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New Construction Funding

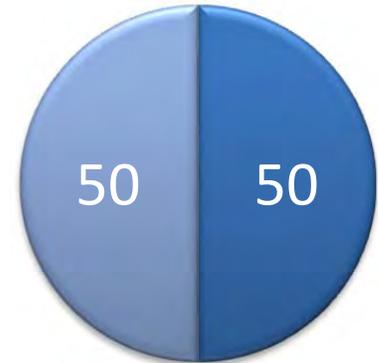
Overview

The new construction program provides school districts with funding to add classroom capacity to meet future student housing needs. The program provides funding for costs associated with the construction of new schools or classroom additions to existing schools. In addition to funding added classroom capacity, the program funds libraries, multipurpose rooms, gymnasiums, administration, and other school facilities. In order for a district to qualify for new construction funding, it must demonstrate a need, meaning that the five-year or a ten-year projection of enrollment exceeds the district's existing classroom pupil capacity.

Districts receive a new construction grant on a per-unhoused pupil grant basis. The new construction grant is intended to fund design, construction, testing, inspection, furniture and equipment, and other costs closely related to the actual construction of the school buildings. The per-pupil "base" grant can be augmented with supplemental grants, such as site development, site acquisition, hazardous waste removal, automatic fire detection/alarm and sprinkler, Urban/Security/Impacted Site grant, High Performance Incentive, Prevailing Wage Monitoring and more. As required by statute, the State Allocation Board (Board) adjusts the per-pupil grant amounts annually to reflect changes in the Class B Construction Cost Index¹. There is no cap on project funding.

New construction funding is provided on 50 percent State share and 50 percent local match basis for eligible grants under the program. Financial hardship assistance is available for qualifying districts that are unable to provide all or a portion of their local match.

State and District Match For Eligible Grants



New Construction Eligibility

A district has eligibility for funding when its projected enrollment (in 5 or 10 years) exceeds its existing classroom capacity, meaning the district has projected unhoused pupils. Eligibility must be established before or at the same time a funding application is submitted and can be established on a districtwide or high school attendance area basis. The enrollment projection method is outlined in Regulation². After verification by the Office of Public School Construction (OPSC), the eligibility application is presented to the State Allocation Board (Board) for approval. Once the district establishes eligibility, it can request new construction funding. For a full, detailed discussion of new construction eligibility, please refer to the November 28, 2012 Program Review Subcommittee item.

$$\frac{\text{Projected Enrollment} - \text{Existing Classroom Capacity}}{\text{\# of Unhoused Pupils (Eligibility)}}$$

Types of New Construction Funding

There are several types of funding requests that can be made under the new construction program. The district may request site and design apportionments separately when they meet financial hardship requirements or as a combined site and design funding application. The following types of funding requests are available:

- Separate Design (Financial Hardship only)
- Separate Site (Financial Hardship only)
- Separate Site – Environmental Hardship
- New Construction Adjusted Grant

¹ Class B Construction Cost Index is a construction factor index for structures made of reinforced concrete or steel frames, concrete floors, and roofs, and accepted and used by the Board.

² SFP Regulation Section 1859.40 through 1859.43

Separate Design (Financial Hardship only)

Districts that qualify for financial hardship status may receive a separate apportionment for design costs. Design funding is intended to allow a district to hire an architect and prepare project plans for Division of the State Architect (DSA) approval. When the plans are complete and approved, the district may request the remaining new construction funding. The new construction adjusted grant will be reduced by the design apportionment previously made for the project.

Separate Site (Financial Hardship only)

Districts that qualify for financial hardship status may receive a separate apportionment for site acquisition. The site grant is intended to provide funding to a district to acquire property for the project. The district must have preliminary site approval from the California Department of Education (CDE) as well as an appraisal of the site to request a separate site apportionment. When the district is ready to request the remaining new construction funding, the new construction adjusted grant will be reduced by the site apportionment previously made for the project.

Separate Site—Environmental Hardship

A separate site apportionment is available for districts that have an environmental hardship but do not qualify for financial hardship. A project may qualify as an environmental hardship if the Department of Toxic Substances Control (DTSC) certifies by letter that the time necessary to complete the remediation or removal of hazardous waste on the site to be acquired will exceed 180 days. Then the district is eligible for a separate apportionment for site acquisition, which includes funding for hazardous waste removal.

New Construction Adjusted Grant

A new construction adjusted grant is intended to provide the State's share of the grant for project costs allowed under the program, including the new construction "base grant" (pupil grants), site acquisition, site utilities, off-site, and service site development. The new construction adjusted grant also includes applicable supplemental grants and adjustments as described in Attachment A. This grant is approved only after the site and construction plans are complete and fully approved by the appropriate entities.

Documents Required with Application for Funding

A district can file a funding application once it has obtained the required State agency approvals and has either established new construction eligibility or has submitted an eligibility application concurrently with the funding application. The following documents (as applicable) must be submitted to request new construction funding:

New Construction Funding Required Documents

DOCUMENT	TYPE OF FUNDING			
	DESIGN ONLY	SITE ONLY	SITE AND DESIGN	CONSTRUCTION
<i>Enrollment Certification/Projection</i> (Form SAB 50-01), updated with current enrollment, <i>Existing School Building Capacity</i> (Form SAB 50-02)* <i>Eligibility Determination</i> (Form SAB 50-03)*	✗	✗	✗	✗
<i>Application for Funding</i> (Form SAB 50-04)	✗	✗	✗	✗
Financial hardship approval (if applicable)	✗	✗	✗	✗
Career Technical Education Advisory Committee certification	✗	✗	✗	✗
Appraisal of property to be acquired when appropriate* (for separate site funding applications, preliminary appraisal of property)		✗	✗	✗

DOCUMENT	TYPE OF FUNDING			
	DESIGN ONLY	SITE ONLY	SITE AND DESIGN	CONSTRUCTION
Escrow closing statement or court order				✘
CDE approval of site* (for separate site funding applications, contingent CDE approval of site)		✘	✘	✘
Final DSA plan approval and DSA-approved plans and specifications				✘
CDE approval of final plans				✘
Cost estimate for site development†				✘
Plan‡ and cost estimate for off-site development funding requests				✘
Form HPI-1 (DSA-402) (if requesting the High Performance Incentive grant)				✘

* If this document has been submitted previously, it need not be resubmitted.

† SFP Regulations, Section 1859.76, "Additional Grant for Site Development Costs."

New Construction Eligibility Documents

If the district has not previously established new construction eligibility, the district must submit the *Enrollment Certification/Projection* (Form SAB 50-01), *Existing School Building Capacity* (Form SAB 50-02), and *Eligibility Determination* (Form SAB 50-03) along with the funding application. If the district previously established eligibility, it must submit a Form SAB 50-01 with current enrollment information in order to update the new construction eligibility. The Form SAB 50-01 is not required if the new construction eligibility is already up to date for the current academic year.

Application for Funding (Form SAB 50-04)

The Form SAB 50-04 is used by districts to request funding for design, site, and/or construction for all new construction projects. The form provides project information needed to determine the new construction adjusted grant including, but not limited to, the type of application; the grade level of the project; the number of pupils the project will house; whether or not a site is being acquired; and if any additional or supplemental grants are being requested.

DSA Final Plan Approval Letter and Approved Plans and Specifications

All new construction plans and specifications must be approved by the DSA. The DSA approval must be current and valid at the time of submittal of the application for funding to the OPSC. In addition, pursuant to the Field Act, all final plans and specifications for new construction, modernization, or alteration of any school building for which the district is seeking State funding requires DSA approval prior to signing a construction contract. If a district enters into a contract for construction prior to receiving DSA approval of the plans and specifications, the project may not be eligible for State funding. For more information, please refer to Education Code Section 17072.30.

- All funding applications must be accompanied by the DSA Final Plan Approval Letter.
- Plans should include all work eligible for funding through SFP and should be approved by DSA.
- Plans to be submitted include those for Site, Civil, City/County Street Development, Architectural (along with portable facilities), Structural, Electrical, Plumbing, Mechanical, and Landscape (as applicable).
- New plans will not be accepted during the review process once OPSC acknowledged the School District Project Application as a complete package.

CDE Final Plan Approval

The plans submitted to the OPSC must have the approval of the CDE. The final plan approval letter from CDE must accompany the funding application.

Career Technical Education Advisory Committee Certification

The district must submit written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3(b), 51228(b), and 52336.1.

Appraisal, Escrow Closing Statement, CDE Site Approval (if applicable)

An appraisal (dated within six months of application submittal), escrow closing statement or court order, and CDE site approval letter are required if the application includes site purchase. If not, only the CDE plan approval letter may be required. The documents are described in detail in Attachment A.

Cost Estimate for Site Development (if applicable)

A detailed cost estimate is required if the district is requesting additional grants for site development in its new construction funding application. For more information, please refer to the Attachment A.

Form HPI-1 (DSA-402, if applicable)

If the district is requesting the High Performance Incentive (HPI) grant, it must submit the Form HPI-1 (DSA-402) showing the project's DSA-verified High Performance Rating Criteria point score. The OPSC uses the Form HPI-1 (DSA-402) to verify the project's eligibility for the HPI grant.

Financial Hardship Approval Letter (if applicable)

Districts that are requesting financial hardship assistance must obtain financial hardship approval from the OPSC prior to submitting a funding application for a site, design, or adjusted grant. Financial hardship approvals are valid for six months, and the financial hardship approval letter must be submitted with the funding application before it expires.

Except for separate site and design approvals, the Board approves funding after the district has acquired or identified a site for the project and after the plans for construction are approved by the DSA and the CDE. The DSA approval ensures the project meets structural safety, handicapped accessibility, and fire code requirements, while CDE approval ensures the project and site meet the State's educational standards. In some cases (typically when a district builds a new school or adds land to an existing site), a district must obtain approval from the DTSC. The DTSC review is intended to ensure that districts have investigated and removed any hazardous waste from the site.

Application Review Process

New construction funding applications are reviewed on a First-in, First-out basis. After establishing eligibility and obtaining certain State agency approvals, a district can submit a funding application for project construction. Districts can track their applications and OPSC received dates by checking the monthly OPSC Workload List. New construction funding requests must be submitted prior to occupancy of any classroom in the project construction contract. The application package includes the Form SAB 50-04 and all relevant supporting documents. Staff reviews the application submittal to ensure that all of the required documentation has been submitted, that the Form SAB 50-04 is complete and correct, and that the district receives all eligible grants. Staff also conducts concurrent reviews if the district is requesting site development grants or site acquisition and hazardous waste removal grants.

Any issues with the application are addressed through 15- and 4-day letters. Staff sends a 15-day letter to raise issues found in the application (such as being ineligible for a particular grant being requested, being eligible for a grant not requested, boxes unchecked, site development review findings, etc.). The district has 15 calendar days to address the issues and submit a revised Form SAB 50-04 or provide any other requested information. After reviewing the district's revised application, Staff may send a 4-day letter if any issues remain. The district has four business days to submit requested changes. Once the district submits the response to the 4-day letter, Staff prepares a funding item to be presented to the Board for approval.

Funding Formula

New construction funding is provided with a per-unhoused pupil grant amount, also called the “base grant.” The new construction “base grant” is determined by multiplying the pupils assigned to the project by the pupil grant amount established in law. The new construction grant is adjusted by the SAB annually (each January) based on the change in the Class B Construction Cost Index. The 2013 grant amounts are as follows:

Grade Level	Grant Amount
K - 6	\$9,751
7 - 8	\$10,312
9 - 12	\$13,119
Non – Severe	\$18,321
Severe	\$27,396

Classroom Loading Standards

Generally, the pupil grants requested on a new construction funding application cannot exceed the number of pupils being housed in the new classrooms, according to the State loading standards below. In addition, the district must have new construction eligibility for the amount and grade category of the grants requested. For example, based on the loading standard of 25 pupils per K-6 classroom, up to 100 K-6 pupil grants can be requested for a project containing four new K-6 classrooms.

Grade Level	Loading Standard
K - 6	25
7 - 8	27
9 - 12	27
Non - Severe	13
Severe	9

“Use of New Construction Grants” Request: Pupil Grant Request in Excess of Project Pupil Capacity

In some circumstances, a district may request more pupil grants than the pupil capacity of the project in order to construct a core facility that is multipurpose room, gymnasium and/or library. The district is eligible to request excess pupils if the site lacks the core facility or the existing facility is inadequate, if the school site was not constructed under the SFP, and if other requirements are met. For example, a district could choose to request 25 K-6 pupil grants to construct a library at a site that lacks one, instead of constructing an additional K-6 classroom.

“Use of New Construction Grants” Request: Funding Requested at a Different Grade Level than the Project

If specific requirements are met, the regulations allow districts to request eligibility from one grade category to construct facilities for another grade category. Normally, the grade level of the requested pupil grant eligibility on a funding application must correspond to the facilities constructed in a project. For example, if the district's eligibility determination indicates it has 25 K-6 projected unhoused pupils, the district could request 25 K-6 pupil grants to construct one additional K-6 classroom. However, in some circumstances, a district could request the 25 K-6 pupil grants and use them to construct a 9-12 classroom. A district might choose this option if it lacks new construction eligibility in the 9-12 category but perhaps has a localized need for a new 9-12 classroom.

Supplemental Grants

Districts may qualify for a variety of supplemental grants depending on the size, type, location or other characteristics of the project. The supplemental grants are intended to recognize special costs associated with projects of a certain type or located in certain areas. The district uses the Form SAB 50-04 to request the supplemental grants.

Attachment A includes a complete description of each supplemental grant. The available grants are listed below.

- Special Education—Therapy
- Multilevel Construction
- Project Assistance
- Site Acquisition
- Site Development
- Replacement with Multi-Story Construction
- Energy Efficiency (funds now exhausted)
- Fire Code Requirements
- High Performance Incentive
- Geographic Location
- New School Projects
- Small Size Projects
- Urban Locations, Impacted Sites, Security Requirements
- Labor Compliance Program
- Prevailing Wage Monitoring

A completed Form SAB 50-04 and sample grant calculation are provided in Attachments B and C respectively. The basic formula for calculating the new construction grant follows:

$$1) \text{ Pupil Grants Requested} \times \text{Per Pupil Grant Amount} = \text{Base Grant}$$

$$2) \text{ Base Grant} + \text{Supplemental Grants} = \text{Total State Share}$$

$$3) \text{ State Share } 50\% + \text{Local Match } 50\% = \text{Total Project Cost } 100\%$$

New Construction Funding Data

Together with Modernization, the New Construction program has been at the core of the SFP since its establishment with the passage of the Class Size Reduction Kindergarten-University Public Education Facilities Bond Act of 1998 (Proposition 1A). Statewide school facilities general obligation bond measures have provided a total of \$13.11 billion for new construction, as follows:

Proposition & Date Approved by Voters	New Construction Amount	Note
1A November 1998	\$ 2,900,000,000	
47 November 2002	\$ 3,350,000,000	1
55 March 2004	\$ 4,960,000,000	
1D November 2006	\$ 1,900,000,000	2,3
	\$ 13,110,000,000	

¹ \$14.2 million – Energy Efficiency.

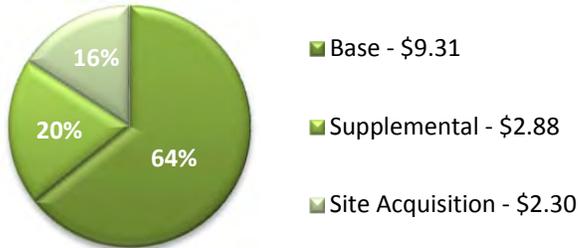
² No more than \$200,000,000 of the sum of the appropriations for new construction and modernization shall be used to fund the smaller learning communities and small high schools.

³ Up to 10½ percent (\$199.5 million) shall be available for purposes of seismic repair, construction, or replacement, pursuant to Education Code Section 17075.10.

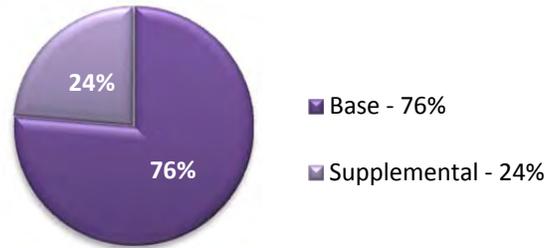
New Construction Fast Facts

There were a total of 3863 new construction projects approved by the Board totaling approximately \$14.5 billion in State funds from 1998 to 2013. The charts below represent a comparison between the Base Grant, Site Acquisition, and Supplemental Grants provided by the New Construction Program.

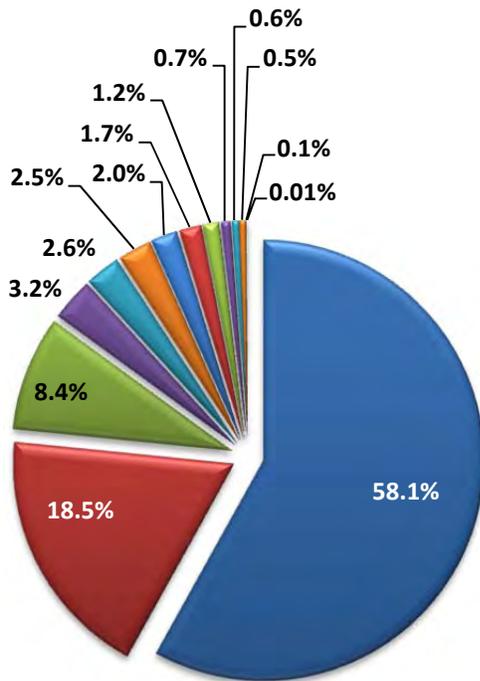
New Construction Grants - With Site Acquisition - (in billions)



New Construction Grants - Without Site Acquisition



New Construction - Supplemental Grants



- Site Development - 58.1%
- Urban Locations, Impacted Sites, Security Requirements - 18.5%
- Multi-Level Construction - 8.4%
- New School Projects - 3.2%
- Fire Sprinkler - 2.6%
- Small Size Projects - 2.5%
- Special Education - Therapy - 2.0%
- Labor Compliance Program - 1.7%
- Geographic Location - 1.2%
- Replacement with Multi-Story - 0.9%
- High Performance Incentive - 0.6%
- Fire Alarm/Detection - 0.5%
- Project Assistance - 0.1%
- Prevailing Wage Monitoring - 0.01%

New Construction Parking Lot Items

Facilities

- What is the definition of a classroom under the SFP?
- What have the priorities of the new construction program been? For example, classrooms and/or core facilities; permanent vs. portable construction

Eligibility

- How can the current method for determining eligibility and projecting the future needs for school facilities be improved? Should baseline eligibility be re-established?
- Does the current method of including dwelling units in the eligibility projection work? How can it be improved?

