

REPORT OF THE EXECUTIVE OFFICER
State Allocation Board Meeting, January 24, 2007

ASSEMBLY BILL (AB) 127 AMENDMENTS TO
PROGRAM REGULATIONS

PURPOSE OF REPORT

To request:

1. Adoption of the proposed emergency regulations to implement and administer the Career Technical Education Facilities Program.
2. Authorization to file the proposed emergency regulations with the Office of Administrative Law (OAL).

DESCRIPTION

AB 127, Chapter 35, Statutes of 2006 (Perata/Nunez) was chaptered on May 20, 2006, establishing the Kindergarten-University Public Education Facilities Bond Act of 2006 (Proposition 1D). Proposition 1D provides \$10.416 billion in bonds for educational facilities, of which \$7.329 billion is earmarked for kindergarten through twelfth grade projects, which were approved on the November 2006 ballot. The law, of which nearly all the provisions were effective upon the passage of Proposition 1D, makes modifications to certain School Facility Program (SFP) features, adds several new grant programs, and provides funding for existing and new grant programs. Attachment A represents the major changes and amendments to the SFP regulations for the new Career Technical Education Facilities Program. This program is established for the purpose of constructing new facilities or reconfiguring existing ones to provide facilities for students to learn the skills and knowledge necessary for today's high-demand technical careers. For the Board's information, Attachment B contains the California Department of Education (CDE) Application Guidelines and Application Scoring Guide. Applicants will be required to submit an application detailing their career technical education plan and project for the review and approval of the CDE.

STAFF COMMENTS

By utilizing the State Allocation Board Implementation Committee meetings as a forum to gather input from interested parties, the Office of Public School Construction (OPSC) has developed proposed regulations contained in Attachment A to implement the Career Technical Education Facilities Program. Upon adoption by the Board, the OPSC will submit these regulations as emergency regulations to the OAL.

AB 127 also provides new grant programs for Overcrowding Relief Grants and Seismic Mitigation. It is anticipated that regulations for these programs will be presented at a future SAB meeting.

RECOMMENDATIONS

1. Adopt the proposed amendments to the regulations as shown on Attachment A and begin the regulatory process.
2. Authorize the OPSC to file the emergency regulations shown on Attachment A with the OAL.

This Item was approved by the State Allocation Board on January 24, 2007.

ATTACHMENT A

Section 1859.2. Definitions.

For the purpose of these regulations, the terms set forth below shall have the following meanings, subject to the provisions of the Act:

...

"Approved Application for Career Technical Education Facilities Project Funding" means an applicant has submitted an *Application for Career Technical Education Facilities Funding, Form SAB 50-10*, including all required supporting documents as identified in the General Information Section of that Form, to the OPSC and the OPSC has accepted the application for processing.

...

"Career Technical Education Facilities Project" means a project approved by the Board pursuant to Education Code Section 17078.72.

...

~~"Comprehensive High School" means a high school that serves grades 7-12 or 9-12 that offers a variety of curricula, including common courses that emphasize academic achievement and traditional subjects that all students are required to take.~~

...

"Form SAB 50-10" means the *Application for Career Technical Education Facilities Funding*, which is incorporated by reference.

...

~~"Large New Construction Project" means a funding application request for at least 200 New Construction Grants which will be used to construct a new Comprehensive High School or an addition to a Comprehensive High School.~~

~~"Large Modernization Project" means a funding application request for Modernization Grant(s) that exceed 50 percent of the current CBEDS enrollment of a Comprehensive High School that will be modernized.~~

...

"Reconfigure" for the purposes of the Career Technical Education Facilities Program means remodeling an existing school building within its current confines and/or the expansion of the square footage of the existing building.

...

"Service Region" means one of the eleven service regions of the California County Superintendents Educational Services Association.

Note: Authority cited: Sections 17070.35 and 17078.64, Education Code.

Reference: Sections 17009.5, 17017.6, 17017.7, 17021, 17047, 17050, 17051, 17070.15, 17070.51(a), 17070.71, 17070.77, 17071.10, 17071.25, 17071.30, 17071.33, 17071.35, 17071.40, 17071.75, 17071.76, 17072.10, 17072.12, 17072.18, 17072.33, 17073.25, 17074.10, 17074.30, 17074.32, 17075.10, 17075.15, 17077.40, 17077.42, 17077.45, 17078.52, 17078.56, 17078.72(k), 17280, and 56026, Education Code; Section 53311, Government Code; and Section 1771.5, Labor Code.

Section 1859.51. Adjustments to the New Construction Baseline Eligibility.

The baseline eligibility for new construction determined on the Form SAB 50-03, will be adjusted as follows:

- (a) Reduced by the number of pupils provided grants in a new construction SFP project and by the number of pupils that received a Preliminary Apportionment pursuant to Section 1859.140 or a Preliminary Charter School Apportionment pursuant to Section 1859.160.
- (b) Reduced by the number of pupils housed, based on the loading standards pursuant to Education Code Section 17071.25(a)(2)(A), in a new construction LPP project funded under the provisions of the LPP pursuant to Sections 1859.12 or 1859.13.
- (c) Reduced by the number of pupils housed in additional classrooms constructed or purchased based on the loading standards, pursuant to Education Code Section 17071.25(a)(2)(A), in a modernization SFP project.
- (d) Adjusted as a result of the audit findings made pursuant to Sections 1859.90 and 1859.105.
- (e) Increased/decreased by changes in projected enrollment in subsequent enrollment reporting years for all districts except decreases as provided in (j) below.
- (f) Adjusted as a result of errors or omissions by the district or by the OPSC.
- (g) Adjusted as a result of amendments to these Regulations that affect the eligibility.
- (h) Increased by the number of pupils eligible for grants pursuant to Section 1859.82 (a).
- (i) Reduced by the number of pupils housed, based on loading standards pursuant to Education Code Section 17071.25(a)(2)(A), in any Classroom Provided after the baseline eligibility was determined by the Board with the exception of those pupils housed or to be housed in a classroom:
 - (1) That is a trailer and transportable/towed on its own wheels and axles.
 - (2) Of less than 700 interior square feet.
 - (3) Excluded pursuant to Education Code Section 17071.30.
 - (4) Where the contract for the lease, lease-purchase, purchase, or construction of the classroom was made prior to January 1, 2000.
 - (5) That is included in a SFP project where the district has funded a portion of the project beyond its required district contribution and the pupil capacity of the classroom does not exceed 150 percent of the number of pupils receiving a new construction grant (rounded up) for the SFP project.
 - (6) That was acquired with joint-use funds specifically available for that purpose.
 - (7) That was acquired with career technical education funds specifically available pursuant to Education Code 17078.72.
- (j) For Small School Districts, decreased:
 - (1) By any reduction in projected enrollment that follows a three-year period after the district's eligibility was approved by the Board.
 - (2) By any increase in the number of pupils included in the latest operational grant report made by the CDE pursuant to Education Code Section 42268 beginning three years after the district's most current eligibility was approved by the Board. The reduction in eligibility shall be determined by the number of pupils included in the latest operational grant report that exceed the number of pupils included in the operational grant report in effect when the district's most current eligibility was approved by the Board or adjusted by a subsequent operational grant report after that date.
- (k) Adjusted for any change in classroom inventory as a result of a reorganization election.
- (l) For classroom loading standards adopted by the Board for non-severely disabled individuals with exceptional needs and severely disabled individuals with exceptional needs.
- (m) As directed by the Board due to a finding of a Material Inaccuracy pursuant to Regulation Section 1859.104.1.
- (n) Increased by the number of pupils that received a Preliminary Apportionment that was rescinded pursuant to Section 1859.148 or a Preliminary Charter School Apportionment that was rescinded pursuant to Section 1859.166.
- (o) Adjusted for operational grant changes as determined/provided by the California Department of Education.

- (p) For a HSAA district with Preliminary Apportionments within the 2002 Critically Overcrowded School Facilities Account as follows:
- (1) Decreased by the number of pupils that received a Preliminary Apportionment, distributed proportionately among HSAAs in which the pupils used to justify the conversion of the Preliminary Apportionment were enrolled but did not reside.
 - (2) In the subsequent enrollment reporting year after verification of Occupancy of a project, increased by the number of pupils equal to the reduction due to Section 1859.51(p)(1), for the project which was occupied.
 - (3) Increased by the number of pupils equal to the reduction due to Section 1859.51(p)(1), for a Preliminary Apportionment rescinded pursuant to the provisions of Section 1859.148.
- (q) Adjusted by the difference between the Alternative Enrollment Projection for the current enrollment reporting year and the projected enrollment determined pursuant to Section 1859.42 for the current enrollment reporting year, or by the eligibility remaining from this calculation that can no longer be utilized if the funds made available pursuant to Education Code Section 17071.75(a)(1)(A) have been exhausted.

Note: Authority cited: Section 17070.35, Education Code.

Reference: Sections 17052, 17070.51, 17071.25, 17071.75, 17071.76, 17072.20 ~~and~~, 17077.40, and 17078.72 Education Code.

Section 1859.81. Financial Hardship.

Except for Joint-Use Projects and Career Technical Educational Facilities Projects, a district is eligible for financial hardship to fund all or a portion of its matching share requirement after demonstrating both of the following:

(a) The district is financially unable to provide all necessary matching funds for an eligible project. To determine this, an analysis shall be made of the district's financial records by the OPSC including data and records maintained by the CDE and the County Office of Education. The analysis shall consist of a review of the district's latest Independent Audit regarding funds available from all capital facility accounts, including, but not limited to, developer fees, funds generated from capital facility certificates of participation, federal grants, redevelopment funds, sale proceeds from surplus property, the appraised value of facilities approved for replacement pursuant to Section 1859.82, bond funds either encumbered, unencumbered or authorized but unsold, and savings from other SFP projects. All funds thus identified that have not been expended or encumbered by a contractual agreement for a specific capital outlay purpose prior to the initial request for financial hardship status shall be deemed available as a matching contribution.

After the initial request for financial hardship status is granted, no further encumbrances will be approved by the OPSC and all prospective revenue made available to the district's capital facility accounts shall be deemed available as matching contribution on the subsequent financial hardship review, with the exception of:

- (1) Approved interim housing expenditures.
- (2) Funding to pay for previously recognized multi-year encumbrances approved at the initial financial hardship approval.
- (3) Funding that is transferred into a Special Reserve Fund and is used for the express purpose of the Federal Renovation Program when the amount expended out of that fund does not exceed the maximum Federal Renovation Grant amount.
- (4) Funding that is transferred into a Special Reserve Fund and is used for the express purpose of the School Facilities Needs Assessment Grant Program or Emergency Repair Program when the amount expended out of that fund does not exceed the maximum grant amount apportioned.

(5) Funding that is transferred into a Special Reserve Fund and is used for the express purpose of the Career Technical Education Facilities Program when the amount expended out of that fund does not exceed the applicant's share of the maximum grant amount apportioned.

(5)(6) All other capital facility funding for a period of three years when no subsequent financial hardship request is made during this period. The three-year period begins with the date of the most recent financial hardship new construction or modernization adjusted grant funding apportionment.

...

Note: Authority cited: Sections 17070.35, 17075.15, 17078.72 and 17592.73, Education Code.

Reference: Sections 17075.10 and 17075.15, Education Code.

Article 16. Career Technical Education Facilities Program

Section 1859.190. General.

An applicant seeking Career Technical Education Facilities Project funding pursuant to the provisions of Education Code Section 17072.78, shall complete and file Form SAB 50-10.

An applicant may submit multiple Career Technical Education Facilities applications for different career technical education projects located at a single school site, as approved by the CDE.

Modernization of facilities under Article 16 shall not affect the Modernization Eligibility of the facility pursuant to Section 1859.60.

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.191. Career Technical Education Facilities Project Application Submittal.

- (a) The Board shall accept Approved Applications for Career Technical Education Facilities Project Funding and make apportionments as follows:
- (1) All applications received in a six month cycle will be processed and prioritized for career technical education funding in the manner described in Section 1859.194.
 - (2) The funding cycles are established as follows:
 - (A) Approved Applications for Career Technical Education Facilities Project Funding for the first funding cycle must be submitted to the OPSC by October 31, 2007. The CDE Career Technical Education Facilities Program application must be submitted to the CDE no later than August 3, 2007.
 - (B) Approved Applications for Career Technical Education Facilities Project Funding for the second funding cycle must be submitted to the OPSC by April 30, 2008. The CDE Career Technical Education Facilities Program application must be submitted to the CDE no later than February 1, 2008.
 - (C) At the discretion of the Board, subsequent funding cycles may continue every six months thereafter until the Career Technical Education Facilities Program funds are exhausted or other funds become available.
 - (3) The Career Technical Education Facilities funds will be apportioned at the next available Board meeting after the end of each application cycle.

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.192. Career Technical Education Facilities Program Eligibility.

An applicant requesting funding for a Career Technical Education Facilities Project may submit Form SAB 50-10 to the OPSC if all the following criteria are met:

(a) For a new construction project, the applicant:

(1) Is a local educational agency operating a comprehensive high school pursuant to Education Code Sections 51224, 51225.3 and 51228.

(2) Has an active career technical advisory committee pursuant to Education Code Section 8070.

(b) For a modernization project, the applicant :

(1) Is a local educational agency operating a comprehensive high school pursuant to Education Code Sections 51224, 51225.3 and 51228; or,

(2) Is a joint powers authority operating career technical education programs as of May 20, 2006.

(3) Has an active career technical advisory committee pursuant to Education Code Section 8070.

(c) The applicant's Career Technical Education Facilities Program application has been reviewed by the CDE and meets all of the following criteria:

(1) Contains all mandatory elements required by the CDE;

(2) Received a score of at least 105 points.

An applicant need not demonstrate New Construction Eligibility or Modernization Eligibility in order to participate in the Career Technical Education Facilities Program.

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.193. Career Technical Education Facilities Grant Determination.

A Career Technical Education Facilities Project may construct a new facility or modernize or Reconfigure an existing school building. The application for Career Technical Education Facility funding may accompany an application for new construction funding pursuant to Section 1859.70 or may be submitted independently.

