



# Gregory Bateson Building (011)

1600 Ninth Street, Sacramento, CA 95814

## Facility Condition Assessment

June 2015

*Prepared for the State of California Department of General Services*





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## EXECUTIVE SUMMARY

### BACKGROUND

This Facility Condition Assessment (FCA), prepared by EMG Corporation (EMG) in collaboration with the Department of General Services (DGS) Real Estate Services Division (RESA) and the consulting team of Hellmuth, Obata & Kassabaum, Inc. (HOK), is a component of a comprehensive long-range strategic asset management plan for DGS's portfolio of general-purpose office buildings. The goal is to determine the best course of action to address DGS's general-purpose office buildings' infrastructure deficiencies and space needs with a focus on controlling long-term costs.

The DGS portfolio comprises nearly 17 million gross square feet (GSF) of state-owned office facilities statewide, contained within 54 general-purpose state-owned office building sites. The FCA inventories and evaluates each of the DGS general purpose office buildings to benchmark current condition and establish a replacement value. This FCA assesses the infrastructure conditions for the Gregory Bateson Building (011).

The assessment methodology identifies infrastructure systems and components requiring immediate repair or replacement based on their useful life expectancy. In addition, the FCA projects the capital funding needs over a ten-year lifecycle horizon period of 2015 to 2024. The assessments evaluate envelope, structure, plumbing, heating, air conditioning, energy and lighting controls, electrical, data/communications, elevators, fire protection and suppression, security, and utility capacity and systems. The replacement value is determined by multiplying the existing building square footage (SF) by the cost per SF to construct a new, similar building on a similar site.

### OBJECTIVE

The objective of the FCA is to identify the capital reserves for infrastructure lifecycle repair/replacement needs over the ten-year lifecycle. The FCA projections will become the basis for the Facility Condition Index (FCI). The FCI is the ratio of immediate repair costs or capital reserve needs to the current replacement value of the existing building. The FCI is a key performance indicator that is used to objectively quantify and evaluate the current condition of a building and can be used to compare the relative condition of the subject building with other buildings within the same portfolio and as a trending matrix for infrastructure "health" over time.

The Gregory Bateson Building (011) FCI ratio will be incorporated as a comparative factor in the overall DGS portfolio analysis, enabling DGS to accurately rank and prioritize building repair/replacement needs in the long-range strategic plan.

## SCOPE OF ASSESSMENT

The EMG evaluation team, comprised of engineers and architects, visited the Gregory Bateson Building (011) on November 13, 2014. The evaluation team reviewed available engineering studies and construction documents to familiarize themselves with the physical conditions. The evaluation team conducted a walk-through of the building to observe building systems and components, identify physical deficiencies, and formulate recommendations to remedy any deficiencies.

## SURVEY FINDINGS

One of the major goals of the FCA is to calculate the FCI, which gives an indication of a building’s overall condition. Two FCI ratios are calculated and presented – Current Year and Ten-Year. The Current Year FCI is the ratio of Immediate Repair Costs to the building’s Current Replacement Value. Similarly, the Ten-Year FCI is the ratio of anticipated Capital Reserve Needs over the next ten years to the Current Replacement Value.

The values are based on a scale from 0-100 percent. A lower FCI ratio indicates that the building’s infrastructure is in “Good” condition. Based on industry standards, a “Good” condition building will have an FCI ratio at or below five percent. A “Fair” condition building will have an FCI ratio between five and ten percent. A “Poor” condition building will have an FCI ratio between 10 and 65 percent. A building with an FCI ratio exceeding 65 percent is considered “Very Poor” and is a candidate for replacement or divestment.

The table below represents summary-level findings for the FCA. The deficiencies identified in this assessment can be combined with potential new construction requirements to develop an overall strategy that can serve as the basis for a portfolio-wide capital improvement funding strategy. Key findings from the assessment include:

| Key Finding                         | Metric        |
|-------------------------------------|---------------|
| Current Replacement Value           | \$107,882,601 |
| Immediate Repair Costs (12 months)  | \$16,907,016  |
| 1-5 Year Capital Needs              | \$11,010,089  |
| 6-10 Year Capital Needs             | \$571,533     |
| Total 10-Year Capital Reserve Needs | \$28,488,638  |

$$FCI = \frac{\text{Immediate Repair Costs or Ten-Year Capital Reserve Needs}}{\text{Current Replacement Value of Building}}$$

**Current Year FCI**

$$\text{Current FCI} = \frac{\$16,907,016}{\$107,882,601}$$

**Ten-Year FCI**

$$\text{Ten-Year FCI} = \frac{\$28,488,638}{\$107,882,601}$$

| Current Year FCI                | Ten-Year FCI                    |
|---------------------------------|---------------------------------|
| 15.67 % = <i>Poor Condition</i> | 26.41 % = <i>Poor Condition</i> |

The major issues contributing to the Immediate Repair Costs and the Current Year FCI ratio are summarized below:

- The exterior windows have been leaking into the glue-laminated beam wall panels, and both the windows and wall panels have deteriorated.
- There are balconies with elastomeric coatings that leak into the office spaces below the balconies. The balcony decks do not slope properly, and the balcony railings have deteriorated.
- The HVAC system is set up for open plan offices. The office areas cannot be converted to enclosed offices without replacing the HVAC system.

Further detail on the specific costs that make up the Immediate Repair Costs can be found in the cost tables in the appendices.

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## INTRODUCTION

### BUILDING BACKGROUND

The Gregory Bateson Building (011), constructed in 1981, was designed by State Architect Sim van der Ryn and Peter Calthorpe. An article published in 2013 by the AIACC states:

“In 1978, California passed a set of energy efficiency standards for buildings, which challenged architects to design in a more energy efficient manner. In the early 70s, architects experimented with low energy buildings, generally at the residential scale. Sim van der Ryn was a pioneer in this “green design” movement and when he was appointed California State Architect by newly elected Governor Jerry Brown in 1975, an opportunity to take these ideas to a larger scale presented itself. Both van der Ryn and Brown were admirers of Gregory Bateson’s Steps to an Ecology of Mind and E.F. Schumacher’s Small is Beautiful, published in the early ‘70s. The first large scale building that showcased what we now call sustainable architecture was a design by a team led by van der Ryn and Peter Calthorpe.”

In 1976, Governor Brown appointed Sim Van der Ryn to the position of State Architect and tasked him with the development of a new Capitol Area Plan. A primary objective of the plan was to reduce the apparent scale of the large office buildings and thereby create a more humane, user-oriented environment. The plan also set out to create positive examples of State office buildings as models of energy efficiency and humane working environments. Four buildings, EDD Annex (Solar – Subterranean Building) (013), Gregory Bateson Building (011), Paul Bonderson Building (016), and Warren-Alquist State Energy Building (008), were completed under the Brown administration Capitol Area Plan.

Since at least 2006, and probably much longer, the building’s exterior has exhibited deterioration that appears to have contributed to extensive leaking. The building is nearing the 50-year threshold for consideration for listing in the National Register of Historic Places and it is currently eligible for listing in the California Register of Historic Resources.

The four-story concrete structure has a large central atrium. The primary tenants are the Department of Developmental Services and the Department of State Hospitals. The gross area is 293,516 SF. The net usable area is 214,565 SF. The ratio of net usable to gross building area is 73 percent. The occupant capacity is 1,086. The building does not have on-site parking.

### BUILDING DESCRIPTION

The building foundation consists of driven piles with cast-in-place steel reinforced concrete pile caps, and grade beams supporting wall and column loads. The first floor structural system is slab-on-grade, reinforced cast-in-place concrete. Steel reinforced columns and beams support precast, pretensioned concrete double-tees, from which the upper floors and roof are constructed.

The primary roof is flat and covered with a built-up membrane, and the secondary roof is classified as pitched standing seam metal over wood framing. The atrium roof has a saw-tooth profile with clerestory windows on the north-facing incline, and operable vertical louvers facing south.

The building has an exposed concrete frame structure. Aluminum-framed ribbon windows are set in the exterior walls around the perimeter of the building, with stained wood spandrel panels beneath. The structural concrete frame supports cast concrete beams. They are arranged to form pergolas to shade exterior windows on the south elevation, and small areas on the east and west elevations. Additional shading is provided by motorized canvas shades that lower vertically from the horizontal concrete beams.

The building interior is arranged around a large central atrium. The atrium floor is largely brick paver, with portions of exposed sealed concrete in surrounding areas. The walls of the office areas are painted drywall. The floor finishes consist of broadloom carpet (except for carpet tiles on the fourth floor), sheet vinyl in common areas, vinyl composition tiles in service areas, and ceramic tile in restrooms. The ceilings in the interior office areas are exposed concrete structure, and acoustic ceiling tiles in some conference and service areas.

The building has three passenger elevators and a freight elevator, all hydraulic.

Heating and cooling are provided by the DGS Central Utility Plant, which supplies steam and chilled water to the building. Air-handling units throughout the building provide conditioned air to the interior spaces.

Life safety systems include smoke detectors, fire alarms, fire extinguishers, and a sprinkler system in the basement.

The building covers nearly the entire site, and the landscaping consists of planters around the perimeter of the building, and in small plazas. Landscaped areas are irrigated by sprinklers and a drip irrigation system.

The sidewalks throughout the property are constructed of cast-in-place concrete and brick pavers. Cast-in-place concrete steps and ramps with metal handrails are located at grade changes.

**Project Statistics**

| Item          | Description              |
|---------------|--------------------------|
| Project Name  | Gregory Bateson Building |
| Building ID   | 011                      |
| Property Type | Administration           |
| Year Built    | 1981                     |

| Item                    | Description |
|-------------------------|-------------|
| Number of Stories       | 4           |
| Occupied                | Yes         |
| Land Area (acres)       | 2.55        |
| Gross Square Feet (GSF) | 293,516     |

## FACILITY CONDITION ASSESSMENT

The goal of the FCA is to gather the data necessary to understand the existing building's condition, identify strategies to meet the building's lifecycle needs, and create the foundation for a long-range strategic plan.

### COMPONENTS OF THE FCA

#### Current conditions analysis

The current condition analysis identifies the existing building's immediate requirements, including deferred maintenance, recommended discretionary improvements, and code non-compliance issues.

#### Anticipated building reserve analysis

The anticipated building reserve analysis projects the ongoing degradation of the building's components and costs associated with the reserve or replacement of these components as they reach the end of their useful lives.

#### Funding needs analysis

The funding needs analysis results in a summary report of deferred maintenance and systems reserve funding needs.

## CALCULATION OF FUNDING NEEDS

Calculating probable funding needs involves identifying and quantifying the building's infrastructure systems or components that require immediate or future action over their lifecycle horizon. Funding needs are segregated into two categories, Immediate Repair Costs and Capital Reserve Needs. A Replacement Value is calculated and a Remaining Useful Life Estimate is determined as well as Opinions of Probable Cost in order to establish the FCI. The terms are defined as follows:

### Immediate Repair Costs

Immediate Repair Costs are Opinions of Probable Cost that require immediate action as a result of: (1) material existing or potentially unsafe conditions, (2) material building or fire code violations, or (3) conditions that, if left un-remedied, have the potential to result in, or contribute to, critical element or system failure within **one year** that will likely result in a significant escalation of its remedial cost. Immediate Repair Costs are items which require action within year one.

### Capital Reserve Needs

Capital Reserve Needs are recurring probable expenditures, which are not considered operation or maintenance expenses, that should be budgeted annually. In general, Capital Reserve Needs are reasonably predictable both in terms of frequency and cost. However, Capital Reserve Needs may also include components or systems that have an indeterminable life but nonetheless have a potential liability for failure within a ten-year period. The Capital Reserve Needs presented in the FCA represent average industry costs as of 2015, without inflation. The Ten-Year Expenditure Forecast table in Appendix G includes inflation by assuming a five percent annual inflation rate on Total Capital Needs by year.

### Current Replacement Value

Current Replacement Value is determined by multiplying the existing building's SF by the Cost per SF to construct a new, similar building on a similar site. Current Replacement Value is not an appraised or market value for the purposes of a property sale. To estimate the cost per SF, EMG referenced Marshall & Swift's *Marshall Valuation Service*. This building cost data index is an industry standard, adjusted annually, and relied upon by the insurance industry, as well as other agencies and organizations. Cost per SF is calculated by adjusting Marshall & Swift's unit cost for a Government Office Building to account for factors related to building systems, class of construction, and location to reflect the estimated cost of construction at the subject building site.

## Remaining Useful Life

Remaining Useful Life (RUL) estimate is based upon site observations, research, and judgment, along with reference to Expected Useful Life (EUL) tables from various industry sources. A sample copy of the EUL table is included in the appendices. EMG estimates when a system or component will likely need replacement based on a visual review of the current condition and the RUL estimate. Exposure to the elements, quality of installation, extent of use, and quality and amount of preventive maintenance exercised are factors that impact the effective age of a system or component. As a result, a system or component might have an effective age that is greater or less than its actual chronological age. The RUL of a system or component equals the EUL less its effective age.

## Opinions of Probable Cost

Opinions of Probable Cost are estimates for individual repair or replacement and are a key consideration of this engagement. These estimates may be based on invoice or bid documents provided by the owner or building manager, cost estimates developed by construction resources (such as R.S. Means), or EMG's experience with similar properties, city cost indexes, and projections of economic conditions. Where quantities cannot be derived from building plans, lump sum costs or allowances are utilized.

Opinions of Probable Cost should only be construed as preliminary, order-of-magnitude budgets. Actual costs will likely vary from EMG's estimates depending on type and design of suggested remedy, quality of materials and installation, manufacturer and type of equipment or system selected, field conditions, whether a physical deficiency is repaired or replaced in whole, phasing of the work (if applicable), quality of contractor, market conditions, and whether competitive pricing is solicited. ASTM E2018-08<sup>1</sup> recognizes that certain Opinions of Probable Cost cannot be developed within the scope of an FCA without further study. Instances where a visual inspection is not possible and further study is recommended, EMG provides a cost estimate of the additional study in the FCA.

## Facility Condition Index

The FCI gives an indication of a building's overall state of condition. The values are based on a 0-100 percent scale. The Current Year FCI is the ratio of Immediate Repair Costs to Current Replacement Value. The Ten-Year FCI is the ratio of Capital Reserve Needs (2015 – 2024) to Current Replacement Value. The Ten-Year FCI is calculated using uninflated 2015 dollars because the year of project implementation is likely unknown or subject to change. Since both the repair/replacement costs and Current Replacement Value will increase at the same inflation rate, the impacts of inflation do not significantly affect the FCI ratio.

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<sup>1</sup> ASTM 2018-08 is the national guideline for preparing a Facility Condition Assessment published by the American Society for the Testing of Materials.

## SCOPE OF ASSESSMENT

The evaluation team conducted a walk-through survey of Gregory Bateson Building (011) on November 13, 2014. The survey included analysis and observation of the building's interior and exterior, including the roofs. The evaluation team interviewed the building maintenance staff to inquire about the subject property's previous repairs and replacements and their costs, level of preventive maintenance exercised, pending repairs and improvements, and frequency of repairs and replacements. Opinions were developed based on the site evaluation, interviews with relevant maintenance providers and facilities managers, and previous experience with comparable properties. The evaluation team questioned those knowledgeable of the subject property's physical condition and operation (or knowledgeable of similar systems) to gain comparative information to use in evaluation of the subject property. In addition, the building staff provided documents and information to the evaluation team that were relevant to the subject property's physical improvements, extent, and type of use and assisted the team in identifying potential discrepancies between reported information and observed conditions.

The evaluation team made a visual assessment for compliance with the American with Disabilities Act (ADA) Accessibility Guidelines and the California Title 24 disabled access requirements. Items determined to be out of compliance are included in the repair/replacement costs. The assessments did not include detailed measurements to determine compliance under the regulations.

The data collected in the FCA are the basis of the projected ten-year Capital Reserve Needs. The goals of the FCA are:

- Benchmark current building condition with recommended corrections for deficiencies to establish the Immediate Repair Costs.
- Estimate life expectancy of various building systems and components to establish the Capital Reserve Needs for infrastructure lifecycle repair/replacement for the ten-year assessment period from 2015 to 2024.
- Provide estimates for corrections for Immediate Repairs Costs and projections for Capital Reserve Needs for lifecycle component replacement within the ten-year projection timeframe.
- Serve as a guide for future replacement, repairs, and improvements and assist DGS in prioritizing its capital budget and expenditures across its real estate portfolio.

## PRIORITY RANKING

The recorded existing conditions, identified problems and deficiencies, documented corrective action, and quantities of recommended repairs and/or replacements are documented during the assessment process. Data are collected and entered directly into the assessment and capital planning database using tablet computers. Based on the discussions with the client and industry standards, a Priority Ranking is calculated for each cost observation. The Priority Ranking calculation is a function of four key categories.

## **PRIORITY RANKING CATEGORIES**

### **Building Mission Ranking**

A building can be ranked on a scale of one to ten based on conversations with the client regarding the importance of each building to the overall mission of the building. The properties reviewed during this assessment are all general-purpose office buildings and for the purposes of this study are all ranked the same for Building Mission.

### **Remaining Useful Life Ranking**

The EUL projection of the component is calibrated against the RUL as estimated by the field assessor. This ratio is then utilized as a factor in the priority ranking. An RUL of zero years is given the highest priority and always results in ranking the component as Priority 1.

### **Asset Component Category**

Each material or system (asset) evaluated is assigned a unique Unifomat code. The Unifomat designation is then associated with a ranking based on the overall importance to the operation of the building. An asset that is related to the building envelope, e.g. roof, window, or exterior siding, is assigned a higher ranking than a component such as a flooring, carpeting, or other finish material.

### **Functional Asset Categories**

The cost associated with each asset or component evaluated is assigned to a category to include: Code Compliance, Facility Operations, Environmental Factors, Facility Functionality, and Integrity of the Facility. The Asset Categories are given a ranking based on their relative importance. For example, Code Compliance is ranked higher than Maintenance.

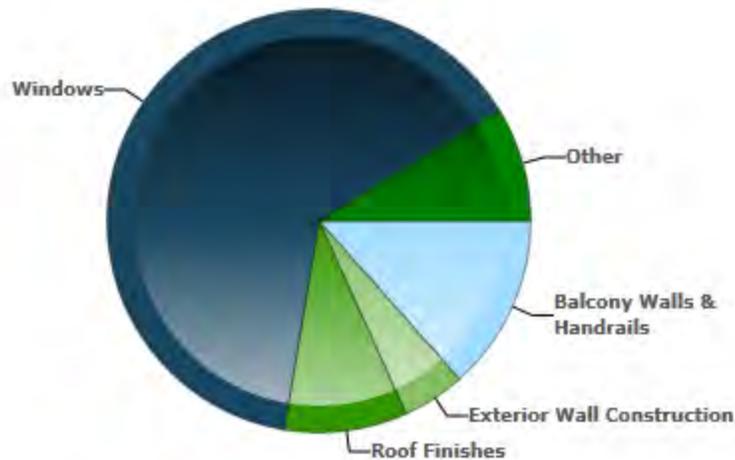
## **PRIORITY RATIO**

The four categories above are assigned a numerical value and the values are multiplied together for each cost observation. The resulting number is then assigned a priority by the capital planning software with the lower range assigned Priority 1 and the higher range of numbers assigned among Priority 2, Priority 3, and Priority 4. Priority 5 is reserved for code issues that were permitted by the code at the time of construction but would be required only if a major renovation or code compliance project were to be undertaken.

The physical condition of building systems and related components are typically defined as being in one of four conditions: Good, Fair, Poor, or Very Poor, or a combination thereof. For the purposes of this report, the following definitions are used:

| Condition | Definition  |
|-----------|---|
| Good      | In new or well-maintained condition, with no visual evidence of wear, soiling, or other deficiencies.                 |
| Fair      | Subjected to wear and soiling but is still in a serviceable and functioning condition.                                |
| Poor      | Subjected to hard or long-term wear. Nearing the end of its useful or serviceable life.                               |
| Very Poor | Subjected to hard or long-term wear. Has reached the end of its useful or serviceable life. Renewal is now necessary. |

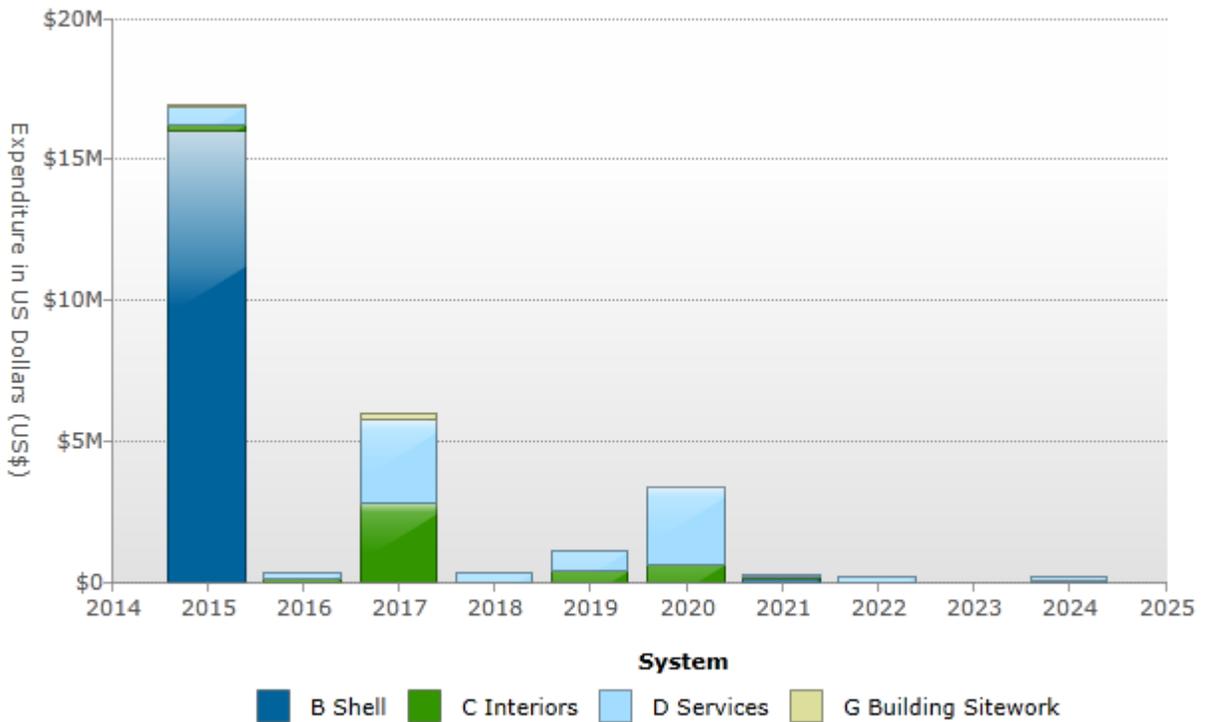
### Distribution of Immediate Needs by Building System



| Level | Building System                 | Estimated Cost |
|-------|---------------------------------|----------------|
| B1010 | Floor Construction              | \$525,338      |
| B1012 | Upper Floors Construction       | \$77,000       |
| B2011 | Exterior Wall Construction      | \$811,190      |
| B2015 | Balcony Walls & Handrails       | \$2,273,560    |
| B2021 | Windows                         | \$10,755,720   |
| B3011 | Roof Finishes                   | \$1,585,362    |
| C1021 | Interior Doors                  | \$190,464      |
| D1011 | Passenger Elevators             | \$57,785       |
| D1012 | Freight Elevators               | \$21,112       |
| D2023 | Domestic Water Supply Equipment | \$51,836       |
| D3023 | Auxiliary Equipment             | \$17,336       |
| D3041 | Air Handling Units              | \$396,800      |
| D4011 | Sprinkler Water Supply          | \$46,256       |
| D5022 | Lighting Equipment              | \$60,458       |

| Level | Building System                 | Estimated Cost      |
|-------|---------------------------------|---------------------|
| D5092 | Emergency Light & Power Systems | \$18,873            |
| G2055 | Planting                        | \$9,221             |
| G2057 | Irrigation Systems              | \$8,705             |
|       | <b>Total</b>                    | <b>\$16,907,016</b> |

**Total Capital Needs By System and Year**



| Year         | Building System |                     |                    |                    |                        |                             |                   | Total               |
|--------------|-----------------|---------------------|--------------------|--------------------|------------------------|-----------------------------|-------------------|---------------------|
|              | A Sub-Structure | B Shell             | C Interiors        | D Services         | E Equip. & Furnishings | F Spec. Const. & Demolition | G Bldg. Site Work |                     |
| 2015         | \$0             | \$16,028,170        | \$190,464          | \$670,457          | \$0                    | \$0                         | \$17,925          | \$16,907,016        |
| 2016         | \$0             | \$0                 | \$119,808          | \$166,950          | \$0                    | \$0                         | \$0               | \$286,758           |
| 2017         | \$0             | \$0                 | \$2,824,340        | \$2,923,410        | \$0                    | \$0                         | \$214,573         | \$5,962,324         |
| 2018         | \$0             | \$0                 | \$0                | \$304,161          | \$0                    | \$0                         | \$0               | \$304,161           |
| 2019         | \$0             | \$0                 | \$423,636          | \$691,600          | \$0                    | \$0                         | \$0               | \$1,115,236         |
| 2020         | \$0             | \$0                 | \$580,856          | \$2,760,754        | \$0                    | \$0                         | \$0               | \$3,341,610         |
| 2021         | \$0             | \$119,040           | \$88,267           | \$30,680           | \$0                    | \$0                         | \$0               | \$237,987           |
| 2022         | \$0             | \$0                 | \$0                | \$149,647          | \$0                    | \$0                         | \$0               | \$149,647           |
| 2024         | \$0             | \$21,987            | \$0                | \$161,911          | \$0                    | \$0                         | \$0               | \$183,898           |
| <b>Total</b> | <b>\$0</b>      | <b>\$16,169,197</b> | <b>\$4,227,372</b> | <b>\$7,859,570</b> | <b>\$0</b>             | <b>\$0</b>                  | <b>\$232,499</b>  | <b>\$28,488,638</b> |

### CURRENT REPLACEMENT VALUE

The Current Replacement Value has been determined as \$107,882,601 for the Gregory Bateson Building Building (011). The Current Replacement Value is the existing building SF multiplied by the Cost per SF to construct a new, similar building. As noted previously, the basis of the Cost per SF amount is the Marshall & Swift Cost Valuation system. A copy of the cost calculation is included in Appendix H of this report.

| Building Area | Cost/SF | Current Replacement Value |
|---------------|---------|---------------------------|
| 293,516 GSF   | \$368   | \$107,882,601             |

## FACILITY CONDITION INDEX

The FCI<sup>1</sup> is an indication of a building’s current and future overall condition. According to industry standards an FCI ratio of 65 percent, or the “rule of two-thirds,” is the threshold for identifying potential candidates for replacement or divestment.<sup>2</sup> Once the FCI ratio reaches 65 percent, or roughly two-thirds of the Current Replacement Value of the estimated cost to replace a building, it may not be prudent to continue to fund repairs. In cases where aggressive facilities planning is expected to be necessary, this threshold may be adjusted to address more pressing needs.

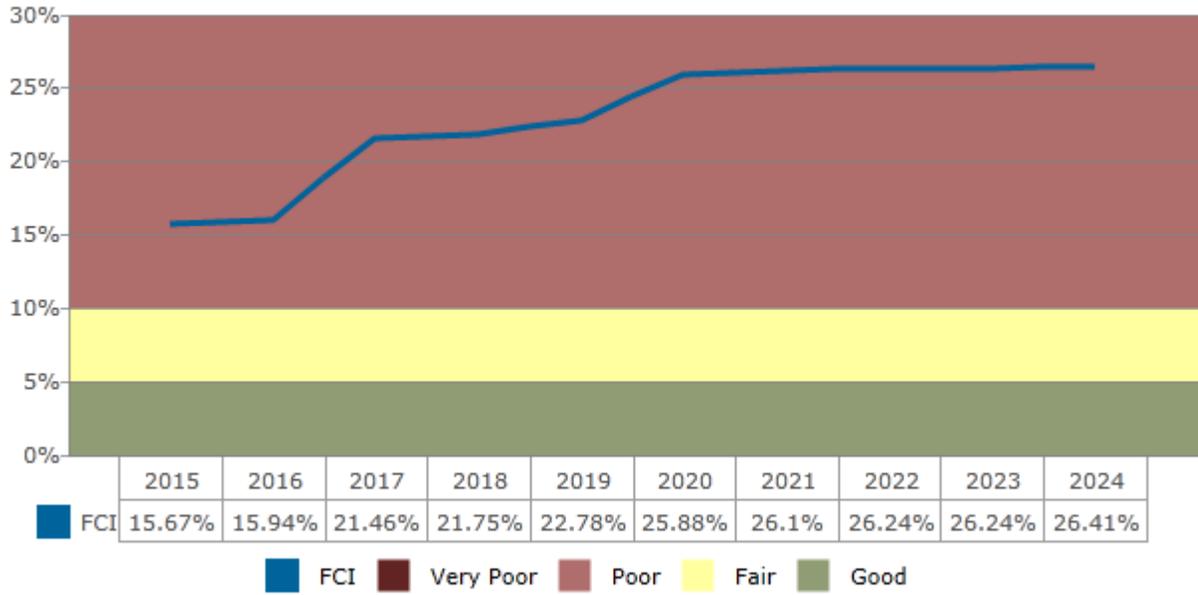
| Condition | Definition  | Value                   |
|-----------|---|-------------------------|
| Good      | In new or well-maintained condition, with no visual evidence of wear, soiling or other deficiencies.                  | 0% to 5%                |
| Fair      | Subjected to wear and soiling but is still in a serviceable and functioning condition.                                | Greater than 5% to 10%  |
| Poor      | Subjected to hard or long-term wear. Nearing the end of its useful or serviceable life.                               | Greater than 10% to 65% |
| Very Poor | Subjected to hard or long-term wear. Has reached the end of its useful or serviceable life. Renewal is now necessary. | Greater than 65%        |

The chart below indicates the cumulative effects of the FCI ratio over the ten-year study period assuming the required funds are NOT provided to address the identified repairs and replacements for each year.

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<sup>2</sup> Sean C. Rush (1991). *Managing the Facilities Portfolio: a Practical Approach to Institutional Facility Renewal and Deferred Maintenance*. National Association of College and University Business Officers. pp. 26–66. ISBN 978-0-915164-59-2.

### Cumulative Effects of FCI over the Study Period



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# APPENDICES

## APPENDIX A: ACCESSIBILITY ISSUES

**Recommendations:**

| Type  | Component Description                        | Qty / UOM | Unit Cost (\$) | Plan Type          | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|--------------------|------------|------|-------------------|
| C1021 | C1020 Upgrade doors to ADA automatic openers | 16.0 - EA | 11904.0        | CC - Accessibility | Priority 2 | 2015 | 190,464           |

**Cost Summary:**

| Year | Total Expenditures |
|------|--------------------|
| 2015 | \$190,464          |



**APPENDIX B: GENERAL ASSESSMENT INFORMATION**

**A Substructure Systems**

**A10 FOUNDATIONS**

| Item                   | Description            |
|------------------------|------------------------|
| A1011 Wall Foundations | A1011 Wall Foundations |
| Condition              | Good                   |
| Qty / UOM              | 82600 / SF             |
| RUL (years)            | 44                     |
| Location               | Foundations            |

OBSERVATIONS/COMMENTS:

No further action needed.

