



Pre-State Allocation Board Forum

October 23, 2012



Division of the
State Architect



Office of
Public School Construction



California Department of Education



Division of the
State Architect

CALIFORNIA DEPARTMENT OF GENERAL SERVICES

State Allocation Board

October 23, 2012

Pre-Meeting: DSA Updates

DSA Update: Fire/Life Safety Reviews

Local Fire Authority Review required at the time of application submittal (plans & specs) to DSA

Effective Date: Jan 1, 2013

References:

- DSA Bulletin 12-02, dated Aug 1, 2012
- Form DSA-810
- Form DSA-810 Instructions
- Policy 09-01: Fire Flow for Buildings



What is Local Fire Authority Review?

- Review of project plans for school buildings by Local Fire Authority having jurisdiction when the project is occupied
- Verification that project meets Local Fire Authority requirements (i.e. fire flow, access roads, etc.) pertaining to local fire fighting operations



When is LFA Review Required?

1. A project adds building square footage

Or

2. A project is located in a Hazard Severity Zone Area

Or ...



When is LFA Review Required?

3. Construction affects:

- Elevators
- New/changed LFA access roads/gates
- Fire flow
- New/changed fire water pipe & attachments (hydrants, post indicator valve, detector check valve assembly)



Project Review by LFA

- DSA-810 must be used to communicate LFA review (no other format accepted)
- Any changes to plans made during review & before stamp-out that affect LFA sign off must be re-reviewed by LFA



Form DSA-810

LFA sign off is specific to each item.

Example:

YES N/A NR
1.

Elevators

Where an Elevator does not meet medical emergency service cab size, per 2010 California Building Code, the Local Fire Authority approves the use of stairways for emergency rescue and patient transport.

“Yes” = complies with LFA Requirements

Blank = reviewed but not approved

“NR” = LFA elects not to review

“N/A” = Not applicable to this project



Summary

- LFA sign off on DSA-810 required at project intake AS OF January 1, 2013
- Timely LFA review streamlines DSA review process and approval of project plans



Bin Status as of October 12, 2012

Structural Bin Times (weeks)

Region	Category		
	1	2	3
Oakland	2.0	-	5.4
Sacramento	4.1	-	5.1
Los Angeles	-	-	7.0
San Diego	-	-	5.0



Bin Status as of October 12, 2012

Fire & Life Safety (weeks)

Region	Category		
	1	2	3
Oakland	-	-	3.8
Sacramento	3.3	-	4.1
Los Angeles	4.0	4.0	4.0
San Diego	2.0	2.0	2.0



Bin Status as of October 12, 2012

Access Bin Times (weeks)

Region	Category		
	1	2	3
Oakland	2.3	-	5.0
Sacramento	5.0	-	6.0
Los Angeles	3.0	3.0	4.0
San Diego	2.0	2.0	3.0





Division of the
State Architect

CALIFORNIA DEPARTMENT OF GENERAL SERVICES

THANK YOU

DGS

GENERAL SERVICES

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Office of Public School Construction

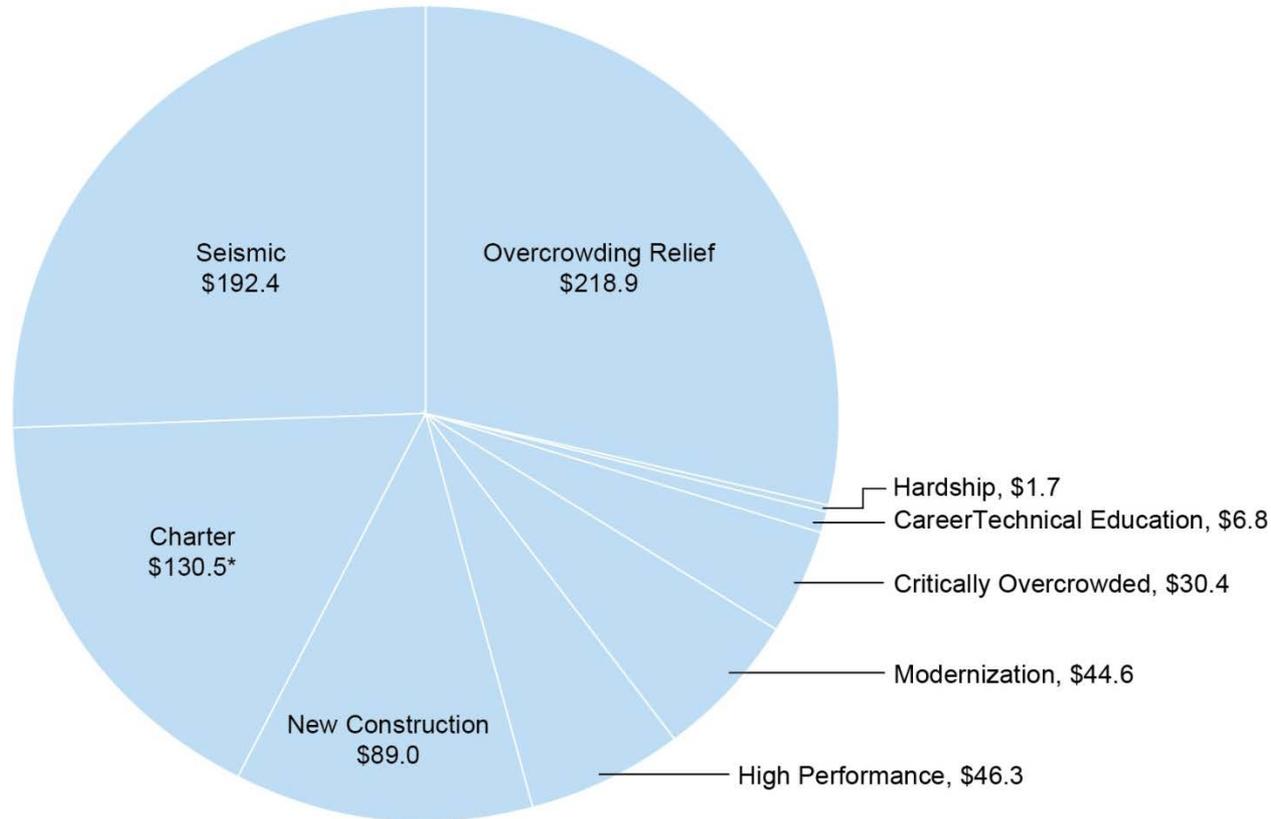
October State Allocation Board Meeting Overview