Funding

Is the current method of calculating the grants (per pupil plus supplemental grants) working?

Miscellaneous

- SFP bond authority is reserved for a project at the time an unfunded approval is granted. Regulations have been adopted that limit the amount of time a project will remain on the list of unfunded approvals when cash is available to convert it to an apportionment. This applies to some program applications but not all. How long should the Board reserve bond authority before rescinding the project?
- How often has funding been provided through the SFP for real property and/or facilities that are no longer being used or were never developed?
- What is the best method to create and maintain a statewide database of all school facilities in California

ATTACHMENT A

New Construction Funding Supplemental Grants

The supplemental grants and original effective dates of the respective SFP Regulation Section are listed below. A complete description of each supplemental grant follows.

- Special Education—Therapy Area - 12/03/1998
- Multilevel Construction - 12/03/1998
- Project Assistance - 6/26/2000
- Site Acquisition - 12/03/1998
- Site Development - 12/03/1998
- Replacement with Multi-Story Construction - 7/25/2001
- Energy Efficiency (funds now exhausted) - 11/04/2002
- Fire Code Requirements - 8/12/2002
- High Performance Incentive - 10/01/2007
- Geographic Location - 12/03/1998
- New School Projects - 12/03/1998
- Small Size Projects - 12/03/1998
- Urban Locations, Impacted Sites, Security Requirements - 12/03/1998
- Labor Compliance Program - 12/20/2004
- Prevailing Wage Monitoring - 3/26/2012

Special Education—Therapy: SFP Regulation Section 1859.72

The new construction grant will be increased for the area of therapy rooms, not to exceed 3,000 square feet, plus 750 square feet per additional Special Day Class classroom needed for severely disabled individuals with exceptional needs. The 2013 unit cost per square foot of therapy area is as follows:

- \$287 per square foot for toilet facilities
- \$159 per square foot for other facilities

The amounts shown above are the 50 percent State share and are adjusted for changes in the Class B Construction Cost Index.

Multi-Level Construction: SFP Regulation Section 1859.73

The SFP recognizes that districts face additional costs to construct multi-level school facilities on small sites. A supplemental grant is available for projects in densely populated areas to provide funds to alleviate and mitigate the impact of these small sites. If the useable site acreage for the project is less than 75 percent of the site size recommended by the CDE for the master planned project capacity, the new construction grant can be increased by 12 percent for each pupil housed in a multi-level building that will house pupils in all levels of the building.

Project Assistance: SFP Regulation Section 1859.73.1

The Board may provide additional project grants for project assistance to small school districts with enrollment of 2,500 pupils or less. The 2013 additional grant of \$5,884 may be used for costs associated with the preparation and submission of the SFP eligibility and funding applications, including costs related to support documentation such as site diagrams. The grant amount is adjusted each year using the Class B index.

Site Acquisition: SFP Regulation Section 1859.74

The site acquisition grant can be used to acquire and develop new school sites or, under some circumstances, to reimburse or credit the district for a portion of the site acquisition costs originally borne by the district or in specific circumstances the current appraised value. Eligible costs for site acquisition are:

- Fifty percent of the lesser of the actual cost or the appraised value of the site.
- Fifty percent of the relocation cost.
- Two percent of the lesser of the actual cost or the appraised value of the site, but not less than \$25,000.
- Fifty percent of certain costs related to the DTSC review and oversight.
- Fifty percent of hazardous waste removal costs (within one and one half times the appraised value).

Independent Appraisal Requirement The district is required to submit one site appraisal with the Form SAB 50-04. A California licensed and duly-qualified appraiser must issue a current appraisal report for the proposed site using the Uniform Standards of Professional Appraisal Practice. The appraisal must be impartial and prepared for the district or its legal counsel.

The site must be appraised as if it were a clean site, safe from all contaminants in accordance with SFP Regulations, Section 1859.74.1, CDE guidelines, and Title 5, California Code of Regulations. The appraisal report must evaluate both the gross and net usable acreage and any severance damages.

Site improvements associated with grading the site to a mass graded or construction-ready condition without foundation or paving and proposed utilities stubbed to the site may be included in the appraisal. Other site improvements must be finished before close of escrow or 100 percent covered by a performance bond.

The appraisal date of valuation, or an update, may not predate by more than six months of the district's funding application to the OPSC. An SFP project which had the site funded as a Lease-Purchase Program (LPP) project shall use the value funded under the LPP.

Relocation Expenses Reasonable and necessary costs to relocate residential occupants and businesses from the proposed new school site, including purchasing fixtures and equipment, personal property, new machinery and equipment, and the installation of any improvements at the replacement residences or business locations are permitted as site acquisition costs.

Two Percent Two percent of fifty percent of the lesser of the actual cost or the appraised value of the site (not less than \$25,000) is an allowance for appraisals, escrow, survey, site testing, CDE review/approvals and the preparation of the Phase One Environmental Site Assessment (POESA) and the Preliminary Endangerment Assessment (PEA).

DTSC Costs Site acquisition costs may include up to 50 percent of the cost for the review, approval and oversight of the POESA and the PEA. Note that these costs are prior to the actual clean-up costs, if any. Those costs may be included under some circumstances. See the paragraph entitled "Hazardous Waste Removal" below.

Hazardous Waste Removal The site acquisition grant may be increased by up to one-half of the costs associated with the removal or remediation of hazardous waste on the site to be acquired. These costs may include the actual implementation of the response action required in the PEA, the cost of the preparation of the Response Action, and the cost for the DTSC review and oversight of the preparation and implementation of the Response Action. The increase in site acquisition may not exceed the difference between one and one half times the appraised value of the site as if no contamination existed and the actual cost of the contaminated site. In some instances, the cap can be exceeded if a project meets certain requirements and obtains Board approval.

Incidental Site and Hazardous Waste Removal for Leased Sites If the application for funding includes a vacant leased site that was never used for school purposes, the site acquisition grant may be increased by up to one-half of the costs associated with the removal or remediation of hazardous waste on the site to be leased. These costs may include approved relocation expenses, the actual implementation of the Response Action required in the PEA, the cost of the preparation of the Response Action, and the cost for the DTSC review and oversight of the preparation and implementation of the Response Action. The increase in site acquisition may not exceed one and one half times the appraised value of the site determined by an appraisal made or updated no more than six months prior to the date the application was submitted to the OPSC.

Hazardous Waste Removal Required on an Existing School Site Site acquisition funding may be available for the evaluation and response action in connection with hazardous substances at an existing school site in advance of submittal of the DSA approved plans.

Acquiring Title Title to all property acquired, constructed, or improved with funds made available under the SFP must be held by the school district to which the Board grants the funds. If the district does not hold title to the site before submitting the funding application, it must demonstrate one of the following:

- Purchase will be made from one or more private parties, companies, developers, or other entities, as evidenced by an escrow showing the pending transfer of ownership to the district.
- Court orders, especially orders of condemnation through the county court where the proposed new site lies, which include a Final Judgment, Stipulated Judgment and Order of Immediate Possession to allow occupancy, or Order of Prejudgment Possession.
- An escrow for the transfer of property in lieu of other legally required payments or fees due to the district. (Example: Districts sometimes obtain proposed new school site parcels from developers, with all or part of the “purchase” price comprised of the district forbearing from collecting school mitigation fees from the developers.)

Funding on Leased Land The district may utilize sites leased from governmental agencies for certain specified periods of time. To receive new construction grants for facilities that are or will be located on real property leased by the district, the property must be leased from the federal government for a period of 25 years or another governmental agency for a period of 40 years. If the lease is with a governmental agency other than the federal government, a 30-year lease may be considered if there are no other educationally adequate sites available under a 40-year lease, the cost per year for a 30-year lease is not greater than a 40-year lease, or the district can provide satisfactory evidence to the SAB that a shorter term lease is necessary.

Site Development: SFP Regulation Section 1859.76

A supplemental grant is available for developing the site where the project is to be located. Fifty percent of the site development costs are available for both new sites and for existing sites where additional facilities are being constructed with the exception of general site development. General site funding is only available for new school projects and additions to existing sites when additional acreage is acquired. These development costs fall under four categories:

- Service site development improvements are performed within school property lines and may include eligible site clearance, rough grading, soil compaction, drainage, erosion control and multi-level, single level subterranean or under building parking structures. This portion of the site preparation is accomplished prior to the general site development and construction of buildings.
- Off-site improvements are located along the perimeter of two sides of the site including street grading and paving, storm drainage lines, curbs, gutters, sidewalks, and street lighting. These improvements are commonly dedicated for public use. The local entities having jurisdiction of areas where the off-site development is proposed must approve the related plans and specifications. These approved plans and specifications must be submitted to the OPSC at the time the application for funding is submitted.
- Utility service developments include improvements of water, sewer, gas, electric, and telephone from the closest existing utility connection.
- General site development includes onsite driveways; walks; parking; curbs and gutters; athletic courts, tracks and fields; etc. Funding for general site work is limited to \$15,846 per usable acre plus a percentage of the base grant including specific additional grants (multi-level, automatic fire detection/alarm system, automatic sprinkler system, and excessive cost hardship grants). Districts receive a 6 percent increase for elementary and middle school projects and a 3.75 percent increase for high school projects.

If a district is requesting a supplemental grant associated with site development on the Form SAB 50-04, verification must be submitted to support the request with the exception of general site development. To assist in gathering the supporting detail, the OPSC has developed a “Site Development Worksheet for Additional Grants” that is located on the OPSC Web site. The district may use this worksheet or similar method to submit this information to the OPSC.

Replacement with Multi-Story Construction: SFP Regulation Section 1859.73.2

In most cases, new construction funding is only provided for the construction of additional classrooms. However, one exception is as follows: as part of a SFP new construction project, a school district may demolish a single story facility and replace it with a multi-story facility on the same site. In addition to the new construction grant allowance, the Board will provide a supplemental grant to fund 50 percent of the replacement cost of the single story facility(s) to be replaced provided that the site size is less than 75 percent of the recommended CDE site size, the pupil capacity at the site will be increased, the cost of the demolition and replacement is less than the cost of providing a new facility at a new site to house the increased pupil capacity, and the project has CDE approval.

Energy Efficiency: SFP Regulation Section 1859.71.3

A supplemental grant was available through Propositions 47 and 55 to districts with projects that have increased costs associated with plan design and other project components for school facility energy efficiency. The facilities in the proposed new construction project must exceed the nonresidential building energy efficiency standards as specified in Title 24, Part 6 of the California Code of Regulations by 15 percent. Currently, all energy efficiency funds have been exhausted.

Fire Code Requirements: SFP Regulation Section 1859.71.2

The new construction grant will be increased for each pupil in a project that includes automatic fire detection and alarm system and automatic sprinkler system. The amounts below are the 50 percent State share and are adjusted annually for changes in the Class B Construction Cost Index.

Automatic Fire Detection and Alarm System - 2013

Grade Level	Grant Amount
K - 6	\$11
7 - 8	\$17
9 - 12	\$26
Non - Severe	\$34
Severe	\$51

Automatic Sprinkler System - 2013

Grade Level	Grant Amount
K - 6	\$164
7 - 8	\$195
9 - 12	\$202
Non - Severe	\$347
Severe	\$518

High Performance Incentive: SFP Regulation Section 1859.71.6 & 1859.77.4

The High Performance Incentive (HPI) grant is intended to promote the use of high performance attributes. It is available to districts with projects that have increased costs associated with high performance attributes in school facilities, which include using designs and materials that promote energy and water efficiency, maximize the use of natural lighting, improve indoor air quality, utilize recycled materials and materials that emit a minimal amount of toxic substances, and employ acoustics that are conducive to teaching and learning. Projects must meet the minimum score based on the High Performance Rating Criteria to be eligible for the grant. The HPI grant has a two-part calculation. Depending on the number of points the project attains, the project can qualify for an increase to the per-pupil base grant that ranges from 2 to just over 11 percent. In addition, the High Performance Base Incentive Grant (HPBIG) is also available as a one timer per school site incentive. The HPBIG awards \$150,000 for new school projects and \$250,000 for new construction addition projects.

Geographic Location: SFP Regulation Section 1859.83

A supplemental grant is available to districts with projects that are located in areas of California that are remote, difficult to access, or lack a pool of contractors. This grant is available for locations that are defined in the SFP regulations.

New School Projects: SFP Regulation Section 1859.83

Districts that will construct an entirely new school on a site without existing facilities may qualify for a supplemental grant. This allowance is intended to provide funds to construct core facilities, such as multi-purpose rooms, gymnasiums, libraries, kitchens, etc., for projects that have a minimal amount of classrooms, but not enough to generate a sufficient new construction grant to build these essential facilities. The allowance is then offset from future new construction projects at the site. This grant is also available for the new Alternative Education schools (community day, county community, county community day, and continuation high schools).

Small Size Projects: SFP Regulation Section 1859.83

A supplemental grant is available to districts with projects that house no more than 200 pupils. The grant provides additional funds for core facilities and to make up for the lack of economies of scale when districts build small projects. The new construction grant can be increased by 12 percent for a project that will house less than 101 pupils or by four percent if the project will house over 100, but no more than 200 pupils.

Urban Locations, Security Requirements and Impacted Sites: SFP Regulation Section 1859.83

Districts with projects in urban locations on impacted sites may request a supplemental grant if all of the following conditions are met:

- The useable site acreage for the project is 60 percent or less of the site size recommended by the CDE for the net school building capacity for the project plus any existing enrollment at the site, if any.
- At least 60 percent of the classrooms in the project construction plans are in multi-story facilities.
- For new construction of a new school site, the value of the site being acquired is at least \$750,000 per useable acre. This condition does not apply to new construction additions to existing school sites.

Urban locations on impacted sites are generally in areas of high property values or high population density, creating an environment difficult for districts to acquire ample real property, which causes increased project costs uniquely associated with urban construction. Districts with projects on these impacted sites are also faced with extra security requirements. The supplemental grant provides funds for security fences, watchpersons, increased premiums for insurance for contractors, and storage or daily delivery of construction materials to prevent theft and vandalism. If a district requests grants due to these circumstances, the OPSC will verify the district's eligibility pursuant to the CDE Final Plan Approval letter and by OPSC's review of the project construction plans and site appraisal.

The urban supplemental grant is calculated on a sliding scale as follows:

- 15 percent of the New Construction Grant and of the funding for additional grants for replaced facilities*, small size projects† and new school projects‡, and
- 1.166 percent increase to the urban grant adjustment for each percentage decrease in the CDE recommended site size below 60 percent.

For new construction of a new school site, the adjustment shall not exceed 50 percent of the cost avoided with the purchase of a site smaller than the CDE recommended site size for the number of the pupil grants requested in the application§. This limit does not apply to new construction additions to existing school sites.

* SFP Regulations, Section 1859.73.2, "New Construction Additional Grant for Replaced Facilities"

† SFP Regulations, Section 1859.83(b), "Excessive Cost for Projects that House No More than 200 Pupils (Small Size Project)"

‡ SFP Regulations, Section 1859.83(c), "Excessive Cost to Construct a New School Project"

§ SFP Regulations, Section 1859.83(d)(2)(A), "Excessive Cost Due to Urban Location, Security Requirements and Impacted Site"

Labor Compliance Program (LCP) : SFP Regulation Section 1859.71.4

An LCP, as specified by Labor Code, Section 1771.7, must be initiated and enforced for each project funded wholly or in part from Propositions 47 or 55 funds if the Notice to Proceed was issued on or after April 1, 2003, and before January 1, 2012. Additional funding is provided for these projects. The LCP grant begins at \$8,000 (50 percent State share) and increases on a sliding scale according to the total project cost.

Prevailing Wage Monitoring (PWM) : SFP Regulation Section 1859.71.4

A supplemental grant is available for the cost of prevailing wage monitoring conducted by the Department of Industrial Relations (DIR) pursuant to Labor Code Section 1771.3 for all public works projects with a construction contract awarded on or after January 1, 2012. This requirement applies for all state bond funding sources, including Propositions 1A, 47, 55 and 1D. The State share of the PWM grant is 50 percent is one quarter of one percent of the total project grant, including the Financial Hardship grant, excluding PWM grant amount.

There are limited exceptions to the DIR monitoring requirement under the following circumstances; however, these projects are still provided the PWM grant:

- The district elects to continue to use a previously DIR-approved in-house LCP.
- The district has entered into a collective bargaining agreement (or project labor agreement) that meets certain conditions detailed in Labor Code section 1771.3(b)(3).

ATTACHMENT B - NEW CONSTRUCTION

GENERAL INFORMATION

If this application is submitted when there is Insufficient Bond Authority, as defined in Regulation Section 1859.2, the School District must adopt and submit a school board resolution, pursuant to Regulation Section 1859.95.1. For information regarding remaining bond authority, contact the Office of Public School Construction (OPSC) prior to submittal of this application.