**B Shell Systems**

**B10 SUPERSTRUCTURE**

| Item                     | Description              |
|--------------------------|--------------------------|
| B1010 Floor Construction | B1010 Floor Construction |
| Condition                | Fair                     |
| Qty / UOM                | 293000 / SF              |
| RUL (years)              | 38                       |
| Location                 | All Floors               |

OBSERVATIONS/COMMENTS:

There is spalling concrete and exposed steel at second and third floor exterior columns and beams and basement wall. Reinforcing steel (rebar) is rusting and must be repaired.

COST RECOMMENDATIONS:

| Type  | Component Description                             | Qty / UOM  | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|---|------------|----------------|------------------|------------|------|-------------------|
| B1010 | B1010 Repair/replace seismic and expansion joints | 648.0 - LF | 449.5          | OP - Maintenance | Priority 2 | 2015 | 291,276           |

| Type  | Component Description   | Qty / UOM | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|------------------|------------|------|-------------------|
| B1010 | B1010 Repair of spalling concrete and exposed reinforcing steel | 22.0 - SF | 10639.2        | OP - Maintenance | Priority 2 | 2015 | 234,062           |

| Item                                   | Description                       |
|--|-----------------------------------|
| <b>B1012 Upper Floors Construction</b> | B1012 Concrete Floor Construction |
| <b>Condition</b>                       | Poor - Fair                       |
| <b>Qty / UOM</b>                       | 5500 / SF                         |
| <b>RUL (years)</b>                     | 16                                |
| <b>Location</b>                        | First Floor                       |

OBSERVATIONS/COMMENTS:

There are several cracks in the concrete topping slab that are visually evident and may worsen over time.

COST RECOMMENDATIONS:

| Type  | Component Description                   | Qty / UOM    | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|---|--------------|----------------|------------------|------------|------|-------------------|
| B1012 | B1012 Crack repair with epoxy injection | 5,500.0 - LF | 14.0           | OP - Maintenance | Priority 2 | 2015 | 77,000            |

| Item                      | Description        |
|---------------------------|--------------------|
| <b>B1014 Ramps</b>        | B1010 Ramps        |
| Condition                 | Good               |
| Qty / UOM                 | 864 / SF           |
| RUL (years)               | 23                 |
| Location                  | First Floor & Site |
| Guardrails                | Metal              |
| Concrete Type- All Floors | Cast In Place      |

OBSERVATIONS/COMMENTS:

There are two ADA exterior ramps and two interior ramps.

| Item                                   | Description                       |
|--|-----------------------------------|
| <b>B1022 Pitched Roof Construction</b> | B1022 Laminated Beam Construction |
| Condition                              | Good                              |
| Qty / UOM                              | 12720 / SF                        |
| RUL (years)                            | 39                                |
| Location                               | Atrium roof                       |

OBSERVATIONS/COMMENTS:

The atrium roof beams were reportedly replaced and repaired after leaks were discovered above the beams.

| Item                            | Description                             |
|---------------------------------|---|
| <b>B1029 Other Roof Systems</b> | B1029 Metal Guardrail, Low Roof Parapet |
| Condition                       | Poor - Fair                             |
| Qty / UOM                       | 82600 / SF                              |
| RUL (years)                     | 13                                      |
| Location                        | Roof Perimeter                          |
| Roofing Type                    | Flat                                    |
| Parapet Wall Edge Flashing      | Metal                                   |
| Attic                           | No                                      |

| Item        | Description |
|-------------|-------------|
| Roof Access | Stairwell   |

OBSERVATIONS/COMMENTS:

There is a parapet that does not meet the required height in the current CBC code. If significant renovations take place at the roof level, installing a protection railing may be required. Because there is no roof-mounted HVAC equipment, the protection railing is not included as a recommended repair cost.

COST SUMMARY:

| Type               | Year | Total Expenditures |
|--------------------|------|--------------------|
| B10 Superstructure | 2015 | \$602,338          |

**B20 EXTERIOR ENCLOSURE**

| Item                                    | Description                               |
|---|---|
| <b>B2011 Exterior Wall Construction</b> | B2010 Glue-lam beam at walls and railings |
| Condition                               | Poor - Fair                               |
| Qty / UOM                               | 9996 / SF                                 |
| RUL (years)                             | 15  |
| Location                                | Exterior Walls                            |
| Parapets                                | Yes                                       |
| Balcony Walls and Handrails             | Metal                                     |
| Exterior Soffits                        | Exposed                                   |
| Lintels and Sills                       | Concrete                                  |

OBSERVATIONS/COMMENTS:

There are exposed wood spandrel panels under the aluminum framed window system. There are damaged wood areas and signs of water intrusion caused by irrigation and rainfall. The windows reportedly weep into the wood panels. A report by Interactive Resources that included destructive testing was used as a reference for this report. For the purposes of this report, EMG has used the Medium Cost and Moderate Risk design solution contained in the report.

COST RECOMMENDATIONS:

| Type  | Component Description                        | Qty / UOM    | Unit Cost (\$) | Plan Type       | Priority   | Year | Expenditures (\$) |
|-------|--|--------------|----------------|-----------------|------------|------|-------------------|
| B2011 | B2010 Paint/stain glue-laminated wall panels | 9,996.0 - SF | 40.9           | IN - Appearance | Priority 1 | 2015 | 409,036           |

| Type  | Component Description                  | Qty / UOM    | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|--------------|----------------|------------------------|------------|------|-------------------|
| B2011 | B2010 Exterior walls in poor condition | 1,176.0 - SF | 342.0          | IN - Beyond Rated Life | Priority 1 | 2015 | 402,153           |

| Item  | Description                      |
|---|----------------------------------|
| <b>B2013 Exterior Louvers, Screens, and Fencing</b> | B2013 Exterior Sun Shade System  |
| <b>Condition</b>                                    | Good                             |
| <b>Qty / UOM</b>                                    | 32 / EA                          |
| <b>RUL (years)</b>                                  | 6                                |
| <b>Location</b>                                     | Exterior walls at west and south |

OBSERVATIONS/COMMENTS:

The exterior sunshades are mechanically operated and controlled by light sensors that lower the shades when needed. The system is operational but requires additional maintenance work every year.

COST RECOMMENDATIONS:

| Type  | Component Description                   | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|------------------------|------------|------|-------------------|
| B2013 | Replace B2013 Exterior Sun Shade System | 32.0 - EA | 3720.0         | IN - Beyond Rated Life | Priority 3 | 2021 | 119,040           |

| Item                            | Description               |
|---------------------------------|---------------------------|
| B2015 Balcony Walls & Handrails | B2010 Metal deck railings |
| Condition                       | Good                      |
| Qty / UOM                       | 4525 / LF                 |
| RUL (years)                     | 0                         |
| Location                        | Decks on all levels       |

OBSERVATIONS/COMMENTS:

The metal balcony railings do not meet the current building codes, and when the glue-laminated beam portions of these are repaired, the extent of the work will require revising the metal railings on top of the wood walls to meet the current building code.

COST RECOMMENDATIONS:

| Type  | Component Description                      | Qty / UOM    | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|--------------|----------------|------------------------|------------|------|-------------------|
| B2015 | Replace B2010 Metal deck railings          | 4,525.0 - LF | 261.5          | CC - Building Code     | Priority 1 | 2015 | 1,183,360         |
| B2015 | B2010 Replace glue-laminated beam railings | 3,188.0 - LF | 342.0          | IN - Beyond Rated Life | Priority 1 | 2015 | 1,090,200         |

| Item             | Description                     |
|------------------|---------------------------------|
| B2021 Windows    | B2020 Exterior aluminum windows |
| Condition        | Poor - Fair                     |
| Qty / UOM        | 32450 / SF                      |
| RUL (years)      | 0                               |
| Location         | All Floors                      |
| Window Type      | Fixed                           |
| Windows Material | Aluminum                        |
| Windows Glazing  | Single Glazed                   |
| Window Operation | Fixed                           |

OBSERVATIONS/COMMENTS:

The exterior windows are aluminum set within the concrete frame, resting on glue-laminated wood beams. The windows are leaking into the wood beams, and in some cases, the interior areas. The seals are deteriorating and metal joints have gaps due to age, expansion and contraction. A report by Interactive Resources that included destructive testing was used as a reference for this report. For the purposes of this report, EMG has used the Medium Cost and Moderate Risk design solution contained in the report.

COST RECOMMENDATIONS:

| Type  | Component Description                   | Qty / UOM     | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|---|---------------|----------------|------------------------|------------|------|-------------------|
| B2021 | Replace B2020 Exterior aluminum windows | 32,450.0 - SF | 221.0          | IN - Beyond Rated Life | Priority 1 | 2015 | 7,171,216         |
| B2021 | B2020 Windows at decks                  | 16,220.0 - SF | 221.0          | IN - Beyond Rated Life | Priority 1 | 2015 | 3,584,503         |

| Item                                      | Description          |
|---|----------------------|
| <b>B2031 Glazed Doors &amp; Entrances</b> | B2030 Exterior Doors |
| Condition                                 | Good                 |
| Qty / UOM                                 | 8 / EA               |
| RUL (years)                               | 9                    |
| Location                                  | All Floors           |
| Door Hardware                             | Knob                 |
| Door Operation                            | Both                 |
| Glass Type                                | Security Wire        |
| Door Frame                                | Metal Framed         |
| Door Use                                  | Entrance             |

OBSERVATIONS/COMMENTS:

All exterior doors open to the public streets. Additional storefront doors are located in the atrium, but are discussed as interior doors in this report. Replacement is recommended.

COST RECOMMENDATIONS:

| Type  | Component Description        | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|------------------------------|-----------|----------------|------------------------|------------|------|-------------------|
| B2031 | Replace B2030 Exterior Doors | 8.0 - EA  | 2748.4         | IN - Beyond Rated Life | Priority 4 | 2024 | 21,987            |

COST SUMMARY:

| Type                   | Year | Total Expenditures |
|------------------------|------|--------------------|
| B20 Exterior Enclosure | 2015 | \$13,840,470       |
| B20 Exterior Enclosure | 2021 | \$119,040          |
| B20 Exterior Enclosure | 2024 | \$21,987           |

**B30 ROOFING**

| Item                | Description            |
|---------------------|------------------------|
| B3011 Roof Finishes | B3011 Balcony Coatings |
| Condition           | Poor - Fair            |
| Qty / UOM           | 16900 / SF             |
| RUL (years)         | 0                      |
| Location            | Balconies              |

OBSERVATIONS/COMMENTS:

Areas of ponding and staining were observed at the balconies. Flashing repairs will likely be needed when the coatings are replaced. Replacement of the coating is recommended.

COST RECOMMENDATIONS:

| Type  | Component Description          | Qty / UOM     | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--------------------------------|---------------|----------------|------------------------|------------|------|-------------------|
| B3011 | Replace B3011 Balcony Coatings | 16,900.0 - SF | 42.4           | IN - Beyond Rated Life | Priority 1 | 2015 | 716,695           |

| Item                | Description               |
|---------------------|---------------------------|
| B3011 Roof Finishes | B3010 Metal Roof Flashing |
| Condition           | Poor - Fair               |
| Qty / UOM           | 127 / SQ                  |
| RUL (years)         | 0                         |
| Location            | Atrium roof               |

OBSERVATIONS/COMMENTS:

Metal flashing reportedly leaks at times of heavy rain and wind, due to wind-blown rain over the flashings. Replacement is recommended.

COST RECOMMENDATIONS:

| Type  | Component Description             | Qty / UOM     | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|-----------------------------------|---------------|----------------|------------------------|------------|------|-------------------|
| B3011 | Replace B3010 Metal Roof Flashing | 127.0 - SQ    | 2128.3         | IN - Beyond Rated Life | Priority 5 | 2015 | 270,292           |
| B3011 | B3010 Replace sealants            | 12,700.0 - SF | 5.0            | OP - Maintenance       | Priority 2 | 2015 | 62,992            |

| Item                       | Description                        |
|----------------------------|------------------------------------|
| <b>B3011 Roof Finishes</b> | B3010 Built-Up Roofing, Total Roof |
| <b>Condition</b>           | Fair                               |
| <b>Qty / UOM</b>           | 826 / SQ                           |
| <b>RUL (years)</b>         | 10                                 |
| <b>Location</b>            | Entire roof                        |

OBSERVATIONS/COMMENTS:

The parapet height does not meet the current CBC code. If significant renovations take place at the roof level, raising the parapet or installing a protection railing is required. Installation of a roof coating and flashing repairs to extend the RUL of roofing is recommended.

COST RECOMMENDATIONS:

| Type  | Component Description               | Qty / UOM    | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|-------------------------------------|--------------|----------------|------------------|------------|------|-------------------|
| B3011 | B3010 Install new cool roof coating | 480.0 - SQ   | 510.9          | OP - Maintenance | Priority 2 | 2015 | 245,222           |
| B3011 | B3010 Flashing and coping repairs   | 1,500.0 - SF | 193.4          | OP - Maintenance | Priority 2 | 2015 | 290,160           |

COST SUMMARY:

| Type        | Year | Total Expenditures |
|-------------|------|--------------------|
| B30 Roofing | 2015 | \$1,585,362        |

# C Interiors Systems

## C10 INTERIOR CONSTRUCTION

| Item                        | Description                            |
|-----------------------------|--|
| <b>C1021 Interior Doors</b> | C1020 Fire Door, metal with wire glass |
| <b>Condition</b>            | Fair - Good                            |
| <b>Qty / UOM</b>            | 16 / EA                                |
| <b>RUL (years)</b>          | 4                                      |
| <b>Location</b>             | Fourth Floor-North                     |

**OBSERVATIONS/COMMENTS:**

Additional door openers will be required as ADA upgrades are completed.

**COST RECOMMENDATIONS:**

| Type  | Component Description                          | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------------|------------|------|-------------------|
| C1021 | C1020 Upgrade doors to ADA automatic openers   | 16.0 - EA | 11904.0        | CC - Accessibility     | Priority 2 | 2015 | 190,464           |
| C1021 | Replace C1020 Fire Door, metal with wire glass | 16.0 - EA | 4337.4         | IN - Beyond Rated Life | Priority 3 | 2019 | 69,398            |

| Item                        | Description |
|-----------------------------|-------------|
| <b>C1021 Interior Doors</b> | C1020 Door  |
| <b>Condition</b>            | Good        |
| <b>Qty / UOM</b>            | 103 / EA    |
| <b>RUL (years)</b>          | 11          |
| <b>Location</b>             | All Floors  |

**OBSERVATIONS/COMMENTS:**

Interior doors are wood with metal frame.

**COST SUMMARY:**

| Type                      | Year | Total Expenditures |
|---------------------------|------|--------------------|
| C10 Interior Construction | 2015 | \$190,464          |
| C10 Interior Construction | 2019 | \$69,398           |

**C20 STAIRS**

| Item                        | Description           |
|-----------------------------|-----------------------|
| <b>C2011 Regular Stairs</b> | C2010 Concrete Stairs |
| <b>Condition</b>            | Good                  |
| <b>Qty / UOM</b>            | 6336 / SF             |
| <b>RUL (years)</b>          | 20                    |
| <b>Location</b>             | All Floors            |
| <b>Stairs Frame</b>         | Steel                 |
| <b>Stair Riser</b>          | Closed                |
| <b>Stair Treads</b>         | Concrete              |
| <b>Stair Railings</b>       | Metal                 |

OBSERVATIONS/COMMENTS:

There are two interior stairs open to the atrium and four enclosed fire exit stairs.

**C30 INTERIOR FINISHES**

| Item   | Description           |
|--|-----------------------|
| <b>C3012 Wall Finishes to Interior Walls</b> | C3012 Painted Drywall |
| <b>Condition</b>                             | Good                  |
| <b>Qty / UOM</b>                             | 185504 / SF           |
| <b>RUL (years)</b>                           | 4                     |
| <b>Location</b>                              | All Floors            |

OBSERVATIONS/COMMENTS:

All interior walls are gypsum wallboard. The office areas are divided by moveable cubicle walls. Painting is anticipated within the term.

COST RECOMMENDATIONS:

| Type  | Component Description | Qty / UOM      | Unit Cost (\$) | Plan Type       | Priority   | Year | Expenditures (\$) |
|-------|-----------------------|----------------|----------------|-----------------|------------|------|-------------------|
| C3012 | Paint C3012 Drywall   | 185,504.0 - SF | 1.9            | IN - Appearance | Priority 3 | 2019 | 354,238           |

| Item                                  | Description            |
|---------------------------------------|------------------------|
| C3012 Wall Finishes to Interior Walls | C3012 4X4 Ceramic Tile |
| Condition                             | Good                   |
| Qty / UOM                             | 226 / CSF              |
| RUL (years)                           | 24                     |
| Location                              | First Floor-South      |

OBSERVATIONS/COMMENTS:

The tile was installed in 2008 when the restrooms were renovated for ADA compliance.

| Item                                  | Description         |
|---------------------------------------|---------------------|
| C3012 Wall Finishes to Interior Walls | C3010 Wood Paneling |
| Condition                             | Fair                |
| Qty / UOM                             | 34021 / SF          |
| RUL (years)                           | 15                  |
| Location                              | All Floors          |

OBSERVATIONS/COMMENTS:

There are interior and exterior horizontal exposed wood spandrel panels filling the grid under an aluminum framed window system that needs to be sealed and stained.

COST RECOMMENDATIONS:

| Type  | Component Description                  | Qty / UOM     | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|--|---------------|----------------|------------------|------------|------|-------------------|
| C3012 | C3010 Paint/stain interior wall panels | 34,021.0 - SF | 3.5            | OP - Maintenance | Priority 3 | 2016 | 119,808           |

| Item                               | Description                    |
|------------------------------------|--------------------------------|
| <b>C3023 Hardeners and Sealers</b> | C3020 Brick flooring at atrium |
| <b>Condition</b>                   | Fair                           |
| <b>Qty / UOM</b>                   | 18345 / SF                     |
| <b>RUL (years)</b>                 | 20                             |
| <b>Location</b>                    | First Floor                    |
| <b>Floor Toppings</b>              | Light Weight Concrete          |
| <b>Traffic Membranes</b>           | Painted Textured Finish        |
| <b>Hardeners and Seals</b>         | Epoxy Sealer                   |

OBSERVATIONS/COMMENTS:

There is brick paving at first floor and concrete walkways at the atrium on the upper floors.

| Item                       | Description            |
|----------------------------|------------------------|
| <b>C3024 Flooring</b>      | C3020 2X2 Ceramic Tile |
| <b>Condition</b>           | Good                   |
| <b>Qty / UOM</b>           | 41 / CSF               |
| <b>RUL (years)</b>         | 24                     |
| <b>Location</b>            | First Floor-North      |
| <b>Hardeners and Seals</b> | Polymer Sealant        |

OBSERVATIONS/COMMENTS:

The tile was installed in 2008 when the restrooms were renovated for ADA compliance.

| Item                  | Description       |
|-----------------------|-------------------|
| <b>C3024 Flooring</b> | C3020 Sheet Vinyl |
| <b>Condition</b>      | Fair              |
| <b>Qty / UOM</b>      | 515 / SY          |
| <b>RUL (years)</b>    | 6                 |
| <b>Location</b>       | First Floor-North |

**OBSERVATIONS/COMMENTS:**

There is VCT at the first floor corridor next to loading area and second floor dining area. Replacement at second floor dining area is recommended.

**COST RECOMMENDATIONS:**

| Type  | Component Description     | Qty / UOM  | Unit Cost (\$) | Plan Type       | Priority   | Year | Expenditures (\$) |
|-------|---------------------------|------------|----------------|-----------------|------------|------|-------------------|
| C3024 | Replace C3020 Sheet Vinyl | 515.0 - SY | 171.4          | IN - Appearance | Priority 4 | 2021 | 88,267            |

| Item                   | Description                |
|------------------------|----------------------------|
| <b>C3025 Carpeting</b> | C3020 Floor Finishes       |
| <b>Condition</b>       | Fair                       |
| <b>Qty / UOM</b>       | 22395 / SY                 |
| <b>RUL (years)</b>     | 2                          |
| <b>Location</b>        | Office areas and corridors |

**OBSERVATIONS/COMMENTS:**

Carpet replacement includes all areas of the building except the fourth floor which was replaced with carpet tiles and is slightly newer.

**COST RECOMMENDATIONS:**

| Type  | Component Description        | Qty / UOM     | Unit Cost (\$) | Plan Type       | Priority   | Year | Expenditures (\$) |
|-------|------------------------------|---------------|----------------|-----------------|------------|------|-------------------|
| C3025 | Replace C3020 Floor Finishes | 22,395.0 - SY | 96.6           | IN - Appearance | Priority 3 | 2017 | 2,163,482         |
| C3025 | C3020 Carpet fourth floor    | 6,013.0 - SY  | 96.6           | IN - Appearance | Priority 4 | 2020 | 580,856           |

| Item                            | Description                                    |
|---------------------------------|--|
| <b>C3032 Suspended Ceilings</b> | C3030 Acoustical Tile With Exposed Grid System |
| <b>Condition</b>                | Fair   |
| <b>Qty / UOM</b>                | 550 / CSF                                      |
| <b>RUL (years)</b>              | 2  |
| <b>Location</b>                 | All Floors                                     |

OBSERVATIONS/COMMENTS:

Replace suspended ceilings in various offices and corridors throughout the building in conjunction with the recommended installation of a fire sprinkler system for the entire building.

COST RECOMMENDATIONS:

| Type  | Component Description                                  | Qty / UOM   | Unit Cost (\$) | Plan Type       | Priority   | Year | Expenditures (\$) |
|-------|--|-------------|----------------|-----------------|------------|------|-------------------|
| C3032 | Replace C3030 Acoustical Tile With Exposed Grid System | 550.0 - CSF | 1201.6         | IN - Appearance | Priority 3 | 2017 | 660,858           |

COST SUMMARY:

| Type                  | Year | Total Expenditures |
|-----------------------|------|--------------------|
| C30 Interior Finishes | 2016 | \$119,808          |
| C30 Interior Finishes | 2017 | \$2,824,340        |
| C30 Interior Finishes | 2019 | \$354,238          |
| C30 Interior Finishes | 2020 | \$580,856          |
| C30 Interior Finishes | 2021 | \$88,267           |

## D Services Systems

### D10 CONVEYING SYSTEMS

| Item                      | Description  |
|---------------------------|--|
| D1011 Passenger Elevators | D1010 Elevator Hydraulic System, 3,500 Lb Capacity |
| Condition                 | Fair   |
| Qty / UOM                 | 3 / EA   |
| RUL (years)               | 4  |
| Location                  | Throughout Facility                                |
| Elevator Style            | Passenger  |
| Elevator Type             | Hydraulic  |
| Machinery Location        | Room Adjacent To The Shaft                         |

OBSERVATIONS/COMMENTS:

See elevator consultant report. Full modernization is recommended. Immediate repairs are also required.

COST RECOMMENDATIONS:

| Type  | Component Description                                     | Qty / UOM | Unit Cost (\$) | Plan Type          | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|--------------------|------------|------|-------------------|
| D1011 | D1010 Adjust accel and decel for smooth operations        | 3.0 - EA  | 910.0          | OP - Maintenance   | Priority 2 | 2015 | 2,730             |
| D1011 | D1010 Replace in-car stop switches with keyed switches    | 3.0 - EA  | 2184.0         | CC - Life Safety   | Priority 1 | 2015 | 6,552             |
| D1011 | D1010 Install car identification numbers on car tops      | 3.0 - EA  | 455.0          | FN - Modernization | Priority 2 | 2015 | 1,365             |
| D1011 | D1010 Install door restrictors                            | 3.0 - EA  | 4550.0         | CC - Life Safety   | Priority 1 | 2015 | 13,650            |
| D1011 | D1010 Replace car aprons with 21" long new units          | 3.0 - EA  | 2730.0         | CC - Life Safety   | Priority 1 | 2015 | 8,190             |
| D1011 | D1010 Reseal jack heads to eliminate leaking              | 3.0 - EA  | 1456.0         | OP - Maintenance   | Priority 2 | 2015 | 4,368             |
| D1011 | D1010 Install material to bevel flat surfaces in hoistway | 3.0 - EA  | 2730.0         | FN - Modernization | Priority 2 | 2015 | 8,190             |

| Type  | Component Description                                      | Qty / UOM | Unit Cost (\$) | Plan Type          | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|--------------------|------------|------|-------------------|
| D1011 | D1010 Complete overdue five year tests for car 1           | 1.0 - EA  | 7280.0         | OP - Maintenance   | Priority 2 | 2015 | 7,280             |
| D1011 | D1010 Repair hydraulic leaks on poweer unit piping         | 3.0 - EA  | 1820.0         | OP - Maintenance   | Priority 2 | 2015 | 5,460             |
| D1011 | D1010 New cab interiors during modernization               | 3.0 - EA  | 41860.0        | FN - Modernization | Priority 3 | 2018 | 125,580           |
| D1011 | Replace D1010 Elevator Hydraulic System, 3,500 Lb Capacity | 3.0 - EA  | 172900.0       | FN - Modernization | Priority 3 | 2019 | 518,700           |

| Item                           | Description   |
|--------------------------------|---|
| <b>D1012 Freight Elevators</b> | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb |
| <b>Condition</b>               | Fair  |
| <b>Qty / UOM</b>               | 1 / EA  |
| <b>RUL (years)</b>             | 4   |
| <b>Location</b>                | Throughout Facility                                   |
| <b>Elevator Style</b>          | Passenger   |
| <b>Elevator Type</b>           | Hydraulic   |
| <b>Machinery Location</b>      | Room Adjacent To The Shaft                            |

OBSERVATIONS/COMMENTS:

See elevator consultant report. Full modernization will be required in the next five years. The security card reader is only temporarily attached to the elevator control panel.

COST RECOMMENDATIONS:

| Type  | Component Description                        | Qty / UOM | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------|------------|------|-------------------|
| D1012 | D1010 Reseal jack heads to eliminate leaking | 1.0 - EA  | 1456.0         | OP - Maintenance | Priority 2 | 2015 | 1,456             |

| Type  | Component Description   | Qty / UOM | Unit Cost (\$) | Plan Type          | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|--------------------|------------|------|-------------------|
| D1012 | D1010 Replace in-car stop switches with keyed switches        | 1.0 - EA  | 546.0          | CC - Life Safety   | Priority 1 | 2015 | 546               |
| D1012 | D1010 Adjust accel and decel for smooth operations            | 1.0 - EA  | 910.0          | OP - Maintenance   | Priority 2 | 2015 | 910               |
| D1012 | D1010 Replace chipped pick up rollers on car 5                | 1.0 - EA  | 455.0          | OP - Maintenance   | Priority 2 | 2015 | 455               |
| D1012 | D1010 Install material to bevel flat surfaces in hoistway     | 1.0 - EA  | 10920.0        | FN - Modernization | Priority 2 | 2015 | 10,920            |
| D1012 | D1010 Install door restrictors for all elevators              | 1.0 - EA  | 4550.0         | CC - Life Safety   | Priority 1 | 2015 | 4,550             |
| D1012 | D1010 Repair hydraulic leaks on power unit piping             | 1.0 - EA  | 1820.0         | OP - Maintenance   | Priority 2 | 2015 | 1,820             |
| D1012 | D1010 Install car identification numbers on car tops          | 1.0 - EA  | 455.0          | FN - Modernization | Priority 2 | 2015 | 455               |
| D1012 | D1010 New cab interiors during modernization                  | 1.0 - EA  | 41860.0        | FN - Modernization | Priority 3 | 2018 | 41,860            |
| D1012 | Replace D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb | 1.0 - EA  | 172900.0       | FN - Modernization | Priority 3 | 2019 | 172,900           |

**COST SUMMARY:**

| Type                  | Year | Total Expenditures |
|-----------------------|------|--------------------|
| D10 Conveying Systems | 2015 | \$78,897           |
| D10 Conveying Systems | 2018 | \$167,440          |
| D10 Conveying Systems | 2019 | \$691,600          |

**D20 PLUMBING**

| Item                | Description   |
|---------------------|---|
| D2011 Water Closets | D2010 Commercial Grade Water Closet With 1.6 Gpf Unit |
| Condition           | Fair - Good   |
| Qty / UOM           | 48 / EA   |
| RUL (years)         | 15  |
| Location            | Throughout Facility                                   |
| Low Flow Toilet     | Yes   |
| System Grade        | Commercial Grade                                      |

OBSERVATIONS/COMMENTS:

The toilets have been fit with automatic flush valves.

| Item            | Description         |
|-----------------|---------------------|
| D2012 Urinals   | D2010 Urinal        |
| Condition       | Fair - Good         |
| Qty / UOM       | 16 / EA             |
| RUL (years)     | 15                  |
| Location        | Throughout Facility |
| Low Flow Toilet | Yes                 |
| System Grade    | Commercial Grade    |

OBSERVATIONS/COMMENTS:

The urinals have been fit with automatic flush valves.

| Item             | Description                                      |
|------------------|--|
| D2013 Lavatories | D2010 Enamel Steel Wall Hung Lavatory and Faucet |
| Condition        | Fair - Good                                      |
| Qty / UOM        | 48 / EA  |
| RUL (years)      | 15   |
| Location         | Throughout Facility                              |

| Item            | Description      |
|-----------------|------------------|
| Low Flow Toilet | Yes              |
| System Grade    | Commercial Grade |

OBSERVATIONS/COMMENTS:

The wall-hung lavatories have automatic faucets with hand motion sensors. Long-term lifecycle replacements are budgeted.

| Item                                  | Description                          |
|---------------------------------------|--------------------------------------|
| D2023 Domestic Water Supply Equipment | D2020 Water Storage Tank 2000 Gallon |
| Condition                             | Fair                                 |
| Qty / UOM                             | 1 / EA                               |
| RUL (years)                           | 0                                    |
| Location                              | Boiler Room                          |

OBSERVATIONS/COMMENTS:

The 2,000-gallon storage tank is original to the 1981 construction and was used to store the thermal/solar heated domestic water. The solar system has been abandoned and the rooftop panels have since been removed. EMG recommends demolition and removal of the tank to create additional space and to keep the main boiler room free of unneeded clutter.