School Facility Program Funds Available

(in millions)	Aug. 2012	Sep. 2012
April 2012 Bond Sale	\$264.0	\$45.7
Oct 2011 Bond Sale	33.0	29.8
Nov 2010 Bond Sale	2.0	18.0
March 2010 Bond Sale	25.4	25.1
Nov/Dec 2009 Bond Sales	1.5	1.5
Oct/Nov 2009 Bond Sales	5.7	6.3
April 2009 Bond Sale	2.5	0.1
Total Funds Available	\$334.1	\$126.5

Remaining Bond Authority

\$800.8 million as of October 24, 2012 (by program, in millions)



*Charter remaining bond authority is reserved for projects awarded a Preliminary Charter School Apportionment until all projects have either converted to a Final Charter School Apportionment or have been rescinded by the State Allocation Board.

Agenda Highlights

Non-Participation in the Priority Funding Process

- To present options for the State Allocation Board's consideration for applications that have not submitted requests to receive a priority funding apportionment during the request filing periods.

Agenda Highlights

Processing of Facility Hardship Applications

- To present options to the State Allocation Board for processing Facility Hardship funding applications.

Agenda Highlights

School Facility Program Regulatory Amendments

- To present proposed regulatory amendments to clarify Labor Compliance Program requirements as a result of Assembly Bill 1506 (Wesson).



California Department of Education Update

School Facilities and Transportation Services Division

October 22, 2012



TOM TORLAKSON
State Superintendent
of Public Instruction

Mission Statement

California will provide a world-class education for all students, from early childhood to adulthood. The Department of Education serves our state by innovating and collaborating with educators, schools, parents, and community partners. Together, as a team, we prepare students to live, work, and thrive in a highly connected world.

Innovate Collaborate Serve Learn



TOM TORLAKSON
State Superintendent
of Public Instruction

Topics

- Green Ribbon
- Legislation
- Resources
- Infrastructure report opportunities for input



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State Superintendent
of Public Instruction

Green Ribbon

Application on CDE web page at:

<http://www.cde.ca.gov/ls/fa/sf/whatsnew.asp>

Due to CDE by December 11, 2012

October 17, 2012 Webinar on application process.

Archived webinar available at:

<http://www.cde.ca.gov/ls/fa/sf/whatsnew.asp>

Contact: Kathleen Smothers, Administrator
ksmothers@cde.ca.gov

916-323-3926



TOM TORLAKSON
State Superintendent
of Public Instruction

Senate Bill 1404

Amends Civic Center Act (Education Code Section 38134) to allow fees to cover capital refurbishment costs

State Board of Education to adopt regulations by January 2013



TOM TORLAKSON
State Superintendent
of Public Instruction

Assembly Bill 1199

Allows members of a district bond oversight committee to have a third two-year term.



TOM TORLAKSON
State Superintendent
of Public Instruction

Assembly Bill 2367

Expands the authority of schools to sell produce from school gardens.

--The school must comply with applicable federal, state, and local health and safety requirements for the production, processing, and distribution of the produce.