The applicant must identify square footage of the Career Technical Education Facility being constructed, modernized, reconfigured or equipped, on the funding application. Equipment purchased under the Career Technical Education Facilities Program must have an average useful life expectancy of at least ten years pursuant to Education Code 17078.72(a). An application for a Career Technical Education Facilities Project may consist entirely of equipment.

(a) For new construction of a Career Technical Education Facilities Project included in a qualifying New Construction Grant, the Career Technical Education Facilities grant amount shall be the lesser of either (1) or (2):

(1) The sum of the costs uniquely related to facilities required to provide Career Technical Education as determined below:

(A) 50 percent of the cost of construction of the Career Technical Education Facilities Project, as determined by the project architect, subject to OPSC review and approval.

(B) 50 percent of the cost to equip the Career Technical Education Facilities Project with necessary equipment.

(C) Minus an allowance for New Construction Grants provided for Career Technical Education classrooms, determined by:

1. Multiplying 960 square feet by the number of classrooms in the Career Technical Education Facilities Project that were included in the New Construction project.

2. Multiplying the amount determined in (a)(1)(C)1 by 50 percent of the Current Replacement Cost for non-Toilet Facilities.

(2) \$3 million per Career Technical Education Facilities Project.

Site development work is not allowed as part of a Career Technical Education Facilities Project included in a New Construction Grant. Site development work necessary pursuant to Section 1859.76, may be requested by the district under the qualifying SFP New Construction.

(b) For stand alone New Construction of a Career Technical Education Facilities Project, the grant amount shall be the lesser of either (1) or (2):

(1) The sum of the costs uniquely related to facilities required to provide Career Technical Education as determined below:

(A) 50 percent of the cost of construction of the Career Technical Education Facilities Project, as determined by the project architect, subject to OPSC review and approval.

(B) 50 percent of the cost to equip the Career Technical Education Facilities Project with necessary equipment.

(C) 50 percent of site development work that meets the following criteria:

1. It is necessary and applicable to the Career Technical Education Facilities Project.

2. It meets the requirements for site development costs as outlined in Section 1859.76.

(2) \$3 million per Career Technical Education Facilities Project.

If the district is requesting funding for site development work applicable to the Career Technical Education Facilities Project, the district must submit a detailed cost estimate and appropriate DSA approved plans with the Form SAB 50-10. The cost estimate must include appropriate justification documents that indicate the work is necessary to complete the Career Technical Education Facilities Project and conform to the requirements in Section 1859.76.

Utility service(s) cost shall be prorated, if necessary, for any excess capacity not needed to service the Career Technical Education Facilities Project.

(c) For Modernization of a Career Technical Education Facilities Project, the grant amount shall be the lesser of either (1) or (2):

(1) The sum of the costs uniquely related to facilities required to provide Career Technical Education Facilities Project as determined below:

(A) 50 percent of the cost to modernize or Reconfigure the Career Technical Education Facilities, as determined by the project architect, subject to OPSC review and approval.

(B) 50 percent of the cost to equip the Career Technical Education Facilities with necessary equipment.

(2) \$1.5 million per Career Technical Education Facilities Project.

Reconfiguring an existing school building must not displace a minimum essential facility. In any case involving the replacement of a minimum essential facility due to the reconfiguration of an existing building, the replacement must be part of the plans submitted in support of the Career Technical Education Facilities Application, must occur concurrently, and cannot be part of a SFP Application for new construction.

(d) If an applicant meets the eligibility criteria in Section 1859.192, but does not have the necessary approvals from the DSA and/or the CDE at the time of apportionment, the Board may apportion funds for the Career Technical Education Facilities Project and reserve them for a period of up to twelve months. The grant amount to be reserved for the project will be the maximum funding as determined above in (a), (b), or (c).

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.193.1 Qualifying SFP Project.

For purposes of meeting the requirements in Sections 1859.193(a) or (c), the district may combine a Career Technical Education Facilities Project with any of the following applications in (a) or (b):

- (a) A new construction or modernization funding application that is submitted at the same time as the Career Technical Education Facilities Project application.
- (b) An Approved Application for new construction or modernization funding that has been approved, but has not received a full apportionment (i.e., currently on the workload list) or has been fully funded, if the following criteria are met:
 - (1) For new construction:
 - (A) The plans and specifications for the Career Technical Education Facilities Project were included in the original DSA approved plans and specifications for the Approved Application for new construction funding.
 - (B) The classrooms constructed for the Career Technical Education Facilities Project were not occupied prior to May 20, 2006.
 - (2) For modernization:
 - (A) The plans and specifications for the Career Technical Education Facilities Project were included in the original DSA approved plans and specifications for the Approved Application for modernization funding.
 - (B) The classrooms constructed for the Career Technical Education Facilities Project were not occupied prior to May 20, 2006.
- (c) If the Career Technical Education Facilities Project application is submitted separately, there is no requirement that the Approved Application for new construction or modernization be withdrawn.

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.194 Career Technical Education Facilities Program Matching Share Requirement.

Any funding provided by these regulations shall require an applicant matching share contribution on a dollar-for-dollar basis. The applicant matching share may come from any source including, but not limited to, private industry groups, school districts, county offices of education, and joint powers authorities.

If the applicant's available matching share does not equal the grant amount or the matching share is not immediately available, a loan may be made to the applicant. The amount of the loan shall be determined by compliance with (a) below. If the need for a loan is substantiated, it shall be paid over time through loan payments authorized by the Board.

- (a) Require the applicant declare any local funds available for applicant contribution from any of the following sources:
 - (1) Developer Fees
 - (2) Certificates of Participation
 - (3) Local General Obligation Bonds, Mello-Roos Bonds and School Facility Improvement District Bonds
 - (4) Contribution from private industry groups or joint powers authority identified in CDE application
 - (5) Any other Capital Facility funding

Upon apportionment, the OPSC will prepare a loan agreement on behalf of the Board for the applicant.

- (b) The loan agreement shall stipulate the following:

- (1) The loan term shall be set at ten years with a one-time extension of five years if the applicant is in jeopardy of becoming financially insolvent and becoming subject to the requirements of Assembly Bill (AB) 1200, Chapter 1213, Statutes of 1991 (Eastin).
- (2) The loan agreement shall include interest on the unpaid principal balance at the same rate as that charged by the Pooled Money Investment Board. The interest rate will be set on the date that the project funding apportionment is approved by the Board.
- (3) Interest starts accruing on the fund release date.
- (4) The first payment is due no later than two years from the fund release date.
- (5) Should the district default on the loan payments, the OPSC will initiate collection procedures from the School Fund Apportionment pursuant to Education Code Section 17076.10 (c).

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.195. Career Technical Education Facilities Project Apportionment.

The Board shall apportion Career Technical Education Facilities Funds in the following manner:

- (a) The Board may apportion up to a maximum of \$350 million for applications received in the first Career Technical Education Facilities funding cycle ending October 31, 2007.
 - (1) The amount of \$250 million shall be distributed proportionally to the Service Regions based on the high school enrollment within each Service Region. The proportional distribution of funds shall be determined by the current year enrollment as required by the CDE and as updated annually.
 - (2) If funding requested on qualifying applications exceeds the funds allotted to one or more Service Region, the amount of \$100 million shall be available for apportionment to the highest ranked projects regardless of Service Region.
- (b) The Board may apportion up to the sum of \$150 million plus any funds not apportioned in the first cycle for applications received in the second Career Technical Education Facilities funding cycle ending April 30, 2008.
 - (1) The amount of \$150 million plus any funds remaining from (a)(2) shall be distributed proportionally to each of the Service Regions based on the high school enrollment within each Service Region.
 - (2) Any unused funds distributed under (a)(1) shall be added to the amount determined for each Service Region in (b)(1).
 - (3) The cumulative apportionments in each Service Region may not exceed the amount determined in (1) and (2) except as described in Section 1859.196(b).
- (c) The Board may apportion any or all remaining available Career Technical Education Facilities funds in subsequent cycles, as deemed necessary and practical by the Board.

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.196. Career Technical Education Facilities Program Funding Order.

- (a) In the first funding cycle, the Board shall fund eligible Career Technical Education Facilities Projects as follows:
 - (1) Approved Applications for Career Technical Education Facilities Project Funding shall be sorted by Service Region.

- (2) Within each Service Region, approved applications will be ranked from highest to lowest according to the numerical score for the applicant's Career Technical Education Facilities Program application as determined by the CDE.
- (3) The locale of each project shall be identified as Urban, Suburban or Rural.
- (4) The Board shall apportion funds within each Service Region to the highest ranked project in each locale. One of each locale must be accounted for before a locale is repeated. If there are no projects in a particular locale, that locale is considered accounted for.
- (5) The process will continue until the applications or funds are exhausted within each Service Region as prorated pursuant to Section 1859.195.
- (6) In the event two or more applications within a Service Region have the same career technical education plan score and are in the same locale, the applicant with the most total points in all weighted areas of the CDE-approved Career Technical Education Facilities Program application will be funded first.
- (b) In the second funding cycle, the Board shall fund eligible Career Technical Education Facilities Projects as described in (a) until the applications or funds are exhausted within each Service Region or until the conditions in (1) and (2) are met:
 - (1) All applications within one or more Service Regions are funded and there are funds remaining in those Service Regions.
 - (2) Qualifying applications in one or more Service Regions are not funded because the requests exceed the funds allotted to the Service Region(s) in Section 1859.195(b).

If (b)(1) and (2) are met in the second funding cycle, the remaining available career technical education facilities funds shall be pooled and apportioned to the highest ranked project in each locale regardless of Service Region. One of each locale must be accounted for before a locale is repeated.

- (c) For the third and any subsequent cycles, the Board shall apportion funds regardless of Service Region.
 - (1) Funds shall be apportioned to the highest ranked project in each locale. One of each locale must be accounted for before a locale is repeated. If there are no projects in a particular locale, that locale is considered accounted for.
 - (2) The process will continue until the applications or funds are exhausted, whichever comes first.
 - (3) In the event two or more applications have the same career technical education plan score and are in the same locale, the applicant with the most total points in all weighted areas of the CDE-approved Career Technical Education Facilities Program application will be funded first.

If a Career Technical Education Facilities Project cannot be fully apportioned because insufficient funding is available, the applicant may either accept the available funding as the full and final apportionment for the project or refuse funding entirely. If funding is refused, the Board shall consider funding the next project eligible for an apportionment based on the above funding priority mechanism. If an applicant refuses funding, the application shall automatically carry over to the subsequent cycle or the applicant may request that the application be returned.

For any Career Technical Education Facilities Project not apportioned under the funding mechanism described above, the application shall automatically carry over to the subsequent cycle or the applicant may request that the application be returned. A Career Technical Education Facilities Project returned to the applicant may be resubmitted during a subsequent application acceptance period identified in Section 1859.191, provided the application meets the eligibility criteria in Section 1859.192.

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.197. Fund Release Process.

The OPSC will release State funds that have been apportioned by the Board pursuant to Sections 1859.195 after submittal by the applicant of the Form SAB 50-05.

- (a) If an apportionment was made for a Career Technical Education Facilities Project, the applicant must submit a Form SAB 50-05 within 18 months of the Apportionment as outlined in Education Code Section 17076.10 or the apportionment shall be rescinded without further Board action.
- (b) If Career Technical Education Facilities funds were reserved for the applicant pursuant to Section 1859.193 (d) of these Regulations, the applicant:
 - (1) Has one year from the date of apportionment to submit the CDE plan approval and DSA-approved plans and specifications, as required, to the OPSC for the Career Technical Education Facilities Project, otherwise the apportionment shall be rescinded without further Board action.
 - (2) Has 18 months from the date the CDE plan approval and DSA-approved plans and specifications, as needed, are submitted to the OPSC to submit a completed Form SAB 50-05 or the apportionment shall be rescinded without further Board action.
- (c) If the applicant requires a loan for the entire matching share requirement pursuant to Section 1859.194(b) of these Regulations:
 - (1) The OPSC will release ten percent of the Career Technical Education Facilities grant to the applicant within 30 calendar days of the apportionment.
 - (2) The applicant has one year from the date of apportionment to submit the CDE plan approval and DSA-approved plans and specifications, as required, to the OPSC for the Career Technical Education Facilities Project, otherwise the apportionment shall be rescinded without further Board action
 - (3) The applicant has 18 months from the date in (c)(2) to submit a completed Form SAB 50-05 or the apportionment shall be rescinded without further Board action.
- (d) The applicant is subject to substantial progress time limit on the apportionment as outlined in Subdivision (b) of Education Code Section 17076.10.

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.198. Time Limit on Apportionment and Substantial Progress

The district is subject to the time limit on the apportionment as outlined in Education Code Section 17076.10 and substantial progress requirements pursuant to Section 1859.105.

Any Career Technical Education Facilities Project funds returned due to projects being rescinded or reduced to cost incurred shall be made available for apportionment in subsequent funding cycles.

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

Section 1859.199. Program Accountability

A project shall be deemed complete when either of the following occurs, whichever occurs first:

- (a) The final notice of completion is filed for the project; or,
- (b) Four years have elapsed from the final fund release for the project.

Projects will be subject to a Program Accountability Expenditure Audit pursuant to Section 1859.106. Any repayments due back to the state as a result of these audits will be subject to the repayment provisions identified in Section 1859.106.1.

An applicant district may not retain savings not needed for a Career Technical Education Facilities Project.

Note: Authority cited: Sections 17070.35 and 17078.72(k), Education Code.

Reference: Section 17078.72, Education Code.

