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2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL

The Department of Alcoholic Beverage Control administers the provisions of the Alcoholic Beverage Control Act, which vests in the Department the exclusive right and power to license and regulate the manufacture, sale, purchase, possession and transportation of alcoholic beverages within the state and, subject to certain laws of the United States, to regulate the importation and exportation of alcoholic beverages into and from the state.

Authority

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

SUMMARY OF PROGRAM REQUIREMENTS	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
10 Administration of the Alcoholic Beverage Control Act	429.7	454.7	446.7	$33,360	$35,255	$35,441
0081 Alcohol Beverage Control Fund				*31,318*	*33,508*	*34,572*
0995 Reimbursements				*2,042*	*1,747*	*869*

10 ADMINISTRATION OF ALCOHOLIC BEVERAGE CONTROL ACT

Program Objectives Statement

This program conducts the three major activities of the Department: licensing, which ensures that only qualified persons and legitimate businesses are licensed to sell, manufacture, or otherwise deal in alcoholic beverages; compliance, which ensures adherence to the alcoholic beverage control laws and regulations by all persons operating within the alcoholic beverage industry; and administration, which provides staff support and conducts administrative appeal hearings.

Major Budget Adjustments Proposed for 2001–02

- An increase of $588,000 for a supplemental salary increase for sworn personnel.
- An increase of $379,000 for office rent.

Authority

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 ADMINISTRATION OF ALCOHOLIC BEVERAGE CONTROL ACT

State Operations:	*1999–00**	*2000–01**	*2001–02**
0081 Alcohol Beverage Control Fund	*$29,834*	*$32,008*	*$33,072*
0995 Reimbursements	*2,042*	*1,747*	*869*
Totals, State Operations	$31,876	$33,755	$33,941
Local Assistance:			
0081 Alcohol Beverage Control Fund	*1,484*	*1,500*	*1,500*
Totals, Local Assistance	$1,484	$1,500	$1,500

ELEMENT REQUIREMENTS

10.10 Licensing	16,189	17,450	18,001
State Operations:			
0081 Alcohol Beverage Control Fund	*15,574*	*16,707*	*17,258*
0995 Reimbursements	*615*	*743*	*743*
10.20 Compliance	15,687	16,305	15,940
State Operations:			
0081 Alcohol Beverage Control Fund	*14,260*	*15,301*	*15,814*
0995 Reimbursements	*1,427*	*1,004*	*126*
Local Assistance:			
0081 Alcohol Beverage Control Fund	*1,484*	*1,500*	*1,500*

TOTAL EXPENDITURES

State Operations	$31,876	$33,755	$33,941
Local Assistance	1,484	1,500	1,500
TOTALS, EXPENDITURES	$33,360	$35,255	$35,441

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL—Continued

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	429.7	480.2	480.2	$20,679	$23,323	$24,061
Total Adjustments	–	–	−8.0	–	–	−386
Estimated Salary Savings	–	−25.5	−25.5	–	−1,454	−1,491
Net Totals, Salaries and Wages	429.7	454.7	446.7	$20,679	$21,869	$22,184
Staff Benefits	–	–	–	3,043	3,677	3,598
Totals, Personal Services	429.7	454.7	446.7	$23,722	$25,546	$25,782
OPERATING EXPENSES AND EQUIPMENT				$8,154	$8,209	$8,159
TOTALS, EXPENDITURES				$31,876	$33,755	$33,941

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0081 Alcohol Beverage Control Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$30,644	$31,600	$33,072
Allocation for employee compensation	1,855	65	–
Adjustment per Section 3.60	−1,493	299	–
Adjustment per Section 4.60 (Rental Rate)	–	44	–
Adjustment per Section 16.00	13	–	–
Totals Available	$31,019	$32,008	$33,072
Unexpended balance, estimated savings	−1,185	–	–
TOTALS, EXPENDITURES	$29,834	$32,008	$33,072

0995 Reimbursements

Reimbursements	$2,042	$1,747	$869
TOTALS, EXPENDITURES (State Operations)	$31,876	$33,755	$33,941

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0081 Alcohol Beverage Control Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
101 Budget Act appropriation (grants)	$1,500	$1,500	$1,500
Unexpended balance, estimated savings	−16	–	–
TOTALS, EXPENDITURES	$1,484	$1,500	$1,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	$33,360	$35,255	$35,441

FUND CONDITION STATEMENT
0081 Alcohol Beverage Control Fund [s]

	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$5,126	$6,230	$4,925
Prior year adjustments	219	–	–
Balance, Adjusted	$5,345	$6,230	$4,925

*** Dollars in thousands, except in Salary Range.**

2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL—Continued

	1999–00*	2000–01*	2001–02*
REVENUES AND TRANSFERS			
Revenues:			
121000 Liquor license fees	$34,275	$34,275	$34,275
161000 Escheat of unclaimed checks, warrants	9	9	9
161400 Miscellaneous revenue	2	2	2
Totals, Revenues	$34,286	$34,286	$34,286
Transfers to Other Funds:			
T00001 General Fund per Business and Professions Code Section 25761	−2,083	−2,083	−2,083
Totals, Transfers to Other Funds	−$2,083	−$2,083	−$2,083
Totals, Revenues and Transfers	$32,203	$32,203	$32,203
Totals, Resources	$37,548	$38,433	$37,128
EXPENDITURES			
Disbursements:			
2100 Department of Alcoholic Beverage Control:			
State Operations	29,834	32,008	33,072
Local Assistance	1,484	1,500	1,500
Totals, Disbursements	$31,318	$33,508	$34,572
FUND BALANCE	$6,230	$4,925	$2,556
Reserve for economic uncertainties	6,230	4,925	2,556

CHANGES IN AUTHORIZED POSITIONS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Totals, Authorized Positions	429.7	480.2	480.2	$20,679	$23,323	$24,061
Workload and Administrative Adjustments:						
Reduction in Authorized Positions:						
Every 15 Minutes Grant:				Salary Range		
Temporary Help	–	–	−8.0	–	–	−386
Totals, Workload and Administrative Adjustments	–	–	−8.0	–	–	−$386
Total Adjustments	–	–	−8.0	–	–	−$386
TOTALS, SALARIES AND WAGES	429.7	480.2	472.2	$20,679	$23,323	$23,675

2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD

The Alcoholic Beverage Control Appeals Board consists of three members appointed by the Governor. The Board provides a forum of appeal to persons who are dissatisfied with the Department of Alcoholic Beverage Control's decision to order penalties or issue, deny, condition, transfer, suspend or revoke any alcoholic beverage license. Following the filing of an appeal, receipt of the record on appeal, and submission of written briefs, the Board hears oral arguments in Northern and Southern California on the appropriateness of the Department's decision. The Board then prepares, publishes and distributes a formal written opinion. A party seeking review of an Appeals Board decision must file a petition for writ of review with the Court of Appeal.

The Alcoholic Beverage Control Appeals Fund is supported by a surcharge on license fees of the Department of Alcoholic Beverage Control.

SUMMARY OF PROGRAM REQUIREMENTS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
10 Administrative Review	8.8	8.8	8.8	$701	$713	$742
0117 Alcoholic Beverage Control Appeals Fund				*701*	*713*	*742*

10 ADMINISTRATIVE REVIEW

Program Objectives Statement

This program provides an appeals process and issues decisions on appeals filed with the Alcoholic Beverage Control Appeals Board. In 1999–00, 243 appeals were filed with the Board, and 238 decisions were issued by the Board.

The appeals to the Board are from decisions of the Department of Alcoholic Beverage Control, which was a party to 700 administrative hearings during the 1999–00 fiscal year. Most of these hearings involved license applications or alleged violations of the Alcoholic Beverage Control Act.

During 1999–00, judicial review of Board decisions was requested of the Court of Appeal or State Supreme Court on 59 occasions. The appellate courts denied petitions in 41 cases and granted a writ of review in seven cases. Eleven cases from 1999–00 and prior years are pending appellate decision.

Major Budget Adjustment Included in 2000–01

- Deletion of $33,000 for the Chief Counsel's accumulated annual leave and $7,000 for training replacement attorney staff because the Chief Counsel did not retire as originally planned. Of these savings, $22,000 will be expended for increased transcript costs, $7,000 for approved salary adjustments and the remaining $11,000 is shown as anticipated savings.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD—Continued

Major Budget Adjustments Proposed for 2001–02

- Continuation of $22,000 for increased transcript costs, $7,000 for approved salary adjustments and augmentation of $3,000 for increased office rent.
- Augmentation of $8,000 for placing the Board's decisions on its website for public access via the Internet.

Authority

Article XX, Section 22, of the California Constitution and Division 9, Business and Professions Code.

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	8.8	8.8	8.8	$417	$471	$436
Total Adjustments	–	–	–	–	−37	–
Net Totals, Salaries and Wages	8.8	8.8	8.8	$417	$434	$436
Staff Benefits	–	–	–	57	58	59
Totals, Personal Services	8.8	8.8	8.8	$474	$492	$495
OPERATING EXPENSES AND EQUIPMENT				$227	$221	$247
TOTALS, EXPENDITURES				$701	$713	$742

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0117 Alcoholic Beverage Control Appeals Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$710	$727	$742
Allocation for employee compensation	32	1	–
Adjustment per Section 3.60	−26	−4	–
Adjustment per Section 16.00	1	–	–
Totals Available	$717	$724	$742
Unexpended balance, estimated savings	−16	−11	–
TOTALS, EXPENDITURES (State Operations)	$701	$713	$742

FUND CONDITION STATEMENT
0117 Alcoholic Beverage Control Appeals Fund [s]

	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$584	$580	$448
Prior year adjustments	116	–	–
Balance, Adjusted	$700	$580	$448
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees	581	581	581
Totals, Revenues and Transfers	$581	$581	$581
Totals, Resources	$1,281	$1,161	$1,029
EXPENDITURES			
Disbursements:			
2120 Alcoholic Beverage Control Appeals Board (State Operations)	701	713	742
FUND BALANCE	$580	$448	$287
Reserve for economic uncertainties	580	448	287

*** Dollars in thousands, except in Salary Range.**

2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD—Continued

CHANGES IN AUTHORIZED POSITIONS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Totals, Authorized Positions	8.8	8.8	8.8	$417	$471	$436
Workload and Administrative Adjustments:						
Reduction in Authorized Positions:				Salary Range		
Temporary Help	–	–	–	–	−37	–
Total Adjustments	–	–	–	–	−$37	–
TOTALS, SALARIES AND WAGES	8.8	8.8	8.8	$417	$434	$436

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS

The Department of Financial Institutions was established effective July 1, 1997 to regulate depository institutions, including commercial banks, savings associations, credit unions, industrial loan companies, and certain other providers of financial services. In addition, the Department licenses and regulates issuers of payment instruments, including companies licensed to sell money orders and/or travelers' checks or licensed to engage in the business of transmitting money abroad, and business and industrial development corporations. Programs are supported by assessment of the various industries, license and application fees, and charges for various other services.

SUMMARY OF PROGRAM REQUIREMENTS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
10 Licensing and Supervision of Banks and Trust Companies	94.5	116.9	109.0	$12,618	$14,473	$14,377
20 Payment Instruments	6.8	6.9	6.6	424	609	606
40 Administration of Local Agency Security	–	2.3	4.1	–	174	341
50 Supervision of California Business and Industrial Development Corporations	0.1	0.1	0.1	27	28	28
60 Credit Unions	26.6	26.5	25.3	2,074	2,628	2,559
70 Savings and Loan	1.1	1.5	1.5	106	116	117
80 Industrial Loan Companies	9.9	10.5	10.1	891	946	942
90 Administration	33.6	49.5	47.3	2,975	3,921	3,894
Distributed Administration	–	–	–	−2,975	−3,921	−3,894
TOTALS, PROGRAMS	172.6	214.2	204.0	$16,140	$18,974	$18,970
0240 Local Agency Deposit Security Fund				*–*	*174*	*341*
0298 Financial Institutions Fund				*13,533*	*16,013*	*15,911*
0299 Credit Union Fund				*2,074*	*2,628*	*2,559*
0995 Reimbursements				*533*	*159*	*159*

10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

Program Objectives Statement

The primary objectives of this program are: (1) to protect the public from economic losses that result from bank and trust company failures without depriving the public of reasonably priced and convenient banking and trust services, and (2) to guard against the secondary effect on smaller financial institutions often associated with the failure of a bank or trust company.

Major Budget Adjustments Proposed for 2001–02

- A reduction of $392,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $282,000 to relocate the department's Sacramento facilities.
- A net reduction of $45,000 and 3 positions to reflect the findings of the excess vacant position analysis. $125,000 of the funding from these positions will be retained to cover unfunded personnel and operating expense costs.

Authority

California Financial Code, Division 1, Chapters 3, 4, 10, 12, 13.5, 15, 16, 17, 19, and 21 (Banking Law).

20 PAYMENT INSTRUMENTS

Program Objectives Statement

This program protects the public from economic loss resulting from the failure of a company licensed either to sell payment instruments (money orders) or travelers' checks, or to engage in the business of transmitting money abroad. The program ensures that business is being conducted in a financially sound manner by periodically examining these facilities and by requiring and analyzing specific reports. In addition, the program issues licenses to persons engaged in the business of receiving money for the purpose of transmitting the same or its equivalent to foreign countries, issuing travelers' checks, or selling money orders. In order to protect the public, a thorough investigation of each applicant is conducted before the license is issued.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

Major Budget Adjustments Proposed for 2001–02

- A reduction of $19,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $12,000 to relocate the department's Sacramento facilities.
- Two existing positions will be directed to address increased workload associated with the growing number and size of money transmitters.

Authority

California Financial Code, Division 1, Chapter 14, 14A, Division 16, Chapters 1–11.

40 ADMINISTRATION OF LOCAL AGENCY SECURITY

Program Objectives Statement

The Budget Act of 2000 transferred the Local Agency Security Program from the State Treasurer's Office back to the Department of Financial Institutions (DFI) effective January 1, 2001. As the Administrator of the Local Agency Security Program, the Commissioner acts as an agent for approximately 1,500 local treasurers in verifying the amount and quality of collateral pledged to secure deposits of public funds made by local agencies. The Commissioner also administers local agency security for savings and loans and credit unions.

Major Budget Adjustments Proposed for 2001–02

- A reduction of $37,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $24,000 to relocate the department's Sacramento facilities.

Authority

Government Code Sections 53630–53686.

50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS

Program Objectives Statement

This program licenses and regulates nonfiduciary businesses and industrial development corporations. The program ensures that business is conducted in a financially sound manner through periodic examinations of these corporations and by requiring and analyzing specific reports. In addition, the department licenses corporations operating as business and industrial development corporations. Certain federal programs, most notably the Small Business Administration's Section 7A Loan Guarantee Program, require a lender to be a licensed and regulated financial institution to qualify for guarantees. By licensing and regulating business and industrial development corporations, this program ensures that these corporations qualify for loan guarantees.

Authority

California Financial Code, Division 15.

60 CREDIT UNIONS

Program Objectives Statement

A credit union is a cooperative, organized for the purposes of promoting thrift and savings among its members, and creating a source of credit for them. The credit union program administers and enforces the provisions of the Financial Code relating to credit unions. These responsibilities include: reviewing of applications to determine the appropriateness of financial data and personnel; monitoring financial condition and operating procedures for statutory compliance through reporting and field examinations; and responding to public inquiries for information and assistance.

Major Budget Adjustments Proposed for 2001–02

- A reduction of $112,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $73,000 to relocate the department's Sacramento facilities.
- Two vacant positions in the Credit Union program will be directed to address increased workload for examining federally chartered credit unions converting to state charters.

Authority

California Financial Code, Division 5, Sections 14000 through 16154.

70 SAVINGS AND LOAN

Program Objectives Statement

The savings and loan program ensures that savings associations comply with laws and regulations, including those involving consumer protection and anti-discrimination, and ensures the continued financial growth of these associations consistent with public need and convenience.

Authority

California Financial Code, Division 2, Sections 5000 through 11709.

80 INDUSTRIAL LOAN COMPANIES

Program Objectives Statement

Industrial loan companies serve a wide range of customers for both consumer and commercial loans, but most industrial loan companies specialize in only a few specific areas of lending. The industrial loan program administers and enforces the provisions of the Financial Code relating to industrial loan companies, including the processing of applications and amendments for industrial loan companies, and conducting examinations to determine current and future safety and soundness.

*** Dollars in thousands, except in Salary Range.**

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

Major Budget Adjustments Proposed for 2001–02

- A reduction of $30,000 by increasing salary savings to reflect past experience with employee turnover.
- An augmentation of $21,000 to relocate the department's Sacramento facilities.

Authority

California Financial Code, Division 7, Sections 18000 through 18643.

90 ADMINISTRATION

Program Objectives Statement

The Administration Program provides services essential for the administration of the Department and its programs, including executive, administrative, legal, legislative, policy, and information support.

Authority

California Financial Code, Division 1, Chapter 2, Article 4 (Banking Law).

PROGRAM BUDGET DETAIL

	1999–00*	2000–01*	2001–02*
PROGRAM REQUIREMENTS			
10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES			
State Operations:			
0298 Financial Institutions Fund (Banking Account)	*$12,085*	*$14,314*	*$14,218*
0995 Reimbursements	*533*	*159*	*159*
Totals, State Operations	$12,618	$14,473	$14,377
ELEMENT REQUIREMENTS			
10.10 Investigation of Applications for New Facilities	268	305	310
State Operations:			
0298 Financial Institutions Fund (Banking Account)	*268*	*305*	*310*
10.20 Continuing Supervision of Existing Banking Facilities	11,668	13,468	13,352
State Operations:			
0298 Financial Institutions Fund (Banking Account)	*11,526*	*13,409*	*13,293*
0995 Reimbursements	*142*	*59*	*59*
10.30 Continuing Supervision of Trust Facilities	682	700	715
State Operations:			
0298 Financial Institutions Fund (Banking Account)	*291*	*600*	*615*
0995 Reimbursements	*391*	*100*	*100*
PROGRAM REQUIREMENTS			
20 PAYMENT INSTRUMENTS	$424	$609	$606
State Operations:			
0298 Financial Institutions Fund (Banking Account)	*424*	*609*	*606*
PROGRAM REQUIREMENTS			
40 ADMINISTRATION OF LOCAL AGENCY SECURITY	–	$174	$341
State Operations:			
0240 Local Agency Security Deposit Fund	–	*174*	*341*
PROGRAM REQUIREMENTS			
50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS	$27	$28	$28
State Operations:			
0298 Financial Institutions Fund (Banking Account)	*27*	*28*	*28*
PROGRAM REQUIREMENTS			
60 CREDIT UNIONS	$2,074	$2,628	$2,559
State Operations:			
0299 Credit Union Fund	*2,074*	*2,628*	*2,559*
PROGRAM REQUIREMENTS			
70 SAVINGS AND LOAN	$106	$116	$117
State Operations:			
0298 Financial Institutions Fund (Savings and Loan Account)	*106*	*116*	*117*

*** Dollars in thousands, except in Salary Range.**

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

PROGRAM REQUIREMENTS	*1999–00**	*2000–01**	*2001–02**
80 INDUSTRIAL LOAN COMPANIES	$891	$946	$942
State Operations:			
0298 Financial Institutions Fund (Industrial Loan Account)	*891*	*946*	*942*
TOTALS, EXPENDITURES (State Operations)	$16,140	$18,974	$18,970

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	172.6	218.5	221.0	$10,417	$12,770	$13,368
Total Adjustments	–	–	–3.0	–	2	–114
Estimated Salary Savings	–	–4.3	–14.0	–	–255	–638
Net Totals, Salaries and Wages	172.6	214.2	204.0	$10,417	$12,517	$12,616
Staff Benefits	–	–	–	1,734	2,370	1,775
Totals, Personal Services	172.6	214.2	204.0	$12,151	$14,887	$14,391
OPERATING EXPENSES AND EQUIPMENT				$3,989	$4,087	$4,579
TOTALS, EXPENDITURES				$16,140	$18,974	$18,970

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0240 Local Agency Security Deposit Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation (expenditures)	–	$174	$341

0298 Financial Institutions Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$15,481	$16,127	$15,911
Allocation for employee compensation	1,029	40	–
Adjustment per Section 3.60	–672	–154	–
Adjustment per Section 4.60 (Rental Rate)	–	17	–
Adjustment per Section 16.00	5	–	–
Totals Available	$15,843	$16,030	$15,911
Unexpended balance, estimated savings	–2,310	–17	–
TOTALS, EXPENDITURES	$13,533	$16,013	$15,911

0299 Credit Union Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$2,488	$2,640	$2,559
Allocation for employee compensation	171	5	–
Adjustment per Section 3.60	–94	–20	–
Adjustment per Section 4.60 (Rental Rate)	–	3	–
Adjustment per Section 16.00	1	–	–
Totals Available	$2,566	$2,628	$2,559
Unexpended balance, estimated savings	–492	–	–
TOTALS, EXPENDITURES	$2,074	$2,628	$2,559

0995 Reimbursements

Reimbursements	$533	$159	$159
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$16,140	$18,974	$18,970

*** Dollars in thousands, except in Salary Range.**

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

FUND CONDITION STATEMENT

0136 State Banking Fund [s]	1999–00*	2000–01*	2001–02*
BEGINNING BALANCE	–	–	–
REVENUES AND TRANSFERS			
Transfers from Other Funds:			
F00942 Special Deposit Fund per Section 12.20, Budget Acts of 1998 and 2000 (Malibu/Abromovitz Judgement)	–	$1,211	–
Transfers to Other Funds:			
T00298 Financial Institutions Fund per Section 12.20, Budgets Act of 1998 and 2000	–	–$1,211	–
Totals, Revenues and Transfers	–	–	–
Totals, Resources	–	–	–
FUND BALANCE	–	–	–
0240 Local Agency Deposit Security Fund [s]			
BEGINNING BALANCE	$217	$143	$146
Prior year adjustments	–8	–	–
Balance, Adjusted	$209	$143	$146
Revenues:			
121200 Other Regulatory Taxes	145	320	369
164300 Penalty Assessments	31	31	31
Totals, Revenues and Transfers	$176	$351	$400
Totals, Resources	$385	$494	$546
EXPENDITURES			
Disbursements:			
0950 State Treasurer's Office	238	174	–
9900 Statewide General Administrative Expenditures (Pro Rata)	4	–	29
2150 Department of Financial Institutions (State Operations)	–	174	341
Totals, Disbursements	$242	$348	$370
FUND BALANCE	$143	$146	$176
Reserve for economic uncertainties	143	146	176
0298 Financial Institutions Fund [1 s]			
BEGINNING BALANCE	$9,045	$9,717	$8,439
Prior year adjustments [2]	93	–	–
Balance, Adjusted	$9,138	$9,717	$8,439
REVENUES AND TRANSFERS			
Revenues:			
121200 Other regulatory taxes	10,939	10,673	11,000
125700 Other regulatory licenses and permits	1,566	1,400	1,600
141200 Sale of documents	1	1	1
150300 Income from surplus money investments	738	700	700
161400 Miscellaneous revenue	868	750	750
Totals, Revenues	$14,112	$13,524	$14,051
Transfers from Other Funds:			
F00136 State Banking Fund per Section 12.20, Budget Acts of 1998 and 2000	–	1,211	–
Totals, Transfers from Other Funds	–	$1,211	–
Totals, Revenues and Transfers	$14,112	$14,735	$14,051
Totals, Resources	$23,250	$24,452	$22,490
EXPENDITURES			
Disbursements:			
2150 Department of Financial Institutions (State Operations)	13,533	16,013	15,911
FUND BALANCE	$9,717	$8,439	$6,579
Reserve for economic uncertainties	9,717	8,439	6,579

[1] Includes the State Banking Account, the Savings and Loan Account and the Industrial Loan Account displayed separately for informational purposes following this statement.
[2] See State Banking Account.

*** Dollars in thousands, except in Salary Range.**

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

0298 State Banking Account [s] (Informational Display)

	1999–00*	2000–01*	2001–02*
BEGINNING BALANCE	$7,859	$8,418	$7,252
Prior year adjustments	93	–	–
Balance, Adjusted	$7,952	$8,418	$7,252
REVENUES AND TRANSFERS			
Revenues:			
121200 Other regulatory taxes	10,939	10,673	11,000
Assessment of banks	(10,556)	(10,257)	(10,600)
Assessment of payment instruments licenses	(383)	(416)	(400)
125700 Other regulatory licenses and permits	456	450	575
141200 Sales of documents	1	1	1
150300 Income from surplus money investments	738	700	700
161400 Miscellaneous revenue	868	750	750
Totals, Revenues	$13,002	$12,574	$13,026
Transfers from Other Funds:			
F00136 State Banking Fund per Section 12.20, Budget Act of 1998	–	1,211	–
Totals, Transfers from Other Funds	–	$1,211	–
Totals, Revenues and Transfers	$13,002	$13,785	$13,026
Totals, Resources	$20,954	$22,203	$20,278
EXPENDITURES			
Disbursements:			
2150 Department of Financial Institutions (State Operations)	12,536	14,951	14,843
FUND BALANCE	$8,418	$7,252	$5,435
Reserve for economic uncertainties	8,418	7,252	5,435

0298 Savings and Loan Account [s] (Informational Display)

BEGINNING BALANCE	$292	$236	$245
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses	50	125	125
Totals, Revenues and Transfers	$50	$125	$125
Totals, Resources	$342	$361	$370
EXPENDITURES			
Disbursements:			
2150 Department of Financial Institutions (State Operations)	106	116	117
FUND BALANCE	$236	$245	$253
Reserve for economic uncertainties	236	245	253

0298 Industrial Loan Account [s] (Informational Display)

BEGINNING BALANCE	$894	$1,063	$942
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits	1,060	825	900
Totals, Revenues and Transfers	$1,060	$825	$900
Totals, Resources	$1,954	$1,888	$1,842
EXPENDITURES			
Disbursements:			
2150 Department of Financial Institutions (State Operations)	891	946	951
FUND BALANCE	$1,063	$942	$891
Reserve for economic uncertainties	1,063	942	891

0299 Credit Union Fund [s]

BEGINNING BALANCE	$1,188	$1,572	$1,738
Prior year adjustments	1	–	–
Balance, Adjusted	$1,189	$1,572	$1,738

*** Dollars in thousands, except in Salary Range.**

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

	1999–00*	2000–01*	2001–02*
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits	$2,279	$2,694	$2,700
150300 Income from surplus money investments	178	100	100
Totals, Revenues and Transfers	$2,457	$2,794	$2,800
Totals, Resources	$3,646	$4,366	$4,538
EXPENDITURES			
Disbursements:			
2150 Department of Financial Institutions (State Operations)	2,074	2,628	2,559
FUND BALANCE	$1,572	$1,738	$1,979
Reserve for economic uncertainties	1,572	1,738	1,979

CHANGES IN AUTHORIZED POSITIONS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Totals, Authorized Positions	172.6	218.5	221.0	$10,417	$12,770	$13,368
Salary adjustments	–	–	–	–	2	11
Totals, Adjusted Authorized Positions	172.6	218.5	221.0	$10,417	$12,772	$13,379
Excess Vacant Position Analysis:						
Reduction in Authorized Positions:				Salary Range		
Financial Institutions Examiner	–	–	–3.0	2,658–4,788	–	–125
Totals	–	–	–3.0	–	–	–$125
Total Adjustments	–	–	–3.0	–	$2	–$114
TOTALS, SALARIES AND WAGES	172.6	218.5	218.0	$10,417	$12,772	$13,254

2180 DEPARTMENT OF CORPORATIONS

The Department of Corporations protects the public through administration and enforcement of state laws regulating securities, franchise investment, lenders and fiduciaries. Activities include licensing, examination, and responding to public inquiries and complaints. Each program enforces its laws through administrative and civil actions. Pursuant to Chapter 525, Statutes of 1999, commencing July 1, 2000, the administration and the enforcement of health care laws were transferred to the Department of Managed Health Care.

SUMMARY OF PROGRAM REQUIREMENTS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
10 Investment Program	135.1	136.1	135.7	$12,891	$15,108	$15,224
20 Lender-Fiduciary Program	71.9	80.1	76.1	7,386	8,748	8,227
30 Health Plan Program	145.2	–	–	17,887	–	–
50 Administration	76.7	63.6	63.6	5,510	5,118	4,827
Distributed Administration	–	–	–	–5,510	–4,618	–4,827
TOTALS, PROGRAMS	428.9	279.8	275.4	$38,164	$24,356	$23,451
0067 State Corporations Fund				*38,140*	*23,755*	*23,451*
0890 Federal Trust Fund				*24*	*101*	–
0995 Reimbursements				–	*500*	–

10 INVESTMENT PROGRAM

Program Objectives Statement

The Investment Program protects investors in securities and franchise investment transactions and promotes efficient capital formation in California. The program qualifies the offer and sale of certain securities, registers the sale of franchises, and licenses broker-dealers and investment advisers.

Major Budget Adjustments Proposed for 2001–02

- Transfer 5.0 positions (4.7 personnel years) from the Lender-Fiduciary Program to the Investment Program to study ways to examine and monitor broker-dealer branch offices. This redirection is limited in term, expiring on June 30, 2003.
- An increase of $200,000 for a study to develop methods to educate California investors about ways to avoid becoming victims of investment frauds and schemes.

Authority

Corporations Code, Sections 25000–25804, inclusive.
Corporations Code, Sections 28000–28958, inclusive.
Corporations Code, Section 29500 et seq.
Corporations Code, Sections 31000–31516, inclusive.
California Code of Regulations, Title 10, Sections 250.1–250.25, 260.000–260.617, 310.000–310.505; 350.000–350.541.1.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2180 DEPARTMENT OF CORPORATIONS—Continued

20 LENDER-FIDUCIARY PROGRAM

Program Objectives Statement

The Lender-Fiduciary Program protects the public when borrowing and entering into financial transactions with lenders and fiduciaries licensed by the Department. The program licenses and examines mortgage bankers, finance lenders and escrow companies.

Authority

Financial Code, Part 5, Divisions 3, 6, 9, 20.
California Code of Regulations, Title 10, Sections 1290–1299, 1400–1570, 1700–1805.
Business and Professions Code, Section 17750 et seq.

30 HEALTH PLAN PROGRAM

Chapter 525, Statutes of 1999 (AB 78) transferred the responsibility for the regulation of the health care program to the new Department of Managed Health Care effective July 1, 2000.

50 ADMINISTRATION

Program Objectives Statement

This program provides executive leadership and direction to the Department, including budgeting, accounting, personnel, business and information technology services.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS	*1999–00**	*2000–01**	*2001–02**
10 INVESTMENT PROGRAM	$12,891	$15,108	$15,224
State Operations:			
0067 State Corporations Fund	*12,867*	*15,007*	*15,224*
0890 Federal Trust Fund	*24*	*101*	*–*
10.10 Corporate Securities Law	8,705	7,951	8,309
State Operations:			
0067 State Corporations Fund	*8,681*	*7,850*	*8,309*
0890 Federal Trust Fund	*24*	*101*	*–*
10.15 Broker/Dealers	2,200	3,229	3,125
State Operations:			
0067 State Corporations Fund	*2,200*	*3,229*	*3,125*
10.25 Investment Advisers	1,129	2,347	2,263
State Operations:			
0067 State Corporations Fund	*1,129*	*2,347*	*2,263*
10.35 Agent Monitoring Law	195	485	465
State Operations:			
0067 State Corporations Fund	*195*	*485*	*465*
10.55 California Commodity Law	200	101	100
State Operations:			
0067 State Corporations Fund	*200*	*101*	*100*
10.65 Franchise Investment Law	459	911	884
State Operations:			
0067 State Corporations Fund	*459*	*911*	*884*
10.75 Capitol Access Law	3	84	78
State Operations:			
0067 State Corporations Fund	*3*	*84*	*78*
PROGRAM REQUIREMENTS			
20 LENDER-FIDUCIARY PROGRAM	$7,386	$8,748	$8,227
State Operations:			
0067 State Corporations Fund	*7,386*	*8,748*	*8,227*
20.10 Check Sellers, Bill Payers and Proraters	22	23	23
State Operations:			
0067 State Corporations Fund	*22*	*22*	*23*
20.30 Escrow Law	2,836	2,731	2,655
State Operations:			
0067 State Corporations Fund	*2,836*	*2,731*	*2,655*
20.90 California Finance Lenders Law	2,854	4,017	3,676
State Operations:			
0067 State Corporations Fund	*2,854*	*4,017*	*3,676*
20.95 Mortgage Bankers Law	1,674	1,977	1,873
State Operations:			
0067 State Corporations Fund	*1,674*	*1,977*	*1,873*

*** Dollars in thousands, except in Salary Range.**

2180 DEPARTMENT OF CORPORATIONS—Continued

PROGRAM REQUIREMENTS	*1999–00**	*2000–01**	*2001–02**
30 HEALTH PLAN PROGRAM	$17,887	–	–
State Operations:			
0067 State Corporations Fund	*17,887*	–	–
30.10 Health Care Service Plans	17,887	–	–
State Operations:			
0067 State Corporations Fund	*17,887*	–	–
PROGRAM REQUIREMENTS			
50 ADMINISTRATION (undistributed)	–	$500	–
State Operations:			
0995 Reimbursements	–	*500*	–
TOTALS, EXPENDITURES (State Operations)	$38,164	$24,356	$23,451

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	428.9	297.8	297.0	$22,959	$16,582	$16,423
Total Adjustments	–	–	–	–	8	25
Estimated Salary Savings	–	–18.0	–21.6	–	–920	–1,203
Net Totals, Salaries and Wages	428.9	279.8	275.4	$22,959	$15,670	$15,245
Staff Benefits	–	–	–	4,008	2,706	2,789
Totals, Personal Services	428.9	279.8	275.4	$26,967	$18,376	$18,034
OPERATING EXPENSES AND EQUIPMENT				$11,197	$5,980	$5,417
TOTALS, EXPENDITURES				$38,164	$24,356	$23,451

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0067 State Corporations Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$36,833	$23,827	$23,451
Allocation for employee compensation	2,220	56	–
Allocation for contingencies or emergencies	2,071	–	–
Adjustment per Section 3.60	–1,481	–131	–
Adjustment per Section 4.60 (Rental Rate)	–	3	–
Adjustment per Section 16.00	15	–	–
Totals Available	$39,658	$23,755	$23,451
Unexpended balance, estimated savings	–1,518	–	–
TOTALS, EXPENDITURES	$38,140	$23,755	$23,451

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	–	$72	–
Federal funds	$24	–	–
Budget adjustment	–	29	–
TOTALS, EXPENDITURES	$24	$101	–

0995 Reimbursements

Reimbursements	–	$500	–
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$38,164	$24,356	$23,451

*** Dollars in thousands, except in Salary Range.**

2180 DEPARTMENT OF CORPORATIONS—Continued

FUND CONDITION STATEMENT
0067 State Corporations Fund [s]

	1999–00*	2000–01*	2001–02*
BEGINNING BALANCE	$30,436	$20,889	$29,275
Prior year adjustments	718	–	–
Balance, Adjusted	$31,154	$20,889	$29,275
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits [1]	31,051	30,132	30,116
125800 Renewal fees	586	797	807
125900 Delinquent fees	–	–	–
141200 Sales of documents	20	9	9
142500 Miscellaneous services to the public	64	13	13
150300 Income from surplus money investments	1,531	1,185	1,185
161000 Escheat of unclaimed checks and warrants	13	–	–
161900 Other revenue, cost recoveries	295	–	–
164300 Penalties assessments	472	5	5
164400 Civil and criminal violation assessments	1	–	–
Totals, Revenues	$34,033	$32,141	$32,135
Transfers to Other Funds:			
T00933 Managed Care Fund per Chapter 525, Statutes of 1999 [2]	−6,158	–	–
Totals, Transfers to Other Funds	−$6,158	–	–
Totals, Revenues and Transfers	$27,875	$32,141	$32,135
Totals, Resources	$59,029	$53,030	$61,410
EXPENDITURES			
Disbursements:			
2180 Department of Corporations (State Operations)	38,140	23,755	23,451
Totals, Disbursements	$38,140	$23,755	$23,451
FUND BALANCE	$20,889	$29,275	$37,959
Reserve for economic uncertainties	20,889	29,275	37,959

[1] The two-year suspension of certain Corporate Securities Law notice filing fees per Chapter 328, Statutes of 1998 (SB 1589) ended June 30, 2000.
[2] The 1999–00 Fund Balance reflected above includes the cash transfer to Department of Managed Health Care of $6,158,000 which was not reflected in the Department of Corporations year end financial statements.