Once the Board has determined or adjusted the district's eligibility for either new construction or modernization funding, the district may file an application for funding by use of this form. The Board will only provide new construction funding if this form is submitted prior to the date of occupancy of any classrooms included in the construction contract. If the district has a pending reorganization election that will result in the loss of eligibility for the proposed project, the district may not file an application for funding until the Board has adjusted the district's new construction baseline eligibility as required in Section 1859.51. This may be accomplished by completion of Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03.

For purposes of Education Code Section 17073.25, the California Department of Education (CDE) is permitted to file modernization applications on behalf of the California Schools for the Deaf and Blind.

Requests for funding may be made as follows:

1. A separate apportionment for site acquisition for a new construction project for environmental hardship pursuant to Section 1859.75.1. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Contingent site approval letter from the CDE.
 - Preliminary appraisal of property.
 - Approval letter from the Department of Toxic Substances Control.
 2. A separate apportionment for site acquisition and/or design costs for a new construction project pursuant to Section 1859.81.1. This apportionment is available only to districts that meet the financial hardship criteria in Section 1859.81. Districts may apply for a separate apportionment for the design and for site acquisition on the same project. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Contingent site approval letter from the CDE (site apportionment only).
 - Preliminary appraisal of property (site apportionment only).
 3. A separate apportionment for district-owned site acquisition cost pursuant to Section 1859.81.2. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Site approval letter from the CDE.
 - Appraisal of district-owned site.
 - Cost benefit analysis as prescribed in Section 1859.74.6 or a copy of the Board finding that the non-school function on the district-owned site must be relocated.
 4. A separate apportionment for design cost for a modernization project pursuant to Section 1859.81.1. This apportionment is available only to districts that meet the financial hardship criteria in Section 1859.81. For purposes of this apportionment, the Form SAB 50-03 must accompany this form (if not previously submitted).
 5. A New Construction Adjusted Grant pursuant to Section 1859.70 or 1859.180. If the funding request includes site acquisition, the proposed site must either be owned by the district, in escrow, or the district has filed condemnation proceedings and received an order of possession of the site. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Site/plan approval letter from the CDE.
 - Appraisal of property if requesting site acquisition funds.
 - Plans and specifications (P&S) for the project that were approved by the DSA. Submittal of plans may be on CD-ROM or "Zip Drive" readable in AutoCAD 14. The specifications may be provided on a diskette that is IBM compatible.
 - Cost estimate of proposed site development, if requesting site development funding.
 - If this request is pursuant to Section 1859.77.2 and the district's housing plan is other than those listed in the certification section of this form, a copy of the school board resolution and the approved housing plan.
 - If the site apportionment is requested pursuant to Regulation Section 1859.74.5, a cost benefit analysis as prescribed in Regulation Section 1859.74.6 or a copy of the Board finding that the non-school function on the district-owned site must be relocated.
 - If this request is fully or partially based on eligibility derived from an Alternative Enrollment Projection, a justification of how the project relieves overcrowding, including but not limited to, the elimination of the use of Concept 6 calendars, four track year-round calendars, or bussing in excess of 40 minutes.
 - Written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3(b), 51228(b), and 52336.1.
 6. For purposes of the Overcrowding Relief Grant (ORG), districts must submit the Overcrowding Relief Grant District-Wide Eligibility Determination (Form SAB 50-11) prior to the submittal of this funding application. In addition, districts must have had the CDE deem the site eligible for the ORG (pursuant to Section 1859.181) prior to the submittal of this application. For purposes of this apportionment, the following documents must be submitted with this form as well as the documents listed in section 5 above:
 - Overcrowding Relief Grant Eligibility Determination Form approved by the CDE.
 - Copies of the supporting documentation provided to the CDE when determining the density of the site, including the site diagram.The district is not required to submit its current CBEDS enrollment data.
- Modernization Adjusted Grant pursuant to Section 1859.70. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
- Form SAB 50-03 (if not previously submitted).
 - P&S for the project that were approved by the DSA.
 - If the request includes funding for accessibility and fire code requirement pursuant to Section 1859.83(f), the DSA approved list of the minimum accessibility work required and a detailed cost estimate for the work in the plans.
 - DSA approval letter for elevator to meet handicapped compliance, if funding is requested.
 - Cost estimate of the proposed site development necessary for the Reconfiguration of an existing high school.
 - Plan approval letter from the CDE.

- Districtwide enrollment data on Form SAB 50-01 when requesting project assistance (if not previously submitted).
- If the request includes funding for 50 year old permanent buildings pursuant to Section 1859.78.6, a site diagram identifying all buildings to be modernized in the project. The diagram must specify those buildings that are at least 50 years old.
- Written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3(b), 51228(b), and 52336.1.

Prior to acceptance of an application for funding that includes a financial hardship request:

- If the application is submitted pursuant to Section 1859.81, the district must have its financial hardship status "pre-approved" by the OPSC. To apply for a financial hardship "pre-approval", consult the OPSC Web site at www.dgs.ca.gov/opsc.
- If the application is submitted when there is Insufficient Bond Authority, as defined in Section 1859.2, the district must adopt a school board resolution pursuant to Section 1859.95.1(b).

If the district is requesting New Construction funding after the initial baseline eligibility was approved by the Board and the district's current CBEDS enrollment reporting year is later than the enrollment reporting year used to determine the district's baseline eligibility or adjusted eligibility, the district must complete a new Form SAB 50-01 based on the current year CBEDS enrollment data, and submit it to the OPSC with this form. In addition, if the district's request is fully or partially based on eligibility derived from an Alternative Enrollment Projection, the district must update the Alternative Enrollment Projection to correspond with the CBEDS enrollment data for the current year. A small district with 2,500 or less enrollment as defined in Section 1859.2 will not have its eligibility reduced for a period of three years from the date the district's baseline eligibility was approved by the Board as a result of reduction in projected enrollment.

For a list of the documents that must be submitted in order for the OPSC to deem a funding request for new construction or modernization complete and ready for OPSC processing, consult the SFP handbook and other information located on the OPSC Web site at www.dgs.ca.gov/opsc.

For purposes of completing this form for a Final Charter School Apportionment, a charter school shall be treated as a school district.

SPECIFIC INSTRUCTIONS

The district must assign a Project Tracking Number (PTN) to this project. The same PTN is used by the OPSC, the DSA and the CDE for all project applications submitted to those agencies to track a particular project through the entire state application review process. If the district has already assigned a PTN to this project by prior submittal of the P&S to either the DSA or the CDE for approval, use that PTN for this application submittal. If no PTN has been previously assigned for this project, a PTN may be obtained from the OPSC Web site at www.dgs.ca.gov/opsc "PT Number Generator."

1. Type of Application

Check the appropriate box that indicates the type of School Facility Program (SFP) grant the district is requesting for purposes of new construction, modernization, a separate design and/or site apportionment, site apportionment as an environmental hardship or New Construction (Final Apportionment). If the application is for the modernization of school facilities and includes facilities that are eligible for

an additional apportionment pursuant to Section 1859.78.8, include a site diagram with this application that specifies the age of each facility eligible for modernization. The diagram should also indicate the date of its original DSA plan approval and the date the facility received its prior modernization apportionment. If known include the project modernization number on the diagram. If the application is for modernization of a California School for the Deaf or Blind, the CDE shall check the box identified as Modernization of California Schools for the Deaf/Blind. If the request is for a separate design apportionment, the CDE shall check the appropriate box. If the eligibility for this project was established as a result of the need for new or replacement facilities pursuant to Section 1859.82 (a) and (b), seismic replacement or seismic rehabilitation for the Most Vulnerable Category 2 Buildings, or rehabilitation pursuant to Section 1859.83 (e), check the appropriate box(es).

If this request is for an addition to an existing site and advance funding for the evaluation and RA costs, check the appropriate box and refer to Section 1859.74.4.

If this request is for an Overcrowding Relief Grant, check the New Construction (Overcrowding Relief Grant) box.

If this request is to convert a Preliminary Apportionment or a Preliminary Charter School Apportionment to a Final Apportionment, check the New Construction Final Apportionment, New Construction Final Charter School Apportionment or the Rehabilitation Final Charter School Apportionment box, as appropriate.

If the district is requesting a separate site and/or design apportionment, complete boxes 2a, 3, 4, the site acquisition data in box 5 (d and e), and boxes 12, 13, 14, 15 and 23 only.

2. Type of Project

- a. Select the type of project that best represents this application request and enter the total number of pupils assigned to the project for each grade group. Include pupils to be housed in a new or replacement school authorized by Section 1859.82 (a). The amount entered cannot exceed the district's baseline eligibility determined on Form SAB 50-03 and will be the basis for the amount of the new construction or modernization grants provided for the project.

If this request is for a Final Apportionment, the pupils assigned to the project must be at least 75 percent, but not more than 100 percent, of the pupils that received the Preliminary Apportionment. Refer to Section 1859.147.

For ORG projects, the amount entered cannot exceed the Overcrowding Relief Pupil Eligibility (pursuant to Section 1859.182 and 1859.183) as reflected in the total number of eligible pupils determined by the Form SAB 50-11 or the CDE Overcrowding Relief Grant Eligibility Determination form.

- b. Check the box if the project is eligible for funding for 50 year or older permanent buildings and report, at the option of the district:
 - The total number of eligible classrooms or the total eligible square footage building area at the site. Refer to Section 1859.78.6(b)(1)(A) or (b)(2)(A).
 - The total number of permanent classrooms or the total permanent square footage building area that is at least 50 years old and not been previously modernized with state funds. Refer to Section 1859.78.6(b)(1)(B) or (b)(2)(B).
 - Enter the greater percentage as calculated under Regulation Section 1859.78.6(b)(1)(C) or Regulation Section 1859.78.6(b)(2)(C).

- If this project includes eligible 50 year or older pupil grants, enter the appropriate number assigned to the project for each grade group. The number of pupils entered cannot exceed the cumulative number of 50 year or older permanent buildings pupil grants requested for all modernization funding applications for the site as determined by using the percentage factor above.

- If this request includes pupil grants generated by an Alternative Enrollment Projection Method, enter the number of pupils by grade level.
- Indicate if this request is for funding of a 6–8 school and/or an Alternative Education School.
- Check the applicable box if the district is requesting additional pupil grants assigned to the project that exceed the capacity of the project or if the pupils assigned represent eligibility determined at another grade level and check the appropriate box to indicate under which regulation the district is applying. The pupil capacity of the project may be determined by multiplying the classrooms reported in box 3 by 25 for K–6; 27 for 7–8, 9–12 grades; 13 for non-severe and 9 for severe.
- If the request is for replacement facilities pursuant to Section 1859.82 (a) or (b) on the same site, check the facility hardship box.
- Enter the square footage of the non-toilet area and toilet area contained in the rehabilitation project.
- Indicate the site scenario that best represents the project request.
- For ORG projects, the district must provide the following information in the space provided:
 - Name of the eligible school site(s) where portables will be replaced in this project
 - Number of portables being replaced at each school site
 - Number of site specific eligible pupils being requested for this project for each school site. The total number of site specific eligible pupils assigned to this project must equal the total number of pupils in Section 2a.

3. Number of Classrooms

Enter the:

- Number of classrooms as shown on the plans and specifications (P&S). If there was demolition at the site, report the net increase in the number of classrooms showing in the P&S.
- Master plan site size, as recommended by the California Department of Education.
- Recommended site size, as determined by the California Department of Education.
- Existing Useable Acres already owned at that location (if any).
- Proposed Useable Acres that was/will be purchased as part of the application (if any).

4. Financial Hardship Request

Check the appropriate box(es) if the district is requesting financial hardship assistance because it is unable to meet its matching share requirement.

- If the district is submitting this form pursuant to Section 1859.81, the district must have received a pre-approval for financial hardship status by the OPSC. Consult the OPSC Web site at www.dgs.ca.gov/opsc for details and necessary documentation needed in order to determine eligibility.
- If there is Insufficient Bond Authority for the type of application, check the second box and attach a school board resolution pursuant to Section 1859.95.1(b).

5. New Construction Additional Grant Request

Check the appropriate box(es) if the district requests an augmentation to the new construction grant for "additional" grants for the items listed or for replacement facilities pursuant to Section 1859.82(a) and (b). Refer to Sections 1859.72 through 1859.76 and 1859.82(a) and (b) for eligibility criteria. Enter the:

- Therapy area in square feet as provided in Section 1859.72.
- Multilevel classrooms in the P&S pursuant to Section 1859.73.
- Check the box if the district is requesting project assistance pursuant to Section 1859.73.1. If the district has not submitted a request for new construction baseline eligibility on a district-wide basis, it must submit a current Form SAB 50-01 based on district-wide enrollment data with this form.
- If the project the district is requesting SFP funding for does not require an RA, refer to Section 1859.74. If a RA is required on a site that is not leased or an addition to an existing site, refer to Section 1859.74.2. If RAs are required on a leased site or an addition to an existing site, refer to Sections 1859.74.3 or 1859.74.4, respectively. The limitation of 50 percent may be exceeded when unforeseen circumstances exist, the CDE determines that the site is the best available site, and substantiation that the costs are the minimum required to complete the evaluation and RA.
 - Enter 50 percent of the actual cost.
 - Enter 50 percent of the appraised value of the site. If the request is made pursuant to Regulation Section 1859.74.5, enter 50 percent of the appraised value.
 - Enter 50 percent of the allowable relocation cost.
 - Enter two percent of the lesser of the actual cost or appraised value of the site (minimum \$25,000).
 - Enter 50 percent of the Department of Toxic Substances Control (DTSC) fee for review and approval of the phase one environmental site assessment and preliminary endangerment assessment reports. Refer to Sections 1859.74, 1859.74.1, 1859.74.5, 1859.75, 1859.75.1 and 1859.81.1.

A project that received site acquisition funds under the Lease-Purchase Program (LPP) as a priority two project is not eligible for site acquisition funds under the SFP. A district-owned site acquired with LPP, SFP or Proposition 1A funds is not eligible for funding under Regulation Section 1859.74.5.

- Enter 50 percent of the amount allowable for hazardous materials/waste removal and/or remediation for the site acquired pursuant to Sections 1859.74.2, 1859.74.3, 1859.74.4, 1859.75.1 or 1859.81.1. If an RA is required, check the box.
 - Enter 50 percent of eligible service-site development, off-site development including pedestrian safety paths and utilities costs allowed pursuant to Section 1859.76. Attach cost estimates of the proposed site development work which shall be supported and justified in the P&S. All cost estimates shall reflect 100 percent of the proposed work.
- Check the box if the district is requesting an Additional Grant for General Site Development pursuant to Section 1859.76
- If the district is requesting replacement facilities on the same site, (including seismic replacement), enter the square footage requested as provided in Section 1859.82(a) or (b).
 - If the request for seismic rehabilitation does not exceed 50 percent of the current replacement cost of the classroom or related facility, report 50 percent of the health/safety seismic mitigation cost and the ancillary costs as authorized by Section 1859.82(a).

- i. Enter the square feet of eligible replacement area as provided by Section 1859.73.2.
- j. If the district is requesting an Additional Grant for Energy Efficiency pursuant to Section 1859.71.3, enter the percentage of energy efficiency that exceeds Title 24 requirements as prescribed in Section 1859.71.3(a)(3).
- k. Check the box(es) if the district requests and the project qualifies for additional funding for fire code requirements authorized in Section 1859.71.2.
- l. If the district is requesting an Additional Grant for High Performance Incentive pursuant to Section 1859.70.4, enter the number of high performance points as prescribed in Section 1859.71.6.

6. Modernization or Charter School Facilities Program Rehabilitation Additional Grant Request

- a. Check the box if the district is requesting project assistance allowance pursuant to Section 1859.78.2. If the district has not submitted a request for new construction baseline eligibility on a district-wide basis, it must submit a current Form SAB 50-01 based on district-wide enrollment data with this form. (Not available for Charter School Facilities Program Rehabilitation).
- b. If the district is requesting an Additional Grant for Energy Efficiency pursuant to Section 1859.78.5, enter the percentage of energy efficiency that exceeds Title 24 requirements as prescribed in Section 1859.78.5(a)(3).
- c. Check the box if the district requests an additional grant for site development utility cost necessary for the modernization of 50 years or older permanent building(s). Enter 60 percent of the eligible costs allowable pursuant to Section 1859.78.7(a). (Not available for Charter School Facilities Program Rehabilitation).
- d. Check the box(es) if the district requests and the project qualifies for additional funding for fire code requirements authorized in Section 1859.78.4. (Not available for Charter School Facilities Program Rehabilitation).
- e. If the district is requesting an Additional Grant for High Performance Incentive pursuant to Section 1859.70.4, enter the number of high performance points as prescribed in Section 1859.77.4.

7. Excessive Cost Hardship Request

Check the appropriate box to request an augmentation to the New Construction, Modernization or Charter School Facility Program Rehabilitation Grants for an excessive cost hardship for the items listed. Refer to Section 1859.83 for eligibility criteria. Requests for excessive cost grants for accessibility requirements are allowed only if required by the Division of the State Architect (DSA). At the district's option, the district may request three percent of the modernization base grant or enter 60 percent of the amount calculated pursuant to Regulation Section 1859.83(f). Attach a copy of the DSA approved list that shows the minimum work necessary for accessibility requirements.

If the request is for the excessive cost grant for a new Alternative Education school pursuant to Section 1859.83(c)(2) and the district wishes to request less than the maximum allowance, please submit a letter along with application indicating the desired amount.

If the request is for rehabilitation mitigation, report 80 percent or 60 percent (as appropriate) of health/safety rehabilitation mitigation cost for a modernization project as authorized by Section 1859.83(e).

8. Project Priority Funding Order

Enter the priority order of this project in relation to other new construction applications submitted by the district on the same date. If applications are not received on the same date, the OPSC will assign a higher district priority to the application received first. Check the box(es) if the project meets the criteria outlined in Section 1859.92(c)(3),(4) and (6), as appropriate. This information is needed for purposes of priority points.

