performed by both exempt and civil service employees.

The Commission receives funding via a 50-cent per package surtax on cigarettes, which became effective January 1, 1999, and an equivalent surtax on other tobacco related products, which became effective July 1, 1999. These funds are deposited into the California Children and Families Trust Fund. Per statutory requirement, based upon the annual findings of the State Board of Equalization, the Commission provides for the reimbursement to the State Department of Health Services for specified decreases in Proposition 99 tobacco tax revenues attributable to reduced tobacco product consumption caused by the new surcharges. These reimbursements are limited to tax revenue reductions incurred by the Tobacco Health Education and Research Programs and the Breast Cancer Fund. Eighty percent of the remainder of the Trust Fund is allocated to County Commissions for the provision of early childhood development. (Each county receives funding based upon the number of live births in the county, measured by the residence of the mother, compared to the statewide figure.) The remaining 20 percent is allocated to the Commission for the provision of mass media communications, education programs, child care programs, research and development and administration services. The Commission began funding initiatives using the various accounts in January 2000. These projects address recognized needs related to children's health care, child care and development and family literacy. In addition, in keeping with the mandates included in the initiative, the Commission implemented a statewide education and outreach campaign in early 2000.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Totals, California Children and Families Commission (Special Funds) | $784,300 | $622,217 | $656,680 |

### Authority

Health and Safety Code, Division 108; Section 130100 et seq., Revenue and Taxation Code, Division 2, Part 13, Chapter 2, Article 3, Section 30131 et seq.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4250 CALIFORNIA CHILDREN AND FAMILIES COMMISSION—Continued

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **0638 Administration Account, California Children and Families Trust Fund [s]** | | | |
| The amount deposited in this account is equal to one percent of the net [a] amount deposited into the Fund. These expenditures [b] are for the administrative functions of the state commission | $2,168 | $4,550 | $4,725 |
| TOTALS, EXPENDITURES (State Operations) | $2,168 | $4,550 | $4,725 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **0585 Counties Children and Families Account, California Children and Families Trust Fund [s]** | | | |
| The amount deposited in this account is equal to eighty percent of the net [a] amount deposited into the California Children and Families Trust Fund. Subventions (expenditures) [b] | $764,976 | $539,520 | $539,520 |
| **0631 Mass Media Communications Account, California Children and Families Trust Fund [s]** | | | |
| The amount deposited in this account is equal to six percent of the net [a] amount deposited into the Fund. These expenditures [b] are for communications to the general public to encourage proper early childhood development, assist with the informed selection of child care, provide information regarding health and social services, and prevent the use of tobacco, alcohol, and drugs by pregnant women | $13,966 | $22,350 | $40,000 |
| **0634 Education Account, California Children and Families Trust Fund [s]** | | | |
| The amount deposited in this account is equal to five percent of the net [a] amount deposited into the Fund. These expenditures [b] are for educational programs, including the development of educational materials, the education and training of parents and professionals, and the provision of technical assistance to county commissions | $2,581 | $24,845 | $18,200 |
| **0636 Child Care Account, California Children and Families Trust Fund [s]** | | | |
| The amount deposited in this account is equal to three percent of the net [a] amount deposited into the Fund. These expenditures [b] are for child care programs including the education and training of child care providers and the development of educational materials and guidelines for child care providers | – | $17,667 | $24,849 |
| **0637 Research and Development Account, California Children and Families Trust Fund [s]** | | | |
| The amount deposited in this account is equal to three percent of the net [a] amount deposited into the Fund. These expenditures [b] are for the research and development of appropriate standards for early childhood development and for the evaluation of such programs | $11 | $5,700 | $10,500 |

*** Dollars in thousands, except in Salary Range.**

## 4250 CALIFORNIA CHILDREN AND FAMILIES COMMISSION—Continued

| 0639 Unallocated Account, California Children and Families Trust Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| The amount deposited in this account is equal to two percent of the net [a] amount deposited into the Fund. These expenditures [b] are for any purposes related to early childhood development except for the administrative functions of the state commission | $598 | $7,585 | $18,886 |
| TOTALS, EXPENDITURES (Local Assistance) | $782,132 | $617,667 | $651,955 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $784,300 | $622,217 | $656,680 |

[a] Net proceeds after refunds, reimbursements, and transfers made pursuant to Section 30131.3 of the Revenue and Taxation Code.
[b] These moneys are continuously appropriated pursuant to Section 30131.3 of the Revenue and Taxation Code.

### FUND CONDITION STATEMENT

| 0585 Counties Children and Families Account, California Children and Families Trust Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $315,118 | $84,438 | $67,809 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from SMIF | 1,468 | 2,000 | 2,000 |
| Transfers from Other Funds: | | | |
| F00623 California Children and Families Trust Fund per Health and Safety Code Section 130105 | 532,828 | 520,891 | 512,841 |
| Totals, Revenues and Transfers | $534,296 | $522,891 | $514,841 |
| Totals, Resources | $849,414 | $607,329 | $582,650 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4250 California Children and Families Commission (to Local Governments) (Local Assistance) | 764,976 | 539,520 | 539,520 |
| FUND BALANCE | $84,438 | $67,809 | $43,130 |
| Reserve for economic uncertainties | 84,438 | 67,809 | 43,130 |
| **0623 California Children and Families Trust Fund [s]** | | | |
| BEGINNING BALANCE | – | – | – |
| Prior year adjustments | $1,576 | – | – |
| Balance, Adjusted | $1,576 | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 110500 Cigarette Tax (Surtax) | 686,146 | $672,000 | $662,000 |
| 150300 Income from SMIF | 3,189 | 4,000 | 4,000 |
| Totals, Revenues | $689,335 | $676,000 | $666,000 |
| Transfers to Other Funds: | | | |
| T00004 Breast Cancer Fund per Health and Safety Code Section 130105 | –5,400 | –5,400 | –5,400 |
| T00231 Health Education Account, Cigarette and Tobacco Product Surtax per Health and Safety Code Section 130105 | –14,880 | –14,880 | –14,880 |
| T00234 Research Account, Cigarette and Tobacco Product Surtax per Health and Safety Code Section 130105 | –3,720 | –3,720 | –3,720 |
| T00585 Counties Children and Families Account, California Children and Families Trust Fund per Health and Safety Code Section 130105 | –532,828 | –520,891 | –512,841 |
| T00631 Mass Media Communications Account, California Children and Families Trust Fund per Health and Safety Code Section 130105 | –39,962 | –39,067 | –38,463 |
| T00634 Education Account, California Children and Families Trust Fund per Health and Safety Code Section 130105 | –33,302 | –32,556 | –32,053 |
| T00636 Child Care Account, California Children and Families Trust Fund per Health and Safety Code Section 130105 | –19,981 | –19,533 | –19,231 |
| T00637 Research and Development Account, California Children and Families Trust Fund per Health and Safety Code Section 130105 | –19,981 | –19,533 | –19,231 |

*** Dollars in thousands, except in Salary Range.**

## 4250 CALIFORNIA CHILDREN AND FAMILIES COMMISSION—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| T00638 Administration Account, California Children and Families Trust Fund per Health and Safety Code Section 130105 | –$6,660 | –$6,511 | –$6,411 |
| T00639 Unallocated Account, California Children and Families Trust Fund per Health and Safety Code Section 130105 | –13,321 | –13,023 | –12,821 |
| Totals, Transfers to Other Funds | –$690,035 | –$675,114 | –$665,051 |
| Totals, Revenues and Transfers | –$700 | $886 | $949 |
| Totals, Resources | $876 | $886 | $949 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0860 State Board of Equalization (State Operations) | 876 | 886 | 949 |
| FUND BALANCE | – | – | – |
| **0631 Mass Media Communications Account, California Children and Families Trust Account [s]** | | | |
| BEGINNING BALANCE | $23,634 | $50,953 | $67,820 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from SMIF | 1,323 | 150 | 150 |
| Transfers from Other Funds: | | | |
| F00623 California Children and Families Trust Fund per Health and Safety Code Section 130105 | 39,962 | 39,067 | 38,463 |
| Totals, Revenues and Transfers | $41,285 | $39,217 | $38,613 |
| Totals, Resources | $64,919 | $90,170 | $106,433 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4250 California Children and Families Commission (Local Assistance) | 13,966 | 22,350 | 40,000 |
| FUND BALANCE | $50,953 | $67,820 | $66,433 |
| Reserve for economic uncertainties | 50,953 | 67,820 | 66,433 |
| **0634 Education Account, California Children and Families Trust Fund [s]** | | | |
| BEGINNING BALANCE | $14,207 | $45,856 | $53,627 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from SMIF | 928 | 60 | 60 |
| Transfers from Other Funds: | | | |
| F00623 California Children and Families Trust Fund per Health and Safety Code Section 130105 | 33,302 | 32,556 | 32,053 |
| Totals, Revenues and Transfers | $34,230 | $32,616 | $32,113 |
| Totals, Resources | $48,437 | $78,472 | $85,740 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4250 California Children and Families Commission (Local Assistance) | 2,581 | 24,845 | 18,200 |
| FUND BALANCE | $45,856 | $53,627 | $67,540 |
| Reserve for economic uncertainties | 45,856 | 53,627 | 67,540 |
| **0636 Child Care Account, California Children and Families Trust Fund [s]** | | | |
| BEGINNING BALANCE | $11,817 | $32,501 | $34,442 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from SMIF | 703 | 75 | 75 |
| Transfers from Other Funds: | | | |
| F00623 California Children and Families Trust Fund per Health and Safety Code Section 130105 | 19,981 | 19,533 | 19,231 |
| Totals, Revenues and Transfers | $20,684 | $19,608 | $19,306 |
| Totals, Resources | $32,501 | $52,109 | $53,748 |

*** Dollars in thousands, except in Salary Range.**

## 4250 CALIFORNIA CHILDREN AND FAMILIES COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4250 California Children and Families Commission (Local Assistance) | – | $17,667 | $24,849 |
| FUND BALANCE | $32,501 | $34,442 | $28,899 |
| Reserve for economic uncertainties | 32,501 | 34,442 | 28,899 |

### 0637 Research and Development Account, California Children and Families Trust Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $11,817 | $32,490 | $46,398 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from SMIF | 703 | 75 | 75 |
| Transfers from Other Funds: | | | |
| F00623 California Children and Families Trust Fund per Health and Safety Code Section 130105 | 19,981 | 19,533 | 19,231 |
| Totals, Revenues and Transfers | $20,684 | $19,608 | $19,306 |
| Totals, Resources | $32,501 | $52,098 | $65,704 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4250 California Children and Families Commission (Local Assistance) | 11 | 5,700 | 10,500 |
| FUND BALANCE | $32,490 | $46,398 | $55,204 |
| Reserve for economic uncertainties | 32,490 | 46,398 | 55,204 |

### 0638 Administration Account, California Children and Families Trust Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,900 | $8,588 | $10,574 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from SMIF | 196 | 25 | 25 |
| Transfers from Other Funds: | | | |
| F00623 California Children and Families Trust Fund per Health and Safety Code Section 130105 | 6,660 | 6,511 | 6,411 |
| Totals, Revenues and Transfers | $6,856 | $6,536 | $6,436 |
| Totals, Resources | $10,756 | $15,124 | $17,010 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4250 California Children and Families Commission (State Operations) | 2,168 | 4,550 | 4,725 |
| FUND BALANCE | $8,588 | $10,574 | $12,285 |
| Reserve for economic uncertainties | 8,588 | 10,574 | 12,285 |

### 0639 Unallocated Account, California Children and Families Trust Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $7,878 | $21,053 | $26,541 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from SMIF | 452 | 50 | 50 |
| Transfers from Other Funds: | | | |
| F00623 California Children and Families Trust Fund per Health and Safety Code Section 130105 | 13,321 | 13,023 | 12,821 |
| Totals, Revenues and Transfers | $13,773 | $13,073 | $12,871 |
| Totals, Resources | $21,651 | $34,126 | $39,412 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4250 California Children and Families Commission (Local Assistance) | 598 | 7,585 | 18,886 |
| FUND BALANCE | $21,053 | $26,541 | $20,526 |
| Reserve for economic uncertainties | 21,053 | 26,541 | 20,526 |

*** Dollars in thousands, except in Salary Range.**

# 4260 DEPARTMENT OF HEALTH SERVICES

The Department of Health Services (DHS) administers a broad range of public health programs and the California Medical Assistance Program (Medi-Cal), which provides health care services to qualified low-income persons and families. Beneficiaries of the Medi-Cal program include low-income families eligible for the CalWORKs program (public assistance), the aged, blind and disabled, children in families with low-incomes or in foster care, and pregnant women. Expenditures for medical benefits are shared about equally by the General Fund and federal funds. The Medi-Cal budget also includes additional federal funding for: (1) payments to hospitals serving a disproportionate number of Medi-Cal or other low-income patients and (2) matching funds for related programs in other state departments. Many of the DHS programs complement and support the activities of local health agencies in controlling environmental hazards, preventing and controlling disease, and providing health services to populations who have special needs.

The Department of Health Services' goals are to:

1. Promote an environment contributing to human health and well-being.
2. Assure the availability of equal access to comprehensive health services using public and private resources.
3. Emphasize prevention-oriented health care programs.
4. Promote the development of knowledge concerning the causes and cures of illness.
5. Assure effective expenditure of public funds to serve those with the greatest health care needs.

These goals are carried out through three programs: Public and Environmental Health, Health Care Services, and Departmental Administration. Health-related local mandate reimbursements also are included in the Department's budget.

| SUMMARY OF PROGRAM REQUIREMENTS | | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|---|
| 10 | Public and Environmental Health | 1,116.7 | 1,326.5 | 1,269.6 | $565,289 | $871,726 | $898,422 |
| 10.10 | Health Information and Strategic Planning | (142.3) | (197.8) | (187.4) | (16,797) | (18,484) | (17,950) |
| 10.20 | Environmental Controls | (475.9) | (599.0) | (569.6) | (20,354) | (192,155) | (179,255) |
| 10.30 | Public Health Services | (498.5) | (529.7) | (512.6) | (528,138) | (661,087) | (701,217) |
| 20 | Health Care Services | 3,052.3 | 3,614.5 | 3,591.8 | 23,889,986 | 26,625,070 | 27,274,969 |
| 20.10 | Medical Care Services (Medi-Cal) | (1,638.3) | (2,072.6) | (2,050.5) | (22,197,035) | (24,805,313) | (25,435,398) |
| 20.20 | Licensing and Certification | (716.9) | (844.8) | (845.9) | (79,460) | (110,576) | (112,838) |
| 20.30 | County Health Services | (95.0) | (59.0) | (58.1) | (89,081) | (115,926) | (116,987) |
| 20.40 | Primary Care and Family Health | (602.1) | (638.1) | (637.3) | (1,524,410) | (1,593,255) | (1,609,746) |
| 30.01 | Administration | 776.7 | 716.2 | 669.2 | 34,115 | 35,697 | 37,999 |
| 30.02 | Distributed Administration | – | – | – | –34,058 | –33,672 | –36,343 |
| 10 | Public and Environmental Health | – | – | – | (9,536) | (11,699) | (13,034) |
| 20 | Health Care Services | – | – | – | (24,522) | (21,973) | (23,309) |
| 98 | State-Mandated Local Programs | – | – | – | 4,668 | 12,448 | 7,574 |
| TOTALS, PROGRAMS | | 4,945.7 | 5,657.2 | 5,530.6 | $24,460,000 | $27,511,269 | $28,182,621 |
| *0001* | *General Fund* | | | | *8,664,874* | *10,210,661* | *9,998,912* |
| *0007* | *Breast Cancer Research Account* | | | | *1,620* | *1,624* | *1,625* |
| *0009* | *Breast Cancer Control Account* | | | | *14,471* | *26,173* | *20,491* |
| *0029* | *Nuclear Planning Assessment Special Account* | | | | *554* | *486* | *572* |
| *0044* | *Motor Vehicle Account, State Transportation Fund* | | | | *812* | *831* | *945* |
| *0066* | *Sale of Tobacco to Minors Control Account* | | | | *1,786* | *2,187* | *2,179* |
| *0070* | *Occupational Lead Poisoning Prevention Account* | | | | *3,071* | *2,954* | *2,967* |
| *0074* | *Medical Waste Management Fund* | | | | *1,003* | *904* | *901* |
| *0075* | *Radiation Control Fund* | | | | *13,764* | *17,138* | *17,993* |
| *0076* | *Tissue Bank License Fund* | | | | *129* | *411* | *533* |
| *0080* | *Childhood Lead Poisoning Prevention Fund* | | | | *15,373* | *17,456* | *17,793* |
| *0082* | *Export Document Program Fund* | | | | *104* | *144* | *132* |
| *0098* | *Clinical Laboratory Improvement Fund* | | | | *4,476* | *5,865* | *5,999* |
| *0099* | *Health Statistics Special Fund* | | | | *12,507* | *12,592* | *12,316* |
| *0116* | *Wine Safety Fund* | | | | *36* | *54* | *48* |
| *0129* | *Water Device Certification Special Account* | | | | *68* | *161* | *162* |
| *0177* | *Food Safety Fund* | | | | *3,055* | *4,015* | *4,330* |
| *0179* | *Environmental Laboratory Improvement Fund* | | | | *2,727* | *2,901* | *3,476* |
| *0203* | *Genetic Disease Testing Fund* | | | | *57,795* | *62,714* | *64,871* |
| *0231* | *Health Education Account, Cigarette and Tobacco Products Surtax Fund* | | | | *60,319* | *87,074* | *86,494* |
| *0232* | *Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | | | | *76,713* | *75,521* | *75,314* |
| *0233* | *Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | | | | *7,538* | *8,502* | *7,523* |
| *0234* | *Research Account, Cigarette and Tobacco Products Surtax Fund* | | | | *1,719* | *5,050* | *4,930* |
| *0236* | *Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | | | | *78,562* | *56,242* | *68,940* |
| *0247* | *Drinking Water Operator Certification Special Account* | | | | *–* | *1,226* | *1,197* |
| *0272* | *Infant Botulism Treatment and Prevention Fund* | | | | *1,099* | *1,285* | *1,727* |
| *0279* | *Child Health and Safety Fund* | | | | *487* | *491* | *491* |
| *0306* | *Safe Drinking Water Account* | | | | *7,538* | *7,855* | *7,807* |
| *0335* | *Registered Environmental Health Specialist Fund* | | | | *106* | *167* | *165* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0478 Mosquitoborne Disease Surveillance Account* | $27 | $34 | $36 |
| *0589 Cancer Research Fund* | 24,255 | 24,945 | 24,951 |
| *Less funding provided by the General Fund* | −25,000 | −25,000 | −25,000 |
| *0622 Drinking Water Treatment and Research Fund* | 3,438 | 5,204 | 5,063 |
| *0625 Administration Account* | 2,977 | 3,388 | 3,381 |
| *0626 Water Systems Reliability Account* | 81 | 3,212 | 2,000 |
| *0627 Source Protection Account* | 849 | 2,896 | 2,960 |
| *0628 Small System Technical Assistance Account* | 822 | 1,676 | 1,691 |
| *0629 Safe Drinking Water, State Revolving Fund* | 6,982 | 104,400 | 87,482 |
| *Less funding provided by the General Fund* | −15,417 | – | – |
| *Less funding provided by the Federal Trust Fund* | −69,400 | −164,000 | −87,482 |
| *0642 Domestic Violence Training and Education Fund* | 715 | 746 | 773 |
| *0693 Emergency Services and Supplemental Payments Fund* | 616,329 | 627,346 | 613,454 |
| *Less funding provided by the General Fund* | – | −12,000 | – |
| *0823 California Alzheimer's Disease and Related Disorders Research Fund* | 390 | 279 | 291 |
| *0834 Medi-Cal Inpatient Payment Adjustment Fund* | 1,233,746 | 960,350 | 861,852 |
| *0890 Federal Trust Fund* | 13,380,094 | 15,025,684 | 15,642,666 |
| *0900 Local Health Capital Expenditure Account, County Health Services Fund* | 17 | 17 | 17 |
| *0919 Birth Defects Research Fund* | – | 400 | 400 |
| *0942 Citation Penalties Account, Special Deposit Fund* | 394 | 3,315 | 3,316 |
| *0995 Reimbursements* | 266,395 | 335,693 | 337,720 |
| *3018 Drug and Device Safety Fund* | – | – | 1,312 |
| *3020 Tobacco Settlement Fund* | – | – | 294,905 |

## 10 PUBLIC AND ENVIRONMENTAL HEALTH

### Program Objectives Statement

The objectives of this program are to prevent disease and premature death and to enhance the health and well being of all Californians by:

- Providing quality biomedical, bioenvironmental, and forensic alcohol and methadone drug analysis laboratory services.
- Developing partnerships with and regulating businesses and industries to achieve and maintain a healthful environment.
- Coordinating efforts to minimize the incidence, prevalence, and duration of communicable diseases, environmental and occupational hazards, injuries, and chronic diseases through prevention related programs.
- Working with local public health and environmental health agencies which share the legal responsibility for protecting and enhancing public health.
- Incorporating prevention services and education into comprehensive primary care services.
- Designing and evaluating the cost effectiveness of selected treatment strategies.
- Supporting research into the cause, prevention, early detection, diagnosis, and treatment of cancer.

### Major Budget Adjustment Included in 2000–01

- $816,000 (Reimbursements) from the American Legacy Foundation to further decrease youth smoking prevalence by augmenting existing youth-focused efforts in the community and in schools.

### Major Budget Adjustments Proposed for 2001–02

- $20.0 million (Tobacco Settlement Fund), for youth tobacco usage prevention programs; of this amount, $5 million is provided on a one-time basis.
- $10.0 million (Tobacco Settlement Fund) and 2.0 positions (1.9 personnel years) for expansion of the Prostate Cancer Treatment Program.
- $4.0 million (Reimbursements) from the California Children and Families Commission and 4.0 limited term positions (3.7 personnel years) for continuation of the Childhood Asthma Initiative.
- $3.9 million (federal funds) and $440,000 (Reimbursements) for an increase to the AIDS Drug Assistance Program to meet caseload demand and program cost increases.
- $3.0 million (General Fund) for local public health departments to track emerging and infectious diseases.
- $1.8 million (Radiation Control Fund) to continue the development of a new automated tracking system to replace existing systems for radiation licensing, certification, registration, enforcement, tracking and reporting.
- $1.3 million (Drug and Device Safety Fund) and 6.0 permanent positions (5.7 personnel years) to implement Chapter 837, Statutes of 2000, which creates a new licensing and inspection program to regulate medical device retailers.
- $1.0 million (Reimbursements) from the American Legacy Foundation to continue 2000–01 efforts to further decrease youth smoking prevalence by augmenting existing youth-focused efforts in the community and in schools.
- $853,000 (General Fund) on a one-time basis and 2.0 one-year limited-term positions (1.9 personnel years) to upgrade the Response and Surveillance System for Childhood Lead Exposure database.
- $717,000 (federal funds) to provide full-year funding for the HIV Resistance Testing Program begun in 1999–00.
- $463,000 (Infant Botulism Treatment and Prevention Fund) to license Botulism Immune Globulin and allow for repayment of General Fund loans.
- $434,000 (General Fund) on a one-time basis to conduct a baseline health study on the residents of the William Mead Homes public housing project in Los Angeles as mandated by Chapter 503, Statutes of 2000.
- $546,000 (Health Statistics Special Fund) to provide permanent position and budget authority for 17.0 limited-term positions (8.1 personnel years) at the Center for Health Statistics, effective January 1, 2002.
- $250,000 (Childhood Lead Poisoning Prevention Fund) on a one-time basis to implement the requirements of the Lead Poisoning Prevention Act of 2000 (Chapter 540, Statutes of 2000) to provide outreach to licensed health care providers to expand the screening of children at risk for lead poisoning.
- Permanent establishment of 20.5 previously limited-term positions (19.5 personnel years) for the Safe Drinking Water Program to enable the Capacity Development Program to meet federal requirements.

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

- Permanent establishment of 4.0 limited term positions (3.8 personnel years) pursuant to Chapter 812, Statutes of 1999, which extended the Drinking Water Treatment and Research Program until January 1, 2011.

### Authority

Health and Safety Code, Sections 1600–1677, 2200–2202, 100100–100920, 102100–103925, 104100–105430, 106500–119309, 120100–122410; Labor Code, Section 147.2; Revenue and Taxation Code, Sections 30121–30130, 30461.6; Food and Agriculture Code, Section 14103; Business and Professions Code, Sections 1200–1327, 22950–22961; Government Code, Section 8595; Penal Code, Sections 1203.097 and 11166.9; Title XXVI, Public Health Services Act, Part B, Sections 2611–2617.

## 10.10 Health Information and Strategic Planning

### Program Element Statement

The objectives of the Health Information and Strategic Planning element are to provide financial support and professional consultation and assistance to local health systems. The components included in this element are the Center for Health Statistics and Local Public Health Services.

## 10.20 Environmental Controls

### Program Element Statement

The objectives of the Environmental Controls element are to protect the public from consuming unsafe drinking water; to regulate the generation, handling, and disposal of medical waste; to oversee the disposal of low-level radioactive wastes; and to protect and manage food, drug, medical device, and radiation sources. The components included in this element are Drinking Water and Environmental Management, Food, Drug, and Radiation Safety, and the laboratories associated with these activities.

## 10.30 Public Health Services

### Program Element Statement

The objectives of the Public Health Services Element are to prevent and control chronic diseases including lung cancer, breast and cervical cancer and cardiovascular diseases, diabetes and other conditions; to investigate, prevent and control infectious disease; to prevent and control environmental and occupational diseases; to protect, preserve, and enhance the quantity and quality of life with regard to identifiable causes of death, illness, and disability; and to assess, prevent, and interrupt the transmission of HIV and provide for the needs of HIV-infected Californians. The components included in this element are Communicable Disease Control, Environmental and Occupational Disease Control, Chronic Disease and Injury Control, AIDS, and the laboratories associated with these activities.

## 20 HEALTH CARE SERVICES

### Program Objectives Statement

The major objective of the Health Care Services Program is to ensure low-income Californians have access to appropriate health care. An additional objective is to ensure that publicly funded, medically necessary health services are delivered to eligible persons at the lowest possible cost.

Health Care Services is comprised of four elements: Medical Care Services (Medi-Cal), Licensing and Certification, County Health Services, and Primary Care and Family Health.

### Major Budget Adjustments Included in 2000–01

- $203.8 million (General Fund) and an increase of $282.4 million (federal funds) for enrollment, utilization, cost and other adjustments to the Medi-Cal Program.
- $3.9 million (General Fund) for the administrative costs associated with adding the Prevnar vaccine to the Child Health and Disability Prevention Program.
- $2.2 million ($1.6 million General Fund) for caseload changes in the California Children's Services Program.
- $1.6 million (General Fund) for caseload changes in the Genetically Handicapped Persons Program.
- $2.3 million (General Fund) savings due to caseload changes in the Child Health and Disability Prevention Program.
- $1.3 million (Genetic Disease Testing Fund) to conduct an evaluation of newborn genetic screening using Tandem Mass Spectrometry, pursuant to Chapter 807, Statutes of 2000.
- $44,000 (Reimbursements) and 1.0 permanent position (0.5 personnel year), to provide a nurse for local Public Health services in Lassen County.

### Major Budget Adjustments Proposed for 2001–02

- $132 million (General Fund) decrease and an increase of $666 million (federal funds) for enrollment, utilization, and cost changes and other adjustments to the Medi-Cal Program.
- $20.0 million (Reimbursements) and 6.0 positions (5.7 personnel years) to continue the Community Challenge Grant program.
- $18.7 million ($2.0 million General Fund) and 15.1 positions (14.3 personnel years) on a limited-term basis to comply with the electronic transactions and code set requirements of the administrative simplification provision of the federal Health Insurance Portability and Accountability Act.
- $7.7 million ($3.8 million General Fund) and 10.0 positions (9.4 personnel years) to contract for Los Angeles County Section 1115 Waiver monitoring activities and resources to fulfill contractor/county monitoring and auditing responsibilities.
- $6.6 million (General Fund) for the administrative costs associated with adding the Prevnar vaccine to the Child Health and Disability Prevention Program.
- $5.8 million ($5.7 million General Fund) for caseload changes in the Child Health and Disability Prevention Program.
- $4.8 million (General Fund) for caseload changes in the Genetically Handicapped Persons Program.
- $5.3 million ($4.3 million General Fund) for caseload changes in the California Children's Services Program.
- $1.8 million ($450,000 General Fund) and permanent establishment of 22.0 previously limited-term positions (20.8 personnel years) to conduct utilization reviews of Treatment Authorization Requests.

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

- $1.4 million ($697,000 General Fund) to permanently establish 16.0 previously limited-term positions (15.2 personnel years) to continue the Medi-Cal Fraud Prevention Bureau's anti-fraud efforts.
- $1.9 million (1.0 million General Fund) and 22.5 positions (21.4 personnel years) to build upon the Aging with Dignity Initiative to increase the quality of care in nursing homes, as authorized by Chapter 451, Statutes of 2000.
- $1.0 million ($508,000 General Fund) to study the development of an assisted living waiver to increase the alternatives to nursing home care.
- $1.0 million ($500,000 General Fund) to develop an assessment tool for individuals who qualify for long-term care in a community setting.
- $983,000 (Environmental Laboratory Improvement Fund), 7.0 new positions (6.7 personnel years), and permanent establishment of 6.0 previously limited-term positions (5.6 personnel years) to support workload increases required to implement the National Environmental Laboratory Accreditation Program.
- $493,000 ($147,000 General Fund) and 5.5 positions (5.2 personnel years) to develop drug cost containment measures and address workload increases related to Medi-Cal drug program activities.
- $463,000 ($231,000 General Fund) and continuation of 6.0 limited-term positions (5.7 personnel years) to ensure long-term care facilities are in compliance with wage pass through requirements.
- $371,000 (Tissue Bank License Fund) and 2.0 limited-term positions (1.9 personnel years) to implement required Uniform Anatomical Gift Act oversight.
- $300,000 (Genetic Disease Testing Fund) and 6.0 permanent positions (5.7 personnel years) to conduct an evaluation of newborn genetic screening using Tandem Mass Spectrometry.
- $291,000 ($145,000 General Fund) and continuation of 4.0 positions (3.8 personnel years) for the ongoing implementation of the Medi-Cal for Children/Healthy Families outreach campaigns.
- $234,000 ($117,000 General Fund) and continuation of 3.0 positions (2.8 personnel years) for ongoing workload related to the 250 Percent Working Disabled Program, the Transitional Medi-Cal Program, and the AB 359 Program for Continuous Skilled Nursing.
- $222,000 ($184,000 General Fund) to permanently establish 3.0 previously limited-term positions (2.8 personnel years) to sustain efforts to enhance the health and safety of developmentally-disabled individuals residing in community-based health facilities and the frail elderly living in skilled nursing facilities.
- $192,000 (Clinical Laboratory Improvement Fund) and 3.0 positions (2.9 personnel years) to implement the second year of a three-year phase-in of the phlebotomist certification program.
- $187,000 (General Fund) and 2.0 limited-term positions (1.9 personnel years) to adopt a formal plan to eliminate or substantially reduce medication-related errors as authorized by Chapter 816, Statutes of 2000.

### Authority

Federal Social Security Act, Titles XVIII and XIX, Sections 1102, (42 USC 1302), 1902(a)(44) and 1905(a)(4)(B); Government Code, Sections 11000–12000; Health and Safety Code, Sections 1179–1179.6, 124975–124995, 125200–125220, 123800–123980, 123995–123485, 288–293, 123225–123250, 123275, 124025–125035, 125125–125175, 120450–120455, 101175–101305, 124400–124940, 1200–1794.01, 106955–107175, 114650–115271.4, 1442.5, 118425 et seq.; Welfare and Institutions Code, Sections 14000–14196, 14500 et seq., 16800.5–16818, 16900–17005, 17608.10–17609.1, 24000 et seq., 18993–18993.9, Chapter 197, Statutes of 1996; Insurance Code, Section 12693.91; California Code of Regulations, Title 22.

## 20.10 Medical Care Services (Medi-Cal)

### Program Element Statement

The Medical Care Services (Medi-Cal) element is responsible for coordinating and directing the delivery of health care services to low-income Californians. The objective of Medi-Cal is to provide eligible persons access to quality medical care in a fiscally prudent manner through both fee-for-service and managed care delivery systems. Medi-Cal activities are carried out through five Divisions: Medi-Cal Policy, Medi-Cal Operations, Payment Systems, Medi-Cal Managed Care, and Audits and Investigations.

## 20.20 Licensing and Certification

### Program Element Statement

The Licensing and Certification element regulates the quality of care in approximately 7,000 public and private health facilities, clinics and agencies throughout the State as well as for over 580,000 Certified Nurse Assistants, Home Health Aides, and Hemodialysis Technicians, and other direct care staff. The Licensing Division also licenses and inspects approximately 6,350 laboratory facilities and licenses 15,000 laboratory personnel. Also included under this element is a portion of support costs for Audits and Investigations.

## 20.30 County Health Services

### Program Element Statement

The County Health Services element disburses and monitors funds to counties for hospital, physician and related health services. Funded county programs include: California Healthcare for Indigents Program (CHIP), Rural Health Services (RHS), Preventive Health Block Grant, and the State Subvention Program. The County Medical Services Program (CMSP), serving Medically Indigent Adults, is supported by the Department under contracts with the CMSP Governing Board.

## 20.40 Primary Care and Family Health

### Program Element Statement

The Primary Care and Family Health element administers programs that assure access to comprehensive and coordinated family-centered, community-based, preventive and primary care services to low-income women, infants, children, and families. These programs include Primary and Rural Health Care, Child Health and Disability Prevention, Maternal and Child Health, Genetically Handicapped Persons Program, California Children's Services, Genetic Disease Testing, Family Planning Services, and the Supplemental Nutrition Program for Women, Infants, and Children (WIC).

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 30 DEPARTMENTAL ADMINISTRATION

#### Program Objectives Statement

The objective of the Administration program is to provide overall management, planning, policy development, legal services and administrative support services to other departmental programs. This program is carried out by the Executive Division, Legal Office, Office of Civil Rights, Office of Multi-Cultural Health, Information Technology Division, Medi-Cal, Fraud Prevention, the Administration Division, and program division offices.

#### Major Budget Adjustment Included in 2000–01

- $1.8 million ($823,000 General Fund) for employee compensation increases.

#### Major Budget Adjustments Proposed for 2001–02

- $3.8 million ($1.6 million General Fund) for employee compensation increases.
- $3.3 million (General Fund) to occupy, operate and support Phase II of the Richmond Laboratory Complex and to operate the existing Berkeley Laboratory until closure.
- $1.6 million ($793,000 General Fund) to support increased department-wide information technology.
- $1.2 million ($302,000 General Fund) to support data-feed quality enhancements to the Management Information System and Decision Support System.
- $783,000 ($391,000 General Fund) on a one-time basis to support a departmental upgrade to Windows 2000.
- $748,000 (General Fund) to provide ongoing information technology support to the Richmond Laboratory Complex.
- $607,000 (federal funds) for the conversion and upgrade of the COBOL software that supports the Medi-Cal statewide eligibility and claims systems.
- $204,000 ($102,000 General Fund) for the continuation of 3.0 limited-term positions (2.8 personnel years) within the Office of Long Term Care to administer local planning grants and implement the Long Term Care Integration Project.

### 98 STATE-MANDATED LOCAL PROGRAMS

#### Program Objectives Statement

The objective of this program is to provide funding, pursuant to Section 6 of Article XIIIB of the California Constitution, to reimburse local government for costs to comply with certain state-mandated local programs. Funding for specified mandates is proposed for inclusion in the Budget Act and in the Commission on State Mandates' 995 claims bill.

*** Dollars in thousands, except in Salary Range.**

## LOCAL ASSISTANCE APPROPRIATION SUMMARY
(Dollars in Thousands)

| | CATEGORY NAME | 1999–00 TOTAL PY | 1999–00 GEN FUND | 1999–00 FED FUNDS | 1999–00 REIMB FUND | 1999–00 OTHER FUNDS | 1999–00 C&TPS FUND | 2000–01 TOTAL CY | 2000–01 GEN FUND | 2000–01 FED FUNDS | 2000–01 REIMB FUND | 2000–01 OTHER FUNDS | 2000–01 C&TPS FUND | 2001–02 TOTAL BY | 2001–02 GEN FUND | 2001–02 FED FUNDS | 2001–02 REIMB FUND | 2001–02 OTHER FUNDS | 2001–02 C&TPS FUND |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 10 | PUBLIC AND ENVIRONMENTAL HEALTH | | | | | | | | | | | | | | | | | | |
| 10.10.010 | Vital Records Improvement | $60 | – | – | – | $60 [e] | – | $700 | $400 | – | – | $300 [e] | – | $300 | – | – | – | $300 [e] | – |
| 10.20.010 | Environmental Management | 410 | $410 | – | – | – | – | 960 | 960 | – | – | – | – | 960 | $960 | – | – | – | – |
| 10.20.040 | Drinking Water | 11,065 | – | $11,065 | – | –74,583 [f] | – | 108,868 | 63 | $165,952 | – | –57,147 [f] | – | 92,248 | 63 | $87,732 | – | –4,453 [f] | – |
| 10.30 | PUBLIC HEALTH SERVICES | | | | | | | | | | | | | | | | | | |
| 10.30.030 | Childhood Lead Poison Prevention | 8,435 | – | – | – | 8,435 [a] | – | 8,500 | – | – | – | 8,500 [a] | – | 8,500 | – | – | – | 8,500 [a] | – |
| | CHRONIC DISEASES | | | | | | | | | | | | | | | | | | |
| 10.30.040 | Breast Cancer | 26,565 | 7,780 | – | – | 7,125 [b] | $11,660 | 27,365 | – | – | – | 18,365 [b] | $9,000 | 33,210 | – | – | – | 12,710 [b] | $20,500 |
| 10.30.040 | Preventive Health Services To Aged | 1,695 | 1,252 | 443 | – | – | – | 2,052 | 1,252 | 800 | – | – | – | 2,052 | 1,252 | 800 | – | – | – |
| 10.30.040 | Dental Health | 1,596 | 1,596 | – | – | – | – | 1,614 | 1,614 | – | – | – | – | 1,614 | 1,614 | – | – | – | – |
| 10.30.040 | Alzheimer's Disease | 4,923 | 4,923 | – | – | – | – | 4,922 | 4,922 | – | – | – | – | 4,923 | 4,923 | – | – | – | – |
| 10.30.040 | Cancer Control | 12,485 | 169 | – | $12,316 | – | – | 17,037 | 500 | – | $16,537 | – | – | 18,069 | 500 | – | $17,569 | – | – |
| 10.30.040 | EPIC | 487 | – | – | – | 487 [g] | – | 642 | 151 | – | – | 491 [g] | – | 641 | 150 | – | – | 491 [g] | – |
| 10.30.040 | Smoking Prevention Program | 35,076 | – | – | – | – | 35,076 | 82,075 | 1,650 | – | – | – | 80,425 | 81,485 | 1,650 | – | – | – | 79,835 |
| | Subtotal, Chronic Diseases | $82,827 | $15,720 | $443 | $12,316 | $7,612 | $46,736 | $135,707 | $10,089 | $800 | $16,537 | $18,856 | $89,425 | $141,994 | $10,089 | $800 | $17,569 | $13,201 | $100,335 |
| | COMMUNICABLE DISEASE CONTROL | | | | | | | | | | | | | | | | | | |
| 10.30.050 | Immunization Assistance | 38,012 | 24,656 | 13,356 | – | – | – | 47,366 | 17,803 | 29,563 | – | – | – | 46,266 | 16,703 | 29,563 | – | – | – |
| 10.30.050 | Sexually Transmitted Disease | 1,711 | 1,711 | – | – | – | – | 1,239 | 1,239 | – | – | – | – | 1,239 | 1,239 | – | – | – | – |
| 10.30.050 | Refugees | 1,660 | – | 1,660 | – | – | – | 5,225 | – | 5,225 | – | – | – | 5,225 | – | 5,225 | – | – | – |
| 10.30.050 | Tuberculosis Control | 21,372 | 16,173 | 5,199 | – | – | – | 13,874 | 8,474 | 5,400 | – | – | – | 13,874 | 8,474 | 5,400 | – | – | – |
| | Subtotal, Communicable Diseases | $62,755 | $42,540 | $20,215 | – | – | – | $67,704 | $27,516 | $40,188 | – | – | – | $66,604 | $26,416 | $40,188 | – | – | – |
| 10.30.060 | AIDS | 219,445 | 104,410 | 101,906 | 13,129 | – | – | 245,537 | 111,260 | 120,238 | 14,039 | – | – | 249,386 | 109,992 | 124,915 | 14,479 | – | – |
| 10 | Subtotal, Public and Environmental Health | $310,414 | $163,080 | $133,629 | $25,445 | –$58,976 | $46,736 | $567,976 | $150,288 | $327,178 | $30,576 | –$29,491 | $89,425 | $559,992 | $147,520 | $253,635 | $32,048 | $26,454 | $100,335 |

**LOCAL ASSISTANCE APPROPRIATION SUMMARY—Continued**
**(Dollars in Thousands)**

| | | 1999–00 | | | | | | 2000–01 | | | | | | 2001–02 | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | *CATEGORY NAME* | *TOTAL PY* | *GEN FUND* | *FED FUNDS* | *REIMB FUND* | *OTHER FUNDS* | *C&TPS FUND* | *TOTAL CY* | *GEN FUND* | *FED FUNDS* | *REIMB FUND* | *OTHER FUNDS* | *C&TPS FUND* | *TOTAL BY* | *GEN FUND* | *FED FUNDS* | *REIMB FUND* | *OTHER FUNDS* | *C&TPS FUND* |
| 20 | HEALTH CARE SERVICES | | | | | | | | | | | | | | | | | | |
| 20.40 | PRIMARY CARE & FAMILY HEALTH | | | | | | | | | | | | | | | | | | |
| 20.40.010 | Rural Health | $9,957 | $9,957 | – | – | – | – | $11,671 | $11,671 | – | – | – | – | $8,203 | $8,203 | – | – | – | – |
| 20.40.010 | Primary Care Clinics | 20,255 | 12,000 | $602 | – | – | $7,653 | 32,250 | 13,941 | $204 | – | – | $18,105 | 32,250 | 13,941 | $204 | – | – | $18,105 |
| 20.40.010 | Indian Health | 5,876 | 5,876 | – | – | – | – | 6,464 | 6,464 | – | – | – | – | 6,464 | 6,464 | – | – | – | – |
| 20.40.010 | Farmworker Health | 6,618 | 6,618 | – | – | – | – | 6,871 | 6,871 | – | – | – | – | 6,871 | 6,871 | – | – | – | – |
| 20.40.010 | HF Rural Demonstration | – | – | – | – | – | – | 3,000 | 3,000 | – | – | – | – | 3,000 | 3,000 | – | – | – | – |
| 20.40.020 | MCH Grants | 63,231 | 36,022 | 25,281 | $126 | – | 1,802 | 70,556 | 43,037 | 25,717 | – | – | 1,802 | 70,556 | 43,037 | 25,717 | – | – | 1,802 |
| 20.40.010 | Childhood Lead Poison Prevention | 3,300 | – | – | – | $3,300 | – | – | – | – | – | – | – | – | – | – | – | – | – |
| 20.40.030 | Children's Hospitals | 564 | – | – | – | – | 564 | 565 | – | – | – | – | 565 | 565 | – | – | – | – | 565 |
| 20.40.030 | Child Health Disability (CHDP) | 84,596 | 21,879 | 6,692 | – | – | 56,025 | 118,251 | 102,431 | 6,748 | $5,572 | $3,500 [h] | – | 129,122 | 48,312 | 6,804 | $5,573 | $68,433 [h] | – |
| 20.40.030 | CA Children's Services (CCS) | 55,888 | 49,478 | 6,410 | – | – | – | 75,767 | 66,689 | 8,898 | 180 | – | – | 78,367 | 68,396 | 9,228 | 743 | – | – |
| 20.40.030 | Genetic Hand Persons Prg (GHPP) | 34,903 | 34,903 | – | – | – | – | 32,829 | 32,684 | – | 145 | – | – | 36,014 | 35,869 | – | 145 | – | – |
| 20.40.030 | CCS High Risk Infant Follow Up | 2,893 | – | 2,893 | – | – | – | 3,390 | – | 3,390 | – | – | – | 3,390 | – | 3,390 | – | – | – |
| 20.40.050 | Family Planning | 8,712 | 8,712 | – | – | – | – | 9,783 | 9,783 | – | – | – | – | 9,783 | 9,783 | – | – | – | – |
| 20.40.055 | Teen Pregnancy | 30,582 | 30,582 | – | – | – | – | 30,488 | 11,007 | – | 19,481 | – | – | 30,482 | 11,008 | – | 19,474 | – | – |
| 20.40.060 | Women, Infants and Children (WIC) | 1,075,922 | – | 857,358 | 218,536 | 28 [c] | – | 1,056,858 | – | 806,757 | 250,001 | 100 [c] | – | 1,056,858 | – | 806,757 | 250,001 | 100 [c] | – |
| | Subtotal, Family Health | $1,403,297 | $216,027 | $899,236 | $218,662 | $3,328 | $66,044 | $1,458,743 | $307,578 | $851,714 | $275,379 | $3,600 | $20,472 | $1,471,925 | $254,884 | $852,100 | $275,936 | $68,533 | $20,472 |
| 20.30 | COUNTY HEALTH SERVICES | | | | | | | | | | | | | | | | | | |
| 20.30.010 | CHS Managed Care | 1,336 | – | – | – | – | 1,336 | 1,336 | – | – | – | – | 1,336 | 1,336 | – | – | – | – | 1,336 |
| 20.30.010 | Public Health Subvention | 1,585 | 1,000 | 585 | – | – | – | 2,917 | 2,001 | 916 | – | – | – | 3,916 | 3,000 | 916 | – | – | – |
| 20.30.010 | County Medical Services Program | 5,693 | – | – | – | – | 5,693 | 5,693 | – | – | – | – | 5,693 | 5,693 | – | – | – | – | 5,693 |
| 20.30.010 | County Rural Health Services | 2,621 | – | – | – | – | 2,621 | 3,630 | – | – | – | – | 3,630 | 5,456 | – | – | – | – | 5,456 |
| 20.30.010 | California Health Care for Indigents | 73,286 | – | – | – | – | 73,286 | 97,181 | – | – | – | – | 97,181 | 95,355 | – | – | – | – | 95,355 |
| | Subtotal, Rural and County Health | $84,521 | $1,000 | $585 | – | – | $82,936 | $110,757 | $2,001 | $916 | – | – | $107,840 | $111,756 | $3,000 | $916 | – | – | $107,840 |

**LOCAL ASSISTANCE APPROPRIATION SUMMARY—Continued**
**(Dollars in Thousands)**

| | | 1999–00 | | | | | | 2000–01 | | | | | | 2001–02 | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | *CATEGORY NAME* | *TOTAL PY* | *GEN FUND* | *FED FUNDS* | *REIMB FUND* | *OTHER FUNDS* | *C&TPS FUND* | *TOTAL CY* | *GEN FUND* | *FED FUNDS* | *REIMB FUND* | *OTHER FUNDS* | *C&TPS FUND* | *TOTAL BY* | *GEN FUND* | *FED FUNDS* | *REIMB FUND* | *OTHER FUNDS* | *C&TPS FUND* |
| 20.10 | MEDI-CAL SERVICES | | | | | | | | | | | | | | | | | | |
| 20.10.010 | Eligibility | $1,129,188 | $410,716 | $718,472 | – | – | – | $1,214,123 | $433,323 | $780,800 | – | – | – | $1,276,881 | $469,712 | $807,169 | – | – | – |
| 20.10.020 | Payment Systems | 183,055 | 61,156 | 121,899 | – | – | – | 238,116 | 70,517 | 167,599 | – | – | – | 245,771 | 72,529 | 173,242 | – | – | – |
| 20.10.030 | Benefits | 20,666,017 | 7,593,033 | 11,223,496 | – | $1,849,488 [d] | – | 23,112,884 | 8,953,736 | 12,584,365 | – | $1,574,783 [d] | – | 23,645,103 | 8,782,797 | 13,217,944 | – | $1,644,362 [d] | – |
| | Subtotal, Medi-Cal Services | $21,978,260 | $8,064,905 | $12,063,867 | – | $1,849,488 | – | $24,565,123 | $9,457,576 | $13,532,764 | – | $1,574,783 | – | $25,167,755 | $9,325,038 | $14,198,355 | – | $1,644,362 | – |
| 20 | Subtotal, Health Care Services | $23,466,078 | $8,281,932 | $12,963,688 | $218,662 | $1,852,816 | $148,980 | $26,134,623 | $9,767,155 | $14,385,394 | $275,379 | $1,578,383 | $128,312 | $26,751,436 | $9,582,922 | $15,051,371 | $275,936 | $1,712,895 | $128,312 |
| 98 | State-Mandated Local Programs | 4,668 | 4,668 | – | – | – | – | 12,448 | 12,448 | – | – | – | – | 7,574 | 7,574 | – | – | – | – |
| | TOTAL, LOCAL ASSISTANCE | $23,781,160 | $8,449,680 | $13,097,317 | $244,107 | $1,794,340 | $195,716 | $26,715,047 | $9,929,891 | $14,712,572 | $305,955 | $1,548,892 | $217,737 | $27,319,002 | $9,738,016 | $15,305,006 | $307,984 | $1,739,349 | $228,647 |

[a] Childhood Lead Poison Prevention Fund (0080).
[b] Breast Cancer Control Account (0009).
[c] WIC Penalties Account (0942).
[d] Emergency Services and Supplemental Pay (0693), M/C Inpatient Pay (0834).
[e] Health Statistics Special Fund (0099).
[f] Drinking Water Treatment Fund (0622), Safe Drinking Water State Revolving Fund (0629) and Source Protection Account (0627).
[g] Child Health Safety Fund (0279).

## LOCAL ASSISTANCE APPROPRIATION SUMMARY
### Cigarette and Tobacco Products Surtax Fund
### (Dollars in Thousands)

| | | FY 1999–00 | | | | | | FY 2000–01 | | | | | | FY 2001–02 | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | *Cigarette and Tobacco Products Surtax Fund* *Category* | *FY 99–00* *Total PY* | *231 Health Education Account* | *232 Hospital Services Account* | *233 Physician Services Account* | *234 Research Account* | *236 Unallocated Account* | *FY 00–01* *Total CY* | *231 Health Education Account* | *232 Hospital Services Account* | *233 Physician Services Account* | *234 Research Account* | *236 Unallocated Account* | *FY 01–02* *Total BY* | *231 Health Education Account* | *232 Hospital Services Account* | *233 Physician Services Account* | *234 Research Account* | *236 Unallocated Account* |
| 10.30 | PUBLIC HEALTH SERVICES | | | | | | | | | | | | | | | | | | |
| 10.30.040 | Breast Cancer | $11,660 | – | – | – | – | $11,660 | – | – | – | – | – | – | – | – | – | – | – | – |
| 10.30.040 | Competitive Grants | 10,055 | $10,055 | – | – | – | – | $17,690 | $17,690 | – | – | – | – | $17,690 | $17,690 | – | – | – | – |
| 10.30.040 | Local Lead Agencies | 25,021 | 25,021 | – | – | – | – | 17,426 | 17,426 | – | – | – | – | 17,426 | 17,426 | – | – | – | – |
| 10.30.040 | Media Campaign | – | – | – | – | – | – | 45,309 | 45,309 | – | – | – | – | 44,719 | 44,719 | – | – | – | – |
| 10.30.040 | Breast Cancer Early Detection | – | – | – | – | – | – | 9,000 | – | – | – | – | $9,000 | $20,500 | – | – | – | – | $20,500 |
| | Subtotal, Public Health Services | $46,736 | $35,076 | – | – | – | $11,660 | $89,425 | $80,425 | – | – | – | $9,000 | $100,335 | $79,835 | – | – | – | $20,500 |
| 20.40 | PRIMARY CARE and FAMILY HEALTH | | | | | | | | | | | | | | | | | | |
| 20.40.010 | Rural Health | – | – | – | – | – | – | – | – | – | – | – | – | – | – | – | – | – | – |
| 20.40.010 | Clinic Grants | 7,653 | – | – | $1,653 | – | 6,000 | 18,105 | – | $500 | $2,224 | – | 15,381 | 18,105 | – | $500 | $2,224 | – | 15,381 |
| 20.40.020 | Comp Perinatal Outreach | 1,812 | – | – | – | – | 1,812 | 1,802 | – | – | – | – | 1,802 | 1,802 | – | – | – | – | 1,802 |
| 20.40.020 | MCH Grants | – | – | – | – | – | – | – | – | – | – | – | – | – | – | – | – | – | – |
| 20.40.030 | Children's Hospitals | 564 | – | $564 | – | – | – | 565 | – | – | – | – | 565 | 565 | – | – | – | – | 565 |
| 20.40.030 | Child Health Disability Prevention | 56,015 | – | – | – | – | 56,015 | – | – | – | – | – | – | – | – | – | – | – | – |
| | Subtotal, Primary Care and Family Health | $66,044 | – | $564 | $1,653 | – | $63,827 | $20,472 | – | $500 | $2,224 | – | $17,748 | $20,472 | – | $500 | $2,224 | – | $17,748 |
| 20.30 | COUNTY HEALTH SERVICES | | | | | | | | | | | | | | | | | | |
| 20.30.010 | CHS Managed Counties | 1,336 | – | 1,336 | – | – | – | 1,336 | – | – | – | – | 1,336 | 1,336 | – | – | – | – | 1,336 |
| 20.30.010 | CMSP-Expansion | 5,693 | – | 4,145 | – | – | 1,548 | 5,693 | – | – | – | – | 5,693 | 5,693 | – | – | – | – | 5,693 |
| 20.30.010 | Rural Health Services/Uncomp | 2,621 | – | 1,331 | 866 | – | 424 | 3,630 | – | 1,132 | 495 | – | 2,003 | 5,456 | – | 1,380 | 726 | – | 3,350 |
| 20.30.010 | Calif Healthcare for the Indigent | 73,286 | – | 67,600 | 4,900 | – | 786 | 97,181 | – | 73,617 | 5,783 | – | 17,781 | 95,355 | – | 73,161 | 4,573 | – | 17,621 |
| | Subtotal, County Health Services | $82,936 | – | $74,412 | $5,766 | – | $2,758 | $107,840 | – | $74,749 | $6,278 | – | $26,813 | $107,840 | – | $74,541 | $5,299 | – | $28,000 |
| | TOTAL, LOCAL ASSISTANCE | $195,716 | $35,076 | $74,976 | $7,419 | – | $78,245 | $217,737 | $80,425 | $75,249 | $8,502 | – | $53,561 | $228,647 | $79,835 | $75,041 | $7,523 | – | $66,248 |

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 10 PUBLIC AND ENVIRONMENTAL HEALTH

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 0001 General Fund | $96,320 | $135,486 | $108,750 |
| 0007 Breast Cancer Research Account | 1,620 | 1,624 | 1,625 |
| 0009 Breast Cancer Control Account | 7,346 | 7,808 | 7,781 |
| 0029 Nuclear Planning Assessment Special Account | 554 | 486 | 572 |
| 0044 Motor Vehicle Account, State Transportation Fund | 812 | 831 | 945 |
| 0066 Sale of Tobacco to Minors Control Account | 1,786 | 2,187 | 2,179 |
| 0070 Occupational Lead Poisoning Prevention Account | 3,071 | 2,954 | 2,967 |
| 0074 Medical Waste Management Fund | 1,003 | 904 | 901 |
| 0075 Radiation Control Fund | 13,764 | 17,138 | 17,993 |
| 0080 Childhood Lead Poisoning Prevention Fund | 3,558 | 5,301 | 5,638 |
| 0082 Export Document Program Fund | 104 | 144 | 132 |
| 0099 Health Statistics Special Fund | 12,447 | 12,292 | 12,016 |
| 0116 Wine Safety Fund | 36 | 54 | 48 |
| 0129 Water Device Certification Special Account | 68 | 161 | 162 |
| 0177 Food Safety Fund | 3,055 | 4,015 | 4,330 |
| 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund | 25,243 | 6,649 | 6,659 |
| 0234 Research Account, Cigarette and Tobacco Products Surtax Fund | 1,719 | 5,050 | 4,930 |
| 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund | 139 | 816 | 820 |
| 0247 Drinking Water Operator Certification Special Account | – | 1,226 | 1,197 |
| 0272 Infant Botulism Treatment and Prevention Fund | 1,099 | 1,285 | 1,727 |
| 0306 Safe Drinking Water Account | 7,538 | 7,855 | 7,807 |
| 0335 Registered Environmental Health Specialist Fund | 106 | 167 | 165 |
| 0478 Mosquitoborne Disease Surveillance Account | 27 | 34 | 36 |
| 0589 Cancer Research Fund | 24,255 | 24,945 | 24,951 |
| 0622 Drinking Water Treatment and Research Fund | 186 | 751 | 610 |
| 0625 Administration Account | 2,977 | 3,388 | 3,381 |
| 0626 Water Systems Reliability Account | 81 | 1,510 | 2,000 |
| 0627 Source Protection Account | 627 | 2,646 | 2,710 |
| 0628 Small System Technical Assistance Account | 822 | 1,676 | 1,691 |
| 0629 Safe Drinking Water State Revolving Fund | – | 2,000 | – |
| 0642 Domestic Violence Training and Education Fund | 515 | 746 | 773 |
| 0823 California Alzheimer's Disease and Related Disorders Research Fund | 390 | 279 | 291 |
| 0890 Federal Trust Fund | 53,710 | 56,866 | 56,916 |
| 0919 Birth Defects Research Fund | – | 400 | 400 |
| 0995 Reimbursements | 14,897 | 19,076 | 19,015 |
| 3018 Drug and Device Safety Fund | – | – | 1,312 |
| 3020 Tobacco Settlement Fund | – | – | 60,000 |
| Totals, State Operations | $254,875 | $303,750 | $338,430 |
| Local Assistance: | | | |
| 0001 General Fund | 163,080 | 150,288 | 147,520 |
| 0009 Breast Cancer Control Account | 7,125 | 18,365 | 12,710 |
| 0080 Childhood Lead Poisoning Prevention Fund | 8,435 | 8,500 | 8,500 |
| 0099 Health Statistics Special Fund | 60 | 300 | 300 |
| 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund | 35,076 | 80,425 | 79,835 |
| 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund | 11,660 | 9,000 | 20,500 |
| 0279 Child Health and Safety Fund | 487 | 491 | 491 |
| 0622 Drinking Water Treatment and Research Fund | 3,252 | 4,453 | 4,453 |
| 0626 Water Systems Reliability Account | – | 1,702 | – |
| 0627 Source Protection Account | 222 | 250 | 250 |
| 0629 Safe Drinking Water State Revolving Fund | 6,982 | 102,400 | 87,482 |
| Less funding provided by the General Fund | −15,417 | – | – |
| Less funding provided by the Federal Trust Fund | −69,400 | −164,000 | −87,482 |
| 0890 Federal Trust Fund | 133,407 | 325,226 | 253,385 |
| 0995 Reimbursements | 25,445 | 30,576 | 32,048 |
| Totals, Local Assistance | $310,414 | $567,976 | $559,992 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Health Information and Strategic Planning | 16,797 | 18,484 | 17,950 |
| State Operations: | | | |
| 0001 General Fund | 107 | 988 | 1,109 |
| 0099 Health Statistics Special Fund | 12,447 | 12,292 | 12,016 |
| 0890 Federal Trust Fund | 51 | 1,211 | 1,214 |
| 0995 Reimbursements | 4,132 | 3,293 | 3,311 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | – | *$400* | – |
| *0099 Health Statistics Special Fund* | *$60* | *300* | *$300* |
| *0890 Federal Trust Fund* | – | – | – |
| 10.10.010 Data Collection and Statistics | 12,721 | 14,919 | 14,368 |
| State Operations: | | | |
| *0001 General Fund* | *105* | *929* | *1,051* |
| *0099 Health Statistics Special Fund* | *12,447* | *12,292* | *12,016* |
| *0890 Federal Trust Fund* | *51* | *998* | *1,001* |
| *0995 Reimbursements* | *58* | – | – |
| Local Assistance: | | | |
| *0001 General Fund* | – | *400* | – |
| *0099 Health Statistics Special Fund* | *60* | *300* | *300* |
| 10.10.020 Local Health Services | 4,076 | 3,565 | 3,582 |
| State Operations: | | | |
| *0001 General Fund* | *2* | *59* | *58* |
| *0890 Federal Trust Fund* | – | *213* | *213* |
| *0995 Reimbursements* | *4,074* | *3,293* | *3,311* |
| 10.20 Environmental Controls | 20,354 | 192,155 | 179,255 |
| State Operations: | | | |
| *0001 General Fund* | *37,363* | *22,917* | *25,679* |
| *0029 Nuclear Planning Assessment Special Account* | *554* | *486* | *572* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *812* | *831* | *945* |
| *0066 Sale of Tobacco to Minors Control Account* | *1,380* | *1,767* | *1,758* |
| *0074 Medical Waste Management Fund* | *1,003* | *904* | *901* |
| *0075 Radiation Control Fund* | *13,764* | *17,138* | *17,993* |
| *0082 Export Document Program Fund* | *104* | *144* | *132* |
| *0116 Wine Safety Fund* | *36* | *54* | *48* |
| *0129 Water Device Certification Special Account* | *68* | *161* | *162* |
| *0177 Food Safety Fund* | *3,055* | *4,015* | *4,330* |
| *0247 Drinking Water Operator Certification Special Account* | – | *1,226* | *1,197* |
| *0306 Safe Drinking Water Account* | *7,538* | *7,855* | *7,807* |
| *0335 Registered Environmental Health Specialist Fund* | *106* | *167* | *165* |
| *0622 Drinking Water Treatment and Research Account* | *186* | *751* | *610* |
| *0625 Administration Account* | *2,977* | *3,388* | *3,381* |
| *0626 Water Systems Reliability Account* | *81* | *1,510* | *2,000* |
| *0627 Source Protection Account* | *627* | *2,646* | *2,710* |
| *0628 Small System Technical Assistance Account* | *822* | *1,676* | *1,691* |
| *0629 Safe Drinking Water State Revolving Fund* | – | *2,000* | – |
| *0890 Federal Trust Fund* | *9,497* | *8,803* | *8,808* |
| *0995 Reimbursements* | *3,489* | *3,888* | *3,846* |
| *3018 Drug and Device Safety Fund* | – | – | *1,312* |
| Local Assistance: | | | |
| *0001 General Fund* | *410* | *1,023* | *1,023* |
| *0622 Drinking Water Treatment and Research Fund* | *3,252* | *4,453* | *4,453* |
| *0626 Water Systems Reliability Account* | – | *1,702* | – |
| *0627 Source Protection Account* | *222* | *250* | *250* |
| *0629 Safe Drinking Water State Revolving Fund* | *6,982* | *102,400* | *87,482* |
| *Less funding provided by the General Fund* | *−15,417* | – | – |
| *Less funding provided by the Federal Trust Fund* | *−69,400* | *−164,000* | *−87,482* |
| *0890 Federal Trust Fund* | *10,843* | *164,000* | *87,482* |
| 10.20.010 Environmental Management | 13,324 | 14,849 | 17,378 |
| State Operations: | | | |
| *0001 General Fund* | *8,636* | *9,815* | *12,294* |
| *0029 Nuclear Planning Assessment Special Account* | *554* | *486* | *572* |
| *0074 Medical Waste Management Fund* | *1,003* | *904* | *901* |
| *0335 Registered Environmental Health Specialist Fund* | *106* | *167* | *165* |
| *0890 Federal Trust Fund* | *352* | *570* | *570* |
| *0995 Reimbursements* | *2,263* | *1,947* | *1,916* |
| Local Assistance: | | | |
| *0001 General Fund* | *410* | *960* | *960* |
| 10.20.020 Food and Drug | 15,933 | 18,134 | 20,000 |
| State Operations: | | | |
| *0001 General Fund* | *8,721* | *8,962* | *9,125* |
| *0044 Motor Vehicle Account, State Transportation Fund* | *812* | *831* | *945* |
| *0066 Sale of Tobacco to Minors Control Account* | *1,380* | *1,767* | *1,758* |
| *0082 Export Document Program Fund* | *104* | *144* | *132* |
| *0116 Wine Safety Fund* | *36* | *54* | *48* |
| *0177 Food Safety Fund* | *3,055* | *4,015* | *4,330* |
| *0890 Federal Trust Fund* | *599* | *420* | *420* |
| *0995 Reimbursements* | *1,226* | *1,941* | *1,930* |
| *3018 Drug and Device Safety Fund* | – | – | *1,312* |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10.20.030 Radiologic Health | $15,303 | $19,285 | $20,145 |
| State Operations: | | | |
| *0001 General Fund* | *201* | – | – |
| *0075 Radiation Control Fund* | *13,764* | *17,138* | *17,993* |
| *0890 Federal Trust Fund* | *1,338* | *2,147* | *2,152* |
| 10.20.040 Office of Drinking Water | –24,206 | 139,887 | 121,732 |
| State Operations: | | | |
| *0001 General Fund* | *19,805* | *4,140* | *4,260* |
| *0129 Water Device Certification Special Account* | *68* | *161* | *162* |
| *0247 Drinking Water Operator Certification Special Account* | – | *1,226* | *1,197* |
| *0306 Safe Drinking Water Account, General Fund* | *7,538* | *7,855* | *7,807* |
| *0622 Drinking Water Treatment and Research Fund* | *186* | *751* | *610* |
| *0625 Administration Account* | *2,977* | *3,388* | *3,381* |
| *0626 Water Systems Reliability Account* | *81* | *1,510* | *2,000* |
| *0627 Source Protection Account* | *627* | *2,646* | *2,710* |
| *0628 Small System Technical Assistance Account* | *822* | *1,676* | *1,691* |
| *0629 Safe Drinking Water State Revolving Fund* | – | *2,000* | – |
| *0890 Federal Trust Fund* | *7,208* | *5,666* | *5,666* |
| Local Assistance: | | | |
| *0001 General Fund* | – | *63* | *63* |
| *0622 Drinking Water Treatment* | *3,252* | *4,453* | *4,453* |
| *0626 Water Systems Reliability Account* | – | *1,702* | – |
| *0627 Source Protection Account* | *222* | *250* | *250* |
| *0629 Safe Drinking Water State Revolving Fund* | *6,982* | *102,400* | *87,482* |
| *Less funding provided by the General Fund* | *–15,417* | – | – |
| *Less funding provided by the Federal Trust Fund* | *–69,400* | *–164,000* | *–87,482* |
| *0890 Federal Trust Fund* | *10,843* | *164,000* | *87,482* |
| 10.30 Public Health Services | 528,138 | 661,087 | 701,217 |
| State Operations: | | | |
| *0001 General Fund* | *58,850* | *111,581* | *81,962* |
| *0007 Breast Cancer Research Account* | *1,620* | *1,624* | *1,625* |
| *0009 Breast Cancer Control Account* | *7,346* | *7,808* | *7,781* |
| *0066 Sale of Tobacco to Minors Control Account* | *406* | *420* | *421* |
| *0070 Occupational Lead Poisoning Prevention Account* | *3,071* | *2,954* | *2,967* |
| *0080 Childhood Lead Poisoning Prevention Fund* | *3,558* | *5,301* | *5,638* |
| *0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund* | *25,243* | *6,649* | *6,659* |
| *0234 Research Account, Cigarette and Tobacco Products Surtax Fund* | *1,719* | *5,050* | *4,930* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *139* | *816* | *820* |
| *0272 Infant Botulism Treatment and Research Account* | *1,099* | *1,285* | *1,727* |
| *0478 Mosquitoborne Disease Surveillance Account* | *27* | *34* | *36* |
| *0589 Cancer Research Fund* | *24,255* | *24,945* | *24,951* |
| *Less funding provided by the General Fund* | *–25,000* | *–25,000* | *–25,000* |
| *0642 Domestic Violence Training and Education Fund* | *515* | *746* | *773* |
| *0823 California Alzheimer's Disease and Related Disorders Research Fund* | *390* | *279* | *291* |
| *0890 Federal Trust Fund* | *44,162* | *46,852* | *46,894* |
| *0919 Birth Defects Research Fund* | – | *400* | *400* |
| *0995 Reimbursements* | *7,276* | *11,895* | *11,858* |
| *3020 Tobacco Settlement Fund* | – | – | *60,000* |
| Local Assistance: | | | |
| *0001 General Fund* | *162,670* | *148,865* | *146,497* |
| *0009 Breast Cancer Control Account* | *7,125* | *18,365* | *12,710* |
| *0080 Childhood Lead Poisoning Prevention Fund* | *8,435* | *8,500* | *8,500* |
| *0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund* | *35,076* | *80,425* | *79,835* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *11,660* | *9,000* | *20,500* |
| *0279 Child Health and Safety Fund* | *487* | *491* | *491* |
| *0890 Federal Trust Fund* | *122,564* | *161,226* | *165,903* |
| *0995 Reimbursements* | *25,445* | *30,576* | *32,048* |
| 10.30.010 Occupational Health | 4,860 | 4,513 | 4,512 |
| State Operations: | | | |
| *0001 General Fund* | *793* | *470* | *473* |
| *0009 Breast Cancer Control Account* | – | *11* | – |
| *0070 Occupational Lead Poisoning Prevention Account* | *3,071* | *2,954* | *2,967* |
| *0995 Reimbursements* | *996* | *1,078* | *1,072* |
| 10.30.020 Environmental Health Investigation | 8,979 | 9,597 | 10,136 |
| State Operations: | | | |
| *0001 General Fund* | *7,234* | *7,562* | *8,031* |
| *0234 Research Account, Cigarette and Tobacco Products Surtax Fund* | *385* | *384* | *384* |
| *0890 Federal Trust Fund* | *1,230* | *1,166* | *1,166* |
| *0919 Birth Defects Research Fund* | – | *400* | *400* |
| *0995 Reimbursements* | *130* | *85* | *155* |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10.30.030 Child Lead Poison Prevention | $17,585 | $20,852 | $22,377 |
| State Operations: | | | |
| *0001 General Fund* | *3,514* | *4,226* | *5,376* |
| *0080 Childhood Lead Poisoning Prevention Fund* | *3,558* | *5,301* | *5,638* |
| *0890 Federal Trust Fund* | *1,677* | *2,564* | *2,601* |
| *0995 Reimbursements* | *401* | *261* | *262* |
| Local Assistance: | | | |
| *0080 Childhood Lead Poisoning Prevention Fund* | *8,435* | *8,500* | *8,500* |
| 10.30.040 Chronic Diseases | 157,946 | 253,606 | 287,524 |
| State Operations: | | | |
| *0001 General Fund* | *17,235* | *67,966* | *35,581* |
| *0007 Breast Cancer Research Account* | *1,620* | *1,624* | *1,625* |
| *0009 Breast Cancer Control Account* | *7,346* | *7,797* | *7,781* |
| *0066 Sale of Tobacco* | *406* | *420* | *421* |
| *0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund* | *25,243* | *6,649* | *6,659* |
| *0234 Research Account, Cigarette and Tobacco Products Surtax Fund* | *1,334* | *4,666* | *4,546* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *139* | *816* | *820* |
| *0589 Cancer Research Fund* | *24,255* | *24,945* | *24,951* |
| *Less funding provided by the General Fund* | *−25,000* | *−25,000* | *−25,000* |
| *0642 Domestic Violence Training and Education Fund* | *515* | *746* | *773* |
| *0823 California Alzheimer's Disease and Related Disorders Research Fund* | *390* | *279* | *291* |
| *0890 Federal Trust Fund* | *17,541* | *18,420* | *18,420* |
| *0995 Reimbursements* | *4,095* | *8,571* | *8,662* |
| *3020 Tobacco Settlement Fund* | *–* | *–* | *60,000* |
| Local Assistance: | | | |
| *0001 General Fund* | *15,720* | *10,089* | *10,089* |
| *0009 Breast Cancer Control Account* | *7,125* | *18,365* | *12,710* |
| *0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund* | *35,076* | *80,425* | *79,835* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *11,660* | *9,000* | *20,500* |
| *0279 Child Health and Safety Fund* | *487* | *491* | *491* |
| *0890 Federal Trust Fund* | *443* | *800* | *800* |
| *0995 Reimbursements* | *12,316* | *16,537* | *17,569* |
| 10.30.050 Communicable Disease Control | 101,981 | 110,163 | 110,425 |
| State Operations: | | | |
| *0001 General Fund* | *23,451* | *24,494* | *25,594* |
| *0272 Infant Botulism Treatment and Research Account* | *1,099* | *1,285* | *1,727* |
| *0478 Mosquitoborne Disease Surveillance Account* | *27* | *34* | *36* |
| *0890 Federal Trust Fund* | *13,496* | *15,302* | *15,315* |
| *0995 Reimbursements* | *1,153* | *1,344* | *1,149* |
| Local Assistance: | | | |
| *0001 General Fund* | *42,540* | *27,516* | *26,416* |
| *0890 Federal Trust Fund* | *20,215* | *40,188* | *40,188* |
| 10.30.060 AIDS | 236,787 | 262,356 | 266,243 |
| State Operations: | | | |
| *0001 General Fund* | *6,623* | *6,863* | *6,907* |
| *0890 Federal Trust Fund* | *10,218* | *9,400* | *9,392* |
| *0995 Reimbursements* | *501* | *556* | *558* |
| Local Assistance: | | | |
| *0001 General Fund* | *104,410* | *111,260* | *109,992* |
| *0890 Federal Trust Fund* | *101,906* | *120,238* | *124,915* |
| *0995 Reimbursements* | *13,129* | *14,039* | *14,479* |

### PROGRAM REQUIREMENTS

### 20 HEALTH CARE SERVICES

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$118,874* | *$145,284* | *$152,146* |
| *0076 Tissue Bank License Fund* | *129* | *411* | *533* |
| *0080 Childhood Lead Poisoning Prevention Fund* | *80* | *155* | *155* |
| *0098 Clinical Laboratory Improvement Fund* | *4,476* | *5,865* | *5,999* |
| *0179 Environmental Laboratory Improvement Fund* | *2,727* | *2,901* | *3,476* |
| *0203 Genetic Disease Testing Fund* | *57,795* | *62,714* | *64,871* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *1,737* | *272* | *273* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *119* | *–* | *–* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *178* | *1,865* | *1,872* |
| *0642 Domestic Violence Training and Education Fund* | *200* | *–* | *–* |
| *0693 Emergency Services and Supplemental Payments Fund* | *122* | *121* | *122* |
| *0834 Medi-Cal Inpatient Payment Adjustment Fund* | *465* | *792* | *794* |
| *0890 Federal Trust Fund* | *229,289* | *258,198* | *280,994* |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0900 Local Health Capital Expenditure Account, County Health Services Fund* | *$17* | *$17* | *$17* |
| *0942 Federal Citation Penalties Account, Special Deposit Fund* | *139* | *2,216* | *2,216* |
| *0942 Health Facilities Citation Penalties Account, Special Deposit Fund* | *227* | *999* | *1,000* |
| *0995 Reimbursements* | *7,334* | *8,637* | *9,065* |
| Totals, State Operations | $423,908 | $490,447 | $523,533 |
| Local Assistance: | | | |
| *0001 General Fund* | *8,281,932* | *9,767,155* | *9,582,922* |
| *0080 Childhood Lead Poisoning Prevention Fund* | *3,300* | *3,500* | *3,500* |
| *0203 Genetic Disease Testing Fund* | *–* | *–* | *–* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *74,976* | *75,249* | *75,041* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *7,419* | *8,502* | *7,523* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *66,585* | *44,561* | *45,748* |
| *0693 Emergency Services and Supplemental Payments Fund* | *616,207* | *627,225* | *613,332* |
| *Less funding provided by the General Fund* | *–* | *–12,000* | *–* |
| *0834 Medi-Cal Inpatient Payment Adjustment Fund* | *1,233,281* | *959,558* | *861,058* |
| *0890 Federal Trust Fund* | *12,963,688* | *14,385,394* | *15,051,371* |
| *0942 Health Facilities Citation Penalties Account, Special Deposit Fund* | *28* | *100* | *100* |
| *0995 Reimbursements* | *218,662* | *275,379* | *275,936* |
| *3020 Tobacco Settlement Fund* | *–* | *–* | *234,905* |
| Totals, Local Assistance | $23,466,078 | $26,134,623 | $26,751,436 |

## ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 20.10 Medical Care Services (Medi-Cal) | 22,197,035 | 24,805,313 | 25,435,398 |
| State Operations: | | | |
| *0001 General Fund* | *70,363* | *80,383* | *86,280* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *35* | *–* | *–* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *12* | *–* | *–* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *27* | *–* | *–* |
| *0693 Emergency Services and Supplemental Payments Fund* | *122* | *121* | *122* |
| *0834 Medi-Cal Inpatient Payment Adjustment Fund* | *465* | *792* | *794* |
| *0890 Federal Trust Fund* | *143,529* | *154,655* | *176,232* |
| *0995 Reimbursements* | *4,222* | *4,239* | *4,215* |
| Local Assistance: | | | |
| *0001 General Fund* | *8,064,905* | *9,457,576* | *9,325,038* |
| *0693 Emergency Services and Supplemental Payments Fund* | *616,207* | *627,225* | *613,332* |
| *Less funding provided by the General Fund* | *–* | *–12,000* | *–* |
| *0834 Medi-Cal Inpatient Payment Adjustment Fund* | *1,233,281* | *959,558* | *861,058* |
| *0890 Federal Trust Fund* | *12,063,867* | *13,532,764* | *14,198,355* |
| *3020 Tobacco Settlement Fund* | *–* | *–* | *169,972* |
| 20.10.010 Eligibility | 1,168,552 | 1,248,105 | 1,309,956 |
| State Operations: | | | |
| *0001 General Fund* | *9,561* | *10,178* | *9,764* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *14* | *–* | *–* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *2* | *–* | *–* |
| *0236 Unallocated Account, Cigarette and Tobacco ProductsSurtax Fund* | *11* | *–* | *–* |
| *0890 Federal Trust Fund* | *28,078* | *21,641* | *21,174* |
| *0995 Reimbursements* | *1,698* | *2,163* | *2,137* |
| Local Assistance: | | | |
| *0001 General Fund* | *410,716* | *433,323* | *469,712* |
| *0890 Federal Trust Fund* | *718,472* | *780,800* | *807,169* |
| 20.10.020 Payment Systems | 203,302 | 258,204 | 282,509 |
| State Operations: | | | |
| *0001 General Fund* | *4,955* | *5,979* | *7,215* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *21* | *–* | *–* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *10* | *–* | *–* |
| *0236 Unallocated Account, Cigarette and Tobacco ProductsSurtax Fund* | *16* | *–* | *–* |
| *0890 Federal Trust Fund* | *14,360* | *13,333* | *28,746* |
| *0995 Reimbursements* | *885* | *776* | *777* |
| Local Assistance: | | | |
| *0001 General Fund* | *61,156* | *70,517* | *72,529* |
| *0890 Federal Trust Fund* | *121,899* | *167,599* | *173,242* |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 20.10.030 Benefits | $20,687,262 | $23,148,775 | $23,682,077 |
| State Operations: | | | |
| *0001 General Fund* | *8,680* | *14,561* | *14,749* |
| *0890 Federal Trust Fund* | *11,852* | *20,599* | *21,497* |
| *0995 Reimbursements* | *713* | *731* | *728* |
| Local Assistance: | | | |
| *0001 General Fund* | *7,593,033* | *8,953,736* | *8,782,797* |
| *0693 Emergency Services and Supplemental Payments Fund* | *616,207* | *615,225* | *613,332* |
| *0834 Medi-Cal Inpatient Payment Adjustment Fund* | *1,233,281* | *959,558* | *861,058* |
| *0890 Federal Trust Fund* | *11,223,496* | *12,584,365* | *13,217,944* |
| *3020 Tobacco Settlement Fund* | – | – | *169,972* |
| 20.10.040 Rate Development | 36,378 | 34,814 | 42,393 |
| State Operations: | | | |
| *0001 General Fund* | *16,152* | *15,225* | *18,774* |
| *0834 Medi-Cal Inpatient Payment Adjustment Fund* | *465* | *792* | *794* |
| *0890 Federal Trust Fund* | *19,654* | *18,525* | *22,550* |
| *0995 Reimbursements* | *107* | *272* | *275* |
| 20.10.050 Health Facility Operation | 2,396 | 2,591 | 2,607 |
| State Operations: | | | |
| *0001 General Fund* | *481* | *581* | *583* |
| *0693 Emergency Services and Supplemental Payments Fund* | *122* | *121* | *122* |
| *0834 Medi-Cal Inpatient Payment Adjustment Fund* | – | – | – |
| *0890 Federal Trust Fund* | *1,793* | *1,889* | *1,902* |
| 20.10.060 Utilization Control | 42,705 | 49,291 | 52,212 |
| State Operations: | | | |
| *0001 General Fund* | *11,560* | *11,049* | *12,335* |
| *0890 Federal Trust Fund* | *31,145* | *38,242* | *39,877* |
| 20.10.070 Health Recovery | 26,732 | 26,162 | 26,335 |
| State Operations: | | | |
| *0001 General Fund* | *10,014* | *10,286* | *10,366* |
| *0890 Federal Trust Fund* | *16,519* | *15,665* | *15,757* |
| *0995 Reimbursements* | *199* | *211* | *212* |
| 20.10.080 Program Development | 29,708 | 37,371 | 37,309 |
| State Operations: | | | |
| *0001 General Fund* | *8,960* | *12,524* | *12,494* |
| *0890 Federal Trust Fund* | *20,128* | *24,761* | *24,729* |
| *0995 Reimbursements* | *620* | *86* | *86* |
| 20.20 Licensing and Certification | 79,460 | 110,576 | 112,838 |
| State Operations: | | | |
| *0001 General Fund* | *30,139* | *47,263* | *47,935* |
| *0076 Tissue Bank License Fund* | *129* | *411* | *533* |
| *0098 Clinical Laboratory Improvement Fund* | *4,476* | *5,865* | *5,999* |
| *0179 Environmental Laboratory Improvement Fund* | *2,727* | *2,901* | *3,476* |
| *0890 Federal Trust Fund* | *39,835* | *49,001* | *49,754* |
| *0942 Federal Citation Penalties Account, Special Deposit Fund* | *139* | *2,216* | *2,216* |
| *0942 Health Facilities Citation Penalties Account, Special Deposit Fund* | *227* | *999* | *1,000* |
| *0995 Reimbursements* | *1,788* | *1,920* | *1,925* |
| 20.20.010 Medical Facilities | 70,511 | 99,911 | 101,347 |
| State Operations: | | | |
| *0001 General Fund* | *30,139* | *47,263* | *47,935* |
| *0890 Federal Trust Fund* | *38,246* | *47,593* | *48,351* |
| *0942 Federal Citation Penalties Account, Special Deposit Fund* | *139* | *2,216* | *2,216* |
| *0942 Health Facilities Citation Penalties Account, Special Deposit Fund* | *227* | *999* | *1,000* |
| *0995 Reimbursements* | *1,760* | *1,840* | *1,845* |
| 20.20.020 Laboratory Facility | 8,949 | 10,665 | 11,491 |
| State Operations: | | | |
| *0001 General Fund* | – | – | – |
| *0076 Tissue Bank License Fund* | *129* | *411* | *533* |
| *0098 Clinical Laboratory Improvement Fund* | *4,476* | *5,865* | *5,999* |
| *0179 Environmental Laboratory Improvement Fund* | *2,727* | *2,901* | *3,476* |
| *0890 Federal Trust Fund* | *1,589* | *1,408* | *1,403* |
| *0995 Reimbursements* | *28* | *80* | *80* |
| 20.30 County Health Services | 89,081 | 115,926 | 116,987 |
| State Operations: | | | |
| *0001 General Fund* | *2,202* | *2,011* | *2,028* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *1,387* | *272* | *273* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *143* | – | – |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *–243* | *1,145* | *1,150* |
| *0890 Federal Trust Fund* | – | *149* | *149* |
| *0995 Reimbursements* | *1,071* | *1,592* | *1,631* |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | *$1,000* | *$2,001* | *$3,000* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *74,412* | *74,749* | *74,541* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *5,766* | *6,278* | *5,299* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *2,758* | *26,813* | *28,000* |
| *0890 Federal Trust Fund* | *585* | *916* | *916* |
| 20.30.010 County Health Services | 89,081 | 115,926 | 116,987 |
| State Operations: | | | |
| *0001 General Fund* | *2,202* | *2,011* | *2,028* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *1,387* | *272* | *273* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *143* | *–* | *–* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *–243* | *1,145* | *1,150* |
| *0890 Federal Trust Fund* | *–* | *149* | *149* |
| *0995 Reimbursements* | *1,071* | *1,592* | *1,631* |
| Local Assistance: | | | |
| *0001 General Fund* | *1,000* | *2,001* | *3,000* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *74,412* | *74,749* | *74,541* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *5,766* | *6,278* | *5,299* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *2,758* | *26,813* | *28,000* |
| *0890 Federal Trust Fund* | *585* | *916* | *916* |
| 20.40 Primary Care and Family Health | 1,524,410 | 1,593,255 | 1,609,746 |
| State Operations: | | | |
| *0001 General Fund* | *16,170* | *15,627* | *15,903* |
| *0080 Childhood Lead Poisoning Prevention Fund* | *80* | *155* | *155* |
| *0203 Genetic Disease Testing Fund* | *57,795* | *62,714* | *64,871* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *315* | *–* | *–* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *–36* | *–* | *–* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *394* | *720* | *722* |
| *0642 Domestic Violence Training and Education Fund* | *200* | *–* | *–* |
| *0890 Federal Trust Fund* | *45,925* | *54,393* | *54,859* |
| *0900 Local Health Capital Expenditure Account, County Health Services Fund* | *17* | *17* | *17* |
| *0995 Reimbursements* | *253* | *886* | *1,294* |
| Local Assistance: | | | |
| *0001 General Fund* | *216,027* | *307,578* | *254,884* |
| *0080 Childhood Lead Poisoning Prevention Fund* | *3,300* | *3,500* | *3,500* |
| *0203 Genetic Disease Testing Fund* | *–* | *–* | *–* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *564* | *500* | *500* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *1,653* | *2,224* | *2,224* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *63,827* | *17,748* | *17,748* |
| *0890 Federal Trust Fund* | *899,236* | *851,714* | *852,100* |
| *0942 Health Facilities Citation Penalties Account, Special Deposit Fund* | *28* | *100* | *100* |
| *0995 Reimbursements* | *218,662* | *275,379* | *275,936* |
| *3020 Tobacco Settlement Fund* | *–* | *–* | *64,933* |
| 20.40.010 Primary and Rural Health Care | 45,671 | 62,597 | 59,175 |
| State Operations: | | | |
| *0001 General Fund* | *1,701* | *1,198* | *1,249* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *315* | *–* | *–* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *–148* | *–* | *–* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *116* | *343* | *344* |
| *0890 Federal Trust Fund* | *964* | *783* | *777* |
| *0900 Local Health Capital Expenditure Account, County Health Services Fund* | *17* | *17* | *17* |
| Local Assistance: | | | |
| *0001 General Fund* | *34,451* | *41,947* | *38,479* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *–* | *500* | *500* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *1,653* | *2,224* | *2,224* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *6,000* | *15,381* | *15,381* |
| *0890 Federal Trust Fund* | *602* | *204* | *204* |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 20.40.020 Maternal and Child Health | $72,318 | $79,929 | $80,154 |
| State Operations: | | | |
| *0001 General Fund* | *1,632* | *2,014* | *2,093* |
| *0642 Domestic Violence Training and Education Fund* | *200* | *–* | *–* |
| *0890 Federal Trust Fund* | *7,075* | *7,139* | *7,284* |
| *0995 Reimbursements* | *180* | *220* | *221* |
| Local Assistance: | | | |
| *0001 General Fund* | *36,022* | *43,037* | *43,037* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *1,802* | *1,802* | *1,802* |
| *0890 Federal Trust Fund* | *25,281* | *25,717* | *25,717* |
| *0995 Reimbursements* | *126* | *–* | *–* |
| 20.40.030 Children's Medical Services | 201,366 | 248,134 | 265,370 |
| State Operations: | | | |
| *0001 General Fund* | *9,939* | *9,589* | *9,731* |
| *0080 Childhood Lead Poisoning Prevention Fund* | *80* | *155* | *155* |
| *0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund* | *112* | *–* | *–* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *278* | *377* | *378* |
| *0890 Federal Trust Fund* | *8,740* | *7,061* | *7,094* |
| *0995 Reimbursements* | *73* | *150* | *554* |
| Local Assistance: | | | |
| *0001 General Fund* | *106,260* | *201,804* | *152,577* |
| *0080 Childhood Lead Poisoning Prevention Fund* | *3,300* | *3,500* | *3,500* |
| *0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund* | *564* | *–* | *–* |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund* | *56,025* | *565* | *565* |
| *0890 Federal Trust Fund* | *15,995* | *19,036* | *19,422* |
| *0995 Reimbursements* | *–* | *5,897* | *6,461* |
| *3020 Tobacco Settlement Fund* | *–* | *–* | *64,933* |
| 20.40.040 Genetic Disease Testing | 57,802 | 62,714 | 64,871 |
| State Operations: | | | |
| *0001 General Fund* | *7* | *–* | *–* |
| *0203 Genetic Disease Testing Fund* | *57,795* | *62,714* | *64,871* |
| Local Assistance: | | | |
| *0203 Genetic Disease Testing Fund* | *–* | *–* | *–* |
| 20.40.050 Family Planning | 10,611 | 11,694 | 11,720 |
| State Operations: | | | |
| *0001 General Fund* | *1,726* | *1,308* | *1,310* |
| *0890 Federal Trust Fund* | *173* | *603* | *627* |
| Local Assistance: | | | |
| *0001 General Fund* | *8,712* | *9,783* | *9,783* |
| 20.40.055 Teen Pregnancy | 31,729 | 32,522 | 32,521 |
| State Operations: | | | |
| *0001 General Fund* | *1,147* | *1,518* | *1,520* |
| *0995 Reimbursements* | *–* | *516* | *519* |
| Local Assistance: | | | |
| *0001 General Fund* | *30,582* | *11,007* | *11,008* |
| *0995 Reimbursements* | *–* | *19,481* | *19,474* |
| 20.40.060 Women, Infants and Children | 1,104,913 | 1,095,665 | 1,095,935 |
| State Operations: | | | |
| *0001 General Fund* | *18* | *–* | *–* |
| *0890 Federal Trust Fund* | *28,973* | *38,807* | *39,077* |
| Local Assistance: | | | |
| *0890 Federal Trust Fund* | *857,358* | *806,757* | *806,757* |
| *0942 Health Facilities Citation Penalties Account, Special Deposit Fund* | *28* | *100* | *100* |
| *0995 Reimbursements* | *218,536* | *250,001* | *250,001* |
| 30 Administration (Undistributed) | | | |
| State Operations: | | | |
| *0001 General Fund* | *–* | *–* | *–* |
| *0995 Reimbursements* | *57* | *2,025* | *1,656* |
| Totals, State Operations | $57 | $2,025 | $1,656 |

## PROGRAM REQUIREMENTS

## 98 STATE-MANDATED LOCAL PROGRAMS

| | | | |
|---|---|---|---|
| Local Assistance: | | | |
| *Ch. 453/74—SIDS Notices* | *–* | *$35* | *$36* |
| *Chs. 102/81, 1163/81 & 780/98—Medi-Cal Beneficiary Death Notices* | *$12* | *117* | *103* |
| *Ch. 1088/88—AIDS Search Warrants* | *898* | *2,392* | *928* |
| *Ch. 1597/88—Inmates AIDS Testing* | *901* | *1,433* | *1,281* |
| *Ch. 955/89—SIDS Autopsies* | *542* | *2,044* | *1,929* |
| *Ch. 268/91 & 748/96—SIDS Contacts by Local Health Officers* | *263* | *840* | *335* |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *Ch. 306/97—SIDS Contacts by Local Health Officers* | – | – | – |
| *Ch. 748/96—SIDS & Perinatal Services* | – | – | – |
| *Ch. 1111/89—SIDS Training for Firefighters* | – | *$1,417* | *$117* |
| *Ch. 891/98—Medically Fragile Children* | *$75* | – | – |
| *Ch. 916/92—Pacific Beach Safety: Water Quality & Closure* | *61* | *417* | *73* |
| *Ch. 1603/90 & 748/96—Perinatal Services for Alcohol/Drug Exposed Infants* | *1,916* | *3,753* | *2,772* |
| *(a) Ch. 462/78—Dental Records* | – | – | – |
| Totals, Local Assistance | $4,668 | $12,448 | $7,574 |
| TOTALS, EXPENDITURES (All Programs) | $24,460,000 | $27,511,269 | $28,182,621 |
| State Operations | 678,840 | 796,222 | 863,619 |
| Local Assistance | 23,781,160 | 26,715,047 | 27,319,002 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4,945.7 | 6,060.9 | 5,915.9 | $254,467 | $314,366 | $315,393 |
| Total Adjustments | – | 12.0 | 27.5 | – | 1,613 | 10,815 |
| Estimated Salary Savings | – | –415.7 | –412.8 | – | –20,969 | –25,421 |
| Net Totals | 4,945.7 | 5,657.2 | 5,530.6 | $254,467 | $295,010 | $300,787 |
| Staff Benefits | – | – | – | 48,402 | 54,743 | 55,203 |
| Totals, Personal Services | 4,945.7 | 5,657.2 | 5,530.6 | $302,869 | $349,753 | $355,990 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $314,962 | $376,330 | $435,055 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Board of Control Claims | | | | 13 | – | – |
| Special Projects | | | | 45,047 | 49,037 | 49,037 |
| Totals, Special Items of Expense | | | | $45,060 | $49,037 | $49,037 |
| UNCLASSIFIED | | | | | | |
| Federal Flow Through | | | | 15,949 | 18,859 | 18,859 |
| Health Facility Receiverships | | | | – | 1,000 | 1,000 |
| Debt Service | | | | – | 1,243 | 3,678 |
| Totals, Unclassified | | | | $15,949 | $21,102 | $23,537 |
| TOTALS, EXPENDITURES | | | | $678,840 | $796,222 | $863,619 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $183,217 | $253,233 | $233,192 |
| Allocation for employee compensation | 9,268 | 849 | – |
| Adjustment per Section 3.60 | –6,302 | –1,240 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 191 | – |
| Adjustment per Section 16.00 | 74 | – | – |
| Transfer to Legislative Claims (9670) | –13 | – | – |
| Allocation for Innovation Technology Activities | 1,200 | – | – |
| Allocation from Chapter 148, Statutes of 1999 | 600 | – | – |
| Allocation per Chapter 540, Statutes of 2000 | – | 200 | – |
| 002 Budget Act appropriation (Transfer to Cancer Research Fund-0589) | 25,000 | 25,000 | 25,000 |
| 003 Budget Act appropriation | – | 1,243 | 2,704 |
| 011 General Fund loan to Infant Botulism Treatment and Prevention Fund | (0) | (0) | – |
| Chapter 683, Statutes of 1996 | 145 | – | – |
| Chapter 819, Statutes of 1999 | 250 | – | – |
| Chapter 430, Statutes 1999 | 100 | – | – |
| Chapter 754, Statutes of 2000 | – | 400 | – |
| Chapter 841, Statutes of 2000 | – | 145 | – |
| Chapter 451, Statutes of 2000 | – | 500 | – |
| Prior year balances available: | | | |
| Item 4260-001-0001/1998, Reapp by Item 4260-490/1999 | 2,579 | – | – |
| Chapter 716, Statutes of 1998 | 49 | 47 | – |
| Chapter 720, Statutes of 1998 (Food Safety Certification) | 100 | 100 | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Allocation for employee compensation | – | $3 | – |
| Adjustment per Section 3.60 | – | –1 | – |
| Chapter 430, Statutes of 1999 | – | 100 | – |
| Totals Available | $216,267 | $280,770 | $260,896 |
| Unexpended balance, estimated savings | –826 | – | – |
| Balance available in subsequent years | –247 | – | – |
| TOTALS, EXPENDITURES | $215,194 | $280,770 | $260,896 |

### 0007 Breast Cancer Research Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,620 | $1,624 | $1,625 |
| TOTALS, EXPENDITURES | $1,620 | $1,624 | $1,625 |

### 0009 Breast Cancer Control Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $7,784 | $7,823 | $7,781 |
| Allocation for employee compensation | 141 | 4 | – |
| Adjustment per Section 3.60 | –111 | –19 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $7,815 | $7,808 | $7,781 |
| Unexpended balance, estimated savings | –469 | – | – |
| TOTALS, EXPENDITURES | $7,346 | $7,808 | $7,781 |

### 0029 Nuclear Planning Assessment Special Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $546 | $487 | $572 |
| Allocation for employee compensation | 23 | 1 | – |
| Adjustment per Section 3.60 | –15 | –2 | – |
| TOTALS, EXPENDITURES | $554 | $486 | $572 |

### 0044 Motor Vehicle Account, State Transportation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $859 | $836 | $859 |
| Allocation for employee compensation | 33 | 1 | – |
| Adjustment per Section 3.60 | –30 | –6 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| 003 Budget Act Appropriation | – | – | 86 |
| Totals Available | $863 | $831 | $945 |
| Unexpended balance, estimated savings | –51 | – | – |
| TOTALS, EXPENDITURES | $812 | $831 | $945 |

### 0066 Sale of Tobacco to Minors Control Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,138 | $2,117 | $2,179 |
| Allocation for employee compensation | 87 | 41 | – |
| Adjustment per Section 3.60 | –61 | 29 | – |
| Allocation for Innovation Technology Activities | 1 | – | – |
| Totals Available | $2,165 | $2,187 | $2,179 |
| Unexpended balance, estimated savings | –379 | – | – |
| TOTALS, EXPENDITURES | $1,786 | $2,187 | $2,179 |

### 0070 Occupational Lead Poisoning Prevention Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $3,126 | $2,968 | $2,967 |
| Allocation for employee compensation | 68 | 2 | – |
| Adjustment per Section 3.60 | –51 | –16 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Allocation for Innovation Technology Activities | 1 | – | – |
| Totals Available | $3,146 | $2,954 | $2,967 |
| Unexpended balance, estimated savings | –75 | – | – |
| TOTALS, EXPENDITURES | $3,071 | $2,954 | $2,967 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 0074 Medical Waste Management Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,078 | $911 | $901 |
| Allocation for employee compensation | 55 | 1 | – |
| Adjustment per Section 3.60 | –38 | –8 | – |
| Totals Available | $1,095 | $904 | $901 |
| Unexpended balance, estimated savings | –92 | – | – |
| TOTALS, EXPENDITURES | $1,003 | $904 | $901 |

### 0075 Radiation Control Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $13,444 | $17,612 | $17,993 |
| Allocation for employee compensation | 747 | 17 | – |
| Adjustment per Section 3.60 | –436 | –502 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 11 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Allocation for Innovation Technology Activities | 6 | – | – |
| TOTALS, EXPENDITURES | $13,764 | $17,138 | $17,993 |

### 0076 Tissue Bank License Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $169 | $163 | $533 |
| Allocation for employee compensation | 10 | – | – |
| Adjustment per Section 3.60 | –10 | –2 | – |
| Chapter 829, Statutes of 2000 | – | 250 | – |
| Totals Available | $169 | $411 | $533 |
| Unexpended balance, estimated savings | –40 | – | – |
| TOTALS, EXPENDITURES | $129 | $411 | $533 |

### 0080 Childhood Lead Poisoning Prevention Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $4,231 | $5,495 | $5,738 |
| Allocation for employee compensation | 116 | 5 | – |
| Adjustment per Section 3.60 | –95 | –44 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Allocation for Innovation Technology Activities | 1 | – | – |
| 003 Budget Act Appropriation | – | – | 55 |
| Totals Available | $4,255 | $5,456 | $5,793 |
| Unexpended balance, estimated savings | –617 | – | – |
| TOTALS, EXPENDITURES | $3,638 | $5,456 | $5,793 |

### 0082 Export Document Program Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $143 | $141 | $132 |
| Allocation for employee compensation | 12 | 5 | – |
| Adjustment per Section 3.60 | –6 | –2 | – |
| Totals Available | $149 | $144 | $132 |
| Unexpended balance, estimated savings | –45 | – | – |
| TOTALS, EXPENDITURES | $104 | $144 | $132 |

### 0098 Clinical Laboratory Improvement Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $5,533 | $5,897 | $5,977 |
| Allocation for employee compensation | 255 | 3 | – |
| Adjustment per Section 3.60 | –215 | –35 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Allocation for Innovation Technology Activities | 3 | – | – |
| 003 Budget Act Appropriation | – | – | 22 |
| Totals Available | $5,578 | $5,865 | $5,999 |
| Unexpended balance, estimated savings | –1,102 | – | – |
| TOTALS, EXPENDITURES | $4,476 | $5,865 | $5,999 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 0099 Health Statistics Special Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $12,238 | $12,354 | $12,016 |
| Allocation for employee compensation | 610 | 14 | – |
| Adjustment per Section 3.60 | –404 | –82 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 6 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| TOTALS, EXPENDITURES | $12,447 | $12,292 | $12,016 |

### 0116 Wine Safety Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $55 | $55 | $48 |
| Allocation for employee compensation | 6 | – | – |
| Adjustment per Section 3.60 | –1 | –1 | – |
| Totals Available | $60 | $54 | $48 |
| Unexpended balance, estimated savings | –24 | – | – |
| TOTALS, EXPENDITURES | $36 | $54 | $48 |

### 0129 Water Device Certification Special Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $141 | $100 | $162 |
| Allocation for employee compensation | 10 | – | – |
| Adjustment per Section 3.60 | –7 | 61 | – |
| Totals Available | $144 | $161 | $162 |
| Unexpended balance, estimated savings | –76 | – | – |
| TOTALS, EXPENDITURES | $68 | $161 | $162 |

### 0177 Food Safety Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,956 | $3,911 | $4,330 |
| Allocation for employee compensation | 138 | 119 | – |
| Adjustment per Section 3.60 | –42 | –20 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 5 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Allocation for Innovation Technology Activities | 2 | – | – |
| TOTALS, EXPENDITURES | $3,055 | $4,015 | $4,330 |

### 0179 Environmental Laboratory Improvement Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,847 | $2,920 | $3,475 |
| Allocation for employee compensation | 142 | 3 | – |
| Adjustment per Section 3.60 | –121 | –22 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| 003 Budget Act Appropriation | – | – | 1 |
| Totals Available | $2,869 | $2,901 | $3,476 |
| Unexpended balance, estimated savings | –142 | – | – |
| TOTALS, EXPENDITURES | $2,727 | $2,901 | $3,476 |

### 0203 Genetic Disease Testing Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $61,198 | $61,445 | $61,665 |
| Allocation for employee compensation | 679 | 14 | – |
| Adjustment per Section 3.60 | –450 | –95 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 3 | – |
| Adjustment per Section 16.00 | 9 | – | – |
| Allocation for Innovation Technology Activities | 9 | – | – |
| 003 Budget Act Appropriation | – | – | 653 |
| Prior year balances available: | | | |
| Chapter 803, Statutes of 2000 | – | 3,900 | 2,553 |
| Totals Available | $61,445 | $65,267 | $64,871 |
| Unexpended balance, estimated savings | –3,650 | – | – |
| Balance available in subsequent years | – | –2,553 | – |
| TOTALS, EXPENDITURES | $57,795 | $62,714 | $64,871 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $25,045 | $6,704 | $6,659 |
| Allocation for employee compensation | 113 | 4 | – |
| Adjustment per Section 3.60 | −88 | −59 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Prior year balances available: | | | |
| Item 4260-001-0231/1998 Reapp by Chapter 294, Statutes of 1997, Section 88 | 1,919 | – | – |
| Chapter 278, Statutes of 1991 amended by Chapter 1770, Statutes of 1991 | 515 | – | – |
| Totals Available | $27,505 | $6,649 | $6,659 |
| Unexpended balance, estimated savings | −2,262 | – | – |
| TOTALS, EXPENDITURES | $25,243 | $6,649 | $6,659 |

### 0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,721 | $276 | $273 |
| Allocation for employee compensation | 56 | 1 | – |
| Adjustment per Section 3.60 | −40 | −5 | – |
| TOTALS, EXPENDITURES | $1,737 | $272 | $273 |

### 0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $115 | – | – |
| Allocation for employee compensation | 14 | – | – |
| Adjustment per Section 3.60 | −10 | – | – |
| TOTALS, EXPENDITURES | $119 | – | – |

### 0234 Research Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,738 | $4,948 | $4,930 |
| Allocation for employee compensation | 13 | – | – |
| Adjustment per Section 3.60 | −11 | 102 | – |
| Prior year balances available: | | | |
| Item 4260-001-0234/1997, Reapp by Chapter 294, Statutes of 1997 | 15 | – | – |
| Totals Available | $1,755 | $5,050 | $4,930 |
| Unexpended balance, estimated savings | −36 | – | – |
| TOTALS, EXPENDITURES | $1,719 | $5,050 | $4,930 |

### 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $407 | $2,696 | $2,692 |
| Allocation for employee compensation | 162 | 4 | – |
| Adjustment per Section 3.60 | −123 | −19 | – |
| Revised expenditure authority per Chapter 294, Statutes of 1997, Section 86 | −129 | – | – |
| TOTALS, EXPENDITURES | $317 | $2,681 | $2,692 |

### 0247 Drinking Water Operator Certification Special Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $1,233 | $1,197 |
| Allocation for employee compensation | – | 1 | – |
| Adjustment per Section 3.60 | – | −8 | – |
| TOTALS, EXPENDITURES | – | $1,226 | $1,197 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 0272 Infant Botulism Treatment and Prevention Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,191 | $1,300 | $1,727 |
| Allocation for employee compensation | 62 | 3 | – |
| Adjustment per Section 3.60 | −33 | −18 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $1,221 | $1,285 | $1,727 |
| Unexpended balance, estimated savings | −122 | – | – |
| TOTALS, EXPENDITURES | $1,099 | $1,285 | $1,727 |

### 0306 Safe Drinking Water Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $7,983 | $8,124 | $7,807 |
| Allocation for employee compensation | 439 | 19 | – |
| Adjustment per Section 3.60 | −309 | −304 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 16 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Allocation for Innovation Technology Activities | 5 | – | – |
| Totals Available | $8,121 | $7,855 | $7,807 |
| Unexpended balance, estimated savings | −583 | – | – |
| TOTALS, EXPENDITURES | $7,538 | $7,855 | $7,807 |

### 0335 Registered Environmental Health Specialist Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $187 | $168 | $165 |
| Allocation for employee compensation | 9 | – | – |
| Adjustment per Section 3.60 | −6 | −1 | – |
| Totals Available | $190 | $167 | $165 |
| Unexpended balance, estimated savings | −84 | – | – |
| TOTALS, EXPENDITURES | $106 | $167 | $165 |

### 0478 Mosquitoborne Disease Surveillance Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $29 | $35 | $36 |
| Allocation for employee compensation | 2 | – | – |
| Adjustment per Section 3.60 | −2 | −1 | – |
| Totals Available | $29 | $34 | $36 |
| Unexpended balance, estimated savings | −2 | – | – |
| TOTALS, EXPENDITURES | $27 | $34 | $36 |

### 0589 Cancer Research Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $25,064 | $24,957 | $24,951 |
| Allocation for employee compensation | 25 | 1 | – |
| Adjustment per Section 3.60 | −20 | −13 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $25,070 | $24,945 | $24,951 |
| Unexpended balance, estimated savings | −815 | – | – |
| TOTALS, EXPENDITURES | $24,255 | $24,945 | $24,951 |
| Less funding provided by the General Fund | −25,000 | −25,000 | −25,000 |
| NET TOTALS, EXPENDITURES | −$745 | −$55 | −$49 |

### 0622 Drinking Water Treatment and Research Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $547 | $531 | $610 |
| Allocation for employee compensation | 12 | – | – |
| Adjustment per Section 3.60 | −11 | 40 | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapter 997, Statutes of 1998 (Transfer from Local Assistance) | $102 | – | – |
| Chapter 997, Statutes of 1998 (Transfer from Local Assistance) | – | $180 | – |
| Totals Available | $650 | $751 | $610 |
| Unexpended balance, estimated savings | −284 | – | – |
| Balance available in subsequent years | −180 | – | – |
| TOTALS, EXPENDITURES | $186 | $751 | $610 |

### 0625 Administration Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $3,027 | $3,468 | – |
| Allocation for employee compensation | 131 | 3 | – |
| Adjustment per Section 3.60 | −80 | −83 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Health and Safety Code 116760.42 (b)(3) | – | – | $3,381 |
| Totals Available | $3,079 | $3,388 | $3,381 |
| Unexpended balance, estimated savings | −102 | – | – |
| TOTALS, EXPENDITURES | $2,977 | $3,388 | $3,381 |

### 0626 Water System Reliability Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,457 | $1,446 | – |
| Allocation for employee compensation | 52 | – | – |
| Adjustment per Section 3.60 | −9 | 64 | – |
| Health and Safety Code 116760.42 (b)(3) | – | – | $2,000 |
| Totals Available | $1,500 | $1,510 | $2,000 |
| Unexpended balance, estimated savings | −1,419 | – | – |
| TOTALS, EXPENDITURES | $81 | $1,510 | $2,000 |

### 0627 Source Protection Account [f]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,410 | $2,710 | – |
| Allocation for employee compensation | 46 | 2 | – |
| Adjustment per Section 3.60 | −63 | −66 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Health and Safety Code 116760.42 (b)(3) | – | – | $2,710 |
| Totals Available | $2,394 | $2,646 | $2,710 |
| Unexpended balance, estimated savings | −1,767 | – | – |
| TOTALS, EXPENDITURES | $627 | $2,646 | $2,710 |

### 0628 Small System Technical Assistance Account [f]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,514 | $1,663 | – |
| Allocation for employee compensation | 65 | 1 | – |
| Adjustment per Section 3.60 | −47 | 12 | – |
| Health and Safety Code 116760.42 (b)(3) | – | – | $1,691 |
| Totals Available | $1,532 | $1,676 | $1,691 |
| Unexpended balance, estimated savings | −710 | – | – |
| TOTALS, EXPENDITURES | $822 | $1,676 | $1,691 |

### 0629 Safe Drinking Water State Revolving Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Health and Safety Code Section 116760.40 | – | $2,000 | – |
| TOTALS, EXPENDITURES | – | $2,000 | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 0642 Domestic Violence Training and Education Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $804 | $716 | $773 |
| Allocation for employee compensation | 6 | – | – |
| Adjustment per Section 3.60 | –6 | 30 | – |
| Totals Available | $804 | $746 | $773 |
| Unexpended balance, estimated savings | –89 | – | – |
| TOTALS, EXPENDITURES | $715 | $746 | $773 |

### 0693 Emergency Services and Supplemental Payments Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $120 | $122 | $122 |
| Allocation for employee compensation | 7 | – | – |
| Adjustment per Section 3.60 | –5 | –1 | – |
| TOTALS, EXPENDITURES | $122 | $121 | $122 |

### 0823 California Alzheimer's Disease and Related Disorders Research Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $261 | $253 | $291 |
| Allocation for employee compensation | 4 | – | – |
| Adjustment per Section 3.60 | –3 | 26 | – |
| Prior year balances available: | | | |
| Item 4260-001-0823/1998, Reapp by Item 4260-490/1999 | 193 | – | – |
| Totals Available | $455 | $279 | $291 |
| Unexpended balance, estimated savings | –65 | – | – |
| TOTALS, EXPENDITURES | $390 | $279 | $291 |

### 0834 Medi-Cal Inpatient Payment Adjustment Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $778 | $699 | $794 |
| Allocation for employee compensation | 38 | 1 | – |
| Adjustment per Section 3.60 | –30 | 92 | – |
| Totals Available | $786 | $792 | $794 |
| Unexpended balance, estimated savings | –321 | – | – |
| TOTALS, EXPENDITURES | $465 | $792 | $794 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $262,787 | $295,739 | $318,916 |
| Allocation for employee compensation | 9,894 | 668 | – |
| Adjustment per Section 3.60 | –6,980 | –1,716 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 249 | – |
| Adjustment per Section 16.00 | 59 | – | – |
| Allocation for Innovation Technology Activities | 1,546 | – | – |
| Budget Adjustment | – | 1,149 | – |
| Allocation per Chapter 148, Statutes of 1999 | 600 | – | – |
| Budget Adjustment | –942 | – | – |
| 003 Budget Act Appropriation | – | – | 13 |
| 007 Budget Act appropriation (Medi-Cal flow-through) | 18,859 | 18,859 | 18,859 |
| Budget Adjustment | –2,910 | – | – |
| Prior year balances available: | | | |
| Chapter 1179, Statutes of 1991, Section 4 | 125 | 125 | 122 |
| Allocation for employee compensation | 1 | 1 | – |
| Adjustment per Section 3.60 | –2 | –10 | – |
| Budget Adjustment | –38 | – | – |
| TOTALS, EXPENDITURES | $282,999 | $315,064 | $337,910 |

### 0900 Local Health Capital Expenditure Account County Health Services Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $17 | $17 | $17 |
| TOTALS, EXPENDITURES | $17 | $17 | $17 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 0919 Birth Defects Research Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | $400 | $400 |
| TOTALS, EXPENDITURES | – | $400 | $400 |

### 0942 Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 002 Budget Act appropriation, Health Facilities Citation Penalties Account | $1,000 | $1,000 | $1,000 |
| 003 Budget Act appropriation, Federal Citation Penalties Acccount | 205 | 2,217 | 2,216 |
| Allocation for employee compensation | 12 | – | – |
| Adjustment per Section 3.60 | −8 | −2 | – |
| Totals Available | $1,209 | $3,215 | $3,216 |
| Unexpended balance, estimated savings | −843 | – | – |
| TOTALS, EXPENDITURES | $366 | $3,215 | $3,216 |

### 0995 Reimbursements

| APPROPRIATIONS | | | |
|---|---|---|---|
| Reimbursements | $22,288 | $29,738 | $29,736 |

### 3018 Drug and Device Safety Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $1,312 |
| TOTALS, EXPENDITURES | – | – | $1,312 |

### 3020 Tobacco Settlement Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $60,000 |
| TOTALS, EXPENDITURES | – | – | $60,000 |
| TOTALS, EXPENDITURES (State Operations) | $678,840 | $796,222 | $863,619 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Public and Environmental Health | $310,414 | $567,976 | $559,992 |
| Public Health Services | (90,909) | (321,739) | (310,306) |
| AIDS | (219,445) | (245,537) | (249,386) |
| Vital Records Improvement Project | (60) | (700) | (300) |
| Health Care Services | 1,487,818 | 1,569,500 | 1,583,681 |
| Primary Care and Family Health Services | (1,403,297) | (1,458,743) | (1,471,925) |
| County Health Services | (84,521) | (110,757) | (111,756) |
| Medi-Cal Services | 21,978,260 | 24,565,123 | 25,167,755 |
| Eligibility—County Administration | (1,129,188) | (1,214,123) | (1,276,881) |
| Benefits | (20,564,626) | (22,995,147) | (23,527,366) |
| Payment systems | (183,055) | (238,116) | (245,771) |
| Supplemental payments pursuant to Welfare and Institutions Code Section 14085.5(c)(5) (Capital Debt) | (101,391) | (117,737) | (117,737) |
| State Mandates | 4,668 | 12,448 | 7,574 |
| TOTALS, EXPENDITURES | $23,781,160 | $26,715,047 | $27,319,002 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (Medi-Cal) | $7,586,720 | $9,193,054 | $9,265,181 |
| Allocation for contingencies or emergencies | 444,657 | 204,016 | – |
| Allocation for Innovation Technology Activities | 740 | – | – |
| Allocation per Chapter 540, Statutes of 2000 | – | 301 | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 102 Budget Act appropriation | $49,033 | $57,267 | $51,441 |
| 111 Budget Act appropriation (Public Health) | 346,723 | 450,978 | 404,076 |
| Allocation for contingencies or emergencies | 12,287 | 4,561 | – |
| Allocation per Chapter 146, Statutes of 1999 | 5,000 | – | – |
| Allocation per Chapter 540, Statutes of 2000 | – | 1,013 | – |
| 112 Budget Act appropriation | 2,123 | 1,036 | 1,328 |
| Allocation for contingencies or emergencies | – | 178 | – |
| 113 Budget Act appropriation | 3,523 | 3,166 | 8,416 |
| Allocation for contingencies or emergencies | 10,411 | 2,013 | – |
| 115 Budget Act appropriation (Trsf to Safe Drinking Wtr St Revolving Loan Fd) | 15,417 | – | – |
| 295 Budget Act appropriation (State Mandates) | 7,064 | 7,226 | 7,574 |
| Adjustment per Government Code Section 17613 | –2,436 | – | – |
| Chapter 794, Statutes of 2000 | – | 1,000 | – |
| Chapter 754, Statutes of 2000 | – | 1,100 | – |
| Chapter 574, Statutes of 1999 (State Mandates) | 2,198 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 2,915 | – |
| Prior year balances available: | | | |
| Chapter 891, Statutes of 1998 (Medically Fragile Children) | 75 | – | – |
| Chapter 306, Statutes of 1997 (State Mandates) | 3 | – | – |
| Chapter 574, Statutes of 1999 (State Mandates) | – | 2,198 | – |
| Chapter 748, Statutes of 1996 (State Mandates) | 11,812 | – | – |
| Chapter 780, Statutes of 1998 (State Mandates) | 110 | 110 | – |
| Totals Available | $8,495,460 | $9,932,132 | $9,738,016 |
| Unexpended balance, estimated savings | –43,473 | –2,241 | – |
| Balance available in subsequent years | –2,307 | – | – |
| TOTALS, EXPENDITURES | $8,449,680 | $9,929,891 | $9,738,016 |

### 0009 Breast Cancer Control Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation | $7,143 | $18,365 | $12,710 |
| Totals Available | $7,143 | $18,365 | $12,710 |
| Unexpended balance, estimated savings | –18 | – | – |
| TOTALS, EXPENDITURES | $7,125 | $18,365 | $12,710 |

### 0080 Childhood Lead Poisoning Prevention Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation | $11,800 | $12,000 | $12,000 |
| Totals Available | $11,800 | $12,000 | $12,000 |
| Unexpended balance, estimated savings | –65 | – | – |
| TOTALS, EXPENDITURES | $11,735 | $12,000 | $12,000 |

### 0099 Health Statistics Special Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation | $300 | $300 | $300 |
| Totals Available | $300 | $300 | $300 |
| Unexpended balance, estimated savings | –240 | – | – |
| TOTALS, EXPENDITURES | $60 | $300 | $300 |

### 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation | $35,116 | $79,835 | $79,835 |
| Prior year balances available: | | | |
| Item 4260-111-0231/1997, Reapp by Chapter 294, Statutes of 1997 Section 88 | 10,315 | 260 | – |
| Chapter 1331, Statutes of 1989, extended per Chapter 51/90 & Chapter 1170/91 | 43 | 43 | – |
| Chapter 278, Statutes of 1991 Secs 27(b) 28(b) & 29(b) amded by Chapter 1170/1991 | 334 | 287 | – |
| Totals Available | $45,808 | $80,425 | $79,835 |
| Unexpended balance, estimated savings | –10,142 | – | – |
| Balance available in subsequent years | –590 | – | – |
| TOTALS, EXPENDITURES | $35,076 | $80,425 | $79,835 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 111 Budget Act appropriation | $80,898 | $70,665 | $75,041 |
| Revised expenditure authority per Chapter 294/1997, Section 86 | –5,922 | –3,931 | – |
| Chapter 826, Statutes of 2000 | – | 9,015 | – |
| Totals Available | $74,976 | $75,749 | $75,041 |
| Unexpended balance, estimated savings | – | –500 | – |
| TOTALS, EXPENDITURES | $74,976 | $75,249 | $75,041 |

### 0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation | $7,974 | $6,838 | $7,523 |
| Revised expenditure authority per Chapter 294/1997, Section 86 | –2,208 | –93 | – |
| Allocation per Chapter 744, Statutes of 1999 | 1,653 | – | – |
| Chapter 826, Statutes of 2000 | – | 2,328 | – |
| Totals Available | $7,419 | $9,073 | $7,523 |
| Unexpended balance, estimated savings | – | –571 | – |
| TOTALS, EXPENDITURES | $7,419 | $8,502 | $7,523 |

### 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation | $68,129 | $45,457 | $66,248 |
| Revised expenditure authority per Chapter 294/1997, Section 86 | –1,038 | 4,024 | – |
| Allocation per Chapter 831, Statutes of 1999 | 14,941 | – | – |
| Chapter 826, Statutes of 2000 | – | 13,460 | – |
| Totals Available | $82,032 | $62,941 | $66,248 |
| Unexpended balance, estimated savings | –3,787 | –9,380 | – |
| TOTALS, EXPENDITURES | $78,245 | $53,561 | $66,248 |

### 0279 Child Health and Safety Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation | $491 | $491 | $491 |
| Totals Available | $491 | $491 | $491 |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES | $487 | $491 | $491 |

### 0622 Drinking Water Treatment and Research Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation | $4,453 | $4,453 | $4,453 |
| Totals Available | $4,453 | $4,453 | $4,453 |
| Unexpended balance, estimated savings | –1,201 | – | – |
| TOTALS, EXPENDITURES | $3,252 | $4,453 | $4,453 |

### 0626 Water System Reliability Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation | $1,702 | $1,702 | – |
| Totals Available | $1,702 | $1,702 | – |
| Unexpended balance, estimated savings | –1,702 | – | – |
| TOTALS, EXPENDITURES | – | $1,702 | – |

### 0627 Source Protection Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation | $250 | $250 | – |
| Health and Safety Code 116760.42 (b)(3) | – | – | $250 |
| Totals Available | $250 | $250 | $250 |
| Unexpended balance, estimated savings | –28 | – | – |
| TOTALS, EXPENDITURES | $222 | $250 | $250 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 0629 Safe Drinking Water State Revolving Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 111 Budget Act appropriation | $84,817 | – | – |
| Health and Safety Section 116760.40 | – | $102,400 | $87,482 |
| Totals Available | $84,817 | $102,400 | $87,482 |
| Unexpended balance, estimated savings | −77,835 | – | – |
| TOTALS, EXPENDITURES | $6,982 | $102,400 | $87,482 |
| Less funding provided by the General and Federal Trust Funds | −84,817 | – | – |
| Less funding provided by the Federal Trust Fund | – | −164,000 | −87,482 |
| NET TOTALS, EXPENDITURES | −$77,835 | −$61,600 | – |

### 0693 Emergency Services and Supplemental Payments Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $618,707 | $633,562 | $613,332 |
| Totals Available | $618,707 | $633,562 | $613,332 |
| Unexpended balance, estimated savings | −2,500 | −6,337 | – |
| TOTALS, EXPENDITURES | $616,207 | $627,225 | $613,332 |
| Less funding provided by the General Fund | – | −12,000 | – |
| NET TOTALS, EXPENDITURES | $616,207 | $615,225 | $613,332 |

### 0834 Medi-Cal Inpatient Payment Adjustment Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 13340 | $1,233,281 | $959,558 | $861,058 |
| TOTALS, EXPENDITURES | $1,233,281 | $959,558 | $861,058 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (Medi-Cal) | $12,182,808 | $13,168,945 | $14,112,899 |
| Allocation for Innovation Technology Activities | 785 | – | – |
| Budget Adjustment | – | 284,718 | – |
| 102 Budget Act appropriation | 52,358 | 60,470 | 54,318 |
| Budget Adjustment | −31,412 | −1,712 | – |
| 103 Budget Act appropriation (Refugees—Medi-Cal) | 6,978 | 6,894 | 6,798 |
| Budget Adjustment | −444 | 39 | – |
| 111 Budget Act appropriation (Public Health) | 1,000,305 | 1,009,560 | 1,014,237 |
| Budget Adjustment | −193,519 | – | – |
| 112 Budget Act appropriation (Healthy Families) | 6,922 | 3,642 | 4,682 |
| Budget Adjustment | −5,204 | 654 | – |
| 113 Budget Act appropriation | 18,455 | 13,741 | 24,340 |
| Budget Adjustment | −10,337 | −331 | – |
| 115 Budget Act appropriation (Trsf to Safe Drinking Wtr St Revolving Ln Fd) | 69,400 | 164,000 | 87,482 |
| 116 Budget Act appropriation (Transfer to various funds) | (7,700) | (11,224) | (9,782) |
| Rev trsf authority per Sec 1452(g) of the federal act (42 USCA Sec 300j-12) | (–) | (78) | – |
| TOTALS, EXPENDITURES | $13,097,095 | $14,710,620 | $15,304,756 |

### 0942 Special Deposit Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 114 Budget Act appropriation | $100 | $100 | $100 |
| Totals Available | $100 | $100 | $100 |
| Unexpended balance, estimated savings | −72 | – | – |
| TOTALS, EXPENDITURES | $28 | $100 | $100 |

### 0995 Reimbursements

| APPROPRIATIONS | | | |
|---|---|---|---|
| Reimbursements | $244,107 | $305,955 | $307,984 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 3020 Tobacco Settlement Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | – | – | $169,972 |
| 111 Budget Act appropriation | – | – | 64,933 |
| TOTALS, EXPENDITURES | – | – | $234,905 |
| TOTALS, EXPENDITURES (Local Assistance) | $23,781,160 | $26,715,047 | $27,319,002 |
| TOTALS, EXPENDITURES (State Operations and Local Assistance) | $24,460,000 | $27,511,269 | $28,182,621 |

---

### FUND CONDITION STATEMENT
### 0004 Breast Cancer Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | $2,648 | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 110500 Cigarette Tax | $26,400 | 26,000 | $25,600 |
| 150300 Income from surplus money investments | 249 | 200 | 201 |
| Totals, Revenues | $26,649 | $26,200 | $25,801 |
| Transfers from Other Funds: | | | |
| F00623 Children and Families Trust Fund, California, per Health and Safety Code Section 130105 | 5,400 | 5,400 | 5,400 |
| Transfers to Other Funds: | | | |
| T00007 Breast Cancer Research Account Revenue and Tax Code Section 30461.6 | –14,647 | –17,071 | –15,539 |
| T00009 Breast Cancer Research Account per Revenue and Tax Code Section 30461.6 | –14,647 | –17,071 | –15,539 |
| Totals, Transfers to Other Funds | –$23,894 | –$28,742 | –$25,678 |
| Totals, Revenues and Transfers | $2,755 | –$2,542 | $123 |
| Totals, Resources | $2,755 | $106 | $123 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0860 Board of Equalization (State Operations) | 107 | 106 | 123 |
| FUND BALANCE | $2,648 | – | – |
| Reserve for economic uncertainties | 2,648 | – | – |

### 0007 Breast Cancer Research Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $6,226 | $4,438 | $4,179 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 1,891 | 1,000 | 1,000 |
| Transfers from Other Funds: | | | |
| F00004 Breast Cancer Fund per Revenue and Tax Code Section 30461.6 | 14,647 | 17,071 | 15,539 |
| Totals, Transfers from Other Funds | $14,647 | $17,071 | $15,539 |
| Totals, Revenues and Transfers | $16,538 | $18,071 | $16,539 |
| Totals, Resources | $22,764 | $22,509 | $20,718 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 1,620 | 1,624 | 1,625 |
| 6440 University of California (State Operations) | 16,706 | 16,706 | 14,729 |
| Totals, Disbursements | $18,326 | $18,330 | $16,354 |
| FUND BALANCE | $4,438 | $4,179 | $4,364 |
| Reserve for economic uncertainties | 4,438 | 4,179 | 4,364 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| 0009 Breast Cancer Control Account [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $11,299 | $12,344 | $4,042 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 869 | 800 | 911 |
| Transfers from Other Funds: | | | |
| F00004 Breast Cancer Fund per Revenue and Tax Code Section 30461.6 | 14,647 | 17,071 | 15,539 |
| Totals, Revenues and Transfers | $15,516 | $17,871 | $16,450 |
| Totals, Resources | $26,815 | $30,215 | $20,492 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 7,346 | 7,808 | 7,781 |
| Local Assistance | 7,125 | 18,365 | 12,710 |
| Totals, Disbursements | $14,471 | $26,173 | $20,491 |
| FUND BALANCE | $12,344 | $4,042 | $1 |
| Reserve for economic uncertainties | 12,344 | 4,042 | 1 |

| 0066 Sale of Tobacco to Minors Control Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $160 | $420 | $393 |
| Prior year adjustments | −107 | – | – |
| Balance, Adjusted | $53 | $420 | $393 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 164400 Civil and Criminal Violation Assessment | 153 | 160 | 160 |
| Totals, Revenues and Transfers | $153 | $160 | $160 |
| Totals, Resources | $206 | $580 | $553 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 1,786 | 2,187 | 2,179 |
| Expenditure Reductions: | | | |
| 4200 Department of Alcohol and Drug Programs: | | | |
| Less funding provided by Federal Trust Fund (State Operations) | −2,000 | −2,000 | −2,000 |
| Totals, Disbursements | −$214 | $187 | $179 |
| FUND BALANCE | $420 | $393 | $374 |
| Reserve for economic uncertainties | 420 | 393 | 374 |

| 0070 Occupational Lead Poisoning Prevention Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,206 | $2,033 | $1,310 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 2,435 | 2,800 | 2,800 |
| Totals, Revenues and Transfers | $2,435 | $2,800 | $2,800 |
| Totals, Resources | $5,641 | $4,833 | $4,110 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0860 Board of Equalization (State Operations) | 537 | 569 | 586 |
| 4260 Department of Health Services (State Operations) | 3,071 | 2,954 | 2,967 |
| Totals, Disbursements | $3,608 | $3,523 | $3,553 |
| FUND BALANCE | $2,033 | $1,310 | $557 |
| Reserve for economic uncertainties | 2,033 | 1,310 | 557 |

| 0074 Medical Waste Management Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $727 | $513 | $358 |
| Prior year adjustments | −3 | – | – |
| Balance, Adjusted | $724 | $513 | $358 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory fees | $743 | $700 | $700 |
| 150300 Income from surplus money investments | 49 | 49 | 49 |
| Totals, Revenues and Transfers | $792 | $749 | $749 |
| Totals, Resources | $1,516 | $1,262 | $1,107 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 1,003 | 904 | 901 |
| Totals, Disbursements | $1,003 | $904 | $901 |
| FUND BALANCE | $513 | $358 | $206 |
| Reserve for economic uncertainties | 513 | 358 | 206 |

### 0075 Radiation Control Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $17,111 | $15,832 | $11,288 |
| Prior year adjustments | −134 | – | – |
| Balance, Adjusted | $16,977 | $15,832 | $11,288 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 11,520 | 11,495 | 14,500 |
| 150300 Income from surplus money investments | 1,099 | 1,099 | 1,048 |
| Totals, Revenues and Transfers | $12,619 | $12,594 | $15,548 |
| Totals, Resources | $29,596 | $28,426 | $26,836 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 13,764 | 17,138 | 17,993 |
| FUND BALANCE | $15,832 | $11,288 | $8,843 |
| Reserve for economic uncertainties | 15,832 | 11,288 | 8,843 |

### 0076 Tissue Bank License Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $300 | $361 | $150 |
| Prior year adjustments | −6 | – | – |
| Balance, adjusted | $294 | $361 | $150 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 196 | 200 | 1,047 |
| Totals, Revenues and Transfers | $196 | $200 | $1,047 |
| Totals, Resources | $490 | $561 | $1,197 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 129 | 411 | 533 |
| FUND BALANCE | $361 | $150 | $664 |
| Reserve for economic uncertainties | 361 | 150 | 664 |

### 0080 Childhood Lead Poisoning Prevention Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $19,380 | $17,219 | $12,149 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 12,000 | 12,000 | 12,000 |
| 150300 Income from surplus money investments | 1,589 | 1,200 | 1,200 |
| Totals, Revenues and Transfers | $13,589 | $13,200 | $13,200 |
| Totals, Resources | $32,969 | $30,419 | $25,349 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0860 Board of Equalization (State Operations) | $351 | $525 | $539 |
| 4260 Department of Health Services: | | | |
| State Operations | 3,638 | 5,456 | 5,793 |
| Local Assistance | 11,735 | 12,000 | 12,000 |
| 9900 Statewide General Administrative (Pro Rata) (State Operations) | 26 | 289 | 164 |
| Totals, Disbursements | $15,750 | $18,270 | $18,496 |
| FUND BALANCE | $17,219 | $12,149 | $6,853 |
| Reserve for economic uncertainties | 17,219 | 12,149 | 6,853 |

### 0082 Export Document Program Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $217 | $375 | $348 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 248 | 112 | 100 |
| 150300 Income from Surplus Money Investment Fund | 14 | 5 | 5 |
| Totals, Revenues and Transfers | $262 | $117 | $105 |
| Totals, Resources | $479 | $492 | $453 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 104 | 144 | 132 |
| FUND BALANCE | $375 | $348 | $321 |
| Reserve for economic uncertainties | 375 | 348 | 321 |

### 0098 Clinical Laboratory Improvement Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $758 | –$1,058 | – |
| Prior year adjustments | –1,076 | – | – |
| Balance, Adjusted | –$318 | –$1,058 | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 3,602 | 6,923 | $5,999 |
| 16440 Civil & Criminal Violation Assessments | 134 | – | – |
| Totals, Revenues and Transfers | $3,736 | $6,923 | $5,999 |
| Totals, Resources | $3,418 | $5,865 | $5,999 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 4,476 | 5,865 | 5,999 |
| FUND BALANCE | –$1,058 | – | – |
| Reserve for economic uncertainties | –1,058 | – | – |

### 0099 Health Statistics Special Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,543 | $1,277 | $236 |
| Prior year adjustments | 1,029 | – | – |
| Balance, Adjusted | $2,572 | $1,277 | $236 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | 10,988 | 11,351 | 11,880 |
| 150300 Income from surplus money investments | 224 | 200 | 200 |
| Totals, Revenues and Transfers | $11,212 | $11,551 | $12,080 |
| Totals, Resources | $13,784 | $12,828 | $12,316 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 12,447 | 12,292 | 12,016 |
| Local Assistance | 60 | 300 | 300 |
| Totals, Disbursements | $12,507 | $12,592 | $12,316 |
| FUND BALANCE | $1,277 | $236 | – |
| Reserve for economic uncertainties | 1,277 | 236 | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| 0116 Wine Safety Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $166 | $322 | $460 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 192 | 192 | 192 |
| Totals, Revenues and Transfers | $192 | $192 | $192 |
| Totals, Resources | $358 | $514 | $652 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 36 | 54 | 48 |
| FUND BALANCE | $322 | $460 | $604 |
| Reserve for economic uncertainties | 322 | 460 | 604 |

| 0129 Water Device Certification Special Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $271 | $373 | $372 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits (certification fees) | 170 | 160 | 160 |
| Totals, Revenues and Transfers | $170 | $160 | $160 |
| Totals, Resources | $441 | $533 | $532 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 68 | 161 | 162 |
| Totals, Disbursements | $68 | $161 | $162 |
| FUND BALANCE | $373 | $372 | $370 |
| Reserve for economic uncertainties | 373 | 372 | 370 |

| 0177 Food Safety Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,400 | $1,608 | $1,593 |
| Prior year adjustments | −107 | – | – |
| Balance, Adjusted | $1,293 | $1,608 | $1,593 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 2,807 | 3,900 | 3,900 |
| 150300 Income from surplus money investments | 119 | 100 | 100 |
| 161400 Miscellaneous Revenue | 444 | – | – |
| Totals, Revenues and Transfers | $3,370 | $4,000 | $4,000 |
| Totals, Resources | $4,663 | $5,608 | $5,593 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 3,055 | 4,015 | 4,330 |
| Totals, Disbursements | $3,055 | $4,015 | $4,330 |
| FUND BALANCE | $1,608 | $1,593 | $1,263 |
| Reserve for economic uncertainties | 1,608 | 1,593 | 1,263 |

| 0179 Environmental Laboratory Improvement Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,359 | $972 | $625 |
| Prior year adjustments | −12 | – | – |
| Balance, Adjusted | $1,347 | $972 | $625 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 2,268 | 2,513 | 3,445 |
| 150300 Income from surplus money investments | 84 | 41 | 41 |
| Totals, Revenues and Transfers | $2,352 | $2,554 | $3,486 |
| Totals, Resources | $3,699 | $3,526 | $4,111 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | $2,727 | $2,901 | $3,476 |
| Totals, Disbursements | $2,727 | $2,901 | $3,476 |
| FUND BALANCE | $972 | $625 | $635 |
| Reserve for economic uncertainties | 972 | 625 | 635 |

### 0203 Genetic Disease Testing Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $19,098 | $22,781 | $18,074 |
| Prior year adjustments | 3,714 | – | – |
| Balance, Adjusted | $22,812 | $22,781 | $18,074 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 121100 Genetic disease testing fees | 53,178 | 53,706 | 66,416 |
| 150300 Income from surplus money investments | 1,287 | 1,000 | 1,000 |
| 161000 Escheat of unclaimed checks & warrants | 2,635 | 2,635 | 2,635 |
| 161400 Miscellaneous Revenue | 666 | 666 | 666 |
| Totals, Revenues and Transfers | $57,766 | $58,007 | $70,717 |
| Totals, Resources | $80,578 | $80,788 | $88,791 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 57,795 | 62,714 | 64,871 |
| 9670 Legislative Claims | 2 | – | – |
| Totals, Disbursements | $57,797 | $62,714 | $64,871 |
| FUND BALANCE | $22,781 | $18,074 | $23,920 |
| Reserve for economic uncertainties | 22,781 | 18,074 | 23,920 |

### 0227 Low-Level Radioactive Waste Disposal Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $634 | $377 | $321 |
| Prior year adjustments | –281 | – | – |
| Balance, Adjusted | $353 | $377 | $321 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 34 | 34 | 34 |
| Totals, Revenues and Transfers | $34 | $34 | $34 |
| Totals, Resources | $387 | $411 | $355 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 9900 Statewide General Administrative (Pro Rata) (State Operations) | 10 | 90 | – |
| Totals, Disbursements | $10 | $90 | – |
| FUND BALANCE | $377 | $321 | $355 |
| Reserve for economic uncertainties | 377 | 321 | 355 |

### 0230 Cigarette and Tobacco Products Surtax Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $0 | $10 | $10 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 110500 Cigarette tax | 371,900 | 360,000 | 355,000 |
| Transfers to Other Funds: | | | |
| T00231 Health Education Account per Revenue and Taxation Code Section 30124 | –74,122 | –71,733 | –70,694 |
| T00232 Hospital Services Account per Revenue and Taxation Code Section 30124 | –129,712 | –125,532 | –123,715 |
| T00233 Physician Service Account per Revenue and Taxation Code Section 30124 | –37,061 | –35,866 | –35,347 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| T00234 Research Account per Revenue and Taxation Code Section 30124 | –$18,530 | –$17,933 | –$17,674 |
| T00235 Public Resources Account per Revenue and Taxation Code Section 30124 | –18,530 | –17,933 | –17,674 |
| T00236 Unallocated Account per Revenue and Taxation Code Section 30124 | –92,652 | –89,666 | –88,368 |
| Totals, Transfers to Other Funds | –$370,607 | –$358,663 | –$353,472 |
| Totals, Revenues and Transfers | $1,293 | $1,337 | $1,528 |
| Totals, Resources | $1,293 | $1,347 | $1,538 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0860 Board of Equalization (State Operations) | 1,283 | 1,337 | 1,529 |
| Totals, Disbursements | $1,283 | $1,337 | $1,529 |
| FUND BALANCE | $10 | $10 | $9 |
| Reserve for economic uncertainties | 10 | 10 | 9 |

### 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $63,605 | $59,487 | $32,318 |
| Prior year adjustments | –5,739 | – | – |
| Balance, Adjusted | $57,866 | $59,487 | $32,318 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 2,211 | 2,211 | 2,211 |
| Transfers from Other Funds: | | | |
| F00230 Cigarette and Tobacco Products Surtax Fund per Revenue and Taxation Code Section 30124 | 74,122 | 71,733 | 70,694 |
| F00623 Children and Families Trust Fund, California per Health and Safety Code Section 130105 | 14,880 | 14,880 | 14,880 |
| Totals, Revenues and Transfers | $91,213 | $88,824 | $87,785 |
| Totals, Resources | $149,079 | $148,311 | $120,103 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 25,243 | 6,649 | 6,659 |
| Local Assistance | 35,076 | 80,425 | 79,835 |
| 6110 Department of Education: | | | |
| State Operations | 868 | 995 | 998 |
| Local Assistance | 26,854 | 27,044 | 27,044 |
| 9900 Statewide General Administrative (Pro Rata) (State Operations) | 1,551 | 880 | 488 |
| Totals, Disbursements | $89,592 | $115,993 | $115,024 |
| FUND BALANCE | $59,487 | $32,318 | $5,079 |
| Reserve for economic uncertainties | 59,487 | 32,318 | 5,079 |

### 0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $5 | $1,553 |
| Prior year adjustments | $128 | – | – |
| Balance, Adjusted | $128 | $5 | $1,553 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 247 | 247 | 247 |
| Transfers from Other Funds: | | | |
| F00230 Cigarette and Tobacco Products Surtax Fund per Revenue and Taxation Code Section 30124 | 129,712 | 125,532 | 123,715 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Transfers to Other Funds: | | | |
| T00309 Perinatal Insurance Fund per Item 4280-111-0232, of the Budget Acts of 1999 and 2000 | –$25,877 | –$24,300 | –$24,300 |
| T00309 Perinatal Insurance Fund per Chapter 294, Statutes of 1997 | –3,075 | – | – |
| T00313 Major Risk Medical Insurance Fund per Insurance Code Section 12739.1 | –18,000 | –18,000 | –18,000 |
| T00313 Major Risk Medical Insurance Fund per Chapter 294, Statutes of 1997 | –6,393 | – | – |
| T00313 Major Risk Medical Insurance Fund per Item 4280-112-0232, Budget Act of 2000 | – | –6,393 | –6,393 |
| Totals, Transfers to Other Funds | –$53,345 | –$48,693 | –$48,693 |
| Totals, Transfers | $76,367 | $76,839 | $75,022 |
| Totals, Revenues and Transfers | $76,614 | $77,086 | $75,269 |
| Totals, Resources | $76,742 | $77,091 | $76,822 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 1,737 | 272 | 273 |
| Local Assistance | 74,976 | 75,249 | 75,041 |
| 9900 Statewide General Administrative (Pro Rata) (State Operations) | 24 | 17 | 72 |
| Totals, Disbursements | $76,737 | $75,538 | $75,386 |
| FUND BALANCE | $5 | $1,553 | $1,436 |
| Reserve for economic uncertainties | 5 | 1,553 | 1,436 |

### 0233 Physician Service Account, Cigarette and Tobacco Products Surtax Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $673 | $176 |
| Prior year adjustments | $979 | – | – |
| Balance, Adjusted | $979 | $673 | $176 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 59 | 59 | 59 |
| Transfers from Other Funds: | | | |
| F00230 Cigarette and Tobacco Products Surtax Fund per Revenue and Taxation Code Section 30124 | 37,061 | 35,866 | 35,347 |
| Transfers to Other Funds: | | | |
| T00309 Perinatal Insurance Fund per Item 4280-111-0233, Budget Acts of 1998, 1999 and 2000 | –13,760 | –13,313 | –13,313 |
| T00309 Perinatal Insurance Fund per Chapter 294, Statutes of 1997 | –1,515 | – | – |
| T00313 Major Risk Medical Insurance Fund per Insurance Code Section 12739.1 | –11,000 | –11,000 | –11,000 |
| T00313 Major Risk Medical Insurance Fund per Item 4280-111-0233, Budget Acts of 1997 and 1998 | –3,607 | –3,607 | –3,607 |
| Totals, Transfers to Other Funds | –$29,882 | –$27,920 | –$27,920 |
| Totals, Transfers | $7,179 | $7,946 | $7,427 |
| Totals, Revenues and Transfers | $7,238 | $8,005 | $7,486 |
| Totals, Resources | $8,217 | $8,678 | $7,662 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 119 | – | – |
| Local Assistance | 7,419 | 8,502 | 7,523 |
| 9900 Statewide General Administrative (Pro Rata) | 6 | – | – |
| Totals, Disbursements | $7,544 | $8,502 | $7,523 |
| FUND BALANCE | $673 | $176 | $139 |
| Reserve for economic uncertainties | 673 | 176 | 139 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| 0234 Research Account, Cigarette and Tobacco Products Surtax Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $85,527 | $75,120 | $3,535 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 531 | 531 | 531 |
| Transfers from Other Funds: | | | |
| F00230 Cigarette and Tobacco Products Surtax Fund per Revenue and Taxation Code Section 30124 | 18,530 | 17,933 | 17,674 |
| F00623 Children and Families Trust Fund, California per Health and Safety Code Section 130105 | 3,720 | 3,720 | 3,720 |
| Totals, Transfers from Other Funds | $22,250 | $21,653 | $21,394 |
| Totals, Revenues and Transfers | $22,781 | $22,184 | $21,925 |
| Totals, Resources | $108,308 | $97,304 | $25,460 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 1,719 | 5,050 | 4,930 |
| 6440 University of California (State Operations) | 31,311 | 88,601 | 20,021 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | 158 | 118 | – |
| Totals, Disbursements | $33,188 | $93,769 | $24,951 |
| FUND BALANCE | $75,120 | $3,535 | $509 |
| Reserve for economic uncertainties | 75,120 | 3,535 | 509 |

| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,665 | $544 | $371 |
| Prior year adjustments | −337 | – | – |
| Balance, Adjusted | $2,328 | $544 | $371 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 204 | 204 | 204 |
| Transfers from Other Funds: | | | |
| F00230 Cigarette and Tobacco Products Surtax Fund per Revenue and Taxation Code Section 30124 | 18,530 | 17,933 | 17,674 |
| Transfers to Other Funds: | | | |
| T00383 Natural Resources Infrastructure Fund per Item 3760-311-0235, Budget Act of 1999 | −607 | – | – |
| T00383 Natural Resources Infrastructure Fund per Item 3790-112-0235, Budget Act of 1999 | −575 | – | – |
| Totals, Transfers to Other Funds | −$1,182 | – | – |
| Totals, Transfers | $17,348 | $17,933 | $17,674 |
| Totals, Revenues and Transfers | $17,552 | $18,137 | $17,878 |
| Totals, Resources | $19,880 | $18,681 | $18,249 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 3340 California Conservation Corps (State Operations) | 253 | 260 | 262 |
| 3540 Department of Forestry and Fire Prevention (State Operations) | 330 | 347 | 340 |
| 3600 Department of Fish and Game (State Operations) | 3,283 | 1,493 | 1,560 |
| 3760 State Coastal Conservancy (Capital Outlay) | 407 | 1,008 | – |
| 3790 Department of Parks and Recreation: | | | |
| State Operations | 12,940 | 13,219 | 13,028 |
| Local Assistance | 495 | – | – |
| Capital Outlay | 2 | – | – |
| 3940 Water Resources Control Board (State Operations) | 1,626 | 1,983 | 1,997 |
| Totals, Disbursements | $19,336 | $18,310 | $17,187 |
| FUND BALANCE | $544 | $371 | $1,062 |
| Reserve for economic uncertainties | 544 | 371 | 1,062 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

### 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,350 | $13,497 | $14,279 |
| Prior year adjustments | 13,000 | – | – |
| Balance, Adjusted | $14,350 | $13,497 | $14,279 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 866 | 866 | 866 |
| Transfers from Other Funds: | | | |
| F00230 Cigarette and Tobacco Products Surtax Fund per Revenue and Taxation Code Section 30124 | 92,652 | 89,666 | 88,368 |
| Transfers to Other Funds: | | | |
| T00262 Habitat Conservation Fund per Fish and Game Code Section 2795A | –9,351 | –9,053 | –8,923 |
| T00309 Perinatal Insurance Fund per Item 4280-111-0236, Budget Acts of 1997, 1998 and 1999 | –4,344 | –17,305 | –22,005 |
| T00313 Major Risk Medical Insurance Fund per Insurance Code Section 12739.1 | –1,000 | –1,000 | –1,000 |
| T00313 Major Risk Insurance Fund per Item 4280-111-0236, Budget Acts of 1997, 1998 and 1999 | – | –5,000 | – |
| Totals, Transfers to Other Funds | –$14,695 | –$32,358 | –$31,928 |
| Totals, Transfers | $77,957 | $57,308 | $56,440 |
| Totals, Revenues and Transfers | $78,823 | $58,174 | $57,306 |
| Totals, Resources | $93,173 | $71,671 | $71,585 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4140 Office of Statewide Health Planning (Local Assistance) | 1,032 | 1,047 | 1,047 |
| 4260 Department of Health Services: | | | |
| State Operations | 317 | 2,681 | 2,692 |
| Local Assistance | 78,245 | 53,561 | 66,248 |
| 9900 Statewide General Administrative (Pro Rata) (State Operations) | 82 | 103 | – |
| Totals, Disbursements | $79,676 | $57,392 | $69,987 |
| FUND BALANCE | $13,497 | $14,279 | $1,598 |
| Reserve for economic uncertainties | 13,497 | 14,279 | 1,598 |

### 0247 Drinking Water Operator Certification Special Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $0 | $0 | $74 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | – | 1,300 | 1,300 |
| Totals, Resources | – | $1,300 | $1,374 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | – | 1,226 | 1,197 |
| Totals, Disbursements | – | $1,226 | $1,197 |
| FUND BALANCE | – | $74 | $177 |
| Reserve for economic uncertainties | – | 74 | 177 |

### 0272 Infant Botulism Treatment and Prevention Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $185 | – | $112 |
| Prior year adjustments | –429 | – | – |
| Balance, Adjusted | –$244 | – | $112 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 512 | $164 | 2,330 |
| Transfers from Other Funds: | | | |
| F00001 General Fund Loan per Health and Safety Code Section 123707 | 831 | 1,233 | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Transfers to Other Funds: | | | |
| T00001 General Fund Loan repayment per Health and Safety Code Section 123707 | – | – | –$133 |
| Totals, Revenues and Transfers | $1,343 | $1,397 | $2,197 |
| Totals, Resources | $1,099 | $1,397 | $2,309 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 1,099 | 1,285 | 1,727 |
| Totals, Disbursements | $1,099 | $1,285 | $1,727 |
| FUND BALANCE | $0 | $112 | $582 |
| Reserve for economic uncertainties | 0 | 112 | 582 |

### 0306 Safe Drinking Water Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $2,061 | $2,523 | $3,283 |
| Prior year adjustments | 300 | – | – |
| Balance, Adjusted | $2,361 | $2,523 | $3,283 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 7,700 | 8,615 | 8,615 |
| Totals, Resources | $10,061 | $11,138 | $11,898 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 7,538 | 7,855 | 7,807 |
| Totals, Disbursements | $7,538 | $7,855 | $7,807 |
| FUND BALANCE | $2,523 | $3,283 | $4,091 |
| Reserve for economic uncertainties | 2,523 | 3,283 | 4,091 |

### 0335 Registered Environmental Health Specialist Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $315 | $456 | $582 |
| Prior year adjustments | 6 | – | – |
| Balance, Adjusted | $321 | $456 | $582 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 217 | 217 | 217 |
| 150300 Income from surplus money investments | 24 | 24 | 24 |
| Totals, Revenues | $241 | $241 | $241 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Control Section 12.20, Budget Acts of 1998 and 2000 | – | 52 | – |
| Totals, Revenues and Transfers | $241 | $293 | $241 |
| Totals, Resources | $562 | $749 | $823 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 106 | 167 | 165 |
| Totals, Disbursements | $106 | $167 | $165 |
| FUND BALANCE | $456 | $582 | $658 |
| Reserve for economic uncertainties | 456 | 582 | 658 |

### 0478 Mosquitoborne Disease Survelliance Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $101 | $110 | $123 |
| Prior year adjustments | –11 | – | – |
| Balance, Adjusted | $90 | $110 | $123 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | $5 | $5 | $5 |
| 161400 Miscellaneous revenue | 42 | 42 | 42 |
| Totals, Revenues and Transfers | $47 | $47 | $47 |
| Totals, Resources | $137 | $157 | $170 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 27 | 34 | 36 |
| Totals, Disbursements | $27 | $34 | $36 |
| FUND BALANCE | $110 | $123 | $134 |
| Reserve for economic uncertainties | 110 | 123 | 134 |
| **0486 Emergency Clean Water Grant Fund [s]** | | | |
| BEGINNING BALANCE | $270 | $270 | $270 |
| FUND BALANCE | $270 | $270 | $270 |
| Reserve for economic uncertainties | 270 | 270 | 270 |
| **0589 Cancer Research Fund [s]** | | | |
| BEGINNING BALANCE | $5,799 | $2,755 | $2,810 |
| Prior year adjustments | −3,789 | – | – |
| Balance, Adjusted | $2,010 | $2,755 | $2,810 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 24,255 | 24,945 | 24,951 |
| Totals, Disbursements | $24,255 | $24,945 | $24,951 |
| Expenditure Reductions: | | | |
| 4260 Department of Health Services: | | | |
| Less funding provided by the General Fund (State Operations) | −25,000 | −25,000 | −25,000 |
| Totals, Expenditures | −$745 | −$55 | −$49 |
| FUND BALANCE | $2,755 | $2,810 | $2,859 |
| Reserve for economic uncertainties | 2,755 | 2,810 | 2,859 |
| **0622 Drinking Water Treatment and Research Fund [s]** | | | |
| BEGINNING BALANCE | $102 | $1,575 | $1,371 |
| Prior year adjustments | −89 | – | – |
| Balance, Adjusted | $13 | $1,575 | $1,371 |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00439 Undergound Storage Tank Cleanup Fund per Health and Safety Code Section 25299.99 | 5,000 | 5,000 | 5,000 |
| Totals, Resources | $5,013 | $6,575 | $6,371 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 186 | 751 | 610 |
| Local Assistance | 3,252 | 4,453 | 4,453 |
| Totals, Disbursements | $3,438 | $5,204 | $5,063 |
| FUND BALANCE | $1,575 | $1,371 | $1,308 |
| Reserve for economic uncertainties | 1,575 | 1,371 | 1,308 |
| **0625 Administration Account [f]** | | | |
| BEGINNING BALANCE | – | – | – |
| Prior year adjustments | −$3 | – | – |
| Balance, Adjusted | −$3 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00890 Federal Trust Fund per Item 4260-116-0890, Budget Acts of 1999, 2000, and 2001 | $2,980 | $3,388 | $3,381 |
| Totals, Transfers | $2,980 | $3,388 | $3,381 |
| Totals, Resources | $2,977 | $3,388 | $3,381 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 2,977 | 3,388 | 3,381 |
| Totals, Disbursements | $2,977 | $3,388 | $3,381 |
| FUND BALANCE | – | – | – |

### 0626 Water System Reliability Account [f]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00890 Federal Trust Fund per Item 4260-116-0890, Budget Acts of 1999, 2000, and 2001 | $81 | $3,212 | $2,000 |
| Totals, Transfers | $81 | $3,212 | $2,000 |
| Totals, Resources | $81 | $3,212 | $2,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 81 | 1,510 | 2,000 |
| Local Assistance | – | 1,702 | – |
| Totals, Disbursements | $81 | $3,212 | $2,000 |
| FUND BALANCE | – | – | – |

### 0627 Source Protection Account [f]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $621 | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00890 Federal Trust Fund per Item 4260-116-0890, Budget Acts of 1999, 2000, and 2001 | $1,470 | 2,275 | $2,960 |
| Totals, Revenues and Transfers | $1,470 | $2,275 | $2,960 |
| Totals, Resources | $1,470 | $2,896 | $2,960 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 627 | 2,646 | 2,710 |
| Local Assistance | 222 | 250 | 250 |
| Totals, Disbursements | $849 | $2,896 | $2,960 |
| FUND BALANCE | $621 | – | – |

### 0628 Small System Technical Assistance Account [f]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00890 Federal Trust Fund per Item 4260-116-0890, Budget Acts of 1999, 2000, and 2001 | $822 | $1,676 | $1,691 |
| Totals, Transfers | $822 | $1,676 | $1,691 |
| Totals, Resources | $822 | $1,676 | $1,691 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | $822 | $1,676 | $1,691 |
| Totals, Disbursements | $822 | $1,676 | $1,691 |
| FUND BALANCE | – | – | – |

### 0629 Safe Drinking Water State Revolving Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $78,422 | $156,257 | $215,857 |
| Totals, Resources | $78,422 | $156,257 | $215,857 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | – | 2,000 | – |
| Local Assistance | 6,982 | 102,400 | 87,482 |
| Totals, Disbursements | $6,982 | $104,400 | $87,482 |
| Expenditure Reductions: | | | |
| 4260 Department of Health Services (Local Assistance): | | | |
| Less funding provided by General Fund | –15,417 | – | – |
| Less funding provided by Federal Trust Fund | –69,400 | –164,000 | –87,482 |
| Totals, Expenditures | –$77,835 | –$59,600 | – |
| FUND BALANCE | $156,257 | $215,857 | $217,857 |

### 0642 Domestic Violence Training and Education Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,082 | $2,096 | $2,250 |
| Prior year adjustments | 856 | – | – |
| Balance, Adjusted | $1,938 | $2,096 | $2,250 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenue | 873 | 900 | 900 |
| Transfers from Other Funds: | | | |
| F00253 Domestic Violence Fund per Chapter 707, Statutes of 1998 | – | – | – |
| Totals, Revenues and Transfers | $873 | $900 | $900 |
| Totals, Resources | $2,811 | $2,996 | $3,150 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 715 | 746 | 773 |
| Totals, Disbursements | $715 | $746 | $773 |
| FUND BALANCE | $2,096 | $2,250 | $2,377 |
| Reserve for economic uncertainties | 2,096 | 2,250 | 2,377 |

### 0693 Emergency Services and Supplemental Payments Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $17,664 | $22,868 | $34,747 |
| Prior year adjustments | –25 | – | – |
| Balance, Adjusted | $17,639 | $22,868 | $34,747 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 250300 Income from Surplus Money Investment Fund | 6,097 | – | – |
| 299500 Other (External) Local Government | 615,461 | 627,225 | 613,332 |
| Totals, Operating Revenues | $621,558 | $627,225 | $613,332 |
| Totals, Resources | $639,197 | $650,093 | $648,079 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 122 | 121 | 122 |
| Local Assistance | 616,207 | 627,225 | 613,332 |
| Totals, Disbursements | $616,329 | $627,346 | $613,454 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Expenditure Reductions: | | | |
| 4260 Department of Health Services (Local Assistance): | | | |
| Less funding provided by the General Fund | – | –$12,000 | – |
| Totals, Expenditures | $616,329 | $615,346 | $613,454 |
| FUND BALANCE | $22,868 | $34,747 | $34,625 |

### 0823 California Alzheimer's Disease and Related Disorders Research Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $455 | $456 | $564 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299000 Other | 398 | 398 | 398 |
| Totals, Resources | $853 | $854 | $962 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | 7 | 11 | 11 |
| 4260 Department of Health Services (State Operations) | 390 | 279 | 291 |
| Totals, Disbursements | $397 | $290 | $302 |
| FUND BALANCE | $456 | $564 | $660 |

### 0834 Medi-Cal Inpatient Payment Adjustment Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $49,946 | $140,703 | $110,153 |
| Prior year adjustments | 75 | – | – |
| Balance, Adjusted | $50,021 | $140,703 | $110,153 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299500 Other (External Local Govt. transfer) | 1,317,896 | 929,800 | 831,300 |
| 250300 Income from Surplus Money Investment Fund | 6,532 | – | – |
| Totals, Operating Revenues | $1,324,428 | $929,800 | $831,300 |
| Totals, Resources | $1,374,449 | $1,070,503 | $941,453 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services: | | | |
| State Operations | 465 | 792 | 794 |
| Local Assistance | 1,233,281 | 959,558 | 861,058 |
| Totals, Disbursements | $1,233,746 | $960,350 | $861,852 |
| FUND BALANCE | $140,703 | $110,153 | $79,601 |

### 0900 Local Health Capital Expenditure Account, County Health Services Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,368 | $1,384 | $1,367 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 250300 External, Local Government | 33 | – | – |
| Totals, Operating Revenues | $33 | – | – |
| Totals, Resources | $1,401 | $1,384 | $1,367 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | 17 | 17 | 17 |
| FUND BALANCE | $1,384 | $1,367 | $1,350 |

### 0912 Health Care Deposit Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| Prior year adjustments | –$478,788 | – | – |
| Balance, Adjusted | –$478,788 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Resources: | | | |
| State Funds: | | | |
| Appropriations from General Fund | $8,047,461 | $9,397,371 | $9,265,181 |
| Cigarette and Tobacco/Hospital Service | – | – | – |
| Cigarette and Tobacco/Physician Service | – | – | – |
| Cigarette and Tobacco/Unallocated | 888 | – | – |
| Child Health Disability Prevention Treatment | 6 | – | – |
| Emergency Service and Supplemental Payment | 616,207 | 615,225 | 613,332 |
| Medi-Cal Inpatient Payment Adjustment | 1,314,514 | 959,558 | 861,058 |
| Department of Mental Hygiene (865-4450-613) | 27,598 | – | – |
| Administration Claiming Fund | 5,299 | – | – |
| Targeted Case Management Claiming Fund | 360 | – | – |
| Large Teaching Emphasis Hospital and Children's Hospital | 11,865 | – | – |
| Medi-Cal Medical Education Supplemental Payment | 64,811 | – | – |
| Small & Rural Hospital Supplemental Payment | 442 | – | – |
| Healthy Families | – | 5,179 | 8,416 |
| Capital Debt | 19,593 | 55,026 | 51,441 |
| Tobacco Settlement Fund | – | – | 169,972 |
| County Health Services | 24 | – | – |
| Subtotal, State Funds | $10,109,068 | $11,032,359 | $10,969,400 |
| Escheat of unclaimed checks/warrants | 4,072 | – | – |
| Totals, State Funds | $10,113,140 | $11,032,359 | $10,969,400 |
| Federal Funds: | | | |
| Federal Funds per Title XIX, SSA | 12,028,269 | 13,453,663 | 14,112,899 |
| Healthy Families | – | 13,410 | 24,340 |
| SLIAG | – | – | – |
| Refugee Funds | 6,534 | 6,933 | 6,798 |
| Capital Debt | 20,946 | 58,758 | 54,318 |
| Totals, Federal Funds | $12,055,749 | $13,532,764 | $14,198,355 |
| Totals, Resources | $21,690,101 | $24,565,123 | $25,167,755 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| State Controller | 13 | – | – |
| Local Assistance: | | | |
| Medical Assistance | 20,447,517 | 23,112,883 | 23,645,103 |
| Fiscal Intermediary | 161,887 | 238,117 | 245,771 |
| County Administration | 1,080,684 | 1,214,123 | 1,276,881 |
| 9670 Legislative Claims | – | – | – |
| Totals, Local Assistance (Expenditures) | $21,690,101 | $24,565,123 | $25,167,755 |
| Totals, Expenditures | $21,690,101 | $24,565,123 | $25,167,755 |
| FUND BALANCE | – | – | – |

### 0942 Health Facilities Citation Penalties Account, Special Deposit Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $6,267 | $8,021 | $9,022 |
| Prior year adjustments | 10 | – | – |
| Balance, Adjusted | $6,277 | $8,021 | $9,022 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 217600 Fines and Penalties External: State Licensing | 1,971 | 2,000 | 2,000 |
| Totals, Operating Revenues | $1,971 | $2,000 | $2,000 |
| Totals, Resources | $8,248 | $10,021 | $11,022 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations: State Citations) | 227 | 999 | 1,000 |
| FUND BALANCE | $8,021 | $9,022 | $10,022 |

### 0942 Federal Citation Penalties Account, Special Deposit Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,750 | $6,365 | $6,149 |
| Prior year adjustments | 271 | – | – |
| Balance, Adjusted | $4,021 | $6,365 | $6,149 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 217400 Fines and Penalties External: Federal Certification | $2,511 | $2,000 | $2,000 |
| 299400 WIC Vendor Fines and Penalties | – | 100 | 100 |
| Totals, Operating Revenues | $2,511 | $2,100 | $2,100 |
| Totals, Resources | $6,532 | $8,465 | $8,249 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations: Federal Citations) | 139 | 2,216 | 2,216 |
| 4260 Department of Health Services (Local Assistance: Federal Citations) | 28 | 100 | 100 |
| Totals, Expenditures | $167 | $2,316 | $2,316 |
| FUND BALANCE | $6,365 | $6,149 | $5,933 |

### 3018 Drug and Device Safety Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | – | – | $1,469 |
| Totals, Resources | – | – | $1,469 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | – | – | 1,312 |
| FUND BALANCE | – | – | $157 |
| Reserve for economic uncertainties | – | – | 157 |

### 3020 Tobacco Settlement Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenues-Settlement | – | – | $468,000 |
| Totals, Resources | – | – | $468,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4260 Department of Health Services (State Operations) | – | – | 60,000 |
| 4260 Department of Health Services (Local Assistance) | – | – | 234,905 |
| 4280 Managed Risk Medical Insurance Board (Local Assistance) | – | – | 150,347 |
| FUND BALANCE | – | – | $22,748 |
| Reserve for economic uncertainties | – | – | 22,748 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 4,945.7 | 6,060.9 | 5,915.9 | $254,467 | $314,366 | $315,393 |
| Salary adjustments | – | – | – | – | 1,199 | 1,627 |
| Totals, Adjusted Authorized Positions | 4,945.7 | 6,060.9 | 5,915.9 | $254,467 | $315,565 | $317,020 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction per Section 31.50: | | | | | | |
| Administration Division: | | | | Salary Range | | |
| Ofc Techn-Gen | – | (0.3) | (0.3) | – | – | – |
| Ofc Asst-Typing | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Gen | – | (1.0) | (1.0) | – | – | – |
| Sr Printing Trades Spec | – | (1.0) | (1.0) | – | – | – |
| Acctg Techn | – | (3.0) | (3.0) | – | – | – |
| Acctg Ofcr-Spec | – | (1.0) | (1.0) | – | – | – |
| Assoc Bus Svcs Mgt Analyst | – | (1.0) | (1.0) | – | – | – |
| Stationary Engr | – | (1.0) | (1.0) | – | – | – |
| Totals, Administration Division | – | (9.3) | (9.3) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Office of AIDS: | | | | Salary Range | | |
| Ofc Asst-Gen | – | (1.0) | (1.0) | – | – | – |
| Statistical Clk | – | (1.0) | (1.0) | – | – | – |
| Staff Svcs Analyst | – | (1.0) | (1.0) | – | – | – |
| Totals, Office of AIDS | – | (3.0) | (3.0) | – | – | – |
| Audits and Investigations Division: | | | | | | |
| Word Proc Techn | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Typing | – | (1.0) | (1.0) | – | – | – |
| Hlth Prog Auditor III | – | (4.0) | (4.0) | – | – | – |
| Hlth Prog Auditor II | – | (3.0) | (3.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (1.0) | (1.0) | – | – | – |
| Fraud Investigator | – | (3.0) | (3.0) | – | – | – |
| Special Investigator | – | (1.0) | (1.0) | – | – | – |
| Totals, Audits and Investigations Division | – | (14.0) | (14.0) | – | – | – |
| Chronic Disease and Injury Control Division: | | | | | | |
| Word Proc Techn | – | (2.0) | (2.0) | – | – | – |
| Staff Svcs Analyst | – | (1.0) | (1.0) | – | – | – |
| Assoc Hlth Prog Advr | – | (2.0) | (2.0) | – | – | – |
| Totals, Chronic Disease and Injury Control Division | – | (5.0) | (5.0) | – | – | – |
| Communicable Disease Control: | | | | | | |
| Ofc Techn-Gen | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Typing | – | (2.0) | (2.0) | – | – | – |
| Microbiologist Spec | – | (1.0) | (1.0) | – | – | – |
| Hlth Prog Spec I | – | (1.0) | (1.0) | – | – | – |
| Consultant Comm Disease Rep | – | (1.0) | (1.0) | – | – | – |
| Totals, Communicable Disease Control | – | (6.0) | (6.0) | – | – | – |
| Drinking Water and Environmental Management Division: | | | | | | |
| Ofc Techn-Gen | – | (1.0) | (1.0) | – | – | – |
| Word Proc Techn | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Typing | – | (1.0) | (1.0) | – | – | – |
| Staff Svcs Analyst | – | (2.0) | (2.0) | – | – | – |
| Totals, Drinking Water and Environmental Management Division | – | (5.0) | (5.0) | – | – | – |
| Environmental and Occupational Disease Control Division: | | | | | | |
| Word Proc Techn | – | (3.0) | (3.0) | – | – | – |
| Key Data Entry Opr | – | (1.0) | (1.0) | – | – | – |
| Statistical Clk | – | (0.5) | (0.5) | – | – | – |
| Assoc Industrial Hygienist | – | (0.5) | (0.5) | – | – | – |
| Staff Svcs Analyst | – | (1.0) | (1.0) | – | – | – |
| Mgt Svcs Techn | – | (1.0) | (1.0) | – | – | – |
| Totals, Environmental and Occupational Disease Control Division | – | (7.0) | (7.0) | – | – | – |
| Executive Division: | | | | | | |
| Ofc Techn-Gen | – | (1.0) | (1.0) | – | – | – |
| Sr Typist Legal | – | (1.0) | (1.0) | – | – | – |
| Jr Staff Analyst | – | (1.0) | (1.0) | – | – | – |
| Totals, Executive Division | – | (3.0) | (3.0) | – | – | – |
| Food, Drug and Radiation Safety Division: | | | | | | |
| Asst Hlth Physicist | – | (1.0) | (1.0) | – | – | – |
| Assoc Hlth Physicist | – | (4.0) | (4.0) | – | – | – |
| Totals, Food, Drug and Radiation Safety Division | – | (5.0) | (5.0) | – | – | – |
| Health Information and Strategic Planning: | | | | | | |
| Word Proc Techn | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Gen | – | (3.0) | (3.0) | – | – | – |
| Staff Svcs Analyst | – | (1.0) | (1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (1.0) | (1.0) | – | – | – |
| Totals, Health Information and Strategic Planning | – | (6.0) | (6.0) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Laboratory Science: | | | | Salary Range | | |
| Ofc Asst-Typing | – | (1.0) | (1.0) | – | – | – |
| Totals, Laboratory Science | – | (1.0) | (1.0) | – | – | – |
| Information Technology and Services Division: | | | | | | |
| Sr Info Sys Analyst | – | (1.0) | (1.0) | – | – | – |
| DP Mgr I | – | (1.0) | (1.0) | – | – | – |
| Programmer II | – | (1.0) | (1.0) | – | – | – |
| Key Data Entry Opr | – | (1.0) | (1.0) | – | – | – |
| Assoc Prog Analyst-Spec | – | (2.0) | (2.0) | – | – | – |
| Totals, Information Technology and Services Division | – | (6.0) | (6.0) | – | – | – |
| Licensing and Certification Division: | | | | | | |
| Hlth Facilities Eval Nurse | – | (18.0) | (18.0) | – | – | – |
| Hlth Facilities Eval II | – | (2.0) | (2.0) | – | – | – |
| Prog Techn | – | (1.0) | (1.0) | – | – | – |
| Totals, Licensing and Certification Division | – | (21.0) | (21.0) | – | – | – |
| Medi-Cal Managed Care Division: | | | | | | |
| Secty | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Typing | – | (1.0) | (1.0) | – | – | – |
| Assoc Info Sys Analyst-Spec | – | (1.0) | (1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (1.8) | (1.8) | – | – | – |
| Staff Svcs Analyst | – | (1.0) | (1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (1.2) | (1.2) | – | – | – |
| Med Consultant I | – | (1.0) | (1.0) | – | – | – |
| Nurse Eval II | – | (1.0) | (1.0) | – | – | – |
| Totals, Medi-Cal Managed Care Division | – | (9.0) | (9.0) | – | – | – |
| Medi-Cal Operations Division: | | | | | | |
| Word Proc Techn | – | (3.0) | (3.0) | – | – | – |
| Ofc Asst-Typing | – | (1.0) | (1.0) | – | – | – |
| Nurse Eval I | – | (5.0) | (5.0) | – | – | – |
| Nurse Eval II | – | (1.0) | (1.0) | – | – | – |
| Totals, Medi-Cal Operations Division | – | (10.0) | (10.0) | – | – | – |
| Medi-Cal Policy Division: | | | | | | |
| Assoc Govtl Prog Analyst | – | (4.0) | (4.0) | – | – | – |
| Totals, Medi-Cal Policy Division | – | (4.0) | (4.0) | – | – | |
| Payment Systems Division: | | | | | | |
| Word Proc Techn | – | (1.0) | (1.0) | – | – | – |
| Assoc Info Sys Analyst-Spec | – | (2.0) | (2.0) | – | – | – |
| Staff Svcs Analyst | – | (3.0) | (3.0) | – | – | – |
| Mgt Svcs Techn | – | (1.0) | (1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (2.0) | (2.0) | – | – | – |
| Tax Compliance Rep | – | (1.0) | (1.0) | – | – | – |
| Supvng Prog Techn I | – | (1.0) | (1.0) | – | – | – |
| Supvng Prog Techn II | – | (1.0) | (1.0) | – | – | – |
| Prog Techn | – | (1.0) | (1.0) | – | – | – |
| Prog Techn II | – | (1.0) | (1.0) | – | – | – |
| Totals, Payment Systems Division | – | (14.0) | (14.0) | – | – | – |
| Primary Care and Family Health Division: | | | | | | |
| Ofc Asst-Typing | – | (3.0) | (3.0) | – | – | – |
| Word Proc Techn | – | (1.0) | (1.0) | – | – | – |
| Stock Clk | – | (1.0) | (1.0) | – | – | – |
| Acct Clk II | – | (1.0) | (1.0) | – | – | – |
| Jr Staff Analyst | – | (1.0) | (1.0) | – | – | – |
| Staff Svcs Analyst | – | (1.0) | (1.0) | – | – | – |
| Mgt Svcs Techn | – | (1.0) | (1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (3.0) | (3.0) | – | – | – |
| Research Analyst II | – | (2.0) | (2.0) | – | – | – |
| Pub Hlth Chemist | – | (1.0) | (1.0) | – | – | – |
| Hlth Educ Consultant II | – | (1.0) | (1.0) | – | – | – |
| Hlth Prog Spec I | – | (1.0) | (1.0) | – | – | – |
| Totals, Primary Care and Family Health Division | – | (17.0) | (17.0) | – | – | – |
| Totals, Section 31.50 Reduction | – | (145.3) | (145.3) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Excess Vacant Position Analysis: | | | | Salary Range | | |
| Reduction in Authorized Positions | – | – | –176.1 | – | – | – |
| Proposed New Positions: | | | | | | |
| Audits and Investigations Division: | | | | | | |
| Hlth Prog Auditor III [1] | – | – | 6.0 | $4,110–4,997 | – | $330 |
| Totals, Audits and Investigations Division | – | – | 6.0 | – | – | $330 |
| Chronic Disease and Injury Control: | | | | | | |
| Pub Hlth Med Ofcr III [6] | – | – | 2.0 | 8,364–10,407 | – | 226 |
| Hlth Prog Mgr II | – | – | 1.0 | 5,475–5,987 | – | 66 |
| Totals, Chronic Disease and Injury Control | – | – | 3.0 | – | – | $292 |
| Drinking Water and Environmental Management: | | | | | | |
| Sr Sanitary Engr [10] | – | – | 1.0 | 5,087–6,181 | – | – |
| Assoc Sanitary Engr | – | – | 2.0 | 4,635–5,632 | – | 31 |
| Assoc Prog Analyst-Spec [10] | – | – | 1.0 | 4,110–4,997 | – | – |
| Sanitary Engr [10] | – | – | 17.0 | 3,273–4,550 | – | – |
| Acct Ofcr-Spec | – | – | 1.0 | 3,418–4,155 | – | 23 |
| Staff Svcs Analyst-Gen | – | – | 1.0 | 2,507–3,957 | – | 19 |
| Ofc Techn-Typing | – | – | 1.5 | 2,348–2,855 | – | 16 |
| Totals, Drinking Water and Environmental Management | – | – | 24.5 | – | – | $89 |
| Environmental and Occupational Disease Control: | | | | | | |
| Staff Info Sys Analyst-Spec [2] | – | – | 1.0 | 4,507–5,480 | – | 66 |
| Assoc Prog Analyst-Spec [2] | – | – | 1.0 | 4,110–4,997 | – | 60 |
| Totals, Environmental and Occupational Disease Control | – | – | 2.0 | – | – | $126 |
| Executive Division: | | | | | | |
| C.E.A. II | – | – | 1.0 | 6,687–7,373 | – | 84 |
| Staff Svcs Mgr III | – | – | 1.0 | 6,032–6,651 | – | 76 |
| Hlth Prog Auditor Mgr II | – | – | 2.0 | 4,963–5,987 | – | 132 |
| Hlth Prog Auditor IV | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Hlth Prog Auditor III | – | – | 9.0 | 4,110–4,997 | – | 495 |
| Assoc Govtl Prog Analyst [5] | – | – | 3.0 | 3,915–4,759 | – | 156 |
| Secty | – | – | 1.0 | 2,390–2,906 | – | 32 |
| Ofc Techn-Gen [1] | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Totals, Executive Division | – | – | 19.0 | – | – | $1,063 |
| Food, Drug and Radiation Safety: | | | | | | |
| Supvng Food & Drug Investigator | – | – | 1.0 | 4,550–5,490 | – | 60 |
| Sr Food & Drug Investigator | – | – | 4.0 | 4,143–4,999 | – | 220 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Totals, Food, Drug and Radiation Safety | – | – | 6.0 | – | – | $332 |
| Health Information and Strategic Planning: | | | | | | |
| Staff Svcs Mgr II-Supvr | – | – | 1.0 | 4,963–5,987 | – | 33 |
| Sr Info Sys Analyst-Spec | – | – | 2.0 | 4,507–5,480 | – | 66 |
| Pub Hlth Nurse I | – | 1.0 | 1.0 | 3,779–5,011 | $26 | 53 |
| Assoc Info Sys Analyst-Spec | – | – | 4.0 | 4,110–4,997 | – | 120 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 26 |
| Staff Svcs Analyst-Gen | – | – | 2.0 | 2,507–3,957 | – | 38 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 16 |
| Prog Techn | – | – | 2.0 | 2,029–2,648 | – | 28 |
| Microfilm Techn I | – | – | 2.0 | 1,883–2,546 | – | 26 |
| Ofc Asst-Typing | – | – | 2.0 | 1,908–2,465 | – | 26 |
| Totals, Health Information and Strategic Planning | – | 1.0 | 18.0 | – | $26 | $432 |
| Laboratory Science: | | | | | | |
| Pub Hlth Chemist III-Supvr | – | – | 2.0 | 4,305–5,192 | – | 114 |
| Pub Hlth Chemist III-Spec | – | – | 4.0 | 4,301–5,189 | – | 228 |
| Envirntl Spec III | – | – | 1.0 | 4,209–5,082 | – | 56 |
| Examiner II Lab Fld Svcs [2] | – | – | 1.0 | 4,204–5,067 | – | 56 |
| Research Analyst II-Gen | – | – | 1.0 | 4,110–4,997 | – | 55 |
| Pub Hlth Chemist II | – | – | 3.0 | 3,915–4,724 | – | 156 |
| Examiner I Lab Fld Svcs [6] | – | – | 2.0 | 3,831–4,616 | – | 102 |

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Asst Info Sys Analyst | – | – | 1.0 | $2,806–4,155 | – | $50 |
| Ofc Svcs Supvr II-Gen | – | – | 1.0 | 2,628–3,195 | – | 35 |
| Prog Techn II | – | – | 2.0 | 2,348–2,855 | – | 62 |
| Totals, Laboratory Science | – | – | 18.0 | – | – | $914 |
| Legal Services: | | | | | | |
| Staff Svcs Analyst-Gen | – | – | 1.0 | 2,507–3,957 | – | 39 |
| Totals, Legal Services | – | – | 1.0 | – | – | $39 |
| Licensing and Certification Division: | | | | | | |
| Pharmaceutical Consultant I [3] | – | – | 2.0 | 4,598–5,747 | – | 126 |
| Hlth Facilities Evaluator Mgr | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Hlth Facilities Evaluator Spec | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Hlth Facilities Evaluator II-Supvr | – | – | 2.0 | 4,538–4,963 | – | 108 |
| Hlth Facilities Evaluator II | – | – | 5.0 | 3,915–4,759 | – | 260 |
| Hlth Facilities Evaluator Nurse [1] | – | – | 2.5 | 4,555–4,555 | – | 138 |
| Ofc Techn-Gen | – | – | 2.0 | 2,348–2,855 | – | 62 |
| Prog Techn | – | – | 12.0 | 2,029–2,648 | – | 336 |
| Totals, Licensing and Certification Division | – | – | 27.5 | – | – | $1,147 |
| Medi-Cal Operations Division: | | | | | | |
| Pharmaceutical Consultant I | – | – | 10.0 | 4,598–5,747 | – | 620 |
| Nurse Evaluator II-Hlth | – | – | 12.0 | 3,780–4,555 | – | 600 |
| Totals, Medi-Cal Operations Division | – | – | 22.0 | – | – | $1,220 |
| Medi-Cal Policy Division: | | | | | | |
| Pharmaceutical Consultant II-Spec | – | – | 4.0 | 5,048–6,323 | – | 272 |
| Hlth Prog Auditor Mgr I | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Hlth Prog Auditor IV | – | – | 2.0 | 4,301–5,228 | – | 114 |
| Hlth Prog Auditor III | – | – | 6.0 | 4,110–4,997 | – | 330 |
| Assoc Govtl Prog Analyst [4] | – | – | 8.5 | 3,915–4,759 | – | 442 |
| Ofc Techn-Gen | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Ofc Asst-Gen | – | – | 1.0 | 1,846–2,465 | – | 26 |
| Totals, Medi-Cal Policy Division | – | – | 23.5 | – | – | $1,275 |
| Payment Systems Division: | | | | | | |
| Med Consultant I [8] | – | 2.0 | 2.0 | 7,752–10,165 | $108 | 216 |
| Dental Consultant I [8] | – | 1.0 | 1.0 | 6,869–8,795 | 47 | 94 |
| Sr Info Sys Analyst [8] | – | 1.0 | 1.0 | 4,958–6,026 | 36 | 72 |
| Staff Svcs Mgr I [8] | – | 2.0 | 2.0 | 4,520–5,453 | 60 | 120 |
| Research Prog Spec I [8] | – | 1.0 | 1.0 | 4,301–5,228 | 29 | 57 |
| Hlth Prog Spec IT [1] | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Nurse Consultant II [8] | – | 1.0 | 1.0 | 4,333–5,221 | 29 | 57 |
| Assoc Prog Analyst-Spec [1] | – | – | 1.0 | 4,110–4,997 | – | 60 |
| Research Analyst II-Gen [8] | – | 1.0 | 1.0 | 4,110–4,997 | 27 | 54 |
| Assoc Govtl Prog Analyst [9] | – | 1.0 | 2.1 | 3,915–4,759 | 26 | 104 |
| Nurse Consultant I [9] | – | 1.0 | 2.0 | 3,947–4,754 | 26 | 104 |
| Totals, Payment Systems Division | – | 11.0 | 15.1 | – | $388 | $995 |
| Primary Care and Family Health Division: | | | | | | |
| Pub Hlth Med Ofcr III [2] | – | – | 1.0 | 8,364–10,407 | – | 113 |
| Hlth Prog Mgr II | – | – | 1.0 | 4,963–5,987 | – | 66 |
| Pub Hlth Nurse III | – | – | 1.0 | 4,559–5,497 | – | 60 |
| Hlth Educ Consultant III-Spec | – | – | 2.0 | 4,389–5,485 | – | 118 |
| Staff Prog Analyst-Spec | – | – | 1.0 | 4,507–5,480 | – | 66 |
| Hlth Prog Spec I | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Hlth Educ Consultant II | – | – | 1.0 | 3,994–4,993 | – | 54 |
| Assoc Govtl Prog Analyst [7] | – | – | 3.0 | 3,915–4,759 | – | 156 |
| Assoc Hlth Prog Advr | – | – | 2.0 | 3,915–4,759 | – | 104 |
| Acct Clk II | – | – | 5.0 | 2,104–2,559 | – | 140 |
| Totals, Primary Care and Family Health Division | – | – | 18.0 | – | – | $934 |
| Totals, Proposed New Positions | – | 12.0 | 203.6 | – | $414 | $9,188 |
| Total Adjustments | – | 12.0 | 27.5 | – | $1,613 | $10,815 |
| TOTALS, SALARIES AND WAGES | 4,945.7 | 6,072.9 | 5,943.4 | $254,467 | $315,979 | $326,208 |

[1] Effective 7/1/01 to 6/30/03.
[2] Effective 7/1/01 to 6/30/02.
[3] Effective 7/1/01 to 12/31/02.
[4] 1.0 position effective 7/1/01 to 6/30/08 and 2.0 positions effective 7/1/01 to 6/30/02.
[5] 2.0 positions effective 7/1/01 to 6/30/03.

*** Dollars in thousands, except in Salary Range.**

## 4260 DEPARTMENT OF HEALTH SERVICES—Continued

[6] 1.0 position effective 7/1/01 to 6/30/02.
[7] 2.0 positions effective 7/1/01 to 6/30/02.
[8] Effective 1/1/01 to 6/30/03.
[9] 1.0 position effective 1/1/01 to 6/30/03 and 1.1 position effective 7/1/01 to 6/30/03.
[10] Dollars associated with position are not displayed because funding is non-Budget Act, continuously appropriated.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **94 CAPITAL OUTLAY** | | | |
| **94.60 RICHMOND LABORATORY** | | | |
| 94.60.030 Phase I Replacement Laboratory Facilities, Richmond | $745 Cn | $594 Cn | – |
| 94.60.050 Phase III Office Building, Richmond | – | 1,845 Pg | $2,183 Wg |
| **94.50 SOUTHERN CALIFORNIA LABORATORY** | | | |
| 94.50.010 Southern California Lab Renovation | 484 PWg | 4,034 Cg | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $1,229 | $6,473 | $2,183 |
| *0001 General Fund* g | *484* | *5,879* | *2,183* |
| *0660 Public Building Construction Fund* n | *745* | *594* | – |

### PROGRAM ELEMENTS

#### Major Budget Adjustment Proposed for 2001–02

- $2,183,000 General Fund for a new Phase III Office Building at the Richmond Campus (W).

#### Major Projects

The Department of Health Services currently owns and operates laboratory and office facilities at three locations in California: Berkeley, Los Angeles, and Fairfield. In addition, the department is currently developing a laboratory complex in Richmond that will be constructed in three phases. The Phase I Laboratory at the Department's new Richmond Campus will become operational during fiscal year 2000–01. The Department's laboratory service program ensures quality biomedical laboratory services in public and private laboratories throughout the State, and provides laboratory reference and testing services.

| RECONCILIATION WITH APPROPRIATIONS | | | |
|---|---|---|---|
| **3 CAPITAL OUTLAY** | | | |
| **0001 General Fund** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act Appropriation (expenditures) | $484 | $5,879 | $2,183 |
| **0660 Public Building Construction Fund** n | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 100500: | | | |
| Transfers to and from Government Code Sections 16351.5 and 16352 (expenditures) | $745 | $594 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $1,229 | $6,473 | $2,183 |

## 4270 CALIFORNIA MEDICAL ASSISTANCE COMMISSION

The California Medical Assistance Commission was established in 1982 to negotiate contracts for specific services under the Medi-Cal program. The Commission is composed of seven voting members appointed to four-year terms by the Governor (3 appointments), the Senate President Pro Tempore (2 appointments) and the Speaker of the Assembly (2 appointments) and two ex officio members, the Director of the Department of Health Services and the Director of the Department of Finance. The goal of the Commision is to promote efficient and cost-effective Medi-Cal programs through a system of negotiated contracts fostering competition and maintaining access to quality health care for beneficiaries.

Major Commission activities include: negotiating contracts with hospitals for inpatient services statewide; developing and negotiating contracts with county organized health systems; and developing and negotiating contracts with health care plans in selected areas for the provision of all covered health services to Medi-Cal beneficiaries on a per capita basis (managed care).

In 1999–00, the Commission was involved in 263 negotiations and renegotiations of inpatient hospital contracts involving 153 hospitals. In addition, the Commission is responsible for continued negotiations with the Health Plan of San Mateo, Solano Partnership HealthPlan, CalOPTIMA, and Central Coast Alliance for Health, and their prepaid, at-risk contracts for health care services for Medi-Cal beneficiaries. The Commission also negotiates contract rates, terms, and conditions with the multiple plans participating in the Sacramento Geographic Managed Care program and the Healthy San Diego program. In 1999–00 the Commission was involved in 33 negotiations and renegotiations involving 21 managed care plans.

### Authority

Chapter 329, Statutes of 1982, Welfare and Institutions (W&I) Code 14165; Chapter 996, Statutes of 1989, W&I Code 14085.6; and Chapter 95, Statutes of 1991, W&I Code 14000, as amended.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4270 CALIFORNIA MEDICAL ASSISTANCE COMMISSION—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 California Medical Assistance Commission | 20.7 | 25.0 | 25.0 | $2,304 | $2,518 | $2,576 |
| *0001 General Fund* | | | | *1,125* | *1,260* | *1,289* |
| *0693 Emergency Services and Supplemental Payments Fund* | | | | *25* | *–* | *25* |
| *0995 Reimbursements* | | | | *1,154* | *1,258* | *1,262* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 20.7 | 26.4 | 26.4 | $1,640 | $1,925 | $1,946 |
| Estimated Salary Savings | – | −1.4 | −1.4 | – | −50 | −50 |
| Net Totals, Salaries and Wages | 20.7 | 25.0 | 25.0 | $1,640 | $1,875 | $1,896 |
| Staff Benefits | – | – | – | 217 | 238 | 253 |
| Totals, Personal Services | 20.7 | 25.0 | 25.0 | $1,857 | $2,113 | $2,149 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $447 | $405 | $427 |
| TOTALS, EXPENDITURES | | | | $2,304 | $2,518 | $2,576 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $1,259 | $1,257 | $1,289 |
| Allocation for employee compensation | 38 | 12 | – |
| Allocation for employer's share of health benefits | 1 | – | – |
| Adjustment per Section 3.60 | −56 | −9 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $1,243 | $1,260 | $1,289 |
| Unexpended balance, estimated savings | −118 | – | – |
| TOTALS, EXPENDITURES | $1,125 | $1,260 | $1,289 |

### 0693 Emergency Services and Supplemental Payments Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $25 |
| Transfer from Department of Health Services per Item 4260-001-0693, Provisions 2 and 3, Budget Act of 1999 | $25 | – | – |
| TOTALS, EXPENDITURES | $25 | – | $25 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $1,154 | $1,258 | $1,262 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $2,304 | $2,518 | $2,576 |

# 4280 MANAGED RISK MEDICAL INSURANCE BOARD

The Managed Risk Medical Insurance Board administers programs which provide health coverage through private health plans to certain groups having no health insurance. The Board develops policy and recommendations on providing health insurance to over 6 million Californians without healthcare coverage.

The Board consists of nine members, four of whom are ex officio, non-voting members representing the Secretary of the Business, Transportation and Housing Agency, the Secretary of the California Health and Human Services Agency, the Access for Infants and Mothers Advisory Panel, and the Healthy Families Advisory Panel. Of the five voting members, three are appointed by the Governor, one is appointed by the Senate Committee on Rules and one is appointed by the Speaker of the Assembly.

## Authority

Part 6.5 of Division 2 of the Insurance Code, commencing with Section 12700; Part 6.3 of Division 2 of the Insurance Code, commencing with Section 12695; Part 2 of Division 2 of the Insurance Code, commencing with Section 10700; Part 6.2 of Division 2 of the Insurance Code, commencing with Section 12693.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4280 MANAGED RISK MEDICAL INSURANCE BOARD—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Major Risk Medical Insurance Program | 5.7 | 6.4 | 6.4 | $43,941 | $44,999 | $40,061 |
| 20 Access for Infants and Mothers Program | 5.7 | 6.4 | 6.4 | 55,359 | 61,028 | 66,168 |
| 30 Health Insurance Plan of California | – | – | – | 1,344 | – | – |
| 40 Healthy Families Program | 26.1 | 34.9 | 55.9 | 214,737 | 404,196 | 739,442 |
| TOTALS, PROGRAMS | 37.5 | 47.7 | 68.7 | $315,381 | $510,223 | $845,671 |
| *0001 General Fund* | | | | *78,757* | *148,524* | *128,941* |
| *0309 Perinatal Insurance Fund* | | | | *51,710* | *57,234* | *61,971* |
| *0313 Major Risk Medical Insurance Fund* | | | | *43,941* | *44,999* | *40,061* |
| *0890 Federal Trust Fund* | | | | *137,147* | *255,823* | *452,046* |
| *0957 Voluntary Alliance Uniting Employers Fund* | | | | *1,344* | – | – |
| *0995 Reimbursements* | | | | *2,482* | *3,643* | *12,306* |
| *3020 Tobacco Settlement Fund* | | | | – | – | *150,346* |

## 10 MAJOR RISK MEDICAL INSURANCE PROGRAM (MRMIP)

### Program Objectives Statement

This program provides health coverage to residents of the State who are unable to secure adequate coverage for themselves and their dependents because insurers consider them to be ''medically uninsurable''—at high risk of needing costly care. The program procures coverage for subscribers, through five health carriers, and subsidizes the cost of coverage. Costs are paid by subscriber premiums and subsidies transferred from the Cigarette and Tobacco Products Surtax Fund.

### Authority

Part 6.5 of Division 2 of the Insurance Code, commencing with Section 12700.

## 20 ACCESS FOR INFANTS AND MOTHERS (AIM) PROGRAM

### Program Objectives Statement

This program provides comprehensive health care to pregnant women and their babies and educates women about the dangers of tobacco use. Only pregnant women whose family income is between 200 percent and 300 percent of the Federal Poverty Level are eligible. Pregnant women with incomes below 200 percent of the Federal Poverty Level are eligible for the Medi-Cal program. The AIM program provides subsidized coverage through ten health plans and covers eligible women through their pregnancy to 60 days postpartum and babies up to their second birthday.

### Major Budget Adjustment Included in 2000–01

- $7.9 million (Perinatal Insurance Fund) to serve additional mothers and infants in the Access for Infants and Mothers Program.

### Major Budget Adjustment Proposed for 2001–02

- $5.1 million (4.7 million Perinatal Insurance Fund) to reflect increased enrollment in the Access for Infants and Mothers Program.

### Authority

Part 6.3 of Division 2 of the Insurance Code, commencing with Section 12695.

## 30 HEALTH INSURANCE PLAN OF CALIFORNIA (HIPC)

### Program Objectives Statement

This program makes health insurance more accessible and affordable for small employers through a state purchasing pool from which small employers can purchase health, dental and vision insurance for themselves and their employees from a wide variety of plan choices. This program targets small employers having two to fifty employees. On July 1, 1999 the Pacific Business Group on Health, a private non-profit organization, assumed full administrative and financial responsibility for the program pursuant to the privatization requirements of the Insurance Code, Section 10748.5.

### Authority

Part 2 of Division 2 of the Insurance Code, commencing with Section 10700.

## 40 HEALTHY FAMILIES PROGRAM

### Program Objectives Statement

This program provides a subsidized children's health insurance program for low to moderate income families. The children, whose families are ineligible for Medi-Cal because of income limitations, have a choice of health, dental, and vision plans which offer a full range of services. The program provides subsidized coverage for eligible children from 60 days after birth to age 19 in families with incomes up to 250 percent of the Federal Poverty Level. The Healthy Families Program provides comprehensive health, dental, and vision benefits equivalent to those provided to state employees. There are an estimated 639,000 uninsured children who will qualify for this program upon full implementation.

### Major Budget Adjustments Included in 2000–01

- $2.6 million ($2.1 million General Fund) to reflect anticipated expenditures for the start-up of the Healthy Families Program parent expansion.
- $21.9 million decrease ($10.6 million General Fund) to reflect lower than anticipated enrollment.

*** Dollars in thousands, except in Salary Range.**

## 4280 MANAGED RISK MEDICAL INSURANCE BOARD—Continued

### Major Budget Adjustments Proposed for 2001–02

- $200.9 million ($0.6 million General Fund, $115.3 million Federal Funds, $9.0 million in Reimbursements and $76.0 million in the Tobacco Settlement Fund) for the expansion of the Healthy Families Program to serve parents up to 200 percent of the Federal Poverty Level and 17.0 positions for support of the parent expansion.
- $114.8 million ($45.5 million General Fund) for caseload growth in the Healthy Families Program.
- A $74.4 million fund shift from General Fund to the Tobacco Settlement Fund.
- $0.5 million ($0.1 million General Fund) for 5.0 positions for programmatic and administrative support needs within the Department.

### Authority

Part 6.2 of Division 2 of the Insurance Code, commencing with Section 12693.

---

## PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 MAJOR RISK MEDICAL INSURANCE PROGRAM** | | | |
| State Operations: | | | |
| *0313 Major Risk Medical Insurance Fund* | *$599* | *$818* | *$880* |
| Totals, State Operations | $599 | $818 | $880 |
| Local Assistance: | | | |
| *0313 Major Risk Medical Insurance Fund* | *43,342* | *44,181* | *39,181* |
| Totals, Local Assistance | $43,342 | $44,181 | $39,181 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 ACCESS FOR INFANTS AND MOTHERS** | | | |
| State Operations: | | | |
| *0309 Perinatal Insurance Fund* | *$628* | *$817* | *$853* |
| Totals, State Operations | $628 | $817 | $853 |
| Local Assistance: | | | |
| *0001 General Fund* | *1,235* | *1,292* | *1,429* |
| *0309 Perinatal Insurance Fund* | *51,082* | *56,417* | *61,118* |
| *0890 Federal Trust Fund* | *2,414* | *2,502* | *2,768* |
| Totals, Local Assistance | $54,731 | $60,211 | $65,315 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 HEALTH INSURANCE PLAN OF CALIFORNIA** | | | |
| State Operations: | | | |
| *0957 Voluntary Alliance Uniting Employers Fund* | – | – | – |
| Totals, State Operations | – | – | – |
| Local Assistance: | | | |
| *0957 Voluntary Alliance Uniting Employers Fund* | *$1,344* | – | – |
| Totals, Local Assistance | $1,344 | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **40 HEALTHY FAMILIES PROGRAM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$1,324* | *$1,653* | *$2,309* |
| *0890 Federal Trust Fund* | *1,591* | *2,368* | *3,922* |
| *0995 Reimbursements* | *21* | *97* | *97* |
| Totals, State Operations | $2,936 | $4,118 | $6,328 |
| Local Assistance: | | | |
| *0001 General Fund* | *76,198* | *145,579* | *125,203* |
| *0890 Federal Trust Fund* | *133,142* | *250,953* | *445,356* |
| *0995 Reimbursements* | *2,461* | *3,546* | *12,209* |
| *3020 Tobacco Settlement Fund* | – | – | *150,346* |
| Totals, Local Assistance | $211,801 | $400,078 | $733,114 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $4,163 | $5,753 | $8,061 |
| Local Assistance | 311,218 | 504,470 | 837,610 |
| TOTALS, EXPENDITURES | $315,381 | $510,223 | $845,671 |

*** Dollars in thousands, except in Salary Range.**

## 4280 MANAGED RISK MEDICAL INSURANCE BOARD—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 37.5 | 48.4 | 48.4 | $2,234 | $2,735 | $2,810 |
| Total Adjustments | – | – | 22.0 | – | 56 | 1,212 |
| Estimated Salary Savings | – | –0.7 | –1.7 | – | –52 | –115 |
| Net Totals, Salaries and Wages | 37.5 | 47.7 | 68.7 | $2,234 | $2,739 | $3,907 |
| Staff Benefits | – | – | – | 371 | 488 | 703 |
| Totals, Personal Services | 37.5 | 47.7 | 68.7 | $2,605 | $3,227 | $4,610 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,558 | $2,526 | $3,451 |
| TOTALS, EXPENDITURES | | | | $4,163 | $5,753 | $8,061 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,323 | $1,531 | $2,309 |
| Allocation for employee compensation | 50 | 3 | – |
| Adjustment per Section 3.60 | –35 | –8 | – |
| Allocation for contingencies or emergencies | – | 127 | – |
| Totals Available | $1,338 | $1,653 | $2,309 |
| Unexpended balance, estimated savings | –14 | – | – |
| TOTALS, EXPENDITURES | $1,324 | $1,653 | $2,309 |

### 0309 Perinatal Insurance Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $739 | $818 | $853 |
| Allocation for employee compensation | 28 | 3 | – |
| Adjustment per Section 3.60 | –23 | –4 | – |
| Totals Available | $744 | $817 | $853 |
| Unexpended balance, estimated savings | –116 | – | – |
| TOTALS, EXPENDITURES | $628 | $817 | $853 |

### 0313 Major Risk Medical Insurance Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $740 | $819 | $880 |
| Allocation for employee compensation | 28 | 3 | – |
| Adjustment per Section 3.60 | –24 | –4 | – |
| Totals Available | $744 | $818 | $880 |
| Unexpended balance, estimated savings | –145 | – | – |
| TOTALS, EXPENDITURES | $599 | $818 | $880 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,945 | $2,378 | $3,922 |
| Allocation for employee compensation | 81 | 5 | – |
| Adjustment per Section 3.60 | –62 | –15 | – |
| Budget adjustment | –373 | – | – |
| TOTALS, EXPENDITURES | $1,591 | $2,368 | $3,922 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $21 | $97 | $97 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $4,163 | $5,753 | $8,061 |

*** Dollars in thousands, except in Salary Range.**

## 4280 MANAGED RISK MEDICAL INSURANCE BOARD—Continued

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Major Risk Medical Insurance Program—Provider Contracts | $43,342 | $44,181 | $39,181 |
| Access for Infants and Mothers Program—Provider Contracts | 54,732 | 60,211 | 65,314 |
| Health Insurance Plan of California—Provider Contracts | 1,344 | – | – |
| Healthy Families Program | 211,800 | 400,078 | 733,115 |
| TOTALS, EXPENDITURES | $311,218 | $504,470 | $837,610 |

### RECONCILIATION WITH APPROPRIATIONS

#### 2 LOCAL ASSISTANCE

#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $68,121 | $138,556 | $115,775 |
| 102 Budget Act appropriation | 10,070 | 17,035 | 10,857 |
| Allocation for contingencies or emergencies | 3,400 | 2,000 | – |
| Totals Available | $81,591 | $157,591 | $126,632 |
| Unexpended balance, estimated savings | –4,158 | –10,720 | – |
| Item 4280-101-0001 | – | (–7,376) | – |
| Item 4280-102-0001 | – | (–3,344) | – |
| TOTALS, EXPENDITURES | $77,433 | $146,871 | $126,632 |

#### 0232 Hospital Service Account Cigarette and Tobacco Product Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation (transfer to Perinatal Insurance Fund) | ($25,877) | ($24,300) | ($24,300) |
| 112 Budget Act appropriation (transfer to Major Risk Medical Insurance Fund) | – | (6,393) | (6,393) |
| Revised expenditure authority per Chapter 294, Statutes of 1997 (Section 86) | (3,075) | – | – |

#### 0233 Physician Service Account, Cigarette and Tobacco Product Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation (transfer to Perinatal Insurance Fund) | ($13,760) | ($13,313) | ($13,313) |
| 112 Budget Act appropriation (transfer to Major Risk Medical Insurance Fund) | (3,607) | (3,607) | (3,607) |
| Revised expenditure authority per Chapter 294, Statutes of 1997 (Section 86) | (1,515) | – | – |

#### 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 111 Budget Act appropriation (transfer to the Perinatal Insurance Fund) | – | ($9,381) | ($22,006) |
| Revised expenditure authority per Chapter 294, Statutes of 1997 (Section 86) | – | 7,924 | – |
| 112 Budget Act appropriation (transfer to Major Risk Medical Insurance Fund) | – | (5,000) | – |

#### 0309 Perinatal Insurance Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Insurance Code Section 12699—AIM (expenditures) | $51,082 | $56,417 | $61,118 |

#### 0313 Major Risk Medical Insurance Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Insurance Code Section 12739—MRMIP (expenditures) | $43,342 | $44,181 | $39,181 |

#### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $129,332 | $243,751 | $422,516 |
| 102 Budget Act appropriation | 12,424 | 18,241 | 25,608 |
| Budget adjustment | –6,200 | – | – |
| Totals Available | $135,556 | $261,992 | $448,124 |
| Unexpended balance estimated savings | – | –8,537 | – |
| TOTALS, EXPENDITURES | $135,556 | $253,455 | $448,124 |

*** Dollars in thousands, except in Salary Range.**

## 4280 MANAGED RISK MEDICAL INSURANCE BOARD—Continued

### 0957 Voluntary Alliance Uniting Employers Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Insurance Code Section 10749—HIPC (expenditures) | $1,344 | – | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $2,461 | $3,546 | $12,209 |

### 3020 Tobacco Settlement Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | – | – | $150,346 |
| TOTALS, EXPENDITURES | – | – | $150,346 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $311,218 | $504,470 | $837,610 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $315,381 | $510,223 | $845,671 |

---

### FUND CONDITION STATEMENT
### 0309 Perinatal Insurance Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,326 | $1,087 | $2,548 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous revenue | 2,900 | 3,777 | 4,260 |
| Transfers from Other Funds: | | | |
| F00232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund per Item 4280-111-0232, Budget Acts | 25,877 | 24,300 | 24,300 |
| F00232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund per Item 4280-111-0232, Budgets Acts, Revised per Chapter 294, Statutes of 1997 | 3,075 | – | – |
| F00233 Physician Service Account, Cigarette and Tobacco Products Surtax Fund per Item 4280-111-0233, Budget Acts | 13,760 | 13,313 | 13,313 |
| F00233 Physician Service Account, Cigarette and Tobacco Products Surtax Fund per Item 4280-111-0233, Budget Acts, Revised per Chapter 294, Statutes of 1997 | 1,515 | – | – |
| F00236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund per Item 4280-111-0236, Budget Acts | – | 17,305 | 22,006 |
| F00236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund per Chapter 294, Statutes of 1997 | 4,344 | – | – |
| Totals, Transfers from Other Funds | $48,571 | $54,918 | $59,619 |
| Totals, Revenues and Transfers | $51,471 | $58,695 | $63,879 |
| Totals, Resources | $52,797 | $59,782 | $66,427 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4280 Managed Risk Medical Insurance Board: | | | |
| State Operations | 628 | 817 | 853 |
| Local Assistance | 51,082 | 56,417 | 61,118 |
| Totals, Disbursements | $51,710 | $57,234 | $61,971 |
| FUND BALANCE | $1,087 | $2,548 | $4,456 |
| Reserve for claim payments | 987 | 2,448 | 4,356 |
| Reserve for economic uncertainties | 100 | 100 | 100 |

### 0313 Major Risk Medical Insurance Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $8,097 | $6,381 | $8,157 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous revenue | 225 | 1,775 | – |
| Transfers from Other Funds: | | | |
| F00232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund per Insurance Code Section 12739.1 | 18,000 | 18,000 | 18,000 |
| F00232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund per Item 4280-112-0232, Budget Acts | 6,393 | 6,393 | 6,393 |

---

*** Dollars in thousands, except in Salary Range.**

## 4280 MANAGED RISK MEDICAL INSURANCE BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| F00233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund per Insurance Code Section 12739.1 | $11,000 | $11,000 | $11,000 |
| F00233 Physician Services Account, Cigarette and Tobacco Products Fund per Item 4280-112-0233, Budget Acts | 3,607 | 3,607 | 3,607 |
| F00236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund per Insurance Code Section 12739.1 | 1,000 | 1,000 | 1,000 |
| F00236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund per Item 4280-112-0236, Budget Acts | – | 5,000 | – |
| F00957 Voluntary Alliance Uniting Employers Fund, Loan per Insurance Code Section 10731 | 2,000 | – | – |
| Totals, Transfers from Other Funds | $42,000 | $45,000 | $40,000 |
| Totals, Revenues and Transfers | $42,225 | $46,775 | $40,000 |
| Totals, Resources | $50,322 | $53,156 | $48,157 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4280 Managed Risk Medical Insurance Board: | | | |
| State Operations | 599 | 818 | 880 |
| Local Assistance | 43,342 | 44,181 | 39,181 |
| Totals, Disbursements | $43,941 | $44,999 | $40,061 |
| FUND BALANCE | $6,381 | $8,157 | $8,096 |
| Reserve for claim payments | 6,281 | 8,057 | 7,996 |
| Reserve for economic uncertainties | 100 | 100 | 100 |

### 0957 Voluntary Alliance Uniting Employers Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,344 | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers to Other Funds: | | | |
| T00313 Major Risk Medical Insurance Fund, per Insurance Code Section 10731 | –2,000 | – | – |
| Totals, Revenues and Transfers | –$2,000 | – | – |
| Totals, Resources | $1,344 | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4280 Managed Risk Medical Insurance Board (Local Assistance) | 1,344 | – | – |
| Totals, Disbursements | $1344 | – | – |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 37.5 | 48.4 | 48.4 | $2,234 | $2,735 | $2,810 |
| Salary adjustments | – | – | – | – | 56 | 17 |
| Totals, Adjusted Authorized Positions | 37.5 | 48.4 | 48.4 | $2,234 | $2,791 | $2,827 |
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 11.0 | – | – | 571 |
| Assoc Prog Analyst | – | – | 2.0 | – | – | 109 |
| Staff Svcs Mgr I | – | – | 2.0 | – | – | 119 |
| Research Analyst II | – | – | 1.0 | – | – | 54 |
| Assoc Adm Analyst-Acctg | – | – | 2.0 | – | – | 109 |
| Sr Info Sys Analyst-Supvr | – | – | 1.0 | – | – | 65 |
| Pers Svcs Spec I | – | – | 1.0 | – | – | 30 |
| Sr Staff Counsel | – | – | 1.0 | – | – | 86 |
| Info Ofcr I-Spec | – | – | 1.0 | – | – | 52 |
| Totals, Proposed New Positions | – | – | 22.0 | – | – | $1,195 |
| Total Adjustments | – | – | 22.0 | – | $56 | $1,212 |
| TOTALS, SALARIES AND WAGES | 37.5 | 48.4 | 70.4 | $2,234 | $2,791 | $4,022 |

*** Dollars in thousands, except in Salary Range.**

# 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES

The Department of Developmental Services is responsible under the Lanterman Developmental Disabilities Services Act (Lanterman Act) to ensure that persons with developmental disabilities receive the services and support they need to lead more independent, productive and normal lives and to make choices and decisions about their own lives. The Department ensures coordination of services to persons with developmental disabilities; ensures that such services are planned, provided, and sufficiently complete to meet the needs and choices of these individuals at each stage of their lives, regardless of age or the degree of handicap and, to the extent possible, accomplishes these goals in the individual's home communities.

The Department sets broad policy and provides leadership for developmental services statewide; establishes priorities, standards and procedures within which the developmental services program operates; and monitors, reviews and evaluates service delivery and ensures remediation of problems that arise. Services are delivered directly through Developmental Centers and under contract through a statewide network of 21 private, nonprofit, locally-based community agencies, known as Regional Centers.

The Department's goals are to:

- Ensure that persons with developmental disabilities served by Regional Centers and Developmental Centers receive needed services and support.
- Ensure the optimal health, safety, and well-being of all individuals served by Regional Centers and Developmental Centers.
- Ensure that all services provided by vendors, Regional Centers, and Developmental Centers are of high quality.
- Ensure the availability of a comprehensive array of appropriate services and supports to meet the needs of consumers and their families.
- Reduce the incidence and severity of developmental disabilities through the provision of appropriate prevention and early intervention services.
- Ensure services and supports to persons with developmental disabilities and their families are cost-effective for the State of California.

The Department provides developmental services to eligible persons through three programs: Community Services, Developmental Centers and Administration.

## Authority

Welfare and Institutions Code, Divisions 4, 6, and 7, commencing with Section 4400.
Health and Safety Code, Division 25, commencing with Section 38000.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Community Services Program | 78.4 | 87.8 | 110.5 | $1,637,659 | $1,895,948 | $2,057,803 |
| 20 Developmental Centers Program | 8,030.4 | 8,896.5 | 8,746.6 | 572,210 | 656,367 | 617,831 |
| 35 Administration | 249.8 | 271.8 | 246.7 | 18,715 | 22,244 | 25,518 |
| Distributed Administration | – | – | – | −18,715 | −22,244 | −25,518 |
| 98 State-Mandated Local Programs | – | – | – | 336 | 952 | 486 |
| TOTALS, PROGRAMS | 8,358.6 | 9,256.1 | 9,103.8 | $2,210,205 | $2,553,267 | $2,676,120 |
| *0001 General Fund* [1] | | | | *910,746* | *1,178,828* | *1,835,646* |
| *0172 Developmental Disabilities Program Development Fund* | | | | *3,846* | *2,945* | *2,046* |
| *0496 Developmental Disabilities Services Account* | | | | *30* | *1,000* | – |
| *0814 California State Lottery Education Fund* | | | | *594* | *560* | *560* |
| *0890 Federal Trust Fund* | | | | *46,864* | *50,657* | *47,032* |
| *0995 Reimbursements* | | | | *1,248,125* | *1,319,277* | *790,836* |

[1] Some of the amounts included as General Fund are for the purposes of meeting the minimum funding guarantee for educational programs pursuant to Section 8 of Article XVI of the California Constitution. Specific appropriations are identified in the following RECONCILIATION(S) WITH APPROPRIATIONS.

## 10 COMMUNITY SERVICES PROGRAM

### Program Objectives Statement

The Community Services Program develops and maintains services for eligible developmentally disabled persons who reside in the community. Through 21 private, non-profit Regional Centers the program provides or coordinates assessment, diagnosis, counseling, planning, placement, education, and monitoring of care for these individuals and their families. In addition, the Community Services Program is responsible for the development of standards and regulations for the administration of community programs.

### Major Budget Adjustment Included in 2000–01

- $8.8 million General Fund savings and an increase of $8.8 million in reimbursements from the Department of Health Services to reflect restoration of federal funding eligibility in Regional Centers.

### Major Budget Adjustments Proposed for 2001–02

- $346 million to reflect the transfer of the General Fund and commensurate decreases in reimbursements from the Department of Health Services to simplify the budgeting process.
- $2.6 million General Fund to expand clinical evaluation and assessment for children to determine eligibility for the Early Start Program.
- $9.2 million ($7.4 million General Fund) to implement an expanded and redesigned Special Incident Reporting System for Regional Center consumers.
- $148.6 million ($141.7 million General Fund) for a projected caseload increase of 8,460 consumers and increased utilization of purchased services.

### Authority

Welfare and Institutions Code, Divisions 4, 6, and 7, commencing with Section 4400.
Health and Safety Code, Division 25, commencing with Section 38000.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

## 20 DEVELOPMENTAL CENTERS PROGRAM

### Program Objectives Statement

The Department operates five Developmental Centers: Agnews, Fairview, Lanterman, Porterville, and Sonoma. Forensic services are provided in a secure setting at Porterville Developmental Center. In addition, the Department has leased a 54-bed facility in Yuba City known as Sierra Vista and a 63-bed facility in Cathedral City known as Canyon Springs; these facilities serve persons with behavioral problems. Developmental Center services include care and supervision for all individuals on a 24-hour basis, supplemented with appropriate medical and dental care, health maintenance activities, assistance with activities of daily living, training, education, and active treatment.

The primary objectives of the Developmental Centers Program include providing care, treatment and habilitative services in the most efficient, effective and least restrictive manner to all individuals referred to the Developmental Centers by the Regional Centers, county mental health departments and/or the judicial system; and providing services to individuals which ensure increased independence, maintenance or improvement of health and welfare, and enhanced personal competence and effectiveness in all areas of daily living.

The Developmental Centers Program provides central administrative and clinical management services to the five Developmental Centers and the two leased facilities to ensure the quality of services provided, compliance with state licensing and federal certification requirements, and attainment of quality assurance standards. The areas of responsibility include the development of policy and procedures for all aspects of Developmental Center operations and compliance with professional clinical standards in providing effective care, treatment and habilitation training for persons with developmental disabilities.

### Major Budget Adjustments Included in 2000–01

- $615,000 ($70,000 General Fund) to fund employee compensation increases.
- $36.5 million General Fund to replace lost federal funds due to a delay of recertification of Agnews Developmental Center (recertified in October 2000), and delays in the certification of Sonoma Developmental Center and the Sierra Vista facility (estimated March 31, 2001).
- $3.1 million ($355,000 General Fund) reduction for the employer's contribution to the Public Employees' Retirement System.

### Major Budget Adjustments Proposed for 2001–02

- $255 million to reflect the transfer of the General Fund and commensurate decreases in reimbursements from the Department of Health Services to simplify the budgeting process.
- $3.3 million ($1.8 million General Fund) to fund employee compensation increases.
- $850,000 ($425,000 General Fund) to meet federal Health Insurance Portability and Accountability Act requirements.
- $4.3 million reduction ($736,000 General Fund increase) and a reduction of 150.8 positions to reflect an overall population reduction of 158 residents in the Developmental Centers.
- $3.1 million ($1.7 million General Fund) reduction for the employer's contribution to the Public Employees' Retirement System.

### Authority

Welfare and Institutions Code, Sections 4440–4472.

**DEVELOPMENTAL CENTER IN-CENTER POPULATION COUNT**
**Developmentally Disabled**

| | *Last Wednesday of Fiscal Year* | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | *6/93* | *6/94* | *6/95* | *6/96* | *6/97* | *6/98* | *6/99* | *6/00* | *Est 01** | *Est 02** |
| Agnews | 904 | 820 | 700 | 640 | 563 | 523 | 503 | 488 | 489 | 476 |
| Camarillo | 574 | 551 | 443 | 449 | – | – | – | – | – | – |
| Fairview | 1,060 | 998 | 868 | 776 | 875 | 849 | 833 | 836 | 833 | 789 |
| Lanterman | 1,001 | 956 | 847 | 767 | 747 | 713 | 690 | 669 | 664 | 652 |
| Napa | – | – | – | 155 | 136 | 104 | 106 | 63 | 17 | – |
| Northern California (Sierra Vista) | – | – | – | – | – | – | – | 43 | 55 | 55 |
| Porterville | 947 | 828 | 747 | 730 | 831 | 813 | 836 | 830 | 856 | 808 |
| Sonoma | 1,272 | 1,214 | 1,105 | 1,023 | 959 | 919 | 895 | 883 | 882 | 843 |
| Southern California (Canyon Springs) | – | – | – | – | – | – | – | – | 48 | 63 |
| Stockton | 520 | 448 | 361 | – | – | – | – | – | – | – |
| Totals, Developmentally Disabled | 6,278 | 5,815 | 5,071 | 4,540 | 4,111 | 3,921 | 3,863 | 3,812 | 3,844 | 3,686 |
| Changes from Preceding Year | −266 | −463 | −744 | −531 | −429 | −190 | −58 | −51 | 32 | −158 |
| | −4.1% | −7.4% | −12.8% | −10.5% | −9.4% | −4.6% | −1.5% | −1.3% | 0.8% | −4.1% |

* In-Center Average Population

## 35 ADMINISTRATION

### Major Budget Adjustments Proposed for 2001–02

- $5.6 million General Fund for the second year of a four-year plan to replace information systems to improve the quality and quantity of data collection and reporting at 21 Regional Centers.
- $1.5 million General Fund to permanently establish 20.0 positions (19.0 personnel years) for required oversight of federal programs and for audits and audit appeals.

## 98 STATE-MANDATED LOCAL PROGRAMS

### Program Objectives Statement

The objective of this program is to provide funding, pursuant to Section 6 of Article XIIIB of the California Constitution, to reimburse local entities for costs incurred in complying with certain state-mandated local programs. Funding for five ongoing mandates is proposed for inclusion in the Budget Act.

