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0100 LEGISLATURE

SUMMARY OF PROGRAM REQUIREMENTS

	1999-00*	2000-01*	2001-02*
10 Senate	\$71,518	\$76,317	\$83,949
20 Assembly	97,076	103,562	113,918
TOTALS, PROGRAMS (General Fund)	\$168,594	\$179,879	\$197,867

SUMMARY BY OBJECT

Senate			
	1999-00*	2000-01*	2001-02*
General Fund Expenses:			
Salaries of Senators	\$4,442	\$4,756	\$5,232
Mileage of Lt. Governor, Senators, Officers	-	10	10
Session Per Diem of Senators	962	1,196	1,316
Totals, General Fund Expenditures	\$5,404	\$5,962	\$6,558
Operating Fund Expenses:			
Salaries and employee benefits	55,930	58,559	64,592
Travel and per diem	767	790	813
Automotive expenses	424	560	520
Automotive repairs	75	85	97
Telephone	861	1,005	1,111
Telegraph	-	1	1
Postage	1,032	1,045	1,150
Freight	97	110	135
Office supplies	154	165	185
Printing	939	1,002	1,205
Publications	113	128	140
Building utilities, maintenance and rent	1,360	1,452	1,640
Office alterations	186	450	350
Furniture and equipment expense	922	965	1,015
Study contracts	228	808	900
Meals	75	82	95
Ceremonies and events	24	27	38
All other expenses	604	642	708
Totals, Operating Fund Expenses	\$63,791	\$67,876	\$74,695
Operating Fund Transfers For:			
Office of the Legislative Analyst (0130)	2,323	2,479	2,696
Totals, Operating Fund Transfers	\$2,323	\$2,479	\$2,696
Totals, Senate Expenditures	\$71,518	\$76,317	\$83,949
Assembly			
General Fund Expenses:			
Salaries of Assemblymembers	\$8,731	\$9,000	\$9,939
Mileage of Assemblymembers	-	8	8
Session Per Diem of Assemblymembers	1,831	2,226	2,392
Totals, General Fund Expenditures	\$10,562	\$11,234	\$12,339
Operating Fund Expenses:			
Salaries and employee benefits	63,008	70,202	78,819
Travel and per diem	1,323	1,349	1,370
Automotive expenses	396	404	413
Automotive repairs	105	111	118
Equipment and furniture	3,976	3,996	4,036
Building utilities, maintenance, and rent	2,523	2,548	2,573
Office alterations	2,835	1,444	787
Telephone	1,481	1,614	1,776
Telegraph	-	1	1
Postage	686	741	807
Freight	113	121	133
Communications	2,195	2,370	2,631
Office supplies	877	939	1,033
Printing	2,100	2,241	2,465
Publications	372	395	426
Study contracts	81	85	98
Meals	65	69	76

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0100 LEGISLATURE—Continued

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
Ceremonies and events.....	\$35	\$37	\$41
All other expenses.....	1,510	982	1,080
Totals, Operating Fund Expenses.....	<u>\$83,681</u>	<u>\$89,649</u>	<u>\$98,683</u>
Operating Fund Transfers For:			
Legislative Counsel Bureau (0160).....	510	200	200
Office of the Legislative Analyst (0130).....	2,323	2,479	2,696
Totals, Operating Fund Transfers.....	<u>\$2,833</u>	<u>\$2,679</u>	<u>\$2,896</u>
Totals, Assembly Expenditures.....	<u>\$97,076</u>	<u>\$103,562</u>	<u>\$113,918</u>
TOTALS, EXPENDITURES	<u>\$168,594</u>	<u>\$179,879</u>	<u>\$197,867</u>

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

Senate

0001 General Fund

APPROPRIATIONS	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
001 Budget Act appropriation.....	\$71,518	\$76,317	\$83,949
Salaries of Senators.....	(4,442)	(4,756)	(5,232)
Mileage of Lt. Governor, Senators and Officers.....	-	(10)	(10)
Expenses of Senators.....	(962)	(1,196)	(1,316)
Operating expenses.....	(65,615)	(69,710)	(76,774)
Automotive expenses.....	(499)	(645)	(617)
TOTALS, EXPENDITURES (0110).....	<u>\$71,518</u>	<u>\$76,317</u>	<u>\$83,949</u>

0348 Senate Operating Fund ^s

APPROPRIATIONS			
Government Code Section 9129.....	\$71,518	\$76,317	\$83,949
Less funding provided by the General Fund	<u>-71,518</u>	<u>-76,317</u>	<u>-83,949</u>
TOTALS, EXPENDITURES (0110).....	<u>-</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES, SENATE (ALL FUNDS).....	<u>\$71,518</u>	<u>\$76,317</u>	<u>\$83,949</u>

Assembly

0001 General Fund

APPROPRIATIONS			
011 Budget Act appropriation.....	\$97,143	\$103,590	\$113,918
Salaries of Assemblymembers.....	(9,055)	(9,651)	(9,939)
Mileage of Assemblymembers and Officers	(8)	(8)	(8)
Expenses of Assemblymembers.....	(2,318)	(2,355)	(2,392)
Operating expenses.....	(85,216)	(91,044)	(101,048)
Automotive expenses.....	(546)	(532)	(531)
Totals Available	<u>\$97,143</u>	<u>\$103,590</u>	<u>\$113,918</u>
Unexpended balance, estimated savings	<u>-67</u>	<u>-28</u>	<u>-</u>
TOTALS, EXPENDITURES (0120).....	<u>\$97,076</u>	<u>\$103,562</u>	<u>\$113,918</u>

0125 Assembly Operating Fund ^s

APPROPRIATIONS			
Government Code Section 9129.....	\$97,076	\$103,562	\$113,918
Less funding provided by the General Fund	<u>-97,076</u>	<u>-103,562</u>	<u>-113,918</u>
TOTALS, EXPENDITURES (0120).....	<u>-</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES, ASSEMBLY (ALL FUNDS).....	<u>\$97,076</u>	<u>\$103,562</u>	<u>\$113,918</u>

Assembly and Senate

0160 Operating Funds of Assembly and Senate ^s

APPROPRIATIONS			
Prior year balances available:			
Item 10.1, Budget Act of 1967.....	\$21	\$21	\$21
Balance available in subsequent years	<u>-21</u>	<u>-21</u>	<u>-21</u>
TOTALS, EXPENDITURES	<u>-</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	<u>\$168,594</u>	<u>\$179,879</u>	<u>\$197,867</u>

* Dollars in thousands, except in Salary Range.

0100 LEGISLATURE—Continued

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FUND CONDITION STATEMENT
0125 Assembly Operating Fund ^s

0160 Operating Funds of the Assembly and Senate ^s

0348 Senate Operating Fund ^s

0150 CONTRIBUTIONS TO THE LEGISLATORS' RETIREMENT SYSTEM

Established in 1947, the Legislators' Retirement System (LRS) provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers. Chapter 937, Statutes of 1977, established the State's contribution rate at 18.81 percent of payroll. The LRS also is financed through member contributions of 4 percent for members enrolled prior to March 4, 1972, and 8 percent for members enrolled thereafter, and through investment earnings. Administration of the LRS is provided by the Public Employees' Retirement System (CalPERS). Retirement benefits paid are based upon age, years of service, and compensation. For members of the Legislature and constitutional officers, benefits are modified according to the actual calendar years that the service was performed. Survivors, death, and disability benefits also are provided under specific conditions.

Pursuant to Proposition 140, approved by the electorate on November 6, 1990, legislators taking office for the first time after November 6, 1990 are not eligible for participation in the LRS.

Chapter 897, Statutes of 1999, eliminated the State's statutorily specified contribution rate to the LRS and instead requires the State's contribution to be actuarially determined. This Chapter, upon approval of the CalPERS Board of Administration, also allowed the reduction of member contributions when the State contribution is zero percent (0%). Since the LRS is currently super-funded, this Chapter effectively reduced General Fund contributions and member contributions to zero in 2000-01 and 2001-02. The LRS will pay approximately \$7.0 million in benefits to annuitants in 2001-02. The CalPERS is requesting \$234,000 for administrative costs.

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS
0001 General Fund

72	APPROPRIATIONS			
73	Government Code Section 9358 (expenditures).....	\$339	-	-
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For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0150 CONTRIBUTIONS TO THE LEGISLATORS' RETIREMENT SYSTEM—Continued

FUND CONDITION STATEMENT			
0820 Legislators' Retirement Fund ^a			
	1999-00*	2000-01*	2001-02*
BEGINNING BALANCE.....	\$142,303	\$145,608	\$148,218
Prior year adjustments	-2	-	-
Balance, Adjusted.....	\$142,301	\$145,608	\$148,218
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments:			
Net income	13	5	5
Net profit	9,776	9,995	9,995
221000 Contributions from fiduciary funds:			
Contributions from members	179	-	-
Contributions from State (employer).....	339	-	-
Refund of contributions	-164	-375	-150
Totals, Operating Revenues	\$10,143	\$9,625	\$9,850
Totals, Resources	\$152,444	\$155,233	\$158,068
EXPENDITURES			
Disbursements:			
0150 Contributions to the Legislators' Retirement System (Benefits Paid) (Unclassified).....	6,630	6,780	7,049
1900 Public Employees' Retirement System (State Operations).....	206	235	234
Totals, Disbursements	\$6,836	\$7,015	\$7,283
FUND BALANCE.....	\$145,608	\$148,218	\$150,785

0160 LEGISLATIVE COUNSEL BUREAU

The Legislative Counsel Bureau provides legal assistance to the two houses of the Legislature and their members and committees in resolving a large volume of complex legal problems arising in connection with the legislative process, all of which must be resolved within a critical time span. The legal services furnished include rendering opinions, drafting bills, counseling, attendance as counsel at meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained, and all work is confidential.

In addition, the bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures, and compiles and indexes statutes and codes.

The bureau operates a data center which is used for the legislative information system and the processing of legislative measures.

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
TOTALS, PROGRAMS.....	539.1	617.0	625.0	\$63,620	\$71,305	\$76,670
0001 General Fund.....				62,620	71,174	76,539
0995 Reimbursements				1,000	131	131

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	539.1	629.5	629.5	\$30,431	\$36,011	\$36,913
Total Adjustments	-	-	8.0	-	-	414
Estimated Salary Savings	-	-12.5	-12.5	-	-599	-599
Net Totals, Salaries and Wages	539.1	617.0	625.0	\$30,431	\$35,412	\$36,728
Staff Benefits	-	-	-	5,244	6,469	6,735
Totals, Personal Services	539.1	617.0	625.0	\$35,675	\$41,881	\$43,463
OPERATING EXPENSES AND EQUIPMENT				\$27,945	\$29,424	\$33,207
TOTALS, EXPENDITURES				\$63,620	\$71,305	\$76,670

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0160 LEGISLATIVE COUNSEL BUREAU—Continued

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

10	APPROPRIATIONS				<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
11	001 Budget Act appropriation				\$62,320	\$71,146	\$76,539
12	Allocation for employee compensation				2,299	384	-
13	Adjustment per Section 3.60				-2,016	-356	-
14	Adjustment per Section 16.00				17	-	-
15					<u> </u>	<u> </u>	<u> </u>
16	TOTALS, EXPENDITURES				\$62,620	\$71,174	\$76,539
17							
18							
19							
20	Reimbursements				\$1,000	\$131	\$131
21					<u> </u>	<u> </u>	<u> </u>
22	TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....				\$63,620	\$71,305	\$76,670

CHANGES IN
AUTHORIZED POSITIONS

27							
28							
29	Totals, Authorized Positions	539.1	629.5	629.5	\$30,431	\$36,011	\$36,913
30	Proposed New Positions:						
31	Legislative Data Center:				Salary Range		
32	Acctg Administrator I (Supvr)	-	-	1.0	4,520-5,453	-	64
33	Info Tech Spec I, Range D	-	-	4.0	4,113-5,000	-	255
34	Acctg Techn	-	-	1.0	2,348-2,855	-	33
35	Ofc Techn	-	-	1.0	2,348-2,855	-	33
36	Ofc Asst	-	-	1.0	2,029-2,465	-	29
37		<u> </u>					
38	Totals, Proposed New Positions	-	-	8.0	-	-	\$414
39		<u> </u>					
40	Total Adjustments	-	-	8.0	-	-	\$414
41		<u> </u>					
42	TOTALS, SALARIES AND WAGES	539.1	629.5	637.5	\$30,431	\$36,011	\$37,327

0250 JUDICIAL

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Pursuant to Proposition 190 which amended the California Constitution, Article VI, Section 18(1), the budget for the Commission on Judicial Performance is separate from the budget of any other state agency or court. Therefore, the expenditures for that budget are under the Commission on Judicial Performance (0280). Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

SUMMARY OF PROGRAM
REQUIREMENTS

57							
58							
59	10 Supreme Court	128.6	141.1	145.9	\$29,296	\$34,489	\$37,213
60	20 Courts of Appeal	716.7	857.5	860.9	139,631	159,028	166,588
61	30 Judicial Council	313.3	392.4	434.4	115,613	127,129	137,481
62	50 Habeas Corpus Resource Center	37.4	62.7	64.6	6,971	10,643	9,914
63		<u> </u>					
64	TOTALS, PROGRAMS	1,196.0	1,453.7	1,505.8	\$291,511	\$331,289	\$351,196
65	0001 General Fund				244,577	277,061	297,913
66	0044 Motor Vehicle Account, State Transportation Fund				97	136	134
67	0327 Court Interpreters' Fund				219	175	93
68	0587 Family Law Trust Fund				925	900	954
69	0890 Federal Trust Fund				925	6,249	4,699
70	0995 Reimbursements				44,768	46,768	47,403

87 For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 88 * Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

10 SUPREME COURT

Program Objectives Statement

The Supreme Court is the highest court in the California judicial system. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the state constitution, all death penalty judgments are appealed directly to the Supreme Court.

Major Budget Adjustments Proposed for 2001–02

- \$661,000 and 5.0 positions (4.8 personnel years) to address increased workloads of the Automatic Appeals Unit and the Criminal Central Staff.
- \$391,000 to cover increased costs of legal books and subscriptions.
- \$184,000 to fund on-going costs in temporary help, overtime, and general expense resulting from increases in filings and public requests for information.
- \$4,000 to fund pay differentials for judicial officers.
- \$1.314 million for increases in the cost of court-appointed counsel services.

20 COURTS OF APPEAL

Program Objectives Statement

The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the State of California. Cases before the Courts of Appeal involve every area of civil and criminal law. There is an average of 195 notices of appeal per justice and each justice issues an average of 142 written opinions per year.

Major Budget Adjustments Proposed for 2001–02

- \$154,000 and 2.5 positions (2.4 personnel years) to address increased, ongoing appellate workloads.
- \$150,000 for settlement-conference training.
- \$84,000 and 1.0 position (0.9 personnel year) to address the increased judicial clerical workload.
- \$459,000 to provide additional office space for new appellate court justices.
- \$20,000 for pay differentials for judicial officers.
- \$653,000 for increases in the cost of court-appointed counsel services.

30 JUDICIAL COUNCIL

Program Objectives Statement

The Judicial Council of California is the constitutional policy making body for the state judiciary. The Council consists of 21 voting members and six advisory members; the Chief Justice of California serves as chair. The Administrative Office of the Courts is the administrative arm of the Council. This office provides policy support to the Council, administrative accountability in the operation of the courts as specified by law, and administrative support for courts in areas such as budget, coordination of the assignment of retired judges, technology, education and research.

Major Budget Adjustments Proposed for 2001–02

- \$1,882,000 and 3.0 positions (2.8 personnel years) to initiate an external fiscal review and audit process for the trial courts.
- \$210,000 and 2.0 positions (1.9 personnel years) to staff a consolidated grant management unit to monitor compliance of grants and standardize grant administration practices.
- \$330,000 and 3.0 positions (2.8 personnel years) for the Accounting Unit to provide adequate management, staffing and an acceptable customer service level to the trial courts.
- \$232,000 and 2.0 positions (1.9 personnel years) to implement a statistical indicator system to research family, juvenile, mental health, probate and domestic violence cases.
- \$424,000 and 4.0 positions (3.8 personnel years) to provide improvements in programming, design, delivery and content development for the two court web sites.
- \$207,000 and 2.0 positions (1.9 personnel years) for an attorney and support staff to provide legal services to the trial courts and others in procurement and contracting transactions.
- \$112,000 and 1.0 position (0.9 personnel year) to improve the collection and analysis of data on court operations and provide this information to the courts in a timely manner.
- \$103,000 for a contractual court fellow to serve as a field representative to the trial courts in implementing the provisions of the Trial Court Funding Act pursuant to Chapter 850, Statutes of 1997.
- \$204,000 for additional costs related to test development and administration of the court interpreters program.
- \$218,000 and 2.0 positions (1.9 personnel years) to establish successful practices for the trial courts and assist in their implementation.
- \$356,000 and 3.0 positions (2.8 personnel years) to provide increased services for recruitment, test validation, compensation and appellate courts liaison supervision.
- \$91,000 and 1.0 position (0.9 personnel year) to address the increased demand for conferences, meetings and travel services in a timely and efficient manner.
- \$110,000 and 1.0 position (0.9 personnel year) for the development and implementation of procedures and policies related to judicial branch procurement and contracting activities.
- \$381,000 for an annual equipment replacement program to replace equipment that has reached the end of its useful life and increase office productivity.
- \$675,000 to recruit, train and supervise additional volunteers who have been selected for the Court Appointed Special Advocates (CASA) Grants Project.

0250 JUDICIAL—Continued

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- 4 • \$832,000 to establish pilot projects to determine the effectiveness of five different models of court-based self-help programs for
- 5 low-income persons.
- 6 • \$605,000 for the AB 1058 Child Support Program that increases expenditure authority for child support commissioner salary increases
- 7 and a guideline study.
- 8 • \$5.0 million increase in the Equal Access Fund to provide additional legal representation for unrepresented indigent litigants.
- 9 • \$2,148,000 and 18.5 positions (17.6 personnel years) to provide training, counsel and support to the trial courts in complying with the
- 10 Trial Court Employment and Governance Act.
- 11 • \$232,000 and 2.0 positions (1.9 personnel years) to provide for increased training and utilization of the current PeopleSoft Human
- 12 Resources Management System.
- 13

50 HABEAS CORPUS RESOURCE CENTER

Program Objectives Statement

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

Major Budget Adjustments Proposed for 2001-02

- \$125,000 to conduct additional training.
- \$331,000 and 2.0 positions (1.9 personnel years) to provide Information Systems support.
- \$223,000 for additional office space.
- \$91,000 to fund geographic salary differentials.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 SUPREME COURT

State Operations:	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
0001 General Fund	\$29,296	\$34,489	\$37,213

PROGRAM REQUIREMENTS

20 COURTS OF APPEAL

State Operations:			
0001 General Fund	\$139,631	\$159,028	\$166,588

PROGRAM REQUIREMENTS

30 JUDICIAL COUNCIL

State Operations:			
0001 General Fund	\$57,447	\$63,126	\$66,666
0044 Motor Vehicle Account, State Transportation Fund	97	136	134
0327 Court Interpreters' Fund	219	175	93
0587 Family Law Trust Fund	925	900	954
0890 Federal Trust Fund	902	1,424	1,424
0995 Reimbursements	1,750	2,656	2,721
Totals, State Operations	\$61,340	\$68,417	\$71,992
Local Assistance:			
0001 General Fund	11,245	12,275	18,532
0890 Federal Trust Fund	10	2,325	2,275
0995 Reimbursements	43,018	44,112	44,682
Totals, Local Assistance	\$54,273	\$58,712	\$65,489
Totals, Program 30	\$115,613	\$127,129	\$137,481

PROGRAM REQUIREMENTS

50 HABEAS CORPUS RESOURCE CENTER

State Operations:			
0001 General Fund	\$6,958	\$8,143	\$8,914
0890 Federal Trust Fund	13	2,500	1,000
Totals, State Operations	\$6,971	\$10,643	\$9,914
TOTALS, EXPENDITURES (State Operations)	\$237,238	\$272,577	\$285,707
TOTALS, EXPENDITURES (Local Assistance)	\$54,273	\$58,712	\$65,489
TOTALS, PROGRAMS	\$291,511	\$331,289	\$351,196

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

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SUMMARY BY OBJECT

10 Supreme Court

1 STATE OPERATIONS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	128.6	144.0	144.0	\$11,155	\$13,003	\$13,428
Total Adjustments	-	-	5.0	-	-	524
Estimated Salary Savings	-	-2.9	-3.1	-	-260	-283
Net Totals, Salaries and Wages	128.6	141.1	145.9	\$11,155	\$12,743	\$13,669
Staff Benefits	-	-	-	1,973	1,461	1,569
Totals, Personal Services	128.6	141.1	145.9	\$13,128	\$14,204	\$15,238
OPERATING EXPENSES AND EQUIPMENT				\$7,530	\$6,737	\$8,427
SPECIAL ITEMS OF EXPENSE				8,638	13,548	13,548
TOTALS, EXPENDITURES, SUPREME COURT (General Fund)				\$29,296	\$34,489	\$37,213

SUMMARY BY OBJECT

20 Courts of Appeal

1 STATE OPERATIONS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	716.7	884.0	884.0	\$59,136	\$74,673	\$77,907
Total Adjustments	-	-	3.5	-	-	243
Estimated Salary Savings	-	-26.5	-26.6	-	-2,240	-2,416
Net Totals, Salaries and Wages	716.7	857.5	860.9	\$59,136	\$72,433	\$75,734
Staff Benefits	-	-	-	9,722	8,106	8,502
Totals, Personal Services	716.7	857.5	860.9	\$68,858	\$80,539	\$84,236
OPERATING EXPENSES AND EQUIPMENT				\$22,792	\$28,904	\$32,767
SPECIAL ITEMS OF EXPENSE				47,981	49,585	49,585
TOTALS, EXPENDITURES, COURTS OF APPEAL (General Fund)				\$139,631	\$159,028	\$166,588

SUMMARY BY OBJECT

30 Judicial Council

1 STATE OPERATIONS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	313.3	415.6	415.6	\$19,961	\$28,579	\$29,823
Total Adjustments	-	-	44.5	-	-	2,868
Estimated Salary Savings	-	-23.2	-25.7	-	-1,896	-2,163
Net Totals, Salaries and Wages	313.3	392.4	434.4	\$19,961	\$26,683	\$30,528
Staff Benefits	-	-	-	3,815	3,700	4,347
Totals, Personal Services	313.3	392.4	434.4	\$23,776	\$30,383	\$34,875
OPERATING EXPENSES AND EQUIPMENT				\$36,614	\$37,084	\$36,717
SPECIAL ITEMS OF EXPENSE				950	950	400
TOTALS, EXPENDITURES, JUDICIAL COUNCIL				\$61,340	\$68,417	\$71,992

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

SUMMARY BY OBJECT
50 Habeas Corpus Resource Center
1 STATE OPERATIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	37.4	66.0	66.0	\$2,307	\$4,528	\$4,759
Total Adjustments	-	-	2.0	-	-	230
Estimated Salary Savings	-	-3.3	-3.4	-	-226	-242
Net Totals, Salaries and Wages	37.4	62.7	64.6	\$2,307	\$4,302	\$4,747
Staff Benefits	-	-	-	161	599	641
Totals, Personal Services	37.4	62.7	64.6	\$2,468	\$4,901	\$5,388
OPERATING EXPENSES AND EQUIPMENT				\$4,503	\$5,742	\$4,526
TOTALS, EXPENDITURES, HABEAS CORPUS RESOURCE CENTER				\$6,971	\$10,643	\$9,914
NET TOTALS, EXPENDITURES, ALL PROGRAMS				\$237,238	\$272,577	\$285,707

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$239,104	\$263,054	\$278,349
003 Budget Act appropriation	-	1,024	1,032
Allocation for employee compensation	7,837	1,006	-
Allocation for contingencies or emergencies	1,338	550	-
Adjustment per Section 3.60	-5,485	-1,063	-
Adjustment per Section 4.60 (Rental Rate)	-	215	-
Adjustment per Section 16.00	51	-	-
Transfer from Item 0250-101-0001 per Provision 2	500	-	-
Totals Available	\$243,345	\$264,786	\$279,381
Unexpended balance, estimated savings	-10,013	-	-
TOTALS, EXPENDITURES	\$233,332	\$264,786	\$279,381

0044 Motor Vehicle Account, State Transportation Fund ^s

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$127	\$137	\$134
Allocation for employee compensation	6	1	-
Adjustment per Section 3.60	-7	-2	-
Totals Available	\$126	\$136	\$134
Unexpended balance, estimated savings	-29	-	-
TOTALS, EXPENDITURES	\$97	\$136	\$134

0327 Court Interpreters' Fund ^s

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$256	\$100	\$93
Unexpended balance, estimated savings	-37	-	-
Allocation for contingencies or emergencies	-	75	-
TOTALS, EXPENDITURES	\$219	\$175	\$93

0587 Family Law Trust Fund ^s

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
Family Code Section 1852 (expenditures)	\$925	\$900	\$954

0890 Federal Trust Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$3,650	\$3,848	\$2,424
Allocation for employee compensation	14	-	-
Adjustment per Section 3.60	-22	-	-
Budget adjustment	-2,727	76	-
TOTALS, EXPENDITURES	\$915	\$3,924	\$2,424

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

1				
2				
3				
4		0995 Reimbursements	1999-00*	2000-01*
5				2001-02*
6	Reimbursements		\$1,750	\$2,656
7	TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....		\$237,238	\$272,577
8				\$285,707

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund**

17	APPROPRIATIONS		1999-00*	2000-01*	2001-02*
18	101 Budget Act appropriation.....		\$11,775	\$12,275	\$18,532
19	Transfer to Item 0250-001-0001 per Provision 2		-500	-	-
20	Totals Available		\$11,275	\$12,275	\$18,532
21	Unexpended balance, estimated savings		-30	-	-
22	TOTALS, EXPENDITURES		\$11,245	\$12,275	\$18,532

0890 Federal Trust Fund

27	APPROPRIATIONS				
28	101 Budget Act appropriation.....		\$1,525	\$1,525	\$2,275
29	Budget adjustment.....		-1,515	800	-
30	TOTALS, EXPENDITURES		\$10	\$2,325	\$2,275

0995 Reimbursements

34	Reimbursements		\$43,018	\$44,112	\$44,682
35	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)		\$54,273	\$58,712	\$65,489
36	TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)		\$291,511	\$331,289	\$351,196

**FUND CONDITION STATEMENT
0327 Court Interpreters' Fund ^s**

45	BEGINNING BALANCE.....		\$149	\$65	-
46	REVENUES AND TRANSFERS				
47	125700 Other Regulatory Licenses and Permits		135	110	\$110
48	Totals, Resources		\$284	\$175	\$110
49	EXPENDITURES				
50	Disbursements:				
51	0250 Judicial (State Operations)		219	175	93
52	FUND BALANCE.....		\$65	-	\$17
53	Reserve for economic uncertainties		65	-	17

0587 Family Law Trust Fund ^s

54	BEGINNING BALANCE.....		\$696	\$1,278	\$1,885
55	REVENUES AND TRANSFERS				
56	Revenues:				
57	161400 Miscellaneous Revenue: (Dissolution Copy Fee (Government Code Section 26832))		1,507	1,507	1,507
58	Totals, Resources.....		\$2,203	\$2,785	\$3,392
59	EXPENDITURES				
60	Disbursements:				
61	0250 Judicial:				
62	State Operations.....		925	900	954
63	Totals, Disbursements.....		\$925	\$900	\$954
64	FUND BALANCE.....		\$1,278	\$1,885	\$2,438
65	Reserve for economic uncertainties		1,278	1,885	2,438

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
CHANGES IN AUTHORIZED POSITIONS						
Totals, Authorized Positions	1,196.0	1,509.6	1,509.6	\$92,559	\$120,783	\$125,917
Salary adjustments	-	-	-	-	-	21
Totals, Adjusted Authorized Positions	1,196.0	1,509.6	1,509.6	\$92,559	\$120,783	\$125,938
Proposed New Positions:						
Supreme Court:						
Sr Supreme Court Atty	-	-	5.0	Salary Range 6,886-8,539	-	463
Totals, Supreme Court	-	-	5.0	-	-	\$463
Courts of Appeal:						
Judicial Secty II	-	-	1.0	3,589-4,363	-	48
Assoc Court Sys Admin	-	-	1.0	3,818-4,230	-	48
Custodian	-	-	1.5	2,378-2,892	-	48
Totals, Courts of Appeal	-	-	3.5	-	-	\$144
Habeas Corpus Resource Center:						
Mitigation Spec	-	-	1.0	6,848-8,400	-	91
Legal Secty	-	-	1.0	3,589-4,363	-	48
Totals, Habeas Corpus Resource Center	-	-	2.0	-	-	\$139
Administrative Office of the Courts:						
Finance Division:						
Audit Mgr (reclass)	-	-	1.0	6,883-8,365	-	102
Manager	-	-	1.0	6,883-8,365	-	102
Audit Supvr (reclass)	-	-	-1.0	5,769-7,012	-	-85
Sr Contract Analyst	-	-	1.0	5,572-6,770	-	74
Sr Accountant	-	-	1.0	5,007-6,084	-	74
Auditor	-	-	3.0	4,557-5,538	-	202
Accountant II	-	-	1.0	4,557-5,538	-	68
Accountant Techn	-	-	1.0	2,862-3,481	-	42
Totals, Finance Division	-	-	8.0	-	-	\$579
Information Services Division:						
Sr Bus Sys Analyst	-	-	1.0	5,493-6,677	-	81
Totals, Information Services Division ..	-	-	1.0	-	-	\$81
Judicial Council Services:						
Research Analyst	-	-	2.0	4,557-5,538	-	134
Court Svcs Analyst	-	-	1.0	4,557-5,538	-	67
Communications Spec II	-	-	1.0	4,333-5,267	-	64
Adm Coord II	-	-	1.0	3,775-4,588	-	56
Totals, Judicial Council Services	-	-	5.0	-	-	\$321
CFCC:						
Sr Research Analyst	-	-	1.0	5,007-6,084	-	74
Research Analyst	-	-	1.0	4,557-5,538	-	67
Totals, CFCC	-	-	2.0	-	-	\$141
Education:						
Web Editor	-	-	1.0	4,620-5,616	-	62
Educ Spec II	-	-	1.0	4,557-5,538	-	67
Adm Coord II	-	-	1.0	3,775-4,588	-	52
Totals, Education Division	-	-	3.0	-	-	\$181
Human Resources:						
Supvng Human Resources Analyst (reclass)	-	-	1.0	6,420-7,802	-	85
Supvng Human Resources Analyst	-	-	1.0	6,420-7,802	-	85
Supvng Human Resources Analyst	-	-	1.0	5,769-7,012	-	85
Sr Human Resources Analyst	-	-	2.0	5,007-6,084	-	148
Supvng Human Resources Analyst	-	-	1.0	5,007-6,084	-	85
Sr Human Resources Analyst (reclass)	-	-	-1.0	5,007-6,084	-	-74
Sr Human Resources Analyst (reclass)	-	-	1.0	5,007-6,084	-	74
Human Resources Analyst	-	-	8.5	4,557-5,538	-	573
Adm Coord I	-	-	1.0	3,431-4,171	-	51
Secty I	-	-	1.0	2,667-3,241	-	40
Totals, Human Resources Division	-	-	16.5	-	-	\$1,152

* Dollars in thousands, except in Salary Range.

0250 JUDICIAL—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Office of the General Council:				Salary Range		
Attorney	-	-	4.0	\$6,188-7,673	-	\$371
Adm Coord I.....	-	-	1.0	3,431-4,171	-	51
Secty II.....	-	-	2.0	2,932-3,564	-	86
Totals, Office of the General Council ..	-	-	7.0	-	-	\$508
Trial Court Programs:						
Court Svcs Analyst.....	-	-	2.0	4,557-5,538	-	135
Totals, Trial Court Programs	-	-	2.0	-	-	\$135
Totals, Administrative Office of the Courts.....	-	-	44.5	-	-	\$3,098
Totals, Proposed New Positions ...	-	-	55.0	-	-	\$3,844
Total Adjustments	-	-	55.0	-	-	\$3,865
TOTALS, SALARIES AND WAGES	1,196.0	1,509.6	1,564.6	\$92,559	\$120,783	\$129,782

STATE BUILDING PROGRAM EXPENDITURES

Actual 1999-00*	Estimated 2000-01*	Proposed 2001-02*
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80 CAPITAL OUTLAY PROGRAM ELEMENTS

Major Projects

90.20.201	Second Appellate District Renovation-Los Angeles	-	\$873 ^{PWCg}	-
90.20.301	Third Appellate District Renovation-Sacramento	-	451 ^{PWCg}	-
90.20.401	Fourth Appellate District New Courthouse-Santa Ana	-	3,215 ^{APg}	\$621 ^{Wg}
90.20.501	Fifth Appellate District New Courthouse-Fresno	-	1,506 ^{APg}	684 ^{Wg}
90.20.601	Second Appellate District, Los Angeles-Renovation for new Judgeships	-	-	567 ^{PWCg}
Totals, Major Projects	-	\$6,045	\$1,872	

Minor Projects

90.20.900	Minor Projects.....	-	-	\$86
Totals, Minor Projects.....	-	-	\$86	

TOTALS, EXPENDITURES, CAPITAL OUTLAY	-	\$6,045	\$1,958
0001 General Fund.....	-	6,045	1,958

RECONCILIATION WITH APPROPRIATIONS

3 CAPITAL OUTLAY

0001 General Fund

APPROPRIATIONS

301 Budget Act appropriation (expenditures).....	-	\$6,045	\$1,958
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay).....	-	\$6,045	\$1,958

0280 COMMISSION ON JUDICIAL PERFORMANCE

Article VI of the State Constitution creates the Commission on Judicial Performance to consider complaints against judges. Pursuant to Proposition 190 which amended the California Constitution, Article VI, Section 18(l), the budget of the Commission on Judicial Performance shall be separate from the budget of any other state agency or court.

SUMMARY OF PROGRAM

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
REQUIREMENTS						
10 Commission on Judicial Performance	23.2	27.0	27.0	\$3,516	\$3,680	\$3,976
TOTALS, PROGRAMS (General Fund)	23.2	27.0	27.0	\$3,516	\$3,680	\$3,976

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0280 COMMISSION ON JUDICIAL PERFORMANCE—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	23.2	27.0	27.0	\$1,811	\$2,160	\$2,207
Total Adjustments	-	-	-	-	-	160
Net Totals, Salaries and Wages	23.2	27.0	27.0	\$1,811	\$2,160	\$2,367
Staff Benefits	-	-	-	300	363	434
Totals, Personal Services	23.2	27.0	27.0	\$2,111	\$2,523	\$2,801
OPERATING EXPENSES AND EQUIPMENT				\$1,405	\$1,157	\$1,175
TOTALS, EXPENDITURES				\$3,516	\$3,680	\$3,976

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$3,626	\$3,704	\$3,976
Allocation for employee compensation	135	-	-
Adjustment per Section 3.60	-134	-24	-
Totals Available	\$3,627	\$3,680	\$3,976
Unexpended balance, estimated savings	-111	-	-
TOTALS, EXPENDITURES	\$3,516	\$3,680	\$3,976

CHANGES IN AUTHORIZED POSITIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Totals, Authorized Positions	23.2	27.0	27.0	\$1,811	\$2,160	\$2,207
Salary adjustments	-	-	-	-	-	160
Totals, Adjusted Authorized Positions	23.2	27.0	27.0	\$1,811	\$2,160	\$2,367
Total Adjustments	-	-	-	-	-	\$160
TOTALS, SALARIES AND WAGES	23.2	27.0	27.0	\$1,811	\$2,160	\$2,367

0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM

The Judges' Retirement System (JRS) provides retirement benefit funding for California's Supreme and Appellate Court Judges and Superior and Municipal Court Judges. The JRS retirement benefits are based on age, years of service, compensation of active judges, and eligibility as determined by specific sections of the Judges' Retirement Law. Survivors, death, and disability benefits also are provided under specific conditions. The JRS receives contributions equal to eight percent of salary from both active judges and the State. Additional contributions come from filing fees from specific civil cases, investment income, and State General Fund. These contributions, however, are insufficient to fully fund benefit payments. Consequently, current law requires the State to fund the deficiency between existing contribution resources and the required benefit payments to annuitants (Government Code Section 75107).

Chapter 879, Statutes of 1994, established the Judges' Retirement System II (JRS II). All new judges elected or appointed on or after November 9, 1994, become members of JRS II. It is anticipated that JRS II will be fully funded from employer and member contributions on a prospective basis, thereby eliminating the "pay-as-you-go" feature characteristic of the original JRS program (JRS I). JRS II members also have the option of choosing a monetary credit plan (includes a lump-sum return of contributions and interest earned) or the defined benefit plan.

For 2001-02, the budget proposes a total of \$77.5 million General Fund; \$24.5 million for the State's contribution for sitting judges, and \$53.0 million to pay benefits to retired judges.

The budget provides contributions for the following number of judges by type of court:

Type of Court	Number of Judges		
	1999-00	2000-01	2001-02
Supreme	7	7	7
Appellate	93	105	105
Local Assistance:			
Superior	1,273	1,469	1,469
Municipal	207	31	31
Totals	1,580	1,612	1,612

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM—Continued**Authority**

Government Code Sections 75000, et seq.

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS****1 STATE OPERATIONS****Supreme and Appellate Court Judges****0001 General Fund**

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation (for transfer to Judges' Retirement Fund-0815) ..	\$1,100	\$1,150	\$1,150
Government Code Section 75101 (JRS I)	1,064	1,022	1,038
Government Code Section 75600.5 (JRS II)	50	78	132
Totals Available	<u>\$2,214</u>	<u>\$2,250</u>	<u>\$2,320</u>
TOTALS, EXPENDITURES (State Operations).....	\$2,214	\$2,250	\$2,320

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS****2 LOCAL ASSISTANCE****Superior and Municipal Court Judges****0001 General Fund**

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
101 Budget Act appropriation (for transfer to Judges' Retirement Fund)	\$61,250	\$77,750	\$51,859
Government Code Section 75101 (JRS I)	10,670	12,600	12,300
Government Code Section 75600.5 (JRS II)	7,410	9,367	10,976
TOTALS, EXPENDITURES (Local Assistance)	<u>\$79,330</u>	<u>\$99,717</u>	<u>\$75,135</u>
TOTALS, EXPENDITURES (State Operations and Local Assistance)	\$81,544	\$101,967	\$77,455

4 UNCLASSIFIED**0815 Judges' Retirement Fund ⁿ**

Benefits Paid:			
Government Code Section 75025	\$90,171	\$98,582	\$101,817
Number of Annuitants (JRS I)	(1,511)	(1,417)	(1,447)
0884 Judges' Retirement System II Fund ⁿ			
Government Code Section 75522	\$226	\$215	\$215
Number of Annuitants (JRS II)	(2)	(2)	(2)
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	<u>\$90,397</u>	<u>\$98,797</u>	<u>\$102,032</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)	\$171,941	\$200,764	\$179,487

FUND CONDITION STATEMENT**0815 Judges' Retirement Fund ⁿ**

	1999-00*	2000-01*	2001-02*
BEGINNING BALANCE	\$19,865	\$21,515	\$34,147
Prior year adjustments	-18	-	-
Balance, Adjusted	<u>\$19,847</u>	<u>\$21,515</u>	<u>\$34,147</u>
REVENUES AND TRANSFERS			
Operating Revenues:			
215000 Income from investments	2,513	2,000	2,000
216000 Fees and licenses (filing fees)	3,257	3,000	3,000
221000 Contributions from judges	11,252	13,622	13,338
221000 Refunds of contributions	-	-50	-50

* Dollars in thousands, except in Salary Range.

0390 CONTRIBUTIONS TO THE JUDGES' RETIREMENT SYSTEM—Continued

1				
2				
3				
4			<i>1999-00*</i>	<i>2000-01*</i>
5	221000	Contributions from State.....	\$11,734	\$13,622
6	221000	Contributions for assignments.....	1,093	500
7	299000	Budget Act appropriation (administration) (transfer from		
8		General Fund).....	360	380
9	299000	Budget Act appropriations (transfer from General Fund).....	61,990	78,520
10				
11		Totals, Operating Revenues.....	\$92,199	\$111,594
12				
13		Totals, Resources.....	\$112,046	\$133,109
14				
15		EXPENDITURES		
16		Disbursements:		
17	0390	Contributions to the Judges' Retirement System (Unclassified):		
18		Benefits paid from Judges' Retirement Fund.....	90,171	98,582
19	1900	Public Employees' Retirement System—Administrative costs		
20		(State Operations).....	360	380
21				
22		Totals, Disbursements.....	\$90,531	\$98,962
23				
24		FUND BALANCE.....	\$21,515	\$34,147
25				
26		0884 Judges' Retirement System II Fund ⁿ		
27		BEGINNING BALANCE.....	\$28,382	\$41,376
28		Prior year adjustments.....	-9	-
29				
30		Balance, Adjusted.....	\$28,373	\$41,376
31				
32		REVENUES AND TRANSFERS		
33		Operating Revenues:		
34	221000	Contributions from judges.....	3,210	4,133
35	221000	Contributions from State.....	7,460	9,445
36		Interest income.....	2,734	2,000
37				
38		Totals, Operating Revenue.....	\$13,404	\$15,578
39				
40		Totals, Resources.....	\$41,777	\$56,954
41				
42		EXPENDITURES		
43		Disbursements:		
44	0390	Contributions to the Judges' Retirement System (Unclassified):		
45		Benefits paid to Judges' Retirement II.....	226	215
46	1900	Public Employees' Retirement System (State Operations).....	175	284
47				
48		Totals, Disbursements.....	\$401	\$499
49				
50		FUND BALANCE.....	\$41,376	\$56,455

0450 STATE TRIAL COURT FUNDING

Chapter 850, Statutes of 1997, enacted the Lockyer-Isenberg Trial Court Funding Act of 1997 to provide a stable and consistent funding source for the trial courts. Beginning with the 1997-98 fiscal year, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained, and each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the State General Fund in 1994-95.

TRIAL COURT FUNDING

SUMMARY OF PROGRAM REQUIREMENTS

65			<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
66					
67	10	Support for the Operation of Trial Courts.....	\$1,609,465	\$1,775,235	\$1,861,506
68	25	Salaries of Superior Court Judges.....	160,232	212,899	232,598
69	35	Assigned Judges.....	16,745	17,703	17,819
70	45	Court Interpreters.....	50,294	54,447	58,110
71					
72		TOTALS, PROGRAMS.....	\$1,836,736	\$2,060,284	\$2,170,033
73	0001	General Fund.....	962,043	1,140,552	1,160,681
74	0159	Trial Court Improvement Fund.....	56,468	91,542	71,543
75	0556	Judicial Administration Efficiency and Modernization Fund.....	27,211	35,322	44,122
76		Less funding provided by the General Fund.....	-35,211	-37,322	-34,122
77		Less funding provided by the Judicial Administration Efficiency and			
78		Modernization Fund.....	-	-3,200	-
79					
80	0932	Trial Court Trust Fund.....	1,753,057	1,936,620	2,054,368
81		Less funding provided by the General Fund.....	-926,832	-1,103,230	-1,126,559
82					
83					
84					
85					
86					

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued

10 SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

Program Objectives Statement

This program's objective is to provide the resources necessary for the adjudication of civil and criminal cases in the state's countywide trial court systems. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of superior court judges, compensation for assigned judges, and support for language interpreters. This program is based on an earlier program, "Block Grants for Trial Courts," and was introduced as a replacement for block grant funding. Implementation of Chapter 850 and Trial Court Reform continues the transition from functional budgeting toward programmatic budgeting for the state trial courts.

Major Budget Adjustments Proposed for 2001-02

- \$22,481,000 to fund both cost increases and additional levels of service for security, including contractual services and security equipment.
- \$3,000,000 for Family and Children-related court programs and services, including family, juvenile, mental health, and probate/guardianship proceedings.
- \$3,857,000 to address increased costs associated with appointment of counsel for juvenile dependency proceedings in 38 trial court systems.
- \$8,114,000 to address increased charges for county-provided services, primarily for Auditor/Controller, Communications, Information Technology, Janitorial, Personnel, and Risk Management services.
- \$4,217,000 to fund the second year of the two-year phase-in of costs for implementation of the one-day/one-trial system.
- \$3,000,000 for support of operating expenses and staffing of various administrative operations throughout the statewide trial court system.
- \$4,642,000 for Rule 810 allowable infrastructure improvements to address various trial court facilities throughout the statewide system.
- \$3,600,000 for additional costs for appointed counsel and Court Appointed Special Advocates for Children in dependency proceedings to comply with the provisions of Chapter 450, Statutes of 2000.
- \$3,452,000 to implement the provisions of the Trial Court Employment and Governance Act pursuant to Chapter 1010, Statutes of 2000.
- \$94,000 for pay differentials for presiding judicial officers.

25 SALARIES FOR SUPERIOR COURT JUDGES

Program Objectives Statement

This program provides funding for the salaries and state benefits for Superior Court judges. Proposition 220, which was approved by the voters in 1998, provides for the unification of municipal and superior courts into a single superior court in each county. In doing so, court unification will result in higher salary costs as former municipal judgeships now become unified superior court judgeships with the commensurate superior court judges' salary and benefits.

35 ASSIGNED JUDGES

Program Objectives Statement

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

45 COURT INTERPRETERS

Program Objectives Statement

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.

Major Budget Adjustment Proposed for 2001-02

- \$3,663,000 for interpreter services to fund workload growth in the trial courts.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 SUPPORT FOR OPERATION OF TRIAL COURTS

Local Assistance:	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
0001 General Fund	\$962,043	\$1,140,552	\$1,160,881
0159 Trial Court Improvement Fund	56,468	91,542	71,543
0556 Judicial Administration Efficiency and Modernization Fund	-8,000	-5,200	10,000
0932 Trial Court Trust Fund	598,954	548,341	619,082
Totals, Local Assistance	\$1,609,465	\$1,775,235	\$1,861,506

PROGRAM REQUIREMENTS

25 SALARIES OF SUPERIOR COURT JUDGES

Local Assistance:			
0932 Trial Court Trust Fund	\$160,232	\$212,899	\$232,598
Totals, Local Assistance	\$160,232	\$212,899	\$232,598

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued

1				
2				
3	PROGRAM REQUIREMENTS			
4				
5	35 ASSIGNED JUDGES			
6	Local Assistance:	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
7	0932 Trial Court Trust Fund.....	\$16,745	\$17,703	\$17,819
8		<hr/>	<hr/>	<hr/>
9	Totals, Local Assistance	\$16,745	\$17,703	\$17,819
10				
11	PROGRAM REQUIREMENTS			
12				
13	45 COURT INTERPRETERS			
14	Local Assistance:			
15	0932 Trial Court Trust Fund.....	\$50,294	\$54,447	\$58,110
16		<hr/>	<hr/>	<hr/>
17	Totals, Local Assistance	\$50,294	\$54,447	\$58,110
18		<hr/>	<hr/>	<hr/>
19	TOTALS, EXPENDITURES (Local Assistance)	\$1,836,736	\$2,060,284	\$2,170,033
20				

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

29	APPROPRIATIONS	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
30	111 Budget Act appropriation (transfer to Trial Court Trust Fund-0932).....	\$885,870	\$1,101,460	\$1,126,559
31	Allocation for employee compensation	11,179	1,770	-
32	Allocation for contingencies or emergencies	29,783	-	-
33	112 Budget Act appropriation (transfer to Judicial Administration Efficiency			
34	and Modernization Fund-0556).....	35,211	37,322	34,122
35		<hr/>	<hr/>	<hr/>
36	TOTALS, EXPENDITURES	\$962,043	\$1,140,552	\$1,160,681

0159 Trial Court Improvement Fund ^s

39	APPROPRIATIONS			
40	Government Code Section 77209 (expenditures)	\$56,468	\$91,542	\$71,543

0556 Judicial Administration Efficiency and Modernization Fund ^s

46	APPROPRIATIONS			
47	112 Budget Act appropriation.....	\$35,211	\$37,322	\$34,122
48	Prior year balances available:			
49	Item 0450-112-0556, Budget Act of 1999 as reappropriated by Item			
50	0450-490, Budget Act of 2000.....	-	8,000	-
51	Item 0450-112-0556, Budget Act of 2000	-	-	10,000
52		<hr/>	<hr/>	<hr/>
53	Totals Available	\$35,211	\$45,322	\$44,122
54	Balance available in subsequent years	-8,000	-10,000	-
55		<hr/>	<hr/>	<hr/>
56	TOTALS, EXPENDITURES	\$27,211	\$35,322	\$44,122
57	Less funding by the General Fund	-35,211	-37,322	-34,122
58		<hr/>	<hr/>	<hr/>
59	NET TOTALS, EXPENDITURES	-\$8,000	-\$2,000	\$10,000

0932 Trial Court Trust Fund ^a

63	APPROPRIATIONS			
64	101 Budget Act appropriation.....	\$1,771,678	\$1,988,475	\$2,072,468
65	Revised expenditure authority per Government Code Section 77209 (for			
66	transfer to Trial Court Improvement Fund)	-17,717	-18,100	-18,100
67	Non-receipt of revenue	-36,692	-38,725	-
68	Allocation for employee compensation	11,179	1,770	-
69	Allocation for contingencies or emergencies	29,783	-	-
70	Transfer from Judicial Administration Efficiency and Modernization Fund			
71	(0556) per Government Code Section 77213(l).....	-	3,200	-
72	Prior year balances available:			
73	Item 0450-101-0932, Budget Act of 1998 as reappropriated by Item			
74	0450-490, Budget Act of 1999.....	1,000	-	-
75		<hr/>	<hr/>	<hr/>
76	Totals Available	\$1,759,231	\$1,936,620	\$2,054,368
77	Unexpended balance, estimated savings	-6,174	-	-
78		<hr/>	<hr/>	<hr/>
79	TOTALS, EXPENDITURES	\$1,753,057	\$1,936,620	\$2,054,368

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued

1				
2				
3				
4		<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
5	Less funding provided by the General Fund	-\$926,832	-\$1,103,230	-\$1,126,559
6	Less funding provided by the Judicial Administration Efficiency and			
7	Modernization Fund	-	-3,200	-
8		<u> </u>	<u> </u>	<u> </u>
9	NET TOTALS, EXPENDITURES	\$826,225	\$830,190	\$927,809
10		<u> </u>	<u> </u>	<u> </u>
11	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,836,736	\$2,060,284	\$2,170,033

FUND CONDITION STATEMENT

0159 Trial Court Improvement Fund ^s

15				
16				
17		<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
18	BEGINNING BALANCE.....	\$4,030	\$15,910	-
19	REVENUES AND TRANSFERS			
20	Revenues:			
21	131700 Miscellaneous revenues from local agencies, fines and			
22	forfeitures—2% automation (Government Code Section			
23	68090.8).....	13,404	12,400	\$12,400
24	131700 Miscellaneous revenues from local agencies, other fines and			
25	forfeitures (Government Code Section 77205).....	37,227	45,132	41,043
26		<u> </u>	<u> </u>	<u> </u>
27	Totals, Revenues	\$50,631	\$57,532	\$53,443
28	Transfers from Other Funds:			
29	F00932 Trial Court Trust Fund—1% reserve (Government Code Section			
30	77209).....	17,717	18,100	18,100
31		<u> </u>	<u> </u>	<u> </u>
32	Totals, Revenues and Transfers.....	\$68,348	\$75,632	\$71,543
33		<u> </u>	<u> </u>	<u> </u>
34	Totals, Resources	\$72,378	\$91,542	\$71,543

EXPENDITURES

35	Disbursements:			
36	0450 State Trial Court Funding (Local Assistance)	56,468	91,542	71,543
37		<u> </u>	<u> </u>	<u> </u>
38	FUND BALANCE.....	\$15,910	-	-
39	Reserve for economic uncertainties	15,910	-	-

0556 Judicial Administration Efficiency and Modernization Fund ^s

40				
41				
42	BEGINNING BALANCE.....	-	\$8,000	\$10,000
43	EXPENDITURES			
44	Disbursements:			
45	0450 State Trial Court Funding (Local Assistance)	\$27,211	35,322	44,122
46	Expenditure Reductions:			
47	0450 Trial Court Funding (Local Assistance):			
48	Less funding provided by the General Fund	-35,211	-37,322	-34,122
49		<u> </u>	<u> </u>	<u> </u>
50	Totals, Expenditures.....	-\$8,000	-\$2,000	\$10,000
51		<u> </u>	<u> </u>	<u> </u>
52	FUND BALANCE.....	\$8,000	\$10,000	-
53	Reserve for economic uncertainties	8,000	10,000	-

0932 Trial Court Trust Fund ⁿ

54				
55				
56	BEGINNING BALANCE.....	\$5,949	\$5,841	-
57	REVENUES AND TRANSFERS			
58	Operating Revenues:			
59	216500 Court filing fees (Government Code Section 68085).....	155,435	152,284	\$152,284
60	250300 Income from surplus money investments	7,735	7,735	7,735
61	299000 Other (miscellaneous revenues from local agencies):			
62	County contribution (Government Code Section 77201)	457,624	459,404	475,073
63	Fines and forfeitures (Government Code Section 77201).....	223,040	223,026	223,026
64	Other miscellaneous revenues	-	-	87,791
65		<u> </u>	<u> </u>	<u> </u>
66	Totals, Operating Revenues	\$843,834	\$842,449	\$945,909
67	Transfers to Other Funds:			
68	T00159 Trial Court Improvement Fund per Government Code Section			
69	77209	-17,717	-18,100	-18,100
70		<u> </u>	<u> </u>	<u> </u>
71	Totals, Revenues and Transfers.....	\$826,117	\$824,349	\$927,809
72		<u> </u>	<u> </u>	<u> </u>
73	Totals, Resources	\$832,066	\$830,190	\$927,809

* Dollars in thousands, except in Salary Range.

0450 STATE TRIAL COURT FUNDING—Continued

1							
2							
3							
4	EXPENDITURES						
5	Disbursements:				<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
6	0450 State Trial Court Funding (Local Assistance)				\$1,753,057	\$1,936,620	\$2,054,368
7	Expenditure Reductions:						
8	0450 State Trial Court Funding (Local Assistance):						
9	Less funding provided by the General Fund				-926,832	-1,103,230	-1,126,559
10	Less funding provided by the Judicial Administration Efficiency and						
11	Modernization Fund				-	-3,200	-
12							
13	Totals, Expenditures.....				\$826,225	\$830,190	\$927,809
14							
15	FUND BALANCE.....				\$5,841	-	-

0500 GOVERNOR’S OFFICE

Article V of the Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained at Sacramento.

