



Pre-State Allocation Board Forum

August 22, 2012



Office of
Public School Construction



Division of the
State Architect



California Department of Education



Division of the
State Architect

CALIFORNIA DEPARTMENT OF GENERAL SERVICES

State Allocation Board Meeting August 22, 2012

Pre-Meeting: DSA Updates

Project Inspection: Policy Updates

DSA IR A-8 updated on Aug 9, 2012, Project Inspector & Assistant Inspector Duties & Performance:

- Clarifies project inspector's Job File content
- Outlines DSA oversight role
- Includes new Job File Review Checklist



Project Inspection: Policy Updates

Background Information:

- Field Act requires continuous inspection for each school construction project.
- DSA certifies project inspectors and approves each inspector for specific projects.
- School district hires a project inspector prior to start of construction.



Project Inspection: Policy Updates

Background Information (cont.):

- Project inspector observes and documents construction activities for compliance with DSA approved construction documents.
- DSA regulations require project inspector to maintain a job file with all documents.
- At the conclusion of project, the job file shall be transferred to the school district to be made part of permanent school records.



Job File Review

- Review PI's record keeping.
- Document how code-prescribed procedures are being followed.
- Communicate Job File Review findings consistently statewide.
- Address project documentation and other issues during construction to facilitate project certification.



Job File Review Checklist: DSA Form 119



**Division of the
State Architect**
CALIFORNIA DEPARTMENT OF GENERAL SERVICES

FORM
DSA-119
Issued 08/12

JOB FILE REVIEW CHECKLIST

DSA File No.: _____
DSA App. No.: _____

Date of visit: _____ Name of DSA Field Engineer: _____

Project Name: _____ Project Class: _____

Inspector Name: _____ E-mail: _____

DSA Field Engineer shall complete a job file review on each visit made to Class 1 and 2 school district or community college construction projects to review completeness of project inspector's record-keeping. Field Engineer shall provide a copy to the Project Inspector and design professional in general responsible charge after each site visit, and shall file an electronic copy in DSA project records.

Complete information on all items listed below as they are maintained in the Inspector Job File. The Job File items may be maintained in paper (i.e. hard copy) and/or electronic format. Mark the Status box with one of the following:
NA = Not Applicable C = Complete N = Not Complete (describe in Comments field)

Item #	STATUS	Item Description	COMMENTS
1		DSA approved plans & specifications (printed copy)	
2		DSA approved Testing & Inspection List (DSA-103)	
3		Deferred submittals as required by DSA approved plans (printed copy)	
4		Addenda, change orders	
5		Construction change documents & log	
6		Contractor submittals (construction schedule, shop drawings, certificates, product labels, concrete trip tickets, etc.)	
7		Communication log (all communications (RFIs, responses to RFIs, etc.) & project related meeting minutes/notes)	
8		Deviation notices, as delivered to architect & contractor; log listing all notices with resolution status	
9		Evidence of continuous inspection by project inspector, such as daily inspection reports	
10		Material testing & special inspection reports	
11		Completed semi-monthly reports	
12		DSA field trip notes from prior visits, copied to architect; documentation pertaining to resolution of items	
13		Applicable codes (Title 24) & standards	

DSA-119 (iss 08-09-12)
Job File Review Checklist

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Job File Review Checklist: DSA Form 119



FORM
DSA-119
Issued 08/12

JOB FILE CONTENT REQUIREMENTS

1. **DSA approved plans & specifications:** The job file should include a printed copy of the DSA approved plans and specifications, which shall be maintained current with changes made after DSA approval (i.e. stamp-out).
2. **DSA approved Testing & Inspection List (DSA-103):** The job file should include a copy of the form DSA-103, approved Testing and Inspection List, which should be updated for any changes in the testing program during construction.
3. **Deferred submittals as required by DSA approved plans:** The job file should include printed copies of deferred submittals as required by the DSA approved plans. Deferred submittals should be reviewed and approved by DSA prior to work being performed. Lack of DSA approval(s) may result in an issuance of a Field Trip Note comment by DSA.
4. **Addenda, change orders:** Copies of addenda and change orders should be organized and readily available. Lack of DSA approval(s) may result in an issuance of a Field Trip Note comment by DSA.
5. **Construction change documents & log:** A file with a copy of each issued change document should be organized and readily available. Construction change documents should be recorded in a log.
6. **Contractor submittals (construction schedule, shop drawings, materials certificates, product labels, concrete trip tickets, etc., as required by DSA approved specifications):** The shop drawings should indicate review by the design professional in general responsible charge (architect) and be made available to the PI prior to work being performed. The job file should contain product labels, trip tickets, or other evidence of the brand and quality of materials.
7. **Communication log [all communications (RFIs, responses to RFIs) & project related meeting minutes/notes]:** The project inspector shall maintain an up-to-date file of all communications with the design professionals, contractors, DSA representatives, and other persons involved in the project.
8. **Deviation notices, as delivered to architect & contractor; log listing all notices with resolution status:** The job file should contain a copy of each deviation notice issued by project inspector. The project inspector shall maintain a log of deviation notices including the date of issuance, indication of delivery to architect and contractor, and a resolution status for each deviation notice issued.
9. **Evidence of continuous inspection, such as inspector daily reports:** The project inspector shall maintain daily inspection reports or other comprehensive inspection records to demonstrate actual personal knowledge of the work of construction in all stages of its progress.
10. **Material testing & special inspection reports:** The job file should contain a comprehensive list of structural testing and special inspection reports required per DSA-103 and construction documents, including any changes to Testing & Inspection Program made during construction. The job file should contain copies of all material testing and special inspection reports as required. The project inspector should identify and track those reports that indicate non-compliance. The job file should contain a copy of a DSA approved form DSA-5 for masonry and/or shotcrete special inspectors as applicable to the project.
11. **Completed semi-monthly reports:** The job file should contain copies of project inspector's semi-monthly reports made on the 1st and 16th of every month. The reports shall contain the following:
 - the name of the school district, school site, building (if applicable);
 - DSA file and application numbers;
 - list of official visitors to the project and whom they represent;
 - a brief statement of the work done during the period preceding the report;
 - instructions received from the architect or registered engineer during the period covered by the report;
 - pertinent information regarding any unusual conditions or questions that may have arisen at the job;
 - description of problems or non-complying conditions which have occurred on the project and how they were resolved or brought into compliance.
12. **DSA field trip notes from prior visits, copied to architect; documentation pertaining to resolution of items:** The job file should contain copies of DSA Field Engineer field trip notes and evidence that the trip notes have been distributed to the architect. The job file should include documentation that reconciles and/or resolved DSA field trip note items that required action.
13. **Applicable codes and referenced standards:** A copy of Title 24 should be available in the project inspector workspace. The project inspector should have access to applicable referenced standards, as needed for particular project inspection activity.



Job File Review

- The Job File Review is conducted by DSA field staff at the site visit.
- Project inspector is expected to efficiently demonstrate his/her file keeping to DSA field staff.
- DSA staff transmits the completed checklist to the inspector and architect by email after the site visit.
- DSA notes any issues from Job File Review requiring action on the Field Trip Note. No change to the FTN distribution procedure (project inspector typically distributes to the architect).