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State Superintendent
of Public Instruction

Assembly Bill 1915

Expands State Safe Routes to School program to allow up to 10% of program funds to be used to fund safe routes to school bus stops not located on school property

--Specifically precludes “shelters”



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State Superintendent
of Public Instruction

Resources

- **School Site Selection Approval Guide**
<http://www.cde.ca.gov/ls/fa/sf/schoolsiteguide.asp>

- **Guide to School Site Analysis and Development**
<http://www.cde.ca.gov/ls/fa/sf/documents/schoolsiteanalysis2000.pdf>

- **Physical Education Planning Guidelines**
For Elementary:
<http://www.cde.ca.gov/ls/fa/sf/peguideelement.asp>

For Middle and High:
<http://www.cde.ca.gov/ls/fa/sf/peguidemidhi.asp>

- **Small School Site Planning**
<http://www.cde.ca.gov/ls/fa/sf/documents/smallsitewksht.xls>



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State Superintendent
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Resources

- School Closure Best Practice

<http://www.cde.ca.gov/ls/fa/sf/schoolclose.asp>

- Healthy and Supportive School Environments

<http://www.cde.ca.gov/ls/fa/sf/healthyenviron.asp>

- Healthy Children Ready to Learn

<http://www.cde.ca.gov/ls/fa/sf/documents/healthychildren.pdf>

- Pipelines

<http://www.cde.ca.gov/ls/fa/sf/pipeline.asp>

- Powerlines

<http://www.cde.ca.gov/ls/fa/sf/powerlinesetback.asp>



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State Superintendent
of Public Instruction

Research

- School Facilities Improve Learning
- Safe Schools Foster Improved Student Learning
- Sustainable Schools Improve Learning and the Environment
- Schools as Centers of Community Improve Learning

See: <http://www.cde.ca.gov/ls/fa/re/>



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State Superintendent
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Research

- School Facilities Improve Learning
- Safe Schools Foster Improved Student Learning
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TOM TORLAKSON
State Superintendent
of Public Instruction

Upcoming Best Practice Documents

- Day-lighting
- Student Centered Learning Environments

Superintendent Initiatives



TOM TORLAKSON
State Superintendent
of Public Instruction

Schools of the Future--Released September 2011

Educator Excellence Task Force--Released this September

Education Technology Task Force--Released this August

Creative Education Task Force--December 2012 release

STEM Task Force--To be completed early 2013

Environmental Education Task Force

<http://www.cde.ca.gov/eo/in/>

California K-12 Infrastructure Report

Implementation Feasibility

Opportunities for Public Input Include:

--August 23, 2012

SFTSD Advisory Committee

--September 25, 2012

County School Facility Consortia

--October 1, 2012

Invited Stakeholders

--October 17, 2012

Coalition for Adequate School Housing Fall Conference



TOM TORLAKSON
State Superintendent
of Public Instruction



TOM TORLAKSON
State Superintendent
of Public Instruction

California K-12 Infrastructure Report

November 13, 2012 Video Presentation

At several county offices of education

Alameda

Riverside

Contra Costa

San Diego

Fresno

Santa Barbara

Kern

Yolo

Los Angeles

– See our “What’s New” page for details

<http://www.cde.ca.gov/ls/fa/sf/whatsnew.asp>



Overcrowding Relief Grant

October 23, 2012

Overcrowding Relief Grant Overview

- At the September 19, 2012, State Allocation Board (SAB) meeting, the SAB approved two additional funding cycles, eleventh and twelfth for the Overcrowding Relief Grant (ORG) Program.
- Currently the OPSC is processing 23 applications for the tenth funding cycle, which ended on July 31, 2012.

Overcrowding Relief Grant

- Approximately \$113 million is being requested for the tenth funding cycle.
 - 252 portables are to be replaced by permanent classrooms.
- A balance of approximately \$103 million will be remaining for the eleventh and twelfth funding cycles.

Overcrowding Relief Grant Timelines

- Applications for the eleventh funding cycle are being accepted between August 1, 2012 and January 31, 2013.
- If funds are available after the eleventh cycle, the twelfth funding cycle will open February 1, 2013 and close on July 31, 2013.

Overcrowding Relief Grant

- Please ensure that when you submit the *Application for Funding* (Form SAB 50-04), the number of classrooms in line items 2i & 3 match.
- Make sure that the California Department of Education (CDE) letter reflects the ORG project; if revisions have occurred, please make sure that the letter is updated by CDE.
- If you are submitting a hybrid project (new construction and modernization) with an ORG project indicate so in your cover letter and that your plans also reflect the hybrid projects.

Overcrowding Relief Grant

- Make sure your plans delineate whether the portables will be demolished or relocated.
 - Terminology like “removal” can be a universal term. It can be used for relocating or demolition; always clarify.
- If you are using other ORG sites, please provide an up-to-date site map to indicate which portables are being demolished or relocated from that site.

Overcrowding Relief Grant

- Make sure you have enough portables on the site you are using to be in compliance with ORG School Facility Program Regulations.
- The clearer the application, the faster we can process it.
- Make sure that your applications include ORG site(s) name(s); you can check for this information on the California Department of Education (CDE) website: <http://www.cde.ca.gov/index.asp>

Overcrowding Relief Grant Resources

SUPERVISOR

- Brigitte Baul – (916)375-5984 or brigitte.Baul@dgs.ca.gov

PROJECT MANAGER

- Alan Shoemaker – (916) 376-5359 or alan.shoemaker@dgs.ca.gov

OPSC website at: www.dgs.ca.gov/opsc

QUESTIONS?