COST RECOMMENDATIONS:

| Type  | Component Description                        | Qty / UOM | Unit Cost (\$) | Plan Type         | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|-------------------|------------|------|-------------------|
| D2023 | D2020 Demolish and remove solar storage tank | 1.0 - LS  | 18150.0        | FN - Obsolescence | Priority 1 | 2016 | 18,150            |

| Item                                  | Description                         |
|---------------------------------------|-------------------------------------|
| D2023 Domestic Water Supply Equipment | D2020 Water Storage Tank 500 Gallon |
| Condition                             | Fair                                |
| Qty / UOM                             | 1 / EA                              |
| RUL (years)                           | 9                                   |
| Location                              | Boiler Room                         |

OBSERVATIONS/COMMENTS:

The insulated 500-gallon storage tank is original to the 1981 construction and is used to store domestic hot water. A long-term lifecycle replacement is recommended during the assessment period.

COST RECOMMENDATIONS:

| Type  | Component Description                       | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|------------------------|------------|------|-------------------|
| D2023 | Replace D2020 Water Storage Tank 500 Gallon | 1.0 - EA  | 16176.7        | IN - Beyond Rated Life | Priority 4 | 2024 | 16,177            |

| Item                                  | Description                               |
|---------------------------------------|---|
| D2023 Domestic Water Supply Equipment | D2020 Domestic Water Booster Pump Station |
| Condition                             | Poor - Fair                               |
| Qty / UOM                             | 1 / EA                                    |
| RUL (years)                           | 0   |
| Location                              | Boiler Room                               |

OBSERVATIONS/COMMENTS:

The boiler room has a domestic water booster pump station original to the 1981 construction. The station consists of three pumps; two 5-HP and one 2-HP. One of the 5-HP pump motors recently failed and was replaced, but the remaining components appear original and have reportedly become quite unreliable. Replacement of the entire station is recommended.

COST RECOMMENDATIONS:

| Type  | Component Description                             | Qty / UOM | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|------------------|------------|------|-------------------|
| D2023 | Replace D2020 Domestic Water Booster Pump Station | 1.0 - EA  | 51836.4        | IN - Reliability | Priority 1 | 2015 | 51,836            |

COST SUMMARY:

| Type         | Year | Total Expenditures |
|--------------|------|--------------------|
| D20 Plumbing | 2015 | \$51,836           |
| D20 Plumbing | 2016 | \$18,150           |
| D20 Plumbing | 2024 | \$16,177           |

**D30 HVAC**

| Energy Supply             |                                       |
|---------------------------|---------------------------------------|
| Item                      | Description                           |
| Fuel Oil Type             | N/A                                   |
| Fuel Gas Type             | Natural Gas                           |
| Solid Fuel Type           | N/A                                   |
| District Heat Type        | District Steam                        |
| District Cooling Type     | District Chilled Water                |
| Solar Thermal             | No                                    |
| Fuel Tank Type            | N/A                                   |
| Fuel Tank Size (gallons)  | N/A                                   |
| Fuel Tank Location        | N/A                                   |
| Gas Meter Location        | N/A                                   |
| Electrical Meter Location | On switchgear in main electrical room |
| Water Meter Location      | Vaulted                               |

| Item                      | Description                     |
|---------------------------|---------------------------------|
| D3022.1 Circulating Pumps | D3020 Chilled Water Pumps 25 HP |
| Condition                 | Fair                            |
| Qty / UOM                 | 2 / EA                          |
| RUL (years)               | 3                               |
| Location                  | Boiler Room                     |
| Piping Type               | Galvanized Steel                |
| Piping Diameter           | 12                              |
| Piping Insulation         | Fiberglass                      |
| Pump Manufacturer         | Taco                            |

| Item    | Description |
|---------|-------------|
| Pump HP | 25          |

OBSERVATIONS/COMMENTS:

There are several water stains adjacent to the pumps, indicative of leakage issues. Lifecycle replacements are recommended early in the assessment period.

COST RECOMMENDATIONS:

| Type  | Component Description                   | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|------------------------|------------|------|-------------------|
| D3022 | Replace D3020 Chilled Water Pumps 25 HP | 2.0 - EA  | 26054.9        | IN - Beyond Rated Life | Priority 2 | 2018 | 52,110            |

| Item                      | Description                    |
|---------------------------|--------------------------------|
| D3022.1 Circulating Pumps | D3020 Heating Water Pumps 5 HP |
| Condition                 | Good                           |
| Qty / UOM                 | 2 / EA                         |
| RUL (years)               | 19                             |
| Location                  | Boiler Room                    |
| Piping Type               | Galvanized Steel               |
| Piping Diameter           | 6                              |
| Piping Insulation         | Fiberglass                     |
| Pump Manufacturer         | Paco                           |
| Pump HP                   | 5                              |

OBSERVATIONS/COMMENTS:

The 5-HP heating water distribution pumps and associated motors pumps appear to be recent replacements.

| Item                      | Description   |
|---------------------------|---|
| D3023 Auxiliary Equipment | D3020 Condensate return system (SIMPLEX PUMP, FLOAT SWITCH, 3/4 HP, 15 GPM) |
| Condition                 | Poor - Fair   |
| Qty / UOM                 | 1 / EA  |
| RUL (years)               | 0   |
| Location                  | Utility Areas/Closets   |

**OBSERVATIONS/COMMENTS:**

The primary steam station was rebuilt in 2009 and various valves, PRVs, and steam traps were replaced and added. However, the adjacent low-pressure condensate return station is original to the 1981 construction. The float controls have reportedly failed and the tank is rusting and deteriorating. Short-term replacement is recommended.

**COST RECOMMENDATIONS:**

| Type  | Component Description   | Qty / UOM | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|------------------|------------|------|-------------------|
| D3023 | Replace D3020 Condensate return system (SIMPLEX PUMP, FLOAT SWITCH, 3/4 HP, 15 GPM) | 1.0 - EA  | 17336.2        | IN - Reliability | Priority 1 | 2015 | 17,336            |

| Item                                  | Description                         |
|---------------------------------------|-------------------------------------|
| <b>D3041 Air Distribution Systems</b> | D3040 Balance Air Flow at Diffusers |
| <b>Condition</b>                      | Fair                                |
| <b>Qty / UOM</b>                      | 205461 / SF                         |
| <b>RUL (years)</b>                    | 5                                   |
| <b>Location</b>                       | Office areas                        |

**OBSERVATIONS/COMMENTS:**

In recent years, the ductwork was partially cleaned and balanced, but some components and ductwork runs were omitted from the scope. As such, additional cleaning and balancing work is recommended. If VAV boxes are slated for replacement in the near future, it makes most sense to perform this work in conjunction with the replacement of VAV boxes and re-commissioning of the system.

**COST RECOMMENDATIONS:**

| Type  | Component Description                       | Qty / UOM      | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|---|----------------|----------------|------------------------|------------|------|-------------------|
| D3041 | Replace D3040 Balance Air Flow at Diffusers | 205,461.0 - SF | 0.7            | IN - Beyond Rated Life | Priority 3 | 2020 | 137,577           |

| Item                                | Description                                 |
|-------------------------------------|---|
| D3041.1 Air Handling Units          | D3040 Air Handler 18,000-20,000 CFM         |
| Condition                           | Fair  |
| Qty / UOM                           | 8 / EA                                      |
| RUL (years)                         | 15  |
| Location                            | Utility Areas/Closets                       |
| Air Handling Unit Sub Type          | Variable Volume Multi-Zone                  |
| Air Handling Unit Heat Type         | Hot Water                                   |
| Air Handling Unit Duct Heat Type    | Hot Water                                   |
| Air Handling Unit Cooling Type      | Chilled Water Coil                          |
| Air Handling Unit Outdoor Air       | Damper Controlled                           |
| Number of Return Air Fans           | 8   |
| Duct Supply Diffusers and Registers | In Conditioned Spaces On Walls And Ceilings |
| Duct Return Grilles                 | Conditioned Space                           |

OBSERVATIONS/COMMENTS:

The facility's offices are located around the perimeter of the building and are heated and cooled by air supplied by eight air handling units (two per floor) which feed VAV boxes located in each space. AHUs are provided with chilled water from the central system and range from 17,000 CFM to 21,000 CFM nominal capacity. Fan motors are 7.5 HP on the return/exhaust side and 20 HP on the supply side. AHUs are original to the late 1970's construction. Motors, fan belts, and other components are typically replaced upon failure. AHUs were retro-fitted with energy-saving variable frequency drives (VFDs) and new actuators during the conversion over to DDC controls. These particular fans are older European models that utilize pitched/retracting blades that do not perform well in conjunction with VFDs. It becomes difficult to build up and maintain the static pressure, and the general consequence is "insufficient air." A short-term project to fix and revise the fan blades is highly recommended. Based on the age of the fan motors, motor replacement is recommended at the same time.

In addition to the "lack of air" issues, there are problems associated with the condensate pans. The maintenance staff reported excess water and associated overflow/leaking into adjacent areas of the units and the pans to not appear to be deep enough or properly configured with the floor sinks. As such, new and deeper stainless steel condensate pans are also recommended as a short-term repair project.

COST RECOMMENDATIONS:

| Type  | Component Description                        | Qty / UOM | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------|------------|------|-------------------|
| D3041 | D3040 Revise AHU fan blades & replace motors | 8.0 - EA  | 49600.0        | IN - Reliability | Priority 1 | 2015 | 396,800           |

| Type  | Component Description                    | Qty / UOM | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------|------------|------|-------------------|
| D3041 | D3040 Replace/revise AHU condensate pans | 8.0 - EA  | 18600.0        | IN - Reliability | Priority 1 | 2016 | 148,800           |

| Item                       | Description         |
|----------------------------|---------------------|
| D3041.2 Terminal Units VAV | D3040 VAV Boxes     |
| Condition                  | Fair                |
| Qty / UOM                  | 508 / EA            |
| RUL (years)                | 5                   |
| Location                   | Throughout Facility |

OBSERVATIONS/COMMENTS:

The facility's offices are located around the outside of the building and are heated and conditioned by variable air volume terminals (VAVs) supplied with chilled air by the central system air handlers. The perimeter VAVs have hot water coils supplied by the central system. The interior VAVs are limited to cooling and ventilation capability only. The mix of perimeter and interior units is approximately 50%/50%. While some VAVs have reportedly been added over the years, the maintenance staff reports that the vast majority of VAVs are most likely original to the late 1970's construction. Based on the age of the units, lifecycle replacements are recommended during the term. At the time of replacement, a full duct cleaning and rebalancing project is recommended.

COST RECOMMENDATIONS:

| Type  | Component Description   | Qty / UOM  | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|-------------------------|------------|----------------|------------------------|------------|------|-------------------|
| D3041 | Replace D3040 VAV Boxes | 508.0 - EA | 2496.7         | IN - Beyond Rated Life | Priority 3 | 2020 | 1,268,331         |

| Item                              | Description                     |
|-----------------------------------|---------------------------------|
| D3042 Exhaust Ventilation Systems | D3040 Make Up Air Unit 5000 CFM |
| Condition                         | Fair                            |
| Qty / UOM                         | 1 / EA                          |
| RUL (years)                       | 3                               |
| Location                          | Rooftop                         |

**OBSERVATIONS/COMMENTS:**

The air handling unit serving the cafeteria kitchen is a combination make-up air and exhaust unit with heating and chilled water coil. The unit is located on the roof and exposed to the environment, original to the 1981 construction. The maintenance staff would prefer to use the unit as MUA unit only and to separate or "split off" the exhaust capabilities. Service and refurbishment is recommended within the next few years. Based on the age of the unit, full replacement is recommended.

**COST RECOMMENDATIONS:**

| Type  | Component Description                   | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|------------------------|------------|------|-------------------|
| D3042 | Replace D3040 Make Up Air Unit 5000 CFM | 1.0 - EA  | 40650.7        | IN - Beyond Rated Life | Priority 2 | 2018 | 40,651            |

| Item                                     | Description                            |
|--|--|
| <b>D3042 Exhaust Ventilation Systems</b> | D3040 Exhaust Fan, Sidewall 17,100 CFM |
| <b>Condition</b>                         | Fair                                   |
| <b>Qty / UOM</b>                         | 6 / EA                                 |
| <b>RUL (years)</b>                       | 9                                      |
| <b>Location</b>                          | Rooftop                                |
| <b>Ventilation System</b>                | Central Exhaust Duct Network           |

**OBSERVATIONS/COMMENTS:**

The facility was originally designed and constructed with some revolutionary "green" concepts during the late 1970's. The original intent of the large series of atrium fans was to bring in a large volume of outside air for pre-cooling via a rock-bed heat sink located below the ground floor slab. The outside air was also "cleaned" via a sheet flow of water in the rooftop penthouses. Due to issues and concerns with air quality, mold growth, efficiency, and maintenance costs and time, the pre-cooling concept has been abandoned and the rock-bed is no longer in active use. The atrium fans tend to only be used for ventilation during the hottest days of the year. The vast majority of the time, the atrium is not directly conditioned, and the stack effect is likely responsible for natural air movement within the large open central space. Consideration should be given to a full re-design for cooling (and heating) the atrium, with two large penthouse air handlers likely being the most feasible solution. The interactive effects with the current "perimeter" AHU system would require a comprehensive investigation, and the benefits may not be worth the costs. The scope of this investigation and possible design are beyond this particular study; for the purposes of this report, only lifecycle replacements of the atrium fans are included.

**COST RECOMMENDATIONS:**

| Type  | Component Description                          | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------------|------------|------|-------------------|
| D3042 | Replace D3040 Exhaust Fan, Sidewall 17,100 CFM | 6.0 - EA  | 24289.1        | IN - Beyond Rated Life | Priority 4 | 2024 | 145,734           |

| Item                              | Description                  |
|-----------------------------------|------------------------------|
| D3042 Exhaust Ventilation Systems | D3040 Exhaust Fan 8500 CFM   |
| Condition                         | Fair                         |
| Qty / UOM                         | 2 / EA                       |
| RUL (years)                       | 7                            |
| Location                          | Rooftop                      |
| Ventilation System                | Central Exhaust Duct Network |

OBSERVATIONS/COMMENTS:

The restroom exhaust fans are original to the 1981 construction. Medium-term lifecycle replacements are budgeted.

COST RECOMMENDATIONS:

| Type  | Component Description              | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|------------------------------------|-----------|----------------|------------------------|------------|------|-------------------|
| D3042 | Replace D3040 Exhaust Fan 8500 CFM | 2.0 - EA  | 7679.9         | IN - Beyond Rated Life | Priority 4 | 2022 | 15,360            |

| Item                              | Description                  |
|-----------------------------------|------------------------------|
| D3042 Exhaust Ventilation Systems | D3040 Exhaust Fan 2000 CFM   |
| Condition                         | Fair                         |
| Qty / UOM                         | 3 / EA                       |
| RUL (years)                       | 7                            |
| Location                          | Rooftop                      |
| Ventilation System                | Central Exhaust Duct Network |

OBSERVATIONS/COMMENTS:

The miscellaneous rooftop exhaust fans are original to the 1981 construction.

COST RECOMMENDATIONS:

| Type  | Component Description              | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|------------------------------------|-----------|----------------|------------------------|------------|------|-------------------|
| D3042 | Replace D3040 Exhaust Fan 2000 CFM | 3.0 - EA  | 3450.4         | IN - Beyond Rated Life | Priority 4 | 2022 | 10,351            |

| Item                             | Description                             |
|----------------------------------|---|
| D3043 Steam Distribution Systems | D3040 Domestic Hot Water Heat Exchanger |
| Condition                        | Fair - Good                             |
| Qty / UOM                        | 1 / EA                                  |
| RUL (years)                      | 20                                      |
| Location                         | Boiler Room                             |
| Heat Exchangers Purpose          | Domestic Hot Water Production           |
| Heat Exchanger Process           | Steam To Liquid                         |
| Heat Exchangers Manufacturer     | Patterson Kelley                        |

OBSERVATIONS/COMMENTS:

The heat exchanger used for domestic hot water was replaced within the past five to ten years.

| Item                             | Description                             |
|----------------------------------|---|
| D3043 Steam Distribution Systems | D3040 HVAC Heating Water Heat Exchanger |
| Condition                        | Good                                    |
| Qty / UOM                        | 1 / EA                                  |
| RUL (years)                      | 28                                      |
| Location                         | Boiler Room                             |
| Heat Exchangers Purpose          | Space Heating                           |
| Heat Exchanger Process           | Steam To Liquid                         |

OBSERVATIONS/COMMENTS:

The heat exchanger used for HVAC heating water was replaced within the past few years.

| Item                | Description  |
|---------------------|--|
| D3052 Package Units | D3050 Split System Unit, 4-Ton, Condenser and Fan Coil |
| Condition           | Fair   |
| Qty / UOM           | 1 / EA   |
| RUL (years)         | 3  |

| Item     | Description      |
|----------|------------------|
| Location | Exterior Balcony |

OBSERVATIONS/COMMENTS:

The rooftop heat pump on the Suite 300 balcony is dated 2001. Replacement is anticipated during the next few years.

COST RECOMMENDATIONS:

| Type  | Component Description  | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------------|------------|------|-------------------|
| D3052 | Replace D3050 Split System Unit, 4-Ton, Condenser and Fan Coil | 1.0 - EA  | 24663.6        | IN - Beyond Rated Life | Priority 2 | 2018 | 24,664            |

| Item                      | Description                                      |
|---------------------------|--|
| D3052 Package Units       | D3050 Computer Room A/C Units, Air Cooled 10-Ton |
| Condition                 | Fair - Good                                      |
| Qty / UOM                 | 2 / EA   |
| RUL (years)               | 14   |
| Location                  | Rooftop  |
| Package Unit Location     | Rooftop  |
| Package Unit Controls     | Building System                                  |
| Package Unit Manufacturer | Liebert  |
| Package Unit Model        | DCDF308-A  |

OBSERVATIONS/COMMENTS:

Two split-system air conditioning units were added to the tenant's large server room in 2008. The system uses chilled water from the central plant as the primary coolant and rooftop drycoolers as back-up.

| Item                              | Description                                   |
|-----------------------------------|---|
| D3068 Building Automation Systems | D3060 Direct Digital Controls (DDC) Extensive |
| Condition                         | Good  |
| Qty / UOM                         | 1 / SF  |
| RUL (years)                       | 18  |
| Location                          | Throughout Facility                           |

| Item                         | Description |
|------------------------------|-------------|
| Pneumatic Controls Equipment | Compressor  |
| HVAC Controls Manufacturer   | Alerton     |
| HVAC Controls Model          | BACtalk 2.6 |

OBSERVATIONS/COMMENTS:

In 2012, the original pneumatic controls were converted over to a full DDC Alerton BACtalk 2.6 system. The maintenance staff stated that the system works properly and to their satisfaction. Periodic software upgrades are recommended as part of property maintenance.

COST SUMMARY:

| Type     | Year | Total Expenditures |
|----------|------|--------------------|
| D30 HVAC | 2015 | \$414,136          |
| D30 HVAC | 2016 | \$148,800          |
| D30 HVAC | 2018 | \$117,424          |
| D30 HVAC | 2020 | \$1,405,908        |
| D30 HVAC | 2022 | \$25,711           |
| D30 HVAC | 2024 | \$145,734          |

**D40 FIRE PROTECTION SYSTEMS**

| Fire and Life Safety System          |                    |
|--------------------------------------|--------------------|
| Item                                 | Description        |
| Fire Alarm System Components Present |                    |
| Smoke detectors                      | Yes                |
| Pull stations                        | Yes                |
| Audible alarms                       | Yes                |
| Strobe lights                        | Yes                |
| Central fire alarm panel             | Yes                |
| Annunciator panel                    | Yes                |
| Smoke Detectors Power Supply         | Hardwired Electric |
| Carbon Monoxide Detectors            | No                 |
| Heat Detector                        | Yes                |
| Central Fire Alarm Panel Location    | Electrical Room    |
| Annunciator Panel Location           | Lobby              |
| Fire Extinguishers                   | Yes                |

| <b>Fire and Life Safety System</b>                           |                                   |
|--|-----------------------------------|
| <b>Item</b>  | <b>Description</b>                |
| Fire Extinguisher Inspection Date                            | February 1, 2014                  |
| Distance to Nearest Fire Hydrant (ft)                        | 20                                |
| Illuminated Exit Signs                                       | Yes                               |
| Kitchen Suppression Systems                                  | Yes                               |
| Halon Gas Systems  | No                                |
| Smoke Evacuation Systems                                     | No                                |
| Fire-rated Stairwells  | Yes                               |
| Fire-rated Stairwell Finish                                  | N/A                               |
| Stairwell Discharge  | Exterior of the building at Grade |
| Stairwell Pressurized  | No                                |
| Fire-Rated Doors Observed                                    | Yes                               |
| Location of Fire-Rated Doors                                 | Office entrances                  |
| Fire Alarm Service Company                                   | N/A                               |
| Date of Last Fire Alarm Service                              | N/A                               |
| Are the individual office unit fire alarm systems monitored? | Yes                               |
| Are the common area fire alarm systems monitored?            | Yes                               |
| Types of Common Areas Monitored                              | All                               |
| Fire Alarm Monitoring Company                                | Not disclosed                     |

| <b>Item</b>  | <b>Description</b>                |
|--|-----------------------------------|
| D4011 Sprinkler Water Supply                       | D4010 Fire Sprinkler installation |
| Condition  | Fair                              |
| Qty / UOM  | 293516 / SF                       |
| RUL (years)  | 2                                 |
| Location   | Basement Boiler Room              |
| Fire Sprinkler Type                                | Wet Sprinkler                     |
| Fire Sprinkler Pipe Material                       | Steel                             |
| Recalled Sprinkler Heads (Omega or Central brands) | No                                |
| Sprinkler Standpipes                               | Yes                               |
| Location of Sprinkler Standpipes                   | Stairwells                        |
| Backflow Preventer                                 | Yes                               |

| Item                              | Description |
|-----------------------------------|-------------|
| Date of Last Sprinkler Inspection | March 2014  |

OBSERVATIONS/COMMENTS:

The vast majority of the building is not protected by fire suppression; wet-pipe sprinkler heads are currently limited to the basement boiler room. The original fire hose cabinets have been taken off-line, although the dry standpipes in each tower have been retrofit for emergency use. Installation of fire sprinklers is required when major renovations are performed.

COST RECOMMENDATIONS:

| Type  | Component Description                          | Qty / UOM      | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|--|----------------|----------------|------------------|------------|------|-------------------|
| D4011 | D4010 Repair water lines to fire hose cabinets | 8.0 - EA       | 5782.0         | CC - Life Safety | Priority 1 | 2015 | 46,256            |
| D4011 | Install facility-wide sprinkler system         | 293,516.0 - SF | 9.3            | CC - Life Safety | Priority 1 | 2017 | 2,729,229         |

COST SUMMARY:

| Type                        | Year | Total Expenditures |
|-----------------------------|------|--------------------|
| D40 Fire Protection Systems | 2015 | \$46,256           |
| D40 Fire Protection Systems | 2017 | \$2,729,229        |

**D50 ELECTRICAL SYSTEMS**

| Item                              | Description                               |
|-----------------------------------|---|
| D5012 Low Tension Service & Dist. | D5010 Breaker Panel 225 Amps, 30 Circuits |
| Condition                         | Fair                                      |
| Qty / UOM                         | 32 / EA                                   |
| RUL (years)                       | 5   |
| Location                          | Utility Areas/Closets                     |

OBSERVATIONS/COMMENTS:

Most of the electrical panels are original 1981 Federal Pacific panels. A very isolated number of panels are newer. The electrical service is reportedly adequate for the facility's needs. Due to the age of the panels and increasing difficulty of obtaining replacement parts over time, lifecycle replacements are recommended.

**COST RECOMMENDATIONS:**

| Type  | Component Description                             | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|---|-----------|----------------|------------------------|------------|------|-------------------|
| D5012 | Replace D5010 Breaker Panel 225 Amps, 30 Circuits | 32.0 - EA | 7864.3         | IN - Beyond Rated Life | Priority 3 | 2020 | 251,658           |

| Item  | Description                                    |
|---|--|
| D5012 Low Tension Service & Dist.                 | D5010 Switchgear, Mainframe, 2500 Amps         |
| Condition   | Fair   |
| Qty / UOM   | 2 / EA   |
| RUL (years)                                       | 5  |
| Location  | Main Electrical Room                           |
| Service Size (Amperage)                           | 2500   |
| Service Voltage                                   | 277/480  |
| Service Voltage Type                              | Three-Phase Four-Wire Alternating Current (Ac) |
| Step Down Transformers                            | Yes  |
| Electrical Distribution Panel Type                | Circuit Breakers                               |
| Main Electrical Distribution Lines                | Underground                                    |
| Site Electrical Transformer Location              | Pad-Mounted                                    |
| Electrical Wiring Material                        | Solid Copper                                   |
| Electrical Wiring in Metal Conduit                | Yes  |
| Electrical Wiring in Non-Metal (NM) Conduit       | No   |
| Electrical Wiring in Non- Metal Sheathing (Romex) | No   |
| Electrical Wiring in Metal Sheathing (BX)         | No   |

**OBSERVATIONS/COMMENTS:**

The main switchgear is original 1981 Federal Pacific equipment. The electrical service is reportedly adequate for the facility's needs. A full infrared scan, cleaning, and tightening effort was performed on 11/16/2013. Due to the age of the components and increasing difficulty of obtaining replacement parts over time, lifecycle replacement is recommended.

**COST RECOMMENDATIONS:**

| Type  | Component Description                          | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------------|------------|------|-------------------|
| D5012 | Replace D5010 Switchgear, Mainframe, 2500 Amps | 2.0 - EA  | 17847.0        | IN - Beyond Rated Life | Priority 3 | 2020 | 35,694            |

| Item                              | Description                            |
|-----------------------------------|--|
| D5012 Low Tension Service & Dist. | D5010 Secondary Dry Transformer 45 kVA |
| Condition                         | Fair                                   |
| Qty / UOM                         | 32 / EA                                |
| RUL (years)                       | 5                                      |
| Location                          | Utility Areas/Closets                  |

**OBSERVATIONS/COMMENTS:**

The stepdown transformers are original 1981 Federal Pacific panels. The electrical service is reportedly adequate for the facility's needs. Due to the age of the transformers, lifecycle replacements are recommended.

**COST RECOMMENDATIONS:**

| Type  | Component Description                          | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------------|------------|------|-------------------|
| D5012 | Replace D5010 Secondary Dry Transformer 45 kVA | 32.0 - EA | 14159.8        | IN - Beyond Rated Life | Priority 3 | 2020 | 453,112           |

| Item                              | Description                            |
|-----------------------------------|--|
| D5012 Low Tension Service & Dist. | D5010 Secondary Dry Transformer 75 kVA |
| Condition                         | Fair                                   |
| Qty / UOM                         | 32 / EA                                |
| RUL (years)                       | 5                                      |
| Location                          | Utility Areas/Closets                  |

OBSERVATIONS/COMMENTS:

The stepdown transformers are original 1981 Federal Pacific panels. The electrical service is reportedly adequate for the facility’s needs. Due to the age of the transformers, lifecycle replacements are recommended.

COST RECOMMENDATIONS:

| Type  | Component Description                          | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------------|------------|------|-------------------|
| D5012 | Replace D5010 Secondary Dry Transformer 75 kVA | 32.0 - EA | 19199.4        | IN - Beyond Rated Life | Priority 3 | 2020 | 614,382           |

| Item                     | Description             |
|--------------------------|-------------------------|
| D5022 Lighting Equipment | D5022 Lighting Fixtures |
| Condition                | Fair                    |
| Qty / UOM                | 484 / EA                |
| RUL (years)              | 2                       |
| Location                 | Offices and corridors   |

OBSERVATIONS/COMMENTS:

Replace interior light fixtures in conjunction with the recommended replacement of suspended acoustical ceilings in various offices and corridors throughout building.

COST RECOMMENDATIONS:

| Type  | Component Description           | Qty / UOM  | Unit Cost (\$) | Plan Type          | Priority   | Year | Expenditures (\$) |
|-------|---------------------------------|------------|----------------|--------------------|------------|------|-------------------|
| D5022 | Replace D5022 Lighting Fixtures | 484.0 - EA | 401.2          | FN - Modernization | Priority 2 | 2017 | 194,181           |

| Item                     | Description           |
|--------------------------|-----------------------|
| D5022 Lighting Equipment | D5020 Atrium Lighting |
| Condition                | Fair                  |
| Qty / UOM                | 16 / EA               |
| RUL (years)              | 3                     |
| Location                 | Atrium                |

OBSERVATIONS/COMMENTS:

Most of the illumination in the atrium area is provided naturally by the skylights. Lighting is limited to five ceiling hung fixtures and some footlights adjacent to ramps and stairs. As such, lighting is fairly limited during the nighttime, despite reports that events are held within the atrium throughout the year, and commonly after working hours. Some additional lighting is recommended, whether it be provided via wall-packs installed around the perimeter, by additional ceiling-hung fixtures, or through a "gas-lamp" courtyard-type concept. The specific design is beyond the scope of this assessment, but a placeholder budgetary cost is included as a short-term project.

COST RECOMMENDATIONS:

| Type  | Component Description  | Qty / UOM | Unit Cost (\$) | Plan Type    | Priority   | Year | Expenditures (\$) |
|-------|------------------------|-----------|----------------|--------------|------------|------|-------------------|
| D5022 | Add lighting to atrium | 16.0 - EA | 1206.0         | FN - Mission | Priority 3 | 2018 | 19,296            |

| Item                     | Description                            |
|--------------------------|--|
| D5022 Lighting Equipment | D5020 Exterior Wall Mt Light, 100 Watt |
| Condition                | Poor                                   |
| Qty / UOM                | 56 / EA                                |
| RUL (years)              | 0                                      |
| Location                 | Building Exterior                      |

OBSERVATIONS/COMMENTS:

There are integral footlights installed along the bases of the planters outside the northeast and southwest corners of the building. Similar lights are installed along the building perimeter, commonly adjacent to the concrete "benches." Many of these lights have been vandalized, and others were observed to be illuminated during the daytime, due to faulty sensors or photocells. In addition, the maintenance staff reported that much of the associated underground conduit for the wiring has completely deteriorated. Full replacement of these fixtures, conduit, and wiring is recommended.

COST RECOMMENDATIONS:

| Type  | Component Description                          | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------------|------------|------|-------------------|
| D5022 | Replace D5020 Exterior Wall Mt Light, 100 Watt | 56.0 - EA | 1079.6         | IN - Beyond Rated Life | Priority 1 | 2015 | 60,458            |

| Item                     | Description            |
|--------------------------|------------------------|
| D5037 Fire Alarm Systems | D5030 Fire Alarm Panel |
| Condition                | Fair - Good            |
| Qty / UOM                | 1 / EA                 |
| RUL (years)              | 6                      |
| Location                 | Main Electrical Room   |

OBSERVATIONS/COMMENTS:

The fire alarm panel replacement is anticipated during the term.