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

### PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 COMMUNITY SERVICES PROGRAM** | | | |
| State Operations (Headquarters): | | | |
| *0001 General Fund* | *$11,145* | *$14,135* | *$16,785* |
| *0172 Developmental Disabilities Program Development Fund* | *223* | *245* | *246* |
| *0890 Federal Trust Fund* | *1,498* | *1,807* | *1,814* |
| *0995 Reimbursements* | *1,757* | *1,520* | *1,295* |
| Totals, State Operations (Headquarters) | $14,623 | $17,707 | $20,140 |
| Local Assistance: | | | |
| *0001 General Fund* | *788,162* | *972,640* | *1,479,886* |
| *0172 Developmental Disabilities Program Development Fund* | *3,623* | *2,700* | *1,800* |
| *0496 Developmental Disabilities Services Account* | *30* | *1,000* | *–* |
| *0890 Federal Trust Fund* | *44,678* | *48,110* | *44,538* |
| *0995 Reimbursements* | *786,543* | *853,791* | *511,439* |
| Totals, Local Assistance | $1,623,036 | $1,878,241 | $2,037,663 |
| **ELEMENT REQUIREMENTS** | | | |
| 10.10.010 Operations | 331,651 | 351,184 | 375,451 |
| 10.10.020 Purchase of Services | 1,252,550 | 1,493,042 | 1,628,305 |
| 10.10.050 Administration | 14,623 | 17,707 | 20,140 |
| 10.10.060 Early Intervention Program | 20,147 | 20,080 | 20,080 |
| 10.20.010 Program Development | 1,414 | 1,426 | 1,318 |
| 10.70 Habilitation Services | 17,274 | 12,509 | 12,509 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 DEVELOPMENTAL CENTERS** | | | |
| State Operations (Headquarters): | | | |
| *0001 General Fund* | *$15,874* | *$13,689* | *$16,238* |
| *0995 Reimbursements* | *928* | *990* | *554* |
| Totals, State Operations (Headquarters) | $16,802 | $14,679 | $16,792 |
| State Operations (Developmental Centers): | | | |
| *0001 General Fund* | *95,229* | *177,412* | *322,251* |
| *0814 California State Lottery Education Fund* | *594* | *560* | *560* |
| *0890 Federal Trust Fund* | *688* | *740* | *680* |
| *0995 Reimbursements* | *458,897* | *462,976* | *277,548* |
| Totals, State Operations (Developmental Centers) | $555,408 | $641,688 | $601,039 |
| **PROGRAM REQUIREMENTS** | | | |
| **98 STATE-MANDATED LOCAL PROGRAMS** | | | |
| Chapter 694/75—Developmentally Disabled-Attorney Fees | $189 | $189 | $189 |
| Chapter 1253/80—Mentally Retarded Defendants | 12 | 107 | 107 |
| Chapter 1304/80—Conservatorships | 103 | 103 | 103 |
| Chapter 644/80—Judicial Proceedings for the Mentally Ill | 32 | 87 | 87 |
| Chapter 1357/76—Guardianship/Conservatorship Filings | – | – | – |
| 1998 and 1999 Deficiency: | | | |
| (a) Ch. 644/80—Judicial Proceedings for the Mentally Ill | – | 70 | – |
| (b) Ch. 1304/80—Conservatorships | – | 16 | – |
| (c) Ch. 1357/76—Guardianship/Conservatorship Filings | – | 296 | – |
| (d) Ch. 694/75—Attorney Fees | – | 35 | – |
| Pending Legislation: | | | |
| 2000 Deficiency: | | | |
| (a) Ch. 644/80 Judicial Proceedings for the Mentally Ill | – | 2 | – |
| (b) Ch. 694/75 Developmentally Disabled-Attorney Fees | – | 27 | – |
| (c) Ch. 1304/80 Conservatorships | – | 20 | – |
| Totals, Local Assistance | $336 | $952 | $486 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $586,833 | $674,074 | $637,971 |
| Local Assistance | 1,623,372 | 1,879,193 | 2,038,149 |
| TOTALS, EXPENDITURES | $2,210,205 | $2,553,267 | $2,676,120 |

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

#### Headquarters

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 363.6 | 435.8 | 415.8 | $19,374 | $23,057 | $22,491 |
| Total Adjustments | – | – | 19.0 | – | 120 | 1,241 |
| Estimated Salary Savings | – | −41.5 | −66.1 | – | −1,685 | −2,011 |
| Net Totals, Salaries and Wages | 363.6 | 394.3 | 368.7 | $19,374 | $21,492 | $21,721 |
| Staff Benefits | – | – | – | 3,582 | 3,610 | 3,542 |
| Totals, Personal Services | 363.6 | 394.3 | 368.7 | $22,956 | $25,102 | $25,263 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $8,469 | $7,284 | $11,669 |
| TOTALS, EXPENDITURES (Headquarters) | | | | $31,425 | $32,386 | $36,932 |

#### Developmental Centers

| PERSONAL SERVICES | | | | | | |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 7,995.0 | 8,861.8 | 8,885.9 | $331,187 | $382,262 | $390,751 |
| Total Adjustments | – | – | −150.8 | – | 615 | −3,532 |
| Net Totals, Salaries and Wages | 7,995.0 | 8,861.8 | 8,735.1 | $331,187 | $382,877 | $387,219 |
| Staff Benefits | – | – | – | 83,383 | 105,201 | 94,519 |
| Totals, Personal Services | 7,995.0 | 8,861.8 | 8,735.1 | $414,570 | $488,078 | $481,738 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $140,838 | $153,610 | $119,301 |
| TOTALS, EXPENDITURES (Developmental Centers) | | | | $555,408 | $641,688 | $601,039 |
| NET TOTALS, EXPENDITURES (Headquarters and Developmental Centers) | | | | $586,833 | $674,074 | $637,971 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
#### 0001 General Fund—Proposition 98

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 004 Budget Act appropriation (Developmental Centers) | $12,782 | $14,086 | $10,355 |
| Allocation for employee compensation (Developmental Centers) | 372 | 1 | – |
| Adjustment per Section 3.60 | −154 | – | – |
| Totals Available | $13,000 | $14,087 | $10,355 |
| Unexpended balance, estimated savings | −5,262 | – | – |
| TOTALS, EXPENDITURES, Proposition 98 | $7,738 | $14,087 | $10,355 |

#### 0001 General Fund, Non-Proposition 98

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (Headquarters) | $27,144 | $27,827 | $33,023 |
| 003 Budget Act appropriation (Developmental Centers) | 52,305 | 127,168 | 311,896 |
| Allocation for employee compensation (Headquarters) | 1,798 | 116 | – |
| Allocation for employee compensation (Developmental Centers) | 3,057 | 69 | – |
| Allocation for contingencies or emergencies (Developmental Centers) | 33,827 | 36,523 | – |
| Allocation for Year 2000 per Item 9904-001-0001 (Developmental Centers) | 93 | – | – |
| Adjustment per Section 3.60 (Headquarters) | −1,138 | −244 | – |
| Adjustment per Section 3.60 (Developmental Centers) | −1,749 | −355 | – |
| Adjustment per Section 16.00 (Developmental Centers) | 10 | – | – |
| Adjustment per Section 4.60 (Rental Rate) (Headquarters) | – | 125 | – |
| Transfer to Legislative Claims (9670) (Developmental Centers) | −4 | −12 | – |
| Transfer to Item 4300-101-0001 per Provision 4 (Developmental Centers) | – | −68 | – |
| Totals Available (Developmental Centers and Headquarters) | $115,343 | $191,149 | $344,919 |

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *Headquarters* | *$27,804* | *$27,824* | *$33,023* |
| *Developmental Centers* | *87,539* | *163,325* | *311,896* |
| Unexpended balance, estimated savings (Headquarters) | −785 | – | – |
| Unexpended balance, estimated savings (Developmental Centers) | −48 | – | – |
| TOTALS, EXPENDITURES, Non-Proposition 98 | $114,510 | $191,149 | $344,919 |
| *Headquarters* | *27,019* | *27,824* | *33,023* |
| *Developmental Centers* | *87,491* | *163,325* | *311,896* |
| TOTALS, EXPENDITURES, GENERAL FUND | $122,248 | $205,236 | $355,274 |

### 0172 Developmental Disabilities Program Development Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $233 | $247 | $246 |
| Allocation for employee compensation | 22 | – | – |
| Adjustment per Section 3.60 | −9 | −2 | – |
| Totals Available | $246 | $245 | $246 |
| Unexpended balance, estimated savings | −23 | – | – |
| TOTALS, EXPENDITURES | $223 | $245 | $246 |

### 0814 California State Lottery Education Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 003 Budget Act appropriation | $663 | $389 | $560 |
| Revised expenditure authority per Provision 1 | 58 | 171 | – |
| Totals Available | $721 | $560 | $560 |
| Unexpended balance, estimated savings | −127 | – | – |
| TOTALS, EXPENDITURES | $594 | $560 | $560 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Headquarters) | $1,762 | $1,817 | $1,814 |
| 003 Budget Act appropriation (Developmental Centers) | 738 | 740 | 680 |
| Allocation for employee compensation (Developmental Centers) | 2 | – | – |
| Allocation for employee compensation (Headquarters) | 121 | 2 | – |
| Adjustment per Section 3.60 (Headquarters) | −65 | −12 | – |
| Budget adjustment (Headquarters) | −320 | – | – |
| Budget adjustment (Developmental Centers) | −52 | – | – |
| TOTALS, EXPENDITURES | $2,186 | $2,547 | $2,494 |
| *Headquarters* | *1,498* | *1,807* | *1,814* |
| *Developmental Centers* | *688* | *740* | *680* |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements (Headquarters) | $2,685 | $2,510 | $1,849 |
| Reimbursements (Developmental Centers) | 458,897 | 462,976 | 277,548 |
| TOTALS, EXPENDITURES | $461,582 | $465,486 | $279,397 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $586,833 | $674,074 | $637,971 |
| *Headquarters* | *31,425* | *32,386* | *36,932* |
| *Developmental Centers* | *555,408* | *641,688* | *601,039* |

---

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Grants and subventions | $1,623,036 | $1,878,241 | $2,037,663 |
| State mandates | 336 | 952 | 486 |
| TOTALS, EXPENDITURES | $1,623,372 | $1,879,193 | $2,038,149 |

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (Community Services) | $757,962 | $980,834 | $1,479,665 |
| 295 Budget Act appropriation (State Mandates) | 486 | 486 | 486 |
| Allocation for contingencies or emergencies | 48,347 | – | – |
| Allocation for year 2000 per Item 9904-001-0001 | 1,953 | – | – |
| Chapter 574, Statutes of 1999 (State Mandates) | 121 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 49 | – |
| Transfer from Item 4300-003-0001 per Provision 1 | – | 68 | – |
| Prior year balances available: | | | |
| Item 4300-101-0001, Budget Act of 1998 as reappropriated by Item 4300-490, Budget Act of 1999 and Item 4300-490, Budget Act of 2000 | 13,439 | 55 | – |
| Item 4300-101-0001, Budget Act of 1999 as reappropriated by Item 4300-490, Budget Act of 2000 | – | 125 | – |
| Chapter 780, Statutes of 1998 (State Mandates) | 296 | 296 | – |
| Chapter 1043, Statutes of 1998 (Community Services) | 607 | 607 | 221 |
| Chapter 574, Statutes of 1999 (State Mandates) | – | 121 | – |
| Totals Available | $823,211 | $982,641 | $1,480,372 |
| Balance available in subsequent years | –1,204 | –221 | – |
| Unexpended balance, estimated savings | –33,509 | –8,828 | – |
| TOTALS, EXPENDITURES | $788,498 | $973,592 | $1,480,372 |

### 0172 Developmental Disabilities Program Development Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $3,815 | $2,700 | $1,800 |
| Unexpended balance, estimated savings | –192 | – | – |
| TOTALS, EXPENDITURES | $3,623 | $2,700 | $1,800 |

### 0496 Developmental Disabilities Services Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $30 | $1,000 | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $44,731 | $48,110 | $44,538 |
| Budget adjustment | –53 | – | – |
| TOTALS, EXPENDITURES | $44,678 | $48,110 | $44,538 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $786,543 | $853,791 | $511,439 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $1,623,372 | $1,879,193 | $2,038,149 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $2,210,205 | $2,553,267 | $2,676,120 |

### FUND CONDITION STATEMENT
### 0172 Developmental Disabilities Program Development Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $2,326 | $649 | $68 |
| Prior year adjustments | 160 | – | – |
| Balance, Adjusted | $2,486 | $649 | $68 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142200 Parental fees | 1,868 | 2,261 | 2,250 |
| 150300 Income from surplus money investments | 141 | 103 | 103 |
| Totals, Revenues and Transfers | $2,009 | $2,364 | $2,353 |
| Totals, Resources | $4,495 | $3,013 | $2,421 |

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4300 Department of Developmental Services: | | | |
| State Operations | $223 | $245 | $246 |
| Local Assistance (Community Placement programs) | 3,623 | 2,700 | 1,800 |
| Totals, Disbursements | $3,846 | $2,945 | $2,046 |
| FUND BALANCE | $649 | $68 | $375 |
| Reserve for economic uncertainties | 649 | 68 | 375 |

### 0496 Developmental Disabilities Services Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $40 | $3,955 | $3,131 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 156 | 176 | 176 |
| 152200 Rentals of state property | 3,789 | – | – |
| Totals, Revenues and Transfers | $3,945 | $176 | $176 |
| Totals, Resources | $3,985 | $4,131 | $3,307 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4300 Department of Developmental Services (Local Assistance) | 30 | 1,000 | – |
| FUND BALANCE | $3,955 | $3,131 | $3,307 |
| Reserve for economic uncertainties | 3,955 | 3,131 | 3,307 |

## CHANGES IN AUTHORIZED POSITIONS

| Headquarters | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 363.6 | 435.8 | 415.8 | $19,374 | $23,057 | $22,491 |
| Salary adjustments | – | – | – | – | 120 | 273 |
| Totals, Adjusted Authorized Positions | 363.6 | 435.8 | 415.8 | $19,374 | $23,177 | $22,764 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Developmental Centers Division: | | | | | | |
| Quality Assurance and Program Support Branch: | | | | | | |
| School Lunch Program: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | −1.0 | 2,714–4,759 | – | −32 |
| Totals, Reduction in Authorized Positions | – | – | −1.0 | – | – | −$32 |
| Totals, Workload and Administrative Adjustments | – | – | −1.0 | – | – | −$32 |
| Proposed New Positions: | | | | | | |
| Administration: | | | | | | |
| Information Systems and Services Branch: | | | | | | |
| Administration Technology Support Section: | | | | | | |
| Assoc Prog Analyst (Spec) | – | – | 1.0 | 4,531–4,997 | – | 55 |
| Program and Regional Center Support Section: | | | | | | |
| Staff Info Sys Analyst (Spec) | – | – | 1.0 | 4,969–5,480 | – | 60 |
| Staff Prog Analyst (Spec) | – | – | 1.0 | 4,969–5,480 | – | 60 |
| Assoc Prog Analyst (Spec) | – | – | 1.0 | 4,531–4,997 | – | 55 |
| Financial Services Branch: | | | | | | |
| Audits Section: | | | | | | |
| Gen Auditor III | – | – | 1.0 | 4,531–4,997 | – | 54 |
| Gen Auditor II | – | – | 4.0 | 3,768–4,155 | – | 181 |
| Audit Appeals: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 2,764–4,759 | – | 33 |
| Community Services Division: | | | | | | |
| Program Services Branch: | | | | | | |
| Services and Support Section: | | | | | | |
| Community Prog Spec II | – | – | 1.0 | 4,316–4,759 | – | 52 |
| Staff Svcs Analyst | – | – | 1.0 | 2,764–4,759 | – | 33 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 2,764–4,759 | – | 33 |

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Health and Wellness Section: | | | | Salary Range | | |
| Community Prog Spec II | – | – | 1.0 | $4,316–4,759 | – | $52 |
| Medicaid Waiver Program: | | | | | | |
| Community Prog Spec II | – | – | 1.0 | 4,316–4,759 | – | 52 |
| Assoc Govtl Prog Analyst | – | – | 2.0 | 2,764–4,759 | – | 66 |
| Regional Center Branch: | | | | | | |
| C.E.A. II | – | – | 1.0 | 6,687–7,373 | – | 92 |
| Residential Services Section: | | | | | | |
| Staff Svcs Mgr II-Supvr | – | – | 1.0 | 5,472–5,987 | – | 66 |
| Residential Operations Section: | | | | | | |
| Nurse Consultant II | – | – | 1.0 | 4,777–5,221 | – | 56 |
| Totals, Proposed New Positions | – | – | 20.0 | – | – | $1,000 |
| Total Adjustments | – | – | 19.0 | – | $120 | $1,241 |
| TOTALS, HEADQUARTERS | 363.6 | 435.8 | 434.8 | $19,374 | $23,177 | $23,732 |
| **Developmental Centers** | | | | | | |
| Totals, Authorized Positions | 7,995.0 | 8,861.8 | 8,885.9 | $331,187 | $382,262 | $390,751 |
| Salary adjustments | – | – | – | – | 615 | 3,376 |
| Totals, Adjusted Authorized Positions | 7,995.0 | 8,861.8 | 8,885.9 | $331,187 | $382,877 | $394,127 |
| AGNEWS DEVELOPMENTAL CENTER | | | | | | |
| Workload and Administrative Adjustments: | | | | | | |
| Population Adjustments: | | | | | | |
| Level of Care Adjustments: | | | | | | |
| Teacher | – | – | −1.0 | 3,337–5,108 | – | −69 |
| Nursing | – | – | −11.0 | 3,346–4,759 | – | −625 |
| Psych Soc Worker | – | – | −1.0 | 3,163–3,942 | – | −56 |
| Rehab Therapist | – | – | −1.0 | 2,823–3,599 | – | −52 |
| Temporary Help | – | – | – | – | – | −30 |
| Non Level of Care Adjustments: | | | | | | |
| Clinical Lab Technologist | – | – | −1.0 | 3,749–4,233 | – | −48 |
| Sr Psych Techn | – | – | −1.0 | 3,248–3,645 | – | −52 |
| Hlth Recds Techn I | – | – | −1.0 | 2,348–2,855 | – | −31 |
| Acctg Techn | – | – | −1.0 | 2,348–2,855 | – | −31 |
| Ofc Techn | – | – | −1.0 | 2,348–2,855 | – | −31 |
| Totals, Workload and Administrative Adjustments | – | – | −19.0 | – | – | −$1,025 |
| Proposed New Positions: | | | | | | |
| Population Adjustments: | | | | | | |
| Non Level of Care Adjustments: | | | | | | |
| Hlth Svcs Spec | – | – | 1.0 | 3,779–4,555 | – | 63 |
| Psych Techn | – | – | 1.5 | 2,723–3,286 | – | 71 |
| Totals, Proposed New Positions | – | – | 2.5 | – | – | $134 |
| Total Adjustments, Agnews Developmental Center | – | – | −16.5 | – | – | −$891 |
| CANYON SPRINGS FACILITY | | | | | | |
| Proposed New Positions: | | | | | | |
| Population Adjustments: | | | | | | |
| Level of Care Adjustments: | | | | | | |
| Nursing | – | – | 13.0 | 3,346–4,035 | – | 629 |
| Psych Soc Worker | – | – | 0.5 | 3,163–3,942 | – | 24 |
| Rehab Therapy | – | – | 0.5 | 2,823–3,599 | – | 22 |
| Totals, Proposed New Positions | – | – | 14.0 | – | – | $675 |
| Total Adjustments, Canyon Springs Facility | – | – | 14.0 | – | – | $675 |
| FAIRVIEW DEVELOPMENTAL CENTER | | | | | | |
| Workload and Administrative Adjustments: | | | | | | |
| Population Adjustments: | | | | | | |
| Level of Care Adjustments: | | | | | | |
| Psychology | – | – | −1.0 | 4,284–5,623 | – | −59 |
| Teacher | – | – | −3.0 | 3,337–5,108 | – | −152 |
| Nursing | – | – | −45.0 | 3,346–4,035 | – | −1,993 |
| Psych Soc Worker | – | – | −1.0 | 3,163–3,942 | – | −43 |
| Rehab Therapist | – | – | −1.0 | 2,823–3,599 | – | −38 |
| Temporary Help | – | – | – | – | – | −49 |

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Non Level of Care Adjustments: | | | | Salary Range | | |
| Pharmacist | – | – | –1.0 | $4,818–5,474 | – | –$62 |
| Nurse Instructor | – | – | –1.0 | 4,148–4,998 | – | –55 |
| Unit Supvr | – | – | –1.0 | 4,025–4,846 | – | –53 |
| Registered Nurse | – | – | –1.0 | 3,710–4,458 | – | –49 |
| Sr Psych Techn | – | – | –3.0 | 3,248–3,645 | – | –124 |
| Psych Techn | – | – | –3.0 | 2,723–3,286 | – | –108 |
| Individual Prog Coord | – | – | –1.0 | 2,603–3,255 | – | –35 |
| Pers Svcs Spec I | – | – | –1.0 | 2,664–3,239 | – | –36 |
| Auto Equipt Opr I | – | – | –1.0 | 2,715–2,970 | – | –34 |
| Hlth Recds Techn I | – | – | –1.0 | 2,348–2,855 | – | –31 |
| Acctg Techn | – | – | –1.0 | 2,348–2,855 | – | –31 |
| Seamer | – | – | –1.0 | 2,277–2,766 | – | –30 |
| Food Svc Supvr I | – | – | –1.0 | 2,199–2,674 | – | –29 |
| Laundry Worker | – | – | –1.0 | 2,044–2,485 | – | –27 |
| Food Svc Worker I | – | – | –6.0 | 1,809–2,199 | – | –145 |
| Totals, Workload and Administrative Adjustments | – | – | –75.0 | – | – | –$3,183 |
| Total Adjustments, Fairview Developmental Center | – | – | –75.0 | – | – | –$3,183 |
| LANTERMAN DEVELOPMENTAL CENTER | | | | | | |
| Workload and Administrative Adjustments: | | | | | | |
| Population Adjustments: | | | | | | |
| Level of Care Adjustments: | | | | | | |
| Nursing | – | – | –10.0 | 3,346–4,035 | – | –443 |
| Psych Soc Worker | – | – | –1.0 | 3,163–3,942 | – | –42 |
| Non Level of Care Adjustments: | | | | | | |
| Pharmacist I | – | – | –1.0 | 4,818–5,474 | – | –62 |
| Pers Svcs Supvr II | – | – | –1.0 | 3,244–3,944 | – | –43 |
| Psych Techn | – | – | –1.2 | 2,723–3,286 | – | –43 |
| Pers Svcs Spec I | – | – | –1.0 | 2,664–3,239 | – | –36 |
| Hlth Recds Techn | – | – | –1.0 | 2,348–2,855 | – | –31 |
| Acctg Techn | – | – | –1.0 | 2,348–2,855 | – | –31 |
| Food Svc Worker I | – | – | –1.0 | 1,809–2,199 | – | –24 |
| Totals, Workload and Administrative Adjustments | – | – | –18.2 | – | – | –$755 |
| Proposed New Positions: | | | | | | |
| Population Adjustments: | | | | | | |
| Level of Care Adjustments: | | | | | | |
| Temporary Help | – | – | – | – | – | 292 |
| Totals, Proposed New Positions | – | – | – | – | – | $292 |
| Total Adjustments, Lanterman Developmental Center | – | – | –18.2 | – | – | –$463 |
| PORTERVILLE DEVELOPMENTAL CENTER | | | | | | |
| Workload and Administrative Adjustments: | | | | | | |
| Population Adjustments: | | | | | | |
| Level of Care Adjustments: | | | | | | |
| Psychology | – | – | –2.0 | 4,284–5,623 | – | –135 |
| Teacher | – | – | –1.0 | 3,337–5,108 | – | –51 |
| Nursing | – | – | –35.0 | 3,346–4,035 | – | –1,432 |
| Rehab Therapy | – | – | –3.0 | 2,823–3,599 | – | –130 |
| Non Level of Care Adjustments: | | | | | | |
| Registered Nurse (MDSC) | – | – | –1.0 | 3,710–4,458 | – | –57 |
| Clinical Dietitian | – | – | –1.0 | 2,954–3,677 | – | –40 |
| Psych Techn | – | – | –2.1 | 2,723–3,286 | – | –76 |
| Individual Prog Coord | – | – | –1.0 | 2,603–3,255 | – | –41 |
| Pers Svcs Spec I | – | – | –1.0 | 2,664–3,239 | – | –36 |
| Auto Equipt Opr I | – | – | –1.0 | 2,715–2,970 | – | –34 |
| Hlth Recds Techn | – | – | –2.0 | 2,348–2,855 | – | –62 |
| Seamer | – | – | –1.0 | 2,277–2,766 | – | –30 |
| Laundry Worker | – | – | –1.0 | 2,044–2,485 | – | –27 |
| Totals, Workload and Administrative Adjustments | – | – | –52.1 | – | – | –$2,151 |

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Proposed New Positions: | | | | | | |
| Population Adjustments: | | | | | | |
| Level of Care Adjustments: | | | | Salary Range | | |
| Physician & Surgeon | – | – | 1.0 | $6,447–7,836 | – | $86 |
| Psych Soc Worker | – | – | 1.0 | 3,163–3,942 | – | 43 |
| Temporary Help | – | – | – | – | – | 102 |
| Non Level of Care Adjustments: | | | | | | |
| Nurse Instructor | – | – | 1.0 | 4,148–4,998 | – | 55 |
| Unit Supvr | – | – | 3.0 | 4,025–4,846 | – | 170 |
| Sr Psych Techn | – | – | 10.0 | 3,248–3,645 | – | 414 |
| Psych Techn | – | – | 7.0 | 2,723–3,286 | – | 252 |
| Food Svc Supvr I | – | – | 1.0 | 2,199–2,674 | – | 29 |
| Food Svc Worker I | – | – | 20.0 | 1,809–2,199 | – | 480 |
| Totals, Proposed New Positions | – | – | 44.0 | – | – | $1,631 |
| Total Adjustments, Porterville Developmental Center | – | – | –8.1 | – | – | –$520 |
| SIERRA VISTA FACILITY | | | | | | |
| Workload and Administrative Adjustments: | | | | | | |
| Population Adjustment: | | | | | | |
| Non Level of Care Adjustments: | | | | | | |
| Nurse Practitioner | – | – | –1.0 | 4,555–5,488 | – | –60 |
| Pharmacist I | – | – | –1.0 | 4,818–5,474 | – | –62 |
| Nurse Instructor | – | – | –1.0 | 4,148–4,998 | – | –55 |
| Peace Ofcr | – | – | –4.0 | 2,928–3,519 | – | –153 |
| Stock Clk | – | – | –1.0 | 2,153–2,618 | – | –28 |
| Food Svc Worker I | – | – | –2.0 | 1,809–2,199 | – | –48 |
| Totals, Workload and Administrative Adjustments | – | – | –10.0 | – | – | –$406 |
| Proposed New Positions: | | | | | | |
| Population Adjustments: | | | | | | |
| Non Level of Care Adjustments: | | | | | | |
| Stds Compliance Coord | – | – | 1.0 | 4,509–5,441 | – | 60 |
| Assoc Pers Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Pub Hlth Nurse | – | – | 1.0 | 3,779–4,555 | – | 50 |
| Procurement & Svcs Ofcr I | – | – | 1.0 | 3,751–4,520 | – | 50 |
| Voc Resource Spec | – | – | 1.0 | 3,255–3,957 | – | 43 |
| Bldg Maint Worker | – | – | 1.0 | 2,973–3,259 | – | 37 |
| Material & Stores Spec | – | – | 1.0 | 2,672–3,191 | – | 35 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Svc Asst | – | – | 2.0 | 1,749–2,125 | – | 47 |
| Totals, Proposed New Positions | – | – | 10.0 | – | – | $405 |
| Total Adjustments, Sierra Vista Facility | – | – | – | – | – | –$1 |
| SONOMA DEVELOPMENTAL CENTER | | | | | | |
| Workload and Administrative Adjustments: | | | | | | |
| Population Adjustments: | | | | | | |
| Level of Care Adjustments: | | | | | | |
| Physician & Surgeon | – | – | –1.0 | 6,447–7,836 | – | –86 |
| Psychology | – | – | –1.0 | 4,284–5,623 | – | –59 |
| Teacher | – | – | –2.0 | 3,337–5,108 | – | –101 |
| Nursing | – | – | –38.0 | 3,346–4,035 | – | –2,022 |
| Psych Soc Worker | – | – | –1.0 | 3,163–3,942 | – | –43 |
| Rehab Therapy | – | – | –1.0 | 2,823–3,599 | – | –39 |
| Non Level of Care Adjustments: | | | | | | |
| Prog Director | – | – | –1.0 | 5,413–5,966 | – | –68 |
| Nurse Practitioner | – | – | –1.0 | 4,555–5,488 | – | –60 |
| Pharmacist I | – | – | –1.0 | 4,818–5,474 | – | –62 |
| Prog Asst | – | – | –1.0 | 4,475–5,401 | – | –59 |
| Nursing Coord | – | – | –1.0 | 4,353–5,252 | – | –58 |
| Hlth Svcs Spec | – | – | –5.0 | 3,779–4,555 | – | –274 |
| Registered Nurse (MDSC) | – | – | –2.0 | 3,710–4,458 | – | –116 |
| Clinical Dietitian | – | – | –1.0 | 2,954–3,677 | – | –40 |
| Hlth Recds Techn | – | – | –1.0 | 2,348–2,855 | – | –31 |
| Acctg Techn | – | – | –1.0 | 2,348–2,855 | – | –31 |
| Ofc Asst-Typing | – | – | –2.0 | 1,908–2,319 | – | –51 |
| Totals, Workload and Administrative Adjustments | – | – | –61.0 | – | – | –$3,200 |

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

| Proposed New Positions: | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Population Adjustments: | | | | | | |
| Level of Care Adjustments: | | | | Salary Range | | |
| Temporary Help | – | – | – | – | – | $186 |
| Non Level of Care Adjustments: | | | | | | |
| Unit Supvr | – | – | 1.0 | $4,025–4,846 | – | 58 |
| Sr Psych Techn | – | – | 4.0 | 3,248–3,645 | – | 185 |
| Psych Techn | – | – | 1.0 | 2,723–3,286 | – | 44 |
| Food Svc Supvr I | – | – | 2.0 | 2,199–2,674 | – | 58 |
| Food Svc Worker I | – | – | 6.0 | 1,809–2,199 | – | 144 |
| Totals, Proposed New Positions | – | – | 14.0 | – | – | $675 |
| Total Adjustments, Sonoma Developmental Center | – | – | −47.0 | – | – | −$2,525 |
| Developmental Centers, Workload and Administrative Adjustments | – | – | −235.3 | – | – | −$10,720 |
| Developmental Centers, Proposed New Positions | – | – | 84.5 | – | – | $3,812 |
| Developmental Centers, Total Adjustments | – | – | −150.8 | – | $615 | −$3,532 |
| TOTALS, DEVELOPMENTAL CENTERS | 7,995.0 | 8,861.8 | 8,735.1 | $381,187 | $382,877 | $387,219 |
| SYSTEMWIDE | | | | | | |
| Totals, Authorized Positions (Headquarters and Developmental Centers) | 8,358.6 | 9,297.6 | 9,301.7 | $350,561 | $405,319 | $413,242 |
| Salary adjustments | – | – | – | – | 735 | 3,649 |
| Systemwide, Workload and Administrative Adjustments | – | – | −236.3 | – | – | −10,752 |
| Systemwide, Proposed New Positions | – | – | 104.5 | – | – | 4,812 |
| Systemwide, Total Adjustments | – | – | −131.8 | – | $735 | −$2,291 |
| TOTALS, SYSTEMWIDE | 8,358.6 | 9,297.6 | 9,169.9 | $350,561 | $406,054 | $410,951 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **55 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| **55.15 AGNEWS DEVELOPMENTAL CENTER** | | | |
| 55.15.130 Agnews Fire and Life Safety Upgrade, Bldg 54 | $117 Pg | – | $5,367 WCg |
| **55.50 PORTERVILLE DEVELOPMENTAL CENTER** | | | |
| 55.50.320 Porterville: Perimeter Security | 973 Cg | – | – |
| 55.50.330 Porterville: Air Condition Main Kitchen | – | $1,082 WCg | – |
| 55.50.350 Porterville Security Improvements, Phase II | 320 Wg | 4,307 Cg | – |
| 55.50.360 Porterville Security Improvements, Phase III | 126 Pg | 1,488 WCg | – |
| Totals, Major Projects | $1,536 | $6,877 | $5,367 |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $1,536 | $6,877 | $5,367 |
| *0001 General Fund* | *1,536* | *6,877* | *5,367* |

## RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $8,288 | – | $5,367 |
| Prior year balances available: | | | |
| Item 4300-301-0001, Budget Act of 1998 as reappropriated by Item 4300-491, Budget Act of 1999 and 2000 | 2,469 | $2,469 | – |

*** Dollars in thousands, except in Salary Range.**

## 4300 DEPARTMENT OF DEVELOPMENTAL SERVICES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| Item 4300-301-0001, Budget Act of 1999, as reappropriated by Item 4300-491, Budget Act of 2000 | – | $4,408 | – |
| Chapter 928, Statutes of 1997 | $1,191 | – | – |
| Totals Available | $11,948 | $6,877 | $5,367 |
| Balance available in subsequent years | −9,221 | – | – |
| Unexpended balance, estimated savings [1] | −1,191 | – | – |
| TOTALS, EXPENDITURES (Capital Outlay) | $1,536 | $6,877 | $5,367 |

[1] Actual General Fund expenditures for project 55.50.320 totaled $2,133, and estimated savings equalled $31. However, $1,160 in expenditures were included in the Department of Developmental Services' General Fund support budget, due to a coding error.

# 4440 DEPARTMENT OF MENTAL HEALTH

The Department of Mental Health (DMH), administers State and federal statutes pertaining to mental health treatment programs. The DMH is also responsible for the direct operation of Atascadero, Metropolitan, Napa and Patton State Hospitals and the Acute Psychiatric Program at the California Medical Facility at Vacaville.

The Department promotes access to appropriate statewide mental health services for California residents. As the State's mental health authority, the Department invites the participation of numerous persons and organizations such as: the Local Mental Health Advisory Boards; California Mental Health Association; California Alliance for the Mentally Ill; California Network of Mental Health Clients; community mental health providers; Medi-Cal officials; private psychiatrists; primary health care providers; and individuals in informal networks of local support systems.

Chapter 89, Statutes of 1991, established State-Local Realignment which realigned to counties the funding and programmatic responsibility for the majority of local mental health programs, the Institutions for Mental Disease (IMD) program, and the use of Lanterman-Petris-Short (LPS) State Hospital beds. In 1991–92, the counties took full responsibility for the realigned local mental health programs. In addition, the IMD programs were transitioned to the counties beginning July 1, 1992. The DMH will continue to administer the State Hospitals. However, annual contracts are entered into between the Department and the counties for the use of LPS beds at the hospitals.

Under the provisions of State-Local Realignment, and in keeping with the transfer of responsibility and funding for mental health services, counties are responsible for the provision of mental health services to their residents. Services include prevention and control of mental illness through community education and consultation, crisis evaluation and emergency care, 24-hour acute care, 24-hour residential treatment, day care treatment, outpatient care, case management and resocialization. The Department sets overall policy for the delivery of mental health services statewide; executes and oversees performance contracts with county mental health departments; monitors compliance with state and federal statutes; and oversees various state-funded programs and projects consistent with specific departmental objectives.

Within DMH's overall goal of upgrading, balancing and integrating community and State-operated services, the objective of the Long-Term Care Services program is to complement mental health services in the community. Under State-Local Realignment, the Department provides hospital services to civilly committed patients under contract with local mental health departments. Judicially committed patients continue to be treated through state-funded programs.

### Authority

Welfare and Institutions Code, Divisions 4–8, commencing with Section 4000.

| SUMMARY OF PROGRAM REQUIREMENTS | | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|---|
| 10 | Community Services | 142.2 | 153.8 | 146.8 | $945,264 | $1,182,778 | $1,342,703 |
| 20 | Long-Term Care Services | 6,987.7 | 8,366.2 | 8,443.3 | 534,251 | 581,647 | 637,833 |
| 35.01 | Departmental Administration | 154.9 | 149.0 | 142.2 | 13,931 | 16,981 | 18,687 |
| 35.02 | Distributed Departmental Administration | – | – | – | −13,931 | −16,981 | −18,687 |
| 98 | State-Mandated Local Programs | – | – | – | 65,269 | 57,411 | 51,754 |
| TOTALS, PROGRAMS | | 7,284.8 | 8,669.0 | 8,732.3 | $1,544,784 | $1,821,836 | $2,032,290 |
| *0001* | *General Fund* [1] | | | | *700,689* | *877,754* | *953,204* |
| *0214* | *Restitution Fund* | | | | *–* | *734* | *735* |
| *0311* | *Traumatic Brain Injury Fund* | | | | *500* | *1,095* | *1,095* |
| *0814* | *California State Lottery Education Fund* | | | | *389* | *464* | *464* |
| *0890* | *Federal Trust Fund* | | | | *38,424* | *50,175* | *50,054* |
| *0995* | *Reimbursements* | | | | *804,782* | *891,614* | *1,026,738* |

## 10 COMMUNITY SERVICES

### Program Objectives Statement

The Community Services Program assists counties in providing appropriate mental health treatment and support services. In addition to ensuring compliance with State and federal statutes, this program directly oversees state-funded community projects consistent with departmental priorities. The objective of the Community Services Program is to provide mental health clients with a network of treatment and supportive services in a local setting that will help them return to community participation as fully and quickly as possible.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

### Major Budget Adjustments Included in 2000–01

- $9.3 million ($4.5 million General Fund) to provide the same rate increases to Managed Care Mental Health Plans for services provided by psychiatrists and psychologists as provided to Medi-Cal fee-for-service providers in the 2000 Budget Act.
- $8.3 million (reimbursements) to increase Medi-Cal services to children under the Early and Periodic Screening, Diagnosis and Treatment Program.
- $2.2 million ($53,000 General Fund) decrease to reflect caseload adjustments in the Healthy Families Program.
- $1.5 million (reimbursements) from the California Children and Families Commission to provide Infant Mental Health services.

### Major Budget Adjustments Proposed for 2001–02

- $125.6 million (reimbursements) to increase services under EPSDT.
- $30.5 million ($24.7 million General Fund) to reflect adjustments in caseload and the same provider rate increases for psychiatrists and psychologists in the Mental Health Managed Care Program as provided to Medi-Cal fee-for-service providers.
- $12 million (reimbursements) to provide Therapeutic Behavioral Services (TBS) as a Medi-Cal benefit to Seriously Emotionally Disturbed children, including $156,000 for audits of county TBS placements.
- $3.1 million ($631,000 General Fund) to reflect caseload growth in the Healthy Families Program.
- $2 million (reimbursements) to reflect increased pharmacy costs for the San Mateo Pharmacy and Laboratory Services Field Test.
- $1.8 million (reimbursements) from the California Children and Families Commission to provide Infant Mental Health services.
- $1 million (General Fund) for the first year of a three-year pilot project to test community-based alternatives to long-term placement in Institutions for Mental Disease.

### Authority

Welfare and Institutions Code, Divisions 4–8, commencing with Section 4000.

[1] Some of the amounts included as General Fund are for the purposes of meeting the minimum funding guarantee for educational programs pursuant to Section 8 of Article XVI of the California Constitution. Specific appropriations are identified in the following Reconciliation(s) With Appropriations.

## 20 LONG-TERM CARE SERVICES

### Program Objectives Statement

The Long-Term Care Services Program assures the provision of quality inpatient treatment services for mentally disabled Lanterman-Petris-Short (LPS) patients, under contract with local mental health departments, judicially committed patients, mentally disordered offenders and inmates transferred from the Department of Corrections. The basic goal of the program is restoration of the individual's optimal level of functioning to allow reintegration into the community.

With the exception of medical-surgical services, a full range of programs for mentally disabled adults are provided at Atascadero, Metropolitan, Napa and Patton State Hospitals. Programs for mentally disabled children and adolescents are provided only at Metropolitan State Hospital. In addition, the Department operates an acute psychiatric program for Department of Corrections inmates at the California Medical Facility at Vacaville.

The state hospital population (excluding the California Medical Facility at Vacaville) is projected to be 4,421 on June 27, 2001 and is expected to increase to 4,593 by June 26, 2002.

### Major Budget Adjustments Proposed for 2001–02

- $7.1 million ($12.3 million General Fund) and 107.9 positions (102.5 personnel years) in the state hospitals to reflect half-year funding for 172 new Judicially Committed patients and a change in the mix of clients between hospitals, including the final transfer of the developmentally disabled forensics population from Napa State Hospital. The change in hospital population will reduce reimbursements by $5.2 million.
- $20 million (General Fund), on a one-time basis, for special repair projects in the four state hospitals, as well as to complete Americans with Disabilities Act modifications at Metropolitan State Hospital.
- $7.6 million (General Fund), on a one-time basis, to upgrade and install additional personal alarm systems at Atascadero, Metropolitan, and Patton State Hospitals.
- $6.9 million (General Fund), on a one-time basis, to purchase modular buildings to allow the Department to convert treatment and recreation space at Atascadero and Patton State Hospitals.
- $5.2 million ($3.8 million General Fund) to reflect increased state hospital operating expenses, including drugs, medical supplies, and contract medical services.
- $2.3 million (reimbursements) and 97 positions (36.6 personnel years) to activate the 64-bed inpatient mental health program at Salinas Valley State Prison.
- $1.1 million (General Fund) for the Conditional Release Program, including a caseload increase of 29 patients, additional funding for state hospital liaison visits, and increases in the average annual cost per patient in the Program.
- $650,000 (General Fund) for one-time startup costs for a Psychiatric Technician Training program at West Hills Community College in Coalinga.
- $485,000 (General Fund) to contract for required court recommitment evaluations of Sexually Violent Predators.
- $375,000 (General Fund) and 6 positions (3.3 personnel years) for start-up activities related to the construction of the new state hospital in Coalinga.

### Authority

Welfare and Institutions Code, Divisions 4–8, commencing with Section 4000.

The State Hospital In-hospital Population Count chart below displays past and projected population levels for hospital clients (excluding those on leave).

The chart is vertically divided by fiscal year into two parts: ''Last Wednesday of Fiscal Year'' and ''Average (Two Year Average)''. Both components display ''observed'' columns which are composed of actual client count and ''estimated'' columns which are population projections upon which the budget is predicated.

Horizontally, the chart is divided by hospital into three categories: LPS, Penal Code and ''other clients''.

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

### State Hospital In-Hospital Population Count

| State Hospital | Last Wednesday of Fiscal Year | | | | | Average (Two Year Average) | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | *Observed* | *Observed* | *Observed* | *Estimated* | *Estimated* | *Observed* | *Observed* | *Observed* | *Estimated* | *Estimated* |
| | *6-24-98* | *6-30-99* | *6-28-00* | *6-27-01* | *6-26-02* | *97–98* | *98–99* | *99–00* | *00–01* | *01–02* |
| Atascadero | | | | | | | | | | |
| LPS | 3 | 1 | 2 | – | – | 3 | 2 | 2 | 1 | – |
| PC [1] | 556 | 562 | 503 | 495 | 623 | 556 | 559 | 533 | 499 | 559 |
| Other [2] | 418 | 451 | 524 | 617 | 616 | 418 | 435 | 488 | 571 | 617 |
| Total | 977 | 1,014 | 1,029 | 1,112 | 1,239 | 977 | 996 | 1,023 | 1,071 | 1,176 |
| Metropolitan | | | | | | | | | | |
| LPS | 628 | 600 | 574 | 600 | 563 | 628 | 614 | 587 | 587 | 582 |
| PC [1] | 143 | 274 | 305 | 320 | 368 | 143 | 209 | 290 | 313 | 344 |
| Other [2] | 8 | 8 | 8 | 8 | 8 | 8 | 8 | 8 | 8 | 8 |
| Total | 779 | 882 | 887 | 928 | 939 | 779 | 831 | 885 | 908 | 934 |
| Napa | | | | | | | | | | |
| LPS | 227 | 191 | 198 | 225 | 238 | 227 | 209 | 195 | 212 | 232 |
| PC [1] | 428 | 585 | 719 | 833 | 854 | 428 | 507 | 652 | 776 | 844 |
| Other [2] | 135 | 35 | 103 | 42 | 42 | 135 | 85 | 69 | 73 | 42 |
| Total | 790 | 811 | 1,020 | 1,100 | 1,134 | 790 | 801 | 916 | 1,061 | 1,118 |
| Patton | | | | | | | | | | |
| LPS | 71 | 86 | 90 | 25 | 49 | 71 | 79 | 88 | 58 | 37 |
| PC [1] | 1,053 | 1,024 | 1,096 | 1,163 | 1,138 | 1,053 | 1,039 | 1,060 | 1,130 | 1,151 |
| Other [2] | 97 | 93 | 105 | 93 | 94 | 97 | 95 | 99 | 99 | 94 |
| Total | 1,221 | 1,203 | 1,291 | 1,281 | 1,281 | 1,221 | 1,213 | 1,247 | 1,287 | 1,282 |
| Vacaville | | | | | | | | | | |
| LPS | – | – | – | – | – | – | – | – | – | – |
| PC [1] | – | 2 | – | – | – | – | 1 | 1 | – | – |
| Other [2] | 185 | 172 | 191 | 234 | 234 | 185 | 179 | 182 | 213 | 234 |
| Total | 185 | 174 | 191 | 234 | 234 | 185 | 180 | 183 | 213 | 234 |
| Totals | | | | | | | | | | |
| LPS | 929 | 878 | 864 | 850 | 850 | 929 | 904 | 871 | 857 | 850 |
| PC [1] | 2,180 | 2,447 | 2,623 | 2,811 | 2,983 | 2,180 | 2,314 | 2,535 | 2,717 | 2,897 |
| Other [2] | 843 | 759 | 931 | 994 | 994 | 843 | 801 | 845 | 963 | 994 |
| Total | 3,952 | 4,084 | 4,418 | 4,655 | 4,827 | 3,952 | 4,019 | 4,251 | 4,537 | 4,741 |

[1] Includes NGI, IST and MDO patients.
[2] Includes PC 2684/PC 2974, YA, DD-Forensic, Other PC and SVP patients.

## 35 DEPARTMENTAL ADMINISTRATION

A total of 142.2 personnel years and $18.7 million are proposed for 2001–02 to perform administrative functions for the Department. The cost of these functions are allocated to the Community Services Program $12.8 million and the Long-Term Care Program $5.9 million.

### Major Budget Adjustment Proposed for 2001–02

- $2.4 million ($1.2 million General Fund) and 9 positions (8.5 personnel years) to implement the federal Health Insurance Portability and Accountability Act.

## 98 STATE-MANDATED LOCAL PROGRAMS

### Program Objectives Statement

The objective of this program is to provide funding, pursuant to Section 6 of Article XIIB of the California Constitution, to reimburse local entities for costs they incur in complying with certain state-mandated local programs. Funding for five ongoing mandates is proposed for inclusion in the Budget Act.

### Major Budget Adjustment Included in 2000–01

- $13.3 million (General Fund) for State-reimbursable mandated mental health services.

### Major Budget Adjustment Proposed for 2001–02

- $6 million (General Fund) for mandated services to handicapped students.

---

## PROGRAM BUDGET DETAIL

## PROGRAM REQUIREMENTS

## 10 COMMUNITY SERVICES

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$15,199* | *$18,592* | *$19,135* |
| *0214 Restitution Fund* | – | *734* | *735* |
| *0311 Traumatic Brain Injury Fund* | – | *76* | *76* |

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0890 Federal Trust Fund* | *$1,968* | *$2,061* | *$1,940* |
| *0995 Reimbursements* | *9,251* | *10,423* | *11,825* |
| Totals, State Operations | $26,418 | $31,886 | $33,711 |
| Local Assistance: | | | |
| *0001 General Fund* | *256,812* | *370,891* | *392,382* |
| *0311 Traumatic Brain Injury Fund* | *500* | *1,019* | *1,019* |
| *0890 Federal Trust Fund* | *36,456* | *48,114* | *48,114* |
| *0995 Reimbursements* | *625,078* | *730,868* | *867,477* |
| Totals, Local Assistance | $918,846 | $1,150,892 | $1,308,992 |
| 10.25 Community Services-Other Treatment | 867,286 | 1,083,399 | 1,237,964 |
| State Operations: | | | |
| *0001 General Fund* | *15,199* | *18,592* | *19,135* |
| *0214 Restitution Fund* | *–* | *734* | *735* |
| *0311 Traumatic Brain Injury Fund* | *–* | *76* | *76* |
| *0890 Federal Trust Fund* | *1,968* | *2,061* | *1,940* |
| *0995 Reimbursements* | *9,251* | *10,423* | *11,825* |
| Local Assistance: | | | |
| *0001 General Fund* | *184,422* | *280,103* | *300,910* |
| *0890 Federal Trust Fund* | *33,474* | *44,264* | *44,264* |
| *0995 Reimbursements* | *622,972* | *727,146* | *859,079* |
| 10.35 Early Mental Health Initiative Program | 15,000 | 15,000 | 15,000 |
| Local Assistance: | | | |
| *0001 General Fund* | *15,000* | *15,000* | *15,000* |
| 10.40 Adult System of Care Pilots | 7,522 | 7,772 | 7,772 |
| Local Assistance: | | | |
| *0001 General Fund* | *7,522* | *7,772* | *7,772* |
| 10.47 Children's Mental Health Services | 26,354 | 41,854 | 41,854 |
| Local Assistance: | | | |
| *0001 General Fund* | *26,354* | *41,854* | *41,854* |
| 10.75 Homeless Mentally Disabled | 2.982 | 3,850 | 3,850 |
| Local Assistance: | | | |
| *0890 Federal Trust Fund* | *2,982* | *3,850* | *3,850* |
| 10.77 Brain Damaged Adults | 9,680 | 12,247 | 12,247 |
| Local Assistance: | | | |
| *0001 General Fund* | *9,680* | *12,247* | *12,247* |
| 10.80 Assessment, Treatment, and Case Management of Special Education Pupils | 12,334 | 12,334 | 12,334 |
| Local Assistance: | | | |
| *0001 General Fund* | *12,334* | *12,334* | *12,334* |
| 10.85 AIDS | 1,500 | 1,500 | 1,500 |
| Local Assistance: | | | |
| *0001 General Fund* | *1,500* | *1,500* | *1,500* |
| 10.87 Traumatic Brain Injury Project | 840 | 1,359 | 1,361 |
| Local Assistance: | | | |
| *0311 Traumatic Brain Injury Fund* | *500* | *1,019* | *1,019* |
| *0995 Reimbursements* | *340* | *340* | *342* |
| 10.97 Healthy Families | 1,766 | 3,463 | 8,821 |
| Local Assistance: | | | |
| *0001 General Fund* | *–* | *81* | *765* |
| *0995 Reimbursements* | *1,766* | *3,382* | *8,056* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 LONG-TERM CARE SERVICES** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$363,409* | *$430,860* | *$489,933* |
| *0814 California State Lottery Education Fund* | *389* | *464* | *464* |
| *0995 Reimbursements* | *170,453* | *150,323* | *147,436* |
| Totals, State Operations | $534,251 | $581,647 | $637,833 |
| NET TOTALS, STATE OPERATIONS | $534,251 | $581,647 | $637,833 |
| 20.10 Lanterman-Petris-Short | 113,358 | 110,241 | 109,332 |
| State Operations: | | | |
| *0001 General Fund* | *5,743* | *5,562* | *5,611* |
| *0814 California State Lottery Education Fund* | *389* | *464* | *464* |
| *0995 Reimbursements* | *107,226* | *104,215* | *103,257* |
| 20.20 Penal Code and Judicially Committed | 338,689 | 407,101 | 464,753 |
| State Operations: | | | |
| *0001 General Fund* | *338,689* | *407,101* | *464,753* |
| 20.30 Other Long-Term Care Services | 66,499 | 47,057 | 45,121 |
| State Operations: | | | |
| *0001 General Fund* | *3,272* | *949* | *942* |
| *0995 Reimbursements* | *63,227* | *46,108* | *44,179* |

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 20.70 Conditional Release Program | $15,705 | $17,248 | $18,627 |
| State Operations: | | | |
| *0001 General Fund* | *15,705* | *17,248* | *18,627* |
| **PROGRAM REQUIREMENTS** | | | |
| **98 STATE-MANDATED LOCAL PROGRAMS** | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *$65,269* | *$57,411* | *$51,754* |
| *Ch. 498/77—Coroners' Responsibilities* | *74* | *107* | *110* |
| *Ch. 1036/78—MDO Recommitments* | *86* | *293* | *195* |
| *Ch. 1114/79—Not Guilty by Reason of Insanity* | *11,438* | *2,079* | *308* |
| *Ch. 1747/84—Services to Handicapped Students* | *38,986* | *47,218* | *46,944* |
| *Ch. 762–763/95—Sexually Violent Predator* | *14,685* | *7,714* | *4,197* |
| Totals, Local Assistance | $65,269 | $57,411 | $51,754 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $560,669 | $613,533 | $671,544 |
| Local Assistance | 984,115 | 1,208,303 | 1,360,746 |
| TOTALS, EXPENDITURES | $1,544,784 | $1,821,836 | $2,032,290 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS
#### Headquarters

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 346.2 | 405.4 | 405.4 | $18,729 | $22,464 | $22,910 |
| Total Adjustments | – | – | –0.3 | – | 39 | 172 |
| Estimated Salary Savings | – | –55.1 | –65.6 | – | –2,341 | –2,226 |
| Net Totals, Salaries and Wages | 346.2 | 350.3 | 339.5 | $18,729 | $20,162 | $20,856 |
| Staff Benefits | – | – | – | 3,214 | 3,027 | 3,051 |
| Totals, Personal Services | 346.2 | 350.3 | 339.5 | $21,943 | $23,189 | $23,907 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $30,900 | $37,324 | $41,192 |
| TOTALS, EXPENDITURES (Headquarters) | 346.2 | 350.3 | 339.5 | $52,843 | $60,513 | $65,099 |

#### State Hospitals

| | | | | | | |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 6,938.6 | 8,529.8 | 8,666.0 | $342,887 | $393,947 | $407,937 |
| Total Adjustments | – | – | 139.7 | – | 152 | 10,116 |
| Estimated Salary Savings | – | –211.1 | –412.9 | – | –10,961 | –18,638 |
| Net Totals, Salaries and Wages | 6,938.6 | 8,318.7 | 8,392.8 | $342,887 | $383,138 | $399,415 |
| Staff Benefits | – | – | – | 69,583 | 81,646 | 85,028 |
| Totals, Personal Services | 6,938.6 | 8,318.7 | 8,392.8 | $412,470 | $464,784 | $484,443 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $95,356 | $88,236 | $117,776 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Lease Payment | | | | – | – | 4,220 |
| Bond Insurance | | | | – | – | 6 |
| TOTALS, EXPENDITURES (State Hospitals) | 6,938.6 | 8,318.7 | 8,392.8 | $507,826 | $553,020 | $606,445 |
| TOTALS, EXPENDITURES (Headquarters and State Hospitals) | 7,284.8 | 8,669.0 | 8,732.3 | $560,669 | $613,533 | $671,544 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
#### 0001 General Fund, Proposition 98

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 012 Budget Act appropriation (State Hospitals) (Proposition 98) | $3,400 | $3,400 | $3,400 |
| TOTALS, EXPENDITURES, PROPOSITION 98 | $3,400 | $3,400 | $3,400 |

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

### 0001 General Fund, Non-Proposition 98

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (Headquarters) | $25,316 | $29,925 | $31,851 |
| 003 Budget Act appropriation (Lease payments and insurance) | – | – | 4,226 |
| 011 Budget Act appropriation (State Hospitals) | 323,084 | 400,066 | 450,669 |
| 016 Budget Act appropriation (Conditional Release Program) | 16,283 | 17,248 | 18,627 |
| Welfare and Institutions Code Section 4094 | 45 | 45 | 45 |
| Welfare and Institutions Code Section 4112(b) | 250 | 250 | 250 |
| Headquarters: | | | |
| Allocation for employee compensation | 1,554 | 111 | – |
| Allocation for contingencies or emergencies | 377 | – | – |
| Adjustment per Section 3.60 | −1,082 | −170 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 80 | – |
| Transfer from Item 4440-101-0001 per Chapter 617, Statutes of 1999 | 500 | – | – |
| Transfer to Legislative Claims (9670) | −3 | −19 | – |
| State Hospitals: | | | |
| Allocation for employee compensation | 21,146 | 404 | – |
| Adjustment per Section 3.60 | −10,504 | −1,882 | – |
| Transfer to Legislative Claims (9670) | −4 | −6 | – |
| Totals Available | $376,962 | $446,052 | $505,668 |
| Unexpended balance, estimated savings (Headquarters) | −788 | – | – |
| Unexpended balance, estimated savings (State Hospitals) | −388 | – | – |
| Unexpended balance, estimated savings (Conditional Release Program) | −578 | – | – |
| TOTALS, EXPENDITURES, NON-PROPOSITION 98 | $375,208 | $446,052 | $505,668 |
| TOTALS, EXPENDITURES, GENERAL FUND | $378,608 | $449,452 | $509,068 |

### 0214 Restitution Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (Headquarters) | – | $739 | $735 |
| Adjustment per Section 3.60 | – | −5 | – |
| TOTALS, EXPENDITURES | – | $734 | $735 |

### 0311 Traumatic Brain Injury Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (Headquarters) | – | $77 | $76 |
| Adjustment per Section 3.60 | – | −1 | – |
| TOTALS, EXPENDITURES | – | $76 | $76 |

### 0814 California State Lottery Education Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation (State Hospitals) | $422 | $389 | $464 |
| Revised expenditure authority per Provision 1 | – | 75 | – |
| Unexpended balance, estimated savings | −33 | – | – |
| TOTALS, EXPENDITURES | $389 | $464 | $464 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (Headquarters) | $2,000 | $2,039 | $1,940 |
| Allocation for employee compensation | 31 | 4 | – |
| Adjustment per Section 3.60 | −22 | −11 | – |
| Budget adjustment | −41 | 29 | – |
| TOTALS, EXPENDITURES | $1,968 | $2,061 | $1,940 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $179,704 | $160,746 | $159,261 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $560,669 | $613,533 | $671,544 |

---

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and Subventions | $984,115 | $1,208,303 | $1,360,746 |
| Community Services—Other Treatment | 840,868 | 1,051,513 | 1,204,253 |
| Early Mental Health Initiative Program | 15,000 | 15,000 | 15,000 |

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Adult System of Care Pilots | $7,522 | $7,772 | $7,772 |
| Children's Mental Health Services | 26,354 | 41,854 | 41,854 |
| Homeless Mentally Disabled | 2,982 | 3,850 | 3,850 |
| Brain Damaged Adults | 9,680 | 12,247 | 12,247 |
| Assessment, Treatment and Case Management of Special Education Pupils | 12,334 | 12,334 | 12,334 |
| AIDS | 1,500 | 1,500 | 1,500 |
| Traumatic Brain Injury Project | 840 | 1,359 | 1,361 |
| Healthy Families | 1,766 | 3,463 | 8,821 |
| State Mandates Claims Fund | 65,269 | 57,411 | 51,754 |
| TOTALS, EXPENDITURES | $984,115 | $1,208,303 | $1,360,746 |

---

## RECONCILIATION WITH APPROPRIATIONS
## 2 LOCAL ASSISTANCE
### 0001 General Fund, Proposition 98

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 102 Budget Act appropriation (Early Mental Health Initiative) | $15,000 | $15,000 | $15,000 |
| TOTALS, EXPENDITURES, PROPOSITION 98 | $15,000 | $15,000 | $15,000 |

### 0001 General Fund, Non-Proposition 98

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (Mental Health Services) | $51,356 | $144,960 | $146,221 |
| 103 Budget Act appropriation (Mental Health Managed Care) | 169,202 | 181,865 | 206,580 |
| 111 Budget Act appropriation (Brain Damaged Adults) | 9,247 | 12,247 | 12,247 |
| 131 Budget Act appropriation (Special Education Pupils) | 12,334 | 12,334 | 12,334 |
| 295 Budget Act appropriation (State Mandates) | 39,181 | 44,149 | 51,754 |
| Adjustment per Government Code Section 17613 | −130 | – | – |
| Allocation for contingencies or emergencies (Mental Health Managed Care) | – | 4,538 | – |
| Transfer to Item 4440-001-0001 per Chapter 617, Statutes of 1999 (Mental Health Services) | −500 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 13,261 | – |
| Chapter 780, Statutes of 1998 (State Mandates) | 11,298 | – | – |
| Adjustment Per Government Code Section 17613 | −151 | – | – |
| Chapter 574, Statutes of 1999 (State Mandates) | 14,685 | – | – |
| Prior year balances available: | | | |
| Item 4440-111-0001, Budget Act of 1998 as partially reappropriated by Item 4440-490, Budget Act of 1999 (Brain Damaged Adults) | 433 | – | – |
| Chapter 748, Statutes of 1996 (State Mandates) | 77 | – | – |
| Chapter 306, Statutes of 1997 (State Mandates) | 387 | 1 | – |
| Totals Available | $307,419 | $413,355 | $429,136 |
| Balance available in subsequent year (State Mandates) | −1 | – | – |
| Unexpended balance, estimated savings (Mental Health Services) | −254 | – | – |
| Unexpended balance, estimated savings (Healthy Families) | – | −53 | – |
| Unexpended balance, estimated savings (Managed Care) | −5 | – | – |
| Unexpended balance, estimated savings (State Mandates) | −78 | – | – |
| TOTALS, EXPENDITURES, NON-PROP 98 | $307,081 | $413,302 | $429,136 |
| TOTALS, GENERAL FUND EXPENDITURES | $322,081 | $428,302 | $444,136 |

### 0311 Traumatic Brain Injury Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $500 | $1,019 | $1,019 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $36,231 | $40,214 | $48,114 |
| Budget adjustments | 225 | 7,900 | – |
| TOTALS, EXPENDITURES | $36,456 | $48,114 | $48,114 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $625,078 | $730,868 | $867,477 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $984,115 | $1,208,303 | $1,360,746 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $1,544,784 | $1,821,836 | $2,032,290 |

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

### FUND CONDITION STATEMENT
### 0311 Traumatic Brain Injury Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $202 | $701 | $750 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 130700 Penalties on traffic violations | 999 | 1,144 | 1,197 |
| Totals, Resources | $1,201 | $1,845 | $1,947 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 4440 Department of Mental Health: | | | |
| State Operations (Headquarters) | – | 76 | 76 |
| Local Assistance | 500 | 1,019 | 1,019 |
| Totals, Expenditures | $500 | $1,095 | $1,095 |
| FUND BALANCE | $701 | $750 | $852 |
| Reserve for economic uncertainties | 701 | 750 | 852 |

### CHANGES IN AUTHORIZED POSITIONS

| Headquarters | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 346.2 | 405.4 | 405.4 | $18,729 | $22,464 | $22,910 |
| Salary adjustments | – | – | – | – | 39 | 26 |
| Totals, Adjusted Authorized Positions | 346.2 | 405.4 | 405.4 | $18,729 | $22,503 | $22,936 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Abolished: | | | | | | |
| PROGRAM COMPLIANCE: | | | | | | |
| Licensing & Certification: | | | | Salary Range | | |
| Assoc Mental Hlth Spec | – | – | –1.0 | 3,915–4,759 | – | –57 |
| Audits: | | | | | | |
| Ofc Asst-Typing | – | – | –1.0 | 1,908–2,465 | – | –25 |
| SYSTEMS OF CARE: | | | | | | |
| SAMHSA Systems of Care: | | | | | | |
| Ofc Asst-Typing | – | – | –1.0 | 1,908–2,465 | – | –28 |
| Crime Victims Initiative: | | | | | | |
| Assoc Mental Hlth Spec | – | – | –1.0 | 3,915–4,759 | – | –47 |
| LONG TERM CARE SERVICES: | | | | | | |
| Division Office Long Term Care Services: | | | | | | |
| Ofc Techn-Typing | – | – | –1.0 | 2,348–2,855 | – | –34 |
| Sex Offender Commitment Program: | | | | | | |
| Research Analyst II-(S/B) | – | – | –1.0 | 4,110–4,997 | – | –52 |
| ADMINISTRATIVE SERVICES: | | | | | | |
| Division Office Administrative Services: | | | | | | |
| Temporary Help | – | – | –0.3 | – | – | –17 |
| Accounting: | | | | | | |
| Acctg Techn | – | – | –1.0 | 2,348–2,855 | – | –34 |
| Cost Reporting/Data Collection: | | | | | | |
| Mental Hlth Prog Supvr | – | – | –1.0 | 4,963–5,987 | – | –72 |
| Information Technology: | | | | | | |
| Info Sys Techn | – | – | –1.0 | 2,207–3,168 | – | –28 |
| Totals, Positions Abolished | – | – | –9.3 | – | – | –$394 |
| Proposed New Positions: | | | | | | |
| PROGRAM COMPLIANCE: | | | | | | |
| Division Office Program Compliance: | | | | | | |
| Staff Mental Hlth Spec | – | – | 1.0 | 4,301–5,228 | – | 57 |
| SYSTEMS OF CARE: | | | | | | |
| Division Office Systems of Care: | | | | | | |
| Staff Mental Hlth Spec | – | – | 1.0 | 4,301–5,228 | – | 57 |
| LONG TERM CARE SERVICES: | | | | | | |
| Division Office Long Term Care Services: | | | | | | |
| Staff Mental Hlth Spec | – | – | 1.0 | 4,301–5,228 | – | 57 |

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

| ADMINISTRATIVE SERVICES: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Division Office Administrative Services: | | | | Salary Range | | |
| Staff Svcs Mgr II | – | – | 1.0 | $5,493–6,058 | – | $73 |
| Staff Mental Hlth Spec | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Information Technology: | | | | | | |
| Staff Programmer Analyst-Spec | – | – | 4.0 | 4,301–5,228 | – | 239 |
| Totals, Proposed New Positions | – | – | 9.0 | – | – | $540 |
| Totals, Workload and Administrative Adjustments | – | – | –0.3 | – | $39 | $172 |
| TOTALS, SALARIES AND WAGES (Headquarters) | 346.2 | 405.4 | 405.1 | $18,729 | $22,503 | $23,082 |
| **State Hospitals** | | | | | | |
| Totals, Authorized Positions | 6,938.6 | 8,529.8 | 8,666.0 | $342,887 | $393,947 | $407,937 |
| Salary adjustments | – | – | – | – | 152 | 2,671 |
| Totals, Adjusted Authorized Positions | 6,938.6 | 8,529.8 | 8,666.0 | $342,887 | $394,099 | $410,608 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Abolished: | | | | | | |
| ATASCADERO STATE HOSPITAL: | | | | | | |
| Ofc Techn-Typing | – | – | –1.0 | 2,348–2,855 | – | –29 |
| Ofc Asst-Gen | – | – | –1.0 | 1,846–2,242 | – | –22 |
| METROPOLITAN STATE HOSPITAL: | | | | | | |
| Food Svc Worker I | – | – | –2.0 | 1,837–2,231 | – | –44 |
| NAPA STATE HOSPITAL: | | | | | | |
| Cook II | – | – | –1.0 | 2,367–2,877 | – | –29 |
| Ofc Asst-Gen | – | – | –0.5 | 1,908–2,319 | – | –12 |
| Janitor | – | – | –1.0 | 1,867–2,269 | – | –22 |
| PATTON STATE HOSPITAL: | | | | | | |
| Hosp Police Ofcr | – | – | –1.0 | 2,928–3,519 | – | –35 |
| Food Svc Worker I | – | – | –1.0 | 1,837–2,231 | – | –22 |
| VACAVILLE PSYCHIATRIC PROGRAM: | | | | | | |
| Janitor | – | – | –1.0 | 1,867–2,269 | – | –22 |
| SALINAS VALLEY PSYCHIATRIC PROGRAM: | | | | | | |
| Janitor | – | – | –1.0 | 1,867–2,269 | – | –22 |
| Totals, Positions Abolished | – | – | –10.5 | – | – | –$259 |
| Proposed New Positions: | | | | | | |
| Population Adjustments: | | | | | | |
| JC/PC Beds (Half-Year): | | | | | | |
| ATASCADERO STATE HOSPITAL: | | | | | | |
| Professional: | | | | | | |
| Staff Psychiatrist | – | – | 5.9 | 8,122–10,649 | – | 866 |
| Psychologist | – | – | 1.9 | 4,284–5,623 | – | 110 |
| Teacher | – | – | 5.1 | 3,338–5,108 | – | 288 |
| Psych Soc Worker | – | – | 4.0 | 3,163–3,942 | – | 173 |
| Rehab Therapist | – | – | 4.1 | 2,891–3,771 | – | 160 |
| Nursing: | | | | | | |
| Registered Nurse | – | – | 21.0 | 3,364–4,784 | – | 1,153 |
| Psych Techn | – | – | 37.3 | 2,617–3,440 | – | 1,402 |
| METROPOLITAN STATE HOSPITAL: | | | | | | |
| Professional: | | | | | | |
| Staff Psychiatrist | – | – | 1.4 | 8,122–10,649 | – | 207 |
| Psychologist | – | – | 0.9 | 4,284–5,623 | – | 54 |
| Psych Soc Worker | – | – | 1.6 | 3,163–3,942 | – | 70 |
| Rehab Therapist | – | – | 1.3 | 2,891–3,771 | – | 51 |
| Nursing: | | | | | | |
| Registered Nurse | – | – | 7.3 | 3,364–4,784 | – | 398 |
| Psych Techn | – | – | 13.4 | 2,617–3,440 | – | 504 |
| NAPA STATE HOSPITAL: | | | | | | |
| Professional: | | | | | | |
| Staff Psychiatrist | – | – | 1.3 | 8,122–10,649 | – | 193 |
| Psychologist | – | – | 0.4 | 4,284–5,623 | – | 24 |
| Psych Soc Worker | – | – | 0.5 | 3,163–3,942 | – | 21 |
| Rehab Therapist | – | – | 0.7 | 2,891–3,771 | – | 27 |
| Nursing: | | | | | | |
| Registered Nurse | – | – | 4.1 | 3,364–4,784 | – | 225 |
| Psych Techn | – | – | 7.4 | 2,617–3,440 | – | 277 |

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| PATTON STATE HOSPITAL: | | | | | | |
| Professional: | | | | Salary Range | | |
| Staff Psychiatrist | – | – | –1.9 | $8,122–10,649 | – | –$281 |
| Psychologist | – | – | –0.2 | 4,284–5,623 | – | –12 |
| Teacher | – | – | –4.7 | 3,338–5,108 | – | –263 |
| Psych Soc Worker | – | – | –0.5 | 3,163–3,942 | – | –21 |
| Rehab Therapist | – | – | –1.8 | 2,891–3,771 | – | –70 |
| Nursing: | | | | | | |
| Registered Nurse | – | – | –4.3 | 3,364–4,784 | – | –236 |
| Psych Techn | – | – | –8.3 | 2,617–3,440 | – | –313 |
| LPS Beds (Full-Year): | | | | | | |
| METROPOLITAN STATE HOSPITAL: | | | | | | |
| Professional: | | | | | | |
| Staff Psychiatrist | – | – | –1.6 | 8,122–10,649 | – | –223 |
| Psychologist | – | – | –1.0 | 4,284–5,623 | – | –58 |
| Psych Soc Worker | – | – | –1.7 | 3,163–3,942 | – | –71 |
| Rehab Therapist | – | – | –1.5 | 2,891–3,771 | – | –57 |
| Nursing: | | | | | | |
| Registered Nurse | – | – | –9.5 | 3,364–4,784 | – | –499 |
| Psych Techn | – | – | –18.4 | 2,617–3,440 | – | –689 |
| NAPA STATE HOSPITAL: | | | | | | |
| Professional: | | | | | | |
| Staff Psychiatrist | – | – | 1.2 | 8,122–10,649 | – | 183 |
| Psychologist | – | – | 0.6 | 4,284–5,623 | – | 35 |
| Teacher | – | – | 0.9 | 3,338–5,108 | – | 50 |
| Psych Soc Worker | – | – | 1.2 | 3,163–3,942 | – | 50 |
| Rehab Therapist | – | – | 0.7 | 2,891–3,771 | – | 27 |
| Nursing: | | | | | | |
| Registered Nurse | – | – | 3.7 | 3,364–4,784 | – | 203 |
| Psych Techn | – | – | 6.8 | 2,617–3,440 | – | 255 |
| PATTON STATE HOSPITAL: | | | | | | |
| Professional: | | | | | | |
| Staff Psychiatrist | – | – | 1.9 | 8,122–10,649 | – | 273 |
| Psychologist | – | – | 0.7 | 4,284–5,623 | – | 41 |
| Psych Soc Worker | – | – | 1.7 | 3,163–3,942 | – | 71 |
| Rehab Therapist | – | – | 1.1 | 2,891–3,771 | – | 42 |
| Nursing: | | | | | | |
| Registered Nurse | – | – | 7.6 | 3,364–4,784 | – | 417 |
| Psych Techn | – | – | 14.0 | 2,617–3,440 | – | 525 |
| SVP Beds (Half-Year): | | | | | | |
| ATASCADERO STATE HOSPITAL: | | | | | | |
| Professional: | | | | | | |
| Staff Psychiatrist | – | – | 1.0 | 8,122–10,649 | – | 140 |
| Psychologist | – | – | –0.3 | 4,284–5,623 | – | –18 |
| Teacher | – | – | –0.2 | 3,338–5,108 | – | –8 |
| Psych Soc Worker | – | – | –0.1 | 3,163–3,942 | – | –2 |
| Rehab Therapist | – | – | 0.3 | 2,891–3,771 | – | 10 |
| Nursing: | | | | | | |
| Registered Nurse | – | – | 0.4 | 3,364–4,784 | – | 22 |
| PATTON STATE HOSPITAL: | | | | | | |
| Professional: | | | | | | |
| Staff Psychiatrist | – | – | 0.1 | 8,122–10,649 | – | 8 |
| Nursing: | | | | | | |
| Registered Nurse | – | – | 0.1 | 3,364–4,784 | – | 8 |
| Psych Techn | – | – | 0.3 | 2,617–3,440 | – | 11 |
| SALINAS VALLEY PSYCHIATRIC PROGRAM: | | | | | | |
| Professional: | | | | | | |
| Sr Psychiatrist-Supvr | – | – | 0.4 | 8,352–10,902 | – | 48 |
| Staff Psychiatrist | – | – | 0.8 | 8,122–10,649 | – | 96 |
| Psychologist | – | – | 0.8 | 4,284–5,623 | – | 47 |
| Psych Soc Worker | – | – | 1.7 | 3,163–3,942 | – | 70 |
| Rehab Therapist | – | – | 1.7 | 2,891–3,771 | – | 64 |
| Nursing: | | | | | | |
| Sr Med Tech Asst | – | – | 2.5 | 4,126–5,012 | – | 136 |
| Supvng Registered Nurse | – | – | 0.8 | 3,984–4,798 | – | 44 |
| Registered Nurse | – | – | 4.6 | 3,364–4,784 | – | 204 |
| Med Tech Asst | – | – | 17.5 | 3,132–4,574 | – | 725 |
| Non-Level of Care: | | | | | | |
| Pharmacist I | – | – | 0.4 | 4,818–5,474 | – | 27 |
| Assoc Info Sys Analyst-Spec | – | – | 0.3 | 4,110–4,997 | – | 13 |
| Trng Ofcr I | – | – | 0.6 | 3,915–4,761 | – | 30 |
| Acctg Ofcr-Spec | – | – | 0.5 | 3,418–4,155 | – | 23 |
| Clinical Dietitian | – | – | 0.3 | 2,954–3,677 | – | 13 |

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Pers Svcs Spec II | – | – | 0.5 | $2,978–3,619 | – | $20 |
| Hlth Recd Techn II-Spec | – | – | 0.4 | 2,626–3,193 | – | 14 |
| Hlth Recd Techn I | – | – | 0.5 | 2,348–2,855 | – | 16 |
| Ofc Techn-Typing | – | – | 0.7 | 2,348–2,855 | – | 21 |
| Acctg Techn | – | – | 0.3 | 2,348–2,855 | – | 8 |
| Pharmacy Techn | – | – | 0.5 | 2,348–2,855 | – | 16 |
| Med Transcriber | – | – | 0.3 | 2,304–2,801 | – | 7 |
| Janitor Supvr I | – | – | 0.4 | 2,004–2,435 | – | 11 |
| Janitor | – | – | 2.3 | 1,867–2,269 | – | 61 |
| SEXUALLY VIOLENT PREDATOR FACILITY STAFF: | | | | | | |
| Exec Director (C.E.A. III) | – | – | 0.8 | 7,648–11,113 | – | 76 |
| Hosp Administrator | – | – | 0.5 | 6,954–7,668 | – | 44 |
| Coord of Nursing Svcs | – | – | 0.5 | 5,359–5,907 | – | 35 |
| Staff Svcs Mgr I | – | – | 0.5 | 4,520–5,453 | – | 30 |
| Procurement & Svcs Ofcr I | – | – | 0.5 | 3,751–4,520 | – | 25 |
| Exec Secty | – | – | 0.7 | 2,688–3,268 | – | 27 |
| Totals, Proposed New Positions | – | – | 150.2 | – | – | $7,704 |
| Total Adjustments | – | – | 139.7 | – | $152 | $10,116 |
| TOTALS, SALARIES AND WAGES (State Hospitals) | 6,938.6 | 8,529.8 | 8,805.7 | $342,887 | $394,099 | $418,053 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **55 CAPITAL OUTLAY** | | | |

The Department of Mental Health is committed to upgrading all patient living areas to meet fire, life safety, and environmental standards. This, in addition to programmatic improvements, enables the Department to meet the major objective of accreditation of all state hospitals. The Department of Mental Health is also focused on addressing the housing requirements of a growing population and a rapidly changing patient mix.

### Major Budget Adjustments Proposed for 2001–02

- $349,287,000 for construction of the new Sexually Violent Predator facility.
- $642,000 for the preliminary plans for remodeling Building 194, S Units at Napa State Hospital.
- $686,000 for the preliminary plans and working drawing for remodeling Building 206/208 at Metropolitan State Hospital.
- $480,000 for the preliminary plans for a Multi-Purpose Building at Atascadero State Hospital.
- $95,000 for the preliminary plans and working drawings for remodeling the Admissions Suite at Patton State Hospital.
- $676,000 for minor projects.

### PROGRAM ELEMENTS

### Major Projects

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **55.18 ATASCADERO STATE HOSPITAL** | | | |
| 55.18.235 Construct Multipurpose Building [B] | – | – | $480 [Pg] |
| 55.18.245 New 250 Bed Hospital Addition | – | $1,248 [ECgn] | – |
| 55.18.255 Sexually Violent Predator Facility | $4,750 [Pgn] | 18,341 [PWCgn] | 349,287 [Cn] |
| 55.18.260 Improve Perimeter and Roofline Security | 158 [PWCg] | 744 [Cg] | – |
| **55.35 METROPOLITAN STATE HOSPITAL** | | | |
| 55.35.290 Remodel Building 206/208 for School Function [B] | – | 79 [Sg] | 686 [PWg] |
| 55.35.920 Replace R&T and Administration Building | 17,421 [Cgt] | 533 [Eg] | – |
| **55.40 NAPA STATE HOSPITAL** | | | |
| 55.40.275 Remodel Building 194, S Units [B] | – | 150 [Sg] | 642 [Pg] |
| **55.45 PATTON STATE HOSPITAL** | | | |
| 55.45.255 Fire/Life Safety and Environmental Improvements, EB Building | 7,784 [Cn] | – | – |
| 55.45.260 Upgrade High Voltage System | 70 [PWCg] | – | – |
| 55.45.265 Install Personal Alarm System in G, O, P and T Buildings [B] | – | – | 628 [PWCg] |
| 55.45.270 Renovate Admissions Suite-EB Building [B] | – | – | 95 [PWg] |
| Totals, Major Projects | $30,183 | $21,095 | $351,818 |

### Minor Projects

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 55.10.205 Minor Capital Outlay: | | | |
| General Fund | $854 [PWCg] | $677 [PWCg] | $676 [PWCg] |
| Totals, Minor Projects | $854 | $677 | $676 |
| TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY | $31,037 | $21,772 | $352,494 |
| *0001 General Fund [g]* | *10,428* | *10,069* | *3,207* |
| *0660 Public Building Construction Fund [n]* | *12,368* | *11,703* | *349,287* |
| *0768 Earthquake Safety Public Building Rehabilitation Fund of 1990 [t]* | *5,103* | – | – |
| *0995 Reimbursements* | *3,138* | – | – |

*** Dollars in thousands, except in Salary Range.**

## 4440 DEPARTMENT OF MENTAL HEALTH—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **RECONCILIATION WITH APPROPRIATIONS** | | | |
| **3 CAPITAL OUTLAY** | | | |
| **0001 General Fund [g]** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $10,950 | $8,425 | $3,207 |
| Transfers to and from Government Code Sections 16408 and 16409 | – | 221 | – |
| Prior year balances available: | | | |
| Item 4440-301-0001, Budget Act of 1997 | 1,359 | 1,358 | – |
| Item 4440-301-0001, Budget Act of 1998 | 845 | 679 | – |
| Item 4440-301-0001, Budget Act of 1999 | – | 744 | – |
| Transfers to and from Government Code Sections 16408 and 16409 | 70 | – | – |
| Totals Available | $13,224 | $11,427 | $3,207 |
| Balance available in subsequent years | −2,781 | – | – |
| Unexpended balance, estimated savings | −15 | −1,358 | – |
| TOTALS, EXPENDITURES | $10,428 | $10,069 | $3,207 |
| **0660 Public Building Construction Fund [n]** | | | |
| 301 Budget Act appropriation | $23,809 | – | $349,287 |
| Transfers to and from Government Code Sections 16351.5 and 16352 | – | $262 | – |
| Prior year balances available: | | | |
| Item 4440-301-0660, Budget Act of 1999 | – | 11,441 | – |
| Totals Available | $23,809 | $11,703 | $349,287 |
| Balance available in subsequent years | −11,441 | – | – |
| TOTALS, EXPENDITURES | $12,368 | $11,703 | $349,287 |
| **0768 Earthquake Safety and Public Buildings Rehabilitation Fund of 1990 [t]** | | | |
| 301 Budget Act appropriation (expenditures) | $5,103 | – | – |
| **0995 Reimbursements** | | | |
| Reimbursements | $3,138 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $31,037 | $21,772 | $352,494 |

# 4700 DEPARTMENT OF COMMUNITY SERVICES AND DEVELOPMENT

The Department of Community Services and Development (DCSD) administers programs to assist low-income residents and communities of California in the following areas: Low-Income Home Energy Assistance Program, Community Services Block Grant, and the Department of Energy Weatherization Program. Services are provided through a network of approximately 200 community agencies. The DCSD also verifies the eligibility of applicants for the California Alternative Rates for Energy Program offered by utility companies and administers the Lead-Based Paint Abatement and Prevention Program.

The DCSD also participates in the California Mentor Program to assist at-risk youths to become productive members of society by recruiting, training, and placing mentors with these youths.

## Authority

Government Code 12085–12092; Public Law 97-35; as amended. Chapter 1436, Statutes of 1987.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 20 Energy Programs | 56.3 | 59.6 | 59.6 | $72,955 | $93,493 | $77,087 |
| 40 Community Services | 28.3 | 32.2 | 32.2 | 46,765 | 58,953 | 54,282 |
| 47 Naturalization Services | – | – | – | 7,000 | 7,000 | 7,000 |
| 50 Administration | 34.8 | 39.5 | 39.5 | 2,820 | 2,843 | 3,100 |
| Distributed Administration | – | – | – | −2,820 | −2,843 | −3,100 |
| TOTALS, PROGRAMS | 119.4 | 131.3 | 131.3 | $126,720 | $159,446 | $138,369 |
| *0001 General Fund* | | | | *8,000* | *13,000* | *8,000* |
| *0853 Petroleum Violation Escrow Account* | | | | – | – | *4,857* |
| *0890 Federal Trust Fund* | | | | *115,380* | *142,227* | *121,286* |
| *0995 Reimbursements* | | | | *3,340* | *4,219* | *4,226* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 4700 DEPARTMENT OF COMMUNITY SERVICES AND DEVELOPMENT—Continued

### 20 ENERGY PROGRAMS

**Program Objectives Statement**

The objective of the Energy Programs is to provide federal Low-Income Home Energy Assistance Program (LIHEAP) block grant funds to assist low-income persons in meeting the high costs of energy, using and conserving energy more efficiently, and learning about and using new sources of energy. In addition to providing financial assistance for routine home energy costs, this block grant also includes an energy crisis intervention program and a weatherization program.

**Major Budget Adjustment Proposed for 2001–02**

- An increase of $4,857,000 (Petroleum Violation Escrow Account) to provide energy assistance and savings services to low-income households.

### 40 COMMUNITY SERVICES

**Program Objectives Statement**

The Community Services Program administers the federal Community Services Block Grant (CSBG) in California. The objective of the Community Services Program is to provide a wide range of services designed to assist low-income persons to:

- Make better use of available income;
- Obtain and maintain adequate housing and a suitable living environment;
- Remove obstacles and solve problems which block the achievement of self-sufficiency;
- Achieve greater participation in the affairs of the community;
- Provide, on an emergency basis, supplies and services, nutritious foodstuffs, and related services as may be necessary; and
- Coordinate and establish linkages between governmental and other social services programs.

The low-income populations of all 58 counties in California are served. Limited purpose agencies also are funded from discretionary funds, including mentoring programs to serve at-risk youths.

### 47 NATURALIZATION SERVICES PROGRAM

The objective of the Naturalization Services Program is to provide outreach services, citizenship testing, and naturalization assistance to legal immigrants.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 20 ENERGY PROGRAMS

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0853 Petroleum Violation Escrow Account* | – | – | *$140* |
| *0890 Federal Trust Fund* | *$5,731* | *$8,126* | *6,433* |
| *0995 Reimbursements* | *142* | *2,105* | *2,112* |
| Totals, State Operations | $5,873 | $10,231 | $8,685 |
| Local Assistance: | | | |
| *0853 Petroleum Violation Escrow Account* | – | – | *4,717* |
| *0890 Federal Trust Fund* | *63,884* | *81,148* | *61,571* |
| *0995 Reimbursements* | *3,198* | *2,114* | *2,114* |
| Totals, Local Assistance | $67,082 | $83,262 | $68,402 |

### PROGRAM REQUIREMENTS
### 40 COMMUNITY SERVICES

| State Operations: | | | |
|---|---|---|---|
| *0890 Federal Trust Fund* | *$1,943* | *$2,471* | *$2,800* |
| Totals, State Operations | $1,943 | $2,471 | $2,800 |
| Local Assistance: | | | |
| *0001 General Fund* | *1,000* | *6,000* | *1,000* |
| *0890 Federal Trust Fund* | *43,822* | *50,482* | *50,482* |
| Totals, Local Assistance | $44,822 | $56,482 | $51,482 |

### PROGRAM REQUIREMENTS
### 47 NATURALIZATION SERVICES

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$350* | *$350* | *$350* |
| Totals, State Operations | $350 | $350 | $350 |
| Local Assistance: | | | |
| 0001 General Fund | 6,650 | 6,650 | 6,650 |
| Totals, Local Assistance | $6,650 | $6,650 | $6,650 |

*** Dollars in thousands, except in Salary Range.**

## 4700 DEPARTMENT OF COMMUNITY SERVICES AND DEVELOPMENT—Continued

| TOTAL EXPENDITURES | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations | $8,166 | $13,052 | $11,835 |
| Local Assistance | 118,554 | 146,394 | 126,534 |
| TOTALS, EXPENDITURES | $126,720 | $159,446 | $138,369 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 119.4 | 138.2 | 138.2 | $4,813 | $5,822 | $5,935 |
| Estimated Salary Savings | – | −6.9 | −6.9 | – | −291 | −297 |
| Net Totals, Salaries and Wages | 119.4 | 131.3 | 131.3 | $4,813 | $5,531 | $5,638 |
| Staff Benefits | – | – | – | 935 | 1,615 | 1,650 |
| Totals, Personal Services | 119.4 | 131.3 | 131.3 | $5,748 | $7,146 | $7,288 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,418 | $5,906 | $4,547 |
| TOTALS, EXPENDITURES | | | | $8,166 | $13,052 | $11,835 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $350 |
| Transfer from Local Assistance per Provision 1, Item 4700-101-0001 | $350 | $350 | – |
| TOTALS, EXPENDITURES | $350 | $350 | $350 |

### 0853 Petroleum Violation Escrow Account [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (support) (expenditures) | – | – | $140 |
| TOTALS, EXPENDITURES | – | – | $140 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $9,119 | $9,354 | $9,233 |
| Allocation for employee compensation | 441 | 18 | – |
| Adjustment per Section 3.60 | −352 | −51 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| Transfer from Local Assistance per Provision 4, Item 4700-101-0890 | 500 | 300 | – |
| Transfer to Legislative Claims (9670) | −2 | – | – |
| Budget adjustment | −2,036 | 976 | – |
| TOTALS, EXPENDITURES | $7,674 | $10,597 | $9,233 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $142 | $2,105 | $2,112 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $8,166 | $13,052 | $11,835 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $118,554 | $146,394 | $126,534 |

*** Dollars in thousands, except in Salary Range.**

## 4700 DEPARTMENT OF COMMUNITY SERVICES AND DEVELOPMENT—Continued

### RECONCILIATION WITH APPROPRIATIONS

#### 2 LOCAL ASSISTANCE

#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $8,000 | $13,000 | $7,650 |
| Transfer to State Operations per Provision 1 | −350 | −350 | – |
| TOTALS, EXPENDITURES | $7,650 | $12,650 | $7,650 |

#### 0853 Petroleum Violation Escrow Account [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | – | $4,717 |

#### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $112,053 | $112,053 | $112,053 |
| Transfer to State Operations per Provision 4 | −500 | −300 | – |
| Transfer to Legislative Claims (9670) | – | −1 | – |
| Budget adjustment | −3,847 | 19,878 | – |
| TOTALS, EXPENDITURES | $107,706 | $131,630 | $112,053 |

#### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $3,198 | $2,114 | $2,114 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $118,554 | $146,394 | $126,534 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $126,720 | $159,446 | $138,369 |

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT

The Employment Development Department (EDD) serves the people of California by acting as an agent between employers and job seekers. The EDD pays benefits to eligible workers who become unemployed or disabled, collects payroll taxes, and assists disadvantaged and welfare-to-work persons by providing employment and training programs under the federal Workforce Investment Act of 1998. In addition, the EDD collects and provides comprehensive economic, occupational, and socio-demographic labor market information concerning California's workforce.

### SUMMARY OF PROGRAM REQUIREMENTS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Employment and Employment Related Services Program | 2,276.2 | 2,467.8 | 2,455.8 | $203,064 | $227,785 | $214,339 |
| 21 Tax Collections and Benefit Payments Program | 5,902.2 | 6,437.2 | 6,339.9 | 5,022,708 | 5,540,345 | 5,554,832 |
| 22 California Unemployment Insurance Appeals Board | 502.4 | 621.5 | 617.4 | 50,447 | 57,754 | 57,921 |
| 30 Administration Program | 738.9 | 715.1 | 715.1 | 42,093 | 51,099 | 50,719 |
| Distributed Administration | – | – | – | −37,334 | −45,501 | −45,734 |
| 40 Welfare-to-Work Program | 20.3 | 11.1 | 11.1 | 168,983 | 10,344 | 624 |
| 50 Employment Training Panel Program | 112.8 | 113.7 | 113.7 | 111,001 | 75,819 | 75,871 |
| 60 Job Training Partnership Act Program | 325.3 | 11.5 | – | 517,554 | 4,942 | – |
| 61 Workforce Investment Act (WIA) Program | – | 238.4 | 234.7 | – | 828,420 | 819,294 |
| 67 At-Risk Youth Demonstration Project | – | – | – | 750 | 2,750 | – |
| TOTALS, PROGRAMS | 9,878.1 | 10,616.3 | 10,487.7 | $6,079,266 | $6,753,757 | $6,727,866 |
| *0001 General Fund* | | | | *25,134* | *35,218* | *30,514* |
| *0184 Employment Development Department Benefit Audit Fund* | | | | *9,142* | *9,277* | *9,476* |
| *0185 Employment Development Department Contingent Fund* | | | | *41,280* | *47,392* | *47,274* |
| *0514 Employment Training Fund* | | | | *118,022* | *83,585* | *83,800* |
| *0579 Welfare-to-Work Fund—Federal* | | | | *168,983* | *10,344* | *624* |
| *0588 Unemployment Compensation Disability Fund* | | | | *2,033,723* | *2,415,945* | *2,482,322* |
| *0869 Consolidated Work Program Fund* | | | | *517,554* | *833,306* | *819,238* |
| *0870 Unemployment Administration Fund—Federal* | | | | *510,973* | *497,932* | *515,370* |
| *0871 Unemployment Fund—Federal* | | | | *2,597,616* | *2,747,005* | *2,678,405* |
| *0908 School Employees Fund* | | | | *31,588* | *34,511* | *32,047* |
| *0995 Reimbursements* | | | | *25,251* | *39,242* | *28,796* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

## 10 EMPLOYMENT AND EMPLOYMENT RELATED SERVICES PROGRAM

### Program Objectives Statement

California's workforce benefits from a sound and growing economy. However, many employers' job openings remain unfilled, resulting in a loss in production of goods and services. Additionally, many job seekers are unable to find employment and suffer wage loss and reduced buying power. The objective of this program is to provide a variety of services to facilitate a match between employers' needs and job seekers' skills. Many of the employment services are delivered through California's One-Stop Career Center System.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $6,094,000 (Unemployment Administration Fund) and 16.8 temporary help personnel years to provide services to those impacted by the Trade Adjustment Act.
- An increase of $2,397,000 (Unemployment Administration Fund) and 6.5 temporary help personnel years to provide services to those impacted by the North American Free Trade Agreement.
- A $5,000,000 (General Fund) augmentation to continue, for an additional year, the funding added in 2000–01 to engage faith-based organizations more directly in the State's effort to deliver employment services.

### Authority for General Employment Services

Federal: Wagner-Peyser Act; Title III as amended, Social Security Act; Presidential Executive Order 11422, August 20, 1968; Job Training Partnership Act, PL 97-300 October 13, 1982, PL 96-600 as amended, Workforce Investment Act, PL 105-220, August 7, 1998.

State: Unemployment Insurance Code, Division 3 and Family Economic Security Act (Div 8 beg 15000) September 21, 1982.

### Authority for Special Group Employment Services

Federal: Title IV of the Social Security Act.

State: Unemployment Insurance Code, Division 2, Welfare and Institutions Code, Division 9, Service Center—Governor's Executive Order 66-11 (July 1, 1966); Unemployment Insurance Code, Section 301.5; Job Agent—Chapter 1460, Statutes of 1968; Unemployment Insurance Code, Division 3; GAIN—Chapter 1025, Statutes of 1985.

## 21 TAX COLLECTIONS AND BENEFIT PAYMENTS PROGRAM

### Program Objectives Statement

The seasonality of certain industries, fluctuations in the economy, and advances in technology continue to cause unemployment. Workers also continue to lose wages due to nonwork-related illness, injury, and pregnancy. These circumstances have a detrimental effect on the State's economy. To alleviate the hardships on individuals and stabilize the economy, this program provides monetary relief to individuals who undergo periods of unemployment or temporary disability. This program contains two systems; the Unemployment Insurance (UI) program and the Disability Insurance (DI) program. These systems are based upon insurance principles, with the employer and employee paying tax contributions to funds that provide benefits. The systems include the following activities: collecting taxes, determining benefit eligibility based upon claims, managing caseloads, processing payments to claimants, recovering overpayments, and adjudicating disputes involving claims or tax liabilities. The collection process includes the collection of UI and DI taxes as well as the Personal Income Tax (PIT) and the Employment Training Tax (ETT) from all subject employers. Consolidation of the collection of UI, DI, PIT and ETT taxes, as well as PIT withholding and taxable wage information into one program, simplifies the reporting and payment of these taxes by employers. California is one of the few states in which the collection of personal income tax withholdings has been consolidated with collection of the unemployment insurance tax, resulting in General Fund savings and minimizing administrative burdens on business. The integrity of the tax collection system is maintained by the audit program that detects and deters employer errors and intentional tax evasion and by the intensive collection of delinquent taxes. By ensuring that all employers carry their fair share of the tax burden, these activities help to promote fair competition in the marketplace. The Customer Service Program assists employers in voluntarily complying with California employment tax laws and requirements. This program provides education through marketing and outreach efforts to provide employers with the information they need to properly report required employment tax information, including pamphlets, seminars, and individual consultations for new employers to advise them on the laws and requirements and identify any existing noncompliance, without risk of assessment and to avoid future unplanned liabilities.

### Major Budget Adjustments Included in 2000–01

- An increase of $50,409,000 (Unemployment Compensation Disability Fund), and an increase of 27.8 temporary help personnel years for Disability Insurance workload adjustments.
- An increase of $2,056,000 (School Employees Fund) for school employees Unemployment Insurance benefits.
- An increase of $125,329,000 ($5,718,000 Unemployment Administration Fund, $119,611,000 Unemployment Insurance Trust Fund) and 121.8 temporary help personnel years due to Unemployment Insurance workload increases.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $70,609,000 ($8,564,000 Unemployment Administration Fund, $62,045,000 Unemployment Insurance Trust Fund) and 180.0 temporary help personnel years due to Unemployment Insurance workload increases.
- An increase of $115,596,000 (Unemployment Compensation Disability Fund) and an increase of 69.6 temporary help personnel years for Disability Insurance workload adjustments.
- A decrease of $425,000 (School Employees Fund) for school employees Unemployment Insurance benefits.
- An increase of $506,000 in Reimbursement authority and 6.9 positions to implement provisions of SB 542, Child Support Enforcement.

### Authority for Unemployment Insurance Program

Federal: Titles III, IX, and XV of the Social Security Act; Federal Unemployment Tax Act. State: California Unemployment Insurance Code, Division I.

### Authority for Disability Insurance Program

State: Unemployment Insurance Code, Division 1, Sections 2601–3272; Government Code, Title 2, Division 5.

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

### Authority for Personal Income Tax Withholding

State: Government Code, Section 15702.1, California Administrative Code, Title 18, Section 17002.5, Unemployment Insurance Code, Division 6.

### Authority for Employment Training Tax Withholding

State: State Administrative Manual, Section 9220, Chapter 1284, Statutes of 1978. Chapter 1458, Statutes of 1974.

## 22 CALIFORNIA UNEMPLOYMENT INSURANCE APPEALS BOARD

### Program Objectives Statement

The California Unemployment Insurance Appeals Board (CUIAB) consists of seven members; five appointed by the Governor and one each by the Senate Rules Committee and the Speaker of the Assembly. The CUIAB adjudicates disputes between appellants/petitioners and the EDD through an administrative hearing process in the areas of UI and DI. They also hear tax issues concerning UI, DI, and PIT withholding.

The Appeals Board uses two levels of review. The Field Operations level is composed of the Chief Administrative Law Judges Office and 11 regional offices. They hear approximately 175,000 cases per year between employees and employers in various locations throughout the state.

The Appeals Board level reviews the decisions rendered by field judges. The Board may affirm, reverse, modify, set aside or remand a field decision. The Board, acting as a whole, may designate its decisions as precedent decisions, which constitute the foundations of the various benefit programs that fall within the Board's jurisdiction. Precedent decisions are published and made available to the public. They are binding on the field judges and EDD. All decisions of the Appeals Board are final except for the filing of an action in Superior Court. The Board annually renders decisions in over 16,000 cases appealed to it.

The EDD provides fiscal business services and some data processing support for the CUIAB.

### Major Budget Adjustments Included in 2000–01

- An increase of $198,000 (Unemployment Compensation Disability Fund) and 3.1 temporary help personnel years due to Disability Insurance workload increases.
- An increase of $3,280,000 (Unemployment Administration Fund) and 57.2 temporary help personnel years for Unemployment Insurance workload increases.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $3,001,000 (Unemployment Administration Fund) and 52.0 temporary help personnel years for Unemployment Insurance workload increases.
- An increase of $270,000 (Unemployment Compensation Disability Fund) and 4.2 temporary help personnel years due to Disability Insurance workload increases.

### Authority for California Unemployment Insurance Appeals Board

State: Unemployment Insurance Code, Division 1, Sections 401–413.

## 40 WELFARE-TO-WORK PROGRAM

### Program Objectives Statement

The EDD is the state agency responsible for receipt of the federal Welfare-to-Work (WtW) funds granted to California in federal fiscal years 1997 and 1998 to provide transitional assistance for recipients of the Temporary Assistance to Needy Families (TANF) program. The WtW program is administered pursuant to policy direction provided by the federal Department of Labor and in concert with the Department of Social Services which administers the California Work Opportunity and Responsibility to Kids (CalWORKs) program. The program includes job creation through wage subsidies, on-the-job training, job placement, and post-employment services to transition hard-to-employ public assistance recipients into full-time, permanent, unsubsidized employment. The EDD allocated 85 percent of the federal grant funds on a formula basis to Local Workforce Investment Boards in the local workforce investment areas in California to provide employment, job training, and support services to the hardest to serve individuals. The EDD also distributes the Governor's WtW 15 percent discretionary funds on a competitive basis to local entities.

### Authority for Welfare-to-Work Program

Federal: Balanced Budget Act of 1997 (H.R. 2015).
State: California Government Code Section 13070.

## 50 EMPLOYMENT TRAINING PANEL PROGRAM

### Program Objectives Statement

The Employment Training Panel (ETP) is a statewide economic development program that supports the California economy by ensuring that employers, primarily small businesses, have the trained workers they need to compete in the global economy while providing workers with reasonable wages and secure employment. The ETP is a significant economic development tool for business attraction and business retention and works in partnership with business, labor, and government.

The ETP was established in 1982 with the initial mandate of assisting displaced workers. Statutes of 1993 expanded program requirements emphasizing the training of unemployed workers for high-wage, high-skill jobs and the retraining of incumbent workers of businesses challenged by out-of-state competition. Statutes of 1997 added Welfare to Work and statutes of 2000 removed ETP's sunset date and provided for training in areas of high unemployment, with an emphasis on the working poor.

A key feature of ETP since its inception is its 100 percent performance-based contracting requirement that ensures training is linked to a real job. Thus, a contractor does not earn funds until a trainee completes all of the training and an employment retention period of at least 90 days in a training-related job. The ETP is funded through the Employment Training Tax (ETT) which is levied on California employers who participate in the Unemployment Insurance System. The ETT is collected by EDD and deposited into the Employment Training Fund.

### Authority for the Employment Training Panel Program

State: California Unemployment Insurance Code, Division 1.

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

### 60 JOB TRAINING PARTNERSHIP ACT (JTPA) PROGRAM

#### Program Objectives Statement

The JTPA program was replaced by the Workforce Investment Act program effective July 1, 2000.

#### Authority for Job Training Partnership Act Program

State: California Unemployment Insurance Code, Division 8.
Federal: Job Training Partnership Act of 1982.

### 61 WORKFORCE INVESTMENT ACT (WIA) PROGRAM

#### Program Objectives Statement

The federal WIA replaced its predecessor, the Job Training Partnership Act on July 1, 2000. Funding for the WIA in 2001–02 will be provided through three programs: Adult Employment and Training, Youth Activities, and Dislocated Workers. Adult funds provide universal services to all adults, plus training and other services to economically disadvantaged adults facing serious barriers to employment. Youth funds provide economically disadvantaged youth with training and other services to prepare them with the skills necessary to obtain unsubsidized employment, completion of secondary or post-secondary education, entrance to military service or qualified apprenticeship. Dislocated Worker funds provide rapid response services to workers affected by plant closures and layoffs, and provide retraining and readjustment services to dislocated workers. In addition, the WIA allows the Governor to reserve up to 15 percent for discretionary projects that support statewide workforce investment activities.

#### Major Budget Adjustment Included in 2000–01

- An increase of $500,000 (Consolidated Work Program Fund) to provide a toll-free help line for dislocated workers.

#### Major Budget Adjustment Proposed for 2001–02

- An increase of $17,850,000 (Consolidated Work Program Fund) and 12.3 personnel years for the School to Career Program.

#### Authority for Workforce Investment Act Program

Workforce Investment Act of 1998, PL 105-220.

### 67 AT-RISK YOUTH DEMONSTRATION PROJECT

#### Program Objectives Statement

The EDD has $1,000,000 of General Fund monies available in 2000–2001 to implement a youth demonstration project. The project funded is the California Youth Build program, which assists economically disadvantaged youth obtain education and employment skills in conjunction with the construction or rehabilitation of housing for special need populations.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 EMPLOYMENT AND EMPLOYMENT RELATED SERVICES PROGRAM

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$3,281* | *$8,930* | *$8,877* |
| *0185 Employment Development Department Contingent Fund* | *22,817* | *24,407* | *24,913* |
| *0514 Employment Training Fund* | *3,029* | *3,181* | *3,343* |
| *0870 Unemployment Administration Fund—Federal* | *155,997* | *160,968* | *158,755* |
| *0995 Reimbursements* | *17,940* | *28,299* | *18,451* |
| Totals, State Operations | $203,064 | $225,785 | $214,339 |
| Local Assistance: | | | |
| *0001 General Fund* | – | *2,000* | – |
| Totals, Local Assistance | – | $2,000 | – |

### PROGRAM REQUIREMENTS
### 21 TAX COLLECTIONS AND BENEFIT PAYMENTS PROGRAM

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$20,841* | *$20,920* | *$21,015* |
| *0184 Employment Development Department Benefit Audit Fund* | *9,142* | *9,277* | *9,476* |
| *0185 Employment Development Department Contingent Fund* | *14,531* | *17,623* | *17,614* |
| *0514 Employment Training Fund* | *3,992* | *4,585* | *4,586* |
| *0588 Unemployment Compensation Disability Fund* | *135,783* | *143,334* | *145,984* |
| *0870 Unemployment Administration Fund—Federal* | *310,859* | *286,229* | *305,818* |
| *0871 Unemployment Fund* | – | *30,400* | *16,885* |
| *0908 School Employees Fund* | *499* | *810* | *827* |
| *0995 Reimbursements* | *6,227* | *10,481* | *9,880* |
| Totals, State Operations | $501,874 | $523,659 | $532,085 |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0588 Unemployment Compensation Disability Fund* | *$1,892,129* | *$2,266,380* | *$2,330,007* |
| *0871 Unemployment Fund—Federal* | *2,597,616* | *2,716,605* | *2,661,520* |
| *0908 School Employees Fund* | *31,089* | *33,701* | *31,220* |
| Totals, Local Assistance | $4,520,834 | $5,016,686 | $5,022,747 |

### PROGRAM REQUIREMENTS
### 22 CALIFORNIA UNEMPLOYMENT INSURANCE APPEALS BOARD

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$262* | *$562* | *$566* |
| *0588 Unemployment Compensation Disability Fund* | *5,811* | *6,231* | *6,331* |
| *0870 Unemployment Administration Fund—Federal* | *44,117* | *50,735* | *50,797* |
| *0995 Reimbursements* | *257* | *226* | *227* |
| Totals, State Operations | $50,447 | $57,754 | $57,921 |

### PROGRAM REQUIREMENTS
### 30 ADMINISTRATION PROGRAM

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0185 Employment Development Department Contingent Fund* | *$3,932* | *$5,362* | *$4,747* |
| *0995 Reimbursements* | *827* | *236* | *238* |
| Totals, State Operations | $4,759 | $5,598 | $4,985 |

### PROGRAM REQUIREMENTS
### 40 WELFARE-TO-WORK PROGRAM

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0579 Welfare-to-Work Fund—Federal* | *$18,340* | *$10,344* | *$624* |
| Totals, State Operations | $18,340 | $10,344 | $624 |
| Local Assistance: | | | |
| *0579 Welfare-to-Work Fund—Federal* | *150,643* | – | – |
| Totals, Local Assistance | $150,643 | – | – |

### PROGRAM REQUIREMENTS
### 50 EMPLOYMENT TRAINING PANEL PROGRAM

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0514 Employment Training Fund* | *$111,001* | *$75,819* | *$75,871* |
| Totals, State Operations | $111,001 | $75,819 | $75,871 |

### PROGRAM REQUIREMENTS
### 60 JOB TRAINING PARTNERSHIP ACT PROGRAM

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0869 Consolidated Work Program Fund* | *$181,479* | *$4,942* | – |
| Totals, State Operations | $181,479 | $4,942 | – |
| Local Assistance: | | | |
| *0869 Consolidated Work Program Fund* | *336,075* | – | – |
| Totals, Local Assistance | $336,075 | – | – |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 60.11 Administrative Cost Pool | 7,966 | – | – |
| State Operations: | | | |
| *0869 Consolidated Work Program Fund* | *7,966* | – | – |
| 60.20 Incentive and Technical Assistance | 8,734 | – | – |
| State Operations: | | | |
| *0869 Consolidated Work Program Fund* | *8,734* | – | – |
| 60.30 Older Workers | 7,649 | – | – |
| State Operations: | | | |
| *0869 Consolidated Work Program Fund* | *7,649* | – | – |
| 60.40 Educational Linkages | 13,974 | – | – |
| State Operations: | | | |
| *0869 Consolidated Work Program Fund* | *13,974* | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 60.60 Displaced Workers | $255,115 | $4,942 | – |
| State Operations: | | | |
| *0869 Consolidated Work Program Fund* | *108,838* | *4,942* | – |
| Local Assistance: | | | |
| *0869 Consolidated Work Program Fund* | *146,277* | – | – |
| 60.65 Veterans | 850 | – | – |
| State Operations: | | | |
| *0869 Consolidated Work Program Fund* | *850* | – | – |
| 60.70 Adult and Youth Training Programs | 150,992 | – | – |
| Local Assistance: | | | |
| *0869 Consolidated Work Program Fund* | *150,992* | – | – |
| 60.85 School To Career | 33,468 | – | – |
| State Operations: | | | |
| *0869 Consolidated Work Program Fund* | *33,468* | – | – |
| 60.90 WIA Youth Transitional Funding | 38,806 | – | – |
| Local Assistance: | | | |
| *0869 Consolidated Work Program Fund* | *38,806* | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **61 WORKFORCE INVESTMENT ACT (WIA) PROGRAM** | | | |
| State Operations: | | | |
| *0001 General Fund* | – | *$56* | *$56* |
| *0869 Consolidated Work Program Fund* | – | *237,380* | *228,254* |
| Totals, State Operations | – | $237,436 | $228,310 |
| Local Assistance: | | | |
| *0869 Consolidated Work Program Fund* | – | *590,984* | *590,984* |
| Totals, Local Assistance | – | $590,984 | $590,984 |
| **PROGRAM REQUIREMENTS** | | | |
| **67 AT-RISK YOUTH DEMONSTRATION PROJECT** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$3* | *$75* | – |
| Totals, State Operations | $3 | $75 | – |
| Local Assistance: | | | |
| *0001 General Fund* | *747* | *2,675* | – |
| Totals, Local Assistance | $747 | $2,675 | – |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $1,070,967 | $1,141,412 | $1,114,135 |
| Local Assistance | 5,008,299 | 5,612,345 | 5,613,731 |
| TOTALS, EXPENDITURES | $6,079,266 | $6,753,757 | $6,727,866 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 9,878.1 | 10,904.7 | 10,813.9 | $448,012 | $497,062 | $503,025 |
| Total Adjustments | – | 8.0 | 87.1 | – | 568 | 7,022 |
| Estimated Salary Savings | – | –296.4 | –413.3 | – | –18,691 | –22,117 |
| Net Totals, Salaries and Wages | 9,878.1 | 10,616.3 | 10,487.7 | $448,012 | $478,939 | $487,930 |
| Staff Benefits | – | – | – | 98,766 | 105,414 | 108,516 |
| Totals, Personal Services | 9,878.1 | 10,616.3 | 10,487.7 | $546,778 | $584,353 | $596,446 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $238,809 | $252,056 | $254,594 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Miscellaneous Client Services | | | | 285,260 | 304,603 | 262,695 |
| Interest on employer refunds and judgments | | | | 120 | 400 | 400 |
| Totals, Special Items of Expense | | | | $285,380 | $305,003 | $263,095 |
| TOTALS, EXPENDITURES | | | | $1,070,967 | $1,141,412 | $1,114,135 |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $23,194 | $30,585 | $30,514 |
| Allocation for employee compensation | 2,833 | 40 | – |
| Adjustment per Section 3.60 | −813 | −157 | – |
| Adjustment per Section 16.00 | 9 | – | – |
| Transfer from 5100-101-0001 per Budget Act language | 3 | 50 | – |
| Chapter 313, Statutes of 2000 | – | 25 | – |
| Totals Available | $25,226 | $30,543 | $30,514 |
| Unexpended balance, estimated savings | −839 | – | – |
| TOTALS, EXPENDITURES | $24,387 | $30,543 | $30,514 |

### 0184 Employment Development Department Benefit Audit Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $8,855 | $9,331 | $9,476 |
| 011 Budget Act appropriation (transfer to General Fund) | (2,224) | (2,078) | (–) |
| Allocation for employee compensation | 645 | 15 | – |
| Adjustment per Section 3.60 | −361 | −69 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| TOTALS, EXPENDITURES | $9,142 | $9,277 | $9,476 |

### 0185 Employment Development Department Contingent Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $37,489 | $46,788 | $46,874 |
| 011 Budget Act appropriation (transfer to General Fund) | (14,537) | (7,683) | (11,193) |
| Unemployment Insurance Code Section 1586 | 120 | 400 | 400 |
| Allocation for employee compensation | 6,959 | 212 | – |
| Adjustment per Section 3.60 | −4,528 | −905 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 897 | – |
| Adjustment per Section 16.00 | 41 | – | – |
| Revised expenditure authority per Provision 2 | 1,199 | – | – |
| TOTALS, EXPENDITURES | $41,280 | $47,392 | $47,274 |

### 0514 Employment Training Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $67,330 | $83,688 | $83,800 |
| Allocation for employee compensation | 985 | 29 | – |
| Revised expenditure authority per Budget Act language | 52,498 | – | – |
| Adjustment per Section 3.60 | −582 | −132 | – |
| Adjustment per Section 16.00 | 6 | – | – |
| Totals Available | $120,237 | $83,585 | $83,800 |
| Unexpended balance, estimated savings | −2,215 | – | – |
| TOTALS, EXPENDITURES | $118,022 | $83,585 | $83,800 |

### 0579 Welfare-to-Work Fund [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $634 | – | – |
| Prior year balances available: | | | |
| Item 5100-001-0579, Budget Act of 1998 | 28,674 | $10,334 | – |
| Item 5100-001-0579, Budget Act of 1999 | – | 634 | $624 |
| Totals Available | $29,308 | $10,968 | $624 |
| Balance available in subsequent years | −10,968 | −624 | – |
| TOTALS, EXPENDITURES | $18,340 | $10,344 | $624 |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

### 0588 Unemployment Compensation Disability Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $136,720 | $148,047 | $152,315 |
| Allocation for employee compensation | 8,096 | 228 | – |
| Revised expenditure authority per Budget Act language | 1,585 | 2,211 | – |
| Adjustment per Section 3.60 | –4,604 | –921 | – |
| Adjustment per Section 16.00 | 74 | – | – |
| Totals Available | $141,871 | $149,565 | $152,315 |
| Unexpended balance, estimated savings | –277 | – | – |
| TOTALS, EXPENDITURES | $141,594 | $149,565 | $152,315 |

### 0869 Consolidated Work Program Fund [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $160,191 | $236,786 | $228,254 |
| Allocation for employee compensation | 2,050 | 55 | – |
| Adjustment per Section 3.60 | –1,291 | –143 | – |
| Adjustment per Section 16.00 | 27 | – | – |
| Revised expenditure authority per Budget Act language | 6,979 | 500 | – |
| Budget adjustment | 13,523 | 5,124 | – |
| TOTALS, EXPENDITURES | $181,479 | $242,322 | $228,254 |

### 0870 Unemployment Administration Fund-Federal [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $506,332 | $489,745 | $515,370 |
| Allocation for employee compensation | 27,648 | 702 | – |
| Adjustment per Section 3.60 | –15,426 | –2,798 | – |
| Adjustment per Section 16.00 | 187 | – | – |
| Transfer to Legislative Claims (9670) | –13 | –3 | – |
| Revised expenditure authority per Budget Act language | 12,713 | 8,998 | – |
| Budget adjustment | –21,059 | 1,288 | – |
| Prior year balances available: | | | |
| Item 5100-001-0870, Budget Act of 1998 as reappropriated by Item 5100-490, Budget Act of 1999 | 591 | – | – |
| TOTALS, EXPENDITURES | $510,973 | $497,932 | $515,370 |

### 0871 Unemployment Fund-Federal [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $30,400 | $16,885 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation (transfer to Unemployment Administration Fund-Federal-0870) | ($506,332) | ($489,745) | ($515,370) |
| Allocation for employee compensation | (27,648) | (702) | (–) |
| Adjustment per Section 3.60 | (–15,426) | (–2,798) | (–) |
| Adjustment per Section 16.00 | (187) | (–) | (–) |
| Revised expenditure authority per Budget Act language | (12,713) | (8,998) | (–) |
| Transfer to Legislative Claims (9670) | (–13) | (–3) | (–) |
| Budget adjustment | (–21,059) | (1,288) | (–) |
| 021 Budget Act appropriation (transfer to Consolidated Work Program Fund-0869) | (160,191) | (236,786) | (228,254) |
| Allocation for employee compensation | (2,050) | (55) | (–) |
| Adjustment per Section 3.60 | (–1,291) | (–143) | (–) |
| Adjustment per Section 16.00 | (27) | (–) | (–) |
| Revised expenditure authority per Budget Act language | (6,979) | (500) | (–) |
| Budget adjustment | (13,523) | (5,124) | (–) |
| 031 Budget Act appropriation (transfer to Welfare-to-Work Fund-Federal-0579) | (634) | (–) | (–) |
| 041 Budget Act appropriation (transfer to Unemployment Fund-Federal-0871) | (–) | (30,400) | (16,885) |
| TOTALS, EXPENDITURES | ($692,495) | ($770,654) | ($760,509) |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

### 0908 School Employees Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $758 | $816 | $827 |
| Allocation for employee compensation | 58 | 1 | – |
| Adjustment per Section 3.60 | –37 | –7 | – |
| Totals Available | $779 | $810 | $827 |
| Unexpended balance, estimated savings | –280 | – | – |
| TOTALS, EXPENDITURES | $499 | $810 | $827 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $25,251 | $39,242 | $28,796 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,070,967 | $1,141,412 | $1,114,135 |

---

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and Subventions | $5,008,299 | $5,612,345 | $5,613,731 |

---

### RECONCILIATION WITH APPROPRIATIONS

#### 2 LOCAL ASSISTANCE

#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $700 | $1,000 | – |
| Transfer to 5100-001-0001 per Budget Act language | –3 | –50 | – |
| 102 Budget Act appropriation | – | 2,000 | – |
| Chapter 1021, Statutes of 1999 | 50 | – | – |
| Chapter 313, Statutes of 2000 | – | 475 | – |
| Prior year balances available: | | | |
| Chapter 1051, Statutes of 1998 as reappropriated by Item 5100-490, Budget Act of 1999 and 2000 | 1,250 | 1,250 | – |
| Totals Available | $1,997 | $4,675 | – |
| Balance available in subsequent years | –1,250 | – | – |
| TOTALS, EXENDITURES | $747 | $4,675 | – |

#### 0579 Welfare-to-Work Fund [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $3,594 | – | – |
| Budget adjustment | –1 | – | – |
| Prior year balances available: | | | |
| Item 5100-101-0579, Budget Act of 1998 | 147,050 | – | – |
| TOTALS, EXPENDITURES | $150,643 | – | – |

#### 0588 Unemployment Compensation Disability Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $1,680,742 | $2,217,984 | $2,330,007 |
| Revised expenditure authority per Budget Act language | 271,739 | 48,396 | – |
| Totals Available | $1,952,481 | $2,266,380 | $2,330,007 |
| Unexpended balance, estimated savings | –60,352 | – | – |
| TOTALS, EXPENDITURES | $1,892,129 | $2,266,380 | $2,330,007 |

#### 0869 Consolidated Work Program Fund [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $409,747 | $590,984 | $590,984 |
| Revised expenditure authority per Budget Act language | –97,272 | – | – |
| Budget adjustment | 23,600 | – | – |
| TOTALS, EXPENDITURES | $336,075 | $590,984 | $590,984 |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

### 0871 Unemployment Fund-Federal [f]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $2,607,547 | $2,628,963 | $2,691,008 |
| Revised expenditure authority per Budget Act language | 153,057 | 119,611 | – |
| Budget adjustment | −133,373 | – | – |
| TOTALS, EXPENDITURES | $2,627,231 | $2,748,574 | $2,691,008 |
| Return to Federal Government (reimbursement from School Employees Fund) | −29,615 | −31,969 | −29,488 |
| NET TOTALS, EXPENDITURES | $2,597,616 | $2,716,605 | $2,661,520 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (transfer to Consolidated Work Program Fund-0869) | ($409,747) | ($590,984) | ($590,984) |
| Revised expenditure authority per Budget Act language | (−97,272) | (–) | (–) |
| Budget adjustment | (23,600) | (–) | (–) |
| 111 Budget Act appropriation (transfer to Unemployment Fund-Federal-0871) | (2,607,547) | (2,628,963) | (2,691,008) |
| Revised expenditure authority per Budget Act language | (153,057) | (119,611) | (–) |
| Budget adjustment | (−133,373) | (–) | (–) |
| Return to Federal Government (reimbursement from School Employee Fund-0908) | (−29,615) | (−31,969) | (−29,488) |
| 131 Budget Act appropriation (transfer to Welfare-to-Work Fund-Federal-0579) | (3,594) | (–) | (–) |
| Budget adjustment | (−1) | (–) | (–) |
| TOTALS, EXPENDITURES | ($2,937,284) | ($3,307,589) | ($3,252,504) |

### 0908 School Employees Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (benefit payments) | $31,738 | $31,645 | $31,220 |
| Reimbursement to School Employees Fund: | | | |
| Unemployment Insurance Code Section 826 payments to Department of Education | (1,566) | (1,583) | (1,583) |
| Unemployment Insurance Code Section 826 payments to Community College Districts | (213) | (218) | (218) |
| Revised expenditure authority per Budget Act langauge | −115 | 2,056 | – |
| Totals Available | $31,623 | $33,701 | $31,220 |
| Unexpended balance, estimated savings | −534 | – | – |
| TOTALS, EXPENDITURES | $31,089 | $33,701 | $31,220 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $5,008,299 | $5,612,345 | $5,613,731 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $6,079,266 | $6,753,757 | $6,727,866 |

---

### FUND CONDITION STATEMENT
### 0184 Employment Development Department Benefit Audit Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,000 | $1,000 | $1,000 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 219 | 219 | 219 |
| 160200 Penalties and interest on Unemployment and Disability Insurance contributions | 11,147 | 11,136 | 8,314 |
| Totals, Revenues | $11,366 | $11,355 | $8,533 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Item 5100-011-0184, Budget Acts | −2,224 | −2,078 | – |
| Totals, Transfers to Other Funds | −$2,224 | −$2,078 | – |
| Totals, Revenues and Transfers | $9,142 | $9,277 | $8,533 |
| Totals, Resources | $10,142 | $10,277 | $9,533 |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5100 Employment Development Department (State Operations) | $9,142 | $9,277 | $9,476 |
| FUND BALANCE | $1,000 | $1,000 | $57 |
| Reserve for economic uncertainties | 1,000 | 1,000 | 57 |

### 0185 Employment Development Department Contingent Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,000 | $1,000 | $1,000 |
| Prior year adjustments | –2,513 | – | – |
| Balance, Adjusted | –$1,513 | $1,000 | $1,000 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 1,520 | 1,520 | 1,520 |
| 160200 Penalties and interest on unemployment and disability insurance contribution | 51,137 | 47,878 | 51,268 |
| 161400 Miscellaneous revenue | 5,679 | 5,679 | 5,679 |
| 161800 Penalties and interest on personal income tax | 32,707 | 30,622 | 32,791 |
| Totals, Operating Revenues | $91,043 | $85,699 | $91,258 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Budget Act Item 5100-011-0185 | –14,537 | –7,683 | –11,193 |
| T00001 General Fund per Unemployment Insurance Code Section 1590 | –32,707 | –30,622 | –32,791 |
| Totals, Transfers to Other Funds | –$47,244 | –$38,305 | –$43,984 |
| Totals, Revenues and Transfers | $43,799 | $47,394 | $47,274 |
| Totals, Resources | $42,286 | $48,394 | $48,274 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5100 Employment Development Department: | | | |
| State Operations: | | | |
| Support | 41,160 | 46,992 | 46,874 |
| Interest on refunds and judgments | 120 | 400 | 400 |
| Totals, State Operations | $41,280 | $47,392 | $47,274 |
| 9670 Legislative Claims (State Operations) | 6 | 2 | – |
| Totals, Disbursements | $41,286 | $47,394 | $47,274 |
| FUND BALANCE | $1,000 | $1,000 | $1,000 |
| Reserve for economic uncertainties | 1,000 | 1,000 | 1,000 |

### 0514 Employment Training Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $43,249 | $44,062 | $38,223 |
| Prior year adjustments | 47,943 | – | – |
| Balance, Adjusted | $91,192 | $44,062 | $38,223 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 12,233 | 11,870 | 12,661 |
| 217000 Interest revenue—fines and penalties | 65 | 65 | 65 |
| 221000 Contributions to fiduciary funds | 91,082 | 93,671 | 97,418 |
| Other contributions | 241 | 241 | 241 |
| Totals, Operating Revenues | $103,621 | $105,847 | $110,385 |
| Transfers from Other Funds: | | | |
| F00001 Special Deposit Fund per Section 12.20 Budget Acts of 1998 and 2000 | – | 5,032 | – |
| Totals, Transfers from Other Funds | – | $5,032 | – |
| Totals, Revenues and Transfers | $103,621 | $110,879 | $110,385 |
| Totals, Resources | $194,813 | $154,941 | $148,608 |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5100 Employment Development Department: | | | |
| State Operations | $118,022 | $83,585 | $83,800 |
| 5180 Department of Social Services (Local Assistance) | 30,000 | 30,000 | 15,000 |
| 8350 Department of Industrial Relations (State Operations) | 2,729 | 3,133 | 3,158 |
| Totals, Disbursements | $150,751 | $116,718 | $101,958 |
| FUND BALANCE | $44,062 | $38,223 | $46,650 |

### 0588 Unemployment Compensation Disability Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $565,881 | $157,030 | $360,267 |
| Prior year adjustments | 9,704 | – | – |
| Balance, Adjusted | $575,585 | $157,030 | $360,267 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 17,384 | 12,800 | 12,800 |
| 221000 Contributions to fiduciary funds | 1,591,423 | 2,600,000 | 3,000,000 |
| Workers' contributions | (1,576,251) | (2,577,000) | (2,972,000) |
| Voluntary plan contributions | (15,172) | (23,000) | (28,000) |
| 299000 Other | 6,392 | 6,392 | 6,392 |
| Totals, Operating Revenues | $1,615,199 | $2,619,192 | $3,019,192 |
| Totals, Resources | $2,190,784 | $2,776,222 | $3,379,459 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5100 Employment Development Department: | | | |
| State Operations | 141,594 | 149,565 | 152,315 |
| Local Assistance (benefit payments) | 1,892,129 | 2,266,380 | 2,330,007 |
| Capital Outlay | 10 | – | – |
| 9670 Legislative Claims (State Operations) | 21 | 10 | – |
| Totals, Disbursements | $2,033,754 | $2,415,955 | $2,482,322 |
| FUND BALANCE | $157,030 | $360,267 | $897,137 |

### 0690 Employment Development Department Building Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $947 | $1,076 | $865 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 213000 Property and natural resources | 59 | – | – |
| 215000 Income from investments | 178 | 114 | 60 |
| Totals, Operating Revenues | $237 | $114 | $60 |
| Totals, Resources | $1,184 | $1,190 | $925 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5100 Employment Development Department (Capital Outlay) | 108 | 325 | 325 |
| Totals, Disbursements | $108 | $325 | $325 |
| FUND BALANCE | $1,076 | $865 | $600 |

### 0908 School Employees Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $44,188 | $33,428 | $29,106 |
| Prior year adjustments | −139 | – | – |
| Balance, Adjusted | $44,049 | $33,428 | $29,106 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from investments | 2,293 | 1,887 | 1,568 |
| 221000 Contributions from fiduciary funds | 18,674 | 28,302 | 30,124 |
| Totals, Operating Revenues | $20,967 | $30,189 | $31,692 |
| Totals, Resources | $65,016 | $63,617 | $60,798 |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

| EXPENDITURES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Disbursements: | | | |
| 5100 Employment Development Department (State Operations) | $499 | $810 | $827 |
| Local Assistance: | | | |
| Benefit payments | 29,310 | 31,900 | 29,419 |
| Unemployment Insurance Code Section 826 payments to Department of Education | 1,566 | 1,583 | 1,583 |
| Unemployment Insurance Code Section 826 payments to Community College Districts | 213 | 218 | 218 |
| Totals, Local Assistance | $31,089 | $33,701 | $31,220 |
| Totals, Expenditures | $31,588 | $34,511 | $32,047 |
| FUND BALANCE | $33,428 | $29,106 | $28,751 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 9,878.1 | 10,904.7 | 10,813.9 | $448,012 | $497,062 | $503,025 |
| Salary adjustments | – | – | – | – | 153 | 271 |
| Totals, Adjusted Authorized Positions | 9,878.1 | 10,904.7 | 10,813.9 | $448,012 | $497,215 | $503,296 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: | | | | Salary Range | | |
| Temporary Help-Workforce Investment Div. | – | 8.0 | – | – | 415 | – |
| Reductions in Authorized Positions: | | | | | | |
| Ofc Techn | – | – | –1.0 | 2,348–2,855 | – | –55 |
| Assoc Govtl Prog Analyst | – | – | –4.0 | 3,915–4,759 | – | –208 |
| Temporary Help | – | – | –169.4 | – | – | – |
| Totals, Workload and Administrative Adjustments | – | 8.0 | –174.4 | – | $415 | –$263 |
| Proposed New Positions: | | | | | | |
| Staff Svcs Mgr II [2] | – | – | 1.0 | 4,963–5,987 | – | 70 |
| Staff Svcs Mgr I [2] | – | – | 1.0 | 4,520–5,453 | – | 63 |
| Assoc Programmer Analyst [3] | – | – | 1.0 | 4,110–4,997 | – | 55 |
| Assoc Info Sys Analyst | – | – | 1.0 | 4,110–4,997 | – | (55) |
| Assoc Govtl Prog Analyst [2] | – | – | 7.0 | 3,915–4,759 | – | 381 |
| Assoc Govtl Prog Analyst | – | – | 4.0 | 3,915–4,759 | – | (208) |
| Staff Svcs Analyst [2] | – | – | 2.0 | 2,507–3,957 | – | 90 |
| Ofc Techn [2] | – | – | 1.0 | 2,348–2,855 | – | 32 |
| Prog Techn II [3] | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Key Data Opr [3] | – | – | 1.0 | 1,916–2,648 | – | 29 |
| Temporary Help [1] | – | – | 241.5 | – | – | 6,263 |
| Totals, Proposed New Positions | – | – | 261.5 | – | – | $7,014 |
| Total Adjustments | – | 8.0 | 87.1 | – | $568 | $7,022 |
| TOTALS, SALARIES AND WAGES | 9,878.1 | 10,912.7 | 10,901.0 | $448,012 | $497,630 | $510,047 |

[1] 24.2 limited-term positions expire 6/30/02; 4.0 limited-term positions expire 6/30/03.
[2] Limited-term positions expire 6/30/02.
[3] Limited-term positions expire 6/30/03.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **80 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| **80.35 SAN FRANCISCO** | | | |
| 80.35.001 San Francisco JS/UI/DI Office: Renovation and Asbestos Abatement | $10 Cn | – | – |
| | 182 Cf | – | – |
| **80.40 VALLEJO** | | | |
| 80.40.001 Vallejo JS/UI Office: Renovation and Asbestos Abatement | 291 PWf | $2,301 Cf | – |
| **80.92 SAN BERNARDINO** | | | |
| 80.92.001 San Bernardino UI Office: Renovation and Asbestos Abatement | 1,583 Cf | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5100 EMPLOYMENT DEVELOPMENT DEPARTMENT—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **80.97 TORRANCE** | | | |
| 80.97.001 Torrance JS Office: Renovation and Asbestos Abatement | $206 PWf | $1,782 Cf | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $2,272 | $4,083 | – |
| *0588 Unemployment Compensation Disability Fund* [n] | *10* | – | – |
| *0690 Employment Development Department Building Fund* [n] | *108* | *325* | *$325* |
| *0870 Unemployment Administration Fund* [f] | *924* | *4,083* | – |
| *0871 Unemployment Fund* [f] | *1,338* | – | – |
| *Less funding provided by Employment Development Department Building Fund* | *–108* | *–325* | *–325* |
| *0890 Federal Trust Fund* | *(2,262)* | *(4,083)* | *(–)* |

### RECONCILIATION WITH APPROPRIATIONS

### 3 CAPITAL OUTLAY

#### 0588 Unemployment Compensation Disability Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $99 | – | – |
| Item 5100-301-0588, Budget Act of 1998 | 10 | – | – |
| Totals Available | $109 | – | – |
| Unexpended balance, estimated savings | –99 | – | – |
| TOTALS, EXPENDITURES | $10 | – | – |

#### 0690 Employment Development Department Building Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 311 Budget Act appropriation (for transfer to Unemployment Fund-0871) (expenditures) | $108 | $325 | $325 |

#### 0870 Unemployment Administration Fund [f]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,072 | $4,083 | – |
| Unexpended balance, estimated savings | –148 | – | – |
| TOTALS, EXPENDITURES | $924 | $4,083 | – |

#### 0871 Unemployment Fund [f]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,652 | – | – |
| Prior year balances available: | | | |
| Item 5100-301-0871, Budget Act of 1998 | 182 | – | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | –496 | – | – |
| Totals Available | $1,338 | – | – |
| Less funding provided by Employment Development Department Building Fund | –108 | –$325 | –$325 |
| TOTALS, EXPENDITURES | $1,230 | –$325 | –$325 |

#### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (for transfer to Unemployment Administration Fund-0870) | ($1,072) | ($4,083) | (–) |
| 302 Budget Act appropriation (for transfer to Unemployment Fund-0871) | (1,652) | – | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | (–496) | – | – |
| Prior year balances available: | | | |
| Item 5100-301-0871, Budget Act of 1998 | (182) | – | – |
| Totals Available | ($2,410) | – | (–) |
| Unexpended balance, estimated savings | (–148) | – | – |
| TOTALS, EXPENDITURES | ($2,262) | ($4,083) | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $2,272 | $4,083 | – |

*** Dollars in thousands, except in Salary Range.**

# 5120 CALIFORNIA WORKFORCE INVESTMENT BOARD

The federal Workforce Investment Act (Act) of 1998 (Public Law 105-220) repealed the Job Training Partnership Act and established new requirements for employment and training programs for adults, youth, and dislocated workers. Pursuant to the provisions of the Act, the Governor established a state Workforce Investment Board comprised of the Governor, two members of the Senate, appointed by the President pro Tempore, two members of the Assembly, appointed by the Speaker, and representatives of business, labor organizations, community-based organizations, schools and colleges, state agencies, and local governments appointed by the Governor. As required by federal law, the majority of the Board Members are business representatives. The Board is to assist the Governor in restructuring workforce development programs into an integrated workforce investment system that can better respond to the employment, training, and education needs of its customers. Services are required by federal law to be provided through a network of one-stop career centers.

The Act requires the Board to assist the Governor with the development of a five-year Workforce Investment Plan, designation of Local Workforce Investment Areas, establishment of Local Workforce Investment Boards, review of Local Workforce Investment Area plans, oversight of local workforce education and training programs, negotiations of performance standards with the Department of Labor, and preparation of an annual report.

## Authority

Federal: Public Law 105-220, Workforce Investment Act of 1998.
State: Executive Order D-9-99, California Workforce Investment Board.

### SUMMARY OF PROGRAM REQUIREMENTS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 CA Workforce Investment Program | – | 31.3 | 31.1 | – | $5,542 | $5,617 |
| 60 Consolidated Work Program | – | – | – | $2,631 | – | – |
| TOTALS, PROGRAMS | – | 31.3 | 31.3 | $2,631 | $5,542 | $5,617 |
| *0869 Consolidated Work Program Fund* | | | | *2,631* | – | – |
| *0890 Federal Trust Fund* | | | | – | *4,677* | *4,752* |
| *0995 Reimbursements* | | | | – | *865* | *865* |

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**
**10 CALIFORNIA WORKFORCE INVESTMENT PROGRAM**

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0890 Federal Trust Fund* | – | *$4,677* | *$4,752* |
| *0995 Reimbursements* | – | *865* | *865* |
| Totals, State Operations | – | $5,542 | $5,617 |

**PROGRAM REQUIREMENTS**
**60 CONSOLIDATED WORK PROGRAM**

| State Operations: | | | |
|---|---|---|---|
| *0869 Consolidated Work Program Fund* | *$2,631* | – | – |
| Totals, State Operations | $2,631 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $2,631 | $5,542 | $5,617 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | – | 33.0 | 33.0 | $13 | $1,850 | $1,932 |
| Estimated Salary Savings | – | –1.7 | –1.7 | – | –93 | –97 |
| Net Totals, Salaries and Wages | – | 31.3 | 31.3 | $13 | $1,757 | $1,835 |
| Staff Benefits | – | – | – | – | 375 | 397 |
| Totals, Personal Services | – | 31.3 | 31.3 | $13 | $2,132 | $2,232 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,618 | $3,410 | $3,385 |
| TOTALS, EXPENDITURES | | | | $2,631 | $5,542 | $5,617 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5120 CALIFORNIA WORKFORCE INVESTMENT BOARD—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0869 Consolidated Work Program Fund [f]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $3,600 | – | – |
| Budget adjustment | –969 | – | – |
| TOTALS, EXPENDITURES | $2,631 | – | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $4,689 | $4,752 |
| Allocation for employee compensation | – | 9 | – |
| Adjustment per Section 3.60 | – | –21 | – |
| Federal funds | ($2,631) | – | – |
| TOTALS, EXPENDITURES | ($2,631) | $4,677 | $4,752 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | – | $865 | $865 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $2,631 | $5,542 | $5,617 |

# 5160 DEPARTMENT OF REHABILITATION

The Department of Rehabilitation (DOR) is the principal State agency assisting people with disabilities, particularly those with most significant disabilities, in obtaining and retaining employment and maximizing their ability to live independently in their communities.

## Program Objectives

- Provide vocational rehabilitation services to increase the quality and quantity of employment outcomes for persons with disabilities.
- Increase the quality and availability of independent living services to persons with most significant disabilities.
- Address architectural, transportation, and attitudinal barriers to social and economic integration by advocacy for the rights and opportunities of persons with disabilities. Maximize the availability and use of assistive technology.
- Collaborate with educational institutions, community rehabilitation facilities, and other training, employment, and service agencies to ensure equal access for persons with disabilities.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Vocational Rehabilitation Services | 1,678.6 | 1,807.0 | 1,805.1 | $287,839 | $316,942 | $314,734 |
| 20 Habilitation Services | 17.2 | 21.9 | 20.5 | 104,491 | 115,471 | 118,781 |
| 30 Support of Community Facilities | 25.9 | 18.6 | 21.8 | 13,605 | 18,686 | 16,215 |
| 40 Administration | 235.0 | 251.8 | 249.4 | 21,959 | 22,739 | 22,684 |
| 40.01 Distributed Administration | – | – | – | –21,959 | –22,739 | –22,684 |
| TOTALS, PROGRAMS | 1,956.7 | 2,099.3 | 2,096.8 | $405,935 | $451,099 | $449,730 |
| *0001 General Fund* | | | | *128,661* | *153,941* | *153,576* |
| *0600 Vending Stand Account* | | | | *2,036* | *3,360* | *3,360* |
| *0890 Federal Trust Fund* | | | | *252,191* | *273,027* | *272,707* |
| *0942 Special Deposit Fund* | | | | *489* | – | – |
| *0995 Reimbursements* | | | | *22,558* | *20,771* | *20,087* |

## 10 VOCATIONAL REHABILITATION SERVICES

### Program Objectives Statement

The Vocational Rehabilitation Services Program delivers vocational rehabilitation services to persons with disabilities through district and branch offices located throughout the state. In addition, DOR has entered into a number of cooperative agreements with State and local agencies (educational, mental health, and welfare) to assure specialized services are provided to target groups within this population. The DOR operates under a federal Order of Selection process, which gives priority to persons with the most significant disabilities.

The DOR also provides comprehensive training and supervision to enable persons who are blind or visually impaired to support themselves in the operation of vending stands, snack bars, and cafeterias. Prevocational services are provided by the Orientation Center for the Blind to newly blind adults to prepare them for basic rehabilitation services.

Persons with the most significant disabilities who can reasonably be expected to benefit from independent living services are provided a full range of services, including special adaptive equipment to assist in personal care, aides for communication, minor home modifications, and specialized wheelchairs for independent mobility.

### Major Budget Adjustment Included in 2000–01

- A decrease of $3,993,000 ($803,000 General Fund and $3,190,000 Federal Trust Fund) due to caseload changes in the Work Activity and Supported Employment Programs related to clients funded by the Vocational Rehabilitation Services Program.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5160 DEPARTMENT OF REHABILITATION—Continued

### Major Budget Adjustments Proposed for 2001–02

- An increase of $414,000 (Reimbursements) to make permanent 5.0 positions in the Americans with Disabilities Act (ADA) Unit and continue providing training and other assistance to state entities.
- An increase of $400,000 ($85,000 General Fund and $315,000 Federal Trust Fund) to fund additional costs associated with DOR's mandatory participation in Workforce Investment Act One-Stop Centers.
- A net decrease of $1,876,000 (an increase of $123,000 General Fund and a decrease of $1,999,000 Federal Trust Fund) due to projected caseload changes in the Work Activity and Supported Employment Programs related to clients funded by the Vocational Rehabilitation Services Program.

### Authority

Federal—Title IV of the Workforce Investment Act (Public Law 105-220), dated August 7, 1998.
State—Welfare and Institutions Code, Division 10, commencing with Section 19000.

**Table 1**

**Actual, Estimated and Projected New Plans and Rehabilitation by Program**
**Fiscal Years 1999–00, 2000–01 and 2001–02**

| | Actual 1999–00 | | Estimated 2000–01 | | Projected 2001–02 | |
|---|---|---|---|---|---|---|
| Type of Program | New Plans | Rehabs | New Plans | Rehabs | New Plans | Rehabs |
| DEPARTMENT, STATEWIDE | 25,477 | 11,268 | 27,983 | 11,468 | 23,064 | 11,365 |
| Programs | | | | | | |
| Base Program | 13,813 | 6,187 | 15,450 | 6,338 | 11,460 | 6,077 |
| WorkAbility II—ROP/C | 312 | 247 | 413 | 203 | 276 | 171 |
| WorkAbility III, Community College | 416 | 368 | 598 | 352 | 516 | 313 |
| WorkAbility IV, Universities | 38 | 99 | 52 | 104 | 48 | 86 |
| Transition Partnership Program | 4,866 | 1,887 | 5,496 | 1,905 | 4,680 | 2,077 |
| Mentally Ill Programs | 2,299 | 786 | 2,121 | 921 | 2,160 | 909 |
| Work Activity Programs | 952 | 386 | 1,104 | 264 | 1,236 | 293 |
| Supported Employment Programs | 2,751 | 1,308 | 2,749 | 1,381 | 2,688 | 1,439 |

## 20 HABILITATION SERVICES

### Program Objectives Statement

The Habilitation Services Program provides services to adults with developmental disabilities who are too significantly disabled to benefit from the vocational rehabilitation program but can benefit from vocational services that will increase their independence, work preparedness, vocational skills, and social functioning, with a goal of referral to the vocational rehabilitation program for competitive employment services. The DOR purchases habilitation services from community-based rehabilitation facilities for significantly disabled persons referred by the Department of Developmental Services regional center system.

Under this program, the DOR also serves blind and deaf-blind persons through counselor-teacher services, purchase of reader services, and community-based projects to serve the elderly blind.

### Major Budget Adjustment Included in 2000–01

- An increase of $5,814,000 ($5,291,000 General Fund and $523,000 Home and Community-Based Services Waiver reimbursements) due to caseload changes in the Work Activity and Supported Employment Programs related to clients funded by the Habilitation Services Program.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $570,000 ($285,000 General Fund and $285,000 Reimbursements) to make permanent 8.0 positions in the Community-Based Services Waiver Program and continue DOR's participation in that waiver.
- A net increase of $9,028,000 (an increase of $9,274,000 General Fund and a decrease of $246,000 Home and Community-Based Services Waiver reimbursements) due to projected caseload changes in the Work Activity and Supported Employment Programs related to clients funded by the Habilitation Services Program.

### Authority

Welfare and Institutions Code, Division 10, commencing with Section 19000; Chapter 1227, Statutes of 1978.

## 30 SUPPORT OF COMMUNITY FACILITIES

### Program Objectives Statement

The Community Resources Development Section, in conjunction with District office staff, works with for-profit, public, and not-for-profit organizations to develop and improve community-based vocational rehabilitation services for DOR clients. Services are provided by Community Rehabilitation Programs, including independent living centers, mental health and substance abuse programs, and programs providing special services to the deaf, hearing impaired, blind, and visually impaired.

The DOR sets standards for Community Rehabilitation programs and the services they provide. DOR certifies programs to ensure that standards are met and establishes fees for services purchased from programs. DOR inventories Community Rehabilitation programs and maintains a current listing of services available to DOR clients.

### Authority

Federal—Title IV of the Workforce Investment Act (Public Law 105-220), dated August 7, 1998.
State—Welfare and Institutions Code, Sections 19008, 19013, 19152, 19154, 19400–19402, and 19800–19813.

*** Dollars in thousands, except in Salary Range.**

## 5160 DEPARTMENT OF REHABILITATION—Continued

### 40 ADMINISTRATION

The principal responsibility of the Administration Program is to provide services essential for the administration of the Department and its programs. Services provided include executive, administrative, legal, fiscal, and information services, and costs for these services will be distributed back to the Vocational Rehabilitation Services, Habilitation Services, and Support of Community Facilities programs.

---

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**

**10 VOCATIONAL REHABILITATION SERVICES**

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$38,085* | *$45,910* | *$44,079* |
| *0600 Vending Stand Account* | *2,036* | *3,360* | *3,360* |
| *0890 Federal Trust Fund* | *241,629* | *259,259* | *258,919* |
| *0942 Special Deposit Fund* | *489* | *–* | *–* |
| *0995 Reimbursements* | *5,083* | *7,741* | *7,789* |
| Totals, State Operations | $287,322 | $316,270 | $314,147 |
| Local Assistance: | | | |
| *0001 General Fund* | *30* | *185* | *100* |
| *0890 Federal Trust Fund* | *487* | *487* | *487* |
| Totals, Local Assistance | $517 | $672 | $587 |

**ELEMENT REQUIREMENTS**

| | | | |
|---|---|---|---|
| 10.10 Rehabilitation Counseling and Placement | 269,250 | 294,626 | 292,189 |
| State Operations: | | | |
| *0001 General Fund* | *35,502* | *43,532* | *41,664* |
| *0890 Federal Trust Fund* | *228,351* | *243,718* | *243,246* |
| *0942 Special Deposit Fund* | *489* | *–* | *–* |
| *0995 Reimbursements* | *4,878* | *7,191* | *7,179* |
| Local Assistance: | | | |
| *0001 General Fund* | *30* | *185* | *100* |
| 10.20 Business Enterprise Program | 8,459 | 11,561 | 11,938 |
| State Operations: | | | |
| *0001 General Fund* | *1,468* | *1,038* | *1,119* |
| *0890 Federal Trust Fund* | *4,955* | *7,163* | *7,459* |
| *0600 Vending Stand Account, Special Deposit Fund* | *2,036* | *3,360* | *3,360* |
| 10.30 Orientation Center for the Blind | 2,373 | 2,840 | 2,610 |
| State Operations: | | | |
| *0001 General Fund* | *505* | *790* | *741* |
| *0890 Federal Trust Fund* | *1,866* | *2,042* | *1,861* |
| *0995 Reimbursements* | *2* | *8* | *8* |
| 10.40 Other Rehabilitation Services | 5,471 | 5,275 | 5,355 |
| State Operations: | | | |
| *0001 General Fund* | *501* | *435* | *440* |
| *0890 Federal Trust Fund* | *4,280* | *3,811* | *3,826* |
| *0995 Reimbursements* | *203* | *542* | *602* |
| Local Assistance: | | | |
| *0890 Federal Trust Fund* | *487* | *487* | *487* |
| 10.50 Independent Living Rehabilitation Services | 2,286 | 2,640 | 2,642 |
| State Operations: | | | |
| *0001 General Fund* | *109* | *115* | *115* |
| *0890 Federal Trust Fund* | *2,177* | *2,525* | *2,527* |

**PROGRAM REQUIREMENTS**

**20 HABILITATION SERVICES**

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$2,222* | *$2,103* | *$2,165* |
| *0890 Federal Trust Fund* | *15* | *32* | *29* |
| *0995 Reimbursements* | *175* | *100* | *137* |
| Totals, State Operations | $2,412 | $2,235 | $2,331 |
| Local Assistance: | | | |
| *0001 General Fund* | *84,580* | *99,368* | *103,351* |
| *0890 Federal Trust Fund* | *199* | *938* | *938* |
| *0995 Reimbursements* | *17,300* | *12,930* | *12,161* |
| Totals, Local Assistance | $102,079 | $113,236 | $116,450 |

*** Dollars in thousands, except in Salary Range.**

## 5160 DEPARTMENT OF REHABILITATION—Continued

| ELEMENT REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 20.10 Work Activity Program | $44,657 | $57,534 | $61,065 |
| State Operations: | | | |
| *0001 General Fund* | *1,511* | *1,530* | *1,545* |
| Local Assistance: | | | |
| *0001 General Fund* | *43,146* | *47,508* | *51,069* |
| *0995 Reimbursements* | – | *8,496* | *8,451* |
| 20.30 Counselor-Teacher and Reader Services | 391 | 1,072 | 1,072 |
| State Operations: | | | |
| *0001 General Fund* | *150* | *102* | *105* |
| *0890 Federal Trust Fund* | *15* | *32* | *29* |
| Local Assistance: | | | |
| *0001 General Fund* | *27* | – | – |
| *0890 Federal Trust Fund* | *199* | *938* | *938* |
| 20.40 Supported Employment Services | 41,794 | 56,666 | 56,370 |
| State Operations: | | | |
| *0001 General Fund* | *387* | *372* | *378* |
| Local Assistance: | | | |
| *0001 General Fund* | *41,407* | *51,860* | *52,282* |
| *0995 Reimbursements* | – | *4,434* | *3,710* |
| 20.50 Home and Community-Based Services Waiver | 17,649 | 199 | 274 |
| State Operations: | | | |
| *0001 General Fund* | *174* | *99* | *137* |
| *0995 Reimbursements* | *175* | *100* | *137* |
| Local Assistance: | | | |
| *0995 Reimbursements* | *17,300* | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **30 SUPPORT OF COMMUNITY FACILITIES** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$323* | *$454* | *$460* |
| *0890 Federal Trust Fund* | *3,024* | *5,474* | *5,497* |
| Totals, State Operations | $3,347 | $5,928 | $5,957 |
| Local Assistance: | | | |
| *0001 General Fund* | *3,421* | *5,921* | *3,421* |
| *0890 Federal Trust Fund* | *6,837* | *6,837* | *6,837* |
| Totals, Local Assistance | $10,258 | $12,758 | $10,258 |
| **ELEMENT REQUIREMENTS** | | | |
| 30.10 Technical Consultation to Rehabilitation Facilities | 1,334 | 1,981 | 1,760 |
| State Operations: | | | |
| *0001 General Fund* | *284* | *370* | *376* |
| *0890 Federal Trust Fund* | *1,050* | *1,361* | *1,384* |
| Local Assistance: | | | |
| *0001 General Fund* | – | *250* | – |
| 30.20 Grants to Rehabilitation Facilities | 1,964 | 4,144 | 4,144 |
| State Operations: | | | |
| *0001 General Fund* | *29* | *31* | *31* |
| *0890 Federal Trust Fund* | *1,935* | *4,113* | *4,113* |
| 30.30 Grants to Independent Living Centers | 10,307 | 12,561 | 10,311 |
| State Operations: | | | |
| *0001 General Fund* | *10* | *53* | *53* |
| *0890 Federal Trust Fund* | *39* | – | – |
| Local Assistance: | | | |
| *0001 General Fund* | *3,421* | *5,671* | *3,421* |
| *0890 Federal Trust Fund* | *6,837* | *6,837* | *6,837* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $293,081 | $324,433 | $322,435 |
| Local Assistance | 112,854 | 126,666 | 127,295 |
| TOTALS, EXPENDITURES | $405,935 | $451,099 | $449,730 |
| State Operations: | | | |
| *0001 General Fund* | *40,630* | *48,467* | *46,704* |
| *0600 Vending Stand Account* | *2,036* | *3,360* | *3,360* |
| *0890 Federal Trust Fund* | *244,668* | *264,765* | *264,445* |
| *0942 Special Deposit Fund* | *489* | – | – |
| *0995 Reimbursements* | *5,258* | *7,841* | *7,926* |
| Totals, State Operations | $293,081 | $324,433 | $322,435 |

*** Dollars in thousands, except in Salary Range.**

## 5160 DEPARTMENT OF REHABILITATION—Continued

| Local Assistance: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$88,031* | *$105,474* | *$106,872* |
| *0890 Federal Trust Fund* | *7,523* | *8,262* | *8,262* |
| *0995 Reimbursements* | *17,300* | *12,930* | *12,161* |
| Totals, Local Assistance | $112,854 | $126,666 | $127,295 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1,956.7 | 2,250.6 | 2,237.6 | $84,473 | $98,721 | $100,163 |
| Total Adjustments | – | – | 10.5 | – | 12 | 651 |
| Estimated Salary Savings | – | −151.3 | −151.3 | – | −7,759 | −7,341 |
| Net Totals, Salaries and Wages | 1,956.7 | 2,099.3 | 2,096.8 | $84,473 | $90,974 | $93,473 |
| Staff Benefits | – | – | – | 19,256 | 19,537 | 19,711 |
| Totals, Personal Services | 1,956.7 | 2,099.3 | 2,096.8 | $103,729 | $110,511 | $113,184 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $189,342 | $213,922 | $209,251 |
| SPECIAL ITEMS OF EXPENSE | | | | 10 | – | – |
| Totals, Special Items of Expense | | | | $10 | – | – |
| TOTALS, EXPENDITURES | | | | $293,081 | $324,433 | $322,435 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $43,578 | $46,682 | $46,704 |
| Allocation for employee compensation | 1,621 | 56 | – |
| Adjustment per Section 3.60 | −1,181 | −174 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 12 | – |
| Adjustment per Section 16.00 | 9 | – | – |
| Transfer to Legislative Claims (9670) | −23 | −8 | – |
| Prior year balances available: | | | |
| Item 5160-001-0001, Budget Act of 1999 as reappropriated by Item 5160-490, Budget Act of 2000 | – | 2,836 | – |
| Totals Available | $44,004 | $49,404 | $46,704 |
| Balance available in subsequent years | −2,836 | – | – |
| Unexpended balance, estimated savings | −538 | −937 | – |
| TOTALS, EXPENDITURES | $40,630 | $48,467 | $46,704 |

### 0600 Vending Stand Account [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,360 | $3,360 | $3,360 |
| Unexpended balance, estimated savings | −1,324 | – | – |
| TOTALS, EXPENDITURES | $2,036 | $3,360 | $3,360 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $255,307 | $266,059 | $264,445 |
| Allocation for employee compensation | 5,639 | 201 | – |
| Adjustment per Section 3.60 | −4,219 | −609 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 41 | – |
| Adjustment per Section 16.00 | 51 | – | – |
| Budget adjustment | −9,350 | −3,687 | – |
| Prior year balances available: | | | |
| Item 5160-001-0890, Budget Act of 1999 as reappropriated by Item 5160-490, Budget Act of 2000 | – | 2,760 | – |
| Federal Fund Detail: | | | |
| Client assistance | (1,107) | (1,070) | (1,070) |
| Rehabilitation services and facilities—basic support | (229,749) | (254,794) | (254,474) |

*** Dollars in thousands, except in Salary Range.**

## 5160 DEPARTMENT OF REHABILITATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Rehabilitation training | ($457) | ($390) | ($390) |
| Independent living rehabilitation services | (2,270) | (2,465) | (2,465) |
| Assistive technology | (1,222) | (1,337) | (1,337) |
| Supported employment—VIC | (4,113) | (4,113) | (4,113) |
| Independent living services for older individuals who are blind | (15) | (86) | (86) |
| SSA Cooperative Program | (495) | (510) | (510) |
| SSI/SSDI Reimbursements | (8,000) | – | – |
| Totals Available | $247,428 | $264,765 | $264,445 |
| Balance available in subsequent years | –2,760 | – | – |
| TOTALS, EXPENDITURES | $244,668 | $264,765 | $264,445 |

### 0942 Special Deposit Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (expenditures) | $489 | – | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $5,258 | $7,841 | $7,926 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $293,081 | $324,433 | $322,435 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Work Activity Services | $43,146 | $47,508 | $59,520 |
| Supported Employment Services | 41,407 | 51,860 | 55,992 |
| Home and Community-Based Services Waiver | 17,300 | 12,930 | – |
| Independent Living Centers | 10,258 | 12,758 | 10,258 |
| Employment of Persons with Disabilities | 30 | 185 | 100 |
| Community Facilities | 713 | 1,425 | 1,425 |
| TOTALS, EXPENDITURES | $112,854 | $126,666 | $127,295 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation | $77,589 | $100,098 | $106,872 |
| Allocation for contingencies or emergencies | 10,412 | 5,291 | – |
| Chapter 861, Statutes of 1999 | 115 | – | – |
| Prior year balances available: | | | |
| Chapter 861, Statutes of 1999 | – | 85 | – |
| Totals Available | $88,116 | $105,474 | $106,872 |
| Balance available in subsequent years | –85 | – | – |
| TOTALS, EXPENDITURES | $88,031 | $105,474 | $106,872 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | $7,523 | $8,262 | $8,262 |
| Federal Fund Detail: | | | |
| Vocational Rehabilitation Services for SSI/SSDI recipients | (7,324) | – | – |
| Independent living services for older individuals who are blind | (199) | – | – |
| TOTALS, EXPENDITURES | $7,523 | $8,262 | $8,262 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $17,300 | $12,930 | $12,161 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $112,854 | $126,666 | $127,295 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $405,935 | $451,099 | $449,730 |

*** Dollars in thousands, except in Salary Range.**

## 5160 DEPARTMENT OF REHABILITATION—Continued

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 1,956.7 | 2,250.6 | 2,237.6 | $84,473 | $98,721 | $100,163 |
| Salary adjustments | – | – | – | – | 12 | 20 |
| Totals, Adjusted Authorized Positions | 1,956.7 | 2,250.6 | 2,237.6 | $84,473 | $98,733 | $100,183 |
| Reduction per Section 31.50: | | | | | | |
| Northern Region: | | | | Salary Range | | |
| Voc Rehab Counselor | – | (–1.0) | (–1.0) | – | – | – |
| Case Svc Suprv | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Techn-Typing | – | (–0.5) | (–0.5) | – | – | – |
| Case Svc Asst | – | (–0.5) | (–0.5) | – | – | – |
| Totals | – | (–3.0) | (–3.0) | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Northern Region: | | | | | | |
| Rehab Supvr | – | – | –0.9 | 3,833–4,659 | – | –46 |
| Sr Voc Rehab Counselor | – | – | –0.9 | 3,466–4,331 | – | –42 |
| Voc Rehab Counselor | – | – | –12.1 | 3,163–3,942 | – | –516 |
| Case Svc Supvr | – | – | –1.0 | 2,443–2,967 | – | –32 |
| Ofc Techn-Typing | – | – | –1.5 | 2,348–2,855 | – | –16 |
| Case Svc Asst | – | – | –6.1 | 2,180–2,648 | – | –133 |
| Southern Region: | | | | | | |
| Rehab Supvr | – | – | –0.9 | 3,833–4,659 | – | –46 |
| Sr Voc Rehab Counselor | – | – | –0.9 | 3,466–4,331 | – | –42 |
| Voc Rehab Counselor | – | – | –12.1 | 3,163–3,942 | – | –516 |
| Case Svc Supvr | – | – | –1.0 | 2,443–2,967 | – | –32 |
| Ofc Techn-Typing | – | – | –0.5 | 2,348–2,855 | – | –16 |
| Case Svc Asst | – | – | –4.6 | 2,180–2,648 | – | –133 |
| Totals | – | – | –42.5 | – | – | –$1,570 |
| Proposed New Positions: | | | | | | |
| Northern Region: | | | | | | |
| Temporary Help | – | – | 20.0 | – | – | 785 |
| Southern Region: | | | | | | |
| Temporary Help | – | – | 20.0 | – | – | 785 |
| Totals | – | – | 40.0 | – | – | $1,570 |
| Proposed New Positions: | | | | | | |
| Americans with Disabilities Act: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 4.0 | 3,915–4,759 | – | 207 |
| Trng Ofcr I | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Facility Technical Support: | | | | | | |
| Assoc Admin Analyst | – | – | 1.0 | 4,110–4,997 | – | 54 |
| Staff Svcs Analyst | – | – | 2.0 | 3,255–3,957 | – | 87 |
| Ofc Techn-Typing | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Information System Services: | | | | | | |
| Assoc Programmer | – | – | 2.0 | 4,110–4,997 | – | 110 |
| Audits: | | | | | | |
| Staff Svcs Mgmt Auditor | – | – | 2.0 | 3,418–4,155 | – | 90 |
| Totals, Proposed New Positions | – | – | 13.0 | – | – | $631 |
| Total Adjustments | – | – | 10.5 | – | $12 | $651 |
| TOTALS, SALARIES AND WAGES | 1,956.7 | 2,250.6 | 2,248.1 | $84,473 | $98,733 | $100,814 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **50 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Minor Projects** | | | |
| 51.60.001 Orientation Center for the Blind | – | $295 | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | – | $295 | – |
| *0001 General Fund* | – | *295* | – |

*** Dollars in thousands, except in Salary Range.**

## 5160 DEPARTMENT OF REHABILITATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **RECONCILIATION WITH APPROPRIATIONS** | | | |
| **CAPITAL OUTLAY** | | | |
| **0001 General Fund** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | – | $295 | – |
| TOTALS, EXPENDITURES (Capital Outlay) | – | $295 | – |

## 5170 STATE INDEPENDENT LIVING COUNCIL

The State Independent Living Council is required by the Federal Rehabilitation Act of 1973, as amended by Title 29 U.S.C. Section 796d(a), in order for the state to receive financial assistance for Independent Living Services and the Centers for Independent Living. The Council, in conjunction with the Department of Rehabilitation, is responsible for developing a state plan for independent living and for monitoring, reviewing and evaluating the implementation of that plan.

### Authority

Federal—Rehabilitation Act of 1973, as amended.
State—Welfare and Institutions Code, Division 10, commencing with Section 19091.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 State Council Services (Reimbursements) | 2.9 | 3.0 | 3.0 | $399 | $428 | $429 |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS | | | | | | |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
| Authorized Positions (Equals Sch. 7A) | 2.9 | 3.0 | 3.0 | $158 | $170 | $172 |
| Total Adjustments | – | – | – | – | 1 | 2 |
| Net Totals, Salaries and Wages | 2.9 | 3.0 | 3.0 | $158 | $171 | $174 |
| Staff Benefits | – | – | – | 23 | 20 | 21 |
| Totals, Personal Services | 2.9 | 3.0 | 3.0 | $181 | $191 | $195 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $218 | $237 | $234 |
| TOTALS, EXPENDITURES | | | | $399 | $428 | $429 |

| RECONCILIATION WITH APPROPRIATIONS<br>1 STATE OPERATIONS<br>0001 General Fund | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (expenditures) | $0 [1] | $0 [1] | $0 [1] |
| **0995 Reimbursements** | | | |
| Reimbursements | $399 | $428 | $429 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $399 | $428 | $429 |

[1] Item is fully reimbursed.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5170 STATE INDEPENDENT LIVING COUNCIL—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 2.9 | 3.0 | 3.0 | $158 | $170 | $172 |
| Salary adjustments | – | – | – | – | 1 | 2 |
| Totals, Adjusted Authorized Positions | 2.9 | 3.0 | 3.0 | $158 | $171 | $174 |
| Total Adjustments | – | – | – | – | $1 | $2 |
| TOTALS, SALARIES AND WAGES | 2.9 | 3.0 | 3.0 | $158 | $171 | $174 |

# 5175 DEPARTMENT OF CHILD SUPPORT SERVICES

The mission of the California Child Support Program is to promote the well being of children and the self-sufficiency of families by delivering first-rate child support services and collection activities that contribute to meeting the financial, medical, and emotional needs of children.

The Department of Child Support Services was established pursuant to Chapter 478, and Chapter 480, Statutes of 1999. The Department is the single state agency designated to administer the Title IV-D state plan. The Department is responsible for providing strong state leadership to ensure that all functions necessary to establish, collect and distribute child support in California, including securing child and spousal support, medical support and determining paternity, are effectively and efficiently implemented.

Pursuant to Chapter 479, Statutes of 1999, the Franchise Tax Board is designated as the agent of the Department to procure, develop, implement, and maintain the operations of the statewide California Child Support Automation System. The Department has the responsibility for maintaining interim automation systems.

The statewide child support program is founded on a belief that California's children have the right to be supported by both parents financially, medically and emotionally. The child support program is committed to ensuring that California's children are given every advantage in obtaining these rights in a fair and consistent manner throughout the state. The child support program is committed to providing the highest quality services and collection activities in the most efficient and effective manner.

### Authority

Family Code, Division 17, Chapter 1.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Child Support Services Program | 47.2 | 191.2 | 229.1 | $7,097 | $947,722 | $1,105,198 |
| TOTALS, PROGRAMS | 47.2 | 191.2 | 229.1 | $7,097 | $947,722 | $1,105,198 |
| *0001 General Fund* | | | | *2,446* | *402,558* | *487,198* |
| *0890 Federal Trust Fund* | | | | *4,651* | *545,042* | *617,878* |
| *0995 Reimbursements* | | | | – | *122* | *122* |

## 10 CHILD SUPPORT SERVICES

### Program Objectives Statement

The Department of Child Support Services provides assistance to persons receiving CalWORKs and/or Medi-Cal and to unaided persons, upon application, in obtaining child support and medical support. The objective of the Child Support Program is to provide an effective system for encouraging and, when necessary, enforcing parental responsibilities, by establishing paternity for children, establishing court orders for financial and medical support, and enforcing those orders so that children receive financial and medical support as ordered.

### Child Support Collections

| | *Total* | *Non-Assistance* | *Assistance* [1] | *State Share of Assistance* | *Federal Share of Assistance* |
|---|---|---|---|---|---|
| **FY 2000/01** | $2,100,088,000 | $1,348,809,000 | $751,279,000 | $350,320,000 | $362,152,000 |
| **FY 2001/02** | $2,327,752,000 | $1,503,622,000 | $824,130,000 | $385,052,000 | $396,464,000 |

[1] Assistance total includes county share of collections.

### Major Budget Adjustment Included in 2000–01

- An increase of $3,761,000 ($1,279,000 General Fund) for the Pre-Statewide Interim Systems Management (PRISM) project, to support the activities of 18 counties transitioning to one of the approved interim child support consortia systems.

### Major Budget Adjustments Proposed for 2001–02

- A continuation of $224,000 ($76,000 General Fund) for contract resources for interim systems automation oversight.
- A continuation of $593,000 ($202,000 General Fund) and 9.0 limited-term positions (8.5 personnel years) to continue the development and implementation of child support policy for the establishment and enforcement of child support orders in California.
- An increase of $291,000 ($99,000 General Fund) to establish an office in Southern California and to fund position upgrades to create a mid-level management team.
- An increase of $600,000 in federal funds to pass through to the Department of Fish and Game for enhancements to the State Licensing Match System (SLMS), as required by federal law.
- An increase of $1,580,000 ($537,000 General Fund) for the PRISM project to support the activities of 18 counties transitioning to one of the approved interim child support consortia systems.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5175 DEPARTMENT OF CHILD SUPPORT SERVICES—Continued

### PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 CHILD SUPPORT SERVICES PROGRAM

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$2,446* | *$31,816* | *$32,063* |
| *0890 Federal Trust Fund* | *4,651* | *75,255* | *76,144* |
| *0995 Reimbursements* | – | *122* | *122* |
| Totals, State Operations | $7,097 | $107,193 | $108,329 |
| Local Assistance: | | | |
| *0001 General Fund* | – | *370,742* | *455,135* |
| *0890 Federal Trust Fund* | – | *469,787* | *541,734* |
| Totals, Local Assistance | – | $840,529 | $996,869 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.01 Child Support Administration | | | |
| State Operations | 7,097 | 107,193 | 108,329 |
| *0001 General Fund* | *2,446* | *31,816* | *32,063* |
| *0890 Federal Trust Fund* | *4,651* | *75,255* | *76,144* |
| *0995 Reimbursements* | – | *122* | *122* |
| Local Assistance | – | 566,073 | 665,595 |
| *0001 General Fund* | – | *157,668* | *185,028* |
| *0890 Federal Trust Fund* | – | *408,405* | *480,567* |
| *County Funds (Non Add)* | *(–)* | *(258,571)* | *(316,574)* |
| 10.02 Child Support Incentives | | | |
| Local Assistance | – | 259,730 | 317,733 |
| *0001 General Fund* | – | *213,074* | *270,107* |
| *0890 Federal Trust Fund* | – | *46,656* | *47,626* |
| *County Funds (Non Add)* | *(–)* | *(–258,571)* | *(–316,574)* |
| 10.03 Child Support Automation | | | |
| Local Assistance | – | 14,726 | 13,541 |
| *0890 Federal Trust Fund* | – | *14,726* | *13,541* |

### 10.01 Child Support Administration

#### Program Element Statement

Federal, state and county governments share the costs of operating expenses and the salaries and benefits of county staff administering the child support program. The federal government pays 66 percent of the total program costs and also pays a federal incentive based on the State's performance in five performance categories. State incentive dollars are used to fund the county share of costs that are not covered by the federal participation in the administrative costs and the federal incentive payment. County administrative expenses not meeting statutory criteria remain a county responsibility.

#### Major Budget Adjustments Included in 2000–01

- A decrease of $12,104,000 in Basic Administration costs (county funds) due to a reduction in federal incentive funding, resulting in a corresponding reduction of $23,814,000 in federal financial participation for administrative costs.
- An increase of $2,015,000 General Fund for EDP Conversion and Enhancements due to additional counties transitioning to one of the interim consortia systems.
- An increase of $11,961,000 General Fund for the Alternative Federal Penalty as a result of increased administrative expenditures and an increased penalty rate.
- An increase of $17,000,000 General Fund for the Statewide Automated Child Support System (SACSS) Closeout Audit, to repay the federal government for certain SACSS expenditures determined ineligible for federal financial participation at the enhanced match rate.

#### Major Budget Adjustments Proposed for 2001–02

- A decrease of $17,000,000 General Fund for the SACSS Closeout Audit, reflecting the repayment of the federal audit disallowance in 2000–01.
- A decrease of $6,273,000 General Fund for EDP Conversion and Enhancements, reflecting the completion of the conversion activities related to the interim consortia systems.
- An increase of $49,713,000 General Fund for the Alternative Federal Penalty, reflecting an increased penalty rate.

### 10.02 Child Support Incentives

#### Program Element Statement

The Department pays child support incentives to each county to fund the nonfederal share of administrative costs incurred by the local child support agency. The combined federal and state incentive payments equal 13.6 percent of total distributed collections. The amount of the federal incentive is based on the State's performance and is subject to a capped amount provided in the federal budget. The state incentive amount equals 13.6 percent of distributed collections less the federal incentive payment.

*** Dollars in thousands, except in Salary Range.**

## 5175 DEPARTMENT OF CHILD SUPPORT SERVICES—Continued

### Major Budget Adjustment Included in 2000–01

- A decrease of $12,104,000 for Basic Incentives due to revised assumptions for federal incentives, which now reflect full implementation of the new federal incentive methodology in the current year.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $57,033,000 General Fund attributable to full implementation of the new federal incentive methodology and increased child support collections.

### 10.03 Child Support Automation

### Program Element Statement

Federal and state funds are used to finance the costs of a statewide automated child support system, as required by federal law. The Franchise Tax Board (FTB) is the Department's agent for development of the statewide automation system, and the General Fund necessary to match the federal funds for development of the statewide system is reflected in FTB's budget.

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 47.2 | 241.7 | 232.2 | $2,440 | $12,597 | $12,551 |
| Total Adjustments | – | – | 9.0 | – | – | 662 |
| Estimated Salary Savings | – | –50.5 | –12.1 | – | –2,641 | –642 |
| Net Totals, Salaries and Wages | 47.2 | 191.2 | 229.1 | $2,440 | $9,956 | $12,571 |
| Staff Benefits | – | – | – | 419 | 2,160 | 2,672 |
| Totals, Personal Services | 47.2 | 191.2 | 229.1 | $2,859 | $12,116 | $15,243 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,238 | $95,077 | $93,086 |
| TOTALS, EXPENDITURES | | | | $7,097 | $107,193 | $108,329 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (support) | – | $11,492 | $10,210 |
| Allocation for employee compensation | – | 17 | – |
| Allocation for contingencies or emergencies | – | 1,279 | – |
| Adjustment per Section 3.60 | – | –36 | – |
| Transfer from Item 5180-001-0001 per Chapter 479, Statutes of 1999 | $1,328 | – | – |
| Transfer from Item 5180-101-0001 per Chapter 479, Statutes of 1999 | 1,719 | – | – |
| 002 Budget Act appropriation (Child Support Services Program) | – | 18,957 | 21,853 |
| Allocation for contingencies or emergencies | – | 107 | – |
| Totals Available | $3,047 | $31,816 | $32,063 |
| Unexpended balance, estimated savings | –601 | – | – |
| TOTALS, EXPENDITURES | $2,446 | $31,816 | $32,063 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (support) | – | $22,307 | $19,627 |
| Allocation for employee compensation | – | 34 | – |
| Adjustment per Section 3.60 | – | –70 | – |
| Transfer from Item 5180-001-0890 per Chapter 479, Statutes of 1999 | $2,578 | – | – |
| Transfer from Item 5180-141-0890 per Chapter 479, Statutes of 1999 | 3,335 | – | – |
| Budget adjustment | – | 2,482 | – |
| 002 Budget Act appropriation (Child Support Services Program) | – | 58,273 | 56,517 |
| Budget adjustment | –1,262 | –7,771 | – |
| TOTALS, EXPENDITURES | $4,651 | $75,255 | $76,144 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | – | $122 | $122 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $7,097 | $107,193 | $108,329 |

*** Dollars in thousands, except in Salary Range.**

## 5175 DEPARTMENT OF CHILD SUPPORT SERVICES—Continued

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 665741 County administration | – | $825,803 | $983,328 |
| 666751 Automation projects | – | 14,726 | 13,541 |
| TOTALS, EXPENDITURES | – | $840,529 | $996,869 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation | – | $339,793 | $455,135 |
| Allocation for contingencies or emergencies | – | 30,949 | – |
| TOTALS, EXPENDITURES | – | $370,742 | $455,135 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | – | $530,875 | $541,734 |
| Budget adjustment | – | –61,088 | – |
| TOTALS, EXPENDITURES | – | $469,787 | $541,734 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | – | $840,529 | $996,869 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $7,097 | $947,722 | $1,105,198 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 47.2 | 241.7 | 232.2 | $2,440 | $12,597 | $12,551 |
| Workload and Administrative Adjustments: | | | | | | |
| Position Reclasses: | | | | | | |
| Child Support Services Division: | | | | Salary Range | | |
| To: C.E.A. II | – | – | 1.0 | 6,953–7,668 | – | 92 |
| From: Assoc Govtl Prog Analyst | – | – | –1.0 | 3,915–4,759 | – | –47 |
| To: Staff Svcs Mgr III | – | – | 3.0 | 6,032–6,651 | – | 240 |
| From: Assoc Govtl Prog Analyst | – | – | –3.0 | 3,915–4,759 | – | –141 |
| Totals, Child Support Services Division | – | – | – | – | – | $144 |
| Administrative Services Division: | | | | | | |
| To: C.E.A. I | – | – | 2.0 | 5,493–6,975 | – | 145 |
| From: Assoc Govtl Prog Analyst | – | – | –2.0 | 3,915–4,759 | – | –94 |
| Totals, Administrative Services Division | – | – | – | – | – | $51 |
| Totals, Position Reclasses | – | – | – | – | – | $195 |
| Totals, Workload and Administrative Adjustments | – | – | – | – | – | $195 |
| Proposed New Positions: | | | | | | |
| Child Support Services Division: | | | | | | |
| Policy Branch: | | | | | | |
| Policy Bureau: | | | | | | |
| Assoc Govtl Prog Analyst [1] | – | – | 7.0 | – | – | 363 |
| Fiscal Policy Bureau: | | | | | | |
| Assoc Govtl Prog Analyst [1] | – | – | 2.0 | – | – | 104 |
| Totals, Child Support Services Division | – | – | 9.0 | – | – | $467 |
| Totals, Proposed New Positions | – | – | 9.0 | – | – | $467 |
| Total Adjustments | – | – | 9.0 | – | – | $662 |
| TOTALS, SALARIES AND WAGES | 47.2 | 241.7 | 241.2 | $2,440 | $12,597 | $13,213 |

[1] All positions limited-term to 12/30/03.

*** Dollars in thousands, except in Salary Range.**

# 5180 DEPARTMENT OF SOCIAL SERVICES

The Department of Social Services administers four major programs: welfare, social services, community care licensing, and disability evaluation. The Department is responsible for the following functions:

1) Supervise county delivery of payments and benefits to welfare recipients and provide services that foster self-sufficiency and dignity.
2) Supervise county delivery of social services to the elderly, blind, disabled, and other adults and children; protect them from abuse, neglect, and exploitation; and help families stay together.
3) Regulate group homes, foster homes, child care, and residential care for adults and the elderly, and assure that they meet established standards for health and safety.
4) Evaluate the eligibility of applicants for federal and state programs to aid the disabled in an efficient and equitable manner.
5) Provide adoption services in some counties.
6) Issue individual family grants to assist qualified disaster victims in times of a Presidentially declared federal disaster.

## Authority

Welfare and Institutions Code, Division 9, Part 2, Chapter 2.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 16 Welfare Program | 577.2 | 557.9 | 559.7 | $10,222,627 | $10,097,730 | $10,437,551 |
| 16.30 CalWORKs | (290.5) | (256.7) | (257.6) | (5,481,837) | (5,463,901) | (5,343,957) |
| 16.40 Foster Care | (137.2) | (140.7) | (143.5) | (858,847) | (882,741) | (935,417) |
| 16.45 Non-Assistance Child Support Incentives | – | – | – | (128,871) | – | – |
| 16.50 Adoption Assistance Program | – | – | – | (189,718) | (242,317) | (282,796) |
| 16.55 Refugee Cash Assistance | (5.8) | (6.3) | (6.2) | (4,036) | (3,667) | (3,675) |
| 16.60 Food Assistance Programs | (140.1) | (141.2) | (144.1) | (75,535) | (65,928) | (59,053) |
| 16.70 Supplemental Security Income/State Supplementary Payment Program | (3.6) | (8.5) | (8.3) | (2,501,786) | (2,627,034) | (2,871,420) |
| 16.80 County Administration | – | – | – | (857,599) | (670,657) | (737,440) |
| 16.85 Automation Projects | – | – | – | (124,398) | (141,045) | (203,793) |
| 16.90 Disaster Relief | – | (4.5) | – | – | (440) | – |
| 25 Social Services and Licensing | 1,491.9 | 1,557.3 | 1,583.3 | 3,348,237 | 3,911,023 | 4,256,982 |
| 25.15 In-Home Supportive Services (IHSS) | (46.6) | (72.4) | (71.7) | (1,586,921) | (1,947,051) | (2,233,217) |
| 25.25 Children's Services | (271.2) | (270.3) | (274.9) | (1,505,636) | (1,701,064) | (1,743,833) |
| 25.35 Special Programs | (24.8) | (27.1) | (26.9) | (146,332) | (143,720) | (159,180) |
| 25.45 Community Care Licensing | (1,149.3) | (1,187.5) | (1,209.8) | (109,348) | (119,188) | (120,752) |
| 35 Disability Evaluation and Other Services | 1,749.4 | 1,763.3 | 1,762.6 | 197,320 | 212,457 | 212,887 |
| 35.15 Disability Evaluation | (1,698.0) | (1,709.5) | (1,704.7) | (188,242) | (204,067) | (203,741) |
| 35.25 Services to Other Agencies | (51.4) | (53.8) | (57.9) | (9,078) | (8,390) | (9,146) |
| 60 Administration | 443.8 | 448.2 | 438.2 | 23,746 | 36,321 | 35,358 |
| Distributed Administration | – | – | – | –23,746 | –36,321 | –35,358 |
| TOTALS, PROGRAMS | 4,262.3 | 4,326.7 | 4,343.8 | $13,768,184 | $14,221,210 | $14,907,420 |
| *0001 General Fund* | | | | *6,839,434* | *7,026,365* | *7,670,598* |
| *0122 Emergency Food Assistance Program Fund* | | | | – | *560* | *293* |
| *0131 Foster Family Home and Small Family Home Insurance Fund* | | | | *–145* | – | – |
| *0163 Continuing Care Provider Fee Fund* | | | | *474* | *633* | *642* |
| *0270 Technical Assistance Fund* | | | | *1,757* | *2,143* | *2,711* |
| *0271 Certification Fund* | | | | *1,171* | *1,187* | *1,187* |
| *0279 Child Health and Safety Fund* | | | | *1,105* | *1,329* | *805* |
| *0287 Youth Pilot Program Fund* | | | | *–121* | – | – |
| *0514 Employment Training Fund* | | | | *30,000* | *30,000* | *15,000* |
| *0803 State Children's Trust Fund* | | | | *2,226* | *1,644* | *2,931* |
| *0890 Federal Trust Fund* | | | | *5,859,381* | *5,908,701* | *5,760,147* |
| *0995 Reimbursements* | | | | *1,032,902* | *1,248,648* | *1,453,106* |
| *County Funds (Non Add)* | | | | *(1,072,133)* | *(1,105,036)* | *(1,168,205)* |

## 16 WELFARE PROGRAMS

### Program Objectives Statement

The Department's public assistance programs provide temporary financial assistance to California residents who are temporarily unable to support themselves. This program is currently comprised of nine elements: (1) California Work Opportunity and Responsibility to Kids (CalWORKs); (2) Foster Care; (3) Adoption Assistance Program; (4) Refugee Cash Assistance; (5) Food Assistance Programs; (6) Supplemental Security Income/State Supplementary Payment Program; (7) County Administration; (8) Automation Projects; and (9) Disaster Relief. The objective of this program is to provide temporary financial assistance to eligible, needy and dependent persons to enable achievement of self-sufficiency, and monitor, administer, and improve the quality of all welfare services.

### Major Budget Adjustments Included in 2000–01

- An increase of $575,000 General Fund for the Emergency Food Assistance Program, to help mitigate the impact of the Tri-Valley Growers bankruptcy and to continue to accept, transport and distribute USDA commodities and other donated food, in accordance with Chapter 309, Statutes of 2000.
- An increase of $440,000 General Fund and 4.5 positions (4.5 personnel years) for the implementation of the Individual and Family Grant Program in support of the Napa Valley Earthquake of 2000.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

### Major Budget Adjustments Proposed for 2001–02

- An increase of $454,000 ($227,000 General Fund) and 6.0 positions (5.7 personnel years) to monitor county Foster Care Title IV-E Eligibility.
- An increase of $228,000 ($70,000 General Fund) to implement a system to participate in the United States Department of Health and Human Services Public Assistance Reporting Information System project.
- An increase of $225,000 General Fund for an evaluation of the Kinship Support Services Program pursuant to Chapter 866, Statutes of 2000.
- An increase of $158,000 ($79,000 General Fund) and 2.0 positions (1.9 personnel years) to implement the Indian Child Welfare Act.
- A continuation of $321,000 ($98,000 General Fund) and 4.0 positions (3.7 personnel years) for statewide implementation of the Electronic Benefit Transfer system.
- A continuation of $286,000 ($143,000 General Fund) to make permanent 4.0 positions (3.8 personnel years) for the Office of the Ombudsman for Foster Care.
- A continuation of $222,000 federal funds and 3.0 positions (2.8 personnel years) for management of the Cal-Learn program.

### Authority

Welfare and Institutions Code Section, Division 9 Public Social Services, Parts 1, 2, 3, 4 and 6.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 16 WELFARE PROGRAMS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$43,492* | *$24,606* | *$22,743* |
| *0890 Federal Trust Fund* | *101,957* | *46,940* | *47,533* |
| *0995 Reimbursements* | *1,366* | *841* | *841* |
| Totals, State Operations | $146,815 | $72,387 | $71,117 |
| Local Assistance: | | | |
| *0001 General Fund* | *5,502,969* | *5,475,042* | *5,990,733* |
| *0122 Emergency Food Assistance Program Fund* | *–* | *560* | *293* |
| *0287 Youth Pilot Program Fund* | *–121* | *–* | *–* |
| *0514 Employment Training Fund* | *30,000* | *30,000* | *15,000* |
| *0890 Federal Trust Fund* | *4,527,454* | *4,504,734* | *4,338,523* |
| *0995 Reimbursements* | *15,510* | *15,007* | *21,885* |
| *County Funds (Non Add)* | *(860,471)* | *(899,250)* | *(950,984)* |
| Totals, Local Assistance | $10,075,812 | $10,025,343 | $10,366,434 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 16.30 CalWORKs | | | |
| State Operations | 103,221 | 32,969 | 30,917 |
| *0001 General Fund* | *21,909* | *5,730* | *4,020* |
| *0890 Federal Trust Fund* | *80,258* | *26,398* | *26,056* |
| *0995 Reimbursements* | *1,054* | *841* | *841* |
| Local Assistance | 5,378,616 | 5,430,932 | 5,313,040 |
| *0001 General Fund* | *1,991,306* | *1,935,262* | *2,128,010* |
| *0514 Employment Training Fund* | *30,000* | *30,000* | *15,000* |
| *0890 Federal Trust Fund* | *3,353,201* | *3,461,140* | *3,166,328* |
| *0995 Reimbursements* | *4,109* | *4,530* | *3,702* |
| *County Funds (Non Add)* | *(59,119)* | *(151,294)* | *(143,320)* |
| 16.30.010 Assistance Payments | | | |
| State Operations | 96,337 | 23,097 | 22,585 |
| *0001 General Fund* | *21,864* | *2,723* | *2,687* |
| *0890 Federal Trust Fund* | *74,448* | *20,374* | *19,898* |
| *0995 Reimbursements* | *25* | *–* | *–* |
| Local Assistance | 2,870,787 | 3,130,153 | 3,117,376 |
| *0001 General Fund* | *1,810,533* | *1,513,644* | *1,352,805* |
| *0890 Federal Trust Fund* | *1,060,254* | *1,616,509* | *1,764,571* |
| *County Funds (Non Add)* | *(–13,504)* | *(80,804)* | *(80,440)* |
| 16.30.020 Services | | | |
| State Operations | 4,189 | 6,521 | 4,942 |
| *0001 General Fund* | *40* | *2,584* | *915* |
| *0890 Federal Trust Fund* | *3,120* | *3,096* | *3,186* |
| *0995 Reimbursements* | *1,029* | *841* | *841* |
| Local Assistance | 1,223,921 | 1,043,129 | 1,012,575 |
| *0001 General Fund* | *72,146* | *195,463* | *370,052* |
| *0514 Employment Training Fund* | *30,000* | *30,000* | *15,000* |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0890 Federal Trust Fund* | *$1,118,426* | *$814,045* | *$624,788* |
| *0995 Reimbursements* | *3,349* | *3,621* | *2,735* |
| *County Funds (Non Add)* | *(5)* | *(105)* | *(132)* |
| 16.30.030 Administration | | | |
| Local Assistance | 506,732 | 447,274 | 362,241 |
| *0001 General Fund* | *98,345* | *201,231* | *278,684* |
| *0890 Federal Trust Fund* | *408,387* | *246,043* | *83,557* |
| *County Funds (Non Add)* | *(72,618)* | *(63,725)* | *(52,396)* |
| 16.30.040 Child Care | | | |
| State Operations | 2,695 | 3,351 | 3,390 |
| *0001 General Fund* | *5* | *423* | *418* |
| *0890 Federal Trust Fund* | *2,690* | *2,928* | *2,972* |
| Local Assistance | 575,763 | 579,546 | 574,148 |
| *0001 General Fund* | *10,282* | *18,264* | *116,115* |
| *0890 Federal Trust Fund* | *564,721* | *560,373* | *457,066* |
| *0995 Reimbursements* | *760* | *909* | *967* |
| 16.30.050 County Probation Facilities | | | |
| Local Assistance | 201,413 | 201,413 | 201,413 |
| *0890 Federal Trust Fund* | *201,413* | *201,413* | *201,413* |
| 16.30.060 Kin-GAP | | | |
| Local Assistance | – | 29,417 | 45,287 |
| *0001 General Fund* | – | *6,660* | *10,354* |
| *0890 Federal Trust Fund* | – | *22,757* | *34,933* |
| *County Funds (Non-Add)* | – | *(6,660)* | *(10,352)* |
| 16.40 Foster Care | | | |
| State Operations | 17,585 | 15,007 | 16,621 |
| *0001 General Fund* | *8,765* | *8,372* | *9,445* |
| *0890 Federal Trust Fund* | *8,661* | *6,635* | *7,176* |
| *0995 Reimbursements* | *159* | – | – |
| Local Assistance | 841,262 | 867,734 | 918,796 |
| *0001 General Fund* | *405,832* | *387,724* | *413,001* |
| *0287 Youth Pilot Program Fund* | *−121* | – | – |
| *0890 Federal Trust Fund* | *435,551* | *480,010* | *505,795* |
| *County Funds (Non Add)* | *(546,482)* | *(590,910)* | *(631,648)* |
| 16.45 Non-Assistance Child Support Incentives | | | |
| Local Assistance | 128,871 | – | – |
| *0001 General Fund* | *86,735* | – | – |
| *0890 Federal Trust Fund* | *42,136* | – | – |
| *County Funds (Non Add)* | *(−128,871)* | – | – |
| 16.50 Adoption Assistance Program | | | |
| Local Assistance | 189,718 | 242,317 | 282,796 |
| *0001 General Fund* | *94,397* | *121,014* | *141,228* |
| *0890 Federal Trust Fund* | *95,321* | *121,303* | *141,568* |
| *County Funds (Non Add)* | *(31,451)* | *(40,339)* | *(47,077)* |
| 16.55 Refugee Cash Assistance | | | |
| State Operations | 674 | 874 | 885 |
| *0890 Federal Trust Fund* | *674* | *874* | *885* |
| Local Assistance | 3,362 | 2,793 | 2,790 |
| *0890 Federal Trust Fund* | *3,362* | *2,793* | *2,790* |
| 16.60 Food Assistance Programs | | | |
| State Operations | 24,535 | 22,102 | 21,472 |
| *0001 General Fund* | *12,018* | *9,069* | *8,056* |
| *0890 Federal Trust Fund* | *12,364* | *13,033* | *13,416* |
| *0995 Reimbursements* | *153* | – | – |
| Local Assistance | 51,000 | 43,826 | 37,581 |
| *0001 General Fund* | *51,000* | *43,266* | *37,288* |
| *0122 Emergency Food Assistance Program Fund* | – | *560* | *293* |
| *Coupon Value (Federal funds—Non Add)* | *(1,624,368)* | *(1,506,734)* | *(1,403,028)* |
| 16.70 Supplemental Security Income/State Supplementary Program | | | |
| State Operations | 800 | 995 | 1,222 |
| *0001 General Fund* | *800* | *995* | *1,222* |
| Local Assistance | 2,500,986 | 2,626,039 | 2,870,198 |
| *0001 General Fund* | *2,500,986* | *2,626,039* | *2,870,198* |
| *Supplemental Security Income (Federal funds—Non Add)* | *(3,993,844)* | *(4,200,984)* | *(4,422,758)* |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| 16.80 County Administration | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Local Assistance | $857,599 | $670,657 | $737,440 |
| *0001 General Fund* | *336,467* | *310,272* | *332,993* |
| *0890 Federal Trust Fund* | *521,132* | *360,385* | *404,447* |
| *County Funds (Non Add)* | *(345,518)* | *(107,865)* | *(120,608)* |
| 16.85 Automation Projects | | | |
| Local Assistance | 124,398 | 141,045 | 203,793 |
| *0001 General Fund* | *36,246* | *51,465* | *68,015* |
| *0890 Federal Trust Fund* | *76,751* | *79,103* | *117,595* |
| *0995 Reimbursements* | *11,401* | *10,477* | *18,183* |
| *County Funds (Non Add)* | *(6,772)* | *(8,842)* | *(8,331)* |
| 16.90 Disaster Relief | | | |
| State Operations | – | 440 | – |
| *0001 General Fund* | – | *440* | – |

## 16.30 CalWORKs

### Program Element Statement

The CalWORKs program, in conjunction with the federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996, implements California's version of the federal TANF program. The funding framework for this program primarily is comprised of the federal TANF block grant, which is $3,733.8 million per year, and State and county moneys, which must meet a federal maintenance of effort requirement of $2,707.9 million for 2001–02. A portion of the State's CalWORKs maintenance of effort spending is budgeted in other state departments.

The CalWORKs program is California's largest cash aid program for children and families and is designed to provide temporary assistance to meet basic needs, such as shelter, food, and clothing, in times of crisis, while establishing specific work requirements and encouraging personal accountability. Under CalWORKs, the State sets basic program standards, but counties are given the flexibility to design and carry out CalWORKs in a manner to best achieve success at the local level. Commensurate with their responsibilities under this program, flexibility has been given to the counties for the design of the program. Most of the funding for the Services, Administration and Child Care components of CalWORKs is provided to the counties as a block grant that may be used to divert recipients from public assistance or to provide employment services, child care, and other supportive services to help transition aid recipients to unsubsidized employment.

### 16.30.010 CalWORKs Assistance Payments

The Assistance Payments component of CalWORKs includes $3,117.4 million as local assistance funding for aid payments to recipients in 2001–02. This represents a decrease of $12.8 million from the level in 2000–01.

### Major Budget Adjustments Included in 2000–01

- A savings of $47.3 million due to a continued caseload decline.
- A savings of $9.4 million due to the increase in the minimum wage to $6.25 per hour effective January 1, 2001.

### Major Budget Adjustments Proposed for 2001–02

- A reduction of $147.8 million due to a projected 4.4 percent decrease in caseload.
- A reduction of $8.7 million for the full-year impact of the January 1, 2001, minimum wage increase, and an additional reduction of $11.9 million due to the minimum wage increase effective January 1, 2002.
- An increase of $128.3 million for a projected 4.85 percent COLA in October 2001.
- An increase of $22.4 million for the full-year cost of the October 2000 COLA.
- An increase of $16.4 million due to overpayments resulting from recipients reporting on a quarterly, rather than monthly, basis. These costs will be offset by savings in Program 16.30.030, CalWORKs Administration.

### Performance Measures

CalWORKs Maximum Aid Payment

Number of needy persons in same family:

| | 1999-00 [1] | | 2000-01 [3] | | 2001-02 [4] | |
|---|---|---|---|---|---|---|
| | Region 1 [2] | Region 2 [2] | Region 1 [2] | Region 2 [2] | Region 1 [2] | Region 2 [2] |
| 1 | $ 310 | $ 294 | $ 319 | $ 303 | $ 334 | $ 318 |
| 2 | 505 | 481 | 520 | 495 | 545 | 519 |
| 3 | 626 | 596 | 645 | 614 | 676 | 644 |
| 4 | 746 | 710 | 768 | 731 | 805 | 766 |
| 5 | 849 | 808 | 874 | 832 | 916 | 872 |
| 6 | 953 | 907 | 981 | 934 | 1,029 | 979 |
| 7 | 1,048 | 996 | 1,079 | 1,025 | 1,131 | 1,075 |
| 8 | 1,141 | 1,086 | 1,175 | 1,118 | 1,232 | 1,172 |
| 9 | 1,233 | 1,173 | 1,269 | 1,208 | 1,331 | 1,267 |
| 10 or more | 1,324 | 1,260 | 1,363 | 1,297 | 1,429 | 1,360 |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| Average Monthly Persons Aided | 1999-00 | 2000-01 | 2001-02 |
|---|---|---|---|
| All Other Families | 1,329,063 | 1,212,609 | 1,148,068 |
| Two-Parent Families | 294,210 | 269,114 | 248,735 |
| Foster Care | 85,813 | 80,920 | 78,071 |
| Aid for Adoption of Children/Adoption Assistance Program | 34,285 | 40,763 | 46,333 |
| Kin-GAP | – | 5,867 | 8,644 |

[1] Reflects a 2.36% cost-of-living adjustment effective July 1, 1999.
[2] Counties are assigned to regions pursuant to Chapter 307, Statutes of 1995.
[3] Reflects a 2.96% cost-of-living adjustment effective October 1, 2000.
[4] Reflects a 4.85% cost-of-living adjustment effective October 1, 2001.

### 16.30.020 CalWORKs Services

The Services component of CalWORKs includes $1,012.6 million for local assistance in 2001–02. This represents a reduction of $30.6 million from the 2000–01 levels. Supportive services are provided for CalWORKs recipients if the administering county determines they are needed to remove obstacles to employment.

#### Major Budget Adjustments Included in 2000–01

- An increase of $50.4 million due to the reconsideration and approval of additional county budget requests.
- A reduction of $153.0 million due to limiting funding for County Performance Incentives to the amount earned by counties through June 30, 2000.

#### Major Budget Adjustments Proposed for 2001–02

- A reduction of $15.0 million in the amount provided to the CalWORKs program from the Employment Training Fund.
- An increase of $70.3 million in basic costs, including $41.2 million for the full cost of the county budget reconsiderations approved in 2000–01 and $29.1 million due to the increase in the cost of doing business.
- A redirection of $1.6 million and an increase of $1.2 million to expand the utilization of Substance Abuse and Mental Health services by Native American CalWORKs participants. The funds will pay for a clinician in each of the 33 Indian Health Clinics, necessary transportation, and program administration by the Department of Alcohol and Drug Programs.
- A reduction of $97.0 million in funding for County Performance Incentives due to the limited funding available under the Temporary Assistance for Needy Families program.
- A reduction in CalWORKs savings of $10.5 million based on projected expenditures of Welfare-to-Work Grant program funds by the Employment Development Department.
- An increase in CalWORKs savings of $26.2 million based on an increase in Welfare-to-Work Grant Match funds.
- An increase of $39.7 million in order to meet the state match requirements for the Welfare-to-Work Grant.
- Continuation of the $20.0 million provided to the Department of Health Services for the Community Challenge Grant program. This funding is available from the $36.1 million TANF High Performance Bonus awarded to California by the U.S. Department of Health and Human Services.

### 16.30.030 CalWORKs Administration

The Administration component of CalWORKs includes $362.2 million for the counties' administrative activities in 2001–02. This represents a decrease of $85.0 million from the 2000–01 levels.

#### Major Budget Adjustment Proposed for 2001–02

- A reduction of $21.0 million due to recipients reporting on a quarterly rather than monthly basis.

### 16.30.040 CalWORKs Child Care

The Child Care component includes $574.1 million for Stage One child care and child care health and safety requirements. In addition, $506.4 million is proposed for the California Department of Education (CDE) and California Community Colleges (CCC) for Stage Two child care and $100.0 million is set aside to fund DSS and CDE child care as needed. Combined, these fund child care for CalWORKs recipients with a total of $1.2 billion.

#### Major Budget Adjustment Included in 2000–01

- An increase of $100.3 million as a result of more cases remaining in Stage One Child Care.

### 16.30.050 CalWORKs County Probation Facilities

This component of CalWORKs includes $201.4 million TANF funds in local assistance for 2001–02, which represents the same level of funding as in 2000–01. Included is $168.7 million for Juvenile Assessment/Treatment Facilities and $32.7 million for support of Probation Camps.

### 16.30.060 Kin-GAP Program

#### Major Budget Adjustment Included in 2000–01

- An increase of $11.3 million due to a 41 percent caseload increase.

#### Major Budget Adjustment Proposed for 2001–02

- An increase of $15.9 million due to anticipated caseload growth.

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

### TEMPORARY ASSISTANCE FOR NEEDY FAMILIES MAINTENANCE OF EFFORT

| Department/Purpose | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Social Services | | | |
| CalWORKs | $2,383,209 | $2,037,590 | $2,187,763 |
| Child Welfare Services-Basic Costs TANF | 1,582 | – | – |
| Child Welfare Services-Emergency Assistance | 48,567 | – | – |
| Teen Pregnancy Disincentive | 511 | 4,181 | 3,548 |
| $50 State Disregard Payment to Families | 30,642 | – | – |
| California Food Assistance Program | 47,024 | 38,326 | 34,631 |
| Juvenile Crime Prevention | 1,281 | – | – |
| Emergency Assistance-Foster Care Welfare | 12,423 | – | – |
| Automation Projects | 2,742 | 1,819 | 1,212 |
| Kinship Guardianship Assistance Program [a] | 1,141 | – | – |
| State Operations | – | 3,046 | 3,000 |
| Subtotal | $2,529,122 | $2,084,962 | $2,230,154 |
| Education | | | |
| Adult Education for CalWORKs Eligibles | 14,084 | 27,608 | 27,608 |
| Education Services for TANF Recipients | 5,115 | 9,985 | 9,985 |
| Child Care | 278,264 | 300,000 | 300,225 |
| Subtotal | $297,463 | $337,593 | $337,818 |
| Community Colleges | | | |
| Expansion of Services | 62,502 | 65,000 | 65,000 |
| Services for TANF Recipients | 8,458 | 8,389 | 8,389 |
| Subtotal | $70,960 | $73,389 | $73,389 |
| Employment Development | | | |
| Intensive Services Program | 3,477 | 3,300 | 3,300 |
| Employment Training Panel | 1,865 | 2,000 | 2,000 |
| Subtotal | $5,342 | $5,300 | $5,300 |
| Health Services | | | |
| Teenage Pregnancy Prevention Program | 643 | 775 | 775 |
| Nonemergency Medical Services for Nonqualified Immigrants | – | 30,000 | 30,000 |
| Subtotal | $643 | $30,775 | $30,775 |
| Child Support Services | | | |
| $50 State Disregard Payment to Families | – | 27,604 | 28,010 |
| Corrections | | | |
| Women Parolee and Family Foundation Programs | 2,500 | 2,500 | 2,500 |
| TOTALS, EXPENDITURES | $2,906,030 | $2,562,123 | $2,707,946 |
| Annual Maintenance of Effort Requirement | 2,906,030 | 2,897,761 | 2,889,683 |
| Maintenance of Effort Reduction for Meeting TANF Participation Rate Requirements | – | −335,638 | −181,737 |
| TOTAL MAINTENANCE OF EFFORT REQUIREMENT | $2,906,030 | $2,562,123 | $2,707,946 |
| Difference | – | – | – |

[a] Beginning in 2000–01, the Kinship Guardianship Assistance Program is included as part of CalWORKS.

## 16.40 Foster Care

### Program Element Statement

The Foster Care Program provides support payments for children in out-of-home care. This program is administered by the counties in accordance with regulations, standards, and procedures set by the Department of Social Services as authorized by law.

### Major Budget Adjustments Included in 2000–01

- A savings of $36.7 million ($6.1 million General Fund) in Foster Care Net Payments, primarily attributable to slower than anticipated caseload growth.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $41.2 million ($18.7 million General Fund) for a 4.85 percent cost-of-living adjustment for Foster Care.

## 16.45 Non-Assistance Child Support Incentives

### Program Element Statement

The Child Support Enforcement Program avoids costs in the CalWORKs and Medi-Cal programs by locating absent parents, establishing paternity, and obtaining and enforcing court-ordered child, spousal, and medical support payments for both welfare and non-welfare families. Collections and incentive payments for welfare families are included in the CalWORKs and Foster Care program elements. Pursuant to Chapters 478 and 480, Statutes of 1999, all child support local assistance functions were transferred from the Department of Social Services to the Department of Child Support Services. The transfer of this function was effective in 2000–01.

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

### 16.50 Adoption Assistance Program

#### Program Element Statement

The Adoption Assistance Program provides ongoing subsidies to encourage and promote the placement in adoptive homes of children who, because of their ethnic background, race, color, language, physical, mental, emotional or medical handicaps or age, or because they are a sibling group who should be placed in the same home, have become difficult to place in unsubsidized adoptive homes.

#### Major Budget Adjustment Included in 2000–01

- An increase of $7.6 million ($2.9 million General Fund) in the Adoption Assistance Program to reflect caseload growth.

#### Major Budget Adjustment Proposed for 2001–02

- An increase of $40.5 million ($20.2 million General Fund) in the Adoption Assistance Program due to anticipated caseload growth.

### 16.55 Refugee Cash Assistance

#### Program Element Statement

Refugees who do not qualify for CalWORKs or SSI may receive assistance through the Refugee Cash Assistance (RCA) program. RCA benefits are available to eligible adult refugees during their first eight months in the United States.

### 16.60 Food Stamps/Food Assistance Programs

#### Program Element Statement

The Food Stamp Program provides for improved levels of nutrition among low-income households by offering eligible households food stamps at no cost. The cost of the benefit value of food stamps to these households is borne entirely by the United States Department of Agriculture. The Food Stamp Employment and Training Program requires certain non-assistance food stamp recipients to participate in employment and training activities. Costs for this program and for the county costs of administering the Food Stamp Program are shown under Program Element 16.80—County Administration.

The Department also administers the state-only California Food Assistance Program (CFAP) to provide food stamps to immigrants. In 1997–98, funding was provided for documented seniors and children who were in the country legally prior to August 22, 1996, and met federal Food Stamp eligibility criteria but for their immigration status. Public Law 105-185 made this population re-eligible for federal food stamps effective November 1, 1998. Chapter 329, Statutes of 1998, excluded this population from CFAP eligibility while adding eligibility for documented persons from age 18 to 64 who entered the country legally prior to August 22, 1996, or who subsequently entered the country, but their sponsors are deceased, disabled or abusive. Effective October 1, 2000 through September 30, 2001, Chapter 108, Statutes of 2000, added eligibility for documented persons, who are otherwise CFAP-eligible and who entered the country legally on or after August 22, 1996.

The Emergency Food Assistance Program Fund was created by Chapter 818, Statutes of 1998. The fund consists of contributions made by taxpayers through a state income tax checkoff. Money in the fund is allocated by the Department for direct services provided by the Emergency Food Assistance Program.

#### Major Budget Adjustment Included in 2000–01

- A savings of $5.6 million General Fund in the California Food Assistance Program (CFAP) due to declining caseloads.

#### Major Budget Adjustment Proposed for 2001–02

- A reduction of $6.0 million General Fund in the CFAP, based on a continuing caseload decline and the September 30, 2001, sunset of the expanded program.

### 16.70 Supplemental Security Income/State Supplementary Payment Program

#### Program Element Statement

The Federal Supplemental Security Income (SSI) program provides cash grant assistance to aged, blind, or disabled persons who meet the program's income and resource requirements. California supplements the federal SSI payment with a State Supplementary Payment (SSP). The SSI/SSP program is administered by the Federal Social Security Administration which determines eligibility, computes grants and disburses the combined monthly payment to recipients. Chapter 329, Statutes of 1998, established the State-only Cash Assistance Program for Immigrants (CAPI) to provide cash benefits to immigrants who entered the country legally prior to August 22, 1996, and meet federal SSI/SSP eligibility criteria but for their immigration status. Effective October 1, 2000 through September 30, 2001, Chapter 108, Statutes of 2000, added eligibility for documented persons, who are otherwise CAPI-eligible and who entered the country legally on or after August 22, 1996. Chapter 143, Statutes of 2000, established the California Veteran's Cash Benefit Program to provide cash benefits to Filipino veterans of World War II who received SSI/SSP as of December 1999 and returned to the Republic of Philippines to live. State funds also are used to meet special nonrecurring needs to maintain individuals in their own homes.

#### Major Budget Adjustments Included in 2000–01

- A savings of $34.2 million General Fund in SSI/SSP Basic Cost due to the reduced impact of the revised disability standard and a lower average grant.
- A savings of $25.5 million General Fund for the January 2001 COLA due to a higher CPI COLA (previously estimated at 2.6 percent).
- An increase of $2.3 million General Fund for California Veterans Benefit—a new program being implemented as a result of AB 1978.
- An increase of $24.3 million General Fund in the Base Cash Assistance Program for Immigrants (CAPI) due to higher estimated caseload growth and the reduced effect of the revised disability standards.
- An increase of $11.2 million General Fund in the Time-Limited CAPI due to unanticipated caseload growth.

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

### Major Budget Adjustments Proposed for 2001–02

- An increase of $54.9 million General Fund in SSI/SSP Basic Cost due to a 2.3 percent caseload growth.
- An increase of $18.3 million General Fund in SSI/SSP due to the full-year impact of the January 2001 COLA.
- An increase of $156.5 million General Fund in SSI/SSP due to the implementation of the January 2002 COLA.
- An increase of $1.9 million General Fund for California Veterans Benefit due to the full implementation of the program.
- An increase of $11.6 million General Fund in the Base CAPI due to caseload growth and a higher average grant.
- A decrease of $6.4 million General Fund in the Time-Limited CAPI due to the sunset of the time-limited program on September 30, 2001.

### Performance Measures

| SSI/SSP Standards | SSI/SSP Payment Standards Jan–Dec [1] 1999 | Jan–Dec [2] 2000 | Jan–Dec [3] 2001 | Jan–Dec [4] 2002 |
|---|---|---|---|---|
| (Independent Living Arrangements) | | | | |
| Aged/disabled individuals | $676 | $692 | $712 | $747 |
| Aged/disabled couples | 1,201 | 1,229 | 1,265 | 1,326 |
| Blind individuals | 732 | 749 | 771 | 808 |
| Blind couples | 1,391 | 1,424 | 1,466 | 1,537 |
| **Average Monthly Premise Caseload** | | | | |
| Aged | 324,891 | 329,381 | 334,810 | 339,149 |
| Blind | 21,761 | 21,849 | 21,883 | 21,943 |
| Disabled | 691,992 | 709,977 | 729,768 | 749,514 |
| Totals | $1,038,644 | $1,061,207 | $1,086,461 | $1,110,606 |

[1] Payment levels reflect a federal cost-of-living increase of 1.3% effective January 1, 1999 and a State SSP cost-of-living increase of 2.84%. Also an additional 1% increase per Chapter 329, Statutes of 1998.
[2] Payment levels reflect a federal COLA of 2.4% effective January 1, 2000 and a State SSP COLA of 2.36%.
[3] Payment levels reflect a federal COLA of 3.5% effective January 1, 2001 and a State SSP COLA of 2.96%.
[4] Payment levels reflect a federal COLA of 2.1% effective January 1, 2002 and a State SSP COLA of 4.85%.

## 16.80 County Administration

### Program Element Statement

Federal, state and county governments share the cost of operating expenses and the salaries and benefits of county staff who administer public assistance programs. County Administration for CalWORKs is in component 16.30.030.

### Major Budget Adjustment Included in 2000–01

- An increase of $15.4 million General Fund based on actual county expenditures for administration of the California Food Assistance Program.

## 16.85 Automation Projects

### Program Element Statement

Federal, state, and county funds are used to finance major data automation projects of the Department of Social Services. The following projects are managed by the California Health and Human Services Agency Data Center in agreement with the Department.

(1) Statewide Automated Welfare System (SAWS)—SAWS provides automated eligibility determination and benefit computation, case management, and information management for the CalWORKs, Foster Care, Food Stamps, Medi-Cal, Refugee Assistance, and County Medical Services programs. SAWS includes four separate systems for statewide welfare automation: Interim SAWS (ISAWS) is comprised of 35 counties; Los Angeles County is the sole participant in the Los Angeles Eligibility, Automated Determination, Evaluation and Reporting System (LEADER); the Welfare Client Data System (WCDS) includes 18 counties; and four counties form Consortium IV. The SAWS Welfare Data Tracking Implementation (WDTIP) Project will enable the four consortia to electronically exchange welfare-related time limits tracking data.

(2) Statewide Fingerprint Imaging System (SFIS)—SFIS is a database system which automates the collection, interpretation, and storage of fingerprint images of those applying for and receiving public benefits in order to reduce CalWORKs and Food Stamp fraud.

(3) Electronic Benefit Transfer (EBT)—EBT is a system which will use electronic fund transfer, automated teller machines and point-of-sale technology for the delivery and control of Food Stamp and CalWORKs benefits.

### Major Budget Adjustments Proposed for 2001–02

- A reduction of $2.3 million federal funds due to the transition of WDTIP to the maintenance and operations phase.
- A reduction of $2.0 million General Fund for LEADER, reflecting the transition to the maintenance and operations phase.
- An increase of $3.7 million General Fund for WCDS for continued system development.
- An increase of $18.1 million General Fund for C-IV for continued development and implementation costs.
- A reduction of $6.1 million General Fund for SFIS due to the completion of implementation and movement into the maintenance and operations phase.
- An increase of $1.5 million General Fund for EBT for project development and implementation.

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

### 16.90 DISASTER RELIEF

#### Program Objectives Statement

The Disaster Relief Program provides monetary assistance to individuals and families who have suffered losses not covered by other federal, state or private assistance programs. The program is comprised of three segments:

1. The 25-percent share of the Federal Individual and Family Grant Program (IFGP) which provides grants to victims of Presidentially declared disasters. The maximum grant amount was $13,400; effective October 1, 1999, the maximum amount was increased to $13,900.
2. The state Individual and Family Supplemental Grant Program which provides grants up to an additional $10,000 to disaster victims receiving IFGP grants.
3. An administrative segment to provide sufficient managerial and administrative services to support the disaster relief effort.

#### Authority

Chapter 1507, Statutes of 1988; Chapter 10, Statutes of 1989.

---

## 25 SOCIAL SERVICES AND LICENSING

#### Program Objectives Statement

The Department of Social Services monitors and oversees the operational program aspects of social services programs through the development of policy, regulations and procedures for the delivery of services to clients, and the monitoring and evaluation of services delivered.

The Social Services programs are divided into four major categories: In-Home Supportive Services; Children's Services (including Child Welfare Services, Adoptions, and Child Abuse Prevention); Special Programs; and Community Care Licensing.

Social Services, as provided to the elderly, blind, disabled and other adults and children, are designed to meet the national goals mandated by Title XX of the Social Security Act to:

(1) Permit recipients to achieve or maintain self-sufficiency, including reduction or prevention of dependency.

(2) Prevent or remedy neglect, abuse or exploitation of children and adults who are unable to protect their own interests; or preserve, rehabilitate or reunite families.

(3) Prevent or reduce inappropriate institutional care by providing for community-based care, home-based care or other forms of less intensive care.

(4) Secure referral or admission for institutional care when other forms of care are not appropriate or provide services to individuals in institutions.

Services are provided through county welfare departments and state agencies.

#### Major Budget Adjustment Included in 2000–01

- An increase of $46,000 ($43,700 General Fund) and 0.7 position (0.7 personnel years) to shift the Sutter County Family Child Care Home licensing function from the county to the Department of Social Services.

#### Major Budget Adjustments Proposed for 2001–02

- A continuation of $353,000 ($234,000 General Fund) and 1.5 positions (1.5 personnel years) and an increase of 1.0 position (0.9 personnel years) for the procurement of a new In-Home Supportive Services (IHSS) Program's Case Management, Information and Payrolling System (CMIPS).
- An increase of $200,000 ($133,000 General Fund) to enable the IHSS Program to obtain consulting services for assessing CMIPS fraud risk.
- A continuation of $139,000 General Fund and 2.0 positions (1.9 personnel years) to support the Child Abuse Prevention, Intervention and Treatment (CAPIT) program.
- An increase of $299,000 ($149,000 General Fund) and 2.0 positions (1.9 personnel years) to support Adoptions Direct Services in Kings County.
- An increase of $332,000 ($166,000 General Fund) and 3.0 (2.9 personnel years) positions to design, develop and implement an Expanded Adoptions Subsystem within Child Welfare Services/Case Management System (CWS/CMS).
- An increase of $3.2 million ($2.9 million General Fund) and 33 positions (31.4 personnel years) for workload associated with growth in the Community Care Licensing Program.
- An increase of $781,000 ($323,000 General Fund) and 5.0 positions (9.0 personnel years) to reduce the number of pending Family Child Care Home applications for licensure and to enter into contracts with Los Angeles, Imperial and Riverside Counties.
- An increase of $58,000 ($55,000 General Fund) and 1.1 positions (1.0 personnel years) to shift the Sutter County Family Child Care Home licensing function from the county to the Department of Social Services.

#### Authority

Welfare and Institutions Code Sections 300–395, 10100–10103, 12000–12004, 12250–12254, 12300–12314, 14132.95, 16100–16525.30, 16600–16604.5, 18950–18965.

Health and Safety Code Division 2, (Section 1500, 35 et seq.).