Applications Received Beyond Bond Authority

October 23, 2012

Topics

- Background
- Abbreviations and Definitions
- Timeline and Proposed Regulatory Changes
- New Process
- Eligibility Documents
- Financial Hardship Approvals
- New Web Page – Applications Received Beyond Bond Authority
- Helpful Hints

Background

- At the September 19, 2012 State Allocation Board (SAB) meeting, the SAB approved the creation of School Facility Program (SFP) Regulation section 1859.95.1. This regulation will change the way applications are processed by the OPSC once bond authority is no longer available to fund them.

Background

These changes are being made due to the following concerns raised by SAB members:

- Fully processing the applications and placing them on an unfunded list could create the impression of an implied commitment on the part of the State.
- A future voter-approved facilities bond could have significant program changes that could be incompatible with applications currently being received.

Abbreviations

CDE = California Department of Education

DSA = Division of the State Architect

FH = Financial Hardship

OAL = Office of Administrative Law

OPSC = Office of Public School Construction

SAB = State Allocation Board

SFP = School Facility Program

Definitions

- “Approved Application(s)” means a district has submitted the application and all documents to the OPSC that are required to be submitted with the application.
 - The required documents are identified in the General Information Section of Forms SAB 50-01, 50-02, 50-03 and 50-04.
 - Examples are the California Department of Education (CDE) and Division of the State Architect (DSA) approval letters, plans and specifications, cost estimates, etc.

Definitions

- “Ready for Apportionment” means a final review of an Approved Application has been completed by the OPSC and it has been determined that it meets all requirements of law for an apportionment or eligibility determination, and the OPSC will recommend approval to the SAB.

Definitions

- “Unfunded List (Lack of AB 55 Loans)”
 - This is a list of applications for which there is sufficient bond authority, but insufficient funding to make an Apportionment.
 - The applications have been fully processed by the OPSC, and fully approved by the SAB.
 - The projects await an Apportionment through the Priority Funding process.

Definitions

- “Bond Authority” means the authority of the SAB to apportion bond funds pursuant to Education Code section 17070.40.
- “Insufficient Bond Authority” means the total funding requested on the Approved Application received by the OPSC exceeds the bond authority.

Definitions

- “Applications Received Beyond Bond Authority”
 - An informational list of applications submitted to the OPSC and presented to the SAB.
 - Funding applications on this list contain the preliminary grant amounts requested by a district.
 - The OPSC has not determined that the Approved Application(s) are ready for Apportionment.

Current Process – with Bond Authority

Eligibility Determination

- District submits application for eligibility determination to the OPSC.
- OPSC processes eligibility applications for SAB approval.
- SAB Approval.

Funding Application

- District submits funding application including DSA and CDE approval plans to the OPSC.
- OPSC processes applications for SAB approval.
- SAB Approval
 - Unfunded approval
 - Certification filing
 - Priority funding
 - SAB apportionment

Fiscal

- OPSC releases grant amount upon certification of district match and construction contract.
- Project construction.
- District submits expenditure reports to the OPSC.
- OPSC performs expenditure review.

Proposed Regulatory Changes

Upon approval by the Office of Administrative Law (OAL), the proposed regulations would make the following changes:

- Applications for Funding for programs with no remaining bond authority would be placed on a new, informational list called the “Applications Received Beyond Bond Authority List.”
- Applications placed on this list would not be fully processed by the OPSC nor be approved by the SAB, but the list would be presented to the SAB for acknowledgement.

Proposed Regulatory Changes

Other changes effected by the proposed regulations:

- In addition to the supporting documents currently required with the *Application for Funding* (Form SAB 50-04), a resolution from the governing board of the school district must be included.
- Statements to be included on the resolution are listed in the proposed Regulation section 1859.95.1(b)(1) through (5). A district seeking Financial Hardship funding must also included the statement in Regulation Section 1859.95.1(b)(6).

Proposed Regulatory Changes

When will these changes take place?

- The OPSC has already received new construction and modernization funding applications that are beyond current bond authority.
- The SAB approved the proposed regulations at the September 19, 2012 meeting.
- The proposed regulations will be effective immediately upon approval by the Office of Administrative Law (OAL).

New Process for Impacted Applications

- Applications received for programs with bond authority remaining would be processed as normal and approved by the SAB to the Unfunded List (Lack of AB 55 Loans).
- Applications for programs with no bond authority remaining, which are received prior to OAL approval of the proposed regulations, would be processed to a “True” Unfunded List.

New Process for Impacted Applications

- Applications for programs with no bond authority remaining, received on or after the date the OAL approves the proposed regulations, would not be fully processed.
- OPSC would determine if the application is complete, then place the application on the Applications Received Beyond Bond Authority List for SAB *acknowledgement*.