COST RECOMMENDATIONS:

| Type  | Component Description          | Qty / UOM | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|--------------------------------|-----------|----------------|------------------|------------|------|-------------------|
| D5037 | Replace D5030 Fire Alarm Panel | 1.0 - EA  | 30680.0        | CC - Life Safety | Priority 3 | 2021 | 30,680            |

| Item                                  | Description                   |
|---------------------------------------|-------------------------------|
| D5092 Emergency Light & Power Systems | D5090 Diesel Generator 150 kW |
| Condition                             | Fair                          |
| Qty / UOM                             | 1 / EA                        |
| RUL (years)                           | 7                             |
| Location                              | Utility Areas/Closets         |

OBSERVATIONS/COMMENTS:

The emergency generator is original to the building construction. A lifecycle replacement is recommended during the term. EMG did not observe any secondary containment around the diesel fuel day tank. A budget for short-term repair/modification is included.

COST RECOMMENDATIONS:

| Type  | Component Description                        | Qty / UOM | Unit Cost (\$) | Plan Type               | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|-------------------------|------------|------|-------------------|
| D5092 | D5090 Add secondary containment for day tank | 1.0 - 1   | 8260.0         | EN - Air/ Water Quality | Priority 1 | 2015 | 8,260             |
| D5092 | Replace D5090 Diesel Generator 150 kW        | 1.0 - EA  | 123936.4       | CC - Life Safety        | Priority 4 | 2022 | 123,936           |

| Item                                  | Description           |
|---------------------------------------|-----------------------|
| D5092 Emergency Light & Power Systems | D5090 Transfer Switch |
| Condition                             | Poor - Fair           |
| Qty / UOM                             | 1 / EA                |
| RUL (years)                           | 0                     |
| Location                              | Utility Areas/Closets |

OBSERVATIONS/COMMENTS:

The transfer switch associated with the emergency generator has become problematic, with the unit reportedly not automatically switching back to grid power during a recent use. The transfer switch is original 1981 equipment and a short-term replacement is recommended. The adjacent original electrical panel is recommended for replacement at the same time.

COST RECOMMENDATIONS:

| Type  | Component Description         | Qty / UOM | Unit Cost (\$) | Plan Type        | Priority   | Year | Expenditures (\$) |
|-------|-------------------------------|-----------|----------------|------------------|------------|------|-------------------|
| D5092 | Replace D5090 Transfer Switch | 1.0 - EA  | 10613.1        | CC - Life Safety | Priority 1 | 2015 | 10,613            |

COST SUMMARY:

| Type                   | Year | Total Expenditures |
|------------------------|------|--------------------|
| D50 Electrical Systems | 2015 | \$79,331           |
| D50 Electrical Systems | 2017 | \$194,181          |
| D50 Electrical Systems | 2018 | \$19,296           |
| D50 Electrical Systems | 2020 | \$1,354,846        |
| D50 Electrical Systems | 2021 | \$30,680           |
| D50 Electrical Systems | 2022 | \$123,936          |

# G Building Sitework Systems

## G20 SITE IMPROVEMENTS

| Site Information                              |                             |
|---|-----------------------------|
| Item  | Description                 |
| Main Ingress and Egress                       | Ninth Street                |
| Access from                                   | E                           |
| Additional Entrances                          | N/A                         |
| Access from                                   | N/A                         |
| Parking Count: Open lot                       | 0                           |
| Parking Count: Sheltered by carports          | 0                           |
| Parking Count: Private garages                | 0                           |
| Parking Count: Subterranean garage            | 0                           |
| Parking Count: Freestanding parking structure | 0                           |
| Number of ADA Compliant Spaces                | 0                           |
| Number of ADA Compliant Spaces for Vans       | 0                           |
| Method of obtaining parking count             | Physical count              |
| Property Identification Sign-Primary          | Structure mounted           |
| Property Identification Sign- Secondary       | N/A                         |
| Illuminated Identification Signage            | No                          |
| Building Identification Sign                  | Yes                         |
| Illuminated Sign                              | No                          |
| Location of Property ID Sign                  | Front elevation of building |
| Trees Present                                 | Yes                         |
| Shrubs Present                                | Yes                         |
| Grasses Present                               | No                          |
| Flower beds Present                           | Yes                         |
| Decorative Rocks Present                      | No                          |
| Lava Rocks Present                            | No                          |
| Ponds Present                                 | No                          |
| Fountains Present                             | No                          |
| Topography                                    | Flat                        |

| Item                 | Description          |
|----------------------|----------------------|
| G2033 Exterior Steps | G2030 Concrete Steps |
| Condition            | Fair                 |
| Qty / UOM            | 975 / SF             |
| RUL (years)          | 2                    |
| Location             | First Floor & Site   |
| Stair Material       | Concrete             |
| Stair Handrail       | Metal                |

OBSERVATIONS/COMMENTS:

There are two main entrances to the building; a public entrance at east side (9th street) and an employees' entrance at west side (8th street).

COST RECOMMENDATIONS:

| Type  | Component Description        | Qty / UOM  | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|------------------------------|------------|----------------|------------------------|------------|------|-------------------|
| G2033 | Replace G2030 Concrete Steps | 975.0 - SF | 220.1          | IN - Beyond Rated Life | Priority 3 | 2017 | 214,573           |

| Item           | Description                 |
|----------------|-----------------------------|
| G2055 Planting | G2050 Landscaping Allowance |
| Condition      | Fair                        |
| Qty / UOM      | 1300 / SF                   |
| RUL (years)    | 0                           |
| Location       | Exterior                    |

OBSERVATIONS/COMMENTS:

There are raised exterior planters around the building that require additional planting and irrigation

COST RECOMMENDATIONS:

| Type  | Component Description               | Qty / UOM    | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|-------------------------------------|--------------|----------------|------------------------|------------|------|-------------------|
| G2055 | Replace G2050 Landscaping Allowance | 1,300.0 - SF | 7.1            | IN - Beyond Rated Life | Priority 1 | 2015 | 9,221             |

| Item                     | Description                          |
|--------------------------|--------------------------------------|
| G2057 Irrigation Systems | G2050 Irrigation System, Install New |
| Condition                | Poor                                 |
| Qty / UOM                | 18 / EA                              |
| RUL (years)              | 0                                    |
| Location                 | Inside atrium                        |

OBSERVATIONS/COMMENTS:

There are 18 planters (3'-3" x 3'-3") inside the atrium that need a new irrigation system due to damage caused by moving the planters. Currently the planters are watered by hand.

COST RECOMMENDATIONS:

| Type  | Component Description                        | Qty / UOM | Unit Cost (\$) | Plan Type              | Priority   | Year | Expenditures (\$) |
|-------|--|-----------|----------------|------------------------|------------|------|-------------------|
| G2057 | Replace G2050 Irrigation System, Install New | 18.0 - EA | 483.6          | IN - Beyond Rated Life | Priority 1 | 2015 | 8,705             |

COST SUMMARY:

| Type                  | Year | Total Expenditures |
|-----------------------|------|--------------------|
| G20 Site Improvements | 2015 | \$17,925           |
| G20 Site Improvements | 2017 | \$214,573          |

The weather at the time of the assessment was:

| Item  | Description |
|---|-------------|
| Approximate Outdoor Temperature (degrees F) | 65          |
| Weather Conditions                          | Cloudy      |
| Snow Covering Ground                        | No          |
| Wind Conditions                             | Light Winds |

The documentation provided at the time of the assessment is as:

| Item  | Description         |
|---|---------------------|
| Site Plan Reviewed                            | Yes                 |
| Floor Plan Reviewed                           | Yes                 |
| Construction Drawings Reviewed                | Yes                 |
| Termite Inspection Report Reviewed            | No                  |
| Boiler Certificates Reviewed                  | No                  |
| Document Year Built Information Obtained From | Building Fact Sheet |



## **APPENDIX C: CERTIFICATION**

EMG has completed a FCA of the subject property listed on the cover page. The FCA was performed at the Client's request using methods and procedures consistent with good commercial and customary practice conforming with ASTM E2018-08, Standard Guide for Property Condition Assessments: Baseline Property Condition Assessment Process. Within this Property Condition Report (PCR), EMG's reference to the Client follows the ASTM guide's definition of User, that is, the party that retains EMG for the preparation of a baseline FCA of the subject property.

This report is exclusively for the use and benefit of the Client identified on the first page of this report. The purpose for which this report shall be used shall be limited to the use as stated in the contract between the client and EMG.

The opinions EMG expresses in this report were formed utilizing the degree of skill and care ordinarily exercised by any prudent architect or engineer in the same community under similar circumstances. EMG assumes no responsibility or liability for the accuracy of information contained within this report that has been obtained from the Client or the Client's representatives, from other interested parties, or from the public domain. The conclusions presented represent EMG's professional judgment based on information obtained during the course of this assignment. EMG's evaluations, analyses, and opinions are not representations regarding the building design, structural soundness, or actual value of the property. Factual information regarding operations, conditions, and test data provided by the Client or the Client's representative has been assumed to be correct and complete. The conclusions presented within this report are based on the data provided, observations made, and conditions that existed specifically on the date of the assessment. EMG certifies that EMG has no undisclosed interest in the subject property, that EMG's relationship with the Client is at arms-length, and that EMG's employment and compensation are not contingent upon the findings or estimated costs to remedy any noted deficiencies due to deferred maintenance and/or any noted component or system replacements.

EMG's FCA cannot wholly eliminate the uncertainty regarding the presence of physical deficiencies and/or the performance of a subject property's building systems. Preparation of a FCA in accordance with ASTM E2018-08 is intended to reduce, but not eliminate, the uncertainty regarding the potential for component or system failure and to reduce the potential that such component or system failure may not be initially observed. This FCA was prepared recognizing the inherent subjective nature of EMG's opinions as to such issues as workmanship, quality of original installation, and estimating the remaining useful life of any given component or system. It should be understood that EMG's suggested remedy may be determined under time constraints or may be formed without the aid of engineering calculations, testing, exploratory probing, the removal of materials, or design. Furthermore, there may be other alternate or more appropriate schemes or methods to remedy the noted physical deficiencies. EMG's opinions are generally formed without detailed knowledge from individuals familiar with the performance of noted components or systems.

Any questions regarding this report should be directed to the Program Manager.

**Prepared By:** Djahan Nabili, Field Observer

**Reviewed By:**   
Matt Anderson, Program Manager

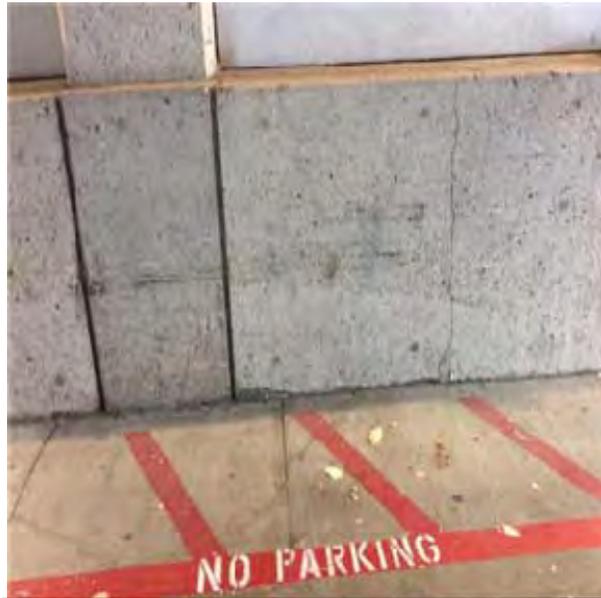
## **APPENDIX D: PHOTOS**



B1010 Floor Construction



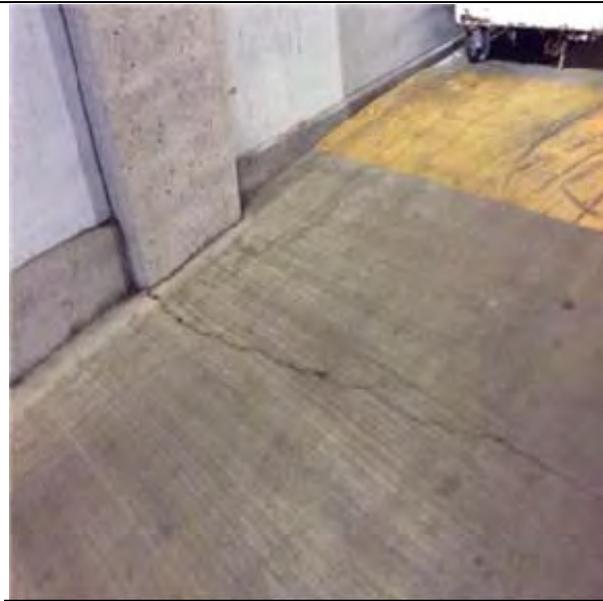
B1010 Floor Construction



B1012 Concrete Floor Construction



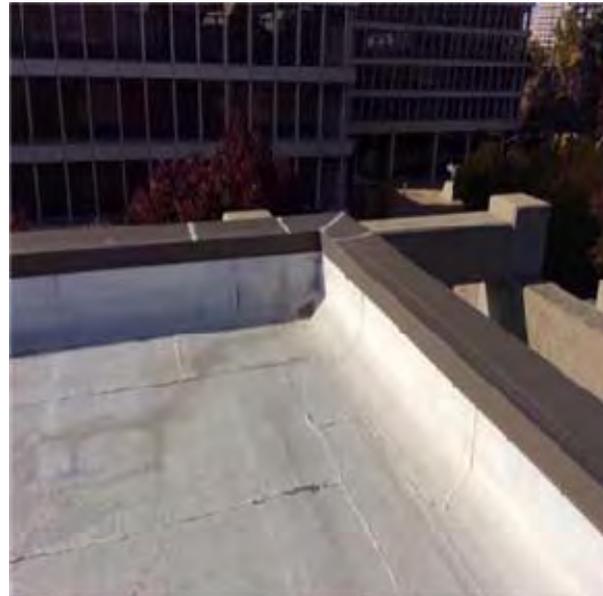
B1012 Concrete Floor Construction



B1012 Concrete Floor Construction



B1010 Ramps:- 9th street Ramp



B1029 Metal Guardrail, Low Roof Parapet



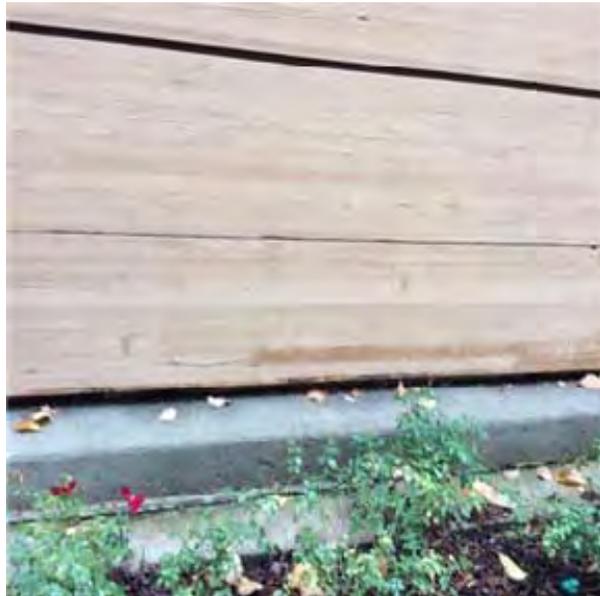
B2010 Glue-lam beam at walls and railings



B2010 Glue-lam beam at walls and railings



B2010 Glue-lam beam at walls and railings



B2010 Glue-lam beam at walls and railings



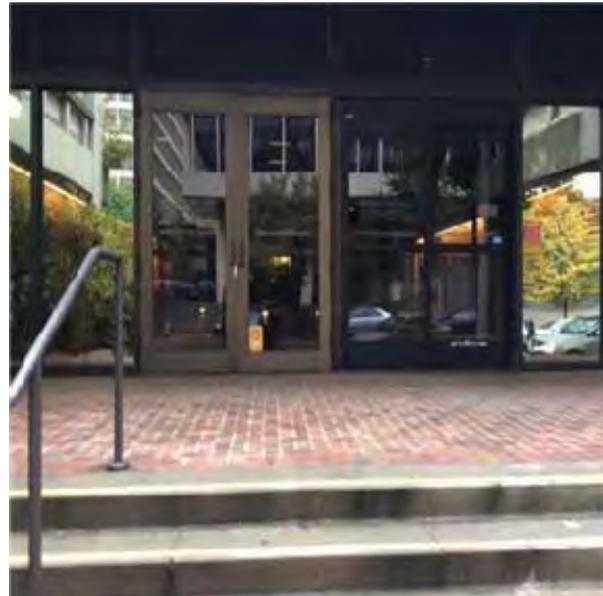
B2010 Metal deck railings:- View of outside edge of deck/rail



B2020 Exterior aluminum windows



B2030 Exterior Doors



B2030 Exterior Doors



B2030 Exterior Doors:- Room 230



B3010 Built-Up Roofing, Total Roof :- Support brackets from original solar system



B3010 Built-Up Roofing, Total Roof



B3011 Balcony Coatings



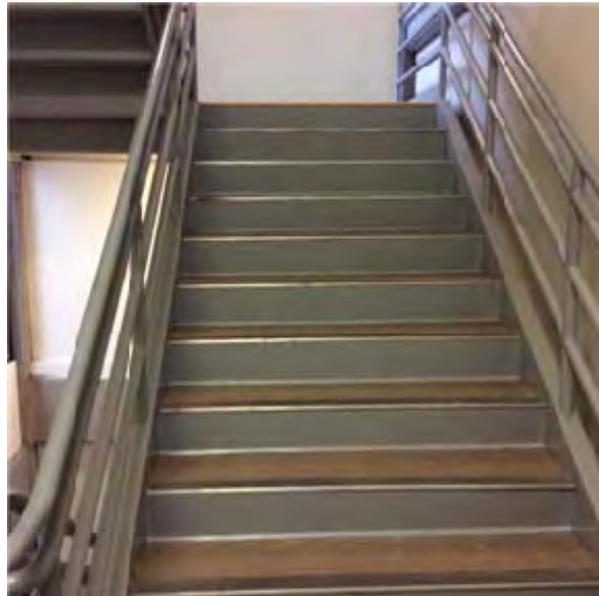
B3010 Metal Roof Flashing



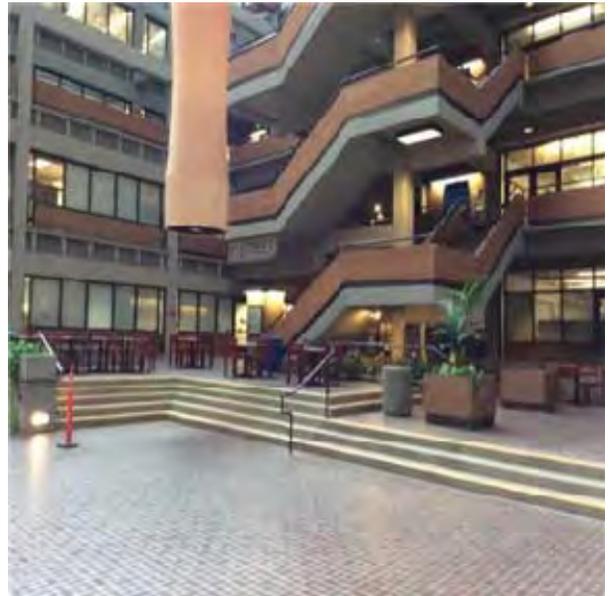
C1020 Door :- next to loading duck



C1020 Fire Door, metal with wire glass:- door to roof



C2010 Concrete Stairs :- Fire Exit Stair



C2010 Concrete Stairs:- interior Stair



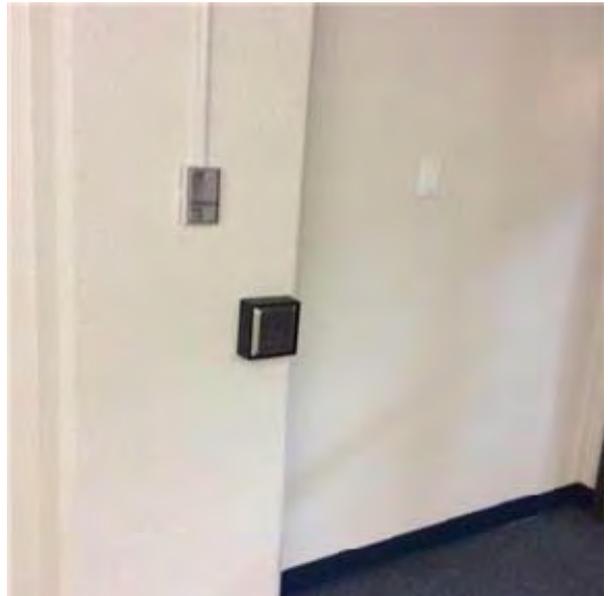
C3010 Wood Paneling



C3010 Wood Paneling



C3010 Wood Paneling



C3012 Painted Drywall



C3012 4X4 Ceramic Tile



C3020 Brick flooring at atrium



C3020 Sheet Vinyl



C3020 Sheet Vinyl:- Hallway to kitchen



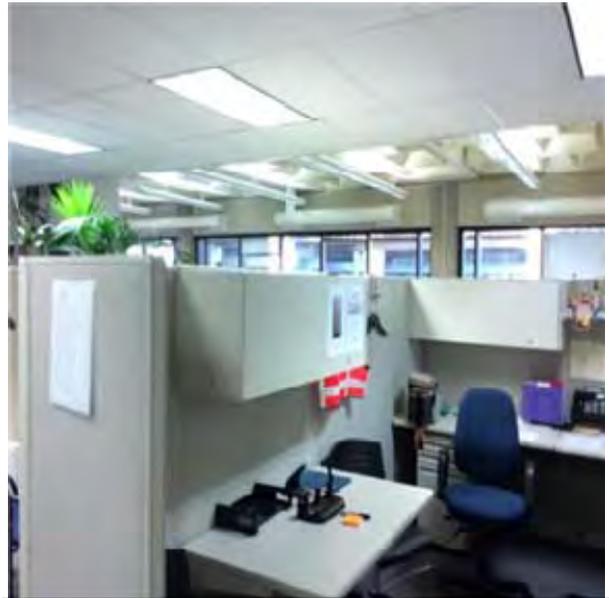
C3020 Sheet Vinyl



C3020 2X2 Ceramic Tile:- Floor of men Restroom



C3030 Acoustical Tile With Exposed Grid System



C3030 Acoustical Tile With Exposed Grid System



D1010 Elevator Hydraulic System, 3,500 Lb Capacity



D1010 Elevator Hydraulic System, 3,500 Lb Capacity:-  
Elevator cab



D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb



D2010 Commercial Grade Water Closet With 1.6 Gpf  
Unit



D2010 Urinal



D2010 Enamel Steel Wall Hung Lavatory and Faucet



D2020 Water Storage Tank 500 Gallon



D2020 Water Storage Tank 2000 Gallon



D2020 Domestic Water Booster Pump Station



D3020 Chilled Water Pumps 25 HP



D3020 Heating Water Pumps 5 HP



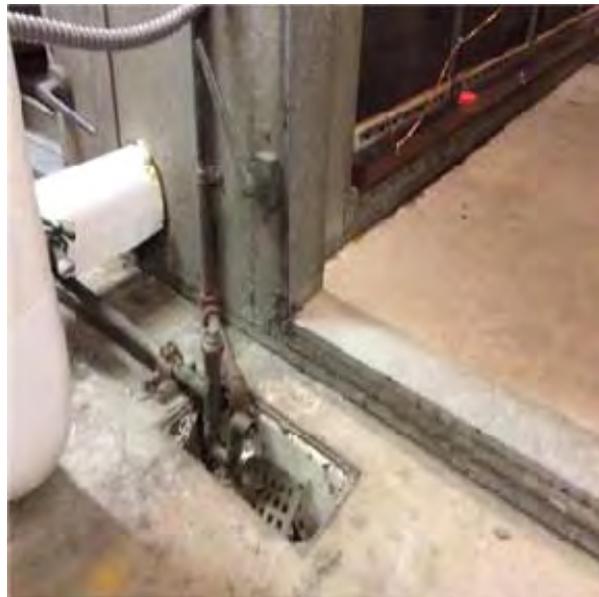
D3040 Air Handler 18,000-20,000 CFM



D3040 Air Handler 18,000-20,000 CFM :- Fan motor



D3040 Air Handler 18,000-20,000 CFM:- Condensate pan



D3040 Air Handler 18,000-20,000 CFM :- Condensate pans and floor sinks



D3040 Exhaust Fan 2000 CFM



D3040 Make Up Air Unit 5000 CFM



D3040 Exhaust Fan, Sidewall 17,100 CFM



D3040 HVAC Heating Water Heat Exchanger :- HVAC heating water HEX



D3040 Domestic Hot Water Heat Exchanger:- Domestic hot water HEX



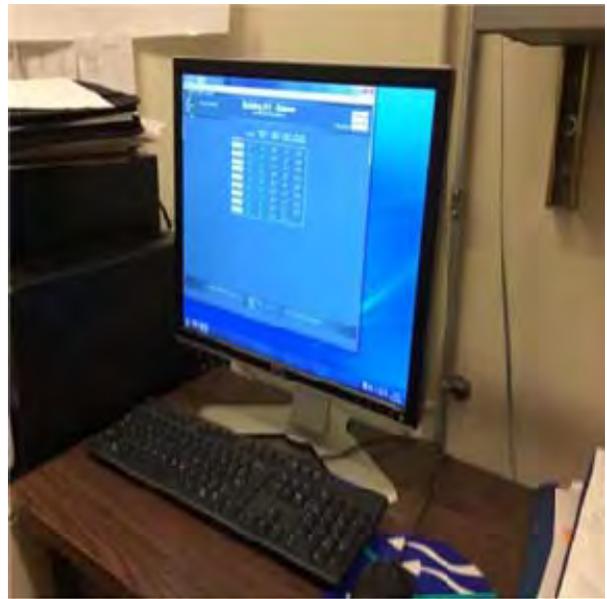
D3050 Split System Unit, 4-Ton, Condenser and Fan Coil



D3050 Computer Room A/C Units, Air Cooled 10-Ton



D3050 Computer Room A/C Units, Air Cooled 10-Ton



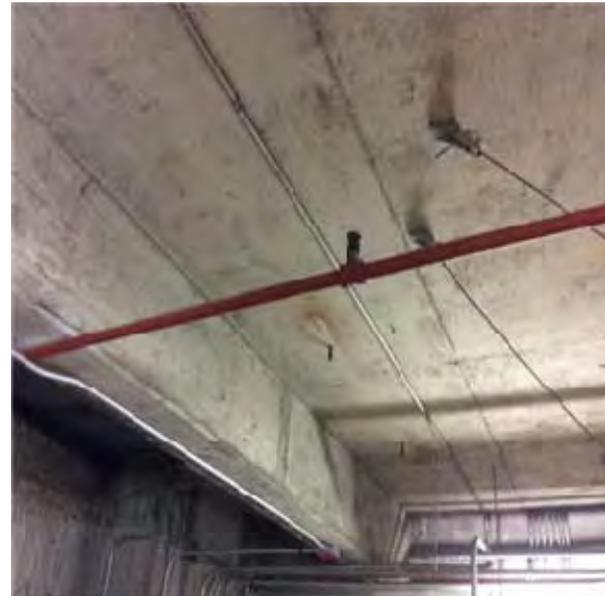
D3060 Direct Digital Controls (DDC) Extensive



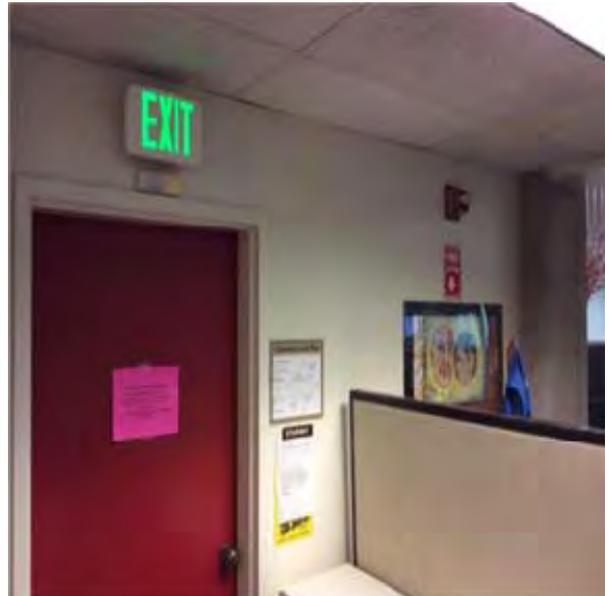
D3060 Direct Digital Controls (DDC) Extensive