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 25 SOCIAL SERVICES AND LICENSING

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$52,432* | *$60,198* | *$60,779* |
| *0131 Foster Family Home & Small Family Home Insurance Fund* | *−145* | *–* | *–* |
| *0163 Continuing Care Provider Fee Fund* | *474* | *633* | *642* |
| *0270 Technical Assistance Fund* | *1,757* | *2,143* | *2,711* |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0271 Certification Fund* | *$1,171* | *$1,187* | *$1,187* |
| *0279 Child Health and Safety Fund* | *906* | *876* | *303* |
| *0803 State Children's Trust Fund* | *531* | *114* | *105* |
| *0890 Federal Trust Fund* | *71,479* | *74,281* | *77,065* |
| *0995 Reimbursements* | *4,003* | *4,956* | *5,725* |
| Totals, State Operations | $132,608 | $144,388 | $148,517 |
| Local Assistance: | | | |
| *0001 General Fund* | *1,228,935* | *1,452,928* | *1,582,965* |
| *0279 Child Health and Safety Fund* | *199* | *453* | *502* |
| *0803 State Children's Trust Fund* | *1,695* | *1,530* | *2,826* |
| *0890 Federal Trust Fund* | *984,967* | *1,095,994* | *1,110,633* |
| *0995 Reimbursements* | *999,833* | *1,215,730* | *1,411,539* |
| *County Funds (Non Add)* | *(211,662)* | *(205,786)* | *(217,221)* |
| Totals, Local Assistance | $3,215,629 | $3,766,635 | $4,108,465 |

## ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 25.15 In-Home Supportive Services (IHSS) | | | |
| State Operations | 5,184 | 6,953 | 6,795 |
| *0001 General Fund* | *2,250* | *5,625* | *5,442* |
| *0890 Federal Trust Fund* | *2,025* | *–* | *–* |
| *0995 Reimbursements* | *909* | *1,328* | *1,353* |
| Local Assistance | 1,581,737 | 1,940,098 | 2,226,422 |
| *0001 General Fund* | *596,474* | *746,033* | *843,310* |
| *0890 Federal Trust Fund* | *48,278* | *37,727* | *35,486* |
| *0995 Reimbursements* | *936,985* | *1,156,338* | *1,347,626* |
| *County Funds (Non Add)* | *(28,551)* | *(31,610)* | *(34,116)* |
| 25.15.010 Services | | | |
| State Operations | 5,184 | 6,953 | 6,795 |
| *0001 General Fund* | *2,250* | *5,625* | *5,442* |
| *0890 Federal Trust Fund* | *2,025* | *–* | *–* |
| *0995 Reimbursements* | *909* | *1,328* | *1,353* |
| Local Assistance | 1,444,473 | 1,783,222 | 2,054,703 |
| *0001 General Fund* | *537,361* | *676,172* | *767,880* |
| *0890 Federal Trust Fund* | *48,278* | *37,727* | *35,486* |
| *0995 Reimbursements* | *858,834* | *1,069,323* | *1,251,337* |
| *County Funds (Non Add)* | *(2,355)* | *(2,338)* | *(2,483)* |
| 25.15.020 Administration | | | |
| Local Assistance | 137,264 | 156,876 | 171,719 |
| *0001 General Fund* | *59,113* | *69,861* | *75,430* |
| *0995 Reimbursements* | *78,151* | *87,015* | *96,289* |
| *County Funds (Non Add)* | *(26,196)* | *(29,272)* | *(31,633)* |
| 25.25 Children's Services | | | |
| State Operations | 31,629 | 33,400 | 34,357 |
| *0001 General Fund* | *18,071* | *21,344* | *20,367* |
| *0131 Foster Family Home and Small Family Home Insurance Fund* | *–145* | *–* | *–* |
| *0803 State Children's Trust Fund* | *531* | *114* | *105* |
| *0890 Federal Trust Fund* | *13,139* | *11,940* | *13,883* |
| *0995 Reimbursements* | *33* | *2* | *2* |
| Local Assistance | 1,474,007 | 1,667,664 | 1,709,476 |
| *0001 General Fund* | *549,236* | *614,314* | *632,471* |
| *0279 Child Health and Safety Fund* | *199* | *353* | *402* |
| *0803 State Children's Trust Fund* | *1,695* | *1,530* | *2,826* |
| *0890 Federal Trust Fund* | *895,460* | *1,025,278* | *1,044,641* |
| *0995 Reimbursements* | *27,417* | *26,189* | *29,136* |
| *County Funds (Non Add)* | *(172,175)* | *(163,240)* | *(172,169)* |
| 25.25.010 Child Welfare Services | | | |
| State Operations | 14,173 | 15,285 | 16,795 |
| *0001 General Fund* | *8,314* | *7,627* | *7,657* |
| *0131 Foster Family Home and Small Family Home Insurance Fund* | *–145* | *–* | *–* |
| *0890 Federal Trust Fund* | *5,971* | *7,656* | *9,136* |
| *0995 Reimbursements* | *33* | *2* | *2* |
| Local Assistance | 1,361,196 | 1,535,030 | 1,602,764 |
| *0001 General Fund* | *486,336* | *533,983* | *565,069* |
| *0279 Child Health and Safety Fund* | *199* | *353* | *402* |
| *0890 Federal Trust Fund* | *847,244* | *974,505* | *1,008,157* |
| *0995 Reimbursements* | *27,417* | *26,189* | *29,136* |
| *County Funds (Non Add)* | *(171,719)* | *(162,782)* | *(171,743)* |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 25.25.020 Adoptions | | | |
| State Operations | $14,588 | $15,296 | $15,102 |
| *0001 General Fund* | *8,800* | *11,226* | *10,572* |
| *0890 Federal Trust Fund* | *5,788* | *4,070* | *4,530* |
| Local Assistance | 80,188 | 100,541 | 74,364 |
| *0001 General Fund* | *38,761* | *55,692* | *43,263* |
| *0890 Federal Trust Fund* | *41,427* | *44,849* | *31,101* |
| *County Funds (Non Add)* | *(456)* | *(458)* | *(426)* |
| 25.25.030 Child Abuse Prevention | | | |
| State Operations | 2,868 | 2,819 | 2,460 |
| *0001 General Fund* | *957* | *2,491* | *2,138* |
| *0803 State Children's Trust Fund* | *531* | *114* | *105* |
| *0890 Federal Trust Fund* | *1,380* | *214* | *217* |
| Local Assistance | 32,623 | 32,093 | 32,348 |
| *0001 General Fund* | *24,139* | *24,639* | *24,139* |
| *0803 State Children's Trust Fund* | *1,695* | *1,530* | *2,826* |
| *0890 Federal Trust Fund* | *6,789* | *5,924* | *5,383* |
| 25.35 Special Programs | | | |
| State Operations | 3,604 | 3,998 | 3,680 |
| *0001 General Fund* | *2,449* | *2,772* | *2,249* |
| *0890 Federal Trust Fund* | *1,155* | *1,226* | *1,431* |
| Local Assistance | 142,728 | 139,722 | 155,500 |
| *0001 General Fund* | *75,865* | *85,576* | *99,417* |
| *0890 Federal Trust Fund* | *31,750* | *25,255* | *22,618* |
| *0995 Reimbursements* | *35,113* | *28,891* | *33,465* |
| *County Funds (Non Add)* | *(10,936)* | *(10,936)* | *(10,936)* |
| 25.35.010 Specialized Services | | | |
| State Operations | 782 | 962 | 678 |
| *0001 General Fund* | *782* | *961* | *677* |
| *0890 Federal Trust Fund* | *–* | *1* | *1* |
| Local Assistance | 10,977 | 9,152 | 9,170 |
| *0001 General Fund* | *10,970* | *9,077* | *9,095* |
| *0890 Federal Trust Fund* | *7* | *75* | *75* |
| 25.35.020 Access Assistance for the Deaf | | | |
| State Operations | 491 | 500 | 501 |
| *0001 General Fund* | *491* | *500* | *501* |
| Local Assistance | 5,804 | 5,804 | 5,804 |
| *0001 General Fund* | *2,604* | *2,604* | *2,604* |
| *0890 Federal Trust Fund* | *3,200* | *3,200* | *3,200* |
| 25.35.030 Maternity Care | | | |
| Local Assistance | 600 | 600 | 600 |
| *0001 General Fund* | *600* | *600* | *600* |
| 25.35.040 Refugee Assistance Services | | | |
| State Operations | 1,155 | 1,225 | 1,430 |
| *0890 Federal Trust Fund* | *1,155* | *1,225* | *1,430* |
| Local Assistance | 24,079 | 19,343 | 19,343 |
| *0890 Federal Trust Fund* | *24,079* | *19,343* | *19,343* |
| 25.35.050 County Services Block Grant | | | |
| State Operations | 1,176 | 1,311 | 1,071 |
| *0001 General Fund* | *1,176* | *1,311* | *1,071* |
| Local Assistance | 101,268 | 104,823 | 120,583 |
| *0001 General Fund* | *61,691* | *73,295* | *87,118* |
| *0890 Federal Trust Fund* | *4,464* | *2,637* | *–* |
| *0995 Reimbursements* | *35,113* | *28,898* | *33,465* |
| *County Funds (Non Add)* | *(10,936)* | *(10,936)* | *(10,936)* |
| 25.45 Community Care Licensing | | | |
| State Operations | 92,191 | 100,037 | 103,685 |
| *0001 General Fund* | *29,662* | *30,457* | *32,721* |
| *0163 Continuing Care Provider Fee Fund* | *474* | *633* | *642* |
| *0270 Technical Assistance Fund* | *1,757* | *2,143* | *2,711* |
| *0271 Certification Fund* | *1,171* | *1,187* | *1,187* |
| *0279 Child Health and Safety Fund* | *906* | *876* | *303* |
| *0890 Federal Trust Fund* | *55,160* | *61,115* | *61,751* |
| *0995 Reimbursements* | *3,061* | *3,626* | *4,370* |
| Local Assistance | 17,157 | 19,151 | 17,067 |
| *0001 General Fund* | *7,360* | *7,005* | *7,767* |
| *0279 Child Health and Safety Fund* | *–* | *100* | *100* |
| *0890 Federal Trust Fund* | *9,479* | *7,734* | *7,888* |
| *0995 Reimbursements* | *318* | *4,312* | *1,312* |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

### 25.15 In-Home Supportive Services

#### Program Element Statement

The In-Home Supportive Services (IHSS) program provides specified supportive services to enable eligible persons to remain in their own homes as an alternative to out-of-home care. Eligible persons are aged, blind, or disabled recipients with low incomes. Services include: domestic services such as meal preparation, laundry, shopping and errands; personal care services; assistance while traveling to medical appointments or to other sources of supportive services; protective supervision; teaching and demonstration directed at reducing the need for supportive services; and certain paramedical services ordered by a physician.

### 25.15.010 IHSS Services

#### Major Budget Adjustments Included in 2000–01

- An increase of $3.8 million General Fund in Personal Care Services Program basic costs and $4.6 million General Fund in Residual Program basic costs due to a slight caseload growth.
- An increase of $15.4 million General Fund in the Personal Care Services Program and $10.1 million General Fund in the Residual Program resulting from a raise in the minimum wage to $6.25, effective January 2001.
- A savings of $45.4 million General Fund in the Personal Care Services Program and $50.3 million General Fund in the Residual Program for Public Authority (PA) due to Los Angeles, Sacramento, and Monterey Counties' delay in providing PA wage rate increases to $8.10 per hour and implementing the PA providers' benefits in the current year.

#### Major Budget Adjustments Proposed for 2001–02

- An increase of $22.9 million General Fund in Personal Care Services Program basic costs and $15.1 million General Fund in Residual Program basic costs due to a 6.4 percent caseload growth.
- An increase of $33.2 million General Fund in the Personal Care Services Program and $21.9 million General Fund in the Residual Program resulting from the cumulative effects of the January 2000 minimum wage increase and the subsequent raise in the minimum wage to $6.75, effective January 2002.

### 25.25 Children's Services

#### Program Element Statement

The Children's Services element consists of these three major areas: Child Welfare Services, Adoptions, and Child Abuse Prevention. Child Welfare Services provides emergency, in-home care and out-of-home care services for abused and neglected children and their families. The Department of Social Services meets these objectives by providing a continuum of care with Emergency Response, Family Preservation, Family Maintenance, Family Reunification and Permanent Placement Service components. The Child Welfare Services/Case Management System (CWS/CMS) is a state and federally required comprehensive statewide database, case management tool, and reporting system for the child welfare program.

The Adoptions Program (1) provides relinquishment adoption services through five state offices and twenty-eight licensed county adoption agencies; (2) conducts studies of all independent adoption placements through seven state offices and three county adoption agencies; (3) reimburses licensed private adoption agencies for expenses incurred in placing special needs children; and (4) provides adoptive home recruitment activities through directly provided and contracted services.

The Child Abuse Prevention Program provides child abuse prevention and intervention services through more than 175 projects. The program also provides for training and technical assistance for administrators and staff.

### 25.25.010 Child Welfare Services

#### Major Budget Adjustments Included in 2000–01

- A decrease of $14.5 million General Fund to reflect the permanent transfer to Los Angeles County State Family Preservation Program.
- An increase of $6.3 million in federal funds to reflect increased grants for the Independent Living Program.

#### Major Budget Adjustments Proposed for 2001–02

- An increase of $23.6 million General Fund due to a 4.3 percent cost-of-doing-business adjustment.
- An increase of $3.6 million in federal funds to reflect additional grants for the Independent Living Program.
- An increase of $14.6 million General Fund due to ongoing maintenance and operations costs for the Child Welfare Services/Case Management System.
- A reduction of $2.9 million General Fund to reflect the allocation of one-time funds to purchase Live Scan Technology in the current year.

### 25.25.020 Adoptions

#### Major Budget Adjustment Proposed for 2001–02

- A decrease of $22 million due to completion of one-time Adoptions Backlog Reduction activities.

### 25.35 Special Programs

#### Program Element Statement

The Department has several special programs which include the following: Specialized Services, Access Assistance to the Deaf, Maternity Care, Refugee Assistance Services, and the County Services Block Grant, including Adult Protective Services.

### 25.35.050 County Services Block Grant

#### Major Budget Adjustment Included in 2000–01

- An increase of $10.9 million General Fund due to caseload growth in Adult Protective Services.

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

### Major Budget Adjustment Proposed for 2001–02

- An increase of $488,000 General Fund for the training curriculum contract in Adult Protective Services.

### 25.45 Community Care Licensing

### Program Element Statement

The Community Care Licensing Division provides preventive and protective services to all persons in community care facilities by ensuring that licensed facilities meet established health and safety standards. The Division licenses and monitors approximately 68,000 community care facilities that serve approximately 1.25 million clients. The facilities include all non-medical child and adult day care centers, family day care homes, adoptions and foster family agencies, foster family homes, small family and group homes, adult residential, residential care facilities for the critically ill, residential care facilities for the elderly, social rehabilitation facilities and transitional placement housing. These facilities serve 208,000 in residential care, and approximately 1,042,000 in day care. Currently, 43 counties license foster care homes under contract with Department of Social Services (DSS) and 9 counties license family day care homes. The 22 DSS field offices license all remaining facilities.

Licensed Facilities:

| State Licensed: | *1999–00* | *2000–01* | *2001–02* |
|---|---|---|---|
| Day Care | 50,284 | 51,110 | 51,772 |
| 24-hour Care | 17,372 | 17,575 | 17,817 |
| County Licensed: | | | |
| Day Care | 5,344 | 5,433 | 5,252 |
| 24-hour Care | 8,814 | 8,755 | 8,519 |
| TOTALS | 81,814 | 82,873 | 83,360 |

## 35 DISABILITY EVALUATION AND OTHER SERVICES

### Program Objectives Statement

The objective of this program is to determine an applicant's medical and/or vocational eligibility for disability benefits and provide administrative services to other agencies.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $1.8 million ($917,000 General Fund) and 10.5 positions (10.0 personnel years) for the increased Medi-Cal Disability Workload.

### Authority

Federal Laws: Social Security Act (Titles II, XVI, XIX).

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 35 DISABILITY EVALUATION & OTHER SERVICES

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$11,606* | *$13,591* | *$13,378* |
| *0890 Federal Trust Fund* | *173,524* | *186,752* | *186,393* |
| *0995 Reimbursements* | *12,190* | *12,114* | *13,116* |
| Totals, State Operations | $197,320 | $212,457 | $212,887 |
| 35.15 Disability Evaluation | | | |
| State Operations | 188,242 | 204,067 | 203,741 |
| *0001 General Fund* | *7,367* | *9,870* | *9,021* |
| *0890 Federal Trust Fund* | *173,524* | *186,752* | *186,393* |
| *0995 Reimbursements* | *7,351* | *7,445* | *8,327* |
| 35.25 Services to Other Agencies | | | |
| State Operations | 9,078 | 8,390 | 9,146 |
| *0001 General Fund* | *4,239* | *3,721* | *4,357* |
| *0995 Reimbursements* | *4,839* | *4,669* | *4,789* |

### 35.15 Disability Evaluation

### Program Element Statement

The Disability Evaluation Program determines the medical, vocational and/or functional eligibility of California residents applying for benefits under Title II (Disability Insurance), Title XVI (Supplemental Security Income), and Title XIX (Medicaid) of the Social Security Act. Eligibility is determined by the severity of the individual's physical and/or mental impairment(s) and their overall ability to engage in substantial gainful employment.

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

### 35.25 Services to Other Agencies

**Program Element Statement**

In addition to providing support services for its programs, the Department of Social Services provides general administrative services, such as personnel, accounting and budgeting to the State Council on Developmental Disabilities and the Area Boards on Developmental Disabilities. The Department also provides services to the Medi-Cal Program in the form of state hearings and public information services.

---

### 60 ADMINISTRATION

**Program Objectives Statement**

This program provides administrative and executive management for the department.

---

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**
**60 ADMINISTRATION**

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 60.01 Administration | $23,746 | $36,321 | $35,358 |
| 60.02 Distributed Administration | –23,746 | –36,321 | –35,358 |
| TOTALS, STATE OPERATIONS | – | – | – |

---

**SUMMARY BY OBJECT**
**1 STATE OPERATIONS**

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4,262.3 | 4,672.4 | 4,630.3 | $214,871 | $240,392 | $243,148 |
| Total Adjustments | – | 5.2 | 79.8 | – | 467 | 4,169 |
| Estimated Salary Savings | – | –350.9 | –366.3 | – | –13,418 | –14,089 |
| Net Totals, Salaries and Wages | 4,262.3 | 4,326.7 | 4,343.8 | $214,871 | $227,441 | $233,228 |
| Staff Benefits | – | – | – | 40,318 | 40,475 | 41,396 |
| Totals, Personal Services | 4,262.3 | 4,326.7 | 4,343.8 | $255,189 | $267,916 | $274,624 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $221,554 | $161,316 | $157,897 |
| TOTALS, EXPENDITURES | | | | $476,743 | $429,232 | $432,521 |

---

**RECONCILIATION WITH APPROPRIATIONS**
**1 STATE OPERATIONS**
**0001 General Fund**

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $79,775 | $95,532 | $94,711 |
| Allocation for employee compensation | 7,938 | 456 | – |
| Adjustment per Section 3.60 | –5,419 | –956 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 116 | – |
| Adjustment per Section 16.00 | 61 | – | – |
| Transfer to Legislative Claims (9670) | –1 | –1 | – |
| Allocation for Innovation Technology Activities | 327 | – | – |
| Transfer to Dept of Child Support Svcs per Chapter 479, Statues of 1999, Sec 9 | –1,328 | – | – |
| Transfer from Item 5180-002-0001 per Chapter 479, Statutes of 1999, Sec 11 | 77 | – | – |
| Transfer from Item 5180-151-0001/1999 per Provision 12 | 75 | – | – |
| Transfer from Item 5180-151-0001 per Provision 4 | – | 44 | – |
| Allocation per Government Code Section 8690.6 | 919 | 440 | – |
| Augmentation per Chapter 309, Statutes of 2000 | – | 575 | – |
| Item 5180-001-0001/1998, Reapp by Item 5180-490/00 | 604 | – | – |
| Item 5180-101-0001/1998, Reapp by Item 5180-490/00 | 2,774 | – | – |
| 002 Budget Act appropriation (Child Support Program) | 20,189 | – | – |
| Allocation for employee compensation | 235 | – | – |
| Transfer to Item 5180-001-0001 per Chapter 479, Statute of 1999, Sec 11 | –77 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 011 Budget Act appropriation (Tsf Foster Family Home & Small Family Home Ins Fd) | $2,034 | $2,034 | $2,034 |
| Chapter 652, Statutes of 1999 | 705 | – | – |
| Prior year balances available: | | | |
| Chapter 1056, Statutes of 1998 | 330 | 330 | 175 |
| Chapter 794, Statutes of 1997 | 43 | 20 | – |
| Totals Available | $109,261 | $98,590 | $96,920 |
| Unexpended balance, estimated savings | −1,381 | −20 | −20 |
| Balance available in subsequent years | −350 | −175 | – |
| TOTALS, EXPENDITURES | $107,530 | $98,395 | $96,900 |

### 0131 Foster Family Home and Small Family Home Insurance Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $3,000 | $3,000 | $3,000 |
| Totals Available | $3,000 | $3,000 | $3,000 |
| Unexpended balance, estimated savings | −779 | – | – |
| TOTALS, EXPENDITURES | $2,221 | $3,000 | $3,000 |
| Less funding provided by Various Funds | −2,366 | −3,000 | −3,000 |
| NET TOTALS, EXPENDITURES | −$145 | – | – |

### 0163 Continuing Care Provider Fee Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Health and Safety Code Section 1793 | $474 | $633 | $642 |
| TOTALS, EXPENDITURES | $474 | $633 | $642 |

### 0270 Technical Assistance Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,721 | $2,152 | $2,711 |
| Allocation for employee compensation | 87 | 8 | – |
| Adjustment per Section 3.60 | −52 | −18 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 1 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $1,757 | $2,143 | $2,711 |

### 0271 Certification Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,165 | $1,191 | $1,187 |
| Allocation for employee compensation | 59 | 4 | – |
| Adjustment per Section 3.60 | −54 | −9 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 1 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $1,171 | $1,187 | $1,187 |

### 0279 Child Health and Safety Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $891 | $845 | $258 |
| Allocation for employee compensation | 36 | 4 | – |
| Adjustment per Section 3.60 | −38 | −9 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 1 | – |
| 011 Budget Act appropriation (Transfer to State Children's Trust Fund-0803) | 17 | 35 | 45 |
| TOTALS, EXPENDITURES | $906 | $876 | $303 |

### 0803 State Children's Trust Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Welfare and Institutions Code Section 18969 | $548 | $149 | $150 |
| TOTALS, EXPENDITURES | $548 | $149 | $150 |
| Less funding provided by Child Health and Safety Fund | −17 | −35 | −45 |
| NET TOTALS, EXPENDITURES | $531 | $114 | $105 |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $291,517 | $307,427 | $310,025 |
| Allocation for employee compensation | 12,383 | 340 | – |
| Adjustment per Section 3.60 | –7,699 | –1,298 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 122 | – |
| Adjustment per Section 16.00 | 86 | – | – |
| Transfer to Legislative Claims (9670) | –4 | –1 | – |
| Allocation for Innovation Technology Activities | 350 | – | – |
| Transfer to Dept of Child Support Svcs per Chapter 479, Statutes of 1999 Sec 9 | –2,578 | – | – |
| Transfer from Item 5180-002-0890 per Chapter 479, Statutes of 1999, Sec 11 | 149 | – | – |
| Transfer from Item 5180-151-0890 per Provision 4 | – | 2 | – |
| Item 5180-001-0890/1998, Reapp by Item 5180-490/1999 | 310 | – | – |
| Budget Adjustment | 2,717 | 415 | – |
| 002 Budget Act appropriation (Child Support Program) | 49,404 | – | – |
| Allocation for employee compensation | 909 | – | – |
| Transfer to Item 5180-001-0890 per Chapter 479, Statutes of 1999, Sec 11 | –149 | – | – |
| Budget Adjustment | –767 | – | – |
| 011 Budget Act appropriation (Tsf to Foster Fam Home & Small Fam Home Ins Fd) | 966 | 966 | 966 |
| Budget Adjustment | –634 | – | – |
| TOTALS, EXPENDITURES | $346,960 | $307,973 | $310,991 |

### 0995 Reimbursements

| APPROPRIATIONS | | | |
|---|---|---|---|
| Reimbursements | $17,559 | $17,911 | $19,682 |
| TOTALS, EXPENDITURES, (State Operations) | $476,743 | $429,232 | $432,521 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $12,309,444 | $12,980,276 | $13,533,666 |
| County administration | 857,599 | 670,657 | 737,440 |
| Automation projects | 124,398 | 141,045 | 203,793 |
| TOTALS, EXPENDITURES | $13,291,441 | $13,791,978 | $14,474,899 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $2,627,679 | $2,588,995 | $2,630,913 |
| Transfer to Dept of Child Support Svcs per Chapter 479, Statutes of 1999 Sec 9 | –1,719 | – | – |
| Transfer from Item 5180-101-0001/1999 per Provision 5 of Item 5180-490/2000 | – | 400 | – |
| 102 Budget Act appropriation (Welfare to Work Match) | 25,000 | 48,941 | 88,614 |
| Transfer from Item 5180-102-0001/1999 per Provision 1 of Item 5180-490/2000 | – | 20,422 | – |
| Transfer from Item 5180-102-0001/1998 per Provision 2 of Item 5180-490/2000 | 5,660 | 600 | – |
| 111 Budget Act appropriation | 3,013,263 | 3,455,899 | 3,713,508 |
| Revised expenditure authority per Provision 1 | 108,904 | – | – |
| 141 Budget Act appropriation (County Administration) | 341,291 | 343,952 | 401,008 |
| Allocation for contingencies or emergencies | – | 3,014 | – |
| Transfer to Franchise Tax Board per Chapter 479, Statutes of 1999, Sec 10 | –1,924 | – | – |
| Increased expenditure authority per Provision 4 | 460 | 14,046 | – |
| Revised expenditure authority per Provision 8 | – | 725 | – |
| Allocation from Chapter 479, Statutes of 1999, Section 4 | 95,500 | – | – |
| 151 Budget Act appropriation | 639,251 | 699,776 | 739,655 |
| Allocation for contingencies or emergencies | – | 5,663 | – |
| Transfer to Item 5180-001-0001 per Provision 12 | –75 | – | – |
| Transfer to Item 5180-001-0001 per Provision 4 | – | –44 | – |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Chapter 1014, Statutes of 1998, Reapp by Item 5180-490/1999 | $992 | – | – |
| Allocation from Item 5180-151-0001/1998 per Item 5180-490/1999 | 3,580 | – | – |
| 152 Budget Act appropriation | – | $1,500 | – |
| Chapter 906, Statutes of 1999 | 69 | – | – |
| Totals Available | $6,857,931 | $7,183,889 | $7,573,698 |
| Unexpended balance, estimated savings | −105,205 | −255,919 | – |
| Balance available in subsequent years | −20,822 | – | – |
| TOTALS, EXPENDITURES | $6,731,904 | $6,927,970 | $7,573,698 |

### 0122 Emergency Food Assistance Program Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | – | $566 | $293 |
| Totals Available | – | $566 | $293 |
| Unexpended balance, estimated savings | – | −6 | – |
| TOTALS, EXPENDITURES | – | $560 | $293 |

### 0279 Child Health and Safety Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 151 Budget Act appropriation | $199 | $413 | $502 |
| Allocation for contingencies or emergencies | – | 40 | – |
| TOTALS, EXPENDITURES | $199 | $453 | $502 |

### 0287 Youth Pilot Program Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Welfare and Institution Code Section 18987.4 | $55 | $73 | – |
| TOTALS, EXPENDITURES | $55 | $73 | – |
| Less funding provided by the General Fund | −176 | −73 | – |
| NET TOTALS, EXPENDITURES | −$121 | – | – |

### 0514 Employment Training Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (CalWORKs/Payments for Children) | $30,000 | $30,000 | $15,000 |
| TOTALS, EXPENDITURES | $30,000 | $30,000 | $15,000 |

### 0551 Temporary Assistance for Needy Families Fund [f]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation (Transfer to Federal Trust Fund) | ($4,145,596) | – | – |
| Transfer to Item 5180-112-0551 per Provision 3 | (−111,073) | (–) | – |
| Budget adjustments | (−302,060) | (–) | – |
| 112 Budget Act appropriation (Transfer to Federal Trust Fund) | (0) | – | – |
| Transfer from Item 5180-111-0551 per Item 5180-111-0551 Provision 3 | (111,073) | (–) | – |
| Budget Adjustment | (−5,122) | (–) | – |
| 113 Budget Act appropriation (Transfer to Federal Trust Fund) | (257,300) | – | – |
| Budget Adjustment | (-2,180) | (–) | – |
| TOTALS, EXPENDITURES | ($4,093,534) | – | – |

### 0803 State Children's Trust Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Welfare and Institutions Code Section 18969 | $1,695 | $1,530 | $2,826 |
| TOTALS, EXPENDITURES | $1,695 | $1,530 | $2,826 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (CalWORKs/Payments for Children) | $3,445,674 | $3,925,982 | $3,816,481 |
| Transfer from Item 5180-111-0551 per Item 5180-111-0551, Provision 3 | 93,779 | – | – |
| Revised expenditure authority per Item 5180-401, Budget act of 2000 | – | 100,300 | – |
| Revised expenditure authority per Item 5180-403, Budget Act of 2000 | – | 5,339 | – |
| Revised expenditure authority per Item 5180-403, Budget Act of 2000 | – | 50,354 | – |
| Item 5180-101-0890/1998, Reapp by Item 5180-490/1999 | 917,995 | – | – |
| Revised expenditure authority per Provision 4 | 97,835 | – | – |
| Budget Adjustment | −625,713 | −16,729 | – |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 111 Budget Act appropriation (SSI/SSP/HSS Paymtnet for Adults) | $116,170 | $37,727 | $35,486 |
| Budget Adjustment | –67,892 | – | – |
| 141 Budget Act appropriation (County Administration) | 583,448 | 427,066 | 522,042 |
| Increased expenditure authority per Provision 8 | – | 2,374 | – |
| Transfer to Dept of Child Support Svcs per Chapter 479, Statutes of 1999 Sec 9 | –3,335 | – | – |
| Budget Adjustment | 17,770 | 10,048 | – |
| 151 Budget Act appropriation (Social Services Programs) | 874,514 | 1,053,059 | 1,075,147 |
| Transfer to Item 5180-001-0890 per Provision 4 | – | –2 | – |
| Budget Adjustment | 62,176 | 5,210 | – |
| TOTALS, EXPENDITURES | $5,512,421 | $5,600,728 | $5,449,156 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Reimbursements | $1,015,343 | $1,230,737 | $1,433,424 |
| TOTALS, EXPENDITURES, (Local Assistance) | $13,291,441 | $13,791,978 | $14,474,899 |
| TOTALS, EXPENDITURES, (State Operations and Local Assistance) | $13,768,184 | $14,221,210 | $14,907,420 |

---

## FUND CONDITION STATEMENT

### 0122 Emergency Food Assistance Program Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $271 | $567 | $300 |
| Prior year adjustment | 15 | – | – |
| Balance, Adjusted | $286 | $567 | $300 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous revenue | 286 | 300 | 300 |
| Totals, Resources | $572 | $867 | $600 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0840 State Controller (State Operations) | – | 1 | 1 |
| 1730 Franchise Tax Board (State Operations) | 5 | 6 | 6 |
| 5180 Department of Social Services (Local Assistance) | – | 560 | 293 |
| Totals, Expenditures | $5 | $567 | $300 |
| FUND BALANCE | $567 | $300 | $300 |
| Reserve for economic uncertainties | 567 | 300 | 300 |

### 0131 Foster Family Home and Small Family Home Insurance Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3 | $148 | $148 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5180 Department of Social Services (State Operations) | 2,221 | 3,000 | 3,000 |
| Expenditure Reductions: | | | |
| 5180 Department of Social Services (State Operations): | | | |
| Less funding provided by the General Fund | –2,034 | –2,034 | –2,034 |
| Less funding provided by the Federal Trust Fund | –332 | –966 | –966 |
| Totals, Expenditure Reductions | –$2,366 | –$3,000 | –$3,000 |
| Totals, Expenditures | –$145 | – | – |
| FUND BALANCE | $148 | $148 | $148 |
| Reserve for economic uncertainties | 148 | 148 | 148 |

### 0163 Continuing Care Provider Fee Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,083 | $1,164 | $1,086 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 555 | 555 | 555 |
| Totals, Resources | $1,638 | $1,719 | $1,641 |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5180 Department of Social Services (State Operations) | $474 | $633 | $642 |
| FUND BALANCE | $1,164 | $1,086 | $999 |
| Reserve for economic uncertainties | 1,164 | 1,086 | 999 |
| **0270 Technical Assistance Fund [s]** | | | |
| BEGINNING BALANCE | $1,394 | $1,729 | $1,678 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 2,092 | 2,092 | 2,092 |
| Totals, Resources | $3,486 | $3,821 | $3,770 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5180 Department of Social Services (State Operations) | 1,757 | 2,143 | 2,711 |
| FUND BALANCE | $1,729 | $1,678 | $1,059 |
| Reserve for economic uncertainties | 1,729 | 1,678 | 1,059 |
| **0271 Certification Fund [s]** | | | |
| BEGINNING BALANCE | $673 | $517 | $401 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits | 1,015 | 1,071 | 1,071 |
| Totals, Resources | $1,688 | $1,588 | $1,472 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5180 Department of Social Services (State Operations) | 1,171 | 1,187 | 1,187 |
| FUND BALANCE | $517 | $401 | $285 |
| Reserve for economic uncertainties | 517 | 401 | 285 |
| **0287 Youth Pilot Program Fund [s]** | | | |
| BEGINNING BALANCE | – | $121 | $121 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5180 Department of Social Services (Local Assistance) | $55 | 73 | – |
| Totals, Disbursements | $55 | $73 | – |
| Expenditure Reductions: | | | |
| 5180 Department of Social Services: | | | |
| Less funding provided by the General Fund | −176 | −73 | – |
| Totals, Expenditures | −$121 | – | – |
| FUND BALANCE | $121 | $121 | $121 |
| Reserve for economic uncertainties | 121 | 121 | 121 |
| **0279 Child Health and Safety Fund [s]** | | | |
| BEGINNING BALANCE | $1,263 | $1,047 | $794 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 143000 Personalized license plates | 1,376 | 1,567 | 1,786 |
| Totals, Resources | $2,639 | $2,614 | $2,580 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5180 Department of Social Services: | | | |
| State Operations | 906 | 876 | 303 |
| Transfer to State Children's Trust Fund pursuant to Part 6, Division 9, Section 18969 W&I Code | (17) | (35) | (45) |
| Local Assistance | 199 | 453 | 502 |
| 4260 Department of Health Services (Local Assistance) | 487 | 491 | 491 |
| Totals, Expenditures | $1,592 | $1,820 | $1,296 |
| FUND BALANCE | $1,047 | $794 | $1,284 |
| Reserve for economic uncertainties | 1,047 | 794 | 1,284 |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| 0803 State Children's Trust Fund [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $3,463 | $2,154 | $2,024 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 216000 Fees and licenses | 925 | 1,525 | 1,525 |
| Totals, Resources | $4,388 | $3,679 | $3,549 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | 8 | 11 | 11 |
| 5180 Department of Social Services: | | | |
| State Operations | 548 | 149 | 150 |
| Administration | (461) | (149) | (150) |
| Program: Information dissemination | (87) | – | – |
| Local Assistance | 1,695 | 1,530 | 2,826 |
| Totals, Disbursements | $2,251 | $1,690 | $2,987 |
| Expenditure Reductions: | | | |
| 5180 Department of Social Services (State Operations) | | | |
| Less funding provided by Child Health and Safety Fund (0279) | −17 | −35 | −45 |
| Totals, Expenditures | $2,234 | $1,655 | $2,942 |
| FUND BALANCE | $2,154 | $2,024 | $607 |
| Reserve for economic uncertainties | 2,154 | 2,024 | 607 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 4,262.3 | 4,672.4 | 4,630.3 | $214,871 | $240,392 | $243,148 |
| Salary adjustments | – | – | – | – | 184 | 214 |
| Totals, Adjusted Authorized Positions | 4,262.3 | 4,672.4 | 4,630.3 | $214,871 | $240,576 | $243,362 |
| Positions Established: | | | | | | |
| Administration Division: | | | | | | |
| Management and Staff Services Branch: | | | | | | |
| Disaster Response Bureau: | | | | Salary Range | | |
| Temporary Help | – | 4.5 | – | – | 232 | – |
| Overtime | – | – | – | – | 28 | – |
| Totals, Positions Established | – | 4.5 | – | – | $260 | – |
| Proposed New Positions: | | | | | | |
| Welfare To Work Division: | | | | | | |
| Work Services Branch: | | | | | | |
| Work Support Services and Teen Program Bureau: | | | | | | |
| Staff Svcs Mgr I [1] | – | – | 1.0 | 4,346–5,243 | – | 60 |
| Assoc Govtl Prog Analyst [2] | – | – | 2.0 | 3,764–4,576 | – | 104 |
| Program Integrity Branch: | | | | | | |
| Fraud Bureau: | | | | | | |
| Assoc Govtl Prog Analyst [3] | – | – | 1.0 | 3,764–4,576 | – | 52 |
| Program Technology and Support Bureau: | | | | | | |
| Assoc Govtl Prog Analyst [4] | – | – | 2.0 | 3,764–4,576 | – | 104 |
| Totals, Welfare to Work Division | – | – | 6.0 | – | – | $320 |
| Legal Affairs Division: | | | | | | |
| Chief Counsel: | | | | | | |
| Asst Chief Counsel | – | – | 1.0 | 7,348–8,263 | – | 101 |
| Staff Counsel III-Supvr | – | – | 1.0 | 6,324–7,804 | – | 87 |
| Staff Counsel III [1] | – | – | 3.0 | 6,320–7,799 | – | 261 |
| Staff Counsel I | – | – | 3.0 | 3,511–6,763 | – | 183 |
| Sr Legal Analyst | – | – | 2.0 | 3,592–4,805 | – | 109 |
| Legal Support Supvr | – | – | 1.0 | 3,001–3,649 | – | 41 |
| Legal Secty | – | – | 2.0 | 2,600–3,317 | – | 68 |
| Totals, Legal Affairs Division | – | – | 13.0 | – | – | $850 |
| State Hearings Division: | | | | | | |
| State Hearings Division Office: | | | | | | |
| Ofc Techn-Typing | – | – | 1.7 | 2,258–2,745 | – | 46 |
| Totals, State Hearings Division | – | – | 1.7 | – | – | $46 |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Children and Family Services Division: | | | | | | |
| Adoptions Branch: | | | | | | |
| Adoptions Services Bureau: | | | | Salary Range | | |
| Adoptions Spec | – | – | 2.0 | $3,041–4,164 | – | $84 |
| Adoptions Initiative Bureau: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,764–4,576 | – | 52 |
| CWS/CMS Support Branch: | | | | | | |
| Soc Svc Consultant III | – | – | 2.0 | 3,657–4,578 | – | 101 |
| Children's Services Branch: | | | | | | |
| Office Of Child Abuse Prevention: | | | | | | |
| Soc Svc Consultant III | – | – | 2.0 | 3,657–4,578 | – | 101 |
| Foster Care Branch: | | | | | | |
| Foster Care Program Development Bureau: | | | | | | |
| Staff Svcs Mgr I | – | – | 1.0 | 4,346–5,243 | – | 60 |
| Assoc Govtl Prog Analyst | – | – | 4.0 | 3,764–4,576 | – | 207 |
| Ofc Techn-Typing | – | – | 1.0 | 2,258–2,745 | – | 31 |
| Foster Care Ombudsman Branch: | | | | | | |
| Foster Care Ombudsman Office: | | | | | | |
| Soc Svc Consultant III | – | – | 3.0 | 3,657–4,578 | – | 151 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,764–4,576 | – | 52 |
| Foster Care Branch: | | | | | | |
| Foster Care Policy Bureau: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 2.0 | 3,764–4,576 | – | 104 |
| Totals, Children and Family Services Division | – | – | 19.0 | – | – | $943 |
| Administration Division: | | | | | | |
| Fiscal Systems and Accounting Branch: | | | | | | |
| Financial Services Bureau: | | | | | | |
| Assoc Admin Analyst (AS) [3] | – | – | 1.0 | 3,952–4,805 | – | 54 |
| Totals, Administration Division | – | – | 1.0 | – | – | $54 |
| Information Systems Division: | | | | | | |
| Business Relations Branch: | | | | | | |
| DAPD Support Bureau: | | | | | | |
| Staff Info Sys Analyst | – | – | 0.5 | 4,335–5,269 | – | 30 |
| Totals, Information Systems Division | – | – | 0.5 | – | – | $30 |
| Community Care Licensing Division: | | | | | | |
| Central Operations Branch Office: | | | | | | |
| Division Administration Support Section/Bureau Branch Policy: | | | | | | |
| Lic Prog Supvr [5] | – | 0.1 | 0.1 | 3,766–4,578 | $3 | 5 |
| Regional Office-Coastal: | | | | | | |
| Coastal Regional Office: | | | | | | |
| Sr Spec Investigator | – | – | 1.0 | 3,630–4,371 | – | 50 |
| Lic Prog Analyst [6] | – | – | 5.5 | 2,342–4,164 | – | 209 |
| Regional Office-Southern: | | | | | | |
| Southern Regional Office: | | | | | | |
| Sr Spec Investigator | – | – | 1.0 | 3,630–4,371 | – | 50 |
| Lic Prog Analyst [7] | – | – | 6.5 | 2,342–4,164 | – | 247 |
| Ofc Asst-Typing | – | – | 0.5 | 1,835–2,370 | – | 12 |
| Regional Office-Northern: | | | | | | |
| Northern Regional Office: | | | | | | |
| Lic Prog Analyst [8] | – | 0.4 | 5.7 | 2,342–4,164 | 16 | 217 |
| Spec Investigator | – | – | 1.0 | 2,960–3,984 | – | 41 |
| Ofc Asst-Typing [9] | – | 0.2 | 0.3 | 1,835–2,370 | 4 | 8 |
| Regional Office-Los Angeles: | | | | | | |
| Los Angeles Regional Office: | | | | | | |
| Sr Spec Investigator | – | – | 1.0 | 3,630–4,371 | – | 50 |
| Lic Prog Analyst | – | – | 4.5 | 2,342–4,164 | – | 170 |
| Totals, Community Care Licensing Division | – | 0.7 | 27.1 | – | $23 | $1,059 |
| Disability and Adult Programs Division: | | | | | | |
| Adult Programs Branch: | | | | | | |
| Adult Programs System Bureau: | | | | | | |
| Staff Svcs Mgr I [10] | – | – | 0.5 | 4,346–5,243 | – | 30 |
| Assoc Govtl Prog Analyst [11] | – | – | 1.0 | 3,764–4,576 | – | 52 |

*** Dollars in thousands, except in Salary Range.**

## 5180 DEPARTMENT OF SOCIAL SERVICES—Continued

| Central Support Services Branch: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| State Programs Bureau: | | | | Salary Range | | |
| Med Consultant I | – | – | 1.5 | $7,814–9,498 | – | $161 |
| Dis Eval Analyst III | – | – | 7.0 | 3,764–4,576 | – | 363 |
| Prog Techn II | – | – | 1.5 | 2,258–2,745 | – | 47 |
| Totals, Disability and Adult Programs Division | – | – | 11.5 | – | – | $653 |
| Totals, Proposed New Positions | – | 0.7 | 79.8 | – | $23 | $3,955 |
| Total Adjustments | – | 5.2 | 79.8 | – | $467 | $4,169 |
| TOTALS, SALARIES AND WAGES | 4,262.3 | 4,677.6 | 4,710.1 | $214,871 | $240,859 | $247,317 |

[1] 1.0 position limited-term to 6/30/03.
[2] 2.0 positions limited-term to 6/30/03.
[3] 1.0 position limited-term 6/30/04.
[4] 2.0 positions limited-term to 6/30/04.
[5] 0.1 position effective 12/1/00.
[6] 1.5 positions limited-term to 6/30/02.
[7] 2.5 positions limited-term to 6/30/02.
[8] 0.7 position effective 12/1/00 and 1.0 position limited-term to 6/30/02.
[9] 0.3 position effective 12/1/00.
[10] 1.0 position effective 1/1/02 limited-term to 6/30/03.
[11] 2.0 positions effective 1/1/02 limited-term to 6/30/03.

## 5195 STATE-LOCAL REALIGNMENT

State-Local Realignment restructured financial responsibility for most mental health and public health programs and some social services programs. Beginning in 1991–92, responsibility for these programs was transferred from the State to local governments together with two dedicated revenue sources: a one-half cent increase in the State sales tax, projected to generate $2.3 billion in 2000–01 and $2.4 billion in 2001–02; and an increase in vehicle license fees, projected to raise $1.2 billion in 2000–01 and $1.3 billion in 2001–02. In addition, enhanced vehicle license fee collections are projected to provide $14 million in both 2000–01 and 2001–02.

Chapter 107, Statutes of 2000, reduced the vehicle license fees by 67.5 percent. Local governments are held harmless from these reductions through a General Fund backfill for lost vehicle license fees revenue which is deposited into the Local Revenue Fund and deemed to be vehicle license fee revenue. The reduction in vehicle license fees impacts neither the amount of revenues available for realignment nor the statutory mechanisms for distributing realignment revenues to local government. The projected vehicle license fees for realignment include $451.5 million General Fund in 2000–01 and $465.6 million General Fund in 2001–02 as backfill.

Revenues are deposited into the Local Revenue Fund which contains a Sales Tax Account, a Vehicle License Fee Account, a Sales Tax Growth Account and a Vehicle License Fee Growth Account. Funds deposited in these accounts are continuously appropriated for allocation to local governments according to formula. Local governments establish local trust accounts for deposit of the sales tax allocations. Revenues deposited in these accounts are used to fund programs specified in realignment legislation. The legislation also includes local maintenance of effort and matching requirements in order to ensure that counties do not use the realigned funds to supplant local expenditure levels prior to realignment. This provision ensures compliance with other State and federal statutes which, in turn, provide hundreds of millions of dollars annually for county health, mental health, and alcohol and drug programs.

| **Program Requirements** | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Totals, State-Local Realignment | $2,947,085 | $3,111,270 | $3,294,790 |

| **SUMMARY BY OBJECT**<br>**2 LOCAL ASSISTANCE** | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Subventions | $2,947,085 | $3,111,270 | $3,294,790 |

| **RECONCILIATION WITH APPROPRIATIONS**<br>**2 LOCAL ASSISTANCE**<br>**0329 Vehicle License Collection Account, Local Revenue Fund** [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Enhanced vehicle license fee collections of $14 million, or such an amount as is actually collected up to $14 million, is deposited into this account. (Revenue and Taxation Code Section 11001.5) | $14,000 | $14,000 | $14,000 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5195 STATE-LOCAL REALIGNMENT—Continued

| 0330 Local Revenue Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| General Fund is transferred into this account, deemed vehicle license fee revenue and deposited into the Vehicle License Fee Account and the Vehicle License Fee Growth Account. (Revenue and Taxation Code Sections 10754.2, 11000, and 11000.1) | −$323,182 | −$451,523 | −$465,559 |
| **0331 Sales Tax Account, Local Revenue Fund [s]** | | | |
| Revenue collected as a result of the one-half cent increase in the sales tax is transferred from the Local Revenue Fund into this account for subsequent allocation to the various subaccounts. The amount deposited into this account each year equals the total realignment sales tax collected in the preceding year. (Revenue and Taxation Code Section 7102) | ($1,873,967) | ($2,130,603) | ($2,318,998) |
| **0332 Vehicle License Fee Account, Local Revenue Fund [s]** | | | |
| Of the revenue generated from vehicle license fees, 24.33 percent is transferred into the Local Revenue Fund. The amount deposited in the Vehicle License Fee Account of the Local Revenue Fund is equal to the total amount of realignment vehicle license fee revenue collected in the preceding fiscal year. Thus, this account grows each year by the amount of the previous year's vehicle license fee growth. (Revenue and Taxation Code Section 11001.5) | $1,000,584 | $1,125,664 | $1,229,795 |
| **0333 Sales Tax Growth Account, Local Revenue Fund [s]** | | | |
| Sales tax revenues collected in excess of the amount collected in the preceding year are deposited into this account. These deposits are subsequently transferred to the various Sales Tax Growth Account subaccounts. (Welfare and Institutions Code Section 17600.15) | $256,636 | $188,395 | $105,199 |
| Transfers to other Funds | −256,636 | −188,395 | −105,199 |
| Totals, Expenditures | – | – | – |
| **0334 Vehicle License Fee Growth Account [s]** | | | |
| Vehicle license fee revenues collected in excess of the base amount established for the Vehicle License Fee Account in the preceding fiscal year are deposited into this account. Deposits are allocated to each county general fund in amounts that are proportional to each county's total allocation from the Sales Tax Growth Account excluding any amount allocated from the Caseload Subaccount. (Welfare and Institutions Code Sections 17604 and 17606.20) | $125,080 | $104,131 | $92,357 |
| **0350 In-Home Supportive Services Registry Model Subaccount, Sales Tax Account [s]** | | | |
| In 1993–94 this fund received a one-time transfer from the Social Services Account of $1,155,000 and a one-time transfer of $2,145,000 from Item 5180-151-0001, Budget Act of 1993 (local assistance, Department of Social Services). Pursuant to Welfare and Institutions Code Section 14132.95, these moneys were available for allocation by the Controller to counties for the purpose of planning in-home supportive services registries between 1993–94 and 1995–96. This fund was abolished in 1999–00 and the unexpended balance reverted to the General Fund. | $180 | – | – |
| Unexpended balance, estimated savings | −180 | – | – |
| Totals, Expenditures | – | – | – |
| **0351 Mental Health Subaccount, Sales Tax Account [s]** | | | |
| The amount deposited in this account is equal to the total amount of realignment sales tax revenue deposited into mental health accounts of local health and welfare trust funds in the preceding fiscal year. Thus, this account grows each year by the amount of realignment sales tax growth revenues allocated to local governments for mental health purposes in the prior year. These funds are used to pay for the following programs previously funded at the state level: Community Residential Treatment System, Other Treatment, Targeted Supplemental Services, Residential Care Services, Homeless Mentally Disabled, Institutions for Mental Disease, and Lanterman-Petris-Short state hospital beds. (Welfare and Institutions Code Section 17600.15) | $792,712 | $833,796 | $908,213 |

*** Dollars in thousands, except in Salary Range.**

## 5195 STATE-LOCAL REALIGNMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **0352 Social Services Subaccount, Sales Tax Account [s]** | | | |
| The amount deposited in this account is equal to the total amount of realignment sales tax revenue deposited into social services accounts of local health and welfare trust funds in the preceding fiscal year. Thus, this account grows each year by the amount of realignment sales tax growth revenues allocated to local governments for social services programs in the prior year. The funds are used for net additional county costs associated with changed county sharing ratios for social service programs as well as costs for the County Juvenile Justice Subvention System, county stabilization subventions, and the realigned share of the California Children's Services program. (Welfare and Institutions Code Section 17600.15) | $770,342 | $922,486 | $932,747 |
| **0353 Health Subaccount, Sales Tax Account [s]** | | | |
| The amount deposited in this account is equal to the total amount of realignment sales tax revenue deposited into the health accounts of local health and welfare trust funds in the preceding fiscal year. Thus, this account grows each year by the amount of realignment sales tax growth revenue allocated to local governments for health programs in the prior year. These funds pay for the following programs which were previously funded at the state level: AB 8 County Health Services, the Medically Indigent Services Program, and the County Medical Services Program. (Welfare and Institutions Code Section 17600.15) | $310,913 | $374,321 | $478,038 |
| **0354 Caseload Subaccount, Sales Tax Growth Account [s]** | | | |
| Funds deposited into this subaccount provide counties with additional funding for caseload growth in the CalWORKs program, the AFDC-FC program, adoptions assistance, Child Welfare Services, food stamps, the California Children's Services program, and the In-Home Supportive Services Program attributable to changes in county sharing ratios enacted in realignment legislation. During 1998–99, the amount of sales tax growth required to be deposited into this subaccount was $120 million, however, only $96.1 million was available for deposit. Consequently, payment of the balance, $23.9 million, was made from sales tax growth moneys in 1999–00. (Welfare and Institutions Code Section 17605) | $146,479 | – | – |
| **0355 Indigent Health Equity Subaccount, Sales Tax Growth Account [s]** | | | |
| The Indigent Health Equity Subaccount is one of several subaccounts established to address the issue of equity in the distribution of funds for local programs. The subaccount receives 5.3732 percent of the moneys remaining in the Sales Tax Growth Account each year after the requirements of the Caseload Subaccount and the County Medical Services Subaccount are met. (Welfare and Institutions Code Section 17605.10) | $5,364 | $9,715 | $5,425 |
| **0356 Community Health Equity Subaccount, Sales Tax Growth Account [s]** | | | |
| The Community Health Equity Subaccount is one of several subaccounts established to address the issue of equity in the distribution of funds for local programs. The subaccount receives 13.1575 percent of the moneys remaining in the Sales Tax Growth Account each year after the requirements of the Caseload Subaccount and the County Medical Services Subaccount are met. (Welfare and Institutions Code Section 17605.10) | $13,134 | $23,790 | $13,284 |
| **0357 Mental Health Equity Subaccount, Sales Tax Growth Account [s]** | | | |
| The Mental Health Equity Subaccount is one of several subaccounts established to address the issue of equity in the distribution of funds for local programs. The subaccount receives 4.2519 percent of the moneys remaining in the Sales Tax Growth Account each year after the requirements of the Caseload Subaccount, and the County Medical Services Subaccount are met. (Welfare and Institutions Code Section 17605.10) | $4,244 | $7,688 | $4,293 |
| **0358 State Hospital Mental Health Equity Subaccount, Sales Tax Growth Account [s]** | | | |
| The State Hospital Mental Health Equity Subaccount is one of several subaccounts established to address the issue of equity in the distribution of funds for local programs. The subaccount receives 7.5479 percent of the moneys remaining in the Sales Tax Growth Account each year after the requirements of the Caseload Subaccount and the County Medical Services Subaccount are met. (Welfare and Institutions Code Section 17605.10) | $7,534 | $13,647 | $7,621 |

*** Dollars in thousands, except in Salary Range.**

## 5195 STATE-LOCAL REALIGNMENT—Continued

| 0359 County Medical Services Subaccount, Sales Tax Growth Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| The County Medical Services Subaccount provides those counties which participate in the County Medical Services Program (CMSP) with a share of realignment growth revenues proportional to the CMSP share of the original realignment base revenues. The subaccount receives 4.027 percent of Sales Tax Growth moneys each year after the requirements of the Caseload Subaccount are met. The subaccount also receives additional Sales Tax Growth moneys, equivalent to 4.027 percent of the Caseload Subaccount amount, in any fiscal year in which caseload growth exceeds $20 million. (Welfare and Institutions Code Section 17605.07(a) and (b)) .. | $10,335 | $7,587 | $4,236 |
| **0361 General Growth Subaccount, Sales Tax Growth Account [s]** | | | |
| The General Growth Subaccount serves two purposes: (1) to provide growth funding for health and mental health programs in all counties, regardless of whether a county is over or under equity for that program, and (2) to provide funding for programs funded by the Social Services Subaccount of the Sales Tax Account which do not receive growth funding through any other realignment account. The subaccount receives 69.6695 percent of all moneys remaining in the Sales Tax Growth Account each year after the requirements of the Caseload Subaccount and the County Medical Services Subaccount have been met. The funds are distributed to counties in proportion to each county's share of total state resources received in 1990–91 for the following programs: AB 8 County Health Services, the Medically Indigent Services Program, county mental health, state hospitals, Greater Avenues for Independence, the County Services Block Grant and the County Juvenile Justice Subvention System. (Welfare and Institutions Code Sections 17605.10 and 17606.10) ..... | $69,546 | $125,968 | $70,340 |
| TOTALS, EXPENDITURES (Local Assistance) ..... | $2,947,085 | $3,111,270 | $3,294,790 |

---

### FUND CONDITION STATEMENT

| 0329 Vehicle License Collection Account, Local Revenue Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE..... | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 113600 Motor Vehicle License (in-lieu) fees ..... | $14,000 | $14,000 | $14,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) ..... | 14,000 | 14,000 | 14,000 |
| FUND BALANCE..... | – | – | – |
| **0330 Local Revenue Fund [s]** | | | |
| BEGINNING BALANCE..... | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 113600 Motor Vehicle License (in lieu) fees ..... | $799,581 | $775,372 | $853,694 |
| 114800 Retail Sales and Use Tax-realignment..... | 2,130,900 | 2,319,300 | 2,424,500 |
| 150300 Income from surplus money investments..... | 3,000 | 3,000 | 3,000 |
| Totals, Revenues ..... | $2,933,481 | $3,097,672 | $3,281,194 |
| Transfers to Other Funds: [1] | | | |
| T00331 Sales Tax Account per Welfare and Institutions Code Section 17600..... | –1,873,967 | –2,130,603 | –2,318,998 |
| T00332 Vehicle License Fee Account per Welfare and Institutions Code Section 17600..... | –1,000,584 | –1,125,664 | –1,229,795 |
| T00333 Sales Tax Growth Account per Welfare and Institutions Code Section 17600.15 ..... | –256,636 | –188,395 | –105,199 |
| T00334 Vehicle License Fee Growth Account per Welfare and Institutions Code Section 17604 ..... | –125,080 | –104,131 | –92,357 |
| Totals, Transfers to Other Funds ..... | –$3,256,267 | –$3,548,793 | –$3,746,349 |
| Totals, Revenues and Transfers ..... | –$322,786 | –$451,121 | –$465,155 |

*** Dollars in thousands, except in Salary Range.**

## 5195 STATE-LOCAL REALIGNMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0840 State Controller: | | | |
| State Operations: | | | |
| Sales Tax Account | $297 | $302 | $303 |
| Vehicle License Fee Fund | 99 | 100 | 101 |
| Totals, Disbursements | $396 | $402 | $404 |
| Expenditure Reductions: | | | |
| 5195 State-Local Realignment: | | | |
| Local Assistance: | | | |
| Less funding provided by the General Fund per Revenue and Taxation Code Section 10754.2 (Chapter 107, Statutes of 2000) and Sections 11000 and 11000.1 (Chapter 322, Statutes of 1998) | −323,182 | −451,523 | −465,559 |
| Totals, Expenditure Reductions | −$323,182 | −$451,523 | −$465,559 |
| Totals, Expenditures | −$322,786 | −$451,121 | −$465,155 |
| FUND BALANCE | – | – | – |

### 0331 Sales Tax Account, Local Revenue Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00330 Local Revenue Fund per Welfare and Institutions Code Section 17600 | $1,873,967 | $2,130,603 | $2,318,998 |
| Transfers to Other Funds: | | | |
| T00351 Mental Health Subaccount per Welfare and Institutions Code Section 17600.15 | −792,712 | −833,796 | −908,213 |
| T00352 Social Services Subaccount per Welfare and Institutions Code Section 17600.15 | −770,342 | −922,486 | −932,747 |
| T00353 Health Subaccount per Welfare and Institutions Code Section 17600.15 | −310,913 | −374,321 | −478,038 |
| Totals, Transfers to Other Funds | −$1,873,967 | −$2,130,603 | −$2,318,998 |
| Totals, Revenues and Transfers | – | – | – |
| FUND BALANCE | – | – | – |

[1] These transfers each reflect a reduction for a pro rata share of the State Controller's administrative costs, with 75 percent of the State Controller's total costs charged to the Sales Tax Account and 25 percent to the Vehicle License Fee Account.

### 0332 Vehicle License Fee Account, Local Revenue Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00330 Local Revenue Fund per Welfare and Institutions Code Section 17600 | $1,000,584 | $1,125,664 | $1,229,795 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (To Local Governments) (Local Assistance) | 1,000,584 | 1,125,664 | 1,229,795 |
| FUND BALANCE | – | – | – |

### 0333 Sales Tax Growth Account, Local Revenue Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00330 Local Revenue Fund per Welfare and Institutions Code Section 17600.15 (sales tax) | $256,636 | $188,395 | $105,199 |
| Transfers to Other Funds: | | | |
| T00354 Caseload Subaccount per Welfare and Institutions Code, Section 17600.15 | −146,479 | – | – |
| T00355 Indigent Health Equity Subaccount per Welfare and Institutions Code Section 17605.10 | −5,364 | −9,715 | −5,425 |
| T00356 Community Health Equity Subaccount per Welfare and Institutions Code Section 17605.10 | −13,134 | −23,790 | −13,284 |

*** Dollars in thousands, except in Salary Range.**

## 5195 STATE-LOCAL REALIGNMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| T00357 Mental Health Equity Subaccount per Welfare and Institutions Code Section 17605.10 | –$4,244 | –$7,688 | –$4,293 |
| T00358 State Hospital Mental Health Equity Subaccount per Welfare and Institutions Code Section 17605.10 | –7,534 | –13,647 | –7,621 |
| T00359 County Medical Services Subaccount per Welfare and Institutions Code Section 17605.07 | –10,335 | –7,587 | –4,236 |
| T00361 General Growth Subaccount, per Welfare and Institutions Code Section 17605.10 | –69,546 | –125,968 | –70,340 |
| Totals, Transfers to Other Funds | –$256,636 | –$188,395 | –$105,199 |
| Totals, Revenues and Transfers | – | – | – |
| FUND BALANCE | – | – | – |
| **0334 Vehicle License Fee Growth Account [s]** | | | |
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00330 Local Revenue Fund per Welfare and Institutions Code Section 17604 (vehicle license fees) | $125,080 | $104,131 | $92,357 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 125,080 | 104,131 | 92,357 |
| FUND BALANCE | – | – | – |
| **0350 In-Home Supportive Services Registry Model Subaccount, Sales Tax Account [s]** | | | |
| BEGINNING BALANCE | $180 | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Government Code Section 16346 | –180 | – | – |
| FUND BALANCE | – | – | – |
| **0351 Mental Health Subaccount, Sales Tax Account [s]** | | | |
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00331 Sales Tax Account, Local Revenue Fund per Welfare and Institutions Code Section 17600.15 | $792,712 | $833,796 | $908,213 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 792,712 | 833,796 | 908,213 |
| FUND BALANCE | – | – | – |
| **0352 Social Services Subaccount, Sales Tax Account [s]** | | | |
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00331 Sales Tax Account, Local Revenue Fund per Welfare and Institutions Code Section 17600.15 | $770,342 | $922,486 | $932,747 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 770,342 | 922,486 | 932,747 |
| FUND BALANCE | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5195 STATE-LOCAL REALIGNMENT—Continued

| 0353 Health Subaccount, Sales Tax Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00331 Sales Tax Account, Local Revenue Fund per Welfare and Institutions Code Section 17600.15 | $310,913 | $374,321 | $478,038 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 310,913 | 374,321 | 478,038 |
| FUND BALANCE | – | – | – |

| 0354 Caseload Subaccount, Sales Tax Growth Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00333 Sales Tax Growth Account, Local Revenue Fund per Welfare and Institutions Code Section 17600.15 | $146,479 | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 146,479 | – | – |
| FUND BALANCE | – | – | – |

| 0355 Indigent Health Equity Subaccount, Sales Tax Growth Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00333 Sales Tax Growth Account, Local Revenue Fund per Welfare and Institutions Code Section 17605.10 | $5,364 | $9,715 | $5,425 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 5,364 | 9,715 | 5,425 |
| FUND BALANCE | – | – | – |

| 0356 Community Health Equity Subaccount, Sales Tax Growth Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00333 Sales Tax Growth Account, Local Revenue Fund per Welfare and Institutions Code Section 17605.10 | $13,134 | $23,790 | $13,284 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 13,134 | 23,790 | 13,284 |
| FUND BALANCE | – | – | – |

| 0357 Mental Health Equity Subaccount, Sales Tax Growth Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00333 Sales Tax Growth Account, Local Revenue Fund per Welfare and Institutions Code Section 17605.10 | $4,244 | $7,688 | $4,293 |

*** Dollars in thousands, except in Salary Range.**

## 5195 STATE-LOCAL REALIGNMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | $4,244 | $7,688 | $4,293 |
| FUND BALANCE | – | – | – |
| **0358 State Hospital Mental Health Equity Subaccount, Sales Tax Growth Account [s]** | | | |
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00333 Sales Tax Growth Account, Local Revenue Fund per Welfare and Institutions Code Section 17605.10 | $7,534 | $13,647 | $7,621 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 7,534 | 13,647 | 7,621 |
| FUND BALANCE | – | – | – |
| **0359 County Medical Services Subaccount, Sales Tax Growth Account [s]** | | | |
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00333 Sales Tax Growth Account, Local Revenue Fund per Welfare and Institutions Code Section 17605.07 | $10,335 | $7,587 | $4,236 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 10,335 | 7,587 | 4,236 |
| FUND BALANCE | – | – | – |
| **0361 General Growth Subaccount, Sales Tax Growth Account [s]** | | | |
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00333 Sales Tax Growth Account, Local Revenue Fund per Welfare and Institutions Code Section 17605.10 | $69,546 | $125,968 | $70,340 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5195 State-Local Realignment (to Local Governments) (Local Assistance) | 69,546 | 125,968 | 70,340 |
| FUND BALANCE | – | – | – |

*** Dollars in thousands, except in Salary Range.**

# TABLE OF CONTENTS

## YOUTH AND ADULT CORRECTIONAL


California Department of Corrections ..... YAC 1
Board of Corrections ..... YAC 32
Board of Prison Terms ..... YAC 39
Youthful Offender Parole Board ..... YAC 41
Department of the Youth Authority ..... YAC 42
Commission on Correctional Peace Officers' Standards and Training ..... YAC 56

# 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS

The mission of the California Department of Corrections (CDC) is the control, care and treatment of men and women who have been convicted of serious crimes, or those admitted to the civil narcotic program, and entrusted to the Department's Institution, Health Care Services and Community Correctional Programs.

CDC is organized into four programs: Institution Program, Health Care Services Program, Community Correctional Program, and Central Administration Program. Within the Institution Program, and located throughout the state, are 33 operating correctional institutions, with 11 of these having reception centers. In fiscal year 2001–02, CDC will continue to activate beds to accommodate inmate population growth. Included within the Institution Program budget is the Narcotic Addict Evaluation Authority, the Richard A. McGee Correctional Training Center and the field administration organization which directly support institution activities.

The Health Care Services Program was created for 1997–98 and is comprised of the Health Care Services Division at Headquarters and the Medical, Dental, and Psychiatric Services sections at the institutions.

The Community Correctional Program is a statewide operation which includes field offices, Reentry Centers and Community Correctional Facilities. These facilities include public and privately operated Community Correctional Facilities, Community Correctional Reentry Centers, Restitution Centers, Prisoner Mother Programs, and a Substance Abuse Program. Effective July 1, 2001, the Community Correctional Facilities Program will be transferred from the Community Correctional Program (Program 31) to the Institution Program (Program 21).

In 1999–00 the Central Administration Program was organized into several divisions: Executive Division, Administrative Services Division, Information Services Division, Legal Affairs Division, and Planning and Construction Division. In 2000–01, CDC's reorganization reduced the line divisions to three: Executive, Support Services and Field Operations.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 21 Institution Program | 34,056.0 | 36,255.9 | 36,604.2 | $3,218,316 | $3,380,545 | $3,616,594 |
| 22 Health Care Services Program | 3,984.7 | 4,871.0 | 5,049.8 | 565,897 | 646,557 | 724,403 |
| 31 Community Correctional Program | 2,851.2 | 3,521.1 | 3,566.7 | 499,216 | 557,599 | 465,808 |
| 41 Administration | 1,236.3 | 1,465.5 | 1,327.0 | 121,958 | 132,222 | 136,608 |
| Distributed Administration | – | – | – | −121,958 | −132,222 | −136,608 |
| 98 State Mandated Local Programs | – | – | – | 1,866 | 3,247 | 1,958 |
| TOTALS, PROGRAMS | 42,128.2 | 46,113.5 | 46,547.7 | $4,285,295 | $4,587,948 | $4,808,763 |
| *0001 General Fund* | | | | *4,146,935* | *4,437,092* | *4,697,298* |
| *0890 Federal Trust Fund* | | | | *2,837* | *2,401* | *1,974* |
| *0917 Inmate Welfare Fund* | | | | *42,563* | *46,623* | *46,751* |
| *0942 Special Deposit Fund* | | | | *685* | – | – |
| *0995 Reimbursements* | | | | *92,275* | *101,832* | *62,740* |

## 21 INSTITUTION PROGRAM

### Program Objectives Statement

The California Department of Corrections is required by statute to accept convicted felons and civilly committed non-felon narcotic addicts from California courts when their sentence is imprisonment in a State correctional facility. It is the Department's responsibility to provide safe and secure detention facilities to protect society from further criminal activities and to provide necessary services such as feeding, clothing, medical care, psychiatric and counseling services and training, including academic and vocational education.

The Department's projections of the state prison inmate population by June 30, 2001 have increased from the 2000–01 May Revision projection of 148,938 to the current projected level of 152,044. [1] For 2001–02 the inmate population is projected to increase to 153,594. [1] Effective July 1, 2001, the Community Correctional Facilities will be transferred from the Community Correctional Program (Program 31) to the Institution Program (Program 21).

### Major Budget Adjustments Included in 2000–01

- Abolished 99.6 vacant non-public safety positions (94.6 personnel years) pursuant to Section 31.50 of the Budget Act of 2000.
- 469.2 positions (446.8 personnel years) and a net increase of $31.5 million General Fund and $658,000 Inmate Welfare Fund to accommodate revised inmate population projections and related programmatic changes.
- $3.2 million General Fund to provide additional overtime funding to address increased medical guarding and transportation workload.
- $15.2 million General Fund to provide additional overtime funding to increase the budgeted relief factors for posted positions.
- $10.4 million General Fund to address workers' compensation costs.
- 14.8 positions (14.1 personnel years) to provide increased security for condemned inmates at San Quentin State Prison.
- $12.0 million in reimbursement authority to fund the construction of ten administrative segregation housing units.
- 8.3 positions (8.0 personnel years) to increase the Basic Correctional Officer Academy (BCOA) from 10 weeks to 16 weeks. This expansion is funded from $10 million General Fund appropriated in the 2000 Budget Act.

### Major Budget Adjustments Proposed for 2001–02

- 791.3 positions (752.6 personnel years) and a net increase of $53.1 million General Fund and $945,000 Inmate Welfare Fund to accommodate revised inmate population projections and related charges. This augmentation includes 326.2 positions (156.8 personnel years) and a net increase of $8.2 million General Fund to activate ten new design Administrative Segregation Units.
- 51.4 positions (48.8 personnel years) and $2.8 million General Fund to address increased medical guarding and transportation workload.
- 18.9 positions (17.9 personnel years) and $1.1 million General Fund to provide increased security for condemned inmates at San Quentin State Prison.
- 41.5 positions (39.3 personnel years) and $8.3 million General Fund to implement a Violence Control Pilot Program at one institution and provide safety and security equipment and related items.
- 474.0 positions (450.2 personnel years) and $30.7 million General Fund for budgeted relief factors and salary savings adjustment for posted positions.

[1] Does not include 192 inmates housed at Pitchess County Jail.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

$58.3 million General Fund and 77.0 redirected positions (73.2 personnel years) to repair and/or replace electromechanical door operating equipment.
15.0 positions (14.3 personnel years) and $1.6 million Federal Fund authority for the Incarcerated Youth Offender (IYO) program.
One-year extension of 69.0 positions (65.2 personnel years) and $8.5 million in reimbursement authority for the construction of ten administrative segregation housing units.
18.0 positions (17.0 personnel years) and $1.2 million General Fund to extend the Enhanced Peace Officer Training (EPOT) Multimedia and Simulator Technology pilot program for one additional year.
16.0 positions (15.0 personnel years) to convert existing limited-term positions to permanent positions in the Staff Development Center.
$21.9 million General Fund to fund workers' compensation costs.
33.0 positions (31.4 personnel years) to increase the Basic Correctional Officer Academy (BCOA) from 10 weeks to 16 weeks. This expansion is funded from $10 million General Fund appropriated in the 2000 Budget Act.
49.0 positions (46.5 personnel years) and $2.7 million General Fund for inmate medical services.
32.4 positions (30.8 personnel years) and $1.8 million General Fund to augment the Mental Health Services Delivery System.
Elimination of 826.0 excess vacant positions (785.0 personnel years). The positions to be eliminated would not be assigned to the public safety, education or health care functions.

### Authority

Penal Code, Part III, Titles 1, 2, 3, 5, 7; Sections 1168, 1203.03, 5068, 5079; Welfare and Institutions Code, Division 3.

## 22 HEALTH CARE SERVICES PROGRAM

### Program Objectives Statement

The mission of the Health Care Services Program is to provide health and mental health care to the inmate population statewide consistent with adopted standards for quality and scope of services within a custodial environment. The Program strives to achieve this mission by providing cost-effective, timely and competent care. Additionally, the Program promotes inmate responsibility for their health.

The Health Care Services Program is in the process of implementing a multidisciplinary, multiple service statewide health care delivery system. The delivery system provides the inmates with timely access to staff, facilities, equipment, and procedures to diagnose and treat medical, dental, and mental health problems. Standardized screening and comprehensive mental health evaluations, licensed 24-hour medical care, adequate and timely mental health crisis care, and ongoing medical, dental, and mental health outpatient treatment are the basic components of the Health Care Services Program. The Program also provides standardized infectious disease control measures throughout the prison system to mitigate the transmission of infectious diseases among inmates, staff, and the community.

The Program currently operates four licensed hospitals and a skilled nursing facility for female inmates. In addition, the Department operates a hospice care wing at the California Medical Facility and an HIV unit at the California Institution for Men.

### Major Budget Adjustments Included in 2000–01

- 56.7 positions (53.8 personnel years) and a net increase of $5.8 million General Fund to provide necessary health care and support staff to accommodate revised inmate population projections and related programmatic changes.
- An increase of $45.0 million General Fund to address projected and actual cost increases for medical/psychiatric supplies and contracted medical services.
- A reduction of 18.8 positions (17.8 personnel years) and an increase of $4.2 million General Fund to contract for mental health services at three institutions and reduce the vacancy rates among clinicians.
- $950,000 General Fund to provide additional overtime funding to increase the budgeted relief factors for posted positions.
- $551,000 General Fund for workers' compensation costs.

### Major Budget Adjustments Proposed for 2001–02

- 96.5 positions (91.2 personnel years) and $10.2 million General Fund to provide necessary health care and support staff to accommodate revised inmate population projections and related charges. This augmentation includes 36.0 positions (17.8 personnel years) and $1.0 million General Fund to provide necessary health care and support staff for ten new administrative segregation housing units.
- $5.3 million General Fund to reflect underfunded recruitment and retention pay differentials for various medical and mental health classifications.
- 1.0 position (0.9 personnel years) and a net increase of $82.8 million General Fund to accommodate increased costs for medical/psychiatric supplies, contract medical services, and to increase pharmacy program oversight as part of the Utilization Management program.
- $362,000 General Fund to address increased contract costs related to the provision of services by the Department of Mental Health.
- $300,000 General Fund for a nursing acuity study.
- $100,000 General Fund to develop a formal plan to eliminate or substantially reduce medication-related errors.
- 112.9 positions (106.9 personnel years) and $13.2 million General Fund for improvements to the delivery of medical services including improved access to care, an enhanced reception center diagnostic program, an improved chronic care program, and expanded telemedicine staffing and equipment.
- 26.7 positions (25.4 personnel years) and $1.8 million General Fund to complete mental health assessments of inmates pending parole consideration hearings and mentally disordered offender evaluations.
- An increase of $14.1 million General Fund and 0.6 positions (0.4 personnel years) to provide additional mental health treatment beds and reduce the vacancy rates among clinicians.
- $1.2 million General Fund to fund workers' compensation costs.
- 29.9 positions (28.5 personnel years) and $5.9 million General Fund for budgeted relief factors and salary savings adjustment for posted health care positions.
- 2.0 positions (1.8 personnel years) and $110,000 General Fund to implement a Violence Control Pilot Program.

### Authority

Penal Code, Part III, Titles 1, 2, 3, 5, 7; Sections 1168, 1203.03, 5068, 5079; Welfare and Institutions Code, Division 3.

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

## 31 COMMUNITY CORRECTIONAL PROGRAM

### Program Objectives Statement

The primary objective of this program, consistent with the public's safety, is to increase the rate and degree of successful reintegration, and release to society, of offenders released from state prison, to the jurisdiction of the Parole and Community Services Division. This objective is attained by providing offenders with supervision, surveillance, apprehension, direct support services, and community referral services. The Department's parole population is projected to increase from the 2000–01 May Revision budgeted level of 119,197 by 3,861 to 123,058 by June 30, 2001, and increase to 126,316 by June 30, 2002. The Department's Community Correctional Center/Facility and local jail inmate population is projected to be at 10,802 by June 30, 2001 and 10,879 by June 30, 2002.

The Department of Corrections is responsible for supervising felons who have been paroled, as well as providing various services to parolees. Differential supervision is based on case factors related to the offender's propensity for violence and service needs. When required case assessments indicate, selected parolees will be placed in a category of supervision intended to prevent, detect, or interrupt behavior likely to endanger the community or themselves. These categories include: high control and high service which provide more frequent supervision and detection elements; control/service which is the standard supervision level; and minimum supervision for parolees assessed as posing little or no risk to the community and requiring infrequent or low needs for services. As a parolee situation changes, scheduled reassessments will result in reclassification from one level of supervision to another.

The Department of Corrections is responsible for the placement, supervision, treatment, and transportation of inmates to community correctional centers. These community correctional centers include: (1) community correctional facilities; (2) re-entry facilities; (3) community prisoner mother programs; (4) restitution centers; and (5) a substance abuse treatment program.

Community correctional centers are provided through contracts with public agencies and private profit and nonprofit corporations. These programs provide programming including housing, sustenance and pre-release planning for eligible inmates determined to pose minimal risk to public safety. These inmates are supervised by State public agency and/or private custody staff.

### Major Budget Adjustments Included in 2000–01

- Abolished 108.0 positions (102.6 personnel years) pursuant to Section 31.50 of the Budget Act of 2000.
- An increase of 34.0 positions (32.5 personnel years) and $2.8 million General Fund to accommodate revised parole population projections and to support the crowding of Community Correctional Centers.
- An increase of $884,000 General Fund due to the revised activation of the 500 bed expansion in the Community Correctional Centers.
- A redirection of $1.0 million from the Institution Program (Program 21) and $1.0 million from within from the Community Correctional Program to establish and support a contract with Rio Cosumnes Correctional Center to house parole violators.

### Major Budget Adjustments Proposed for 2001–02

- A transfer of $111.1 million General Fund and 161.0 positions associated with the Community Correctional Facilities Program from the Community Correctional Program (Program 31) to the Institutions Program (Program 21) consistent with the Department's Operational Restructuring and Budget Realignment Plan.
- 177.2 positions (168.4 personnel years) and $15.1 million General Fund to accommodate revised parole population projections.
- 1.0 position (0.9 personnel year) and $1.1 million General Fund to expand the Parole Agency Academy from a six-week to a ten-week program.
- $2.8 million General Fund to augment base funding for psychotropic medications in the Mental Health Continuum of Care program for mentally ill parolees.
- A reduction of $683,000 General Fund to fund increased workers' compensation costs in Institution Program (Program 21) and Health Care Services Program (Program 22).
- A redirection of $2.0 million from the Institution Program (Program 21), $2.0 million from the Community Correctional Program support (Program 31) and $1.3 million from Community Correctional Program local assistance (Program 31) to establish and support a contract with the Rio Cosumnes Correctional Center to house parole violators.

### Authority

Penal Code, Part III, Titles 1 and 7; Part IV, Title 1; Welfare and Institutions Code, Chapter 2.

## 41 CENTRAL ADMINISTRATION

### Program Objectives Statement

The objective of the Central Administration Program is to provide executive and administrative services to assure the overall success of the Department's Institution, Health Care Services, and Community Correctional Programs. Effective July 1, 2000, this program consists of the Executive Division, Support Services, and Field Operations.

The Executive Division is responsible for the overall management of the Department and includes the Director's Office as well as offices such as Investigative Services, Equal Employment Opportunity, Victim Services, and the Office of the Ombudsman.

Support Services includes divisions and offices such as Human Resources, Legal Affairs, Business and Facilities Management, Communications, Information Systems, Correctional Planning and Policy Development, Compliance, Financial Management, and Legislative Liaison.

Field Operations includes offices such as Substance Abuse, Community Resources, and Law Enforcement and Investigations.

### Major Budget Adjustment Included in 2000–01

- Abolished 92.4 vacant non-public safety positions (87.8 personnel years) pursuant to Section 31.50 of the Budget Act of 2000.

### Major Budget Adjustments Proposed for 2001–02

- $1.5 million General Fund for Headquarters Consolidation Project.
- A reduction of $587,000 General Fund to fund increased workers' compensation costs in the Institution Program (Program 21) and the Health Care Services Program (Program 22).
- Extension of 10.0 positions (9.2 personnel years) and $92,000 General Fund for the construction of ten administrative segregation units.
- 9.0 positions (8.6 personnel years) and $3.9 million General Fund to expand the existing substance abuse treatment and recovery service program by 500 slots.

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| Summary of Per Capita Costs and Staff Ratios | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Institutions [2, 3, 4, 5] | | | |
| Per Capita Costs | $22,737 | $24,391 | $25,607 |
| Average Daily Population (ADP) | 152,047 | 151,746 | 152,446 |
| Inmate to Staff Ratio [6] | 3.72:1 | 3.54:1 | 3.51:1 |
| Paroles [7] | | | |
| Per Capita Costs | 2,635 | 2,607 | 2,636 |
| Average Daily Population (ADP) | 123,192 | 128,491 | 132,524 |
| Community Correctional Centers/Facilities [8, 9, 10, 11] | | | |
| Per Capita Costs | 14,798 | 18,301 | 17,967 |
| Average Daily Population (ADP) | 9,432 | 10,332 | 10,879 |

[2] Excludes employees and costs of Inmate Welfare Fund and local assistance.
[3] Includes camp operations.
[4] Excludes lease payments for the purchase of Southern Maximum Security Complex, Mule Creek State Prison, California State Prison-Corcoran, Pelican Bay State Prison, Calipatria State Prison, Central California Women's Facility, Centinela State Prison, Pleasant Valley State Prison, High Desert State Prison, Valley State Prison, Salinas Valley State Prison, and R.A. McGee Training Academy.
[5] Includes cost of operating reception centers.
[6] Includes overtime costs and PY equivalents.
[7] Parole ADP includes Felon, Non-Felon, Co-ops, Pre-parole credit and Pending Revocation.
[8] CCC/F figures exclude local assistance.
[9] CCC/F figures include inmates housed at the Pitchess County Jail, the Santa Rita Jail and the Rio Cosumnes Correctional Center (current and budget years only).
[10] Administrative costs are incorporated in the development of per capita cost.
[11] Community Correctional Facilities transferring to Program 21.

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 21 INSTITUTION PROGRAM

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$3,065,984* | *$3,217,519* | *$3,492,959* |
| *0890 Federal Trust Fund* | *2,837* | *2,401* | *1,974* |
| *0917 Inmate Welfare Fund* | *42,563* | *46,477* | *46,605* |
| *0942 Special Deposit Fund* | *685* | *–* | *–* |
| *0995 Reimbursements* | *90,715* | *99,016* | *59,924* |
| Totals, State Operations | $3,202,784 | $3,365,413 | $3,601,462 |
| Local Assistance | 15,532 | 15,132 | 15,132 |
| Totals, Local Assistance | $15,532 | $15,132 | $15,132 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 21.05 Reception and Diagnosis | | | |
| State Operations | 26,750 | 38,236 | 39,485 |
| *0001 General Fund* | *26,750* | *38,236* | *39,485* |
| 21.10 Security | | | |
| State Operations | 1,893,133 | 1,966,406 | 1,995,765 |
| *0001 General Fund* | *1,882,078* | *1,958,820* | *1,989,881* |
| *0890 Federal Trust Fund* | *120* | *722* | *220* |
| *0995 Reimbursements* | *10,935* | *6,864* | *5,664* |
| 21.15 Transportation | | | |
| Local Assistance (General Fund) | 2,871 | 2,871 | 2,871 |
| Element Components: | | | |
| 21.15.010 Transportation of Prisoners | 278 | 278 | 278 |
| 21.15.020 Return of Fugitives from Justice | 2,593 | 2,593 | 2,593 |
| 21.20 Inmate Support | | | |
| State Operations | 1,092,582 | 1,113,467 | 1,203,322 |
| *0001 General Fund* | *985,311* | *992,150* | *1,115,969* |
| *0917 Inmate Welfare Fund* | *42,563* | *46,477* | *46,605* |
| *0942 Co-Gen Energy Account, Special Deposit Fund* | *214* | *–* | *–* |
| *0995 Reimbursements* | *64,494* | *74,840* | *40,748* |
| Element Components: | | | |
| 21.20.010 Feeding | 198,941 | 212,166 | 224,594 |
| 21.20.020 Clothing | 40,478 | 40,777 | 43,649 |
| 21.20.050 Facilities Operations | 552,317 | 564,929 | 631,531 |
| 21.20.070 Classification Services | 171,205 | 137,051 | 148,735 |
| 21.20.080 Records | 70,428 | 78,872 | 86,182 |
| 21.20.090 Inmate Activities | 9,001 | 24,137 | 12,199 |
| 21.20.100 Religion | 7,649 | 9,058 | 9,827 |
| 21.20.110 Canteen | 42,563 | 46,477 | 46,605 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 21.30 Inmate Employment/Training | | | |
| State Operations | $190,319 | $247,304 | $251,773 |
| *0001 General Fund* | *171,845* | *228,313* | *236,507* |
| *0890 Federal Funds* | *2,717* | *1,679* | *1,754* |
| *0942 Joint Venture, Special Deposit Fund* | *471* | – | – |
| *0995 Reimbursements* | *15,286* | *17,312* | *13,512* |
| Element Components: | | | |
| 21.30.010 Academic Education | 71,524 | 105,256 | 107,442 |
| 21.30.020 Vocational Education | 62,041 | 88,142 | 84,960 |
| 21.30.030 Inmate Employment | 56,754 | 53,906 | 59,371 |
| 21.35 Community Correctional Facilities Program | | | |
| State Operations | – | – | 111,117 |
| *0001 General Fund* | – | – | *111,117* |
| 21.40 Administration | | | |
| State Operations | 76,217 | 293,588 | 340,566 |
| Element Components: | | | |
| 21.40.010 NAEA | 424 | 525 | 521 |
| 21.40.020 I/M Benefits/Workers Compensation | 6,984 | 20,348 | 20,348 |
| 21.40.030 General Administration | 68,809 | 272,715 | 319,697 |
| 21.41 Distributed Administration | –76,217 | –293,588 | –340,566 |
| Net Totals, Administration | – | – | – |
| 21.50 Court Costs and County Charges | | | |
| Local Assistance (General Fund) | 12,661 | 12,261 | 12,261 |
| **PROGRAM REQUIREMENTS** | | | |
| **22 HEALTH CARE SERVICES PROGRAM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$564,912* | *$644,857* | *$722,703* |
| *0995 Reimbursements* | *985* | *1,700* | *1,700* |
| Totals, State Operations | $565,897 | $646,557 | $724,403 |
| **ELEMENT REQUIREMENTS** | | | |
| 22.10 Medical Services | | | |
| State Operations | 383,767 | 425,807 | 473,412 |
| *0001 General Fund* | *382,782* | *424,107* | *471,712* |
| *0995 Reimbursements* | *985* | *1,700* | *1,700* |
| 22.20 Dental Services | | | |
| State Operations | 40,499 | 46,979 | 69,514 |
| *0001 General Fund* | *40,499* | *46,979* | *69,514* |
| 22.30 Psychiatric Services | | | |
| State Operations | 141,631 | 173,771 | 181,477 |
| *0001 General Fund* | *141,631* | *173,771* | *181,477* |
| 22.40 Administration | | | |
| State Operations: | | | |
| Element Components: | | | |
| *22.40.010 Administration* | *42,914* | *129,417* | *182,157* |
| *22.41 Distributed Administration* | *–42,914* | *–129,417* | *–182,157* |
| Net Totals, Administration | – | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **31 COMMUNITY CORRECTIONAL PROGRAM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$463,592* | *$522,766* | *$432,408* |
| *0917 Inmate Welfare Fund* | – | *146* | *146* |
| *0995 Reimbursements* | *575* | *1,116* | *1,116* |
| Totals, State Operations | $464,167 | $524,028 | $433,670 |
| Local Assistance (General Fund) | 35,049 | 33,571 | 32,138 |
| Totals, Local Assistance | $35,049 | $33,571 | $32,138 |
| **ELEMENT REQUIREMENTS** | | | |
| 31.10 Supervision-Case Services | | | |
| State Operations | 306,598 | 315,269 | 323,883 |
| *0001 General Fund* | *306,278* | *314,757* | *323,371* |
| *0995 Reimbursements* | *320* | *512* | *512* |
| 31.20 Community Based Program | | | |
| State Operations | 139,578 | 189,087 | 84,342 |
| *0001 General Fund* | *139,323* | *188,337* | *83,592* |
| *0917 Inmate Welfare Fund* | – | *146* | *146* |
| *0995 Reimbursements* | *255* | *604* | *604* |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance | $35,049 | $33,571 | $32,138 |
| *101 General Fund* | *33,301* | *33,471* | *32,138* |
| *103 General Fund* | *1,748* | *50* | – |
| *104 General Fund* | – | *50* | – |
| 31.30 Psychiatric Outpatient Services | | | |
| State Operations | 17,991 | 19,672 | 25,445 |
| *0001 General Fund* | *17,991* | *19,672* | *25,445* |
| 31.40 Administration | | | |
| State Operations: | | | |
| Element Components: | | | |
| 31.40 Administration | 56,493 | 29,773 | 30,260 |
| 31.41 Distributed Administration | –56,493 | –29,773 | –30,260 |
| Net Totals, Administration | – | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **41 CENTRAL ADMINISTRATION** | | | |
| **ELEMENT REQUIREMENTS** | | | |
| 41.01 Central Administration | $121,958 | $132,222 | $136,608 |
| 41.01.010 Executive | 29,968 | 15,142 | 15,016 |
| 41.01.050 Administration | 43,842 | – | – |
| 41.01.060 Legal | 5,755 | – | – |
| 41.01.070 Planning & Construction | 18,681 | – | – |
| 41.01.080 Information Systems | 23,712 | – | – |
| 41.01.090 Support Services | – | 104,400 | 108,933 |
| 41.01.100 Field Operations | – | 12,680 | 12,659 |
| 41.02 Distributed Administration | | | |
| Amounts Charged to Other Programs: | | | |
| 21 Institution Program | –101,334 | –110,045 | –113,689 |
| 22 Health Care Services Program | –12,779 | –13,857 | –14,316 |
| 31 Community Correctional Program | –7,845 | –8,320 | –8,603 |
| Totals, Amounts Charged to Other Programs | –$121,958 | –$132,222 | –$136,608 |
| Net Totals, Central Administration | – | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **99 STATE MANDATED LOCAL PROGRAMS** | | | |
| Local Assistance: | | | |
| Ch. 802/01 | $1,866 | $1,958 | $1,958 |
| Ch. 780/98 | – | 1,289 | – |
| Net Totals, Local Assistance | $1,866 | $3,247 | $1,958 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $4,232,848 | $4,535,998 | $4,759,535 |
| Local Assistance | 52,447 | 51,950 | 49,228 |
| TOTALS, EXPENDITURES | $4,285,295 | $4,587,948 | $4,808,763 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 42,128.2 | 47,972.2 | 47,742.9 | $2,394,959 | $2,611,787 | $2,666,769 |
| Total Adjustments | – | 568.4 | 1,257.8 | – | 46,844 | 124,732 |
| Estimated Salary Savings | – | –2,427.1 | –2,453.0 | – | –132,932 | –139,575 |
| Net Totals, Salaries and Wages | 42,128.2 | 46,113.5 | 46,547.7 | $2,394,959 | $2,525,699 | $2,651,926 |
| Staff Benefits | – | – | – | 430,948 | 428,203 | 468,922 |
| Totals, Personal Services | 42,128.2 | 46,113.5 | 46,547.7 | $2,825,907 | $2,953,902 | $3,120,848 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,137,919 | $1,317,892 | $1,374,198 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Lease Payment | | | | 268,293 | 263,034 | 263,107 |
| Bond Insurance | | | | 729 | 1,170 | 1,382 |
| Totals, Special Items of Expense | | | | $269,022 | $264,204 | $264,489 |
| TOTALS, EXPENDITURES | | | | $4,232,848 | $4,535,998 | $4,759,535 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (support) | $3,812,740 | $3,984,093 | $4,389,364 |
| 003 Budget Act appropriation (lease payments and insurance) | 225,987 | 223,998 | 251,834 |
| 005 Budget Act appropriation | – | 19,582 | – |
| Allocation for employee compensation | 156,506 | 7,631 | – |
| Allocation for contingencies or emergencies | 20,709 | 120,499 | – |
| Allocation for Year 2000 per Item 9904-001-0001 | 4,262 | – | – |
| Adjustment per Section 3.60 | −142,082 | 37,022 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 37 | – |
| Adjustment per Section 16.00 | 116 | – | – |
| Transfer to Legislative Claims (9670) | −370 | −326 | – |
| Chapter 54, Statutes of 1999 | 15,500 | – | – |
| Prior year balances available: | | | |
| Item 5240-001-0001, Budget Act of 1998 as reappropriated by Item 5240-492, Budget Act of 1999 | 613 | – | – |
| Item 5240-002-0001, Budget Act of 1998 as reappropriated by Item 5240-492, Budget Act of 1999 | 16,352 | – | – |
| Item 5240-004-0001, Budget Act of 1998 as reappropriated by Item 5240-492, Budget Acts of 1999 and 2001 | 2,228 | 1,411 | 580 |
| Item 5240-001-0001, Budget Act of 2000 as reappropriated by Item 5240-492, Budget Act of 2001 | – | – | 7,574 |
| Chapter 526, Statutes of 1998 | 1,593 | 140 | 140 |
| Totals Available | $4,114,154 | $4,394,087 | $4,649,492 |
| Balance available in subsequent years | −1,551 | −8,294 | −1,282 |
| Unexpended balance, estimated savings | −18,115 | −651 | −140 |
| TOTALS, EXPENDITURES | $4,094,488 | $4,385,142 | $4,648,070 |

#### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,795 | $2,384 | $1,974 |
| Allocation for employee compensation | 55 | 3 | – |
| Adjustment per Section 3.60 | −13 | 14 | – |
| Budget adjustment | 1,000 | – | – |
| TOTALS, EXPENDITURES | $2,837 | $2,401 | $1,974 |

#### 0917 Inmate Welfare Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $45,829 | $45,777 | $46,751 |
| Allocation for employee compensation | 833 | 30 | – |
| Allocation for contingencies or emergencies | – | 658 | – |
| Adjustment per Section 3.60 | −185 | 158 | – |
| Transfer to Legislative Claims (9670) | −7 | – | – |
| Totals Available | $46,470 | $46,623 | $46,751 |
| Unexpended balance, estimated savings | −3,907 | – | – |
| TOTALS, EXPENDITURES | $42,563 | $46,623 | $46,751 |

#### 0942 Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Penal Code Section 2085.5(c) | $214 | – | – |
| Penal Code Section 2717.8 | 471 | – | – |
| TOTALS, EXPENDITURES | $685 | – | – |

#### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements (expenditures) | $92,275 | $101,832 | $62,740 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $4,232,848 | $4,535,998 | $4,759,535 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| Other: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Transportation of prisoners | $278 | $278 | $278 |
| Returning fugitives from justice | 2,593 | 2,593 | 2,593 |
| Court costs and county charges | 12,661 | 12,261 | 12,261 |
| Parolee detention | 35,049 | 33,571 | 32,138 |
| State mandated local programs | 1,866 | 3,247 | 1,958 |
| TOTALS, EXPENDITURES | $52,447 | $51,950 | $49,228 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $48,433 | $48,603 | $47,270 |
| 102 Budget Act appropriation, as added by Chapter 1003, Statutes of 1999 | 400 | – | – |
| 103 Budget Act appropriation, as added by Chapter 888, Statutes of 1999 | 1,748 | – | – |
| 103 Budget Act appropriation | – | 50 | – |
| 104 Budget Act appropriation | – | 50 | – |
| 295 Budget Act appropriation (State Mandates) | 1,958 | 1,958 | 1,958 |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 1,285 | – |
| Prior year balances available: | | | |
| Chapter 780, Statutes of 1998 (State Mandates) | 4 | 4 | – |
| Totals Available | $52,543 | $51,950 | $49,228 |
| Balance available in subsequent years | –4 | – | – |
| Unexpended balance, estimated savings | –92 | – | – |
| TOTALS, EXPENDITURES (Local Assistance) | $52,447 | $51,950 | $49,228 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $4,285,295 | $4,587,948 | $4,808,763 |

### FUND CONDITION STATEMENT
### 0917 Inmate Welfare Fund [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $8,410 | $9,479 | $6,359 |
| Prior year adjustments | 3 | – | – |
| Balance, Adjusted | $8,413 | $9,479 | $6,359 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 212000 Materials and Supplies: | | | |
| Canteen sales | 42,194 | 42,091 | 45,296 |
| Handicraft | 86 | 80 | 80 |
| Photo project | 608 | 608 | 608 |
| 215000 Interest on investments | 264 | 260 | 260 |
| 299000 Miscellaneous income | 477 | 464 | 452 |
| Totals, Operating Revenues | $43,629 | $43,503 | $46,696 |
| Totals, Resources | $52,042 | $52,982 | $53,055 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5240 Department of Corrections: | | | |
| State Operations | 42,563 | 46,623 | 46,751 |
| Canteen expenses | (–26,426) | (–27,452) | (–28,397) |
| Personal Services | (–11,494) | (–13,487) | (–12,842) |
| Other Operating Expenses and Equipment | (–1,993) | (–2,000) | (–1,868) |
| Inmate Pay | (–147) | (–200) | (–160) |
| Inmate Benefits | (–2,503) | (–3,484) | (–3,484) |
| Totals, Disbursements | $42,563 | $46,623 | $46,751 |
| FUND BALANCE | $9,479 | $6,359 | $6,304 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Reserve for pending increase of inmate privilege amounts | – | $3,000 | – |
| Reserve for inventory at cost | $2,771 | 919 | $2,771 |
| Reserve for economic uncertainties | 4,268 | – | 1,233 |
| Reserve for automated system | 2,300 | 2,300 | 2,300 |
| Reserve for activation of Delano II | 140 | 140 | – |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 42,128.2 | 47,972.2 | 47,742.9 | $2,394,959 | $2,611,787 | $2,666,769 |
| Salary adjustments | – | – | – | – | 90 | 242 |
| Totals, Adjusted Authorized Positions | 42,128.2 | 47,972.2 | 47,742.9 | $2,394,959 | $2,611,877 | $2,667,011 |
| Workload and Administrative Adjustments: | | | | | | |
| Community Correctional Program (5260): | | | | Salary Range | | |
| Physician & Surgeon, CF | – | –0.3 | – | 7,810–10,239 | –29 | – |
| Dentist, CF | – | –0.2 | – | 6,001–9,292 | –15 | – |
| Parole Administrator I, Adult | – | –1.9 | –0.4 | 6,418–7,077 | –155 | –34 |
| Parole Agent III, Adult Parole | – | –6.9 | –0.2 | 5,269–6,405 | –464 | –15 |
| Parole Agent II-Spec | – | –6.5 | –0.2 | 4,787–6,105 | –399 | –14 |
| Parole Agent I | – | –55.2 | –1.8 | 3,603–5,323 | –2,595 | –100 |
| Pharmacist I | – | –0.2 | – | 4,633–5,263 | –12 | – |
| Corr Sgt | – | –0.2 | – | 3,967–4,819 | –10 | – |
| Registered Nurse, CF | – | –0.5 | – | 3,462–4,600 | –22 | – |
| Assoc Govtl Prog Analyst | – | –0.3 | – | 3,764–4,576 | –14 | – |
| Corr Ofcr | – | –1.7 | – | 2,701–4,397 | –57 | – |
| Radiologic Technologist | – | –0.1 | – | 2,631–3,478 | –3 | – |
| Lab Techn Chemical Analysis | – | –0.1 | – | 2,610–3,466 | –3 | – |
| Ofc Techn-Typing | – | – | –1.5 | 2,258–2,745 | – | –47 |
| Hlth Recd Techn I | – | –0.1 | – | 2,258–2,745 | –3 | – |
| Dental Asst, CF | – | –0.2 | – | 2,061–2,706 | –5 | – |
| Med Transcriber | – | –0.2 | – | 2,215–2,693 | –6 | – |
| Ofc Asst-Typing | – | –13.2 | –0.9 | 1,951–2,370 | –330 | –24 |
| Shift Differential | – | – | – | – | –1 | – |
| Premium Holiday Pay | – | – | – | – | –29 | –1 |
| Totals | – | –87.8 | –5.0 | – | –$4,152 | –$235 |
| R.A. McGee Correctional Training Center (5388): | | | | | | |
| Asst Corr Food Mgr, DOC | – | –0.4 | –1.0 | 3,684–4,401 | –20 | –50 |
| Totals | – | –0.4 | –1.0 | – | –$20 | –$50 |
| Avenal State Prison (5352): | | | | | | |
| Corr Sgt | – | –3.1 | –3.2 | 3,967–4,819 | –161 | –158 |
| Corr Ofcr | – | –21.7 | –22.6 | 2,701–4,397 | –836 | –762 |
| Maint Mechanic, CF | – | –1.0 | –1.0 | 3,600–3,954 | –45 | –45 |
| Supvng Cook I | – | –2.9 | –3.2 | 2,481–3,331 | –105 | –99 |
| Materials & Store Supvr I | – | –1.9 | –2.0 | 2,677–3,210 | –66 | –66 |
| Ofc Asst-Gen | – | –1.0 | –1.0 | 1,951–2,370 | –24 | –24 |
| Shift Differential | – | – | – | – | –12 | –13 |
| Overtime | – | – | – | – | –11 | –12 |
| Premium Holiday Pay | – | – | – | – | –21 | –22 |
| Totals | – | –31.6 | –33.0 | – | –$1,281 | –$1,201 |
| Substance Abuse Treatment Facility (5349): | | | | | | |
| Registered Nurse, CF | – | –0.7 | –1.2 | 3,462–4,600 | –35 | –60 |
| Corr Ofcr | – | –10.4 | –17.8 | 2,701–4,397 | –461 | –788 |
| Electrician II, CF | – | –0.6 | –1.0 | 3,766–4,139 | –30 | –49 |
| Shift Differential | – | – | – | – | –6 | –10 |
| Overtime | – | – | – | – | –4 | –7 |
| Premium Holiday Pay | – | – | – | – | –9 | –15 |
| Totals | – | –11.7 | –20.0 | – | –$545 | –$929 |
| CSP Los Angeles County (5353): | | | | | | |
| Various Positions | – | – | –2.9 | 2,701–4,397 | – | –128 |
| Shift Differential | – | – | – | – | – | –1 |
| Overtime | – | – | – | – | –1 | –3 |
| Premium Holiday Pay | – | – | – | – | – | –2 |
| Totals | – | – | –2.9 | – | –$1 | –$134 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Calipatria State Prison (5362): | | | | Salary Range | | |
| Registered Nurse, CF | – | – | –0.7 | $3,462–4,600 | – | –$35 |
| Various Positions | – | – | –10.5 | 2,701–4,397 | – | –465 |
| Recruitment & Retention | – | – | – | – | – | –2 |
| Shift Differential | – | – | – | – | – | –6 |
| Overtime | – | – | – | – | – | –4 |
| Premium Holiday Pay | – | – | – | – | – | –9 |
| Totals | – | – | –11.2 | – | – | –$521 |
| Central California Women's Facility (5361): | | | | | | |
| Corr Lieut | – | –2.7 | –2.7 | 4,467–5,429 | –$167 | –167 |
| Supvr of Acad Inst | – | –0.8 | –1.0 | 4,444–5,403 | –44 | –55 |
| Pharmacist I | – | –0.6 | –1.0 | 4,633–5,263 | –35 | –58 |
| Voc Instructor, Various, CF | – | –0.3 | –1.0 | 3,209–4,912 | –12 | –40 |
| Sr Med Tech Asst, CF | – | –0.8 | –1.0 | 3,967–4,819 | –40 | –50 |
| Corr Sgt | – | –2.5 | –2.7 | 3,967–4,819 | –133 | –142 |
| Stationary Engr, CF | – | –0.9 | –2.0 | 4,634 | –52 | –116 |
| Registered Nurse, CF | – | –0.1 | –0.3 | 3,462–4,600 | –4 | –13 |
| Corr Ofcr | – | –8.2 | –18.8 | 2,701–4,397 | –299 | –657 |
| Plumber II, CF | – | –0.3 | –1.0 | 3,766–4,139 | –14 | –47 |
| Carpenter II, CF | – | –0.8 | –1.0 | 3,595–3,947 | –36 | –45 |
| Staff Svcs Analyst-Gen | – | –1.6 | –2.5 | 2,411–3,805 | –48 | –75 |
| Supvng Cook I | – | –3.3 | –5.9 | 2,481–3,331 | –102 | –184 |
| Materials & Store Supvr I | – | –2.6 | –4.1 | 2,677–3,210 | –87 | –136 |
| Lead Groundskeeper, CF | – | –0.6 | –1.0 | 2,611–3,132 | –20 | –33 |
| Info Sys Techn | – | –0.6 | –1.0 | 2,122–3,046 | –16 | –26 |
| Groundskeeper, CF | – | –0.3 | –1.0 | 2,507–2,856 | –9 | –31 |
| Ofc Techn-Typing | – | –1.0 | –1.0 | 2,258–2,745 | –31 | –31 |
| Hlth Recd Techn I | – | –0.3 | –1.0 | 2,258–2,745 | –8 | –28 |
| Ofc Asst-Typing | – | –3.3 | –5.0 | 1,951–2,370 | –82 | –124 |
| Shift Differential | – | – | – | – | –7 | –13 |
| Overtime | – | – | – | – | –14 | –24 |
| Premium Holiday Pay | – | – | – | – | –13 | –23 |
| Totals | – | –31.6 | –55.0 | – | –$1,273 | –$2,118 |
| Chuckawalla Valley State Prison (5354): | | | | | | |
| Registered Nurse, CF | – | – | –0.9 | 3,462–4,600 | – | –45 |
| Various Positions | – | – | –20.1 | 2,701–4,397 | – | –890 |
| Shift Differential | – | – | – | – | – | –11 |
| Overtime | – | – | – | – | – | –6 |
| Premium Holiday Pay | – | – | – | – | – | –16 |
| Totals | – | – | –21.0 | – | – | –$968 |
| Correctional Training Facility (5360): | | | | | | |
| Corr Ofcr | – | –9.4 | –16.1 | 2,701–4,397 | –416 | –713 |
| Various Positions | – | –2.6 | –4.4 | 2,701–4,397 | –115 | –195 |
| Shift Differential | – | – | – | – | –6 | –10 |
| Overtime | – | – | – | – | –4 | –7 |
| Premium Holiday Pay | – | – | – | – | –10 | –17 |
| Totals | – | –12.0 | –20.5 | – | –$551 | –$942 |
| Folsom State Prison (5380): | | | | | | |
| Teacher, Elementary Educ, CF | – | –0.6 | –1.0 | 3,209–4,912 | –30 | –51 |
| Teacher, High School Educ, CF | – | –0.6 | –1.0 | 3,209–4,912 | –30 | –51 |
| Voc Instructor, Various, CF | – | –0.2 | –0.4 | 3,209–4,912 | –10 | –20 |
| Corr Sgt | – | –1.5 | –2.6 | 3,967–4,819 | –82 | –143 |
| Stationary Engr, CF | – | –0.6 | –1.0 | 4,634 | –35 | –58 |
| Med Tech Asst, CF | – | –0.6 | –1.0 | 3,012–4,397 | –28 | –46 |
| Corr Ofcr | – | –11.3 | –19.4 | 2,701–4,397 | –500 | –859 |
| Painter II, CF | – | –0.6 | –1.0 | 3,595–3,947 | –28 | –47 |
| Supvng Cook I | – | –0.7 | –1.2 | 2,481–3,331 | –25 | –44 |
| Acct Clk II | – | –0.4 | –0.6 | 2,023–2,461 | –11 | –17 |
| Shift Differential | – | – | – | – | –7 | –12 |
| Overtime | – | – | – | – | –3 | –5 |
| Premium Holiday Pay | – | – | – | – | –11 | –19 |
| Totals | – | –17.1 | –29.2 | – | –$800 | –$1,372 |
| Ironwood State Prison (5355): | | | | | | |
| Registered Nurse, CF | – | – | –0.7 | 3,462–4,600 | – | –35 |
| Various Positions | – | – | –11.0 | 2,701–4,397 | – | –487 |
| Shift Differential | – | – | – | – | – | –6 |
| Overtime | – | – | – | – | – | –4 |
| Premium Holiday Pay | – | – | – | – | – | –9 |
| Totals | – | – | –11.7 | – | – | –$541 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Mule Creek State Prison (5351): | | | | Salary Range | | |
| Registered Nurse, CF | – | – | –0.5 | $3,462–4,600 | – | –$25 |
| Various Positions | – | – | –7.3 | 2,701–4,397 | – | –323 |
| Recruitment & Retention | – | – | – | – | – | –1 |
| Shift Differential | – | – | – | – | – | –4 |
| Overtime | – | – | – | – | – | –3 |
| Premium Holiday Pay | – | – | – | – | – | –6 |
| Totals | – | – | –7.8 | – | – | –$362 |
| North Kern State Prison (5364): | | | | | | |
| Med Tech Asst, CF | – | – | –0.7 | 3,012–4,397 | – | –26 |
| Various Positions | – | – | –10.4 | 2,701–4,397 | – | –351 |
| Shift Differential | – | – | – | – | – | –6 |
| Overtime | – | – | – | – | – | –4 |
| Premium Holiday Pay | – | – | – | – | – | –9 |
| Totals | – | – | –11.1 | – | – | –$396 |
| Pelican Bay State Prison (5359): | | | | | | |
| Registered Nurse, CF | – | – | –0.2 | 3,462–4,600 | – | –10 |
| Various Positions | – | – | –3.3 | 2,701–4,397 | – | –146 |
| Shift Differential | – | – | – | – | – | –2 |
| Overtime | – | – | – | – | – | –1 |
| Premium Holiday Pay | – | – | – | – | – | –3 |
| Totals | – | – | –3.5 | – | – | –$162 |
| San Quentin State Prison (5390): | | | | | | |
| Assoc Govtl Prog Analyst | – | –1.0 | –1.0 | 3,764–4,576 | –$52 | –52 |
| Med Tech Asst, CF | – | –1.5 | –1.5 | 3,012–4,397 | –69 | –69 |
| Corr Ofcr | – | –11.3 | –11.3 | 2,701–4,397 | –500 | –500 |
| Electrician I | – | –1.0 | –1.0 | 3,595–3,947 | –47 | –47 |
| Carpenter I, CF | – | –1.0 | –1.0 | 3,433–3,766 | –45 | –45 |
| Painter I, CF | – | –1.0 | –1.0 | 3,433–3,766 | –45 | –45 |
| Supvng Cook I | – | –2.2 | –2.2 | 2,481–3,331 | –80 | –80 |
| Ofc Techn-Typing | – | –2.0 | –2.0 | 2,258–2,745 | –62 | –62 |
| Pers Selection Techn | – | –1.0 | –1.0 | 1,951–2,745 | –29 | –29 |
| Laundry Supvr I, CF | – | –1.0 | –1.0 | 2,189–2,661 | –30 | –30 |
| Ofc Asst-Typing | – | –2.0 | –2.0 | 1,951–2,370 | –54 | –54 |
| Shift Differential | – | – | – | – | –6 | –6 |
| Overtime | – | – | – | – | –5 | –5 |
| Premium Holiday Pay | – | – | – | – | –11 | –11 |
| Totals | – | –25.0 | –25.0 | – | –$1,035 | –$1,035 |
| Valley State Prison for Women (5291): | | | | | | |
| Corr Lieut | – | –0.3 | –1.0 | 4,467–5,429 | –17 | –56 |
| Supvr of Voc Inst | – | –1.0 | –1.0 | 4,444–5,403 | –61 | –61 |
| Voc Instructor, Various, CF | – | –1.7 | –2.2 | 4,444–5,403 | –94 | –122 |
| Teacher, High School Educ, CF | – | –2.1 | –3.3 | 3,209–4,912 | –84 | –132 |
| Registered Nurse, CF | – | –2.1 | –3.0 | 3,462–4,600 | –98 | –136 |
| Corr Ofcr | – | –9.9 | –13.5 | 2,701–4,397 | –413 | –534 |
| Electrician II, CF | – | –0.8 | –1.0 | 3,766–4,139 | –38 | –47 |
| Staff Svcs Analyst-Gen | – | –1.0 | –1.0 | 2,411–3,805 | –39 | –39 |
| Supvng Cook I | – | –3.6 | –6.5 | 2,481–3,331 | –111 | –201 |
| Lead Groundskeeper, CF | – | –0.8 | –1.0 | 2,611–3,132 | –26 | –33 |
| Pers Techn I | – | –0.3 | –1.0 | 2,061–2,932 | –8 | –26 |
| Ofc Asst-Typing | – | –2.4 | –2.5 | 1,951–2,370 | –64 | –66 |
| Shift Differential | – | – | – | – | –6 | –9 |
| Overtime | – | – | – | – | –9 | –13 |
| Premium Holiday Pay | – | – | – | – | –10 | –15 |
| Totals | – | –26.0 | –37.0 | – | –$1,078 | –$1,490 |
| Wasco State Prison (5363): | | | | | | |
| Med Tech Asst, CF | – | – | –0.2 | 3,012–4,397 | – | –9 |
| Various Positions | – | – | –21.2 | 2,701–4,397 | – | –939 |
| Materials & Store Supvr I | – | – | –0.6 | 2,677–3,210 | – | –22 |
| Ofc Asst-Typing | – | – | –0.6 | 1,951–2,370 | – | –16 |
| Shift Differential | – | – | – | – | – | –11 |
| Overtime | – | – | – | – | – | –8 |
| Premium Holiday Pay | – | – | – | – | – | –17 |
| Totals | – | – | –22.6 | – | – | –$1,022 |
| Institutions Unallocated/Ratios (5997): | | | | | | |
| Physician & Surgeon, CF | – | –2.2 | –3.9 | 7,810–10,239 | –214 | –380 |
| Dentist, CF | – | –1.1 | –2.1 | 6,001–9,292 | –82 | –157 |
| Corr Counselor I | – | –7.4 | –13.3 | 3,603–5,323 | –332 | –598 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Pers Svcs Spec I | – | –1.1 | –1.9 | $2,226–3,222 | –$31 | –$53 |
| Dental Asst, CF | – | –1.1 | –2.1 | 2,061–2,706 | –28 | –54 |
| Acct Clk II | – | –1.7 | –3.0 | 2,023–2,461 | –43 | –76 |
| Ofc Asst-Typing | – | –10.3 | –18.5 | 1,951–2,370 | –250 | –450 |
| Overtime | – | – | – | – | –51 | –82 |
| Premium Holiday Pay | – | – | – | – | –3 | –6 |
| Totals | – | –24.9 | –44.8 | – | –$1,034 | –$1,856 |
| Institutions—Special Unallocated (5998): | | | | | | |
| Staff Psychiatrist, CF | – | –0.3 | –0.6 | 7,810–10,239 | –34 | –68 |
| Sr Psychologist, CF-Supvr | – | –0.7 | –1.3 | 4,891–6,234 | –49 | –90 |
| Psychologist-Clinical, CF | – | –0.7 | –1.4 | 4,119–5,407 | –42 | –83 |
| Registered Nurse, CF | – | –0.8 | –1.5 | 3,462–4,600 | –40 | –75 |
| Corr Ofcr | – | –2.6 | –5.1 | 2,701–4,397 | –115 | –226 |
| Psych Techn | – | –0.8 | –1.5 | 2,516–3,308 | –29 | –55 |
| Recr Therapist | – | –0.8 | –1.5 | 2,647–3,296 | –30 | –56 |
| Ofc Techn-Typing | – | –0.8 | –1.5 | 2,258–2,745 | –25 | –47 |
| Shift Differential | – | – | – | – | –2 | –3 |
| Premium Holiday Pay | – | – | – | – | –2 | –5 |
| Totals | – | –7.5 | –14.4 | – | –$368 | –$708 |
| Community Correctional Program-CCF (5260): | | | | | | |
| C.E.A. III | – | – | –1.0 | 7,648–8,432 | – | –100 |
| Corr Administrator | – | – | –2.0 | 6,588–7,264 | – | –166 |
| Facility Capt | – | – | –6.0 | 6,134–6,763 | – | –464 |
| Corr Counselor III | – | – | –1.0 | 5,311–6,457 | – | –71 |
| Corr Counselor II-Supvr | – | – | –13.0 | 5,227–6,349 | – | –903 |
| Corr Counselor II-Spec | – | – | –2.0 | 4,978–6,349 | – | –136 |
| Parole Agent II | – | – | –9.0 | 4,978–6,349 | – | –611 |
| Corr Lieut | – | – | –12.0 | 4,646–5,646 | – | –741 |
| Corr Counselor I | – | – | –24.0 | 3,747–5,512 | – | –1,337 |
| Staff Svcs Mgr I | – | – | –1.0 | 4,520–5,453 | – | –60 |
| Corr Case Recds Mgr | – | – | –2.0 | 4,330–5,220 | – | –115 |
| Corr Sgt | – | – | –25.0 | 4,126–5,012 | – | –1,371 |
| Assoc Govtl Prog Analyst | – | – | –4.0 | 3,915–4,759 | – | –208 |
| Corr Case Recds Supvr | – | – | –3.0 | 3,770–4,534 | – | –149 |
| Corr Case Recds Analyst | – | – | –17.0 | 2,507–3,957 | – | –659 |
| Staff Svcs Analyst | – | – | –2.0 | 2,507–3,957 | – | –78 |
| Ofc Svcs Supvr II | – | – | –1.0 | 2,628–3,195 | – | –35 |
| Ofc Svcs Supvr I-Typing | – | – | –4.0 | 2,348–2,858 | – | –125 |
| Prog Techn II | – | – | –1.0 | 2,348–2,855 | – | –31 |
| Ofc Techn-Typing | – | – | –3.0 | 2,348–2,855 | – | –94 |
| Prog Techn | – | – | –2.0 | 2,029–2,648 | – | –56 |
| Ofc Asst-Typing | – | – | –26.0 | 1,846–2,465 | – | –701 |
| Totals | – | – | –161.0 | – | – | –$8,211 |
| Institutions Unallocated Other (5999): | | | | | | |
| Staff Psychiatrist, CF | – | –2.3 | –5.5 | 7,810–10,239 | –259 | –620 |
| Sr Psychologist, CF-Supvr | – | –0.4 | –1.0 | 4,891–6,234 | –28 | –69 |
| Psychologist-Clinical, CF | – | –5.2 | –12.5 | 4,119–5,407 | –310 | –743 |
| Staff Programmer Analyst-Supvr | – | –1.2 | –2.0 | 4,334–5,269 | –65 | –108 |
| Assoc Info Sys Analyst-Spec | – | –14.0 | –39.0 | 3,952–4,805 | –691 | –1,923 |
| Assoc Programmer Analyst-Spec | – | –2.5 | –6.7 | 3,952–4,805 | –124 | –330 |
| Assoc Sys Software Spec | – | –1.0 | –2.0 | 3,945–4,794 | –49 | –98 |
| Supvng Registered Nurse I, CF | – | –0.4 | –1.0 | 3,831–4,613 | –21 | –53 |
| Registered Nurse, CF | – | –12.4 | –22.7 | 3,462–4,600 | –545 | –1,002 |
| Telecomm Analyst II | – | – | –0.5 | 3,764–4,576 | – | –23 |
| Various Positions | – | –174.7 | –310.0 | 2,701–4,397 | –5,889 | –10,450 |
| Psych Soc Worker, CF | – | –2.6 | –6.0 | 3,041–3,790 | –110 | –256 |
| Psych Techn | – | –2.6 | –6.0 | 2,516–3,308 | –94 | –218 |
| Recr Therapist | – | –0.2 | –0.5 | 2,647–3,296 | –7 | –19 |
| Ofc Techn-Typing | – | –3.8 | –9.0 | 2,258–2,745 | –119 | –281 |
| Shift Differential | – | – | – | – | –94 | –167 |
| Overtime | – | – | – | – | –30 | –28 |
| Premium Holiday Pay | – | – | – | – | –145 | –257 |
| Totals | – | –223.3 | –424.4 | – | –$8,580 | –$16,645 |
| Totals, Workload and Administrative Adjustments | – | –498.9 | –962.1 | – | –$20,718 | –$40,898 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| Reduction per Section 31.50: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Headquarters, Executive Division (4000): | | | | Salary Range | | |
| Staff Svcs Mgr I | – | (–1.0) | (–1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–1.0) | (–1.0) | – | – | – |
| Headquarters, Institutions Division (4420): | | | | | | |
| Corr Lieut | – | (–1.0) | (–1.0) | – | – | – |
| Corr Plant Supvr | – | (–1.0) | (–1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–3.0) | (–3.0) | – | – | – |
| Exec Asst | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Techn-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Word Proc Techn | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Asst-Typing | – | (–0.5) | (–0.5) | – | – | – |
| Community Correctional Program (5260): | | | | | | |
| Parole Agent III, Adult Parole | – | (–2.0) | (–2.0) | – | – | – |
| Parole Agent II-Spec | – | (–14.0) | (–14.0) | – | – | – |
| Parole Agent I | – | (–95.0) | (–95.0) | – | – | – |
| Bus Svc Asst-Spec | – | (–1.0) | (–1.0) | – | – | – |
| Word Proc Techn | – | (–1.0) | (–1.0) | – | – | – |
| Health Care Services Division (4460): | | | | | | |
| Chief Dentist, CF | – | (–1.0) | (–1.0) | – | – | – |
| Administrator, Hlth Care | – | (–1.0) | (–1.0) | – | – | – |
| Staff Svcs Mgr I | – | (–2.0) | (–2.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–0.5) | (–0.5) | – | – | – |
| Exec Secty I | – | (–1.0) | (–1.0) | – | – | – |
| Secretary | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Techn-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Pers Selection Techn | – | (–0.8) | (–0.8) | – | – | – |
| Word Proc Techn | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Asst-Gen | – | (–1.0) | (–1.0) | – | – | – |
| Office of Community Resources (4450): | | | | | | |
| Corr Counselor III | – | (–1.0) | (–1.0) | – | – | – |
| Community Resources Mgr, CI | – | (–1.0) | (–1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–1.0) | (–1.0) | – | – | – |
| Human Resources Division (4610): | | | | | | |
| C.E.A. I | – | (–1.0) | (–1.0) | – | – | – |
| Corr Lieut | – | (–1.0) | (–1.0) | – | – | – |
| Staff Svcs Mgr I | – | (–1.0) | (–1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–3.0) | (–3.0) | – | – | – |
| Staff Svcs Analyst-Gen | – | (–2.0) | (–2.0) | – | – | – |
| Office of Financial Management (4680): | | | | | | |
| Staff Svcs Mgr I | – | (–1.0) | (–1.0) | – | – | – |
| Acctg Ofcr-Spec | – | (–2.0) | (–2.0) | – | – | – |
| Ofc Svcs Supvr II-Gen | – | (–1.0) | (–1.0) | – | – | – |
| Accountant I-Spec | – | (–0.5) | (–0.5) | – | – | – |
| Acctg Techn | – | (–9.1) | (–9.1) | – | – | – |
| Acct Clk II | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Asst-Gen | – | (–1.0) | (–1.0) | – | – | – |
| Business and Facilities Management Division (4630): | | | | | | |
| C.E.A. IV | – | (–1.0) | (–1.0) | – | – | – |
| C.E.A. II | – | (–1.0) | (–1.0) | – | – | – |
| Sr Mech Engr | – | (–1.0) | (–1.0) | – | – | – |
| Assoc Constrn Analyst | – | (–1.0) | (–1.0) | – | – | – |
| Staff Svcs Mgr I | – | (–4.0) | (–4.0) | – | – | – |
| Assoc Elec Engr | – | (–1.0) | (–1.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–4.5) | (–4.5) | – | – | – |
| Staff Svcs Analyst-Gen | – | (–3.5) | (–3.5) | – | – | – |
| Exec Asst | – | (–1.0) | (–1.0) | – | – | – |
| Secretary | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Techn-Typing | – | (–3.0) | (–3.0) | – | – | – |
| Correctional Planning and Policy Development (4660): | | | | | | |
| Staff Svcs Mgr II-Mgrl | – | (–1.0) | (–1.0) | – | – | – |
| Staff Svcs Mgr II-Supvr | – | (–1.0) | (–1.0) | – | – | – |
| Staff Svcs Mgr I | – | (–2.0) | (–2.0) | – | – | – |
| Legal Affairs Division (4620): | | | | | | |
| Corr Administrator, DOC | – | (–1.0) | (–1.0) | – | – | – |
| Office of Compliance (4670): | | | | | | |
| Staff Svcs Mgr II-Supvr | – | (–1.0) | (–1.0) | – | – | – |
| Staff Svcs Mgr I | – | (–4.0) | (–4.0) | – | – | – |
| Assoc Govtl Prog Analyst | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Techn-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Information Systems Division (4650): | | | | | | |
| Ofc Asst-Typing | – | (–2.0) | (–2.0) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| R.A. McGee Correctional Training Center (5388): | | | | Salary Range | | |
| Corr Lieut | – | (–1.0) | (–1.0) | – | – | – |
| Corr Sgt | – | (–3.0) | (–3.0) | – | – | – |
| Avenal State Prison (5352): | | | | | | |
| Sr Librarian | – | (–1.0) | (–1.0) | – | – | – |
| Supvng Corr Cook, DOC | – | (–0.6) | (–0.6) | – | – | – |
| Supvng Cook I | – | (–1.3) | (–1.3) | – | – | – |
| Lead Groundskeeper, CF | – | (–0.1) | (–0.1) | – | – | – |
| Teaching Asst | – | (–1.0) | (–1.0) | – | – | – |
| California Correctional Center (5290): | | | | | | |
| Voc Instructor, Various, CF | – | (–2.1) | (–2.1) | – | – | – |
| Ofc Techn-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Teaching Asst | – | (–0.9) | (–0.9) | – | – | – |
| California Correctional Institution (5300): | | | | | | |
| Teacher, Elementary Educ, CF | – | (–1.0) | (–1.0) | – | – | – |
| Voc Instructor, Various, CF | – | (–3.0) | (–3.0) | – | – | – |
| California Rehabilitation Center (5350): | | | | | | |
| Teacher, Elementary Educ, CF | – | (–1.0) | (–1.0) | – | – | – |
| Voc Instructor, Various, CF | – | (–1.0) | (–1.0) | – | – | – |
| Painter II, CF | – | (–1.0) | (–1.0) | – | – | – |
| Supvng Cook I | – | (–1.0) | (–1.0) | – | – | – |
| CSP Corcoran (5358): | | | | | | |
| Teacher, Elementary Educ, CF | – | (–0.6) | (–0.6) | – | – | – |
| Water & Sewage Plant Supvr | – | (–1.0) | (–1.0) | – | – | – |
| Electrician I | – | (–1.0) | (–1.0) | – | – | – |
| Lead Groundskeeper, CF | – | (–1.0) | (–1.0) | – | – | – |
| Substance Abuse Treatment Facility (5349): | | | | | | |
| Teacher, High School Educ, CF | – | (–4.0) | (–4.0) | – | – | – |
| CSP Los Angeles County (5353): | | | | | | |
| Voc Instructor, Various, CF | – | (–0.8) | (–0.8) | – | – | – |
| Supvng Cook I | – | (–1.2) | (–1.2) | – | – | – |
| Materials & Store Supvr I | – | (–2.0) | (–2.0) | – | – | – |
| CSP Solano (5335): | | | | | | |
| Assoc Hazardous Materials Spec | – | (–1.0) | (–1.0) | – | – | – |
| Asst Corr Food Mgr, DOC | – | (–1.0) | (–1.0) | – | – | – |
| Utility Shops Supvr, CF | – | (–1.0) | (–1.0) | – | – | – |
| Bus Svc Ofcr I-Spec | – | (–1.0) | (–1.0) | – | – | – |
| Calipatria State Prison (5362): | | | | | | |
| Teacher, High School Educ, CF | – | (–3.0) | (–3.0) | – | – | – |
| Maint Mechanic, CF | – | (–1.0) | (–1.0) | – | – | – |
| Centinela State Prison (5342): | | | | | | |
| Teacher, High School Educ, CF | – | (–3.0) | (–3.0) | – | – | – |
| Electronics Techn, CF | – | (–1.0) | (–1.0) | – | – | – |
| Central California Women's Facility (5361): | | | | | | |
| Teacher, High School Educ, CF | – | (–2.0) | (–2.0) | – | – | – |
| Voc Instructor, Various, CF | – | (–2.0) | (–2.0) | – | – | – |
| Chuckawalla Valley State Prison (5354): | | | | | | |
| Teacher, High School Educ, CF | – | (–1.0) | (–1.0) | – | – | – |
| Voc Instructor, Various, CF | – | (–1.0) | (–1.0) | – | – | – |
| Equipt Maint Supvr, CF | – | (–0.3) | (–0.3) | – | – | – |
| Supvng Corr Cook, DOC | – | (–0.2) | (–0.2) | – | – | – |
| Electronics Techn, CF | – | (–1.0) | (–1.0) | – | – | – |
| Supvng Cook I | – | (–0.5) | (–0.5) | – | – | – |
| Correctional Training Facility (5360): | | | | | | |
| Teacher, High School Educ, CF | – | (–3.0) | (–3.0) | – | – | – |
| Electrician II, CF | – | (–1.0) | (–1.0) | – | – | – |
| Deuel Vocational Institution (5370): | | | | | | |
| Maint Mechanic, CF | – | (–1.0) | (–1.0) | – | – | – |
| Carpenter II, CF | – | (–2.0) | (–2.0) | – | – | – |
| Electrician I | – | (–1.0) | (–1.0) | – | – | – |
| Folsom State Prison (5380): | | | | | | |
| Stationary Engr, CF | – | (–0.8) | (–0.8) | – | – | – |
| Architectural Designer | – | (–1.0) | (–1.0) | – | – | – |
| Maint Mechanic, CF | – | (–1.0) | (–1.0) | – | – | – |
| Supvng Cook I | – | (–0.2) | (–0.2) | – | – | – |
| Lead Groundskeeper, CF | – | (–1.0) | (–1.0) | – | – | – |
| High Desert State Prison (5295): | | | | | | |
| Teacher, High School Educ, CF | – | (–2.0) | (–2.0) | – | – | – |
| Voc Instructor, Various, CF | – | (–2.0) | (–2.0) | – | – | – |
| Ironwood State Prison (5355): | | | | | | |
| Voc Instructor, Various, CF | – | (–1.0) | (–1.0) | – | – | – |
| Staff Svcs Analyst-Gen | – | (–1.0) | (–1.0) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* Salary Range | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Electronics Techn, CF | – | (–1.0) | (–1.0) | – | – | – |
| Bldg Maint Worker, CF | – | (–1.0) | (–1.0) | – | – | – |
| Mule Creek State Prison (5351): | | | | | | |
| Teacher, High School Educ, CF | – | (–1.0) | (–1.0) | – | – | – |
| Electrician II, CF | – | (–1.0) | (–1.0) | – | – | – |
| Materials & Store Supvr I | – | (–1.0) | (–1.0) | – | – | – |
| Library Techn Asst I | – | (–0.5) | (–0.5) | – | – | – |
| Ofc Techn-Typing | – | (–0.5) | (–0.5) | – | – | – |
| North Kern State Prison (5364): | | | | | | |
| Voc Instructor, Various, CF | – | (–0.1) | (–0.1) | – | – | – |
| Mason, CF | – | (–1.0) | (–1.0) | – | – | – |
| Supvng Cook I | – | (–0.2) | (–0.2) | – | – | – |
| Materials & Store Supvr I | – | (–1.6) | (–1.6) | – | – | – |
| Truck Driver, CF | – | (–0.1) | (–0.1) | – | – | – |
| Acctg Techn | – | (–1.0) | (–1.0) | – | – | – |
| Pelican Bay State Prison (5359): | | | | | | |
| Teacher, High School Educ, CF | – | (–0.2) | (–0.2) | – | – | – |
| Supvng Cook I | – | (–3.3) | (–3.3) | – | – | – |
| Asst Clk | – | (–0.5) | (–0.5) | – | – | – |
| Pleasant Valley State Prison (5341): | | | | | | |
| Supvng Cook I | – | (–3.2) | (–3.2) | – | – | – |
| Bldg Maint Worker, CF | – | (–0.8) | (–0.8) | – | – | – |
| Salinas Valley State Prison (5292): | | | | | | |
| Teacher, High School Educ, CF | – | (–4.0) | (–4.0) | – | – | – |
| Sierra Conservation Center (5400): | | | | | | |
| Teacher, High School Educ, CF | – | (–1.0) | (–1.0) | – | – | – |
| Voc Instructor, Various, CF | – | (–1.0) | (–1.0) | – | – | – |
| Materials & Store Supvr I | – | (–1.0) | (–1.0) | – | – | – |
| Laundry Supvr I, CF | – | (–1.0) | (–1.0) | – | – | – |
| Valley State Prison for Women (5291): | | | | | | |
| Teacher, High School Educ, CF | – | (–2.0) | (–2.0) | – | – | – |
| Voc Instructor, Various, CF | – | (–2.0) | (–2.0) | – | – | – |
| Wasco State Prison (5363): | | | | | | |
| Sr Librarian | – | (–1.0) | (–1.0) | – | – | – |
| Carpenter II, CF | – | (–1.0) | (–1.0) | – | – | – |
| Painter II, CF | – | (–1.0) | (–1.0) | – | – | – |
| Ofc Svcs Supvr I-Typing | – | (–1.0) | (–1.0) | – | – | – |
| Totals | – | (–300.0) | (–300.0) | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Various Positions | – | – | –826.0 | – | – | – |
| Totals | – | – | –826.0 | – | – | – |
| Proposed New Positions: | | | | | | |
| Headquarters, Institutions Division (4420): | | | | | | |
| Supvr of Acad Inst | – | – | 1.0 | $4,444–5,403 | – | $61 |
| Teacher, High School Educ, CF | – | 1.0 | 8.0 | 3,209–4,912 | $51 | 405 |
| Voc Instructor, Various, CF | – | – | 6.0 | 3,209–4,912 | – | 304 |
| Corr Sgt | – | 0.5 | 2.0 | 3,967–4,819 | – | 133 |
| Assoc Govtl Prog Analyst | – | 0.5 | 1.0 | 3,764–4,576 | 26 | 52 |
| Staff Svcs Analyst-Gen | – | – | 1.0 | 2,411–3,805 | – | 39 |
| Ofc Techn-Typing | – | – | 1.0 | 2,258–2,745 | – | 31 |
| Totals | – | 2.0 | 20.0 | – | $77 | $1,025 |
| Office of Substance Abuse Prevention (4410): | | | | | | |
| Corr Counselor III | – | – | 2.5 | 5,107–6,209 | – | 177 |
| Parole Agent II-Spec | – | – | 2.5 | 4,787–6,105 | – | 170 |
| Corr Counselor II-Spec | – | – | 0.5 | 4,787–6,105 | – | 34 |
| Staff Svcs Mgr I | – | – | 0.5 | 4,346–5,243 | – | 30 |
| Assoc Govtl Prog Analyst | – | – | 2.5 | 3,764–4,576 | – | 130 |
| Ofc Techn-Typing | – | – | 0.5 | 2,258–2,745 | – | 16 |
| Overtime | – | – | – | – | – | 77 |
| Premium Holiday Pay | – | – | – | – | – | 2 |
| Totals | – | – | 9.0 | – | – | $636 |
| Community Correctional Program (5260): | | | | | | |
| Physician & Surgeon, CF | – | 0.6 | – | 7,810–10,239 | 67 | – |
| Staff Psychiatrist, CF | – | 0.9 | 2.4 | 7,810–10,239 | 101 | 270 |
| Dentist, CF | – | 0.2 | – | 6,001–9,292 | 19 | – |
| Parole Administrator I, Adult | – | 1.7 | 2.0 | 6,418–7,077 | 142 | 167 |
| Parole Agent III, Adult Parole | – | 8.6 | 10.9 | 5,269–6,405 | 626 | 794 |
| Sr Psychologist, CF-Supvr | – | 0.9 | 2.4 | 4,891–6,234 | 62 | 167 |
| Parole Agent II-Spec | – | 13.7 | 14.2 | 4,787–6,105 | 931 | 966 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Psychologist-Clinical, CF | – | 1.0 | 2.5 | $4,119–5,407 | $59 | $149 |
| Parole Agent I | – | 58.8 | 80.4 | 3,603–5,323 | 3,275 | 4,477 |
| Pharmacist I | – | 0.3 | – | 4,633–5,263 | 19 | – |
| Corr Sgt | – | 0.2 | – | 3,967–4,819 | 11 | – |
| Registered Nurse, CF | – | 0.8 | – | 3,462–4,600 | 40 | – |
| Assoc Govtl Prog Analyst | – | 1.0 | – | 3,764–4,576 | 52 | – |
| Corr Ofcr | – | 1.8 | – | 2,701–4,397 | 79 | – |
| Psych Soc Worker, CF | – | 10.8 | 27.5 | 3,041–3,790 | 461 | 1,173 |
| Radiologic Technologist | – | 0.2 | – | 2,631–3,478 | 8 | – |
| Lab Techn Chemical Analysis | – | 0.1 | – | 2,610–3,466 | 4 | – |
| Ofc Techn-Typing | – | 1.9 | 4.9 | 2,258–2,745 | 59 | 153 |
| Hlth Recd Techn I | – | 0.1 | – | 2,258–2,745 | 3 | – |
| Dental Asst, CF | – | 0.2 | – | 2,061–2,706 | 6 | – |
| Med Transcriber | – | 0.3 | – | 2,215–2,693 | 9 | – |
| Ofc Asst-Typing | – | 19.5 | 34.5 | 1,951–2,370 | 524 | 930 |
| Temporary Help | – | – | – | – | – | 1,031 |
| Shift Differential | – | – | – | – | 1 | – |
| Premium Holiday Pay | – | – | – | – | 34 | 42 |
| Totals | – | 123.6 | 181.7 | – | $6,592 | $10,319 |
| Health Care Services Division (4460): | | | | | | |
| Pharmacy Svcs Mgr | – | – | 1.0 | 5,242–6,372 | – | 72 |
| Totals | – | – | 1.0 | – | – | $72 |
| Business and Facilities Management Division (4630): | | | | | | |
| Chief Dep Warden, CI C.E.A. [1] | – | – | 1.0 | 6,654–7,336 | – | 87 |
| Facility Capt, CI [2] | – | – | 1.0 | 5,898–6,503 | – | 77 |
| Sr Structural Engr [3] | – | – | 1.0 | 5,119–6,218 | – | 71 |
| Constrn Supvr III, CF [4] | – | – | 1.0 | 5,030–6,115 | – | 70 |
| Sr Civil Engr [5] | – | – | 1.0 | 4,891–5,943 | – | 68 |
| Sr Elec Engr [7] | – | – | 2.0 | 4,887–5,937 | – | 135 |
| Constrn Supvr II, CF [8, 9] | – | – | 9.8 | 4,564–5,547 | – | 618 |
| Sr Architect [6] | – | – | 2.0 | 4,891–5,493 | – | 135 |
| Assoc Constrn Analyst [10] | – | – | 3.0 | 4,042–5,405 | – | 177 |
| Assoc Mech Engr [11] | – | – | 2.0 | 4,245–5,157 | – | 117 |
| Constrn Supvr I, CF [12, 13] | – | – | 9.8 | 4,139–5,030 | – | 561 |
| Assoc Govtl Prog Analyst [14] | – | – | 5.0 | 3,764–4,576 | – | 260 |
| Energy Resources Spec I | – | – | 1.0 | 3,764–4,542 | – | 52 |
| Procurement & Svcs Ofcr I, CF [15] | – | – | 0.8 | 3,607–4,346 | – | 40 |
| Utility Shops Supvr, CF [16, 17] | – | – | 16.0 | 3,948–4,336 | – | 853 |
| Acctg Ofcr-Spec [18] | – | – | 1.0 | 3,287–3,995 | – | 45 |
| Bus Svcs Ofcr I-Spec [19] | – | – | 2.0 | 3,130–3,805 | – | 87 |
| Staff Svcs Analyst-Gen [20] | – | – | 2.0 | 2,411–3,805 | – | 78 |
| Energy Analyst | – | – | 1.0 | 2,411–3,764 | – | 39 |
| Auto Equipt Opr I, CF [21] | – | – | 3.0 | 2,856–3,132 | – | 112 |
| Mgt Svcs Techn [22, 23] | – | – | 2.0 | 2,135–2,932 | – | 64 |
| Ofc Techn-Typing [23, 24, 25] | – | – | 10.6 | 2,258–2,745 | – | 337 |
| Acctg Techn [26] | – | – | 3.0 | 2,258–2,745 | – | 94 |
| Temporary Help | – | – | – | – | – | 4,200 |
| Overtime | – | – | – | – | – | 76 |
| Totals | – | – | 81.0 | – | – | $8,453 |
| R.A. McGee Correctional Training Center (5388): | | | | | | |
| Corr Lieut [27] | – | 0.4 | 2.0 | 4,467–5,429 | 25 | 124 |
| Parole Agent I [30] | – | – | 2.0 | 3,603–5,323 | – | 111 |
| Corr Food Mgr I, DOC | – | 0.4 | 1.0 | 4,342–5,280 | 24 | 60 |
| Staff Svcs Mgr I | – | – | 1.0 | 4,346–5,243 | – | 60 |
| Instl Designer-Tech | – | – | 10.0 | 4,136–5,027 | – | 572 |
| Director, TV Communications | – | – | 1.0 | 4,136–5,027 | – | 57 |
| Corr Sgt [28] | – | 6.5 | 37.0 | 3,967–4,819 | 356 | 2,029 |
| Stationary Engr, CF | – | – | 0.5 | 4,634 | – | 29 |
| Assoc Govtl Prog Analyst [29] | – | 0.2 | 3.5 | 3,764–4,576 | 10 | 182 |
| Maint Mechanic, CF | – | – | 0.5 | 3,600–3,954 | – | 24 |
| Supvng Cook I | – | – | 1.0 | 2,481–3,331 | – | 36 |
| Cook II, CF | – | 0.4 | 2.0 | 2,276–2,766 | 13 | 62 |
| Ofc Techn-Typing | – | – | 1.0 | 2,258–2,745 | – | 31 |
| Word Proc Techn | – | – | 1.0 | 1,951–2,546 | – | 28 |
| Acct Clk II | – | – | 0.5 | 2,023–2,461 | – | 14 |
| Ofc Asst-Typing | – | 0.4 | 1.0 | 1,951–2,370 | 11 | 27 |
| Janitor, CF | – | – | 1.0 | 1,795–2,182 | – | 25 |
| Food Svc Worker I | – | 0.4 | 2.0 | 1,766–2,145 | 10 | 48 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** Salary Range | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Temporary Help | – | – | – | – | $332 | $1,144 |
| Shift Differential | – | – | – | – | 3 | 17 |
| Premium Holiday Pay | – | – | – | – | 6 | 37 |
| Totals | – | 8.7 | 68.0 | – | $790 | $4,717 |
| Avenal State Prison (5352): | | | | | | |
| Registered Nurse, CF | – | 2.7 | 2.8 | $3,462–4,600 | 117 | 121 |
| Med Tech Asst, CF | – | 4.7 | 4.8 | 3,012–4,397 | 176 | 180 |
| Various Positions | – | 82.4 | 91.9 | 2,701–4,397 | 3,054 | 3,447 |
| Shift Differential | – | – | – | – | 45 | 50 |
| Overtime | – | – | – | – | 31 | 35 |
| Premium Holiday Pay | – | – | – | – | 70 | 78 |
| Totals | – | 89.8 | 99.5 | – | $3,493 | $3,911 |
| California Correctional Institution (5300): | | | | | | |
| Recruitment & Retention for RN | – | 0.3 | 0.3 | 3,462–4,600 | 16 | 16 |
| Med Tech Asst, CF | – | 0.9 | 1.2 | 3,012–4,397 | 42 | 55 |
| Various Positions | – | 16.9 | 21.2 | 2,701–4,397 | 749 | 939 |
| Hlth Recd Techn I | – | 0.8 | 1.0 | 2,258–2,745 | 25 | 31 |
| Ofc Asst-Typing | – | 1.5 | 2.0 | 1,951–2,370 | 40 | 54 |
| Ofc Asst-Gen | – | 1.5 | 2.0 | 1,951–2,370 | 40 | 54 |
| Shift Differential | – | – | – | – | 9 | 11 |
| Overtime | – | – | – | – | 8 | 10 |
| Premium Holiday Pay | – | – | – | – | 14 | 18 |
| Totals | – | 21.9 | 27.7 | – | $943 | $1,188 |
| California Institution for Men (5310): | | | | | | |
| Registered Nurse, CF | – | – | 0.3 | 3,462–4,600 | – | 15 |
| Various Positions | – | – | 4.9 | 2,701–4,397 | – | 217 |
| Temporary Help | – | – | – | – | – | 1 |
| Shift Differential | – | – | – | – | – | 3 |
| Overtime | – | – | – | – | – | 10 |
| Premium Holiday Pay | – | – | – | – | – | 4 |
| Totals | – | – | 5.2 | – | – | $250 |
| California Men's Colony (5340): | | | | | | |
| Corr Sgt | – | 5.9 | 7.1 | 3,967–4,819 | 292 | 352 |
| Recruitment & Retention for RN | – | 2.7 | 3.2 | 3,462–4,600 | 142 | 169 |
| Med Tech Asst, CF | – | 4.0 | 4.8 | 3,012–4,397 | 150 | 180 |
| Corr Ofcr | – | 18.7 | 22.4 | 2,701–4,397 | 630 | 755 |
| Various Positions | – | 29.8 | 35.8 | 2,701–4,397 | 1,320 | 1,586 |
| Electrician I | – | 0.8 | 1.0 | 3,595–3,947 | 36 | 45 |
| Plumber I, CF | – | 0.8 | 1.0 | 3,595–3,947 | 36 | 45 |
| Supvng Cook I | – | 0.8 | 1.0 | 2,481–3,331 | 25 | 31 |
| Materials & Store Supvr I | – | 0.8 | 1.0 | 2,677–3,210 | 27 | 33 |
| Ofc Techn-Typing | – | 0.8 | 1.0 | 2,258–2,745 | 23 | 28 |
| Hlth Recd Techn I | – | 1.7 | 2.0 | 2,258–2,745 | 53 | 62 |
| Ofc Asst-Typing | – | 0.8 | 1.0 | 1,951–2,370 | 19 | 24 |
| Shift Differential | – | – | – | – | 30 | 36 |
| Overtime | – | – | – | – | 24 | 29 |
| Premium Holiday Pay | – | – | – | – | 48 | 58 |
| Totals | – | 67.6 | 81.3 | – | $2,855 | $3,433 |
| CSP Corcoran (5358): | | | | | | |
| Corr Counselor II-Spec | – | – | 0.6 | 4,787–6,105 | – | 41 |
| Recruitment & Retention for Nurse Practitioner | – | 0.8 | 1.0 | 4,166–5,534 | 50 | 63 |
| Corr Lieut | – | – | 0.6 | 4,467–5,429 | – | 37 |
| Corr Counselor I | – | – | 0.4 | 3,603–5,323 | – | 22 |
| Corr Sgt | – | – | 1.9 | 3,967–4,819 | – | 104 |
| Med Tech Asst, CF | – | 1.0 | 2.1 | 3,012–4,397 | 46 | 97 |
| Corr Ofcr | – | – | 14.6 | 2,701–4,397 | – | 647 |
| Various Positions | – | 48.6 | 56.8 | 2,701–4,397 | 2,152 | 2,515 |
| Psych Techn | – | – | 1.2 | 2,516–3,308 | – | 44 |
| Hlth Recd Techn I | – | 1.0 | 1.0 | 2,258–2,745 | 31 | 31 |
| Temporary Help | – | – | 0.1 | – | – | 4 |
| Shift Differential | – | – | – | – | 25 | 38 |
| Overtime | – | – | – | – | 18 | 54 |
| Premium Holiday Pay | – | – | – | – | 40 | 62 |
| Totals | – | 51.4 | 80.3 | – | $2,362 | $3,759 |
| Substance Abuse Treatment Facility (5349): | | | | | | |
| Corr Counselor II-Spec | – | – | 1.0 | 4,787–6,105 | – | 68 |
| Corr Lieut | – | – | 1.6 | 4,467–5,429 | – | 99 |
| Corr Counselor I | – | – | 0.7 | 3,603–5,323 | – | 39 |
| Corr Sgt | – | – | 3.3 | 3,967–4,819 | – | 181 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Stationary Engr, CF | – | – | 0.5 | $4,634 | – | $29 |
| Recruitment & Retention for RN | – | 1.6 | 2.5 | 3,462–4,600 | $83 | 131 |
| Med Tech Asst, CF | – | – | 1.6 | 3,012–4,397 | – | 74 |
| Corr Ofcr | – | 9.2 | 44.2 | 2,701–4,397 | 407 | 1,957 |
| Various Positions | – | 25.1 | 39.2 | 2,701–4,397 | 1,111 | 1,737 |
| Psych Techn | – | – | 2.0 | 2,516–3,308 | – | 73 |
| Temporary Help | – | – | 0.1 | – | – | 7 |
| Shift Differential | – | – | – | – | 18 | 46 |
| Overtime | – | – | – | – | 9 | 73 |
| Premium Holiday Pay | – | – | – | – | 28 | 74 |
| Totals | – | 35.9 | 96.7 | – | $1,656 | $4,588 |
| CSP Los Angeles County (5353): | | | | | | |
| Recruitment & Retention for Sr Psychiatrist | – | 0.6 | 1.0 | 8,031–10,483 | 88 | 51 |
| Recruitment & Retention for Staff Psychiatrist | – | 0.9 | 1.5 | 7,810–10,239 | 124 | 209 |
| Corr Counselor II-Spec | – | 0.4 | 1.4 | 4,787–6,105 | 27 | 95 |
| Corr Lieut | – | – | 0.6 | 4,467–5,429 | – | 37 |
| Corr Counselor I | – | – | 0.4 | 3,603–5,323 | – | 22 |
| Corr Sgt | – | – | 1.9 | 3,967–4,819 | – | 104 |
| Recruitment & Retention for RN | – | 1.2 | 2.1 | 3,462–4,600 | 63 | 111 |
| Med Tech Asst, CF | – | – | 0.9 | 3,012–4,397 | – | 42 |
| Corr Ofcr | – | 2.5 | 19.9 | 2,701–4,397 | 111 | 881 |
| Various Positions | – | 43.5 | 65.5 | 2,701–4,397 | 1,926 | 2,901 |
| Recruitment & Retention for Psych Soc Worker | – | 0.4 | 0.7 | 3,041–3,790 | 19 | 33 |
| Psych Techn | – | 1.9 | 4.5 | 2,516–3,308 | 69 | 164 |
| Recr Therapist | – | 0.6 | 1.0 | 2,647–3,296 | 22 | 37 |
| Ofc Techn-Typing | – | 1.2 | 2.0 | 2,258–2,745 | 37 | 62 |
| Shift Differential | – | – | – | – | 24 | 46 |
| Overtime | – | – | – | – | 15 | 28 |
| Premium Holiday Pay | – | – | – | – | 38 | 73 |
| Totals | – | 53.2 | 103.4 | – | $2,563 | $4,896 |
| CSP Sacramento County (5344): | | | | | | |
| Corr Counselor II-Spec | – | – | 0.3 | 4,787–6,105 | – | 20 |
| Corr Lieut | – | – | 0.3 | 4,467–5,429 | – | 19 |
| Corr Counselor I | – | – | 0.2 | 3,603–5,323 | – | 11 |
| Corr Sgt | – | – | 0.8 | 3,967–4,819 | – | 44 |
| Med Tech Asst, CF | – | 1.2 | 2.8 | 3,012–4,397 | 55 | 128 |
| Corr Ofcr | – | – | 6.3 | 2,701–4,397 | – | 279 |
| Various Positions | – | 20.1 | 44.9 | 2,701–4,397 | 890 | 1,988 |
| Psych Techn | – | – | 0.5 | 2,516–3,308 | – | 18 |
| Pharmacy Asst | – | 2.0 | 4.3 | 2,258–2,745 | 62 | 133 |
| Shift Differential | – | – | – | – | 11 | 28 |
| Overtime | – | – | – | – | 8 | 20 |
| Premium Holiday Pay | – | – | – | – | 17 | 44 |
| Totals | – | 23.3 | 60.4 | – | $1,043 | $2,732 |
| CSP Solano (5335): | | | | | | |
| Teacher, High School Educ, CF | – | 3.3 | 5.0 | 3,209–4,912 | 132 | 200 |
| Registered Nurse, CF | – | 1.1 | 1.7 | 3,462–4,600 | 48 | 74 |
| Corr Ofcr | – | 13.6 | 20.4 | 2,701–4,397 | 458 | 688 |
| Various Positions | – | – | 0.7 | 2,701–4,397 | – | 31 |
| Plumber II, CF | – | 0.7 | 1.0 | 3,766–4,139 | 33 | 47 |
| Maint Mechanic, CF | – | 0.7 | 1.0 | 3,600–3,954 | 31 | 45 |
| Supvng Cook I | – | 1.1 | 1.6 | 2,481–3,331 | 34 | 50 |
| Materials & Store Supvr I | – | 1.4 | 2.0 | 2,677–3,210 | 46 | 66 |
| Warehouse Worker, CF | – | 0.7 | 1.0 | 2,677–2,927 | 23 | 33 |
| Ofc Asst-Typing | – | 1.3 | 2.0 | 1,951–2,370 | 32 | 49 |
| Recruitment & Retention | – | – | – | – | 3 | 4 |
| Shift Differential | – | – | – | – | 7 | 11 |
| Overtime | – | – | – | – | 9 | 13 |
| Premium Holiday Pay | – | – | – | – | 12 | 18 |
| Totals | – | 23.9 | 36.4 | – | $868 | $1,329 |
| Calipatria State Prison (5362): | | | | | | |
| Corr Counselor II-Spec | – | – | 0.3 | 4,787–6,105 | – | 20 |
| Corr Lieut | – | – | 0.4 | 4,467–5,429 | – | 25 |
| Corr Counselor I | – | – | 0.2 | 3,603–5,323 | – | 11 |
| Corr Sgt | – | – | 1.1 | 3,967–4,819 | – | 60 |
| Registered Nurse, CF | – | – | 0.9 | 3,462–4,600 | – | 45 |
| Med Tech Asst, CF | – | – | 0.5 | 3,012–4,397 | – | 23 |
| Corr Ofcr | – | – | 8.4 | 2,701–4,397 | – | 372 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Various Positions | – | – | 14.4 | $2,701–4,397 | – | $638 |
| Psych Techn | – | – | 0.7 | 2,516–3,308 | – | 25 |
| Recruitment & Retention | – | – | – | – | – | 2 |
| Shift Differential | – | – | – | – | – | 13 |
| Overtime | – | – | – | – | – | 8 |
| Premium Holiday Pay | – | – | – | – | – | 21 |
| Totals | – | – | 26.9 | – | – | $1,263 |
| Centinela State Prison (5342): | | | | | | |
| Corr Counselor II-Spec | – | – | 0.6 | 4,787–6,105 | – | 41 |
| Corr Lieut | – | – | 0.9 | 4,467–5,429 | – | 56 |
| Corr Counselor I | – | – | 0.4 | 3,603–5,323 | – | 22 |
| Corr Sgt | – | – | 1.9 | 3,967–4,819 | – | 104 |
| Stationary Engr, CF | – | – | 0.3 | 4,634 | – | 17 |
| Med Tech Asst, CF | – | – | 0.9 | 3,012–4,397 | – | 42 |
| Corr Ofcr | – | – | 16.6 | 2,701–4,397 | – | 736 |
| Various Positions | – | 29.8 | 38.4 | 2,701–4,397 | $1,204 | 1,502 |
| Psych Techn | – | – | 1.2 | 2,516–3,308 | – | 44 |
| Ofc Asst-Typing | – | 1.9 | 2.4 | 1,951–2,370 | 49 | 61 |
| Temporary Help | – | – | 0.1 | – | – | 4 |
| Shift Differential | – | – | – | – | 15 | 30 |
| Overtime | – | – | – | – | 11 | 49 |
| Premium Holiday Pay | – | – | – | – | 24 | 48 |
| Totals | – | 31.7 | 63.7 | – | $1,303 | $2,756 |
| Central California Women's Facility (5361): | | | | | | |
| Recruitment & Retention | – | – | 0.3 | 4,633–5,263 | – | 21 |
| Sr Med Tech Asst, CF | – | – | 0.5 | 3,967–4,819 | – | 27 |
| Various Positions | – | 5.0 | 16.0 | 2,701–4,397 | 221 | 708 |
| Ofc Techn-Typing | – | 0.7 | 1.0 | 2,258–2,745 | 22 | 31 |
| Shift Differential | – | – | – | – | 3 | 8 |
| Overtime | – | – | – | – | 2 | 6 |
| Premium Holiday Pay | – | – | – | – | 4 | 13 |
| Totals | – | 5.7 | 17.8 | – | $252 | $814 |
| Chuckawalla Valley State Prison (5354): | | | | | | |
| Recruitment & Retention for RN | – | 0.1 | 1.6 | 3,462–4,600 | 5 | 84 |
| Various Positions | – | 9.2 | 55.1 | 2,701–4,397 | 408 | 2,440 |
| Hlth Recd Techn I | – | 0.3 | 0.9 | 2,258–2,745 | 9 | 28 |
| Shift Differential | – | – | – | – | 5 | 29 |
| Overtime | – | – | – | – | 3 | 15 |
| Premium Holiday Pay | – | – | – | – | 7 | 45 |
| Totals | – | 9.6 | 57.6 | – | $437 | $2,641 |
| Correctional Training Facility (5360): | | | | | | |
| Corr Administrator, DOC | – | 0.5 | 1.0 | 6,335–6,985 | 42 | 83 |
| Facility Capt, CI | – | 0.5 | 1.0 | 5,898–6,503 | 39 | 77 |
| Corr Lieut | – | 1.7 | 3.3 | 4,467–5,429 | 105 | 204 |
| Corr Sgt | – | 2.5 | 4.9 | 3,967–4,819 | 137 | 269 |
| Recruitment & Retention for RN | – | 1.0 | 1.0 | 3,462–4,600 | 53 | 53 |
| Corr Ofcr | – | 21.7 | 42.0 | 2,701–4,397 | 961 | 1,860 |
| Various Positions | – | 15.7 | 15.7 | 2,701–4,397 | 695 | 695 |
| Maint Mechanic, CF | – | 0.5 | 1.0 | 3,600–3,954 | 24 | 47 |
| Staff Svcs Analyst-Gen | – | 0.5 | 1.0 | 2,411–3,805 | 19 | 39 |
| Shift Differential | – | – | – | – | 21 | 33 |
| Overtime | – | – | – | – | 6 | 6 |
| Premium Holiday Pay | – | – | – | – | 34 | 55 |
| Totals | – | 44.6 | 70.9 | – | $2,136 | $3,421 |
| Deuel Vocational Institution (5370): | | | | | | |
| Recruitment & Retention for Clinical Psych | – | 0.7 | 1.0 | 4,119–5,407 | 38 | 55 |
| Recruitment & Retention for Pharmacist I | – | 0.7 | 0.7 | 4,633–5,263 | 52 | 52 |
| Teacher, High School Educ, CF | – | 2.0 | 3.0 | 3,209–4,912 | 80 | 120 |
| Voc Instructor, Various, CF | – | 0.7 | – | 3,209–4,912 | 28 | – |
| Corr Sgt | – | 0.4 | 0.6 | 3,967–4,819 | 20 | 30 |
| Med Tech Asst, CF | – | 0.7 | 1.1 | 3,012–4,397 | 26 | 41 |
| Corr Ofcr | – | 5.2 | 7.8 | 2,701–4,397 | 175 | 263 |
| Various Positions | – | 10.6 | 10.6 | 2,701–4,397 | 469 | 469 |
| Painter III, CF | – | 0.7 | 2.0 | 3,766–4,139 | 33 | 94 |
| Plumber II, CF | – | 0.7 | 1.0 | 3,766–4,139 | 33 | 47 |
| Maint Mechanic, CF | – | 0.7 | 1.0 | 3,600–3,954 | 31 | 45 |
| Supvng Cook II | – | 0.7 | 1.0 | 2,686–3,266 | 23 | 34 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Materials & Store Supvr I | – | 0.7 | 1.0 | $2,677–3,210 | $23 | $33 |
| Ofc Techn-Typing | – | 0.7 | 1.0 | 2,258–2,745 | 20 | 28 |
| Acctg Techn | – | 0.7 | 1.0 | 2,258–2,745 | 20 | 28 |
| Ofc Asst-Typing | – | 0.7 | 1.0 | 1,951–2,370 | 17 | 24 |
| Shift Differential | – | – | – | – | 8 | 10 |
| Overtime | – | – | – | – | 4 | 12 |
| Premium Holiday Pay | – | – | – | – | 14 | 16 |
| Totals | – | 26.6 | 33.8 | – | $1,114 | $1,401 |
| Folsom State Prison (5380): | | | | | | |
| Recruitment & Retention for Staff Psychiatrist | – | 0.3 | 0.5 | 7,810–10,239 | 42 | 69 |
| Corr Lieut | – | 0.7 | 1.2 | 4,467–5,429 | 43 | 74 |
| Recruitment & Retention for Psychologist | – | 0.6 | 1.0 | 4,119–5,407 | 38 | 63 |
| Corr Sgt | – | 3.4 | 5.9 | 3,967–4,819 | 186 | 323 |
| Stationary Engr, CF | – | 0.6 | 1.0 | 4,634 | 35 | 58 |
| Med Tech Asst, CF | – | 1.9 | 3.3 | 3,012–4,397 | 88 | 153 |
| Corr Ofcr | – | 17.2 | 29.5 | 2,701–4,397 | 761 | 1,307 |
| Various Positions | – | – | 0.4 | 2,701–4,397 | – | 18 |
| Supvng Cook I | – | 1.0 | 1.6 | 2,481–3,331 | 36 | 58 |
| Psych Techn | – | 1.2 | 2.0 | 2,516–3,308 | 44 | 73 |
| Ofc Techn-Typing | – | 1.2 | 2.0 | 2,258–2,745 | 38 | 62 |
| Shift Differential | ‘ | – | – | – | 12 | 20 |
| Premium Holiday Pay | – | – | – | – | 19 | 33 |
| Totals | – | 28.1 | 48.4 | – | $1,342 | $2,311 |
| High Desert State Prison (5295): | | | | | | |
| Corr Counselor II-Spec | – | – | 0.3 | 4,787–6,105 | – | 20 |
| Corr Lieut | – | – | 0.3 | 4,467–5,429 | – | 19 |
| Corr Counselor I | – | – | 0.2 | 3,603–5,323 | – | 11 |
| Corr Sgt | – | – | 0.8 | 3,967–4,819 | – | 44 |
| Recruitment & Retention for RN | – | 2.8 | 4.6 | 3,462–4,600 | 141 | 233 |
| Med Tech Asst, CF | – | – | 0.4 | 3,012–4,397 | – | 18 |
| Corr Ofcr | – | – | 6.3 | 2,701–4,397 | – | 279 |
| Various Positions | – | 43.9 | 72.1 | 2,701–4,397 | 1,811 | 2,995 |
| Psych Techn (Forensic Fac) | – | – | 0.5 | 2,516–3,308 | – | 18 |
| Temporary Help | – | – | – | – | – | 2 |
| Shift Differential | – | – | – | – | 23 | 42 |
| Overtime | – | – | – | – | 16 | 41 |
| Premium Holiday Pay | – | – | – | – | 36 | 66 |
| Totals | – | 46.7 | 85.5 | – | $2,027 | $3,788 |
| Ironwood State Prison (5355): | | | | | | |
| Teacher, Elementary Educ, CF | – | 1.2 | 2.0 | 3,209–4,912 | 48 | 80 |
| Recruitment & Retention for RN | – | 0.5 | 2.1 | 3,462–4,600 | 23 | 105 |
| Corr Ofcr | – | 7.5 | 12.9 | 2,701–4,397 | 253 | 435 |
| Various Positions | – | 1.6 | 21.9 | 2,701–4,397 | 71 | 970 |
| Electronics Techn, CF | – | 0.6 | 1.0 | 3,063–3,685 | 23 | 38 |
| Radiologic Technologist | – | 0.3 | 0.5 | 2,631–3,478 | 10 | 16 |
| Supvng Cook I | – | 0.6 | 1.1 | 2,481–3,331 | 19 | 34 |
| Ofc Asst-Gen | – | 1.1 | 1.8 | 1,951–2,370 | 27 | 44 |
| Shift Differential | – | – | – | – | 5 | 19 |
| Overtime | – | – | – | – | 5 | 15 |
| Premium Holiday Pay | – | – | – | – | 8 | 29 |
| Totals | – | 13.4 | 43.3 | – | $492 | $1,785 |
| Mule Creek State Prison (5351): | | | | | | |
| Registered Nurse, CF | – | 0.3 | 1.0 | 3,462–4,600 | 15 | 50 |
| Various Positions | – | 4.2 | 15.6 | 2,701–4,397 | 186 | 691 |
| Recruitment & Retention | – | – | – | – | 1 | 2 |
| Shift Differential | – | – | – | – | 2 | 8 |
| Overtime | – | – | – | – | 2 | 6 |
| Premium Holiday Pay | – | – | – | – | 3 | 13 |
| Totals | – | 4.5 | 16.6 | – | $209 | $770 |
| North Kern State Prison (5364): | | | | | | |
| Corr Counselor III | – | 0.9 | 1.0 | 5,107–6,209 | 57 | 64 |
| Corr Counselor II-Supvr | – | 0.9 | 1.0 | 5,026–6,105 | 56 | 63 |
| Corr Sgt | – | 2.9 | 3.2 | 3,967–4,819 | 144 | 158 |
| Recruitment & Retention for RN | – | 2.1 | 2.3 | 3,462–4,600 | 96 | 99 |
| Med Tech Asst, CF | – | – | 0.8 | 3,012–4,397 | – | 37 |
| Corr Ofcr | – | 19.3 | 21.0 | 2,701–4,397 | 651 | 708 |
| Various Positions | – | – | 13.0 | 2,701–4,397 | – | 576 |
| Telecomm Techn | – | 0.9 | 1.0 | 3,857–4,236 | 43 | 48 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Carpenter III, CF | – | 0.9 | 1.0 | $3,766–4,139 | $42 | $47 |
| Supvng Cook I | – | 1.5 | 1.6 | 2,481–3,331 | 46 | 50 |
| Supvng Cook II | – | 1.0 | 1.1 | 2,686–3,266 | 34 | 37 |
| Ofc Svcs Supvr II-Gen | – | 0.9 | 1.0 | 2,527–3,072 | 28 | 32 |
| Sr Word Proc Techn | – | 0.9 | 1.0 | 2,346–2,852 | 26 | 29 |
| Prog Techn I | – | 0.9 | 1.0 | 1,951–2,546 | 22 | 24 |
| Ofc Asst-Gen | – | 0.9 | 1.0 | 1,951–2,370 | 22 | 24 |
| Recruitment & Retention | – | – | – | – | – | 6 |
| Shift Differential | – | – | – | – | 12 | 20 |
| Overtime | – | – | – | – | 12 | 18 |
| Premium Holiday Pay | – | – | – | – | 20 | 33 |
| Totals | – | 34.0 | 51.0 | – | $1,311 | $2,073 |
| CSP North Kern County (5365): | | | | | | |
| Recruitment & Retention for Chief Psychiatrist | – | – | 0.1 | 9,341–10,707 | – | 13 |
| Warden | – | – | 0.8 | 7,663–8,287 | – | 80 |
| Chief Dep Warden, CI C.E.A. | – | – | 0.2 | 6,654–7,336 | – | 17 |
| Corr Administrator, DOC | – | – | 0.7 | 6,335–6,985 | – | 59 |
| Corr Capt | – | – | 0.2 | 5,898–6,503 | – | 15 |
| Bus Mgr II | – | – | 0.2 | 4,864–5,869 | – | 13 |
| Corr Plant Mgr II | – | – | 0.7 | 4,859–5,864 | – | 47 |
| Corr Lieut | – | – | 0.3 | 4,467–5,429 | – | 19 |
| Corr Food Mgr I, DOC | – | – | 0.2 | 4,342–5,280 | – | 12 |
| Sr Acctg Ofcr-Supvr | – | – | 0.3 | 3,955–4,772 | – | 16 |
| Warehouse Mgr II, CF | – | – | 0.3 | 3,595–4,336 | – | 15 |
| Bus Svc Ofcr I-Supvr | – | – | 0.1 | 3,290–3,955 | – | 5 |
| Locksmith I, CF | – | – | 0.2 | 3,433–3,766 | – | 9 |
| Materials & Stores Supvr II | – | – | 0.1 | 2,992–3,595 | – | 4 |
| Prop Controller II | – | – | 0.3 | 2,821–3,427 | – | 12 |
| Exec Secty I | – | – | 0.7 | 2,585–3,142 | – | 25 |
| Ofc Techn-Typing | – | – | 0.5 | 2,258–2,745 | – | 15 |
| Acctg Techn | – | – | 0.2 | 2,258–2,745 | – | 6 |
| Ofc Asst-Typing | – | – | 0.8 | 1,951–2,370 | – | 21 |
| Totals | – | – | 6.9 | – | – | $403 |
| Pelican Bay State Prison (5359): | | | | | | |
| Corr Counselor II-Spec | – | – | 0.5 | 4,787–6,105 | – | 34 |
| Corr Lieut | – | – | 0.6 | 4,467–5,429 | – | 37 |
| Corr Counselor I | – | – | 0.4 | 3,603–5,323 | – | 22 |
| Corr Sgt | – | – | 1.7 | 3,967–4,819 | – | 93 |
| Recruitment & Retention for RN | – | – | 0.5 | 3,462–4,600 | – | 26 |
| Med Tech Asst, CF | – | 0.5 | 1.8 | 3,012–4,397 | 23 | 83 |
| Corr Ofcr | – | 0.8 | 13.9 | 2,701–4,397 | 35 | 616 |
| Various Positions | – | 12.4 | 35.4 | 2,701–4,397 | 549 | 1,567 |
| Psych Techn | – | – | 1.0 | 2,516–3,308 | – | 36 |
| Med Transcriber | – | 0.5 | 0.9 | 2,215–2,693 | 15 | 28 |
| Temporary Help | – | – | 0.1 | – | – | 3 |
| Shift Differential | – | – | – | – | 7 | 27 |
| Overtime | – | – | – | – | 5 | 42 |
| Premium Holiday Pay | – | – | – | – | 11 | 43 |
| Totals | – | 14.2 | 56.8 | – | $645 | $2,657 |
| Pleasant Valley State Prison (5341): | | | | | | |
| Corr Lieut | – | – | 0.7 | 4,467–5,429 | – | 43 |
| Corr Counselor I | – | – | 0.7 | 3,603–5,323 | – | 39 |
| Teacher, High School Educ, CF | – | 0.6 | 2.0 | 3,209–4,912 | 24 | 80 |
| Corr Sgt | – | – | 1.4 | 3,967–4,819 | – | 77 |
| Stationary Engr, CF | – | 0.1 | 1.2 | 4,634 | 6 | 70 |
| Recruitment & Retention for RN | – | – | 0.1 | 3,462–4,600 | – | 5 |
| Med Tech Asst, CF | – | – | 0.7 | 3,012–4,397 | – | 32 |
| Corr Ofcr | – | 9.3 | 43.9 | 2,701–4,397 | 314 | 1,605 |
| Various Positions | – | – | 0.8 | 2,701–4,397 | – | 35 |
| Maint Mechanic, CF | – | 0.5 | 1.0 | 3,600–3,954 | 22 | 45 |
| Psych Techn | – | – | 0.8 | 2,516–3,308 | – | 29 |
| Ofc Asst-Typing | – | 1.2 | 4.0 | 1,951–2,370 | 29 | 97 |
| Shift Differential | – | – | – | – | 5 | 24 |
| Overtime | – | – | – | – | 4 | 18 |
| Premium Holiday Pay | – | – | – | – | 8 | 39 |
| Totals | – | 11.7 | 57.3 | – | $412 | $2,238 |
| Salinas Valley State Prison (5292): | | | | | | |
| Corr Counselor II-Spec | – | – | 0.7 | 4,787–6,105 | – | 48 |
| Corr Lieut | – | – | 0.7 | 4,467–5,429 | – | 43 |
| Corr Counselor I | – | – | 0.4 | 3,603–5,323 | – | 22 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Corr Sgt | – | – | 2.2 | $3,967–4,819 | – | $121 |
| Recruitment & Retention for RN | – | 0.7 | 2.4 | 3,462–4,600 | $36 | 126 |
| Med Tech Asst, CF | – | – | 1.1 | 3,012–4,397 | – | 51 |
| Corr Ofcr | – | – | 16.8 | 2,701–4,397 | – | 744 |
| Various Positions | – | 11.0 | 37.7 | 2,701–4,397 | 486 | 1,670 |
| Psych Techn | – | – | 1.3 | 2,516–3,308 | – | 47 |
| Temporary Help | – | – | 0.1 | – | – | 4 |
| Shift Differential | – | – | – | – | 6 | 30 |
| Overtime | – | – | – | – | 4 | 52 |
| Premium Holiday Pay | – | – | – | – | 9 | 49 |
| Totals | – | 11.7 | 63.4 | – | $541 | $3,007 |
| San Quentin State Prison (5390): | | | | | | |
| Corr Lieut | – | 1.4 | 1.7 | 4,467–5,429 | 86 | 105 |
| Corr Sgt | – | 2.2 | 2.6 | 3,967–4,819 | 121 | 143 |
| Corr Ofcr | – | 27.6 | 31.0 | 2,701–4,397 | 1,222 | 1,372 |
| Shift Differential | – | – | – | – | 16 | 18 |
| Premium Holiday Pay | – | – | – | – | 25 | 29 |
| Totals | – | 31.2 | 35.3 | – | $1,470 | $1,667 |
| Valley State Prison for Women (5291): | | | | | | |
| Recruitment & Retention for RN | – | – | 1.3 | 3,462–4,600 | – | 68 |
| Various Positions | – | – | 15.2 | 2,701–4,397 | – | 673 |
| Shift Differential | – | – | – | – | – | 8 |
| Overtime | – | – | – | – | – | 6 |
| Premium Holiday Pay | – | – | – | – | – | 13 |
| Totals | – | – | 16.5 | – | – | $768 |
| Wasco State Prison (5363): | | | | | | |
| Corr Counselor II-Spec | – | 0.5 | 1.0 | 4,787–6,105 | 30 | 60 |
| Registered Nurse, CF | – | 0.6 | 1.2 | 3,462–4,600 | 26 | 52 |
| Med Tech Asst, CF | – | 0.3 | 0.4 | 3,012–4,397 | 14 | 19 |
| Corr Ofcr | – | 7.3 | 14.5 | 2,701–4,397 | 246 | 489 |
| Various Positions | – | 36.4 | 48.5 | 2,701–4,397 | 1,612 | 2,148 |
| Jewish Chaplin-Intermittent | – | 0.2 | 0.4 | 3,333–4,164 | 8 | 17 |
| Maint Mechanic, CF | – | 0.5 | 1.0 | 3,600–3,954 | 22 | 45 |
| Auto Pool Mgr I, CF | – | 0.5 | 1.0 | 3,132–3,766 | 20 | 39 |
| Supvng Cook I | – | 0.3 | 0.5 | 2,481–3,331 | 9 | 15 |
| Materials & Store Supvr I | – | 1.0 | 1.3 | 2,677–3,210 | 37 | 48 |
| Ofc Asst-Typing | – | 1.2 | 1.7 | 1,951–2,370 | 32 | 45 |
| Recruitment & Retention | – | – | – | – | 1 | 3 |
| Shift Differential | – | – | – | – | 22 | 33 |
| Overtime | – | – | – | – | 17 | 25 |
| Premium Holiday Pay | – | – | – | – | 36 | 52 |
| Totals | – | 48.8 | 71.5 | – | $2,132 | $3,090 |
| Institutions Unallocated/Ratios (5997): | | | | | | |
| Physician & Surgeon, CF | – | 6.1 | 10.4 | 7,810–10,239 | 677 | 1,162 |
| Dentist, CF | – | 3.3 | 5.6 | 6,001–9,292 | 308 | 528 |
| Corr Counselor I | – | 21.0 | 35.5 | 3,603–5,323 | 1,148 | 1,956 |
| Pers Svcs Spec I | – | 2.9 | 5.0 | 2,226–3,222 | 96 | 168 |
| Dental Asst, CF | – | 3.3 | 5.6 | 2,061–2,706 | 97 | 166 |
| Acct Clk II | – | 5.0 | 8.3 | 2,023–2,461 | 139 | 231 |
| Ofc Asst-Typing | – | 29.2 | 49.3 | 1,951–2,370 | 780 | 1,322 |
| Overtime | – | – | – | – | 17 | 17 |
| Premium Holiday Pay | – | – | – | – | 9 | 16 |
| Totals | – | 70.8 | 119.7 | – | $3,271 | $5,566 |
| Institutions-Special Unallocated (5998): | | | | | | |
| Staff Psychiatrist, CF | – | 0.9 | 2.1 | 7,810–10,239 | 101 | 244 |
| Sr Psychologist, CF-Supvr | – | 0.4 | 1.0 | 4,891–6,234 | 28 | 72 |
| Recruitment & Retention for Psychologist-Clinical | – | 4.0 | 8.5 | 4,119–5,407 | 265 | 594 |
| Registered Nurse, CF | – | – | 0.1 | 3,462–4,600 | – | 5 |
| Corr Ofcr | – | – | 0.3 | 2,701–4,397 | – | 13 |
| Psych Techn | – | – | 0.1 | 2,516–3,308 | – | 4 |
| Recr Therapist | – | – | 0.1 | 2,647–3,296 | – | 4 |
| Ofc Techn-Typing | – | 1.0 | 2.2 | 2,258–2,745 | 31 | 68 |
| Totals | – | 6.3 | 14.4 | – | $425 | $1,004 |
| Institutions-CCF (5299): | | | | | | |
| C.E.A. III | – | – | 1.0 | 7,648–8,432 | – | 100 |
| Corr Administrator | – | – | 2.0 | 6,588–7,264 | – | 166 |
| Facility Capt | – | – | 6.0 | 6,134–6,763 | – | 464 |
| Corr Counselor III | – | – | 1.0 | 5,311–6,457 | – | 71 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Corr Counselor II-Supvr | – | – | 13.0 | $5,227–6,349 | – | $903 |
| Corr Counselor II-Spec | – | – | 2.0 | 4,978–6,349 | – | 136 |
| Parole Agent II | – | – | 9.0 | 4,978–6,349 | – | 611 |
| Corr Lieut | – | – | 12.0 | 4,646–5,646 | – | 741 |
| Corr Counselor I | – | – | 24.0 | 3,747–5,512 | – | 1,337 |
| Staff Svcs Mgr I | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Corr Case Recds Mgr | – | – | 2.0 | 4,330–5,220 | – | 115 |
| Corr Sgt | – | – | 25.0 | 4,126–5,012 | – | 1,371 |
| Assoc Govtl Prog Analyst | – | – | 4.0 | 3,915–4,759 | – | 208 |
| Corr Case Recds Supvr | – | – | 3.0 | 3,770–4,534 | – | 149 |
| Corr Case Recds Analyst | – | – | 17.0 | 2,507–3,957 | – | 659 |
| Staff Svcs Analyst | – | – | 2.0 | 2,057–3,957 | – | 78 |
| Ofc Svcs Supvr II | – | – | 1.0 | 2,628–3,195 | – | 35 |
| Ofc Svcs Supvr I-Typing | – | – | 4.0 | 2,348–2,858 | – | 125 |
| Ofc Techn-Typing | – | – | 3.0 | 2,348–2,855 | – | 94 |
| Prog Techn II | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Prog Techn | – | – | 2.0 | 2,029–2,648 | – | 56 |
| Ofc Asst-Typing | – | – | 26.0 | 1,846–2,465 | – | 701 |
| Totals | – | – | 161.0 | – | – | $8,211 |
| Institutions Unallocated Other (5999): | | | | | | |
| Chief Med Ofcr | – | – | – | 9,341–10,707 | – | 105 |
| Chief Psychiatrist, CF | – | – | – | 9,341–10,707 | – | 847 |
| Chief Physician & Surgeon, CF | – | – | – | 8,904–10,559 | – | 10 |
| Sr Psychiatrist, CF-Spec | – | – | – | 8,424–10,483 | – | 2 |
| Sr Psychiatrist, CF-Supvr | – | – | – | 8,031–10,483 | – | 169 |
| Physician & Surgeon, CF | – | – | 1.0 | 7,810–10,239 | – | 699 |
| Staff Psychiatrist, CF | – | – | 5.0 | 7,810–10,239 | – | 3,709 |
| Chief Psychologist | – | – | – | 5,388–6,876 | – | 125 |
| Pharmacy Svcs Mgr | – | – | – | 5,242–6,372 | – | 38 |
| Sr Psychologist, CF-Supvr | – | – | 4.0 | 4,891–6,234 | – | 579 |
| Corr Counselor II-Supvr [31] | – | – | 1.0 | 5,026–6,105 | – | 69 |
| Corr Counselor II-Spec | – | – | 3.2 | 4,787–6,105 | – | 217 |
| Sr Psychologist, CF-Spec | – | – | – | 4,522–5,937 | – | 36 |
| Sr Programmer Analyst-Supvr | – | 1.2 | 2.0 | 4,767–5,794 | $71 | 119 |
| Pharmacist II | – | – | – | 5,242–5,780 | – | 278 |
| Supvng Registered Nurse III, CF | – | – | – | 4,610–5,565 | – | 10 |
| Nurse-Anesthetist | – | – | – | 4,184–5,555 | – | 2 |
| Nurse Practitioner | – | – | – | 4,166–5,534 | – | 19 |
| Corr Lieut [32] | – | – | 28.7 | 4,467–5,429 | – | 1,784 |
| Psychologist-Clinical, CF [35] | – | – | 9.0 | 4,119–5,407 | – | 1,282 |
| Staff Psychologist, CF-Clinical | – | – | 17.7 | 4,119–5,407 | – | 1,063 |
| Corr Counselor I | – | – | 0.6 | 3,603–5,323 | – | 34 |
| Staff Info Sys Analyst-Spec | – | 15.5 | 43.1 | 4,334–5,269 | 838 | 2,331 |
| Staff Programmer Analyst-Spec | – | 1.0 | 4.1 | 4,334–5,269 | 54 | 227 |
| Sys Software Spec I-Tech | – | 1.0 | 2.0 | 4,333–5,268 | 54 | 108 |
| Pharmacist I | – | – | – | 4,633–5,263 | – | 727 |
| Supvng Registered Nurse II, CF | – | – | – | 4,223–5,087 | – | 58 |
| Surgical Nurse II, CF | – | – | – | 3,816–5,072 | – | 10 |
| Nurse Instructor | – | – | – | 3,792–5,040 | – | 10 |
| Corr Sgt [33] | – | – | 69.9 | 3,967–4,819 | – | 4,189 |
| Pub Hlth Nurse II | – | – | – | 3,988–4,811 | – | 14 |
| Assoc Programmer Analyst-Spec | – | – | 1.0 | 3,952–4,805 | – | 55 |
| Stationary Engr, CF | – | – | 0.3 | 4,634 | – | 17 |
| Surgical Nurse I, CF | – | – | – | 3,484–4,617 | – | 26 |
| Supvng Registered Nurse I, CF | – | – | 6.0 | 3,831–4,613 | – | 478 |
| Registered Nurse, CF | – | 6.1 | 70.0 | 3,462–4,600 | 286 | 6,672 |
| Supvng Psych Soc Worker II, CF | – | – | – | 3,766–4,578 | – | 5 |
| Assoc Govtl Prog Analyst | – | – | 2.0 | 3,764–4,576 | – | 104 |
| Med Tech Asst, CF | – | – | 29.5 | 3,012–4,397 | – | 3,198 |
| Corr Ofcr [34] | – | – | 518.7 | 2,701–4,397 | – | 24,529 |
| Various Positions | – | 96.7 | 50.5 | 2,701–4,397 | 3,719 | 1,650 |
| Pub Hlth Nurse I | – | – | – | 3,634–4,380 | – | 38 |
| Supvng Psych Soc Worker I, CF | – | – | – | 3,434–4,174 | – | 19 |
| Maint Mechanic, CF | – | – | (77.0) | 3,600–3,954 | – | – |
| Psych Soc Worker, CF | – | – | 1.0 | 3,041–3,790 | – | 585 |
| Supvng Cook I | – | – | 30.8 | 2,481–3,331 | – | 2,463 |
| Psych Techn | – | – | 3.2 | 2,516–3,308 | – | 116 |
| Psych Techn (Forensic Fac) [36] | – | – | 1.0 | 2,516–3,308 | – | 36 |
| Recr Therapist | – | – | 2.0 | 2,647–3,296 | – | 74 |
| Bldg Maint Worker, CF | – | – | 0.3 | 2,992–3,276 | – | 12 |
| Materials & Store Supvr I | – | – | 0.3 | 2,677–3,210 | – | 11 |
| Ofc Techn-Gen | – | – | 3.0 | 2,258–2,745 | – | 93 |
| Ofc Techn-Typing | – | – | 5.7 | 2,258–2,745 | – | 178 |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Hlth Recd Techn I | – | 2.0 | 12.6 | $2,258–2,745 | $62 | $393 |
| Pharmacy Asst | – | – | 9.6 | 2,258–2,745 | – | 300 |
| Med Transcriber | – | – | 6.2 | 2,215–2,693 | – | 190 |
| Word Proc Techn | – | – | 1.0 | 1,951–2,546 | – | 28 |
| Lab Asst | – | – | 4.0 | 1,842–2,402 | – | 106 |
| Ofc Asst-Typing | – | – | 2.0 | 1,951–2,370 | – | 54 |
| Ofc Asst-Gen | – | – | 19.9 | 1,951–2,370 | – | 537 |
| Shift Differential | – | – | – | – | 51 | 438 |
| Overtime | – | – | – | – | 19,397 | 17 |
| Premium Holiday Pay | – | – | – | – | 79 | 682 |
| Totals | – | 123.5 | 971.9 | – | $24,611 | $61,974 |
| Institutions-Violence Control Program (5987): | | | | | | |
| Supvr of Acad Inst | – | – | (1.0) | 4,444–5,403 | – | 61 |
| Teacher, High School Educ, CF | – | – | (4.0) | 3,209–4,912 | – | 33 |
| Library Techn Asst I | – | – | (1.0) | 2,371–2,883 | – | 31 |
| Ofc Techn-Typing | – | – | (1.0) | 2,258–2,745 | – | 203 |
| Totals | – | – | (7.0) | – | – | $328 |
| IWF-CSP Solano: | | | | | | |
| Prison Canteen Mgr I | – | 0.7 | 1.0 | 2,992–3,595 | 26 | 37 |
| Materials & Store Supvr I | – | 0.7 | 1.0 | 2,677–3,210 | 23 | 33 |
| Totals | – | 1.4 | 2.0 | – | $49 | $70 |
| IWF-North Kern State Prison: | | | | | | |
| Materials & Store Supvr I | – | 1.0 | 1.1 | 2,677–3,210 | 33 | 37 |
| Totals | – | 1.0 | 1.1 | – | $33 | $37 |
| IWF-Pelican Bay State Prison: | | | | | | |
| Materials & Store Supvr I | – | 0.5 | 1.0 | 2,677–3,210 | 18 | 37 |
| Totals | – | 0.5 | 1.0 | – | $18 | $37 |
| Totals, Proposed New Positions | – | 1,067.3 | 3,045.9 | – | $67,472 | $165,388 |
| Total Adjustments | – | 568.4 | 1,257.8 | – | $46,844 | $124,732 |
| TOTALS, SALARIES AND WAGES | 42,128.2 | 48,540.6 | 49,000.7 | $2,394,959 | $2,658,631 | $2,791,501 |

[1] 1.0 position limited-term to 6/30/02.
[2] 1.0 position limited-term to 6/30/02.
[3] 1.0 position limited-term to 6/30/02.
[4] 1.0 position limited-term to 6/30/02.
[5] 1.0 position limited-term to 6/30/02.
[6] 2.0 positions limited-term to 6/30/02.
[7] 2.0 positions limited-term to 6/30/02.
[8] 0.8 position limited-term to 3/30/02.
[9] 9.0 positions limited-term to 6/30/02.
[10] 1.0 position limited-term to 6/30/02.
[11] 2.0 positions limited-term to 6/30/02.
[12] 9.0 positions limited-term to 6/30/02.
[13] 0.8 position limited-term to 3/30/02.
[14] 5.0 positions limited-term to 6/30/02.
[15] 0.8 position limited-term to 3/30/02.
[16] 1.5 positions limited-term to 3/30/02.
[17] 15.0 positions limited-term to 6/30/02.
[18] 1.0 position limited-term to 6/30/02.
[19] 2.0 positions limited-term to 6/30/02.
[20] 2.0 positions limited-term to 6/30/02.
[21] 3.0 positions limited-term to 6/30/02.
[22] 2.0 positions limited-term to 6/30/02.
[23] 9.0 positions limited-term to 6/30/02.
[24] 0.8 position limited-term to 3/30/02.
[25] 1.0 position limited-term to 6/30/02.
[26] 3.0 positions limited-term to 6/30/02.
[27] 1.0 position limited-term to 6/30/02.
[28] 14.0 positions limited-term to 6/30/02.
[29] 1.0 position limited-term to 6/30/02.
[30] 2.0 positions limited-term to 6/30/02.
[31] 1.0 position limited-term to 6/30/03.
[32] 1.7 positions limited-term to 6/30/03.
[33] 3.3 positions limited-term to 6/30/03.
[34] 35.5 positions limited-term to 6/30/03.
[35] 1.0 position limited-term to 6/30/03.
[36] 1.0 position limited-term to 6/30/03.

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

### Major Budget Adjustments Proposed for 2001–02

- $84,467,000 for 31 major capital outlay projects at various institutions.
- $8,225,000 for 30 minor capital outlay projects and budget packages.

**NEW PRISON CONSTRUCTION PROGRAM [1]**
(Dollars in Thousands)

| Projects | General/ Special | 1981 Bond | 1984 Bond | 1986 Bond | 1988 Bond | 1990 Bond | Lease Revenue Bonds | Total |
|---|---|---|---|---|---|---|---|---|
| CSP-Kern County at Delano II | $24,000 | – | – | – | – | – | $311,500 | $335,500 |
| Administrative Segregation Housing Units | 9,120 | – | – | – | – | – | 79,821 | 88,941 |
| Emergency Bed Program | 9,706 | – | – | – | $7,200 | – | 93,748 | 110,654 |
| State Prison at Corcoran II | – | – | – | – | – | $3,793 | 262,290 | 266,083 |
| Substance Abuse Treatment Facility | – | – | – | – | – | 3,381 | 89,897 | 93,278 |
| Salinas Valley State Prison | – | – | – | – | – | 1,481 | 232,152 | 233,633 |
| Mule Creek State Prison | – | $21,441 | – | – | – | – | 137,000 | 158,441 |
| Pleasant Valley State Prison | – | – | – | $46 | 2,342 | – | 203,800 | 206,188 |
| Pelican Bay State Prison | – | 107 | – | – | – | – | 256,618 | 256,725 |
| North Kern State Prison | – | – | – | 2,946 | 167,314 | – | – | 170,260 |
| Calipatria State Prison | – | – | – | 2,170 | 9,020 | – | 203,396 | 214,586 |
| Centinela State Prison | – | – | – | – | 9,710 | – | 193,977 | 203,687 |
| Avenal State Prison | – | 51,454 | $107,445 | 2,411 | – | – | – | 161,310 |
| CSP-Kings County at Corcoran | 5,000 | – | 2,928 | – | – | – | 268,137 | 276,065 |
| CSP-Los Angeles County | – | – | – | 7,014 | 200,211 | – | – | 207,225 |
| High Desert State Prison | – | – | – | – | – | 7,100 | 265,550 | 272,650 |
| Central California Women's Facility | – | – | 493 | – | – | – | 142,594 | 143,087 |
| Valley State Prison for Women | – | – | – | – | – | 7,487 | 155,883 | 163,370 |
| Chuckawalla Valley State Prison | – | 4,470 | – | 122,372 | 640 | – | – | 127,482 |
| Ironwood State Prison | – | – | – | – | – | 214,200 | – | 214,200 |
| CSP-Sacramento | 1,792 | 124,944 | 30,145 | – | – | – | – | 156,881 |
| Richard J. Donovan Correctional Facility at Rock Mountain | 2,859 | 29,069 | 117,665 | 6,393 | – | – | – | 155,986 |
| CSP-Solano County | – | 102,714 | 50,714 | 3,600 | – | – | – | 157,028 |
| Wasco State Prison-Reception Center | – | – | – | 5,616 | 166,444 | – | – | 172,060 |
| Southern Maximum Security Complex | 6,700 | 83,852 | 1,630 | – | 3,825 | – | – | 96,007 |
| Northern California Women's Facility | – | 6,124 | 28,516 | 753 | – | – | – | 35,393 |
| New Camps | 439 | 25,419 | 6,354 | 7,175 | 3,173 | – | – | 42,560 |
| Calif. Men's Colony-West, Renovation | – | 5,548 | – | – | – | – | – | 5,548 |
| California Institution for Women, Special Housing Unit | – | 4,441 | 110 | – | – | – | – | 4,551 |
| Modular Housing Units | – | 2,738 | – | – | – | – | – | 2,738 |
| Three 500 Bed Additions | 70,835 | – | 6,385 | 40,718 | – | – | – | 117,938 |
| Lease-Purchase Buy-Out | – | – | –90,000 | – | – | – | 90,000 | – |
| Totals | $130,451 | $462,321 | $262,385 | $201,214 | $569,879 | $237,442 | $2,986,363 | $4,850,055 |

[1] This display indicates the direct design and construction costs, by fund source, for new prison bed projects as appropriated by the Legislature and net of Public Works Board actions. Indirect staff expenses, bond overhead, and interest costs are not reflected in this display.

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

### CALIFORNIA DEPARTMENT OF CORRECTIONS CAPACITY*

| | *Maximum Capacity* |
|---|---|
| MALE INSTITUTIONS | |
| Avenal State Prison | 7,738 |
| California Correctional Center | 5,642 |
| California Correctional Institution | 5,600 |
| California Institution for Men | 7,392 |
| California Medical Facility | 3,401 |
| California Men's Colony | 7,182 |
| California Rehabilitation Center | 3,952 |
| California State Prison–Corcoran | 5,549 |
| California State Prison–Kern County at Delano II | 4,994 |
| California State Prison–Los Angeles County | 4,760 |
| California State Prison–Sacramento | 3,763 |
| California State Prison–Solano | 6,210 |
| Calipatria State Prison | 4,318 |
| Centinela State Prison | 4,968 |
| Chuckawalla Valley State Prison | 4,292 |
| Correctional Training Facility | 7,038 |
| Deuel Vocational Institution | 4,163 |
| Folsom State Prison | 3,669 |
| High Desert State Prison | 4,826 |
| Ironwood State Prison | 4,785 |
| Mule Creek State Prison | 3,817 |
| North Kern State Prison | 5,189 |
| Pelican Bay State Prison | 3,980 |
| Pleasant Valley State Prison | 4,983 |
| Richard J. Donovan Correctional Facility | 4,673 |
| Salinas Valley State Prison | 4,886 |
| San Quentin State Prison | 5,533 |
| Sierra Conservation Center | 5,869 |
| Substance Abuse Treatment Facility and Corcoran II | 6,865 |
| Wasco State Prison-Reception Center | 5,923 |
| TOTAL MALE INSTITUTIONS | 155,960 |
| FEMALE INSTITUTIONS | |
| Central California Women's Facility | 4,034 |
| California Institution for Women | 2,730 |
| California Rehabilitation Center | 842 |
| Northern California Women's Facility | 755 |
| Sierra Conservation Center | 320 |
| Valley State Prison for Women | 4,033 |
| TOTAL FEMALE INSTITUTIONS | 12,714 |
| OTHER BEDS | |
| Family Foundation (Women) | 75 |
| Community Correctional Re-entry Center/Community Correctional Facility | 8,430 |
| Department of Mental Health | 255 |
| Pitchess/Santa Rita | 2,150 |
| TOTAL OTHER BEDS | 10,910 |
| GRAND TOTAL | 179,584 |

* Based on existing and authorized projects.

## 61 CAPITAL OUTLAY PROGRAM ELEMENTS

### 61.01 Statewide

| | | | | |
|---|---|---|---|---|
| 61.01.001 | Budget Packages and Advance Planning for Existing Facilities | $364 Sg | $400 Sg | $400 Sg |
| 61.01.200 | Small Management Exercise Yards | – | 1,380 PWCg | 750 PWCg |
| 61.01.350 | Emergency Bed | 749 SPWCLEg | 1,789 SPWCLEg | – |
| 61.01.475 | Pregnant & Parenting Women's Alternative Sentencing Program Act | 3,254 ASPWCLEr | 586 ASPWCLEr | – |
| 61.01.711 | Electrified Fence—Statewide | 139 WCn | 177 WCn | – |
| 61.01.714 | Electrified Fencing | – | – | 715 PWg |
| 61.01.760 | Humboldt Bay National Wildlife Refuge | 13 ACg | 537 ACg | – |
| 61.01.762 | Allensworth Ecological Reserve | 6 ACg | 547 ACg | – |
| 61.01.763 | Mayacama Mountains Sanctuary | – | 313 Cg | – |
| 61.01.764 | Kern River Preserve | – | 274 ACg | – |
| 61.01.766 | California City Desert Tortoise Natural Area | – | 52 Ag | – |
| 61.01.767 | Cowbird Trapping Program | 222 g | – | – |
| 61.01.770 | Program Management | 12 g | 98 g | – |
| 61.01.771 | Starr Ranch Sanctuary | 19 ACg | 500 ACg | – |
| 61.01.772 | Paul Wattis Sanctuary | 19 ACg | 136 ACg | – |
| 61.01.773 | Burrowing Owl Habitat Enhancement | – | 50 g | – |
| 61.01.774 | Stanislaus River Parks | 24 g | 281 g | – |
| 61.01.776 | Cell Door Retrofit, Level III Cuff Ports | – | 2,200 PWCg | – |
| 61.01.950 | Administrative Segregation Housing Units (10) | 2,904 PWg | – | – |
| | | 14,011 Cb | 50,810 Cb | 15,000 Cb |

### 61.03 California Correctional Center, Susanville Existing Facility

| | | | | |
|---|---|---|---|---|
| 61.03.021 | Replace Antelope Camp Dorms, Phase I | – | – | $267 PWg |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|---|
| **61.04 California Correctional Institution/ Southern Maximum Security Complex, Tehachapi Existing Facility** | | | | |
| 61.04.020 | Replacement of Unit 1 Security Fence | – | – | $164 PWg |
| 61.04.040 | Wastewater Treatment Plant Renovation | $10,261 Cg | – | – |
| 61.04.045 | New Potable Water Source | 176 PWg | $2,395 WCg | – |
| **61.06 Deuel Vocational Institution, Tracy Existing Facility** | | | | |
| 61.06.016 | Renovate Y & Z Dorms | – | $153 Pg | $3,222 WCg |
| 61.06.021 | Infirmary Heating, Ventilation and Air Conditioning | – | – | 131 PWg |
| 61.06.425 | Reception Center Screening & Evaluation Program | $97 Wg | 858 Cg | – |
| **61.07 California State Prison at Folsom/ California State Prison, Sacramento Existing Facility** | | | | |
| 61.07.021 | Construct Pretreatment System | – | $135 PWg | $955 Cg |
| 61.07.101 | Renovate Dental Clinic | – | 615 Cg | – |
| 61.07.102 | Renovate Branch Circuit Wiring, Building 1 | $4 PWg | 2,309 Cg | – |
| 61.07.103 | Renovate Supply Heating and Ventilation, Building 1 | – | 1,637 Cg | – |
| 61.07.104 | Renovate Branch Circuit Wiring, Building 2 | – | 1,243 Cg | – |
| 61.07.105 | Renovate Branch Circuit Wiring, Building 3 | – | 1,560 Cg | – |
| 61.07.106 | Gang Shower Conversion, Building 1 | – | 789 Cg | – |
| 61.07.107 | Renovate Branch Circuit Wiring, Building 5 | 34 Pg | 29 Wg | – |
| 61.07.108 | Renovate Branch Circuit Wiring, Maintenance Shops | 80 Pg | 968 Cg | – |
| 61.07.425 | Correctional Clinical Case Mgmt & Enhanced Outpatient | – | 1,284 Cg | – |
| 61.07.426 | Correctional Treatment Center | 855 Cb | – | – |
| **61.08 California Institution for Men, Chino Existing Facility** | | | | |
| 61.08.020 | PCE Contamination Cleanup | – | $3,128 Cg | – |
| 61.08.023 | Replace Program "C" Dorms | $834 Cb | – | – |
| 61.08.024 | Replace Locking Devices-RC Central | 1,750 WCg | 645 WCg | – |
| 61.08.025 | Denitrification Plant | 217 Cb | 2,877 Cb | $5,830 Cg |
| 61.08.029 | TB/HIV Housing Engineering Controls | 140 PWg | 967 Cg | – |
| 61.08.032 | Drilling New Domestic Water Supply Well | – | 246 PWg | 681 Cg |
| 61.08.033 | Construct New Armory | – | – | 232 PWg |
| 61.08.425 | Correctional Clinical Case Mgmt & Reception Center Screening & Evaluation | 165 Wg | 1,274 Cg | – |
| 61.08.427 | Correctional Clinical Case Management | 91 Wg | 683 Cg | – |
| **61.09 California Medical Facility/California State Prison, Solano, Vacaville Existing Facility** | | | | |
| 61.09.015 | Unit V-Modular Housing Replacement | – | $420 PWg | $5,712 Cg |
| 61.09.029 | TB/HIV Housing Engineering Controls | $32 PWg | 580 WCg | – |
| 61.09.030 | Cell Window Modification | 185 Pg | – | – |
| 61.09.050 | Electrified Fence | 2,982 WCg | 187 WCg | – |
| 61.09.426 | Correctional Clinical Case Management | 94 Wg | 585 Cg | – |
| 61.09.427 | Correctional Treatment Center, Phase II | – | 3,555 WCg | – |
| **61.10 California Men's Colony, San Luis Obispo Existing Facility** | | | | |
| 61.10.046 | Hospital Air Conditioning | – | $666 Cg | – |
| 61.10.047 | Wastewater Collection Treatment Upgrade | $950 Pg | 1,104 Wg | $25,627 Cg |
| 61.10.048 | Fire Alarm System Upgrade | – | – | 316 Sg |
| 61.10.049 | Potable Water Treatment Facility Upgrade | – | – | 207 Sg |
| 61.10.051 | Central Kitchen Replacement | 258 Wg | 6,035 Cg | – |
| 61.10.052 | Electrified Fence | – | 196 PWg | 3,752 Cg |
| 61.10.053 | D-Quad Mental Health Services Building | – | – | 301 PWg |
| 61.10.200 | Primary and Secondary Electrical Distribution System | 289 WCn | 1,113 WCn | – |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **61.11 R. J. Donovan Correctional Facility at Rock Mountain** | | | |
| **Existing Facility** | | | |
| 61.11.008 OSAP Substance Abuse Modular Replacement | – | – | $290 PWg |
| 61.11.010 Reverse Osmosis Purification System | $100 PWg | – | – |
| 61.11.425 Correctional Clinical Case Management & Reception Center Screening & Evaluation | 152 Wg | $923 Cg | – |
| 61.11.426 Correctional Treatment Center | 418 Cb | – | – |
| **61.12 California State Prison, San Quentin** | | | |
| **Existing Facility** | | | |
| 61.12.426 Correctional Treatment Center, Phase II | $51 PWg | $897 Wg | $17,455 Cg |
| **61.13 California Institution for Women, Frontera** | | | |
| **Existing Facility** | | | |
| 61.13.010 Security Perimeter | – | – | $786 PWg |
| 61.13.011 Infrastructure Study | – | – | 224 Sg |
| 61.13.426 Correctional Clinical Case Management & Enhanced Outpatient Care | $127 Wg | $791 Cg | – |
| 61.13.427 Reception Center Screening and Evaluation Program | 79 Wg | 641 Cg | – |
| 61.13.428 Correctional Treatment Center, Phase II | 704 PWg | 12,974 Cg | – |
| **61.15 California Rehabilitation Center, Norco** | | | |
| **Existing Facility** | | | |
| 61.15.027 Potable Water System Improvements | – | – | $228 PWg |
| 61.15.030 Patton State Hospital Security Perimeter | – | – | 567 Wg |
| 61.15.035 Replace Men's Dorms | $50 WCg | $7,862 WCg | – |
| 61.15.036 Perimeter Fence | 120 Pg | 2,101 WCg | – |
| 61.15.425 Correctional Clinical Case Management | 87 Wg | 681 Cg | – |
| **61.16 Sierra Conservation Center, Jamestown** | | | |
| **Existing Facility** | | | |
| 61.16.021 Effluent Disposal Pipeline | $350 Ag | $364 Pg | $8,660 WCg |
| 61.16.022 Electrified Fence | – | 191 PWg | 3,117 Cg |
| 61.16.202 Wastewater Treatment Plant | 1,520 Cb | – | – |
| **61.17 Avenal State Prison** | | | |
| **Existing Facility** | | | |
| 61.17.009 Receiving and Release Expansion | – | – | $100 PWg |
| 61.17.425 Correctional Clinical Case Management | $93 Wg | $590 Cg | – |
| **61.18 Mule Creek State Prison** | | | |
| **Existing Facility** | | | |
| 61.18.000 1,500 Bed Medium Security Prison with a 200 Bed Service Facility | $96 APWCEb | $685 APWCEb | – |
| 61.18.426 Correctional Clinical Case Management & Enhanced Outpatient Care | 321 Wg | 1,876 Cg | – |
| 61.18.427 Correctional Treatment Center, Phase II | – | 2,184 Cg | – |
| **61.19 Northern California Women's Facility** | | | |
| **Existing Facility** | | | |
| 61.19.031 Arch Road Interchange Upgrade | $120 Cn | – | – |
| **61.21 California State Prison, Los Angeles County** | | | |
| **New Facility** | | | |
| 61.21.017 Sewage Equalization Basin | – | – | $226 PWg |
| 61.21.425 Correctional Clinical Case Management & Enhanced Outpatient Care | – | $1,320 Cg | – |
| 61.21.426 Correctional Treatment Center | $122 Cb | – | – |
| **61.22 Chuckawalla Valley State Prison, Blythe** | | | |
| **Existing Facility** | | | |
| 61.22.004 Modified Hydronic Temperature Compensation System | – | – | $1,080 Pg |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|---|
| **61.23** | **California State Prison, Kings County at Corcoran New Facility** | | | |
| 61.23.000 | 2,524 Bed Medium/Maximum Security Prison with a 400 Bed Service Facility | –$90 APWCEb | $1,218 APWCEb | – |
| 61.23.425 | Correctional Clinical Case Management & Enhanced Outpatient Care | 343 Wg | 1,519 Cg | – |
| **61.25** | **Pelican Bay State Prison New Facility** | | | |
| 61.25.000 | 2,080 Bed Maximum Security Prison with a 200 Bed Service Facility | $5 APWCEb | $5,467 APWCEb | – |
| 61.25.425 | Correctional Treatment Center | 177 Cb | – | – |
| **61.26** | **Central California Women's Facility New Facility** | | | |
| 61.26.000 | 2,000 Bed Women's Facility | $2 APWCEb | $89 APWCEb | – |
| 61.26.425 | Enhanced Outpatient Care & Reception Center Screening Evaluation Program | 213 Wg | 1,184 Cg | – |
| 61.26.426 | Correctional Clinical Case Management | 109 Wg | 652 Cg | – |
| **61.27** | **Wasco State Prison-Reception Center New Facility** | | | |
| 61.27.002 | Prescreening Facility at Wastewater Treatment Plant | – | $148 PWg | – |
| 61.27.425 | Reception Center Screening & Evaluation Program | $144 Wg | 1,009 Cg | – |
| 61.27.426 | Correctional Clinical Case Management | 101 Wg | 904 Cg | – |
| 61.27.427 | Correctional Treatment Center | 596 Cb | – | – |
| **61.28** | **North Kern State Prison New Facility** | | | |
| 61.28.426 | Reception Center Screening & Evaluation Program | $137 Wg | $1,039 Cg | – |
| 61.28.427 | Correctional Clinical Case Management | 101 Wg | 886 Cg | – |
| 61.28.428 | Correctional Treatment Center, Phase II | 140 Wg | 2,967 Cg | – |
| **61.29** | **Calipatria State Prison New Facility** | | | |
| 61.29.002 | 2,000 Bed Maximum Security Prison with 208 Bed Service Facility | $7 APWCEb | $3,103 APWCEb | – |
| **61.30** | **Centinela State Prison** | | | |
| 61.30.002 | 2,000 Bed Level III Prison with a 208 Bed Service Facility | $9 APWCEb | $5,642 APWCEb | – |
| 61.30.003 | Recycling and Salvage Program | – | 79 PWg | – |
| 61.30.426 | Correctional Treatment Center, Phase II | – | 2,527 Cg | – |
| **61.31** | **Pleasant Valley State Prison New Facility** | | | |
| 61.31.001 | 2,000 Bed Medium Security Prison with a 208 Bed Service Facility | $66 APWCEb | $6,510 APWCEb | – |
| 61.31.002 | Bar Screen, Pre-lift Station | – | – | $172 PWg |
| **61.32** | **Valley State Prison for Women New Facility** | | | |
| 61.32.003 | 2,000 Bed Women's Facility | $85 SPWCEb | $1,281 SPWCEb | – |
| **61.33** | **High Desert State Prison New Facility** | | | |
| 61.33.002 | 900 Bed Level III, 1,024 Bed Level IV, 100 Bed Reception Center with a 200 Bed Support Services Facility | –$993 APWCEb | $7,592 APWCEb | – |
| **61.34** | **Ironwood State Prison New Facility** | | | |
| 61.34.001 | 2,000 Bed Level III with a 400 Bed Support Services Facility | $298 APWCEr | $2,339 APWCEr | – |
| 61.34.426 | Correctional Treatment Center, Phase II | – | 2,428 Cg | – |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **61.35 Salinas Valley State Prison New Facility** | | | |
| 61.35.002 1,000 Bed Level III, 1,024 Bed Level IV with a 200 Bed Level I Support Services Facility | $1,254 SAPWCLEb | $1,841 SAPWCLEb | – |
| 61.35.003 Mental Health In-patient Care Facility | 716 Pg | 12,358 WCg | – |
| 61.35.004 Water Treatment Plant Installation | – | 740 PWCg | – |
| **61.37 State Prison at Corcoran New Facility** | | | |
| 61.37.001 Medium/Maximum Prison with Support Services Facility | $800 SAPWCLEb | $22,618 SAPWCLEb | – |
| **61.38 California Substance Abuse Treatment Facility New Facility** | | | |
| 61.38.001 Total Facility | $368 SAPWCLEb | $6,047 SAPWCLEb | – |
| **61.39 California State Prison-Kern County at Delano II New Facility** | | | |
| 61.39.001 Maximum Prison with Support Services Facility | $11,649 SAPWg | $9,351 SAPWg | $3,000 SAPWg |
| | – | 200,000 SAPWCLEb | 111,500 SAPWCLEb |
| **61.47 California State Prison, Sacramento Existing Facility** | | | |
| 61.47.001 Reconstruct Firing Range | – | $202 PWg | $1,118 Cg |
| 61.47.002 Psychiatric Services Unit/Enhanced Outpatient Care, Phase II | – | – | 1,419 PWg |
| **61.48 California State Prison, Solano, Vacaville Existing Facility** | | | |
| 61.48.001 Wastewater Treatment Plant | – | – | $163 Sg |
| Totals, Major Projects | $61,977 | $434,186 | $214,367 |
| **MINOR CAPITAL OUTLAY PROGRAM** | | | |
| 61.14.030 Minor Projects | $1,272 PWCr | – | – |
| 61.14.030 Minor Projects | 5,375 PWCg | $5,500 PWCg | $7,600 PWCg |
| 61.14.032 Minor Projects: Joint Venture | – | 225 PWCg | 225 PWCg |
| Totals, Minor Projects | $6,647 | $5,725 | $7,825 |
| NET TOTALS, EXPENDITURES, CAPITAL OUTLAY | $68,624 | $439,911 | $222,192 |
| *0001 General Fund* [g] | *42,893* | *119,916* | *95,692* |
| *0660 Public Buildings Construction Fund* [b] | *20,359* | *315,780* | *126,500* |
| *0746 1986 Prison Construction Fund* [n] | *548* | *1,290* | – |
| *0751 1990 Prison Construction Fund* [r] | *4,824* | *2,925* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund [g]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $22,789 | $98,638 | $92,692 |
| 302 Budget Act appropriation | – | 0 [1] | – |
| Transfers to and from Government Code Sections 16408 and 16409 | −189 | – | – |
| Chapter 54, Statutes of 1999 | 24,000 | – | – |
| Prior year balances available: | | | |
| Item 5240-302-0001, Budget Act of 1995 as reappropriated by Item 5240-490, Budget Act of 1998 | 2,538 | 1,789 | – |
| Item 5240-301-0001, Budget Act of 1998 as reappropriated by Item 5240-490, Budget Acts of 1999 and 2000 and Chapter 888, Statutes of 1999 | 12,502 | 6,996 | – |
| Item 5240-302-0001, Budget Act of 1998 as reappropriated by Item 5240-490, Budget Acts of 1999 and 2000 | 3,104 | 2,788 | – |
| Item 5240-303-0001, Budget Act of 1998 as added by Chapter 502, Statutes of 1998 as reappropriated by Item 5240-490, Budget Act of 1999 | 4,710 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| Item 5240-301-0001, Budget Act of 1999 as reappropriated by Item 5240-490, Budget Act of 2000 | – | $253 | – |
| Chapter 54, Section 3, Statutes of 1999 | – | 12,351 | $3,000 |
| Transfers to and from Government Code Sections 16408 and 16409 | $98 | 101 | – |
| Totals Available | $69,552 | $122,916 | $95,692 |
| Balance available in subsequent years | −24,177 | −3,000 | – |
| Unexpended balance, estimated savings | −2,482 | – | – |
| TOTALS, EXPENDITURES | $42,893 | $119,916 | $95,692 |

[1] Zero appropriation.

### 0660 Public Buildings Construction Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $100,416 | – | – |
| Government Code 15819.295 | 311,500 | – | – |
| Prior year balances available: | | | |
| Item 5240-301-0660, Budget Act of 1997 as reappropriated by Item 5240-490, Budget Act of 2000 | 9,770 | $3,900 | – |
| Item 5240-303-0660, Budget Act of 1997 | 4,631 | – | – |
| Item 5240-301-0660, Budget Act of 1999 | – | 82,331 | $15,000 |
| Government Code Section 15819.13 | 781 | 685 | – |
| Government Code Section 15819.19 | 1,128 | 1,218 | – |
| Government Code Section 15819.20 | 5,472 | 5,467 | – |
| Government Code Section 15819.21 | 91 | 89 | – |
| Government Code Section 15819.22 | 3,110 | 3,103 | – |
| Government Code Section 15819.23 | 5,652 | 5,642 | – |
| Government Code Section 15819.24 | 6,576 | 6,510 | – |
| Government Code Section 15819.25 | 6,647 | 1,841 | – |
| Government Code Section 15819.26 | 6,599 | 7,592 | – |
| Government Code Section 15819.27 | 6,539 | 1,281 | – |
| Government Code Section 15819.28 | 37,628 | 22,618 | – |
| Government Code Section 15819.295 | – | 311,500 | 111,500 |
| Government Code Section 15819.30 | 10,018 | 6,047 | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | −26,305 | – | – |
| Totals Available | $490,253 | $459,824 | $126,500 |
| Balance available in subsequent years | −459,824 | −126,500 | – |
| Unexpended balance, estimated savings | −10,070 | −17,544 | – |
| TOTALS, EXPENDITURES | $20,359 | $315,780 | $126,500 |

### 0746 1986 Prison Construction Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 5240-303-0746, Budget Act of 1993 as reappropriated by Item 5240-491, Budget Act of 1996 and Chapter 888, Statutes of 1999 | $316 | $177 | – |
| Item 5240-301-0746, Budget Act of 1995 as reappropriated by Item 5240-490, Budget Act of 1998 | 1,402 | 1,113 | – |
| Chapter 1416, Statutes of 1987 | 120 | – | – |
| Totals Available | $1,838 | $1,290 | – |
| Balance available in subsequent years | −1,290 | – | – |
| TOTALS, EXPENDITURES | $548 | $1,290 | – |

### 0751 1990 Prison Construction Fund [r]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Chapter 981, Statutes of 1990 as reappropriated by Item 5240-491, Budget Act of 1993 and Item 5240-492, Budget Act of 1996 and Item 5240-490, Budget Act of 1999 | $2,637 | $2,339 | – |
| Chapter 63, Statutes of 1994 as reappropriated by Item 5240-492, Budget Act of 1996 and Item 5240-490, Budget Act of 1999 | 3,540 | 286 | – |

*** Dollars in thousands, except in Salary Range.**

## 5240 CALIFORNIA DEPARTMENT OF CORRECTIONS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| Chapter 744, Statutes of 1996 | $1,272 | – | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | – | $300 | – |
| Totals Available | $7,449 | $2,925 | – |
| Balance available in subsequent years | –2,625 | – | – |
| TOTALS, EXPENDITURES | $4,824 | $2,925 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (CAPITAL OUTLAY) | $68,624 | $439,911 | $222,192 |

The following footnotes differ from the standard statewide footnotes due to the variety of specific fund sources for the Department of Corrections budget. Footnotes apply only to Corrections Capital Outlay.
[b] Public Buildings Construction Fund
[g] General Fund
[n] 1986 Prison Construction Fund
[r] 1990 Prison Construction Fund

# 5430 BOARD OF CORRECTIONS

The Board of Corrections works in partnership with city and county officials to develop and maintain the currency of standards for the construction and operation of local jails and juvenile detention facilities, as well as standards for the employment and training of local corrections and probation personnel, and regularly assists the principals in local correction facilities and programs in their efforts to remain in compliance with these standards. The Board also disburses training funds and administers the allocation of funds to counties for the federal Violent Offender Incarceration/Truth-in-Sentencing Grant Program, the Juvenile Hall/Camp Restoration Program, the Repeat Offender Prevention Project, the Juvenile Crime Enforcement and Accountability Challenge Grant Program, and the Mentally Ill Offender Crime Reduction Grant Program. The Schiff-Cardenas Crime Prevention Act of 2000 requires each county to have Board of Corrections approval of their respective comprehensive multiagency juvenile justice plans, which examine graduated sanctions for at-risk youth, prior to accessing funds under the Act. The Board also conducts special studies in penology and corrections relative to the public safety of California's communities.

The Board of Corrections was established in 1944 as part of a reorganization of the state prison system. Statutes relating to the authority, programs, and mandates of the Board of Corrections are contained in the California Penal and Welfare and Institutions Codes. The operating regulations are found in Title 15 of the California Code of Regulations. Physical plant regulations are contained in Title 24 of the Uniform Building Code.

The Board is composed of thirteen members—ten appointed by the Governor—confirmed by the Senate, and representing specific elements of local juvenile and adult criminal justice systems and the public. Statutory members are the Secretary of the Youth and Adult Correctional Agency, who acts as Chair of the Board, and the directors of the Departments of Corrections and Youth Authority.

The Board meets bimonthly and all meetings are open to the public.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 11 Corrections Planning and Programs | 21.2 | 28.2 | 27.5 | $53,287 | $84,244 | $95,687 |
| 14 Facilities Standards and Operations | 14.6 | 17.9 | 17.4 | 6,986 | 17,380 | 29,960 |
| 21 Standards and Training for Local Officers | 19.5 | 22.3 | 22.3 | 16,441 | 18,595 | 19,744 |
| 30 Administration | 3.1 | 3.9 | 3.9 | 307 | 323 | 323 |
| Distributed Administration | – | – | – | –307 | –323 | –323 |
| 98 State-Mandated Local Programs | – | – | – | – | 5,970 | 1,737 |
| TOTALS, PROGRAMS | 58.4 | 72.3 | 71.1 | $76,714 | $126,189 | $147,128 |
| *0001 General Fund* | | | | *38,393* | *76,691* | *76,328* |
| *0170 Corrections Training Fund* | | | | *16,186* | *18,403* | *19,488* |
| *0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund* | | | | *1,176* | *350* | *289* |
| *0890 Federal Trust Fund* | | | | *20,290* | *30,221* | *50,435* |
| *0995 Reimbursements* | | | | *669* | *524* | *588* |

## 11 CORRECTIONS PLANNING AND PROGRAMS DIVISION

### Program Objectives Statement

The Corrections Planning and Programs Division administers grants, develops programs, conducts research and evaluation, and distributes funds that provide for the construction of local juvenile and adult facilities for offenders (e.g., the Violent Offender Incarceration/Truth-in-Sentencing Grant, and the Juvenile Hall/Camp Restoration Grant); reduces the threat of juvenile crime and delinquency (e.g., the Juvenile Crime Enforcement and Accountability Challenge Grant Program and the Crime Prevention Act of 2000); and focuses on repeat and high-risk offenders (e.g., the Repeat Offender Prevention Program). The Corrections Planning and Programs Division fosters collaborative and integrative approaches by engaging local communities to devise plans to implement preventive and alternative methods of effectively impacting delinquent youth.

