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BUSINESS, TRANSPORTATION AND HOUSING

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2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL

The Department of Alcoholic Beverage Control administers the provisions of the Alcoholic Beverage Control Act, which vests in the Department the exclusive right and power to license and regulate the manufacture, sale, purchase, possession and transportation of alcoholic beverages within the state and, subject to certain laws of the United States, to regulate the importation and exportation of alcoholic beverages into and from the state.

Authority

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

SUMMARY OF PROGRAM REQUIREMENTS

	<i>00-01</i>	<i>01-02</i>	<i>02-03</i>	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
10 Administration of the Alcoholic Beverage Control Act.....	435.4	446.7	446.7	\$34,706	\$36,862	\$36,920
0081 Alcohol Beverage Control Fund.....				33,213	35,789	35,896
0995 Reimbursements.....				1,493	1,073	1,024

10 ADMINISTRATION OF ALCOHOLIC BEVERAGE CONTROL ACT

Program Objectives Statement

This program conducts the three major activities of the Department: licensing, which ensures that only qualified persons and legitimate businesses are licensed to sell, manufacture, or otherwise deal in alcoholic beverages; compliance, which ensures adherence to the alcoholic beverage control laws and regulations by all persons operating within the alcoholic beverage industry; and administration, which provides staff support and conducts administrative appeal hearings.

Major Budget Adjustments Proposed for 2002-03

- An increase of \$154,000 for state vehicle rent costs.
- An increase of \$87,000 for office rent.

Authority

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 ADMINISTRATION OF ALCOHOLIC BEVERAGE CONTROL ACT

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
State Operations:			
0081 Alcohol Beverage Control Fund.....	\$31,713	\$34,289	\$34,396
0995 Reimbursements.....	1,493	1,073	1,024
Totals, State Operations.....	\$33,206	\$35,362	\$35,420
Local Assistance:			
0081 Alcohol Beverage Control Fund.....	1,500	1,500	1,500
Totals, Local Assistance.....	\$1,500	\$1,500	\$1,500

ELEMENT REQUIREMENTS

10.10 Licensing.....	17,112	18,648	18,703
State Operations:			
0081 Alcohol Beverage Control Fund.....	16,534	17,905	17,960
0995 Reimbursements.....	578	743	743
10.20 Compliance.....	16,094	16,714	16,717
State Operations:			
0081 Alcohol Beverage Control Fund.....	15,179	16,384	16,436
0995 Reimbursements.....	915	330	281
Local Assistance:			
0081 Alcohol Beverage Control Fund.....	1,500	1,500	1,500
TOTAL EXPENDITURES			
State Operations.....	\$33,206	\$35,362	\$35,420
Local Assistance.....	1,500	1,500	1,500
TOTALS, EXPENDITURES	<u>\$34,706</u>	<u>\$36,862</u>	<u>\$36,920</u>

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	435.4	472.2	472.2	\$21,311	\$22,923	\$23,635
Total Adjustments	-	-	-	-	140	136
Estimated Salary Savings	-	-25.5	-25.5	-	-1,444	-1,488
Net Totals, Salaries and Wages	435.4	446.7	446.7	\$21,311	\$21,619	\$22,283
Staff Benefits	-	-	-	3,450	5,376	4,702
Totals, Personal Services	435.4	446.7	446.7	\$24,761	\$26,995	\$26,985
OPERATING EXPENSES AND EQUIPMENT				\$8,445	\$8,367	\$8,435
TOTALS, EXPENDITURES				\$33,206	\$35,362	\$35,420

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0081 Alcohol Beverage Control Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$31,600	\$33,072	\$34,396
Allocation for employee compensation	161	-	-
Allocation for contingencies or emergencies	45	-	-
Adjustment per Section 3.60	299	1,130	-
Adjustment per Section 4.60 (Rental Rate)	44	64	-
Adjustment per Section 4.00	-	-64	-
Allocation for Department of Justice Attorney Services	-	17	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	-	70	-
Totals Available	\$32,149	\$34,289	\$34,396
Unexpended balance, estimated savings	-436	-	-
TOTALS, EXPENDITURES	\$31,713	\$34,289	\$34,396