D4010 Fire Sprinkler installation



D4010 Fire Sprinkler installation



D4010 Fire Sprinkler installation:- Most areas lack sprinkler protection



D5010 Breaker Panel 225 Amps, 30 Circuits



D5010 Switchgear, Mainframe, 2500 Amps



D5010 Secondary Dry Transformer 45 kVA



D5020 Atrium Lighting:- Lights from perimeter walks



D5020 Exterior Wall Mt Light, 100 Watt



D5020 Exterior Wall Mt Light, 100 Watt



D5030 Fire Alarm Panel



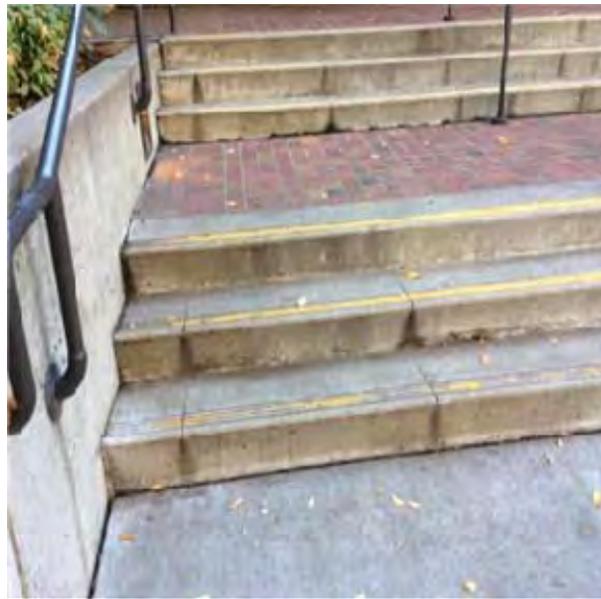
D5090 Transfer Switch



D5090 Diesel Generator 150 kW



D5090 Diesel Generator 150 kW:- Day tank lacking secondary containment



G2030 Concrete Steps :- 9th street steps



G2030 Concrete Steps



G2030 Concrete Steps :- Main Entrance



G2050 Landscaping Allowance



## **APPENDIX E:      TERMINOLOGY AND ABBREVIATIONS**

| <b>TERMINOLOGY and ABBREVIATIONS</b> |   |
|--------------------------------------|---|
| Actual Knowledge                     | Information or observations known first hand by EMG.  |
| ADA                                  | The Americans with Disabilities Act   |
| AHU                                  | Air Handling Unit   |
| Ancillary Structures                 | Structures that are not the primary improvements of the Property but which may have been constructed to provide support uses.   |
| ASTM                                 | American Society for Testing and Materials  |
| Baseline                             | A minimum scope level of observation, inquiry, research, documentation review, and cost estimating for conducting a Property Condition Assessment as normally conducted by EMG.   |
| BOMA                                 | Building Owners & Managers Association  |
| Building                             | Referring to the primary building or buildings on the Property, which are within the scope of the FCA.  |
| Building Codes                       | A compilation of rules adopted by the municipal, county and/or state governments having jurisdiction over the Property that govern the property's design &/or construction of buildings.  |
| Building Department Records          | Information concerning the Property's compliance with applicable Building, Fire and Zoning Codes that is readily available for use by EMG within the time frame required for production of the Property Condition Assessment.   |
| Building Systems                     | Interacting or interdependent components that comprise a building such as structural, roofing, side wall, plumbing, HVAC, water, sanitary sewer and electrical systems.   |
| BUR                                  | Built Up Roof   |
| CBC                                  | California Building Code  |
| Component                            | A piece of equipment or element in its entirety that is part of a system.   |
| CFM                                  | Cubic Feet per Minute, usually referring to air flow in a heating or cooling system.  |
| Dangerous or Adverse Conditions      | Situations which may pose a threat or possible injury to the Project Manager, or those situations which may require the use of special protective clothing, safety equipment, access equipment, or any precautionary measures.  |
| Deferred Maintenance                 | Deficiencies that result from postponed maintenance, or repairs that have been put off until a later time and that require repair or replacement to an acceptable condition relative to the age of the system or property.  |
| DHW                                  | Domestic Hot Water  |
| DDC                                  | Direct Digital Controls, for HVAC systems   |
| Dismantle                            | To take apart; disassemble; tear down any component, device or piece of equipment that is bolted, screwed, secured, or fastened by other means.   |
| DWV                                  | Drainage Waste Ventilation  |
| EPDM                                 | Ethylene propylene diene terpolymer, a single ply roofing material, usually black   |
| EIFS                                 | Exterior Insulation and Finish System   |
| EMS                                  | Energy Management System  |
| Engineering                          | Analysis or design work requiring extensive formal education, preparation and experience in the use of mathematics, chemistry, physics, and the engineering sciences as provided by a Professional Engineer licensed to practice engineering by any state of the 50 states. |
| Expected Useful Life (EUL)           | The average amount of time in years that a system or component is estimated to function when installed new.   |

| <b>TERMINOLOGY and ABBREVIATIONS</b> |   |
|--------------------------------------|---|
| FEMA                                 | Federal Emergency Management Agency   |
| Fire Department Records              | Information generated or acquired by the Fire Department having jurisdiction over the Property, and that is readily available to EMG within the time frame required for production of the FCA.  |
| FIRM                                 | Flood Insurance Rate Maps   |
| FM                                   | Factory Mutual  |
| FRT                                  | Fire Retardant Treated  |
| Guide                                | A series of options or instructions that do not recommend a specific course of action.  |
| HP                                   | Horse Power, a unit of measure for pumps and motors.  |
| HVAC                                 | Heating, Ventilating & Air Conditioning   |
| IAQ                                  | Indoor Air Quality  |
| Immediate Repairs                    | Physical deficiencies that require immediate action as a result of: (i) existing or potentially material unsafe conditions, (ii) significant negative conditions impacting tenancy/marketability, (iii) material building code violations, or (iv) poor or deteriorated condition of critical element or system, or (v) a condition that if left “as is”, with an extensive delay in addressing same, has the potential to result in or contribute to critical element or system failure within one (1) year.   |
| Interviews                           | Interrogatory with those knowledgeable about the Property.  |
| kVA                                  | Kilo Volt Amps, a measurement used for electrical devices where Amps is the plural of Amperage, a measure of electrical force.  |
| kW                                   | One thousand Watts, a measure of electrical output.   |
| Material                             | Having significant importance or great consequence to the asset’s intended use or physical condition.   |
| MEP                                  | Mechanical, Electrical, and Plumbing  |
| NFPA                                 | National Fire Protection Association  |
| Observations                         | The results of the Project Manager’s Walk-through Survey.   |
| Observe                              | The act of conducting a visual, unaided survey of items, systems or conditions that are readily accessible and easily visible on a given day as a result of the Project Manager’s walk-through.   |
| Obvious                              | That which is plain or evident; a condition that is readily accessible and can be easily seen by the Project Manager as a result of his Walk-through without the removal of materials, moving of chattel, or the aid of any instrument, device, or equipment.   |
| Owner                                | The entity holding the deed to the Property that is the subject of the FCA.   |
| Physical Deficiency                  | Patent, conspicuous defects, or significant deferred maintenance of the Property’s material systems, components, or equipment as observed during the Project Manager’s Walk-through Survey.<br>Material systems, components, or equipment that are approaching, have realized, or have exceeded their typical Expected Useful Life (EUL); or, that have exceeded their useful life result of abuse, excessive wear and tear, exposure to the elements, or lack of proper or adequate maintenance.<br>This definition specifically excludes deficiencies that may be remedied with routine maintenance, miscellaneous repairs, normal operating maintenance, and conditions that do not present a material deficiency to the Property. |
| PVC                                  | Poly Vinyl Chloride   |

| <b>TERMINOLOGY and ABBREVIATIONS</b> |   |
|--------------------------------------|---|
| Practically Reviewable               | Information that is practically reviewable means that the information is provided by the source in a manner and form that, upon examination, yields information relevant to the property without the need for extraordinary analysis of irrelevant data.  |
| Practice                             | A definitive procedure for performing one or more specific operations or functions that does not produce a test result.   |
| Primary Improvements                 | The site and building improvements that are of fundamental importance with respect to the Property.   |
| Project Manager                      | The individual Professional Engineer, Contractor, or Registered Architect having a general, well rounded knowledge of all pertinent site and building systems and components that conducts the on site visit and walk-through observation.  |
| Property                             | The site and building improvements, which are specifically within the scope of the FCA to be prepared in accordance with the agreement between the Client and EMG.  |
| Readily Accessible                   | Those areas of the Property that are promptly made available for observation by the Project Manager without the removal of materials or chattel, or the aid of any instrument, device, or equipment at the time of the Walk-through Survey.   |
| Reasonably Ascertainable             | Information that is publicly available, provided to EMG's offices from either its source or an information research/retrieval concern, practically reviewable, and available at a nominal cost for either retrieval, reproduction or forwarding.  |
| Recreational Facilities              | Spas, saunas, steam baths, swimming pools, tennis courts, playground equipment, and other exercise, entertainment, or athletic facilities.  |
| Remaining Useful Life (RUL)          | <p>The consultant's professional opinion of the number of years before a system or component will require replacement or reconditioning. The estimate is based upon observation, available maintenance records, and accepted EUL's for similar items or systems.</p> <p>Inclement weather, exposure to the elements, demand on the system, quality of installation, extent of use, and the degree and quality of preventive maintenance exercised are all factors that could impact the RUL of a system or component. As a result, a system or component may have an effective age greater or less than its actual age. The RUL may be greater or less than its Expected Useful Life (EUL) less actual age.</p> |
| Replacement Costs                    | Costs to replace the system or component "in kind" based on Invoices or Bid Documents provided by the current owner or the client, construction costs developed by construction resources such as <i>Means</i> and <i>Dodge</i> , EMG's experience with past costs for similar properties, or the current owner's historical incurred costs.  |
| RTU                                  | Rooftop Unit  |
| Shut-Down                            | Equipment or systems that are not operating at the time of the Project Manager's Walk-through Survey. Equipment or systems may be considered shutdown if it is not in operation as a result of seasonal temperatures.   |
| Significant                          | Important, material, and/or serious.  |
| Site Visit                           | The visit to the property by EMG's Project Manager including walk-through visual observations of the Property, interviews of available project personnel and tenants (if appropriate), review of available documents and interviews of available municipal personnel at municipal offices, all in accordance with the agreement for the Property Condition Assessment.  |

| <b>TERMINOLOGY and ABBREVIATIONS</b> |   |
|--------------------------------------|---|
| Specialty Consultants                | Practitioners in the fields of engineering, architecture; or, building system mechanics, specialized service personnel or other specialized individuals that have experience in the maintenance and repair of a particular building component, equipment, or system that have acquired detailed, specialized knowledge in the design, assessment, operation, repair, or installation of the particular component, equipment, or system.   |
| Structural Component                 | A component of the building, which supports non-variable forces or weights (dead loads) and variable forces or weights (live loads).  |
| Suggested Remedy                     | A preliminary opinion as to a course of action to remedy or repair a physical deficiency. There may be alternate methods that may be more commensurate with the Client's requirements. Further investigation might make other schemes more appropriate or the suggested remedy unworkable. The suggested remedy may be to conduct further research or testing, or to employ Specialty Consultants to gain a better understanding of the cause, extent of a deficiency (whether observed or highly probable), and the appropriate remedy.  |
| Survey                               | Observations as the result of a walk-through scan or reconnaissance to obtain information by EMG of the Property's readily accessible and easily visible components or systems.   |
| System                               | A combination of interacting or interdependent components assembled to carry out one or more functions.   |
| Technically Exhaustive               | The use of measurements, instruments, testing, calculations, exploratory probing or discover, and/or other means to discover and/or troubleshoot Physical Deficiencies, develop scientific or Engineering findings, conclusions, and recommendations.   |
| Term                                 | Reserve Term: The number of years that Capital Reserves are projected for as specified in the Expenditure Forecast.   |
| TPO                                  | Thermoplastic polyolefin, a white single ply roofing material, usually white  |
| Timely Access                        | Entry provided to the Project Manager at the time of his site visit.  |
| UST                                  | Underground Storage Tank  |
| Walk-through Survey                  | The Project Manager's site visit of the Property consisting of his visual reconnaissance and scan of readily accessible and easily visible components and systems. This definition connotes that such a survey should not be considered in depth, and is to be conducted without the aid of special protective clothing, exploratory probing, removal of materials, testing, or the use of special equipment such as ladders, scaffolding, binoculars, moisture meters, air flow meters, or metering/testing equipment or devices of any kind. It is literally the Project Manager's walk of the Property and observations. |



**APPENDIX F: BUILDING FACT SHEET**

**GREGORY BATESON BUILDING FACT SHEET**  
**1600 Ninth Street**  
**Sacramento**  
**Sacramento County**  
**Category 2 - Medium Priority - Further Study Required**

**BUILDING INFORMATION**

- Age: 33 years (completed in 1981)
- Size:\* 4-story, with central atrium  
 293,516 GSF      214,565 NUSF      214,565 Assigned SF  
 2.55 Acre Parcel  
 No parking available  
 Capacity -1,086 occupants
- Financial: No Encumbrances  
 BRA Rate - \$1.64/month per SF, FY 2013-14 (DGS Price Book)  
                   \$1.69/month per SF, FY 2014-15 (Proposed DGS Price Book)  
 Central Plant rate is an additional \$.060/month per SF
- LEED Status: Registered for LEED-EB Certification
- Tenants: 4 tenant agencies, including Department of Developmental Services (87,088 SF), Health and Human Services Agency (16,720 SF), Department of State Hospitals (101,205 SF) and Department of Rehabilitation (6,523 SF). The balance of space is assigned to DGS for conference rooms and storage.



SPI Structure #: 2440  
 Real Property #: 655  
 BPM #: 011

**COMPLETED STUDIES AND SIGNIFICANT FINDINGS**

**A. 2008 Infrastructure Study**

The study identified water intrusion in the building as a significant problem over the years, and recommended three levels of modifications (urgent, life cycle, and opportunities to increase value). Urgent modifications include fire and life safety (fire sprinklers), ADA accessibility, hazardous materials removal, and water intrusion repairs to prevent further damage, which were estimated at \$7.5 million.

**B. 2010 American Disability Act Accessibility Compliance Survey**

The survey indicated various types of inaccessibility including door-related issues (push/pull forces, hardware), signage, interior ramp slopes/stair ramp rails, counter height, visual fire alarm devices, patio seating, and elevator issues.

**C. 2012 Access Compliance Conceptual Budget/Evaluation**

In follow up to the 2010 American Disability Act Accessibility Compliance Survey this report provides the Conceptual Cost and Path of Travel Plans. ADA upgrades have been proposed for this building as part of DGS's ten year ADA Compliance Upgrades and Deferred Special Repairs Program.

**ADDITIONAL BUILDING ISSUES**

The energy innovations implemented in the design at the time of construction have created maintenance difficulties, and many of them have been disabled or removed. The exterior perimeter is in need of repairs to correct buckling pavers, sidewalks, and curbs due to emerging tree roots. Water intrusion at the windows and exterior walls/decking, and deteriorating wood on the sides of the building are being addressed via a support BCP.

**CURRENT UTILIZATION PROJECTS**

No current utilization projects.

**RECENTLY COMPLETED PROJECTS**

TBD

**Cost**

**ACTIVE PROJECTS**

TBD

**Cost**

**PLANNED SPECIAL REPAIRS BY FISCAL YEAR**

TBD

**Estimated Cost**

\* Source: Statewide Property Inventory

**Gregory Bateson Building Fact Sheet**

1600 Ninth Street  
Sacramento

**Category 3 - Medium Priority  
Further Study Required**

**DGS STRATEGY:** Continue to operate/maintain the building as-is through the special repair/maintenance process; no capital outlay work is required at this location at this time.



## **APPENDIX G: COST TABLES**

10 YEAR EXPENDITURE FORECAST



Gregory Bateson Building  
1600 Ninth Street  
Sacramento

Useful Life

|                       |
|-----------------------|
| Estimated Useful Life |
| Remaining Useful Life |

Plan Type

|                   |                     |
|-------------------|---------------------|
| OP: Operations    | CC: Code Compliance |
| EN: Environmental | FN: Functionality   |
| IN: Integrity     |                     |

Legend

|           |
|-----------|
| Deferred  |
| Scheduled |

| Element # | Component Description | Asset | Location | Action | EUL (Yrs) | RUL (Yrs) | Qty. | Unit of Meas. | Unit Cost | Plan Type | Priority | 2015   | 2016   | 2017   | 2018   | 2019   | 2020   | 2021   | 2022   | 2023   | 2024   | Total - Deferred | Total - Scheduled |
|-----------|-----------------------|-------|----------|--------|-----------|-----------|------|---------------|-----------|-----------|----------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|------------------|-------------------|
|           |                       |       |          |        |           |           |      |               |           |           |          | Year 0 | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 | Year 6 | Year 7 | Year 8 | Year 9 |                  |                   |

|                        |  |  |  |  |  |  |  |  |  |  |  |     |     |     |     |     |     |     |     |     |     |     |     |     |     |
|------------------------|--|--|--|--|--|--|--|--|--|--|--|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| <b>A. SUBSTRUCTURE</b> |  |  |  |  |  |  |  |  |  |  |  |     |     |     |     |     |     |     |     |     |     |     |     |     |     |
| Substructure Subtotal  |  |  |  |  |  |  |  |  |  |  |  | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

|                               |   |   |                                  |   |    |   |           |    |             |                        |            |              |     |     |     |     |     |           |     |     |          |              |           |
|-------------------------------|---|---|----------------------------------|---|----|---|-----------|----|-------------|------------------------|------------|--------------|-----|-----|-----|-----|-----|-----------|-----|-----|----------|--------------|-----------|
| <b>B. SHELL</b>               |   |   |                                  |   |    |   |           |    |             |                        |            |              |     |     |     |     |     |           |     |     |          |              |           |
| <b>B10 SUPERSTRUCTURE</b>     |   |   |                                  |   |    |   |           |    |             |                        |            |              |     |     |     |     |     |           |     |     |          |              |           |
| B1010                         | B1010 Floor Construction                      | B1010 Floor Construction                  | All Floors                       | B1010 Repair of spalling concrete and exposed reinforcing steel | 30 | 0 | 22.00     | SF | \$10,639.20 | OP - Maintenance       | Priority 2 | \$234,062    | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$234,062    | \$0       |
|                               | B1010 Floor Construction                      | B1010 Floor Construction                  | All Floors                       | B1010 Repair/replace seismic and expansion joints               | 30 | 0 | 648.00    | LF | \$449.50    | OP - Maintenance       | Priority 2 | \$291,276    | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$291,276    | \$0       |
| B1012                         | B1012 Upper Floors Construction               | B1012 Concrete Floor Construction         | First Floor                      | B1012 Crack repair with epoxy injection                         | 0  | 0 | 5,500.00  | LF | \$14.00     | OP - Maintenance       | Priority 2 | \$77,000     | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$77,000     | \$0       |
| <b>B20 EXTERIOR ENCLOSURE</b> |   |   |                                  |   |    |   |           |    |             |                        |            |              |     |     |     |     |     |           |     |     |          |              |           |
| B2011                         | B2011 Exterior Wall Construction              | B2010 Glue-lam beam at walls and railings | Exterior Walls                   | B2010 Exterior walls in poor condition                          | 25 | 0 | 1,176.00  | SF | \$341.97    | IN - Beyond Rated Life | Priority 1 | \$402,153    | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$402,153    | \$0       |
|                               | B2011 Exterior Wall Construction              | B2010 Glue-lam beam at walls and railings | Exterior Walls                   | B2010 Paint/stain glue-laminated wall panels                    | 10 | 0 | 9,996.00  | SF | \$40.92     | IN - Appearance        | Priority 1 | \$409,036    | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$409,036    | \$0       |
| B2013                         | B2013 Exterior Louvers, Screens, and Fencing  | B2013 Exterior Sun Shade System           | Exterior walls at west and south | Replace B2013 Exterior Sun Shade System                         | 15 | 6 | 32.00     | EA | \$3,720.00  | IN - Beyond Rated Life | Priority 3 | \$0          | \$0 | \$0 | \$0 | \$0 | \$0 | \$119,040 | \$0 | \$0 | \$0      | \$0          | \$119,040 |
| B2015                         | B2015 Balcony Walls & Handrails               | B2010 Metal deck railings                 | Decks on all levels              | B2010 Replace glue-laminated beam railings                      | 25 | 0 | 3,188.00  | LF | \$341.97    | IN - Beyond Rated Life | Priority 1 | \$1,090,200  | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$1,090,200  | \$0       |
|                               | B2015 Balcony Walls & Handrails               | B2010 Metal deck railings                 | Decks on all levels              | Replace B2010 Metal deck railings                               | 40 | 0 | 4,525.00  | LF | \$261.52    | CC - Building Code     | Priority 1 | \$1,183,360  | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$1,183,360  | \$0       |
| B2021                         | B2021 Windows                                 | B2020 Exterior aluminum windows           | All Floors                       | B2020 Windows at decks  | 25 | 0 | 16,220.00 | SF | \$220.99    | IN - Beyond Rated Life | Priority 1 | \$3,584,503  | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$3,584,503  | \$0       |
|                               | B2021 Windows                                 | B2020 Exterior aluminum windows           | All Floors                       | Replace B2020 Exterior aluminum windows                         | 35 | 0 | 32,450.00 | SF | \$220.99    | IN - Beyond Rated Life | Priority 1 | \$7,171,216  | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$7,171,216  | \$0       |
| B2031                         | 3'-0" X 7'-0" Steel, w/ Wire Glass, Ptd. Door | B2030 Exterior Doors                      | All Floors                       | Replace B2030 Exterior Doors                                    | 45 | 9 | 8.00      | EA | \$2,748.41  | IN - Beyond Rated Life | Priority 4 | \$0          | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$21,987 | \$0          | \$21,987  |
| <b>B30 ROOFING</b>            |   |   |                                  |   |    |   |           |    |             |                        |            |              |     |     |     |     |     |           |     |     |          |              |           |
| B3011                         | Metal Steep Roofing, Total Metal Panel        | B3010 Metal Roof Flashing                 | Atrium roof                      | B3010 Replace sealants  | 10 | 0 | 12,700.00 | SF | \$4.96      | OP - Maintenance       | Priority 2 | \$62,992     | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$62,992     | \$0       |
|                               | Metal Steep Roofing, Total Metal Panel        | B3010 Metal Roof Flashing                 | Atrium roof                      | Replace B3010 Metal Roof Flashing                               | 30 | 0 | 127.00    | SQ | \$2,128.29  | IN - Beyond Rated Life | Priority 5 | \$270,292    | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$270,292    | \$0       |
| B3011                         | Built-Up Roofing, Total Roof                  | B3010 Built-Up Roofing, Total Roof        | Entire roof                      | B3010 Flashing and coping repairs                               | 20 | 0 | 1,500.00  | SF | \$193.44    | OP - Maintenance       | Priority 2 | \$290,160    | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$290,160    | \$0       |
|                               | Built-Up Roofing, Total Roof                  | B3010 Built-Up Roofing, Total Roof        | Entire roof                      | B3010 Install new cool roof coating                             | 20 | 0 | 480.00    | SQ | \$510.88    | OP - Maintenance       | Priority 2 | \$245,222    | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$245,222    | \$0       |
| B3011                         | B3011 Roof Finishes                           | B3011 Balcony Coatings                    | Balconies                        | Replace B3011 Balcony Coatings                                  | 10 | 0 | 16,900.00 | SF | \$42.41     | IN - Beyond Rated Life | Priority 1 | \$716,695    | \$0 | \$0 | \$0 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0      | \$716,695    | \$0       |
| Shell Subtotal                |   |   |                                  |   |    |   |           |    |             |                        |            | \$16,028,170 | \$0 | \$0 | \$0 | \$0 | \$0 | \$119,040 | \$0 | \$0 | \$21,987 | \$16,028,170 | \$141,027 |

|                                  |  |  |                            |  |    |   |            |     |             |                        |            |           |           |             |           |           |           |          |     |     |     |           |             |
|----------------------------------|--|--|----------------------------|--|----|---|------------|-----|-------------|------------------------|------------|-----------|-----------|-------------|-----------|-----------|-----------|----------|-----|-----|-----|-----------|-------------|
| <b>C. INTERIORS</b>              |  |  |                            |  |    |   |            |     |             |                        |            |           |           |             |           |           |           |          |     |     |     |           |             |
| <b>C10 INTERIOR CONSTRUCTION</b> |  |  |                            |  |    |   |            |     |             |                        |            |           |           |             |           |           |           |          |     |     |     |           |             |
| C1021                            | C1021 Interior Doors                     | C1020 Fire Door, metal with wire glass         | Fourth Floor-North         | C1020 Upgrade doors to ADA automatic openers           | 25 | 0 | 16.00      | EA  | \$11,904.00 | CC - Accessibility     | Priority 2 | \$190,464 | \$0       | \$0         | \$0       | \$0       | \$0       | \$0      | \$0 | \$0 | \$0 | \$190,464 | \$0         |
|                                  | C1021 Interior Doors                     | C1020 Fire Door, metal with wire glass         | Fourth Floor-North         | Replace C1020 Fire Door, metal with wire glass         | 35 | 4 | 16.00      | EA  | \$4,337.37  | IN - Beyond Rated Life | Priority 3 | \$0       | \$0       | \$0         | \$69,398  | \$0       | \$0       | \$0      | \$0 | \$0 | \$0 | \$0       | \$69,398    |
| <b>C30 INTERIOR FINISHES</b>     |  |  |                            |  |    |   |            |     |             |                        |            |           |           |             |           |           |           |          |     |     |     |           |             |
| C3012                            | Wood Paneling                            | C3010 Wood Paneling                            | All Floors                 | C3010 Paint/stain interior wall panels                 | 15 | 1 | 34,021.00  | SF  | \$3.52      | OP - Maintenance       | Priority 3 | \$0       | \$119,808 | \$0         | \$0       | \$0       | \$0       | \$0      | \$0 | \$0 | \$0 | \$0       | \$119,808   |
| C3012                            | Drywall - Painted Finished Walls         | C3012 Painted Drywall                          | All Floors                 | Paint C3012 Drywall                                    | 10 | 4 | 185,504.00 | SF  | \$1.91      | IN - Appearance        | Priority 3 | \$0       | \$0       | \$0         | \$354,238 | \$0       | \$0       | \$0      | \$0 | \$0 | \$0 | \$0       | \$354,238   |
| C3024                            | Sheet Vinyl                              | C3020 Sheet Vinyl                              | First Floor-North          | Replace C3020 Sheet Vinyl                              | 20 | 6 | 515.00     | SY  | \$171.39    | IN - Appearance        | Priority 4 | \$0       | \$0       | \$0         | \$0       | \$0       | \$0       | \$88,267 | \$0 | \$0 | \$0 | \$0       | \$88,267    |
| C3025                            | C3025 Carpeting                          | C3020 Floor Finishes                           | Office areas and corridors | C3020 Carpet fourth floor                              | 10 | 5 | 6,013.00   | SY  | \$96.60     | IN - Appearance        | Priority 4 | \$0       | \$0       | \$0         | \$0       | \$580,856 | \$0       | \$0      | \$0 | \$0 | \$0 | \$0       | \$580,856   |
|                                  | C3025 Carpeting                          | C3020 Floor Finishes                           | Office areas and corridors | Replace C3020 Floor Finishes                           | 10 | 2 | 22,395.00  | SY  | \$96.61     | IN - Appearance        | Priority 3 | \$0       | \$0       | \$2,163,482 | \$0       | \$0       | \$0       | \$0      | \$0 | \$0 | \$0 | \$0       | \$2,163,482 |
| C3032                            | Acoustical Tile With Exposed Grid System | C3030 Acoustical Tile With Exposed Grid System | All Floors                 | Replace C3030 Acoustical Tile With Exposed Grid System | 20 | 2 | 550.00     | CSF | \$1,201.56  | IN - Appearance        | Priority 3 | \$0       | \$0       | \$660,858   | \$0       | \$0       | \$0       | \$0      | \$0 | \$0 | \$0 | \$0       | \$660,858   |
| Interiors Subtotal               |  |  |                            |  |    |   |            |     |             |                        |            | \$190,464 | \$119,808 | \$2,824,340 | \$0       | \$423,636 | \$580,856 | \$88,267 | \$0 | \$0 | \$0 | \$190,464 | \$4,036,908 |

|                              |   |   |   |  |    |      |      |            |                  |                    |            |          |     |     |           |     |     |     |     |     |     |         |           |           |     |
|------------------------------|---|---|---|--|----|------|------|------------|------------------|--------------------|------------|----------|-----|-----|-----------|-----|-----|-----|-----|-----|-----|---------|-----------|-----------|-----|
| <b>D. SERVICES</b>           |   |   |   |  |    |      |      |            |                  |                    |            |          |     |     |           |     |     |     |     |     |     |         |           |           |     |
| <b>D10 CONVEYING SYSTEMS</b> |   |   |   |  |    |      |      |            |                  |                    |            |          |     |     |           |     |     |     |     |     |     |         |           |           |     |
| D1011                        | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 Adjust accel and decel for smooth operations         | 20 | 0    | 3.00 | EA         | \$910.00         | OP - Maintenance   | Priority 2 | \$2,730  | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$2,730 | \$0       |           |     |
|                              | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 Complete overdue five year tests for car l           | 20 | 0    | 1.00 | EA         | \$7,280.00       | OP - Maintenance   | Priority 2 | \$7,280  | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$7,280   | \$0       |     |
|                              | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 Install car identification numbers on car tops       | 20 | 0    | 3.00 | EA         | \$455.00         | FN - Modernization | Priority 2 | \$1,365  | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$1,365   | \$0       |     |
|                              | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 Install door restrictors                             | 20 | 0    | 3.00 | EA         | \$4,550.00       | CC - Life Safety   | Priority 1 | \$13,650 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$13,650  | \$0       |     |
|                              | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 Install material to bevel flat surfaces in hoistway  | 20 | 0    | 3.00 | EA         | \$2,730.00       | FN - Modernization | Priority 2 | \$8,190  | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$8,190   | \$0       |     |
|                              | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 New cab interiors during modernization               | 20 | 3    | 3.00 | EA         | \$41,860.00      | FN - Modernization | Priority 3 | \$0      | \$0 | \$0 | \$125,580 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$0       | \$125,580 |     |
|                              | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 Repair hydraulic leaks on power unit piping          | 20 | 0    | 3.00 | EA         | \$1,820.00       | OP - Maintenance   | Priority 2 | \$5,460  | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$5,460   | \$0       |     |
|                              | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 Replace car aprons with 21" long new units           | 20 | 0    | 3.00 | EA         | \$2,730.00       | CC - Life Safety   | Priority 1 | \$8,190  | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$0       | \$8,190   | \$0 |
|                              | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 Replace in-car stop switches with keyed switches     | 20 | 0    | 3.00 | EA         | \$2,184.00       | CC - Life Safety   | Priority 1 | \$6,552  | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$6,552   | \$0       |     |
|                              | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | D1010 Reseal jack heads to eliminate leaking               | 20 | 0    | 3.00 | EA         | \$1,456.00       | OP - Maintenance   | Priority 2 | \$4,368  | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$4,368   | \$0       |     |
| D1012                        | Elevator Hydraulic System, 3,500 Lb Capacity          | D1010 Elevator Hydraulic System, 3,500 Lb Capacity    | Throughout Facility                               | Replace D1010 Elevator Hydraulic System, 3,500 Lb Capacity | 30 | 4    | 3.00 | EA         | \$172,900.00     | FN - Modernization | Priority 3 | \$0      | \$0 | \$0 | \$518,700 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$518,700 |           |     |
|                              | D1012 Freight Elevators                               | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb | Throughout Facility                               | D1010 Adjust accel and decel for smooth operations         | 20 | 0    | 1.00 | EA         | \$910.00         | OP - Maintenance   | Priority 2 | \$910    | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$910     | \$0       |     |
|                              | D1012 Freight Elevators                               | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb | Throughout Facility                               | D1010 Install car identification numbers on car tops       | 20 | 0    | 1.00 | EA         | \$455.00         | FN - Modernization | Priority 2 | \$455    | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$455     | \$0       |     |
|                              | D1012 Freight Elevators                               | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb | Throughout Facility                               | D1010 Install door restrictors for all elevators           | 20 | 0    | 1.00 | EA         | \$4,550.00       | CC - Life Safety   | Priority 1 | \$4,550  | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$4,550   | \$0       |     |
|                              | D1012 Freight Elevators                               | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb | Throughout Facility                               | D1010 Install material to bevel flat surfaces in hoistway  | 20 | 0    | 1.00 | EA         | \$10,920.00      | FN - Modernization | Priority 2 | \$10,920 | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$10,920  | \$0       |     |
|                              | D1012 Freight Elevators                               | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb | Throughout Facility                               | D1010 New cab interiors during modernization               | 20 | 3    | 1.00 | EA         | \$41,860.00      | FN - Modernization | Priority 3 | \$0      | \$0 | \$0 | \$41,860  | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0     | \$0       | \$41,860  |     |
| D1012 Freight Elevators      | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb | Throughout Facility                                   | D1010 Repair hydraulic leaks on power unit piping | 20   | 0  | 1.00 | EA   | \$1,820.00 | OP - Maintenance | Priority 2         | \$1,820    | \$0      | \$0 | \$0 | \$0       | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$1,820 | \$0       |           |     |