Job File Review: Summary

- Clarify documentation requirements
- Facilitate resolution of issues during construction
- Contribute to timely project certification



DSA Regulation Proposals

DSA Submittal to BSC for 2013 edition codes:

- Part 1 - California Building Standards Administrative Code
- Part 2 - California Building Code
- Part 3 - California Electrical Code
- Part 4 - California Mechanical Code
- Part 5 - California Plumbing Code
- Part 11 - California Green Building Standards Code (CALGreen Code)



DSA Regulations Development

PROPOSAL HIGHLIGHTS (Title 24, Part 1):

- Consolidation of testing & special inspection reporting
- Strengthening project inspector certification criteria
- Implementing project inspector replacement process



DSA Regulations Proposals

BSC Code Advisory Committees:

Recommended approval of Parts 1-5, as submitted

45-Day Public Comment Period:

Parts 1 & 2: August 31 to October 15

Parts 3, 4, 5: August 24 to October 8

To view or comment:

Visit the BSC web site (www.bsc.ca.gov)



Bin Status as of August 3, 2012

Structural Bin Times (weeks)

Region	Category		
	1	2	3
Oakland	2.1	-	4.6
Sacramento	-	-	2.4
Los Angeles	-	-	6.3
San Diego	1.4	-	6.3



Bin Status as of August 3, 2012

Fire & Life Safety (weeks)

Region	Category		
	1	2	3
Oakland	-	-	1.0
Sacramento	6.5	-	5.5
Los Angeles	4.0	5.0	6.0
San Diego	4.0	4.0	6.0



Bin Status as of August 3, 2012

Access Bin Times (weeks)

Region	Category		
	1	2	3
Oakland	-	1.3	-
Sacramento	6.5	-	2.2
Los Angeles	4.0	5.0	6.0
San Diego	4.0	4.0	6.0





Division of the
State Architect

CALIFORNIA DEPARTMENT OF GENERAL SERVICES

THANK YOU

DGS

GENERAL SERVICES

Excellence in the Business of Government



Office of Public School Construction

August State Allocation Board Meeting Overview

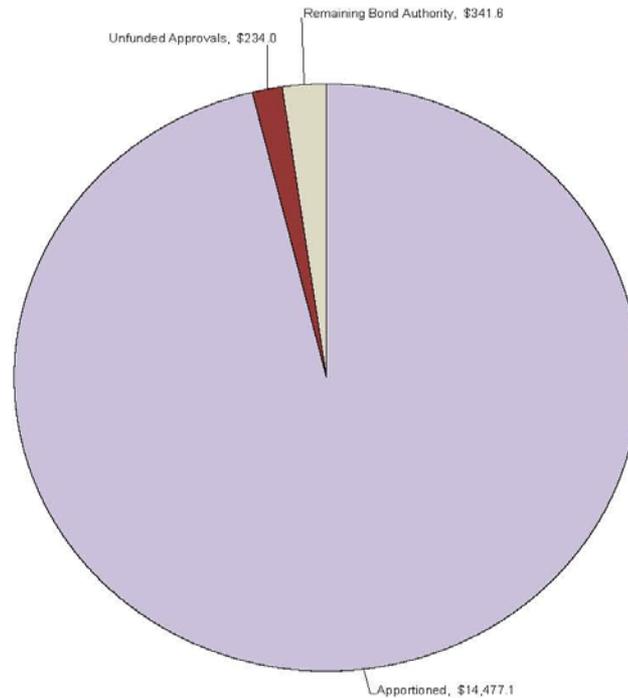
School Facility Program Funds Available

(in millions)	June. 2012	July. 2012
April 2012 Bond Sale	599.0	417.2
Oct 2011 Bond Sale	41.6	33.0
Nov 2010 Bond Sale	10.3	9.2
March 2010 Bond Sale	33.7	25.5
Nov/Dec 2009 Bond Sales	2.8	1.5
Oct/Nov 2009 Bond Sales	18.7	5.9
April 2009 Bond Sale	2.5	2.5
Total Funds Available	708.6	494.8

New Construction Bond Authority

Propositions 1D, 55 & 47 New Construction Bond Authority - \$15.053 billion*

(in millions)



New Construction Totals		
Prop 1D	\$ 1,668.0	
<i>Seismic Repair</i>	\$ 4.7	
Prop 55	\$ 5,797.4	
Prop 47	\$ 7,007.0	
Apportioned	\$ 14,477.1	96.2%
Prop 1D	\$ 7.8	
<i>Seismic Repair</i>	\$ -	
Prop 55	\$ 216.3	
Prop 47	\$ 9.9	
Unfunded Approvals	\$ 234.0	1.6%
Prop 1D	\$ 24.7	
<i>Seismic Repair</i>	\$ 194.8	
Prop 55**	\$ 120.9	
Prop 47	\$ 1.2	
Remaining Bond Authority	\$ 341.6	2.3%
Grand Total	\$ 15,052.7	100.0%

Agenda Highlights

Request Final Adoption of The Methods For Accepting School Facility Program Applications Once Bond Authority Has Been Exhausted

- To present to the State Allocation Board (Board) methods and/or options concerning the accepting, processing, and tracking of School Facility applications once bond authority has been exhausted

Agenda Highlights

Options for Administrative Costs

- To present the Board with options in order to reserve available bond funds for administering the Board's programs

Agenda Highlights

State Allocation Board Military Base/Department of Defense Sub-Committee

- To present the Board with recommendations from the June 12, 2012 State Allocation Board Military Base/Department of Defense Sub-Committee



Elements of a Complete Application

Application Requirements for School Facilities Funding

Current Application Processing

Currently, applications that are received beyond authority are:

- Accepted and placed in order according to date received stamp
- Stored with all submitted documents plans and specifications

Upon processing for the Board:

- All applications will go through the standard review process (explained in the following slides)

Application Review Process

**Receive
Application**

**ART Intake
Review**

Prepare a
“complete
application”
Package

**Application
Review**

Project
Management
Site Development
Real Estate
Dwelling Units

**Board
Approval**

Application Review Team

Assists districts in submitting a “complete application package”

- Reviews all required elements necessary for processing
- Review completed within 3-5 business days
- Provides 24 hour courtesy written request for missing elements

Intake Review

For Incomplete Application Packages:

- District notified within 1-2 business days
- 24 hour courtesy request for missing or inadequate documents
 - Application is held until all required documents are received and deemed acceptable
 - If district is not able to provide the documents - the application is deemed “incomplete,” and package is returned to district

Intake Review

For Complete Application Packages:

- “OPSC received date” based on the date stamp
- Initial acceptance letter sent to district
- Application package forwarded for review by analyst(s):
 - Program – Project Management
 - Site Development – Plan Verification Team
 - Real Estate – Real Estate Team
 - Dwelling Units – Application Review Team

District Representative Update

Documents to submit:

- *Eligibility Determination* (Form SAB 50-03) Part 1 only
- Governing body's resolution date in district certification
- Copy of school board meeting minutes or resolution
- Form SAB 50-03 is not needed for change of a Superintendent

Application for Funding (Form SAB 50-04)

For New Construction and Modernization:

- Must be most current version available on forms page of OPSC website
- All signatures must be original “wet” signatures
- Certifications on page 8 of 9 must be filled out
- Appropriate approval and governing body resolution dates filled out

Application for Funding (Form SAB 50-04)

For New Construction and Modernization (cont.):

- One CDE approved school site per application
- Complete and legible specifications with signed DSA approval stamps
- Complete and legible DSA approved plans that are either:
 - Full-sized hard copy plans with signed DSA approval stamps; or
 - Electronic plans in AutoCAD® (format with a copy of DSA signed stamp from approved plans)

Application for Funding (Form SAB 50-04)

For New Construction and Modernization (cont.):

- CDE letter indicating final plan approval
 - Dated no more than two years prior to application received date
- DSA letter indicating final plan approval
 - Dated no more than one year prior (or with an extension stamp) to either:
 - Application received date; or
 - Date that district entered into the construction contract

Application for Funding (Form SAB 50-04)

For New Construction and Modernization (cont.):

- All applications must meet Education Code Section 17070.955 requirements
 - As applicable, either letter or board meeting minutes from district's Career Technical Education Advisory Committee (CTEAC)
- For Financial Hardship applications only:
 - OPSC financial hardship approval letter - expiration date no later than received date (Accept only final approval letter which is valid for 6 months)

Application for Funding (Form SAB 50-04)

For New Construction Only:

- Detailed Site Development cost estimate
 - Lump sums and schedules of value are not permitted
 - Include all related documents for special district fees
 - Site Development worksheet for additional grants available on Forms page of OPSC website
- Geotechnical or Soil reports

Application for Funding (Form SAB 50-04)

For Modernization Only:

- 50 years or older building funding requests
 - Site diagram or plan indicating age of buildings and approximate square footage of all buildings on site
 - If 60% utilities funding is requested, then submit a separate cost estimate for utilities
- Excessive Cost Hardship funding for Accessibility/
Fire Code requests
 - DSA approved Fire Life & Safety or Accessibility Checklist (60% Option)

Application for Funding (Form SAB 50-04)

For Modernization Only (cont.):

- Detailed cost estimate
 - Itemization of quantities and cost
 - All direct construction costs summarized into cost breakdown

Questions



Resources

The Application Review Team

Tasha Brennan (Sup)	tasha.brennan@dgs.ca.gov	(916) 375-4138
Adrian Felseghi	adrian.felseghi@dgs.ca.gov	(916) 375-5987
Liz Cheyne	liz.cheyne@dgs.ca.gov	(916) 375-4325
Charles Price	charles.price@dgs.ca.gov	(916) 375-4112

Links to Online Resources

OPSC Website: www.dgs.ca.gov/opsc

Forms: <http://www.dgs.ca.gov/opsc/Forms.aspx>

[New Construction Application Submittal Requirements \(pdf\)](#)

[Modernization Application Submittal Requirements \(pdf\)](#)

[Architect's Submittal Guidelines \(pdf\)](#)



Guidelines for Completing the *Fund Release Authorization* (Form SAB 50-05)

Fund Release Authorization

- After receiving an apportionment from the State Allocation Board (SAB) the school districts are required to submit a *Fund Release Authorization* (Form SAB 50-05) within the time limit stated on the board item.
- Accounting receives the Form SAB 50-05 and reviews the form and the backup documents before processing the Fund Release.