CHANGES IN AUTHORIZED POSITIONS

	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Totals, Authorized Positions	428.9	297.8	297.0	$22,959	$16,582	$16,423
Salary adjustments	–	–	–	–	8	25
Totals, Adjusted Authorized Positions	428.9	297.8	297.0	$22,959	$16,590	$16,448
Workload and Administrative Adjustments:						
Reduction in Authorized Positions:						
Lender-Fiduciary Program:				Salary Range		
Corporation Examiner, Range B [3]	–	−2.5	−5.0	–	−129,030	−258,060
Proposed New Positions:						
Investment Program:						
Corporation Examiner, Range B [3]	–	2.5	5.0	–	129,030	258,060
Total Adjustments	–	–	–	–	$8	$25
TOTALS, SALARIES AND WAGES	428.9	297.8	297.0	$22,959	$16,590	$16,448

[3] Redirection from January 1, 2001 until June 30, 2003 at which time the positions will be directed back to their original program.

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT

To expand and preserve safe and affordable housing opportunities and promote strong communities for all Californians, the Department of Housing and Community Development: (1) administers housing finance, economic development and rehabilitation programs, (2) proposes housing policy, (3) analyzes and implements building codes and (4) enforces construction standards for manufactured homes.

SUMMARY OF PROGRAM REQUIREMENTS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
10 Codes and Standards Program	215.0	219.5	217.3	$22,380	$29,065	$23,996
20 Community Affairs Program	124.9	158.8	157.7	173,460	463,725	355,284
30.01 Housing Policy Development Program	13.9	20.8	20.8	2,251	9,762	153,852
30.02 Distributed Housing Policy Development Program	–	–	–	–	−122	−122
50.01 Administration Program	98.2	103.4	100.7	6,969	8,400	8,347
50.02 Distributed Administration	–	–	–	−6,969	−8,400	−8,347
TOTALS, PROGRAMS	452.0	502.5	496.5	$198,091	$502,430	$533,010
69 Loan Repayments Program	–	–	–	−3,932	−3,079	−2,582
98 State-Mandated Local Programs	–	–	–	850	2,335	850
TOTALS, ADJUSTED PROGRAMS	452.0	502.5	496.5	$195,009	$501,686	$531,278
0001 General Fund				*38,826*	*560,706*	*317,198*
0245 Mobilehome Park Revolving Fund				*3,599*	*4,008*	*4,007*
0472 Child Care and Development Facilities Direct Loan Fund				*147*	*315*	*413*
0474 Child Care and Development Facilities Loan Guaranty Fund				*142*	*117*	*118*
0530 Mobilehome Park Purchase Fund				*1,880*	*6,670*	*8,564*
Loan repayments from local agencies to Mobilehome Park Purchase Fund				*−1,077*	*−900*	*−750*
0635 Rural Predevelopment Loan Fund				*1,310*	*1,117*	*1,124*
Loan repayments from local agencies to Rural Predevelopment Loan Fund				*−1,262*	*−570*	*−500*
0648 Mobilehome-Manufactured Home Revolving Fund				*16,986*	*17,149*	*16,808*
0714 Home Building and Rehabilitation Fund				*1,050*	*888*	*865*
0788 California Earthquake Safety and Housing Rehabilitation Bond Account				*1,000*	*1,189*	*–*
0813 Self-Help Housing Fund				*42*	*−6,834*	*5,639*
Loan repayments from local agencies to Self-Help Housing Fund				*−203*	*−133*	*−120*
0890 Federal Trust Fund				*123,685*	*117,636*	*107,305*
0927 Farmworker Housing Grant Fund				*1,069*	*−11,080*	*10,992*
0929 Housing Rehabilitation Loan Fund				*6,110*	*−81,352*	*86,672*
Loan repayments from local agencies to Housing Rehabilitation Loan Fund				*−921*	*−950*	*−934*
0938 Rental Housing Construction Fund				*−278*	*12,015*	*10,607*
Loan repayments from local agencies to Rental Housing Construction Fund				*−469*	*−526*	*−278*
0942 Special Deposit Fund—Office of Migrant Services				*2,772*	*2,189*	*2,571*
0942 Special Deposit Fund—Century Freeway Housing Program				*13*	*–*	*–*
0972 Manufactured Home Recovery Fund				*284*	*644*	*644*
0985 Emergency Housing Assistance Fund				*76*	*−11,049*	*10,184*
0995 Reimbursements				*228*	*437*	*437*
3006 Jobs-Housing Balance Improvement Account				*–*	*−110,000*	*−50,288*

10 CODES AND STANDARDS PROGRAM

Program Objectives Statement

The Codes and Standards Program administers and enforces California Health and Safety Code provisions established to protect the public's health, safety and general welfare in buildings, structures and vehicles designed for human occupancy or habitation. The program has broad responsibility for administration and enforcement of the State Housing Law, Employee Housing Act and Mobilehome Parks Act, California Factory-Built Housing Law, and the Mobilehome-Manufactured Housing Act of 1980, as well as for enforcement of federal and state standards and regulations relating to the construction and safety of manufactured homes, mobilehomes, commercial coaches and recreational vehicles. The program: (1) establishes minimum standards for the design, construction, maintenance, use and occupancy of structures used for human occupancy; (2) reviews and adopts building code requirements to minimize housing costs, conserve existing housing stock and protect the public's welfare; (3) ensures that farmworker and other employee housing is safe and sanitary; (4) protects the security of all parties in interest to the registration and titling of manufactured housing; and (5) licenses the manufactured housing industry and enforces state laws governing manufactured housing sales practices.

Major Budget Adjustments Proposed for 2001–02

- $212,000 (General Fund) and redirection of 2.0 positions (1.9 personnel years, from workload reductions in the rental housing preservation program) for the state housing law program for on-going workload in the residential building standards development and adoption process.
- Net reduction of $123,000 and redirection of 2.0 positions (1.9 personnel years, from excess vacancies) to support the Codes and Standards Automated System. System maintenance is being partially transitioned from a contractor to the department.
- $50,000 (General Fund) to contract for engineering services as needed for plan checking per Chapter 702, Statutes of 2000.
- Pursuant to the analysis of vacant positions, a total of 3.0 positions will be abolished in this program, and 1.5 positions will be redirected to other activities.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

20 COMMUNITY AFFAIRS PROGRAM

Program Objectives Statement

The Community Affairs Program implements programs and policies which: (1) assist in the development and preservation of affordable housing for low income persons and families and special needs populations; and (2) promote economic, community and job development. To accomplish these objectives, the Division of Community Affairs administers loan, grant and assistance programs including those which:

1. Produce new multi-family rental housing, affordable to lower income persons, families, and special needs populations by providing loans and grants to local government, non-profit and for-profit developers, and by providing housing assistance certificates and vouchers.
2. Develop rural communities, Indian reservations and rancherias and their economies through revitalization of neighborhoods, improvement of community facilities and infrastructure, housing rehabilitation and retention of jobs for low-income families.
3. Produce and maintain temporary housing and support services for migrant agricultural workers and their families.
4. Produce homeownership opportunities for low- and moderate-income households through loans to mobilehome park resident organizations for park purchases.
5. Produce emergency shelter and related services for the homeless through grants for acquiring, rehabilitating, renovating, expanding, equipping and operating emergency shelters and for shelter vouchers.
6. Protect and preserve state-assisted housing resources for use by low-income persons and families by monitoring project management, controlling fund disbursements and repayments, and managing resolution of properties which may become physically or fiscally deficient in the existing loan and grant portfolios.
7. Produce and improve child care facilities through loans and loan guarantees.

Major Budget Adjustments Proposed for 2001–02

- $20.2 million (one-time) for infrastructure improvement grants and program support.
- An increase of $9,645,000 for the Office of Migrant Services: $7,528,000 to continue the multi-year reconstruction of migrant housing centers; $800,000 for major infrastructure repairs at two centers; $117,000 for on-going center operations; and $1,200,000 to finish replacing migrant center playground equipment as required by Chapter 712, Statutes of 1999.
- A one-time augmentation of $2,500,000 and redirection of $1,500,000 (one-time) and 1 position from the preservation predevelopment program to a consolidated loan program to fund predevelopment expenses incurred in the production or rehabilitation of affordable housing.
- Pursuant to the analysis of vacant positions, 1 unfunded position will be abolished in this program, and 3 positions will be redirected to support the Jobs-Housing Balance Improvement Program (1.5 limited-term positions in Program 20, and 1.5 limited-term positions to Program 30).

30 HOUSING POLICY DEVELOPMENT PROGRAM

Program Objectives Statement

The Housing Policy Development Program analyzes and formulates housing and growth management policy for the State, assists private and public housing providers, and implements state housing goals through the review of local general plan housing elements. The program researches and identifies California's housing needs, administers planning and incentive grant programs, and prepares the Consolidated State/Federal Housing Plan, which brings an estimated $100 million in federal funding for housing, community and economic development to California annually.

Major Budget Adjustments Proposed for 2001–02

- A $200,000,000 increase, for a total available of $300,000,000 over two years, to provide incentive grants through the Jobs-Housing Balance Improvement Program to local governments that comply with state housing and planning laws and increase residential permits. The budget proposes modifying the program to allow local governments to spend funds on any local purpose.
- A one-time augmentation of $200,000 (General Fund) for consultant services to assist with the first post-Census 2000 mandated update of the Statewide Housing Plan.
- Redirection of 2 positions from Programs 10 and 20 (1.9 personnel years, two-year limited term) for operation of the Jobs-Housing Balance Improvement Program—incentive grant component.
- Pursuant to the analysis of vacant positions, 0.5 positions in this program will be abolished.

50 ADMINISTRATION PROGRAM

Program Objectives Statement

The Administration Program provides: 1) effective executive leadership in designing and implementing housing programs and policies; 2) fiduciary oversight and sound fiscal management through its audit, accounting and budget functions; 3) development, review and implementation of legislation affecting the department; 4) housing-specific legal expertise; and 5) support services in the areas of personnel, data processing, business services and contract management.

Major Budget Adjustment Proposed for 2001–02

- Pursuant to an analysis of vacant positions, 2 positions in this program will be abolished and 1 position will be redirected to other activities.

69 LOAN REPAYMENT PROGRAM

Program Objectives Statement

This program displays the estimated loan repayments to the department's special funds.

Authority

Health and Safety Code Sections 50000, et seq.

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

98 STATE-MANDATED LOCAL PROGRAM

Program Objectives Statement

This program reimburses councils of government and individual jurisdictions for preparation of the Regional Housing Needs Plans and other housing element mandates pursuant to Chapter 1143, Statutes of 1980.

Authority

Government Code Sections 65580, et seq.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS
10 CODES AND STANDARDS PROGRAM

State Operations:	1999–00*	2000–01*	2001–02*
0001 General Fund	$1,161	$1,874	$1,897
0245 Mobilehome Park Revolving Fund	3,599	4,008	4,007
0648 Mobilehome-Manufactured Home Revolving Fund	16,986	17,149	16,808
0890 Federal Trust Fund	122	200	200
0929 Housing Rehabilitation Loan Fund	–	100	100
(Code Enforcement Incentive Program)	–	(100)	(100)
0972 Manufactured Home Recovery Fund	105	244	244
0995 Reimbursements	228	340	340
Totals, State Operations	$22,201	$23,915	$23,596
Local Assistance:			
0001 General Fund	–	5,000	–
0972 Manufactured Home Recovery Fund	179	400	400
0929 Housing Rehabilitation Loan Fund	–	−250	–
(Code Enforcement Incentive Program)	–	(4,750)	–
Totals, Local Assistance	$179	$5,150	$400

PROGRAM REQUIREMENTS
20 COMMUNITY AFFAIRS PROGRAM

State Operations:			
0001 General Fund	$2,427	$2,574	$2,884
0472 Child Care and Development Facilities Direct Loan Fund	147	565	413
0474 Child Care and Development Facilities Loan Guaranty Fund	142	117	118
0530 Mobilehome Park Purchase Fund	535	670	564
0635 Rural Predevelopment Loan Fund	101	117	124
0714 Homebuilding and Rehabilitation Fund	1,050	888	865
(for Family Home Demonstration Program)	(41)	(75)	(75)
0788 California Earthquake Safety and Housing Rehabilitation Bond Account	1,000	1,189	–
0813 Self-Help Housing Fund	110	766	739
(Self-Help Program)	(110)	(216)	(213)
(Calhome Program)	–	(550)	(526)
0890 Federal Trust Fund	4,589	5,262	5,005
0927 Farmworker Housing Grant Fund	465	720	712
0929 Housing Rehabilitation Loan Fund	2,169	3,278	4,522
(for California Disaster Housing Repair Program)	(728)	(800)	(800)
(for Homeownership Assistance Program)	(73)	(80)	(80)
(for Multi-family Housing Program)	–	(1,122)	(1,145)
(for Downtown Rebound Program)	–	(213)	(247)
0938 Rental Housing Construction Fund	610	789	781
(for Family Home Demonstration Program)	(41)	(75)	(75)
(for Urban Predevelopment Loan Program)	(36)	–	–
(Economic Development/Jobs-Housing Balance Program)	–	(75)	(52)
(Transit Oriented Predevelopment Loan Program)	–	(75)	(100)
0942 Special Deposit Fund-Century Freeway Housing Program	13	–	–
0985 Emergency Housing Assistance Fund	429	761	744
0995 Reimbursements	–	97	97
Totals, State Operations	$13,787	$17,793	$17,568
Local Assistance:			
0001 General Fund	32,137	428,933	107,699
0472 Child Care and Development Facilities Direct Loan Fund	–	−250	–
0530 Mobilehome Park Purchase Fund	1,345	6,000	8,000
0635 Rural Predevelopment Loan Fund	1,209	1,000	1,000
0813 Self-Help Housing Fund	−68	−7,600	4,900
(Self-Help Program)	(2,000)	(2,000)	(2,000)
(Calhome Program)	–	(42,500)	(5,000)

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	1999–00*	2000–01*	2001–02*
0890 Federal Trust Fund	*$118,974*	*$112,174*	*$102,100*
0927 Farmworker Housing Grant Fund	*604*	*−11,800*	*10,280*
0929 Housing Rehabilitation Loan Fund	*3,941*	*−84,130*	*81,900*
(Multi-family Housing Program)	*–*	*(100,000)*	*(108,050)*
(Downtown Rebound Program)	*–*	*(21,470)*	*(2,850)*
0938 Rental Housing Construction Fund	*−888*	*11,226*	*9,826*
(Preservation Predevelopment Program)	*–*	*(2,900)*	*(4,000)*
(Economic Development/Jobs-Housing Balance Program)	*–*	*(2,425)*	*(2,425)*
(Transit Oriented Predevelopment/Jobs-Housing Balance Program)	*–*	*(2,425)*	*(2,425)*
0942 Special Deposit Fund—Office of Migrant Services	*2,772*	*2,189*	*2,571*
0985 Emergency Housing Assistance Fund	*−353*	*−11,810*	*9,440*
Totals, Local Assistance	$159,673	$445,932	$337,716

PROGRAM REQUIREMENTS
30 HOUSING POLICY DEVELOPMENT PROGRAM

State Operations:			
0001 General Fund	*$2,251*	*$2,590*	*$2,468*
Distributed to Other Funds	–	(122)	(122)
0929 Housing Rehabilitation Loan Fund	*–*	*270*	*220*
(Downtown Rebound Program)	*–*	*(120)*	*(70)*
(Inter-regional Partnership Grants for Jobs-Housing Balance)	*–*	*(150)*	*(150)*
3006 Jobs-Housing Balance Improvement Account	*–*	*–*	*212*
Totals, State Operations	$2,251	$2,860	$2,900
Local Assistance:			
0001 General Fund	*–*	*117,400*	*201,400*
0929 Housing Rehabilitation Loan Fund	*–*	*−620*	*−70*
(Downtown Rebound Program)	*–*	*(2,280)*	*(1,330)*
(Inter-regional Partnership Grants for Jobs-Housing Balance)	*–*	*(4,500)*	*–*
3006 Jobs-Housing Balance Improvement Account	*–*	*−110,000*	*−50,500*
Totals, Local Assistance	–	$6,780	$150,830

PROGRAM REQUIREMENTS
69 LOAN REPAYMENTS PROGRAM

0530 Mobilehome Park Purchase Fund	*−$1,077*	*−$900*	*−$750*
0635 Rural Predevelopment Loan Fund	*−1,262*	*−570*	*−500*
0813 Self-Help Housing Fund	*−203*	*−133*	*−120*
0929 Housing Rehabilitation Loan Fund	*−921*	*−950*	*−934*
0938 Rental Housing Construction Fund	*−469*	*−526*	*−278*

PROGRAM REQUIREMENTS
98 STATE-MANDATED LOCAL PROGRAMS

Local Assistance:			
(a) Ch. 1143/80—Regional Housing Needs Plan	$850	$850	$850
(b) AB 1110-Chapter 574—Set aside for 1999 Mandates Claims Bill	–	662	–
(c) SB 1894-Chapter 117—Set aside for 2000 Mandates Claims Bill	–	823	–

TOTAL EXPENDITURES

State Operations	$38,239	$44,568	$44,064
Local Assistance	156,770	457,118	487,214
TOTALS, EXPENDITURES	$195,009	$501,686	$531,278

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Authorized Positions (Equals Sch. 7A)	452.0	532.0	531.7	$21,236	$25,926	$26,445
Total Adjustments	–	–	−6.5	–	4	−7
Estimated Salary Savings	–	−29.5	−28.7	–	−778	−980
Net Totals, Salaries and Wages	452.0	502.5	496.5	$21,236	$25,152	$25,458
Staff Benefits	–	–	–	4,140	5,029	5,091
Totals, Personal Services	452.0	502.5	496.5	$25,376	$30,181	$30,549
OPERATING EXPENSES AND EQUIPMENT				$12,863	$14,387	$13,515
TOTALS, EXPENDITURES				$38,239	$44,568	$44,064

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$5,984	$7,073	$7,249
Allocation for employee compensation	322	13	–
Adjustment per Section 3.60	−224	−50	–
Adjustment per Section 4.60	–	2	–
Adjustment per Section 16.00	3	–	–
Transfer to Legislative claim (9670)	−1	–	–
Totals Available	$6,084	$7,038	$7,249
Unexpended balance, estimated savings	−245	–	–
TOTALS, EXPENDITURES	$5,839	$7,038	$7,249

0245 Mobilehome Park Revolving Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$3,764	$4,030	$4,007
Allocation for employee compensation	259	7	–
Adjustment per Section 3.60	−148	−29	–
Adjustment per Section 16.00	3	–	–
Totals Available	$3,878	$4,008	$4,007
Unexpended balance, estimated savings	−279	–	–
TOTALS, EXPENDITURES	$3,599	$4,008	$4,007

0472 Child Care and Development Facilities Direct Loan Fund [n]

APPROPRIATIONS			
014 Budget Act appropriation	$177	$567	$413
Allocation for employee compensation	6	1	–
Adjustment per Section 3.60	−5	−3	–
Totals Available	$178	$565	$413
Unexpended balance, estimated savings	−31	–	–
TOTALS, EXPENDITURES	$147	$565	$413

0474 Child Care and Development Facilities Loan Guaranty Fund [n]

APPROPRIATIONS			
013 Budget Act appropriation	$177	$118	$118
Allocation for employee compensation	6	–	–
Adjustment per Section 3.60	−5	−1	–
Totals Available	$178	$117	$118
Unexpended balance, estimated savings	−36	–	–
TOTALS, EXPENDITURES	$142	$117	$118

0530 Mobilehome Park Purchase Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$542	$674	$564
Allocation for employee compensation	34	1	–
Adjustment per Section 3.60	−24	−5	–
Totals Available	$552	$670	$564
Unexpended balance, estimated savings	−17	–	–
TOTALS, EXPENDITURES	$535	$670	$564

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0635 Rural Predevelopment Loan Fund [n]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$113	$118	$124
Allocation for employee compensation	6	–	–
Adjustment per Section 3.60	–5	–1	–
Totals Available	$114	$117	$124
Unexpended balance, estimated savings	–13	–	–
TOTALS, EXPENDITURES	$101	$117	$124

0648 Mobilehome-Manufactured Home Revolving Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$17,131	$17,242	$16,808
Allocation for employee compensation	734	33	–
Adjustment per Section 3.60	–555	–126	–
Adjustment per Section 16.00	6	–	–
Totals Available	$17,316	$17,149	$16,808
Unexpended balance, estimated savings	–330	–	–
TOTALS, EXPENDITURES	$16,986	$17,149	$16,808

0714 Home Building and Rehabilitation Fund [b]

APPROPRIATIONS			
Chapters 30 and 48, Statutes of 1988:			
Transfer from Local Assistance for transfer to:			
Housing Rehabilitation Loan Fund (0929)	$193	–	–
Rental Housing Construction Fund (0938)	857	$888	$865
TOTALS, EXPENDITURES	$1,050	$888	$865

0788 California Earthquake Safety and Housing Rehabilitation Bond Account [b]

APPROPRIATIONS			
Chapter 27, Statutes of 1988 (transfer from Local Assistance for transfer to Housing Rehabilitation Loan Fund—0929)	$1,000	$1,150	–
Chapter 27, Statutes of 1988 (transfer from Local Assistance)	–	39	–
TOTALS, EXPENDITURES	$1,000	$1,189	–

0813 Self-Help Housing Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$114	$768	$213
Health and Safety Code Section 50697.1 (Calhome Program)	–	–	526
Allocation for employee compensation	5	–	–
Adjustment per Section 3.60	–5	–2	–
Totals Available	$114	$766	$739
Unexpended balance, estimated savings	–4	–	–
TOTALS, EXPENDITURES	$110	$766	$739

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	$5,031	$5,321	$5,205
Allocation for employee compensation	259	10	–
Adjustment per Section 3.60	–183	–39	–
Budget adjustment	–396	170	–
TOTALS, EXPENDITURES	$4,711	$5,462	$5,205

0927 Farmworker Housing Grant Fund [n]

APPROPRIATIONS			
Health and Safety Code Section 50517.6	$465	$720	$712

0929 Housing Rehabilitation Loan Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$1,968	$3,047	$1,980
Health and Safety Code Section 50661	1,193	1,150	1,150
Health and Safety Code Section 50661 (Multi-family Housing Program)	282	510	1,145
Health and Safety Code Section 50661 (Downtown Rebound Program)	–	–	317

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	1999–00*	2000–01*	2001–02*
Health and Safety Code Section 50661 (Inter-regional Partnership Grants for Jobs-Housing Balance)	–	–	$150
Health and Safety Code Section 50661 (Code Enforcement Incentive Program)	–	$100	100
Allocation for employee compensation	$128	5	–
Adjustment per Section 3.60	−89	−14	–
Adjustment per Section 16.00	3	–	–
Totals Available	$3,485	$4,798	$4,842
Unexpended balance, estimated savings	−123	–	–
TOTALS, EXPENDITURES	$3,362	$4,798	$4,842
Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account (0788)	−1,000	−1,150	–
Less funding provided by Home Building and Rehabilitation Fund (0714)	−193	–	–
NET TOTALS, EXPENDITURES	$2,169	$3,648	$4,842

0938 Rental Housing Construction Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$608	$643	$629
Health and Safety Code Section 50740	857	888	865
Health and Safety Code Section 50740 (Economic Development/Jobs-Housing Balance Program)	–	75	52
Health and Safety Code Section 50740 (Transit Oriented Predevelopment/Jobs-Housing Balance Program)	–	75	100
Allocation for employee compensation	50	1	–
Adjustment per Section 3.60	−34	−5	–
Totals Available	$1,481	$1,677	$1,646
Unexpended balance, estimated savings	−14	–	–
TOTALS, EXPENDITURES	$1,467	$1,677	$1,646
Less funding provided by Home Building and Rehabilitation Fund (0714)	−857	−888	−865
NET TOTALS, EXPENDITURES	$610	$789	$781

0942 Special Deposit Fund Century Freeway Housing Program [n]

APPROPRIATIONS			
Health and Safety Code Section 16370 (expenditures)	$13	–	–

0972 Manufactured Home Recovery Fund [n]

APPROPRIATIONS			
Health and Safety Code Section 18070.6 (expenditures)	$105	$244	$244

0985 Emergency Housing Assistance Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$445	$764	$744
Allocation for employee compensation	25	2	–
Adjustment per Section 3.60	−17	−5	–
Totals Available	$453	$761	$744
Unexpended balance, estimated savings	−24	–	–
TOTALS, EXPENDITURES	$429	$761	$744

0995 Reimbursements

Reimbursements	$228	$437	$437

3006 Jobs-Housing Balance Improvement Account

APPROPRIATIONS			
001 Budget Act appropriation	–	–	$212
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$38,239	$44,568	$44,064

SUMMARY BY OBJECT

2 LOCAL ASSISTANCE	1999–00*	2000–01*	2001–02*
661701 Grants and subventions	$144,095	$208,152	$251,371
664731 Loans	16,607	252,045	238,425
669791 Special adjustment-loan repayments	−3,932	−3,079	−2,582
TOTALS, EXPENDITURES	$156,770	$457,118	$487,214

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
101 Budget Act appropriation	$7,054	$5,554	$25,571
102 Budget Act appropriation (for transfer to Office of Migrant Services Account, Special Deposit Fund—0942)	5,750	7,679	9,528
103 Budget Act appropriation (for transfer to Self-Help Housing Fund—0813)	2,000	102,100	2,100
104 Budget Act appropriation (for transfer to Farmworker Housing Grant Fund—0927)	3,500	46,500	18,500
105 Budget Act appropriation (for transfer to Emergency Housing Assistance Fund—0985)	2,000	39,000	14,000
106 Budget Act appropriation (for transfer to Rental Housing Construction Fund—0938)	5,000	1,500	4,000
107 Budget Act appropriation (for transfer to Housing Rehabilitation Loan Fund—0929)	6,000	213,000	35,400
109 Budget Act appropriation (for transfer to Child Care—Direct Loan Fund—0472)	–	16,000	–
112 Budget Act appropriation (for transfer to Housing Rehabilitation Loan Fund—0929)	–	10,000	–
114 Budget Act appropriation (for transfer to Jobs-Housing Balance Improvement Account)	–	110,000	200,000
295 Budget Act appropriation (State Mandates)	850	850	850
Chapter 308, Statutes of 1999	60	–	–
Chapter 574, Statutes of 1999 (State Mandates)	662	–	–
Chapter 793, Statutes of 1999	773	–	–
Chapter 177, Statutes of 2000 (State Mandates)	–	823	–
Prior year balances available:			
Chapter 574, Statutes of 1999 (State Mandates)	–	662	–
Totals Available	$33,649	$553,668	$309,949
Balance available in subsequent years	–662	–	–
TOTALS, EXPENDITURES	$32,987	$553,668	$309,949

0472 Child Care and Development Facilities Direct Loan Fund [n]

APPROPRIATIONS			
Education Code Section 8277.5 and 8277.6	–	$15,750	–
Less funding provided by the General Fund	–	–16,000	–
TOTALS, EXPENDITURES	–	–$250	–

0530 Mobilehome Park Purchase Fund [n]

APPROPRIATIONS			
Health and Safety Code Section 50782	$1,345	$6,000	$8,000
Loan repayments from local agencies	–1,077	–900	–750
TOTALS, EXPENDITURES	$268	$5,100	$7,250

0635 Rural Predevelopment Loan Fund [n]

APPROPRIATIONS			
Health and Safety Code Section 50516	$1,209	$1,000	$1,000
Loan repayments from local agencies	–1,262	–570	–500
TOTALS, EXPENDITURES	–$53	$430	$500

0714 Home Building and Rehabilitation Fund [b]

APPROPRIATIONS			
Prior year balances available:			
Chapters 30 (Part 8(a)) and 48, Statutes of 1988 (Proposition 84, for transfer to various funds)	$7,792	$6,742	$5,854
Transfer to State Operations	–1,050	–888	–865
Chapters 30 (Part 8(a)) and 48, Statutes of 1988 (Proposition 107, for transfer to various funds)	13,948	13,948	13,948
Totals Available	$20,690	$19,802	$18,937
Balance available in subsequent years	–20,690	–19,802	–18,937
TOTALS, EXPENDITURES	–	–	–

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0788 California Earthquake Safety and Housing Rehabilitation Bond Account [b]

	1999–00*	2000–01*	2001–02*
APPROPRIATIONS			
Prior year balances available:			
Chapter 27, Statutes of 1988 (for transfer to Housing Rehabilitation Loan Fund—0929)	$2,189	$1,189	–
Transfer to State Operations	–1,000	–1,189	–
Totals Available	$1,189	–	–
Balance available in subsequent years	–1,189	–	–
TOTALS, EXPENDITURES	–	–	–

0813 Self-Help Housing Fund [n]

APPROPRIATIONS			
103 Budget Act appropriation	$2,000	$101,550	–
Health and Safety Code Section 50697.1 (Calhome Program)	–	–	$5,000
Health and Safety Code Section 50697.1 (Self-Help Program)	–	–	2,000
Totals Available	$2,000	$101,550	$7,000
Unexpended balance, estimated savings	–68	–$7,050	–
TOTALS, EXPENDITURES	$1,932	$94,500	$7,000
Loan repayments from local agencies	–203	–133	–120
Less funding provided by the General Fund	–2,000	–102,100	–2,100
NET TOTALS, EXPENDITURES	–$271	–$7,733	$4,780

0890 Federal Trust Fund

APPROPRIATIONS			
101 Budget Act appropriation	$105,835	$102,600	$102,100
Budget adjustment	13,139	9,574	–
TOTALS, EXPENDITURES	$118,974	$112,174	$102,100

0927 Farmworker Housing Grant Fund [n]

APPROPRIATIONS			
Health and Safety Code Section 50517.5	$4,104	$34,700	$28,780
Less funding provided by the General Fund	–3,500	–46,500	–18,500
TOTALS, EXPENDITURES	$604	–$11,800	$10,280

0929 Housing Rehabilitation Loan Fund [n]

APPROPRIATONS			
Health and Safety Code Section 50661 (Code Enforcement Incentive Program)	–	$4,750	–
Health and Safety Code Section 50661	$9,941	5,000	$5,000
Health and Safety Code Section 50661 (Multi-family Housing Program)	–	100,000	108,050
Health and Safety Code Section 50661 (Downtown Rebound Program)	–	23,750	4,180
Health and Safety Code Section 50661 (Inter-regional Partnership Grants for Jobs-Housing Balance)	–	4,500	–
TOTALS, EXPENDITURES	$9,941	$138,000	$117,230
Less funding provided by the General Fund	–6,000	–223,000	–35,400
Loan repayments from local agencies	–921	–950	–934
NET TOTALS, EXPENDITURES	$3,020	–$85,950	$80,896

0938 Rental Housing Construction Fund [n]

APPROPRIATIONS			
Health and Safety Code Section 50740	–	$4,976	$4,976
Health and Safety Code Section 50740 (Economic Development/Jobs-Housing Balance Program)	–	2,425	2,425
Health and Safety Code Section 50740 (Transit Oriented Predevelopment/Jobs-Housing Balance Program)	–	2,425	2,425
Health and Safety Code Section 50740 (Preservation Predevelopment Loan Program)	$5,512	2,900	4,000
Health and Safety Code Section 50882 (Transfer to Housing Rehabilitation Loan Fund)	(5,000)	–	–
TOTALS, EXPENDITURES	$5,512	$12,726	$13,826
Less funding provided by the General Fund	–6,400	–1,500	–4,000
Loan repayments from local agencies	–469	–526	–278
NET TOTALS, EXPENDITURES	–$1,357	$10,700	$9,548

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0942 Office of Migrant Services Account, Special Deposit Fund [n]	1999–00*	2000–01*	2001–02*
Government Code Section 16370	$8,522	$9,868	$12,099
Less funding provided by the General Fund	–5,750	–7,679	–9,528
TOTALS, EXPENDITURES	$2,772	$2,189	$2,571
0972 Manufactured Home Recovery Fund [n]			
APPROPRIATIONS			
Health and Safety Code Section 18070 (expenditures)	$179	$400	$400
0985 Emergency Housing Assistance Fund [n]			
APPROPRIATIONS			
Health and Safety Code Section 50800.5	$2,420	$27,190	$23,440
Less funding provided by the General Fund	–2,773	–39,000	–14,000
TOTALS, EXPENDITURES	–$353	–$11,810	$9,440
3006 Jobs-Housing Balance Improvement Account [s]			
APPROPRIATIONS			
114 Budget Act appropriation	–	–	$299,576
Balance available in subsequent years	–	–	–150,076
Less funding provided by the General Fund	–	–$110,000	–200,000
TOTALS, EXPENDITURES	–	–$110,000	–$50,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	$156,770	$457,118	$487,214
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	$195,009	$501,686	$531,278

FUND CONDITION STATEMENT

0245 Mobilehome Park Revolving Fund [s]	1999–00*	2000–01*	2001–02*
BEGINNING BALANCE	$50	$445	$285
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees	3,751	3,705	3,705
125700 Other regulatory licenses and permits	170	82	82
141200 Sales of documents	6	6	6
161400 Miscellaneous revenue	67	55	55
Totals, Revenues and Transfers	$3,994	$3,848	$3,848
Totals, Resources	$4,044	$4,293	$4,133
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development (State Operations)	3,599	4,008	4,007
Totals, Expenditures	$3,599	$4,008	$4,007
FUND BALANCE	$445	$285	$126
Reserve for economic uncertainties	445	285	126
0472 Child Care and Development Facilities Direct Loan Fund [n]			
BEGINNING BALANCE	$1,021	$931	$666
Prior year adjustments	1	–	–
Balance, Adjusted	$1,022	$931	$666
REVENUES AND TRANSFERS			
Operating Revenues:			
250300 Income from Surplus Money Investment Fund	56	50	25
Totals, Operating Revenues	$56	$50	$25
Totals, Resources	$1,078	$981	$691

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	*1999–00**	*2000–01**	*2001–02**
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	$147	$565	$413
Local Assistance [1]	–	15,750	–
Totals, Disbursements	$147	$16,315	$413
Expenditure Reduction:			
2240 Department of Housing and Community Development			
Less funding provided by General Fund (Local Assistance)	–	–16,000	–
Totals, Expenditures	$147	$315	$413
FUND BALANCE	$931	$666	$278

[1] Local Assistance funds are transferred to the Technology, Trade and Commerce Agency which holds the funds until they are disbursed as loans. As of November 2000 the Agency had committed $1,500,000 of these funds, and had another $2,000,000 in applications in review.