9. Prior Approval Under the LPP

If the project the district is requesting SFP grants for received a Phase P, S, or C approval under the LPP, report the application number of that project, regardless if the project actually received funding or was included on an "unfunded" list. Failure to report this information may delay the processing of the application by the OPSC.

10. Prior Apportionment Under the SFP

If the project received a separate apportionment under the SFP for either site and/or design, or site environmental hardship, enter the application number of the project. Failure to report this information may delay the processing of the application by the OPSC.

11. Preliminary Apportionment to a Final Apportionment

If this request is to convert a Preliminary Apportionment to a Final Apportionment, enter the application number of the Preliminary Apportionment. Failure to report this information may delay the processing of the application by the OPSC.

12. Alternative Developer Fee

The district must report certain alternative fees collected pursuant to Government Code Section 65995.7, as of the date of application submittal to the OPSC. Refer to Section 1859.77 for details. Districts are advised that the OPSC may perform an audit of the developer fees collected prior to application approval by the Board.

13. Adjustment to New Construction Baseline Eligibility

Pursuant to Section 1859.51 certain adjustments to the district's new construction baseline eligibility must be made each time a district submits Form SAB 50-04, to the OPSC for SFP new construction or modernization grants. These adjustments are made by the OPSC based on information reported by the district on this form.

- a. Report all classroom(s) provided after the district submitted its request for determination of its new construction baseline eligibility for the grades shown, or indicate N/A if there are none. Refer to Section 1859.51(i).

In the additional classroom column, indicate the number of additional net classrooms provided if not previously reported.

In the replacement classroom column, indicate the number of classrooms that were included in the determination of the district's new construction eligibility pursuant to Education Code Section 17071.75 but replaced in a locally funded project.

Enter the date the initial construction contract was signed for additional or replacement classrooms.

**APPLICATION FOR FUNDING
SCHOOL FACILITY PROGRAM**

SAB 50-04 (REV 09/12)

14. Pending Reorganization Election

Complete only for new construction projects. Indicate if there is a pending reorganization election that will result in a loss of eligibility for this project. If the answer is "yes", the district must complete Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03, to adjust the district's new construction baseline eligibility as a result of the reorganization and submit them with this form.

15. Joint-Use Facility/Leased Property

Check the box if:

- The facilities to be constructed/modernized as part of this project will be for joint use by other governmental agencies.
- The new construction or modernization grants will be used for facilities located or to be located on leased property.

16. Project Progress Dates

Complete this section for new construction/modernization projects:

- Enter the date(s) the construction contract(s) was awarded for this project(s). If a construction contract has not been executed, enter N/A. (If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)
- Enter the issue date(s) for the Notice to Proceed for the construction phase of the project, or enter N/A if a Notice to Proceed has not been issued.
- If a construction contract was awarded prior to January 1, 2012, check the appropriate box to indicate whether or not the district has initiated and enforced a Labor Compliance Program (LCP) approved by the Department of Industrial Relations (DIR) pursuant to Labor Code Section 1771.7 for this project.

17. Prevailing Wage Monitoring and Enforcement Costs

If the construction contract for this project was or will be awarded on or after January 1, 2012, check the appropriate box to indicate which of the following methods will be used to meet the requirement for prevailing wage monitoring and enforcement pursuant to Labor Code Section 1771.3:

- The DIR Compliance Monitoring Unit (CMU)
- A DIR-approved internal LCP
- A collective bargaining agreement that meets the criteria set forth in Labor Code Section 1771.3(b)(3).

18. Construction Delivery Method

Check the box that best represents the construction delivery method that the district has or will use for this project, if known.

19. Career Technical Education Funds Request

Indicate if Career Technical Education (CTE) funds will be requested for classroom(s) included in the plans and specifications for this project pursuant to Section 1859.193. If "Yes", enter the number of CTE classroom(s) shown on the P&S.

20. Overcrowding Relief Grant Narrative

The district must either provide an explanation in the space provided or attach a letter signed by the district representative detailing how this project will relieve overcrowding.

21. Architect of Record or Licensed Architect Certification

The architect of record or the licensed architect must complete this section.

22. Architect of Record or Design Professional Certification

The architect of record or the appropriate design professional must complete this section.

23. Certification

The district representative must complete this section. For additional information regarding district certifications, refer to the SFP handbook located on the OPSC web site at www.dgs.ca.gov/opsc.

5. New Construction Additional Grant Request—New Construction Only

- a. Therapy: Toilets (sq. ft.) _____
 Other (sq. ft.) _____
- b. **Multilevel Construction (CRS):** _____ **20**
- c. **Project Assistance**
- d. **Site Acquisition:**
 (1) 50 percent Actual Cost: \$ **2,500,000**
 (2) 50 percent Appraised Value: \$ **3,000,000**
 (3) 50 percent Relocation Cost: \$ **50,000**
 (4) 2 percent (min. \$25,000): \$ **50,000**
 (5) 50 percent DTSC Fee: \$ **50,000**
- e. **50 percent hazardous waste removal:** \$ **100,000**
 Response Action (RA)
- f. **Site Development**
 50 percent Service-Site: \$ **500,000**
 50 percent Off-Site: \$ **100,000**
 50 percent Utilities: \$ **200,000**
 General Site
- g. Facility Hardship Section 1859.82(a) or (b)
 Toilet (sq. ft.): _____
 Other (sq. ft.): _____
- h. Seismic Rehabilitation [Section 1859.82(a)] \$ _____
- i. Replacement area
 Toilet (sq. ft.): _____
 Other (sq. ft.): _____
- j. Energy Efficiency: _____ %
- k. **Automatic Fire Detection/Alarm System**
 Automatic Sprinkler System
- l. **High Performance Incentive (Indicate Points):** _____ **34**

6. Modernization or Charter School Facilities Program Rehabilitation Additional Grant Request

- a. Project Assistance
- b. Energy Efficiency: _____ %
- c. Site Development—60 percent utilities: \$ _____
- d. Automatic Fire Detection/Alarm System
- e. High Performance Incentive (Indicate Points): _____

7. Excessive Cost Hardship Request

- New Construction Only**
- Geographic Percent Factor:** _____ **5 %**
- New School Project [Section 1859.83(c)(1)]
- New School Project [Section 1859.83(c)(2)]
- Small Size Project
- Urban/Security/Impacted Site:**
 If a new site, \$ **2,500,000** per Useable Acre [Section 1859.83(d)(2)(C)]

Modernization or Charter School Facility Program Rehabilitation Only

- Rehabilitation/Mitigation [Section 1859.83(e)]: \$ _____
- Geographic Percent Factor: _____ %
- Accessibility/Fire Code
 3 percent of base grant; or,
 60 percent of minimum work \$ _____
- Number of 2-Stop Elevators: _____
- Number of Additional Stops: _____
- Small Size Project
- Urban/Security/Impacted site

8. Project Priority Funding Order—New Construction Only

- Priority order of this application in relation to other new construction applications submitted by the district at the same time: # _____
- Project meets:
 Density requirement pursuant to Section 1859.92(c)(3).
 Stock plans requirement pursuant to Section 1859.92(c)(4).
 Energy efficiency requirement pursuant to Section 1859.92(c)(6).

9. Prior Approval Under the LPP

- New Construction: 22/ _____
- Modernization: 77/ _____

10. Prior Apportionment Under the SFP

- Site/Design—New Construction: 50/ _____
- Design—Modernization: 57/ _____

11. Preliminary Apportionment to Final Apportionment

- Preliminary Apportionment Application Number: # _____

12. Alternative Developer Fee—New Construction Only

- Alternative developer fee collected and reportable pursuant to Regulation Section 1859.77: \$ _____

13. Adjustment to New Construction Baseline Eligibility

- a. Classroom(s) provided:
- | | |
|-------------------|------------------|
| Additional | Replacement |
| K-6: _____ | K-6 _____ |
| 7-8: _____ | 7-8 _____ |
| 9-12: _____ | 9-12 _____ |
| Non-Severe: _____ | Non-Severe _____ |
| Severe: _____ | Severe _____ |

Construction Contract(s) for the project signed on: _____

14. Pending Reorganization Election—New Construction Only

- Yes No

15. Joint-Use Facility/Leased Property

- a. Joint-Use Facility
- b. Leased Property

16. Project Progress Dates

- a. Construction Contract(s) awarded on: 01/01/2013
 (If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)
- b. Notice(s) to Proceed issued on: 01/01/2013
- c. If the Construction Contract(s) was awarded prior to January 1, 2012, have you initiated and enforced an LCP approved by the DIR pursuant to Labor Code Section 1771.7 for this project? Yes No

17. Prevailing Wage Monitoring and Enforcement Costs

If the Construction Contract(s) was or will be awarded on or after January 1, 2012, please indicate which monitoring requirements was or will be used, pursuant to Labor Code Section 1771.3:

- DIR CMU Administered
- DIR approved District LCP
- Collective bargaining agreement, pursuant to Labor Code Section 1771.3(b)(3)

18. Construction Delivery Method

- Design-Bid-Build
- Design-Build
- Developer Built
- Lease Lease-Back
- Energy Performance Contract
- This project includes or will include piggyback contract(s) as defined in Section 1859.2
- Other: _____

19. Career Technical Education Funds Request

Will CTE Funds be requested for classroom(s) included in the plans and specifications for this project? Yes No
 Number of CTE classroom(s): _____

20. Overcrowding Relief Grant Narrative

21. Architect of Record or Licensed Architect Certification

- I certify as the architect of record for the project or as a licensed architect that:
- The P&S for this project were submitted to the OPSC by electronic medium (i.e., CD-ROM, zip disk or diskette) or as an alternative, if the request is for a modernization Grant, the P&S were submitted in hard copy to the OPSC.
 - Any portion of the P&S requiring review and approval by the Division of the State Architect (DSA) were approved by the DSA on 09/01/2012 (enter DSA approval date).
 - Any portion of the P&S not requiring review and approval by the DSA meets the requirements of the California Code of Regulations, Title 24, including any handicapped access and fire code requirements.
 - If the request is for a Modernization or Charter School Facility Program Rehabilitation Grant, the P&S include the demolition of more classrooms than those to be constructed in the project, the difference is _____ classroom(s). (Indicate N/A if there are none.)

- If the request is for a Modernization or Charter School Facility Program Rehabilitation Grant, the P&S include the construction of more classrooms than those to be demolished in the project, the difference is _____ classroom(s). (Indicate N/A if there are none.)

ARCHITECT OF RECORD OR LICENSED ARCHITECT (PRINT NAME)

Joe Architect

SIGNATURE

DATE

05/30/2013

22. Architect of Record or Design Professional Certification

I certify as the architect of record for the project or the appropriate design professional, that:

- If the request is for a New Construction Grant, not including the ORG, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S including deferred items (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the district's matching share, less site acquisition costs and the High Performance Base Incentive Grant. This cost estimate does not include site acquisition, planning, tests, inspection, or furniture and equipment and is available at the district for review by the OPSC.
- If the request is for a Modernization or Charter School Facility Program Rehabilitation Grant, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S, including deferred items and interim housing (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the district's matching share, less the High Performance Base Incentive Grant. This cost estimate does not include planning, tests, inspection or furniture and equipment and is available at the district for review by the OPSC.

ARCHITECT OF RECORD OR DESIGN PROFESSIONAL (PRINT NAME)

Joe Architect

SIGNATURE

DATE

05/30/2013

23. Certification

I certify, as the District Representative, that the information reported on this form, with the exception of items 21 and 22, is true and correct and that:

- I am an authorized representative of the district as authorized by the governing board of the district; and,
- A resolution or other appropriate documentation supporting this application under Chapter 12.5, Part 10, Division 1, commencing with Section 17070.10, et. seq., of the Education Code was adopted by the school district's governing board or the designee of the Superintendent of Public Instruction on, 02/20/2010; and,
- The district has established a "Restricted Maintenance Account" for exclusive purpose of providing ongoing and major maintenance of school buildings and has developed an ongoing and major maintenance plan that complies with and is implemented under the provisions of Education Code Section 17070.75 and 17070.77 (refer to Sections 1859.100 through 1859.102); and,
- Pursuant to Education Code Section 17070.75, the district has made a priority of the funds in the restricted maintenance account, established pursuant to Education Code Section 17070.75, to ensure that facilities are functional and meet local hygiene standards; and,

APPLICATION FOR FUNDING SCHOOL FACILITY PROGRAM

- The district has considered the feasibility of the joint use of land and facilities with other governmental agencies in order to minimize school facility costs; and,
- If this funding request is for the modernization of portable classrooms eligible for an additional apportionment pursuant to Education Code Section 17073.15, the district certifies that (check the applicable box below):
 - 1. The state modernization funds will be used to replace the portable classrooms and permanently remove the displaced portables from the classroom use within six months of the filing of the Notice of Completion for the project; or,
 - 2. It has provided documentation to the Office of Public School Construction which indicates that modernizing the portable classrooms eligible for an additional apportionment is better use of public resources than the replacement of these facilities.
- Facilities to be modernized have not been previously modernized with Lease-Purchase Program, Proposition 1A Funds or School Facility Program state funds; and,
- Facilities to be rehabilitated under the Charter School Facility Program previously funded with School Facility Program State funds meet the requirements of Section 1859.163.6; and,
- All contracts entered on or after November 4, 1998 for the service of any architect structural engineer or other design professional for any work under the project have been obtained pursuant to a competitive process that is consistent with the requirements of Chapter 10 (commencing with Section 4525) of Division 5, of Title 1, of the Government Code; and,
- If this request is for new construction funding, the district has received approval of the site and the plans from the CDE. Plan approval is not required if request is for separate design apportionment; and,
- If this request is for modernization or Charter School Facility Program Rehabilitation funding, the district has received approval of the plans for the project from the CDE. Plan approval is not required if request is for separate design apportionment; and,
- The district has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
- This district has or will comply with Education Code Section 17076.11 regarding at least a 3 percent expenditure goal for disabled veteran business enterprises; and,
- The district matching funds required pursuant to Sections 1859.77.1 or 1859.79 has either been expended by the district, deposited in the County School Facility Fund or will be expended by the district prior to the notice of completion for the project; and,
- The district has received the necessary approval of the plans and specifications from the Division of the State Architect unless the request is for a separate site and/or design apportionment; and,
- If the district is requesting site acquisition funds as part of this application, the district has complied with Sections 1859.74 through 1859.75.1 as appropriate; and,
- With the exception of an apportionment made pursuant to Section 1859.75.1, the district understands that the lack of substantial progress toward increasing the pupil capacity or renovation of its facilities within 18 months of receipt of any funding shall be cause for the rescission of the unexpended funds (refer to Section 1859.105); and,
- If the apportionment for this project was made pursuant to Section 1859.75.1, the district understands that the lack of substantial progress toward increasing the pupil capacity or renovation of its facilities within 12 months of receipt of any funding shall be cause for the rescission of the unexpended funds (refer to Section 1859.105.1); and,
- The district understands that funds not released within 18 months of apportionment shall be rescinded and the application shall be denied (refer to Section 1859.90); and,
- The statements set forth in this application and supporting documents are true and correct to the best of my knowledge and belief; and,
- All school facilities purchased or newly constructed under the project for use by pupils who are individuals with exceptional needs, as defined in Education Code Section 56026, shall be designed and located on the school site so as to maximize interaction between those individuals with exceptional needs and other pupils as appropriate to the needs of both; and,
- This form is an exact duplicate (verbatim) of the form provided by the OPSC. In the event a conflict should exist, the language in the OPSC form will prevail; and,
- The district understands that some or all of the State funding for the project must be returned to the State as a result of an audit pursuant to Sections 1859.105, 1859.105.1, 1859.106; and,
- The district has complied with the provisions of Sections 1859.76 and 1859.79.2 and that the portion of the project funded by the State does not contain work specifically prohibited in those Sections; and,
- If the SFP grants will be used for the construction or modernization of school facilities on leased land, the district has entered into a lease agreement for the leased property that meets the requirements of Section 1859.22; and,
- If the application contains a "Use of New Construction Grant" request, the district has adopted a school board resolution and housing plan at a public hearing at a regularly scheduled meeting of the governing board on _____ as specified in Sections 1859.77.2, or 1859.77.3, as appropriate. The district's approved housing plan is as indicated (check all that apply):
 - 1. The district will construct or acquire facilities for housing the pupils with funding not otherwise available to the SFP as a district match within five years of project approval by the SAB and the district must identify the source of the funds. [Applicable for Sections 1859.77.2(a) and (b) and 1859.77.3(a) and (b)]
 - 2. The district will utilize higher district loading standards providing the loading standards are within the approved district's teacher contract and do not exceed 33:1 per classroom. [Applicable for Sections 1859.77.2(a) and (b) and 1859.77.3(a) and (b)]
 - 3. The pupils requested from a different grade level will be housed in classrooms at an existing school in the district which will have its grade level changed, to the grade level requested, at the completion of the proposed SFP project. [Applicable for Sections 1859.77.2(b) and 1859.77.3(b)]
- If the district requested additional funding for fire code requirements pursuant to Sections 1859.71.2 or 1859.78.4, the district will include the automatic fire detection/alarm system and/or automatic sprinkler system in the project prior to completion of the project; and,
- The district has consulted with the career technical advisory committee established pursuant to Education Code Section 8070 and the need for vocational and career technical facilities is being adequately met in accordance with Education Code Sections 51224, 51225.3(b), and 51228(b), and 52336.1; and,
- If the district is requesting an Additional Grant for Energy Efficiency pursuant to Sections 1859.71.3 or 1859.78.5, the increased costs for the energy efficiency components in the project exceeds the amount of funding otherwise available to the district; and,

- If this application is submitted after January 1, 2004 for modernization funding, the district has considered the potential for the presence of lead-containing materials in the modernization project and will follow all relevant federal, state, and local standards for the management of any identified lead; and,
- The district has initiated and enforced an LCP that has been approved by the DIR, pursuant to Labor Code Section 1771.7, if the project is funded from Propositions 47 or 55 and the Notice to Proceed for the construction phase of the project is issued on or after April 1, 2003 and before January 1, 2012; and,
- The district will contract or has contracted with the DIR for prevailing wage monitoring and enforcement pursuant to Labor Code Section 1771.3(a), if the construction contract is awarded on or after January 1, 2012 and the district has not obtained a waiver for the requirement, pursuant to Labor Code Section 1771.3(b). The district understands that if it fails to meet this requirement, it will be required to repay all state bond funds received including interest; and,
- Beginning with the 2005/2006 fiscal year, the district has complied with Education Code Section 17070.75(e) by establishing a facilities inspection system to ensure that each of its schools is maintained in good repair; and,
- If this application is submitted pursuant to Section 1859.180, the district certifies that within six months of occupancy of the permanent classrooms, it will remove the replaced portables from the eligible school site and K-12 grade classroom use with the exception of schools described in Education Code Section 17079.30(c); and,
- The district has considered the feasibility of using designs and materials for the new construction or modernization project that promote the efficient use of energy and water, maximum use of natural light and indoor air quality, the use of recycled materials and materials that emit a minimum of toxic substances, the use of acoustics conducive to teaching and learning, and the other characteristics of high performance schools; and,
- If the district is requesting an additional grant for high performance incentive funding, the school district governing board must have a resolution on file that demonstrates support for the high performance incentive grant request and the intent to incorporate high performance features in future facilities projects; and,
- If this application is submitted when there is Insufficient Bond Authority, the district has adopted a school board resolution pursuant to Section 1859.95.1; and,
- The district will comply with all laws pertaining to the construction or modernization of its school building.