Compliance Monitoring

- Current statute requires compliance with Prevailing Wage Monitoring and Enforcement requirements for any project funded from State bond funds which have initial construction contracts awarded on or after January 1, 2012. (Labor Code Section 1771.3). This means that districts need to comply to be eligible for School Facility Program funding or even a new State facility program.
- Districts can comply by having a Department of Industrial Relations-approved in-house program, an appropriate collective bargaining agreement/Project Labor Agreement, or by using DIR's Compliance Monitoring Unit to perform the monitoring requirements.

Eligibility Documents

- The proposed regulations also mean that OPSC will review eligibility documents only for completeness, but will not process them for SAB approval.
- Districts are still required to submit the *Enrollment Certification/Projection* (Form SAB 50-01) and all required documents for the year of any submitted new construction *Application for Funding* (Form SAB 50-04).

Eligibility Documents

- Districts may submit an *Eligibility Determination* (Form SAB 50-03) to update modernization eligibility. The forms will not be fully processed under the proposed regulations.

Comparison of Processes

Eligibility Determination

CURRENT PROCESS

- District submits eligibility application to the OPSC
- OPSC processes eligibility applications for SAB approval
 - SAB Approval

NEW 1859.95.1 PROCESS

- District submits eligibility application to the OPSC
- OPSC verifies required documents and puts application on list
 - SAB Acknowledges

Comparison of Processes

Funding Application

CURRENT PROCESS

- District submits funding application to the OPSC
- OPSC processes applications for SAB approval
 - SAB Approval
 - Unfunded approval
 - Certification filing
 - Priority funding
 - SAB apportionment

NEW 1859.95.1 PROCESS

- District submits funding application to the OPSC
- OPSC verifies required documents and puts project on list
 - SAB Acknowledges

Comparison of Processes

Fiscal

CURRENT PROCESS

- OPSC releases grant amount upon certification of district match and construction contract
- After construction begins, District submits expenditure reports to the OPSC
- OPSC performs expenditure review

NEW 1859.95.1 PROCESS

n/a

Financial Hardship Approvals

- The proposed regulations suspend the requirement for financial hardship (FH) pre-approval from the OPSC. Districts may submit an *Application for Funding* that includes a request for FH apportionment.
- The school board resolution must include an additional acknowledgement that FH approval will be required before the application can be processed to the SAB.

Financial Hardship Approvals

CURRENT PROCESS

- Financial Hardship pre-approval is required
 - District submits funding application requesting FH funding, with copy of FH pre-approval letter
- Funding application goes through normal review and approval process to Unfunded "Lack of AB 55 Loans" list
 - If cash becomes available, and the application has been on the "Lack of AB 55 Loans" list more than 180 days, FH re-review is required

NEW 1859.95.1 PROCESS

- No pre-approval is required
- District submits funding application requesting FH funding, with school board resolution
- OPSC verifies required documents and puts application on list
 - SAB acknowledges list
 - If authority becomes available, the district must have FH review and approval before the application will be processed to the SAB

Application Processing

	Unfunded List (Lack of AB 55 Loans)	"True" Unfunded List	"Acknowledged" List
New Construction	Applications Received April 20, 2012 - July 12, 2012	Applications Received July 13, 2012 to OAL approval date of 1859.95.1	Applications Received from OAL approval date of 1859.95.1 to ?*
	Unfunded List (Lack of AB 55 Loans)	"True" Unfunded List	"Acknowledged" List
Modernization	Applications Received April 20, 2012 - May 9, 2012	Applications Received May 10, 2012 to OAL approval date of 1859.95.1	Applications Received from OAL approval date for 1859.95.1 to ?*
	Unfunded List (Lack of AB 55 Loans)	"True" Unfunded List	"Acknowledged" List
	<ul style="list-style-type: none"> ▣ Fully processed by OPSC ▣ SAB approved ▣ Waiting for an Apportionment through Priority Funding round 	<ul style="list-style-type: none"> ▣ Fully processed by OPSC ▣ SAB approved ▣ No guarantee of future funding or bond authority ▣ Could be placed on "Lack of AB 55 Loans" List if bond authority becomes available under current program. 	<ul style="list-style-type: none"> ▣ School board resolution required with submittal of application ▣ Accepted but not processed by OPSC ▣ SAB acknowledges but does not approve ▣ No guarantee of future funding or bond authority

* Applications on this "Acknowledged" List have no guarantee of future funding. If bond authority under the current program is sufficient to fund these projects, they could be processed to the Unfunded List (Lack of AB 55 Loans). If a school facilities program is approved in the future, it is unknown whether these projects will be processed or eligible for funding under the new criteria.

