

**MANAGERIAL PERFORMANCE APPRAISAL SUMMARY**

STD. 750 (REV. 10/2019)

**PERIOD COVERED BY THIS RATING**

FISCAL YEAR

DATE FROM

DATE TO

**See Instructions on reverse.****A. MANAGER  
BEING RATED**

NAME, CLASS, TITLE

DIVISION, DEPARTMENT

*I have reviewed the attached Manager's General Standard, the additional specific program standards (see below) and my work plan (attached). I understand that these standards and the work plan are the basis for performance appraisal of my position for which I will be held accountable during the above rating period.*

PROGRAM STANDARDS ARE

ATTACHED

NOT APPLICABLE

EMPLOYEE'S SIGNATURE



DATE SIGNED

SUPERVISOR'S SIGNATURE



DATE SIGNED

**B. PERIODIC REVIEWS**

| SUMMARY RATING    | FIRST QUARTER |     |    |    |      | SECOND QUARTER |     |    |    |      | THIRD QUARTER |     |    |    |      | FOURTH QUARTER |     |    |    |      |
|-------------------|---------------|-----|----|----|------|----------------|-----|----|----|------|---------------|-----|----|----|------|----------------|-----|----|----|------|
|                   | O             | EFS | FS | MS | U    | O              | EFS | FS | MS | U    | O             | EFS | FS | MS | U    | O              | EFS | FS | MS | U    |
|                   |               |     |    |    |      |                |     |    |    |      |               |     |    |    |      |                |     |    |    |      |
| <b>SUPERVISOR</b> | INITIALS      |     |    |    | DATE | INITIALS       |     |    |    | DATE | INITIALS      |     |    |    | DATE | INITIALS       |     |    |    | DATE |
| <b>EMPLOYEE</b>   | INITIALS      |     |    |    | DATE | INITIALS       |     |    |    | DATE | INITIALS      |     |    |    | DATE | INITIALS       |     |    |    | DATE |

**C. SUPERVISOR'S RECOMMENDATION**

FINAL SUMMARY RATING

OUTSTANDING

EXCEEDS FULLY  
SUCCESSFUL

FULLY SUCCESSFUL

MINIMALLY  
SUCCESSFUL

UNSATISFACTORY

SUPERVISOR'S SIGNATURE



DATE SIGNED

EMPLOYEE'S SIGNATURE



DATE SIGNED

EMPLOYEE COMMENTS ATTACHED

YES

NO

**D. HIGHER LEVEL SUPERVISORY REVIEW AND RECOMMENDATION**

SUMMARY RATING

OUTSTANDING

EXCEEDS FULLY  
SUCCESSFUL

FULLY SUCCESSFUL

MINIMALLY  
SUCCESSFUL

UNSATISFACTORY

COMMENTS

HIGHER LEVEL REVIEWER'S SIGNATURE



DATE SIGNED

**E. APPOINTING AUTHORITY REVIEW AND RATING**CONCUR WITH  
SUPERVISOR

CHANGE TO \_\_\_\_\_

COMMENTS

APPOINTING AUTHORITY'S SIGNATURE



DATE SIGNED

DISTRIBUTION: Original--Department; Duplicate--Employee; Triplicate--Supervisor

**MANAGERIAL PERFORMANCE APPRAISAL SUMMARY****INSTRUCTIONS** □

- A. Prior to the rating period, supervisors identify the critical performance elements for the managerial positions under their control. These are compiled into work plans for each managerial employee, which are reviewed with the employee along with the Managers' General Standard and any applicable program specific performance standards.
- B. During the rating period (typically the fiscal year), interim performance ratings are given at least every six months.
- C. At the conclusion of the rating period, the supervisor recommends an overall rating and discusses it with the employee. The employee is given an opportunity to attach his/her own comments (including appeals) to the summary sheet.
- D. The recommended rating and any employee comments are submitted to a designated higher level authority for review. Depending on the size and preference of the department, the higher level reviewer might be a division chief, the chief deputy director, or a panel of top level departmental managers. In the small departments, the appointing power may be the higher level reviewer. Larger departments might have a number of reviewers at this level (each being responsible for a particular part of the department) while smaller departments would probably have only one. In any case, the role of these reviewers would be to:
- Determine if the ratings are factually accurate, properly documented, and consistent with their own impression of how the employees' performance meets the Managers' General Standard.
  - Consider all submitted ratings collectively to ensure that the managerial rating standards are being consistently applied and that the overall group of ratings effectively and accurately distinguishes the various levels of performance that are present within the rating group.
  - Consider any employee comments/appeals and determine what impact, if any, they should have on the rating.
- Any changes in the ratings must be supported by written comments and must be communicated back to the employee and supervisor. Employees must also be given an opportunity to submit comments/appeals on ratings that are lowered.
- E. The recommendations of the higher level reviewers are submitted to the appointing power for final approval. (Where the higher level reviewer is the appointing power, steps D and E are combined.) In determining a final rating, the appointing power considers the basic factors outlined under D, although in larger departments these considerations may necessarily be very general in nature. The appointing power should also consider how the department's ratings and rating patterns will affect any bonus payments.
- If the appointing power changes a rating, this should be documented and communicated back to the rater and the employee. When the rating is lowered the employee must be given an opportunity to submit comments or an appeal before the rating becomes final.