**Career Technical Education Facilities Program
(Assembly Bill 127) Article 13, Chapter 35,
Statutes of 2006**

**CALIFORNIA DEPARTMENT OF EDUCATION
APPLICATION GUIDELINES**

Date: _____ (TBA)

DUE DATES:

1st Funding Cycle: No later than 5:00 P.M. Friday, August 3, 2007
2nd Funding Cycle: No later than 5:00 P.M. Friday, February 1, 2008

TABLE of CONTENTS

	Page
Background and Purpose	3
Grant Application Submission Requirements	5
Application Review and Scoring Process	7
Project Elements of the Application	8
Timelines for Review and Release of Grant Funding	10
Checklist of Required Documents:	
Form A – Cover Page	11
Form B – Educational Specification and Equipment/ Space Requirements Sheet	12
Form C – Budget Justification/Detail Sheet	13
Form D – Industry Sectors and Pathways	14
Form E – Eleven County Supts. Service Regions	16

Career Technical Education Facilities Program

BACKGROUND AND PURPOSE

The Career Technical Education Facilities Program (CTEFP) was established by Article 13 of the *Kindergarten-University Public Education Facilities Bond Act of 2006*, Assembly Bill (AB) 127, Chapter 35, Statutes of 2006.

Article 13 of AB 127 authorizes the issuance and sale of state general obligation bonds to provide aid to school districts/comprehensive high schools and/or joint powers authorities to reconfigure, construct, or modernize Career Technical Education (CTE) facilities, and/or purchase equipment for CTE programs. This act becomes effective only if approved by the voters at the November 7, 2006 statewide general election.

Purpose of the Grants

Article 13 addresses the needs of CTE facilities, to provide funding to qualifying local educational agencies (LEA) for constructing new facilities, reconfiguring/modernizing existing facilities, or purchasing equipment for the following purposes:

1. To reconfigure/modify a structure of any age that will enhance the CTE educational opportunities for pupils in qualifying educational agencies in order to provide them with the skills and knowledge necessary for high-demand technical careers.
2. Funds may be used for limited new construction necessary to accommodate reconfiguration.
3. New construction of CTE facilities.
4. Funds may be used to purchase equipment with an average useful life expectancy of at least 10 years.

Criteria for Application

The California Department of Education (CDE) in cooperation with the Chancellor's Office of the Community Colleges, the Labor and Workforce Development Agency, and business and industry groups, is responsible for developing grant application criteria and pupil outcome measures to evaluate the program. The criteria shall ensure equity, program relevance to industry needs, and articulation with more advanced coursework at partnering community colleges or private institutions.

Grants will be allocated on a per-square-foot basis for the applicable type of construction proposed or deemed necessary by the State Allocation Board consistent with the approved application for the project. A school district/LEA must contribute an equal amount as the state-awarded grant. This local contribution may be provided by private industry groups, the school district, or a joint powers authority and may be paid over time per a State Board of Allocation-approved payment schedule. The local contribution cannot be waived.

The school district is not required to demonstrate that it has un-housed pupils or that a permanent school building is more than 25 years old in order to receive a grant. However, the application will be subject to all other laws and regulations governing the School Facility Program. Please contact your Project Manager at the Office of Public School Construction (OPSC) at (916) 445-3160 for additional information.

The CDE review process is a two-stage process:

1. The CTE educational program, project or equipment will be reviewed based upon the grant requirements for the CTE educational program.
2. The facilities, space, and equipment requirements of the proposed project will be reviewed by the CDE/SFPD for consistency with Title 5 standards and for the plan supporting the described educational program. Approved grant applications will be forwarded to the OPSC.

To ensure equity and diversity of funding awards, it is the intent of CDE, OPSC, and the State Allocation Board (SAB) that these grants be implemented in multiple areas of the state (i.e. within the eleven California County Superintendent's regions). The California Department of Education/School Facilities Planning Division, OPSC and State Allocation Board retains ultimate discretion in the awarding of these grants to achieve this objective, therefore, geographical location of the projects may be a determining factor in awarding the grants.

DRAFT

Career Technical Education Facilities Program

GRANT APPLICATION SUBMISSION REQUIREMENTS

(Note: A separate application is required for each project, program or site)

Requirements

- **Cover Page (See Form A on page 11)**
- **Table of Contents**
- **Project Abstract (1 page maximum)**
Complete a one-page abstract that includes a heading and brief summary of the Career Technical Education facilities/equipment request. The summary should describe the project's goals and objectives as they relate to enhancing the Career Technical Education opportunities for students.
- **Project Elements 1-7 (Narrative 10 pages maximum)**
The narrative must include clear descriptions of the Elements beginning on page 8. Please label and specify which Element item is being addressed.
- **Educational Specification and Equipment/Space Requirements Sheet (See Form B on page 12)**
- **Budget Justification/Detail Sheet (See Form C on page 13)**
Provide a budget summary specifically addressing how the requested funds will be spent and other financial details.
- **Unique Conditions (1 page maximum)**
If applicable, provide a one page description of any unique conditions affecting this application.
- **Checklist of Required Documents**

Format for the Preparation of the Application

Applications that do not comply with these formatting requirements will not be reviewed or considered for funding.

- Microsoft Word format.
- Single line spacing.
- 12-point Arial font.
- 1" side, top, and bottom margins.
- Page numbers at bottom of each page with applicant agency name.
- Do not attach additional pages or information not requested in the requirements.
- Please indicate which question, element or subject is being addressed.

- Original and all copies stapled in upper left corner.
- Do not use binders or folders.
- Submit your original hard copy application document and three copies.
- Include an electronic copy of your application on either a 3.5" floppy disk or CD. The disk or CD will not be returned to the applicant.

DUE DATES:

The original hard copy application document, three copies, and the electronic floppy disk or CD must be received no later than:

1st Funding Cycle: No later than 5:00 P.M. Friday, August 3, 2007

2nd Funding Cycle: No later than 5:00 P.M. Friday, February 1, 2008

Postmarks will not be accepted.

Submit original, three copies, and electronic floppy disk or CD to:

John Gordon
School Facilities Planning Division
California Department of Education
1430 N Street, Suite 1201
Sacramento, CA 95814-5901

DRAFT

Career Technical Education Facilities Program

APPLICATION REVIEW AND SCORING PROCESS

California Department of Education (CDE), Office of Public School Construction (OPSC) and the State Allocation Board (SAB) are charged with the review and awarding of grants under provisions of AB 127, Article 13. Staff will review project proposals submitted according to application guidelines. Scores will be based on the clarity and strength of descriptions of the application's required items. There are several weighted-score items which are indicated with an asterisk (*) on the following pages. The table below shows the maximum number of points to be assigned to each requirement.

Project Requirements	Maximum Potential Score
Cover Page (Use Form A)	5
Element 1. Career Technical Education Plan	50
Element 2. Projections of Student Enrollment	25
Element 3. Identification of Feeder Schools and Partners	15
Element 4. The Accountability Plan	25
Element 5. Educational Specification and Equipment/Space Requirements Sheet (Use Form B)	10
Element 6. Budget Justification/Detail Sheet (Use Form C)	30
Element 7. Unique Conditions	5*
Overall Feasibility of the Project (For reviewers only, see note below)	5

Total: 170

* Additional points may be awarded to the total score if unique conditions warrant significant consideration in ranking the application.

A project must score at least 105 points in order for the project to be considered for funding. Projects must meet eligibility requirements established by the State Allocation Board in order to receive funding.

Note: Overall Feasibility of the Project

*This is **not** a category to be addressed by the applicant, but rather a rated area on the scoring sheet for the reviewer. The reviewer has an opportunity to consider whether the overall project is realistically capable of achieving the intent of AB 127, Article 13. The reviewer will consider the entire application, in overall context, to make a final, overall appraisal. The intent is to judge the cohesiveness and viability of the project.*

Career Technical Education Facilities Program

PROJECT ELEMENTS OF THE APPLICATION

The following outline is intended to assist applicants to address each element in the grant application. Applicants must concisely describe each item in each element. For example, under Career Technical Education Plan, separately describe A, B, C, etc.

SCORING PROPOSAL FOR PROJECT ELEMENTS

Item	Element 1. Career Technical Education Plan	Points
A.*	Describe the Career Technical Education Plan, including the rationale for the requested CTE modification, reconfiguration, new construction, or equipment. Identify the industry sector being addressed and describe the high-demand labor market for qualified technical employees in this field in the region. (Include a copy of the Plan, with approval date, in the Checklist of Required Documentation.)	20
B.	Identify the membership of the advisory committee required pursuant to Education Code Section 8070 and each member's affiliation and contact information. (Include a roster of members, affiliations, and contact information in the Checklist of Required Documentation.)	5
C.	Describe how the school is committed to ensuring that all students are given the opportunity to participate in CTE programs, activities and experiences.	10
D.	Describe how the CTE program includes the following: Industry-validated certifications, State Board of Education-adopted CTE Standards, a sequence of CTE courses, and career pathways.	15

Note: All of the components in items A-D of Element 1 must be described completely. Failure to do such will disqualify the project from both further review and consideration for funding.

Item	Element 2. Projections of Student Enrollment	Points
A.*	Describe the total annual number of pupils expected to attend the CTE program that will be supported with these funds and the method used to project the student enrollments.	15
B.	Describe the procedures in place that will ensure that the projected student enrollment will be met. Include the specific role of the guidance and counseling component of the school in the recruitment and enrollment process.	10

Item	Element 3. Identification of Feeder Schools and Partners	Points
A.*	Identify the feeder schools, middle schools, high schools, regional occupational centers and programs (ROCPs), students, parents, counselors, community members, business and industry partners related to the sector being addressed, community colleges, and other key stakeholders who participated in the development, articulation, review and approval of the CTE Plan. Describe the geographic proximity of other similar programs to ensure that the project complements CTE offerings in the area. (Include a roster with participants' names and affiliations in the Checklist of Required Documentation.)	15

**Weighted score items are indicated with an asterisk (*)*

Item	Element 4. The Accountability Plan	Points
A.*	Describe the school's accountability plan for enrollments and outcomes. Include the following: (1) the expected number of students who will complete a certificate, i.e. ROCP, industry-based, etc., (2) the number of students expected to enter employment in a related industry, apprenticeship program or military, (3) the number of students expected to successfully transition into postsecondary institutions for more advanced study in the applicable industry or other areas of study, and (4) the process the school will use to gather, analyze, and disseminate the data from 1-3 above to the School Board, parents, community members, business and industry partners, and other key stakeholders.	20
B.	Describe how the school will meet or exceed its obligations pursuant to Education Code Section 51228 (b).	5

Item	Element 5. Educational Specification and Equipment/Space Requirements Sheet (Use Form B)	Points
A.	Applicants are to provide an educational specification that defines the educational goals of the particular CTE program and shows how the requested grant will be used (specify equipment, furniture and facilities construction or modernization) to meet those goals and include a schematic drawing of the proposed space and/or location of the equipment for this project.	10

Item	Element 6. Budget Justification/Detail Sheet (Use Form C)	Points
A.*	Provide the estimated capital cost per pupil and the rationale/method used for calculating this number. Reference the CTE Plan where feasible.	15
B.*	Describe the financial participation of industry partners in the construction and equipping of the facility.	15

Item	Element 7. Unique Conditions	Points
A.	Describe, in one page or less, any unique conditions which may affect this application. Unique conditions may include, but are not limited to, such items as rural or isolated schools or educational agencies, unique partnership arrangements, unique costs and expense issues, unique physical plant conditions or facilities issues, etc.	5

Item	Checklist of Required Documentation	
A.	Cover Page (Form A)	
B.	Educational Specification and Equipment/Space Requirements Sheet (Form B)	
C.	Budget Justification/Detail Sheet including schematic drawings (Form C)	
D.	Career Technical Education Plan (Element 1, Item A.)	
E.	Roster of required advisory committee members, affiliations, and contact information. (Element 1, Item B.)	
F.	Roster of other key stakeholders, participants, partners, etc. who participated in the development, articulation, review and approval of the CTE Plan. (Element 3, Item A.)	

Weighted score items are indicated with an asterisk ()

Career Technical Education Facilities Program

TIMELINES FOR THE REVIEW AND RELEASE OF GRANT FUNDING

Funding Opportunity Announced and Application Guidelines Released _____, 2007

Workshops for Applicants conducted regionally by OPSC & CDE _____, 2007/8

1st Funding Cycle

- CTEFP applications must be received (not postmarked) by CDE no later than the close of business (5:00 pm), Friday, August 3, 2007.
- CDE will review and score the applications between August 6th and September 26th.
- Scoring results will be mailed to school districts by Thursday, September 27, 2007.
- Any appeals to the CTEFP application score must be received by CDE no later than the close of business (5:00 pm), Tuesday, October 16, 2007.
- Appeals will be reviewed and determined by Tuesday, October 20, 2007.
- All CTEFP funding applications are due to the Office of Public School Construction (OPSC) by Wednesday, October 31st.

Successful Applicants Recommended to SAB:

2nd Funding Cycle

- CTEFP applications must be received (not postmarked) by CDE no later than the close of business (5:00 pm), Friday, February 1, 2008.
- CDE will review and score the application between February 5th and March 26th.
- Scoring results will be mailed to school districts by Thursday, March 27, 2008.
- Any appeals to the CTEFP application score must be received by CDE no later than the close of business (5:00 pm), Tuesday, April 15, 2008.
- Appeals will be reviewed and determined by Tuesday, April 29, 2008.
- All CTEFP eligible funding applications are due to OPSC by Wednesday, April 30, 2008.

Successful Applicants Recommended to SAB:

Career Technical Education Facilities Program

FORM A – COVER PAGE

Local Educational Agency

Name of Local Educational Agency (LEA):	CDS Code:
Printed Name and Title of Fiscal Contact:	
Address:	
City:	Zip:
Telephone:	Fax:
E-mail:	Web Site:

CTE Facilities Contact

CTE Project/Equipment Location:	
Printed Name and Title of Facilities Contact:	
Address:	
City:	Zip:
Telephone:	Fax:
E-mail:	Web Site:

Signatures/Approvals/Certifications

Printed Name of Superintendent:
Date CTE Plan Approved by Governing Board:
<p>Certification: District certifies that the Advisory Committee pursuant to Education Code Section 8070 has met and approved the CTE Plan, and the other requirements contained in AB 127, Article 13, Section 17078.72, including Sections (i) (1 thru 7) have been accomplished, and minutes and other supporting documentation is on file at the District Office. Further, the project is on a comprehensive high school site that meets the requirements of Education Codes 51224, 51225.3, and 51228.</p>
Signature of Superintendent and Date:

Career Technical Education Facilities Program

FORM B – EDUCATIONAL SPECIFICATION AND EQUIPMENT/SPACE REQUIREMENTS SHEET

(Use additional sheets as necessary)

County:	Name of Project:
Local Education Agency:	Type of Project: <input type="checkbox"/> New Construction <input type="checkbox"/> Modernization <input type="checkbox"/> Equipment
Name of School:	Student Capacity for Project:
Project Tracking Number:	Proposed Schematic Drawing Attached? <input type="checkbox"/> Yes <input type="checkbox"/> No

Project Summary

Generally describe the scope of the career technical project and its educational goals.