Dedicated Web Page - Contents

A new page has been added to the OPSC website that includes or will include:

- Timeline showing which list an *Application for Funding* will be placed on, depending on OPSC received date.
- Sample school board resolution
- Frequently Asked Questions
- Outreach Plan

Dedicated Web Page - Contents

Other contents include:

- Links to proposed Regulation 1859.95.1, the application lists, and OPSC project manager county assignments.
- Background of the issue and decision-making (links to SAB and Implementation meeting agendas and webcasts, and SAB transcripts)

Helpful Hints

Districts preparing to submit an application may contact their OPSC project manager to inquire if bond authority exists:

- To determine whether or not a school board resolution is required.
- To determine whether or not a financial hardship pre-approval is required.

Helpful Hints

- Districts that are uncertain if their application(s) will be received before or after OAL approval may choose to obtain a school board resolution before the regulations are approved.
- Districts are encouraged to submit plans and specifications and other large required documents in electronic format on CDs or memory sticks.

Helpful Hints

Districts should ensure the application is complete upon submittal.

- The OPSC will continue to track date order received for all Approved Applications.
- The OPSC will continue to provide only a 24-hour courtesy notice to school districts that are missing required documentation.

Helpful Hints

- Current statute requires compliance with Prevailing Wage Monitoring and Enforcement requirements for any project funded from State bond funds which have initial construction contracts awarded on or after January 1, 2012. (Labor Code Section 1771.3). This means that districts need to comply to be eligible for School Facility Program funding or even a new State facility program.



Financial Hardship Re-Review

October 23, 2012

Topics of Discussion

- Background on Financial Hardship Unfunded Re-Reviews & Priority in Funding Rounds
- Submitting your Documents for an Unfunded Re-Review
- Review of Available Funds – Unfunded Re-Review Submittal & Documents Needed
- FH Re-Review Findings Letter

Background

- On May 25, 2011 the State Allocation Board allowed SFP regulation section 1859.81(f) to sunset.
- What does this mean for School Districts with Financial Hardship project on the unfunded list?
 - Unfunded Approval from February 25, 2009 to June 30, 2011
 - Unfunded Approval after June 30, 2011
- When is an unfunded re-review conducted?

Priority Funding Round Participation

- FH Districts participating in the certification filing period and have had a project on an unfunded list for more than 180 days.
- Applicable Districts are sent a notification letter and the appropriate unfunded review FH Checklist.
- Districts will have 15 calendar days to submit the requested documentation.

Priority Funding Round Participation

- Districts should submit the required information as soon as possible.
- The District does not have to re-establish its FH status.

District Contact

- During the review, the district will need to provide a reliable day-to-day contact who can help resolve issues and provide additional information.
- The contact should include district accounting staff familiar with facility accounting, the general ledger, and the Financial Hardship Re-Review package.
- OPSC staff require timely answers to questions. This will ensure your package is processed as soon as possible.

Financial Hardship Re-Review Submittal

- A Financial Hardship (FH) Unfunded Re-Review submittal will include the following:
 - A cover letter stating the District is submitting documents for a FH Re-Review.
 - A completed & signed appropriate Unfunded Review Financial Hardship Checklist
<http://www.dgs.ca.gov/opsc/forms/financialhardshipprogramforms.aspx>
 - The appropriate backup documents.
- Once the FH Unfunded Re-Review packages are received by the OPSC they are reviewed for completeness.

Steps to Locate Financial Hardship Forms

- (1) Open to the OPSC home page at www.dgs.ca.gov/opsc.
- (2) Click on “Forms” tab (left side of screen).
- (3) Click on Forms by Program tab (middle of page).
- (4) Click where it says: “Financial Hardship Program forms”(10th item).
- (5) From there you will click on the “+” sign on the blue box marked “Financial Hardship Program Eligibility Forms”

This will drop down a list of forms needed for Financial Hardship.

- The Unfunded Review Financial Hardship Checklist is the third form listed.

Select either:

- For Unfunded Approval Dates Between February 25, 2009 and June 30, 2011
- For Unfunded Approval Dates After June 30, 2011
 - (Whichever is appropriate)

Review of Available Funds

- OPSC staff reviews District's financial information to make a determination of additional available funds.
- The review will not commence until documentation is complete.
- Review possible funding sources received since July 1, 2011, or prior three years or prior review
 - Certificates of Participation (COP).
 - General Obligation Bonds.
 - Redevelopment Funds & Developer Fees.
 - Sale of Surplus Property, etc.

FH Findings Letter

- Determination of Available Funds
 - The OPSC makes a determination of available funds using the information provided by the district.
 - The “Financial Hardship Re-Review Findings” Letter will be issued with attached fund worksheets.
 - District has 15 days to respond to letter.
 - If District doesn’t agree with findings – may submit additional documents during this time period.

FH Findings Letter

- The District concurs with the findings by signing & returning the fund worksheets.
- After District concurs, an Unfunded Approval letter is issued and the District may receive an apportionment if funds are available.

FAQ's

Q: I just received a Financial Hardship approval letter less than six months ago; however I just received your contact letter for an unfunded review. Do I need one?