Types Of Apportionments

- New Construction/Modernization
- Preliminary
- Charter School
- Career Technical Education
- Joint-Use
- Overcrowded Relief Grant

*Design/Close-outs are automatically released.

Tips for Filling Out Form SAB 50-05

- Use most current Form SAB 50-05
- Completely fill out district information
- Incorrect Form SAB 50-05's will be returned to the district

Tips for Filling Out Form SAB 50-05

Example

STATE OF CALIFORNIA
FUND RELEASE AUTHORIZATION
SCHOOL FACILITY PROGRAM
SAB 50-05 (REV 12/11)

STATE ALLOCATION BOARD
OFFICE OF PUBLIC SCHOOL CONSTRUCTION

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SCHOOL DISTRICT OPSC Rules Unified	APPLICATION NUMBER 99/99999-00-999
SCHOOL NAME DGS High	FIVE-DIGIT DISTRICT CODE NUMBER (SEE CALIFORNIA PUBLIC SCHOOL DIRECTORY)
COUNTY Sacramento	HIGH SCHOOL ATTENDANCE AREA (HSAA) (IF APPLICABLE)

Part III. Separate Site Apportionment

- Used For Site Only Apportionment
- Check appropriate boxes

SCHOOL SITE	APPLICABLE NUMBER
SCHOOL NAME	FPS-DCP 202101100 NUMBER (S) CALIFORNIA PUBLIC SCHOOL DISTRICT
COUNTY	DCP SCHOOL DISTRICT AND STATE OF APPLICABLE

Part I. Preliminary Apportionment—Design Only

- The district certifies it has complied with Section 1859.1495(a).
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- The district certifies that it currently has Financial Hardship status under the provisions of Section 1859.81.

- has already been expended by the district for the project
- will be expended by the district prior to the Notice of Completion for the project

Part IV. Overcrowding Relief Grant - Advance Site Funds

Pursuant to Section 1859.384.3, districts that have received Financial Hardship approval that are acquiring sites through condemnation must be able to check all boxes:

- Release site acquisition funds. The district certifies the funds are needed to place on deposit in order to secure the site acquisition.
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- The district certifies that it will produce an order of prejudgment possession once obtained from the court, and prior to any additional fund releases for the project.

Part V. New Construction/Modernization/Charter School Rehabilitation

Districts/Charter School must be able to check all boxes:

- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- The district certifies it has entered into a binding contract(s) for _____ percent of the construction (must be at least 50 percent of the construction included in the planned specifications applicable to the state funded project), which received written ISA approval on _____ and has issued _____ Notice(s) to Proceed on _____ for that contract(s) awarded on _____
(If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)

- If the district certifies compliance with Education Code Sections 5201925 on its Application for Funding Form SAB 50-04 and if it was not previously sent with the Form SAB 50-04, then the district must submit written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 52021, 52023(b), 52026, and 52026.5.

The Charter School must also be able to check the following box:

- The Charter School certifies it has entered into the Charter School Agreements pursuant to Section 1859.164.2.

The amount of State funds released for new construction shall be 100 percent of the total SFP New Construction Adjusted Grant, less any site acquisition funds previously released in Part III.

The amount of State funds released for modernization shall be 100 percent of the SFP Modernization Adjusted Grant.

B. Separate Site Apportionment

- Pursuant to Section 1859.164.2(a), must be able to check all boxes:
- Release site acquisition funds. The Charter School certifies the funds are needed to place on deposit in order to secure the site acquisition.
 - The Charter School certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the Charter School for the project
 - will be expended by the Charter School prior to the Notice of Completion for the project
 - The Charter School certifies it has current financial soundness status from the California School Finance Authority.
 - The Charter School certifies it has entered into the Charter School Agreements pursuant to Section 1859.164.2.

Part III. Separate Site Apportionment

- RA on additions to existing school sites pursuant to Section 1859.74.4.
- Pursuant to Sections 1859.75.1 or 1859.81.1, district must be able to check both boxes:
 - Release site acquisition funds. The district certifies the funds are needed to place on deposit in order to secure the site acquisition.
 - The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund

Part III. Separate Site Apportionment

- RA on additions to existing school sites pursuant to Section 1859.74.4.

Pursuant to Sections 1859.75.1 or 1859.81.1, district must be able to check both boxes:

- Release site acquisition funds. The district certifies the funds are needed to place on deposit in order to secure the site acquisition.
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund

Site Acquisition Fill Out Part VI.

Part VI. New Construction—Site Acquisition Only

District must be able to check both boxes:

- The district certifies it has entered escrow for the site (attach copy of escrow instructions).
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project

The amount of State funds released shall be equal to the additional grant provided for site acquisition.

Part VI. New Construction—Site Acquisition Only

District must be able to check both boxes:

- The district certifies it has entered escrow for the site (attach copy of escrow instructions).
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project

The amount of State funds released shall be equal to the additional grant provided for site acquisition.

Part VII. Joint-Use Projects

- The district certifies that the Joint-Use Partner's financial contribution has either:
 - been received and deposited in the County School Facility Fund
 - has been received and expended by the district
 - will be received and expended by the district prior to the Notice of Completion for the project
- The district certifies it has entered into a binding contract(s) for _____ percent of the construction (must be at least 50 percent of the construction included in the plans and specifications applicable to the state funded project), and has issued the Notice to Proceed on _____ for that contract signed on _____.

The amount of State funds released for new construction shall be 100 percent of the Joint-Use Grant.

Part VIII. Identify District and Joint-Use Partners' Funding Sources

- Available bond funds such as general obligation, or Mello-Roos.
- Available developer fees, proceeds from the sale of surplus property or federal grants.
- Other funds available (identify) _____
- Funds already expended by the district for the project.
- Funds already expended by the Joint-Use Partners for the project.
- Future revenue sources to be used for the project (identify) _____

Part IX. Career Technical Education Facilities Projects

- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- If the district requested a loan for its matching share pursuant to Section 1859-104, the district certifies that it has entered into a loan agreement with the State.

Part X. Identify District's Construction Delivery Method

- Design-Bid-Build
- Design-Build
- Developer-Build
- Lease-Lease-Back
- Energy Performance Contract
- This project includes or will include payback contract(s), as defined in Section 18592
- Other: _____

I certify, as the District Representative, that the information reported on this form is true and correct and that:

- I am an authorized representative of the district as authorized by the governing board of the district; and
- The site where buildings will be modernized or rehabilitated must comply with Education Code Sections 17201, 17201.5, and 17201.6;
- The grant amount provided by the State, combined with local matching funds or the Joint-Use Partner's financial contribution, are sufficient to complete the school construction project, unless the request is for a separate site and/or design appointment; and,
- The district has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
- This project for which the grant amount is provided complies with Education Code Sections 17070.50 and 17070.50.6; and,
- The district shall certify at the time of a fund release for the project that it complies with Section 1859.045.
- This form is an exact duplicate (verbatim) of the form provided by the Office of Public School Construction (OPSC). In the event a conflict should exist, then the language in the OPSC form will prevail; and,
- If required by Labor Code Section 1771.2, the district has initiated and enforced a Labor Compliance Program that has been approved by the DRL.
- If required by Labor Code Section 1771.3(a), the district will contract with the DRL for the required Prevailing Wage Monitoring and Enforcement, or the requirement is waived pursuant to Labor Code Section 1771.3(b). The district understands that if it fails to meet this requirement, it will be required to repay all state bond funds received including interest.