SUMMARY OF PROGRAM

24							
25	REQUIRMENTS						
26		<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
27	10.10 Governor’s Office (General Fund) ..	86.0	86.0	86.0	\$5,285	\$5,566	\$5,705

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

35	PERSONAL SERVICES	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
36	Authorized Positions	86.0	86.0	86.0	\$4,153	\$4,433	\$4,458
37							
38	Totals, Personal Services	86.0	86.0	86.0	\$4,153	\$4,433	\$4,458
39							
40	OPERATING EXPENSES AND EQUIPMENT.....				\$1,132	\$1,133	\$1,247
41							
42	TOTALS, EXPENDITURES				\$5,285	\$5,566	\$5,705

**RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund**

51	APPROPRIATIONS				<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
52	001 Budget Act appropriation.....				\$4,995	\$5,511	\$5,705
53	Budget Act appropriation (support)				(4,920)	(5,436)	(5,630)
54	Budget Act appropriation (residence support)				(35)	(35)	(35)
55	Budget Act appropriation (contingent expenses)				(40)	(40)	(40)
56	Allocation for employee compensation				291	55	-
57							
58	Totals Available				\$5,286	\$5,566	\$5,705
59	Unexpended balance, estimated savings				-1	-	-
60							
61	TOTALS, EXPENDITURES (State Operations).....				\$5,285	\$5,566	\$5,705

**Governor’s Office
0505 DEPARTMENT OF INFORMATION TECHNOLOGY**

The Department of Information Technology, created by Executive Order and placed in statute by SB 1 (Chapter 508, Statutes of 1995) assumed responsibility for the strategic direction and oversight of the State’s significant investment in information technology, effective January 1, 1996. Under SB 1, authorization for the Department would have sunset on July 1, 2000. However, AB 1686 (Chapter 873, 1999) extends the sunset date to July 1, 2002. The Department is charged with providing leadership, guidance, statewide coordination and oversight of information technology in state government. The Department is responsible for: developing strategic plans and policies to support and promote effective application of information technology within state government; overseeing the consolidation of information technology resources; evaluating and monitoring the implementation of agency and department information technology initiatives; improving information technology support processes including procurement, project management and risk management; and for the creation of user and other advisory committees to support these objectives.

Authority

Government Code Section 11700 et seq.

For the list of standard (lettered) footnotes, see the end of the Governor’s Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0505 DEPARTMENT OF INFORMATION TECHNOLOGY—Continued

SUMMARY OF							
PROGRAM REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*	
10 Administration of Information Technology	57.5	76.0	76.0	\$34,308	\$11,384	\$11,867	
0001 General Fund				34,255	10,634	11,117	
0995 Reimbursements				53	750	750	

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*	
Authorized Positions (Equals Sch. 7A)	57.5	80.0	80.0	\$3,869	\$5,319	\$5,409	
Estimated Salary Savings	-	-4.0	-4.0	-	-266	-270	
Net Totals, Salaries and Wages	57.5	76.0	76.0	\$3,869	\$5,053	\$5,139	
Staff Benefits	-	-	-	575	984	978	
Totals, Personal Services	57.5	76.0	76.0	\$4,444	\$6,037	\$6,117	
OPERATING EXPENSES AND EQUIPMENT				\$29,864	\$5,197	\$5,750	
TOTALS, EXPENDITURES				\$34,308	\$11,234	\$11,867	

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation	\$27,084	\$10,520	\$11,117
Allocation for employee compensation	385	26	-
Allocation for Year 2000 per Item 9904-001-0001	16,563	-	-
Adjustment per Section 3.60	-305	-62	-
Totals Available	\$43,727	\$10,484	\$11,117
Unexpended balance, estimated savings	-9,472	-	-
TOTALS, EXPENDITURES	\$34,255	\$10,484	\$11,117
0995 Reimbursements			
Reimbursements	\$53	\$750	\$750
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$34,308	\$11,234	\$11,867

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation (expenditures)	-	\$150	-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$34,308	\$11,384	\$11,867

Governor's Office
0510 SECRETARY FOR STATE AND CONSUMER SERVICES

The State and Consumer Services Agency brings together a diverse array of State departments which include: the California Science Center, the California African American Museum, the Department of Consumer Affairs, the Department of Fair Employment and Housing, the Fair Employment and Housing Commission, the Franchise Tax Board, the Department of General Services, the State Personnel Board, the Public Employees' Retirement System, the State Teachers' Retirement System, and the Building Standards Commission. In addition, within the Agency is the Office of the Insurance Advisor which provides expertise to the Governor on insurance-related issues including legislative bill analysis, constituent services and the development of policy initiatives.

Authority

Government Code Sections 12800, 12801 and 12804.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

Governor's Office
0510 SECRETARY FOR STATE AND CONSUMER SERVICES—Continued

SUMMARY OF PROGRAM REQUIREMENTS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 Administration of State and Consumer Services Agency.....	7.1	13.0	13.0	\$1,088	\$1,695	\$1,395
0001 General Fund.....				595	884	882
0995 Reimbursements.....				493	811	513

SUMMARY BY OBJECT
1 STATE OPERATIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	7.1	13.3	13.3	\$547	\$1,063	\$1,076
Estimated Salary Savings.....	-	-0.3	-0.3	-	-19	-19
Net Totals, Salaries and Wages.....	7.1	13.0	13.0	\$547	\$1,044	\$1,057
Staff Benefits.....	-	-	-	68	131	133
Totals, Personal Services.....	7.1	13.0	13.0	\$615	\$1,175	\$1,190
OPERATING EXPENSES AND EQUIPMENT.....				\$473	\$520	\$205
TOTALS, EXPENDITURES.....				\$1,088	\$1,695	\$1,395

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$731	\$873	\$882
Allocation for employee compensation.....	37	7	-
Adjustment per Section 3.60.....	-32	-3	-
Adjustment per Section 4.60 (Rental Rate).....	-	7	-
Totals Available.....	\$736	\$884	\$882
Unexpended balance, estimated savings.....	-141	-	-
TOTALS, EXPENDITURES.....	\$595	\$884	\$882
0995 Reimbursements			
Reimbursements.....	\$493	\$811	\$513
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$1,088	\$1,695	\$1,395

Governor's Office
0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING

The strength of California's economy depends on efficient markets and sound infrastructure that encourages business and housing development. The Secretary of the Business, Transportation and Housing Agency is a member of the Governor's Cabinet and oversees 13 departments with responsibility for maintaining the strength of California's infrastructure and the efficiencies of its financial markets.

Departments that provide financial regulation important to an efficient marketplace include: the Department of Alcoholic Beverage Control; Department of Financial Institutions; Department of Corporations; Department of Real Estate; and the Office of Real Estate Appraisers. The Department of Housing and Community Development and the California Housing Finance Agency provide resources to foster neighborhood development.

Chapter 525, Statutes of 1999 established the Department of Managed Care (renamed to the Department of Managed Health Care) within the Business, Transportation and Housing Agency effective July 1, 2000. The Department oversees managed health care in California.

Departments that support transportation for the safe, efficient flow of people and commerce include: California Highway Patrol; Department of Motor Vehicles; Department of Transportation; and the Office of Traffic Safety. In addition, the Stephen P. Teale Data Center provides state-of-the-art computer technology services to government organizations throughout the state.

The Secretary of the Business, Transportation and Housing Agency co-chairs the Commission on Building for the 21st Century with the Lieutenant Governor. Created through Executive Order D-4-99, the Commission was originally scheduled to complete its work in 2000-01. The Commission expects to produce a report to the Governor by early spring and may submit a resource request for appropriate follow-up work at that time.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

Governor's Office
0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING—Continued

SUMMARY OF PROGRAM REQUIREMENTS							
		<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
10	Administration of BT&H Agency	32.2	20.2	17.2	\$26,803	\$2,428	\$2,219
30	Agency Audits Office	1.8	3.8	3.8	182	391	391
TOTALS, PROGRAMS		<u>34.0</u>	<u>24.0</u>	<u>21.0</u>	<u>\$26,985</u>	<u>\$2,819</u>	<u>\$2,610</u>
	<i>0001 General Fund</i>				115	207	-
	<i>0044 Motor Vehicle Account, State Transportation Fund</i>				638	962	952
	<i>0995 Reimbursements</i>				26,232	1,650	1,658

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS							
10 ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY							
					<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
State Operations:							
	<i>0001 General Fund</i>				\$115	\$207	-
	<i>0044 Motor Vehicle Account, State Transportation Fund</i>				638	962	\$952
	<i>0995 Reimbursements</i>				26,050	1,259	1,267
Totals, State Operations					<u>\$26,803</u>	<u>\$2,428</u>	<u>\$2,219</u>
ELEMENT REQUIREMENTS							
10.10	Administration				2,188	2,428	2,219
State Operations:							
	<i>0001 General Fund</i>				115	207	-
	<i>0044 Motor Vehicle Account, State Transportation Fund</i>				638	962	952
	<i>0095 Reimbursements</i>				1,435	1,259	1,267
10.20	California Complete Count Administration				24,615	-	-
State Operations:							
	<i>0995 Reimbursements</i>				24,615	-	-
PROGRAM REQUIREMENTS							
30 AGENCY AUDITS OFFICE							
State Operations:							
	<i>0995 Reimbursements</i>				\$182	\$391	\$391
Totals, State Operations					<u>\$182</u>	<u>\$391</u>	<u>\$391</u>
TOTALS, EXPENDITURES (State Operations)					<u>\$26,985</u>	<u>\$2,819</u>	<u>\$2,610</u>

SUMMARY BY OBJECT
1 STATE OPERATIONS

		<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
PERSONAL SERVICES							
	Authorized Positions (Equals Sch. 7A)	34.0	25.0	22.0	\$2,069	\$1,743	\$1,582
	Estimated Salary Savings	-	-1.0	-1.0	-	-76	-69
	Net Totals, Salaries and Wages	<u>34.0</u>	<u>24.0</u>	<u>21.0</u>	<u>\$2,069</u>	<u>\$1,667</u>	<u>\$1,513</u>
	Staff Benefits	-	-	-	231	331	290
	Totals, Personal Services	<u>34.0</u>	<u>24.0</u>	<u>21.0</u>	<u>\$2,300</u>	<u>\$1,998</u>	<u>\$1,803</u>
	OPERATING EXPENSES AND EQUIPMENT				<u>\$24,685</u>	<u>\$821</u>	<u>\$807</u>
	TOTALS, EXPENDITURES				<u>\$26,985</u>	<u>\$2,819</u>	<u>\$2,610</u>

* Dollars in thousands, except in Salary Range.

Governor's Office
0520 SECRETARY FOR BUSINESS, TRANSPORTATION AND HOUSING—Continued

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

APPROPRIATIONS	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
001 Budget Act appropriation.....	\$200	\$206	-
Allocation for employee compensation	11	2	-
Adjustment per Section 3.60	-11	-1	-
	<hr/>	<hr/>	<hr/>
Totals Available	\$200	\$207	-
Unexpended balance, estimated savings	-85	-	-
	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>
TOTALS, EXPENDITURES	\$115	\$207	-
0044 Motor Vehicle Account, State Transportation Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$907	\$961	\$952
Allocation for employee compensation	37	7	-
Adjustment per Section 3.60	-31	-6	-
Adjustment per Section 16.00.....	1	-	-
	<hr/>	<hr/>	<hr/>
Totals Available	\$914	\$962	\$952
Unexpended balance, estimated savings	-276	-	-
	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>
TOTALS, EXPENDITURES	\$638	\$962	\$952
0995 Reimbursements			
Reimbursements	\$26,232	\$1,650	\$1,658
	<hr/>	<hr/>	<hr/>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$26,985	\$2,819	\$2,610

Governor's Office
0530 SECRETARY FOR CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY

The California Health and Human Services Agency administers the State's health, social services, rehabilitation and employment programs. The Secretary for California Health and Human Services Agency, a member of the Governor's Cabinet, advises the Governor on major policy and program matters, and oversees the operation of the Agency departments.

The following departments fall under the purview of the California Health and Human Services Agency: the Departments of Aging, Alcohol and Drug Programs, Developmental Services, Health Services, Child Support Services, Mental Health, Rehabilitation, Social Services, the Emergency Medical Services Authority, the Employment Development Department, the California Workforce Investment Board, the Health and Human Services Agency Data Center, the Office of Statewide Health Planning and Development, the Managed Risk Medical Insurance Board, and the Department of Community Services and Development. Together, these departments are comprised of approximately 42,000 personnel years and manage total combined budgets of over \$58.3 billion in State and federal funds.

Authority

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

SUMMARY OF PROGRAM REQUIREMENTS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
10 Secretary for Health and Human Services	16.1	24.7	24.7	\$2,482	\$2,886	\$3,086
	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>
TOTALS, PROGRAMS	16.1	24.7	24.7	\$2,482	\$2,886	\$3,086
0001 General Fund.....				1,308	1,882	2,084
0995 Reimbursements				1,174	1,004	1,002

Major Budget Adjustment Proposed for 2001-02

- A one-time increase of \$250,000 General Fund for a contract to evaluate the Employment Development Department's grant program for faith-based organizations.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

Governor's Office
0530 SECRETARY FOR CALIFORNIA HEALTH AND HUMAN SERVICES AGENCY—Continued

SUMMARY BY OBJECT
1 STATE OPERATIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	16.1	25.0	25.0	\$1,062	\$1,849	\$1,872
Estimated Salary Savings	—	-0.3	-0.3	—	-92	-94
Net Totals, Salaries and Wages	16.1	24.7	24.7	\$1,062	\$1,757	\$1,778
Staff Benefits	—	—	—	155	299	304
Totals, Personal Services	16.1	24.7	24.7	\$1,217	\$2,056	\$2,082
OPERATING EXPENSES AND EQUIPMENT				\$1,265	\$830	\$1,004
TOTALS, EXPENDITURES				\$2,482	\$2,886	\$3,086

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$1,340	\$1,874	\$2,084
Allocation for employee compensation	61	14	—
Adjustment per Section 3.60	-57	-16	—
Adjustment per Section 4.60	—	10	—
Totals Available	\$1,344	\$1,882	\$2,084
Unexpended balance, estimated savings	-36	—	—
TOTALS, EXPENDITURES	\$1,308	\$1,882	\$2,084
0995 Reimbursements			
Reimbursements	\$1,174	\$1,004	\$1,002
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,482	\$2,886	\$3,086

Governor's Office
0540 SECRETARY FOR RESOURCES

The Resources Agency, through its various departments, boards, commissions, and conservancies, administers programs that conserve, preserve, restore and enhance the rich and diverse natural resources of California. The Secretary for Resources, a member of the Governor's Cabinet, is responsible for administering programs and policies governing the acquisition, development and use of the State's resources to attain these objectives.

The Resources Agency consists of the Departments of Forestry and Fire Protection, Conservation, Fish and Game, Boating and Waterways, Parks and Recreation, and Water Resources; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the State Reclamation Board; the Energy Resources Conservation and Development Commission; the California Conservation Corps; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; Baldwin Hills Conservancy; and the Special Resources Program.

The Secretary for Resources is a voting member of the State Coastal Conservancy, the California Tahoe Conservancy, the Santa Monica Mountains Conservancy, the Coachella Valley Mountains Conservancy, the San Joaquin River Conservancy, San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy, Baldwin Hills Conservancy, and the San Francisco Bay Conservation and Development Commission. The secretary is also an ex-officio, non-voting, member of the Energy Resources Conservation and Development Commission and the California Coastal Commission. Along with the United States Secretary of Interior, the secretary co-leads the CALFED Bay Delta Program. In addition, the secretary administers the Sea Grant Program, the Open Space Subvention Program, the California Environmental Quality Act, the San Joaquin River Management Program, the Environmental Enhancement and Mitigation Demonstration Program, and the Coastal Resources and Energy Assistance Programs.

Major Budget Adjustments Proposed for 2001-02

- \$70,000,000 General Fund for the River Parkways Program.
- \$2,000,000 General Fund and 2.0 positions for the second year of the California Continuing Resource Investment Strategy Project.
- \$88,703,000 Bay-Delta Ecosystem Restoration Account for implementation of the CALFED Ecosystem Restoration Program.
- \$7,650,000 River Protection Subaccount for a grant program.

Authority

Government Code Sections 12800, 12801 and 12805.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

Governor's Office
0540 SECRETARY FOR RESOURCES—Continued

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SUMMARY OF PROGRAM REQUIREMENTS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
10 Administration of Resources Agency...	19.8	34.0	34.0	\$10,276	\$131,000	\$226,603
TOTALS, PROGRAMS.....	19.8	34.0	34.0	\$10,276	\$131,000	\$226,603
0001 General Fund.....				8,483	11,181	76,930
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund.....				-	41,549	180
0140 California Environmental License Plate Fund.....				713	799	853
0183 Environmental Enhancement and Mitigation Demonstration Program Fund.....				116	120	121
0546 Bay-Delta Ecosystem Restoration Account.....				-	51,500	140,203
0890 Federal Trust Fund.....				252	338	153
0928 Forest Resources Improvement Fund.....				250	-	-
0995 Reimbursements.....				462	513	513
6015 River Protection Subaccount.....				-	25,000	7,650

SUMMARY BY OBJECT
1 STATE OPERATIONS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	19.8	34.8	32.8	\$1,195	\$2,124	\$2,055
Total Adjustments.....	-	-	2.0	-	19	134
Estimated Salary Savings.....	-	-0.8	-0.8	-	-37	-82
Net Totals, Salaries and Wages.....	19.8	34.0	34.0	\$1,195	\$2,106	\$2,107
Staff Benefits.....	-	-	-	179	417	430
Totals, Personal Services.....	19.8	34.0	34.0	\$1,374	\$2,523	\$2,537
OPERATING EXPENSES AND EQUIPMENT.....				\$1,772	\$7,210	\$3,066
SPECIAL ITEMS OF EXPENSE						
Bay-Delta Ecosystem Restoration Projects.....				-	51,500	140,203
TOTALS, EXPENDITURES.....				\$3,146	\$61,233	\$145,806

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,575	\$7,781	\$3,783
Allocation for employee compensation.....	87	15	-
Adjustment per Section 3.60.....	-76	-22	-
Adjustment per Section 4.60 (Rental Rate).....	-	10	-
Adjustment per Section 16.00.....	1	-	-
Totals Available.....	\$1,587	\$7,784	\$3,783
Unexpended balance, estimated savings.....	-234	-	-
TOTALS, EXPENDITURES.....	\$1,353	\$7,784	\$3,783

0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund^b

APPROPRIATIONS			
001 Budget Act appropriation.....	-	\$180	\$180
Allocation for employee compensation.....	-	1	-
Adjustment per Section 3.60.....	-	-2	-
TOTALS, EXPENDITURES.....	-	\$179	\$180

* Dollars in thousands, except in Salary Range.

**Governor's Office
0540 SECRETARY FOR RESOURCES—Continued**

0140 California Environmental License Plate Fund ^s

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
001 Budget Act appropriation.....	\$763	\$782	\$853
Allocation for employee compensation	28	20	-
Adjustment per Section 3.60	-21	-3	-
Totals Available	<u>\$770</u>	<u>\$799</u>	<u>\$853</u>
Unexpended balance, estimated savings	<u>-57</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$713</u>	<u>\$799</u>	<u>\$853</u>

**0183 Environmental Enhancement and Mitigation
Demonstration Program Fund ^s**

APPROPRIATIONS			
001 Budget Act appropriation.....	\$115	\$120	\$121
Allocation for employee compensation	8	1	-
Adjustment per Section 3.60	-7	-1	-
TOTALS, EXPENDITURES	<u>\$116</u>	<u>\$120</u>	<u>\$121</u>

0546 Bay-Delta Ecosystem Restoration Account ^b

APPROPRIATIONS			
001 Budget Act appropriation (expenditures).....	-	\$51,500	\$140,203

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation.....	\$320	\$338	\$153
Budget adjustment.....	-68	-	-
TOTALS, EXPENDITURES	<u>\$252</u>	<u>\$338</u>	<u>\$153</u>

0928 Forest Resources Improvement Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation (expenditures).....	\$250	-	-

0995 Reimbursements

Reimbursements	\$462	\$513	\$513
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	<u>\$3,146</u>	<u>\$61,233</u>	<u>\$145,806</u>

**SUMMARY BY OBJECT
2 LOCAL ASSISTANCE**

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
Grants and Subventions	\$7,130	\$69,767	\$80,797

**RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund**

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
101 Budget Act appropriation.....	\$2,711	\$3,397	\$73,147
102 Budget Act appropriation.....	2,500	-	-
103 Budget Act appropriation.....	3,200	-	-
Totals Available	<u>\$8,411</u>	<u>\$3,397</u>	<u>\$73,147</u>
Unexpended balance, estimated savings	<u>-1,281</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$7,130</u>	<u>\$3,397</u>	<u>\$73,147</u>

* Dollars in thousands, except in Salary Range.

Governor's Office
0540 SECRETARY FOR RESOURCES—Continued

0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund^b

8	APPROPRIATIONS	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
9	101 Budget Act appropriation (expenditures).....	-	\$41,370	-
11	6015 River Protection Subaccount^b			
13	APPROPRIATIONS			
14	101 Budget Act appropriation (expenditures).....	-	\$25,000	\$7,650
16	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$7,130	\$69,767	\$80,797
18	TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$10,276	\$131,000	\$226,603

CHANGES IN AUTHORIZED POSITIONS							
		<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
27	Totals, Authorized Positions	19.8	34.8	32.8	\$1,195	\$2,124	\$2,055
28	Salary adjustments.....	-	-	-	-	19	16
30	Totals, Adjusted Authorized Positions	19.8	34.8	32.8	\$1,195	\$2,143	\$2,071
31	Proposed New Positions:				Salary Range		
32	C.E.A. III	-	-	1.0	7,648-8,432	-	90
33	Ofc Techn-Typing.....	-	-	1.0	2,348-2,855	-	28
35	Totals, Proposed New Positions	-	-	2.0	-	-	\$118
37	Total Adjustments.....	-	-	2.0	-	\$19	\$134
39	TOTALS, SALARIES AND WAGES	19.8	34.8	34.8	\$1,195	\$2,143	\$2,189

Governor's Office
0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY

The Youth and Adult Correctional Agency includes the Department of Corrections (CDC), Department of the Youth Authority, Board of Prison Terms, Youthful Offender Parole Board, Board of Corrections, the Narcotic Addict Evaluation Authority and the Commission on Correctional Peace Officers' Standards and Training. The Agency provides communication, coordination, and budget and policy direction for the departments and boards.

Major Budget Adjustment Included in 2000-01

- 0.5 position (0.5 personnel year) and \$66,000 to provide increased coordination of substance abuse programming efforts by departments within this agency.

Major Budget Adjustment Proposed for 2001-02

- 1.0 position (0.9 personnel year) and \$127,000 to provide increased coordination of substance abuse programming efforts by departments within this agency.

Authority

Government Code Section 12811.

SUMMARY OF PROGRAM REQUIREMENTS

		<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
67	10 Secretary for the Youth and Adult Correctional Agency	9.1	11.7	12.1	\$1,018	\$3,350	\$3,412
69	15 Commission on Correctional Peace Officers' Standards and Training ..	2.4	-	-	617	-	-
72	TOTALS, PROGRAMS.....	11.5	11.7	12.1	\$1,635	\$3,350	\$3,412
73	0001 General Fund.....				1,427	3,092	3,154
74	0995 Reimbursements				208	258	258

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

Governor's Office
0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 SECRETARY FOR THE YOUTH AND ADULT CORRECTIONAL AGENCY

State Operations:	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
0001 General Fund.....	9.1	11.7	12.1	\$810	\$3,092	\$3,154
0995 Reimbursements	-	-	-	208	258	258
Totals, State Operations	9.1	11.7	12.1	\$1,018	\$3,350	\$3,412

PROGRAM REQUIREMENTS

15 COMMISSION ON CORRECTIONAL PEACE OFFICERS' STANDARDS AND TRAINING

State Operations:	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
0001 General Fund.....	2.4	-	-	\$617	-	-
Totals, State Operations	2.4	-	-	\$617	-	-

TOTAL EXPENDITURES

State Operations	\$1,635	\$3,350	\$3,412
TOTALS, EXPENDITURES	\$1,635	\$3,350	\$3,412

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
Authorized Positions (Equals Sch. 7A).....	11.5	11.3	11.3	\$686	\$965	\$980
Total Adjustments	-	0.5	1.0	-	49	97
Estimated Salary Savings	-	-0.1	-0.2	-	-11	-13
Net Totals, Salaries and Wages	11.5	11.7	12.1	\$686	\$1,003	\$1,064
Staff Benefits	-	-	-	100	134	144
Totals, Personal Services	11.5	11.7	12.1	\$786	\$1,137	\$1,208
OPERATING EXPENSES AND EQUIPMENT				\$849	\$2,213	\$2,204
TOTALS, EXPENDITURES				\$1,635	\$3,350	\$3,412

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

APPROPRIATIONS	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
001 Budget Act appropriation.....	\$833	\$3,017	\$3,154
Allocation for employee compensation	65	9	-
Adjustment per Section 3.60	-31	-	-
Adjustment per Section 16.00.....	2	-	-
Allocation for contingencies or emergencies	-	66	-
005 Budget Act appropriation.....	622	-	-
Allocation for employee compensation	11	-	-
Adjustment per Section 3.60	-5	-	-
Totals Available	\$1,497	\$3,092	\$3,154
Unexpended balance, estimated savings	-70	-	-
TOTALS, EXPENDITURES	\$1,427	\$3,092	\$3,154

* Dollars in thousands, except in Salary Range.

Governor's Office

0550 SECRETARY FOR YOUTH AND ADULT CORRECTIONAL AGENCY—Continued

0995 Reimbursements

		<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
8	Reimbursements	\$208	\$258	\$258
10	TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	<u>\$1,635</u>	<u>\$3,350</u>	<u>\$3,412</u>

CHANGES IN

AUTHORIZED POSITIONS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>	
18	Totals, Authorized Positions	11.5	11.3	11.3	\$686	\$965	\$980
19	Proposed New Positions:				Salary Range		
20	C.E.A. III	-	0.5	1.0	7,354-8,108	49	97
22	Total, Proposed New Positions	<u>-</u>	<u>0.5</u>	<u>1.0</u>	<u>-</u>	<u>\$49</u>	<u>\$97</u>
24	Total Adjustments	<u>-</u>	<u>0.5</u>	<u>1.0</u>	<u>-</u>	<u>\$49</u>	<u>\$97</u>
26	TOTALS, SALARIES AND WAGES	<u>11.5</u>	<u>11.8</u>	<u>12.3</u>	<u>\$686</u>	<u>\$1,014</u>	<u>\$1,077</u>

0552 OFFICE OF THE INSPECTOR GENERAL

The Office of the Inspector General has the responsibility for oversight of the State's Correctional System through audits and investigations of the boards and departments within the Youth and Adult Correctional Agency. Chapter 766, Statutes of 1994 created the Office of the Inspector General within the Youth and Adult Correctional Agency. However, Chapter 969, Statutes of 1998 changed and expanded the role of the Inspector General and re-established the office as an independent entity reporting directly to the Governor. The role of the Office of the Inspector General has been further expanded by the following legislation. Chapter 338, Statutes of 1998 required reviews of specified Internal Affairs investigations of the boards and departments within the Youth and Adult Correctional Agency. Chapter 806, Statutes of 1999 required investigations of complaints of retaliation against those reporting misconduct on the part of correctional agencies. And Chapter 918, Statutes of 1999, expanded the authority to conduct audits and investigations and required baseline audits following the confirmation of a new warden or the appointment of a superintendent.

Major Budget Adjustments Proposed for 2001-02

- 5.0 positions (4.8 personnel years) and \$520,000 General Fund to establish an Administrative Support Unit and to provide contractual services for information system support.
- \$406,000 General Fund to augment the office's facilities operations.

Authority

Penal Code, Part III, Title 7, Chapter 8.2, Sections 6125 to 6129.

SUMMARY OF PROGRAM REQUIREMENTS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>	
53	10 Office of the Inspector General	40.2	104.4	109.2	\$6,071	\$10,424	\$11,178
55	TOTALS, PROGRAMS	<u>40.2</u>	<u>104.4</u>	<u>109.2</u>	<u>\$6,071</u>	<u>\$10,424</u>	<u>\$11,178</u>
57	0001 General Fund				6,071	10,424	11,178

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>	
65	PERSONAL SERVICES						
66	Authorized Positions (Equals Sch. 7A)	40.2	109.9	109.9	\$2,681	\$6,645	\$6,873
67	Total Adjustments	-	-	5.0	-	-	217
68	Estimated Salary Savings	-	-5.5	-5.7	-	-332	-355
69	Net Totals, Salaries and Wages	<u>40.2</u>	<u>104.4</u>	<u>109.2</u>	<u>\$2,681</u>	<u>\$6,313</u>	<u>\$6,735</u>
70	Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>272</u>	<u>1,039</u>	<u>1,109</u>
72	Totals, Personal Services	<u>40.2</u>	<u>104.4</u>	<u>109.2</u>	<u>\$2,953</u>	<u>\$7,352</u>	<u>\$7,844</u>
74	OPERATING EXPENSES AND EQUIPMENT				<u>\$3,118</u>	<u>\$3,072</u>	<u>\$3,334</u>
76	TOTALS, EXPENDITURES				<u>\$6,071</u>	<u>\$10,424</u>	<u>\$11,178</u>

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

0552 OFFICE OF THE INSPECTOR GENERAL—Continued

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation	\$7,294	\$10,248	\$11,178
Allocation for employee compensation	265	74	-
Adjustment per Section 3.60	-157	102	-
Totals Available	\$7,402	\$10,424	\$11,178
Unexpended balance, estimated savings	-1,331	-	-
TOTALS, EXPENDITURES (State Operations).....	\$6,071	\$10,424	\$11,178

CHANGES IN AUTHORIZED POSITIONS				1999-00*	2000-01*	2001-02*
	99-00	00-01	01-02			
Totals, Authorized Positions	40.2	109.9	109.9	\$2,681	\$6,645	\$6,873
Proposed New Positions:				Salary Range		
Assoc Govtl Prog Analyst.....	-	-	1.0	3,915-4,759	-	52
Assoc Pers Analyst	-	-	1.0	3,915-4,759	-	52
Records Mgmt Analyst I	-	-	1.0	3,255-3,957	-	43
Pers Spec II.....	-	-	1.0	2,978-3,619	-	39
Ofc Techn-Typing.....	-	-	1.0	2,348-2,855	-	31
Totals, Proposed New Positions	-	-	5.0	-	-	\$217
Total Adjustments.....	-	-	5.0	-	-	\$217
TOTALS, SALARIES AND WAGES	40.2	109.9	114.9	\$2,681	\$6,645	\$7,090

0553 OFFICE OF THE INSPECTOR GENERAL FOR VETERANS AFFAIRS

Chapter 894, Statutes of 1999 created the Office of the Inspector General for Veterans Affairs. The Inspector General for Veterans Affairs has responsibility for reviewing the operations and financial conditions of State Veterans Homes, the State Farm and Home Purchase Program, State Veterans Services, and all other veterans programs supported by the State, including County Veterans Service Offices and Veterans Memorial Districts. The Inspector General also is required to operate a toll-free complaint hotline for veterans, conduct audits and investigations of state veterans programs, and to make recommendations for improving the operations of veterans programs.

Authority

Military-Veterans Code, Division 1, Chapter 2, Sections 73.5, 73.6, and 73.7.

SUMMARY OF PROGRAM REQUIREMENTS		99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 Office of the Inspector General for Veterans Affairs		-	3.8	4.7	-	\$603	\$662
0001 General Fund.....					-	514	579
0592 Veterans' Farm and Home Building Fund of 1943					-	89	83

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Authorized Positions (Equals Sch. 7A)	-	4.0	4.0	-	\$354	\$364
Total Adjustments	-	-	1.0	-	-	57
Estimated Salary Savings	-	-0.2	-0.3	-	-18	-21
Net Totals, Salaries and Wages	-	3.8	4.7	-	\$336	\$400
Staff Benefits	-	-	-	-	42	54
Totals, Personal Services	-	3.8	4.7	-	\$378	\$454
OPERATING EXPENSES AND EQUIPMENT					\$225	\$208
TOTALS, EXPENDITURES					\$603	\$662

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0553 OFFICE OF THE INSPECTOR GENERAL FOR VETERANS AFFAIRS—Continued

**RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund**

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	-	\$515	\$579
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	-3	-
TOTALS, EXPENDITURES	-	\$514	\$579
0592 Veterans' Farm and Home Building Fund of 1943 ⁿ			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$90	\$83
Adjustment per Section 3.60	-	-1	-
TOTALS, EXPENDITURES	-	\$89	\$83
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	-	\$603	\$662

**CHANGES IN
AUTHORIZED POSITIONS**

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Totals, Authorized Positions	-	4.0	4.0	-	\$354	\$364
Proposed New Positions:				Salary Range		
Investigative Auditor III	-	-	1.0	\$4,275-5,197	-	57
Totals, Proposed New Positions	-	-	1.0	-	-	\$57
Total Adjustments.....	-	-	1.0	-	-	\$57
TOTALS, SALARIES AND WAGES	-	4.0	5.0	-	\$354	\$421

**Governor's Office
0555 SECRETARY FOR ENVIRONMENTAL PROTECTION**

The Secretary for Environmental Protection (CalEPA), a member of the Governor's Cabinet, manages the State's environmental protection programs. The Secretary oversees the operations of the following organizations: Air Resources Board, California Integrated Waste Management Board, Department of Pesticide Regulation, State Water Resources Control Board, Department of Toxic Substances Control, and Office of Environmental Health Hazard Assessment. In addition, the Secretary administers the following special environmental programs: Permit Assistance Centers, Scientific Peer Review, Circuit Prosecutor Project, Information Technology for the new CalEPA headquarters building, Environmental Enforcement, and Environmental Management Systems.

**SUMMARY OF PROGRAM
REQUIREMENTS**

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 Environmental Protection Programs	19.4	25.6	36.2	\$2,705	\$2,939	\$5,383
20 Special Environmental Programs	25.7	38.0	43.7	6,328	4,726	5,939
TOTALS, PROGRAMS.....	45.1	63.6	79.9	\$9,033	\$7,665	\$11,322
0001 General Fund.....				3,781	4,182	6,909
0014 Hazardous Waste Control Account				298	316	334
0028 Unified Program Account				-	-	799
0044 Motor Vehicle Account, State Transportation Fund				1,027	496	534
0100 California Used Oil Recycling Fund.....				55	25	28
0106 Department of Pesticide Regulation Fund.....				184	195	206
0193 Waste Discharge Permit Fund				432	12	-
0226 California Tire Recycling Management Fund.....				9	1	-
0281 Recycling Market Development Revolving Loan Account.....				135	143	151
0387 Integrated Waste Management Account.....				671	382	422
0439 Underground Storage Tank Cleanup Fund				48	51	54
0679 State Water Quality Control Fund.....				134	134	134
0995 Reimbursements				2,259	1,728	1,751
1006 Rural CUPA Reimbursement Account.....				-	-	900
Less funding provided by General Fund.....				-	-	-900

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

Governor's Office
0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

10 ENVIRONMENTAL PROTECTION PROGRAMS

Program Objectives Statement

The primary objective of Environmental Protection Programs is to improve environmental quality and protect public health and California's natural resources through the coordination of State environmental programs, thereby reducing administrative duplication and addressing the greatest environmental and health risks.

Major Budget Adjustments Proposed for 2001-02

- \$1,862,000 from various special funds and reimbursements to continue 24.0 positions in the Office of the Secretary.
- \$799,000 Unified Hazardous Waste Program Account for the transfer of 10.0 positions from the Department of Toxic Substances Control for the oversight responsibilities of the Unified Hazardous Waste Program, which is a State and local effort to consolidate, coordinate and make consistent six existing programs regulating hazardous waste and hazardous materials management.
- \$900,000 General Fund to fund the Rural CUPA Reimbursement Account that was established pursuant to Chapter 730, Statutes of 2000, to provide partial funding to local jurisdictions for implementation of the Unified Hazardous Waste Program.
- \$100,000 General Fund to implement Chapter 728, Statutes of 2000, requiring CalEPA to convene a working group to assist in developing an interagency environmental justice strategy.
- \$125,000 General Fund and 1.0 position to implement Chapter 742, Statutes of 2000, related to border environmental and public health protection.
- \$350,000 General Fund to develop a multimedia emergency response plan.

Authority

Governor's Reorganization Plan No. 1 of 1991 and Health and Safety Code, Division 37.

20 SPECIAL ENVIRONMENTAL PROGRAMS

Program Objectives Statement

Special Environmental Programs includes Permit Assistance Centers, Scientific Peer Review, the Circuit Prosecutor Project, Information Technology for the new CalEPA headquarters building, Environmental Enforcement, and Environmental Management Systems.

Permit Assistance Centers assist individuals and businesses with their permitting needs. Thirteen Centers located throughout California provide a single point of contact to: (1) guide individuals and businesses through complex regulatory systems, (2) eliminate regulatory overlap, and (3) promote government coordination. Employees of cities, counties, regional governments and the State work together in shared facilities to better coordinate services to the public. In addition to the thirteen Centers, an Internet site (CalGold) provides greater convenience to those seeking permit assistance.

Chapter 295, Statutes of 1997, requires CalEPA to conduct an external peer review of the scientific basis for any rule or regulation proposed by a board, department or office within CalEPA. The external scientific body may be the National Academy of Sciences, the University of California, the California State University, or similar scientific institutions of higher learning, or a combination of those entities, or a scientist or group of scientists of comparable stature that is recommended by the President of the University of California. Program funds are used to contract for external scientific review services for various CalEPA boards, departments and offices, and to pay for related personnel, travel, and overhead costs.

The Circuit Prosecutor Project is a joint effort with the California District Attorneys' Association to assure appropriate and uniform environmental enforcement by handling criminal and civil environmental prosecutions of cases under State toxic, air, and water pollution laws in various rural counties.

The integration of individual information technology systems that consider policy and technical issues is important to address issues that cross environmental areas of interest and to provide a cost-effective and efficient technical operation that interlinks similar needs and requirements of various boards, departments and offices within CalEPA. The Information Technology program supports the planning and coordination of information technology services and systems in the new CalEPA headquarters building.

The Environmental Enforcement Project implements Chapter 65, Statutes of 1999, requiring the Secretary to develop a program to ensure that enforcement actions taken within CalEPA's jurisdiction are consistent, effective, and coordinated, including the establishment of a cross-media enforcement unit and the crossstraining of inspection and enforcement personnel.

Instead of the traditional regulatory emphasis on complying with process requirements, Environmental Management Systems incorporates a series of environmental standards and protocols to encourage businesses to incorporate performance-based standards to improve environmental performance at a lower cost than existing regulations. The Secretary for Environmental Protection convenes, directs, and oversees multi-disciplinary interdepartmental teams to conduct pilot projects involving Environmental Management Systems integrated compliance plans.

Major Budget Adjustment Proposed for 2001-02

- \$1,272,000 General Fund and 8.5 positions to design and test an integrated sustainability planning and environmental improvement process.

Authority

Governor's Reorganization Plan No. 1 of 1991, Health and Safety Code, Division 37 and Section 57004.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 ENVIRONMENTAL PROTECTION PROGRAMS

State Operations:	1999-00*	2000-01*	2001-02*
0001 General Fund	-	\$491	\$2,017
0028 Unified Program Account	-	-	799

* Dollars in thousands, except in Salary Range.

Governor's Office

0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

	1999-00*	2000-01*	2001-02*
0044 Motor Vehicle Account, State Transportation Fund.....	\$594	\$483	\$534
0100 California Used Oil Recycling Fund.....	26	24	28
0387 Integrated Waste Management Account.....	272	225	254
0995 Reimbursements.....	1,813	1,716	1,751
1006 Rural CUPA Reimbursement Account.....	-	-	900
Less funding provided by General Fund.....	-	-	-900
Totals, State Operations.....	\$2,705	\$2,939	\$5,383

PROGRAM REQUIREMENTS

20 SPECIAL ENVIRONMENTAL PROGRAMS

State Operations:			
0001 General Fund.....	\$3,781	\$3,691	\$4,892
0014 Hazardous Waste Control Account.....	298	316	334
0044 Motor Vehicle Account, State Transportation Fund.....	433	13	-
0100 California Used Oil Recycling Fund.....	29	1	-
0106 Department of Pesticide Regulation Fund.....	184	195	206
0193 Waste Discharge Permit Fund.....	432	12	-
0226 California Tire Recycling Management Fund.....	9	1	-
0281 Recycling Market Development Revolving Loan Account.....	135	143	151
0387 Integrated Waste Management Account.....	399	157	168
0439 Underground Storage Tank Cleanup Fund.....	48	51	54
0679 State Water Quality Control Fund.....	134	134	134
0995 Reimbursements.....	446	12	-
Totals, State Operations.....	\$6,328	\$4,726	\$5,939

ELEMENT REQUIREMENTS

20.10 Permit Assistance Centers.....	2,378	2,428	2,439
20.15 Scientific Peer Review.....	1,120	1,144	1,186
20.20 Circuit Prosecutor Project.....	404	420	436
20.25 Information Technology.....	1,599	46	-
20.30 Environmental Enforcement.....	509	517	519
20.45 Environmental Management Systems.....	168	171	1,359
20.50 Santa Susana Advisory Panel.....	150	-	-
TOTALS, EXPENDITURES (State Operations).....	\$6,328	\$4,726	\$5,939

SUMMARY BY OBJECT

1 STATE OPERATIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	45.1	65.4	40.4	\$2,655	\$3,893	\$2,202
Total Adjustments.....	-	-	43.5	-	-	2,782
Estimated Salary Savings.....	-	-1.8	-4.0	-	-115	-162
Net Totals, Salaries and Wages.....	45.1	63.6	79.9	\$2,655	\$3,778	\$4,822
Staff Benefits.....	-	-	-	396	640	876
Totals, Personal Services.....	45.1	63.6	79.9	\$3,051	\$4,418	\$5,698
OPERATING EXPENSES AND EQUIPMENT.....				\$5,982	\$3,247	\$5,624
TOTALS, EXPENDITURES.....				\$9,033	\$7,665	\$11,322

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$3,710	\$4,177	\$6,009
011 Budget Act appropriation (for transfer to the Rural CUPA Reimbursement Account [1006]).....	-	-	900
Allocation for employee compensation.....	180	14	-
Adjustment per Section 3.60.....	-109	-9	-
TOTALS, EXPENDITURES.....	\$3,781	\$4,182	\$6,909

* Dollars in thousands, except in Salary Range.

Governor's Office

0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

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* Dollars in thousands, except in Salary Range.

Governor's Office
0555 SECRETARY FOR ENVIRONMENTAL PROTECTION—Continued

1006 Rural CUPA Reimbursement Account ^s

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
001 Budget Act appropriation.....	-	-	\$900
Less funding provided by the General Fund	-	-	-900
TOTALS, EXPENDITURES	-	-	-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$9,033	\$7,665	\$11,322

FUND CONDITION STATEMENT

1006 Rural CUPA Reimbursement Account ^s

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
BEGINNING BALANCE.....	-	-	-
EXPENDITURES			
Disbursements:			
0555 Secretary for Environmental Protection (State Operations).....	-	-	\$900
Expenditure Reductions:			
0555 Secretary for Environmental Protection			
Less funding provided by the General Fund (State Operations).....	-	-	-900
Totals, Expenditures	-	-	-
FUND BALANCE.....	-	-	-

**CHANGES IN
AUTHORIZED POSITIONS**

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Totals, Authorized Positions	45.1	65.4	40.4	\$2,655	\$3,893	\$2,202
Proposed New Positions:				Salary Range		
Secty	-	-	1.0	10,951	-	131
Undersecty	-	-	1.0	9,079-9,818	-	118
Dep Secty	-	-	1.0	9,079-9,818	-	109
Dep Secty for Law Enforcement and Counsel	-	-	1.0	8,681-9,388	-	108
Dep Secty for External Affs	-	-	1.0	8,201-8,870	-	107
Dep Secty for Policy and Intergovtl Relations	-	-	1.0	8,201-8,870	-	107
C.E.A. III	-	-	1.0	7,648-8,432	-	106
Communications Director	-	-	1.0	7,105-7,684	-	92
C.E.A. II	-	-	3.0	6,954-7,668	-	272
C.E.A. I ¹	-	-	2.5	5,493-6,976	-	204
Supvng Hazardous Substance Scientist II ...	-	-	1.0	5,585-6,741	-	74
Sr Water Resource Cntrl Engr ¹	-	-	1.5	5,087-6,181	-	101
Staff Air Pollution Spec ¹	-	-	1.5	4,847-5,891	-	96
Sr Hazardous Substance Scientist ¹	-	-	2.5	4,846-5,851	-	160
Sr Integrated Waste Mgt Spec ¹	-	-	0.5	4,846-5,851	-	32
Envirntl Spec IV	-	-	1.0	4,846-5,851	-	64
Assoc Govtl Prog Analyst.....	-	-	3.0	3,915-4,759	-	161
Adm Asst II.....	-	-	1.0	3,915-4,759	-	51
Hazardous Substance Scientist.....	-	-	3.0	3,327-4,008	-	132
Staff Svcs Analyst-Gen.....	-	-	3.0	2,507-3,957	-	116
Exec Asst	-	-	3.0	2,926-3,556	-	124
Legal Secty	-	-	1.0	2,704-3,450	-	39
Exec Secty I	-	-	3.0	2,688-3,268	-	112
Accountant I-Spec	-	-	1.0	2,554-3,104	-	34
Ofc Techn-Typing	-	-	2.0	2,348-2,855	-	61
Ofc Asst-Typing.....	-	-	1.0	1,908-2,465	-	28
Temporary Help	-	-	1.0	-	-	23
Overtime	-	-	-	-	-	20
Totals, Proposed New Positions	-	-	43.5	-	-	\$2,782
Total Adjustments.....	-	-	43.5	-	-	\$2,782
TOTALS, SALARIES AND WAGES	45.1	65.4	83.9	\$2,655	\$3,893	\$4,984

¹ 0.5 position effective 1/1/02 (continuation of position previously limited to 12/31/01).

* Dollars in thousands, except in Salary Range.

Governor's Office
0558 OFFICE OF THE SECRETARY FOR EDUCATION

The Secretary for Education, a member of the Governor's Cabinet, is responsible for advising the Governor and making recommendations on state education policy and legislation. The Office of the Secretary for Education (OSE) administers several education programs, including the Academic Volunteer and Mentor Service Program (Chapter 901, Statutes of 1992), the Governor's Reading Award Program (Chapter 2, Statutes of 1999), READ California (Chapter 2, Statutes of 1999), and the Education Technology Grant Program (Chapter 78, Statutes of 2000).

For the current fiscal year, the costs of the OSE are funded through the Governor's Office of Planning and Research. It is assumed that legislation will be proposed in 2001 to establish the Secretary statutorily, effective on or before January 1, 2002.

Major Budget Adjustments Proposed for 2001-02

- \$20,000,000 in one-time Proposition 98 funding to establish the High Tech High Schools Grant Program.
- \$5,000,000 Proposition 98 to establish the Math and Science Challenge Grant Program.
- \$5,000,000 Proposition 98 to establish a student-level system to monitor Academic Performance Index scores.
- \$5,000,000 Proposition 98 funds to expand the Academic Volunteer and Mentor Service Program.
- \$2,000,000 Proposition 98 for continuation funding of the School-to-Career Partnership Grants, which were established by Chapter 793, Statutes of 2000.
- \$120,000 General Fund and one position for support of the Math and Science Challenge Grant Program.

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 Office of the Secretary for Education ..	21.2	24.0	24.0	\$6,055	\$7,163	\$6,175
20 Academic Volunteer and Mentor Service Program	2.1	3.0	4.0	10,145	10,200	15,200
30 Education Technology Grant Program ..	-	1.9	1.9	175,000	180	180
40 Math and Science Challenge Grant Program	-	-	-	-	-	5,120
50 School-to-Career Partnership Grants ...	-	-	-	-	2,000	2,000
60 API Student Tracking System	-	-	-	-	-	5,000
70 High Tech High Schools Grant Program	-	-	-	-	-	20,000
TOTALS, PROGRAMS.....	23.3	28.9	29.9	\$191,200	\$19,543	\$53,675
0001 General Fund.....				6,230	7,533	6,665
0001 General Fund (Proposition 98).....				184,970	12,000	47,000
0995 Reimbursements				-	10	10

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Authorized Positions (Equals Sch. 7A)	23.3	30.0	30.0	\$1,378	\$1,762	\$1,773
Total Adjustments	-	-	1.0	-	-	68
Estimated Salary Savings	-	-1.1	-1.1	-	-68	-82
Net Totals, Salaries and Wages	23.3	28.9	29.9	\$1,378	\$1,694	\$1,759
Staff Benefits	-	-	-	208	203	228
Totals, Personal Services	23.3	28.9	29.9	\$1,586	\$1,897	\$1,987
OPERATING EXPENSES AND EQUIPMENT				\$4,644	\$5,646	\$4,688
TOTALS, EXPENDITURES				\$6,230	\$7,543	\$6,675

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation	\$1,188	\$1,248	\$1,308
Allocation from Office of Planning and Research	1,093	6,273	5,357
Allocation for employee compensation	106	25	-

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

Governor's Office
0558 OFFICE OF THE SECRETARY FOR EDUCATION—Continued

		<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
6	Adjustment per Section 3.60	-\$86	-\$13	-
7	Adjustment per Section 16.00	1	-	-
8	Chapter 2, Statutes of 1999, First Extraordinary Session	4,000	-	-
9		<u> </u>	<u> </u>	<u> </u>
10	Totals Available	\$6,302	\$7,533	\$6,665
11	Unexpended balance, estimated savings	-72	-	-
12		<u> </u>	<u> </u>	<u> </u>
13	TOTALS, EXPENDITURES	\$6,230	\$7,533	\$6,665
14				
15	0995 Reimbursements			
16				
17	Reimbursements (Allocation from Office of Planning and Research)	-	\$10	\$10
18		<u> </u>	<u> </u>	<u> </u>
19	TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$6,230	\$7,543	\$6,675

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

		<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
27	Grants and subventions (expenditures).....	\$184,970	\$12,000	\$47,000

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund, Proposition 98

		<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
36	APPROPRIATIONS			
37	Allocation from Office of Planning and Research (Academic Volunteer			
38	Mentor).....	\$10,000	\$10,000	\$15,000
39	Allocation from OPR (School-to-Career)	-	-	2,000
40	Allocation from OPR (Math and Science Challenge Grant)	-	-	5,000
41	Allocation from OPR (API Student Monitoring System)	-	-	5,000
42	Allocation from OPR (High Tech High Schools)	-	-	20,000
43	Chapter 71, Statutes of 2000	175,000	-	-
44	Chapter 793, Statutes of 2000 (School-to-Career)	-	2,000	-
45		<u> </u>	<u> </u>	<u> </u>
46	Totals Available	\$185,000	\$12,000	\$47,000
47	Unexpended balance, estimated savings	-30	-	-
48		<u> </u>	<u> </u>	<u> </u>
49	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$184,970	\$12,000	\$47,000
50		<u> </u>	<u> </u>	<u> </u>
51	TOTALS, EXPENDITURES (State Operations and Local Assistance)	\$191,200	\$19,543	\$53,675

CHANGES IN
AUTHORIZED POSITIONS

		<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
59	Totals, Authorized Positions	23.3	30.0	30.0	\$1,378	\$1,762	\$1,773
60	Proposed New Positions:				Salary Range		
61	Special Projects Director	-	-	1.0	5,766	-	68
62		<u> </u>					
63	Total Adjustments	-	-	1.0	-	-	\$68
64		<u> </u>					
65	TOTALS, SALARIES AND WAGES	23.3	30.0	31.0	\$1,378	\$1,787	\$1,877

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, and liaison with local government. OPR also oversees programs for small business advocacy, rural policy, environmental justice, and helps implement decisions made within the Administration. In addition, the office has responsibilities pertaining to state planning, CEQA assistance, environmental and federal project review procedures, and oversees the Commission on Improving Life Through Service, which administers the California Americorps program.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH—Continued

Authority

Government Code Sections 4530–4535.3, 12035–12038, 12078, 13367.5(h), 13367.65, 15202, 56430, 56815.2, 65025–65049, 65050, 65302.6, 65420–65428, 65962.5, 66452.7, 66455.5, and 67470; Public Resources Code Sections 5096.89, 21080.3–21080.4, 21083–21087, 21159.9, 21165, 25616, and 30415; Fish and Game Code Section 711.4; Health and Safety Code Sections 25199–25199.9; Streets and Highways Code Section 228; Unemployment Insurance Code Section 10535; Welfare and Institutions Code Section 10807; California Administrative Code Sections 15051 and 15065.5.

SUMMARY OF PROGRAM

REQUIREMENTS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
11 State Planning and Policy Development.....	43.5	64.3	65.0	\$4,404	\$5,811	\$46,569
21 California Commission on Improving Life Through Service.....	10.5	22.2	22.2	28,757	46,343	53,805
TOTALS, PROGRAMS.....	54.0	86.5	87.2	\$33,161	\$52,154	\$100,374
0001 General Fund.....				3,910	9,507	50,260
0002 Property Acquisition Law Money Account.....				472	506	478
0890 Federal Trust Fund.....				28,274	40,783	48,275
0995 Reimbursements.....				505	1,358	1,361

11 STATE PLANNING AND POLICY DEVELOPMENT

Program Objectives Statement

The major activities of the office include: (1) recommending and implementing state policies with regard to land-use and growth planning; (2) carrying out a program of policy research for the Governor and Cabinet; (3) providing technical advice to local governments with regard to planning; (4) advising permit applicants and government agencies on provisions of the California Environmental Quality Act (CEQA) and operating the State Clearinghouse for environmental and federal grant documents; (5) managing the Governor's Innovation in Government program; (6) preparing guidelines and providing assistance to local agency formation commissions; and (7) conducting such other activities as the Governor may direct.

Major Budget Adjustments Proposed for 2001–02

- \$750,000 General Fund to provide assistance to Local Agency Formation Commissions in implementing Chapter 761, Statutes of 2000.
- \$250,000 General Fund to continue the Global Cities Online website, which provides information on sustainable local government policies to support environmental quality and economic prosperity.
- \$40,000,000 General Fund one-time local assistance funding, to establish a touch screen voting pilot project.

21 CALIFORNIA COMMISSION ON IMPROVING LIFE THROUGH SERVICE (CCILTS)

Program Objectives Statement

The Commission, which oversees California's Americorps program, is dedicated to uniting individuals in service to their communities, promoting responsible citizenship, and achieving demonstrable results in addressing California's persistent unmet human, educational, public safety, and environmental needs. Commission members, acting as "Ambassadors of Service" in their local communities, promote, support and build service opportunities and networks which encourage Californians of all backgrounds, abilities and ages to build a California where all residents understand the importance of community service and social responsibility.