<small>NAME OF DISTRICT REPRESENTATIVE (PRINT)</small> Mr. John Jones	<small>PHONE NUMBER</small> (916) 555-5555
<small>SIGNATURE OF DISTRICT REPRESENTATIVE</small>	<small>DATE</small> 05/30/2013

ATTACHMENT C Sample New Construction Grant Calculation

20 CLASSROOM (K-6) SCHOOL, 500 PUPILS (2013)

BASE GRANT	4,875,500
(\$9,751 per pupil, 25 pupils per classroom, 20 classrooms)	
(\$9,751 X 500 = \$4,875,500)	
MULTILEVEL CONSTRUCTION	585,060
(12% of base grant for each pupil housed in a multilevel building)	
(0.12 X \$4,875,500 = \$585,060)	
PROJECT ASSISTANCE	5,884
(\$5,884 flat rate for districts with less than 2,500 pupils)	
SITE ACQUISITION GRANT	2,500,000
(50% of lesser of appraised or actual cost of land)	
RELOCATION COSTS	50,000
(50% of actual costs for relocation of businesses)	
TWO PERCENT OF THE SITE ACQUISITION GRANT	50,000
(For costs associated with appraisal, escrow, survey, site testing, etc.)	
(0.02 X \$2,500,000 = \$50,000)	
DTSC FEES	50,000
(50% of actual costs for DTSC review, approval, and oversight)	
HAZARDOUS WASTE REMOVAL	100,000
(50% of actual costs as required by the DTSC)	
SERVICE SITE DEVELOPMENT	500,000
(50% of the actual costs for clearance, grading, soil compaction, utility rerouting, demolition, drainage, etc. at the site)	
OFF-SITE DEVELOPMENT	100,000
(50% of the actual costs for curbs, gutters, paving, sidewalks, lighting, signage, trees, on two adjacent sides of the site)	
UTILITIES	200,000
(50% of the actual costs for water, sewer, gas, electric, and communications systems at the site)	
GENERAL SITE DEVELOPMENT	379,202
(Formula based grant for driveways, walks, parking, curbs, gutters, sports fields, and landscaping)	
(The attached calculation page shows the calculation for the General Site Development grant)	
FIRE DETECTION/ALARM SYSTEM	5,500
(\$11 per pupil for installation of a fire alarm system)	
(\$11 X 500 = \$5,500)	
AUTOMATIC SPRINKLER SYSTEM	82,000
(\$164 per pupil for installation of a sprinkler system)	
(\$164 X 500 = \$82,000)	
HIGH PERFORMANCE INCENTIVE GRANT (34 points)	345,020
(Formula based grant for projects containing high performance components)	
(The attached calculation page shows the calculation for the High Performance Incentive grant)	
GEOGRAPHIC LOCATION (5%)	243,775
(5%-20% of base grant based on the geographic isolation of the site)	
(0.05 X 4,875,500 = \$243,775)	
URBAN/SECURITY/IMPACTED SITE	3,005,258
(Formula based grant for projects in high cost/high density areas where an appropriately sized site cannot be found)	
(The attached calculation page shows the calculation for the Urban/Security/Impacted Site grant)	
PREVAILING WAGE MONITORING GRANT	32,693
(One quarter of 1% of the total apportionment for DIR monitoring and enforcement)	
(0.0025 X \$13,077,199 = \$32,693)	
STATE SHARE 50%:	13,109,892
DISTRICT SHARE 50%:	13,109,892
TOTAL 100%:	26,219,784

FORMULA BASED NEW CONSTRUCTION CALCULATIONS

GENERAL SITE DEVELOPMENT GRANT

This is a three step calculation.

Step 1: Allow \$15,365 per usable acre. Our sample project has 2 acres, therefore:
 $15,846 \times 2 = \mathbf{31,692}$

Step 2: 6% of the base grant for an elementary school project (3.75% for middle and high school projects):
 $0.06 \times 4,875,500 = \mathbf{292,530}$

Step 3: 6% of the following grants: Multilevel Construction, Fire Detection/Alarm, Automatic Sprinkler System, Exceptional Needs grant, Replaced Facilities grant, Facility Hardship, Small Size Project grant, Geographic Location, New School grant, and Joint Use grant. Therefore:
 $585,060$ (Multilevel) + $5,500$ (Fire Alarm) + $82,000$ (Sprinkler) + $243,775$ (Geographic) =
 $916,335 \times 0.06 = \mathbf{54,980}$

$$31,692 + 292,530 + 54,980 = \mathbf{\$379,202}$$

HIGH PERFORMANCE INCENTIVE GRANT

There are separate calculations for projects accepted by DSA before and after 10/1/07. Our sample project will use the newer calculation. The new construction grant is calculated as follows.

Step 1: Allow **\$150,000** one time per school site.

Step 2: Allow a percentage of the base grant based on how many CHPS points (as determined by DSA) the project has attained. Our sample project has 34 points, so the SFP regulations stipulate an allowance of 4% of the base grant at 34 points:
 $0.04 \times 4,875,500 = \mathbf{\$195,020}$

Step 3: Allow 0.36% of the base grant for each CHPS point attained from 35 through 47. Our sample project has 34 points so we do not need to perform this step for this project.

$$150,000 + 195,020 + 0 = \mathbf{\$345,020}$$

URBAN/SECURITY/IMPACTED SITE GRANT

To qualify for this grant, a new construction project must include multilevel construction for at least 60% of the classrooms, the site size must be 60% or less than the CDE recommended site size, and if acquiring acreage, the value must be at least \$750,000 per acre. The new construction grant is calculated as follows:

Step 1: Find the acre ratio. Proposed acres + existing acres divided by CDE recommended acres. Our sample project has two proposed acres, no existing acres, and the CDE recommends a site size of 10 acres: 2 divided by $10 = 0.2$. The acre ratio is 0.2 .

Step 2: Multiplier. **Multiply the acre ratio by 100, subtract from 60, then multiply by 1.166.** Finally, add 15:
 $0.2 \times 100 = 20$. $60 - 20 = 40$. $40 \times 1.166 = 46.64$. $46.64 + 15 = 61.64$.

Step 3: Divide Multiplier by 100, and take the resulting percentage of the base grant, the small size grant, and the new school grant, if applicable:
 61.64 divided by $100 = 0.6164$. $0.6164 \times 4,875,500$ (base grant) = **\$3,005,258**

Modernization Funding

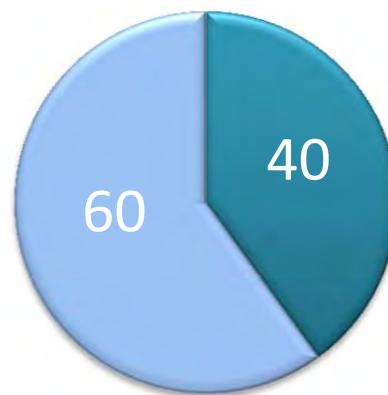
Overview

The School Facility Program (SFP) modernization grant may be used for an improvement to extend the useful life of, or to enhance the physical environment of, the school. School sites that have permanent buildings that are at least 25 years old or portable buildings that are at least 20 years old may qualify for modernization funding. Education Code Section 17074.10 establishes the modernization grant for each pupil to be housed in buildings to be modernized. The modernization grant can be used to fund a large variety of work at an eligible school site. Air conditioning, insulation, roof replacement, as well as the purchase of new furniture and equipment; are just a few of the eligible expenditures of modernization grants. A district may even use the grants to demolish and replace existing building area of like kind. However, modernization funding may not be spent for site acquisition or the construction of a new building area, unless it is required by the federal Americans with Disabilities Act or by the Division of the State Architect (DSA) for handicapped access.

Districts receive a modernization grant on a per pupil grant basis. The modernization grant is intended to fund design, construction, testing, inspection, furniture and equipment, and other costs related to the project. The per-pupil base grant can be augmented with supplemental grants, such as automatic fire detection/alarm and sprinkler, High Performance Incentive, Prevailing Wage Monitoring, and more. As required by statute, the State Allocation Board (Board) adjusts the per-pupil grant amounts annually to reflect changes in the Class B Construction Cost Index¹.

Modernization funding is provided on 60 percent State share and 40 percent local match for eligible grants under the program. Financial hardship assistance is available for qualifying districts that are unable to provide all or a portion of their local match.

State and District Match For Eligible Grants



Modernization Eligibility

Modernization eligibility is site specific, with each school site establishing its own eligibility. To be eligible, a permanent building must be at least 25 years old and a portable building must be at least 20 years old. For purposes of determining the age of the building, the 20 year and the 25 year period shall begin 12 months after the plans for the building were approved by the Division of State Architect (DSA). The site's enrollment must demonstrate that there are pupils assigned to the site who will use the facilities to be modernized. If the facility is currently unused, such as a closed school, it may also be eligible for modernization funding if the district intends to reopen it and assign students immediately. For a full, detailed discussion of modernization eligibility, please refer to the January 15, 2013 Program Review Subcommittee.

Types of Modernization Funding

¹ Class B Construction Cost Index is a construction factor index for structures made of reinforced concrete or steel frames, concrete floors, and roofs, and accepted and used by the Board.

There are two types of funding requests that can be made under the modernization program. The district may request a separate design apportionment when they meet the financial hardship requirements or the request may be submitted as a Modernization Adjusted Grant full funding application.

Separate Design

A separate design apportionment is available for districts that qualify for financial hardship. This apportionment represents 25 percent of the modernization grant. Separate design funding is intended to allow a district to hire a design professional to design the project and submit the project plans to DSA for approval. The unfunded approval/apportionment will be reduced by the design amount released.

Modernization Adjusted Grant

A modernization adjusted grant is intended to provide State funding for the project. In a typical project, a modernization adjusted grant includes the modernization grant (pupil grant) and any applicable supplemental grants as described in the section/attachment for "Supplemental Grants."

Documents Required with Application for Funding

A district can file a funding application once it has obtained the required State agency approvals and has either established modernization eligibility or has submitted an eligibility application concurrently with the funding application. The following documents (as applicable) must be submitted to request modernization funding:

Modernization Funding Required Documents

DOCUMENT	TYPE OF FUNDING	
	SEPERATE DESIGN	MODERNIZATION ADJUSTED GRANT
<i>Application for Funding</i> (Form SAB 50-04)	✘	✘
Financial hardship approval (if applicable)	✘	✘
Final DSA plan approval and DSA-approved plans and specifications		✘
CDE Plan Approval Letter		✘
Cost Estimate		✘
Career Technical Education Advisory Committee certification	✘	✘
Architect's Estimate for Site Development (only if requesting utilities costs for 50 year old buildings)		✘
<i>Form HPI-1 (DSA-402)</i> (if requesting the High Performance Incentive grant)		✘

Application for Funding (Form SAB 50-04)

The Form SAB 50-04 is used by districts to request funding for design and/or construction for all modernization projects. The form provides project information needed to determine the modernization adjusted grant including, but not limited to, the type of application; the grade level of the project; the number of pupils the project will house; and if any additional or supplemental grants are being requested.

DSA-Final Plan Approval Letter and Approved Plans and Specifications

All modernization plans and specifications must be approved by the DSA. The DSA approval must be current and valid at the time of submittal of the application for funding to the OPSC. In addition, pursuant to the Field Act, all final plans and specifications for new construction, modernization, or alteration of any school building for which the district is seeking State funding requires DSA approval prior to signing a construction contract. If a district enters into a contract for construction prior to receiving DSA approval of the plans and specifications, the project may not be eligible for State funding. For more information, please refer to Education Code Section 17072.30.

- All funding applications must be accompanied by the DSA Plan Approval Letter.
- Plans should include all work eligible for funding through the SFP and must be approved by DSA.
- Plans to be submitted include those for Civil, City/County Street Development, Architectural (along with portable facilities), Structural, Electrical, Plumbing, Mechanical, and Landscape (as applicable).
- New plans will not be accepted during the review process once OPSC acknowledges the School District Project Application as a complete package.

CDE Plan Approval

The plans submitted to the OPSC must have the approval of the CDE. The final plan approval letter from CDE must accompany the funding application.

Cost Estimate

The district must submit a detailed cost estimate showing all of the work to be performed in the project.

Career Technical Education Advisory Committee Certification

The district must submit written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3(b), 51228(b), and 52336

Financial Hardship Approval Letter (if applicable)

Districts that are requesting Financial Hardship assistance must obtain Financial Hardship approval from the OPSC prior to submitting a funding application for design, or adjusted grant. Financial Hardship approvals are valid for six months, and the Financial Hardship approval letter must be submitted with the funding application before it expires.

Architect's Estimate for Site Development (if applicable)

If the project involves 50 year old permanent buildings, a district may request site development grants to cover costs associated with upgrading/modernizing the utilities to the building(s). A district must submit a line item estimate for these costs to be eligible for the grant.

Form HPI-1 (DSA-402, if applicable)

If the district is requesting the High Performance Incentive (HPI) grant, it must submit the Form HPI-1 (DSA-402) showing the project's DSA-verified High Performance Rating Criteria point score. The OPSC uses the Form HPI-1 (DSA-402) to verify the project's eligibility for the HPI grant.

Modernization Eligibility Documents (if applicable)

If the district has not previously established modernization eligibility, the district must submit the *Eligibility Determination* (Form SAB 50-03) and a site map along with the funding application. Staff may perform a site visit to confirm eligibility and process the eligibility establishment concurrently with the funding application.

Application Review Process

SFP modernization projects are funded on a First in, First out basis. Districts can track their applications and OPSC received dates by checking the monthly OPSC Workload List. The application process includes submittal of the Form SAB 50-04 and all supporting documents. Staff reviews the application submittal to ensure that all of the required documentation has been submitted, that the Form SAB 50-04 is complete and correct, and that the district receives all of the grants for which they are eligible. If the district is requesting site development for utilities for a 50 year old building, a review of those line items is conducted concurrently.

Any issues with the application are addressed through 15- and 4-day letters. Staff sends a 15-day letter to raise issues found in the application (such as being ineligible for a particular grant being requested, being eligible for a grant not requested, boxes unchecked, site development review findings, etc.). The district has 15 calendar days to address the issues and submit a revised Form SAB 50-04 or provide any other requested information. After reviewing the district's revised application, Staff may send a 4-day letter if any issues remain. The district has four business days to submit requested changes. Once the district submits the response to the 4-day letter, Staff prepares a funding item to be presented to the Board for approval.

Funding Formula

The modernization "base grant" is determined by multiplying the pupils assigned to the project by the pupil grant established in law. The modernization grant is adjusted by the SAB annually (each January) based on the change in the Class B Construction Cost Index. The 2013 amounts are as follows:

Grade Level	Grant Amount
K - 6	\$3,713
7 - 8	\$3,928
9 - 12	\$5,141
Non - Severe	\$7,914
Severe	\$11,829

Pupils that are housed in permanent buildings that are 50 years old or older are eligible to receive a higher base grant. The 50 year old base pupil grants for 2013 are as follows:

Grade Level	Grant Amount
K - 6	\$5,157
7 - 8	\$5,456
9 - 12	\$7,142
Non - Severe	\$10,992
Severe	\$16,437

Districts may qualify for a variety of supplemental grants depending on the size, type, location or other characteristics of the project. The supplemental grants are intended to recognize special costs associated with projects of a certain type or located in certain areas. The district uses the Form SAB 50-04 to request the supplemental grants. Attachment A includes a complete description of each supplemental grant. The available grants are listed below.