NAME OF DISTRICT OR REPRESENTATIVE	DATE
NAME OF DISTRICT OR REPRESENTATIVE (PRINT)	SIGNATURE
EMAIL ADDRESS	TELEPHONE NUMBER

Part VII. Joint-Use Projects

- Check applicable boxes
- Contracts must be at least 50% of the construction in the plans and specifications
- Submitted contract documentation should include date of contract, dollar amount, and type of contract
- Dates must be filled out

Part VI. New Construction—Site Acquisition Only

District must be able to check both boxes:

- The district certifies it has entered escrow for the site (attach copy of escrow instructions).
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project

The amount of State funds released shall be equal to the additional grant provided for site acquisition.

Part VII. Joint-Use Projects

- The district certifies that the Joint-Use Partners' financial contribution has either:
 - been received and deposited in the County School Facility Fund
 - has been received and expended by the district
 - will be received and expended by the district prior to the Notice of Completion for the project
- The district certifies it has entered into a binding contract(s) for _____ percent of the construction (must be at least 50 percent of the construction included in the plans and specifications applicable to the state funded project), and has issued the Notice to Proceed on _____ for that contract signed on _____.

The amount of State funds released for new construction shall be 100 percent of the Joint-Use Grant.

Part VIII. Identify District and Joint-Use Partners' Funding Sources

- Available bond funds such as general obligation, or Mello-Roos.
- Available developer fees, proceeds from the sale of surplus property, or federal grants.
- Other funds available (identify) _____
- Funds already expended by the district for the project.
- Funds already expended by the Joint-Use Partners for the project.
- Future revenue sources to be used for the project (identify) _____

Part IX. Career Technical Education Facilities Projects

- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- If the district requested a loan for its matching share pursuant to Section 49229, the district certifies that it has entered into a loan agreement with the State.

Part X. Identify District's Construction Delivery Method

- Design-Bid-Build
- Design-Build
- Developer-Build
- Lease-Lease-Build
- Energy Performance Contract
- This project includes or will include piggyback contract(s), as defined in Section 18532
- Other: _____

Part VII. Joint-Use Projects

- The district certifies that the Joint-Use Partners' financial contribution has either:
 - been received and deposited in the County School Facility Fund
 - has been received and expended by the district
 - will be received and expended by the district prior to the Notice of Completion for the project
- The district certifies it has entered into a binding contract(s) for _____ percent of the construction (must be at least 50 percent of the construction included in the plans and specifications applicable to the state funded project), and has issued the Notice to Proceed on _____ for that contract signed on _____.

The amount of State funds released for new construction shall be 100 percent of the Joint-Use Grant.

I certify, as the District Representative, that the information reported on this form is true and correct and that:

- I am an authorized representative of the district as authorized by the governing board of the district; and
- The site where buildings will be modernized or rehabilitated must comply with Education Code Sections 1721, 1721.5, and 1721.6; and
- The grant amount provided by the State, combined with local matching funds or the Joint-Use Partner's financial contribution, are sufficient to complete the school construction project, unless the request is for a separate site and/or design appropriation; and,
- The district has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
- This project for which the grant amount is provided complies with Education Code Sections 1705.50 and 1705.50; and,
- The district shall certify at the time of a fund release for the project that it complies with Section 49205.
- This form is an exact duplicate (verbatim) of the form provided by the Office of Public School Construction (OPSC). In the event a conflict should exist, then the language in the OPSC form will prevail; and,
- If required by Labor Code Section 1771.2, the district has initiated and enforced a Labor Compliance Program that has been approved by the DRL.
- If required by Labor Code Section 1771.3(a), the district will contract with the DRL for the required Prevailing Wage Monitoring and Enforcement, or the requirement is waived pursuant to Labor Code Section 1771.3(b). The district understands that if it fails to meet this requirement, it will be required to repay all state bond funds received including interest.

NAME OF DISTRICT OR REPRESENTATIVE	DATE
NAME OF DISTRICT OR REPRESENTATIVE (PRINT)	TITLE
SIGNATURE	TELEPHONE NUMBER

Part V.

New Construction, Modernization, and Charter School Rehabilitation:

- Check applicable boxes
- Contracts must be at least 50% of the construction in the plans and specifications
- Submitted contract documentation should include date of contract, dollar amount, and type of contract
- Dates must be filled out

SCHOOL DISTRICT	APPLICABLE NUMBER
SCHOOL NAME	YES-NO/2012/11/05 NUMBER (S) CALIFORNIA PUBLIC SCHOOL DISTRICT
CITY	NO SCHOOL APPOINTMENT AREA (S) IF APPLICABLE

Part I. Preliminary Apportionment—Design Only

- The district certifies it has complied with Section 1809.149(a).
- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- The district certifies that it currently has Financial Hardship status under the provisions of Section 1809.81.

- has already been expended by the district for the project
- will be expended by the district prior to the Notice of Completion for the project

Part IV. Overcrowding Relief Grant - Advance Site Funds

- Pursuant to Section 1809.184.3, districts that have received Financial Hardship approval that are acquiring sites through condemnation must be able to check all boxes:
- Release site acquisition funds. The district certifies the funds are needed to place on deposit in order to secure the site acquisition.
 - The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
 - The district certifies that it will produce an order of prejudgment possession once obtained from the court, and prior to any additional fund releases for the project.

Part II. Preliminary Charter School Apportionment

A. Design Only

- Pursuant to Section 1809.164.2(a), must be able to check all boxes:
- The Charter School certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the Charter School for the project
 - will be expended by the Charter School prior to the Notice of Completion for the project
 - The Charter School certifies it has current financial soundness status from the California School Finance Authority.
 - The Charter School certifies it has entered into the Charter School Agreements pursuant to Section 1809.164.2.

B. Separate Site Apportionment

- Pursuant to Section 1809.164.2(b), must be able to check all boxes:
- Release site acquisition funds. The Charter School certifies the funds are needed to place on deposit in order to secure the site acquisition.
 - The Charter School certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the Charter School for the project
 - will be expended by the Charter School prior to the Notice of Completion for the project
 - The Charter School certifies it has current financial soundness status from the California School Finance Authority.
 - The Charter School certifies it has entered into the Charter School Agreements pursuant to Section 1809.164.2.

Part III. Separate Site Apportionment

- RA on additions to existing school sites pursuant to Section 1809.74.4.
- Pursuant to Sections 1809.95.1 or 1809.81.1, district must be able to check both boxes:
- Release site acquisition funds. The district certifies the funds are needed to place on deposit in order to secure the site acquisition.
 - The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund

Part V. New Construction/Modernization/Charter School Rehabilitation
 District/Charter School must be able to check all boxes:

- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- The district certifies it has entered into a binding contract(s) for _____ percent of the construction (must be at least 50 percent of the construction included in the plans and specifications applicable to the state funded project), which received written DSA approval on _____ and has issued the Notice(s) to Proceed on _____ for that contract(s) awarded on _____
 (If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)
- If the district certified compliance with Education Code Section 52079.955 on its Application for Funding (Form SAB 50-04) and if it was not previously sent with the Form SAB 50-04, then the district must submit written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 52074, 52075(b), 52080(a), and 52076.

The Charter School must also be able to check the following box:

- The Charter School certifies it has entered into the Charter School Agreements pursuant to Section 1809.164.2.

The amount of State funds released for new construction shall be 100 percent of the total SFP New Construction Adjusted Grant, less any site acquisition funds previously released in Part III.

The amount of State funds released for modernization shall be 100 percent of the SFP Modernization Adjusted Grant.

Part V. New Construction/Modernization/Charter School Rehabilitation

District/Charter School must be able to check all boxes:

- The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
- The district certifies it has entered into a binding contract(s) for _____ percent of the construction (must be at least 50 percent of the construction included in the plans and specifications applicable to the state funded project), which received written DSA approval on _____, and has issued the Notice(s) to Proceed on _____ for that contract(s) awarded on _____.

(If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)

- If the district certified compliance with Education Code Section 17070.955 on its Application for Funding (Form SAB 50-04) and if it was not previously sent with the Form SAB 50-04, then the district must submit written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3(b), 51228(b), and 52336.1.

The Charter School must also be able to check the following box:

- The Charter School certifies it has entered into the Charter School Agreements pursuant to Section 1859.164.2.