0474 Child Care and Development Facilities Loan Guaranty Fund [n]

BEGINNING BALANCE	$623	$515	$423
REVENUES AND TRANSFERS			
Operating Revenues:			
250300 Income from Surplus Money Investment Fund	34	25	15
Totals, Operating Revenues	$34	$25	$15
Totals, Resources	$657	$540	$438
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development (State Operations)	142	117	118
FUND BALANCE	$515	$423	$320

0530 Mobilehome Park Purchase Fund [n]

BEGINNING BALANCE	$14,141	$16,616	$13,747
Prior year adjustments	–111	–	–
Balance, Adjusted	$14,030	$16,616	$13,747
REVENUES AND TRANSFERS			
Operating Revenues:			
214000 Interest from loans	682	400	350
216000 Fees and licenses	1,778	1,600	1,600
250300 Income from Surplus Money Investment Fund	928	900	800
299900 Miscellaneous income	1	1	1
Totals, Operating Revenues	$3,389	$2,901	$2,751
Totals, Resources	$17,419	$19,517	$16,498
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	535	670	564
Local Assistance	1,345	6,000	8,000
Totals, Disbursements	$1,880	$6,670	$8,564
Expenditure Reductions:			
2240 Department of Housing and Community Development			
Loan repayments (Local Assistance)	–1,077	–900	–750
Totals, Expenditures	$803	$5,770	$7,814
FUND BALANCE	$16,616	$13,747	$8,684

0635 Rural Predevelopment Loan Fund [n]

BEGINNING BALANCE	$624	$782	$450
Prior year adjustments	–29	–	–
Balance, Adjusted	$595	$782	$450

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	*1999–00**	*2000–01**	*2001–02**
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments	$126	$125	$100
250300 Income from Surplus Money Investment Fund	109	90	75
Totals, Operating Revenues	$235	$215	$175
Totals, Resources	$830	$997	$625
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	101	117	124
Local Assistance	1,209	1,000	1,000
Totals, Disbursements	$1,310	$1,117	$1,124
Expenditure Reductions:			
2240 Department of Housing and Community Development			
Loan repayments (Local Assistance)	−1,262	−570	−500
Totals, Expenditures	$48	$547	$624
FUND BALANCE	$782	$450	$1

0648 Mobilehome-Manufactured Home Revolving Fund [n]

BEGINNING BALANCE	$213	$261	$134
Prior year adjustments	−22	–	–
Balance, Adjusted	$191	$261	$134
REVENUES AND TRANSFERS			
Operating Revenues:			
215400 Mobilehome In-Lieu Tax	2,388	2,388	2,388
212000 Sale of documents	5	6	6
215000 Income from investments	595	300	200
216000 Fees and licenses	14,968	15,628	15,478
Totals, Operating Revenues	$17,956	$18,322	$18,072
Transfers to Other Funds:			
T00001 General Fund loan repayment per Item 2240-011-0001, Budget Act of 1997	−700	−810	–
T00972 Manufactured Home Recovery Fund loan repayment per Item 2240-011-0972, Budget Act of 1997	−200	−490	–
Totals, Transfers to Other Funds	−$900	−$1,300	–
Totals, Operating Revenues	$17,056	$17,022	$18,072
Totals, Resources	$17,247	$17,283	$18,206
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development (State Operations)	16,986	17,149	16,808
FUND BALANCE	$261	$134	$1,398

0714 Home Building and Rehabilitation Fund [b]

BEGINNING BALANCE	–	–	–
REVENUES AND TRANSFERS			
520000 Proceeds from sale of bonds	($1,050)	($888)	($865)
550000 Loan proceeds from Pooled Money Investment	1,050	888	865
Totals, Receipts	$1,050	$888	$865
Totals, Resources	$1,050	$888	$865
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	1,050	888	865
For transfer to Housing Rehabilitation Loan Fund—0929	(193)	–	–
For transfer to Rental Housing Construction Fund—0938	(857)	(888)	(865)
(For Family Housing Demonstration Program—included in RHCP transfer)	(51)	(75)	(75)
Totals, Disbursements	$1,050	$888	$865
FUND BALANCE	–	–	–

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0788 California Earthquake Safety and Housing Rehabilitation Bond Account [b]

	1999–00*	2000–01*	2001–02*
BEGINNING BALANCE	–	–	–
REVENUES AND TRANSFERS			
520000 Proceeds from sales of bonds	($1,000)	($1,189)	–
550000 Loan proceeds	1,000	1,189	–
Totals, Receipts	$1,000	$1,189	–
Totals, Resources	$1,000	$1,189	–
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	1,000	1,189	–
For transfer to Housing Rehabilitation Loan Fund—0929 (State Operations)	(1,000)	(1,150)	–
Totals, Disbursements	$1,000	$1,189	–
FUND BALANCE	–	–	–

0813 Self-Help Housing Fund [n]

BEGINNING BALANCE	$1,012	$1,566	$11,133
Prior year adjustments	47	–	–
Balance, Adjusted	$1,059	$1,566	$11,133
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments	133	100	100
250300 Income from Surplus Money Investment Fund	213	2,500	1,500
Totals, Operating Revenues	$346	$2,600	$1,600
Totals, Resources	$1,405	$4,166	$12,733
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	110	766	739
Local Assistance	1,932	94,500	7,000
Totals, Disbursements	$2,042	$95,266	$7,739
Expenditure Reductions:			
2240 Department of Housing and Community Development:			
Local Assistance:			
Loan repayments from local agencies	–203	–133	–120
Less funding provided by the General Fund	–2,000	–102,100	–2,100
Totals, Expenditure Reductions	–$2,203	–$102,233	–$2,220
Totals, Expenditures	–$161	–$6,967	$5,519
FUND BALANCE	$1,566	$11,133	$7,214

0843 California Housing Trust Fund [n]

BEGINNING BALANCE	$35	$36	$38
Prior year adjustments	–1	–	–
Balance, Adjusted	$34	$36	$38
REVENUES AND TRANSFERS			
Operating Revenues:			
213000 Property and natural resources (Tidelands Oil Revenue)	–	–	–
250300 Income from Surplus Money Investment Fund	2	2	2
299900 Income-Residual from Item 2240-510-0942-013	–	–	–
Totals, Operating Revenues and Transfers	$2	$2	$2
Transfers to Other Funds:			
T00985 Emergency Housing and Assistance Fund per Item 2240-101-0843, Budget Act of 2001	–	–	–40
Totals, Operating Revenues	$2	$2	–$38
Totals, Resources	$36	$38	–
FUND BALANCE	$36	$38	–

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0927 Farmworker Housing Grant Fund [n]	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$1,432	$254	$11,334
Prior year adjustments	−115	–	–
Balance, Adjusted	$1,317	$254	$11,334
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments	6	–	–
580000 Fund abatements	–	–	–
Totals, Operating Revenues	$6	–	–
Totals, Resources	$1,323	$254	$11,334
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	465	720	712
Local Assistance	4,104	34,700	28,780
Totals, Disbursements	$4,569	$35,420	$29,492
Expenditure Reductions:			
2240 Department of Housing and Community Development			
Less funding provided by General Fund (Local Assistance)	−3,500	−46,500	−18,500
Totals, Expenditures	$1,069	−$11,080	$10,992
FUND BALANCE	$254	$11,334	$342

0929 Housing Rehabilitation Loan Fund [n]			
BEGINNING BALANCE	$19,275	$25,353	$113,782
Prior year adjustments	1,811	–	–
Balance, Adjusted	$21,086	$25,353	$113,782
REVENUES AND TRANSFERS			
Operating Revenues:			
213000 Property and natural resources	24	–	–
215000 Income from investments	2,117	1,127	1,106
250300 Income from Surplus Money Investment Fund	2,315	5,000	5,000
Totals, Operating Revenues	$4,456	$6,127	$6,106
Transfers from Other Funds:			
F00938 Housing Rehabilitation Loan Fund per Health & Safety Section 50882(e)	5,000	–	–
Totals, Operating Revenues	$9,456	$6,127	$6,106
Totals, Resources	$30,542	$31,480	$119,888
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	3,362	4,798	4,842
California Disaster Housing Repair Program	(765)	(800)	(800)
Homeownership Assistance Program	(76)	(80)	(80)
20 Community Affairs Division (Local Assistance)	9,941	138,000	117,230
Totals, Disbursements	$13,303	$142,798	$122,072
Expenditure Reductions:			
2240 Department of Housing and Community Development:			
State Operations:			
Less funding from Home Building and Rehabilitation Fund—0714	−193	–	–
Less funding from Earthquake Safety and Housing Rehabilitation Fund—0788	−1,000	−1,150	–
Local Assistance:			
Less funding provided by General Fund	−6,000	−223,000	−35,400
Loan repayments from local agencies	−921	−950	−934
Totals, Expenditure Reductions	−$8,114	−$225,100	−$36,334
Totals, Expenditures	$5,189	−$82,302	$85,738
FUND BALANCE	$25,353	$113,782	$34,150

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0938 Rental Housing Construction Fund [n]	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$9,319	$10,609	$11,955
Prior year adjustments	2,618	–	–
Balance, Adjusted	$11,937	$10,609	$11,955
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments	293	235	105
250300 Income from Surplus Money Investment Fund	2,618	2,600	2,600
299900 Income—Other abatements, revenue and interest on escrows	14	–	–
Totals, Operating Revenues	$2,925	$2,835	$2,705
Transfers to Other Funds:			
T00929 Housing Rehabilitation Loan Fund per Health & Safety Section 50882(e)	–5,000	–	–
Transfers from Other Funds:			
F03006 Jobs-Housing Balance Improvement Account per Chapter 80, Statutes 2000	–	10,000	–
Totals, Operating Revenues	–$2,075	$12,835	$2,705
Totals, Resources	$9,862	$23,444	$14,660
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	1,467	1,677	1,646
Family Housing Demonstration Program	(51)	(75)	(75)
Urban Predevelopment Loan Program	(36)	–	–
Local Assistance	5,512	12,726	13,826
Totals, Disbursements	$6,979	$14,403	$15,472
Expenditure Reductions:			
2240 Department of Housing and Community Development:			
State Operations:			
Less funding from Home Building and Rehabilitation Fund—0714	–857	–888	–865
Local Assistance:			
Less funding provided by General Fund	–6,400	–1,500	–4,000
Loan repayments	–469	–526	–278
Totals, Expenditure Reductions	–$7,726	–$2,914	–$5,143
Totals, Expenditures	–$747	$11,489	$10,329
FUND BALANCE	$10,609	$11,955	$4,331

0942 Special Deposit Fund—Office of Migrant Services [n]			
BEGINNING BALANCE	$466	$7	$67
Prior year adjustments	125	–	–
Balance, Adjusted	$591	$7	$67
REVENUES AND TRANSFERS			
Operating Revenues:			
299900 Income—Other rent revenue	2,188	2,249	2,504
Totals, Operating Revenues	$2,188	$2,249	$2,504
Totals, Resources	$2,779	$2,256	$2,571
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development (Local Assistance)	8,522	9,868	12,099
Expenditure Reductions:			
2240 Department of Housing and Community Development			
Less transfer provided by the General Fund (Local Assistance)	–5,750	–7,679	–9,528
Totals, Expenditures	$2,772	$2,189	$2,571
FUND BALANCE	$7	$67	–

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0972 Manufactured Home Recovery Fund [n]	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$794	$1,356	$1,310
REVENUES AND TRANSFERS			
Operating Revenues:			
216000 Fees and licenses	589	98	295
250300 Income from Surplus Money Investment Fund	57	10	29
Totals, Operating Revenues	$646	$108	$324
Transfers from Other Funds:			
F00648 Mobilehome-Manufactured Home Revolving Fund per Item 2240-011-0972, Budget Act of 1997	200	490	–
Totals, Operating Revenues	$846	$598	$324
Totals, Resources	$1,640	$1,954	$1,634
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	105	244	244
Local Assistance	179	400	400
Totals, Expenditures	$284	$644	$644
FUND BALANCE	$1,356	$1,310	$990

0985 Emergency Housing and Assistance Fund [n]			
BEGINNING BALANCE	$1,407	$1,868	$13,667
Prior year adjustments	−39	–	–
Balance, Adjusted	$1,368	$1,868	$13,667
REVENUES AND TRANSFERS			
Operating Revenues:			
250300 Income from Surplus Money Investment Fund	228	750	500
299900 Income—Other contract disencumbrances	256	–	–
580000 Fund Abatements	92	–	–
Totals, Operating Revenues	$576	$750	$500
Transfers from Other Funds:			
F00843 California Housing Trust Fund per Item 2240-101-0843, Budget Act of 1997	–	–	40
Totals, Operating Revenues	$576	$750	$540
Totals, Resources	$1,944	$2,618	$14,207
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	429	761	744
Local Assistance	2,420	27,190	23,440
Totals, Disbursements	$2,849	$27,951	$24,184
Expenditure Reductions:			
2240 Department of Housing and Community Development			
Less funding from General Fund (Local Assistance)	−2,773	−39,000	−14,000
Totals, Expenditure Reductions	−$2,773	−$39,000	−$14,000
Totals, Expenditures	$76	−$11,049	$10,184
FUND BALANCE	$1,868	$13,667	$4,023

3006 Jobs-Housing Balance Improvement Account [s]			
BEGINNING BALANCE	–	–	$100,000
REVENUES AND TRANSFERS			
Revenue:			
250300 Income from Surplus Money Investment Fund	–	–	–
Totals, Revenues	–	–	–
Transfers to Other Funds:			
T00938 Rental Construction Fund per Chapter 80, Statutes 2000	–	−$10,000	–
Totals, Revenues and Transfers	–	−$10,000	–
Totals, Resources	–	−$10,000	$100,000

*** Dollars in thousands, except in Salary Range.**

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	*1999–00**	*2000–01**	*2001–02**
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	–	–	$212
Local Assistance	–	–	149,500
Totals, Disbursements	–	–	$149,712
Expenditure Reductions:			
2240 Department of Housing and Community Development			
Less funding provided by General Fund (Local Assistance)	–	–$110,000	–200,000
Totals, Expenditures	–	–$110,000	–$50,288
FUND BALANCE	–	$100,000	$150,288
Reserve for unencumbered balance of continuing appropriations	–	–	150,076
Reserve for economic uncertainties	–	100,000	212

CHANGES IN AUTHORIZED POSITIONS	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Totals, Authorized Positions	452.0	532.0	531.7	$21,236	$25,926	$26,445
Salary adjustments	–	–	–	–	4	26
Totals, Adjusted Authorized Positions	452.0	532.0	531.7	$21,236	$25,930	$26,471
Workload and Administrative Adjustments:						
Reduction in Authorized Positions:				Salary Range		
Housing & Community Dev Rep II (Prog 30)	–	–	–3.0	4,110–4,997	–	–166
Proposed New Positions:						
Codes & Stds Dist Rep II (Prog 10)	–	–	2.0	4,238–5,109	–	112
Housing & Community Dev Rep II (Prog 20)	–	–	1.0	4,110–4,997	–	54
Totals, Workload and Administrative Adjustments	–	–	–	–	–	–
Excess Vacant Position Analysis:						
Reduction in Authorized Positions:						
Assoc Civil Engr (Prog 10)	–	–	–1.0	4,639–5,637	–	–
Research Spec I (Prog 30)	–	–	–0.5	4,506–5,437	–	–
Housing & Community Dev Rep II (Prog 20)[1]	–	–	–4.0	4,110–4,997	–	–166
Dist Rep I (Prog 10)	–	–	–1.0	3,860–4,650	–	–
Pers Techn (Prog 50)	–	–	–1.0	2,348–2,855	–	–31
Prog Techn II (Prog 10)	–	–	–1.5	2,348–2,855	–	–47
Prog Techn (Prog 10)	–	–	–1.0	2,029–2,648	–	–31
Stock Clk (Prog 50)	–	–	–1.0	2,139–2,601	–	–32
Ofc Asst (Prog 50)	–	–	–1.0	2,230–2,449	–	–29
Totals	–	–	–12.0	–	–	–$336
Proposed New Positions:						
Housing & Community Dev Spec I (Prog 30)[1]	–	–	1.0	4,301–5,228	–	57
Assoc Info Sys Analyst (Prog 10)	–	–	2.0	4,110–4,997	–	109
Housing & Community Dev Rep II (Prog 30)[1]	–	–	1.0	4,110–4,997	–	55
Housing & Community Dev Rep II (Prog 20)[1, 2]	–	–	1.5	4,110–4,997	–	82
Totals	–	–	5.5	–	–	$303
Total Adjustments	–	–	–6.5	–	$4	–$7
TOTALS, SALARIES AND WAGES	452.0	532.0	525.2	$21,236	$25,930	$26,438

[1] One 2-year limited term; expires 6/30/03.
[2] 0.5 18-month limited term; expires 12/31/02.

*** Dollars in thousands, except in Salary Range.**

2260 CALIFORNIA HOUSING FINANCE AGENCY

The California Housing Finance Agency helps meet the need for safe, decent, and affordable housing for low and moderate income individuals and families by offering below market-rate loans. The Agency is fiscally self-supporting, setting loan interest rates slightly above the interest cost on its bonds and charging fees for specific services. It is administered by a fourteen-member Board of Directors representing various segments of the housing industry and state government. Ex-officio members include the State Treasurer, the Secretary for the Business, Transportation, and Housing Agency, the Director of the Department of Housing and Community Development, the Director of the Department of Finance, and the Director of the California Housing Finance Agency. Each year the Board adopts an annual budget and updates a five-year business plan. The Board has not yet adopted a final operating budget for 2001–02; the budget presented here reflects the full-year cost at the approved level for 2000–01.[1] The Agency is currently implementing an $8.8 billion business plan for the fiscal years 2000–01 through 2004–05.

The Agency also administers a homebuyer downpayment assistance program and a rental assistance program authorized through December 31, 2002 by the Leroy F. Greene School Facilities Act of 1998, approved in the General Election of November 1998; $160 million is available by contract with the Department of General Services (DGS) over a four-year period that began January 1, 1999. This program provides funding to eligible homebuyers and rental developers to offset the cost of specified school facility fees paid for the home or rental project. The past year expenditures and budgeted funding available for this program are displayed in the School Facilities Fee Assistance Fund condition statement in the Department of General Services' budget (organization code 1760).

In addition, this year the Agency will begin administering the California Homebuyers Downpayment Assistance Program as authorized by Chapter 81, Statutes of 2000. This program helps address the declining rate of homeownership in the state by offering deferred-payment low cost loans for downpayment assistance. The $50 million funding for the program will be allocated by contract from the Department of Housing and Community Development, and expenditures for the program will be displayed in that department's budget (organization code 2240).

Authority

Health and Safety Code Sections 50000–52533.

SUMMARY OF PROGRAM REQUIREMENTS	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
10 Lending Activity	176.8	194.7	194.7	$14,981	$17,916	$18,006
20 Insurance Activity	9.5	10.5	10.5	1,456	1,731	1,736
TOTALS, PROGRAMS	186.3	205.2	205.2	$16,437	$19,647	$19,742
0501 California Housing Finance Fund				*14,981*	*17,916*	*18,006*
0916 Housing Insurance Fund				*1,456*	*1,731*	*1,736*

10 LENDING ACTIVITY

The Agency sells tax-exempt and taxable bonds and uses the proceeds to finance housing at below-market interest rates by: (1) making mortgage loans to qualified borrowers to finance the purchase of a single family home, and (2) making loans for the development and preservation of multifamily housing. In addition to increasing the supply of affordable housing, the Agency's financing activities stimulate the state's economy, resulting in additional employment opportunities and increased income to California residents.

Bonds/Notes Outstanding:			
Issued during year	$1,591,504	$1,475,000	$1,200,000
Outstanding	6,597,403	7,462,403	7,762,403
Lending Activities:			
Loaned:			
During year	$1,045,261	$1,475,000	$1,200,000
Outstanding	5,856,889	6,991,899	7,366,899
Dwelling Units:			
During year	10,700	10,700	10,700
Outstanding	125,500	136,200	146,900

20 INSURANCE ACTIVITY

The California Housing Loan Insurance Fund Program encourages and facilitates the preservation of existing housing and improves housing opportunities for persons of low and moderate income.

Chapter 610, Statutes of 1977, established this program for bond and loan insurance. The program was initially funded with a $5 million appropriation and a $5 million loan from the General Fund. The loan has since been fully repaid. All money in the fund is continuously appropriated for the purposes of insuring single family loans pursuant to the program. To address the need for mortgage insurance products for the low and moderate income housing market, the fund currently insures California Housing Finance Agency, Federal National Mortgage Association and Federal Home Loan Mortgage Corporation single family loans and has earned a claims-paying ability credit rating equivalent to that of a private mortgage insurance company. The program expects to insure $1.9 billion in mortgages beginning in the current year through the next five years of market operation. It is self-supporting and does not depend upon either the faith and credit or the taxing power of the State of California to operate.

Performance Measures

Primary New Insurance Written	$257	$395	$440
Pool New Insurance Written	–	–	–
Renewal Insurance Written	621	703	633

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 LENDING ACTIVITY

State Operations:	*1999–00**	*2000–01**	*2001–02**
0501 California Housing Finance Fund	*$14,981*	*$17,916*	*$18,006*

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2260 CALIFORNIA HOUSING FINANCE AGENCY—Continued

PROGRAM REQUIREMENTS
20 INSURANCE ACTIVITY

State Operations:	*1999–00**	*2000–01**	*2001–02**
0916 Housing Insurance Fund	*$1,456*	*$1,731*	*$1,736*
TOTAL EXPENDITURES			
State Operations	$16,437	$19,647	$19,742
TOTALS, EXPENDITURES	$16,437	$19,647	$19,742

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	186.3	216.0	216.0	$9,979	$12,417	$12,497
Estimated Salary Savings	–	–10.8	–10.8	–	–621	–625
Net Totals, Salaries and Wages	186.3	205.2	205.2	$9,979	$11,796	$11,872
Staff Benefits	–	–	–	1,562	2,949	2,968
Totals, Personal Services	186.3	205.2	205.2	$11,541	$14,745	$14,840
OPERATING EXPENSES AND EQUIPMENT				$4,896	$4,902	$4,902
TOTALS, EXPENDITURES				$16,437	$19,647	$19,742

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0501 California Housing Finance Fund [n]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
Health and Safety Code Section 51000 (expenditures)	$14,981	$17,916	$18,006
0916 Housing Insurance Fund [n]			
APPROPRIATIONS			
Health and Safety Code Section 51653 (expenditures)	$1,456	$1,731	$1,736
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$16,437	$19,647	$19,742

REVENUE AND EXPENDITURES STATEMENT
0501 California Housing Finance Fund [n]

OPERATING REVENUES	*1999–00**	*2000–01**	*2001–02**
214000 Interest income from loans	$384,168	$402,000	$420,000
215000 Income from investments	90,412	92,000	94,000
216000 Fees and licenses—Financing and application fees	16,551	18,000	20,000
299000 Other income	77,818	80,000	82,000
Totals, Operating Revenues	$568,949	$592,000	$616,000
EXPENDITURES			
Interest payments on bonds and notes	370,981	390,000	408,000
Servicing fees and other expenditures	110,832	113,000	115,000
State operations	14,981	17,916	18,006
TOTALS, EXPENDITURES	$496,794	$520,916	$541,006
Revenue over (under) expenditures	72,155	71,084	74,994
0916 Housing Insurance Fund [n]			
REVENUES			
Insurance premium	$5,129	$6,616	$7,941
Investment interest	1,544	1,191	1,283
Other	860	900	900
Totals, Revenues	$7,533	$8,707	$10,124

*** Dollars in thousands, except in Salary Range.**

2260 CALIFORNIA HOUSING FINANCE AGENCY—Continued

EXPENDITURES	*1999–00**	*2000–01**	*2001–02**
Reinsurance premium	$2,656	$3,639	$4,200
Claims	582	1,703	3,065
State operations	1,456	1,731	1,736
Other	351	400	400
TOTALS, EXPENDITURES	$5,045	$7,473	$9,401
Revenues over (under) expenditures	2,488	1,234	723

[1] The Agency's operations budget is not subject to Budget Act appropriations. The Agency's funds are derived from self-supporting revenue bonds, and its budget is displayed in the Governor's Budget for informational purposes only.

2310 OFFICE OF REAL ESTATE APPRAISERS

The Office of Real Estate Appraisers (OREA) administers a program for licensing of real estate appraisers in federally related loan transactions. All appraisals for federally regulated real estate financing transactions must be conducted by persons licensed in accordance with applicable state standards. In addition, certain appraisals, because of the size of the real property or complexity involved, must be performed only by a state licensed appraiser.

SUMMARY OF PROGRAM REQUIREMENTS

	99–00	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
10 Administration of Real Estate Appraisers Program	33.9	38.5	38.5	$3,749	$3,884	$3,875
0400 Real Estate Appraisers Regulation Fund				*3,687*	*3,809*	*3,800*
0995 Reimbursements				*62*	*75*	*75*

10 ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM

Program Objectives Statement

This program conducts the three major activities of the office: licensing, which ensures that only qualified persons are licensed to conduct appraisals in federally related real estate loan transactions; enforcement, which ensures adherence to the applicable laws and regulations by all persons licensed by the State; and administration, which provides staff support.

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	33.9	40.5	40.5	$1,578	$2,064	$2,109
Estimated Salary Savings	–	–2.0	–2.0	–	–97	–100
Net Totals, Salaries and Wages	33.9	38.5	38.5	$1,578	$1,967	$2,009
Staff Benefits	–	–	–	516	394	412
Totals, Personal Services	33.9	38.5	38.5	$2,094	$2,361	$2,421
OPERATING EXPENSES AND EQUIPMENT				$1,655	$1,523	$1,454
TOTALS, EXPENDITURES				$3,749	$3,884	$3,875

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0400 Real Estate Appraisers Regulation Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$4,159	$3,823	$3,800
Allocation for employee compensation	178	7	–
Adjustment per Section 3.60	–109	–21	–
Adjustment per Section 16.00	2	–	–
Totals Available	$4,230	$3,809	$3,800
Unexpended balance, estimated savings	–543	–	–
TOTALS, EXPENDITURES	$3,687	$3,809	$3,800

0995 Reimbursements

Reimbursements	$62	$75	$75
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$3,749	$3,884	$3,875

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2310 OFFICE OF REAL ESTATE APPRAISERS—Continued

FUND CONDITION STATEMENT 0400 Real Estate Appraisers Regulation Fund [s]	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$4,631	$3,413	$3,335
Prior year adjustments	175	–	–
Balance, Adjusted	$4,806	$3,413	$3,335
REVENUE AND TRANSFERS			
Revenues:			
123400 Real Estate Examination fees	480	902	514
123500 Real Estate License fees	1,012	2,020	1,013
125600 Other Regulatory fees	269	426	200
150300 Income from surplus money investments	226	190	100
161400 Miscellaneous revenue	70	43	118
164300 Penalty assessments	237	150	150
Totals, Revenues and Transfers	$2,294	$3,731	$2,095
Totals, Resources	$7,100	$7,144	$5,430
EXPENDITURES			
Disbursements:			
2310 Office of Real Estate Appraisers (State Operations)	3,687	3,809	3,800
FUND BALANCE	$3,413	$3,335	$1,630
Reserve for economic uncertainties	3,413	3,335	1,630

2320 DEPARTMENT OF REAL ESTATE

The Department of Real Estate (1) protects the public in offerings of subdivided property; (2) ensures that licensed individuals conducting real estate transactions are competent and qualified; (3) prevents fraud, deceit and misrepresentation in the real estate marketplace by assisting the public through the investigation of complaints; and (4) educates the public and professional communities regarding the laws and regulations governing the handling of real estate transactions.

SUMMARY OF PROGRAM REQUIREMENTS	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
10 Licensing and Education	65.5	66.6	66.6	$6,239	$5,990	$6,005
20 Enforcement and Recovery	162.6	173.4	173.4	16,141	17,852	17,858
30 Subdivisions	58.9	61.4	61.4	4,437	4,965	4,885
40 Administration	46.8	48.0	48.0	5,702	4,489	4,490
Distributed Administration	–46.8	–48.0	–48.0	–5,702	–4,489	–4,490
TOTALS, PROGRAMS	287.0	301.4	301.4	$26,817	$28,807	$28,748
0317 Real Estate Commissioner's Fund				*26,083*	*28,057*	*27,998*
0995 Reimbursements				*734*	*750*	*750*

10 LICENSING AND EDUCATION

Program Objectives Statement

The Licensing and Education Program (1) ensures that only those persons who meet prescribed qualifications are licensed; (2) renews licenses for individuals who maintain qualifications by updating their technical knowledge through required continuing education; and (3) enforces standards of legal conduct established for such licensees. Dealing in real property is often a once-in-a-lifetime activity for a large segment of the public. The degree of sophistication associated with real estate transactions makes it essential that real estate agents are qualified to render services that meet the public's needs.

Authority

Business and Professions Code, Division 4, Part 1.

20 ENFORCEMENT AND RECOVERY

Program Objectives Statement

The Enforcement and Recovery Program investigates complaints filed by the public and possible infractions noted by the staff or other regulatory agencies to determine violations of the Real Estate Law. Trust fund complaint cases are subject to investigative audits, and mortgage loan brokers, property management companies and broker-escrows are routinely audited as resources allow. If the Department's findings justify further action, disciplinary proceedings, including suspension or revocation of a license and/or criminal proceedings, may be sought to protect the public. The goal of the program is to provide maximum protection for the purchasers of real property and those persons dealing with real estate licensees.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2320 DEPARTMENT OF REAL ESTATE—Continued

The Department's legal staff administers the Real Estate Recovery Account, a fund of last resort to members of the public defrauded by real estate licensees in connection with acts requiring a real estate license. Whenever a person obtains a judgment based on licensee fraud and the licensee is unable to pay, the victim may file a claim against the Real Estate Recovery Account up to the maximum allowed by law. Recovery claims are decided by the Commissioner. When claims are paid out of the fund, the license of the agent on whose account the payment was made is automatically suspended until the recovery account has been repaid with interest.

Authority

Business and Professions Code, Division 4, Parts 1 and 2.

30 SUBDIVISIONS

Program Objectives Statement

The Subdivisions Program protects the public from fraud and misrepresentation in the sale or lease of subdivided land. No person may offer to sell or lease interest in a subdivision covered by the subdivided lands law without first filing a notice of intention and obtaining from the Real Estate Commissioner a public report on the subdivision. The public report examines virtually all aspects of the project and requires compliance with specified statutory and regulatory standards. The public report serves two functions aimed at protecting buyers of subdivision interests: (1) requires disclosure of material facts, and (2) ensures adherence to applicable standards for creating, operating, financing and documenting the project.

Authority

Business and Professions Code, Division 4, Parts 1 and 2.

40 ADMINISTRATION

Program Objectives Statement

The Administration Program conducts the final review of subdivision, regulatory and license transactions, issues and renews licenses, and maintains the official files of the Department. Administrative support staff perform the major part of the Department's financial management, personnel, electronic data processing, training, support and business services activities.