Program and Space Functionality

Describe the program activities for this career tech project/equipment and how the teaching station will support those functions.

Space and Equipment Requirements

List required equipment needed to support the career technical project and the square footage requirements for all its other spaces (teaching station, storage, office, lab, lecture area, etc.). Please attach a schematic drawing of the proposed project.

Functional Relationship to Site

Describe how the new construction or modernized building impacts other areas of the site.

Site Development Considerations

Provide, if any, additional site development needs associated with the career technical project.

Career Technical Education Facilities Program

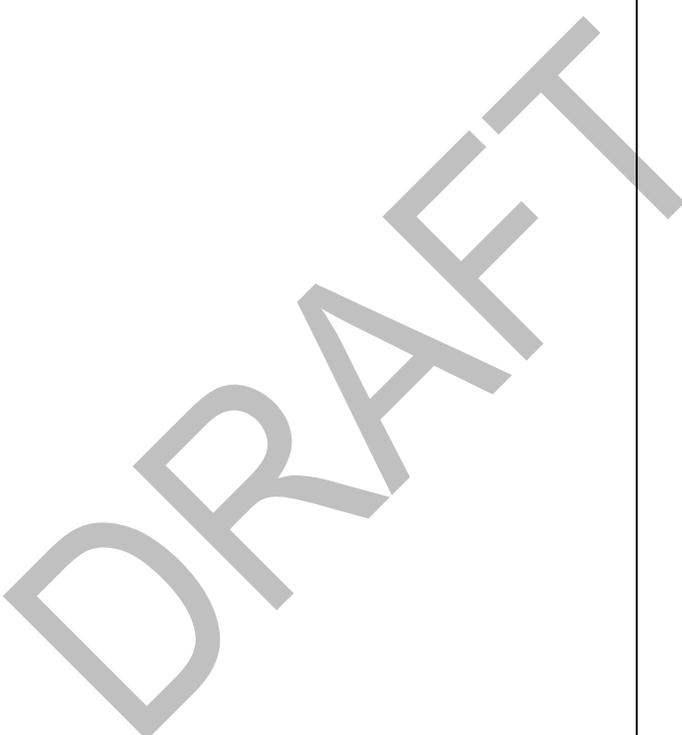
FORM C – BUDGET JUSTIFICATION/DETAIL SHEET

(One Form per School Site, Per Project)

LEA Name:

Project Name:

Provide sufficient detail to justify the budget. The budget justification page(s) must provide all required information even if the items have already been identified and discussed in another section. For each project or equipment, list the costs associated. Please use additional sheets as necessary.

Project/Equipment Description	Subtotal Each Item
 Total Amount of Funds Requested:	

Total Match Amount:

Source of Match:

Time Payment Required: Y N

Financial Support from Industry Partners:

Other Sources of Funding:

FORM D – INDUSTRY SECTORS AND PATHWAYS
California Department of Education

Agriculture and Natural Resources Industry Sector

- A. Agricultural Business Pathway
- B. Agricultural Mechanics Pathway
- C. Agriscience Pathway
- D. Animal Science Pathway
- E. Forestry and Natural Resources Pathway
- F. Ornamental Horticulture Pathway
- G. Plant and Soil Science Pathway

Arts, Media, and Entertainment Industry Sector

- A. Media and Design Arts Pathway
- B. Performing Arts Pathway
- C. Production and Managerial Arts Pathway

Building Trades and Construction Industry Sector

- A. Cabinetmaking and Wood Products Pathway
- B. Engineering and Heavy Construction Pathway
- C. Mechanical Construction Pathway
- D. Residential and Commercial Construction Pathway

Education, Child Development, and Family Services Industry Sector

- A. Child Development Pathway
- B. Consumer Services Pathway
- C. Education Pathway
- D. Family and Human Services Pathway

Energy and Utilities Industry Sector

- A. Electromechanical Installation and Maintenance Pathway
- B. Energy and Environmental Technology Pathway
- C. Public Utilities Pathway
- D. Residential and Commercial Energy and Utilities Pathway

Engineering and Design Industry Sector

- A. Architectural and Structural Engineering Pathway
- B. Computer Hardware, Electrical, and Networking Engineering Pathway
- C. Engineering Design Pathway
- D. Engineering Technology Pathway
- E. Environmental and Natural Science Engineering Pathway

Fashion and Interior Design Industry Sector

- A. Fashion Design, Manufacturing, and Merchandising Pathway
- B. Interior Design, Furnishings, and Maintenance Pathway

Finance and Business Industry Sector

- A. Accounting Services Pathway
- B. Banking and Related Services Pathway
- C. Business Financial Management Pathway

Health Science and Medical Technology Industry Sector

- A. Biotechnology Research and Development Pathway
- B. Diagnostic Services Pathway
- C. Health Informatics Pathway
- D. Support Services Pathway
- E. Therapeutic Services Pathway

Hospitality, Tourism, and Recreation Industry Sector

- A. Food Science, Dietetics, and Nutrition Pathway
- B. Food Service and Hospitality Pathway
- C. Hospitality, Tourism, and Recreation Pathway

Information Technology Industry Sector

- A. Information Support and Services Pathway
- B. Media Support and Services Pathway
- C. Network Communications Pathway
- D. Programming and Systems Development Pathway

Manufacturing and Product Development Industry Sector

- A. Graphic Arts Technology Pathway
- B. Integrated Graphics Technology Pathway
- C. Machine and Forming Technology Pathway
- D. Welding Technology Pathway

Marketing, Sales, and Service Industry Sector

- A. E-commerce Pathway
- B. Entrepreneurship Pathway
- C. International Trade Pathway
- D. Professional Sales and Marketing Pathway

Public Services Industry Sector

- A. Human Services Pathway
- B. Legal and Government Services Pathway
- C. Protective Services Pathway

Transportation Industry Sector

- A. Vehicle Maintenance, Service, and Repair
- B. Aviation and Aerospace Transportation Services Pathway
- C. Collision Repair and Refinishing Pathway

FORM E

The Eleven Service Regions of California County Superintendents Educational Services Association (CCSESA)



**AB 127, ARTICLE 13
Career Technical Education Facilities Program**

Application Scoring Guide

Application Log Number: _____ Reviewer Number: _____ Date: _____

NOTE TO REVIEWER:

This scoring guide is intended to assist the reviewer to focus on topics highlighted in the Application for Career Technical Education Facilities/Equipment under AB 127, Article 13.

A project must score at least 105 points in order for the project to be considered for funding. Projects must meet eligibility requirements established by the State Allocation Board in order to receive funding.

COVER PAGE – FORM A (5 Points Total)		The Cover page is complete as required	The Cover Page is incomplete
A.	Is the Cover Page part of the application, and does it contain all of the requested information, including all required signatures and certifications? (5 Points)	5 pts.	0 pts.

ELEMENT 1. CAREER TECHNICAL EDUCATION PLAN (50 Points Total)				
		Circle one:		
	<p>Is a complete copy of the CTE Plan, including <u>all</u> of the components described in items A-D of Element 1, attached to the application?</p> <p>Has the CTE Plan been approved by the required partners as specified in AB 127, Article 13, Section 17078.72 (i)(4)? If so, please provide the date: _____</p> <p><i>(Note: If the answer is No to either question, the application shall not be reviewed further and will not be considered for funding.)</i></p>	YES	NO	
		YES	NO	
		Very good explanation with specific supporting detail	Adequate explanation with general supporting detail	Minimal explanation with minimal supporting detail
A*	Description of the Career Technical Education Plan includes the rationale for the CTE modification, reconfiguration, new construction, or equipment? Is the industry sector identified? Is the high-demand labor market for qualified technical employees in this field and region explained? (20 Points)	20-18 pts.	17-11pts.	10-1 pts.
B.	Is the roster of membership, affiliation and contact information of the advisory committee, required pursuant to Education Code Section 8070, included? (5 Points)	5 pts.	4-3 pts.	2-1 pts.
C.	Is there a description of how the school is committed to ensuring that all students are given the opportunity to participate in CTE programs, activities and experiences? (10 Points)	10-9 pts.	8-6 pts.	5-1 pts.
D.	Is there a description of how the CTE program includes the following: Industry-validated certifications, State Board of Education-adopted CTE Standards, a sequence of CTE courses, and career pathways? (15 Points)	15-13 pts.	12-8 pts.	7-1 pts.

ELEMENT 2. PROJECTIONS OF STUDENT ENROLLMENT (25 Points Total)		Very good explanation with specific supporting detail	Adequate explanation with general supporting detail	Minimal explanation with minimal supporting detail
A*	Is there a description of the total number of pupils expected to attend the CTE program that will be supported with these funds and a clear description of the method used to project the student enrollments? (15 Points)	15-13 pts.	12-8 pts.	7-0 pts.
B.	Is there a description of the procedures in place that will ensure the projected student enrollment will be met? Is there a description of the specific role of the guidance and counseling component of the school in the recruitment and enrollment process? (10 Points)	10-9 pts.	8-6 pts.	5-0 pts.

ELEMENT 3. IDENTIFICATION OF FEEDER SCHOOLS AND PARTNERS (15 Points Total)		Roster is comprehensive including an exemplary number of participating stakeholders	Roster is adequate with a representative group of participating stakeholders	Minimal stakeholder involvement is evident in the roster
A*	Is there a roster with affiliations of the feeder schools, middle schools, high schools, regional occupational centers and programs (ROCPs), students, parents, counselors, community members, business and industry partners related to the sector being addressed, community colleges, and other key stakeholders who participated in the development, articulation, review and approval of the CTE Plan? Is there a description of the geographic proximity of other similar programs to ensure that the project complements CTE offerings in the area? (10 Points)	10-9 pts.	8-6 pts.	5-0 pts.
		Thorough description of similar programs within geographic proximity	Adequate description of similar programs within geographic proximity	Minimal description of similar programs within geographic proximity
	<i>(Second component of Element 3, Item A)</i> Is there a description of the geographic proximity of other similar programs to ensure that the project complements CTE offerings in the area? (5 Points)	5 pts.	4-3 pts.	2-0 pts.

ELEMENT 4. THE ACCOUNTABILITY PLAN (25 Points Total)		Very good explanation with specific supporting detail	Adequate explanation with general supporting detail	Minimal explanation with minimal supporting detail
A*	Is there a description of the school's accountability plan for enrollments and outcomes, including the following: (1) the expected number of students who will complete a certificate, i.e. ROCP, industry-based, etc., (2) the number of students expected to enter employment in a related industry, apprenticeship program or military, (3) the number of students expected to successfully transition into postsecondary institutions for more advanced study in the applicable industry or other areas of study, and (4) the process the school will use to gather, analyze, and disseminate the data from 1-3 above to the School Board, parents, community members, business and industry partners, and other key stakeholders? (20 Points)	20-18 pts.	17-11pts.	10-0 pts.
B.	Is there a description of how the school will meet or exceed its obligations pursuant to Education Code Section 51228(b)? (5 Points)	5 pts.	4-3 pts.	2-0 pts.

ELEMENT 5. EDUCATIONAL SPECIFICATION AND EQUIPMENT/SPACE REQUIREMENTS SHEET - FORM B (10 Points Total)		Very good explanation with specific supporting detail	Adequate explanation with general supporting detail	Minimal explanation with minimal supporting detail
A.	Did the applicant provide an educational specification that defines the educational goals of the particular CTE program and shows how the requested grant will be used (specify equipment, furniture and facilities construction or modernization) to meet those goals and include a schematic drawing of the proposed space and/or location of the equipment for this project? (10 Points)	10-9 pts.	8-6 pts.	5-0 pts.

ELEMENT 6. BUDGET JUSTIFICATION/DETAIL SHEET - FORM C (30 Points Total)		YES Rationale and methodology are provided to calculate cost per pupil	NO Rationale and methodology are not provided to calculate cost per pupil	
A*	Is there a description of the estimated capital cost per pupil and the rationale/method used for calculating this number? Is reference made to the CTE Plan where feasible? (15 Points)	15 pts.		0 pts.
		Very good explanation with specific supporting detail	Adequate explanation with general supporting detail	Minimal explanation with minimal supporting detail
B*	Is there a description of the financial participation of industry partners in the construction and equipping of the facility? (15 Points)	15-13 pts.	12-8 pts.	7-0 pts.

ELEMENT 7. UNIQUE CONDITIONS (5 Additional Points Total. The reviewer may award additional points to the total score if unique conditions exist that warrant significant consideration in ranking the application)		Very good explanation with specific supporting detail	Adequate explanation with general supporting detail	Minimal explanation with minimal supporting detail
A.	Did the applicant provide any information on unique conditions which may affect this application? Unique conditions may include, but are not limited to, such items as rural or isolated schools or educational agencies, unique partnership arrangements, unique costs and expense issues, unique physical plant conditions or facilities issues, etc. (5 Points)	5 pts.	4-3 pts.	2-0 pts.

OVERALL FEASIBILITY OF THE PROJECT (5 Points Total)		Very good explanation with specific supporting detail	Adequate explanation with general supporting detail	Minimal explanation with minimal supporting detail
A.	The reviewer has the opportunity to judge whether the overall project is realistically capable of achieving the intent of AB 127, Article 13. The reviewer will consider the entire application, in overall context, to make a judgment. The intent of this section is to assess the cohesiveness and viability of the total project. (5 Points)	5 pts.	4-3 pts.	2-0 pts.