- 50 Year Old Buildings
- Project Assistance
- Energy Efficiency (funds now exhausted)
- Site Development (utilities)
- Automatic Fire Detection/Alarm System
- High Performance Incentive
- Geographic Location
- Accessibility/Fire Code Requirements
- Two Stop Elevators
- Small Size Projects
- Urban Locations, Impacted Sites, Security Requirements
- Labor Compliance Program
- Prevailing Wage Monitoring

A completed Form SAB 50-04 and sample grant calculation are provided in Attachments B and C respectively. The basic formula for calculating the modernization grant follows:

- 1) Pupil Grants Requested x Per Pupil Grant Amount = **Base Grant**
- 2) **Base Grant** + **Supplemental Grants** = **Total State Share**
- 3) **State Share 60%** + Local Match 40% = Total Project Cost 100%

Program Funding Data

Together with New Construction, the Modernization program has been at the core of the SFP since its inception with the passage of the Kindergarten-University Public Education Facilities Bond Act of 1998 (Proposition 1A). Statewide school facilities general obligation bond measures have provided a total of \$10.95 billion for modernization, as follows:

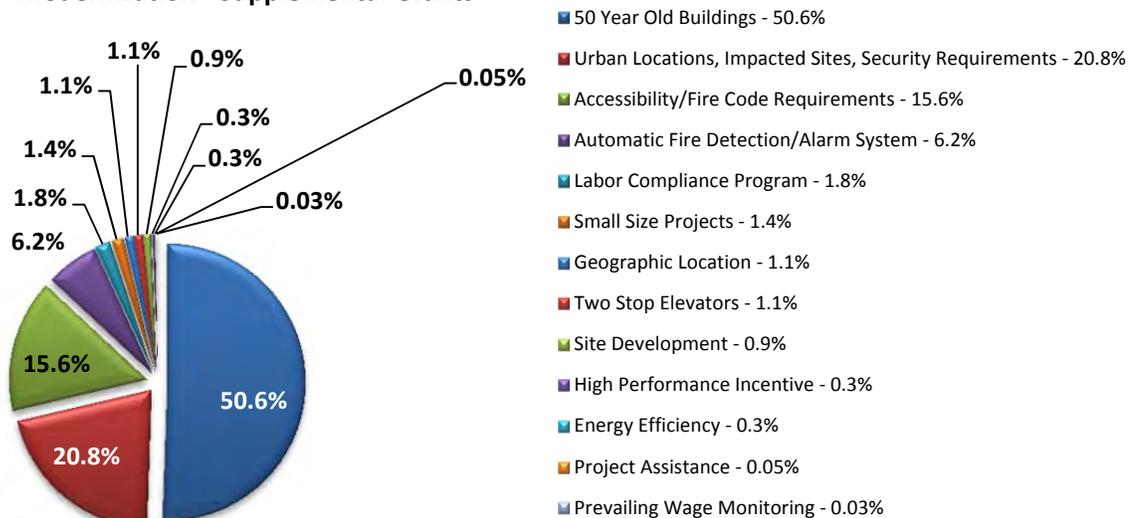
Proposition & Date Approved by Voters	Modernization Amount
1A, November 1998	\$ 2,100,000,000
47, November 2002	\$ 3,300,000,000
55, March 2004	\$ 2,250,000,000
1D, November 2006	\$ 3,300,000,000
	\$ 10,950,000,000

There were a total of 6654 modernization projects approved by the Board totaling approximately \$10.7 billion in State funds from 1998 to 2013. The charts below represent a comparison between the Base and Supplemental grants provided by the Modernization program:

Modernization Grants (in billions)



Modernization - Supplemental Grants



Modernization Parking Lot Items

Facilities:

There is an allowance for modernization of portables in SFP Regulation. Does this method work?

Eligibility:

Does the current method of calculating modernization eligibility work? What are the challenges?

Funding:

- What percentage of the modernization grants provided are used for hard construction costs?
- Is the current per pupil grant funding model still appropriate?

ATTACHMENT A

Modernization Funding Supplemental Grants

The supplemental grants and original effective dates of the respective SFP Regulation Section are listed below. A complete description of each supplemental grant follows.

- 50 Year Old Buildings - 11/04/2002
- Project Assistance - 6/26/2000
- Energy Efficiency (funds now exhausted) - 11/04/2002
- Site Development (utilities) - 11/04/2002
- Automatic Fire Detection/Alarm System - 8/12/2002
- High Performance Incentive - 10/01/2007
- Geographic Location - 12/03/1998
- Accessibility/Fire Code Requirements - 10/08/1999
- Two Stop Elevators - 10/08/1999
- Small Size Project - 12/03/1998
- Urban Locations, Impacted Sites, Security Requirements - 12/03/1998
- Labor Compliance Program - 12/20/2004
- Prevailing Wage Monitoring - 3/26/2012

50 Year Old Buildings: SFP Regulation Section 1859.78.6

The modernization pupil grant amount is increased if permanent buildings to be modernized are 50 years old or over that have not previously been modernized with State funds. Eligibility for 50 year old buildings is not separate from the other eligibility at the site and is offered on a prorated basis. If the district is requesting increased funding for pupils housed in 50-year old buildings, site diagrams with the ages and square footages of the buildings in the project must be provided with the application package.

Project Assistance: SFP Regulation Sections 1859.78.2

The Board may provide additional project grants for project assistance to school districts with enrollment of 2,500 pupils or less. The 2013 additional grant of \$3,135 may be used for costs associated with the preparation and submission of the SFP eligibility and funding applications, including costs related to support documentation such as site diagrams. The grant amount will be adjusted annually based on the change in the Class B Construction Cost Index as approved by the Board.

Energy Efficiency: SFP Regulation Sections 1859.78.5

A supplemental grant was available through Propositions 47 and 55 to districts with projects that have increased costs associated with plan design and other project components for school facility energy efficiency. The facilities in the proposed new construction project must exceed the nonresidential building energy efficiency standards as specified in Title 24, Part 6 of the California Code of Regulations by 15 percent. Currently all energy efficiency funds have been exhausted.

Site Development (Utility): SFP Regulation Section 1859.78.7

A supplemental grant is provided for the purpose of upgrading existing utilities as necessary for the modernization of 50 year or older permanent buildings. Sixty percent of the estimated utility costs, up to a maximum of twenty percent of the Modernization Grants (pupil grant), are available. Allowable utility cost fall under five categories:

- Water
- Sewage
- Gas
- Electric
- Communications Systems

Automatic Fire Detection/Alarm System: SFP Regulation Section 1859.78.4

The modernization grant will be increased for each pupil in a project that includes an automatic fire detection and alarm system. The grant amounts will be adjusted annually based on the change in the Class B Construction Cost Index as approved by the Board.

Automatic Fire Detection and Alarm System 2013

Grade Level	Grant Amount
K - 6	\$119
7 - 8	\$119
9 - 12	\$119
Non - Severe	\$332
Severe	\$223

High Performance Incentive: SFP Regulation Sections 1859.77.4

The High Performance Incentive (HPI) grant is intended to promote the use of high performance attributes. It is available to districts with projects that have increased costs associated with high performance attributes in school facilities, which include using designs and materials that promote energy and water efficiency, maximize the use of natural lighting, improve indoor air quality, utilize recycled materials and materials that emit a minimal amount of toxic substances, and employ acoustics that are conducive to teaching and learning. Projects must meet the minimum score based on the High Performance Rating Criteria to be eligible for the grant. The HPI grant has a two-part calculation. Depending on the number of points the project attains, the project can qualify for an increase to the per-pupil base grant that ranges from 2 to just over 11 percent. In addition, the High Performance Base Incentive Grant (HPBIG) is also available as a one timer per school site incentive. The HPBIG awards \$150,000 for new school projects and \$250,000 for modernization projects.

Geographic Location: SFP Regulation Section 1859.83

A supplemental grant is available to districts with projects that are located in areas of California that are remote, difficult to access, or lack a pool of contractors. A district may qualify and request an augmentation to the new construction grant due to their geographic location. The supplemental grant varies between 5% - 20% depending on the geographic location of the district as defined in regulation.

Accessibility/Fire Code Requirements: SFP Regulation Section 1859.83

There are two options for districts to choose from for this supplemental grant. The District may elect to receive up to 60 percent of the minimum work required to comply with current accessibility and fire code requirements or three percent of the base grant. The 60 percent allowance is based on actual hard costs as reported by the district on the accessibility/fire code requirements checklist. These costs must be the minimum work necessary to receive approval from the Division of the State Architect (DSA) and must be verified by the DSA and the Office of Public School Construction (OPSC). However, there is a cap on the grant amount.

Two Stop Elevators: SFP Regulation Section 1859.83

If the DSA requires two-stop elevators in a modernization project, the 2013 modernization grant will be increased by \$99,172 for each two-stop elevator. The modernization grant will be increased by \$17,849 for each additional stop required. The grant amounts will be adjusted annually based on the change in the Class B Construction Cost Index as approved by the Board.

Small Size Projects: SFP Regulation Section 1859.83

A supplemental grant is available to districts with projects that house no more than 200 pupils. The grant is intended to provide additional funds for core facilities and to make up for the lack of economies of scale when districts build small projects. The modernization grant can be increased as follows:

Capacity of the project is 0 – 100 Pupils
Base grant x 12% = Small Size grant

Capacity of the project is 101 – 200 Pupils
Base grant x 4% = Small Size grant

Urban Locations/Security Requirements & Impacted Sites: SFP Regulation Section 1859.83

Urban locations on impacted sites are generally in areas of high population density or high property values. In these situations, the environment makes it difficult for districts to acquire ample real property, which causes increased project costs uniquely associated with urban construction. Districts with projects on these impacted sites are also faced with extra security requirements. The supplemental grant provides funds for security fences, watchpersons, and increased premiums for insurance for contractors, and storage or daily delivery of construction materials to prevent theft and vandalism.

Districts with projects in urban locations on impacted sites may request a supplemental grant if *all* of the following conditions are met:

- 1) The CDE Final Plan approval letter shows the useable site acreage for the project is 60 percent or less of the site size recommended for the net school building capacity for the project plus any existing enrollment at the site, if any.
- 2) At least 60 percent of the classrooms verified in the project construction plans are in multi-story facilities.
- 3) For new construction of a new school site, the value of the site being acquired is at least \$750,000 per useable acre, determined by dividing the proposed acres by the appraised value of the site. This condition does not apply to new construction additions to existing school sites.

Labor Compliance Program (LCP): SFP Regulation Sections 1859.78.1

An LCP, as specified by Labor Code Section 1771.5, must be initiated and enforced for each project funded wholly or in part from Propositions 47 or 55 funds if the Notice to Proceed was issued on or after April 1, 2003, and the contract was awarded prior to January 1, 2012. An additional grant is provided for these projects. The LCP grant is calculated on a sliding scale based on the total grant amount.

Prevailing Wage Monitoring: SFP Regulation Sections 1859.78.1

A supplemental grant is available for the cost of prevailing wage monitoring conducted by the Department of Industrial Relations (DIR) pursuant to Labor Code Section 1771.3 for all public works projects with a construction contract awarded on or after January 1, 2012. This requirement applies for all state bond funding sources, including Propositions 1A, 47, 55 and 1D. The State share of the PWM grant is 50 percent of one quarter of one percent of the total project grant, including the Financial Hardship grant, excluding PWM grant amount.

There are limited exceptions to the DIR monitoring requirement under the following circumstances; however, these projects are still provided the PWM grant:

- The district elects to continue to use a previously DIR-approved in-house LCP.
- The district has entered into a collective bargaining agreement (or project labor agreement) that meets certain conditions detailed in Labor Code section 1771.3(b)(3).

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ATTACHMENT B - MODERNIZATION

STATE OF CALIFORNIA

APPLICATION FOR FUNDING SCHOOL FACILITY PROGRAM

SAB 50-04 (REV 09/12)

STATE ALLOCATION BOARD
OFFICE OF PUBLIC SCHOOL CONSTRUCTION

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GENERAL INFORMATION

If this application is submitted when there is Insufficient Bond Authority, as defined in Regulation Section 1859.2, the School District must adopt and submit a school board resolution, pursuant to Regulation Section 1859.95.1. For information regarding remaining bond authority, contact the Office of Public School Construction (OPSC) prior to submittal of this application.

Once the Board has determined or adjusted the district's eligibility for either new construction or modernization funding, the district may file an application for funding by use of this form. The Board will only provide new construction funding if this form is submitted prior to the date of occupancy of any classrooms included in the construction contract. If the district has a pending reorganization election that will result in the loss of eligibility for the proposed project, the district may not file an application for funding until the Board has adjusted the district's new construction baseline eligibility as required in Section 1859.51. This may be accomplished by completion of Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03.

For purposes of Education Code Section 17073.25, the California Department of Education (CDE) is permitted to file modernization applications on behalf of the California Schools for the Deaf and Blind.

Requests for funding may be made as follows:

1. A separate apportionment for site acquisition for a new construction project for environmental hardship pursuant to Section 1859.75.1. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Contingent site approval letter from the CDE.
 - Preliminary appraisal of property.
 - Approval letter from the Department of Toxic Substances Control.
2. A separate apportionment for site acquisition and/or design costs for a new construction project pursuant to Section 1859.81.1. This apportionment is available only to districts that meet the financial hardship criteria in Section 1859.81. Districts may apply for a separate apportionment for the design and for site acquisition on the same project. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Contingent site approval letter from the CDE (site apportionment only).
 - Preliminary appraisal of property (site apportionment only).
3. A separate apportionment for district-owned site acquisition cost pursuant to Section 1859.81.2. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Site approval letter from the CDE.
 - Appraisal of district-owned site.
 - Cost benefit analysis as prescribed in Section 1859.74.6 or a copy of the Board finding that the non-school function on the district-owned site must be relocated.
4. A separate apportionment for design cost for a modernization project pursuant to Section 1859.81.1. This apportionment is available only to districts that meet the financial hardship criteria in Section 1859.81. For purposes of this apportionment, the Form SAB 50-03 must accompany this form (if not previously submitted).
5. A New Construction Adjusted Grant pursuant to Section 1859.70 or 1859.180. If the funding request includes site acquisition, the proposed site must either be owned by the district, in escrow, or the district has filed condemnation proceedings and received an order of possession of the site. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):
 - Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03 (if not previously submitted).
 - Site/plan approval letter from the CDE.
 - Appraisal of property if requesting site acquisition funds.
 - Plans and specifications (P&S) for the project that were approved by the DSA. Submittal of plans may be on CD-ROM or "Zip Drive" readable in AutoCAD 14. The specifications may be provided on a diskette that is IBM compatible.
 - Cost estimate of proposed site development, if requesting site development funding.
 - If this request is pursuant to Section 1859.77.2 and the district's housing plan is other than those listed in the certification section of this form, a copy of the school board resolution and the approved housing plan.
 - If the site apportionment is requested pursuant to Regulation Section 1859.74.5, a cost benefit analysis as prescribed in Regulation Section 1859.74.6 or a copy of the Board finding that the non-school function on the district-owned site must be relocated.
 - If this request is fully or partially based on eligibility derived from an Alternative Enrollment Projection, a justification of how the project relieves overcrowding, including but not limited to, the elimination of the use of Concept 6 calendars, four track year-round calendars, or bussing in excess of 40 minutes.
 - Written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3(b), 51228(b), and 52336.1.
6. For purposes of the Overcrowding Relief Grant (ORG), districts must submit the Overcrowding Relief Grant District-Wide Eligibility Determination (Form SAB 50-11) prior to the submittal of this funding application. In addition, districts must have had the CDE deem the site eligible for the ORG (pursuant to Section 1859.181) prior to the submittal of this application. For purposes of this apportionment, the following documents must be submitted with this form as well as the documents listed in section 5 above:
 - Overcrowding Relief Grant Eligibility Determination Form approved by the CDE.
 - Copies of the supporting documentation provided to the CDE when determining the density of the site, including the site diagram.

The district is not required to submit its current CBEDS enrollment data.

Modernization Adjusted Grant pursuant to Section 1859.70. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):

- Form SAB 50-03 (if not previously submitted).
- P&S for the project that were approved by the DSA.
- If the request includes funding for accessibility and fire code requirement pursuant to Section 1859.83(f), the DSA approved list of the minimum accessibility work required and a detailed cost estimate for the work in the plans.
- DSA approval letter for elevator to meet handicapped compliance, if funding is requested.
- Cost estimate of the proposed site development necessary for the Reconfiguration of an existing high school.
- Plan approval letter from the CDE.

APPLICATION FOR FUNDING SCHOOL FACILITY PROGRAM

SAB 50-04 (REV 09/12)

- Districtwide enrollment data on Form SAB 50-01 when requesting project assistance (if not previously submitted).
- If the request includes funding for 50 year old permanent buildings pursuant to Section 1859.78.6, a site diagram identifying all buildings to be modernized in the project. The diagram must specify those buildings that are at least 50 years old.
- Written confirmation from the district's career technical advisory committee indicating that the need for vocational and career technical facilities is being adequately met within the district consistent with Education Code Sections 51224, 51225.3(b), 51228(b), and 52336.1.

Prior to acceptance of an application for funding that includes a financial hardship request:

- If the application is submitted pursuant to Section 1859.81, the district must have its financial hardship status "pre-approved" by the OPSC. To apply for a financial hardship "pre-approval", consult the OPSC Web site at www.dgs.ca.gov/opsc.
- If the application is submitted when there is Insufficient Bond Authority, as defined in Section 1859.2, the district must adopt a school board resolution pursuant to Section 1859.95.1(b).

If the district is requesting New Construction funding after the initial baseline eligibility was approved by the Board and the district's current CBEDS enrollment reporting year is later than the enrollment reporting year used to determine the district's baseline eligibility or adjusted eligibility, the district must complete a new Form SAB 50-01 based on the current year CBEDS enrollment data, and submit it to the OPSC with this form. In addition, if the district's request is fully or partially based on eligibility derived from an Alternative Enrollment Projection, the district must update the Alternative Enrollment Projection to correspond with the CBEDS enrollment data for the current year. A small district with 2,500 or less enrollment as defined in Section 1859.2 will not have its eligibility reduced for a period of three years from the date the district's baseline eligibility was approved by the Board as a result of reduction in projected enrollment.

For a list of the documents that must be submitted in order for the OPSC to deem a funding request for new construction or modernization complete and ready for OPSC processing, consult the SFP handbook and other information located on the OPSC Web site at www.dgs.ca.gov/opsc.