The amount of State funds released for new construction shall be 100 percent of the total SFP New Construction Adjusted Grant, less any site acquisition funds previously released in Part III.

The amount of State funds released for modernization shall be 100 percent of the SFP Modernization Adjusted Grant.

Documents Required for Fund Release

1. Signature page of the contract(s) that meets fund release requirements
2. Copy of Notice(s) to Proceed certified on Form SAB 50-05
3. Labor Compliance Program approval documents

* Above documents are applicable for parts V and VII

Labor Compliance Program Documents

- Evidence of a Labor Compliance Program (LCP) is required for all projects that have construction contracts awarded on or after 1/1/2012
- Required documents that are currently acceptable are the PWC 100 or Department of Industrial Relations (DIR) confirmation that the PWC 100 was submitted
- Evidence of a LCP is required for projects funded from prop 47 or 55 with contracts awarded prior to 1/1/2012
- Required documents are LCP third party contract (if applicable) and DIR approval letters (initial and each extension)

Fill Out part X (if applicable)

Part X. Identify District's Construction Delivery Method

- Design-Bid-Build
- Design-Build
- Developer Built
- Lease Lease-Back
- Energy Performance Contract
- This project includes or will include piggyback contract(s), as defined in Section 1859.2
- Other: _____

STATE OF CALIFORNIA
FUND RELEASE AUTHORIZATION
SCHOOL FACILITY PROGRAM
SAB 50-05 (REV 12/11)

STATE ALLOCATION BOARD
OFFICE OF PUBLIC SCHOOL CONSTRUCTION
Page 3 of 3

Part VI. New Construction—Site Acquisition Only
District must be able to check both boxes:
 The district certifies it has entered escrow for the site (attach copy of escrow instructions).
 The district certifies that its applicable matching share has either:
• been deposited in the County School Facility Fund
• has already been expended by the district for the project
• will be expended by the district prior to the Notice of Completion for the project

The amount of State funds released shall be equal to the additional grant provided for site acquisition.

Part VII. Joint-Use Projects
 The district certifies that the Joint-Use Partner's financial contribution has either:
• been received and deposited in the County School Facility Fund
• has been received and expended by the district
• will be received and expended by the district prior to the Notice of Completion for the project
 The district certifies it has entered into a binding contract(s) for _____ percent of the construction (must be at least 50 percent of the construction included in the plans and specifications applicable to the state funded project), and has issued the Notice to Proceed on _____ for that contract signed on _____.

The amount of State funds released for new construction shall be 100 percent of the Joint-Use Grant.

Part VIII. Identify District and Joint-Use Partners' Funding Sources
 Available bond funds such as general obligation, or Mello-Roos.
 Available developer fees, proceeds from the sale of surplus property, or federal grants.
 Other funds available (identify) _____
 Funds already expended by the district for the project.
 Funds already expended by the Joint-Use Partners for the project.
 Future revenue sources to be used for the project (identify) _____

Part IX. Career Technical Education Facilities Projects
 The district certifies that its applicable matching share has either:
• been deposited in the County School Facility Fund
• has already been expended by the district for the project
• will be expended by the district prior to the Notice of Completion for the project
 If the district requested a loan for its matching share pursuant to Section 1859.2(a), the district certifies that it has entered into a loan agreement with the State.

Part X. Identify District's Construction Delivery Method
 Design-Bid-Build
 Design-Build
 Developer Built
 Lease Lease-Back
 Energy Performance Contract
 This project includes or will include piggyback contract(s), as defined in Section 1859.2
 Other: _____

I certify, as the District Representative, that the information reported on this form is true and correct and that:

- I am an authorized representative of the district as authorized by the governing board of the district; and
- The site where buildings will be modernized or rehabilitated must comply with Education Code Sections 17201.2, 17201.3, and 17201.4; and
- The grant amount provided by the State, combined with local matching funds or the Joint-Use Partner's financial contribution, are sufficient to complete the school construction project, unless the request is for a separate site and/or design appointment; and,
- The district has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
- This project for which the grant amount is provided complies with Education Code Sections 17201.30 and 17201.30.2; and,
- The district shall certify at the time of a fund release for the project that it complies with Section 1859.2(a); and,
- This form is an exact duplicate (verbatim) of the form provided by the Office of Public School Construction (OPSC). In the event a conflict should exist, then the language in the OPSC form will prevail; and,
- If required by Labor Code Section 1771.2, the district has initiated and enforced a Labor Compliance Program that has been approved by the DRI.
- If required by Labor Code Section 1771.3(a), the district will contract with the DRI for the required Prevailing Wage Monitoring and Enforcement, or the requirement is waived pursuant to Labor Code Section 1771.3(b). The district understands that if it fails to meet this requirement, it will be required to repay all state bond funds received including interest.

NAME OF DISTRICT OR REPRESENTATIVE	DATE
NAME OF DISTRICT OR REPRESENTATIVE (PRINT)	SIGNATURE
NAME ADDRESS	TELEPHONE NUMBER

Fill Out District Representative's Info.

- Signature must be original, preferably blue ink
- Must be signed by an authorized representative
- Signed date must be on or before OPSC received date

STATE OF CALIFORNIA
FUND RELEASE AUTHORIZATION
 SCHOOL FACILITY PROGRAM
SFB 120105 (REV 12/11)

STATE ALLOCATION BOARD
 OFFICE OF PUBLIC SCHOOL CONSTRUCTION
Page 2 of 3

Part VI. New Construction—Site Acquisition Only
 District must be able to back both loans:
 The district certifies it has entered escrow for the site (attach copy of escrow instructions).
 The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project

The amount of State funds released shall be equal to the additional grant provided for site acquisition.

Part VII. Joint-Use Projects
 The district certifies that the Joint-Use Partner's financial contribution has either:
 - been received and deposited in the County School Facility Fund
 - has been received and expended by the district
 - will be received and expended by the district prior to the Notice of Completion for the project
 The district certifies it has entered into a binding contract (1) for _____ percent of the construction (not to be less than 50 percent of the construction included in the plans and specifications applicable to the state funded project), and has issued the Notice to Proceed on _____ for that contract signed on _____

The amount of State funds released for new construction shall be 100 percent of the Joint-Use Grant.

Part VIII. Identify District and Joint-Use Partner's Funding Sources
 Available bond funds such as general obligation, or Mello-Roos.
 Available developer fees, proceeds from the sale of surplus property, or federal grants.
 Other funds available (identify) _____
 Funds already expended by the district for the project.
 Funds already expended by the Joint-Use Partner for the project.
 Future revenue sources to be used for the project (identify) _____

Part IX. Career Technical Education Facilities Projects
 The district certifies that its applicable matching share has either:
 - been deposited in the County School Facility Fund
 - has already been expended by the district for the project
 - will be expended by the district prior to the Notice of Completion for the project
 If the district required a loan for its matching share pursuant to Section 18339.5, the district certifies that it has entered into a loan agreement with the State.

Part X. Identify District's Construction Delivery Method
 Design-Bid-Build
 Design-Build
 Developer-Bid
 Lease-Learn-Build
 Energy Performance Contract
 This project includes or will include (punch) back contract(s) as defined in Section 18332.
 Other: _____

I certify, as the District Representative, that the information reported on this form is true and correct and that:
 - I am an authorized representative of the district as authorized by the governing board of the district; and
 - The site where building will be constructed or rehabilitated must comply with Education Code Sections 17091.4, and 17091.6; and
 - The grant amount provided by the State, combined with local matching funds or the Joint-Use Partner's financial contribution, are sufficient to complete the school construction project, unless the request is for a separate site and/or design appointment; and,
 - The district has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
 - This project for which the grant amount is provided complies with Education Code Sections 17091.4 and 17091.6; and,
 - The district shall certify at the time of a fund release for the project that it complies with Section 18339.5;
 - This form is an exact duplicate (verbatim) of the form provided by the Office of Public School Construction (OPSC). In the event a conflict should arise, then the language in the OPSC form will prevail; and,
 - If required by Labor Code Section 17205, the district has initiated and enforced a Labor Compliance Program that has been approved by the DEL;
 - If required by Labor Code Section 17205.6, the district will contract with the DEL for the required Prevailing Wage Monitoring and Enforcement, or the requirement is waived pursuant to Labor Code Section 17205.6b. The district understands that if it fails to meet this requirement, it will be required to repay all state bond funds received including interest.