* Weighted Items

REVIEWER'S OVERALL ASSESSMENT OF APPLICATION

The intent of this section is to provide the reviewer an opportunity to comment on the application in general:

APPLICATION LOG NUMBER:

SCORES FOR THIS APPLICANT:

Item	Score
Cover Page (Form A) 5 pts.	
Element 1. Career Technical Education Plan 50 pts.	
Element 2. Projections of Student Enrollment 25 pts.	
Element 3. Identification of Feeder Schools and Partners 15 pts.	
Element 4. The Accountability Plan 25 pts.	
Element 5. Educational Specification and Equipment/Space Requirements Sheet (Form B) 10 pts.	
Element 6. Budget Justification/Detail Sheet (Form C) 30 pts.	
Overall Feasibility of the Project 5 pts	
<p style="text-align: right;">SCORE: <i>(Maximum score for above items is 165)</i></p>	
Element 7. Unique Conditions <i>(Additional points as determined by the reviewer)</i> 5 pts	
<p style="text-align: right;">TOTAL ADJUSTED SCORE: <i>(Maximum score is 170)</i></p>	

(Minimum passing threshold score is 105)

APPLICATION FOR FUNDING SCHOOL FACILITY PROGRAM

SAB 50-04 (REV 01/07/06/06)

GENERAL INFORMATION

Once the Board has determined or adjusted the district's eligibility for either new construction or modernization funding, the district may file an application for funding by use of this form. The Board will only provide new construction funding if this form is submitted prior to the date of occupancy of any classrooms included in the construction contract. If the district has a pending reorganization election that will result in the loss of eligibility for the proposed project, the district may not file an application for funding until the Board has adjusted the district's new construction baseline eligibility as required in Section 1859.51. This may be accomplished by completion of Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03.

For purposes of Education Code Section 17073.25, the California Department of Education (CDE) is permitted to file modernization applications on behalf of the California Schools for the Deaf and Blind.

Requests for funding may be made as follows:

1. A separate apportionment for site acquisition for a new construction project for environmental hardship pursuant to Section 1859.75.1. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Contingent site approval letter from the CDE.
 - Preliminary appraisal of property.
 - Approval letter from the Department of Toxic Substances Control.
 2. A separate apportionment for site acquisition and/or design costs for a new construction project pursuant to Section 1859.81.1. This apportionment is available only to districts that meet the financial hardship criteria in Section 1859.81. Districts may apply for a separate apportionment for the design and for site acquisition on the same project. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Contingent site approval letter from the CDE (site apportionment only).
 - Preliminary appraisal of property (site apportionment only).
 3. A separate apportionment for district-owned site acquisition cost pursuant to Section 1859.81.2. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate);
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Site approval letter from the CDE.
 - Appraisal of district-owned site.
 - Cost benefit analysis as prescribed in Section 1859.74.6 or a copy of the Board finding that the non-school function on the district-owned site must be relocated.
 4. A separate apportionment for design cost for a modernization project pursuant to Section 1859.81.1. This apportionment is available only to districts that meet the financial hardship criteria in Section 1859.81. For purposes of this apportionment, the Form SAB 50-03 must accompany this form (if not previously submitted).
 5. A New Construction Adjusted Grant pursuant to Section 1859.70. If the funding request includes site acquisition, the proposed site must either be owned by the district, in escrow, or the district has filed condemnation proceedings and received an order of possession of the site. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Site/plan approval letter from the CDE.
 - Appraisal of property if requesting site acquisition funds.
 - Plans and specifications (P&S) for the project that were approved by the DSA. Submittal of plans may be on CD-ROM or "Zip Drive" readable in AutoCAD 14. The specifications may be provided on a diskette that is IBM compatible.
 - Cost estimate of proposed site development, if requesting site development funding.
 - If this request is pursuant to Section 1859.77.2 and the district's housing plan is other than those listed in the certification section of this form, a copy of the school board resolution and the approved housing plan.
 - If the site apportionment is requested pursuant to Regulation Section 1859.74.5, a cost benefit analysis as prescribed in Regulation Section 1859.74.6 or a copy of the Board finding that the non-school function on the district-owned site must be relocated.
 - If this is a request for funding under the Small High School Program, pursuant to Regulation Section 1859.93.2, the district must also provide a CDE Small High School academic reform strategy approval.
 - If this request is fully or partially based on eligibility derived from an Alternative Enrollment Projection, a justification of how the project relieves overcrowding, including but not limited to, the elimination of the use of Concept 6 calendars, four track year-round calendars, or bussing in excess of 40 minutes.
 - [Written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3\(b\), 51228\(b\), and 52236.1.](#)
- Modernization Adjusted Grant pursuant to Section 1859.70. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
- Form SAB 50-03 (if not previously submitted).
 - P&S for the project that were approved by the DSA.
 - DSA approval letter for elevator to meet handicapped compliance, if funding is requested.
 - Cost estimate of the proposed site development necessary for the Reconfiguration of an existing high school.
 - Plan approval letter from the CDE.
 - Districtwide enrollment data on Form SAB 50-01 when requesting project assistance (if not previously submitted).
 - If the request includes funding for 50 year old permanent buildings pursuant to Section 1859.78.6, a site diagram identifying all buildings to be modernized in the project. The diagram must specify those buildings that are at least 50 years old.
 - [Written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3\(b\), 51228\(b\), and 52236.1.](#)
- Prior to acceptance of an application for funding that includes a financial hardship request, the district must have its financial hardship status "pre-approved" by the Office of Public School Construction (OPSC). To apply for a financial hardship "pre-approval", consult the OPSC Web site at www.opsc.dgs.ca.gov.
- If the district is requesting New Construction funding after the initial baseline eligibility was approved by the Board and the district's current CBEDS enrollment reporting year

APPLICATION FOR FUNDING SCHOOL FACILITY PROGRAM

SAB 50-04 (REV 01/07/06/06)

is later than the enrollment reporting year used to determine the district's baseline eligibility or adjusted eligibility, the district must complete a new Form SAB 50-01 based on the current year CBEDS enrollment data, and submit it to the OPSC with this form. In addition, if the district's request is fully or partially based on eligibility derived from an Alternative Enrollment Projection, the district must update the Alternative Enrollment Projection to correspond with the CBEDS enrollment data for the current year. A small district with 2,500 or less enrollment as defined in Section 1859.2 will not have its eligibility reduced for a period of three years from the date the district's baseline eligibility was approved by the Board as a result of reduction in projected enrollment.

SPECIFIC INSTRUCTIONS

The district must assign a Project Tracking Number (PTN) to this project. The same PTN is used by the OPSC, the DSA and the CDE for all project applications submitted to those agencies to track a particular project through the entire state application review process. If the district has already assigned a PTN to this project by prior submittal of the P&S to either the DSA or the CDE for approval, use that PTN for this application submittal. If no PTN has been previously assigned for this project, a PTN may be obtained from the OPSC Web site at www.opsc.dgs.ca.gov "PT Number Generator."

1. Type of Application

Check the appropriate box that indicates the type of School Facility Program (SFP) grant the district is requesting for purposes of new construction, modernization, a separate design and/or site apportionment, site apportionment as an environmental hardship or New Construction (Final Apportionment). If the application is for the modernization of school facilities and includes facilities that are eligible for an additional apportionment pursuant to Section 1859.78.8, include a site diagram with this application that specifies the age of each facility eligible for modernization. The diagram should also indicate the date of its original DSA plan approval and the date the facility received its prior modernization apportionment. If known include the project modernization number on the diagram. If the application is for modernization of a California School for the Deaf or Blind, the CDE shall check the box identified as Modernization of California Schools for the Deaf/Blind. If the request is for a separate design apportionment, the CDE shall check the appropriate box. If the eligibility for this project was established as a result of the need for new or replacement facilities pursuant to Section 1859.82 (a) and (b), or rehabilitation pursuant to Section 1859.83 (e), check the appropriate box.

If this request is for an addition to an existing site and advance funding for the evaluation and RA costs, check the appropriate box and refer to Section 1859.74.4.

If this request is to convert a Preliminary Apportionment or a Preliminary Charter School Apportionment to a Final Apportionment, check the New Construction (Final Apportionment or Final Charter School Apportionment) box.

If the district is requesting a separate site and/or design apportionment, complete boxes 2a, 3, 4, the site acquisition data in box 5 (d and e), and boxes 12, 13, 14, 15 and 21 only.

2. Type of Project

- Select the type of project that best represents this application request and enter the total number of pupils assigned to the project for each grade group. Include pupils to be housed in a new or replacement school authorized by

For a list of the documents that must be submitted in order for the OPSC to deem a funding request for new construction or modernization complete and ready for OPSC processing, consult the SFP handbook and other information located on the OPSC Web site at www.opsc.dgs.ca.gov.

For purposes of completing this form for a Final Charter School Apportionment, a charter school shall be treated as a school district.

Section 1859.82 (a). The amount entered cannot exceed the district's baseline eligibility determined on Form SAB 50-03 and will be the basis for the amount of the new construction or modernization grants provided for the project.

If this request is for a Final Apportionment, the pupils assigned to the project must be at least 75 percent, but not more than 100 percent, of the pupils that received the Preliminary Apportionment. Refer to Section 1859.147.

- Check the box if the project is eligible for funding for 50 year or older permanent buildings and report, at the option of the district:
 - The total number of eligible classrooms or the total eligible square footage building area at the site. Refer to Section 1859.78.6(b)(1)(A) or (b)(2)(A).
 - The total number of permanent classrooms or the total permanent square footage building area that is at least 50 years old and not been previously modernized with state funds. Refer to Section 1859.78.6(b)(1)(B) or (b)(2)(B).
 - Enter the greater percentage as calculated under Regulation Section 1859.78.6(b)(1)(C) or Regulation Section 1859.78.6(b)(2)(C).
 - If this project includes eligible 50 year or older pupil grants, enter the appropriate number assigned to the project for each grade group. The number of pupils entered cannot exceed the cumulative number of 50 year or older permanent buildings pupil grants requested for all modernization funding applications for the site as determined by using the percentage factor above.
- If this request includes pupil grants generated by an Alternative Enrollment Projection Method, enter the number of pupils by grade level.
- Indicate if this request is for funding of a 6-8 school and/or an Alternative Education School.
- Check the applicable box if the district is requesting additional pupil grants assigned to the project that exceed the capacity of the project or if the pupils assigned represent eligibility determined at another grade level and check the appropriate box to indicate under which regulation the district is applying. The pupil capacity of the project may be determined by multiplying the classrooms reported in box 3 by 25 for K-6; 27 for 7-8, 9-12 grades; 13 for non-severe and 9 for severe.
- If the request is for replacement facilities pursuant to Section 1859.82 (a) or (b) on the same site, check the facility hardship box.

3. Number of Classrooms

Enter the:

- Number of classrooms as shown on the plans and specifications (P&S). If there was demolition at the site, report the net increase in the number of classrooms showing in the P&S.

- Master plan site size, as recommended by the California Department of Education.
- Recommended site size, as determined by the California Department of Education.
- Existing Useable Acres already owned at that location (if any).
- Proposed Useable Acres that was/will be purchased as part of the application (if any).

4. Financial Hardship Request

Check the box if the district is requesting financial hardship assistance because it is unable to meet its matching share requirement. Refer to Section 1859.81 for eligibility criteria. Districts requesting financial assistance must have received a pre-approval for financial hardship status by the OPSC. Consult the OPSC Web site at www.opsc.dgs.ca.gov for details and necessary documentation needed in order to determine eligibility.

5. New Construction Additional Grant Request

Check the appropriate box(es) if the district requests an augmentation to the new construction grant for "additional" grants for the items listed or for replacement facilities pursuant to Section 1859.82(a) and (b). Refer to Sections 1859.72 through 1859.76 and 1859.82(a) and (b) for eligibility criteria. Enter the:

- Therapy area in square feet as provided in Section 1859.72.
- Multilevel classrooms in the P&S pursuant to Section 1859.73.
- Check the box if the district is requesting project assistance pursuant to Section 1859.73.1. If the district has not submitted a request for new construction baseline eligibility on a district-wide basis, it must submit a current Form SAB 50-01 based on district-wide enrollment data with this form.
- Indicate the site scenario that best represents the project request. If no RA is required, refer to Section 1859.74. If a RA is required on a site that is not leased or an addition to an existing site, refer to Section 1859.74.2. If RAs are required on a leased site or an addition to an existing site, refer to Sections 1859.74.3 or 1859.74.4, respectively. The limitation of 50 percent may be exceeded when unforeseen circumstances exist, the CDE determines that the site is the best available site, and substantiation that the costs are the minimum required to complete the evaluation and RA.
 - Enter 50 percent of the actual cost.
 - Enter 50 percent of the appraised value of the site. If the request is made pursuant to Regulation Section 1859.74.5, enter 50 percent of the appraised value.
 - Enter 50 percent of the allowable relocation cost.
 - Enter two percent of the lesser of the actual cost or appraised value of the site (minimum \$25,000).
 - Enter 50 percent of the Department of Toxic Substances Control (DTSC) fee for review and approval of the phase one environmental site assessment and preliminary endangerment assessment reports. Refer to Sections 1859.74, 1859.74.1, 1859.74.5, 1859.75, 1859.75.1 and 1859.81.1. If the district is submitting a funding request for new construction under the Small High School Program, enter the 60 percent values.

A project that received site acquisition funds under the Lease-Purchase Program (LPP) as a priority two project is not eligible for site acquisition funds under the SFP. A district-owned site acquired with LPP, SFP or Proposition 1A funds is not eligible for funding under Regulation Section 1859.74.5.

- Enter 50 percent of the amount allowable for hazardous materials/waste removal and/or remediation for the site acquired pursuant to Sections 1859.74.2, 1859.74.3, 1859.74.4, 1859.75.1 or 1859.81.1. If an RA is required, check the box.

- Enter 50 percent of eligible service-site development, off-site development including pedestrian safety paths and utilities costs allowed pursuant to Section 1859.76. If the district is submitting a funding request for new construction under the Small High School Program, enter the 60 percent values. Attach cost estimates of the proposed site development work which shall be supported and justified in the P&S. All cost estimates shall reflect 100 percent of the proposed work.