Major Budget Adjustment Proposed for 2001–02

- \$8,489,000 Federal Trust Fund expenditure authority to provide an appropriate level of authority to utilize federal funds received.

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
Authorized Positions (Equals Sch. 7A).....	54.0	82.2	82.2	\$2,466	\$4,503	\$4,532
Total Adjustments.....	–	6.7	7.2	–	541	314
Estimated Salary Savings.....	–	–2.4	–2.2	–	–258	–232
Net Totals, Salaries and Wages.....	54.0	86.5	87.2	\$2,466	\$4,786	\$4,614
Staff Benefits.....	–	–	–	440	901	870
Totals, Personal Services.....	54.0	86.5	87.2	\$2,906	\$5,687	\$5,484
OPERATING EXPENSES AND EQUIPMENT.....				\$3,047	\$8,167	\$9,090
TOTALS, EXPENDITURES.....				\$5,953	\$13,854	\$14,574

* Dollars in thousands, except in Salary Range.

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH—Continued

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$4,008	\$4,384	\$5,260
Chapter 213, Statutes of 2000.....	-	5,000	5,000
Chapter 597, Statutes of 2000.....	-	100	-
Allocation for employee compensation	170	46	-
Adjustment per Section 3.60	-104	-23	-
011 Budget Act appropriation.....	1,093	6,273	5,357
Allocation for employee compensation	106	25	-
Adjustment per Section 3.60	-86	-13	-
Adjustment per Section 16.00.....	1	-	-
Chapter 2X, Statutes of 1999	4,000	-	-
Transfer from Item 0558-001-0001 per Provision 1.....	1,188	1,248	-
Less amount shown in Office of the Secretary for Education.....	-6,302	-7,533	-5,357
Totals Available	\$4,074	\$9,507	\$10,260
Unexpended balance, estimated savings	-164	-	-
TOTALS, EXPENDITURES	\$3,910	\$9,507	\$10,260
0002 Property Acquisition Law Money Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$470	\$504	\$478
Allocation for employee compensation	18	4	-
Adjustment per Section 3.60	-12	-2	-
Totals Available	\$476	\$506	\$478
Unexpended balance, estimated savings	-4	-	-
TOTALS, EXPENDITURES	\$472	\$506	\$478
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,869	\$1,489	\$2,475
Allocation for employee compensation	56	10	-
Adjustment per Section 3.60	-43	-5	-
Budget adjustment.....	-816	989	-
TOTALS, EXPENDITURES	\$1,066	\$2,483	\$2,475
0995 Reimbursements			
Reimbursements	\$505	\$1,358	\$1,361
Reimbursements (Allocation to Office of the Secretary for Education).....	10	10	10
Less amount shown in Office of the Secretary for Education.....	-10	-10	-10
TOTALS, EXPENDITURES	\$505	\$1,358	\$1,361
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$5,953	\$13,854	\$14,574

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund, Proposition 98

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
111 Budget Act appropriation.....	\$10,000	\$10,000	\$15,000
112 Budget Act appropriation.....	-	-	5,000
114 Budget Act appropriation.....	-	-	2,000
115 Budget Act appropriation.....	-	-	5,000

* Dollars in thousands, except in Salary Range.

Governor's Office
0650 OFFICE OF PLANNING AND RESEARCH—Continued

1								
2								
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5					<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>	
6	116	Budget Act appropriation			-	-	\$20,000	
7		Chapter 71, Statutes of 2000			\$175,000	-	-	
8		Chapter 793, Statutes of 2000			-	\$2,000	-	
9		Less amount shown in Office of the Secretary for Education			-185,000	-12,000	-47,000	
10					<u>-</u>	<u>-</u>	<u>-</u>	
11		TOTALS, EXPENDITURES			-	-	-	
12								
13								
14		0001 General Fund, Non-Proposition 98						
15		APPROPRIATIONS						
16	101	Budget Act appropriation			-	-	\$40,000	
17					<u>-</u>	<u>-</u>	<u>\$40,000</u>	
18		TOTALS, EXPENDITURES			-	-	\$40,000	
19								
20								
21		0890 Federal Trust Fund						
22		APPROPRIATIONS						
23	101	Budget Act appropriation			\$31,900	\$38,300	\$45,800	
24		Budget adjustment			-4,692	-	-	
25					<u>\$27,208</u>	<u>\$38,300</u>	<u>\$45,800</u>	
26		TOTALS, EXPENDITURES			\$27,208	\$38,300	\$45,800	
27								
28		TOTALS, EXPENDITURES (Local Assistance)			\$27,208	\$38,300	\$85,800	
29								
30		TOTALS, EXPENDITURES, ALL FUNDS (State Operations and						
31		Local Assistance)			\$33,161	\$52,154	\$100,374	
32								
33								
34								
35								
36		CHANGES IN						
37		AUTHORIZED POSITIONS						
38			<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
39		Totals, Authorized Positions	54.0	82.2	82.2	\$2,466	\$4,503	\$4,532
40		Proposed New Positions:						
41		California Commission on Improving Life						
42		Through Service:				Salary Range		
43		Temporary Help	-	6.7	7.2	-	541	314
44			<u>-</u>	<u>6.7</u>	<u>7.2</u>	<u>-</u>	<u>\$541</u>	<u>\$314</u>
45		Totals, Proposed New Positions	-	6.7	7.2	-	\$541	\$314
46			<u>-</u>	<u>6.7</u>	<u>7.2</u>	<u>-</u>	<u>\$541</u>	<u>\$314</u>
47		Total Adjustments	-	6.7	7.2	-	\$541	\$314
48			<u>-</u>	<u>6.7</u>	<u>7.2</u>	<u>-</u>	<u>\$541</u>	<u>\$314</u>
49		TOTALS, SALARIES AND WAGES	54.0	88.9	89.4	\$2,466	\$5,044	\$4,846
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69	15	Mutual Aid Response	79.7	85.6	86.4	\$13,121	\$21,687	\$24,109
70	35	Plans and Preparedness	141.6	143.4	145.1	28,641	30,384	40,686
71	45	Disaster Assistance	202.4	216.0	207.9	702,291	676,885	650,618
72	55	Administration and Executive	68.7	68.2	69.0	4,423	4,806	5,725
73		Distributed Administration and						
74		Executive	-	-	-	-4,423	-4,806	-4,830
75	98	State-Mandated Local Programs	-	-	-	4	-	-
76			<u>-</u>	<u>-</u>	<u>-</u>	<u>4</u>	<u>-</u>	<u>-</u>
77		TOTALS, PROGRAMS	492.4	513.2	508.4	\$744,057	\$728,956	\$716,308
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Governor's Office
0690 OFFICE OF EMERGENCY SERVICES

The principal objective of the Office of Emergency Services (OES) is the coordination of emergency activities to save lives and reduce property losses during disasters and to expedite recovery from the effects of disasters.

On a day-to-day basis, OES provides leadership, assistance and support to state and local agencies in planning and preparing for the most effective use of federal, state, local and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid in which a jurisdiction relies first on its own resources, then calls for assistance from its neighbors. OES' plans and programs are coordinated with those of the federal government, other states, and state and local agencies within California.

During an emergency, OES functions as the Governor's immediate staff to coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes, and it acts as the conduit for federal assistance through natural disaster grants and federal agency support.

SUMMARY OF PROGRAM

		REQUIREMENTS	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
69	15	Mutual Aid Response	79.7	85.6	86.4	\$13,121	\$21,687	\$24,109
70	35	Plans and Preparedness	141.6	143.4	145.1	28,641	30,384	40,686
71	45	Disaster Assistance	202.4	216.0	207.9	702,291	676,885	650,618
72	55	Administration and Executive	68.7	68.2	69.0	4,423	4,806	5,725
73		Distributed Administration and						
74		Executive	-	-	-	-4,423	-4,806	-4,830
75	98	State-Mandated Local Programs	-	-	-	4	-	-
76			<u>-</u>	<u>-</u>	<u>-</u>	<u>4</u>	<u>-</u>	<u>-</u>
77		TOTALS, PROGRAMS	492.4	513.2	508.4	\$744,057	\$728,956	\$716,308

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

Table with 4 columns: Account Number, Account Name, 1999-00*, 2000-01*, 2001-02*. Rows include 0001 General Fund, 0028 Unified Program Account, 0029 Nuclear Planning Assessment Special Account, 0372 Disaster Relief Fund, 0437 State Assistance for Fire Equipment Account, 0890 Federal Trust Fund, 0995 Reimbursements.

15 MUTUAL AID RESPONSE

Program Objectives Statement

This program provides emergency mutual aid services, including the effective use of federal, state, and local resources, by and for the benefit of jurisdictions whose resources and services are overextended in a disaster situation.

Major Budget Adjustments Proposed for 2001-02

- An increase of \$98,000 General Fund to implement the provisions of Chapter 1087, Statutes of 2000 (SB 2012), Emergency Broadcasting Grants.
The following General Fund increases are to purchase equipment which would be provided to local agencies through the mutual aid program.
An increase of \$2,068,000 to purchase and maintain 12 Fire Water Tenders.
An increase of \$2,175,000 to replace rigid aluminum pipe with flexible large diameter hose.
An increase of \$7,141,000 to purchase and maintain 18 Urban Heavy Rescue Units.
An increase of \$269,000 to conduct annual Urban Search and Rescue Team mobilization exercises.

35 PLANS AND PREPAREDNESS

Program Objectives Statement

This program's objective is to develop and implement emergency plans to ensure consistency in planning at all levels of government. Training is also included in this program and covers emergency management courses in preparedness, mitigation and technical training for radiological response and recovery.

Major Budget Adjustment Included in 2000-01

- An increase of \$5,697,000 Federal Trust Fund to accept grant funding for terrorism response programs.

Major Budget Adjustments Proposed for 2001-02

- An increase of \$9,122,000 Federal Trust Fund authority to accept grant funding from the U.S. Office of Justice Planning (OJP) for terrorism response programs.
\$6,800,000 General Fund to operate and expand the Tri-Net seismic network earthquake monitoring system.

45 DISASTER ASSISTANCE

Program Objectives Statement

The objective of this program is to provide aid to local agencies for repair and restoration of public real property in stricken areas in time of disaster and to provide assurance that all public facilities will be restored in order to provide necessary services to the citizens of the affected areas. The Natural Disaster Assistance Act was established by Chapter 290, Statutes of 1974. This law charges the director of the OES with the administration of the program. A local agency is eligible to participate in the program if it declares a local emergency which is found acceptable to the director of OES. Through the Federal Disaster Relief Act (PL 93-288), local government and other public entities are provided financial and other assistance to recover from the effects of floods, earthquakes, and other natural disasters.

55 ADMINISTRATION AND EXECUTIVE

This program provides the overall policy direction of the department from the director's office as well as supporting services such as accounting, personnel and business services.

Major Budget Adjustment Included in 2000-01

- \$24,388,000 General Fund allocated pursuant to Government Code Section 8690.6 to fund the non-federal share of Federal Emergency Management Agency claims for disaster recovery costs.

Major Budget Adjustment Proposed for 2001-02

- An increase of \$895,000 General Fund to support annual maintenance and utility costs associated with the new OES headquarters.

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

98 STATE-MANDATED LOCAL PROGRAMS

Program Objectives Statement

The objective of this program is to provide funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs they incur in complying with state mandates. This budget proposes to continue the suspension of the Deaf Teletype Equipment Mandate (Chapter 1032, Statutes of 1980).

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

15 MUTUAL AID RESPONSE

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
State Operations:			
0001 General Fund	\$12,724	\$13,572	\$23,659
0437 State Assistance for Fire Equipment Account	1	100	100
0890 Federal Trust Fund	396	865	350
Totals, State Operations	\$13,121	\$14,537	\$24,109
Local Assistance:			
0001 General Fund	-	7,000	-
0890 Federal Trust Fund	-	150	-
Totals, Local Assistance	-	\$7,150	-

ELEMENT REQUIREMENTS

15.10 Fire and Rescue

State Operations:			
0001 General Fund	4,591	5,088	17,131
0437 State Assistance for Fire Equipment Account	1	100	100
0890 Federal Trust Fund	111	249	350
Local Assistance:			
0890 Federal Trust Fund	-	150	-

15.20 Law Enforcement

State Operations:			
0001 General Fund	1,156	1,139	1,932
0890 Federal Trust Fund	2	-	-

15.30 Information Technology/Warning Center

State Operations:			
0001 General Fund	6,977	7,345	4,596
0890 Federal Trust Fund	283	616	-
Local Assistance:			
0001 General Fund	-	7,000	-

PROGRAM REQUIREMENTS

35 PLANS AND PREPAREDNESS

State Operations:			
0001 General Fund	\$14,318	\$7,858	\$14,096
0028 Unified Program Account	385	578	580
0029 Nuclear Planning Assessment Special Account	684	831	857
0890 Federal Trust Fund	6,035	6,299	6,796
0995 Reimbursements	1,459	2,231	2,231
Totals, State Operations	\$22,881	\$17,797	\$24,560
Local Assistance:			
0029 Nuclear Planning Assessment Special Account	1,980	1,992	2,054
0890 Federal Trust Fund	3,780	10,595	14,072
Totals, Local Assistance	\$5,760	\$12,587	\$16,126

ELEMENT REQUIREMENTS

35.10 Plans and Preparedness

State Operations:			
0001 General Fund	11,385	5,970	11,930
0028 Unified Program Account	385	578	580
0029 Nuclear Planning Assessment Special Account	684	831	857
0890 Federal Trust Fund	5,717	4,529	5,225
0995 Reimbursements	73	95	95

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

1				
2				
3				
4				
5	Local Assistance:		1999-00*	2000-01*
6	0029 Nuclear Planning Assessment Special Account.....		\$41,980	\$1,992
7	0890 Federal Trust Fund.....		3,778	10,295
8	35.30 Training			14,072
9	State Operations:			
10	0001 General Fund.....		2,933	1,888
11	0890 Federal Trust Fund.....		318	1,770
12	0995 Reimbursements.....		1,386	2,136
13	Local Assistance:			
14	0890 Federal Trust Fund.....		2	300
15				-
16				
17	PROGRAM REQUIREMENTS			
18	45 DISASTER ASSISTANCE			
19	State Operations:			
20	0001 General Fund.....		\$7,241	\$9,219
21	0890 Federal Trust Fund.....		10,921	12,421
22				
23	Totals, State Operations		\$18,162	\$21,640
24	Local Assistance:			
25	0001 General Fund.....		107,427	77,535
26	0372 Natural Disaster Relief Fund.....		-	-
27	0890 Federal Trust Fund.....		576,702	577,710
28				
29	Totals, Local Assistance		\$684,129	\$655,245
30				\$21,318
31				
32	PROGRAM REQUIREMENTS			
33	55 ADMINISTRATION/EXECUTIVE			
34	Undistributed Administration:			
35	State Operations:			
36	0001 General Fund.....		-	-
37				\$895
38	Totals, State Operations for Undistributed Administration.....		-	-
39				\$895
40				
41	PROGRAM REQUIREMENTS			
42	98 STATE-MANDATED LOCAL PROGRAMS			
43	Local Assistance:			
44	Ch. 1032/80—Deaf Teletype Equipment.....		\$4	\$0 ¹
45				\$0 ¹
46	Totals, Local Assistance		\$4	-
47				-
48	TOTAL EXPENDITURES			
49	State Operations		\$54,164	\$53,974
50	Local Assistance.....		689,893	674,982
51				\$70,882
52	TOTALS, EXPENDITURES		\$744,057	\$728,956
53				\$716,308
54				

¹ Mandate suspended pursuant to Government Code Section 17581.

SUMMARY BY OBJECT
1 STATE OPERATIONS

63	PERSONAL SERVICES	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
64	Authorized Positions (Equals Sch. 7A).....	492.4	557.8	551.8	\$25,121	\$28,864	\$29,372
65	Total Adjustments.....	-	-	-15.7	-	-	52
66	Estimated Salary Savings	-	-44.6	-27.7	-	-2,309	-1,472
67							
68	Net Totals, Salaries and Wages	492.4	513.2	508.4	\$25,121	\$26,555	\$27,952
69	Staff Benefits.....	-	-	-	4,888	4,848	5,114
70							
71	Totals, Personal Services	492.4	513.2	508.4	\$30,009	\$31,403	\$33,066
72							
73	OPERATING EXPENSES AND EQUIPMENT.....				\$24,155	\$22,571	\$37,816
74							
75	TOTALS, EXPENDITURES				\$54,164	\$53,974	\$70,882
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* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
001 Budget Act appropriation	\$34,965	\$30,495	\$47,782
Allocation for employee compensation	1,667	66	-
Allocation for Year 2000 per Item 9904-001-0001	3,459	-	-
Adjustment per Section 3.60	-1,040	-135	-
Adjustment per Section 4.60 (Rental Rate)	-	9	-
Adjustment per Section 16.00	9	-	-
Transfer to Legislative Claims (9670)	-1	-1	-
Chapter 294, Statutes of 1999	75	-	-
Prior year balances available:			
Chapter 294, Statutes of 1999	-	75	-
Chapter 490, Statutes of 1998	140	140	-
Totals Available	\$39,274	\$30,649	\$47,782
Balance available in subsequent years	-215	-	-
Unexpended balance, estimated savings	-4,776	-	-
TOTALS, EXPENDITURES	\$34,283	\$30,649	\$47,782

0028 Unified Program Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$563	\$581	\$580
Allocation for employee compensation	32	-	-
Adjustment per Section 3.60	-25	-3	-
Totals Available	\$570	\$578	\$580
Unexpended balance, estimated savings	-185	-	-
TOTALS, EXPENDITURES	\$385	\$578	\$580

0029 Nuclear Planning Assessment Special Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$817	\$869	\$857
Allocation for employee compensation	32	-	-
Adjustment per Section 3.60	-20	-	-
Prior year balances available:			
Item 0690-001-0029, Budget Act of 1998 per Government Code Section 8610.5(e) (San Onofre)	155	-	-
Totals Available	\$984	\$869	\$857
Unexpended balance, estimated savings	-300	-38	-
TOTALS, EXPENDITURES	\$684	\$831	\$857

0437 State Assistance for Fire Equipment Account ^s

APPROPRIATIONS			
Government Code Section 8589.16 (expenditures)	\$1	\$100	\$100

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$17,156	\$19,417	\$19,332
Allocation for employee compensation	744	10	-
Adjustment per Section 3.60	-467	-60	-
Adjustment per Section 16.00	6	-	-
Budget adjustment	-87	218	-
TOTALS, EXPENDITURES	\$17,352	\$19,585	\$19,332

0995 Reimbursements

Reimbursements	\$1,459	\$2,231	\$2,231
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$54,164	\$53,974	\$70,882

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
101 Budget Act appropriation.....	\$1,249	-	-
103 Budget Act appropriation.....	-	\$6,935	-
112 Budget Act appropriation (Disaster Recovery Cost).....	61,993	51,212	\$51,212
295 Budget Act appropriation (State Mandates).....	0 ¹	0 ¹	0 ¹
Deficiency appropriation per Government Code Section 8690.6.....	45,000	24,388	-
Chapter 1087, Statutes of 2000.....	-	2,000	-
Prior year balances available:			
Chapter 780, Statutes of 1998 (State Mandates).....	4	-	-
Totals Available.....	\$108,246	\$84,535	\$51,212
Unexpended balance, estimated savings.....	-815	-	-
TOTALS, EXPENDITURES.....	\$107,431	\$84,535	\$51,212
0029 Nuclear Planning Assessment Special Account^s			
APPROPRIATIONS			
101 Budget Act appropriation.....	\$1,981	\$2,050	\$2,054
Prior year balances available:			
Item 0690-101-0029, Budget Act of 1998 per Government Code Section 8610.5(e) (San Onofre).....	453	-	-
Totals Available.....	\$2,434	\$2,050	\$2,054
Unexpended balance, estimated savings.....	-454	-58	-
TOTALS, EXPENDITURES.....	\$1,980	\$1,992	\$2,054
0372 Disaster Relief Fund^s			
APPROPRIATIONS			
102 Budget Act appropriation (Loma-Prieta Disaster Relief).....	\$340	-	\$378
Unexpended balance, estimated savings.....	-340	-	-
TOTALS, EXPENDITURES.....	-	-	\$378
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation.....	\$254,950	\$582,660	\$591,782
Increase expenditure authority per Provision 1.....	374,603	-	-
Budget adjustment.....	-49,071	5,795	-
TOTALS, EXPENDITURES.....	\$580,482	\$588,455	\$591,782
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance).....	\$689,893	\$674,982	\$645,426
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance).....	\$744,057	\$728,956	\$716,308

¹Suspended mandate.

FUND CONDITION STATEMENT
0029 Nuclear Planning Assessment Special Account^{s 2}

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
BEGINNING BALANCE.....	\$910	\$129	\$71
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees (Fixed Nuclear Powerplant Operators).....	2,437	3,251	3,415
Totals, Resources.....	\$3,347	\$3,380	\$3,486

* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

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5	EXPENDITURES			
6	Disbursements:			
7	0690 Office of Emergency Services:			
8	State Operations.....	1999-00*	2000-01*	2001-02*
9	Local Assistance	\$684	\$831	\$857
10	4260 Department of Health Services (State Operations)	1,980	1,992	2,054
11		554	486	572
12	Totals, Disbursements	\$3,218	\$3,309	\$3,483
13				
14	FUND BALANCE.....	\$129	\$71	\$3
15	Reserve for economic uncertainties	129	71	3
16				

17 ² The fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected
 18 as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic
 19 Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court
 20 mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided
 21 in the Governor's Budget Summary.
 22

0437 State Assistance for Fire Equipment Account ^s

23				
24				
25	BEGINNING BALANCE.....	\$285	\$292	\$252
26				
27	REVENUES AND TRANSFERS			
28	Revenues:			
29	131700 Miscellaneous revenue from local agencies	9	60	170
30				
31	Totals, Resources.....	\$294	\$352	\$422
32				
33	EXPENDITURES			
34	Disbursements:			
35	0690 Office of Emergency Services (State Operations)	1	100	100
36	9900 Statewide General Administrative Expenditures (Pro Rata)	1	-	-
37				
38	Totals, Disbursements	\$2	\$100	\$100
39				
40	FUND BALANCE.....	\$292	\$252	\$322
41	Reserve for economic uncertainties	292	252	322
42				
43				

**CHANGES IN
 AUTHORIZED POSITIONS**

44							
45							
46							
47							
48							
49	Totals, Authorized Positions	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
50	Proposed New Positions:	492.4	557.8	551.8	\$25,121	\$28,864	\$29,372
51	Assoc Govtl Prog Analyst.....	-	-	1.0	Salary Range 3,915-4,759	-	52
52							
53	Totals, Proposed New Positions	-	-	1.0	-	-	\$52
54	Excess Vacant Positions Analysis:						
55	Reduction in Authorized Positions:						
56	Assoc Govtl Prog Analyst	-	-	-1.0	3,915-4,759	-	-
57	Emergency Svcs Coord.....	-	-	-2.0	3,207-4,666	-	-
58	Disaster Assistance Progs Spec I	-	-	-5.0	2,973-3,972	-	-
59	Staff Svcs Analyst-Gen	-	-	-6.0	2,411-3,805	-	-
60	Pers Svcs Spec I.....	-	-	-1.0	2,226-3,222	-	-
61	Ofc Techn-Typing	-	-	-1.0	2,258-2,745	-	-
62	Ofc Asst-Gen	-	-	-0.7	1,775-2,370	-	-
63							
64	Totals, Excess Vacant Positions						
65	Analysis	-	-	-16.7	-	-	-
66							
67	Total Adjustments	-	-	-15.7	-	-	\$52
68							
69	TOTALS, SALARIES AND WAGES	492.4	557.8	536.1	\$25,121	\$28,864	\$29,424
70							
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* Dollars in thousands, except in Salary Range.

Governor's Office
0690 OFFICE OF EMERGENCY SERVICES—Continued

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STATE BUILDING PROGRAM EXPENDITURES	Actual 1999-00*	Estimated 2000-01*	Proposed 2001-02*
80 CAPITAL OUTLAY			
PROGRAM ELEMENTS			
Major Project			
80.10.001 Sacramento-OES Headquarters and State Operations Center	-	\$31,733 ^{WCEg}	-
80.10.005 Sacramento-OES Fire and Telecommunications Shop	-	-	\$1,275 ^{APg}
TOTALS, EXPENDITURES, CAPITAL OUTLAY	-	\$31,733	\$1,275
0001 General Fund	-	31,733	1,275
0660 Public Buildings Construction Fund ⁿ	-	-	-

RECONCILIATION WITH APPROPRIATIONS			
3 CAPITAL OUTLAY			
0001 General Fund			
APPROPRIATIONS			
301 Budget Act appropriation	-	\$31,438	\$1,275
Transfer to and from Government Code Sections 16408 and 16409	-	295 ^{Cg}	-
TOTALS, EXPENDITURES	-	\$31,733	\$1,275
0660 Public Buildings Construction Fund ⁿ			
APPROPRIATIONS			
301 Budget Act appropriation	\$6,720	-	-
Prior year balances available:			
Item 0690-301-0660, Budget Act of 1998, as reappropriated by Item			
0690-490, Budget Act of 1999	24,162	-	-
Transfer to and from Government Code Sections 16351.5 and 16352	-612	-	-
Totals Available	\$30,270	-	-
Unexpended balance, estimated savings	-30,270	-	-
TOTALS, EXPENDITURES	-	-	-
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	-	\$31,733	\$1,275

0695 NATURAL DISASTER ASSISTANCE

The Loma Prieta earthquake struck the Greater San Francisco-Oakland Bay Area on October 17, 1989, causing several billion dollars worth of damage to private and public property and disrupting the lives of hundreds of thousands of Californians. As part of the response to the earthquake, the Governor called a Special Session of the Legislature on November 2, 1989 to provide funding and aid for victims of the earthquake and to restore public property damaged or destroyed by the earthquake.

As a result of the high cost of this disaster, a special one-quarter cent state sales tax was enacted and collected from December 1, 1989 through December 31, 1990. These receipts are maintained in the Disaster Relief Fund and are used for the various recovery and assistance programs for individuals, as well as local and state governmental entities. State agencies which have utilized these funds for Loma Prieta recovery programs include the Departments of Transportation, Housing and Community Development, Commerce, and Social Services, as well as the Office of Emergency Services (OES) and the Board of Control. Specific information about these programs may be obtained from those agencies.

This exhibit displays the current status of the Disaster Relief Fund. During the 1996-97 and prior fiscal years, monies from this fund were allocated by the Department of Finance to OES on an as-needed basis. Beginning with the 1997-98 fiscal year, expenditures from the Disaster Relief Fund were proposed by the OES through a Budget Act appropriation and are included in OES's budget.

RECONCILIATION WITH APPROPRIATIONS			
Informational Display			
1 STATE OPERATIONS			
0372 Disaster Relief Fund ^s			
APPROPRIATIONS	1999-00*	2000-01*	2001-02*
102 Budget Act appropriation, Item 0690-102-0372	(\$340)	-	(\$378)

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
*** Dollars in thousands, except in Salary Range.**

0695 NATURAL DISASTER ASSISTANCE—Continued

FUND CONDITION STATEMENT

0372 Disaster Relief Fund *

	1999-00*	2000-01*	2001-02*
BEGINNING BALANCE.....	\$378	\$378	\$378
EXPENDITURES:			
0690 Office of Emergency Services (Local Assistance).....	—	—	378
FUND BALANCE.....	\$378	\$378	—
Reserve for economic uncertainties	378	378	—

0750 OFFICE OF THE LIEUTENANT GOVERNOR

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues.

In addition, under state statutes the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance for the development of California's economy. The Lieutenant Governor is also a member of the California State World Trade Commission, the State Job Training Coordinating Council, and the California Emergency Council.

Authority

Constitution, Article V, Sections 9, 10; Article IX, Section 9. Education Code Section 66602; Government Code Sections 8704, 8575, 15364.2 and 14999 through 14999.8; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 General Activities	16.8	21.8	21.8	\$1,734	\$1,849	\$1,841
TOTALS, PROGRAMS.....	16.8	21.8	21.8	\$1,734	\$1,849	\$1,841
0001 General Fund.....				1,734	1,849	1,841

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Authorized Positions (Equals Sch. 7A)	16.8	23.0	23.0	\$914	\$1,163	\$1,206
Estimated Salary Savings	—	-1.2	-1.2	—	-58	-60
Net Totals, Salaries and Wages	16.8	21.8	21.8	\$914	\$1,105	\$1,146
Staff Benefits	—	—	—	143	157	157
Totals, Personal Services	16.8	21.8	21.8	\$1,057	\$1,262	\$1,303
OPERATING EXPENSES AND EQUIPMENT				\$677	\$587	\$538
TOTALS, EXPENDITURES				\$1,734	\$1,849	\$1,841

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation.....	\$1,740	\$1,829	\$1,841
Allocation for employee compensation	67	16	—
Adjustment per Section 3.60	-64	-6	—
Adjustment per Section 4.60 (Rental Rate).....	—	10	—
Totals Available	\$1,743	\$1,849	\$1,841
Unexpended balance, estimated savings	-9	—	—
TOTALS, EXPENDITURES (State Operations).....	\$1,734	\$1,849	\$1,841

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissions and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust and civil rights laws; and assists district attorneys in the administration of justice. The department also coordinates efforts to address the statewide narcotic enforcement problem; assists local law enforcement in the investigation and analysis of crimes; provides person and property identification and information services to criminal justice agencies; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair and illegal activities.

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
11.01 Directorate and Administration	196.5	308.6	310.6	\$16,086	\$19,237	\$20,922
11.02 Distributed Directorate and Administration	-	-	-	-16,086	-19,237	-20,922
12.01 Legal Support and Technology	648.8	684.3	693.8	36,576	39,922	40,636
12.02 Distributed Legal Support and Technology	-	-	-	-36,576	-39,922	-40,636
25 Executive Programs	66.1	54.3	56.2	9,537	10,064	13,825
30 Civil Law	479.3	492.4	493.9	92,624	97,611	97,460
40 Criminal Law	587.7	649.3	663.6	82,411	90,165	93,333
45 Public Rights	269.3	271.8	277.6	34,905	45,100	47,240
50 Law Enforcement	1,010.0	1,277.4	1,195.3	119,997	152,714	158,911
60 Criminal Justice Information Services	1,365.6	1,427.4	1,516.6	139,686	145,036	151,043
65 Gambling	50.6	158.1	158.1	5,541	13,425	12,982
70 Firearms	-	90.8	83.1	-	7,985	7,240
98 State-Mandated Local Programs	-	-	-	11,181	26,103	14,983
TOTALS, PROGRAMS	4,673.9	5,414.4	5,448.8	\$495,882	\$588,203	\$597,017
Less amount funded in the Political Reform Act	-	-	-	(222)	(222)	-222
NET TOTALS, PROGRAMS	4,673.9	5,414.4	5,448.8	\$495,882	\$588,203	\$596,795
0001 General Fund				265,961	302,947	323,658
0012 Attorney General Antitrust Account				1,047	1,066	1,070
0014 Hazardous Waste Control Account				1,682	1,602	1,613
0015 Firearms Safety Training Fund				444	455	456
0017 Fingerprint Fees Account				43,420	49,514	50,924
0032 Firearm Safety Account				-	355	309
0044 Motor Vehicle Account, State Transportation Fund				18,413	18,819	19,255
0142 Sexual Habitual Offender Fund				1,563	2,070	2,024
0158 Travel Seller Fund				875	1,118	940
0195 Conservatorship Registry Fund				-	49	45
0214 Restitution Fund				737	5,699	3,000
0255 Department of Justice DNA Testing Fund				254	225	225
Less funding provided by General Fund				-254	-225	-225
0256 Sexual Predator Public Information Fund				49	49	49
0367 Indian Gaming				1,454	8,135	7,809
0378 False Claims Act Fund				4,386	9,309	9,966
0460 Dealers' Record of Sale Special Account				6,778	8,930	8,170
0557 Toxics Substances Control Account				1,779	1,897	1,916
0566 Department of Justice Child Abuse Fund				176	198	294
0567 Gambling Control Fund				4,244	5,700	5,758
0569 Gambling Control Fines and Penalties				135	195	37
0641 Domestic Violence Restraining Order Reimbursement Fund				199	1,918	1,918
0890 Federal Trust Fund				32,063	44,165	25,791
0942 State Asset Forfeiture Account, Special Deposit Fund				445	454	461
0942 Federal Asset Forfeiture Account, Special Deposit Fund				1,104	1,338	1,828
0942 Lake Davis Northern Pike Eradication Relief Account, Special Deposit Fund				1,476	1,158	-
0995 Reimbursements				107,452	121,063	126,654
3016 Missing Persons DNA Data Base Fund				-	-	2,850

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued**11 DIRECTORATE AND ADMINISTRATION****Program Objectives Statement**

The Directorate and Administration divisions of the Department of Justice consist of the Attorney General's Executive Office, which maintains overall direction and administration of the diverse programs and projects of the department, the Affirmative Action Office and the Opinion Unit. The Opinion Unit performs the Attorney General's duty under Government Code Section 12519 to provide written opinions to designated public officers on questions of law relating to their respective offices. This unit drafts all formal opinions issued by the Attorney General, most of which are published. Also within this program is the Administrative Services Division which provides fiscal, personnel, and technical support activities for the entire department.

Major Budget Adjustment Proposed for 2001–02

- An increase of 7.6 personnel years and \$508,000 (\$265,000 General Fund, \$38,000 Federal Funds, \$104,000 Reimbursements, and \$101,000 various Special Funds) for increased staffing in the Personnel Office to administer the Department's programs.

12 DIVISION OF LEGAL SUPPORT AND TECHNOLOGY**Program Objectives Statement**

The Division of Legal Support and Technology encompasses a broad scope of programs and services, including information technology services; legal research; large document case indexing, automated trial presentation, and litigation support services; case management; orientation and training services to all legal office employees with special focus on legal secretaries and newly appointed deputies; legal secretarial services; and administrative functions essential to the operation of a law firm.

Major Budget Adjustment Included in 2000–01

- An increase of 2.1 personnel years to assist legal staff in the Public Rights Division with an emergency legal response to California's energy crisis. The costs of these personnel years are distributed to the division.

Major Budget Adjustment Proposed for 2001–02

- An increase of 20.8 personnel years to address workload requirements driven by the Civil Law Division, Criminal Law Division, and Public Rights Division. The costs of these personnel years are distributed to each legal division.

25 EXECUTIVE PROGRAMS**Program Objectives Statement**

The primary responsibility of the Division of Executive Programs is the establishment and maintenance of communications between the department and the public, the news media, the Legislature, and law enforcement. The division consists of the Legislative Unit; the Crime Prevention Center; the Public Inquiry Unit; the Office of Community and Consumer Affairs; Press, Communications and Media; Protective Services and Special Liaisons; the Office of Native American Affairs; the Office of Training and Professional Development; and the Special Assistant Attorneys General.

Major Budget Adjustments Proposed for 2001–02

- An increase of 7.1 personnel years and \$910,000 (General Fund) to augment programs within the Attorney General's Crime and Violence Prevention Center. These programs include a training video addressing crisis responses in school violence situations, establishing the California Community Relations Service, and continued operation of the Community Policing Clearinghouse.
- An increase of 0.9 personnel year and \$676,000 (\$76,000 General Fund, \$236,000 Reimbursements and \$364,000 Local Assistance Reimbursements) to implement the Attorney General's Youth Corps Program and to administer the California Student Survey.
- An increase of 0.9 personnel year and \$2,000,000 (General Fund) to coordinate a multi-component public awareness campaign on elder abuse, as directed by Chapter 559, Statutes of 2000.

30 CIVIL LAW**Program Objectives Statement**

The Civil Law Program protects and preserves the public interests by providing skilled legal services to all state agencies and Constitutional Officers. The Civil Law Program provides specialized services in the following areas: Licensing; Government Law; False Claims; Business and Tax; Health, Education and Welfare; Health Quality Enforcement; Tort and Condemnation; and Employment, Regulation and Administration.

Major Budget Adjustments Proposed for 2001–02

- A two-year limited term augmentation of 1.4 personnel years and \$237,000 (General Fund) for AB 2300, Chapter 723, Statutes of 2000, the oversight enforcement of bond issuances.
- An increase of 3.8 personnel years and \$599,000 (False Claims Act Fund) to handle the increasing false claims workload.
- An increase of 0.9 personnel year and \$198,000 (General Fund) to provide litigation representation and/or legal counsel to the Department of Education, the Superintendent of Public Instruction, and the State Board of Equalization.
- A one-time increase of \$4,500,000 (General Fund) for continued consultant fees for underwriters at Lloyd's Litigation.

Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, as well as various sections of the Government Code including Sections 11042, 11043, 11157, 11522, 12511, 12512, and 12519.

0820 DEPARTMENT OF JUSTICE—Continued

40 CRIMINAL LAW

Program Objectives Statement

The Criminal Law Program represents the state in all criminal matters before the appellate and supreme courts and defends the state and its officers in actions filed by state prisoners under the Federal Civil Rights Act. This program also fulfills the Attorney General’s responsibilities of assisting district attorneys in cases for which they are disqualified, and conducts criminal investigations and prosecutions of those engaged in illegal activities where local resources are inadequate to perform these functions. Additional responsibilities include: enforcing the Political Reform Act, advising the Governor on extradition matters, prosecuting criminal actions involving Medi-Cal provider fraud and patient abuse, investigating and prosecuting elder abuse crimes, the investigation and prosecution and coordination of litigation involving white-collar crime, high-tech/computer crime and financial crimes against the elderly.

Major Budget Adjustments Proposed for 2001–02

- An increase of 17.1 personnel years (two year limited term) and \$1,899,000 (\$461,000 General Fund and \$1,438,000 Federal Funds) for the Bureau of Medi-Cal Fraud and Elder Abuse to enhance its ability to investigate and prosecute elder abuse and neglect in Medi-Cal funded facilities.
- An increase of 2.4 personnel years and \$447,000 (General Fund) which provides for post-conviction testing of DNA samples that would result in reversal of previous court convictions of incarcerated felons, pursuant to the provisions of SB 1342, Chapter 821, Statutes of 2000.
- An increase of 13.8 personnel years and \$2,434,000 (General Fund) to address increased workload in federal habeas corpus matters.

Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, in Government Code Sections 995, 996, 12511, 12512, 12550, 83116, 91001(a), and 91003, and in Penal Code Sections 1256 and 1548.3.

45 PUBLIC RIGHTS

Program Objectives Statement

The Public Rights element protects and preserves the public interest by providing skilled legal services to all state agencies and Constitutional Officers. The Public Rights Program provides specialized services in the following areas: Civil Rights Enforcement; Charitable Trust (including Charitable Trust Registration); Natural Resources; Indian and Gaming Law; Environmental Law; Land Law; Consumer Law; Antitrust Law; and Tobacco Litigation Enforcement.

Major Budget Adjustment Included in 2000–01

- An increase of 5.2 personnel years and \$2,388,000 (General Fund) to address current year work associated with the current electricity and natural gas emergency facing California.

Major Budget Adjustments Proposed for 2001–02

- An increase of 4.7 personnel years and \$288,000 (General Fund) to comply with charitable oversight and enforcement activities as mandated by Chapter 778, Statutes of 2000.
- A re-appropriation of \$225,000 for necessary litigation support requirements in the case of State Lands Commission v. City of Los Angeles.
- An augmentation of 4.7 personnel years and \$1,309,000 (\$1,034,000 General Fund and \$275,000 Reimbursements) to provide litigation representation and legal counsel to client agencies that enforce and administer laws and programs that protect California’s environment and natural resources.
- An increase of 14.7 personnel years and \$3,975,000 (General Fund) to investigate the current electricity and natural gas emergency facing California.

Authority

The legal authority for this program is found in Article V, Section 13 of the California Constitution, as well as various sections of the Government Code including Sections 11042, 11043, 11157, 11522, 12511, 12512, and 12519.

50 LAW ENFORCEMENT

Program Objectives Statement

The Division of Law Enforcement is organized into three elements. The Bureau of Investigation conducts criminal investigations of statewide importance and provides investigative services, criminal intelligence, and specialized equipment to criminal justice agencies, public agencies, and to the Department of Justice in criminal cases. The Bureau of Narcotic Enforcement combats the state’s narcotic problem by providing leadership, coordination, and support to law enforcement through 9 regional offices and 28 multi-agency drug task forces, special operations units, drug diversion, violence suppression teams, clandestine laboratory teams, and crackdown teams. The Bureau of Forensic Services provides evaluation and analysis of physical evidence including expert court testimony to state and local law enforcement agencies by operating 11 specialized laboratories serving 46 counties as well as a forensic training facility and a DNA laboratory that is compiling and maintaining a database of sex and violent offenders. The Western States Information Network provides an automated database of suspected narcotic traffickers for member agencies in Alaska, Hawaii, California, Oregon, and Washington.

Major Budget Adjustments Included in 2000–01

- An increase of 28.8 personnel years and \$5,262,000 (Reimbursements) to implement the first year of the Administration program to perform rape kit DNA analyses.
- An increase of 6.7 personnel years and \$1,000,000 (Reimbursements) to implement an Interagency Agreement between the Department of Justice and the Department of Conservation to conduct investigations into recycling fraud.

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

Major Budget Adjustments Proposed for 2001–02

- A permanent fund shift of 106.4 personnel years and \$10,445,000 from federal spending authority to General Fund to continue the California Methamphetamine Strategy.
- A one-time increase of \$486,000 (Federal Asset Forfeiture Account) for the purchase of replacement communications equipment.
- An increase of \$1,314,000 (General Fund) to replace old, outdated or unrepairable equipment and to update technical equipment used by the Bureau of Forensic Services' Criminalistics Laboratory System.
- An increase of 54.6 personnel years and \$13,039,000 (Reimbursements) to implement the second year of the Administration program to perform rape kit DNA analyses.
- An increase of 6.2 personnel years and \$2,850,000 (Missing Persons DNA Data Base Fund) to implement Chapter 822, Statutes of 2000. Chapter 822 provides for the comparison of DNA samples between missing persons and unidentified remains.
- An increase of 9.5 personnel years and \$1,248,000 (Reimbursements) for the Bureau of Narcotic Enforcement to allow continued participation in the California High Intensity Drug Trafficking Area initiative.
- An increase of 6.6 personnel years and \$1,000,000 (Reimbursements) to implement an Interagency Agreement between the Department of Justice and the Department of Conservation to conduct investigations into recycling fraud.
- An increase of 18.0 personnel years and \$2,829,000 (General Fund) to augment the Sexual Predator Apprehension Team program by establishing teams within the Orange County and San Diego regional offices.
- A one-time increase of \$1,706,000 (General Fund) to replace 105 agent and support vehicles that have reached their full-life potential in FY 2001–02.
- An increase of 5.9 personnel years and \$1,394,000 (General Fund) to provide for post-conviction testing of DNA samples that could result in reversal of previous court convictions of specified incarcerated felons, pursuant to the provisions of Chapter 821, Statutes of 2000.

60 CRIMINAL JUSTICE INFORMATION SERVICES

Program Objectives Statement

The Criminal Justice Information Services Division is organized into four elements. The Bureau of Criminal Identification and Information maintains and administers the fingerprint identification system and the criminal history record system. The Bureau of Criminal Information and Analysis maintains and administers data bases on persons, property, and firearms. The Hawkins Data Center operates the Criminal Justice Information System (CJIS) and the California Law Enforcement Telecommunications System (CLETS).

Major Budget Adjustments Included in 2000–01

- A one-time increase of \$2,500,000 (Federal Funds) to continue implementation of the National Criminal History Improvement Program (NCHIP).
- An increase of 8.6 personnel years and \$846,000 (General Fund) to process increases in relative care giver background clearances.
- A one-time increase of \$3,154,000 (Reimbursements) to purchase additional live scan devices to be placed in juvenile detention centers and book sites.
- An increase of \$816,000 (Federal Funds) to add information about registered sex offenders to the National Sex Offender Registry.

Major Budget Adjustments Proposed for 2001–02

- An increase of 0.9 personnel year and \$52,000 (General Fund) to meet the workload demands of the Check Cashers Permit Program.
- An increase of 9.5 personnel years and \$466,000 General Fund to address child abuse workload, and \$104,000 (Child Abuse Fund) to enhance the capability of the licensing applicant history file.
- An increase of 42.7 personnel years and \$4,103,000 (Federal Funds) and a one-time increase of \$255,000 (Fingerprint Fees Account) to continue activities funded under the NCHIP grant.
- An increase of 4.8 personnel years and \$1,157,000 (General Fund) to expand availability of the Cal-Photo Central Index to ten law enforcement agencies in the Northern and Central California regions and provide for continued statewide expansion of the system.
- An increase of 61.8 personnel years and \$9,076,000 (General Fund) to address workload growth to process criminal fingerprints as well as providing enhancements to the existing Cal-ID System to accommodate this workload growth.
- An increase of 5.7 personnel years and \$2,772,000 (Fingerprint Fees Account) to provide ongoing maintenance of various equipment and to purchase 51 additional applicant live scan devices.
- An increase of 3.3 personnel years and \$570,000 (\$256,000 General Fund and \$314,000 Motor Vehicle Fund) to enhance user support for the California Law Enforcement Telecommunications System.
- An increase of 17.1 personnel years and \$1,112,000 (General Fund) to process increases in relative care giver background clearances.
- A one-time increase of \$448,000 (\$389,000 Fingerprint Fees Account and \$59,000 Reimbursements) to provide maintenance for live scan devices in juvenile detention centers and book sites.
- An increase of 0.9 personnel year and \$48,000 (General Fund) to allow the Violent Crime Information Center to scan and upload current photographs of sex offenders taken prior to release from confinement as mandated by SB 446, Chapter 649, Statutes of 2000.

65 DIVISION OF GAMBLING CONTROL

Program Objectives Statement

Pursuant to Chapter 867, Statutes of 1997 (SB 8), the Gambling Control Act and in accordance with regulations and related gaming laws, the Division of Gambling Control investigates the qualifications of individuals who apply for state gambling licenses and monitors the conduct of these licensees to ensure compliance.

Proposition 1A, which passed in March 2000, amended the California Constitution to permit Class III (Nevada-style) gambling on Indian lands providing that such activities are authorized by a tribal ordinance and conducted in conformity with a gaming compact entered into between the Tribe and the State. The Division of Gambling Control will ensure that each tribe is in compliance with all aspects of their compact. In addition, in a joint effort with compacted tribes, the State will safeguard tribal gaming activities from criminal and other undesirable elements by making sure that licenses are issued only to suitable individuals.

Authority

The Division is established pursuant to the provisions of Chapter 867 Statutes of 1997.

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

70 FIREARMS DIVISION

Program Objectives Statement

The Dangerous Weapons Control Law, Part 4, Title 2 of the California Penal Code, sections 12000 et seq., and relevant portions of the Welfare and Institutions Code contain the statutory authority for Firearms Division activities in the oversight and regulation of firearms in California. The Firearms Division conducts firearms eligibility reviews to identify persons determined ineligible to acquire or possess firearms and/or other dangerous weapons or carry concealed weapons; processes licenses/permits to possess, manufacture or sell dangerous weapons; administers the Basic Firearms Safety Certificate, centralized list, gun show producer and assault weapon registration programs; provides information to client agencies and firearms dealers; conducts firearms dealer and manufacturer inspections; and conducts the safe handgun and firearms safety device certification programs. This Division was created in the 1999–00 fiscal year and funding for the various firearms programs for the 1999–00 and 2000–01 fiscal years is displayed in the Program Requirements under Program 70. For the 1998–99 fiscal year, funding for these programs was in Program 60, Criminal Justice Information Services.