SIGNATURE OF DISTRICT REPRESENTATIVE _____
DATE _____

NAME OF DISTRICT OR JPA REPRESENTATIVE (PRINT) _____
TITLE _____

EMAIL ADDRESS _____
TELEPHONE NUMBER _____

SIGNATURE OF DISTRICT REPRESENTATIVE _____
DATE _____

NAME OF DISTRICT OR JPA REPRESENTATIVE (PRINT) _____
TITLE _____

EMAIL ADDRESS _____
TELEPHONE NUMBER _____

SIGNATURE OF DISTRICT OR JPA REPRESENTATIVE _____

DATE _____

NAME OF DISTRICT OR JPA REPRESENTATIVE (PRINT) _____

TITLE _____

EMAIL ADDRESS _____

TELEPHONE NUMBER _____



Claim Schedule Process Information

- The Form SAB 50-05 and supporting documents are approved by accounting
- A claim schedule is prepared to release the funds
- The claim schedule will then be forwarded to the State Controller's Office for processing the warrant
- It takes 2 - 3 weeks for the State Controller's Office to issue the warrant

How to Check the Status of Fund Release

- Visit the OPSC website at: www.dgs.ca.gov/opsc
- Click on “Resources” (left hand side of screen)
- Click on “Project Tracking” (under “OPSC Online Applications”)
- Click on “SFP Search by Project Number” (towards bottom of page)
- Enter District Information (Project number)
- Click “Continue”
- Click on the link for “SFP Transaction Detail”

Questions





State Allocation Board's Implementation Committee

Meeting of August 10, 2012

Implementation Committee

Use of Grants/Hybrid Minimum Essential Facilities

- Third Implementation Committee Review
- OPSC staff presented proposed square footages
 - From LPP, CDE, and DoD facilities input

Middle School MPR/Gym Hybrid

Gymnasium	12.9 square feet
Food Service	2.0 square feet
Mechanical/Electrical	<u>.3 square feet</u>
Total MPR/Gym Hybrid square footage per pupil	15.2 square feet

High School MPR/Gym Hybrid

Gymnasium	15.3 square feet
Food Service	3.0 square feet
Mechanical/Electrical	<u>.3 square feet</u>
Total MPR/Gym Hybrid square footage per pupil	18.6 square feet

Implementation Committee

Use of Grants/Hybrid Minimum Essential Facilities

Middle School

	Min. Sq. Feet	Max. Sq. Feet
Square foot for gym in SFP regulations	6,828	16,000
Square foot per pupil in SFP regulations	÷ 12.9	÷ 12.9
Number of pupils needed to reach min/max square foot for gym	530	1,240
Proposed square foot per pupil for MPR/Gym Hybrid	x 15.2	x 15.2
Proposed minimum square foot for MPR/Gym Hybrid	8,056	18,848

High School

	Min. Sq. Feet	Max. Sq. Feet
Square foot for gym in SFP regulations	8,380	18,000
Square foot per pupil in SFP regulations	÷ 15.3	÷ 15.3
Number of pupils needed to reach min/max square foot for gym	548	1,176
Proposed square foot per pupil for MPR/Gym Hybrid	x 18.6	x 18.6
Proposed minimum square foot for MPR/Gym Hybrid	10,193	21,874

Implementation Committee

Use of Grants/Hybrid Minimum Essential Facilities

A district would be entitled to build MPR's, Gyms, and Hybrids for the following types of school sites:

- Elementary School site: Eligible for an MPR only
- Middle School site: Eligible for an MPR and Gymnasium, or for an MPR/Gym Hybrid
- High School site: Eligible for an MPR and Gymnasium, or for an MPR/Gym Hybrid
- For school sites that combine two or more grade levels, use the type for the highest grade level. For example, a combination elementary/middle school site would use the Middle School level.

Implementation Committee

Use of Grants/Hybrid Minimum Essential Facilities

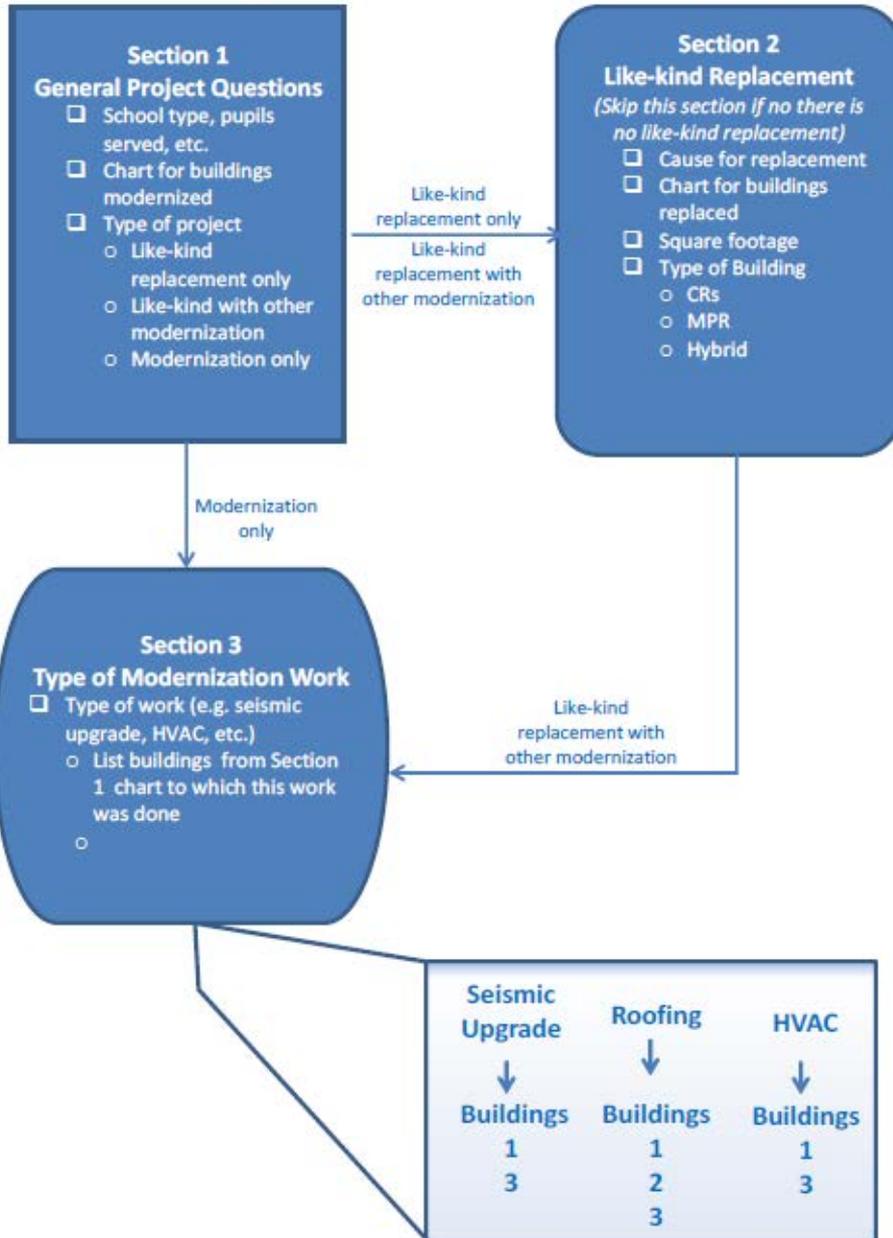
- Proposed regulations reviewed at the meeting
- Will be prepared for the September SAB meeting
- Well received by the Imp Committee & public
- Concerns regarding whether Use of Grants chart would be updated as part of regulation change
 - Staff proposal doesn't update as this is used in Facility Hardship