Check the box if the district is requesting an Additional Grant for General Site Development pursuant to Section 1859.76

- If the district is requesting replacement facilities on the same site, enter the square footage requested as provided in Section 1859.82(a) or (b).
- Enter the square feet of eligible replacement area as provided by Section 1859.73.2.
- If the district is requesting an Additional Grant for Energy Efficiency pursuant to Section 1859.71.3, enter the percentage of energy efficiency that exceeds Title 24 requirements as prescribed in Section 1859.71.3(a)(3).
- Check the box(es) if the district requests and the project qualifies for additional funding for fire code requirements authorized in Section 1859.71.2.

6. Modernization Additional Grant Request

- Check the box if the district is requesting project assistance allowance pursuant to Section 1859.78.2. If the district has not submitted a request for new construction baseline eligibility on a district-wide basis, it must submit a current Form SAB 50-01 based on district-wide enrollment data with this form.
- If the district is requesting an Additional Grant for Energy Efficiency pursuant to Section 1859.78.5, enter the percentage of energy efficiency that exceeds Title 24 requirements as prescribed in Section 1859.78.5(a)(3).
- Check the box if the district requests an additional grant for site development utility cost necessary for the modernization of 50 years or older permanent building(s). Enter 60 percent of the eligible costs allowable pursuant to Section 1859.78.7(a).
- Check the box if the district is requesting a Separate Apportionment for Reconfiguration pursuant to Section 1859.78.9. Enter the full value of the Reconfiguration request, not to exceed an aggregate of \$500,000 for all high school entities created.
- Check the box(es) if the district requests and the project qualifies for additional funding for fire code requirements authorized in Section 1859.78.4.

7. Excessive Cost Hardship Request

Check the appropriate box to request an augmentation to the New Construction or Modernization Grants for an excessive cost hardship for the items listed. Refer to Section 1859.83 for eligibility criteria. Requests for excessive cost grants for a new two-stop elevator(s) and for additional stops in a modernization project are allowed only if required by the Division of the State Architect (DSA). Attach copy of the DSA letter that requires that the elevator(s) be included in the project for handicapped access compliance.

If the request is for the excessive cost grant for a new Alternative Education school pursuant to Section 1859.83(c)(2) and the district wishes to request less than the maximum allowance, please submit a letter along with application indicating the desired amount.

If the request is for rehabilitation mitigation, report 80 percent or 60 percent (as appropriate) of health/safety rehabilitation mitigation cost for a modernization project as authorized by Section 1859.83(e).

APPLICATION FOR FUNDING SCHOOL FACILITY PROGRAM

SAB 50-04 (REV 01/07/06/06)

8. Project Priority Funding Order

Enter the priority order of this project in relation to other new construction applications submitted by the district on the same date. If applications are not received on the same date, the OPSC will assign a higher district priority to the application received first. Check the box(es) if the project meets the criteria outlined in Section 1859.92(c)(3),(4) and (6), as appropriate. This information is needed for purposes of priority points.

9. Prior Approval Under the LPP

If the project the district is requesting SFP grants for received a Phase P, S, or C approval under the LPP, report the application number of that project, regardless if the project actually received funding or was included on an "unfunded" list. Failure to report this information may delay the processing of the application by the OPSC.

10. Prior Apportionment Under the SFP

If the project received a separate apportionment under the SFP for either site and/or design, or site environmental hardship, enter the application number of the project. Failure to report this information may delay the processing of the application by the OPSC.

11. Preliminary Apportionment to a Final Apportionment

If this request is to convert a Preliminary Apportionment to a Final Apportionment, enter the application number of the Preliminary Apportionment. Failure to report this information may delay the processing of the application by the OPSC.

12. Alternative Developer Fee

The district must report certain alternative fees collected pursuant to Government Code Section 65995.7, as of the date of application submittal to the OPSC. Refer to Section 1859.77 for details. Districts are advised that the OPSC may perform an audit of the developer fees collected prior to application approval by the Board.

13. Adjustment to New Construction Baseline Eligibility

Pursuant to Section 1859.51 certain adjustments to the district's new construction baseline eligibility must be made each time a district submits Form SAB 50-04, to the OPSC for SFP new construction or modernization grants. These adjustments are made by the OPSC based on information reported by the district on this form.

- Report all additional classroom(s) provided after the district submitted its request for determination of its new construction baseline eligibility for the grades shown, or indicate N/A if there are none. Refer to Section 1859.51(i).
- If the eligibility for this project was determined on a high school attendance area (HSAA) or Super HSAA pursuant to Section 1859.41, enter the number of pupils by grade level type that were included in the latest report by the CDE pursuant to Education Code Section 42268 that received operational grants in that HSAA or Super HSAA.

14. Pending Reorganization Election

Complete only for new construction projects. Indicate if there is a pending reorganization election that will result in a loss of eligibility for this project. If the answer is "yes", the district must complete Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03, to adjust the district's new construction baseline eligibility as a result of the reorganization and submit them with this form.

15. Joint-Use Facility/Leased Property

Check the box if:

- The facilities to be constructed/modernized as part of this project will be for joint use by other governmental agencies.
- The new construction or modernization grants will be used for facilities located or to be located on leased property.

16. Project Progress Dates

Complete this section for new construction/modernization projects:

- Enter the date the initial construction contract was signed for this project. If a construction contract has not been executed, enter N/A.
- Enter the issue date for the Notice to Proceed for the construction phase of the project, or enter N/A if a Notice to Proceed has not been issued.

17. Labor Compliance Program

Indicate whether the district is subject to a Labor Compliance Program that has been approved by the Department of Industrial Relations pursuant to Labor Code Section 1771.7 by checking the appropriate box.

18. Construction Delivery Method

Check the box that best represents the construction delivery method that the district has or will use for this project, if known.

19. Career Technical Education Funds Request

Indicate if Career Technical Education (CTE) funds will be requested for classroom(s) included in the plans and specifications for this project pursuant to Section 1859.193. If "Yes", enter the number of CTE classroom(s) shown on the P&S.

2019. Architect of Record or Licensed Architect Certification

The architect of record or the licensed architect must complete this section.

2120. Architect of Record or Design Professional Certification

The architect of record or the appropriate design professional must complete this section.

2221. Certification

The district representative must complete this section. For additional information regarding district certifications, refer to the SFP handbook located on the OPSC web site at www.opsc.dgs.ca.gov.

**APPLICATION FOR FUNDING
SCHOOL FACILITY PROGRAM**

The school district named below applies to the State Allocation Board via the Office of Public School Construction for a grant under the provisions of Chapter 12.5, Part 10, Division 1, commencing with Section 17070.10, et seq., of the Education Code and the Regulations thereto.

SCHOOL DISTRICT		APPLICATION NUMBER
SCHOOL NAME		PROJECT TRACKING NUMBER
COUNTY	DISTRICT REPRESENTATIVE'S E-MAIL ADDRESS	HIGH SCHOOL ATTENDANCE AREA (HSAA) OR SUPER HSAA (IF APPLICABLE)

1. Type of Application—Check Only One

- New Construction
- New Construction (Final Apportionment)
- New Construction (Final Charter School Apportionment)
- New Construction (Small High School Program)
- Modernization
- Modernization of California Schools for Deaf/Blind

Separate Apportionment

- Site Only—New Construction [Section 1859.81.1]
- Site Only (District owned)—New Construction [Section 1859.81.2]
- Site Only—Environmental Hardship [Section 1859.75.1]
- Design Only—New Construction [Section 1859.81.1]
- Design Only—Modernization
- Design Only—Modernization of California Schools for Deaf/Blind
- Facility Hardship [Section 1859.82(a)]
- Facility Hardship [Section 1859.82(b)]
- Rehabilitation [Section 1859.83(e)]
- Advance Funding for Evaluation and RA

2. Type of Project

- a. Elementary School
- Middle School
- High School

Total Pupils Assigned:

K-6: _____
 7-8: _____
 9-12: _____
 Non-Severe: _____
 Severe: _____

- b. 50 Years or Older Building Funding (Modernization Only)

Total Eligible Classrooms/Square Footage: _____
 Classroom/Square Footage at Least 50 Years Old: _____
 Ratio of 50 Years Old Classrooms/Square Footage: _____ %
 From 2a above, how many are 50 Year or Older Pupil Grants?

K-6: _____
 7-8: _____
 9-12: _____
 Non-Severe: _____
 Severe: _____

- c. Included in 2a above, how many pupils are generated by the Alternative Enrollment Projection? (New Construction Only)

K-6: _____
 7-8: _____
 9-12: _____
 Non-Severe: _____
 Severe: _____

- d. Is this a 6-8 school? Yes No

If you answered yes, how many K-6 pupils reported above are sixth graders? _____

Is this an Alternative Education School? Yes No

- e. Is this a use of grant request pursuant to Section 1859.77.2? Yes No

Is this request pursuant to Section 1859.77.2(c)? Yes No

If yes, enter date of successful bond election: _____

Is this a use of grant request pursuant to Section 1859.77.3? Yes No

Is this request pursuant to Section 1859.77.3(c)? Yes No

If yes, enter date of successful bond election: _____

- f. Facility Hardship (no pupils assigned)

3. Number of Classrooms:

Master Plan Acreage Site Size (Useable): _____
 Recommended Site Size (Useable): _____
 Existing Acres (Useable): _____
 Proposed Acres (Useable): _____

4. Financial Hardship Request—Must Have Pre-Approval by OPSC

5. New Construction Additional Grant Request—New Construction Only

- a. Therapy: _____ Toilets (sq. ft.) _____
 Other (sq. ft.) _____

- b. Multilevel Construction (CRS): _____

- c. Project Assistance

- d. Site Acquisition:

Leased Site

Additional Acreage to Existing Site

Addition to Existing Site

(1) 50 percent Actual Cost: \$ _____

(2) 50 percent Appraised Value: \$ _____

(3) 50 percent Relocation Cost: \$ _____

(4) 2 percent (min. \$25,000): \$ _____

(5) 50 percent DTSC Fee: \$ _____

- e. 50 percent hazardous waste removal: \$ _____

Response Action (RA)

- f. Site Development

50 percent Service-Site: \$ _____

50 percent Off-Site: \$ _____

50 percent Utilities: \$ _____

General Site

- g. Facility Hardship Section 1859.82(a) or (b)

Toilet (sq. ft.): _____

Other (sq. ft.): _____

**APPLICATION FOR FUNDING
SCHOOL FACILITY PROGRAM**

- h. Replacement area
 - Toilet (sq. ft.): _____
 - Other (sq. ft.): _____
- i. Energy Efficiency: _____ %
- j. Automatic Fire Detection/Alarm System
- Automatic Sprinkler System

6. Modernization Additional Grant Request—Modernization Only

- a. Project Assistance
- b. Energy Efficiency: _____ %
- c. Site Development—60 percent utilities: \$ _____
- d. Separate Apportionment for Reconfiguration (for Small High School Program only): \$ _____
- e. Automatic Fire Detection/Alarm System
- Automatic Sprinkler System

7. Excessive Cost Hardship Request

New Construction Only

- Geographic Percent Factor: _____ %
- New School Project [Section 1859.83(c)(1)]
- New School Project [Section 1859.83(c)(2)]
- New School Project [Section 1859.83(c)(3)]
- Small Size Project
- Urban/Security/Impacted Site; If a new site, \$ _____ per Useable Acre [Section 1859.83(d)(2)(C)]

Modernization Only

- Rehabilitation/Mitigation [Section 1859.83(e)]: \$ _____ %
- Geographic Percent Factor: _____ %
- Handicapped Access/Fire Code (3 percent)
- Number of 2-Stop Elevators: _____
- Number of Additional Stops: _____
- Small Size Project
- Urban/Security/Impacted site

8. Project Priority Funding Order—New Construction Only

Priority order of this application in relation to other new construction applications submitted by the district at the same time: # _____

Project meets:

- Density requirement pursuant to Section 1859.92(c)(3).
- Stock plans requirement pursuant to Section 1859.92(c)(4).
- Energy efficiency requirement pursuant to Section 1859.92(c)(6).

9. Prior Approval Under the LPP

New Construction: 22/ _____
Modernization: 77/ _____

10. Prior Apportionment Under the SFP

Site/Design—New Construction: 50/ _____
Design—Modernization: 57/ _____

11. Preliminary Apportionment to Final Apportionment

Preliminary Apportionment Application Number: # _____

12. Alternative Developer Fee—New Construction Only

Alternative developer fee collected and reportable pursuant to Regulation Section 1859.77: \$ _____

13. Adjustment to New Construction Baseline Eligibility

- a. Additional Classroom(s) provided:
 - K-6: _____
 - 7-8: _____
 - 9-12: _____
 - Non-Severe: _____
 - Severe: _____
- b. Operational Grant (HSAA) only:
 - K-6: _____
 - 7-8: _____
 - 9-12: _____
 - Non-Severe: _____
 - Severe: _____

14. Pending Reorganization Election—New Construction Only Yes No

15. Joint-Use Facility/Leased Property

- a. Joint-Use Facility
- b. Leased Property

16. Project Progress Dates

- a. Construction Contract signed on: _____
- b. Notice to Proceed issued on: _____

17. Labor Compliance Program

Will you be required to initiate and enforce a Labor Compliance Program pursuant to Labor Code Section 1771.7 for this project? Yes No

18. Construction Delivery Method

- Design-Bid-Build
- Design-Build
- Developer Built
- Lease Lease-Back
- Energy Performance Contract
- Other: _____

19. Career Technical Education Funds Request

Will CTE Funds be requested for classroom(s) included in the plans and specifications for this project? Yes No
Number of CTE classroom(s): _____

**APPLICATION FOR FUNDING
SCHOOL FACILITY PROGRAM**

2019. Architect of Record or Licensed Architect Certification

I certify as the architect of record for the project or as a licensed architect that:

- The P&S for this project were submitted to the OPSC by electronic medium (i.e., CD-ROM, zip disk or diskette) or as an alternative, if the request is for a modernization Grant, the P&S were submitted in hard copy to the OPSC.
- Any portion of the P&S requiring review and approval by the Division of the State Architect (DSA) were approved by the DSA on _____ (enter DSA approval date).
- Any portion of the P&S not requiring review and approval by the DSA meets the requirements of the California Code of Regulations, Title 24, including any handicapped access and fire code requirements.
- If the request is for a Modernization Grant, the P&S include the demolition of more classrooms than those to be constructed in the project, the difference is _____ classroom(s). (Indicate N/A if there are none.)
- If the request is for a Modernization Grant, the P&S include the construction of more classrooms than those to be demolished in the project, the difference is _____ classroom(s). (Indicate N/A if there are none.)