### Major Budget Adjustment Proposed for 2001–2002

- $275,000 General Fund is proposed in the Budget Year to provide administrative funding to the Board of Corrections for action plan approvals associated with an additional $121.3 million of Crime Prevention Act funds, for which local assistance funding is proposed under Local Government.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5430 BOARD OF CORRECTIONS—Continued

### Authority

Article 18.7, Section 749.2 et seq., WIC; Article 18, Section 749.3 et seq., WIC; Titles 4.5, 4.6, 4.7, 4.8, 4.85, Section 4400 et seq., PC; Section 743 et seq., WIC; Section 601.5 et seq., WIC; Chapter 506, Statutes of 1997; and Chapter 353, Statutes of 2000.

## 14 FACILITIES STANDARDS AND OPERATIONS DIVISION

### Program Objectives Statement

The Facilities Standards and Operations Division sets minimum standards for juvenile and adult detention facilities and assists local agencies in solving problems that would prevent them from meeting the standards. The Facilities Standards and Operations Division administers grant funds to maximize the protection of the public while assuring safety of detention staff and offenders in local custody; and is responsible for administering the Jail Profile Survey, the Juvenile Detention Profile Survey, the Mentally Ill Offender Crime Reduction Grant Program, and the Juveniles in Jails Removal/Compliance Monitoring Program. Facilities Standards and Operations Division activities also include: ongoing updating of standards pertaining to California's local juvenile and adult detention facilities and programs; inspecting local detention facilities in California biennially and providing feedback on efforts to remain in compliance with standards to the cities and counties responsible for these facilities and to the Legislature; monitoring local juvenile detention facilities on a monthly basis to ensure their continued suitability to hold juveniles; providing technical assistance and training to cities and counties to allow outsourcing of facility needs assessments, planning, design, and construction of local detention facilities; reviewing and analyzing architectural plans for new facility construction and remodeling to determine cost-effectiveness and standards compliance; providing monitoring, technical assistance, and training related to compliance with federal juvenile justice and delinquency prevention act requirements for secure detention of status offenders and separation of minors from adults; and collecting and reporting data, in collaboration with cities and counties, to provide a statewide profile of local jails and local juvenile detention facilities in California.

### Authority

Penal Code Sections 6024, 6029, 6030, 6031, 6031.1, and 6031.2; and Welfare and Institutions Code Sections 207.1, 209, 210, 210.2, and 885.

## 21 STANDARDS AND TRAINING FOR LOCAL OFFICERS DIVISION

### Program Objectives Statement

The Standards and Training for Local Officers Division works with local corrections officials to ensure the performance of complex tasks by local corrections professionals. The Standards and Training for Local Officers Division engages the local corrections community in the establishment of minimum standards for personnel selection and training. It administers a seven-step selection criteria system in partnership with local agencies that complies with key federal and state guidelines. It also administers a statewide training course certification process that includes a coordinated delivery system that includes public, private, and private/nonprofit training providers in the delivery of training based on competition among the sectors for participation. The Standards and Training for Local Officers Division provides Corrections Training Funds to local agencies to offset a portion of the cost of meeting training standards. The Standards and Training for Local Officers Division activities include: working with local corrections and probation departments in the establishment and revision of standards which lead to the selection of qualified people for employment and to the maintenance of staff proficiency; designing, developing, and maintaining an efficient and effective selection and training delivery system for local corrections training; managing a financial assistance grant process that leverages limited resources to assist local corrections departments in meeting selection and training standards; monitoring all participating departments for compliance with the selection and training standards and problem solving with them to achieve compliance with the standards; providing technical assistance and support to local corrections departments and training providers; and assisting the Board of Corrections to formulate policy, conduct studies in penology, and promulgate standards as defined in Chapter 5 of the Penal Code.

### Major Budget Adjustment Proposed for 2001–02

- $1,500,000 Corrections Training Fund has been proposed, to increase the amounts provided to local governments for selection and training of local corrections personnel.

### Authority

Penal Code Sections 6035–6044.

## 98 STATE-MANDATED LOCAL PROGRAMS

### Program Objectives Statement

The objective of this program is to provide funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs they incur in complying with certain state-mandated local programs.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 11 CORRECTIONS PLANNING AND PROGRAMS

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$1,746* | *$3,203* | *$3,076* |
| *0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund* | *158* | *–* | *–* |
| *0890 Federal Crime Bill Funding* | *1,016* | *1,221* | *1,248* |
| Totals, State Operations | $2,920 | $4,424 | $4,324 |

*** Dollars in thousands, except in Salary Range.**

## 5430 BOARD OF CORRECTIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | *$30,252* | *$50,470* | *$41,887* |
| *0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Fund* | *841* | *350* | *289* |
| *0890 Federal Crime Bill* | *19,274* | *29,000* | *49,187* |
| Totals, Local Assistance | $50,367 | $79,820 | $91,363 |
| **PROGRAM REQUIREMENTS** | | | |
| **14 FACILITIES STANDARDS AND OPERATIONS** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$1,676* | *$2,298* | *$2,378* |
| *0796 1988 County Correctional Facility Capital Bond Fund and Youth Facility Fund* | *177* | *–* | *–* |
| *0995 Reimbursements* | *414* | *332* | *332* |
| Totals, State Operations | $2,267 | $2,630 | $2,710 |
| Local Assistance: | | | |
| *0001 General Fund* | *4,719* | *14,750* | *27,250* |
| Totals, Local Assistance | $4,719 | $14,750 | $27,250 |
| **PROGRAM REQUIREMENTS** | | | |
| **21 STANDARDS AND TRAINING FOR LOCAL OFFICERS** | | | |
| State Operations: | | | |
| *0170 Corrections Training Fund* | *$1,699* | *$2,640* | *$2,225* |
| *0995 Reimbursements* | *255* | *192* | *256* |
| Totals, State Operations | $1,954 | $2,832 | $2,481 |
| Local Assistance: | | | |
| *0170 Corrections Training Fund* | *14,487* | *15,763* | *17,263* |
| Totals, Local Assistance | $14,487 | $15,763 | $17,263 |
| **PROGRAM REQUIREMENTS** | | | |
| **31 ADMINISTRATION** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$307* | *$323* | *$323* |
| *31.02 Distributed Administration* | *–307* | *–323* | *–323* |
| *0011 Correction Planning and Programs* | *–127* | *–144* | *–138* |
| *0014 Facilities Standards and Operations* | *–82* | *–85* | *–90* |
| *0021 Standards and Training for Local Officers* | *–98* | *–94* | *–95* |
| **PROGRAM REQUIREMENTS** | | | |
| **98 STATE-MANDATED LOCAL PROGRAMS** | | | |
| Local Assistance: | | | |
| (All General Fund): | | | |
| *(a) Ch. 913/79—Domestic Violence Diversion* | *–* | *$325* | *–* |
| *(d) Ch. 221/93—Domestic Violence Treatment Program Approvals* | *–* | *802* | *$733* |
| *(a) Ch. 332/81—Victims' Statements (Minors)* | *–* | *256* | *–* |
| *(d) Ch. 177/00—Domestic Violence Treatment Services* | *–* | *4,587* | *1,004* |
| Totals, State-Mandated Programs | – | $5,970 | $1,737 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $7,141 | $9,886 | $9,515 |
| Local Assistance | 69,573 | 116,303 | 137,613 |
| TOTALS, EXPENDITURES | $76,714 | $126,189 | $147,128 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 58.4 | 73.2 | 71.5 | $3,341 | $4,269 | $4,308 |
| Total Adjustments | – | 1.5 | 2.0 | – | 48 | 65 |
| Estimated Salary Savings | – | –2.4 | –2.4 | – | –138 | –139 |
| Net Totals, Salaries and Wages | 58.4 | 72.3 | 71.1 | $3,341 | $4,179 | $4,234 |
| Staff Benefits | – | – | – | 578 | 638 | 646 |
| Totals, Personal Services | 58.4 | 72.3 | 71.1 | $3,919 | $4,817 | $4,880 |

*** Dollars in thousands, except in Salary Range.**

## 5430 BOARD OF CORRECTIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| OPERATING EXPENSES AND EQUIPMENT | $3,222 | $5,069 | $4,635 |
| TOTALS, EXPENDITURES | $7,141 | $9,886 | $9,515 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $1,784 | $2,056 | $2,108 |
| 003 Budget Act appropriation | – | 450 | – |
| 008 Budget Act appropriation | – | 700 | – |
| 009 Budget Act appropriation | – | – | 275 |
| Allocation for employee compensation | 176 | 13 | – |
| Adjustment per Section 3.60 | −121 | −19 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Transfer from Item 5430-101-0001, Budget Act of 1996 per Welfare and Institutions Code Section 749.27 (Juvenile Crime Enforcement and Accountability Challenge Grant Program) | 311 | 248 | – |
| Transfer from Item 5430-103-0001, Budget Act of 2000, per Provision 3 (Mentally Ill Offender Program) | – | 350 | 400 |
| Transfer from Item 5430-104-0001, Budget Act of 2000, per Provision 2 (County Juvenile Facilities) | – | 155 | 259 |
| Transfer from Item 5430-107-0001, Budget Act of 1998 (Juvenile Crime Enforcement and Accountability Challenge Grant) | 230 | – | – |
| Transfer from Item 5430-109-0001, Budget Act of 1998 (Repeat Offender Prevention Program) | 105 | 64 | – |
| Transfer from Item 5430-111-0001, Budget Act of 1998 as added by Chapter 502, Statutes of 1998 (Mentally Ill Offender Program) | 176 | 269 | 290 |
| Transfer from Item 5430-112-0001, Budget Act of 1998 as added by Chapter 502, Statutes of 1998, per Provision 3 (Juvenile Crime Enforcement and Accountability Challenge Grant Program) | 267 | 750 | 744 |
| Transfer from Item 5430-111-0001, Budget Act of 1999, per Provision 2 (Mentally Ill Offender Program) | 159 | 162 | 163 |
| Transfer from Item 5430-109-0001, Budget Act of 2000, per Provision 3 (Repeat Offender Prevention Program) | – | 300 | 200 |
| Chapter 353, Statutes of 2000 (Schiff-Cardenas Crime Prevention Act 2000) | – | 750 | – |
| Prior year balances available: | | | |
| Item 5430-001-0001, Budget Act of 1997, as reappropriated by Item 5430-490, Budget Act of 1998 (Violent Offender Program) (State Match) | 79 | 28 | – |
| Item 5430-003-0001, Budget Act of 2000 (Juvenile Crime Enforcement and Accountability Challenge Grant Program) | – | – | 200 |
| Item 5430-008-0001, Budget Act of 2000 (Juvenile Crime Enforcement and Accountability Challenge Grant Program) | – | – | 400 |
| Chapter 353, Statutes of 2000 (Schiff-Cardenas Crime Prevention Act 2000) | – | – | 475 |
| Chapter 499, Statutes of 1998 (Juvenile Hall/Camp Restoration Program) | 5 | 108 | – |
| Transfer from Local Assistance (Juvenile Hall/Camp Restoration Program) | 399 | 192 | 290 |
| Totals Available | $3,572 | $6,576 | $5,804 |
| Balance available in subsequent years | −137 | −1,075 | −350 |
| Unexpended balance, estimated savings | −13 | – | – |
| TOTALS, EXPENDITURES | $3,422 | $5,501 | $5,454 |

### 0170 Corrections Training Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,218 | $2,649 | $2,225 |
| Allocation for employee compensation | 104 | 9 | – |
| Adjustment per Section 3.60 | −77 | −18 | – |
| Totals Available | $2,245 | $2,640 | $2,225 |
| Unexpended balance, estimated savings | −546 | – | – |
| TOTALS, EXPENDITURES | $1,699 | $2,640 | $2,225 |

*** Dollars in thousands, except in Salary Range.**

## 5430 BOARD OF CORRECTIONS—Continued

### 0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $331 | – | – |
| Allocation for employee compensation adjustment | 18 | – | – |
| Adjustment per Section 3.60 | –14 | – | – |
| TOTALS, EXPENDITURES | $335 | – | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| Transfer from 5430-107-0890, Budget Act of 1999, per Provision 5 | – | $350 | $450 |
| Transfer from 5430-108-0890, Budget Act of 2000, per Provision 4 | – | – | 125 |
| Prior year balances available: | | | |
| Item 5430-005-0890, Budget Act of 1997, as reappropriated by Item 5430-490, Budget Act of 1998 and by Item 5430-491, Budget Act of 2000 | $749 | 273 | 73 |
| Item 5430-006-0890, Budget Act of 1998 | 151 | 200 | – |
| Transfer from 5430-106-0890, Budget Act of 1998 per Provision 5 | 572 | 471 | 600 |
| Allocation for employee compensation | 52 | 4 | – |
| Adjustment per Section 3.60 | –35 | –9 | – |
| Budget adjustment | – | 5 | – |
| Totals Available | $1,489 | $1,294 | $1,248 |
| Balance available in subsequent years | –473 | –73 | – |
| TOTALS, EXPENDITURES | $1,016 | $1,221 | $1,248 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $669 | $524 | $588 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $7,141 | $9,886 | $9,515 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $69,573 | $116,303 | $137,613 |
| General Fund | (34,971) | (65,220) | (69,137) |
| County Correctional Training | (14,487) | (15,763) | (17,263) |
| County Correctional Facility Construction | (841) | (350) | (289) |
| State Mandates | – | (5,970) | (1,737) |
| Federal Crime Bill Funding | (19,274) | (29,000) | (49,187) |
| TOTALS, EXPENDITURES | $69,573 | $116,303 | $137,613 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 103 Budget Act appropriation (Mentally Ill Offender) | – | $50,000 | – |
| Transfer to Item 5430-001-0001, Budget Act of 2000, per Provision 3 (Mentally Ill Offender) | – | –350 | – |
| 104 Budget Act appropriation (County Juvenile Facilities) | – | 75,000 | – |
| Transfer to Item 5430-001-0001, Budget Act of 2000, per Provision 2 (County Juvenile Facilities) | – | –155 | – |
| 109 Budget Act appropriation (Repeat Offender) | – | 10,000 | – |
| Transfer to Item 5430-001-0001 per Provision 3 (Repeat Offender Program) | – | –300 | – |
| 111 Budget Act appropriation (Mentally Ill Offender Program) | $27,000 | – | – |
| Transfer to Item 5430-001-0001 per Provision 2 | –159 | – | – |
| 113 Budget Act appropriation (Juvenile Challenge) | – | 10,550 | – |
| 118 Budget Act appropriation (Juvenile Challenge) | – | 13,300 | – |
| 122 Budget Act appropriation (Lodi Police) | – | 200 | – |
| 124 Budget Act appropriation (CLEAR) | – | 3,000 | – |
| 125 Budget Act appropriation (Citrus Heights Police Svc. Ctr.) | – | 500 | – |
| 126 Budget Act appropriation (Sacto. Co. Rio Cosumnes Corr. Ctr. Pharm) | – | 300 | – |

*** Dollars in thousands, except in Salary Range.**

## 5430 BOARD OF CORRECTIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 127 Budget Act appropriation (San Diego Co. Alpine Teen Center) | – | $40 | – |
| 295 Budget Act appropriation (State Mandates) | $717 | 733 | $1,737 |
| Chapter 574, Statutes of 1999 (State Mandates) | 56 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 4,587 | – |
| Prior year balances available: | | | |
| Item 5430-101-0001, Budget Act of 1996, as reappropriated by Item 5430-490, Budget Acts of 1997 and 1998 (Juvenile Challenge Grants) | 24,626 | 9,307 | – |
| Transfer to Item 5430-001-0001 per Welfare and Institutions Code, Section 749.27 | –311 | –248 | – |
| Item 5430-105-0001, Budget Act of 1997, as reappropriated by Item 5430-490, Budget Act of 1998 (Repeat Offender Program) | 2,641 | 233 | – |
| Item 5430-107-0001, Budget Act of 1998 (Juvenile Challenge Grant) | 9,321 | 7,951 | – |
| Transfer to Item 5430-001-0001 per Provision 1(b) (Juvenile Challenge Grant Program) | –230 | – | – |
| Item 5430-109-0001, Budget Act of 1998 (Repeat Offender Program) | 3,969 | 702 | – |
| Transfer to Item 5430-001-0001 per Welfare and Institutions Code Section 749 (Repeat Offender Program) | –105 | –64 | – |
| Item 5430-111-0001, Budget Act of 1998 (Mentally Ill Offender) | 25,631 | 22,507 | 15,988 |
| Transfer to Item 5430-001-0001 per Provision 3 (Mentally Ill Offender) | –176 | –269 | –290 |
| Item 5430-112-0001, Budget Act of 1998 (Juvenile Challenge Grant) | 50,000 | 41,517 | 25,767 |
| Transfer to Item 5430-001-0001 per Provision 2 (Juvenile Challenge Grant) | –267 | –750 | –744 |
| Item 5430-111-0001, Budget Act of 1999 per reappropriation by Item 5430-490, Budget Act of 2000 (Mentally Ill Offender Program) | – | 25,071 | 18,409 |
| Transfer to Item 5430-001-0001 per Provision 2 (Mentally Ill Offender) | – | –162 | –163 |
| Item 5430-103-0001, Budget Act of 2000 (Mentally Ill Offender Program) | – | – | 47,650 |
| Transfer to Item 5430-001-0001 per Provision 3 (Mentally Ill Offender) | – | – | –400 |
| Item 5430-104-0001, Budget Act of 2000 (County Juvenile Facilities) | – | – | 74,845 |
| Transfer to Item 5430-001-0001 per Provision 2 (County Juvenile Facilities) | – | – | –259 |
| Item 5430-109-0001, Budget Act of 2000 (Repeat Offender Program) | – | – | 9,700 |
| Transfer to Item 5430-001-0001 per Provision 3 | – | – | –200 |
| Item 5430-118-0001, Budget Act of 2000 (Juvenile Challenge Grant) | – | – | 13,300 |
| Chapter 1060, Statutes of 1996, Section 2 | 6 | – | – |
| Chapter 306, Statutes of 1997 (State Mandates) | 331 | 331 | – |
| Chapter 499, Statutes of 1998 (Juvenile Hall/Camp Restoration) | 99,800 | 99,082 | 95,891 |
| Transfer to State Operations per Welfare and Institutions Code Section 749.33 (Juvenile Hall/Camp Restoration) | –399 | –192 | –290 |
| Chapter 780, Statutes of 1998 (State Mandates) | 263 | 263 | – |
| Chapter 574, Statutes of 1999 (State Mandates) | – | 56 | – |
| Totals Available | $242,714 | $372,740 | $300,941 |
| Balance available in subsequent years | –207,020 | –301,550 | –230,067 |
| Unexpended balance, estimated savings | –723 | – | – |
| TOTALS, EXPENDITURES | $34,971 | $71,190 | $70,874 |

### 0170 Corrections Training Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | $14,720 | $15,763 | $17,263 |
| Unexpended balance, estimated savings | –233 | – | – |
| TOTALS, EXPENDITURES | $14,487 | $15,763 | $17,263 |

### 0796 1988 County Correctional Facility Capital and Youth Facility Bond Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Chapter 1327, Statutes of 1989 (allocation to counties) | $1,480 | $639 | $289 |
| Balance available in subsequent years | –639 | –289 | – |
| TOTALS, EXPENDITURES | $841 | $350 | $289 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 107 Budget Act appropriation | $75,000 | – | – |
| 108 Budget Act appropriation | – | $37,494 | $22,431 |
| Prior year balances available: | | | |
| Item 5430-105-0890, Budget Act of 1997 per reappropriation by Item 5430-491, Budget Act of 2000 | 35,993 | 18,287 | 9,287 |
| Item 5430-106-0890, Budget Act of 1998 | 93,394 | 91,254 | 74,783 |
| Transfer to Item 5430-006-0890 per Provision 5 | –572 | –471 | –600 |
| Item 5430-107-0890, Budget Act of 1999 | – | 75,000 | 70,650 |

*** Dollars in thousands, except in Salary Range.**

## 5430 BOARD OF CORRECTIONS—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Transfer to State Operations per Provision 5 | – | –$350 | –$450 |
| Item 5430-108-0890, Budget Act of 2000 | – | – | 37,494 |
| Transfer to State Operations per Provision 4 | – | – | –125 |
| Totals Available | $203,815 | $221,214 | $213,470 |
| Balance available in subsequent years | –184,541 | –192,214 | –164,283 |
| TOTALS, EXPENDITURES | $19,274 | $29,000 | $49,187 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $69,573 | $116,303 | $137,613 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $76,714 | $126,189 | $147,128 |

### FUND CONDITION STATEMENT
### 0170 Corrections Training Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $2,757 | $6,192 | $7,943 |
| Prior year adjustments | 384 | – | – |
| Balance, Adjusted | $3,141 | $6,192 | $7,943 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 130700 Penalty on traffic violations | 12,620 | 13,654 | 14,294 |
| 161000 Escheat of Unclaimed Checks | 117 | – | – |
| Totals, Revenues | $12,737 | $13,654 | $14,294 |
| Transfers from Other Funds: | | | |
| F00178 Driver Training Penalty Assessment Fund, transfer per Budget Act of 2000, Control Section 24.10 | 6,500 | 6,500 | 6,500 |
| Totals, Transfer from Other Funds | $6,500 | $6,500 | $6,500 |
| Totals, Revenues and Transfers | $19,237 | $20,154 | $20,794 |
| Totals, Resources | $22,378 | $26,346 | $28,737 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5430 Board of Corrections: | | | |
| State Operations | 1,699 | 2,640 | 2,225 |
| Local Assistance | 14,487 | 15,763 | 17,263 |
| Totals, Disbursements | $16,186 | $18,403 | $19,488 |
| FUND BALANCE | $6,192 | $7,943 | $9,249 |
| Reserve for economic uncertainties | 6,192 | 7,943 | 9,249 |

### 0711 1986 County Correctional Facility Capital Expenditure Fund [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $31 | – | – |
| Prior year adjustments | –31 | – | – |
| Balanced, Adjusted | – | – | – |
| FUND BALANCE | – | – | – |

### 0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,932 | $1,226 | $435 |
| Prior year adjustments | 324 | – | – |
| Balance, Adjusted | $4,256 | $1,226 | $435 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 5430 Board of Corrections: | | | |
| State Operations | 335 | – | – |
| Local Assistance | 841 | 350 | 289 |
| 5460 Department of the Youth Authority (Local Assistance) | 1,854 | 441 | 144 |
| Totals, Disbursements | $3,030 | $791 | $433 |
| FUND BALANCE | $1,226 | $435 | $2 |

*** Dollars in thousands, except in Salary Range.**

## 5430 BOARD OF CORRECTIONS—Continued

| 974 California Peace Officers Memorial Foundation | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $99 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous revenue | – | $99 | 99 |
| Totals, Revenues and Transfers | – | $99 | $99 |
| Totals, Resources | – | $99 | $198 |
| FUND BALANCE | – | $99 | $198 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 58.4 | 73.2 | 71.5 | $3,341 | $4,269 | $4,308 |
| Proposed New Positions: | | | | Salary Range | | |
| Staff Svcs Analyst | – | 0.7 | 1.0 | 2,507–3,957 | 27 | 39 |
| Ofc Asst | – | 0.8 | 1.0 | 1,908–2,465 | 21 | 26 |
| Totals, Proposed New Positions | – | 1.5 | 2.0 | – | $48 | $65 |
| Total Adjustments | – | 1.5 | 2.0 | – | $48 | $65 |
| TOTALS, SALARIES AND WAGES | 58.4 | 74.7 | 73.5 | $3,341 | $4,317 | $4,373 |

# 5440 BOARD OF PRISON TERMS

The Community Release Board was established with the enactment of Chapter 1139/76 (SB 42), July 1, 1977. The Board was renamed the Board of Prison Terms effective January 1, 1980 with the enactment of Chapter 255/79 (SB 281). The Board considers parole release and establishes the length and conditions of parole for all persons sentenced to prison under the Indeterminate Sentence Law, persons sentenced to prison for a term of less than life under Penal Code section 1168 (b), and for persons serving a sentence of life with possibility of parole.

Prior to 1993–94, the Board was also responsible for conducting parole revocation hearings for determinately sentenced persons who violated their conditions of parole. In 1993–94, Chapter 695, Statutes of 1992, transferred this responsibility to the Department of Corrections. Subsequently, Chapter 53, Statutes of the First Extraordinary Session of 1994, returned the parole revocation hearing function to the Board, effective December 1, 1994.

The Board may suspend or revoke the parole of any offender under its jurisdiction who has violated parole. The Board determines the necessity for rescission or postponement of parole dates for such persons. The Board may also waive parole and may discharge any such offender prior to the expiration of the statutory maximum parole period. The Board also advises the Governor on applications for clemency.

The Board is composed of nine Commissioners appointed by the Governor and confirmed by the Senate for terms of four years each. The terms are staggered and Commissioners are eligible for reappointment. A chairperson of the Board is designated by the Governor. Deputy Commissioners are employed by the Board in civil service positions. Their duties include hearing and deciding cases. An executive officer is appointed by the Board, who is responsible for the ongoing operation of the Board in accordance with Board policies.

### Major Budget Adjustment Included in 2000–01

- $528,000 General Fund and 4.4 positions to reflect an increase in projected life prisoner and parole revocation hearing workload.

### Major Budget Adjustments Proposed for 2001–02

- $281,000 General Fund and 3.5 positions to establish a Domestic Violence Unit to accomplish legislative and Penal Code mandates for investigating potential Battered Woman Syndrome cases.
- $863,000 General Fund and 7.5 positions to reflect an increase in projected life prisoner and parole revocation hearing workload.

### Authority

Penal Code Sections 1170; 3000–3065; and 5075–5082.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Board of Prison Terms | 146.4 | 222.6 | 233.1 | $17,303 | $27,323 | $28,395 |
| TOTALS, PROGRAMS | 146.4 | 222.6 | 233.1 | $17,303 | $27,323 | $28,395 |
| *0001 General Fund* | | | | *17,179* | *27,323* | *28,302* |
| *0995 Reimbursements* | | | | *124* | – | *93* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5440 BOARD OF PRISON TERMS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 146.4 | 230.9 | 230.9 | $9,729 | $15,381 | $15,837 |
| Total Adjustments | – | 4.4 | 14.5 | – | 284 | 781 |
| Estimated Salary Savings | – | −12.7 | −12.3 | – | −871 | −919 |
| Net Totals, Salaries and Wages | 146.4 | 222.6 | 233.1 | $9,729 | $14,794 | $15,699 |
| Staff Benefits | – | – | – | 1,231 | 2,091 | 2,182 |
| Totals, Personal Services | 146.4 | 222.6 | 233.1 | $10,960 | $16,885 | $17,881 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $6,343 | $10,438 | $10,514 |
| TOTALS, EXPENDITURES | | | | $17,303 | $27,323 | $28,395 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $17,586 | $26,682 | $28,302 |
| Allocation for employee compensation | 1,178 | 34 | – |
| Allocation for contingencies or emergencies | – | 528 | – |
| Adjustment per Section 3.60 | −510 | 79 | – |
| Totals Available | $18,254 | $27,323 | $28,302 |
| Unexpended balance, estimated savings | −1,075 | – | – |
| TOTALS, EXPENDITURES | $17,179 | $27,323 | $28,302 |
| **0995 Reimbursements** | | | |
| Reimbursements | $124 | – | $93 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $17,303 | $27,323 | $28,395 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 146.4 | 230.9 | 230.9 | $9,729 | $15,381 | $15,837 |
| Salary adjustments | – | – | – | – | 3 | 5 |
| Totals, Adjusted Authorized Positions | 146.4 | 230.9 | 230.9 | $9,729 | $15,384 | $15,842 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: | | | | | | |
| Dep Commissioner | – | 3.3 | – | 6,311–7,626 | 250 | – |
| Ofc Techn | – | 1.1 | – | 2,348–2,855 | 31 | – |
| Positions Reclassified: | | | | | | |
| C.E.A. II | – | – | 1.0 | – | – | 83 |
| Temporary Help | – | – | −1.0 | – | – | −83 |
| Totals, Workload and Administrative Adjustments | – | 4.4 | – | – | $281 | – |
| Proposed New Positions: | | | | | | |
| Dep Commissioner | – | – | 5.6 | 6,311–7,626 | – | 424 |
| Parole Agent III | – | – | 2.0 | 5,269–6,405 | – | 126 |
| Assoc Govtl Prog Analyst | – | – | 0.5 | 3,764–4,576 | – | 23 |
| Assoc Pers Analyst | – | – | 0.5 | 3,764–4,576 | – | 23 |
| Pers Svcs Spec | – | – | 0.5 | 2,315–3,351 | – | 14 |
| Ofc Techn | – | – | 4.4 | 2,348–2,855 | – | 137 |
| Investigative Asst | – | – | 1.0 | 2,434–2,766 | – | 29 |
| Totals, Proposed New Positions | – | – | 14.5 | – | – | $776 |
| Total Adjustments | – | 4.4 | 14.5 | – | $284 | $781 |
| TOTALS, SALARIES AND WAGES | 146.4 | 235.3 | 245.4 | $9,729 | $15,665 | $16,618 |

*** Dollars in thousands, except in Salary Range.**

# 5450 YOUTHFUL OFFENDER PAROLE BOARD

The Youthful Offender Parole Board is the paroling authority for young persons committed by the courts to the Department of the Youth Authority. The Board was established in 1941 by the Legislature as the Youth Authority Board. When the Department of the Youth Authority was created in 1942, the Director also served as the Chairman for the Board. The Board separated from the Department of the Youth Authority on January 1, 1980, and was renamed the Youthful Offender Parole Board.

The protection of the public is the primary responsibility of the Youthful Offender Parole Board. All juvenile offenders will eventually return to society. Consequently, it is imperative that their time spent in the Youth Authority prepare them for a productive and crime free life upon release. The treatment, training and education provided is designed to benefit the ward and in so doing, foster the interest of public safety.

The Board is composed of seven members appointed by the Governor and confirmed by the Senate for terms of four years each. One member of the Board is designated as Chairman by the Governor. The powers and duties of the Board, as set forth in Section 1719 of the Welfare and Institutions Code include recommendations for treatment programs for wards committed to the Youth Authority, discharge of commitments, orders to parole and conditions thereof, revocation or suspension of parole, and the return of non-resident persons to the jurisdiction of the state of legal residence.

The case of each ward is heard by the Board immediately after a case study of the ward has been completed. The Board periodically reviews the case of each ward for the purpose of determining whether existing orders and dispositions should be continued or modified. These reviews are made as frequently as the Board considers desirable but at intervals not to exceed one year. During the 1999–00 Fiscal Year the Board conducted 22,454 hearings.

The Board uses a classification system which designates young offenders by categories of offense. These categories guide the Board in setting a parole consideration date, the presumptive period of incarceration after which a person can be released to parole without being a danger to society.

## Major Budget Adjustment Proposed for 2001–02

- A reduction of 1.8 personnel years and $171,000 to reflect reduced hearing workload.

## Authority

Welfare and Institutions Code, Article 2.5 (commencing with Section 1716).

U.S. Supreme Court decisions Morrissey vs. Brewer and Gagnon vs. Scarpelli and California Supreme Court decision In re: Valrie, In re: LaCroix, and Gee vs. Brown which afford due process protection for Youth Authority wards.

### SUMMARY OF PROGRAM REQUIREMENTS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Youthful Offender Parole Board | 31.3 | 35.1 | 33.3 | $3,349 | $3,495 | $3,416 |
| TOTALS, PROGRAMS | 31.3 | 35.1 | 33.3 | $3,349 | $3,495 | $3,416 |
| *0001 General Fund* | | | | *3,349* | *3,495* | *3,416* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 31.3 | 36.0 | 36.0 | $2,227 | $2,693 | $2,748 |
| Total Adjustments | – | – | −2.0 | – | – | −150 |
| Estimated Salary Savings | – | −0.9 | −0.7 | – | −64 | −58 |
| Net Totals, Salaries and Wages | 31.3 | 35.1 | 33.3 | $2,227 | $2,629 | $2,540 |
| Staff Benefits | – | – | – | 291 | 336 | 295 |
| Totals, Personal Services | 31.3 | 35.1 | 33.3 | $2,518 | $2,965 | $2,835 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $831 | $530 | $581 |
| TOTALS, EXPENDITURES | | | | $3,349 | $3,495 | $3,416 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $3,348 | $3,450 | $3,416 |
| Allocation for employee compensation | 165 | 21 | – |
| Adjustment per Section 3.60 | −136 | 24 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $3,378 | $3,495 | $3,416 |
| Unexpended balance, estimated savings | −29 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $3,349 | $3,495 | $3,416 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5450 YOUTHFUL OFFENDER PAROLE BOARD—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 31.3 | 36.0 | 36.0 | $2,227 | $2,693 | $2,748 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Youthful Parole Bd Rep | – | – | −1.0 | – | – | −81 |
| Bd Coordinating Parole Agent, YOPB | – | – | −1.0 | – | – | −69 |
| Total Workload and Administrative Adjustments | – | – | −2.0 | – | – | −$150 |
| Total Adjustments | – | – | −2.0 | – | – | −$150 |
| TOTALS, SALARIES AND WAGES | 31.3 | 36.0 | 34.0 | $2,227 | $2,693 | $2,598 |

# 5460 DEPARTMENT OF THE YOUTH AUTHORITY

The mission of the Youth Authority is to protect the public from criminal activity by providing education, treatment and training services for youthful offenders committed by the courts; assisting local justice agencies with their efforts to control crime and delinquency; and encouraging the development of state and local programs to prevent crime and delinquency. In support of this mission, the Youth Authority has a special focus on the restorative justice principles of integrating the needs of crime victims and communities, and offender accountability and competency development through a wide range of program activities. To address this mission, the Youth Authority is organized into five branches: Institutions and Camps, Parole Services and Community Corrections, Education Services, Office of Prevention and Victim Services, and Administrative Services.

### Authority

Welfare and Institutions Code, Chapter 1 (commencing with Section 1700).

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 20 Institutions and Camps | 3,682.3 | 3,801.7 | 3,764.2 | $293,968 | $303,807 | $315,899 |
| 30 Parole Services and Community Corrections | 332.8 | 288.7 | 319.0 | 48,639 | 56,790 | 61,405 |
| 40 Education Services | 704.7 | 760.9 | 736.2 | 50,081 | 52,935 | 52,089 |
| 50.01 Administration | 276.9 | 315.4 | 306.3 | 21,121 | 26,403 | 29,777 |
| 50.02 Distributed Administration | – | – | – | −19,257 | −24,336 | −27,769 |
| TOTALS, PROGRAMS | 4,996.7 | 5,166.7 | 5,125.7 | $394,552 | $415,599 | $431,401 |
| *0001 General Fund* [1] | | | | *317,505* | *334,383* | *348,068* |
| *0711 1986 County Correctional Facility Capital Expenditure Bond Fund* | | | | – | – | – |
| *0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund* | | | | *1,854* | *441* | *144* |
| *0831 California State Lottery Education Fund—California Youth Authority* | | | | *992* | *799* | *803* |
| *0890 Federal Trust Fund* | | | | *1,522* | *1,469* | *1,472* |
| *0995 Reimbursements* | | | | *72,679* | *78,507* | *80,914* |

[1] Some of the amounts included as General Fund are for the purposes of meeting the minimum funding guarantee for educational programs pursuant to Section 8 of Article XVI of the California Constitution. Specific appropriations are identified in the following RECONCILIATION(S) WITH APPROPRIATIONS and are also summarized in the Budget Summary Schedule 9A, Proposition 98 General Fund Guarantee.

## 20 INSTITUTIONS AND CAMPS

### Program Objectives Statement

The objective of this program is to reduce the incidence and severity of delinquent and criminal behavior of juveniles and youthful offenders under Youth Authority jurisdiction in institutions. This is achieved by:

- Accurately evaluating referrals and commitments.
- Providing appropriate care, custody, treatment, and educational programs consistent with ward needs.

Youth Authority Population Trends and Changing Ward Characteristics:

- Ethnic group composition of wards first committed to the Youth Authority has undergone a significant change in recent years. In 1975, minority group members constituted 59 percent of Youth Authority's commitments. In 1999, 86 percent of the total first commitments were from minority groups.
- The average age of first commitments in 1975 was 18.0 years while in 1999 it was 17.0 years. The average age of those housed in Youth Authority facilities in 1975 was 18.9 and in 1999 was 19.2.
- First commitments to the Youth Authority were 3,404 in 1975. In 1990, there were 3,615 first commitments. First commitments for 1999 were 2,183.
- The length of stay for Youth Authority wards released on parole in 1977 was 10.9 months. This compares to a length of stay of 27.1 months for parole releases in 1999.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

- The number of juvenile court first commitments for homicide and assault decreased by 22 percent from 1990 to 1999. The commitments for these crimes decreased as a proportion of all juvenile court first commitments from about 27 percent in 1990 to 25 percent in 1999.
- Since 1990, commitments for violent crimes increased from 48 percent of first commitments to 51 percent in 1999. As of June 30, 2000, violent offenders comprised 61 percent of the institution population.

### Major Budget Adjustments Proposed for 2001–02

- 3 positions and $252,000 for establishing a Wards Rights Program.
- 6 positions and $1,120,000 to establish a 50-bed sex offender treatment program at the N.A. Chaderjian Youth Correctional Facility.
- 20.5 positions and $2,981,000 to establish a 75-bed mental health program at the Preston Youth Correctional Facility.
- $392,000 to establish a one-time Quality Management Assessment Team to assess the quality of medical, dental, and mental health services provided by the department.
- 1 position and $132,000 to provide more effective oversight to the southern institutions of the branch.
- $240,000 to contract for backup medical services at Preston Youth Correctional Facility, El Paso de Robles Youth Correctional Facility, and Fred C. Nelles Youth Correctional Facility.
- $471,000 to continue the institution-wide 800 megahertz radio upgrades at Ventura Youth Correctional Facility and El Paso de Robles Youth Correctional Facility.
- 7 two-year limited-term positions and $372,000 to provide sufficient custodial staff to ensure that wards assigned to the Special Management Unit have access to required out-of-cell exercise.

## 30 PAROLE SERVICES AND COMMUNITY CORRECTIONS

### Program Objectives Statement

The objectives of the Parole Services and Community Corrections Branch are to:

- Protect the public by preventing further Youth Authority parolee criminal activity.
- Increase parolees' successful community reintegration opportunities after their release to parole.
- Provide effective and equitable parolee control through enforcement of parole conditions, planned and intensive supervision, crisis intervention, supportive services, specialized services, electronic surveillance, intervention and corrective action, temporary detention sanctions, and in lieu of parole revocation, 90-day substance abuse programs.

Other major Parole Services and Community Corrections Branch activities include:

- Provision of information sessions as needed for new Youth Authority commitments and community members.
- Cooperative work with local judiciary systems, probation departments, law enforcement agencies, schools, private agencies, and other government agencies.
- Continuum of care services for high risk offender categories, including sex offenders, parolees with mental health issues, and substance abusers.
- Delinquency prevention and victim services through the Office of Prevention and Victims Services. This office ensures the Department is in compliance with victims rights' statutes including victim notification of parole considerations, escapes, case information and restitution collection. Delinquency prevention efforts of this office include administering a $1 million county male parenting program, overseeing the $25 million Youth Centers and Shelters grant program and supervising Gang Violence Reduction Projects.

### Major Budget Adjustments Proposed for 2001–02

- $1,325,000 to provide a continuum of care for parolees requiring mental health services.
- $1,680,000 to provide sex offender treatment slots for parolees.
- $248,000 for the continuation of tattoo removal programs.

## 40 EDUCATION SERVICES

### Program Objectives Statement

Pursuant to Chapter 280, Statutes of 1996, the Education Services Branch of the Youth Authority operates as a Correctional Education Authority with many of the same functions and delivery mechanisms of a local school district. The objective of Education Services in the Youth Authority is to reduce the numbers of individuals who reoffend by providing basic academic and vocational education and preparing them with acceptable socialization and employment skills, in a school district model that adheres to the same educational standards as public school districts. All individuals must obtain a high school diploma or equivalency in order to be recommended for parole. The focus of this objective is on changing the values that have led to criminal activities, to values that promote acceptable citizenship and pursuit of life-long learning. This is achieved through:

- Middle school through postsecondary academic and vocational education programs;
- Supplementary services which enable individuals with learning handicaps or limited language proficiency to access the core curriculum;
- Individualized learning and outcome-based measurements;
- Integration of character education in academic and vocational courses.

Education programs are provided in camps and parole offices, in addition to the high schools located in each of the Department's institutions. A staff of approximately 900 teachers, aides, specialists, clerical staff, and administrators combine to provide education. Most interaction is provided in typical classroom settings. However, some instruction is delivered in special settings designed to serve individuals in special confinement or programs.

Individual annual goals are established for each high school by a multidisciplinary group of staff who represent education, security, counseling, medical, and support services. An external group conducts an annual assessment to determine the progress made in achieving these short-term goals. Other reviews are conducted to determine and maintain accreditation status. All high schools are accredited or have been accepted as candidates for accreditation.

Last year, 463 students earned diplomas, 496 completed General Education Development (GED) requirements, and 13 earned AA degrees.

### Major Budget Adjustment Proposed for 2001–02

- 5 limited-term positions and $177,000 to provide educational services to wards confined to their rooms or Special Management Units.

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

### 50 ADMINISTRATION

### Program Objectives Statement

The objective of the Administration Program is to provide executive and administrative services to the Department's Institutions and Camps, Parole Services and Community Corrections, and Education Programs. This program consists of the Office of Director, several executive offices, and the Administrative Services Branch.

The executive offices are responsible for policy development and implementation of programs including equal employment opportunity, legal, legislation, program compliance, public affairs, strategic planning, and labor relations.

The Administrative Services Branch is responsible for facilities planning, financial management, information technology, personnel, training, and research. Additionally, the branch provides administrative services to the Youth and Adult Correctional Agency and the Commission on Correctional Peace Officers' Standards and Training.

### Major Budget Adjustments Proposed for 2001–02

- 3 positions and $342,000 to augment the current staffing level of the Internal Affairs Division. The positions will conduct Level I and II investigations that involve the most complex and serious cases.
- $204,000 to comply with AB 75, legislation which requires state agencies to develop, adopt and implement a solid waste reduction program.
- 3 positions and $274,000 to develop a training plan for the four branches of the department.

---

### PROGRAM BUDGET DETAIL

| PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **20 INSTITUTIONS AND CAMPS** | $293,968 | $303,807 | $315,899 |
| State Operations: | | | |
| *0001 General Fund* | *229,857* | *234,123* | *243,976* |
| *0890 Federal Trust Fund* [f] | *1,511* | *1,469* | *1,472* |
| *0995 Reimbursements* | *62,580* | *68,123* | *70,359* |
| Totals, State Operations | $293,948 | $303,715 | $315,807 |
| Local Assistance: | | | |
| *0001 General Fund* | *20* | *92* | *92* |
| Totals, Local Assistance | $20 | $92 | $92 |
| **ELEMENT REQUIREMENTS** | | | |
| 20.10 Case Planning | 31,556 | 32,674 | 33,966 |
| State Operations: | | | |
| *0001 General Fund* | *23,706* | *24,146* | *25,162* |
| *0890 Federal Trust Fund* [f] | *153* | *148* | *149* |
| *0995 Reimbursements* | *7,697* | *8,380* | *8,655* |
| 20.20 Program Operations | 193,093 | 200,344 | 208,198 |
| State Operations: | | | |
| *0001 General Fund* | *138,957* | *141,536* | *147,493* |
| *0890 Federal Trust Fund* [f] | *1,068* | *1,039* | *1,041* |
| *0995 Reimbursements* | *53,068* | *57,769* | *59,664* |
| 20.30 Custody and Surveillance | 46,062 | 46,992 | 48,951 |
| State Operations: | | | |
| *0001 General Fund* | *45,502* | *46,346* | *48,297* |
| *0890 Federal Trust Fund* [f] | *290* | *282* | *282* |
| *0995 Reimbursements* | *250* | *272* | *280* |
| Local Assistance: | | | |
| *0001 General Fund* | *20* | *92* | *92* |
| 20.40 Facilities Safety and Maintenance | 23,257 | 23,798 | 24,784 |
| State Operations: | | | |
| *0001 General Fund* | *21,692* | *22,095* | *23,025* |
| *0995 Reimbursements* | *1,565* | *1,703* | *1,759* |
| **PROGRAM REQUIREMENTS** | | | |
| **30 PAROLE SERVICES AND COMMUNITY CORRECTIONS** | $48,639 | $56,790 | $61,405 |
| State Operations: | | | |
| *0001 General Fund* | *40,859* | *44,567* | *49,271* |
| *0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund* | – | – | – |
| *0890 Federal Trust Fund* | – | – | – |
| *0995 Reimbursements* | *3,510* | *2,665* | *2,873* |
| Totals, State Operations | $44,369 | $47,232 | $52,144 |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | *$2,416* | *$9,117* | *$9,117* |
| *0711 1986 County Correctional Facility Capital Expenditure Bond Fund* | – | – | – |
| *0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund* | *1,854* | *441* | *144* |
| Totals, Local Assistance | $4,270 | $9,558 | $9,261 |
| **ELEMENT REQUIREMENTS** | | | |
| 30.10 Parole Services | 42,781 | 47,827 | 52,228 |
| State Operations: | | | |
| *0001 General Fund* | *38,306* | *42,104* | *46,731* |
| *0890 Federal Trust Fund* [f] | – | – | – |
| *0995 Reimbursements* | *3,118* | *2,236* | *2,236* |
| Local Assistance: | | | |
| *0001 General Fund* | *1,061* | *3,117* | *3,117* |
| *0711 1986 County Correctional Facility Capital Expenditure Bond Fund* | – | – | – |
| *0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund* | *296* | *370* | *144* |
| 30.20 Office of Prevention and Victim Services | 5,858 | 8,963 | 9,177 |
| State Operations: | | | |
| *0001 General Fund* | *2,553* | *2,463* | *2,540* |
| *0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund* | – | – | – |
| *0995 Reimbursements* | *392* | *429* | *637* |
| Local Assistance: | | | |
| *0001 General Fund* | *1,355* | *6,000* | *6,000* |
| *0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund* | *1,558* | *71* | – |
| **PROGRAM REQUIREMENTS** | | | |
| **40 EDUCATION SERVICES** | $50,081 | $52,935 | $52,089 |
| State Operations: | | | |
| *0001 General Fund* | *44,353* | *46,059* | *45,187* |
| *0831 Lottery* | *992* | *799* | *803* |
| *0890 Federal Trust Fund* | *11* | – | – |
| *0995 Reimbursements* | *4,725* | *6,077* | *6,099* |
| Totals, State Operations | $50,081 | $52,935 | $52,089 |
| **ELEMENT REQUIREMENTS** | | | |
| 40.10 Education Services | 50,081 | 52,935 | 52,089 |
| State Operations: | | | |
| *0001 General Fund* | *44,353* | *46,059* | *45,187* |
| *0831 California State Lottery Education Fund* [e] | *992* | *799* | *803* |
| *0890 Federal Trust Fund* [f] | *11* | – | – |
| *0995 Reimbursements* | *4,725* | *6,077* | *6,099* |
| **PROGRAM REQUIREMENTS** | | | |
| **50 ADMINISTRATION** | $21,121 | $26,403 | $29,777 |
| 50.02 Distributed Administration: | | | |
| Amounts Charged to Other Programs: | | | |
| 20 Institutions and Camps | −9,629 | −12,168 | −13,885 |
| 30 Parole Services | −7,703 | −9,734 | −11,108 |
| 40 Education Services | −1,925 | −2,434 | −2,777 |
| Totals, Amounts Charged to Other Programs | −$19,257 | −$24,336 | −$27,770 |
| Nondistributed Administrative Costs: | | | |
| *0995 Reimbursements* | *1,864* | *1,642* | *1,582* |
| *0001 General Fund* | – | *425* | *425* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $390,262 | $405,949 | $422,048 |
| Local Assistance | 4,290 | 9,650 | 9,353 |
| TOTALS, EXPENDITURES | $394,552 | $415,599 | $431,401 |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4,996.7 | 5,421.0 | 5,401.2 | $268,220 | $291,606 | $296,117 |
| Total Adjustments | – | –24.4 | –48.9 | – | –1,143 | –1,102 |
| Estimated Salary Savings | – | –229.9 | –226.6 | – | –14,557 | –14,975 |
| Net Totals, Salaries and Wages | 4,996.7 | 5,166.7 | 5,125.7 | $268,220 | $275,906 | $282,244 |
| Staff Benefits | – | – | – | 51,164 | 55,254 | 55,884 |
| Totals, Personal Services | 4,996.7 | 5,166.7 | 5,125.7 | $319,384 | $331,160 | $338,128 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $68,521 | $71,855 | $81,929 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Board of Control Claims | | | | 1 | 3 | 2 |
| Energy Services Contract | | | | 4 | 31 | 31 |
| Debt Service | | | | – | 337 | 525 |
| Other | | | | 2,352 | 2,563 | 1,433 |
| Totals, Special Items of Expense | | | | $2,357 | $2,934 | $1,991 |
| TOTALS, EXPENDITURES | | | | $390,262 | $405,949 | $422,048 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund, Proposition 98

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 011 Budget Act appropriation | $36,250 | $40,782 | $39,694 |
| Allocation for employee compensation | 3,906 | 31 | – |
| Adjustment per Section 3.60 | –572 | –188 | – |
| Totals Available | $39,584 | $40,625 | $39,964 |
| Unexpended balance, estimated savings | –115 | – | – |
| TOTALS, EXPENDITURES, Proposition 98 Guarantee | $39,469 | $40,625 | $39,694 |

### 0001 General Fund, Non-Proposition 98

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (support) | $265,390 | $280,099 | $297,572 |
| 003 Budget Act appropriation (debt service) | 4 | 525 | 1,568 |
| Allocation for employee compensation | 16,983 | 1,146 | – |
| Allocation for contingencies or emergencies | 5,700 | – | – |
| Adjustment per Section 3.60 | –13,036 | 3,560 | – |
| Adjustment for Section 4.60 (Rental Rate) | – | 6 | – |
| Adjustment per Section 16.00 | 17 | – | – |
| Allocation for Year 2000 per Item 9904-001-0001 | 557 | – | – |
| Transfer to Legislative Claims (9670) | –8 | – | – |
| Prior year balances available: | | | |
| Chapter 842, Statutes of 1998 | 263 | 95 | – |
| Chapter 907, Statutes of 1997 | 58 | 10 | – |
| Chapter 499, Statutes of 1998 | 352 | 344 | 319 |
| Totals Available | $276,280 | $285,785 | $299,459 |
| Balance available in subsequent years | –450 | –319 | –294 |
| Unexpended balance, estimated savings | –230 | –917 | – |
| TOTALS, EXPENDITURES | $275,600 | $284,549 | $299,165 |
| TOTALS, EXPENDITURES, GENERAL FUND | $315,069 | $325,174 | $338,859 |

### 0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $20 | – | – |
| Unexpended balance, estimated savings | –20 | – | – |
| TOTALS, EXPENDITURES | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

### 0831 California State Lottery Education Fund—California Youth Authority [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $910 | $797 | $803 |
| Allocation for employee compensation | 33 | 1 | – |
| Revised expenditure authority per Provision 1 | 79 | – | – |
| Adjustment per Section 3.60 | −22 | 1 | – |
| Totals Available | $1,000 | $799 | $803 |
| Unexpended balance, estimated savings | −8 | – | – |
| TOTALS, EXPENDITURES | $992 | $799 | $803 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,535 | $1,468 | $1,472 |
| Allocation for employee compensation | 19 | 1 | – |
| Adjustment per Section 3.60 | −5 | – | – |
| Budget adjustment | −27 | – | – |
| TOTALS, EXPENDITURES | $1,522 | $1,469 | $1,472 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $72,679 | $78,507 | $80,914 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $390,262 | $405,949 | $422,048 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $4,290 | $9,650 | $9,353 |
| Transportation of wards | (20) | (92) | (92) |
| Assistance to counties for detention of youth authority parolees | (1,061) | (2,827) | (3,117) |
| Young Men as Fathers Parenting/Mentoring Grant Program | (836) | (1,000) | (1,000) |
| County assistance for Youth Centers/Youth Shelters (Ch. 499/98) | (519) | (5,000) | (5,000) |
| County Correctional Facility Construction Disbursements (Ch. 1327/89) | (1,558) | (71) | (–) |
| Sunland Tujunga Youth Center at Crescenta Canada YMCA | (–) | (290) | (–) |
| Youth Centers/Youth Shelters (Ch. 470/90 & 1327/89) | (296) | (370) | (144) |
| TOTALS, EXPENDITURES | $4,290 | $9,650 | $9,353 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $3,919 | $4,209 | $4,209 |
| Prior year balances available: | | | |
| Chapter 499, Statutes of 1998 | 24,625 | 24,106 | 19,106 |
| Totals Available | $28,544 | $28,315 | $23,315 |
| Balance available in subsequent years | −24,106 | −19,106 | −14,106 |
| Unexpended balance, estimated savings | −2,002 | – | – |
| TOTALS, EXPENDITURES | $2,436 | $9,209 | $9,209 |

### 0711 1986 County Correctional Facility Capital Expenditure Bond Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapter 1519, Statutes of 1986, Section 11 (bond proceeds) reverted per Item 5460-495, Statutes of 2000 | $604 | – | – |
| Totals Available | $604 | – | – |
| Unexpended balance, estimated savings | −604 | – | – |
| TOTALS, EXPENDITURES | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

### 0796 1988 County Correctional Facility Capital Expenditure and Youth Facility Bond Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Prior year balances available: | | | |
| Chapter 1327, Statutes of 1989 (bond proceeds) | $1,629 | $71 | – |
| Chapter 470, Statutes of 1990 (bond proceeds) | 809 | 514 | $144 |
| Totals Available | $2,438 | $585 | $144 |
| Balance available in subsequent years | −584 | −144 | – |
| TOTALS, EXPENDITURES | $1,854 | $441 | $144 |
| TOTALS, EXPENDITURES (Local Assistance) | $4,290 | $9,650 | $9,353 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $394,552 | $415,599 | $431,401 |

### FUND CONDITION STATEMENT
### 0831 California State Lottery Education Fund—California Youth Authority [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $485 | $107 | $107 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| Education apportionment | 614 | 799 | 803 |
| Totals, Operating Revenues | $614 | $799 | $803 |
| Totals, Resources | $1,099 | $906 | $910 |
| EXPENDITURES | | | |
| 5460 Department of the Youth Authority (State Operations) | 992 | 799 | 803 |
| FUND BALANCE | $107 | $107 | $107 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 4,996.7 | 5,421.0 | 5,401.2 | $268,220 | $291,606 | $296,117 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Institutions and Camps Branch: | | | | | | |
| Northern Youth Correctional Reception Center and Clinic: | | | | Salary Range | | |
| Temporary Help | – | – | −0.2 | – | – | −7 |
| Southern Youth Correctional Reception Center and Clinic: | | | | | | |
| Temporary Help (Non Prop 98) | – | – | −0.2 | – | – | −7 |
| Pine Grove Youth Correctional Conservation Camp: | | | | | | |
| Overtime | – | – | – | – | −1 | – |
| Fred C. Nelles Youth Correctional Facility: | | | | | | |
| Youth Corr Counselor | – | −1.0 | −5.0 | 2,272–3,570 | −37 | −185 |
| Temporary Help | – | −1.9 | −2.5 | – | −67 | −91 |
| Overtime | – | – | – | – | −37 | −98 |
| Fred C. Nelles High School: | | | | | | |
| Teacher | – | −1.0 | −3.0 | 3,337–5,108 | −46 | −138 |
| Temporary Help | – | −0.7 | −0.3 | – | −31 | −17 |
| O.H. Close Youth Correctional Facility: | | | | | | |
| Temporary Help | – | – | −0.2 | – | – | −7 |
| Overtime | – | – | – | – | −1 | −2 |
| Johanna Boss High School: | | | | | | |
| Temporary Help | – | −0.2 | −0.5 | – | −8 | −21 |
| Karl Holton High School: | | | | | | |
| Temporary Help | – | −0.1 | – | – | −4 | – |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| N. A. Chaderjian Youth Correctional Facility: | | | | Salary Range | | |
| Temporary Help | – | – | –0.2 | – | –$7 | – |
| Overtime | – | – | – | – | –2 | – |
| N.A. Chaderjian High School: | | | | | | |
| Temporary Help | – | – | –0.4 | – | –17 | – |
| Heman G. Stark Youth Correctional Facility: | | | | | | |
| Youth Corr Counselor | – | –3.0 | –14.0 | $2,272–3,570 | –112 | –$521 |
| Parole Agent | – | – | –1.0 | 3,747–4,554 | – | –47 |
| Ofc Asst | – | – | –1.0 | 3,747–4,554 | – | –24 |
| Temporary Help | – | –3.0 | –6.0 | – | –108 | –221 |
| Overtime | – | – | – | – | –76 | –288 |
| Lyle Egan High School: | | | | | | |
| Teacher | – | –3.0 | –10.0 | 3,337–5,108 | –137 | –460 |
| Temporary Help | – | –0.3 | – | – | –17 | –16 |
| El Paso de Robles Youth Correctional Facility: | | | | | | |
| Temporary Help | – | –0.2 | – | – | –7 | – |
| Overtime | – | – | – | – | –1 | – |
| Mary C. Romero High School: | | | | | | |
| Temporary Help | – | –0.3 | – | – | –13 | – |
| Preston Youth Correctional Facility: | | | | | | |
| Treatment Team Supvr | – | – | –1.0 | 4,950–6,015 | – | –66 |
| Parole Agent I | – | – | –1.0 | 3,934–4,554 | – | –52 |
| Ofc Asst-Typing | – | – | –1.0 | 2,029–2,465 | – | –26 |
| Temporary Help | – | –0.2 | – | – | –7 | – |
| Overtime | – | – | – | – | –2 | – |
| J. A. Wieden High School: | | | | | | |
| Temporary Help | – | –0.4 | – | – | –17 | – |
| Ventura Youth Correctional Facility: | | | | | | |
| Temporary Help | – | –0.4 | –0.2 | – | –13 | –7 |
| Overtime | – | – | – | – | –7 | –3 |
| Mary B. Perry High School: | | | | | | |
| Teacher | – | –1.0 | – | 3,337–5,108 | –46 | – |
| Temporary Help | – | –0.3 | –0.6 | – | –14 | –25 |
| Parole Services and Community Corrections Branch: | | | | | | |
| Parole Agent | – | –4.0 | – | 3,747–4,554 | –188 | – |
| Ofc Asst | – | –1.0 | – | 2,029–2,465 | –24 | – |
| Temporary Help | – | –0.8 | –1.1 | – | –31 | –45 |
| Overtime | – | – | – | – | –18 | – |
| Education Services Branch: | | | | | | |
| Teaching Asst | – | –1.0 | –2.0 | 2,057–2,501 | –21 | –49 |
| Temporary Help | – | –1.0 | –1.9 | – | –37 | –68 |
| Administrative Services Branch: | | | | | | |
| Temporary Help | – | – | –1.0 | – | – | –64 |
| Totals, Reductions in Authorized Positions | – | –24.8 | –54.3 | – | –$1,154 | –$2,555 |
| Positions Reclassified: | | | | | | |
| Support: | | | | | | |
| Parole Services and Community Corrections Branch: | | | | | | |
| Office of Prevention and Victim Services: | | | | | | |
| Ofc Techn | – | 2.0 | 2.0 | 2,348–2,855 | 56 | 56 |
| Temporary Help | – | –2.0 | –2.0 | – | –56 | –56 |
| Institutions and Camps Branch: | | | | | | |
| Northern Youth Correctional Reception Center and Clinic: | | | | | | |
| Lieut | – | 1.0 | 1.0 | 4,645–5,646 | 56 | 56 |
| Temporary Help | – | –1.0 | –1.0 | – | –56 | –56 |
| Northern California Youth Correctional Center: | | | | | | |
| Med Techn Asst | – | 1.0 | 1.0 | 3,132–3,289 | 40 | 40 |
| Med Transcriber | – | –0.5 | –0.5 | 2,304–2,801 | –13 | –13 |
| Temporary Help | – | –0.5 | –0.5 | – | –27 | –27 |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Preston Youth Correctional Center: | | | | Salary Range | | |
| Assoc Info Sys Analyst | – | –0.5 | –0.5 | $4,110–4,997 | –$25 | –$25 |
| Ofc Asst | – | 0.5 | 0.5 | 1,908–2,465 | 11 | 11 |
| Temporary Help | – | – | – | – | 14 | 14 |
| Totals, Reclassifications in Authorized Positions | – | – | – | – | – | – |
| Totals, Workload and Administrative Adjustments | – | –24.8 | –54.3 | – | –$1,154 | –$2,555 |
| Reduction per Section 31.50: | | | | | | |
| Administrative Services Branch: | | | | | | |
| Assoc Info Sys Analyst-Spec | – | (1.0) | (1.0) | – | – | – |
| Assoc Programmer Analyst | – | (1.0) | (1.0) | – | – | – |
| Research Analyst II | – | (1.0) | (1.0) | – | – | – |
| Assoc Budget Analyst-SSA | – | (1.0) | (1.0) | – | – | – |
| AGPA/SSA/JSA | – | (1.0) | (1.0) | – | – | – |
| Sgt, YA | – | (1.0) | (1.0) | – | – | – |
| Pers Techn I | – | (1.0) | (1.0) | – | – | – |
| Accountant I-Spec | – | (1.0) | (1.0) | – | – | – |
| Education Services Branch: | | | | | | |
| Teacher | – | (10.0) | (10.0) | – | – | – |
| Institutions and Camps Branch: | | | | | | |
| Ofc Techn-Typing | – | (1.0) | (1.0) | – | – | – |
| Northern Youth Correctional Reception Center and Clinic: | | | | | | |
| Ofc Svcs Supvr I | – | (1.0) | (1.0) | – | – | – |
| Word Proc Techn | – | (1.0) | (1.0) | – | – | – |
| Maint Mech-CF | – | (1.0) | (1.0) | – | – | – |
| Southern Youth Correctional Reception Center and Clinic: | | | | | | |
| Food Svc Worker I | – | (1.0) | (1.0) | – | – | – |
| Painter I-CF | – | (1.0) | (1.0) | – | – | – |
| Janitor | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Typing | – | (1.0) | (1.0) | – | – | – |
| Fred C. Nelles Youth Correctional Facility: | | | | | | |
| Pers Svcs Spec I | – | (1.0) | (1.0) | – | – | – |
| Acctg Techn | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Typing | – | (1.0) | (1.0) | – | – | – |
| Janitor | – | (1.0) | (1.0) | – | – | – |
| Northern California Youth Correctional Center: | | | | | | |
| Cook I-CF | – | (7.0) | (7.0) | – | – | – |
| O. H. Close Youth Correctional Facility: | | | | | | |
| Ofc Techn-Typing | – | (1.0) | (1.0) | – | – | – |
| Karl Holton Youth Correctional Drug and Alcohol Treatment Facility: | | | | | | |
| Ofc Techn-Typing | – | (0.5) | (0.5) | – | – | – |
| N. A. Chaderjian Youth Correctional Facility: | | | | | | |
| Ofc Techn-Typing | – | (1.0) | (1.0) | – | – | – |
| Heman G. Stark Youth Correctional Facility: | | | | | | |
| Accountant I-Supvr | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Typing | – | (2.0) | (2.0) | – | – | – |
| Parole Agent I | – | (1.0) | (1.0) | – | – | – |
| Ofc Techn-Typing | – | (1.0) | (1.0) | – | – | – |
| Word Proc Techn | – | (1.0) | (1.0) | – | – | – |
| El Paso de Robles Youth Correctional Facility: | | | | | | |
| Acctg Techn | – | (1.0) | (1.0) | – | – | – |
| Ofc Asst-Typing | – | (1.0) | (1.0) | – | – | – |
| Ofc Techn-Typing | – | (1.0) | (1.0) | – | – | – |
| Preston Youth Correctional Facility: | | | | | | |
| Parole Agent I | – | (1.0) | (1.0) | – | – | – |
| Ofc Techn-Typing | – | (1.5) | (1.5) | – | – | – |
| Material & Stores Supvr I | – | (1.0) | (1.0) | – | – | – |
| Assoc Info Sys Analyst | – | (0.5) | (0.5) | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Ventura Youth Correctional Facility: | | | | Salary Range | | |
| Parole Agent I | – | (1.0) | (1.0) | – | – | – |
| Parole Agent II | – | (1.0) | (1.0) | – | – | – |
| Trng Ofcr I | – | (1.0) | (1.0) | – | – | – |
| Ofc Techn-Typing | – | (1.0) | (1.0) | – | – | – |
| Parole Services and Community Corrections Branch: | | | | | | |
| Ofc Asst-Typing | – | (6.0) | (6.0) | – | – | – |
| Ofc Techn-Typing | – | (3.0) | (3.0) | – | – | – |
| Secty | – | (1.0) | (1.0) | – | – | – |
| Totals, Reductions per Section 31.50 | – | (66.5) | (66.5) | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Support: | | | | | | |
| Administrative Services Branch: | | | | | | |
| Sgt | – | – | –1.0 | $4,126–5,012 | – | –$50 |
| Temporary Help | – | – | –0.1 | – | – | –3 |
| Parole Services and Community Corrections Branch: | | | | | | |
| Parole Agent I | – | – | –7.0 | 3,747–4,554 | – | –315 |
| Institutions and Camps Branch: | | | | | | |
| Northern Youth Correctional Center and Clinic: | | | | | | |
| Casework Spec | – | – | –1.0 | 4,056 | – | –49 |
| Fred C. Nelles Youth Correctional Facility: | | | | | | |
| Trng Ofcr | – | – | –1.0 | 3,915–4,761 | – | –47 |
| O. H. Close Youth Correctional Facility: | | | | | | |
| Prison Canteen Mgr. | – | – | –1.0 | 3,112–3,739 | – | –37 |
| Karl Holton Youth Correctional Drug and Alcohol Treatment Facility: | | | | | | |
| Prison Canteen Mgr. | – | – | –1.0 | 3,112–3,739 | – | –37 |
| DeWitt Nelson Youth Correctional Facility: | | | | | | |
| Prison Canteen Mgr. | – | – | –1.0 | 3,112–3,739 | – | –37 |
| N. A. Chaderjian Youth Correctional Facility: | | | | | | |
| Prison Canteen Mgr. | – | – | –1.0 | 3,112–3,739 | – | –37 |
| Heman G. Stark Youth Correctional Facility: | | | | | | |
| Ofc Techn | – | – | –1.0 | 2,348–2,855 | – | –28 |
| Ventura Youth Correctional Facility: | | | | | | |
| Warehouse Worker | – | – | –1.0 | 2,784–3,044 | – | –33 |
| Special Projects Activities: | | | | | | |
| Juvenile Accountability Incentive Block Grant (JAIBG): | | | | | | |
| Administrative Services Branch: | | | | | | |
| Parole Agent I-Spec | – | – | –0.2 | 4,978–6,349 | – | –12 |
| Staff Svcs Mgr II | – | – | –1.0 | 4,963–5,987 | – | –60 |
| Treatment Team Supvr | – | – | –0.1 | 4,950–5,453 | – | –6 |
| Sr Instl Designer | – | – | –1.0 | 4,724–5,471 | – | –57 |
| Staff Svcs Mgr I | – | – | –2.0 | 4,520–5,453 | – | –109 |
| Instl Designer | – | – | –4.0 | 4,308–5,228 | – | –207 |
| Instl Sys Engr | – | – | –1.0 | 4,110–4,997 | – | –49 |
| Research Analyst II | – | – | –1.1 | 4,110–4,997 | – | –54 |
| Assoc Info Sys Analyst | – | – | –0.3 | 4,110–4,997 | – | –15 |
| Assoc Govtl Prog Analyst | – | – | –2.2 | 3,915–4,759 | – | –103 |
| Staff Svcs Analyst | – | – | –2.0 | 2,507–3,957 | – | –60 |
| Ofc Techn | – | – | –1.1 | 2,348–2,855 | – | –31 |
| Institutions and Camps Branch: | | | | | | |
| Treatment Team Supvr | – | – | –3.0 | 4,950–5,453 | – | –178 |
| Parole Services and Community Corrections Branch: | | | | | | |
| Parole Agent II-Spec | – | – | –2.0 | 4,987–6,349 | – | –120 |
| Education Services Branch: | | | | | | |
| Supvr of Acad Inst | – | – | –1.0 | 4,622–5,619 | – | –55 |
| Teacher | – | – | –7.0 | 3,337–5,108 | – | –283 |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Foster Grandparents-Action: | | | | | | |
| Northern California Youth Correctional Center: | | | | Salary Range | | |
| Volunteer Svcs Prog Mgr | – | – | –1.0 | $4,208–5,071 | – | –$51 |
| Victims Reparation Project: | | | | | | |
| Parole Services and Community Corrections Branch: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | –1.0 | 3,915–4,759 | – | –47 |
| Staff Svcs Analyst | – | – | –1.0 | 2,507–3,957 | – | –30 |
| Improving America's Schools Act (IASA): | | | | | | |
| Education Services: | | | | | | |
| Research Analyst II | – | – | –1.0 | 4,110–4,997 | – | –49 |
| Jack B. Clarke High School: | | | | | | |
| Ofc Asst-Typing | – | – | –0.5 | 1,908–2,465 | – | –11 |
| Karl Holton High School: | | | | | | |
| Teaching Asst | – | – | –2.0 | 2,057–2,501 | – | –49 |
| Lyle Egan High School: | | | | | | |
| Supvr of Acad Educ | – | – | –1.0 | 4,622–5,619 | – | –55 |
| Teacher | – | – | –1.0 | 3,337–5,108 | – | –40 |
| Marie C. Romero High School: | | | | | | |
| Teaching Asst | – | – | –4.0 | 2,057–2,501 | – | –99 |
| Mary B. Perry High School: | | | | | | |
| Supvr of Acad Educ | – | – | –1.0 | 4,622–5,619 | – | –55 |
| Teaching Asst | – | – | –1.5 | 2,057–2,501 | – | –37 |
| Totals, Reductions per Excess Vacant Position Analysis | – | – | –60.1 | – | – | –$2,595 |
| Proposed New Positions per Excess Vacant Position Analysis: | | | | | | |
| Administrative Services Branch: | | | | | | |
| Overtime | – | – | – | – | – | 53 |
| Parole Services and Community Corrections Branch: | | | | | | |
| Overtime | – | – | – | – | – | 315 |
| Institutions and Camps Branch: | | | | | | |
| Northern Youth Correctional Center and Clinic: | | | | | | |
| Overtime | – | – | – | – | – | 49 |
| Fred C. Nelles Youth Correctional Facility: | | | | | | |
| Overtime | – | – | – | – | – | 47 |
| O. H. Close Youth Correctional Facility: | | | | | | |
| Overtime | – | – | – | – | – | 37 |
| Karl Holton Youth Correctional Drug and Alcohol Treatment Facility: | | | | | | |
| Overtime | – | – | – | – | – | 37 |
| DeWitt Nelson Youth Correctional Facility: | | | | | | |
| Overtime | – | – | – | – | – | 37 |
| N. A. Chaderjian Youth Correctional Facility: | | | | | | |
| Overtime | – | – | – | – | – | 37 |
| Heman G. Stark Youth Correctional Facility: | | | | | | |
| Overtime | – | – | – | – | – | 28 |
| Ventura Youth Correctional Facility: | | | | | | |
| Overtime | – | – | – | – | – | 33 |
| Special Projects Activities: | | | | | | |
| Juvenile Accountability Incentive Block Grant (JAIBG): | | | | | | |
| Administrative Services Branch: | | | | | | |
| Overtime | – | – | – | – | – | 763 |
| Institutions and Camps Branch: | | | | | | |
| Overtime | – | – | – | – | – | 178 |
| Parole Services and Community Corrections Branch: | | | | | | |
| Overtime | – | – | – | – | – | 120 |
| Education Services Branch: | | | | | | |
| Overtime | – | – | – | – | – | 338 |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Foster Grandparents-Action: | | | | | | |
| Northern California Youth Correctional Center: | | | | Salary Range | | |
| Overtime | – | – | – | – | – | $51 |
| Victims Reparation Project: | | | | | | |
| Parole Services and Community Corrections Branch: | | | | | | |
| Overtime | – | – | – | – | – | 77 |
| Improving America's Schools Act (IASA): | | | | | | |
| Education Services: | | | | | | |
| Overtime | – | – | – | – | – | 49 |
| Jack B. Clarke High School: | | | | | | |
| Overtime | – | – | – | – | – | 11 |
| Karl Holton High School: | | | | | | |
| Overtime | – | – | – | – | – | 49 |
| Lyle Egan High School: | | | | | | |
| Overtime | – | – | – | – | – | 95 |
| Marie C. Romero High School: | | | | | | |
| Overtime | – | – | – | – | – | 99 |
| Mary B. Perry High School: | | | | | | |
| Overtime | – | – | – | – | – | 92 |
| Totals, New Positions per Excess Vacant Position Analysis | – | – | – | – | – | $2,595 |
| Proposed New Positions: | | | | | | |
| Support: | | | | | | |
| Executive Branch: | | | | | | |
| Executive Office: | | | | | | |
| Lieut | – | – | 3.0 | $4,645–5,646 | – | 185 |
| Assoc Safety Engr | – | – | 2.0 | 4,415–5,363 | – | 117 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Administrative Services Branch: | | | | | | |
| Trng Ofcr II-Spec | – | – | 3.0 | 4,520–5,453 | – | 179 |
| Pers Svcs Spec II | – | – | 1.0 | 2,978–3,619 | – | 39 |
| Pers Svcs Spec I | – | – | 1.0 | 2,315–2,815 | – | 33 |
| Institutions and Camps Branch: | | | | | | |
| C.E.A. III | – | – | 1.0 | 7,648–8,432 | – | 96 |
| Prog Administrator, CS-Mgrl | – | – | 1.0 | 6,288–6,833 | – | 79 |
| Treatment Team Supvr | – | – | 1.0 | 4,950–6,015 | – | 65 |
| Southern Youth Correctional Reception Center and Clinic: | | | | | | |
| Pers Svcs-Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| Temporary Help | – | 0.4 | – | – | $10 | – |
| Overtime | – | – | – | – | 1 | – |
| Fred C. Nelles Youth Correctional Facility: | | | | | | |
| Youth Corr Ofcr (limited-term for 2 years, eff 7/1/01) [1] | – | – | 3.0 | 2,809–3,249 | – | 112 |
| Pers Svcs Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| Temporary Help | – | – | – | – | – | 8 |
| Overtime | – | – | – | – | – | 11 |
| Fred C. Nelles High School: | | | | | | |
| Teaching Asst (limited-term for 2 years, eff 7/1/01) [1] | – | – | 1.0 | 2,057–2,501 | – | 28 |
| Northern California Youth Correctional Center: | | | | | | |
| Pers Svcs Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| O. H. Close Youth Correctional Facility: | | | | | | |
| Pers Svcs Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| DeWitt Nelson Youth Correctional Facility: | | | | | | |
| Pers Svcs Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| Karl Holton Youth Correctional Drug and Alcohol Treatment Facility: | | | | | | |
| Pers Svcs Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| N. A. Chaderjian Youth Correctional Facility: | | | | | | |
| Prog Administrator | – | – | 1.0 | 5,686–6,911 | – | 75 |
| Supvng Casework Spec | – | – | 1.0 | 5,227–6,349 | – | 69 |
| Psychologist, Clinical | – | – | 1.0 | 4,284–5,623 | – | 67 |
| Casework Spec | – | – | 1.0 | 4,056 | – | 49 |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Youth Corr Counselor | – | – | 1.0 | $3,083–3,570 | – | $41 |
| Youth Corr Ofcr (limited-term for 2 years, effective 7/1/01) [1] | – | – | 2.0 | 2,809–3,249 | – | 74 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Pers Svcs Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| Temporary Help | – | – | – | – | – | 27 |
| Overtime | – | – | – | – | – | 28 |
| N. A. Chaderjian High School: | | | | | | |
| Teaching Asst (limited-term for 2 years, effective 7/1/01) [1] | – | – | 2.0 | 2,057–2,501 | – | 56 |
| Heman G. Stark Youth Correctional Facility: | | | | | | |
| Treatment Team Supvr | – | – | 1.0 | 4,950–6,015 | – | 65 |
| Youth Corr Ofcr (limited-term for 2 years, effective 7/1/01) [1] | – | – | 2.0 | 2,809–3,249 | – | 74 |
| Pers Svcs Spec I | – | – | 1.0 | 2,315–2,815 | – | 33 |
| Temporary Help | – | – | – | – | – | 6 |
| Overtime | – | – | – | – | – | 7 |
| Lyle Egan High School: | | | | | | |
| Teaching Asst (limited-term for 2 years, effective 7/1/01) [1] | – | – | 2.0 | 2,057–2,501 | – | 56 |
| Preston Youth Correctional Facility: | | | | | | |
| Staff Psychiatrist | – | – | 3.0 | 8,122–9,872 | – | 402 |
| Prog Administrator, Corr School-Supvry | – | – | 1.0 | 5,686–6,911 | – | 76 |
| Supvng Casework Spec I | – | – | 3.0 | 5,227–6,349 | – | 207 |
| Sr Psychologist-Clinical | – | – | 1.5 | 4,703–6,174 | – | 133 |
| Sr Youth Corr Counselor | – | – | 1.0 | 4,320–5,253 | – | 57 |
| Staff Psychologist-Clinical | – | – | 3.0 | 4,284–5,623 | – | 180 |
| Casework Spec-YA | – | – | 3.0 | 4,056 | – | 146 |
| Youth Corr Counselor | – | – | 4.0 | 3,083–3,570 | – | 164 |
| Youth Corr Ofcr | – | – | 1.0 | 2,809–3,249 | – | 38 |
| Ofc Techn | – | – | 2.0 | 2,348–2,855 | – | 62 |
| Pers Svcs Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| Temporary Help | – | – | 1.0 | – | – | 70 |
| Overtime | – | – | – | – | – | 67 |
| El Paso de Robles Youth Correctional Facility: | | | | | | |
| Pers Svcs Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| Ventura Youth Correctional Facility: | | | | | | |
| Pers Svcs Spec I | – | – | 0.5 | 2,315–2,815 | – | 15 |
| Special Projects Activities: | | | | | | |
| Direct Services Enhancement Project: | | | | | | |
| Office of Prevention and Victim Services: | | | | | | |
| Parole Agent II-Spec | – | – | 1.0 | 5,227–6,349 | – | 75 |
| Staff Svcs Analyst | – | – | 1.0 | 2,507–3,049 | – | 36 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 32 |
| Totals, Proposed New Positions | – | 0.4 | 65.5 | – | $11 | $3,657 |
| Total Adjustments | – | –24.4 | –48.9 | – | –$1,143 | $1,102 |
| TOTALS, SALARIES AND WAGES | 4,996.7 | 5,396.6 | 5,352.3 | $268,220 | $290,463 | $297,219 |

[1] Positions limited-term for 2 years, effective 7/1/01.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

The 2001–02 Budget includes funding for major capital outlay improvements at Fred C. Nelles Youth Correctional Facility, N.A. Chaderjian Youth Correctional Facility, El Paso de Robles Youth Correctional Facility, Southern Youth Correctional Reception Center-Clinic, Northern California Youth Correctional Center, and minor capital outlay projects at various institutions statewide.

## 60 CAPITAL OUTLAY

## PROGRAM ELEMENTS

### Major Projects

| | | | |
|---|---|---|---|
| 60.01 STATEWIDE | | | |
| 60.01.005 Arch Road Interchange | $180 Cn | – | – |
| 60.01.035 Statewide: Budget Packages and Advanced Planning | 250 Pg | $250 Pg | $250 Pg |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 60.02 PRESTON YOUTH CORRECTIONAL FACILITY | | | |
| 60.02.075 Water Line Replacement | $124 APWg | $1,683 Cg | – |
| 60.02.085 Personal Alarms | 1,811 Cg | – | – |
| 60.02.090 Remodel Visiting Hall | 112 PWg | 764 Cg | – |
| 60.02.100 New Central Kitchen | – | – | $765 PWg |
| 60.04 NORTHERN YOUTH CORRECTIONAL RECEPTION CENTER/CLINIC | | | |
| 60.04.025 Personal Alarms | 1,059 Cg | – | – |
| 60.26 NORTHERN CALIFORNIA YOUTH CENTER | | | |
| 60.26.050 DeWitt Nelson YCF-Visitor's Security Entrance/Hall | 2,330 Cb | – | – |
| 60.26.080 NCYCC-Correctional Treatment Center | – | 219 Pg | 4,088 WCg |
| 60.26.085 DeWitt Nelson YCF-Personal Alarms | 1,814 Cg | – | – |
| 60.26.090 O. H. Close YCF-Personal Alarms | 946 Cg | – | – |
| 60.26.095 Karl Holton YCF-Personal Alarms | 947 Cg | – | – |
| 60.26.135 N. A. Chaderjian-Personal Alarms | – | 160 PWg | 1,226 Cg |
| 60.26.140 N. A. Chaderjian-50 Bed Specialized Counseling Program | – | – | 682 PWg |
| 60.26.145 Central Kitchen Floor Renovation & Blast Chillers | – | – | 124 PWg |
| 60.52 EL PASO DE ROBLES YOUTH CORRECTIONAL FACILITY | | | |
| 60.52.075 New Infirmary | 213 Cb | – | – |
| 60.52.100 Personal Alarms | 1,672 Cg | – | – |
| 60.52.105 Special Education Classrooms | 219 PWg | 1,893 Cg | – |
| 60.52.110 Air Conditioning-Education | – | 177 PWg | 1,302 Cg |
| 60.54 FRED C. NELLES YOUTH CORRECTIONAL FACILITY | | | |
| 60.54.050 Maintenance Building | 13 Cb | – | – |
| 60.54.080 Visitor's Security Entrance/Hall | – | 1,651 Cg | – |
| 60.54.090 Sewer Line Replacement | – | 822 Cg | – |
| 60.54.105 Personal Alarms | 1,677 Cg | – | – |
| 60.54.110 Renovate AC/Taft | 128 Pg | 2,903 WCg | – |
| 60.54.115 Construct New Kitchen | – | 374 Pg | 6,886 WCg |
| 60.56 SOUTHERN YOUTH CORRECTIONAL RECEPTION CENTER/CLINIC | | | |
| 60.56.030 Visiting Facility | 1,184 Cb | – | – |
| 60.56.035 50-Bed Intensive Treatment Living Unit | 256 PWCg | – | – |
| 60.56.035 50-Bed Intensive Treatment Living Unit | 4,197 PWCf | – | – |
| 60.56.125 SCP Beds | – | 368 PWg | 3,238 Cg |
| 60.58 VENTURA YOUTH CORRECTIONAL FACILITY | | | |
| 60.58.070 Special Education Assessment Center | – | 1,032 Cg | – |
| 60.58.080 Visitor's Security Entrance/Visiting Hall | 2,404 Cb | – | – |
| 60.58.085 Correctional Treatment Center | – | 1,894 WCg | – |
| 60.58.090 Personal Alarms | 1,560 Cg | – | – |
| 60.67 HEMAN G. STARK YOUTH CORRECTIONAL FACILITY | | | |
| 60.67.105 Modify Ward Room Windows | 141 Pg | 3,151 WCg | – |
| 60.67.110 Correctional Treatment Center | 2,161 Cb | 222 Cb | – |
| 60.67.135 Personal Alarms | 1,784 Cg | – | – |
| 60.67.140 Fire Alarm System-Education | 217 PWg | 1,916 Cg | – |
| 60.67.145 Security Lighting Ward Room | 124 PWg | 1,335 Cg | – |
| Totals, Major Projects | $27,523 | $20,814 | $18,561 |
| **Minor Capital Outlay Program** | | | |
| 60.90.010 Minor Projects | $3,500 Cg | $3,844 Cg | $4,085 Cg |
| Totals, Minor Projects | $3,500 | $3,844 | $4,085 |
| TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY | $31,023 | $24,658 | $22,646 |
| *0001 General Fund* [g] | *18,341* | *24,436* | *22,646* |
| *0660 Public Building Construction Fund* [b] | *8,305* | *222* | – |
| *0746 1986 Prison Construction Fund* [n] | *180* | – | – |
| *0890 Federal Trust Fund* [f] | *4,197* | – | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund [g]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $18,127 | $25,066 | $22,646 |
| Prior year balances available: | | | |
| Item 5460-302-0001, Budget Act of 1997 | 230 | – | – |
| Item 5460-301-0001, Budget Act of 1998 as reappropriated by Item 5460-490, Budget Acts of 1999 and 2000 | 240 | 116 | – |

*** Dollars in thousands, except in Salary Range.**

## 5460 DEPARTMENT OF THE YOUTH AUTHORITY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| Item 5460-301-0001, Budget Act of 1999 as reappropriated by Item 5460-490, Budget Act of 2000 | – | $166 | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | $26 | –912 | – |
| Totals Available | $18,623 | $24,436 | $22,646 |
| Balance available in subsequent years | –282 | – | – |
| TOTALS, EXPENDITURES | $18,341 | $24,436 | $22,646 |
| **0660 Public Building Construction Fund [b]** | | | |
| 301 Budget Act appropriation | $8,577 | – | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | 183 | – | – |
| Prior year balances available: | | | |
| Item 5460-301-0660, Budget Act of 1997 | 226 | – | – |
| Item 5460-301-0660, Budget Act of 1999 | – | $681 | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | – | –459 | – |
| Totals Available | $8,986 | – | – |
| Balance available in subsequent years | –681 | – | – |
| TOTALS, EXPENDITURES | $8,305 | $222 | – |
| **0746 1986 Prison Construction Fund [n]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Chapter 1416, Statutes of 1987 (expenditures) | $180 | – | – |
| **0890 Federal Trust Fund [f]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 5460-301-0890, Budget Act of 1997 | $3,961 | – | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | 236 | – | – |
| TOTALS, EXPENDITURES | $4,197 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $31,023 | $24,658 | $22,646 |

The following footnotes may differ from the standard statewide footnotes due to the variety of General Obligation bond and other fund sources for the Department of the Youth Authority budget. These footnotes apply only to this capital outlay budget:

[g] General Fund.
[b] Public Building Construction Fund.
[n] 1986 Prison Construction Fund.
[f] Federal Trust Fund.

# 5480 COMMISSION ON CORRECTIONAL PEACE OFFICERS' STANDARDS AND TRAINING

The Department of Corrections—Department of the Youth Authority Joint Apprenticeship Committee was established with the enactment of Chapter 853, Statutes of 1987 to oversee California's correctional peace officer apprenticeship program and to monitor selection and training standards for correctional peace officers within the Department of Corrections and the Department of the Youth Authority. The Committee was renamed the California Commission on Correctional Peace Officers' Standards and Training effective January 1, 1995 with the enactment of Chapter 826, Statutes of 1994. Chapter 762, Statutes of 1998 further defined the responsibilities of the Commission to include development, approval and monitoring of training standards in the Department of Corrections and the Department of the Youth Authority training programs.

The objective of the Commission is to enhance the training and professionalism of California's state correctional peace officers through the development of sound selection practices and effective, competency based training programs. Recognizing that selection and training are the cornerstones of an effective and safe correctional program, the Commission is committed to ensuring sound selection practices, training programs, and the exploration and use of new and appropriate technologies that encourage integrity, accountability, and cooperation.

The Commission is composed of six Commissioners serving four-year terms. Two Commissioners are appointed by, and represent, the management of the Department of Corrections, and one Commissioner is appointed by, and represents, the management of the Department of the Youth Authority. Three Commissioners are appointed by the Governor upon recommendation by, and representing the membership of, the California Correctional Peace Officers' Association.

### Major Budget Adjustment Proposed for 2001–02

- $142,000 to fund increased lease costs.

### Authority

California Penal Code, Part 4, Title 4.5 (commencing with Section 13600).

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 5480 COMMISSION ON CORRECTIONAL PEACE OFFICERS' STANDARDS AND TRAINING—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Commission on Correctional Peace Officers' Standards and Training .. | – | 19.9 | 19.8 | – | $2,309 | $2,361 |
| TOTALS, PROGRAMS | – | 19.9 | 19.8 | – | $2,309 | $2,361 |
| *0001 General Fund* | | | | – | *2,309* | *2,361* |

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 COMMISSION ON CORRECTIONAL PEACE OFFICERS' STANDARDS AND TRAINING

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | – | *$2,309* | *$2,361* |
| Totals, State Operations | – | $2,309 | $2,361 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals. Sch. 7A) | – | 20.0 | 20.0 | – | $949 | $1,003 |
| Estimated Salary Savings | – | –0.1 | –0.2 | – | –24 | –50 |
| Net Totals, Salaries and Wages | – | 19.9 | 19.8 | – | $925 | $953 |
| Staff Benefits | – | – | – | – | 89 | 89 |
| Totals, Personal Services | – | 19.9 | 19.8 | – | $1,014 | $1,042 |
| OPERATING EXPENSES AND EQUIPMENT | | | | – | $1,295 | $1,319 |
| TOTALS, EXPENDITURES | | | | – | $2,309 | $2,361 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | $2,307 | $2,361 |
| Allocation for employee compensation | – | 2 | – |
| TOTALS, EXPENDITURES | – | $2,309 | $2,361 |

*** Dollars in thousands, except in Salary Range.**

# TABLE OF CONTENTS

## EDUCATION


Department of Education ..... E 1
California State Library ..... E 23
California State Summer School for the Arts ..... E 28
State Contributions to State Teachers' Retirement System ..... E 29
California Occupational Information Coordinating Committee ..... E 30
School Facilities Aid Program ..... E 31
Commission on Teacher Credentialing ..... E 34
California Postsecondary Education Commission ..... E 39
University of California ..... E 42
Hastings College of the Law ..... E 60
California State University ..... E 64
Board of Governors of the California Community Colleges ..... E 77
California Student Aid Commission ..... E 94

# 6110 DEPARTMENT OF EDUCATION

California's public education system is administered at the state level by the Department of Education, under the direction of the State Board of Education and the Superintendent of Public Instruction, for the education of approximately 6.0 million students from infants to adulthood. In 2000–01, $50.3 billion will be spent from state, federal, and local property tax revenues for the State's public school pupils. In 2001–02, those expenditures are expected to increase to $53.3 billion from such sources. These expenditures include teacher retirement costs, capital outlay, local miscellaneous and debt service funds, and bond interest and redemption shown in other parts of the Governor's Budget for an overall increase of $3.0 billion between 2000–01 and 2001–02. The state administration aspects of the program are managed through nine branches of the department: the Executive Branch; the External Affairs Branch; the Finance, Technology, and Information Services Branch; the Finance, Technology, and Administrative Branch; the Curriculum and Instructional Leadership Branch; the Education Equity, Access and Support Branch; the Child, Youth, and Family Services Branch; the Legal and Audits Branch; and the Accountability Branch.

The functions of the state staff in administering the programs described in this budget extend across six principal areas which include:

- Policy development and coordination—promulgating regulations, implementing statutes, interpreting legislative intent, developing program goals, and coordinating with other agencies within the executive and legislative branches.
- Curriculum and management assistance—assisting local educational agencies through the dissemination of curricular and fiscal information, conducting workshops and providing in-service training, and performing other leadership functions.
- Nutrition and distribution of USDA surplus donated food—assisting participating agencies in providing nutritious meals and nutrition education to children and adults.
- Program and plan review—assessing the quality of operating educational programs and ensuring that the programs adhere to implementation requirements.
- Regulatory action—resolving compliance issues identified through the program and plan review functions.
- Consumer protection—following up on and resolving parent, student, or community group complaints unresolved by local agencies.

The primary goal of the Superintendent and the department is to provide education policy direction to local school districts, and to work with the educational community to improve academic performance. Major objectives of the department include working to: (a) provide sufficient time for learning to occur and to ensure that available time is used well; (b) upgrade both the quality and quantity of the content of schooling; (c) upgrade the quality of the teaching force through recruitment, preservice, and in-service training; (d) provide high quality instructional leadership for districts and schools; and (e) promote safe and orderly learning environments for our schools.

| SUMMARY OF PROGRAM REQUIREMENTS | | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|---|
| 10 | Instruction | 1,176.6 | 1,303.9 | 1,302.7 | $32,921,020 | $36,888,186 | $39,557,105 |
| 20 | Instructional Support | 366.6 | 478.8 | 473.6 | 3,017,648 | 3,720,694 | 3,622,394 |
| 30 | Special Programs | 346.4 | 362.7 | 358.9 | 2,991,791 | 3,628,772 | 3,679,261 |
| 41 | Executive Management and Special Services | 52.0 | 46.0 | 46.0 | 11,966 | 13,034 | 13,163 |
| 41.01 | State Board of Education | 7.0 | 9.2 | 9.2 | 1,261 | 4,410 | 1,411 |
| 42 | Department Management and Administrative Services | 338.0 | 290.2 | 290.1 | 23,658 | 28,041 | 28,313 |
| | Distributed Department Management and Administrative Services | – | – | – | −23,658 | −28,041 | −28,170 |
| 98 | State-Mandated Local Programs | – | – | – | 152,334 | 159,983 | 461,003 |
| 500000 | Unscheduled | – | – | – | 1,869,099 | 235,679 | 144,607 |
| Expiring Limited-Term Positions | | – | – | −8.0 | – | – | – |
| Total Eliminated Positions | | – | – | −43.7 | – | – | – |
| TOTALS, PROGRAMS | | 2,286.6 | 2,490.8 | 2,428.8 | $40,965,119 | $44,650,758 | $47,479,087 |
| *0001* | *General Fund* [1] | | | | *25,516,693* | *28,081,616* | *30,246,807* |
| *0087* | *School Safety Account* | | | | *154* | *–* | *–* |
| *0119* | *1998 State School Facilities Fund* | | | | *402,231* | *245* | *1,872* |
| *0140* | *California Environmental License Plate Fund* | | | | *797* | *800* | *800* |
| *0178* | *Driver Training Penalty Assessment Fund* | | | | *1,041* | *1,064* | *1,073* |
| *0231* | *Health Education Account, Cigarette and Tobacco Products Surtax Fund* | | | | *27,722* | *28,039* | *28,042* |
| *0342* | *State School Fund* | | | | *8,401* | *8,401* | *8,401* |
| *0344* | *State School Building Lease-Purchase Fund* | | | | *1,523* | *1,567* | *–* |
| *0349* | *Educational Telecommunication Fund* | | | | *−500* | *–* | *–* |
| *0606* | *Charter Schools Revolving Loan Fund* | | | | *5,170* | *575* | *–* |
| *0620* | *Child Care Facilities Revolving Fund* | | | | *−46,231* | *66,034* | *8,040* |
| *0687* | *Donated Food Revolving Fund* | | | | *4,936* | *5,209* | *5,233* |
| *0814* | *California State Lottery Education Fund* | | | | *769,536* | *826,587* | *826,587* |
| *0890* | *Federal Trust Fund* | | | | *4,114,972* | *4,662,553* | *4,523,533* |
| *0942* | *Special Deposit Fund* | | | | *2,244* | *3,116* | *2,312* |
| *0975* | *California Public School Library Protection Fund* | | | | *360* | *360* | *360* |
| *0986* | *Local Property Tax Revenue* | | | | *10,100,368* | *10,897,904* | *11,759,147* |
| *0995* | *Reimbursements* | | | | *55,702* | *66,688* | *66,880* |

[1] Some of the amounts included as General Fund are for the purposes of meeting the minimum funding guarantee for educational programs pursuant to Section 8 of Article XVI of the California Constitution. Specific appropriations are identified in the Reconciliation(s) With Appropriations.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

## 10 INSTRUCTION

### Program Objectives Statement

This program provides direct educational services to children and adults in the State's public elementary and secondary school system. The following elements are included in this program:

10.10—School Apportionments: School apportionments supplement local resources to fund general education programs.

10.25—Class Size Reduction and Language Arts Enrichment: This element provides incentive funding for school districts to implement class size reduction programs in grades K–3 and 9, and for language arts enrichment in grades 1–3.

10.30—Other Compensatory Programs: Components within this element are Migrant Education, Demonstration Programs in Intensive Instruction, California Indian Education Centers, Education for Homeless Children, Federal ESEA Title I, and Economic Impact Aid.

10.40—Special Bilingual Programs: The needs of limited-English-proficient students are addressed through direct local assistance to school districts and indirectly through state administration of curriculum, management, and policy-oriented activities.

10.50—Adult Education: Adults served by public high school and unified districts receive citizenship training and education to improve literacy skills, employability, and parenting abilities. Adult education programs also meet the special needs of the disabled, older persons and non- and limited-English speaking adults.

10.60—Special Education Programs for Exceptional Children: Under federal and state law, individuals with exceptional needs are entitled to a free, appropriate public education. Students requiring special education are served either through local education agencies or by the State Special Schools operated by the Department of Education (three centers for diagnostic services, two residential schools for the deaf and one residential school for the blind). The Special Schools provide highly specialized services including educational assessments and individual educational recommendations and a comprehensive residential and nonresidential educational program composed of academic, nonacademic and extracurricular activities. Other specific Special Education programs include the Clearinghouse for Specialized Media Technology, California State Deaf/Blind Services, the State Administrative component, and distribution of Local Assistance funds, including General Fund for the Master Plan for Special Education and Federal funds from the Federal Individuals with Disabilities Education Act (20 USC 1400 et seq.).

10.70—Vocational education offers a sequence of courses that provide the academic knowledge and skills needed to prepare for further education and careers in current or emerging employment sectors. Programs include School-to-Career, Partnership Academies, Agricultural Education, and Carl D. Perkins Vocational and Applied Technology Education.

10.80—Special Instructional Programs: Includes Gifted and Talented Education, Driver Training, and University and College Opportunity programs.

10.90—Elementary and Secondary Education Act Title VI. Funds are used to support and encourage school reform and educational innovation in areas such as the use of technology; the acquisition and use of instructional materials; and for programs that include promising educational reform practices, improve the higher order thinking skills of disadvantaged youth, combat illiteracy, and meet the educational needs of gifted and talented children.

### Major Budget Adjustments Included in 2000–01

GENERAL FUND

- $13.6 million in one-time funds from the Proposition 98 Reversion Account to fund the 2000–01 deficit in Special Education due to current year ADA increases.

OTHER FUNDS

- $19.9 million in additional federal funds for Title I-ESEA.
- $51,000 in federal funds to support oversight of a contract for the study of non-public schools and Licensed Children's Institutions providing special education services.

### Major Budget Adjustments Proposed for 2001–02

GOVERNOR'S INITIATIVES

- $100 million Proposition 98 General Fund to fund a longer year incentive program for middle schools.

GENERAL FUND

- $1.04 billion Proposition 98 for a cost-of-living (COLA) adjustment for apportionments ($1.02 billion for school districts and $20.4 million for county offices of education).
- $323.6 million Proposition 98 for statutory growth funding for apportionments ($305.8 million for school districts and $17.8 for county offices of education).
- $210.9 million to fund growth ($66.7 million) and COLA ($144.2 million) adjustments for categorical programs, adult education, ROC/Ps, instructional materials, and various other educational programs.
- $125 million Proposition 98 to fund the settlement agreement in the Special Education Mandate Claim pending legislation.
- $126.5 million Proposition 98 to provide a COLA for Special Education.
- $19.5 million Proposition 98 for Special Education including $41.5 million for program growth, offset by $22 million to reflect an increase in property tax revenues.
- $16.4 million Proposition 98 to fund a COLA for Supplemental Instruction.
- $13.6 million Proposition 98 for Special Education based on current year ADA increases.
- $10.5 million Proposition 98 to fund a COLA ($14.7 million) for the K–3 Class Size Reduction program which is partially offset by negative growth (–$4.2 million).
- $10.0 million in one-time funds from the Proposition 98 Reversion Account for Regional Occupational Centers and Program equipment.
- $8.8 million Proposition 98 General Fund for deferred maintenance to fully fund the one-half of one percent state match.
- $8.3 million Proposition 98 to fund growth ($4.1 million) and COLA ($4.2 million) for the 9th Grade Class Size Reduction Program.
- $4.2 million in Proposition 98 General Fund for FCMAT technical assistance and administration of the California School Information System.
- $3.4 million Proposition 98 to fund a COLA for the K–4 Intensive Reading Program.
- $1.9 million Proposition 98 General Fund to expand Apprenticeships by an additional 400,000 hours.
- $2.4 million Proposition 98 General Fund for statutory allotment modifications as Partnership Academies progress from planning to implementation stages of development.
- $1 million Proposition 98 General Fund for FCMAT to review the personnel practices and hiring processes of school districts with low-performing schools.
- $841,000 Proposition 98 to provide a COLA for Grade 7–8 Math Academies.

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

- $404,000 ($338,000 Proposition 98) for the School for the Deaf, Fremont including:
  - $178,000 to support two reading specialists to improve reading assessments and instruction for pupils with exceptional reading delays,
  - $89,000 to support an adaptive physical education position to provide assessment and adaptive physical education services to pupils,
  - $71,000 to support a visual and performing arts teacher to provide necessary instruction to meet current high school graduation requirements,
  - $49,000 to support a personnel services specialist due to workload increases, and
  - $17,000 to provide additional janitorial and operational support for the new Health Services Building.
- $286,000 for the School for the Deaf, Riverside including:
  - $163,000 to support three sign language interpreters to facilitate adequate communication between parents and school staff,
  - $89,000 to support a social worker to address the case management needs of students where information and provision of services must be provided and coordinated between the school and outside agencies, and
  - $34,000 to support a half-time Registered Nurse to provide adequate health related services to pupils.
- $138,000 for the School for the Blind, Fremont to support three office technician positions to meet the clerical needs of the school.
- $85,000 General Fund to fill one vacant position for Partnership Academies to address workload associated with administering the program.
- $13.4 million Proposition 98 to fund the first year of the Kindergarten Readiness Pilot Program pursuant to Chapter 1022, Statutes of 2000 (AB 25).
- $1.2 million Proposition 98 for a cost-of-living (COLA) adjustment for Continuation High Schools.
- $1 million one-time Proposition 98 Reversion Account to support FCMAT's intervention in the Emery Unified School District.
- $1.0 million from the Proposition 98 Reversion Account to fund the Alvord Primary Education Center.
- $900,000 Proposition 98 augmentation to FCMAT's county office of education basic assistance budget.
- $800,000 Proposition 98 to the West Contra Costa Unified School District to reimburse the district for its exclusion from state school facilities funding in prior years pursuant to Chapter 578, Statutes of 2000.
- $500,000 to fund an evaluation of the Kindergarten Readiness Pilot Program.
- $200,000 one-time Proposition 98 Reversion Account augmentation to support FCMAT's management's assistance in the West Contra Costa Unified School District.
- $38,000 Proposition 98 augmentation to FCMAT for the management of the Ed-Data website.
- $333,000 to fund the second year of the Legislative Analyst's Office charter school evaluation pursuant to Chapter 673, Statutes of 1998.
- $190,000 and two positions for the Charter Schools Unit for the purposes of supporting increased workload resulting from the growth in charter schools; for reviewing petitions submitted directly to the SBE; and to establish procedures for oversight of SBE-approved charters.
- $150,000 to fund an evaluation of current procedures for the Categorical Apportionment Systems to improve efficiency, and identify opportunities for technology solutions for future consideration.
- $94,000 and one two-year limited term position for the Fiscal Accountability and Information Services subdivision in order to implement GASB 34 revisions.
- $90,000 and one position to the Fiscal Policy Unit for the priority purpose of improving the accuracy and timing of local Gann Limit reporting, as well as increasing responses to mandates that impact K–12 finance, and legislative fiscal analyses.

OTHER FUNDS

- $103.5 million in federal funds for Special Education.
- $14 million in federal funds to expand the Workability I program.
- $12.7 million to fully fund equalization and the Low Incidence Disability adjustment for Special Education.
- $852,000 in federal funds to cover increased contract costs for the provision of due process services for Special Education.
- $234,000 in federal funds to enhance access and distribution of instructional materials for pupils with exceptional needs.
- $51,000 in federal funds to support oversight of a contract for the study of non-public schools and Licensed Children's Institutions providing special education services.
- $20,000 in federal funds to support a half-time office assistant position in the Special Education Division.

### Authority

10.10—Education Code—Article 3 (commencing with Section 2550) of Chapter 12 of Part 2, Article 8 (commencing with Section 8152) of Chapter 1 of Part 6, Article 10 (commencing with Section 41850) of Chapter 5 of Part 24, Article 2 (commencing with Section 42238), Article 4 (commencing with Section 42280) and Article 4.5 (commencing with Section 42290) of Chapter 7 of Part 24, Section 45023.4, Article 8 (commencing with Section 46200) of Chapter 2 of Part 26, Part 26.8 (commencing with Section 47600), Article 3 (commencing with Section 48660) of Chapter 4 of Part 27, Article 11 (commencing with Section 49550) of Chapter 9 of Part 27, Article 1 (commencing with Section 52300) of Chapter 9 of Part 28 and Chapter 1131, Statutes of 1985, Article 1 (commencing with Section 14000) of Chapter 1 of Part 9, Chapter 2 (commencing with Section 41200) of Part 24, and Article 9 (commencing with Section 54760) of Chapter 9 of Part 29.

10.25—Chapter 6.8 (commencing with Section 52080) and Chapter 6.9 (commencing with Section 52100) of Part 28 of the Education Code.

10.30—PL 89-10 (1965), as amended by PL 89-750 (1966), PL 95-561 (1978), PL 103-382 (1994); PL 97-35 as amended by PL 98-211 as amended by PL 100-297; Education Code, Sections 62000.1 to 62000.5 inclusive. Title V, PL 88-452, as amended by PL 93-644. PL 95-561 (ESEA, Title II). Education Code Sections 54020–54041. Education Code Sections 41601, 41602, 51769, 58600–58605. Article 6 (commencing with Section 33280) of Chapter 3 of Part 20 and Chapter 6.5 (commencing with Section 52060) of Part 28 of the Education Code. PL 98-151. Article 9 (commencing with Section 54760) of Chapter 9 of Part 29 of the Education Code.

10.40—ESEA Title VII; Title IV, Civil Rights Act of 1965; Education Code Sections 52161–52178.5, 54000–54002, and 56001; Emergency Immigrant Education Assistance Program (PL 98-151); Article 9 (commencing with Section 54760) of Chapter 9 of Part 29 of the Education Code.

10.50—PL 91-230; AB 8/1979. Education Code, Division 4, Part 28, Chapter 10 (commencing with Section 52500).

10.60—PL 94-142, PL 99-457; Education Code, Part 30 (commencing with Section 56000), Part 32 (commencing with Section 59000), and Section 60313.

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

10.70—Education Code, Sections 8000–8156 and Division 4, Part 28, Chapter 9 (commencing with section 52300). Job Training Partnership Act of 1982 (PL 97-300) as amended by the Job Training Reform Amendment of 1992 (PL 102-367). Carl D. Perkins Vocational and Applied Technology Education Act of 1990 (PL 101-392). Article 9 (commencing with Section 54760) of Chapter 9 of Part 29 of the Education Code.

10.80—Education Code Sections 52200–52208; Article 12, Sections 35210, 35211, 35229, 41304–41308, 45053, 51850–51853 and Article 9 (commencing with Section 54760) of Chapter 9 of Part 29.

### TABLE 1

| Revenue Limit Apportionments | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| District Revenue Limit [1] | $22,391,683 | $25,270,626 | $26,647,302 |
| Less Local Revenue [2] | 9,572,551 | 10,316,432 | 11,154,416 |
| Total District Revenue Limit State Share [3] | $12,819,132 | $14,954,194 | $15,492,886 |
| County Office of Education Revenue Limit | 436,257 | 490,695 | 529,728 |
| Less Local Revenue [2] | 282,633 | 304,542 | 328,774 |
| Total County Office Revenue Limit State Share | $153,624 | $186,153 | $200,954 |
| TOTAL K–12 REVENUE LIMIT—STATE SHARE | $12,972,756 | $15,140,347 | $15,693,840 |

[1] K–12 District Revenue Limit includes funding for general purposes, meals for needy pupils, continuation schools, and necessary small schools.
[2] Local Revenue is composed of local property tax collections, state subventions for homeowners' exemptions, timber tax collections, "miscellaneous income," and federal oil and mineral revenues. Local revenue excludes the share of property taxes allocated to county office special education program.
[3] K–12 District Revenue Limit does not include revenues from the State Lottery.

## 20 INSTRUCTIONAL SUPPORT

### Program Objectives Statement

Instructional Support provides resources to complement the Instruction Program. The following elements are included in this program:

20.10—Curriculum Services: Provides materials and resources for curriculum planning and development in language arts, math, science, history-social science, foreign language, visual and performing arts, health, nutrition, safety, physical education, and environmental/energy education. Provides funding for the use of educational technology in schools.

20.20—Instructional Materials Management and Distribution: Assists in the state development of curriculum frameworks and evaluation and distribution of instructional materials, including electronic resources.

20.30—Administrative Services to Local Educational Agencies: The department provides leadership, guidance and technical expertise to schools to manage and improve operations and more efficiently use scarce resources, in addition to publishing specified documents.

20.40—Supplementary Program Services: Identifies, develops and disseminates innovative and exemplary programs and practices to schools and aids in the development of alternative/educational options. Examples include Independent Study, Library Services, Sex Equity in Education, Foster Youth Services, Alternative Educational Programs/Opportunity School Incentives, Specialized Secondary Programs, and the Drop Out Prevention Program.

20.50—Staff Development: Includes the Federal ESEA Title II Eisenhower Program for professional development in Math, Science and other core curriculum subjects.

20.60—Improving School Effectiveness: The following components are for improvement of educational quality—Healthy Start, School Leadership, School Crime Report, School/Law Enforcement Partnership, High-Risk Youth Education and Public Safety Program (Ch. 340/97), Gang Risk Intervention Program, Conflict Resolution, School Violence Reduction Program, Targeted Truancy and Public Safety Program, Community Day Schools, Single Gender Academies, School Improvement, Goals 2000, Charter Schools, Administrator Training, Family-School Partnerships, Beginning Teacher Support and Assessment, Bilingual Teacher Training, Readers for Blind Teachers, Regional Science Resource Centers, Geography Education, Teaching Improvement, Title VI Priority Projects and Intersegmental Programs; Immediate Intervention/Underperforming Schools Program; High Achieving/Improving Schools Program.

20.70—Assessments: Includes the Standardized Testing and Reporting (STAR) Program which provides funding to districts for mandatory local assessments in grades 2 through 11; develops Career-Technical Assessments; provides end-of-course Golden State Examination awards and Golden State Seal Merit Diplomas; High School Exit Exam; and when fully implemented will provide English language development assessments and statewide assessments in core curriculum subjects in grades 4, 5, 8 and 10.

### Major Budget Adjustment Included in 2000–01

OTHER FUNDS

- $57.6 million for a new federally funded Reading Excellence Act that will provide grants for reading instruction and tutoring.

### Major Budget Adjustments Proposed for 2001–02

GOVERNOR'S INITIATIVES

- $335 million Proposition 98 General Fund to provide math and English professional development and standards training to K–12 teachers.
- $219.2 million Proposition 98 for the Governor's Performance Awards (GPA):
  - $96.2 million Proposition 98 for continuation of funding for the GPA which was appropriated by Chapter 3 of the 1999 First Extraordinary Session.
  - $123 million Proposition 98 to fully fund the GPA at $150 per eligible test taker.
- $30 million Proposition 98 for incentives to increase student enrollment in Algebra.
- $27.5 million Proposition 98 for the development, production, and distribution of workbooks for the standards-based STAR test and the High School Exit Exam.
- $20 million Proposition 98 for software and training to assist schools in analyzing STAR data.
- $15 million Proposition 98 General Fund to provide professional development and standards training to K–12 principals and vice principals.

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

GENERAL FUND

- $88.2 million Proposition 98 for the Immediate Intervention/Underperforming Schools Program (II/USP), including:
  - $20.6 million Proposition 98 augmentation to fund Implementation Grants for the first cohort in the School Accountability, Rewards, and Interventions Program.
  - $46.1 million Proposition 98 for Implementation Grants for the second cohort in the School Accountability, Rewards, and Interventions Program.
  - $21.5 million Proposition 98 for Planning Grants for the third cohort in the School Accountability, Rewards, and Interventions Program.
- $45 million Proposition 98 for three administrations of the High School Exit Exam to tenth graders in order to develop valid cut scores.
- $15.8 million Proposition 98 General Fund to provide growth and cost-of-living increases for the Beginning Teacher Support and Assessment Program.
- $12.5 million Proposition 98 General Fund to provide growth and cost-of-living increases for the Instructional Time and Staff Development Reform Program.
- $12 million Proposition 98 for test development for the High School Exit Exam and STAR.
- $12.0 million from the Proposition 98 Reversion Account to fund CSIS.
- $10 million General Fund to provide stipends to up to 10,000 additional teacher attendees at the University of California-administered Professional Development Institutes.
- $10 million in Proposition 98 General Fund for the Student Academic Partnership Program, to compensate for expiring Goals 2000 funds.
- $8.2 million in Proposition 98 General Fund for growth and COLA for the Peer Assistance and Review Program.
- $8.1 million Proposition 98 for growth and COLA for the Instructional Materials Fund.
- $8 million in Proposition 98 General Fund for the Secondary School Reading Program, to compensate for expiring Goals 2000 funds.
- $6 million Proposition 98 to fund growth ($852,000) and COLA ($5.2 million) for Instructional Materials for grades K–8.
- $4.4 million Proposition 98 for COLA funding for the Student Assessment Program.
- $5.7 million for a cost-of-living (COLA) adjustment for the Charter School Categorical Block Grant.
- $2.0 million Proposition 98 to fund growth ($696,000) and COLA ($1.3 million) for Instructional Materials for grades 9–12.
- $750,000 Proposition 98 for the California Reads Program.
- $280,000 General Fund and 1.0 position to collect hate crime and hate incident information and meet related statutory reporting requirements.
- $500,000 in one-time funds from the Proposition 98 Reversion Account to FCMAT for the Student Friendly Services Through Technology project.
- $400,000 General Fund to back-fill funding of four positions in the Standards and Assessment Division previously funded by Goals 2000.
- $120,000 General Fund to fund the redirection of one position for the implementation of the Classroom Instructional Improvement and Accountability Act (Chapter 996, Statutes of 2000).
- $100,000 from the Proposition 98 Reversion Account to fund development and adoption of Curriculum Frameworks.
- $89,000 General Fund to fund the redirection of one position for the implementation of the PSAA Alternative Schools Accountability System.

OTHER FUNDS

- $3.4 million to increase local participation in the California School Information Services (CSIS).
- $272,000 in federal funds for the reauthorization of three limited-term positions for administering the Technology Literacy Challenge Program.
- $293,000 in bond funds for the permanent extension of three limited-term positions and funding to fill one vacant position to address workload in the School Facilities Planning Division.

### Authority

20.10—Education Code Sections 10900–10915, 13350–33352, 39617, 51202, 51210, 51220, 51222–51225, 51241–51242, 56702; California Administrative Code, Title 5, Education Code Sections 5531 and 10060. Education Code Sections 1299, 10202, 44872, 49060–49071, 49400–49403, 49406–49408, 49420–49423, 49425, 49440–49444, 49450–49457, 49480, 49530, 51202–51204, 51210, 51240, 51260, 51262, 51550, 51820, 51880–51920, 60111, and 87439; California Administrative Code, Title 5, Education Code, Sections 590–596, 5505, 10001, 11800, and 11801; Health and Safety Code Sections 306–308.9, 3380–3389, 3400–3407, 3480–3488, Title 17, 6000–6075, Title IVC, Chapter 987/77, and Chapter 685/81. PL 94-142 as amended by PL 93-380, PL 94-482, and PL 95-207; Chapter 1257/77. Education Code Sections 8700–8760, 51202, 51210(c), 51211, and 51220(b). Education Code Sections 41920, 51870–51876; California Administrative Code, Title 5, Education, Sections 19500–19506, Chapter 94/82. Education Code Section 51202; Vehicle Code Section 2900. Education Code Sections 1242, 37103, 37228, 41707, 48200, 48400–48403, 48410, 48413, 48430–48434, 48436, 48438; SAB 1810.3, CAC, Title 5, 402, 406, 11000–11010. Education Code Sections 37600–37643, 41836; CAC, Title 5. Education Code Sections 37250, 51730–51731; CAC, Title 5 11470–11475. PL 94-482, Section 134(a), 12050–12053; Education Code 41020, 46013, 44670.3, 52015, 56193, 56194. Education Code Sections 1762, 44287, 44266, 52015. Education Code Sections 49060–49078. PL 95-207. Article 9 (commencing with Section 54760) of Chapter 9 of Part 29 of the Education Code.

20.20—Education Code Sections 60000–60249. Article 6 (commencing with Section 60350 of Chapter 2 of Part 33 of the Education Code. Chapter 15 (commencing with Section 53000) of Part 28 of the Education Code. Chapter 3.45 (commencing with Section 44755) is added to Part 25 of the Education Code. Article 9 (commencing with Section 54760) of Chapter 9 of Part 29 of the Education Code.

20.30—Education Code Sections 35700–35785, 37200, 39000–39675, 39800–39860, 40070, 42103.3, 46000–46618, 48200–48342, PL 91-874.

20.40—PL 95-561, Part C; PL 94-493; GPA, Section 422A; Education Code, Section 33522. Education Code Sections 58800–58805. Education Code Sections 48643–48644.5. Education Code Sections 52890, 52900–52904, 58550–58562. Education Code Sections 42920–429251. Title IX, Education Amendments of 1972. PL 95-561, PL 97-35. ESEA, Title VII; Civil Rights Act of 1965, Title IV; PL 95-561, Chapters 1425/74, 1496/74, 851/75, and 903/77. Article 9 (commencing with Section 54760) of Chapter 9 of Part 29 of the Education Code.

20.60—AB 65, ESEA Title 1, ESEA Title VI, PL 103-227, SB 620. Education Code—Article 9 (commencing with Section 54760) of Chapter 9 of Part 29, Chapter 6 (commencing with Section 52000) of Part 28, Article 4.5 (commencing with Section 44279.2), Chapter 3.1 (commencing with Section 44670.1), Chapter 5 (commencing with Section 98200), Chapter 3.6 (commencing with Section 44770), Education Code Section 66010.7, Chapter 3.7 (commencing with Section 44780), Article 4 (commencing with Section 44490), Article 5 (commencing with Section 52180), Chapter 2 (commencing with Section 35294), Section 44925, Part 26.8 (commencing with Section 47600), Article 4 (commencing with Section 48700) of Chapter 4 of Part 27 and Chapter 2.5 (commencing with Section 32260). Chapter 5 of Part 6 (commencing with Section 8800).

20.70—Education Code Sections 5764.5, 5767.9, 6464.4, 33403, 33406, 41215, 44252, 48412, 52171.6, 52035, 56312, 56351, 56353, 56360, 56362, 60600–60604.9, 60700, 60701, 60730, 60731, PL 91-35, PL 97-35, PL 91-142.

20.80—Article 9 (commencing with Section 54760) of Chapter 9 of Part 29 of the Education Code.

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

## 30 SPECIAL PROGRAMS

### Program Objectives Statement

Special Programs include the following elements:

30.10—Child Development: Provides a full range of child care and development services. Services provided include part-time and full-time child care and development and supportive services, to children from low-income families and families with special needs. Several different programs are in place to target resources to specific populations or to address specific needs. The State Preschool Program provides a wide range of educational services in part-day settings for pre-kindergarten (three- and four-year-old) children from low-income families; and parent education for the parents of eligible children. The objective is to give children the foundation needed to succeed in formal school programs. The After School Learning and Safe Neighborhoods Partnership program provides students in grades K–9 with academic support, homework assistance, and enrichment programs, in a safe after-school environment. Child care services for families participating in the California Work Opportunity and Responsibility to Kids (CalWORKs) program help public assistance recipients achieve and maintain self-sufficiency. The Department of Education administers child care for CalWORKs Stages 2 and 3.

30.20—Child Nutrition: Assists participating public and private schools, county offices of education, public and private residential child care institutions, camps, family day care homes, and adults in non-residential adult day care centers serve nutritious meals by providing educational and technical assistance, federal and state subsidies. Subsidies are received from the United States Department of Agriculture (USDA) to fund the National School Lunch Program, School Breakfast Program, Special Milk Program, Child Care Food Program, Adult Day Care Food Program, Summer Food Service Program, After School Meals Program, and the Nutrition Education and Training Program. Subsidies also are received from the State through the state-mandated Child Nutrition Programs, the School Breakfast start-up grants program and the Meal Supplement for Pregnant and Lactating Students Program.

30.50—Food Distribution: Makes surplus USDA donated food available to certain California public, private and nonprofit agencies. The Department of Education is designated as the California State Agency for USDA surplus food distribution.

### Major Budget Adjustments Proposed for 2001–02

GENERAL FUND

- $20 million Proposition 98 for half-year expansion of the After School and Safe Neighborhoods Partnerships Program, targeted to middle schools.
- $2 million in one-time Proposition 98 child care savings to support three-year grants for After School Program regional centers to increase technical assistance focusing on middle school programs.
- $240,000 in General Fund and 2 permanent positions, including $75,000 one-time costs for RFP workload, in the Learning Support and Partnerships Division to support increased workload associated with the After School expansion.
- $41.7 million Proposition 98 increase for a total of $157.4 million to fund the estimated CalWORKs Stage 3 cost of serving the current year plus July 2001 caseload timing out of CalWORKs Stage 1 or 2.
- $40 million Proposition 98 to annualize the current year increase for full-day, General Child Care for children ages 0–five years old.
- $23.8 million Proposition 98 to annualize the current year State Preschool expansion.
- $3 million Proposition 98 to annualize the current year increase for Migrant Day Care Services.
- $36.3 million Proposition 98 to fund the state share of the $45.5 million statutory COLA for state-subsidized child care programs. The remainder is funded through federal resources.
- $5.4 million Proposition 98 to help compensate child care and development contractors for increased costs associated with state minimum wage increase requirements.
- $53.8 million in one-time Proposition 98 child care savings and unearned contract carryover for transfer to the Child Care Facilities Revolving Fund to support the CalWORKs Center-Based Pilot as well as recent program expansions.
- $5 million total, including a $4 million increase in one-time Proposition 98 unearned contract carryover, to continue training and develop, produce, and disseminate classroom curriculum linked to the Pre-Kindergarten Guidelines for centers completed in the current year ($2 million); and to adapt, produce, and disseminate Guidelines and curriculum over the next three years for exempt and licensed family child care home providers ($3 million).
- $1.3 million from the Proposition 98 Reversion Account to fund the 2000–01 child nutrition deficiency.
- $2.3 million in Proposition 98 General Fund for a COLA for CalSAFE.
- $1 million to support the Home Instruction Program for Preschool Youngsters (HIPPY).
- $846,000 from the Proposition 98 Reversion Account to fund the 1999–00 child nutrition deficiency.

OTHER FUNDS

- $147.4 million decreased federal fund transfer from the Temporary Assistance to Needy Families (TANF) Block Grant to the CCDF to meet caseload projections for CalWORKs Stage 2. The decreased TANF transfer is due to an overall decrease in the Stage 2 estimate and an increase in prior years carryover available for reappropriation.
- $9.2 million Child Care and Development Fund (CCDF) for the federal share of COLAs in state-subsidized child care programs.
- $148,000 CCDF to fund 1.5 permanent positions in the Child Development Division's Field Services Unit to address workload increase for compliance reviews and technical assistance to new providers and program directors related to General Child Care and Migrant expansions authorized in the 2000 Budget Act.
- $234,000 in federal funds and three child nutrition consultants to support workload increases and provide technical assistance to Child Nutrition programs statewide. Two of these positions will serve on a special team formed to focus on sponsors which are deficient or in danger of program termination.

### Authority

30.10—Education Code, Part 6, Chapter 1.8, Chapter 1.9, Chapter 2.5 (commencing with Section 8170). CCDF PL 104-193, Title VI of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

30.20—PL 79-396, PL 89-642, PL 94-105, PL 95-166, and PL 995-627; Section 49550 of the Education Code; Article 9 (commencing with Section 54760) of Chapter 9 of Part 29 of the Education Code.

30.50—PL 94-105, PL 95-113, PL 95-478 and PL 98-92; Chapter 196, Statutes of 1984.

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

### TABLE 2
### CATEGORICAL PROGRAMS, PROPOSITION 98
### (Includes Funding for Programs 10, 20 and 30)

| | | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|
| 6110-243-0001 | Academic Improvement and Achievement | $4,726 | $5,000 | $5,000 |
| 6110-193-0001 | Administrator Training | – | 4,850 | 5,093 |
| 6110-156-0001 | Adult Education | 542,426 | 573,612 | 610,941 |
| 6110-158-0001 | Adult in Correctional Facilities | 16,293 | 16,936 | 17,909 |
| 6110-121-0001 | Algebra Incentive Program | – | – | 30,000 |
| 6110-167-0001 | Agricultural Vocational Education | 3,798 | 3,975 | 4,174 |
| 6110-151-0001 | American Indian Education Centers | 3,123 | 3,469 | 3,643 |
| 6110-280-0001 | At-Risk Youth (LAUSD) | 600 | 600 | 600 |
| 6110-133-0001 | Beginning Teacher Salaries/Site-Based Teacher Performance | 50,000 | 50,000 | 90,000 |
| 6110-191-0001 | Beginning Teacher Support | 72,000 | 88,820 | 104,682 |
| 6110-193-0001 | Bilingual Teacher Training | – | 1,651 | 1,734 |
| 6110-211-0001 | Charter School Categorical Block Grant | 15,973 | 22,747 | 28,434 |
| 6110-196-0001 | Child Development | 854,750 | 1,140,205 | 1,310,339 |
| 6110-201-0001 | Child Nutrition Breakfast Startup | 1,000 | 1,000 | 1,000 |
| 6110-203-0001 | Child Nutrition | 63,011 | 65,769 | 69,065 |
| 6110-208-0001 | Civic Education | – | 250 | 250 |
| 6110-232-0001 | Class Size Reduction (9th) | 160,664 | 166,970 | 175,252 |
| 6110-234-0001 | Class Size Reduction (K–3) | 1,534,254 | 1,566,118 | 1,576,600 |
| 6110-150-0001 | Classroom Library Materials | 25,000 | 25,000 | 25,000 |
| 6110-190-0001 | Community Day Schools | 30,423 | 30,835 | 41,360 |
| 6110-107-0001 | County Offices of Education Fiscal Oversight | 4,080 | 4,285 | 10,423 |
| 6110-114-0001 | Court-ordered Desegregation | 504,993 | 528,554 | 555,048 |
| 6110-146-0001 | Demo Programs in Intensive Instruction | 5,395 | 5,789 | 6,079 |
| 6110-184-0001 | Digital High Schools (Ed Tech) | 151,000 | 181,100 | 76,000 |
| 6110-120-0001 | Dropout Prevention | 19,202 | 20,097 | 21,104 |
| 6110-128-0001 | Economic Impact Aid | 394,105 | 426,928 | 465,802 |
| 6110-181-0001 | Educational Technology | 22,364 | 23,407 | 24,580 |
| 6110-205-0001 | Elementary School Intensive Reading Program | – | 86,176 | 89,545 |
| 6110-125-0001 | English Learners Student Assistance | 55,000 | 70,000 | 70,000 |
| 6110-119-0001 | Foster Youth Programs | 7,677 | 8,036 | 8,439 |
| 6110-109-0001 | Gang Risk Intervention | 3,000 | 3,000 | 3,000 |
| 6110-124-0001 | Gifted and Talented | 49,601 | 51,915 | 54,516 |
| 6110-147-0001 | Governor's Reading Award Program | – | 4,000 | 4,750 |
| 6110-204-0001 | Grade 7–8 Math Academies | – | 21,500 | 22,341 |
| 6110-200-0001 | Healthy Start | 49,000 | 49,000 | 39,000 |
| 6110-212-0001 | High Risk First Time Offenders Program | 18,000 | 18,000 | 18,000 |
| 6110-193-0001 | High School Coach Training | 1,000 | 1,000 | 1,000 |
| 6110-111-0001 | Home to School Transportation | 459,888 | 481,346 | 505,468 |
| 6110-180-0001 | Institute for Computer Technology | 503 | 526 | 552 |
| 6110-185-0001 | Instructional Materials 9–12 | 32,116 | 33,796 | 35,796 |
| 6110-186-0001 | Instructional Materials K–8 | 125,946 | 131,056 | 137,156 |
| 6110-750-0001 | Instructional Materials | 250,000 | 250,000 | 250,000 |
| 6110-240-0001 | International Baccalaureate | 12,444 | 12,550 | 12,550 |
| 6110-197-0001 | Intersegmental Staff Development | 1,776 | 1,858 | 1,951 |
| 6110-189-0001 | Kindergarten Readiness Pilot | – | – | 13,400 |
| 6110-177-0001 | Local Arts Education Partnership Grant Program | 5,997 | 6,000 | 6,000 |
| 6110-193-0001 | Mentor Teacher (See Peer Assistance and Review) | 125,000 | 136,880 | 143,740 |
| 6110-192-0001 | Middle School Longer Year Incentive | – | – | 100,000 |
| 6110-126-0001 | Miller-Unruh Reading | 26,328 | 27,249 | 28,373 |
| 6110-131-0001 | Native American Indian Education | 482 | 505 | 530 |
| 6110-127-0001 | Opportunity Programs | 2,179 | 2,299 | 2,455 |
| 6110-166-0001 | Partnership Academies | 16,276 | 19,666 | 22,051 |
| 6110-231-0001 | Per Pupil Block Grants Proposition 98 | 67,831 | 67,831 | 67,831 |
| 6110-123-0001 | PSAA (Continues Ch. 3X of 1999) | – | 156,699 | 464,049 |
| 6110-139-0001 | Pupil Residency Verification | 159 | 161 | 162 |
| 6110-193-0001 | Reader Services for the Blind | 295 | 309 | 324 |
| 6110-105-0001 | ROC/PS | 320,383 | 337,373 | 370,170 |
| 6110-198-0001 | California School Age Families Education (CalSAFE) | – | 80,844 | 83,167 |
| 6110-193-0001 | School Development Plans and Resource Consortia | 25,826 | 20,530 | 21,559 |
| 6110-116-0001 | School Improvement | 385,921 | 400,724 | 418,632 |
| 6110-226-0001 | School Law/Enforcement Partnership | 11,608 | 14,608 | 14,608 |
| 6110-149-0001 | School Library Materials | 158,500 | 158,500 | 158,500 |
| 6110-228-0001 | School Safety | 71,087 | 72,087 | 72,087 |
| 6110-103-0001 | Schools Apportionment, Apprentice Program | 9,508 | 13,908 | 15,852 |
| 6110-163-0001 | Special Education (Early Intervention for School Success) | 1,903 | 1,992 | 2,092 |
| 6110-161-0001 | Special Education | 2,256,695 | 2,442,641 | 2,602,265 |
| 6110-122-0001 | Specialized Secondary Program Grants | 4,506 | 4,716 | 4,952 |
| 6110-112-0001 | Staff Development Day Buyout | 225,146 | 246,824 | 259,263 |
| 6110-113-0001 | Student Assessment Testing | 60,582 | 112,392 | 221,292 |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 6110-104-0001 | Summer School Programs | $235,807 | $418,743 | $435,116 |
| 6110-235-0001 | Supplemental Grants [a] | 212,152 | 221,978 | 233,102 |
| 6110-209-0001 | Teacher Dismissal Apportionments | 34 | 36 | 38 |
| 6110-108-0001 | Tenth Grade Counseling | 9,749 | 10,363 | 10,923 |
| 6110-250-0001 | Marin ERAF | 8,239 | – | – |
| 6110-115-0001 | Voluntary Desegregation | 138,015 | 148,741 | 156,194 |
| 6110-224-0001 | Year Round Schools (Base Augmentation) | 73,825 | 77,269 | 81,141 |
| Ch. 2X Stats. 99 | Governor's Reading Award Program | 2,000 | – | – |
| Ch. 4X Stats. 99 | Elementary School Intensive Reading Program | 18,970 | – | – |
| Ch. 3X Stats. 99 | Intervention Underperforming Schools | 113,750 | 46,104 | – |
| Ch. 962 Stats. 99 | School Library Materials | 1,000 | – | – |
| Proposition 227/98 | | 100,000 | 50,000 | 50,000 |
| Total Funding for Categorical Programs | | $10,234,884 | $11,479,698 | $12,574,044 |

[a] Includes funding for student vocational organizations.

## 41 EXECUTIVE MANAGEMENT AND SPECIAL SERVICES

### Major Budget Adjustment Proposed for 2001–02

GENERAL FUND

- $94,000 General Fund to fund the redirection of one position to assist in the defense of the State in *Williams* vs. *State Department of Education and the State of California, et al.*

## 41.01 STATE BOARD OF EDUCATION

### Program Objectives Statement

Executive Management and Special Services consists of the offices of the Superintendent of Public Instruction, the State Board of Education, Deputy Superintendents, Public Information, Legal and External Affairs, and higher education, business and community liaisons.

### Major Budget Adjustment Included in 2000–01

GOVERNOR'S INITIATIVE

- $3 million General Fund to hire a consultant to ensure that the STAR and High School Exit Exam are aligned to the state-adopted standards and for the development of performance measures for the STAR and High School Exit Exam.

### Authority

41—Article 9 (commencing with Section 54760) of Chapter 9 of Part 29 of the Education Code.

## 42 DEPARTMENT MANAGEMENT AND ADMINISTRATIVE SERVICES

### Program Objectives Statement

Department Management and Administrative Services consists of Accounting, Budgeting, Contracting, Personnel and Information Services. The effective provision of these services ensure the delivery of timely, reliable and accountable educational services to students in California.

## 98 STATE-MANDATED LOCAL PROGRAMS

### Major Budget Adjustments Proposed for 2001–02

GENERAL FUND

- $223.7 million from the Proposition 98 Reversion Account to fund the 2000–01 School Bus Safety II Mandate.
- $5.1 million in Proposition 98 General Fund for a COLA for state mandated local programs.
- $4.2 million from the Proposition 98 Reversion Account to fund the 2000–01 Parental Notification Mandate.

### Program Objectives Statement

This program provides funding, pursuant to Section 6 of Article XIIIB of the California Constitution, to reimburse local entities for costs they incur in complying with certain state-mandated education programs.

### 98 State-Mandated Local Programs

| Local Assistance: | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| Ch. 36/77 et al. | Annual Parent Notification | $1,901 | $3,491 | $3,603 |
| Ch. 77/78 & 920/94 | Absentee Ballots-Schools | 582 | 1,261 | 1,301 |
| Ch. 87/86 | School Discipline Rules | 1,288 | 1,681 | 1,735 |
| Ch. 161/93 | Intradistrict Attendance | 2,276 | 5,124 | 5,288 |
| Ch. 172/86 | Interdistrict Attendance | 1,008 | 1,742 | 1,798 |
| Ch. 172/86 | Interdistrict Attendance Parent's Employment | 911 | 1,082 | 1,117 |
| Ch. 160/93 | School District of Choice Transfer and Appeals | 888 | 9,939 | 10,257 |
| Ch. 486/75 | Test Claims and Reimbursement Claims | 7,110 | 11,544 | 11,913 |
| Ch. 498/83 | Graduation Requirements | 3,863 | 13,533 | 13,966 |
| Ch. 498/83 | Notices of Truancy | 5,753 | 7,765 | 8,013 |
| Ch. 624/92 | School Bus Safety | 704 | 913 | 942 |
| Ch. 641/86 | Open Meetings Act | 2,013 | 3,306 | 3,412 |
| Ch. 781/92 | Charter Schools | 701 | 582 | 601 |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| Ch. 799/80 | PERS Death Benefits | $728 | $751 | $775 |
| Ch. 818/91 | AIDS Prevention Instruction | 4,044 | 3,036 | 3,133 |
| Ch. 961/75 | Collective Bargaining | 32,741 | 39,466 | 40,729 |
| Ch. 965/77 | Pupil Classroom Suspension (counseling) | 4,400 | 1,747 | 1,803 |
| Ch. 965/77 | Pupil Health Screenings | 3,291 | 3,128 | 3,228 |
| Ch. 1011/84 | Juvenile Court Records | 189 | 327 | 337 |
| Ch. 1107/84 | Removal of Chemicals | 1,513 | 1,268 | 1,309 |
| Ch. 1117/89 | Law Enforcement Agency | 1,275 | 1,470 | 1,517 |
| Ch. 1176/77 | Immunization Records | 4,411 | 3,353 | 3,460 |
| Ch. 1253/75 | Expulsion Transcripts | 8 | 27 | 28 |
| Ch. 1284/88 | Pupil Suspensions: Parent Classroom Visits | 231 | 992 | 1,024 |
| Ch. 1306/89 | Notification to Teachers of Public Expulsion | 1,902 | 2,778 | 2,867 |
| Ch. 1347/80 | Scoliosis Screening | 2,205 | 2,183 | 2,253 |
| Ch. 1398/74 | PERS Unused Sick Leave Credit | 3,011 | 3,107 | 3,206 |
| Ch. 1607/84 | School Crimes Reporting | 1,798 | 1,516 | 1,565 |
| Ch. 1659/84 | Emergency Procedures | 7,212 | 13,855 | 14,298 |
| Ch. 1675/84 | School Testing—Physical Fitness | 642 | 662 | 683 |
| Ch. 98/94 | Caregiver Affidavits | – | 377 | 389 |
| Ch. 1213/91 | Collective Bargaining Disclosures | – | 264 | 272 |
| Ch. 1184/75 | Habitual Truants | – | 5,255 | 5,423 |
| Ch. 783/95 | Investment Reports | – | 153 | 158 |
| Ch. 498/83 | Pupil Expulsions from School | – | 2,363 | 2,439 |
| Ch. 668/78 | Pupil Health Exclusions | – | 377 | 389 |
| Ch. 134/87 | Pupil Suspensions from School | – | 995 | 1,027 |
| Ch. 975/95 | Physical Performance Test | – | 1,145 | 1,182 |
| Ch. 1463/89 | School Accountability Report Cards | – | 2,059 | 2,125 |
| Ch. 778/96 | American Government Course Document | – | 197 | 203 |
| Ch. 309/95 | Pupil Residency Verification and Appeals | – | 213 | 220 |
| Ch. 558/97 | Criminal Background Check | – | 4,956 | 5,114 |
| Totals, Local Assistance | | $98,599 | $159,983 | $165,102 |

[1] See Education (K–14) display in 8885, Commission on State Mandates, for claims details.

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions | 2,286.6 | 2,746.2 | 2,732.4 | $112,010 | $142,491 | $144,832 |
| Total Adjustments | – | 5.5 | –43.7 | – | 253 | 1,802 |
| Estimated Salary Savings | – | –260.9 | –259.9 | – | –13,909 | –10,417 |
| Net Totals, Salaries and Wages | 2,286.6 | 2,490.8 | 2,428.8 | $112,010 | $128,835 | $136,217 |
| Staff Benefits | – | – | – | 21,510 | 27,055 | 28,606 |
| Totals, Personal Services | 2,286.6 | 2,490.8 | 2,428.8 | $133,520 | $155,889 | $164,823 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $76,274 | $109,542 | $84,142 |
| TOTALS, EXPENDITURES | | | | $209,794 | $265,431 | $248,965 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund, Proposition 98

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 006 Budget Act appropriation | $27,342 | $33,394 | $33,483 |
| Allocation for employee compensation | 4,367 | 521 | – |
| Adjustment per Section 3.60 | –1,258 | – | – |
| Totals Available | $30,451 | $33,915 | $33,483 |
| Unexpended balance, estimated savings | –93 | – | – |
| TOTALS, EXPENDITURES | $30,358 | $33,915 | $33,483 |

### 0001 General Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (Support) | $35,677 | $46,246 | $46,961 |
| Allocation for employee compensation | 2,001 | 146 | – |
| Adjustment per Section 3.60 | –1,289 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Adjustment per Section 4.60 (Rental Rate) | – | $161 | – |
| Adjustment per Section 16.00 | $14 | – | – |
| Transfer to Legislative Claims (9670) | –1 | –3 | – |
| Allocation for Innovation Technology Activities | 100 | – | – |
| Increase per Provision 26.00 | – | 625 | – |
| 003 Budget Act appropriation (Standardized Account Code Structure) | 912 | 955 | $961 |
| Allocation for employee compensation | 59 | 1 | – |
| Adjustment per Section 3.60 | –37 | – | – |
| 004 Budget Act appropriation (School Crime Report) | 1,216 | 1,233 | 1,515 |
| Allocation for employee compensation | 22 | – | – |
| Adjustment per Section 3.60 | –14 | – | – |
| 005 Budget Act appropriation (State Special Schools) | 24,196 | 29,652 | 28,966 |
| Allocation for employee compensation | 2,781 | 1,168 | – |
| Allocation for contingencies or emergencies | 123 | – | – |
| Adjustment per Section 3.60 | –1,108 | – | – |
| Transfer to Legislative Claims (9670) | –4 | – | – |
| 007 Budget Act appropriation (Instruct. Materials Management and Distribution) | 97 | 102 | 103 |
| Allocation for employee compensation | 8 | – | – |
| Adjustment per Section 3.60 | –5 | – | – |
| 008 Budget Act appropriation (State Special Schools Transportation) | 1,064 | 1,064 | 1,064 |
| 011 Budget Act appropriation (Principal Apportionments) | 642 | 1,677 | 1,679 |
| Allocation for employee compensation | 9 | – | – |
| Adjustment per Section 3.60 | –11 | – | – |
| 013 Budget Act appropriation (Audit Resources) | 475 | 475 | 475 |
| 015 Budget Act appropriation (transfer to State Instructional Material Fd-0955) | 362 | 381 | 384 |
| Allocation for employee compensation | 26 | 1 | – |
| Adjustment per Section 3.60 | –16 | – | – |
| 021 Budget Act appropriation (Nutrition Education) | 598 | 605 | 606 |
| Allocation for employee compensation | 9 | – | – |
| Adjustment per Section 3.60 | –5 | – | – |
| Chapter 213, Statutes of 2000 | – | 1,000 | – |
| Chapter 996, Statutes of 2000 | – | 100 | – |
| Chapter 1, Statutes of 1999 First Extraordinary Sesstion, Section 6(b) | 250 | – | – |
| Chapter 996, Statutes of 2000 | – | 230 | – |
| Chapter 4, Statutes of 1999, First Extraordinary Session, Section 6(c) | 82 | – | – |
| Chapter 335, Statutes of 2000 (LAUSD Reorganization Study) | – | 100 | – |
| Pending Legislation (Standards Consultant) | – | 3,000 | – |
| Chapter 459, Statutes of 2000 (Gender Equity Training Program) | – | 10 | – |
| Chapter 1022, Statutes of 2000 (Kindergarten Readiness Pilot Promotion) | – | 100 | – |
| Chapter 965, Statutes of 1999 (Agricultural Education) | 300 | – | – |
| Prior year balances available: | | | |
| Item 6110-011-0001/1998, Reapp by Item 6110-491/1999 (PASR) | 1,307 | 1,273 | – |
| Item 6110-011-0001/1999 (PASR) | – | 406 | – |
| Chapter 481, Statutes of 1998 | 3 | 92 | – |
| Chapter 315, Statutes of 1998 | 82 | 10 | – |
| Chapter 803, Statutes of 1998 (Academic Improvement and Achievements) | 160 | – | – |
| Chapter 1, Statutes of 1999 First Extraordinary Session, Section 6(b) | – | – | – |
| Reappropriaton from the Prop 98 Reversion Acct per Item 6110-485/1999 | 389 | – | – |
| Chapter 496, Statutes of 1996 (Academic Achievement Incentives) | 3 | – | – |
| Chapter 3, Statutes of 1999, First Extraordinary Session, Section 2(a)(4) | 679 | 220 | – |
| Chapter 965, Statutes of 1999 (Agricultural Education) | – | 300 | – |
| Totals Available | $71,156 | $91,330 | $82,714 |
| Unexpended balance, estimated savings | –596 | – | – |
| Balance available in subsequent years | –2,301 | – | – |
| TOTALS, EXPENDITURES | $68,259 | $91,330 | $82,714 |
| TOTALS, GENERAL FUND EXPENDITURES | $98,617 | $125,245 | $116,197 |

### 0087 School Safety Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 32235 (School Violence Prevention) | $51 | – | – |
| Prior year balances available: | | | |
| Chapter 200, Statutes of 1996, Section 8(c) (Targeted Truancy & Public Safety) | 105 | – | – |
| Totals Available | $156 | – | – |
| Unexpended balance, estimated savings | –2 | – | – |
| TOTALS, EXPENDITURES | $154 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

### 0119 1998 State School Facilities Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $234 | $244 | $1,872 |
| Allocation for employee compensation | 15 | 1 | – |
| Adjustment per Section 3.60 | –9 | – | – |
| Totals Available | $240 | $245 | $1,872 |
| Unexpended balance, estimated savings | –101 | – | – |
| TOTALS, EXPENDITURES | $139 | $245 | $1,872 |

### 0178 Driver Training Penalty Assessment Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $980 | $1,062 | $1,073 |
| Allocation for employee compensation | 96 | 2 | – |
| Adjustment per Section 3.60 | –35 | – | – |
| TOTALS, EXPENDITURES | $1,041 | $1,064 | $1,073 |

### 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $967 | $994 | $998 |
| Allocation for employee compensation | 38 | 1 | – |
| Adjustment per Section 3.60 | –24 | – | – |
| Totals Available | $981 | $995 | $998 |
| Unexpended balance, estimated savings | –113 | – | – |
| TOTALS, EXPENDITURES | $868 | $995 | $998 |

### 0344 State School Building Lease-Purchase Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,481 | $1,565 | – |
| Allocation for employee compensation | 116 | 2 | – |
| Adjustment per Section 3.60 | –74 | – | – |
| TOTALS, EXPENDITURES | $1,523 | $1,567 | – |

### 0687 Donated Food Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $9,038 | $9,328 | $5,233 |
| Allocation for employee compensation | 403 | 9 | – |
| Adjustment per Section 3.60 | –228 | – | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Totals Available | $9,216 | $9,337 | $5,233 |
| Unexpended balance, estimated savings | –4,280 | –4,128 | – |
| TOTALS, EXPENDITURES | $4,936 | $5,209 | $5,233 |

### 0814 California State Lottery Education Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 006 Budget Act appropriation (State Special Schools) | $156 | $144 | $140 |
| Revised expenditure authority per Prov. 1 | –20 | – | – |
| Totals Available | $136 | $144 | $140 |
| Unexpended balance, estimated savings | –8 | –4 | – |
| TOTALS, EXPENDITURES | $128 | $140 | $140 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $94,756 | $105,977 | $101,102 |
| Allocation for employee compensation | 4,371 | 94 | – |
| Adjustment per Section 3.60 | –2,750 | – | – |
| Adjustment per Section 16.00 | 31 | – | – |
| Budget Adjustment | –14,128 | 1,588 | – |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Prior year balances available: | | | |
| Ch 1, Statutes of 1998, First Extraordinary Session | $2,000 | $45 | – |
| Totals Available | $84,280 | $107,704 | $101,102 |
| Balance available in subsequent years | –45 | – | – |
| TOTALS, EXPENDITURES | $84,235 | $107,704 | $101,102 |

### 0942 Special Deposit Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Government Code Section 16370 | – | $791 | – |
| Government Code Section 16370 (Education Technology Software Royalties) | – | 144 | $144 |
| Government Code Section 16370 (Apprenticeship Manuals) | $47 | 50 | 50 |
| Education Code Section 33332 | – | 11 | – |
| Vehicle Code Section 12804.6 (Transit Bus Driver Instructor Certification) | 57 | 27 | 25 |
| Education Code Section 33332 (Miscellaneous Donation Fund) | 7 | – | – |
| Government Code Section 16370 (General Education Diplomas) | 558 | 591 | 591 |
| Education Code Section 1330 (UI Administration) | 16 | 42 | 42 |
| TOTALS, EXPENDITURES | $685 | $1,656 | $852 |

### 0955 State Instructonal Materials Fund[n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 60246 (Instructional Materials) | $296 | $382 | $384 |
| TOTALS, EXPENDITURES | $296 | $382 | $384 |
| Less funding provided by the General Fund | –296 | –382 | –384 |
| NET TOTALS, EXPENDITURES | – | – | – |

### 0975 California Public School Library Protection Fund[n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $15 | $15 | $15 |
| TOTALS, EXPENDITURES | $15 | $15 | $15 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Reimbursements for Item 6110-001-0001 | $12,529 | $16,265 | $16,149 |
| Reimbursements for Item 6110-005-0001 | 543 | 587 | 587 |
| Reimbursements for Item 6110-006-0001 | 4,381 | 4,739 | 4,747 |
| TOTAL EXPENDITURES | $17,453 | $21,591 | $21,483 |
| TOTALS, EXPENDITURES (State Operations) | $209,794 | $265,431 | $248,965 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Grants and subventions | $40,603,091 | $44,225,344 | $46,769,119 |
| State-mandated local programs | 152,234 | 159,983 | 461,003 |
| TOTALS, EXPENDITURES | $40,755,325 | $44,385,327 | $47,230,122 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund, Proposition 98

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 103 Budget Act appropriation (Apprentice Programs) | $9,508 | $13,908 | $15,852 |
| 104 Budget Act appropriation (Summer School/Supplemental Instruction) | 309,777 | 418,743 | 435,116 |
| 105 Budget Act appropriation (ROCPs) | 320,383 | 337,373 | 360,170 |
| 106 Budget Act appropriation (West Contra Costa Unified School District) | – | – | 800 |
| 107 Budget Act appropriation (County Office Oversight) | 4,080 | 4,285 | 10,423 |
| 108 Budget Act appropriation (Tenth Grade Counseling) | 9,749 | 10,363 | 10,923 |
| 109 Budget Act appropriation (Gang Risk Intervention) | 3,000 | 3,000 | 3,000 |
| 110 Budget Act appropriation (Reader Services for the Blind) | 295 | – | – |
| 111 Budget Act appropriation (School Apportionment-Transportation) | 459,888 | 481,346 | 505,468 |
| 112 Budget Act appropriation (Staff Development Day Buyout) | 225,146 | 246,824 | 259,263 |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 113 | Budget Act appropriation (Student Assessment Program) | $62,669 | $112,392 | $221,292 |
| 114 | Budget Act appropriation (Court Ordered Desegregation) | 504,993 | 528,554 | 555,048 |
| 115 | Budget Act appropriation (Desegregation Claims) | 138,015 | 148,741 | 156,194 |
| 116 | Budget Act appropriation (School Improvement) | 385,921 | 400,724 | 418,632 |
| 118 | Budget Act appropriation (Student Vocational Education Organizations) | 1 | – | – |
| 119 | Budget Act appropriation (Foster Youth Programs) | 7,677 | 8,036 | 8,439 |
| 120 | Budget Act appropriation (Dropout Prevention) | 19,202 | 20,097 | 21,104 |
| 121 | Budget Act appropriation (Algebra Incentive) | – | – | 30,000 |
| 122 | Budget Act appropriation (Specialized Secondary Program Grants) | 4,506 | 4,716 | 4,952 |
| 123 | Budget Act appropriation (School Accountability, Rewards & Interventions) | – | 156,699 | 464,049 |
| 124 | Budget Act appropriation (Gifted & Talented) | 49,601 | 51,915 | 54,516 |
| 125 | Budget Act appropriation (English Language Learner Implementation) | 55,000 | 70,000 | 70,000 |
| 126 | Budget Act appropriation (Miller-Unruh Reading Program) | 26,328 | 27,249 | 28,373 |
| 127 | Budget Act appropriation (Opportunity Programs) | 2,179 | 2,299 | 2,454 |
| 128 | Budget Act appropriation (Economic Impact Aid) | 394,105 | 426,928 | 465,802 |
| 131 | Budget Act appropriation (Native American Indian Education) | 482 | 505 | 530 |
| 133 | Budget Act appropriation (Teacher Incentives-Beg Salary/Teacher Perf Prog) | 100,000 | 135,000 | 100,000 |
| 134 | Budget Act appropriation (Education Teacher Recruitment Block Grant) | – | 118,650 | 118,650 |
| 137 | Budget Act appropriation (Profess Dev Institutes for Math & English) | – | – | 335,000 |
| 138 | Budget Act appropriation (Profess Devlop for School Administrators) | – | – | 15,000 |
| 139 | Budget Act appropriation (Pupil Residency Verification) | 159 | 161 | 162 |
| 142 | Budget Act appropriation (Support for Secondary Schools Reading) | – | – | 8,000 |
| 143 | Budget Act appropriation (Student Academic Partnerships) | – | – | 10,000 |
| 146 | Budget Act appropriation (Demo Programs in Intensive Instruction) | 5,530 | 5,789 | 6,079 |
| 147 | Budget Act appropriation (Reading Awards) | – | 4,000 | 4,750 |
| 149 | Budget Act appropriation (Public Library Instructional Materials) | 158,500 | 158,500 | 158,500 |
| 150 | Budget Act appropriation (K–4 Classroom Libraries) | 25,000 | 25,000 | 25,000 |
| 151 | Budget Act appropriation (American Indian Education Centers) | 3,123 | 3,469 | 3,643 |
| 156 | Budget Act appropriation Adult Education (Prop 98) | 542,426 | 573,612 | 610,941 |
| 158 | Budget Act appropriation (Adults in Correctional Facilities) | 16,293 | 16,936 | 17,909 |
| 161 | Budget Act appropriation (Special Education) | 2,256,695 | 2,442,641 | 2,602,265 |
| 163 | Budget Act appropriation (Early Intervention for School Success) | 1,903 | 1,992 | 2,092 |
| 166 | Budget Act appropriation (Partnership Academies—P98) | 16,276 | 19,666 | 22,051 |
| 167 | Budget Act appropriation (Agricultural Vocational Education) | 3,798 | 3,975 | 4,174 |
| 177 | Budget Act appropriation (Local Arts Education Partnership Grant Program) | 6,000 | 6,000 | 6,000 |
| 180 | Budget Act appropriation (Institute for Computer Technology) | 503 | 526 | 553 |
| 181 | Budget Act appropriation (Education Technology) | 22,364 | 23,407 | 24,580 |
| 184 | Budget Act appropriation (Education Technology Grant Program) | 106,921 | 76,000 | 76,000 |
| 185 | Budget Act appropriation (Instructional Materials 9–12) | 32,116 | 33,796 | 35,841 |
| 186 | Budget Act appropriation (Instructional Materials K–8) | 125,946 | 131,056 | 137,066 |
| 187 | Budget Act appropriation (COLA for Continuation High School) | 433 | 1,036 | 1,200 |
| | Transfer to Education Code Section 42238 (Continuation Schools) | –433 | –1,036 | – |
| 188 | Budget Act appropriation (Deferred Maintenance) | 143,700 | 176,261 | 185,028 |
| 189 | Budget Act appropriation (Kindergarten Readiness Pilot Program) | – | – | 13,400 |
| 190 | Budget Act appropriation (Community Day Schools) | 30,423 | 30,835 | 41,360 |
| 191 | Budget Act appropriation (Beginning Teacher Support and Assessment) | 51,344 | 88,820 | 104,682 |
| 192 | Budget Act appropriation (High School Coach Training) | 1,000 | – | – |
| 192 | Budget Act appropriation (Middle School Longer Year Incentive) | – | – | 100,000 |
| 193 | Budget Act appropriation (Staff Development) | 25,826 | 181,720 | 189,950 |
| 195 | Budget Act appropriation (National Board Certification) | – | 15,000 | 15,000 |
| 196 | Budget Act appropriation (Child Development) | 854,750 | 1,140,205 | 1,310,339 |
| 197 | Budget Act appropriation (Intersegmental Staff Development) | 1,776 | 1,858 | 1,951 |
| 198 | Budget Act appropriation (CalSAFE) | – | 80,844 | 83,167 |
| 200 | Budget Act appropriation (Healthy Start) | 39,000 | 49,000 | 39,000 |
| 201 | Budget Act appropriation (Child Nutrition) | 1,000 | 1,000 | 1,000 |
| 203 | Budget Act appropriation (Child Nutrition) | 63,011 | 65,769 | 69,065 |
| 204 | Budget Act appropriation (Grade 7–8 Math Academies) | – | 21,500 | 22,341 |
| 205 | Budget Act appropriation (K–4 Intensive Reading Program) | – | 86,176 | 89,545 |
| 208 | Budget Act appropriation (Civic Education) | – | 250 | 250 |
| 209 | Budget Act appropriation (Teacher Dismissal Apportionments) | 34 | 36 | 38 |
| 211 | Budget Act appropriation (Charter Schools Categorical Block Grant) | 20,000 | 22,747 | 28,434 |
| 212 | Budget Act appropriation (High Risk Youth Education & Public Safety Program) | 18,000 | 18,000 | 18,000 |
| 224 | Budget Act appropriation (Year Round Schools) | 73,825 | 77,269 | 81,141 |
| 226 | Budget Act appropriation (School/Law Enforcement Partnership) | 11,608 | 14,608 | 14,608 |
| 228 | Budget Act appropriation (School Safety) | 71,087 | 72,087 | 72,087 |
| 229 | Budget Act appropriation (Teacher Recruitment Center) | – | 9,400 | 9,400 |
| 231 | Budget Act appropriation (Proposition 98 Block Grants) | 67,831 | 67,831 | 67,831 |
| 232 | Budget Act appropriation (Class Size Reduction Program 9th Grade) | 160,664 | 166,970 | 175,252 |
| 234 | Budget Act appropriation (Class Size Reduction K–3) | 1,534,254 | 1,566,118 | 1,576,600 |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 235 Budget Act appropriation (Supplemental Grants for Categorical Pg) | $212,152 | $221,978 | $233,102 |
| 240 Budget Act appropriation (College Preparation) | 12,550 | 12,550 | 12,550 |
| 242 Budget Act appropriation | – | 33 | 33 |
| 243 Budget Act appropriation (Academic Improvement and Achievement) | 5,000 | 5,000 | 5,000 |
| 250 Budget Act appropriation (ERAF from Marin County for Special Education) | 8,239 | – | – |
| 280 Budget Act appropriation (Angel Gate Academy) | 600 | 600 | 600 |
| 295 Budget Act appropriation (State Mandates) | 98,599 | 154,617 | 165,102 |
| Education Code Section 42238 (School District Apportionments) | 12,769,132 | 14,954,194 | 15,492,886 |
| Education Code Section 2550 (County Office of Education Apportionments) | 153,624 | 186,153 | 200,954 |
| Chapter 744, Statutes of 2000 | – | 500 | – |
| Chapter 237, Statutes of 1999, Junction School District | 21 | – | – |
| Chapter 578, Statutes of 2000, Section (a)(1) (WCCUSO Facilities) | – | 800 | – |
| Chapter 578, Statutes of 2000, Section 2(a)(2) (WCCUSO FCMAT Assessment) | – | 800 | – |
| Education Code Section 315 (Proposition 227) | 50,000 | 50,000 | 50,000 |
| Chapter 4X, Statutes of 1999 Section 6 (a & b) (Peer Assistance & Review) | 125,000 | – | – |
| Special Education Settlement | 270,000 | – | – |
| Chapter 645, Statutes of 1999 (School Safety County Offices) | 1,000 | – | – |
| Pending Legislation | – | – | 125,000 |
| Chapter 2X, Statutes of 1999 Sec 9(a)(1)(A) (Elem Schl Intensive Reading Prgm) | 75,000 | – | – |
| Chapter 2X, Statutes of 1999, Sec 9(c) (Professional Development Stipends) | 6,000 | – | – |
| Chapter 704, Statutes of 2000 (Child Development) | – | 42,000 | – |
| Chapter 2X, Statutes of 1999 Sec 9(a)(1)(B) (Improving School Effectiveness) | 2,000 | – | – |
| Chapter 962, Statutes of 1999 (School Library Material) | 1,000 | – | – |
| Chapter 71, Statutes of 2000, Section 39 | 425,000 | – | – |
| Chapter 71, Statutes of 2000, Sec 42 as amended by Chapter 1058, Statutes of 2000 | 15,186 | – | – |
| Chapter 986, Statutes of 2000 (Los Angeles COE Staff Development) | – | 1,000 | – |
| Chapter 71, Statutes of 2000, Section 35 (Childcare Facilities Revolving Fund) | 25,000 | – | – |
| Chapter 459, Statutes of 2000 (Gender Equity Training Program) | – | 100 | – |
| Chapter 78, Statutes of 1996, Section 18 (Proposition 98 Loan Repayment) | 310,000 | 350,000 | 350,000 |
| Chapter 3X, Statutes of 1999 Sec 2(a)(1) & Sec 2(a)(3) (Sch Acct, Rewds & Invent) | 159,854 | – | – |
| Chapter 71, Statutes of 2000, Section 40 | 350,000 | – | – |
| Chapter 71, Statutes of 2000, Section 37 | 250,000 | – | – |
| Education Code Sec 60452.5(a), Chapter 312, Statutes of 1998 (Instructional Mat) | 250,000 | 250,000 | 250,000 |
| Chapter 734, Statutes of 1999, Section 9(a) (Parental Involvement Programs) | 20,000 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 5,366 | – |
| Chapter 71, Statutes of 2000, Section 38 (State Mandates) | 139,000 | – | – |
| Chapter 574, Statutes of 1999 (Mandates Claims Bill) | 10,635 | – | – |
| Pending Legislation (State Mandates) | – | – | 68,018 |
| Totals Available | $25,329,783 | $27,444,868 | $29,690,570 |
| Unexpended balance, estimated savings | −266,010 | −40,000 | – |
| Balance available in subsequent years | −135,151 | −11,150 | – |
| TOTALS, EXPENDITURES | $24,928,622 | $27,393,718 | $29,690,570 |
| Loan repayment per Chapter 886, Statutes of 1993 (Military ADA) | −1,676 | −1,676 | −1,676 |
| NET TOTALS, EXPENDITURES | $24,926,946 | $27,392,042 | $29,688,894 |

### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 117 Budget Act appropriation (Vocational Education) | $562 | $562 | $562 |
| 129 Budget Act appropriation (Intergenerational Programs) | 171 | 171 | 171 |
| 130 Budget Act appropriation Adv via Individual Determination (AVID) | 1,000 | 1,300 | 12,300 |
| 136 Budget Act appropriation | – | 49,000 | 59,000 |
| 152 Budget Act appropriation (American Indian Education Centers) | 376 | 376 | 376 |
| 165 Budget Act appropriation (Vocational Education-JTPA Match) | 7,022 | 7,022 | 7,022 |
| 194 Budget Act appropriation (Staff Development Adm. Science Geography) | 3,201 | 3,201 | 3,201 |
| 202 Budget Act appropriation (Child Nutrition) | 11,360 | 12,049 | 12,520 |
| 216 Budget Act appropriation (Lewis Center Science Research Education Campus) | – | 4,500 | – |
| Education Code Section 10554 (less funding provided by audit exceptions) | −1,000 | −8,600 | −12,000 |
| Education Code Section 10554 (Transfer to Educational Telecommnication Fund) | 1,000 | 8,600 | 12,000 |
| Chapter 78, Statutes of 1999 Section 65 (Various) | 11,989 | – | – |
| Chapter 1058, Statutes of 2000, Section 129(a)(1)(b) | 100 | – | – |
| Chapter 78, Statutes of 1999, Section 68 (Challenger Learning Centers) | 1,000 | – | – |
| Chapter 1003, Statutes of 1999, Section 9 CSR Audit Exception (North Cow Creek) | 17 | – | – |
| Chapter 574, Statutes of 1999 (Mandate Claims) | 40,608 | – | – |
| Adjustment per Government Code Section 17613 | 12,509 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| Prior year balances available: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Item 6110-107-0001/1996, Reapp by Item 6110-490/1999 | $132 | – | – |
| Item 6110-107-0001/1997, Reapp by Item 6110-490/1999 | 101 | – | – |
| Item 6110-107-0001/1998 | 96 | – | – |
| Item 6110-112-0001/1998, Reapp by Chapter 313, Statutes of 1998 | 70,000 | – | – |
| Item 6110-181-0001/1999, Reapp by Item 6110-486/2000 | – | $2,835 | – |
| Item 6110-184-0001/1999, Reapp by Item 6110-486/2000 | – | 17,806 | – |
| Item 6110-191-0001/1998, Reapp by Item 6110-493/1999 | 20,380 | – | – |
| Item 6110-196-0001/1997 | 35,266 | – | – |
| Item 6110-196-0001/1998, Reapp by Item 6110-490/1999 and 2000 | 90,828 | 54,331 | – |
| Item 6110-196-0001/1998, Reapp by Item 6110-494/2001 | – | – | $5,662 |
| Item 6110-196-0001/1999, Reapp by Item 6110-494/2001 | – | 48,386 | 64,163 |
| Item 6110-196-0001/2000, Reapp by Item 6110-494/2001 | – | – | 11,150 |
| Item 6110-200-0001/1998, Reapp by Item 6110-490/1999 | 10,000 | – | – |
| Item 6110-230-0001/1998, Reapp by Chapter 844, Statutes of 1998 | 3,888 | – | – |
| Item 6110-230-0001/1998, Reapp by Chapter 844, Statutes of 1998 | – | 2,827 | – |
| Item 6110-234-0001/1998, Reapp by Item 6110-487/2000 | – | 42,917 | – |
| Reappropriation from the Prop 98 Reversion Acct per Item 6110-485/1999 | 165,378 | 232,446 | 268,412 |
| Chapter 237, Statutes of 1999, Junction School District | – | 21 | – |
| Chapter 793, Statutes of 1998 (Advanced Placement Exams) | 1,500 | – | – |
| Chapter 794, Statutes of 1998 International Baccalaurate Program | 145 | 198 | – |
| Chapter 795, Statutes of 1998 (College Preparatory Courses) | 1,004 | 1,024 | – |
| Education Code Section 315 (Proposition 227), Reapp by Item 6110-490/1999 | 50,000 | – | – |
| Chapter 152, Statutes of 1999 | 11,599 | 11,599 | – |
| Chapter 78, Statutes of 1999 Section 65 (Various) | – | 2,000 | – |
| Chapter 330, Statutes of 1998, Section 57(a) (Statewide Assessment) | 965 | – | – |
| Reappropriation from Proposition 98 Reversion Account per Item 6110-488/1999 | 12,000 | – | – |
| Reappropriation from Prop 98 Reversion Acct per Item 6110-488/2000 | – | 12,000 | – |
| Chapter 645, Statutes of 1999 (School Safety County Offices) | – | – | – |
| Chapter 204, Statutes of 1996, Section 47 (Golden State Merit Diploma) | 772 | 724 | – |
| Chapter 1058, Statutes of 2000, Section 129(a)(1)(b) | – | 100 | – |
| Chapter 299, Statutes of 1997 Sec 41(b)(1) (Standardized Acct Code) | 7,578 | 83 | – |
| Chapter 3X, Statutes of 1999 Sec 2(a)(1) & Sec 2(a)(3) (Sch Acct, Rewds & Invent) | – | 46,104 | – |
| Chapter 330, Statutes of 1998, Sec 55(a) (Scholarships CA-Japan Scholars Program) | 50 | 50 | – |
| Chapter 330, Statutes of 1998 Sec 52(i) 8/20/01 Long Beach Deseg Settlement | – | – | – |
| Chapter 734, Statutes of 1999, Section (Parental Involvement Programs) | – | 20,000 | – |
| Chapter 330, Statutes of 1998 Section 52(a) (Math Staff Development) | 14,270 | 2 | – |
| Chapter 330, Statutes of 1998 Sec 52(b) (Test Lead in School Drinking Water) | 1,053 | 2 | – |
| Chapter 330, Statutes of 1998 Sec 52(d) (National Board Certification) | 3,790 | 1,630 | – |
| Chapter 330, Statutes of 1998 Section 52(f) (Standarized Account Code) | 5,500 | 1 | – |
| Chapter 330, Statutes of 1998 Sec 52(h) (School Community Policing Program) | 10,000 | 543 | – |
| Chapter 330, Statutes of 1998 Sec 53(c) (Montebello USD-School Security Devices) | 50 | – | – |
| Chapter 330, Statutes of 1998 Sec 53(m) (High School Coach Training) | 981 | 762 | – |
| Totals Available | $607,241 | $576,572 | $444,539 |
| Unexpended balance, estimated savings | −87,805 | – | – |
| Balance available in subsequent years | −21,543 | −5,662 | – |
| TOTALS, EXPENDITURES | $497,893 | $570,910 | $444,539 |
| Loan Repayment per Chapter 950, Statutes of 1997 (West Contra Costa USD) | −1,866 | −1,866 | −1,866 |
| Loan Repayment per Chapter 924, Statutes of 1993 (Compton Unified School Distrct) | −3,940 | −3,758 | – |
| Loan Repayment per Chapter 59, Statutes of 1992 (Coachella Loan Repayment) | −957 | −957 | −957 |
| NET TOTALS, EXPENDITURES | $491,130 | $564,329 | $441,716 |
| TOTALS, GENERAL FUND EXPENDITURES | $25,418,076 | $27,956,371 | $30,130,610 |

### 0030 County School Service Fund Contingency Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 14035 | $56 | $100 | $100 |
| TOTALS, EXPENDITURES | $56 | $100 | $100 |
| Less funding provided by the General Fund | −56 | −100 | −100 |
| NET TOTALS, EXPENDITURES | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

### 0119 1998 State School Facilities Fund [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Education Code Section 17070.40 (School Facilites, CSR Bond Funds) | $402,092 | – | – |
| TOTALS, EXPENDITURES | $402,092 | – | – |

### 0140 California Environmental License Plate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 181 Budget Act appropriation (Environmental Education) | $800 | $800 | $800 |
| Totals Available | $800 | $800 | $800 |
| Unexpended balance, estimated savings | −3 | – | – |
| TOTALS, EXPENDITURES | $797 | $800 | $800 |

### 0178 Driver Training Penalty Assessment Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Transfer to Various Funds per Section 24.10 | ($39,182) | ($43,469) | ($45,545) |
| TOTALS, EXPENDITURES | – | – | – |

### 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (Grants to County Offices) | $3,800 | $3,800 | $3,800 |
| 102 Budget Act appropriation (District Grants) | 23,244 | 23,244 | 23,244 |
| Totals Available | $27,044 | $27,044 | $27,044 |
| Unexpended balance, estimated savings | −190 | – | – |
| TOTALS, EXPENDITURES | $26,854 | $27,044 | $27,044 |

### 0342 State School Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 14002 | $21,958,666 | $25,329,961 | $26,631,981 |
| TOTALS, EXPENDITURES | $21,958,666 | $25,329,961 | $26,631,981 |
| Less funding provided by the General Fund | −21,950,265 | −25,321,560 | −26,623,580 |
| NET TOTALS, EXPENDITURES | $8,401 | $8,401 | $8,401 |

### 0349 Educational Telecommunication Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (California Student Information System) | $1,000 | $8,600 | $12,000 |
| Totals Available | $1,000 | $8,600 | $12,000 |
| Unexpended balance, estimated savings | −500 | – | – |
| TOTALS, EXPENDITURES | $500 | $8,600 | $12,000 |
| Less funding provided by the General Fund | −1,000 | −8,600 | −12,000 |
| NET TOTALS, EXPENDITURES | −$500 | – | – |

### 0606 Charter School Revolving Loan Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 41365 | $5,170 | $25,575 | – |
| TOTALS, EXPENDITURES | $5,170 | $25,575 | – |
| Less funding provided General Fund per Prop 98 Reversion Account | – | −25,000 | – |
| NET TOTALS, EXPENDITURES | $5,170 | $575 | – |

### 0620 Child Care Facilities Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 8277.5 (Ch 299, Statutes of 1997, Sec 9) (Chld Care Fclt) | $27,597 | $107,180 | $61,806 |
| TOTALS, EXPENDITURES | $27,597 | $107,180 | $61,806 |
| Less funding provided by the General Fund | −73,828 | −41,146 | −53,766 |
| NET TOTALS, EXPENDITURES | −$46,231 | $66,034 | $8,040 |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

### 0812 Reader Employment Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Education Code Section 45371 (Reader Services for Blind Teachers) | $295 | $309 | $309 |
| TOTALS, EXPENDITURES | $295 | $309 | $309 |
| Less funding provided by the General Fund | –295 | –309 | –309 |
| NET TOTALS, EXPENDITURES | – | – | – |

### 0814 California State Lottery Education Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $785,448 | $744,397 | $826,447 |
| Revised expenditure authority per Provision 1 | –16,040 | 82,050 | – |
| TOTALS, EXPENDITURES | $769,408 | $826,447 | $826,447 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (ESEA-Title VI) | $38,472 | $38,472 | $38,472 |
| Budget Adjustment | –29 | – | – |
| 102 Budget Act appropriation (Cal-Serve/Service America) | 2,131 | 2,131 | 2,131 |
| Budget Adjustment | –102 | – | – |
| 103 Budget Act appropriation (Robert C. Byrd Honors Scholarship) | 4,680 | 4,790 | 4,793 |
| Budget Adjustment | – | 3 | – |
| 111 Budget Act appropriation (Character Education Pilot Projects) | 175 | – | – |
| Budget Adjustment | –106 | – | – |
| 112 Budget Act appropriation (Public Charter Schools) | 16,560 | 12,632 | 12,632 |
| Budget Adjustment | –2,946 | – | – |
| 128 Budget Act appropriation (Eisenhower Math-Science Teacher Training) | 31,873 | 31,873 | 31,873 |
| Budget Adjustment | –1,519 | – | – |
| 136 Budget Act appropriation (ESEA-Title 1) | 905,561 | 1,013,808 | 1,033,717 |
| Budget Adjustment | 46,142 | 19,908 | – |
| 141 Budget Act appropriation (ESEA, Title 1-Migrant) | 108,448 | 109,448 | 107,448 |
| Budget Adjustment | –686 | – | – |
| 142 Budget Act appropriation (Goals 2000) | 47,428 | 67,657 | – |
| Budget Adjustment | –22,816 | – | – |
| 156 Budget Act appropriation (Adult Education) | 42,284 | 48,322 | 48,322 |
| Budget Adjustment | –9,501 | 7,651 | – |
| 161 Budget Act appropriation (Special Education) | 453,198 | 522,141 | 652,343 |
| Budget Adjustment | –3,424 | 5,618 | – |
| 166 Budget Act appropriation (Vocational Education) | 119,613 | 123,054 | 123,054 |
| Budget Adjustment | –4,626 | – | – |
| 176 Budget Act appropriation (Emergency Immigrant Education) | 39,174 | 41,191 | 41,191 |
| Budget Adjustment | –1,267 | – | – |
| 180 Budget Act appropriation (Technology Literacy Challenge Fund Grants) | 45,204 | 49,096 | 49,096 |
| 183 Budget Act appropriation (Drugfree Schools and Communities Program) | 45,494 | 47,947 | 47,947 |
| Budget Adjustment | –7,867 | – | – |
| 196 Budget Act appropriation (Child Development) | 620,409 | 814,993 | 676,845 |
| Budget Adjustment | 110,149 | 19,480 | – |
| 201 Budget Act appropriation (Child Nutrition) | 1,290,106 | 1,333,256 | 1,333,256 |
| Budget Adjustment | –21,208 | – | – |
| 234 Budget Act appropriation (Class Size Reduction) | 129,142 | 139,961 | 139,997 |
| Budget Adjustment | –4,627 | 36 | – |
| Federal Funds | – | 0 | – |
| Budget Adjustment | – | 57,600 | – |
| Chapter 3X, Statutes of 1999 Sec 2(a)(2) (Comprehensive School Reform) | 32,446 | – | – |
| Prior year balances available: | | | |
| Item 6110-196-0890/1998, Reapp by Item 6110-494/2000 and 2001 | – | 31,413 | 4,860 |
| Item 6110-196-0890/1999, Reapp by Item 6110-494/2001 | – | – | 74,454 |
| Chapter 3X, Statutes of 1999 Sec 2(a)(2) (Comprehensive School Reform) | – | 17,228 | – |
| Totals Available | $4,047,965 | $4,559,709 | $4,422,431 |
| Balance available in subsequent years | –17,228 | –4,860 | – |
| TOTALS, EXPENDITURES | $4,030,737 | $4,554,849 | $4,422,431 |

### 0942 Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 1330 (UI Admin) | $1,559 | $1,460 | $1,460 |
| TOTALS, EXPENDITURES | $1,559 | $1,460 | $1,460 |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

### 0955 State Instructional Materials Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Education Code Section 60240 (Instructional Materials Fund) | $158,062 | $164,852 | $172,907 |
| TOTALS, EXPENDITURES | $158,062 | $164,852 | $172,907 |
| Less funding provided by the General Fund | −158,062 | −164,852 | −172,907 |
| NET TOTALS, EXPENDITURES | – | – | – |

### 0975 California Public School Library Protection Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $345 | $158,845 | $158,845 |
| Transfer from 6110-149-0001 per Education Code Section 18182 | 158,500 | – | – |
| TOTALS, EXPENDITURES | $158,845 | $158,845 | $158,845 |
| Less funding provided by the General Fund | −158,500 | −158,500 | −158,500 |
| NET TOTALS, EXPENDITURES | $345 | $345 | $345 |

### 0986 Local Property Tax Revenues [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| District Local Revenue | $9,563,633 | $10,307,515 | $11,118,044 |
| County Offices Local Revenue | 282,633 | 304,542 | 328,774 |
| Special Education Local Revenue | 254,102 | 285,847 | 312,329 |
| TOTALS, EXPENDITURES | $10,100,368 | $10,897,904 | $11,759,147 |

### 0995 Reimbursements

| APPROPRIATIONS | | | |
|---|---|---|---|
| Reimbursements for Item 6110-105-0001 | $3,161 | $7,317 | $7,317 |
| Reimbursements for Item 6110-156-0001 | 8,449 | 8,739 | 8,739 |
| Reimbursements for Item 6110-161-0001 | 14,173 | 14,395 | 14,395 |
| Reimbursements for Item 6110-165-0001 | 11,719 | 13,846 | 13,846 |
| Reimbursements for Item 6110-194-0001 | – | – | 300 |
| Reimbursements for Item 6110-201-0001 | 747 | 800 | 800 |
| TOTAL EXPENDITURES | $38,249 | $45,097 | $45,397 |
| TOTALS, EXPENDITURES (Local Assistance) | $40,755,325 | $44,385,327 | $47,230,122 |
| TOTALS, EXPENDITURES (State Operations and Local Assistance) | $40,965,119 | $44,650,758 | $47,479,087 |

---

## FUND CONDITION STATEMENT

### 0030 County School Services Fund Contingency Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6110 Department of Education (Local Assistance) | $56 | $100 | $100 |
| Expenditure Reductions: | | | |
| 6110 Department of Education: | | | |
| Less funding provided by the General Fund (Local Assistance) | −56 | −100 | −100 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

### 0178 Driver Training Penalty Assessment Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $113 | $113 | $113 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 130700 Penalties on traffic violations (and criminal convictions) | 40,223 | 44,533 | 46,618 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Budget Act Section 24.10 | −14,561 | −18,848 | −20,924 |
| T00170 Corrections Training Fund per Budget Act Section 24.10 | −6,500 | −6,500 | −6,500 |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| T00268 Peace Officers Training Fund per Budget Act Section 24.10 | –$14,000 | –$14,000 | –$14,000 |
| T00425 Victim/Witness Assistance Fund per Budget Act Section 24.10 | –4,121 | –4,121 | –4,121 |
| Totals, Transfers to Other Funds | –$39,182 | –$43,469 | –$45,545 |
| Totals, Revenues and Transfers | $1,041 | $1,064 | $1,073 |
| Totals, Resources | $1,154 | $1,177 | $1,186 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6110 Department of Education (State Operations) | 1,041 | 1,064 | 1,073 |
| FUND BALANCE | $113 | $113 | $113 |
| Reserve for economic uncertainties | 113 | 113 | 113 |

### 0342 State School Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 151800 Federal lands royalties | $9,884 | $9,884 | $9,884 |
| Totals, Revenues and Transfers | $9,884 | $9,884 | $9,884 |
| Totals, Resources | $9,884 | $9,884 | $9,884 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6110 Department of Education (Local Assistance) | 21,958,666 | 25,329,961 | 26,631,981 |
| 6870 Board of Governors of the California Community Colleges (Local Assistance) | 2,283,906 | 2,648,935 | 2,834,798 |
| Totals, Disbursements | $24,242,572 | $27,978,896 | $29,466,779 |
| Expenditure Reductions: | | | |
| 6110 Department of Education: | | | |
| Less funding provided by the General Fund (Local Assistance) | –21,950,265 | –25,321,560 | –26,623,580 |
| 6870 Board of Governors of the California Community Colleges: | | | |
| Less funding provided by the General Fund (Local Assistance) | –2,282,423 | –2,647,452 | –2,833,315 |
| Totals, Expenditure Reductions | –$24,232,688 | –$27,969,012 | –$29,456,895 |
| Totals, Expenditures | $9,884 | $9,884 | $9,884 |
| FUND BALANCE | – | – | – |

### 0349 Educational Telecommunication Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $514 | $1,014 | $1,014 |
| Expenditures: | | | |
| 6110 Department of Education (Local Assistance) | 500 | 8,600 | 12,000 |
| Expenditure Reductions: | | | |
| 6110 Department of Education (Local Assistance): | | | |
| Less funding provided by the General Fund | –1,000 | –8,600 | –12,000 |
| Totals, Expenditures | –$500 | – | – |
| FUND BALANCE | $1,014 | $1,014 | $1,014 |
| Reserve for economic uncertainties | 1,014 | 1,014 | 1,014 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 2,286.6 | 2,746.2 | 2,732.4 | $112,010 | $142,491 | $144,832 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Excess Vacant Position Analysis: | | | | | | |
| Executive Branch Divisions: | | | | | | |
| Superintendent of Public Instruction and Director of Education: | | | | | | |
| Executive Management: | | | | | | |
| State Board of Education: | | | | | | |
| Ofc Asst | – | – | –1.0 | – | – | – |
| Totals, Executive Branch | – | – | –1.0 | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| Executive Branch Divisions: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Executive Management: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | $3,764–4,576 | – | $50 |
| Totals, Executive Branch | – | – | 1.0 | – | – | $50 |
| Child, Youth and Family Services Branch Divisions: | | | | | | |
| Child, Youth and Family Services Branch Management: | | | | | | |
| Learning Support and Partnership Division: | | | | | | |
| Nutrition Services Division: | | | | | | |
| Child Development Division: | | | | | | |
| Child, Youth and Family Services Branch Management Systems: | | | | | | |
| Ofc Asst | – | – | −4.0 | – | – | – |
| Staff Svcs Analyst | – | – | −1.0 | – | – | – |
| Warehouse Worker | – | – | −4.0 | – | – | – |
| Child Dev Consultant | – | – | −2.0 | – | – | – |
| Educ Prog Consultant | – | – | −1.0 | – | – | – |
| Totals, Child, Youth and Family Services Branch | – | – | −12.0 | – | – | – |
| Child, Youth and Family Services Branch: | | | | | | |
| Learning Support and Partnership Division: | | | | | | |
| School Hlth Educ Consultant [1] | – | 2.0 | (2.0) | 4,898–5,951 | $71 | – |
| Educ Prog Consultant [2] | – | – | 1.0 | 4,898–5,951 | – | 67 |
| Assoc Govtl Prog Analyst [1] | – | 0.5 | (0.5) | 3,764–4,576 | 28 | – |
| Assoc Govtl Prog Analyst [2] | – | – | 1.0 | 3,764–4,576 | – | 52 |
| Nutrition Services Division: | | | | | | |
| Child Nutrition Consultant [3] | – | – | 3.0 | 3,657–4,572 | – | 148 |
| Child Development Division: | | | | | | |
| Child Dev Consultant [4] | – | – | 1.5 | 4,898–5,951 | – | 98 |
| Educ Prog Consultant [5] | – | – | −1.0 | 4,898–5,951 | – | −65 |
| Totals, Child, Youth and Family Services Branch | – | 2.5 | 5.5 | – | $99 | $300 |
| Finance, Technology and Administration Branch Divisions: | | | | | | |
| Finance, Technology and Administration Branch Management: | | | | | | |
| Personnel Services Division: | | | | | | |
| Fiscal and Administrative Services Division: | | | | | | |
| School Facilities Planning Division: | | | | | | |
| CDE Press-Publications: | | | | | | |
| School Fiscal Services Division: | | | | | | |
| Education Technology and Information Management Division: | | | | | | |
| Educational Demographics: | | | | | | |
| Ofc Asst | – | – | −2.0 | – | – | – |
| Staff Svcs Analyst | – | – | −5.0 | – | – | – |
| Totals, Finance, Technology and Administration Branch | – | – | −7.0 | – | – | – |
| Finance, Technology and Administration Branch Divisions: | | | | | | |
| Personnel Services Division: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 2.0 | 3,764–4,576 | – | 100 |
| School Facilities Planning Division: | | | | | | |
| Field Rep School Admin [6] | – | – | −1.0 | 4,898–5,951 | – | −65 |
| Field Rep School Admin [7] | – | – | 2.0 | 4,898–5,951 | – | 130 |
| Assoc Govtl Prog Analyst [6] | – | – | −1.0 | 3,764–4,576 | – | −50 |
| Assoc Govtl Prog Analyst [7] | – | – | 1.0 | 3,764–4,576 | – | 50 |
| Ofc Asst [6] | – | – | −1.0 | 1,775–2,370 | – | −25 |
| Ofc Asst [7] | – | – | 1.0 | 1,775–2,370 | – | 25 |
| School Fiscal Services Division: | | | | | | |
| Educ Fiscal Svcs Consultant [8] | – | – | 1.0 | 4,898–5,951 | – | 65 |
| Educ Prog Consultant [10] | – | – | 2.0 | 4,898–5,951 | – | 130 |
| Assoc Govtl Prog Analyst [9] | – | – | 1.0 | 3,764–4,576 | – | 50 |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Education Technology and Information Management Division: | | | | Salary Range | | |
| Educ Prog Consultant [11] | – | – | –3.0 | $4,898–5,951 | – | –$195 |
| Educ Prog Consultant [12] | – | – | 3.0 | 4,898–5,951 | – | 195 |
| Assoc Govtl Prog Analyst [11] | – | – | –1.0 | 3,764–4,576 | – | –50 |
| Totals, Finance, Technology and Administration Branch | – | – | 6.0 | – | – | $360 |
| Curriculum and Instruction Leadership Branch Divisions: | | | | | | |
| Curriculum and Instructional Leadership Branch Management: | | | | | | |
| District and School Support Division: | | | | | | |
| Elementary Education Division: | | | | | | |
| Middle Grades Division: | | | | | | |
| Secondary Education Division: | | | | | | |
| Career Development-WorkForce Preparation: | | | | | | |
| Ofc Asst | – | – | –9.0 | – | – | – |
| Staff Svcs Analyst | – | – | –2.0 | – | – | – |
| Educ Prog Consultant | – | – | –11.7 | – | – | – |
| Totals, Curriculum and Instruction Leadership Branch | – | – | –22.7 | – | – | – |
| Curriculum and Instruction Leadership Branch Divisions: | | | | | | |
| Curriculum Support Division: | | | | | | |
| Educ Prog Consultant | – | 1.0 | – | 4,898–5,951 | $68 | – |
| Secondary Education Division: | | | | | | |
| Educ Prog Consultant [13] | – | – | 1.0 | 4,898–5,951 | – | 65 |
| Assoc Govtl Prog Analyst | – | 1.0 | – | 3,764–4,576 | 55 | – |
| Ofc Techn-Typing | – | 1.0 | – | 2,348–2,855 | 31 | – |
| Totals, Curriculum and Instructional Leadership Branch Management | – | 3.0 | 1.0 | – | $154 | $65 |
| Education Equity, Access and Support Branch: | | | | | | |
| Division of Special Education: | | | | | | |
| Educ Prog Consultant [14] | – | – | 3.0 | 4,898–5,951 | – | – |
| Assoc Info Sys Analyst-Spec [14] | – | – | 1.0 | 3,952–4,805 | – | – |
| Ofc Asst | – | – | 0.5 | 1,835–2,370 | – | 13 |
| State Special Schools Division: | | | | | | |
| Sr Programmer Analyst | – | – | 1.0 | 4,767–5,794 | – | 63 |
| Warehouse Worker | – | – | 2.0 | 2,462–2,927 | – | 65 |
| Ofc Techn | – | – | 1.0 | 2,258–2,745 | – | 30 |
| California School for the Blind-Fremont: | | | | | | |
| Ofc Techn | – | – | 3.0 | 2,258–2,745 | – | 90 |
| California School for the Deaf-Fremont: | | | | | | |
| Teacher-Spec | – | – | 3.0 | 4,103–4,985 | – | 164 |
| Teacher | – | – | 1.0 | 2,728–4,443 | – | 43 |
| Pers Svcs Spec I | – | – | 1.0 | 2,226–3,222 | – | 33 |
| Janitor | – | – | 0.3 | 1,795–2,182 | – | 8 |
| California School for the Deaf-Riverside: | | | | | | |
| Teacher-Spec | – | – | 1.0 | 4,103–4,985 | – | 55 |
| Registered Nurse | – | – | 0.5 | 3,235–4,600 | – | 47 |
| Support Svcs Asst-Interpreter | – | – | 3.0 | 2,537–3,519 | – | 109 |
| Education Support Systems Division: | | | | | | |
| Educ Prog Consultant | – | – | 1.0 | 4,898–5,951 | – | 65 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,764–4,576 | – | 50 |
| Totals, Education Equity, Access and Support Branch | – | – | 23.3 | – | – | $835 |
| Legal and Audits Branch Divisions: | | | | | | |
| Audit and Investigations Division: | | | | | | |
| Legal Office: | | | | | | |
| Ofc Asst | – | – | –1.0 | – | – | – |
| Totals, Legal and Audits Branch | – | – | –1.0 | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Legal and Audits Branch: | | | | | | |
| Legal Office: | | | | Salary Range | | |
| Educ Prog Consultant | – | – | 1.0 | $4,898–5,951 | – | $65 |
| Totals, Legal and Audits Branch | – | – | 1.0 | – | – | $65 |
| Accountability Branch Divisions: | | | | | | |
| Accountability Branch Management: | | | | | | |
| Office of Policy and Evaluation: | | | | | | |
| Staff Svcs Mgr I | – | – | 1.0 | 4,346–5,243 | – | 58 |
| Totals, Accountability Branch | – | – | 1.0 | – | – | $58 |
| Totals, Eliminated | – | – | –43.7 | – | – | – |
| Totals, Expiring Limited Term Positions | – | – | (–8.0) | – | – | – |
| Totals, Workload and Administrative Redirection | – | 5.5 | (38.8) | – | $253 | $1,733 |
| Four Percent GSI | – | – | – | – | – | 69 |
| Total Adjustments | – | 5.5 | –43.7 | – | $253 | $1,802 |
| TOTALS, SALARIES AND WAGES | 2,286.6 | 2,751.7 | 2,688.7 | $112,010 | $142,744 | $146,634 |

[1] TUPE positions included as permanent in budget year 7A (Non-Add on Schedule 2).
[2] After School Program Unit permanent position for expansion.
[3] For technical assistance and program compliance workload.
[4] Field Services Unit Permanent positions funded with federal funds from the CCDF.
[5] Expiring limited-term position authorized in 1999–00 for 2 years for capacity building in underserved areas.
[6] Expiring limited-term position authorized in 99/00. Funded from 1998 School Facilities Bond Fund.
[7] Permanent positions funded from the 1998 School Facilities Bond.
[8] Fiscal Policy Unit position for State Appropriations Limit administration, bill analysis, and response to mandate claims.
[9] Two-year limited-term position to implement GASB 34 modifications and technical assistance to the field. Expires June 30, 2003. FAIS Unit.
[10] Charter Unit positions to address workload increases driven by the rise in charter schools.
[11] Expiring limited-term positions authorized in 99/00. Funded from Federal Technology Literacy Challenge Grant.
[12] Limited-term positions through June 30, 2003. Funded from Federal Technology Literacy Challenge Grant.
[13] Redirected for Partnership Academy Support.
[14] Positions are in a FY 2001 BCP to be continued as permanent. Initially funded in the 1999 Budget Act with federal funds.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **80 CAPITAL OUTLAY** | | | |
| The State Special Schools Division has six facilities under its jurisdiction, three schools and three diagnostic centers. The schools include Schools for the Deaf in Fremont and Riverside, and a School for the Blind, in Fremont. The diagnostic centers are located in Fresno, Fremont and Los Angeles. These facilities comprise 949,000 gross square feet on 176 acres. | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Budget Adjustment Proposed for 2000–01** | | | |
| • The Budget proposes projects to address critical infrastructure needs at the Fremont Facility. | | | |
| **Major Projects** | | | |
| **80.60 CALIFORNIA SCHOOL FOR THE BLIND, FREMONT** | | | |
| 80.60.005 Health Services Facility | $241 PW | $1,868 C | – |
| 80.60.025 Young Children's Housing B | – | 87 PW | $351 C |
| This project will rehabilitate 3,750 gross square feet. | | | |
| **80.75 CALIFORNIA SCHOOL FOR THE DEAF, FREMONT** | | | |
| 80.75.020 Pupil Personnel Services B | – | 257 PW | 2,124 C |
| This project will design and construct a 8,762 gross square feet Pupil Personnel Services facility. | | | |
| **80.80 CALIFORNIA SCHOOL FOR THE DEAF, RIVERSIDE** | | | |
| 80.80.010 Middle School Facilities | 601 PW | 5,572 C | 93 E |
| Totals, Major Projects | $842 | $7,784 | $2,568 |
| **Minor Projects** | | | |
| 80.55.091 Minor Projects | $218 | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $1,060 | $7,784 | $2,568 |
| *0001 General Fund* | *1,060* | *7,784* | *2,568* |

*** Dollars in thousands, except in Salary Range.**

## 6110 DEPARTMENT OF EDUCATION—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **RECONCILIATION WITH APPROPRIATIONS** | | | |
| **3 CAPITAL OUTLAY** | | | |
| **0001 General Fund** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | $1,060 | $7,784 | $2,568 |
| TOTALS, EXPENDITURES (Capital Outlay) | $1,060 | $7,784 | $2,568 |

## 6120 CALIFORNIA STATE LIBRARY

The goal of the State Library is to make information available to users in a coordinated, effective, and efficient manner. The State Library provides services to the Legislative and Executive Branches of State government, to members of the public and to California public libraries, develops and promotes outreach programs such as the California Literacy Campaign, and develops information technology systems to improve resource sharing and access to information.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 State Library Services | 130.7 | 160.5 | 158.6 | $16,942 | $19,570 | $19,547 |
| 20 Library Development Services | 31.9 | 54.1 | 54.1 | 99,462 | 114,747 | 457,730 |
| 30 Information Technology Bureau | 6.1 | 8.5 | 8.5 | 940 | 1,002 | 1,004 |
| 40 Administration | 20.9 | 24.7 | 26.6 | 1,552 | 1,734 | 1,813 |
| Distributed Administration | – | – | – | −1,552 | −1,734 | −1,813 |
| TOTALS, PROGRAMS | 189.6 | 247.8 | 247.8 | $117,344 | $135,319 | $478,281 |
| *0001 General Fund* | | | | *100,875* | *113,344* | *109,337* |
| *0020 California State Law Library Special Account* | | | | *503* | *755* | *797* |
| *0794 California Library Construction and Renovation Fund* | | | | *208* | *208* | *208* |
| *0890 Federal Trust Fund* | | | | *14,965* | *15,033* | *15,235* |
| *0995 Reimbursements* | | | | *793* | *3,910* | *577* |
| *6000 California Public Library Construction and Renovation Fund* | | | | – | *2,069* | *352,127* |

### 10 STATE LIBRARY SERVICES

#### Program Objectives Statement

The State Library Services (SLS) program provides library services to a variety of library users both directly and indirectly through other libraries. In order to perform its functions, State Library Services gathers, catalogs, preserves and protects materials so they may be used easily.

The interlibrary loan service supplements the collections of California libraries. Reference and informational questions are also answered for local libraries. The SLS also coordinates the distribution of State and federal publications to libraries so residents using local libraries have convenient access to official government publications.

Through the Braille and Talking Book Library, which is a regional library designated by the Library of Congress National Library Service for the Blind and Physically Handicapped, braille and recorded books (records and cassettes) and special playback equipment are provided to blind and physically disabled residents of Northern California who are unable to use standard print materials. Funds are provided for the Braille Institute in Los Angeles to operate the Southern California Regional Library. Government Code Section 68926.3, provides an estimated $700,000 annually, from appellate court filing fees, to partially support the Bernard E. Witkin State Law Library.

The California Research Bureau (CRB) provides nonpartisan analytical research and specialized library services on major state issues for both houses of the Legislature, the Governor's Office and other constitutional officers. It maintains a growing publications program on state policy matters, including CRB notes that provide current summaries of state issues, as well as more in-depth research works.

#### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $106,000 and one redirected position for facilities management of the Library and Courts buildings, the Sutro Library, and the new Office of Library Construction.
- An augmentation of $157,000 and two redirected positions to digitize the Library's collection of historic photographs and make them available on-line.
- A one-time augmentation of $429,000 for increased costs of maintenance and repair needs in the Library & Courts II building.

#### Authority

Education Code Sections 19320(h), 19320(k), 19323, 19324.

### 20 LIBRARY DEVELOPMENT SERVICES

#### Program Objectives Statement

The Library Development Services program provides state and federal financial assistance to libraries and provides technical consulting assistance to help local libraries extend and improve services to all residents. The primary components of the program are: (a) the Library of California, (b) the California Library Services Act, (c) the California Library Literacy Services Program, (d) the Families for Literacy Program, (e) the Public Library Foundation Program and (f) the Library Services and Technology Act Program.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6120 CALIFORNIA STATE LIBRARY—Continued

The Library of California extends the previously enacted California Library Services Act program to libraries of all types throughout the state. It also expands the programs and services provided by the State to include such things as efficient access to licensed databases, telecommunications links among libraries, electronic loan of materials, cooperative collection development, and coordinated efforts to preserve California's information resources.

The California Library Services Act helps public libraries and cooperative public library systems provide coordinated reference services and provides reimbursement for interlibrary loans of materials and loans to nonresident borrowers through the Transaction Based Reimbursement Program.

The California Library Literacy Service Program provides community-centered literacy assistance to adults who have missed the opportunity to learn to read English.

The Families for Literacy Program seeks to break the cycle of illiteracy by engaging the children of functionally illiterate adults in family-oriented activities designed to promote reading readiness and the joy of reading. Together the California Library Literacy Services Program and Families for Literacy Program comprise the California Literacy Campaign.

The Public Library Foundation Act is a funding formula under which the State contributes funding for basic local library services under specified conditions.

The federal Library Services and Technology Act provides grants, on a competitive basis, to libraries of all types for developing new and innovate library services, technology assistance to libraries of all types, and library networking and resource sharing. The California Reading and Literacy Improvement and Public Library Construction and Renovation Bond Act was enacted through Proposition 14 in spring 2000 to provide $350 million in bond funds for construction and renovation of public libraries.

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $3,200,000 to continue implementation of the Library of California.
- An augmentation of $500,000 to expand participation in the Families for Literacy Program.
- An augmentation of $2,092,000 to increase state support of the Public Library Foundation Program.
- An augmentation of $41,000 to support the addition of new public libraries to the California Library Services Act system.
- An augmentation of $1,251,000 for rate and workload increases in the Transaction Based Reimbursement Program.
- An augmentation of $106,000 and two redirected positions for increased fiscal and business services workload.

## 30 INFORMATION TECHNOLOGY SERVICES

### Program Objectives Statement

The Information Technology Services program supports library technology operations and infrastructure, including the integrated bibliographic system, microcomputer systems and applications, specialized application of technology including access to the Internet, data communications, and related support services.

### Authority

Education Code Section 19320(d).

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 STATE LIBRARY SERVICES

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$13,946* | *$16,609* | *$16,515* |
| *0020 California State Law Library Special Account* | *503* | *755* | *797* |
| *0890 Federal Trust Fund* | *1,700* | *1,726* | *1,888* |
| *0995 Reimbursements* | *793* | *480* | *347* |
| Totals, State Operations | $16,942 | $19,570 | $19,547 |

### PROGRAM REQUIREMENTS
### 20 LIBRARY DEVELOPMENT SERVICES

| State Operations: | | | |
|---|---|---|---|
| *0001 General Fund* | *$2,619* | *$2,742* | *$2,748* |
| *0794 California Library Construction and Renovation Fund* | *208* | *208* | *208* |
| *0890 Federal Trust Fund* | *1,364* | *1,406* | *1,446* |
| *0995 Reimbursements* | *–* | *230* | *230* |
| *6000 California Public Library Construction and Renovation Fund* | *–* | *2,069* | *2,127* |
| Totals, State Operations | $4,191 | $6,655 | $6,759 |
| Local Assistance: | | | |
| *0001 General Fund* | *83,370* | *92,991* | *89,070* |
| *0890 Federal Trust Fund* | *11,901* | *11,901* | *11,901* |
| *0995 Reimbursements* | *–* | *3,200* | *–* |
| *6000 California Public Library Construction and Renovation Fund* | *–* | *–* | *350,000* |
| Totals, Local Assistance | $95,271 | $108,092 | $450,971 |

*** Dollars in thousands, except in Salary Range.**

## 6120 CALIFORNIA STATE LIBRARY—Continued

### PROGRAM REQUIREMENTS
### 30 INFORMATION TECHNOLOGY BUREAU

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$940* | *$1,002* | *$1,004* |
| Totals, State Operations | $940 | $1,002 | $1,004 |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $22,073 | $27,227 | $27,310 |
| Local Assistance | 95,271 | 108,092 | 450,971 |
| TOTALS, EXPENDITURES | $117,344 | $135,319 | $478,281 |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 189.6 | 260.8 | 257.3 | $8,682 | $11,994 | $12,141 |
| Total Adjustments | – | – | 3.5 | – | – | 122 |
| Estimated Salary Savings | – | –13.0 | –13.0 | – | –600 | –613 |
| Net Totals, Salaries and Wages | 189.6 | 247.8 | 247.8 | $8,682 | $11,394 | $11,650 |
| Staff Benefits | – | – | – | 1,600 | 2,532 | 2,593 |
| Totals, Personal Services | 189.6 | 247.8 | 247.8 | $10,282 | $13,926 | $14,243 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $11,791 | $11,050 | $10,683 |
| SPECIAL ITEMS OF EXPENSE | | | | – | 2,251 | 2,384 |
| TOTALS, EXPENDITURES | | | | $22,073 | $27,227 | $27,310 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 011 Budget Act appropriation | $15,225 | $17,857 | $17,858 |
| 012 Budget Act appropriation (debt service) | 642 | 2,251 | 2,384 |
| 013 Budget Act appropriation | – | 225 | 25 |
| Allocation for employee compensation | 868 | 36 | – |
| Adjustment per Section 3.60 | –479 | –101 | – |
| Adjustment per Section 4.50 (debt service) | 1,262 | – | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 86 | – |
| Adjustment per Section 16.00 | 6 | – | – |
| Transfer to Legislative Claims (9670) | – | –1 | – |
| Prior year balances available: | | | |
| Chapter 948, Statutes of 1998 | 336 | – | – |
| Totals Available | $17,860 | $20,353 | $20,267 |
| Unexpended balance, estimated savings | –355 | – | – |
| TOTALS, EXPENDITURES | $17,505 | $20,353 | $20,267 |

#### 0020 California State Law Library Special Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation | $703 | $758 | $797 |
| Allocation for employee compensation | 40 | 2 | – |
| Adjustment per Section 3.60 | –18 | –5 | – |
| Totals Available | $725 | $755 | $797 |
| Unexpended balance, estimated savings | –222 | – | – |
| TOTALS, EXPENDITURES | $503 | $755 | $797 |

#### 0794 California Library Construction and Renovation Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 19955 (expenditures) | $208 | $208 | $208 |

*** Dollars in thousands, except in Salary Range.**

## 6120 CALIFORNIA STATE LIBRARY—Continued

### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 011 Budget Act appropriation | $3,007 | $3,147 | $3,334 |
| Allocation for employee compensation | 149 | 3 | – |
| Adjustment per Section 3.60 | –92 | –18 | – |
| TOTALS, EXPENDITURES | $3,064 | $3,132 | $3,334 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $793 | $710 | $577 |

### 6000 Califoria Public Library Construction and Renovation [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation | – | $2,068 | $2,127 |
| Allocation for employee compensation | – | 2 | – |
| Adjustment per Section 3.60 | – | –1 | – |
| TOTALS, EXPENDITURES | – | $2,069 | $2,127 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $22,073 | $27,227 | $27,310 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| California Library Services Act | $17,516 | $19,828 | $21,620 |
| Public Library Foundation | 56,870 | 56,870 | 58,962 |
| English Language and Literacy Intensive Program | – | 10,000 | – |
| Public Library Projects | 400 | 1,005 | – |
| Library Services and Technology Act | 11,901 | 11,901 | 11,901 |
| Library of California | 7,584 | 3,988 | 7,188 |
| California Newspaper Project | – | 300 | 300 |
| California Civil Liberties Public Education Act | 1,000 | 1,000 | 1,000 |
| California Public Library Construction and Renovation | – | – | 350,000 |
| Reimbursements | – | 3,200 | – |
| TOTALS, EXPENDITURES | $95,271 | $108,092 | $450,971 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 102 Budget Act appropriation | $3,988 | $3,988 | $7,188 |
| 140 Budget Act appropriation | 400 | 1,005 | – |
| Allocation per Chapter 1003, Statutes of 1999 | 12 | – | – |
| 150 Budget Act appropriation | 1,000 | 1,000 | 1,000 |
| 160 Budget Act appropriation | – | 300 | 300 |
| 211 Budget Act appropriation | 17,518 | 19,828 | 21,620 |
| 212 Budget Act appropriation | – | 10,000 | – |
| 221 Budget Act appropriation | 56,870 | 56,870 | 58,962 |
| Prior year balances available: | | | |
| Chapter 948, Statutes of 1998 | 3,596 | – | – |
| Totals Available | $83,384 | $92,991 | $89,070 |
| Unexpended balance, estimated savings | –14 | – | – |
| TOTALS, EXPENDITURES | $83,370 | $92,991 | $89,070 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 211 Budget Act appropriation (expenditures) | $11,901 | $11,901 | $11,901 |

*** Dollars in thousands, except in Salary Range.**

## 6120 CALIFORNIA STATE LIBRARY—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | – | $3,200 | – |

### 6000 California Public Library Construction and Renovation Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 19987 (expenditures) | – | – | $350,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $95,271 | $108,092 | $450,971 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $117,344 | $135,319 | $478,281 |

### FUND CONDITION STATEMENT
### 0020 California State Law Library Special Account

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING RESERVES | $480 | $577 | $422 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 131700 Miscellaneous Revenue From Local Agencies | 600 | 600 | 600 |
| Totals, Resources | $1,080 | $1,177 | $1,022 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6120 California State Library (State Operations) | 503 | 755 | 797 |
| FUND BALANCE | $577 | $422 | $225 |
| Reserve for economic uncertainties | 577 | 422 | 225 |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 189.6 | 260.8 | 257.3 | $8,682 | $11,994 | $12,141 |
| Proposed New Positions: | | | | Salary Range | | |
| Staff Svcs Mgr I-Spec | – | – | 1.0 | 4,520–5,453 | – | 54 |
| Librarian | – | – | 0.5 | 3,733–4,535 | – | 23 |
| Ofc Asst-Gen | – | – | 2.0 | 1,846–2,465 | – | 45 |
| Totals, Proposed New Positions | – | – | 3.5 | – | – | $122 |
| Total Adjustments | – | – | 3.5 | – | – | $122 |
| TOTALS, SALARIES AND WAGES | 189.6 | 260.8 | 260.8 | $8,682 | $11,994 | $12,263 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **10 CAPITAL OUTLAY** | | | |
| The California State Library maintains the following facilities: Office Building 1 and the Library and Courts Annex building, both in Sacramento; and the Sutro Library in San Francisco. | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| **10.04 SUTRO LIBRARY** | | | |
| 10.04.002 Interim Measures | – | $203 PWC | – |
| This project will provide critical infrastructure and facility improvements. | | | |
| 10.04.003 Joint Use Facility Study | – | 50 S | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | – | $253 | – |
| *0001 General Fund* | – | *253* | – |

*** Dollars in thousands, except in Salary Range.**

## 6120 CALIFORNIA STATE LIBRARY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **RECONCILIATION WITH APPROPRIATIONS** | | | |
| **3 CAPITAL OUTLAY** | | | |
| **0001 General Fund** | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $253 | – |
| TOTALS, EXPENDITURES (Capital Outlay) | – | $253 | – |

# 6255 CALIFORNIA STATE SUMMER SCHOOL FOR THE ARTS

### Program Objectives Statement

The California State Summer School for the Arts (CSSSA) was created to provide California high school students who have demonstrated exceptional talent and excellence in the arts with intensive instruction through a multi-disciplinary, residential summer training program. The California State Summer School for the Arts allows students to choose from seven major disciplines of study: Animation, Creative Writing, Dance, Film/Video, Music, Theatre Arts, and Visual Arts. The program provides a training ground for future artists aspiring to careers in the State's arts and entertainment industries. The CSSSA is financed with state funds and private sector support pursuant to Education Code Section 8957. Private donation funds are raised and managed by the California State Summer School for the Arts Non-Profit Foundation. During fiscal year 1999–00, private sector support for the program totaled approximately $1,300,000, which included private contributions, student fees, earned interest and in-kind services.

### Major Budget Adjustments Proposed for 2001–02

- An augmentation of $20,000 General Fund to contract for seven additional faculty members.
- An augmentation of $45,000 General Fund to upgrade instructional equipment.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 California State Summer School for the Arts | 4.0 | 4.8 | 4.8 | $1,564 | $1,764 | $1,829 |
| *0001 General Fund* | | | | *730* | *891* | *956* |
| *0942 Special Deposit Fund* | | | | *834* | *873* | *873* |

### Authority

Education Code, Sections 8950–8959.

| SUMMARY BY OBJECT | | | | | | |
|---|---|---|---|---|---|---|
| **1 STATE OPERATIONS** | | | | | | |
| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
| Authorized Positions (Equals Sch. 7A) | 4.0 | 4.8 | 4.8 | $219 | $249 | $255 |
| Net Totals, Salaries and Wages | 4.0 | 4.8 | 4.8 | $219 | $249 | $255 |
| Staff Benefits | – | – | – | 38 | 37 | 37 |
| Totals, Personal Services | 4.0 | 4.8 | 4.8 | $257 | $286 | $292 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,307 | $1,478 | $1,537 |
| TOTALS, EXPENDITURES | | | | $1,564 | $1,764 | $1,829 |

| RECONCILIATION WITH APPROPRIATIONS | | | |
|---|---|---|---|
| **1 STATE OPERATIONS** | | | |
| **0001 General Fund** | | | |
| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
| 001 Budget Act appropriation | $723 | $890 | $956 |
| Allocation for employee compensation | 20 | 2 | – |
| Adjustment per Section 3.60 | –13 | –1 | – |
| TOTALS, EXPENDITURES | $730 | $891 | $956 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6255 CALIFORNIA STATE SUMMER SCHOOL FOR THE ARTS—Continued

### 0942 Special Deposit Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Government Code Section 16370 and Education Code Section 8957 (expenditures) | $834 | $873 | $873 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,564 | $1,764 | $1,829 |

# 6300 STATE CONTRIBUTIONS TO THE STATE TEACHERS' RETIREMENT SYSTEM

Funding for the State Teachers' Retirement System (STRS) is received from four separate sources: (1) teacher members who contribute 8 percent of their salary; (2) employing school districts which contribute 8.25 percent of member payroll; (3) income from investments; and (4) contributions from the State General Fund. From 1972 to 1976, the State contributed $135 million annually for the purpose of funding retirement benefits which were in effect on June 30, 1972. Chapter 323, Statutes of 1976, as amended by Chapter 991, Statutes of 1976, provided an additional amount of $9.3 million to fund a one-time cost of living increase in benefits. The State continued to contribute $144.3 million through fiscal year 1979–80.

Effective July 1, 1980, Chapter 282, Statutes of 1979, annually appropriated $144.3 million from the State General Fund for transfer to the STRS, cumulatively increased or decreased by the June to June change in the composite California Consumer Price Index (CCPI) for the Los Angeles and San Francisco areas for the preceding year. This Chapter also appropriated $10 million in Fiscal Year 1980–81 and $20 million in Fiscal Year 1981–82, with annual increases of $20 million thereafter. This amount was also indexed to the CCPI.

Chapter 460, Statutes of 1990, repealed the existing statutory contributions, and eliminated the 1990–91 contribution required by Chapter 282/79. Effective July 1, 1991, Chapter 460, Statutes of 1990, appropriated annually from the General Fund for transfer to the STRS an amount equal to 4.3% of total salaries of the preceding calendar year upon which members' contributions were based. This legislation, named the "Elder State Teachers' Retirement System Full Funding Act", was to provide full funding of both the normal cost deficit and the amortization of the unfunded obligation. Chapter 83, Statutes of 1991, amended this Act to provide for four quarterly transfers instead of a single annual transfer, and changed the date of the first transfer to October 1, 1991.

The "Elder State Teachers' Retirement System Full Funding Act" was amended by Chapter 967, Statutes of 1998. The 4.3% formula factor of Chapter 460, Statutes of 1990, was reduced and replaced by 0.524% to fund the normal cost deficit or the amortization of the unfunded obligation. This 0.524% factor is reduced to zero if there is neither a normal cost deficit nor an unfunded obligation. The 0.524% factor may be adjusted upwards annually for no more than 0.25% and in no case may the factor exceed 1.505%. Since there is neither a normal cost deficit nor an unfunded obligation, it is not necessary for the General Fund to make a contribution under this provision.

Chapter 967 also provided funding from the General Fund for improved teacher retirement benefits. This funding is equal to 3.102% of teachers' salaries of the immediately preceding calendar year upon which members' contributions are based. Teacher retirement benefits were significantly improved through Chapters 74, 1021, 1026, 1027, 1028, 1029, and 1032, Statutes of 2000. Chapter 1021 changed the General Fund contribution, which is based on the teachers' salaries of the immediately preceding calendar year, to 2.5385% beginning January 1, 2001, and to 1.975% annually thereafter. As a statutory appropriation, the State contributions are not appropriated through the annual Budget Act.

Effective July 1, 1989, Chapters 115 and 116, Statutes of 1989, established the Supplemental Benefit Maintenance Account (SBMA) to provide for purchasing power maintenance up to 68.2% of the value of the original benefit. Effective January 1, 1998, Chapter 939, Statutes of 1997, increased the SBMA purchasing power maintenance from 68.2% to 75% of the value of the original benefit. The State General Fund provides a statutory transfer to the STRS of an amount equal to 2.5% of the teachers' salaries. If, however, the 2.5% annual General Fund contribution is insufficient to support 75% purchasing power, the Teachers' Retirement Board can: (1) transfer funds from the Teachers' Retirement Fund if no STRS unfunded obligation exists; (2) increase employer contributions; or (3) reduce the SBMA benefit payment. Any increase in employer contributions must be approved through the Budget Act. Chapter 1006, Statutes of 1998, authorizes vesting of purchasing power maintenance within specified limits from the SBMA. As a statutory appropriation, the state contribution for purchasing power is not appropriated through the annual Budget Act.

### Major Budget Adjustment Included in 2000–01

- A decrease of $101 million from the General Fund for teacher retirement benefits pursuant to Chapter 1021, Statutes of 2000.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $40 million from the General Fund for SBMA purchasing power maintenance.
- A decrease of $170 million from the General Fund for teacher retirement benefits. (Education Code, Section 22955(a)).

### Authority

Sections 22954, 22955, Education.

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Education Code Section 22954 (Supplemental Benefit Maintenance Account) | $417,944 | $447,729 | $488,025 |
| Education Code Section 22955(a), Chapter 967, Statutes of 1998 (Benefits Funding) | 518,584 | – | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6300 STATE CONTRIBUTIONS TO THE STATE TEACHERS' RETIREMENT SYSTEM—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Education Code Section 22955(a), Chapter 1021, Statutes of 2000 (Benefits Funding) | – | $454,624 | $385,539 |
| TOTALS, EXPENDITURES (Local Assistance) | $936,528 | $902,353 | $873,564 |

# 6330 CALIFORNIA OCCUPATIONAL INFORMATION COORDINATING COMMITTEE

### Program Objectives Statement

The California Occupational Information Coordinating Committee (COICC) is funded annually by the National Occupational Information Coordinating Committee, which is responsible for improving and coordinating the development and implementation of occupational information systems at the national and state levels. Federal legislation (Carl D. Perkins Vocational Education Act of 1984) mandates state occupational information coordinating committees for states that receive vocational education funds.

COICC, which was established in 1978, is responsible for coordinating the development of an occupational information system (OIS) that will address the occupational information needs of occupational program planners and administrators and the career information needs of youth and adults, and for fostering communication between the users and producers of occupational information. COICC is required to annually report on the design, funding and implementation of the OIS in California. COICC consists of representatives of the California Department of Education, Employment Development Department, Trade and Commerce Agency, Chancellor's Office of the California Community Colleges, State Job Training Coordinating Council, Bureau for Private Postsecondary and Vocational Education, Department of Rehabilitation, Department of Social Services, and Employment Training Panel.

### Authority

Education Code, Sections 8120–8134.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 California Occupational Information Coordinating Committee | 1.1 | 2.0 | 2.0 | $231 | $287 | $292 |
| TOTALS, PROGRAMS | 1.1 | 2.0 | 2.0 | $231 | $287 | $292 |
| *0890 Federal Trust Fund* | | | | *231* | *287* | *292* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1.1 | 2.0 | 2.0 | $39 | $107 | $107 |
| Net Totals, Salaries and Wages | 1.1 | 2.0 | 2.0 | $39 | $107 | $107 |
| Staff Benefits | – | – | – | 8 | 23 | 24 |
| Totals, Personal Services | 1.1 | 2.0 | 2.0 | $47 | $130 | $131 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $184 | $157 | $161 |
| TOTALS, EXPENDITURES | | | | $231 | $287 | $292 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0890 Federal Trust Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $282 | $286 | $292 |
| Allocation for employee compensation | 8 | 1 | – |
| Adjustment per Section 3.60 | –6 | – | – |
| Budget adjustment | –53 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $231 | $287 | $292 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 6350 SCHOOL FACILITIES AID PROGRAM

This program provides financing for school construction, modernization, portable classrooms, deferred maintenance, and other K–12 school facility related activities. The Leroy F. Greene School Facilities Act of 1998 provided $6.7 billion for K–12 school facilities. Of the amount provided, $3.35 billion was available for immediate expenditure and another $3.35 billion was available July 1, 2000. With the passage of the School Facilities Act of 1998, the School Facilities Program was established which streamlined the state school construction funding process. The School Facilities Program provides matching grants to school districts for new construction and modernization projects based on "unhoused pupils" from revenue obtained through the sale of state general obligation bonds.

The State School Deferred Maintenance Program, established by Chapter 282, Statutes of 1979 (AB 8), appropriates to the State School Deferred Maintenance Fund the amount of loan repayments received from school districts that is in excess of the amount required to reimburse the General Fund for debt service. For 2001–02, approximately $16.2 million in excess loan repayments will be available. In addition to excess loan repayments, $2.4 million will be available from the Site Utilization Fund and the 2001–02 Budget provides $185 million in General Fund for a total of $203.6 million for deferred maintenance.

## Major Budget Adjustments Proposed for 2001–02

- $486 million in General Obligation Bond Funds for the School Facilities Program to be allocated to districts for new construction of school facilities.
- $185 million Proposition 98 General Fund for transfer to the State School Deferred Maintenance Program to fully fund the one-half of one percent state match for deferred maintenance.
- $2.4 million Proposition 98 General Fund for transfer to the State School Deferred Maintenance Fund from penalty payments received from the School Site Utilization Fund.

### DEBT SERVICE ON PUBLIC SCHOOL BUILDING BONDS
### SUMMARY OF BOND INTEREST AND REDEMPTION

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| TOTAL INTEREST AND REDEMPTION OF BONDS (Cash Basis) | $1,475 | $1,362 | – |
| Interest | 225 | 112 | – |
| Redemption | 1,250 | 1,250 | – |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### School Building Facilities and Maintenance
### 0001 General Fund, Proposition 98 [1]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Education Code Section 17080 (transfer to State School Deferred Maintenance Fund) | $20,609 | $17,979 | $16,225 |
| TOTALS, EXPENDITURES, Proposition 98 | $20,609 | $17,979 | $16,225 |

[1] Some of the amounts included as General Fund are for the purposes of meeting the minimum funding guarantee for educational programs pursuant to Section 8 of Article XVI of the California Constitution.