A: It depends on the SAB date. If the projected SAB date is more than 6 months from the Financial Hardship approval letter date, you need an unfunded re-review.

Q: Will extensions of the deadline be granted in order to compile needed documents?

A: Possibly. However, only complete packages are processed. Delays in providing needed documents may move a re-review package further down on the workload list.

FAQ's

Q: What if a District does not have any Capital Outlay Funds?

A: Mark “No” for the question in Section I, indicate why a Fund Worksheet is not submitted in Section II, and provide audited financial statements and/or general ledger detail reports to confirm the District has no Capital Facility Funds available.

Q: Since this is a re-review, will awards be adjusted upward for cost increases in materials, labor, etc. like a COLA?

A: The Re-Review is only to determine if the District can contribute additional funds to project(s) on the unfunded list and does not increase or decrease overall approved project cost. Independent of the Re-Review, the overall approved project cost may be increased or decreased.

Summary

- Overview of the Financial Hardship (FH) Re-Review process.
 - District has FH project on the unfunded list.
 - District requests to have FH project compete in the current priority in funding round.
 - OPSC contacts District to request documents needed for FH Re-Review.
 - District submits needed documents for Re-Review.
 - Available funds review.
 - Re-Review findings are sent to District.
 - District ready for apportionment.

Contact Information

SUPERVISORS

- Steve Inman - (916) 375-4576 or steve.inman@dgs.ca.gov
- Jason Hernandez - (916) 376-5639 or jason.hernandez@dgs.ca.gov

STAFF

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- Jackie Shepherd - (916) 375-5119 or jacqueline.shepherd@dgs.ca.gov



State Allocation Board Implementation Committee

Meeting of October 12, 2012

Implementation Committee

Project Information Worksheet

- Adding Modernization projects to PIW
- Fourth Implementation Committee review
- OPSC brings updated streamlined proposal
 - Costs by site-wide project Type of Work
 - No detailed building information
- Demonstrated with a mock-up of the PIW
 - Modernization Project Information section

PROJECT INFORMATION - MODERNIZATION

School Type Total Classrooms on Site Total Pupils Served on Site

TYPE OF WORK	AMOUNT	ESTIMATE	ACTUAL
<input type="checkbox"/> Building Replacement	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Hazardous Materials Abatement	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Sitework	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Structural/Seismic Upgrades	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Roofing	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Site Infrastructure	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Building Interior (Wall Systems, Flooring, Casework)	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Building Exterior (Wall Systems, Windows, Doors)	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> HVAC	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Plumbing	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Technology Upgrades	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Renewable Energy Systems	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Electrical	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Access Compliance/ADA	\$	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Other (please specify)	\$	<input type="checkbox"/>	<input type="checkbox"/>
Total:	\$		

Facilities Modernized (Check all that apply)	
FACILITY	NUMBER
<input type="checkbox"/> Classrooms	
<input type="checkbox"/> Administration/Support	
<input type="checkbox"/> Gym/Shower Locker Room	
<input type="checkbox"/> Multi-Purpose Room/Cafeteria	
<input type="checkbox"/> Cafeteria - Stand Alone	
<input type="checkbox"/> Kitchen	
<input type="checkbox"/> Library	
<input type="checkbox"/> Performing Arts Facility	
<input type="checkbox"/> Restroom Building	
<input type="checkbox"/> Baseball Diamond	
<input type="checkbox"/> Softball Diamond	
<input type="checkbox"/> Football/Soccer Field	
<input type="checkbox"/> Playground/Hardcourt/Turf	
<input type="checkbox"/> Track	
<input type="checkbox"/> Swimming Pool	
<input type="checkbox"/> Stadium	
<input type="checkbox"/> Other (Explain)	

Total Square Feet Modernized All Facilities
Permanent:
Modular:
Portable:
Total:

Total Modernization Cost (Per Square Foot)	
ORIGINAL ESTIMATE	CURRENT ESTIMATE/ACTUAL
\$	\$

Implementation Committee

Project Information Worksheet

- Input from committee
 - Much better approach for most members
 - Concerns regarding accuracy of cost data
 - Requests to do a pilot project
 - Still concerns on providing square footage data

Next Implementation Committee meeting Nov. 8, 2012



**State Allocation Board
Audit Subcommittee**

Audit Working Group Meeting

October 9, 2012

Audit Subcommittee Audit Working Group

- Audit Subcommittee Aug. 27th meeting
 - Discussed “Proposed Process for SFP Review and Audits”
 - Requested additional input from Audit Working Group
 - Look at using Prop. 39 Audits as External Audits
 - For School Facilities Program projects