ARCHITECT OF RECORD OR LICENSED ARCHITECT (PRINT NAME)

SIGNATURE

DATE

2120. Architect of Record or Design Professional Certification

I certify as the architect of record for the project or the appropriate design professional, that:

- If the request is for a New Construction Grant, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S including deferred items (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the district's matching share, less site acquisition costs. This cost estimate does not include site acquisition, planning, tests, inspection, or furniture and equipment and is available at the district for review by the OPSC.
- If the request is for a Modernization Grant, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S, including deferred items and interim housing (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the district's matching share. This cost estimate does not include planning, tests, inspection or furniture and equipment and is available at the district for review by the OPSC.

ARCHITECT OF RECORD OR DESIGN PROFESSIONAL (PRINT NAME)

SIGNATURE

DATE

2221. Certification

I certify, as the District Representative, that the information reported on this form, with the exception of items 19 and 20, is true and correct and that:

- I am an authorized representative of the district as authorized by the governing board of the district; and,
- A resolution or other appropriate documentation supporting this application under Chapter 12.5, Part 10, Division 1, commencing with Section 17070.10, et. seq., of the Education Code was adopted by the school district's governing board or the designee of the Superintendent of Public Instruction on, _____; and,
- The district has established a "Restricted Maintenance Account" for exclusive purpose of providing ongoing and major maintenance of school buildings and has developed an ongoing and major maintenance plan that complies with and is implemented under the provisions of Education Code Section 17070.75 and 17070.77 (refer to Sections 1859.100 through 1859.102); and,
- Pursuant to Education Code Section 17070.755, the district has made a priority of the funds in the restricted maintenance account, established pursuant to Education Code Section 17070.75, to ensure that facilities are functional and meet local hygiene standards; and,
- The district has considered the feasibility of the joint use of land and facilities with other governmental agencies in order to minimize school facility costs; and,
- If this funding request is for the modernization of portable classrooms eligible for an additional apportionment pursuant to Education Code Section 17073.15, the district certifies that (check the applicable box below):
 - 1. The state modernization funds will be used to replace the portable classrooms and permanently remove the displaced portables from the classroom use within six months of the filing of the Notice of Completion for the project; or,
 - 2. It has provided documentation to the Office of Public School Construction which indicates that modernizing the portable classrooms eligible for an additional apportionment is better use of public resources than the replacement of these facilities.
- Facilities to be modernized have not been previously modernized with Lease-Purchase Program, Proposition 1A Funds or School Facility Program state funds; and,
- All contracts entered on or after November 4, 1998 for the service of any architect structural engineer or other design professional for any work under the project have been obtained pursuant to a competitive process that is consistent with the requirements of Chapter 10 (commencing with Section 4525) of Division 5, of Title 1, of the Government Code; and,
- If this request is for new construction funding, the district has received approval of the site and the plans from the CDE. Plan approval is not required if request is for separate design apportionment; and,
- If this request is for modernization funding, the district has received approval of the plans for the project from the CDE. Plan approval is not required if request is for separate design apportionment; and,
- The district has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
- This district has or will comply with Education Code Section 17076.11 regarding at least a 3 percent expenditure goal for disabled veteran business enterprises; and,
- The district matching funds required pursuant to Sections 1859.77.1 or 1859.79 has either been expended by the district, deposited in the County School Facility Fund or will be expended by the district prior to the notice of completion for the project; and,

APPLICATION FOR FUNDING SCHOOL FACILITY PROGRAM

- The district has received the necessary approval of the plans and specifications from the Division of the State Architect unless the request is for a separate site and/or design apportionment; and,
- If the district is requesting site acquisition funds as part of this application, the district has complied with Sections 1859.74 through 1859.75.1 as appropriate; and,
- With the exception of an apportionment made pursuant to Section 1859.75.1, the district understands that the lack of substantial progress toward increasing the pupil capacity or renovation of its facilities within 18 months of receipt of any funding shall be cause for the rescission of the unexpended funds (refer to Section 1859.105); and,
- If the apportionment for this project was made pursuant to Section 1859.75.1, the district understands that the lack of substantial progress toward increasing the pupil capacity or renovation of its facilities within 12 months of receipt of any funding shall be cause for the rescission of the unexpended funds (refer to Section 1859.105.1); and,
- The district understands that funds not released within 18 months of apportionment shall be rescinded and the application shall be denied (refer to Section 1859.90); and,
- The statements set forth in this application and supporting documents are true and correct to the best of my knowledge and belief; and,
- All school facilities purchased or newly constructed under the project for use by pupils who are individuals with exceptional needs, as defined in Education Code Section 56026, shall be designed and located on the school site so as to maximize interaction between those individuals with exceptional needs and other pupils as appropriate to the needs of both; and,
- This form is an exact duplicate (verbatim) of the form provided by the OPSC. In the event a conflict should exist, the language in the OPSC form will prevail; and,
- The district understands that some or all of the State funding for the project must be returned to the State as a result of an audit pursuant to Sections 1859.105, 1859.105.1, 1859.106; and,
- The district has complied with the provisions of Sections 1859.76 and 1859.79.2 and that the portion of the project funded by the State does not contain work specifically prohibited in those Sections; and,
- If the SFP grants will be used for the construction or modernization of school facilities on leased land, the district has entered into a lease agreement for the leased property that meets the requirements of Section 1859.22; and,
- If the application contains a "Use of New Construction Grant" request, the district has adopted a school board resolution and housing plan at a public hearing at a regularly scheduled meeting of the governing board on _____ as specified in Sections 1859.77.2, or 1859.77.3, as appropriate. The district's approved housing plan is as indicated (check all that apply):
 - 1. The district will construct or acquire facilities for housing the pupils with funding not otherwise available to the SFP as a district match within five years of project approval by the SAB and the district must identify the source of the funds. [Applicable for Sections 1859.77.2(a) and (b) and 1859.77.3(a) and (b)]
 - 2. The district will utilize higher district loading standards providing the loading standards are within the approved district's teacher contract and do not exceed 33:1 per classroom. [Applicable for Sections 1859.77.2(a) and (b) and 1859.77.3(a) and (b)]
- 3. The pupils requested from a different grade level will be housed in classrooms at an existing school in the district which will have its grade level changed, to the grade level requested, at the completion of the proposed SFP project. [Applicable for Sections 1859.77.2(b) and 1859.77.3(b)]
- If the district requested additional funding for fire code requirements pursuant to Sections 1859.71.2 or 1859.78.4, the district will include the automatic fire detection/alarm system and/or automatic sprinkler system in the project prior to completion of the project; and,
- **If this request is for a Large New Construction Project or a Large Modernization Project,** The district has consulted with the career technical advisory committee established pursuant to Education Code Section 8070 and **it has considered** the need for vocational and career technical facilities **is being to** adequately **met meet its program needs** in accordance with Education Code Sections 51224, 51225.3(b), **51228(b)** and 52336.1; and,
- If the district is requesting an Additional Grant for Energy Efficiency pursuant to Sections 1859.71.3 or 1859.78.5, the increased costs for the energy efficiency components in the project exceeds the amount of funding otherwise available to the district; and,
- If this application is submitted after January 1, 2004 for modernization funding, the district has considered the potential for the presence of lead-containing materials in the modernization project and will follow all relevant federal, state, and local standards for the management of any identified lead; and
- The district has or will initiate and enforce a Labor Compliance Program that has been approved by the Department of Industrial Relations, pursuant to Labor Code Section 1771.7, if the project is funded from Propositions 47 or 55 and the Notice to Proceed for the construction phase of the project is issued on or after April 1, 2003; and,
- Beginning with the 2005/2006 fiscal year, the district has complied with Education Code Section 17070.75(e) by establishing a facilities inspection system to ensure that each of its schools is maintained in good repair; and
- If this application is submitted pursuant to Section 1859.93.2, the district certifies that is has an academic reform strategy scored by the CDE, and is available at the district office for OPSC verification; and,
- If this application is submitted pursuant to Section 1859.93.2, the district certifies the enrollment at the Small High School will not exceed 500 pupils for a minimum of two complete school years after the Occupancy of the last Small High School funded, as outlined in Section 1859.104(e)(2); and,
- If this application is submitted pursuant to Section 1859.78.9, the district certifies the enrollment at the resulting Small High Schools will not exceed 500 pupils for a minimum of two complete school years after the Occupancy of the Small High Schools; and,
- If this application is submitted pursuant to Section 1859.78.9 or Section 1859.93.2, the district certifies that is will meet all reporting requirements as specified in Section 1859.104(e)(1) and/or (2).

**FUND RELEASE AUTHORIZATION
SCHOOL FACILITY PROGRAM****GENERAL INSTRUCTIONS****(Refer to Title 2, California Code of Regulations, Sections 1859.90 and 1859.91)**

After a School Facility Program (SFP) grant has been funded by the Board, the Office of Public School Construction (OPSC) will release the apportioned funds with the exception of design funds, to the appropriate county treasury once the district has completed and submitted this form to the OPSC. Design funds will automatically be released to the district within 30 days of the apportionment, with the exception of Preliminary Apportionments.

- Check the boxes in Part I if the district has current financial hardship status pursuant to Section 1859.81 and is requesting release of Preliminary Apportionment funds for design, engineering, and other preconstruction project costs. Attach to this form the CDE Letter pursuant to Section 1859.149(a)(2).
- Check the boxes in Part II if the Charter School is requesting a release of a Preliminary Charter School Apportionment for design and/or separate site apportionment pursuant to Section 1859.164.2.
- Check the box in Part III, for release of a separate site apportionment provided pursuant to Sections 1859.74.4, 1859.75.1 or 1859.81.1 or for release of Preliminary Apportionment site only acquisition pursuant to 1859.153(b) or (c).
- Check the box(es) in Part IV for release of new construction or modernization funds and enter the appropriate dates:
 - a. Issue date of the Notice to Proceed for the construction phase of the project; and,
 - b. Signature date of the initial construction contract entered into by the district for this project.
- Check the boxes in Part V if the district is requesting a separate release of site acquisition funds as part of a new construction project.
- Check the boxes in Part VI if the district is requesting release of joint-use project funds.
- Check the appropriate box(es) in Part VII that identify the district funding sources that have or will be used for the district's share of the project.
- [Check the appropriate box\(es\) in Part VIII if the district is requesting a release of Career Technical Education Facilities funds.](#)
- Check the appropriate box in Part ~~IX~~ that identifies the construction delivery method that the district utilized for this project.

For the purposes of completing this form to obtain a fund release for a Final Charter School Apportionment, a charter school shall be treated as a school district.

[For the purposes of completing this form to obtain a fund release for a Final Career Technical Education Facilities Apportionment, a joint powers authority shall be treated as a school district.](#)

DRAFT

SCHOOL DISTRICT	APPLICATION NUMBER
SCHOOL NAME	FIVE-DIGIT DISTRICT CODE NUMBER (SEE CALIFORNIA PUBLIC SCHOOL DIRECTORY)
COUNTY	HIGH SCHOOL ATTENDANCE AREA (HSAA) (IF APPLICABLE)

Part I. Preliminary Apportionment—Design Only

- The district certifies it has complied with Section 1859.149(a).
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- The district certifies that it currently has Financial Hardship status under the provisions of Section 1859.81.

Part II. Preliminary Charter School Apportionment

A. Design Only

Pursuant to Section 1859.164.2(a), must be able to check all boxes:

- The Charter School certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the Charter School for the project
 - will be expended by the Charter School prior to the Notice of Completion for the project
- The Charter School certifies it has current financial soundness status from the California School Finance Authority.

B. Separate Site Apportionment

Pursuant to Section 1859.164.2(b), must be able to check all boxes:

- Release site acquisition funds. The Charter School certifies the funds are needed to place on deposit in order to secure the site acquisition.
- The Charter School certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the Charter School for the project
 - will be expended by the Charter School prior to the Notice of Completion for the project
- The Charter School certifies it has current financial soundness status from the California School Finance Authority.

Part III. Separate Site Apportionment

- RA on additions to existing school sites pursuant to Section 1859.74.4.

Pursuant to Sections 1859.75.1 or 1859.81.1, district must be able to check both boxes:

- Release Site Acquisition Funds. The district certifies the funds are needed to place on deposit in order to secure the site acquisition.
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project

Part IV. New Construction/Modernization

District/Charter School must be able to check **all both** boxes:

- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- The district certifies it has entered into a binding contract(s) for at least 50 percent of the construction included in the plans applicable to the state funded project), and has issued the Notice to Proceed on _____ for that contract signed on _____.
- If the district certified compliance with Education Code Section 17070.955 on its Application for Funding (Form SAB 50-04) and if it was not previously sent with the Form SAB 50-04, then the district must submit written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3(b), 51228(b), and 52236.1.

The amount of State funds released for new construction shall be 100 percent of the total SFP New Construction Adjusted Grant, less any site acquisition funds previously released in Part III.

The amount of State funds released for modernization shall be 100 percent of the SFP Modernization Adjusted Grant.

Part V. New Construction—Site Acquisition Only

District must be able to check both boxes:

- The district certifies it has entered escrow for the site (attach copy of escrow instructions).
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project

The amount of State funds released shall be equal to the additional grant provided for site acquisition.

Part VI. Joint-Use Projects

- The district certifies that the joint-use partners' financial contribution has either:
 - been received and deposited in the County School Facility Fund
 - has been received and expended by the district
 - will be received and expended by the district prior to the Notice of Completion for the project
- The district certifies it has entered into a binding contract(s) for at least 50 percent of the construction included in the plans applicable to the state funded project.