### 0001 General Fund, Non-Proposition 98

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Sections 16906 and 16504 (Debt service) | $1,475 | $1,362 | – |
| Education Code 17080 (transfer to State School Deferred Maintenance Fund-0961) | 124 | 139 | $139 |
| Abatement from State School Building Aid Fund | −22,205 | −19,478 | −16,362 |
| Abatement from School Building Safety Fund | −3 | −2 | −2 |
| TOTALS, EXPENDITURES, Non-Proposition 98 | −$20,609 | −$17,979 | −$16,225 |
| TOTALS, GENERAL FUND EXPENDITURES | – | – | – |

### 0119 1998 State School Facilities Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 100420 (a)(b) as added by Chapter 407, Statutes of 1998 | $5,056,948 | $3,466,848 | $948,718 |
| Transfer to Department of Education (State Allocation Board for class size reduction) for Local Assistance | −402,092 | – | – |
| Transfer to various departments for State Operations | −754 | −1,143 | −12,592 |
| Balance available in subsequent years | −3,466,848 | −948,718 | −450,000 |
| TOTALS, EXPENDITURES | $1,187,254 | $2,516,987 | $486,126 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6350 SCHOOL FACILITIES AID PROGRAM—Continued

### 0344 State School Building Lease-Purchase Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Bond Acts (for allocation to school districts) | $65,518 | $52,312 | $22,096 |
| Transfer to various departments for State Operations (Bond Acts) | –11,797 | –12,023 | –728 |
| Totals Available | $53,721 | $40,289 | $21,368 |
| Less funding provided by Bond Proceeds Account, State School Building Lease-Purchase Fund (0743) | –65,518 | –52,312 | –22,096 |
| TOTALS, EXPENDITURES | –$11,797 | –$12,023 | –$728 |

### 0345 School Building Safety Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 16080 (Abatement to General Fund) | $3 | $2 | $2 |
| Principal portion of loan repayments received from school districts per Education Code Sections 16080 and 16310–16344 | –3 | –2 | –2 |
| TOTALS, EXPENDITURES | – | – | – |

### 0739 State School Building Aid Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Sections 16096 and 16504 (Abatement to General Fund) | $22,205 | $19,479 | $16,362 |
| Purchase of Relocatable Classrooms: Education Code Sections 17088, 17088.5 and 16230 through 16235 | 19,959 | 20,700 | 23,100 |
| Totals Available | $42,164 | $40,179 | $39,462 |
| Principal portion of loan repayments received from school districts pursuant to Education Code Section 16080 | –9,176 | –7,992 | –6,953 |
| TOTALS, EXPENDITURES | $32,988 | $32,187 | $32,509 |

### 0743 Bond Proceeds Account, State School Building Lease-Purchase Fund [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 17008 (transfer to State School Building Lease-Purchase Fund-0344) (expenditures) | $65,518 | $52,312 | $22,096 |

### 0961 State School Deferred Maintenance Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 17080 | $166,891 | $196,779 | $203,792 |
| Excess loan repayments from General Fund | (20,609) | (17,979) | (16,225) |
| For transfer to Department of General Services | (124) | (139) | (139) |
| Transfer from Department of Education deferred maintenance Budget Act item | (143,700) | (176,261) | (185,028) |
| Transfer from School Site Utilization Fund (0956) | (2,458) | (2,400) | (2,400) |
| Transfer to Department of General Services for State Operations | –124 | –139 | –139 |
| Totals Available | $166,767 | $196,640 | $203,653 |
| Less funding provided by the General Fund | –164,433 | –194,379 | –201,392 |
| TOTALS, EXPENDITURES | $2,334 | $2,261 | $2,261 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $1,276,297 | $2,591,724 | $542,264 |

---

## FUND CONDITION STATEMENT

### 0344 State School Building Lease-Purchase Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| State Operations: | | | |
| 0840 State Controller (State Operations) | $712 | $724 | $728 |
| 1760 Department of General Services (State Operations) | 9,561 | 9,732 | – |
| 6110 Department of Education (State Operations) | 1,524 | 1,567 | – |
| 6350 School Facilities Aid Program (Local Assistance): | | | |
| Allocations to school districts | 53,546 | 40,097 | 21,176 |
| Indirect Program Costs | 175 | 192 | 192 |
| Totals, School Facilities Aid | $53,721 | $40,289 | $21,368 |
| Totals, Disbursements | $65,518 | $52,312 | $22,096 |

*** Dollars in thousands, except in Salary Range.**

## 6350 SCHOOL FACILITIES AID PROGRAM—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Expenditure Reductions: | | | |
| 6350 School Facilities Aid Program: | | | |
| Local Assistance: | | | |
| Less funding provided by the Bond Proceeds Account, State School Building Lease-Purchase Fund | −$65,518 | −$52,312 | −$22,096 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

### 0345 School Building Safety Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6350 School Facilities Aid Program (abatement to General Fund for debt service) (Local Assistance) | $3 | $2 | $2 |
| Expenditure Reductions: | | | |
| 6350 School Facilities Aid Program: | | | |
| Local Assistance: | | | |
| Principal portion of loan repayments received from school districts | −3 | −2 | −2 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

### 0739 State School Building Aid Fund [n]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,893 | $1,893 | $1,892 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 213000 Property and natural resources (Rental of state property, Education Code Section 17094) | 19,959 | 20,070 | 23,100 |
| 214000 Interest income portion of loan repayments received from school districts | 13,029 | 12,116 | 9,409 |
| Totals, Operating Revenues | $32,988 | $32,186 | $32,509 |
| Totals, Resources | $34,881 | $34,079 | $34,401 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6350 School Facilities Aid Program (Local Assistance) | 42,164 | 40,179 | 39,462 |
| Totals, Disbursements | $42,164 | $40,179 | $39,462 |
| Expenditure Reductions: | | | |
| 6350 School Facilities Aid Program: | | | |
| Local Assistance: | | | |
| Principal portion of loan repayments received from school districts per Education Code Section 16080 | −9,176 | −7,992 | −6,953 |
| Totals, Expenditures | $32,988 | $32,187 | $32,509 |
| FUND BALANCE | $1,893 | $1,892 | $1,892 |

### 0743 Bond Proceeds Account, State School Building Lease-Purchase Fund [b]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $28,515 | $40,506 | $48,140 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| Close-out audits and other project adjustments | 77,509 | 59,946 | 47,957 |
| Totals, Revenues | $77,509 | $59,946 | $47,957 |
| Totals, Resources | $106,024 | $100,452 | $96,097 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6350 School Facilities Aid Program (Local Assistance) | 65,518 | 52,312 | 22,096 |
| FUND BALANCE | $40,506 | $48,140 | $74,001 |

*** Dollars in thousands, except in Salary Range.**

## 6350 SCHOOL FACILITIES AID PROGRAM—Continued

| 0863 State Child Care Capital Outlay Fund [f] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $438 | – | – |
| Prior year adjustments | –438 | – | – |
| Balance, Adjusted | – | – | – |
| FUND BALANCE | – | – | – |
| **0961 State School Deferred Maintenance Fund [n]** | | | |
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfer from Other Funds: | | | |
| F00956 School Site Utilization Fund per Education Code Section 17224 | $2,458 | $2,400 | $2,400 |
| Totals, Revenues and Transfers | $2,458 | $2,400 | $2,400 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1760 Department of General Services (State Operations) | 124 | 139 | 139 |
| 6350 School Facilities Aid Program (Allocations to school districts): | | | |
| Local Assistance: | | | |
| Allocation from the General Fund (excess loan repayment, site utilization, General Fund) | 166,767 | 196,640 | 203,653 |
| Totals, Disbursements | $166,891 | $196,779 | $203,792 |
| Expenditure Reductions: | | | |
| 6350 School Facilities Aid Program: | | | |
| Local Assistance: | | | |
| Less funding provided by the General Fund: | | | |
| Education Code Section 17080 (excess loan and DGS State Ops) | –20,733 | –18,118 | –16,364 |
| Department of Education Deferred Maintenance Budget Act Item 6110-188-0001 | –143,700 | –176,261 | –185,028 |
| Totals, Expenditure Reductions | –$164,433 | –$194,379 | –$201,392 |
| Totals, Expenditures | $2,458 | $2,400 | $2,400 |
| FUND BALANCE | – | – | – |

# 6360 COMMISSION ON TEACHER CREDENTIALING

### Program Objectives Statement

The Commission was established in 1970, with the specific charge of ensuring excellence in education by establishing high standards for the preparation and licensing of public school educators. The Commission carries out its program of standards for the preparation and licensing of teachers through five program elements: Certification, Assignment and Waivers; Professional Services; Professional Practices; Agency Administration; and Policy and Programs.

### Authority

Chapter 557, Statutes of 1970 (Education Code 44201 et seq.); Chapter 330, Statutes of 1998.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10.10 Certification, Assignment and Waivers | 75.1 | 85.6 | 85.2 | $8,531 | $8,886 | $7,667 |
| 10.20 Professional Services | 32.6 | 41.5 | 39.2 | 49,945 | 75,320 | 73,001 |
| 10.30 Professional Practices | 26.0 | 26.7 | 28.5 | 3,556 | 4,565 | 4,445 |
| 10.40 Administration | 38.7 | 41.0 | 40.9 | 4,498 | 5,665 | 3,797 |
| Distributed Administration | (–38.7) | (–41.0) | (–40.9) | –4,498 | –5,665 | –3,797 |
| 10.50 Office of Policy and Programs | 2.0 | 1.9 | 1.9 | 189 | 193 | 185 |
| TOTALS, PROGRAMS | 174.4 | 196.7 | 195.7 | $62,221 | $88,964 | $85,298 |
| *0001 General Fund* [1] | | | | *34,973* | *59,180* | *58,830* |
| *0407 Teacher Credentials Fund* | | | | *13,386* | *14,580* | *13,193* |
| *0408 Test Development and Administration Account, Teacher Credentials Fund* | | | | *9,363* | *11,251* | *9,422* |
| *0890 Federal Trust Fund* | | | | *1,571* | *3,953* | *3,853* |
| *0995 Reimbursements* | | | | *2,928* | – | – |

[1] Some of the amounts included as General Fund are for the purposes of meeting the minimum funding guarantee for educational programs pursuant to Section 8 of Article XVI of the California Constitution. Specific appropriations are identified in the RECONCILIATION(S) WITH APPROPRIATIONS.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6360 COMMISSION ON TEACHER CREDENTIALING—Continued

## 10 STANDARDS FOR THE PREPARATION AND LICENSING OF TEACHERS

### 10.10 Certification, Assignment and Waivers

#### Program Element Statement

The basic objective of this element is to receive, review and process applications for teacher and school service authorization documents, including credentials, permits, certificates and requests for waiver of various requirements. Appeals from denial of documents are also processed by this element. This element necessarily provides information to credential applicants, credential holders, and to credential personnel at the college, university, county, and school district levels regarding specific documents and the requirements and standards for a multitude of teacher authorizations. This element conducts data collection, planning and research supportive of its function. This element also monitors certificated assignments in concert with county offices of education.

#### Major Budget Adjustments Proposed for 2001–02

- $184,000 from the Teacher Credentials Fund for the Division of Professional Practices to hire 2.0 staff, and contract with the Office of the Attorney General for the provision of legal assistance.
- $160,000 from the Teacher Credentials Fund to establish as permanent 2.0 positions assigned to the Information Technology and Support Management Division.

### 10.20 Professional Services

#### Program Element Statement

The first basic objective of this element, in concert with the Committee of Accreditation, is to review and approve teacher preparation programs in 4-year public and private colleges and universities. Related activities are to establish standards for teacher education and training; and to conduct data collection, research and planning supportive of this area. This element also provides management and oversight to specially funded projects related to alternative certification, pre-intern programs, paraprofessional educator programs, and beginning teacher support and assessment.

The other basic objective of this element is to develop, administer and monitor examinations and assessments required in the process of licensing teachers. These examinations and assessments include: California Basic Educational Skills Tests; Single and Multiple Subject examinations; Reading Instruction Competence Assessment; Crosscultural, Language and Academic Development; Bilingual, Crosscultural, Language and Academic Development; and other examinations and assessments as required by the Education Code. In addition to the development, administration and monitoring of the various examination and assessment programs, the unit conducts research on the validity of its testing instruments, writes reports for the Commission, and maintains statewide records on candidate performance.

### 10.30 Professional Practices

#### Program Element Statement

The professional practices element, carried out by the Committee of Credentials, reviews the conduct of individual applicants and credential holders. Such reviews occur when there are allegations relating to criminal convictions, unprofessional conduct or mental health problems. The objective of this element is to investigate allegations against credential applicants and holders relating to immoral or unprofessional conduct or for persistent defiance of and refusal to obey the laws regulating the duties of persons serving in the public schools, and to determine whether probable cause exists for denial, private admonition, public reproval, suspension or revocation of the credentials of such persons.

Additionally, the professional practices legal staff provides legal counsel and advice for all divisions within the Commission on Teacher Credentialing. In this General Counsel role, legal opinions are generated in the areas of contract, personnel, legislation and litigation outside professional practices.

### 10.50 Office of Policy and Programs

#### Program Element Statement

The Office of Policy and Programs formulates policy initiatives, consistent with direction from the Commission, to improve teacher preparation, certification, assessment and support. To meet this goal, the office works collaboratively with college and university teacher preparation programs, as well as other education stakeholders, including the Department of Education, county offices of education, school districts, and professional organizations.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS

### 10 STANDARDS FOR THE PREPARATION AND LICENSING OF TEACHERS

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$1,841* | *$2,139* | *$1,789* |
| *0407 Teacher Credentials Fund* | *13,386* | *14,980* | *13,193* |
| *0408 Test Development and Administration Account, Teacher Credentials Fund* | *9,363* | *10,851* | *9,422* |
| *0890 Federal Trust Fund* | *881* | *2,667* | *2,567* |
| *0995 Reimbursements* | – | – | – |
| Totals, State Operations | $25,471 | $30,637 | $26,971 |

*** Dollars in thousands, except in Salary Range.**

## 6360 COMMISSION ON TEACHER CREDENTIALING—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | *$33,132* | *$57,041* | *$57,041* |
| *0890 Federal Trust Fund* | *690* | *1,286* | *1,286* |
| *0995 Reimbursements* | *2,928* | – | – |
| Totals, Local Assistance | $36,750 | $58,327 | $58,327 |
| **ELEMENT REQUIREMENTS** | | | |
| 10.10 Certification, Assignment and Waivers | 8,531 | 8,886 | 7,667 |
| State Operations: | | | |
| *0001 General Fund* | *1,500* | *1,729* | *1,729* |
| *0407 Teacher Credentials Fund* | *6,142* | *6,400* | *5,177* |
| *0408 Test Development and Administration Account, Teacher Credentials Fund* | *539* | *407* | *411* |
| *0995 Reimbursements* | – | – | – |
| Local Assistance: | | | |
| *0001 General Fund* | *350* | *350* | *350* |
| 10.20 Professional Services | 49,945 | 75,320 | 73,001 |
| State Operations: | | | |
| *0001 General Fund* | *341* | *410* | *60* |
| *0407 Teacher Credentials Fund* | *3,640* | *4,051* | *3,611* |
| *0408 Test Development and Administration Account, Teacher Credentials Fund* | *8,683* | *10,215* | *8,786* |
| *0890 Federal Trust Fund* | *881* | *2,667* | *2,567* |
| *0995 Reimbursements* | – | – | – |
| Local Assistance: | | | |
| *0001 General Fund* | *32,782* | *56,691* | *56,691* |
| *0890 Federal Trust Fund* | *690* | *1,286* | *1,286* |
| *0995 Reimbursements* | *2,928* | – | – |
| 10.30 Professional Practices | 3,556 | 4,565 | 4,445 |
| State Operations: | | | |
| *0407 Teacher Credentials Fund* | *3,454* | *4,372* | *4,250* |
| *0408 Test Development and Administration Account, Teacher Credentials Fund* | *102* | *193* | *195* |
| 10.50 Office of Policy and Programs | 189 | 193 | 185 |
| State Operations: | | | |
| *0407 Teacher Credentials Fund* | *151* | *158* | *149* |
| *0408 Test Development and Administration Account, Teacher Credentials Fund* | *38* | *35* | *36* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $25,471 | $30,637 | $26,971 |
| Local Assistance | 36,750 | 58,327 | 58,327 |
| TOTALS, EXPENDITURES | $62,221 | $88,964 | $85,298 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 174.4 | 205.4 | 202.8 | $8,162 | $9,659 | $9,809 |
| Total Adjustments | – | 1.0 | 4.0 | – | 48 | 264 |
| Estimated Salary Savings | – | –9.7 | –11.1 | – | –454 | –599 |
| Net Totals, Salaries and Wages | 174.4 | 196.7 | 195.7 | $8,162 | $9,253 | $9,474 |
| Staff Benefits | – | – | – | 1,557 | 1,657 | 1,636 |
| Totals, Personal Services | 174.4 | 196.7 | 195.7 | $9,719 | $10,910 | $11,110 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $15,752 | $19,727 | $15,861 |
| TOTALS, EXPENDITURES | | | | $25,471 | $30,637 | $26,971 |

*** Dollars in thousands, except in Salary Range.**

## 6360 COMMISSION ON TEACHER CREDENTIALING—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $310 | $139 | $139 |
| 002 Budget Act appropriation (for transfer to Teacher Credentials Fund-0407) | 1,500 | 1,650 | 1,650 |
| Chapter 703, Statutes of 2000 | – | 350 | – |
| Prior year balances available: | | | |
| Chapter 545, Statutes of 1998 | 31 | – | – |
| TOTALS, EXPENDITURES | $1,841 | $2,139 | $1,789 |

[1] This carryover amount includes $12 which was erroneously shown as a past year expenditure in last year's Governor's Budget. The State Controller and departmental records have been adjusted to reflect this corrected amount.

### 0407 Teacher Credentials Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $14,582 | $17,043 | $14,696 |
| Allocation for employee compensation | 615 | 34 | – |
| Revised expenditure authority per Provision 1 | 130 | – | – |
| Adjustment per Section 3.60 | –444 | –106 | – |
| Prior year balances available: | | | |
| Chapter 544, Statutes of 1998 | 299 | 296 | 147 |
| Totals Available | $15,182 | $17,267 | $14,843 |
| Balance available in subsequent years | –296 | –147 | – |
| Unexpended balance, estimated savings | – | –890 | – |
| TOTALS, EXPENDITURES | $14,886 | $16,230 | $14,843 |
| Less funding provided by the General Fund | –1,500 | –1,650 | –1,650 |
| NET TOTALS, EXPENDITURES | $13,386 | $14,580 | $13,193 |

### 0408 Test Development and Administration Account, Teacher Credentials Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $9,244 | $10,159 | $9,422 |
| Allocation for employee compensation | 158 | 2 | – |
| Adjustment per Section 3.60 | –42 | –14 | – |
| Chapter 623, Statutes of 1999 | 700 | – | – |
| Prior year balances available: | | | |
| Item 6360-001-0001, Budget Act of 1998, as reappropriated by Item 6360-490, Budget Act of 1999 | 1,100 | 904 | – |
| Chapter 623, Statutes of 1999 | – | 700 | – |
| Totals Available | $11,160 | $11,751 | $9,422 |
| Balance available in subsequent years | –1,604 | – | – |
| Unexpended balance, estimated savings | –193 | –500 | – |
| TOTALS, EXPENDITURES | $9,363 | $11,251 | $9,422 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,305 | $2,606 | $2,567 |
| Allocation for employee compensation | 3 | – | – |
| Adjustment per Section 3.60 | –4 | – | – |
| Budget adjustment | –1,423 | 61 | – |
| TOTALS, EXPENDITURES | $881 | $2,667 | $2,567 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $25,471 | $30,637 | $26,971 |

*** Dollars in thousands, except in Salary Range.**

## 6360 COMMISSION ON TEACHER CREDENTIALING—Continued

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions (expenditures) | $36,750 | $58,327 | $58,327 |

### RECONCILIATION WITH APPROPRIATIONS

#### 2 LOCAL ASSISTANCE

#### 0001 General Fund, Proposition 98

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $33,249 | $57,041 | $57,041 |
| Unexpended balance, estimated savings | −117 | – | – |
| TOTALS, EXPENDITURES | $33,132 | $57,041 | $57,041 |

#### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $2,230 | $1,286 | $1,286 |
| Budget Adjustment | −1,540 | – | – |
| TOTALS, EXPENDITURES | $690 | $1,286 | $1,286 |

#### 0995 Reimbursements

| APPROPRIATIONS | | | |
|---|---|---|---|
| Reimbursements | $2,928 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $36,750 | $58,327 | $58,327 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $62,221 | $88,964 | $85,298 |

### FUND CONDITION STATEMENT

#### 0407 Teacher Credentials Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $5,654 | $5,798 | $2,720 |
| Prior year adjustments | 393 | – | – |
| Balance, Adjusted | $6,047 | $5,798 | $2,720 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 122900 Teacher credential fees | 11,710 | 11,095 | 11,713 |
| 131600 Fingerprint ID card fees | 1,027 | 220 | 220 |
| 141200 Sales of documents | 31 | – | – |
| 150300 Income from surplus money investments | 319 | 157 | 216 |
| 161400 Miscellaneous revenue | 50 | 30 | 30 |
| Totals, Revenues and Transfers | $13,137 | $11,502 | $12,179 |
| Totals, Resources | $19,184 | $17,300 | $14,899 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6360 Commission on Teacher Credentialing (State Operations) | 14,886 | 16,230 | 14,843 |
| Expenditure Reductions: | | | |
| 6360 Commission on Teacher Credentialing (State Operations) Less funding provided by the General Fund | −1,500 | −1,650 | −1,650 |
| Totals, Expenditures | $13,386 | $14,580 | $13,193 |
| FUND BALANCE | $5,798 | $2,720 | $1,706 |
| Reserve for economic uncertainties | 5,798 | 2,720 | 1,706 |

*** Dollars in thousands, except in Salary Range.**

## 6360 COMMISSION ON TEACHER CREDENTIALING—Continued

| 0408 Test Development and Administration Account, Teacher Credentials Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $3,878 | $2,955 | $563 |
| Prior year adjustments | −95 | – | – |
| Balance, Adjusted | $3,783 | $2,955 | $563 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 123000 Teacher examination fees | 8,318 | 8,709 | 8,709 |
| 150300 Income from surplus money investments | 217 | 150 | 150 |
| Totals, Revenues and Transfers | $8,535 | $8,859 | $8,859 |
| Totals, Resources | $12,318 | $11,814 | $9,422 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6360 Commission on Teacher Credentialing (State Operations) | 9,363 | 11,251 | 9,422 |
| FUND BALANCE | $2,955 | $563 | – |
| Reserve for economic uncertainties | 2,655 | 263 | – |
| Reserve for pending litigation [1] | 300 | 300 | – |

[1] Expenditures from this fund for pending litigation are estimated to be $300,000 in 2000–01. However, anticipated expenditures are not reflected in this table and must be added for computational purposes to the 2001–02 reserve.

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 174.4 | 205.4 | 202.8 | $8,162 | $9,659 | $9,809 |
| Salary adjustments | – | – | – | – | 13 | 80 |
| Totals, Adjusted Authorized Positions | 174.4 | 205.4 | 202.8 | $8,162 | $9,672 | $9,889 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: | | | | | | |
| Professional Services Division: | | | | Salary Range | | |
| Asst Consultant-Teacher Preparation | – | 0.5 | – | 3,691–5,415 | 27 | – |
| Ofc Techn | – | 0.5 | – | 2,258–2,745 | 8 | – |
| Professional Practices Division: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 47 |
| Prog Techn II | – | – | 1.0 | 2,348–2,855 | – | 28 |
| Administration: | | | | | | |
| Staff Info Sys Analyst | – | – | 1.0 | 4,507–5,480 | – | 57 |
| Assoc Info Sys Analyst | – | – | 1.0 | 4,110–4,997 | – | 52 |
| Totals, Workload and Administrative Adjustments | – | 1.0 | 4.0 | – | $35 | $184 |
| Total Adjustments | – | 1.0 | 4.0 | – | $48 | $264 |
| TOTALS, SALARIES AND WAGES | 174.4 | 206.4 | 206.8 | $8,162 | $9,707 | $10,073 |

# 6420 CALIFORNIA POSTSECONDARY EDUCATION COMMISSION

The California Postsecondary Education Commission is responsible for the planning and coordination of education beyond high school. The Commission provides policy analyses, advice and recommendations to the Legislature and the Governor on statewide policy and funding priorities for colleges, universities, and other postsecondary education institutions. The Commission has 16 members: one member each from the governing boards of the University of California, the California State University, and the California Community Colleges; one representative of the independent colleges and universities, appointed by the Governor; one representative from the State Board of Education; two student representatives, appointed by the Governor; and nine representatives of the general public, three each appointed by the Governor, the Speaker of the Assembly, and the Senate Rules Committee. The Commission selects its chairperson from among the public members.

The Commission has organized its staff into three broad areas to carry out the responsibilities of the Commission: the Executive area, Academic Programs and Policy, and Information Systems and Administrative Services.

## Executive Area

Under general policies established by the Commission, the Executive area provides leadership to the overall staff in the long-range planning and coordinating efforts of the Commission, and advises the Governor, the Legislature, and other state agencies concerning policies and funding priorities for postsecondary education. The Executive Director works closely with the voluntarily created Education Roundtable and the Statutory Advisory

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6420 CALIFORNIA POSTSECONDARY EDUCATION COMMISSION—Continued

Committee established pursuant to § 66901 of the Education Code. The governmental relations activities of the Executive area are the primary means by which the Commission establishes and maintains liaison with the Legislature, the Legislative Analyst's Office, the Governor's Office, and the Department of Finance. Major activities in this area include reviewing, monitoring, and providing summaries of all legislation and budget proposals related to postsecondary education in California, as well as providing direct testimony to appropriate legislative committees.

### Academic Programs and Policy

The Academic Programs and Policy area is responsible for the policy analyses and evaluation activities of the Commission related to postsecondary education and oversight of the Dwight D. Eisenhower Professional Development Program, a federal program supporting state initiatives to improve the instructional effectiveness of K–12 teachers. The Academic Programs and Policy unit is responsible for carrying out many of the specific charges of the Commission delineated in § 66903 and 66904 of the Education Code, including review of proposed new academic facilities and programs, recommendations on the need for and proposed location of new campuses and off-campus centers, development and update of long-range plans for postsecondary education, and identification of potential barriers to student access and success. This unit also has primary responsibility for preparing responses to legislative or gubernatorial requests for information on postsecondary education pursuant to § 66902 of the Education Code.

Beginning in 1993–94, the Commission also began administering the Science, Mathematics, and Technology Teacher Pipeline Program, established pursuant to Chapter 1271, Statutes of 1993, to increase the number of teachers in these fields, particularly by individuals from underrepresented groups. The Pipeline Program sunsets January 1, 2001.

### Information Systems and Administrative Services

The Information Systems and Administrative Service area is responsible for the collection of data and maintenance of a comprehensive database on postsecondary education, accounting and contract services of the Commission, and provision of general support services to the public and Commission staff. The Commission coordinates the annual collection of data for the Integrated Postsecondary Education Data System (IPEDS) survey by the National Center for Educational Statistics (NCES) and maintains historical data on the enrollment characteristics and degrees awarded to students in all public, and many independent, colleges and universities. The Commission's database provides the foundation for its policy analyses, annual publication of data abstracts on various postsecondary education outcomes, and research by members of the education and public policy communities.

### Major Budget Adjustments Proposed for 2001–02

The following adjustments are provided from the General Fund:

- $300,000 in one-time funds to prepare an inventory of state-funded outreach programs for high school students.
- $66,000 in one-time funds (in addition to $20,000 provided in 2000–01) to continue preparation of the 2000–01 eligibility study of public high school graduates for public universities.
- $20,000 to increase staff development and training.
- $88,000 to address increased costs of facilities.
- $10,000 in one-time funds to conclude purchasing of furniture in compliance with state ergonomic standards.

### Authority

Education Code Sections 8650–55, 66010.6, 66900–6 and 67002.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| CPEC | 34.9 | 45.8 | 46.7 | $9,376 | $10,610 | $10,674 |
| *0001 General Fund* | | | | *3,305* | *3,966* | *4,035* |
| *0890 Federal Trust Fund* | | | | *6,069* | *6,505* | *6,506* |
| *0995 Reimbursements* | | | | *2* | *139* | *133* |

## PROGRAM BUDGET DETAIL

### CPEC

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$3,186* | *$3,847* | *$3,916* |
| *0890 Federal Trust Fund* | *258* | *340* | *341* |
| *0995 Reimbursements* | *2* | *139* | *133* |
| Totals, State Operations | $3,446 | $4,326 | $4,390 |
| Local Assistance: | | | |
| *0001 General Fund* | *119* | *119* | *119* |
| *0890 Federal Trust Fund* | *5,811* | *6,165* | *6,165* |
| Totals, Local Assistance | $5,930 | $6,284 | $6,284 |
| TOTALS, EXPENDITURES | $9,376 | $10,610 | $10,674 |

*** Dollars in thousands, except in Salary Range.**

## 6420 CALIFORNIA POSTSECONDARY EDUCATION COMMISSION—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 34.9 | 48.2 | 48.2 | $2,036 | $2,751 | $2,830 |
| Total Adjustments | – | – | 1.0 | – | – | 18 |
| Estimated Salary Savings | – | –2.4 | –2.5 | – | –135 | –140 |
| Net Totals, Salaries and Wages | 34.9 | 45.8 | 46.7 | $2,036 | $2,616 | $2,708 |
| Staff Benefits | – | – | – | 327 | 463 | 486 |
| Totals, Personal Services | 34.9 | 45.8 | 46.7 | $2,363 | $3,079 | $3,194 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,083 | $1,247 | $1,196 |
| TOTALS, EXPENDITURES | | | | $3,446 | $4,326 | $4,390 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $2,973 | $3,654 | $3,916 |
| Allocation for employee compensation | 188 | 9 | – |
| Adjustment per Section 3.60 | –131 | –34 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Chapter 916, Statutes of 1999 | 424 | – | – |
| Prior year balances available: | | | |
| Chapter 916, Statutes of 1999 | – | 218 | – |
| Totals Available | $3,455 | $3,847 | $3,916 |
| Balance available in subsequent years | –218 | – | – |
| Unexpended balance, estimated savings | –51 | – | – |
| TOTALS, EXPENDITURES | $3,186 | $3,847 | $3,916 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $329 | $340 | $341 |
| Allocation for employee compensation | 15 | 1 | – |
| Adjustment per Section 3.60 | –10 | –1 | – |
| Budget adjustment | –76 | – | – |
| TOTALS, EXPENDITURES | $258 | $340 | $341 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $2 | $139 | $133 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,446 | $4,326 | $4,390 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions | $5,930 | $6,284 | $6,284 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $119 | $119 | $119 |

*** Dollars in thousands, except in Salary Range.**

## 6420 CALIFORNIA POSTSECONDARY EDUCATION COMMISSION—Continued

### 0890 Federal Trust Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $6,165 | $6,165 | $6,165 |
| Budget adjustment | −354 | – | – |
| TOTALS, EXPENDITURES | $5,811 | $6,165 | $6,165 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $5,930 | $6,284 | $6,284 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $9,376 | $10,610 | $10,674 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 34.9 | 48.2 | 48.2 | $2,036 | $2,751 | $2,830 |
| Proposed New Positions: | | | | Salary Range | | |
| Temporary Help | – | – | 1.0 | – | – | 18 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $18 |
| Total Adjustments | – | – | 1.0 | – | – | $18 |
| TOTALS, SALARIES AND WAGES | 34.9 | 48.2 | 49.2 | $2,036 | $2,751 | $2,848 |

## 6440 UNIVERSITY OF CALIFORNIA

The University of California was founded in 1868 as a public, State-supported land-grant institution. It was written into the State Constitution of 1879 as a public trust, to be administered under the authority of an independent governing board—the Regents of the University of California. Presently, the Board of Regents includes 28 members, seven ex officio, 20 appointed by the Governor with the approval of the Senate for staggered terms, and one student appointed by the board.

A master plan for the development of higher education in California, enacted in 1960 and referred to as the "Donahoe Higher Education Act," designates the University of California as the primary State-supported academic agency for research with exclusive jurisdiction in public higher education over instruction in the professions of law, medicine, dentistry, and veterinary medicine. Sole authority is also vested in the University to award doctoral degrees in all fields, except that joint doctoral degrees with the California State University may be awarded.

The administrative structure of the University is headed by a president who is responsible for overall policy development, planning, and resource allocations. Chancellors have primary responsibility for the management of campus resource allocations as well as campus administrative activities.

The Regents have delegated authority to the academic senate to determine conditions for admission (subject to constraints of the Master Plan for Higher Education), degree requirements, and approval of courses and curricula. Special faculty committees serve in an advisory capacity to the Regents, the President, and the Chancellors in a variety of matters. There are ten campuses: Berkeley, Davis, Irvine, Los Angeles, Merced, Riverside, San Diego, San Francisco, Santa Barbara, and Santa Cruz. All of the campuses, with the exception of Merced, offer undergraduate, graduate, and professional education; one, San Francisco, is devoted exclusively to the health sciences. The Merced campus is planning to open fall 2004. The University operates teaching hospitals and clinics on the Los Angeles and San Francisco campuses, and in Sacramento, San Diego, and Orange counties. Approximately 150 University institutes, centers, bureaus, and research laboratories operate in all parts of the State. The University's Agricultural Field Stations, Cooperative Extension offices, and the Natural Reserve System benefit people in all areas of California. In addition, the University provides oversight of the three Department of Energy Laboratories.

The University of California conducts higher education programs in four major areas:

1. Instruction of qualified individuals, by sharing with them knowledge and skills and by helping them to experience with their instructors the processes of developing and testing new hypotheses and fresh interpretations of knowledge. The University offers lower division, upper division, graduate, professional, and postdoctoral programs on each of its general campuses.
2. Research directed toward advancing the understanding of arts and sciences and the interpretation of human history. The University provides faculty time and the essential libraries, laboratories, and other resources necessary to further faculty research, which is intimately connected with teaching in the University—especially at the advanced graduate level.
3. Education for professional careers—education grounded in the understanding of relevant sciences, literature, and research methods by which the boundaries of knowledge are pushed back. Individuals are provided with the tools to continue intellectual development over a lifetime and to contribute to the needs of a changing society.
4. Public service contributing to the fulfillment of the university's obligation to disseminate knowledge and bring to faculty and students the stimulation of applying their knowledge and special skills to the problems of modern life.

### Major Budget Adjustments Proposed for 2001–02

The 2001–02 budget provides a net General Fund increase of $202.5 million. The University estimates that an additional $19.3 million in student fee revenue associated with the increased number of students paying mandatory systemwide student fees and $36.2 million in UC General Funds will also be available to fund the 2001–02 budget. The net General Fund increase reflects the following changes to the budget: $119.7 million, equivalent to a four percent General Fund increase, to be allocated by UC to support salary and other cost increases and other priorities associated with general operating support for UC; $52.2 million to increase budgeted enrollment by 5,700 full-time equivalent (FTE) students; $21.5 million to avoid a 4.9 percent increase in mandatory and professional fees; $29.9 million for core needs (building maintenance, instructional equipment,

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

instructional technology, and library materials); $20.7 million to provide state support for summer instruction at the Berkeley, Los Angeles, and Santa Barbara campuses; $0.8 million for annuitant health and dental benefits; $0.7 million for lease revenue bond payments; $7.6 million in permanent funds and $57.3 million in one-time funds for various UC and Governor's initiatives; and a $107.9 million reduction associated with the elimination of one-time funding provided in 2000–01.

UC plans to allocate partnership funding, including state General Fund, UC General Funds, and student fee revenue associated with increased enrollment, for various purposes, such as:

- $25.5 million for price increase funding to offset the impact of inflation on the cost of non-salary goods and services.
- $1.5 million to provide cost increases for selected professional schools and avoid a fee increase.
- $52.2 million of state funds and $19.3 million of student fee revenue to support student enrollment growth of 5,700 FTE students at the agreed-upon marginal cost.
- $30 million to support core areas of the budget, including $11 million for ongoing building maintenance, $12 million for the instructional technology, $2 million for instructional equipment, and $5 million for library materials.
- $20.7 million to provide state support for summer instruction at the Berkeley, Los Angeles, and Santa Barbara campuses.
- $8 million to improve the quality of undergraduate education.
- $5.3 million to fund the maintenance of additional space.
- $6 million to fund debt service for new deferred maintenance projects.
- $0.7 for lease revenue bond payments.

The following Governor's Initiatives are being funded:

- $18 million continuation of one-time funds to support on-campus access to the high-speed network services of Internet2 essential for research of UC faculty and students.
- $20 million continuation of one-time funds for deferred maintenance, instructional equipment, and library materials.
- $5 million in one-time funds to support research assistantships in engineering and computer science.
- $5 million in one-time funds to support environmental science research to assess the impact of generation, utilization, and distribution of energy on California's atmosphere and transform environmental field stations into a UC observatory for California's environment. One-half of the funds will be used to support graduate student researchers.
- $3 million in one-time funds to support research into long-term solutions to Pierce's disease, an insect-transmitted bacterium that threatens California's wine, table, and raisin grape industry. One-half of the funds will be used to support graduate student researchers.
- $2 million in one-time funds for start-up costs associated with the University's accelerated effort to recruit faculty needed to help meet the planned opening date for the Merced campus of fall 2004.
- $1.5 million in permanent funds to expand graduate and professional outreach programs intended to identify potential candidates and help them prepare for and apply to graduate and professional programs.
- $1.1 million to expand ASSIST—Articulation System Stimulated Inter-Institutional Student Transfer—a computerized information system that provides counselors and students with detailed course transfer and articulation information online to help plan students' academic careers.
- $3 million to expand services intended to retain students so they complete their degrees and are better prepared to pursue advanced studies.
- $6 million for the MIND Institute headquartered on the Davis campus. Of this total, $2 million is permanent funding to expand the research program and $4 million is to continue genetic biomarker research. It is the Governor's intent that the $4 million for genetic biomarker research continue through 2003–04.
- $250,000 to fund a 3-year study on aging required by SB 910 (Vasconcellos, 1999).
- $28,000 to review high school students' transcripts for CPEC's Eligibility Study.

### SUMMARY OF PROGRAM REQUIREMENTS [1]

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Budgeted Programs: | | | | | | |
| 05 Instruction: | | | | | | |
| General Campuses | 16,910.9 | 17,752.7 | 18,420.2 | $1,405,169 | $1,694,827 | $1,876,224 |
| Health Sciences | 4,479.6 | 4,523.8 | 4,523.8 | 677,153 | 701,138 | 737,897 |
| Summer Sessions | 88.4 | 89.8 | 89.8 | 31,196 | 32,760 | 33,848 |
| University Extension | 1,409.8 | 1,555.4 | 1,555.4 | 206,382 | 217,308 | 230,346 |
| 10 Research | 3,433.6 | 3,579.8 | 3,579.8 | 478,272 | 600,457 | 542,711 |
| 15 Public Service | 1,948.2 | 2,225.1 | 2,225.1 | 212,478 | 338,593 | 345,139 |
| 20 Academic Support: | | | | | | |
| Libraries | 2,514.0 | 2,626.1 | 2,626.1 | 195,966 | 247,484 | 263,342 |
| Other | 2,867.3 | 2,966.8 | 2,966.8 | 499,099 | 523,992 | 553,171 |
| 25 Teaching Hospitals | 15,598.0 | 18,458.0 | 18,458.0 | 2,134,426 | 2,537,668 | 2,563,696 |
| 30 Student Services | 3,487.7 | 3,617.4 | 3,617.4 | 275,190 | 297,802 | 315,720 |
| 35 Institutional Support | 5,303.3 | 5,396.2 | 5,396.2 | 493,006 | 471,694 | 492,875 |
| 40 Operation and Maintenance of Plant | 4,032.4 | 4,192.9 | 4,347.9 | 341,649 | 422,543 | 457,789 |
| 45 Student Financial Aid | – | – | – | 281,742 | 284,663 | 291,107 |
| 50 Auxiliary Enterprises | – | – | – | 521,549 | 527,338 | 550,761 |
| 55 Provisions for Allocation | – | –2,270.0 | –2,270.0 | 108,320 | 64,481 | 32,058 |
| 65 Special Regents' Programs | – | – | – | 85,258 | 140,227 | 147,591 |
| TOTALS, BUDGETED PROGRAMS | 62,073.2 | 64,714.0 | 65,536.5 | $7,946,855 | $9,102,975 | $9,434,275 |
| Extramural Programs: | | | | | | |
| 05 Instruction | – | – | – | 334,178 | 359,928 | 388,804 |
| 10 Research | – | – | – | 1,594,389 | 1,734,523 | 1,870,157 |
| 15 Public Service | – | – | – | 92,463 | 98,935 | 103,882 |
| 20 Academic Support | – | – | – | 248,312 | 265,694 | 284,241 |
| 25 Teaching Hospitals | – | – | – | 5,212 | 5,368 | 5,529 |
| 30 Student Services | – | – | – | 25,910 | 27,465 | 28,563 |
| 35 Institutional Support | – | – | – | 65,080 | 69,636 | 72,421 |

[1] This summary includes expenditures, but not personnel years for auxiliary organizations.

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 40 Operation and Maintenance of Plant | – | – | – | $15,708 | $16,808 | $17,480 |
| 45 Student Financial Aid | – | – | – | 208,482 | 220,991 | 232,040 |
| 50 Auxiliary Enterprises | – | – | – | 9,350 | 10,005 | 10,405 |
| Totals | – | – | – | $2,599,084 | $2,809,353 | $3,013,522 |
| Major Department of Energy Laboratories | – | – | – | 2,996,653 | 3,086,553 | 3,179,150 |
| TOTALS, EXTRAMURAL PROGRAMS | – | – | – | $5,595,737 | $5,895,906 | $6,192,672 |
| TOTALS, BUDGETED AND EXTRAMURAL PROGRAMS | 62,073.2 | 64,714.0 | 65,536.5 | $13,542,592 | $14,998,881 | $15,626,947 |
| Sources of Funds: | | | | | | |
| 0001 General Fund—State [2] | | | | 2,715,762 | 3,205,517 | 3,407,969 |
| 0992 University of California General Funds (Higher Education) Income | | | | 340,779 | 370,631 | 371,577 |
| Restricted Funds: | | | | | | |
| 0007 Breast Cancer Research Account | | | | 16,706 | 16,706 | 14,729 |
| 0042 State Highway Account, State Transportation Fund | | | | (1,000) | (1,000) | (1,000) |
| 0046 Transportation Planning and Development Account, State Transportation Fund | | | | 956 | 956 | 956 |
| 0234 Cigarette and Tobacco Products Surtax Fund | | | | 31,311 | 88,601 | 20,021 |
| 0308 Earthquake Risk Reduction Fund of 1996 | | | | 1,000 | 1,000 | 1,000 |
| 0321 Oil Spill Response Trust Fund | | | | 1,300 | 1,300 | 1,300 |
| 0814 California State Lottery Education Fund | | | | 18,943 | 21,996 | 21,996 |
| 0890 Federal Trust Fund | | | | 4,998 | 5,000 | 5,000 |
| 0895 Federal Funds | | | | 15,221 | 17,000 | 17,000 |
| 0945 California Breast Cancer Research Fund | | | | 800 | 580 | 480 |
| 0992 Higher Education Fees and Income | | | | 619,096 | 644,103 | 663,434 |
| 0993 University Funds | | | | 4,178,419 | 4,727,822 | 4,907,759 |
| 0995 Reimbursements | | | | 1,564 | 1,763 | 1,054 |
| Extramural: | | | | | | |
| 0895 Federal Funds | | | | 1,354,167 | 1,462,500 | 1,564,875 |
| 0895 Federal Funds (Department of Energy) | | | | 2,996,653 | 3,086,553 | 3,179,150 |
| 0993 State of California (state agency agreements) | | | | 152,306 | 159,921 | 167,917 |
| 0993 Private Gifts, Contracts and Grants | | | | 661,509 | 734,275 | 807,703 |
| 0993 Other University Funds | | | | 431,102 | 452,657 | 473,027 |

**Table 1**

**Enrollments—FTE**

| | 1999–00 Actual | 2000–01 Budgeted | 2000–01 Estimated Actual | 2001–02 Budgeted |
|---|---|---|---|---|
| General Campuses: | | | | |
| Undergraduate: | | | | |
| Lower Division | 50,831 | 51,780 | 52,567 | 53,900 |
| Upper Division | 75,866 | 78,550 | 78,840 | 80,900 |
| Totals, Undergraduate | 126,697 | 130,330 | 131,407 | 134,800 |
| Postbaccalaureate | 421 | 627 | 396 | 400 |
| Graduate | 25,972 | 27,195 | 26,514 | 27,600 |
| Subtotal | 153,090 | 158,152 | 158,317 | 162,800 |
| State Supported Summer Enrollment: | | | | |
| Education Credential | 232 | 148 | 388 | 500 |
| Summer Buyout | – | – | – | 3,422 |
| Summer Growth | – | – | – | 700 |
| Subtotal | 232 | 148 | 388 | 4,622 |
| Totals, General Campuses | 153,322 | 158,300 | 158,705 | 167,422 |
| Health Sciences: | | | | |
| Undergraduate | 274 | 321 | 276 | 321 |
| Graduate: | | | | |
| Academic | 3,148 | 3,342 | 3,193 | 3,342 |
| Professional | 9,156 | 8,603 | 9,136 | 8,603 |
| Totals, Health Sciences | 12,578 | 12,266 | 12,605 | 12,266 |
| TOTALS | 165,900 | 170,566 | 171,310 | 179,688 |

[2] Some of the amounts included as General Fund are for the purposes of meeting the minimum funding guarantee for educational programs pursuant to Section 8 of Article XVI of the California Constitution.

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

### 05 INSTRUCTION AND DEPARTMENTAL RESEARCH

#### Program Objectives Statement

#### General Campuses

Instruction includes most of the direct instructional resources associated with the schools and colleges located on the general campuses. These resources include faculty, teaching assistants, and various instructional support staff, supplies, and equipment needed to provide the breadth of courses and degree programs necessary to achieve the University's diverse instructional responsibilities. Included are classroom and laboratory instruction as well as joint scholarly research activities of students and faculty.

#### Health Sciences

The instructional program in the health sciences is carried on in 14 schools which provide education in various health fields to students preparing for careers in health care, teaching and research. The health science schools are located on six campuses and include five schools of medicine, two schools of dentistry, two schools of nursing, two schools of public health, one school of pharmacy, one school of veterinary medicine, and one school of optometry. In addition, four programs in medical education are conducted at Berkeley, Fresno, Riverside, and the Charles R. Drew University of Medicine and Science in Los Angeles. The physical, biological and behavioral science programs of the general campuses complement the programs of the health science schools. Professional students, residents, fellows, students in allied health programs and graduate students who will become teachers and researchers are participating in these programs.

#### Summer Sessions

Summer session courses are offered for UC degree credit. In summer 2000, campuses offered between two and five sessions, lasting from four to nine weeks. In summer 2000, approximately 52,000 students registered in summer sessions (6,900 FTE)—over 90 percent were students who registered on a UC campus during the regular year, and the balance were from the California State University, California Community Colleges, and other institutions.

Historically, the State has provided funding for students enrolling in the fall, winter, and spring terms, but not summer. Through summer 2000, summer sessions have been supported from student course and registration fees set by each UC campus. For 1999–00, the State began providing enrollment funding at the agreed-upon marginal cost of instruction for all UC students enrolled in summer 2000 education credential courses. For 2000–01, the State provided $13.8 million so that in summer 2001, fees for the remaining UC-matriculated students could be reduced to a level equivalent to the per-unit value of fees charged during the regular academic year. Additional funding is proposed in the 2001–02 Budget for the Berkeley, Los Angeles, and Santa Barbara campuses as the next step in a multi-year plan to provide state funding for summer enrollment at a level equivalent to the regular year.

#### University Extension

University Extension is the largest institution of its kind—the nation's leading ''noncampus university''—with an annual estimated enrollment of nearly 500,000 registrants participating in classes, short courses, seminars, field studies, and similar activities throughout California and in several foreign countries. It has open admissions, optional credit, and free student selection of curriculum. University Extension is a self-supporting instructional unit and its offerings depend entirely on student fees.

Program organization varies among the campuses, depending on the size of the program and the characteristics of the campus. Programs have a disciplinary orientation; for example, arts and humanities, business administration, dentistry, education, engineering, health sciences, medicine, nursing, physical sciences, social sciences, and public affairs. Statewide programs, such as Continuing Education of the Bar, and correspondence courses, are established when there are valid reasons (e.g., when a single university unit can more effectively and economically serve the entire State).

### 10 RESEARCH

#### Program Objectives Statement

The University is designated by the Donahoe Act as ''. . . the primary State-supported academic agency for research.'' Its research activities, both basic and applied, contribute to the social, economic, and technological progress of the State and the nation. More specifically, the university, through its research toward the solution of complex problems facing society, enables individuals to control their environment more effectively. In addition, the research process is essential to the training of scholars in the methodology of inquiry and the nature of the creative scholarly process, especially in advanced graduate and professional instructional programs.

### 15 PUBLIC SERVICE

#### Program Objectives Statement

Public service includes a broad range of activities organized by the University to serve local communities, students, teachers in K–12 schools and community colleges, and the public in general. A prominent component of public service is the University's intersegmental outreach programs, designed to provide assistance to K–14 students and schools to encourage more students to become qualified for higher education. Public service also includes Cooperative Extension, which is the University's largest public service program, providing applied research and educational programs in agriculture and natural resources, family and consumer sciences, community resource development, and 4-H youth development for Californians. Also, campuses conduct other public service programs, which are almost completely supported by user fees and other non-State fund sources, including such activities as arts and lecture programs and community service projects. In addition, the University's public service programs include two health sciences programs jointly operated with other schools—the Charles R. Drew University of Medicine and Science and the California College of Podiatric Medicine.

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

The goals of the University's outreach programs are to contribute to the academic enrichment of UC campuses through a diverse student body and to improve opportunities for California students in educationally disadvantaged circumstances to achieve eligibility and to enroll at UC campuses.

The University is meeting these goals through *school-university partnerships* intended to foster long-term, systemic change in low-performing schools; *student academic development programs* designed to help prepare students, including those from disadvantaged backgrounds, for the academic demands of higher education; *informational outreach and recruitment programs,* to provide better and more timely information to students, families, teachers, and counselors to improve planning and preparation for college; and *research and evaluation* to identify the root causes of educational disparity and to evaluate the effectiveness of the University's outreach programs.

The University is also conducting several K–12 professional development programs for teachers and staff in collaboration with K–12 and other higher education segments. The principle aim of these programs is to help teachers across California improve student achievement in the core content areas through a network of institutes.

## 20 ACADEMIC SUPPORT

### Program Objectives Statement

### Libraries

The University libraries identify, acquire, organize and provide access to publications and scholarly materials in all formats that are needed to support approved academic programs. Access to library collections and services is provided for the University's students, faculty and staff, the faculty of other California colleges and universities, other California libraries, business and industry, the students and staff of California's schools, and the general public. The University library system serves both instructional and research needs, and provides a critical archival function, and thus must be diverse in nature and maintain comprehensive and historical information. The rapid expansion of knowledge requires extensive efforts in order to keep materials current and of the high quality necessary to support the University's academic programs, and in order to effectively share materials among the campuses so that knowledge is available to all University faculty and students. The accelerating impact of changes in information technology and the dynamic information needs of its faculty and students obligates the University to develop new digital library collections and services while at the same time maintaining and enhancing its traditional collections.

### Academic Support—Other

Academic Support—General Campus Programs: Other academic support on the general campuses is comprised of a number of partially self-supporting activities organized and operated in connection with educational departments and conducted as a basic support for the departments educational programs. These supporting services contribute greatly to the quality and effectiveness of the instructional programs.

Many diversified programs are included, such as the demonstration of new schools (university elementary schools and nursery schools) which serve as interdepartmental teaching laboratories for experimentation, research and teacher training. The demonstration schools not only educate children, but contribute to the advancement of education through research efforts and application of results; through development of new programs of teacher education, and dissemination of new knowledge to public schools.

Academic Support—Health Sciences Programs: In support of programs in the health sciences, the University operates dental clinics and neuropsychiatric institutes at Los Angeles and San Francisco, a veterinary medicine teaching facility at Davis and one in the San Joaquin Valley (near Tulare), an optometry clinic at Berkeley and two occupational health centers: one in the northern part of the state and one located in the southern part of the state. These facilities are extensions of the health sciences schools, and provide clinical experience essential to the educational process as well as valuable community health services.

Other activities supporting both general campus and health sciences programs include vivaria, which provide centralized facilities for ordering, receiving and care of all animals necessary for teaching and research in the biological sciences; support for arts by direct sponsorship of performances and exhibits and funding for galleries and museums; support of specialized physical science and engineering projects which are of service to academic departments and to industry, support for intercollegiate athletics at the smaller campuses, and support for professional journals.

## 25 TEACHING HOSPITALS

### Program Objectives Statement

The University of California owns and operates five academic medical centers—Davis, Irvine, Los Angeles, San Diego, and San Francisco. Their primary mission is to support the clinical teaching programs of five schools of medicine and the educational programs in the University's other health science schools (e.g., dentistry, nursing, and pharmacy). In addition to supporting the clinical teaching programs, the academic medical centers provide a full range of health care services to their community and are sites for the development and testing of new diagnostic and therapeutic techniques. The medical centers provide health care to thousands of patients who generally have more serious illnesses and fewer financial resources than patients at non-teaching hospitals. Based on their tripartite mission of teaching, research and public service, the University of California's academic medical centers are a major resource for California and the nation.

The State appropriates funds, called Clinical Teaching Support (CTS), for the University medical centers in recognition of the need to maintain a sufficiently large and diverse patient population for teaching purposes. The funds are used chiefly to provide financial support for patients who are essential for the clinical teaching programs but unable to pay the full costs of their care. While it represents less than 2.5 percent of the total 2001–02 proposed operating budget for medical centers, CTS is an essential component of the overall funding.

## 30 STUDENT SERVICES

### Program Objectives Statement

Student Services programs support those activities whose primary purpose is to contribute to the student's emotional and physical well-being, including their intellectual, cultural and social development outside the context of the formal instruction program. It includes expenditures for organized Student Service administrative activities that provide assistance and support for the needs of students.

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

**Table 2**
**Student Fees per Annual Full-time Student**
**(Whole Dollars)**

| | *1999–00* | | *2000–01* | | *2001–02* | |
|---|---|---|---|---|---|---|
| | *Under-graduate* | *Graduate* | *Under-graduate* | *Graduate* | *Under-graduate* | *Graduate* |
| Resident Students: | | | | | | |
| Educational Fee | $2,716 | $2,896 | $2,716 | $2,896 | $2,716 | $2,896 |
| Registration Fee | 713 | 713 | 713 | 713 | 713 | 713 |
| Totals, Mandatory Fees | $3,429 | $3,609 | $3,429 | $3,609 | $3,429 | $3,609 |
| Miscellaneous Fees [1] | 474 | 969 | 535 | 1,138 | 535 | 1,138 |
| Totals, Resident Fees | $3,903 | $4,578 | $3,964 | $4,747 | $3,964 | $4,747 |
| Nonresident Students: | | | | | | |
| Educational, Registration and Miscellaneous Fees | 4,273 | 4,768 | 4,334 | 4,937 | 4,334 | 4,937 |
| Nonresident Tuition | 9,804 | 9,804 | 10,244 | 10,244 | 10,704 | 10,704 |
| Totals, Nonresident Charges | $14,077 | $14,572 | $14,578 | $15,181 | $15,038 | $15,641 |
| Special Fee—For Selected Professional Students: | | | | | | |
| Students in Veterinary Medicine | – | 4,000 | – | 4,000 | – | 4,000 |
| New Students in Dentistry | – | 5,000 | – | 5,000 | – | 5,000 |
| Students in Business/Management | – | 6,000 | – | 6,000 | – | 6,000 |
| Students in Law | – | 6,376 | – | 6,376 | – | 6,376 |
| New Students in Medicine | – | 5,376 | – | 5,376 | – | 5,376 |
| New Students in Optometry | – | 3,000 | – | 3,000 | – | 3,000 |
| New Students in Pharmacy | – | 3,000 | – | 3,000 | – | 3,000 |
| New Students in Nursing | – | 1,800 | – | 1,800 | – | 1,800 |
| Students in Theater, Film & TV | – | 2,000 | – | 2,000 | – | 2,000 |

[1] Represents weighted average of nine campuses. Fees for 2001–02 have not yet been determined.

## 35 INSTITUTIONAL SUPPORT

### Program Objectives Statement

Activities funded within this function include planning, policy making, and coordination within the offices of the Chancellors, President, and the Regents. Also included for funding are a wide variety of supporting activities such as police, accounting, payroll, personnel, administrative computing, materiel management, publications, and federal contract and grant administration.

## 40 OPERATION AND MAINTENANCE OF PLANT

### Program Objectives Statement

This function includes resources for the maintenance, preservation, and renewal of the University's State and Educational Fee supported physical plant which comprises improved grounds areas totaling 2,350 acres and over 47 million gross square feet of buildings and related fixed equipment. Major component elements include utilities, building and grounds maintenance, and janitorial services, with additional administrative and support services.

## 45 STUDENT FINANCIAL AID

### Program Objectives Statement

There are four major sources of financial aid available to University of California students—the Federal Government, University Resources, Private Donors and Outside Agencies and the State of California. In 1998–99 approximately 110,000 students received assistance from one or more of these sources, at a total cost of over $1 billion.

The major sources for University program funds are the General Fund, student fee income and Regents' sources, including private gifts and scholarships and loan funds. The Federal government provides for loans, veterans benefits, and grants through various programs. In addition, graduate students receive traineeships and fellowships from numerous Federal sources. The California Student Aid Commission provides scholarships, loans, and grants directly to students. Private and outside agencies provide the remaining amounts of scholarships, grants, and loans available to University of California students.

## 50 AUXILIARY ENTERPRISES

### Program Objectives Statement

Auxiliary enterprises are those noninstructional services provided to individuals, primarily students, in return for specific user charges. The organizational units providing these services, such as student housing, parking, intercollegiate athletics, food services, parking operations, and various others, are self-supporting and are not subsidized by the State.

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

### 55 PROVISIONS FOR ALLOCATION

**Program Objectives Statement**

These budgetary provisions serve as a temporary repository for lump sum appropriations which are destined for allocation (1) from Systemwide provisions to campus provisions, and (2) campus provisions to operating programs and subprograms where expenditures will occur. The major provisions for allocation include funds for academic position upgradings, staff reclassifications, price increases, employee benefits, and unallocated state funding increases and University endowment income. These provisions are partially offset by the University budgetary savings target.

### 65 UNIVERSITY OPPORTUNITY FUND AND SPECIAL PROGRAMS

After provision for certain administrative costs, 55 percent of the Federal overhead on contracts and grants is used as part of the funding for the University's general purpose budget for current operations; the other 45 percent supports high priority programs that are not adequately supported from other funds such as faculty recruitment and retention, special research programs, instructional programs and administrative programs including support for external fund raising and staff and management development programs. For 2001–02, the Regents have allocated $147.6 million for University Opportunity Fund programs.

**Table 3**
**Income and Funds Available**

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| General Fund | $2,715,762 | $3,205,517 | $3,407,969 |
| Special and Nongovernmental Cost Funds | 72,580 | 132,902 | 61,536 |
| Totals, State Appropriations | $2,788,342 | $3,338,419 | $3,469,505 |
| **UNIVERSITY SOURCES** | | | |
| General Funds Income: | | | |
| Student Fees: | | | |
| Nonresident tuition | $136,573 | $134,500 | $149,200 |
| Application for admission and other fees | 13,679 | 15,500 | 15,500 |
| Interest on General Fund Balances | 28,436 | 20,000 | 22,000 |
| Contract and Grant Overhead: | | | |
| Contract and Grant Overhead | 140,289 | 137,147 | 159,000 |
| Contract and Grant Overhead—Neuropsychiatric Institutes | 377 | 377 | 377 |
| Allowance for Overhead and Management—DOE | 11,000 | 11,000 | 11,000 |
| Overhead on State agency agreements | 9,195 | 6,000 | 7,000 |
| Prior year balances (instructional equipment/deferred maint.) | 29,855 | 35,207 | – |
| Other | 6,582 | 10,900 | 7,500 |
| Available in subsequent years | –35,207 | – | – |
| Totals, General Funds Income | $340,779 | $370,631 | $371,577 |
| Special Funds Income: | | | |
| United States appropriations | 15,221 | 17,000 | 17,000 |
| Gear Up-State Grant Program | 4,998 | 5,000 | 5,000 |
| Local government | 56,650 | 56,650 | 58,916 |
| Student Fees: | | | |
| Educational fee | 460,913 | 475,519 | 490,867 |
| Registration fee | 114,014 | 121,315 | 125,298 |
| Selected professional fees | 44,169 | 47,269 | 47,269 |
| (Subtotals, mandatory systemwide and professional fees) | $619,096 | $644,103 | $663,434 |
| University extension | 206,150 | 217,308 | 230,346 |
| Summer session | 32,860 | 18,960 | 19,718 |
| Other fees | 63,637 | 66,182 | 68,829 |
| Sales and services—Educational activities | 557,918 | 592,683 | 622,317 |
| Sales and services—Teaching hospitals | 2,109,383 | 2,460,668 | 2,509,881 |
| Sales and services—Support activities | 198,439 | 211,449 | 224,136 |
| Endowments | 97,222 | 136,850 | 157,378 |
| Auxiliary enterprises | 496,782 | 520,522 | 543,945 |
| Contract and grant administration | 43,699 | 67,756 | 71,821 |
| Department of Energy Management Fee | 17,500 | 17,500 | 17,500 |
| University Opportunity Fund | 67,758 | 122,727 | 130,091 |
| Other | 230,421 | 238,567 | 252,881 |
| Totals, Special Funds Income | $4,817,734 | $5,393,925 | $5,593,193 |
| Totals, University Sources | $5,158,513 | $5,764,556 | $5,964,770 |
| TOTAL INCOME AND FUNDS AVAILABLE | $7,946,855 | $9,102,975 | $9,434,275 |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

### PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**
**STATE OPERATIONS**

| **05 INSTRUCTION** | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| General Campuses | $1,405,169 | $1,694,827 | $1,876,224 |
| General Purpose Funds | 1,135,676 | 1,333,590 | 1,498,502 |
| Restricted Funds | 269,493 | 361,237 | 377,722 |
| Program Elements | 1,405,169 | 1,694,827 | 1,876,224 |
| Faculty Salaries & Related Benefits | 704,340 | 858,971 | 921,322 |
| Teaching Assistant Salaries | 76,445 | 81,692 | 88,692 |
| Instructional Support & Benefits | 530,039 | 636,713 | 738,759 |
| Equipment Replacement | 43,967 | 58,545 | 60,545 |
| Instructional Technology | 21,100 | 29,100 | 37,100 |
| Equipment Backlog Reduction | 1,170 | 1,170 | 1,170 |
| Instructional Computing | 24,000 | 24,500 | 24,500 |
| Technical Education Program | 1,156 | 1,156 | 1,156 |
| Other | 2,952 | 2,980 | 2,980 |
| Health Sciences | 677,153 | 701,138 | 737,897 |
| General Purpose Funds | 333,182 | 333,721 | 352,109 |
| Restricted Funds | 343,971 | 367,417 | 385,788 |
| Program Elements | 677,153 | 701,138 | 737,897 |
| Medicine | 571,049 | 591,276 | 622,276 |
| Dentistry | 33,003 | 34,172 | 35,962 |
| Nursing | 14,158 | 14,659 | 15,428 |
| Optometry | 4,560 | 4,722 | 4,969 |
| Pharmacy | 10,911 | 11,297 | 11,890 |
| Public Health | 16,286 | 16,863 | 17,747 |
| Veterinary Medicine | 25,696 | 26,606 | 28,000 |
| Other | 1,490 | 1,543 | 1,625 |
| Summer Sessions | 31,196 | 32,760 | 33,848 |
| General Purpose Funds | – | 13,800 | 14,130 |
| Restricted Funds | 31,196 | 18,960 | 19,718 |
| University Extension | 206,382 | 217,308 | 230,346 |
| Restricted Funds | 206,382 | 217,308 | 230,346 |
| **PROGRAM REQUIREMENTS** | | | |
| **10 RESEARCH** | $478,272 | $600,457 | $542,711 |
| General Purpose Funds | 261,290 | 365,161 | 361,916 |
| Restricted Funds | 216,982 | 235,296 | 180,795 |
| Program Elements | 478,272 | 600,457 | 542,711 |
| General Campuses | 187,591 | 239,922 | 254,632 |
| Health Sciences | 130,055 | 141,975 | 131,690 |
| Agriculture | 111,809 | 107,067 | 115,387 |
| Tobacco-Related Diseases | 31,311 | 88,601 | 20,021 |
| Breast Cancer Research | 17,506 | 17,286 | 15,209 |
| Faculty Grants & Travel | – | 5,606 | 5,772 |
| **PROGRAM REQUIREMENTS** | | | |
| **15 PUBLIC SERVICE** | $212,478 | $338,593 | $345,139 |
| General Purpose Funds | 144,115 | 268,078 | 271,098 |
| Restricted Funds | 68,363 | 70,515 | 74,041 |
| Program Elements | 212,478 | 338,593 | 345,139 |
| Outreach Initiatives (Subtotal) | 57,486 | 63,552 | 63,552 |
| K–12 School-University Partnerships | 16,712 | 15,542 | 15,542 |
| Early Academic Outreach Program (EAOP) | 17,017 | 16,044 | 16,044 |
| Math, Engineering, Science Achievement (MESA) | 9,752 | 9,354 | 9,354 |
| Puente | 2,196 | 1,800 | 1,800 |
| Test Preparation Programs | 427 | 884 | 884 |
| UC College Preparatory Initiative (online courses) | 701 | 8,400 | 8,400 |
| Charter Schools | 766 | 1,000 | 1,000 |
| ArtsBridge | 2,080 | 1,500 | 1,500 |
| GEAR UP | 4,998 | 5,000 | 5,000 |
| Other Outreach Programs | 2,837 | 4,028 | 4,028 |
| Teacher Development Programs (Subtotal) | 23,109 | 98,806 | 98,806 |
| California Subject Matter Projects | 18,000 | 35,565 | 35,565 |
| California Reading Professional Development Institutes | 4,908 | 20,000 | 20,000 |
| English Language Development Professional Institutes | 201 | 10,166 | 10,166 |
| High School English Professional Development Institutes | – | 12,000 | 12,000 |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Elementary Math Professional Development Institutes | – | $7,500 | $7,500 |
| Algebra Professional Development Institutes | – | 2,500 | 2,500 |
| Algebra Academies Professional Development Institutes | – | 1,700 | 1,700 |
| High School Math Professional Development Institutes | – | 8,000 | 8,000 |
| Pre-Intern Teacher Academies | – | 775 | 775 |
| New Teacher Centers | – | 600 | 600 |
| Community College Programs (Subtotal) | $6,300 | 7,714 | 8,632 |
| Community College Transfer Programs | 3,648 | 5,288 | 5,288 |
| ASSIST | 350 | 360 | 1,460 |
| MESA Community College Programs | 1,521 | 1,309 | 1,309 |
| Puente Community College Programs | 781 | 757 | 575 |
| Central Valley Programs | 1,637 | 1,913 | 1,913 |
| Graduate & Professional School Programs | 4,690 | 5,473 | 6,973 |
| Informational Outreach and Recruitment | 5,210 | 6,100 | 6,100 |
| Research | 113 | 809 | 809 |
| Evaluation | 1,094 | 1,386 | 1,386 |
| Other Public Service Programs: | | | |
| California State Summer School for Math and Science | – | 3,000 | 2,000 |
| Community Teacher Fellowship for Math and Science | 1,802 | 1,588 | 1,537 |
| K–12 Internet Initiative | – | 32,000 | 32,000 |
| Lawrence Hall of Science | 9,669 | 10,578 | 11,124 |
| Teratogen Registry | 564 | 486 | 486 |
| Cooperative Extension | 59,966 | 64,389 | 67,277 |
| C.R. Drew University of Medicine and Science | 9,449 | 16,799 | 8,949 |
| California College of Podiatric Medicine | 876 | 877 | 877 |
| Other | 30,513 | 23,123 | 32,718 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 ACADEMIC SUPPORT** | | | |
| Libraries | $195,966 | $247,484 | $263,342 |
| General Purpose Funds | 154,706 | 199,512 | 212,492 |
| Restricted Funds | 41,260 | 47,972 | 50,850 |
| Program Elements | 195,966 | 247,484 | 263,342 |
| Books and Binding | 55,273 | 65,041 | 75,762 |
| Acquisitions/Processing | 54,755 | 70,481 | 72,437 |
| Reference/Circulation | 72,981 | 95,624 | 98,278 |
| Automation | 5,784 | 5,867 | 6,056 |
| California Digital Library | 7,173 | 10,471 | 10,809 |
| Academic Support—Other | 499,099 | 523,992 | 553,171 |
| General Purpose Funds | 164,406 | 171,134 | 179,142 |
| Restricted Funds | 334,693 | 352,858 | 374,029 |
| Program Elements | 499,099 | 523,992 | 553,171 |
| Museums and Galleries | 12,591 | 10,762 | 10,926 |
| Intercollegiate Athletics | 1,921 | 2,456 | 2,494 |
| Ancillary Support—General Campuses: | | | |
| Demonstration Schools | 2,844 | 2,752 | 2,794 |
| Vivaria and Other (incl emp ben) | 217,008 | 192,804 | 205,692 |
| Ancillary Support—Health Sciences: | | | |
| Dental Clinics | 17,631 | 17,877 | 18,150 |
| Optometry Clinic | 3,145 | 2,905 | 2,949 |
| Neuropsychiatric Institutes | 68,805 | 68,103 | 69,144 |
| Veterinary Medical Teaching Facility | 20,422 | 18,086 | 18,362 |
| Vivaria and Other | 149,320 | 202,834 | 217,164 |
| Occupational Health Centers | 5,412 | 5,413 | 5,496 |
| **PROGRAM REQUIREMENTS** | | | |
| **25 TEACHING HOSPITALS** | $2,134,426 | $2,537,668 | $2,563,696 |
| General Purpose Funds | 37,221 | 77,000 | 53,815 |
| Restricted Funds | 2,097,205 | 2,460,668 | 2,509,881 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 STUDENT SERVICES** | $275,190 | $297,802 | $315,720 |
| General Purpose Funds | – | – | 3,028 |
| Restricted Funds | 275,190 | 297,802 | 312,692 |
| Program Elements | 275,190 | 297,802 | 315,720 |
| Social and Cultural Activities | 83,533 | 95,874 | 100,668 |
| Supplementary Educational Services | 12,237 | 12,844 | 15,856 |
| Counseling and Career Guidance | 44,495 | 47,816 | 50,837 |
| Financial Aid Administration | 27,407 | 28,829 | 30,270 |
| Student Admissions and Records | 42,255 | 40,595 | 42,653 |
| Student Health Services | 65,263 | 71,844 | 75,436 |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

| PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **35 INSTITUTIONAL SUPPORT** | $493,006 | $471,694 | $492,875 |
| General Purpose Funds | 341,405 | 316,039 | 332,550 |
| Restricted Funds | 151,601 | 155,655 | 160,325 |
| Program Elements | 493,006 | 471,694 | 492,875 |
| Executive Management | 126,443 | 120,977 | 126,410 |
| Fiscal Operations | 102,791 | 98,347 | 102,763 |
| General Administrative Services | 150,369 | 143,869 | 150,329 |
| Logistical Services | 57,713 | 55,218 | 57,698 |
| Community Relations | 55,690 | 53,283 | 55,675 |
| **PROGRAM REQUIREMENTS** | | | |
| **40 OPERATION AND MAINTENANCE OF PLANT** | $341,649 | $422,543 | $457,789 |
| General Purpose Funds | 292,221 | 363,779 | 398,144 |
| Restricted Funds | 49,428 | 58,764 | 59,645 |
| Program Elements | 341,649 | 422,543 | 457,789 |
| Plant Administration | 12,902 | 15,578 | 16,995 |
| Building Maintenance | 90,314 | 113,750 | 122,740 |
| Grounds Maintenance | 19,353 | 23,366 | 25,492 |
| Janitorial | 58,059 | 70,100 | 76,479 |
| Utilities Operation | 17,353 | 23,367 | 25,494 |
| Utilities Purchase | 115,392 | 131,599 | 138,742 |
| Refuse | 6,451 | 7,789 | 8,498 |
| Fire Departments | 2,725 | 3,894 | 4,249 |
| Deferred Maintenance | 7,100 | 15,100 | 15,100 |
| Debt-Financed Deferred Maintenance | 12,000 | 18,000 | 24,000 |
| **PROGRAM REQUIREMENTS** | | | |
| **45 STUDENT FINANCIAL AID** | $281,742 | $284,663 | $291,107 |
| General Purpose Funds | 85,563 | 85,563 | 85,563 |
| Restricted Funds | 196,179 | 199,100 | 205,544 |
| **PROGRAM REQUIREMENTS** | | | |
| **50 AUXILIARY ENTERPRISES** | $521,549 | $527,338 | $550,761 |
| Restricted Funds | 521,549 | 527,338 | 550,761 |
| **PROGRAM REQUIREMENTS** | | | |
| **55 PROVISIONS FOR ALLOCATION** | $108,320 | $64,481 | $32,058 |
| General Purpose Funds | 106,756 | 48,771 | 17,057 |
| Restricted Funds | 1,564 | 15,710 | 15,001 |
| **PROGRAM REQUIREMENTS** | | | |
| **60 PROGRAM MAINTENANCE: FIXED COSTS, SALARY, PRICE** | – | – | – |
| General Purpose Funds | – | – | – |
| Restricted Funds | – | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **65 UNIVERSITY OPPORTUNITY FUND AND SPECIAL PROGRAMS** | $85,258 | $140,227 | $147,591 |
| University Opportunity Fund | 67,758 | 122,227 | 130,091 |
| Restricted Funds | 67,758 | 122,727 | 130,091 |
| Program Elements | 67,758 | 122,727 | 130,091 |
| Instruction | 17,744 | 17,647 | 17,647 |
| Research [1] | 16,073 | 72,798 | 80,162 |
| Institutional Support | 25,265 | 24,336 | 24,336 |
| Deferred Maintenance | 3,180 | 3,000 | 3,000 |
| Student Services/Outreach | 5,496 | 4,946 | 4,946 |
| DOE Lab Management Fee | 17,500 | 17,500 | 17,500 |
| Restricted Funds | 17,500 | 17,500 | 17,500 |
| TOTALS, EXPENDITURES | $7,946,855 | $9,102,975 | $9,434,275 |
| *General Funds* | *3,056,541* | *3,576,148* | *3,779,546* |
| *Restricted Funds* | *4,890,314* | *5,526,827* | *5,654,729* |

[1] Does not include the $35.3 million capital related expenditures that were principally related to research in 1990–00.

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS
#### Budgeted Programs

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 62,073.2 | 66,984.0 | 66,984.0 | $3,058,396 | $3,379,577 | $3,379,577 |
| Total Adjustments | – | – | 822.5 | – | – | 159,924 |
| Estimated Salary Savings | – | –2,270.0 | –2,270.0 | – | –114,529 | –114,529 |
| Net Totals, Salaries and Wages | 62,073.2 | 64,714.0 | 65,536.5 | $3,058,396 | $3,265,048 | $3,424,972 |
| Staff Benefits | – | – | – | 434,292 | 463,637 | 486,346 |
| Totals, Personal Services | 62,073.2 | 64,714.0 | 65,536.5 | $3,492,688 | $3,728,685 | $3,911,318 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,454,167 | $5,374,290 | $5,522,957 |
| TOTALS, EXPENDITURES | | | | $7,946,855 | $9,102,975 | $9,434,275 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $2,541,403 | $3,040,866 | $3,240,608 |
| Chapter 1021, Statutes of 1999 | 3,250 | – | – |
| Adjustment per Section 3.60 | –347 | –46 | – |
| 002 Budget Act appropriation, cash available in subsequent year | (55,000) | (55,000) | (55,000) |
| Payment of prior year claims per Provision 1 | 55,000 | 55,000 | 55,000 |
| 003 Budget Act appropriation (lease-purchase payments) | 90,572 | 99,797 | 100,461 |
| Adjustment per Section 4.50 | 10,077 | – | – |
| 004 Budget Act appropriation (San Joaquin Valley Campus) | 10,300 | 9,900 | 11,900 |
| Chapter 78, Statutes of 1999 | 1,200 | – | – |
| Chapter 948, Statutes of 1999 | 125 | – | – |
| Prior year balances available: | | | |
| Chapter 2, Statutes of 1999 First Extraordinary Session (Reading Professional Development Institutes, Governor's Teacher Scholars, Governor's Principal Leadership Institute) | 6,300 | – | – |
| Totals Available | $2,717,880 | $3,205,517 | $3,407,969 |
| Unexpended balance, estimated savings | –2,118 | – | – |
| TOTALS, EXPENDITURES | $2,715,762 | $3,205,517 | $3,407,969 |

#### 0007 Breast Cancer Research Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $16,706 | $16,706 | $14,729 |

#### 0042 State Highway Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation (for transfer to Earthquake Risk Reduction Fund of 1996—0308) (expenditures) | ($1,000) | ($1,000) | ($1,000) |

#### 0046 Public Transportation Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $956 | $956 | $956 |

#### 0234 Research Account, Cigarette and Tobacco Products Surtax Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $36,726 | $22,627 | $20,021 |
| Prior year balances available: | | | |
| Item 6440-001-0234, Budget Act of 1996, Provision 2 | 25,398 | 10,348 | – |
| Item 6440-001-0234, Budget Act of 1997, Provision 2 | 23,719 | 11,759 | – |
| Item 6440-001-0234, Budget Act of 1998, Provision 2 | 11,442 | 7,872 | – |
| Item 6440-001-0234, Budget Act of 1999, Provision 2 | – | 35,995 | – |
| Totals Available | $97,285 | $88,601 | $20,021 |
| Balance available in subsequent years | –65,974 | – | – |
| TOTALS, EXPENDITURES | $31,311 | $88,601 | $20,021 |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

### 0308 Earthquake Risk Reduction Fund of 1996 [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,500 | $1,500 | $1,500 |
| Less funding provided by the General Fund | −500 | −500 | −500 |
| NET TOTALS, EXPENDITURES | $1,000 | $1,000 | $1,000 |

### 0321 Oil Spill Response Trust Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $1,300 | $1,300 | $1,300 |

### 0814 California State Lottery Education Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (Instructional computing and equipment) | $20,854 | $19,635 | $21,996 |
| Revised expenditure authority per Budget Act language | −1,911 | 2,361 | – |
| TOTALS, EXPENDITURES | $18,943 | $21,996 | $21,996 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act Appropriaition (Gear Up) | $5,000 | $5,000 | $5,000 |
| Budget adjustment | −2 | – | – |
| TOTALS, EXPENDITURES | $4,998 | $5,000 | $5,000 |

### 0945 California Breast Cancer Research Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $800 | $580 | $480 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $1,564 | $1,763 | $1,054 |

### University Funds
### 0895 University Federal Funds [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| United States appropriations (expenditures) | $15,221 | $17,000 | $17,000 |

### 0992 Higher Education Fees and Income [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| General Funds income | $340,779 | $370,631 | $371,577 |
| Student fees revenue | 619,096 | 644,103 | 663,434 |
| TOTALS, EXPENDITURES | $959,875 | $1,014,734 | $1,035,011 |

### 0993 Nonfederal University Funds [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Current revenues—budgeted funds (expenditures) | $4,178,419 | $4,727,822 | $4,907,759 |
| TOTALS, BUDGETED PROGRAMS, EXPENDITURES | $7,946,855 | $9,102,975 | $9,434,275 |

### Extramural Funds
### 0895 Federal Funds [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Federal contracts and grants | $1,354,167 | $1,462,500 | $1,564,875 |
| Student financial aid (non-add) | (166,606) | (179,934) | (192,529) |
| Major Department of Energy—supported laboratories | 2,996,653 | 3,086,553 | 3,179,150 |
| TOTALS, FEDERAL FUNDS | $4,350,820 | $4,549,053 | $4,744,025 |

### 0993 Nonfederal Extramural Funds [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| State of California | $152,306 | $159,921 | $167,917 |
| Private gifts, contracts and grants | 661,509 | 734,275 | 807,703 |
| Other university funds | 431,102 | 452,657 | 473,027 |
| TOTALS, NONFEDERAL EXTRAMURAL FUNDS | $1,244,917 | $1,346,853 | $1,448,647 |
| TOTALS, EXTRAMURAL PROGRAMS | $5,595,737 | $5,895,906 | $6,192,672 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $13,542,592 | $14,998,881 | $15,626,947 |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

### FUND CONDITION STATEMENT

| 0308 Earthquake Risk Reduction Fund of 1996 [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| RESERVES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00042 State Highway Account, State Transportation Fund per Item 6440-011-0042, Budget Acts | $1,000 | $1,000 | $1,000 |
| Totals, Resources | $1,000 | $1,000 | $1,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6440 University of California (State Operations) | 1,500 | 1,500 | 1,500 |
| Expenditure Reductions: | | | |
| Less funding provided by the General Fund | –500 | –500 | –500 |
| Totals, Expenditures | $1,000 | $1,000 | $1,000 |
| FUND BALANCE | – | – | – |

| 0945 California Breast Cancer Research Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $528 | $248 | $111 |
| Prior year adjustments | 50 | – | – |
| Balance, Adjusted | $578 | $248 | $111 |
| RESERVES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 216000 Fees and Licenses | 483 | 450 | 450 |
| Totals, Resources | $1,061 | $698 | $561 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | 7 | 7 | 7 |
| 6440 University of California (State Operations) | 800 | 580 | 480 |
| 9900 Statewide General Administrative (Pro Rata) | 6 | – | – |
| Totals, Disbursements | $813 | $587 | $487 |
| FUND BALANCE | $248 | $111 | $74 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **CAPITAL OUTLAY** | | | |
| GRAND TOTALS, GENERAL CAMPUS AND HEALTH SCIENCES | $1,173,732 | $593,573 | $957,903 |
| *0001 General Fund* | – | *133,700* | *308,409* |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* | *221,678* | *212,265* | *203,311* |
| *0658 Higher Education Capital Outlay Bond Fund of 1996* | *6,566* | *13,109* | – |
| *0660 Public Buildings Construction Fund* | – | *180,000* | *420,000* |
| *0705 Higher Education Capital Outlay Bond Fund of 1992* | *8,900* | *1,075* | – |
| *0791 Higher Education Capital Outlay Bond Fund of June 1990* | *642* | *5,040* | – |
| *0994 Nonstate funds* | *935,946* | *48,384* | *26,183* |

### Major Budget Adjustment Proposed for 2001–02

- The budget includes $168 million for continuing phases of previously approved projects and $35 million for new projects funded from bond funds. Also included is $234 million General Fund for continuing phases of projects at UC Merced and for 3 Institutes for Science and Innovation, and $75 million General Fund for new projects, including a 4th Institute for Science and Innovation, a new Heckmann International Center for Management affiliated with the Riverside School of Management, and a teaching facility at the UCSF/Fresno Community Medical Center.

### General Analysis

The 2001–02 capital budget for the University of California emphasizes facilities to accommodate the significant enrollment growth projected for the next decade and seismic safety corrections and other urgent life-safety improvements. The budget also includes funding infrastructure renewal and funding for capital equipment to make operational those buildings already funded for construction.

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|

### Objectives

The University of California is a statewide institution of higher education with the following major functions:

1. Instruction
   a. Broad-based instruction leading to the baccalaureate degree,
   b. Graduate programs leading to master's degrees and doctoral degrees, and programs of postdoctoral instruction,
   c. Instruction in professional fields,
   d. Programs for the preparation of teachers, and
   e. Joint doctoral programs with the state university and colleges.
2. Research

The University is designated by the Master Plan for Higher Education in California as the primary state-supported academic agency for research, both basic and applied, and as the primary public repository for scarce documents and other unique library resources needed for the doctor's degree and for research programs.

3. Public Service

Provide public service in areas related to the University's programs of instruction and research.

### UNIVERSITYWIDE

#### General Campus

| | | | |
|---|---|---|---|
| 99.00.050.01 Northern Regional Library Facility, Phase 3 | – | $810 [Pz] | $1,033 [Wz] |
| 99.00.055.01 Institutes for Science and Innovation | – | 75,000 [PWCEg] | 108,000 [PWCEg] |
| 99.00.065.00 Teaching Hospital Infrastructure | – | 50,000 [PWCg] | – |
| 99.00.070.01 Teaching Hospitals Seismic Corrections projects | – | – | 420,000 [Cs] |
| Funds will be used for projects at the University's teaching hospitals to implement seismic corrections in compliance with 2008 requirements of SB 1953. | | | |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | – | $125,810 | $529,033 |
| *0001 General Fund* [g] | – | *125,000* | *108,000* |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | *810* | *1,033* |
| *0660 Public Buildings Construction Fund* [s] | – | – | *420,000* |

### BERKELEY CAMPUS

#### General Campus

| | | | |
|---|---|---|---|
| 99.01.190.01 Seismic Safety Corrections, LeConte Hall | – | $1,105 [Wz] | $13,741 [Cz] |
| 99.01.195.00 Seismic Replacement Building 1 | $2,025 [PWz] | 15,723 [Cz] | – |
| 99.01.200.00 Seismic Safety Corrections, FEMA Program, Phase 1 | 1,900 [PWz] | 12,280 [Cz] | – |
| | 65,508 [PWCi] | 9,555 [Ci] | – |
| 99.01.205.00 Seismic Safety Corrections, Archaeology Building | – | 2,053 [WCs] | – |
| 99.01.210.01 Stanley Hall Seismic Mitigation | 725 [Pi] | – | 2,157 [Wz] |
| To mitigate the seismic hazards, funding equivalent to the cost of upgrading Stanley Hall will be used to supplement other funds to replace the building with a larger modern biological science complex. | | | |
| Nonstate Funded Projects | 34,879 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $105,037 | $40,716 | $15,898 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *3,925* | *31,161* | *15,898* |
| *0994 Nonstate funds* [i] | *101,112* | *9,555* | – |

### DAVIS CAMPUS

#### General Campus

| | | | |
|---|---|---|---|
| 99.03.175.99 Chilled Water System Improvements, Phase IV | $6,788 [Cz] | – | – |
| 99.03.180.00 Chemistry Annex Alterations | 238 [PWz] | $2,564 [Cz] | – |
| 99.03.185.00 Life Sciences Alterations, Phase 1 | 411 [PWz] | 3,788 [Cz] | – |
| 99.03.190.00 Electrical Improvements, Phase 2B | 486 [PWz] | 8,995 [Cz] | – |
| 99.03.195.01 Sciences Laboratory Building | – | 4,174 [PWz] | $44,336 [Cz] |
| 99.03.200.00 Veterinary Medicine Laboratory Facility | 1,095 [PWi] | 3,658 [Cz] | – |
| | – | 5,707 [Ci] | – |
| 99.03.205.01 Veterinary Medicine 3A | – | 4,000 [Pg] | 3,303 [Wz] |
| This project will provide teaching and research space in two building units to address critical accreditation issues and will include instructional, laboratory and laboratory support space, and clinical facilities. | | | |
| Nonstate Funded Projects | 172,805 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $181,823 | $32,886 | $47,639 |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| *0001 General Fund* [g] | – | *$4,000* | – |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *$7,923* | *23,179* | *$47,639* |
| *0994 Nonstate funds* [i] | *173,900* | *5,707* | – |
| **Health Sciences** | | | |
| Nonstate Funded Projects | $4,687 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $4,687 | – | – |
| *0994 Nonstate funds* [i] | *4,687* | – | – |
| **IRVINE CAMPUS** | | | |
| **General Campus** | | | |
| 99.09.110.01 Humanities/Fine Arts Facilities | – | $10,848 [Cz] | $655 [Ez] |
| 99.09.125.99 Environmental Health and Safety Services Building | $589 [Ez] | – | – |
| 99.09.175.00 Arts Renovation and Seismic Improvements, Phase 1 | – | 202 [Ez] | – |
| 99.09.190.01 Arts Renovation and Seismic Improvements, Phase 2 | 185 [Pz] | 3,406 [PWz] | 206 [Ez] |
| 99.09.300.01 Natural Sciences Unit 1 | 46,688 [PWCz] | – | 3,870 [Ez] |
| | – | – | 3,870 [i] |
| 99.09.305.00 Irvine Hall Seismic Improvements | – | 995 [PWCz] | – |
| 99.09.310.00 Central Plant Chiller Expansion, Step 4 | – | 3,541 [WCz] | – |
| 99.09.315.01 GSM Building Seismic Improvements | – | – | 1,309 [PWCz] |
| This project will correct seismic deficiencies in the Graduate School of Management building and make related mandatory accessibility and fire-life-safety corrections. | | | |
| 99.09.320.01 Rowland Hall Seismic Improvements | – | – | 1,120 [PWz] |
| This project will correct seismic deficiencies in Rowland Hall and make related mandatory accessibility and fire-life-safety corrections. | | | |
| 99.09.325.01 Natural Sciences Unit 2 | – | – | 4,649 [PWz] |
| This project will construct a new 69,170 asf natural sciences building of research laboratories, scholarly activity, and office space for Biological Sciences, Chemistry, and Physics and Astronomy. | | | |
| Nonstate Funded Projects | 80,131 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $127,593 | $18,992 | $15,679 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *47,462* | *18,992* | *11,809* |
| *0994 Nonstate funds* [i] | *80,131* | – | *3,870* |
| **Health Sciences** | | | |
| 99.09.235.00 UCIMC Building 53 Seismic Improvements | – | $2,206 [PWCz] | – |
| Nonstate Funded Projects | $779 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $779 | $2,206 | – |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | *2,206* | – |
| *0994 Nonstate funds* [i] | *779* | – | – |
| **LOS ANGELES CAMPUS** | | | |
| **General Campus** | | | |
| FEMA Expenditures per Chapter 15, Statutes of 1994 for Northridge Earthquake | $1,621 [PWCw] | – | – |
| 99.04.125.01 Dance Building Seismic Renovation | 715 [Pi] | $700 [Wz] | $14,297 [Cz] |
| | – | 424 [Wi] | 4,826 [Ci] |
| 99.04.205.01 Kinsey Hall Seismic Correction, Phase 2 | – | – | 805 [Wz] |
| | – | – | 3,950 [Wci] |
| 99.04.220.01 Electrical Distribution System Expansion, Step 6B | – | – | 518 [PWz] |
| This project constructs the next phase of a new electrical system by installing a new main substation and connecting existing buildings to the new 12.47kV system. | | | |
| Nonstate Funded Projects | 167,339 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $169,675 | $1,124 | $24,396 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | *700* | *15,620* |
| *0705 Higher Education Capital Outlay Bond Fund of 1992* [w] | *1,621* | – | – |
| *0994 Nonstate funds* [i] | *168,054* | *424* | *8,776* |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **Health Sciences** | | | |
| 99.04.300.97 Center for Health Sciences Earthquake Reconstruction | $6,566 [PWCy] | $13,109 [PWCy] | – |
| FEMA Expenditures per Chapter 15, Statutes of 1994 for Northridge Earthquake damage | 642 [PWCv] | 5,040 [PWCv] | – |
| | 7,279 [PWCw] | 1,075 [PWCw] | – |
| 99.04.305.99 Health Sciences Seismic Replacement Building 1 | 21,693 [Cz] | – | – |
| | 30,514 [Ci] | – | – |
| 99.04.310.99 Health Sciences Seismic Replacement Building 2 | 2,630 [PWz] | 27,095 [Cz] | – |
| | 2,579 [PWi] | 25,948 [Ci] | – |
| 99.04.315.01 Westwood Replacement Hospital and Santa Monica/Orthopedic Replacement Hospital | – | – | |
| | – | 180,000 [Cs] | – |
| Nonstate Funds | 780 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $72,683 | $252,267 | – |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *24,323* | *27,095* | – |
| *0658 Higher Education Capital Outlay Bond Fund of 1996* [y] | *6,566* | *13,109* | – |
| *0660 Public Buildings Construction Fund* [s] | – | *180,000* | – |
| *0705 Higher Education Capital Outlay Bond Fund of 1992* [w] | *7,279* | *1,075* | – |
| *0791 Higher Education Capital Outlay Bond Fund of June 1990* [v] | *642* | *5,040* | – |
| *0994 Nonstate funds* [i] | *33,873* | *25,948* | – |
| **MERCED CAMPUS** | | | |
| **General Campus** | | | |
| 99.11.005.01 Site Development and Infrastructure, Step 1 | – | $10,000 [PWCz] | $37,012 [Cg] |
| 99.11.020.01 Science and Engineering Building | – | 2,500 [Pz] | 68,946 [CEg] |
| | – | 2,600 [Wg] | – |
| 99.11.025.01 Library/Information Technology Center | – | 1,800 [Pz] | 52,600 [CEg] |
| | – | 2,100 [Wg] | – |
| 99.11.030.01 Classroom and Office Building | – | – | 1,851 [PWg] |
| This project will construct a multidisciplinary classroom and office building of approximately 60,000 asf. | | | |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | – | $19,000 | $160,409 |
| *001 General Fund* [g] | – | *4,700* | *160,409* |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | *14,300* | – |
| **RIVERSIDE CAMPUS** | | | |
| **General Campus** | | | |
| 99.05.115.99 Entomology Buildings Seismic Replacement | $22,514 [Cz] | – | – |
| 99.05.120.00 Humanities-Olmsted Hall Seismic Upgrade and Renovation | 448 [Wz] | $11,167 [Cz] | – |
| 99.05.125.99 Pierce Hall Seismic Upgrade | 1,570 [Cz] | – | – |
| 99.05.130.00 Science Laboratories 1 | 600 [Pi] | 16,875 [WCz] | – |
| 99.05.135.01 Physical Sciences 1 | – | 1,341 [Pz] | $47,978 [WCz] |
| 99.05.140.01 Heckmann International Center for Entrepreneurial Management | – | – | 10,000 [PWCg] |
| This project will construct a multipurpose facility in Palm Desert which will be affiliated with the Riverside School of Management. | | | |
| 99.05.145.01 Batchelor Hall Seismic Upgrade | – | 47 [Pi] | 3,399 [WCz] |
| This project will correct seismic deficiencies and make related mandatory accessibility and fire-life-safety corrections in Batchelor Hall. | | | |
| 99.05.150.01 Hinderaker Hall Seismic Upgrade | – | 15 [Pi] | 879 [WCz] |
| This project will correct seismic deficiencies and make related mandatory accessibility and fire-life-safety corrections in Hinderaker Hall. | | | |
| 99.05.155.01 Fawcett Lab, Sproul Hall and Life Sciences Seismic Upgrade | – | 32 [Pi] | 2,628 [WCz] |
| This project will correct seismic deficiencies and make related mandatory accessibility and fire-life-safety corrections in Fawcett Laboratory, Sproul Hall, and the Life Sciences building. | | | |
| 99.05.160.01 Engineering Building Unit 2 | – | – | 3,058 [PWz] |
| This project will construct an 89,686 asf engineering building to provide multidisciplinary instructional and research laboratories for Computer Sciences and Engineering and Electrical Engineering. | | | |
| 99.05.165.01 Biological Sciences Building | – | – | 596 [Pz] |
| This project will construct a 31,666 asf multidisciplinary research facility for programs in biological sciences. | | | |
| Nonstate Funded Projects | 6,173 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $31,305 | $29,477 | $68,538 |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| *0001 General Fund* [g] | – | – | *$10,000* |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *$24,532* | *$29,383* | *58,538* |
| *0994 Nonstate funds* [i] | *6,773* | *94* | – |
| **SAN DIEGO CAMPUS** | | | |
| **General Campus** | | | |
| 99.06.170.99 Galbraith Hall Renovation | $714 [Ez] | – | – |
| 99.06.305.01 Natural Sciences Building | 53,157 [PWCz] | – | $3,108 [Ez] |
| 99.06.310.00 Central Plant Equipment Improvements 3 | 198 [Pz] | $7,079 [WCz] | – |
| 99.06.315.01 Engineering Building Unit 3B | – | 1,714 [Pz] | 2,154 [Wz] |
| 99.06.320.01 Eleanor Roosevelt College Academic Facilities | – | 4,200 [PWCz] | 175 [Ez] |
| 99.06.325.01 Pharmaceutical Sciences Building | – | – | 1,356 [Pz] |
| This project will construct a 44,000 asf facility for instructional and research activities of the new School of Pharmacy. | | | |
| Nonstate Funded Projects | 150,052 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $204,121 | $12,993 | $6,793 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *54,069* | *12,993* | *6,793* |
| *0994 Nonstate funds* [i] | *150,052* | – | – |
| **Health Sciences** | | | |
| Nonstate Funded Projects | $5,034 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $5,034 | – | – |
| *0785 Higher Education Capital Outlay Bond Fund of 1988* [u] | – | – | – |
| *0994 Nonstate funds* [i] | *5,034* | – | – |
| **SAN FRANCISCO CAMPUS** | | | |
| **General Campus** | | | |
| 99.02.125.01 Parnassus Services Seismic Replacement Building | $1,414 [Pz] | $1,889 [Wz] | $28,328 [Cz] |
| | 1,750 [Pwi] | 5,985 [Ci] | 11,232 [Ci] |
| 99.02.090.00 Electrical Distribution System Improvements, Phase 1 | – | 5,929 [PWCz] | – |
| 99.02.130.01 Health Sciences West Improvements, Phase 1 | – | – | 565 [Pz] |
| This project will correct existing ventilation and fume hood exhaust system deficiencies in the Health Sciences West building and make related mechanical and fire-life-safety improvements. | | | |
| 99.02.131 San Francisco-Fresno Medical Center | – | – | 30,000 [PWCEg] |
| This project will construct a teaching facility at the UCSF/Fresno Community Medical Center. | | | |
| Nonstate Funded Projects | 159,930 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $163,094 | $13,803 | $70,125 |
| *0001 General Fund* [g] | – | – | *30,000* |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *1,414* | *7,818* | *28,893* |
| *0994 Nonstate funds* [i] | *161,680* | *5,985* | *11,232* |
| **SANTA BARBARA CAMPUS** | | | |
| **General Campus** | | | |
| 99.08.060.00 Environmental Sciences Building | – | $1,588 [Ez] | – |
| | – | 101 [Ei] | – |
| 99.08.095.00 Engineering-Science Building | $1,226 [Pz] | 29,257 [WCz] | – |
| 99.08.100.00 Sewer System Renewal | 585 [PWz] | 4,133 [Cz] | – |
| 99.08.105.99 Broida Hall Seismic Corrections | 4,135 [Cz] | – | – |
| 99.08.110.01 Life Sciences Building | – | 1,173 [Pz] | $1,145 [Wz] |
| Nonstate Funded Projects | 28,513 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $34,459 | $36,252 | $1,145 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *5,946* | *36,151* | *1,145* |
| *0994 Nonstate funds* [i] | *28,513* | *101* | – |
| **SANTA CRUZ CAMPUS** | | | |
| **General Campus** | | | |
| 99.07.085.01 Physical Sciences Building | $45,682 [PWCz] | – | $2,064 [Ez] |
| | 3,768 [Ci] | – | 2,064 [Ei] |
| 99.07.105.00 Interdisciplinary Sciences Building | 983 [Ci] | $755 [Ez] | – |
| 99.07.110.00 Central Heating Plant Expansion, Phase 2 | – | 2,879 [PWCz] | – |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 99.07.115.01 Film and Digital Media Renovations | – | $461 [PWz] | $4,218 [Cz] |
| 99.07.120.01 Seismic Corrections, Phase 2 | – | 300 [Pi] | 4,522 [WCz] |
| | – | – | 241 [WCi] |
| This project will correct seismic structural deficiencies in four campus facilities and make related mandatory accessibility and fire-life-safety corrections. | | | |
| 99.07.125.01 Engineering Building | – | – | 3,795 [PWz] |
| This project will construct a 69,110 asf Engineering Building to provide data-intensive engineering laboratory and office space for teaching and research programs in the School of Engineering. | | | |
| Nonstate Funded Projects | $16,571 [PWCEi] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $67,004 | $4,395 | $16,904 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *45,682* | *4,095* | *14,599* |
| *0994 Nonstate funds* [i] | *21,322* | *300* | *2,305* |
| **AGRICULTURE AND NATURAL RESOURCES** | | | |
| 99.10.035.98 Alternative Pest Control Quarantine and Containment Facilities for California | $6,402 [Cz] | $540 [Ez] | – |
| | 36 [Ci] | 270 [Ei] | – |
| 99.10.040.00 Kearney Agricultural Center Greenhouse and Headhouse Facility | – | 2,842 [PWCz] | – |
| 99.10.045.01 Desert REC Laboratory and Office Facility | – | – | $1,344 [PWCz] |
| This project will construct a 3,500 asf research laboratory facility to replace inadequate space at the Desert Research and Extension Center. | | | |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $6,438 | $3,652 | $1,344 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *6,402* | *3,382* | *1,344* |
| *0994 Nonstate funds* [i] | *36* | *270* | – |

### RECONCILIATION WITH APPROPRIATIONS
### CAPITAL OUTLAY
### 0001 General Fund [g]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriations (expenditures) | – | $133,700 | $308,409 |

### 0574 Higher Education Capital Outlay Bond Fund of 1998 [z]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriations | $6,494 | $99,020 | $144,994 |
| 302 Budget Act appropriations | 208,831 | 113,671 | 58,317 |
| Transfer to and from Government Code Sections 16351.5 and 16352 | −49 | −426 | – |
| Prior year balances available: | | | |
| Item 6440-301-0574, Budget Act of 1998 as reappropriated by Item 6440-491, Budget Act of 1999 | 6,402 | – | – |
| TOTALS, EXPENDITURES | $221,678 | $212,265 | $203,311 |

### 0658 Higher Education Capital Outlay Bond Fund of 1996 [y]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 6440-302-0658, Budget Act of 1997 | $19,675 | $13,109 | – |
| Balance available in subsequent years | −13,109 | – | – |
| TOTALS, EXPENDITURES | $6,566 | $13,109 | – |

### 0660 Public Buildings Construction Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Government Code Section 15820.84 | – | $600,000 | $420,000 |
| Balance available in subsequent years | – | −420,000 | – |
| TOTALS, EXPENDITURES | – | $180,000 | $420,000 |

*** Dollars in thousands, except in Salary Range.**

## 6440 UNIVERSITY OF CALIFORNIA—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0705 Higher Education Capital Outlay Bond Fund of 1992 [w]** | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Education Code Section 67359.20 | $9,975 | $1,075 | – |
| Balance available in subsequent years | –1,075 | – | – |
| TOTALS, EXPENDITURES | $8,900 | $1,075 | – |
| **0791 Higher Education Capital Outlay Bond Fund of 1990 [v]** | | | |
| Prior year balances available: | | | |
| Education Code Section 67359.20 | $5,682 | $5,040 | – |
| Balance available in subsequent years | –5,040 | – | – |
| TOTALS, EXPENDITURES | $642 | $5,040 | – |
| **0994 Other Unclassified Fund [i]** | | | |
| APPROPRIATIONS | | | |
| Nonstate funds (expenditures) | $935,946 | $48,384 | $26,183 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $1,173,732 | $593,573 | $957,903 |

The following footnotes differ from the standard statewide footnotes due to the variety of specific fund sources for the Higher Education Capital Outlay Program.

[g] General Fund (0001).
[i] Other Unclassified Funds (0994).
[v] Higher Education Capital Outlay Bond Fund, June 1990 (0791).
[w] Higher Education Capital Outlay Bond Fund of 1992 (0705).
[y] Higher Education Capital Outlay Bond Fund of 1996 (0658).
[z] Higher Education Capital Outlay Bond Fund of 1998 (0574).

# 6600 HASTINGS COLLEGE OF THE LAW

The college was founded in 1878 by Serranus Clinton Hastings, the first Chief Justice of the State of California. On March 26, 1878, the Legislature provided for affiliation with the University of California. Policy for the college is established by the Board of Directors and is carried out by the Dean and other officers of the college. There are 11 directors on the board—one is an heir or representative of S.C. Hastings, and the other 10 are appointed by the Governor and approved by a majority of the Senate. Directors serve for terms of twelve years. The juris doctor degree is granted by The Regents of the University of California, and is signed by the President of the University of California and the Dean of Hastings College of the Law.

## Major Budget Adjustments Proposed for 2001–02

The 2001–02 budget for the University of California, Hastings College of the Law provides for the following General Fund adjustments:

- $573,000 for a four percent base budget increase.
- $143,000 for a one percent increase to address college-wide priorities.
- $400,000 for general purpose resources. This amount is equivalent to funds the college would have received from a 4.91 percent enrollment fee increase (net of financial aid).
- $81,000 to provide equity with UC for staffing costs.
- $11,000 to fund annuitant benefit increases.

## Authority

Education Code, Section 92200, et seq.

### SUMMARY OF PROGRAM REQUIREMENTS

1. PROGRAM COSTS:

| Budgeted Programs: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Instruction Program | 105.1 | 104.9 | 104.9 | $10,530 | $11,612 | $12,260 |
| 20 Public and Professional Services Program | 0.1 | 0.1 | 0.1 | 27 | 64 | 64 |
| 30 Academic Support Program—Law Library | 25.5 | 25.5 | 25.5 | 2,988 | 3,035 | 3,107 |
| 40 Student Services Program | 32.8 | 31.5 | 31.5 | 5,944 | 6,574 | 6,718 |
| 50 Institutional Support Program | 56.2 | 55.1 | 55.1 | 5,420 | 6,048 | 6,082 |
| 55 Operation and Maintenance of Plant | 6.0 | 6.0 | 6.0 | 2,939 | 1,772 | 1,622 |
| TOTALS, BUDGETED PROGRAMS | 225.7 | 223.1 | 223.1 | $27,848 | $29,105 | $29,853 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6600 HASTINGS COLLEGE OF THE LAW—Continued

| Extramural Programs: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Instruction and Research Program | $446 | $480 | $298 |
| Public and Prof Services Program | 113 | 131 | 123 |
| Academic Support Program | 79 | 22 | 5 |
| Student Services Program | 426 | 476 | 476 |
| Institutional Support Program | 276 | 335 | 311 |
| Operation and Maintenance of Plant | 404 | 142 | – |
| Student Financial Aid | 1,089 | 1,093 | 1,118 |
| Auxiliary Enterprises | 3,455 | 4,419 | 3,585 |
| TOTALS, EXTRAMURAL PROGRAMS | $6,288 | $7,098 | $5,916 |
| TOTALS, BUDGETED AND EXTRAMURAL PROGRAMS | $34,136 | $36,203 | $35,769 |
| 2. SOURCES OF FUNDS: | | | |
| Budgeted Funds: | | | |
| General Purpose Funds: | | | |
| *0001 General Fund—State* | *14,434* | *14,337* | *15,545* |
| *0993 Hastings Funds* | *13,263* | *14,620* | *14,160* |
| Restricted Funds: | | | |
| *0814 California State Lottery Education Fund* | *151* | *148* | *148* |
| TOTALS, BUDGETED PROGRAMS | $27,848 | $29,105 | $29,853 |
| Extramural Funds: | | | |
| *Federal Funds* | *383* | *461* | *411* |
| *Private Gifts, Contracts, and Grants* | *928* | *647* | *635* |
| *Other Hastings Funds* | *4,977* | *5,990* | *4,870* |
| TOTALS, EXTRAMURAL PROGRAMS | $6,288 | $7,098 | $5,916 |
| TOTALS, BUDGETED AND EXTRAMURAL PROGRAMS | $34,136 | $36,203 | $35,769 |

## 10 INSTRUCTION PROGRAM

### Program Objectives Statement

The principal objectives are:

1. Develop in the students the required competency in substantive law demanded by the legal profession.
2. Develop students' skills in research and writing.
3. Provide students with an adequate background in the law through the study of the development of the legal system and the role of the legal profession, both in the United States and abroad.
4. Instill in students, through theory-practice clinical courses, a level of professional competence and skill that will shorten the period of training in the law office.
5. Develop special skills in advocacy for trial lawyers.

The instruction program is designed to provide thorough and systematic instruction in those branches of the law which will best prepare students for their responsibilities to the community as members of the legal profession. It is composed of three elements including the classroom, theory-practice, and instructional support. Through these elements, it is planned that the student will receive a combination of theoretical instruction, practical experience, specialized training as lawyers, and necessary support services.

## 20 PUBLIC AND PROFESSIONAL SERVICES PROGRAM

### Office of Continuing Legal Education

### Program Objectives Statement

The Office of Continuing Legal Education is designed to provide training to practicing attorneys and judges through intensified courses in civil and criminal law. The program is fully self-supporting.

## 30 ACADEMIC SUPPORT PROGRAM—LAW LIBRARY

### Program Objectives Statement

The primary objective of the Law Library is to support the legal education curriculum of Hastings by providing sufficient access to reference and research materials to allow students and faculty members to research questions of law in preparation for classroom presentations and assignments, for moot court, trial practice, legal clinic assignments, and to support legal scholarship. A secondary objective of the Law Library is to support the legal research needs of the larger community, including local attorneys.

At the present time, the Law Library provides information through its collection of 406,097 bound volumes, 231,962 volume-equivalents on microform, 2,737 audio cassettes, 337 video cassettes, and over 8,200 subscriptions to serials and other information services based on compact disc, video disc, and computerized databases, as well as through information available over the Internet and World Wide Web.

## 40 STUDENT SERVICES PROGRAM

### Program Objectives Statement

The Student Services Program includes Admissions, Records, Financial Aid, Career Services, the Academic Support Program, the Legal Education Opportunity Program (LEOP) and the Disability Resource Program. Through these offices, students are provided a fair system for admittance to the law school and information about their academic performance. Students are assisted in securing necessary financial assistance to complete the instructional program, and are also helped in identifying employment opportunities. Supportive services include a discussion group program,

*** Dollars in thousands, except in Salary Range.**

## 6600 HASTINGS COLLEGE OF THE LAW—Continued

academic advising, accommodations for students with disabilities, the Academic Support Program which provides analytical skills and writing instruction to qualifying students, and the LEOP program which includes small group tutorials and other services to supplement regular instructional activities for the educationally, socially, economically, or otherwise disadvantaged students. LEOP students number 218 in 2000–01 and comprise about 18% of the student body.

**Table 1**

| **Student Fees Per Annual Full-Time Student (Whole Dollars)** | *1999–00* | *2000–01* | *2001–02* |
|---|---|---|---|
| Full-Time Equivalent Students | 1,116 | 1,185 | 1,200 |
| Resident Students: | | | |
| Enrollment Fees | $10,175 | $10,175 | $10,175 |
| Activity Fees | 62 | 82 | 82 |
| Health Insurance Fee | 728 | 749 | 749 |
| Health Services Fee | 226 | 226 | 226 |
| Totals, Resident Fees | $11,191 | $11,232 | $11,232 |
| Non-Resident Students: | | | |
| Non-Resident Tuition | 8,770 | 9,121 | 9,486 |
| Resident Student Fees Charged to Non-Residents | 11,191 | 11,232 | 11,232 |
| Totals, Non-Resident Fees | $19,961 | $20,353 | $20,718 |

## 50 INSTITUTIONAL SUPPORT PROGRAM

### Program Objectives Statement

The Institutional Support Program includes Executive Management and Management Support, Personnel, Fiscal Services, Public Safety, Community Relations and Administrative Services. This program provides administrative support to all the programs provided by the Hastings College of the Law.

---

## PROGRAM BUDGET DETAIL

| **PROGRAM REQUIREMENTS** | | | |
|---|---|---|---|
| **10 INSTRUCTION PROGRAM** | *1999–00** | *2000–01** | *2001–02** |
| TOTALS, INSTRUCTION PROGRAM | $10,530 | $11,612 | $12,260 |
| General Purpose Funds | 10,379 | 11,464 | 12,112 |
| Restricted Funds | 151 | 148 | 148 |
| 10.10 Classroom | | | |
| Expenditures | 8,096 | 8,859 | 9,427 |
| General Purpose Funds | 7,945 | 8,711 | 9,279 |
| Restricted Funds | 151 | 148 | 148 |
| 10.20 Theory Practice | | | |
| Expenditures (General Purpose) | 2,011 | 2,305 | 2,364 |
| 10.35 Instructional Support | | | |
| Expenditures (General Purpose) | 423 | 448 | 469 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 PUBLIC AND PROFESSIONAL SERVICES PROGRAM** | | | |
| Expenditures (General Purpose) | $27 | $64 | $64 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 ACADEMIC SUPPORT PROGRAM—LAW LIBRARY** | | | |
| Expenditures (General Purpose) | $2,988 | $3,035 | $3,107 |
| **PROGRAM REQUIREMENTS** | | | |
| **40 STUDENT SERVICES PROGRAM** | | | |
| Expenditures (General Purpose) | $5,944 | $6,574 | $6,718 |
| Program Elements: | | | |
| Admissions | 418 | 421 | 435 |
| Records Office | 338 | 341 | 354 |
| Financial Aid | 3,944 | 4,490 | 4,553 |
| Student Placement | 334 | 362 | 379 |
| Legal Ed Opportunity Prog | 296 | 302 | 314 |
| Academic Support Program | 251 | 262 | 273 |
| Disability Resource Program | 198 | 231 | 238 |
| Student Services | 151 | 151 | 158 |
| Student Orientation | 14 | 14 | 14 |

*** Dollars in thousands, except in Salary Range.**

## 6600 HASTINGS COLLEGE OF THE LAW—Continued

| PROGRAM REQUIREMENTS<br>50 INSTITUTIONAL SUPPORT PROGRAM | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Expenditures (General Purpose) | $5,420 | $6,048 | $6,082 |
| Program Elements: | | | |
| Executive Mgt & Mgt Support | 2,409 | 2,631 | 2,621 |
| Personnel | 321 | 293 | 309 |
| Fiscal Services | 819 | 812 | 850 |
| Public Safety | 764 | 811 | 846 |
| Community Relations | 684 | 769 | 781 |
| Administrative Services | 423 | 732 | 675 |
| **PROGRAM REQUIREMENTS<br>55 OPERATION AND MAINTENANCE OF PLANT** | | | |
| Expenditures (General Purpose) | $2,939 | $1,772 | $1,622 |
| Program Elements: | | | |
| Building Services | 473 | 503 | 503 |
| Building Maintenance | 2,466 | 1,269 | 1,119 |
| TOTALS, BUDGETED PROGRAMS | $27,848 | $29,105 | $29,853 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS
### Budgeted Programs

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 225.7 | 230.2 | 230.2 | $14,767 | $15,468 | $15,468 |
| Total Adjustments | – | – | – | – | 722 | 1,705 |
| Estimated Salary Savings | – | –7.1 | –7.1 | – | –243 | –243 |
| Net Totals, Salaries and Wages | 225.7 | 223.1 | 223.1 | $14,767 | $15,947 | $16,930 |
| Staff Benefits | – | – | – | 1,935 | 2,143 | 2,228 |
| Totals, Personal Services | 225.7 | 223.1 | 223.1 | $16,702 | $18,090 | $19,158 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $7,395 | $6,711 | $6,341 |
| SPECIAL ITEMS OF EXPENSE: | | | | | | |
| Student Financial Aid | | | | 3,751 | 4,304 | 4,354 |
| TOTALS, EXPENDITURES, BUDGETED PROGRAMS | | | | $27,848 | $29,105 | $29,853 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $14,434 | $14,337 | $15,545 |

### 0814 California State Lottery Education Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $153 | $139 | $148 |
| Revised expenditure authority per Provision 1 | –2 | 9 | – |
| TOTALS, EXPENDITURES | $151 | $148 | $148 |

### 0993 Hastings Funds [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Student enrollment fees | $11,029 | $11,956 | $12,105 |
| Other Student fees | 1,484 | 1,132 | 1,168 |
| Subtotals, Student fees | $12,513 | $13,088 | $13,273 |

*** Dollars in thousands, except in Salary Range.**

## 6600 HASTINGS COLLEGE OF THE LAW—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Scholarly publications | $129 | $125 | $125 |
| Continuing legal education program payments | 27 | 64 | 64 |
| Allowance for overhead—DOE | 125 | 141 | 141 |
| Other | 557 | 589 | 557 |
| Carryover from prior years | −88 | 613 | – |
| Subtotals, Income | $750 | $1,532 | $887 |
| TOTALS, EXPENDITURES | $13,263 | $14,620 | $14,160 |
| TOTALS, EXPENDITURES, ALL FUNDS (Budgeted Programs) | $27,848 | $29,105 | $29,853 |

### 0993 Extramural Funds [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Federal funds | $383 | $461 | $411 |
| Private gifts, contracts and grants | 928 | 647 | 635 |
| Other Hastings funds | 4,977 | 5,990 | 4,870 |
| TOTALS, EXPENDITURES | $6,288 | $7,098 | $5,916 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $34,136 | $36,203 | $35,769 |

# 6610 CALIFORNIA STATE UNIVERSITY

The California State University system is comprised of 22 campuses, including 21 university campuses and the California Maritime Academy. The system is administered by an independent governing board of trustees that includes 24 members: five ex officio, 16 appointed by the Governor to four-year terms and four members appointed to two-year terms (two student representatives—one voting and one non-voting—and one representative each for faculty and alumni). The Trustees appoint the Chancellor, who is the chief executive officer of the system, and the presidents, who are the chief executive officers on the respective campuses.

The California State Colleges were brought together as a system by the Donahoe Higher Education Act of 1960. In 1972, the system became the California State University and Colleges (CSUC); the name of the system was changed to the California State University (CSU) in January, 1982.

The oldest campus, San Jose State University, was founded in 1857 and became the first institution of public higher education in California. The newest operating campus, California State University, Monterey Bay, began offering instruction in September, 1995. The California Maritime Academy became part of the CSU system beginning fiscal year 1995–96.

The Trustees, the Chancellor, and the presidents develop systemwide policy, with actual implementation at the campus level taking place through a broadly based consultative process. The Academic Senate of the California State University, made up of elected representatives of the faculty from each campus, recommends academic policy to the Board of Trustees through the Chancellor.

Academic excellence has been achieved by the California State University through a distinguished faculty, whose primary responsibility is superior teaching. While each campus in the system has its own unique geographic and curricular character, all campuses, as multipurpose institutions, offer undergraduate and graduate instruction for professional and occupational goals as well as broad liberal education programs. Each campus requires, for graduation, a basic program of ''general education—breadth requirements'' regardless of the type of bachelor's degree or major field selected by the student. A limited number of doctoral degrees are offered jointly with the University of California and with Claremont Graduate School.

The program goals of the California State University are:

1. To provide instruction in the liberal arts and sciences, the professions, applied fields which require more than two years of college education, and teacher education—both for undergraduate students and graduate students through the master's degree.
2. To provide public services to the people of the State of California.
3. To provide services to students enrolled in the California State University.
4. To provide institutional services to support the primary functions of instruction, research, public services, and student services in the California State University and to ensure that legal obligations related to executive and business affairs are met.

## Major Budget Adjustments Proposed for 2001–02

The 2001–02 Budget provides a net General Fund increase of $215.9 million, plus $23.6 in enrollment growth revenue. The net increase in State General Fund reflects the following changes to the budget: $93.5 million, equivalent to a four percent General Fund increase, to be allocated by CSU to support salary and other cost increases and other priorities associated with general operating support for CSU; $55.7 million to increase budgeted enrollment by 8,760 full-time equivalent (FTE) students; $16.5 million to avoid a 4.91 percent increase in mandatory fees; $23.4 million for core needs (building maintenance, instructional equipment, instructional technology, and library materials); $12.4 million to provide full state support for summer instruction at the San Diego, Fullerton, Long Beach and San Francisco campuses; $2.1 million for annuitant dental benefits; $44.2 million in permanent funds and $20 million in one-time funds for various CSU and Governor's initiatives; and a net $51.9 million reduction associated with the elimination of one-time funding provided in 2000–01, including $18.4 million in carryover funds and other budget adjustments.

The following Governor's Initiatives totaling $64.2 million are included:

- $18.5 million to fully fund the Governor's K–12 Technology Training Initiative.
- $17.5 million to fully fund the Governor's Teaching Fellowships program.
- $3 million to fully fund fixed costs associated with start-up of CSU Channel Islands.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

- $1 million to expand the Diagnostic Writing Service.
- $1 million to expand the Agricultural Research Initiative.
- $1 million to expand the CSU Program for Education and Research in Biotechnology (CSUPERB).
- $1 million for Marine Studies research.
- $650,000 for a Central Valley Incubator at CSU Fresno.
- $20 million continuation of one-time funds for deferred maintenance, instructional equipment, and library materials.
- $0.5 million to backfill federal Goals 2000 funds for CalTeach.
- $28,000 to review student transcripts used to prepare CPEC' Eligibility Study.

CSU plans to allocate partnership funds, including enrollment fee revenue, for various purposes such as:

- $84.3 million to fund a three percent increase in enrollment (8,760 FTE students) and fully fund conversion of the San Diego, Fullerton, Long Beach and San Francisco campuses to year round instruction (3,138 FTE students).
- $13.2 million for health and dental benefits.
- $5.1 million for utilities and custodial maintenance costs for additional facilities.
- $615,000 for property insurance.
- $23 million to address core needs in the areas of technology equipment ($5 million), network capacity ($10 million), libraries ($4 million) and deferred maintenance ($4 million).
- $8 million for K–12 Academic Preparation programs.
- $10 million to enhance the quality of, and increase the number of students in, strategic academic programs in the fields of agriculture, computer science, engineering, nursing, biochemistry and biotechnology, in order to address California's expected needs.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 01 Instruction | 20,322.9 | 19,719.1 | 19,719.1 | $1,358,868 | $1,427,308 | $1,427,308 |
| 02 Research | 12.7 | 5.5 | 5.5 | 2,433 | 23,793 | 23,793 |
| 03 Public Services | 102.2 | 50.7 | 50.7 | 10,283 | 10,374 | 10,374 |
| 04 Academic Support | 5,047.1 | 5,479.9 | 5,479.9 | 389,361 | 451,459 | 451,459 |
| 05 Student Services | 4,858.3 | 5,102.9 | 5,102.9 | 288,240 | 317,368 | 317,368 |
| 06 Institutional Support | 5,280.3 | 5,163.4 | 5,163.4 | 481,512 | 505,444 | 505,444 |
| 07 Operation and Maintenance of Plant | 2,730.6 | 2,726.7 | 2,726.7 | 343,257 | 356,420 | 356,420 |
| 08 Student Financial Aid | – | – | – | 372,501 | 384,428 | 384,428 |
| 09 Auxiliary Enterprises [1] | 1,764.0 | 112.9 | 112.9 | 1,246,408 | 1,151,135 | 1,151,135 |
| 10 Provisions for Allocation | – | – | – | – | 94,812 | 315,084 |
| 11 Reimbursed Activities | 1,430.1 | 1,404.8 | 1,404.8 | 147,448 | 145,214 | 143,495 |
| TOTALS, PROGRAMS | 41,548.2 | 39,765.9 | 39,765.9 | $4,640,311 | $4,867,755 | $5,086,308 |
| *0001 General Fund* | | | | *2,175,380* | *2,464,832* | *2,680,778* |
| *0498 Higher Education Fees and Income-CSU* | | | | *634,603* | *655,472* | *679,018* |
| *0995 Reimbursements* | | | | *147,448* | *145,214* | *143,495* |
| Restricted Funds: | | | | | | |
| *0573 CSU Continuing Education Revolving Fund* | | | | *139,779* | *131,982* | *131,982* |
| *0580 CSU Dormitory Revenue Fund* | | | | *95,093* | *105,903* | *105,903* |
| *0583 CSU Parking Revenue Fund* | | | | *40,727* | *45,341* | *45,341* |
| *0839 CSU Lottery Education Fund* | | | | *26,751* | *55,220* | *36,000* |
| *0890 Federal Trust Fund* | | | | *17,148* | *23,500* | *23,500* |
| *0895 Federal Funds—Not In State Treasury* | | | | *462,804* | *423,000* | *423,000* |
| *0994 Other Funds Unclassified* | | | | *900,578* | *817,291* | *817,291* |

[1] This summary includes expenditures, but not personnel years, for auxiliary organizations.

## 01 INSTRUCTION

### Program Objectives Statement

The California State University (CSU) Instruction program is designed to educate students for attainment of degrees, credentials or certificates in the liberal arts and sciences, and certain applied fields and professions. CSU graduates ten percent of the California workforce and prepares an estimated ten percent of the nation's teachers and seven percent of the nation's engineers. The university prepares about 60 percent of California's teachers. CSU offers more than 1,600 bachelor's and master's degree programs in over 240 subject areas. Many of these programs are offered so that students can complete all upper division and graduate requirements by part-time late afternoon and evening study.

In conjunction with general campus-based instruction for matriculated students, CSU provides additional instructional opportunities to students through seven off-campus centers serving upper division and graduate students and through extended education programs (which are self-supporting) offering credit and noncredit courses and workshops, seminars, field studies and external degree programs which take the campus into the community to increase the personal or professional competence of California residents. CSU also offers a limited number of doctoral degrees jointly with the University of California and with private institutions in California.

The Instruction program consists of general academic instruction, preparatory/remedial instruction, special session instruction, community education instructional services and vocational/technical instruction (nonbaccalaureate).

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

### TABLE 1
### Annual Headcount Enrollment and Full-Time Equivalent Students

| | Annual College Year Headcount Enrollment [1] | | | Annual Full-Time Equivalent Students (FTES) [2] | | |
|---|---|---|---|---|---|---|
| | *Actual 1999/00* | *Budgeted 2000/01* | *Proposed 2001/02* [3] | *Actual 1999/00* | *Budgeted 2000/01* | *Proposed 2001/02* [3] |
| Undergraduate | | | | | | |
| Lower Division | 90,255 | 93,521 | 96,327 | 78,222 | 81,053 | 83,485 |
| Upper Division | 196,435 | 203,544 | 209,651 | 160,519 | 166,328 | 171,319 |
| Totals, Undergraduate | 286,690 | 297,065 | 305,978 | 238,741 | 247,381 | 254,804 |
| Postbaccalaureate | 33,658 | 34,876 | 35,923 | 20,346 | 21,082 | 21,714 |
| Graduate | 42,984 | 44,540 | 45,877 | 22,513 | 23,328 | 24,028 |
| Totals, Graduate | 76,642 | 79,416 | 81,800 | 42,859 | 44,410 | 45,742 |
| Subtotal | 363,332 | 376,481 | 387,778 | 281,600 | 291,791 | 300,546 |
| State Supported Summer Enrollment [4] | – | – | 4,047 | – | – | 3,138 |
| Distance Learning FTE | 303 | 314 | 323 | 182 | 189 | 194 [5] |
| Grand Total | 363,635 | 376,795 | 392,148 | 281,782 | 291,980 [6] | 303,878 |

[1] The College Year Enrollment is defined as the average enrollment for the Academic Year plus one-third of the Summer Term Enrollment. Hayward, Los Angeles, Pomona, and San Luis Obispo have summer term enrollments. The College Year Enrollment for Stanislaus, which is on a 4-1-4 academic calendar, is the average of fall and spring semesters, omitting the one-month winter session. CSU Special Program Enrollments are derived as follows: Summer Arts Program enrollment is Summer Arts term enrollment divided by one-half; Statewide Nursing Program enrollment is average of SNP fall and spring enrollment.

[2] Full-Time Equivalent Students (FTES) for the College Year are derived by dividing total semester student credit units by 30 for semester campuses. College Year FTES for campuses on the quarter system are derived by dividing the total number of student credit units for the Academic Year by 45 and adding one-third of the term FTES for the summer quarter. Only Hayward, Los Angeles, Pomona, and San Luis Obispo have summer terms. College Year Full-Time Equivalent students for CSU Special Programs are derived as follows: Summer Arts Program FTES are total Summer Arts student credit units divided by 30; Statewide Nursing Program FTES are total annual student credit units divided by 30.

[3] Budget year numbers for specific enrollment levels are provided for display purposes only, and do not constitute an enrollment plan.

[4] Conversion of San Diego, Fullerton, Long Beach and San Francisco to year-round instruction.

[5] 194 FTES targeted for CSU teacher preparation distance education through the Calstate Teach program may change once campus enrollment targets are identified for 2001–02.

[6] Estimated enrollment is 291,980 FTES for the current year. Estimates are based on Opening Fall Term Enrollment reports and are subject to change.

## 02 RESEARCH

### Program Objectives Statement

CSU research is comprised of activities specifically organized to promote research, whether commissioned by an agency external to CSU or budgeted by a campus or the system. Additional funds for research projects may be provided directly to the campuses from non-General Fund, external sources.

## 03 PUBLIC SERVICE

### Program Objectives Statement

The public service program includes activities that provide noninstructional services beneficial to individuals and groups external to the institution. CSU General Fund support for public service is comprised of educational television programs offered to the community by San Diego State University's Channel 15 (KPBS-TV), the only open channel television station licensed directly to CSU which has been operating since 1967.

## 04 ACADEMIC SUPPORT

### Program Objectives Statement

The Academic Support program is comprised of funds expended to provide support services for instruction. It includes libraries, museums and galleries, educational media services, academic computing support, course and curriculum development, academic administration and personnel development, and ancillary programs to assist the academic function of the university. The CSU system budget includes $2.5 million for individual faculty development through research and creative activity at the 22 campuses. Ancillary programs include the Desert Studies consortium, college farms, and the Center for Deaf Studies at the Northridge campus.

## 05 STUDENT SERVICES

### Program Objectives Statement

The Student Services program includes funds expended for admission and registrar activities and for activities that contribute to students' emotional and physical well-being and their intellectual, cultural and social development outside the context of the formal instruction program. These include student newspapers, intramural athletics, student organizations, counseling and career guidance, student financial aid administration, and student health services.

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

### TABLE 2
### Student Fees
### (Whole Dollars)

| | 1999–00 | 2000–01 | 2001–02 |
|---|---|---|---|
| **Resident Students** | | | |
| **Undergraduate** | | | |
| Full-time Students (6.1 units or more) | | | |
| Systemwide Fee | $1,428 | $1,428 | $1,428 |
| Average Campus Fee [1] | 402 | 406 | 406 |
| Totals | $1,830 | $1,834 | $1,834 |
| Part-time Students (6.0 units or less) | | | |
| Systemwide Fee | 828 | 828 | 828 |
| Average Campus Fee [1] | 402 | 406 | 406 |
| Totals | $1,230 | $1,234 | $1,234 |
| **Graduate** | | | |
| Full-time Students (6.1 units or more) | | | |
| Systemwide Fee | $1,506 | $1,506 | $1,506 |
| Average Campus Fee | 402 | 406 | 406 |
| Totals | $1,908 | $1,912 | $1,912 |
| Part-time Students (0 to 6.0 units) | | | |
| Systemwide Fee | 876 | 876 | 876 |
| Average Campus Fee | 402 | 406 | 406 |
| Totals | $1,278 | $1,282 | $1,282 |
| **Non-Resident Students** | | | |
| Full-time Students (15 units per term) | | | |
| Resident Fees | $1,830 | $1,834 | $1,834 |
| Nonresident Tuition | 7,380 | 7,380 | 7,380 |
| Totals | $9,210 | $9,214 | $9,214 |

[1] Average campus fee for all campuses. The 2000–01 level of fees is estimated; for purposes of this table it is assumed there will be no change from 2000–01 in 2001–02.

## 06 INSTITUTIONAL SUPPORT

### Program Objectives Statement

The Institutional Support program includes expenditures for central executive-level activities concerned with management and long-range planning for the entire university. Activities within this program maintain the institution's effectiveness and continuity, and they ensure that the university's operations are consistent with the public higher education policy developed by the legislature, the Governor, and the Board of Trustees when implemented by the chancellor and campus presidents. Activities within this program include executive management, fiscal operations, general administrative and logistical services, administrative computing support, public relations and mandatory transfers.

## 07 OPERATION AND MAINTENANCE OF PLANT

### Program Objectives Statement

The Operation and Plant Maintenance program includes activities and expenditures related to the support of the physical plant. These include ground maintenance, utilities, debt service payments, and insurance costs. The university is also providing targeted funding for scheduled maintenance, but these targeted funds will not be sufficient to halt increases in the deferred backlog. The current backlog in deferred maintenance projects at the university is in excess of $351 million.

## 08 STUDENT FINANCIAL AID

### Program Objectives Statement

The Student Financial Aid program includes resources for student grants and fellowships. The university provides over $100 million in direct student financial assistance through the State University Grant program. Additional financial aid is provided to students through graduate fellowships and grants funded through the Equal Opportunity Program for financial needy students maintaining satisfactory academic progress. Federal financial aid provides over $200 million in scholarships, grants, and loans to CSU students.

## 09 AUXILIARY ENTERPRISES

### Program Objectives Statement

Auxiliary Enterprises are comprised of student housing, parking, intercollegiate athletics, food services, book stores, and other self-supporting non-instructional services provided primarily to students. These services are funded through specific user charges and are not subsidized by the State. CSU provides over 25,000 housing spaces and approximately 126,000 parking spaces at its 22 campuses.

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

### 10 PROVISIONS FOR ALLOCATION

**Program Objectives and Description**

Funding for expenditures and budget adjustments required throughout the budget year are held in the Provisions for Allocation program. These types of expenditures and adjustments include lottery funds which are provided to the university on a quarterly basis, special program initiatives for productivity improvements developed by the campuses and central office throughout the budget year, costs associated with unbudgeted earthquake repairs, and the cost for services such as legal assistance from the Attorney's General office that is provided for the university from other state agencies and institutions.

### 11 REIMBURSED ACTIVITIES

**Program Objectives and Description**

This program includes activities funded from external organizations that are independent of, but enhance the mission of, the CSU.

**TABLE 3**
**Schedule of Higher Education Fees and Income**

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State University Fee | $444,225 | $480,538 | $504,184 |
| Income: | | | |
| Application Fee | 41,267 | 15,750 | 15,750 |
| Nonresident Fee | 77,305 | 72,441 | 72,441 |
| Health Services Fee | 24,823 | 28,298 | 28,298 |
| Miscellaneous Fees | 22,870 | 17,000 | 16,900 |
| Overhead—Contracts & Grants | 158 | 10 | 10 |
| Work Study—Private Contributions | 325 | 536 | 536 |
| Subtotals, Income | $166,748 | $134,035 | $133,935 |
| Revenue from External Fund Sources | 23,630 | 40,899 | 40,899 |
| Total Revenue | $634,603 | $655,472 | $679,018 |

## PROGRAM BUDGET DETAIL

**PROGRAM REQUIREMENTS**

| **STATE OPERATIONS** | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **01 INSTRUCTION** | $1,358,868 | $1,427,308 | $1,427,308 |
| General Purpose Funds | 1,250,838 | 1,323,683 | 1,323,683 |
| Restricted Funds | 108,030 | 103,625 | 103,625 |
| Program Elements: | | | |
| General Academic Instruction | 1,278,010 | 1,342,502 | 1,342,502 |
| Special Session Instruction | 45,501 | 46,200 | 46,200 |
| Vocational/Technical Instruction | 1,287 | 13,008 | 13,008 |
| Preparatory/Remedial Instruction | 8,718 | 8,490 | 8,490 |
| Community Education | 25,352 | 17,108 | 17,108 |
| **02 RESEARCH** | $2,433 | $23,793 | $23,793 |
| General Purpose Funds | 2,176 | 2,500 | 2,500 |
| Restricted Funds | 257 | 21,293 | 21,293 |
| **03 PUBLIC SERVICES** | $10,283 | $10,374 | $10,374 |
| General Purpose Funds | 9,429 | 10,374 | 10,374 |
| Restricted Funds | 854 | – | – |
| **04 ACADEMIC SUPPORT** | $389,361 | $451,459 | $451,459 |
| General Purpose Funds | 370,216 | 427,648 | 427,648 |
| Restricted Funds | 19,145 | 23,811 | 23,811 |
| Program Elements: | | | |
| Libraries | 110,534 | 116,751 | 116,751 |
| Museums & Galleries | 765 | 726 | 726 |
| Educational Media Services | 23,065 | 25,744 | 25,744 |
| Academic Computing Support | 76,109 | 93,913 | 93,913 |
| Ancillary Support | 29,218 | 31,360 | 31,360 |
| Academic Administration | 139,706 | 166,238 | 166,238 |
| Academic Personnel Development | 6,302 | 9,518 | 9,518 |
| Course and Curriculum Development | 3,662 | 7,209 | 7,209 |

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **05 STUDENT SERVICES** | $288,240 | $317,368 | $317,368 |
| General Purpose Funds | 284,897 | 304,120 | 304,120 |
| Restricted Funds | 3,343 | 13,248 | 13,248 |
| Program Elements: | | | |
| Student Services Administration | 75,238 | 62,065 | 62,065 |
| Social and Cultural Development | 50,612 | 51,313 | 51,313 |
| Counseling and Career Guidance | 27,466 | 42,895 | 42,895 |
| Financial Aid Administration | 23,177 | 34,205 | 34,205 |
| Student Admissions and Records | 79,634 | 80,940 | 80,940 |
| Student Health Services | 32,113 | 45,950 | 45,950 |
| **06 INSTITUTIONAL SUPPORT** | $481,512 | $505,444 | $505,444 |
| General Purpose Funds | 428,312 | 468,808 | 468,808 |
| Restricted Funds | 53,200 | 36,636 | 36,636 |
| Program Elements: | | | |
| Executive Management | 96,025 | 112,843 | 112,843 |
| Fiscal Operations | 68,089 | 75,696 | 75,696 |
| General Administration & Logistical Services | 213,407 | 201,512 | 201,512 |
| Administrative Computing Support | 57,742 | 63,537 | 63,537 |
| Public Relations/Development | 46,249 | 51,856 | 51,856 |
| **07 OPERATION AND MAINTENANCE OF PLANT** | $343,257 | $356,420 | $356,420 |
| General Purpose Funds | 343,171 | 356,349 | 356,349 |
| Restricted Funds | 86 | 71 | 71 |
| Program Elements: | | | |
| Physical Plant Administration | 43,701 | 42,037 | 42,037 |
| Building Maintenance | 54,798 | 56,731 | 56,731 |
| Custodial Services | 43,054 | 44,459 | 44,459 |
| Purchased Utilities | 53,747 | 59,942 | 59,942 |
| Utilities Operation | 26,828 | 24,019 | 24,019 |
| Landscape and Grounds Maintenance | 16,621 | 17,682 | 17,682 |
| Major Repairs and Renovations | 30,715 | 39,610 | 39,610 |
| Lease-Revenue Bond Payments | 73,793 | 71,940 | 71,940 |
| **08 STUDENT FINANCIAL AID** | $372,501 | $384,428 | $384,428 |
| General Purpose Funds | 120,941 | 137,428 | 137,428 |
| Federal Funds–Not in State Treasury | 251,560 | 247,000 | 247,000 |
| **09 AUXILIARY ENTERPRISES (Restricted Funds)** | $1,246,408 | $1,151,135 | $1,151,135 |
| **10 PROVISIONS FOR ALLOCATION** | – | $94,812 | $315,084 |
| **11 REIMBURSED ACTIVITIES (General Purpose Funds)** | $147,448 | $145,214 | $143,495 |
| TOTALS, EXPENDITURES | $4,640,311 | $4,867,755 | $5,086,308 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 41,548.2 | 39,765.9 | 39,765.9 | $1,974,863 | $2,032,851 | $2,032,851 |
| Student Pay Work Study | – | – | – | 16,180 | 16,582 | 16,582 |
| Net Totals, Salaries and Wages | 41,548.2 | 39,765.9 | 39,765.9 | $1,991,043 | $2,049,433 | $2,049,433 |
| Staff Benefits | – | – | – | 298,021 | 338,978 | 338,978 |
| Totals, Personal Services | 41,548.2 | 39,765.9 | 39,765.9 | $2,289,064 | $2,388,411 | $2,388,411 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,351,247 | $2,479,344 | $2,697,897 |
| TOTALS, EXPENDITURES | | | | $4,640,311 | $4,867,755 | $5,086,308 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (support) | $2,190,847 | $2,398,139 | $2,607,990 |
| 002 Budget Act appropriation (Fellows Program) | 2,314 | 2,740 | 2,754 |
| 003 Budget Act appropriation (Lease Revenue) | 59,780 | 72,135 | 70,034 |

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Allocation for contingencies or emergencies | $83 | – | – |
| Adjustment per Section 3.60 | –104,155 | –$26,297 | – |
| Adjustment per Section 4.50 | 13,930 | – | – |
| Transfer to Legislative Claims (9670) | – | –302 | – |
| Chapter 593, Statutes of 1999 | 1,100 | – | – |
| Chapter 1020, Statutes of 1999 | 1,600 | – | – |
| Prior year balances available: | | | |
| Item 6610-001-0001, Budget Act of 1997, as reappropriated by Item 6610-490, Budget Act of 1998 | 3,937 | – | – |
| Item 6610-001-0001, Budget Act of 1998, as reappropriated by Item 6610-490, Budget Act of 1999 | 24,326 | 3,478 | – |
| Item 6610-001-0001, Budget Act of 1999, as reappropriated by Item 6610-490, Budget Act of 2000 | – | 14,924 | – |
| Chapter 484, Statutes of 1998 | 60 | 15 | – |
| Totals Available | $2,193,822 | $2,464,832 | $2,680,778 |
| Balance available in subsequent years | –18,417 | – | – |
| Unexpended balance, estimated savings | –25 | – | – |
| TOTALS, EXPENDITURES | $2,175,380 | $2,464,832 | $2,680,778 |

### 0498 Higher Education Fees and Income-CSU [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $628,017 | $642,455 | $679,018 |
| Revised expenditure authority per Provision 1 | 5,708 | 12,917 | – |
| Prior year balances available: | | | |
| Item 6610-001-0498, Budget Act of 1998, as reappropriated by Item 6610-490, Budget Act of 1999 | 100 | – | – |
| Item 6610-001-0498, Budget Act of 1999, as reappropriated by Item 6610-490, Budget Act of 2000 | – | 100 | – |
| Revised expenditure authority per Provision 1 | 878 | – | – |
| Totals Available | $634,703 | $655,472 | $679,018 |
| Balance available in subsequent years | –100 | – | – |
| TOTALS, EXPENDITURES | $634,603 | $655,472 | $679,018 |

### 0505 Affordable Student Housing Revolving Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 90087 (transfer from the General Fund) | $350 | $350 | $350 |
| Less funding provided by the General Fund | –350 | –350 | –350 |
| TOTALS, EXPENDITURES | – | – | – |

### 0573 State University Continuing Education Revenue Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 89704 (expenditures) | $139,779 | $131,982 | $131,982 |

### 0580 California State University Colleges Dormitory Revenue Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 90074 (housing expenditures) | $91,276 | $88,802 | $88,802 |
| Education Code Section 90074 (parking expenditures) | 3,817 | 17,101 | 17,101 |
| TOTALS, EXPENDITURES | $95,093 | $105,903 | $105,903 |

### 0583 California State University Parking Revenue Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 89701 (expenditures) | $40,727 | $45,341 | $45,341 |

### 0814 California State Lottery Education Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Government Code Section 8880.5 (transfer to CSU Lottery Education Fund) (expenditures) | ($35,160) | ($37,355) | ($37,355) |

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

### 0839 California State University Lottery Education Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Education Code Section 89722.5 | $35,160 | $37,355 | $37,355 |
| Increased revenue (interest) | 1,867 | 1,700 | – |
| Prior year balances available | 14,920 | 25,196 | 9,031 |
| Totals Available | $51,947 | $64,251 | $46,386 |
| Balance available in subsequent years | –25,196 | –9,031 | –10,386 |
| TOTALS, EXPENDITURES | $26,751 | $55,220 | $36,000 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $18,250 | $18,951 | $23,500 |
| Revised expenditure authority per Provision 1 | –1,102 | 4,549 | – |
| TOTALS, EXPENDITURES | $17,148 | $23,500 | $23,500 |

### 0895 Federal Funds Not in State Treasury [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Federal Financial Aid (expenditures) | $210,008 | $247,000 | $247,000 |

### Auxiliary Organizations
### 0895 Federal Funds [f]—Not in State Treasury

| APPROPRIATIONS | | | |
|---|---|---|---|
| Federal funds (expenditures) | $252,796 | $176,000 | $176,000 |

### 0994 Other Funds [n]—Unclassified

| APPROPRIATIONS | | | |
|---|---|---|---|
| Expenditures | $900,578 | $817,291 | $817,291 |
| TOTALS, EXPENDITURES, ALL FUNDS, AUXILIARY ORGANIZATIONS | $1,153,374 | $993,291 | $993,291 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $147,448 | $145,214 | $143,495 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $4,640,311 | $4,867,755 | $5,086,308 |

---

## FUND CONDITION STATEMENT

### 0377 1987 Higher Education Earthquake Account, Disaster Response-Emergency Operations Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $22 | $22 | $22 |
| FUND BALANCE | $22 | $22 | $22 |
| Reserve for economic uncertainties | 22 | 22 | 22 |

### 0498 Higher Education Fees and Income, CSU [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142800 California State University Fees | $634,603 | $655,472 | $679,018 |
| Totals, Resources | $634,603 | $655,472 | $679,018 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6610 California State University (State Operations) | 634,603 | 655,472 | 679,018 |
| FUND BALANCE | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **06 CAPITAL OUTLAY** | | | |
| **Major Budget Adjustment Proposed for 2001–02** | | | |
| The budget includes $103.6 million for continuing phases of previously approved projects and $103.4 million for new projects. These projects are funded from bond funds provided by Proposition 1A on the November 1998 ballot. | | | |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $640,610 | $424,834 | $405,548 |
| *0001 General Fund* [g] | – | *22,034* | – |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *196,940* | *327,370* | *207,000* |
| *0658 Higher Education Capital Outlay Bond Fund of 1996* [y] | *686* | – | – |
| *0660 Public Buildings Construction Fund* [s] | *640* | – | – |
| *0994 Other Unclassified Funds* [i] | *442,344* | *75,430* | *198,548* |
| **06.48 Trustees of the California State University—Systemwide** | | | |
| 06.48.315 Minor Capital Outlay | $12,726 [PWCz] | $11,427 [PWCz] | $6,738 [PWCz] |
| TOTALS, EXPENDITURES | $12,726 | $11,427 | $6,738 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *12,726* | *11,427* | *6,738* |
| **06.50 California State University, Bakersfield** | | | |
| 06.50.060 Classroom/Office Building III | $421 [PWCz] | $8,132 [PWCz] | $428 [Ez] |
| 06.50.059 Telecommunications Infrastructure | – | – | 276 [Wz] |
| This project upgrades the campus telecommunications infrastructure. | | | |
| 06.50.061 Computer and Telecommunications Center Facilities Renovation and Addition | – | – | 2,335 [PWCz] |
| This project provides a Computer and Telecommunications Center by remodeling 1,250 asf (1,563 gsf) and constructing 4,795 asf (5,993 gsf) in Strien Library. | | | |
| 06.50.994 Other Non-State Projects | 3,614 [i] | 2,726 [i] | 1,603 [i] |
| TOTALS, EXPENDITURES | $4,035 | $10,858 | $4,642 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *421* | *8,132* | *3,039* |
| *0994 Other Unclassified Funds* [i] | *3,614* | *2,726* | *1,603* |
| **06.83 California State University, Channel Islands** | | | |
| 06.83.001 Science Laboratory Facility | – | – | $10,000 [PWCz] |
| This project provides a 18,847 asf (30,013 gsf) laboratory facility for the Sciences. | | | |
| 06.83.994 Other Non-State Projects | – | – | 39,500 [i] |
| TOTALS, EXPENDITURES | – | – | $49,500 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | – | *10,000* |
| *0994 Other Unclassified Funds* [i] | – | – | *39,500* |
| **06.52 California State University, Chico** | | | |
| 06.52.097 Education Classroom/Faculty Office Addition | $243 [WCz] | $12,750 [WCz] | – |
| 06.52.105 Telecommunications Infrastructure | – | 13,165 [Cg] | – |
| 06.52.105 Telecommunications Infrastructure | – | 432 [Wz] | – |
| 06.52.106 Utility Infrastructure Expansion | – | – | $4,000 [Cz] |
| This project extends and installs additional electrical, sewer, water, chilled water, and steam distribution. | | | |
| 06.52.994 Other Non-State Projects | 160 [i] | 2,421 [i] | – |
| TOTALS, EXPENDITURES | $403 | $28,768 | $4,000 |
| *0001 General Fund* [g] | – | *13,165* | – |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *243* | *13,182* | *4,000* |
| *0994 Other Unclassified Funds* [i] | *160* | *2,421* | – |
| **06.54 California State University, Dominguez Hills** | | | |
| 06.54.059 Technology Center Health and Administrative Services Building | $30,505 [WCz] | – | – |
| 06.54.073 Natural Sciences and Mathematics Building HVAC Upgrade | 3,657 [PWCz] | – | – |
| 06.54.074 Telecommunications Infrastructure | 256 [Wz] | $3,760 [Cz] | – |
| 06.54.994 Other Non-State Projects | 6,213 [i] | – | – |
| TOTALS, EXPENDITURES | $40,631 | $3,760 | – |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *34,418* | *3,760* | – |
| *0994 Other Unclassified Funds* [i] | *6,213* | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **06.56 California State University, Fresno** | | | |
| 06.56.086 Renovate McLane Hall | $664 [Cy] | – | – |
| 06.56.091 Telecommunications Infrastructure | – | – | $655 [Wz] |
| This project upgrades the campus telecommunications infrastructure. | | | |
| 06.56.092 Science II Replacement Building | – | – | 22,776 [PWCz] |
| This project provides a 42,600 asf (68,000 gsf) facility for Geology, Physics, and Psychology. It will provide for 1,398 FTE in lecture and 52 FTE in laboratory. | | | |
| 06.56.994 Other Non-State Projects | 143,699 [i] | – | – |
| TOTALS, EXPENDITURES | $144,363 | – | $23,431 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | – | *23,431* |
| *0658 Higher Education Capital Outlay Bond Fund of 1996* [y] | *664* | – | – |
| *0994 Other Unclassified Funds* [i] | *143,699* | – | – |
| **06.62 California State University, Fullerton** | | | |
| 06.62.070 Physical Education Renovation/Addition | $594 [WCz] | $18,087 [WCz] | – |
| 06.62.083 Seismic Upgrade, Langsdorf Hall | 3,923 [Cz] | – | – |
| 06.62.084 Seismic Upgrade, Humanities | 103 [PWCz] | 1,250 [PWCz] | – |
| 06.62.087 Telecommunications Infrastructure | – | – | $321 [Wz] |
| This project upgrades the campus telecommunications infrastructure. | | | |
| 06.62.088 Auditorium and Fine Arts Instructional Facility | – | – | 38,919 [WCz] |
| This project provides 61,000 asf (101,900 gsf) facility for Fine Arts. It provides for 359 FTE in lecture and 232 FTE in laboratory, 14 faculty offices, and 1200 seat auditorium. | | | |
| 06.62.994 Other Non-State Projects | 23,879 [i] | – | 1,000 [i] |
| TOTALS, EXPENDITURES | $28,499 | $19,337 | $40,240 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *4,620* | *19,337* | *39,240* |
| *0994 Other Unclassified Funds* [i] | *23,879* | – | *1,000* |
| **06.64 California State University, Hayward** | | | |
| 06.64.077 Telecommunications Infrastructure Phase II | – | $1,931 [WCz] | $8,971 [WCz] |
| 06.64.944 Other Non-State Projects | – | – | 20,551 [i] |
| TOTALS, EXPENDITURES | – | $1,931 | $29,522 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | *1,931* | *8,971* |
| *0994 Other Unclassified Funds* [i] | – | – | *20,551* |
| **06.67 Humboldt State University** | | | |
| 06.67.087 Behavioral and Social Sciences Phase I | $489 [WCz] | $20,207 [WCz] | – |
| 06.67.092 Infrastructure Improvements | 19,618 [Cz] | – | – |
| 06.67.095 Telecommunications Infrastructure | – | 337 [Wz] | $6,395 [WCz] |
| 06.67.994 Other Non-State Projects | – | – | – |
| TOTALS, EXPENDITURES | $20,107 | $20,544 | $6,395 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *20,107* | *20,544* | *6,395* |
| **06.71 California State University, Long Beach** | | | |
| 06.71.097 Renovate Fine Arts 1, 2, 3, and 4 | $15,231 [WCz] | $1,035 [Ez] | – |
| 06.71.103 Fire/Life Safety Infrastructure | 3,879 [Cz] | – | – |
| 06.71.105 Peterson Hall Addition | – | 29,166 [Cz] | – |
| 06.71.106 Telecommunications Infrastructure | 422 [Wz] | 13,546 [Cz] | – |
| TOTALS, EXPENDITURES | $19,532 | $43,747 | – |
| *0574 Higher Education Capitol Outlay Bond Fund of 1998* [z] | *19,532* | *43,747* | – |
| **06.73 California State University, Los Angeles** | | | |
| 06.73.082 Remodel Music Building | $9,586 [WCz] | – | – |
| 06.73.088 Telecommunications Infrastructure | 350 [Wz] | $7,521 [Cz] | – |
| 06.73.089 Renovate Physical Sciences Building (Seismic) | 396 [Pz] | – | – |
| 06.73.994 Other Non-State Projects | 21,194 [i] | 2,200 [i] | $96,753 [i] |
| TOTALS, EXPENDITURES | $31,526 | $9,721 | $96,753 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *10,332* | *7,521* | – |
| *0994 Other Unclassified Funds* [i] | *21,194* | *2,200* | *96,753* |

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **06.51 California Maritime Academy** | | | |
| 06.51.004 Engineering Building Renovation and Addition | – | $5,849 [PWCz] | – |
| 06.51.005 Telecommunications Infrastructure | – | 131 [Wz] | $2,077 [WCz] |
| TOTALS, EXPENDITURES | – | $5,980 | $2,077 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | *5,980* | *2,077* |
| **06.74 Monterey Bay** | | | |
| 06.74.001 Science/Academic Center | – | $14,450 [Cz] | – |
| 06.74.002 Telecommunications Infrastructure | – | – | $420 [Wz] |
| This project upgrades the campus telecommunications infrastructure. | | | |
| 06.74.994 Other Non-State Projects | $7,002 [i] | 13,753 [i] | 20,000 [i] |
| TOTALS, EXPENDITURES | $7,002 | $28,203 | $20,420 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | *14,450* | *420* |
| *0994 Other Unclassified Funds* [i] | *7,002* | *13,753* | *20,000* |
| **06.82 California State University, Northridge** | | | |
| 06.82.075 Telecommunications Infrastructure | $220 [Wz] | $4,658 [Cz] | – |
| 06.82.076 Ventura Off-Campus Center Renovation of Initial Facility, Phase 1a | 640 [CEs] | – | – |
| 06.82.077 Corporation Yard | 6,142 [Cz] | 363 [Ez] | – |
| 06.82.078 University Instructional Equipment | – | – | $5,215 [Ez] |
| This project provides instructional equipment for Art and Design Expansion; Arts, Media and Communications Building; and the Health and Human Development/Technology Building. | | | |
| 06.82.994 Other Non-State Projects | 4,578 [i] | 22,925 [i] | – |
| TOTALS, EXPENDITURES | $11,580 | $27,946 | $5,215 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *6,362* | *5,021* | *5,215* |
| *0660 Public Buildings Construction Fund* [s] | *640* | – | – |
| *0994 Other Unclassified Funds* [i] | *4,578* | *22,925* | – |
| **06.98 California State Polytechnic University, Pomona** | | | |
| 06.98.097 Telecommunications Infrastructure | $231 [Wz] | $7,349 [Cz] | – |
| 06.98.101 Sewer Infrastructure | 375 [PWCz] | 2,738 [PWCz] | – |
| 06.98.104 Center for Animal and Veterinary Science Education Phase 1A | – | 2,000 [PWCEg] | – |
| 06.98.994 Other Non-State Projects | 22,743 [i] | – | – |
| TOTALS, EXPENDITURES | $23,349 | $12,087 | – |
| *0001 General Fund* [g] | – | *2,000* | – |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *606* | *10,087* | – |
| *0994 Other Unclassified Funds* [i] | *22,743* | – | – |
| **06.76 California State University, Sacramento** | | | |
| 06.76.088 Classroom Building II | – | $1,269 [Ez] | – |
| 06.76.092 Telecommunications Infrastructure | – | 482 [Wz] | $17,603 [WCz] |
| 06.76.095 Academic Information Resource Center | – | – | 25,675 [PWCz] |
| This project provides a 67,800 asf (100,000 gsf) facility for Communications and Telecommunications Services and an Interactive Learning Center, including distance learning capabilities. | | | |
| 06.76.994 Other Non-State Projects | $15,254 [i] | – | – |
| TOTALS, EXPENDITURES | $15,254 | $1,751 | $43,278 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | *1,751* | *43,278* |
| *0994 Other Unclassified Funds* [i] | *15,254* | – | – |
| **06.78 California State University, San Bernardino** | | | |
| 06.78.088 Telecommunications Infrastructure | $278 [Wz] | $4,671 [Cz] | – |
| 06.78.081 Social and Behavioral Sciences Building | 29,061 [WCz] | 3,022 [Ez] | – |
| 06.78.089 Science Building Renovation and Addition Phase I Annex | – | – | $23,604 [PWCz] |
| This project provides a 35,704 asf (57,587 gsf) facility for Chemistry and Biological Sciences. It provides for 1,015 FTE in lecture, 83 FTE in laboratory, 37 faculty offices, and 2,400 asf in graduate research space. | | | |

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 06.78.090 Coachella Valley Center Phase I | – | – | $903 [Ez] |
| This project provides equipment for a new 22,700 asf (36,600 gsf) non-state funded facility for Coachella Valley Center. It provides 781 FTE in lecture, 7.8 FTE in laboratory, 6 faculty offices, and a 120-seat auditorium. | | | |
| 06.78.994 Other Non-State Projects | $10,555 [i] | $16,706 [i] | 9,979 [i] |
| TOTALS, EXPENDITURES | $39,894 | $24,399 | $34,486 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *29,339* | *7,693* | *24,507* |
| *0994 Other Unclassified Funds* [i] | *10,555* | *16,706* | *9,979* |
| **06.80 San Diego State University** | | | |
| 06.80.143 Science Laboratory Building | $4,983 [Ez] | – | – |
| 06.80.149 Chemistry-Geology/Business Administration Mathematics Buildings, Renovation | 1,234 [PWCz] | $18,301 [PWCz] | – |
| 06.80.150 Seismic Upgrade, Imperial Valley Auditorium/Classroom | – | 965 [PWCz] | – |
| 06.80.152 Telecommunications Infrastructure | – | – | $300 [Wz] |
| This project upgrades the campus telecommunications infrastructure. | | | |
| 06.80.153 Otay Mesa Off-campus Center | – | 1,000 [Ag] | – |
| 06.80.994 Other Non-State Projects | 54,964 [i] | 12,598 [i] | – |
| TOTALS, EXPENDITURES | $61,181 | $32,864 | $300 |
| *0001 General Fund* [g] | – | *1,000* | – |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *6,217* | *19,266* | *300* |
| *0994 Other Unclassified Funds* [i] | *54,964* | *12,598* | – |
| **06.84 San Francisco State University** | | | |
| 06.84.094 Telecommunications Infrastructure | – | – | $509 [Wz] |
| 06.84.098 Renovate Hensill Hall (Seismic) | $18,555 [Cz] | – | 635 [Ez] |
| 06.84.099 Seismic Upgrade, Psychology Building | 298 [PWCz] | $4,877 [PWCz] | – |
| 06.84.994 Other Non-State Projects | – | – | 330 [i] |
| TOTALS, EXPENDITURES | $18,853 | $4,877 | $1,474 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *18,853* | *4,877* | *1,144* |
| *0994 Other Unclassified Funds* [i] | – | – | *330* |
| **06.86 San Jose State University** | | | |
| 06.86.106 Seismic upgrade, Sweeny Hall | $22 [PWCy] | – | – |
| 06.86.107 Joint Library | 4,277 [WCz] | $65,361 [WCz] | – |
| 06.86.112 Telecommunications Infrastructure | – | – | $296 [Wz] |
| This project upgrades the campus telecommunications infrastructure. | | | |
| 06.86.994 Other Non-State Projects | 70,860 [i] | 2,101 [i] | 5,584 [i] |
| TOTALS, EXPENDITURES | $75,159 | $67,462 | $5,880 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *4,277* | *65,361* | *296* |
| *0658 Higher Education Capital Outlay Bond Fund of 1996* [y] | *22* | – | – |
| *0994 Other Unclassified Funds* [i] | *70,860* | *2,101* | *5,584* |
| **06.96 California State Polytechnic University, San Luis Obispo** | | | |
| 06.96.108 Engineering and Architecture Renovation and Replacement Phase I | $466 [PWCz] | $9,905 [PWCz] | – |
| 06.96.109 Telecommunications Infrastructure | – | 428 [Wz] | $15,102 [WCz] |
| 06.96.994 Other Non-State Projects | 435 [i] | – | – |
| TOTALS, EXPENDITURES | $901 | $10,333 | $15,102 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *466* | *10,333* | *15,102* |
| *0994 Other Unclassified Funds* [i] | *435* | – | – |
| **06.68 California State University, San Marcos** | | | |
| 06.68.063 Academic II Buildings 26/27 and 37 | – | – | $5,578 [Ez] |
| 06.68.066 Telecommunications Infrastructure | – | – | 141 [Wz] |
| This project upgrades the campus telecommunications infrastructure. | | | |
| 06.68.117 Library Information Center | – | $38,710 [Cz] | – |
| TOTALS, EXPENDITURES | – | $38,710 | $5,719 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | – | *38,710* | *5,719* |

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **06.90 Sonoma State University** | | | |
| 06.90.074 Library/Information Center | $3,293 [Ez] | – | – |
| 06.90.079 Remodel Salazar Building | 719 [PWCz] | $12,412 [PWCz] | $1,651 [Ez] |
| 06.90.080 Telecommunications Infrastructure | – | 5,869 [Cg] | – |
| 06.90.080 Telecommunications Infrastructure | – | 236 [Wz] | – |
| 06.90.994 Other Non-State Projects | 57,194 [i] | – | 248 [i] |
| TOTALS, EXPENDITURES | $61,206 | $18,517 | $1,899 |
| *0001 General Fund* [g] | – | *5,869* | – |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *4,012* | *12,648* | *1,651* |
| *0994 Other Unclassified Funds* [i] | *57,194* | – | *248* |
| **06.92 California State University, Stanislaus** | | | |
| 06.92.052 Educational Services Building | $24,409 [WCz] | $1,404 [Ez] | – |
| 06.92.057 Telecommunications Infrastructure | – | 208 [Wz] | $5,477 [WCz] |
| 06.92.994 Other Non-State Projects | – | – | 3,000 [i] |
| TOTALS, EXPENDITURES | $24,409 | $1,612 | $8,477 |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* [z] | *24,409* | *1,612* | *5,477* |
| *0994 Other Unclassified Funds* [i] | – | – | *3,000* |

## RECONCILIATION WITH APPROPRIATIONS
## 3 CAPITAL OUTLAY

### 0001 General Fund [a]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (expenditures) | – | $22,034 | – |

### 0574 Higher Education Capital Outlay Bond Fund of 1998 [z]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $23,809 | $64,210 | $79,263 |
| 302 Budget Act appropriation | 236,864 | 89,140 | 127,737 |
| Identified Project Savings per Item 6610-302-0574, Provision 5, Budget Act of 1998 | (1,983) | – | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | −899 | – | – |
| Prior year balances available: | | | |
| Item 6610-302-0574, Budget Act of 1998 | 82,125 | 174,020 | – |
| Item 6610-303-0574, Budget Act of 1998 | 29,061 | – | – |
| Totals Available | $370,960 | $327,370 | $207,000 |
| Balance available in subsequent years | −174,020 | – | – |
| TOTALS, EXPENDITURES | $196,940 | $327,370 | $207,000 |

### 0658 Higher Education Capital Outlay Bond Fund of 1996 [y]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Identified Project Savings per Item 6610-301-0658, Provision 1, Budget Act of 1997 | ($92) | – | – |
| Identified Project Savings per Item 6610-302-0658, Provision 5, Budget Act of 1997 | (129) | – | – |
| Prior year balances available: | | | |
| Item 6610-301-0658, Budget Act of 1997 | 664 | – | – |
| Item 6610-302-0658, Budget Act of 1997 | 22 | – | – |
| TOTALS, EXPENDITURES | $686 | – | – |

### 0660 Public Buildings Construction Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 6610-301-0660, Budget Act of 1998 (expenditures) | $640 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6610 CALIFORNIA STATE UNIVERSITY—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **0994 Other Unclassified Funds [i]** | | | |
| APPROPRIATIONS | | | |
| Nonstate Funds [i] (expenditures) | $442,344 | $75,430 | $198,548 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $640,610 | $424,834 | $405,548 |

The following footnotes differ from the standard statewide footnotes due to the variety of specific fund sources for the higher education segments. These footnotes apply only to higher education capital outlay.
[i] Other Unclassified Funds (Fund 0994).
[s] Public Buildings Construction Fund (Fund 0660).
[v] Higher Education Capital Outlay Bond Fund of June 1990 (Fund 0791).
[w] Higher Education Capital Outlay Bond Fund of 1992 (Fund 0705).
[y] Higher Education Capital Outlay Bond Fund of 1996 (Fund 0658).
[z] Higher Education Capital Outlay Bond Fund of 1998 (0574).

# 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES

The Board of Governors of the California Community Colleges was established by Chapter 1549, Statutes of 1967, to provide statewide leadership to the public community college segment of California higher education.

The Board has 17 members appointed by the Governor with the advice and consent of the Senate. Fifteen members are appointed to six-year terms and two student members are appointed to two-year terms. The Board, headquartered in Sacramento, is assisted by a staff headed by a chancellor appointed by the Board.

The objectives of the Board are:

1. To give direction, coordination, planning, and leadership to California's community colleges.
2. To promote quality education in community colleges.
3. To improve district and campus programs through informational and technical services on a statewide basis, while recognizing the community-oriented aspect of California's network of 108 community colleges.
4. To seek adequate financial support while ensuring the most prudent use of public funds.

### Authority

Education Code, Division 7.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Apportionments | 8.7 | 7.6 | 9.5 | $3,797,906 | $4,125,720 | $4,367,657 |
| 20 Special Services | 147.9 | 165.3 | 162.9 | 548,353 | 631,378 | 756,396 |
| 30 Administration | 55.6 | 53.4 | 53.4 | 4,519 | 4,754 | 5,016 |
| Distributed Administration | – | – | – | –4,519 | –4,754 | –5,016 |
| 98 State-Mandated Local Programs | – | – | – | 1,691 | 1,691 | 1,691 |
| TOTALS, PROGRAMS | 212.2 | 226.3 | 225.8 | $4,347,950 | $4,758,789 | $5,125,744 |
| *0001 General Fund [1]* | | | | *2,401,759* | *2,666,305* | *2,893,668* |
| *0342 State School Fund* | | | | *1,483* | *1,483* | *1,483* |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* | | | | *–* | *957* | *961* |
| *0658 Higher Education Capital Outlay Bond Fund of 1996* | | | | *945* | *–* | *–* |
| *0814 California State Lottery Fund* | | | | *126,226* | *137,163* | *137,163* |
| *0909 Community College Fund for Instructional Improvement* | | | | *248* | *45* | *45* |
| *0925 California Business Resources and Assistance Innovation Network Fund* | | | | *27* | *28* | *29* |
| *0942 Special Deposit Fund* | | | | *124* | *155* | *155* |
| *0959 Foster Children and Parent Training Fund* | | | | *467* | *2,967* | *2,967* |
| *0986 Local Property Tax Revenues* | | | | *1,584,355* | *1,709,403* | *1,846,599* |
| *0992 Higher Education Fees and Income-UC/CC* | | | | *155,204* | *162,084* | *165,034* |
| *0995 Reimbursements* | | | | *77,112* | *78,199* | *77,640* |

[1] Most of the amounts included as General Fund are for the purposes of meeting the minimum funding guarantee for educational programs pursuant to Section 8 of Article XVI of the California Constitution.

## 10 COMMUNITY COLLEGE APPORTIONMENTS

### Program Objectives Statement

This program provides funds which supplement local resources in financing the general education programs for the 108 community colleges. This program also includes the preparation of reports and the collection of a wide range of data from California Community Colleges for certification of the apportionments to be paid to each district. Major state funding of community colleges is achieved through the transfer of funds from the General Fund to Section B of the State School Fund. General purpose funds are allocated through a program based funding formula which differentiates among five major categories of community college operation (Instruction, Instructional Services, Student Services, Maintenance and

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

Operations, and Institutional Support) and is intended to be the basis of annual budget requests by the Board of Governors for general state apportionments, exclusive of capital outlay and categorical programs. The workload measures are full-time equivalent students (FTES), the number of new and continuing students, and gross square footage.

### Major Budget Adjustments Included in 2000–01

Proposition 98 General Fund:

- $16.2 million in savings due to increased property tax collections.
- $3.3 million for Apprenticeships to increase the number of training hours by nearly 40 percent (1.8 million to 2.5 million) pursuant to Ch. 746, Statutes of 2000.

### Major Budget Adjustments Proposed for 2001–02

Proposition 98 General Fund:

- $106.8 million for the General Apportionment to fund a 3.00 percent growth in students. This includes an additional $42.0 million over the statutory amount of 1.82 percent.
- $141.9 million for the General Apportionment to fund the 3.91 percent statutory COLA.
- $833,000 for Apprenticeships to meet demands of industry by providing an additional 170,000 training hours.
- $4,065,000 decrease in lease purchase payment costs.
- $330,000 offset to reflect the revised oil and mineral estimate.
- $163.6 million offset to reflect the revised property tax estimate.
- $10.6 million offset to reflect the revised student fee estimate.
- $1.7 million for Basic Skills to fund COLA ($1.0 million) and growth ($754,000).

## 20 SPECIAL SERVICES, OPERATIONS AND INFORMATION

### Program Objectives Statement

Special Services, Operations and Information functions include the development, implementation, and coordination of policies and procedures established by statute or by the Board of Governors and the Chancellor regarding matters other than apportionments.

### Major Budget Adjustment Included in 2000–01

Other Funds:

- $16.2 million increase in Lottery revenues.

### Major Budget Adjustments Proposed for 2001–02

Proposition 98 General Fund:

- $11.4 million for Financial Aid Administration to ensure all eligible students have access to Cal-Grants ($11 million) and to fund administration needs based on revised estimates of BOG fee waivers ($374,000).
- $62.0 million for Part-Time Faculty Compensation to assist districts in making part-time faculty salaries more comparable to full-time salaries for similar work.
- $7.9 million ($3.2 million one-time reappropriation for 2000–01 and $4.7 million for 2001–02) to expand the Part-Time Faculty Office Hours Program. Use of these funds is contingent upon the enactment of legislation to reinstate a 1:1 state to local match.
- $6.0 million for Disabled Students Programs and Services to provide live captioning for hearing impaired students consistent with Office of Civil Rights recommendations ($828,000) and to fund COLA ($2.9 million) and growth ($2.3 million).
- $5.0 million for the Teacher and Reading Development Partnership to expand the number of colleges participating in this program by nearly 50% (33 to approximately 50), thus encouraging more students to pursue a career in teaching.
- $5.0 million for the Economic Development Program to provide funds for Industry Driven Regional Collaboratives specifically addressing high demand for information technology, nursing and biotechnology workforce development services.
- $10.0 million increase to the Scheduled Maintenance Program for high priority infrastructure related improvements.
- $10.0 million increase to the Instructional Equipment and Library Materials Replacement program to assist colleges in purchasing high priority equipment and instructional materials.
- $1.0 million one-time for the San Mateo Community College District to develop a joint Baccalaureate program between Cañada College and San Francisco State University.
- $5.2 million for Extended Opportunity Program and Services to fund COLA ($2.9 million) and growth ($2.3 million).
- $774,000 for Cooperative Agencies Resources for Education to fund COLA ($438,000) and growth ($336,000).
- $5.0 million for Matriculation to fund COLA ($2.8 million) and growth ($2.2 million).

## 30 ADMINISTRATION

A total of 53.4 personnel years and $5.0 million will be utilized by the Chancellor's Office during the 2001–02 fiscal year to perform administrative functions for support of the various programs of the Board of Governors of the California Community Colleges. The costs of these functions are allocated back to the Apportionment Program and the Special Services, Operations and Information Program.

### Major Budget Adjustments Proposed for 2001–02

Non-Proposition 98 General Fund:

- $89,000 to fill one vacant position in Legal Affairs for addressing workload associated with regulations, mandates and litigation.
- $219,000 to fill one vacant position in the Student Services Division for coordinating community college outreach and admission policies. Additional operating expenses are provided for workshops and materials for high school counselors on the role and benefits of community colleges.
- $160,000 to fill two vacant positions in the Student Services Division to address compliance and technical assistance workload within EOPS and CARE.
- $151,000 for two new positions in the Fiscal Services Division to monitor and evaluate the financial condition of the community college districts, and ensure compliance with state and federal requirements.
- $200,000 in one-time funds to collect baseline data on the Teacher and Reading Development Partnership.
- $250,000 to automate additional facilities data collection necessary for compliance with the California Infrastructure Planning Act (Ch. 606, Statutes of 1999).

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

### PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 COMMUNITY COLLEGE APPORTIONMENTS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| 0001 General Fund | $1,193 | $1,212 | $1,457 |
| Totals, State Operations | $1,193 | $1,212 | $1,457 |
| Local Assistance: | | | |
| 0001 General Fund | 1,919,497 | 2,104,760 | 2,205,520 |
| 0342 State School Fund | 1,483 | 1,483 | 1,483 |
| 0814 California State Lottery Fund | 126,226 | 137,163 | 137,163 |
| 0986 Local Property Tax Revenues | 1,584,355 | 1,709,403 | 1,846,599 |
| 0992 Higher Education Fees and Income-UC/CC | 155,204 | 162,084 | 165,034 |
| 0995 Reimbursements | 9,948 | 9,615 | 10,401 |
| Totals, Local Assistance | $3,796,713 | $4,124,508 | $4,366,200 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10.010 Apportionments | | | |
| State Operations: | | | |
| 0001 General Fund | 864 | 1,212 | 1,457 |
| Local Assistance: | | | |
| 0001 General Fund | 1,624,452 | 1,643,848 | 1,751,458 |
| 0342 State School Fund | 1,483 | 1,483 | 1,483 |
| 0814 California State Lottery Fund | 126,226 | 137,163 | 137,163 |
| 0986 Local Property Tax Revenues | 1,584,355 | 1,709,403 | 1,846,599 |
| 0992 Higher Education Fees and Income-UC/CC | 155,204 | 162,084 | 165,034 |
| 0995 Reimbursements | 9,948 | 9,615 | 10,401 |
| 10.10.020 Basic Skills, CalWORKs, Apprenticeship | | | |
| Local Assistance: | | | |
| 0001 General Fund | 39,883 | 44,649 | 47,221 |
| 10.10.030 Growth for Apportionment | | | |
| Local Assistance: | | | |
| 0001 General Fund | 110,162 | 116,263 | 106,841 |
| 10.10.040 Partnership for Excellence | | | |
| State Operations: | | | |
| 0001 General Fund | 329 | – | – |
| Local Assistance: | | | |
| 0001 General Fund | 145,000 | 300,000 | 300,000 |

### PROGRAM REQUIREMENTS
### 20 SPECIAL SERVICES, OPERATIONS AND INFORMATION

| | | | |
|---|---|---|---|
| State Operations: | | | |
| 0001 General Fund | $10,007 | $11,170 | $11,975 |
| 0574 Higher Education Capital Outlay Bond Fund of 1998 | – | 957 | 961 |
| 0658 Higher Education Capital Outlay Bond Fund of 1996 | 945 | – | – |
| 0909 Community College Fund for Instructional Improvement | 10 | 10 | 10 |
| 0925 California Business Resources and Assistance Innovation Network Fund | 12 | 13 | 14 |
| 0942 Special Deposit Fund | 124 | 155 | 155 |
| 0995 Reimbursements | 6,652 | 6,673 | 6,179 |
| Totals, State Operations | $17,750 | $18,978 | $19,294 |
| Local Assistance: | | | |
| 0001 General Fund | 469,371 | 547,472 | 673,025 |
| 0909 Community College Fund for Instructional Improvement | 238 | 35 | 35 |
| 0925 California Business Resources and Assistance Innovation Network Fund | 15 | 15 | 15 |
| 0959 Foster Children and Parent Training Fund | 467 | 2,967 | 2,967 |
| 0995 Reimbursements | 60,512 | 61,911 | 61,060 |
| Totals, Local Assistance | $530,603 | $612,400 | $737,102 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 20.10 Student Services | | | |
| State Operations: | | | |
| 0001 General Fund | 2,935 | 3,557 | 4,129 |
| 0995 Reimbursements | 1,858 | 1,826 | 1,303 |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | $295,751 | $332,502 | $364,135 |
| *0959 Foster Children and Parent Training Fund* | 467 | 2,967 | 2,967 |
| *0995 Reimbursements* | 5,087 | 7,440 | 6,589 |
| 20.10.005 Student Financial Aid Administration | | | |
| State Operations: | | | |
| *0001 General Fund* | – | – | 219 |
| Local Assistance: | | | |
| *0001 General Fund* | 6,416 | 7,273 | 18,647 |
| 20.10.010 Extended Opportunity Programs and Services and Special Services | | | |
| State Operations: | | | |
| *0001 General Fund* | 933 | 1,136 | 1,294 |
| *0995 Reimbursements* | 93 | 100 | 100 |
| Local Assistance: | | | |
| *0001 General Fund* | 70,077 | 85,467 | 91,473 |
| 20.10.013 Teacher and Reading Development Partnerships | | | |
| State Operations: | | | |
| *0001 General Fund* | 166 | 178 | 378 |
| Local Assistance: | | | |
| *0001 General Fund* | 10,000 | 10,000 | 15,000 |
| 20.10.015 AmeriCorps Program | | | |
| State Operations: | | | |
| *0995 Reimbursements* | 476 | 200 | – |
| Local Assistance: | | | |
| *0995 Reimbursements* | 1,770 | – | – |
| 20.10.016 America Reads | | | |
| State Operations: | | | |
| *0995 Reimbursements* | 233 | 269 | – |
| Local Assistance: | | | |
| *0995 Reimbursements* | 755 | 755 | – |
| 20.10.020 Disabled Students | | | |
| State Operations: | | | |
| *0001 General Fund* | 624 | 878 | 876 |
| Local Assistance: | | | |
| *0001 General Fund* | 60,069 | 75,370 | 79,612 |
| 20.10.040 Fund for Student Success | | | |
| Local Assistance: | | | |
| *0001 General Fund* | 15,218 | 16,218 | 16,218 |
| 20.10.045 Student Services for CalWORKs Recipients | | | |
| Local Assistance: | | | |
| *0001 General Fund* | 65,000 | 65,000 | 65,000 |
| 20.10.060 Foster Care Education Program | | | |
| State Operations: | | | |
| *0995 Reimbursements* | 279 | 377 | 323 |
| Local Assistance: | | | |
| *0001 General Fund* | 1,866 | 1,866 | 1,866 |
| *0959 Foster Children and Parent Training Fund* | 467 | 2,967 | 2,967 |
| *0995 Reimbursements* | 2,562 | 6,685 | 6,589 |
| 20.10.070 Matriculation | | | |
| Local Assistance: | | | |
| *0001 General Fund* | 67,105 | 71,308 | 76,319 |
| 20.10.080 Student Services Administration | | | |
| State Operations: | | | |
| *0001 General Fund* | 894 | 1,029 | 1,027 |
| 20.10.090 Special Services | | | |
| State Operations: | | | |
| *0001 General Fund* | 318 | 336 | 335 |
| *0995 Reimbursements* | 777 | 880 | 880 |
| 20.20 Faculty and Staff Services | | | |
| State Operations: | | | |
| *0001 General Fund* | 973 | 1,024 | 1,021 |
| Local Assistance: | | | |
| *0001 General Fund* | 5,856 | 5,856 | 76,681 |
| 20.20.020 Academic Senate for the Community Colleges | | | |
| State Operations: | | | |
| *0001 General Fund* | 20 | 20 | 20 |
| Local Assistance: | | | |
| *0001 General Fund* | 497 | 497 | 497 |
| 20.20.040 Human Resources | | | |
| State Operations: | | | |
| *0001 General Fund* | 953 | 1,004 | 1,001 |
| Local Assistance: | | | |
| *0001 General Fund* | 1,859 | 1,859 | 1,859 |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 20.20.050 Part-time Faculty Health Insurance | | | |
| Local Assistance: | | | |
| *0001 General Fund* | $1,000 | $1,000 | $1,000 |
| 20.20.051 Part-time Faculty Compensation | | | |
| Local Assistance: | | | |
| *0001 General Fund* | – | – | 62,000 |
| 20.20.055 Part-time Faculty Office Hours | | | |
| Local Assistance: | | | |
| *0001 General Fund* | 2,500 | 2,500 | 10,325 |
| 20.20.085 Cañada College Joint-Use Baccalaureate | | | |
| Local Assistance: | | | |
| *0001 General Fund* | – | – | 1,000 |
| 20.30 Educational Program Services | | | |
| State Operations: | | | |
| *0001 General Fund* | 3,655 | 3,925 | 3,916 |
| *0909 Community College Fund for Instructional Improvement* | 10 | 10 | 10 |
| *0925 California Business Resources and Assistance Innovation Network Fund* | 12 | 13 | 14 |
| *0942 Special Deposit Fund* | 124 | 155 | 155 |
| *0995 Reimbursements* | 4,138 | 4,286 | 4,375 |
| Local Assistance: | | | |
| *0001 General Fund* | 75,764 | 103,114 | 106,209 |
| *0909 Community College Fund for Instructional Improvement* | 238 | 35 | 35 |
| *0925 California Business Resources and Assistance Innovation Network Fund* | 15 | 15 | 15 |
| *0995 Reimbursements* | 55,425 | 54,471 | 54,471 |
| 20.30.010 Faculty and Staff Development | | | |
| Local Assistance: | | | |
| *0001 General Fund* | 5,233 | 5,233 | 5,233 |
| 20.30.011 Telecommunications and Technology Infrastructure | | | |
| Local Assistance: | | | |
| *0001 General Fund* | 28,000 | 44,300 | 44,300 |
| 20.30.012 California Virtual University | | | |
| State Operations: | | | |
| *0001 General Fund* | 171 | 164 | 163 |
| Local Assistance: | | | |
| *0001 General Fund* | 2,900 | 2,900 | 2,900 |
| 20.30.020 Instructional Improvement | | | |
| State Operations: | | | |
| *0909 Community College Fund for Instructional Improvement* | 10 | 10 | 10 |
| Local Assistance: | | | |
| *0001 General Fund* | 1,630 | 1,630 | 1,630 |
| *0909 Community College Fund for Instructional Improvement* | 238 | 35 | 35 |
| 20.30.030 Vocational Education | | | |
| State Operations: | | | |
| *0001 General Fund* | 1,486 | 1,505 | 1,502 |
| *0942 Special Deposit Fund* | 124 | 155 | 155 |
| *0995 Reimbursements* | 2,584 | 2,466 | 2,555 |
| Local Assistance: | | | |
| *0995 Reimbursements* | 53,088 | 54,471 | 54,471 |
| 20.30.050 Economic Development | | | |
| State Operations: | | | |
| *0001 General Fund* | 219 | 400 | 399 |
| *0925 California Business Resources and Assistance Innovation Network Fund* | 12 | 13 | 14 |
| *0995 Reimbursements* | 57 | – | – |
| Local Assistance: | | | |
| *0001 General Fund* | 34,122 | 45,172 | 50,172 |
| *0925 California Business Resources and Assistance Innovation Network Fund* | 15 | 15 | 15 |
| 20.30.060 Workforce Preparation | | | |
| State Operations: | | | |
| *0995 Reimbursements* | 1,177 | 1,402 | 1,402 |
| Local Assistance: | | | |
| *0995 Reimbursements* | 2,337 | – | – |
| 20.30.070 Transfer Education and Articulation | | | |
| Local Assistance: | | | |
| *0001 General Fund* | 3,879 | 3,879 | 1,974 |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 20.30.080 Curriculum Standards and Instructional Services | | | |
| State Operations: | | | |
| *0001 General Fund* | *$1,779* | *$1,856* | *$1,852* |
| *0995 Reimbursements* | *320* | *418* | *418* |
| 20.40 Physical Plant Planning, Operations and Development | | | |
| State Operations: | | | |
| *0001 General Fund* | *221* | *291* | *541* |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* | *–* | *957* | *961* |
| *0658 Higher Education Capital Outlay Bond Fund of 1996* | *945* | *–* | *–* |
| *0995 Reimbursements* | *227* | *225* | *225* |
| Local Assistance: | | | |
| *0001 General Fund* | *92,000* | *106,000* | *126,000* |
| 20.40.010 Facilities Planning | | | |
| State Operations: | | | |
| *0001 General Fund* | *221* | *291* | *541* |
| *0574 Higher Education Capital Outlay Bond Fund of 1998* | *–* | *957* | *961* |
| *0658 Higher Education Capital Outlay Bond Fund of 1996* | *945* | *–* | *–* |
| *0995 Reimbursements* | *227* | *225* | *225* |
| 20.40.025 Scheduled Maintenance/Special Repairs | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *39,000* | *49,000* | *59,000* |
| 20.40.035 Instructional Equipment and Library Materials Replacement | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *44,000* | *49,000* | *59,000* |
| 20.40.040 Hazardous Substances | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *8,000* | *8,000* | *8,000* |
| 20.40.047 Copper Mountain | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *1,000* | *–* | *–* |
| 20.50 Management Information Systems (MIS) | | | |
| State Operations: | | | |
| *0001 General Fund* | *2,223* | *2,373* | *2,368* |
| *0995 Reimbursements* | *429* | *336* | *276* |
| 20.50.000 MIS and Operations Unit | | | |
| State Operations: | | | |
| *0001 General Fund* | *2,223* | *2,373* | *2,368* |
| *0995 Reimbursements* | *429* | *302* | *276* |
| **PROGRAM REQUIREMENTS** | | | |
| **98 STATE-MANDATED LOCAL PROGRAMS** | | | |
| Local Assistance: | | | |
| *0001 General Fund* | *$1,691* | *$1,691* | *$1,691* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $18,943 | $20,190 | $20,751 |
| Local Assistance | 4,329,007 | 4,738,599 | 5,104,993 |
| TOTALS, EXPENDITURES | $4,347,950 | $4,758,789 | $5,125,744 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 212.2 | 238.2 | 235.7 | $11,969 | $13,637 | $13,864 |
| Total Adjustments | – | – | 2.0 | – | −161 | 343 |
| Estimated Salary Savings | – | −11.9 | −11.9 | – | −674 | −710 |
| Net Totals, Salaries and Wages | 212.2 | 226.3 | 225.8 | $11,969 | $12,802 | $13,497 |
| Staff Benefits | – | – | – | 2,102 | 2,305 | 2,429 |
| Totals, Personal Services | 212.2 | 226.3 | 225.8 | $14,071 | $15,107 | $15,926 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,872 | $5,083 | $4,825 |
| TOTALS, EXPENDITURES | | | | $18,943 | $20,190 | $20,751 |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $11,006 | $12,451 | $13,432 |
| Allocation for employee compensation | 651 | 34 | – |
| Adjustment per Section 3.60 | −445 | −103 | – |
| Adjustment per Section 16.00 | 7 | – | – |
| Totals Available | $11,219 | $12,382 | $13,432 |
| Unexpended balance, estimated savings | −19 | – | – |
| TOTALS, EXPENDITURES | $11,200 | $12,382 | $13,432 |

### 0574 Higher Education Capital Outlay Bond Fund of 1998 [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $963 | $961 |
| Allocation for employee compensation | – | 2 | – |
| Adjustment per Section 3.60 | – | −8 | – |
| TOTALS, EXPENDITURES | – | $957 | $961 |

### 0658 Higher Education Capital Outlay Bond Fund of 1996 [b]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $928 | – | – |
| Allocation for employee compensation | 55 | – | – |
| Adjustment per Section 3.60 | −38 | – | – |
| TOTALS, EXPENDITURES | $945 | – | – |

### 0909 Community College Fund for Instructional Improvement [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $10 | $10 | $10 |

### 0925 California Business Resources and Assistance Innovation Network Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $12 | $13 | $14 |

### 0942 Special Deposit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 16370 (expenditures) | $124 | $155 | $155 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $6,652 | $6,673 | $6,179 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $18,943 | $20,190 | $20,751 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 661701 Grants and subventions | $4,327,316 | $4,736,908 | $5,103,302 |
| State Mandates | 1,691 | 1,691 | 1,691 |
| TOTALS, EXPENDITURES | $4,329,007 | $4,738,599 | $5,104,993 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund (Proposition 98 Guarantee)

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $2,236,714 | $2,608,731 | $2,812,907 |
| 103 Budget Act appropriation (lease purchase) | 66,678 | 66,550 | 62,485 |
| 295 Budget Act appropriation (State Mandates) | 1,691 | 1,691 | 1,691 |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Chapter 738, Statutes of 1999 (Part-Time Faculty Health Benefits) | $500 | – | – |
| Chapter 959, Statutes of 1999 (Mexican Development Centers) | 1,050 | – | – |
| Chapter 71, Statutes of 2000 (high priority projects) | 100,000 | – | – |
| Chapter 71, Statutes of 2000 (one-time basis) | 4,950 | – | – |
| Chapter 746, Statutes of 2000 (apprenticeship) | – | $3,307 | – |
| Totals Available | $2,411,583 | $2,680,279 | $2,877,083 |
| Unexpended balance, estimated savings | −21,524 | −26,356 | – |
| TOTALS, EXPENDITURES | $2,390,059 | $2,653,923 | $2,877,083 |

### 0001 General Fund Non-Proposition 98

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation | $0 [1] | $0 [1] | $0 [1] |
| Chapter 78, Statutes of 1999 (Copper Mountain College) | 500 | – | – |
| Reappropriation from Proposition 98 Reversion Account per Item 6870-485, Budget Act of 2001 | – | – | 3,153 |
| Prior year balances available: | | | |
| Item 6870-111-0001, Budget Act of 1998 | 0 [1] | – | – |
| TOTALS, EXPENDITURES | $500 | – | $3,153 |
| TOTALS, EXPENDITURES (General Fund) | $2,390,559 | $2,653,923 | $2,880,236 |

[1] Fully reimbursed item.

### 0342 State School Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Article IX, Section 6, Education Code Part 50, Chapter 4.5 and Chapter 323, Statutes of 1976 | $2,283,906 | $2,650,417 | $2,834,798 |
| Education Code Section 12320 (Federal Oil and Mineral Revenue) | 1,483 | 1,483 | 1,483 |
| TOTALS, EXPENDITURES | $2,285,389 | $2,651,900 | $2,836,281 |
| Less funding provided by the General Fund | −2,283,906 | −2,650,417 | −2,834,798 |
| NET TOTALS, EXPENDITURES | $1,483 | $1,483 | $1,483 |

### 0814 California State Lottery Education Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | $126,269 | $120,979 | $137,163 |
| Revised expenditure authority per Provision 1 | −43 | 16,184 | – |
| TOTALS, EXPENDITURES | $126,226 | $137,163 | $137,163 |

### 0909 Community College Fund for Instructional Improvement [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | $1,975 | $1,975 | $1,975 |
| Grants (transfer from General Fund) | (1,630) | (1,630) | (1,630) |
| Loans to Community College districts | (345) | (345) | (345) |
| Totals Available | $1,975 | $1,975 | $1,975 |
| Unexpended balance, estimated savings | −107 | – | – |
| TOTALS, EXPENDITURES | $1,868 | $1,975 | $1,975 |
| Less funding provided by the General Fund | −1,630 | −1,630 | −1,630 |
| Less loan repayments from Community College districts | – | −310 | −310 |
| NET TOTALS, EXPENDITURES | $238 | $35 | $35 |

### 0925 California Business Resources and Assistance Innovation Network Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (expenditures) | $15 | $15 | $15 |

### 0959 Foster Children and Parent Training Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (expenditures) | $467 | $2,967 | $2,967 |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

### 0986 Local Property Tax Revenues [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Amount counted toward apportionments (expenditures) | $1,584,355 | $1,709,403 | $1,846,599 |

### 0992 Higher Education Fees and Income-UC/CC [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Student Enrollment Fee Revenues (amount counted toward apportionments) (expenditures) | $155,204 | $162,084 | $165,034 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $70,460 | $71,526 | $71,461 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $4,329,007 | $4,738,599 | $5,104,993 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $4,347,950 | $4,758,789 | $5,125,744 |

---

### FUND CONDITION STATEMENT

### 0909 Community College Fund for Instructional Improvement [n]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,006 | $584 | $539 |
| Prior year adjustments | −174 | – | – |
| Balance, Adjusted | $832 | $584 | $539 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 6870 Board of Governors of the California Community Colleges: | | | |
| State Operations | 10 | 10 | 10 |
| Local Assistance: | | | |
| Grants | 1,523 | 1,630 | 1,630 |
| Loans to Community College districts | 345 | 345 | 345 |
| Totals, Local Assistance | $1,868 | $1,975 | $1,975 |
| Totals, Disbursements | $1,878 | $1,985 | $1,985 |
| Expenditure Reductions: | | | |
| 6870 Board of Governors of the California Community Colleges: | | | |
| Local Assistance: | | | |
| Less funding provided by the General Fund | −1,630 | −1,630 | −1,630 |
| Repayment of prior year loans from Community College districts | – | −310 | −310 |
| Totals, Expenditure Reductions | −$1,630 | −$1,940 | −$1,940 |
| Totals, Expenditures | $248 | $45 | $45 |
| FUND BALANCE | $584 | $539 | $494 |
| Reserve for economic uncertainties | 584 | 539 | 494 |

---

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 212.2 | 238.2 | 235.7 | $11,969 | $13,637 | $13,864 |
| Salary adjustments | – | – | – | – | −69 | – |
| Totals, Adjusted Authorized Positions | 212.2 | 238.2 | 235.7 | $11,969 | $13,568 | $13,864 |
| Workload and Administrative Adjustments: | | | | | | |
| Transfer to OE&E (position filled by IJE contract until 6/30/01): | | | | Salary Range | | |
| Vice Chancellor/Educational Svcs & Econ Dev | – | – | – | 7,681–8,308 | −92 | – |
| Totals, Workload and Administrative Adjustments | – | – | – | – | −$92 | – |
| Proposed Eliminated Vacancies: | | | | | | |
| Fiscal Planning and Administration: | | | | | | |
| Spec | – | – | −1.0 | – | – | – |
| Student Services, CalWORKs: | | | | | | |
| Spec | – | – | −1.0 | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Telecommunication and Technology: | | | | Salary Range | | |
| Community Colleges Prog Asst I | – | – | –1.0 | – | – | – |
| Information Systems Development: | | | | | | |
| Assoc Prog Analyst | – | – | –1.0 | – | – | – |
| Totals, Proposed Eliminated Vacancies | – | – | –4.0 | – | – | – |
| Proposed New Positions: | | | | | | |
| Legal Affairs: | | | | | | |
| Staff Counsel C | – | – | 1.0 | $5,067–6,127 | – | $67 |
| Enrollment Management: | | | | | | |
| Spec | – | – | 1.0 | 5,094–6,189 | – | 68 |
| EOPS and CARE Staffing: | | | | | | |
| Community College Prog Asst II | – | – | 1.0 | 4,635–5,632 | – | 62 |
| Community College Prog Asst I | – | – | 1.0 | 3,839–4,663 | – | 51 |
| Fiscal and Compliance Reviews: | | | | | | |
| Community College Prog Asst II | – | – | 1.0 | 4,635–5,632 | – | 62 |
| Staff Svcs Analyst | – | – | 1.0 | 2,507–3,049 | – | 33 |
| Totals, Proposed New Positions | – | – | 6.0 | – | – | $343 |
| Total Adjustments | – | – | 2.0 | – | –$161 | $343 |
| TOTALS, SALARIES AND WAGES | 212.2 | 238.2 | 237.7 | $11,969 | $13,476 | $14,207 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **40 CAPITAL OUTLAY** | | | |
| There are 107 community colleges organized into 72 districts serving the entire State of California from Eureka and Weed in the north to Chula Vista and Imperial in the south, from San Francisco in the west to Lake Tahoe and Blythe in the east. Classes are also offered at close to 3,000 different off-campus locations. | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| **40.01 Systemwide** | | | |
| 40.01.001 Seismic Retrofit Study-Preliminary Plans | – | – | $205 Px |
| 40.01.002 Planning and Studies | $108 Pz | $108 Pz | 108 Pz |
| **40.02 Allan Hancock Community College District** | | | |
| LOMPOC VALLEY CENTER | | | |
| 40.02.202 Phase I, Facilities | $1,525 Cx | – | – |
| **40.03 Antelope Valley Community College District** | | | |
| ANTELOPE VALLEY COLLEGE | | | |
| 40.03.109 Business/Multi-Media Center | – | $1,494 Ez | – |
| 40.03.113 Technology Building | $355 PWz | 4,796 Cz | $403 Ez |
| **40.04 Barstow Community College District** | | | |
| BARSTOW COLLEGE | | | |
| 40.04.101 Library/LRC | $511 PWz | $6,638 Cz | $1,301 Ez |
| **40.05 Butte-Glenn Community College District** | | | |
| BUTTE COLLEGE | | | |
| 40.05.105 Allied Health and Public Service | $1,254 PWz | $16,572 Cz | $1,556 Ez |
| **40.06 Cabrillo Community College District** | | | |
| CABRILLO COLLEGE | | | |
| 40.06.108 Horticulture Facilities Replacement | $131 PWz | $1,644 Cz | $114 Ez |
| **40.07 Cerritos Community College District** | | | |
| CERRITOS COLLEGE | | | |
| 40.07.113 Seismic Retrofit-Administration | – | $101 PWz | $1,146 Cz |
| 40.07.114 Seismic Retrofit-Liberal Arts | – | 78 PWz | 901 Cz |
| 40.07.115 Seismic Retrofit-Social Science | – | 177 PWz | 2,065 Cz |
| 40.07.116 Seismic Retrofit-Metals | – | – | 104 PWz |
| Develops plans to retrofit a 21,607 asf one-story building. | | | |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| 40.07.117 Seismic Retrofit-Electronics | – | – | $68 PWz |
| Retrofits a 20,662 asf one-story building. | | | |
| 40.07.118 Science and Math Complex-Life Safety | – | – | 1,214 PWz |
| Develop plans to construct a 33,542 asf building to replace two unsafe buildings. | | | |
| **40.08 Chaffey Community College District** | | | |
| CHAFFEY COLLEGE | | | |
| 40.08.108 Child Development Center Addition/Remodel | $161 Wz | $3,827 CEz | – |
| 40.08.109 Science Building | – | – | $673 PWz |
| Develops plans to construct a 20,202 asf science complex. | | | |
| **40.09 Citrus Community College District** | | | |
| CITRUS COLLEGE | | | |
| 40.09.119 Cosmetology Addition/Remodel | $85 Ex | – | – |
| 40.09.120 Math/Science Building Replacement | – | – | $788 PWz |
| Develops plans to construct a 23,421 asf instructional building replacing three older buildings. | | | |
| 40.09.121 Library Addition Reconstruction | – | $7,733 CEz | – |
| **40.10 Desert Community College District** | | | |
| COLLEGE OF THE DESERT | | | |
| 40.10.111 Math/Social Science Buildings | – | $580 Ez | – |
| 40.10.112 Seismic Retrofit-Dining Hall | – | – | $78 PWz |
| Develops plans to reconstruct the dining hall to meet code requirements for structural safety. | | | |
| **40.11 Coast Community College District** | | | |
| ORANGE COAST COLLEGE | | | |
| 40.11.301 Art Center | $11,915 Cz | $2,151 Ez | – |
| 40.11.311 Seismic Retrofit-Library | 238 PWz | 2,308 Cz | – |
| **40.12 Compton Community College District** | | | |
| COMPTON COLLEGE | | | |
| 40.12.004 Math/Science—Health and Safety | $2,396 Ew | – | – |
| 40.12.106 Demolition, Phase 2—Health and Safety | 33 PWCz | $1,326 PWCEz | – |
| 40.12.107 Seismic Replacement/Expansion LRC | 735 PWz | 9,484 Cz | $2,007 Ez |
| 40.12.109 Child Development Center | 165 PWz | 2,554 PCz | 262 Ez |
| **40.13 Contra Costa Community College District** | | | |
| CONTRA COSTA COLLEGE | | | |
| 40.13.105 Child Development Center | $153 WCz | $3,081 CEz | – |
| DIABLO VALLEY COLLEGE | | | |
| 40.13.214 Physical Science Building | – | 412 Ew | – |
| 40.13.215 Music Remodel/Addition | – | 122 Ex | – |
| | – | 279 Ew | – |
| 40.13.216 Business Language Building | 5,320 Cz | 1,673 CEz | – |
| 40.13.217 Library Building Addition | 4,156 Cz | 781 Ez | – |
| 40.13.218 Life Sciences Renovation | 195 Pz | – | $8,730 WCz |
| 40.13.219 Seismic Retrofit-Humanities Building | – | – | 894 PWCz |
| Corrects seismic structural deficiencies in the Humanities Building. | | | |
| LOS MEDANOS COLLEGE | | | |
| 40.13.310 Vocational Technology Addition | – | 288 Ez | – |
| 40.13.311 Child Development Center | 142 WCz | 2,668 CEz | – |
| **40.14 El Camino Community College District** | | | |
| EL CAMINO COLLEGE | | | |
| 40.14.109 Science Complex Renovation—Health and Safety | – | $1,031 PWz | $14,211 Cz |
| **40.15 Foothill-De Anza Community College District** | | | |
| DE ANZA COLLEGE | | | |
| 40.15.105 Child Development Center | $177 WCz | $3,667 WCEz | – |
| FOOTHILL COLLEGE | | | |
| 40.15.203 Child Care/Development Center | – | 3,052 CEx | – |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **40.16 Fremont-Newark Community College District** | | | |
| OHLONE COLLEGE | | | |
| 40.16.108 Child Development Center | $175 WCz | $2,824 WCEz | – |
| 40.16.110 Instructional Computing Laboratory | 11,755 Cz | 3,675 Ez | – |
| **40.17 Gavilan Joint Community College District** | | | |
| GAVILAN COLLEGE | | | |
| 40.17.104 Adaptive Physical Education | $214 PWz | $2,551 Cz | $95 Ez |
| 40.17.105 Child Development Center | 3,296 WCz | 229 Ez | – |
| 40.17.106 Health Occupations Building | 240 Cz | 127 Ez | – |
| **40.18 Glendale Community College District** | | | |
| GLENDALE COLLEGE | | | |
| 40.18.121 Science Building Renovation—Health and Safety | – | $4,142 Cz | – |
| **40.19 Grossmont-Cuyamaca Community College District** | | | |
| CUYAMACA COLLEGE | | | |
| 40.19.114 Child Development Center | $3,146 WCz | $208 Ez | – |
| 40.19.115 Remodel Vocational Technology Building N | 68 PWz | 1,040 CEz | – |
| GROSSMONT COLLEGE | | | |
| 40.19.206 LRC Addition | 1,029 PWz | 13,724 Cz | $1,120 Ez |
| **40.21 Imperial Community College District** | | | |
| IMPERIAL VALLEY COLLEGE | | | |
| 40.21.104 Library Addition | $106 Ex | – | – |
| **40.22 Kern Community College District** | | | |
| BAKERSFIELD COLLEGE | | | |
| 40.22.105 Child Development Center | $183 WCz | $3,788 Ez | – |
| 40.22.109 Seismic Retrofit—Student Services/Library | 134 PWCz | 1,442 PWCz | – |
| 40.22.110 Concrete Damage Restoration, Phase I | 59 PWCz | 626 PWCz | – |
| CERRO COSO COLLEGE | | | |
| 40.22.214 Library/Media Center Addition | 643 PWz | 8,274 Cz | $2,076 Ez |
| EASTERN SIERRA CENTER | | | |
| 40.22.500 Site Acquisition | 146 Az | – | – |
| 40.22.501 Off/On Site Development | 329 PWz | 3,548 Cz | – |
| 40.22.502 Initial Buildings | 926 PWz | 11,598 Cz | 2,334 Ez |
| **40.23 Lake Tahoe Community College District** | | | |
| LAKE TAHOE COMMUNITY COLLEGE | | | |
| 40.23.110 Phase II Facilities, South | $820 PWz | $7,620 Cz | $416 Ez |
| **40.24 Lassen Community College District** | | | |
| LASSEN COMMUNITY COLLEGE | | | |
| 40.24.103 Child Development Center | $2,469 WCz | $427 Ez | – |
| **40.25 Long Beach Community College District** | | | |
| LONG BEACH CITY COLLEGE (LIBERAL ARTS COLLEGE) | | | |
| 40.25.113 Science/Math Building D—Health and Safety | $946 Ew | – | – |
| 40.25.115 Replacement of Technology Buildings | – | – | $737 PWz |
| Develops plans to construct a 23,000 asf instructional building replacing two unsafe buildings. | | | |
| 40.25.116 Child Development Center | 102 PWz | – | 3,840 WCz |
| **40.26 Los Angeles Community College District** | | | |
| EAST LOS ANGELES COLLEGE | | | |
| 40.26.103 Child Care/Development Center—Health and Safety | $269 Ew | – | – |
| 40.26.105 Technology Building | – | $1,271 PWz | $16,269 Cz |
| LOS ANGELES CITY COLLEGE | | | |
| 40.26.204 Child Care Development Center | – | – | 404 PWz |
| Develops plans to construct an 18,875 asf Child Development Center. | | | |
| LOS ANGELES HARBOR COLLEGE | | | |
| 40.26.301 Fire Alarm Correction | 337 PWz | 2,800 Cz | – |
| LOS ANGELES MISSION COLLEGE | | | |
| 40.26.407 Collaborative Studies Building | 4,772 PWCEw | – | – |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| LOS ANGELES PIERCE COLLEGE | | | |
| 40.26.502 Remodel for Efficiency | $326 PWz | $3,193 Cz | – |
| LOS ANGELES SOUTHWEST COLLEGE | | | |
| 40.26.606 Seismic Replacement—Student Services | 578 PWz | 6,595 Cz | $438 Ez |
| LOS ANGELES TRADE-TECH COLLEGE | | | |
| 40.26.701 Building F Mechanical System Conversion | – | – | 955 PWCz |
| Ventilation for 141,529 asf of auto technology shops, metal shops and general classrooms. | | | |
| LOS ANGELES VALLEY COLLEGE | | | |
| 40.26.802 Ventilation System, Phase II | 142 PWz | 1,380 Cz | – |
| WEST LOS ANGELES COLLEGE | | | |
| 40.26.903 Fine Arts Building | 966 Ex | – | – |
| 40.26.905 Child Development Center | 3,447 WCz | 230 Ez | – |
| **40.27 Los Rios Community College District** | | | |
| AMERICAN RIVER COLLEGE | | | |
| 40.27.101 Child Development Center | $133 WCz | $3,660 WCEz | – |
| COSUMNES RIVER COLLEGE | | | |
| 40.27.208 Child Development Center | 134 WCz | 3,780 WCEz | – |
| FOLSOM LAKE CENTER | | | |
| 40.27.500 On Site Development | 2,797 Cz | – | – |
| 40.27.501 Instructional Facilities, Phase 1A | 15,376 CEz | – | – |
| 40.27.502 Instructional Facilities, Phase 1B | 1,537 Pz | – | $1,353 Wz |
| **40.28 Marin Community College District** | | | |
| MARIN COMMUNITY COLLEGE | | | |
| 40.28.206 Child Development Center | $118 WCz | $2,735 WCz | – |
| 40.28.208 Seismic Retrofit—Fine Arts | 24 PWCz | 665 PWCz | – |
| **40.29 Mendocino Lake Community College District** | | | |
| MENDOCINO COMMUNITY COLLEGE | | | |
| 40.29.117 Science Building | $243 Pz | – | $267 Wz |
| **40.30 Merced Community College District** | | | |
| MERCED COLLEGE | | | |
| 40.30.114 Interdisciplinary Academic Center | $276 PWz | – | $301 Wz |
| 40.30.115 Child Development Center | 170 WCz | $3,580 WCEz | – |
| **40.31 Mira Costa Community College District** | | | |
| MIRA COSTA COLLEGE | | | |
| 40.31.107 Child Development Facility Expansion | $152 WCz | $3,189 CEz | – |
| 40.31.108 Learning and Information Hub | 910 PWz | 11,128 Cz | $2,143 Ez |
| **40.32 Monterey Peninsula Community College District** | | | |
| MONTEREY PENINSULA COLLEGE | | | |
| 40.32.101 Library and Technology Center | $1,363 PWz | $15,799 Cz | $3,942 Ez |
| 40.32.102 Plant Service Complex (Health & Safety) | – | – | 2,280 PWCz |
| Constructs a 12,229 asf plant services facility. | | | |
| **40.33 Mt. San Antonio Community College District** | | | |
| MT. SAN ANTONIO COLLEGE | | | |
| 40.33.111 Seismic Retrofit—Four Buildings | – | – | $178 Ez |
| Develop plan to retrofit buildings. | | | |
| 40.33.112 Science Building Replacement | – | – | 1,485 PWz |
| Develops plans to construct a 41,750 asf science building. | | | |
| **40.34 Mt. San Jacinto Community College District** | | | |
| MT. SAN JACINTO COLLEGE | | | |
| 40.34.111 Child Development Center | $97 WCz | $2,609 CEz | – |
| MENIFEE VALLEY CENTER | | | |
| 40.34.209 Child Development Center | 173 WCz | 3,575 CEz | – |
| 40.34.211 Learning Resource Center | – | – | $704 PWz |
| Develops plans to construct a 27,750 asf learning resource center. | | | |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **40.36 North Orange Community College District** | | | |
| FULLERTON COLLEGE | | | |
| 40.36.200 Library/Learning Resource Center | – | – | $1,384 PWz |
| Develops plans to construct a 54,645 asf learning resource center. | | | |
| 40.36.201 Seismic Retrofit Home-Fine Arts Building | – | – | 2,533 WCz |
| Corrects seismic structural deficiencies in the Home-Fine Arts Building. | | | |
| **40.37 Palo Verde Community College District** | | | |
| PALO VERDE COLLEGE | | | |
| 40.37.100 Phase 1 Facilities | $775 Cz | $2,641 Ez | – |
| **40.38 Palomar Community College District** | | | |
| PALOMAR COLLEGE | | | |
| 40.38.113 High Tech Laboratory/Classroom Building | $942 Pz | – | $1,063 Wz |
| **40.39 Pasadena Area Community College District** | | | |
| PASADENA CITY COLLEGE | | | |
| 40.39.111 Physical Education Facilities (Health and Safety) | $326 Ex | – | – |
| **40.40 Peralta Community College District** | | | |
| LANEY COLLEGE | | | |
| 40.40.304 Concrete Deck/Protective Membrane Replacement | $150 PWz | $4,994 Cz | – |
| **40.41 Rancho Santiago Community College District** | | | |
| SANTIAGO CANYON COLLEGE | | | |
| 40.41.118 Learning Resource Center | $278 Pz | – | $312 Wz |
| SANTA ANA COLLEGE | | | |
| 40.41.119 Seismic Retrofit, Auto Diesel | – | $59 PWz | 718 Cz |
| 40.41.120 Seismic Retrofit, Library A | – | 72 PWz | 882 Cz |
| 40.41.121 Seismic Retrofit, Library B | – | 86 PWz | 1,051 Cz |
| 40.41.122 Seismic Retrofit, Men's Physical Education | – | 53 Ez | – |
| 40.41.123 Seismic Retrofit, Women's Physical Education | – | 50 PWz | – |
| **40.42 Redwoods Community College District** | | | |
| COLLEGE OF THE REDWOODS | | | |
| 40.42.104 Library & Media Services | – | $11,626 CEz | – |
| 40.42.105 Child Development Center | $224 WCz | 4,321 CEz | – |
| **40.43 Rio Hondo Community College District** | | | |
| RIO HONDO COLLEGE | | | |
| 40.43.105 Science Building | $11,581 Cx | $965 Cx | – |
| **40.44 Riverside Community College District** | | | |
| RIVERSIDE COLLEGE | | | |
| 40.44.101 Learning Resource Center | $918 Pz | – | $21,093 Cz |
| **40.45 South Orange County Community College District** | | | |
| IRVINE VALLEY COLLEGE | | | |
| 40.45.127 Learning Resources Center, Secondary Effects | – | $20 Cz | – |
| SADDLEBACK COLLEGE | | | |
| 40.45.200 Building A Demolition & Replacement | – | 1,244 PWCz | – |
| **40.46 San Bernardino Community College District** | | | |
| SAN BERNARDINO VALLEY COLLEGE | | | |
| 40.46.205 Child Development Center | – | – | $3,040 WCz |
| Constructs an 8,143 asf Child Development Center. | | | |
| 40.46.206 Seismic Replacement—Life Science | $95 Wz | $2,858 Cz | – |
| 40.46.207 Seismic Replacement—Campus Center/Administration | – | 3,192 WCz | – |
| 40.46.208 Seismic Replacement—Learning Resource Center | 101 Wz | 2,242 Cz | – |
| 40.46.209 Replace Art Building, Seismic/FEMA Project | – | 52 Wz | 1,457 Cz |
| 40.46.210 Seismic Retrofit, Auditorium | – | 281 PWz | 3,185 Cz |
| 40.46.211 Seismic Retrofit, Business Building | – | 169 PWz | 1,834 Cz |
| 40.46.212 Seismic Retrofit, Technical Building | – | 79 PWz | 767 Cz |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **40.47 San Diego Community College District** | | | |
| DISTRICT OFFICE | | | |
| 40.47.001 Seismic Retrofit District Headquarters Building | – | – | $3,503 Cz |
| Retrofits the 46,370 asf district office. | | | |
| SAN DIEGO CITY COLLEGE | | | |
| 40.47.101 Learning Resource Center | $13,324 PWCEz | $5,486 Ez | – |
| 40.47.102 Indoor Gym/Physical Education | 952 PWz | 9,912 Cz | 403 Ez |
| CENTER CITY COLLEGE | | | |
| 40.47.501 Seismic Retrofit, Snyder Campus Administration | – | 278 PWz | 2,599 Cz |
| **40.48 San Francisco Community College District** | | | |
| SAN FRANCISCO CITY COLLEGE | | | |
| 40.48.104 Remodel Allied Health | $251 Ew | – | – |
| 40.48.106 Mission Campus Building | 1,069 PZ | – | – |
| **40.49 San Joaquin Delta Community College District** | | | |
| SAN JOAQUIN DELTA COLLEGE | | | |
| 40.49.105 Electron Microscopy Technology Center | $196 PWz | $7,079 PWz | – |
| 40.49.106 Electrical System Infrastructure | – | – | $288 PWz |
| Corrects electrical deficiencies and safety issues in five buildings. | | | |
| **40.50 San Jose-Evergreen Community College District** | | | |
| EVERGREEN VALLEY COLLEGE | | | |
| 40.50.103 Biology/Nursing Addition | $9,173 Cz | $1,509 CEz | – |
| SAN JOSE CITY COLLEGE | | | |
| 40.50.201 Learning Resource Center | 902 PWz | 11,606 Cz | $650 Ez |
| **40.51 San Luis Obispo County Community College District** | | | |
| CUESTA COLLEGE | | | |
| 40.51.108 Art/Music Laboratories Addition | – | $624 Ez | – |
| 40.51.109 Learning Skills Center/Classroom Building | – | 3,513 Ez | – |
| 40.51.110 Child Development Center | $181 WCz | 3,379 Ez | – |
| 40.51.111 Library Addition/Reconstruction | 472 Pz | – | $450 Wz |
| NORTH COUNTY CENTER | | | |
| 40.51.200 Initial Building-Science Cluster | – | – | 647 PWz |
| Develops plans to construct a 23,764 asf science and mathematics building. | | | |
| **40.52 San Mateo County Community College District** | | | |
| DISTRICTWIDE | | | |
| 40.52.004 Seismic Upgrade, Phase I | $199 PWz | $4,355 PWCz | – |
| CANADA COLLEGE | | | |
| 40.52.101 Child Development Center | 121 Wz | 2,508 WCz | $207 Ez |
| COLLEGE OF SAN MATEO | | | |
| 40.52.206 Seismic Retrofit-Student Services Building #6 | – | – | 353 PWz |
| Develops plans to retrofit a 23,057 asf student services building. | | | |
| SKYLINE COLLEGE | | | |
| 40.52.305 Learning Resources Center, Secondary Effects | 2,255 CEx | 719 CEx | – |
| 40.52.306 Center for Advanced Learning | 49 PWCz | 1,944 PWCz | – |
| 40.52.307 Seismic Retrofit Gym Building #3 | – | – | 157 PWz |
| Develops plans to retrofit a 30,876 asf gymnasium. | | | |
| 40.52.308 Seismic Retrofit—Buildings #7 and #8 | – | – | 365 PWz |
| Develops plans to retrofit a 55,608 asf two-building complex. | | | |
| **40.53 Santa Barbara Community College District** | | | |
| SANTA BARBARA CITY COLLEGE | | | |
| 40.53.118 Life Science/Geology Code Corrections | – | $8,348 Cz | – |
| **40.54 Santa Clarita Community College District** | | | |
| COLLEGE OF THE CANYONS | | | |
| 40.54.110 Performing Arts Center | $542 PWz | $8,291 Cz | $1,322 Ez |
| 40.54.111 Seismic Retrofit, Bonelli Center | – | 163 PWz | 1,684 Cz |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **40.55 Santa Monica Community College District** | | | |
| SANTA MONICA COLLEGE | | | |
| 40.55.108 Seismic Retrofit—Library | – | $17,016 CEz | – |
| **40.56 Sequoias Community College District** | | | |
| COLLEGE OF THE SEQUOIAS | | | |
| 40.56.109 Music Building | $3,912 CEz | $404 Ez | – |
| 40.56.110 Multimedia Learning Resource Center | 333 Pz | – | $728 Wz |
| 40.56.113 Seismic Retrofit Administration Building | – | 1,781 PWCz | – |
| **40.58 Sierra Joint Community College District** | | | |
| WESTERN NEVADA COUNTY CENTER | | | |
| 40.58.205 Child Development Center | $116 WCz | $2,710 CEz | – |
| **40.59 Siskiyous Joint Community College District** | | | |
| COLLEGE OF THE SISKIYOUS | | | |
| 40.59.102 District Wide Distance Learning | $153 PWz | $1,840 Cz | $285 Ez |
| **40.61 Sonoma County Community College District** | | | |
| CRIMINAL JUSTICE CENTER—SANTA ROSA | | | |
| 40.61.400 Training Center Facilities, Phase I | $11,184 Cz | $700 Ez | – |
| **40.62 Chabot-Las Positas Community College District** | | | |
| CHABOT COLLEGE | | | |
| 40.62.113 Ceramics/Sculpture Building Reconstruction/Addition | $64 PWCEz | $784 WCEz | – |
| LAS POSITAS COLLEGE | | | |
| 40.62.211 Science Center/Tech/Fine Arts, Secondary Effects | 349 Ex | – | – |
| **40.63 Southwestern Community College District** | | | |
| SOUTHWESTERN COLLEGE | | | |
| 40.63.103 Learning Resource Center | $1,743 PWz | $19,678 Cz | $2,852 Ez |
| **40.64 State Center Community College District** | | | |
| FRESNO CITY COLLEGE | | | |
| 40.64.105 Lab/Office Space Reconstruction, Secondary Effects | – | $116 Cx | – |
| MADERA CENTER COLLEGE | | | |
| 40.64.300 Off-Site Development | – | 136 Cz | – |
| 40.64.301 On-Site Development and Phase I Facilities | – | 881 Ez | – |
| 40.64.302 Academic Facilities, Phase 1B | $541 Pz | – | $773 Wz |
| **40.65 Ventura County Community College District** | | | |
| MOORPARK COLLEGE | | | |
| 40.65.107 Math/Science, Secondary Effects | $962 Cx | $130 Ex | – |
| 40.65.108 Learning Resources and Telecommunication Center | 871 PWz | 10,793 Cz | $2,708 Ez |
| VENTURA COLLEGE | | | |
| 40.65.304 Learning Resource Center | 1,640 PWz | 20,252 Cz | 2,848 Ez |
| **40.66 Victor Valley Community College District** | | | |
| VICTOR VALLEY COMMUNITY COLLEGE | | | |
| 40.66.109 Child Development Center | $128 WCz | $2,184 CEz | – |
| 40.66.114 Old Library Remodel, Secondary Effects | 575 PZ | – | – |
| 40.66.115 Advanced Technology Complex | – | – | $565 Wz |
| Develops plans to construct a 34,300 asf instructional building. | | | |
| 40.66.116 Seismic Retrofit-Auxiliary Gymnasium | – | – | 1,087 PWCz |
| Develops plans to retrofit a 12,197 asf auxiliary gym. | | | |
| **40.67 West Hills Community College District** | | | |
| KINGS COUNTY CENTER COLLEGE | | | |
| 40.67.201 Site Acquisition | $170 Az | – | – |
| 40.67.202 Off/On Site Development | 301 PWz | $3,699 Cz | – |
| 40.67.203 Initial Buildings | 913 PWz | 14,393 CEz | – |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **40.69 West Valley-Mission Community College District** | | | |
| MISSION COLLEGE | | | |
| 40.69.205 Learning Resource Center | – | $545 [Ez] | – |
| 40.69.206 Child Development Center | $135 [WCz] | 3,114 [CEz] | – |
| 40.69.207 Science and Technology Complex | 755 [PWz] | 8,929 [Cz] | $707 [Ez] |
| **40.70 Yosemite Community College District** | | | |
| COLUMBIA COLLEGE | | | |
| 40.70.103 Learning Resources/Media Technology Center | $156 [PWz] | $5,183 [WCz] | $571 [Ez] |
| MODESTO JUNIOR COLLEGE | | | |
| 40.70.210 Sierra Hall Instructional Facility | 19,985 [Cz] | 2,546 [Ez] | – |
| **40.71 Yuba Community College District** | | | |
| YUBA COLLEGE | | | |
| 40.71.106 Adaptive Physical Education/Therapy Facility | – | – | $112 [PWz] |
| Develops plans to construct a 2,933 asf Adaptive Physical Education/Therapy facility. | | | |
| 40.71.305 Science Building | $202 [Pz] | – | 221 [Wz] |
| **40.73 Feather River Community College District** | | | |
| FEATHER RIVER COLLEGE | | | |
| 40.73.104 Physical Plant Reconstruction | $310 [PWCz] | – | – |
| TOTALS, EXPENDITURES, CAPITAL OUTLAY | $181,455 | $433,189 | $143,569 |
| *0574 Proposed Higher Education Capital Outlay Bond Fund of 1998 [z]* | *154,666* | *427,394* | *143,364* |
| *0658 Higher Education Capital Outlay Bond Fund of 1996 [x]* | *18,155* | *5,104* | *205* |
| *0705 Higher Education Capital Outlay Bond Fund of 1992 [w]* | *8,634* | *691* | – |

## RECONCILIATION WITH APPROPRIATIONS
## 3 CAPITAL OUTLAY

### 0574 Higher Education Capital Outlay Bond Fund of 1998 [z]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $165,754 | $304,342 | $143,364 |
| Transfers to and from Government Code Sections 16351.5 and 16352 | – | 1,034 | – |
| Prior year balances available: | | | |
| Item 6870-301-0574, Budget Act of 1998 as reappropriated by Item 6870-490, Budget Acts of 1999 and 2000 | 82,476 [1] | 17,195 | – |
| Item 6870-302-0574, Budget Act of 1998 as reappropriated by Item 6870-490, Budget Act of 1999 | 29,891 [2] | – | – |
| Item 6870-301-0574, Budget Act of 1999 as reappropriated by Item 6870-490, Budget Act of 2000 | – | 106,132 | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | 282 | −1,309 | – |
| Totals Available | $278,403 | $427,394 | $143,364 |
| Balance available in subsequent years | −123,327 | – | – |
| Unexpended balance, estimated savings | −410 | – | – |
| TOTALS, EXPENDITURES | $154,666 | $427,394 | $143,364 |

### 0658 Higher Education Capital Outlay Bond Fund of 1996 [x]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,947 | – | $205 |
| Transfers to and from Government Code Sections 16351.5 and 16352 | 194 | – | – |
| Prior year balances available: | | | |
| Item 6870-301-0658, Budget Act of 1996 as partly reappropriated by Item 6870-490, Budget Acts of 1997, 1998 and 1999 | 378 [3] | – | – |
| Item 6870-301-0658, Budget Act of 1997 as reappropriated by Item 6870-490, Budget Acts of 1998, 1999 and 2000 | 22,526 | $3,636 | – |

*** Dollars in thousands, except in Salary Range.**

## 6870 BOARD OF GOVERNORS OF THE CALIFORNIA COMMUNITY COLLEGES—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| Item 6870-301-0658, Budget Act of 1998 | $815 | $381 | – |
| Transfers to and from Government Code Sections 16351.5 and 16352 | –3,310 | 1,087 | – |
| Totals Available | $22,550 | $5,104 | $205 |
| Balance available in subsequent years | –4,017 | – | – |
| Unexpended balance, estimated savings | –378 | – | – |
| TOTALS, EXPENDITURES | $18,155 | $5,104 | $205 |

### 0705 Higher Education Capital Outlay Bond Fund of 1992 [w]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $4,772 | – | – |
| Prior year balances available: | | | |
| Item 6870-301-0705, Budget Act of 1998 | 4,553 | – | – |
| Item 6870-302-0705, Budget Act of 1998 | – | $691 | – |
| Totals Available | $9,325 | $691 | – |
| Balance available in subsequent years | –691 | – | – |
| TOTALS, EXPENDITURES | $8,634 | $691 | – |
| TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY | $181,455 | $433,189 | $143,569 |

The following are footnotes used in the Governor's Budget for Higher Education Capital Outlay Bond Funds.

| Fund | Fund Number | Footnote |
|---|---|---|
| Higher Education Capital Outlay Bond Fund of 1996 | 0658 | x |
| Public Buildings Construction Fund | 0660 | s |
| Higher Education Capital Outlay Bond Fund of 1992 | 0705 | w |
| Higher Education Capital Outlay Bond Fund of 1998 | 0574 | z |

[1] This carryover amount includes $82 which was erroneously shown as a past year expenditure in last year's Governor's Budget. The State Controller and departmental records have been adjusted to reflect this corrected amount.
[2] This carryover amount does not include $1,129 which was erroneously excluded from the past year expenditure in last year's Governor's Budget. The State Controller and departmental records have been adjusted to reflect this corrected amount.
[3] This carryover amount does not include $3 which was erroneously excluded from the past year expenditures in last year's Governor's Budget. The State Controller and departmental records have been adjusted to reflect this corrected amount.