10 YEAR EXPENDITURE FORECAST



Gregory Bateson Building  
1600 Ninth Street  
Sacramento

|                          |                       |
|--------------------------|-----------------------|
| Useful Life <sup>1</sup> | Estimated Useful Life |
|                          | Remaining Useful Life |

|                        |                   |                     |
|------------------------|-------------------|---------------------|
| Plan Type <sup>2</sup> | OP: Operations    | CC: Code Compliance |
|                        | EN: Environmental | FN: Functionality   |
|                        | IN: Integrity     |                     |

|        |           |
|--------|-----------|
| Legend | Deferred  |
|        | Scheduled |

| Element #   | Component Description   | Asset   | Location              | Action  | EUL (Yrs) | RUL (Yrs) | Qty.       | Unit of Meas. | Unit Cost    | Plan Type               | Priority <sup>2</sup> | 2015         | 2016      | 2017        | 2018      | 2019        | 2020        | 2021      | 2022      | 2023   | 2024      | Total - Deferred | Total - Scheduled |             |
|---|---|---|-----------------------|---|-----------|-----------|------------|---------------|--------------|-------------------------|-----------------------|--------------|-----------|-------------|-----------|-------------|-------------|-----------|-----------|--------|-----------|------------------|-------------------|-------------|
|   |   |   |                       |   |           |           |            |               |              |                         |                       | Year 0       | Year 1    | Year 2      | Year 3    | Year 4      | Year 5      | Year 6    | Year 7    | Year 8 | Year 9    |                  |                   |             |
| D1012   | Freight Elevators   | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb                       | Throughout Facility   | D1010 Replace chipped pick up rollers on car 5                                      | 20        | 0         | 1.00       | EA            | \$455.00     | OP - Maintenance        | Priority 2            | \$455        | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$455            | \$0               |             |
| D1012   | Freight Elevators   | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb                       | Throughout Facility   | D1010 Replace in-car stop switches with keyed switches                              | 20        | 0         | 1.00       | EA            | \$546.00     | CC - Life Safety        | Priority 1            | \$546        | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$546            | \$0               |             |
| D1012   | Freight Elevators   | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb                       | Throughout Facility   | D1010 Reseal jack heads to eliminate leaking  | 20        | 0         | 1.00       | EA            | \$1,456.00   | OP - Maintenance        | Priority 2            | \$1,456      | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$1,456          | \$0               |             |
| D1012   | Freight Elevators   | D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb                       | Throughout Facility   | Replace D1010 Freight Elevator, Hydraulic Equipment, 7,500 Lb                       | 30        | 4         | 1.00       | EA            | \$172,900.00 | FN - Modernization      | Priority 3            | \$0          | \$0       | \$0         | \$0       | \$172,900   | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$172,900         |             |
| <b>D20 PLUMBING</b>                                 |   |   |                       |   |           |           |            |               |              |                         |                       |              |           |             |           |             |             |           |           |        |           |                  |                   |             |
| D2023   | Water Storage Tank 500 Gallon   | D2020 Water Storage Tank 500 Gallon   | Boiler Room           | Replace D2020 Water Storage Tank 500 Gallon   | 30        | 9         | 1.00       | EA            | \$16,176.66  | IN - Beyond Rated Life  | Priority 4            | \$0          | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$16,177         | \$16,177          |             |
| D2023   | Water Storage Tank 500 Gallon   | D2020 Water Storage Tank 2000 Gallon  | Boiler Room           | D2020 Demolish and remove solar storage tank  | 25        | 1         | 1.00       | LS            | \$18,150.00  | FN - Obsolescence       | Priority 1            | \$0          | \$18,150  | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$0               | \$18,150    |
| D2023   | Hydronic Circulating Pump, 5 HP                                       | D2020 Domestic Water Booster Pump Station                                   | Boiler Room           | Replace D2020 Domestic Water Booster Pump Station                                   | 20        | 0         | 1.00       | EA            | \$51,836.40  | IN - Reliability        | Priority 1            | \$51,836     | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$51,836         | \$0               |             |
| <b>D30 HVAC</b>                                     |   |   |                       |   |           |           |            |               |              |                         |                       |              |           |             |           |             |             |           |           |        |           |                  |                   |             |
| D3022.1   | Circulation Pump 30 HP  | D3020 Chilled Water Pumps 25 HP   | Boiler Room           | Replace D3020 Chilled Water Pumps 25 HP   | 20        | 3         | 2.00       | EA            | \$26,054.88  | IN - Beyond Rated Life  | Priority 2            | \$0          | \$0       | \$0         | \$52,110  | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$0               | \$52,110    |
| D3023   | Condensate return system (SIMPLEX PUMP, FLOAT SWITCH, 3/4 HP, 15 GPM) | D3020 Condensate return system (SIMPLEX PUMP, FLOAT SWITCH, 3/4 HP, 15 GPM) | Utility Areas/Closets | Replace D3020 Condensate return system (SIMPLEX PUMP, FLOAT SWITCH, 3/4 HP, 15 GPM) | 20        | 0         | 1.00       | EA            | \$17,336.19  | IN - Reliability        | Priority 1            | \$17,336     | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$17,336         | \$0               |             |
| D3041   | Grills and Diffusers  | D3040 Balance Air Flow at Diffusers   | Office areas          | Replace D3040 Balance Air Flow at Diffusers   | 25        | 5         | 205.461.00 | SF            | \$0.67       | IN - Beyond Rated Life  | Priority 3            | \$0          | \$0       | \$0         | \$0       | \$137,577   | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$137,577         |             |
| D3041.1   | Air Handler 18,000-20,000 CFM   | D3040 Air Handler 18,000-20,000 CFM   | Utility Areas/Closets | D3040 Replace/revise AHU condensate pans  | 20        | 1         | 8.00       | EA            | \$18,600.00  | IN - Reliability        | Priority 1            | \$0          | \$18,600  | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$18,600          |             |
| D3041.1   | Air Handler 18,000-20,000 CFM   | D3040 Air Handler 18,000-20,000 CFM   | Utility Areas/Closets | D3040 Revise AHU fan blades & replace motors  | 20        | 0         | 8.00       | EA            | \$49,600.00  | IN - Reliability        | Priority 1            | \$396,800    | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$396,800        | \$0               |             |
| D3041.2   | Vav Box, 270 to 600 CFM   | D3040 VAV Boxes   | Throughout Facility   | Replace D3040 VAV Boxes   | 30        | 5         | 508.00     | EA            | \$2,496.72   | IN - Beyond Rated Life  | Priority 3            | \$0          | \$0       | \$0         | \$0       | \$1,268.331 | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$1,268.331       |             |
| D3042   | Exhaust Fan, Sidewall 11,250 CFM                                      | D3040 Exhaust Fan, Sidewall 17,100 CFM                                      | Rooftop               | Replace D3040 Exhaust Fan, Sidewall 17,100 CFM                                      | 20        | 9         | 6.00       | EA            | \$24,289.06  | IN - Beyond Rated Life  | Priority 4            | \$0          | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$145,734 | \$0              | \$145,734         |             |
| D3042   | Make Up Air Unit 5000 CFM   | D3040 Make Up Air Unit 5000 CFM   | Rooftop               | Replace D3040 Make Up Air Unit 5000 CFM   | 20        | 3         | 1.00       | EA            | \$40,650.67  | IN - Beyond Rated Life  | Priority 2            | \$0          | \$0       | \$0         | \$40,651  | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$40,651          |             |
| D3042   | Exhaust Fan 2000 CFM  | D3040 Exhaust Fan 2000 CFM  | Rooftop               | Replace D3040 Exhaust Fan 2000 CFM  | 15        | 7         | 3.00       | EA            | \$3,450.37   | IN - Beyond Rated Life  | Priority 4            | \$0          | \$0       | \$0         | \$0       | \$0         | \$0         | \$10,351  | \$0       | \$0    | \$0       | \$0              | \$10,351          |             |
| D3042   | Exhaust Fan 8500 CFM  | D3040 Exhaust Fan 8500 CFM  | Rooftop               | Replace D3040 Exhaust Fan 8500 CFM  | 15        | 7         | 2.00       | EA            | \$7,679.87   | IN - Beyond Rated Life  | Priority 4            | \$0          | \$0       | \$0         | \$0       | \$0         | \$0         | \$15,360  | \$0       | \$0    | \$0       | \$0              | \$15,360          |             |
| D3052   | Split System Unit, 4-Ton, Condenser and Fan Coil                      | D3050 Split System Unit, 4-Ton, Condenser and Fan Coil                      | Exterior Balcony      | Replace D3050 Split System Unit, 4-Ton, Condenser and Fan Coil                      | 15        | 3         | 1.00       | EA            | \$24,663.60  | IN - Beyond Rated Life  | Priority 2            | \$0          | \$0       | \$0         | \$24,664  | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$24,664          |             |
| <b>D40 FIRE PROTECTION SYSTEMS</b>                  |   |   |                       |   |           |           |            |               |              |                         |                       |              |           |             |           |             |             |           |           |        |           |                  |                   |             |
| D4011   | Sprinkler Head  | D4010 Fire Sprinkler installation   | Basement Boiler Room  | D4010 Repair water lines to fire hose cabinets                                      | 20        | 0         | 8.00       | EA            | \$5,782.00   | CC - Life Safety        | Priority 1            | \$46,256     | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$46,256         | \$0               |             |
| D4011   | Sprinkler Head  | D4010 Fire Sprinkler installation   | Basement Boiler Room  | Install facility-wide sprinkler system  | 25        | 2         | 293.516.00 | SF            | \$9.30       | CC - Life Safety        | Priority 1            | \$0          | \$0       | \$2,729,229 | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$0               | \$2,729,229 |
| <b>D50 ELECTRICAL SYSTEMS</b>                       |   |   |                       |   |           |           |            |               |              |                         |                       |              |           |             |           |             |             |           |           |        |           |                  |                   |             |
| D5012   | Switchgear, Mainframe, 1600 Amps                                      | D5010 Switchgear, Mainframe, 2500 Amps                                      | Main Electrical Room  | Replace D5010 Switchgear, Mainframe, 2500 Amps                                      | 40        | 5         | 2.00       | EA            | \$17,846.98  | IN - Beyond Rated Life  | Priority 3            | \$0          | \$0       | \$0         | \$0       | \$0         | \$35,694    | \$0       | \$0       | \$0    | \$0       | \$0              | \$35,694          |             |
| D5012   | Secondary Dry Transformer 75 kVA                                      | D5010 Secondary Dry Transformer 75 kVA                                      | Utility Areas/Closets | Replace D5010 Secondary Dry Transformer 75 kVA                                      | 40        | 5         | 32.00      | EA            | \$19,199.43  | IN - Beyond Rated Life  | Priority 3            | \$0          | \$0       | \$0         | \$0       | \$0         | \$614,382   | \$0       | \$0       | \$0    | \$0       | \$0              | \$614,382         |             |
| D5012   | Breaker Panel 225 Amps, 30 Circuits                                   | D5010 Breaker Panel 225 Amps, 30 Circuits                                   | Utility Areas/Closets | Replace D5010 Breaker Panel 225 Amps, 30 Circuits                                   | 40        | 5         | 32.00      | EA            | \$7,864.32   | IN - Beyond Rated Life  | Priority 3            | \$0          | \$0       | \$0         | \$0       | \$0         | \$251,658   | \$0       | \$0       | \$0    | \$0       | \$0              | \$251,658         |             |
| D5012   | Secondary Dry Transformer 45 kVA                                      | D5010 Secondary Dry Transformer 45 kVA                                      | Utility Areas/Closets | Replace D5010 Secondary Dry Transformer 45 kVA                                      | 40        | 5         | 32.00      | EA            | \$14,159.76  | IN - Beyond Rated Life  | Priority 3            | \$0          | \$0       | \$0         | \$0       | \$0         | \$453,112   | \$0       | \$0       | \$0    | \$0       | \$0              | \$453,112         |             |
| D5022   | D5022 Lighting Equipment  | D5022 Lighting Fixtures   | Offices and corridors | Replace D5022 Lighting Fixtures   | 20        | 2         | 484.00     | EA            | \$401.20     | FN - Modernization      | Priority 2            | \$0          | \$0       | \$194,181   | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$194,181         |             |
| D5022   | Exterior Wall Mt Light, 100 Watt                                      | D5020 Exterior Wall Mt Light, 100 Watt                                      | Building Exterior     | Replace D5020 Exterior Wall Mt Light, 100 Watt                                      | 15        | 0         | 56.00      | EA            | \$1,079.61   | IN - Beyond Rated Life  | Priority 1            | \$60,458     | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$60,458         | \$0               |             |
| D5022   | Wall Pack 70 Watt High Pressure Sodium                                | D5020 Atrium Lighting   | Atrium                | Add lighting to atrium  | 10        | 3         | 16.00      | EA            | \$1,206.03   | FN - Mission            | Priority 3            | \$0          | \$0       | \$19,296    | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$19,296          |             |
| D5037   | Fire Alarm Panel  | D5030 Fire Alarm Panel  | Main Electrical Room  | Replace D5030 Fire Alarm Panel  | 15        | 6         | 1.00       | EA            | \$30,680.00  | CC - Life Safety        | Priority 3            | \$0          | \$0       | \$0         | \$0       | \$0         | \$30,680    | \$0       | \$0       | \$0    | \$0       | \$0              | \$30,680          |             |
| D5092   | Transfer Switch   | D5090 Transfer Switch   | Utility Areas/Closets | Replace D5090 Transfer Switch   | 25        | 0         | 1.00       | EA            | \$10,613.06  | CC - Life Safety        | Priority 1            | \$10,613     | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$10,613          |             |
| D5092   | Diesel Generator 150 kW   | D5090 Add secondary containment for day tank                                | Utility Areas/Closets | D5090 Add secondary containment for day tank  | 25        | 0         | 1.00       | I             | \$8,260.00   | EN - Air/ Water Quality | Priority 1            | \$8,260      | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$8,260          | \$0               |             |
| D5092   | Diesel Generator 150 kW   | D5090 Diesel Generator 150 kW   | Utility Areas/Closets | Replace D5090 Diesel Generator 150 kW   | 25        | 7         | 1.00       | EA            | \$123,936.37 | CC - Life Safety        | Priority 4            | \$0          | \$0       | \$0         | \$0       | \$0         | \$0         | \$123,936 | \$0       | \$0    | \$0       | \$0              | \$123,936         |             |
| <b>Services Subtotal</b>                            |   |   |                       |   |           |           |            |               |              |                         |                       | \$670,457    | \$166,950 | \$2,923,410 | \$304,161 | \$691,600   | \$2,760,754 | \$30,680  | \$149,647 | \$0    | \$161,911 | \$670,457        | \$7,189,113       |             |
| <b>E. EQUIPMENT &amp; FURNISHING</b>                |   |   |                       |   |           |           |            |               |              |                         |                       |              |           |             |           |             |             |           |           |        |           |                  |                   |             |
| <b>Equipment &amp; Furnishing Subtotal</b>          |   |   |                       |   |           |           |            |               |              |                         |                       | \$0          | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$0               |             |
| <b>F. SPECIAL CONSTRUCTION AND DEMOLITION</b>       |   |   |                       |   |           |           |            |               |              |                         |                       |              |           |             |           |             |             |           |           |        |           |                  |                   |             |
| <b>Special Construction And Demolition Subtotal</b> |   |   |                       |   |           |           |            |               |              |                         |                       | \$0          | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$0               |             |
| <b>G. BUILDING SITEWORK</b>                         |   |   |                       |   |           |           |            |               |              |                         |                       |              |           |             |           |             |             |           |           |        |           |                  |                   |             |
| <b>G20 SITE IMPROVEMENTS</b>                        |   |   |                       |   |           |           |            |               |              |                         |                       |              |           |             |           |             |             |           |           |        |           |                  |                   |             |
| G2033   | Concrete Steps  | G2030 Concrete Steps  | First Floor & Site    | Replace G2030 Concrete Steps  | 75        | 2         | 975.00     | SF            | \$220.08     | IN - Beyond Rated Life  | Priority 3            | \$0          | \$0       | \$214,573   | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$214,573         |             |
| G2055   | Planting  | G2050 Landscaping Allowance   | Exterior              | Replace G2050 Landscaping Allowance   | 40        | 0         | 1,300.00   | SF            | \$7.09       | IN - Beyond Rated Life  | Priority 1            | \$9,221      | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$9,221          | \$0               |             |
| G2057   | Irrigation System, Install New, Large Areas                           | G2050 Irrigation System, Install New  | Inside atrium         | Replace G2050 Irrigation System, Install New  | 15        | 0         | 18.00      | EA            | \$483.60     | IN - Beyond Rated Life  | Priority 1            | \$8,705      | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$8,705          | \$0               |             |
| <b>Building Sitework Subtotal</b>                   |   |   |                       |   |           |           |            |               |              |                         |                       | \$17,925     | \$0       | \$214,573   | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$0               |             |
| <b>Z. GENERAL</b>                                   |   |   |                       |   |           |           |            |               |              |                         |                       |              |           |             |           |             |             |           |           |        |           |                  |                   |             |
| <b>General Subtotal</b>                             |   |   |                       |   |           |           |            |               |              |                         |                       | \$0          | \$0       | \$0         | \$0       | \$0         | \$0         | \$0       | \$0       | \$0    | \$0       | \$0              | \$0               |             |
| <b>Expenditure Totals per Year</b>                  |   |   |                       |   |           |           |            |               |              |                         |                       | \$16,907,016 | \$286,758 | \$5,962,324 | \$304,161 | \$1,115,236 | \$3,341,610 | \$237,987 | \$149,647 | \$0    | \$183,898 | \$16,907,016     | \$11,581,622      |             |
| <b>Total Cost (Inflated @ 3% per Yr.)</b>           |   |   |                       |   |           |           |            |               |              |                         |                       | \$16,907,016 | \$301,096 | \$6,573,462 | \$352,104 | \$1,355,577 | \$4,264,835 | \$318,926 | \$210,569 | \$0    | \$285,287 | Total *          | \$28,488,638      |             |

\* - Present Value Currency

**Footnotes**  
 1 Detailed descriptions for Useful Life and Plan Type can be found in the Appendices of the Facility Condition Assessment  
 2 Detailed Descriptions of the Priorities can be found in the Appendices of the Facility Condition Assessment

Current Repl.Value \$107,882,601



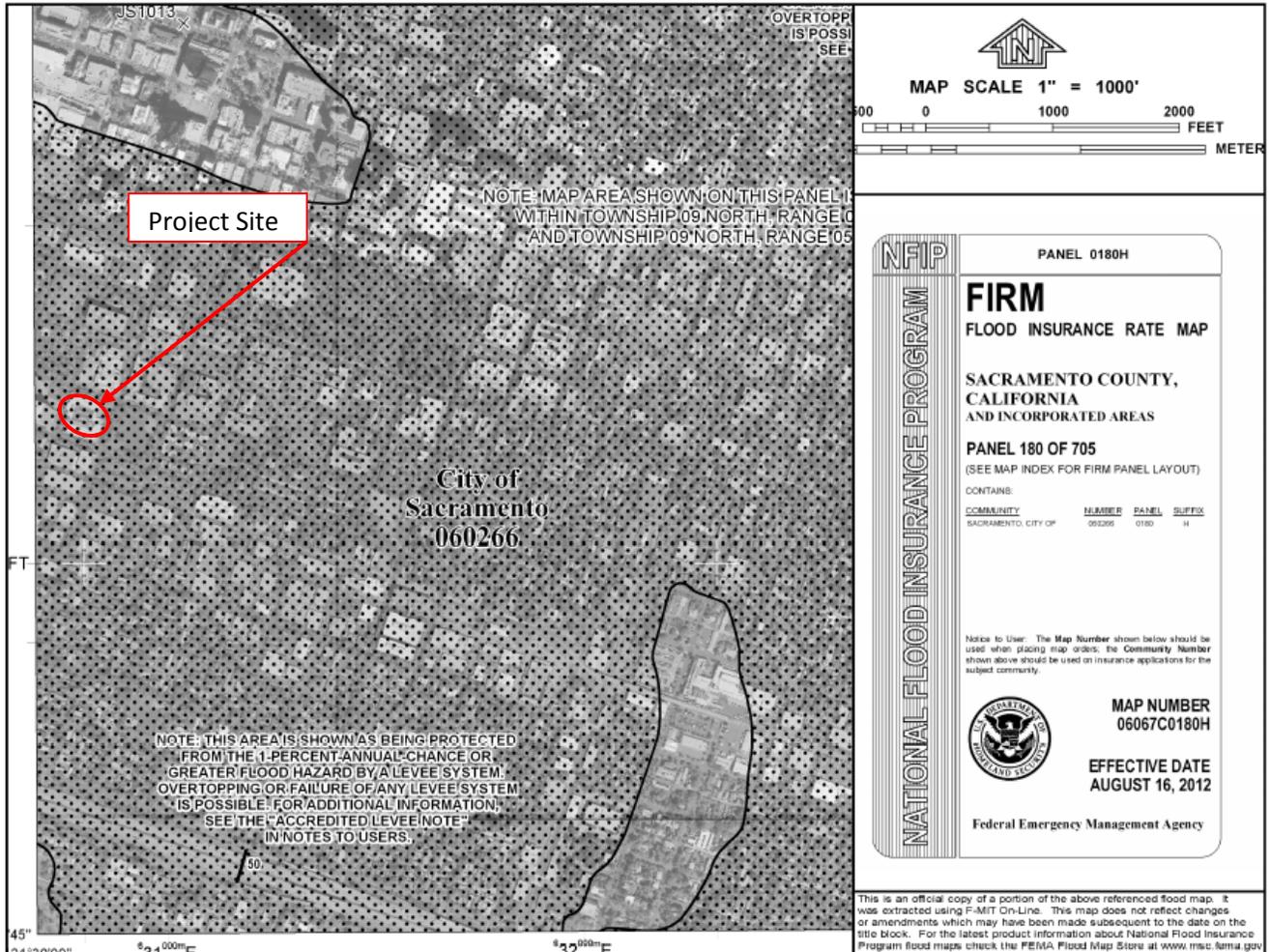
**APPENDIX H: SUPPORTING DOCUMENTATION**



Site Plan

|   |   |   |
|---|---|---|
|  | <p><b>Source:</b></p> <p>The north arrow indicator is an approximation of 0° North.</p> | <p><b>Project Number:</b></p> <p>111326.14R.001.305</p> <p><b>Project Name:</b></p> <p>Gregory Bateson Building</p> |
|  |   | <p><b>On-Site Date:</b></p> <p>November 13, 2014</p>  |

# Flood Map



|   |   |  |
|---|---|--|
|  | <b>SOURCE:</b><br>FEMA  | <b>Project Number:</b><br>111326.14R-001.305     |
|   |  | <b>Project Name:</b><br>Gregory Bateson Building |
| Not drawn to scale. The north arrow indicator is an approximation of 0° North.      |   | <b>On-Site Date:</b><br>November 13, 2014        |

| <b>Expected Useful Life (EUL) Table</b>                      |    |
|--|----|
| <b>SITE SYSTEM ITEMS</b>                                     |    |
| <b>ROADWAYS/ PARKING/ WALKWAYS</b>                           |    |
| Asphalt pavement   | 25 |
| Asphalt seal coat  | 5  |
| Concrete pavement  | 50 |
| Curbing, asphalt   | 25 |
| Curbing, concrete  | 50 |
| Parking, stall striping                                      | 5  |
| Parking, gravel surfaced                                     | 15 |
| Security gate- rolling gate                                  | 10 |
| Security gate- lift arm                                      | 10 |
| Sidewalk, asphalt  | 25 |
| Sidewalk, brick paver  | 30 |
| Sidewalk, concrete   | 50 |
| <b>STORM SEWER, DRAINAGE AND EROSION CONTROL</b>             |    |
| Catch basins, inlets, culverts                               | 50 |
| Earthwork, grading and erosion control                       | 50 |
| Storm drain lines  | 40 |
| <b>LANDSCAPING, TOPOGRAPHY AND FENCING</b>                   |    |
| Fencing, chain-link (4' height)                              | 40 |
| Fencing, dumpster enclosure (wood)                           | 12 |
| Fencing, Tennis Court (10' height)-Chain link                | 40 |
| Fencing, wood privacy (6' height)                            | 15 |
| Fencing, wrought iron (4-6' height and decorative)           | 50 |
| Fencing, concrete masonry unit (CMU)                         | 30 |
| Irrigation System  | 30 |
| Retaining walls, 80 lb block type                            | 50 |
| Retaining walls, concrete masonry unit (CMU) with brick face | 40 |
| Fencing, PVC (6' height)                                     | 25 |
| Retaining walls, timber (railroad tie)                       | 25 |
| <b>SITE SYSTEM ITEMS</b>                                     |    |
| <b>GENERAL SITE IMPROVEMENTS</b>                             |    |
| Lighting (pole mounted)                                      | 25 |
| Mail kiosk   | 10 |
| Pool deck  | 15 |
| Pool/ spa plaster liner                                      | 8  |
| Signage, monument  | 20 |
| Signage, roadway/ parking                                    | 10 |
| Tennis court / basketball court surface (paint markings)     | 5  |

|  |     |
|--|-----|
| <b>GENERAL SITE IMPROVEMENTS</b>                         |     |
| Tennis court Surface (acrylic emulsion)                  | 10  |
| Tot-lot (playground equipment)                           | 10  |
| <b>SITE SANITARY AND WATER</b>                           |     |
| Domestic Hot Water (DHW) - supply / return               | 30  |
| Lift station   | 50  |
| Sanitary lines   | 50  |
| Sanitary treatment                                       | 40  |
| Water main   | 40  |
| Water supply lines                                       | 50  |
| Water tower  | 50  |
| <b>SITE MECHANICAL / ELECTRICAL</b>                      |     |
| Compactors   | 15  |
| Dumpsters  | 10  |
| Electrical distribution center                           | 40  |
| Electric main  | 40  |
| Emergency Generator                                      | 25  |
| Gas lines  | 40  |
| Gas main   | 40  |
| Heating supply/ return                                   | 40  |
| Power distribution                                       | 40  |
| Transformer  | 30  |
| <b>BUILDING ARCHITECTURAL ITEMS</b>                      |     |
| Wood Decks   | 20  |
| Storage Sheds  | 30  |
| Carports   | 40  |
| Garages  | 50  |
| Basement Stairs  | 50  |
| Building mounted exterior lighting                       | 10  |
| Building mounted High Intensity Discharge (HID) lighting | 10  |
| Bulkhead   | 10  |
| Canopy, concrete   | 50  |
| Canopy, wood / metal                                     | 40  |
| Ceilings, open or exterior                               | 30  |
| Chimney  | 40  |
| Common area doors, interior (solid wood/ metal clad)     | 30  |
| Common area floors, ceramic / quarry tile, terrazzo      | 50+ |
| Common area floors, wood (strip or parquet)              | 30  |
| Common area floors, resilient tile or sheet              | 15  |
| Common area floors, carpet                               | 8   |
| Common area floors, concrete                             | 50+ |

| <b>BUILDING ARCHITECTURAL ITEMS</b>                      |     |
|--|-----|
| Common area railing                                      | 20  |
| Common area ceiling, concrete                            | 50+ |
| Common area ceiling, acoustic tile (drop ceiling),       | 15  |
| Common area countertop and sink                          | 20  |
| Common area dishwasher                                   | 15  |
| Common area disposal                                     | 5   |
| Common area kitchen cabinets, wood                       | 15  |
| Common area wall coverings                               | 15  |
| Caps, copings (aluminum/ terra-cotta) - Parapet          | 25  |
| Exterior common door, aluminum and glass                 | 30  |
| Exterior common door, solid core wood or metal clad      | 25  |
| Exterior stairs, wood                                    | 15  |
| Exterior stairs, metal pan- concrete filled              | 30  |
| Exterior stairs, concrete                                | 50  |
| Exterior unit door, solid wood/ metal clad               | 25  |
| <b>EXTERIOR CLADDING</b>                                 |     |
| Aluminum Siding  | 40  |
| Brick or block   | 40  |
| Brownstone or stone veneer                               | 40  |
| Exterior Insulation Finishing Systems (EIFS)             | 20  |
| Glass block  | 40  |
| Granite block  | 40  |
| Metal/ glass curtain wall                                | 30  |
| Precast concrete panel (tilt-up)                         | 40  |
| Vinyl siding   | 25  |
| Wood shingle/ clapboard/ plywood, stucco, composite wood | 20  |
| Cement-board siding (Hardi-plank)/ non integral color    | 45  |
| Fire Escapes   | 40  |
| Foundations  | 50+ |
| Roof hatch   | 30  |
| Roof skylight  | 30  |
| Insulation, wall   | 50+ |
| Interior lighting  | 15  |
| Interior railings  | 20  |
| Mail facility, interior                                  | 20  |
| Parapet wall,  | 50+ |
| Penthouse  | 50  |
| Railing, roof  | 25  |

|  |                    |
|--|--------------------|
| <b>INTERIORS</b>   |                    |
| Public bathroom accessories  | 7                  |
| Public bathroom fixtures   | 15                 |
| Refrigerator, common area  | 10                 |
| <b>BUILDING ARCHTECTURAL ITEMS</b>   |                    |
| <b>ROOF COVERINGS</b>  |                    |
| Built-up roof - Ethylene Propylene Diene Monomer (EPDM) / Thermoplastic Polyolefin (TPO) | 20                 |
| Asphalt shingle (3-tab)  | 20                 |
| Wood shingles (cedar shake)  | 25                 |
| Slate, clay, concrete tile   | 40                 |
| Metal  | 40                 |
| Roof drainage exterior (gutter/ downspout)   | 10                 |
| Roof drainage interior (drain covers)  | 30                 |
| Roof structure   | 50+                |
| Slab   | 50+                |
| Service door   | 25                 |
| Soffits (wood/ stucco)   | 20                 |
| Soffits (aluminum or vinyl)  | 25                 |
| Stair structures   | 50+                |
| Storm/ screen doors  | 7                  |
| Storm/ screen windows  | 10                 |
| Waterproofing (foundations)  | 50+                |
| Windows (frames and glazing), vinyl or aluminum  | 30                 |
| Wood floor frame   | 50+                |
| <b>BOILER ROOM EQUIPMENT</b>   |                    |
| Blowdown and Water Treatment   | 25                 |
| Boiler Room Pipe Insulation  | Included in boiler |
| Boiler Room Piping   | Included in boiler |
| Boiler Room Valves   | 15                 |
| Boiler Temperature Controls  | Included in boiler |
| Oil-fired, sectional   | 22                 |
| Gas/ dual fuel, sectional  | 25                 |
| Oil/ gas/ dual fired, low MBH  | 30                 |
| <b>BOILERS</b>   |                    |
| Oil/ gas/ dual fired, high MBH   | 40                 |
| Gas fired atmospheric  | 25                 |
| Electric   | 20                 |

| <b>BUILDING HEATING WATER TEMPERATURE CONTROLS</b>          |          |
|---|----------|
| Common area   | 15       |
| Buzzer/Intercom, central panel                              | 20       |
| Central Unit Exhaust, roof mounted                          | 15       |
| Chilled Water Distribution                                  | 50+      |
| Chilling Plant  | 15       |
| Cooling Tower   | 25       |
| Combustion Air, Duct with fixed louvers                     | 30       |
| Combustion Air, Motor louver and duct                       | 25       |
| <b>CONDENSATE, FEEDWATER, WATER</b>                         |          |
| Feedwater only (hydronic)                                   | 10       |
| Cooling Tower   | 25       |
| DHW Circulating Pumps                                       | by size  |
| Tank only, dedicated fuel                                   | 10       |
| Exchanger in storage tank                                   | 15       |
| Exchanger in boiler   | 15       |
| External tankless   | 15       |
| Instantaneous (tankless type)                               | 10       |
| Domestic Hot Water Storage Tanks, Small (up to 150 gallons) | 15       |
| Domestic Hot Water Storage Tanks, Large (over 150 gallons)  | 15       |
| Domestic Cold Water Pumps                                   | 15       |
| <b>ELECTRICAL &amp; ELEVATOR</b>                            |          |
| Electrical Switchgear                                       | 50+      |
| Electrical Wiring   | 30       |
| Elevator, Controller, dispatcher                            | 15       |
| Elevator, Cab   | 15       |
| Elevator, Machinery   | 30       |
| Elevator, Shaft-way Doors                                   | 20       |
| Elevator, Shaft-way Hoist rails, cables, traveling          | 25       |
| Elevator, Shaft-way Hydraulic piston and leveling           | 25       |
| <b>EMERGENCY ALARM AND FIRE PROTECTION</b>                  |          |
| Call station  | 10       |
| Emergency Generator   | 25       |
| Emergency Lights  | 8        |
| Evaporative Cooler  | 15       |
| Fire Extinguisher   | 10       |
| Fire Pumps  | 20       |
| Fire Suppression  | 50+      |
| Flue Exhaust  | w/boiler |
| Free Standing Chimney                                       | 50+      |
| Fuel Oil Storage  | 25       |

|  |         |
|--|---------|
| <b>EMERGENCY ALARM AND FIRE PROTECTION</b>     |         |
| Fuel Transfer System                           | 25      |
| Gas Distribution                               | 50+     |
| Heat Sensors                                   | 15      |
| Heat Exchanger                                 | 35      |
| Heating Risers and Distribution                | 50+     |
| <b>MECHANICAL – ELECTRIC – PLUMBING ITEMS</b>  |         |
| Heating Water Circulating Pumps                | by size |
| Heating Water Controller                       | 15      |
| Hot and Cold Water Distribution                | 50      |
| <b>HVAC</b>                                    |         |
| Pad/ roof condenser                            | 20      |
| A/C window unit or through wall                | 10      |
| Fan coil unit, electric                        | 20      |
| Fan coil unit, hydronic                        | 30      |
| Furnace (electric heat with A/C)               | 20      |
| Furnace (electric heat with A/C)               | 20      |
| Furnace (gas heat with A/C)                    | 20      |
| Packaged terminal air conditioner ( PTAC)      | 15      |
| Packaged HVAC (roof top units)                 | 20      |
| Heat pump condensing component                 | 20      |
| Heater, electric baseboard                     | 25      |
| Heater, wall mounted electric or gas           | 20      |
| Hydronic heat/ electric A/C                    | 20      |
| Line Dryers                                    | 15      |
| Master TV System                               | 10      |
| Motorized Valves                               | 12      |
| Outdoor Temperature Sensor                     | 10      |
| Pneumatic lines and Controls                   | 30      |
| <b>POWER VENTILATOR</b>                        |         |
| Purchased Steam Supply Station                 | 50+     |
| Sanitary Waste and Vent System                 | 50+     |
| Sewage Ejectors                                | 50      |
| Smoke and Fire Detection System, central panel | 15      |
| Solar Hot Water                                | 20      |
| <b>SUMP PUMP</b>                               |         |
| Commercial Sump Pump                           | 15      |
| Water Softening and Filtration                 | 15      |
| Water Tower                                    | 50+     |

## **PLAN TYPE DEFINITION**

Within the report text a Plan Type is assigned to the various cost categories. The following is a brief description of the Plan Types that may be used in the report.