Major Budget Adjustment Proposed for 2001–02

- An increase of 3.8 personnel years and \$327,000 (\$243,000 General Fund and \$84,000 Dealers’ Record of Sale Special Account) to increase the frequency of firearms dealer compliance inspections.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

	1999–00*	2000–01*	2001–02*
11.01 Directorate and Administration	\$16,086	\$19,237	\$20,922
(Directorate)	2,254	2,036	2,050
(Administration)	13,832	17,201	18,872
(Legal Support programs)	—	—	—
11.02 Distributed Directorate and Administration	–16,086	–19,237	–20,922
12.01 Legal Support and Technology	36,576	39,922	40,636
12.02 Distributed Legal Support Technology	–36,576	–39,922	–40,636

PROGRAM REQUIREMENTS

25 EXECUTIVE PROGRAMS	\$9,537	\$10,064	\$13,825
State Operations:			
0001 General Fund	6,063	6,380	10,187
0012 Attorney General Antitrust Account	—	—	2
0014 Hazardous Waste Control Account	—	—	3
0017 Fingerprint Fees Account	—	—	109
0044 Motor Vehicle Account, State Transportation Fund	—	—	40
0142 Sexual Habitual Offender Fund	—	—	5
0158 Travel Seller Fund	—	—	2
0367 Inding Gaming	—	—	17
0557 Toxics Substances Control Account	—	—	4
0567 Gambling Control Fund	—	—	13
0995 Reimbursements	290	394	460
Totals, State Operations	\$6,353	\$6,774	\$10,842
Local Assistance:			
0001 General Fund	3,184	3,290	2,619
0995 Reimbursements	—	—	364
Totals, Local Assistance	\$3,184	\$3,290	\$2,983

ELEMENT REQUIREMENTS

25.10 Executive	935	623	625
State Operations:			
0001 General Fund	935	623	625
25.20 Legislative Unit	875	682	686
State Operations:			
0001 General Fund	875	682	686
25.30 Crime Prevention Center	5,804	6,462	9,530
State Operations:			
0001 General Fund	2,330	2,778	5,787
0995 Reimbursements	290	394	460
Local Assistance:			
0001 General Fund	3,184	3,290	2,619
0995 Reimbursements	—	—	364
25.40 Public Inquiry Unit	712	652	648
State Operations:			
0001 General Fund	712	652	648

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*	
1				
2				
3				
4				
5	25.50 Community and Consumer Affairs.....	\$181	\$115	\$113
6	State Operations:			
7	0001 General Fund.....	181	115	113
8	25.60 Press, Communications, and Media.....	356	595	598
9	State Operations:			
10	0001 General Fund.....	356	595	598
11	25.70 Special Assistant Attorney General.....	674	935	936
12	State Operations:			
13	0001 General Fund.....	674	935	936
14	25.80 Office of Training and Professional Development.....	-	-	738
15	State Operations:			
16	0001 General Fund.....	-	-	543
17	0012 Attorney General Antitrust Account.....	-	-	2
18	0014 Hazardous Waste Control Account.....	-	-	3
19	0017 Fingerprint Fees Account.....	-	-	109
20	0044 Motor Vehicle Account, State Transportation Fund.....	-	-	40
21	0142 Sexual Habitual Offender Fund.....	-	-	5
22	0158 Travel Seller Fund.....	-	-	2
23	0367 Indian Gaming.....	-	-	17
24	0557 Toxics Substances Control Account.....	-	-	4
25	0567 Gambling Control Fines and Penalties.....	-	-	13
26	25.90 Office of Native American Affairs.....	-	-	251
27	State Operations:			
28	0001 General Fund.....	-	-	251
29				
30				
31	PROGRAM REQUIREMENTS			
32	30 CIVIL LAW.....	\$92,624	\$97,611	\$97,460
33	State Operations:			
34	0001 General Fund.....	27,005	24,045	23,711
35	0378 False Claims Act Fund.....	4,386	9,309	9,966
36	0995 Reimbursements.....	59,757	63,099	63,783
37				
38	Totals, State Operations.....	\$91,148	\$96,453	\$97,460
39	Local Assistance:			
40	0942 Lake Davis North Pike Eradication Relief Account, Special Deposit			
41	Fund.....	1,476	1,158	-
42				
43	Totals, Local Assistance.....	\$1,476	\$1,158	-
44				
45	ELEMENT REQUIREMENTS			
46				
47	30.10 Licensing.....	16,054	14,096	14,177
48	State Operations:			
49	0001 General Fund.....	2,760	952	972
50	0995 Reimbursements.....	13,294	13,144	13,205
51	30.20 State Government.....	4,727	5,540	5,904
52	State Operations:			
53	0001 General Fund.....	3,118	3,537	3,833
54	0995 Reimbursements.....	1,609	2,003	2,071
55	30.30 Business and Tax.....	8,164	8,744	7,141
56	State Operations:			
57	0001 General Fund.....	5,465	6,203	4,592
58	0995 Reimbursements.....	2,699	2,541	2,549
59	30.40 Health, Education, and Welfare.....	9,645	10,061	10,293
60	State Operations:			
61	0001 General Fund.....	2,867	1,697	1,907
62	0995 Reimbursements.....	6,778	8,364	8,386
63	30.50 Tobacco.....	2,361	-	-
64	State Operations:			
65	0001 General Fund.....	2,232	-	-
66	0995 Reimbursements.....	129	-	-
67	30.60 Health Quality Enforcement.....	9,048	9,064	9,302
68	State Operations:			
69	0001 General Fund.....	191	207	217
70	0995 Reimbursements.....	8,857	8,857	9,085
71	30.70 Tort and Condemnation.....	20,936	24,934	24,730
72	State Operations:			
73	0001 General Fund.....	9,682	10,791	11,521
74	0995 Reimbursements.....	9,778	12,985	13,209
75	Local Assistance:			
76	0942 Lake Davis Northern Pike Eradication Relief Account, Special Deposit			
77	Fund.....	1,476	1,158	-
78				
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* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*
30.80 Employment, Regulation, and Administration	\$17,303	\$15,863	\$15,947
State Operations:			
0001 General Fund	690	658	669
0995 Reimbursements	16,613	15,205	15,278
30.90 False Claims	4,386	9,309	9,966
State Operations:			
0378 Attorney General False Claims Act Fund	4,386	9,309	9,966
PROGRAM REQUIREMENTS			
40 CRIMINAL LAW	\$82,411	\$90,165	\$93,333
State Operations:			
0001 General Fund	68,757	71,918	74,786
0890 Federal Trust Fund	9,628	13,215	13,433
0995 Reimbursements	672	1,187	1,189
Less amount funded in the Political Reform Act	(80)	(80)	80
Totals, State Operations	\$79,057	\$86,320	\$89,488
Local Assistance:			
0001 General Fund	3,354	3,845	3,845
Totals, Local Assistance	\$3,354	\$3,845	\$3,845
ELEMENT REQUIREMENTS			
40.10 Appeals, Writs, and Trials	56,588	58,771	61,673
State Operations:			
0001 General Fund	53,127	54,782	57,605
0995 Reimbursements	107	144	143
Less amount funded in the Political Reform Act	(80)	(80)	80
Local Assistance:			
0001 General Fund	3,354	3,845	3,845
40.20 Correctional Law	11,489	11,920	11,930
State Operations:			
0001 General Fund	11,425	11,893	11,903
0995 Reimbursements	64	27	27
40.30 Trials and Special Operations	1,467	1,564	1,571
State Operations:			
0001 General Fund	1,308	1,017	1,022
0995 Reimbursements	159	547	549
40.90 Medi-Cal Fraud and Patient Abuse	12,867	17,910	18,159
State Operations:			
0001 General Fund	2,897	4,226	4,256
0890 Federal Trust Fund	9,628	13,215	13,433
0995 Reimbursements	342	469	470
PROGRAM REQUIREMENTS			
45 PUBLIC RIGHTS	\$34,905	\$45,100	\$47,240
State Operations:			
0001 General Fund	20,438	28,227	31,208
0012 Attorney General Antitrust Account	1,047	1,066	1,068
0014 Hazardous Waste Control Account	1,682	1,602	1,610
0158 DOJ Travel Seller Fund	875	1,118	938
0195 Conservatory Registry Fund	—	49	45
0367 Indian Gaming	—	749	754
0557 Toxics Substances Control Account	1,779	1,897	1,912
0567 Gambling Control Fund	292	298	299
0995 Reimbursements	8,792	10,094	9,406
Totals, State Operations	\$34,905	\$45,100	\$47,240
ELEMENT REQUIREMENTS			
45.10 Charitable Trust Register	4,444	5,069	5,306
State Operations:			
0001 General Fund	3,956	4,316	4,576
0995 Reimbursements	488	704	685
0195 Conservatory Registry Fund	—	49	45
45.15 Civil Rights Enforcement	1,184	1,281	1,287
State Operations:			
0001 General Fund	1,184	1,281	1,287
45.20 Natural Resources	7,939	10,959	13,823
State Operations:			
0001 General Fund	4,308	7,070	9,571
0995 Reimbursements	3,631	3,889	4,252

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*
45.25 Indian Gaming	\$671	\$1,493	\$1,503
State Operations:			
0001 General Fund	379	446	450
0367 Indian Gaming	-	749	754
0567 Gambling Control Fund	292	298	299
45.30 Environment	5,290	6,300	6,299
State Operations:			
0001 General Fund	1,660	2,612	2,588
0014 Hazardous Waste Control Account	1,682	1,602	1,610
0557 Toxics Substances Control Account	1,779	1,897	1,912
0995 Reimbursements	169	189	189
45.40 Land Law	6,441	7,313	6,492
State Operations:			
0001 General Fund	3,868	4,163	4,390
0995 Reimbursements	2,573	3,150	2,102
45.50 Consumer Law	4,275	5,276	5,095
State Operations:			
0001 General Fund	2,214	2,534	2,532
0158 DOJ Travel Seller Fund	875	1,118	938
0995 Reimbursements	1,186	1,624	1,625
45.60 Antitrust	4,652	3,694	3,712
State Operations:			
0001 General Fund	2,866	2,090	2,091
0012 Attorney General Antitrust Account	1,047	1,066	1,068
0995 Reimbursements	739	538	553
45.70 Child Support Enforcement	9	-	-
State Operations:			
0001 General Fund	3	-	-
0995 Reimbursements	6	-	-
45.80 Tobacco	-	3,715	3,723
State Operations:			
0001 General Fund	-	3,715	3,723
PROGRAM REQUIREMENTS			
50 LAW ENFORCEMENT	\$119,997	\$152,714	\$158,911
State Operations:			
0001 General Fund	82,871	88,165	100,732
0214 Restitution	181	64	59
0367 Indian Gaming	-	408	392
0890 Federal Trust Fund	14,398	23,190	7,838
0942 State Asset Forfeiture Account, Special Deposit Fund	445	454	461
0942 Federal Asset Forfeiture Account, Special Deposit Fund	1,104	1,338	1,828
0995 Reimbursements	19,938	32,935	41,143
3016 Missing Persons DNA Data Base Fund	-	-	2,850
Less amount funded in the Political Reform Act	(142)	(142)	142
Totals, State Operations	\$118,937	\$146,554	\$155,445
Local Assistance:			
0001 General Fund	504	525	525
0214 Restitution	556	5,635	2,941
0255 Department of Justice DNA Testing Fund	254	225	225
Less funding provided by General Fund	-254	-225	-225
Totals, Local Assistance	\$1,060	\$6,160	\$3,466
ELEMENT REQUIREMENTS			
50.10 Investigations	16,130	22,015	21,985
State Operations:			
0001 General Fund	13,309	13,401	15,971
0214 Restitution	181	64	59
0367 Indian Gaming	-	408	392
0995 Reimbursements	2,084	2,507	2,480
Less amount funded in the Political Reform Act	(142)	(142)	142
Local Assistance:			
0214 Restitution	556	5,635	2,941
50.15 Mission Support Branch	-	15,907	16,078
State Operations:			
0001 General Fund	-	13,674	13,841
0995 Reimbursements	-	2,233	2,237

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*
50.20 Narcotic Enforcement	\$71,997	\$64,575	\$60,723
State Operations:			
0001 General Fund	40,701	31,230	41,471
0890 Federal Trust Fund	14,064	18,658	3,658
0942 State Asset Forfeiture Account, Special Deposit Fund	445	454	461
0942 Federal Asset Forfeiture Account, Special Deposit Fund	1,104	1,338	1,828
0995 Reimbursements	15,433	12,595	13,005
Local Assistance:			
0001 General Fund	250	300	300
50.30 Forensic Services	31,536	38,745	48,986
State Operations:			
0001 General Fund	28,861	29,860	29,449
0890 Federal Trust Fund	-	-	-
0995 Reimbursements	2,421	8,660	16,462
3016 Missing Persons DNA Data Base Fund	-	-	2,850
Local Assistance:			
0001 General Fund	254	225	225
0255 Department of Justice DNA Testing Fund	254	225	225
Less funding provided by General Fund	-254	-225	-225
50.50 Campaign Against Marijuana Planting	334	290	290
State Operations:			
0890 Federal Trust Fund	334	290	290
50.60 Western States Information Network	-	11,182	10,849
State Operations:			
0890 Federal Trust Fund	-	4,242	3,890
0995 Reimbursements	-	6,940	6,959
PROGRAM REQUIREMENTS			
60 CRIMINAL JUSTICE INFORMATION SYSTEMS	\$139,686	\$145,036	\$151,043
State Operations:			
0001 General Fund	42,604	50,324	60,819
0015 Firearm Safety Training Fund	444	-	-
0017 Fingerprint Fees Account	43,420	49,514	50,815
0044 Motor Vehicle Account, State Transportation Fund	18,413	18,819	19,215
0142 DOJ Sexual Habitual Offender Fund	1,563	2,070	2,019
0256 Sexual Predator Public Information Fund	49	49	49
0460 Dealers Record of Sale Account	6,773	1,845	1,903
0566 Child Abuse Fund	176	198	294
0890 Federal Trust Fund	8,037	7,760	4,520
0995 Reimbursements	18,003	12,504	9,456
Totals, State Operations	\$139,482	\$143,083	\$149,090
Local Assistance:			
0460 Dealers Record of Sale Account	5	35	35
0641 Domestic Violence Restraining Order Reimbursement Fund	199	1,918	1,918
Totals, Local Assistance	\$204	\$1,953	\$1,953
ELEMENT REQUIREMENTS			
60.10 O. J. Hawkins Data Center	30,688	36,035	37,291
State Operations:			
0001 General Fund	8,670	14,235	15,720
0017 Fingerprint Fees Account	562	541	539
0044 Motor Vehicle Account, State Transportation Fund	17,268	17,655	18,049
0460 Dealers Record of Sale Account	659	688	689
0995 Reimbursements	3,529	2,916	2,924
60.20 Western States Information Network	8,055	-	-
State Operations:			
0890 Federal Trust Fund	3,697	-	-
0995 Reimbursements	4,358	-	-
60.30 Criminal Information and Analysis	22,955	16,770	16,121
State Operations:			
0001 General Fund	12,422	10,995	11,142
0015 Firearms Safety Training Fund	419	-	-
0017 Fingerprint Fees Account	684	814	827
0044 Motor Vehicle Account, State Transportation Fund	1,088	1,109	1,114
0142 DOJ Sexual Habitual Offender Fund	1,518	2,027	1,976
0256 Sexual Predator Public Information Fund	46	46	46
0460 Dealers Record of Sale Account	5,598	540	603
0566 Child Abuse Fund	171	193	289
0890 Federal Trust Fund	919	966	44
0995 Reimbursements	90	80	80

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*
60.40 Criminal Identification and Information.....	\$74,053	\$83,076	\$88,020
State Operations:			
0001 General Fund.....	21,512	22,277	31,192
0015 Firearms Safety Training Fund.....	25	—	—
0017 Fingerprint Fees Account.....	42,174	44,797	46,205
0044 Motor Vehicle Account, State Transportation Fund.....	57	—	—
0142 DOJ Sexual Habitual Offender Fund.....	45	—	—
0256 Sexual Predator Public Information Fund.....	3	—	—
0460 Dealers Record of Sale Account.....	516	375	377
0566 Child Abuse Fund.....	5	—	—
0890 Federal Trust Fund.....	3,421	6,794	4,476
0995 Reimbursements.....	6,091	6,880	3,817
Local Assistance:			
0460 Dealers Record of Sale Account.....	5	35	35
0641 Domestic Violence Restraining Order Reimbursement Fund.....	199	1,918	1,918
60.50 California Parent Locator Support.....	3,935	—	—
State Operations:			
0995 Reimbursements.....	3,935	—	—
60.60 Criminal Justice Operation Branch.....	—	9,155	8,981
State Operations:			
0001 General Fund.....	—	2,817	2,765
0017 Fingerprint Fees Account.....	—	3,362	3,244
0044 Motor Vehicle Account, State Transportation Fund.....	—	55	52
0142 DOJ Sexual Habitual Offender Fund.....	—	43	43
0256 Sexual Predator Public Information Fund.....	—	3	3
0460 Dealers Record of Sale Account.....	—	242	234
0566 Child Abuse Fund.....	—	5	5
0995 Reimbursements.....	—	2,628	2,635
PROGRAM REQUIREMENTS			
65 GAMBLING	\$5,541	\$13,425	\$12,982
State Operations:			
0367 Indian Gaming.....	1,454	6,978	6,646
0567 Gambling Control Fund.....	3,952	5,402	5,446
0569 Gambling Fines and Penalties.....	135	195	37
0995 Reimbursements.....	—	850	853
Totals, State Operations.....	\$5,541	\$13,425	\$12,982
PROGRAM REQUIREMENTS			
70 FIREARMS	—	\$7,985	\$7,240
State Operations:			
0001 General Fund.....	—	125	243
0015 Firearms Safety Training Fund.....	—	455	456
0032 Firearm Safety Account.....	—	355	309
0046 Dealers Record of Sale Special Account.....	—	6,962	6,144
Totals, State Operations.....	—	\$7,897	\$7,152
Local Assistance:			
0046 Dealers Record of Sale Special Account.....	—	88	88
Totals, Local Assistance.....	—	\$88	\$88
PROGRAM REQUIREMENTS			
98 STATE-MANDATED LOCAL PROGRAMS			
Local Assistance:			
Chapter 1399, Statutes of 1976—Custody of Minors.....	\$9,909	\$17,595	\$13,599
Chapter 337, Statutes of 1990—Stolen Vehicles.....	308	529	362
Chapter 1105, Statutes of 1992—Misdemeanors.....	964	2,147	1,022
Chapter 1456, Statutes of 1988—Missing Persons Reports.....	—	—	—
Carry Over from Previous Years:			
Chapter 337, Statutes of 1990—Stolen Vehicles.....	—	56	—
Chapter 1105, Statutes of 1992—Misdemeanors.....	—	258	—
Chapter 1399, Statutes of 1976—Custody of Minors.....	—	4,652	—
Chapter 1456, Statutes of 1988—Missing Persons Reports.....	—	866	—
Totals, Local Assistance.....	\$11,181	\$26,103	\$14,983
TOTAL EXPENDITURES			
State Operations.....	\$475,423	\$545,606	\$569,699
Local Assistance.....	20,459	42,597	27,318
Less amount funded in Political Reform Act.....	(222)	(222)	-222
TOTALS, EXPENDITURES	\$495,882	\$588,203	\$596,795

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	4,673.9	5,645.5	5,474.8	\$261,264	\$314,025	\$312,415
Total Adjustments	-	54.0	260.7	-	3,509	22,674
Estimated Salary Savings	-	-285.1	-286.7	-	-16,167	-18,259
Net Totals, Salaries and Wages	4,673.9	5,414.4	5,448.8	\$261,264	\$301,367	\$316,830
Staff Benefits	-	-	-	43,456	49,653	53,283
Totals, Personal Services	4,673.9	5,414.4	5,448.8	\$304,720	\$351,020	\$370,113
OPERATING EXPENSES AND EQUIPMENT				\$170,703	\$194,586	\$199,586
TOTALS, EXPENDITURES				\$475,423	\$545,606	\$569,699
Less amount funded in Political Reform Act				(222)	(222)	-222
NET TOTALS, EXPENDITURES (State Operations)				\$475,423	\$545,606	\$569,477

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$238,729	\$264,912	\$300,257
003 Budget Act appropriation	-	-	1,429
011 Budget Act appropriation	-	(8,138)	(7,809)
Allocation for employee compensation	15,592	596	-
Allocation for contingencies or emergencies	2,796	3,234	-
Adjustment per Section 3.60	-8,980	-531	-
Allocation per Section 4.60 (Rental Rate)	-	381	-
Adjustment per Section 16.00	111	-	-
Transfer to Legislative Claims (9670)	-	-1	-
Transfer from Political Reform Act (Item 8640-001-001)	222	222	-
Chapter 479, Statutes of 2000	-	125	-
Prior year balances available:			
Item 0820-001-0001, Budget Act of 1997, as reappropriated by Item			
0820-490, Budget Acts of 1998, 1999 and 2000	266	246	-
Chapter 911, Statutes of 1998	347	-	-
Totals Available	\$249,083	\$269,184	\$301,686
Balance available in subsequent years	-246	-	-
Unexpended balance, estimated savings	-1,099	-	-
TOTALS, EXPENDITURES	\$247,738	\$269,184	\$301,686
0012 Attorney General Antitrust Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,018	\$1,066	\$1,070
Allocation for employee compensation	66	-	-
Adjustment per Section 3.60	-38	-	-
Adjustment per Section 16.00	1	-	-
TOTALS, EXPENDITURES	\$1,047	\$1,066	\$1,070
0014 Hazardous Waste Control Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,631	\$1,612	\$1,613
Allocation for employee compensation	114	1	-
Adjustment per Section 3.60	-64	-11	-
Adjustment per Section 16.00	1	-	-
TOTALS, EXPENDITURES	\$1,682	\$1,602	\$1,613

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*
0015 Firearms Safety Training Fund Special Account^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$451	\$458	\$456
Allocation for employee compensation	26	-	-
Adjustment per Section 3.60	-16	-3	-
Adjustment per Section 16.00.....	1	-	-
Totals Available	\$462	\$455	\$456
Unexpended balance, estimated savings	-18	-	-
TOTALS, EXPENDITURES	\$444	\$455	\$456
0017 Fingerprint Fees Account^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$39,610	\$49,475	\$50,924
Allocation for employee compensation	1,507	-	-
Allocation for contingencies or emergencies	3,181	69	-
Adjustment per Section 3.60	-889	-148	-
Allocation per Section 4.60 (Rental Rate).....	-	118	-
Adjustment per Section 16.00.....	11	-	-
TOTALS, EXPENDITURES	\$43,420	\$49,514	\$50,924
0032 Firearms Safety Account			
APPROPRIATIONS			
001 Budget Act appropriation.....	-	\$355	\$309
Allocation for employee compensation	-	-	-
Adjustment per Section 3.60	-	-	-
TOTALS, EXPENDITURES	-	\$355	\$309
0044 Motor Vehicle Account, State Transportation Fund^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$18,095	\$18,771	\$19,255
Allocation for employee compensation	820	71	-
Adjustment per Section 3.60	-507	-80	-
Allocation per Section 4.60 (Rental Rate).....	-	57	-
Adjustment per Section 16.00.....	5	-	-
TOTALS, EXPENDITURES	\$18,413	\$18,819	\$19,255
0142 Sexual Habitual Offender Fund^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,518	\$2,079	\$2,024
Allocation for employee compensation	120	4	-
Adjustment per section 3.60.....	-75	-13	-
TOTALS, EXPENDITURES	\$1,563	\$2,070	\$2,024
0158 Travel Seller Fund^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$770	\$926	\$940
Allocation for employee compensation	37	-	-
Adjustment per Section 3.60	-18	-4	-
Prior year balances available:			
Chapter 924, Statutes of 1998.....	282	196	-
Totals Available	\$1,071	\$1,118	\$940
Balance available in subsequent years	-196	-	-
TOTALS, EXPENDITURES	\$875	\$1,118	\$940
0195 Conservatory Registry Fund^s			
APPROPRIATIONS			
001 Budget Act appropriation (expenditures).....	-	\$49	\$45

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0214 Restitution Fund ^s

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
001 Budget Act appropriation.....	\$62	\$65	\$59
Adjustment per Section 3.60.....	-	-1	-
Prior year balances available:			
Chapter 507, Statutes of 1997.....	119	-	-
TOTALS, EXPENDITURES	<u>\$181</u>	<u>\$64</u>	<u>\$59</u>

0256 Sexual Predator Public Information Account ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$48	\$50	\$49
Allocation for employee compensation	3	-	-
Adjustment per Section 3.60.....	-2	-1	-
TOTALS, EXPENDITURES	<u>\$49</u>	<u>\$49</u>	<u>\$49</u>

0367 Indian Gaming Special Distribution Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	-	\$8,138	\$7,809
Allocation for employee compensation	-	10	-
Allocation for contingencies or emergencies	\$1,917	-	-
Adjustment per Section 3.60.....	-	-13	-
Unexpended balance, estimated savings	-463	-	-
TOTALS, EXPENDITURES	<u>\$1,454</u>	<u>\$8,135</u>	<u>\$7,809</u>

0378 False Claims Act Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$3,161	\$9,332	\$9,966
Allocation for employee compensation	209	5	-
Allocation for contingencies or emergencies	2,635	-	-
Adjustment per Section 3.60.....	-45	-28	-
011 Budget Act appropriation (transfer to the General Fund)	(25,000)	-	-
Totals, Available	\$5,960	\$9,309	\$9,966
Unexpended balance, estimated savings	-1,574	-	-
TOTALS, EXPENDITURES	<u>\$4,386</u>	<u>\$9,309</u>	<u>\$9,966</u>

0460 Dealers' Record of Sale Special Account ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$6,660	\$8,813	\$8,047
Allocation for employee compensation	311	14	-
Adjustment per Section 3.60.....	-199	-30	-
Allocation per Section 4.60 (Rental Rate).....	-	10	-
Adjustment per Section 16.00.....	1	-	-
TOTALS, EXPENDITURES	<u>\$6,773</u>	<u>\$8,807</u>	<u>\$8,047</u>

0557 Toxics Substances Control Account ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,725	\$1,909	\$1,916
Allocation for employee compensation	121	1	-
Adjustment per Section 3.60.....	-68	-13	-
Adjustment per Section 16.00.....	1	-	-
TOTALS, EXPENDITURES	<u>\$1,779</u>	<u>\$1,897</u>	<u>\$1,916</u>

0566 Child Abuse Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$175	\$199	\$294
Allocation for employee compensation	10	-	-
Adjustment per Section 3.60.....	-9	-1	-
TOTALS, EXPENDITURES	<u>\$176</u>	<u>\$198</u>	<u>\$294</u>

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

0567 Gambling Control Fund ^s

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$4,134	\$5,689	\$5,758
Allocation for employee compensation	265	8	-
Adjustment per Section 3.60	-153	3	-
Adjustment per Section 16.00.....	2	-	-
Totals Available	\$4,248	\$5,700	\$5,758
Unexpended balance, estimated savings	-4	-	-
TOTALS, EXPENDITURES	\$4,244	\$5,700	\$5,758

0569 Gambling Control Fines and Penalties Account ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$242	\$195	\$37
Allocation for employee compensation	15	-	-
Totals Available	\$257	\$195	\$37
Unexpended balance, estimated savings	-122	-	-
TOTALS, EXPENDITURES	\$135	\$195	\$37

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation.....	\$40,693	\$40,474	\$25,791
Allocation for employee compensation	1,805	29	-
Adjustment per Section 3.60	-1,077	186	-
Allocation per Section 4.60 (Rental Rate).....	-	10	-
Adjustment per Section 16.00.....	6	-	-
Budget adjustment.....	-9,364	3,466	-
TOTALS, EXPENDITURES	\$32,063	\$44,165	\$25,791

0942 State Asset Forfeiture Account, Special Deposit Fund ⁿ

APPROPRIATIONS			
011 Budget Act appropriation.....	\$436	\$455	\$461
Allocation for employee compensation	28	2	-
Adjustment per Section 3.60	-19	-3	-
TOTALS, EXPENDITURES	\$445	\$454	\$461

0942 Federal Asset Forfeiture Account, Special Deposit Fund ⁿ

APPROPRIATIONS			
001 Budget act appropriation	\$1,279	\$1,327	\$1,828
Allocation for employee compensation	71	2	-
Adjustment per Section 3.60	-42	9	-
Totals Available	\$1,308	\$1,338	\$1,828
Unexpended balance, estimated savings	-204	-	-
TOTALS, EXPENDITURES	\$1,104	\$1,338	\$1,828

0995 Reimbursements

Reimbursements	\$107,452	\$121,063	\$126,290
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3016 Missing Persons DNA Data Base Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation (expenditures).....	-	-	\$2,850
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$475,423	\$545,606	\$569,477

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	1999-00*	2000-01*	2001-02*
661701 Grants and subventions.....	\$9,278	\$16,494	\$12,335
State Mandates.....	11,181	26,103	14,983
TOTALS, EXPENDITURES	\$20,459	\$42,597	\$27,318

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

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9				
10	APPROPRIATIONS	1999-00*	2000-01*	2001-02*
11	101 Budget Act appropriation.....	\$6,524	\$6,764	\$6,764
12	102 Budget Act appropriation.....	-	300	-
13	111 Budget Act appropriation (For transfer to Department of Justice DNA			
14	Testing Fund—0255).....	225	225	225
15	295 Budget Act appropriation (State Mandates).....	11,215	11,518	14,983
16	Allocation for contingencies or emergencies.....	1,080	-	-
17	Chapter 574, Statutes of 1999 (State Mandates).....	5,812	-	-
18	Chapter 177, Statutes of 2000 (State Mandates).....	-	8,753	-
19	Prior year balances available:			
20	Chapter 885, Statutes of 1997.....	1,429	371	-
21	Chapter 780, Statutes of 1998 (State Mandates).....	20	20	-
22	Chapter 574, Statutes of 1999 (State Mandates).....	-	5,812	-
23				
24	Totals Available.....	\$26,305	\$33,763	\$21,972
25	Balance available in subsequent years.....	-6,203	-	-
26	Unexpended balance, estimated savings.....	-1,879	-	-
27				
28	TOTALS, EXPENDITURES.....	\$18,223	\$33,763	\$21,972
29				
30				
31	0214 Restitution Fund ^s			
32	APPROPRIATIONS			
33	101 Budget Act appropriation.....	\$2,938	\$2,935	\$2,941
34	Prior year balances available:			
35	Chapter 507, Statutes of 1997.....	2,700	2,700	-
36				
37	Totals Available.....	\$5,638	\$5,635	\$2,941
38	Balance available in subsequent years.....	-2,700	-	-
39	Unexpended balance, estimated savings.....	-2,382	-	-
40				
41	TOTALS, EXPENDITURES.....	\$556	\$5,635	\$2,941
42				
43				
44	0255 Department of Justice DNA Testing Fund ^s			
45	APPROPRIATIONS			
46	111 Budget Act appropriation.....	\$225	\$225	\$225
47	Allocation for contingencies or emergencies.....	1,080	-	-
48				
49	Totals Available.....	\$1,305	\$225	\$225
50	Unexpended balance, estimated savings.....	-1,051	-	-
51				
52	Totals Expenditures.....	\$254	\$225	\$225
53	Less funding provided by General Fund.....	-254	-225	-225
54				
55	NET TOTALS, EXPENDITURES.....	-	-	-
56				
57				
58	0460 Dealers' Record of Sale Special Account ^s			
59	APPROPRIATIONS			
60	101 Budget Act appropriation.....	\$85	\$123	\$123
61	Unexpended balance, estimated savings.....	-80	-	-
62				
63	TOTALS, EXPENDITURES.....	\$5	\$123	\$123
64				
65	0641 Domestic Violence Restraining Order Reimbursement Fund ⁿ			
66	APPROPRIATIONS			
67	101 Budget Act appropriation.....	\$600	\$1,918	\$1,918
68	Unexpended balance, estimated savings.....	-401	-	-
69				
70	TOTALS, EXPENDITURES.....	\$199	\$1,918	\$1,918
71				
72				
73	0942 Lake Davis Northern Pike Eradication Relief Account,			
74	Special Deposit Fund ⁿ			
75	APPROPRIATIONS			
76	Prior year balances available:			
77	Chapter 937, Statutes of 1998.....	\$2,634	\$1,158	-
78	Balance available in subsequent years.....	-1,158	-	-
79				
80	TOTALS, EXPENDITURES.....	\$1,476	\$1,158	-
81				
82				
83				
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87				
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* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*
0995 Reimbursements			
Reimbursements	—	—	\$364
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$20,459	\$42,597	\$27,318
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$495,882	\$588,203	\$596,795

FUND CONDITION STATEMENT

	1999-00*	2000-01*	2001-02*
0012 Attorney General Antitrust Account^s			
BEGINNING BALANCE.....	\$1	\$133	\$286
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments	12	19	19
160100 Attorney General proceeds of antitrust actions.....	1,167	1,200	1,200
Totals, Revenues	\$1,179	\$1,219	\$1,219
Totals, Resources	\$1,180	\$1,352	\$1,505
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations).....	1,047	1,066	1,070
Totals, Expenditures	\$1,047	\$1,066	\$1,070
FUND BALANCE.....	\$133	\$286	\$435
Reserve for economic uncertainties	133	286	435
0015 Firearms Safety Training Fund Special Account^s			
BEGINNING BALANCE.....	\$264	\$289	\$403
REVENUES AND TRANSFERS			
Revenues:			
142500 Miscellaneous services to the public	551	551	551
150300 Income from surplus money investments	18	18	18
Totals, Revenues	\$569	\$569	\$569
Transfers to Other Funds:			
T00460 Dealers' Record of Sale Special Account: Loan repayment per Chapter 950, Statutes of 1990.....	-100	—	—
Totals, Revenues, and Transfers.....	\$469	\$569	\$569
Totals, Resources.....	\$733	\$858	\$972
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations).....	444	455	456
Totals, Expenditures	\$444	\$455	\$456
FUND BALANCE.....	\$289	\$403	\$516
Reserve for economic uncertainties	289	403	516
0017 Fingerprint Fees Account^s			
BEGINNING BALANCE.....	\$18,902	\$21,879	\$18,763
Prior year adjustments	-1	—	—
Balance, Adjusted.....	\$18,901	\$21,879	\$18,763
REVENUES AND TRANSFERS			
Revenues:			
131600 Fingerprint ID card fees	45,430	45,430	45,430
150300 Income from surplus money investments	968	968	968
Totals, Revenues	\$46,398	\$46,398	\$46,398
Totals, Revenues and Transfers	\$46,398	\$46,398	\$46,398
Totals, Resources	\$65,299	\$68,277	\$65,161

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations).....	\$43,420	\$49,514	\$50,924
Totals, Expenditures	\$43,420	\$49,514	\$50,924
FUND BALANCE.....	\$21,879	\$18,763	\$14,237
Reserve for economic uncertainties	21,879	18,763	14,237
0019 Trustline Voluntary Registration Fund ^s			
BEGINNING BALANCE.....	\$90	\$88	\$88
Prior year adjustments	-2	-	-
Balance Adjusted	\$88	\$88	\$88
FUND BALANCE.....	\$88	\$88	\$88
Reserve for economic uncertainties	88	88	88
0032 Firearms Safety Account ^s			
BEGINNING BALANCE.....	-	\$118	\$139
REVENUES AND TRANSFERS			
Revenues:			
142500 Miscellaneous services to the public	\$117	375	375
150300 Income from surplus money investments	1	1	1
Totals, Revenues	\$118	\$376	\$376
Totals, Revenues and Transfers	\$118	\$376	\$376
Totals, Resources	\$118	\$494	\$515
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations).....	-	355	309
Totals, Expenditures	-	\$355	\$309
FUND BALANCE.....	\$118	\$139	\$206
Reserve for economic uncertainties	118	139	206
0142 Sexual Habitual Offender Fund ^s			
BEGINNING BALANCE.....	\$1,265	\$2,014	\$2,258
Prior year adjustments	-2	-	-
Balance, Adjusted.....	\$1,263	\$2,014	\$2,258
REVENUES AND TRANSFERS			
Revenues:			
142500 Miscellaneous services to the public	2,244	2,244	2,244
150300 Income from surplus money investments	70	70	70
Totals, Revenues	\$2,314	\$2,314	\$2,314
Totals, Revenues and Transfers	\$2,314	\$2,314	\$2,314
Totals, Resources	\$3,577	\$4,328	\$4,572
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations).....	1,563	2,070	2,024
Totals, Expenditures	\$1,563	\$2,070	\$2,024
FUND BALANCE.....	\$2,014	\$2,258	\$2,548
Reserve for economic uncertainties	2,014	2,258	2,548
0158 Travel Seller Fund ^s			
BEGINNING BALANCE.....	\$1,033	\$1,080	\$839
Prior year adjustments	-	-	-
Balance, Adjusted.....	\$1,033	\$1,080	\$839

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*
1 REVENUES AND TRANSFERS			
2 Revenues:			
3 142500 Miscellaneous services to the public	\$845	\$800	\$800
4 150300 Income from surplus money investments	77	77	77
5 Totals, Revenues	\$922	\$877	\$877
6 Totals, Resources	\$1,955	\$1,957	\$1,716
7 EXPENDITURES			
8 Disbursements:			
9 0820 Department of Justice (State Operations).....	875	1,118	940
10 Totals, Expenditures	\$875	\$1,118	\$940
11 FUND BALANCE.....	\$1,080	\$839	\$776
12 Reserve for economic uncertainties	1,080	839	776
13 0195 Conservatory Registry Fund ^s			
14 BEGINNING BALANCE.....	-	-	\$113
15 REVENUES AND TRANSFERS			
16 Revenues:			
17 142500 Miscellaneous services to the public	-	\$162	162
18 Totals, Revenues	-	\$162	\$162
19 Totals, Revenues and Transfers	-	\$162	\$162
20 Totals, Resources	-	\$162	\$275
21 EXPENDITURES			
22 Disbursements:			
23 0820 Department of Justice (State Operations).....	-	49	45
24 Totals, Expenditures	-	\$49	\$45
25 FUND BALANCE.....	-	\$113	\$230
26 Reserve for economic uncertainties	-	113	230
27 0255 DNA Testing Fund ^s			
28 BEGINNING BALANCE.....	\$54	\$59	\$64
29 Prior year adjustments	-	-	-
30 Balance, Adjusted.....	\$54	\$59	\$64
31 REVENUES AND TRANSFERS			
32 Revenues:			
33 142500 Miscellaneous services to the public	-	-	-
34 150300 Income from surplus money investments	5	5	5
35 Totals, Revenues	\$5	\$5	\$5
36 Totals, Revenues and Transfers	\$5	\$5	\$5
37 Totals, Resources	\$59	\$64	\$69
38 EXPENDITURES			
39 Disbursements:			
40 0820 Department of Justice (Local Assistance).....	254	225	225
41 Expenditure Reductions:			
42 0820 Department of Justice:			
43 Local Assistance:			
44 Less funding provided by General Fund.....	-254	-225	-225
45 Totals, Expenditures	-	-	-
46 FUND BALANCE.....	\$59	\$64	\$69
47 Reserve for economic uncertainties	59	64	69
48 0256 Sexual Predator Public Information Account ^s			
49 BEGINNING BALANCE.....	\$197	\$217	\$239
50 Prior year adjustments	-	-	-
51 Balance, Adjusted.....	\$197	\$217	\$239

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

1				
2				
3				
4	REVENUES AND TRANSFERS			
5	Revenues:	1999-00*	2000-01*	2001-02*
6	142500 Miscellaneous services to the public	\$57	\$57	\$57
7	150300 Income from surplus money investments	12	14	14
8				
9	Totals, Revenues	\$69	\$71	\$71
10	Totals, Revenues and Transfers	\$69	\$71	\$71
11				
12	Totals, Resources	\$266	\$288	\$310
13				
14	EXPENDITURES			
15	Disbursements:			
16	0820 Department of Justice (State Operations)	49	49	49
17				
18	Totals, Expenditures	\$49	\$49	\$49
19				
20	FUND BALANCE	\$217	\$239	\$261
21	Reserve for economic uncertainties	217	239	261
22				
23	0288 The Registry of International Student Exchange Visitor			
24	Placement Organizations Fund ^s			
25				
26	BEGINNING BALANCE	\$17	\$21	\$25
27	Prior year adjustments	-	-	-
28				
29	Balance, Adjusted	\$17	\$21	\$25
30				
31	REVENUES AND TRANSFERS			
32	Revenues:			
33	142500 Miscellaneous Services to the Public	3	3	3
34	150300 Income from surplus money investments	1	1	1
35				
36	Totals, Revenues	\$4	\$4	\$4
37				
38	Totals, Revenues and Transfers	\$4	\$4	\$4
39				
40	Totals, Resources	\$21	\$25	\$29
41				
42	FUND BALANCE	\$21	\$25	\$29
43	Reserve for economic uncertainties	21	25	29
44				
45	0378 False Claims Act Fund ^s			
46				
47	BEGINNING BALANCE	\$3,459	\$434	\$14,715
48	Prior year adjustments	5	-	-
49				
50	Balance, Adjusted	\$3,464	\$434	\$14,715
51				
52	REVENUES AND TRANSFERS			
53	Revenues:			
54	150300 Income from surplus money investments	111	111	111
55	164400 Civil and Criminal Violation Assessments	26,245	23,479	9,750
56				
57	Totals, Revenues	\$26,356	\$23,590	\$9,861
58	Transfers to Other Funds:			
59	T0001 General Fund per Item 0820-011-0378, Budget Act of 1999	-25,000	-	-
60				
61	Totals, Revenues and Transfers	\$1,356	\$23,590	\$9,861
62				
63	Totals, Resources	\$4,820	\$24,024	\$24,576
64				
65	EXPENDITURES			
66	Disbursements:			
67	0820 Department of Justice (State Operations)	4,386	9,309	9,966
68				
69	Totals, Expenditures	\$4,386	\$9,309	\$9,966
70				
71	FUND BALANCE	\$434	\$14,715	\$14,610
72	Reserve for economic uncertainties	434	14,715	14,610
73				
74	0460 Dealers' Record of Sale Special Account ^s			
75				
76	BEGINNING BALANCE	\$2,819	\$4,976	\$4,881
77				
78	REVENUES AND TRANSFERS			
79	Revenues:			
80	125700 Other regulatory licenses and permits	107	107	107
81	131600 Fingerprint ID card fees	413	413	413
82	142500 Miscellaneous services to the public	8,117	8,117	8,117
83				
84				
85				
86				
87				
88				

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	1999-00*	2000-01*	2001-02*
150300 Income from surplus money investments	\$190	\$190	\$190
150500 Interest income from interfund loans	—	—	—
161000 Escheat of unclaimed checks and warrants	8	8	8
Totals, Revenues	\$8,835	\$8,835	\$8,835
Transfers from Other Funds:			
Loan repayment from Firearm Safety Training Fund 0015	100	—	—
Totals, Transfers	\$100	—	—
Totals, Revenues and Transfers	\$8,935	\$8,835	\$8,835
Totals, Resources	\$11,754	\$13,811	\$13,716
EXPENDITURES			
Disbursements:			
0820 Department of Justice:			
State Operations	6,773	8,807	8,047
Local Assistance	5	123	123
Totals, Expenditures	\$6,778	\$8,930	\$8,170
FUND BALANCE	\$4,976	\$4,881	\$5,546
Reserve for economic uncertainties	4,976	4,881	5,546
0566 Child Abuse Fund ^s			
BEGINNING BALANCE	\$98	\$339	\$557
Prior year adjustments	1	—	—
Balance, Adjusted	\$99	\$339	\$557
REVENUES AND TRANSFERS			
Revenues:			
142500 Miscellaneous services to the public	405	405	405
150300 Income from surplus money investments	11	11	11
Totals, Revenues	\$416	\$416	\$416
Totals, Revenues and Transfers	\$416	\$416	\$416
Totals, Resources	\$515	\$755	\$973
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations)	176	198	294
Totals, Expenditures	\$176	\$198	\$294
FUND BALANCE	\$339	\$557	\$679
Reserve for economic uncertainties	339	557	679
0567 Gambling Control Fund ^s			
BEGINNING BALANCE	\$4,660	\$5,776	\$4,310
Prior year adjustments	—	—	—
Balance, Adjusted	\$4,660	\$5,776	\$4,310
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits	130	170	170
125800 Renewal Fees	4,784	4,788	4,788
142500 Miscellaneous services to the public	446	496	496
150300 Income from surplus money investments	—	—	—
Totals, Revenues	\$5,360	\$5,454	\$5,454
Totals, Revenues and Transfers	\$5,360	\$5,454	\$5,454
Totals, Resources	\$10,020	\$11,230	\$9,764

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

1				
2				
3	EXPENDITURES			
4	Disbursements:			
5	0820 Department of Justice (State Operations).....	1999-00*	2000-01*	2001-02*
6		\$4,244	\$5,700	\$5,758
7	0855 California Gambling Control Commission (State Operations).....	—	1,220	1,934
8				
9	Totals, Expenditures	\$4,244	\$6,920	\$7,692
10	FUND BALANCE.....	\$5,776	\$4,310	\$2,072
11	Reserve for economic uncertainties	5,776	4,310	2,072
12				
13	0569 Gambling Control Fines and Penalties Account *			
14				
15	BEGINNING BALANCE.....	\$565	\$836	\$683
16	Prior year adjustments	1	—	—
17				
18	Balance, Adjusted.....	\$566	\$836	\$683
19				
20	REVENUES AND TRANSFERS			
21	Revenues:			
22	150300 Income from surplus money investments	42	42	42
23	164300 Penalty assessment	363	—	—
24				
25	Totals, Revenues	\$405	\$42	\$42
26				
27	Totals, Revenues and Transfers	\$405	\$42	\$42
28				
29	Totals, Resources	\$971	\$878	\$725
30	EXPENDITURES			
31	Disbursements:			
32	0820 Department of Justice (State Operations).....	135	195	37
33				
34	Totals, Expenditures	\$135	\$195	\$37
35				
36	FUND BALANCE.....	\$836	\$683	\$688
37	Reserve for economic uncertainties	836	683	688
38				
39	0942 State Asset Forfeiture Account, Special Deposit Fund ⁿ			
40				
41	BEGINNING BALANCE.....	\$946	\$1,050	\$1,346
42	Prior year adjustments	—	—	—
43				
44	Balance, Adjusted.....	\$946	\$1,050	\$1,346
45				
46	REVENUES AND TRANSFERS			
47	Operating Revenues:			
48	215000 Income from investments.....	67	—	—
49	299000 Other—miscellaneous revenue.....	482	750	750
50				
51	Totals, Operating Revenues	\$549	\$750	\$750
52				
53	Totals, Revenues and Transfers	\$549	\$750	\$750
54				
55	Totals, Resources	\$1,495	\$1,800	\$2,096
56	EXPENDITURES			
57	Disbursements:			
58	0820 Department of Justice (State Operations).....	445	454	461
59				
60	Totals, Expenditures	\$445	\$454	\$461
61				
62	FUND BALANCE.....	\$1,050	\$1,346	\$1,635
63	Reserve for economic uncertainties	1,050	1,346	1,635
64				
65	0942 Federal Asset Forfeiture Account, Special Deposit Fund ⁿ			
66				
67	BEGINNING BALANCE.....	\$1,843	\$2,299	\$2,761
68				
69	REVENUES AND TRANSFERS			
70	Operating Revenues:			
71	215000 Income from investments.....	—	—	—
72	299000 Other—miscellaneous revenue.....	1,560	1,800	1,800
73				
74	Totals, Operating Revenues	\$1,560	\$1,800	\$1,800
75				
76	Totals, Revenues and Transfers	\$1,560	\$1,800	\$1,800
77				
78	Totals, Resources	\$3,403	\$4,099	\$4,561
79				
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88				

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

1							
2							
3							
4	EXPENDITURES						
5	Disbursements:				<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
6	0820 Department of Justice (State Operations)				\$1,104	\$1,338	\$1,828
7	Totals, Expenditures				\$1,104	\$1,338	\$1,828
8							
9	FUND BALANCE.....				\$2,299	\$2,761	\$2,733
10	Reserve for economic uncertainties				2,299	2,761	2,733
11							
12	3016 Missing Persons DNA Data Base Fund ^s						
13							
14	BEGINNING BALANCE.....				-	-	\$1,425
15							
16	REVENUES AND TRANSFERS						
17	141200 Sales of Documents.....				-	\$1,425	2,850
18	Totals, Revenues and Transfers				-	\$1,425	\$2,850
19							
20	Totals, Resources.....				-	\$1,425	\$4,275
21							
22	EXPENDITURES						
23	Disbursements:						
24	0820 Department of Justice (State Operations)				-	-	2,850
25	Totals, Expenditures				-	-	\$2,850
26							
27	FUND BALANCE.....				-	\$1,425	\$1,425
28	Reserve for economic uncertainties				-	1,425	1,425
29							
30							
31							
32							

33							
34							
35	CHANGES IN						
36	AUTHORIZED POSITIONS	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
37	Totals, Authorized Positions	4,673.9	5,645.5	5,474.8	\$261,264	\$314,025	\$312,415
38	Salary adjustments.....	-	-	-	-	589	3,893
39	Totals, Adjusted Authorized Positions	4,673.9	5,645.5	5,474.8	\$261,264	\$314,614	\$316,308
40							
41	Workload and Administrative Adjustments:						
42	Directorate-Administrative Services:						
43	Personnel Office:				Salary Range		
44	Pers Svcs Spec I ¹ (1.0 position						
45	effective 1/1/01)	-	0.5	-	2,315-3,351	18	-
46	Accounting Office:						
47	Assoc Bus Mgt Analyst ¹ (1.0 position						
48	effective 1/1/01)	-	0.5	-	3,915-4,759	26	-
49	Acctg Ofcr-Spec ¹ (1.0 position						
50	effective 1/1/01)	-	0.5	-	3,418-4,155	23	-
51	Division of Legal Support and						
52	Technology:						
53	Legal Secty ¹ (4.5 positions effective						
54	1/1/00).....	-	2.2	-	2,704-3,450	84	-
55	Public Rights Division:						
56	Natural Resources:						
57	Dep Atty Gen III ¹ (7.0 positions						
58	effective 1/1/00)	-	3.5	-	6,573-8,111	304	-
59	Assoc Mgt Auditor ¹ (1.0 position						
60	effective 1/1/00)	-	0.5	-	4,110-4,997	27	-
61	Legal Analyst ¹ (3.0 positions effective						
62	1/1/00).....	-	1.5	-	3,418-4,155	68	-
63	Division of Law Enforcement:						
64	Bureau of Forensic Services:						
65	Criminalist Mgr ¹ (1.0 position						
66	effective 10/1/00).....	-	0.8	-	6,329-6,980	58	-
67	Criminalist ¹ (45.5 positions effective						
68	1/1/01; 6.0 positions effective						
69	10/1/00).....	-	26.4	-	4,515-5,508	1,459	-
70	Assoc Govtl Prog Analyst ¹ (1.0						
71	position effective 10/1/00).....	-	0.8	-	3,915-4,759	35	-
72	Ofc Techn ¹ (1.0 position effective						
73	10/1/00).....	-	0.8	-	2,348-2,855	21	-
74	Bureau of Investigation:						
75	Special Agent Supvr.....	-	1.0	-	4,876-6,036	64	-
76	Special Agent	-	5.0	-	4,441-4,997	294	-
77	Crim Intelligence Spec II	-	1.0	-	3,047-3,662	40	-
78	Overtime.....	-	-	-	-	82	-
79							
80							
81							
82							
83							
84							
85							
86							
87							
88							

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
1 Western States Information Network:				Salary Range		
2 C.E.A.....	-	1.0	-	\$8,520-9,581	\$101	-
3 Asst Bur Chief.....	-	1.0	-	6,363-7,016	82	-
4 DOJ Administrator II.....	-	1.0	-	5,481-6,041	72	-
5 DOJ Administrator I.....	-	3.0	-	4,520-5,455	177	-
6 Assoc Programmer Analyst-Spec.....	-	1.0	-	4,110-4,997	60	-
7 Investigative Auditor III, DOJ.....	-	1.0	-	4,110-4,997	51	-
8 Crim ID & Intelligence Supvr.....	-	6.0	-	4,024-4,858	313	-
9 Assoc Govtl Prog Analyst.....	-	2.0	-	3,915-4,759	98	-
10 Regional Coord-WSIN.....	-	5.0	-	3,915-4,724	266	-
11 Photo Electronics Spec.....	-	2.0	-	3,934-4,525	103	-
12 Asst Info Sys Analyst.....	-	2.0	-	2,764-4,155	70	-
13 Research Analyst-Gen.....	-	1.0	-	2,764-4,155	48	-
14 Crim Intelligence Spec III.....	-	22.0	-	3,335-4,021	935	-
15 Staff Svcs Analyst-Gen.....	-	3.0	-	2,507-3,957	117	-
16 Crim Intelligence Spec II.....	-	5.0	-	3,047-3,662	194	-
17 Bus Svcs Asst-Spec.....	-	1.0	-	2,220-3,300	28	-
18 Exec Secty I.....	-	1.0	-	2,688-3,268	39	-
19 Prop Controller I.....	-	1.0	-	2,538-3,139	37	-
20 Crim Intelligence Spec I.....	-	49.0	-	2,560-3,047	1,618	-
21 Ofc Techn-Typing.....	-	4.0	-	2,348-2,855	116	-
22 Ofc Techn-Gen.....	-	1.0	-	2,348-2,855	29	-
23 Prog Techn II.....	-	1.0	-	2,348-2,855	29	-
24 Word Proc Techn.....	-	4.0	-	2,029-2,648	100	-
25 Key Data Opr.....	-	1.0	-	1,916-2,648	24	-
26 Ofc Asst-Typing.....	-	1.0	-	1,908-2,465	24	-
27 Overtime.....	-	-	-	-	247	-
28 Criminal Justice Information Services						
29 Division:						
30 Bureau of Criminal Identification and						
31 Information:						
32 Fld Rep (2.0 positions effective						
33 7/1/01).....	-	1.0	-	4,021-4,853	53	-
34 Crim ID Spec II (3.5 positions						
35 effective 7/1/01).....	-	1.8	-	3,047-3,662	74	-
36 Crim ID Spec I (3.0 positions effective						
37 7/1/01).....	-	1.5	-	2,560-3,047	53	-
38 Prog Techn II (3.0 positions effective						
39 7/1/01).....	-	1.5	-	2,348-2,855	49	-
40 Prog Techn (4.0 positions effective						
41 7/1/01).....	-	2.0	-	2,029-2,648	56	-
42 Ofc Asst-Gen (2.5 positions effective						
43 7/1/01).....	-	1.2	-	2,029-2,465	32	-
44 Western States Information Network:						
45 C.E.A.....	-	-1.0	-	8,520-9,581	-101	-
46 Asst Bur Chief.....	-	-1.0	-	6,363-7,016	-82	-
47 DOJ Administrator II.....	-	-1.0	-	5,481-6,041	-72	-
48 DOJ Administrator I.....	-	-3.0	-	4,520-5,455	-177	-
49 Assoc Programmer Analyst-Spec.....	-	-1.0	-	4,110-4,997	-60	-
50 Investigative Auditor III, DOJ.....	-	-1.0	-	4,110-4,997	-51	-
51 Crim ID & Intelligence Supvr.....	-	-6.0	-	4,024-4,858	-313	-
52 Assoc Govtl Prog Analyst.....	-	-2.0	-	3,915-4,759	-98	-
53 Regional Coord-WSIN.....	-	-5.0	-	3,915-4,724	-266	-
54 Photo Electronics Spec.....	-	-2.0	-	3,934-4,525	-103	-
55 Asst Info Sys Analyst.....	-	-2.0	-	2,764-4,155	-70	-
56 Research Analyst-Gen.....	-	-1.0	-	2,764-4,155	-48	-
57 Crim Intelligence Spec III.....	-	-22.0	-	3,335-4,021	-935	-
58 Staff Svcs Analyst-Gen.....	-	-3.0	-	2,507-3,957	-117	-
59 Crim Intelligence Spec II.....	-	-5.0	-	3,047-3,662	-194	-
60 Bus Svcs Asst-Spec.....	-	-1.0	-	2,220-3,300	-28	-
61 Exec Secty I.....	-	-1.0	-	2,688-3,268	-39	-
62 Prop Controller I.....	-	-1.0	-	2,538-3,139	-37	-
63 Crim Intelligence Spec I.....	-	-49.0	-	2,560-3,047	-1,618	-
64 Ofc Techn-Typing.....	-	-4.0	-	2,348-2,855	-116	-
65 Ofc Techn-Gen.....	-	-1.0	-	2,348-2,855	-29	-
66 Prog Techn II.....	-	-1.0	-	2,348-2,855	-29	-
67 Word Proc Techn.....	-	-4.0	-	2,029-2,648	-100	-
68 Key Data Opr.....	-	-1.0	-	1,916-2,648	-24	-
69 Ofc Asst-Typing.....	-	-1.0	-	1,908-2,465	-24	-
70 Overtime.....	-	-	-	-	-247	-
71 Totals, Workload and Administrative						
72 Adjustments.....	-	54.0	-	-	\$2,920	-

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
1						
2						
3						
4	Reduction per Section 31.50:					
5	Division of Legal Support and Technology:					
6	Legal Support and Technology:					
7				Salary Range		
8				\$2,764-4,155		
9				2,507-3,957		
10				3,121-3,795		
11				2,704-3,450		
12				2,688-3,268		
13				1,908-2,465		
14				1,846-2,465		
15	Law Library:					
16				4,154-4,987		
17				2,466-3,000		
18				2,348-2,855		
19	Civil Law Division:					
20	Licensing:					
21				6,573-8,111		
22	State Government:					
23				3,651-7,034		
24	Business and Tax:					
25				6,573-8,111		
26	Health, Education, and Welfare:					
27				6,573-8,111		
28				3,651-7,034		
29				3,418-4,155		
30	Health Quality Enforcement:					
31				7,262-8,967		
32				3,013-3,663		
33	Tort and Condemnation:					
34				7,262-8,967		
35				6,573-8,111		
36	Enforcement, Regulation, and					
37	Administration:					
38				7,262-8,967		
39				6,573-8,111		
40				3,418-4,155		
41	Criminal Law Division:					
42	Appeals, Writs, and Trials:					
43				7,262-8,967		
44				6,573-8,111		
45				3,651-7,034		
46	Public Rights Division:					
47	Land Law:					
48				3,418-4,155		
49	Natural Resources:					
50				2,704-3,450		
51	Division of Law Enforcement:					
52	Bureau of Narcotic Enforcement:					
53				4,876-6,036		
54				2,798-5,491		
55				4,341-5,233		
56				3,999-4,640		
57				3,335-4,021		
58				3,047-3,662		
59				2,348-2,855		
60				2,029-2,648		
61				2,029-2,648		
62				1,908-2,465		
63	Criminal Justice Information Services					
64	Division:					
65	Bureau of Criminal Identification and					
66	Information:					
67				2,628-3,195		
68				2,626-3,193		
69				2,560-3,047		
70				2,348-2,855		
71				2,029-2,648		
72				2,029-2,648		
73				1,916-2,648		
74				1,846-2,465		
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* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Bureau of Criminal Information and Analysis:				Salary Range		
Research Analyst I-Gen	-	(-1.0)	(-1.0)	\$2,764-4,155	-	-
Supvng Prog Techn II	-	(-1.0)	(-1.0)	2,628-3,195	-	-
Prog Techn II	-	(-3.0)	(-3.0)	2,348-2,855	-	-
Prog Techn	-	(-5.3)	(-5.3)	2,029-2,648	-	-
Totals	-	(-122.1)	(-122.1)	-	-	-
Excess Vacant Position Analysis:						
Reduction in Authorized Positions	-	-	-105.3	-	-	-
Totals	-	-	-105.3	-	-	-
Proposed New Positions:						
Directorate-Administrative Services:						
Personnel Office:						
Assoc Industrial Hygienist	-	-	1.0	4,414-5,321	-	\$58
Assoc Pers Analyst	-	-	1.0	3,915-4,759	-	52
Assoc Govtl Prog Analyst	-	-	2.0	3,915-4,759	-	104
Pers Svcs Spec I ²	-	-	4.0	2,315-3,351	-	128
Pers Techn	-	-	1.0	2,143-3,049	-	28
Accounting Office:						
Assoc Bus Mgt Analyst ¹	-	-	1.0	3,915-4,759	-	52
Acctg Ofcr-Spec ¹	-	-	1.0	3,418-4,155	-	45
Division of Legal Support and Technology:						
Legal Secty (Range B) ¹	-	-	1.0	2,839-3,450	-	36
Legal Secty ³	-	-	20.9	2,704-3,450	-	769
Division of Executive Programs:						
Various Redirections for Executive Programs	-	-	-11.6	-	-	-
Crime and Violence Prevention Center:						
DOJ Administrator III	-	-	1.0	6,027-6,645	-	80
Marketing Spec ⁴	-	-	1.0	4,301-5,228	-	59
Research Prog Spec	-	-	1.0	4,301-5,228	-	57
Crime Prev Spec	-	-	1.0	3,915-5,228	-	52
Assoc Govtl Prog Analyst ²	-	-	3.0	3,915-4,759	-	161
Editorial Techn	-	-	0.5	2,670-3,246	-	18
Ofc Techn	-	-	2.0	2,348-2,855	-	62
Office of Professional Training and Development:						
Dep Atty Gen IV	-	-	1.0	6,632-7,312	-	96
Asst Bur Chief	-	-	1.0	6,424-7,016	-	84
Staff Svcs Mgr I	-	-	1.0	4,520-5,455	-	60
Trng Ofcr	-	-	2.0	3,915-4,759	-	104
Assoc Govtl Prog Analyst	-	-	1.0	3,915-4,759	-	52
Ofc Techn	-	-	2.0	2,348-2,855	-	62
Office of Native American Affairs:						
C.E.A. I	-	-	1.0	5,493-6,975	-	73
Staff Svcs Analyst	-	-	1.0	2,507-3,957	-	33
Ofc Techn	-	-	1.0	2,348-2,855	-	31
Civil Law Division:						
Health, Education, and Welfare:						
Dep Atty Gen III	-	-	1.0	6,320-7,799	-	87
False Claims:						
Investigative Auditor IV-Supvr	-	-	1.0	4,346-5,243	-	60
Investigative Auditor III	-	-	3.0	3,952-4,805	-	163
State Government:						
Dep Atty Gen III ¹	-	-	1.0	6,320-7,799	-	87
Legal Analyst ¹	-	-	0.5	3,287-3,995	-	23
Criminal Law Division:						
Bureau of Medi-Cal Fraud and Elder Abuse:						
Dep Atty Gen III ⁵	-	-	2.0	6,573-8,111	-	174
Special Agent Supvr ⁵	-	-	4.0	4,879-6,036	-	258
Special Agent (Range C) ⁵	-	-	8.0	4,441-5,491	-	470
Investigative Auditor II ⁵	-	-	2.0	3,418-4,155	-	90
Legal Analyst ⁵	-	-	1.0	3,418-4,155	-	45
Ofc Techn-Typing ⁵	-	-	1.0	2,348-2,855	-	31
Appeals, Writs, and Trials:						
Dep Atty Gen III ¹⁶	-	-	17.0	6,573-8,111	-	1,478