# 7980 CALIFORNIA STUDENT AID COMMISSION

The mission of the California Student Aid Commission is to make education beyond high school financially accessible to all Californians. The Commission ensures the effective and efficient administration of federal and state authorized financial aid, including grants, student loans, and work study programs. In addition, the Commission has responsibility to provide leadership on financial aid issues and to make public policy recommendations concerning financial aid programs.

The California Student Aid Commission is composed of 15 members, comprised of 11 members appointed by the Governor and confirmed by the Senate, two members appointed by the Senate Rules Committee and two members appointed by the Speaker of the Assembly. Terms are for four years except for two student members whose terms are for two years.

| SUMMARY OF PROGRAM REQUIREMENTS | | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|---|
| 15 | Financial Aid Grants Program | 69.0 | 106.9 | 122.6 | $394,095 | $544,138 | $681,967 |
| 30 | Golden State Scholarshare Trust Program | 1.1 | – | – | 179 | – | – |
| 50 | California Loan Program | 151.0 | 145.7 | 145.7 | 434,400 | 506,911 | 506,913 |
| 80.01 | Administration and Support Services | 16.9 | 16.0 | 17.5 | 1,536 | 3,152 | 3,302 |
| 80.02 | Distributed Administration and Support Services | –16.9 | –16.0 | –17.5 | –1,536 | –3,152 | –3,302 |
| TOTALS, PROGRAMS | | 221.1 | 252.6 | 268.3 | $828,674 | $1,051,049 | $1,188,880 |
| *0001* | *General Fund* [1] | | | | *385,379* | *531,714* | *669,543* |
| *0564* | *Scholarshare Administrative Fund* | | | | *179* | – | – |
| *0783* | *Federal Student Loan Reserve Fund* | | | | *154,555* | *284,520* | *284,520* |

[1] Some of the amounts included as General Fund are for the purposes of meeting the minimum funding guarantee for educational programs pursuant to Section 8 of Article XVI of the California Constitution.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 7980 CALIFORNIA STUDENT AID COMMISSION—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 0784 | Federal Student Loan Operating Fund | $139,602 | $220,940 | $220,940 |
| 0890 | Federal Trust Fund | 3,924 | 6,453 | 6,453 |
| 0951 | State Guaranteed Loan Reserve Fund | 139,016 | – | – |
| 0995 | Reimbursements | 6,019 | 7,422 | 7,424 |

## 15 FINANCIAL AID GRANTS PROGRAM

### Program Objectives Statement

This program provides grants, work-study aid, and other specialized financial aid to help undergraduate and graduate students pay educational expenses. Awards are coordinated with other available award sources including federal Pell Grants. The financial aid grant programs are described below.

**ENTITLEMENT AWARDS**

Cal Grant Entitlement Award Programs were established through SB 1644 (Chapter 403, Statutes of 2000). The entitlement awards are guaranteed to students who graduate from high school in 2000–01, or beyond, and meet financial, academic, and general program eligibility requirements.

- Cal Grant A provides tuition and fee funding for high school graduates who have at least a 3.0 grade point average (GPA) on a four point scale.
- Cal Grant B provides funds to low-income disadvantaged students who graduated from high school with at least a 2.0 GPA. The award provides up to $1,551 for book and living expenses for the first year, and in the second year also helps pay for tuition and fees, up to a maximum grant award of $9,703, at qualifying four-year post-secondary institutions.
- The California Community College Transfer Award provides funding to students who graduated from high school in 2000–01 and who have a community college GPA of at least 2.4 on a four-point scale in order to receive a award in 2002–03.

**COMPETITIVE AWARDS**

Cal Grant Competitive Award Program was established through SB 1644 (Chapter 403, Statutes of 2000). 22,500 competitive A and B awards are available to applicants who meet financial, academic, and general program eligibility requirements. Half of these awards (11,250) will be offered to those applicants who did not receive an entitlement award and meet the March 2nd deadline. The remaining 11,250 awards will be offered to students who are enrolled at a California Community College and meet the September 2nd deadline.

**EXISTING AWARDS**

The existing Cal Grant A and B programs are being phased out. Funding is provided for renewal of awards made on or before December 31, 2000.

1. Cal Grant A provides tuition and fee funding for financially and academically eligible students to attend the California college or university of their choice. Renewal grant recipients will continue to receive their maximum grant awards of either $5,250, $7,164, $8,184, $9,036, $9,420 or $9,703 depending on when they received their first award. Maximum family income for eligible students is $61,286 to $78,842, depending upon family size.

2. Cal Grant B provides funds to low-income disadvantaged students seeking a postsecondary education. The first year of the award provides subsistence funds only; in subsequent years the award also includes a grant to help cover tuition and fees. The authorized subsistence award is $1,551. Renewal grant recipients will continue to receive their maximum grant awards of either $5,250, $7,164, $8,184, $9,036, $9,420 or $9,703 depending on when they received their first award. There is a family income ceiling of $25,004 or $43,305 depending upon family size.

**OTHER AWARDS**

1. Cal Grant C provides funding for financially eligible students preparing for vocational or occupational careers. For 2001–02, the authorized number of new awards is 7,761; the authorized maximum tuition and fee award is $2,592 and the authorized award for training-related costs is $576 for new and renewal grant recipients. There is a maximum household income level of $25,004 or $78,842, depending upon family size.

2. Cal Grant T provides tuition and fee funding for financially and academically eligible students to attend a teacher credentialing program recognized by the California Commission on Teacher Credentialing. For 2001–02, a minimum of 3,000 new awards is to be issued, subject to funding in the Budget Act and the authorized maximum award is $9,703. Program benefits may be provided for a maximum of one academic year of full-time attendance. Beginning September 1, 2000, a recipient must teach for one year at a low-performing school for each $2,000 incentive received, for a maximum period of four years. Any recipient who does not fulfill the teaching obligation will be required to repay the award.

3. The California Student Opportunity and Access Program (Cal-SOAP), through intersegmental consortia, provide informational outreach and tutoring services to disadvantaged elementary through senior high school students, to increase their access to postsecondary education, and to community college students, to assist them in matriculating to four-year institutions. Currently, there are twelve Cal-SOAP consortia in operation; two more are in the planning stage. Three additional consortia are being considered for 2001–02.

4. The Assumption Program of Loans for Education (APLE) allows the State to issue agreements for loan assumption annually to students pursuing careers in teaching. Through APLE, a student who teaches a total of four years can receive up to $19,000 in loan assumption payments toward outstanding student loans. For 2001–02, 6,500 warrants are authorized to be issued. Participants teaching in the areas of special education, math, or science will receive an additional $1,000 a year, and if participants teach in a low-performing school they will receive an additional bonus of $1,000 per year.

5. The California Work Study Program assists students by placing them in employment settings which will enable them to pay a portion of their educational costs. Recipients are placed in jobs either 1) related to their course of study or career interest, or 2) providing tutoring to elementary or secondary students. This program currently operates in 40 institutions. Employers must provide a portion of the students' salaries.

6. The Graduate Fellowship Program assisted financially needy graduate students who had committed to becoming college and university faculty. This program is being phased out and provides only for renewal of awards made prior to the 1998–99 fiscal year.

7. The Law Enforcement Personnel Dependents Scholarship Program provides college grants to needy dependents of California law enforcement officers, officers and employees of the Department of Corrections and Department of Youth Authority, and firefighters killed or permanently disabled in the line of duty.

8. The Graduate Assumption Program of Loans for Education (Graduate APLE) allows the State to issue conditional warrants to Californians pursuing graduate degrees at postsecondary institutions. Through Graduate APLE, a recipient who teaches for three years at a regionally accredited California college or university can receive up to $6,000 in loan assumption payments toward outstanding student loans. For 2001–02 up to 500 warrants will be issued.

9. The Robert C. Byrd Honors Scholarship Program provides a $1,500 scholarship to exceptionally able students who show promise of continued academic excellence. Scholarships may be renewed up to four years.

*** Dollars in thousands, except in Salary Range.**

## 7980 CALIFORNIA STUDENT AID COMMISSION—Continued

10. The Child Development Teacher Loan Assumption Program allowed the State to issue 100 conditional warrants annually to students pursuing teaching and supervising careers in licensed day care centers. Students who teach or supervise a total of two years can receive up to either $2,000 or $4,000, respectively, in loan assumption payments toward outstanding student loans. This program was replaced by the Child Development Teacher and Supervisor Grant Program. Funding is limited to recipients whose applications were approved prior to December 31, 1997.

11. The Child Development Teacher and Supervisor Grant Program (Chapter 721, Statutes of 1997) replaced the loan assumption program for participants who intend to teach or supervise in the field of child care and development in a licensed children's center.

12. The Community College Student Financial Aid Outreach Program was established through SB 1644 (Chapter 403, Statutes of 2000). This program provides financial aid training to high school and community college counselors and advisors to address the needs of community college students intending to transfer to a four-year institution of higher education, foster youth, and students with disabilities.

### Major Budget Adjustments Included in 2000–01

- 19.5 positions (9.8 personnel years) to implement the Cal Grant Entitlement and Competitive Award Programs and the Community College Student Financial Aid Outreach Program. Funding for these positions was included in the $1.5 million in one-time General Fund appropriated by SB 1644 (Chapter 403, Statutes of 2000).
- $3,342,000 General Fund and 12.5 positions (6.3 personnel years) to implement the Feasibility Study Report for the Cal Grant Entitlement and Competitive Awards Program. These are one-time costs.

### Major Budget Adjustments Proposed for 2001–02

- $1,526,000 General Fund for the continued workload and 18.5 positions associated with the Cal Grant Entitlement and Competitive Award Programs and the Community College Student Financial Aid Outreach Program and the Community College Student financial Aid Outreach Program.
- $4,500,000 General Fund and 13.5 positions to continue implementation of the Feasibility Study Report for the Cal Grant Entitlement and Competitive Grant Award Programs.
- $89,000 General Fund and redirection of two positions to support the increased ''Help Desk'' workload for Grant Delivery System users.
- $253,000 General Fund and redirection of three positions to support the increased workload in the Assumption Program of Loans for Education (APLE), including $125,000 for a study to assess the administrative process for the Specialized Programs and make recommendations for efficiency measures.
- $72,000 General Fund and redirection of one position to conduct required internal audits and reviews including mandated EDP systems audit, programs and reassessing the Commission's risk areas.
- $95,000 General Fund and redirection of one position to conduct reviews of the California Student Opportunity and Access Program (Cal-SOAP) and to audit the additional schools participating in the Cal Grant and Specialized Programs.
- $98,000 General Fund to develop an internet-based Pilot for the Student Expenses and Resources Survey (SEARS)
- $127,900,000 General Fund for the Cal Grant Entitlement and Competitive Awards, for the increase in the Cal Grant B access costs, and for the ongoing costs of the existing Cal Grant Award Programs.
- $7,913,000 General Fund for an increase in loan assumption payments due to the growth in the number of APLE participants.

**Table 1**
**Awards Granted/Proposed**

| | *1999–00* | *2000–01* | *2001–02* |
|---|---|---|---|
| Entitlement Awards: | | | |
| Number | – | – | 72,568 |
| Amount | – | – | $221,100 |
| Average | – | – | $3,047 |
| Competitive Awards: | | | |
| Number | – | – | 22,500 |
| Amount | – | – | $43,300 |
| Average | – | – | $1,924 |
| Existing Awards: | | | |
| Cal Grant A: | | | |
| Number | 57,183 | 72,462 | 51,103 |
| Amount | $241,969 | $306,047 | $215,800 |
| Average [1] | $4,231 | $4,224 | $4,223 |
| Cal Grant B: | | | |
| Number | 45,593 | 67,977 | 48,115 |
| Amount | $115,503 | $172,768 | $122,324 |
| Average [1] | $2,533 | $2,542 | $2,542 |
| Other Programs: | | | |
| Cal Grant C: | | | |
| Number | 3,743 | 9,286 | 11,894 |
| Amount | $4,672 | $14,809 | $19,000 |
| Average [1] | $1,248 | $1,595 | $1,597 |
| Cal Grant T: | | | |
| Number | 2,248 | 2,476 | 3,000 |
| Amount | $10,000 | $10,000 | $10,000 |
| Average [1] | $4,448 | $4,039 | $3,333 |
| Student Opportunity and Access Programs: [2] | | | |
| Number (consortia) | 10 | 12 | 17 |
| Amount | $3,664 | $8,664 | $8,664 |
| Average [1] | $366,400 | $722,000 | $509,647 |
| Assumption Program of Loans for Education: | | | |
| Number [3] | 768 | 2,406 | 4,738 |
| Amount | $2,112 | $5,565 | $14,626 |
| Average [1] | $2,750 | $2,313 | $3,087 |

*** Dollars in thousands, except in Salary Range.**

## 7980 CALIFORNIA STUDENT AID COMMISSION—Continued

| | *1999–00* | *2000–01* | *2001–02* |
|---|---|---|---|
| Graduate Assumption Program of Loans for Education: | | | |
| Number [3] | 2 | 46 | 182 |
| Amount | $4 | $92 | $364 |
| Average [1] | $2,000 | $2,000 | $2,000 |
| Work Study: | | | |
| Number (institutions) | 32 | 45 | 45 |
| Amount | $2,052 | $5,263 | $5,263 |
| Average [1] | $64,125 | $116,956 | $116,956 |
| Graduate Fellowships: | | | |
| Number | 268 | 197 | 197 |
| Amount | $856 | $623 | $623 |
| Average [1] | $3,194 | $3,162 | $3,162 |
| Law Enforcement Personnel Dependents Scholarships: | | | |
| Number | 13 | 13 | 13 |
| Amount | $40 | $54 | $60 |
| Average [1] | $3,077 | $4,154 | $4,615 |
| Byrd Scholarships: | | | |
| Number | 3,060 | 3,600 | 3,600 |
| Amount | $4,507 | $5,671 | $5,671 |
| Average [1] | $1,473 | $1,575 | $1,575 |
| Child Development Teacher Loan Assumption Program: | | | |
| Number [3] | 1 | 1 | – |
| Amount | $1 | $1 | – |
| Average [1] | $1,000 | $1,000 | – |
| Child Development Teacher and Supervisor Grant Program: | | | |
| Number | 192 | 225 | $225 |
| Amount | $237 | $253 | $254 |
| Average [1] | $1,234 | $1,124 | $1,129 |
| Total: | | | |
| Number | 113,113 | 158,746 | 218,197 |
| Amount | $385,617 | $529,810 | $667,049 |

[1] Dollars in whole numbers.
[2] Totals include $97,000 from Program 50-California Loan Program for debt management and default prevention counseling.
[3] Number of awards represents only those students receiving loan repayments from the program. As a result, the new awards are not reflected in this table.

### Authority

Labor Code Section 4709.

Education Code Sections 69430–69440, 69530–69547, 69560–69567, 69600–69610, 69612–69616, 69618–69639, 69670–69682, 69900, 69950–69969.

## 30 GOLDEN STATE SCHOLARSHARE TRUST PROGRAM

### Program Objectives Statement

Chapter 851, Statutes of 1997, authorized the California Student Aid Commission to establish the Golden State Scholarshare Trust Program, which is a state-sponsored, college savings program. Scholarshare was created to allow Californians the opportunity to invest on a tax-deferred basis to meet the costs of higher education, as allowed by federal law. Participants may invest for their children's college costs at modest levels over a number of years. The money that participants contribute is not taxed until the time of disbursement to the beneficiary's school of choice; it is then taxed at the beneficiary's rate. SAC's administrative costs for Scholarshare are to be paid from the Scholarshare Administrative Fund, which is supported by a portion of the investment returns. Initial expenses of this program were supported by a loan from the General Fund. Program implementation occurred on October 4, 1999. Chapter 664, Statutes of 1999 (effective January 1, 2000) transferred authority for the Golden State Scholarshare Trust Program from the California Student Aid Commission to the Scholarshare Investment Board under the auspices of the State Treasurer's Office.

### Authority

Education Code Sections 69980–69994.

## 50 CALIFORNIA LOAN PROGRAM

### Program Objectives Statement

The California Student Aid Commission administers the Federal Family Education Loan Program (FFELP) for students on behalf of the United States Department of Education (USDE). Chapter 961, Statutes of 1996, authorized the commission to establish an auxiliary organization as a nonprofit public benefit corporation for the purpose of providing operational and administrative services for the Commission's participation in the FFELP, effective January 1, 1997. The Federal Student Loan Reserve Fund and Student Loan Operating Fund are continually appropriated for this purpose.

The FFELP program consists of Federal Subsidized Stafford loans, Federal Unsubsidized Stafford loans, Federal Parent Loans for Undergraduate Students (PLUS), and the Federal Loan Consolidation Program. Loans are made available to students through private lenders such as banks or credit unions. The Commission guarantees the loan. If a student or parent borrower defaults on a loan, the lender files a claim with the Commission which

*** Dollars in thousands, except in Salary Range.**

## 7980 CALIFORNIA STUDENT AID COMMISSION—Continued

pays the lender a portion of the outstanding balance. The USED in turn reimburses the Commission for the default claims. All default claims, collection expenses and other costs incurred by administering the FFELP program are fully covered from an allowance paid by USED, loan insurance premiums paid by students before July 1, 1996, collections and fees from defaulted borrowers and from interest on investments.

### Authority

Education Code Sections 69509, 69509.5, 69522 to 69529.5 and 69760 to 69779.

## 80 ADMINISTRATION AND SUPPORT SERVICES

### Major Budget Adjustment Included in 2000–01

- 3.0 positions (1.5 personnel years) to implement the Cal Grant Entitlement and Competitive Award programs and the Community College Student Financial Aid Outreach Program. Funding for these positions was included in the $1.5 million in one-time funding appropriated through SB 1644 (Chapter 403, Statutes of 2000).

### Major Budget Adjustment Proposed for 2001–02

- $274,000 General Fund for the continued workload and 3.0 positions associated with the Cal Grant Entitlement and Competitive Award programs and the Community College Student Financial Aid Outreach Program.

### Authority

Education Code Section 69513.

---

## PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **15 FINANCIAL AID GRANTS PROGRAM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$8,529* | *$14,379* | *$14,969* |
| *0995 Reimbursements* | *46* | *46* | *46* |
| Totals, State Operations | $8,575 | $14,425 | $15,015 |
| Local Assistance: | | | |
| *0001 General Fund* | *376,850* | *517,335* | *654,574* |
| *0890 Federal Trust Fund* | *3,924* | *6,453* | *6,453* |
| *0995 Reimbursements* | *4,746* | *5,925* | *5,925* |
| Totals, Local Assistance | $385,520 | $529,713 | $666,952 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 GOLDEN STATE SCHOLARSHARE TRUST PROGRAM** | | | |
| State Operations: | | | |
| *0564 Scholarshare Administrative Fund* | *$179* | – | – |
| Totals, State Operations | $179 | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **50 CALIFORNIA LOAN PROGRAM** | | | |
| State Operations: | | | |
| *0783 Federal Student Loan Reserve Fund* | *$154,555* | *$284,520* | *$284,520* |
| *0784 Student Loan Operating Fund* | *139,505* | *220,843* | *220,843* |
| *0951 State Guaranteed Loan Reserve Fund* | *139,016* | – | – |
| *0995 Reimbursements* | *1,227* | *1,451* | *1,453* |
| Totals, State Operations | $434,303 | $506,814 | $506,816 |
| Local Assistance: | | | |
| *0784 Student Loan Operating Fund* | *97* | *97* | *97* |
| Totals, Local Assistance | $97 | $97 | $97 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $443,057 | $521,239 | $521,831 |
| Local Assistance | 385,617 | 529,810 | 667,049 |
| TOTALS, EXPENDITURES | $828,674 | $1,051,049 | $1,188,880 |

*** Dollars in thousands, except in Salary Range.**

## 7980 CALIFORNIA STUDENT AID COMMISSION—Continued

### DEPARTMENTAL SUMMARY

#### SUMMARY BY OBJECT
#### 1 STATE OPERATIONS

| PERSONAL SERVCES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 221.1 | 247.9 | 247.9 | $10,157 | $11,797 | $12,106 |
| Total Adjustments | – | 17.6 | 35.0 | – | 868 | 1,695 |
| Estimated Salary Savings | – | −12.9 | −14.6 | – | −590 | −663 |
| Net Totals, Salaries and Wages | 221.1 | 252.6 | 268.3 | $10,157 | $12,075 | $13,138 |
| Staff Benefits | – | – | – | 1,828 | 2,273 | 2,436 |
| Totals, Personal Services | 221.1 | 252.6 | 268.3 | $11,985 | $14,348 | $15,574 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $49,212 | $71,743 | $71,109 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Purchase of Defaulted Loans | | | | 227,583 | 284,520 | 284,520 |
| Payment to GSL Processing Contractor (collection costs) | | | | 154,277 | 150,628 | 150,628 |
| TOTALS, SPECIAL ITEMS OF EXPENSE | | | | $381,860 | $435,148 | $435,148 |
| TOTALS, EXPENDITURES | | | | $443,057 | $521,239 | $521,831 |

#### SUMMARY BY OBJECT
#### 1 STATE OPERATIONS
#### Excluding EdFund

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 83.2 | 109.5 | 109.5 | $4,240 | $5,451 | $5,617 |
| Total Adjustments | – | 17.6 | 35.0 | – | 868 | 1,919 |
| Estimated Salary Savings | – | −5.5 | −7.2 | – | −273 | −339 |
| Net Totals, Salaries and Wages | 83.2 | 121.6 | 137.3 | $4,240 | $6,046 | $7,197 |
| Staff Benefits | – | – | – | 707 | 1,099 | 1,389 |
| Totals, Personal Services | 83.2 | 121.6 | 137.3 | $4,947 | $7,145 | $8,586 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $5,034 | $8,731 | $7,882 |
| TOTALS, EXPENDITURES | | | | $9,981 | $15,876 | $16,468 |

#### RECONCILIATION WITH APPROPRIATIONS
#### 1 STATE OPERATIONS
#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $8,006 | $10,547 | $14,969 |
| 011 Budget Act appropriation | (829) | – | – |
| 002 Budget Act appropriation | – | – | – |
| Allocation for employee compensation | 316 | 25 | – |
| Allocation for contingencies or emergencies | 424 | 3,342 | – |
| Adjustment per Section 3.60 | −210 | −32 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Chapter 403, Statutes of 2000 | – | 1,500 | – |
| Transfer to Legislative Claims (9670) | – | −3 | – |
| Totals Available | $8,539 | $15,379 | $14,969 |
| Unexpended balance, estimated savings | −10 | −1,000 | – |
| TOTALS, EXPENDITURES | $8,529 | $14,379 | $14,969 |

*** Dollars in thousands, except in Salary Range.**

## 7980 CALIFORNIA STUDENT AID COMMISSION—Continued

| 0564 Scholarshare Administrative Fund [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $923 | – | – |
| Allocation for employee compensation | 18 | – | – |
| Adjustment per Section 3.60 | −13 | – | – |
| Transfer to Scholarshare Investment Board (0954) per Government Code Section 16304.9 and Chapter 664, Statutes of 1999 | −732 | – | – |
| Totals Available | $196 | – | – |
| Unexpended balance, estimated savings | −17 | – | – |
| TOTALS, EXPENDITURES | $179 | – | – |

| 0783 Federal Student Loan Reserve Fund [f] | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 69766 (for purchase of default loans to EdFund) (expenditures) | $154,555 | $284,520 | $284,520 |

| 0784 Student Loan Operating Fund [n] | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 69766 (loan recoveries, repurchases and processing) | $105,585 | $150,628 | $150,628 |
| Education Code Section 69766 (EdFund civil service personal service) | 3,395 | 7,203 | 6,988 |
| Education Code Section 69766 (support) | 30,525 | 63,012 | 63,227 |
| TOTALS, EXPENDITURES | $139,505 | $220,843 | $220,843 |

| 0951 State Guaranteed Loan Reserve Fund [n] | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Education Code Section 69766 (loan recoveries, repurchases and processing) | $48,692 | – | – |
| Education Code Section 69766 (EdFund civil service personal service) | 3,643 | – | – |
| Education Code Section 69766 (EdFund other support) | 13,653 | – | – |
| Education Code Section 69766 (purchase of defaulted loans) | 73,028 | – | – |
| TOTALS, EXPENDITURES | $139,016 | – | – |

| 0995 Reimbursements | | | |
|---|---|---|---|
| Reimbursements | $1,273 | $1,497 | $1,499 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $443,057 | $521,239 | $521,831 |

---

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Entitlement Awards | – | – | $221,100 |
| Competitive Awards | – | – | 43,300 |
| EXISTING AWARDS | | | |
| Cal Grant A | $241,969 | $306,047 | 215,800 |
| Cal Grant B | 115,503 | 172,768 | 122,324 |
| OTHER AWARDS | | | |
| Cal Grant C | 4,672 | 14,809 | 19,000 |
| Cal Grant T | 10,000 | 10,000 | 10,000 |
| Student Opportunity and Access Program | 3,664 | 8,664 | 8,664 |
| Assumption Program Loans for Education | 2112 | 5,565 | 14,626 |
| Graduate Assumption Program Loans for Education | 4 | 92 | 364 |
| Work Study Program | 2,052 | 5,263 | 5,263 |
| Graduate Fellowship | 856 | 623 | 623 |
| Law enforcement personnel dependents grants | 40 | 54 | 60 |
| Robert C. Byrd Scholarship | 4,507 | 5,671 | 5,671 |
| Child Development Teacher Loan Assumption Program | 1 | 1 | – |
| Child Development Teacher and Supervisor Grant Program | 237 | 253 | 254 |
| TOTALS, EXPENDITURES | $385,617 | $529,810 | $667,049 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund, Proposition 98

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 102 Budget Act appropriation (Cal-SOAP) (Proposition 98, General Fund) (expenditures) | $990 | $990 | $990 |

*** Dollars in thousands, except in Salary Range.**

## 7980 CALIFORNIA STUDENT AID COMMISSION—Continued

### 0001 General Fund, Non-Proposition 98

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $379,429 | $519,916 | $653,584 |
| Revised expenditure authority per Provision 2 | – | –2,529 | – |
| Totals Available | $379,429 | $517,387 | $653,584 |
| Unexpended balance, estimated savings | –3,569 | –1,042 | – |
| TOTALS, EXPENDITURES | $375,860 | $516,345 | $653,584 |
| TOTALS, EXPENDITURES, GENERAL FUND | $376,850 | $517,335 | $654,574 |

### 0784 Student Loan Operating Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Education Code Section 69766 (expenditures) | $97 | $97 | $97 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $3,924 | $3,924 | $6,453 |
| Budget adjustments | – | 2,529 | – |
| TOTALS, EXPENDITURES | $3,924 | $6,453 | $6,453 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $4,746 | $5,925 | $5,925 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $385,617 | $529,810 | $667,049 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $828,674 | $1,051,049 | $1,188,880 |

---

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 221.1 | 247.9 | 247.9 | $10,157 | $11,797 | $12,106 |
| Salary adjustments | – | – | – | – | 9 | 14 |
| Totals, Adjusted Authorized Positions | 221.1 | 247.9 | 247.9 | $10,157 | $11,806 | $12,120 |
| Workload and Administrative Adjustments | | | | | | |
| Positions Established: | | | | | | |
| Information Technology: | | | | Salary Range | | |
| Data Proc Mgr II | – | 0.6 | – | 4,958–6,026 | 50 | – |
| Sr Programmer Analyst | – | 0.5 | – | 4,507–5,480 | 30 | – |
| Staff Info Sys Analyst | – | 1.0 | – | 4,507–5,480 | 60 | – |
| Staff Programmer Analyst | – | 1.5 | – | 4,507–5,480 | 90 | – |
| Assoc Prog Analyst | – | 0.5 | – | 4,110–4,997 | 28 | – |
| Asst Info Sys Techn | – | 0.5 | – | 3,418–4,155 | 26 | – |
| Policy and Communications: | | | | | | |
| Research Prog Spec I | – | 0.5 | – | 4,301–5,228 | 33 | – |
| Assoc Govtl Prog Analyst | – | 1.5 | – | 3,915–4,759 | 87 | – |
| Grant Services: | | | | | | |
| Assoc Financial Aid Analyst | – | 1.8 | – | 4,305–5,192 | 68 | – |
| Financial Aid Analyst | – | 0.5 | – | 4,110–4,997 | 21 | – |
| Supvng Prog Techn I | – | 0.5 | – | 2,714–3,300 | 19 | – |
| Prog Techn II | – | 1.5 | – | 2,628–3,195 | 47 | – |
| Ofc Techn | – | 0.5 | – | 2,348–2,855 | 16 | – |
| Mgmt Svcs Techn | – | 0.5 | – | 2,220–2,700 | 12 | – |
| Prog Techn | – | 1.2 | – | 2,029–2,648 | 41 | – |
| Outreach and Training: | | | | | | |
| Financial Aid Mgr I | – | 0.5 | – | 4,305–5,192 | 33 | – |
| Assoc Financial Aid Analyst | – | 2.5 | – | 3,915–4,759 | 136 | – |
| Management Services: | | | | | | |
| Staff Svcs Analyst | – | 0,5 | – | 3,255–3,957 | 25 | – |
| Bus Svcs Asst | – | 0.5 | – | 2,507–3,049 | 19 | – |
| Acctg Techn | – | 0.5 | – | 2,348–2,855 | 18 | – |
| Totals, Workload and Administrative Adjustments | – | 17.6 | – | – | $859 | – |

*** Dollars in thousands, except in Salary Range.**

## 7980 CALIFORNIA STUDENT AID COMMISSION—Continued

| Excess Vacant Position Analysis | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Reduction in Authorized Positions: | | | | Salary Range | | |
| Prog Techn | – | – | –3.0 | – | – | –$50 |
| Assoc Financial Aid Analyst | – | – | –1.0 | – | – | –47 |
| Financial Aid Mgr I | – | – | –1.0 | – | – | –52 |
| Prog Techn | – | – | –1.0 | – | – | –25 |
| Assoc Mgt Auditor | – | – | –1.0 | – | – | –50 |
| Totals | – | – | –7.0 | – | – | –$224 |
| Proposed New Positions: | | | | | | |
| Information Technology: | | | | | | |
| Info Sys Tech | – | – | 2.0 | $2,207–2,433 | – | 56 |
| Grant Services: | | | | | | |
| Supvng Prog Techn I | – | – | 1.0 | 2,714–3,300 | – | 32 |
| Prog Techn | – | – | 2.0 | 2,029–2,648 | – | 56 |
| Internal Audits: | | | | | | |
| Assoc Mgmt Auditor | – | – | 1.0 | 4,110–4,997 | – | 55 |
| Program Compliance: | | | | | | |
| Assoc Mgmt Auditor | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Totals | – | – | 7.0 | – | – | $251 |
| Proposed New Positions: | | | | | | |
| Information Technology: | | | | | | |
| Data Proc Mgr II [1] | – | – | 1.0 | 4,958–6,026 | – | 72 |
| Sr Prog Analyst | – | – | 1.0 | 4,507–5,480 | – | 60 |
| Staff Info Sys Analyst | – | – | 2.0 | 4,507–5,480 | – | 120 |
| Staff Prog Analyst [2] | – | – | 3.0 | 4,507–5,480 | – | 180 |
| Assoc Programmer Analyst [3] | – | – | 1.0 | 4,110–4,997 | – | 58 |
| Asst Info Sys Techn [4] | – | – | 1.0 | 3,418–4,155 | – | 52 |
| Policy and Communications: | | | | | | |
| Research Prog Spec I | – | – | 1.0 | 4,301–5,228 | – | 57 |
| Assoc Govtl Prog Analyst [5] | – | – | 3.0 | 3,915–4,759 | – | 156 |
| Grant Services: | | | | | | |
| Assoc Financial Aid Analyst [6] | – | – | 3.5 | 4,305–5,192 | – | 182 |
| Financial Aid Analyst | – | – | 1.0 | 4,110–4,997 | – | 36 |
| Supvng Prog Techn I | – | – | 1.0 | 2,714–3,300 | – | 32 |
| Prog Techn II | – | – | 3.0 | 2,628–3,195 | – | 94 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Mgmt Svcs Techn | – | – | 1.0 | 2,220–2,700 | – | 30 |
| Prog Techn | – | – | 2.5 | 2,029–2,648 | – | 70 |
| Outreach and Training: | | | | | | |
| Financial Aid Mgr I | – | – | 1.0 | 4,305–5,192 | – | 57 |
| Assoc Financial Aid Analyst [7] | – | – | 5.0 | 3,915–4,759 | – | 260 |
| Management Services: | | | | | | |
| Staff Svcs Analyst | – | – | 1.0 | 3,255–3,957 | – | 43 |
| Bus Svcs Asst | – | – | 1.0 | 2,507–3,049 | – | 33 |
| Acctg Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Totals, Proposed New Positions | – | – | 35.0 | – | – | $1,654 |
| Total Adjustments | – | 17.6 | 35.0 | – | $868 | $1,695 |
| TOTALS, SALARIES AND WAGES | 221.1 | 265.5 | 282.9 | $10,157 | $12,665 | $13,801 |

[1] Limited Term to 1/1/03.
[2] Two positions Limited Term to 1/1/04.
[3] Limited Term to 1/1/04.
[4] Limited Term to 1/1/04.
[5] One Position Limited Term to 1/1/04.
[6] 2.5 Positions Limited Term to 1/1/04.
[7] One Position Limited term to 1/1/04.

*** Dollars in thousands, except in Salary Range.**

# TABLE OF CONTENTS

## GENERAL GOVERNMENT


Office of Criminal Justice Planning ..... GG 1
Commission on Peace Officer Standards and Training ..... GG 7
State Public Defender ..... GG 11
Payment to Counties for Costs of Homicide Trials ..... GG 12
California Arts Council ..... GG 13
Agricultural Labor Relations Board ..... GG 19
Public Employment Relations Board ..... GG 21
Department of Industrial Relations ..... GG 23
Department of Personnel Administration ..... GG 43
California Citizens' Compensation Commission ..... GG 49
Workers' Compensation Benefits ..... GG 49
Board of Chiropractic Examiners ..... GG 52
Osteopathic Medical Board of California ..... GG 54
Board of Pilot Commissioners for the Bays of San Francisco, San Pablo and Suisun ..... GG 55
California Horse Racing Board ..... GG 56
Department of Food and Agriculture ..... GG 58
Fair Political Practices Commission ..... GG 73
Political Reform Act of 1974 ..... GG 74
Public Utilities Commission ..... GG 75
Seismic Safety Commission ..... GG 82
California Victim Compensation and Government Claims Board ..... GG 84
Commission on Local Governance for the 21st Century ..... GG 89
Electricity Oversight Board ..... GG 90
Milton Marks "Little Hoover" Commission on California State Government Organization and Economy ..... GG 92
Memberships in Interstate Organizations ..... GG 93
Commission on the Status of Women ..... GG 94
California Law Revision Commission ..... GG 95
Commission on Uniform State Laws ..... GG 96
Bureau of State Audits ..... GG 97
Department of Finance ..... GG 98
Commission on State Mandates ..... GG 101
Office of Administrative Law ..... GG 106
Military Department ..... GG 108
Department of Veterans Affairs ..... GG 117
Vietnam Veterans Memorial Commission ..... GG 131
Tax Relief ..... GG 131
Local Government Financing ..... GG 133
Shared Revenues ..... GG 135
General Obligation Bonds and Commercial Paper ..... GG 140
Lease-Revenue Notes and Bonds ..... GG 147
Payment of Interest on General Fund Loans ..... GG 157
Interest Payments to the Federal Government ..... GG 157
Health and Dental Benefits for Annuitants ..... GG 158
Equity Claims of California Victim Compensation and Government Claims Board and Settlements and Judgments by Department of Justice ..... GG 160
Augmentation for Employee Compensation ..... GG 163
Federal Levy of State Funds ..... GG 165
Augmentation for Contingencies or Emergencies ..... GG 165
Unallocated Capital Outlay ..... GG 172
Reserve for Liquidation of Encumbrances ..... GG 172
Petroleum Violation Escrow Account Program ..... GG 173
Statewide General Administrative Expenditures (Pro Rata) ..... GG 173
Alternative Procurements for Information Technology ..... GG 175
Information Technology Century Change ..... GG 176
Information Technology Innovation Activities ..... GG 177
Statewide Americans with Disabilities Act Funding ..... GG 177
e-Government Projects ..... GG 178
Janitorial/Contract Services ..... GG 178
Health Insurance Portability and Accountability Act Compliance ..... GG 179
Utility Costs ..... GG 179
Department of Justice Attorney Fees ..... GG 180
Postage Rate Increase ..... GG 181

# 8100 OFFICE OF CRIMINAL JUSTICE PLANNING

The goal of the Office of Criminal Justice Planning (OCJP) is to improve the criminal justice system in California by providing financial and technical assistance to local governments, state agencies and the private sector; education and training for the citizens of California; and technical and research support for the Administration. The services provided by OCJP include: 1) grant funding to local agencies and organizations; 2) technical assistance to ensure effective program management; 3) development of state-of-the-art approaches for justice systems, crime prevention and victim services programs; 4) dissemination of information on successful program models; 5) promotion of information exchange, including interdisciplinary approaches and mutual support among criminal justice agencies, public and private organizations; and 6) development of publications on crime prevention and victim services for statewide distribution.

## Authority

Penal Code, Section 13800, et seq.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 20 Administration | 51.1 | 64.2 | 66.1 | $3,111 | $3,240 | $3,348 |
| Distributed Administration | – | – | – | −3,111 | −3,240 | −3,348 |
| 50 Criminal Justice Projects | 79.0 | 94.2 | 94.2 | 261,665 | 389,765 | 318,542 |
| TOTALS, PROGRAMS | 130.1 | 158.4 | 160.3 | $261,665 | $389,765 | $318,542 |
| *0001 General Fund* | | | | *78,996* | *198,083* | *127,929* |
| *0241 Local Public Prosecutors/Defenders Training Fund* | | | | *778* | *794* | *860* |
| *0425 Victim Witness Assistance Fund* | | | | *16,956* | *17,022* | *17,022* |
| *0597 High Technology Theft Apprehension/Prosecution Program Trust Fund* | | | | *3,229* | *3,535* | *14,486* |
| *Less funding provided by General Fund* | | | | *−3,000* | *−3,281* | *−14,232* |
| *Less funding provided by Federal Fund* | | | | *−250* | *−254* | *−254* |
| *0890 Federal Trust Fund* | | | | *162,373* | *170,782* | *169,647* |
| *0995 Reimbursements* | | | | *2,583* | *3,084* | *3,084* |

## 50 CRIMINAL JUSTICE PROJECTS

### Program Objectives Statement

This program provides support to the California Criminal Justice System through policy research and development in coordination with the California Council on Criminal Justice and through awards of federal and state grant funds to public and private agencies for public safety and victim services projects.

### Major Budget Adjustments Proposed for 2001–2002

- Increase of $40,000,000 (General Fund) to establish the War on Methamphetamine Program, which will provide funding to High Intensity Drug Tafficking Areas throughout the State to intensify current efforts to combat the manufacture and sale of methamphetamine in California.
- Increase of $30,000,000 (General Fund) to establish a competitive grant program to fund the upgrading of local crime labs.
- Increase of 1.0 position and $10,951,000 (High Technology Theft Apprehension and Prosecution Program Trust Fund) to augment the High Technology Theft Apprehension and Prosecution Program ($7,651,000), and to combat identity theft statewide ($3,300,000).
- Increase of $1,118,000 (Federal Trust Fund) to reflect an increase in the federal Family Violence Prevention Services Act Block Award.
- Increase of $466,000 (General Fund) to augment the Child Abuser Vertical Prosecution Program.
- Increase of 1.0 position and $106,000 (General Fund) for establishment of the Criminal Justice Information Clearinghouse.
- Increase of $75,000 (General Fund) to enhance audit capability.
- Increase of $75,000 (Reimbursements) for Child Death Review Team training.
- Increase of $65,000 (Local Public Prosecutor/Defender Training Fund) to provide additional training to District Attorneys.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 50 CRIMINAL JUSTICE PROJECTS

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$3,076* | *$4,639* | *$5,381* |
| *0241 Local Public Prosecutors/Defenders Training Fund* | *51* | *67* | *68* |
| *0425 Victim/Witness Assistance Fund* | *1,437* | *1,503* | *1,503* |
| *0597 High Technology Theft Apprehension/Prosecution Program Trust Fund* | *46* | *102* | *968* |
| *Less funding provided by General Fund* | *−35* | *−66* | *−932* |
| *Less funding provided by Federal Fund* | *−32* | *−36* | *−36* |
| *0890 Federal Trust Fund* | *6,371* | *8,718* | *8,536* |
| *0995 Reimbursements* | *109* | *310* | *310* |
| Totals, State Operations | $11,023 | $15,237 | $15,798 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8100 OFFICE OF CRIMINAL JUSTICE PLANNING—Continued

| Local Assistance: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$75,920* | *$193,444* | *$122,548* |
| *0241 Local Public Prosecutors/Defenders Training Fund* | *727* | *727* | *792* |
| *0425 Victim Witness Assistance Fund* | *15,519* | *15,519* | *15,519* |
| *0597 High Technology Theft Apprehension/Prosecution Program Trust Fund* | *3,183* | *3,433* | *13,518* |
| *Less funding provided by General Fund* | *−2,965* | *−3,215* | *−13,300* |
| *Less funding provided by Federal Fund* | *−218* | *−218* | *−218* |
| *0890 Federal Trust Fund* | *156,002* | *162,064* | *161,111* |
| *0995 Reimbursements* | *2,474* | *2,774* | *2,774* |
| Totals, Local Assistance | $250,642 | $374,528 | $302,744 |

## ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 50.10 Planning, Policy, and Management | | | |
| State Operations: | | | |
| *0001 General Fund* | *3,076* | *4,639* | *5,381* |
| *0241 Local Public Prosecutors/Defenders Training Fund* | *51* | *67* | *68* |
| *0425 Victim Witness Assistance Fund* | *1,437* | *1,503* | *1,503* |
| *0597 High Technology Theft Apprehension/Prosecution Program Trust Fund* | *46* | *102* | *968* |
| *Less funding provided by General Fund* | *−35* | *−66* | *−932* |
| *Less funding provided by Federal Fund* | *−32* | *−36* | *−36* |
| *0890 Federal Trust Fund* | *6,371* | *8,718* | *8,536* |
| *0995 Reimbursements* | *109* | *310* | *310* |
| Totals, State Operations | $11,023 | $15,237 | $15,798 |
| 50.20 Victim Services | | | |
| Element Components: | | | |
| 50.20.101 Victim Witness Assistance | 10,871 | 10,871 | 10,871 |
| 50.20.102 Victims Legal Resource Center | 173 | 173 | 173 |
| 50.20.151 Domestic Violence | 8,331 | 9,270 | 9,257 |
| 50.20.152 Family Violence Prevention | 194 | 194 | 194 |
| 50.20.161 Violence Against Women Act | 14,007 | 12,990 | 12,990 |
| 50.20.170 Men Overcoming a Violent Environment | – | 241 | – |
| 50.20.171 Rural Domestic Violence/Child Victimization | 436 | 136 | – |
| 50.20.301 Rape Crisis | 3,771 | 3,771 | 3,771 |
| 50.20.302 Rape Prevention | 5,761 | 5,571 | 5,571 |
| 50.20.351 Homeless Youth | 883 | 883 | 883 |
| 50.20.352 Youth Emergency Telephone Referral | 338 | 338 | 338 |
| 50.20.353 Child Sexual Abuse and Exploitation | 981 | 981 | 981 |
| 50.20.354 Child Sexual Abuse Prevention and Training | 747 | 672 | 672 |
| 50.20.358 Child Abuse/Abduction Prevention | 495 | 570 | – |
| 50.20.451 Victims of Crime Act | 33,925 | 39,267 | 39,267 |
| 50.20.999 Mandates Claims Bill | 120 | 1,161 | 834 |
| Totals, Victim Services | $81,033 | $87,089 | $85,802 |
| Local Assistance: | | | |
| *0001 General Fund* | *4,439* | *5,480* | *4,658* |
| *0425 Victim Witness Assistance Fund* | *15,519* | *15,519* | *15,519* |
| *0890 Federal Trust Fund* | *61,000* | *66,015* | *65,625* |
| *0995 Reimbursements* | *75* | *75* | – |
| 50.30 Public Safety | | | |
| Element Components: | | | |
| 50.30.501 Community Crime Resistance | 923 | 923 | 923 |
| 50.30.502 War on Methamphetamine | – | – | 40,000 |
| 50.30.511 Career Criminal Apprehension | 2,308 | 2,308 | 2,308 |
| 50.30.512 Career Criminal Prosecution | 3,987 | 3,987 | 3,987 |
| 50.30.513 Major Narcotic Vendors Prosecution | 2,641 | 2,641 | 2,641 |
| 50.30.514 Serious Habitual Offender | 547 | 547 | 547 |
| 50.30.515 Vertical Prosecution of Statutory Rape | 8,361 | 8,361 | 8,361 |
| 50.30.516 Elder Abuse Vertical Prosecution | 2,000 | 2,000 | 2,000 |
| 50.30.521 Child Sexual Assault Prosecution | 1,304 | 1,304 | 1,770 |
| 50.30.522 Evidentiary Medical Training | 1,364 | 1,364 | 1,364 |
| 50.30.525 Children's Justice Act | 1,014 | 745 | 820 |
| 50.30.531 Vertical Defense of Indigents | 692 | 692 | 692 |
| 50.30.541 Public Prosecutors/Public Defenders Training | 756 | 756 | 821 |
| 50.30.550 Byrne State/Local Law Enforcement Assistance | 51,310 | 52,118 | 52,118 |
| 50.30.555 Residential Substance Abuse Treatment | 6,426 | 6,545 | 6,545 |
| 50.30.556 Local Law Enforcement | 598 | 732 | 732 |
| 50.30.557 Local Law Enforcement/Training/Education Assistance | 48 | – | – |
| 50.30.558 State Identification System | 163 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 8100 OFFICE OF CRIMINAL JUSTICE PLANNING—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 50.30.559 | Peace Officer Protective Equipment | – | $491 | $178 |
| 50.30.562 | High Technology Theft Apprehension/Prosecution | $3,183 | 3,433 | 13,518 |
| 50.30.651 | Suppression of Drug Abuse in Schools | 3,337 | 3,349 | 3,263 |
| 50.30.661 | Gang Violence Suppression | 6,230 | 6,534 | 6,620 |
| 50.30.672 | Multi-Agency Gang Enforcement Consortium | 248 | 248 | 248 |
| 50.30.700 | Special Projects—Public Safety | 3,408 | 150,625 | 30,000 |
| 50.30.701 | Juvenile Justice and Delinquency Prevention | 8,051 | 6,310 | 6,060 |
| 50.30.703 | Community Delinquency Prevention | 5,207 | 5,002 | 5,002 |
| 50.30.705 | Juvenile Accountability Incentive Account | 19,633 | 21,769 | 21,769 |
| 50.30.706 | Juvenile Justice—Project Challenge | 1,329 | 1,114 | 1,114 |
| 50.30.815 | Rural Crime Prevention Program | 3,541 | 3,541 | 3,541 |
| 50.30.820 | Drug Abuse Resistance Education (D.A.R.E.) | 1,000 | – | – |
| 50.30.850 | Local Law Enforcement Grants | 30,000 | – | – |
| | Totals, Public Safety | $169,609 | $287,439 | $216,942 |
| | Local Assistance: | | | |
| *0001* | *General Fund* | *71,481* | *187,964* | *117,890* |
| *0241* | *Local Public Prosecutors/Defenders Training Fund* | *727* | *727* | *792* |
| *0597* | *High Technology Theft Apprehension/Prosecution Program Trust Fund* | *3,183* | *3,433* | *13,518* |
| | *Less funding provided by General Fund* | *−2,965* | *−3,215* | *−13,300* |
| | *Less funding provided by Federal Fund* | *−218* | *−218* | *−218* |
| *0890* | *Federal Trust Fund* | *95,002* | *96,049* | *95,486* |
| *0995* | *Reimbursements* | *2,399* | *2,699* | *2,774* |
| **TOTALS, PROGRAM 50** | | | | |
| | State Operations | $11,023 | $15,237 | $15,798 |
| | Local Assistance | 250,642 | 374,528 | 302,744 |
| | TOTALS, EXPENDITURES | $261,665 | $389,765 | $318,542 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 130.1 | 166.7 | 166.7 | $6,414 | $8,275 | $8,538 |
| Total Adjustments | – | – | 2.0 | – | 10 | 138 |
| Estimated Salary Savings | – | −8.3 | −8.4 | – | −441 | −436 |
| Net Totals, Salaries and Wages | 130.1 | 158.4 | 160.3 | $6,414 | $7,844 | $8,240 |
| Staff Benefits | – | – | – | 1,152 | 1,876 | 1,675 |
| Totals, Personal Services | 130.1 | 158.4 | 160.3 | $7,566 | $9,720 | $9,915 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $3,457 | $5,517 | $5,883 |
| TOTALS, EXPENDITURES | | | | $11,023 | $15,237 | $15,798 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $3,512 | $4,358 | $4,449 |
| 012 Budget Act appropriation (Transfer to High Technology Theft Apprehension and Prosecution Program Trust Fund) | 35 | 66 | 932 |
| Allocation for employee compensation | 184 | 13 | – |
| Adjustment per Section 3.60 | −125 | −28 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Prior year balances available: | | | |
| Chapter 826, Statutes of 1998 | 230 | 230 | – |
| Totals Available | $3,837 | $4,639 | $5,381 |
| Balance available in subsequent years | −230 | – | – |
| Unexpended balance, estimated savings | −531 | – | – |
| TOTALS, EXPENDITURES | $3,076 | $4,639 | $5,381 |

*** Dollars in thousands, except in Salary Range.**

## 8100 OFFICE OF CRIMINAL JUSTICE PLANNING—Continued

### 0241 Local Public Prosecutors and Public Defenders Training Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $65 | $67 | $68 |
| Allocation for employee compensation | 5 | – | – |
| Adjustment per Section 3.60 | –4 | – | – |
| Totals Available | $66 | $67 | $68 |
| Unexpended balance, estimated savings | –15 | – | – |
| TOTALS, EXPENDITURES | $51 | $67 | $68 |

### 0425 Victim Witness Assistance Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,428 | $1,510 | $1,503 |
| Allocation for employee compensation | 78 | 5 | – |
| Adjustment per Section 3.60 | –52 | –12 | – |
| Totals Available | $1,454 | $1,503 | $1,503 |
| Unexpended balance, estimated savings | –17 | – | – |
| TOTALS, EXPENDITURES | $1,437 | $1,503 | $1,503 |

### 0597 High Technology Theft Apprehension and Prosecution Program Trust Fund [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $67 | $102 | $968 |
| Unexpended balance, estimated savings | –21 | – | – |
| TOTALS, EXPENDITURES | $46 | $102 | $968 |
| Less funding provided by the General Fund | –35 | –66 | –932 |
| Less funding provided by Federal Trust Fund | –32 | –36 | –36 |
| NET TOTALS, EXPENDITURES | –$21 | – | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $7,188 | $8,296 | $8,500 |
| 012 Budget Act appropriation (Transfer to High Technology Theft Apprehension and Prosecution Program Trust Fund) | 32 | 36 | 36 |
| Allocation for employee compensation | 369 | 24 | – |
| Adjustment per Section 3.60 | –250 | –54 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Budget adjustment | –963 | 416 | – |
| Prior year balances available: | | | |
| Chapter 9, Statutes of 1997 (Section 2(d)(4)) | 100 | – | – |
| Chapter 9, Statutes of 1997 | 164 | – | – |
| Totals Available | $6,643 | $8,718 | $8,536 |
| Unexpended balance, estimated savings | –272 | – | – |
| TOTALS, EXPENDITURES | $6,371 | $8,718 | $8,536 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $109 | $310 | $310 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $11,023 | $15,237 | $15,798 |

---

### SUMMARY BY OBJECT

| 2 LOCAL ASSISTANCE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Victim Services | $81,033 | $87,089 | $85,802 |
| Public Safety | 169,609 | 287,439 | 216,942 |
| TOTALS, EXPENDITURES | $250,642 | $374,528 | $302,744 |

*** Dollars in thousands, except in Salary Range.**

## 8100 OFFICE OF CRIMINAL JUSTICE PLANNING—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $71,581 | $189,068 | $108,414 |
| 112 Budget Act appropriation (Transfer to High Technology Theft Apprehension and Prosecution Trust Fund) | 2,965 | 3,215 | 13,300 |
| 295 Budget Act appropriation | 790 | 808 | 834 |
| Adjustment per Government Code Section 17613 | −669 | – | – |
| Chapter 986, Statutes of 1999, Section 2 (a) (4) and (5) | 1,250 | – | – |
| Chapter 1003, Statutes of 1999 | 120 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 353 | – |
| Totals Available | $76,037 | $193,444 | $122,548 |
| Unexpended balance, estimated savings | −117 | – | – |
| TOTALS, EXPENDITURES | $75,920 | $193,444 | $122,548 |

### 0241 Local Public Prosecutors and Public Defenders Training Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $727 | $727 | $792 |

### 0425 Victim Witness Assistance Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $15,519 | $15,519 | $15,519 |

### 0597 High Technology Theft Apprehension and Prosecution Program Trust Fund [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $3,183 | $3,433 | $13,518 |
| Less funding provided by the General Fund | −2,965 | −3,215 | −13,300 |
| Less funding provided by Federal Trust Fund | −218 | −218 | −218 |
| TOTALS, EXPENDITURES | – | – | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $155,372 | $159,897 | $160,893 |
| 112 Budget Act appropriation (Transfer to High Technology Theft Apprehension and Prosecution Program Trust Fund) | 218 | 218 | 218 |
| Budget adjustments | 441 | 1,949 | – |
| Prior year balances available: | | | |
| Chapter 9, Statutes of 1997 | 113 | – | – |
| Totals Available | $156,144 | $162,064 | $161,111 |
| Unexpended balance, estimated savings | −142 | – | – |
| TOTALS, EXPENDITURES | $156,002 | $162,064 | $161,111 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $2,474 | $2,774 | $2,774 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $250,642 | $374,528 | $302,744 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $261,665 | $389,765 | $318,542 |

### FUND CONDITION STATEMENT
### 0241 Local Public Prosecutors and Public Defenders Training Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $229 | $414 | $505 |
| Prior year adjustments | 80 | – | – |
| Balance, Adjusted | $309 | $414 | $505 |

*** Dollars in thousands, except in Salary Range.**

## 8100 OFFICE OF CRIMINAL JUSTICE PLANNING—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | $33 | $35 | $35 |
| 164300 Penalty Assessments | 850 | 850 | 850 |
| Totals, Revenues and Transfers | $883 | $885 | $885 |
| Totals, Resources | $1,192 | $1,299 | $1,390 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8100 Office of Criminal Justice Planning: | | | |
| State Operations | 51 | 67 | 68 |
| Local Assistance | 727 | 727 | 792 |
| Totals, Disbursements | $778 | $794 | $860 |
| FUND BALANCE | $414 | $505 | $530 |
| Reserve for economic uncertainties | 414 | 505 | 530 |

### 0425 Victim Witness Assistance Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,356 | $4,483 | $7,308 |
| Prior year adjustments | −290 | – | – |
| Balance, Adjusted | $3,066 | $4,483 | $7,308 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 130800 Penalties on felony convictions | 1 | 5 | 5 |
| 150300 Income from surplus money investments | 740 | 750 | 750 |
| 164300 Penalty Assessments | 13,511 | 14,971 | 15,672 |
| Totals, Revenues | $14,252 | $15,726 | $16,427 |
| Transfers from Other Funds: | | | |
| F00178 Driver's Training Fund per Section 24.10, Budget Acts | 4,121 | 4,121 | 4,121 |
| Totals, Revenues and Transfers | $18,373 | $19,847 | $20,548 |
| Totals, Resources | $21,439 | $24,330 | $27,856 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8100 Office of Criminal Justice Planning: | | | |
| State Operations | 1,437 | 1,503 | 1,503 |
| Local Assistance | 15,519 | 15,519 | 15,519 |
| Totals, Disbursements | $16,956 | $17,022 | $17,022 |
| FUND BALANCE | $4,483 | $7,308 | $10,834 |
| Reserve for economic uncertainties | 4,483 | 7,308 | 10,834 |

### 0597 High Technology Theft Apprehension and Prosecution Program Trust Fund [f]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $36 | $57 | $57 |
| Totals, Resources | $36 | $57 | $57 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8100 Office of Criminal Justice Planning: | | | |
| State Operations | 46 | 102 | 968 |
| Local Assistance | 3,183 | 3,433 | 13,518 |
| Totals, Disbursements | $3,229 | $3,535 | $14,486 |
| Expenditure Reductions: | | | |
| 8100 Office of Criminal Justice Planning: | | | |
| Less funding provided by the General Fund: | | | |
| State Operations | −35 | −66 | −932 |
| Local Assistance | −2,965 | −3,215 | −13,300 |
| Less funding provided by Federal Trust Fund: | | | |
| State Operations | −32 | −36 | −36 |
| Local Assistance | −218 | −218 | −218 |
| Totals, Expenditures | −$21 | – | – |
| FUND BALANCE | $57 | $57 | $57 |

*** Dollars in thousands, except in Salary Range.**

## 8100 OFFICE OF CRIMINAL JUSTICE PLANNING—Continued

| 0903 State Penalty Fund [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 217500 Penalties on traffic violations and felony convictions | $162,726 | $174,671 | $182,715 |
| Totals, Operating Revenues | $162,726 | $174,671 | $182,715 |
| Less Revenues Collected for Other Funds: | | | |
| Restitution Fund (Indemnity Fund) | 53,266 | 55,985 | 58,646 |
| Peace Officers Training Fund | 37,903 | 41,569 | 43,516 |
| Fish and Game Preservation Fund | 554 | 746 | 700 |
| Corrections Training Fund | 12,620 | 13,654 | 14,294 |
| Driver's Training Penalty Assessment Fund | 41,873 | 44,533 | 46,618 |
| Local Public Prosecutors/Defenders Training Fund | 850 | 850 | 850 |
| Victim/Witness Assistance Fund | 13,511 | 14,971 | 15,672 |
| Traumatic Brain Injury Fund | 999 | 1,144 | 1,197 |
| Totals, Revenues Collected for Other Funds | $161,576 | $173,452 | $181,493 |
| Totals, Revenues | $1,150 | $1,219 | $1,222 |
| Transfer to Other Funds: | | | |
| T00840 California Motorcyclist Safety Fund per Item 2720-012-0903, Budget Act of 2000 | −250 | −250 | −250 |
| Totals, Revenues and Transfers | $900 | $969 | $972 |
| Totals, Resources | $900 | $969 | $972 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0840 State Controller (State Operations) | 900 | 969 | 972 |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 130.1 | 166.7 | 166.7 | $6,414 | $8,275 | $8,538 |
| Salary adjustments | – | – | – | – | 10 | 12 |
| Totals, Adjusted Authorized Positions | 130.1 | 166.7 | 166.7 | $6,414 | $8,285 | $8,550 |
| Proposed New Positions: | | | | Salary Range | | |
| Asst Dep Director | – | – | 1.0 | 6,462–6,988 | – | 81 |
| Librarian I | – | – | 1.0 | 3,732–4,535 | – | 45 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $126 |
| Total Adjustments | – | – | 2.0 | – | $10 | $138 |
| TOTALS, SALARIES AND WAGES | 130.1 | 166.7 | 168.7 | $6,414 | $8,285 | $8,676 |

## 8120 COMMISSION ON PEACE OFFICER STANDARDS AND TRAINING

The Commission on Peace Officer Standards and Training (POST) is responsible for raising the competence level of law enforcement officers in California by establishing minimum selection and training standards, improving management practices and providing financial assistance to local agencies relating to the training of their law enforcement officers.

| SUMMARY OF PROGRAM REQUIREMENTS | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 10 | Standards | 21.4 | 24.2 | 24.2 | $5,264 | $6,091 | $6,883 |
| 20 | Training | 42.9 | 42.7 | 44.5 | 22,737 | 29,384 | 26,538 |
| 30 | Peace Officer Training | – | – | – | 21,854 | 26,621 | 28,814 |
| 40.01 | Administration | 49.9 | 58.8 | 58.8 | 3,949 | 4,695 | 4,379 |
| 40.02 | Distributed Administration | – | – | – | −3,949 | −4,695 | −4,379 |
| 98 | State-Mandated Local Programs | – | – | – | 25,642 | 11,706 | 6,781 |
| TOTALS, PROGRAMS | | 114.2 | 125.7 | 127.5 | $75,497 | $73,802 | $69,016 |
| *0001* | *General Fund* | | | | *25,642* | *11,726* | *6,781* |
| *0268* | *Peace Officers' Training Fund* | | | | *47,739* | *60,817* | *60,976* |
| *0995* | *Reimbursements* | | | | *2,116* | *1,259* | *1,259* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8120 COMMISSION ON PEACE OFFICER STANDARDS AND TRAINING—Continued

### 10 STANDARDS

#### Program Objectives Statement

The standards program establishes job-related selection standards for peace officers and dispatchers. It also provides management consultation to local agencies. Activities include development of examinations and counseling local law enforcement agencies on ways to improve management practices.

Applied research is conducted in the areas of peace officer selection and training, operational procedures and program evaluation in order to meet statutory requirements and to provide management guidance to local law enforcement agencies. The program also provides local agencies with information and technical expertise in the development and implementation of new programs.

#### Major Budget Adjustment Proposed for 2001–02

- The budget includes $921,000 from the Peace Officers' Training Fund for the replacement of the POSTRAC Testing System.

#### Authority

Penal Code Sections 13503, 13512, 13513, and 13551.

### 20 TRAINING

#### Program Objectives Statement

POST's training program increases the effectiveness of law enforcement personnel by developing and certifying courses that meet identified training needs, by providing scheduling and quality control of such courses, and by assisting law enforcement agencies in providing necessary training and career development programs. POST assesses training on a continuing basis to assure that emerging needs are met. Courses are offered through local community colleges, four-year colleges, universities, police academies, private trainers and training centers. The curricula cover a wide variety of technical and special courses necessary to meet statutorily and Commission established training mandates, maintain effectiveness in police work and address the training needs of recruit, officer, advanced officer, supervisor, manager, executive-level, and other law enforcement agency personnel. Curricula content is updated regularly. The Commission uses proven advanced technologies such as satellite broadcast and computer/video interaction in the delivery of training. POST also presents advanced leadership training for law enforcement supervisors and executives through its Command College and the Supervisory Leadership Institute.

The Commission establishes the basic criteria that must be met by each course in order to obtain POST's certification. Assistance is given to applicable educators and police trainers in preparing and implementing courses and training plans. Evaluation mechanisms are employed to ensure that training instructors and coordinators are adhering to established course outlines and are meeting instruction standards. Failure to meet these standards may cause revocation of course certification.

Job-related selection and training standards for peace officers and dispatchers, established by the Standards Program, are enforced through inspections of local agencies receiving state aid to assure they are adhering to minimum state standards.

#### Major Budget Adjustments Proposed for 2001–02

- The budget includes $2,600,000 from the Peace Officers' Training Fund on a one-time basis to establish two additional Regional Skills Training Centers, to replace driving simulators at five sites, and to provide for dispatcher simulators.
- The budget includes $2,150,000 from the Peace Officers' Training Fund on a one-time basis to develop six multimedia courses.
- The budget includes 0.9 personnel year and $117,000 from the Peace Officers' Training Fund to oversee the Course Quality Assessment Program.
- The budget includes 0.9 personnel year and $76,000 from the Peace Officers' Training Fund to provide staff for the Learning Technology Resource Center.

#### Authority

Penal Code Sections 13503 and 13508.

### 30 PEACE OFFICER TRAINING

#### Program Objectives Statement

The enforcement of laws and the protection of life and property without infringement on individual liberties are among modern government's most important responsibilities. Carefully selected, highly trained and properly motivated peace officers are important factors in meeting this responsibility. To encourage and assist local law enforcement agencies to meet and maintain minimum standards in the selection and training of law enforcement officers, financial assistance is provided to all 58 counties, approximately 346 cities, and numerous specialized districts and local agencies which have agreed to meet POST's standards. Financial assistance to participating jurisdictions is provided for instructional costs associated with selected training courses. Funding is also provided for the cost of student travel and per diem associated with training presentations. Funding is also provided for necessary overtime paid to enable line officers to receive in-service training in areas of critical need.

#### Major Budget Adjustment Proposed for 2001–02

- The budget includes $2,212,000 from the Peace Officers' Training Fund for local assistance peace officer training reimbursement expenditures.

#### Authority

Penal Code Sections 13500 to 13523, Health and Safety Code 11489.

*** Dollars in thousands, except in Salary Range.**

## 8120 COMMISSION ON PEACE OFFICER STANDARDS AND TRAINING—Continued

### PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 STANDARDS** | | | |
| State Operations: | | | |
| *0268 Peace Officers' Training Fund* | *$5,248* | *$6,091* | *$6,883* |
| *0995 Reimbursements* | *16* | – | – |
| Totals, State Operations | $5,264 | $6,091 | $6,883 |
| **PROGRAM REQUIREMENTS** | | | |
| **20 TRAINING** | | | |
| State Operations: | | | |
| *0268 Peace Officers' Training Fund* | *$20,637* | *$28,125* | *$25,279* |
| *0995 Reimbursements* | *2,100* | *1,259* | *1,259* |
| Totals, State Operations | $22,737 | $29,384 | $26,538 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 PEACE OFFICER TRAINING** | | | |
| State Operations: | | | |
| *0268 Peace Officers' Training Fund* | *$92* | *$95* | *$96* |
| Totals, State Operations | $92 | $95 | $96 |
| Local Assistance: | | | |
| *0001 General Fund* | – | *20* | – |
| *0268 Peace Officers' Training Fund* | *21,762* | *26,506* | *28,718* |
| *0995 Reimbursements* | – | – | – |
| Totals, Local Assistance | $21,762 | $26,526 | $28,718 |
| **PROGRAM REQUIREMENTS** | | | |
| **98 STATE-MANDATED LOCAL PROGRAMS** | | | |
| Budget Acts: | | | |
| Chapter 246/95-Domestic Violence Arrest Policies and Standards | – | $6,781 | $6,781 |
| Totals, Budget Acts | – | $6,781 | $6,781 |
| Claims Bills: | | | |
| Chapter 574/99-Domestic Violence Arrest Policies and Standards | $25,642 | 219 | – |
| Chapter 177/00-Domestic Violence Arrest Policies and Standards | – | 4,706 | – |
| Totals, Claims Bills | $25,642 | $4,925 | – |
| Totals, Local Assistance | $47,404 | $38,232 | $35,499 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $28,093 | $35,570 | $33,517 |
| Local Assistance | 47,404 | 38,232 | 35,499 |
| TOTALS, EXPENDITURES | $75,497 | $73,802 | $69,016 |

### SUMMARY BY OBJECT

#### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 114.2 | 132.3 | 132.3 | $6,499 | $7,820 | $7,952 |
| Total Adjustments | – | – | 2.0 | – | 6 | 210 |
| Estimated Salary Savings | – | –6.6 | –6.8 | – | –392 | –401 |
| Net Totals, Salaries and Wages | 114.2 | 125.7 | 127.5 | $6,499 | $7,434 | $7,761 |
| Staff Benefits | – | – | – | 1,085 | 810 | 835 |
| Totals, Personal Services | 114.2 | 125.7 | 127.5 | $7,584 | $8,244 | $8,596 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $5,164 | $5,050 | $5,504 |
| SPECIAL ITEMS OF EXPENSE (Training Contracts) | | | | 15,345 | 22,276 | 19,417 |
| TOTALS, EXPENDITURES | | | | $28,093 | $35,570 | $33,517 |

*** Dollars in thousands, except in Salary Range.**

## 8120 COMMISSION ON PEACE OFFICER STANDARDS AND TRAINING—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0268 Peace Officers' Training Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $10,802 | $12,045 | $12,841 |
| 011 Budget Act appropriation | 9,120 | 20,720 | 17,861 |
| 012 Budget Act appropriation | 1,556 | 1,556 | 1,556 |
| Allocation for employee compensation | 832 | 66 | – |
| Adjustment per Section 3.60 | –417 | –76 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| Transfer from Local Assistance per Item 8120-011-0268, Provision 2 | 4,740 | – | – |
| Totals Available | $26,637 | $34,311 | $32,258 |
| Unexpended balance, estimated savings | –660 | – | – |
| TOTALS, EXPENDITURES | $25,977 | $34,311 | $32,258 |
| **0995 Reimbursements** | | | |
| Reimbursements | $2,116 | $1,259 | $1,259 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $28,093 | $35,570 | $33,517 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and Subventions | $47,404 | $38,232 | $35,499 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | – | $20 | – |
| 295 Budget Act appropriation (State Mandates) | – | 6,781 | $6,781 |
| Chapter 574, Statutes of 1999 (State Mandates) | $25,861 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 4,706 | – |
| Prior year balances available: | | | |
| Chapter 574, Statutes of 1999 (State Mandates) | – | 219 | – |
| Totals Available | $25,861 | $11,726 | $6,781 |
| Balance available in subsequent years | –219 | – | – |
| TOTALS, EXPENDITURES | $25,642 | $11,726 | $6,781 |
| **0268 Peace Officers' Training Fund [s]** | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | $26,058 | $26,062 | $28,274 |
| 102 Budget Act appropriation | 444 | 444 | 444 |
| Transfer to State Operations per Item 8120-101-0268, Provision 1 | –4,740 | – | – |
| TOTALS, EXPENDITURES | $21,762 | $26,506 | $28,718 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $47,404 | $38,232 | $35,499 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $75,497 | $73,802 | $69,016 |

### FUND CONDITION STATEMENT
### 0268 Peace Officers' Training Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $20,056 | $29,698 | $25,768 |
| Prior year adjustments | 3,448 | – | – |
| Balance, Adjusted | $23,504 | $29,698 | $25,768 |

*** Dollars in thousands, except in Salary Range.**

## 8120 COMMISSION ON PEACE OFFICER STANDARDS AND TRAINING—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | $178 | $175 | $175 |
| 130700 Penalties on traffic violations | 37,903 | 41,602 | 43,541 |
| 142500 Miscellaneous services to the public | 53 | 55 | 55 |
| 150300 Income from surplus money investments | 1,748 | 1,000 | 1,500 |
| 161000 Escheat of unclaimed checks and warrants | 53 | 55 | 55 |
| Totals, Revenues | $39,935 | $42,887 | $45,326 |
| Transfers from Other Funds: | | | |
| F00178 Driver Training Penalty Assessment Fund per Section 24.10 | 14,000 | 14,000 | 14,000 |
| Totals, Revenues and Transfers | $53,935 | $56,887 | $59,326 |
| Totals, Resources | $77,439 | $86,585 | $85,094 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8120 Commission on Peace Officer Standards and Training: | | | |
| State Operations | 25,977 | 34,311 | 32,258 |
| Local Assistance | 21,762 | 26,506 | 28,718 |
| 9670 Legislative Claims (State Operations) | 2 | – | – |
| Totals, Disbursements | $47,741 | $60,817 | $60,976 |
| FUND BALANCE | $29,698 | $25,768 | $24,118 |
| Reserve for economic uncertainties | 29,698 | 25,768 | 24,118 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 114.2 | 132.3 | 132.3 | $6,499 | $7,820 | $7,952 |
| Salary adjustments | – | – | – | – | 6 | 69 |
| Totals, Adjusted Authorized Positions | 114.2 | 132.3 | 132.3 | $6,499 | $7,826 | $8,021 |
| Proposed New Positions: | | | | Salary Range | | |
| Sr Law Enforcement Consultant | – | – | 1.0 | 6,548–7,220 | – | 86 |
| Instl Designer-Tech | – | – | 1.0 | 4,136–5,027 | – | 55 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $141 |
| Total Adjustments | – | – | 2.0 | – | $6 | $210 |
| TOTALS, SALARIES AND WAGES | 114.2 | 132.3 | 134.3 | $6,499 | $7,826 | $8,162 |

# 8140 STATE PUBLIC DEFENDER

### Program Objectives Statement

The Office of the State Public Defender was established in July 1976 by Chapter 1125, Statutes of 1975, and has offices in Sacramento and San Francisco. The State Public Defender, upon appointment by the court or upon the request of the person involved, may employ deputies and other employees, or may contract with county public defenders, private attorneys, and nonprofit corporations to furnish legal services in capital appeals to persons who do not have the financial means to employ private counsel. The State Public Defender may pay a reasonable sum for legal services provided pursuant to contracts and may also enter into reciprocal or mutual assistance agreements with boards of supervisors of one or more counties to provide for exchange of personnel.

Chapter 869, Statutes of 1997 (SB 513), revised the mission of the State Public Defender. Except for training new attorneys utilizing noncapital cases, the State Public Defender is now required to focus its resources exclusively on post-conviction proceedings following a judgment of death. Pursuant to Chapter 869/97, effective January 1, 1998, the State Public Defender will only be assigned direct death penalty appeals by the State Supreme Court. Cases involving habeas corpus proceedings will be assigned by the Supreme Court to the newly created California Habeas Resource Center or to private counsel.

### Major Budget Adjustment Proposed for 2001–02

- $144,000 from the General Fund and 1.0 personnel year to provide additional legal resources to address workload related to unrepresented capital case defendants.

### Authority

Government Code Sections 15400–15404, 15420–15425; Penal Code Sections 1026.5 and 1240.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8140 STATE PUBLIC DEFENDER—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 State Public Defender | 106.1 | 119.7 | 120.6 | $10,980 | $11,610 | $11,812 |
| TOTALS, PROGRAMS | 106.1 | 119.7 | 120.6 | $10,980 | $11,610 | $11,812 |
| *0001 General Fund* | | | | *10,980* | *11,610* | *11,812* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 106.1 | 126.0 | 126.0 | $6,673 | $8,828 | $9,051 |
| Total Adjustments | – | – | 1.0 | – | – | 97 |
| Estimated Salary Savings | – | –6.3 | –6.4 | – | –441 | –458 |
| Net Totals, Salaries and Wages | 106.1 | 119.7 | 120.6 | $6,673 | $8,387 | $8,690 |
| Staff Benefits | – | – | – | 1,130 | 1,445 | 1,493 |
| Totals, Personal Services | 106.1 | 119.7 | 120.6 | $7,803 | $9,832 | $10,183 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $3,177 | $1,778 | $1,629 |
| TOTALS, EXPENDITURES | | | | $10,980 | $11,610 | $11,812 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $11,000 | $11,589 | $11,812 |
| Allocation for employee compensation | 805 | 21 | – |
| Adjustment per Section 3.60 | –441 | – | – |
| Adjustment per Section 16.00 | 5 | – | – |
| Totals Available | $11,369 | $11,610 | $11,812 |
| Unexpended balance, estimated savings | –389 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $10,980 | $11,610 | $11,812 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 106.1 | 126.0 | 126.0 | $6,673 | $8,828 | $9,051 |
| Proposed New Positions: | | | | Salary Range | | |
| Sr Dep-Pub Defender | – | – | 1.0 | – | – | 97 |
| Total Adjustments | – | – | 1.0 | – | – | $97 |
| TOTALS, SALARIES AND WAGES | 106.1 | 126.0 | 127.0 | $6,673 | $8,828 | $9,148 |

## 8180 PAYMENT TO COUNTIES FOR COSTS OF HOMICIDE TRIALS

It is State policy that the cost of homicide trials should not unduly impact local government finances. Government Code Sections 15200 through 15204 implement this policy by allowing a county to apply to the Controller for reimbursement of specified costs of homicide trials and hearings.

The reimbursement formulas vary by the population of the county and provide for reimbursement of a specified percentage of 1 percent of the full value of property assessed within the county.

A county with a population of 200,000 or less as of January 1, 1990, may apply for reimbursement as follows:

If the county conducts only one homicide trial or hearing in a fiscal year, it may be reimbursed at 90 percent of the allowable costs, excluding normal salaries and expenses, incurred after it has first expended county funds in an amount determined by a property tax based formula. This threshold amount must be met only once for each trial or hearing. In subsequent years, normal salaries and expenses may also be reimbursed.

If the county conducts two or more homicide trials or hearings in a fiscal year, it may apply for reimbursement of 90 percent of its allowable costs in the first proceeding and 85 percent of its allowable costs in all subsequent proceedings after it has met the threshold.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8180 PAYMENT TO COUNTIES FOR COSTS OF HOMICIDE TRIALS—Continued

A county with a population exceeding 300,000 at the time of the 1980 decennial census may apply for reimbursement of 80 percent of its allowable costs which exceed a property tax based threshold up to a second threshold. Allowable costs which exceed this second threshold may be fully reimbursed.

Chapter 127, Statutes of 2000, added language that provides for 100 percent funding for costs above a second threshold of 0.0125 of 1 percent of the full value of property assessed within the county.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| County Homicide Hearing and Trial Costs (General Fund) | $2,354 | $6,000 | $7,500 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $7,500 | $6,000 | $7,500 |
| Unexpended balance, estimated savings | –5,146 | – | – |
| TOTALS, EXPENDITURES (Local Assistance) | $2,354 | $6,000 | $7,500 |

## 8260 CALIFORNIA ARTS COUNCIL

The California Arts Council was established by Chapter 1192, Statutes of 1975. Major statutory mandates to the Council are:

(1) To encourage artistic awareness, participation, and expression among the citizens of California.
(2) To help independent local groups develop their own arts programs.
(3) To promote the employment of artists and those skilled in crafts in both the public and private sectors.
(4) To provide for the exhibition of art works in public buildings throughout California.
(5) To enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

The Council consists of eleven members, nine appointed by the Governor and one each by the President pro Tempore of the Senate and the Speaker of the Assembly. The Council establishes general policy and approves program allocations. Panels of experts, independent of the Council, advise the Council in each grant program.

The Council stresses the development of community-based cultural activities in rural areas as well as in major metropolitan cultural centers. Almost all Arts Council grants programs require that the grantee provide, at a minimum, a match equal to the amount of the grant.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 05 Arts in Education | – | 1.9 | 2.4 | – | $10,124 | $13,201 |
| 10 Artists in Residence | 6.0 | 5.9 | 5.9 | $4,564 | 4,760 | 4,915 |
| 20 Organizational Support Grants | 8.8 | 10.7 | 12.1 | 9,642 | 11,643 | 13,373 |
| 25 Performing Arts Touring/Presenting Program | 2.4 | 2.8 | 2.8 | 1,192 | 1,207 | 1,259 |
| 30 Special Initiatives | 1.5 | 1.9 | 1.9 | 585 | 587 | 655 |
| 40 Statewide Projects | 4.1 | 4.5 | 6.4 | 3,301 | 3,300 | 5,051 |
| 45 California Challenge Program | 1.7 | 1.7 | 1.7 | 832 | 833 | 865 |
| 50 Administration | 11.5 | 13.5 | 13.5 | 1,151 | 1,132 | 1,658 |
| 70 Cultural Institutions Program | – | – | 3.8 | 20,170 | 48,926 | 35,290 |
| Distributed Administration | – | – | – | –1,151 | –1,132 | –1,658 |
| TOTALS, PROGRAMS | 36.0 | 42.9 | 50.5 | $40,286 | $81,380 | $74,609 |
| *0001 General Fund* | | | | *38,640* | *79,696* | *72,911* |
| *0078 Graphic Design License Plate Account* | | | | *707* | *787* | *851* |
| *0890 Federal Trust Fund* | | | | *890* | *837* | *787* |
| *0995 Reimbursements* | | | | *49* | *60* | *60* |

### 05 ARTS IN EDUCATION

#### Program Objectives Statement

The Arts in Education Program is created recognizing that the Arts are essential for the cultural, educational, social and economic development of California and that an education in the arts will prepare K–12 students for the challenges of the 21st century, improving their readiness for school and preparing them to enter a high-performance workforce.

Expenditures are for the Arts Partnerships in Education Program, Exemplary Arts Education Program, Arts in Education Demonstration Projects and Special Projects in Arts Education.

#### Major Budget Adjustment Proposed for 2001–02

- An increase of $3,000,000 General Fund for grants plus $40,000 General Fund and 0.5 positions for state operations to expand the arts in education program.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8260 CALIFORNIA ARTS COUNCIL—Continued

### 10 ARTISTS IN RESIDENCE

#### Program Objectives Statement

The Artists in Residence Program serves diverse communities by utilizing the services of art professionals to promote the arts as tools for learning and problem-solving. Artists work with students in K–12 public schools and other Californians in mental health, drug prevention, youth at risk, day care, latchkey, and other community programs to promote critical thinking, self esteem, and to provide positive role models. The Council leverages matching funds from partner agencies, such as elementary and secondary schools, community centers, institutions, and municipal agencies, to sponsor artists to demonstrate the creative process through workshops, classes, performances, exhibitions, and murals. Through this program, the highest quality contemporary and traditional folk artists are recognized for their achievements and encouraged to share their skills with the public. The traditional folk arts which preserve cultural traditions and promote cross-cultural understanding, are fostered and encouraged through project grants. The Local Arts Education Partnership grants encourage local art agencies, local education agencies, and community art resources to collaboratively plan projects to strengthen arts education and workforce development in California's public schools.

Technical assistance is provided to ensure artists effectively and professionally carry out their state contractual and business obligations, to help advance their own artistic careers and employment, and to provide arts and art skills to the citizenry and workforce of California.

#### Major Budget Adjustment Proposed for 2001–02

- An increase of $801,000 from the Graphic Design License Plate Account, $550,000 for local assistance and $251,000 for state operations, to continue arts education and local arts programming.

### 20 ORGANIZATIONAL SUPPORT GRANTS

#### Program Objectives Statement

Through matching grants which leverage local private and public dollars and technical assistance, the Organizational Support Program provides assistance to over 800 arts organizations throughout the state. These funds assist in strengthening management and artistic programming. Arts organizations provide educational opportunities, economic and workplace development, cross-cultural understanding, and enhanced human services to the broad community. The program also provides focused support for small multi-cultural arts organizations rooted in urban, inner city communities and rural regions of the state. Small grants and technical services are aimed at strengthening management capabilities and services to communities.

#### Major Budget Adjustment Proposed for 2001–02

- Additional local assistance funding is proposed in the amount of $1.5 million General Fund for grants to local arts organizations, $92,000 and 1.5 positions are also proposed to administer the expanded program.

### 25 PERFORMING ARTS TOURING/PRESENTING PROGRAM

#### Program Objectives Statement

The Touring and Presenting Program provides access to the arts for underserved rural, suburban and inner city communities by partially subsidizing performance fees for some of California's finest artists. This program helps many communities host such quality work. In addition to performances, which provide increased employment for artists, the program focuses on educational, economic and workplace development projects. State funds are matched on a 1:1 basis. Technical assistance in presenting the performing arts is also provided to artists and presenters from large and small communities.

### 30 SPECIAL INITIATIVES PROGRAM

#### Program Objectives Statement

The Special Initiatives Program develops and implements projects of strategic importance to the mission of the California Arts Council. Initiatives include technical assistance, training, research on important issues relating to the arts, strengthening the role of arts and culture as an economic development strategy in the state, and agency-wide strategic planning. Projects within the program assist the agency and the arts community to address changing technology and developing leadership in the field through public/private partnerships.

### 40 STATEWIDE PROJECTS

#### Program Objectives Statement

Through partnership programs with state agencies such as CalTrans, Commerce, Tourism, Youth Authority, Mental Health, and Corrections, as well as local city and county arts agencies, and redevelopment and economic development organizations, the arts are used as a catalyst for community development. Projects provide for the growth and development of local arts delivery systems, enhance arts in education programming, increase local private and public funding for the arts through matching incentives, and increase cultural participation among underserved populations in the state. Technical assistance is provided for professional, management, organizational and service development.

#### Major Budget Adjustment Proposed for 2001–02

- $1.5 million General Fund, Local Assistance is proposed to be allocated to local agencies to further develop the arts in California. $1 million will be allocated for local arts agencies, policies, and programs based on local community cultural planning and $500,000 is proposed for the commissioning of art in public spaces. An additional $168,000 and 2.0 positions is proposed to administer the program.

### 45 CALIFORNIA CHALLENGE PROGRAM

#### Program Objectives Statement

The California Challenge Program is designed to expand private sector support for the arts. Projects must demonstrate new private sector funding through matches of 3:1 and 2:1 private to state dollars. Projects require exceptional creative thinking about arts programming and encourage nonprofit arts organizations to use the arts as a tool in economic development strategies. The program aims to bring fresh approaches to artistic

*** Dollars in thousands, except in Salary Range.**

## 8260 CALIFORNIA ARTS COUNCIL—Continued

programming including the development of new work; to encourage initiatives that increase cross-cultural understanding and diversity in programs and audiences, including national and international cultural exchanges; and to develop new methods to increase and involve audiences in the arts, particularly arts in education, workforce development and new media. Technical assistance is provided to ensure the contracts are effectively and professionally executed.

### 50 ADMINISTRATION

#### Program Objectives Statement

The Arts Council administers its programs by providing support services in the following areas: grants management, contract and fiscal control, evaluation, personnel services, program planning, and federal funds use allocation. The Administration Section is primarily responsible for accounting, budgeting, data processing, contracts, office administration, personnel, and program analysis.

#### Major Budget Adjustment Proposed for 2001–02

- $520,000 increase in the Arts Council state operations funding that will provide critical resources to meet its mission and goals.

### 70 CULTURAL INSTITUTIONS PROGRAM

#### Program Objectives Statement

This program was established in 1998–99 to provide one-time grants to specific cultural institutions for educational services and capital outlay. Eligible expenditures for educational services include teacher training, curriculum development school site presentations or workshops, distance learning, and reduced price or free admissions. Eligible expenditures for capital outlay include expenditures for planning, working drawings and repair, renovation and construction of museum facilities. Technical assistance will also be provided to the grantees to help implement and carry out this program.

This program also includes the Tools for Tolerance teacher training program which annually provides teacher training on tolerance and diversity to approximately 5,000 educators in public schools for grades K–12.

#### Major Budget Adjustment Proposed for 2001–02

- $20 million Local Assistance is proposed to establish the Cultural Infrastructure Development Fund. Grant awards from this fund will be made pursuant to a review process to be developed by the Administration in consultation with the legislature. An additional $400,000 and 4.0 positions are proposed to administer the program.
- $3 million General Fund for the project ''Finding our Families, Finding Ourselves'' at the Simon Wiesenthal Center to encourage visitors to recognize the importance of their own family histories.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 05 ARTS IN EDUCATION

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | – | *$124* | *$201* |
| Totals, State Operations | – | $124 | $201 |
| Local Assistance: | | | |
| *0001 General Fund* | – | *10,000* | *13,000* |
| Totals, Local Assistance | – | $10,000 | $13,000 |

### PROGRAM REQUIREMENTS
### 10 ARTISTS IN RESIDENCE

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$455* | *$443* | *$558* |
| *0078 Graphic Design License Plate Account* | *184* | *237* | *251* |
| *0890 Federal Trust Fund* | *282* | *282* | *258* |
| Totals, State Operations | $921 | $962 | $1,067 |
| Local Assistance: | | | |
| *0001 General Fund* | *3,056* | *3,174* | *3,174* |
| *0078 Graphic Design License Place Account* | *471* | *500* | *550* |
| *0890 Federal Trust Fund* | *71* | *74* | *74* |
| *0995 Reimbursements* | *45* | *50* | *50* |
| Totals, Local Assistance | $3,643 | $3,798 | $3,848 |

### PROGRAM REQUIREMENTS
### 20 ORGANIZATIONAL SUPPORT GRANTS

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$907* | *$991* | *$1,224* |
| *0890 Federal Trust Fund* | *301* | *295* | *276* |
| Totals, State Operations | $1,208 | $1,286 | $1,500 |

*** Dollars in thousands, except in Salary Range.**

## 8260 CALIFORNIA ARTS COUNCIL—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | *$8,434* | *$10,357* | *$11,873* |
| Totals, Local Assistance | $8,434 | $10,357 | $11,873 |
| **PROGRAM REQUIREMENTS** | | | |
| **25 PERFORMING ARTS TOURING/PRESENTING PROGRAM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$292* | *$301* | *$356* |
| *0890 Federal Trust Fund* | *42* | *42* | *39* |
| *0995 Reimbursements* | *4* | *10* | *10* |
| Totals, State Operations | $338 | $353 | $405 |
| Local Assistance: | | | |
| *0001 General Fund* | *841* | *842* | *842* |
| *0890 Federal Trust Fund* | *13* | *12* | *12* |
| Totals, Local Assistance | $854 | $854 | $854 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 SPECIAL INITIATIVES** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$85* | *$87* | *$155* |
| Totals, State Operations | $85 | $87 | $155 |
| Local Assistance: | | | |
| *0001 General Fund* | *500* | *500* | *500* |
| Totals, Local Assistance | $500 | $500 | $500 |
| **PROGRAM REQUIREMENTS** | | | |
| **40 STATEWIDE PROJECTS** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$422* | *$442* | *$697* |
| *0078 Graphic Design License Plate Account* | *52* | *50* | *50* |
| *0890 Federal Trust Fund* | *48* | *48* | *44* |
| Totals, State Operations | $522 | $540 | $791 |
| Local Assistance: | | | |
| *0001 General Fund* | *2,646* | *2,676* | *4,176* |
| *0890 Federal Trust Fund* | *133* | *84* | *84* |
| Totals, Local Assistance | $2,779 | $2,760 | $4,260 |
| **PROGRAM REQUIREMENTS** | | | |
| **45 CALIFORNIA CHALLENGE PROGRAM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$73* | *$74* | *$106* |
| Totals, State Operations | $73 | $74 | $106 |
| Local Assistance: | | | |
| *0001 General Fund* | *759* | *759* | *759* |
| Totals, Local Assistance | $759 | $759 | $759 |
| **PROGRAM REQUIREMENTS** | | | |
| **70 CULTURAL INSTITUTIONS PROGRAM** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$108* | *$464* | *$525* |
| Totals, State Operations | $108 | $464 | $525 |
| Local Assistance: | | | |
| *0001 General Fund* | *20,062* | *48,462* | *34,765* |
| Totals, Local Assistance | $20,062 | $48,462 | $34,765 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $3,255 | $3,890 | $4,750 |
| Local Assistance | 37,031 | 77,490 | 69,859 |
| NET TOTALS, EXPENDITURES (All Programs) | $40,286 | $81,380 | $74,609 |

*** Dollars in thousands, except in Salary Range.**

## 8260 CALIFORNIA ARTS COUNCIL—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 36.0 | 43.2 | 43.2 | $1,837 | $2,176 | $2,232 |
| Total Adjustments | – | 2.0 | 10.0 | – | 80 | 467 |
| Estimated Salary Savings | – | −2.3 | −2.7 | – | −98 | −116 |
| Net Totals, Salaries and Wages | 36.0 | 42.9 | 50.5 | $1,837 | $2,158 | $2,583 |
| Staff Benefits | – | – | – | 358 | 332 | 452 |
| Totals, Personal Services | 36.0 | 42.9 | 50.5 | $2,195 | $2,490 | $3,035 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,060 | $1,400 | $1,715 |
| TOTALS, EXPENDITURES | | | | $3,255 | $3,890 | $4,750 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $2,230 | $2,616 | $3,697 |
| Allocation for employee compensation | 165 | 12 | – |
| Adjustment per Section 3.60 | −115 | −16 | – |
| Allocation per Section 16.00 | 1 | – | – |
| Transfer from Item 8260-103-0001, Budget Act of 1998, per Provision 2 | 200 | – | – |
| Transfer from Item 8260-101-0001, Budget Act of 2000, per Provision 4 | – | 100 | – |
| Prior year balances available: | | | |
| Item 8260-001-0001, Budget Act of 1998, as reappropriated by Item 8260-490, Budget Acts of 1999 and 2000 | 247 | 148 | – |
| Item 8260-001-0001, Budget Act of 1999, as reappropriated by Item 8260-490, Budget Acts of 2000 | – | 191 | 50 |
| Item 8260-001-0001, Budget of 2000, as reappropriated by Item 8260-490, Budget Act of 2001 | – | – | 75 |
| Totals Available | $2,728 | $3,051 | $3,822 |
| Balance available in subsequent years | −339 | −125 | – |
| Unexpended balance, estimated savings | −47 | – | – |
| TOTALS, EXPENDITURES | $2,342 | $2,926 | $3,822 |

### 0078 Graphic Design License Plate Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $276 | $288 | $301 |
| Allocation for employee compensation | 5 | – | – |
| Adjustment per Section 3.60 | −3 | −1 | – |
| Totals Available | $278 | $287 | $301 |
| Unexpended balance, estimated savings | −42 | – | – |
| TOTALS, EXPENDITURES | $236 | $287 | $301 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $617 | $617 | $617 |
| Budget adjustment | 56 | 50 | – |
| TOTALS, EXPENDITURES | $673 | $667 | $617 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $4 | $10 | $10 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $3,255 | $3,890 | $4,750 |

*** Dollars in thousands, except in Salary Range.**

## 8260 CALIFORNIA ARTS COUNCIL—Continued

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 05 Arts in Education | – | $10,000 | $13,000 |
| 10 Artists in Residence | $3,643 | 3,798 | 3,848 |
| 20 Organizational Support Grants | 8,434 | 10,357 | 11,873 |
| 25 Performing Arts Touring/Presenting Program | 854 | 854 | 854 |
| 30 Special Initiatives | 500 | 500 | 500 |
| 40 Statewide Projects | 2,779 | 2,760 | 4,260 |
| 45 California Challenge Program | 759 | 759 | 759 |
| 70 Cultural Institutions Program | 20,062 | 48,462 | 34,765 |
| TOTALS, EXPENDITURES | $37,031 | $77,490 | $69,859 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 101 Budget Act appropriation (grants and subventions) | $15,615 | $27,665 | $53,565 |
| Transfer to Item 8260-001-0001, Budget Act of 2000, per Provision 4 | – | –100 | – |
| 102 Budget Act appropriation (Cultural Institutions Program) | 2,000 | 5,000 | 5,000 |
| 103 Budget Act appropriation (Cultural Institutions) | 26,187 | 31,235 | – |
| Transfer to Item 8260-001-0001, per Provision 5 | –200 | – | – |
| 111 Budget Act appropriation (California Challenge Program) | 759 | 759 | 759 |
| Chapter 575, Statutes of 1999 (City of Sacramento) | 500 | – | – |
| Chapter 986, Statutes of 1999 | 3,000 | – | – |
| (Los Angeles Children's Museum) | (1,000) | – | – |
| (Hollywood Entertainment Museum) | (1,000) | – | – |
| (Chabot Observatory and Science Center) | (1,000) | – | – |
| Transfer to Legislative Claims (9670) | –4 | –16 | – |
| Prior year balances available: | | | |
| Item 8260-102-0001, Budget Act of 1998 | 10,566 | 7,049 | – |
| Item 8260-103-0001, Budget Act of 1999 | – | 14,529 | 2,529 |
| Item 8260-102-0001, Budget Act of 2000 | – | – | 1,000 |
| Item 8260-103-0001, Budget Act of 2000 | – | – | 6,235 |
| Chapter 1051, Statutes of 1998 | 1 | – | – |
| Chapter 575, Statutes of 1999 (City of Sacramento) | – | 414 | 1 |
| Totals Available | $58,424 | $86,535 | $69,089 |
| Balance available in subsequent years | –21,992 | –9,765 | – |
| Unexpended balance, estimated savings | –134 | – | – |
| TOTALS, EXPENDITURES | $36,298 | $76,770 | $69,089 |

### 0078 Graphic Design License Plate Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $475 | $500 | $550 |
| Unexpended balance, estimated savings | –4 | – | – |
| TOTALS, EXPENDITURES | $471 | $500 | $550 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $170 | $170 | $170 |
| Budget adjustment | 47 | – | – |
| TOTALS, EXPENDITURES | $217 | $170 | $170 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $45 | $50 | $50 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $37,031 | $77,490 | $69,859 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $40,286 | $81,380 | $74,609 |

*** Dollars in thousands, except in Salary Range.**

## 8260 CALIFORNIA ARTS COUNCIL—Continued

| FUND CONDITION STATEMENT<br>0078 Graphic Design License Plate Account [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $538 | $495 | $504 |
| Prior year adjustments | −75 | – | – |
| Balance, Adjusted | $463 | $495 | $504 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 143000 Personalized License Plates | 705 | 761 | 761 |
| 150300 Income from Surplus Money Investment | 34 | 35 | 35 |
| Totals, Revenues and Transfers | $739 | $796 | $796 |
| Totals, Resources | $1,202 | $1,291 | $1,300 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8260 California Arts Council: | | | |
| State Operations | 236 | 287 | 301 |
| Local Assistance | 471 | 500 | 550 |
| Totals, Disbursements | $707 | $787 | $851 |
| FUND BALANCE | $495 | $504 | $449 |
| Reserve for economic uncertainties | 495 | 504 | 449 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 36.0 | 43.2 | 43.2 | $1,837 | $2,176 | $2,232 |
| Salary adjustments | – | – | – | – | 2 | 3 |
| Totals, Adjusted Authorized Positions | 36.0 | 43.2 | 43.2 | $1,837 | $2,178 | $2,235 |
| Proposed New Positions: | | | | | | |
| Arts in Education: | | | | Salary Range | | |
| Asst Arts Grants Administrator | – | – | 0.5 | 3,255–3,957 | – | 21 |
| Statewide Projects: | | | | | | |
| Assoc Art Grants Administrator | – | – | 2.0 | 3,915–4,759 | – | 104 |
| Cultural Institution Program: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | 3.0 | 3,952–4,875 | – | 157 |
| Ofc Techn | – | – | 1.0 | 2,348–2,856 | – | 31 |
| Organizational Support Grants: | | | | | | |
| Asst Arts Grants Administrator | – | 2.0 | 3.5 | 3,255–3,957 | 78 | 151 |
| Totals, Proposed New Positions | – | 2.0 | 10.0 | – | $78 | $464 |
| Total Adjustments | – | 2.0 | 10.0 | – | $80 | $467 |
| TOTALS, SALARIES AND WAGES | 36.0 | 45.2 | 53.2 | – | $2,256 | $2,699 |

# 8300 AGRICULTURAL LABOR RELATIONS BOARD

The Agricultural Labor Relations Board (ALRB), which was created by the Agricultural Labor Relations Act of 1975, is responsible for conducting secret ballot elections to determine collective bargaining representation in agriculture and for investigating and resolving unfair labor practice disputes. The ALRB is patterned after the National Labor Relations Board and is divided into two major programs: (1) Board Administration of the Agricultural Labor Relations Act; and (2) General Counsel Administration of the Agricultural Labor Relations Act.

The workload of the ALRB is dependent upon the number of election petitions and unfair labor practice charges filed, the number of elections objected to, the ability of the board to settle unfair labor practice charges and complaints, the number of hearings, the number of appeals to the board from those hearings, and the number of appeals to the courts from board decisions.

## Authority

Labor Code, Sections 1140 to 1166.3.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8300 AGRICULTURAL LABOR RELATIONS BOARD—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Board Administration | 15.9 | 20.9 | 20.9 | $2,065 | $2,328 | $2,371 |
| 20 General Counsel Administration | 22.3 | 25.6 | 25.6 | 2,154 | 2,517 | 2,513 |
| 30 Administrative Services | 2.6 | 3.8 | 3.8 | 196 | 289 | 285 |
| Distributed Administrative Services | – | – | – | −196 | −289 | −285 |
| TOTALS, PROGRAMS | 40.8 | 50.3 | 50.3 | $4,219 | $4,845 | $4,884 |
| *0001 General Fund* | | | | *4,219* | *4,845* | *4,884* |

## 10 BOARD ADMINISTRATION

### Program Objectives Statement

Board Administration is provided by a five-member board which establishes policies and procedures for all activities related to the conduct of elections, the investigation and resolution of unfair labor practices, and the conduct of hearings on elections and unfair labor practices. It also conducts and/or reviews these hearings on elections, determines whether the results of such elections should be certified and adjudicates complaints issued in unfair labor practices.

Hearings may be conducted by the Board to determine whether particular union representation elections are to be certified. These hearings must be held in all cases where conduct which might warrant setting aside the election is shown in declarations. The Board rules on the statements of objections and whether or not the facts of the case warrant setting aside the election. In order to refine the process so that certification is not unduly delayed, the executive secretary's office screens all objections to assure that hearings are limited to only those points and issues which are pertinent to the case and which have not previously been held to be non-objectionable. The Board acts as an appellate body to which any of the parties involved may appeal the decision of a hearing officer and the Board must consider all appeals. Hearings are also held to consider challenged ballot issues, unit clarification issues and access issues, which are appealed to the Board through the same process.

The Agricultural Labor Relations Act defines unfair labor practices and authorizes the Board, if the preponderance of the evidence taken warrants such a decision, to issue orders to prevent or remedy such practices. Hearings on unfair labor practices are conducted before an administrative law judge according to the rules of evidence. Parties are represented by counsel, and a transcript is made of the proceedings. The administrative law judge writes a decision; if any party files exceptions, the decision is reviewed by the Board. While unfair labor practice cases are pending, the Board has the authority to petition the courts for appropriate temporary relief or restraining orders.

Board Administration is responsible for the overall policy direction of the agency, and the establishment of procedures to implement that policy. This involves the development and promulgation of regulations required to implement, interpret and make specific the provisions of the Agricultural Labor Relations Act. This process involves the formulation of regulations, the solicitation and analysis of public comments, the holding of public hearings and meetings, and the final adoption of regulations. Further, policy and procedure development is required internally to deal with any legal and practical problems in the administration of the Agricultural Labor Relations Act.

Ongoing administration of the Board's caseload requires the disposition of numerous and varied procedural and substantive motions. Executive secretary staff reviews motions to extend certification and deny access, as well as continuance and extension motions, and other miscellaneous motions related to the conduct of hearings or the progress of cases before the Board.

ALRB court litigation falls into two categories:

1. Review and Enforcement of Final ALRB Decisions.

Section 1160.8 of the act provides for judicial review of Board orders in unfair labor practice decisions. In those cases where a respondent seeks judicial review in the appropriate court of appeals, attorneys appear on behalf of the Board and defend its decisions. If the respondent has not filed a petition for review but refuses to comply with the Board's order, the Board will seek enforcement of its order in the appropriate Superior Court.

2. Suits Against the Board.

This litigation involves suits against the Board by employers and labor organizations seeking to enjoin the Board from conducting elections, counting ballots, proceeding with unfair labor practice trials or challenging Board regulations, policies or procedures. These suits are in the nature of petitions for declaratory and injunctive relief, writs of mandate, and writs of prohibition. They usually necessitate the Board appearing on a few hours' notice to defend against the issuance of a temporary restraining order and then, perhaps a week later, appearing in a hearing on an order to show cause. These suits have also resulted in appellate litigation where it has been necessary to seek extraordinary appellate review in the appropriate State Court of Appeal or the California Supreme Court in order to stay the effect of an adverse ruling in a Superior Court.

## 20 GENERAL COUNSEL ADMINISTRATION

### Program Objectives Statement

The objectives of the General Counsel Administration are to conduct fair, secret ballot elections and to investigate and bring resolution or prosecution in unfair labor practices. The general counsel is responsible for implementing the provisions of the act and rules and regulations of the Board in the conduct of elections, challenges to ballots, court litigation, and investigation, issuance of complaints and prosecution before the Board of unfair labor practice charges. The general counsel is also responsible for compliance—the process by which remedies prescribed by the Board are administratively acted on. The staff of the regional offices have the primary responsibility, under the direction and coordination of the general counsel, for the activities related to elections and unfair labor practices, for seeking temporary injunctive relief in unfair labor practice cases, and for ensuring that compliance has been implemented.

The ALRB conducts secret ballot elections in which agricultural employees can vote to designate a collective bargaining unit.

An unfair labor practice charge may be filed by an individual, an employer, or a labor organization alleging that an agricultural employer or a labor organization is engaging in an unfair labor practice in violation of Section 1153 or 1154 of the Act. The charge is filed in a regional office. After an investigation, the regional office determines whether the investigation has established sufficient evidence to warrant issuance of a complaint. If the determination is made to issue a complaint, the charged party is contacted and requested to enter into a settlement agreement to resolve the alleged unfair labor practices. In the absence of settlement, the matter is set for hearing before an administrative law judge. These hearings have ranged from one day to several months in duration, depending upon the complexity of the issues. Exceptions to the administrative law judge's decision may be taken by either party.

*** Dollars in thousands, except in Salary Range.**

## 8300 AGRICULTURAL LABOR RELATIONS BOARD—Continued

Compliance is the process by which Board ordered remedies in unfair labor practice decisions are effectuated. While the remedies that the Board can order vary, typically they include a requirement that the charging party (or parties) and their fellow employees be informed by a notice of the results of the administrative hearing. This requirement is fulfilled by a posting, mailing and a reading of the notice to the employees by a field examiner. Another usual remedy that the Board orders is backpay where the unlawful conduct of an employer or labor organization has resulted in the loss of work by the charging party. Additionally, if the loss of work resulted from a termination of employment, the Board will order the charging party reinstated to his or her former position. Where the employer has refused to collectively bargain in good faith with a labor organization, the Board may order the makewhole remedy which is designed to recompense the employees for what they might have earned had the employer bargained in good faith.

The compliance activity of the general counsel will be handled by the regional office that handled the underlying unfair labor practice. In backpay cases, the field examiner will also interview the discriminatees in order to determine what if any mitigation by way of interim employment exists. Once the amounts are calculated, the regional office contacts the employer or labor organization and requests voluntary payment. The employer or labor organization can request settlement discussions. In the absence of voluntary payment or settlement, the regional office prepares a formal ''specification'' of the amount owed and the matter is set for hearing before an administrative law judge. The process after hearing is identical to unfair labor practices.

## 30 ADMINISTRATIVE SERVICES

### Program Objectives Statement

Administrative Services provides the full range of staff services including personnel, accounting, budgeting, management analysis, computer support, collection of statistics and service and supply to the two headquarters offices and the four regional offices.

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 40.8 | 52.5 | 52.5 | $2,747 | $3,599 | $3,652 |
| Estimated Salary Savings | – | −2.2 | −2.2 | – | −180 | −183 |
| Net Totals, Salaries and Wages | 40.8 | 50.3 | 50.3 | $2,747 | $3,419 | $3,469 |
| Staff Benefits | – | – | – | 458 | 636 | 636 |
| Totals, Personal Services | 40.8 | 50.3 | 50.3 | $3,205 | $4,055 | $4,105 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,014 | $790 | $779 |
| TOTALS, EXPENDITURES | | | | $4,219 | $4,845 | $4,884 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $4,487 | $4,846 | $4,884 |
| Allocation for employee compensation | 290 | 18 | – |
| Adjustment per Section 3.60 | −206 | −33 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 14 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $4,572 | $4,845 | $4,884 |
| Unexpended balance, estimated savings | −353 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $4,219 | $4,845 | $4,884 |

## 8320 PUBLIC EMPLOYMENT RELATIONS BOARD

The objective of the Public Employment Relations Board (PERB) is to promote the improvement of personnel management and employer-employee relations by working to: (1) prevent and remedy unlawful acts and conduct of employers and employee organizations; and (2) determine and implement, through secret ballot elections, the free, democratic choice by employees as to whether they wish to be represented by a union in dealing with public school employers (pre-kindergarten–Community Colleges), the State of California, the University of California, the California State University and Hastings College of the Law and public agencies subject to the Meyers-Milias-Brown Act.

### Authority

Chapter 961, Statutes of 1975; Chapter 1159, Statutes of 1977; Chapter 744, Statutes of 1978.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8320 PUBLIC EMPLOYMENT RELATIONS BOARD—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 11 Public Employment Relations | 37.3 | 45.0 | 46.1 | $4,443 | $4,448 | $4,720 |
| *0001 General Fund* | | | | *4,434* | *4,436* | *4,708* |
| *0995 Reimbursements* | | | | *9* | *12* | *12* |

## 11 PUBLIC EMPLOYMENT RELATIONS

### Program Objectives Statement

The objective of this program is to resolve disputes that may arise between employees, employee organizations and employers concerning their rights under the three public sector collective bargaining statutes administered by the Public Employment Relations Board (PERB) and the Meyers-Milias-Brown Act. The three statutes are: the Educational Employment Relations Act (EERA); the Ralph C. Dills Act; and the Higher Education Employer-Employee Relations Act (HEERA). Disputes within the Board's jurisdiction may involve the determination of appropriate units for collective bargaining or the conduct of an election to certify or decertify a union as exclusive representative. Disputes also may involve allegations of interference with or retaliation for the exercise of the right of employees to participate in or refuse to participate in union activities. Other disputes involve unfair practice charges alleging unlawful actions in the areas of bargaining, conduct, retaliations for actions protected by the statutes, refusals to bargain or refusals to participate in impasse procedures and unlawful changes in terms and conditions of employment subject to collective bargaining.

Where a dispute involves the employee decision about whether to have union representation, the PERB determines appropriate units for collective bargaining. The PERB conducts elections for the selection and decertification of exclusive bargaining representatives, and to determine employees' desires concerning certain organizational security provisions.

Where a dispute involves an allegation of an unfair practice by an employer or a union, the PERB reviews the charge to determine if it properly alleges a violation of law. If a violation is properly alleged, the PERB issues a complaint which precipitates an attempt by PERB staff to settle the dispute. If settlement does not occur, the case proceeds to an evidentiary hearing before a PERB administrative law judge who issues a proposed decision following completion of the hearing process. Proposed decisions may be appealed by the parties to the Board itself. Proposed decisions that are not appealed become binding upon the parties to the case.

All final board decisions are assigned to the PERB's regional office staff to monitor and ensure compliance. This includes resolution of disputes about what actions constitute compliance.

The PERB assists the parties in reaching agreements through a statutory mediation process, and, when necessary, through fact finding under EERA and HEERA.

The general counsel advises the Board in all litigation including judicial review of final Board orders in unfair practice cases, actions seeking compliance with or enforcement of Board orders, Superior Court injunctive relief proceedings and actions involving the Board's jurisdiction.

In addition to the duties noted above, Chapter 901, Statutes of 2000 (SB 739) expanded the PERB's jurisdiction to include resolving disputes and enforcing the statutory duties and rights of employers and employees under the Meyers-Milias-Brown Act and would specifically include resolving disputes alleging violation of rules and regulations adopted by a public agency. Chapter 901, Statutes of 2000 also requires the PERB to enforce and apply rules adopted by a public agency concerning unit determinations, representation, recognition, and elections.

### Major Budget Adjustment Proposed for 2001–02

- $250,000 General Fund and 2.0 positions to implement Chapter 901, Statutes of 2000 (SB 739) which requires the Public Employment Relations Board to resolve employment-related disputes for local public entities.

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 37.3 | 47.0 | 47.0 | $2,714 | $3,384 | $3,437 |
| Total Adjustments | – | – | 2.0 | – | – | 142 |
| Estimated Salary Savings | – | –2.0 | –2.9 | – | –169 | –185 |
| Net Totals, Salaries and Wages | 37.3 | 45.0 | 46.1 | $2,714 | $3,215 | $3,394 |
| Staff Benefits | – | – | – | 424 | 526 | 531 |
| Totals, Personal Services | 37.3 | 45.0 | 46.1 | $3,138 | $3,741 | $3,925 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,305 | $707 | $795 |
| TOTALS, EXPENDITURES | | | | $4,443 | $4,448 | $4,720 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $4,411 | $4,435 | $4,708 |
| Allocation for employee compensation | 234 | 34 | – |

*** Dollars in thousands, except in Salary Range.**

## 8320 PUBLIC EMPLOYMENT RELATIONS BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Adjustment per Section 3.60 | −$194 | −$33 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Totals Available | $4,454 | $4,436 | $4,708 |
| Unexpended balance, estimated savings | −20 | – | – |
| TOTALS, EXPENDITURES | $4,434 | $4,436 | $4,708 |
| **0995 Reimbursements** | | | |
| Reimbursements | $9 | $12 | $12 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $4,443 | $4,448 | $4,720 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 37.3 | 47.0 | 47.0 | $2,714 | $3,384 | $3,437 |
| Proposed New Positions: | | | | Salary Range | | |
| Adm Law Judge I | – | – | 1.0 | 6,738–8,149 | – | 81 |
| Pub Empt Relations Counsel | – | – | 1.0 | 5,071–7,039 | – | 61 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $142 |
| Total Adjustments | – | – | 2.0 | – | – | $142 |
| TOTALS, SALARIES AND WAGES | 37.3 | 47.0 | 49.0 | $2,714 | $3,384 | $3,579 |

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS

The objective of the Department of Industrial Relations is to protect the workforce in California, improve working conditions, and advance opportunities for profitable employment. The Department is continually working toward this objective by enforcing workers' compensation insurance laws and adjudicating workers' compensation insurance claims, working to prevent industrial injuries and deaths, promulgating and enforcing laws relating to wages, hours, and conditions of employment, promoting apprenticeship and other on-the-job training, assisting in negotiations with parties in dispute when a work stoppage is threatened, and by analyzing and disseminating statistics which measure the condition of labor in the State.

| SUMMARY OF PROGRAM REQUIREMENTS | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 10 | Self-Insurance Plans | 24.4 | 26.7 | 26.7 | $2,466 | $2,803 | $2,818 |
| 20 | Mediation/Conciliation | 19.0 | 19.5 | 20.5 | 1,913 | 1,953 | 2,217 |
| 30 | Workers' Compensation | 1,003.4 | 1,038.3 | 1,022.5 | 96,011 | 98,030 | 101,453 |
| 35 | Industrial Medical Council | 27.7 | 35.3 | 38.2 | 2,931 | 3,871 | 4,140 |
| 36 | Commission on Health and Safety and Workers' Compensation | 5.9 | 8.5 | 8.0 | 982 | 1,816 | 1,209 |
| 40 | DOSH | 659.5 | 778.2 | 760.0 | 66,996 | 73,548 | 77,626 |
| 50 | DLSE | 377.4 | 456.1 | 455.1 | 34,309 | 39,873 | 40,237 |
| 60 | DAS | 49.1 | 67.0 | 64.7 | 4,458 | 5,421 | 8,177 |
| 70 | DLSR | 35.4 | 48.0 | 48.0 | 3,201 | 4,397 | 4,268 |
| 80 | Claims, Wages, and Contingencies | – | – | – | 22,406 | 22,712 | 23,942 |
| 94 | Administration | 246.4 | 280.2 | 285.4 | 19,295 | 21,972 | 25,535 |
| | Distributed Administration | – | – | – | −19,295 | −21,972 | −25,535 |
| 95 | Loan Repayment (Interest) | – | – | – | – | – | 262 |
| 98 | State-Mandated Local Programs | – | – | – | 711 | 6,029 | 1,467 |
| TOTALS, PROGRAMS | | 2,448.2 | 2,757.8 | 2,729.1 | $236,384 | $260,453 | $267,816 |
| *0001* | *General Fund* | | | | *163,965* | *168,602* | *174,650* |
| *0023* | *Farm Labor Contractor's Special Account* | | | | *12* | *107* | *27* |
| *0079* | *Industrial Medicine Fund* | | | | *1,065* | *1,717* | *1,750* |
| *0096* | *Cal-OSHA Targeted Inspection and Consultation Fund* | | | | *7,546* | *7,606* | *7,746* |
| *0132* | *Workers' Compensation Managed Care Fund* | | | | *52* | *219* | *219* |
| *0216* | *Industrial Relations Construction Industry Enforcement Fund* | | | | *49* | *52* | *53* |
| *0222* | *Workplace Health and Safety Revolving Fund* | | | | *982* | *1,816* | *1,209* |
| *0223* | *Workers' Compensation Administration Revolving Fund* | | | | *9,214* | *19,139* | *19,221* |
| *0284* | *Loss Control Certification Fund* | | | | *758* | *791* | *795* |
| *0368* | *Asbestos Consultant Certification Account* | | | | *321* | *322* | *334* |
| *0369* | *Asbestos Training Approval Account* | | | | *105* | *236* | *241* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 0396 | Self-Insurance Plans Fund | $2,386 | $2,710 | $2,722 |
| 0452 | Elevator Safety Inspection Account | 7,029 | 7,200 | 7,315 |
| 0453 | Pressure Vessel Account | 2,569 | 3,512 | 3,538 |
| 0481 | Garment Manufacturers Special Account | 8 | 50 | 50 |
| 0514 | Employment Training Fund | 2,729 | 3,126 | 3,158 |
| 0571 | Employees' Account, Uninsured Employers' Fund | 5,938 | 5,960 | 5,278 |
| 0890 | Federal Trust Fund | 26,868 | 26,953 | 27,296 |
| 0913 | Industrial Relations Unpaid Wage Fund | 1,289 | 1,453 | 1,457 |
| 0995 | Reimbursements | 3,499 | 3,406 | 3,406 |
| 3002 | Electrician Certification Fund | – | 403 | 1,814 |
| 3003 | Permanent Amusement Ride Safety Inspection Fund | – | 2,049 | 1,900 |
| 3004 | Garment Industry Regulations Fund | – | 3,024 | 2,360 |
| 3022 | Apprenticeship Training Contribution Fund | – | – | 1,277 |

## 10 REGULATION OF WORKERS' COMPENSATION SELF-INSURANCE PLANS

### Program Objectives Statement

An employer or group of employers may meet the statutory requirement of providing workers' compensation benefits for employees by obtaining a certificate of consent to self-insure. A certificate is issued after the employer has proved to the satisfaction of the Director of Industrial Relations that an effective safety program is being maintained, that the employer has the financial ability to pay normal and catastrophic losses over a long period of time, and has the ability to provide prompt and competent delivery of benefits. A security deposit is required from private employers, with the amount of the deposit revised periodically. Employer estimates of future liabilities are audited on a three-year cycle to make certain the deposit posted is adequate. In addition, self-insurers' financial strength is periodically reevaluated.

Companies that provide workers' compensation claims handling services for self-insured employers and claims adjusters that do actual claims handling for self-insurers are also licensed by this program.

### Authority

Labor Code Sections 129 and 3700 through 3705 inclusive.

## 20 CONCILIATION OF EMPLOYER-EMPLOYEE DISPUTES

### Program Objectives Statement

The objective of the program is to promote sound labor relations between unions and employers, and to protect the economy of the State. The staff of the program work for the well-being of the citizens of the State by preventing or minimizing work stoppages and interruptions of business or public services. This mission is accomplished by making skilled professionals available to disputing parties with the objective of reaching voluntary settlements that are within the means of those concerned. Disputes can range from grievances of individual workers or employers to full-scale strikes.

### Major Budget Adjustment Proposed for 2001–02

- $252,000 from the General Fund and 1.9 personnel years to increase mediation services for resolving labor disputes.

### Authority

Labor Code Sections 65 and 66, various Public Utilities Code sections covering transit districts, the Meyers-Milias-Brown Act, Educational Employment Relations Act, State Employer-Employee Relations Act, Higher Education Employer-Employee Relations Act, and Agricultural Labor Relations Act.

## 30 DIVISION OF WORKERS' COMPENSATION

### Program Objectives Statement

The Division of Workers' Compensation monitors administration of workers' compensation claims, attempts to minimize disputes, and provides administrative and judicial services to resolve disputes that arise in connection with claims for workers' compensation benefits. The Division is also responsible for conducting audits of workers' compensation claims administrators to ensure compliance with the benefit delivery system required by the Labor Code, rules and regulations, authorizing payment of workers' compensation benefits to injured workers from the Uninsured Employers' Fund and the Subsequent Injuries Fund, administering a workers' compensation information system to facilitate the evaluation of the efficiency and effectiveness of the benefit delivery system; and certifying health care organizations to provide managed care to injured workers.

### Major Budget Adjustments Proposed for 2001–02

- $1,772,000 from the General Fund and $443,000 from the Workers' Compensation Administration Revolving Fund to fully fund existing positions and provide funding for workplace safety issues.
- $1,097,000 from the General Fund and $274,000 from the Workers' Compensation Administration Revolving Fund to make 17.0 limited-term positions (16.1 personnel years) permanent in the Claims Adjudication and Audit Units.
- $1,736,000 from the General Fund and 1.0 personnel year to increase outreach efforts to educate employers regarding labor laws.
- 16.1 personnel years eliminated based on review of vacant positions.

### Authority

Article XIV, Section 4 of the California Constitution; and Labor Code Divisions 1, 4, and 5.

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

### 35 INDUSTRIAL MEDICAL COUNCIL

#### Program Objectives Statement

The Industrial Medical Council (IMC) was created by the Margolin-Bill Greene Workers' Compensation Reform Act of 1989 to provide an independent policymaking and rulemaking authority for the medical component of the workers' compensation system. The IMC is responsible for establishing standards for improving health care furnished to injured employees, developing protocols for the form and content of medical-legal evaluations, developing guidelines for medical treatment of common industrial injuries, and conducting studies in the field of rehabilitation. The IMC is also responsible for monitoring and measuring the cost of medical services to injured workers, recommending reasonable fees for physicians performing services under Division 4 of the Labor Code by advising the Administrative Director on development and maintenance of the Official Medical and Medical-Legal Fee Schedules, and establishing standards and administering programs for medical and chiropractic evaluations in workers' compensation cases. The IMC facilitates dispute resolution by providing medical consultations on workers' compensation cases by utilizing outside Medical Examiners (Independent Medical Examiners and Qualified Medical Examiners), by providing advice to the Workers' Compensation Appeals Board on medical matters, and by providing information, education, and liaison to the entire Workers' Compensation community.

These efforts are facilitated by major publications such as the Physician's Guide, the IMC's quarterly newspaper—The Medical Examiner, and by the IMC website.

The IMC also maintains a program to educate, certify, appoint and reappoint Qualified Medical Evaluators (QMEs). The IMC developed and maintains a computerized system for tracking complaints against QMEs, enforces laws that prohibit the fraudulent and misleading advertising that encourages inappropriate workers' compensation claims, and disciplines those QMEs who fail to meet established standards.

#### Major Budget Adjustment Proposed for 2001–02

- $235,000 from the General Fund and $12,000 from the Industrial Medicine Fund and 2.8 personnel years to improve education of Qualified Medical Evaluators (QME's).

#### Authority

Labor Code Section 139.

### 36 COMMISSION ON HEALTH AND SAFETY AND WORKERS' COMPENSATION

#### Program Objectives Statement

The Commission on Health and Safety and Workers' Compensation is responsible for: 1) approving the revised schedule for determining standard disability ratings; 2) issuing an annual report on the state of the workers' compensation system, including recommendations for administrative or legislative modifications which would improve the operation of the system; 3) conducting a continuing examination of the workers' compensation system and the State's activities to prevent industrial injuries and occupational diseases; 4) reviewing and approving applications from employer and employee organizations for grants to assist in establishing effective occupational injury and illness prevention; and 5) examining other states' workers' compensation programs, and activities to prevent industrial injuries and occupational diseases.

#### Major Budget Adjustment Proposed for 2001–02

- $50,000 from the Workplace Health and Safety Revolving Fund to fund legal representation, research and counsel for the Commission.

#### Authority

Division 1, Chapter 3 of the Labor Code (commencing with Section 75); Labor Code Section 4660 (d).

### 40 THE PREVENTION OF INDUSTRIAL INJURIES AND DEATHS TO CALIFORNIA WORKERS

#### Program Objectives Statement

To ensure safe and healthful working conditions for California's labor force and assist in reducing workplace injuries and illnesses and workers' compensation losses, this program enforces safety and health standards, investigates the causes of occupational deaths and injuries, and assists employers to maintain safe and healthful working conditions by providing consultation services, research, information, education and training in the field of occupational safety and health.

#### Major Budget Adjustments Proposed for 2001–02

- $2,500,000 from the General Fund and 2.8 personnel years to administer a workers' safety training grant program for employees in high hazard industries.
- $600,000 from the General Fund to fund the inspection of public sector and other non-billable activities for the Pressure Vessel Program.
- 20.6 personnel years eliminated based on review of vacant positions.

#### Authority

Labor Code Division 1, Chapters 6 and 6.5, and 7.5; and Division 5, Part 1 through Part 10 inclusive.

### 50 ENFORCEMENT AND PROMULGATION OF LAWS RELATING TO WAGES, HOURS, CONDITIONS OF EMPLOYMENT, AND LICENSING AND ADJUDICATION

#### Program Objectives Statement

The size of California's labor force over which the Division of Labor Standards Enforcement (DLSE) and the Industrial Welfare Commission (IWC) have jurisdiction is approximately 13 million workers, including all private sector employees but excluding public sector employees such as those employed by the State, counties, cities, municipalities, and other subdivisions of the State. The IWC promulgates Industrial Welfare Commission Orders. The DLSE's objectives are: (1) the enforcement and interpretation of Industrial Welfare Commission Orders and sections of the Labor Code which relate to wages, hours of work, and conditions of employment, including anti-discrimination laws relating to employees engaged in protected activities; (2) the determination and collection of unpaid wages; (3) the licensing of farm labor contractors, industrial homework firms, talent agencies, the registration of garment manufacturers, the certification of studio teachers, and the registration of entities and individuals using minors in door-to-door sales; and (4) field enforcement of laws governing public works, workers' compensation insurance, child labor, unlicensed contractors, and the cash payment of wages without required deductions.

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

### Major Budget Adjustments Proposed for 2001–02

- $3,895,000 from the General Fund and a $565,000 reduction to the Garment Industries Fund to make 39 expiring limited-term positions (37 personnel years) permanent and to redirect 11 positions for clerical support.
- $381,000 from the General Fund and 2.9 personnel years to provide employers with administrative hearings under reduced timeframes and expanded scope related to Public Works violations pursuant to Chapter 954, Statutes of 2000.
- $42,000 from the General Fund to provide sufficient facilities for the examination and licensing of farm labor contractors at the Fresno office pursuant to Chapter 877, Statutes of 2000.

### Authority

State Constitution, Section 1, Article XIV; Labor Code Division 1, Chapter 2; Chapter 4, Section 95; Division 2, Part 4, Chapter 1; Division 2, Part 10; Division 2, Part 6.

## 60 PROMOTION, DEVELOPMENT, AND ADMINISTRATION OF APPRENTICESHIP AND OTHER ON-THE-JOB TRAINING

### Program Objectives Statement

The Division of Apprenticeship Standards (DAS) carries out the requirements of the Labor Code pertaining to apprenticeship programs, and the rules and regulations established by the California Apprenticeship Council. Its activities include the promotion, development and expansion of on-the-job training and apprenticeship programs the Electrician Certification Program, with both public and private employers, and the enforcement of Section 1777.5 of the Labor Code which requires contractors on public works projects to employ apprentices in a ratio of one apprentice hour for every five journeymen hours worked. The DAS also provides training for inmates and wards of correctional institutions to extend to the outside labor market after their release, cooperation with other state agencies such as the California Youth Authority and the Department of Rehabilitation, and administration of the Electrician Certification Program.

### Major Budget Adjustments Proposed for 2001–02

- $351,000 from the General Fund to make 5.0 expiring limited-term positions (4.8 personnel years) permanent for administration of apprenticeship programs.
- $1,500,000 from the Electrical Certification Fund to provide funding for proctoring competency exams to electricians across the state.
- $170,000 from the General Fund and $1,277,000 from the Apprenticeship Training Contribution Fund and 1.4 personnel years and funding for 1.0 personnel year redirected to provide grants pursuant to Chapter 875, Statutes of 2000.

### Authority

Labor Code Division 2, Part 7, Chapter 1, Sections 1777.5 and 1777.6; Division 3, Chapter 4.

## 70 LABOR FORCE RESEARCH AND DATA DISSEMINATION

### Program Objectives Statement

Labor market analysis requires ongoing review of statistical and research information to provide an understanding of on-the-job safety and health as well as economic and industrial developments in both the private and public sectors. The program objectives are to measure the effectiveness of accident and illness prevention efforts in the workplace, provide accurate information pertaining to industrial relations from an impartial source on short notice, and to guide legislative and administrative decisions by State and local government. These objectives are met through the determination of occupational injuries and illnesses statistics, and research programs which provide information on wage rates, working conditions, fringe benefits, work injuries, occupational diseases, industrial disputes, industrial relations practices, cost-of-living, and other issues related to labor. This program continues to answer requests for information through the industrial relations research library. Requests from private sector, government agencies, schools, libraries and labor organizations are answered daily. In addition, the division maintains files for over 3,400 private sector agreements.

### Authority

Labor Code: Division 1, Chapter 7, Sections 150–156; Division 2, Part 4, Chapter 1, Section 1202; Division 2, Part 7, Chapter 1, Article 2, Sections 1770–1773.8; Division 2, Part 8, Chapter 1, Section 2012; Division 5, Part 1, Chapter 2, Sections 6409–6413.5, 6314.1, 6401.7; Public Utilities Code Sections 465–467 and Government Code Section 14920.

## 80 PAYMENT OF CLAIMS, WAGES, AND CONTINGENCIES

Program expenditures reflect the payment of claims, wages, or contingency benefits and provide for payment of workers' compensation benefits to employees whose illegally uninsured employers have failed to make benefit payments required under the Labor Code. The Labor Commissioner also collects any unpaid wages or monetary benefits due and unpaid to any worker in California without an assignment from such worker. If the Labor Commissioner is unable to locate any worker for whom unpaid wages or benefits have been collected, the wages escheat to the State for deposit pursuant to the Unclaimed Property Law.

The Labor Code establishes special accounts in which the Labor Commissioner deposits twenty-five dollars of each Farm Labor Contractor and each Garment Manufacturer's annual license fee. Funds from these accounts are to be disbursed for any damages to employees which exceed the limits of a licensee's bond or time certificate.

### Major Budget Adjustment Proposed for 2001–02

- $310,000 from the Uninsured Employers' Fund to make 3.0 expiring limited-term positions (2.9 personnel years) permanent to protect Uninsured Employers' Fund interests before federal and state courts in complex workers compensation and bankruptcy cases.

### Authority

Labor Code: Article 2, Sections 3710 through 3732; Sections 96.6 and 96.7; Section 1684; and Section 2675.5.

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

### 98 STATE-MANDATED LOCAL PROGRAMS

**Program Objectives Statement**

The objective of this program is to provide funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs they incur in complying with certain state-mandated local programs. Funding for two ongoing mandates is proposed for inclusion in the Budget Act.

---

### PROGRAM BUDGET DETAIL

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | | | | |
| **10 REGULATION OF WORKERS' COMPENSATION SELF-INSURANCE PLANS** | | | | | | |
| Totals, Regulation of Workers' Compensation Self-Insurance Plans | 24.4 | 26.7 | 26.7 | $2,466 | $2,803 | $2,818 |
| *0001 General Fund* | | | | *80* | *93* | *96* |
| *0396 Self-Insurance Plans Fund* | | | | *2,386* | *2,710* | *2,722* |
| **ELEMENT REQUIREMENTS** | | | | | | |
| 10.11 Regulation and Supervision of Self-Insurers Among Private Employers | | | | | | |
| *0396 Expenditures (Self-Insurance Plans Fund)* | *23.4* | *25.7* | *25.7* | *2,386* | *2,710* | *2,722* |
| 10.21 Regulation and Supervision of Self-Insurers Among Public Employers | | | | | | |
| *0001 Expenditures (General Fund)* | *1.0* | *1.0* | *1.0* | *80* | *93* | *96* |
| **PROGRAM REQUIREMENTS** | | | | | | |
| **20 CONCILIATION OF EMPLOYER-EMPLOYEE DISPUTES** | | | | | | |
| Totals, Conciliation of Employer-Employee Disputes | 19.0 | 19.5 | 20.5 | $1,913 | $1,953 | $2,217 |
| *0001 General Fund* | | | | *1,913* | *1,953* | *2,217* |
| 20.10 Conciliation of Employer-Employee Disputes | | | | | | |
| *0001 Expenditures (General Fund)* | *19.0* | *19.5* | *20.5* | *1,913* | *1,953* | *2,217* |
| **PROGRAM REQUIREMENTS** | | | | | | |
| **30 DIVISION OF WORKERS' COMPENSATION** | | | | | | |
| Totals, Division of Workers' Compensation | 1,003.4 | 1038.3 | 1,022.5 | $96,011 | $98,030 | $101,453 |
| *0001 General Fund* | | | | *85,327* | *77,425* | *80,746* |
| *0132 Workers' Compensation Managed Care Fund* | | | | *52* | *219* | *219* |
| *0223 Workers' Compensation Administration Revolving Fund* | | | | *8,749* | *18,632* | *18,726* |
| *0571 Employees' Account, Uninsured Employers' Fund* | | | | *486* | *508* | *516* |
| *0995 Reimbursements* | | | | *1,397* | *1,246* | *1,246* |
| **ELEMENT REQUIREMENTS** | | | | | | |
| 30.10 Claims Adjudication Unit | | | | | | |
| Expenditures | 876.6 | 905.0 | 890.2 | 76,382 | 77,035 | 80,343 |
| *0001 General Fund* | | | | *68,977* | *61,658* | *64,881* |
| *0223 Workers' Compensation Administration Revolving Fund* | | | | *6,863* | *14,986* | *15,071* |
| *0995 Reimbursements* | | | | *542* | *391* | *391* |
| 30.20 Workers' Compensation Appeals Board | | | | | | |
| Expenditures | 31.6 | 38.2 | 38.2 | 3,600 | 3,966 | 3,972 |
| *0001 General Fund* | | | | *3,182* | *3,214* | *3,230* |
| *0223 Workers' Compensation Administration Revolving Fund* | | | | *418* | *752* | *742* |
| 30.60 Office of Benefit Assistance and Enforcement | | | | | | |
| Expenditures | 29.3 | 31.4 | 30.4 | 7,990 | 10,025 | 10,107 |
| *0001 General Fund* | | | | *7,191* | *8,120* | *8,187* |
| *0223 Workers' Compensation Administration Revolving Fund* | | | | *799* | *1,905* | *1,920* |
| 30.70 Claims Unit | | | | | | |
| Expenditures | 64.1 | 61.8 | 61.8 | 7,987 | 6,785 | 6,812 |
| *0001 General Fund* | | | | *5,977* | *4,433* | *4,448* |
| *0223 Workers' Compensation Administration Revolving Fund* | | | | *669* | *989* | *993* |
| *0571 Employees' Account, Uninsured Employers' Fund* | | | | *486* | *508* | *516* |
| *0995 Reimbursements* | | | | *855* | *855* | *855* |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| 30.80 Managed Care Unit | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Expenditures | 1.8 | 1.9 | 1.9 | $52 | $219 | $219 |
| *0132 Workers' Compensation Managed Care Fund* | | | | *52* | *219* | *219* |

### PROGRAM REQUIREMENTS
### 35 INDUSTRIAL MEDICAL COUNCIL

| | | | | | | |
|---|---|---|---|---|---|---|
| Expenditures | 27.7 | 35.3 | 38.2 | $2,931 | $3,871 | $4,140 |
| *0001 General Fund* | | | | *1,401* | *1,647* | *1,895* |
| *0079 Industrial Medicine Fund* | | | | *1,065* | *1,717* | *1,750* |
| *0223 Workers' Compensation Administration Revolving Fund* | | | | *465* | *507* | *495* |

### PROGRAM REQUIREMENTS
### 36 COMMISSION ON HEALTH AND SAFETY AND WORKERS' COMPENSATION

| | | | | | | |
|---|---|---|---|---|---|---|
| Expenditures | 5.9 | 8.5 | 8.0 | $982 | $1,816 | $1,209 |
| *0222 Workplace Health and Safety Revolving Fund* | | | | *982* | *1,816* | *1,209* |

### PROGRAM REQUIREMENTS
### 40 THE PREVENTION OF INDUSTRIAL INJURIES AND DEATHS TO CALIFORNIA WORKERS

| | | | | | | |
|---|---|---|---|---|---|---|
| Totals, The Prevention of Industrial Injuries and Deaths to California Workers | 659.5 | 778.2 | 760.0 | $66,996 | $73,548 | $77,626 |
| *0001 General Fund* | | | | *22,621* | *25,275* | *28,996* |
| *0096 Cal-OSHA Targeted Inspection and Consultation Fund* | | | | *7,546* | *7,606* | *7,746* |
| *0284 Loss Control Certification Fund* | | | | *758* | *791* | *795* |
| *0368 Asbestos Consultant Certification Account* | | | | *321* | *322* | *334* |
| *0369 Asbestos Training Approval Account* | | | | *105* | *236* | *241* |
| *0452 Elevator Safety Inspection Account* | | | | *7,029* | *7,200* | *7,315* |
| *0453 Pressure Vessel Inspection Account* | | | | *2,569* | *3,512* | *3,538* |
| *0890 Federal Trust Fund* | | | | *25,921* | *25,972* | *26,309* |
| *3003 Permanent Amusement Ride Safety Inspection Fund* | | | | *–* | *2,049* | *1,767* |
| *0995 Reimbursements* | | | | *126* | *585* | *585* |

### ELEMENT REQUIREMENTS

| | | | | | | |
|---|---|---|---|---|---|---|
| 40.10 Field Enforcement | | | | | | |
| Expenditures | 358.5 | 397.7 | 394.5 | 33,608 | 37,310 | 37,962 |
| *0001 General Fund* | | | | *15,268* | *18,501* | *18,886* |
| *0368 Asbestos Consultant Certification Account* | | | | *321* | *322* | *334* |
| *0369 Asbestos Training Approval Account* | | | | *105* | *236* | *241* |
| *0890 Federal Trust Fund* | | | | *17,788* | *17,666* | *17,916* |
| *0995 Reimbursements* | | | | *126* | *585* | *585* |
| 40.20 Safety of Employees in Mining | | | | | | |
| Expenditures | 24.8 | 28.5 | 28.5 | 1,557 | 1,336 | 1,347 |
| *0001 General Fund* | | | | *1,326* | *837* | *845* |
| *0890 Federal Trust Fund* | | | | *231* | *499* | *502* |
| 40.30 Safety of Employees While Using or Repairing Elevators | | | | | | |
| Expenditures | 86.3 | 113.0 | 107.4 | 9,200 | 11,019 | 10,910 |
| *0001 General Fund* | | | | *2,171* | *1,770* | *1,828* |
| *0452 Elevator Safety Inspection Account* | | | | *7,029* | *7,200* | *7,315* |
| *3003 Permanent Amusement Ride Inspection Fund* | | | | *–* | *2,049* | *1,767* |
| 40.50 Safety of Employees from Pressure Vessel Failure or Malfunction | | | | | | |
| Expenditures | 31.4 | 34.2 | 34.2 | 3,486 | 4,052 | 4,691 |
| *0001 General Fund* | | | | *917* | *540* | *1,153* |
| *0453 Pressure Vessel Inspection Account* | | | | *2,569* | *3,512* | *3,538* |
| 40.60 Occupational Safety and Health Appeals Board | | | | | | |
| Expenditures | 27.1 | 32.7 | 33.7 | 3,031 | 3,329 | 3,489 |
| *0001 General Fund* | | | | *1,120* | *1,280* | *1,422* |
| *0096 Cal-OSHA Targeted Inspection and Consultation Fund* | | | | *184* | *311* | *311* |
| *0890 Federal Trust Fund* | | | | *1,727* | *1,738* | *1,756* |
| 40.70 Occupational Safety and Health Standards Board | | | | | | |
| Expenditures | 15.4 | 16.3 | 16.3 | 1,572 | 1,865 | 1,871 |
| *0001 General Fund* | | | | *796* | *963* | *963* |
| *0890 Federal Trust Fund* | | | | *776* | *902* | *908* |
| 40.80 Consultation and Education | | | | | | |
| Expenditures | 49.1 | 64.6 | 54.2 | 6,422 | 6,551 | 9,126 |
| *0001 General Fund* | | | | *1,023* | *1,384* | *3,899* |
| *0890 Federal Trust Fund* | | | | *5,399* | *5,167* | *5,227* |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 40.90 Cal-OSHA Targeted Inspection and Consultation Element | | | | | | |
| Expenditures | 66.9 | 91.2 | 91.2 | $8,120 | $8,086 | $8,230 |
| *0096 Cal-OSHA Targeted Inspection and Consultation Fund* | | | | *7,362* | *7,295* | *7,435* |
| *0284 Loss Control Certification Fund* | | | | *758* | *791* | *795* |

### PROGRAM REQUIREMENTS

### 50 ENFORCEMENT AND PROMULGATION OF LAWS RELATING TO WAGES, HOURS, CONDITIONS OF EMPLOYMENT, AND LICENSING AND ADJUDICATION

| | | | | | | |
|---|---|---|---|---|---|---|
| Totals, Enforcement and Promulgation of Laws Relating to Wages, Hours, Conditions of Employment, and Licensing and Adjudication | 377.4 | 456.1 | 455.1 | $34,309 | $39,873 | $40,237 |
| *0001 General Fund* | | | | *31,080* | *34,015* | *35,140* |
| *0216 Industrial Relations Construction Industry Enforcement Fund* | | | | *49* | *52* | *53* |
| *0890 Federal Trust Fund* | | | | *246* | *254* | *255* |
| *0913 Industrial Relations Unpaid Wage Fund* | | | | *958* | *953* | *957* |
| *0995 Reimbursements* | | | | *1,976* | *1,575* | *1,575* |
| *3004 Garment Industry Regulations Fund* | | | | *–* | *3,024* | *2,257* |

### ELEMENT REQUIREMENTS

| | | | | | | |
|---|---|---|---|---|---|---|
| 50.10 Wages and Standards Enforcement | | | | | | |
| Expenditures | 343.1 | 381.1 | 391.4 | 31,358 | 32,668 | 34,925 |
| *0001 General Fund* | | | | *29,414* | *30,708* | *32,940* |
| *0216 Industrial Relations Construction Industry Enforcement Fund* | | | | *49* | *52* | *53* |
| *0913 Industrial Relations Unpaid Wage Fund* | | | | *958* | *953* | *957* |
| *0995 Reimbursements* | | | | *937* | *955* | *975* |
| 50.20 Licensing and Workers' Compensation Insurance Enforcement | | | | | | |
| Expenditures | 9.6 | 9.1 | 9.1 | 508 | 800 | 846 |
| *0001 General Fund* | | | | *508* | *800* | *846* |
| 50.25 Garment Manufacturers Regulation | | | | | | |
| Expenditures | 7.9 | 43.6 | 33.2 | 1,039 | 3,644 | 2,857 |
| *0995 Reimbursements* | | | | *1,039* | *620* | *600* |
| *3004 Garment Industry Regulations Fund* | | | | *–* | *3,024* | *2,257* |
| 50.30 Antidiscrimination Enforcement | | | | | | |
| Expenditures | 13.6 | 15.7 | 15.7 | 1,000 | 1,014 | 996 |
| *0001 General Fund* | | | | *754* | *760* | *741* |
| *0890 Federal Trust Fund* | | | | *246* | *254* | *255* |
| 50.40 Industrial Welfare Commission-Promulgation of Labor Standards | | | | | | |
| Expenditures (General Fund) | 3.2 | 6.6 | 5.7 | 404 | 1,747 | 613 |

### PROGRAM REQUIREMENTS

### 60 PROMOTION, DEVELOPMENT AND ADMINISTRATION OF APPRENTICESHIP AND OTHER ON-THE-JOB TRAINING

| | | | | | | |
|---|---|---|---|---|---|---|
| Totals, Promotion, Development and Administration and Other On-the-Job Training | 49.1 | 67.0 | 64.7 | $4,458 | $5,421 | $8,177 |
| *0001 General Fund* | | | | *1,666* | *1,805* | *1,867* |
| *0514 Employment Training Fund* | | | | *2,729* | *3,126* | *3,158* |
| *0890 Federal Trust Fund* | | | | *63* | *87* | *87* |
| *3003 Electrician Fund* | | | | *–* | *403* | *1,788* |
| *3022 Apprenticeship Training Contribution Fund* | | | | *–* | *–* | *1,277* |

### ELEMENT REQUIREMENTS

| | | | | | | |
|---|---|---|---|---|---|---|
| 60.10 Promotion, Development and Administration of Apprenticeship and Other On-the-Job Training | | | | | | |
| Expenditures | 47.6 | 65.6 | 63.3 | 4,395 | 5,334 | 8,090 |
| *0001 General Fund* | | | | *1,666* | *1,805* | *1,867* |
| *0514 Employment Training Fund* | | | | *2,729* | *3,126* | *3,158* |
| *3003 Electrician Fund* | | | | *–* | *403* | *1,788* |
| *3022 Apprenticeship Training Contribution Fund* | | | | *–* | *–* | *1,277* |
| 60.20 Veterans Benefits Training | | | | | | |
| Expenditures | 1.5 | 1.4 | 1.4 | 63 | 87 | 87 |
| *0890 Federal Trust Fund* | | | | *63* | *87* | *87* |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

### PROGRAM REQUIREMENTS
### 70 LABOR FORCE RESEARCH AND DATA DISSEMINATION

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Labor Force Research and Data Dissemination Hours, Conditions of Employment and Licensing and Adjudication | 35.4 | 48.0 | 48.0 | $3,201 | $4,397 | $4,268 |
| *0001 General Fund* | | | | *2,563* | *3,757* | *3,623* |
| *0890 Federal Trust Fund* | | | | *638* | *640* | *645* |

### ELEMENT REQUIREMENTS

| | | | | | | |
|---|---|---|---|---|---|---|
| 70.10 Occupational Injuries and Illnesses Statistics | | | | | | |
| Expenditures | 17.1 | 20.5 | 20.5 | 1,375 | 2,436 | 2,441 |
| *0001 General Fund* | | | | *737* | *1,796* | *1,796* |
| *0890 Federal Trust Fund* | | | | *638* | *640* | *645* |
| 70.20 Industrial Relations Research | | | | | | |
| Expenditures (General Fund) | 18.3 | 27.5 | 27.5 | 1,826 | 1,961 | 1,827 |

### PROGRAM REQUIREMENTS
### 80 PAYMENT OF CLAIMS, WAGES AND CONTINGENCIES

| | | | |
|---|---|---|---|
| Totals, Payments of Claims, Wages and Contingencies | $22,406 | $22,712 | $23,942 |
| *0001 General Fund* | *16,603* | *16,603* | *18,603* |
| *0023 Farm Labor Contractors Special Account* | *12* | *107* | *27* |
| *0481 Garment Manufacturers' Special Account* | *8* | *50* | *50* |
| *0571 Employees' Account, Uninsured Employers' Fund* | *5,452* | *5,452* | *4,762* |
| *0913 Industrial Relations Unpaid Wage Fund* | *331* | *500* | *500* |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 80.10 Industrial Relations Unpaid Wage Fund | | | |
| *0913 Industrial Relations Unpaid Wage Fund, Claims Paid* | *331* | *500* | *500* |
| 80.20 Employees' Account, Uninsured Employers' Fund | | | |
| Expenditures | 22,055 | 22,055 | 23,365 |
| *0001 General Fund* | *16,603* | *16,603* | *18,603* |
| *0571 Employees' Account, Uninsured Employers' Fund* | *5,452* | *5,452* | *4,762* |
| 80.30 Farm Labor Contractors Account | | | |
| Expenditures (0023 Farm Labor Contractors' Special Account) | 12 | 107 | 27 |
| 80.40 Garment Manufacturers Account | | | |
| Expenditures (0481 Garment Manufacturers Special Account) | 8 | 50 | 50 |

### PROGRAM REQUIREMENTS
### 94 ADMINISTRATION

| | | | | | | |
|---|---|---|---|---|---|---|
| Totals, Administration | 246.4 | 280.2 | 285.4 | $19,295 | $21,972 | $25,535 |
| Totals, Distributed Administration | – | – | – | –$19,295 | –$21,972 | –$25,535 |
| Totals | 246.4 | 280.2 | 285.4 | – | – | – |

### PROGRAM REQUIREMENTS
### 95 LOAN REPAYMENTS PROGRAMS

| | | | |
|---|---|---|---|
| Expenditures (Net Program Costs) | – | – | $262 |
| *3002 Electrician Certification Fund* | – | – | *26* |
| *3003 Permanent Amusement Ride Safety Inspection Fund* | – | – | *133* |
| *3004 Garment Industry Regulations Fund* | – | – | *103* |

### PROGRAM REQUIREMENTS
### 98 STATE-MANDATED LOCAL PROGRAMS

| | | | |
|---|---|---|---|
| Local Assistance: | | | |
| Budget Acts: | | | |
| Ch. 1568/82—Firefighters' Cancer Presumption | $312 | $719 | $719 |
| Ch. 1171/89—Peace Officers' Cancer Presumption | 399 | 748 | 748 |
| CA Code Section 3401(c) Personal Alarm Devices | – | – | – |
| CA Code Sections 3401–3410 Structural and Wildlife Firefighter Safety | – | – | – |
| Totals, Budget Acts | $711 | $1,467 | $1,467 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Claims Bills: | | | |
| Ch. 1568/82—Firefighters' Cancer Presumption | – | $1,209 | – |
| Ch. 1171/89—Peace Officers' Cancer Presumption | – | 998 | – |
| Ch. 306/97—Firefighters' Cancer Presumption (Ch. 1568/82) | – | 24 | – |
| Totals, Claims Bills | – | $2,231 | – |
| Carryover from Previous Years: | | | |
| Ch. 1171/89—Peace Officers' Cancer Presumption | – | 386 | – |
| Ch. 1568/82—Firefighters' Cancer Presumption | – | 351 | – |
| 8 CA Code Sec. 4301(c)—Personal Alarm Services | – | 693 | – |
| 8 CA Code Sec. 4301(c)—Structural and Wildland Firefighters Safety | – | 901 | – |
| Totals, Carryovers | – | $2,331 | – |
| Totals, Local Assistance | $711 | $6,029 | $1,467 |
| *0001 General Fund* | *711* | *6,029* | *1,467* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $235,673 | $254,424 | $266,349 |
| Local Assistance | 711 | 6,029 | 1,467 |
| TOTALS, EXPENDITURES | $236,384 | $260,453 | $267,816 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 2,448.2 | 2,902.9 | 2,835.9 | $124,091 | $151,682 | $151,692 |
| Total Adjustments | – | – | 36.8 | – | 60 | 4,356 |
| Estimated Salary Savings | – | –145.1 | –143.6 | – | –7,587 | –6,212 |
| Net Totals, Salaries and Wages | 2,448.2 | 2,757.8 | 2,729.1 | $124,091 | $144,155 | $149,836 |
| Staff Benefits | – | – | – | 26,591 | 29,021 | 30,713 |
| Totals, Personal Services | 2,448.2 | 2,757.8 | 2,729.1 | $150,682 | $173,176 | $180,549 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $62,585 | $58,536 | $61,596 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Interest expense on loans | | | | – | – | 262 |
| Payment of claims | | | | 22,406 | 22,712 | 23,942 |
| Totals, Special Items of Expense | | | | $22,406 | $22,712 | $24,204 |
| TOTALS, EXPENDITURES | | | | $235,673 | $254,424 | $266,349 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $140,765 | $146,385 | $154,580 |
| 011 Budget Act appropriation (transfer to Uninsured Employer's Account) | 18,603 | 16,603 | 18,603 |
| 012 Budget Act appropriation (Loan to Garment Industry Regulations Subaccount) | – | (1,594) | – |
| 013 Budget Act appropriation (Loan to Electrician Certification Fund) | – | (405) | – |
| 014 Budget Act appropriation (Loan to Permanent Amusement Ride Inspection Safety Fund) | – | (2,063) | – |
| Allocation for employee compensation | 10,039 | 306 | – |
| Adjustment per Section 3.60 | –5,404 | –1,076 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 129 | – |
| Reduction per Chapter 1021, Statutes of 1999 | –2,000 | – | – |
| Transfer to Legislative Claims (9670) | –5 | –1 | – |
| Chapter 1021, Statutes of 1999 | 2,000 | – | – |
| Prior year balances available: | | | |
| Item 8350-001-0001, Budget Act of 1999 as reappropriated by Item 8350-490, Budget Act of 2000 | – | 227 | – |
| Totals Available | $163,998 | $162,573 | $173,183 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Balance available in subsequent years | −$227 | – | – |
| Unexpended balance, estimated savings | −517 | – | – |
| TOTALS, EXPENDITURES | $163,254 | $162,573 | $173,183 |

### 0023 Farm Labor Contractor's Special Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $27 | $27 | $27 |
| Allocation for contingencies or emergencies | – | 80 | – |
| Unexpended balance, estimated savings | −15 | – | – |
| TOTALS, EXPENDITURES | $12 | $107 | $27 |

### 0079 Industrial Medicine Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,664 | $1,726 | $1,750 |
| Allocation for employee compensation | 76 | 1 | – |
| Adjustment per Section 3.60 | −51 | −10 | – |
| Totals Available | $1,689 | $1,717 | $1,750 |
| Unexpended balance, estimated savings | −624 | – | – |
| TOTALS, EXPENDITURES | $1,065 | $1,717 | $1,750 |

### 0096 Cal-OSHA Targeted Inspection and Consultation Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $7,389 | $7,641 | $7,746 |
| Allocation for employee compensation | 393 | 7 | – |
| Adjustment per Section 3.60 | −228 | −42 | – |
| Totals Available | $7,554 | $7,606 | $7,746 |
| Unexpended balance, estimated savings | −8 | – | – |
| TOTALS, EXPENDITURES | $7,546 | $7,606 | $7,746 |

### 0132 Workers' Compensation Managed Care Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $220 | $220 | $219 |
| Allocation for employee compensation | 15 | 1 | – |
| Adjustment per Section 3.60 | −13 | −2 | – |
| Totals Available | $222 | $219 | $219 |
| Unexpended balance, estimated savings | −170 | – | – |
| TOTALS, EXPENDITURES | $52 | $219 | $219 |

### 0216 Industrial Relations Construction Industry Enforcement Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $50 | $52 | $53 |
| Unexpended balance, estimated savings | −1 | – | – |
| TOTALS, EXPENDITURES | $49 | $52 | $53 |

### 0222 Workplace Health and Safety Revolving Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $981 | $1,821 | $1,209 |
| Allocation for employee compensation | 22 | 1 | – |
| Adjustment per Section 3.60 | −15 | −6 | – |
| Totals Available | $988 | $1,816 | $1,209 |
| Unexpended balance, estimated savings | −6 | – | – |
| TOTALS, EXPENDITURES | $982 | $1,816 | $1,209 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

### 0223 Workers' Compensation Administration Revolving Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $8,600 | $18,733 | $18,726 |
| 015 Budget Act appropriation | 484 | 510 | 495 |
| Allocation for employee compensation | 545 | 18 | – |
| Adjustment per Section 3.60 | –395 | –143 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 21 | – |
| Totals Available | $9,234 | $19,139 | $19,221 |
| Unexpended balance, estimated savings | –20 | – | – |
| TOTALS, EXPENDITURES | $9,214 | $19,139 | $19,221 |

### 0284 Loss Control Certification Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $773 | $794 | $795 |
| Allocation for employee compensation | 49 | 1 | – |
| Adjustment per Section 3.60 | –30 | –4 | – |
| Totals Available | $792 | $791 | $795 |
| Unexpended balance, estimated savings | –34 | – | – |
| TOTALS, EXPENDITURES | $758 | $791 | $795 |

### 0368 Asbestos Consultant Certification Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $318 | $324 | $334 |
| Allocation for employee compensation | 15 | – | – |
| Adjustment per Section 3.60 | –12 | –2 | – |
| TOTALS, EXPENDITURES | $321 | $322 | $334 |

### 0369 Asbestos Training Approval Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $231 | $238 | $241 |
| Allocation for employee compensation | 15 | – | – |
| Adjustment per Section 3.60 | –12 | –2 | – |
| Totals Available | $234 | $236 | $241 |
| Unexpended balance, estimated savings | –129 | – | – |
| TOTALS, EXPENDITURES | $105 | $236 | $241 |

### 0396 Self-Insurance Plans Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,831 | $2,723 | $2,722 |
| Allocation for employee compensation | 154 | 4 | – |
| Adjustment per Section 3.60 | –108 | –17 | – |
| Totals Available | $2,877 | $2,710 | $2,722 |
| Unexpended balance, estimated savings | –491 | – | – |
| TOTALS, EXPENDITURES | $2,386 | $2,710 | $2,722 |

### 0452 Elevator Safety Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $6,888 | $7,241 | $7,315 |
| Allocation for employee compensation | 406 | 7 | – |
| Adjustment per Section 3.60 | –251 | –49 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 1 | – |
| Totals Available | $7,043 | $7,200 | $7,315 |
| Unexpended balance, estimated savings | –14 | – | – |
| TOTALS, EXPENDITURES | $7,029 | $7,200 | $7,315 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

### 0453 Pressure Vessel Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $3,411 | $3,525 | $3,538 |
| Allocation for employee compensation | 178 | 4 | – |
| Adjustment per Section 3.60 | −69 | −17 | – |
| Totals Available | $3,520 | $3,512 | $3,538 |
| Unexpended balance, estimated savings | −951 | – | – |
| TOTALS, EXPENDITURES | $2,569 | $3,512 | $3,538 |

### 0481 Garment Manufacturers Special Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $50 | $50 | $50 |
| Unexpended balance, estimated savings | −42 | – | – |
| TOTALS, EXPENDITURES | $8 | $50 | $50 |

### 0514 Employment Training Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,854 | $3,137 | $3,158 |
| Allocation for employee compensation | 88 | 9 | – |
| Adjustment per Section 3.60 | −122 | −23 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 3 | – |
| Totals Available | $2,820 | $3,126 | $3,158 |
| Unexpended balance, estimated savings | −91 | – | – |
| TOTALS, EXPENDITURES | $2,729 | $3,126 | $3,158 |

### 0571 Employees' Account, Uninsured Employers' Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $24,529 | $22,566 | $23,881 |
| Allocation for employee compensation | 25 | – | – |
| Adjustment per Section 3.60 | −11 | −3 | – |
| Reduction per Chapter 1021, Statutes of 1999 | −2,000 | – | – |
| Totals Available | $22,543 | $22,563 | $23,881 |
| Unexpended balance, estimated savings | −2 | – | – |
| TOTALS, EXPENDITURES | $22,541 | $22,563 | $23,881 |
| Less funding provided by the General Fund | −16,603 | −16,603 | −18,603 |
| NET TOTALS, EXPENDITURES | $5,938 | $5,960 | $5,278 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $25,470 | $27,131 | $27,296 |
| Allocation for employee compensation | 1,452 | 27 | – |
| Adjustment per Section 3.60 | −1,038 | −212 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 7 | – |
| Budget adjustment | 984 | – | – |
| TOTALS, EXPENDITURES | $26,868 | $26,953 | $27,296 |

### 0913 Industrial Relations Unpaid Wage Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $934 | $958 | $957 |
| Labor Code Section 96.6 | 331 | 500 | 500 |
| Labor Code Section 96.7(e) (transfer to the General Fund) | (128) | – | – |
| Allocation for employee compensation | 67 | 1 | – |
| Adjustment per Section 3.60 | −37 | −6 | – |
| Totals Available | $1,295 | $1,453 | $1,457 |
| Unexpended balance, estimated savings | −6 | – | – |
| TOTALS, EXPENDITURES | $1,289 | $1,453 | $1,457 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | $3,499 | $3,406 | $3,406 |

### 3002 Electrician Certification Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriations | – | $405 | $1,788 |
| Allocation of employee compensation | – | 1 | – |
| Interest expense on General Fund Loan per Item 8350-013-0001, Budget Act of 2000 | – | – | 26 |
| Allocation per Section 3.60 | – | –3 | – |
| TOTALS, EXPENDITURES | – | $403 | $1,814 |

### 3003 Permanent Amusement Ride Safety Inspection Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriations | – | $2,063 | $1,767 |
| Interest expense on General Fund Loan per Item 8350-014-0001, Budget Act of 2000 | – | – | 133 |
| Adjustment per Section 3.60 | – | –14 | – |
| TOTALS, EXPENDITURES | – | $2,049 | $1,900 |

### 3004 Garment Industry Regulations Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriations | – | $3,042 | $2,257 |
| Allocation of employee compensation | – | 1 | – |
| Interest expense on General Fund Loan per Item 8350-014-0001, Budget Act of 2000 | – | – | 103 |
| Adjustment per Section 3.60 | – | –19 | – |
| TOTALS, EXPENDITURES | – | $3,024 | $2,360 |

### 3022 Apprenticeship Training Contribution Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriations (expenditures) | – | – | $1,277 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $235,673 | $254,424 | $266,349 |

---

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Mandates | $711 | $6,029 | $1,467 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 295 Budget Act appropriation (State Mandates) | $1,428 | $1,467 | $1,467 |
| Chapter 574, Statutes of 1999 (State Mandates) | 1,307 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 900 | – |
| Prior year balances available: | | | |
| Chapter 306, Statutes of 1997 | 24 | 24 | – |
| Chapter 780, Statutes of 1998 | 2,331 | 2,331 | – |
| Chapter 574, Statutes of 1999 (State Mandates) | – | 1,307 | – |
| Totals Available | $5,090 | $6,029 | $1,467 |
| Balance available in subsequent years | –3,662 | – | – |
| Unexpended balance, estimated savings | –717 | – | – |
| TOTALS, EXPENDITURES | $711 | $6,029 | $1,467 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $711 | $6,029 | $1,467 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $236,384 | $260,453 | $267,816 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

### FUND CONDITION STATEMENT

| 0023 Farm Labor Contractors' Special Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $507 | $480 | $412 |
| Prior year adjustments | −54 | – | – |
| Balance, Adjusted | $453 | $480 | $412 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 122700 Employment agency license fees | 39 | 39 | 39 |
| Totals, Revenues and Transfers | $39 | $39 | $39 |
| Totals, Resources | $492 | $519 | $451 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 12 | 107 | 27 |
| FUND BALANCE | $480 | $412 | $424 |
| Reserve for economic uncertainties | 480 | 412 | 424 |

| 0079 Industrial Medicine Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $5,230 | $5,244 | $4,552 |
| Prior year adjustments | 54 | – | – |
| Balance, Adjusted | $5,284 | $5,244 | $4,552 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits (QMC fees) | 1,025 | 1,025 | 1,025 |
| Totals, Revenues and Transfers | $1,025 | $1,025 | $1,025 |
| Totals, Resources | $6,309 | $6,269 | $5,577 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 1,065 | 1,717 | 1,750 |
| FUND BALANCE | $5,244 | $4,552 | $3,827 |
| Reserve for economic uncertainties | 5,244 | 4,552 | 3,827 |

| 0096 Cal-OSHA Targeted Inspection and Consultation Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $5,645 | $7,835 | $10,062 |
| Prior year adjustments | −97 | – | – |
| Balance, Adjusted | $5,548 | $7,835 | $10,062 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees (assessments) | 9,833 | 9,833 | 9,833 |
| Totals, Revenues and Transfers | $9,833 | $9,833 | $9,833 |
| Totals, Resources | $15,381 | $17,668 | $19,895 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 7,546 | 7,606 | 7,746 |
| FUND BALANCE | $7,835 | $10,062 | $12,149 |
| Reserve for economic uncertainties | 7,835 | 10,062 | 12,149 |

| 0132 Workers' Compensation Managed Care Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $62 | $212 | $165 |
| Prior year adjustments | 120 | – | – |
| Balance, Adjusted | $182 | $212 | $165 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 122700 Employment agency license fees | 82 | 172 | 172 |
| Totals, Revenues and Transfers | $82 | $172 | $172 |
| Totals, Resources | $264 | $384 | $337 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | $52 | $219 | $219 |
| FUND BALANCE | $212 | $165 | $118 |
| Reserve for economic uncertainties | 212 | 165 | 118 |

### 0216 Industrial Relations Construction Industry Enforcement Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $10 | – | – |
| Prior year adjustments | 27 | – | – |
| Balance, Adjusted | $37 | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 164300 Penalty assessments | 12 | $52 | $53 |
| Totals, Revenues and Transfers | $12 | $52 | $53 |
| Totals, Resources | $49 | $52 | $53 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 49 | 52 | 53 |
| FUND BALANCE | – | – | – |

### 0222 Workplace Health and Safety Revolving Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,508 | $2,583 | $2,407 |
| Prior year adjustments | –4 | – | – |
| Balance, Adjusted | $1,504 | $2,583 | $2,407 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 164300 Penalty asessments | 2,061 | 1,640 | 1,640 |
| Totals, Revenues and Transfers | $2,061 | $1,640 | $1,640 |
| Totals, Resources | $3,565 | $4,223 | $4,047 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 982 | 1,816 | 1,209 |
| FUND BALANCE | $2,583 | $2,407 | $2,838 |
| Reserve for economic uncertainties | 2,583 | 2,407 | 2,838 |

### 0223 Workers' Compensation Administration Revolving Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $7,888 | $5,901 | $6,446 |
| Prior year adjustments | –308 | – | – |
| Balance, Adjusted | $7,580 | $5,901 | $6,446 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 7,094 | 19,243 | 19,243 |
| 150300 Income from surplus investments | 336 | 336 | 336 |
| 161400 Miscellaneous revenue | 17 | 17 | 17 |
| 164300 Penalty assessments | 88 | 88 | 88 |
| Totals, Revenues | $7,535 | $19,684 | $19,684 |
| Totals, Revenues and Transfers | $7,535 | $19,684 | $19,684 |
| Totals, Resources | $15,115 | $25,585 | $26,130 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 9,214 | 19,139 | 19,221 |
| FUND BALANCE | $5,901 | $6,446 | $6,909 |
| Reserve for economic uncertainties | 5,901 | 6,446 | 6,909 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| 0284 Loss Control Certification Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,474 | $1,622 | $1,737 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 906 | 906 | 906 |
| Totals, Revenues and Transfers | $906 | $906 | $906 |
| Totals, Resources | $2,380 | $2,528 | $2,643 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 758 | 791 | 795 |
| FUND BALANCE | $1,622 | $1,737 | $1,848 |
| Reserve for economic uncertainties | 1,622 | 1,737 | 1,848 |

| 0368 Asbestos Consultant Certification Account—Asbestos Training and Consultant Certification Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $24 | – | – |
| Prior year adjustments | 142 | – | – |
| Balance, Adjusted | $166 | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 122700 Employment agency license fees (Certification fees) | 155 | $322 | $334 |
| Totals, Revenues and Transfers | $155 | $322 | $334 |
| Totals, Resources | $321 | $322 | $334 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 321 | 322 | 334 |
| FUND BALANCE | – | – | – |

| 0369 Asbestos Training Approval Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| Prior year adjustments | $64 | – | – |
| Balance, Adjusted | $64 | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 122700 Employment agency license fees | 41 | 236 | 241 |
| Totals, Revenues and Transfers | $41 | $236 | $241 |
| Totals, Resources | $105 | $236 | $241 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 105 | 236 | 241 |
| FUND BALANCE | – | – | – |

| 0396 Self-Insurance Plans Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $173 | $856 | $246 |
| Prior year adjustments | 47 | – | – |
| Balance, Adjusted | $220 | $856 | $246 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 123100 Insurance company license fees and penalties | 3,022 | 2,100 | 2,500 |
| Totals, Revenues and Transfers | $3,022 | $2,100 | $2,500 |
| Totals, Resources | $3,242 | $2,956 | $2,746 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | $2,386 | $2,710 | $2,722 |
| FUND BALANCE | $856 | $246 | $24 |
| Reserve for economic uncertainties | 856 | 246 | 24 |

### 0452 Elevator Safety Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $3,947 | $5,088 | $5,488 |
| Prior year adjustments | −191 | – | – |
| Balance, Adjusted | $3,756 | $5,088 | $5,488 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 122400 Elevator and boiler inspection fees | 8,175 | 7,414 | 7,414 |
| 164300 Penalty assessments | 186 | 186 | 186 |
| Totals, Revenues | $8,361 | $7,600 | $7,600 |
| Totals, Revenues and Transfers | $8,361 | $7,600 | $7,600 |
| Totals, Resources | $12,117 | $12,688 | $13,088 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 7,029 | 7,200 | 7,315 |
| FUND BALANCE | $5,088 | $5,488 | $5,773 |
| Reserve for economic uncertainties | 5,088 | 5,488 | 5,773 |

### 0453 Pressure Vessel Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $31 | – | $12 |
| Prior year adjustments | 98 | – | – |
| Balance, Adjusted | $129 | – | $12 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 122400 Elevator and boiler inspection fees | 2,359 | $3,355 | 3,355 |
| 164300 Penalty assessments | 81 | 169 | 171 |
| Totals, Revenues | $2,440 | $3,524 | $3,526 |
| Totals, Revenues and Transfers | $2,440 | $3,524 | $3,526 |
| Totals, Resources | $2,569 | $3,524 | $3,538 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 2,569 | 3,512 | 3,538 |
| FUND BALANCE | – | $12 | – |
| Reserve for economic uncertainties | – | 12 | – |

### 0481 Garment Manufacturers Special Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $704 | $1,120 | $1,637 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 122700 Employment agency license fees | 424 | 567 | 567 |
| Totals, Revenues and Transfers | $424 | $567 | $567 |
| Totals, Resources | $1,128 | $1,687 | $2,204 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 8 | 50 | 50 |
| FUND BALANCE | $1,120 | $1,637 | $2,154 |
| Reserve for economic uncertainties | 1,120 | 1,637 | 2,154 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| 0571 Employees' Account, Uninsured Employers' Account [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $5,447 | $5,284 | $5,505 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 217600 Fines and penalties | 2,662 | 6,181 | 6,181 |
| 299600 Other operating revenue (recoveries) | 3,113 | – | – |
| Totals, Revenues | $5,775 | $6,181 | $6,181 |
| Totals, Revenues and Transfers | $5,775 | $6,181 | $6,181 |
| Totals, Resources | $11,222 | $11,465 | $11,686 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | 22,541 | 22,563 | 23,881 |
| Expenditure Reductions: | | | |
| 8350 Department of Industrial Relations (State Operations): | | | |
| Less transfers from the General Fund | −16,603 | −16,603 | −18,603 |
| Totals, Expenditures | $5,938 | $5,960 | $5,278 |
| FUND BALANCE | $5,284 | $5,505 | $6,408 |

| 0913 Industrial Relations Unpaid Wage Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $192 | $146 | – |
| Prior year adjustments | −19 | – | – |
| Balance, Adjusted | $173 | $146 | – |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299000 Wage collections | 1,261 | 1,306 | $1,456 |
| 261900 Escheat of unclaimed checks | 1 | 1 | 1 |
| Totals, Operating Revenues and Transfers | $1,262 | $1,307 | $1,457 |
| Totals, Revenues and Transfers | $1,262 | $1,307 | $1,457 |
| Totals, Resources | $1,435 | $1,453 | $1,457 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) (wage payments) | 1,289 | 1,453 | 1,457 |
| FUND BALANCE | $146 | – | – |
| Reserve for economic uncertainties | 146 | – | – |

| 3002 Electrician Certification Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | – | – | $2,500 |
| Transfers from Other Funds: | | | |
| F00001 General Fund Loan, per Item 8350-013-0001, Budget Act of 2000 | – | $403 | – |
| Transfers to Other Funds: | | | |
| T00001 Loan Repay to General Fund, per Item 8350-013-0001, Budget Act of 2000 | – | – | −403 |
| T03022 Apprenticeship Training Fund, per Item 8350-101-3022, Budget Act of 2001 | – | – | −77 |
| Totals, Revenues and Transfers | – | $403 | $2,020 |
| Totals, Resources | – | $403 | $2,020 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations: | | | |
| State Operations | – | 403 | 1,788 |
| Interest on General Fund Loan per Item 8350-013-0001, Budget Act of 2000 | – | – | 26 |
| Totals, Expenditures | – | $403 | $1,814 |
| FUND BALANCE | – | – | $206 |
| Reserve for economic uncertainties | – | – | 206 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| 3003 Permanent Amusement Ride Safety Inspection Fund | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $1,500 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 122700 Employment Agency License Fees | – | $1,500 | 2,549 |
| Transfers from Other Funds: | | | |
| F00001 General Fund Loan, per Item 8350-014-0001, Budget Act of 2000 | – | 2,049 | – |
| Transfers to Other Funds: | | | |
| T00001 Loan Repay to General Fund, per Item 8350-014-0001, Budget Act of 2000 | – | – | –2,049 |
| Totals, Revenues and Transfers | – | $3,549 | $500 |
| Totals, Resources | – | $3,549 | $2,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations: | | | |
| State Operations | – | 2,049 | 1,767 |
| Interest on General Fund Loan per Item 8350-014-0001, Budget Act of 2000 | – | – | 133 |
| Totals, Expenditures | – | $2,049 | $1,900 |
| FUND BALANCE | – | $1,500 | $100 |
| Reserve for economic uncertainties | – | 1,500 | 100 |

| 3004 Garment Industry Regulations Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $609 |
| REVENUES AND TRANSFERS | | | |
| Revenues | | | |
| 122700 Employment Agency License Fees | – | $1,594 | 3,962 |
| Transfers from Other Funds: | | | |
| F00001 General Fund Loan, per Item 8350-015-0001, Budget Act of 2001 | – | 2,039 | – |
| Transfers to Other Funds: | | | |
| T00001 Repay Loan, per Item 8350-015-0001, Budget Act of 2001 | – | – | –1,594 |
| Totals, Revenues and Transfers | – | $3,633 | $2,368 |
| Totals, Resources | – | $3,633 | $2,977 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations: | | | |
| State Operations | – | 3,024 | 2,257 |
| Interest on General Fund Loan per Item 8350-014-0001, Budget Act of 2000 | – | – | 103 |
| Totals, Expenditures | – | $3,024 | $2,360 |
| FUND BALANCE | – | $609 | $617 |
| Reserve for economic uncertainties | – | 609 | 617 |

### FUND CONDITION STATEMENT

| 3022 Apprenticeship Training Contribution Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | – | – | $1,200 |
| Transfers from Other Funds: | | | |
| F03002 Electrician Certification Fund, per Item 8350-001-3002, Budget Act of 2001 | – | – | 77 |
| Totals, Revenues and Transfers | – | – | $1,277 |
| Totals, Resources | – | – | $1,277 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8350 Department of Industrial Relations (State Operations) | – | – | 1,277 |
| FUND BALANCE | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 2,448.2 | 2,902.9 | 2,835.9 | $124,091 | $151,682 | $151,692 |
| Salary adjustments | – | – | – | – | 60 | 74 |
| Totals, Adjusted Authorized Positions | 2,448.2 | 2,902.9 | 2,835.9 | $124,091 | $151,742 | $151,766 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Division of Labor Standards Enforcement: | | | | Salary Range | | |
| Legal Secty | – | – | –2.0 | 2,704–3,160 | – | – |
| Secty | – | – | –1.0 | 2,390–2,906 | – | – |
| Ofc Techn | – | – | –3.0 | 2,348–2,855 | – | – |
| Ofc Asst | – | – | –6.0 | 1,908–2,319 | – | – |
| Division of Workers' Compensation: | | | | | | |
| Industrial Relations Counsel I | – | – | –1.0 | 5,067–6,127 | – | – |
| Assoc Prog Analyst-Spec | – | – | –1.0 | 4,110–4,997 | – | – |
| Secty | – | – | –1.0 | 2,390–2,906 | – | – |
| Sr Legal Typists | – | – | –1.0 | 2,304–2,801 | – | – |
| Ofc Asst | – | – | –2.0 | 1,908–2,319 | – | – |
| Division of Occupational Safety and Health: | | | | | | |
| Assoc Safety Engr | – | – | –3.5 | 4,415–5,363 | – | – |
| Assoc Industrial Hygienist | – | – | –2.0 | 4,414–5,321 | – | – |
| Division of Apprenticeship Standards: | | | | | | |
| Assoc Govtl Prog Anlayst | – | – | –1.0 | 2,915–4,759 | – | – |
| State Conciliation and Mediation: | | | | | | |
| Conciliator, DIR | – | – | –1.0 | 5,801–7,051 | – | – |
| Totals, Workload and Administrative Adjustments | – | – | –25.5 | – | – | – |
| Reduction per Section 31.50: | | | | | | |
| Self-Insurance Plans: | | | | | | |
| Workers' Comp Compliance Ofcr | – | – | (–1.0) | 4,100–4,997 | – | – |
| Division of Workers' Compensation: | | | | | | |
| Workers' Comp Consultant | – | – | (–3.0) | 3,737–4,543 | – | – |
| Research Analyst | – | – | (–1.0) | 2,764–3,048 | – | – |
| Ofc Asst | – | – | (–12.0) | 1,908–2,319 | – | – |
| Industrial Medical Council: | | | | | | |
| Assoc Med Director | – | – | (–0.8) | 8,364–10,165 | – | – |
| Temp Help | – | – | (–1.0) | – | – | – |
| Ofc Asst | – | – | (–2.0) | 1,908–2,319 | – | – |
| Division of Occupational Safety and Health: | | | | | | |
| Assoc Safety Engr (PV) | – | – | (–6.0) | 4,415–5,363 | – | – |
| Assoc Safety Engr | – | – | (–2.0) | 4,415–5,363 | – | – |
| Ofc Asst | – | – | (–1.0) | 1,908–2,319 | – | – |
| Division of Labor Standards Enforcement: | | | | | | |
| Labor Stds Investigator | – | – | (–1.0) | 3,923–4,735 | – | – |
| Division of Administration: | | | | | | |
| Temp Help | – | – | (–0.2) | – | – | – |
| Total | – | – | (–31.0) | – | – | – |
| Excess Vacant Position Analysis: | | | | | | |
| Division of Workers' Compensation: | | | | | | |
| Hearing Reporter | – | – | –2.0 | 4,246–5,159 | – | – |
| Workers' Comp Consultant | – | – | –1.0 | 3,737–4,543 | – | – |
| Sr Legal Typists | – | – | –2.0 | 2,304–2,801 | – | – |
| Prog Techn | – | – | –1.0 | 2,029–2,648 | – | – |
| Ofc Asst | – | – | –5.0 | 1,908–2,319 | – | – |
| Division of Occupational Safety and Health: | | | | | | |
| Assoc Industrial Hygienist | – | – | –7.7 | 4,414–5,321 | – | – |
| Assoc Safety Engr | – | – | –7.5 | 4,415–5,363 | – | – |
| Ofc Asst | – | – | –1.0 | 1,908–2,319 | – | – |
| Totals | – | – | –27.2 | – | – | – |
| Proposed New Positions: | | | | | | |
| Division of Labor Standards Enforcement: | | | | | | |
| Asst Chief Counsels | – | – | 2.0 | 7,642–8,594 | – | 202 |
| Industrial Relations Counsel II | – | – | 2.0 | 5,703–7,034 | – | 158 |
| Dep Labor Commissioner III | – | – | 2.0 | 4,735–6,115 | – | 134 |

*** Dollars in thousands, except in Salary Range.**

## 8350 DEPARTMENT OF INDUSTRIAL RELATIONS—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| | | | | Salary Range | | |
| Dep Labor Commissioner II | – | – | 5.0 | $4,517–5,559 | – | $314 |
| Dep Labor Commissioner I | – | – | 18.0 | 3,915–4,850 | – | 988 |
| Ofc Techn | – | – | 5.0 | 2,348–2,855 | – | 96 |
| Sr Legal Typists | – | – | 3.0 | 2,304–2,801 | – | 99 |
| Ofc Asst | – | – | 9.0 | 1,908–2,319 | – | – |
| Industrial Medical Council: | | | | | | |
| Health Educ Consultant III [a] | – | – | 1.0 | 4,220–5,274 | – | 61 |
| Workers' Comp Consultant [a] | – | – | 1.0 | 3,593–4,368 | – | 49 |
| Ofc Techn [a] | – | – | 1.0 | 2,258–2,745 | – | 31 |
| State Conciliation and Mediation: | | | | | | |
| Conciliator, DIR | – | – | 2.0 | 5,801–7,051 | – | 148 |
| Division of Apprenticeship Standards: | | | | | | |
| Sr Apprenticeship Consultant | – | – | 1.0 | 4,735–5,713 | – | 57 |
| Apprenticeship Consultant | – | – | 4.0 | 4,110–4,997 | – | 197 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | – |
| Division of Occupational Safety and Health: | | | | | | |
| Area Mgr | – | – | 1.0 | 5,087–6,181 | – | 67 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Division of Workers' Compensation: | | | | | | |
| Workers' Comp Judge | – | – | 5.0 | 6,734–8,144 | – | 404 |
| Hearing Reporter | – | – | 3.0 | 4,246–5,159 | – | 153 |
| Workers' Comp Compliance Ofcr | – | – | 2.0 | 4,110–4,997 | – | 99 |
| Industrial Relations Rep, Range C | – | – | 1.0 | 3,418–4,155 | – | 41 |
| Sr Legal Typists | – | – | 6.0 | 2,575–3,129 | – | 185 |
| Division of Administration: | | | | | | |
| Industrial Relations Counsel IV [a] | – | – | 3.0 | 7,268–8,972 | – | 279 |
| Industrial Relations Counsel III | – | – | 1.0 | 6,573–8,111 | – | 87 |
| Industrial Relations Counsel II | – | – | 1.0 | 5,703–7,034 | – | 75 |
| Assoc Pers Analyst | – | – | 1.0 | 3,915–4,850 | – | 52 |
| Info Ofcr I-Spec | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Legal Secty [a] | – | – | 1.5 | 2,839–3,450 | – | 53 |
| Legal Secty | – | – | 1.0 | 2,704–3,286 | – | 36 |
| Info Sys Techn | – | – | 1.0 | 2,207–2,433 | – | 57 |
| Ofc Asst | – | – | 1.0 | 1,908–2,319 | – | 25 |
| Totals, Proposed New Positions | – | – | 89.5 | – | – | $4,282 |
| Total Adjustments | – | – | 36.8 | – | $60 | $4,356 |
| TOTALS, SALARIES AND WAGES | 2,448.2 | 2,902.9 | 2,872.7 | $124,091 | $151,742 | $156,048 |

[a] Limited-term positions expiring 6-30-03.

## 8380 DEPARTMENT OF PERSONNEL ADMINISTRATION

The Department of Personnel Administration (DPA) manages the nonmerit aspects of the State's personnel system. The goals of the DPA are to insure proper administration of existing terms and conditions of employment for the State's civil service employees, and to represent the Governor as the employer in all matters concerning State employer-employee relations.

The State Employer-Employee Relations Act (Chapter 1159, Statutes of 1977) established a formal, bilateral process of employer-employee relations. The right to meet and confer in good faith granted to State civil service employees under this Act requires the DPA, in conjunction with departments, to review existing terms and conditions of employment subject to negotiation, to develop management's negotiating positions, to represent management in negotiations with the exclusive representatives of employee representation units, and to administer negotiated memoranda of understanding.

The DPA also administers the personnel classification plan, develops the compensation plan, including terms and conditions of employment, and develops and implements the training plan for the State's management team and other employees not represented in the collective bargaining process.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Policy Operations | – | 49.1 | 52.4 | – | $4,811 | $5,028 |
| 20 Labor Relations | 16.1 | 22.0 | 23.0 | $6,075 | 2,647 | 2,248 |
| 25 Legal | 48.7 | 50.1 | 52.4 | 4,618 | 4,524 | 5,014 |
| 40 Administration | 58.8 | 42.9 | 44.9 | 4,592 | 3,524 | 3,657 |
| Distributed Administration | – | – | – | –3,764 | –3,092 | –3,251 |
| 52 Classification and Compensation | 37.3 | – | – | 3,246 | – | – |
| 54 Benefits Administration | 60.6 | 76.7 | 79.1 | 15,033 | 53,096 | 35,384 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8380 DEPARTMENT OF PERSONNEL ADMINISTRATION—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 56 Training and Continuous Development | 26.6 | 22.6 | 23.6 | $3,541 | $3,404 | $3,644 |
| 58 Merit Award | 4.1 | – | – | 334 | – | – |
| TOTALS, PROGRAMS | 252.2 | 263.4 | 275.4 | $33,675 | $68,914 | $51,724 |
| *0001 General Fund* | | | | *11,015* | *45,297* | *28,132* |
| *0077 State Employee Scholarship Fund* | | | | *250* | *150* | – |
| *Less funding provided by the General Fund* | | | | *–400* | – | – |
| *0258 Work and Family Fund* | | | | *173* | *5,327* | – |
| *Less funding provided by the General Fund* | | | | *–500* | *–5,000* | – |
| *0367 Indian Gaming Special Distribution Fund* | | | | – | *400* | – |
| *0821 Flexelect Benefit Fund* | | | | *743* | *760* | *767* |
| *0915 Deferred Compensation Plan Fund* | | | | *6,282* | *6,264* | *6,274* |
| *0995 Reimbursements* | | | | *16,112* | *15,716* | *16,551* |

### 10 POLICY OPERATIONS

The Policy and Operations Division provides the central administration for the State's personnel management system, including salary administration, classification plan administration, personnel policy, layoff, employee expense reimbursements, and substance testing. This includes review, preparation, and presentation of legislative, collective bargaining, classification, and rulemaking proposals.

#### Major Budget Adjustments Proposed for 2001–02

- $232,000 (General Fund) and 3.0 positions (2.8 personnel years) for the continuation of activities associated with the implementation of the Human Resources Management System, which is a joint project with the State Controller's Office to replace the existing Personnel/Payroll system.
- $75,000 (General Fund) and 1.0 position (0.9 personnel year) for 2001–02 to establish the Work and Family Program Coordinator to facilitate initiatives resulting from the Work and Family Program.

### 20 LABOR RELATIONS

The Labor Relations Program staff represent the Governor in all labor relations matters relating to the State Employer-Employee Relations Act and administer personnel regulations relating to all terms and conditions of employment for represented and unrepresented employees pursuant to negotiated memoranda of understanding and Government Code provisions.

### 25 LEGAL

The Legal Services Program staff represent the DPA in legal matters and provide legal services to other State departments in labor relations legal matters.

#### Major Budget Adjustment Proposed for 2001–02

- $243,000 ($116,000 General Fund) to enhance legal support.

### 40 ADMINISTRATION

The objectives of DPA's Administration program are to: 1) provide the executive direction and leadership necessary in administering the non-merit aspects of the State's personnel management program; 2) provide support services through the analysis and coordination of legislative bills; 3) maintain communications between the Administration, the Legislature, and State employees; and 4) provide administrative support services to the DPA in the areas of internal personnel functions, fiscal/budget management, business services, word processing, data processing, systems development, and consultation on a variety of issues.

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $874,000 ($405,000 General Fund) for MSA, Price and Collective Bargaining funding for Policy Operations, Labor Relations, Legal, Administration, Benefits Administration and Training and Development Programs (10, 20, 25, 40, 54 and 56).

### 52 CLASSIFICATION AND COMPENSATION

The Classification and Compensation Program administers the State's classification and compensation program and the State's workforce modification policies and procedures.

### 54 BENEFITS ADMINISTRATION

The Benefits Administration Program administers the deferred compensation, dental insurance, life insurance, vision care insurance, employee assistance, flexelect benefit, and workers' compensation programs.

#### Major Budget Adjustment Proposed for 2001–02

- $120,000 (General Fund) to administer the Rural Health Care Equity Program.

### 56 TRAINING AND DEVELOPMENT

The Training and Development Program develops and delivers training courses, such as Continuous Improvement Training, designed to enhance the job-related skills, knowledges, and abilities of State employees.

### 58 MERIT AWARD

The objective of the Merit Award Program is to promote employee participation in improving the efficiency of State operations by providing appropriate awards in a timely manner to employees whose suggestions result in savings to the State.

*** Dollars in thousands, except in Salary Range.**

## 8380 DEPARTMENT OF PERSONNEL ADMINISTRATION—Continued

### PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 POLICY OPERATIONS** | | | |
| State Operations: | | | |
| *0001 General Fund* | – | *$3,849* | *$4,061* |
| *0915 Deferred Compensation Plan Fund* | – | *136* | *136* |
| *0995 Reimbursements* | – | *826* | *831* |
| Totals, State Operations | – | $4,811 | $5,028 |
| **20 LABOR RELATIONS** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$6,075* | *$2,247* | *$2,248* |
| *0367 Indian Gaming Special Distribution Fund* | – | *400* | – |
| Totals, State Operations | $6,075 | $2,647 | $2,248 |
| **25 LEGAL** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$572* | *$847* | *$1,110* |
| *0995 Reimbursements* | *4,046* | *3,677* | *3,904* |
| Totals, State Operations | $4,618 | $4,524 | $5,014 |
| **40 ADMINISTRATION** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$299* | *$308* | *$273* |
| *0995 Reimbursements* | *529* | *124* | *133* |
| Totals, State Operations | $828 | $432 | $406 |
| **52 CLASSIFICATION AND COMPENSATION** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$3,169* | – | – |
| *0995 Reimbursements* | *77* | – | – |
| Totals, State Operations | $3,246 | – | – |
| **54 BENEFITS ADMINISTRATION** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$900* | *$38,046* | *$20,440* |
| *0077 State Employee Scholarship Fund* | *250* | *150* | – |
| *Less funding provided by the General Fund* | *−400* | – | – |
| *0258 Work and Family Fund* | *173* | *5,327* | – |
| *Less funding provided by the General Fund* | *−500* | *−5,000* | – |
| *0821 Flexelect Benefit Fund* | *743* | *760* | *767* |
| *0915 Deferred Compensation Plan Fund* | *6,282* | *6,128* | *6,138* |
| *0995 Reimbursements* | *7,585* | *7,685* | *8,039* |
| Totals, State Operations | $15,033 | $53,096 | $35,384 |
| **56 TRAINING AND DEVELOPMENT** | | | |
| State Operations: | | | |
| *0995 Reimbursements* | *$3,541* | *$3,404* | *$3,644* |
| Totals, State Operations | $3,541 | $3,404 | $3,644 |
| **58 MERIT AWARD** | | | |
| State Operations: | | | |
| *0995 Reimbursements* | *$334* | – | – |
| Totals, State Operations | $334 | – | – |
| **TOTAL EXPENDITURES** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$11,015* | *$45,297* | *$28,132* |
| *0077 State Employee Scholarship Fund* | *250* | *150* | – |
| *Less funding provided by the General Fund* | *−400* | – | – |

*** Dollars in thousands, except in Salary Range.**

## 8380 DEPARTMENT OF PERSONNEL ADMINISTRATION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0258 Work and Family Fund* | *$173* | *$5,327* | – |
| *Less funding provided by the General Fund* | *–500* | *–5,000* | – |
| *0367 Indian Gaming Special Distribution Fund* | – | *400* | – |
| *0821 Flexelect Benefit Fund* | *743* | *760* | *$767* |
| *0915 Deferred Compensation Plan Fund* | *6,282* | *6,264* | *6,274* |
| *0995 Reimbursements* | *16,112* | *15,716* | *16,551* |
| TOTALS, EXPENDITURES | $33,675 | $68,914 | $51,724 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 252.2 | 296.1 | 292.1 | $12,513 | $15,249 | $15,353 |
| Total Adjustments | – | – | 4.0 | – | 16 | 256 |
| Estimated Salary Savings | – | –32.7 | –20.7 | – | –1,597 | –1,012 |
| Net Totals, Salaries and Wages | 252.2 | 263.4 | 275.4 | $12,513 | $13,668 | $14,597 |
| Staff Benefits | – | – | – | 2,414 | 2,438 | 2,492 |
| Totals, Personal Services | 252.2 | 263.4 | 275.4 | $14,927 | $16,106 | $17,089 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $18,325 | $14,392 | $14,822 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Other: | | | | | | |
| Rural Health Care Equity Program (Actives) | | | | – | 19,639 | 11,213 |
| Rural Health Care Equity Program (Annuitants) | | | | – | 12,900 | 8,600 |
| State Employee Scholarship Fund | | | | 250 | 150 | – |
| Work and Family Fund | | | | 173 | 5,327 | – |
| Indian Gaming Special Distribution Fund | | | | – | 400 | – |
| Totals, Special Items of Expense | | | | $423 | $38,416 | $19,813 |
| TOTALS, EXPENDITURES | | | | $33,675 | $68,914 | $51,724 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $5,817 | $7,146 | $7,692 |
| 004 Budget Act appropriation | – | 20,406 | 20,440 |
| 011 Budget Act appropriation (Loan to Indian Gaming Special Distribution Fund) | – | (400) | – |
| Chapter 446, Statutes of 1999 (Transfer to State Employee Scholarship Fund-0077) | 200 | – | – |
| Chapter 630, Statutes of 1999 (State Employee Scholarship Fund) | 200 | – | – |
| Chapter 770, Statutes of 1999 (Transfer to Work and Family Fund-0258) | 500 | – | – |
| Chapter 402, Statutes of 2000 (Transfer to Work and Family Fund-0258) | – | 5,000 | – |
| Chapter 402, Statutes of 2000 (Rural Area Health Program) | 175 | 12,639 | – |
| Allocation for employee compensation | 4,869 | 128 | – |
| Adjustment per Section 3.60 | –264 | –22 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Transfer to Legislative Claims (9670) | –1 | – | – |
| Totals Available | $11,498 | $45,297 | $28,132 |
| Unexpended balance, estimated savings | –483 | – | – |
| TOTALS, EXPENDITURES | $11,015 | $45,297 | $28,132 |

### 0077 State Employee Scholarship Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 19995.5(d) | $250 | $150 | – |
| Less funding provided by the General Fund | –400 | – | – |
| TOTALS, EXPENDITURES | –$150 | $150 | – |

*** Dollars in thousands, except in Salary Range.**

## 8380 DEPARTMENT OF PERSONNEL ADMINISTRATION—Continued

### 0258 Work and Family Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Government Code Section 19822.7 | $173 | $327 | – |
| Chapter 402, Statutes of 2000 | – | 5,000 | – |
| Totals Available | $173 | $5,327 | – |
| Less funding provided by the General Fund | –500 | –5,000 | – |
| TOTALS, EXPENDITURES | –$327 | $327 | – |

### 0367 Indian Gaming Special Distribution Fund [3]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | – | $400 | – |

### 0821 Flexelect Benefit Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $742 | $758 | $767 |
| Allocation for employee compensation | 14 | 4 | – |
| Adjustment per Section 3.60 | –12 | –2 | – |
| Totals Available | $744 | $760 | $767 |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | $743 | $760 | $767 |

### 0915 Deferred Compensation Plan Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $6,262 | $6,251 | $6,274 |
| Allocation for employee compensation | 112 | 29 | – |
| Adjustment per Section 3.60 | –92 | –16 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $6,283 | $6,264 | $6,274 |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | $6,282 | $6,264 | $6,274 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $16,112 | $15,716 | $16,551 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $33,675 | $68,914 | $51,724 |

### CLAIMS PAID: UNCLASSIFIED
### 0821 Flexelect Benefit Fund [n]

| | | | |
|---|---|---|---|
| Government Code Section 1156 (Claims Paid) | $13,782 | $15,100 | $15,100 |

---

## FUND CONDITION STATEMENT
### 0077 State Employee Scholarship Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | $150 | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8380 Department of Personnel Administration (State Operations) | $250 | 150 | – |
| Expenditure Reductions: | | | |
| 8380 Department of Personnel Administration (State Operations): | | | |
| Less funding provided by the General Fund | –400 | – | – |
| FUND BALANCE | $150 | – | – |

### 0258 Work and Family Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $327 | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8380 Department of Personnel Administration (State Operations) | $173 | 5,327 | – |
| Expenditure Reductions: | | | |
| 8380 Department of Personnel Administration (State Operations): | | | |
| Less funding provided by the General Fund | –500 | –5,000 | – |
| FUND BALANCE | $327 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 8380 DEPARTMENT OF PERSONNEL ADMINISTRATION—Continued

| 0821 Flexelect Benefit Fund [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $5,902 | $4,711 | $4,391 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215100 Surplus money investments | 237 | 240 | 240 |
| 216600 Fees and Licenses (Administrative fees) | 199 | 200 | 200 |
| 261900 Escheat of unclaimed checks | 17 | – | – |
| 299600 Other: | | | |
| Employee contributions-Health Care | 4,242 | 4,600 | 4,600 |
| Employee contributions-Dependent Care | 8,639 | 10,500 | 10,500 |
| Totals, Operating Revenues | $13,334 | $15,540 | $15,540 |
| Totals, Resources | $19,236 | $20,251 | $19,931 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8380 Department of Personnel Administration (State Operations) | 743 | 760 | 767 |
| Totals, State Operations | $743 | $760 | $767 |
| Other Disbursements: | | | |
| Health Care Reimbursement Accounts | 4,506 | 4,600 | 4,600 |
| Dependent Care Reimbursement Accounts | 9,276 | 10,500 | 10,500 |
| Totals, Unclassified | $13,782 | $15,100 | $15,100 |
| Totals, Disbursements | $14,525 | $15,860 | $15,867 |
| FUND BALANCE | $4,711 | $4,391 | $4,064 |
| Program Administration | 1,779 | 1,459 | 1,132 |
| Participants | 2,932 | 2,932 | 2,932 |

| 0915 Deferred Compensation Plan Fund [n] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $4,519,243 | $4,890,312 | $5,289,071 |
| Prior year adjustments | −152 | – | – |
| Balance, Adjusted | $4,519,091 | $4,890,312 | $5,289,071 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 215000 Income from Investments: | | | |
| 215100 Surplus money investments (DPA) | 104 | 100 | 100 |
| 215600 Interest on investments (Participants) | 232,972 | 243,000 | 243,000 |
| 299600 Fees and Licenses (Administrative fees) | 5,549 | 5,923 | 5,923 |
| 221600 Other (Employee contributions) | 307,911 | 336,000 | 336,000 |
| Totals, Operating Revenues | $546,536 | $585,023 | $585,023 |
| Totals, Resources | $5,065,627 | $5,475,335 | $5,874,094 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8380 Department of Personnel Administration (State Operations) | 6,282 | 6,264 | 6,274 |
| Other Disbursements: | | | |
| Payments to Participants | 169,033 | 180,000 | 180,000 |
| Totals, Disbursements | $175,315 | $186,264 | $186,274 |
| FUND BALANCE | $4,890,312 | $5,289,071 | $5,687,820 |
| Program Administration | 1,346 | 1,105 | 854 |
| Participants | 4,888,966 | 5,287,966 | 5,686,966 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 252.2 | 296.1 | 292.1 | $12,513 | $15,249 | $15,353 |
| Salary adjustments | – | – | – | – | 16 | 19 |
| Totals, Adjusted Authorized Positions | 252.2 | 296.1 | 292.1 | $12,513 | $15,265 | $15,372 |

*** Dollars in thousands, except in Salary Range.**

## 8380 DEPARTMENT OF PERSONNEL ADMINISTRATION—Continued

| Proposed New Positions: | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Program 10, Policy Operations: | | | | Salary Range | | |
| Sr Info Sys Analyst | – | – | 1.0 | $4,958–6,026 | – | $65 |
| Staff Svcs Mgr I | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Staff Pers Prog Analyst | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Pers Prog Analyst | – | – | 1.0 | 3,917–4,759 | – | 52 |
| Totals, Proposed New Positions | – | – | 4.0 | – | – | $237 |
| Total Adjustments | – | – | 4.0 | – | $16 | $256 |
| TOTALS, SALARIES AND WAGES | 252.2 | 296.1 | 296.1 | $12,513 | $15,265 | $15,609 |

# 8385 CALIFORNIA CITIZENS' COMPENSATION COMMISSION

The California Citizens' Compensation Commission establishes the annual salary and the medical, dental, insurance, and other similar benefits for the Governor, Lieutenant Governor, Attorney General, Secretary of State, Treasurer, Controller, Superintendent of Public Instruction, Insurance Commissioner, Members of the Legislature, and Members of the Board of Equalization. The Commission was established in June of 1990 by Proposition 112 and is composed of seven members appointed by the Governor.

The 2001–02 budget proposes $25,000 to fund the direct per diem and meeting expenses of the Commission members.

### Authority

Article III, Section 8 of the California Constitution.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10 California Citizens' Compensation Commission (General Fund) | $2 | $25 | $25 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Per Diem (Commission members) | $1 | $12 | $12 |
| Totals, Personal Services | $1 | $12 | $12 |
| OPERATING EXPENSES AND EQUIPMENT | $1 | $13 | $13 |
| TOTALS, EXPENDITURES | $2 | $25 | $25 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $25 | $25 | $25 |
| Unexpended balance, estimated savings | −23 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $2 | $25 | $25 |

# 8420 WORKERS' COMPENSATION BENEFITS

## (8430) Compensation Insurance Fund

The California State Compensation Insurance Fund is a self-supporting enterprise created to offer insurance protection to employers at the lowest possible cost. This fund operates in competition with other insurance carriers, and provides fair premium rates for employers and fair treatment for injured employees. The law requires that the fund use the same rates and classifications established by the State Insurance Commissioner for insurers generally, and provides that the rates shall be only sufficient to carry out the specific purposes stated in law.

Expenditure estimates for the budget year are based on the level of activity anticipated by the Fund's management. Changes in economic conditions may result in corresponding changes in the various items of expense.

The budget data presented is information available as an annual operations report. As a public enterprise fund authorized by statutes, no budget detail is presented.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8420 WORKERS' COMPENSATION BENEFITS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Authorized Positions | $255,674 | $298,619 | $318,050 |
| Staff Benefits | 47,114 | 87,648 | 63,935 |
| Totals, Personal Services | $302,788 | $386,267 | $381,985 |
| OPERATING EXPENSES AND EQUIPMENT | $292,061 | $318,281 | $373,065 |
| TOTALS, EXPENDITURES | $594,849 | $704,548 | $755,050 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0512 Compensation Insurance Fund [n]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Insurance Code, Sections 11770 and 11800.1 (8430) (expenditures) | $594,849 | $704,548 | $755,050 |

### 4 UNCLASSIFIED
### 0512 Compensation Insurance Fund [n]

| BENEFITS PAID | | | |
|---|---|---|---|
| Insurance Code Section 11800.1 (8430) (expenditures) | $1,269,120 | $1,421,913 | $1,722,000 |

## (8450) Subsequent Injuries

This program, authorized by Sections 4750–4755 of the Labor Code, provides benefits for permanent disabilities which arise from industrial injury to an employee who has suffered from a previous permanent disability.

Section 4706.5(b) of the Labor Code provides that where there are no heirs of a deceased employee or no persons entitled to death benefit payment, such payment will be made to the State. These moneys are continuously available for payment of benefits and partially offset the need for direct General Fund support.

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $5,507 | $5,507 | $5,507 |
| Allocation for contingencies and emergencies | 837 | – | – |
| Unexpended balance, estimated savings | −163 | – | – |
| TOTALS, EXPENDITURES (8450) | $6,181 | $5,507 | $5,507 |

### 0016 Subsequent Injuries Moneys Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,300 | $3,300 | $3,300 |
| Unexpended balance, estimated savings | −505 | – | – |
| TOTALS, EXPENDITURES (8450) | $2,795 | $3,300 | $3,300 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $8,976 | $8,807 | $8,807 |

*** Dollars in thousands, except in Salary Range.**

## 8420 WORKERS' COMPENSATION BENEFITS—Continued

### (8460) Disaster Service Workers

Chapter 10 of Part 1, Division 4 of the Labor Code provides for the funding of hospitalization and medical care for disaster service workers. It is the State Compensation Insurance Fund which administers this funding.

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $663 | $663 | $663 |
| TOTALS, EXPENDITURES (8460) (Local Assistance) | $663 | $663 | $663 |

### Workers' Compensation Costs

The Labor Code (Divisions 4 and 4.5, Sections 3200–6149) mandates a complete system of workers' compensation for all employees, public or private, who incur injuries or illnesses that are employment-related. The following table provides data on actual and estimated workers' compensation expenditures by state agencies. This table is informational only. All workers' compensation expenditures are included in the individual agency budgets.

State agencies are either legally uninsured against workers' compensation liability or are insured by the State Compensation Insurance Fund (SCIF) pursuant to Section 11870 of the Insurance Code. Benefits paid by the uninsured agencies and insurance policy premiums paid by the insured agencies are shown below. Pursuant to Section 11871 of the Insurance Code, claims against uninsured agencies are adjusted by SCIF under a Master Agreement entered into with the Department of Personnel Administration. Adjustment costs and other administrative charges under the Master Agreement are also included below.

Additionally, the table includes Industrial Disability Leave benefits available under Chapter 374, Statutes of 1974, which may be elected in lieu of workers' compensation temporary disability benefits. Special disability benefits available under Section 4800 of the Labor Code to members of the California Highway Patrol and safety members of the Department of Justice are also highlighted.

The unfunded liability of the State for estimated future payments of workers' compensation benefits as of June 30, 2000, based on carried case loss reserves, was $873,264,934.95. Based on current assumptions and trends, the State Compensation Insurance Fund anticipates that this liability will increase to $962,760,259.97 by June 30, 2001.

These estimates are based on case reserves established and maintained by claims adjusters. Historically, such reserves are typically less than ultimate costs because of late reported claims and loss development on known claims. These are not intended to be and should not be misinterpreted as actuarial estimates of estimated ultimate liability.

### WORKERS' COMPENSATION COSTS
### (Amounts in Whole Dollars)

| *SUMMARY OF COSTS* | *1997–98* | *1998–99* | *(Est) 1999–00* [1] | *(Est) 2000–01* | *(Est) 2001–02* |
|---|---|---|---|---|---|
| Policy Premium costs of insured State Agencies (all funds) | $2,742,212 | $3,040,522 | $3,741,979 | $4,500,000 | $5,250,000 |
| Benefit costs paid by uninsured State Agencies (all funds), exclusive of payments under Labor Code Sec. 4800 and Industrial Disability Leave | 222,661,935 | 240,959,575 | 276,255,126 | 300,000,000 | 325,000,000 |
| Benefits paid under Labor Code Section 4800: | | | | | |
| California Highway Patrol | 6,462,864 | 8,012,636 | 9,600,000 | 10,500,000 | 12,000,000 |
| Department of Justice | 377,237 | 462,753 | 550,000 | 600,000 | 750,000 |
| Industrial Disability Leave Benefits paid by State Agencies (all funds) | 36,655,333 | 39,842,556 | 43,000,000 | 46,000,000 | 49,000,000 |
| Administrative costs under Master Agreement with State Compensation Insurance Fund | 42,207,014 | 43,515,198 | 44,537,000 | 46,000,000 | 48,000,000 |
| Totals, Workers' Compensation Costs (all funds) | $311,106,595 | $335,833,240 | $377,684,105 | $407,600,000 | $440,000,000 |
| Number of Workers' Compensation Claims: | | | | | |
| Nondisabling | 14,406 | 16,128 | 15,475 | 15,500 | 15,550 |
| Disabling | 17,691 | 16,462 | 17,473 | 18,000 | 18,450 |
| Totals (Nondisabling/Disabling) | 32,097 | 32,590 | 32,948 | 33,500 | 34,000 |

*** Dollars in thousands, except in Salary Range.**

## 8420 WORKERS' COMPENSATION BENEFITS—Continued

| *SUMMARY OF COSTS* | *1997–98* | *1998–99* | *(Est) 1999–00* [1] | *(Est) 2000–01* | *(Est) 2001–02* |
|---|---|---|---|---|---|
| Section 4800: | | | | | |
| California Highway Patrol | $843 | $927 | $1,190 | $1,300 | $1,400 |
| Department of Justice | 40 | 50 | 50 | 60 | 65 |
| Industrial Disability Leave | 16,808 | 15,485 | 16,233 | 16,640 | 16,985 |
| Average incurred cost per claim (all claims), exclusive of Labor Code Section 4800 and Industrial Disability Leave | $6,937 | $7,394 | $10,354 | $10,990 | $11,108 |

[1] 1999 data is actual. 2000 data provided by the State Compensation Insurance Fund is estimated, and the actual data will be available after the end of the calendar year.

### FUND CONDITION STATEMENT
### 0016 Subsequent Injuries Moneys Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,395 | $439 | $439 |
| Prior year adjustments | −1,255 | – | – |
| Balance, Adjusted | $140 | $439 | $439 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161300 Subsequent injuries revenues (death benefits) | 3,094 | 3,300 | 3,300 |
| Totals, Resources | $3,234 | $3,739 | $3,739 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8450 Workers' Compensation Benefits (State Operations) | 2,795 | 3,300 | 3,300 |
| FUND BALANCE | $439 | $439 | $439 |
| Reserve for economic uncertainties | 439 | 439 | 439 |

# 8500 BOARD OF CHIROPRACTIC EXAMINERS

## Program Objectives Statement

The Board of Chiropractic Examiners was established by the Chiropractic Act of California, an initiative measure passed by the voters in 1922. The Act assigned to the Board primary responsibility for assuring the consumers of California that providers of chiropractic services are adequately trained and meet recognized standards of performance for treatment and practice. The Board uses licensing, continuing education and disciplinary procedures to maintain standards. It also sets educational standards for recognized chiropractic colleges, reviews complaints, and investigates possible violations of the Chiropractic Act.

## Major Budget Adjustments Proposed for 2001–02

- An augmentation of $87,000 and 1.0 personnel year for administrative workload.
- An augmentation of $50,000 for technology consultant services.
- An augmentation of $214,000 for Attorney General costs.

## Authority

Chiropractic Act of California adopted in 1922.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Board of Chiropractic Examiners | 11.0 | 11.5 | 12.5 | $1,775 | $1,848 | $2,159 |
| TOTALS, PROGRAMS | 11.0 | 11.5 | 12.5 | $1,775 | $1,848 | $2,159 |
| *0152 State Board of Chiropractic Examiners Fund* | | | | *1,725* | *1,807* | *2,118* |
| *0995 Reimbursements* | | | | *50* | *41* | *41* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8500 BOARD OF CHIROPRACTIC EXAMINERS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 11.0 | 11.5 | 11.5 | $430 | $532 | $549 |
| Total Adjustments | – | – | 1.0 | – | – | 52 |
| Net Totals, Salaries and Wages | 11.0 | 11.5 | 12.5 | $430 | $532 | $601 |
| Staff Benefits | – | – | – | 74 | 83 | 98 |
| Totals, Personal Services | 11.0 | 11.5 | 12.5 | $504 | $615 | $699 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,271 | $1,233 | $1,460 |
| TOTALS, EXPENDITURES | | | | $1,775 | $1,848 | $2,159 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0152 State Board of Chiropractic Examiners Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,759 | $1,810 | $2,118 |
| Allocation for employee compensation | 48 | 2 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 9 | – | – |
| Adjustment per Section 3.60 | −29 | −5 | – |
| Totals Available | $1,787 | $1,807 | $2,118 |
| Unexpended balance, estimated savings | −62 | – | – |
| TOTALS, EXPENDITURES | $1,725 | $1,807 | $2,118 |
| **0995 Reimbursements** | | | |
| Reimbursements | $50 | $41 | $41 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,775 | $1,848 | $2,159 |

### FUND CONDITION STATEMENT
### 0152 State Board of Chiropractic Examiners Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $3,263 | $3,828 | $4,310 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | 101 | 101 | 101 |
| 125800 Renewal fees | 1,817 | 1,825 | 1,825 |
| 125900 Delinquent fees | 69 | 69 | 69 |
| 141200 Sales documents | 2 | 2 | 2 |
| 150300 Income from surplus money investments | 208 | 200 | 200 |
| 161000 Escheat of unclaimed, checks and other | 1 | – | – |
| 161400 Miscellaneous revenue | 72 | 72 | 72 |
| 161900 Other revenue cost recovery | 20 | 20 | 20 |
| Totals, Revenues | $2,290 | $2,289 | $2,289 |
| Totals, Resources | $5,553 | $6,117 | $6,599 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8500 Board of Chiropractic Examiners (State Operations) | 1,725 | 1,807 | 2,118 |
| FUND BALANCE | $3,828 | $4,310 | $4,481 |
| Reserve for economic uncertainties | 3,828 | 4,310 | 4,481 |

*** Dollars in thousands, except in Salary Range.**

## 8500 BOARD OF CHIROPRACTIC EXAMINERS—Continued

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 11.0 | 11.5 | 11.5 | $430 | $532 | $549 |
| Proposed New Positions: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,764–4,576 | – | 52 |
| Totals, Proposed New Positions | – | – | 1.0 | – | – | $52 |
| Total Adjustments | – | – | 1.0 | – | – | $52 |
| TOTALS, SALARIES AND WAGES | 11.0 | 11.5 | 12.5 | $430 | $532 | $601 |

# 8510 OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA

### Program Objectives Statement

The Osteopathic Medical Board of California sets and enforces standards for licensure of California osteopathic physicians and surgeons. By conducting examinations, investigations and disciplinary proceedings, the Board ensures that recognized standards of practice and treatment are maintained by its licentiates.