This program advises the Commissioner on legal matters and drafts proposed legislation and regulations for administration of the Real Estate Law and the Subdivided Lands Law. The legal staff also represents the State at disciplinary hearings, and responds to inquiries from the public, licensees, and members of the Legislature.

Authority

Business and Professions Code, Division 4, Parts 1 and 2.

PROGRAM BUDGET DETAIL

	*1999–00**	*2000–01**	*2001–02**
PROGRAM REQUIREMENTS			
10 LICENSING AND EDUCATION			
State Operations:			
0317 Real Estate Commissioner's Fund	*$5,505*	*$5,240*	*$5,255*
0995 Reimbursements	*734*	*750*	*750*
Totals, State Operations	$6,239	$5,990	$6,005
PROGRAM REQUIREMENTS			
20 ENFORCEMENT AND RECOVERY			
State Operations:			
0317 Real Estate Commissioner's Fund	*$16,141*	*$17,852*	*$17,858*
Totals, State Operations	$16,141	$17,852	$17,858
PROGRAM REQUIREMENTS			
30 SUBDIVISIONS			
State Operations:			
0317 Real Estate Commissioner's Fund	*$4,437*	*$4,965*	*$4,885*
Totals, State Operations	$4,437	$4,965	$4,885
TOTAL EXPENDITURES			
State Operations	$26,817	$28,807	$28,748

*** Dollars in thousands, except in Salary Range.**

2320 DEPARTMENT OF REAL ESTATE—Continued

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	287.0	320.0	320.0	$13,779	$15,454	$15,759
Total Adjustments	–	–	–	–	8	14
Estimated Salary Savings	–	−18.6	−18.6	–	−679	−679
Net Totals, Salaries and Wages	287.0	301.4	301.4	$13,779	$14,783	$15,094
Staff Benefits	–	–	–	2,511	2,880	2,939
Totals, Personal Services	287.0	301.4	301.4	$16,290	$17,663	$18,033
OPERATING EXPENSES AND EQUIPMENT				8,478	8,144	7,715
SPECIAL ITEMS OF EXPENSE				2,049	3,000	3,000
TOTALS, EXPENDITURES				$26,817	$28,807	$28,748

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0317 Real Estate Commissioner's Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$27,706	$28,150	$27,998
Allocation for employee compensation	1,354	59	–
Adjustment per Section 3.60	−874	−159	–
Adjustment per Section 4.60 (rental rate)	–	7	–
Adjustment per Section 16.00	10	–	–
Totals Available	$28,196	$28,057	$27,998
Unexpended balance, estimated savings	−2,113	–	–
TOTALS, EXPENDITURES	$26,083	$28,057	$27,998
0995 Reimbursements			
Reimbursements	$734	$750	$750
TOTALS, EXPENDITURES (State Operations)	$26,817	$28,807	$28,748

FUND CONDITION STATEMENT
0317 Real Estate Commissioner's Fund [s]

	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$25,035	$19,539	$13,060
Prior year adjustments	660	–	–
Balance, Adjusted	$25,695	$19,539	$13,060
REVENUES AND TRANSFERS			
Revenues:			
123400 Division of Real Estate examination fees	3,463	3,561	3,625
123500 Division of Real Estate license fees	7,398	6,701	14,340
123600 Subdivision filing fees	6,605	6,849	7,595
125700 Other regulatory licenses and permits	209	209	209
141200 Sales of documents	142	142	142
142500 Miscellaneous services to the public	211	211	211
150300 Income from surplus money investments	1,386	1,100	1,100
161000 Escheat of unclaimed checks and warrants	27	27	27
161400 Miscellaneous revenue	291	254	254
164300 Penalty assessments	195	195	195
Totals, Revenues	$19,927	$19,249	$27,698
Transfers from Other Funds:			
F00942 Special Deposit Fund per Section 12.20, Budget Act of 1998 and 2000	–	2,329	–
Totals, Transfers from Other Funds	–	$2,329	–
Totals, Revenues and Transfers	$19,927	$21,578	$27,698
Totals, Resources	$45,622	$41,117	$40,758

*** Dollars in thousands, except in Salary Range.**

2320 DEPARTMENT OF REAL ESTATE—Continued

	1999–00*	2000–01*	2001–02*
EXPENDITURES			
Disbursements:			
2320 Department of Real Estate (State Operations)	$26,083	$28,057	$27,998
FUND BALANCE	$19,539	$13,060	$12,760
Reserve for economic uncertainties:			
Department of Real Estate	11,962	5,528	6,129
Reserve for Education and Research	5,439	6,380	6,180
Reserve for Recovery	2,138	1,152	451

CHANGES IN AUTHORIZED POSITIONS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Totals, Authorized Positions	287.0	320.0	320.0	$13,779	$15,454	$15,759
Salary adjustments	–	–	–	–	8	14
Totals, Adjusted Authorized Positions	287.0	320.0	320.0	$13,779	$15,462	$15,773
Total Adjustments	–	–	–	–	$8	$14
TOTALS, SALARIES AND WAGES	287.0	320.0	320.0	$13,779	$15,462	$15,773

2400 DEPARTMENT OF MANAGED HEALTH CARE

Chapter 525, Statutes of 1999, established the Department of Managed Health Care, transferring its responsibilities from the Department of Corporations (organization code 2180) effective July 1, 2000. The Department of Managed Health Care protects the public through administration and enforcement of state laws regulating health care plans. The administration of these laws involves a variety of activities including licensing, examination, and responding to public inquiries and complaints. The program enforces its laws through administrative and civil actions.

Working in tandem with the Department is the new Office of the Patient Advocate which will help ensure that the needs of managed care consumers are heard and met. Also, advising the Department are three boards comprised of a broad cross-section of health care leaders including providers, purchasers and consumers: the Advisory Committee on Managed Care, the Clinical Advisory Panel, and the Financial Solvency Standards Board.

SUMMARY OF PROGRAM REQUIREMENTS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
30 Health Plan Program [1]	–	259.0	248.0	–	$33,950	$30,547
50.01 Administration	–	46.8	46.8	–	5,178	5,178
50.02 Distributed Administration	–	–	–	–	–5,178	–5,178
TOTALS, PROGRAMS	–	305.8	294.8	–	$33,950	$30,547
0933 Managed Care Fund				–	*33,950*	*30,547*

30 HEALTH PLAN PROGRAM

Program Objectives Statement

The Health Plan Program assures the accessibility and availability of medically necessary health care delivered to the public with appropriate quality-of-care oversight and through financially sound managed care plans. The program licenses health care service plans, conducts routine financial and medical surveys, and operates a consumer services toll-free complaint line.

Major Budget Adjustments Proposed for 2001–02

- An increase in funding of $300,000 for consultants to assist with performing more frequent financial examinations of full-service health care plans.
- Budget year and future resource requirements for consumer education and report cards will be proposed in a Spring Finance Letter, by which time the newly established Office of Patient Advocate and the Department as a whole will have had the opportunity to further develop programs and determine longer-range resource needs.

Authority

Health and Safety Code Sections 1340–1399.64 inclusive. California Code of Regulations, Title 10, Sections 1300.43–1300.826.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS	1999–00*	2000–01*	2001–02*
30 HEALTH PLAN PROGRAM	–	$33,950	$30,547
State Operations:			
0933 Managed Care	–	*33,950*	*30,547*
30.10 Health Care Service Plans	–	32,965	29,558
State Operations:			
0933 Managed Care	–	*32,965*	*29,558*

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2400 DEPARTMENT OF MANAGED HEALTH CARE—Continued

	1999–00*	2000–01*	2001–02*
30.20 Office of Patient Advocate	–	$985	$989
State Operations:			
0933 Managed Care	–	*985*	*989*
TOTALS, EXPENDITURES (State Operations)	–	$33,950	$30,547

[1] For prior year information, see Department of Corporations (org. code 2180) Health Plan Program.

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Authorized Positions (Equals Sch. 7A)	–	340.0	334.0	–	$17,422	$17,774
Estimated Salary Savings	–	–34.2	–39.2	–	–1,755	–2,085
Net Totals, Salaries and Wages	–	305.8	294.8	–	$15,667	$15,689
Staff Benefits	–	–	–	–	2,935	2,928
Totals, Personal Services	–	305.8	294.8	–	$18,602	$18,617
OPERATING EXPENSES AND EQUIPMENT				–	$15,348	$11,930
TOTALS, EXPENDITURES				–	$33,950	$30,547

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0933 Managed Care Fund [s]

APPROPRIATIONS	1999–00*	2000–01*	2001–02*
001 Budget Act appropriation	–	$33,017	$29,558
002 Budget Act appropriation	–	988	989
Allocation for employee compensation	–	53	–
Adjustment per Section 3.60	–	–108	–
TOTALS, EXPENDITURES (State Operations)	–	$33,950	$30,547

FUND CONDITION STATEMENT
0933 Managed Care Fund [s]

	1999–00*	2000–01*	2001–02*
BEGINNING BALANCE	–	$6,158	$2,032
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits	–	28,058	30,142
141200 Sales of documents	–	21	21
142500 Miscellaneous services to the public	–	6	6
150300 Income from surplus money investments	–	425	425
161900 Other revenue, cost recoveries	–	1,314	2,075
Totals, Revenues	–	$29,824	$32,669
Transfer from Other Funds:			
F00067 Corporations Fund per Chapter 525, Statutes 1999	$6,158	–	–
Totals, Transfers from Other Funds	$6,158	–	–
Totals, Revenues and Transfers	$6,158	$29,824	$32,669
Totals, Resources	$6,158	$35,982	$34,701
EXPENDITURES			
Disbursements:			
2400 Department of Managed Care (State Operations)	–	33,950	30,547
FUND BALANCE	$6,158	$2,032	$4,154
Reserve for economic uncertainties	6,158	2,032	4,154

*** Dollars in thousands, except in Salary Range.**

2600 CALIFORNIA TRANSPORTATION COMMISSION

The California Transportation Commission is responsible for the following activities:

(1) Adopting the State Transportation Improvement Program, which includes an estimate of state and federal funds expected to be available for transportation projects over a five-year period;
(2) Adopting other capital improvement programs for highway, rail, aeronautics, toll bridge and enhancement projects;
(3) Adopting guidelines for and administering a new program to loan unallocated funds in the State Highway Account to transportation planning agencies, county transportation commissions, transit districts, and local transportation authorities for the advancement of projects eligible under the State Transportation Improvement Program;
(4) Adopting guidelines for and administering a new program to designate projects to be funded from the proceeds of federal highway grant anticipation notes issued;
(5) Allocating State funds for capital improvements to specific highway, toll bridge, public mass transportation and aeronautics projects upon readiness for construction, within the constraint of available financial resources;
(6) Recommending funding priorities to the Legislature among the various elements of the State's Mass Transportation program;
(7) Adopting guidelines, approving project applications and substitute projects, and allocating funds for a $4.9 billion list of transportation projects defined in the Traffic Congestion Relief Program (TCRP) enacted by AB 2928 (Chapter 91, Statutes of 2000);
(8) Adopting guidelines to implement a fund exchange program, established by AB 2928 (Chapter 91, Statutes of 2000) to allow the exchange of federal regional surface transportation funds, and federal congestion mitigation and air quality program funds for state transportation funds;
(9) Providing policy guidance to the Administration and Legislature by identifying key issues in the areas of financing, operating and maintaining current and future transportation systems through the annual report to the Legislature;
(10) Evaluating for the Legislature the proposed budget of the California Department of Transportation, its adequacy for contributing to a balanced transportation program and the adequacy of current state transportation revenues, including gasoline and diesel fuel taxes and vehicle weight fees; and
(11) Monitoring and reporting on the progress on implementation of transportation capital improvement programs.

The Commission advises and assists the Secretary of the Business, Transportation and Housing Agency and the Legislature in formulating and evaluating state policies and plans for California's transportation programs. The Commission also actively participates in the development of state and federal legislation that seeks to secure financial stability for the State's transportation needs.

The Commission consists of nine members appointed by the Governor, all appointed to staggered four-year terms, and two non-voting ex-officio members, one from the State Senate and one from the State Assembly.

Authority

Government Code Sections 14500–14536; Streets and Highways Code Sections 70–86, 111, 111.5, 118, 150, 155.5, 165, 168, 183–183.3, 199–199.9, 210–215, 254; Public Utilities Code Section 130252.

SUMMARY OF PROGRAM REQUIREMENTS	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
10 Administration of California Transportation Commission	13.0	18.2	18.2	$1,399	$1,973	$1,981
30 Clean Air and Transportation Improvement	1.8	1.5	1.5	107,746	120,488	130,275
TOTALS, PROGRAMS	14.8	19.7	19.7	$109,145	$122,461	$132,256
0042 State Highway Account, State Transportation Fund				*144*	*374*	*533*
0046 Public Transportation Account, State Transportation Fund				*1,255*	*1,441*	*1,448*
0703 Clean Air and Transportation Improvement Fund				*107,746*	*120,646*	*130,275*

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	14.8	19.8	19.8	$1,012	$1,310	$1,229
Estimated Salary Savings	–	–0.1	–0.1	–	–17	–17
Net Totals, Salaries and Wages	14.8	19.7	19.7	$1,012	$1,293	$1,212
Staff Benefits	–	–	–	153	171	143
Totals, Personal Services	14.8	19.7	19.7	$1,165	$1,464	$1,355
OPERATING EXPENSES AND EQUIPMENT				$1,273	$997	$901
TOTALS, EXPENDITURES				$2,438	$2,461	$2,256

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2600 CALIFORNIA TRANSPORTATION COMMISSION—Continued

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS

0042 State Highway Account, State Transportation Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$173	$532	$533
Allocation for employee compensation	6	1	–
Adjustment per Section 3.60	–5	–1	–
Totals Available	$174	$532	$533
Unexpended balance, estimated savings	–30	–158	–
TOTALS, EXPENDITURES	$144	$374	$533

0046 Public Transportation Account, State Transportation Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$1,247	$1,444	$1,448
Allocation for employee compensation	58	10	–
Adjustment per Section 3.60	–47	–13	–
Totals Available	$1,258	$1,441	$1,448
Unexpended balance, estimated savings	–3	–	–
TOTALS, EXPENDITURES	$1,255	$1,441	$1,448

0703 Clean Air and Transportation Improvement Fund [b]

APPROPRIATIONS			
Public Utilities Code Section 99652 (expenditures)	$1,039	$646	$275
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$2,438	$2,461	$2,256

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	*1999–00**	*2000–01**	*2001–02**
Grants and Subventions	$106,707	$120,000	$130,000

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE

0703 Clean Air and Transportation Improvement Fund [b]

	*1999–00**	*2000–01**	*2001–02**
APPROPRIATIONS			
Public Utilities Code Section 99612 (expenditures)	$106,707	$120,000	$130,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	$106,707	$120,000	$130,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	$109,145	$122,461	$132,256

FUND CONDITION STATEMENT

0703 Clean Air and Transportation Improvement Fund [b]

	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$170,262	$62,470	$61,794
Prior year adjustments	5	–	–
Balance, Adjusted	$170,267	$62,470	$61,794
REVENUES AND TRANSFERS			
Other Revenues:			
520000 Proceeds from sale of bonds	–	120,000	130,000
Transfers to Other Funds:			
T00630 General Obligation Bond expense in connection with sale of bonds per Government Code Section 16724.5	–44	–30	–33
Totals, Revenues and Transfers	–$44	$119,970	$129,967
Totals, Resources	$170,223	$182,440	$191,761

*** Dollars in thousands, except in Salary Range.**

2600 CALIFORNIA TRANSPORTATION COMMISSION—Continued

EXPENDITURES	1999–00*	2000–01*	2001–02*
Disbursements:			
State Operations:			
0840 State Controller's Office	$7	–	–
2600 California Transportation Commission	1,039	$646	$275
Totals, State Operations	$1,046	$646	$275
Local Assistance:			
2600 California Transportation Commission	106,707	120,000	130,000
Totals, Disbursements	$107,753	$120,646	$130,275
FUND BALANCE	$62,470	$61,794	$61,486

2640 SPECIAL TRANSPORTATION PROGRAMS

The Special Transportation Programs budget reflects mass transit program funding that is appropriated to the State Controller for allocation to regional transportation planning agencies. Administration of the State Transportation Assistance program is performed by the State Controller and the Department of Transportation.

SUMMARY OF PROGRAM REQUIREMENTS	1999–00*	2000–01*	2001–02*
10 State Transportation Assistance	$100,259	$111,820	$189,213
TOTALS, PROGRAMS (Public Transportation Account, State Transportation Fund)	$100,259	$111,820	$189,213

10 STATE TRANSPORTATION ASSISTANCE

Program Objectives Statement

This program provides funds to local agencies for the operation of public mass transit systems and for street and road purposes in rural areas.

Major Budget Adjustment Included in 2000–01

- An increase of $10,819,000 to reflect the statutory allocation based upon the current revenue estimate for the Public Transportation Account.

Major Budget Adjustment Proposed for 2001–02

- An increase of $88,212,000 to reflect the statutory allocation based upon the current revenue estimate for the Public Transportation Account.

Authority

Public Utilities Code Sections 99312–99314.5; Revenue and Taxation Code Section 7102; and Government Code Section 29530.

SUMMARY BY OBJECT 2 LOCAL ASSISTANCE	1999–00*	2000–01*	2001–02*
661701 Grants and subventions (State Transportation Assistance) (expenditures)	$100,259	$111,820	$189,213

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0046 Public Transportation Account
State Transportation Fund [s]

APPROPRIATIONS	1999–00*	2000–01*	2001–02*
101 Budget Act appropriation	$100,259	$101,001	$189,213
Allocation for contingencies or emergencies	–	10,819	–
TOTALS, EXPENDITURES	$100,259	$111,820	$189,213

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION

The California Department of Transportation is recognized as one of the world's outstanding transportation and engineering organizations. The Department constructs, operates, and maintains a comprehensive transportation system of more than 15,200 miles of highways and freeways and provides intercity rail passenger services under contract with Amtrak. California's road network supports the vast majority of all personal and commercial travel in California. The state highway system, which comprises less than nine percent of the total roadway mileage in California, handles 54 percent of the miles traveled. The Department continues its efforts in the areas of congestion relief, rail transportation, promotion of transportation technology, protection of the State's transportation investment, and environmental and worker protection. The Department also ensures that California's 600+ airports/heliports meet safety, land use, and noise standards and provides funds to develop publicly owned airports. The Department's objectives are identified under six primary programs: Aeronautics, Highway Transportation, Mass Transportation, Transportation Planning, Administration, and Equipment Service Center.

SUMMARY OF PROGRAM REQUIREMENTS		*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
10	Aeronautics	28.0	29.8	29.8	$13,315	$10,513	$11,372
20	Highway Transportation	17,784.2	19,356.7	19,456.5	5,093,813	6,855,782	8,038,977
30	Mass Transportation	152.4	187.5	169.7	435,592	756,291	839,328
40	Transportation Planning	948.9	1,125.9	1,001.2	135,237	195,778	190,269
50	Administration	1,569.2	1,741.8	1,730.7	252,862	305,808	304,481
60.10	Equipment Service Center	725.2	715.5	723.3	143,183	150,435	169,112
60.20	Distributed Equipment Service Center	–	–	–	−143,183	–	–
98	State-Mandated Local Programs	–	–	–	480	2,046	544
TOTALS, PROGRAMS		21,207.9	23,157.2	23,111.2	$5,931,299	$8,276,653	$9,554,083
0001	*General Fund*				*38,335*	*1,625,228*	*934,341*
0041	*Aeronautics Account, State Transportation Fund*				*6,726*	*6,854*	*8,073*
0042	*State Highway Account, State Transportation Fund*				*2,556,331*	*3,006,007*	*3,116,009*
0045	*Bicycle Transportation Account, State Transportation Fund*				*998*	*1,510*	*7,200*
0046	*Public Transportation Account, State Transportation Fund*				*144,153*	*125,489*	*267,956*
0052	*Local Airport Loan Account*				*6,382*	*2,850*	*2,850*
0183	*Environmental Enhancement and Mitigation Demonstration Program Fund*				*9,895*	*10,000*	*10,000*
0365	*Historic Property Maintenance Fund*				–	–	*3,700*
0608	*Equipment Service Center*				–	*128,537*	*151,227*
0650	*Toll Bridge Seismic Retrofit Account*				*449,368*	*264,948*	*482,563*
0653	*Seismic Retrofit Bond Act of 1996*				*51,637*	*155,619*	*117,778*
0676	*Ridesharing Vanpool Revolving Loan and Grant Fund*				*5,707*	–	–
0853	*Petroleum Violation Escrow Account*				–	–	–
	Less funding provided by Ridesharing Vanpool Revolving Loan and Grant Fund				*−5,707*	–	–
0890	*Federal Trust Fund*				*2,042,153*	*3,100,149*	*3,612,402*
0987	*Consolidated Toll Bridge Funds*				*3,810*	*3,218*	*1,801*
0995	*Reimbursements*				*621,511*	*946,244*	*1,086,524*
2500	*Pedestrian Safety Account, State Transportation Fund*				–	–	*6,000*
3007	*Traffic Congestion Relief Fund*				–	*400,000*	*680,000*
	Less funding provided by the General Fund				–	*−1,500,000*	–
3008	*Transportation Investment Fund*				–	–	–
	Less funding provided by the General Fund				–	–	*−934,341*

10 AERONAUTICS

Program Objectives Statement

The Aeronautics Program supports California's aviation activities by promoting optimum use of existing airports. California's aeronautical activity is greater than any other area in the United States. The program alleviates problems such as incompatible land uses, potential safety hazards, aircraft noise and airport terminal congestion by: (1) ensuring that airports and heliports comply with safety regulations; (2) providing engineering and financial assistance to local governments; (3) preparing the California Aviation System Plan which includes commercial air service; (4) providing guidance to local governments about airport land use; (5) administering the airport noise standards regulations; (6) enhancing goods movement to and from airports; and (7) ensuring improved ground access to airports.

Authority

Public Utilities Code, Division 9.

20 HIGHWAY TRANSPORTATION

Program Objectives Statement

Highway Transportation maintains and operates existing facilities and builds new roads and highways. This budget commits major resources to congestion relief in urban areas, improvements to the rural interregional road system, highway safety projects, and efficient operation of the state highway system. The Highway Transportation Program provides for: the administration, legal support, tort funding and related legal costs, coordination and control required by federal and state law for implementing transportation projects and furnishing financial assistance to city and county transportation programs; the management of traffic through a system surveillance, analysis and control effort; and the operation of toll bridges. In addition, the Highway Transportation Program responds to the need for safe, efficient and environmentally compatible highway transportation service through the use of theoretical and applied research, testing and evaluation, demonstration projects, new technology development and resource conservation research.

The Highway Transportation Program's capital and local assistance budgets reflect the Department's current estimates of expenditures on the state's major transportation capital programs: the State Transportation Improvement Program funded from state and federal fuel excise taxes and commercial vehicle weight fees, the seismic retrofit program funded from state funds and tolls on Bay Area bridges, and the new Traffic Congestion

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

Relief Program funded from the General Fund and a five-year dedication of the sales tax on gasoline. Projects receiving funding from these programs are not selected through the budget process.

Major Budget Adjustments Proposed for 2001–02

- An increase of $7,682,000 to the Operations Program to fund the final implementation of the Advanced Toll Collection and Accounting System (ATCAS) on the seven Bay Area toll bridges.
- An increase of $3,700,000, of which $2,200,000 is one time, from the Historical Property Maintenance Fund for maintenance and operation of historic properties within State-owned Interstate 710 right-of-way corridor in South Pasadena.
- An increase of $1,770,000 to the Operations Program to develop a method for evaluating the benefits of various highway operations system components such as changeable message signs, ramp meters, video surveillance, other information gathering and monitoring technology and traffic flow oriented capital projects. The goal of this study is to lead Caltrans toward the development of advanced traffic management systems to relieve traffic congestion and maximize utilization of the state highway system.
- An increase of $570,000 for a pilot effort to inventory and inspect drainage culverts to develop ways to identify those likely to fail and to repair or replace them before failure.

Authority

Government Code, Title 2, Division 3, Part 5; Vehicle Code, Division 11, Chapters 2, 3, 13, and 14; Public Utilities Code, Division 1, Part 1, Chapters 6 and 6.5; Public Resources Code, Division 15, Chapter 5.8; Business and Professions Code, Division 3, Chapter 2.

30 MASS TRANSPORTATION

Program Objectives Statement

Mass Transportation supports the Department's multi-modal transportation focus and includes: (1) administration and oversight of intercity rail service in California including capital projects; (2) grant administration of State and federal capital and operations programs for mass transportation; and (3) planning support and coordination for mass transportation services in California. These activities support the State's transportation system by providing leadership in the implementation of safe, effective public transportation, improved air quality, environmental protection and the efficient movement of people, information and services. Additionally, it promotes the conservation of energy resources by providing, developing and facilitating: (1) the transportation needs of all persons, including older persons, persons with low-mobility and economically disadvantaged; (2) improved intercity passenger service through expanded service and related facility enhancements; (3) improved urban/commuter rail services and (4) enhanced mobility in congested corridors.

The Mass Transportation Program's capital and local assistance budgets reflect the Department's current estimates of expenditures on two of the State's major transportation capital programs: the State Transportation Improvement Program and the new Traffic Congestion Relief Program. Projects receiving funding from these programs are not selected through the budget process.

Major Budget Adjustments Proposed for 2001–02

- The capital outlay budget includes funding for three intercity rail capital projects funded from monies deposited in the Public Transportation Account (pursuant to Chapter 91, Statutes of 2000) that are not required to be allocated through any of the existing programs for project selection. The budget proposes $48 million for double-tracking portions of the Pacific Surfliner Service and allowing more daily trips, $29.4 million for double-tracking between Oakley and Pittsburg on the San Joaquin line to improve service for Central Valley to Bay Area commuters and add additional trips, and $20.6 million to double track between Sacramento and Davis on the Capitol Corridor.
- The local assistance budget includes one-time funding for a rural transit grant program for capital projects and equipment.
- An increase of $9.5 million for the Rail Program to increase the level of intercity rail service on the San Joaquin and Capitol rail routes.
- An increase of $2,314,000 for the Mass Transportation Program to match $2,000,000 in federal funds to implement the Farmworker Transportation Services Pilot Project in the San Joaquin Valley.
- An increase of $848,000 to purchase and perform quality control inspections on passenger rail cars and locomotives.
- An increase of $216,000 for the Rail Program to deliver 20 capital outlay intercity rail projects totaling $552 million from the Governor's Transportation Congestion Relief Plan.
- A reduction of $800,000 for the Rail Program to reflect fluctuating heavy overhaul needs.
- A reduction of $783,000 and 10.2 personnel years in reimbursements to the Mass Transportation Program and a five-year limited-term increase of $412,000 and 5.0 personnel years within the Public Transportation Account to continue the administration of Proposition 116 capital projects.

Authority

Government Code, Title 2, Division 3, Part 5, Chapter 1, Articles 3, 6 and Title 3; Division 3, Chapter 3, Article 2; Public Utilities Code, Division 4, Chapter 1, Article 9, Division 10, Part II, Chapter 4, Sections 702 and 2056 through 2059; Streets and Highways Code Sections 183.5, 199 through 199.10, 2170 through 2180, and 2500 through 2506.

40 TRANSPORTATION PLANNING

Program Objectives Statement

Transportation Planning oversees implementation of statewide policy through coordination and development of transportation plans and resulting projects. The Department prepares the long-range state transportation plan required by State and federal law and provides long-range transportation system planning and transportation planning studies as input to the regional transportation plans, the State Transportation Improvement Program (STIP), and departmental policies and programs. Caltrans also prepares the Interregional Transportation Strategic Plan, which guides investment of interregional funds in the STIP. In addition, Caltrans provides analyses and recommendations on current transportation issues and manages multi-modal transportation planning activities. Additionally, Caltrans' planning activities reflect air quality goals, goods movement needs, livable communities, corridor preservation, traffic forecasts, emission modeling, and energy conservation. Through Advanced Transportation System Development activities and clearing house/environmental review processes, Caltrans evaluates the potential impact on the State transportation system of proposed local development. Further, the Department builds and maintains partnerships with regional/local agencies, tribal governments, and the private sector to coordinate transportation and local development.

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

Major Budget Adjustment Proposed for 2001–02

- A reduction of 11.6 personnel years and $1,369,000 due to reduced requests from the Bay Area Toll Authority for project initiation documents and bike and terminal studies.

50 ADMINISTRATION

Administration provides the budgeting, accounting, auditing, personnel, administrative and computer services required to support the programmatic responsibilities of the department. Major activities include accounting and related financial services, computer services, and a wide range of personnel services, including training and equal opportunity programs.

Major Budget Adjustment Proposed for 2001–02

- A continuing allocation of $477,000 and 7.4 personnel years to improve labor-management relations at each of Caltrans' 12 districts by 1) training management on the implementation of new bargaining agreements, 2) servicing complaints, and 3) settling labor grievances.

60 EQUIPMENT SERVICE CENTER

Program Objectives Statement

The Equipment Service Center provides mobile fleet equipment and services including: 1) mobile fleet equipment and services including purchasing new vehicles; 2) receiving, servicing and equipping new units; 3) assembling equipment components into completed units; 4) managing the fleet through rental to Caltrans' programs and other tax-supported entities; 5) repairing and maintaining the fleet, including payments for fuel and insurance; and 6) disposing of used vehicles. The Center is designed to reduce equipment costs through proactive equipment budget management by programs. The fleet consists of 14,277 units, ranging from sedans to heavy off-road equipment, with a total asset value of $241,638,000.

Major Budget Adjustments Proposed for 2001–02

- A one-time increase of $20,331,000 to replace and retrofit selected vehicles to operate using cleaner burning fuels. In addition to lowering pollutants from Caltrans' mobile fleet, this effort will create a bank of mobile NOx emission credits to be used for transportation capital projects and other state priorities.
- An increase of $4,700,000 million to meet increased fuel costs.
- A one-time increase of $1,503,000 to purchase mobile fleet equipment for use by crews responsible for painting over 800 structural steel bridges throughout the state.

98 STATE-MANDATED LOCAL PROGRAMS

Program Objectives Statement

This program authorizes reimbursement of certain state-mandated costs incurred by local entities.