### **Code Compliance (CC)**

- **Accessibility:** Conditions that are not in conformance with the American Disabilities Act Accessibility Guidelines
- **Building Code:** Conditions that are not in conformance with the Building codes
- **Life Safety:** Conditions that are not in conformance with the NFPA 101 Life Safety Code

### **Operations (OP)**

- **Energy:** Conditions that adversely affect energy use or will decrease water or energy usage
- **Maintenance:** Components or systems that can usually be accomplished by the current maintenance staff
- **Security:** Conditions that compromise the protection of the asset or its occupants

### **Environmental (EN)**

- **Air/ Water Quality:** Conditions that affect air or water quality
- **Asbestos:** Reported or suspected asbestos-containing material(ACM)
- **Lead:** Reported lead based paint
- **PCB:** Reported PCB containing equipment

### **Functionality (FN)**

- **Mission:** Components which do not meet the mission of the organization
- **Modernization:** Conditions that need to be upgraded in appearance or function
- **Plant Adaptation:** Components or systems that must change to fit a new or adapted use
- **Obsolescence:** Components or systems that are or are becoming obsolete
- **Capacity:** Components or system which cannot meet demand load

### **Integrity (IN)**

- **Appearance:** Problems with the material or system appearance that are not functional in nature
- **Reliability:** Components or systems which cannot be depended on to function as designed
- **Beyond Rated Life:** A component or system that has exceeded its rated life

**Estimate of Structures Cost Using Marshall Cost Systems**

**Gregory Bateson Building (011)**

**Site Calculation**

**Estimate of Unusual Land Improvements Cost (Estimators Data Cost Base):**

| Description  | Cost | Estimated \$/ SF | Unusual Land Total |
|--------------|------|------------------|--------------------|
|              |      |                  | \$0                |
| <b>Total</b> |      |                  | <b>\$0</b>         |

**Estimate of Unusual Land Improvements Cost (Estimators Cost Data Base):**

**Estimate of Structure Cost :**

| Building Type | Cost per SF | Number of SF   | Building Type Total |
|---------------|-------------|----------------|---------------------|
| main building | \$294.04    | 293,516        | \$86,306,081        |
|               | \$0.00      | 0              | \$0                 |
|               | \$0.00      | 0              | \$0                 |
|               | \$0.00      | 0              | \$0                 |
|               | \$0.00      | 0              | \$0                 |
| <b>Total</b>  |             | <b>293,516</b> | <b>\$86,306,081</b> |

**Estimate of Adjustments for Fees:**

| Description   | % increase |               |
|---|------------|---------------|
| Soft Costs  | 25.00%     |               |
|   | 0.00%      |               |
|   | 0.00%      |               |
|   |            |               |
|   |            |               |
| <b>Total Fees/ Interest included in Marshall System</b> |            | <b>25.00%</b> |

**Total Structure Estimate:**

| Description        | Unit            | Fee Adjust            | Adjusted Totals      |
|--------------------|-----------------|-----------------------|----------------------|
| main building      | \$86,306,081    | 25.00%                | \$107,882,601        |
|                    | \$0             | 25.00%                | \$0                  |
|                    | \$0             | 25.00%                | \$0                  |
|                    | \$0             | 25.00%                | \$0                  |
|                    | \$0             | 25.00%                | \$0                  |
| <b>Cost Per SF</b> | <b>\$367.55</b> | <b>Total Estimate</b> | <b>\$107,882,601</b> |

**APPENDIX I: PRE-SURVEY QUESTIONNAIRE**

**PROPERTY CONDITION ASSESSMENT: PRE-SURVEY QUESTIONNAIRE**

This questionnaire should be completed by someone knowledgeable about the subject property. *The completed form should be presented to EMG's Field Observer on the day of the site visit.* If the form is not completed, EMG's Project Manager will require *additional time* during the on-site visit with such a knowledgeable person in order to complete the questionnaire. During the site visit, EMG's Field Observer may ask for details associated with selected questions. This questionnaire will be utilized as an exhibit in EMG's final Property Condition Report.

**Name of person completing questionnaire:** Linda Tanner-Hill, Ken Kruschke & Bob Huggett

**Association with property:** Building & Property Management Branch  
Management & Engineering Staff

**Length of association with property:** Two to Twenty Years

**Date Completed:** October 7, 2014

**Phone Number:** 916-651-5233

**Building Name:** Gregory Bateson Building

**Directions:** Please answer all questions to the best of your knowledge and in good faith. Please provide additional details in the Comments column, or backup documentation for any Yes responses.

| INSPECTIONS                            | DATE LAST INSPECTED | LIST NAME AND CONTACT FOR MAINTENANCE CONTRACTOR, IF ANY.                     |
|--|---------------------|---|
| 1 Elevators                            | 8/28/2014           | State Department of Industrial Relations<br>Contract -ThyssenKrupp Elevator   |
| 2 HVAC, Mechanical, Electric, Plumbing | Daily               | Inspections completed by onsite staff   |
| 3 Life-Safety/Fire                     | 5/31/2014           | Annual inspection and testing by Sentinel Fire Equipment Company (Contractor) |
| 4 Roofs                                | 9/2014              | Periodic inspections are performed by BPM Central Shop maintenance mechanic   |

|   | Question  | Response   |
|---|---|--|
| 5 | List any major capital improvement within the last three Years. | Upgraded of HVAC controls to total DDC 2012<br>New heating hot water pumps 2013<br>New Steam heating heat-exchanger 2013<br>Main Switchgear 5-year testing and inspection 2013<br>New VFDs were installed for all major HVAC fans 2013 |
| 6 | List any major capital expenditures planned for the next year.  | See attached FY 14/15 & 15/16 of 5-year plan   |
| 7 | What is the age of the roof(s)?                                 | Roof Replacement 11/5/01<br>By Allied Enterprises, Inc.  |

Mark the column corresponding to the appropriate response. Please provide additional details in the Comments column, or backup documentation for any Yes responses. Note: **NA** indicates "Not Applicable", **Unk** indicates "Unknown"

| QUESTION |   | RESPONSE |   |    |     | COMMENTS   |
|----------|---|----------|---|----|-----|--|
|          |   | Y        | N | NA | Unk |  |
| 9        | Are there any unresolved buildings, or fire code issues?  | X        |   |    |     | All fire hose cabinets have been taken out of service due to broken water service line. See Bateson Plumbing Water Leak Project Report dated October 2014. |
| 10       | Are there any "down" or unusable units?   |          | X |    |     |  |
| 11       | Are there any problems with erosion, storm water drainage or areas of paving that do not drain? | X        |   |    |     | See Bateson Plumbing Water Leak Project Report dated October 2014.   |
| 12       | Is the property served by an private water well?  |          | X |    |     |  |
| 13       | Is the property served by a private septic system or other waste treatment systems?             |          | X |    |     |  |
| 14       | Are there any problems with foundations or structures?  | X        |   |    |     | Leaks and spalling of concrete. See Exterior Water Leak Project Report dated July 2014   |
| 15       | Is there any water infiltration in basements or crawl spaces?                                   | X        |   |    |     | See Bateson Plumbing Water Leak Project Report dated October 2014  |
| 16       | Are there any wall, or window leaks?  | X        |   |    |     | See Exterior Water Leak Project Report dated July 2014   |
| 17       | Are there any roof leaks?   | X        |   |    |     | See Exterior Water Leak Project Report dated July 2014   |
| 18       | Is the roofing covered by a warranty or bond?   |          | X |    |     |  |
| 19       | Are there any poorly insulated Areas?   | X        |   |    |     | See Exterior Water Leak Project Report dated July 2014   |
| 20       | Is Fire Retardant Treated (FRT) plywood used?   |          |   |    | X   |  |
| 21       | Is exterior insulation and finish system (EIFS) or a synthetic stucco finish used?              |          |   |    | X   |  |
| 22       | Are there any problems with the utilities, such as inadequate capacities?                       | X        |   |    |     | Some areas are short of electrical circuit capacity.   |
| 23       | Are there any problems with the landscape irrigation systems?                                   |          |   |    | X   |  |
| 24       | Has a termite/wood boring   |          |   |    | X   |  |

|    |   |   |   |   |   |   |
|----|---|---|---|---|---|---|
|    | insect inspection been performed within the last year?                      |   |   |   |   |   |
| 25 | Do any of the HVAC systems use R-11, 12, or 22 refrigerants?                | X |   |   |   | <b>(DDS)-computer room (Liebert units) Conference /Training room-supplemental cooling unit</b>  |
| 26 | Has any part of the property ever contained visible suspect mold growth?    | X |   |   |   | <b>See Exterior Water Leak Project Report dated July 2014</b>   |
| 27 | Is there a mold Operations and Maintenance Plan?                            |   | X |   |   |   |
| 28 | Have there been indoor air quality or mold related complaints from tenants? | X |   |   |   | <b>See Exterior Water Leak Project Report dated July 2014</b>   |
| 29 | Is polybutylene piping used?  |   | X |   |   |   |
| 30 | Are there any plumbing leaks or water pressure problems?                    |   | X |   |   |   |
| 31 | Are there any leaks or pressure problems with natural gas service?          |   |   | X |   |   |
| 32 | Does any part of the electrical system use aluminum wiring?                 | X |   |   |   | <b>Some components of the main switchgear use aluminum wiring.</b>  |
| 33 | Are there transformers inside the building?                                 | X |   |   |   | <b>Multiple electrical closets. Many are unbalanced and noisy</b>   |
| 34 | Do any Commercial units have less than 200-Amp service?                     |   | X |   |   |   |
| 35 | Are there any recalled fire sprinkler heads (Star, GEM, Central, Omega)?    |   | X |   |   |   |
| 36 | Is there any pending litigation concerning the property?                    |   |   |   | X |   |
| 37 | Has the the State previously completed an ADA or 'Title 24 review?          | X |   |   |   | <b>June 2010-ADA Accessibility Compliance Survey Report</b>   |
| 38 | Have any ADA or Title 24 improvements been made to the property?            | X |   |   |   | <b>All doors off the atrium and bathrooms have automatic ADA doors. Sliding doors on 8<sup>th</sup> &amp; 9<sup>th</sup> Street. Ste 230 ADA hardware installed Submitted in 5-year plan FY 15/16</b> |
| 39 | Does a Barrier Removal Plan exist for the property?                         |   |   |   | X |   |
| 40 | Has the Barrier Removal Plan been approved by an arms-length third party?   |   |   |   | X |   |
| 41 | Have there been any ADA or  |   |   |   | X |   |

|    |   |   |   |  |  |  |
|----|---|---|---|--|--|--|
|    | Title 24 related complaints?                                      |   |   |  |  |  |
| 42 | Have there been any complaints about the elevators or wait times? | X |   |  |  | Original Elevators; Modernization of all cabs submitted in FY 14/15  |
| 43 | Are there any problems with exterior lighting?                    | X |   |  |  | Planter box lighting, deteriorated and conduit rusted.   |
| 44 | Are there any other significant issues/hazards with the property? | X |   |  |  | <ul style="list-style-type: none"> <li>• See Exterior Water Leak Project Report dated July 2014</li> <li>• See Bateson Plumbing Water Leak Project Report dated October 2014</li> <li>• Electrical upgrade per Emerson Testing Report dated 10/23/13 (EDP) - Submitted FY 15/16. Report is attached.</li> <li>• HVAC Condensate Pan Leaks</li> <li>• The main HVAC fans are near the end of their life expectancy. It is a European design which makes replacement parts difficult to obtain</li> <li>• The steam condensate return tank and pumps have failed and need to be replaced.</li> <li>• The generator main and day tanks are not up to current codes and could cause a fuel leak event.</li> <li>• The security system has failed.</li> <li>• The sunshade system has reached expected life cycle. New shades, guide cables and software are needed.</li> <li>• The roof is in need of a perimeter fall prevention system. Low parapet walls create a fall risk.</li> <li>• The lighting in the atrium is not adequate.</li> <li>• The HVAC unit for the café area is failing.</li> </ul> |
| 45 | Are there any unresolved Construction defects at the property?    |   | X |  |  |  |



## **APPENDIX J: ELEVATOR REPORT**



Gregory Bateson Building  
1600 9<sup>th</sup> Street  
Sacramento, CA

Due Diligence  
Elevator Report

December 4, 2014

**Prepared for:**

Ms. Karla Rodriguez  
EMG Corporation  
Hunt Valley, MD 21212

**Prepared by:**

Mr. James Young  
Project Manager  
Architectural Elevator Consulting, LLC  
1326 5<sup>th</sup> Ave., Suite 630  
Seattle, WA 98101



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## **Section I: Executive Summary**

### **A. Introduction**

On November 20th, 2014 James Young of Architectural Elevator Consulting, LLC (AEC) surveyed all the vertical transportation systems at 1600 9<sup>th</sup> Street, Sacramento, CA. There are four (4) hydraulic elevators. Cars 1, 3, & 4 are passenger elevators and Car 5 is a service elevator. Car 2 is a future shaft that does not contain an elevator. The purpose of the survey was to review the major components, to identify upgrades needed over the next ten years and check for compliance with various codes. In addition to reviewing the major components of the elevators we checked the performance parameters of the equipment and tested safety devices such as door restrictors, electric edges and emergency phones.

The elevators were manufactured by ESCO and installed by a local elevator contractor during the original building construction in 1981. The elevators have ESCO power units, jacks, controllers, GAL door operators, and GAL door equipment. The power units are equipped with IMO pumps and Maxton valves which are known to be good quality. The signal fixtures in the cars were manufactured by Adams and appear to have been installed during the original installation.

During our survey we noted that the elevators were being well maintained by ThyssenKrupp Elevator (TKE) with a few areas that need work. Oil is leaking from all of the hydraulic power units and housekeeping in the machine rooms and pits should be improved.

### **B. Elevator Layout**

The office building has three sets of elevators. Car 1 is a simplex passenger car. Cars 3 and 4 operate in duplex with a common machine room. Car 5 is a dual pump service car operating as a single car. All of the elevators serve all floors, levels 1-4. All of the cars are rated at 150 Feet per Minute (FPM) and are designed with fast and efficient center opening doors. The passenger elevators have 2,500 lbs. capacities and the service car has a 7,500lbs. The number, speed and size of elevators appear to be adequate for the building. The office building has no underground parking.

| <b>Elevator Summary</b>   |                       |                      |                 |                  |
|---------------------------|-----------------------|----------------------|-----------------|------------------|
| <b>Elevator Bank</b>      | <b>Elevator Speed</b> | <b>Floors Served</b> | <b>Capacity</b> | <b>Door Type</b> |
| Passenger (Cars 1,3, & 4) | 150 FPM               | 1-4                  | 2,500 lbs.      | Center           |
| Service (Car 5)           | 150 FPM               | 1-4                  | 7,500 lbs       | 2 speed Center   |
|                           |                       |                      |                 |                  |

### **C. Condition**

Most the major components of the office elevators, Cars 1, 2-5 were found to be in fair condition. All the cab interiors are dated but in fair condition. A full modernization is recommended with new cab interiors. In **Section II** of this report we provide an in-depth review of each of the major components of the elevators with photographs.

#### **D. Maintenance/Performance**

The elevators are currently being maintained by TKE. The level of maintenance was good in most areas, but needed some attention in other areas. Oil is leaking from all of the power units and the housekeeping for the machine rooms and pits needs to be improved. In *Appendix C* of this report we provide a summary of the performance times for each elevator followed by a maintenance deficiency list. We recommend this list be provided to the elevator service provider so they can correct these items.

#### **E. Code Review:**

There are several codes affecting existing elevators in the State of California. During our survey we reviewed the elevators for compliance to the following codes; Americans with Disabilities Act (ADA)/California T24, and compliance with the National Elevator Code for Existing Elevators, A17.3.

1. **Americans with Disability Act (ADA)/California T24:** In 1990 the federal government enacted ADA to make public spaces more accessible to disabled persons. California has a few specific accessibility requirements in addition to ADA and they are referred to as California Title 24. All of the elevators meet most ADA and California Title 24 requirements. The sizes of the passenger elevators just misses the requirements for new elevators but meets ADA size requirements for existing elevators, thus no changes in the size are needed. All the cars had proper hall/car lanterns and gongs. Cars 1-4 had floor passing chimes, but Car 5 did not. *Appendix A* provides a complete listing of the ADA/T24 requirements. The following is a summary of which items need to be corrected to meet ADA/Title 24:
  - a. Replace hall and car button with raised compliant buttons.
  - b. Repair or replace inoperative directional gongs.
  - c. Relocated in-car handrails to 34" height.
  - d. Replace jamb braille with braille that meets California Title 24.
  
2. **Retro Active Codes for Existing Elevators:** We reviewed the elevators for compliance to A17.3 Code, the national safety code for existing elevators. This code requires all elevators, no matter age or installation date, to meet a minimum level of safety. A17.3 is not adopted in California, thus not required by the State, but highly recommended by AEC. A complete check list for this retro-active code is included in *Appendix B* of this report. The elevators have been retro-actively upgraded for most of these codes. The following is a list of items missing:
  - a. Car 1, 3, and 4 aprons are 9" long and code requires 21" minimum
  - b. All car need keyed in-car stop switches
  - c. Install door restrictors.
  
3. **Seismic:** The elevators were installed under a less stringent seismic code from the 1980's. Seismic rupture valve, pit shut off valve, and Car retain brackets have been installed on the passenger cars, but not on service Car 5. There a few upgrades that are recommended. Seismic fish plates could be installed on the car guide rails but are usually not cost effective but should be considered.

**F. Recommendation:**

We recommend the passenger elevators be fully modernized in the next 3-5 years. Their performance is below standard. The service car 5 should be modernized after the passenger cars have been completed. In the meantime some immediate maintenance repairs should commence such as fixing all the oil leaks, cleaning the controllers, car tops, and pits. If budget constraints do not allow modernization in the near future, we recommend adding all the A17.3 safety items we have identified. Installing longer car aprons on Cars 1, 3, and 4 and door restrictor on all cars should be a priority.

## **Section II : Component Review**

### **A. MACHINE ROOM:**

#### **Controllers:**

The controllers were manufactured by ESCO and installed by Otis Elevator company as part of a modernization in 1981. The controllers utilize old relay logic that is known to be reliable but have reached the end of their useful lives. If properly maintained the controllers should last another 3 to 5 years with no major updates.



#### **Hydraulic Power Units:**

All the elevators have ESCO power units equipped with Maxton valves and IMO pumps. The machines were installed by Otis Elevator in 1981 when the building was erected. The machines are in relatively good condition considering their age. Full modernization is recommended in 3 to 5 years.



#### **Pump and valve:**

The ESCO power units are equipped with Maxton control valves, IMO pumps, and Baldor electric motors. All of the units have shut off valves in the machine rooms except unit 2.



**B. HOISTWAY:**

**Hoistway Construction:**

The hoistway (elevator shaft) is the main area where the elevators go up and down. The hoistways are mostly built of drywall and some concrete beams. These vents at the top of the shafts are fixed open. These could be replaced with motorized vents that are normally closed to reduce energy loss.



**Car Guide Rails:**

The car rails are in good condition. There is light rust on the machined surfaces. During the proposed modernization this should be remedied.



**Pits:**

The pits for are poured concrete with sump areas and metal grating. The pits were found to be dirty and the jack seals need to be fixed. The pits are equipped with seismic rupture valves and manual shut off valves.



## C. CAR TOP:

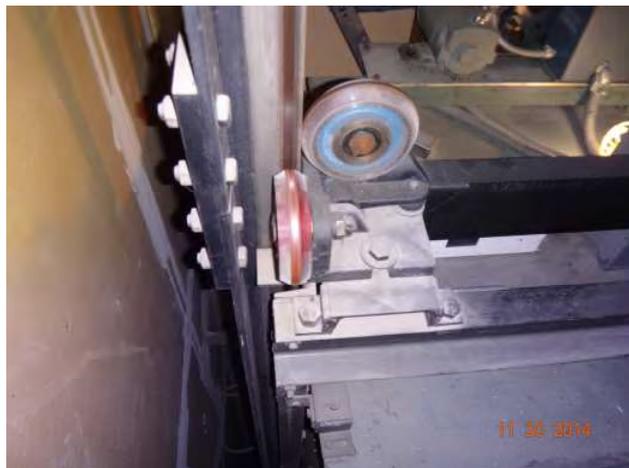
### Door Operator:

The door operators are GAL MOML. The door operation was noted to be good with room for improvement. None of the cars are equipped with door restrictors. Immediate installation is highly recommended, at a minimum during the proposed modernization.



### Car Roller/Slide Guides:

On both sides of the elevators and on the top and bottom roller guides keep the elevators riding up and down the steel guide rails. The existing ride quality was good. These are likely the original equipment but are equipped with seismic retainer plates. The guide rollers or entire assemblies could be replaced during modernization.



## D. SIGNAL FIXTURES:

### Car Operating Panels:

All the elevators have the original equipment Car Operating Panels (COP's). These would be replaced during the proposed modernization. The panels are in good condition; the buttons had proper braille and are at the proper height for ADA. The aesthetic is poor and the stop switch is not keyed as required by code.



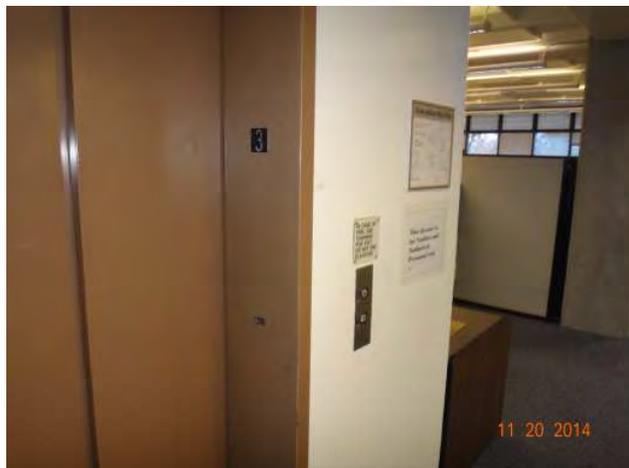
**Hall Lanterns:**

Car lanterns inform persons waiting in the hall of which direction the elevator is about to travel in next. ADA requires that the hall lanterns illuminate and sound for the waiting passengers. The existing elevators have car lanterns on each car. The lanterns have the proper gong for up and down. Some of the gongs and lantern were inoperative during out survey. The cars were all equipped with in-car position indicators mounted above the door.



**Hall Call Pushbuttons:**

At each floor hall call push buttons are located so that users can call the elevator. The hall call stations have flush operation buttons which are not ADA compliant. During a modernization the fixture and fire exit sign will be replaced.



**E. CAB INTERIOR:**

**Wall Finish:**

The existing cab interiors are possibly original and looked to be in fair condition. All sides have the code required handrails. No code required work in needed.



**Ceilings:**

The cabs interiors are dated. The drop ceiling and diffused fluorescent lighting is not aesthetically pleasing but is in fair condition. A complete cab upgrade is recommended during the proposed modernization.