Audit Subcommittee Audit Working Group

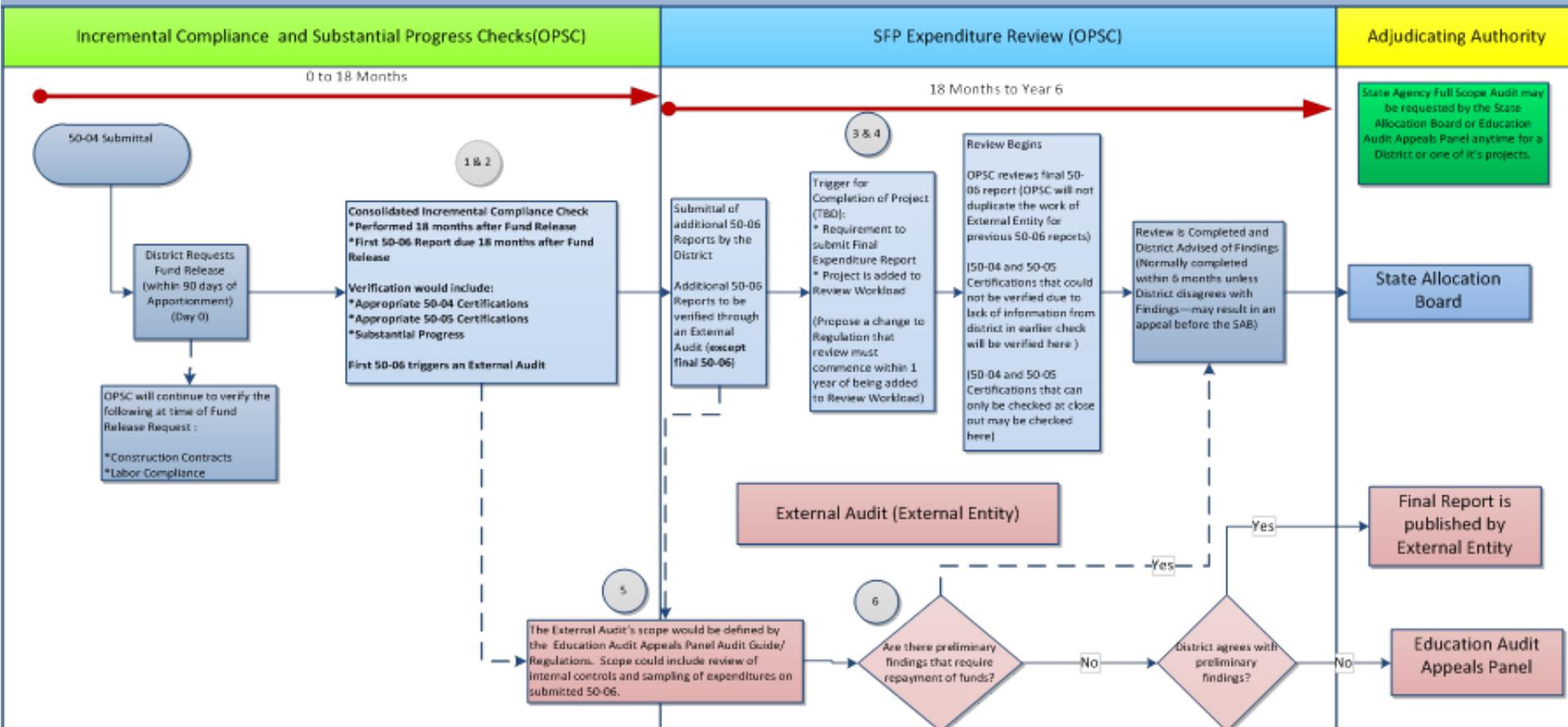
Proposed Process for SFP Review and Audits Consolidated Incremental Compliance Check

Assumptions:

Consolidated Incremental Compliance Check occurs 18 months after Fund Release (will require statute and regulation change).

First 50-06 Report would be due 18 months after Fund Release and subsequent 50-06 Reports would be due each year thereafter (will require statute and regulation change).

An External Audit will be triggered by the first 50-06 Report and subsequent 50-06 Reports but not the Final 50-06 Report (will require statute and regulation change).



Audit Subcommittee Audit Working Group

Proposition 39 Expert Panel Presentations

- Marilyn Cleveland, DWK
 - Statutory & Legal Framework
- Anton Jungherr, CALBOC
 - Citizen Oversight Committee Perspective
- Michael Bishop, Santa Ana Unified
 - School District and Prop. 39 Audits
- Christy White, Christy White Accountancy
 - Performance Auditor Perspective

Audit Subcommittee Audit Working Group

Proposition 39 Audits as External Audit Option for SFP

- Working Group split on whether this approach
 - Is workable or the best path
- More changes in law/regulation required
 - Than when using Annual Education Audit process
- Discussion of “Choice Option”
 - Districts/County Offices could use either approach
- Audit Guide elements are critical

Audit Subcommittee Audit Working Group

- Next steps
 - Develop Prop. 39 Audits implementation matrix
 - Audit Guide development
 - Update Working Group recommendations for Audit Subcommittee
- Audit Working Group Meeting
 - Monday December 3rd at 10:00am
 - Review updated recommendations