Major Budget Adjustments Proposed for 2000–01 and 2001–02

- The 2000–01 Budget includes $567,000 for two-way traffic signal communications pursuant to Chapter 1297, Statutes of 1994 and airport land use plans.
- The 2001–02 Budget includes $544,000 to pay for the same state-mandated programs.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 AERONAUTICS

	*1999–00**	*2000–01**	*2001–02**
State Operations:			
0041 Aeronautics Account	*$2,222*	*$2,601*	*$2,561*
0890 Federal Trust Fund	*305*	*734*	*584*
Totals, State Operations	$2,527	$3,335	$3,145
Local Assistance:			
0001 General Fund	*–*	*200*	*–*
0041 Aeronautics Account	*4,406*	*4,128*	*5,377*
0052 Local Airport Loan Account	*6,382*	*2,850*	*2,850*
Totals, Local Assistance	$10,788	$7,178	$8,227

ELEMENT REQUIREMENTS

10.10 Safety and Local Assistance			
State Operations:			
0041 Aeronautics Account	*2,146*	*2,550*	*2,510*
0890 Federal Trust Fund	*255*	*734*	*584*
Local Assistance:			
0001 General Fund	*–*	*200*	*–*
0041 Aeronautics Account	*4,406*	*4,128*	*5,377*
0052 Local Airport Loan Account	*6,382*	*2,850*	*2,850*
10.30 Planning and Noise			
State Operations:			
0041 Aeronautics Account	*32*	*–*	*–*
0890 Federal Trust Fund	*50*	*–*	*–*

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

	1999–00*	2000–01*	2001–02*
10.65 Legal Program			
State Operations:			
0041 Aeronautics Account	*$44*	*$51*	*$51*
PROGRAM REQUIREMENTS			
20 HIGHWAY TRANSPORTATION			
State Operations:			
0042 State Highway Account	*$1,455,076*	*$1,712,617*	*$1,725,945*
0045 Bicycle Transportation Account	*8*	*10*	*10*
0365 Historic Property Maintenance Fund	*–*	*–*	*3,700*
0650 Toll Bridge Seismic Retrofit Account	*83,391*	*95,470*	*94,232*
0653 Seismic Retrofit Bond Act of 1996	*46,850*	*31,924*	*32,903*
0890 Federal Trust Fund	*326,598*	*341,200*	*334,801*
0987 Toll Bridge Funds	*1,042*	*786*	*794*
0995 Reimbursements	*83,529*	*142,629*	*115,728*
Totals, State Operations	$1,996,494	$2,324,636	$2,308,113
Local Assistance:			
0001 General Fund	*835*	*3,084*	*–*
0042 State Highway Account	*245,049*	*400,878*	*361,956*
0045 Bicycle Transportation Account	*990*	*1,500*	*7,190*
0183 Environmental Enhancement and Mitigation Demonstration Program Fund	*9,895*	*10,000*	*10,000*
0853 Petroleum Violation Escrow Account	*–*	*–*	*–*
0890 Federal Trust Fund	*602,756*	*1,013,090*	*1,311,306*
2500 Pedestrian Safety Account	*–*	*–*	*6,000*
3007 Traffic Congestion Relief Fund	*–*	*70,000*	*80,000*
Totals, Local Assistance	$859,525	$1,498,552	$1,776,452
Capital Outlay:			
0001 General Fund	*–*	*1,500,145*	*934,341*
0042 State Highway Account	*378,038*	*376,065*	*558,540*
0650 Toll Bridge Seismic Retrofit Account	*365,977*	*166,887*	*385,374*
0653 Seismic Retrofit Bond Act of 1996	*4,787*	*123,695*	*84,875*
0890 Federal Trust Fund	*1,025,598*	*1,518,852*	*1,814,245*
0987 Toll Bridge Funds	*–*	*2,432*	*1,007*
0995 Reimbursements	*463,394*	*769,518*	*910,371*
3007 Traffic Congestion Relief Fund	*–*	*–1,425,000*	*200,000*
3008 Transportation Investment Fund	*–*	*–*	*–934,341*
Totals, Capital Outlay	$2,237,794	$3,032,594	$3,954,412
ELEMENT REQUIREMENTS			
20.10 Capital Outlay Support			
State Operations:			
0042 State Highway Account	*468,140*	*694,092*	*702,485*
0365 Historic Property Maintenance Fund	*–*	*–*	*3,700*
0650 Toll Bridge Seismic Retrofit Account	*83,391*	*95,470*	*94,232*
0653 Seismic Retrofit Bond Act of 1996	*46,850*	*31,924*	*32,903*
0890 Federal Trust Fund	*288,269*	*274,935*	*279,478*
0987 Toll Bridge Funds	*130*	*786*	*794*
0995 Reimbursements	*50,702*	*77,561*	*77,045*
20.20 Capital Outlay Projects			
Capital Outlay:			
0001 General Fund	*–*	*1,500,145*	*934,341*
0042 State Highway Account	*378,038*	*376,065*	*558,540*
0650 Toll Bridge Seismic Retrofit Account	*365,977*	*166,887*	*385,374*
0653 Seismic Retrofit Bond Act of 1996	*4,787*	*123,695*	*84,875*
0890 Federal Trust Fund	*1,025,598*	*1,518,852*	*1,814,245*
0987 Toll Bridge Funds	*–*	*2,432*	*1,007*
0995 Reimbursements	*463,394*	*769,518*	*910,371*
3007 Traffic Congestion Relief Fund	*–*	*–1,425,000*	*200,000*
3008 Transportation Investment Fund	*–*	*–*	*–934,341*
20.25 State-Local Transportation Partnership			
Local Assistance:			
0042 State Highway Account	*75,537*	*120,669*	*45,973*
20.30 Local Assistance			
State Operations:			
0042 State Highway Account	*19,930*	*27,360*	*27,654*
0045 Bicycle Transportation Account	*8*	*10*	*10*
0890 Federal Trust Fund	*1,868*	*2,245*	*2,269*
0995 Reimbursements	*1,280*	*1,875*	*1,897*
Local Assistance:			
0001 General Fund	*835*	*3,084*	*–*
0042 State Highway Account	*169,512*	*280,209*	*315,983*
0045 Bicycle Transportation Account	*990*	*1,500*	*7,190*

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

	1999–00*	2000–01*	2001–02*
0183 Environmental Enhancement and Mitigation Demonstration Program Fund	*$9,895*	*$10,000*	*$10,000*
0890 Federal Trust Fund	*602,756*	*1,013,090*	*1,311,306*
2500 Pedestrian Safety Account	*–*	*–*	*6,000*
3007 Traffic Congestion Relief Fund	*–*	*70,000*	*80,000*
20.40 Program Development			
State Operations:			
0042 State Highway Account	*42,596*	*42,676*	*40,009*
0890 Federal Trust Fund	*31,675*	*58,911*	*47,892*
0995 Reimbursements	*466*	*1,098*	*1,099*
20.65 Legal Program			
State Operations:			
0042 State Highway Account	*61,279*	*63,015*	*63,274*
0987 Toll Bridge Funds	*5*	*–*	*–*
0995 Reimbursements	*–*	*20*	*20*
20.70 Operations			
State Operations:			
0042 State Highway Account	*104,216*	*117,638*	*115,031*
0890 Federal Trust Fund	*786*	*1,007*	*1,016*
0987 Toll Bridge Funds	*872*	*–*	*–*
0995 Reimbursements	*22,913*	*50,700*	*29,620*
20.80 Maintenance			
State Operations:			
0042 State Highway Account	*758,915*	*767,836*	*777,492*
0890 Federal Trust Fund	*4,000*	*4,102*	*4,146*
0987 Toll Bridge Funds	*35*	*–*	*–*
0995 Reimbursements	*8,168*	*11,375*	*6,047*

PROGRAM REQUIREMENTS

30 MASS TRANSPORTATION

State Operations:			
0042 State Highway Account	*$303*	*$335*	*$336*
0046 Public Transportation Account	*81,487*	*89,302*	*99,816*
0890 Federal Trust Fund	*1,316*	*1,773*	*1,664*
0995 Reimbursements	*1,115*	*1,651*	*869*
Totals, State Operations	$84,221	$93,061	$102,685
Local Assistance:			
0001 General Fund	*20,000*	*71,799*	*–*
0042 State Highway Account	*204,608*	*89,552*	*83,683*
0046 Public Transportation Account	*5,771*	*10,666*	*42,708*
0890 Federal Trust Fund	*16,025*	*107,115*	*28,300*
3007 Traffic Congestion Relief Fund	*–*	*225,000*	*350,000*
Totals, Local Assistance	$246,404	$504,132	$504,691
Capital Outlay:			
0001 General Fund	*17,500*	*50,000*	*–*
0042 State Highway Account	*26,605*	*55,290*	*29,702*
0046 Public Transportation Account	*–*	*–*	*98,000*
0890 Federal Trust Fund	*733*	*3,000*	*4,250*
0995 Reimbursements	*60,129*	*20,808*	*50,000*
3007 Traffic Congestion Relief Fund	*–*	*30,000*	*50,000*
Totals, Capital Outlay	$104,967	$159,098	$231,952

ELEMENT REQUIREMENTS

30.10 State and Federal Mass Transit			
State Operations:			
0042 State Highway Account	*–*	*33*	*33*
0046 Public Transportation Account	*5,766*	*7,085*	*7,905*
0890 Federal Trust Fund	*1,316*	*1,540*	*1,429*
0995 Reimbursements	*1,115*	*1,404*	*622*
Local Assistance:			
0001 General Fund	*6,000*	*71,799*	*–*
0042 State Highway Account	*166,154*	*80,544*	*81,111*
0046 Public Transportation Account	*5,599*	*10,666*	*42,708*
0890 Federal Trust Fund	*16,025*	*107,115*	*28,300*
3007 Traffic Congestion Relief Fund	*–*	*225,000*	*350,000*
30.20 Rail Transit Capital			
State Operations:			
0042 State Highway Account	*303*	*302*	*303*
0046 Public Transportation Account	*75,641*	*82,124*	*91,818*
0890 Federal Trust Fund	*–*	*233*	*235*
0995 Reimbursements	*–*	*247*	*247*

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

	1999–00*	2000–01*	2001–02*
Local Assistance:			
0001 General Fund	$14,000	–	–
0042 State Highway Account	38,454	$9,008	$2,572
0046 Public Transportation Account	172	–	–
0890 Federal Trust Fund	–	–	–
Capital Outlay:			
0001 General Fund	17,500	50,000	–
0042 State Highway Account	26,605	55,290	29,702
0046 Public Transportation Account	–	–	98,000
0890 Federal Trust Fund	733	3,000	4,250
0995 Reimbursements	60,129	20,808	50,000
3007 Traffic Congestion Relief Fund	–	30,000	50,000
30.65 Legal Program			
State Operations:			
0046 Public Transportation Account	80	93	93
PROGRAM REQUIREMENTS			
40 TRANSPORTATION PLANNING			
State Operations:			
0042 State Highway Account	$9,100	$58,221	$48,466
0046 Public Transportation Account	55,370	23,570	22,122
0890 Federal Trust Fund	30,168	43,136	42,473
0995 Reimbursements	51	1,851	208
Totals, State Operations	$94,689	$126,778	$113,269
Local Assistance:			
0042 State Highway Account	1,936	4,000	10,000
0890 Federal Trust Fund	38,612	40,000	42,000
Totals, Local Assistance	$40,548	$44,000	$52,000
Unclassified:			
0890 Federal Trust Fund	–	25,000	25,000
ELEMENT REQUIREMENTS			
40.10 Statewide Planning			
State Operations:			
0042 State Highway Account	9,100	58,221	48,466
0046 Public Transportation Account	46,508	18,821	17,351
0890 Federal Trust Fund	21,478	41,609	40,923
0995 Reimbursements	51	1,850	207
40.20 Regional Planning			
State Operations:			
0046 Public Transportation Account	3,396	4,609	4,631
0890 Federal Trust Fund	8,566	1,527	1,550
0995 Reimbursements	–	1	1
Local Assistance:			
0042 State Highway Account	1,936	4,000	10,000
0890 Federal Trust Fund	38,612	40,000	42,000
Unclassified:			
0890 Federal Trust Fund	–	25,000	25,000
40.30 Administration			
State Operations:			
0046 Public Transportation Account	5,345	–	–
0890 Federal Trust Fund	124	–	–
40.65 Legal Program			
State Operations:			
0046 Public Transportation Account	121	140	140
PROGRAM REQUIREMENTS			
50 ADMINISTRATION			
State Operations:			
0041 Aeronautics Account	$98	$125	$135
0042 State Highway Account	235,136	285,105	275,855
0046 Public Transportation Account	1,525	1,951	5,310
0608 Equipment Service Fund	–	–	3,097
0650 Toll Bridge Seismic Retrofit Account	–	2,591	2,957
0890 Federal Trust Fund	42	6,249	7,779
0987 Toll Bridge Funds	2,768	–	–
0995 Reimbursements	13,293	9,787	9,348
Totals, State Operations	$252,862	$305,808	$304,481

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

ELEMENT REQUIREMENTS

	1999–00*	2000–01*	2001–02*
50.10 General Administration			
State Operations:			
0042 State Highway Account	*$44,029*	*$98,730*	*$82,605*
0987 Toll Bridge Funds	*78*	*–*	*–*
0995 Reimbursements	*13,292*	*5,694*	*5,251*
50.20 Central Administrative Services			
State Operations:			
0041 Aeronautics Account	*46*	*68*	*78*
0042 State Highway Account	*27,362*	*42,848*	*55,743*
0046 Public Transportation Account	*725*	*1,107*	*4,455*
0608 Equipment Service Fund	*–*	*–*	*3,097*
0650 Toll Bridge Seismic Retrofit Account	*–*	*2,591*	*2,957*
0890 Federal Trust Fund	*42*	*6,249*	*7,779*
0987 Toll Bridge Funds	*2,620*	*–*	*–*
50.60 Business, Information and Technical Services			
State Operations:			
0041 Aeronautics Account	*53*	*57*	*57*
0042 State Highway Account	*163,745*	*143,527*	*137,507*
0046 Public Transportation Account	*800*	*844*	*855*
0987 Toll Bridge Funds	*70*	*–*	*–*
0995 Reimbursements	*–*	*4,093*	*4,097*

PROGRAM REQUIREMENTS
60 EQUIPMENT SERVICE CENTER

State Operations:			
0042 State Highway Account	*–*	*$21,898*	*$20,982*
0608 Equipment Service Fund	*$143,183*	*128,537*	*148,130*
Distributed Costs to Other Programs	*−143,183*	*–*	*–*
Totals, State Operations	–	$150,435	$169,112

PROGRAM REQUIREMENTS
98 STATE-MANDATED LOCAL PROGRAMS

Claiming Bills:			
Ch. 1082/90-Seismic Safety Retrofit	–	$1,979	$542
Ch. 644/95-Airport Land Use Commissions/Plans	–	35	2
Ch. 574/99-Two-Way Traffic Signal Communication	$480	32	–
Totals	$480	$2,046	$544

TOTAL EXPENDITURES

State Operations	$2,430,793	$3,004,053	$3,000,805
Local Assistance	1,157,745	2,055,908	2,341,914
Capital Outlay	2,342,761	3,191,692	4,186,364
Unclassified	–	25,000	25,000
TOTALS, EXPENDITURES	$5,931,299	$8,276,653	$9,554,083

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Authorized Positions (Equals Sch. 7A)	21,207.9	24,604.6	24,581.5	$1,130,565	$1,447,031	$1,461,501
Total Adjustments	–	20.0	−206.9	–	1,100	−5,510
Estimated Salary Savings	–	−1,467.4	−1,263.4	–	−173,712	−167,874
Net Totals, Salaries and Wages	21,207.9	23,157.2	23,111.2	$1,130,565	$1,274,419	$1,288,117
Staff Benefits	–	–	–	220,305	222,221	237,637
Totals, Personal Services	21,207.9	23,157.2	23,111.2	$1,350,870	$1,496,640	$1,525,754
OPERATING EXPENSES AND EQUIPMENT				$1,046,384	$1,466,057	$1,433,695
SPECIAL ITEMS OF EXPENSE						
Tort Payments				33,539	41,356	41,356
TOTALS, EXPENDITURES				$2,430,793	$3,004,053	$3,000,805

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0041 Aeronautics Account, State Transportation Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$2,525	$2,742	$2,696
Allocation for employee compensation	164	4	–
Adjustment per Section 3.60	−101	−20	–
011 Budget Act appropriation (Transfer to Public Transportation Account-0046)	(30)	(30)	(30)
Totals Available	$2,588	$2,726	$2,696
Unexpended balance, estimated savings	−268	–	–
TOTALS, EXPENDITURES	$2,320	$2,726	$2,696

0042 State Highway Account, State Transportation Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$1,661,672	$1,988,601	$1,974,473
Allocation for employee compensation	89,561	2,284	–
Adjustment per Section 3.60	−54,877	−9,606	–
Adjustment per Section 4.60 (Rental Rate)	–	30	–
Adjustment per Section 16.00	533	–	–
Transfer to Legislative Claims (9670)	−78	−877	–
Revised expenditure authority per Provision 3	−20,198	–	–
005 Budget Act appropriation	14,869	14,552	14,608
Adjustment per Section 4.50	−2	–	–
007 Budget Act appropriation	37,938	61,521	61,521
011 Budget Act appropriation (Trsf to Toll Bridge Seismic Retrofit Acct-0650)	(129,713)	(123,388)	(341,826)
012 Budget Act appropriation (Deficiencies)	(40,000)	(40,000)	(40,000)
013 Budget Act appropriation (Transfer to Public Transportation Account-0046)	(28,040)	–	–
021 Budget Act appropriation (Transfer to Public Transportation Account-0046)	(57,665)	(25,024)	(25,400)
022 Budget Act appropriation (Trsf to Enviro Enhance & Mitgation Demo Fd-0183)	(10,000)	(10,000)	(10,000)
031 Budget Act appropriation (Transfer to Equipment Services Fund-0608)	–	21,898	20,982
Totals Available	$1,729,418	$2,078,403	$2,071,584
Unexpended balance, estimated savings	−29,803	−227	–
TOTALS, EXPENDITURES	$1,699,615	$2,078,176	$2,071,584

0045 Bicycle Transportation Account, State Transportation Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$10	$10	$10
Allocation for employee compensation	1	–	–
Adjustment per Section 3.60	−1	–	–
Totals Available	$10	$10	$10
Unexpended balance, estimated savings	−2	–	–
TOTALS, EXPENDITURES	$8	$10	$10

0046 Public Transportation Account, State Transportation Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$139,261	$114,990	$127,248
Allocation for employee compensation	3,684	52	–
Adjustment per Section 3.60	−2,259	−219	–
Totals Available	$140,686	$114,823	$127,248
Unexpended balance, estimated savings	−2,304	–	–
TOTALS, EXPENDITURES	$138,382	$114,823	$127,248

0365 Historic Property Maintenance Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	–	–	$3,700
TOTALS, EXPENDITURES	–	–	$3,700

0608 Equipment Service Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$141,842	–	–
Allocation for employee compensation	3,364	–	–
Adjustment per Section 3.60	−2,023	–	–

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

	1999–00*	2000–01*	2001–02*
002 Budget Act appropriation	–	$60,242	$65,029
Allocation for employee compensation	–	80	–
Adjustment per Section 3.60	–	–393	–
Government Code Section 14067	–	90,506	–
Streets and Highways Code Section 140.3	–	–	107,180
TOTALS, EXPENDITURES	$143,183	$150,435	$172,209
Less funding provided by State Highway Account	–	–21,898	–20,982
Less charges from various items	–143,183	–	–
NET TOTALS, EXPENDITURES	–	$128,537	$151,227

0650 Toll Bridge Seismic Retrofit Account, State Transportation Fund [s]

APPROPRIATIONS			
Streets and Highways Code Section 188.10	$83,391	$98,061	$97,189
TOTALS, EXPENDITURES	$83,391	$98,061	$97,189

0653 Seismic Retrofit Bond Fund of 1996 [b]

APPROPRIATIONS			
Government Code Section 8879.3	$24,131	$31,924	$32,903
Government Code Section 16724.6	135	–	–
Government Code Section 16312	22,584	–	–
TOTALS, EXPENDITURES	$46,850	$31,924	$32,903

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	$367,609	$394,841	$387,301
Allocation for employee compensation	11,499	449	–
Adjustment per Section 3.60	–7,051	–2,198	–
Budget Adjustment	–13,628	–	–
TOTALS, EXPENDITURES	$358,429	$393,092	$387,301

0987 Toll Bridge Funds [n]

APPROPRIATIONS			
Streets and Highways Code, Division 17	$3,810	$786	$794
TOTALS, EXPENDITURES	$3,810	$786	$794

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	$97,988	$155,918	$126,153
TOTALS, EXPENDITURES, (State Operations)	$2,430,793	$3,004,053	$3,000,805

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	1999–00*	2000–01*	2001–02*
Grants and subventions (expenditures)	$1,157,745	$2,055,908	$2,341,914

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

APPROPRIATIONS	1999–00*	2000–01*	2001–02*
101 Budget Act appropriation	$20,000	$75,083	–
104 Budget Act appropriation	835	–	–
TOTALS, EXPENDITURES	$20,835	$75,083	–

0041 Aeronautics Account, State Transportation Fund

APPROPRIATIONS			
Public Utilities Code Section 21680	$3,001	$2,658	$3,877
Public Utilities Code Section 21681	1,405	1,470	1,500
TOTALS, EXPENDITURES	$4,406	$4,128	$5,377

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

0042 State Highway Account, State Transportation Fund s [1]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
101 Budget Act appropriation	$621,781	$421,224	$338,138
Transfer to Pedestrian Safety Account per Chapter 833, Statutes of 2000	–	–8,000	–
Transfer from Item 2660-301-0042 per Provision 2	116,000	–	–
295 Budget Act appropriation (State Mandates)	–	–	544
Chapter 574, Statutes of 1999 (State Mandates)	567	–	–
Adjustment per Government Code Section 17613	–55	–	–
Chapter 177, Statutes of 2000 (State Mandates)	–	2,014	–
Prior year balances available:			
Item 2660-101-0042/1997, reapp by Item 2660-492/2001	209,488	44,325	5,808
Item 2660-101-0042/1998	576,100	366,365	75,132
Item 2660-101-0042/1999	–	621,430	461,061
Item 2660-101-0042/2000	–	–	341,493
Item 2660-125-0042/1996	46,035	43,318	–
Chapter 574, Statutes of 1999 (State Mandates)	–	32	–
Totals Available	$1,569,916	$1,490,708	$1,222,176
Unexpended balance, estimated savings	–42,373	–110,738	–322,410
Balance available in subsequent years	–1,075,470	–883,494	–443,583
TOTALS, EXPENDITURES	$452,073	$496,476	$456,183

[1] Consistent with the fund estimate for the State Transportation Improvement Program, expenditures for this fund are reflected on a modified cash basis.

0045 Bicycle Transportation Account, State Transportation Fund s

APPROPRIATIONS			
101 Budget Act appropriation	$1,000	$1,500	$7,190
Totals Available	$1,000	$1,500	$7,190
Unexpended balance, estimated savings	–10	–	–
TOTALS, EXPENDITURES	$990	$1,500	$7,190

0046 Public Transportation Account, State Transportation Fund s

APPROPRIATIONS			
101 Budget Act appropriation	–	–	$31,915
103 Budget Act appropriation	–	$2,000	–
105 Budget Act appropriation	–	2,363	2,793
Allocation for contingencies or emergencies	–	403	–
Chapter 656, Statutes of 2000	–	13,900	–
Prior year balances available:			
Item 2660-101-0046/1997	$12,767	6,996	6,996
Item 2660-103-0046/2000, Reapp by Item 2660-492/2001	–	–	2,000
Item 2660-125-0046/1995	22,762	–	–
Item 2660-125-0046/1996	3,098	3,098	–
Chapter 656, Statutes of 2000	–	–	6,000
Totals Available	$38,627	$28,760	$49,704
Unexpended balance, estimated savings	–22,762	–3,098	–6,996
Balance available in subsequent years	–10,094	–14,996	–
TOTALS, EXPENDITURES	$5,771	$10,666	$42,708

0052 Local Airport Loan Account s

APPROPRIATIONS			
Public Resources Code Section 21602	$8,091	$4,000	$4,000
TOTALS, EXPENDITURES	$8,091	$4,000	$4,000
Loan repayments from local agencies	–1,709	–1,150	–1,150
NET TOTALS, EXPENDITURES	$6,382	$2,850	$2,850

0183 Environmental Enhancement and Mitigation Demonstration Program Fund s

APPROPRIATIONS			
101 Budget Act appropriation	$10,000	$10,000	$10,000
Totals Available	$10,000	$10,000	$10,000
Unexpended balance, estimated savings	–105	–	–
TOTALS, EXPENDITURES	$9,895	$10,000	$10,000

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

0676 Ridesharing Vanpool Revolving Loan and Grant Fund [n]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
Transfer to Petroleum Violation Escrow Account (0853) per Item 2660-497/1999	$5,707	–	–
TOTALS, EXPENDITURES	$5,707	–	–

0853 Petroleum Violation Escrow Account [f]

APPROPRIATIONS			
TOTALS, EXPENDITURES	–	–	–
Less funding provided by Ridesharing Vanpool Revolving Loan Grant Fund	–$5,707	–	–
NET TOTALS, EXPENDITURES	–$5,707	–	–

0890 Federal Trust Fund

APPROPRIATIONS			
101 Budget Act appropriation	$1,388,372	$1,021,663	$1,323,733
Budget Adjustment	–1,388	–	–
102 Budget Act appropriation	–	2,000	–
Prior year balances available:			
Item 2660-101-0890/1998	179,065	72,248	–
Budget Adjustment	–	–72,248	–
Item 2660-101-0890/1999	–	836,408	462,900
Item 2660-101-0890/2000	–	–	234,966
Item 2660-102-0890/2000, Reapp by Item 2660-492/2001	–	–	2,000
Totals Available	$1,566,049	$1,860,071	$2,023,599
Unexpended balance, estimated savings	–	–	–462,900
Balance available in subsequent years	–908,656	–699,866	–179,093
TOTALS, EXPENDITURES	$657,393	$1,160,205	$1,381,606

2500 Pedestrian Safety Account, State Transportation Fund [s]

APPROPRIATIONS			
Prior year balances available:			
Transfer from Item 2660-101-0042 per Chapter 833, Statutes of 2000	–	$8,000	$8,000
Totals Available	–	$8,000	$8,000
Balance available in subsequent years	–	–8,000	–2,000
TOTALS, EXPENDITURES	–	–	$6,000

3007 Traffic Congestion Relief Fund

APPROPRIATIONS			
Streets and Highways Code Section 14556.5	–	$295,000	$430,000
TOTALS, EXPENDITURES	–	$295,000	$430,000
TOTALS, EXPENDITURES, (Local Assistance)	$1,157,745	$2,055,908	$2,341,914

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*

SUMMARY BY OBJECT
3 CAPITAL OUTLAY
Summary of Office Building Projects

This schedule summarizes projected expenditures for the Office Building subcomponent of the Department of Transportation Capital Outlay program.

Projects

20.20.500 Studies, preplanning and budget packages	–	$338 [Ss]	$102 [Ss]
20.20.502 Headquarters Office Phase II: Fire & Life Safety Improvements	$778	–	–
20.20.510 San Diego Office Building—Replacement [B]	2,000	2,974 [Ws]	–
20.20.511 Seismic Retrofit—Eureka Office Bldg	–	632 [PWs]	5,137 [Cs]

Provides complete structural seismic retrofit, fire and life safety improvements.

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
20.20.512 Seismic Retrofit—Redding District Office Bldg	$28	$457 WCs	–
Provides complete structural seismic retrofit, fire and life safety improvements.			
20.20.513 Headquarters Office, Sacramento—Seismic Retrofit	–	1,165 PWs	$8,939 Cs
Provide complete structural seismic retrofit for Annex I & II.			
20.20.514 Los Angeles Office Building—Replacement B	–	3,967 Ps	136,681 WCs
Construct district headquarters replacement facility.			
Totals, Office Building Capital Outlay Projects	$2,806	$9,533	$150,859
Summary of Transportation Capital Outlay Projects			
TOTALS, EXPENDITURES	$2,339,955	$3,182,159	$4,035,505
NET TOTALS, EXPENDITURES	$2,342,761	$3,191,692	$4,186,364

RECONCILIATION WITH APPROPRIATIONS
3 CAPITAL OUTLAY
0001 General Fund

APPROPRIATIONS			
301 Budget Act appropriation	$17,500	$50,145	–
Revenue and Taxation Code 7104 (Transfer to the Transportation Investment Fund)	–	–	$934,341
Chapter 91, Statutes of 2000 (Transfer to Traffic Congestion Relief Fund-3007)	–	1,500,000	–
TOTALS, EXPENDITURES	$17,500	$1,550,145	$934,341

0042 State Highway Account, State Transportation Fund s 1

APPROPRIATIONS			
301 Budget Act appropriation	$975,462	$540,710	$1,118,078
Transfer to Item 2660-101-0042 per Provision 2	−116,000	–	–
302 Budget Act appropriation	–	27,000	–
311 Budget Act appropriation	2,674	9,715	150,859
Revision per Government Code Section 16351,16351.5,and 16408	–	−233	–
Augmentation per Government Code Section 16352,16354,and 16409	333	–	–
Allocation from Item 2660-399-0042	5,000	5,000	5,000
Prior year balances available:			
Item 2660-301-0042/1997	651,954	517,970	460,835
Item 2660-301-0042/1998, reapp by Item 2660-492/2001	463,875	279,505	140,274
Item 2660-301-0042/1999	–	798,650	613,089
Item 2660-301-0042/2000	–	–	506,014
Item 2660-302-0042/2000	–	–	26,800
Item 2660-311-0042/1999, Reapp by Item 2660-494/2000	–	51	–
Item 2660-325-0042/1996, Reapp by Item 2660-492/1999	20,615	–	–
Totals Available	$2,003,913	$2,178,368	$3,020,949
Unexpended balance, estimated savings	−3,094	–	−1,051,907
Balance available in subsequent years	−1,596,176	−1,747,013	−1,380,800
TOTALS, EXPENDITURES	$404,643	$431,355	$588,242

[1] Consistent with the fund estimate for the State Transportation Improvement Program, expenditures for this fund are reflected on a modified cash basis.

0046 Public Transportation Account, State Transportation Fund s 1

APPROPRIATIONS			
301 Budget Act appropriation	–	–	$98,000
302 Budget Act appropriation	$0	–	0
Prior year balances available:			
Item 2660-302-0046/1997	0	–	–
Item 2660-302-0046/1998	0	$0	–
Item 2660-302-0046/1999	–	0	0
TOTALS, EXPENDITURES	–	–	$98,000

[1] Fully reimbursed items.

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
0650 Toll Bridge Seismic Retrofit Account, State Transportation Fund [s]			
APPROPRIATIONS			
Streets and Highways Code Section 188.10	$365,977	$166,887	$385,374
TOTALS, EXPENDITURES	$365,977	$166,887	$385,374
0653 Seismic Retrofit Bond Fund of 1996 [b]			
APPROPRIATIONS			
Government Code Section 8879.3	$4,199	$11,000	$9,000
Government Code Section 8870.3	588	112,695	75,875
TOTALS, EXPENDITURES	$4,787	$123,695	$84,875
0890 Federal Trust Fund			
APPROPRIATIONS			
301 Budget Act appropriation	$1,574,280	$1,660,250	$1,542,163
302 Budget Act appropriation	–	199,000	–
Prior year balances available:			
Item 2660-301-0890/1996, Reapp by Item 2660-492/1999	118,485	–	–
Budget Adjustment	–118,485	–	–
Item 2660-301-0890/1997	22,594	–	–
Item 2660-301-0890/1998	470,656	108,477	–
Item 2660-301-0890/1999	–	932,723	547,197
Item 2660-301-0890/2000	–	–	524,924
Item 2660-302-0890/2000	–	–	198,000
Totals Available	$2,067,530	$2,900,450	$2,812,284
Unexpended balance, estimated savings	–	–108,477	–547,197
Balance available in subsequent years	–1,041,199	–1,270,121	–446,592
TOTALS, EXPENDITURES	$1,026,331	$1,521,852	$1,818,495
0987 Toll Bridge Funds [n]			
APPROPRIATIONS			
Streets and Highways Code, Division 17	–	$2,432	$1,007
TOTALS, EXPENDITURES	–	$2,432	$1,007
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	$523,523	$790,326	$960,371
3007 Traffic Congestion Relief Fund [s]			
APPROPRIATIONS			
Streets and Highways Code 14556.5	–	$105,000	$250,000
TOTALS, EXPENDITURES	–	$105,000	$250,000
Less funding provided by the General Fund	–	–1,500,000	–
NET TOTALS, EXPENDITURES	–	–$1,395,000	$250,000
3008 Transportation Investment Fund [s]			
APPROPRIATIONS			
TOTALS, EXPENDITURES	–	–	–
Less funding provided by the General Fund	–	–	–$934,341
NET TOTALS, EXPENDITURES	–	–	–$934,341
TOTALS, EXPENDITURES, (Capital Outlay)	$2,342,761	$3,191,692	$4,186,364

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
RECONCILIATION WITH APPROPRIATIONS			
4 UNCLASSIFIED			
0042 State Highway Account, State Transportation Fund [s]			
APPROPRIATIONS			
399 Budget Act appropriation	$5,000	$5,000	$5,000
Allocation to Capital Outlay	−5,000	−5,000	–
Allocation to Capital Outlay	–	–	−5,000
TOTALS, EXPENDITURES	–	–	–
0890 Federal Trust Fund			
APPROPRIATIONS			
399 Budget Act appropriation	–	$25,000	$25,000
TOTALS, EXPENDITURES	–	$25,000	$25,000
TOTALS, EXPENDITURES, (Unclassified)	–	$25,000	$25,000
TOTALS, EXPENDITURES, (State Operations, Local Assistance, Capital Outlay and Unclassified)	$5,931,299	$8,276,653	$9,554,083

FUND CONDITION STATEMENT			
0041 Aeronautics Account, State Transportation Fund [s 1]			
BEGINNING BALANCE	$4,824	$8,667	$9,496
Prior year adjustments	595	–	–
Balance, Adjusted	$5,419	$8,667	$9,496
REVENUES AND TRANSFERS			
Revenues:			
141200 Sales of documents	2	2	2
150300 Income from surplus money investments	951	701	688
Totals, Revenues	$953	$703	$690
Transfers from Other Funds:			
F00061 Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code, Section 8352.3	9,051	7,010	6,880
Totals, Transfers from Other Funds	$9,051	$7,010	$6,880
Transfers to Other Funds:			
T00046 Public Transportation Account, State Transportation Fund per Budget Act Item 2660-011-0041	−30	−30	−30
Totals, Transfers to Other Funds	−$30	−$30	−$30
Totals, Revenues and Transfers	$9,974	$7,683	$7,540
Totals, Resources	$15,393	$16,350	$17,036
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations	2,320	2,726	2,696
Local Assistance	4,406	4,128	5,377
Totals, Disbursements	$6,726	$6,854	$8,073
FUND BALANCE	$8,667	$9,496	$8,963
Reserve for economic uncertainties	8,667	9,496	8,963

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
0042 State Highway Account, State Transportation Fund [s 1]			
BEGINNING BALANCE	$1,400,479	$1,374,738	$878,488
Prior year adjustments	123,767	–	–
Balance, Adjusted	$1,524,246	$1,374,738	$878,488
REVENUES AND TRANSFERS			
Revenues:			
114100 Motor vehicle registration (weight fees)	746,016	770,000	804,000
125700 Other regulatory licenses and permits (permit revenues)	8,079	8,700	8,950
141200 Sales of documents (materials)	991	1,150	1,150
142500 Miscellaneous services to the public	–	–	–
150300 Income from surplus money investments	101,954	100,000	100,000
151200 Income from condemnation deposits fund investments	3,136	3,200	3,400
152200 Rentals of state property (real property income)	36,311	20,300	18,800
152300 Miscellaneous revenue from use of property and money	71,602	20,000	20,000
161000 Escheat revenue	573	570	570
161400 Miscellaneous revenue	2,381	1,800	1,800
Totals, Revenues	$971,043	$925,720	$958,670
Transfers from Other Funds:			
F00044 E Motor Vehicle Account, STF per Goverment Code Section 16475	3,048	3,050	3,050
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108	1,579,007	1,643,858	1,685,669
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Sections 2104.1 and 2107.6	4,297	5,000	5,000
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108	307,122	306,918	321,454
F00596 Loan Repayment (Vincent Thomas Ch 74158)	6,160	–	–
Totals, Transfers from Other Funds	$1,899,634	$1,958,826	$2,015,173
Transfers to Other Funds:			
T00001 General Fund per *PECG* vs. *Wilson II* Judgement	−41,194	–	–
T00044 Motor Vehicle Account, State Transportation Fund per *PECG* vs. *Wilson II* Judgement	−130,000	–	–
T00046 Public Transportation Account, State Transportation Fund per Budget Act Item 2660-021-0042	−57,665	−25,024	−25,400
T00046 Public Transportation Account, State Transportation Fund per Budget Act Item 2660-013-0042	−28,040	–	–
T00046 Public Transportation Account, State Transportation Fund per Streets and Highways Code 183.1	–	−114,421	−46,450
T00183 Environmental Enhancement and Mitigation Demo Program Fund per Budget Act Item 2660-022-0042	−10,000	−10,000	−10,000
T00308 Earthquake Risk Reduction Fund of 1996 per Budget Act Item 6440-011-0042	−1,000	−1,000	−1,000
T00650 Toll Bridge Seismic Retrofit Account, State Transportation Fund per Budget Act Item 2660-011-0042	−129,713	−123,260	−341,826
T00608 Transfer to Equipment Services Fund 2660-031-0042	–	−21,898	−20,982
T02500 E Pedestrian Safety Account, STF per Chaper 833, Statutes of 2000	–	−8,000	–
Totals, Transfers to Other Funds	−$397,612	−$303,603	−$445,658
Totals, Revenues and Transfers	$2,473,065	$2,580,943	$2,528,185
Totals, Resources	$3,997,311	$3,955,681	$3,406,673
EXPENDITURES			
Disbursements:			
1730 Franchise Tax Board:			
State Operations	–	1	1
2600 California Transportation Commission:			
State Operations	144	374	533
2660 Department of Transportation:			
State Operations [2]	1,699,615	2,078,176	2,071,584
Local Assistance	452,073	496,476	456,183
Capital Outlay	404,643	431,355	588,242
2720 California Highway Patrol:			
State Operations	23,665	22,491	21,947
Capital Outlay	1,800	3,007	–

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
2740 Department of Motor Vehicles:			
State Operations	$37,429	$39,814	$42,025
Local Assistance	–	–	–
Capital Outlay	461	1,132	632
3480 Department of Conservation:			
State Operations	12	12	12
8570 Department of Food and Agriculture Capital Outlay	–	578	672
8660 Public Utilities Commission:			
State Operations	2,381	2,385	2,544
9625 Interest Payments to the Federal Government:			
State Operations	270	500	500
9670 Legislative Claims:			
State Operations	80	892	–
Totals, Disbursements	$2,622,573	$3,077,193	$3,184,875
FUND BALANCE	$1,374,738	$878,488	$221,798
Reserve for economic uncertainties	1,374,738	878,488	221,798

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

[2] Expenditures for capital outlay support allocable to the Traffic Congestion Relief Fund are initially appropriated in the State Highway Account and have not yet been determined for fiscal years 2000–01 and 2001–02.