Implementation Committee

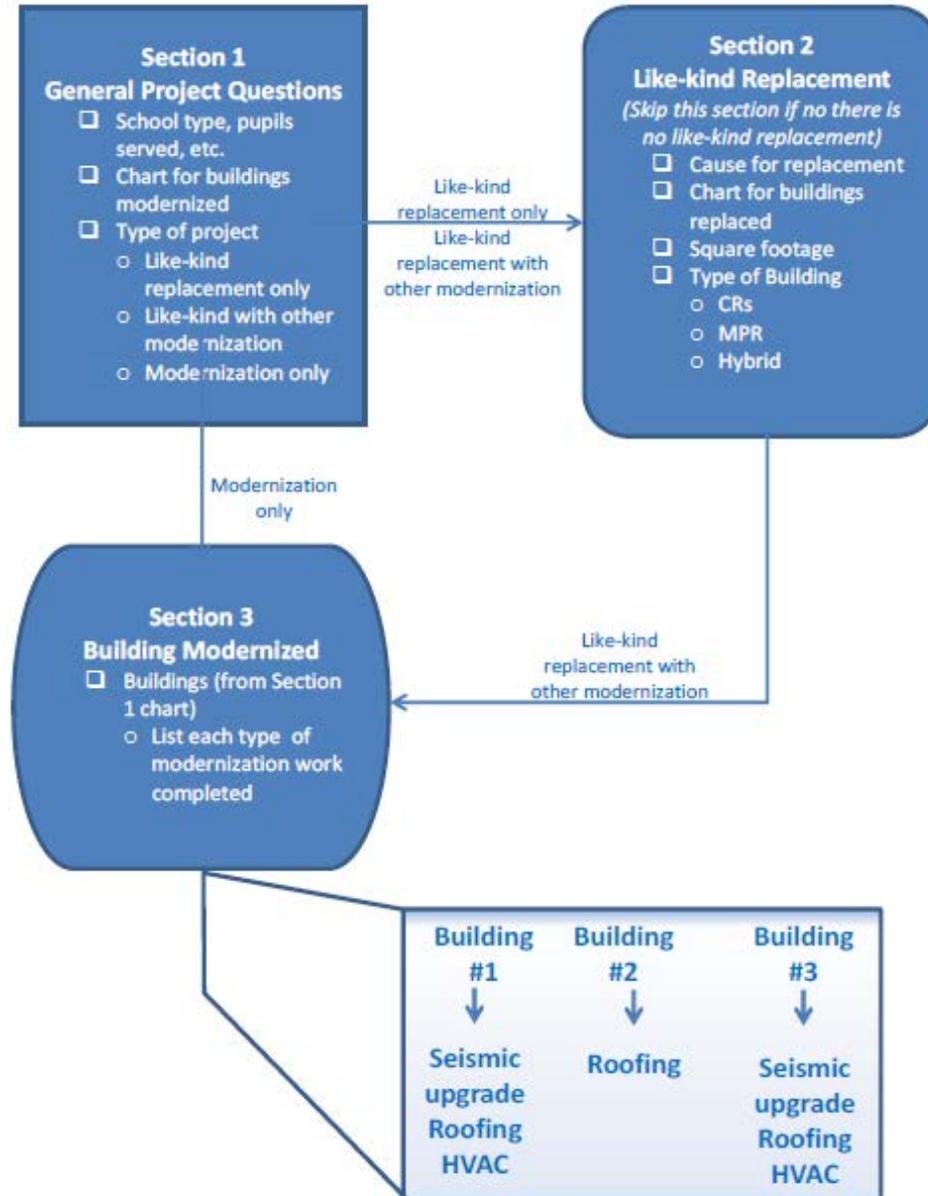
Project Information Worksheet

- Adding Modernization projects to PIW
- Second Implementation Committee review
- OPSC brings preliminary proposals
- Focused on how to gather modernization data
 - Two approaches—“Type of Work” and “Building-Based”
 - Looked at specific questions to ask
 - Type of work
 - Level of detail on scope – whether to quantify

Type of Work-Based Approach



Building-Based Approach



Implementation Committee

Modernization Project Information, Section 1—General Information

1. **School Type** (*drop down*)
 - i. Elementary
 - ii. Middle
 - iii. High
 - iv. K-8
 - v. K-12
 - vi. Other (text box for description)

2. **Number of Students Served** (*numeric field*)

3. **Project Type** (*drop down – select all that apply*)
 - i. Like-kind replacement only
 - ii. Like-kind replacement plus other modernization
 - iii. Limited scope modernization
 - iv. Systems & Infrastructure upgrade or replacement only
 - v. Full scope modernization
 - vi. Other—Example: Playground upgrades only, Energy Retrofit, Photovoltaic Installation, etc. (*text box for description*)

Section 2 on the next page would only be required if the district indicates above that like-kind replacement work was done.

4. Facilities Modernized

Building Name or Number	Type of Facility	Number of Classrooms, If Applicable	Number of Stories	Construction Type	Total Building Square Footage	Age of Building	Years Since the Building was Last Modernized	Will this Building Require Further Modernization within the next five years?
<i>Alphanumeric field</i>	<i>Drop Down</i>	<i>Numeric Field</i>	<i>Numeric Field</i>	<i>Drop Down</i>	<i>Numeric Field</i>	<i>Numeric Field</i>	<i>Numeric Field</i>	<i>Yes/No Drop Down</i>
<i>Defaults to auto-number</i>								

5. Total Cost per Square Foot for Modernization (*numeric field*)

Implementation Committee

Modernization Project Information, Section 2—Like-Kind Replacement

DOES NOT APPLY UNLESS DISTRICT SELECTS LIKE-KIND ONLY OR LIKE-KIND PLUS OTHER MODERNIZATION IN SECTION 1

1. Like-kind replacement required due to: *(drop down)*
 - i. Hazardous materials abatement
 - ii. Portable demolition/removal and replacement
 - iii. Site reconfiguration
 - iv. Like-kind replacement was cost effective
 - v. Age
 - vi. Educational need

2. What buildings were replaced? *(drop down showing buildings entered in Section 1, #4)*

3. Please describe the buildings modernized *(grid is automatically populated based on Section 1, #4)*

Building Name or Number	Original Building – Type of Facility	Replacement Building – Type of Facility	Original Number of Classrooms, If Applicable	Replacement Number of Classrooms, If Applicable	Original Number of Stories	Replacement Number of Stories
<i>Auto Populate</i>	<i>Auto Populate</i>	<i>Drop Down</i>	<i>Auto Populate</i>	<i>Numeric Field</i>	<i>Auto Populate</i>	<i>Numeric Field</i>

Original Construction Type	Replacement Construction Type	Original Total Building Square Footage	Replacement Total Building Square Footage
<i>Auto Populate</i>	<i>Drop Down</i>	<i>Auto Populate</i>	<i>Numeric Field</i>

4. Total Cost per Square Foot for Like-Kind Replacement *(numeric field)*

Implementation Committee

PIW Modernization

Type of Work – Reference List for Drop-Down Menus in Section 3

- I. Site Infrastructure
 - a) Gas, Water (Dom/Fire/Irrig), Storm, Sanitary, Electrical, Low-Voltage
- II. Hazardous Materials Abatement
- III. Sitework
 - a) Playgrounds
 - b) Fields
 - c) Fences & Gates
 - d) Parking & Drop-off
- IV. ADA Compliance
 - a) Path-of-travel improvements
 - b) Elevators & Lifts
 - c) Doors & Hardware
 - d) Restroom Reconfiguration/Upgrades
- V. Structural/Seismic Upgrades
- VI. Reconfiguration for Educational Enhancement
- VII. Building Exterior Envelope
 - a) Roofing
 - b) Wall Finishes
 - c) Windows & Doors
- VIII. Building Interiors
 - a) Flooring
 - b) Walls
 - c) Ceilings
- IX. Building Mechanical Systems
 - a) HVAC
 - b) Plumbing
- X. Building Electrical/Lighting Systems
 - a) Power systems
 - b) Lighting
 - c) Low-voltage systems
 - d) Photo-voltaic
- XI. Special Systems & Equipment

Implementation Committee

Project Information Worksheet

- Lengthy discussion of all proposed elements
- Good input from practitioners with modernization experience
- Concerns from stakeholders
 - How will the data be used?
 - Proposed questions requiring quantified areas, units, and potentially costs are too much detail

Next Implementation Committee meeting Sept. 7, 2012



SAB Audit Subcommittee Working Group

Meeting of August 14, 2012

Audit Working Group

- Reviewed updated “Proposed Process for SFP Review and Audits”
 - Establish new “Consolidated Incremental Compliance Check”
 - 18 months from Fund Release
 - First Form SAB 50-06, Substantial Progress, Certifications check
 - Provide for External Audits of SFP projects
 - Using Annual Education Audits
 - Audit Guide developed annually
 - OPSC final closeouts, adjustments to SAB