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Public Rights Division:						
Charitable Trust:				Salary Range		
Assoc Govtl Prog Analyst	—	—	1.0	\$3,915-4,759	—	\$52
Ofc Techn	—	—	1.0	2,348-2,855	—	31
Prog Techn II	—	—	3.0	2,258-2,745	—	93
Temporary Help	—	—	—	—	—	10
Natural Resources and Land Law:						
Dep Atty Gen III ¹⁷	—	—	10.0	6,573-8,111	—	870
Assoc Mgt Auditor ¹	—	—	1.0	4,110-4,997	—	54
Legal Analyst ¹⁸	—	—	5.0	3,418-4,155	—	226
Division of Law Enforcement:						
Bureau of Forensic Services:						
Criminalist Mgr ² (0.7 permanent position will become 1.0 permanent position effective 7/1/02)	—	—	1.7	6,329-6,980	—	172
Criminalist Supvr ¹	—	—	1.0	5,212-6,300	—	77
Sr Criminalist ⁷ (1.2 permanent positions will become 2.0 permanent positions effective 7/1/02)	—	—	5.2	4,626-5,726	—	391
Criminalist ⁶ (3.2 permanent positions will become 6.0 permanent positions effective 7/1/02)	—	—	54.7	4,515-5,508	—	3,294
Special Agent ¹	—	—	0.7	4,441-5,491	—	41
Assoc Govtl Prog Analyst ² (0.7 permanent position will become 1.0 permanent position effective 7/1/02)	—	—	1.7	3,915-4,759	—	104
Lab Techn-Criminalist (1.0 position effective 7/1/02)	—	—	0.7	2,714-3,298	—	36
Ofc Techn ¹	—	—	1.5	2,348-2,855	—	47
Overtime	—	—	—	—	—	19
Bureau of Narcotic Enforcement:						
Special Agent	—	—	5.0	4,441-5,491	—	294
Investigative Auditor III	—	—	2.0	4,110-4,997	—	109
Crim Intelligence Spec III	—	—	2.0	3,335-4,021	—	88
Word Proc Techn	—	—	1.0	2,029-2,465	—	27
Overtime	—	—	—	—	—	228
Bureau of Investigation:						
Special Agent Supvr	—	—	2.0	4,876-6,036	—	128
Special Agent	—	—	19.0	4,441-4,997	—	1,116
Crim Intelligence Spec III	—	—	2.0	3,335-4,021	—	81
Crim Intelligence Spec II	—	—	1.0	3,047-3,662	—	40
Ofc Techn-Typing	—	—	2.0	2,348-2,855	—	62
Overtime	—	—	—	—	—	337
Western States Information Network:						
C.E.A.	—	—	1.0	8,520-9,581	—	102
Asst Bur Chief	—	—	1.0	6,363-7,016	—	85
DOJ Administrator II	—	—	1.0	5,481-6,041	—	73
DOJ Administrator I	—	—	3.0	4,520-5,455	—	180
Assoc Programmer Analyst-Spec	—	—	1.0	4,110-4,997	—	60
Investigative Auditor III, DOJ	—	—	1.0	4,110-4,997	—	52
Crim ID & Intelligence Supvr	—	—	6.0	4,024-4,858	—	319
Assoc Govtl Prog Analyst	—	—	2.0	3,915-4,759	—	98
Regional Coord-WSIN	—	—	5.0	3,915-4,724	—	270
Photo Electronics Spec	—	—	2.0	3,934-4,525	—	104
Assoc Info Sys Analyst	—	—	2.0	2,764-4,155	—	73
Research Analyst-Gen	—	—	1.0	2,764-4,155	—	50
Crim Intelligence Spec III	—	—	22.0	3,335-4,021	—	949
Staff Svcs Analyst-Gen	—	—	3.0	2,507-3,957	—	120
Crim Intelligence Spec II	—	—	5.0	3,047-3,662	—	198
Bus Svcs Asst-Spec	—	—	1.0	2,220-3,300	—	28
Exec Secty I	—	—	1.0	2,688-3,268	—	39
Prop Controller I	—	—	1.0	2,538-3,139	—	38
Crim Intelligence Spec I	—	—	49.0	2,560-3,047	—	1,644
Ofc Techn-Typing	—	—	4.0	2,348-2,855	—	119
Ofc Techn-Gen	—	—	1.0	2,348-2,855	—	30
Prog Techn II	—	—	1.0	2,348-2,855	—	30
Word Proc Techn	—	—	4.0	2,029-2,648	—	103
Key Data Opr	—	—	1.0	1,916-2,648	—	25
Ofc Asst-Typing	—	—	1.0	1,908-2,465	—	25
Overtime	—	—	—	—	—	247

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Criminal Justice Information Services						
Division:						
Bureau of Criminal Identification and Information:				Salary Range		
DOJ Administrator I ⁵	-	-	1.0	\$4,520-5,455	-	\$65
Latent Print Analyst II ¹	-	-	4.0	4,235-5,105	-	224
Crim ID & Intelligence Supvr ⁸	-	-	2.0	4,024-4,858	-	106
Fld Rep	-	-	2.0	4,021-4,853	-	106
Assoc Govtl Prog Analyst ⁵	-	-	1.0	3,915-4,759	-	57
Crim ID Spec II ¹¹	-	-	12.5	3,047-3,662	-	508
Supvng Prog Techn II ⁹	-	-	4.0	2,628-3,195	-	140
Crim ID Spec I ¹⁰	-	-	8.5	2,560-3,047	-	291
Prog Techn II ¹²	-	-	45.0	2,348-2,855	-	1,402
Prog Techn ¹³	-	-	46.5	2,029-2,648	-	1,252
Ofc Asst-Gen ⁹	-	-	7.5	2,029-2,465	-	190
Bureau of Criminal Information and Analysis:						
Crim ID Spec II ¹	-	-	1.0	3,047-3,662	-	40
Prog Techn II ²	-	-	2.0	2,348-2,855	-	61
Prog Techn ¹⁴	-	-	9.0	2,029-2,648	-	261
Hawkins Data Center:						
Sys Software Spec II ²	-	-	3.0	4,759-5,784	-	196
Staff Info Sys Analyst-Spec	-	-	1.0	4,507-5,480	-	60
Staff Info Sys Analyst	-	-	3.0	4,334-5,269	-	179
Assoc Info Sys Analyst-Spec	-	-	0.5	4,110-4,997	-	27
Assoc Info Sys Analyst	-	-	1.0	3,952-4,805	-	54
Western States Information Network:						
C.E.A.	-	-	-1.0	8,520-9,581	-	-102
Asst Bur Chief	-	-	-1.0	6,363-7,016	-	-85
DOJ Administrator II	-	-	-1.0	5,481-6,041	-	-73
DOJ Administrator I	-	-	-3.0	4,520-5,455	-	-180
Assoc Programmer Analyst-Spec	-	-	-1.0	4,110-4,997	-	-60
Investigative Auditor III, DOJ	-	-	-1.0	4,110-4,997	-	-52
Crim ID & Intelligence Supvr	-	-	-6.0	4,024-4,858	-	-319
Assoc Govtl Prog Analyst	-	-	-2.0	3,915-4,759	-	-98
Regional Coord-WSIN	-	-	-5.0	3,915-4,724	-	-270
Photo Electronics Spec	-	-	-2.0	3,934-4,525	-	-104
Asst Info Sys Analyst	-	-	-2.0	2,764-4,155	-	-73
Research Analyst-Gen	-	-	-1.0	2,764-4,155	-	-50
Crim Intelligence Spec III	-	-	-22.0	3,335-4,021	-	-949
Staff Svcs Analyst-Gen	-	-	-3.0	2,507-3,957	-	-120
Crim Intelligence Spec II	-	-	-5.0	3,047-3,662	-	-198
Bus Svcs Asst-Spec	-	-	-1.0	2,220-3,300	-	-28
Exec Secty I	-	-	-1.0	2,688-3,268	-	-39
Prop Controller I	-	-	-1.0	2,538-3,139	-	-38
Crim Intelligence Spec I	-	-	-49.0	2,560-3,047	-	-1,644
Ofc Techn-Typing	-	-	-4.0	2,348-2,855	-	-119
Ofc Techn-Gen	-	-	-1.0	2,348-2,855	-	-30
Prog Techn II	-	-	-1.0	2,348-2,855	-	-30
Word Proc Techn	-	-	-4.0	2,029-2,648	-	-103
Key Data Opr	-	-	-1.0	1,916-2,648	-	-25
Ofc Asst-Typing	-	-	-1.0	1,908-2,465	-	-25
Overtime	-	-	-	-	-	-247
Firearms Division:						
Fld Rep, DOJ	-	-	4.0	4,021-4,853	-	213
Totals, Proposed New Positions ¹⁵	-	-	366.0	-	-	\$18,781
Total Adjustments	-	54.0	260.7	-	\$3,509	\$22,674
TOTALS, SALARIES AND WAGES	4,673.9	5,699.5	5,735.5	\$261,264	\$317,534	\$335,089

¹ Positions limited-term to 6/30/03.
² 1.0 position limited-term to 6/30/03.
³ 2.0 positions limited-term to 6/30/02; 6.1 positions limited-term to 6/30/03.
⁴ Position limited-term to 6/30/04.
⁵ Position limited-term to 6/30/02.
⁶ 10.5 positions limited-term to 6/30/02; 41.0 positions limited-term to 6/30/03.
⁷ 4.0 positions limited-term to 6/30/03.
⁸ 1.0 position limited-term to 6/30/02.
⁹ 2.0 positions limited-term to 6/30/02.
¹⁰ 1.5 positions limited-term to 6/30/03.
¹¹ 2.0 positions limited-term to 6/30/03.

* Dollars in thousands, except in Salary Range.

0820 DEPARTMENT OF JUSTICE—Continued

- 1
- 2
- 3
- 4 ¹² 9.5 positions limited-term to 6/30/03; 19.5 positions limited-term to 6/30/02.
- 5 ¹³ 14.0 positions limited-term to 6/30/03; 18.5 positions limited-term to 6/30/02.
- 6 ¹⁴ 8.0 positions limited-term to 6/30/03.
- 7 ¹⁵ Authority for the proposed permanent positions is not needed since DOJ has unused and unfunded positions that will be redirected. Funds needed
- 8 to fill these positions are being provided.
- 9 ¹⁶ 2.5 positions limited-term to 6/30/03.
- 10 ¹⁷ 7.0 positions limited-term to 6/30/03.
- 11 ¹⁸ 3.0 positions limited-term to 6/30/03.

STATE BUILDING PROGRAM EXPENDITURES	Actual 1999-00*	Estimated 2000-01*	Proposed 2001-02*
80 CAPITAL OUTLAY			
PROGRAM ELEMENTS			
85.50.070.970 Central Valley Replacement Laboratory	\$10,669 Cn	-	-
85.50.080.970 Riverside Replacement Laboratory	12,573 Cn	-	-
85.60.010 Santa Barbara Replacement Laboratory.....	392 APWg	\$5,057 Cg	-
85.60.020 Santa Rosa Replacement Laboratory.....	460 AWg	5,470 Cg	-
85.60.030 Fresno Replacement Laboratory	615 Wg	11,670 Cg	-
85.60.050 Hawkins Data Center Computer Lab.....	1,194 PWCg	-	-
85.60.060 Redding Replacement Laboratory	710 APg	6,548 WCg	-
85.60.070 Freedom Replacement Laboratory.....	-	2,108 Ag	-
85.60.080 Parking Lot Improvement	-	313 Cg	-
85.60.090 Hawkins Data Center-Fire Supp. Sys.....	-	100 PWg	\$933 Cg
85.60.095 1300 I St. Bldg. Alterations	-	649 PWCEg	-
85.70.010 Statewide DNA Labs	-	-	15,000 APWCg
TOTALS, EXPENDITURES, CAPITAL OUTLAY.....	\$26,613	\$31,915	\$15,933
0001 General Fund ^g	3,371	31,716	15,933
0660 Public Buildings Construction Fund ⁿ	23,242	-	-
0995 Reimbursements ^z	-	199	-

RECONCILIATION WITH APPROPRIATIONS

3 CAPITAL OUTLAY

0001 General Fund

APPROPRIATIONS			
301 Budget Act appropriation.....	\$2,048	\$31,716	\$15,933
Prior year balances available:			
Item 0820-301-0001, Budget Act of 1998 as reappropriated by Item			
0820-492, Budget Act of 1999.....	1,715	374	-
Transfers to and from Government Code Sections 16408 and 16409.....	-18	-374	-
Totals Available	\$3,745	\$31,716	\$15,933
Balance available in subsequent years	-374	-	-
TOTALS, EXPENDITURES	\$3,371	\$31,716	\$15,933
0660 Public Buildings Construction Fundⁿ			
APPROPRIATIONS			
301 Budget Act appropriation.....	\$39,969	-	-
Totals Available	\$39,969	-	-
Unexpended balance, estimated savings	-16,727	-	-
TOTALS, EXPENDITURES	\$23,242	-	-
0995 Reimbursements			
Reimbursements	-	\$199	-
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay).....	\$26,613	\$31,915	\$15,933

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER

The State Controller is the Chief Fiscal Officer of the State, elected by the people. As such, the Controller’s primary objectives are to: (1) provide sound fiscal control over both receipts and disbursements of public funds; (2) report periodically on the financial operations and condition of both state and local governments; (3) make certain money due the State is collected through fair, equitable and effective tax administration; (4) provide fiscal guidance to local governments; (5) administer the Unclaimed Property and Property Tax Postponement Programs; and (6) develop and establish policy for a significant number of boards and commissions, including all major tax boards.

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 Accounting and Reporting.....	122.8	145.9	145.9	\$10,262	\$10,716	\$10,726
20 Audits.....	237.1	338.1	339.0	19,975	23,455	23,743
30 Personnel/Payroll Services	207.5	234.1	234.1	20,105	21,167	21,240
40 Information Systems	128.7	155.8	155.8	13,277	13,418	12,890
50 Collections	159.8	183.6	183.6	10,852	12,759	13,196
60 Disbursements and Support	212.7	141.4	152.8	25,612	33,938	37,597
Distributed to Other Programs	-	-	-	-7,085	-7,371	-7,371
TOTALS, PROGRAMS.....	1,068.6	1,198.9	1,211.2	\$92,998	\$108,082	\$112,021
0001 General Fund.....				60,535	72,638	76,829
0061 Motor Vehicle Fuel Account, Transportation Tax Fund.....				2,843	3,012	3,021
0062 Highway Users Tax Account, Transportation Tax Fund.....				774	832	836
0330 Local Revenue Fund.....				396	402	404
0344 State School Building Lease-Purchase Fund.....				712	724	728
0494 Various Special Funds.....				41	41	41
0797 Various Bond Funds.....				166	170	171
0890 Federal Trust Fund.....				837	1,324	1,325
0903 State Penalty Fund.....				900	969	972
0988 Various Nongovernmental Cost Funds.....				219	226	227
0995 Reimbursements				25,575	27,744	27,467

10 ACCOUNTING AND REPORTING

Program Objectives Statement

To maintain uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; to report the financial condition of the State; to maintain a database of information and report on local financial transactions; to apportion shared revenues to local governments; to administer Local Mandated Cost programs; to monitor the cash flow of the General Fund; and to prescribe uniform accounting procedures for counties and special districts.

Authority

Constitution, Article XVI, Section 7 and Government Code Sections 12400 et seq.

20 AUDITS

Program Objectives Statement

To determine the legality and accuracy of all claims against the State through the performance of prepayment audits; to assure the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent local auditors, and performing field audits for a variety of state and federal programs; and to audit major businesses for compliance with the Unclaimed Property Law.

Major Budget Adjustment Proposed for 2001-02

- The continuation of \$735,000 General Fund, \$734,000 reimbursements and fourteen (14) positions for the performance of Medi-Cal audits for three years (includes one-year cost of \$75,000).

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

30 PERSONNEL/PAYROLL SERVICES

Program Objectives Statement

To administer the State’s Payroll, Employment History and Leave Accounting Systems; to audit and process all personnel and payroll transactions for state civil service and exempt employees, and the California State University System; to provide information required to manage the personnel resources of the State; and to properly account for salary and wage expenditures.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

For the list of standard (lettered) footnotes, see the end of the Governor’s Budget.

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

40 INFORMATION SYSTEMS

Program Objectives Statement

To develop, maintain, and operate all of the department’s mainframe data processing systems; to develop and manage the fiscal system; and to oversee the planning, procurement, use, and maintenance of microcomputer systems.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

50 COLLECTIONS

Program Objectives Statement

To administer the Unclaimed Property Law by receiving unclaimed property from banks, savings and loans, and other business firms, and restoring property to owners; to administer and collect estate, inheritance, and gift taxes; to collect delinquent insurance and gas taxes; and to administer the Tax-Defaulted Land and Property Tax Postponement Programs for senior and disabled citizens.

Major Budget Adjustment Included in 2000–01

- Pursuant to Chapter 267, Statutes of 2000, a one-year augmentation of \$779,000 General Fund and the utilization of 4.4 existing, unfunded positions for the Amnesty Program for holders of unclaimed property.

Major Budget Adjustment Proposed for 2001–02

- Pursuant to Chapter 267, Statutes of 2000, a one-year augmentation of \$1,356,000 General Fund and the utilization of 18.9 existing, unfunded positions for the Amnesty Program for holders of unclaimed property.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.
Revenue and Taxation Code, Division 2, Parts 1.5, 2, 4, 7, 8, and 9.
Public Resources Code, Division 3, Chapter 1, Articles 5.5 to 7.

60 DISBURSEMENTS AND SUPPORT

Program Objectives Statement

To write and mail or deliver all payments of the State’s obligations, including personal income tax refunds, payroll warrants, and retirement warrants; to provide staff support services to the Office; and to administer the information security program.

Major Budget Adjustment Included in 2000–01

- Pursuant to Chapter 106, Statutes of 2000, a one-year augmentation of \$5,613,000 General Fund and the utilization of seven and one-half (7.5) existing, unfunded positions for the Vehicle License Fee Rebate Program.

Major Budget Adjustment Proposed for 2001–02

- Pursuant to Chapter 106, Statutes of 2000, an augmentation of \$10,527,000 General Fund and thirteen (13) limited-term positions for the Vehicle License Fee Rebate Program for 2001–02. This amount represents the costs for the second year of a five-year plan.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.
Membership by State Controller on boards and commissions, principally:
State Board of Equalization, Constitution, Articles 13, 7, and 9.
State Board of Control, Government Code Section 13901.
Franchise Tax Board, Government Code Section 15700.
Pooled Money Investment Board, Government Code Section 16480.1.
State Teachers’ Retirement Board, Education Code Section 13851.
Various bond and finance committees, Education Code Section 19510; Military and Veterans Code Section 991; Chapter 765/27, Chapter 23/63, 1st Ex; Water Code Section 12933; Harbors and Navigation Code Section 3902–3; Government Code Section 17220.
California Exposition and Fair Executive Committee, Agricultural Code Section 72.1.
State Lands Commission, Public Resources Code Section 6101.
Reapportionment Commission, Constitution, Articles 4, 6.
Reciprocity Commission, Vehicle Code Section 2600.
Interagency Council for Ocean Resources, Government Code Sections 8810–11.
Intergovernmental Council on Urban Growth, Government Code Section 34200.

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 ACCOUNTING AND REPORTING

State Operations:	1999-00*	2000-01*	2001-02*
0001 General Fund	\$7,353	\$7,835	\$7,832
0062 Highway Users Tax Account, Transportation Tax Fund	61	62	63
0330 Local Revenue Fund	396	402	404
0344 State School Building Lease-Purchase Fund	420	429	431
0494 Various Special Funds	41	41	41
0797 Various Bond Funds	166	170	171
0903 State Penalty Fund	142	147	146
0988 Various Nongovernmental Cost Funds	155	157	158
0995 Reimbursements	1,528	1,473	1,480
Totals, State Operations	\$10,262	\$10,716	\$10,726

PROGRAM REQUIREMENTS

20 AUDITS

State Operations:	1999-00*	2000-01*	2001-02*
0001 General Fund	\$9,873	\$10,672	\$10,721
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	1,804	1,857	1,866
0062 Highway Users Tax Account, Transportation Tax Fund	654	710	713
0344 State School Building Lease-Purchase Fund	292	295	297
0890 Federal Trust Fund	837	1,306	1,307
0903 State Penalty Fund	758	822	826
0988 Various Nongovernmental Cost Funds	64	69	69
0995 Reimbursements	5,693	7,724	7,944
Totals, State Operations	\$19,975	\$23,455	\$23,743

PROGRAM REQUIREMENTS

30 PERSONNEL/PAYROLL SERVICES

State Operations:	1999-00*	2000-01*	2001-02*
0001 General Fund	\$15,222	\$16,168	\$16,225
0890 Federal Trust Fund	-	2	2
0995 Reimbursements	4,883	4,997	5,013
Totals, State Operations	\$20,105	\$21,167	\$21,240

PROGRAM REQUIREMENTS

40 INFORMATION SYSTEMS

State Operations:	1999-00*	2000-01*	2001-02*
0001 General Fund	\$11,720	\$11,890	\$11,351
0062 Highway Users Tax Account, Transportation Tax Fund	59	60	60
0995 Reimbursements	1,498	1,468	1,479
Totals, State Operations	\$13,277	\$13,418	\$12,890

PROGRAM REQUIREMENTS

50 COLLECTIONS

State Operations:	1999-00*	2000-01*	2001-02*
0001 General Fund	\$8,352	\$10,144	\$10,571
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	1,039	1,155	1,155
0995 Reimbursements	1,461	1,460	1,470
Totals, State Operations	\$10,852	\$12,759	\$13,196

PROGRAM REQUIREMENTS

60 DISBURSEMENTS AND SUPPORT

Amounts Charged to Other Programs:			
10 Accounting and Reporting	-1,037	-1,068	-1,068
20 Audits	-1,952	-2,061	-2,061
30 Personnel/Payroll Services	-1,709	-1,733	-1,733
40 Information Systems	-1,109	-1,119	-1,119
50 Collections	-1,278	-1,390	-1,390
Totals, Amounts Charged to Other Programs	-\$7,085	-\$7,371	-\$7,371
Net Totals, Disbursements and Support (State Operations)	\$18,527	\$26,567	\$30,226

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

	1999-00*	2000-01*	2001-02*
0001 General Fund.....	\$8,015	\$15,929	\$20,129
0890 Federal Trust Fund.....	—	16	16
0995 Reimbursements.....	10,512	10,622	10,081
TOTAL EXPENDITURES			
State Operations.....	\$92,998	\$108,082	\$112,021
TOTALS, EXPENDITURES	<u>\$92,998</u>	<u>\$108,082</u>	<u>\$112,021</u>

SUMMARY BY OBJECT
1 STATE OPERATIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	1,068.6	1,262.0	1,262.0	\$51,717	\$61,311	\$62,792
Total Adjustments.....	—	—	13.0	—	47	736
Estimated Salary Savings.....	—	-63.1	-63.8	—	-3,307	-4,284
Net Totals, Salaries and Wages.....	1,068.6	1,198.9	1,211.2	\$51,717	\$58,051	\$59,244
Staff Benefits.....	—	—	—	9,240	11,160	11,331
Totals, Personal Services.....	1,068.6	1,198.9	1,211.2	\$60,957	\$69,211	\$70,575
OPERATING EXPENSES AND EQUIPMENT				\$35,046	\$47,248	\$46,986
TOTALS, EXPENDITURES				<u>\$96,003</u>	<u>\$116,459</u>	<u>\$117,561</u>
Less funding provided by State Controller's Statewide Information Technology Projects (0841).....				-3,005	-8,377	-5,540
NET TOTALS, EXPENDITURES				<u>\$92,998</u>	<u>\$108,082</u>	<u>\$112,021</u>

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$60,391	\$66,445	\$76,829
Allocation for employee compensation.....	3,781	193	—
Allocation for contingencies or emergencies.....	—	6,392	—
Adjustment per Section 3.60.....	-2,251	-392	—
Totals Available.....	\$61,921	\$72,638	\$76,829
Unexpended balance, estimated savings.....	-1,386	—	—
TOTALS, EXPENDITURES	<u>\$60,535</u>	<u>\$72,638</u>	<u>\$76,829</u>
0061 Motor Vehicle Fuel Account, Transportation Tax Fund^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$2,915	\$3,029	\$3,021
Allocation for employee compensation.....	171	4	—
Adjustment per Section 3.60.....	-128	-21	—
Totals Available.....	\$2,958	\$3,012	\$3,021
Unexpended balance, estimated savings.....	-115	—	—
TOTALS, EXPENDITURES	<u>\$2,843</u>	<u>\$3,012</u>	<u>\$3,021</u>
0062 Highway Users Tax Account, Transportation Tax Fund^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$804	\$836	\$836
Allocation for employee compensation.....	50	1	—
Adjustment per Section 3.60.....	-36	-5	—
Totals Available.....	\$818	\$832	\$836
Unexpended balance, estimated savings.....	-44	—	—
TOTALS, EXPENDITURES	<u>\$774</u>	<u>\$832</u>	<u>\$836</u>

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

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* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0071 Yosemite Foundation Account, Environmental
License Plate Fund ^s**

12	APPROPRIATIONS		<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>	
13	101 Budget Act appropriation.....		\$840	-	-	
14	Allocation for contingencies or emergencies		-	\$840	-	
15	Increased expenditure authority per Provision 1		13	-	-	
16	Allocated to Yosemite Foundation Account, ELPF		-853	-840	-	
17			<u>-</u>	<u>-</u>	<u>-</u>	
18	TOTALS, EXPENDITURES		-	-	- ¹	
21	¹ 2001-02 expenditures are reflected in 3110 Special Resources Programs.					
23	0979 California Firefighters' Memorial Fund ⁿ					
25	APPROPRIATIONS					
26	101 Budget Act appropriation.....		\$95	-	\$710	
27	Increased expenditure authority per Provision 1		259	-	-	
28	Allocated to California Firefighters' Memorial Fund.....		-354	-	-710	
29			<u>-</u>	<u>-</u>	<u>-</u>	
30	TOTALS, EXPENDITURES		-	-	-	
31			<u>-</u>	<u>-</u>	<u>-</u>	
32	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)		-	-	-	
33			<u>-</u>	<u>-</u>	<u>-</u>	
34	TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)		\$92,998	\$108,082	\$112,021	

41	CHANGES IN						
42	AUTHORIZED POSITIONS						
43		<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
44	Totals, Authorized Positions	1,068.6	1,262.0	1,262.0	\$51,717	\$61,311	\$62,792
45	Salary adjustments.....	-	-	-	-	47	87
46	Totals, Adjusted Authorized Positions	1,068.6	1,262.0	1,262.0	\$51,717	\$61,358	\$62,879
47	Excess Vacant Position Analysis:						
48	Reduction in Authorized Positions for:						
49	Information Technology Project: Budgeted						
50	in 0841:						
51	Assoc Info Sys Analyst-Spec.....	-	-	-1.5 ¹	Salary Range 4,110-4,997	-	-74
52	Assoc Govtl Prog Analyst-Spec.....	-	-	-3.0 ¹	3,915-4,759	-	-141
53	Info Sys Techn Spec I	-	-	-1.0 ¹	3,110-3,780	-	-37
54	Subtotal	-	-	-5.5	-	-	-\$252
56	Unclaimed Property Program—Amnesty:						
57	Staff Mgmt Auditor-Spec.....	-	-0.5 ²	-	4,301-5,228	-26	-
58	Assoc Mgmt Auditor.....	-	-1.1 ²	-	4,110-4,997	-54	-
59	Assoc Govtl Prog Analyst-Spec.....	-	-0.5 ²	-	3,915-4,759	-23	-
60	Info Sys Techn Spec I	-	-	-0.9 ²	3,110-3,780	-	-34
61	Acctg Trainee	-	-	-1.0 ²	2,883-3,338	-	-35
62	Sr Claim Auditor	-	-0.5 ²	-	2,753-3,346	-17	-
63	Ofc Svcs Supvr II-Gen	-	-	-2.0 ²	2,628-3,195	-	-63
64	Staff Svcs Analyst	-	-0.6 ²	-	2,507-3,049	-18	-
65	Acctg Analyst	-	-	-1.0 ²	2,764-3,048	-	-33
66	Claim Auditor	-	-0.6 ²	-	2,495-3,033	-18	-
67	Ofc Techn	-	-	-4.0 ²	2,348-2,855	-	-113
68	Word Proc Techn	-	-	-1.0 ²	2,029-2,648	-	-24
69	Ofc Asst-Typing	-	-0.6 ²	-3.0 ²	1,908-2,465	-14	-69
70	Ofc Asst-Gen	-	-	-6.0 ²	1,846-2,465	-	-133
71	Subtotal	-	-4.4	-18.9	-	-\$170	-\$504
74	Motor Vehicle License Fee Rebate:						
75	Sr Mgmt Auditor	-	-0.7 ³	-	4,963-5,987	-42	-
76	Assoc Mgmt Auditor.....	-	-0.7 ³	-	4,110-4,997	-34	-
77	Govtl Auditor III	-	-0.6 ³	-	4,110-4,997	-30	-
78	Sr Claim Auditor	-	-1.1 ³	-	2,753-3,346	-36	-
79	Ofc Svcs Supvr II	-	-1.1 ³	-	2,628-3,195	-35	-

* Dollars in thousands, except in Salary Range.

0840 STATE CONTROLLER—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
				Salary Range		
Ofc Techn	-	-1.5 ³	-	\$2,348-2,855	-\$42	-
Word Proc Techn	-	-0.7 ³	-	2,029-2,648	-17	-
Ofc Asst-Gen	-	-1.1 ³	-	1,846-2,465	-24	-
Subtotal	-	-7.5	-	-	-\$260	-
Proposed New Positions:						
Information Technology Project						
Budgeted in 0841:						
Assoc Info Sys Analyst-Spec	-	-	1.0 ¹	4,110-4,997	-	\$54
Assoc Govtl Prog Analyst-Spec	-	-	3.5 ¹	3,915-4,759	-	187
Info Sys Techn Spec I	-	-	1.0 ¹	3,110-3,780	-	41
Subtotal	-	-	5.5	-	-	\$282
Unclaimed Property Program—Amnesty:						
Assoc Govtl Prog Analyst	-	1.2 ²	2.0 ²	3,915-4,759	62	104
Acctg Ofcr-Spec	-	0.5 ²	5.0 ²	3,418-4,155	20	226
Acctg Trainee	-	0.4 ²	0.4 ²	2,883-3,338	17	15
Prog Techn III	-	-	2.0 ²	2,626-3,193	-	70
Staff Svcs Analyst	-	0.9 ²	2.0 ²	2,507-3,049	30	66
Prog Techn II	-	0.9 ²	4.0 ²	2,348-2,855	28	124
Prog Techn	-	-	0.5 ²	2,029-2,648	-	14
Key Data Opr	-	0.5 ²	3.0 ²	1,916-2,329	13	76
Subtotal	-	4.4	18.9	-	\$170	\$695
Motor Vehicle License Fee Rebate:						
Assoc Mgmt Auditor	-	0.8 ³	-	4,110-4,997	48	-
Ofc Svcs Supvr II	-	0.7 ³	-	2,628-3,195	27	-
Computer Opr II	-	0.2 ³	-	2,606-3,168	7	-
Mailing Mach Opr II	-	2.4 ³	-	2,357-2,862	75	-
Ofc Techn	-	2.7 ³	-	2,348-2,855	84	-
Microfilm Techn I	-	0.7 ³	-	2,097-2,588	19	-
Subtotal	-	7.5	-	-	\$260	-
Totals, Excess Vacant Positions	-	-	-	-	-	\$221
Proposed New Positions:						
Motor Vehicle License Fee Rebate:						
Assoc Mgmt Auditor	-	-	1.0 ⁴	4,110-4,997	-	54
Ofc Svcs Supvr II	-	-	1.0 ⁴	2,628-3,195	-	35
Computer Opr	-	-	0.5 ⁴	2,606-3,168	-	17
Mailing Mach Opr II	-	-	3.6 ⁴	2,357-2,862	-	112
Ofc Techn	-	-	5.4 ⁴	2,348-2,855	-	168
Microfilm Techn II	-	-	1.5 ⁴	2,254-2,738	-	42
Subtotal	-	-	13.0	-	-	\$428
Medi-Cal Program Audits:						
Staff Mgmt Auditor-Spec	-	-	(2.0) ⁵	4,301-5,228	-	(63)
Assoc Mgmt Auditor	-	-	(12.0) ⁵	4,110-4,997	-	(360)
Subtotal	-	-	(14.0)	-	-	(\$423)
Totals, Proposed New Positions	-	-	13.0	-	-	\$428
Total Adjustments	-	-	13.0	-	\$47	\$736
TOTALS, SALARIES AND WAGES	1,068.6	1,262.0	1,275.0	\$51,717	\$61,358	\$63,528

¹ Continuing redirection of existing unfunded positions for TEC through 6/30/02.
² Utilize existing unfunded positions for the Unclaimed Property Amnesty Program through 6/30/02.
³ Utilize existing unfunded positions for the Motor Vehicle License Fee Rebate Program for 2000-01 only.
⁴ New limited-term positions for the Motor Vehicle License Fee Rebate Program—2.5 positions through 6/30/02 and 10.5 positions through 6/30/03.
⁵ Continuing existing positions for 3 years (2001-02 through 2003-04) for the Medi-Cal Program Audits.

0841 STATE CONTROLLER'S STATEWIDE INFORMATION TECHNOLOGY PROJECTS

The State Controller currently maintains and administers the Human Resource Management and Automated Statewide Travel Expense Reimbursement systems for the State. Since these two projects are infrastructure activities for the benefit of State government, these expenditures are reflected in this budget. The new Human Resource Management System will have the ability to manage both centralized and decentralized

**0841 STATE CONTROLLER'S STATEWIDE INFORMATION
TECHNOLOGY PROJECTS—Continued**

human resource information. It will have the flexibility to adapt and meet the human resource needs of individual departments and information access will be available to line managers and individual employees. The new Automated Statewide Travel Expense Reimbursement System will reduce the processing, review and approval time and will result in more efficient and accurate processing of these claims. This system is funded with reimbursements from various departments. The State Controller's Office will continue to administratively manage these projects using its own existing positions as shown in the Controller's budget (0840).

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 Human Resource Management System and Automated Statewide Travel Expense Reimbursement System ..	-	-	-	\$3,005	\$8,377	\$5,540
0001 General Fund.....				1,470	7,792	4,058
0995 Reimbursements				1,535	585	1,482

10 STATEWIDE INFORMATION TECHNOLOGY PROJECTS

Major Budget Adjustment Proposed for 2001-02

- A one-time one-year augmentation of \$1,482,000 from reimbursements for the implementation, maintenance, and support of a new Automated Statewide Travel Expense Reimbursement System. This amount provides funding for 5.5 existing unfunded positions within the Controller's Office.

Authority

Constitution, Article XVI, Section 7 and Government Code Section 12400, et seq.

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation.....	\$1,813	\$7,792	\$4,058
Unexpended balance, estimated savings	-343	-	-
TOTALS, EXPENDITURES	<u>\$1,470</u>	<u>\$7,792</u>	<u>\$4,058</u>
0995 Reimbursements			
Reimbursements	<u>\$1,535</u>	<u>\$585</u>	<u>\$1,482</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	<u>\$3,005</u>	<u>\$8,377</u>	<u>\$5,540</u>

0845 DEPARTMENT OF INSURANCE

The office of the Insurance Commissioner has the responsibility to enforce the insurance law found in the California Insurance Code. The role of the Insurance Commissioner is to regulate the insurance industry, thereby protecting California consumers from abusive insurance practices.

The Department regulates the largest insurance market in the United States with over \$80 billion in direct premiums written in the state. In fulfilling its responsibility to protect California's insurance policyholders, the Department conducts examinations of insurance companies and producers to ensure that operations are consistent with the requirements of the Insurance Code and that insurance companies are financially viable and able to meet their obligations to policyholders and claimants. The Department also investigates complaints and responds to consumer inquiries; administers the conservation and liquidation of insolvent and delinquent insurance companies; reviews and approves insurance rates; and is a major contributor in combating insurance fraud.

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 Regulation of Insurance Companies and Insurance Producers	363.5	433.2	433.2	\$50,640	\$55,357	\$57,408
12 Consumer Protection	257.6	362.9	354.4	33,142	40,057	39,343
20 Fraud Control	191.2	289.1	289.1	49,362	61,067	63,031
30 Tax Collection and Audit	8.0	11.4	11.4	755	1,128	1,131
40 Earthquake Grants and Loans	2.7	2.9	2.9	1,212	1,865	1,965
50.01 Administration	188.3	208.5	208.5	15,571	16,460	16,994
50.02 Distributed Administration	-	-	-	-15,571	-16,460	-16,994
TOTALS, PROGRAMS.....	<u>1,011.3</u>	<u>1,308.0</u>	<u>1,299.5</u>	<u>\$135,111</u>	<u>\$159,474</u>	<u>\$162,878</u>
0001 General Fund.....				4,088	1,128	1,131
0217 Insurance Fund.....				129,535	155,613	159,072
0285 California Residential Earthquake Recovery Fund.....				1,212	1,865	1,965
0548 Title Insurance Fund.....				268	158	-
0995 Reimbursements				8	710	710

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

10 REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

Program Objectives Statement

The objectives of this program are: (1) to prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers, (2) to prevent unlawful or unfair practices by insurers as defined by the Insurance Code. To accomplish the objectives of this program, the Department conducts field examinations, regulates rates, maintains solvency surveillance, regulates proxy solicitations, manages financially distressed companies, admits qualified companies, maintains surveillance of admitted and surplus lines companies, reviews policy forms, investigates consumer complaints, and assures that producers are properly qualified and licensed.

Major Budget Adjustments Proposed for 2001-02

- Continuation of 0.9 personnel year and \$90,000 Insurance Fund, to make permanent the limited-term position approved in the 1999-00 fiscal year for the California Organized Investment Network (COIN). The purpose of COIN is to provide leadership by increasing the level of insurance industry capital in safe and sound investments providing fair returns to investors and social benefits to underserved communities in California.
An increase of \$1,219,000 Insurance Fund for increased rent costs.
An increase of \$216,000 Insurance Fund and a redirection of 1.9 personnel years from existing position authority to implement the provisions of Chapter 321, Statutes of 2000 (AB 393) which creates and establishes fees for two new types of production agency licenses.
An increase of \$264,000 Insurance Fund and a redirection of 2.8 personnel years from existing position authority to address Business Process Reengineering opportunities, E-Government projects and to audit training providers for both Pre-Licensing and Continuing Education courses as specified within Sections 2186-2188.9 of the California Code of Regulations.

Authority

Insurance Code, Sections 1-12979, and 12997-15003.

12 CONSUMER PROTECTION

Program Objectives Statement

The objectives of this program are to provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair practices and excessive or discriminatory rates and to protect the general public and policyholders from discriminatory, unlawful or fraudulent practices as well as incompetence relating to the sale of insurance. To accomplish the objectives of this program, the Department has devoted a number of activities to consumer issues. An "800" hotline is maintained to respond to individual requests for information and consumer complaints. The hotline also conducts an outreach program which gives presentations to insurer and consumer groups and provides information at disaster sites. Two written-case bureaus specialize in handling written consumer complaints regarding detailed claims or rating and underwriting issues. All three of these direct consumer contact units act to mediate complaints, educate consumers, and identify violations of law. The Department performs market conduct examinations on-site at insurer locations to follow-up on trends in consumer complaints and review overall claims, underwriting and rating practices to evaluate compliance with insurance laws. The Department also deploys investigators who work to identify and curtail illegal practices taken by insurance producers and unlicensed entities. The Department's Legal Division also focuses on taking formal enforcement action based on referrals from program units.

Major Budget Adjustments Proposed for 2001-02

- Continuation of 15.2 personnel years and \$1,506,000 on a 2-year limited-term basis to address a backlog of investigations and address increasing investigation workload.
Continuation of 4.7 personnel years and \$371,000 Insurance Fund to convert limited-term positions to permanent full-time status to continue the in-depth review of consumer insurance claims complaints.
An increase of \$241,000 Insurance Fund and a redirection of 0.9 personnel year from existing position authority to annually produce a comprehensive consumer rate guide for Medicare supplemental insurance as mandated by Chapter 707, Statutes of 2000.
An increase of \$187,000 Insurance Fund and a redirection of 0.9 personnel year from existing position authority to annually produce a comprehensive consumer rate guide for long-term care insurance as mandated by Chapter 699, Statutes of 1999 in conjunction with Chapter 560, Statutes of 2000.
An increase of \$58,000 Insurance Fund for increased rent costs.

Authority

Insurance Code, Sections 510, 730, 1857-1858, 10089.7, 12921.1-12921.4, and 12950.

20 FRAUD CONTROL

Program Objectives Statement

The objective of this program is to protect the public from economic loss and distress by actively investigating and arresting those who commit insurance fraud and to reduce the overall incidence of insurance fraud through anti-fraud outreach to the public, private and governmental sectors.

The staff is primarily certified peace officers who conduct criminal investigations working with both county district attorneys and federal prosecutors to prepare insurance fraud-related criminal cases for prosecution. The investigators serve warrants, make arrests and provide testimony and evidence.

The program supports outreach and education efforts to the public, insurance industry and governmental entities to further reduce insurance fraud through prevention and identification of fraud and causing efficiency of governmental action to impact on insurance fraud.

The program also administers funding to local district attorneys for the prosecution of workers' compensation and automobile insurance fraud crimes.

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

Major Budget Adjustments Proposed for 2001–02

- An increase of \$1,818,000 Insurance Fund and a redirection of 11.4 personnel years from existing position authority to implement the Employment Misrepresentation Task Force. The mission of the task force is to protect the public and honest employers from economic loss and distress by actively investigating, arresting, and prosecuting those who commit workers' compensation premium fraud. This amount includes \$1,305,000 in State Operations and \$513,000 in Local Assistance funding to support efforts by local district attorneys.
- An increase of \$734,000 Insurance Fund (one-time funding) to purchase specialized surveillance equipment to support the investigation of automobile fraud activities.
- An increase of \$242,000 Insurance Fund (one-time replacement costs) for the replacement of high mileage vehicles used during fraud investigations.
- An increase of \$412,000 Insurance Fund for increased rent costs.

Authority

Insurance Code Sections, Chapter 12, Sections 1871–1879.

30 TAX COLLECTION AND AUDIT

Program Objectives Statement

This program performs insurance tax collection, accounting, tax audits, proposes tax adjustments, monitors payment requirements and assists the Board of Equalization in determining various refund and assessment matters relative to insurers and surplus line brokers. Program staff audits returns to determine compliance with the rules and regulations contained in both the Insurance and Revenue and Taxation Codes.

Authority

Insurance Code, Sections 1774–1780.
Revenue and Taxation Code, Part 7 of Division 2.

40 EARTHQUAKE GRANTS AND LOANS

Program Objectives Statement

This program provides residential grants and loans to retrofit high-risk residential dwellings owned or occupied by low and moderate income households to minimize the risk of future earthquake damage to those dwellings. Since the program's inception in 1996, the Department has funded the retrofitting of approximately 770 homes through its' grant program. In addition, 14 homes have been retrofitted through the loan program. The Department anticipates that 480 more homes will be retrofitted during fiscal year 2000–01 and another 400 homes in fiscal year 2001–02. The program is authorized to be in effect until July 1, 2003.

Authority

Chapter 899, Statutes of 1995.
Chapter 796, Statutes of 1999.

50 ADMINISTRATION

Program Objectives Statement

The Administration Program provides the overall policy direction for the Department from the Commissioner's Office as well as administrative support services. Effective with a departmental reorganization on October 2, 2000, this program consists of: a Special Projects Office to coordinate special priority projects as directed by the Commissioner; a Legislative Office to support consumers through legislative advocacy; an Internal Audits/Information Security Office to ensure adherence to federal, state, and CDI policies, mandates and procedures; a Media Relations Office to provide information to the public and the media about CDI's mission to protect California consumers; a Strategic Planning, Policy and Research Office to oversee strategic planning, and coordinate CDI's involvement with national affairs such as NAIC, and issues related to Federal Financial Modernization (Gramm-Leach-Bliley Act). The support services in the Administration & Licensing Services Branch provide budget and revenue management, accounting, human resources, and business management services for the department; and the E-Government & Technology Solutions Branch provides the blueprint to implement regulatory practices compatible with new technological advancements as well as all other IT maintenance and support activities. Due to the current departmental reorganization in progress, the funding to support Licensing Services will be reflected in this program beginning in FY 2002–03 Governor's Budget.

Major Budget Adjustments Proposed for 2001–02

- An increase of \$230,000 Insurance Fund and a redirection of 1.9 personnel years from existing position authority to assist the Information Technology User Help Desk. The Information Technology Help Desk supports all Department of Insurance employees in 15 locations throughout California.
- An increase of \$273,000 Insurance Fund for increased rent costs.

Authority

Chapter 722, Statutes of 1982.

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

State Operations:	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
10.30 Rate Regulation.....	\$18,482	\$19,378	\$19,899
10.40 Regulatory.....	13,661	18,388	18,990
10.50 Licensing/Compliance.....	-	-	-
10.51 Licensing.....	15,326	13,162	14,046
10.70 Special Programs.....	3,171	4,429	4,473
Totals, State Operations.....	<u>\$50,640</u>	<u>\$55,357</u>	<u>\$57,408</u>
State Operations:			
0217 Insurance Fund.....	50,364	54,489	56,698
0548 Title Insurance Fund.....	268	158	-
0995 Reimbursements.....	8	710	710

PROGRAM REQUIREMENTS

12 CONSUMER PROTECTION

State Operations:			
12.10 Legal Compliance.....	\$7,666	\$9,906	\$8,629
12.20 Investigations.....	8,575	10,378	10,716
12.30 Consumer Services and Market Conduct.....	16,901	19,773	19,998
Totals, State Operations.....	<u>\$33,142</u>	<u>\$40,057</u>	<u>\$39,343</u>
State Operations:			
0001 General Fund.....	3,333	-	-
0217 Insurance Fund.....	29,809	40,057	39,343

PROGRAM REQUIREMENTS

20 FRAUD CONTROL

0217 Insurance Fund:			
State Operations:			
20.10 Fraud-Auto.....	\$11,133	\$14,515	\$15,385
20.20 Fraud-Workers' Compensation.....	9,796	13,021	13,537
20.30 Fraud-General Assessment.....	1,336	1,628	1,693
Totals, State Operations.....	<u>\$22,265</u>	<u>\$29,164</u>	<u>\$30,615</u>
Local Assistance:			
20.10 Fraud-Auto.....	10,567	15,130	15,130
20.20 Fraud-Workers' Compensation.....	16,530	16,773	17,286
Totals, Local Assistance.....	<u>\$27,097</u>	<u>\$31,903</u>	<u>\$32,416</u>
State Operations:			
0217 Insurance Fund.....	22,265	29,164	30,615
Local Assistance:			
0217 Insurance Fund.....	27,097	31,903	32,416

PROGRAM REQUIREMENTS

30 TAX COLLECTION AND AUDIT

State Operations:			
0001 General Fund.....	\$755	\$1,128	\$1,131
Totals, State Operations.....	<u>\$755</u>	<u>\$1,128</u>	<u>\$1,131</u>

PROGRAM REQUIREMENTS

40 EARTHQUAKE GRANTS AND LOANS

0285 California Residential Earthquake Recovery Fund:			
State Operations.....	\$206	\$265	\$265
Local Assistance.....	1,006	1,600	1,700

TOTAL EXPENDITURES

State Operations.....	\$107,008	\$125,971	\$128,762
Local Assistance.....	28,103	33,503	34,116
TOTALS, EXPENDITURES.....	<u>\$135,111</u>	<u>\$159,474</u>	<u>\$162,878</u>

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,011.3	1,376.8	1,345.8	\$55,091	\$74,154	\$74,370
Total Adjustments	-	-	22.0	-	215	2,441
Estimated Salary Savings	-	-68.8	-68.3	-	-3,720	-3,819
Net Totals, Salaries and Wages	1,011.3	1,308.0	1,299.5	\$55,091	\$70,649	\$72,992
Staff Benefits	-	-	-	9,714	9,535	9,709
Totals, Personal Services	1,011.3	1,308.0	1,299.5	\$64,805	\$80,184	\$82,701
OPERATING EXPENSES AND EQUIPMENT				\$42,203	\$45,787	\$46,061
TOTALS, EXPENDITURES				\$107,008	\$125,971	\$128,762

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$735	\$1,137	\$1,131
011 Budget Act appropriation (Loan to Insurance Fund)	(4,668)	(3,778)	-
Allocation for employee compensation	56	-	-
Adjustment per Section 3.60	-27	-9	-
Prior year balances available:			
Chapter 239, Statutes of 1997	3,333	-	-
Totals Available	\$4,097	\$1,128	\$1,131
Unexpended balance, estimated savings	-9	-	-
TOTALS, EXPENDITURES	\$4,088	\$1,128	\$1,131

0217 Insurance Fund ^s

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$101,582	\$122,399	\$126,656
Allocation for employee compensation	5,855	364	-
Adjustment per Section 3.60	-3,936	-348	-
Adjustment per Section 16.00	41	-	-
Adjustment per Section 4.60 (Rental Rate)	-	160	-
Prior year balances available:			
Item 0845-001-0217, Budget Act of 1999 as reappropriated by Item 0845-490, Budget Act of 2000	-	1,135	-
Chapter 239, Statutes of 1997	835	-	-
Annual transfer from General Fund	3,333	-	-
Chapter 963, Statutes of 1998 as reappropriated by Item 0845-490, Budget Act of 1999	1,696	-	-
Totals Available	\$109,406	\$123,710	\$126,656
Balance available in subsequent years	-1,135	-	-
Unexpended balance, estimated savings	-2,500	-	-
TOTALS, EXPENDITURES	\$105,771	\$123,710	\$126,656
Less funding provided by the General Fund	-3,333	-	-
NET TOTALS, EXPENDITURES	\$102,438	\$123,710	\$126,656

0285 California Residential Earthquake Recovery Fund ^s

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
Transfer from Local Assistance per Chapter 899, Statutes of 1995 as amended by Chapter 796, Statutes of 1999	\$265	\$265	\$265
Allocation for employee compensation	13	-	-
Adjustment per Section 3.60	-9	-	-
Totals Available	\$269	\$265	\$265
Unexpended balance, estimated savings	-63	-	-
TOTALS, EXPENDITURES	\$206	\$265	\$265

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

0548 Title Insurance Fund ^s

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$260	\$158	-
Allocation for employee compensation	16	-	-
Adjustment per Section 3.60	-8	-	-
TOTALS, EXPENDITURES	\$268	\$158	-
0995 Reimbursements			
Reimbursements	\$8	\$710	\$710
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$107,008	\$125,971	\$128,762

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE

0217 Insurance Fund ^s

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
101 Budget Act appropriation (expenditures).....	\$27,097	\$31,903	\$32,416
0285 California Residential Earthquake Recovery Fund ^s			
APPROPRIATIONS			
Prior year balances available:			
Chapter 899, Statutes of 1995 as amended by Chapter 796, Statutes of 1999 ..	\$2,833	\$4,962	\$3,097
Transfer to State Operations per Chapter 796, Statutes of 1999.....	-265	-265	-265
Increased expenditure authority per Chapter 796, Statutes of 1999	3,400	-	-
Totals Available	\$5,968	\$4,697	\$2,832
Balance available in subsequent years	-4,962	-3,097	-1,132
TOTALS, EXPENDITURES	\$1,006	\$1,600	\$1,700
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$28,103	\$33,503	\$34,116
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$135,111	\$159,474	\$162,878

FUND CONDITION STATEMENT
0217 Insurance Fund ^s

	1999-00*	2000-01*	2001-02*
BEGINNING BALANCE.....	\$27,630	\$39,551	\$42,674
Prior year adjustments	7,214	-	-
Balance, Adjusted.....	\$34,844	\$39,551	\$42,674
REVENUES AND TRANSFERS			
Revenues:			
123100 Insurance Company License Fees and Penalties	23,504	23,500	23,500
123200 Insurance Company Examination Fees.....	14,731	18,033	16,497
127100 Insurance Department Fees, Prop. 103.....	15,184	21,126	21,412
127200 Insurance Department Fees, General	13,043	13,000	13,000
127300 Insurance Fraud Assessment, Workers' Compensation	30,569	31,496	31,496
127400 Insurance Fraud Assessment, Auto	32,059	42,446	38,731
127500 Insurance Fraud Assessment, General.....	1,384	1,431	1,860
131600 Fingerprint Identification Card Fees.....	4	-	-
141200 Sales of Documents	16	16	16
142500 Miscellaneous Services to the Public.....	290	290	290
150300 Income From Surplus Money Investments	2,291	2,200	2,200
161000 Escheat of Unclaimed Checks and Warrants	80	-	-
161400 Miscellaneous Revenue	79	80	80
161900 Other Revenue-Cost Recoveries	1,510	1,561	1,561
Totals, Revenues	\$134,744	\$155,179	\$150,643

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

	1999-00*	2000-01*	2001-02*
Transfers from Other Funds:			
F00001 General Fund loan per Item 0845-011-0001, Budget Act of 1999 and 2000	—	\$3,778	—
F00970 Unclaimed Property Fund per Civil Code Section 1523 (Chapter 963, Statutes of 1998)	—	—	—
Totals, Transfers from Other Funds	—	\$3,778	—
Totals, Revenues and Transfers	\$134,744	\$158,957	\$150,643
Totals, Resources	\$169,588	\$198,508	\$193,317
EXPENDITURES			
Disbursements:			
0845 Department of Insurance:			
State Operations	105,771	123,710	126,656
Local Assistance	27,097	31,903	32,416
9670 Legislative Claims (State Operations)	502	221	—
Totals, Disbursements	\$133,370	\$155,834	\$159,072
Expenditure Reductions:			
0845 Department of Insurance (State Operations)			
Less funding provided by the General Fund	—3,333	—	—
Totals, Expenditure Reductions	—3,333	—	—
Totals, Expenditures	\$130,037	\$155,834	\$159,072
FUND BALANCE	\$39,551	\$42,674	\$34,245
Reserve for economic uncertainties	39,551	42,674	34,245

NOTE: Ending Fund Balance for 0217 Insurance Fund contains moneys restricted for the purpose of Proposition 103 and fraud activities.