0045 Bicycle Transportation Account, State Transportation Fund [s 1]

BEGINNING BALANCE	$1,263	$1,465	$1,726
Prior year adjustments	19	–	–
Balance, Adjusted	$1,282	$1,465	$1,726
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments	181	271	431
Transfers from Other Funds:			
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2106	1,000	1,500	7,200
Totals, Revenues and Transfers	$1,181	$1,771	$7,631
Totals, Resources	$2,463	$3,236	$9,357
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations	8	10	10
Local Assistance	990	1,500	7,190
Totals, Disbursements	$998	$1,510	$7,200
FUND BALANCE	$1,465	$1,726	$2,157
Reserve for economic uncertainties	1,465	1,726	2,157

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

0046 Public Transportation Account, State Transportation Fund [s 1]

BEGINNING BALANCE	$97,599	$132,653	$261,401
Prior year adjustments	3,660	–	–
Balance, Adjusted	$101,259	$132,653	$261,401

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
REVENUES AND TRANSFERS			
Revenues:			
114900 Retail sales and use taxes	$189,188	$223,640	$292,980
150300 Income from surplus money investments	8,559	8,563	15,521
Totals, Revenues	$197,747	$232,203	$308,501
Transfers from Other Funds:			
F00041 Aeronautics Account, State Transportation Fund per Budget Act Item 2660-011-0041	30	30	30
F00042 State Highway Account, State Transportation Fund per Budget Act Item 2660-021-0042	57,665	25,024	25,400
F00042 State Highway Account, State Transportation Fund per Budget Act Item 2660-013-0042	28,040	–	–
F00042 E Highway Account, State Transportation Fund per Item 2660-014-0042, Budget Act of 2000	–	114,421	46,450
F03008 E Transportation Investment Fund 2660-001-3008 Budget Act 2000, Revenue and Tax Code Section 7104	–	–	85,447
Totals, Transfers from Other Funds	$85,735	$139,475	$157,327
Totals, Revenues and Transfers	$283,482	$371,678	$465,828
Totals, Resources	$384,741	$504,331	$727,229
EXPENDITURES			
Disbursements:			
2600 California Transportation Commission:			
State Operations	1,255	1,441	1,448
2640 Special Transportation Programs:			
Local Assistance	100,259	111,820	189,213
2660 Department of Transportation:			
State Operations	138,382	114,823	127,248
Local Assistance	5,771	10,666	42,708
Capital Outlay	–	–	98,000
2665 High Speed Rail Authority:			
State Operations	3,029	1,022	1,047
6440 University of California:			
State Operations	956	956	956
8660 Public Utilities Commission:			
State Operations	2,436	2,202	2,357
9990 Statewide General Administration Expenditures (pro rata) (State Operations)	–	–	–
Totals, Expenditures	$252,088	$242,930	$462,977
FUND BALANCE	$132,653	$261,401	$264,252
Reserve for unencumbered balance of continuing appropriations	132,653	261,401	264,252

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

0052 Local Airport Loan Account [s]

BEGINNING BALANCE	$8,511	$3,466	$1,999
Prior year adjustments	–46	–	–
Balance, Adjusted	$8,465	$3,466	$1,999
REVENUES AND TRANSFERS			
Revenues:			
131200 Interest on loans to local agencies	1,000	1,000	1,000
150300 Income from surplus money investments	383	383	383
Totals, Revenues and Transfers	$1,383	$1,383	$1,383
Totals, Resources	$9,848	$4,849	$3,382

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
EXPENDITURES			
Disbursements:			
2660 Department of Transportation (Local Assistance)	$8,091	$4,000	$4,000
Expenditure Reductions:			
2660 Department of Transportation (Local Assistance):			
Loan repayments from local agencies	–1,709	–1,150	–1,150
Totals, Expenditures	$6,382	$2,850	$2,850
FUND BALANCE	$3,466	$1,999	$532
Reserve for economic uncertainties	3,466	1,999	532
0056 Seismic Safety Retrofit Account, State Transportation Fund [s]			
BEGINNING BALANCE	$9,039	$9,891	$10,559
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments	852	668	713
Totals, Revenues and Transfers	$852	$668	$713
Totals, Resources	$9,891	$10,559	$11,272
FUND BALANCE	$9,891	$10,559	$11,272
Reserve for economic uncertainties	9,891	10,559	11,272
0061 Motor Vehicle Fuel Account, Transportation Tax Fund [s 1]			
BEGINNING BALANCE	$181,960	$181,092	$182,912
Prior year adjustments	106	–	–
Balance, Adjusted	$182,066	$181,092	$182,912
REVENUES AND TRANSFERS			
Revenues:			
113800 Motor vehicle fuel tax (gasoline)	2,593,205	2,688,900	2,753,950
113900 Jet fuel tax	1,806	1,979	2,070
114000 Motor vehicle fuel tax (diesel)	446,359	446,000	464,700
125700 Other regulatory licenses and permits	275	350	375
150300 Income from surplus money investments	3,625	4,250	4,375
161000 Escheat of unclaimed checks and warrants	86	75	80
Totals, Revenues	$3,045,356	$3,141,554	$3,225,550
Transfers to Other Funds:			
T00041 Aeronautics Account, State Transportation Fund per Section 8352.3, Revenue and Taxation Code	–9,051	–7,010	–6,880
T00062 Highway Users' Tax Account, Transportation Tax Fund per Section 8353, Revenue and Taxation Code	–2,918,371	–3,011,880	–3,097,355
T00111 Agriculture Account, Agriculture Fund per Section 8352, Revenue and Taxation Code	–26,112	–26,400	–27,650
T00263 Off-Highway Vehicle Fund per Section 8352.6, Revenue and Taxation Code	–$19,936	–$19,780	–$20,360
T00265 Conservation and Enforcement Services Account, Off-Highway Vehicle Fund per Section 8352.8, Revenue and Taxation Code	–9,819	–9,750	–10,040
T00392 Parks and Recreation Account, State Parks and Recreation Fund per Budget Act Item 3790-012-0061	–11,649	–11,649	–11,649
Totals, Transfers to Other Funds	–$2,994,938	–$3,086,469	–$3,173,934
Totals, Revenues and Transfers	$50,418	$55,085	$51,616
Totals, Resources	$232,484	$236,177	$234,528

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
EXPENDITURES			
Disbursements:			
0840 State Controller:			
State Operations	$2,843	$3,012	$3,021
0860 State Board of Equalization:			
State Operations	15,061	15,918	20,231
3680 Department of Boating and Waterways:			
Unclassified	33,488	34,325	35,183
9670 Legislative Claims	–	10	–
Totals, Expenditures	$51,392	$53,265	$58,435
FUND BALANCE	$181,092	$182,912	$176,093
Reserve for economic uncertainties	181,092	182,912	176,093

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

0183 Environmental Enhancement and Mitigation Demonstration Program Fund [s]

BEGINNING BALANCE	$5,919	$8,709	$10,029
Prior year adjustments	1,361	–	–
Balance, Adjusted	$7,280	$8,709	$10,029
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments	1,440	1,440	1,440
Transfers from Other Funds:			
F00042 State Highway Account, State Transportation Fund per Budget Act Item 2660-022-0042	10,000	10,000	10,000
Totals, Revenues and Transfers	$11,440	$11,440	$11,440
Totals, Resources	$18,720	$20,149	$21,469
EXPENDITURES			
Disbursements:			
0540 (3030) Secretary for Resources:			
State Operations	116	120	120
2660 Department of Transportation:			
Local Assistance	9,895	10,000	10,000
Totals, Expenditures	$10,011	$10,120	$10,120
FUND BALANCE	$8,709	$10,029	$11,349
Reserve for economic uncertainties	8,709	10,029	11,349

0365 Historic Property Maintenance Fund [s]

BEGINNING BALANCE	$0	$600	$2,200
REVENUES AND TRANSFERS			
Revenues:			
152200 Rentals of state property	600	1,600	1,500
Totals, Resources	$600	$2,200	$3,700
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations	–	–	$3,700
Totals, Expenditures	–	–	$3,700
FUND BALANCE	$600	$2,200	–
Reserve for economic uncertainties	600	2,200	–

0608 Equipment Service Fund [n]

BEGINNING BALANCE	–	–	$37,211
Less prior year purchases	–	–	–21,898
Balance, Adjusted	–	–	$15,313

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
REVENUES AND TRANSFERS			
Operating Revenues:			
299000 Other (Program Rental)	–	$138,850	$142,359
200500 External (Local Government)	–	5,000	5,000
Totals, Operating Revenues	–	$143,850	$147,359
Transfers from Other Funds:			
F00042 State Highway Acccount, STF per Budget Act Item 2660-031-0042	–	21,898	20,982
Totals, Transfers	–	$21,898	$20,982
Totals, Operating Revenues and Transfers	–	$165,748	$168,341
Totals, Resources	–	$165,748	$183,654
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations	$143,183	150,435	172,209
Totals, Disbursements	$143,183	$150,435	$172,209
Expenditure Reductions:			
Less funding provided by State Highway Account (State Operations)	–	−21,898	−20,982
Less charges to other funds (State Operations)	−143,183	–	–
Totals, Expenditure Reductions	−$143,183	−$21,898	−$20,982
Totals, Expenditures	–	$128,537	$151,227
FUND BALANCE	–	$37,211	$32,427
Reserve for net assets	–	21,898	20,982
Cash reserve	–	15,313	11,445
0650 Toll Bridge Seismic Retrofit Account State Transportation Fund s [1]			
BEGINNING BALANCE	$168,635	$10,602	$18,936
Prior year adjustments	−3,471	–	–
Balance, Adjusted	$165,164	$10,602	$18,936
REVENUES AND TRANSFERS			
Revenues:			
131700 E Miscellaneous revenue from local agencies	27,193	5,807	–
142500 Miscellaneous services to the public	124,405	125,000	125,000
150600 Income from other investments	13,495	19,215	12,298
Totals, Revenues	$165,093	$150,022	$137,298
Transfers from Other Funds:			
F00042 State Highway Account, State Transportation Fund per Item 2660-011-0042, Budget Acts of 1999 and 2000	129,713	123,260	341,826
Totals, Transfers from Other Funds	$129,713	$123,260	$341,826
Totals, Revenues and Transfers	$294,806	$273,282	$479,124
Totals, Resources	$459,970	$283,884	$498,060
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations	$83,391	$98,061	$97,189
Capital Outlay	365,977	166,887	385,374
Totals, Disbursements	$449,368	$264,948	$482,563
FUND BALANCE	$10,602	$18,936	$15,497
Reserve for economic uncertainties	10,602	18,936	15,497

[1] Fund renumbered from 0584 to 0650 and reclassified from nongovernmental cost fund to special fund.

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
0653 Seismic Retrofit Bond Fund of 1996 [b]			
BEGINNING BALANCE	–	–	–
Prior year adjustments	–$30,225	–	–
Balance, Adjusted	–$30,225	–	–
REVENUES AND TRANSFERS			
Operating Revenues:			
520000 Proceeds from sale of bonds	51,310	$137,738	$105,569
250300 Income from SMIF	30,552	17,881	12,209
Totals, Operating Revenues and Transfers	$81,862	$155,619	$117,778
Totals, Resources	$51,637	$155,619	$117,778
EXPENDITURES [1]			
Disbursements:			
2660 Department of Transportation:			
State Operations:			
Phase 2	24,131	20,937	20,224
Interest PMIB Loans	22,719	10,987	12,679
Totals, State Operations	$46,850	$31,924	$32,903
Capital Outlay:			
Phase 2	4,199	11,000	9,000
Toll	588	112,695	75,875
Totals, Capital Outlay	$4,787	$123,695	$84,875
Totals, Expenditures	$51,637	$155,619	$117,778
FUND BALANCE	–	–	–

[1] Expenditures in this fund are fully accrued to the year of encumbrance and do not reflect the modified accrued methodology used to account for State Highway Account capital expenditures.

0676 Ridesharing Vanpool Revolving Loan and Grant Fund [n]			
BEGINNING BALANCE	$5,558	–	–
Operating Revenues:			
215000 Income from investments	149	–	–
Totals, Operating Revenues and Transfers	$149	–	–
Totals, Resources	$5,707	–	–
Disbursements:			
2660 Department of Transportation:			
Local Assistance	5,707	–	–
Totals, Expenditures	$5,707	–	–
FUND BALANCE	–	–	–
0987 Consolidated Toll Bridge Funds [n]			
BEGINNING BALANCE	$95,083	$55,260	$48,755
Prior year adjustments	2,218	–	–
Balance, Adjusted	$97,301	$55,260	$48,755
REVENUES AND TRANSFERS			
Operating Revenues:			
211000 Services to public (Sec. 211000)	9,531	5,865	5,924
215000 Income from investments (Sec. 215000)	2,564	658	334
Totals, Operating Revenues	$12,095	$6,523	$6,258
Transfers to Other Funds:			
T00049 Toll Bridge Revenues Account per Sec. 30796.7	–6,277	–6,187	–6,078
T00049 Toll Bridge Revenue Account per Ch. 328, Stats. 1997	–35,537	–3,623	–
Totals, Transfers to Other Funds	–$41,814	–$9,810	–$6,078
Totals, Operating Revenues and Transfers	–$29,719	–$3,287	$180
Totals, Resources	$67,582	$51,973	$48,935

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations	$3,810	$786	$794
Capital Outlay	–	2,432	1,007
Totals, Disbursements	$3,810	$3,218	$1,801
Other Disbursements:			
Debt Service and Other	8,512	–	–
Payments of Agent's Fees	–	–	–
Totals, Other Disbursements	$8,512	–	–
Totals, Expenditures	$12,322	$3,218	$1,801
FUND BALANCE	$55,260	$48,755	$47,134
2500 Pedestrian Safety Account [s]			
BEGINNING BALANCE	–	–	$8,000
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from Surplus Money Investments	–	–	450
Transfers from Other Funds:			
F00042 E Highway Account, State, STF per Chapter 833, Statutes of 2000	–	$8,000	–
Totals, Revenues and Transfers	–	$8,000	$450
Totals, Resources	–	$8,000	$8,450
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
Local Assistance	–	–	6,000
Totals, Expenditures	–	–	$6,000
FUND BALANCE	–	$8,000	$2,450
Reserve for economic uncertainties	–	–	–
3007 Traffic Congestion Relief Fund [s]			
BEGINNING BALANCE	–	–	$1,195,000
REVENUES AND TRANSFERS			
Revenues:			
114900 Retail Sales and Use Taxes	–	$500,000	–
150300 Income from Surplus Money Investments	–	–	–
Totals, Revenues	–	$500,000	–
Transfers from Other Funds:			
F03008 Transportation Investment Fund per Section 7104(c)(1), of the Revenue and Taxation Code	–	–	$678,000
Totals, Transfers from Other Funds	–	–	$678,000
Totals, Revenues and Transfers	–	$500,000	$678,000
Totals, Resources	–	$500,000	$1,873,000
EXPENDITURES			
Disbursements:			
2660 Department of Transportation: [1]			
Local Assistance	–	295,000	430,000
Capital Outlay	–	105,000	250,000
2665 High-Speed Rail Authority:			
State Operations	–	5,000	–
Totals, Disbursements	–	$405,000	$680,000

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
Apportionments:			
9533 Apportionment of Traffic Congestion Relief Fund			
Local Assistance:			
To Counties, including a city and county (Streets and Highways Code Section 2182(a)(1))	–	$200,000	–
To Cities, including a city and county (Streets and Highways Code Section 2182(a)(2))	–	200,000	–
Totals, Apportionments	–	$400,000	–
Expenditure Reductions:			
2660 Department of Transportation:			
Less funding provided by General Fund (Capital Outlay)	–	–1,500,000	–
Totals, Expenditure Reductions	–	–$1,500,000	–
Totals, Expenditures	–	–$695,000	$680,000
FUND BALANCE	–	$1,195,000	$1,193,000
Reserve for economic uncertainties	–	–	–

[1] Expenditures for capital outlay support allocable to this fund are initially appropriated in the State Highway Account and have not yet been determined for fiscal years 2000–01 and 2001–02.

3008 Transportation Investment Fund [s]

BEGINNING BALANCE	–	–	–
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from Surplus Money Investments	–	–	–
Totals, Revenues	–	–	–
Transfers to Other Funds:			
T00046 Public Transportation Account, State Transportation per Revenue and Tax Code 7104	–	–	–$85,447
T003007 Traffic Congestion Relief Fund per Section 7104(c)(1), of the Revenue and Taxation Code	–	–	–678,000
Totals, Transfers to Other Funds	–	–	–$763,447
Totals, Revenues and Transfers	–	–	–$763,447
Totals, Resources	–	–	–$763,447
EXPENDITURES			
Disbursements:			
9350 Shared Revenues:			
Local Assistance:			
Counties, including a city and county Revenue and Taxation Code Section 7104(c)(4)	–	–	85,447
Cities, including a city and county per Revenue and Taxation Code Section 7104(c)(5)	–	–	85,447
Totals, Shared Revenues	–	–	$170,894
Totals, Disbursements	–	–	$170,894
EXPENDITURE REDUCTIONS			
2660 Department of Transportation:			
Less funding provided by the General Fund (Capital Outlay)	–	–	–$934,341
9350 Shared Revenues:			
Less funding provided by the General Fund (Local Assistance)	–	–	–170,894
Totals, Expenditure Reductions	–	–	–$1,105,235
Totals, Expenditures	–	–	–$934,341
FUND BALANCE	–	–	$170,894
Reserve for economic uncertainties	–	–	–

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES				Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
CHANGES IN AUTHORIZED POSITIONS	***99–00***	***00–01***	***01–02***	***1999–00****	***2000–01****	***2001–02****
Totals, Authorized Positions	21,207.9	24,604.6	24,581.5	$1,130,565	$1,447,031	$1,461,501
Salary Adjustments	–	–	–	–	330	592
Totals, Adjusted Authorized Positions	21,207.9	24,604.6	24,581.5	$1,130,565	$1,447,361	$1,462,093
Workload and Administrative Adjustments:						
Highway Transportation:						
Traffic Operations:				Salary Range		
Supvng Transp Engr, CT	–	0.5	0.5	6,174–6,810	37	37
Sr Prog Analyst-Spec	–	0.5	0.5	4,958–6,026	30	30
Sys Software Spec I-Tech	–	1.0	1.0	4,506–5,479	54	54
Staff Svcs Mgr I	–	1.0	1.0	4,520–5,453	54	54
Assoc Prog Asst-Spec	–	0.1	0.1	4,110–4,997	5	5
Assoc CT Admin	–	1.0	1.0	3,915–4,759	47	47
Transp Engr-Mech	–	1.0	1.0	3,273–3,788	39	39
Transp Engr-Elec A	–	6.3	6.3	3,273–3,788	247	247
Asst CT Admin A	–	7.6	7.6	2,507–3,049	229	229
Ofc Techn-Gen	–	1.0	1.0	2,348–2,855	28	28
Maintenance:						
Sr Transp Engr CT-Civil	–	–	1.8	5,082–6,177	–	61
Transp Engr CT-Civil	–	–	9.0	3,273–3,788	–	353
CT Equipt Opr	–	–	9.0	3,039–3,320	–	328
Totals, Redirection	–	20.0	39.8	–	$770	$1,512
Reduction per Vacant Positions Drill:						
Traffic Operations:						
Transp Engrng Techn A	–	–	−17.0	2,444–2,971	–	−499
Local Assistance:						
Assoc CT Admin	–	–	−1.0	2,507–3,049	–	−30
Traffic System Information:						
Transp Engr-Elec	–	–	−0.1	3,273–3,788	–	−2
Transp Engr-Civil A	–	–	−0.7	3,273–3,788	–	−27
Statistical Methods Analyst I	–	–	−1.0	2,731–3,320	–	−33
Staff Svcs Analyst-Gen A	–	–	−0.2	2,507–3,049	–	−7
Transp Plnr A	–	–	−1.8	2,764–3,048	–	−60
Transp Engrng Techn A	–	–	−4.0	2,444–2,971	–	−117
Ofc Techn-Typing	–	–	−0.1	2,348–2,855	–	−1
CT Landscape Maint Worker	–	–	−0.1	2,557–2,776	–	−2
Maintenance:						
CT Equipt Opr	–	–	−11.0	3,039–3,320	–	−401
CT Landscape Maint Worker	–	–	−42.8	2,557–2,776	–	−1,289
CT Hwy Maint Worker	–	–	−23.0	2,557–2,776	–	−706
Mass Transportation:						
Transp Plnr A	–	–	−2.0	2,764–3,048	–	−66
Word Proc Techn A	–	–	−1.0	2,029–2,465	–	−24
Rail:						
Rail Transp Asst A	–	–	−6.0	2,764–3,048	–	–
Word Proc Techn A	–	–	−2.0	2,029–2,465	–	–
Planning:						
Transp Plnr A	–	–	−16.0	2,764–3,048	–	−531
Envirntl Plnr A	–	–	−5.2	2,764–3,048	–	−172
Transp Engrng Techn A	–	–	−79.0	2,444–2,971	–	−2,323
Word Proc Techn A	–	–	−8.0	2,029–2,465	–	−209
Administration:						
Assoc Constrn Analyst	–	–	−1.0	$4,204–5,067	–	−$50
Maint Mechanic A	–	–	−1.0	3,413–3,744	–	−41
Asst CT Admin A	–	–	−2.0	2,507–3,049	–	−60
Ofc Techn-Typing	–	–	−2.0	2,348–2,855	–	−56
Pers Svcs Spec I A	–	–	−2.0	2,315–2,814	–	−56
Ofc Asst-Typing A	–	–	−2.0	1,908–2,319	–	−46
Equipment:						
Heavy Equipt Mechanic Apprnt C A	–	–	−2.0	2,391–2,607	–	−57
Totals, Vacant Position Drill	–	–	−234.0	–	–	−$6,865
Subtotals, Workload and Administrative Adjustments	–	20.0	−194.2	–	$770	−$5,353

*** Dollars in thousands, except in Salary Range.**

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES				Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
Proposed New Positions:				Salary Range		
Labor Relations Mgr I	–	–	1.0	$5,493–6,058	–	$66
Sr Right of Way Agent	–	–	–0.2	5,987	–	–15
Sr Transp Plnr	–	–	–1.3	4,930–5,987	–	–80
Assoc Bridge Engr A	–	–	–3.0	4,598–5,634	–	–166
Transp Engr, CT-Civil D	–	–	–2.3	4,958–5,632	–	–127
Assoc Architect	–	–	–1.0	4,598–5,632	–	–55
Labor Relations Spec	–	–	4.0	4,520–5,453	–	217
Sys Software Spec I-Tech	–	–	–0.2	4,800–5,402	–	–12
Assoc Seismologist	–	–	–1.0	4,177–5,321	–	–50
Rail Transp Assoc	–	–	–2.9	4,657–5,110	–	–162
Assoc Mgmt Auditor	–	–	–2.5	4,997	–	–150
Assoc Transp Plnr	–	–	–2.4	4,084–4,997	–	–132
Assoc Budget Analyst S	–	–	–0.3	4,761	–	–17
Assoc Pers Analyst	–	–	–0.1	3,889–4,693	–	–5
Assoc CT Admin	–	–	–0.1	3,889–4,693	–	–3
Engrng Geologist B	–	–	–1.0	3,723–4,550	–	–45
Acctg Ofcr-Spec	–	–	–0.8	4,155	–	–40
Right of Way Agent B	–	–	–0.6	3,397–4,155	–	–24
Envirntl Plnr C	–	–	–0.5	3,397–4,155	–	–20
Accountant I-Supvr	–	–	–0.1	2,668–3,172	–	–4
Ofc Techn-Typing	–	–	2.7	2,348–2,855	–	76
Pers Svcs Spec I-A	–	–	–0.1	2,295–2,791	–	–1
Totals, Proposed New Positions	–	–	–12.7	–	–	–$749
Total Adjustments	–	20.0	–206.9	–	$1,100	–$5,510
TOTALS, SALARIES AND WAGES	21,207.9	24,624.6	24,374.6	$1,130,565	$1,448,131	$1,455,991

2665 HIGH-SPEED RAIL AUTHORITY

The High-Speed Rail Authority was created by Chapter 796, Statutes of 1996, to direct the development and implementation of intercity high-speed rail service that is fully coordinated with other public transportation services. The Authority is required to prepare a plan for the construction and operation of a high-speed train network for the state, consistent with and continuing the work of the Intercity High-Speed Rail Commission conducted prior to January 1, 1997, and submit the plan to the Legislature and Governor or to the voters for approval. The Authority was scheduled to sunset on June 30, 2001, unless the plan was approved.

Chapter 791, Statutes of 2000, extended the Authority until December 31, 2003, reconstituted the Authority's membership, and continued the requirement to prepare the high-speed rail plan contingent upon a Budget Act appropriation for that purpose. Additional funding needs will be considered after the Authority's new membership is in place.

Major Budget Adjustment Included in 2000–01

- Chapter 91, Statutes of 2000 (AB 2928), the Traffic Congestion Relief Act of 2000, appropriated $5 million from the Traffic Congestion Relief Fund to the Authority for the purpose of commencing preliminary environmental documentation for the implementation of high-speed rail service in California.

Authority

Public Utilities Code, Division 19.5 (commencing with Section 185000).
As amended by Chapter 791, Statutes of 2000 (AB 1703).

SUMMARY OF PROGRAM REQUIREMENTS	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
High-Speed Rail Authority	5.0	5.7	5.7	$3,029	$6,022	$1,047
0046 Public Transportation Account, State Transportation Fund [s]				*3,029*	*1,022*	*1,047*
3007 Traffic Congestion Relief Fund [s]				–	*5,000*	–

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2665 HIGH-SPEED RAIL AUTHORITY—Continued

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Authorized Positions (Equals Sch 7A)	5.0	6.0	6.0	$424	$474	$483
Estimated Salary Savings	–	–0.3	–0.3	–	–24	–24
Net Totals, Salaries and Wages	5.0	5.7	5.7	$424	$450	$459
Staff Benefits	–	–	–	52	58	63
Totals, Personal Services	5.0	5.7	5.7	$476	$508	$522
OPERATING EXPENSES AND EQUIPMENT				$2,553	$5,514	$525
TOTALS, EXPENDITURES				$3,029	$6,022	$1,047

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0046 Public Transportation Account, State Transportation Fund [s]

APPROPRIATIONS	1999–00*	2000–01*	2001–02*
001 Budget Act appropriation	$3,027	$1,021	$1,047
Allocation for employee compensation	29	4	–
Adjustment per Section 3.60	–24	–3	–
Totals Available	$3,032	$1,022	$1,047
Unexpended balance, estimated savings	–3	–	–
TOTALS, EXPENDITURES	$3,029	$1,022	$1,047

3007 Traffic Congestion Relief Fund [s]

APPROPRIATIONS			
Chapter 91, Statutes of 2000	–	$5,000	–
TOTALS, EXPENDITURES (State Operations)	$3,029	$6,022	$1,047

2700 OFFICE OF TRAFFIC SAFETY

The Office of Traffic Safety administers the California Traffic Safety Program. Under the provisions of State law, the office conducts a wide range of activities designed to reduce deaths, injuries and property damage that result from traffic accidents. Activities include: (1) developing the California Highway Safety Plan, which identifies major traffic safety problems, appropriate countermeasure programs and available state and federal funds; (2) administering funds to state and local governmental entities in the form of project grants; and (3) coordinating statewide traffic safety programs and activities.

The nine priority areas of traffic safety are alcohol and drugs, occupant protection, pedestrian and bicycle safety, traffic records, emergency medical services, roadway safety, policy traffic services, motorcycle safety and speed control.

Major Budget Adjustment Proposed for 2001–02

- An increase of $204,000 and 2.9 personnel years redirected from vacant positions reduced in other departments in the Business, Transportation and Housing Agency to administer the increased grant funds available to California under Chapter 1 of Title 23 of the Transportation Equity Act for the 21st Century (TEA-21).

Authority

Vehicle Code, Division 2, Chapter 5.

SUMMARY OF PROGRAM REQUIREMENTS

	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
10 California Traffic Safety Program	29.2	29.2	32.1	$58,321	$42,467	$42,681
TOTALS, PROGRAMS	29.2	29.2	32.1	$58,321	$42,467	$42,681
0044 Motor Vehicle Account, State Transportation Fund				*326*	*360*	*371*
0890 Federal Trust Fund				*57,995*	*42,107*	*42,310*

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2700 OFFICE OF TRAFFIC SAFETY—Continued

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	29.2	30.0	30.0	$1,439	$1,504	$1,525
Total Adjustments	–	–	3.0	–	3	159
Estimated Salary Savings	–	–0.8	–0.9	–	–38	–42
Net Totals, Salaries and Wages	29.2	29.2	32.1	$1,439	$1,469	$1,642
Staff Benefits	–	–	–	274	262	273
Totals, Personal Services	29.2	29.2	32.1	$1,713	$1,731	$1,915
OPERATING EXPENSES AND EQUIPMENT				$2,083	$2,237	$2,334
SPECIAL ITEMS OF EXPENSE				29,134	21,144	21,077
TOTALS, EXPENDITURES				$32,930	$25,112	$25,326

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0044 Motor Vehicle Account, State Transportation Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$333	$360	$371
Allocation for employee compensation	14	2	–
Adjustment per Section 3.60	–10	–2	–
Totals Available	$337	$360	$371
Unexpended balance, estimated savings	–11	–	–
TOTALS, EXPENDITURES	$326	$360	$371

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	$24,596	$24,762	$24,955
Allocation for employee compensation	90	6	–
Adjustment per Section 3.60	–76	–16	–
Adjustment per Section 16.00	1	–	–
Budget adjustment	7,993	–	–
TOTALS, EXPENDITURES	$32,604	$24,752	$24,955
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$32,930	$25,112	$25,326

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0890 Federal Trust Fund

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
101 Budget Act appropriation	$17,355	$17,355	$17,355
Budget adjustment	8,036	–	–
TOTALS, EXPENDITURES (Local Assistance)	$25,391	$17,355	$17,355
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	$58,321	$42,467	$42,681

CHANGES IN AUTHORIZED POSITIONS

	99–00	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Totals, Authorized Positions	29.2	30.0	30.0	$1,439	$1,504	$1,525
Salary adjustments	–	–	–	–	3	17
Totals, Adjusted Authorized Positions	29.2	30.0	30.0	$1,439	$1,507	$1,542

*** Dollars in thousands, except in Salary Range.**

2700 OFFICE OF TRAFFIC SAFETY—Continued

	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Proposed New Positions:				Salary Range		
Assoc Govtl Prog Analyst	–	–	2.0	$3,915–4,759	–	$91
Acctg Techn	–	–	1.0	2,348–2,855	–	27
Totals, Proposed New Positions	–	–	3.0	–	–	$118
Overtime	–	–	–	–	–	24
Total Adjustments	–	–	3.0	–	$3	$159
TOTALS, SALARIES AND WAGES	29.2	30.0	33.0	$1,439	$1,507	$1,684

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL

The California Highway Patrol ensures the safe, convenient, and efficient transportation of people and goods across the state's highway system.

SUMMARY OF PROGRAM REQUIREMENTS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
10 Traffic Management	8,630.0	8,896.3	8,901.3	$799,427	$851,214	$869,242
20 Regulation and Inspection	973.2	975.0	989.0	86,902	89,901	93,075
30 Vehicle Ownership Security	230.4	306.1	304.8	20,568	24,971	25,098
40.01 Administration	1,746.0	1,812.1	1,806.5	94,447	129,970	129,515
40.02 Distributed Administration	−1,746.0	−1,812.1	−1,806.5	−94,447	−129,970	−129,515
TOTALS, PROGRAMS	9,833.6	10,177.4	10,195.1	$906,897	$966,086	$987,415
0001 General Fund				*–*	*38,515*	*36,719*
0042 State Highway Account, State Transportation Fund				*23,665*	*22,491*	*21,947*
0044 Motor Vehicle Account, State Transportation Fund				*791,006*	*863,256*	*889,017*
Less funding provided by the General Fund				*–*	*−33,515*	*−29,719*
0246 Protective Services Fund				*28,848*	*–*	*–*
0292 Motor Carrier Permit Fund				*1,555*	*1,570*	*1,592*
0293 Motor Carrier Safety Improvement Fund				*1,054*	*1,115*	*1,082*
0840 California Motorcyclist Safety Fund				*889*	*1,121*	*1,123*
0890 Federal Trust Fund				*6,005*	*5,881*	*5,966*
0942 Hazardous Substance Account, Special Deposit Fund				*34*	*200*	*200*
0942 Asset Forfeiture Account, Special Deposit Fund				*970*	*2,002*	*2,002*
0995 Reimbursements				*52,871*	*63,450*	*57,486*

10 TRAFFIC MANAGEMENT

Program Objectives Statement

The objectives of this program are to minimize deaths, injuries, and property losses due to traffic accidents; to minimize traffic delays to the motoring public; and to provide protection and assistance to the motoring public, State employees and property.