For purposes of completing this form for a Final Charter School Apportionment, a charter school shall be treated as a school district.

SPECIFIC INSTRUCTIONS

The district must assign a Project Tracking Number (PTN) to this project. The same PTN is used by the OPSC, the DSA and the CDE for all project applications submitted to those agencies to track a particular project through the entire state application review process. If the district has already assigned a PTN to this project by prior submittal of the P&S to either the DSA or the CDE for approval, use that PTN for this application submittal. If no PTN has been previously assigned for this project, a PTN may be obtained from the OPSC Web site at www.dgs.ca.gov/opsc "PT Number Generator."

1. Type of Application

Check the appropriate box that indicates the type of School Facility Program (SFP) grant the district is requesting for purposes of new construction, modernization, a separate design and/or site apportionment, site apportionment as an environmental hardship or New Construction (Final Apportionment). If the application is for the modernization of school facilities and includes facilities that are eligible for

an additional apportionment pursuant to Section 1859.78.8, include a site diagram with this application that specifies the age of each facility eligible for modernization. The diagram should also indicate the date of its original DSA plan approval and the date the facility received its prior modernization apportionment. If known include the project modernization number on the diagram. If the application is for modernization of a California School for the Deaf or Blind, the CDE shall check the box identified as Modernization of California Schools for the Deaf/Blind. If the request is for a separate design apportionment, the CDE shall check the appropriate box. If the eligibility for this project was established as a result of the need for new or replacement facilities pursuant to Section 1859.82 (a) and (b), seismic replacement or seismic rehabilitation for the Most Vulnerable Category 2 Buildings, or rehabilitation pursuant to Section 1859.83 (e), check the appropriate box(es).

If this request is for an addition to an existing site and advance funding for the evaluation and RA costs, check the appropriate box and refer to Section 1859.74.4.

If this request is for an Overcrowding Relief Grant, check the New Construction (Overcrowding Relief Grant) box.

If this request is to convert a Preliminary Apportionment or a Preliminary Charter School Apportionment to a Final Apportionment, check the New Construction Final Apportionment, New Construction Final Charter School Apportionment or the Rehabilitation Final Charter School Apportionment box, as appropriate.

If the district is requesting a separate site and/or design apportionment, complete boxes 2a, 3, 4, the site acquisition data in box 5 (d and e), and boxes 12, 13, 14, 15 and 23 only.

2. Type of Project

- Select the type of project that best represents this application request and enter the total number of pupils assigned to the project for each grade group. Include pupils to be housed in a new or replacement school authorized by Section 1859.82 (a). The amount entered cannot exceed the district's baseline eligibility determined on Form SAB 50-03 and will be the basis for the amount of the new construction or modernization grants provided for the project.

If this request is for a Final Apportionment, the pupils assigned to the project must be at least 75 percent, but not more than 100 percent, of the pupils that received the Preliminary Apportionment. Refer to Section 1859.147.

For ORG projects, the amount entered cannot exceed the Overcrowding Relief Pupil Eligibility (pursuant to Section 1859.182 and 1859.183) as reflected in the total number of eligible pupils determined by the Form SAB 50-11 or the CDE Overcrowding Relief Grant Eligibility Determination form.

- Check the box if the project is eligible for funding for 50 year or older permanent buildings and report, at the option of the district:
 - The total number of eligible classrooms or the total eligible square footage building area at the site. Refer to Section 1859.78.6(b)(1)(A) or (b)(2)(A).
 - The total number of permanent classrooms or the total permanent square footage building area that is at least 50 years old and not been previously modernized with state funds. Refer to Section 1859.78.6(b)(1)(B) or (b)(2)(B).
 - Enter the greater percentage as calculated under Regulation Section 1859.78.6(b)(1)(C) or Regulation Section 1859.78.6(b)(2)(C).

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- If this project includes eligible 50 year or older pupil grants, enter the appropriate number assigned to the project for each grade group. The number of pupils entered cannot exceed the cumulative number of 50 year or older permanent buildings pupil grants requested for all modernization funding applications for the site as determined by using the percentage factor above.
 - c. If this request includes pupil grants generated by an Alternative Enrollment Projection Method, enter the number of pupils by grade level.
 - d. Indicate if this request is for funding of a 6–8 school and/or an Alternative Education School.
 - e. Check the applicable box if the district is requesting additional pupil grants assigned to the project that exceed the capacity of the project or if the pupils assigned represent eligibility determined at another grade level and check the appropriate box to indicate under which regulation the district is applying. The pupil capacity of the project may be determined by multiplying the classrooms reported in box 3 by 25 for K–6; 27 for 7–8, 9–12 grades; 13 for non-severe and 9 for severe.
 - f. If the request is for replacement facilities pursuant to Section 1859.82 (a) or (b) on the same site, check the facility hardship box.
 - g. Enter the square footage of the non-toilet area and toilet area contained in the rehabilitation project.
 - h. Indicate the site scenario that best represents the project request.
 - i. For ORG projects, the district must provide the following information in the space provided:
 - Name of the eligible school site(s) where portables will be replaced in this project
 - Number of portables being replaced at each school site
 - Number of site specific eligible pupils being requested for this project for each school site. The total number of site specific eligible pupils assigned to this project must equal the total number of pupils in Section 2a.
- 3. Number of Classrooms**
Enter the:
- Number of classrooms as shown on the plans and specifications (P&S). If there was demolition at the site, report the net increase in the number of classrooms showing in the P&S.
 - Master plan site size, as recommended by the California Department of Education.
 - Recommended site size, as determined by the California Department of Education.
 - Existing Useable Acres already owned at that location (if any).
 - Proposed Useable Acres that was/will be purchased as part of the application (if any).
- 4. Financial Hardship Request**
Check the appropriate box(es) if the district is requesting financial hardship assistance because it is unable to meet its matching share requirement.
- If the district is submitting this form pursuant to Section 1859.81, the district must have received a pre-approval for financial hardship status by the OPSC. Consult the OPSC Web site at www.dgs.ca.gov/opsc for details and necessary documentation needed in order to determine eligibility.
 - If there is Insufficient Bond Authority for the type of application, check the second box and attach a school board resolution pursuant to Section 1859.95.1(b).
- 5. New Construction Additional Grant Request**
Check the appropriate box(es) if the district requests an augmentation to the new construction grant for “additional” grants for the items listed or for replacement facilities pursuant to Section 1859.82(a) and (b). Refer to Sections 1859.72 through 1859.76 and 1859.82(a) and (b) for eligibility criteria. Enter the:
- a. Therapy area in square feet as provided in Section 1859.72.
 - b. Multilevel classrooms in the P&S pursuant to Section 1859.73.
 - c. Check the box if the district is requesting project assistance pursuant to Section 1859.73.1. If the district has not submitted a request for new construction baseline eligibility on a district-wide basis, it must submit a current Form SAB 50-01 based on district-wide enrollment data with this form.
 - d. If the project the district is requesting SFP funding for does not require an RA, refer to Section 1859.74. If a RA is required on a site that is not leased or an addition to an existing site, refer to Section 1859.74.2. If RAs are required on a leased site or an addition to an existing site, refer to Sections 1859.74.3 or 1859.74.4, respectively. The limitation of 50 percent may be exceeded when unforeseen circumstances exist, the CDE determines that the site is the best available site, and substantiation that the costs are the minimum required to complete the evaluation and RA.
 - 1) Enter 50 percent of the actual cost.
 - 2) Enter 50 percent of the appraised value of the site. If the request is made pursuant to Regulation Section 1859.74.5, enter 50 percent of the appraised value.
 - 3) Enter 50 percent of the allowable relocation cost.
 - 4) Enter two percent of the lesser of the actual cost or appraised value of the site (minimum \$25,000).
 - 5) Enter 50 percent of the Department of Toxic Substances Control (DTSC) fee for review and approval of the phase one environmental site assessment and preliminary endangerment assessment reports. Refer to Sections 1859.74, 1859.74.1, 1859.74.5, 1859.75, 1859.75.1 and 1859.81.1.
- A project that received site acquisition funds under the Lease-Purchase Program (LPP) as a priority two project is not eligible for site acquisition funds under the SFP. A district-owned site acquired with LPP, SFP or Proposition 1A funds is not eligible for funding under Regulation Section 1859.74.5.
- e. Enter 50 percent of the amount allowable for hazardous materials/waste removal and/or remediation for the site acquired pursuant to Sections 1859.74.2, 1859.74.3, 1859.74.4, 1859.75.1 or 1859.81.1. If an RA is required, check the box.
 - f. Enter 50 percent of eligible service-site development, off-site development including pedestrian safety paths and utilities costs allowed pursuant to Section 1859.76. Attach cost estimates of the proposed site development work which shall be supported and justified in the P&S. All cost estimates shall reflect 100 percent of the proposed work.
- Check the box if the district is requesting an Additional Grant for General Site Development pursuant to Section 1859.76
- g. If the district is requesting replacement facilities on the same site, (including seismic replacement), enter the square footage requested as provided in Section 1859.82(a) or (b).
 - h. If the request for seismic rehabilitation does not exceed 50 percent of the current replacement cost of the classroom or related facility, report 50 percent of the health/safety seismic mitigation cost and the ancillary costs as authorized by Section 1859.82(a).

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- i. Enter the square feet of eligible replacement area as provided by Section 1859.73.2.
- j. If the district is requesting an Additional Grant for Energy Efficiency pursuant to Section 1859.71.3, enter the percentage of energy efficiency that exceeds Title 24 requirements as prescribed in Section 1859.71.3(a)(3).
- k. Check the box(es) if the district requests and the project qualifies for additional funding for fire code requirements authorized in Section 1859.71.2.
- l. If the district is requesting an Additional Grant for High Performance Incentive pursuant to Section 1859.70.4, enter the number of high performance points as prescribed in Section 1859.71.6.

6. Modernization or Charter School Facilities Program Rehabilitation Additional Grant Request

- a. Check the box if the district is requesting project assistance allowance pursuant to Section 1859.78.2. If the district has not submitted a request for new construction baseline eligibility on a district-wide basis, it must submit a current Form SAB 50-01 based on district-wide enrollment data with this form. (Not available for Charter School Facilities Program Rehabilitation).
- b. If the district is requesting an Additional Grant for Energy Efficiency pursuant to Section 1859.78.5, enter the percentage of energy efficiency that exceeds Title 24 requirements as prescribed in Section 1859.78.5(a)(3).
- c. Check the box if the district requests an additional grant for site development utility cost necessary for the modernization of 50 years or older permanent building(s). Enter 60 percent of the eligible costs allowable pursuant to Section 1859.78.7(a). (Not available for Charter School Facilities Program Rehabilitation).
- d. Check the box(es) if the district requests and the project qualifies for additional funding for fire code requirements authorized in Section 1859.78.4. (Not available for Charter School Facilities Program Rehabilitation).
- e. If the district is requesting an Additional Grant for High Performance Incentive pursuant to Section 1859.70.4, enter the number of high performance points as prescribed in Section 1859.77.4.

7. Excessive Cost Hardship Request

Check the appropriate box to request an augmentation to the New Construction, Modernization or Charter School Facility Program Rehabilitation Grants for an excessive cost hardship for the items listed. Refer to Section 1859.83 for eligibility criteria. Requests for excessive cost grants for accessibility requirements are allowed only if required by the Division of the State Architect (DSA). At the district's option, the district may request three percent of the modernization base grant or enter 60 percent of the amount calculated pursuant to Regulation Section 1859.83(f). Attach a copy of the DSA approved list that shows the minimum work necessary for accessibility requirements.

If the request is for the excessive cost grant for a new Alternative Education school pursuant to Section 1859.83(c)(2) and the district wishes to request less than the maximum allowance, please submit a letter along with application indicating the desired amount.

If the request is for rehabilitation mitigation, report 80 percent or 60 percent (as appropriate) of health/safety rehabilitation mitigation cost for a modernization project as authorized by Section 1859.83(e).

8. Project Priority Funding Order

Enter the priority order of this project in relation to other new construction applications submitted by the district on the same date. If applications are not received on the same date, the OPSC will assign a higher district priority to the application received first. Check the box(es) if the project meets the criteria outlined in Section 1859.92(c)(3),(4) and (6), as appropriate. This information is needed for purposes of priority points.

9. Prior Approval Under the LPP

If the project the district is requesting SFP grants for received a Phase P, S, or C approval under the LPP, report the application number of that project, regardless if the project actually received funding or was included on an "unfunded" list. Failure to report this information may delay the processing of the application by the OPSC.

10. Prior Apportionment Under the SFP

If the project received a separate apportionment under the SFP for either site and/or design, or site environmental hardship, enter the application number of the project. Failure to report this information may delay the processing of the application by the OPSC.

11. Preliminary Apportionment to a Final Apportionment

If this request is to convert a Preliminary Apportionment to a Final Apportionment, enter the application number of the Preliminary Apportionment. Failure to report this information may delay the processing of the application by the OPSC.

12. Alternative Developer Fee

The district must report certain alternative fees collected pursuant to Government Code Section 65995.7, as of the date of application submittal to the OPSC. Refer to Section 1859.77 for details. Districts are advised that the OPSC may perform an audit of the developer fees collected prior to application approval by the Board.

13. Adjustment to New Construction Baseline Eligibility

Pursuant to Section 1859.51 certain adjustments to the district's new construction baseline eligibility must be made each time a district submits Form SAB 50-04, to the OPSC for SFP new construction or modernization grants. These adjustments are made by the OPSC based on information reported by the district on this form.

- a. Report all classroom(s) provided after the district submitted its request for determination of its new construction baseline eligibility for the grades shown, or indicate N/A if there are none. Refer to Section 1859.51(i).

In the additional classroom column, indicate the number of additional net classrooms provided if not previously reported.

In the replacement classroom column, indicate the number of classrooms that were included in the determination of the district's new construction eligibility pursuant to Education Code Section 17071.75 but replaced in a locally funded project.

Enter the date the initial construction contract was signed for additional or replacement classrooms.

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14. Pending Reorganization Election

Complete only for new construction projects. Indicate if there is a pending reorganization election that will result in a loss of eligibility for this project. If the answer is "yes", the district must complete Form SAB 50-01, Form SAB 50-02 and Form SAB 50-03, to adjust the district's new construction baseline eligibility as a result of the reorganization and submit them with this form.

15. Joint-Use Facility/Leased Property

Check the box if:

- The facilities to be constructed/modernized as part of this project will be for joint use by other governmental agencies.
- The new construction or modernization grants will be used for facilities located or to be located on leased property.

16. Project Progress Dates

Complete this section for new construction/modernization projects:

- Enter the date(s) the construction contract(s) was awarded for this project(s). If a construction contract has not been executed, enter N/A. (If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)
- Enter the issue date(s) for the Notice to Proceed for the construction phase of the project, or enter N/A if a Notice to Proceed has not been issued.
- If a construction contract was awarded prior to January 1, 2012, check the appropriate box to indicate whether or not the district has initiated and enforced a Labor Compliance Program (LCP) approved by the Department of Industrial Relations (DIR) pursuant to Labor Code Section 1771.7 for this project.

17. Prevailing Wage Monitoring and Enforcement Costs

If the construction contract for this project was or will be awarded on or after January 1, 2012, check the appropriate box to indicate which of the following methods will be used to meet the requirement for prevailing wage monitoring and enforcement pursuant to Labor Code Section 1771.3:

- The DIR Compliance Monitoring Unit (CMU)
- A DIR-approved internal LCP
- A collective bargaining agreement that meets the criteria set forth in Labor Code Section 1771.3(b)(3).

18. Construction Delivery Method

Check the box that best represents the construction delivery method that the district has or will use for this project, if known.

19. Career Technical Education Funds Request

Indicate if Career Technical Education (CTE) funds will be requested for classroom(s) included in the plans and specifications for this project pursuant to Section 1859.193. If "Yes", enter the number of CTE classroom(s) shown on the P&S.

20. Overcrowding Relief Grant Narrative

The district must either provide an explanation in the space provided or attach a letter signed by the district representative detailing how this project will relieve overcrowding.

21. Architect of Record or Licensed Architect Certification

The architect of record or the licensed architect must complete this section.

22. Architect of Record or Design Professional Certification

The architect of record or the appropriate design professional must complete this section.

23. Certification

The district representative must complete this section. For additional information regarding district certifications, refer to the SFP handbook located on the OPSC web site at www.dgs.ca.gov/opsc.

