

**SAM – INFORMATION TECHNOLOGY
(California Department of Technology)**

CERTIFICATION OF COMPLIANCE WITH POLICIES

4832

(Revised 6/2015)

The SAM Section 4819.41 specifies that signed certifications of compliance with the state's information technology (IT) policies must be included with the transmittal of certain procurement packages to the procurement Agency/state entity or authority. The required format of the certification is provided in [SAM Section 4832, Illustration 1](#).

Signature Authority Certifications for procurements of \$100,000 or more **MUST** be signed by the Agency/state entity director or by a member of Agency/state entity management specifically designated by the director for this purpose.

As shown in 4832 Illustration 1, the certification must reference one of the following with respect to the justification and approval of the proposed procurement:

1. If the procurement is for a project approved by the Department of Technology, the project is currently under development, and the Post-Implementation Evaluation Report (PIER) has not yet been approved, provide the project number, the title, and approval date of the Stage 4 Project Readiness and Approval. If the procurement is the result of a non-reportable project, provide the project number, the title, and the date of the document indicating approval.
2. If the procurement is an Interagency agreement to procure services from a consolidated data center in support of multiple projects, it must be certified that: (1) the funding level is appropriate for the nature and scope of the services to be supplied; (2) the services are consistent with approved Project Approval Lifecycle (PAL) Stage/Gate deliverable and/or PIERs; and (3) project reporting for the various projects is current.

Submission of a PAL Stage/Gate deliverable to the Department of Technology or to the Agency/state entity director does not constitute project approval. Approval requires an approval letter from the Department of Technology or, for delegated projects, a document indicating approval by the Agency/state entity director or the director's designee.

(Continued)

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Certification Requirements

**CERTIFICATION OF COMPLIANCE WITH POLICIES
PURSUANT TO SAM SECTIONS 4819.41 AND 4832**

I hereby certify that I am the Agency/state entity director or designee; that the matters described herein are in compliance with the criteria and procedures for IT prescribed in SAM; any acquisitions of new or enhanced IT capabilities are consistent with project justification approved by the Department of Technology, myself or my designee; and that the foregoing statements are true to the best of my knowledge and belief.

_____ (Date)	_____ Signature and Title (Indicate Agency/state entity director or designee)
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JUSTIFICATION AND APPROVAL REFERENCE INFORMATION

Department of Technology approved Project Approval Lifecycle Stage/Gate deliverables

_____ Department of Technology Project #	_____ Approval Date
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Agency/state entity approved Project Approval Lifecycle Stage/Gate deliverables

_____ Agency/state entity Project #	_____ Approval Date
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DMCP

_____ DMCP #	_____ Approval Date
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Project Title

Data Center IAA

This is an interagency agreement to procure services from a consolidated data center it involves multiple projects, the funding level is appropriate, and the nature and scope of services to be supplied by the data center are consistent with the various approved Project Approval Lifecycle Stage/Gate deliverables and PIERs of this Agency/state entity, and the required project reporting associated with each active project is current.