Major Budget Adjustment Included in 2000–01

- An increase of $10,394,000 in reimbursements for highway safety grants approved by the Office of Traffic Safety, of which $4,739,000 is for two replacement helicopters.

Major Budget Adjustments Proposed for 2001–02

- An increase of $8,850,000 and 63.2 personnel years to deploy additional motorcycle officers to provide concentrated congestion relief efforts.
- An increase of $1,999,000 for the program's prorated share of improvements to the telecommunications infrastructure.
- An increase of $7,193,000 in reimbursements for highway safety grants approved by the Office of Traffic Safety.
- An increase of $2,340,000 for the program's prorated share of replacement vehicles.
- An increase of $1,286,000 for the program's prorated share of janitorial services offered by the Department of General Services.
- An increase of $1,507,000 for the program's prorated share of increased gasoline costs.
- An increase of $1,008,000 for the program's prorated share of increased service fees associated with administering workers' compensation claims.
- An increase of $531,000 and 6 personnel years to continue the expansion of the El Protector Program to provide driver safety information to additional language groups.

Authority

Vehicle Code, Division 2, Chapter 2, Article 3.

20 REGULATION AND INSPECTION

Program Objectives Statement

This program: reduces the incidence of truck and bus accidents attributable to defective vehicle equipment, improper maintenance, loading or securement of cargo, or disqualified drivers; protects the public from spills of hazardous materials or specially regulated loads and from the improper operation of specified vehicles such as ambulances and armored cars; protects farm workers transported in farm labor vehicles and children transported in school buses; ensures that proper registration fees are paid; and protects highways from excessive weights. The goal is to inspect as many vehicles, loads, hazardous materials shipping facilities, and vehicle maintenance facilities as possible with the resources available.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

Major Budget Adjustments Proposed for 2001–02

- An increase of $1,752,000 and 10 personnel years to augment the Farm Labor Vehicle Inspection and Certification program.
- An increase of $1,685,000 and 16 personnel years to augment the CHP's Motor Carrier Safety Unit staffing to handle increased workload.
- An increase of $168,000 for the program's prorated share of improvements to the telecommunications infrastructure.
- An increase of $196,000 for the program's prorated share of replacement vehicles.
- An increase of $108,000 for the program's prorated share of janitorial services offered by the Department of General Services.
- An increase of $255,000 for the program's prorated share of increased gasoline costs.
- An increase of $85,000 for the program's prorated share of increased service fees associated with administering workers' compensation claims.

Authority

Vehicle Code Sections 2416, 2417, 2501, 2510, 2512, 2802, 2804, 2805, 2807, 2807.1, 2813, 12519, 12522, 31401, 34500, et seq., 34501, Division 14.8; Education Code Section 39813; Administrative Code Section 14204.

30 VEHICLE OWNERSHIP SECURITY

Program Objectives Statement

This program protects the public from vehicle theft through (1) investigation and prosecution of the professional vehicle thief; (2) assistance and training of CHP and allied agency personnel; and (3) prevention of vehicle theft through public awareness and coordination with industry. The program also ensures that vehicles registered in California have an appropriate vehicle identification number attached.

Major Budget Adjustments Proposed for 2001–02

- An increase of $55,000 for the program's prorated share of improvements to the telecommunications infrastructure.
- An increase of $65,000 for the program's prorated share of replacement vehicles.
- An increase of $36,000 for the program's prorated share of janitorial services offered by the Department of General Services.
- An increase of $38,000 for the program's prorated share of increased gasoline costs.
- An increase of $28,000 for the program's prorated share of increased service fees associated with administering workers' compensation claims.
- An increase of $7,000,000 for local assistance grants to local law enforcement agencies for the cost of collecting data on the race of drivers stopped by law enforcement officers.

Authority

Vehicle Code Sections 2400 and 2805.

40 ADMINISTRATION

Authority

Vehicle Code, Division 2, Chapter 2, Article 1.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS
10 TRAFFIC MANAGEMENT

	*1999–00**	*2000–01**	*2001–02**
State Operations:			
0001 General Fund	–	*$33,515*	*$29,719*
0044 Motor Vehicle Account, State Transportation Fund	*$719,110*	*782,915*	*804,898*
Less funding provided by the General Fund	–	*–33,515*	*–29,719*
0246 Protective Services Fund	*28,848*	–	–
0840 California Motorcyclist Safety Fund	*889*	*1,121*	*1,123*
0890 Federal Trust Fund	*316*	*427*	*434*
0942 Asset Forfeiture Account, Special Deposit Fund	*485*	*1,001*	*1,001*
0995 Reimbursements	*49,779*	*60,750*	*54,786*
Totals, State Operations	$799,427	$846,214	$862,242
Local Assistance:			
0044 Motor Vehicle Account, State Transportation Fund	–	*5,000*	*7,000*
Totals, Local Assistance	–	$5,000	$7,000
Totals, Program 10	$799,427	$851,214	$869,242

ELEMENT REQUIREMENTS

10.10 Ground Operations	786,286	832,174	854,636
State Operations:			
0001 General Fund	–	*33,515*	*29,719*
0044 Motor Vehicle Account, State Transportation Fund	*705,969*	*768,614*	*790,292*
Less funding provided by the General Fund	–	*–33,515*	*–29,719*
0246 Protective Services Fund	*28,848*	–	–
0840 California Motorcyclist Safety Fund	*889*	*1,121*	*1,123*

*** Dollars in thousands, except in Salary Range.**

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

	1999–00*	2000–01*	2001–02*
0890 Federal Trust Fund	*$316*	*$427*	*$434*
0942 Asset Forfeiture Account, Special Deposit Fund	*485*	*1,001*	*1,001*
0995 Reimbursements	*49,779*	*56,011*	*54,786*
Local Assistance:			
0044 Motor Vehicle Account, State Transportation Fund	*–*	*5,000*	*7,000*
10.20 Flight Operations	13,141	19,040	14,606
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*13,141*	*14,301*	*14,606*
0995 Reimbursements	*–*	*4,739*	*–*
PROGRAM REQUIREMENTS			
20 REGULATION AND INSPECTION			
State Operations:			
0042 State Highway Account, State Transportation Fund	*$23,665*	*$22,491*	*$21,947*
0044 Motor Vehicle Account, State Transportation Fund	*53,014*	*58,234*	*61,885*
0292 Motor Carrier Permit Fund	*1,555*	*1,570*	*1,592*
0293 Motor Carrier Safety Improvement Fund	*1,054*	*1,115*	*1,082*
0890 Federal Trust Fund	*5,450*	*5,133*	*5,211*
0942 Hazardous Substance Account, Special Deposit Fund	*34*	*200*	*200*
0995 Reimbursements	*2,130*	*1,158*	*1,158*
Totals, Program 20	$86,902	$89,901	$93,075
ELEMENT REQUIREMENTS			
20.05 School Pupil Transportation Safety	6,220	6,755	6,900
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*6,220*	*6,717*	*6,862*
0995 Reimbursements	*–*	*38*	*38*
20.10 Regulated Special Purpose Vehicles	1,365	1,473	1,506
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*1,365*	*1,473*	*1,506*
20.15 Transportation of Hazardous Materials	5,383	5,918	6,041
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*5,295*	*5,718*	*5,841*
0942 Hazardous Substance Account, Special Deposit Fund	*34*	*200*	*200*
0995 Reimbursements	*54*	*–*	*–*
20.20 Farm Labor Transportation Safety	1,547	2,654	3,371
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*1,547*	*2,654*	*3,371*
20.25 Commercial Vehicle Inspection and Enforcement	50,719	51,426	51,689
State Operations:			
0042 State Highway Account, State Transportation Fund	*23,665*	*22,491*	*21,947*
0044 Motor Vehicle Account, State Transportation Fund	*21,096*	*24,628*	*25,446*
0292 Motor Carrier Permit Fund	*1,555*	*1,570*	*1,592*
0293 Motor Carrier Safety Improvement Fund	*1,054*	*1,115*	*1,082*
0890 Federal Trust Fund	*1,273*	*502*	*502*
0995 Reimbursements	*2,076*	*1,120*	*1,120*
20.45 Motor Carrier Safety Operations	21,668	21,675	23,568
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*17,491*	*17,044*	*18,859*
0890 Federal Trust Fund	*4,177*	*4,631*	*4,709*
PROGRAM REQUIREMENTS			
30 VEHICLE OWNERSHIP SECURITY			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*$18,882*	*$22,107*	*$22,234*
0890 Federal Trust Fund	*239*	*321*	*321*
0942 Asset Forfeiture Account, Special Deposit Fund	*485*	*1,001*	*1,001*
0995 Reimbursements	*962*	*1,542*	*1,542*
Totals, Program 30	$20,568	$24,971	$25,098
ELEMENT REQUIREMENTS			
30.10 Vehicle Theft Control	18,580	22,644	22,721
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*16,894*	*19,780*	*19,857*
0890 Federal Trust Fund	*239*	*321*	*321*
0942 Asset Forfeiture Account, Special Deposit Fund	*485*	*1,001*	*1,001*
0995 Reimbursements	*962*	*1,542*	*1,542*
30.20 Vehicle Identification Numbering Program	1,988	2,327	2,377
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*1,988*	*2,327*	*2,377*

*** Dollars in thousands, except in Salary Range.**

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

PROGRAM REQUIREMENTS
40 ADMINISTRATION

State Operations:	*1999–00**	*2000–01**	*2001–02**
40.01 Administration	$94,447	$129,970	$129,515
40.02 Distributed Administration	–94,447	–129,970	–129,515
TOTAL EXPENDITURES			
State Operations	$906,897	$961,086	$980,415
Local Assistance	–	5,000	7,000
TOTALS, EXPENDITURES	$906,897	$966,086	$987,415

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	9,833.6	10,362.0	10,347.3	$588,229	$623,966	$629,164
Total Adjustments	–	–28.0	83.5	–	–806	6,127
Estimated Salary Savings	–	–156.6	–235.7	–	–10,426	–15,693
Net Totals, Salaries and Wages	9,833.6	10,177.4	10,195.1	$588,229	$612,734	$619,598
Staff Benefits	–	–	–	103,113	115,090	123,040
Totals, Personal Services	9,833.6	10,177.4	10,195.1	$691,342	$727,824	$742,638
OPERATING EXPENSES AND EQUIPMENT				$214,038	$232,848	$236,675
SPECIAL ITEMS OF EXPENSE						
Taxes and Assessments				288	119	119
Tort Payments				183	3	3
Other				1,046	292	980
Totals, Special Items of Expense				$1,517	$414	$1,102
TOTALS, EXPENDITURES				$906,897	$961,086	$980,415

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation (Transfer to Motor Vehicle Account (0044) (expenditures)	–	$33,515	$29,719

0042 State Highway Account, State Transportation Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$24,253	$24,295	$23,805
Allocation for employee compensation	1,261	9	–
Allocation for contingencies or emergencies	98	–	
Adjustment per Section 3.60(a)	–229	36	–
Reduction per Section 3.60(b)	–1,717	–1,849	–
Estimated savings per Section 3.60(b)	–	–	–1,858
Totals Available	$23,666	$22,491	$21,947
Unexpended balance, estimated savings	–1	–	–
TOTALS, EXPENDITURES	$23,665	$22,491	$21,947

0044 Motor Vehicle Account, State Transportation Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$821,924	$915,585	$945,813
003 Budget Act appropriation (lease revenue debt)	–	436	970
021 Budget Act appropriation (advance authorization)	(5,000)	(5,000)	(5,000)
Allocation for employee compensation	38,985	1,296	–
Allocation for contingencies or emergencies	6,019	–	–
Adjustment per Section 3.60(a)	–6,419	295	–
Reduction per Section 3.60(b)	–70,165	–55,196	–
Estimated savings per Section 3.60(b)	–	–	–57,766

*** Dollars in thousands, except in Salary Range.**

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

	*1999–00**	*2000–01**	*2001–02**
Adjustment per Section 4.60 (Rental Rate)	–	$11	–
Transfer to Legislative Claims (9670)	–$23	–27	–
Chapter 557, Statutes of 1999	1,750	–	–
Prior year balances available:			
Chapter 557, Statutes of 1999	–	983	–
Totals Available	$792,071	$863,383	$889,017
Balance available in subsequent years	–983	–	–
Unexpended balance, estimated savings	–82	–127	–
TOTALS, EXPENDITURES	$791,006	$863,256	$889,017
Less funding provided by the General Fund	–	–33,515	–29,719
NET TOTALS, EXPENDITURES	$791,006	$829,741	$859,298

0246 Protective Services Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$29,102	–	–
Allocation for employee compensation	1,513	–	–
Allocation for contingencies or emergencies	164	–	–
Adjustment per Section 3.60(a)	–228	–	–
Reduction per Section 3.60(b)	–1,703	–	–
TOTALS, EXPENDITURES	$28,848	–	–

0292 Motor Carrier Permit Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$1,611	$1,651	$1,677
Allocation for employee compensation	59	2	–
Allocation for contingencies or emergencies	5	–	–
Adjustment per Section 3.60(a)	–10	1	–
Reduction per Section 3.60(b)	–77	–84	–
Estimated savings per Section 3.60(b)	–	–	–85
Prior year balances available:			
Chapter 1042, Statutes of 1996	452	–	–
Totals Available	$2,040	$1,570	$1,592
Unexpended balance, estimated savings	–485	–	–
TOTALS, EXPENDITURES	$1,555	$1,570	$1,592

0293 Motor Carrier Safety Improvement Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$1,489	$1,187	$1,156
Allocation for employee compensation	65	2	–
Allocation for contingencies or emergencies	4	–	–
Adjustment per Section 3.60(a)	–9	–	–
Reduction per Section 3.60(b)	–69	–74	–
Estimated savings per Section 3.60(b)	–	–	–74
Prior year balances available:			
Chapter 1042, Statutes of 1996	480	–	–
Totals Available	$1,960	$1,115	$1,082
Unexpended balance, estimated savings	–906	–	–
TOTALS, EXPENDITURES	$1,054	$1,115	$1,082

0840 California Motorcyclist Safety Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation (expenditures)	$889	$1,121	$1,123

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	$5,481	$5,870	$5,966
Allocation for employee compensation	230	9	–
Adjustment per Section 3.60(a)	–	2	–
Reduction per Section 3.60(b)	–41	–	–
Budget adjustment	335	–	–
TOTALS, EXPENDITURES	$6,005	$5,881	$5,966

*** Dollars in thousands, except in Salary Range.**

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

0903 State Penalty Fund [n]

APPROPRIATIONS	1999–00*	2000–01*	2001–02*
012 Budget Act appropriation (transfer to California Motorcyclist Safety Fund) (expenditures)	–	($250)	($250)

0942 Hazardous Substance Account, Special Deposit Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$200	$200	$200
Unexpended balance, estimated savings	−166	–	–
TOTALS, EXPENDITURES	$34	$200	$200

0942 Asset Forfeiture Account, Special Deposit Fund [n]

APPROPRIATIONS			
011 Budget Act appropriation	$2,002	$2,002	$2,002
Unexpended balance, estimated savings	−1,032	–	–
TOTALS, EXPENDITURES	$970	$2,002	$2,002

0995 Reimbursements

Reimbursements	$52,871	$63,450	$57,486
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$906,897	$961,086	$980,415

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	1999–00*	2000–01*	2001–02*
Grants and subventions	–	$5,000	$7,000

RECONCILIATION WITH APPROPRATIONS
2 LOCAL ASSISTANCE
0001 General Fund

APPROPRIATIONS	1999–00*	2000–01*	2001–02*
101 Budget Act appropriation (expenditures)	–	$5,000	$7,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	$906,897	$966,086	$987,415

FUND CONDITION STATEMENT
0246 Protective Services Fund [n]

	1999–00*	2000–01*	2001–02*
BEGINNING RESERVES	$926	$779	$676
Prior year adjustments	−361	–	–
Balance, Adjusted	$565	$779	$676
REVENUES AND TRANSFERS			
Operating Revenues:			
299000 Income from operations	29,062	–	–
Totals, Revenues and Transfers	$29,062	–	–
Totals, Resources	$29,627	$779	$676
EXPENDITURES			
Disbursements:			
2720 California Highway Patrol (State Operations)	28,848	–	–
9900 Statewide General Administrative (Pro Rata) (State Operations)	–	103	–
Totals, Disbursements	$28,848	$103	–
FUND BALANCE	$779	$676	$676
Reserve for encumbrances	779	676	676

*** Dollars in thousands, except in Salary Range.**

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

0293 Motor Carrier Safety Improvement Fund [s]	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$1,944	$1,678	$2,252
REVENUES AND TRANSFERS			
Revenues:			
150300 Interest income from Surplus Money Investment Fund	107	110	115
Totals, Revenues	$107	$110	$115
Transfers from Other Funds:			
F00292 Motor Carrier Permit Fund per Revenue and Taxation Code Section 7236(b)	661	1,569	2,479
F00412 Transportation Rate Fund per Public Utilities Code Section 5003.1	20	10	10
Totals, Transfers from Other Funds	$681	$1,579	$2,489
Totals, Revenues and Transfers	$788	$1,689	$2,604
Totals, Resources	$2,732	$3,367	$4,856
EXPENDITURES			
Disbursements:			
2720 California Highway Patrol (State Operations)	1,054	1,115	1,082
FUND BALANCE	$1,678	$2,252	$3,774
Reserve for economic uncertainties	1,678	2,252	3,774

0840 California Motorcyclist Safety Fund [n]			
BEGINNING BALANCE	$703	$787	$872
Prior year adjustments	−12	–	–
Balance, Adjusted	$691	$787	$872
REVENUES AND TRANSFERS			
Operating Revenues:			
214000 Interest income from loans	56	52	52
216000 Motorcycle registration fees	925	900	900
Totals, Operating Revenues	$981	$952	$952
Transfers from Other Funds:			
F00044 Motor Vehicle Account, STF, Per Government Code Section 16475	4	4	4
F00903 State Penalty Fund per Chapter 610, Statutes of 1999	–	250	250
Totals, Transfers from Other Funds	$4	$254	$254
Totals, Revenues and Transfers	$985	$1,206	$1,206
Totals, Resources	$1,676	$1,993	$2,078
EXPENDITURES			
Disbursements:			
2720 California Highway Patrol (State Operations)	889	1,121	1,123
FUND BALANCE	$787	$872	$955

CHANGES IN AUTHORIZED POSITIONS	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Totals, Authorized Positions	9,833.6	10,362.0	10,347.3	$588,229	$623,966	$629,164
Salary adjustments	–	–	–	–	67	137
Totals, Adjusted Authorized Positions	9,833.6	10,362.0	10,347.3	$588,229	$624,033	$629,301
Workload and Administrative Adjustments:						
Positions Abolished:				Salary Range		
Communications Opr II	–	−23.0	−23.0	2,681–3,259	−740	−740
Info Sys Techn	–	−3.0	−3.0	2,207–3,168	−79	−79
Auto Techn I	–	−1.0	−1.0	2,617–3,119	−31	−31
Ofc Asst-Typing	–	−1.0	−1.0	1,908–2,466	−23	−23
Totals, Workload and Administrative Adjustments	–	−28.0	−28.0	–	−$873	−$873

*** Dollars in thousands, except in Salary Range.**

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

	99–00	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Proposed New Positions:				Salary Range		
Assoc Pers Analyst	–	–	1.0	$3,915–4,758	–	$50
Ofcr, California Hwy Patrol [1]	–	–	92.0	3,692–4,488	–	5,202
Acctg Ofcr, Spec	–	–	1.0	3,418–4,115	–	45
Motor Carrier Spec I	–	–	16.0	3,588–4,113	–	723
Auto Techn I	–	–	1.0	2,617–3,119	–	34
Acctg Techn	–	–	0.5	2,348–2,855	–	16
Overtime	–	–	–	–	–	793
Totals, Proposed New Positions	–	–	111.5	–	–	$6,863
Total Adjustments	–	−28.0	83.5	–	−$806	$6,127
TOTALS, SALARIES AND WAGES	9,833.6	10,334.0	10,430.8	$588,229	$623,160	$635,291

[1] 6.0 positions effective through 6/30/02.

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
50 CAPITAL OUTLAY			
PROGRAM ELEMENTS			
Major Projects			
50.15 WILLOWS			
50.15.115 Building Alterations	$174 PWs	$1,299 Cs	–
50.16 WILLIAMS			
50.16.106 Replacement Facility B	–	818 APs	$3,174 WCs
Provides for the construction of a 10,416 square foot area office replacement facility.			
50.20 SOUTH SACRAMENTO			
50.20.200 Building Alterations	202 PWs	1,459 Cs	–
50.21 SOUTH LAKE TAHOE			
50.21.207 New Facility	271 APs	2,372 WCs	–
50.29 SACRAMENTO TRAFFIC MANAGEMENT CENTER			
50.29.209 Equipment for Sacramento Traffic Management Center	627 Es	–	–
50.55 EAST LOS ANGELES			
50.55.505 Purchase of Leased Facility	7,435 Ls	–	–
50.56 LOS ANGELES REGIONAL TRANSPORTATION MANAGEMENT CENTER			
50.56.506 Equipment for Transportation Management Center	1,800 Es	3,007 Es	–
50.57 SANTA FE SPRINGS			
50.57.507 Replacement Facility	–	–	1,985 APs
Provides for the construction of a 20,341 square foot area office replacement facility			
50.61 SAN GORGONIO PASS			
50.61.610 Purchase of Leased Facility	3,577 Ls	–	–
50.62 SAN DIEGO			
50.62.602 Building Alterations	–	–	174 PWs
50.69 EL CAJON			
50.69.609 Building Alterations	446 PWs	1,020 Cs	–
50.73 MONTEREY			
50.73.703 New Facility	362 SPs	1,493 AWs	4,241 Cs
50.90 STATEWIDE			
50.90.900 Property Options and Appraisals	3	20	20
50.90.901 Studies, Preplanning and Budget Packages	40	100	100
Totals, Major Projects	$14,937	$11,588	$9,694
Minor Projects			
50.01.001 Minor Projects	$1,000	–	–
TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY	$15,937	$11,588	$9,694

*** Dollars in thousands, except in Salary Range.**

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
0042 State Highway Account	*$1,800*	*$3,007*	–
0044 Motor Vehicle Account, State Transportation Fund	*3,125*	*8,581*	*$9,694*
0660 Public Buildings Construction Fund	*11,012*	–	–

RECONCILIATION WITH APPROPRIATIONS
3 CAPITAL OUTLAY
0042 State Highway Account, State Transportation Fund [s]

APPROPRIATIONS			
301 Budget Act appropriation	$4,807	–	–
Prior year balances available:			
Item 2720-301-0042, Budget Act of 1999	–	$3,007	–
Totals Available	$4,807	$3,007	–
Balance available in subsequent years	−3,007	–	–
TOTALS, EXPENDITURES	$1,800	$3,007	–

0044 Motor Vehicle Account, State Transportation Fund [s]

APPROPRIATIONS			
301 Budget Act appropriation	$5,833	$7,393	$9,694
Prior year balances available:			
Item 2720-301-0044, Budget Act of 1997	689	62	–
Item 2720-301-0044, Budget Act of 1999	–	1,188	–
Transfers to and from Government Code Section 16351.5 and 16352	–	−62	–
Totals Available	$6,522	$8,581	$9,694
Balance available in subsequent years	−1,250	–	–
Unexpended balance, estimated savings	−2,147	–	–
TOTALS, EXPENDITURES	$3,125	$8,581	$9,694

0660 Public Buildings Construction Fund [n]

APPROPRIATIONS			
301 Budget Act appropriation	$11,214	–	–
Prior year balances available:			
Item 2720-301-0660, Budget Act of 1999	–	$202	–
Transfers to and from Government Code Section 16351.5 and 16352	–	−202	–
Totals Available	$11,214	–	–
Balance available in subsequent years	−202	–	–
TOTALS, EXPENDITURES	$11,012	–	–
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	$15,937	$11,588	$9,694

2740 DEPARTMENT OF MOTOR VEHICLES

The Department of Motor Vehicles' objectives are: (1) to protect the public interest in vehicle and vessel ownership, to provide various revenue collection services for state and local agencies and to provide miscellaneous registration-related services through the vehicle and vessel registration and titling process; (2) to promote highway safety and financial responsibility by regulating the issuance and retention of driver licenses and to provide personal identification services to drivers and nondrivers; and (3) to provide public protection by licensing and regulating occupations and businesses related to the manufacture, transport, sale and disposal of vehicles and occupations and businesses related to the instruction of drivers in the safe operation of vehicles on the highways.

Authority

Vehicle Code, Division 2, Chapters 1 and 6.

SUMMARY OF PROGRAM REQUIREMENTS	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
11 Vehicle / Vessel Identification and Compliance	4,204.8	4,443.3	4,286.8	$342,298	$380,371	$385,216
22 Driver Licensing and Personal Identification	2,145.3	2,168.3	2,200.6	165,358	164,907	173,247
25 Driver Safety	1,201.4	1,177.9	1,193.7	75,031	81,179	84,768
32 Occupational Licensing and Investigative Services	429.1	480.7	487.3	32,623	34,061	35,784

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
35 New Motor Vehicle Board	22.8	22.8	22.8	$1,365	$1,598	$1,615
41 Administration	600.7	624.1	639.5	73,049	76,923	80,975
Distributed Administration	–	–	–	–73,049	–76,923	–80,975
TOTALS, PROGRAMS	8,604.1	8,917.1	8,830.7	$616,675	$662,116	$680,630
0001 General Fund				*4,082*	*16,394*	*5,771*
0042 State Highway Account, State Transportation Fund				*37,429*	*39,814*	*42,025*
0044 Motor Vehicle Account, State Transportation Fund				*320,575*	*337,392*	*343,721*
0054 New Motor Vehicle Board Account				*1,365*	*1,598*	*1,615*
0064 Motor Vehicle License Fee Account, Transportation Tax Fund				*234,558*	*250,911*	*268,841*
0292 Motor Carriers Permit Fund				*3,016*	*2,661*	*2,660*
0516 Harbors and Watercraft Revolving Fund				*3,901*	*1,787*	*4,685*
0890 Federal Trust Fund				*10*	*–*	*–*
0995 Reimbursements				*11,739*	*11,559*	*11,312*

11 VEHICLE / VESSEL IDENTIFICATION AND COMPLIANCE

Program Objectives Statement

This program establishes identification and ownership of vehicles and vessels of California residents, assures compliance with various related laws, collects revenue for various state and local government programs, and provides information from vehicle and vessel records. Consistent with these objectives, the department participates in the International Registration Plan that provides for the proration of commercial vehicle fees to the member states and provinces of Canada.

Major Budget Adjustments Included in 2000–01

- An increase of $3,384,000 and 48.2 personnel years to implement Chapter 861, Statutes of 2000, which modified commercial vehicle fees to conform to the International Registration Plan by eliminating weight fees on trailers and leasing fees on motor units on gross vehicle weight (maximum allowed loading) instead of unloaded weight.
- An increase of $9,372,000 and 69.4 personnel years for the vehicle license fee rebates required by Chapter 455, Statutes of 2000.
- A carryover of funds from Chapter 31, Statutes of 2000, of $6,962,000 (an increase of $2,272,000 and 32.2 personnel years) to complete the rebate of smog fees.

Major Budget Adjustments Proposed for 2001–02

- An increase of $7,643,000 for program maintenance to compensate for cost increases.
- An increase of $4,569,000 for the third year costs of replacing the department's current accounting system.
- An increase of $2,775,000 to fund increasing workers' compensation costs.
- An increase of $192,000 for the Enterprise Alternative Procurement for independent project oversight of the legacy data processing systems replacement project.
- An increase of $7,476,000 and 15.6 personnel years for driver's license fraud prevention and investigation.
- An increase of $5,711,000 and 77.1 personnel years to implement Chapter 455, Statutes of 2000 which requires the department to calculate a vehicle license fee rebate for each fee with a final due date in calendar years 2001 and 2002.
- An increase of $3,996,000 and 26.1 personnel years related to Chapter 861, Statutes of 2000 (SB 2084) which will establish a new method of registering California's commercial vehicles.

Authority

Vehicle Code, Division 3; 3.5; 16.5, Chapter 1 and 2; and 16.7. Revenue and Tax Code, Division 2, Part 5.

22 DRIVER LICENSING AND PERSONAL IDENTIFICATION

Program Objectives Statement

This program issues identifying documentation to individuals who are eligible drivers and personal identification to other individuals. Activities in this program include application review, photography, fees collection and response to information requests. The program also promotes the financial responsibility of vehicle owners and operators.

Major Budget Adjustments Proposed for 2001–02

- An increase of $3,413,000 and 7.9 personnel years for driver's license fraud prevention and investigation.
- An increase of $3,489,000 for program maintenance to compensate for cost increases.
- An increase of $2,086,000 for the third year costs of replacing the department's current accounting system.
- An increase of $1,267,000 to fund increasing worker's compensation costs.
- An increase of $87,000 for the Enterprise Alterative Procurement for independent project oversight of the legacy data processing systems replacement project.

Authority

Vehicle Code, Divisions 6; 7; and 10, Sections 20012 and 20014.

25 DRIVER SAFETY

Program Objectives Statement

This program promotes highway safety by screening driver license applicants for driving competency, and regulation, control and improvement of drivers who become safety risks. Driver license applicants are required to demonstrate: (1) knowledge and understanding of safe driving principles and the laws governing the operation of vehicles on the highways; (2) the skill to drive within reasonably safe standards; and (3) adequate and/or compensating vision efficiency for the safe operation of vehicles. Driver control programs are maintained at hearing points throughout the state and include both those in which driver control actions are mandated by statute and in which action is determined administratively.

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

Major Budget Adjustments Proposed for 2001–02

- An increase of $1,724,000 for program maintenance to compensate for cost increases.
- An increase of $1,030,000 for the third year costs of replacing the department's current accounting system.
- An increase of $626,000 for workers' compensation staff benefits.
- An increase of $43,000 for the Enterprise Alternative Procurement for independent project oversight of the legacy data processing systems replacement project.
- An increase of $1,686,000 and 4.0 personnel years for driver's license fraud prevention and investigation.

Authority

Vehicle Code, Divisions 6; 7; and 10, Sections 20012 and 20014.

32 OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES

Program Objectives Statement

This program provides consumer protection by licensing and regulating principal segments of motor vehicle-related businesses and enforcing laws within the department's jurisdiction.

Major Budget Adjustments Proposed for 2001–02

- An increase of $720,000 for program maintenance to compensate for cost increases.
- An increase of $430,000 for the third year costs of replacing the department's current accounting system.
- An increase of $261,000 for workers' compensation staff benefits.
- An increase of $18,000 for the Enterprise Alternative Procurement for independent project oversight of the legacy data processing systems replacement project.
- An increase of $704,000 and 1.6 personnel years for driver's license fraud prevention and investigation.

Authority

Vehicle Code, Division 5.

35 NEW MOTOR VEHICLE BOARD

Program Objectives Statement

The primary objective of this program is (1) to prohibit manufacturers from adding, withdrawing or relocating, automobile dealerships in market areas of existing franchisees, where such effect would be injurious to the existing franchisees and to the public interest, and (2) to protect members of the public from the activities of dishonest or unqualified motor vehicle licensees.

The New Motor Vehicle Board is a quasi-judicial tribunal that adjudicates disputes that arise between new motor vehicle franchisees and their respective franchisors concerning rights or obligations afforded by statute or by virtue of the franchise relationship between the parties. The Board hears and considers: protests filed by new motor vehicle dealers against the proposed termination or modification of, or refusal to continue, the franchise; protests on the proposed establishment or relocation of dealerships; disputes concerning dealer delivery preparation obligations and the compensation thereof; and disputes which arise as a result of the warranty relationship which exists between the dealers and their respective franchisors. The Board also hears and considers virtually every other type of dispute arising between a dealer and manufacturer or distributor concerning the franchise relationship. Further, the Board hears appeals on final decisions of the Director of the Department of Motor Vehicles. Finally, the Board mediates disputes that arise between consumers of new motor vehicles and the dealers and/or manufacturers or distributors from which the vehicles are acquired.

41 ADMINISTRATION

Major Budget Adjustment Included in 2000–01

- An increase of $207,000 to implement Chapter 861, Statutes of 2000, which modified commercial vehicle registration and fees.

Major Budget Adjustments Proposed for 2001–02

- An increase of $974,000 for the third year costs of replacing the department's current accounting system.
- An increase of $591,000 for workers' compensation staff benefits.
- An increase of $41,000 for the Enterprise Alternative Procurement for independent project oversight of the legacy data processing sysetms replacement project.
- An increase of $72,000 for janitorial cost increases.
- An increase of $1,594,000 and 2.2 personnel years for driver's license fraud prevention and investigation.
- An increase of $336,000 and 4.8 personnel years to implement Chapter 455, Statutes of 2000 which requires the department to calculate a vehicle license fee rebate for each fee with a final due date in calendar years 2001 and 2002.
- An increase of $235,000 and 1.6 personnel years related to Chapter 861, Statutes of 2000 which will establish a new method of registering California's commercial vehicles.

Authority

Vehicle Code, Division 2, Chapter 6.