### Authority

Osteopathic Initiative Act adopted in 1922, as amended in 1962; Business and Professions Code Sections 2360–2370 and 2450–2459.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Osteopathic Medical Board | 4.2 | 4.1 | 4.1 | $948 | $913 | $939 |
| TOTALS, PROGRAMS | 4.2 | 4.1 | 4.1 | $948 | $913 | $939 |
| *0264 Osteopathic Medical Board of California Contingent Fund* | | | | *870* | *897* | *889* |
| *0995 Reimbursements* | | | | *78* | *16* | *50* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 4.2 | 4.1 | 4.1 | $186 | $190 | $193 |
| Net Totals, Salaries and Wages | 4.2 | 4.1 | 4.1 | $186 | $190 | $193 |
| Staff Benefits | – | – | – | 33 | 34 | 35 |
| Totals, Personal Services | 4.2 | 4.1 | 4.1 | $219 | $224 | $228 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $729 | $689 | $711 |
| TOTALS, EXPENDITURES | | | | $948 | $913 | $939 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0264 Osteopathic Medical Board of California Contingent Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $906 | $899 | $889 |
| Allocation for employee compensation | 15 | 1 | – |
| Allocation for Year 2000 per Item 9904-001-0494 | 6 | – | – |
| Adjustment per Section 3.60 | −12 | −3 | – |
| Totals Available | $915 | $897 | $889 |
| Unexpended balance, estimated savings | −45 | – | – |
| TOTALS, EXPENDITURES | $870 | $897 | $889 |
| **0995 Reimbursements** | | | |
| Reimbursements | $78 | $16 | $50 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $948 | $913 | $939 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8510 OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA—Continued

### FUND CONDITION STATEMENT

| 0264 Osteopathic Medical Board of California Contingent Fund [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $2,053 | $2,179 | $2,150 |
| Prior year adjustments | 160 | – | – |
| Balance, Adjusted | $2,213 | $2,179 | $2,150 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits (licenses, fees, penalties, and fines) | 698 | 668 | 668 |
| 125900 Delinquent fees | 6 | 9 | 9 |
| 150300 Income from surplus money investments | 131 | 54 | 54 |
| 161400 Miscellaneous revenue | 1 | – | – |
| Totals, Revenues | $836 | $731 | $731 |
| Transfers from Other Funds: | | | |
| F00942 Special Deposit Fund per Section 12.20, Budget Acts of 1998 and 2000 | – | 137 | – |
| Totals, Revenues and Transfers | $836 | $868 | $731 |
| Totals, Resources | $3,049 | $3,047 | $2,881 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8510 Osteopathic Medical Board (State Operations) | 870 | 897 | 889 |
| FUND BALANCE | $2,179 | $2,150 | $1,992 |
| Reserve for economic uncertainties | 2,179 | 2,150 | 1,992 |

## 8530 BOARD OF PILOT COMMISSIONERS FOR THE BAYS OF SAN FRANCISCO, SAN PABLO AND SUISUN

The Board of Pilot Commissioners for the Bays of San Francisco, San Pablo and Suisun provides qualified pilots for vessels entering or leaving those bays. The seven member Board, appointed by the Governor, administers the program of licensing and regulating pilots by training pilots, conducting examinations and acting on complaints. The Board is funded through an assessment on pilotage fees of up to 7.5 percent and a special surcharge on ship movements to provide funds for pilot training.

### Authority

Section 1150, et seq., Harbors and Navigation Code.

### SUMMARY OF PROGRAM REQUIREMENTS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Board of Pilot Commissioners | 2.0 | 2.0 | 2.0 | $871 | $1,183 | $1,184 |
| *0290 Board of Pilot Commissioners' Special Fund* | | | | *871* | *1,183* | *1,184* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 2.0 | 2.0 | 2.0 | $136 | $149 | $149 |
| Net Totals, Salaries and Wages | 2.0 | 2.0 | 2.0 | $136 | $149 | $149 |
| Staff Benefits | – | – | – | 24 | 21 | 21 |
| Totals, Personal Services | 2.0 | 2.0 | 2.0 | $160 | $170 | $170 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $711 | $1,013 | $1,014 |
| TOTALS, EXPENDITURES | | | | $871 | $1,183 | $1,184 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8530 BOARD OF PILOT COMMISSIONERS FOR THE BAYS OF SAN FRANCISCO, SAN PABLO AND SUISUN—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0290 Board of Pilot Commissioners' Special Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,199 | $1,183 | $1,184 |
| Allocation for employee compensation | 12 | 2 | – |
| Adjustment per Section 3.60 | –7 | –2 | – |
| Totals Available | $1,204 | $1,183 | $1,184 |
| Unexpended balance, estimated savings | –333 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $871 | $1,183 | $1,184 |

### FUND CONDITION STATEMENT
### 0290 Board of Pilot Commissioners' Special Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $1,775 | $1,886 | $1,916 |
| Prior year adjustments | –413 | – | – |
| Balance, Adjusted | $1,362 | $1,886 | $1,916 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125700 Other regulatory licenses and permits (licenses, fees, penalties and fines) | 1,315 | 1,119 | 1,119 |
| 150300 Income from surplus money investments | 80 | 94 | 96 |
| Totals, Revenues and Transfers | $1,395 | $1,213 | $1,215 |
| Totals, Resources | $2,757 | $3,099 | $3,131 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8530 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo and Suisun (State Operations) | 871 | 1,183 | 1,184 |
| FUND BALANCE | $1,886 | $1,916 | $1,947 |
| Reserve for economic uncertainties | 1,886 | 1,916 | 1,947 |

# 8550 CALIFORNIA HORSE RACING BOARD

## Program Objectives Statement

The purpose of the California Horse Racing Board is to regulate parimutuel wagering for the protection of the betting public, to promote the horse racing and breeding industries, and to maximize State of California tax revenues.

In 1933, the people of the State of California adopted a constitutional amendment which created the California Horse Racing Board. The measure wrote many safeguards into the law and gave complete jurisdiction and supervision over all racing activities to the State of California acting through the Board. Pursuant to these powers, the Board passed rules establishing the authority of the stewards, but made the stewards strictly and completely responsible to the Board for all their actions.

The Board, currently a seven-member commission appointed by the Governor, supervises all race meetings in the state where parimutuel wagering is conducted. Principal activities of the Board include: protecting the betting public; licensing of racing associations; sanctioning of every person who participates in any phase of horse racing; designating racing days and charity days; acting as a quasi-judicial body in matters pertaining to horse racing meets; collecting the state's lawful share of revenue derived from horse racing meets; and enforcing laws, rules, and regulations pertaining to horse racing in California. The state's revenue from horse racing is principally derived from fees based upon a percentage of the parimutuel wagering pools, breakage (the odd cents not paid to winning ticket holders), and unclaimed tickets. Additional revenue is derived from licenses issued to horse owners, trainers, jockeys, grooms and others, and from fines.

## Major Budget Adjustments Proposed for 2001–02

- One-time funding of $98,000 Fair and Exposition Fund to purchase badging system equipment.
- $121,000 Fair and Exposition Fund for increased costs for the Attorney General, Office of Administrative Hearings, and the Teale Data Center.

## Authority

Section 19(b) of Article IV of the State Constitution and Sections 19400 through 19705 of the Business and Professions Code.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8550 CALIFORNIA HORSE RACING BOARD—Continued

### SUMMARY OF PROGRAM REQUIREMENTS

| | | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|---|
| 10 | California Horse Racing Board | 35.1 | 41.4 | 40.4 | $7,845 | $8,109 | $8,415 |
| 20.01 | Administration | 26.1 | 27.0 | 27.0 | 6,142 | 6,377 | 6,588 |
| 20.02 | Distributed Administration | – | – | – | −6,142 | −6,377 | −6,588 |
| TOTALS, PROGRAMS | | 61.2 | 68.4 | 67.4 | $7,845 | $8,109 | $8,415 |
| *0191* | *Fair and Exposition Fund* | | | | *7,582* | *7,846* | *8,152* |
| *0942* | *Racetrack Security Account, Special Deposit Fund* | | | | *263* | *263* | *263* |

### 20 DEPARTMENTAL ADMINISTRATION

A total of 27.0 personnel years and $6,588,000 will be utilized during the 2001–02 fiscal year to perform administration functions for the board. The costs of these functions are allocated back to licensing ($1,976,400) and enforcement ($4,611,600).

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 CALIFORNIA HORSE RACING BOARD
### ELEMENT REQUIREMENTS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10.10 Licensing | | | |
| *0191 Fair and Exposition Fund* | *$2,288* | *$2,361* | *$2,460* |
| *0942 Racetrack Security Account, Special Deposit Fund* | *79* | *79* | *79* |
| 10.20 Enforcement | | | |
| *0191 Fair and Exposition Fund* | *5,294* | *5,485* | *5,692* |
| *0942 Racetrack Security Account, Special Deposit Fund* | *184* | *184* | *184* |
| TOTALS, EXPENDITURES | $7,845 | $8,109 | $8,415 |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 61.2 | 72.0 | 72.0 | $2,781 | $3,335 | $3,407 |
| Total Adjustments | – | – | −1.0 | – | 161 | 185 |
| Estimated Salary Savings | – | −3.6 | −3.6 | – | −167 | −170 |
| Net Totals, Salaries and Wages | 61.2 | 68.4 | 67.4 | $2,781 | $3,329 | $3,422 |
| Staff Benefits | – | – | – | 401 | 499 | 542 |
| Totals, Personal Services | 61.2 | 68.4 | 67.4 | $3,182 | $3,828 | $3,964 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $4,663 | $4,281 | $4,451 |
| TOTALS, EXPENDITURES | | | | $7,845 | $8,109 | $8,415 |

---

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0191 Fair and Exposition Fund [s]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $7,532 | $7,681 | $8,152 |
| Allocation for employee compensation | 283 | 161 | – |
| Adjustment per Section 3.60 | −214 | 4 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Totals Available | $7,603 | $7,846 | $8,152 |
| Unexpended balance, estimated savings | −21 | – | – |
| TOTALS, EXPENDITURES | $7,582 | $7,846 | $8,152 |

*** Dollars in thousands, except in Salary Range.**

## 8550 CALIFORNIA HORSE RACING BOARD—Continued

### 0942 Racetrack Security Account, Special Deposit Fund [n]

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $263 | $263 | $263 |
| 011 Budget Act appropriation (estimated transfer to the General Fund) | (2,000) | (2,000) | (2,000) |
| Revised transfer to the General Fund | (1,520) | (2,000) | (2,000) |
| TOTALS, EXPENDITURES | $263 | $263 | $263 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $7,845 | $8,109 | $8,415 |

### FUND CONDITION STATEMENT

| 0942 Racetrack Security Account, Special Deposit Fund [n] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 299000 Unclaimed parimutuel tickets | $1,783 | $2,263 | $2,263 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Budget Act Item 8550-011-0942 | −1,520 | −2,000 | −2,000 |
| Totals, Revenues and Transfers | $263 | $263 | $263 |
| Totals, Resources | $263 | $263 | $263 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8550 California Horse Racing Board (Security) (State Operations) | 263 | 263 | 263 |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 61.2 | 72.0 | 72.0 | $2,781 | $3,335 | $3,407 |
| Salary adjustments | – | – | – | – | 161 | 185 |
| Totals, Adjusted Authorized Positions | 61.2 | 72.0 | 72.0 | $2,781 | $3,496 | $3,592 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| Racing Lic Techn II | – | – | −1.0 | Salary Range 2,348–2,855 | – | – |
| Totals, Workload and Administrative Adjustments | – | – | −1.0 | – | – | – |
| Total Adjustments | – | – | −1.0 | – | $161 | $185 |
| TOTALS, SALARIES AND WAGES | 61.2 | 72.0 | 71.0 | $2,781 | $3,496 | $3,592 |

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE

The objectives of the Department of Food and Agriculture are:

- To serve the diverse citizens of California by maintaining an abundant, affordable, safe, and nutritious food supply.
- To provide leadership, innovation and oversight in the production and marketing of agricultural products.
- To prevent or eradicate animal diseases and exotic and invasive species harmful to people, commerce, and the environment.
- To develop and enforce weights and measures standards for all types of products in California and at all levels of commerce.
- To support a network of fairs and expositions in the state for their societal and economic service values.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 11 Agricultural Plant and Animal Health; Pest Prevention; Food Safety Services | 965.4 | 1,089.7 | 1,101.1 | $109,993 | $137,618 | $132,826 |
| 21 Marketing; Commodities and Agricultural Services | 572.6 | 744.3 | 633.4 | 50,244 | 62,031 | 62,627 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 31 Assistance to Fairs and County Agricultural Activities | 30.0 | 27.2 | 27.2 | $46,366 | $54,066 | $54,355 |
| 41 Executive, Management and Administrative Services | 156.0 | 178.1 | 178.1 | 10,522 | 11,624 | 12,184 |
| Distributed Executive, Management and Administrative Services | – | – | – | −9,463 | −10,319 | −10,895 |
| TOTALS, PROGRAMS | 1,724.0 | 2,039.3 | 1,939.8 | $207,662 | $255,020 | $251,097 |
| *0001 General Fund* | | | | *90,250* | *102,444* | *98,510* |
| *0111 Department of Agriculture Fund, Totals* | | | | *82,576* | *95,817* | *97,001* |
| *Agriculture Fund* | | | | *(31,291)* | *(38,044)* | *(38,543)* |
| *Agriculture Fund, Section 221* | | | | *(49,485)* | *(56,673)* | *(57,358)* |
| *Agriculture Fund, Section 224(b)* | | | | *(1,800)* | *(1,000)* | *(1,000)* |
| *Agriculture Fund, Section 226* | | | | *(–)* | *(100)* | *(100)* |
| *Noxious Weed Management Account* | | | | *(200)* | *(5,200)* | *(200)* |
| *Less funding provided by the General Fund* | | | | *(−200)* | *(−5,200)* | *(−200)* |
| *0124 California Agricultural Export Promotion Account* | | | | *358* | *300* | *300* |
| *0191 Fairs and Exposition Fund* | | | | *12,926* | *16,270* | *17,407* |
| *0192 Satellite Wagering Account* | | | | *10,964* | *11,530* | *11,534* |
| *0422 Drainage Management Subaccount* | | | | *–* | *1,000* | *1,000* |
| *0516 Harbors and Watercraft Revolving Fund* | | | | *936* | *948* | *947* |
| *0601 Department of Agriculture Building Fund* | | | | *1,432* | *1,590* | *1,590* |
| *Agriculture Building Fund, Section 625* | | | | *495* | *90* | *90* |
| *Less expenditures already reflected in other appropriations for Department of Food and Agriculture* | | | | *−1,927* | *−1,680* | *−1,680* |
| *0890 Federal Trust Fund* | | | | *3,390* | *13,636* | *8,895* |
| *0995 Reimbursements* | | | | *9,258* | *10,079* | *9,759* |
| *3010 Pierce's Disease Management Account* | | | | *3,904* | *19,570* | *19,570* |
| *Less funding provided by the General Fund* | | | | *−6,900* | *−6,900* | *−8,900* |
| *Less funding provided by the Federal Trust Fund* | | | | *–* | *−9,674* | *−4,926* |
| *3021 Agricultural Biomass Utilization Account* | | | | *–* | *2,000* | *–* |
| *Less funding provided by the General Fund* | | | | *–* | *−2,000* | *–* |

## 11 AGRICULTURAL PLANT AND ANIMAL HEALTH; PEST PREVENTION; FOOD SAFETY SERVICES

### Program Objectives Statement

The primary objective of this program is to prevent the introduction and establishment of serious plant and animal pests and diseases, particularly those that can be transmitted to humans, cause serious financial losses to the agricultural industry in California, or adversely affect the supply of agricultural products to the consumer. The following activities are carried out by program staff either directly or in concert with the U.S. Department of Agriculture and county agricultural commissioners:

1) protect the livestock industry against losses of animals by theft and straying, 2) facilitate the orderly marketing of nursery stock, 3) assure seed quality, and 4) facilitate the phytosanitary certification of agricultural commodities for the domestic and foreign export markets.

### Major Budget Adjustments Proposed for 2001–02

- $501,000 General Fund and 4.0 positions (3.8 personnel years) to protect consumers of meat and poultry products. This proposal will provide investigative staff to conduct complex investigative and enforcement activities necessary to combat the public health threat from illegally processed and manufactured meat and poultry products.
- $12,399,000 General Fund and 189.0 positions (186.4 personnel years) to continue the comprehensive strategy to reduce the growing threat to California from invasive pests. This strategy would make permanent key components of the current statewide pest prevention program, the parcels inspection program and the preventive release program.
- An augmentation of $2 million General Fund, $4.9 million Federal Funds and $5.7 million Pierce's Disease Management Account to continue the Department's aggressive and comprehensive efforts to address Pierce's Disease and its vectors.

### Authority

Food and Agricultural Code, Division 1, Part 1; Chapter 3; Division 4; Division 5, Parts 1, 2, 3, 4; Division 8; Division 9, Parts 1, 2, 3; Division 10, Chapters 1–10; Division 11, Chapters 1–9; Division 12, Parts 1, 2, 3; Division 13, Chapter 1; Division 15; Division 19, Chapter 5.

## 21 MARKETING; COMMODITIES AND AGRICULTURAL SERVICES

### Program Objectives Statement

California agriculture produces over 250 different crops which enter state, national, and international commerce. The objectives of this program are to assure orderly domestic and international marketing, reduction of economic waste, adequate supply of commodities, consumer protection, fair pricing practices, industry supported grading services, and standards of measurement which provide a basis of value comparison and fair competition in the marketplace.

Program elements work cooperatively with county agricultural commissioners and sealers of weights and measures, state and federal agencies, agricultural industry groups and marketing order programs. Several program elements operate under cooperative agreements, delegations of authority and contracts with federal agencies. Certain program elements have requirements for federal supervision and federal licensing of state employees.

This program also provides support to governmental agencies needing chemical or product determinations by providing accurate and timely chemical analyses.

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

### Major Budget Adjustments Proposed for 2001–02

- $566,000 General Fund, $670,000 Agriculture Fund and $66,000 Fairs and Expositions Fund to support on-going facilities operations due to the relocation and consolidation of CDFA headquarters staff in one location.
- $800,000 General Fund to implement the Central Valley Assessment Project. These funds, combined with federal funds over a three-year period, will build a comprehensive, user-friendly interface, so that the information can be readily accessed for policy and programmatic decision-making at local, state, and national levels, and to prepare and publish an initial assessment of certain Central Valley conditions and trends organized by major topics.
- $507,000 Reimbursements and 5.0 positions (4.8 personnel years) to support CAL FED program activities. The resources will be utilized to assist in Ecosystem Restoration, Watershed Management, Water Use Efficiency and Program Management elements of the comprehensive CAL FED strategy.

### Authority

Food and Agricultural Code, Division 7, Chapter 4, 5, 6; Division 12; Division 16, Chapters 1, 2, 3, 4, 5; Division 17; Division 18, Chapter 1; Division 20, Chapters 2, 6, 7, 7.5; Division 21, Parts 1, 2, 3; Division 22.

Business and Professions Code, Division 5, Chapters 1 through 17.

## 31 ASSISTANCE TO FAIRS AND COUNTY AGRICULTURAL ACTIVITIES

### Program Objectives Statement

This program provides financial and administrative assistance to fairs, and partially reimburses counties for carrying out agricultural programs authorized by the Food and Agricultural Code under the supervision of the Department of Food and Agriculture.

The state has a total of 80 county fairs, citrus fruit fairs and district fairs. The majority of county fairs are conducted by nonprofit corporations under contract with county boards of supervisors. Citrus fruit fairs are state instrumentalities operated by nonprofit corporations. District fairs are operated by district agricultural associations, which are state institutions with Governor-appointed directors. State support for these local fairs is administered by Assistance to Fairs and County Agricultural Activities, which oversees budget approval and the capital outlay program.

### Major Budget Adjustment Proposed for 2001–02

- $355,000 Fairs and Expositions Fund to fund workload associated with the fiscal and administrative oversight of the District Agricultural Associations and to align funding for administrative staffing supporting the Fairs and Expositions Division. A technical adjustment is reflected to provide appropriate funding from the Fairs and Expositions Fund.

## 41 EXECUTIVE, MANAGEMENT AND ADMINISTRATIVE SERVICES

### Program Objectives Statement

The objectives of this program are to provide leadership to meet current and future agriculture problems and to assist the department in meeting its overall goal through timely, efficient support services.

Executive and Management include the executive leadership of the Secretary's office and the staff services associated with it. The Secretary's office sets priorities and policies which recognize and meet the current and long-range needs of the agribusiness community of this state, as well as helping to protect the health and welfare of the public.

Administrative Services provides centralized administrative support to the department through fiscal operations, employee-employer relations, personnel management, employee training and development, data processing, general business services and audits.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 11 AGRICULTURAL PLANT AND ANIMAL HEALTH; PEST PREVENTION; FOOD SAFETY SERVICES

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | *$76,474* | *$85,818* | *$82,661* |
| *0111 Agriculture Fund, Totals* | *22,439* | *25,222* | *25,193* |
| *Agriculture Fund* | *(5,255)* | *(6,716)* | *(6,563)* |
| *Agriculture Fund, Section 221* | *(15,384)* | *(17,506)* | *(17,630)* |
| *Agriculture Fund, Section 224(b)* | *(1,800)* | *(1,000)* | *(1,000)* |
| *Noxious Weed Management Account* | *(200)* | *(5,200)* | *(200)* |
| *Less funding provided by the General Fund* | *(−200)* | *(−5,200)* | *(−200)* |
| *0112 Agriculture Pest Control Research Account* | *–* | *5* | *5* |
| *Ethanol Fuel Loans, Section 505* | *–* | *−5* | *−5* |
| *3010 Pierce's Disease Management Account* | *3,904* | *19,570* | *19,570* |
| *Less funding provided by the General Fund* | *−6,900* | *−6,900* | *−8,900* |
| *Less funding provided by the Federal Trust Fund* | *–* | *−9,674* | *−4,926* |
| *0516 Harbors and Watercraft Revolving Fund* | *936* | *948* | *947* |
| *0890 Federal Trust Fund* | *802* | *10,336* | *5,588* |
| *0995 Reimbursements* | *1,823* | *1,783* | *2,163* |
| Totals, State Operations | $99,478 | $127,103 | $122,296 |
| Local Assistance: | | | |
| *0001 General Fund* | *10,515* | *10,515* | *10,530* |
| Totals, Local Assistance | $10,515 | $10,515 | $10,530 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

### ELEMENT REQUIREMENTS

### 11.10 Animal Health and Food Safety Services

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 0001 General Fund | $19,317 | $19,898 | $21,146 |
| 0111 Agriculture Fund, Totals | 8,282 | 9,980 | 9,865 |
| Agriculture Fund | (3,656) | (4,964) | (4,804) |
| Agriculture Fund, Section 221 | (4,626) | (5,016) | (5,061) |
| 0890 Federal Trust Fund | 224 | 174 | 174 |
| 0995 Reimbursements | 642 | 803 | 803 |
| 11.10.10 Animal Health | | | |
| State Operations: | | | |
| 0001 General Fund | 5,484 | 5,592 | 5,590 |
| 0111 Agriculture Fund | 12 | 12 | 13 |
| Agriculture Fund, Section 221 | 479 | 593 | 595 |
| 0890 Federal Trust Fund | 74 | 23 | 23 |
| 0995 Reimbursements | 439 | 452 | 452 |
| 11.10.15 Wildlife Services | | | |
| State Operations: | | | |
| 0001 General Fund | 1,244 | 1,250 | 1,250 |
| 11.10.20 California Veterinary Laboratory | | | |
| State Operations: | | | |
| 0001 General Fund | 9,612 | 9,616 | 10,414 |
| 11.10.30 Meat and Poultry Inspection | | | |
| State Operations: | | | |
| 0001 General Fund | 1,837 | 1,928 | 2,440 |
| 0111 Agriculture Fund | 4 | 4 | 4 |
| Agriculture Fund, Section 221 | 177 | 153 | 156 |
| 0890 Federal Trust Fund | 150 | 150 | 150 |
| 11.10.40 Milk and Dairy Foods Control | | | |
| State Operations: | | | |
| 0001 General Fund | 1,140 | 1,512 | 1,452 |
| 0111 Agriculture Fund | 3,540 | 4,545 | 4,384 |
| Agriculture Fund, Section 221 | 650 | 740 | 745 |
| 0890 Federal Trust Fund | – | 1 | 1 |
| 0995 Reimbursements | 158 | 306 | 306 |
| 11.10.60 Livestock Identification | | | |
| State Operations: | | | |
| 0111 Agriculture Fund | 100 | 403 | 403 |
| Agriculture Fund, Section 221 | 3,320 | 3,530 | 3,565 |
| 0995 Reimbursements | 45 | 45 | 45 |

### 11.20 Agricultural Plant Health and Pest Prevention

| State Operations: | | | |
|---|---|---|---|
| 0001 General Fund | 56,672 | 65,292 | 61,010 |
| 0111 Agriculture Fund, Totals | 14,107 | 14,242 | 14,328 |
| Agriculture Fund | (1,599) | (1,752) | (1,759) |
| Agriculture Fund, Section 221 | (10,708) | (12,490) | (12,569) |
| Agriculture Fund, Section 224(b) | (1,800) | – | – |
| Noxious Weed Management Account | (200) | (5,200) | (200) |
| Less expenditures from the General Fund | (−200) | (−5,200) | (−200) |
| 0112 Agricultural Pest Control Research Account | – | 5 | 5 |
| Ethanol Fuel Loans, Section 505 | – | −5 | −5 |
| 0516 Harbors and Watercraft Revolving Fund | 936 | 948 | 947 |
| 0890 Federal Trust Fund | 578 | 10,162 | 5,414 |
| 0995 Reimbursements | 1,181 | 980 | 853 |
| 3010 Pierce's Disease Management Account | 3,904 | 19,570 | 19,570 |
| Less expenditures from the General Fund | −6,900 | −6,900 | −8,900 |
| Less expenditures from the Federal Trust Fund | – | −9,674 | −4,926 |
| Local Assistance: | | | |
| 0001 General Fund | 10,515 | 10,515 | 10,530 |
| 11.20.15 Exclusion of Plant Pests and Diseases | | | |
| State Operations: | | | |
| 0001 General Fund | 13,682 | 14,075 | 14,382 |
| 0890 Federal Trust Fund | 10 | 10 | 10 |
| 0995 Reimbursements | 67 | 105 | 105 |
| Local Assistance: | | | |
| 0001 General Fund | 5,500 | 5,500 | 5,500 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 11.20.20 Integrated Pest Control | | | |
| State Operations: | | | |
| *0001 General Fund* | *$11,646* | *$16,334* | *$13,353* |
| *0111 Agriculture Fund* | *1,494* | *1,568* | *1,577* |
| *Agriculture Fund, Section 221* | *6,152* | *6,761* | *6,802* |
| *Agriculture Fund, Section 224(b)* | *330* | *–* | *–* |
| *Noxious Weed Management Account* | *200* | *5,200* | *200* |
| *Less expenditures from the General Fund* | *−200* | *−5,200* | *−200* |
| *0516 Harbors and Watercraft Revolving Fund* | *936* | *948* | *947* |
| *0890 Federal Trust Fund* | *324* | *10,139* | *5,391* |
| *0995 Reimbursements* | *613* | *554* | *555* |
| *3010 Pierce's Disease Management Account* | *3,904* | *19,570* | *19,570* |
| *Less expenditures from the General Fund* | *−6,900* | *−6,900* | *−8,900* |
| *Less expenditures from the Federal Trust Fund* | *–* | *−9,674* | *−4,926* |
| 11.20.25 Pest Detection and Emergency Projects | | | |
| State Operations: | | | |
| *0001 General Fund* | *25,350* | *27,664* | *26,030* |
| *Agriculture Fund 224(b)* | *1,470* | *–* | *–* |
| *0890 Federal Trust Fund* | *200* | *–* | *–* |
| *0995 Reimbursements* | *26* | *–* | *–* |
| Local Assistance: | | | |
| *0001 General Fund* | *5,015* | *5,015* | *5,030* |
| 11.20.30 Plant Diagnostic Lab | | | |
| State Operations: | | | |
| *0001 General Fund* | *5,517* | *5,888* | *5,911* |
| *0111 Agriculture Fund* | *39* | *117* | *117* |
| *Agriculture Fund, Section 221* | *–* | *150* | *150* |
| *0112 Agricultural Pest Control Research Account* | *–* | *5* | *5* |
| *Ethanol Fuel Loans, Section 505* | *–* | *−5* | *−5* |
| *0890 Federal Trust Fund* | *44* | *13* | *13* |
| *0995 Reimbursements* | *390* | *253* | *125* |
| 11.20.40 Nursery Services | | | |
| State Operations: | | | |
| *0111 Agriculture Fund* | *44* | *44* | *43* |
| *Agriculture Fund, Section 221* | *3,003* | *3,664* | *3,694* |
| 11.20.55 Seed Service | | | |
| State Operations: | | | |
| *0111 Agriculture Fund* | *22* | *23* | *22* |
| *Agriculture Fund, Section 221* | *1,553* | *1,915* | *1,923* |
| *0995 Reimbursements* | *85* | *68* | *68* |
| 11.20.60 Sterile Fruit Fly Production Facility | | | |
| State Operations: | | | |
| *0001 General Fund* | *477* | *1,331* | *1,334* |
| **11.80 Emergency Funding** | | | |
| State Operations: | | | |
| *0111 Agriculture Fund, Section 224(b)* | *–* | *1,000* | *1,000* |
| **11.85 Policy and Planning** | | | |
| State Operations: | | | |
| *0001 General Fund* | *485* | *628* | *505* |
| *0111 Agriculture Fund, Section 221* | *50* | *–* | *–* |
| *0995 Reimbursements* | *–* | *–* | *507* |
| **PROGRAM REQUIREMENTS** | | | |
| **21 MARKETING; COMMODITIES AND AGRICULTURAL SERVICES** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$2,878* | *$5,653* | *$4,936* |
| *0111 Agriculture Fund, Totals* | *37,908* | *44,432* | *45,646* |
| *Agriculture Fund* | *(3,807)* | *(5,166)* | *(5,818)* |
| *Agriculture Fund, Section 221* | *(34,101)* | *(39,166)* | *(39,728)* |
| *Agriculture Fund, Section 226* | *–* | *(100)* | *(100)* |
| *0124 California Agricultural Export Promotion Account* | *358* | *300* | *300* |
| *0191 Fairs and Exposition Fund* | *17* | *16* | *82* |
| *0422 Drainage Management Subaccount* | *–* | *1,000* | *1,000* |
| *0601 Agriculture Building Fund* | *1,432* | *1,589* | *1,590* |
| *Agriculture Building Fund, Section 625* | *207* | *90* | *90* |
| *Less expenditures already reflected in other appropriations for Department of Food and Agriculture* | *−1,639* | *−1,679* | *−1,680* |
| *0890 Federal Trust Fund* | *2,588* | *3,300* | *3,307* |
| *0995 Reimbursements* | *6,449* | *7,284* | *7,310* |
| Totals, State Operations | $50,198 | $61,985 | $62,581 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0111 Agriculture Fund* | *$46* | *$46* | *$46* |
| Totals, Local Assistance | $46 | $46 | $46 |
| **ELEMENT REQUIREMENTS** | | | |
| **21.30 Agricultural Marketing Services** | | | |
| State Operations: | | | |
| *0111 Agriculture Fund* | *256* | *256* | *248* |
| *Agriculture Fund, Section 221* | *9,475* | *11,285* | *11,518* |
| *Agriculture Fund, Section 226* | *–* | *100* | *100* |
| *0890 Federal Trust Fund* | *10* | *–* | *–* |
| *0995 Reimbursements* | *1,058* | *1,160* | *1,170* |
| **21.40 Food and Agricultural Standards/Inspections Services** | | | |
| State Operations: | | | |
| *0111 Agriculture Fund* | *2,566* | *3,858* | *3,867* |
| *Agriculture Fund, Section 221* | *20,635* | *23,067* | *23,333* |
| *0890 Federal Trust Fund* | *2,523* | *2,474* | *2,481* |
| *0995 Reimbursements* | *4,897* | *5,015* | *5,031* |
| *Chemistry Lab Services Distributed* | *(1,713)* | *(2,262)* | *(2,271)* |
| **21.50 Measurement Standards** | | | |
| State Operations: | | | |
| *0001 General Fund* | *1,770* | *2,027* | *1,992* |
| *0111 Agriculture Fund* | *84* | *99* | *105* |
| *Agriculture Fund, Section 221* | *3,989* | *4,814* | *4,877* |
| *0890 Federal Trust Fund* | *13* | *8* | *8* |
| *0995 Reimbursements* | *345* | *366* | *366* |
| Local Assistance: | | | |
| *0111 Agriculture Fund* | *46* | *46* | *46* |
| **21.70 Pesticide Consultation** | | | |
| State Operations: | | | |
| *0111 Agriculture Fund* | *722* | *759* | *763* |
| *0995 Reimbursements* | *49* | *55* | *55* |
| **21.80 General Agricultural Activities** | | | |
| State Operations: | | | |
| *0001 General Fund* | *1,108* | *3,626* | *2,944* |
| *0111 Agriculture Fund* | *179* | *194* | *835* |
| *Agriculture Fund, Section 221* | *2* | *–* | *–* |
| *0191 Fairs and Exposition Fund* | *17* | *16* | *82* |
| *0422 Drainage Management Subaccount* [b] | *–* | *1,000* | *1,000* |
| *0890 Federal Trust Fund* | *42* | *818* | *818* |
| *0124 California Agricultural Export Promotion Account* | *358* | *300* | *300* |
| *0995 Reimbursements* | *100* | *688* | *688* |
| **PROGRAM REQUIREMENTS** | | | |
| **31 ASSISTANCE TO FAIRS AND COUNTY AGRICULTURAL ACTIVITIES** | | | |
| State Operations: | | | |
| *0191 Fairs and Exposition Fund* | *$1,002* | *$1,013* | *$2,100* |
| *0192 Satellite Wagering Account* | *331* | *368* | *372* |
| *0995 Reimbursements* | *727* | *727* | *–* |
| Totals, State Operations | $2,060 | $2,108 | $2,472 |
| Local Assistance: | | | |
| *0001 General Fund* | *383* | *458* | *383* |
| *0111 Agriculture Fund* | *22,183* | *26,116* | *26,116* |
| *0191 Fairs and Exposition Fund* | *11,107* | *14,222* | *14,222* |
| *0192 Satellite Wagering Account* | *10,633* | *11,162* | *11,162* |
| Totals, Local Assistance | $44,306 | $51,958 | $51,883 |
| **ELEMENT REQUIREMENTS** | | | |
| 31.60 Financial and Administrative Assistance to Local Fairs | 23,800 | 27,567 | 27,501 |
| State Operations: | | | |
| *0191 Fairs and Exposition Fund* | *1,002* | *1,013* | *2,100* |
| *0192 Satellite Wagering Account* | *331* | *368* | *372* |
| *0995 Reimbursements* | *727* | *727* | *–* |
| Totals, State Operations | $2,060 | $2,108 | $2,472 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance: | | | |
| *0001 General Fund* | – | *$75* | – |
| *0191 Fairs and Exposition Fund* | *$11,107* | *14,222* | *$14,222* |
| *0192 Satellite Wagering Account* | *10,633* | *11,162* | *11,162* |
| Totals, Local Assistance | $21,740 | $25,459 | $25,384 |
| 31.80 Local Assistance to Counties | 22,566 | 26,499 | 26,499 |
| Local Assistance: | | | |
| *0001 General Fund* | *383* | *383* | *383* |
| *0111 Agriculture Fund* | *22,183* | *26,116* | *26,116* |
| **PROGRAM REQUIREMENTS** | | | |
| **41 EXECUTIVE, MANAGEMENT AND ADMINISTRATIVE SERVICES** | | | |
| 41.01 Executive, Management and Administrative Services | $10,522 | $11,624 | $12,184 |
| Less: | | | |
| 41.02 Amounts Distributed to Programs | –9,463 | –10,319 | –10,895 |
| Net Totals, Executive, Management and Administrative Services | $1,059 | $1,305 | $1,289 |
| State Operations: | | | |
| *0191 Fairs and Expositions Fund* | *800* | *1,019* | *1,003* |
| *0995 Reimbursements* | *259* | *286* | *286* |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $152,795 | $192,501 | $188,638 |
| Local Assistance | 54,867 | 62,519 | 62,459 |
| TOTALS, EXPENDITURES | $207,662 | $255,020 | $251,097 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 1,724.0 | 2,121.2 | 1,934.2 | $70,950 | $81,339 | $77,685 |
| Total Adjustments | – | –3.8 | 76.3 | – | 258 | 7,319 |
| Estimated Salary Savings | – | –78.1 | –70.7 | – | –3,179 | –3,442 |
| Net Totals, Salaries and Wages | 1,724.0 | 2,039.3 | 1,939.8 | $70,950 | $78,418 | $81,562 |
| Staff Benefits | – | – | – | 13,371 | 14,376 | 15,075 |
| Totals, Personal Services | 1,724.0 | 2,039.3 | 1,939.8 | $84,321 | $92,794 | $96,637 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $60,206 | $98,256 | $90,551 |
| SPECIAL ITEMS OF EXPENSE | | | | 10,195 | 3,131 | 3,131 |
| Less expenditures reflected in other appropriations for Department of Food and Agriculture | | | | –1,927 | –1,680 | –1,681 |
| TOTALS, EXPENDITURES | | | | $152,795 | $192,501 | $188,638 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $60,589 | $64,732 | $67,429 |
| 002 Budget Act appropriation (Medfly Sterile Release) | 7,536 | 8,621 | 8,690 |
| 003 Budget Act appropriation (lease payments and insurance) | 628 | 1,499 | 1,628 |
| 004 Budget Act appropriation (transfer to Pierce's Disease Management Account) | – | 6,900 | 8,900 |
| Allocation for employee compensation | 3,474 | 125 | – |
| Adjustment per Section 3.60 | –1,654 | –370 | – |
| Adjustment per Section 4.50 | 537 | – | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 32 | – |
| Adjustment per Section 16.00 | 37 | – | – |
| Chapter 627, Statutes of 1999 | 750 | 750 | 750 |
| Chapter 961, Statutes of 1999 (transfer to Department of Agriculture Account, Department of Agriculture Fund) | 200 | 200 | 200 |
| Chapter 1010, Statutes of 1999 | 2,000 | – | – |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Chapter 21, Statutes of 2000 (transfer to Pierce's Disease Management Account) | $6,900 | – | – |
| Chapter 589, Statutes of 2000 | – | $125 | – |
| Chapter 1017, Statutes of 2000 (transfer to Agricultural Biomass Utilization Account) | – | 2,000 | – |
| Chapter 315, Statutes of 2000 (transfer to Noxious Weed Management Account, Department of Agriculture Fund) | – | 5,000 | – |
| Prior year balances available: | | | |
| Item 8570-001-0001, Budget Act of 1998, as reappropriated by Item 8570-490, Budget Act of 1999 | 250 | – | – |
| Chapter 574, Statutes of 1998 | 135 | 65 | – |
| Chapter 1010, Statutes of 1999 | – | 1,792 | – |
| Totals Available | $81,382 | $91,471 | $87,597 |
| Balance available in subsequent years | –1,857 | – | – |
| Unexpended balance, estimated savings | –173 | – | – |
| TOTALS, EXPENDITURES | $79,352 | $91,471 | $87,597 |

### 0111 Department of Agriculture Account, Department of Agriculture Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $10,942 | $12,850 | $13,341 |
| 003 Budget Act appropriation (lease payments and insurance) | 40 | 40 | 40 |
| Food and Agricultural Code Section 221 | 49,485 | 56,762 | 57,358 |
| Food and Agricultural Code Section 226 | 100 | 100 | 100 |
| Allocation for employee compensation | 429 | 187 | – |
| Adjustment per Section 3.60 | –270 | –325 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 41 | – |
| Revised expenditure authority per Provision 1 | 800 | – | – |
| Chapter 961, Statutes of 1999 | 200 | 200 | 200 |
| Chapter 315, Statutes of 2000 | – | 5,000 | – |
| Totals Available | $61,726 | $74,855 | $71,039 |
| Unexpended balance, estimated savings | –1,179 | – | – |
| Less funding provided by the General Fund | –200 | –5,200 | –200 |
| TOTALS, EXPENDITURES | $60,347 | $69,655 | $70,839 |

### 0112 Agricultural Pest Control Research Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation | $5 | $5 | $5 |
| Unexpended balance, estimated savings | –5 | – | – |
| TOTALS, EXPENDITURES | – | $5 | $5 |
| Loan repayments from local agencies (ethanol fuel loans) per Food and Agricultural Code Section 505 | – | –5 | –5 |
| NET TOTALS, EXPENDITURES | – | – | – |

### 0124 California Agricultural Export Promotion Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Food and Agricultural Code Section 58582 (expenditures) | $358 | $300 | $300 |

### 0191 Fair and Exposition Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,801 | $2,055 | $3,185 |
| 011 Budget Act appropriation (for transfer to the General Fund) | (246) | (246) | (246) |
| Allocation for employee compensation | 125 | 8 | – |
| Adjustment per Section 3.60 | –107 | –19 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 4 | – |
| TOTALS, EXPENDITURES | $1,819 | $2,048 | $3,185 |

### 0192 Satellite Wagering Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 012 Budget Act appropriation | $317 | $368 | $372 |
| Allocation for employee compensation | 36 | – | – |
| Adjustment per Section 3.60 | –22 | – | – |
| TOTALS, EXPENDITURES | $331 | $368 | $372 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

### 0422 Drainage Management Subaccount [b]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Water Code Section 78645 (expenditures) | – | $1,000 | $1,000 |

### 0516 Harbors and Watercraft Revolving Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $930 | $951 | $947 |
| Allocation for employee compensation | 6 | – | – |
| Adjustment per Section 3.60 | – | –3 | – |
| TOTALS, EXPENDITURES | $936 | $948 | $947 |

### 0601 Department of Agriculture Building Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,354 | $1,361 | $1,360 |
| 003 Budget Act appropriation (lease payments and insurance) | 230 | 230 | 230 |
| Allocation for employee compensation | 14 | 1 | – |
| Adjustment per Section 3.60 | –4 | –2 | – |
| Adjustment per Section 4.50 | 189 | – | – |
| Interest expense on loan from Agriculture Fund pursuant to Food and Agricultural Code Section 625 | 495 | 90 | 90 |
| Totals Available | $2,278 | $1,680 | $1,680 |
| Less expenditures already reflected in other support appropriations for Department of Food and Agriculture | –1,927 | –1,680 | –1,680 |
| Unexpended balance, estimated savings | –351 | – | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,892 | $3,971 | $3,969 |
| 011 Budget Act appropriation | – | – | 4,926 |
| Allocation for employee compensation | 106 | 3 | – |
| Adjustment per Section 3.60 | –60 | –12 | – |
| Budget adjustment | –548 | 9,674 | – |
| TOTALS, EXPENDITURES | $3,390 | $13,636 | $8,895 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $9,258 | $10,079 | $9,759 |

### 3010 Pierce's Disease Management Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Food and Agricultural Code Section 6045 as added by Chapter 21, Statutes of 2000 | $3,904 | $19,570 | $19,570 |
| TOTALS, EXPENDITURES | $3,904 | $19,570 | $19,570 |
| Less funding provided by the General Fund | –6,900 | –6,900 | –8,900 |
| Less funding provided by the Federal Trust Fund | – | –9,674 | –4,926 |
| NET TOTALS, EXPENDITURES | –$2,996 | $2,996 | $5,744 |

### 3021 Agricultural Biomass Utilization Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Chapter 1017, Statutes of 2000 | – | $2,000 | – |
| Less funding provided by the General Fund | – | –2,000 | – |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $152,795 | $192,501 | $188,638 |

---

## SUMMARY BY OBJECT
## 2 LOCAL ASSISTANCE

| Grants and Subventions: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| County plant pest detection | $5,015 | $5,015 | $5,030 |
| County plant pest exclusion | 5,500 | 5,500 | 5,500 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| Local Administration: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| County weights and measures activities | $46 | $46 | $46 |
| County agricultural programs | 22,566 | 26,574 | 26,499 |
| Other (assistance to local fairs) | 21,740 | 25,384 | 25,384 |
| TOTALS, EXPENDITURES | $54,867 | $62,519 | $62,459 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (subventions to counties) | $10,515 | $10,590 | $10,530 |
| 111 Budget Act appropriation (salaries of county agriculture commissioners) | 383 | 383 | 383 |
| TOTALS, EXPENDITURES | $10,898 | $10,973 | $10,913 |

### 0111 Department of Agriculture Account, Department of Agriculture Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Food and Agricultural Code Section 224(c) | $22,183 | $26,116 | $26,116 |
| Business and Professions Code Section 12539 | 46 | 46 | 46 |
| TOTALS, EXPENDITURES | $22,229 | $26,162 | $26,162 |

### 0191 Fair and Exposition Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 101 Budget Act appropriation | $950 | $950 | $950 |
| Business and Professions Code Section 19630 (per Budget Act Item 8570-403) | 10,157 | 13,272 | 13,272 |
| TOTALS, EXPENDITURES | $11,107 | $14,222 | $14,222 |

### 0192 Satellite Wagering Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Business and Professions Code Section 19605.9(e) | $311 | $720 | $720 |
| Business and Professions Code Section 19606.1(a) | 9,222 | 10,020 | 10,020 |
| Business and Professions Code Section 19606.3 | 1,100 | 422 | 422 |
| TOTALS, EXPENDITURES | $10,633 | $11,162 | $11,162 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $54,867 | $62,519 | $62,459 |
| TOTAL EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $207,662 | $255,020 | $251,097 |

### FUND CONDITION STATEMENT
### 0111 Department of Agriculture Account, Department of Agriculture Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $32,696 | $40,013 | $31,896 |
| Prior year adjustments | 2,457 | – | – |
| Balance, Adjusted | $35,153 | $40,013 | $31,896 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 121200 Other regulatory taxes | 9,265 | 9,336 | 9,501 |
| 125700 Other regulatory licenses and permits | 47,212 | 48,279 | 48,521 |
| 141200 Sales of documents | 7 | 22 | 22 |
| 142500 Miscellaneous services to the public | 873 | 329 | 332 |
| 150300 Income from surplus money investments | 2,964 | 2,684 | 2,684 |
| 150400 Interest income from loans | 208 | 188 | 188 |
| 160400 Sale of fixed assets | 2 | – | – |
| 161000 Escheat of unclaimed checks and warrants | 14 | – | – |
| 161400 Miscellaneous revenue | 455 | 132 | 132 |
| 164300 Penalty assessments | 4 | 10 | 10 |
| Totals, Revenues | $61,004 | $60,980 | $61,390 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Transfers from Other Funds: | | | |
| F00061 Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code Section 8352 | $26,112 | $26,400 | $27,650 |
| F00601 Agriculture Building Fund per Food and Agricultural Code Section 625 | 320 | 320 | 320 |
| Totals, Transfers from Other Funds | $26,432 | $26,720 | $27,970 |
| Totals, Revenues and Transfers | $87,436 | $87,700 | $89,360 |
| Totals, Resources | $122,589 | $127,713 | $121,256 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8570 Department of Food and Agriculture: | | | |
| State Operations | 60,547 | 74,855 | 71,039 |
| Local Assistance | 22,229 | 26,162 | 26,162 |
| Totals, Disbursements | $82,776 | $101,017 | $97,201 |
| Expenditure Reductions: | | | |
| 8570 Department of Food and Agriculture: | | | |
| State Operations: | | | |
| Less funding provided by the General Fund | −200 | −5,200 | −200 |
| Totals, Expenditures | $82,576 | $95,817 | $97,001 |
| FUND BALANCE | $40,013 | $31,896 | $24,255 |
| Reserve for economic uncertainties | 40,013 | 31,896 | 24,255 |

### 0112 Agricultural Pest Control Research Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $61 | $65 | $69 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 4 | 4 | 4 |
| Totals, Resources | $65 | $69 | $73 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8570 Department of Food and Agriculture (State Operations) | – | 5 | 5 |
| Expenditure Reductions: | | | |
| 8570 Department of Food and Agriculture (State Operations): | | | |
| Loan repayments from Ethanol Fuel Loans | – | −5 | −5 |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | $65 | $69 | $73 |
| Reserve for economic uncertainties | 65 | 69 | 73 |

### 0124 California Agricultural Export Promotion Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $112 | $69 | $37 |
| Prior year adjustments | 47 | – | – |
| Balance, Adjusted | $159 | $69 | $37 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 142500 Miscellaneous services to the public | 256 | 256 | 256 |
| 150300 Income from surplus money investments | 12 | 12 | 12 |
| Totals, Revenues | $268 | $268 | $268 |
| Totals, Resources | $427 | $337 | $305 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8570 Department of Food and Agriculture (State Operations) | 358 | 300 | 300 |
| FUND BALANCE | $69 | $37 | $5 |
| Reserve for economic uncertainties | 69 | 37 | 5 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

### 0191 Fair and Exposition Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $2,460 | $8,347 | $8,718 |
| Prior year adjustments | −16 | – | – |
| Balance, Adjusted | $2,444 | $8,347 | $8,718 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| Horse Racing Revenues: | | | |
| 110900 Fees and Licenses: | | | |
| 0.63% Fair Horse Racing License Fee (Business and Professions Code Section 19620.1(a)) | 14,307 | 12,994 | 12,994 |
| 1% Fair Horse Racing Takeout (Business and Professions Code Section 19614(a)) | 1,275 | 1,861 | 1,861 |
| Totals, Horse Racing Fees (Licenses) | $15,582 | $14,855 | $14,855 |
| 111300 Miscellaneous: | | | |
| Business and Professions Code Section 19620.1(b): | | | |
| Funding for Horse Racing Board operations | 7,645 | 6,253 | 6,260 |
| Funding for Department of Food and Agriculture operations | 1,819 | 2,044 | 2,037 |
| Funding for fair unemployment insurance payments | 950 | 950 | 950 |
| Business and Professions Code Section 19620.1(a): | | | |
| Specific deposit | 265 | 265 | 265 |
| Totals, Miscellaneous Revenues | $10,679 | $9,512 | $9,512 |
| Totals, Horse Racing Revenues | $26,261 | $24,367 | $24,367 |
| Other Revenues: | | | |
| 150300 Income from surplus money investments | 396 | 396 | 396 |
| Totals, Revenues | $26,657 | $24,763 | $24,763 |
| Transfers to Other Funds: | | | |
| T00100 General Fund per Budget Act Item 8570-011-0191 | −246 | −246 | −246 |
| Totals, Transfers to Other Funds | −$246 | −$246 | −$246 |
| Totals, Revenues and Transfers | $26,411 | $24,517 | $24,517 |
| Totals, Resources | $28,855 | $32,864 | $33,235 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8550 Horse Racing Board (State Operations) | 7,582 | 7,846 | 8,152 |
| 8570 Department of Food and Agriculture: | | | |
| State Operations | 1,819 | 2,048 | 3,185 |
| Local Assistance | 11,107 | 14,222 | 14,222 |
| 9670 Legislative Claims (State Operations) | – | 30 | – |
| Totals, Disbursements | $20,508 | $24,146 | $25,559 |
| FUND BALANCE | $8,347 | $8,718 | $7,676 |
| Reserve for economic uncertainties | 8,347 | 8,718 | 7,676 |

### 0192 Satellite Wagering Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $1,736 | $3,744 | $8,208 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 110900 Horse racing fees—licenses | 11,185 | 14,207 | 14,207 |
| 111100 Horse racing fines and penalties | 1,412 | 1,412 | 1,412 |
| 150300 Income from surplus money investments | 375 | 375 | 375 |
| Totals, Revenues | $12,972 | $15,994 | $15,994 |
| Totals, Resources | $14,708 | $19,738 | $24,202 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8570 Department of Food and Agriculture: | | | |
| State Operations | 331 | 368 | 372 |
| Local Assistance | 10,633 | 11,162 | 11,162 |
| Totals, Disbursements | $10,964 | $11,530 | $11,534 |
| FUND BALANCE | $3,744 | $8,208 | $12,668 |
| Reserve for economic uncertainties | 3,744 | 8,208 | 12,668 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| 3010 Pierce's Disease Management Account [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $0 | $2,996 | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenue | – | – | $6,744 |
| Totals, Revenues and Transfers | – | – | $6,744 |
| Totals, Resources | – | $2,996 | $6,744 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8570 Department of Food and Agriculture (State Operations) | 3,904 | 19,570 | 19,570 |
| Totals, Disbursements | $3,904 | $19,570 | $19,570 |
| Expenditure Reductions: | | | |
| 8570 Department of Food and Agriculture (State Operations) | – | – | – |
| Less funding provided by the General Fund | –6,900 | –6,900 | –8,900 |
| Less funding provided by the Federal Trust Fund | – | –9,674 | –4,926 |
| Totals, Expenditures | –$2,996 | $2,996 | $5,744 |
| FUND BALANCE | $2,996 | – | $1,000 |
| Reserve for economic uncertainties | 2,996 | – | 1,000 |

| 3021 Agricultural Biomass Utilization Account [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| 8570 Department of Food and Agriculture (State Operations) | – | $2,000 | – |
| Expenditures Reductions: | | | |
| Less funding provided by the General Fund | – | –2,000 | – |
| Totals, Expenditures | – | – | – |
| FUND BALANCE | – | – | – |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 1,724.0 | 2,121.2 | 1,934.2 | $70,950 | $81,339 | $77,685 |
| Salary adjustments | – | – | – | – | 88 | 95 |
| Totals, Adjusted Authorized Positions | 1,724.0 | 2,121.2 | 1,934.2 | $70,950 | $81,427 | $77,780 |
| Workload and Administrative Adjustments: | | | | | | |
| Continuously Appropriated Adjustments: | | | | | | |
| Agriculture Plant and Animal Health; Pest Prevention; Food Safety Services: | | | | | | |
| Plant Health and Pest Prevention: | | | | | | |
| Pink Bollworm: | | | | Salary Range | | |
| Plant Diagnostic Lab: | | | | | | |
| Permanent | – | 1.0 | 1.0 | – | 39 | 39 |
| Temporary Help | – | –3.1 | –3.1 | – | –87 | –87 |
| Noxious Weed: | | | | | | |
| Permanent | – | 0.5 | – | – | 28 | – |
| Nursery Services: | | | | | | |
| Temporary Help | – | 1.3 | 1.3 | – | 37 | 37 |
| Fruit Tree and Grapevine Improvement: | | | | | | |
| Temporary Help | – | – | – | – | – | – |
| Citrus Tree Improvement: | | | | | | |
| Overtime | – | –0.2 | –0.2 | – | –2 | –2 |
| Quality Cotton: | | | | | | |
| Temporary Help | – | – | – | – | –1 | –1 |
| Overtime | – | – | – | – | –5 | –4 |
| Non-approved Cotton: | | | | | | |
| Temporary Help | – | 0.7 | 0.7 | – | 14 | 14 |
| Animal, Pest and Disease Prevention: | | | | | | |
| Livestock Identification: | | | | | | |
| Permanent | – | 5.0 | 5.0 | – | 181 | 181 |
| Temporary Help | – | –5.0 | –5.0 | – | –181 | –181 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Equine Medication Monitoring: | | | | Salary Range | | |
| Permanent | – | 1.0 | 1.0 | – | $31 | $31 |
| Temporary Help | – | – | – | – | 9 | 9 |
| Program Administration | – | – | – | – | – | – |
| Marketing, Commodities and Agricultural Services: | | | | | | |
| Marketing Program Services: | | | | | | |
| Temporary Help | – | – | – | – | 1 | 1 |
| Grape Crush: | | | | | | |
| Temporary Help | – | – | – | – | – | – |
| Overtime | – | – | – | – | – | – |
| Grape Acreage: | | | | | | |
| Permanent | – | 1.0 | 1.0 | – | 25 | 26 |
| Milk Pooling: | | | | | | |
| Temporary Help | – | – | – | – | 1 | 1 |
| Dairy Marketing: | | | | | | |
| Permanent | – | –0.3 | –0.3 | – | –10 | –10 |
| Temporary Help | – | – | – | – | – | – |
| Objective Measurement Survey: | | | | | | |
| Permanent | – | 1.0 | 1.0 | – | 25 | 26 |
| Temporary Help | – | – | – | – | – | – |
| Marketing Enforcement: | | | | | | |
| Overtime | – | – | – | – | –2 | –2 |
| Marketing Expenses Administration | – | – | – | – | – | – |
| Food and Agricultural Standards/Inspection Services: | | | | | | |
| Research and Education: | | | | | | |
| Temporary Help | – | – | – | – | 5 | 5 |
| Feed and Livestock: | | | | | | |
| Temporary Help | – | – | – | – | – | – |
| Grain and Commodity: | | | | | | |
| Permanent | – | – | – | – | – | – |
| Temporary Help | – | –3.0 | –3.0 | – | –87 | –87 |
| Overtime | – | – | – | – | 70 | 70 |
| Egg Quality: | | | | | | |
| Temporary Help | – | 0.3 | 0.3 | – | 15 | 15 |
| Shipping Point Inspection: | | | | | | |
| Temporary Help | – | –4.0 | –4.0 | – | –69 | –69 |
| Overtime | – | – | – | – | 102 | 102 |
| Wine Grape: | | | | | | |
| Temporary Help | – | –1.0 | –1.0 | – | –25 | –25 |
| Overtime | – | – | – | – | – | – |
| Garlic and Onion: | | | | | | |
| Temporary Help | – | – | – | – | –5 | –5 |
| Overtime | – | – | – | – | – | – |
| Chemistry Laboratory: | | | | | | |
| Permanent | – | – | – | – | – | – |
| Measurement Standards: | | | | | | |
| Device Repairmen: | | | | | | |
| Temporary Help | – | – | – | – | – | – |
| Weighmaster Enforcement: | | | | | | |
| Temporary Help | – | 1.0 | 1.0 | – | 15 | 15 |
| Petroleum Products: | | | | | | |
| Temporary Help | – | –1.0 | –1.0 | – | –7 | –7 |
| Registered Services Aging Program: | | | | | | |
| Permanent | – | 1.0 | 1.0 | – | 53 | 53 |
| Totals, Continuously Appropriated Adjustments | – | –3.8 | –4.3 | – | $170 | $145 |
| Reductions in Authorized Positions: | | | | | | |
| Plant: | | | | | | |
| Temporary Help | – | – | –25.3 | – | – | – |
| Animal Health Branch: | | | | | | |
| Permanent: | | | | | | |
| Vet Med Ofcr IV | – | – | –1.0 | $5,114–6,163 | – | –73 |
| Vet Med Ofcr | – | – | –1.0 | 3,854–4,872 | – | –52 |
| Ofc Techn | – | – | –1.0 | 2,258–2,745 | – | –31 |
| Meat and Poultry Inspection: | | | | | | |
| Meat Food Inspector | – | – | –1.0 | 2,506–3,469 | – | –37 |
| Milk and Dairy Foods Control: | | | | | | |
| Permanent: | | | | | | |
| Dairy Food Spec | – | – | –2.0 | 2,885–4,371 | – | –94 |
| Temporary Help | – | – | –11.4 | – | – | – |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Marketing: | | | | Salary Range | | |
| Temporary Help | – | – | –2.4 | – | – | – |
| Inspections: | | | | | | |
| CA Permanent | – | – | –2.0 | – | – | – |
| Temporary Help | – | – | –104.3 | – | – | – |
| Measurement: | | | | | | |
| Temporary Help | – | – | –1.6 | – | – | – |
| Totals, Reductions in Authorized Positions | – | – | –153.0 | – | – | –$287 |
| Totals, Workload and Administrative Adjustments | – | –3.8 | –157.3 | – | $170 | –$142 |
| Proposed New Positions: | | | | | | |
| PD/EP State Trapping: | | | | | | |
| Temporary Help | – | – | –0.4 | – | – | –10 |
| Meat and Poultry Inspection: | | | | | | |
| Permanent: | | | | | | |
| Supvng Special Investigator I | – | – | 1.0 | $3,987–4,810 | – | 55 |
| Sr Special Investigator | – | – | 2.0 | 3,630–4,371 | – | 100 |
| Ofc Asst-Typing | – | – | 1.0 | 1,835–2,230 | – | 25 |
| Policy and Planning–CALFED: | | | | | | |
| Permanent: | | | | | | |
| Special Asst | – | – | 0.5 | 5,937–6,548 | – | 39 |
| Sr Envirntl Plnr | – | – | 1.0 | 4,772–5,757 | – | 66 |
| Envirntl Spec III | – | – | 3.5 | 4,047–4,887 | – | 195 |
| GWSS: | | | | | | |
| Permanent/Continuously Appropriated | – | – | 36.0 | – | – | 1,960 |
| Plant Pest and Disease Prevention: | | | | | | |
| Preventative Release Program: | | | | | | |
| Permanent: | | | | | | |
| Area Mgr | – | – | 1.0 | 4,441–5,361 | – | 61 |
| Sr Insect Biosystematist | – | – | 1.0 | 4,441–5,361 | – | 61 |
| Assoc Programmer Analyst | – | – | 1.0 | 3,952–4,805 | – | 55 |
| Programmer II | – | – | 1.0 | 3,451–4,195 | – | 48 |
| Bus Svcs Ofcr I-Spec | – | – | 1.0 | 3,101–3,805 | – | 43 |
| Agric Pest Cntrl-Supvr | – | – | 1.0 | 3,063–3,722 | – | 42 |
| Econ Entomologist A/B | – | – | 1.0 | 2,411–3,579 | – | 37 |
| Bldg Maint Worker | – | – | 1.0 | 2,726–2,992 | – | 36 |
| Agric Pest Cntrl Spec | – | – | 8.0 | 2,456–2,985 | – | 272 |
| Ofc Techn | – | – | 1.0 | 2,258–2,745 | – | 31 |
| Pest Prev Asst I | – | – | 2.0 | 1,978–2,216 | – | 52 |
| Temporary: | | | | | | |
| Agric Techn III | – | – | 2.0 | 2,139–2,359 | – | 56 |
| Agric Techn II | – | – | 8.0 | 1,978–2,290 | – | 213 |
| Agric Techn I | – | – | 40.0 | 1,905–2,207 | – | 1,027 |
| Agric Aide | – | – | 69.0 | 1,692–1,822 | – | 1,514 |
| Pest Detection: | | | | | | |
| Permanent: | | | | | | |
| Econ Entomologist A/B | – | – | 4.0 | 2,411–3,579 | – | 75 |
| Agric Biological Techn | – | – | 1.0 | 2,350–2,857 | – | 16 |
| Temporary: | | | | | | |
| Agric Techn I | – | – | 9.0 | 1,905–2,207 | – | 77 |
| Agric Aide | – | – | 4.0 | 1,692–1,822 | – | 29 |
| Pest Exclusion: | | | | | | |
| Permanent: | | | | | | |
| Sr Agric Biologist | – | – | 2.0 | 4,449–5,370 | – | 122 |
| Area Mgr | – | – | 1.0 | 4,441–5,361 | – | 61 |
| Assoc Agric Biologist | – | – | 5.0 | 3,964–4,778 | – | 273 |
| Ofc Techn | – | – | 1.0 | 2,258–2,745 | – | 31 |
| Ag Biologist (Canine Handler) | – | – | 8.0 | 2,411–2,733 | – | 257 |
| Info Sys Techn | – | – | 1.0 | 2,122–2,339 | – | 28 |
| Agric Svcs Techn I | – | – | 12.0 | 1,748–2,124 | – | 290 |
| Permanent: | | | | | | |
| Consumer Liaison Ofcr | – | – | 1.0 | 4,545–5,484 | – | 62 |
| Staff Svcs Analyst | – | – | 2.0 | 2,411–2,932 | – | 67 |
| Totals, Proposed New Positions | – | – | 233.6 | – | – | $7,366 |
| Total Adjustments | – | –3.8 | 76.3 | – | $258 | $7,319 |
| TOTALS, SALARIES AND WAGES | 1,724.0 | 2,117.4 | 2,010.5 | – | $81,597 | $85,004 |

*** Dollars in thousands, except in Salary Range.**

## 8570 DEPARTMENT OF FOOD AND AGRICULTURE—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **90 CAPITAL OUTLAY** | | | |
| **Major Budget Adjustments Proposed for 2001–02** | | | |

- $719,000 for preliminary plans for a new Greenhouse in Sacramento.
- $11,186,000 for construction for a new Agriculture Inspection Station in Truckee.
- $8,806,000 for construction for a new Agriculture Inspection Station in Yermo.
- $672,000 for preliminary plans and working drawings for a new Agriculture Inspection Station in Dorris.
- $539,000 for preliminary plans for an additional Medfly Rearing Facility in Hawaii.

| | | | |
|---|---|---|---|
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| 90.18.001 Relocation—Yermo Agriculture Inspection Station | $522 [Pg] | $780 [Wg] | $8,806 [Cg] |
| 90.80.010 Relocation—Truckee Agriculture Inspection Station | – | 533 [Wgs] | 11,186 [Cg] |
| 90.04.010 Relocation—Dorris Agriculture Inspection Station | – | 425 [As] | 672 [PWs] |
| 90.19.001 Hawaii Medfly Facility | – | – | 539 [Pg] |
| 90.47.055 Meadowview Greenhouse Replacement | – | – | 719 [Pg] |
| Totals, Major Projects | $522 | $1,738 | $21,922 |
| **Minor Projects** | | | |
| 90.90.010 Minor Projects | $316 | $198 | – |
| Totals, Minor Projects | $316 | $198 | – |
| TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY | $838 | $1,936 | $21,922 |
| *0001 General Fund* | *838* | *1,358* | *21,250* |
| *0042 State Highway Account* | – | *578* | *672* |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $1,185 | $1,358 | $21,250 |
| Unexpended balance, estimated savings | −347 | – | – |
| TOTALS, EXPENDITURES | $838 | $1,358 | $21,250 |
| **0042 State Highway Account [s]** | | | |
| 0042 Budget Account appropriation (expenditures) | – | $578 | $672 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $838 | $1,936 | $21,922 |

## 8620 FAIR POLITICAL PRACTICES COMMISSION

The Fair Political Practices Commission has primary responsibility for the impartial administration, implementation and enforcement of the Political Reform Act of 1974 as well as for the California Political Reform Act of 1996. The objectives of the Political Reform Act are to: (1) ensure that election campaign expenditure data is fully and accurately disclosed so that the voters may be fully informed and to inhibit improper financial practices; (2) regulate the activities of lobbyists and disclose their finances to prevent any improper influencing of public officials; (3) provide for the disclosure of assets and income of public officials which may affect their official actions, to avoid any conflicts of interest; (4) ensure that the state ballot pamphlet contains useful and adequate information so that the voters will not be entirely dependent upon paid advertising for information concerning state measures; (5) eliminate laws and practices that unfairly favor incumbents to provide for fair elections; and, (6) provide adequate mechanisms to public officials and to private citizens to ensure vigorous enforcement of the Acts. The California Political Reform Act of 1996, enforcement of which is currently enjoined by the Federal District Court, places specific limitations on campaign contributions and establishes voluntary spending limits on all state candidates. To fulfill the responsibilities imposed by these Acts, the Commission adopts rules and regulations; establishes procedures to monitor compliance; issues opinions to persons who request them; prepares and publishes manuals and instructions to facilitate compliance with and enforcement of the Act; provides assistance to agencies and public officials in administering the Act; investigates possible violations; conducts hearings and applies sanctions provided by the Act; provides technical assistance to state and local agencies in the preparation of conflict of interest codes; and reviews and approves the codes of state agencies, county boards of supervisors, city councils, and all local government agencies with jurisdiction in more than one county.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8620 FAIR POLITICAL PRACTICES COMMISSION—Continued

Chapter 975, Statutes of 2000 created the Bipartisan California Commission on Internet Political Practices to examine issues posed by political activity on the Internet. The legislation requires the Commission to report to the Legislature on specified findings and also appropriated $220,000 General Fund to defray the costs of the Commission in conducting the study and preparing the report. The 2000–01 budget for the Fair Political Practices Commission reflects expenditures for the funds appropriated by the legislation.

### Authority

Government Code, Title 9.

### Major Budget Adjustments Included in 2000–01

- $220,000 appropriated by Chapter 975, Statutes of 2000 to fund the acitivties of the Bipartisan California Commission on Internet Political Practices.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Fair Political Practices Commission | 65.4 | 76.8 | 76.8 | $5,719 | $6,564 | $6,593 |
| 20 Bipartisan California Commission on Internet Political Practices | – | – | – | – | 220 | – |
| TOTALS, PROGRAMS | 65.4 | 76.8 | 76.8 | $5,719 | $6,784 | $6,593 |
| *0001 General Fund* | | | | *5,719* | *6,784* | *6,593* |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 65.4 | 80.9 | 80.9 | $3,940 | $4,721 | $4,842 |
| Estimated Salary Savings | – | –4.1 | –4.1 | – | –236 | –242 |
| Net Totals, Salaries and Wages | 65.4 | 76.8 | 76.8 | $3,940 | $4,485 | $4,600 |
| Staff Benefits | – | – | – | 597 | 848 | 859 |
| Totals, Personal Services | 65.4 | 76.8 | 76.8 | $4,537 | $5,333 | $5,459 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $1,182 | $1,451 | $1,134 |
| TOTALS, EXPENDITURES | | | | $5,719 | $6,784 | $6,593 |

| RECONCILIATION WITH APPROPRIATIONS<br>1 STATE OPERATIONS<br>0001 General Fund | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,884 | $2,728 | $2,721 |
| Government Code Section 83122 | 3,224 | 3,360 | 3,372 |
| Government Code Section 85802 | 492 | 500 | 500 |
| Allocation for employee compensation | 382 | 24 | – |
| Adjustment per Section 3.60 | –248 | –48 | – |
| Adjustment per Section 16.00 | 2 | – | – |
| Chapter 975, Statutes of 2000 | – | 220 | – |
| Totals Available | $5,736 | $6,784 | $6,593 |
| Unexpended balance, estimated savings | –17 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $5,719 | $6,784 | $6,593 |

## 8640 POLITICAL REFORM ACT OF 1974

### Program Objectives Statement

Government Code Section 83122, as added by the Political Reform Act of 1974 (Proposition 9) and amended by Chapter 1075, Statutes of 1976, requires the Department of Finance, in preparing the state budget and the Budget Bill submitted to the Legislature, to include an item for the support of the Act which indicates: (1) the additional amounts to be appropriated to other state agencies to carry out their duties under the Act, which amounts shall be in augmentation of the support items of such agencies; (2) the additional amounts required to be appropriated by the Legislature to the Fair Political Practices Commission (FPPC) to carry out its duties under the Act; and (3) in parentheses, for informational purposes, the continuing appropriation of $1,000,000 made by the Act, adjusted for cost-of-living change made to the FPPC by the Act during each fiscal year.

The Political Reform Act detail for each affected agency will be found under their respective program budgets as identified in the following table.

### Authority

Government Code Section 83122.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8640 POLITICAL REFORM ACT OF 1974—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10 Secretary of State | $722 | $731 | $734 |
| 20 Franchise Tax Board | 1,272 | 1,296 | 1,324 |
| 30 Department of Justice | 222 | 222 | 222 |
| 40 Fair Political Practices Commission | (3,308) | (3,360) | (3,372) |
| Less amount allocated to other departments | −2,216 | −2,249 | – |
| TOTALS, PROGRAMS | – | – | $2,280 |
| *0001 General Fund* | – | – | *2,272* |
| *0995 Reimbursements* | – | – | *8* |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $2,157 | $2,251 | $2,272 |
| Secretary of State | (689) | (725) | (726) |
| Franchise Tax Board | (1,246) | (1,304) | (1,324) |
| Department of Justice | (222) | (222) | (222) |
| Allocation for employee compensation | 144 | 3 | – |
| Secretary of State | (53) | (2) | – |
| Franchise Tax Board | (91) | (1) | – |
| Adjustment per Section 3.60 | −93 | −13 | – |
| Secretary of State | (−28) | (−4) | – |
| Franchise Tax Board | (−65) | (−9) | – |
| Allocation to Department of Justice (0820) | −222 | −222 | (222) |
| Allocation to Secretary of State (0890) | −714 | −723 | (726) |
| Allocation to Franchise Tax Board (1730) | −1,272 | −1,296 | (1,324) |
| TOTALS, EXPENDITURES | – | – | $2,272 |
| **0995 Reimbursements** | | | |
| Reimbursements | $8 | $8 | $8 |
| Allocation to Secretary of State (0890) | −8 | −8 | – |
| TOTALS, EXPENDITURES | – | – | $8 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | – | – | $2,280 |

## 8660 PUBLIC UTILITIES COMMISSION

The objectives of the Public Utilities Commission are:

1. To provide the public with the lowest reasonable rates for services by utilities, household goods carriers, and for-hire passenger carriers.
2. To make certain that utility companies render adequate service and have sufficient facilities to meet the needs of the public.
3. To ensure that the public has stable, efficient utility services by requiring applicants to demonstrate financial responsibility and the capability to render adequate service.
4. To promote public safety and accident reduction by establishing and enforcing safety regulations for utility and transportation companies, as well as for railroad highway grade crossings.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Regulation of Utilities | 487.9 | 580.4 | 595.2 | $77,927 | $90,641 | $85,469 |
| 20 Regulation of Transportation | 122.0 | 134.8 | 134.8 | 14,001 | 13,789 | 14,673 |
| 30.01 Administration | 163.2 | 156.3 | 156.3 | 14,987 | 15,391 | 17,581 |
| 30.02 Administration—distributed to other programs | – | – | – | −14,987 | −15,391 | −17,581 |
| TOTALS, PROGRAMS | 773.1 | 871.5 | 886.3 | $91,928 | $104,430 | $100,142 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8660 PUBLIC UTILITIES COMMISSION—Continued

| | | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|
| 0001 | General Fund | – | $2,300 | $2,738 |
| 0042 | State Highway Account, State Transportation Fund | $2,381 | 2,385 | 2,544 |
| 0046 | Public Transportation Account, State Transportation Fund | 2,436 | 2,202 | 2,357 |
| 0412 | Transportation Rate Fund | 1,873 | 1,858 | 1,981 |
| 0461 | Public Utilities Commission Transportation Reimbursement Account | 7,311 | 7,344 | 7,791 |
| 0462 | Public Utilities Commission Utilities Reimbursement Account | 58,724 | 64,090 | 68,970 |
| 0890 | Federal Trust Fund | 1,002 | 1,021 | 1,033 |
| 0995 | Reimbursements | 18,201 | 23,230 | 12,728 |

## 10 REGULATION OF UTILITIES

### Program Objectives Statement

Utilities in California have traditionally been granted exceptional powers and privileges by the State, including exclusive service areas, which preclude customers from obtaining service from other providers. The Commission regulates utilities to protect the public interest and to ensure fair and impartial rates as well as adequate service facilities. Regulatory controls have also been established to promote the safety of employees, customers, and the public at large. With the transition from a regulated market to a competitive market in the energy and telecommunications industries, the Commission's focus has been shifted from rate regulation to consumer protection, public safety, and market monitoring.

The Commission's objective is to provide the public with adequate and safe utility services at the lowest reasonable rates.

### Major Budget Adjustments Included in 2000–01

- $2,300,000 General Fund appropriated by Chapter 329, Statutes of 2000 and 25.5 positions to address electrical energy rate stability and conservation.
- $500,000 Public Utilities Commission Utilities Reimbursement Account and $500,000 reimbursements to complete review of alternatives to the Carmel River Dam and Reservoir Project as required by Chapter 797, Statutes of 1998.

### Major Budget Adjustments Proposed for 2001–02

- $2,738,000 General Fund and 34.0 positions through June 30, 2004 to provide electrical energy rate stability and conservation pursuant to Chapter 329, Statutes of 2000.
- $456,000 Public Utilities Commission Utilities Reimbursement Account to provide adequate representation of ratepayer interests in Commission proceedings.
- $222,000 Public Utilities Commission Utilities Reimbursement Account and 4.0 positions to process informal complaints and inquiries.
- $682,000 Public Utilities Commission Utilities Reimbursement Account and 4.0 positions to conduct audits of the San Diego Gas and Electric Company pursuant to Chapter 328, Statutes of 2000.
- $1,977,000 Public Utilities Commission Utilities Reimbursement Account for deferred maintenance projects for the Commission building in San Francisco.
- $160,000 Public Utilities Commission Utilities Reimbursement Account and 2.0 positions (1.0 position through June 30, 2002) to complete rulemaking proceedings and track ongoing proceedings pursuant to Chapter 856, Statutes of 1996.
- $749,000 Public Utilities Commission Utilities Reimbursement Account to award intervenor compensation in quasi-legislative rulemaking proceedings.
- $739,000 Public Utilities Commission Utilities Reimbursement Account to support the higher cost of filled positions.

### Authority

California Constitution, Article XII; Public Utilities Code, Divisions 1, 2, and 4.

## 20 REGULATION OF TRANSPORTATION

### Program Objectives Statement

The Commission regulates various privately owned for-hire passenger transportation companies including railroads, vessels, pipelines, transit guideway systems, and household goods carriers. The Commission protects the public interest in matters relating to rates, service, licenses, and safety issues.

The main responsibilities of the Commission for the Transportation program are to: (1) enforce rates, rules, regulations, and statutory requirements, (2) enforce requirements for permits and licenses to operate transportation services, and (3) ensure safety of rail transit systems and railroad-highway crossings.

### Major Budget Adjustment Proposed for 2001–02

- $227,000 Public Utilities Commission Transportation Reimbursement Account, $48,000 Transportation Rate Fund, $75,000 Public Transportation Account, and $80,000 State Highway Account for deferred maintenance projects for the Commission building in San Francisco.

### Authority

California Constitution, Article XII; Public Utilities Code, Divisions 1, 2, 4 and 10.

*** Dollars in thousands, except in Salary Range.**

## 8660 PUBLIC UTILITIES COMMISSION—Continued

### PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 REGULATION OF UTILITIES

| State Operations: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| *0001 General Fund* | – | *$2,300* | *$2,738* |
| *0462 Public Utilities Commission Utilities Reimbursement Account* | *$58,724* | *64,090* | *68,970* |
| *0890 Federal Trust Fund* | *1,002* | *1,021* | *1,033* |
| *0995 Reimbursements* | *18,201* | *23,230* | *12,728* |
| Totals, State Operations | $77,927 | $90,641 | $85,469 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Regulation of Rates | 40,895 | 45,737 | 50,464 |
| State Operations: | | | |
| *0001 General Fund* | – | *2,300* | *2,738* |
| *0462 Public Utilities Commission Utilities Reimbursement Account* | *35,398* | *38,867* | *43,158* |
| *0995 Reimbursements* | *5,497* | *4,570* | *4,568* |
| 10.15 Office of Ratepayer Advocates | 10,388 | 12,497 | 13,411 |
| State Operations: | | | |
| *0462 Public Utilities Commission Utilities Reimbursement Account* | *10,388* | *8,747* | *9,661* |
| *0995 Reimbursements* | – | *3,750* | *3,750* |
| 10.20 Service and Facilities | 7,195 | 9,580 | 9,647 |
| State Operations: | | | |
| *0462 Public Utilities Commission Utilities Reimbursement Account* | *7,195* | *9,580* | *9,647* |
| 10.30 Certification | 16,038 | 19,016 | 8,080 |
| State Operations: | | | |
| *0462 Public Utilities Commission Utilities Reimbursement Account* | *3,334* | *4,106* | *3,670* |
| *0995 Reimbursements* | *12,704* | *14,910* | *4,410* |
| 10.40 Safety | 3,411 | 3,811 | 3,867 |
| State Operations: | | | |
| *0462 Public Utilities Commission Utilities Reimbursement Account* | *2,409* | *2,790* | *2,834* |
| *0890 Federal Trust Fund* | *1,002* | *1,021* | *1,033* |

### PROGRAM REQUIREMENTS
### 20 REGULATION OF TRANSPORTATION

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0042 State Highway Account, State Transportation Fund* | *$2,381* | *$2,385* | *$2,544* |
| *0046 Public Transportation Fund, State Transportation Fund* | *2,436* | *2,202* | *2,357* |
| *0412 Transportation Rate Fund* | *1,873* | *1,858* | *1,981* |
| *0461 Public Utilities Commission Transportation Reimbursement Account* | *7,311* | *7,344* | *7,791* |
| Totals, State Operations | $14,001 | $13,789 | $14,673 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 20.10 Regulation of Rates | 461 | 699 | 731 |
| State Operations: | | | |
| *0412 Transportation Rate Fund* | *267* | *266* | *289* |
| *0461 Public Utilities Commission Transportation Reimbursement Account* | *194* | *433* | *442* |
| 20.20 Service and Facilities | 2,321 | 2,302 | 2,640 |
| State Operations: | | | |
| *0412 Transportation Rate Fund* | *850* | *842* | *895* |
| *0461 Public Utilities Commission Transportation Reimbursement Account* | *1,471* | *1,460* | *1,745* |
| 20.30 Licensing | 2,913 | 2,809 | 3,087 |
| State Operations: | | | |
| *0412 Transportation Rate Fund* | *756* | *750* | *797* |
| *0461 Public Utilities Commission Transportation Reimbursement Account* | *2,157* | *2,059* | *2,290* |
| 20.40 Safety | 8,306 | 7,979 | 8,215 |
| State Operations: | | | |
| *0042 State Highway Account, State Transportation Fund* | *2,381* | *2,385* | *2,544* |
| *0046 Public Transportation Fund, State Transportation Fund* | *2,436* | *2,202* | *2,357* |
| *0461 Public Utilities Commission Transportation Reimbursement Account* | *3,489* | *3,392* | *3,314* |
| TOTALS, EXPENDITURES (State Operations) | $91,928 | $104,430 | $100,142 |

*** Dollars in thousands, except in Salary Range.**

## 8660 PUBLIC UTILITIES COMMISSION—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 773.1 | 914.5 | 911.5 | $47,153 | $56,000 | $56,920 |
| Total Adjustments | – | 25.5 | 44.0 | – | 1,659 | 2,760 |
| Estimated Salary Savings | – | −68.5 | −69.2 | – | −1,960 | −2,246 |
| Net Totals, Salaries and Wages | 773.1 | 871.5 | 886.3 | $47,153 | $55,699 | $57,434 |
| Staff Benefits | – | – | – | 7,919 | 8,038 | 8,178 |
| Totals, Personal Services | 773.1 | 871.5 | 886.3 | $55,072 | $63,737 | $65,612 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $31,843 | $35,650 | $29,494 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Base rental and fees/insurance | | | | 5,013 | 5,043 | 5,036 |
| TOTALS, EXPENDITURES | | | | $91,928 | $104,430 | $100,142 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $2,738 |
| Chapter 329, Statutes of 2000 | – | $2,300 | – |
| TOTALS, EXPENDITURES | – | $2,300 | $2,738 |

### 0042 State Highway Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,324 | $2,402 | $2,544 |
| Allocation for employee compensation | 166 | 5 | – |
| Adjustment per Section 3.60 | −110 | −22 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $2,381 | $2,385 | $2,544 |

### 0046 Public Transportation Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $2,377 | $2,217 | $2,357 |
| Allocation for employee compensation | 168 | 5 | – |
| Adjustment per Section 3.60 | −110 | −20 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| TOTALS, EXPENDITURES | $2,436 | $2,202 | $2,357 |

### 0412 Transportation Rate Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,685 | $1,719 | $1,831 |
| 003 Budget Act appropriation | 151 | 151 | 150 |
| Allocation for employee compensation | 115 | 4 | – |
| Adjustment per Section 3.60 | −78 | −16 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $1,874 | $1,858 | $1,981 |
| Unexpended balance, estimated savings | −1 | – | – |
| TOTALS, EXPENDITURES | $1,873 | $1,858 | $1,981 |

### 0461 Public Utilities Commission Transportation Reimbursement Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $6,600 | $6,835 | $7,237 |
| 003 Budget Act appropriation | 555 | 556 | 554 |

*** Dollars in thousands, except in Salary Range.**

## 8660 PUBLIC UTILITIES COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Allocation for employee compensation | $465 | $15 | – |
| Adjustment per Section 3.60 | −307 | −62 | – |
| Adjustment per Section 16.00 | 3 | – | – |
| Totals Available | $7,316 | $7,344 | $7,791 |
| Unexpended balance, estimated savings | −5 | – | – |
| TOTALS, EXPENDITURES | $7,311 | $7,344 | $7,791 |

### 0462 Public Utilities Commission Utilities Reimbursement Account [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $52,836 | $59,186 | $64,638 |
| 003 Budget Act appropriation | 4,335 | 4,337 | 4,332 |
| Allocation for employee compensation | 3,726 | 143 | – |
| Allocation for contingencies or emergencies | – | 500 | – |
| Adjustment per Section 3.60 | −2,455 | −540 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 3 | – |
| Adjustment per Section 16.00 | 23 | – | – |
| Chapter 886, Statutes of 1998 | 814 | – | – |
| Chapter 568, Statutes of 1999 | 135 | – | – |
| Prior year balances available: | | | |
| Chapter 886, Statutes of 1998 | – | 462 | – |
| Chapter 568, Statutes of 1999 | – | 1 | 1 |
| Totals Available | $59,414 | $64,092 | $68,971 |
| Balance available in subsequent years | −463 | −1 | −1 |
| Unexpended balance, estimated savings | −227 | −1 | – |
| TOTALS, EXPENDITURES | $58,724 | $64,090 | $68,970 |

### 0890 Federal Trust Fund

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $977 | $1,028 | $1,033 |
| Allocation for employee compensation | 69 | 2 | – |
| Adjustment per Section 3.60 | −44 | −9 | – |
| TOTALS, EXPENDITURES | $1,002 | $1,021 | $1,033 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $18,201 | $23,230 | $12,728 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $91,928 | $104,430 | $100,142 |

---

## FUND CONDITION STATEMENT

### 0051 Propane Safety Inspection and Enforcement Program Trust Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $134 | $214 | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 120600 Quarterly Public Utilities Commission Fees | 76 | 70 | $70 |
| 164300 Penalty assessments | 4 | – | – |
| Totals, Revenues | $80 | $70 | $70 |
| Transfers to Other Funds: | | | |
| T00462 Public Utilities Commission Utilities Reimbursement Account per Public Utilities Code Section 4458 | – | −284 | −70 |
| Totals, Transfers to Other Funds | – | −$284 | −$70 |
| Totals, Revenues and Transfers | $80 | −$214 | – |
| Totals, Resources | $214 | – | – |
| FUND BALANCE | $214 | – | – |
| Reserve for economic uncertainties | 214 | – | – |

### 0412 Transportation Rate Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $623 | $616 | $543 |
| Prior year adjustments | 86 | – | – |
| Balance, Adjusted | $709 | $616 | $543 |

*** Dollars in thousands, except in Salary Range.**

## 8660 PUBLIC UTILITIES COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 120600 Quarterly Public Utilities Commission fees | $1,671 | $1,670 | $1,670 |
| 125700 Other regulatory licenses and permits | 64 | 65 | 65 |
| 141200 Sales of documents | 21 | 20 | 20 |
| 150300 Income from surplus money investments | 41 | 40 | 40 |
| 161000 Escheat of unclaimed checks and warrants | 3 | – | – |
| Totals, Revenues | $1,800 | $1,795 | $1,795 |
| Transfers to Other Funds: | | | |
| T00293 Motor Carrier Safety Improvement Fund per Public Utilities Code Section 5003.1 | –20 | –10 | –10 |
| Totals, Transfers to Other Funds | –$20 | –$10 | –$10 |
| Totals, Revenues and Transfers | $1,780 | $1,785 | $1,785 |
| Totals, Resources | $2,489 | $2,401 | $2,328 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8660 Public Utilities Commission (State Operations) | 1,873 | 1,858 | 1,981 |
| FUND BALANCE | $616 | $543 | $347 |
| Reserve for economic uncertainties | 616 | 543 | 347 |

### 0461 Public Utilities Commission Transportation Reimbursement Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $4,152 | $4,951 | $4,317 |
| Prior year adjustments | 8 | – | – |
| Balance, Adjusted | $4,160 | $4,951 | $4,317 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 120600 Quarterly Public Utilities Commission fees | 7,096 | 5,720 | 5,220 |
| Vessel operators | (160) | (160) | (160) |
| Passenger vehicle operators | (3,891) | (2,095) | (1,568) |
| Pipeline corporations | (62) | (61) | (61) |
| Railroad corporations | (2,971) | (3,392) | (3,419) |
| Commercial air operators | (12) | (12) | (12) |
| 120700 Penalties on quarterly Public Utilities Commission Fees | 1 | – | – |
| 125700 Other regulatory licenses and permits | 854 | 850 | 850 |
| 150300 Income from surplus money investments | 147 | 140 | 140 |
| 161000 Escheat of unclaimed checks and warrants | 4 | – | – |
| Totals, Revenues and Transfers | $8,102 | $6,710 | $6,210 |
| Totals, Resources | $12,262 | $11,661 | $10,527 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8660 Public Utilities Commission (State Operations) | 7,311 | 7,344 | 7,791 |
| Vessel operators | (158) | (156) | (160) |
| Passenger vehicle operators | (4,016) | (3,730) | (4,249) |
| Pipeline corporations | (62) | (56) | (58) |
| Railroad corporations | (3,065) | (3,392) | (3,314) |
| Commercial air operators | (10) | (10) | (10) |
| Totals, Disbursements | $7,311 | $7,344 | $7,791 |
| FUND BALANCE | $4,951 | $4,317 | $2,736 |
| Reserve for economic uncertainties | 4,951 | 4,317 | 2,736 |

### 0462 Public Utilities Commission Utilities Reimbursement Account [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $19,861 | $25,319 | $23,878 |
| Prior year adjustments | 1,324 | – | – |
| Balance, Adjusted | $21,185 | $25,319 | $23,878 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 120600 Quarterly Public Utilities Commission fees: | | | |
| Utility fees | 63,388 | 63,410 | 63,410 |
| Electric corporations | (21,593) | (21,600) | (21,600) |
| Gas and heat corporations | (12,390) | (12,400) | (12,400) |

*** Dollars in thousands, except in Salary Range.**

## 8660 PUBLIC UTILITIES COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Telephone and telegraph corporations | ($19,145) | ($19,150) | ($19,150) |
| Water and sewer system corporations | (10,260) | (10,260) | (10,260) |
| 141200 Sales of documents | 109 | 100 | 100 |
| 150300 Income from surplus money investments | 642 | 650 | 650 |
| 161000 Escheat of unclaimed checks and warrants | 7 | – | – |
| 161400 Miscellaneous revenue | 5 | – | – |
| Totals, Revenues | $64,151 | $64,160 | $64,160 |
| Transfers from Other Funds: | | | |
| F00051 Propane Safety Inspection and Enforcement Program Trust Fund, per Public Utilities Code Section 4458 | – | 284 | 70 |
| Totals, Revenues and Transfers | $64,151 | $64,444 | $64,230 |
| Totals, Resources | $85,336 | $89,763 | $88,108 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8660 Public Utilities Commission (State Operations) | 58,724 | 64,090 | 68,970 |
| Electric corporations | (20,458) | (22,172) | (25,739) |
| Gas and heat corporations | (11,122) | (12,217) | (12,657) |
| Telephone and telegraph corporations | (17,034) | (18,996) | (19,989) |
| Water and sewer system corporations | (10,110) | (10,705) | (10,585) |
| 8770 Electricity Oversight Board (State Operations) | 1,293 | 1,795 | 3,460 |
| Totals, Disbursements | $60,017 | $65,885 | $72,430 |
| FUND BALANCE | $25,319 | $23,878 | $15,678 |
| Reserve for economic uncertainties | 25,319 | 23,878 | 15,678 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 773.1 | 914.5 | 911.5 | $47,153 | $56,000 | $56,920 |
| Salary adjustments | – | – | – | – | 22 | 22 |
| Totals, Adjusted Authorized Positions | 773.1 | 914.5 | 911.5 | $47,153 | $56,022 | $56,942 |
| Proposed New Positions: | | | | | | |
| Executive Division: | | | | Salary Range | | |
| Counsel III [1] | – | 0.8 | 1.0 | 6,573–8,111 | 66 | 88 |
| Consumer Services Division: | | | | | | |
| Consumer Affs Rep | – | – | 4.0 | 2,959–3,956 | – | 166 |
| Office of Ratepayer Advocates: | | | | | | |
| Sr Utilities Engr-Spec [1] | – | 0.8 | 1.0 | 5,087–6,181 | 51 | 68 |
| Reg Analyst III [2] | – | 2.2 | 3.0 | 4,506–5,479 | 140 | 180 |
| Financial Examiner III [1] | – | 0.8 | 1.0 | 4,103–4,986 | 41 | 55 |
| Legal Division: | | | | | | |
| Counsel III [3] | – | 3.0 | 4.0 | 6,573–8,111 | 264 | 352 |
| Sr Legal Typist [2] | – | 2.2 | 3.0 | 2,304–3,129 | 76 | 98 |
| Administrative Law Judge Division: | | | | | | |
| Adm Law Judge II [2, 4] | – | 2.2 | 4.0 | 7,062–8,545 | 218 | 375 |
| Legal Analyst | – | – | 1.0 | 3,418–4,155 | – | 45 |
| Sr Legal Typist [2] | – | 2.2 | 3.0 | 2,304–3,129 | 76 | 98 |
| Energy Division: | | | | | | |
| Prog & Proj Supvr [1] | – | 0.8 | 1.0 | 5,583–6,786 | 56 | 74 |
| Prin Financial Examiner | – | – | 1.0 | 6,032–6,651 | – | 76 |
| Sr Utilities Engr-Spec [5] | – | 3.7 | 5.0 | 5,087–6,181 | 248 | 338 |
| Financial Examiner IV | – | – | 3.0 | 4,949–6,015 | – | 197 |
| Reg Analyst III [6] | – | 5.3 | 7.0 | 4,506–5,479 | 319 | 419 |
| Financial Examiner III [7] | – | 1.5 | 2.0 | 4,103–4,986 | 82 | 109 |
| Totals, Proposed New Positions | – | 25.5 | 44.0 | – | $1,637 | $2,738 |
| Total Adjustments | – | 25.5 | 44.0 | – | $1,659 | $2,760 |
| TOTALS, SALARIES AND WAGES | 773.1 | 940.0 | 955.5 | $47,153 | $57,659 | $59,680 |

[1] 1.0 position limited to 6/30/04.
[2] 3.0 positions limited to 6/30/04.
[3] 4.0 positions limited to 6/30/04.
[4] 1.0 position limited to 6/30/02.
[5] 5.0 positions limited to 6/30/04.
[6] 7.0 positions limited to 6/30/04.
[7] 2.0 positions limited to 6/30/04.

*** Dollars in thousands, except in Salary Range.**

# 8690 SEISMIC SAFETY COMMISSION

## Program Objectives

The mission of the Seismic Safety Commission is to improve the well-being of the people of California through cost-effective measures that lower earthquake risk to life and property. To accomplish this, the Commission works with federal, state, and local agencies as well as the private sector on a variety of activities that guide and stimulate earthquake risk reduction and management. The 17 appointed Commissioners provide state government with policy guidance, topical expertise, and perspectives from the private sector, academia, and local government. The Commission is responsible for: (1) advising the Legislature and the Administration on seismic safety policies and issues; (2) maintaining and encouraging the implementation of the state's five-year Earthquake Hazard Reduction Program (California at Risk); (3) reviewing the adequacy of earthquake safety policies and programs carried out by state and local agencies; (4) using existing knowledge and conducting studies where necessary to develop and publish information to improve the performance of state-owned buildings pursuant to the authority provided by Proposition 122; (5) preparing and disseminating guides to the public identifying earthquake weaknesses and other issues related to residential and commercial buildings; (6) implementing the Unreinforced Masonry Building Law—which requires local governments to adopt a mitigation program for potentially hazardous buildings; (7) preparing a five-year earthquake research plan that specifies the research California needs to improve safety and foster the development and use of new technologies; and (8) seeking new information and insights from the scientific and engineering communities and from studies of damaging earthquakes and applying this information to reduce California's risk.

## Major Budget Adjustment Proposed for 2001–02

- $230,000 ($70,000 General Fund and $160,000 Reimbursements) and 0.5 Senior Engineer to expand the Seismic Retrofit Practices Implementation Program, and to sponsor workshops to train building officials in the latest seismic retrofit technology.

## Authority

Government Code, Chapter 13, Sections 8870 through 8876 and 8890 through 8899.5.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Seismic Safety | 6.3 | 9.7 | 10.1 | $886 | $1,099 | $1,215 |
| *0001 General Fund* | | | | *779* | *919* | *980* |
| *0768 Earthquake Safety and Public Buildings Rehabilitation Fund of 1990* | | | | *72* | *105* | *–* |
| *0995 Reimbursements* | | | | *35* | *75* | *235* |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS | | | | | | |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
| Authorized Positions (Equals Sch. 7A) | 6.3 | 9.8 | 8.8 | $387 | $606 | $569 |
| Total Adjustments | – | – | 1.5 | – | – | 71 |
| Estimated Salary Savings | – | –0.1 | –0.2 | – | –10 | –10 |
| Net Totals, Salaries and Wages | 6.3 | 9.7 | 10.1 | $387 | $596 | $630 |
| Staff Benefits | – | – | – | 72 | 85 | 92 |
| Totals, Personal Services | 6.3 | 9.7 | 10.1 | $459 | $681 | $722 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $427 | $418 | $493 |
| TOTALS, EXPENDITURES | | | | $886 | $1,099 | $1,215 |

| RECONCILIATION WITH APPROPRIATIONS<br>1 STATE OPERATIONS<br>0001 General Fund | | | |
|---|---|---|---|
| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
| 001 Budget Act appropriation (moved from Item 3580-001-0001) | $796 | – | – |
| 001 Budget Act appropriation | – | $821 | $980 |
| 011 Budget Act appropriation (For transfer to Earthquake Emergency Investigations Account—0257) | – | 100 | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8690 SEISMIC SAFETY COMMISSION—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Allocation for employee compensation | $44 | $3 | – |
| Adjustment per Section 3.60 | −33 | −5 | – |
| Totals Available | $807 | $919 | $980 |
| Unexpended balance, estimated savings | −28 | – | – |
| TOTALS, EXPENDITURES | $779 | $919 | $980 |

### 0257 Earthquake Emergency Investigations Account, Natural Disaster Assistance Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Government Code Section 8690.25 and 8690.45 | – | $100 | – |
| TOTALS, EXPENDITURES | – | $100 | – |
| Less funding provided by the General Fund | – | −100 | – |
| NET TOTALS, EXPENDITURES | – | – | – |

### 0768 Earthquake Safety and Public Buildings Rehabilitation Fund of 1990 [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation (moved from Item 3580-001-0001) | $73 | – | – |
| 011 Budget Act appropriation | – | $105 | – |
| Totals Available | $73 | $105 | – |
| Unexpended balance, estimated savings | −1 | – | – |
| TOTALS, EXPENDITURES | $72 | $105 | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $35 | $75 | $235 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $886 | $1,099 | $1,215 |

### FUND CONDITION STATEMENT
### 0257 Earthquake Emergency Investigations Account, Natural Disaster Assistance Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8690 Seismic Safety Commission (State Operations) | – | $100 | – |
| Expenditure Reduction: | | | |
| 8690 Seismic Safety Commission (State Operations) Less funding provided by the General Fund | – | −100 | – |
| FUND BALANCE | – | – | – |

### CHANGES IN AUTHORIZED POSITIONS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 6.3 | 9.8 | 8.8 | $387 | $606 | $569 |
| Proposed New Positions: | | | | Salary Range | | |
| Sr Engr | – | – | 0.5 | 41.07 hr | – | 40 |
| Ofc Techn | – | – | 1.0 | 2,348–2,855 | – | 31 |
| Totals, Proposed New Positions | – | – | 1.5 | – | – | $71 |
| Total Adjustments | – | – | 1.5 | – | – | $71 |
| TOTALS, SALARIES AND WAGES | 6.3 | 9.8 | 10.3 | $387 | $606 | $640 |

*** Dollars in thousands, except in Salary Range.**

# 8700 CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD

The California Victim Compensation and Government Claims Board, formerly known as the Board of Control (Government Code Sections 130900 and 130901 amended by Chapter 1016, Statutes of 2000) consists of three members: the Director of General Services who serves as the chair, the State Controller, and a public member appointed by the Governor. The primary objectives of the California Victim Compensation and Government Claims Board are to:

1. Compensate victims of violent crime and eligible family members for certain crime-related financial losses.
2. Consider and settle all civil claims against the State in an equitable manner and to reduce the number of items requiring legislative review or judicial adjudication.
3. Provide equitable travel allowances to certain State government officials.
4. Respond to bid protests against the State alleging improper or unfair acts of state agencies in the procurement of supplies and equipment.
5. Provide for reimbursement of counties' expenditures for special elections, called for by the Governor to fill vacant seats in the Legislature and Congress.

## Authority

Government Code Sections 905.2, 912.8, 11031, 11270 and 13920; Penal Code, Revenue and Taxation Code, Code of Civil Procedure, Welfare and Institutions Code, Education Code, Health and Safety Code, Military and Veterans Code, and others.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 11 Citizens Indemnification | 228.7 | 242.3 | 175.3 | $120,866 | $141,714 | $135,229 |
| 12 Quality Assurance and Revenue Recovery Division | – | – | 62.7 | – | – | 8,676 |
| 21 Disaster Relief Claim Program | 0.1 | 0.2 | 0.2 | 19 | 19 | 19 |
| 31 Civil Claims Against the State | 13.0 | 13.2 | 13.2 | 921 | 938 | 942 |
| 41 Citizens Benefiting the Public (Good Samaritans) | – | – | – | 10 | 20 | 20 |
| 51 Administration | 86.8 | 105.8 | 102.9 | 8,469 | 10,639 | 7,167 |
| Distributed Administration | – | – | – | –8,469 | –10,639 | –7,167 |
| 71 Counties' Special Election Reimbursements | – | – | – | – | 1,104 | 1,104 |
| 98 State-Mandated Local Programs | – | – | – | – | – | – |
| TOTALS, PROGRAMS | 328.6 | 361.5 | 354.3 | $121,816 | $143,795 | $145,990 |
| *0001 General Fund* | | | | *879* | *2,442* | *2,046* |
| *0113 Missing Children Reward Fund* | | | | *–* | *2* | *2* |
| *0214 Restitution Fund* | | | | *99,773* | *121,706* | *124,297* |
| *0890 Federal Trust Fund* | | | | *21,103* | *19,626* | *19,626* |
| *0995 Reimbursements* | | | | *61* | *19* | *19* |

## 11 CITIZENS INDEMNIFICATION

### Program Objectives Statement

This program indemnifies those citizens who are injured and suffer financial hardship as a direct result of a violent crime. The victim of a qualifying crime, and eligible family members, may file a claim with the Board for program benefits. Staff investigate the claim to determine eligibility and present a recommendation to the Board for action on the claim. The Board currently contracts with 20 local Victim/Witness Assistance Centers to process a portion of these claims. By statute, the program is the payor of last resort. As such, staff are required to identify/deduct "other sources" of reimbursement for expenses included on a claim.

### Major Budget Adjustment Included in 2000–01

- $2,916,000 Restitution Fund for increased costs associated with local agency contracts to process claims for victims of crime.

### Major Budget Adjustments Proposed for 2001–02

- $2,916,000 Restitution Fund for increased costs associated with local agency contracts to process claims for victims of crime.
- $1,300,000 Restitution Fund to establish a Claims Review Unit, pursuant to federal audit requirements, through a redirection of claims processing duties to the local level and increasing existing local agency contracts.

## 12 QUALITY ASSURANCE AND REVENUE RECOVERY DIVISION

### Program Objectives Statement

The Quality Assurance and Revenue Recovery Division (Division) serves victims of crime in California by maintaining the fiscal stability of the Restitution Fund through the recovery of monies owed from overpayments made in the Victims of Crime Program, liens filed on civil suits and workers' compensation cases, and restitution fines and orders. The Division's primary emphasis is to educate/instruct the criminal justice community (the judiciary, district attorneys, chief probation officers, and court administrators) on the importance of the consistent imposition and collection of restitution fines and orders. The Branch is also responsible for coordinating restitution-related activities at the State level with the Youth and Adult Correctional Agency and the Attorney General's Office.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8700 CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD—Continued

### 21 DISASTER RELIEF CLAIM PROGRAM

**Program Objectives Statement**

This program allows reimbursement to claimants for personal injury and property damage as a result of a natural or environmental disaster.

### 31 CIVIL CLAIMS AGAINST THE STATE

**Program Objectives Statement**

This program receives, processes and investigates all claims for money or damages filed against the State. Tort liability claims approved by the Board are usually paid from funds appropriated for that purpose. Equity claims approved by the Board are referred to the Legislature for funding and payment authority in one of two omnibus claims bills sponsored by the Board.

### 41 CITIZENS BENEFITING THE PUBLIC

**Program Objectives Statement**

This program reimburses persons who sustained injury or losses as a result of a direct action that benefited the public, such as the prevention of a crime or a rescue of a person in immediate danger of injury or death (''Good Samaritans'').

### 51.01 ADMINISTRATION

**Program Objectives Statement**

The administrative function provides support and direction to Board staff in response to the program goals established by the Board; serves as liaison between the Legislature and the Board; provides personnel, budget, legislative, audit, data processing, and business services to all programs under the Board's jurisdiction; and acts on behalf of the Board as specifically delegated.

### 71 COUNTIES SPECIAL ELECTION REIMBURSEMENTS

**Program Objectives Statement**

Chapter 790, Statutes of 1999 (AB 547), provided that expenses, authorized and necessarily incurred in conducting special elections proclaimed by the Governor to fill a legislative vacancy(ies) at the federal or state level are to be reimbursed by the State.

### 98 STATE-MANDATED LOCAL PROGRAMS

**Program Objectives Statement**

Chapter 1123, Statutes of 1977 (AB 1206), requires that probation officers determine whether a defendant is required to pay a certain fine and recommend whether the court should require, as a condition of probation, restitution to the victim or payment to the Restitution Fund. Counties are reimbursed for the time it takes the probation officers to make the determination. Pursuant to Section 17581 of the Government Code, this mandate has been suspended since fiscal year 1990–91 and is again proposed to be suspended in 2001–02.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 11 CITIZENS INDEMNIFICATION

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0113 Missing Children Reward Fund* | – | *$2* | *$2* |
| *0214 Restitution Fund* | *$99,763* | *122,086* | *115,601* |
| *0890 Federal Trust Fund* | *21,103* | *19,626* | *19,626* |
| Totals, State Operations | $120,866 | $141,714 | $135,229 |

### PROGRAM REQUIREMENTS
### 12 QUALITY ASSURANCE AND REVENUE RECOVERY

| State Operations: | | | |
|---|---|---|---|
| *0214 Restitution Fund* | – | – | *$8,676* |
| Totals, State Operations | – | – | $8,676 |

### PROGRAM REQUIREMENTS
### 21 DISASTER RELIEF CLAIM PROGRAM

| State Operations: | | | |
|---|---|---|---|
| *0995 Reimbursements* | *$19* | *$19* | *$19* |
| Totals, State Operations | $19 | $19 | $19 |

*** Dollars in thousands, except in Salary Range.**

## 8700 CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD—Continued

### PROGRAM REQUIREMENTS
### 31 CIVIL CLAIMS AGAINST THE STATE

| State Operations: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0001 General Fund* | *$860* | *$938* | *$942* |
| *0995 Reimbursements* | *61* | – | – |
| Totals, State Operations | $921 | $938 | $942 |

### PROGRAM REQUIREMENTS
### 41 CITIZENS BENEFITING THE PUBLIC

| State Operations: | | | |
|---|---|---|---|
| *0214 Restitution Fund* | *$10* | *$20* | *$20* |
| Totals, State Operations | $10 | $20 | $20 |

### PROGRAM REQUIREMENTS
### 51 ADMINISTRATION, REVENUE RECOVERY AND COMPLIANCE

| | | | |
|---|---|---|---|
| 51.01 Administration | $3,678 | $3,825 | $6,828 |
| 51.02 Distributed Administration | −8,469 | −10,639 | −7,167 |
| 51.03 Executive Office | 390 | 919 | 339 |
| 51.04 Revenue Recovery and Compliance Branch | 4,401 | 5,895 | – |
| Net Totals, Administration | – | – | – |

### PROGRAM REQUIREMENTS
### 71 COUNTIES SPECIAL ELECTIONS REIMBURSEMENT

| Local Assistance: | | | |
|---|---|---|---|
| *0001 General Fund* | – | *$1,104* | *$1,104* |
| Totals, Local Assistance | – | $1,104 | $1,104 |

### PROGRAM REQUIREMENTS
### 98 STATE-MANDATED LOCAL PROGRAMS

| Local Assistance: | | | |
|---|---|---|---|
| *0214 Restitution Fund* | – | – | – |
| Totals, Local Assistance | – | – | – |

### TOTAL EXPENDITURES

| | | | |
|---|---|---|---|
| State Operations | $121,816 | $142,691 | $144,886 |
| Local Assistance | – | 1,104 | 1,104 |
| TOTALS, EXPENDITURES | $121,816 | $143,795 | $145,990 |

---

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 328.6 | 380.5 | 379.5 | $14,986 | $16,671 | $17,013 |
| Total Adjustments | – | – | −6.5 | – | 21 | 24 |
| Estimated Salary Savings | – | −19.0 | −18.7 | – | −839 | −845 |
| Net Totals, Salaries and Wages | 328.6 | 361.5 | 354.3 | $14,986 | $15,853 | $16,192 |
| Staff Benefits | – | – | – | 3,138 | 3,386 | 3,171 |
| Totals, Personal Services | 328.6 | 361.5 | 354.3 | $18,124 | $19,239 | $19,363 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $17,995 | $21,715 | $21,549 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Board of Control Claims: | | | | | | |
| Victims of Crime | | | | 85,687 | 101,715 | 103,952 |
| Missing Children Reward Claims | | | | – | 2 | 2 |
| Citizens Benefiting the Public (Good Samaritans) | | | | 10 | 20 | 20 |
| TOTALS, EXPENDITURES | | | | $121,816 | $142,691 | $144,886 |

*** Dollars in thousands, except in Salary Range.**

## 8700 CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $902 | $1,341 | $942 |
| Allocation for employee compensation | 46 | 1 | – |
| Adjustment per Section 3.60 | −27 | −4 | – |
| Totals Available | $921 | $1,338 | $942 |
| Unexpended balance, estimated savings | −42 | – | – |
| TOTALS, EXPENDITURES | $879 | $1,338 | $942 |

### 0113 Missing Children Reward Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 13974.1 (expenditures) | – | $2 | $2 |

### 0214 Restitution Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $32,916 | $35,748 | $39,951 |
| 002 Budget Act appropriation | 20 | 20 | 20 |
| Government Code Section 13967 | 61,260 | 77,889 | 80,000 |
| Government Code Section 13966.01(F) | 4,240 | 4,200 | 4,326 |
| Allocation for employee compensation | 1,896 | 66 | – |
| Allocation for contingencies and emergencies | 445 | – | – |
| Revised expenditure authority per Provision 1 | – | 2,916 | – |
| Adjustment per Section 3.60 | −881 | −133 | – |
| Adjustment per Section 16.00 | 11 | – | – |
| Transfer to Legislative Claims (9670) | −2 | – | – |
| Chapter 1016, Statutes of 2000 | – | 1,000 | – |
| Totals Available | $99,905 | $121,706 | $124,297 |
| Unexpended balance, estimated savings | −132 | – | – |
| TOTALS, EXPENDITURES | $99,773 | $121,706 | $124,297 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $21,245 | $18,046 | $19,626 |
| Budget adjustment | −142 | 1,580 | – |
| TOTALS, EXPENDITURES | $21,103 | $19,626 | $19,626 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $61 | $19 | $19 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $121,816 | $142,691 | $144,886 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| SPECIAL ITEMS OF EXPENSE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State-mandated local programs | – | – | – |
| Counties Special Election Reimbursement | – | $1,104 | $1,104 |
| TOTALS, EXPENDITURES | – | $1,104 | $1,104 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | – | $1,104 | $1,104 |
| 295 Budget Act appropriation (State Mandates) | $0 [1] | 0 [1] | 0 [1] |
| TOTALS, EXPENDITURES | – | $1,104 | $1,104 |

*** Dollars in thousands, except in Salary Range.**

## 8700 CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD—Continued

### 0214 Restitution Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Chapter 748, Statutes of 1996 (State Mandates) | $1 | – | – |
| Totals Available | $1 | – | – |
| Unexpended balance, estimated savings | –1 | – | – |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | – | $1,104 | $1,104 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $121,816 | $143,795 | $145,990 |

[1] Suspended mandates.

---

### FUND CONDITION STATEMENT
### 0113 Missing Children Reward Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $22 | $22 | $20 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8700 California Victim Compensation and Government Claims Board (Administrative Charges) (State Operations) | – | 2 | 2 |
| FUND BALANCE | $22 | $20 | $18 |
| Reserve for economic uncertainties | 22 | 20 | 18 |

### 0214 Restitution Fund [s]

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $66,850 | $77,553 | $53,999 |
| Prior year adjustments | 9,061 | – | – |
| Balance, Adjusted | $75,911 | $77,553 | $53,999 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| Fines and Penalties: | | | |
| 130800 Penalties on felony convictions | 38,011 | 38,000 | 38,000 |
| Penalties on felony convictions—Children Trust | (3) | (3) | (3) |
| Penalties on felony convictions—DRF | (801) | (797) | (797) |
| 130900 Fines—crimes of public offense | 8,739 | 8,700 | 8,700 |
| 142500 Miscellaneous services to the public | 2 | 5 | 5 |
| 161000 Escheat of unclaimed checks and warrants | 465 | 200 | 200 |
| 161400 Miscellaneous Revenue | 3 | – | – |
| 164300 Penalty assessments (traffic and criminal convictions) | 53,266 | 55,985 | 58,646 |
| 164400 Civil and criminal violation assessment | 1,668 | 1,700 | 1,700 |
| Totals, Revenues | $102,154 | $104,590 | $107,251 |
| Totals, Resources | $178,065 | $182,143 | $161,250 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0820 Department of Justice (Per Chapter 507, Statutes of 1997): | | | |
| State Operations | 181 | 64 | 59 |
| Local Assistance | 556 | 5,635 | 2,941 |
| 4440 Department of Mental Health: | | | |
| State Operations | – | 739 | 735 |
| 8700 California Victim Compensation and Government Claims Board: | | | |
| State Operations: | | | |
| Administration/operation of Victims and Restitution Programs | 26,634 | 25,821 | 24,822 |
| Joint Power Allocation for Victims and Restitution Programs | 7,629 | 13,776 | 15,129 |
| Citizens Benefiting the Public | 10 | 20 | 20 |
| Payment of Claims for Victims/Citizens | 61,260 | 77,889 | 80,000 |
| Government Code Section 13966.01F | 4,240 | 4,200 | 4,326 |
| Totals, California Victim Compensation and Government Claims Board (State Operations) | $99,773 | $121,706 | $124,297 |

*** Dollars in thousands, except in Salary Range.**

## 8700 CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Local Assistance (Chapter 748, Statutes of 1996) (State Mandates) | – | – | – |
| 9670 Legislative Claims (State Operations) | $2 | – | – |
| Totals, Disbursements | $100,512 | $128,144 | $128,032 |
| FUND BALANCE | $77,553 | $53,999 | $33,218 |
| Reserve for economic uncertainties | 77,553 | 53,999 | 33,218 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 328.6 | 380.5 | 379.5 | $14,986 | $16,671 | $17,013 |
| Salary adjustments | – | – | – | – | 21 | 24 |
| Totals, Adjusted Authorized Positions | 328.6 | 380.5 | 379.5 | $14,986 | $16,692 | $17,037 |
| Reduction in Authorized Positions: | | | | | | |
| Excess Vacant Position Analysis: | | | | Salary Range | | |
| Crime Victims Resolution Supvr | – | – | −1.0 | 3,291–3,955 | – | – |
| Crime Victims Resolution Ofcr | – | – | −2.5 | 2,438–3,644 | – | – |
| Prog Techn II | – | – | −1.0 | 2,258–2,746 | – | – |
| Ofc Techn-Typing | – | – | −1.0 | 2,258–2,745 | – | – |
| Ofc Asst-Gen | – | – | −1.0 | 1,775–2,370 | – | – |
| Total | – | – | −6.5 | – | – | – |
| Total Adjustments | – | – | −6.5 | – | $21 | $24 |
| TOTALS, SALARIES AND WAGES | 328.6 | 380.5 | 373.0 | $14,986 | $16,692 | $17,037 |

## 8750 COMMISSION ON LOCAL GOVERNANCE FOR THE 21ST CENTURY

Chapter 943, Statutes of 1997, as amended by Chapter 1038, Statutes of 1998, created the Commission on Local Governance for the 21st Century to conduct a thorough investigation of the policies, practices, and statutes affecting the organization and boundaries of California's local agencies. This is to be accomplished by:

1. Reviewing current statutes regarding the policies, criteria, procedures, and precedents for city, county, and special district boundary changes.
2. Recommending proposals to add criteria to increase citizen and community participation in city, county, and special district governments.
3. Recommending proposals to ensure conformity with the requirements of federal law, including, but not limited to, the federal Voting Rights Act of 1965.
4. Making recommendations for statutory changes, if any.

The Commission consists of 15 members: 9 appointed by the Governor and 3 each by the Assembly Committee on Rules and the Senate Committee on Rules. The Governor's appointments include city, county, special district, and local agency formation commission representatives. Members of the commission have proven academic or professional experience in demography, urban economics, land use, planning, public finance, and legal aspects of local agency organization and boundaries. This commission sunset on July 1, 2000. Chapter 943 required that the commission report to the Legislature and Governor by December 31, 1999.

### Authority

Government Code Section 56302.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Commission on Local Governance for the 21st Century (General Fund) | 4.0 | – | – | $465 | – | – |

| SUMMARY BY OBJECT 1 STATE OPERATIONS | | | | | | |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
| Authorized Positions (Equals Sch. 7A) | 4.2 | – | – | $286 | – | – |
| Estimated Salary Savings | −0.2 | – | – | −27 | – | – |
| Net Totals, Salaries and Wages | 4.0 | – | – | $259 | – | – |
| Staff Benefits | – | – | – | 49 | – | – |
| Totals, Personal Services | 4.0 | – | – | $308 | – | – |
| OPERATING EXPENSES AND EQUIPMENT | | | | $157 | – | – |
| TOTALS, EXPENDITURES | | | | $465 | – | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8750 COMMISSION ON LOCAL GOVERNANCE FOR THE 21ST CENTURY—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $452 | – | – |
| Allocation for employee compensation | 20 | – | – |
| Adjustment per Section 3.60 | –7 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $465 | – | – |

# 8770 ELECTRICITY OVERSIGHT BOARD

The Electricity Oversight Board (EOB) was created as part of the electricity industry restructuring legislation (Chapter 854, Statutes of 1996, AB 1890). The EOB carries out regulatory oversight of major elements of the restructured electricity industry. These include the operation and reliability of the electricity transmission system and the operation, efficiency and competitiveness of the markets for bulk energy, transmission and ancillary services. The EOB oversees all activities of the Independent System Operator (ISO) and the Power Exchange and appoints members of their governing boards. The EOB reviews market and reliability rules; maintenance, repair and replacement standards; transmission grid plans; and emergency and contingency plans, and continuously monitors market activities. The EOB is an appeal body for ISO governing board actions within state jurisdiction. The EOB represents the State and its citizens in litigation before the Federal Energy Regulatory Commission and in regional forums on subjects pertinent to its mission.

## Major Budget Adjustment Included in 2000–01

- $500,000 General Fund and 4.0 positions for the Governor's Clean Energy Green Team authorized by Chapter 329, Statutes of 2000 (AB 970).

## Major Budget Adjustment Proposed for 2001–02

- $983,000 Public Utilities Commission Utilities Reimbursement Account and 7.0 positions to enhance the ability of the Electricity Oversight Board to monitor the markets operated by the California Independent System Operator and the California Power Exchange.

## Authority

Public Utilities Code, Sections 335–341.4.

### SUMMARY OF PROGRAM REQUIREMENTS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 30 Administration | 9.4 | 20.0 | 30.4 | $1,648 | $2,791 | $4,428 |
| TOTALS, PROGRAMS | 9.4 | 20.0 | 30.4 | $1,648 | $2,791 | $4,428 |
| *0001 General Fund* | | | | *–* | *500* | *512* |
| *0462 Public Utilities Commission Utilities Reimbursement Account* | | | | *1,293* | *1,795* | *3,460* |
| *0465 Energy Resources Programs Account* | | | | *355* | *496* | *456* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 9.4 | 18.0 | 18.0 | $663 | $1,082 | $1,116 |
| Total Adjustments | – | 3.0 | 14.0 | – | 202 | 846 |
| Estimated Salary Savings | – | –1.0 | –1.6 | – | –67 | –122 |
| Net Totals, Salaries and Wages | 9.4 | 20.0 | 30.4 | $663 | $1,217 | $1,840 |
| Staff Benefits | – | – | – | 83 | 256 | 438 |
| Totals, Personal Services | 9.4 | 20.0 | 30.4 | $746 | $1,473 | $2,278 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $902 | $1,318 | $2,150 |
| TOTALS, EXPENDITURES | | | | $1,648 | $2,791 | $4,428 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8770 ELECTRICITY OVERSIGHT BOARD—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $512 |
| Chapter 329, Statutes of 2000 | – | $500 | – |
| Totals Available | – | $500 | $512 |
| TOTALS, EXPENDITURES | – | $500 | $512 |

#### 0462 Public Utilities Commission Utilities Reimbursement Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,704 | $1,800 | $3,460 |
| Allocation for employee compensation | 82 | 2 | – |
| Adjustment per Section 3.60 | −55 | −7 | – |
| Totals Available | $1,731 | $1,795 | $3,460 |
| Unexpended balance, estimated savings | −438 | – | – |
| TOTALS, EXPENDITURES | $1,293 | $1,795 | $3,460 |

#### 0465 Energy Resources Programs Account [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $468 | $497 | $456 |
| Allocation for employee compensation | 22 | 1 | – |
| Adjustment per Section 3.60 | −15 | −2 | – |
| Totals Available | $475 | $496 | $456 |
| Unexpended balance, estimated savings | −120 | – | – |
| TOTALS, EXPENDITURES | $355 | $496 | $456 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $1,648 | $2,791 | $4,428 |

### CHANGES IN AUTHORIZED POSITIONS

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 9.4 | 18.0 | 18.0 | $663 | $1,082 | $1,116 |
| Salary adjustments | – | – | – | – | – | 8 |
| Totals, Adjusted Authorized Positions | 9.4 | 18.0 | 18.0 | $663 | $1,082 | $1,124 |
| Proposed New Positions: | | | | Salary Range | | |
| Proj Director, Clean Energy Green Team [1] | – | 0.8 | 1.0 | 8,111 | 78 | 97 |
| Staff Counsel [1] | – | 0.7 | 1.0 | 3,651–7,034 | 47 | 67 |
| Elec Transmission Sys Prog Spec III | – | – | 1.0 | 5,198–6,318 | – | 69 |
| Staff Svcs Mgr II-Spec | – | – | 1.0 | 5,493–6,058 | – | 73 |
| Elec Generation Sys Spec III | – | – | 1.0 | 4,961–6,030 | – | 66 |
| Sr Info Sys Analyst-Spec | – | – | 1.0 | 4,958–6,026 | – | 59 |
| Elec Transmission Sys Prog Spec II | – | – | 1.0 | 4,958–6,024 | – | 65 |
| Assoc Elec Engr | – | – | 1.0 | 4,415–5,363 | – | 58 |
| Elec Generation Sys Spec I | – | – | 2.0 | 4,111–4,994 | – | 109 |
| Plnr I [1] | – | 1.5 | 2.0 | 3,915–4,759 | 77 | 104 |
| Legal Analyst | – | – | 1.0 | 3,418–4,155 | – | 45 |
| Graduate Student | – | – | 1.0 | 1,958–2,134 | – | 26 |
| Totals, Proposed New Positions | – | 3.0 | 14.0 | – | $202 | $838 |
| Total Adjustments | – | 3.0 | 14.0 | – | $202 | $846 |
| TOTALS, SALARIES AND WAGES | 9.4 | 21.0 | 32.0 | $663 | $1,284 | $1,962 |

[1] Position(s) effective 9/6/00 and limited to 6/30/04.

*** Dollars in thousands, except in Salary Range.**

# 8780 MILTON MARKS "LITTLE HOOVER" COMMISSION ON CALIFORNIA STATE GOVERNMENT ORGANIZATION AND ECONOMY

## Program Objectives Statement

The Milton Marks "Little Hoover" Commission on California State Government Organization and Economy is composed of two members of the Senate, two members of the Assembly and nine citizen members—five appointed by the Governor and four appointed by the Legislature.

The Commission conducts studies and makes recommendations to the Governor and the Legislature concerning the organization, operation and performance of state agencies.

Chapter 12, Statutes of 1993 (SB 37), created the Bureau of State Audits and placed it under the general direction of the Commission. That legislation, along with Chapter 682, Statutes of 1995, also changed the name of the Commission to its present configuration.

## Authority

Government Code Sections 8501 to 8541.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Milton Marks Commission on California State Government Organization and Economy | 6.4 | 6.9 | 6.9 | $706 | $715 | $718 |
| TOTALS, PROGRAMS | 6.4 | 6.9 | 6.9 | $706 | $715 | $718 |
| *0001 General Fund* | | | | *702* | *713* | *716* |
| *0995 Reimbursements* | | | | *4* | *2* | *2* |

| SUMMARY BY OBJECT<br>1 STATE OPERATIONS | | | | | | |
|---|---|---|---|---|---|---|
| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
| Authorized Positions (Equals Sch. 7A) | 6.4 | 7.0 | 7.0 | $384 | $437 | $449 |
| Estimated Salary Savings | – | –0.1 | –0.1 | – | –3 | –3 |
| Net Totals, Salaries and Wages | 6.4 | 6.9 | 6.9 | $384 | $434 | $446 |
| Staff Benefits | – | – | – | 62 | 65 | 69 |
| Totals, Personal Services | 6.4 | 6.9 | 6.9 | $446 | $499 | $515 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $260 | $216 | $203 |
| TOTALS, EXPENDITURES | | | | $706 | $715 | $718 |

| RECONCILIATION WITH APPROPRIATIONS<br>1 STATE OPERATIONS<br>0001 General Fund | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $690 | $714 | $716 |
| Allocation for employee compensation | 35 | 2 | – |
| Adjustment per Section 3.60 | –21 | –3 | – |
| Totals Available | $704 | $713 | $716 |
| Unexpended balance, estimated savings | –2 | – | – |
| TOTALS, EXPENDITURES | $702 | $713 | $716 |
| **0995 Reimbursements** | | | |
| Reimbursements | $4 | $2 | $2 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $706 | $715 | $718 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 8800 MEMBERSHIPS IN INTERSTATE ORGANIZATIONS

This item provides funding for membership in various organizations to which the State belongs. Dues and fees are based on various formulas, such as population.

| SUMMARY OF PROGRAM REQUIREMENTS | | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|
| 10 | Council of State Governments | $382 | $393 | $408 |
| 20 | National Conference of State Legislatures | 396 | 410 | 441 |
| 30 | Western States Legislative Forestry Task Force | 22 | 22 | 22 |
| 35 | Pacific Fisheries Legislative Task Force | 22 | 22 | 25 |
| 50 | State and Local Legal Center | 8 | 8 | 8 |
| 60 | National Governors' Association | 145 | 151 | 157 |
| 80 | Coastal States' Organization | 13 | 14 | 14 |
| 90 | Western Governors' Association | 36 | 36 | 36 |
| 91 | National Center for State Courts | 328 | 351 | 376 |
| 92 | Western Interstate Commission for Higher Education | 85 | 88 | 99 |
| 93 | Interstate Compact for Education | 123 | 126 | 132 |
| 94 | For the Sake of the Salmon | 75 | 75 | 75 |
| TOTALS, PROGRAMS (General Fund) | | $1,635 | $1,696 | $1,793 |

## COUNCIL OF STATE GOVERNMENTS

The Council of State Governments (CSG), established in 1933, fosters interstate cooperation and improved state representation on matters before the federal government by means of regional conferences, research, information services and direct assistance.

The CSG is a joint agency of all fifty states and is directed by state officials, primarily governors and legislators, who serve on the governing board and the executive committee. The council is funded through assessments based upon each state's population.

## NATIONAL CONFERENCE OF STATE LEGISLATURES

The National Conference of State Legislatures (NCSL), created in 1975, is composed of legislators from the fifty states, and the United States' territories and commonwealths. It improves the quality and effectiveness of state legislatures, fosters interstate cooperation and ensures state legislatures a strong voice in the federal system. It is funded primarily through formula-based appropriations from member legislatures.

## WESTERN STATES LEGISLATIVE FORESTRY TASK FORCE

Organized in 1974, the Western States Legislative Forestry Task Force (WSLFTF) provides a forum for legislators from six western states and two Canadian provinces to monitor and discuss issues pertaining to the management of forestry resources.

## PACIFIC FISHERIES LEGISLATIVE TASK FORCE

The Pacific Fisheries Legislative Task Force (PFLTF) addresses Pacific fisheries, aquaculture and seafood issues. Any state or territory of the United States bordering, or with streams tributary to, the Pacific Ocean may become a participating member.

## STATE AND LOCAL LEGAL CENTER

The State and Local Legal Center (SLLC) was established in 1983 to improve the quality of representation before the United States Supreme Court by means of direct assistance, the filing of amicus curiae briefs, general education and information dissemination. It is jointly sponsored by the Council of State Governments, the National Conference of State Legislatures and the National Governors' Association.

## NATIONAL GOVERNORS' ASSOCIATION

The National Governors' Association (NGA), founded in 1908, represents the Governors of the fifty states and the Commonwealth of Puerto Rico, the Northern Mariana Islands and the territories of the Virgin Islands, Guam, and American Samoa. It influences the development and implementation of national policy and the solution of state problems. Both the NGA and its affiliate agency, the Council of State Policy and Planning Agencies, are funded through assessments based on each state's population.

## COASTAL STATES' ORGANIZATION

The Coastal States' Organization (CSO) represents the interests of states which border on the Pacific Ocean, Atlantic Ocean, Gulf of Mexico and Great Lakes with regard to coastal zone management and offshore energy development issues. Specifically, CSO represents its members' interests before Congress and federal agencies, in legal briefs to the Supreme Court, and in sharing information among the coastal states.

## WESTERN GOVERNORS' ASSOCIATION

The Western Governors' Association (WGA) represents the interests of the sixteen western states, two Pacific territories and one commonwealth through regional exchange of ideas, regional policy management, advocacy, promotion of efficient resource management and provision of information. The WGA is funded by dues from member states and contributions from grantors and foundations.

## NATIONAL CENTER FOR STATE COURTS

The National Center for State Courts (NCSC) was established in 1971 to improve the administration of justice, promote judicial reform, serve as a catalyst for setting standards for fair and expeditious judicial administration, and find and disseminate answers to the problems of state judicial systems. Member services include: access to publications of its research study teams, short-term consultative services, responses to requests, judicial salary reports, and various states' views on federal legislation and other national programs affecting the judicial system.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8800 MEMBERSHIPS IN INTERSTATE ORGANIZATIONS—Continued

### WESTERN INTERSTATE COMMISSION FOR HIGHER EDUCATION

The Western Interstate Commission for Higher Education (WICHE) was established by fifteen western states to promote and facilitate resource sharing, collaboration, and cooperative planning among those states and their colleges and universities. Member and affiliated states are Alaska, Arizona, California, Colorado, Hawaii, Idaho, Montana, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Utah, Washington, and Wyoming. WICHE conducts research and policy analysis on vital issues in higher education and communicates this information and analyses to education and government policymakers.

### INTERSTATE COMPACT FOR EDUCATION

The Interstate Compact for Education (ICE), formerly known as the Education Commission of the States (ECS), is a national organization of states that provides a clearinghouse of information on matters relating to educational problems and how they are being met in different places throughout the nation. Each state has seven representatives: the Governor, two legislators and four gubernatorial appointees, including the state superintendent of schools.

### FOR THE SAKE OF THE SALMON

For the Sake of the Salmon is committed to the protection and restoration of Pacific Salmon and steelhead stocks throughout the Pacific Coast area. It is a regional organization made up of 37 other organizations, including state governments of Washington, Oregon and California, the federal government as represented by the National Marine Fisheries Service, local governments, Native American tribes, environmental groups, timber and agricultural organizations, fishing interests, and utilities.

---

**SUMMARY BY OBJECT**
**RECONCILIATION WITH APPROPRIATIONS**
**1 STATE OPERATIONS**
**0001 General Fund**

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,693 | $1,696 | $1,793 |
| Unexpended balance, estimated savings | −58 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $1,635 | $1,696 | $1,793 |

---

# 8820 COMMISSION ON THE STATUS OF WOMEN

### Program Objectives Statement

Chapter 541, Statutes of 1971, established the Commission on the Status of Women as a permanent state agency to eliminate inequities in laws, practices and conditions which affect women. The Commission implements its mandate by examining bills introduced in the Legislature which affect women's rights; maintaining and distributing information to the public on women's issues; developing and maintaining liaison with government agencies and advisory bodies; and providing technical and consultative assistance to organizations which assist women. Emphasis is on economic equity, employment, child and other dependent care, health, violence, and education.

The Commission consists of a 17-member body: the Superintendent of Public Instruction, the Chief of the Division of Labor Standards Enforcement in the Department of Industrial Relations, three members of the Assembly and one public member appointed by the Speaker of the Assembly, three members of the Senate and one public member appointed by the Senate Committee on Rules, and seven public members appointed by the Governor. Public members are selected for staggered four-year terms and receive reimbursement for necessary expenses.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Administration-Legislation-Research and Information | 3.5 | 5.0 | 5.0 | $422 | $431 | $433 |
| TOTALS, PROGRAMS | 3.5 | 5.0 | 5.0 | $422 | $431 | $433 |
| *0001 General Fund* | | | | *422* | *429* | *431* |
| *0995 Reimbursements* | | | | – | *2* | *2* |

### 10 ADMINISTRATION-LEGISLATION-RESEARCH AND INFORMATION

The commissioners establish policy and priorities for the work of the Commission. The Commission identifies areas where legislation is needed, examines and evaluates bills introduced into the Legislature which affect women's rights and makes information on legislative developments available to interested organizations and individuals. The Commission also collects, maintains, and disseminates information on the status of women, the needs of women, and on projects designed to meet these needs.

---

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8820 COMMISSION ON THE STATUS OF WOMEN—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 3.5 | 5.0 | 5.0 | $178 | $262 | $266 |
| Net Totals, Salaries and Wages | 3.5 | 5.0 | 5.0 | $178 | $262 | $266 |
| Staff Benefits | – | – | – | 32 | 43 | 44 |
| Totals, Personal Services | 3.5 | 5.0 | 5.0 | $210 | $305 | $310 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $212 | $126 | $123 |
| TOTALS, EXPENDITURES | | | | $422 | $431 | $433 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $418 | $430 | $431 |
| Allocation for employee compensation | 20 | 2 | – |
| Adjustment per Section 3.60 | −14 | −3 | – |
| Totals Available | $424 | $429 | $431 |
| Unexpended balance, estimated savings | −2 | – | – |
| TOTALS, EXPENDITURES | $422 | $429 | $431 |
| **0995 Reimbursements** | | | |
| Reimbursements | – | $2 | $2 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $422 | $431 | $433 |

## 8830 CALIFORNIA LAW REVISION COMMISSION

The primary objective of the California Law Revision Commission is to make recommendations to the Governor and the Legislature for revision of the law, on matters referred to the Commission by the Legislature that require a careful study.

The Commission consists of a member of the Senate, a member of the Assembly and seven additional members appointed by the Governor with the advice and consent of the Senate. The Legislative Counsel is an ex officio member of the Commission.

The Commission assists the Governor and the Legislature in keeping the law up to date by studying complex subjects, identifying major policy questions for legislative attention, gathering the views of interested persons and organizations and drafting recommended legislation for legislative consideration. The efforts of the Commission permit the Governor and the Legislature to determine significant policy questions rather than to concern themselves with the technical problems in preparing background studies, resolving intricate legal problems and drafting needed legislation. The Commission thus enables the Legislature to accomplish legal reform that otherwise might not be made because of the heavy demands on legislative time. In some cases the Commission's study discloses that no new legislation on a particular topic is needed, thus avoiding the need for further study.

The Commission may study only topics that the Legislature authorizes by concurrent resolution. The Commission currently has an agenda of 20 topics.

During the 2000 session, the Legislature enacted recommendations of the Commission revising the Administrative Procedure Act's rulemaking provisions, clarifying the law governing enforcement of judgments under the Family Code, providing for inheritance of unclaimed probate distributions by alternate beneficiaries, clarifying the law governing valuation evidence in eminent domain proceedings, specifying the jurisdictional classification of good faith improver claims, repealing the Trout Affidavit Law, and correcting technical errors in the statutes relating to air resources.

The Commission plans to submit to the Legislature for the 2001 session recommendations relating to family consent in health care decisionmaking, estate planning during dissolution of marriage, eminent domain procedural improvements, rulemaking by the Department of Corrections, composition of law library boards of trustees, simplification of civil procedures after trial court unification, and cleansing the codes of expired pilot projects.

During 2001 the Commission will continue its comprehensive review of California's mechanics' lien laws pursuant to a request of the Assembly Judiciary Committee. In response to a 2000 legislative directive, the Commission will determine whether any statutes are obsolete and should be repealed in the wake of trial court restructuring. The Commission will also study issues in criminal and civil procedure resulting from trial court unification, rules of construction for trusts and other estate planning instruments, issues in municipal bankruptcy, the law governing general assignments for the benefit of creditors, the law governing contractual attorney's fee awards, the statute of limitations for attorney malpractice, reorganization of criminal sentencing statutes, and evidentiary issues in electronic communications. The Commission will also work on common interest development law, discovery improvements from other jurisdictions, the Uniform Trust Code, technical revisions in debtor-creditor law, and the Uniform Unincorporated Nonprofit Association Act.

### Authority

Sections 8280 through 8298, Government Code.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8830 CALIFORNIA LAW REVISION COMMISSION—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 California Law Revision Commission | 5.8 | 6.5 | 6.5 | $626 | $641 | $674 |
| *0001 General Fund* | | | | *612* | *626* | *659* |
| *0995 Reimbursements* | | | | *14* | *15* | *15* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 5.8 | 6.5 | 6.5 | $411 | $451 | $460 |
| Net Totals, Salaries and Wages | 5.8 | 6.5 | 6.5 | $411 | $451 | $460 |
| Staff Benefits | – | – | – | 57 | 60 | 64 |
| Totals, Personal Services | 5.8 | 6.5 | 6.5 | $468 | $511 | $524 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $158 | $130 | $150 |
| TOTALS, EXPENDITURES | | | | $626 | $641 | $674 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $598 | $627 | $659 |
| Allocation for employee compensation | 39 | 3 | – |
| Adjustment per Section 3.60 | –25 | –4 | – |
| TOTALS, EXPENDITURES | $612 | $626 | $659 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $14 | $15 | $15 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $626 | $641 | $674 |

## 8840 COMMISSION ON UNIFORM STATE LAWS

In conjunction with other states, the commission drafts and presents to the Legislature uniform laws deemed desirable and practicable by the National Conference of Commissioners on Uniform State Laws for adoption by the various states. The Commission is composed of six members appointed by the Governor, one member of each house of the Legislature appointed by the respective house, the Legislative Counsel, and two life members of the National Conference.

### Authority

Sections 8260 through 8273, Government Code.

| SUMMARY OF PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10 Commission on Uniform State Laws (General Fund) | $134 | $134 | $146 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| OPERATING EXPENSES AND EQUIPMENT | $134 | $134 | $146 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $134 | $134 | $146 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 8855 BUREAU OF STATE AUDITS

The objective of the Bureau of State Audits is to provide independent audits of the programs and fiscal operations of State government. By performing financial, performance, and investigative audits, and by performing other special studies, the State Auditor provides the Legislature, the Governor, the Milton Marks Commission on California State Government Organization and Economy (''Little Hoover Commission''), and the citizens of the State with objective information about the State's financial condition and the performance of the State's many agencies and programs. The Bureau of State Audits was created by the enactment of Chapter 12, Statutes of 1993 (SB 37), and continues many of the responsibilities of the former Office of the Auditor General.

## Authority

Government Code Title 2, Division 1, Chapter 6.5.

### SUMMARY OF PROGRAM REQUIREMENTS

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 California State Auditor | 127.0 | 146.0 | 145.0 | $10,716 | $11,107 | $11,205 |
| TOTALS, PROGRAMS | 127.0 | 146.0 | 145.0 | $10,716 | $11,107 | $11,205 |
| *0001 General Fund* | | | | *10,841* | *11,107* | *11,170* |
| *0126 State Audit Fund* | | | | *−273* | *–* | *–* |
| *0995 Reimbursements* | | | | *148* | *–* | *35* |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 127.0 | 155.5 | 155.5 | $7,043 | $8,901 | $9,138 |
| Estimated Salary Savings | – | −9.5 | −10.5 | – | −534 | −640 |
| Net Totals, Salaries and Wages | 127.0 | 146.0 | 145.0 | $7,043 | $8,367 | $8,498 |
| Staff benefits | – | – | – | 1,487 | 1,341 | 1,345 |
| Totals, Personal Services | 127.0 | 146.0 | 145.0 | $8,530 | $9,708 | $9,843 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $2,186 | $1,399 | $1,362 |
| TOTALS, EXPENDITURES | | | | $10,716 | $11,107 | $11,205 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (for transfer to State Audit Fund) | $10,776 | $11,075 | $11,170 |
| Allocation for employee compensation | 556 | 101 | – |
| Adjustment per Section 3.60 | −495 | −69 | – |
| Adjustment per Section 16.00 | 4 | – | – |
| TOTALS, EXPENDITURES | $10,841 | $11,107 | $11,170 |

### 0126 State Audit Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Government Code Section 8544.5(c) | $10,568 | $11,107 | $11,170 |
| Less funding provided by the General Fund | −10,841 | −11,107 | −11,170 |
| TOTALS, EXPENDITURES | −$273 | – | – |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $148 | – | $35 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $10,716 | $11,107 | $11,205 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8855 BUREAU OF STATE AUDITS—Continued

| FUND CONDITION STATEMENT<br>0126 State Audit Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $992 | $1,265 | $1,265 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8855 Bureau of State Audits (State Operations) | 10,568 | 11,107 | 11,170 |
| Expenditure Reductions: | | | |
| 8855 Bureau of State Audits (State Operations): | | | |
| Less funding provided by the General Fund | −10,841 | −11,107 | −11,170 |
| Totals, Expenditures | −$273 | – | – |
| FUND BALANCE | $1,265 | $1,265 | $1,265 |
| Reserve for economic uncertainties | 1,265 | 1,265 | 1,265 |

# 8860 DEPARTMENT OF FINANCE

By statute, the Director of Finance serves as the Governor's chief fiscal policy advisor with emphasis on the financial integrity of the State and maintenance of a fiscally sound and responsible Administration.

The objectives of the Department of Finance are:

1. To prepare, present, and support the annual financial plan for the State.
2. To assure responsible and responsive State resource allocation within resources available.
3. To foster efficient and effective State structure, processes, programs, and performance.
4. To establish integrity in State fiscal data bases and systems.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Annual Financial Plan | 140.5 | 143.3 | 146.5 | $14,877 | $16,576 | $17,058 |
| 20 Program and Information System Assessments | 65.0 | 69.3 | 65.8 | 6,678 | 7,228 | 8,902 |
| 25 School Attendance Record Audits | – | – | – | 3,000 | 3,000 | 3,000 |
| 30 Supportive Data | 95.7 | 93.1 | 95.4 | 9,633 | 10,101 | 11,102 |
| 40 Administration | 53.2 | 53.4 | 54.8 | 4,834 | 5,105 | 5,230 |
| Distributed Administration | – | – | – | −4,359 | −4,630 | −4,755 |
| TOTALS, PROGRAMS | 354.4 | 359.1 | 362.5 | $34,663 | $37,380 | $40,537 |
| *0001 General Fund* | | | | *26,697* | *29,270* | *30,497* |
| *0995 Reimbursements* | | | | *7,966* | *8,110* | *10,040* |

## 10 ANNUAL FINANCIAL PLAN

### Program Objectives Statement

To ensure the financial integrity of the State through the planned allocation of State resources, the Department of Finance advises the Governor on the fiscal condition of the State and guides in the preparation and presentation to the Legislature of the Governor's annual financial plan for the State. After enactment of the budget, the Department of Finance assists in the administration of the budget. The Governor's Budget contains past year actual expenditures and revenues, current year estimated expenditures and revenues, and proposed expenditures and estimated revenues for the next fiscal year. The Department of Finance also provides fiscal analyses of bills introduced in the Legislature and makes recommendations to the Governor.

### Major Budget Adjustment Proposed for 2001–02

- An increase of $108,000 and 1.0 new position (0.9 personnel year) to address workload associated with reimbursable State mandated local programs.

## 20 PROGRAM AND INFORMATION SYSTEM ASSESSMENTS

### Program Objectives Statement

To improve operating efficiency and performance of State agencies, and to ascertain the need for changes in programs or levels of support, the Department of Finance systematically reviews and evaluates State-administered and financed programs. Alternatives are provided to more effectively satisfy identified needs. The Office of State Audits and Evaluations assists the Director of Finance in fulfilling the statutory responsibilities for supervision over all matters concerning the financial and business policies of the State by conducting financial audits and by performing critical examinations of State programs and policies. The Performance Review Unit conducts performance reviews of State agencies and programs and recommends ways to lower the costs of State government and to better serve the public within existing resources.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8860 DEPARTMENT OF FINANCE—Continued

### Major Budget Adjustment Proposed for 2001–02

- An increase of $2,043,000 (Reimbursements) and 3.0 new positions (2.8 personnel years) to meet workload increases for annual audits of Bond proceeds for Bonds passed in March 2000 (Propositions 12, 13, and 14).

### Authority

Government Code Sections 13291–13302.

## 25 SCHOOL ATTENDANCE RECORD AUDITS

### Program Objective Statement

To ensure the accuracy of school district and county office of education attendance records, the Department of Finance contracts with the State Controller's Office to perform annual audits of attendance accounting records.

## 30 SUPPORTIVE DATA

### Program Objectives Statement

This program includes a variety of information systems and services which support the mission of the Department. The budget support systems provide information to decisionmakers throughout the budgetary process. The operation and management of the automated California State Accounting and Reporting System (CALSTARS) is intended to provide a uniform and complete accounting system for State agencies. Statewide fiscal and accounting policies are developed and maintained by the Fiscal Systems and Consulting Unit. Basic and applied economic and tax research functions support the revenue estimates required for the development of the State financial plan, for the analysis of financial legislation and the evaluation of economic trends or other fiscal developments which affect the State. Basic and applied demographic research functions provide data to support the workload estimates of State agencies.

### Major Budget Adjustments Proposed for 2001–02

- An increase of $500,000 (General Fund) and 1.0 new position (0.9 personnel year) to perform a feasibility study in the development of a Continuous Budget System.
- An increase of $301,000 (General Fund) for the development of a population projections system in the Demographic Research Unit.

### Authority

Article IV, Section 12 of the State Constitution; Government Code Sections 13073, 13300–13342; and the Budget Act.

## 40 ADMINISTRATION

### Authority

Government Code Section 13000, et seq.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 ANNUAL FINANCIAL PLAN

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$14,378* | *$16,021* | *$16,583* |
| *0995 Reimbursements* | *499* | *555* | *475* |
| Totals, State Operations | $14,877 | $16,576 | $17,058 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Preparation (State Operations, General Fund) | 5,528 | 6,196 | 6,390 |
| 10.20 Enactment (State Operations, General Fund) | 2,315 | 2,602 | 2,686 |
| 10.30 Support and Direction (State Operations) | 4,627 | 5,170 | 5,318 |
| *0001 General Fund* | *4,128* | *4,615* | *4,843* |
| *0995 Reimbursements* | *499* | *555* | *475* |
| 10.40 Legislation and Intergovernmental Relations (State Operations, General Fund) | 2,407 | 2,608 | 2,664 |

### PROGRAM REQUIREMENTS
### 20 PROGRAM AND INFORMATION SYSTEM ASSESSMENTS

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$3,430* | *$4,021* | *$3,749* |
| *0995 Reimbursements* | *3,248* | *3,207* | *5,153* |
| Totals, State Operations | $6,678 | $7,228 | $8,902 |

*** Dollars in thousands, except in Salary Range.**

## 8860 DEPARTMENT OF FINANCE—Continued

| ELEMENT REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 20.25 Office of State Audits and Evaluations (State Operations) | $6,231 | $6,742 | $8,411 |
| *0001 General Fund* | *2,983* | *3,535* | *3,258* |
| *0995 Reimbursements* | *3,248* | *3,207* | *5,153* |
| 20.28 Performance Review (State Operations, General Fund) | 447 | 486 | 491 |
| **PROGRAM REQUIREMENTS** | | | |
| **25 SCHOOL ATTENDANCE RECORD AUDITS** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$3,000* | *$3,000* | *$3,000* |
| Totals, State Operations | $3,000 | $3,000 | $3,000 |
| **PROGRAM REQUIREMENTS** | | | |
| **30 SUPPORTIVE DATA** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$5,889* | *$6,228* | *$7,165* |
| *0995 Reimbursements* | *3,744* | *3,873* | *3,937* |
| Totals, State Operations | $9,633 | $10,101 | $11,102 |
| **ELEMENT REQUIREMENTS** | | | |
| 30.11 Statewide and Departmental Fiscal Reporting (State Operations, General Fund) | 1,001 | 1,157 | 1,688 |
| 30.12 CALSTARS (State Operations) | 4,478 | 4,582 | 4,644 |
| *0001 General Fund* | *835* | *798* | *760* |
| *0995 Reimbursements* | *3,643* | *3,784* | *3,884* |
| 30.20 Economic Research (State Operations, General Fund) | 556 | 573 | 581 |
| 30.30 Revenue Estimating and Tax Research (State Operations, General Fund) | 708 | 729 | 739 |
| 30.40 Demographic Research (State Operations) | 1,840 | 1,957 | 2,332 |
| *0001 General Fund* | *1,782* | *1,906* | *2,317* |
| *0995 Reimbursements* | *58* | *51* | *15* |
| 30.50 Fiscal Systems and Consulting (State Operations) | 1,050 | 1,103 | 1,118 |
| *0001 General Fund* | *1,007* | *1,065* | *1,080* |
| *0995 Reimbursements* | *43* | *38* | *38* |
| **PROGRAM REQUIREMENTS** | | | |
| **40 ADMINISTRATION** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$4,359* | *$4,630* | *$4,755* |
| *0995 Reimbursements* | *475* | *475* | *475* |
| Totals, State Operations | $4,834 | $5,105 | $5,230 |
| 40.02 Distributed Administration (State Operations, General Fund) | –4,359 | –4,630 | –4,755 |
| TOTALS, EXPENDITURES (State Operations) | $34,663 | $37,380 | $40,537 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 354.4 | 372.5 | 378.0 | $22,096 | $24,144 | $24,943 |
| Total Adjustments | – | 6.3 | 5.0 | – | 517 | 395 |
| Estimated Salary Savings | – | –19.7 | –20.5 | – | –1,068 | –1,124 |
| Net Totals, Salaries and Wages | 354.4 | 359.1 | 362.5 | $22,096 | $23,593 | $24,214 |
| Staff Benefits | – | – | – | 3,509 | 4,193 | 4,368 |
| Totals, Personal Services | 354.4 | 359.1 | 362.5 | $25,605 | $27,786 | $28,582 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $6,058 | $6,594 | $8,955 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| School Attendance Record Audits | | | | 3,000 | 3,000 | 3,000 |
| TOTALS, EXPENDITURES | | | | $34,663 | $37,380 | $40,537 |

*** Dollars in thousands, except in Salary Range.**

## 8860 DEPARTMENT OF FINANCE—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $22,946 | $26,077 | $27,497 |
| 025 Budget Act appropriation (School Attendance Record Audits) | 3,000 | 3,000 | 3,000 |
| Allocation for employee compensation | 1,791 | 361 | – |
| Adjustment per Section 3.60 | −975 | −173 | – |
| Adjustment per Section 4.60 (Rental Rate) | – | 5 | – |
| Allocation per Section 16.00 | 8 | – | – |
| Chapter 902, Statutes of 2000 | – | 500 | – |
| Totals Available | $26,770 | $29,770 | $30,497 |
| Unexpended balance, estimated savings | −73 | −500 | – |
| TOTALS, EXPENDITURES | $26,697 | $29,270 | $30,497 |
| **0995 Reimbursements** | | | |
| Reimbursements | $7,966 | $8,110 | $10,040 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $34,663 | $37,380 | $40,537 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 354.4 | 372.5 | 378.0 | $22,096 | $24,144 | $24,943 |
| Salary adjustments | – | – | – | – | 16 | 27 |
| Totals, Adjusted Authorized Positions | 354.4 | 372.5 | 378.0 | $22,096 | $24,160 | $24,970 |
| Workload and Administrative Adjustments: | | | | | | |
| Positions Established: | | | | Salary Range | | |
| Temporary Help | – | 6.3 | – | – | 501 | – |
| Totals, Workload and Administrative Adjustments | – | 6.3 | – | – | $501 | – |
| Proposed New Positions: | | | | | | |
| Staff Counsel III | – | – | 1.0 | 6,573–8,111 | – | 87 |
| Prin Prog Budget Analyst III | – | – | 1.0 | 6,644–7,324 | – | 84 |
| Sr Finance Prog Evaluator | – | – | 3.0 | 4,963–5,987 | – | 197 |
| Totals, Proposed New Positions | – | – | 5.0 | – | – | $368 |
| Total Adjustments | – | 6.3 | 5.0 | – | $517 | $395 |
| TOTALS, SALARIES AND WAGES | 354.4 | 378.8 | 383.0 | $22,096 | $24,661 | $25,338 |

## 8885 COMMISSION ON STATE MANDATES

The Commission on State Mandates carries out three distinct statutory responsibilities under its Administration Program. First, the Commission adjudicates test claims of local entities that allege the existence of state-mandated reimbursable programs. Second, the Commission hears and decides claims that the Controller has incorrectly reduced payments. Third, the Commission determines the existence of significant financial distress for applicant counties that seek to reduce their General Assistance standards of aid.

With few exceptions, the cost for reimbursement of state-mandated local programs ultimately is borne by the General Fund, either directly or from the State Mandates Claims Fund, which is replenished by the General Fund. Program 20, Payments For Mandated Costs, is an informational summary of the costs budgeted within individual departmental budgets for the reimbursement of state-mandated local programs.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Administration | 12.0 | 16.5 | 16.5 | $1,347 | $1,706 | $1,712 |
| TOTALS, PROGRAMS | 12.0 | 16.5 | 16.5 | $1,347 | $1,706 | $1,712 |
| *0001 General Fund* | | | | *1,347* | *1,706* | *1,712* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 8885 COMMISSION ON STATE MANDATES—Continued

## 10 ADMINISTRATION

### Program Objectives Statement

The Commission on State Mandates was created by Chapter 1459, Statutes of 1984, as a quasi-judicial body to assume authority for the initial determination of state mandated costs. The Commission consists of the Director of Finance, the Controller, the Treasurer, the Director of the Office of Planning and Research, a public member with experience in public finance, and two additional members from the categories of city council member, county or city and county supervisor, or school district governing board member, appointed by the Governor and approved by the Senate.

The determination of the existence of significant financial distress for counties seeking to reduce their General Assistance standard of aid is a role which the Commission was assigned by Welfare and Institutions Code Section 17000.6, enacted by Chapter 72, Statutes of 1993 (SB 1033).

Chapter 681, Statutes of 1998 (AB 1963), operative September 22, 1998, changed time requirements for the Commission to adjudicate and complete test claims. It also requires the Commission to review the process for local agencies to appeal the Controller's reduction of reimbursement claims.

### Authority

Government Code Sections 17500 to 17630; Welfare and Institutions Code Section 17000.6.

| Program Requirements | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Continuing program costs (General Fund) .. | 12.0 | 16.5 | 16.5 | $1,347 | $1,706 | $1,712 |

## 20 PAYMENTS FOR MANDATED COSTS

### Program Objectives Statement

Chapter 1406, Statutes of 1972 (SB 90), first established the statutory requirement for the State to reimburse units of local government for all costs resulting from either legislative acts or executive regulations which impose a new program or demand an increased level of service in an existing program. The approval of Proposition 4, the ''Gann Initiative'', at the November 6, 1979, election elevated this program of reimbursing State-mandated costs to a constitutional requirement by incorporating this reimbursement concept in Section 6 of Article XIIIB of the State Constitution. Chapter 1459, Statutes of 1984, was enacted to provide for the implementation of this constitutional provision and to consolidate the procedures for reimbursement of statutes specified in the Revenue and Taxation Code with those identified in the Constitution. Those statutory provisions and subsequent changes are now in the Government Code.

Both the suspension of certain mandates and the provision of funding for ongoing and new mandates is accomplished in the budgets of the affected respective state departments or programs under ''Program 98—State-Mandated Local Programs'' elsewhere in this Budget. The aggregate of those individual presentations is summarized in the display below for informational purposes only.

### Major Budget Adjustment Included for 2000–01

- $39 million set-aside in the reserve for deficient mandate appropriations for the 2001 mandates claims bill.

### Major Budget Adjustment Proposed for 2001–02

- $39 million for deficient mandate appropriations, of which $30 million is to be allocated by the Department of Finance, $6 million is for Chapter 1747, Statutes of 1984, services to handicapped students, $3 million is for Chapter 1399, Statutes of 1976, child abduction and recovery.

### Authority

Section 6 of Article XIIIB of the California Constitution.

**PROGRAM DEPARTMENT**

**Chapter/Year** **Description**

**LEGISLATIVE, JUDICIAL, EXECUTIVE**

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| DEPARTMENT OF JUSTICE | | | |
| Chapter 1399/76—Custody of Minors | $9,909 | $22,247 | $13,599 |
| Chapter 1456/88—Missing Persons Report | – | 866 | – |
| Chapter 337/90—Stolen Vehicle Notification | 308 | 585 | 362 |
| Chapter 1105/92—Misdemeanors: Booking and Fingerprinting | 964 | 2,405 | 1,022 |
| Totals, Department of Justice | $11,181 | $26,103 | $14,983 |
| OFFICE OF EMERGENCY SERVICES | | | |
| Chapter 1032/80—Deaf Teletype Equipment | 4 [1] | – [1] | – [1] |
| Totals, Office of Emergency Services | $4 | – | – |
| SECRETARY OF STATE | | | |
| Chapter 704/75—Voter Registration Procedures | 954 | 1,419 | 1,461 |
| Chapter 1401/76—Voter Registration Roll Purge | – [1] | – [1] | – [1] |
| Chapter 77/78—Absentee Ballots | 6,111 | 11,516 | 6,307 |
| Chapter 494/79—Handicapped Voter Access Information | – [1] | – [1] | – [1] |
| Chapter 1013/81—Local Elections | – [1] | – [1] | – [1] |
| Chapter 1422/82—Permanent Absent Voters | 321 | 1,654 | 335 |

*** Dollars in thousands, except in Salary Range.**

## 8885 COMMISSION ON STATE MANDATES—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Chapter 1603/82—Demo. Party Pres. Delegates | – [1] | – [1] | – [1] |
| Chapter 1042/85—Election Materials | – [1] | – [1] | – [1] |
| Chapter 391/88—Brendon Maguire Act | – | 1 [1] | 1 |
| Totals, Secretary of State | $7,386 | $14,590 | $8,104 |
| STATE TREASURER | | | |
| Chapter 783/95—Investment Reports: Cities and Counties | 13,566 | 4,076 | $3,449 |
| Totals, State Treasurer | $13,566 | $4,076 | $3,449 |
| Totals, Legislative, Judicial, Executive | $32,137 | $44,769 | $26,536 |
| **STATE AND CONSUMER SERVICES** | | | |
| FRANCHISE TAX BOARD | | | |
| Chapter 238/74—Substandard Housing | – [1] | – [1] | – [1] |
| Chapter 1490/84—Business Tax Reporting Requirements [2] | – | $8,720 | – |
| Totals, Franchise Tax Board | – | $8,720 | – |
| Totals, State and Consumer Services | – | $8,720 | – |
| **BUSINESS, TRANSPORTATION AND HOUSING** | | | |
| DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT | | | |
| Chapter 1143/80—Regional Housing | $850 | $2,335 | $850 |
| Totals, Department of Housing and Community Development | $850 | $2,335 | $850 |
| DEPARTMENT OF TRANSPORTATION | | | |
| Chapter 1297/94—Two-way Traffic Signal Communication | 480 | 32 | – |
| Chapter 644/95—Airport Land Use Commissions/Plans | – | 35 | $2 |
| Chapter 1082/90—Seismic Safety Retrofit | – | 1,979 | 542 |
| Totals, Department of Transportation | $480 | $2,046 | $544 |
| Totals, Business, Transportation and Housing | $1,330 | $4,381 | $1,394 |
| **RESOURCES** | | | |
| DEPARTMENT OF CONSERVATION | | | |
| Chapter 1131/75—Mineral Resources Policies | – [1] | – [1] | – [1] |
| Totals, Department of Conservation | – | – | – |
| DEPARTMENT OF FORESTRY AND FIRE PREVENTION | | | |
| Chapter 1188/92—Very High Fire Hazard Severity Zones | – | $562 | $89 |
| Totals, Department of Forestry and Fire Prevention | – | $562 | $89 |
| CALIFORNIA COASTAL COMMISSION | | | |
| Chapter 1330/76—Local Coastal Plans | – [1] | – [1] | – [1] |
| Totals, California Coastal Commission | – | – | – |
| Totals, Resources | – | $562 | $89 |
| **ENVIRONMENTAL PROTECTION** | | | |
| DEPARTMENT OF PESTICIDE REGULATION | | | |
| Chapter 1200/89—Pesticide Use Reports | $540 | $580 | $232 |
| Totals, Department of Pesticide Regulation | $540 | $580 | $232 |
| Totals, Environmental Protection | $540 | $580 | $232 |
| **HEALTH AND WELFARE** | | | |
| DEPARTMENT OF HEALTH SERVICES | | | |
| Chapter 453/74—SIDS Notices | – | $35 | $36 |
| Chapters 102/81 and 1163/81—Medi-Cal Beneficiary Death Notices | $12 | 118 | 103 |
| Chapter 1088/88—AIDS Search Warrants | 899 | 2,392 | 928 |
| Chapter 1597/88—Inmates AIDS Testing | 901 | 1,433 | 1,281 |
| Chapter 955/89—SIDS Autopsies | 542 | 2,214 | 1,929 |
| Chapter 1603/90—Perinatal Services | 1,916 | 3,753 | 2,772 |
| Chapter 268/91—SIDS Contacts by Local Health Officers | 263 | 560 | 335 |
| Chapter 916/91—Pacific Beach Safety: Water Quality and Closure | 61 | 527 | 73 |
| Chapter 1111/89—SIDS Training for Firefighters | – | 1,417 | 117 |
| Totals, Department of Health Services | $4,594 | $12,449 | $7,574 |

*** Dollars in thousands, except in Salary Range.**

## 8885 COMMISSION ON STATE MANDATES—Continued

| DEPARTMENT OF DEVELOPMENTAL SERVICES | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Chapter 694/75—Attorney Services for Developmentally Disabled | $189 | $251 | $189 |
| Chapter 1357/76—Guardianship/Conservatorship Filings | – [1] | 296 [1] | – [1] |
| Chapter 644/80—Judicial Proceeding for Mentally Ill | 32 | 159 | 87 |
| Chapter 1253/80—Mentally Retarded Defendants | 12 | 107 | 107 |
| Chapter 1304/80—Conservatorships | 103 | 139 | 103 |
| Totals, Department of Developmental Services | $336 | $952 | $486 |
| DEPARTMENT OF MENTAL HEALTH | | | |
| Chapter 498/77—Coroners' Responsibilities | 74 | 107 | 110 |
| Chapter 1036/78—MDSO Recommitments | 86 | 293 | 195 |
| Chapter 815/79—Short-Doyle Case Management | – [1] | – [1] | – [1] |
| Chapter 1114/79—Not-Guilty—Insanity | 11,438 | 2,079 | 308 |
| Chapter 1327/84—Short-Doyle Audits | – [1] | – [1] | – [1] |
| Chapter 1747/84—Services to Handicapped Students | 38,985 | 47,218 | 46,944 |
| Chapter 1352/85—Residential Care Services | – [1] | – [1] | – [1] |
| Chapters 762/95 and 763/95—Sexually Violent Predators | 14,685 | 7,714 | 4,197 |
| Totals, Department of Mental Health | $65,268 | $57,411 | $51,754 |
| Totals, Health and Welfare | $70,198 | $70,812 | $59,814 |

### YOUTH AND ADULT CORRECTIONAL

| | | | |
|---|---|---|---|
| DEPARTMENT OF CORRECTIONS | | | |
| Chapter 820/91—Prisoner Parental Rights | $1,866 | $3,247 | $1,958 |
| Totals, Department of Corrections | $1,866 | $3,247 | $1,958 |
| BOARD OF CORRECTIONS | | | |
| Chapter 913/79—Domestic Violence Diversion | – | 324 [3] | – |
| Chapter 332/81—Victims' Statements (Minors) | – | 256 [1] | – [1] |
| Chapter 183/92—Domestic Violence Treatment Services | – | 4,587 | 1,004 |
| Chapter 221/93—Domestic Violence Treatment Program Approvals | – | 803 | 733 |
| Totals, Board of Corrections | – | $5,970 | $1,737 |
| Totals, Youth and Adult Correctional | $1,866 | $9,217 | $3,695 |

### EDUCATION (K–14)

| | | | |
|---|---|---|---|
| DEPARTMENT OF EDUCATION | | | |
| Chapter 36/77 et al.—Annual Parent Notification | $1,901 | $3,491 | $3,603 |
| Chapter 77/78 & 920/94—Absentee Ballots-Schools | 111 | 1,261 | 1,301 |
| Chapter 87/86—School Discipline Rules | 1,288 | 1,681 | 1,735 |
| Chapter 161/93—Intradistrict Attendance | 2,276 | 5,124 | 5,288 |
| Chapter 172/86—Interdistrict Attendance | 1,008 | 1,742 | 1,798 |
| Chapter 172/86—Interdistrict Attendance Parent's Employment | 911 | 1,082 | 1,117 |
| Chapter 160/93—School District of Choice Transfer | 888 | 9,939 | 10,257 |
| Chapter 486/75—Test Claims and Reimbursement Claims | 7,110 | 11,544 | 11,913 |
| Chapter 498/83—Graduation Requirements | 3,863 | 13,533 | 13,966 |
| Chapter 498/83—Notices of Truancy | 5,753 | 7,765 | 8,013 |
| Chapter 624/92—School Bus Safety | 704 | 913 | 942 |
| Chapter 641/86—Open Meetings Act | 2,013 | 3,306 | 3,412 |
| Chapter 781/92—Charter Schools | 701 | 582 | 601 |
| Chapter 799/80—PERS Death Benefits | – | 751 | 775 |
| Chapter 818/91—AIDS Prevention Instruction | 3,012 | 3,036 | 3,133 |
| Chapter 961/75—Collective Bargaining | 32,655 | 39,466 | 40,729 |
| Chapter 965/77—Pupil Classroom Suspension (counseling) | 20,266 | 1,747 | 1,803 |
| Chapter 965/77—Pupil Health Screenings | 3,291 | 3,128 | 3,228 |
| Chapter 1011/84—Juvenile Court Records | 136 | 327 | 337 |
| Chapter 1036/79—STRS Rate Increase | – | – | – |
| Chapter 1107/84—Removal of Chemicals | 1,063 | 1,268 | 1,309 |
| Chapter 1117/89—Law Enforcement Agency | 1,275 | 1,470 | 1,517 |
| Chapter 1176/77—Immunization Records | 3,205 | 3,353 | 3,460 |
| Chapter 1253/75—Expulsion Transcripts | 8 | 27 | 28 |
| Chapter 1284/88—Pupil Suspensions: Parent Classroom | 231 | 992 | 1,024 |
| Chapter 1306/89—Notification to Teachers of Public Expulsion | 1,902 | 2,778 | 2,867 |
| Chapter 1347/80—Scoliosis Screening | 2,199 | 2,183 | 2,253 |
| Chapter 1398/74—PERS Unused Sick Leave Credit | – | 3,107 | 3,206 |
| Chapter 1607/84—School Crimes Reporting | 1,798 | 1,516 | 1,565 |
| Chapter 1659/84—Emergency Procedures | 7,212 | 13,855 | 14,298 |
| Chapter 1675/84—School Testing—Physical Fitness | 642 | 662 | 683 |
| Chapter 98/94—Caregiver Affidavits | 2,262 | 377 | 389 |
| Chapter 1213/91—Collective Bargaining Disclosures | 264 | 264 | 272 |

*** Dollars in thousands, except in Salary Range.**

## 8885 COMMISSION ON STATE MANDATES—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Chapter 1184/75—Habitual Truants | $28,432 | $5,255 | $5,423 |
| Chapter 783/95—Investment Reports | 851 | 153 | 158 |
| Chapter 498/83—Pupil Expulsions from School | – | 2,363 | 2,439 |
| Chapter 668/78—Pupil Health Exclusions | 2,973 | 377 | 389 |
| Chapter 134/87—Pupil Suspensions from School | – | 995 | 1,027 |
| Chapter 975/95—Physical Performance Test | 4,417 | 1,145 | 1,182 |
| Chapter 1463/89—School Accountability Report Cards | 5,713 | 2,059 | 2,125 |
| Chapter 778/96—American Government Course Document Requirements | – | 197 | 203 |
| Chapter 309/95—Pupil Residency Verification and Appeals | – | 213 | 220 |
| Chapter 588/97—Criminal Background Checks | – | 4,956 | 5,114 |
| Chapter 831/94—School Bus Safety | – | – | 66,728 |
| Chapter 929/97—Annual Parent Notification (Staff Development) | – | – | 1,290 |
| Counts toward 99–00 FY (Available 7/5/00 to 7/4/03) Contingency Expenditure for Reimbursement of Cost Claims | 139,000 | – | – |
| Totals, Department of Education | $291,334 | $159,983 | $233,120 |
| CALIFORNIA COMMUNITY COLLEGES | | | |
| Chapter 1/84 (E.S.)—Health Fees | 1,691 | 1,691 | 1,691 |
| Totals, California Community Colleges | $1,691 | $1,691 | 1,691 |
| Totals, Education (K–14) | $293,025 | $161,674 | $234,811 |

## GENERAL GOVERNMENT

| | | | |
|---|---|---|---|
| OFFICE OF CRIMINAL JUSTICE PLANNING | | | |
| Chapter 1249/92—Threats Against Peace Officers | $5 | $283 | $5 |
| Chapter 411/95—Crime Victims' Rights | 116 | 877 | 829 |
| Totals, Office of Criminal Justice Planning | $121 | $1,160 | $834 |
| COMMISSION ON PEACE OFFICERS STANDARDS AND TRAINING | | | |
| Chapters 183 and 184/92—Domestic Violence Treatment Services, Authorization and Case Management | – | – | – |
| Chapter 246/95—Domestic Violence Arrest Policies and Standards | 25,642 | 11,706 | 6,781 |
| Totals, Commission on Peace Officers Standards and Training | $25,642 | $11,706 | $6,781 |
| DEPARTMENT OF INDUSTRIAL RELATIONS | | | |
| Chapter 1568/82—Firefighters' Cancer Presumption | 399 | 2,303 | 719 |
| Chapter 1171/89—Peace Officers' Cancer Presumption | 311 | 2,132 | 748 |
| CCR, Title 8, Personal Alarm Devices | – | 693 [1] | – [1] |
| CCR, Title 8, Structural and Wildland Firefighter Safety Clothing and Equipment | – | 901 [1] | – [1] |
| Totals, Department of Industrial Relations | $710 | $6,029 | $1,467 |
| BOARD OF CONTROL | | | |
| Chapter 1123/77 Adult Felony Restitution | – | – [1] | – [1] |
| Totals, Board of Control | – | – | – |
| TAX RELIEF | | | |
| Chapter 1242/77—Senior Citizens' Property Tax Deferral | 274 | 279 | 286 |
| Chapter 1051/83—Sr. Citizen's Mobilehome Tax Deferral | – [1] | – [1] | – [1] |
| Chapter 48/87—Property Tax-Family Transfers | – [1] | – [1] | – [1] |
| Chapter 921/87—Countywide Tax Rates | 368 | 391 | 380 |
| Chapter 697/92—Allocation of Property Tax Revenue | 1,162 | 2,103 | 374 |
| Totals, Tax Relief | $1,804 | $2,773 | $1,040 |
| LOCAL GOVERNMENT FINANCING | | | |
| Chapter 486/75—Test Claims and Reimbursement Claims | 4,757 | 5,024 | 3,120 |
| Chapter 845/78—Filipino Employee Surveys | – [1] | – [1] | – [1] |
| Chapter 1281/80—Involuntary Lien Notices | – [1] | – [1] | – [1] |
| Chapter 889/81—Lis Pendens | – [1] | – [1] | – [1] |
| Chapter 980/84—Proration of Fines and Court Audits | – [1] | – [1] | – [1] |
| Chapter 1609/84—Domestic Violence Information | – [1] | 111 [1] | – [1] |
| Chapter 641/86—Open Meetings Act Notices | 6,196 | 57,106 | 2,988 |
| Chapter 1334/87—CPR Pocket Masks | – [1] | – [1] | – [1] |
| Chapter 999/91—Rape Victim Counseling Center Notices | 372 | 271 | 158 |
| Totals, Local Government Financing | $11,325 | $62,512 | $6,266 |
| Totals, General Government | $39,602 | $84,180 | $16,388 |

*** Dollars in thousands, except in Salary Range.**

## 8885 COMMISSION ON STATE MANDATES—Continued

| STATEWIDE ISSUES | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Interest on late paid mandate claims | – | $20,688 | – |
| Less transfers per Government Code 17613 | – | –13,210 | – |
| Pending Legislation 2001 Mandates Claims Bill (deficiencies) | – | 39,000 | – |
| Deficient Mandate appropriations, Control Section 11.80 | – | – | $30,000 |
| Totals, Statewide Issues | – | $46,478 | $30,000 |
| Totals, State-Mandated Local Programs | $438,698 | $431,373 | $372,959 |

[1] Mandate suspended pursuant to Government Code Section 17581.
[2] Mandate repealed.
[3] Reflected in Schedule 9, Governor's Budget Summary.

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 12.0 | 17.0 | 17.0 | $676 | $952 | $983 |
| Estimated Salary Savings | – | –0.5 | –0.5 | – | –22 | –23 |
| Net Totals, Salaries and Wages | 12.0 | 16.5 | 16.5 | $676 | $930 | $960 |
| Staff Benefits | – | – | – | 117 | 147 | 155 |
| Totals, Personal Services | 12.0 | 16.5 | 16.5 | $793 | $1,077 | $1,115 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $554 | $629 | $597 |
| TOTALS, EXPENDITURES | | | | $1,347 | $1,706 | $1,712 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,370 | $1,712 | $1,712 |
| Allocation for employee compensation | 62 | 5 | – |
| Adjustment per Section 3.60 | –39 | –11 | – |
| Adjustment per Section 16.00 | 1 | – | – |
| Totals Available | $1,394 | $1,706 | $1,712 |
| Unexpended balance, estimated savings | –47 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $1,347 | $1,706 | $1,712 |

### FUND CONDITION STATEMENT
### 0360 State Mandates Claims Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $461 | $461 | $461 |
| FUND BALANCE | $461 | $461 | $461 |
| Reserve for economic uncertainties | 461 | 461 | 461 |

## 8910 OFFICE OF ADMINISTRATIVE LAW

The Office of Administrative Law is responsible for reviewing administrative regulations proposed by over 200 state regulatory agencies for compliance with standards set forth in California's Administrative Procedure Act, for transmitting these regulations to the Secretary of State and for publishing regulations in the California Code of Regulations. The office assists state regulatory agencies through a formal training program, as well as through other less formal methods, to understand and comply with the Administrative Procedure Act.

The office also issues advisory opinions as to whether a state agency rule meets the statutory definition of a ''regulation'' which must be adopted pursuant to the requirements of the Administrative Procedure Act.

The office oversees the publication and distribution, in print and on the Internet, of the California Code of Regulations and the California Regulatory Notice Register.

### Authority

Government Code Sections 11340–11446.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8910 OFFICE OF ADMINISTRATIVE LAW—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Regulatory Oversight | 22.4 | 25.6 | 26.6 | $2,429 | $2,421 | $2,612 |
| *0001 General Fund* | | | | *2,289* | *2,281* | *2,472* |
| *0995 Reimbursements* | | | | *140* | *140* | *140* |

### Major Budget Adjustments Proposed for 2001–02

- $121,000 General Fund and 0.9 personnel year to continue on a permanent basis a Senior Staff Counsel position in the Regulatory Determinations Program.
- $77,000 General Fund and 0.9 personnel year for a one-year, limited-term, Staff Counsel position to help address a backlog in the Regulatory Determinations Program.

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 22.4 | 27.0 | 26.0 | $1,638 | $1,873 | $1,809 |
| Total Adjustments | – | – | 2.0 | – | 5 | 141 |
| Estimated Salary Savings | – | –1.4 | –1.4 | – | –71 | –74 |
| Net Totals, Salaries and Wages | 22.4 | 25.6 | 26.6 | $1,638 | $1,807 | $1,876 |
| Staff Benefits | – | – | – | 273 | 271 | 281 |
| Totals, Personal Services | 22.4 | 25.6 | 26.6 | $1,911 | $2,078 | $2,157 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $518 | $343 | $455 |
| TOTALS, EXPENDITURES | | | | $2,429 | $2,421 | $2,612 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $2,241 | $2,286 | $2,472 |
| Allocation for employee compensation | 162 | 12 | – |
| Adjustment per Section 3.60 | –114 | –17 | – |
| TOTALS, EXPENDITURES | $2,289 | $2,281 | $2,472 |
| **0995 Reimbursements** | | | |
| Reimbursements | $140 | $140 | $140 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $2,429 | $2,421 | $2,612 |

| CHANGES IN AUTHORIZED POSITIONS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 22.4 | 27.0 | 26.0 | $1,638 | $1,873 | $1,809 |
| Salary adjustments | – | – | – | – | 5 | 8 |
| Totals, Adjusted Authorized Positions | 22.4 | 27.0 | 26.0 | $1,638 | $1,878 | $1,817 |
| Proposed New Positions: | | | | Salary Range | | |
| Staff Counsel III | – | – | 1.0 | – | – | 87 |
| Staff Counsel [1] | – | – | 1.0 | – | – | 46 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $133 |
| Total Adjustments | – | – | 2.0 | – | $5 | $141 |
| TOTALS, SALARIES AND WAGES | 22.4 | 27.0 | 28.0 | $1,638 | $1,878 | $1,950 |

[1] Limited-term to 6/30/02.

*** Dollars in thousands, except in Salary Range.**

# 8940 MILITARY DEPARTMENT

The Military Department is responsible for the command, leadership and management of the California Army and Air National Guard and four other related programs. The purpose of the California National Guard is to provide military service supporting this State and the nation. The three missions of the California National Guard are to provide (1) mission ready forces to the federal government as directed by the President, (2) emergency public safety support to civil authorities as directed by the Governor, and (3) support to the community as approved by proper authority. The Military Department is organized in accordance with Departments of the Army and Air Force staffing patterns.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| 10 Army National Guard | 293.7 | 317.1 | 317.4 | $36,125 | $47,802 | $56,626 |
| 20 Air National Guard | 135.9 | 165.3 | 108.2 | 12,177 | 14,364 | 12,703 |
| 30.01 Office of the Adjutant General | 61.4 | 93.4 | 101.3 | 5,400 | 6,570 | 6,999 |
| 30.02 Office of the Adjutant General—Distributed | – | – | – | –5,400 | –6,570 | –6,999 |
| 35 Military Support to Civil Authority | 29.6 | 9.9 | 20.8 | 7,564 | 5,611 | 3,033 |
| 40 Military Retirement | – | – | – | 2,523 | 2,902 | 3,031 |
| 50 California Cadet Corps | – | 5.7 | 5.7 | – | 1,534 | 1,678 |
| 55 California State Military Reserve | – | 1.9 | 1.9 | – | 222 | 225 |
| 65 California National Guard Youth Programs | 82.1 | 168.7 | 184.2 | 6,618 | 18,693 | 20,513 |
| TOTALS, PROGRAMS | 602.7 | 762.0 | 739.5 | $65,007 | $91,128 | $97,809 |
| *0001 General Fund* | | | | *24,431* | *38,159* | *47,617* |
| *0485 Armory Discretionary Improvement Account* | | | | *57* | *150* | *150* |
| *0890 Federal Trust Fund* | | | | *35,618* | *48,263* | *46,522* |
| *0995 Reimbursements* | | | | *4,901* | *4,556* | *3,520* |
| Other Federal Funds: [1] | | | | | | |
| *10 Army National Guard* | | | | *301,681* | *313,970* | *300,162* |
| *20 Air National Guard* | | | | *128,900* | *134,011* | *138,200* |
| *30 Office of the Adjutant General* | | | | *1,600* | *1,650* | *1,940* |
| TOTALS, OTHER FEDERAL FUNDS | | | | $432,181 | $449,631 | $440,302 |
| Personnel years (federal employees only) | | | | 3,400 | 3,300 | 3,300 |

[1] These federal funds are displayed for informational purposes but are not included in the program totals because the funds are not deposited in the State Treasury. However, the funds are included in statewide summary schedules.

## 10 ARMY NATIONAL GUARD

### Program Objectives Statement

The objective of this program is to maximize the readiness of Army National Guard units when required for federal missions in the military defense of the United States or for state missions in support of civil authority and community service activities.

The Army National Guard consists of 148 company-size units, 60 detachment-size units, and 13 support activities allotted to the State by the U.S. Department of the Army. The Military Department, in turn, stations those units at locations deemed best suited to ensure their readiness and availability to perform state and federal missions. The current authorized strength of the Army National Guard is 15,518 officers and enlisted personnel.

The California Army National Guard currently operates 118 armories. Local maintenance support is provided at 31 organizational maintenance shops, with higher echelon maintenance accomplished at four support facilities. Army aviation facilities are located in Sacramento, Stockton, Fresno, Los Alamitos and San Diego. Three fixed-wing aircraft and 102 helicopters are operated by the California Army National Guard.

Camp San Luis Obispo is a state-owned installation which serves as the primary logistical base for receipt, storage, and distribution of federal supplies and equipment. Camp San Luis Obispo and Camp Roberts are major outdoor training areas that are used year-round by the National Guard, Army Reserve and Active Component forces. Camp Roberts and Los Alamitos Armed Forces Reserve Center are federal installations licensed and operated by the Military Department.

### Major Budget Adjustments Proposed for 2001–02

- $90,000 General Fund and 1.9 personnel years for cable/communications repair at Camp San Luis Obispo.
- $2,527,000 General Fund on a one-time basis to perform priority maintenance and repairs at Camp San Luis Obispo.
- $1,592,000 Federal Trust Fund and 28.5 personnel years for the Army National Guard Fresno Aviation Classification and Repair Activity Depot (AVCRAD) helicopter repair operation.
- $192,000 General Fund on a one-time basis to purchase self-contained portable sodium lights for the Los Alamitos Joint Forces Training Base.
- $4,500,000 General Fund for airfield maintenance and repair costs at the Los Alamitos Joint Forces Training Base.

### Authority

Military and Veterans Code.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8940 MILITARY DEPARTMENT—Continued

### 20 AIR NATIONAL GUARD

#### Program Objectives Statement

The objective of this program is to maximize the readiness of all California Air National Guard units to effectively execute federal or state missions.

The Air National Guard consists of four major flying organizations and a large combat communications organization located at ten bases and stations. These units are under the command and control of the Headquarters, California Air National Guard, located within the Office of the Adjutant General in Sacramento. These major organizations include the 129th Rescue Wing (RQW) located at Moffett Federal Airfield; the 144th Fighter Wing (FW) located at the Fresno Air National Guard Station; the 146th Airlift Wing (AW) located at the Channel Islands Air National Guard Station in southern California; the 163rd Air Refueling Wing (ARW) located at March Air Reserve Base; and the 162nd Combat Communications Group (CCG) headquartered at North Highlands Air National Guard Station. The 162nd CCG subordinate Combat Communications Squadrons are located at North Highlands, Hayward, Sepulveda, Costa Mesa, and San Diego. Additionally, the 162nd CCG an Engineering Installation Squadron located at Hayward and a Space Operations Squadron located at Vandenberg AFB. These organizations and units are allotted to the State by the U.S. Department of the Air Force (USAF).

Plans are developed and maintained for employment of Air National Guard forces during state emergencies and federal mobilization. Support plans include airlift, rescue, communications, and other specialized services. Training is conducted using USAF and Air National Guard technical schools and on-the-job training at home stations or training sites within the United States or overseas. Each individual trains a minimum of 39 days per year and proficiency is assured through periodic individual assessment and unit evaluations conducted by the United States Air Force and Headquarters, California Air National Guard personnel. The federal government provides virtually all supplies, equipment, transportation, subsistence, and support services necessary for training, deployment, and commitment of the Air National Guard.

#### Major Budget Adjustment Proposed for 2001–02

- $1,906,000 Federal Trust Fund reduction and abolishment of 38 State Active Duty positions due to the termination of the fire fighting mission at Moffett Federal Airfield.

#### Authority

Military and Veterans Code.

### 30 OFFICE OF THE ADJUTANT GENERAL

#### Program Objectives Statement

This program provides strategic methodology and organization to fulfill the mission of the California National Guard to support our communities and nation, and to ensure the public safety of our citizens. To accomplish this mission, the Joint Staff Division was added as a major entity within the Office of the Adjutant General to ensure the success of the joint staff concept. The Joint Staff Division governs the joint activities and performance of the Military Development in areas such as resource management, judicial affairs, internal controls, homeland defense, counterdrug operation and information technology. There are over 20 functional program areas within the Joint Staff. The purpose of this program is for both the Command Group and the Joint Staff Division to provide executive leadership, policy direction, and various support services to the users of the organization.

#### Major Budget Adjustments Proposed for 2001–02

- $207,000 General Fund and 2.8 personnel years to support information technology (IT) workload growth.
- $129,000 General Fund and 0.9 personnel year to establish the Employer Support to the Guard and Reserve (ESGR) Office.

#### Authority

Military and Veterans Code.

### 35 MILITARY SUPPORT TO CIVIL AUTHORITY

#### Program Objectives Statement

The Adjutant General is responsible for the employment of personnel and equipment to support the emergency needs of civil authority when called to duty by the Governor. To assure a timely and effective response of National Guard resources, policies and procedures governing use are continuously reviewed and updated. Liaison and coordination is maintained with federal, state and local agencies so that mutual understanding and unity of purpose is assured during an emergency. The objectives of this program are to plan and prepare for the employment of National Guard personnel and equipment to support civil authority when called to state service by the Governor due to domestic emergency or natural disaster, and to provide state, county, city and other public agencies with the coordination necessary to insure a timely, organized response.

The California National Guard deployed personnel in nine different categories to support civilian authorities. In these capacities, the California National Guard supported Active Duty for Special Work personnel to federal and state agencies in the interdiction of illegal drug activity totaling 1,200 missions for 160,694 military workdays; State Active Duty personnel for emergency support to state and local agencies totaling 80 missions for 12,902 workdays; Federal Training Status personnel for Search and Rescue by the Air National Guard providing support to the Air Force Rescue Coordination Center for two missions totaling 32 workdays.

The Temporary Emergency Shelter Program provides armories statewide for use by local officials to conduct emergency shelter programs for homeless persons during severe weather conditions. This program normally operates from November through March and is closely coordinated with the Office of Emergency Services, Department of Economic Opportunity, cities, and counties.

During 1999, the California National Guard was deployed on 1,280 missions for a total of 178,384 workdays.

#### Major Budget Adjustment Proposed for 2001–02

- $130,000 General Fund on a one-time basis to purchase modernized emergency radio communications equipment.

#### Authority

Military and Veterans Code.

*** Dollars in thousands, except in Salary Range.**

## 8940 MILITARY DEPARTMENT—Continued

### 40 MILITARY RETIREMENT

#### Program Objectives Statement

This program provides retirement benefits, similar to those provided by the federal military services, to persons who entered state active duty prior to October 1, 1961 and have served 20 or more years, at least 10 of which have been on state active duty, or have been separated for physical disability. All other permanent state employees are covered by the Public Employees' Retirement System. Currently, there are 42 retirees or annuitants receiving benefits under the Military Retirement Program.

#### Authority

Military and Veterans Code, Sections 228 and 256.

### 50 CALIFORNIA CADET CORPS

The California Cadet Corps is an educational program to develop qualities of leadership, patriotism, and citizenship in the young men and women of the state. The California Cadet Corps is a year-round program directed toward some 6,500 middle and high school youth. The Cadet Corps operates in conjunction with various public school districts throughout California. The Cadet Corps program has been identified as a school dropout prevention program by the Department of Education and a demand reduction program under the Federal Counter Narcotics Program. The 2000 Budget Act included funding for re-establishing the California Cadet Corps program with staff positions, operating expenses and equipment through 30 June 2001.

#### Major Budget Adjustment Proposed for 2001–02

- $588,000 General Fund and 5.7 personnel years to permanently establish the California Cadet Corps headquarters.

#### Authority

Military and Veterans Code.

### 55 STATE MILITARY RESERVE

#### Program Objectives Statement

The State Military Reserve is organized as a volunteer, cadre-level military organization which augments the California Military Department's support to National Guard organizations during training and military support to civil authorities during periods of state emergencies and disasters. Revitalized during the past two years, State Military Reserve members train once each month, support National Guard community programs, assist in youth group drug demand reduction programs, provide statewide emergency radio communications support, and assist in the National Guard's statewide Ready Families programs. State Military Reserve units are assigned to major National Guard organizations throughout California.

#### Authority

Military and Veterans Code.

### 65 CALIFORNIA NATIONAL GUARD YOUTH PROGRAMS

#### Program Objectives Statement

The California National Guard Youth Program addresses the problems of low self-esteem, poor social skills, inappropriate behavior and substandard academic performance found in many of California's at-risk youth. The Department either operates or directly supports eight unique youth programs throughout California. These programs are financed with both federal and state funds. The Angel Gate Academy program is a four-week residential program that provides leadership, self-discipline, team building, counselor and mentor/modeling for sixth, seventh and eighth-grade students from the Los Angeles Unified School District. Emphasis is placed on improving student math, science, reading and writing skills. The other residential program, the Grizzly Youth Academy (ChalleNGe Program), is a six-month resident program for 16 to 18 year-old school dropouts. The Academy resident phase of 22 weeks at Camp San Luis Obispo, includes a Charter School, through an educational partnership, that provides enhanced academic excellence focusing on high school graduation, GED, and/or continuing education. Volunteers and mentors, dedicated to changing lives are recruited to provide supervision, guidance and encouragement in their home community for the one-year after care program. Additionally, Camp San Luis Obispo is the location for the Turning Point Academy, which is a six-month residential academy for 15 to 18 year-old, first-time youthful offenders who bring a firearm to school. The Oakland Military Institute is a non-residential charter school program for middle and high school students. This program provides academic excellence using a military discipline model to assist low-income and minority students gain entry to top colleges and universities. The Yolo Youth Academy and Santa Clara Youth Academy are alternative community based day schools, for seventh through twelfth grade students through partnerships with the county Education and Probation Offices and the California National Guard. Students attend one or two semesters of a school year, based upon performance, with an objective of returning into mainstream educational programs or obtaining a general education diploma (G.E.D.). STARBASE Academy (Sciences and Technology Academics Reinforcing Basic Aviation and Space Exploration) in Sacramento, is offered as an educational enrichment program for fifth through eighth grade students in selected elementary and middle schools.

#### Major Budget Adjustments Proposed for 2001–02

- $353,000 General Fund and 2.8 personnel years to establish the California National Guard Youth Program headquarters.
- $10,630,000 General Fund and 97.9 personnel years for full-year costs to support 320 cadets and the operation of the Turning Point Academy located at Camp San Luis Obispo.

*** Dollars in thousands, except in Salary Range.**

## 8940 MILITARY DEPARTMENT—Continued

### PROGRAM BUDGET DETAIL

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| **PROGRAM REQUIREMENTS** | | | |
| **10 ARMY NATIONAL GUARD** | | | |
| *0001 General Fund* | *$14,734* | *$16,302* | *$23,093* |
| *0485 Armory Discretionary Improvement Account* | *57* | *150* | *150* |
| *0890 Federal Trust Fund* | *20,077* | *29,939* | *31,749* |
| *0995 Reimbursements* | *1,257* | *1,411* | *1,634* |
| Totals, Army National Guard | $36,125 | $47,802 | $56,626 |
| **ELEMENT REQUIREMENTS** | | | |
| 10.10 Training | 561 | 666 | 40 |
| *0001 General Fund (expenditures)* | *561* | *666* | *40* |
| 10.20 Logistics | 33,791 | 45,333 | 54,756 |
| *0001 General Fund* | *12,400* | *13,833* | *21,223* |
| *0485 Armory Discretionary Improvement Account* | *57* | *150* | *150* |
| *0890 Federal Trust Fund* | *20,077* | *29,939* | *31,749* |
| *0995 Reimbursements* | *1,257* | *1,411* | *1,634* |
| 10.30 Command Support | 679 | 717 | 731 |
| *0001 General Fund* | *679* | *717* | *731* |
| 10.40 Personnel | 1,094 | 1,086 | 1,099 |
| *0001 General Fund (expenditures)* | *1,094* | *1,086* | *1,099* |
| **PROGRAM REQUIREMENTS** | | | |
| **20 AIR NATIONAL GUARD** | | | |
| *0001 General Fund* | *$3,507* | *$4,008* | *$4,118* |
| *0890 Federal Trust Fund* | *8,670* | *10,356* | *8,585* |
| Totals, Air National Guard | $12,177 | $14,364 | $12,703 |
| **ELEMENT REQUIREMENTS** | | | |
| 20.10 Training | 259 | 269 | 273 |
| *0001 General Fund (expenditures)* | *259* | *269* | *273* |
| 20.20 Logistics | 11,156 | 13,237 | 11,562 |
| *0001 General Fund* | *2,486* | *2,881* | *2,977* |
| *0890 Federal Trust Fund* | *8,670* | *10,356* | *8,585* |
| 20.30 Command Support | 505 | 558 | 565 |
| *0001 General Fund (expenditures)* | *505* | *558* | *565* |
| 20.40 Personnel | 257 | 300 | 303 |
| *0001 General Fund (expenditures)* | *257* | *300* | *303* |
| **PROGRAM REQUIREMENTS** | | | |
| **30 OFFICE OF THE ADJUTANT GENERAL** | | | |
| *0001 General Fund (expenditures)* | *$5,400* | *$6,570* | *$6,999* |
| Amounts Charged to Other Programs: | | | |
| 10 Army National Guard | –3,329 | –4,076 | –4,528 |
| 20 Air National Guard | –1,041 | –1,302 | –1,286 |
| 35 Military Support to Civil Authority | –274 | –80 | – |
| 40 Military Retirement | –756 | –1,112 | –1,185 |
| Totals, Amounts Charged to Other Programs | –$5,400 | –$6,570 | –$6,999 |
| Net Totals, Office of the Adjutant General | – | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **35 MILITARY SUPPORT TO CIVIL AUTHORITY** | | | |
| *0001 General Fund* | *$3,323* | *$1,574* | *$1,895* |
| *0890 Federal Trust Fund* | *1,309* | *1,700* | – |
| *0995 Reimbursements* | *2,932* | *2,337* | *1,138* |
| Totals, Military Support to Civil Authority | $7,564 | $5,611 | $3,033 |
| **ELEMENT REQUIREMENTS** | | | |
| 35.10 State Emergencies and Disasters | 4,853 | 3,081 | 181 |
| *0001 General Fund* | *612* | *181* | *181* |
| *0890 Federal Trust Fund* | *1,309* | *1,700* | – |
| *0995 Reimbursements* | *2,932* | *1,200* | – |

*** Dollars in thousands, except in Salary Range.**

## 8940 MILITARY DEPARTMENT—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 35.20 Military Support to Civil Authorities | $2,545 | $2,140 | $2,462 |
| *0001 General Fund* | *2,545* | *1,003* | *1,324* |
| *0995 Reimbursements* | – | *1,137* | *1,138* |
| 35.30 Emergency Exercises | 166 | 390 | 390 |
| *0001 General Fund (expenditures)* | *166* | *390* | *390* |
| **PROGRAM REQUIREMENTS** | | | |
| **40 MILITARY RETIREMENT** | | | |
| *0001 General Fund (expenditures)* | *$2,523* | *$2,902* | *$3,031* |
| **PROGRAM REQUIREMENTS** | | | |
| **50 CALIFORNIA CADET CORPS** | | | |
| *0001 General Fund (expenditures)* | – | *$1,534* | *$1,678* |
| **PROGRAM REQUIREMENTS** | | | |
| **55 CALIFORNIA STATE MILITARY RESERVE** | | | |
| *0001 General Fund (expenditures)* | – | *$222* | *$225* |
| **PROGRAM REQUIREMENTS** | | | |
| **65 CALIFORNIA NATIONAL GUARD YOUTH PROGRAMS** | | | |
| *0001 General Fund* | *$344* | *$11,617* | *$13,577* |
| *0890 Federal Trust Fund* | *5,562* | *6,268* | *6,188* |
| *0995 Reimbursements* | *712* | *808* | *748* |
| Totals, California National Guard Youth Programs | $6,618 | $18,693 | $20,513 |
| TOTALS, EXPENDITURES | $65,007 | $91,128 | $97,809 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 602.7 | 719.3 | 711.9 | $26,118 | $32,631 | $32,389 |
| Total Adjustments | – | 83.7 | 66.6 | – | 3,405 | 5,701 |
| Estimated Salary Savings | – | –41.0 | –39.0 | – | –1,949 | –1,905 |
| Net Totals, Salaries and Wages | 602.7 | 762.0 | 739.5 | $26,118 | $34,087 | $36,185 |
| Staff Benefits | – | – | – | 5,819 | 7,873 | 9,068 |
| Totals, Personal Services | 602.7 | 762.0 | 739.5 | $31,937 | $41,960 | $45,253 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $31,303 | $47,129 | $50,710 |
| SPECIAL ITEMS OF EXPENSE | | | | 1,767 | 1,789 | 1,846 |
| TOTALS, EXPENDITURES | | | | $65,007 | $90,878 | $97,809 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $24,464 | $27,099 | $47,617 |
| Allocation for employee compensation | 369 | 117 | – |
| Allocation for contingencies or emergencies | 242 | 183 | – |
| Adjustment per Section 3.60 | –585 | – | – |
| Adjustment per Section 16.00 | 21 | – | – |
| Chapter 793, Statutes of 1999—Homeless Shelter Appropriation | 593 | – | – |
| Chapter 127, Statutes of 2000—Oakland Military Institute | – | 1,300 | – |
| Chapter 366, Statutes of 2000—Turning Point Academy | – | 9,210 | – |
| Totals Available | $25,104 | $37,909 | $47,617 |
| Unexpended balance, estimated savings | –673 | – | – |
| TOTALS, EXPENDITURES | $24,431 | $37,909 | $47,617 |

*** Dollars in thousands, except in Salary Range.**

## 8940 MILITARY DEPARTMENT—Continued

### 0485 Armory Discretionary Improvement Account [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $150 | $150 | $150 |
| Unexpended balance, estimated savings | –93 | – | – |
| TOTALS, EXPENDITURES | $57 | $150 | $150 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $34,293 | $34,909 | $46,522 |
| Allocation for employee compensation | 812 | 237 | – |
| Adjustment per Section 3.60 | –1,018 | – | – |
| Transfer to Legislative Claims (9670) | – | –1 | – |
| Budget adjustment | 1,531 | 13,118 | – |
| TOTALS, EXPENDITURES | $35,618 | $48,263 | $46,522 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $4,901 | $4,556 | $3,520 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $65,007 | $90,878 | $97,809 |

---

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | – | $250 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $65,007 | $91,128 | $97,809 |

### 0895 Other Federal Funds [f]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Army and Air National Guard, Office of the Adjutant General, and Military Support to Civil Authority | $432,181 | $449,631 | $440,302 |

---

## FUND CONDITION STATEMENT
### 0485 Armory Discretionary Improvement Account [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $65 | $80 | $80 |
| Prior year adjustments | 39 | – | – |
| Balance, Adjusted | $104 | $80 | $80 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 152200 Rental of state property | 33 | 150 | 150 |
| Totals, Resources | $137 | $230 | $230 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8940 Military Department (State Operations) | 57 | 150 | 150 |
| FUND BALANCE | $80 | $80 | $80 |
| Reserve for economic uncertainties | 80 | 80 | 80 |

*** Dollars in thousands, except in Salary Range.**

## 8940 MILITARY DEPARTMENT—Continued

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Totals, Authorized Positions | 602.7 | 719.3 | 711.9 | $26,118 | $32,631 | $32,389 |
| Salary adjustments | – | – | – | – | 379 | 467 |
| Totals, Adjusted Authorized Positions | 602.7 | 719.3 | 711.9 | $26,118 | $33,010 | $32,865 |
| Workload and Administrative Adjustments: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| 20 Air National Guard-Moffett Air Base: | | | | Salary Range | | |
| Master Sgt (E7), Firefighter Supvr | – | – | –3.0 | 3,389–4,085 | – | –217 |
| Tech Sgt (E6) Firefighter, Crew Chief | – | – | –12.0 | 3,763–4,002 | – | –576 |
| Staff Sgt (E5), Firefighter | – | – | –26.0 | 3,317–3,539 | – | –1,104 |
| Sr Airman (E4), Firefighter Driver/Opr | – | – | –1.0 | 2,284–2,358 | – | –28 |
| Totals | – | – | –42.0 | – | – | –$1,925 |
| Excess Vacant Position Analysis: | | | | | | |
| Reduction in Authorized Positions: | | | | | | |
| 10 Army National Guard: | | | | | | |
| Assoc Govtl Prog Analyst | – | – | –1.0 | – | – | – |
| Constrn Insp II | – | – | –1.0 | – | – | – |
| Maint Mechanic | – | – | –4.0 | – | – | |
| Carpenter II | – | – | –1.0 | – | – | – |
| Electrician I | – | – | –1.0 | – | – | – |
| Sr Delineator | – | – | –1.0 | – | – | – |
| Carpenter I | – | – | –1.0 | – | – | – |
| Skilled Laborer | – | – | –1.0 | – | – | – |
| Groundskeeper | – | – | –1.0 | – | – | – |
| Ofc Techn-Typing | – | – | –1.0 | – | – | – |
| Mgt Svcs Techn | – | – | –1.0 | – | – | – |
| Acct Clk II | – | – | –3.0 | – | – | – |
| Ofc Asst-Typing | – | – | –2.0 | – | – | – |
| Ofc Asst-Gen | – | – | –1.0 | – | – | – |
| Armory Custodian I | – | – | –1.0 | – | – | – |
| Temporary Help-Civil Svc | – | – | –0.4 | – | – | – |
| 20 Air National Guard: | | | | | | |
| Supvr Bldg Trades | – | – | –1.0 | – | – | – |
| Maint Mechanic | – | – | –5.0 | – | – | – |
| Bldg Maint Worker | – | – | –2.0 | – | – | – |
| Envirntl Spec I | – | – | –1.0 | – | – | – |
| Carpenter I | – | – | –1.0 | – | – | – |
| Skilled Laborer | – | – | –2.0 | – | – | – |
| Armory Custodian III | – | – | –1.0 | – | – | – |
| Armory Custodian II | – | – | –5.0 | – | – | – |
| Totals | – | – | –39.4 | – | – | – |
| Positions Established: | | | | | | |
| 65 Turning Point Academy: | | | | | | |
| State Active Duty-Tour | – | 83.7 | 103.0 [1] | – | 3,026 | 5,008 |
| Totals, Workload and Administrative Adjustments | – | 83.7 | 21.6 | – | $3,026 | $3,083 |
| Proposed New Positions: | | | | | | |
| 10 Army National Guard-Camp San Luis Obispo: | | | | | | |
| Sgt (E5), Cable Repair Techn | – | – | 2.0 | 2,832–3,063 | – | 71 |
| Fresno Aviation, Class and Repair Depot: | | | | | | |
| Warrant Ofcr 4 (W4), Budget Ofcr | – | – | 1.0 | 5,381–5,663 | – | 66 |
| Warrant Ofcr 3 (W3), Envirntl Ofcr | – | – | 1.0 | 4,435–5,062 | – | 57 |
| Warrant Ofcr 3 (W3), Safety Ofcr | – | – | 1.0 | 4,435–5,062 | – | 57 |
| Master Sgt (E8), Pers Sgt | – | – | 1.0 | 4,331–4,667 | – | 54 |
| Warrant Ofcr 2 (W2), Maint Ofcr | – | – | 1.0 | 3,823–4,476 | – | 50 |
| Sgt First Class (E7), Tech Insp | – | – | 2.0 | 3,525–4,248 | – | 94 |
| Staff Sgt (E6), Aircraft Mechanic | – | – | 9.0 | 3,055–3,303 | – | 344 |
| Staff Sgt (E6), Sheet Metal Mechanic | – | – | 3.0 | 3,055–3,303 | – | 114 |
| Staff Sgt (E6), Electronics Mechanic | – | – | 3.0 | 3,055–3,303 | – | 114 |
| Sgt (E5), Aircraft Mechanic | – | – | 5.0 | 2,649–2,880 | – | 166 |
| Sgt (E5), Supply Spec | – | – | 2.0 | 2,649–2,880 | – | 66 |
| Sgt (E5), Admin NCO | – | – | 1.0 | 2,649–2,880 | – | 33 |

*** Dollars in thousands, except in Salary Range.**

## 8940 MILITARY DEPARTMENT—Continued

| | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 30 Office of the Adjutant General-Directorate of Policy and Liaison: | | | | Salary Range | | |
| Lieut Colonel (O5)-Employer Support to the Guard and Reserve Ofcr | – | – | 1.0 | $7,086–7,261 | – | $86 |
| Directorate of Information Management: | | | | | | |
| Info Tech-Spec III | – | – | 1.0 | 5,441–6,614 | – | 72 |
| Info Tech-Spec I | – | – | 2.0 | 4,113–5,000 | – | 110 |
| 50 California Cadet Corps: | | | | | | |
| Lieut Colonel (O5), Asst Exec Ofcr | – | – | 1.0 | 7,086–7,261 | – | 86 |
| Major (O4), Educ Support Ofcr | – | – | 1.0 | 6,137–6,184 | – | 74 |
| Master Sgt (E8), Support NCO | – | – | 1.0 | 4,428–4,764 | – | 55 |
| Sgt First Class (E7), Logistics NCO | – | – | 1.0 | 3,596–4,319 | – | 48 |
| Staff Sgt (E6), Support NCO | – | – | 1.0 | 3,123–3,371 | – | 39 |
| Sgt (E5), Admin NCO | – | – | 1.0 | 2,745–2,976 | – | 34 |
| 65 California National Guard Youth Program-Headquarters: | | | | | | |
| Colonel (O6), Director | – | – | 1.0 | 8,118–8,638 | – | 101 |
| Lieut Colonel (O5), Dep Director | – | – | 1.0 | 7,086–7,261 | – | 86 |
| Major (O4), Educ/Ops Ofcr | – | – | 1.0 | 6,137–6,189 | – | 74 |
| Totals, Proposed New Positions | – | – | 45.0 | – | – | $2,151 |
| Total Adjustments | – | 83.7 | 66.6 | – | $3,405 | $5,701 |
| TOTALS, SALARIES AND WAGES | 602.7 | 803.0 | 778.5 | $26,118 | $36,036 | $38,090 |

[1] Positions are limited-term through June 30, 2002 and are not included in the Salaries and Wages Supplement.

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **70 CAPITAL OUTLAY** | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| **70.10 STATEWIDE** | | | |
| 70.10.010 Capital outlay state share of federal projects | $774 WCg | $3,982 WPC | – |
| 70.10.100 Advanced Plans and Studies | – | 125 Sg | $125 Sg |
| 70.10.100 Advanced Plans and Studies | – | – | 800 Cf |
| 70.10.100 Advanced Plans and Studies | – | 36 Sff | 36 Sff |
| 70.12.020 Statewide: Master Plan and Survey-Phase II | – | – | 545 Sg |
| **70.44 SAN DIEGO** | | | |
| 70.44.030 Security Lighting | 35 PWCg | – | – |
| **70.62 SACRAMENTO-58TH STREET** | | | |
| 70.62.030 Security Lighting | 252 PWCg | – | – |
| **70.68 CAMP SAN LUIS OBISPO TRAINING CENTER** | | | |
| 70.68.060 CSLO: Domestic Water Distribution System | – | – | 150 Sg |
| 70.68.070 CSLO: Modified Record Fire Range | – | 134 Pg | 118 Wg |
| 70.68.070 CSLO: Modified Record Fire Range | – | 51 Pf | 45 Wf |
| 70.68.080 CSLO: Qualified Combat Pistol Range | – | 117 Pg | 98 Wg |
| 70.68.080 CSLO: Qualified Combat Pistol Range | – | 38 Pf | 33 Wf |
| 70.68.090 CSLO: Facilities Demolition | – | – | 150 Pg |
| **70.80 BAKERSFIELD** | | | |
| 70.80.010 Bakersfield Armory | – | 2,125 Ag | – |
| **70.81 LOS ALAMITOS JOINT FORCES TRAINING CENTER** | | | |
| 70.81.040 Los Alamitos Airfield Electrical Distribution System | – | – | 468 PWg |
| **70.99 VARIOUS AREAS** | | | |
| 70.99.010 Other Federal Construction Funds | 64,743 f | 66,530 f | 147,627 f |
| This will provide 100 percent federal financing. These projects are not subject to state appropriation or budgetary control. | | | |
| Totals, Major Projects | $65,804 | $73,138 | $150,195 |

*** Dollars in thousands, except in Salary Range.**

## 8940 MILITARY DEPARTMENT—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **Minor Capital Outlay** | | | |
| 70.90.030 Minor Projects | $546 [g] | $1,630 [g] | $975 [g] |
| Totals, Minor Projects | $546 | $1,630 | $975 |
| TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY | $66,350 | $74,768 | $151,170 |
| Interest Expense on General Fund loan | 719 | – | – |
| NET TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY | $67,069 | $74,768 | $151,170 |
| *0001 General Fund* | *1,607* | *8,113* | *2,629* |
| *0604 Armory Fund* | *719* | – | – |
| *0890 Federal Trust Fund* | – | *36* | *36* |
| *0895 Other Federal Funds* | *64,743* | *66,619* | *148,505* |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund [s]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $7,443 | $2,006 | $2,629 |
| Loan to Armory Fund per Item 8940-301-604, Budget Act of 1986, and Government Code Section 16314: | | | |
| Fairfield Armory Building | (1,359) [1] | – | – |
| Prior year balances available: | | | |
| Item 8940-301-0001, Budget Act of 1996, as reappropriated by Item 8940-490, Budget Acts of 1997, 1998 and 1999 | 430 | – | – |
| Item 8940-301-0001, Budget Act of 1998, as reappropriated by Item 8940-490, Budget Act of 1999 | 5,944 | – | – |
| Item 8940-301-0001, Budget Act of 1999, as reappropriated by Item 8940-490, Budget Act of 2000 | – | 6,107 | – |
| Augmentation per Government Code Section 16352, 16354 and 16409 | 35 | – | – |
| Totals Available | $13,852 | $8,113 | $2,629 |
| Unexpended balance, estimated savings | –6,138 | – | – |
| Balance available in subsequent years | –6,107 | – | – |
| TOTALS, EXPENDITURES | $1,607 | $8,113 | $2,629 |

### 0604 Armory Fund [n]

| | | | |
|---|---|---|---|
| Interest expense on General Fund Loan per Item 8940-301-0604, Budget Act of 1986 (expenditures) | $719 | – | – |

### 0890 Federal Trust Fund [ff]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | $36 | $36 | $36 |
| Prior year balances available: | | | |
| Item 8940-301-0890, Budget Act of 1996, as reappropriated by Item 8940-490, Budget Acts of 1997, 1998 and 1999 | 140 | – | – |
| Item 8940-301-0890, Budget Act of 1998, as reappropriated by Item 8940-490, Budget Act of 1999 | 7,330 | – | – |
| Totals Available | $7,506 | $36 | $36 |
| Unexpended balance, estimated savings | –7,506 | – | – |
| TOTALS, EXPENDITURES | – | $36 | $36 |

### 0895 Other Federal Funds (Not in State Treasury) [f]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Federally financed construction (expenditures) | $64,743 | $66,619 | $148,505 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $67,069 | $74,768 | $151,170 |

[1] A $640,000 General Fund loan for the Fairfield Armory Building was issued in 1987–88. The total accrued interest on the loan to date equals $718,905. Therefore, the amount shown is the principal and interest being repaid.

The following footnotes differ from the standard footnotes. These apply only to Capital Outlay.

[f] Other Federal Funds (Not in State Treasury).

[ff] Federal Trust Fund

[g] General Fund

*** Dollars in thousands, except in Salary Range.**

# 8950 DEPARTMENT OF VETERANS AFFAIRS

The Department of Veterans Affairs has a threefold overall objective: (1) provide comprehensive assistance to veterans and dependents of veterans in obtaining benefits and rights to which they may be entitled under State and federal laws; (2) afford California veterans the opportunity of becoming homeowners through the medium of loans available to them under the Cal-Vet farm and home loan program; and (3) provide support for California veterans homes where eligible veterans may live in a retirement community and where nursing care and hospitalization are provided.

| SUMMARY OF PROGRAM REQUIREMENTS | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| 10 Farm and Home Loans to Veterans | 224.0 | 270.9 | 270.9 | $233,535 | $231,970 | $220,995 |
| 20 Veterans Claims and Rights | 21.7 | 21.3 | 22.2 | 4,982 | 5,544 | 5,083 |
| 30 Care of Sick and Disabled Veterans | 1,169.9 | 1,569.0 | 1,575.7 | 91,609 | 100,719 | 111,393 |
| 40 Farm and Home Loans to National Guard Members | 0.4 | 0.4 | 0.4 | 37 | 80 | 80 |
| 45 Veterans Memorials Fund | – | – | – | 1,032 | 25 | 25 |
| 46 Northern California Veterans Cemetery | – | – | – | 78 | 27 | – |
| 50 General Administration | 25.2 | 37.2 | 38.2 | 2,115 | 2,827 | 3,061 |
| Distributed General Administration | –25.2 | –37.2 | –38.2 | –2,115 | –2,827 | –3,061 |
| TOTALS, PROGRAMS | 1,416.0 | 1,861.6 | 1,869.2 | $331,273 | $338,365 | $337,576 |
| *0001 General Fund* | | | | *56,986* | *68,247* | *66,915* |
| *0083 Veterans Service Office Fund* | | | | *221* | *339* | *339* |
| *0180 Northern California Veterans Cemetery Master Development Fund* | | | | *–415* | *–* | *–* |
| *0503 California National Guard Members' Farm and Home Building Fund of 1978* | | | | *37* | *80* | *80* |
| *0592 Veterans' Farm and Home Building Fund of 1943* | | | | *233,535* | *231,970* | *220,995* |
| *0621 California Veterans' Memorial Registry Fund* | | | | *–* | *25* | *25* |
| *0890 Federal Trust Fund* | | | | *14,686* | *10,977* | *17,917* |
| *0995 Reimbursements* | | | | *26,223* | *26,727* | *31,305* |

## 10 FARM AND HOME LOANS TO VETERANS

### Program Objectives Statement

Since 1921, the Cal-Vet loan program has served the needs of over 407,000 World War I, World War II, Korean, Vietnam, and Desert Storm veterans for long-term housing and farm loans. General Obligation Veterans Bonds and Revenue Veterans Bonds have been sold at intervals to provide funding for the program.

California veterans who served during a war period may now qualify for General Obligation Veterans Bonds or Revenue Veterans Bonds. Lending is directed primarily to Vietnam veterans. Loans with a maximum loan amount of $250,000 are issued for: single-family dwellings which include condominiums, townhouses and mobilehomes on land with an additional $5,000 allowed for solar heating devices; the maximum loan for mobile homes in parks is $70,000; and for farms is $300,000.

Cal-Vet has a Home Improvement Loan Program to assist active contract holders in securing certain home maintenance and renovation improvements. Maximum loan amounts are: $50,000 for veterans who qualify for loans supported by General Obligation Bonds, with a corresponding 15 year maximum loan term; and $15,000 for veterans who qualify for loans supported by Revenue Bonds, with a corresponding 12 year maximum loan term.

Veteran purchasers are charged interest on their loans at the lowest rate which will cover all costs of the program. The interest rates charged have provided for redemption of the bonds, debt service, and all program administrative charges, without any cost to the California taxpayer. Effective November 1, 1999 new loans were issued at fixed market interest rates.

### Authority

Article 3, Chapter 6, Division 4 of the California Military and Veterans Code.

## 20 VETERANS CLAIMS AND RIGHTS

### Program Objectives Statement

The Veterans Services Division provides service and assistance to California's veterans, dependents and survivors. Programs administered consist of: Veterans Dependents Educational Assistance Program, County Veterans Service Office Program, Medi-Cal Cost Avoidance Program, Claims and Rights Representation, and the Veterans License Plate Program. California, with over 3.0 million veterans, represents 12% of the nation's total veteran population.

### Major Budget Adjustment Proposed for 2001–02

- A General Fund augmentation of $77,000 and 0.9 personnel year to provide a Homeless Veterans Advocate at the Department of Veterans Affairs.

### Authority

Military and Veterans Code, Sections 699.5, 970–973; and California State civil service laws and rules, Article 4, paragraphs 18971–18976.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

### 30 CARE OF SICK AND DISABLED VETERANS

**Yountville:**

**Program Objectives Statement**

The Veterans Home maintains medical and nursing facility beds (including acute and intensive care beds, skilled nursing beds, and intermediate care nursing beds) and domiciliary facilities. The Veterans Home is one of the largest geriatric facilities in the country and has full support facilities, including consultation services of medical experts and services of a number of Veterans Administration and private hospitals in northern California.

The purpose of the Veterans Homes has been defined as, "... to provide a community of services for disabled California veterans that will improve overall health, reduce the incidence and severity of disabilities, and increase social interaction in an environment which promotes self-reliance and self-worth. . . ." The hospital and nursing units are licensed by the State Department of Health Services under Title 22, California Administrative Code. Funds to partially offset the cost of providing care are received from the Veterans Administration, Medicare, and Medi-Cal.

The programs and services for residents of the Veterans Home are developed in cooperation with the Veterans Administration, other state agencies, local government, private organizations, and educational facilities.

**Major Budget Adjustments Proposed for 2001–02**

- A one-time General Fund augmentation of $100,000 to create a homelike environment by redesigning interior and exterior common areas and adding furnishings.
- A one-time General Fund augmentation of $66,000 to complete a Department of General Services assessment of property to determine compliance with the Americans with Disabilities Act.

**Barstow:**

**Program Objectives Statement**

As of January 2001, the Veterans Home of California—Barstow will continue to maintain a 400-bed facility that includes a 180-bed skilled nursing facility, a 56-bed residential care facility for the elderly and a 164-bed independent living facility. The Veterans home was designed as the prototype for a 400-bed Veterans Home and includes a medical and dental outpatient clinic in addition to physical medicine and occupational therapy programs and recreational facilities. Local hospitals and the U.S. Department of Veterans Affairs Medical Center, Loma Linda provide acute care and hospitalization services. The primary program objective for the budget year is establishment of the appropriate bed configuration for long-term care for veterans residing in this geographical area. The programs and services for residents of the Veterans Home are developed in cooperation with the Veterans Administration, state agencies, local government agencies, private organizations and educational facilities.

**Major Budget Adjustment Proposed for 2000–01**

- The Administration is sponsoring legislation to provide $6.5 million General Fund to ensure that the appropriate level of care is provided to the residents of the Veterans Home—Barstow despite an unanticipated reduction in funding from the United States Department of Veterans Affairs (USDVA) and the Medi-Cal program.

**Chula Vista:**

**Program Objectives Statement**

Chapter 959, Statutes of 1991, authorized the Department to establish a Veterans Home in Southern California on one or more sites. This Home is designed as a 400-bed facility and will accommodate 165 veterans in domiciliary care, 55 in residential care and 180 in skilled nursing. The Home includes a medical and dental clinic plus therapy and recreation capabilities. Acute care and hospitalization are provided by the nearest community hospital and the United States Department of Veterans Affairs Medical Center.