0285 California Residential Earthquake Recovery Fund ^s

BEGINNING BALANCE	\$6,977	\$6,260	\$4,824
REVENUES AND TRANSFERS			
Revenues:			
150300 Income From Surplus Money Investments	495	430	430
Totals, Resources	\$7,472	\$6,690	\$5,254
EXPENDITURES			
Disbursements:			
0845 Department of Insurance:			
State Operations	206	265	265
Local Assistance	1,006	1,600	1,700
9670 Legislative Claims (State Operations)	—	1	—
Totals, Disbursements	\$1,212	\$1,866	\$1,965
FUND BALANCE	\$6,260	\$4,824	\$3,289
Reserve for economic uncertainties	6,260	4,824	3,289

0548 Title Insurance Fund ^s

BEGINNING BALANCE	\$271	\$270	\$112
REVENUES AND TRANSFERS			
Revenues:			
127200 General Insurance Department Fees	267	—	—
Totals, Resources	\$538	\$270	\$112
EXPENDITURES			
Disbursements:			
0845 Department of Insurance (State Operations)	268	158	—
FUND BALANCE	\$270	\$112	\$112
Reserve for economic uncertainties	270	112	112

* Dollars in thousands, except in Salary Range.

0845 DEPARTMENT OF INSURANCE—Continued

CHANGES IN AUTHORIZED POSITIONS							
	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*	
Totals, Authorized Positions	1,011.3	1,376.8	1,345.8	\$55,091	\$74,154	\$74,370	
Salary adjustments	-	-	-	-	215	284	
Totals, Adjusted Authorized Positions	1,011.3	1,376.8	1,345.8	\$55,091	\$74,369	\$74,654	
Proposed New Positions (Continuation of Expiring Limited-Term Positions):							
Administration Branch:				Salary Range			
Staff Info Sys Analyst-Spec	-	-	(2.0)	4,507-5,480	-	119	
Consumer Services and Market Conduct Branch:							
Sr Ins Policy Ofcr	-	-	1.0	4,550-5,490	-	60	
Assoc Ins Policy Ofcr	-	-	4.0	4,110-4,997	-	218	
Assoc Ins Policy Ofcr	-	-	(1.0)	4,110-4,997	-	54	
Ofc Asst-Typing	-	-	(1.0)	1,908-2,465	-	27	
Enforcement Branch:							
Supvng Ins Investigator ¹	-	-	1.0	4,769-5,758	-	63	
Assoc Ins Investigator ¹	-	-	4.0	4,143-4,999	-	219	
Assoc Govtl Prog Analyst	-	-	(1.0)	3,915-4,759	-	52	
Ins Investigator ¹	-	-	10.0	2,642-4,546	-	455	
Staff Svcs Mgmt Auditor	-	-	(2.0)	2,507-3,957	-	80	
Staff Svcs Analyst	-	-	(1.0)	2,507-3,957	-	36	
Ofc Techn-Typing ¹	-	-	1.0	2,348-2,855	-	31	
Prog Techn II	-	-	(1.0)	2,348-2,855	-	31	
Executive Branch:							
Assoc Govtl Prog Analyst	-	-	1.0	3,915-4,759	-	52	
Fraud Branch:							
Fraud Investigator	-	-	(4.0)	3,307-4,999	-	240	
Gen Auditor III	-	-	(7.0)	4,110-4,997	-	381	
Staff Svcs Analyst	-	-	(1.0)	2,507-3,957	-	39	
Totals, Proposed New Positions	-	-	22.0	-	-	\$2,157	
Total Adjustments	-	-	22.0	-	\$215	\$2,441	
TOTALS, SALARIES AND WAGES	1,011.3	1,376.8	1,367.8	\$55,091	\$74,369	\$76,811	

¹ Limited-term, expires 6/30/03.

0850 CALIFORNIA STATE LOTTERY COMMISSION

The California Constitution authorizes the establishment of a statewide lottery. An initiative statute, the California State Lottery Act of 1984, created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The primary purpose of the Act is to provide supplemental monies to benefit public education without the imposition of additional or increased taxes. The Lottery is administered by a five-person Commission appointed by the Governor with the concurrence of the State Senate.

The statute requires that not less than 84 percent of the total annual revenues from the sale of state lottery tickets shall be returned to the public in the form of prizes and net revenues to benefit public education. Fifty (50) percent of the total annual revenues shall be returned to the public in the form of prizes. At least 34 percent of those revenues shall be allocated to the benefit of public education, and no more than 16 percent of the revenues are to be used for administrative costs. The Commission may also use a portion of its administrative funds to pay for prizes in order to increase sales and revenues to education. Those revenues allocated to the benefit of public education are to be placed in a special fund, known as the California State Lottery Education Fund, which is appropriated for the benefit of public education and which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following four categories: K-12 education, Community Colleges, the California State University and the University of California. These funds, which augment, rather than replace, funds already allocated for public education, are to be spent exclusively for instructional purposes, and may not be spent for acquisition of real property, construction of facilities, financing of research, or other noninstructional purposes.

By a legislative initiative in March 2000, the Lottery Act was amended to provide that one-half of the amount of the share allocated to public education in excess of the amount allocated to education in fiscal year 1997-98 shall be allocated to school and community college districts for the purchase of instructional materials.

In the 15 years from the start of sales in October 1985 through June 30, 2000, the California State Lottery has raised over \$11.7 billion for public education.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2000-01 and 2001-02 cannot be made with certainty.

Authority

California Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0850 CALIFORNIA STATE LOTTERY COMMISSION—Continued

1				
2				
3				
4	STATEMENT OF OPERATIONS			
5	Lottery sales	1999-00*	2000-01*	2001-02*
6	Less prizes	\$2,598,379	\$2,850,000	\$2,850,000
7		1,369,435	1,500,225	1,500,225
8	Sales after prizes.....	\$1,228,944	\$1,349,775	\$1,349,775
9	Less Game Costs:			
10	Retailer costs.....	179,242	196,509	196,509
11	On-line game costs	38,644	44,351	44,351
12	Off-line game costs.....	35,805	36,852	36,852
13				
14	Totals, Game Costs	\$253,691	\$277,712	\$277,712
15	Income before operating expenses	975,253	1,072,063	1,072,063
16	Operating Expenses:			
17	Salaries, wages and benefits	36,976	39,532	39,532
18	Advertising	24,480	25,614	25,614
19	Promotion, public relations and point of sale.....	8,459	8,529	8,529
20	Other professional services	4,787	8,011	8,011
21	Depreciation and amortization.....	7,546	8,159	8,159
22	Other general and administrative expenses	6,777	13,218	13,218
23				
24	Total Operating Expenses	\$89,025	\$103,063	\$103,063
25	Operating income.....	886,228	969,000	969,000
26	Interest income	21,390	21,000	21,000
27	Other income	153	-	-
28				
29	Net Income	\$907,771	\$990,000	\$990,000
30	Unclaimed on-line prizes	40,732	35,000	35,000
31				
32	NET INCOME, DUE TO EDUCATION FUND	\$948,503	\$1,025,000	\$1,025,000

0562 State Lottery Fund

33				
34				
35	APPROPRIATIONS			
36	001 Budget Act appropriation (revised estimated expenditures).....	(\$344,250)	(\$344,250)	(\$344,250)
37				
38				

DISTRIBUTION OF STATE LOTTERY EDUCATION FUND REVENUES

40				
41				
42				
43				
44		1999-00*	2000-01*	2001-02*
45	Department of Education	\$769,959	\$826,447	\$826,447
46	California Community Colleges	126,317	137,163	137,163
47	California State University/California Maritime Academy.....	32,155	37,355	37,355
48	University of California	18,957	21,996	21,996
49	Hastings College of Law	130	148	148
50	California Youth Authority	614	726	726
51	State Special Schools	136	140	140
52	Department of Developmental Services.....	146	560	560
53	Department of Mental Health	89	465	465
54				
55	TOTALS	\$948,503	\$1,025,000	\$1,025,000

0855 CALIFORNIA GAMBLING CONTROL COMMISSION

Chapter 867, Statutes of 1997 (SB 8) created, effective January 1, 1999, the California Gambling Control Commission (Commission). Pursuant to statute, the five member Commission is appointed by the Governor, subject to Senate confirmation, and is vested with jurisdiction over gambling establishments in this state and over all persons or things having to do with the operations of gambling establishments in this state, including jurisdiction over operation concentration, and supervision. Specifically, the Commission's responsibilities include:

- Assuring that licenses, approval, and permits are not issued to, or held by, unqualified or disqualified persons, or by persons whose operations are conducted in a manner that is inimical to the public health, safety, or welfare, and
- Assuring that there is not material involvement directly, or indirectly, with a licensed gambling operation, or the ownership or management thereof, by unqualified or disqualified persons, or by persons whose operations are conducted in a manner that is inimical to the public health, safety, or welfare.

Major Budget Adjustment Included in 2000-01

- An augmentation of \$2,387,000 (\$646,000 Gambling Control Fund, \$1,741,000 Indian Gaming Special Distribution Fund) and 15.6 personnel years to provide for the partial-year operation of the Commission, including staff, operating expenses, and equipment. Current year appropriation authority is proposed in Administration-sponsored legislation authorizing an augmentation to the Commission's existing Budget Act appropriation from the Gambling Control Fund, and authorizing a General Fund loan to the Indian Gaming Special Distribution Fund, from which the balance of the Commission's operating budget will be supported.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0855 CALIFORNIA GAMBLING CONTROL COMMISSION—Continued

Major Budget Adjustment Proposed for 2001-02

- An augmentation of \$3,479,000 (\$719,000 Gambling Control Fund, \$2,760,000 Indian Gaming Special Distribution Fund) and 32.3 personnel years to provide full-scale, ongoing support for the Commission. A General Fund loan to the Indian Gaming Special Distribution Fund in support of the Commission's operating budget is also proposed.

Authority

Business and Professions Code, Division 8, Chapter 5, Article 2, Section 19810A-19823A, Article 3 (commencing with Section 19830A).

SUMMARY OF PROGRAM

REQUIREMENTS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 California Gambling Control Commission	-	20.1	42.8	-	\$2,962	\$4,695
0366 Indian Gaming Revenue Sharing Trust Fund	-	-	-	-	1	1
0367 Indian Gaming Special Distribution Fund	-	-	-	-	1,741	2,760
0567 Gambling Control Fund	-	-	-	-	1,220	1,934

SUMMARY BY OBJECT

1 STATE OPERATIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	-	5.5	11.0	-	\$411	\$824
Total Adjustments	-	15.6	34.0	-	963	1,856
Estimated Salary Savings	-	-1.0	-2.2	-	-69	-134
Net Totals, Salaries and Wages	-	20.1	42.8	-	\$1,305	\$2,546
Staff Benefits	-	-	-	-	305	665
Totals, Personal Services	-	20.1	42.8	-	\$1,610	\$3,211
OPERATING EXPENSES AND EQUIPMENT				-	\$1,351	\$1,483
TOTALS, EXPENDITURES				-	\$2,961	\$4,694

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
011 Budget Act appropriation (loan to Indian Gaming Special Distribution Fund—0367)	-	-	(\$2,760)
Pending legislation (loan to Indian Gaming Special Distribution Fund—0367)	-	(\$1,741)	-
TOTALS, EXPENDITURES	-	-	-

0367 Indian Gaming Special Distribution Fund

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$2,760
Pending legislation	-	\$1,741	-
TOTALS, EXPENDITURES	-	\$1,741	\$2,760

0567 Gambling Control Fund *

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation	\$1,128	\$576	\$1,934
Allocation for employee compensation	51	4	-
Allocation per pending legislation	-	646	-
Adjustment per Section 3.60	-54	-6	-
Totals Available	\$1,125	\$1,220	\$1,934
Unexpended balance, estimated savings	-1,125	-	-
TOTALS, EXPENDITURES	-	\$1,220	\$1,934
TOTALS, EXPENDITURES (State Operations)	-	\$2,961	\$4,694

* Dollars in thousands, except in Salary Range.

0855 CALIFORNIA GAMBLING CONTROL COMMISSION—Continued

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0366 Indian Gaming Revenue Sharing Trust Fund ⁿ

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriations (expenditures)	-	\$1	\$1
TOTALS, EXPENDITURES (Local Assistance)	-	\$1	\$1
TOTALS, EXPENDITURES (State Operations and Local Assistance)	-	\$2,962	\$4,695

FUND CONDITION STATEMENT

0367 Indian Gaming Special Distribution Fund ^s

	1999-00*	2000-01*	2001-02*
BEGINNING BALANCE	-	-	-
REVENUES AND TRANSFERS			
Transfers:			
Transfers from:			
F00001 General Fund loan per Government Code Section 16351	\$1,454	-	-
F00001 General Fund loan per 0820-011-0001, Budget Acts of 2000 and 2001	-	\$8,135	\$7,809
F00001 General Fund loan per 8380-011-0001, Budget Act of 2000	-	400	-
F00001 General Fund loan per pending legislation	-	1,741	-
F00001 General Fund loan per 0855-011-0001, Budget Act of 2001	-	-	2,760
Totals, Transfers from Other Funds	\$1,454	\$10,276	\$10,569
Totals, Revenues and Transfers	\$1,454	\$10,276	\$10,569
EXPENDITURES			
Disbursements:			
0820 Department of Justice (State Operations)	1,454	8,135	7,809
0855 California Gambling Control Commission (State Operations)	-	1,741	2,760
8380 Department of Personnel Administration (State Operations)	-	400	-
Totals, Disbursements	\$1,454	\$10,276	\$10,569
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Totals, Authorized Positions	-	5.5	11.0	-	\$411	\$824
Proposed New Positions:						
Executive Office:				Salary Range		
Commissioners	-	4.2	5.0	\$9,516	480	571
C.E.A. V (1.0 position effective 1/1/00)	-	0.5	1.0	8,493-11,113	56	113
C.E.A. III (1.0 position effective 1/1/00)	-	0.5	1.0	7,648-8,024	48	96
Staff Mgmt Auditor (1.0 position effective 3/1/00)	-	0.4	1.0	4,520-5,453	24	60
Assoc Mgmt Auditor	-	-	1.0	4,110-4,997	-	54
Exec Asst (4.0 positions effective 1/1/00)	-	2.0	4.0	2,926-3,556	77	155
Ofc Techn (1.0 position effective 4/1/00)	-	0.3	1.0	2,348-2,855	9	31
Legal Division:						
Chief Counsel II (1.0 position effective 1/1/00)	-	0.5	1.0	8,242-9,268	56	113
Staff Counsel (1.0 position effective 1/4/00)	-	0.3	1.0	5,067-6,127	18	61
Assoc Govtl Prog Analyst (1.0 position effective 4/1/00)	-	0.3	1.0	3,915-4,759	16	54
Legal Secty (1.0 position effective 1/1/00)	-	0.5	1.0	2,704-3,286	19	38
Ofc Techn (1.0 position effective 4/1/00)	-	0.3	1.0	2,348-2,855	9	31

* Dollars in thousands, except in Salary Range.

0855 CALIFORNIA GAMBLING CONTROL COMMISSION—Continued

	99-00	00-01	01-02	1999-00* Salary Range	2000-01*	2001-02*
Legislative Office:						
C.E.A. I (1.0 position effective 1/1/00)	-	0.5	1.0	\$5,493-6,953	\$38	\$76
Assoc Govtl Prog Analyst (1.0 position effective 4/1/00)	-	0.3	1.0	3,915-4,759	16	52
Ofc Techn (1.0 position effective 4/1/00)	-	0.5	1.0	2,348-2,855	16	31
Public Information Office:						
C.E.A. I (1.0 position effective 1/1/00)	-	0.5	1.0	5,493-6,953	38	76
Licensing Oversight Division:						
C.E.A. I (1.0 position effective 1/1/00)	-	0.5	1.0	5,493-6,953	38	76
Spec Agent Supvr (1.0 position effective 1/1/00)	-	0.5	1.0	4,876-6,036	31	62
Inv Auditor IV (1.0 position effective 1/1/00)	-	0.5	1.0	4,301-5,228	28	57
Inv Auditor III (2.0 positions effective 3/1/00)	-	0.8	2.0	4,110-4,997	43	105
Special Agent (1.0 position effective 4/1/00)	-	0.3	2.0	3,682-4,552	15	97
Inv Auditor II (2.0 positions effective 3/1/00)	-	0.8	2.0	3,418-4,155	36	87
Ofc Techn (2.0 positions effective 3/1/00)	-	0.8	2.0	2,348-2,855	25	50
Administrative Division:						
Staff Svcs Mgr II (1.0 position effective 1/1/00)	-	0.5	1.0	4,963-5,987	33	66
Sr Acctg Ofcr (1.0 position effective 1/1/00)	-	0.5	1.0	3,915-4,759	26	52
Assoc Pers Analyst (1.0 position effective 1/1/00)	-	0.5	1.0	3,915-4,759	26	52
Bus Svc Ofcr (1.0 position effective 1/1/00)	-	0.5	1.0	3,255-3,957	22	43
Ofc Techn (1.0 position effective 1/1/00)	-	0.5	1.0	2,348-2,855	16	31
Information Services:						
Sr Programmer Analyst (1.0 position effective 1/1/00)	-	0.5	1.0	4,958-6,026	33	66
Assoc Info Sys Analyst (1.0 position effective 4/1/00)	-	0.3	1.0	3,418-4,155	16	54
Ofc Techn (1.0 position effective 1/1/00)	-	0.5	1.0	2,348-2,855	16	31
Research Unit:						
Research Analyst II	-	-	2.0	4,110-4,997	-	109
Temporary Help	-	1.5	1.0	-	50	30
Deleted Positions:						
Commissioners	-	-2.5	-5.0	9,516	-285	-571
C.E.A. I	-	-0.5	-1.0	5,493-6,953	-33	-66
Legal Counsel	-	-1.0	-2.0	5,067-6,127	-44	-88
Adm Asst I	-	-0.5	-1.0	3,130-3,805	-19	-39
Exec Secty I	-	-0.5	-1.0	2,926-3,556	-16	-32
Ofc Techn	-	-0.5	-1.0	2,348-2,855	-14	-28
Totals, Proposed New Positions	-	15.6	34.0	-	\$963	\$1,856
Total Adjustments	-	15.6	34.0	-	\$963	\$1,856
TOTALS, SALARIES AND WAGES	-	21.1	45.0	-	\$1,374	\$2,680

0860 STATE BOARD OF EQUALIZATION

The mission of the State Board of Equalization is to serve the public through fair, effective, and efficient tax administration. The State Board of Equalization collects numerous taxes and fees for support of State and local government services. These include: Sales and Use Taxes (State, Local, and Transit District); Motor Vehicle Fuel License (Gasoline) Tax; Diesel and Use Fuel Tax; Alcoholic Beverage Tax; Cigarette Tax; Cigarette and Tobacco Products Surtax; Insurance Tax; Energy Resources Surcharge; Emergency Telephone Users Surcharge; Hazardous Substances Tax; Integrated Waste Management Fee; Underground Storage Tank Fee; Oil Spill Prevention Fee; Occupational Lead Poisoning Prevention Fee; Childhood Lead Poisoning Prevention Fee; Tire Recycling Fee; Private Railroad Car Tax; Timber Yield Tax; and Natural Gas Surcharge Tax. The Board also administers utility assessments for local property tax purposes, and provides guidance to local government in the administration of property tax.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

The Board is composed of five members and was established by the State Constitution. Four of the five Board members are elected specifically to represent equalization districts, and the State Controller serves as an ex officio, voting member.

The Board operates in 27 locations throughout California as well as offices in New York, Chicago, and Houston, and administers audit and collection programs generating tax revenues in excess of \$42.4 billion.

The Board adopts rules and regulations for the administration of the business taxes programs and for the guidance and direction of the state's property tax program involving county assessors, boards of supervisors, and local assessment appeals boards in valuing property.

As an appellate body, the Board adjudicates appeals from taxpayers on business taxes audit findings, county officials on intra-county and inter-county property tax assessments, public utilities on assessments of utility properties, and assessees on privately owned railroad cars. The Board also serves as the appellate body for contested Franchise Tax Board decisions under the Personal Income Tax laws, Bank and Corporation Tax laws, Senior Citizens Property Tax relief laws, and appeals of rulings by the Insurance Commissioner.

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
15 County Assessment Standards						
Program	82.7	90.5	90.5	\$6,713	\$7,968	\$8,062
20 State-Assessed Property Program	80.0	80.7	80.7	6,053	6,555	6,649
25 Timber Tax Program	30.5	32.5	32.5	2,634	2,788	2,819
30 Sales and Use Tax Program	3,183.6	3,248.0	3,217.8	242,818	251,173	257,137
35 Hazardous Substances Tax Program	46.9	51.2	51.2	3,083	3,441	3,484
40 Alcoholic Beverage Tax Program	24.3	33.5	33.5	1,739	2,361	2,637
41 Tire Recycling Fee Program	6.9	7.7	7.7	499	508	827
45 Cigarette and Tobacco Products Tax						
Program	46.4	44.1	42.2	4,688	4,794	5,411
50 Motor Vehicle Fuel License Tax						
Program	20.3	19.9	28.9	1,851	2,574	3,942
55 Diesel and Use Fuel Tax Program	155.6	165.4	165.4	13,256	14,131	17,017
56 Occupational Lead Poisoning						
Prevention Fee Program	7.2	7.1	7.1	537	569	586
57 Integrated Waste Management Program ..	3.7	3.7	3.7	344	349	390
58 Underground Storage Tank Fee						
Program	22.4	22.4	22.4	1,609	1,650	1,891
59 Oil Spill Prevention Program	2.1	2.4	2.4	176	235	266
60 Energy Resources Surcharge						
Program	1.9	2.6	2.6	187	200	243
62 Childhood Lead Poisoning Prevention						
Fee Program	4.2	5.8	5.8	351	525	539
63 Ballast Water Management Fee						
Program	3.0	4.7	4.7	259	411	395
65 Emergency Telephone Users Surcharge						
Program	8.9	9.0	9.0	662	671	763
70 Insurance Tax Program	4.5	3.8	3.8	378	353	396
75 Natural Gas Surcharge Program	-	-	-	-	-	33
80 Appeals from Other Governmental						
Programs	17.2	18.7	18.7	1,461	1,428	1,445
85.01 Administration	404.2	350.3	350.3	28,826	27,769	27,769
85.02 Distributed Administration	-404.2	-350.3	-350.3	-28,601	-27,521	-27,521
TOTALS, PROGRAMS	3,752.3	3,853.7	3,830.6	\$289,523	\$302,932	\$315,180
0001 General Fund				182,547	191,580	197,052
0004 Breast Cancer Fund				107	106	123
0022 State Emergency Telephone Number Special Account, General Fund				662	671	763
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				15,061	15,918	20,231
0070 Occupational Lead Poisoning Prevention Account, General Fund				537	569	586
0080 Childhood Lead Poisoning Prevention Fund				351	525	539
0230 Cigarette and Tobacco Products Surtax Fund				1,283	1,337	1,529
0320 Oil Spill Prevention and Administration Fund				176	235	266
0387 Integrated Waste Management Account, Integrated Waste Management						
Fund				344	349	390
0439 Underground Storage Tank Cleanup Fund, General Fund				1,609	1,650	1,891
0465 Energy Resources Programs Account, General Fund				187	200	243
0623 California Children and Families First Trust Fund				876	886	949
0890 Federal Trust Fund				46	102	102
0965 Timber Tax Fund				2,634	2,788	2,819
0995 Reimbursements				83,103	86,016	87,664
3015 Gas Consumption Surcharge Fund				-	-	33

15 COUNTY ASSESSMENT STANDARDS PROGRAM

Program Objectives Statement

This program carries out the Board's constitutional and statutory responsibility of ensuring that taxable properties are enrolled and that all properties are assessed by the 58 county assessors in full conformity with the law, assuring a complete and equitable tax base that is fair to taxpayers and supports both local agencies and the State's General Fund. The Board is also charged with providing consultation and services to county assessors, their staff, and others involved with the assessing function to aid them in distributing property tax assessments legally and equitably among property owners. The County Assessment Standards Program establishes standards, provides training for assessors and assessment appeals boards,

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

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and evaluates the effectiveness of each county assessor’s administration of the assessment function and the degree to which the practices of the assessors’ offices conform to existing law and policies.

Authority

Constitution—Article XIII; Government Code sections 15606, 15608, 15611, 15612, 15624, and 15640–15646; Revenue and Taxation Code sections 63.1, 64, 69.5, 75.60, 169, 218.5, 251, 401.5, 407, 452, 480, 480.1, 482, 601, 602, 670–673, 1153, 1254, 1603, 5364, 5781, and 5840; California Administrative Code—Rules 101, 171, 202, 252, 282–283, 1045, and 1051.

Program Element Statements

15.10 County Surveys

California taxpayers will pay an estimated \$24.7 billion in property taxes during 2000–01 to support various local governmental agencies. Approximately 96 percent of these taxes will result from valuations based on ad valorem assessments made by the 58 county assessors. Almost 48 percent of these revenues go to local agencies other than schools. Schools receive approximately one-half of the property tax revenues. Since the State is ultimately responsible for providing a specified level of funding for schools, any shortfalls in property tax revenue must be met by the State’s General Fund. Because of the importance of this revenue source to the State, schools, and local government, it is necessary that a central agency provide direction, supervision, and review of local assessment practices (administrative oversight) to assure equitable treatment of all property taxpayers both within and between counties.

To accomplish these objectives, “assessment practices” and “special topics” surveys are conducted to ascertain the specific assessment practices of the 58 county assessors. The assessment practices surveys are performed over a five-year cycle and include a comprehensive audit of the assessor’s operation, resulting in a report that includes recommendations and suggestions for improvement. For the 11 largest counties and 15 other counties selected either at random or for cause during the cycle, a survey also includes a random selection of a representative sampling of the local assessment roll, the appraisal by staff appraisers of the sampled assessments, and an in-depth analysis of the differences between the staff appraisals and the enrolled assessments. The survey also includes a comprehensive review of the assessment system emphasizing the principal causes for significant differences and contains recommendations for improving the systems and resulting assessments. The special topics surveys provide an in-depth evaluation of the problems associated with current assessment issues that have a statewide impact.

15.20 Technical Advisory Services

This program carries out the Board’s constitutional and legislative duties to advise and assist the 58 county assessors in compiling and standardizing (statewide) the assessment rolls of all taxable properties within their counties. The Board relies on five primary functions to fulfill this responsibility: 1) Provides technical advice on real, personal and specialty property appraisal problems. 2) Publishes “Letters To Assessors” and prepares and revises “Assessors’ Handbooks”. 3) Annually prescribes the format of most forms used by the assessors, including all those requiring taxpayer entries. 4) Formulates rules that are binding on assessors and have the full force and effect of law. 5) Certifies appraisers, monitors completion of their mandatory annual training, and provides training to appraisers and assessment appeals board members.

15.30 Technical Services

The Board has three primary areas of responsibility in providing assistance to counties in administering the property taxes. 1) The Board and the counties jointly administer the welfare exemption which removes millions of dollars from the tax base of local government and schools. The assessor receives and reviews the original claim, inspects the property, and forwards the claim to the Board together with a recommendation on the applicability of the exemption. Board staff reviews the case and recommends approval or disapproval. Disapprovals are subject to an appeal to the Board. The matter is returned to the county where the assessor can act only on applications found qualifying by the Board. 2) Current law prescribes that a “change in control” of a legal entity (i.e., a corporation or partnership) is equivalent to a “change in ownership” requiring a reappraisal of the real property. Changes in control of legal entities are difficult for the assessor to find, whereas “changes in ownership” are relatively easy to locate due to the recording of ownership documents. The Board, by researching financial publications and inserting questions on the state corporate and partnership tax returns, can detect most of these transactions and advise all counties where affected real property is located. 3) Numerous exclusions from the change in ownership reappraisals have been adopted by the voters for property replacing properties taken by governmental agencies, senior citizens and disabled persons selling their residence and purchasing a replacement residence, and certain transfers among family members. Each of these exclusions must be tracked to avoid duplicate applications and to conform with the law. The Board provides a “clearinghouse” for all such claims, assuring no duplication.

20 STATE-ASSESSED PROPERTY PROGRAM

Program Objectives Statement

The State Constitution mandates that the Board annually value and assess intercounty pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Once market values are derived for these state assessees, those values must be allocated on a county-by-county basis among the agencies of local government in which properties are located. After the values are allocated, taxes are levied and collected for use by the local agencies. The Board collects the Private Railroad Car Tax which is deposited in the State’s General Fund.

Authority

Constitution—Article 13, Section 19; Revenue and Taxation Code—Division 1, Part 2; Division 2, Part 6.

Program Element Statements

20.10 Assessment of Public Utilities

State assessees annually file property statements with the Board listing all properties by situs, together with associated costs, and provide fiscal and financial information on their operations. These and other economic data are used by staff to develop “indicators of value” which are used by the Board to determine the annual market value of the assessable property of each state assessee. Staff audits the property statements filed annually by state assessees.

All property contained on the assessment rolls must be identified to the combination of taxing jurisdictions serving that property. These taxing districts are overlapping and their boundaries are not coterminous with one another. Clusters of properties all served by the same taxing jurisdictions and having the same distribution of property tax revenues constitute a unique “tax rate area.” The Board is charged with maintaining statewide maps delineating each taxing jurisdiction. The resulting 57,969 tax rate areas are used by the county assessors in preparing the local assessment roll and state assessees in reporting their nonunitary property holdings.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued**20.20 Private Railroad Car Tax**

The taxable value of private railroad cars is determined by the Board from cost information required of private railroad car owners on property statements and car movement information required of railroads. Information is processed using a statutory valuation formula employing the number of cars in the state and taxable value for each class of car. Assessments are prepared, tax bills are issued, and petitions for reassessment are received and processed. The revenue is deposited into the General Fund.

25 TIMBER TAX PROGRAM**Program Objectives Statement**

The Timber Tax Section administers the Timber Yield Tax by collecting revenue for the Timber Tax Fund, establishing timber value areas and the immediate harvest values for species of timber within those areas used to determine tax liability, developing a standard method of timber measurement and conversion factors where the standard cannot be used, controlling and auditing the reporting and self-assessment of the yield tax liability, and providing the data base for allocating the Timber Tax Fund to the county of harvest.

Authority

Government Code Chapter 6.7 of Part 1 of Division 1 of Title 5; Revenue and Taxation Code Article 1.7 of Chapter 3 of Part 2 of Division 1 and Part 18.5 of Division 2.

Program Element Statements**25.10 Timber Valuation**

Forest property appraisers evaluate data from sales of private timber and timber on public lands and harvests on operator-owned land for purposes of establishing a data base to be used in developing immediate harvest value schedules and evaluating future harvest report filings of taxpayers. Value schedules adopted by the Board are used by taxpayers to determine their tax liability. Field inspections provide data to evaluate both the harvest reports and tax returns at the time of an audit.

25.20 Taxpayer Registration, Return Processing, and Collection

When a taxpayer files either a harvest plan with the California Department of Forestry and Fire Protection or acquires the right to harvest timber on government land, the taxpayer is required to register with the Board unless already registered. Once identified, the taxpayer is provided with instructions on how to file tax returns and harvest reports, and delinquency controls are established on anticipated tax returns. Tax returns and harvest reports are filed along with the tax due, permitting the identification of delinquent accounts and establishing a data base for future audits where necessary.

25.30 Auditing

Taxpayers are required to report and self-declare tax liability by species, size, logging system, and location. Misreporting of the volume harvested or misclassification by species or log size category significantly alters the tax liability. Further, rather than being reported, some harvests are disclosed by a reconciliation of log deliveries at the mills. The auditors, in conjunction with the forest property appraisers, evaluate the accuracy of the self-reporting and investigate any differences.

30 SALES AND USE TAX PROGRAM**Program Objectives Statement**

This program ensures that all sales and use tax revenues are collected in an equitable and effective manner through timely reporting of tax liability, detecting and correcting errors in self-assessments, and promptly collecting amounts determined to be due and economically recoverable.

The Board administers the Sales and Use Tax Law, the Bradley-Burns Uniform Local Sales and Use Tax Law, and transactions (sales) and use tax ordinances for the Bay Area Rapid Transit District, the Santa Clara County Transit District, the Santa Cruz Metropolitan Transit District, the Los Angeles County Transportation Commission, the San Mateo County Transit District, the Alameda County Transportation Authority, the Fresno County Transportation Authority, the San Diego Regional Transportation Commission, the Inyo County Rural Counties Transaction Tax, the San Mateo County Transportation Authority, the Sacramento Transportation Authority, the Contra Costa Transportation Authority, the Riverside County Transportation Commission, the San Francisco County Transportation Authority, the Imperial County Local Transportation Authority, Calexico Heffernan Memorial Hospital District, the Santa Barbara County Local Transportation Authority, the San Bernardino County Transportation Authority, the Madera County Transportation Authority, the Orange County Local Transportation Authority, the San Joaquin County Transportation Authority, the Sonoma County Open Space Authority, the San Francisco County Public Finance Authority, the City of Clearlake Public Safety Transactions and Use Tax, the Stanislaus County Library Transactions and Use Tax, the Santa Clara County Transactions and Use Tax, the Santa Cruz County Public Library Transactions and Use Tax, the Napa County Flood Protection Authority, the Solano County Public Library Transaction and Use Tax, the Town of Truckee Road Maintenance District, the Nevada County Public Library Transaction and Use Tax, Fresno County Public Library Transactions and Use Tax, City of Placerville Public Safety Transactions and Use Tax, City of Clovis Public Safety Transactions and Use Tax, Mariposa County Healthcare Authority, and City of Woodland General Revenue Transactions and Use Tax.

Major Budget Adjustments Proposed for 2001-02**Program 30**

- An augmentation of \$2,068,000 (\$1,549,000 General Fund, \$148,000 Special Fund, and \$371,000 Reimbursements) to accommodate the budgetary impact of salary adjustments, rental rate increases for field offices, replacement of deteriorating carpet at the Capital Square headquarters building, and replacing a large volume high-speed copier located in the headquarters reproduction center.
- An augmentation of \$470,000 (\$356,000 General Fund, \$35,000 Special Funds, and \$79,000 Reimbursements) to acquire tools for the management and maintenance of the Board of Equalization's various networks.
- One-time augmentation of \$1,561,000 (\$1,178,000 General Fund, \$120,000 Special Funds, and \$263,000 Reimbursements) to continue the replacement of obsolete personal computer hardware and software.
- An augmentation of \$1,634,000 (\$1,307,000 General Fund and \$327,000 Reimbursements) and a net reduction of 0.7 personnel year to begin the statewide implementation of LAN connectivity to the remaining Sales and Use Tax field offices.

0860 STATE BOARD OF EQUALIZATION—Continued

- An augmentation of \$218,000 (Local Reimbursements) and 3 positions (2.8 personnel years) to accommodate the ongoing workload associated with enhancing the Board of Equalization’s ability to minimize and correct local tax allocation reporting errors in a timely manner.
- An augmentation of \$289,000 (Local Reimbursements) and 4 positions (3.8 personnel years) for Fiscal Year (FY) 2001–02, and beginning FY 2002–03, two of the four positions on a 3-year limited-term basis to continue the efforts of reducing the local tax reallocation inquiry backlog and preventing the reoccurrence of another backlog.

Authority

Revenue and Taxation Code, Division 2, Parts 1, 1.5 and 1.6.

Program Element Statements

30.10 Registration of Taxpayers

Registration enables the Board to furnish sellers and consumers with proper tax forms and instructions for the reporting and allocation of sales and use tax.

30.20 Processing Tax Returns

The returns filed are processed through the Cashier Unit, the Return Analysis Section, and the Local Revenue Allocation Section for deposit of revenue, accuracy, and fiscal accounting purposes. This accounting includes the proper distribution of local and district taxes and whether the taxpayer filed a return. Taxpayers who fail to file returns are sent a notice of delinquency. After a reasonable period of time, taxpayers whose accounts continue to be delinquent are cited to appear at the nearest Board office to show cause why their permit(s) should not be revoked.

Returns are reviewed for mathematical accuracy, proper preparation, and reporting of tax according to law. Billings and refunds are prepared to notify taxpayers of errors in self-declared tax. Additionally, taxpayers may be contacted to explain deductions.

The BOE is pursuing automating its current labor-intensive, sequential return processing system. The Board’s intent is to implement technology that will improve the current incoming mail, cashiering, data capture, workflow, storage and retrieval, and tracking functions. The Return Processing Automation project will allow for simultaneous processing of return forms thereby increasing productivity and enhancing the service provided to taxpayers. In an effort to successfully implement this project, the BOE will require additional resources. In the Spring of 2001, the Administration expects to consider the resources necessary to ensure that this project proceeds effectively and efficiently.

30.30 Auditing Accounts

This activity is statistically designed to cover the tax base in a manner that will result in audits of accounts most likely to make substantial errors in reporting. A major objective of the audit selection system, which is based on the principle of marginal analysis, is to audit accounts that will produce tax deficiencies in excess of the cost of auditing. Tax deficiency is the difference between self-assessed taxes and the final determination of taxes due after auditing.

30.40 Collecting Taxes Receivable

Field offices are primarily responsible for the collection of delinquent amounts. The effort expended on each account is consistent with the amount due. Although efforts are made to accomplish collection through use of the telephone or by correspondence, field calls and the utilization of statutory remedies may be necessary to collect larger amounts when other efforts have not been productive.

In 1996–97, the Board implemented the Automated Compliance Management System (ACMS) as part of its collection program. The ACMS automates many tasks that would otherwise be done manually; expedites the issuance of liens, levies, and warrants; and provides for on-line tracking and management of collection cases.

35 HAZARDOUS SUBSTANCES TAX PROGRAM

Program Objectives Statement

This program provides revenues for the Hazardous Waste Control Account and the Toxics Substances Control Account in the General Fund. The revenue is derived by collecting fees from generators of hazardous wastes, from hazardous waste facilities, and from certain corporations as identified by statute. Fees are collected by the Board for the Department of Toxic Substances Control (DTSC).

Hazardous waste “generators” and “facilities” are subject to either: 1) a generator fee paid annually from persons generating more than five tons of hazardous waste during the calendar year; or, 2) a facility fee paid annually from operators of certain hazardous waste facilities. Persons who generate hazardous wastes for shipment to an in-state facility for disposal, or who dispose of it on-site, are subject to a disposal fee. In addition to these fees, corporations with certain standard industrial codes (SIC) may owe an environmental fee based upon the number of employees. Fees are also collected from persons who receive certain services from DTSC (activity fee) or from persons operating under a permit-by-rule who owe an annual tiered permitting fee.

The objectives of the program are to ensure that appropriate returns and reports are filed, prescribed fees are paid, and taxes are assessed and collected in an equitable and effective manner.

Administration includes registering persons required to pay fees; processing tax returns and reports; collecting fees and taxes due; auditing accounts; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

Authority

Sections 25174, 25174.1, 25174.2, 25205.2, 25205.4, 25205.5, 25205.6, 25205.7, 25205.8, 25205.14, 25205.21, 25205.22, 25221 and 25343 of the Health and Safety Code, Part 22 of Division 2 of the Revenue and Taxation Code.

40 ALCOHOLIC BEVERAGE TAX PROGRAM

Program Objectives Statement

This program ensures that all alcoholic beverage tax revenues are collected equitably and efficiently through timely reporting of tax liability, detecting and correcting errors in reporting, and promptly collecting amounts determined due and recoverable.

Self-assessed State excise taxes imposed on the manufacturing, importation, and sale of alcoholic beverages in this state are administered by the Board.

Authority

Revenue and Taxation Code—Part 14, Division 2.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

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Program Element Statements

40.10 Registration of Taxpayers

Persons subject to alcoholic beverage taxes are identified through licenses issued by the Department of Alcoholic Beverage Control. The Board registers them as taxpayers and furnishes tax returns for the reporting of tax. Accounts are regularly reviewed to reassess security requirements. Nontaxpaying common carriers, customs brokers, and out-of-state beer vendors are also registered and supplied with forms necessary to report information concerning the shipments of alcoholic beverages into California.

40.20 Processing Tax Returns and Reports

Alcoholic beverage tax returns are filed directly with the Board’s headquarters office. The returns are processed through the mail processing, cashier, and technology services units for deposit of funds and fiscal accounting purposes. The Excise Taxes Division then verifies reported tax computations, notifies taxpayers of apparent discrepancies on returns, and reviews the returns for completeness and proper application of the law.

40.30 Auditing Accounts

Informational reports are received from common carriers, customs brokers, out-of-state beer vendors, and other states. Specific procedures are performed using the data contained in these informational reports, which include data entry, analysis, matching, and verification. Based on the results of the procedures performed, the Excise Taxes Division is able to effectively select for field auditing those accounts which appear to have understated the tax. The audits deter tax evasion and promote accurate reporting of self-declared tax.

40.40 Collecting Taxes Receivable

The Excise Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when necessary to locate assets which may be levied upon or which are subject to lien.

41 TIRE RECYCLING FEE PROGRAM

Program Objectives Statement

This program is needed to provide revenues for the California Tire Recycling Account in the California Tire Recycling Management Fund. The program objective is to ensure that all tire recycling fee revenues are collected in an equitable and effective manner through timely reporting of liabilities, detecting and correcting errors in feepayer self-assessments and promptly collecting amounts determined to be due and economically recoverable.

The fee is collected by sellers of tires identified and registered by the Board. The fee provides funding for a tire recycling program which will reduce landfill disposal and stockpiling of used tires by recycling and reclaiming used tires and used tire components to the greatest extent possible. Administration of this fee is performed by the Excise Taxes Division and includes: identifying and registering sellers of tires required to pay the fees; maintaining current registration information for each feepayer; printing, mailing and receiving returns; depositing fees; examining returns; following up on problem or unfiled returns; storing returns; providing periodical reports to the California Integrated Waste Management Board; auditing accounts; collecting fees receivable; resolving petitions for redetermination; and advising interested persons about the law.

Chapter 838, Statutes of 2000, deleted the sunset date for this program.

Major Budget Adjustment Proposed for 2001–02

- An augmentation of \$311,000 (Reimbursements) to implement the provisions of Chapter 838, Statutes of 2000, which amends Public Resources Code Section 42885 to increase the tire recycling fee.

Authority

Public Resources Code—Sections 42860 through 42895.

45 CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM

Program Objectives Statement

This program provides revenue for the Cigarette Tax Fund, Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and through the passage of Proposition 10, the California Children and Families First Trust Fund. The program objective is to ensure that all cigarette and tobacco products tax revenues are collected equitably and effectively by effecting timely reporting and payment of tax liabilities, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable.

The cigarette tax is imposed upon distributors of cigarettes at the rate of 4.35 cents per cigarette (87 cents per standard package of 20) and upon consumers who acquire untaxed cigarettes which are not otherwise exempt from tax. For the most part, the tax is paid by distributors through the purchase of stamps from commercial banks which contract with the state for the issuance of stamps. Cigarette distributors are allowed a discount of .85 percent on purchases of cigarette tax stamps. The tobacco products tax is imposed upon distributors for the wholesale cost of tobacco products at a rate equivalent to the combined rate of tax imposed on cigarettes. The rate is determined annually by the Board. For Fiscal Year 1999–00, the rate was 66.50 percent, effective July 1, 2000, the rate changed to 54.89 percent.

Major Budget Adjustment Proposed for 2001–02

- An augmentation of \$140,000 (California Children and Families First Trust Fund) for one additional year to accommodate a cost increase in the cigarette tax indicia contract with Bank of America.

Authority

Revenue and Taxation Code—Part 13, Division 2.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

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Program Element Statements

45.10 Registration of Taxpayers

Every person desiring to engage in the sale of cigarettes or tobacco products as a distributor or as a cigarette wholesaler must obtain a license from the Excise Taxes Division for each location at which he or she engages in such business. This enables the Board to furnish proper forms for the reporting, processing, allocation, and administration of taxes. Necessary security is obtained from licensed distributors to assure payment of the tax.

45.20 Processing Tax Returns and Reports

Forms and instructions are mailed to licensed distributors and wholesalers to enable them to file monthly reports to account for their purchases and distributions of tobacco products, cigarettes, and tax stamps; to enable cigarette manufacturers (who are all licensed distributors) to report tax on sample cigarettes distributed in California; and to enable tobacco products distributors to report tax on their distribution of tobacco products. Reports relating to the acquisition and sale of tax stamps are received from banks. Information reports are received from manufacturers and common carriers. These reports are processed by the Excise Taxes Division to account for tax payments, verify mathematical accuracy, assure conformity with the law, and select accounts for audit. When a timely report is not filed, the taxpayer is notified. If the delinquency is not cleared, revocation proceedings are instituted.

45.30 Auditing Accounts

Field auditing is essential to assure uniform application of the law, deter tax evasion and carelessness in self-reporting, and identify and correct errors in self-reporting. Reconciliations are made in the Excise Taxes Division using data reported by manufacturers, distributors, wholesalers, banks, and others. In most cases, immediate corrective action is taken when underreported tax is indicated. Field audit activities are designed to resolve more difficult discrepancies and to identify tobacco products and cigarettes received from illegal sources. These audits deter tax evasion and promote accurate reporting of self-declared tax.

45.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through tax stamp counterfeiting, bootlegging, tax underreporting, smuggling and illegal sales of cigarettes and tobacco products.

Field inspections are made of distributors' stamping machines to see that indicia is properly affixed, clear, and legible. Inspections also are made of cigarette stocks in retail stores and in vending machines to assure that all packages are properly stamped. Additionally, spot inspections of transit vehicles, vessels and aircraft are made to help deter illegal transportation of untaxed cigarettes and tobacco products for sale in the state.

45.50 Collecting Taxes Receivable

The Excise Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when necessary to locate assets which may be levied upon or which are subject to lien. The staff prepares liens, issues sheriffs' warrants and maintains the taxes receivable ledger.

50 MOTOR VEHICLE FUEL LICENSE TAX PROGRAM

Program Objectives Statement

This program provides revenue for the Transportation Tax Fund, Aeronautics Fund, Harbor and Watercraft Revolving Fund, Off-Highway Vehicle Fund, and Department of Agriculture Fund. The objective is to ensure that the Motor Vehicle Fuel License Tax is administered equitably and effectively through timely reporting of tax liability, and detecting and correcting errors in taxpayers' self-assessments.

Through December 31, 2001, the gasoline tax is imposed on distributors and brokers based on their distributions of motor vehicle fuel. The gasoline tax rate is 18 cents per gallon. A 2-cent per gallon tax is imposed on sales of aircraft jet fuel by dealers to certain users. The State Controller shares responsibility with the State Board of Equalization for the administration of this tax by collecting delinquent tax and making refunds to consumers who use tax paid gasoline in an exempt manner subject to refund.

Effective January 1, 2002, the collection point of the gasoline tax will be transferred from the distributors and brokers distribution of motor vehicle fuel to the supplier's removal of the fuel from the terminal rack. This is being done to conform the tax imposition to federal law and to create more uniform reporting requirements for taxpayers, thus simplifying their reporting burden.

Major Budget Adjustment Included in 2000-01

- An augmentation of \$685,000 (Reimbursements) is proposed to begin the implementation of federally-funded fuel tax evasion projects.

Major Budget Adjustments Proposed for 2001-02

- An augmentation of \$626,000 (Reimbursements) to continue implementation of federally-funded fuel tax evasion projects.
- An augmentation of \$1,077,000 (Motor Vehicle Fuel) and 9.5 positions (9.0 personnel years) to implement and administer the provisions of Chapter 1053, Statutes of 2000 (AB 2114), related to changing the point of taxation on gasoline.
- An augmentation of \$3,916,000 (\$585,000 General Fund, \$3,292,000 Special Funds, and \$39,000 Reimbursements) to begin integrating the Special Taxes programs into the Integrated Corporate Database.

Authority

Revenue and Taxation Code—Part 2, Division 2.

Program Element Statements

50.10 Registration of Taxpayers

Persons subject to the motor vehicle fuel license tax are registered to enable the Board to furnish proper forms for the reporting, processing, and administration of the tax. Necessary security, within the limits allowed by law, is obtained to assure payment of the tax.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

50.20 Processing Tax Returns

Returns are processed through the cashier and the Fuel Taxes Division for proper deposit of funds and fiscal accounting purposes. Taxpayers failing to file returns are identified and notices are sent to them.

50.30 Auditing Accounts

Accounts to be audited are selected through a process which assures optimum use of field audit time to detect misplaced tax, and deter tax evasion. Selection of accounts for audit places emphasis on those accounts which are expected to produce a deficiency tax change greater than the cost of auditing. These audits protect the tax base and result in a sustained high level of self-declared tax.

50.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through illegal distribution of untaxed fuel. Field inspections are performed at places where motor vehicle fuel is produced or stored, or at any inspection site where evidence of activities involving evasion may be discovered.

55 DIESEL AND USE FUEL TAX PROGRAM

Program Objectives Statement

This program provides revenue for the Transportation Tax Fund. The program objective is to ensure that all revenues are collected in an equitable and effective manner by effecting timely reporting of tax liability, detecting and correcting errors in taxpayer self-assessment, and promptly collecting amounts determined to be due and economically recoverable.

The collection point of the excise tax on diesel fuel is the first removal from the terminal rack. The diesel fuel tax rate is 18 cents per gallon. The use fuel tax rate varies by fuel type. The imposition of the excise tax on the remaining use fuels is upon the user or vendor of the fuel.

Major Budget Adjustments Proposed for 2001-02

- An augmentation of \$561,000 (Motor Vehicle Fuel) and 8.5 positions (8.1 personnel years) to permanently continue the efforts of implementing the component B element of tax on diesel fuel as mandated by Chapter 618, Statutes of 1997.
An augmentation of \$164,000 (Motor Vehicle Fuel) for overtime funds on a three year basis to eliminate a workload backlog to ensure that the Board of Equalization is in compliance with the provisions of the International Fuel Tax Agreement.

Authority

Revenue and Taxation Code—Parts 3 and 31 of Division 2.

Program Element Statements

55.10 Registration of Taxpayers

Registration of suppliers, distributors, vendors and users allows the Board to furnish them with proper tax forms and instructions for the purpose of reporting and processing of these taxes, and claiming allowable refunds. Necessary security, within the limits allowed by law, is obtained to assure payment of the taxes. The Board establishes an account for each new taxpayer, maintains the account on a current basis, and closes the account when the activity terminates.

55.20 Processing Tax Returns

Returns are processed through the mail, cashier, and technology services sections for deposit of revenue receipts and fiscal accounting purposes. The Board establishes delinquencies for taxpayers failing to file returns and sends notices to them. In addition, returns are reviewed for mathematical accuracy, proper preparation and application of the law. Returns claiming refunds of tax paid on fuel used in an exempt manner are reviewed for compliance with applicable laws and rules, prior to refunds being processed.

55.30 Auditing Accounts

This activity assures uniform application of the tax by detecting and correcting errors in reporting, and deterring tax evasion. In selecting accounts for audit, the Board places emphasis on those expected to produce a deficiency tax greater than the cost of auditing. These audits protect the tax base, and result in a high level of self-declared tax.

55.40 Enforcement Activities

Enforcement activities are designed to prevent loss of revenue through illegal distribution of untaxed fuel. Field inspections are performed at places where diesel fuel is produced or stored, or at any inspection site where evidence of activities involving evasion may be discovered. Additionally, spot inspections at designated inspection sites along state highways to physically inspect, examine or otherwise search any tank or container used in the transportation of diesel fuel.

55.50 Collecting Taxes Receivable

The Fuel Taxes Division is primarily responsible for collecting taxes receivable. Investigations are made when it is necessary to locate assets which may be levied upon, or which are subject to a lien. The staff prepares liens, issues sheriffs' warrants and maintains the taxes receivable ledger.

56 OCCUPATIONAL LEAD POISONING PREVENTION FEE

Program Objectives Statement

This program provides revenue for the Occupational Lead Poisoning Prevention Account in the General Fund. Fees are collected from all employers in specified standard industrial classification (SIC) codes who employ ten or more employees. The identified SIC codes are those in which the Department of Health Services has determined that a potential for lead poisoning exists. The fee provides funding for the Occupational Lead Poisoning Prevention Program conducted by the Department of Health Services.

Administration includes registering employers required to pay the fee; processing the annual returns; collecting amounts due; auditing accounts; resolving claims for refund and petitions for redetermination; and advising interested persons regarding the law.

Authority

Health and Safety Code Sections 105175, 105185, 105190 and Part 22 of Division 2 of the Revenue and Taxation Code.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

57 INTEGRATED WASTE MANAGEMENT FEE PROGRAM

Program Objectives Statement

This program provides revenue for the Integrated Waste Management Account in the Integrated Waste Management Fund by administering the collection of a fee on all solid waste disposed at each solid waste landfill required to have a solid waste facility permit. The fee provides funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills. In addition, the fee supports state and local landfill permit enforcement programs and provides grants to local agencies to initiate and implement waste separation programs.

Administration includes registering facility operators required to pay the fees; processing quarterly reports; computing the fee liability of each operator; mailing assessment notices; collecting amounts due; auditing accounts; providing statistical analysis of reported amounts; resolving petitions for redeterminations and claims for refunds; and advising interested persons regarding the law.