Audit Working Group

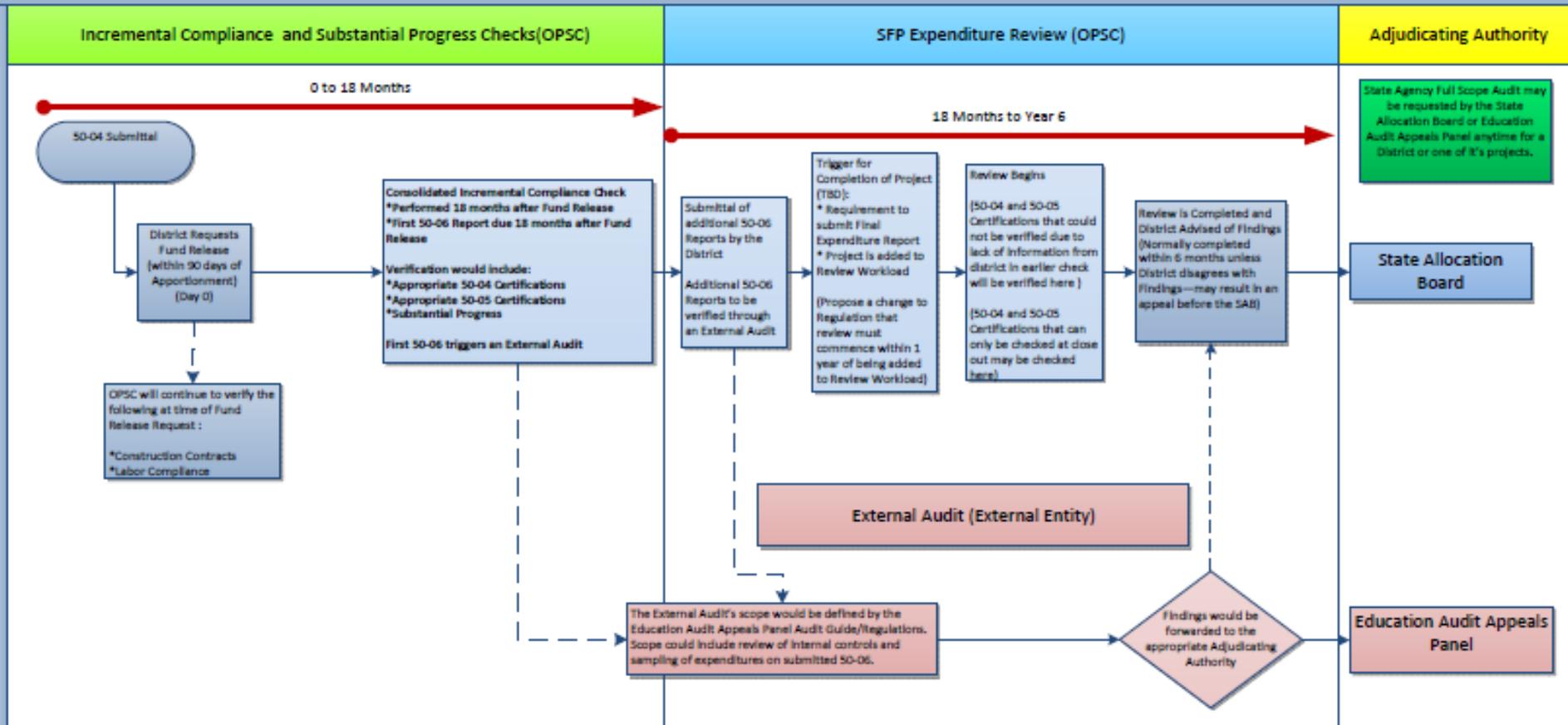
Proposed Process for SFP Review and Audits Consolidated Incremental Compliance Check

Assumptions:

Consolidated Incremental Compliance Check occurs 18 months after Fund Release.

First 50-06 Report would be due 18 months after Fund Release and subsequent 50-06 Reports would be due each year thereafter (would require regulation change).

An External Audit will be triggered by the first 50-06 Report and subsequent 50-06 Reports.



Audit Working Group

Next steps

- Confirm statutory and regulatory changes required
- Review proposed direction with Audit Subcommittee
 - Meeting set for Monday August 27th at 9:00am



California Department of Education Update

School Facilities and Transportation Services Division

August 22, 2012



TOM TORLAKSON
State Superintendent
of Public Instruction

California Department of Education Mission

California will provide a world-class education for all students, from early childhood to adulthood. The Department of Education serves our state by innovating and collaborating with educators, schools, parents, and community partners. Together, as a team, we prepare students to live, work, and thrive in a highly connected world.

Innovate Collaborate Serve Learn



TOM TORLAKSON
State Superintendent
of Public Instruction

Topics

- Report on *California's K-12 Educational Infrastructure Investments*
- Green Ribbon Schools Award

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

Report prepared by the UC Berkeley Center for Cities and Schools.

- Historical overview
- Data analysis
- Review of research
- Interviews

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

RESEARCH QUESTION:

How can the State Of California better promote efficient and sound planning for K—12 school facilities across the state?

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

RESEARCH QUESTION:

How should the next generation Of California's state level K—12 school facilities funding be prioritized?

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

RESEARCH QUESTION:

What policy and regulatory changes are needed to support sound planning and strategic prioritization of state funds?

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

Barriers to K—12 Infrastructure Alignment in four areas:

- Planning Challenges
- Management Challenges
- Funding Challenges
- Accountability Challenges

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

Planning Challenges include:

- Needs have changed since School Facility Program established in 1998
- SFP and sustainable communities not formally linked in law

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

Management Challenges include:

- Lack of statewide information on school facility needs
- Need for flexibility

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

Funding Challenges include:

- Funds for educational modernization have been inadequate.
- Funding has been inequitable
- Total need over the next decade estimated at \$117 billion

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

Accountability Challenges include:

- Lack of program outcome accountability
- No minimum condition standards established

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
of Public Instruction

Recommendations

1. Establish state vision & master plan
2. Promote local intergovernmental planning
3. Assemble needed information
4. Review & update Title 5 (CCR)

California's K-12 Educational Infrastructure Investments



TOM TORLAKSON
State Superintendent
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Recommendations (continued)

5. Set funding priorities
6. Establish state funding of capital renewals
7. Identify multiple revenue sources
8. Improve public accountability

California's K-12 Educational Infrastructure Investments



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Report and Archived Webinar
Presentation by Jeff Vincent Ph.D. at:

<http://www.cde.ca.gov/ls/fa/sf/whatsnew.asp>



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Green Ribbon Schools Award Second Year Overview

- The U.S. Department of Education's Green Ribbon Schools Award is intended to recognize those schools taking a comprehensive approach to greening their school.
- A comprehensive approach incorporates and integrates environmental learning with maximizing positive environmental and health impacts.
- The award criteria are intended to focus on measurable outcomes wherever possible.



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Green Ribbon Schools Award Second Year Overview

Two-step process:

- The first step is to complete and submit the application to be selected as a state nominee.
- If the school is subsequently selected, the second step of the process is to provide additional information for the nominee package that will be forwarded to the U.S Department of Education (US ED).



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Green Ribbon Schools Award Second Year Overview

- Each state may submit up to **four** nominees to the US ED.
- If submitting more than one public school, one must be a school with at least 40 percent of their students from disadvantaged backgrounds.
- If submitting four nominees, one must be a private school.
- This year, states may submit a district nominee for a sustainability award



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Green Ribbon Schools Award Tentative Timeline

- September 10th – Application available on CDE website
- December 11th - State application deadline
- February 15th – US ED application deadline
- March-April – US ED review
- April 22nd –Announcement of honorees
- June 3rd --National recognition award ceremony



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Green Ribbon Schools Award

Pilot Year Overview

Application reviews will be based on the applicant's demonstrated progress toward the goals of each of the three ED-Green Ribbon Schools Pillars:

- **Pillar I: Reduce environmental impact and costs**
(Net zero environmental impact)
- **Pillar II: Improve the health and wellness of students and staff**
(Net positive impact on the health and performance of students and staff)
- **Pillar III: Provide effective environmental and sustainability education, incorporating STEM, civic skills, and green career pathways**
(100% of the school's graduates are environmentally and sustainability literate)



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Green Ribbon Schools Award Program

Website Address:

<http://www.cde.ca.gov/ls/fa/sf/greenribbonprog.asp>



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