The amount of State funds released for new construction shall be 100 percent of the Joint-Use Grant.

FUND RELEASE AUTHORIZATION
SCHOOL FACILITY PROGRAM

Part VII. Identify District and Joint-Use Partners' Funding Sources

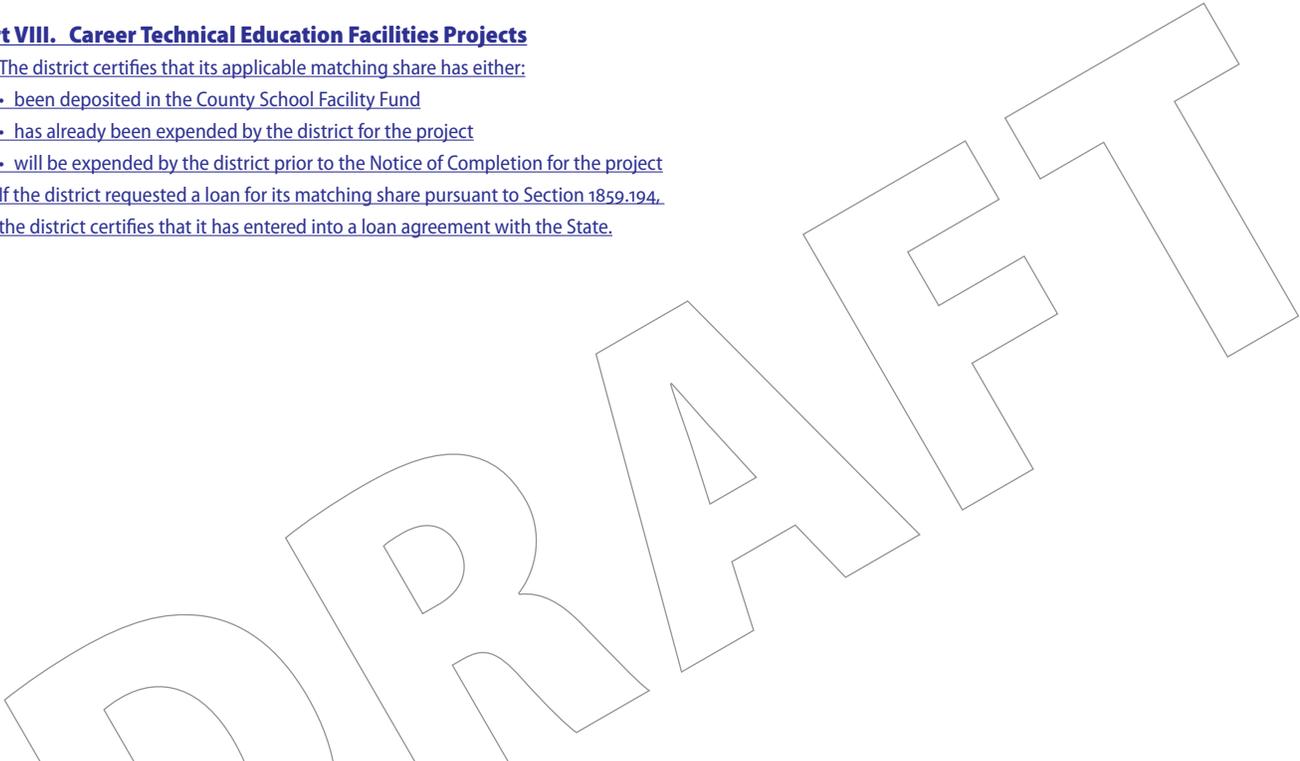
- Available bond funds such as general obligation, or Mello-Roos.
- Available developer fees, proceeds from the sale of surplus property, or federal grants.
- Other funds available (identify)
- Funds already expended by the district for the project.
- Funds already expended by the joint-use partners for the project.
- Future revenue sources to be used for the project (identify)

Part ~~IX~~VIII. Identify District's Construction Delivery Method

- Design-Bid-Build
- Design-Build
- Developer Built
- Lease Lease-Back
- Energy Performance Contract
- Other: _____

Part VIII. Career Technical Education Facilities Projects

- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- If the district requested a loan for its matching share pursuant to Section 1859.194, the district certifies that it has entered into a loan agreement with the State.



I certify, as the District Representative, that the information reported on this form is true and correct and that:

- The site where buildings will be modernized must comply with Education Code Sections 17212, 17212.5, and 17213; and,
- The grant amount provided by the State, combined with local matching funds or the Joint-Use Partner's financial contribution, are sufficient to complete the school construction project, unless the request is for a separate site and/or design apportionment; and,
- The district has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
- This project for which the grant amount is provided complies with Education Code Sections 17070.50 and 17072.30; and,
- The district shall certify at the time of a fund release for the project that it complies with Section 1859.90.1.
- This form is an exact duplicate (verbatim) of the form provided by the Office of Public School Construction (OPSC). In the event a conflict should exist, then the language in the OPSC form will prevail; and,
- The district has or will initiate and enforce a Labor Compliance Program that has been approved by the Department of Industrial Relations, pursuant to Labor Code Section 1771.7, if the project is funded from Propositions 47 or 55 and the Notice to Proceed for the construction phase of the project is issued on or after April 1, 2003.

SIGNATURE OF DISTRICT REPRESENTATIVE	DATE
--------------------------------------	------

GENERAL INFORMATION

This form is to be used by a school district/joint powers authority (JPA) to request a Career Technical Education Facilities Program (CTEFP) grant. Requests for funding may be made as follows:

New Construction or Modernization project pursuant to Regulation Section 1859.190. The following documents must be submitted with this form for purposes of this apportionment:

- California Department of Education's (CDE) Career Technical Education (CTE) Plan Approval letter.
- A copy of the submitted CTEFP application that complies with the requirements of Education Code Section 17078.72.

- If applicable, Plan Approval letter from the California Department of Education (CDE).
- If applicable, Plans and Specifications (P&S) for the project that were approved by the Division of the State Architect (DSA). Submittal of plans may be on CD-ROM or "Zip Drive" readable in AutoCAD 14. The specifications may be provided on a diskette that is IBM compatible.
- Cost estimate of proposed site development, if requesting site development funding.
- If requesting a loan, the applicant must attach the completed CTEFP Funding Availability Worksheet.

SPECIFIC INSTRUCTIONS

A Project Tracking Number must be assigned by the applicant for all applications submitted to the OPSC, the DSA, or the CDE. This number may be obtained from the OPSC Web site at www.opsc.dgs.ca.gov or the DSA or the CDE Web sites under "Project Tracking Number Generator."

1. Type of Application

Check the box that indicates the type of CTEFP funding requested. Refer to Section 1859.192 for the eligibility criteria.

2. CTE Industry Sector and Pathway

Enter the name of the Industry Sector and Pathway.

3. Reservation of Funds

Check the box "Yes" if requesting a reservation of funds pursuant to Section 1859.193(d). Otherwise, check the box "No."

4. Loan Request

Check the box "Yes" if requesting a loan pursuant to Section 1859.194. Otherwise, check the box "No."

5. Qualifying SFP Project Application Number

If the request is for a CTEFP Project which will be part of a qualifying SFP project, indicate the SFP application number or the project tracking number of the qualifying SFP project. Enter the number of CTE classrooms specified in the CDE's CTE Application. Refer to Section 1859.193.1.

6. CDE Application Overall Score

Enter the score from the CDE's CTE plan approval letter for this project. Divide the Overall Score by the Maximum Points Possible and multiply by 100 to get the Overall Score Percentage. (The applicant must have received a score of at least 105 points, as determined by the CDE.)

7. CTE Facility Square Footage

Enter the total eligible square footage of the CTE Facility.

8. Eligible Costs

- Enter the construction cost if constructing new or reconfiguring an existing building.
- Enter the equipment cost pursuant to Education Code Section 17078.72(a).
- If the request is for a CTEFP Project which is not a part of a qualifying SFP project, enter 50 percent of the site development costs that meet the requirements of Section 1859.193.

9. Cost Per Square Footage

Enter the cost per square footage by dividing the total eligible costs in Item 8 by the CTE facility square footage noted in Item 7.

10. Project Assistance

Check the box if the district is requesting project assistance pursuant to Section 1859.73.1. This grant is available only to a new construction or modernization CTE project that is not part of a qualifying SFP New Construction or Modernization project.

11. Project Progress Dates

Enter the following project progress dates:

- Date the initial construction contract was signed for this project. If a construction contract has not been executed, enter N/A.
- Issue date of the Notice of Proceed for the construction phase of the project, or enter N/A if a Notice to Proceed has not been issued.

12. Priority Order

Enter the funding priority of this application in relation to other applications for Career Technical Education Facilities Funding submitted on the same date.

The school district/JPA named below applies to the State Allocation Board via the Office of Public School Construction for a grant under the provisions of Article 13, Chapter 12.5, Part 10, Division 1, commencing with Section 17078.70, et seq, of the Education Code and the Regulations thereto.

SCHOOL DISTRICT/JOINT POWERS AUTHORITY		APPLICATION NUMBER
SCHOOL NAME		PROJECT TRACKING NUMBER
COUNTY	E-MAIL ADDRESS	HIGH SCHOOL ATTENDANCE AREA (HSAA) (IF APPLICABLE)

1. Type of Application—Check Only One

- New Construction Project—construct new school buildings and/or equipment
- Modernization Project—reconfigure existing school buildings and/or equipment

2. CTE Industry Sector and Pathway:

3. Reservation of Funds:

- Yes No

4. Loan Request:

- Yes No

5. Qualifying SFP Project Application Number

Application Number: # _____
 Project Tracking Number: # _____
 Number of CTE Classrooms in the CTE Application: _____

6. CDE Application Overall Score:

Maximum Points Possible: _____
 Overall Score Percentage: 165 %

7. CTE Facility Square Footage:

8. Eligible Costs

a. Construction: \$ _____
 b. Equipment: \$ _____
 c. Site Development: \$ _____
 Total (a+b+c): \$ _____

9. Cost Per Square Footage:

\$ _____

10. Project Assistance

- CTE Project Only—not part of a qualifying SFP project

11. Project Progress Dates

a. Construction Contract signed on: _____
 b. Notice to Proceed issued on: _____

12. Priority Order:

13. Certification

I certify, as the Representative for the School District or JPA, that the information reported on this form is true and correct and that I am the authorized representative of the District or JPA as authorized by the governing board of the district or JPA; and,

- A resolution or other appropriate documentation supporting this application under Article 13, Chapter 12.5, Part 10, Division 1, commencing with Section 17078.70, et. seq., of the Education Code was adopted by the applicant's Governing board on _____; and,
- The district has established a "Restricted Maintenance Account" for the exclusive purpose of providing ongoing and major maintenance of school buildings and has developed an ongoing and major maintenance plan that complies with and is implemented under the provisions of Education Code Sections 17070.75 and 17070.77 (refer to Sections 1859.100 through 1859.102); if the applicant is a joint powers authority that is not required to establish a "Restricted Maintenance Account" under the provisions of Education Code Section 17070.75, the applicant certifies that it can maintain its facilities with a lesser annual deposit (refer to Section 1859.101); and,
- The matching funds required pursuant to Section 1859.194 has either been received and expended by the district, deposited in the County School Facility Fund or will be received and expended by the district prior to the notice of completion for the project; and,
- The participant has or will receive the necessary approval of the plans and specifications from the Division of the State Architect; and,
- The participant has or will receive the necessary approval of the plans and specifications from the CDE; and,
- The participant has complied with the provisions of Section 1859.76 and that the portion of the project funded by the State does not contain work specifically prohibited in that section; and,
- If the SFP grants will be used for a CTEFP project on school facilities on leased land, the participant has entered into a lease agreement for the leased property that meets the requirements of Section 1859.22; and,
- The participant has complied with the CTEFP eligibility criteria as outlined in Section 1859.192; and,
- The participant will comply with all laws pertaining to the construction of its CTE school building; and,
- The participant understands that funds not released within 18 months of apportionment shall be rescinded and the application shall be denied (refer to Section 1859.197); and,

**APPLICATION FOR CAREER TECHNICAL EDUCATION FACILITIES FUNDING
SCHOOL FACILITY PROGRAM**

- The participant understands that by reserving funds, the applicant must submit the necessary approvals and/or Plans and Specifications within one year of apportionment; otherwise the funds will be rescinded without further Board action (refer to Section 1859.197); and,
- The participant understands that the lack of substantial progress within 18 months of receipt of any funding shall be cause for the rescission of the unexpended funds (refer to Section 1859.198); and,
- The participant understands that some or all of the State funding for the project must be returned to the State as a result of an audit pursuant to Sections 1859.105 and 1859.106, and that the portion of the project funded by the State does not contain work specifically prohibited; and,
- All contracts for the service of any architect structural engineer or other design professional for any work under the project have been obtained pursuant to a competitive process that is consistent with the requirements of Chapter 10 (commencing with Section 4525) of Division 5, of Title 1, of the Government Code; and,
- The participant has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
- If this request is for modernization of CTE facilities, contracts for construction and/or equipment were executed on or after May 20, 2006; and,
- If this request is for new construction projects, the CTE classrooms constructed were not occupied prior to May 20, 2006; and,
- If the applicant is requesting a loan for the matching share, a CTEFP Loan Agreement will be executed pursuant to the requirements in Section 1859.194; and,
- The applicant has or will comply with Education Code Section 17076.11 regarding at least a 3 percent expenditure goal for disabled veteran business enterprises; and,
- This form is an exact duplicate (verbatim) of the form provided by the OPSC. In the event a conflict should exist, the language in the OPSC form will prevail; and,
- The statements set forth in this application and supporting documents are true and correct to the best of my knowledge and belief.

SIGNATURE OF DISTRICT OR JPA REPRESENTATIVE		DATE
NAME OF DISTRICT OR JPA REPRESENTATIVE (PRINT)	TITLE	TELEPHONE