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5. New Construction Additional Grant Request—New Construction Only

- a. Therapy: Toilets (sq. ft.) _____
Other (sq. ft.) _____
- b. Multilevel Construction (CRS): _____
- c. Project Assistance
- d. Site Acquisition:
 - (1) 50 percent Actual Cost: \$ _____
 - (2) 50 percent Appraised Value: \$ _____
 - (3) 50 percent Relocation Cost: \$ _____
 - (4) 2 percent (min. \$25,000): \$ _____
 - (5) 50 percent DTSC Fee: \$ _____
- e. 50 percent hazardous waste removal: \$ _____
 Response Action (RA)
- f. Site Development
 - 50 percent Service-Site: \$ _____
 - 50 percent Off-Site: \$ _____
 - 50 percent Utilities: \$ _____
 - General Site
- g. Facility Hardship Section 1859.82(a) or (b)
 - Toilet (sq. ft.): _____
 - Other (sq. ft.): _____
- h. Seismic Rehabilitation [Section 1859.82(a)] \$ _____
- i. Replacement area
 - Toilet (sq. ft.): _____
 - Other (sq. ft.): _____
- j. Energy Efficiency: _____ %
- k. Automatic Fire Detection/Alarm System
 Automatic Sprinkler System
- l. High Performance Incentive (Indicate Points): _____

6. Modernization or Charter School Facilities Program Rehabilitation

Additional Grant Request

- a. Project Assistance
- b. Energy Efficiency: _____ %
- c. Site Development—60 percent utilities: \$ _____
- d. Automatic Fire Detection/Alarm System
- e. High Performance Incentive (Indicate Points): **34**

7. Excessive Cost Hardship Request

New Construction Only

- Geographic Percent Factor: _____ %
- New School Project [Section 1859.83(c)(1)]
- New School Project [Section 1859.83(c)(2)]
- Small Size Project
- Urban/Security/Impacted Site;
If a new site, \$ _____ per Useable Acre [Section 1859.83(d)(2)(C)]

Modernization or Charter School Facility Program Rehabilitation Only

- Rehabilitation/Mitigation [Section 1859.83(e)]: \$ _____
- Geographic Percent Factor: **5 %**
- Accessibility/Fire Code
 - 3 percent of base grant; or,
 - 60 percent of minimum work \$ _____
- Number of 2-Stop Elevators: _____
- Number of Additional Stops: _____
- Small Size Project
- Urban/Security/Impacted site

8. Project Priority Funding Order—New Construction Only

Priority order of this application in relation to other new construction applications submitted by the district at the same time: # _____

Project meets:

- Density requirement pursuant to Section 1859.92(c)(3).
- Stock plans requirement pursuant to Section 1859.92(c)(4).
- Energy efficiency requirement pursuant to Section 1859.92(c)(6).

9. Prior Approval Under the LPP

New Construction: 22/ _____

Modernization: 77/ _____

10. Prior Apportionment Under the SFP

Site/Design—New Construction: 50/ _____

Design—Modernization: 57/ _____

11. Preliminary Apportionment to Final Apportionment

Preliminary Apportionment Application Number: # _____

12. Alternative Developer Fee—New Construction Only

Alternative developer fee collected and reportable pursuant to Regulation Section 1859.77: \$ _____

13. Adjustment to New Construction Baseline Eligibility

a. Classroom(s) provided:

Additional	Replacement
K-6: _____	K-6: _____
7-8: _____	7-8: _____
9-12: _____	9-12: _____
Non-Severe: _____	Non-Severe: _____
Severe: _____	Severe: _____

Construction Contract(s) for the project signed on: _____

14. Pending Reorganization Election—New Construction Only Yes No

15. Joint-Use Facility/Leased Property

- a. Joint-Use Facility
- b. Leased Property

16. Project Progress Dates

- a. Construction Contract(s) awarded on: 02/1/2013
 (If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)
- b. Notice(s) to Proceed issued on: 02/01/2013
- c. If the Construction Contract(s) was awarded prior to January 1, 2012, have you initiated and enforced an LCP approved by the DIR pursuant to Labor Code Section 1771.7 for this project? Yes No

17. Prevailing Wage Monitoring and Enforcement Costs

If the Construction Contract(s) was or will be awarded on or after January 1, 2012, please indicate which monitoring requirements was or will be used, pursuant to Labor Code Section 1771.3:

- DIR CMU Administered
- DIR approved District LCP
- Collective bargaining agreement, pursuant to Labor Code Section 1771.3(b)(3)

18. Construction Delivery Method

- Design-Bid-Build
- Design-Build
- Developer Built
- Lease Lease-Back
- Energy Performance Contract
- This project includes or will include piggyback contract(s) as defined in Section 1859.2
- Other: _____

19. Career Technical Education Funds Request

Will CTE Funds be requested for classroom(s) included in the plans and specifications for this project? Yes No
 Number of CTE classroom(s): _____

20. Overcrowding Relief Grant Narrative

21. Architect of Record or Licensed Architect Certification

- I certify as the architect of record for the project or as a licensed architect that:
- The P&S for this project were submitted to the OPSC by electronic medium (i.e., CD-ROM, zip disk or diskette) or as an alternative, if the request is for a modernization Grant, the P&S were submitted in hard copy to the OPSC.
 - Any portion of the P&S requiring review and approval by the Division of the State Architect (DSA) were approved by the DSA on 09/01/2012 (enter DSA approval date).
 - Any portion of the P&S not requiring review and approval by the DSA meets the requirements of the California Code of Regulations, Title 24, including any handicapped access and fire code requirements.
 - If the request is for a Modernization or Charter School Facility Program Rehabilitation Grant, the P&S include the demolition of more classrooms than those to be constructed in the project, the difference is _____ classroom(s). (Indicate N/A if there are none.)

- If the request is for a Modernization or Charter School Facility Program Rehabilitation Grant, the P&S include the construction of more classrooms than those to be demolished in the project, the difference is _____ classroom(s). (Indicate N/A if there are none.)

ARCHITECT OF RECORD OR LICENSED ARCHITECT (PRINT NAME)	
Joe Architect	
SIGNATURE	DATE
	05/30/2013

22. Architect of Record or Design Professional Certification

I certify as the architect of record for the project or the appropriate design professional, that:

- If the request is for a New Construction Grant, not including the ORG, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S including deferred items (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the district's matching share, less site acquisition costs and the High Performance Base Incentive Grant. This cost estimate does not include site acquisition, planning, tests, inspection, or furniture and equipment and is available at the district for review by the OPSC.
- If the request is for a Modernization or Charter School Facility Program Rehabilitation Grant, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S, including deferred items and interim housing (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the district's matching share, less the High Performance Base Incentive Grant. This cost estimate does not include planning, tests, inspection or furniture and equipment and is available at the district for review by the OPSC.

ARCHITECT OF RECORD OR DESIGN PROFESSIONAL (PRINT NAME)	
Joe Architect	
SIGNATURE	DATE
	05/30/2013

23. Certification

I certify, as the District Representative, that the information reported on this form, with the exception of items 21 and 22, is true and correct and that:

- I am an authorized representative of the district as authorized by the governing board of the district; and,
- A resolution or other appropriate documentation supporting this application under Chapter 12.5, Part 10, Division 1, commencing with Section 17070.10, et. seq., of the Education Code was adopted by the school district's governing board or the designee of the Superintendent of Public Instruction on, 02/20/2010; and,
- The district has established a "Restricted Maintenance Account" for exclusive purpose of providing ongoing and major maintenance of school buildings and has developed an ongoing and major maintenance plan that complies with and is implemented under the provisions of Education Code Section 17070.75 and 17070.77 (refer to Sections 1859.100 through 1859.102); and,
- Pursuant to Education Code Section 17070.755, the district has made a priority of the funds in the restricted maintenance account, established pursuant to Education Code Section 17070.75, to ensure that facilities are functional and meet local hygiene standards; and,

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- The district has considered the feasibility of the joint use of land and facilities with other governmental agencies in order to minimize school facility costs; and,
- If this funding request is for the modernization of portable classrooms eligible for an additional apportionment pursuant to Education Code Section 17073.15, the district certifies that (check the applicable box below):
 - 1. The state modernization funds will be used to replace the portable classrooms and permanently remove the displaced portables from the classroom use within six months of the filing of the Notice of Completion for the project; or,
 - 2. It has provided documentation to the Office of Public School Construction which indicates that modernizing the portable classrooms eligible for an additional apportionment is better use of public resources than the replacement of these facilities.
- Facilities to be modernized have not been previously modernized with Lease-Purchase Program, Proposition 1A Funds or School Facility Program state funds; and,
- Facilities to be rehabilitated under the Charter School Facility Program previously funded with School Facility Program State funds meet the requirements of Section 1859.163.6; and,
- All contracts entered on or after November 4, 1998 for the service of any architect structural engineer or other design professional for any work under the project have been obtained pursuant to a competitive process that is consistent with the requirements of Chapter 10 (commencing with Section 4525) of Division 5, of Title 1, of the Government Code; and,
- If this request is for new construction funding, the district has received approval of the site and the plans from the CDE. Plan approval is not required if request is for separate design apportionment; and,
- If this request is for modernization or Charter School Facility Program Rehabilitation funding, the district has received approval of the plans for the project from the CDE. Plan approval is not required if request is for separate design apportionment; and,
- The district has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
- This district has or will comply with Education Code Section 17076.11 regarding at least a 3 percent expenditure goal for disabled veteran business enterprises; and,
- The district matching funds required pursuant to Sections 1859.77.1 or 1859.79 has either been expended by the district, deposited in the County School Facility Fund or will be expended by the district prior to the notice of completion for the project; and,
- The district has received the necessary approval of the plans and specifications from the Division of the State Architect unless the request is for a separate site and/or design apportionment; and,
- If the district is requesting site acquisition funds as part of this application, the district has complied with Sections 1859.74 through 1859.75.1 as appropriate; and,
- With the exception of an apportionment made pursuant to Section 1859.75.1, the district understands that the lack of substantial progress toward increasing the pupil capacity or renovation of its facilities within 18 months of receipt of any funding shall be cause for the rescission of the unexpended funds (refer to Section 1859.105); and,
- If the apportionment for this project was made pursuant to Section 1859.75.1, the district understands that the lack of substantial progress toward increasing the pupil capacity or renovation of its facilities within 12 months of receipt of any funding shall be cause for the rescission of the unexpended funds (refer to Section 1859.105.1); and,
- The district understands that funds not released within 18 months of apportionment shall be rescinded and the application shall be denied (refer to Section 1859.90); and,
- The statements set forth in this application and supporting documents are true and correct to the best of my knowledge and belief; and,
- All school facilities purchased or newly constructed under the project for use by pupils who are individuals with exceptional needs, as defined in Education Code Section 56026, shall be designed and located on the school site so as to maximize interaction between those individuals with exceptional needs and other pupils as appropriate to the needs of both; and,
- This form is an exact duplicate (verbatim) of the form provided by the OPSC. In the event a conflict should exist, the language in the OPSC form will prevail; and,
- The district understands that some or all of the State funding for the project must be returned to the State as a result of an audit pursuant to Sections 1859.105, 1859.105.1, 1859.106; and,
- The district has complied with the provisions of Sections 1859.76 and 1859.79.2 and that the portion of the project funded by the State does not contain work specifically prohibited in those Sections; and,
- If the SFP grants will be used for the construction or modernization of school facilities on leased land, the district has entered into a lease agreement for the leased property that meets the requirements of Section 1859.22; and,
- If the application contains a "Use of New Construction Grant" request, the district has adopted a school board resolution and housing plan at a public hearing at a regularly scheduled meeting of the governing board on _____ as specified in Sections 1859.77.2, or 1859.77.3, as appropriate. The district's approved housing plan is as indicated (check all that apply):
 - 1. The district will construct or acquire facilities for housing the pupils with funding not otherwise available to the SFP as a district match within five years of project approval by the SAB and the district must identify the source of the funds. [Applicable for Sections 1859.77.2(a) and (b) and 1859.77.3(a) and (b)]
 - 2. The district will utilize higher district loading standards providing the loading standards are within the approved district's teacher contract and do not exceed 33:1 per classroom. [Applicable for Sections 1859.77.2(a) and (b) and 1859.77.3(a) and (b)]
 - 3. The pupils requested from a different grade level will be housed in classrooms at an existing school in the district which will have its grade level changed, to the grade level requested, at the completion of the proposed SFP project. [Applicable for Sections 1859.77.2(b) and 1859.77.3(b)]
- If the district requested additional funding for fire code requirements pursuant to Sections 1859.71.2 or 1859.78.4, the district will include the automatic fire detection/alarm system and/or automatic sprinkler system in the project prior to completion of the project; and,
- The district has consulted with the career technical advisory committee established pursuant to Education Code Section 8070 and the need for vocational and career technical facilities is being adequately met in accordance with Education Code Sections 51224, 51225.3(b), and 51228(b), and 52336.1; and,
- If the district is requesting an Additional Grant for Energy Efficiency pursuant to Sections 1859.71.3 or 1859.78.5, the increased costs for the energy efficiency components in the project exceeds the amount of funding otherwise available to the district; and,

- If this application is submitted after January 1, 2004 for modernization funding, the district has considered the potential for the presence of lead-containing materials in the modernization project and will follow all relevant federal, state, and local standards for the management of any identified lead; and,
- The district has initiated and enforced an LCP that has been approved by the DIR, pursuant to Labor Code Section 1771.7, if the project is funded from Propositions 47 or 55 and the Notice to Proceed for the construction phase of the project is issued on or after April 1, 2003 and before January 1, 2012; and,
- The district will contract or has contracted with the DIR for prevailing wage monitoring and enforcement pursuant to Labor Code Section 1771.3(a), if the construction contract is awarded on or after January 1, 2012 and the district has not obtained a waiver for the requirement, pursuant to Labor Code Section 1771.3(b). The district understands that if it fails to meet this requirement, it will be required to repay all state bond funds received including interest; and,
- Beginning with the 2005/2006 fiscal year, the district has complied with Education Code Section 17070.75(e) by establishing a facilities inspection system to ensure that each of its schools is maintained in good repair; and,
- If this application is submitted pursuant to Section 1859.180, the district certifies that within six months of occupancy of the permanent classrooms, it will remove the replaced portables from the eligible school site and K-12 grade classroom use with the exception of schools described in Education Code Section 17079.30(c); and,
- The district has considered the feasibility of using designs and materials for the new construction or modernization project that promote the efficient use of energy and water, maximum use of natural light and indoor air quality, the use of recycled materials and materials that emit a minimum of toxic substances, the use of acoustics conducive to teaching and learning, and the other characteristics of high performance schools; and,
- If the district is requesting an additional grant for high performance incentive funding, the school district governing board must have a resolution on file that demonstrates support for the high performance incentive grant request and the intent to incorporate high performance features in future facilities projects; and,
- If this application is submitted when there is Insufficient Bond Authority, the district has adopted a school board resolution pursuant to Section 1859.95.1; and,
- The district will comply with all laws pertaining to the construction or modernization of its school building.

<small>NAME OF DISTRICT REPRESENTATIVE (PRINT)</small> Mr. John Jones	<small>PHONE NUMBER</small> (916) 555-5555
<small>SIGNATURE OF DISTRICT REPRESENTATIVE</small>	<small>DATE</small> 05/30/2013

ATTACHMENT C Sample Modernization Grant Calculation

200 Pupil Grant Modernization at an Elementary School

<p>BASE GRANT (\$3,713 per pupil K-6) (\$3,713 X 200 = \$742,600)</p>	\$742,600
<p>PROJECT ASSISTANCE (\$3,135 flat rate for districts with less than 2,500 pupils)</p>	\$3,135
<p>FIRE DETECTION/ALARM SYSTEM (\$119 per pupil for installation of a fire alarm system) (\$119 X 200 = 23,800)</p>	\$23,800
<p>HIGH PERFORMANCE INCENTIVE GRANT (34 points) (Formula based grant for projects containing high performance components) (The attached calculation page shows the calculation for the High Performance Incentive grant)</p>	\$279,704
<p>GEOGRAPHIC LOCATION (5%) (5%-20% of base grant based on the geographic isolation of the site) (0.05 X 742,600 = 37,130)</p>	\$37,130
<p>ACCESSIBILITY/FIRE CODE (3%) (3% of base grant or formula based grant in order to meet accessibility and fire code requirements at the site) (0.03 X 742,600 = \$22,278)</p>	\$22,278
<p>TWO-STOP ELEVATORS GRANT (\$99,172 flat rate for each two-stop elevator required by the DSA; \$17,849 for each additional elevator)</p>	\$99,172
<p>SMALL SIZE PROJECT (4% or 12% of the base grant for small scale projects of 200 pupil grants or less) (0.04 X 742,600 = \$29,704)</p>	\$29,704
<p>URBAN/SECURITY/IMPACTED SITE (Formula based grant for projects in which the site size is less than 60% of that recommended by CDE) (The attached calculation page shows the calculation for the Urban/Security/Impacted Site grant)</p>	\$218,716
<p>PREVAILING WAGE MONITORING GRANT (One quarter of 1% of the total apportionment for DIR monitoring and enforcement) (0.0025 X \$1,456,239 = \$3,641)</p>	\$3,641
<p>STATE SHARE 60%: \$1,459,880 DISTRICT SHARE 40%: <u>\$973,253</u> TOTAL 100%: \$2,433,133</p>	

FORMULA BASED MODERNIZATION CALCULATIONS

HIGH PERFORMANCE INCENTIVE GRANT

There are separate calculations for projects accepted by DSA before and after 10/1/07. Our sample project will use the newer calculation. The grant is calculated as follows.

Step 1: Allow **\$250,000** one time per school site.

Step 2: Allow a percentage of the base grant based on how many CHPS points (as determined by DSA) the project has attained. Our sample project has 34 points, so the SFP regulations stipulate an allowance of 4% of the base grant at 34 points:
 $0.04 \times 742,600 = \mathbf{29,704}$

Step 3: Allow 0.36% of the base grant for each CHPS point attained from 35 through 47. Our sample project has 34 points so we do not need to perform this step for this project.

$$250,000 + 29,704 + 0 = \mathbf{\$279,704 \text{ Modernization}}$$

URBAN/SECURITY/IMPACTED SITE GRANT

To qualify for this grant, the site size must be 60% or less than the CDE recommended site size. The modernization grant is calculated as follows:

Step 1: Find the acre ratio. Existing acres divided by CDE recommended acres. Our sample project has two existing acres and the CDE recommends a site size of 10 acres: $2 \text{ divided by } 10 = 0.2$. The acre ratio is 0.2.

Step 2: Multiplier. **Multiply the acre ratio by 100, subtract from 60, then multiply by 0.333.** Finally, add 15:
 $0.2 \times 100 = 20$. $60 - 20 = 40$. $40 \times 0.333 = 13.32$. $13.32 + 15 = 28.32$.

Step 3: Divide Multiplier by 100, and take the resulting percentage of the base grant and the small size grant, if applicable:
 $28.32 \text{ divided by } 100 = 0.2832$. $0.2832 \times 772,304 \text{ (base grant + small size)} = \mathbf{\$218,716}$