## Vertical Transportation

| Item No. | Recommendation                                      | Rating | Quantity  | Unit                                     | Unit Cost   | Immediate Code Items | Immediate - Repair | Yers 1-3 | Years 4-6 | Years 7-10 | Totals    |
|----------|---|--------|-----------|--|-------------|----------------------|--------------------|----------|-----------|------------|-----------|
| 1        | Install material to bevel flat surfaces in hoistway | 1      | 4         | EA                                       | \$1,500.00  | \$6,000              |                    |          |           |            | \$6,000   |
| 2        | Install door restrictors for all elevators          | 1      | 4         | EA                                       | \$2,500.00  | \$10,000             |                    |          |           |            | \$10,000  |
| 3        | Replace in-car stop switches with keyed switches    | 1      | 4         | EA                                       | \$300.00    | \$1,200              |                    |          |           |            | \$1,200   |
| 4        | Replace car aprons with 21" long new units          | 1      | 3         | EA                                       | \$1,500     | \$4,500              |                    |          |           |            | \$4,500   |
| 5        | Repair hydraulic leaks on power unit piping         | 2      | 4         | EA                                       | \$1,000     |                      | \$4,000            |          |           |            | \$4,000   |
| 6        | Reseal jack heads to eliminated leaking             | 2      | 4         | EA                                       | \$800       |                      | \$3,200            |          |           |            | \$3,200   |
| 7        | Install car identification numbers on car tops      | 1      | 4         | EA                                       | \$250       | \$1,000              |                    |          |           |            | \$1,000   |
| 8        | Adjust accel and decel for smooth operations        | 1      | 4         | EA                                       | \$500.00    | \$2,000              |                    |          |           |            | \$2,000   |
| 9        | Replaced chipped pick up rollers on car 5           | 2      | 4         | EA                                       | \$250.00    |                      | \$1,000            |          |           |            | \$1,000   |
| 10       | Complete over due five year tests for car 1         | 2      | 1         | EA                                       | \$4,000.00  |                      | \$4,000            |          |           |            | \$4,000   |
| 11       | New Cab interiors during modernization              | 4      | 4         | EA                                       | \$23,000.00 |                      |                    |          | \$92,000  |            | \$92,000  |
| 12       | Complete Modernization(excluding jack replacement)  | 4      | 4         | EA                                       | \$95,000    |                      |                    |          | \$380,000 |            | \$380,000 |
|          | <b>Subtotal</b>                                     |        |           |  |             | \$24,700             | \$12,200           | \$0      | \$472,000 | \$0        | \$508,900 |
|          |   | 1      | \$24,700  | <b>Code and Safety</b>                   |             |                      |                    |          |           |            |           |
|          |   | 2      | \$12,200  | <b>Deffered Maintenance &amp; Repair</b> |             |                      |                    |          |           |            |           |
|          |   | 3      | \$0       | <b>Capital Expenditure</b>               |             |                      |                    |          |           |            |           |
|          |   | 4      | \$472,000 | <b>Modernization / Improvements</b>      |             |                      |                    |          |           |            |           |
|          |   | 5      | \$508,900 | <b>Total</b>                             |             |                      |                    |          |           |            |           |

Rating:

- 1 - Code and Safety
- 2 - Repair and Maintenance
- 3 - Capital Expenditure
- 4 - Modernization / Improvements
- 5 - Other

Appendix A  
ADA/California T24 ELEVATOR CHECKLIST

| ADA    | Item  | Complies Yes/No/N/A |                  |                  |
|--------|---|---------------------|------------------|------------------|
|        |   | Cars 1              | Car 3 & 4        | Car 5            |
|        | <b>GENERAL</b>  |                     |                  |                  |
| 4.10.1 | Elevator must comply with ASME A17.1-1990. Freight elevators are not acceptable unless only elevator provided, and is permitted to carry passengers, both public and employees. | Yes                 | Yes              | Yes              |
|        | <b>AUTOMATIC OPERATION</b>  |                     |                  |                  |
| 4.10.2 | Elevators must be Automatic.  | Yes                 | Yes              | Yes              |
| 4.10.2 | Self-leveling to within 1/2 in.   | Yes                 | Yes              | Yes              |
|        | <b>HALL CALL BUTTONS</b>  |                     |                  |                  |
| 4.10.3 | Buttons centered at 42 in. above the floor.   | Yes                 | Yes              | Yes              |
| 4.10.3 | Buttons to illuminate when call is entered and extinguish when answered.  | Yes                 | Yes              | Yes              |
| 4.10.3 | Buttons to be at least 3/4 in. in the smallest dimension.   | Yes                 | Yes              | Yes              |
| 4.10.3 | Up button located above down button.  | Yes                 | Yes              | Yes              |
| 4.10.3 | Buttons raised or flushed. <b>(T24 must be raised)</b>  | <b>No-Flush</b>     | <b>No- Flush</b> | <b>No- Flush</b> |
| 4.10.3 | Objects mounted beneath hall buttons not to project into the lobby more than 4 in.  | Yes                 | Yes              | Yes              |
|        | <b>HALL or CAR LANTERNS</b>   |                     |                  |                  |
| 4.10.4 | Visible and audible signals at each hoistway entrance to indicate which car is responding to the call.  | Yes – Car           | Yes – Car        | Yes – Car        |
| 4.10.4 | Audible signals to sound once for up and twice for “down” or may verbal announcement stating “up” “down.”   | Yes-inop floor 1    | Yes-inop floor 4 | Yes              |
| 4.10.4 | Hall directional lantern centered 72 in. above floor.   | Yes                 | Yes              | Yes              |
| 4.10.4 | Directional lantern visible elements minimum of 2-1/2 in. in the smallest dimension.  | Yes                 | Yes              | Yes              |
| 4.10.4 | Directional lanterns must be visible from the vicinity of the hall call button.   | Yes                 | Yes              | Yes              |
| 4.10.4 | In car lanterns, meeting the requirements above are acceptable in lieu of hall directional lanterns.  | Yes                 | Yes              | Yes              |
|        | <b>HOISTWAY ENTRANCES</b>   |                     |                  |                  |
| 4.10.5 | Raised and Braille floor designations are required on both door jambs. Permanently applied plates are acceptable. <b>(T24 must be to the left)</b>                              | Yes                 | Yes              | Yes              |
| 4.10.5 | Centerline of floor designation characters 60 in. above floor.  | Yes                 | Yes              | Yes              |
| 4.30.4 | Characters must be 2 in. high, raised 1/32 in. upper sans serif (block letters) or simple serif type.   | Yes                 | Yes              | Yes              |
| 4.30.4 | Grade II Braille to accompany raised characters.  | Yes                 | Yes              | Yes              |
|        | <b>DOOR PROTECTIVE &amp; REOPENING DEVICES</b>  |                     |                  |                  |
| 4.10.6 | Doors must open and close automatically.  | Yes                 | Yes              | Yes              |

Appendix A  
ADA/California T24 ELEVATOR CHECKLIST

| ADA         | Item  | Complies Yes/No/N/A |                |                |
|-------------|---|---------------------|----------------|----------------|
|             |   | Cars 1              | Car 3 & 4      | Car 5          |
| 4.10.6      | Non-contact door reopening device at 5 in. and 29 in. above the floor.  | Yes                 | Yes            | Yes            |
| 4.1.6(3)(c) | If safety edges are provided on existing elevators, the non-contact door reopening devices may be omitted.  | Yes                 | Yes            | Yes            |
| 4.10.6      | Reopening device to remain operational for at least 20 seconds.   | Yes                 | Yes            | Yes            |
|             | <b>DOOR AND SIGNAL TIMING</b>   |                     |                |                |
| 4.10.7      | Minimum acceptable door open time from notification car is answering a hall call until the car doors begin to close:<br>$T=D/(1.5ft/s)$ , where $T$ is the total time in and $D$ is the distance from a point in the lobby or corridor 60 in. directly in front of the farthest button controlling that car to centerline of its hoistway door. | Yes                 | Yes            | Yes            |
| 4.10.7      | Minimum acceptable notification time 5.0 seconds.   | Yes                 | Yes            | Yes            |
|             | <b>DOOR DELAY FOR CAR CALLS</b>   |                     |                |                |
| 4.10.8      | Doors to remain open for a minimum of 3.0 seconds in response to car calls.   | Yes                 | Yes            | Yes            |
|             | <b>FLOOR PLAN NEW ELEVATOR</b>  |                     |                |                |
| 4.10.9      | At least 36" wide door.<br>Side Open Door: Cab must be 5'-8" wide x 4'-3" deep<br>Center Open Door: Cab must be 6'-8" wide by 4'-3" deep  | Yes                 | Yes            | Yes            |
|             | <b>FLOOR PLAN EXISTING ELEVATOR</b>   |                     |                |                |
| 4.1.6       | Minimum of 48" x 48"  | Yes                 | Yes            | Yes            |
| 4.10.9      | Clearance between car platform sill and edge of hoistway landing sill no greater than 1-1/4 in.   | Yes                 | Yes            | Yes            |
|             | Handrails Circular Square Dia. ____ Top of Handrail ____<br>Height Side Back (T24 must be 34")  | <b>No- 36"</b>      | <b>No- 36"</b> | <b>No- 36"</b> |
|             | <b>FLOOR SURFACES</b>   |                     |                |                |
| 4.10.10     | Surfaces to be stable, firm and slip resistant.   | Yes                 | Yes            | Yes            |
| 4.5.3       | Carpeting if installed must have firm cushion, pad or backing, or no cushion or pad. Carpeting must have level loop, textured loop, level pile texture. Carpeting pile thickness not to exceed 1/2 in. Carpeting must have exposed edges fastened to the floor surface. Exposed edges of carpets must be trimmed.                               | Yes                 | Yes            | Yes            |
|             | <b>ILLUMINATION LEVELS</b>  |                     |                |                |
| 4.10.11     | Five foot-candles of illumination to be provided at car controls, platform and at sill.   | Yes                 | Yes            | Yes            |
|             | <b>CAR CONTROLS</b>   |                     |                |                |
| 4.10.12     | Buttons to be at least 3/4 in. in their smallest dimension.   | Yes                 | Yes            | Yes            |
| 4.10.12     | Buttons must be flush or raised. (T24 Must be Raised)   | <b>No</b>           | <b>No</b>      | <b>No</b>      |

Appendix A  
ADA/California T24 ELEVATOR CHECKLIST

| ADA                             | Item  | Complies Yes/No/N/A |           |       |
|---------------------------------|---|---------------------|-----------|-------|
|                                 |   | Cars 1              | Car 3 & 4 | Car 5 |
| 4.10.12                         | Buttons must be designated by raised characters and Braille or symbols complying with ASME A17.1 Rule 210.13.   | Yes                 | Yes       | Yes   |
| 4.10.12                         | Characters must be a minimum of 5/8 in. high, upper case sans (block letters) or simple serif type.   | Yes                 | Yes       | Yes   |
| 4.10.12                         | Grade II Braille to accompany raised character of symbol.   | Yes                 | Yes       | Yes   |
| 4.10.12                         | Raised designations must be to the immediate left of the button to which they apply.  | Yes                 | Yes       | Yes   |
| 4.10.12                         | Call button illuminates when call is entered and extinguish when answered.  | Yes                 | Yes       | Yes   |
| 4.10.12                         | Floor buttons must be no higher than 48 in. when located in front return. Buttons must be no higher than 54 in. when a side approach provided.  | Yes                 | Yes       | Yes   |
| 4.10.12                         | Emergency controls, including emergency alarm and emergency stop (if provided) must be grouped at the bottom of the panel and have centerlines no less than 35 in. above the finished floor.  | Yes                 | Yes       | Yes   |
| 4.10.12                         | Controls must be on the front return wall with center-opening doors. They may be on the front return or strike jamb sidewall with side doors.   | Yes                 | Yes       | Yes   |
| <b>CAR POSITION INDICATORS</b>  |   |                     |           |       |
| 4.10.13                         | Visual car position indicator must be provided above control panel or over door.  | Yes                 | Yes       | Yes   |
| 4.10.13                         | Car position indicator numerals must be a minimum of 1/2 in. high.  | Yes                 | Yes       | Yes   |
| 4.10.13                         | Audible signal to sound as the car passes or stops at a floor and a corresponding floor designation must illuminate. Audible signal must be at least 20 dB with a frequency no higher than 1,500 Hz.  | Yes                 | Yes       | Yes   |
| 4.10.13                         | A button to activate audible signal only for desired trip may be provided.  | N/A                 | N/A       | N/A   |
| 4.10.13                         | An automatic verbal announcement the floor at which a car stops may be substituted for the audible signal.  | N/A                 | N/A       | N/A   |
| <b>EMERGENCY COMMUNICATIONS</b> |   |                     |           |       |
| 4.10.14                         | If provided, emergency two-way communication systems between the elevator and a point outside the hoistway must comply with ASME A17.1-1990, Rule 211.1.  | Yes                 | Yes       | Yes   |
| 4.10.14                         | The highest operable part must be a maximum of 48 in. from the car floor.   | Yes                 | Yes       | Yes   |
| 4.10.14                         | Emergency communication identification must be provided and located adjacent to the device. Characters must be a minimum of 5/8 in. high raised 1/32 in., upper case serif (block letters) or simple serif type, and accompanied by Grade II Braille. | Yes                 | Yes       | Yes   |
| 4.10.13                         | If a handset is provided the cord must be at least 29 in. long.   | Yes                 | N/A       | N/A   |

Appendix A  
 ADA/California T24 ELEVATOR CHECKLIST

| ADA     | Item  | Complies Yes/No/N/A |           |       |
|---------|---|---------------------|-----------|-------|
|         |   | Cars 1              | Car 3 & 4 | Car 5 |
| 4.27.4  | If located in a closed compartment, the door must be operable with one hand. It must not require tight grasping, pinching or twisting of the wrist. The force required to open the door must not exceed 5 lb/f. | Yes                 | Yes       | Yes   |
| 4.10.13 | The system must not require voice communication.  | Yes                 | Yes       | Yes   |

**Appendix “B”**  
**A17.3**  
**Code for Existing Hydraulic Elevators**

| <b>A17.3</b> |   | <b>Complies<br/>Yes/No</b> |
|--------------|---|----------------------------|
| <b>2.1</b>   | <b>HOISTWAYS</b>  |                            |
| 2.1.1        | Hoistway Construction<br>(Enclosed & Fire rated per local code or ANSI/NFPA No. 101)  | Yes                        |
| 2.1.2        | Windows in Hoistway Enclosures: (If provided are they guarded properly.)  | Yes                        |
| 2.1.3        | Projections in Hoistway<br>(Must be flush and level; Leveling zone +3”/ 60 to 75 deg bevel.)  | Yes                        |
| 2.1.4        | Pipes Conveying Gases, Vapors, or Liquids.<br>(If provided must be properly covered & securely fastened.)                                 | Yes                        |
| <b>2.2</b>   | <b>MACHINE ROOMS AND MACHINERY SPACES</b>   |                            |
| 2.2.1        | Enclosures – Designated Machine Room (No-non elevator equipment- existing can stay)   | Yes                        |
| 2.2.2        | Access to Machine Rooms and Machinery Spaces<br>(A permanent means to the machine room- locked door)                                      | Yes                        |
| 2.2.3        | Lighting (Permanent lighting in all machine rooms)  | Yes                        |
| 2.2.4        | Ventilation (Natural or mechanical to avoid overheating)  | Yes                        |
| 2.2.5        | Pipes Conveying Gases, Vapors, or liquids<br>(Existing pipes allowed if guarded to prevent discharge)                                     | Yes                        |
| 2.2.6        | Protection From Weather   | Yes                        |
| <b>2.3</b>   | <b>PITS</b>   |                            |
| 2.3.1        | Access to Pits (Means of access to all pits. If access door provide closer & keys onsite.)  | Yes                        |
| 2.3.2        | Drains (Drains connected directly to the sewer are not permitted.)  | Yes                        |
| 2.3.3        | Stop Switch (A stop switch shall be provided for every pit. Locate near access, color, etc.)  | Yes                        |
|              | Single Bottom Jack: (If single bottom oil must be monitored and tracked)  | Yes                        |
| <b>2.4</b>   | <b>CLEARANCES AND RUNBYS</b>  |                            |
| 2.4.1        | Horizontal Car Clearances<br>(Not more than 5” for horizontal doors; 7.5” for vertical doors)   | Yes                        |
| 2.4.2        | Bottom Car Clearances<br>(Car shall not strike any equipment when resting on fully compressed buffer.)                                    | Yes                        |
| 2.4.3        | Bottom Car and Counterweight Runby<br>(Shall not exceed 24” for cars; or 36” for cwt.)  | Yes                        |
| 2.4.4        | Top Car Clearance (Car does not strike any overhead structure)  | Yes                        |
| 2.4.5        | Landing Sill Clearance<br>(At least ½” for side guides; at least ¾” for corner guides. Max cannot exceed 1 ½”.)                           | Yes                        |
| 2.5          | If space below pit is accessible it must meet certain rules.  | Yes                        |
| <b>2.6</b>   | <b>HOISTWAY ENTRANCES</b>   |                            |
| 2.6.1        | Doors or Gates Required (Passenger Elevators – full width/height – no hand latches.) (Freight Elevators – at least 6-0” gate)             | Yes                        |
| 2.6.2        | Closing of Hoistway Doors<br>(Door closers required on cars except swinging portion of horizontal door)                                   | Yes                        |
| 2.6.3        | Hoistway Door Vision Panels<br>(Required on manually operated or self closing doors, location, Size, and type of glass)                   | N/A                        |
| 2.6.4        | Door Hangers (Prevent jumping, and stops, 4 times load)   | Yes                        |
| 2.6.5        | Non-Shearing Astragals (For vertical bi-parting doors only)   | N/A                        |
| 2.6.6        | Pull Straps (Must not be more than 6’-6” from floor when open)  | N/A                        |
| 2.6.7        | Bottom Guides (gibs must be provided.)  | Yes                        |
| <b>2.7</b>   | <b>HOISTWAY DOOR LOCKING DEVICES, PARKING, DEVICES, AND ACCESS</b>  |                            |
| 2.7.1        | Hoistway Door or Gate Locking Devices (Mechanical and electrical interlocks required)   | Yes                        |
| 2.7.2        | Closed position of Hoistway Doors   | Yes                        |
| 2.7.3        | Elevator Parking Device (For cars operated from within car only)  | N/A                        |
| 2.7.4        | Access to Hoistway (Hoistway door unlocking devices and access switches)  | Yes                        |
| 2.7.5        | Restricted Opening of Hoistway Doors and/or Car Doors on Passenger Elevators (Cannot open more than 4” outside unlocking zone +-18” max.) | <b>No</b>                  |
| 2.7.6        | Hoistway Emergency Door Contacts (Positively opened)  | Yes                        |
| <b>2.8</b>   | <b>POWER OPERATION OF DOORS AND GATES</b>   |                            |

# Appendix “B”

## A17.3

### Code for Existing Hydraulic Elevators

| A17.3            |   | Complies<br>Yes/No        |
|------------------|---|---------------------------|
| 2.8.1            | Kinetic Energy and Force Limitations for Power-operated Horizontal Sliding Doors. (Shall not exceed 7ft/lbs. with re-opening device, without 2.5ft/lbs.; cannot exceed 30 ft/lbs) | Yes                       |
| 2.8.2            | Reopening Device for Power-Operated Car Doors or Gates<br>(Can be rendered inoperative if less then 2.5ft/lb)   | Yes                       |
|                  | <b>Mechanical Equipment</b>   |                           |
| 3.1              | Buffers And Bumpers (Car and counterweight buffers are required)  | Yes                       |
| <b>3.3</b>       | <b>CAR FRAMES AND PLATFORMS</b>   |                           |
| 3.3.1            | Car Platforms (Cover entire area)   | Yes                       |
| 3.3.2            | Platform Guards (Aprons) (Vertical face at least 21” A17.3, 60-75deg, withstand 150#)   | <b>No 1,3,4<br/>Yes 5</b> |
| 3.3.3            | Hinged Platform Sills (Must have contacts & prevent operation unless within 2”)   | N/A                       |
| 3.3.4            | Floating (Movable) Platforms (Prohibited if car can move when door is not closed)   | N/A                       |
| 3.3.5            | Protection of Platforms Against Fire (Must be covered with metal or fire resistant mat)   | Yes                       |
| <b>3.4</b>       | <b>CAR ENCLOSURES</b>   |                           |
| 3.4.1            | Car Enclosures (Passenger – total enclosed; Frt maybe perforated, but not by the cwt.; Car top must withstand 300lbs on any 2sqft.)   | Yes                       |
|                  | Cab Lining Materials (Must have class 1 rating, flame spread of 25 or less.)  | Yes                       |
| 3.4.2            | Car Doors and Gates (Must have gate or door and electric contract)  | Yes                       |
| 3.4.3            | Location of Car Doors and Gates<br>(Hor, distance not more then 5 ½”, Swing door 4” max., space and site guard requirements.)   | Yes                       |
| 3.4.4            | Emergency Exits (Cover hinged, single car blind shaft-every 36’, side allowed)  | Yes                       |
| 3.4.5            | Car Illumination (At least two lights, 5ftc; frt=2.5ftc; emerg. .2ftc for 4 hrs.)   | Yes                       |
| 3.4.6            | Protection of Light Bulbs and Tubes<br>(Guarded or coated to prevent breaks)  | Yes                       |
| <b>3.7</b>       | <b>CAPACITY AND LOADING</b>   |                           |
| 3.7.1            | Minimum Rated Load for Passenger Elevators (per table 3.7.1)  | Yes                       |
| 3.7.2            | Use of Partitions for Reducing Inside Net Platform Area<br>(Partitions must be permanent and symmetrical)   | Yes                       |
| 3.7.3            | Min. Rated Load for Freight Elevators (Class A = Not more then ¼ of total cap.; Class B = Motor Veh.; Class C = loading with industrial truck, etc.)                              | Yes                       |
| 3.7.4            | Capacity Plates (Every car must have one with rated load; Frt : one piece loads, loading and unloading; ¼” high for pass, 1” for frt.)  | Yes                       |
| 3.7.5            | Signs on Freight Elevators (NOT A PASS ELEV...etc. ½” high letters)   | Yes                       |
| <b>3.8 (4.3)</b> | <b>DRIVING MACHINES AND SHEAVES</b>   |                           |
| 4.3.1            | Connection to Driving Machine (capable of withstanding, without damage, plunger stop)   | Yes                       |
| 4.3.2            | Plunger Stop (If greater then 100FPM provide ETS)   | Yes                       |
| 4.3.3            | Hydraulic Elevators (In-ground jacks- single vs. double bottom)   | Yes                       |
| <b>4.4</b>       | <b>Valves, Supply Piping, and Fittings</b>  |                           |
| 4.4.1            | Pump Relief Valve (Between pump & check valve, preset to open at 125% of working pressure, sized to allow proper capacity, must be sealed)  | Yes                       |
| 4.4.2            | Check Valve (Will hold the elevator with rated load when pump stops.)   | Yes                       |
| 4.4.3            | Mechanically Controlled Operating Valves (These types of valves are prohibited.)  | Yes                       |
| 4.4.4            | Supply Piping and Fittings (Must be in sound condition and secured in place.)   | Yes                       |
|                  |   | Yes                       |
|                  | <b>Tanks</b>  |                           |
| 4.5.1            | Tanks General Requirements (Must be of adequate size and have an indicator.)  | Yes                       |
| 4.5.2            | Pressure Tanks (Tanks subject to collapsing shall be provided with vacuum relief valves., pressure gage, inspectors gage, liquid level detector, hand holes, and manholes.)       | Yes                       |
| <b>3.9</b>       | <b>TERMINAL STOPPING DEVICES</b>  |                           |
| 3.9.1            | Normal and Terminal Stopping Devices (Locate at upper and lower terminals. If in machine room provide broken rope, tape or chain switch)  | Yes                       |
| <b>3.10</b>      | <b>OPERATING DEVICES AND CONTROL EQUIP.</b>   |                           |
| 3.10.1           | Types of Operating Devices (Rope or rod devices shall not be used.)   | Yes                       |
| 3.10.2           | Car-Switch Operation Elevators  | Yes                       |

## Appendix “B”

A17.3

Code for Existing Hydraulic Elevators

| A17.3       |   | Complies<br>Yes/No  |
|-------------|---|---------------------|
|             | (If provided must return to stop position if released by hand)  |                     |
| 3.10.3      | Top-of-Car Operating Devices (Continuous pressure <150FPM; bet. Crosshead and door.) (not needed on hydro's if less than 15' of travel)               | Yes                 |
| 3.10.4      | Electrical Provisions   |                     |
|             | (e) Stop Switch – Top of Car- marked “stop” & “run”   | Yes                 |
|             | (h) Final Terminal Stopping Devices   | Yes                 |
|             | (i) Emergency Terminal Stopping Devices (reduced stroke)  | N/A                 |
|             | (m) Buffer Switches for Oil Buffers (type c safety)   | N/A                 |
|             | (n) Hoistway Door Interlocks or Hoistway Door Contacts  | Yes                 |
|             | (p) Car Door or Gate Electric Contacts  | Yes                 |
|             | (q) Normal Terminal Stopping Devices  | Yes                 |
|             | (r) Car Side Emergency Exit Electric Contact  | N/A                 |
|             | (s) Electric Contacts for Hinged Car Platform Sills   | N/A                 |
|             | (t) In-Car Stop Switch (Must be keyed, if provided)(WAC does not require it to be keyed)  | <b>No-not keyed</b> |
|             | (u) Emergency Stop Switch (Must be provided for freight cars)   | Yes                 |
|             | (v) Stop Switch in Pit  | Yes                 |
|             | (w) Buffer Switches for Gas Spring Return Oil Buffers   | N/A                 |
| 3.10.5      | Power Supply Line Disconnecting Means<br>(Provided w/ overcurrent protection, within site, and numbered)  | Yes                 |
| 3.10.6      | Phase Reversal and Failure Protection<br>(Means to prevent starting if out of phase)  | Yes                 |
| 3.10.7      | Devices for Making Hoistway Door Interlocks or Electric Contacts, or Car Door or Gate Electric Contacts Inoperative<br>(These devices are prohibited) | Yes                 |
| 3.10.9      | Control and Operating Circuit Requirements<br>(The failure of any single magnetically operated switch)  | Yes                 |
|             | Grounding and Overcurrent: Must comply with 620-61  | Yes                 |
| <b>3.11</b> | <b>EMERGENCY OPERATION AND SIGNALING DEVICES</b>  |                     |
| 3.11.1      | Car Emergency Signaling Devices<br>(Audible signal, two-way communication, on emerg. power)   | Yes                 |
| 3.11.2      | Operations of Elevators Under Standby (Emergency) Power<br>(If provided must be able to absorb regenerative power)                                    | Yes                 |
| 3.11.3      | Firefighters' Service(A17.1-1987 Rules 211.3 through 211.8- appendix C; phase I and II switches shall be the same in each bldg)                       | Yes                 |
| 4.7.3       | Anticreep leveling devices  | Yes                 |
| 4.8         | Additional Requirements for Counterweighted Hydraulics (Do not require buffers)   | N/A                 |
| 4.9         | Additional Requirements for Roped Hydraulic Elevators.  | N/A                 |

## Appendix “C”

### Performance Review and Maintenance Deficiency List

#### Performance Review:

In this section we provide the results of randomly reviewing 50% or more of the performance of all elevators.

#### Part A: Definitions

A stopwatch, tachometer, and spring gauge are utilized to measure the performance of each elevator. Original equipment design, national and local codes and other factors govern these times. The following is an explanation of each item that was reviewed.

- Car Door Dwell Time: When an elevator is responding to a car call, the code requires the elevator doors to stay open a minimum of 3.0 seconds. This is to allow ample time for the passengers to exit.
- Hall Call Dwell Time: When an elevator is responding to a hall call, the code requires the elevator doors to stay open a minimum of 5.0 seconds. This is to allow ample time for the passengers to enter the elevator.
- Floor-To-Floor Time: This measures the time that it takes an elevator to go from one floor to the next floor. Door open and close times are calculated into this time to provide a meaningful measurement. The stopwatch is started when the doors start to close and is stopped when the elevator is level at the next floor with the doors  $\frac{3}{4}$  open for center opening doors, and  $\frac{1}{2}$  open for side opening doors.
- Door Open Time: The door open time is measured when the doors start to open until they are fully open.
- Door Close Time: The door close time is measured when the doors start to close until they are fully closed.
- Full Speed: Full speed of an elevator is measured in the machine room utilizing a tachometer or in the car using an accelerometer.
- Door Closing Pressure: The force required to prevent the doors from closing. This pressure is measured with a spring gauge.
- Ride Quality: Acceleration, deceleration, side-to-side sway and noise level are evaluated in this section.

On the following page the results of the elevators checked are provided.

## Appendix “C”

### Performance Review and Maintenance Deficiency List

|      | PERFORMANCE TIMES                      | Design     | Car1    | Car 3   | Car 4   | Design     | Car 5   |
|------|--|------------|---------|---------|---------|------------|---------|
| 7.1  | Door Open Time                         | 1.7        | 2.5     | 2.3     | 1.8     | 1.9        | 3.2     |
| 7.2  | Door Close Time                        | 2.7        | 3.0     | 2.8     | 2.8     | 2.9        | 3.5     |
| 7.3  | Floor to Floor Up (18 to 19)           | 13.0       | 15.5    | 15.7    | 13.7    | 14.0       | 15.2    |
| 9.6  | Floor to Floor Down (19 to 18)         | 13.0       | 16.0    | 18.2    | 20.0    | 14.0       | 16.1    |
| 7.5  | Full Speed Up                          | 150<br>FPM | 144     | 134     | 126     | 150<br>FPM | 150     |
| 7.6  | Full Speed Down                        | 150<br>FPM | 141     | 107     | 89      | 150<br>FPM | 122     |
| 7.7  | Jerk Rate Up                           | < 7.0      | 8.5     | 6.2     | 6.3     | < 7.0      | 2.6     |
| 7.8  | Jerk Rate Down                         | < 7.0      | 7.9     | 6.7     | 6.5     | < 7.0      | 19.4    |
| 7.9  | Power Closing of Door (Pressure Gauge) | <30lbs     | 25lbs   | 18lbs   | 24lbs   | <30lbs     | 21lbs   |
| 7.10 | Interrupted Ray                        | .5sec      | 11.6    | 5.9     | 5.6     | .5sec      | 10.0    |
| 7.11 | Car Dwell Time                         | 3.0        | 11.8    | 5.5     | 4.9     | 3.0        | 10.1    |
| 7.12 | Hall Call Dwell Time                   | 5.0        | 11.8    | 5.8     | 5.2     | 5.0        | 10.1    |
| 7.13 | Hall/Car Lantern Time                  | 8.0        | -       | -       | 5.2     | 8.0        | 15.4    |
| 7.14 | Nudging                                | 20.0       | >30 sec | >30 sec | >30 sec | 20.0       | >30 sec |
| 7.15 | Test Emergency Phone                   | Yes        | Yes     | Yes     | Yes     | Yes        | Yes     |

|     | Car 1  |
|-----|--|
| 1.1 | Machine room door in not labeled “elevator equipment”                      |
| 1.2 | Clean up parts and supplies in machine room                                |
| 1.3 | Pit is dirty   |
| 1.4 | Oil on jack seal – leaking   |
| 1.5 | Possible pump cavitation at 3 <sup>rd</sup> and 4 <sup>th</sup> floor      |
| 1.6 | Adjust car speed to within 3% of design.                                   |
| 1.7 | Floor to floor times are slow and need to be adjusted to meet design times |
| 1.8 | Door open time is slow –adjust to meet design time                         |
| 1.9 | Adjust car so it has smooth starts and stops.                              |

## Appendix “C”

### Performance Review and Maintenance Deficiency List

|      |   |
|------|---|
| 1.10 | Excessively long hall and car dwell times   |
| 1.11 | Excessively long interrupted ray times  |
| 1.12 | Lantern and directional gong are inoperative on the 1 <sup>st</sup> floor                 |
| 1.13 | Damage inside car- service door does not work smoothly                                    |
| 1.14 | Remove parts and drawings from inside the controller cabinet                              |
| 1.15 | Car top is very dirty   |
| 1.16 | Five year testing is overdue  |
|      |   |
|      | <b>Car 3</b>  |
| 3.1  | Machine room door in not labeled “elevator equipment”                                     |
| 3.2  | Clean up parts and supplies in machine room-remove items stored inside controller cabinet |
| 3.3  | Pit is dirty  |
| 3.4  | Floor to floor times are slow and need to be adjusted to meet design times                |
| 3.5  | Door open time is slow –adjust to meet design time  |
| 3.6  | Adjust car speed to within 3% of design.  |
| 3.7  | Emergency phone operator states car 1 not 3- set recording to give proper information     |
| 3.8  | Oil has accumulated in the power unit drip pan- piping is leaking below valve             |
| 3.9  | Excessive oil on jack head- possible issue with seal or oil recovery system               |
| 3.10 | Lantern and directional gong are inoperative on the 4 <sup>th</sup> floor                 |
|      | <b>Car 4</b>  |
| 4.1  | Machine room door in not labeled “elevator equipment”                                     |
| 4.2  | Clean up parts and supplies in machine room-remove items stored inside controller cabinet |
| 4.3  | Pit is dirty  |
| 4.4  | Floor to floor times are slow and need to be adjusted to meet design times                |
| 4.5  | Adjust car speed to within 3% of design.  |
| 4.6  | Emergency phone worked but TKE was too busy to answer                                     |
| 4.7  | Fix leaks at power unit- piping is leaking below valve                                    |
| 4.8  | Excessive oil on jack head- possible issue with seal or oil recovery system               |
| 4.9  | Lantern and directional gong are inoperative on the 4 <sup>th</sup> floor                 |
| 4.10 | Controller enclosure is dirty- remove tools and parts from inside cabinet                 |
|      | <b>Car 5</b>  |
| 5.1  | Machine room door in not labeled “elevator equipment”                                     |
| 5.2  | Clean up parts and supplies in machine room-remove items stored inside controller cabinet |
| 5.3  | Pit is dirty  |

## Appendix “C”

### Performance Review and Maintenance Deficiency List

|      |  |
|------|--|
| 5.4  | Floor to floor times are slow and need to be adjusted to meet design times |
| 5.5  | Adjust car speed down to within 3% of design.                              |
| 5.6  | Emergency phone worked but operator says car 1 not car 5                   |
| 5.7  | Top floor hoistway pick up rollers are chipped- replace rollers as needed  |
| 5.8  | Door open time is slow – adjust to meet design time                        |
| 5.9  | Car top is very dirty  |
| 5.10 | Controller enclosure is dirty- remove tools and parts from inside cabinet  |
| 5.11 | Adjust to achieve smooth operation –eliminate excessive jerk rate down     |
|      |  |
|      |  |
|      |  |
|      |  |
|      |  |



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