Authority

Division 30, Part 1—Public Resources Code, Division 2, Part 23—Revenue and Taxation Code.

58 UNDERGROUND STORAGE TANK FEE PROGRAM

Program Objectives Statement

This program provides revenue for the Petroleum Underground Storage Tank Financing Account in the General Fund by collecting a fee on all underground petroleum tanks. The fee provides funding to monitor and regulate underground storage tanks containing petroleum, and to protect human health and the environment. Established by statute, the fee is collected quarterly from owners of underground storage tanks containing petroleum.

Administration of this program includes registering underground tank owners, processing tax returns, collecting fees, auditing accounts, resolving petitions for redeterminations and claims for refunds, and advising fee payers regarding the law.

Authority

Health and Safety Code—Sections 25299.41 and 25299.43.

59 OIL SPILL PREVENTION PROGRAM

Program Objectives Statement

This program provides revenue for the Oil Spill Prevention and Administration Fund and the Oil Spill Response Trust Fund. The objective is to collect two fees on all crude oil and petroleum products received in this state via marine pipelines and terminals. The prevention and administration fee provides funding in order to implement oil spill prevention programs, and to reimburse the member agencies of the State Interagency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install and maintain emergency programs, equipment, and facilities to respond to, contain and cleanup oil spills. The response fee provides funding in order to promptly cover costs of response, containment, and cleanups of oil spills into marine waters; including damage assessment costs and wildlife rehabilitation.

The Board's administration includes issuing monthly returns, receiving monthly returns, depositing payments, auditing amounts reported, resolving petitions and claims for refund, providing statistical analysis, and advising interested persons regarding the law.

Authority

Government Code—Sections 8670.40 and 8670.48.

60 ENERGY RESOURCES SURCHARGE PROGRAM

Program Objectives Statement

This program provides revenue for the state Energy Resources Programs Account, General Fund, by administering a surcharge on the consumption of electricity.

Administration of this surcharge on consumers of electrical energy includes registering of electric utilities required to collect the surcharge from consumers; registering of consumers purchasing electrical energy from the United States; processing tax returns; auditing accounts; collecting taxes receivable; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

Authority

Part 19 of Division 2 of the Revenue and Taxation Code.

62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM

Program Objectives Statement

This program provides revenue for the Childhood Lead Poisoning Prevention Fund, by collecting fees to support the Childhood Lead Poisoning Prevention Program administered by the Department of Health Services. The fee, established by statute and implemented by regulation, is collected annually from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California.

Administration of this program includes registering fee payers, processing fee returns, collecting fees, auditing accounts, resolving petitions for redetermination and claims for refund, and advising fee payers regarding the law.

Authority

Health and Safety Code Article 4.6, Section 372, Chapter 2 of Part 1 of Division 1 and Revenue and Taxation Code Sections 43507, 43152.14, and 43554. Title 17, California Code of Regulations Sections 33001, 33010, 33020, and 33030.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

63 BALLAST WATER MANAGEMENT FEE PROGRAM

Program Objectives Statement

This program provides revenue for the Exotic Species Control Fund. The program objective is to administer the collection of a fee from owners or operators of qualifying vessels that enter California ports with ballast water loaded from outside a designated economic enterprise zone. Under the statute’s provisions, the fee, established in regulations adopted by the State Lands Commission, is assessed upon the owner or operator when their vessel arrives in California. The fee provides funding to carry out the ballast water management program as described in statute.

Administration of this program includes registering vessel owners or operators, processing assessments or returns, collecting fees, auditing accounts, resolving petitions for redeterminations and claims for refunds, and advising feepayers regarding the law.

Authority

Public Resources Code—Division 36 (commencing with Section 71200).

65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM

Program Objectives Statement

This program provides revenue to fund the “911” emergency telephone number system, by administering a surcharge on intra-state telephone communication services.

Administration of this surcharge includes: registering telephone service suppliers required to collect the surcharge from users; processing tax returns; auditing accounts; collecting taxes receivable; resolving petitions for redetermination and claims for refund; and advising taxpayers regarding the law.

Authority

Part 20 of Division 2 of the Revenue and Taxation Code.

70 INSURANCE TAX PROGRAM

Program Objectives Statement

This program assesses taxes on insurance premiums and marine profits of underwriters, and levies retaliatory assessments against “foreign” insurers.

The program is administered jointly by the Board, the Controller and the Insurance Commissioner. The Board is responsible for assessing the tax and adjudicating petitions for redetermination and claims for refund.

The Board annually assesses each insurance company based on the net premiums on California business other than ocean marine insurance, and on the average annual underwriting profit on ocean marine business. It also imposes a retaliatory tax on any out-of-state company doing business in California whenever that company’s home state subjects a California company doing business in that state to a greater burden than California imposes on insurers domiciled in that state. The Board also hears taxpayer appeals and makes decisions to grant or deny petitions for redetermination and claims for refund.

Authority

The State Constitution, Article XIII, Section 28 and Part 7 of Division 2 of the Revenue and Taxation Code.

75 NATURAL GAS SURCHARGE PROGRAM

Program Objectives Statement

This program provides revenue for the Gas Consumption Surcharge Fund by administering a surcharge on the consumption of all natural gas in this state. The surcharge, established by the California Public Utility Commission, is collected by the Board of Equalization annually from public utility gas corporations and consumers who receive natural gas through interstate pipeline. The objective of this program is to collect the revenue intended to fund low-income assistance programs, cost effective energy efficiency programs, conservation activities, and public interest research and development.

Major Budget Adjustment Proposed for 2001–02

- An augmentation of \$33,000 (Gas Consumption Fund) to enable the State Board of Equalization to effectively and efficiently administer the new Natural Gas Surcharge Program as mandated by the provisions of Chapter 932, Statutes of 2000 (AB 1002).

Authority

Chapter 4 of Part 1 of Division 1, Article X—Public Utilities Code.

80 APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

Program Objectives Statement

An independent administrative review is necessary when a taxpayer disagrees with actions taken by the Franchise Tax Board. Upon the filing of a taxpayer’s written request, the Board of Equalization reviews assessments of franchise and income taxes and eligibility determinations made in administering the Senior Citizens Property Tax Assistance program.

The Board also acts as an impartial tribunal to settle disputes between entities of local government concerning property tax assessments of property owned by one local government entity but located outside its boundaries and within boundaries of another entity. Such property owned by a local government outside its boundaries is subject to tax by the entity within whose boundaries the property is located.

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

Authority

1) Administration of Income and Franchise Tax Laws: Revenue and Taxation Code Section 18401–19802. Procedural regulations regarding appeals from the Franchise Tax Board are contained within the Rules of Practice of the State Board of Equalization, California Code of Regulations, Title 18, Division 2, Chapter 10 (effective January 1, 1996); 2) Senior Citizens Homeowners and Renters Property Tax Assistance: Revenue and Taxation Code Section 20501–20646; and, 3) Publicly Owned Property: Section 11 Article XIII of the California Constitution and Section 1840 and 1841 of the Revenue and Taxation Code. Procedural Regulations are found in Title 18, California Code of Regulations at Sections 5050 through 5063, 5070 through 5075, 5076, 5076.2, and 5077 through 5087.

Program Element Statements

80.10 Franchise and Income Tax Appeals

The Board initiates action after a taxpayer files a written appeal. The Board’s legal staff frames the issues of law and fact by means of memoranda from, and stipulations by, the parties. If the taxpayer requests an oral hearing before the Board, the Board may decide the case at the conclusion of the hearing or refer the case to its legal staff for review, analysis, and preparation of a written opinion or decision which is later voted on by board members. If an oral hearing is not requested, the case is referred to the legal staff for review, analysis, and preparation of a written opinion or decision.

80.20 Senior Citizens Property Tax Assistance Appeals

A taxpayer files a written notice to initiate action with the Board of Equalization. Upon receipt of a re-review statement from the Franchise Tax Board, the Board’s legal staff frames the issues of law and fact and submits them to the Board. The Board makes a determination and informs the claimant of this decision.

80.30 Equalization of Assessment of Publicly Owned Property

Action is initiated when the local public entity that owns the taxable property outside its boundaries files with the Board an application for review, equalization, and adjustment of the assessment imposed by the county in which the property is located. The assessor may file an answer. A prehearing conference is conducted by a member of the legal staff to define the issues and arrange for stipulations and exchange of exhibits. A formal hearing is conducted before the Board which renders a written decision.

85 ADMINISTRATION PROGRAM

Program Objectives Statement

The objectives are to effectively and efficiently implement the policies and directives of the Board members, and to provide direction, leadership, planning, and support services for all Board programs.

These responsibilities are carried out by the Executive Director, deputy directors, chief counsel, and various administrative staff reporting to these positions.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

15 COUNTY ASSESSMENT STANDARDS PROGRAM

	1999–00*	2000–01*	2001–02*
0001 General Fund.....	\$6,713	\$7,968	\$8,062
Totals, County Assessment Standards Program.....	\$6,713	\$7,968	\$8,062

ELEMENT REQUIREMENTS

15.10 County Surveys.....	3,370	3,932	3,982
0001 General Fund.....	3,370	3,932	3,982
15.20 Technical Advisory Services.....	2,260	2,855	2,884
0001 General Fund.....	2,260	2,855	2,884
15.30 Technical Services.....	1,083	1,181	1,196
0001 General Fund.....	1,083	1,181	1,196

PROGRAM REQUIREMENTS

20 STATE-ASSESSED PROPERTY PROGRAM

0001 General Fund.....	\$5,861	\$6,363	\$6,457
0995 Reimbursements.....	192	192	192
Totals, State-Assessed Property Program.....	\$6,053	\$6,555	\$6,649

ELEMENT REQUIREMENTS

20.10 Assessment of Public Utilities.....	5,666	6,332	6,423
0001 General Fund.....	5,474	6,140	6,231
0995 Reimbursements.....	192	192	192
20.20 Private Railroad Car Tax.....	387	223	226
0001 General Fund.....	387	223	226

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

		1999-00*	2000-01*	2001-02*
1				
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3	PROGRAM REQUIREMENTS			
4	25 TIMBER TAX PROGRAM			
5				
6	0965 Timber Tax Fund.....	\$2,634	\$2,788	\$2,819
7				
8	Totals, Timber Tax Program.....	\$2,634	\$2,788	\$2,819
9				
10	ELEMENT REQUIREMENTS			
11				
12	25.10 Timber Valuation.....	670	713	717
13	0965 Timber Tax Fund.....	670	713	717
14	25.20 Taxpayer Registration, Return Processing and Collection.....	1,378	1,561	1,588
15	0965 Timber Tax Fund.....	1,378	1,561	1,588
16	25.30 Auditing.....	586	514	514
17	0965 Timber Tax Fund.....	586	514	514
18				
19	PROGRAM REQUIREMENTS			
20	30 SALES AND USE TAX PROGRAM			
21				
22	0001 General Fund.....	\$163,973	\$170,642	\$175,245
23	0995 Reimbursements.....	78,845	80,531	81,892
24				
25	Totals, Sales and Use Tax Program.....	\$242,818	\$251,173	\$257,137
26				
27	ELEMENT REQUIREMENTS			
28				
29	30.10 Registration of Taxpayers.....	47,027	48,399	49,768
30	0001 General Fund.....	31,763	34,594	35,640
31	0995 Reimbursements.....	15,264	13,805	14,128
32	30.20 Processing Tax Returns.....	63,633	65,290	66,725
33	0001 General Fund.....	42,979	42,703	43,821
34	0995 Reimbursements.....	20,654	22,587	22,904
35	30.30 Auditing Accounts.....	103,343	106,276	108,944
36	0001 General Fund.....	69,769	71,154	73,225
37	0995 Reimbursements.....	33,574	35,122	35,719
38	30.40 Collecting Taxes Receivable.....	28,815	31,208	31,700
39	0001 General Fund.....	19,462	22,191	22,559
40	0995 Reimbursements.....	9,353	9,017	9,141
41				
42	PROGRAM REQUIREMENTS			
43	35 HAZARDOUS SUBSTANCES TAX PROGRAM			
44				
45	0995 Reimbursements.....	\$3,083	\$3,441	\$3,484
46				
47	Totals, Hazardous Substances Tax Program.....	\$3,083	\$3,441	\$3,484
48				
49	PROGRAM REQUIREMENTS			
50	40 ALCOHOLIC BEVERAGE TAX PROGRAM			
51				
52	0001 General Fund.....	\$1,739	\$2,361	\$2,637
53				
54	Totals, Alcoholic Beverage Tax Program.....	\$1,739	\$2,361	\$2,637
55				
56	ELEMENT REQUIREMENTS			
57				
58	40.10 Registration of Taxpayers.....	441	424	537
59	0001 General Fund.....	441	424	537
60	40.20 Processing Tax Returns and Reports.....	457	906	1,024
61	0001 General Fund.....	457	906	1,024
62	40.30 Auditing Accounts.....	614	758	781
63	0001 General Fund.....	614	758	781
64	40.40 Collecting Taxes Receivable.....	227	273	295
65	0001 General Fund.....	227	273	295
66				
67	PROGRAM REQUIREMENTS			
68	41 TIRE RECYCLING FEE PROGRAM			
69				
70	0995 Reimbursements.....	\$499	\$508	\$827
71				
72	Totals, Tire Recycling Fee Program.....	\$499	\$508	\$827
73				
74	PROGRAM REQUIREMENTS			
75	45 CIGARETTE AND TOBACCO TAX PROGRAM			
76				
77	0001 General Fund.....	\$2,422	\$2,465	\$2,810
78	0004 Breast Cancer Fund.....	107	106	123
79	0230 Cigarette and Tobacco Products Surtax Fund.....	1,283	1,337	1,529
80	0623 California Children and Families First Trust Fund.....	876	886	949
81	0995 Reimbursements.....	-	-	-
82				
83	Totals, Cigarette and Tobacco Tax Program.....	\$4,688	\$4,794	\$5,411
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* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

ELEMENT REQUIREMENTS		1999-00*	2000-01*	2001-02*
45.10	Registration of Taxpayers	\$465	\$494	\$760
0001	General Fund	396	282	423
0004	Breast Cancer Fund	6	6	12
0230	Cigarette and Tobacco Products Surtax Fund	58	201	276
0623	California Children and Families First Trust Fund	5	5	49
0995	Reimbursements	-	-	-
45.20	Processing Tax Returns	1,966	1,977	2,272
0001	General Fund	946	1,042	1,188
0004	Breast Cancer Fund	48	50	58
0230	Cigarette and Tobacco Products Surtax Fund	561	475	564
0623	California Children and Families First Trust Fund	411	410	462
0995	Reimbursements	-	-	-
45.30	Auditing Accounts	787	924	945
0001	General Fund	296	456	478
0004	Breast Cancer Fund	20	20	21
0230	Cigarette and Tobacco Products Surtax Fund	278	256	267
0623	California Children and Families First Trust Fund	193	192	179
0995	Reimbursements	-	-	-
45.40	Enforcement Activities	1,250	1,153	1,149
0001	General Fund	663	556	568
0004	Breast Cancer Fund	26	26	27
0230	Cigarette and Tobacco Products Surtax Fund	319	316	322
0623	California Children and Families First Trust Fund	242	255	232
0995	Reimbursements	-	-	-
45.50	Collecting Taxes Receivable	220	246	285
0001	General Fund	121	129	153
0004	Breast Cancer Fund	7	4	5
0230	Cigarette and Tobacco Products Surtax Fund	67	89	100
0623	California Children and Families First Trust Fund	25	24	27
0995	Reimbursements	-	-	-
PROGRAM REQUIREMENTS				
50 MOTOR VEHICLE FUEL LICENSE TAX PROGRAM				
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	\$1,851	\$1,928	\$3,347
0890	Federal Trust Fund	-	50	50
0995	Reimbursements	-	596	545
Totals, Motor Vehicle Fuel License Tax Program		\$1,851	\$2,574	\$3,942
ELEMENT REQUIREMENTS				
50.10	Registration of Taxpayers	300	303	532
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	300	253	482
0890	Federal Trust Fund	-	50	50
50.20	Processing Tax Returns	220	823	1,906
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	220	227	1,361
0995	Reimbursements	-	596	545
50.30	Auditing Accounts	868	915	943
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	868	915	943
50.40	Enforcement	463	533	561
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	463	533	561
PROGRAM REQUIREMENTS				
55 DIESEL AND USE FUEL TAX PROGRAM				
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	\$13,210	\$13,990	\$16,884
0890	Federal Trust Fund	46	52	52
0995	Reimbursements	-	89	81
Totals, Diesel and Use Fuel Tax Program		\$13,256	\$14,131	\$17,017
ELEMENT REQUIREMENTS				
55.10	Registration of Taxpayers	2,840	3,301	4,417
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,794	3,249	4,365
0890	Federal Trust Fund	46	52	52
55.20	Processing Tax Returns	3,576	4,269	5,649
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	3,576	4,180	5,568
0995	Reimbursements	-	89	81
55.30	Auditing Accounts	3,521	3,122	3,290
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	3,521	3,122	3,290
55.40	Enforcement	2,284	2,803	2,835
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,284	2,803	2,835
55.50	Collecting Taxes Receivable	1,035	636	826
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	1,035	636	826

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

	1999-00*	2000-01*	2001-02*	
1				
2				
3				
4	PROGRAM REQUIREMENTS			
5	56 OCCUPATIONAL LEAD POISONING PREVENTION			
6	FEE PROGRAM			
7				
8	0070 Occupational Lead Poisoning Prevention Fund	\$537	\$569	\$586
9				
10	Totals, Occupational Lead Poisoning Prevention Fee Program	\$537	\$569	\$586
11				
12	PROGRAM REQUIREMENTS			
13	57 INTEGRATED WASTE MANAGEMENT FEE PROGRAM			
14				
15	0387 Integrated Waste Management Account, Integrated Waste Management			
16	Fund.....	\$344	\$349	\$390
17				
18	Totals, Integrated Waste Management Fee Program	\$344	\$349	\$390
19				
20	PROGRAM REQUIREMENTS			
21	58 UNDERGROUND STORAGE TANK FEE PROGRAM			
22				
23	0439 Underground Storage Tank Cleanup Fund	\$1,609	\$1,650	\$1,891
24				
25	Totals, Underground Storage Tank Fee Program	\$1,609	\$1,650	\$1,891
26				
27	PROGRAM REQUIREMENTS			
28	59 OIL SPILL PREVENTION PROGRAM			
29				
30	0320 Oil Spill Prevention and Administration Fund	\$176	\$235	\$266
31				
32	Totals, Oil Spill Prevention Program	\$176	\$235	\$266
33				
34	PROGRAM REQUIREMENTS			
35	60 ENERGY RESOURCES SURCHARGE PROGRAM			
36				
37	0465 Energy Resources Programs Account, General Fund	\$187	\$200	\$243
38				
39	Totals, Energy Resources Surcharge Program	\$187	\$200	\$243
40				
41	PROGRAM REQUIREMENTS			
42	62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM			
43				
44	0080 Childhood Lead Poisoning Prevention Fund.....	\$351	\$525	\$539
45				
46	Totals, Childhood Lead Poisoning Prevention Fee Program	\$351	\$525	\$539
47				
48	PROGRAM REQUIREMENTS			
49	63 BALLAST WATER MANAGEMENT FEE PROGRAM			
50				
51	0995 Reimbursements	\$259	\$411	\$395
52				
53	Totals, Ballast Water Management Fee Program	\$259	\$411	\$395
54				
55	PROGRAM REQUIREMENTS			
56	65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM			
57				
58	0022 State Emergency Telephone Number Special Account, General Fund	\$662	\$671	\$763
59				
60	Totals, Emergency Telephone Users Surcharge Program	\$662	\$671	\$763
61				
62	PROGRAM REQUIREMENTS			
63	70 INSURANCE TAX PROGRAM			
64				
65	0001 General Fund.....	\$378	\$353	\$396
66				
67	Totals, Insurance Tax Program	\$378	\$353	\$396
68				
69	PROGRAM REQUIREMENTS			
70	75 NATURAL GAS SURCHARGE PROGRAM			
71				
72	3015 Gas Consumption Surcharge Fund.....	-	-	\$33
73				
74	Totals, Natural Gas Surcharge Program	-	-	\$33
75				
76	PROGRAM REQUIREMENTS			
77	80 APPEALS FROM OTHER GOVERNMENTAL PROGRAMS			
78				
79	0001 General Fund.....	\$1,461	\$1,428	\$1,445
80				
81	Totals, Appeals from Other Governmental Programs	\$1,461	\$1,428	\$1,445
82				
83				
84				
85				
86				
87				
88				

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

1				
2				
3	ELEMENT REQUIREMENTS	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
4				
5	80.10 Franchise and Income Tax Appeals	\$1,452	\$1,406	\$1,423
6	0001 General Fund.....	1,452	1,406	1,423
7	80.20 Senior Citizens Property Tax Assistance	5	18	18
8	0001 General Fund.....	5	18	18
9	80.30 Equalization of Assessment of Publicly Owned Property	4	4	4
10	0001 General Fund.....	4	4	4
11				
12	PROGRAM REQUIREMENTS			
13				
14	85 ADMINISTRATION			
15				
16	Undistributed Administration:			
17	0995 Reimbursements	\$225	\$248	\$248
18				
19	Totals, Administration.....	\$225	\$248	\$248
20				
21	TOTALS, EXPENDITURES (State Operations).....	\$289,523	\$302,932	\$315,180
22				

23							
24							
25							
26	SUMMARY BY OBJECT						
27	1 STATE OPERATIONS						
28							
29	PERSONAL SERVICES	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
30	Authorized Positions (Equals Sch. 7A)	3,752.3	4,076.7	4,063.2	\$178,754	\$198,570	\$203,140
31	Total Adjustments	-	8.6	16.8	-	329	1,434
32	Estimated Salary Savings	-	-231.6	-249.4	-	-10,548	-10,995
33							
34	Net Totals, Salaries and Wages	3,752.3	3,853.7	3,830.6	\$178,754	\$188,351	\$193,579
35	Staff Benefits	-	-	-	35,150	36,860	35,208
36							
37	Totals, Personal Services	3,752.3	3,853.7	3,830.6	\$213,904	\$225,211	\$228,787
38	OPERATING EXPENSES AND EQUIPMENT				\$75,619	\$77,721	\$86,393
39							
40	TOTALS, EXPENDITURES				\$289,523	\$302,932	\$315,180
41							
42							
43							

44							
45							
46	RECONCILIATION WITH APPROPRIATIONS						
47	1 STATE OPERATIONS						
48	0001 General Fund						
49							
50	APPROPRIATIONS				<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
51	001 Budget Act appropriation.....				\$179,762	\$192,154	\$197,052
52	Allocation for employee compensation				10,802	321	-
53	Adjustment per Section 3.60				-7,059	-978	-
54	Adjustment per Section 4.60 (Rental Rate).....				-	88	-
55	Adjustment per Section 16.00.....				91	-	-
56	Transfer to Legislative Claims (9670).....				-	-5	-
57							
58	Totals Available				\$183,596	\$191,580	\$197,052
59	Unexpended balance, estimated savings				-1,049	-	-
60							
61	TOTALS, EXPENDITURES				\$182,547	\$191,580	\$197,052
62							
63							
64	0004 Breast Cancer Fund^s						
65	APPROPRIATIONS						
66	001 Budget Act appropriation.....				\$101	\$107	\$123
67	Allocation for employee compensation				9	-	-
68	Allocation for contingencies or emergencies				1	-	-
69	Adjustment per Section 3.60				-4	-1	-
70							
71	TOTALS, EXPENDITURES				\$107	\$106	\$123
72							
73	0022 State Emergency Telephone Number Special Account^s						
74	APPROPRIATIONS						
75	001 Budget Act appropriation.....				\$674	\$673	\$763
76	Allocation for employee compensation				20	1	-
77	Allocation for contingencies or emergencies				5	-	-
78	Adjustment per Section 3.60				-37	-3	-
79							
80	TOTALS, EXPENDITURES				\$662	\$671	\$763
81							
82							
83							
84							
85							
86							
87							
88							

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

	1999-00*	2000-01*	2001-02*
0061 Motor Vehicle Fuel Account, Transportation Tax Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$15,114	\$15,973	\$20,231
Allocation for employee compensation	929	26	-
Adjustment per Section 3.60	-573	-81	-
Adjustment per Section 16.00	6	-	-
Totals Available	\$15,476	\$15,918	\$20,231
Unexpended balance, estimated savings	-415	-	-
TOTALS, EXPENDITURES	\$15,061	\$15,918	\$20,231
0070 Occupational Lead Poisoning Prevention Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$490	\$571	\$586
Allocation for employee compensation	63	1	-
Adjustment per Section 3.60	-17	-3	-
Adjustment per Section 16.00	1	-	-
TOTALS, EXPENDITURES	\$537	\$569	\$586
0080 Childhood Lead Poisoning Prevention Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$533	\$527	\$539
Allocation for employee compensation	22	1	-
Allocation for contingencies or emergencies	1	-	-
Adjustment per Section 3.60	-29	-3	-
Totals Available	\$527	\$525	\$539
Unexpended balance, estimated savings	-176	-	-
TOTALS, EXPENDITURES	\$351	\$525	\$539
0230 Cigarette and Tobacco Products Surtax Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,211	\$1,342	\$1,529
Allocation for employee compensation	110	2	-
Allocation for contingencies or emergencies	10	-	-
Adjustment per Section 3.60	-49	-7	-
Adjustment per Section 16.00	1	-	-
TOTALS, EXPENDITURES	\$1,283	\$1,337	\$1,529
0320 Oil Spill Prevention and Administration Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$228	\$236	\$266
Allocation for employee compensation	15	-	-
Allocation for contingencies or emergencies	1	-	-
Adjustment per Section 3.60	-9	-1	-
Totals Available	\$235	\$235	\$266
Unexpended balance, estimated savings	-59	-	-
TOTALS, EXPENDITURES	\$176	\$235	\$266
0387 Integrated Waste Management Account, Integrated Waste Management Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$332	\$351	\$390
Allocation for employee compensation	23	-	-
Allocation for contingencies or emergencies	2	-	-
Adjustment per Section 3.60	-13	-2	-
TOTALS, EXPENDITURES	\$344	\$349	\$390
0439 Underground Storage Tank Cleanup Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,506	\$1,656	\$1,891
Allocation for employee compensation	141	2	-

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
Allocation for contingencies or emergencies	\$10	-	-
Adjustment per Section 3.60	-49	-\$8	-
Adjustment per Section 16.00	1	-	-
TOTALS, EXPENDITURES	\$1,609	\$1,650	\$1,891
0465 Energy Resources Programs Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$167	\$201	\$243
Allocation for employee compensation	23	-	-
Allocation for contingencies or emergencies	2	-	-
Adjustment per Section 3.60	-5	-1	-
TOTALS, EXPENDITURES	\$187	\$200	\$243
0623 California Children and Families First Trust Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$712	\$890	\$949
Allocation for employee compensation	46	1	-
Allocation for contingencies or emergencies	146	-	-
Adjustment per Section 3.60	-28	-5	-
TOTALS, EXPENDITURES	\$876	\$886	\$949
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$102	\$102	\$102
Budget adjustment	-56	-	-
TOTALS, EXPENDITURES	\$46	\$102	\$102
0965 Timber Tax Fund ^a			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,738	\$2,798	\$2,819
Allocation for employee compensation	84	4	-
Adjustment per Section 3.60	-104	-14	-
Totals Available	\$2,718	\$2,788	\$2,819
Unexpended balance, estimated savings	-84	-	-
TOTALS, EXPENDITURES	\$2,634	\$2,788	\$2,819
0995 Reimbursements			
Reimbursements	\$83,103	\$86,016	\$87,664
3015 Gas Consumption Surcharge Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation (expenditures)	-	-	\$33
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$289,523	\$302,932	\$315,180

FUND CONDITION STATEMENT

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
0965 Timber Tax Fund ^a			
BEGINNING BALANCE	\$5,323	\$7,276	-
Prior year adjustments	574	-	-
Balance, Adjusted	\$5,897	\$7,276	-
REVENUES AND TRANSFERS			
Operating Revenues:			
213000 Property and Natural Resources (Timber Yield Tax)	24,697	25,000	\$25,000
215000 Income from investments	-	-	-
Totals, Operating Revenues	\$24,697	\$25,000	\$25,000
Totals, Resources	\$30,594	\$32,276	\$25,000

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

1							
2							
3							
4	EXPENDITURES						
5	Disbursements:				1999-00*	2000-01*	2001-02*
6	0860 State Board of Equalization (State Operations)				\$2,634	\$2,788	\$2,819
7	3540 Department of Forestry (State Operations)				19	26	26
8							
9	Totals, Disbursements				\$2,653	\$2,814	\$2,845
10	Other Disbursements:						
11	Allocation to counties (Local Assistance expenditure not reflected in						
12	departmental budget).....				20,631	29,462	22,155
13	Prior year adjustments				34	-	-
14							
15	Totals, Expenditures				\$23,318	\$32,276	\$25,000
16							
17	FUND BALANCE.....				\$7,276	-	-

20	CHANGES IN							
21	AUTHORIZED POSITIONS							
22		99-00	00-01	01-02	1999-00*	2000-01*	2001-02*	
23	Totals, Authorized Positions	3,752.3	4,076.7	4,063.2	\$178,754	\$198,570	\$203,140	
24	Salary adjustments.....	-	-	-	-	125	146	
25								
26	Totals, Adjusted Authorized Positions	3,752.3	4,076.7	4,063.2	\$178,754	\$198,695	\$203,286	
27	Workload and Administrative Adjustments:							
28	Positions Established: ^a							
29	Executive:							
30	Customer and Taxpayer Services							
31	Division:				Salary Range			
32	Tax Techn III ^b	-	-	-	-	16	-	
33	Technology Services:							
34	Assoc Info Sys Analyst ^c	-	-	0.5	4,110-4,997	-	25	
35	Administration:							
36	Personnel Management Division:							
37	Staff Svcs Analyst-Gen ^c	-	0.8	1.0	2,507-3,907	24	29	
38	Administrative Support Division:							
39	Cashiers:							
40	Ofc Asst-Gen ^e	-	3.0	4.0	1,846-2,465	53	64	
41	Mail Services:							
42	Ofc Asst-Gen ^d	-	0.8	1.0	1,846-2,465	18	21	
43	Sales and Use Tax Department:							
44	Assoc Info Sys Analyst ^c	-	-	0.5	4,110-4,997	-	25	
45	Blanket Funds:							
46	Overtime	-	-	-	-	-95	-114	
47	Reductions in Authorized Positions:							
48	Excess Vacant Positions							
49	Various	-	-	-13.0	-	-	-626	
50	Field Offices:							
51	Tax Techn I/II ^f	-	-	-1.5	2,029-2,885	-	-40	
52								
53	Totals Workload and Administrative							
54	Adjustments ^a	-	4.6	-7.5	-	\$16	-\$616	
55	Proposed New Positions:							
56	Executive:							
57	Technology Services Division:							
58	Staff Info Sys Analyst-Spec	-	-	2.0	4,507-5,480	-	119	
59	Staff Programmer Analyst-Spec	-	-	1.0	4,507-5,480	-	60	
60	Asst Info Sys Analyst	-	-	1.0	2,764-4,155	-	36	
61	Administration:							
62	FMD Accounting Section:							
63	Accountant Trainee	-	-	0.5	2,883-3,338	-	19	
64	Return Analysis and Allocation Division:							
65	Data Entry Section:							
66	Key Data Opr ^g	-	-	1.5	1,916-2,648	-	38	
67	Local Tax Allocation Section:							
68	BT Spec I	-	-	1.0	4,517-5,228	-	63	
69	Assoc Tax Auditor	-	-	2.0	4,110-4,997	-	109	
70	Blanket Funds:							
71	Overtime ^h	-	-	-	-	-	785	
72	Sales and Use Taxes:							
73	SUTD Administration:							
74	Staff Info Sys Analyst-Spec	-	-	1.0	4,507-5,480	-	60	
75	Tax Techn I/II ⁱ	-	-	-0.7	2,029-2,855	-	-20	

* Dollars in thousands, except in Salary Range.

0860 STATE BOARD OF EQUALIZATION—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Headquarters Operations Division:						
Refund Section:				Salary Range		
Assoc Tax Auditor ^j	—	4.0	4.0	\$4,110-4,997	\$188	\$218
Field Offices:						
Staff Info Sys Analyst-Spec	—	—	14.0	4,507-5,480	—	835
Tax Auditor ^k	—	—	-19.0	2,764-4,155	—	-1,110
Special Taxes Department:						
Fuel Taxes Division:						
Fuel Taxes Section:						
BT Comp Supvr II ^l	—	—	1.0	4,520-5,453	—	60
BT Spec ^l	—	—	1.0	4,517-5,228	—	63
BT Comp Spec ^l	—	—	1.0	4,110-4,997	—	54
Assoc Tax Auditor ^m	—	—	0.5	4,110-4,997	—	27
BT Rep ⁿ	—	—	1.0	2,764-4,155	—	37
Tax Techn III ^o	—	—	2.0	2,626-3,193	—	70
Tax Techn II ^m	—	—	0.5	2,348-2,855	—	15
Ofc Asst-Typing ^m	—	—	0.5	1,908-2,465	—	13
Ofc Asst-Gen ^m	—	—	0.5	1,846-2,465	—	12
Motor Carrier Section:						
Supvng Tax Auditor.....	—	—	1.0	4,517-5,489	—	60
Assoc Tax Auditor.....	—	—	2.0	4,110-4,997	—	109
Tax Auditor.....	—	—	2.0	2,764-4,155	—	73
BT Rep.....	—	—	1.0	2,764-4,155	—	37
Tax Techn II.....	—	—	2.0	2,348-2,855	—	62
Totals, Proposed New Positions	—	4.0	24.3	—	\$188	\$1,904
Total Adjustments	—	8.6	16.8	—	\$329	\$1,434
TOTALS, SALARIES AND WAGES	3,752.3	4,085.3	4,080.0	\$178,754	\$198,899	\$204,574

^a All positions are effective 7/1/01 unless otherwise footnoted.
^b One (1.0) position limited-term 8/1/00 through 12/31/00.
^c One (1.0) effective 1/1/02.
^d One (1.0) position effective 8/31/00.
^e Four (4.0) positions effective 8/31/00.
^f Three (3.0) positions being relinquished effective 1/1/02.
^g Three (3.0) positions limited-term 01/01/02 through 6/30/04.
^h Overtime Funds—\$112,000 limited-term through 6/30/02, and \$101,000 limited-term through 6/30/03, \$257,000 limited-term through 6/30/04, and \$11,000 limited-term through 6/30/05.
ⁱ Two (2.0) positions being relinquished effective 3/1/02.
^j Two (2.0) positions limited-term 7/1/01 through 6/30/02 and two (2.0) positions limited-term 7/1/01 through 6/30/05.
^k Nineteen (19.0) positions being relinquished effective 7/1/01.
^l One (1.0) position limited-term 7/1/01 through 6/30/04.
^m One (1.0) position limited-term 1/1/02 through 6/30/04.
ⁿ Two (2.0) positions limited-term 1/1/02 through 6/30/04.
^o Four (4.0) positions limited-term 1/1/02 through 6/30/04.

0890 SECRETARY OF STATE

The Secretary of State, a constitutionally established office, is the chief election officer of the State and is responsible for the administration and enforcement of election laws. The office is also responsible for the administration and enforcement of laws pertaining to filing documents associated with corporations, limited partnerships, and the perfection of security agreements. In addition, the office is responsible for the appointment of notaries public, enforcement of notary laws and preservation of documents and records having historical significance. All documents filed are a matter of public record and of historical importance. They are available through prescribed procedures for public review and certification as to authenticity. In addition, the office is responsible for the newly created International Business Relations Program that will promote California's international relations and improve the economic climate for foreign business development by providing specialized services needed to develop stronger connections between California and foreign-based companies.

The executive staff determines policy associated with the administration of the office through the programs of Elections, Political Reform, Business Programs, Archives, Information Technology and Management Services Divisions.

SUMMARY OF PROGRAM REQUIREMENTS

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
05 Business Programs	239.5	275.7	260.8	\$27,798	\$37,749	\$38,092
10 Elections.....	28.4	29.7	33.0	19,549	14,630	14,592
15 Political Reform.....	23.6	22.7	22.8	3,116	3,543	2,938
30 Archives.....	22.3	26.9	28.8	13,540	14,029	14,518
32 Executive.....	31.6	19.2	21.8	3,365	2,822	2,842
Executive Distributed	—	—	—	-3,104	-2,595	-2,614
35 Management Services	49.1	50.5	52.5	5,358	6,026	6,055

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
35 Management Services Distributed	-	-	-	-\$5,093	-\$5,651	-\$5,679
38 Information Technology	29.9	40.2	40.9	8,251	8,846	8,726
Information Technology Distributed	-	-	-	-8,017	-8,529	-8,408
98 State-mandated local programs	-	-	-	7,386	14,590	8,104
TOTALS, PROGRAMS.....	424.4	464.9	460.6	\$72,149	\$85,460	\$79,166
Less amount funded in the Political Reform Act of 1974	-	-	-	(714)	(723)	-726
Less reimbursements authorized in the Political Reform Act of 1974.....	-	-	-	(8)	(8)	-8
NET TOTALS, PROGRAMS	424.4	464.9	460.6	\$72,149	\$85,460	\$78,432
0001 General Fund.....				37,642	45,416	38,742
0228 Secretary of State's Business Fees Fund.....				19,978	29,936	31,248
0274 Business Reinvestment Fund.....				335	2,309	442
0995 Reimbursements				14,194	7,799	8,000

Major Budget Adjustment Included in 2000-01

- An increase of \$581,000 General Fund to implement the provisions of Proposition 34, recently approved by the voters.

Major Budget Adjustments Proposed for 2001-02

- An increase of \$7,275,000 in Business Fees Fund to support Phase II of the Business Programs Automation Project (BPA).
- An increase of \$700,000 in Business Fees Fund, \$266,000 in Reimbursements, and 12.5 positions redirected from other vacancies to respond to additional workload requirements in the Corporate Filing Section of the Business Programs Division.
- An increase of \$250,000 in General Fund and 3.0 positions redirected from other vacancies to address the backlog of archival records processing.
- An increase of \$200,000 in General Fund to augment the Oral History Program of the California State Archives.

05 BUSINESS PROGRAMS DIVISION

Program Objectives Statement

The Business Programs Division was created effective July 1, 1997 by combining the Corporate Filings, Limited Partnership, Uniform Commercial Code and the Notary Public Divisions. The consolidation of these programs will streamline activities and enhance customer service provided to companies and organizations doing business within the State of California.

The Corporate Filings Section files articles of incorporation, foreign qualifications, and related documents to ensure that corporations are properly formed, merged, amended, and dissolved in compliance with California law. The Section provides proprietary control over the proposed corporate name, the formation and changes in the structure of the corporation, and the cancellation and suspension of delinquent corporate entities. The Section also administers the filings of statement of officers, directors and agents for all corporations of record.

The Limited Partnership Section is responsible for filing and maintaining documents pertaining to a variety of non-corporate business entities, including limited partnerships, limited liability companies, limited liability partnerships, general partnerships and unincorporated associations. Once filed, the information is available via written request, over the telephone or in person at our public counter. In addition, the Section processes trademark and service mark filings, domestic partnership declarations, the Roster of Public Agencies, successor-in-interest filings, various types of bonds, including immigration consultants, and many other "special filings".

The Uniform Commercial Code section provides for the filing of financing statements and related documents so that a secured creditor may perfect a security interest in personal property covered by a security agreement as against other creditors. Not only does this program afford a secured creditor some protection against debtor bankruptcy, insolvency or default, but it also provides a prospective lender or seller with the means to determine if there are any previously perfected security interests involving certain personal property. The Section further files notices of federal tax liens against certain business entities, state tax liens and attachment liens against personal property, judgment liens, various agricultural liens, and related documents. The Secretary of State's staff examine all documents presented for filing for statutory compliance. The documents are then filed and open to public inspection. Certificates of filing and copies of filed records are available upon request.

The Notary Public Section appoints qualified persons authorized by Government Code, Chapter 3, Division 1, Title 2 to the office of Notary Public in sufficient numbers to perform a variety of official transactions necessary to fulfill personal and business needs throughout the State. Applicants seeking an appointment to act as a notary public are investigated by the Secretary of State to insure that the applicant has the required honesty and integrity to hold a commission. Investigations are conducted to determine if any administrative, civil or criminal law has been violated by either individuals holding current notary public commissions or by people holding themselves out as such. If so, the Secretary of State's Office takes appropriate action through the use of injunctions, restraining orders, civil penalties, administrative adjudication and criminal prosecution.

Authority

Corporations Code Titles 1-3 and Government Code Section 12180 et seq. Uniform Commercial Code, Chapter 4, Divisions 9, 10 and 11; Uniform Federal Tax Lien Registration Act; Chapter 14, Division 7 of Title 1 of the Government Code relating to the registration of State tax liens; Section 488.375 of the Code of Civil Procedure relating to attachment liens; Division 2, Chapter 1, Article 3, Section 697.510 of the Code of Civil Procedure relating to judgement liens; Sections 9790 and 22900 of the Business and Professions Code; and Sections 55701, 57402, 57510 and 57561 of the Food and Agricultural Code.

10 ELECTIONS

Program Objectives Statement

The Secretary of State, as California's chief election officer, has broad responsibility in the areas of voter registration, the state ballot pamphlet, the initiative process, ballot voting, voting systems, voter participation, candidate certification, reporting of election results, and ensures that the

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

state's elections laws are administered and complied with in a uniform manner. The Secretary of State issues technical information and legal opinions to the public, legislators and staff, and local elections officers regarding elections laws and procedures. The complexity of the state's elections systems requires constant vigilance by the Secretary of State, as well as promulgation of appropriate rules and regulations to ensure adequate and uniform enforcement of state elections laws. The investigations unit investigates allegations of illegal activity in voting, registration, petition circulation and elections administration. The Secretary of State certifies to the nomination and election of candidates; passage or failure of statewide ballot measures; produces and distributes the state ballot pamphlet; and is the central repository for data concerning voter registration and official election results.

Authority

Constitution, Elections Code, Government Code, Federal Voting Rights Act and the Federal Overseas Voting Act.

15 POLITICAL REFORM**Program Objectives Statement**

The Secretary of State administers the campaign and lobbying disclosure provisions of the Political Reform Act of 1974, ensuring timely compliance with filing requirements. Under this law, the Secretary of State registers all campaign recipient committees and assures compliance with statutory reporting requirements through the review of reports filed. The Secretary of State also registers lobbying firms and employers, reviews periodic reports filed by lobbying entities, and publishes a Directory of Lobbyists, Lobbying Firms and Lobbyist Employers. Since January of 2000, this division also supports the electronic filing requirements of the Political Reform Act and posts relevant disclosure information to the Internet so voters can track where campaign money is raised and spent and what lobbying activity is occurring in state government.

Authority

Government Code (Title 9, Political Reform).

30 ARCHIVES**Program Objectives Statement**

The California State Archives acquires, catalogs, indexes, preserves and provides reference access to historic and irreplaceable record material from a wide range of origins within the state, supplemented by an oral history program to fill gaps in the documentary materials. The Archives serves the general public directly and assists state agencies and other institutions by providing an organized and select body of California history from all three branches of government. Without this program for assembling historic information, many essential original materials would be lost or destroyed—leaving the state with a reference vacuum that would jeopardize vital state interests. The State Archives assisted in developing a museum and education program to extend archival resources to wider public audiences, under a partnership agreement with the California Archives Foundation. The Golden State Museum opened in the fall of 1998. Support for the California Heritage Preservation Commission is included in the budget for the State Archives.

Authority

Government Code Sections 6268, 12153, 12173, 12220–12233, 14755, 14901; Civil Code Section 1798.24(j).

32 EXECUTIVE OFFICE**Program Objectives Statement**

The Executive Office develops and manages overall departmental policy, coordinates and disseminates public information, handles correspondence and the scheduling of functions and appointments for the Secretary of State. In addition, the office is responsible for the newly-created International Business Relations Program that will promote California's international relations and improve the economic climate for foreign business development by providing specialized services needed to develop stronger connections between California and foreign-based companies.

Authority

California Business and Professions Code commencing with Section 14233.

35 MANAGEMENT SERVICES**Program Objectives Statement**

The Management Services Division provides the agency with the necessary personnel, general administrative, budgeting and fiscal services necessary to ensure the smooth and efficient operation of the line functions within the agency. Through analysis and research personnel, fiscal, budgetary and other administrative options are developed by Management Services to support the Secretary of State in policy, planning and directing the various programs administered within the agency. It also manages the Safe At Home Program created by Chapter 1005, Statutes of 1998.

38 INFORMATION TECHNOLOGY**Program Objectives Statement**

The Information Technology Division provides expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions. These systems support the various program areas. The Division also provides oversight and sets policy for all information technology projects within the Secretary of State's Office.

98 LOCAL ASSISTANCE**Program Objectives Statement**

The objective of this program is to provide funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs they incur in complying with certain state-mandated local programs. Funding for four ongoing mandates is proposed for inclusion

0890 SECRETARY OF STATE—Continued

in the Budget Act: Voter registration procedures (Ch. 704/75); Absentee ballots (Ch. 77/78); Permanent absentee voters (Ch. 1422/82); and Brendon Maguire Act (Ch. 391/88). In addition, this budget proposes to continue the suspension of five mandates: Voter registration roll purge (Ch. 1401/76); Handicapped voter access (Ch. 494/79); Local elections (Ch. 1013/81); Democratic presidential delegates (Ch. 1603/82); and Election materials (Ch. 1042/85).

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

05 BUSINESS PROGRAMS DIVISION

	1999-00*	2000-01*	2001-02*
TOTALS, BUSINESS PROGRAMS DIVISION	\$27,798	\$37,749	\$38,092
State Operations:			
05.10 Business Programs	18,386	27,668	27,963
05.15 Executive Distribution	2,042	1,629	1,640
05.20 Management Services	3,514	3,953	3,969
05.30 Information Technology.....	3,856	4,499	4,520
0001 General Fund	-	-	-
0228 SOS Business Fees Fund.....	19,245	29,127	30,438
0274 Business Reinvestment Fund.....	335	2,309	442
0995 Reimbursements.....	8,218	6,313	7,212

PROGRAM REQUIREMENTS

10 ELECTIONS

TOTALS, ELECTIONS	\$19,549	\$14,630	\$14,592
State Operations:			
10.10 Election—General	3,003	2,938	2,971
10.20 Ballot Pamphlet Printing.....	6,019	4,300	4,300
10.30 Registration By Mail—Printing	845	404	404
10.40 Ballot Pamphlet Mailing.....	5,776	2,719	2,719
10.50 Registration by Mail—Postage.....	1,228	1,800	1,800
10.51 Election Night Reporting	142	-	-
10.55 Executive Distribution	271	220	221
10.60 Management Services	460	514	516
10.70 Information Technology.....	1,805	1,735	1,661
0001 General Fund	18,622	14,017	14,024
0228 SOS Business Fees Fund.....	538	538	538
0995 Reimbursements.....	389	75	30

PROGRAM REQUIREMENTS

15 POLITICAL REFORM

TOTALS, POLITICAL REFORM	\$3,116	\$3,543	\$2,938
State Operations:			
15.10 Political Reform	1,832	2,291	1,721
15.15 Executive Distribution	141	115	116
15.20 Management Services	236	264	265
15.30 Information Technology.....	907	873	836
0001 General Fund	2,857	3,487	2,185
0995 Reimbursements.....	259	56	19
Less Amount Funded in Political Reform Act.....	(714)	(723)	726
Less Reimbursement in PRA.....	(8)	(8)	8

PROGRAM REQUIREMENTS

30 ARCHIVES

TOTALS, ARCHIVES.....	\$13,540	\$14,029	\$14,518
State Operations:			
30.10 Archives	10,514	11,012	11,517
30.15 Services to Business Fees Programs.....	44	44	44
30.18 Executive Distribution	650	631	637
30.20 Management Services	883	920	929
30.30 Information Technology.....	1,449	1,422	1,391
0001 General Fund	8,464	12,947	14,053
0228 SOS Business Fees Fund.....	44	44	44
0995 Reimbursements.....	5,032	1,038	421

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

1							
2							
3	PROGRAM REQUIREMENTS						
4	32 EXECUTIVE				<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
5							
6	TOTALS, EXECUTIVE.....				\$261	\$227	\$228
7	0001 General Fund.....				110	-	-
8	0228 SOS Business Fees Fund.....				151	227	228
9							
10	PROGRAM REQUIREMENTS						
11	35 MANAGEMENT SERVICES						
12							
13	TOTALS, MANAGEMENT SERVICES.....				\$265	\$375	\$376
14	0001 General Fund.....				203	375	376
15	0995 Reimbursements.....				62	-	-
16							
17	PROGRAM REQUIREMENTS						
18	38 INFORMATION TECHNOLOGY						
19							
20	TOTALS, INFORMATION TECHNOLOGY.....				\$234	\$317	\$318
21	Reimbursements.....				234	317	318
22							
23	TOTALS, EXPENDITURES (State Operations).....				\$64,763	\$70,870	\$71,062
24							
25	PROGRAM REQUIREMENTS						
26	98 STATE-MANDATED LOCAL PROGRAMS						
27							
28	Ch. 704/75—Voter Registration Procedures:						
29	Budget Act.....				\$954	\$1,416	\$1,461
30	Claims bill (Ch. 780/98).....				-	3	-
31	Totals.....				\$954	\$1,419	\$1,461
32	Ch. 77/78—Absentee Ballots:						
33	Budget Act.....				6,111	6,111	6,307
34	Pending legislation set aside for 2000.....				-	5,405	-
35	Totals.....				\$6,111	\$11,516	\$6,307
36	Ch. 1422/82—Permanent absentee voters:						
37	Budget Act.....				253	325	335
38	Claims bill (Ch. 780/98).....				-	944	-
39	Pending legislation set aside for 2000.....				-	385	-
40	Ch. 1042/85—Election Materials:						
41	Claims bill (Ch. 780/98).....				68	-	-
42	Ch. 391/88—Brendon Maguire Act:						
43	Budget Act.....				-	1	1
44	Totals.....				\$7,386	\$14,590	\$8,104
45	Budget Acts.....				7,318	7,853	8,104
46	Claims bills.....				68	6,737	-
47							

SUMMARY BY OBJECT

1 STATE OPERATIONS

55							
56							
57	PERSONAL SERVICES	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
58							
59	Authorized Positions (Equals Sch. 7A).....	424.4	525.5	525.5	\$17,912	\$21,629	\$22,226
60	Total Adjustments.....	-	-	-40.0	-	63	-515
61	Estimated Salary Savings.....	-	-60.6	-24.9	-	-2,549	-1,811
62							
63	Net Totals, Salaries and Wages.....	424.4	464.9	460.6	\$17,912	\$19,143	\$19,900
64	Staff Benefits.....	-	-	-	3,658	4,449	4,620
65							
66	Totals, Personal Services.....	424.4	464.9	460.6	\$21,570	\$23,592	\$24,520
67							
68	OPERATING EXPENSES AND EQUIPMENT.....				\$16,694	\$25,528	\$24,804
69							
70	SPECIAL ITEMS OF EXPENSE						
71	Printing ballot pamphlets.....				6,019	4,300	4,300
72	Mailing ballot pamphlets.....				5,776	2,719	2,719
73	Printing registration cards.....				845	404	404
74	Mailing registration cards.....				1,228	1,800	1,800
75	Election night reporting.....				142	-	-
76							
77	Totals, Special Items.....				\$14,010	\$9,223	\$9,223
78							
79							
80							
81							
82							
83							
84							
85							
86							
87							
88							

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

	1999-00*	2000-01*	2001-02*
Lease Revenue Bond.....	\$12,489	\$12,527	\$12,515
Base Rental Fee.....	12,443	12,467	12,455
Structural Insurance	46	60	60
TOTALS, EXPENDITURES	\$64,763	\$70,870	\$71,062
Less amount funded in the Political Reform Act	(714)	(723)	-726
Less reimbursements in the Political Reform Act.....	(8)	(8)	-8
NET TOTALS, EXPENDITURES	\$64,763	\$70,870	\$70,328

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget appropriation.....	\$20,258	\$21,081	\$21,545
003 Budget Act appropriation (Rental payments on Lease Revenue Bonds; structural insurance shifted from 001 Budget Act appropriation to 003 Budget Act appropriation effective 1997-98).....	85	8,413	9,093
Allocation for employee compensation	760	40	-
Allocation for contingencies and emergencies	5,098	581	-
Adjustment per Section 3.60	-456	-59	-
Adjustment per Section 4.50	3,859	-	-
Adjustment per Section 4.60 (Rental Rate).....	-	8	-
Adjustment per Section 16.00.....	24	-	-
Transfer from Item 8640-001-0001 (Political Reform Act).....	739	723	-
Chapter 360, Statutes of 1999.....	40	-	-
Prior year balances available:			
Chapter 360, Statutes of 1999.....	-	40	-
Totals Available	\$30,407	\$30,827	\$30,638
Balance available in subsequent years	-40	-	-
Unexpended balance, estimated savings	-111	-1	-
TOTALS, EXPENDITURES	\$30,256	\$30,826	\$30,638

0228 Secretary of State's Business Fees Fund ^s

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation.....	\$18,766	\$27,185	\$28,377
003 Budget Act appropriation (Rental payments on Lease Revenue Bonds; structural insurance shifted from 001 Budget Act appropriation to 003 Budget Act appropriation effective 1997-98).....	27	2,654	2,871
Allocation for employee compensation	753	61	-
Adjustment per Section 3.60	-448	-84	-
Adjustment per Section 4.50	1,217	-	-
Adjustment per Section 4.60 (Rental Rate).....	-	2	-
Adjustment per Section 16.00.....	8	-	-
Chapter 991, Statutes of 1999.....	128	-	-
Prior year balances available:			
Chapter 991, Statutes of 1999.....	-	118	-
Totals Available	\$20,451	\$29,936	\$31,248
Balance available in subsequent years	-118	-	-
Unexpended balance, estimated savings	-355	-	-
TOTALS, EXPENDITURES	\$19,978	\$29,936	\$31,248

0274 Business Reinvestment Fund ^s

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation.....	\$375	\$2,309	\$442
Unexpended balance, estimated savings	-40	-	-
TOTALS, EXPENDITURES	\$335	\$2,309	\$442

0995 Reimbursements

Reimbursements	\$14,194	\$7,799	\$8,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$64,763	\$70,870	\$70,328

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund**

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
295 Budget Act appropriation (State Mandates)	\$7,853	\$7,853	\$8,104
Chapter 574, Statutes of 1999 (State Mandates)	3,467	-	-
Adjustment per Government Code Section 17613	-3,467	-	-
Chapter 177, Statutes of 2000 (State Mandates)	-	5,790	-
Prior year balances available:			
Chapter 780, Statutes of 1998 (State Mandates)	1,015	947	-
Totals Available	\$8,868	\$14,590	\$8,104
Balance available in subsequent years	-947	-	-
Unexpended balance, estimated savings	-535	-	-
TOTALS, EXPENDITURES (Local Assistance)	\$7,386	\$14,590	\$8,104
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$72,149	\$85,460	\$78,432

**FUND CONDITION STATEMENT
0228 Secretary of State's Business Fees Fund^s**

	1999-00*	2000-01*	2001-02*
BEGINNING BALANCE	\$976	\$1,000	\$1,000
Prior year adjustments	237	-	-
Balance, Adjusted	\$1,213	\$1,000	\$1,000
REVENUES AND TRANSFERS			
Revenues:			
124100 Domestic Corporation Fees	7,520	8,712	8,712
124200 Foreign Corporation Fees	1,341	1,548	1,548
124300 Notary Public License Fees	963	985	985
124400 Filing Financing Statements	6,520	4,745	5,437
125600 Other Regulatory Fees	3,117	3,534	3,534
142000 General Fees—Secretary of State	13,965	14,000	14,000
142500 Miscellaneous Services	3	5	5
150300 Interest from Surplus Money Investments	772	500	500
161400 Miscellaneous Revenue	198	98	85
164400 CCVIASS	1	-	-
Totals, Revenues	\$34,400	\$34,127	\$34,806
Transfers to Other Funds:			
T00001 General Fund per Government Code Section 12181	-14,635	-4,191	-3,558
Totals, Transfers	-\$14,635	-\$4,191	-\$3,558
Totals, Revenues and Transfers	\$19,765	\$29,936	\$31,248
Totals, Resources	\$20,978	\$30,936	\$32,248
EXPENDITURES			
0890 Secretary of State's Office (State Operations)	19,978	29,936	31,248
FUND BALANCE	\$1,000	\$1,000	\$1,000
Reserve for continuing appropriation	118	-	-
Reserve for unencumbered balance of continuing appropriations	882	1,000	1,000
0274 Business Reinvestment Fund^s			
BEGINNING BALANCE	\$6,139	\$5,804	\$3,495
EXPENDITURES			
0890 Secretary of State's Office (State Operations)	335	2,309	442
FUND BALANCE	\$5,804	\$3,495	\$3,053
Reserve for unencumbered balance of continuing appropriations	5,804	3,495	3,053

* Dollars in thousands, except in Salary Range.