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS
11 VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE

State Operations:	*1999–00**	*2000–01**	*2001–02**
0001 General Fund	*$4,022*	*$16,334*	*–*
0042 State Highway Account, State Transportation Fund	*37,429*	*39,814*	*$42,025*
0044 Motor Vehicle Account, State Transportation Fund	*50,285*	*59,612*	*57,421*
0064 Motor Vehicle License Fee Account, Transportation Tax Fund	*234,558*	*250,911*	*268,841*
0292 Motor Carriers Permit Fund	*3,016*	*2,661*	*2,660*
0516 Harbors and Watercraft Revolving Fund	*3,901*	*1,787*	*4,685*
0995 Reimbursements	*9,087*	*9,252*	*9,584*
Totals, State Operations	$342,298	$380,371	$385,216

PROGRAM REQUIREMENTS
22 DRIVER LICENSING AND PERSONAL IDENTIFICATION

State Operations:			
0001 General Fund	*$60*	*$60*	*$5,771*
0044 Motor Vehicle Account, State Transportation Fund	*163,611*	*163,045*	*165,770*
0890 Federal Trust Fund	*10*	*–*	*–*
0995 Reimbursements	*1,677*	*1,802*	*1,706*
Totals, State Operations	$165,358	$164,907	$173,247

PROGRAM REQUIREMENTS
25 DRIVER SAFETY

State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*$74,207*	*$80,753*	*$84,752*
0995 Reimbursements	*824*	*426*	*16*
Totals, State Operations	$75,031	$81,179	$84,768

PROGRAM REQUIREMENTS
32 OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES

State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	*$32,472*	*$33,982*	*$35,778*
0995 Reimbursements	*151*	*79*	*6*
Totals, State Operations	$32,623	$34,061	$35,784

PROGRAM REQUIREMENTS
35 NEW MOTOR VEHICLE BOARD

State Operations:			
0054 New Motor Vehicle Board Account	*$1,365*	*$1,598*	*$1,615*
Totals, State Operations	$1,365	$1,598	$1,615
TOTALS, EXPENDITURES	$616,675	$662,116	$680,630

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	8,604.1	9,234.4	9,153.4	$325,497	$356,282	$361,333
Total Adjustments	–	154.8	148.1	–	4,582	6,151
Estimated Salary Savings	–	–472.1	–470.8	–	–17,370	–17,300
Net Totals, Salaries and Wages	8,604.1	8,917.1	8,830.7	$325,497	$343,494	$350,184
Staff Benefits	–	–	–	80,010	79,088	82,259
Totals, Personal Services	8,604.1	8,917.1	8,830.7	$405,507	$422,582	$432,443
OPERATING EXPENSES AND EQUIPMENT				$211,252	$239,536	$248,188
SPECIAL ITEMS OF EXPENSE				–84	–2	–1
TOTALS, EXPENDITURES				$211,168	$239,534	$248,187
NET TOTALS, EXPENDITURES				$616,675	$662,116	$680,630

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$60	$60	$5,771
Allocation for contingencies or emergencies	–	9,372	–
Chapter 31, Statutes of 2000	10,984	–	–
Prior year balances available:			
Chapter 31, Statutes of 2000	–	6,962	–
Totals Available	$11,044	$16,394	$5,771
Balance available in subsequent years	−6,962	–	–
TOTALS, EXPENDITURES	$4,082	$16,394	$5,771

0042 State Highway Account, State Transportation Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$35,677	$39,782	$42,025
Allocation for employee compensation	1,990	228	–
Allocation for contingencies or emergencies	731	–	–
Allocation for Year 2000 per Item 9904-001-0494	161	–	–
Adjustment per Section 3.60	−1,148	−197	–
Adjustment per Section 4.60 (Rental Rate)	–	1	–
Adjustment per Section 16.00	18	–	–
TOTALS, EXPENDITURES	$37,429	$39,814	$42,025

0044 Motor Vehicle Account, State Transportation Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$311,107	$333,726	$343,585
011 Budget Act appropriation (deficiencies)	(1,000)	(1,000)	(1,000)
Allocation for employee compensation	17,001	1,926	–
Allocation for contingencies or emergencies	990	–	–
Allocation for Year 2000 per Item 9904-001-0494	1,396	–	–
Adjustment per Section 3.60	−9,958	−1,649	–
Adjustment per Section 4.60 (Rental Rate)	–	5	–
Adjustment per Section 16.00	159	–	–
Transfer to Legislative Claims (9670)	−7	−1	–
Chapter 861, Satutes of 2000	–	3,521	–
Prior year balances available:			
Chapter 861, Statutes of 2000	–	–	136
Totals Available	$320,688	$337,528	$343,721
Balance available in subsequent years	–	−136	–
Unexpended balance, estimated savings	−113	–	–
TOTALS, EXPENDITURES	$320,575	$337,392	$343,721

0054 New Motor Vehicle Board Account [s]

APPROPRIATIONS			
001 Budget Act appropriation	$1,499	$1,606	$1,615
Allocation for employee compensation	93	–	–
Adjustment per Section 3.60	−94	−8	–
Totals Available	$1,498	$1,598	$1,615
Unexpended balance, estimated savings	−133	–	–
TOTALS, EXPENDITURES	$1,365	$1,598	$1,615

0064 Motor Vehicle License Fee Account, Transportation Tax Fund [s]

APPROPRIATIONS			
001 Budget Act appropriation	$223,546	$250,710	$268,841
Allocation for employee compensation	12,463	1,436	–
Allocation for contingencies or emergencies	4,583	–	–
Allocation for Year 2000 per Item 9904-001-0494	1,004	–	–
Adjustment per Section 3.60	−7,153	−1,239	–
Adjustment per Section 4.60 (Rental Rate)	–	4	–
Adjustment per Section 16.00	115	–	–
TOTALS, EXPENDITURES	$234,558	$250,911	$268,841

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

0292 Motor Carriers Permit Fund [s]

APPROPRIATIONS	*1999–00**	*2000–01**	*2001–02**
001 Budget Act Appropriation	$2,865	$2,658	$2,660
Allocation for employee compensation	245	16	–
Adjustment per Section 3.60	–94	–13	–
TOTALS, EXPENDITURES	$3,016	$2,661	$2,660

0516 Harbors and Watercraft Revolving Fund [n]

APPROPRIATIONS			
001 Budget Act appropriation	$3,754	$1,786	$4,685
Allocation for employee compensation	260	10	–
Adjustment per Section 3.60	–113	–9	–
TOTALS, EXPENDITURES	$3,901	$1,787	$4,685

0890 Federal Trust Fund

APPROPRIATIONS			
Budget Adjustment	$10	–	–
Totals, Expenditures	$10	–	–

0995 Reimbursements

Reimbursements	$11,739	$11,559	$11,312
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$616,675	$662,116	$680,630

FUND CONDITION STATEMENT

0044 Motor Vehicle Account, State Transportation Fund [s 1]

		*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE		$275,920	$299,414	$312,265
Prior year adjustments		–6,114	–	–
Balance, Adjusted		$269,806	$299,414	$312,265
REVENUES AND TRANSFERS				
Revenues:				
114100	Motor vehicle registration (and other fees)	980,687	1,008,000	991,000
114200	Driver's license fees	139,560	141,691	98,026
114300	Other motor vehicle fees	42,097	46,166	48,951
114400	Identification card fees	7,640	7,969	8,312
120900	Off-highway vehicle fees (registration and other fees)	2,451	2,524	2,600
125700	Other regulatory licenses and permits	13,435	13,500	13,500
142500	Miscellaneous services to the public (sale of information)	57,041	56,305	55,579
150300	Income from surplus money investments	18,627	15,919	13,989
161400	Miscellaneous revenue	14,624	14,482	14,786
Totals, Revenues		$1,276,162	$1,306,556	$1,246,743
Transfers from Other Funds:				
F00001	General Fund per *PECG* vs *Wilson II* Judgment	130,000	–	–
F00140	California Environmental License Plate Fund per Section 21191(b), Public Resources Code	3,890	3,890	3,890
Totals, Transfers from Other Funds		$133,890	$3,890	$3,890
Transfers to Other Funds:				
T00001	General Fund per Chapter 85, Statutes of 1999 (*PECG* vs *Wilson II*)	–162,931	–	–
T00001	General Fund Transfer GC Section 16475	–91	–62	–41
T00042	State Highway Account, SMIF INT Transfer GL 16475	–3,048	–3,050	–3,050
T00064	Vehicle License Fee Account, SMIF Interest Transfer GL Code 16475	–10,175	–7,219	–5,552
T00292	Motor Carrier Permit, SMIF Interest Transfer GL Code 16475	–46	–43	–43
T00840	Calif Motorcycle Safety Fund, SMIF Interest Transfer GL 16475	–4	–4	–4
T00140	Environmental License Plate Fund, SMIF Interest Transfer GL 16475	–124	–124	–124
T00263	Off Highway Vehicle Trust, SMIF Interest Transfer GL 16475	–7	–7	–7
T00261	Off Highway License Fee, SMIF Interest Transfer GL 16475	–3	–3	–3
Totals, Transfers to Other Funds		–$176,429	–$10,512	–$8,824
Totals, Revenues and Transfers		$1,233,623	$1,299,934	$1,241,809
Totals, Resources		$1,503,429	$1,599,348	$1,554,074

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

	1999–00*	2000–01*	2001–02*
EXPENDITURES			
Disbursements:			
0250 Judicial Council (State Operations)	$97	$136	$134
0520 Secretary, Business, Transportation and Housing (2030) (State Operations)	638	962	952
0555 Secretary for Environmental Protection (3895) (State Operations)	1,027	496	534
0820 Department of Justice (State Operations)	18,413	18,819	19,255
1730 Franchise Tax Board (State Operations)	1,720	2,903	1,771
2700 Office of Traffic Safety (State Operations)	326	360	371
2720 Department of the California Highway Patrol:			
State Operations	791,006	863,256	889,017
Capital Outlay	3,125	8,581	9,694
2740 Department of Motor Vehicles:			
State Operations	320,575	337,392	343,721
Capital Outlay	4,647	10,587	5,475
3360 State Energy Resources Conservation and Development Commission (State Operations)	117	119	120
3900 Air Resources Board:			
State Operations	52,969	58,153	59,053
Local Assistance	7,511	15,111	15,111
4260 Department of Health Services (State Operations)	811	831	945
9670 Legislative Claims, State Board of Control (State Operations)	1,033	2,892	–
Totals, Disbursements	$1,204,015	$1,320,598	$1,346,153
Expenditure Reduction:			
2720 California Highway Patrol (State Operations):			
Less funding provided by the General Fund	–	−33,515	−29,719
Totals, Expenditures	$1,204,015	$1,287,080	$1,316,434
FUND BALANCE	$299,414	$312,265	$237,640
Reserve for economic uncertainties	299,414	312,265	237,640

0054 New Motor Vehicle Board Account [s]

BEGINNING BALANCE	$2,947	$1,987	$1,664
Prior year adjustments	−21	–	–
Balance, Adjusted	$2,926	$1,987	$1,664
REVENUES AND TRANSFERS			
Revenues:			
121300 New motor vehicle dealer license fee	394	1,245	1,450
142500 Miscellaneous services to the public	29	30	37
161400 Miscellaneous revenue	3	–	–
Totals, Revenues	$426	$1,275	$1,487
Totals, Resources	$3,352	$3,262	$3,151
EXPENDITURES			
Disbursements:			
2740 Department of Motor Vehicles (State Operations)	1,365	1,598	1,615
FUND BALANCE	$1,987	$1,664	$1,536
Reserve for economic uncertainties	1,987	1,664	1,536

0064 Motor Vehicle License Fee Account, Transportation Tax Fund [s 1]

BEGINNING BALANCE	–	–	–
Prior year adjustments	$19,054	–	–
Balance, Adjusted	$19,054	–	–
REVENUES AND TRANSFERS			
Revenues:			
113600 Motor vehicle license (in-lieu) fees	2,482,125	$2,415,460	$2,615,450
150300 Income from surplus money investments	11,953	11,953	16,953
Totals, Revenues	$2,494,078	$2,427,413	$2,632,403

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

	1999–00*	2000–01*	2001–02*
Transfers:			
Transfers From:			
F00044 Motor Vehicle Account, SHA SMIF Interest Transferred Gov't Code Section 16475	$10,175	$7,219	$5,552
Totals, Transfers from Other Funds	$10,175	$7,219	$5,552
Total, Revenues and Transfers	$2,504,253	$2,434,632	$2,637,955
Totals, Resources	$2,523,307	$2,434,632	$2,637,955
EXPENDITURES			
Disbursements:			
1730 Franchise Tax Board (State Operations)	3,232	5,456	3,359
2740 Department of Motor Vehicles:			
State Operations	234,558	250,911	268,841
Capital Outlay	2,801	7,190	4,004
9670 Legislative Claims, State Board of Control (State Operations)	–	–	–
Totals, Disbursements	$240,591	$263,557	$276,204
Apportionments:			
9430 Shared Revenues (Local Assistance) to cities and counties	3,283,574	3,577,672	3,812,072
Expenditure Reduction:			
9430 Shared Revenue (Local Assistance):			
Less funding provided by the General Fund	–1,000,858	–1,406,597	–1,450,321
Net Totals, Disbursements (Local Assistance—Shared Revenues)	$2,282,716	$2,171,075	$2,361,751
Totals, Expenditures	$2,523,307	$2,434,632	$2,637,955
FUND BALANCE	–	–	–

[1] This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

0292 Motor Carriers Permit Fund [s]

BEGINNING BALANCE	$6,872	$7,388	$8,242
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits	8,862	9,771	10,680
150300 Income from surplus money investments	437	437	437
Totals, Revenues	$9,299	$10,208	$11,117
Transfers from Other Funds:			
F00044 Motor Vehicle Account,SHA SMIF Interest Transfer Government Code 16475	46	43	43
Totals, Transfers from Other Funds	$46	$43	$43
Transfers to Other Funds:			
T00001 General Fund (Uniform Business License) per Revenue and Taxation Code Section 7236	–3,597	–3,597	–3,597
T00293 Motor Carrier Safety Improvement Fund per Revenue and Taxation Code Section 7236	–661	–1,569	–2,479
Totals, Transfer to Other Funds	–$4,258	–$5,166	–$6,076
Totals, Revenues and Transfers	$5,087	$5,085	$5,084
Totals, Resources	$11,959	$12,473	$13,326
EXPENDITURES			
Disbursements:			
2720 California Highway Patrol Safety Enforcement Program (State Operations)	1,555	1,570	1,592
2740 Department of Motor Vehicles (State Operations)	3,016	2,661	2,660
Totals, Expenditures	$4,571	$4,231	$4,252
FUND BALANCE	$7,388	$8,242	$9,074
Reserve for economic uncertainties	7,388	8,242	9,074

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

0487 Financial Responsibility Penalty Account [s]	1999–00*	2000–01*	2001–02*
BEGINNING BALANCE	$2,827	$2,569	$2,569
REVENUES AND TRANSFERS			
Revenues:			
164100 Traffic violations	2,542	2,542	2,542
Transfers to Other Funds:			
T00001 General Fund per Vehicle Code Section 16072(c)	−2,800	−2,542	−2,542
Totals, Revenues and Transfers	−$258	$0	$0
Totals, Resources	$2,569	$2,569	$2,569
FUND BALANCE	$2,569	$2,569	$2,569
Reserve for economic uncertainties	2,569	2,569	2,569

CHANGES IN AUTHORIZED POSITIONS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Totals, Authorized Positions	8,604.1	9,234.4	9,153.4	$325,497	$356,282	$361,333
Salary adjustments	–	–	–	–	171	143
Totals, Adjusted Authorized Positions	8,604.1	9,234.4	9,153.4	$325,497	$356,453	$361,476
Workload and Administrative Adjustments:						
Reductions in Authorized Positions:						
Administrative Services Division:						
Departmental Training Branch:				Salary Range		
Temporary Help	–	−0.3	–	–	−10	–
Financial Services Branch:						
Temporary Help	–	–	–	–	−5	–
Facilities Operations/Management Support Branch:						
Temporary Help	–	–	–	–	−16	–
Human Resources Branch:						
Temporary Help	–	−0.3	–	–	−9	–
Business and Administrative Support Branch:						
Temporary Help	–	−0.4	–	–	−16	–
Communication Program Division:						
Customer Information Branch:						
Overtime	–	–	–	–	−29	–
Licensing Operations Division:						
Driver License Operations Branch:						
Temporary Help	–	−0.3	–	–	−9	–
Registration Operations Division:						
Registration Policy/Automation Branch:						
Motor Vehicle Techn	–	–	−1.0	2,180–2,855	–	−25
Registration Services Branch:						
Motor Vehicle Techn	–	–	−1.0	2,180–2,855	–	−25
Temporary Help	–	−16.5	–	–	−592	–
Totals	–	−17.8	−2.0	–	−$686	−$50
Field Operations Division:						
General Administration:						
Motor Vehicle Fld Rep (B)	–	–	−22.0	2,029–2,855	–	−576
Temporary Help	–	–	–	–	−10	–
Totals	–	–	−22.0	–	−$10	−$576
Information System Division:						
Management Information Systems Branch:						
Miscellaneous	–	−6.7	–	–	−352	–
Totals, Workload and Administrative Adjustments	–	−24.5	−24.0	–	−$1,048	−$626
Proposed New Positions:						
Investigations and Audits Division:						
Investigations Branch:						
Investigator, DMV	–	–	12.0	3,307–4,999	–	511
Administrative Services Division:						
Financial Services Branch:						
Assoc Admin Analyst [1, 15]	–	1.0	2.0	4,110–4,997	49	111
Acctg Ofcr [2, 16]	–	0.5	3.0	3,418–4,155	21	138
Accountant Trainee [17, 18]	–	–	1.0	2,883–3,338	–	37
Acctg Techn [19]	–	–	2.0	2,348–2,855	–	62

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

	99–00	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Key Data Opr [16, 20, 21]	–	–	4.0	$1,916–2,648	–	$97
Temporary Help [22, 23, 24]	–	5.8	1.0	–	$203	46
Overtime	–	–	–	–	45	88
Miscellaneous	–	–	–	–	–	254
Totals	–	7.3	13.0	–	$318	$833
Facilities Operations/Management Support Branch:						
Temporary Help	–	0.9	–	–	–	–
Human Resources Branch:						
Temporary Help [13, 14]	–	0.4	0.7	–	13	24
Communication Program Division:						
Customer Information Branch:						
Temporary Help	–	0.1	–	–	3	–
Overtime	–	–	–	–	–	3
Totals	–	0.1	–	–	$3	$3
Industry Operations Division:						
International Registration Plan:						
Assoc Govtl Prog Analyst [5]	–	0.5	0.5	3,915–4,759	23	27
Mgr I [15, 25]	–	–	1.8	2,970–3,609	–	72
Sr Motor Vehicle Techn [20, 28]	–	–	3.4	2,626–3,193	–	118
Motor Vehicle Techn (B) [3, 4, 7, 26, 27]	–	14.5	50.6	2,348–2,855	409	1,599
Ofc Techn [6]	–	0.5	–	2,348–2,855	14	–
Temporary Help [8, 9, 14, 15, 29, 30]	–	34.7	1.9	–	1,121	72
Overtime	–	–	–	–	1	49
Totals	–	50.2	58.2	–	$1,568	$1,937
Registration Operations Division:						
Registration Policy/Automation Branch:						
Mgr III [10]	–	1.5	1.5	3,917–4,761	71	81
Temporary Help [34]	–	1.1	–	–	39	–
Overtime	–	–	–	–	–	67
Totals	–	2.6	1.5	–	$110	$148
Registration Services Branch:						
Mgr III [17]	–	–	1.0	3,917–4,761	–	52
Motor Vehicle Techn (B) [35, 36]	–	–	20.0	2,348–2,855	–	624
Ofc Asst (B) [1, 16, 37]	–	0.5	6.0	2,029–2,465	12	152
Temporary Help [38, 39]	–	20.3	1.2	–	602	35
Overtime	–	–	–	–	–	23
Miscellaneous	–	57.2	–	–	1,343	–
Totals	–	78.0	28.2	–	$1,957	$886
Field Operations Division:						
General Administration:						
Motor Vehicle Fld Rep (B) [1, 11]	–	25.5	47.0	2,180–2,855	667	1,385
Overtime	–	–	–	–	–	13
Temporary Help	–	0.1	–	–	–	–
Totals	–	25.6	47.0	–	$667	$1,398
Information Systems Division:						
Management Information Systems Branch:						
Sys Software Spec II [15]	–	–	1.0	4,949–6,015	–	66
Staff Info Sys Analyst [12, 15]	–	3.5	4.5	4,507–5,480	189	277
Staff Programmer Analyst [15]	–	–	1.0	4,507–5,480	–	60
Temporary Help [31]	–	8.2	–	–	477	–
Overtime	–	–	–	–	20	55
Miscellaneous	–	–	–	–	–	163
Totals	–	11.7	6.5	–	$686	$621
Customer Services Branch:						
Assoc Info Sys Analyst [32]	–	–	5.0	4,110–4,997	–	273
Temporary Help [33]	–	2.5	–	–	137	–
Totals	–	2.5	5.0	–	$137	$273
Totals, Proposed New Positions	–	179.3	172.1	–	$5,459	$6,634
Totals, Adjustments	–	154.8	148.1	–	$4,582	$6,151
TOTALS, SALARIES AND WAGES	8,604.1	9,389.2	9,301.5	$325,497	$360,864	$367,484

[1] 2.0 positions effective 1/1/01; limited-term 6/30/02.
[2] 1.0 position effective 1/1/01.
[3] 11.0 positions effective 1/1/01; limited-term 6/30/01.
[4] 5.0 positions effective 1/1/01.

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

[5] 1.0 position effective 1/1/01; limited-term 12/31/01.
[6] 1.0 position effective 1/1/01; limited-term 6/30/01.
[7] 13.0 positions effective 1/1/01; limited-term 6/30/02.
[8] 1.8 positions effective 1/1/01; limited-term 6/30/01.
[9] 0.2 position effective 1/1/01; limited-term 6/30/02.
[10] 3.0 positions effective 1/1/01; limited-term 12/31/01.
[11] 50.0 positions effective 1/1/01; limited-term 12/31/01.
[12] 7.0 positions effective 1/1/01; limited-term 12/31/01.
[13] 0.6 position limited-term 6/30/03.
[14] 0.1 position limited-term 6/30/02.
[15] 1.0 position limited-term 6/30/05.
[16] 2.0 positions limited-term 6/30/03.
[17] 1.0 position limited-term 6/30/03.
[18] 0.5 position effective 7/1/02; limited-term 6/30/03.
[19] 2.0 positions limited-term 6/30/02.
[20] 2.0 positions limited-term 6/30/04.
[21] 1.0 position effective 7/1/02; limited-term 6/30/03.
[22] 0.5 position limited-term 6/30/03.
[23] 0.5 position limited-term 6/30/02.
[24] 3.3 positions limited-term 6/30/01.
[25] 0.8 position limited-term 6/30/02.
[26] 19.3 positions limited-term 6/30/04.
[27] 13.3 positions limited-term 6/30/02.
[28] 1.4 positions limited-term 6/30/02.
[29] 32.0 positions limited-term 6/30/01.
[30] 0.6 position limited-term 6/30/04.
[31] 8.2 positions limited-term 6/30/01.
[32] 5.0 positions limited-term 6/30/05.
[33] 2.5 positions limited-term 6/30/01.
[34] 1.0 position limited-term 6/30/01.
[35] 10.0 positions limited-term 6/30/02.
[36] 10.0 positions limited-term 6/30/03.
[37] 3.0 positions limited-term 6/30/02.
[38] 18.6 positions limited-term 6/30/01.
[39] 1.2 positions limited-term 6/30/03.

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
71 CAPITAL OUTLAY			
PROGRAM ELEMENTS			
Major Projects			
71.03 SACRAMENTO HEADQUARTERS BUILDING			
71.03.015 7th Floor Asbestos Removal	–	$512 [Cs]	–
71.03.016 Lower Level: Asbestos Removal	$642 [Cs]	15 [Cs]	–
71.03.017 4th Floor Asbestos Removal	6,747 [Cs]	–	–
71.03.018 1st Floor Asbestos Removal and Seismic Retrofit	440 [Ps]	15,508 [WDCs]	–
71.03.019 3rd Floor Asbestos Removal and Seismic Retrofit	–	–	$200 [P]
71.22 BUDGET PACKAGES			
71.22.010 Studies, Preplanning and Budget Packages	–	100 [Ss]	100 [Ss]
71.37 OAKLAND-CLAREMONT			
71.37.010 Field Office Replacement	80 [Cs]	305 [Cs]	–
71.39 LONG BEACH			
71.39.010 Purchase of Leased Facility	–	4 [Cs]	–
71.43 STOCKTON			
71.43.010 Field Office Replacement [B]	–	511 [AP]	5,066 [WDCs]
This project will construct a 16,500 gsf facility to house a full service Field and Investigations Office.			
71.46 SAN YSIDRO			
71.46.010 Field Office Relocation [B]	–	1,954 [AP]	4,745 [WDCs]
This project will construct a 15,000 gsf facility to house a Field and Occupational Licensing Office.			
Totals, Major Projects	$7,909	$18,909	$10,111

*** Dollars in thousands, except in Salary Range.**

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999–00*	Estimated 2000–01*	Proposed 2001–02*
Minor Projects			
71.01 Minor Projects	–	–	–
TOTALS, EXPENDITURES, CAPITAL OUTLAY	$7,909	$18,909	$10,111
0042 State Highway Account, State Transportation Fund [s]	*461*	*1,132*	*632*
0044 Motor Vehicle Account, State Transportation Fund [s]	*4,647*	*10,587*	*5,475*
0064 Motor Vehicle License Fee Account Transportation Tax Fund [s]	*2,801*	*7,190*	*4,004*

RECONCILIATION WITH APPROPRIATIONS
3 CAPITAL OUTLAY
0042 State Highway Account, State Transportation Fund [s]

APPROPRIATIONS			
301 Budget Act appropriation (expenditures)	$461	$1,132	$632

0044 Motor Vehicle Account, State Transportation Fund [s]

APPROPRIATIONS			
301 Budget Act appropriation	$3,947	$9,751	$5,475
Transfers to and from Government Code Sections 16351.5 and 16352	78	–	–
Prior year balances available:			
Item 2740-301-0044, Budget Act of 1998	1,558	836	–
Totals Available	$5,583	$10,587	$5,475
Balance available in subsequent years	−836	–	–
Unexpended balance, estimated savings	−100	–	–
TOTALS, EXPENDITURES	$4,647	$10,587	$5,475

0064 Motor Vehicle License Fee Account, Transportation Tax Fund [s]

APPROPRIATIONS			
301 Budget Act appropriation (expenditures)	$2,801	$7,190	$4,004
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	$7,909	$18,909	$10,111

2780 STEPHEN P. TEALE DATA CENTER

The Stephen P. Teale Data Center assists California state agencies in meeting their business objectives by providing a cost-effective range of quality information technology services and products.

SUMMARY OF PROGRAM REQUIREMENTS	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
10 Service Bureau Operations	281.5	304.3	304.3	$82,343	$83,425	$80,270
20 Executive and Administrative Operations	77.7	82.6	82.6	6,690	6,709	7,164
00 Lease Revenue Bond Payments	–	–	–	–	–	4,928
TOTALS, PROGRAMS	359.2	386.9	386.9	$89,033	$90,134	$92,362
0683 Stephen P. Teale Data Center Revolving Fund				*88,780*	*90,134*	*92,362*
0995 Reimbursements				*253*	–	–

10 SERVICE BUREAU OPERATIONS

Program Objectives Statement

The Service Bureau ensures efficient use of Teale Data Center resources based on estimates of customer workload; provides information technology support to customer organizations; and is committed to providing Teale Data Center services and products to the managers and non-technical staff of customer departments.

Specific functions of the program are:

(1) Data Center Services—Provides data processing services 24 hours a day, 7 days a week, including the Multiple Virtual Storage systems, direct access storage devices, and tape media. Provides installation and maintenance of software and hardware ensuring system reliability, availability and serviceability. Provides customer support in the efficient use of the various platforms with specific attention to the satisfaction of Teale's customers.

(2) Enterprise Systems—Assists departments with building information technology systems that support and improve business processes. Applies new and emerging technologies, provides support for strategic databases, provides Virtual Memory and UNIX hardware/software support, provides geographic information system services, and supports customer needs for client/server and Personal Computer/Local Area Network services.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

2780 STEPHEN P. TEALE DATA CENTER—Continued

(3) Network Systems—Provides support for Teale's statewide telecommunications network (CSGnet), information security and operational recovery functions. Avails state agencies of products, services and support to enable the protection of information stored at Teale and accessed by state and other government employees, private sector businesses, and the public.

(4) Customer Relations/Marketing—Facilitates the resolution of complex business problems of Teale's customers and provides education regarding data center service offerings and new technologies. Acts as the principal liaison for customer concerns, communicates customers' business needs, and generates new business through education and marketing.

Major Budget Adjustment Included in 2000–01

- An increase of $1,088,000 to acquire additional UNIX system capacity to meet workload growth in customer use of this platform and an increase of $60,000 for overtime.

Major Budget Adjustments Proposed for 2001–02

- $1,227,000 to acquire additional central processing unit capacity to: 1) meet projected existing customer workload increases; 2) provide required capacity for proposed new customer projects; and 3) provide ongoing annual hardware maintenance and software licenses.
- $485,000 to acquire additional direct access storage device equipment to provide capacity required due to new and increased customer workload.
- $7,091,000 to acquire additional mid-range and internet web application server systems and $242,000 for overtime to: 1) meet increased customer use of client/server platforms; 2) address mandated data center consolidation requirements; and 3) accommodate increased capacity requirements driven by the development of eGovernment web-enabled applications.
- $198,000 in overtime resources to provide cost and feasibility estimates during alternative procurements that identify the data center as the infrastructure piece of a project's solution.
- A decrease of $4,750,000 for maintaining the new data center facility in Rancho Cordova. Due to the planned purchase of the facility, future bond debt repayments will be made directly from the Teale Data Center Revolving Fund (Item 2780-003-0683).
- A decrease of $6,626,000 to adjust Teale's baseline expenditure authority to align the budgets for previously approved projects with the actual costs.

Authority

Government Code Sections 11752, 11754, 13975.

20 EXECUTIVE AND ADMINISTRATIVE OPERATIONS

Program Objectives Statement

This program provides executive, technological, and administrative support to the Service Bureau to ensure the smooth operation of the line functions. Services include: project management, procurement, contract administration, budget, customer billing, training, personnel, legal guidance, facility management, fiscal and accounting, and general executive and administrative management services.

Authority

Government Code Sections 11752, 11754, 13975.

00 LEASE REVENUE BOND PAYMENTS

Program Objectives Statement

This program provides funding pursuant to Chapter 293, Statutes of 1999, for the purchase of the Center's currently leased facility. The State Public Works Board will issue revenue bonds to finance the acquisition of the facility. Debt service repayment will be made through Item 2780-003-0683.

Major Budget Adjustment Proposed for 2001–02

- $4,928,000 for debt service repayment.

Authority

Government Code Section 14669.14.

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	*99–00*	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Authorized Positions (Equals Sch. 7A)	359.2	401.0	401.0	$21,809	$24,780	$25,119
Total Adjustments	–	–	–	–	60	440
Estimated Salary Savings	–	–14.1	–14.1	–	–956	–962
Net Totals, Salaries and Wages	359.2	386.9	386.9	$21,809	$23,884	$24,597
Staff Benefits	–	–	–	3,799	3,577	3,406
Totals, Personal Services	359.2	386.9	386.9	$25,608	$27,461	$28,003
OPERATING EXPENSES AND EQUIPMENT				$63,425	$62,673	$59,431
CAPITAL COSTS						
Building Lease/Purchase				–	–	4,928
TOTALS, EXPENDITURES				$89,033	$90,134	$92,362

*** Dollars in thousands, except in Salary Range.**

2780 STEPHEN P. TEALE DATA CENTER—Continued

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS 0683 Stephen P. Teale Center Revolving Fund [n]	*1999–00**	*2000–01**	*2001–02**
001 Budget Act appropriation	$87,663	$89,212	$87,434
003 Budget Act appropriation (debt service)	–	–	4,928
Allocation for employee compensation	2,250	69	–
Adjustment per Section 3.60	−1,442	−295	–
Adjustment per Section 16.00	11	–	–
Increased expenditure authority per Provision 1	4,631	1,148	–
Totals Available	$93,113	$90,134	$92,362
Unexpended balance, estimated savings	−4,333	–	–
TOTALS, EXPENDITURES	$88,780	$90,134	$92,362
0995 Reimbursements			
Reimbursements	$253	–	–
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	$89,033	$90,134	$92,362

FUND CONDITION STATEMENT

0683 Stephen P. Teale Data Center Revolving Fund [n]	*1999–00**	*2000–01**	*2001–02**
BEGINNING BALANCE	$26,328	$16,948	$16,314
Prior year adjustments	−10,611	–	–
Balance, Adjusted	$15,717	$16,948	$16,314
REVENUES AND TRANSFERS			
Operating Revenues:			
299000 Other:			
Miscellaneous income	908	500	500
Income from operations	89,103	89,000	89,000
Totals, Operating Revenues	$90,011	$89,500	$89,500
Totals, Resources	$105,728	$106,448	$105,814
EXPENDITURES			
Disbursements:			
2780 Stephen P. Teale Data Center (State Operations)	88,780	90,134	92,362
FUND BALANCE	$16,948	$16,314	$13,452

CHANGES IN AUTHORIZED POSITIONS

	99–00	*00–01*	*01–02*	*1999–00**	*2000–01**	*2001–02**
Totals, Authorized Positions	359.2	401.0	401.0	$21,809	$24,780	$25,119
Workload and Administrative Adjustments:				Salary Range		
Overtime	–	–	–	–	60	440
Totals, Workload and Administrative Adjustments	–	–	–	–	$60	$440
Total Adjustments	–	–	–	–	$60	$440
TOTALS, SALARIES AND WAGES	359.2	401.0	401.0	$21,809	$24,840	$25,559

*** Dollars in thousands, except in Salary Range.**