**Major Budget Adjustment Included in 2000–01**

- General Fund expenditures for the Chula Vista Veterans Home are estimated to be $1.9 million less than anticipated because the skilled nursing facility is not yet operational, and residents are being admitted at a lower rate than expected. Reimbursements are also reduced by an estimated $2.3 million and expenditure of federal funds is reduced by and estimated $3.5 million to reflect this lower rate of admittance.

**Major Budget Adjustments Proposed for 2001–02**

- A General Fund augmentation of $346,000 to expand and improve the employee training program.
- A General Fund augmentation of $264,000 to implement a compensated work therapy program in concert with the USDVA.
- A General Fund augmentation of $256,000 and 3.8 personnel years to expand and strengthen the quality assurance function.

**Authority**

Military and Veterans Code, Section 1011.5.

### 40 FARM AND HOME LOANS TO NATIONAL GUARD MEMBERS

**Program Objectives Statement**

The California National Guard Members Farm and Home Purchase Act of 1978 became effective January 1, 1979, and authorized the Military Department to sell revenue bonds to provide low interest loans to National Guard members for the purchase of farms and homes. The loan provisions of this program are similar to those of the Cal-Vet Loan Program. Chapter 415, Statutes of 1996 transferred responsibility for administering the California National Guard members Farm and Home Purchase Act of 1978 from the Military Department to the Department of Veterans Affairs effective January 1, 1997.

Proceeds of $25 million were received in 1980 and 1981 from the sale of revenue bonds. An additional $15 million sale of revenue bonds was held in December of 1983. No more bond sales are authorized for funding new loans. Therefore, current workload involves maintenance and servicing of the existing loan portfolio which is gradually declining due to refinancing and the retirement of existing loans.

**Authority**

Article 4, Chapter 3 and Chapter 10, Part 1, Division 2, of the California Military and Veterans Code.

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

### 45 VETERANS MEMORIALS

#### Program Objectives Statement

Chapter 765, Statutes of 1998 created the California Veterans Memorial Registry Fund for the deposit of financial contributions made for the support of the Veterans Registry, which is part of the California Veterans Memorial. The money in the fund is continuously appropriated to the department, without regard to fiscal year, for the purpose of defraying costs of data entry and system management for the Registry and for reasonable costs that are incurred by the department for administering the fund.

Chapter 864, Statutes of 1999 appropriated $1,032,000 from the General Fund to the Department of Veterans Affairs for allocation to the American Battle Monuments Commission. This constitutes a one-time donation to assist with the construction of a national monument honoring World War II veterans.

### 46 NORTHERN CALIFORNIA VETERANS CEMETERY

#### Program Objectives Statement

Chapter 604, Statutes of 1999, appropriated $520,000 General Fund to plan, develop and construct a Northern California Veterans Cemetery. Construction and equipment funding for the cemetery is to be derived from the State Cemetery Grant Program of the federal Department of Veterans Affairs.

### 50 GENERAL ADMINISTRATION

#### Program Objectives Statement

This program provides for the executive management of the Department's full range of programs and administrative support for, primarily, headquarters based programs. Functions include budgeting, accounting, personnel and business services. These costs are all distributed to the major programs.

#### Headquarters:

#### Major Budget Adjustment Proposed for 2001–02

- An augmentation of $77,000 ($69,000 General Fund) and 0.9 personnel year to provide a manager to coordinate the state cemetery program and serve as the Disabled Veteran Business Enterprise advocate.

---

## PROGRAM BUDGET DETAIL

### PROGRAM REQUIREMENTS
### 10 FARM AND HOME LOANS TO VETERANS

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State Operations: | | | |
| *0592 Farm and Home Building Fund of 1943* | *$233,535* | *$231,970* | *$220,995* |
| Totals, State Operations | $233,535 | $231,970 | $220,995 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 10.10 Property Acquisition | | | |
| State Operations: | | | |
| *0592 Farm and Home Building Fund of 1943* | *7,006* | *6,959* | *6,630* |
| 10.20 Loan Service | | | |
| State Operations: | | | |
| *0592 Farm and Home Building Fund of 1943* | *7,473* | *7,423* | *7,072* |
| 10.30 Loan Funding | | | |
| State Operations: | | | |
| *0592 Farm and Home Building Fund of 1943* | *219,056* | *217,588* | *207,293* |

### PROGRAM REQUIREMENTS
### 20 VETERANS CLAIMS AND RIGHTS

| | | | |
|---|---|---|---|
| State Operations: | | | |
| *0001 General Fund* | *$1,256* | *$1,354* | *$1,438* |
| *0083 Veterans Service Office Fund* | *25* | *25* | *25* |
| *0995 Reimbursements* | *180* | *118* | *118* |
| Totals, State Operations | $1,461 | $1,497 | $1,581 |
| Local Assistance: | | | |
| *0001 General Fund* | *2,485* | *2,895* | *2,350* |
| *0083 Veterans Service Office Fund* | *196* | *314* | *314* |
| *0995 Reimbursements* | *840* | *838* | *838* |
| Totals, Local Assistance | $3,521 | $4,047 | $3,502 |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

### ELEMENT REQUIREMENTS

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 20.10 Claims Representation | | | |
| State Operations: | | | |
| *0001 General Fund* | *$1,256* | *$1,354* | *$1,438* |
| *0083 Veterans Service Office Fund* | *25* | *25* | *25* |
| 20.30 County Subvention | | | |
| State Operations: | | | |
| *0995 Reimbursements* | *180* | *118* | *118* |
| Local Assistance: | | | |
| *0001 General Fund* | *2,485* | *2,895* | *2,350* |
| *0083 Veterans Service Office Fund* | *196* | *314* | *314* |
| *0995 Reimbursements* | *840* | *838* | *838* |

### PROGRAM REQUIREMENTS

### 30 CARE OF SICK AND DISABLED VETERANS

| | | | |
|---|---|---|---|
| State Operations: | | | |
| Headquarters: | | | |
| *0001 General Fund* | *$576* | *$1,204* | *$1,480* |
| *0995 Reimbursements* | *296* | *198* | *198* |
| Totals, State Operations (Headquarters) | $872 | $1,402 | $1,678 |
| State Operations: | | | |
| Yountville: | | | |
| *0001 General Fund* | *28,626* | *33,786* | *35,512* |
| *0890 Federal Trust Fund* | *11,069* | *10,977* | *10,977* |
| *0995 Reimbursements* | *20,533* | *21,194* | *21,194* |
| Totals, State Operations (Yountville) | $60,228 | $65,957 | $67,683 |
| State Operations: | | | |
| Barstow: | | | |
| *0001 General Fund* | *11,448* | *20,000* | *13,378* |
| *0890 Federal Trust Fund* | *3,617* | *–* | *3,483* |
| *0995 Reimbursements* | *4,373* | *2,695* | *5,001* |
| Totals, State Operations (Barstow) | $19,438 | $22,695 | $21,862 |
| State Operations: | | | |
| Chula Vista: | | | |
| *0001 General Fund* | *11,071* | *8,981* | *12,757* |
| *0890 Federal Trust Fund* | *–* | *–* | *3,457* |
| *0995 Reimbursements* | *–* | *1,684* | *3,956* |
| Totals, State Operations (Chula Vista) | $11,071 | $10,665 | $20,170 |

### ELEMENT REQUIREMENTS

| | | | |
|---|---|---|---|
| 30.00 Lease Revenue/Rental Payments | 901 | 1,635 | 2,619 |
| State Operations: | | | |
| Barstow: | | | |
| *0001 General Fund* | *791* | *1,078* | *1,180* |
| *0890 Federal Trust Fund* | *110* | *–* | *–* |
| *0995 Reimbursements* | *–* | *99* | *9* |
| State Operations: | | | |
| Chula Vista: | | | |
| *0001 General Fund* | *–* | *458* | *1,430* |
| *0890 Federal Trust Fund* | *–* | *–* | *–* |
| *0995 Reimbursements* | *–* | *–* | *–* |
| 30.10 Acute Care | 6,923 | 7,610 | 7,826 |
| State Operations: | | | |
| Headquarters: | | | |
| *0001 General Fund* | *44* | *87* | *106* |
| *0995 Reimbursements* | *23* | *14* | *14* |
| State Operations: | | | |
| Yountville: | | | |
| *0001 General Fund* | *3,259* | *3,846* | *4,043* |
| *0890 Federal Trust Fund* | *1,260* | *1,250* | *1,250* |
| *0995 Reimbursements* | *2,337* | *2,413* | *2,413* |
| 30.20 Skilled Nursing Care | 45,461 | 50,917 | 56,496 |
| State Operations: | | | |
| Headquarters: | | | |
| *0001 General Fund* | *288* | *625* | *768* |
| *0995 Reimbursements* | *148* | *103* | *103* |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| Yountville: | | | |
| *0001 General Fund* | *$13,503* | *$15,938* | *$16,752* |
| *0890 Federal Trust Fund* | *5,222* | *5,178* | *5,178* |
| *0995 Reimbursements* | *9,687* | *9,997* | *9,997* |
| State Operations: | | | |
| Barstow: | | | |
| *0001 General Fund* | *6,408* | *11,377* | *7,334* |
| *0890 Federal Trust Fund* | *2,109* | *–* | *2,094* |
| *0995 Reimbursements* | *2,629* | *1,561* | *3,001* |
| State Operations: | | | |
| Chula Vista: | | | |
| *0001 General Fund* | *5,467* | *5,125* | *6,811* |
| *0890 Federal Trust Fund* | *–* | *–* | *2,079* |
| *0995 Reimbursements* | *–* | *1,013* | *2,379* |
| 30.30 Intermediate Care | 16,152 | 17,509 | 19,130 |
| State Operations: | | | |
| Headquarters: | | | |
| *0001 General Fund* | *103* | *212* | *260* |
| *0995 Reimbursements* | *53* | *35* | *35* |
| State Operations: | | | |
| Yountville: | | | |
| *0001 General Fund* | *5,243* | *6,187* | *6,504* |
| *0890 Federal Trust Fund* | *2,027* | *2,010* | *2,010* |
| *0995 Reimbursements* | *3,760* | *3,881* | *3,881* |
| State Operations: | | | |
| Barstow: | | | |
| *0001 General Fund* | *1,741* | *3,092* | *1,993* |
| *0890 Federal Trust Fund* | *573* | *–* | *569* |
| *0995 Reimbursements* | *715* | *424* | *816* |
| State Operations: | | | |
| Chula Vista: | | | |
| *0001 General Fund* | *1,937* | *1,393* | *1,851* |
| *0890 Federal Trust Fund* | *–* | *–* | *565* |
| *0995 Reimbursements* | *–* | *275* | *646* |
| 30.40 Residential Care | 2,875 | 3,149 | 3,277 |
| State Operations: | | | |
| Headquarters: | | | |
| *0001 General Fund* | *18* | *36* | *45* |
| *0995 Reimbursements* | *9* | *6* | *6* |
| State Operations: | | | |
| Yountville: | | | |
| *0001 General Fund* | *1,269* | *1,498* | *1,574* |
| *0890 Federal Trust Fund* | *491* | *487* | *487* |
| *0995 Reimbursements* | *910* | *940* | *940* |
| State Operations: | | | |
| Barstow: | | | |
| *0001 General Fund* | *61* | *108* | *69* |
| *0890 Federal Trust Fund* | *20* | *–* | *20* |
| *0995 Reimbursements* | *25* | *15* | *28* |
| State Operations: | | | |
| Chula Vista: | | | |
| *0001 General Fund* | *72* | *49* | *65* |
| *0890 Federal Trust Fund* | *–* | *–* | *20* |
| *0995 Reimbursements* | *–* | *10* | *23* |
| 30.50 Domiciliary Care | 19,297 | 19,899 | 22,045 |
| State Operations: | | | |
| Headquarters: | | | |
| *0001 General Fund* | *123* | *244* | *301* |
| *0995 Reimbursements* | *63* | *40* | *40* |
| State Operations: | | | |
| Yountville: | | | |
| *0001 General Fund* | *5,352* | *6,317* | *6,639* |
| *0890 Federal Trust Fund* | *2,069* | *2,052* | *2,052* |
| *0995 Reimbursements* | *3,839* | *3,963* | *3,963* |
| State Operations: | | | |
| Barstow: | | | |
| *0001 General Fund* | *2,447* | *4,345* | *2,802* |
| *0890 Federal Trust Fund* | *805* | *–* | *800* |
| *0995 Reimbursements* | *1,004* | *596* | *1,147* |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| State Operations: | | | |
| Chula Vista: | | | |
| *0001 General Fund* | *$3,595* | *$1,956* | *$2,600* |
| *0890 Federal Trust Fund* | – | – | *793* |
| *0995 Reimbursements* | – | *386* | *908* |
| **PROGRAM REQUIREMENTS** | | | |
| **40 FARM AND HOME LOANS TO NATIONAL GUARD MEMBERS** | | | |
| State Operations: | | | |
| *0503 California National Guard Members Farm and Home Building Fund of 1978* | *$37* | *$80* | *$80* |
| Totals, State Operations | $37 | $80 | $80 |
| **ELEMENT REQUIREMENTS** | | | |
| 40.10 Program Administration | 37 | 80 | 80 |
| State Operations: | | | |
| *0503 California National Guard Members Farm and Home Building Fund of 1978* | *37* | *80* | *80* |
| **PROGRAM REQUIREMENTS** | | | |
| **45 VETERANS MEMORIALS** | | | |
| State Operations: | | | |
| *0621 California Veterans Memorial Registry Fund* | – | *$25* | *$25* |
| Totals, State Operations | – | $25 | $25 |
| Local Assistance: | | | |
| *001 General Fund* | *$1,032* | – | – |
| Totals, Local Assistance | $1,032 | – | – |
| **PROGRAM REQUIREMENTS** | | | |
| **46 NORTHERN CALIFORNIA VETERANS CEMETERY** | | | |
| State Operations: | | | |
| *0001 General Fund* | *$78* | *$27* | – |
| Totals, State Operations | $78 | $27 | – |
| **PROGRAM REQUIREMENTS** | | | |
| **50 GENERAL ADMINISTRATION** | | | |
| 50.10 General Administration | $2,115 | $2,827 | $3,061 |
| 50.20 Distributed Administration | –2,115 | –2,827 | –3,061 |
| **TOTAL EXPENDITURES** | | | |
| State Operations | $326,720 | $334,318 | $334,074 |
| Local Assistance | 4,553 | 4,047 | 3,502 |
| TOTALS, EXPENDITURES | $331,273 | $338,365 | $337,576 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS
#### Headquarters

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 256.5 | 328.3 | 328.3 | $12,887 | $15,128 | $15,518 |
| Total Adjustments | – | – | 2.0 | – | – | 112 |
| Estimated Salary Savings | – | –16.4 | –16.5 | – | –756 | –782 |
| Net Totals, Salaries and Wages | 256.5 | 311.9 | 313.8 | $12,887 | $14,372 | $14,848 |
| Staff Benefits | – | – | – | 2,480 | 2,493 | 2,583 |
| Totals, Personal Services | 256.5 | 311.9 | 313.8 | $15,367 | $16,865 | $17,431 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $8,233 | $7,722 | $7,578 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Debt Service | | | | 192,744 | 190,414 | 179,350 |
| Insurance expense | | | | 19,639 | 20,000 | 20,000 |
| Totals, Special Items of Expense | | | | $212,383 | $210,414 | $199,350 |
| TOTALS, EXPENDITURES | | | | $235,983 | $235,001 | $224,359 |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund
### Headquarters

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1,921 | $2,573 | $2,918 |
| Allocation for employee compensation | 145 | 9 | – |
| Adjustment per Section 3.60 | −99 | −23 | – |
| Transfer to Legislative Claims (9670) | – | −1 | – |
| Chapter 604, Statutes of 1999 | 520 | – | – |
| Prior year balances available: | | | |
| Government Code Section 15819.92 | 10 | – | – |
| Chapter 604, Statutes of 1999 | – | 27 | – |
| Totals Available | $2,497 | $2,585 | $2,918 |
| Balance available in subsequent years | −27 | – | – |
| Unexpended balance, estimated savings | −145 | – | – |
| TOTALS, EXPENDITURES | $2,325 | $2,585 | $2,918 |

### 0083 Veterans Service Office Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (expenditures) | $25 | $25 | $25 |

### 0180 Northern California Veterans Cemetery Master Development Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Military and Veterans Code, Section 1401 (b) | $35 | – | – |
| Less funding provided by General Fund | −450 | – | – |
| TOTALS, EXPENDITURES | −$415 | – | – |

### 0503 California National Guard Members' Farm and Home Building Fund of 1978 [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Military and Veterans Code, Section 485 (Program Support) | $37 | $38 | $38 |
| Military and Veterans Code, Section 485 (Departmental Overhead) | – | 42 | 42 |
| TOTALS, EXPENDITURES | $37 | $80 | $80 |

### 0592 Veteran's Farm and Home Building Fund of 1943 [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,234 | $1,296 | $1,305 |
| Military and Veterans Code Section 988 | 19,670 | 20,353 | 20,340 |
| Military and Veterans Code Section 988 (loans, debt service and taxes) | 212,383 | 210,414 | 199,350 |
| Allocation for employee compensation | 966 | 44 | – |
| Adjustment per Section 3.60 | −658 | −137 | – |
| Totals Available | $233,595 | $231,970 | $220,995 |
| Unexpended balance, estimated savings | −60 | – | – |
| TOTALS, EXPENDITURES | $233,535 | $231,970 | $220,995 |

### 0621 California Veterans' Memorial Registry Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Military and Veterans Code Section 1316 (expenditures) | – | $25 | $25 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $476 | $316 | $316 |
| TOTALS, EXPENDITURES, HEADQUARTERS (State Operations) | $235,983 | $235,001 | $224,359 |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS
### Veterans Home, Yountville

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 886.3 | 1,078.0 | 1,078.0 | $35,508 | $44,936 | $45,979 |
| Total Adjustments | – | – | – | – | 17 | 21 |
| Estimated Salary Savings | – | −53.9 | −53.9 | – | −2,248 | −2,300 |
| Net Totals, Salaries and Wages | 886.3 | 1,024.1 | 1,024.1 | $35,508 | $42,705 | $43,700 |
| Staff Benefits | – | – | – | 9,033 | 10,453 | 10,696 |
| Totals, Personal Services | 886.3 | 1,024.1 | 1,024.1 | $44,541 | $53,158 | $54,396 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $15,687 | $12,799 | $13,287 |
| TOTALS, EXPENDITURES | | | | $60,228 | $65,957 | $67,683 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### Veterans Home, Yountville
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 011 Budget Act appropriation | $25,766 | $35,469 | $33,912 |
| Allocation for employee compensation | 2,191 | 77 | – |
| Allocation for Year 2000 per Item 9904-001-0001 | 2,347 | – | – |
| Adjustment per Section 3.60 | −1,174 | −160 | – |
| Transfer to Legislative Claims (9670) | −2 | – | – |
| Prior year balances available: | | | |
| Item 8960-011-0001, Budget Act of 2000 as reappropriated by Item 8960-490, Budget Act of 2001 | – | – | 1,600 |
| Totals Available | $29,128 | $35,386 | $35,512 |
| Balance available in subsequent years | – | −1,600 | – |
| Unexpended balance, estimated savings | −502 | – | – |
| TOTALS, EXPENDITURES | $28,626 | $33,786 | $35,512 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 011 Budget Act appropriation | $10,337 | $11,027 | $10,977 |
| Allocation for employee compensation | 881 | – | – |
| Adjustment per Section 3.60 | −471 | −50 | – |
| Budget adjustment | 322 | – | – |
| TOTALS, EXPENDITURES | $11,069 | $10,977 | $10,977 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | $20,533 | $21,194 | $21,194 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $60,228 | $65,957 | $67,683 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS
### Veterans Home, Barstow

| PERSONAL SERVICES | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 231.4 | 299.5 | 299.5 | $8,807 | $12,164 | $12,610 |
| Total Adjustments | – | – | – | – | 8 | 10 |
| Estimated Salary Savings | – | −15.0 | −15.0 | – | −609 | −631 |
| Net Totals, Salaries and Wages | 231.4 | 284.5 | 284.5 | $8,807 | $11,563 | $11,989 |
| Staff Benefits | – | – | – | 2,103 | 2,358 | 2,445 |
| Totals, Personal Services | 231.4 | 284.5 | 284.5 | $10,910 | $13,921 | $14,434 |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| OPERATING EXPENSES AND EQUIPMENT | $7,627 | $7,597 | $6,239 |
| SPECIAL ITEMS OF EXPENSE | | | |
| Lease payments | 884 | 1,127 | 1,129 |
| Insurance | 17 | 50 | 60 |
| Totals, Special Items of Expense | $901 | $1,177 | $1,189 |
| TOTALS, EXPENDITURES | $19,438 | $22,695 | $21,862 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### Veterans Home, Barstow
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $8,682 | $12,412 | $12,198 |
| 003 Budget Act appropriation | 791 | 1,078 | 1,180 |
| Allocation for employee compensation | 476 | 40 | – |
| Allocation for contingencies or emergencies | 1,792 | – | – |
| Allocation for Year 2000 per Item 9904-001-0001 | 99 | – | – |
| Adjustment per Section 3.60 | −243 | −16 | – |
| Transfer to Legislative Claims (9670) | – | −14 | – |
| Pending Legislation | – | 6,500 | – |
| Totals Available | $11,597 | $20,000 | $13,378 |
| Unexpended balance, estimated savings | −150 | – | – |
| TOTALS, EXPENDITURES | $11,447 | $20,000 | $13,378 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $3,376 | $3,489 | $3,483 |
| 003 Budget Act appropriation | 164 | – | – |
| Allocation for employee compensation | 165 | – | – |
| Adjustment per Section 3.60 | −94 | −6 | – |
| Budget adjustment | 6 | −3,483 | – |
| TOTALS, EXPENDITURES | $3,617 | – | $3,483 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements for Item 8965-001-0001 | $4,374 | $2,596 | $4,992 |
| Reimbursements for Item 8965-003-0001 | – | 99 | 9 |
| TOTALS, EXPENDITURES | $4,374 | $2,695 | $5,001 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $19,438 | $22,695 | $21,862 |

### SUMMARY BY OBJECT
### 1 STATE OPERATIONS
### Veterans Home, Chula Vista

| PERSONAL SERVICES | 99–00 | 00–01 | 01–02 | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|---|---|---|
| Authorized Positions (Equals Sch. 7A) | 41.8 | 253.8 | 253.8 | $1,852 | $9,226 | $9,627 |
| Total Adjustments | – | – | 6.0 | – | 10 | 179 |
| Estimated Salary Savings | – | −12.7 | −13.0 | – | −462 | −490 |
| Net Totals, Salaries and Wages | 41.8 | 241.1 | 246.8 | $1,852 | $8,774 | $9,316 |
| Staff Benefits | – | – | – | 314 | 2,214 | 2,353 |
| Totals, Personal Services | 41.8 | 241.1 | 246.8 | $2,166 | $10,988 | $11,669 |
| OPERATING EXPENSES AND EQUIPMENT | | | | $8,905 | $6,834 | $7,071 |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

| SPECIAL ITEMS OF EXPENSE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Lease payments | – | $434 | $1,402 |
| Insurance | – | 24 | 28 |
| Totals, Special Items of Expense | – | $458 | $1,430 |
| Unallocated Savings | – | –7,615 | – |
| TOTALS, EXPENDITURES | $11,071 | $10,665 | $20,170 |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### Veterans Home, Chula Vista
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $13,017 | $10,380 | $11,327 |
| 003 Budget Act appropriation | 519 | 466 | 1,430 |
| Allocation for employee compensation | 386 | 33 | – |
| Adjustment per Section 3.60 | –230 | –4 | – |
| Totals Available | $13,692 | $10,875 | $12,757 |
| Unexpended balance, estimated savings | –2,621 | –1,894 | – |
| TOTALS, EXPENDITURES | $11,071 | $8,981 | $12,757 |

### 0890 Federal Trust Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $400 | $3,457 | $3,457 |
| 003 Budget Act appropriation | 78 | – | – |
| Allocation for employee compensation | 12 | – | – |
| Adjustment per Section 3.60 | –7 | – | – |
| Budget adjustment | –483 | –3,457 | – |
| TOTALS, EXPENDITURES | – | – | $3,457 |

### 0995 Reimbursements

| | | | |
|---|---|---|---|
| Reimbursements | – | $1,684 | $3,956 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $11,071 | $10,665 | $20,170 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) (Headquarters and Veterans Homes) | $326,720 | $334,318 | $334,074 |

### SUMMARY BY OBJECT
### 2 LOCAL ASSISTANCE

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Grants and subventions (expenditures) | $4,553 | $4,047 | $3,502 |

### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $2,350 | $2,350 | $2,350 |
| 102 Budget Act appropriation | 135 | 545 | – |
| Chapter 864, Statutes of 1999 | 1,032 | – | – |
| TOTALS, EXPENDITURES | $3,517 | $2,895 | $2,350 |

### 0083 Veterans Services Office Fund [s]

| | | | |
|---|---|---|---|
| 101 Budget Act appropriation (expenditures) | $196 | $314 | $314 |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

| 0995 Reimbursements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Reimbursements | $840 | $838 | $838 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $4,553 | $4,047 | $3,502 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | $331,273 | $338,365 | $337,576 |

---

### FUND CONDITION STATEMENT

| 0083 Veterans Service Office Fund [s] | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| BEGINNING BALANCE | $206 | $399 | $413 |
| Prior year adjustments | 35 | – | – |
| Balance, Adjusted | $241 | $399 | $413 |
| REVENUE AND TRANSFERS | | | |
| Revenues: | | | |
| 143000 Personalized license plates | 353 | 332 | 332 |
| 150300 Income from surplus money investments | 26 | 21 | 21 |
| Totals, Revenues and Transfers | $379 | $353 | $353 |
| Totals, Resources | $620 | $752 | $766 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8950 Department of Veterans Affairs: | | | |
| State Operations | 25 | 25 | 25 |
| Local Assistance | 196 | 314 | 314 |
| Totals, Disbursements | $221 | $339 | $339 |
| FUND BALANCE | $399 | $413 | $427 |
| Reserve for economic uncertainties | 399 | 413 | 427 |

| 0120 Mexican American Veterans' Beautification and Enhancement Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | $48 | $113 | $198 |
| Prior year adjustments | 2 | – | – |
| Balance, Adjusted | $50 | $113 | $198 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 3 | 10 | 18 |
| 161400 Miscellaneous Revenue | 60 | 75 | 75 |
| Totals, Revenues and Transfers | $63 | $85 | $93 |
| Totals, Resources | $113 | $198 | $291 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1730 Franchise Tax Board (State Operations) | – | – | – |
| FUND BALANCE | $113 | $198 | $291 |
| Reserve for economic uncertainties | 113 | 198 | 291 |

| 0180 Northern California Veterans Cemetery Master Development Fund [s] | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | $415 | $415 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8950 Department of Veterans Affairs (State Operations) | $35 | – | – |
| Less funding provided by General Fund (State Operations) | –450 | – | – |
| Totals, Disbursements | –$415 | – | – |
| FUND BALANCE | $415 | $415 | $415 |
| Reserve for economic uncertainties | 415 | 415 | 415 |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

| 0592 Veterans' Farm and Home Building Fund of 1943 [n] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $261,751 | $274,563 | $280,827 |
| Prior year adjustments | 7,817 | – | – |
| Balance, Adjusted | $269,568 | $274,563 | $280,827 |
| REVENUES AND TRANSFERS | | | |
| Operating Revenues: | | | |
| 213000 Property and natural resources (rent) | 614 | 614 | 614 |
| 215000 Income and investments | – | – | – |
| Contracts | 150,213 | 150,213 | 150,213 |
| Investments | 61,421 | 61,421 | 61,421 |
| 216000 Fees and licenses | 7,046 | 7,046 | 7,046 |
| 299000 Other revenues | 8,174 | 8,174 | 8,174 |
| Totals, Operating Revenues | $227,468 | $227,468 | $227,468 |
| Other Receipts: | | | |
| F00590 Transfer in Veteran's Debenture Revenue Fund | 10,766 | 10,766 | 10,766 |
| Totals, Revenues and Transfers | $238,234 | $238,234 | $238,234 |
| Totals, Resources | $507,802 | $512,797 | $519,061 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 8950 Department of Veterans Affairs: | | | |
| State Operations | 233,535 | 231,970 | 220,995 |
| Support | (21,152) | (21,556) | (21,645) |
| Insurance expense | (19,639) | (20,000) | (20,000) |
| Bad debt expense on contracts | – | – | – |
| Debt service interest expense | (192,744) | (190,414) | (179,350) |
| Totals, Disbursements | $233,535 | $231,970 | $220,995 |
| OTHER ASSETS AND LIABILITIES | | | |
| Additions: | | | |
| Change in Insurance Loss Reserve | –509 | – | – |
| Fixed assets | 805 | – | – |
| Totals, Other Assets and Liabilities | $296 | – | – |
| FUND BALANCE | $274,563 | $280,827 | $298,066 |

| CHANGES IN AUTHORIZED POSITIONS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Veterans Affairs Headquarters: | | | | | | |
| Totals, Authorized Positions | 256.5 | 328.3 | 328.3 | $12,887 | $15,128 | $15,518 |
| Proposed New Positions: | | | | Salary Range | | |
| Staff Svcs Mgr I | – | – | 1.0 | 4,520–5,453 | – | 60 |
| Assoc Govtl Prog Analyst | – | – | 1.0 | 3,915–4,759 | – | 52 |
| Totals, Proposed New Positions | – | – | 2.0 | – | – | $112 |
| Total Adjustments | – | – | 2.0 | – | – | $112 |
| TOTALS, SALARIES AND WAGES (Headquarters) | 256.5 | 328.3 | 330.3 | $12,887 | $15,128 | $15,630 |
| Veterans Home, Yountville: | | | | | | |
| Totals, Authorized Positions | 886.3 | 1,078.0 | 1,078.0 | 35,508 | 44,936 | 45,979 |
| Salary adjustments | – | – | – | – | 17 | 21 |
| Totals, Adjusted Authorized Positions | 886.3 | 1,078.0 | 1,078.0 | $35,508 | $44,953 | $46,000 |
| Proposed New Positions: | | | | | | |
| Total Adjustments | – | – | – | – | $17 | $21 |
| TOTALS, SALARIES AND WAGES (Yountville) | 886.3 | 1,078.0 | 1,078.0 | $35,508 | $44,953 | $46,000 |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

| | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| Veterans Home, Barstow: | | | | Salary Range | | |
| Totals, Authorized Positions | 231.4 | 299.5 | 299.5 | $8,807 | $12,164 | $12,610 |
| Salary adjustments | – | – | – | – | 8 | 10 |
| Totals, Adjusted Authorized Positions | 231.4 | 299.5 | 299.5 | $8,807 | $12,172 | $12,620 |
| Proposed New Positions: | | | | | | |
| Total Adjustments | – | – | – | – | $8 | $10 |
| TOTALS, SALARIES AND WAGES (Barstow) | 231.4 | 299.5 | 299.5 | $8,807 | $12,172 | $12,620 |
| Veterans Home, Chula Vista: | | | | | | |
| Totals, Authorized Positions | 41.8 | 253.8 | 253.8 | 1,852 | 9,226 | 9,627 |
| Salary adjustments | – | – | – | – | 10 | 13 |
| Totals, Adjusted Authorized Positions | 41.8 | 253.8 | 253.8 | $1,852 | $9,236 | $9,640 |
| Proposed New Positions: | | | | | | |
| Chaplaincy: | | | | | | |
| Chaplain | – | – | 1.0 | 3,466–4,331 | – | – |
| Respiratory Therapy: | | | | | | |
| Respiratory Care Practitioner | – | – | 1.0 | 2,714–3,600 | – | – |
| Quality Assurance: | | | | | | |
| Hlth and Safety Ofcr | – | – | 1.0 | 4,113–4,963 | – | 54 |
| Supvng Registered Nurse | – | – | 1.0 | 3,984–4,798 | – | 53 |
| Licensed Voc Nurse | – | – | 1.0 | 2,325–2,826 | – | 32 |
| Ofc Asst-Typing | – | – | 1.0 | 1,908–2,465 | – | 27 |
| Totals, Proposed New Positions | – | – | 6.0 | – | – | $166 |
| Total Adjustments | – | – | 6.0 | – | $10 | $179 |
| TOTALS, SALARIES AND WAGES (Chula Vista) | 41.8 | 253.8 | 259.8 | $1,852 | $9,236 | $9,806 |

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **80 CAPITAL OUTLAY** | | | |
| The Veterans' Homes of California at Yountville, Barstow, and Chula Vista provide long-term residential care for aged and/or disabled, wartime veterans. The Homes are licensed in four levels of nursing care, ranging from residential to acute care, in addition to domiciliary beds. | | | |
| **PROGRAM ELEMENTS** | | | |
| **Major Projects** | | | |
| **80.10 VETERANS' CEMETERIES** | | | |
| 80.10.010 Northern California Veterans' Cemetery | $35 [Sg] | $365 [Pg] | $50 [Wg] |
| 80.10.015 Central Coast Veterans' Cemetery | – | 140 [Sg] | – |
| **80.20 VETERANS' HOME AT YOUNTVILLE** | | | |
| 80.20.115 Correct Code Deficiencies in Section L (Domiciliary) | 397 [PWg] | 2,994 [Cg] | – |
| 80.20.260 Convert and Renovate Laundry Facility | 87 [Pg] | – | $1,762 [WCg] |
| 80.20.270 HVAC System for Lincoln Theater | 232 [WCg] | – | – |
| 80.20.271 Lincoln Theater Renovation | – | 656 [PWCb] | – |
| | – | 590 [PWCg] | – |
| | – | 9,800 [PWCr] | – |
| 80.20.280 Veterans' Home Cemetery Restoration | – | 62 [Pg] | – |
| 80.20.285 Rector Reservoir Water Treatment Plant Renovation and Upgrade | 231 [Cg] | – | – |
| 80.20.290 Remodel Holderman Rehabilitation Activity Area | 252 [PWg] | – | 1,981 [Cg] |
| 80.20.295 Hospital Emergency Notification System | – | 764 [PWCg] | – |
| 80.20.300 Renovate 1.25 Mil. Gal. Storage Tank and Transmission Line [B] | – | – | 198 [PWg] |
| This project retrofits an existing on-site water system and installs a new water transmission pipeline at the Veterans' Home at Yountville. | | | |
| **80.30 VETERANS' HOME OF SOUTHERN CALIFORNIA** | | | |
| 80.30.100 Modified Assisted Living Space, Veterans Home at Barstow | – | 254 [PWCg] | – |
| 80.30.200 Veterans' Home at Chula Vista | 559 [Cn] | – | – |
| Totals, Major Projects | $1,793 | $15,625 | $3,991 |

*** Dollars in thousands, except in Salary Range.**

## 8950 DEPARTMENT OF VETERANS AFFAIRS—Continued

| STATE BUILDING PROGRAM EXPENDITURES | Actual 1999–00* | Estimated 2000–01* | Proposed 2001–02* |
|---|---|---|---|
| **Minor Projects** | | | |
| 80.20.045 Minor projects, Yountville | $240 PNCg | – | $1,507 PWCg |
| 80.30.045 Minor projects, Barstow | – | $127 PWCr | – |
| TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY | $2,033 | $15,752 | $5,498 |
| *0001 General Fund* [g] | *1,474* | *5,169* | *5,498* |
| *0660 Public Buildings Construction Fund* [n] | *559* | – | – |
| *0768 Earthquake Safety Public Building Rehabilitation Fund of 1990* [b] | – | *656* | – |
| *0995 Reimbursements* | – | *9,927* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 3 CAPITAL OUTLAY
### 0001 General Fund [g]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (Yountville) | $976 | $4,410 | $5,448 |
| 301 Budget Act appropriation (Chula Vista) | 25 | – | – |
| 301 Budget Act appropriation (Barstow) | – | 254 | – |
| Chapter 604, Statutes of 1999 (Northern California Veterans' Cemetery) | 450 | – | – |
| Chapter 771, Statutes of 2000 (Central Coast Veterans' Cemetery) | – | 140 | – |
| Prior year balances available: | | | |
| Item 8960-301-0001, Budget Act of 1998 as reappropriated by Item 8960-490, Budget Act of 1999 | 232 | – | – |
| Chapter 604, Statutes of 1999 | – | 415 | 50 |
| Transfers to and from Government Code Section 16351.5 and 16352 | 231 | – | – |
| Totals Available | $1,914 | $5,219 | $5,498 |
| Balance available in subsequent years | −415 | −50 | – |
| Unexpended balance, estimated savings | −25 | – | – |
| TOTALS, EXPENDITURES | $1,474 | $5,169 | $5,498 |

### 0660 Public Buildings Construction Fund [n]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Transfers to and from Government Code Sections 16351.5 and 16352 (expenditures) | $559 | – | – |

### 0768 Earthquake Safety and Public Buildings Rehabilitation Fund [b]

| | | | |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation (Yountville) (expenditures) | – | $656 | – |

### 0995 Reimbursements [r]

| | | | |
|---|---|---|---|
| Reimbursements | – | $9,927 | – |

### 3013 Central Coast Veterans Cemetery Master Development Fund [s]

| | | | |
|---|---|---|---|
| Chapter 771, Statutes of 2000 | – | $140 | – |
| Less funding provided by General Fund | – | −140 | – |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | $2,033 | $15,752 | $5,498 |

*** Dollars in thousands, except in Salary Range.**

# 8970 VIETNAM VETERANS MEMORIAL COMMISSION

The Vietnam Veterans Memorial is located in Capitol Park. Chapter 740, Statutes of 1990 (AB 3628) appropriated $50,000 from contributions received for the continual maintenance and operation of the Vietnam Veterans Memorial.

| RECONCILIATION WITH APPROPRIATIONS<br>1 STATE OPERATIONS<br>0473 Vietnam Veterans Memorial Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Military and Veterans Code Section 1306 (expenditures) | $27 | $2 | $2 |

| FUND CONDITION STATEMENT<br>0473 Vietnam Veterans Memorial Account [s] | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | $52 | $28 | $28 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 150300 Income from surplus money investments | 3 | 1 | 1 |
| 161400 Miscellaneous Revenue | – | 1 | 1 |
| Totals, Revenues | $3 | $2 | $2 |
| Totals, Resources | $55 | $30 | $30 |
| EXPENDITURES | | | |
| 8970 Vietnam Veterans Memorial Commission (State Operations) | 27 | 2 | 2 |
| FUND BALANCE | $28 | $28 | $28 |
| Reserve for economic uncertainties | 28 | 28 | 28 |

# 9100 TAX RELIEF

California homeowners and renters are provided assistance through a variety of tax relief programs. Additional relief is provided to low-income senior citizens and disabled persons. Tax relief also is provided to people who agree to hold their land as open space under the Williamson Act of 1965.

This budget provides payments to cities and counties to help defray revenues lost as a result of property tax relief programs, and to individuals who qualify for special income tax offsets. Also, it includes funds for local housing authorities to rehabilitate housing units and enforce local building codes.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10 Senior Citizens' Property Tax Assistance | $15,957 | $69,619 | $24,583 |
| 20 Senior Citizens' Property Tax Deferral Program | 10,638 | 17,510 | 17,878 |
| 30 Senior Citizen Renters' Tax Assistance | 55,404 | 247,281 | 115,989 |
| 50 Homeowners' Property Tax Relief | 397,137 | 408,750 | 416,925 |
| 60 Subventions for Open Space | 36,814 | 37,900 | 38,000 |
| 90 Substandard Housing | 44 | 44 | 44 |
| 95 Vehicle License Fee Offset | 1,324,040 | 1,858,120 | 1,915,880 |
| 96 Vehicle License Fee Rebate Transfer | – | 2,052,000 | 578,016 |
| 98 State-Mandated Local Programs | 1,803 | 2,773 | 1,040 |
| TOTALS, PROGRAMS (General Fund) | $1,841,837 | $4,693,997 | $3,108,355 |

## 10 SENIOR CITIZENS' PROPERTY TAX ASSISTANCE

### Program Objectives Statement

The Senior Citizens' Property Tax Assistance Program, established in 1977, provides financial assistance to California residents who are 62 years of age or older, and to blind or disabled residents regardless of age. Each claimant must own and occupy a residential dwelling in which annual household income does not exceed a certain amount based on eligibility requirements. For 1998–99, household income may not exceed $13,200. However, Chapter 322, Statutes of 1998, increased the income limits to $33,132 beginning in 1999–00. Beginning in the year 2000, Chapter 322 requires annual indexing of the income limits. The percentage of assistance is inversely proportional to household income and is provided through a system of direct reimbursements for property taxes paid. The level of assistance ranges from 4 to 96 percent of property taxes paid on the full value of the residential dwelling up to $34,000. The 2000–01 Budget provided a one-time, 150 percent increase in participant's benefit levels.

In 2000–01, there were approximately 162,000 claimants in this program. For the budget year, an estimated 172,000 individuals will participate in this program. This program is administered by the Franchise Tax Board.

## 20 SENIOR CITIZENS' PROPERTY TAX DEFERRAL PROGRAM

### Program Objectives Statement

The Senior Citizens' Property Tax Deferral Program, established in 1977, allows eligible homeowners to defer payment of residential property taxes. The State pays the deferred taxes to local governments on behalf of the participants, and places a lien on their property to assure repayment

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9100 TAX RELIEF—Continued

when the property is sold or transferred. A variable interest rate tied to the Pooled Money Investment Account is applied upon repayment to the State. Eligibility is limited to California residents 62 years of age or older, and to disabled residents regardless of age, who own and occupy their home or mobilehome, whose principal residence is located on real property for which the person holds a possessory interest for a term of 45 years or more, or whose principal residence is a unit of a cooperative housing corporation of which the person is a tenant-stockholder. The maximum qualifying level of income for this program is $34,000 for those who filed in 1983 and $24,000 for those who filed after 1983. It is estimated that approximately 11,000 senior or disabled homeowners are participating in this program in 2000–01.

## 30 SENIOR CITIZEN RENTERS' TAX ASSISTANCE

### Program Objectives Statement

The Senior Citizen Renters' Tax Assistance Program, established in 1977, provides financial assistance to low-income renters who are 62 years of age or older, and to blind or disabled renters regardless of age. The percentage of assistance is inversely proportional to income and ranges from 4 to 96 percent of an assumed $250 property tax equivalent. The maximum qualifying level of annual income for this program is based on eligibility requirements. For 1998–99, household income may not exceed $13,200. However, Chapter 322, Statutes of 1998, increased the income limits to $33,132 for 1999–2000. Beginning in the year 2000, Chapter 322 requires annual indexing of the income limits. The 2000–01 Budget provided a one-time, 150 percent increase in participant's benefit levels. Assistance payments are prorated for claimants renting less than a full year. If a claimant owns a home for part of a year and also rents for part of the same year, a claim may be filed for either the Senior Citizens' Property Tax Assistance Program or the Senior Citizen Renters' Tax Assistance Program, but not both. In 2000–01, there were approximately 488,000 claimants in the Senior Citizen Renters' Tax Assistance Program. For the budget year, an estimated 542,000 individuals will participate in this program. This program is administered by the Franchise Tax Board.

## 50 HOMEOWNERS' PROPERTY TAX RELIEF

### Program Objectives Statement

In order to reduce the property tax burden, the California Constitution exempts homeowners from paying property taxes on the first $7,000 of the assessed value of their principal place of residence. The Constitution requires the State to reimburse local governments for revenues lost due to the homeowners' exemption. Over 5 million homeowners participate in this program.

## 60 SUBVENTIONS FOR OPEN SPACE

### Program Objectives Statement

The Williamson Act of 1965 permits cities and counties to enter into contracts with landowners to limit the use of land to agricultural, scenic and open-space purposes. Article XIII, Section 8, of the California Constitution permits land under such restrictions to be assessed at other than market value based on its limited use. The State provides reimbursements to cities, counties and school districts to partially defray the loss of property tax revenues. The subvention amount is determined by the type of land under contract: $5 per acre for prime agricultural land and $1 per acre for all other land devoted to open space uses of statewide significance. This program reflects payments to cities and counties only. School district subventions are included in apportionments for education.

Chapter 1075, Statutes of 1979, provided that land under open space contracts may be assessed under the provisions of Article XIII A of the State Constitution (Proposition 13) if the value is less than the capitalization-of-income method of valuation. State payments are made only on parcels where the value is based on capitalization of income.

Chapter 353, Statutes of 1998, allowed increased subvention payments for land currently under Williamson Act contract. Contracted land that is assessed at a lower rate than the Williamson Act subvention amounts is not eligible for subvention payments unless these lands are part of a Farmland Security Zone (FSZ) contract. Williamson Act lands previously not eligible for subventions became eligible for $5 per acre upon inclusion in a FSZ contract as a result of Chapter 353. This resulted in an increase in the amount of Williamson Act lands included in FSZ contracts in 1999–00.

In addition, Chapter 1019, Statutes of 1999, increased payments on FSZ lands that are located within a city's sphere of influence or within three miles of the city's sphere of influence. These lands are eligible to receive $8 per acre instead of $5 per acre, beginning in 2000–01.

## 90 SUBSTANDARD HOUSING

### Program Objectives Statement

Chapter 238, Statutes of 1974, provided that if a taxpayer derived rental income from substandard housing, no deduction for interest, taxes, depreciation or amortization paid in conjunction with such substandard housing would be allowed under the Personal Income Tax or Bank and Corporation Tax Law. The State retained the revenue derived from this provision. In 2000–01, $44,000 was retained by the State.

Chapter 1286, Statutes of 1978, provided that revenue derived from this provision shall be allocated to the cities and counties where the substandard housing is located and used for the enforcement of housing codes and rehabilitation of housing. On the basis of the amount retained by the State in 2000–01, the allocation for 2001–02 is proposed to be $44,000.

## 95 MOTOR VEHICLE LICENSE FEE RELIEF

### Program Objectives Statement

Chapter 322, Statutes of 1998, provides for an offset to the total amount of vehicle license fees owed by vehicle owners. This offset amount (a discount amount on the vehicle registration bill) may increase over time depending on the forecast of State General Fund revenue, as specified.

Beginning on January 1, 1999, an offset of 25 percent of the amount of VLF owed became operative. Chapter 74, Statutes of 1999, increased the offset to 35 percent for calendar year 2000. Chapter 107, Statutes of 2000, extends the 35 percent credit through calendar year 2002 and provides for an additional 32.5 percent VLF reduction, which will be returned to taxpayers in the form of a rebate. Beginning in 2003, VLF will be permanently reduced by 67.5 percent.

Chapter 322 provides for a continuous appropriation from the General Fund to replace the VLF revenue that local governments lose due to the offset. Chapter 107 requires the 32.5 percent rebate to also be paid from the General Fund. The total cost of this tax relief in 2001–02 is estimated to be $3.680 billion.

*** Dollars in thousands, except in Salary Range.**

## 9100 TAX RELIEF—Continued

### 98 STATE-MANDATED LOCAL PROGRAMS

**Program Objectives Statement**

The objective of this program is to provide funding, pursuant to Section 6 of Article XIIIB of the California Constitution, to reimburse local entities for costs they incur in complying with certain State-mandated local programs. Funding for three ongoing mandates is proposed for inclusion in the Budget Act. In addition, this budget proposes to continue the suspension of the Senior Citizen's Mobile Home Property Tax Deferral mandate (Ch 1051/83) and the Property Tax-Family Transfers mandate (Ch 48/87).

**SUMMARY BY OBJECT**
**RECONCILIATION WITH APPROPRIATIONS**
**2 LOCAL ASSISTANCE**
**0001 General Fund**

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $537,893 | $721,104 | $613,419 |
| 110 Budget Act appropriation | – | – | 578,016 |
| 295 Budget Act appropriation (State Mandates) | 1,001 | 1,007 | 1,040 |
| Revenue and Taxation Code Sections 11000 and 11000.1. Transfer to the Local Revenue Fund | 323,182 | 451,523 | 465,559 |
| Revenue and Taxation Code Sections 11000 and 11000.1. Transfer to the Motor Vehicle License Fee Account | 1,000,858 | 1,406,597 | 1,450,321 |
| Revenue and Taxation Code Section 10903. Transfer to the Special Reserve Fund for Vehicle License Fee Tax Relief (Chapter 107, Statutes of 2000) | – | 2,052,000 | – |
| Allocation for contingencies or emergencies | 314 | – | – |
| Chapter 574, Statutes of 1999 (State Mandates) | 802 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 1,766 | – |
| Chapter 615, Statutes of 2000 | – | 60,000 | – |
| Totals Available | $1,864,050 | $4,693,997 | $3,108,355 |
| Unexpended balance, estimated savings | –22,213 | – | – |
| TOTALS, EXPENDITURES (Local Assistance) | $1,841,837 | $4,693,997 | $3,108,355 |

## 9210 LOCAL GOVERNMENT FINANCING

Local governments receive a variety of subventions from the State for designated purposes such as health, welfare, and public safety programs. The State also reimburses local governments for revenue lost due to the establishment of tax exemptions. These local assistance expenditures are reflected in the individual budget areas for each specific program. In addition, several programs have been established to provide general purpose revenue to counties, cities, and special districts when special circumstances have occurred. The Local Government Financing program includes those payments to local government where the funds may be used for any general government purpose as well as funds for one-time, designated purposes.

The special supplemental subventions program for redevelopment agencies (RDAs) has recently been only for those relatively few RDAs that had disproportionately relied on the earlier subvention program for revenue to support bond debt, and to those RDAs for which special supplemental subventions historically provided more than 10 percent of their total revenues. The 2001–02 Budget proposes a $1.6 million appropriation for those RDAs which pledged the subvention for payment of debt service on bonds and can demonstrate that tax increment revenues are insufficient to cover debt service requirements for those bonds.

Chapter 914, Statutes of 1995 (AB 818), provided for a total of $60 million in loans to eligible counties in fiscal years 1995–96, 1996–97, and 1997–98 to enhance the counties' current property tax systems. Chapter 420, Statutes of 1997, extended the Property Tax Administration Loan Program through 2000–01. Chapter 602, Statutes of 2000 (AB 1036), extended the program through 2001–02. County eligibility is determined by a county's ability to reduce the State's General Fund allocation to schools by generating or preserving additional property tax revenue through increased performance of the assessor's office.

Chapter 134, Statutes of 1996, established the Citizens' Option for Public Safety Program (COPS), which provided $100 million in General Fund monies to local jurisdictions for public safety purposes in 1997–98. Chapter 289, Statutes of 1997, provided for $100 million to be appropriated in the Budget Act annually to fund the COPS program through 1999–00. Chapter 353, Statutes of 2000 (AB 1913), extended the COPS program through 2001–02 and also added funding for juvenile crime prevention programs. The 2001–02 Budget proposes $242.6 million to extend funding through 2001–02.

Funding has been included in Local Government Financing, pursuant to Section 6 of Article XIIIB of the California Constitution, to reimburse local entities for costs they incur in complying with certain State-mandated local programs. This budget proposes to continue the suspension of six programs, Chapter 845/78—Filipino employee surveys, Chapter 1281/80—Involuntary lien notices, Chapter 889/81—Lis Pendens, Chapter 980/84—Proration of fines and court audits, Chapter 1609/84—Domestic violence information and Chapter 1334/87—CPR Pocket Masks.

Chapter 903, Statutes of 2000 (AB 1396), appropriated a $212 million subvention to local jurisdictions for local agency fiscal relief. Of this amount, $100 million is allocated to counties, cities, cities and counties, and special districts based on their contribution to the county Educational Revenue Augmentation Fund (ERAF). An additional $2 million is allocated to parks and recreation districts based on their ERAF contribution. $100 million is allocated to counties, cities, and cities and counties on a per capita basis. An additional $10 million is allocated to counties on a per capita basis. For 2001–02, $250 million in discretionary local government relief is proposed.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9210 LOCAL GOVERNMENT FINANCING—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Aid to Local Government (counties) | $249,845 | $446,361 | $423,994 |
| Citizens' Option for Public Safety | 100,000 | 242,600 | 242,600 |
| Special Supplemental Subventions | 3,500 | 1,600 | 600 |
| State-Mandated Local Programs | 6,001 | 6,072 | 6,266 |
| TOTALS, PROGRAMS | $359,346 | $696,633 | $673,460 |
| *0001 General Fund* | *359,346* | *696,633* | *673,460* |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 2 LOCAL ASSISTANCE
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 101 Budget Act appropriation | $100,000 | – | $242,600 |
| 102 Budget Act appropriation (Subvention to Local Jurisdictions) | – | – | 250,000 |
| 103 Budget Act appropriation (redevelopment agencies) | 3,500 | $1,600 | 1,200 |
| 104 Budget Act appropriation (City of San Diego Point Loma Fire Station #22; Orange County Coroner; Imperial County consolidation) | – | 10,800 | – |
| 105 Budget Act appropriation (City of of Santa Ana Zoo Commission; City of Antioch Capital Improvements; City of Rialto Imaging System; San Bernardino County Registrar of Voters; Santa Barbara County Casa de la Raza; Mendocino County Mobile Spay/Neuter Van; Marin County detoxification facility; San Joaquin County Mary Graham Children's Complex; City of Downey animal shelter; City of Avalon storm water diverters; City of Santa Clarita diaper recycling facility) | – | 3,548 | – |
| 106 Budget Act appropriation (local law enforcement high technology equipment grants) | – | 75,000 | 75,000 |
| 110 Budget Act appropriation (Local Assistance to counties that do not contain incorporated cities) | 147 | 147 | 147 |
| 115 Budget Act appropriation (City of Cerritos Sheriff Communication Center; City of Pacifica Police Facility; Orange County Regional Fire Operations; Shasta Civil Air Patrol Composite Squadron) | 3,766 | – | – |
| 116 Budget Act appropriation (Hawaiian Gardens RDA Computer Center) | 200 | – | – |
| 118 Budget Act appropriation (Subvention to Local Jurisdictions for ERAF relief) | 150,000 | – | – |
| 119 Budget Act appropriation (LAFCO Study on secession of San Fernando Valley from Los Angeles) | 1,800 | – | – |
| 295 Budget Act appropriation (State Mandates) | 6,001 | 6,072 | 6,266 |
| Revenue and Taxation Code Section 95.31 (Property Tax Loan Program) | 50,924 | 49,501 | 60,000 |
| Chapter 79, Statutes of 1999 (Jail Booking Fee Subvention to Cities) | 35,833 | 38,047 | 38,047 |
| Chapter 574, Statutes of 1999 (State Mandates) | 5,324 | – | – |
| Chapter 924, Statutes of 1999 (LAFCO Study on secession of Harbor Area from Los Angeles) | 320 | – | – |
| Chapter 986, Statutes of 1999 (City of Los Angeles Parks and Recreation Griffith Park Observatory renovation; Town of Apple Valley, Lewis Center for Earth Science; LAFCO Study, Harbor Area Secession Study from Los Angeles) | 2,320 | – | – |
| Chapter 996, Statutes of 1999 (City and County of San Francisco public transit surveillance cameras) | 1,800 | – | – |
| Chapter 1003, Statutes of 1999: | | | |
| Job Training Centers for the Cities of Oxnard and Ventura | 300 | – | – |
| Imperial County Ambulances | 120 | – | – |
| Chapter 177, Statutes of 2000 (State Mandates) | – | 56,440 | – |
| Chapter 353, Statutes of 2000 (Citizens' Option for Public Safety and Juvenile Justice programs) | – | 242,600 | – |
| Chapter 903, Statutes of 2000 (local government fiscal relief) | – | 212,000 | – |
| Prior year balances available: | | | |
| Item 9210-103-0001, Budget Act of 1998 | 2,500 | – | – |
| Item 9210-103-0001, Budget Act of 1999 | – | 1,750 | – |
| Item 9210-103-0001, Budget Act of 2000 | – | – | 800 |
| Chapter 986, Statutes of 1999 (City of Los Angeles Parks and Recreation Griffith Park Observatory renovation: Town of Apple Valley, Lewis Center for Earth Science, LAFCO Study, Harbor Area Secession Study from Los Angeles) | – | 1,000 | – |
| Totals Available | $364,855 | $698,505 | $674,060 |
| Balance available in subsequent years | –2,750 | –800 | –600 |
| Unexpended balance, estimated savings | –2,759 | –1,072 | – |
| TOTALS, EXPENDITURES (Local Assistance) | $359,346 | $696,633 | $673,460 |

*** Dollars in thousands, except in Salary Range.**

# 9350 SHARED REVENUES

The purpose of the Shared Revenue program is the maintenance of the fiscal strength of the various governmental entities throughout the State. This is accomplished by the apportionment of special moneys collected by the State to local government on the basis of statutory formulas.

| Program Requirements | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| TOTALS, SHARED REVENUES | $3,338,006 | $2,471,538 | $4,860,444 |
| *0001 General Fund* | *73* | *250* | *202,222* |
| *0494 Special funds* | *3,307,428* | *2,436,963* | *4,623,897* |
| *0895 Federal funds* | *30,505* | *34,325* | *34,325* |

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 2 LOCAL ASSISTANCE
## 0001 General Fund

| APPORTIONMENT OF TIDELAND REVENUES | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| A portion of the revenues received from tide and submerged lands is apportioned to each city or county having within its boundaries such lands granted to it by the State for which the State has reserved the rights to the mineral deposits. Tideland apportionment payments are calculated at 1 percent of revenues paid to the State. This revenue must be used for commerce, navigation, fisheries, protection of lands, or for beaches and the coastline. (Section 6817 of the Public Resources Code.) (expenditures) (9460) | $73 | $250 | $250 |
| APPORTIONMENT OF TRANSPORTATION INVESTMENT FUND | | | |
| Chapter 91, Statutes of 2000, created the Transportation Investment Fund and specified that cities and counties would each receive 20 percent of the sales tax on gasoline. | | | |
| Transfer to Transportation Investment Fund 3008 (9535) | – | – | 170,894 |
| APPORTIONMENT OF TRAILER VEHICLE LICENSE FEE BACKFILL FOR INTERNATIONAL REGISTRATION PLAN CONFORMITY | | | |
| Chapter 861, Statutes of 2000, removed vehicle license fees from commercial trailers in order to comply with International Registration Plan standards. Chapter 861 requires the State to reimburse cities and counties with equal shares of the Vehicle License Fee (VLF) revenue they would have received if the VLF had been paid for trailers. | | | |
| To cities | – | – | 15,539 |
| To counties | – | – | 15,539 |
| Subtotal (9430) | – | – | $31,078 |
| Totals, Apportionment of General Fund | $73 | $250 | $202,222 |

### 0034 Geothermal Resources Development Account [s]

| APPORTIONMENT OF GEOTHERMAL RESOURCES DEVELOPMENT | | | |
|---|---|---|---|
| Forty percent of all money received from the federal government for geothermal leases is paid to each county based on its pro rata share of geothermal lease sale property. (Section 3821 of the Public Resources Code.) | | | |
| To counties (expenditures) (9520) | $949 | $933 | $933 |

### 0062 Highway Users Tax Account, Transportation Tax Fund [s]

| APPORTIONMENT OF MOTOR VEHICLE FUEL TAX FOR COUNTY ROADS | | | |
|---|---|---|---|
| An amount equal to the revenue derived from 2.035 cents per gallon tax under the Motor Vehicle Fuel License Tax Law and 1.8 cents per gallon tax under the Use Fuel Tax Law and Diesel Fuel Tax Law is apportioned monthly among counties for maintenance of county roads. Payments are made for engineering costs, administrative expenses, snow removal, and heavy rainfall and storm damage. The majority of the money is apportioned based on the number of registered vehicles and miles of maintained county roads. (Section 2104 of the Streets and Highways Code.) | | | |
| To counties (expenditures) (9480) | $319,169 | $328,623 | $337,490 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9350 SHARED REVENUES—Continued

| APPORTIONMENT OF MOTOR VEHICLE FUEL TAX FOR CITY STREETS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| An amount equal to the revenue derived from 1.315 cents per gallon tax under the Motor Vehicle Fuel License Tax Law, 2.59 cents per gallon tax under the Use Fuel Tax Law and 1.8 cents per gallon under the Diesel Fuel Tax Law is apportioned monthly to cities for maintenance of city streets. Payments are made for engineering costs, administrative expenses, and snow removal. Most of the revenue is distributed to cities based on population. (Sections 2107 and 2107.5 of the Streets and Highways Code.) | | | |
| To cities (expenditures) (9490) | $227,425 | $229,652 | $236,202 |
| APPORTIONMENT OF MOTOR VEHICLE FUEL TAX FOR COUNTY ROADS AND CITY STREETS | | | |
| An amount equal to the revenue derived from 1.04 cents per gallon tax under the Motor Vehicle Fuel License Tax Law is apportioned as follows: $400 per month to each city and city and county, $800 per month to each county and city and county, and $83,333 per month to the Bicycle Lane Account in the State Transportation Fund. The balance of the revenue is apportioned based on registered vehicles in each county. The county receives the percentage of the revenue equal to the share of assessed value in the unincorporated area of the county. Cities in the county are apportioned the remainder based on population. (Section 2106 of the Streets and Highways Code.) | | | |
| To counties and cities (expenditures) (9500) | 141,405 | 142,687 | 140,362 |
| APPORTIONMENT OF MOTOR VEHICLE FUEL TAX TO COUNTIES AND CITIES FOR STREET AND HIGHWAY PURPOSES | | | |
| An amount equal to the sum of the net revenue from a tax of 11.5 percent of any per-gallon tax in excess of nine cents per gallon under the Motor Vehicle Fuel License Tax Law, Use Fuel Tax Law and Diesel Fuel Tax Law is apportioned monthly to cities and counties. Apportionments to counties are based on receipts under Sections 2104 and 2106 of the Streets and Highways Code, on the number of fee-paid and exempt vehicles registered in each county, and on the number of miles of county-maintained roads. Apportionments to cities are based on population. (Section 2105 of the Streets and Highways Code.) | | | |
| To counties and cities (expenditures) (9505) | 334,772 | 349,410 | 359,742 |
| Totals, Apportionment of Motor Vehicle Fuel Tax (expenditures) | $1,022,771 | $1,050,372 | $1,073,796 |

### 0064 Motor Vehicle License Fee Account, Transportation Tax Fund [s]

| APPORTIONMENT OF MOTOR VEHICLE LICENSE FEES | | | |
|---|---|---|---|
| A license fee is imposed annually on vehicles at a sum equal to 2 percent of the market value based on a depreciation schedule. Chapter 87, Statutes of 1991, increased the factors of that depreciation schedule, resulting in increased Motor Vehicle License Fee revenues. The increased revenues are used to fund a portion of the realignment of State and local fiscal responsibilities relating to two major health and welfare programs. Motor Vehicle License Fee revenues are apportioned monthly. Legislation enacted in 1992 eliminated the apportionment of trailer coach fees as of September 15, 1992, and required the fees to be deposited in the General Fund. Revenue and Taxation Code Section 11005 provided that 18.75 percent of Motor Vehicle License Fees be distributed to cities which had not levied a property tax prior to Proposition 13 and to counties. Chapter 1211, Statutes of 1987, ended the allocation to these cities, beginning in fiscal year 1988–89. Chapter 944, Statutes of 1988, restored the allocation of revenue to the "no property tax cities". Amounts received are reduced by the amount of property tax revenues received as a result of the implementation of the Brown-Presley Trial Court Funding Act of 1988 (Chapter 945, Statutes of 1988). Distribution to counties is based on the amount of Personal Property Tax Relief Subventions received in 1982–83 and population. Chapter 322, Statutes of 1998, provided for a credit of 25 percent to vehicle owners on the amount of vehicle license fees paid in calendar year 1999. In addition, Chapter 322 provides that the General Fund will backfill the reduction in motor vehicle license fees to local governments, as specified. Chapter 74, Statutes of 1999, increased the credit to 35 percent for the 2000 calendar year. | | | |
| To cities | $1,333,952 | $1,453,429 | $1,548,654 |
| To counties | 1,949,622 | 2,124,243 | 2,263,418 |
| Subtotal | $3,283,574 | $3,577,672 | $3,812,072 |

*** Dollars in thousands, except in Salary Range.**

## 9350 SHARED REVENUES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Less Funding Provided by the General Fund per Revenue and Taxation Code Sections 11005 and 11005.1 | –$1,000,858 | –$1,406,597 | –$1,450,321 |
| Totals, Apportionment of Motor Vehicle License Fees (expenditures) (9430) | $2,282,716 | $2,171,075 | $2,361,751 |

### 0261 Off-Highway License Fee Fund [s]

APPORTIONMENT OF OFF-HIGHWAY LICENSE FEES

A four-dollar fee is imposed on every off-highway motor vehicle, in addition to registration fees, in lieu of all taxes levied on value for State or local purposes. Fifty percent is paid to counties and cities and counties based on population and fifty percent is paid to cities and cities and counties based on population. The payments are made each July and January. (Sections 38230 and 38240 of the Vehicle Code.)

| | | | |
|---|---|---|---|
| To cities | $496 | $500 | $500 |
| To counties | 496 | 500 | 500 |
| Totals, Apportionment of Off-Highway License Fees (expenditures) (9380) | $992 | $1,000 | $1,000 |

### 0874 United States Flood Control Receipts Fund [f]

APPORTIONMENT OF FEDERAL RECEIPTS FROM FLOOD CONTROL LANDS

Money received from the federal government for lands acquired for flood purposes is prorated to the counties in which such lands are located. Payment is made each January.

| | | | |
|---|---|---|---|
| To counties (expenditures) (9390) | $203 | $225 | $225 |

### 0878 United States Forest Reserve Fund [f]

APPORTIONMENT OF FEDERAL RECEIPTS FROM FOREST RESERVES

Money received from the federal government for the State's share of receipts from forest reserves is prorated to counties in which such forest reserves are located. Payments are made each November and December.

| | | | |
|---|---|---|---|
| To counties (expenditures) (9400) | $28,634 | $32,000 | $32,000 |

### 0882 United States Grazing Fee Fund [f]

APPORTIONMENT OF FEDERAL RECEIPTS FROM GRAZING LAND

Money received from the federal government for grazing land is prorated to counties in which such grazing lands are located. Payment is made each February.

| | | | |
|---|---|---|---|
| To counties (expenditures) (9410) | $75 | $100 | $100 |

### 0890 Federal Trust Fund [f]

APPORTIONMENT OF FEDERAL POTASH LEASE RENTALS

Money received from the federal government for potash lands in California is prorated to school districts. Payments are made monthly. (expenditures)

| | | | |
|---|---|---|---|
| (9420) | $1,593 | $2,000 | $2,000 |

### 3007 Traffic Congestion Relief Fund [s]

APPORTIONMENT OF TRAFFIC CONGESTION RELIEF FUND

Pursuant to Chapter 91, Statutes of 2000, $400 million in funds from the Traffic Congestion Relief Fund were allocated to cities and counties for street and road maintenance, rehabilitation, and reconstruction.

| | | | |
|---|---|---|---|
| Totals, Apportionment of Traffic Congestion Relief Fund (9533) | – | $400,000 | – |

*** Dollars in thousands, except in Salary Range.**

## 9350 SHARED REVENUES—Continued

### 3008 Transportation Investment Fund [s]

| APPORTIONMENT OF TRANSPORTATION INVESTMENT FUND | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Chapter 91, Statutes of 2000, created the Transportation Investment Fund and specified that cities and counties would each receive 20 percent of the sales tax on gasoline. | | | |
| To cities | – | – | $85,447 |
| To counties | – | – | 85,447 |
| Subtotal | – | – | $170,894 |
| Less funding provided by the General Fund per Revenue and Taxation Code Section 2104C(4)(5) | – | – | –170,894 |
| Totals, Apportionment of Transportation Investment Fund (9535) | – | – | – |

### 3011 Special Reserve Fund For Vehicle License Fee Tax Relief

| REBATE OF MOTOR VEHICLE LICENSE FEES | | | |
|---|---|---|---|
| Chapter 107, Statutes of 2000, enacted a rebate program for calendar years 2001 and 2002 of an additional 32.5 percent in addition to the current 35 percent reduction, for a total Vehicle License Fee reduction for vehicle owners of 67.5 percent. Chapter 107 provides for a permanent 67.5 percent reduction beginning in calendar year 2003. | | | |
| Rebates to Motor Vehicle License Fee taxpayers | – | $865,583 | $1,764,433 |
| Less Funding provided by the General Fund per Revenue and Taxation Code Section 10903 | – | –2,052,000 | –578,016 |
| Totals, Rebates of Motor Vehicle License Fees (expenditures) (9430) | – | –$1,186,417 | $1,186,417 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | $3,338,006 | $2,471,538 | $4,860,444 |
| *General Fund* | *73* | *250* | *202,222* |
| *Special funds* | *3,307,428* | *2,436,963* | *4,623,897* |
| *Federal funds* | *30,505* | *34,325* | *34,325* |

---

### FUND CONDITION STATEMENT
### 0062 Highway Users Tax Account, Transportation Tax Fund [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Transfers from Other Funds: | | | |
| F00061 Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code Section 8353 | $2,918,371 | $3,011,880 | $3,097,355 |
| Transfers to Other Funds: | | | |
| T00042 State Highway Account, State Transportation Fund: | | | |
| Motor Vehicle Fuel Tax (for State highways) per Streets and Highways Code Section 2108 | –1,579,007 | –1,643,858 | –1,685,669 |
| Use Fuel Tax per Streets and Highways Code Section 2108 | –307,122 | –306,918 | –321,454 |
| Streets and Highways Code Sections 2107.6 and 2104.1 | –4,297 | –5,000 | –5,000 |
| Totals, Transfers to State Highway Account, State Transportation Fund | –$1,890,426 | –$1,955,776 | –$2,012,123 |
| T00045 Bicycle Lane Account, State Transportation Fund per Streets and Highways Code Section 2106 | –1,000 | –1,500 | –7,200 |
| T00392 State Parks and Recreation Fund per Budget Act Item 3790-011-0062 | –3,400 | –3,400 | –3,400 |
| Totals, Transfers to Other Funds | –$1,894,826 | –$1,960,676 | –$2,022,723 |
| Totals, Revenues and Transfers | $1,023,545 | $1,051,204 | $1,074,632 |
| Totals, Resources | $1,023,545 | $1,051,204 | $1,074,632 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 0840 State Controller (administrative costs) (State Operations) | 774 | 832 | 836 |
| 9350 Shared Revenues: | | | |
| Local Assistance: | | | |
| Apportionment for County Roads: | | | |
| Motor Vehicle Fuel Tax (Streets and Highways Code Section 2104) (9480) | 319,169 | 328,623 | 337,490 |

*** Dollars in thousands, except in Salary Range.**

## 9350 SHARED REVENUES—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Apportionment for City Streets: | | | |
| Motor Vehicle Fuel Tax (Streets and Highways Code Section 2107.5) (9490) | $2,468 | $2,481 | $2,500 |
| Motor Vehicle Fuel Tax (Streets and Highways Code Section 2107) (9490) | 224,957 | 227,171 | 233,702 |
| Apportionment for Cities and Counties: | | | |
| Motor Vehicle Fuel Tax (Streets and Highways Code Section 2106) (9500) | 141,405 | 142,687 | 140,362 |
| Apportionment for Cities and Counties: | | | |
| Motor Vehicle Fuel Tax (Streets and Highways Code Section 2105) (9505) | 334,772 | 349,410 | 359,742 |
| Totals, Disbursements | $1,023,545 | $1,051,204 | $1,074,632 |
| FUND BALANCE | – | – | – |

### 0261 Off-Highway License Fee Fund [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | $483 | – | – |
| Prior year adjustments | –483 | – | – |
| Balance, Adjusted | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 114300 Other motor vehicle fees | 982 | $990 | $990 |
| 150300 Income from surplus money investments | 7 | 7 | 7 |
| Transfers from Other Funds: | | | |
| F00261 SMIF interest transfer per Government Code Section 16475 | 3 | 3 | 3 |
| Totals, Revenues and Transfers | $992 | $1,000 | $1,000 |
| Totals, Resources | $992 | $1,000 | $1,000 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 9350 Shared Revenues: | | | |
| Local Assistance: | | | |
| (9380) Apportionments: | | | |
| To cities | 496 | 500 | 500 |
| To counties | 496 | 500 | 500 |
| Totals, Disbursements | $992 | $1,000 | $1,000 |
| FUND BALANCE | – | – | – |

### 3011 Special Reserve Fund for Vehicle License Fee Tax Relief [s]

| | | | |
|---|---|---|---|
| BEGINNING BALANCE | – | – | $1,186,417 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 9350 Shared Revenue: | | | |
| Local Assistance: | | | |
| (9430) Rebates to Taxpayers | – | $865,583 | 1,764,433 |
| Totals, Disbursements | – | $865,583 | $1,764,433 |
| Expenditure Reductions: | | | |
| 9350 Shared Revenue: | | | |
| Local Assistance: | | | |
| (9430) Rebates: | | | |
| Less funding provided by the General Fund | – | –2,052,000 | –578,016 |
| Totals, Expenditures | – | –$1,186,417 | $1,186,417 |
| FUND BALANCE | – | $1,186,417 | – |
| Reserve for economic uncertainties | – | 1,186,417 | – |

*** Dollars in thousands, except in Salary Range.**

# DEBT SERVICE
# 9600 GENERAL OBLIGATION BONDS AND COMMERCIAL PAPER

The general obligation bond and commercial paper interest and redemption program is based upon the debt service cash needs of the related programs. Assumptions of the debt service on the remaining 2000–01 and 2001–02 General Obligation bond sales are reflected in this budget. These assumptions are anticipated by the State Treasurer's Office. For information on issued and unissued bonds, total amounts of authorized bonds, and proposed sales, please refer to Summary Schedule 11. Summary Schedule 11 is contained in the Appendix of the Governor's Budget Summary.

| **Program Requirements** | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Bond Interest and Redemption | $2,021,865 | $2,269,196 | $2,572,133 |
| Less amounts paid from other funds | –25,973 | –25,555 | –48,930 |
| Variable Rate Bond Expenses | – | – | 1,540 |
| Commercial Paper Interest and Expenses | 29,778 | 42,802 | 58,158 |
| TOTALS, EXPENDITURES (General Fund) | $2,025,670 | $2,286,443 | $2,582,901 |

## SUMMARY BY OBJECT

| SPECIAL ITEMS OF EXPENSE | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Bonds: Interest | $939,270 | $1,079,963 | $1,208,927 |
| Redemption | 1,082,595 | 1,189,233 | 1,363,206 |
| Less General Fund amounts replenished from other funds for debt service | –5,760 | –5,555 | –28,930 |
| Less loan repayment to General Fund from other funds | –20,213 | –20,000 | –20,000 |
| Variable Rate Bond Expenses | – | – | 1,540 |
| Commercial Paper: Expenses | 1,949 | 2,041 | 2,208 |
| Interest | 27,829 | 40,761 | 55,950 |
| Totals, Debt Service, General Fund | $2,025,670 | $2,286,443 | $2,582,901 |

## RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

## BUSINESS, TRANSPORTATION AND HOUSING

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| California Earthquake Safety and Housing Rehabilitation (1988): | | | |
| Chapter 27, Statutes of 1988: | | | |
| Bonds: Interest | $7,784 | $7,784 | $3,892 |
| Redemption | 5 | 5 | 95,505 |
| Total | $7,789 | $7,789 | $99,397 |
| Clean Air and Transportation Improvements (1990): | | | |
| Public Utilities Code Section 99600 et seq. | | | |
| Bonds: Interest | 60,702 | 62,704 | 66,709 |
| Redemption | 56,480 | 60,655 | 39,232 |
| Variable Rate Bond Expenses | – | – | 40 |
| Commercial Paper: Expenses | 94 | 64 | 64 |
| Interest | 1,384 | 1,263 | 1,620 |
| Total | $118,660 | $124,686 | $107,665 |
| Housing and Homeless (1988): | | | |
| Chapter 48, Statutes of 1988: | | | |
| Bonds: Interest | 9,245 | 9,114 | 4,524 |
| Redemption | 2,305 | 2,305 | 111,705 |
| Total | $11,550 | $11,419 | $116,229 |
| Housing and Homeless (1990): | | | |
| Chapter 577, Statutes of 1990: | | | |
| Bonds: Interest | 6,393 | 6,282 | 3,312 |
| Redemption | 2,000 | 2,000 | 71,935 |
| Total | $8,393 | $8,282 | $75,247 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## DEBT SERVICE
## 9600 GENERAL OBLIGATION BONDS AND COMMERCIAL PAPER—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Passenger Rail and Clean Air (1990): | | | |
| Chapter 108, Statutes of 1989: | | | |
| Bonds: Interest | $39,672 | $37,715 | $36,243 |
| Redemption | 52,045 | 53,540 | 31,802 |
| Variable Rate Bond Expenses | – | – | 6 |
| Commercial Paper: Expenses | 8 | 7 | 9 |
| Interest | 125 | 163 | 221 |
| Total | $91,850 | $91,425 | $68,281 |
| Seismic Retrofit (1996): | | | |
| Chapter 310, Statutes of 1996: | | | |
| Bonds: Interest | 39,318 | 55,656 | 61,442 |
| Redemption | 32,240 | 39,965 | 45,242 |
| Variable Rate Bond Expenses | – | – | 69 |
| Commercial Paper: Expenses | 177 | 87 | 102 |
| Interest | 2,666 | 1,753 | 2,586 |
| Total | $74,401 | $97,461 | $109,441 |
| Totals, Business, Transportation and Housing (2830) | $312,643 | $341,062 | $576,260 |
| **NATURAL RESOURCES** | | | |
| California Park and Recreational Facilities (1984): | | | |
| Chapter 5, Statutes of 1984: | | | |
| Bonds: Interest | $13,087 | $11,866 | $10,742 |
| Redemption | 18,315 | 18,315 | 15,229 |
| Total | $31,402 | $30,181 | $25,971 |
| California Parklands (1980): | | | |
| Chapter 250, Statutes of 1980: | | | |
| Bonds: Interest | 4,575 | 3,864 | 3,182 |
| Redemption | 8,715 | 8,715 | 7,935 |
| Total | $13,290 | $12,579 | $11,117 |
| California Safe Drinking Water (1976): | | | |
| Chapter 1008, Statutes of 1975: | | | |
| Bonds: Interest | 4,530 | 4,028 | 3,556 |
| Redemption | 6,315 | 6,435 | 6,300 |
| Commercial Paper: Expenses | – | – | 1 |
| Interest | – | – | 19 |
| Total | $10,845 | $10,463 | $9,876 |
| California Safe Drinking Water (1984): | | | |
| Chapter 378, Statutes of 1984: | | | |
| Bonds: Interest | 2,695 | 2,439 | 2,200 |
| Redemption | 3,740 | 3,740 | 3,060 |
| Total | $6,435 | $6,179 | $5,260 |
| California Safe Drinking Water (1986): | | | |
| Chapter 410, Statutes of 1986: | | | |
| Bonds: Interest | 3,810 | 4,128 | 3,990 |
| Redemption | 4,280 | 4,490 | 2,964 |
| Variable Rate Bond Expenses | – | – | 1 |
| Commercial Paper: Expenses | – | 1 | 2 |
| Interest | – | – | 53 |
| Total | $8,090 | $8,619 | $7,010 |
| California Safe Drinking Water (1988): | | | |
| Chapter 45, Statutes of 1988: | | | |
| Bonds: Interest | 2,621 | 2,824 | 2,956 |
| Redemption | 2,575 | 2,925 | 2,543 |
| Variable Rate Bond Expenses | – | – | 2 |
| Commercial Paper: Expenses | 11 | 3 | 4 |
| Interest | 132 | 41 | 92 |
| Total | $5,339 | $5,793 | $5,597 |
| California Safe Drinking Water (2000): | | | |
| Chapter 725, Statutes of 1999: | | | |
| Bonds: Interest | – | 2,548 | 18,019 |
| Redemption | – | – | 7,747 |
| Variable Rate Bond Expenses | – | – | 141 |
| Commercial Paper: Expenses | – | 140 | 195 |
| Interest | – | 2,812 | 4,943 |
| Total | – | $5,500 | $31,045 |

*** Dollars in thousands, except in Salary Range.**

## DEBT SERVICE
## 9600 GENERAL OBLIGATION BONDS AND COMMERCIAL PAPER—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| California Wildlife, Coast, and Park Land Conservation (1988): | | | |
| Public Recources Code Sec. 5900 et seq.: | | | |
| Bonds: Interest | $31,442 | $29,453 | $27,932 |
| Redemption | 36,320 | 36,045 | 23,148 |
| Variable Rate Bond Expenses | – | – | 1 |
| Commercial Paper: Expenses | – | 2 | 1 |
| Interest | – | 40 | 25 |
| Total | $67,762 | $65,540 | $51,107 |
| Clean Water (1970): | | | |
| Chapter 508, Statutes of 1970: | | | |
| Bonds: Interest | 380 | 351 | 321 |
| Redemption | 500 | 500 | 500 |
| Total | $880 | $851 | $821 |
| Clean Water (1974): | | | |
| Chapter 994, Statutes of 1973: | | | |
| Bonds: Interest | 746 | 687 | 642 |
| Redemption | 1,030 | 1,030 | 505 |
| Total | $1,776 | $1,717 | $1,147 |
| Clean Water (1984): | | | |
| Chapter 377, Statutes of 1984: | | | |
| Bonds: Interest | 7,731 | 7,054 | 6,392 |
| Redemption | 10,465 | 10,280 | 8,685 |
| Total | $18,196 | $17,334 | $15,077 |
| Community Parklands (1986): | | | |
| Chapter 5, Statutes of 1986: | | | |
| Bonds: Interest | 3,867 | 3,564 | 3,295 |
| Redemption | 5,075 | 5,075 | 3,665 |
| Total | $8,942 | $8,639 | $6,960 |
| Fish and Wildlife Habitat Enhancement (1984): | | | |
| Chapter 6, Statutes of 1984: | | | |
| Bonds: Interest | 2,898 | 2,601 | 2,319 |
| Redemption | 4,085 | 4,085 | 3,674 |
| Commercial Paper: Interest | – | – | 3 |
| Total | $6,983 | $6,686 | $5,996 |
| Lake Tahoe Acquisitions (1982): | | | |
| Chapter 305, Statutes of 1982: | | | |
| Bonds: Interest | 2,921 | 2,635 | 2,377 |
| Redemption | 4,405 | 4,405 | 3,355 |
| Total | $7,326 | $7,040 | $5,732 |
| Safe, Clean, Reliable Water (1996): | | | |
| Chapter 135, Statutes of 1996: | | | |
| Bonds: Interest | 8,445 | 11,673 | 18,150 |
| Redemption | 5,200 | 6,950 | 11,031 |
| Variable Rate Bond Expenses | – | – | 53 |
| Commercial Paper: Expenses | 78 | 69 | 73 |
| Interest | 1,084 | 1,386 | 1,855 |
| Total | $14,807 | $20,078 | $31,162 |
| Safe Neighborhood Parks (2000): | | | |
| Chapter 461, Statutes of 1999: | | | |
| Bonds: Interest | – | 3,322 | 16,953 |
| Redemption | – | – | 8,833 |
| Variable Rate Bond Expenses | – | – | 54 |
| Commercial Paper: Expenses | – | 156 | – |
| Interest | – | 3,139 | – |
| Total | – | $6,617 | $25,840 |
| State Beach, Park, Recreational and Historic Facilities (1974): | | | |
| Chapter 912, Statutes of 1972, as amended by Chapters 550, 1064, and 1121, Statutes of 1973: | | | |
| Bonds: Interest | 245 | 188 | 131 |
| Redemption | 620 | 620 | 620 |
| Total | $865 | $808 | $751 |

*** Dollars in thousands, except in Salary Range.**

## DEBT SERVICE
## 9600 GENERAL OBLIGATION BONDS AND COMMERCIAL PAPER—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| State, Urban and Coastal Park (1976): | | | |
| Chapter 259, Statutes of 1976: | | | |
| Bonds: Interest | $1,464 | $1,298 | $1,138 |
| Redemption | 2,275 | 2,275 | 2,120 |
| Total | $3,739 | $3,573 | $3,258 |
| Water Conservation (1988): | | | |
| Chapter 46, Statutes of 1988: | | | |
| Bonds: Interest | 1,747 | 1,917 | 1,984 |
| Redemption | 1,595 | 1,845 | 1,731 |
| Variable Rate Bond Expenses | – | – | 2 |
| Commercial Paper: Expenses | 9 | 1 | 2 |
| Interest | 108 | 41 | 52 |
| Total | $3,459 | $3,804 | $3,771 |
| Water Conservation and Water Quality (1986): | | | |
| Chapter 6, Statutes of 1986: | | | |
| Bonds: Interest | 4,300 | 4,894 | 4,908 |
| Redemption | 5,305 | 5,605 | 3,008 |
| Variable Rate Bond Expenses | – | – | 2 |
| Commercial Paper: Expenses | – | 3 | 3 |
| Interest | – | 41 | 78 |
| Total | $9,605 | $10,543 | $7,999 |
| Less loan repayment to General Fund | −20,213 | −20,000 | −20,000 |
| Totals, Natural Resources (3882) | $199,528 | $212,544 | $235,497 |
| **ENVIRONMENTAL PROTECTION** | | | |
| Clean Water and Water Conservation (1978): | | | |
| Chapter 1160, Statutes of 1977: | | | |
| Bonds: Interest | $5,794 | $4,896 | $4,003 |
| Redemption | 11,285 | 11,285 | 10,865 |
| Total | $17,079 | $16,181 | $14,868 |
| Clean Water and Water Reclamation (1988): | | | |
| Chapter 47, Statutes of 1988: | | | |
| Bonds: Interest | 2,593 | 2,666 | 2,568 |
| Redemption | 2,440 | 2,865 | 1,035 |
| Total | $5,033 | $5,531 | $3,603 |
| Hazardous Substance Cleanup (1984): | | | |
| (Reimbursed from Superfund Bond Trust Fund) | | | |
| Chapter 376, Statutes of 1984: | | | |
| Bonds: Interest | (3,255) | (3,050) | (1,845) |
| Redemption | (2,505) | (2,505) | (27,085) |
| Total | ($5,760) | ($5,555) | ($28,930) |
| Totals, Environmental Protection (3996) | $22,112 | $21,712 | $18,471 |
| **HEALTH AND HUMAN SERVICES** | | | |
| Senior Center (1984): | | | |
| Chapter 575, Statutes of 1984: | | | |
| Bonds: Interest | $1,234 | $1,051 | $867 |
| Redemption | 2,500 | 2,500 | 2,500 |
| Total | $3,734 | $3,551 | $3,367 |
| Totals, Health and Human Services (5206) | $3,734 | $3,551 | $3,367 |
| **YOUTH AND ADULT CORRECTIONAL** | | | |
| County Correctional Facilities Capital Expenditure (1986): | | | |
| Chapter 12, Statutes of 1986: | | | |
| Bonds: Interest | $19,371 | $17,871 | $16,695 |
| Redemption | 25,640 | 25,640 | 12,990 |
| Total | $45,011 | $43,511 | $29,685 |
| County Correctional Facilities Capital Expenditures and Youth Facilities (1988): | | | |
| Chapter 264, Statutes of 1988: | | | |
| Bonds: Interest | 20,486 | 19,763 | 18,727 |
| Redemption | 24,905 | 24,220 | 14,151 |
| Commercial Paper: Expenses | 12 | – | – |
| Interest | 172 | – | 8 |
| Total | $45,575 | $43,983 | $32,886 |

*** Dollars in thousands, except in Salary Range.**

## DEBT SERVICE
## 9600 GENERAL OBLIGATION BONDS AND COMMERCIAL PAPER—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| County Jail Capital Expenditure (1982): | | | |
| Chapter 34, Statutes of 1982: | | | |
| Bonds: Interest | $8,133 | $7,087 | $6,051 |
| Redemption | 13,575 | 13,575 | 13,575 |
| Total | $21,708 | $20,662 | $19,626 |
| County Jail Capital Expenditure (1984): | | | |
| Chapter 4, Statutes of 1984: | | | |
| Bonds: Interest | 7,244 | 6,325 | 5,395 |
| Redemption | 12,500 | 12,500 | 12,500 |
| Total | $19,744 | $18,825 | $17,895 |
| New Prison Construction (1982): | | | |
| Chapter 273, Statutes of 1981: | | | |
| Bonds: Interest | 10,823 | 8,981 | 7,125 |
| Redemption | 22,250 | 22,250 | 22,250 |
| Total | $33,073 | $31,231 | $29,375 |
| New Prison Construction (1984): | | | |
| Chapter 4, Statutes of 1984: | | | |
| Bonds: Interest | 7,229 | 5,999 | 4,800 |
| Redemption | 15,000 | 15,000 | 15,000 |
| Total | $22,229 | $20,999 | $19,800 |
| New Prison Construction (1986): | | | |
| Chapter 409, Statutes of 1986: | | | |
| Bonds: Interest | 18,204 | 16,596 | 15,053 |
| Redemption | 26,535 | 26,535 | 24,933 |
| Variable Rate Bond Expenses | – | – | 1 |
| Commercial Paper: Expenses | – | – | 1 |
| Interest | – | – | 19 |
| Total | $44,739 | $43,131 | $40,007 |
| New Prison Construction (1988): | | | |
| Chapter 43, Statutes of 1988: | | | |
| Bonds: Interest | 31,263 | 28,824 | 26,526 |
| Redemption | 43,940 | 41,065 | 36,494 |
| Commercial Paper: Expenses | 6 | 2 | – |
| Interest | 97 | 41 | – |
| Total | $75,306 | $69,932 | $63,020 |
| New Prison Construction (1990): | | | |
| Chapter 16, Statutes of 1990: | | | |
| Bonds: Interest | 16,985 | 16,249 | 15,408 |
| Redemption | 22,725 | 23,600 | 22,484 |
| Variable Rate Bond Expenses | – | – | 2 |
| Commercial Paper: Expenses | 16 | 6 | 2 |
| Interest | 239 | 122 | 45 |
| Total | $39,965 | $39,977 | $37,941 |
| Totals, Youth and Adult Correctional (5996) | $347,350 | $332,251 | $290,235 |

### EDUCATION K–12

| | | | |
|---|---|---|---|
| California Library Construction and Renovation (1988): | | | |
| Chapter 49, Statutes of 1988: | | | |
| Bonds: Interest | $3,096 | $2,929 | $2,819 |
| Redemption | 3,380 | 3,380 | 1,561 |
| Commercial Paper: Expenses | 1 | – | – |
| Interest | 14 | – | – |
| Total | $6,491 | $6,309 | $4,380 |
| California Library Construction and Renovation (2000): | | | |
| Chapter 726, Statutes of 1999: | | | |
| Bonds: Interest | – | 18 | 106 |
| Redemption | – | – | 48 |
| Variable Rate Bond Expenses | – | – | 1 |
| Commercial Paper: Expenses | – | 1 | 1 |
| Interest | – | – | 21 |
| Total | – | $19 | $177 |

*** Dollars in thousands, except in Salary Range.**

## DEBT SERVICE
## 9600 GENERAL OBLIGATION BONDS AND COMMERCIAL PAPER—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Class Size Reduction (1998): | | | |
| Chapter 407, Stautes of 1998: | | | |
| Bonds: Interest | $21,549 | $148,491 | $264,925 |
| Redemption | 3,535 | 75,015 | 152,197 |
| Variable Rate Bond Expenses | – | – | 894 |
| Commercial Paper: Expenses | 1,070 | 1,161 | 1,364 |
| Interest | 15,304 | 23,193 | 34,558 |
| Total | $41,458 | $247,860 | $453,938 |
| Public Education Facilities (1996): | | | |
| Chapter 1, Statutes of 1996: | | | |
| Bonds: Interest | 90,043 | 90,478 | 90,186 |
| Redemption | 65,865 | 68,755 | 70,337 |
| Variable Rate Bond Expenses | – | – | 30 |
| Commercial Paper: Expenses | 53 | 28 | 45 |
| Interest | 666 | 571 | 1,152 |
| Total | $156,627 | $159,832 | $161,750 |
| School Building and Earthquake (1974): | | | |
| Chapter 1, Statutes of 1996 (repealed and reenacted as Chapter 552, Statutes of 1995): | | | |
| Bonds: Interest | 2,020 | 1,900 | 1,827 |
| Redemption | 1,335 | 1,335 | 1,335 |
| Total | $3,355 | $3,235 | $3,162 |
| School Facilities 1988 (June): | | | |
| Chapter 25, Statutes of 1988: | | | |
| Bonds: Interest | 30,611 | 28,029 | 25,543 |
| Redemption | 41,160 | 40,260 | 36,305 |
| Total | $71,771 | $68,289 | $61,848 |
| School Facilities 1990 (November): | | | |
| Chapter 578, Statutes of 1990: | | | |
| Bonds: Interest | 30,822 | 29,260 | 28,127 |
| Redemption | 40,225 | 40,680 | 9,999 |
| Variable Rate Bond Expenses | – | – | 2 |
| Commercial Paper: Expenses | 11 | 2 | 3 |
| Interest | 147 | 41 | 69 |
| Total | $71,205 | $69,983 | $38,200 |
| School Facilities 1992 (June): | | | |
| Chapter 12, Statutes of 1992: | | | |
| Bonds: Interest | 73,084 | 69,584 | 66,840 |
| Redemption | 96,315 | 95,270 | 52,596 |
| Variable Rate Bond Expenses | – | – | 12 |
| Commercial Paper: Expenses | 16 | 12 | 18 |
| Interest | 233 | 244 | 461 |
| Total | $169,648 | $165,110 | $119,927 |
| State School Building Lease-Purchase (1982): | | | |
| Chapter 410, Statutes of 1982: | | | |
| Bonds: Interest | 9,381 | 7,507 | 5,628 |
| Redemption | 19,585 | 19,585 | 19,585 |
| Total | $28,966 | $27,092 | $25,213 |
| State School Building Lease-Purchase (1984): | | | |
| Chapter 375, Statutes of 1984: | | | |
| Bonds: Interest | 13,779 | 12,242 | 10,696 |
| Redemption | 22,500 | 22,500 | 22,500 |
| Total | $36,279 | $34,742 | $33,196 |
| State School Building Lease-Purchase (1986): | | | |
| Chapter 423, Statutes of 1986: | | | |
| Bonds: Interest | 30,278 | 27,645 | 24,981 |
| Redemption | 40,000 | 40,000 | 40,000 |
| Total | $70,278 | $67,645 | $64,981 |
| 1988 School Facilities (November): | | | |
| Chapter 42, Statutes of 1988: | | | |
| Bonds: Interest | 31,416 | 29,665 | 27,469 |
| Redemption | 38,740 | 39,035 | 34,655 |
| Variable Rate Bond Expenses | – | – | 1 |

*** Dollars in thousands, except in Salary Range.**

## DEBT SERVICE
## 9600 GENERAL OBLIGATION BONDS AND COMMERCIAL PAPER—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Commercial Paper: Expenses | $22 | $1 | $1 |
| Interest | 271 | – | 30 |
| Total | $70,449 | $68,701 | $62,156 |
| 1990 School Facilities (June): | | | |
| Chapter 24, Statutes of 1990: | | | |
| Bonds: Interest | 31,437 | 29,214 | 27,724 |
| Redemption | 39,915 | 39,920 | 17,355 |
| Variable Rate Bond Expenses | – | – | 2 |
| Commercial Paper: Expenses | – | 2 | 3 |
| Interest | 4 | 41 | 73 |
| Total | $71,356 | $69,177 | $45,157 |
| 1992 School Facilities (November): | | | |
| Chapter 117, Statutes of 1992: | | | |
| Bonds: Interest | 35,871 | 37,725 | 32,568 |
| Redemption | 43,975 | 49,963 | 34,836 |
| Variable Rate Bond Expenses | – | – | 2 |
| Commercial Paper: Expenses | 15 | 1 | 3 |
| Interest | 191 | 41 | 85 |
| Total | $80,052 | $87,730 | $67,494 |
| Totals, Education—K–12 (6396) | $877,935 | $1,075,724 | $1,141,579 |

### HIGHER EDUCATION

| | | | |
|---|---|---|---|
| Class Size Reduction (1998): | | | |
| Chapter 407, Statutes of 1998: | | | |
| Bonds: Interest | –$414 | $8,724 | $25,989 |
| Redemption | – | 3,105 | 13,669 |
| Variable Rate Bond Expenses | – | – | 134 |
| Commercial Paper: Expenses | 84 | 162 | 201 |
| Interest | 1,289 | 3,220 | 5,101 |
| Total | $959 | $15,211 | $45,094 |
| Higher Education Facilities (1986): | | | |
| Chapter 424, Statutes of 1986: | | | |
| Bonds: Interest | 14,509 | 13,186 | 11,847 |
| Redemption | 20,000 | 20,000 | 20,000 |
| Total | $34,509 | $33,186 | $31,847 |
| Higher Education Facilities (1988): | | | |
| Chapter 44, Statutes of 1988: | | | |
| Bonds: Interest | 22,907 | 21,106 | 19,410 |
| Redemption | 31,255 | 29,590 | 26,327 |
| Commercial Paper: Expenses | 2 | 1 | – |
| Interest | 31 | – | – |
| Total | $54,195 | $50,697 | $45,737 |
| Higher Education Facilities (June 1990): | | | |
| Chapter 6, Statutes of 1990: | | | |
| Bonds: Interest | 17,572 | 16,502 | 15,701 |
| Redemption | 23,130 | 22,315 | 11,868 |
| Variable Rate Bond Expenses | – | – | 1 |
| Commercial Paper: Expenses | 7 | 2 | 2 |
| Interest | 86 | 41 | 58 |
| Total | $40,795 | $38,860 | $27,630 |
| Higher Education Facilities (June 1992): | | | |
| Chapter 13, Statutes of 1992: | | | |
| Bonds: Interest | 39,213 | 37,708 | 36,301 |
| Redemption | 39,025 | 38,320 | 28,046 |
| Variable Rate Bond Expenses | – | – | 2 |
| Commercial Paper: Expenses | 12 | 4 | 3 |
| Interest | 165 | 82 | 69 |
| Total | $78,415 | $76,114 | $64,421 |

*** Dollars in thousands, except in Salary Range.**

## DEBT SERVICE
## 9600 GENERAL OBLIGATION BONDS AND COMMERCIAL PAPER—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Public Education Facilities (1996): | | | |
| Chapter 1, Statutes of 1996: | | | |
| Bonds: Interest | $24,790 | $40,368 | $46,947 |
| Redemption | 16,210 | 27,455 | 32,591 |
| Variable Rate Bond Expenses | – | – | 53 |
| Commercial Paper: Expenses | 202 | 87 | 58 |
| Interest | 2,804 | 1,753 | 1,474 |
| Total | $44,006 | $69,663 | $81,123 |
| Totals, Higher Education | $252,879 | $283,731 | $295,852 |
| Community Colleges (6874) | 78,595 | 84,098 | 87,691 |
| Other Higher Education (7996) | 174,284 | 199,633 | 208,161 |
| **GENERAL GOVERNMENT** | | | |
| Earthquake Safety and Public Building Rehabilitation (1990): | | | |
| Chapter 23, Statutes of 1990: | | | |
| Bonds: Interest | $4,074 | $7,693 | $10,716 |
| Redemption | 4,755 | 7,405 | 9,353 |
| Variable Rate Bond Expenses | – | – | 31 |
| Commercial Paper: Expenses | 43 | 34 | 47 |
| Interest | 617 | 652 | 1,176 |
| Total | $9,489 | $15,784 | $21,323 |
| Veterans' Homes (2000): | | | |
| Chapter 728, Statutes of 1999: | | | |
| Bonds: Interest | – | 42 | 205 |
| Redemption | – | – | 107 |
| Variable Rate Bond Expenses | – | – | 1 |
| Commercial Paper: Expenses | – | 2 | – |
| Interest | – | 40 | 4 |
| Total | – | $84 | $317 |
| Totals, General Government (8998) | $9,489 | $15,868 | $21,640 |
| TOTALS, EXPENDITURES (State Operations) | $2,025,670 | $2,286,443 | $2,582,901 |

## 9610 LEASE-REVENUE NOTES AND BONDS

This budget is limited to those long-term lease arrangements, generally resulting in a capital acquisition or improvement, for which a bond or note has been issued as the underlying source of funds. This budget reflects expenditures for the appropriations necessary for the lease payments which are contained in the support budgets of the affected departments or agencies and may vary from this display because of reappropriations from prior years savings or reimbursements from bond surplus accounts. This display is intended to meet the needs of the financial community for summary information relating to lease-revenue debt. The proposed sales detailed in this budget are anticipated by the Department of Finance and the State Treasurer's Office.

**Summary of Issued Bonds**
**(In millions)**

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| UNIVERSITY OF CALIFORNIA | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| High Technology Bond of 1987 Series A—Santa Barbara | $17,390 | – | – | $1,310 | $1,307 |
| High Technology Bond of 1986 Series A—San Diego | 48,905 | – | – | 4,939 | 4,939 |
| High Technology Bond of 1986 Series A—Irvine | 6,325 | – | – | 623 | 623 |
| High Technology Bond of 1988 Series A—Berkeley | 48,020 | – | – | 4,386 | 4,381 |
| Various UC Projects of 1990—Series A | | | | | |
| Berkeley Genetics | 21,455 | – | – | 1,803 | 1,803 |
| Davis Meyer Hall (Food and Ag) | 49,740 | – | – | 4,178 | 4,178 |
| Davis Shields Library | 24,024 | – | – | 2,017 | 2,017 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9610 LEASE-REVENUE NOTES AND BONDS—Continued

### Summary of Issued Bonds—Continued
(In millions)

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| Irvine Biological Sciences 2 | $47,443 | – | – | $4,146 | $4,146 |
| Irvine Physical Sciences 2 | 31,669 | – | – | 2,659 | 2,659 |
| Irvine MK Cancer Center Module | 10,637 | – | – | 965 | 965 |
| L.A. SEAS Expands and Hazard Gas | 57,113 | – | – | 5,191 | 5,191 |
| San Diego Grad. School of Inter. Rel | 8,794 | – | – | 737 | 737 |
| San Diego Sea Water System, Scripps | 4,714 | – | – | 396 | 396 |
| Santa Barbara Bio Tech Sea Water Lab | 8,219 | – | – | 690 | 690 |
| Santa Cruz Natural Science, Unit 3 | 23,419 | – | – | 1,969 | 1,969 |
| Various UC Projects of 1992—Series A | | | | | |
| UCB Doe & Moffit Library Addition | 53,000 | – | – | 4,032 | 4,029 |
| UCB Life Sciences Building Renovation | 56,485 | – | – | 4,234 | 4,237 |
| UCD Med Center Intensive Care Unit | 2,840 | – | – | 206 | 204 |
| UCD Med Center Operating Room | 6,225 | – | – | 468 | 461 |
| UCD Engineering Unit 2 | 37,600 | – | – | 2,778 | 2,778 |
| UCI Med Center Psych Inpatient Fac | 19,045 | – | – | 1,388 | 1,388 |
| UCI Science Library | 35,410 | – | – | 2,617 | 2,614 |
| UCI Engineering Unit 2 | 34,145 | – | – | 2,559 | 2,562 |
| UCLA Powell Library Interim Staging | 2,335 | – | – | 168 | 167 |
| UCSD Med Center Inpatient Tower | 41,530 | – | – | 3,034 | 3,034 |
| UCSD Central Library Addition | 35,220 | – | – | 2,564 | 2,570 |
| UCSD Visual Arts Facility | 11,225 | – | – | 825 | 819 |
| UCSB Physical Sciences Building | 32,565 | – | – | 2,406 | 2,404 |
| UCSC Earth/Marine Sciences Building | 37,635 | – | – | 2,785 | 2,792 |
| Various UC Projects of 1993—Series B | | | | | |
| Berkeley Northwest Animal Facility | 17,219 | – | – | 1,376 | 1,378 |
| Davis Social Sci/Human Building and Equipment | 27,616 | – | – | 2,092 | 2,094 |
| Los Angeles Anderson Grad. School | 29,878 | – | – | 2,165 | 2,163 |
| Los Angeles Powell Library | 38,743 | – | – | 2,808 | 2,806 |
| Riverside Engineering Unit 1 and Equipment | 43,832 | – | – | 3,394 | 3,394 |
| San Diego Engineering Unit 2 and Equipment | 25,117 | – | – | 1,989 | 1,984 |
| Various UC Projects of 1994—Series A | | | | | |
| Riverside Humanities/Social Sciences | 19,916 | – | – | 1,743 | 1,746 |
| San Diego Social Sciences Building | 14,090 | – | – | 1,234 | 1,234 |
| UCSB Humanities/Social Sciences | 31,834 | – | – | 2,791 | 2,788 |
| Various UC Projects of 1994—Series B | | | | | |
| Irvine Social Sciences Unit 2 | 35,244 | – | – | 2,810 | 2,810 |
| UCSB Bio. Sci./Psych Renovation | 2,750 | – | – | 216 | 220 |
| Riverside Humanities/Soc. Sci., Equipment | 1,016 | – | – | 168 | 166 |
| San Diego Engineering, Equipment | 1,904 | – | – | 314 | 312 |
| San Diego Social Sciences, Equipment | 973 | – | – | 160 | 159 |
| Santa Barbara Physical Sciences, Equipment | 423 | – | – | 69 | 71 |
| Various UC Projects of 1997—Series C | | | | | |
| Berkeley Dwinelle Hall | 11,247 | – | – | 825 | 827 |
| Berkeley Doe Library | 5,227 | – | – | 383 | 383 |
| Davis Environmental Services Facility | 14,098 | – | – | 1,052 | 1,046 |
| Irvine Main Library | 5,069 | – | – | 363 | 362 |
| Irvine Humanities/Fine Arts | 19,009 | – | – | 1,309 | 1,308 |
| Irvine Central Plant Chiller | 5,861 | – | – | 425 | 423 |
| UCLA Law Library | 12,989 | – | – | 950 | 950 |
| UCLA Chemistry/Biology | 13,306 | – | – | 967 | 967 |
| Riverside Science Lab | 28,671 | – | – | 2,047 | 2,040 |
| San Diego Engineering Unit 2 | 792 | – | – | 146 | 141 |
| San Diego Bonner Hall | 6,811 | – | – | 492 | 494 |
| San Diego MC North Annex | 6,336 | – | – | 459 | 457 |
| Santa Barbara Physical Sciences | 15,207 | – | – | 1,125 | 1,127 |
| Santa Cruz Arts Facility | 13,781 | – | – | 998 | 998 |
| Subtotal, Base Rental/Debt Service | $1,262,086 | – | – | $100,943 | $100,908 |
| Variable Costs (Admin. and Insurance) | – | – | – | 617 | 607 |
| Total, University of California | $1,262,086 | – | – | $101,560 | $101,515 |

*** Dollars in thousands, except in Salary Range.**

# 9610 LEASE-REVENUE NOTES AND BONDS—Continued

## Summary of Issued Bonds—Continued
(In millions)

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| CALIFORNIA STATE UNIVERSITY | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| High Technology Lease Revenue Bond of 1986—Series A—San Jose | $38,030 | – | – | $4,062 | $4,062 |
| CSU Library Projects of 1990—Series A | | | | | |
| Chico Library | 2,362 | – | – | 171 | 171 |
| CSU Long Beach Library | 6,143 | – | – | 502 | 503 |
| CSU Northridge Library | 19,375 | – | – | 1,487 | 1,483 |
| CSU Sacramento Library | 19,375 | – | – | 1,548 | 1,554 |
| Various CSU Projects of 1992—Series A | | | | | |
| Fullerton Science Addition | 26,835 | – | – | 2,154 | 2,158 |
| Fresno Engineering East | 7,850 | – | – | 637 | 633 |
| Chico/O'Connell Tech Center | 9,855 | – | – | 794 | 792 |
| Fresno Farm Lab | 7,855 | – | – | 637 | 633 |
| Humboldt Founder's Hall Renovation | 8,395 | – | – | 679 | 675 |
| Pomona Classroom/Lab/Admin Building | 32,400 | – | – | 2,600 | 2,604 |
| San Marcos/San Diego North | 19,250 | – | – | 1,550 | 1,546 |
| San Francisco Art/Industry | 20,645 | – | – | 1,665 | 1,659 |
| SLO Dairy Science Building | 5,430 | – | – | 444 | 444 |
| Pomona Lab Facility | 1,870 | – | – | 150 | 152 |
| San Bernardino Science Building | 21,860 | – | – | 1,753 | 1,761 |
| Long Beach Dance Facility | 30,920 | – | – | 2,484 | 2,485 |
| Northridge Bus Admin/Ed Building | 28,510 | – | – | 2,288 | 2,294 |
| Sacramento Classroom/Office/Lab | 9,540 | – | – | 773 | 771 |
| Bakersfield Stiern Library | 18,100 | – | – | 1,456 | 1,455 |
| Fresno Education Building | 16,955 | – | – | 1,367 | 1,363 |
| Fullerton Classroom/Student Services | 12,225 | – | – | 988 | 986 |
| Various CSU Projects of 1993—Series A | | | | | |
| Chico Ayers Hall | 2,824 | – | – | 203 | 203 |
| Hayward Art/Education Building | 2,415 | – | – | 174 | 174 |
| Fullerton Science Phase 1 Equipment | 3,595 | – | – | 589 | – |
| Long Beach Music Building | 3,079 | – | – | 221 | 221 |
| Long Beach Art/Science Renovation | 21,044 | – | – | 1,548 | 1,547 |
| Northridge Engineering Renovation | 9,928 | – | – | 714 | 713 |
| Pomona Classroom/Lab/Administration Phase I, Equipment | 6,833 | – | – | 1,119 | – |
| Sacramento Classroom/Lab/Office Equipment | 1,250 | – | – | 205 | – |
| San Bernardino Library | 17,245 | – | – | 1,240 | 1,239 |
| San Bernardino Library, Equipment | 4,420 | – | – | 724 | – |
| San Bernardino Bus./Info. Sci., Equipment | 4,298 | – | – | 704 | – |
| San Francisco Classroom/Faculty Building | 23,049 | – | – | 1,659 | 1,657 |
| San Francisco Classroom/Faculty Equipment | 2,675 | – | – | 438 | – |
| Various CSU Projects of 1994—Series A | | | | | |
| Bakersfield Music Building Addition | 2,420 | – | – | 181 | 178 |
| Bakersfield Stiern Library Equipment | 3,335 | – | – | 735 | 734 |
| Fresno Education Building Equipment | 4,385 | – | – | 968 | 965 |
| Fullerton Classroom/Stu/Fac Office, Equipment | 1,495 | – | – | 330 | 329 |
| Fullerton Library Building, Equipment | 27,475 | – | – | 2,053 | 2,055 |
| Long Beach P.E. Building Addition | 13,515 | – | – | 1,000 | 1,001 |
| Long Beach P.E. Addition, Equipment | 465 | – | – | 625 | 627 |
| Long Beach Dance Facility/Aud., Equipment | 3,535 | – | – | 257 | 251 |
| San Bernardino Health/P.E./Classroom Complex | 27,110 | – | – | 2,007 | 2,005 |
| San Diego Library Addition | 31,145 | – | – | 2,353 | 2,354 |
| San Francisco Arts/Industrial Addition, Equipment | 1,100 | – | – | 244 | 247 |
| SLO, Performing Arts Center | 19,525 | – | – | 1,473 | 1,476 |
| Various CSU Projects of 1995—Series A | | | | | |
| Bakersfield Library, Equipment | 885 | – | – | 171 | 168 |
| Long Beach Applied Arts & Sci, Equipment | 2,835 | – | – | 547 | 547 |
| Northridge Engineering Addition, Equipment | 3,830 | – | – | 740 | 741 |
| Sacramento Student Service Center, Equipment | 540 | – | – | 103 | 103 |
| San Bernardino Health/PE/Fac Ofc, Equipment | 2,515 | – | – | 484 | 487 |
| San Luis Obispo Performing Arts, Equipment | 2,110 | – | – | 410 | 406 |
| Bakersfield Library Remodel | 4,540 | – | – | 399 | 400 |

*** Dollars in thousands, except in Salary Range.**

## 9610 LEASE-REVENUE NOTES AND BONDS—Continued

### Summary of Issued Bonds—Continued
(In millions)

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| Dominguez Hills Initial Building Renov & Equipment | $2,950 | – | – | $258 | $258 |
| Northridge PE Addition & Renov | 14,375 | – | – | 1,256 | 1,258 |
| Sacramento Student Service Center Remodel/Expan | 4,745 | – | – | 416 | 422 |
| Various CSU Projects of 1995—Series Bd | | | | | |
| Long Beach Engineering/Comp Sci/Math Lab/Ofc | 16,200 | – | – | 1,373 | 1,368 |
| San Luis Obispo Ag. Science | 8,005 | – | – | 662 | 660 |
| Various CSU Projects of 1997—Series C | | | | | |
| Los Angeles Admin Building, Seismic | 3,692 | – | – | 256 | 257 |
| SLO Dairy Science II Equipment | 599 | – | – | 209 | 210 |
| Humboldt East Gym Seismic Safety | 682 | – | – | 47 | 46 |
| San Francisco Ed Building | 832 | – | – | 289 | 291 |
| Pomona Envir. Design/Seismic Safety | 1,131 | – | – | 79 | 78 |
| Fullerton Library Addition Equipment | 2,495 | – | – | 867 | 867 |
| Fullerton Plan Library Seismic Safety | 6,719 | – | – | 470 | 468 |
| Humboldt Griffith Hall Seismic Safety | 881 | – | – | 62 | 61 |
| Humboldt Seimens Hall Seismic | 865 | – | – | 61 | 60 |
| San Bernardino Library Seismic Safety | 6,320 | – | – | 442 | 440 |
| Long Beach Macintosh Hall Seismic | 1,414 | – | – | 98 | 101 |
| San Jose Morris Daily Auditorium | 832 | – | – | 60 | 59 |
| Northridge Center Plant/Utility Ifras., I & II | 28,722 | – | – | 2,022 | 2,025 |
| Northridge PE Addition/Renovation | 665 | – | – | 227 | 227 |
| San Bernardino PE Seismic Safety | 699 | – | – | 48 | 47 |
| SLO Poultry Science Unit Equipment | 133 | – | – | 45 | 43 |
| San Bernardino Renov/Chiller/Cent Plant | 1,148 | – | – | 79 | 78 |
| Fresno Renov/Upgr High Volt Dist System | 1,763 | – | – | 124 | 122 |
| Los Angeles Renov/Upgr Sewer/Wtr Dist | 2,362 | – | – | 163 | 165 |
| San Diego Library Addition | 3,875 | – | – | 1,342 | 1,341 |
| Humboldt Science Building & Lab Renovation | 67 | – | – | 23 | 27 |
| Hayward Science Building Renovation | 12,540 | – | – | 886 | 887 |
| San Francisco Seismic Rehab Admin Building | 12,074 | – | – | 841 | 843 |
| San Francisco Center Plant & Utilities | 24,248 | – | – | 1,706 | 1,709 |
| San Francisco Corporation Yard | 7,733 | – | – | 544 | 545 |
| Los Angeles Simpson Tower Seismic | 4,557 | – | – | 318 | 317 |
| Los Angeles Thermal Energy Storage | 7,151 | – | – | 497 | 499 |
| SLO Upgrade HV Electric I | 7,484 | – | – | 526 | 528 |
| SLO Upgrade Utilities Heat/Water Dist | 22,286 | – | – | 1,573 | 1,572 |
| San Bernardino Visual Arts Equipment | 1,846 | – | – | 640 | 636 |
| Bakersfield Walter Steim Library Equipment | 499 | – | – | 170 | 172 |
| Subtotal, Base Rental/Debt Service | $831,784 | – | – | $73,486 | $69,699 |
| Variable Costs (Administration and Insurance) | – | – | – | 683 | 750 |
| Total, California State University | $831,784 | – | – | $74,169 | $70,449 |
| CALIFORNIA COMMUNITY COLLEGES | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Various CCC Projects of 1991—Series A | | | | | |
| Allan Hancock Humanities | $3,222 | – | – | $269 | $270 |
| Kern/Bakersfield Science Lab | 988 | – | – | 81 | 78 |
| Kern/Cerro Coso Physical Ed Fac | 5,728 | – | – | 482 | 475 |
| Los Angeles Mission, Instructional/Admin Building | 10,155 | – | – | 846 | 852 |
| Mendocino-Lake, Outdoor Physical Education | 2,900 | – | – | 242 | 244 |
| Mt. San Jacinto, West Center Permanent Facilities | 5,032 | – | – | 421 | 422 |
| Orange Coast Biology | 552 | – | – | 45 | 44 |
| Napa Valley, Child Care Center | 2,033 | – | – | 160 | 159 |
| Riverside/Moreno, Permanent Building: Phase I | 9,378 | – | – | 782 | 785 |
| Riverside/Norco, Permanent Building: Phase I | 8,881 | – | – | 748 | 738 |
| San Diego Miramar, Instructional Center | 3,750 | – | – | 313 | 312 |
| West Hills Library Addition | 648 | – | – | 55 | 58 |
| Kern/Porterville Instructional Building | 4,511 | – | – | 372 | 377 |

*** Dollars in thousands, except in Salary Range.**

# 9610 LEASE-REVENUE NOTES AND BONDS—Continued

## Summary of Issued Bonds—Continued
(In millions)

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| Various CCC Projects of 1992—Series A | | | | | |
| Allan Hancock Secondary Renovation | $1,904 | – | – | $142 | $144 |
| Glendale Remodel Classrooms | 2,422 | – | – | 180 | 181 |
| Pasadena Library | 13,727 | – | – | 1,035 | 1,033 |
| South County-Chabot LRC/Offices | 6,626 | – | – | 536 | 538 |
| Marin-Indian Valley Welding/Mach. Shop | 738 | – | – | 53 | 52 |
| South Orange County CCD, Irvine Indoor P.E. | 2,309 | – | – | 200 | 196 |
| South Orange County CCD, Irvine Outdoor P.E. | 2,654 | – | – | 175 | 171 |
| Foothill Computer/Electronics/Telecom | 16,802 | – | – | 1,271 | 1,274 |
| Desert Library Learning Resource Center | 1,737 | – | – | 148 | 154 |
| Desert Student Service Center | 1,679 | – | – | 140 | 142 |
| Peralta Merrit Conversion of Space | 1,283 | – | – | 112 | 109 |
| Mt. San Jacinto Business/Tech Building | 3,842 | – | – | 366 | 365 |
| Feather River Science Module | 1,614 | – | – | 131 | 132 |
| Chaffey LRC Remodel/Expansion | 2,112 | – | – | 161 | 158 |
| San Joaquin Child Care Dev. Facility | 3,403 | – | – | 281 | 125 |
| Sequoias Home Ec. Classroom Building | 4,641 | – | – | 376 | 372 |
| Victor Valley Indoor P.E. Gym | 5,440 | – | – | 414 | 415 |
| Yuba/Woodland Learning Resource Center | 3,089 | – | – | 251 | 250 |
| Santa Monica Technical Building | 4,828 | – | – | 365 | 367 |
| Santa Barbara Bus. Comm. Center | 7,410 | – | – | 563 | 561 |
| Antelope Valley Library Building | 5,797 | – | – | 440 | 440 |
| Mendocino Lake Fine Arts Building | 9,152 | – | – | 699 | 699 |
| Lake Tahoe Child Care Development | 1,197 | – | – | 95 | 246 |
| Cerritos Learning Resource Center | 6,789 | – | – | 516 | 519 |
| East L.A. Vocational Building | 3,917 | – | – | 299 | 298 |
| Orange Coast Voc. Tech. Building | 11,607 | – | – | 880 | 881 |
| Yosemite Fire Training Center | 4,233 | – | – | 318 | 321 |
| Napa Valley Permanent Facility | 5,253 | – | – | 431 | 440 |
| El Camino Library Addition | 7,770 | – | – | 590 | 593 |
| Los Angeles Southwest Tech Ed/Center | 6,067 | – | – | 562 | 552 |
| Various CCC Projects of 1993—Series A | | | | | |
| Contra Costa/Los Medanos Music | 3,666 | – | – | 273 | 425 |
| Fremont-Newark/Ohlone Performing Arts | 15,990 | – | – | 1,191 | 1,190 |
| Los Rios/Placerville Facility Phase I | 7,384 | – | – | 550 | 549 |
| Mt. San Antonio Student Service Center | 7,933 | – | – | 591 | 590 |
| Santa Clarita Remodel for Efficiency | 2,405 | – | – | 179 | 179 |
| Ventura/Oxnard Indoor Gym | 7,910 | – | – | 600 | 597 |
| Yosemite/Modesto Auto Addition | 2,620 | – | – | 195 | 195 |
| Yosemite/Modesto Science Building | 8,674 | – | – | 646 | 646 |
| Various CCC Projects of 1994—Series A | | | | | |
| Kern/Porterville Instr Fac Phase | 1,497 | – | – | 124 | 126 |
| West Los Angeles Aerospace Complex | 9,979 | – | – | 838 | 838 |
| Riverside/Moreno Building Phase II | 12,225 | – | – | 1,028 | 1,029 |
| Riverside/Norco Building Phase II | 14,553 | – | – | 1,224 | 1,225 |
| San Francisco Library Building | 19,626 | – | – | 1,656 | 1,652 |
| San Mateo/Skyline Resource Center | 7,817 | – | – | 660 | 659 |
| Sonoma/Petaluma Permanent Facility | 8,482 | – | – | 717 | 715 |
| Ventura/Moorpark Performing Arts | 8,981 | – | – | 752 | 754 |
| Various CCC Projects of 1994—Series B | | | | | |
| Kern/Bakersfield Library | 13,340 | – | – | 1,144 | 1,151 |
| Lake Tahoe Instr/Admin Fac | 9,255 | – | – | 796 | 794 |
| Los Angeles/LA Mission LRC | 10,345 | – | – | 897 | 899 |
| Los Rios/Consumnes River Fine Arts | 9,125 | – | – | 793 | 791 |
| Mt. San Antonio Performing Arts Ctr | 19,055 | – | – | 1,663 | 1,668 |
| Pasadena Community Skills Ctr | 14,835 | – | – | 1,293 | 1,291 |
| Rancho Santiago Bus/Cmptr Building | 16,465 | – | – | 1,428 | 1,438 |
| Sierra Learning Resource Center | 18,960 | – | – | 1,038 | 1,650 |
| State Center/Fresno Allied Health/Pub Service | 7,765 | – | – | 675 | 676 |
| Victor Valley LRC | 8,045 | – | – | 913 | 705 |
| Victor Valley New Science Building | 8,725 | – | – | 751 | 761 |

*** Dollars in thousands, except in Salary Range.**

# 9610 LEASE-REVENUE NOTES AND BONDS—Continued

## Summary of Issued Bonds—Continued
(In millions)

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| Various CCC Projects of 1996—Series A | | | | | |
| Antelope Valley Library Equipment | $322 | – | – | $81 | $83 |
| Antelope Valley Applied Arts Equipment | 1,557 | – | – | 607 | – |
| Antelope Valley Remodel Old Library Equipment | 585 | – | – | 139 | 137 |
| Cabrillo LRC Const | 11,226 | – | – | 923 | 927 |
| Chabot-Las Positas Print Shop/Fac Equipment | 135 | – | – | 21 | 20 |
| Chabot-Las Positas Med Services Equipment | 342 | – | – | 55 | 58 |
| Contra Costa Vocational Ed Equipment | 228 | – | – | 35 | 39 |
| Desert Library Materials Equipment | 418 | – | – | 137 | – |
| Foothill-DeAnza LRC Construction | 9,934 | – | – | 817 | 818 |
| Glendale Multi-use Lab Const | 13,461 | – | – | 1,109 | 1,109 |
| Glendale Classroom/Libr Add Const | 11,271 | – | – | 930 | 929 |
| Grossmont-Cuyamaca Outdoor PE Const | 865 | – | – | 71 | 69 |
| Grossmont-Cuyamaca Outdoor PE Equipment | 93 | – | – | 32 | – |
| Lake Tahoe Instr/Admin Equipment | 555 | – | – | 128 | 132 |
| Los Angeles/East LA Voc Building Equipment | 235 | – | – | 79 | – |
| Los Angeles Southwest PE Fac Const | 16,400 | – | – | 1,349 | 1,353 |
| Los Angeles Southwest Lec Lab Ph I Const | 15,225 | – | – | 1,253 | 1,254 |
| Los Rios/Cosumnes River Fine Arts Equipment | 695 | – | – | 227 | – |
| Mt. San Jacinto Music Building Equipment | 134 | – | – | 53 | – |
| Mt. San Jacinto/Menifee Allied Hlth Equipment | 236 | – | – | 90 | – |
| Mt. San Jacinto/Menifee Fine Arts/Cls Equipment | 359 | – | – | 142 | – |
| Palomar Math/Engineering Fac Equipment | 42 | – | – | 12 | 11 |
| Palomar Art Fac Add/Remodel Equipment | 42 | – | – | 12 | 11 |
| Palomar Music Fac Remodel Equipment | 38 | – | – | 12 | 11 |
| Peralta DP/Warehouse Seismic Const | 1,590 | – | – | 129 | 126 |
| Riverside/Moreno Valley Buildings Ph II Equipment | 1,028 | – | – | 401 | – |
| Riverside Valley/Norco Buildings Ph II Equipment | 942 | – | – | 364 | – |
| San Diego Mesa LRC Const | 19,786 | – | – | 1,665 | 1,666 |
| San Francisco Library Equipment | 2,067 | – | – | 336 | 337 |
| San Francisco Library Books Equipment | 2,188 | – | – | 410 | 409 |
| Santa Clarita/Canyons Library Equipment | 524 | – | – | 174 | – |
| Santa Clarita/Canyons Fine Arts Building Equipment | 1,120 | – | – | 369 | – |
| Santa Clarita/Canyons Fire Safety/Util. Const | 3,619 | – | – | 297 | 300 |
| Sequoias Fine Arts/Math Building Equipment | 595 | – | – | 195 | – |
| Sierra/Western Nevada Buildings Ph I Const | 14,072 | – | – | 1,143 | 1,141 |
| Solano Child Care/Dev Fac Equipment | 157 | – | – | 53 | – |
| Solano Instr Building Remodel Equipment | 85 | – | – | 26 | – |
| State Center/Fresno Hlth/Public Services, Equipment | 411 | – | – | 137 | – |
| Victor Valley LRC Equipment | 978 | – | – | 380 | – |
| West Valley Microcomputer Cnt Equipment | 1,016 | – | – | 332 | – |
| Yuba Applied Art Equipment | 549 | – | – | 179 | – |
| Various CCC Projects of 1997—Series A | | | | | |
| Cabrillo Learning Ctr Equipment | 2,035 | – | – | 469 | 473 |
| Cabrillo Photography Lab Equipment | 380 | – | – | 88 | 89 |
| Chabot Music Skill Ctr Equipment | 180 | – | – | 44 | 42 |
| Chabot Science Ctr Equipment | 1,030 | – | – | 237 | 242 |
| Chabot Engineering Equipment | 360 | – | – | 83 | 84 |
| Chabot Humanities Equipment | 385 | – | – | 88 | 89 |
| Citrus Recording Arts Equipment | 1,660 | – | – | 386 | 383 |
| Gavilan Library Equipment | 795 | – | – | 182 | 184 |
| Glendale Classroom Equipment | 1,005 | – | – | 231 | 231 |
| Glendale Multi-use Lab Equipment | 1,745 | – | – | 402 | 404 |
| Kern/Bakersfield Electronics Equipment | 225 | – | – | 50 | 53 |
| Kern/Bakersfield Library Equipment | 2,190 | – | – | 507 | 504 |
| Long Beach Art Building Equipment | 415 | – | – | 94 | 95 |
| LA Learning Resource Center Equipment | 2,465 | – | – | 694 | 693 |
| Los Rios/Sacramento LRC | 16,055 | – | – | 1,429 | 1,425 |
| Mt. San Antonio Performing Arts Ctr Equipment | 1,140 | – | – | 264 | 263 |
| Pasadena Community Skills Center | 1,885 | – | – | 436 | 436 |

*** Dollars in thousands, except in Salary Range.**

# 9610 LEASE-REVENUE NOTES AND BONDS—Continued

## Summary of Issued Bonds—Continued
(In millions)

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| SLO Cuesta Allied Health Facility | $310 | – | – | $72 | $73 |
| San Mateo Skyline Learning Resource Ctr | 2,220 | – | – | 513 | 515 |
| Sierra Home Electronics Equipment | 55 | – | – | 11 | 16 |
| Sierra Learning Resource Center Equipment | 2,035 | – | – | 469 | 472 |
| Western Nevada Building Equipment | 3,065 | – | – | 706 | 709 |
| Sonoma Petaluma Center Equipment | 825 | – | – | 193 | 189 |
| State Center/Fresno City Library Media Add | 6,540 | – | – | 549 | 543 |
| State Center/Fresno Library Equipment | 1,265 | – | – | 292 | 294 |
| Ventura Math/Science Complex | 13,160 | – | – | 1,137 | 1,128 |
| Oxnard Letters & Science Equipment | 695 | – | – | 198 | 194 |
| Victor Valley Science Building Equipment | 905 | – | – | 254 | 257 |
| Yosemite Modesto Fire Training Center | 525 | – | – | 121 | 121 |
| Various CCC Projects of 1999—Series B | | | | | |
| Compton, Health and Safety Voc Tech Building | 14,931 | – | – | 1,270 | 1,266 |
| Grossmont Drama Lab Remodel | 685 | – | – | 27 | 29 |
| Lompoc Valley Center—Phase I | 21,917 | – | – | 1,678 | 1,655 |
| Los Rios, Sac LRC Phase II | 1,324 | – | – | 53 | 92 |
| Moorpark College Math/Science Building | 6,803 | – | – | 475 | 570 |
| Subtotal, Base Rental/Debt Service | $729,812 | – | – | $67,767 | $64,488 |
| Variable Costs (Administration and Insurance) | – | – | – | 398 | 398 |
| Total, California Community Colleges | $729,812 | – | – | $68,165 | $64,886 |
| DEPARTMENT OF CORRECTIONS | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Southern Maximum Security Complex | | | | | |
| Lease Revenue Bond of 1985—Series A | $104,400 | – | – | $6,076 | – |
| State Prison—Amador County Lease Revenue Bond of 1986—Series A | 163,090 | – | – | 15,857 | $15,828 |
| State Prison—Corcoran Facility Lease Revenue Bond of 1986—Series A | 345,080 | – | – | 33,931 | 33,872 |
| State Prison—Del Norte Lease Revenue Bond of 1987—Series A | 319,920 | – | – | 26,992 | 27,724 |
| State Prison—Madera Lease Revenue Bond of 1990—Series A | 163,480 | – | – | 15,301 | 15,294 |
| State Prison—Imperial County Lease Revenue Bond of 1991—Series A | 505,292 | – | – | 37,883 | 37,878 |
| State Prison—Coalinga | 260,000 | – | – | 18,879 | 18,884 |
| State Prison—Susanville | 318,295 | – | – | 24,082 | 24,080 |
| State Prison—Madera II | 192,715 | – | – | 14,590 | 14,591 |
| State Prison—Soledad II | 284,640 | – | – | 23,929 | 23,926 |
| State Prison—Corcoran II & Substance Abuse Treatment | 455,400 | – | – | 34,888 | 34,864 |
| Emergency Bed Projects | 116,082 | – | – | 8,786 | 8,779 |
| Various Prison Projects | 29,795 | – | – | 938 | 2,412 |
| Proposed Sales: | | | | | |
| CIM Chino, Denitrification Plant | – | – | $5,500 | – | 500 |
| Ten Segregation Housing Units | – | – | 79,800 | – | 3,600 |
| Subtotal, Base Rental/Debt Service Costs | $3,258,189 | – | $85,300 | $262,132 | $262,232 |
| Variable Costs (Administration and Insurance) | – | – | – | 2,072 | 2,257 |
| Total, California Department of Corrections | $3,258,189 | – | $85,300 | $264,204 | $264,489 |
| DEPARTMENT OF THE YOUTH AUTHORITY | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Various Facilities | $8,785 | – | – | $313 | $715 |
| Proposed Sales: | | | | | |
| Various Projects | – | $8,577 | – | – | 800 |
| Subtotal, Base Rental/Debt Service Costs | – | $8,577 | – | $313 | $1,515 |
| Variable Costs (Administration and Insurance) | – | – | – | 24 | 53 |
| Total, Department of the Youth Authority | $8,785 | $8,577 | – | $337 | $1,568 |

*** Dollars in thousands, except in Salary Range.**

# 9610 LEASE-REVENUE NOTES AND BONDS—Continued

## Summary of Issued Bonds—Continued
(In millions)

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| DEPARTMENT OF GENERAL SERVICES | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Los Angeles State Building (Ronald Reagan Building) | $187,130 | – | – | $17,668 | $17,667 |
| Los Angeles State Building (Junipero Serra Building) | 59,045 | – | – | 4,779 | 4,778 |
| Capitol Area Development Authority, 1992 A | 7,245 | – | – | 662 | 663 |
| Department of Justice Building | 67,670 | – | – | 4,890 | 4,896 |
| Oakland State Office (Elihu M. Harris Building) | 145,000 | – | – | 11,468 | 11,464 |
| Riverside State Office Building, 94 A-B | 29,115 | – | – | 2,100 | 2,136 |
| Sacramento Site 7 Parking Facilities 92 A | 0 [2] | – | – | 1,092 | 1,091 |
| San Francisco State Office Building | 340,355 | – | – | 25,576 | 25,576 |
| Capitol Area East End | 12,160 | – | – | 296 | 969 |
| Proposed Sales: | | | | | |
| San Diego SOB, Suburban | – | – | $45,000 | – | 4,700 |
| Subtotal, Base Rental/Debt Service Costs | $847,720 | – | $45,000 | $68,531 | $73,940 |
| Variable Costs (Administration and Insurance) | – | – | – | 689 | 734 |
| Total, Department of General Services | $847,720 | – | $45,000 | $69,220 | $74,674 |
| JUDICIARY | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Court of Appeal 4th Appellate District Facility | $13,470 | – | – | $988 | $988 |
| Variable Costs (Administration and Insurance) | – | – | – | 36 | 44 |
| Total, Judiciary | $13,470 | – | – | $1,024 | $1,032 |
| FRANCHISE TAX BOARD | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Franchise Tax Board Building, 1989 | $36,870 | – | – | $4,253 | $4,244 |
| Franchise Tax Board, Phase II | 37,745 | – | – | 3,068 | 3,067 |
| Subtotal, Base Rental/Debt Service | $74,615 | – | – | $7,321 | $7,311 |
| Variable Costs (Administration and Insurance) | – | – | – | 103 | 110 |
| Total, Franchise Tax Board | $74,615 | – | – | $7,424 | $7,421 |
| DEPARTMENT OF TRANSPORTATION | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| East Bay Building, 91A and 91B | $126,165 | – | – | $9,643 | $9,638 |
| Caltrans Ofc Building, San Bernardino 95A | 63,755 | – | – | 4,879 | 4,876 |
| Subtotal, Base Rental/Debt Service | $189,920 | – | – | $14,522 | $14,514 |
| Variable Costs (Administration and Insurance) | – | – | – | 30 | 94 |
| Total, Department of Transportation | $189,920 | – | – | $14,552 | $14,608 |
| SECRETARY OF STATE | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Secretary of State/Archives Complex | $140,830 [2] | – | – | $12,415 | $12,395 |
| Variable Costs (Administration and Insurance) | – | – | – | 112 | 120 |
| Total, Secretary of State | $140,830 | – | – | $12,527 | $12,515 |
| DEPARTMENT OF VETERANS AFFAIRS | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Southern CA Veterans Home, Barstow | $14,660 | – | – | $1,091 | $1,093 |
| Veterans Home, Chula Vista | 16,400 | – | – | 414 | 1,377 |
| Subtotal, Base Rental/Debt Service | $31,060 | – | – | $1,505 | $2,470 |
| Variable Costs (Administration and Insurance) | – | – | – | 130 | 149 |
| Total, Department of Veterans Affairs | $31,060 | – | – | $1,635 | $2,619 |
| CALIFORNIA SCIENCE CENTER | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| California Science Center | $37,770 | – | – | $2,691 | $2,688 |
| Variable Costs (Administration and Insurance) | – | – | – | 52 | 55 |
| Total, California Science Center | $37,770 | – | – | $2,743 | $2,743 |

*** Dollars in thousands, except in Salary Range.**

# 9610 LEASE-REVENUE NOTES AND BONDS—Continued

## Summary of Issued Bonds—Continued
(In millions)

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| STATE LIBRARY | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Library and Courts Annex Building | $33,055 | – | – | $2,445 | $2,444 |
| Variable Costs (Administration and Insurance) | – | – | – | 54 | 55 |
| Total, State Library | $33,055 | – | – | $2,499 | $2,499 |
| DEPARTMENT OF FOOD AND AGRICULTURE | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Food and Ag Labs, 1993 A | $21,400 | – | – | $1,863 | $1,863 |
| Variable Costs (Administration and Insurance) | – | – | – | 34 | 35 |
| Total, Department of Food and Agriculture | $21,400 | – | – | $1,897 | $1,898 |
| PUBLIC UTILITIES COMMISSION | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| San Francisco State Building Authority (Public Utilities Commission Building) | $61,140 | – | – | $4,975 | $4,980 |
| Variable Costs (Administration and Insurance) | – | – | – | 68 | 56 |
| Total, Public Utilities Commission | $61,140 | – | – | $5,043 | $5,036 |
| DEPARTMENT OF FORESTRY | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Telecommunications Towers | $11,200 | – | – | $919 | $913 |
| Proposed Sales: | | | | | |
| Various Projects | – | – | $3,295 | – | 150 |
| Variable Costs (Administration and Insurance) | – | – | – | 31 | 52 |
| Total, Department of Forestry | $11,200 | – | $3,295 | $950 | $1,115 |
| DEPARTMENT OF MENTAL HEALTH | | | | | |
| Base Rental/Debt Services Costs: | | | | | |
| Atascadero Hospital | – | $33,235 | – | – | $4,200 |
| Patton Building Improvements | – | 7,784 | | – | – |
| Variable Costs (Administration and Insurance) | – | – | – | – | 26 |
| Total, Department of Mental Health | – | $41,019 | – | – | $4,226 |
| DEPARTMENT OF HEALTH SERVICES | | | | | |
| Base Rental/Debt Services Costs: | | | | | |
| Richmond Laboratory Building Phase I and 2 | $179,140 | – | – | $1,197 | $3,590 |
| Variable Costs (Administration and Insurance) | – | – | – | 46 | 88 |
| Total, Department of Health Services | $179,140 | – | – | $1,243 | $3,678 |
| DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Acquisition of E. Los Angeles and Banning Offices | $11,805 | – | – | $287 | $942 |
| Variable Costs (Administration and Insurance) | – | – | – | 22 | 28 |
| Total, Department of the California Highway Patrol | $11,805 | – | – | $309 | $970 |
| DEPARTMENT OF JUSTICE | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Replacement Labs | $27,480 | – | – | – | $1,391 |
| Variable Costs (Administration and Insurance) | – | – | – | – | 38 |
| Total, Department of Justice | $27,480 | – | – | – | $1,429 |
| TEALE DATA CENTER | | | | | |
| Base Rental/Debt Service Costs: | | | | | |
| Teale Data Center | – | – | $47,000 | – | $4,900 |
| Variable Costs (Administration and Insurance) | – | – | – | – | 28 |
| Total, Teale Data Center | – | – | $47,000 | – | $4,928 |

*** Dollars in thousands, except in Salary Range.**

## 9610 LEASE-REVENUE NOTES AND BONDS—Continued

### Summary of Issued Bonds—Continued
(In millions)

| | Bonds Issued by December 31, 2000 | Proposed Bond Sales After December 31, 2000 | | Lease Payments | |
|---|---|---|---|---|---|
| | | 2000–01 | 2001–02 | 2000–01 | 2001–02 |
| ENERGY CONSERVATION AND CO-GENERATION | | | | | |
| Base Rental/Debt Services Costs: | | | | | |
| Energy Efficiency Revenue Bonds of 1991—A | $51,355 | – | – | $5,615 | $5,284 |
| Energy Efficiency Revenue Bonds of 1993—A | 39,385 | – | – | 3,987 | 4,009 |
| Energy Efficiency Revenue Bond of 1995—A | 39,960 | – | – | 3,153 | 3,212 |
| Energy Efficiency Revenue Bond of 1995—B [3] | 66,455 | – | – | 6,195 | – |
| Energy Efficiency Revenue Bond of 1996—A | 34,625 | – | – | 3,531 | 3,533 |
| Energy Efficiency Revenue Bond of 1998—A | 15,135 | – | – | 1,190 | 1,506 |
| Subtotal, Base Rental/Debt Service | $246,915 | – | – | $23,671 | $17,544 |
| Variable Costs (Administration and Insurance) | – | – | – | 171 | 146 |
| Total, Energy Conservation and Co-Generation | $246,915 | – | – | $23,842 | $17,690 |
| TOTALS, LEASE REVENUE NOTES AND BONDS | $8,018,176 | $49,596 | $180,595 | $653,343 | $661,988 |

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** [1] | *2000–01** [1] | *2001–02** |
|---|---|---|---|
| Control Section 4.50, Budget Acts, Adjustments | $31,061 | – | – |
| Allocation to various departments | –31,061 | – | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0042 State Highway Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Control Section 4.50, Budget Acts, Adjustments | –$2 | – | – |
| Allocation to Department of Transportation | 2 | – | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0228 Secretary of State's Business Fees Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Control Section 4.50, Budget Acts, Adjustments | $1,217 | – | – |
| Allocation to the Secretary of State | –1,217 | – | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0601 Department of Agriculture Building Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| Control Section 4.50, Budget Acts, Adjustments | $189 | – | – |
| Allocation to the Department of Food and Agriculture | –189 | – | – |
| TOTALS, EXPENDITURES | – | – | – |

### 0995 Reimbursements

| APPROPRIATIONS | | | |
|---|---|---|---|
| Control Section 4.50, Budget Acts, Adjustments | –$13,020 | – | – |
| Allocation to various departments | 13,020 | – | – |
| TOTALS, EXPENDITURES | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | – | – | – |

[1] Past year and current year expenditures are reflected in individual departmental budgets.
[2] 1992 Series A was sold to finance both the Secretary of State/Archives Complex and the Site 7 Parking Facilities. Total bond sale was $140,830,000. Base rental and related costs for the Secretary of State/Archives Complex are funded within the Secretary of State's budget; whereas, base rental and related costs for the Site 7 Parking Facilities are funded within the Department of General Services' budget.
[3] Energy Efficiency Revenue Bonds of 1995B refunded 1986A bonds.

*** Dollars in thousands, except in Salary Range.**

# 9620 PAYMENT OF INTEREST ON GENERAL FUND LOANS

Chapter 312, Statutes of 1907, authorized transfers to the General Fund from other funds in the State Treasury whenever the General Fund was exhausted. This enabled the General Fund to overcome normal cashflow imbalances. All money so transferred had to be returned as soon as possible, and such transfers were not to interfere with the day-to-day needs of the loaning funds. Some of the provisions in Chapter 312 have been modified slightly over the years and are now contained in Sections 16310 and 16418 of the Government Code. This type of transfer is referred to as ''internal borrowing''.

Internal borrowing is used to meet the State's short-term cashflow borrowing needs. This type of borrowing does not indicate fiscal weakness and, in fact, may be preferable to carrying too large a reserve.

In addition to the short-term internal borrowing described above, temporary external borrowing has been validated by the courts if it meets what has become known as the ''appropriation doctrine''. Under this rule, an obligation is not considered a debt or liability within the State Constitutional limitation on indebtedness (Section 1 of Article XVI) if an appropriation is made from existing funds, or reasonably anticipated funds subject to appropriation. This doctrine was invoked in 1933 and 1936 to uphold the use of registered warrants during the Great Depression and again in 1971 to validate the State of California Notes provisions of Chapter 223, Statutes of 1971. These latter provisions were invoked in 1971–72 in lieu of the more costly registered warrant authority used during the Depression and, like registered warrants, required the exhaustion of all internal sources of funds before being implemented. The State of California Notes provisions were re-enacted by Chapter 10X, Statutes of 1983 (AB 28X), and employed in both 1982–83 and 1983–84.

In 1984–85, the State implemented a cash management program pursuant to Chapter 268, Statutes of 1984, which provided the flexibility to borrow from external sources prior to exhausting internal sources. Under this program, the use of external funds results in a savings to the General Fund, as well as increased revenue to the various special funds which the General Fund otherwise would generally have borrowed interest free for 10 percent of the income pursuant to Sections 16310 and 16418 of the Government Code.

Various external sources of borrowing are available to the State to manage cashflow needs. These sources include revenue anticipation notes (RANs), registered reimbursement warrants (RAWs), and registered warrants.

Chapter 697, Statutes of 1995 (SB 1337), authorized the State Treasurer to develop and implement a Commercial Paper Program. This program provides another financing option for the State to use in managing its short-term cashflow needs.

The State did not issue any RANs in the 2000–01 fiscal year due to an exceptionally healthy General Fund cash balance. The State does not anticipate issuing RANs in the 2001–02 fiscal year.

Pursuant to Government Code Sections 12020 and 12021, statements of accounts payable and receivable and of cashflow for the past, current, and budget years are included as Schedule 5 in the Governor's Budget Summary. Neither cash receipts nor disbursements match revenues and expenditures presented elsewhere in this Budget. In order to reflect cashflow, budget data is converted to cash basis primarily by adjusting for cash collected by an agency but not yet recorded by the State Controller's Office, and for accrued expenditures. Receipt and disbursement projections are adjusted to reflect various changes in statutes, anticipated legislative action, and administrative action. The estimated 2000–01 and 2001–02 cashflows incorporate revenue and expenditure measures reflected in this Budget, as of January 10, 2001.

## Authority

Government Code Sections 12020, 12021, 16310, 16418, 16731.6, 17200–17280, 17300–17313. Budget Act Item 9620-001-0001 and Control Section 12.30.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10 Interest Cost (General Fund) | $27,256 | – | $5,000 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (internal sources) | $34,100 | $14,100 | $5,000 |
| Government Code Section 17310 (external sources) | 25,585 | – | – |
| Totals Available | $59,685 | $14,100 | $5,000 |
| Unexpended balance, estimated savings | −32,429 | −14,100 | – |
| TOTALS, EXPENDITURES (State Operations) | $27,256 | – | $5,000 |

# 9625 INTEREST PAYMENTS TO THE FEDERAL GOVERNMENT

As a result of Public Law 101-453, 31 U.S.C. 3335 and 6503, which enacted the Cash Management Improvement Act of 1990 (CMIA), the State will incur an interest liability to the federal government. The purpose of CMIA is to ensure greater efficiency, effectiveness, and equity in the exchange of funds between the federal government and the State. The major provisions of CMIA are: (a) federal agencies must make timely fund disbursements and grant awards to the State; (b) the State must minimize the time between the deposit of federal funds in the state account and the payout of the funds for program purposes; (c) the State is entitled to interest from the federal government from the time state funds are paid out for program purposes until federal funds are deposited in the state account; and (d) the federal government is entitled to interest from the State from the time federal funds are deposited in the state account until the funds are paid out for program purposes.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9625 INTEREST PAYMENTS TO THE FEDERAL GOVERNMENT—Continued

The programs impacted by the CMIA are the federal assistance programs which have $79 million or more in federal fund expenditures. For the majority of these programs, state agencies request federal funds in advance of the warrant issuance. State agencies use this funding technique because the State Constitution requires that the funds be deposited before the warrants are issued.

The interest payments to the federal government are due no later than March 1 each year. The payment made by March 1, 2001 will be for interest liability incurred during the State's 1999–00 fiscal year.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Interest payments to the Federal Government | $13,261 | $6,402 | $15,702 |
| NET TOTALS, INTEREST PAYMENTS TO THE FEDERAL GOVERNMENT | $13,261 | $6,402 | $15,702 |
| *0001 General Fund* | *12,991* | *5,900* | *15,200* |
| *0042 State Highway Account, State Transportation Fund* | *270* | *500* | *500* |
| *0494 Special Fund* | *–* | *1* | *1* |
| *0988 Nongovernmental Cost Fund* | *–* | *1* | *1* |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $15,200 | $15,200 | $15,200 |
| Unexpended balance, estimated savings | −2,209 | −9,300 | – |
| TOTALS, EXPENDITURES | $12,991 | $5,900 | $15,200 |

### 0042 State Highway Account, State Transportation Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $500 | $500 | $500 |
| Unexpended balance, estimated savings | −230 | – | – |
| TOTALS, EXPENDITURES | $270 | $500 | $500 |

### 0494 Special Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1 | $1 | $1 |
| Unexpended balance, estimated savings | −1 | – | – |
| TOTALS, EXPENDITURES | – | $1 | $1 |

### 0988 Nongovernmental Cost Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1 | $1 | $1 |
| Unexpended balance, estimated savings | −1 | – | – |
| TOTALS, EXPENDITURES | – | $1 | $1 |
| TOTAL EXPENDITURES, ALL FUNDS (State Operations) | $13,261 | $6,402 | $15,702 |

## 9650 HEALTH AND DENTAL BENEFITS FOR ANNUITANTS

This program provides funding for health and dental benefit services for retired state employees. The program began on January 1, 1962, with an employer contribution of $5.00 per month toward the cost of a basic health plan. Since then, major medical plans, Medicare, and plans supplementing Medicare have been developed. Dental care was added July 1, 1981, and became effective on January 1, 1982, after open enrollment was completed.

The proposed 2001–02 employer contribution for health premiums maintains the average 100/90 percent contribution formula established in Government Code Section 22825.1. The 2000–01 monthly contribution maximums are $201 for a single enrollee, $382 for an enrollee and one dependent, and $488 for an enrollee and two or more dependents. Dental care premiums vary by plan and number of dependents.

The 2001–02 budget proposes an increase of $20.5 million General Fund to fund increases attributable to growth in the number of health and dental benefit enrollees.

Health and dental benefit premium rates for the second half of the 2001–02 fiscal year are currently being negotiated and will be addressed as part of the 2001–02 May revision process.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9650 HEALTH AND DENTAL BENEFITS FOR ANNUITANTS—Continued

| | Estimated Fiscal Impact * ($ In Thousands) | |
|---|---|---|
| | 2001–02 Enrollment Funding Change | 2001–02 Premium Funding Change |
| Health Benefits | $18,218 | – |
| Dental Benefits | 2,294 | – |
| Totals | $20,512 | – |

### Authority

Title 2, Division 5, Part 5, Government Code.

| SUMMARY OF PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 10 Health and Dental Protection for Annuitants (General Fund) | $351,320 | $410,232 | $430,744 |

### Health Benefits

The cost for annuitants' health benefits is based on the following average number of annuitants covered from each retirement system:

| | Number of annuitants | | | Cost by system* | | |
|---|---|---|---|---|---|---|
| Retirement System | 1999–00 | 2000–01 | 2001–02 | 1999–00 | 2000–01 | 2001–02 |
| PERS State Employees | 104,158 | 114,574 | 120,303 | $303,726 | $357,425 | $375,297 |
| District Agricultural Employees | 277 | 305 | 320 | 822 | 968 | 1,016 |
| Legislators | 134 | 139 | 144 | 399 | 470 | 493 |
| Teachers | 236 | 260 | 273 | 672 | 790 | 830 |
| Judges | 1,263 | 1,293 | 1,323 | 3,996 | 4,703 | 4,938 |
| Totals | 106,068 | 116,571 | 122,363 | $309,615 | $364,356 | $382,574 |

### Dental Benefits

The cost for annuitants' dental care is based on the following average number of annuitants covered from each retirement system:

| | Number of annuitants | | | Cost by system* | | |
|---|---|---|---|---|---|---|
| Retirement System | 1999–00 | 2000–01 | 2001–02 | 1999–00 | 2000–01 | 2001–02 |
| PERS State Employees | 82,582 | 90,840 | 95,382 | $40,869 | $44,956 | $47,204 |
| District Agricultural Employees | 248 | 273 | 287 | 121 | 133 | 140 |
| Legislators | 108 | 113 | 118 | 56 | 62 | 65 |
| Teachers | 140 | 154 | 162 | 72 | 79 | 83 |
| Judges | 1,148 | 1,178 | 1,208 | 587 | 646 | 678 |
| Totals | 84,226 | 92,558 | 97,157 | $41,705 | $45,876 | $48,170 |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $347,322 | – | $430,744 |
| 001 Budget Act appropriation as amended by Chapter 1002, Statutes of 2000 | – | $410,232 | – |
| Allocation for contingencies or emergencies | 4,510 | – | – |
| Transfer to Legislative Claims (9670) | –1 | – | – |
| Totals Available | $351,831 | $410,232 | $430,744 |
| Unexpended balance, estimated savings | –511 | – | – |
| TOTALS, EXPENDITURES (State Operations) | $351,320 | $410,232 | $430,744 |

*** Dollars in thousands, except in Salary Range.**

# 9670 EQUITY CLAIMS OF CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD AND SETTLEMENTS AND JUDGMENTS BY DEPARTMENT OF JUSTICE

This budget reflects statewide expenditures for all equity claims against the state approved for payment by the California Victim Compensation and Government Claims Board and all settlements and judgments against the state sponsored by the Attorney General's Office. Payment of these claims is provided to claimants through the passage of special legislation. Each year, two equity claims bills are proposed by the California Victim Compensation and Government Claims Board and two settlements and judgments bills are proposed by the Attorney General's Office.

## Authority

Government Code Section 905.2.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10 Equity Claims | $2,840 | $3,489 | – |
| 20 Judgments and Settlements | 21,177 | 3,797 | – |
| 30 Administration and Payment of Tort Liability Claims | 78,218 | 83,849 | $84,921 |
| 40 Refunds of Out-of-State Smog Fees | 665,261 | – | – |
| TOTALS, PROGRAMS | $767,496 | $91,135 | $84,921 |
| Less amounts in other budgets | –77,698 | –82,648 | –83,720 |
| NET TOTALS, PROGRAMS | $689,798 | $8,487 | $1,201 |
| *0001 General Fund* | *687,413* | *3,659* | *1,201* |
| *0494 Special funds* | *1,984* | *4,336* | – |
| *0797 Bond funds* | – | *257* | – |
| *0895 Federal funds* | *23* | *21* | – |
| *0988 Nongovernmental cost funds* | *378* | *214* | – |

## 10 EQUITY CLAIMS

### Program Objectives Statement

This program includes all claims approved by the three-member California Victim Compensation and Government Claims Board and referred to the Legislature in the omnibus claims bills for payment. The program provides an equitable procedure for the payment of the following categories of claims:

(a) Claims for which no appropriation has been made or for which no fund is available, but the settlement of which has been provided for by statute or constitutional provision.

(b) Claims for which the appropriation made or fund designated is exhausted.

(c) Claims for which settlement is not otherwise provided for by statute or constitutional provision.

The California Victim Compensation and Government Claims Board must submit special appropriation measures under Chapter 182, Statutes of 1976, at least twice during each calendar year. Current year expenditures for the payment of 2000–01 equity claims include funds appropriated by Chapters 85 and 1014, Statutes of 2000.

| EXPENDITURES BY FUND: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Claims of Secretary, California Victim Compensation and Government Claims Board | | | |
| *0001 General Fund* | *$1,453* | *$1,511* | – |
| Special Funds: | | | |
| State Transportation Fund: | | | |
| *0042 State Highway Account, State Transportation Fund* | *80* | *891* | – |
| *0044 Motor Vehicle Account, State Transportation Fund* | *35* | *42* | – |
| Other Special Funds: | | | |
| *0027 Tax Relief and Refund Account* | *$81* | *$149* | – |
| *0061 Motor Vehicle Fuel Account* | – | *10* | – |
| *0084 Bank and Corporation Tax Fund* | *36* | *20* | – |
| *0091 Personal Income Tax Fund* | *2* | – | – |
| *0094 Retail Sales Tax Fund* | *27* | *24* | – |
| *0133 California Beverage Container Recycling Fund* | *139* | – | – |
| *0144 California Water Fund* | *6* | – | – |
| *0185 Employment Development Contingent Fund* | *6* | *2* | – |
| *0191 Fair and Exposition Fund* | – | *30* | – |
| *0200 Fish and Game Preservation Fund* | *12* | *42* | – |
| *0203 Genetic Disease Testing Fund* | *2* | – | – |
| *0214 Restitution Fund* | *2* | – | – |
| *0217 Insurance Fund* | *502* | *221* | – |
| *0268 Peace Officers Training Fund* | *2* | – | – |
| *0285 Residential Earthquake Recovery* | – | *1* | – |
| *0320 Oil Spill Prevention and Administration Fund* | *17* | – | – |
| *0392 State Parks and Recreation Fund* | *30* | *54* | – |
| *0735 Contractors License Fund* | *6* | – | – |
| *0758 Contingent Fund of the Medical Board of California* | *1* | – | – |
| Totals, Special Funds | $986 | $1,486 | – |
| Totals, Governmental Funds | $2,439 | $2,997 | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9670 EQUITY CLAIMS OF CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD AND SETTLEMENTS AND JUDGMENTS BY DEPARTMENT OF JUSTICE—Continued

| | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Nongovernmental Cost Funds: | | | |
| *0048 Transportation Revolving Account* | – | *$4* | – |
| *0588 Unemployment Compensation Disability Fund* | *$21* | *9* | – |
| *0632 California Health and Human Services Agency Data Center Revolving Fund* | – | *1* | – |
| *0675 State Payroll Revolving Fund* | – | *3* | – |
| *0691 Water Resources Revolving Fund* | *1* | – | – |
| *0696 Welfare Advance Fund* | – | *1* | – |
| *0702 Consumer Affairs Fund* | *4* | – | – |
| *0822 Public Employees' Health Care Fund* | *1* | – | – |
| *0830 Public Employees' Retirement Fund* | *8* | – | – |
| *0835 Teachers Retirement Fund* | – | *1* | – |
| *0912 Health Care Deposit Fund* | *13* | *8* | – |
| *0917 Inmate Welfare Fund* | *37* | *26* | – |
| *0942 Special Deposit Fund* | *3* | *12* | – |
| *0970 Unclaimed Property Fund* | *290* | *149* | – |
| Totals, Nongovernmental Cost Funds | $378 | $214 | – |
| Federal Funds: | | | |
| *0870 Unemployment Administration Fund* | *14* | *8* | – |
| *0871 Unemployment Fund* | *3* | *9* | – |
| *0890 Federal Trust Fund* | *6* | *4* | – |
| Totals, Federal Funds | $23 | $21 | – |
| Bond Funds: | | | |
| *0768 Earthquake Safety and Public Buildings Rehabiliation Fund of 1990* | – | *257* | – |
| Totals, Bond Funds | – | $257 | – |
| Totals, Claims of Secretary, California Victim Compensation and Government Claims Board | $2,840 | $3,489 | – |

## 20 SETTLEMENTS AND JUDGMENTS

### Program Objectives Statement

This program includes claims paid through "judgments and settlements" bills sponsored by the Department of Justice each year. The claims are either judgments against the state or settlements approved by the Department of Justice pursuant to the authority provided in Government Code Section 948, et seq. Current year expenditures for the payment of judgments and settlements include funds appropriated by Chapter 166, Statutes of 2000.

| EXPENDITURES BY FUND: | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| Claims of Attorney General | | | |
| *0001 General Fund* | *$20,179* | *$947* | – |
| Special Funds: | | | |
| *0044 Motor Vehicle Account* | *998* | *2,850* | – |
| Totals, Special Funds | $998 | $2,850 | – |
| Totals, Governmental Funds | $21,177 | $3,797 | – |
| Totals, Claims of the Attorney General | $21,177 | $3,797 | – |

## 30 ADMINISTRATION AND PAYMENT OF TORT LIABILITY CLAIMS

### Program Objectives Statement

In the past, the State assumed liability for all losses up to $5 million and purchased insurance covering losses from $5 million to $50 million. The State's general insurance policy was discontinued in 1977–78 based on a cost/benefit study made by the Department of Finance. A small number of agencies with unique liability problems are covered under special insurance policies. The amounts of settlements and awards vary, sometimes significantly, from year to year depending upon the cases in litigation and the amounts estimated to be awarded in each instance.

Government Code Section 948 provides that the head of any state agency, upon recommendation of the Attorney General, may settle, adjust, or compromise any pending action with approval of the Director of Finance. Current administrative policy delegates Finance's approval authority to the Attorney General for actions not exceeding $35,000. General Fund and special fund claims with principal amounts of up to $70,000, approved by the Director of Finance, may be paid from the appropriation in the Budget Act. Claims that exceed $70,000 in principal may be paid through special appropriation legislation. To the extent possible, affected agencies or departments are to make payment of claims from within existing budgeted resources before seeking payment from this Budget Act item.

To provide a comprehensive statement of statewide costs for the tort program, the format below includes a statewide display of tort-related expenditures. Special fund program expenditures are reflected in the department or agency budgets in which the costs are incurred unless paid through special appropriation legislation.

*** Dollars in thousands, except in Salary Range.**

## 9670 EQUITY CLAIMS OF CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD AND SETTLEMENTS AND JUDGMENTS BY DEPARTMENT OF JUSTICE—Continued

| EXPENDITURES BY FUND: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Claim Payments: | | | |
| Department of Justice: | | | |
| *0001 General Fund* | *$520* | *$1,201* | *$1,201* |
| Department of Transportation (Special funds) | 41,317 | 41,278 | 41,278 |
| Totals, Claim Payments | $41,837 | $42,479 | $42,479 |
| Administrative Costs: | | | |
| Department of Justice: | | | |
| *0001 General Fund services* | *8,958* | *10,791* | *11,525* |
| *0494 Special fund services* | *9,778* | *12,895* | *13,209* |
| Department of Transportation (Special funds) | 16,867 | 16,867 | 16,867 |
| Totals, Administrative Costs | $35,603 | $40,553 | $41,601 |
| Insurance Premiums: | | | |
| *0001 General Fund* | *211* | *221* | *228* |
| *0494 Special funds* | *460* | *483* | *497* |
| *0797 Bond funds* | *104* | *110* | *113* |
| *0895 Federal funds* | *3* | *3* | *3* |
| Totals, Insurance Premiums | $778 | $817 | $841 |
| Totals, Administrative Payment of Torts | $78,218 | $83,849 | $84,921 |
| Less amounts in other budgets | −77,698 | −82,648 | −83,720 |
| Net Totals, Administration and Payment of Torts | $520 | $1,201 | $1,201 |

## 40 REFUNDS OF OUT-OF-STATE SMOG FEES

### Program Objectives Statement

Chapter 32, Statutes of 2000, appropriated $665,261,000 from the General Fund to a special deposit fund managed by the Department of Motor Vehicles for payment of smog impact fee refunds to eligible recipients. This $300 fee was collected from persons who brought vehicles to California from other states between 1990 and 1999. In 1999, a court decision determined the fee to be unconstitutional and the Administration is committed to providing refunds in 2000–01 to all motorists who paid the fee.

| EXPENDITURES BY FUND: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Refund payments: | | | |
| *0001 General Fund* | *$665,261* | – | – |
| Total Refunds | $665,261 | – | – |
| TOTALS, EXPENDITURES | $665,261 | – | – |

---

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $1 | $1 | $1 |
| Increase expenditure authority per Budget Act language | 1,200 | 1,200 | 1,200 |
| Chapter 933, Statutes of 1999 | 790 | – | – |
| Chapter 85, Statutes of 2000 | – | 540 | – |
| Chapter 1014, Statutes of 2000 | – | 953 | – |
| Chapter 166, Statutes of 2000 | – | 695 | – |
| Chapter 28, Statutes of 2000 | 18,774 | – | – |
| Chapter 32, Statutes of 2000 (Transfer to Smog Impact Fee Refund Account) | 665,261 | – | – |
| Prior year balances available: | | | |
| Chapter 25, Statutes of 1999 | 601 | – | – |
| Chapter 131, Statutes of 1999 | 852 | – | – |
| Chapter 619, Statutes of 1999 | 620 | – | – |
| Chapter 702, Statutes of 1996 | 17 | – | – |
| Chapter 307, Statutes of 1997 | 103 | 103 | – |
| Chapter 304, Statutes of 1998 | 1 | 1 | – |
| Chapter 955, Statutes of 1998 | 16 | 17 | – |
| Chapter 956, Statutes of 1998 | 144 | 144 | – |
| Chapter 933, Statutes of 1999 | – | 5 | – |
| Totals Available | $688,380 | $3,659 | $1,201 |

*** Dollars in thousands, except in Salary Range.**

## 9670 EQUITY CLAIMS OF CALIFORNIA VICTIM COMPENSATION AND GOVERNMENT CLAIMS BOARD AND SETTLEMENTS AND JUDGMENTS BY DEPARTMENT OF JUSTICE—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Balance available in subsequent years | −$270 | – | – |
| Unexpended balance, estimated savings | −697 | – | – |
| TOTALS, EXPENDITURES | $687,413 | $3,659 | $1,201 |
| **0494 Special Funds [s]** | | | |
| APPROPRIATIONS | | | |
| Chapter 25, Statutes of 1999 | $623 | – | – |
| Chapter 131, Statutes of 1999 | 364 | – | – |
| Chapter 933, Statutes of 1999 | 998 | – | – |
| Chapter 85, Statutes of 2000 | – | $1,283 | – |
| Chapter 1014, Statutes of 2000 | – | 201 | – |
| Chapter 166, Statutes of 2000 | – | 2,850 | – |
| Prior year balances available: | | | |
| Chapter 407, Statutes of 1997 | 1 | 1 | – |
| Chapter 131, Statutes of 1999 | – | 1 | – |
| Totals Available | $1,986 | $4,336 | – |
| Balance available in subsequent years | −2 | – | – |
| TOTALS, EXPENDITURES | $1,984 | $4,336 | – |
| **0797 Bond Funds [s]** | | | |
| APPROPRIATIONS | | | |
| Chapter 1014, Statutes of 2000 (expenditures) | – | $257 | – |
| **0895 Federal Funds [f]** | | | |
| APPROPRIATIONS | | | |
| Chapter 25, Statutes of 1999 | $13 | – | – |
| Chapter 131, Statutes of 1999 | 10 | – | – |
| Chapter 85, Statutes of 2000 | – | $12 | – |
| Chapter 1014, Statutes of 2000 | – | 7 | – |
| Prior year balances available: | | | |
| Chapter 407, Statutes of 1997 | 2 | 2 | – |
| Totals Available | $25 | $21 | – |
| Balance available in subsequent years | −2 | – | – |
| TOTALS, EXPENDITURES | $23 | $21 | – |
| **0988 Nongovernmental Cost Funds [n]** | | | |
| APPROPRIATIONS | | | |
| Chapter 25, Statutes of 1999 | $17 | – | – |
| Chapter 131, Statutes of 1999 | 378 | – | – |
| Chapter 85, Statutes of 2000 | – | $174 | – |
| Chapter 1014, Statutes of 2000 | – | 21 | – |
| Prior year balances available: | | | |
| Chapter 304, Statutes of 1998 | 2 | 2 | – |
| Chapter 85, Statutes of 2000 | – | 17 | – |
| Totals Available | $397 | $214 | – |
| Balance available in subsequent years | −19 | – | – |
| TOTALS, EXPENDITURES | $378 | $214 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | $689,798 | $8,487 | $1,201 |

## 9800 AUGMENTATION FOR EMPLOYEE COMPENSATION

This budget reflects funding augmentation amounts for state civil service and related employee compensation. Employee compensation funding is based on approved Memoranda of Understanding (MOU) for represented employees and the announcement of salary and benefit levels by the Department of Personnel Administration (DPA) or other authorized entities for excluded employees. The base employee salary and benefit funding levels are included in individual department budgets.

Existing agreements with the State's 21 collective bargaining units will expire on or before July 2, 2001. The DPA, which represents the Governor in collective bargaining negotiations and other employer-employee matters, will begin the collective bargaining process again in spring 2001. Fiscal adjustments necessary to implement the negotiated terms and conditions of employment contained in the new MOU will be addressed at the conclusion of the collective bargaining process.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9800 AUGMENTATION FOR EMPLOYEE COMPENSATION—Continued

### Major Budget Adjustments Included in 2000–01

- $16.3 million ($8.2 million General Fund) to fund increases in the employer's maximum contribution for employee health benefits pursuant to good faith collective bargaining for represented employees and as approved by DPA for excluded employees.
- $32.1 million ($14.7 million General Fund) to fund employee compensation adjustments related to information technology classifications pursuant to good faith collective bargaining and to fund the Leave Buy-Back Program as approved by DPA for excluded employees.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10 State Civil Service Employee Compensation Program | $941,420 | $91,974 | – |
| NET TOTALS AVAILABLE FOR ALLOCATION OR EXPENDITURE | $941,420 | $91,974 | – |
| Allocation to departments | −796,200 | −43,544 | – |
| Unexpended balance, estimated savings | −145,220 | – | – |
| TOTALS, EXPENDITURES | – | $48,430 | – |

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## STATE OPERATIONS

### 0001 General Fund, Proposition 98

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 011 Budget Act appropriation | $1,900 | – | – |
| Totals Available | $1,900 | – | – |
| Allocation to departments | −1,900 | – | – |
| TOTALS, EXPENDITURES, GENERAL FUND, PROPOSITION 98 | – | – | – |

### 0001 General Fund, Non-Proposition 98

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $113,500 | $26,054 | – |
| 003 Budget Act appropriation | – | 3,946 | – |
| Allocation for contingencies or emergencies | – | 18,116 | – |
| Chapter 776, Statutes of 1999 | 341,538 | – | – |
| Totals Available | $455,038 | $48,116 | – |
| Allocation to departments | −390,384 | −25,223 | – |
| Unexpended balance, estimated savings | −64,654 | – | – |
| TOTALS, EXPENDITURES, GENERAL FUND, NON-PROPOSITION 98 | – | $22,893 | – |
| TOTALS, EXPENDITURES, GENERAL FUND | – | $22,893 | – |

### 0494 Special Funds

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $97,100 | $15,194 | – |
| 003 Budget Act appropriation | – | 4,586 | – |
| Chapter 776, Statutes of 1999 | 129,841 | – | – |
| Chapter 402, Statutes of 2000 | 30,600 | – | – |
| Allocation for contingencies or emergencies | – | 8,936 | – |
| Totals Available | $257,541 | $28,716 | – |
| Allocation to departments | −257,340 | −12,297 | – |
| Unexpended balance, estimated savings | −201 | – | – |
| TOTALS, EXPENDITURES, SPECIAL FUNDS | – | $16,419 | – |

### 0988 Nongovernmental Cost Funds

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $97,100 | $7,641 | – |
| 003 Budget Act appropriation | – | 2,579 | – |
| Allocation for contingencies or emergencies | – | 4,922 | – |
| Chapter 776, Statutes of 1999 | 129,841 | – | – |
| Totals Available | $226,941 | $15,142 | – |
| Allocation to departments | −146,576 | −6,024 | – |
| Unexpended balance, estimated savings | −80,365 | – | – |
| TOTALS, EXPENDITURES, NONGOVERNMENTAL COST FUNDS | – | $9,118 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | – | $48,430 | – |

*** Dollars in thousands, except in Salary Range.**

# 9818 FEDERAL LEVY OF STATE FUNDS

The Federal Levy of State Funds expenditure program is based upon the authority of the federal courts, under certain circumstances, to issue a judgment or ''writ of execution'' against the State of California. In such cases, funds are attached from accounts maintained by the State Treasurer's Office at state depository banks. Since no specific appropriations for these judgments have been made, the Office of the State Controller previously charged these claims against the unappropriated surplus of the General Fund. Beginning with the 1991–92 fiscal year, the funding process was changed to include Budget Act authority (Control Section 9.30) to authorize the Department of Finance to identify specific appropriations or funds to be charged.

The following Summary of Federal Levies lists all court-ordered levies paid by the Office of the State Controller for the 1999–00 fiscal year. Levies charged to specific departments' appropriations are displayed in this budget as information only and, therefore, shown in parentheses. However, when no specific appropriation can be identified, the Controller charges expenditures to the unappropriated surplus of the General Fund. These expenditures are displayed in this budget.

| SUMMARY OF FEDERAL LEVIES<br>General Fund | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Belton vs. Department of Corrections (December 29, 1999) | ($2) | – | – |
| San Francisco NAACP, et al. vs. Department of Education (June 26, 2000) | (46) | – | – |
| TOTALS, FEDERAL LEVIES | ($48) | – | – |

# 9840 AUGMENTATION FOR CONTINGENCIES OR EMERGENCIES

The Budget Act annually provides appropriations for unforeseen contingencies or emergencies for which no appropriation or an insufficient appropriation has been made. Amounts, as required, are allocated to the various agencies by the Department of Finance based upon the determination of need. Because the amounts provided in the Budget Act are nominal amounts and typically cannot meet total deficiency funding needs, the Department of Finance annually sponsors a deficiency bill to provide additional funding. General Fund loans are authorized to meet the needs of programs which would be curtailed due to delayed receipt of reimbursements, revenues or other financing.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10 Totals, Expenditures (Unallocated) | – | $5,000 | $5,000 |
| *0001 General Fund* | – | *2,000* | *2,000* |
| *0494 Special funds* | – | *1,500* | *1,500* |
| *0988 Nongovernmental cost funds* | – | *1,500* | *1,500* |

For the 1999–00 fiscal year, deficiency funding requests were approved by the Department of Finance in the amount of $666,727,000 for the General Fund, $42,512,000 for special funds and $29,947,000 for nongovernmental cost funds. It is anticipated that a deficiency bill for these requests will be enacted in early January 2001. The annual 2000–01 deficiency bill will propose additional funding of $504,231,000 for the General Fund, $30,857,000 for special funds and $63,713,000 for the nongovernmental cost funds. Item 9840 reflects $2,000,000 (General Fund), $1,500,000 (special funds) and $1,500,000 (nongovernmental cost funds) as expenditures in the 2000–01 fiscal year. These same amounts and related funds are proposed for appropriation for the 2001–02 fiscal year. The authorization to make temporary loans from the General Fund is proposed to be continued at $2,500,000 for the 2001–02 fiscal year.

The detail below includes allocations for emergencies or contingencies from the General Fund, special funds, and nongovernmental cost funds and loans from the General Fund. All of these allocations are shown as available funds in each applicable agency budget.

## DETAIL OF ALLOCATIONS IN AGENCY BUDGETS

STATE OPERATIONS

| | 1999–00 * | 2000–01 * | Fund (Class) |
|---|---|---|---|
| **Legislative/Judicial/Executive** | | | |
| 0250 Judicial Council: | | | |
| Funding for civil case coordination | – | $550 | General Fund |
| Funding for court interpreters training and testing resources | – | 75 | Court Interpreters' Fund (S) |
| Funding for enhanced security costs for appellate facilities | $788 | – | General Fund |
| Funding for civil case coordination expense reimbursement program to trial courts | 550 | – | General Fund |
| 0820 Department of Justice: | | | |
| Funding for increased workload to process relative caregiver background checks | – | 846 | General Fund |
| Funding for Energy Emergency Task Force | – | 2,388 | General Fund |
| Funding to process relative caregiver background checks pursuant to Chapter 453, Statutes of 1997 and Chapter 949, Statutes of 1998 | 560 | – | General Fund |
| Funding to defend the Franchise Tax Board in a tax assessment case in Nevada Court | 1,826 | – | General Fund |
| Funding to address increased costs of overtime for special agents and criminalists resulting from employee compensation adjustments | 410 | – | General Fund |
| Funding for applicant fingerprint workload | 3,181 | – | Fingerprint Fee Account (S) |
| Funding for investigations of False Claims Act Case referrals | 2,635 | – | False Claims Act Fund (S) |
| Funding for implementation of Tribal State Gaming Compacts | 1,917 | – | Indian Gaming Special Distribution Fund (S) |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9840 AUGMENTATION FOR CONTINGENCIES OR EMERGENCIES—Continued

### DETAIL OF ALLOCATIONS IN AGENCY BUDGETS—Continued

STATE OPERATIONS—Continued

| | 1999–00 * | 2000–01 * | Fund (Class) |
|---|---|---|---|
| **Legislative/Judicial/Executive—Continued** | | | |
| 0840 State Controller's Office: | | | |
| Funding for Unclaimed Property Amnesty Program pursuant to Chapter 267, Statutes of 2000 | – | $779 | General Fund |
| Funding for the administration of the Vehicle License Fee Rebate Program pursuant to Chapter 106, Statutes of 2000 | – | 5,613 | General Fund |
| 0860 Board of Equalization: | | | |
| Funding for increased costs associated with the migration of files to Teale Data Center | ($178) | – | Total |
| | 1 | – | Breast Cancer Fund (S) |
| | 5 | – | State Emergency Telephone Number Account (S) |
| | 1 | – | Childhood Lead Poisoning Prevention Fund (S) |
| | 10 | – | Cigarette & Tobacco Products Surtax Fund (S) |
| | 1 | – | Oil Spill Prevention & Administration Fund (S) |
| | 2 | – | Integrated Waste Management Account (S) |
| | 10 | – | Underground Storage Tank Cleanup Fund (S) |
| | 2 | – | Energy Resources Program Account (S) |
| | 146 | – | CA Children & Families First Trust Fund (S) |
| 0890 Secretary of State: | | | |
| Funding to implement the mandates of Proposition 34 approved in the November election | – | 581 | General Fund |
| Funding to develop and implement changes to the new CALVOTER II candidate and voter reporting system, per Chapter 18, Statutes of 1999 | 170 | – | General Fund |
| Funding for printing and mailing of ballot pamphlets | 4,928 | – | General Fund |
| **State and Consumer Services** | | | |
| 1120 State Board of Accountancy: | | | |
| Funding for unanticipated Attorney General costs | $424 | – | Accountancy Fund (S) |
| 1140 State Athletic Commission: | | | |
| Funding for unanticipated attorney fees | 97 | $63 | General Fund |
| 1480 State Board of Optometry: | | | |
| Funding for unanticipated Attorney General costs | 105 | – | State Optometry Fund (S) |
| 1560 Veterinary Medical Board: | | | |
| Funding for unanticipated Attorney General costs | 63 | – | Veterinary Medical Board Contingent Fund (S) |
| 1730 Franchise Tax Board: | | | |
| Funding for administrative costs to implement the refundable child care credit pursuant to Chapter 114, Statutes of 2000 | – | 4,210 | General Fund |
| Funding for increases in base rental and insurance costs and to provide fund realignment for rental charges | 34 | – | General Fund |
| Funding for increased Homeowners and Renters Assistance Claims due to changes in eligibility resulting from the passage of Chapter 322, Statutes of 1998 | 3,176 | – | General Fund |
| 1760 Department of General Services: | | | |
| Funding for the increase in the cost of purchasing natural gas on the open market on behalf of state and local agencies | – | 49,555 | Service Revolving Fund (N) |
| Funding for legal representation costs in the Williams v. State of California lawsuit | – | 2,000 | General Fund |
| 1880 State Personnel Board: | | | |
| Funding for the settlement of Kidd v. SPB and DFG | 175 | – | General Fund |
| **Business, Transportation and Housing** | | | |
| 2180 Department of Corporations: | | | |
| Funding for startup costs for the Department of Managed Care | $2,071 | – | Corporations Fund (S) |
| 2720 California Highway Patrol: | | | |
| Funding for overtime and special pay costs for Bargaining Units 5 and 7 | (3,227) | – | Total |
| | 26 | – | State Highway Account (S) |
| | 3,105 | – | Motor Vehicle Account (S) |
| | 2 | – | Motor Carriers Permit Fund (S) |
| | 1 | – | Motor Carriers Safety Improvement Fund (S) |
| | 93 | – | Protective Services Fund (N) |

*** Dollars in thousands, except in Salary Range.**

## 9840 AUGMENTATION FOR CONTINGENCIES OR EMERGENCIES—Continued

### DETAIL OF ALLOCATIONS IN AGENCY BUDGETS—Continued

STATE OPERATIONS—Continued

| | 1999–00 * | 2000–01 * | Fund (Class) |
|---|---|---|---|
| **Business, Transportation and Housing—Continued** | | | |
| Funding for increased State contributions for Employee Health Benefits and for additional overtime incurred in Y2K readiness | ($3,063) | – | Total |
| | 72 | – | State Highway Account (S) |
| | 2,914 | – | Motor Vehicle Account (S) |
| | 3 | – | Motor Carriers Permit Fund (S) |
| | 3 | – | Motor Carriers Safety Improvement Fund (S) |
| | 71 | – | Protective Services Fund (N) |
| 2740 Department of Motor Vehicles: | | | |
| Funding for the administrative costs of the VLF Rebate pursuant to Chapters 106 and 107, Statutes of 2000 | – | $9,372 | General Fund |
| Funding to support administrative costs to implement Chapter 880, Statutes of 1999; continuation of proof of insurance requirement | (6,304) | – | Total |
| | 990 | – | Motor Vehicle Account (S) |
| | 4,583 | – | Motor Vehicle License Fee Account (S) |
| | 731 | – | State Highway Account (S) |
| **Resources** | | | |
| 3340 California Conservation Corps: | | | |
| Funding for the $.50 per hour increase in minimum wage adopted by the Industrial Welfare Commission effective January 1, 2001 | – | $1,050 | General Fund |
| 3360 Energy Resources Conservation and Development Commission: | | | |
| Funding for power plant siting workload | $1,880 | – | Energy Resources Program Account (S) |
| 3480 Department of Conservation: | | | |
| Funding to determine legal requirements for California Environmental Quality Act review of oil drilling in Kern County | – | 340 | General Fund |
| 3540 Department of Forestry and Fire Protection: | | | |
| Funding for emergency responses to forest fires | 25,240 | – | General Fund |
| 3600 Department of Fish and Game: | | | |
| Funding to clean up a toxic abandoned mine site in the Spenceville Wildlife Area in Nevada County | – | 8,996 | General Fund |
| Funding to eradicate Caulerpa Algae discovered in the Aqua Hedionda Lagoon located in the city of Carlsbad | – | 950 | General Fund |
| Funding to offset the decline in cigarette revenues for the Public Resources Account | – | 3,600 | Environmental License Plate Fund (S) |
| Funding for lawsuit settlement related to employee hiring dispute—Kidd v. SPB & DFG | 175 | – | General Fund |
| Funding for local grant associated with the completion of Oiled Wildlife Centers | 1,177 | – | Oil Spill Response Trust Fund (S) |
| 3760 State Coastal Conservancy: | | | |
| Funding for staffing to implement the provisions of Chapter 50, Statutes of 1999 | 120 | – | General Fund |
| **Health and Human Services** | | | |
| 4110 Organization of Area Boards on Developmental Disabilities: | | | |
| Loan for cash flow needs | – | (–$518) | General Fund |
| 4280 Managed Risk Medical Board: | | | |
| Funding to expand the Healthy Families Program to parents | – | 127 | General Fund |
| 4300 Department of Developmental Services: | | | |
| Funding to offset the loss of Federal Funds due to the decertification of the Sonoma Developmental Center, a delay in recertifying Agnews Developmental Center, and delays in certifying the Sierra Vista facility | – | 36,514 | General Fund |
| Funding to expand the volunteer advocacy services to the Sierra Vista and Canyon Springs treatment facilities | – | 9 | General Fund |
| Funding to offset the loss of Federal Funds due to the decertification of the Agnews Developmental Center, the partial decertification of Porterville Developmental Center, and the anticipated decertification of Sonoma Developmental Center as of June 1, 2000, and funding for costs involved in providing housing in southern California for 80 developmentally disabled clients with severe behavioral problems | $33,827 | – | General Fund |

*** Dollars in thousands, except in Salary Range.**

## 9840 AUGMENTATION FOR CONTINGENCIES OR EMERGENCIES—Continued

### DETAIL OF ALLOCATIONS IN AGENCY BUDGETS—Continued

STATE OPERATIONS—Continued

| | 1999–00 * | 2000–01 * | Fund (Class) |
|---|---|---|---|
| **Health and Human Services—Continued** | | | |
| 4440 Department of Mental Health: | | | |
| Funding to provide additional evaluations of mentally disordered offenders | $377 | – | General Fund |
| 5175 Department of Child Support Services: | | | |
| Funding for Child Support Commissioners/Family Law Facilitators salary adjustment | – | $107 | General Fund |
| Funding for the Pre-Statewide Interim Systems Management (PRISM) Project costs | – | 1,279 | General Fund |
| **Youth and Adult Correctional** | | | |
| 0550 Secretary for Youth and Adult Correctional Agency: | | | |
| Funding for the Substance Abuse Treatment Program Coordinator | – | $66 | General Fund |
| 5240 Department of Corrections: | | | |
| Funding for contract medical, medical and psychiatric supplies | – | 45,032 | General Fund |
| Funding for medical guarding and transportation costs | – | 3,230 | General Fund |
| Funding for the Mental Health Delivery System | – | 4,186 | General Fund |
| Funding for Fall population increase | – | (41,645) | Total |
| | – | 40,987 | General Fund |
| | – | 658 | Inmate Welfare Fund(N) |
| Funding for relief for posted positions and increased workers' compensation costs | – | 27,064 | General Fund |
| Funding for positions included in the 1999 Budget Act that were approved without sufficient funding | $1,532 | – | General Fund |
| Funding for the Youthful Offender Program to temporarily house CDC inmates under 18 years of age at the California Correctional Institution | 785 | – | General Fund |
| Funding for additional Correctional Sergeants in Security Housing Units at Corcoran State Prison and Pelican Bay State Prison | 812 | – | General Fund |
| Funding to address the net impact of underbudgeted leave for posted positions | 4,126 | – | General Fund |
| Funding to initiate a Correctional Officer and Medical Technical Assistant recruitment effort | 512 | – | General Fund |
| Funding to provide sufficient personnel staff resources to accommodate increased departmental personnel workload | 813 | – | General Fund |
| Funding to assess the quality of inmate medical services and to provide additional services at the women's institutions | 1,109 | – | General Fund |
| Funding to address increased medical and psychiatric supply costs—largely pharmaceuticals | 778 | – | General Fund |
| Funding to implement the provisions of a court order related to the Coleman v. Davis lawsuit for additional mental health services in Administrative Segregation Units | 10,028 | – | General Fund |
| Funding to comply with the court decision related to the class action lawsuit Armstrong v. Davis | 214 | – | General Fund |
| 5440 Board of Prison Terms: | | | |
| Funding for fall hearing workload adjustment | – | 528 | General Fund |
| 5460 Department of the Youth Authority: | | | |
| Funding for Fall population adjustments | 3,200 | – | General Fund |
| Funding for unanticipated and extraordinary medical expenditures | 2,500 | – | General Fund |
| **Education** | | | |
| 6110 Department of Education: | | | |
| Funding for the evaluation of whether current standards based tests adequately measure the State adopted content standards and to develop performance measures for the High School Exit Exam | – | $3,000 | General Fund |
| Funding for State Special Schools bilingual increase | $123 | – | General Fund |
| 6610 California State University: | | | |
| Funding for increases in base rental and insurance costs and to provide fund realignment for rental charges | 83 | – | General Fund |
| 7980 Student Aid Commission: | | | |
| Funding for implementation of new Cal Grant Program | – | 3,342 | General Fund |
| Funding to complete the migration to the grant delivery system | 424 | – | General Fund |
| **General Government** | | | |
| 8350 Department of Industrial Relations: | | | |
| Funding for 212 wage claims filed in the Fresno Office of the Division of Labor Standards and Enforcement payable from the Farm Labor Contractor Fund | – | $80 | Farm Labor Contractors Special Account (S) |

*** Dollars in thousands, except in Salary Range.**

## 9840 AUGMENTATION FOR CONTINGENCIES OR EMERGENCIES—Continued

### DETAIL OF ALLOCATIONS IN AGENCY BUDGETS—Continued

STATE OPERATIONS—Continued

| | 1999–00 * | 2000–01 * | Fund (Class) |
|---|---|---|---|
| **General Government—Continued** | | | |
| 8700 Board of Control: | | | |
| Funding for increased costs associated with Joint Powers contracts for Victims of Crime claims processing | $445 | – | Restitution Fund (S) |
| 8660 Public Utilities Commission: | | | |
| Funding to meet the requirements of Chapter 797, Statutes of 1998 for Plan B of the Carmel River Dam and Reservoir Project | – | $500 | Public Utilities Commission Utilities Reimbursement Account (S) |
| 8940 Military Department: | | | |
| Funding for State Active Duty employee compensation increases required by the federal government | 242 | 183 | General Fund |
| 8965 Veterans' Home of California—Barstow: | | | |
| Funding for increases in costs incurred as a result of the Home's response to DHS licensing survey | 1,792 | – | General Fund |
| 9650 Health and Dental Benefits for Annuitants: | | | |
| Funding for increased dental premiums and the increased number of retirees resulting from the passage of Chapter 555, Statutes of 1999 | 4,510 | – | General Fund |
| 9911 Utilities Costs: | | | |
| Funding to be allocated by the Department of Finance to various departments to support the increased costs of utilities such as electricity and natural gas | – | (50,000) | Total |
| | – | 25,000 | General Fund |
| | – | 12,500 | Unallocated Special Funds (S) |
| | – | 12,500 | Unallocated Nongovernmental Cost Funds (N) |
| 9914 Postage Rate Increase: | | | |
| Funding to be allocated by the Department of Finance to various departments to support the postage rate increase | – | (4,500) | Total |
| | – | 1,500 | General Fund |
| | – | 2,000 | Unallocated Special Funds (S) |
| | – | 1,000 | Unallocated Nongovernmental Funds (N) |
| Totals, State Operations | $132,706 | $312,360 | |
| General Fund | 106,036 | 229,892 | |
| Special funds | 26,506 | 18,755 | |
| Nongovernmental cost funds | 164 | 63,713 | |

LOCAL ASSISTANCE

| | | | |
|---|---|---|---|
| **Legislative/Judicial/Executive** | | | |
| 0450 State Trial Court Funding: | | | |
| Funding for municipal and subordinate judicial officers technical pay increases | ($8,866) | – | Total |
| | 4,433 | – | General Fund |
| | 4,433 | – | Trial Court Trust Fund (N) |
| Funding for trial court employees negotiated salary increases for 1998–99 agreed costs | (24,800) | – | Total |
| | 12,400 | – | General Fund |
| | 12,400 | – | Trial Court Trust Fund (N) |
| Funding for trial court employees negotiated salary increases for 1999–00 agreed costs | (25,900) | – | Total |
| | 12,950 | – | General Fund |
| | 12,950 | – | Trial Court Trust Fund (N) |
| 0820 Department of Justice: | | | |
| Funding to reimburse local agencies for the costs to obtain specimens for the purpose of the DNA Data Bank | (2,160) | – | Total |
| | 1,080 | – | General Fund |
| | 1,080 | – | DNA Testing Fund (S) |
| **State and Consumer Services** | | | |
| 0840 State Controller's Office: | | | |
| Funding for the Yosemite Foundation pursuant to Chapter 1273, Statutes of 1992 | – | $840 | Yosemite Foundation Account, Environmental License Plate Fund (S) |

*** Dollars in thousands, except in Salary Range.**

## 9840 AUGMENTATION FOR CONTINGENCIES OR EMERGENCIES—Continued

### DETAIL OF ALLOCATIONS IN AGENCY BUDGETS—Continued

LOCAL ASSISTANCE—Continued

| | 1999–00* | 2000–01* | Fund (Class) |
|---|---|---|---|
| **State and Consumer Services—Continued** | | | |
| 1760 Department of General Services: | | | |
| Funding for 911 Program cost increases | $14,926 | – | State Emergency Telephone Number Account (S) |
| **Business, Transportation and Housing** | | | |
| 2640 Special Transportation Programs: | | | |
| Funding for increased allocations to local transit agencies pursuant to Section 99312 of the Public Utilities Code | – | $10,819 | Public Transportation Account (S) |
| 2660 Department of Transportation: | | | |
| Funding for the Bay Area Transportation Authority federalization of Seismic Retrofit Projects | – | 403 | Public Transportation Account (S) |
| **Health and Human Services** | | | |
| 4170 Department of Aging: | | | |
| Funding to support the California minimum wage increases in the Senior Community Employment Services Program | – | $274 | General Fund |
| 4200 Department of Alcohol and Drug Programs: | | | |
| Funding for the Medi-Cal drug caseload adjustment | – | 11,842 | General Fund |
| 4260 Department of Health Services: | | | |
| Funding to add the Prevnar vaccine to the Child Health and Disability Prevention Program immunization schedule and for caseload increases in the Child Health and Disability Prevention Program, California Children's Services Program and Genetically Handicapped Persons Program | – | 4,739 | General Fund |
| Funding to reflect the Medi-Cal caseload adjustment | – | 31,029 | General Fund |
| Funding for the Orthopedic Hospital Settlement agreement | – | 175,000 | General Fund |
| Funding to reflect November caseload adjustments for the Child Health and Disability Prevention, California Children's Services, and Genetically Handicapped Persons Program(s) | $12,287 | – | General Fund |
| Funding to support Medi-Cal caseload, cost and utilization as reflected in the May estimate | 455,068 | – | General Fund |
| 4280 Managed Risk Medical Insurance Board: | | | |
| Funding to expand the Healthy Families Program to parents | – | 2,000 | General Fund |
| Funding for accelerated enrollment of children in the Healthy Families Program | 3,400 | – | General Fund |
| 4300 Department of Developmental Services: | | | |
| Funding to offset the loss of Federal Funds due to delays in recertifying programs under the Home and Community-Based Services Waiver, to fund the costs of administering various services and contract provided by the Area Boards on Developmental Disabilities | 48,347 | – | General Fund |
| 4440 Department of Mental Health: | | | |
| Funding for the Mental Health Managed Care caseload adjustment | – | 4,538 | General Fund |
| 5160 Department of Rehabilitation: | | | |
| Funding for caseload increases in the Work Activity and Supported Employment Programs | – | 5,291 | General Fund |
| Funding for caseload increases in the Supported Employment Program. | 10,412 | – | General Fund |
| 5175 Department of Child Support Services: | | | |
| Funding for administrative costs associated with the Statewide Automated Child Support System close-out audit and the alternative federal penalty pursuant to P.L. 105–200 | – | 30,949 | General Fund |
| 5180 Department of Social Services: | | | |
| Funding for welfare automation project cost increases | – | 3,014 | General Fund |
| Funding for Adult Protective Services caseload increases | – | 5,663 | General Fund |
| Funding for Child Welfare Services from the Child Health Safety Fund. | – | 40 | Child Health and Safety Fund (S) |
| **General Government** | | | |
| 9100 Tax Relief: | | | |
| Funding for increases in payments of open-space subventions | $314 | – | General Fund |
| Totals, Local Assistance | $606,480 | $286,441 | |

*** Dollars in thousands, except in Salary Range.**

## 9840 AUGMENTATION FOR CONTINGENCIES OR EMERGENCIES—Continued

### DETAIL OF ALLOCATIONS IN AGENCY BUDGETS—Continued

LOCAL ASSISTANCE—Continued

| | 1999–00* | 2000–01* | Fund (Class) |
|---|---|---|---|
| **General Government—Continued** | | | |
| General Fund | $560,691 | $274,339 | |
| Special funds | 16,006 | 12,102 | |
| Nongovernmental cost funds | 29,783 | – | |
| TOTALS, ALLOCATIONS (State Operations and Local Assistance) | $739,186 | $598,801 | |
| General Fund | 666,727 | 504,231 | |
| Special funds | 42,512 | 30,857 | |
| Nongovernmental cost funds | 29,947 | 63,713 | |

## RECONCILIATION WITH APPROPRIATIONS

### 1 STATE OPERATIONS

#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $2,000 | $2,000 | $2,000 |
| Proposed deficiency bill | 666,727 | 504,231 | – |
| Totals Available | $668,727 | $506,231 | $2,000 |
| Allocations included in agency budgets | −667,678 | −504,231 | – |
| Unallocated balance, estimated savings | 1,049 | – | – |
| TOTALS, EXPENDITURES | – | $2,000 | $2,000 |

#### 0494 Special Funds

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,500 | $1,500 | $1,500 |
| Proposed deficiency bill | 42,512 | 30,857 | – |
| Totals Available | $44,012 | $32,357 | $1,500 |
| Allocations included in agency budgets | −43,127 | −30,857 | – |
| Unallocated balance, estimated savings | −885 | – | – |
| TOTALS, EXPENDITURES | – | $1,500 | $1,500 |

#### 0988 Nongovernmental Cost Funds

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $1,500 | $1,500 | $1,500 |
| Proposed deficiency bill | 29,947 | 63,713 | – |
| Totals Available | $31,447 | $65,213 | $1,500 |
| Allocations included in agency budgets | −29,963 | −63,713 | – |
| Unallocated balance, estimated savings | −1,484 | – | – |
| TOTALS, EXPENDITURES | – | $1,500 | $1,500 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | – | $5,000 | $5,000 |

## LOANS

#### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 011 Budget Act appropriations | ($2,500) | ($2,500) | ($2,500) |
| Loans to agencies | (−615) | (−518) | – |
| Loans returned or accrued for return | (615) | (518) | – |
| Balance | ($2,500) | ($2,500) | ($2,500) |

*** Dollars in thousands, except in Salary Range.**

# 9860 UNALLOCATED CAPITAL OUTLAY

PROJECT PLANNING

Appropriations for project planning provide for allocations to agencies for the purpose of securing sound cost estimates for future projects. These allocations are included in this budget as expenditures.

FUND CONDITION

Fund Condition statements which are not associated with a particular program are also reflected in this budget.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 10.10.010 Project Planning | $1,000 | $2,000 | $2,000 |
| TOTALS, PROGRAMS | $1,000 | $2,000 | $2,000 |
| *0001 General Fund* | *1,000* | *2,000* | *2,000* |

**RECONCILIATION WITH APPROPRIATIONS**
**3 CAPITAL OUTLAY**
**0001 General Fund**

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 301 Budget Act appropriation (expenditures) | $1,000 | $2,000 | $2,000 |

**FUND CONDITION STATEMENT**
**0188 Energy and Resources Fund** [s]

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| BEGINNING BALANCE | – | – | – |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 161400 Miscellaneous revenue (includes Benefit Sharing per Chapter 1045, Statutes of 1984) | $470 | $701 | $769 |
| Transfers to Other Funds: | | | |
| T00001 General Fund per Public Resources Code 26042.4 (Revenue Loss from CAESFA Bonds) | –470 | –701 | –769 |
| Totals, Revenues and Transfers | – | – | – |
| Totals, Resources | – | – | – |
| FUND BALANCE | – | – | – |

# 9885 RESERVE FOR LIQUIDATION OF ENCUMBRANCES

Encumbrances (obligations for which goods and services have not been received by the State) are included in the expenditure totals for individual budget displays. This budget reflects a statewide adjustment to reduce expenditures by the encumbrance amounts in order to display the encumbrances, in accordance with Generally Accepted Accounting Principles, as a reserve against the General Fund balance. This procedure for the treatment of encumbrances was added to Government Code Section 13307 pursuant to Chapter 1238, Statutes of 1990.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Encumbrance Adjustment (General Fund) | –$110,275 | – | – |

## COMPUTATION OF ENCUMBRANCE ADJUSTMENT

The State Controller accumulated a General Fund encumbrance total of $701,275,000 from 1999–00 year-end financial statements submitted by state agencies.

For budgeting purposes, encumbrances are estimated to be at the same level for 2000–01 and 2001–02 and assumed to be liquidated within one year. The following table summarizes this methodology and the calculation for the encumbrance adjustment.

| | | | |
|---|---|---|---|
| 1998–99 Encumbrances per Controller's Preliminary Report | $591,000 | – | – |
| 1999–00 Encumbrances per Controller's Preliminary Report | –701,275 | $701,275 | – |
| 2000–01 Encumbrances | – | –701,275 | –$701,275 |
| 2001–02 Encumbrances | – | – | –701,275 |
| Encumbrance Adjustment | –$110,275 | – | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9885 RESERVE FOR LIQUIDATION OF ENCUMBRANCES—Continued

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 4 UNCLASSIFIED
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| Encumbrance Adjustment | –$110,275 | – | – |

# 9895 PETROLEUM VIOLATION ESCROW ACCOUNT PROGRAM

## Program Objectives and Description

The Petroleum Violation Escrow Account (PVEA) receives revenues from negotiated settlements and judgments against U.S. oil companies from legal actions by the federal government to recover oil company overcharges during the period of price regulations: August 1973 to January 1981. Monies collected and not yet disbursed are held in a federal Department of Energy (DOE) escrow account. Disbursement of the funds to the states is generally determined by the DOE's Office of Hearings and Appeals.

This budget is an informational budget only. The appropriations and expenditures are contained in the budgets for the various affected departments.

The Petroleum Violation Escrow Account Fund Condition Statement previously displayed in 9895 is now reported in 3360—California Energy Resources Conservation and Development Commission.

# 9900 STATEWIDE GENERAL ADMINISTRATIVE EXPENDITURES (PRO RATA)

## Program Objectives Statement

Government Code Sections 11270–11275 and 22828.5 provide for recovery of General Fund costs for statewide general administrative expenditures (Pro Rata) from special funds.

The various departmental budgets normally include funds to pay for the Pro Rata charges. In order to ensure that funding is provided to pay for Pro Rata charges, Government Code Section 13332.03 allows for transfers from Special Funds to the General Fund in those cases where appropriate funding was not provided in items.

### SUMMARY OF PROGRAM REQUIREMENTS

| Pro Rata Direct Charges to Special Funds: | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0028 Unified Program Account (Various)* | *$36* | – | – |
| *0080 Childhood Lead Poisoning Prevention Fund (Dept. of Health Services)* | *26* | *$289* | *$164* |
| *0133 California Beverage Container Recycling Fund (Dept. of Conservation)* | *3,080* | *2,750* | *2,854* |
| *0140 California Environmental License Plate Fund (Various)* | *33* | *7* | *4* |
| *0176 Delta Flood Protection Fund (Delta Protection Commission)* | *16* | – | – |
| *0193 Waste Discharge Permit Fund (Secretary for Environmental Protection* | – | – | *25* |
| *0227 Low-Level Radioactive Waste Disposal Fund (Dept. of Health Services)* | *10* | *90* | – |
| *0231 Health Education Account (Dept. of Health Services)* | *1,551* | *880* | *488* |
| *0232 Hospital Services Account (Dept. of Health Services)* | *24* | *17* | *72* |
| *0233 Physician Services Account (Dept. of Health Services)* | *6* | – | – |
| *0234 Research Account (Dept. of Health Services)* | *158* | *118* | – |
| *0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund (Dept. of Health Services)* | *82* | *103* | – |
| *0240 Local Agency Deposit Security Fund (State Treasurer's Office)* | *4* | – | *29* |
| *0246 Protective Services Fund (California Highway Patrol)* | – | *103* | – |
| *0269 Glass Processing Fee Account (Dept. of Conservation)* | – | *311* | *222* |
| *0273 Long Term Management Strategy Completion Fund (San Francisco Bay Conservation and Development Commission)* | *(9)* [1] | – | – |
| *0278 PET Processing Fee Account, California Beverage Container Recycling Fund (Dept. of Conservation)* | *62* | – | *121* |
| *0321 Oil Spill Response Trust Fund (Dept. of Fish and Game)* | *69* | – | – |
| *0437 State Assistance for Fire Equipment Account (Office of Emergency Services)* | *1* | – | – |
| *0455 Hazardous Substance Subaccount (Dept. of Toxics Substances Control)* | – | *24* | *2* |
| *0488 Veterans Memorial Account (Veterans Memorial Commission)* | *(2)* [1] | – | – |
| *0489 Rice Straw Demonstration Project Fund (Air Resources Board)* | – | *(8)* [1] | – |
| *0516 Harbors and Watercraft Revolving Fund (Dept. of Food and Agriculture)* | – | – | *3* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9900 STATEWIDE GENERAL ADMINISTRATIVE EXPENDITURES (PRO RATA)—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| *0914 Bay Fill Clean-up and Abatement Fund (San Francisco Bay Conservation and Development Commission)* | – | – | *$2* |
| *0928 Forest Resources Improvement Fund (Secretary for Resources)* | – | – | *18* |
| *0940 Renewable Resources Investment Fund (State Water Resources Control Board)* | *$3* | – | – |
| *0945 California Breast Cancer Research Fund (Dept. of Health Services)* | *6* | – | – |
| *General Fund Credits* | *–175,751* | *–$202,060* | *–254,724* |
| TOTALS, EXPENDITURES (Pro Rata charges included in Departmental Budgets) | –$170,584 | –$197,368 | –$250,720 |

---

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

| **0001 General Fund** | ***1999–00**** | ***2000–01**** | ***2001–02**** |
|---|---|---|---|
| Government Code Sections 11270–11275 and 22828.5 (expenditures) | –$175,751 | –$202,060 | –$254,724 |
| **0028 Unified Program Account [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $36 | – | – |
| **0080 Childhood Lead Poisoning Prevention Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $26 | $289 | $164 |
| **0133 California Beverage Container Recycling Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $3,080 | $2,750 | $2,854 |
| **0140 California Environmental License Plate Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $33 | $7 | $4 |
| **0176 Delta Flood Protection Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $16 | – | – |
| **0193 Waste Discharge Permit Fund** | | | |
| Government Code Section 13332.03 (expenditures) | – | – | $25 |
| **0227 Low-Level Radioactive Waste Disposal Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $10 | $90 | – |
| **0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $1,551 | $880 | $488 |
| **0232 Hospital Services Account, Cigarette and Tobacco Products Surtax Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $24 | $17 | $72 |
| **0233 Physician Services Account, Cigarette and Tobacco Products Surtax Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $6 | – | – |
| **0234 Research Account, Cigarette and Tobacco Products Surtax Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $158 | $118 | – |
| **0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $82 | $103 | – |

*** Dollars in thousands, except in Salary Range.**

## 9900 STATEWIDE GENERAL ADMINISTRATIVE EXPENDITURES (PRO RATA)—Continued

| | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| **0240 Local Agency Deposit Security Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $4 | – | $29 |
| **0246 Protective Services Fund** | | | |
| Government Code Section 13332.03 (expenditures) | – | $103 | – |
| **0269 Glass Processing Fee Account, California Beverage Container Recycling Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | – | $311 | $222 |
| **0273 Long Term Management Strategy Completion Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | ($9) [1] | – | – |
| **0278 PET Processing Fee Account, California Beverage Container Recycling Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $62 | – | $121 |
| **0321 Oil Spill Response Trust Fund [s]** | | | |
| Government Code Section 13332.02 (expenditures) | $69 | – | – |
| **0437 State Assistance for Fire Equipment Account [s]** | | | |
| Government Code Section 13332.03 (expenditures) | $1 | – | – |
| **0455 Hazardous Substance Account [s]** | | | |
| Government Code Section 13332.03 (expenditures) | – | $24 | $2 |
| **0488 Veterans Memorial Account [s]** | | | |
| Government Code Section 13332.03 (expenditures) | ($2) [1] | – | – |
| **0489 Rice Straw Demonstration Project Fund [s]** | | | |
| Government Code Section 13332.03 (expenditures) | – | ($8) [1] | – |
| **0516 Harbors and Watercraft Revolving Fund [n]** | | | |
| Government Code Section 13332.03 (expenditures) | – | – | $3 |
| **0914 Bay Fill Clean-up and Abatement Fund** | | | |
| Government Code Section 13332.03 (expenditures) | – | – | $2 |
| **0928 Forest Resources Improvement Fund** | | | |
| Government Code Section 13332.03 (expenditures) | – | – | $18 |
| **0940 Renewable Resources Investment Fund [n]** | | | |
| Government Code Section 13332.03 (expenditures) | $3 | – | – |
| **0945 California Breast Cancer Research Fund [n]** | | | |
| Government Code Section 13332.03 (expenditure) | $6 | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | –$170,584 | –$197,368 | –$250,720 |

[1] Funds are not available for pro rata direct transfer. However, the amount will be included in the State Controller's special funds billing list, so that a direct transfer could be made if any funds become available.

## 9903 ALTERNATIVE PROCUREMENTS FOR INFORMATION TECHNOLOGY

The 1999 Budget Act provided $5.0 million special funds in support of information technology procurement projects for applicant state agencies, departments, boards, commissions or other entities of state government. These funds were made available for allocation by the Department of Finance to applicant state entities which complied with specific requirements.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9903 ALTERNATIVE PROCUREMENTS FOR INFORMATION TECHNOLOGY—Continued

| SUMMARY OF PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| TOTALS, EXPENDITURES (Balance available for allocation) | – | – | – |
| *0494 Special funds* | – | – | – |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

| 0494 Special Funds | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $5,000 | – | – |
| Unexpended balance, estimated savings | –5,000 | – | – |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | – | – |

## 9904 INFORMATION TECHNOLOGY CENTURY CHANGE

The 1999 Budget Act provided $33.5 million in support of century change information technology activities for applicant state agencies, departments, boards, commissions or other entities of state government. The appropriations were in the amount of $13.5 million General Fund, $10.0 million special funds, and $10.0 million nongovernmental cost funds. An additional $30.8 million General Fund was available for allocation per Provision 5 of Item 9904-001-0001. Century change activity funds were made available for allocation by the Department of Finance to applicant state entities which complied with specific requirements.

| SUMMARY OF PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| TOTALS, EXPENDITURES (Balance available for allocation) | – | – | – |
| *0001 General Fund* | – | – | – |
| *0494 Special funds* | – | – | – |
| *0988 Nongovernmental cost funds* | – | – | – |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | $13,500 | – | – |
| Increased expenditure authority per Provision 5 | 20,681 | – | – |
| Allocations to: | | | |
| Department of the Youth Authority | –557 | – | – |
| Department of Developmental Services | –2,046 | – | – |
| Department of Forestry and Fire Protection | –2,303 | – | – |
| Department of Corrections | –4,262 | – | – |
| Department of Social Services | –327 | – | – |
| Department of Information Technology | –16,563 | – | – |
| Department of Health Services | –1,940 | – | – |
| Office of Emergency Services | –3,459 | – | – |
| Emergency Medical Services Authority | –168 | – | – |
| Athletic Commission | –10 | – | – |
| Department of Education | –100 | – | – |
| Department of Veterans Affairs | –2,446 | – | – |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | – | – |

### 0494 Special Funds

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | $10,000 | – | – |
| Increased expenditure authority per Provision 2 | 541 | – | – |
| Allocations to: | | | |
| Department of Motor Vehicles | –2,562 | – | – |
| Department of Consumer Affairs | –7,950 | – | – |
| Department of Health Services | –29 | – | – |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | – | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9904 INFORMATION TECHNOLOGY CENTURY CHANGE—Continued

### 0988 Nongovernmental Cost Funds

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | $10,000 | – | – |
| Allocations to: | | | |
| Department of Social Services | –350 | – | – |
| Department of Health Services | –2,331 | – | – |
| Unexpended balance, estimated savings | –7,319 | – | – |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | – | – |
| TOTALS, EXPENDITURES, ALL FUNDS (Balance available for allocation) | – | – | – |

## 9905 INFORMATION TECHNOLOGY INNOVATION ACTIVITIES

The 2000 Budget Act provides $10 million General Fund in support of information technology systems and services for applicant state agencies, departments, boards, commissions, or other entities of state government. Upon approval by the Information Technology Innovation Council, information technology innovation project funds are available for allocation by the Department of Finance to applicant state entities that comply with specific requirements in accordance with guidelines established by the Council.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $10,000 | – |
| *0001 General Fund* | – | *10,000* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (Balance available for allocation) (expenditures) | – | $10,000 | – |
| TOTALS, EXPENDITURES (State Operations) (Balance available for allocation) | – | $10,000 | – |

## 9906 STATEWIDE AMERICANS WITH DISABILITIES ACT FUNDING

The Budget Act of 2000 provided $60 million in support of Statewide Americans with Disabilities Act (ADA) activities for applicant state agencies, departments, boards, commissions, or other entities of state government to fund projects addressing the accessibility of state buildings to the disabled. The appropriations were in the amount of $20 million General Fund, $20 million special funds, and $20 million nongovernmental cost funds. Statewide ADA activity funds are available for allocation by the Department of Finance to applicant state entities which comply with specific requirements. This funding is available until June 30, 2002.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $60,000 | – |
| *0001 General Fund* | – | *20,000* | – |
| *0494 Special Fund* | – | *20,000* | – |
| *0988 Nongovernmental Cost Fund* | – | *20,000* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (balance available for allocation) (expenditures) | – | $20,000 | – |

### 0494 Special Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (balance available for allocation) (expenditures) | – | $20,000 | – |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9906 STATEWIDE AMERICANS WITH DISABILITIES ACT FUNDING—Continued

### 0988 Nongovernmental Cost Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (balance available for allocation) (expenditures) | – | $20,000 | – |
| TOTALS, EXPENDITURES, ALL FUNDS (balance available for allocation) | – | $60,000 | – |

## 9907 e-GOVERNMENT PROJECTS

The 2000–01 Budget Act includes $1.2 million for allocation to state agencies to conduct studies determining how e-government can provide access to government services.

| SUMMARY OF PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| TOTALS, EXPENDITURES (Balance Available for Allocation) | – | $900 | – |
| *0001 General Fund* | – | *900* | – |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation (balance available for allocation) | – | $1,200 | – |
| Allocation to: | | | |
| Department of Fish and Game | – | –300 | – |
| TOTALS, EXPENDITURES (State Operations) (Balance Available for Allocation) | – | $900 | – |

## 9908 JANITORIAL/CONTRACT SERVICES

### Program Objectives Statement

The 2000 Budget Act provided $6 million to fund increased costs of personal services contracts as a result of providing employee benefits to janitors, housekeepers, custodians, food service workers, laundry workers, window cleaners, and security guards at a level valued at not less than 85 percent of the state employer cost of comparable benefits provided to State employees for performing similar duties.

| SUMMARY OF PROGRAM REQUIREMENTS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $6,000 | $6,000 |
| *0001 General Fund* | – | *3,000* | *3,000* |
| *0494 Special Fund* | – | *1,500* | *1,500* |
| *0988 Nongovernmental Cost Fund* | – | *1,500* | *1,500* |

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | 1999–00* | 2000–01* | 2001–02* |
|---|---|---|---|
| 001 Budget Act appropriation | – | $3,000 | $3,000 |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $3,000 | $3,000 |

### 0494 Special Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | $1,500 | $1,500 |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $1,500 | $1,500 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9908 JANITORIAL/CONTRACT SERVICES—Continued

### 0988 Nongovernmental Cost Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | $1,500 | $1,500 |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $1,500 | $1,500 |
| TOTALS, EXPENDITURES, ALL FUNDS (Balance available for allocation) | – | $6,000 | $6,000 |

## 9909 HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT COMPLIANCE

The 2001 Budget Act provides $70 million ($20 million General Fund) in support of statewide planning and implementation activities for applicant state departments and agencies pursuant to federal Health Insurance Portability and Accountability Act (HIPAA) requirements. This funding is available for allocation by the Department of Finance to applicant state entities that comply with specific requirements.

In addition to the statewide allocation, the Budget provides $22.4 million ($3.9 million General Fund) and 25.1 positions in 2001–02 for five Health and Human Services Agency departments to begin the planning and implementation phases of HIPAA compliance. These departments include: Health Services, Mental Health, Developmental Services, Alcohol and Drug Programs, and the Office of Statewide Health Planning and Development. These individual appropriations are reflected in the appropriate departmental budget display.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| TOTALS, EXPENDITURES (Balance available for allocation) | – | – | – | – | – | $70,000 |
| *0001 General Fund* | | | | – | – | *20,000* |
| *0494 Special Fund* | | | | – | – | *10,000* |
| *0988 Nongovernmental Cost Funds* | | | | – | – | *40,000* |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $20,000 |
| TOTALS, EXPENDITURES | – | – | $20,000 |

### 0494 Special Funds

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $10,000 |
| TOTALS, EXPENDITURES | – | – | $10,000 |

### 0988 Nongovernmental Cost Funds

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $40,000 |
| TOTALS, EXPENDITURES | – | – | $40,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Balance available for allocation) | – | – | $70,000 |

## 9911 UTILITIES COSTS

### Program Objectives Statement

Statewide, utilities costs have experienced a substantial increase over the past 12 months. This item sets aside $50 million annually for allocation by the Department of Finance to various departments to fund the increased costs related to various utilities such as natural gas and electricity.

| SUMMARY OF PROGRAM REQUIREMENTS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $50,000 | $50,000 |
| *0001 General Fund* | – | *25,000* | *25,000* |
| *0494 Special Fund* | – | *12,500* | *12,500* |
| *0988 Nongovernmental Cost Fund* | – | *12,500* | *12,500* |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

## 9911 UTILITIES COSTS—Continued

### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $25,000 |
| Allocation for contingencies or emergencies | – | $25,000 | – |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $25,000 | $25,000 |

### 0494 Special Fund [s]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $12,500 |
| Allocation for contingencies or emergencies | – | $12,500 | – |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $12,500 | $12,500 |

### 0988 Nongovernmental Cost Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $12,500 |
| Allocation for contingencies or emergencies | – | $12,500 | – |
| TOTALS, EXPENDITURES (Balance available for allocation) | – | $12,500 | $12,500 |
| TOTALS, EXPENDITURES, ALL FUNDS (Balance available for allocation) | – | $50,000 | $50,000 |

## 9913 DEPARTMENT OF JUSTICE ATTORNEY FEES

The statewide rate for legal services charged by the Attorney General will increase from $106 per hour to $112 per hour effective July 1, 2001. Departments receiving legal services through the Attorney General's Total Quality Assurance program will be charged an hourly rate of $120. In order to provide affected departments with sufficient authority to address the increased rate, the Department of Finance will allocate funds as necessary from this item upon review of individual departmental requests for Attorney General budget increases. Allocations from this item will be limited to departments supported by special funds and non-governmental cost funds.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| TOTALS, EXPENDITURES (Balance available for allocation) | – | – | – | – | – | $2,000 |
| *0494 Special Fund* | | | | – | – | *1,000* |
| *0988 Nongovernmental Cost Fund* | | | | – | – | *1,000* |

### SUMMARY BY OBJECT
### RECONCILIATION WITH APPROPRIATIONS
### 1 STATE OPERATIONS

### 0494 Special Fund [s]

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation (Balance available for allocation) | – | – | $1,000 |
| TOTALS, EXPENDITURES | – | – | $1,000 |

### 0988 Nongovernmental Cost Fund [n]

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation (Balance available for allocation) | – | – | $1,000 |
| TOTALS, EXPENDITURES | – | – | $1,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Balance available for allocation) | – | – | $2,000 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**

# 9914 POSTAGE RATE INCREASE

This budget reflects funding augmentation amounts for the postage rate increase effective January 2001. This increase was approved by the Governors of the U.S. Postal Service in December 2000. Therefore, the increase is not included in departmental 2000–01 appropriations or the proposed 2001–02 budgets for individual departments.

Funding for the increases in 2000–01 is proposed in the Department of Finance omnibus deficiency bill. Funding for the increases in 2001–02 is proposed in a statewide item in the budget bill. The item will be for allocation to departments by the Department of Finance.

| SUMMARY OF PROGRAM REQUIREMENTS | *99–00* | *00–01* | *01–02* | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|---|---|---|
| TOTALS, EXPENDITURES (Amount available for allocation) | – | – | – | – | $4,500 | $8,500 |
| *0001 General Fund* | | | | – | *1,500* | *3,000* |
| *0494 Special funds* | | | | – | *2,000* | *3,500* |
| *0988 Nongovernmental cost funds* | | | | – | *1,000* | *2,000* |

## SUMMARY BY OBJECT
## RECONCILIATION WITH APPROPRIATIONS
## 1 STATE OPERATIONS
### 0001 General Fund

| APPROPRIATIONS | *1999–00** | *2000–01** | *2001–02** |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $3,000 |
| Allocation for contingencies or emergencies | – | $1,500 | – |
| TOTALS, EXPENDITURES (Amount available for allocation) | – | $1,500 | $3,000 |

### 0494 Special Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $3,500 |
| Allocation for contingencies or emergencies | – | $2,000 | – |
| TOTALS, EXPENDITURES (Amount available for allocation) | – | $2,000 | $3,500 |

### 0988 Nongovernmental Cost Fund

| APPROPRIATIONS | | | |
|---|---|---|---|
| 001 Budget Act appropriation | – | – | $2,000 |
| Allocation for contingencies or emergencies | – | $1,000 | – |
| TOTALS, EXPENDITURES (Amount available for allocation) | – | $1,000 | $2,000 |
| TOTALS, ALL FUNDS (State Operations) | – | $4,500 | $8,500 |

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.**
*** Dollars in thousands, except in Salary Range.**