0890 SECRETARY OF STATE—Continued

CHANGES IN AUTHORIZED POSITIONS							
	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*	
Totals, Authorized Positions	424.4	525.5	525.5	\$17,912	\$21,629	\$22,226	
Salary adjustments	-	-	-	-	63	222	
Totals, Adjusted Authorized Positions	424.4	525.5	525.5	\$17,912	\$21,692	\$22,448	
Workload and Administrative Adjustments:							
Reduction in Authorized Positions:				Salary Range			
Assoc Programmer Analyst-Spec	-	-	-0.6	4,110-4,997	-	-36	
Acctg Ofcr-Spec	-	-	-1.0	3,418-4,155	-	-50	
Staff Svcs Analyst	-	-	-2.0	2,507-3,957	-	-95	
Prog Techn III	-	-	-1.0	2,626-3,193	-	-38	
Info Sys Techn	-	-	-3.0	2,207-3,168	-	-114	
Prog Techn II	-	-	-10.0	2,348-2,855	-	-343	
Prog Techn	-	-	-13.4	2,029-2,648	-	-426	
Mail Machines Opr I	-	-	-1.0	2,029-2,648	-	-32	
Acct Clk II	-	-	-1.0	2,104-2,559	-	-31	
Ofc Asst-Typing	-	-	-7.0	1,909-2,465	-	-207	
Totals, Workload and Administrative Adjustments	-	-	-40.0	-	-	-\$1,372	
Proposed New Positions:							
Staff Counsel (Range D)	-	-	(1.0)	5,484-6,763	-	75	
Archivist II	-	-	(3.0)	3,795-4,610	-	157	
Corporation Asst	-	-	(1.0)	3,401-4,134	-	47	
Corporation Document Examiner	-	-	(3.0)	2,872-3,490	-	119	
Supvng Prog Techn II	-	-	(1.0)	2,527-3,072	-	35	
Prog Techn II	-	-	(6.5)	2,258-2,745	-	202	
Totals, Proposed New Positions	-	-	(15.5)	-	-	\$635	
Total Adjustments	-	-	-40.0	-	\$63	-\$515	
Totals, Authorized Positions	424.4	525.5	485.5	\$17,912	\$21,692	\$21,711	

0950 STATE TREASURER

The State Treasurer provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the State; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants drawn by the State Controller and other state agencies.

SUMMARY OF PROGRAM REQUIREMENTS							
	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*	
10 Investment Services	13.7	15.2	15.2	\$2,145	\$2,116	\$2,200	
20 Cash Management	55.8	51.7	51.7	6,728	7,111	7,289	
30 Public Finance	36.8	40.8	42.6	3,718	4,978	4,642	
35 Securities Management	36.6	38.2	35.8	3,976	4,133	4,157	
50 Administration and Information Services	81.2	85.9	85.9	9,481	8,854	8,850	
Distributed Administration	-	-	-	-8,265	-7,625	-7,621	
98 State-mandated local programs	-	-	-	13,566	4,076	3,449	
TOTALS, PROGRAMS	224.1	231.8	231.2	\$31,349	\$23,643	\$22,966	
0001 General Fund	-	-	-	17,601	10,804	10,343	
0240 Local Agency Deposit Security Fund	-	-	-	238	174	-	
0321 Oil Spill Response Trust Fund	-	-	-	-	-	200	
0995 Reimbursements	-	-	-	13,510	12,665	12,423	

Authority

Government Code Sections 12300-12333, 16300-16600, 53661.

10 INVESTMENT SERVICES

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 1999-2000 fiscal year, this Division handled 7,991 security investment transactions totaling \$260.8 billion. The Pooled Money Investment Board program accounted for 5,416 of these transactions totaling \$247.0 billion; time deposits accounted for 1,031 transactions totaling \$13.5 billion. The remaining \$300 million is invested on behalf of the State's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Game, the State's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the Pooled Money Investment Board program. In the 1999-2000 fiscal year, 2,796 local agencies participated in LAIF, with deposits averaging \$12.9 billion for the fiscal year.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

Major Budget Adjustment Proposed for 2001–02

- An increase of \$82,000 (\$12,000 General Fund and \$70,000 reimbursements) and one redirected position (0.9 personnel year) to address increased workload due to increased participation in the Time Deposit Program. (See Securities Management also.)

20 CASH MANAGEMENT

The Cash Management Division is responsible for managing the State’s cash resources as mandated by the Pooled Money Investment Board and Government Code Sections 16500 through 16510. The Division analyzes the State’s cashflow and completes a daily forecast of available cash which allows others to make informed investment and business decisions. The Division is also responsible for administering the Centralized Banking Services Program. An integral part of managing the State’s cash is the Centralized Treasury System which is responsible for processing and reconciling state warrants and agency checks presented by banks for payment, processing stop payment and forgery items, and reconciling all the State’s deposits within the Treasury System. The Division is also responsible for maintaining the State’s vault used for the safekeeping of moneys and securities pursuant to Government Code Section 12320 and for providing security in the handling and processing of billions of dollars in negotiable securities.

Major Budget Adjustment Proposed for 2001–02

- A one-time net increase of \$178,000 (\$519,000 General Fund increase and \$341,000 reimbursement decrease) to process additional State Controller warrants issued for the Vehicle License Fee Offset Program authorized by Chapter 106, Statutes of 2000 (AB 858).

30 PUBLIC FINANCE

The Public Finance Division is responsible for selling all State of California general obligation bonds, revenue anticipation notes, commercial paper notes and many revenue bonds. Interim financing from the Pooled Money Investment Account is also arranged to meet the immediate cash needs of the various bond programs. Interest costs are minimized through a planned bond marketing program. This program includes disseminating information to bondholders through the investor relations program.

The Division also assures compliance with federal tax laws applicable to state debt by investing and reinvesting bond sale proceeds as needed to meet federal yield restriction requirements, tracking expenditures, and computing and rebating arbitrage profit or other payments to the federal government.

Major Budget Adjustments Proposed for 2001–02

- An increase of \$351,000 General Fund and four positions (3.8 personnel years) to implement the General Obligation Variable Rate Demand Bond Program as authorized by Chapter 522, Statutes of 1999 (SB 997).
- A one-time increase of \$200,000 Oil Spill Trust Fund in operating expense and equipment to fund the update of the Oil Spill Prevention and Response Program financing plan for the Department of Fish and Game.

35 SECURITIES MANAGEMENT

The Securities Management Division was created in 1999 and consists of the Bondholder Services Section and the Securities Clearance Section, which were formerly part of the Public Finance Division.

The Securities Management Division is responsible for servicing and redeeming all general obligation bonds, certain revenue bonds and revenue anticipation notes for the State of California. The Division maintains certain ownership information for the bonds and ensures the timely payment of principal and interest to the bondholders.

The Division is responsible for the clearing, settling and accounting for all securities purchased or sold for investment purposes for the Pooled Money Investment Account and other state agencies through its custodian.

The Division is also responsible for the safekeeping of all securities and other personal property owned by or pledged to the State. These securities are held in the Treasurer’s vault or in approved depositories such as the Federal Reserve Bank in San Francisco.

Pursuant to Chapter 127, Statutes of 2000, on January 1, 2001, the Local Agency Security Program transferred back to the Department of Financial Institutions.

Major Budget Adjustment Proposed for 2001–02

- An increase of \$197,000 (\$30,000 General Fund and \$167,000 reimbursements) and two redirected positions (1.9 personnel years) to address increased workload due to increased participation in the Time Deposit Program. (See Investment Services also.)

50 ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer’s Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

98 STATE-MANDATED LOCAL PROGRAMS

This program provides funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs incurred in complying with state mandates.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 INVESTMENT SERVICES

State Operations:	<i>1999–00*</i>	<i>2000–01*</i>	<i>2001–02*</i>
0001 General Fund	\$445	\$486	\$499
0995 Reimbursements.....	1,700	1,630	1,701
Totals, State Operations	\$2,145	\$2,116	\$2,200

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

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3	PROGRAM REQUIREMENTS						
4							
5	20 CASH MANAGEMENT						
6	State Operations:				<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
7	0001 General Fund.....				\$1,027	\$2,784	\$3,303
8	0995 Reimbursements.....				5,701	4,327	3,986
9							
10	Totals, State Operations				\$6,728	\$7,111	\$7,289
11							
12	PROGRAM REQUIREMENTS						
13							
14	30 PUBLIC FINANCE						
15	State Operations:						
16	0001 General Fund.....				\$947	\$1,766	\$1,370
17	0321 Oil Spill Response Trust Fund				—	—	200
18	0995 Reimbursements.....				2,771	3,212	3,072
19							
20	Totals, State Operations				\$3,718	\$4,978	\$4,642
21							
22	PROGRAM REQUIREMENTS						
23							
24	35 SECURITIES MANAGEMENT DIVISION						
25	State Operations:						
26	0001 General Fund.....				\$1,616	\$1,692	\$1,722
27	0240 Local Agency Deposit Security Fund.....				238	174	—
28	0995 Reimbursements.....				2,122	2,267	2,435
29							
30	Totals, State Operations				\$3,976	\$4,133	\$4,157
31							
32	PROGRAM REQUIREMENTS						
33							
34	50 ADMINISTRATION AND INFORMATION SERVICES—						
35	UNDISTRIBUTED						
36	State Operations:						
37	0995 Reimbursements.....				\$1,216	\$1,229	\$1,229
38							
39	Totals, State Operations				\$1,216	\$1,229	\$1,229
40							
41	PROGRAM REQUIREMENTS						
42							
43	98 STATE-MANDATED LOCAL PROGRAMS						
44	Local Assistance:						
45	Ch. 783/95-Investment Reports				\$13,566	\$4,076	\$3,449
46							
47	Totals, Local Assistance				\$13,566	\$4,076	\$3,449
48							
49	TOTAL EXPENDITURES						
50	State Operations				\$17,783	\$19,567	\$19,517
51	Local Assistance.....				13,566	4,076	3,449
52							
53	TOTALS, EXPENDITURES				\$31,349	\$23,643	\$22,966
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59	SUMMARY BY OBJECT						
60	1 STATE OPERATIONS						
61							
62	PERSONAL SERVICES	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
63	Authorized Positions (Equals Sch. 7A).....	224.1	243.8	239.3	\$11,036	\$12,399	\$12,498
64	Total Adjustments	—	—	4.0	—	53	453
65	Estimated Salary Savings	—	-12.0	-12.1	—	-605	-626
66							
67	Net Totals, Salaries and Wages	224.1	231.8	231.2	\$11,036	\$11,847	\$12,325
68	Staff Benefits	—	—	—	1,987	2,198	1,983
69							
70	Totals, Personal Services	224.1	231.8	231.2	\$13,023	\$14,045	\$14,308
71							
72	OPERATING EXPENSES AND EQUIPMENT.....				\$4,760	\$5,522	\$5,209
73							
74	TOTALS, EXPENDITURES				\$17,783	\$19,567	\$19,517
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* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

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10	APPROPRIATIONS	1999-00*	2000-01*	2001-02*
11	001 Budget Act appropriation.....	\$5,079	\$6,702	\$6,894
12	Allocation for employee compensation	329	32	-
13	Adjustment per Section 3.60	-179	-39	-
14	Adjustment per Section 4.60 (Rental Rate).....	-	33	-
15				
16	Totals Available	\$5,229	\$6,728	\$6,894
17	Unexpended balance, estimated savings	-1,194	-	-
18				
19	TOTALS, EXPENDITURES	\$4,035	\$6,728	\$6,894
20				
21				
22				
23	APPROPRIATIONS			
24	001 Budget Act appropriation.....	\$302	\$174	-
25	Allocation for employee compensation	21	-	-
26	Adjustment per Section 3.60	-5	-	-
27				
28	Totals Available	\$318	\$174	-
29	Unexpended balance, estimated savings	-80	-	-
30				
31	TOTALS, EXPENDITURES	\$238	\$174	-
32				
33				
34				
35	APPROPRIATIONS			
36	001 Budget Act appropriation.....	-	-	\$200
37				
38	TOTALS, EXPENDITURES	-	-	\$200
39				
40				
41				
42	0995 Reimbursements			
43	Reimbursements	\$13,510	\$12,665	\$12,423
44	TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$17,783	\$19,567	\$19,517

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RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

61	APPROPRIATIONS	1999-00*	2000-01*	2001-02*
62	295 Budget Act appropriation (State Mandates)	-	\$3,342	\$3,449
63	Chapter 574, Statutes of 1999 (State Mandates: Investment Reports)	\$14,300	-	-
64	Prior year balances available:			
65	Chapter 574, Statutes of 1999 (State Mandates: Investment Reports)	-	734	-
66	Balance available in subsequent years	-734	-	-
67				
68	TOTALS, EXPENDITURES (Local Assistance)	\$13,566	\$4,076	\$3,449
69				
70	TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$31,349	\$23,643	\$22,966

FUND CONDITION STATEMENT
0240 Local Agency Deposit Security Fund ^s

Fund transferred to Department of Financial Institutions (2150) per Chapter 127, Statutes of 2000, Government Code Section 53661.

* Dollars in thousands, except in Salary Range.

0950 STATE TREASURER—Continued

CHANGES IN AUTHORIZED POSITIONS							
	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*	
Totals, Authorized Positions	224.1	243.8	239.3	\$11,036	\$12,399	\$12,498	
Salary adjustments	-	-	-	-	53	74	
Totals, Adjusted Authorized Positions	224.1	243.8	239.3	\$11,036	\$12,452	\$12,572	
Excess Vacant Position Analysis:				Salary Range			
Reduction in Authorized Positions:							
Assoc Treasury Prog Ofcr (Investment Svcs Div)	-	-	-1.0	3,915-4,759	-	-47	
Assoc Treasury Prog Ofcr (Securities Mgt Div)	-	-	-2.0	3,915-4,759	-	-94	
Totals	-	-	-3.0	-	-	-\$141	
Proposed New Positions:							
Assoc Treasury Prog Ofcr	-	-	3.0 ¹	3,915-4,759	-	155	
Totals	-	-	3.0	-	-	\$155	
Proposed New Positions:							
Treasury Prog Mgr II	-	-	1.0 ²	5,211-6,287	-	69	
Treasury Prog Mgr I	-	-	1.0 ²	4,520-5,453	-	60	
Assoc Treasury Prog Ofcr	-	-	2.0 ²	3,915-4,759	-	104	
Temporary Help	-	-	-	-	-	121	
Overtime	-	-	-	-	-	11	
Totals, Proposed New Positions	-	-	4.0	-	-	\$365	
Total Adjustments	-	-	4.0	-	\$53	\$453	
TOTALS, SALARIES AND WAGES	224.1	243.8	243.3	\$11,036	\$12,452	\$12,951	

¹ For Time Deposit Program.
² For General Obligation Variable Rate Demand Bond Program.

0954 SCHOLARSHARE INVESTMENT BOARD

SUMMARY OF PROGRAM REQUIREMENTS							
	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*	
10 Golden State ScholarShare Trust Program (ScholarShare Administrative Fund)	1.1	3.0	3.0	\$94	\$933	\$959	
20 Governor's Scholarship Programs (General Fund)	-	3.0	3.0	-	118,999	119,300	
TOTALS, PROGRAMS	1.1	6.0	6.0	\$94	\$119,932	\$120,259	
0001 General Fund	-	-	-	-	118,999	119,300	
0564 ScholarShare Administrative Fund	-	-	-	94	933	959	

10 Golden State ScholarShare Trust Program

Chapter 851, Statutes of 1997, authorized the California Student Aid Commission (SAC) to establish the Golden State ScholarShare Trust Program, which is a State-sponsored, college savings program. ScholarShare was created to allow Californians the opportunity to invest on a tax-deferred basis to meet the costs of higher education, as allowed by federal law. Participants may invest for their children's college costs at modest levels over a number of years. The money that participants contribute is not taxed until the time of disbursement to the beneficiary's school of choice; it is then taxed at the beneficiary's rate. Administrative costs for the program are paid from the ScholarShare Administrative Fund, which is supported by a portion of the investment returns. Initial expenses of this program were supported by a loan from the General Fund. Program implementation started in 1999.

Chapter 664, Statutes of 1999, established a reconstituted ScholarShare Investment Board (SIB) to oversee and administer the ScholarShare Trust, and moved the ScholarShare Investment Board from the SAC to the umbrella of the State Treasurer's Office, effective January 1, 2000, and made technical changes to the Golden State ScholarShare Trust Act to enhance the program for interested participants.

Authority

Chapter 664, Statutes of 1999, amended Education Code Section 69980.

20 Governor's Scholarship Programs

Chapter 404, Statutes of 2000, established the Governor's Scholarship Programs to be administered by the SIB. The Governor's Scholarship Programs reward public school students who demonstrate high academic achievement, as evidenced by their scores in the 9th, 10th, or 11th grades on certain examinations. Under the guidelines of the Governor's Scholar's Programs, students receive a \$1,000 higher education scholarship award if they either: 1) attain a score on the nationally normed reading and mathematics portions of the Standardized Testing and Reporting (STAR) examination that places them in the top 5 percent of students statewide, or 2) attain a score on the nationally norm-referenced portion of the

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

0954 SCHOLARSHARE INVESTMENT BOARD—Continued

STAR examination that places them in the top 10 percent of students within their school. Under the guidelines of the Governor’s Distinguished Mathematics and Science Scholars Program, a supplemental award of \$2,500 is available to students who also attain specific scores on particular Advanced Placement, International Baccalaureate or Golden State exams. These higher education scholarship awards are invested in a Golden State ScholarShare Trust Account until used by the student for eligible educational expenses.

Major Budget Adjustment Proposed for 2001–02

- \$118 million General Fund for the Governor’s Scholarship Programs.

Authority

Chapter 404, Statutes of 2000, added Article 20 (commencing with Section 69995) to Chapter 2 of Part 42 to the Education Code.

SUMMARY BY OBJECT
1 STATE OPERATIONS

	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	1.1	6.0	6.0	\$62	\$321	\$332
Net Totals, Salaries and Wages	1.1	6.0	6.0	\$62	\$321	\$332
Staff Benefits	–	–	–	10	45	40
Totals, Personal Services	1.1	6.0	6.0	\$72	\$366	\$372
OPERATING EXPENSES AND EQUIPMENT				\$22	\$1,566	\$1,887
TOTALS, EXPENDITURES				\$94	\$1,932	\$2,259

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

	1999–00*	2000–01*	2001–02*
APPROPRIATIONS			
001 Budget Act appropriation.....	–	\$1,000	\$1,300
Allocation for employee compensation	–	1	–
Adjustment per Section 3.60	–	–2	–
TOTALS, EXPENDITURES	–	\$999	\$1,300
0564 ScholarShare Administrative Fund ^a			
APPROPRIATIONS			
001 Budget Act appropriation.....	–	\$934	\$959
Transfer from Student Aid Commission (7980-001-0564) per Chapter 664, Statutes of 1999.....	\$732	–	–
Allocation for employee compensation	8	1	–
Adjustment per Section 3.60	–11	–2	–
Totals Available	\$729	\$933	\$959
Unexpended balance, estimated savings	–635	–	–
TOTALS, EXPENDITURES	\$94	\$933	\$959
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$94	\$1,932	\$2,259

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

	1999–00*	2000–01*	2001–02*
APPROPRIATIONS			
101 Budget Act appropriation.....	–	–	\$118,000
Chapter 404, Statutes of 2000 (Governor’s Scholarship Programs).....	–	\$118,000	–
TOTALS, EXPENDITURES (Local Assistance)	–	\$118,000	\$118,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$94	\$119,932	\$120,259

* Dollars in thousands, except in Salary Range.

0956 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

The California Debt Advisory Commission (CDAC), was created by Chapter 1088, Statutes of 1981, to assist state and local governments to effectively and efficiently issue, monitor and manage public debt. Under Chapter 833, Statutes of 1996, the CDAC's responsibilities were expanded to include a municipal education and oversight program to help local governments safely and effectively invest public funds. Under this law, the Commission was renamed the California Debt and Investment Advisory Commission (CDIAC) in recognition of its responsibilities related to the investment of public funds. Chapter 470, Statutes of 1998, requires the CDIAC to prepare an annual report of outstanding public debt in California.

To carry out its responsibilities, the Commission maintains a database of all public debt issued in California, conducts continuing education programs in the public debt and public investment areas, publishes a monthly newsletter with debt issuance data and informative articles, and conducts research to develop reports, guidelines and briefs on topical issues in public finance.

State and local issuers of public debt in California are required to give written notice of proposed sales to the Commission 30 days prior to the sale date. This must be followed up by a report of final sale submitted no later than 45 days after the specific sale date. Nonprofit student loan corporations, organized for the purpose of acquiring student loans, also must report proposed debt issues to the Commission. The Commission is authorized to charge fees not to exceed one-fortieth of one percent of the principal amount of the issue, up to a maximum of \$5,000 for any one issue. The fees collected are deposited into the California Debt and Investment Advisory Commission Fund (CDIACF) to cover the administrative costs of the Commission.

The Commission consists of nine members including the State Treasurer, who is chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; and two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly and, two members of the Senate appointed by the Senate Committee on Rules.

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 California Debt and Investment						
Advisory Commission	12.6	16.0	16.0	\$1,424	\$1,798	\$1,778
0171 California Debt and Investment Advisory Commission Fund.....				1,353	1,698	1,678
0995 Reimbursements				71	100	100

Authority

Government Code Sections 8855-8859.

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Authorized Positions (Equals Sch. 7A)	12.6	16.0	16.0	\$650	\$844	\$871
Net Totals, Salaries and Wages	12.6	16.0	16.0	\$650	\$844	\$871
Staff Benefits	-	-	-	130	143	149
Totals, Personal Services	12.6	16.0	16.0	\$780	\$987	\$1,020
OPERATING EXPENSES AND EQUIPMENT				\$644	\$811	\$758
TOTALS, EXPENDITURES				\$1,424	\$1,798	\$1,778

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0171 California Debt and Investment Advisory Commission Fund ^s

APPROPRIATIONS	1999-00*	2000-01*	2001-02*
001 Budget Act appropriation	\$1,641	\$1,694	\$1,678
Allocation for employee compensation	72	4	-
Adjustment per Section 3.60	-50	-6	-
Adjustment per Section 4.60 (Rental Rate)	-	6	-
Totals Available	\$1,663	\$1,698	\$1,678
Unexpended balance, estimated savings	-310	-	-
TOTALS, EXPENDITURES	\$1,353	\$1,698	\$1,678
0995 Reimbursements			
Reimbursements	\$71	\$100	\$100
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,424	\$1,798	\$1,778

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0956 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION—Continued

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FUND CONDITION STATEMENT
0171 California Debt and Investment Advisory Commission Fund^s

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
BEGINNING BALANCE.....	\$3,779	\$4,075	\$3,792
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	1,435	1,200	1,100
150300 Income from surplus money investments.....	214	215	200
Totals, Revenues and Transfers.....	<u>\$1,649</u>	<u>\$1,415</u>	<u>\$1,300</u>
Totals, Resources.....	<u>\$5,428</u>	<u>\$5,490</u>	<u>\$5,092</u>
EXPENDITURES			
Disbursements:			
0956 California Debt and Investment Advisory Commission (State Operations).....	<u>1,353</u>	<u>1,698</u>	<u>1,678</u>
FUND BALANCE.....	\$4,075	\$3,792	\$3,414
Reserve for economic uncertainties	4,075	3,792	3,414

0959 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

The California Debt Limit Allocation Committee was created through a proclamation signed by the Governor on July 19, 1984, in response to the enactment of the Federal Tax Reform Act of 1984. Another proclamation in response to the Federal Tax Reform Act of 1986 was signed by the Governor on September 30, 1986. Chapter 943, Statutes of 1987, supersedes the prior proclamations as the continuing authority for the Committee's operation. The Tax Reform Acts of 1984 and 1986 limit the dollar volume of federally tax-exempt "private activity" bonds which may be sold in any one state during a calendar year. The term "private activity", as applied to tax-exempt bonds, generally includes industrial development bonds, housing bonds and exempt facilities bonds for solid waste disposal. The Tax Reform Act of 1986, in addition to further limiting the purposes for which federally tax-exempt "private activity" bonds may be issued, reduces the dollar volume limit of such bonds to approximately \$1.6 billion (\$50 per capita) for California after 1987. As a result of the 1998 Omnibus Budget Act, the per capita dollar amount will increase in increments beginning in 2003 to \$75 per capita in 2007.

The Committee oversees the State's allocation system for the issuance of "private activity" bonds under the provisions of Chapter 943, Statutes of 1987. The Committee is comprised of the State Treasurer, as chairperson; the Governor or, upon his designation, the Director of Finance; and the State Controller.

The Committee is funded on a fee-supported basis.

Authority

Chapter 943, Statutes of 1987.

SUMMARY OF PROGRAM REQUIREMENTS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
10 California Debt Limit Allocation Committee (California Debt Limit Allocation Committee Fund).....	6.3	8.0	8.0	\$823	\$868	\$875

SUMMARY BY OBJECT

1 STATE OPERATIONS

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	6.3	8.0	8.0	\$323	\$404	\$416
Net Totals, Salaries and Wages	6.3	8.0	8.0	\$323	\$404	\$416
Staff Benefits	-	-	-	53	60	63
Totals, Personal Services	6.3	8.0	8.0	\$376	\$464	\$479
OPERATING EXPENSES AND EQUIPMENT.....				<u>\$447</u>	<u>\$404</u>	<u>\$396</u>
TOTALS, EXPENDITURES				<u>\$823</u>	<u>\$868</u>	<u>\$875</u>

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

0959 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE—Continued

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0169 California Debt Limit Allocation Committee Fund ^s

	1999-00*	2000-01*	2001-02*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$676	\$865	\$875
Allocation for employee compensation	27	2	-
Increase expenditure authority per Provision 1.....	148	-	-
Adjustment per Section 3.60	-19	-1	-
Adjustment per Section 4.60 (Rental Rate).....	-	2	-
Totals Available	\$832	\$868	\$875
Unexpended balance, estimated savings	-9	-	-
TOTALS, EXPENDITURES (State Operations).....	\$823	\$868	\$875

FUND CONDITION STATEMENT

0169 California Debt Limit Allocation Committee Fund ^s

	1999-00*	2000-01*	2001-02*
BEGINNING BALANCE.....	\$3,092	\$3,159	\$3,194
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	706	701	707
150300 Income from surplus money investments.....	184	202	202
Totals, Revenues and Transfers.....	\$890	\$903	\$909
Totals, Resources	\$3,982	\$4,062	\$4,103
EXPENDITURES			
Disbursements:			
0959 California Debt Limit Allocation Committee (State Operations).....	823	868	875
FUND BALANCE.....	\$3,159	\$3,194	\$3,228
Reserve for economic uncertainties	3,159	3,194	3,228

0965 CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION

The California Industrial Development Financing Advisory Commission (CIDFAC) was created by Chapter 1358, Statutes of 1980. The State Treasurer serves as chairperson of the Commission. The other members are the Director of Finance, the State Controller, the Secretary of the Trade and Commerce Agency, and the Commissioner of Corporations.

Chapter 1358 allows cities and counties to issue industrial development revenue bonds. Bonds issued under this program are not a debt, liability or a pledge of the faith and credit nor the taxing power of the State of California. The Bonds are subject to the State's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986, and allocated by the California Debt Limit Allocation Committee.

The program is intended to benefit economically distressed areas within the State. The proceeds of the bonds provide industry with an alternative method of financing capital outlay to acquire, construct or rehabilitate facilities which will increase employment or otherwise contribute to economic development.

Expenses of local industrial development authorities are funded by fees collected from applicants for financing under the program. Direct expenses of the Commission and those of the Office of the State Treasurer are also funded by fees collected from applicants and from bond proceeds.

Chapter 1605, Statutes of 1982, as amended by Chapter 1109, Statutes of 1987, provides that the aggregate amount of bonds issued shall not exceed \$350 million per calendar year for federally tax-exempt issues and a like amount for federally taxable issues.

Chapter 913, Statutes of 1994, provides financing incentives under the State Enterprise Zone Act.

Chapter 1035, Statutes of 1998, extended the authority for the Commission to issue tax-exempt Industrial Development Bonds until January 1, 2004.

Chapter 863, Statutes of 1999, created the Revolving Loan Fund Securitization Program within the Commission. The new program allows the Commission to issue bonds to securitize local economic development revolving loan funds.

Authority

Government Code Sections 91500 to 91564, Financial Code Section 1364, Insurance Code Section 1192.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

**0965 CALIFORNIA INDUSTRIAL DEVELOPMENT
FINANCING ADVISORY COMMISSION—Continued**

**SUMMARY OF PROGRAM
REQUIREMENTS**

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
10 California Industrial Development						
9 Financing Advisory Commission..	2.3	4.0	4.0	\$305	\$524	\$537
10 <i>0215 Industrial Development Fund</i>				305	451	468
11 <i>0297 Community and Economic Development Fund</i>				—	73	69

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
PERSONAL SERVICES						
21 Authorized Positions (Equals Sch. 7A).....	2.3	4.0	4.0	\$170	\$277	\$284
22 Net Totals, Salaries and Wages	2.3	4.0	4.0	\$170	\$277	\$284
24 Staff Benefits	—	—	—	30	40	43
25 Totals, Personal Services	2.3	4.0	4.0	\$200	\$317	\$327
27 OPERATING EXPENSES AND EQUIPMENT				\$105	\$207	\$210
28 TOTALS, EXPENDITURES				\$305	\$524	\$537

**RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0215 Industrial Development Fund ^s**

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
40 001 Budget Act appropriation.....	\$447	\$447	\$468
41 Allocation for employee compensation	16	2	—
42 Adjustment per Section 3.60	-13	—	—
43 Adjustment per Section 4.60 (Rental Rate).....	—	2	—
45 Totals Available	\$450	\$451	\$468
46 Unexpended balance, estimated savings	-145	—	—
48 TOTALS, EXPENDITURES	\$305	\$451	\$468

0297 Community and Economic Development Fund ^b

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
53 001 Budget Act appropriations (expenditures)	—	\$73	\$69
55 TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$305	\$524	\$537

**FUND CONDITION STATEMENT
0215 Industrial Development Fund ^s**

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
BEGINNING BALANCE.....	\$1,142	\$1,021	\$795
64 Prior year adjustment	—	—	—
66 Balance, Adjusted.....	\$1,142	\$1,021	\$795
REVENUES AND TRANSFERS			
69 Revenues:			
70 125600 Other regulatory fees (application fees).....	124	180	180
71 150300 Income from surplus money investments	60	100	60
73 Totals, Revenues	\$184	\$280	\$240
74 Transfers to Other Funds:			
75 T00297 Loan to Community and Economic Development Fund per			
76 Government Code Section 9155	—	-55	-55
78 Totals, Transfers to Other Funds	—	-\$55	-\$55
80 Totals, Revenues and Transfers	\$184	\$225	\$185
82 Totals, Resources	\$1,326	\$1,246	\$980

* Dollars in thousands, except in Salary Range.

**0965 CALIFORNIA INDUSTRIAL DEVELOPMENT
FINANCING ADVISORY COMMISSION—Continued**

1				
2				
3				
4				
5	EXPENDITURES			
6	Disbursements:			
7	0965 California Industrial Development Financing Advisory Commission	1999-00*	2000-01*	2001-02*
8	(State Operations).....	\$305	\$451	\$468
9		<u> </u>	<u> </u>	<u> </u>
10	FUND BALANCE.....	\$1,021	\$795	\$512
11	Reserve for economic uncertainties	1,021	795	512

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

Chapter 1097, Statutes of 1981, established the California Mortgage Bond Allocation Committee for the purpose of approving mortgage revenue bond allocations. In response to the 1986 Federal Tax Reform Act, the Committee was authorized by Chapter 688, Statutes of 1987, to allocate federal Low-Income Housing Tax Credits (LIHTC) to foster development of affordable rental housing. The program provides tax credits of \$1.25 per capita per calendar year. Chapter 943, Statutes of 1987, transferred authorization for approving mortgage revenue bond allocations to the California Debt Limit Allocation Committee. Chapter 1138, Statutes of 1987, established a state tax credit program, similar to the LIHTC, making available up to \$35 million per year. Chapter 9, Statutes of 1998, increased the state tax credit ceiling for building low-income rental housing from \$35 million to \$50 million per year for calendar years 1998 and 1999. Chapter 3, Statutes of 2000, made permanent the increase in state tax credit ceiling as provided in Chapter 9. As of December 31, 1999, a total of \$557,465,123 federal credit, and \$537,022,845 of state credit, has been allocated.

Chapter 166, Statutes of 1990, renamed the California Mortgage Bond Allocation Committee to the "California Tax Credit Allocation Committee" (CTCAC). The CTCAC consists of seven members, including the Treasurer who is designated as chairman, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency and two local government representatives.

Chapter 1164, Statutes of 1994, established a subaccount within the Tax Credit Allocation Fee Account named the Occupancy Compliance Monitoring Account. One-time monitoring fees are collected and deposited into this subaccount for the purpose of paying the costs of monitoring projects receiving allocations of tax credits for compliance with federal and state laws for a 55-year compliance period. The fees and interest earnings will be used to fund the compliance activities during the 55-year period. This monitoring program applies to all projects awarded credits since 1987 including some 1,564 projects (92,413 units) as of December 31, 1999.

Chapter 954, Statutes of 1996, established the Farmworker Housing Assistance Program making available up to \$500,000 per year of state tax credits for construction of farmworker housing. As of December 31, 1999, a total of \$770,000 in tax credit has been awarded under the Farmworker Housing Assistance Program.

The CTCAC's activities are funded from fees paid by applicants for tax credits.

Authority

Chapter 1097, Statutes of 1981; Chapters 688, 943, and 1138, Statutes of 1987; Chapter 166, Statutes of 1990; Chapter 1164, Statutes of 1994; Chapter 954, Statutes of 1996; Chapter 9, Statutes of 1998, and Chapter 3, Statutes of 2000.

SUMMARY OF PROGRAM

REQUIREMENTS	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
10 California Tax Credit Allocation Committee	17.4	24.1	24.1	\$2,142	\$2,470	\$2,645
0448 Occupancy Compliance Monitoring Account.....				605	985	1,039
0457 Tax Credit Allocation Fee Account.....				1,498	1,455	1,576
0995 Reimbursements				39	30	30

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	99-00	00-01	01-02	1999-00*	2000-01*	2001-02*
Authorized Positions (Equals Sch. 7A)	17.4	24.1	24.1	\$822	\$1,113	\$1,161
Net Totals, Salaries and Wages	17.4	24.1	24.1	\$822	\$1,113	\$1,161
Staff Benefits	-	-	-	155	172	181
Totals, Personal Services	17.4	24.1	24.1	\$977	\$1,285	\$1,342
OPERATING EXPENSES AND EQUIPMENT				\$771	\$1,043	\$1,161
SPECIAL ITEMS OF EXPENSE				5	6	6
TOTALS, EXPENDITURES				\$1,753	\$2,334	\$2,509

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE—Continued

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS

0448 Occupancy Compliance Monitoring Account ^s

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$648	\$986	\$1,039
Allocation for employee compensation	32	1	-
Adjustment per Section 3.60	-18	-5	-
Adjustment per Section 4.60 (Rental Rate).....	-	3	-
Totals Available	<u>\$662</u>	<u>\$985</u>	<u>\$1,039</u>
Unexpended balance, estimated savings	<u>-57</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$605</u>	<u>\$985</u>	<u>\$1,039</u>

0457 Tax Credit Allocation Fee Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$1,258	\$1,311	\$1,434
Health and Safety Code Section 50199.9(b).....	5	6	6
Allocation for employee compensation	60	1	-
Adjustment per Section 3.60	-43	-3	-
Adjustment per Section 4.60 (Rental Rate).....	-	4	-
Totals Available	<u>\$1,280</u>	<u>\$1,319</u>	<u>\$1,440</u>
Unexpended balance, estimated savings	<u>-171</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$1,109</u>	<u>\$1,319</u>	<u>\$1,440</u>

0995 Reimbursements

Reimbursements	<u>\$39</u>	<u>\$30</u>	<u>\$30</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	<u>\$1,753</u>	<u>\$2,334</u>	<u>\$2,509</u>

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE

0457 Tax Credit Allocation Fee Account ^s

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
APPROPRIATIONS			
Health and Safety Code Section 50199.9(b) (expenditures)	<u>\$389</u>	<u>\$136</u>	<u>\$136</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	<u>\$2,142</u>	<u>\$2,470</u>	<u>\$2,645</u>

FUND CONDITION STATEMENT
0448 Occupancy Compliance Monitoring Account ^s

	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
BEGINNING BALANCE.....	\$13,017	\$17,358	\$21,613
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	4,129	4,200	4,200
150300 Income from surplus money investments.....	817	1,040	1,317
Totals, Revenues	<u>\$4,946</u>	<u>\$5,240</u>	<u>\$5,517</u>
Totals, Resources	<u>\$17,963</u>	<u>\$22,598</u>	<u>\$27,130</u>
EXPENDITURES			
0968 California Tax Credit Allocation Committee (State Operations)	<u>605</u>	<u>985</u>	<u>1,039</u>
FUND BALANCE.....	\$17,358	\$21,613	\$26,091
Reserve for economic uncertainties	17,358	21,613	26,091

* Dollars in thousands, except in Salary Range.

0968 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE—Continued

0457 Tax Credit Allocation Fee Account ^{s 1}	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
BEGINNING BALANCE.....	\$16,386	\$18,983	\$21,835
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	3,090	3,200	3,200
150300 Income from surplus money investments.....	999	1,107	1,282
161000 Escheat of Unclaimed Checks and Warrants.....	6	-	-
Totals, Revenues.....	<u>\$4,095</u>	<u>\$4,307</u>	<u>\$4,482</u>
Totals, Resources.....	<u>\$20,481</u>	<u>\$23,290</u>	<u>\$26,317</u>
EXPENDITURES			
Disbursements:			
0968 California Tax Credit Allocation Committee:			
State Operations.....	1,109	1,319	1,440
Local Assistance.....	389	136	136
Totals, Disbursements.....	<u>\$1,498</u>	<u>\$1,455</u>	<u>\$1,576</u>
FUND BALANCE.....	\$18,983	\$21,835	\$24,741
Reserve for economic uncertainties.....	18,983	21,835	24,741

¹ Fund 0457-Mortgage Bond and Tax Credit Allocation Fee Account, Renamed the Tax Credit Allocation Fee Account pursuant to Chapter 1164, Statutes of 1994.

**0971 CALIFORNIA ALTERNATIVE ENERGY AND
ADVANCED TRANSPORTATION FINANCING AUTHORITY**

The California Alternative Energy Source Financing Authority was established by Chapter 908, Statutes of 1980, for the purpose of providing California industry an alternative method of financing the construction and installation of facilities using alternative methods and sources of energy. Such construction can help meet the energy needs of the State in a manner which minimizes degradation of the environment and conserves scarce energy resources.

Chapter 1218, Statutes of 1994, renamed the Authority to the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) and thereby expanded the purpose of the Authority to include the financing and development of advanced transportation technologies.

The Authority consists of five members: the State Treasurer (Chairperson); the State Controller; the Director of Finance; the Chairperson of the Energy Resources Conservation and Development Commission; and the President of the Public Utilities Commission.

The Authority is empowered to establish criteria for projects selected for financing, issue revenue bonds, enter into loan agreements for the sale, construction, installation, or acquisition of projects, and assist small business entities in locating a funding source for projects not financed by the Authority.

With the exception of the projects specified in the transition rules of the Federal Tax Reform Act of 1986, projects over \$10 million generally are no longer eligible for financing with federally tax-exempt bonds; however, such projects could be financed by bonds which are exempt from state taxation. Other federally tax-exempt bonds of the Authority, in general, are subject to the State's "private activity" bond ceiling as specified in the Tax Reform Act and allocated by the California Debt Limit Allocation Committee.

The Authority is authorized to issue up to \$350 million in revenue bonds to finance alternative energy projects pursuant to Chapter 328, Statutes of 1994. As of June 30, 2000, \$181.6 million in bonds had been sold.

Authority

Public Resources Code Sections 26004-26017.

**SUMMARY OF PROGRAM
REQUIREMENTS**

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
10 Alternative Energy and Advanced Transportation Financing Authority (California Alternative Energy Authority Fund).....	0.8	1.0	1.0	\$98	\$164	\$165

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

	<i>99-00</i>	<i>00-01</i>	<i>01-02</i>	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	0.8	1.0	1.0	\$62	\$78	\$79
Net Totals, Salaries and Wages.....	0.8	1.0	1.0	\$62	\$78	\$79
Staff Benefits.....	-	-	-	11	17	18
Totals, Personal Services.....	<u>0.8</u>	<u>1.0</u>	<u>1.0</u>	<u>\$73</u>	<u>\$95</u>	<u>\$97</u>
OPERATING EXPENSES AND EQUIPMENT.....				\$25	\$69	\$68
TOTALS, EXPENDITURES.....				<u>\$98</u>	<u>\$164</u>	<u>\$165</u>

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

**0971 CALIFORNIA ALTERNATIVE ENERGY AND
ADVANCED TRANSPORTATION FINANCING AUTHORITY—Continued**

**RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS**

0528 California Alternative Energy Authority Fund ⁿ

APPROPRIATIONS	<i>1999-00*</i>	<i>2000-01*</i>	<i>2001-02*</i>
001 Budget Act appropriation.....	\$125	\$163	\$165
Allocation for employee compensation	7	1	-
Increase expenditure authority per Provision 1.....	26	-	-
Adjustment per Section 3.60	-4	-	-
	<hr/>	<hr/>	<hr/>
Totals Available	\$154	\$164	\$165
Unexpended balance, estimated savings	-56	-	-
	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>
TOTALS, EXPENDITURES (State Operations).....	\$98	\$164	\$165

0974 CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY

The California Pollution Control Financing Authority (CPCFA) was established by Chapter 1257, Statutes of 1972, to provide California businesses with a reasonable method of financing pollution control facilities and to foster compliance with government imposed environmental standards and requirements. The CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of Finance.

Industrial firms and agricultural producers receive funds from the sale of CPCFA revenue bonds for the acquisition, construction, or installation of pollution control facilities to meet environmental requirements mandated by public agencies. Companies that have received assistance through the program include food processors, cooperatives, manufacturers, waste disposal and resource recovery firms, metal platers, public utilities, refiners and marketers. As of June 30, 2000, bonds totaling \$9.961 billion have been sold by the CPCFA for pollution control projects. Projects ranging from \$10,000 to approximately \$500 million have been financed through the CPCFA.

Chapter 342, Statutes of 1985, authorized the CPCFA to establish a Small Business Assistance Fund. Small businesses usually do not have access to financial markets in the same way that larger businesses do. The Small Business Assistance Tax-Exempt Bond Program gives small businesses "access" to the financial markets by issuing bonds on behalf of the borrower and provides other financial and technical assistance to applicants. As of June 30, 2000, bonds totaling \$333.74 million have been issued by the CPCFA for small business projects. The CPCFA also administers the California Capital Access Program (CalCAP). CalCAP encourages banks and other financial institutions to make loans to small businesses. From its inception in 1994 through June 30, 2000, CalCAP has enabled \$392 million in loans to small businesses.

The Federal Reform Act of 1986 constrained the ability of the CPCFA to issue tax-exempt bonds for private uses (i.e., air and water pollution control projects). In addition, the federal tax legislation imposed a ceiling on the total amount of tax exempt bonds which can be sold in each state. Thus, the CPCFA's projects must now compete with those from other California authorities and financing agencies for debt allocation. This limitation has resulted in some of the CPCFA's projects being only partially funded by tax exempt bonds and taxable bonds.

Recently, the CPCFA's bond sales have been (1) for refinancing previous bond issues and (2) for the financing of resource recovery projects and solid waste disposal projects required by the California Integrated Waste Management Act of 1989. This Act (Chapter 1095, Statutes of 1989) mandated cities and counties to divert 25 percent of solid waste from landfills through source reduction, recycling, and composting by January 1, 1995. A 50 percent diversion was mandated by January 1, 2000. Integrated Waste Management programs are expected to be a large component of the CPCFA's workload until the requirements of the California Integrated Waste Management Act of 1989 have been met.

Chapter 914, Statutes of 2000, authorizes CPCFA to award up to \$2.5 million in grants and loans to assist economically struggling communities. Cities and counties may use the funds to develop and implement sustainable development and sound environmental policies and programs. The program will sunset on January 1, 2007.

Chapter 915, Statutes of 2000, authorizes CPCFA to provide financing assistance for remediation and development of brownfield sites. Initial program efforts will focus on providing technical assistance and financing assessment costs through forgivable loans.

Authority

Health and Safety Code Sections 44500-44520 and 44526-44548.

0977 CALIFORNIA HEALTH FACILITIES FINANCING AUTHORITY

The California Health Facilities Financing Authority (CHFFA) was established by Chapter 1033, Statutes of 1979. The CHFFA consists of nine members: the State Treasurer, the Director of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

The CHFFA issues revenue bonds to assist qualified private nonprofit corporations or associations, counties and hospital districts in financing or refinancing the construction, equipping or acquiring of health facilities. The funding or refinancing is accomplished by making loans to health institutions, by direct purchase and leaseback of the health facility by the CHFFA, or by a health institution acting as an agent for the CHFFA. The CHFFA can determine the location and character of any proposed project and can solicit state and federal mortgage insurance for any funded project. To qualify for funding under the Act, the project must be a health facility, as defined in the Act, operated by a private nonprofit corporation or association, city, county and county, county or hospital district. Numerous statutes enacted between 1983 and 1989 broadened the types of facilities that may be financed by the CHFFA.

Prior to September 30, 1998, the CHFFA was authorized to have outstanding at any one point in time up to \$5.999 billion in revenue bonds. Chapter 1035, Statutes of 1998, eliminated the limitation on bonds outstanding in its entirety.

**For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.**

0977 CALIFORNIA HEALTH FACILITIES FINANCING AUTHORITY—Continued

The Federal Tax Reform Act of 1986 does not restrict health facility bonds in terms of the State’s “private activity” bond limit. Bonds issued under this program are not a debt or liability or a pledge of faith and credit of the taxing power of the State or any of its political subdivisions. The full faith and credit of the participating institutions are pledged to the payment of bonds. This is a trust activity and all operating expenses must be paid from revenues and other moneys available to the CHFFA.

In 1999–00, the Legislature provided a one-year \$5 million General Fund augmentation to the CHFFA to continue the HELP II Loan Program. The HELP II Loan Program provides low cost loans to small and/or rural public or non-profit health facilities to expand services to clients, purchase equipment, renovate facilities, and refinance higher interest rate loans to stabilize cash flow.

Chapter 99, Statutes of 2000, establishes the Cedillo-Alarcon Community Care Investment Act of 2000, which authorizes CHFFA to award grants to eligible primary care clinics for capital outlay projects. The Budget Act of 2000 includes a one-time \$50 million General Fund augmentation for transfer to CHFFA Fund to award grants in amounts not to exceed \$250 thousand to any clinic facility. CHFFA is developing selection criteria and a process for awarding the grants and anticipates the disbursement of the funds during 2000–01 and 2001–02. The Authority will report to the Joint Legislative Budget Committee on the recipients of grants upon disbursement of all grant funds.

Authority

Government Code Sections 15430–15463.

SUMMARY OF PROGRAM

REQUIREMENTS	99–00	00–01	01–02	1999–00*	2000–01*	2001–02*
10 California Health Facilities Financing Authority	–	–	–	\$5,000	\$50,000	–
0001 General Fund				5,000	50,000	–

**SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS**

2 LOCAL ASSISTANCE

0001 General Fund

	1999–00*	2000–01*	2001–02*
101 Budget Act appropriation	\$5,000	\$50,000	–
TOTALS, EXPENDITURES (Local Assistance)	\$5,000	\$50,000	–

**0983 CALIFORNIA URBAN WATERFRONT AREA RESTORATION
FINANCING AUTHORITY**

The California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was created by Chapter 1264, Statutes of 1983. It consists of five members: the State Treasurer (Chairperson); the Director of Finance; the State Controller; the Secretary for Resources; and the Executive Officer of the State Coastal Conservancy.

The Authority was established to issue up to \$650 million in revenue bonds to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the State’s “private activity” bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. To date, the Authority has sold \$3.33 million in revenue bonds for the Santa Monica International American Youth Hostel.

Authority

Public Resources Code Sections 32000–32003.

0985 CALIFORNIA SCHOOL FINANCE AUTHORITY

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established the California School Finance Authority and authorized the issuance of \$400 million in revenue bonds or other debt instruments. The proceeds from the sale of the bonds are available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, acquisition of portable/relocatable buildings, and to provide working capital. The proceeds of loan repayments provide the resources necessary for payment of bond debt service. All expenditures of the Authority for debt service and other expenses must be paid from the revenues available to the Authority. Effective January 1, 1997, Chapter 1071, Statutes of 1996, authorized the issuance of an additional \$400 million in revenue bonds per fiscal year for school districts and county offices of education that agree to guarantee payment of the bonds with Proposition 98 funds. The total outstanding amount may not exceed \$4 billion at any one time. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the \$400 million annual issuance cap is eliminated.

For the list of standard (lettered) footnotes, see the end of the Governor’s Budget.

* Dollars in thousands, except in Salary Range.

0985 CALIFORNIA SCHOOL FINANCE AUTHORITY—Continued

Pursuant to Chapter 718, Statutes of 1999, as amended by Chapter 193, Statutes of 2000 (effective January 1, 2001), the Authority is authorized to provide interim financing to school districts in anticipation of receiving Proposition 1A bond funding from the State Allocation Board. The Authority issued \$47.8 million in interim financing to school districts that would otherwise have waited until the second phase of Proposition 1A bond funds, which became available in July 2000.

As of July 1, 2000, \$47.9 million in bonds and notes were outstanding for projects at 16 school districts.

Authority

Education Code Sections 17170–17199.5.

0989 CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY

The California Educational Facilities Authority (CEFA) is a public instrumentality of the State comprised of five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor. All members serve four-year terms.

The Authority issues revenue bonds to assist private educational institutions of higher learning in the expansion and construction of nonsectarian educational facilities. Facilities used for sectarian instruction or as a place of religious worship are not eligible for financing from the Authority. Through its ability to issue tax-exempt bonds, the Authority provides lower cost financing to these institutions than they would be able to secure on the open market. Bonds issued by the Authority are not a debt or liability or a pledge of the faith and credit of the taxing power of the State or any of its political subdivisions. Instead, the full faith and credit of the participating institutions are pledged to the payment of bonds issued by the Authority. Bonds issued for this purpose are not subject to the State’s “private activity” bond ceiling as specified in the Federal Tax Reform Act of 1986. The bonds are issued for institutions on a stand-alone basis and also on a pooled or combined basis.

Prior to January 1, 1999, the Authority was authorized to have outstanding at any one time \$2.6 billion in bonds for educational facilities. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the limitation of \$2.6 billion in bonds outstanding has been eliminated entirely. As of June 30, 2000, bonds and notes in the amount of \$4.405 billion have been issued and \$2.339 billion were outstanding.

Pursuant to Chapter 917, Statutes of 1995, effective January 1, 1996, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations and functions were transferred to the CEFA.

The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds, thereby expanding student access to such low-cost federally reinsured loans. Pursuant to Chapter 917, the functions were expanded under CEFA to include direct student lending from proceeds of tax-exempt revenue bonds issued by the Authority. The Authority was authorized to have outstanding at any one time \$300 million in bonds for student loans. This cap has been eliminated by Chapter 741, Statutes of 1998. As of June 30, 2000, \$55.26 million in bonds were outstanding, from a total \$220.373 million issued. Bonds for this purpose are subject to the State’s “private activity” bond ceiling, as specified in the Federal Tax Reform Act of 1986 and allocated by the California Debt Limit Allocation Committee. Bonds issued for student loans are not a debt, or liability, or a pledge of the faith and credit of the taxing power of the State or any of its political subdivisions.

This is a trust activity and all operating expenses must be paid from revenues and other moneys available to CEFA.

Authority

Education Code Sections 94100–94213.

For the list of standard (lettered) footnotes, see the end of the Governor’s Budget. * Dollars in thousands, except in Salary Range.