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$1,493	\$1,073	\$1,024
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$33,206	\$35,362	\$35,420

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0081 Alcohol Beverage Control Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
101 Budget Act appropriation	\$1,500	\$1,500	\$1,500
TOTALS, EXPENDITURES	\$1,500	\$1,500	\$1,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,500	\$1,500	\$1,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$34,706	\$36,862	\$36,920

FUND CONDITION STATEMENT

0081 Alcohol Beverage Control Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE	\$6,230	\$4,798	\$2,244
Prior year adjustments	182	-	-
Balance, Adjusted	\$6,412	\$4,798	\$2,244

* Dollars in thousands, except in Salary Range.

2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL—Continued

1							
2							
3							
4	REVENUES AND TRANSFERS						
5	Revenues:				2000-01*	2001-02*	2002-03*
6	121000 Liquor license fees				\$33,333	\$35,043	\$37,827
7	161000 Escheat of unclaimed checks, warrants				82	9	9
8	161400 Miscellaneous revenue				3	2	2
9	Totals, Revenues				\$33,418	\$35,054	\$37,838
10	Transfers to Other Funds:						
11	T00001 General Fund per Business and Professions Code Section 25761				-1,819	-1,819	-1,819
12	Totals, Transfers to Other Funds				-\$1,819	-\$1,819	-\$1,819
13	Totals, Revenues and Transfers				\$31,599	\$33,235	\$36,019
14	Totals, Resources				\$38,011	\$38,033	\$38,263
15							
16							
17							
18							
19	EXPENDITURES						
20	Disbursements:						
21	2100 Department of Alcoholic Beverage Control:						
22	State Operations				31,713	34,289	34,396
23	Local Assistance				1,500	1,500	1,500
24	Totals, Disbursements				\$33,213	\$35,789	\$35,896
25							
26							
27	FUND BALANCE				\$4,798	\$2,244	\$2,367
28	Reserve for economic uncertainties				4,798	2,244	2,367
29							
30							
31							

CHANGES IN

AUTHORIZED POSITIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	435.4	472.2	472.2	\$21,311	\$22,923	\$23,635
Workload and Administrative Adjustments:						
Proposed New Positions:						
Shoulder Tap Grant:				Salary Range		
Temporary Help	-	-	-	-	50	10
Overtime	-	-	-	-	90	126
Totals, Workload and Administrative Adjustments	-	-	-	-	\$140	\$136
Total Adjustments	-	-	-	-	\$140	\$136
TOTALS, SALARIES AND WAGES	435.4	472.2	472.2	\$21,311	\$23,063	\$23,771

2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD

The Alcoholic Beverage Control Appeals Board consists of three members appointed by the Governor. The Board provides a forum of appeal to persons who are dissatisfied with the Department of Alcoholic Beverage Control's decision to order penalties or issue, deny, condition, transfer, suspend or revoke any alcoholic beverage license. Following the filing of an appeal, receipt of the record on appeal, and submission of written briefs, the Board hears oral arguments in Northern and Southern California on the appropriateness of the Department's decision. The Board then prepares, publishes, and distributes a formal written opinion. A party seeking review of an Appeals Board decision must file a petition for writ of review with the Court of Appeal.

The Alcoholic Beverage Control Appeals Fund is supported by a surcharge on license fees of the Department of Alcoholic Beverage Control.

SUMMARY OF PROGRAM

REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Administrative Review	8.7	8.8	8.8	\$678	\$759	\$758
0117 Alcoholic Beverage Control Appeals Fund				678	759	758

10 ADMINISTRATIVE REVIEW

Program Objectives Statement

This program provides an appeals process and issues decisions on appeals filed with the Alcoholic Beverage Control Appeals Board. In 2000-01, 210 appeals were filed with the Board, and 262 decisions were issued by the Board.

The appeals to the Board are from decisions of the Department of Alcoholic Beverage Control, which was a party to 508 administrative hearings during the 2000-01 fiscal year. Most of these hearings involved license applications or alleged violations of the Alcoholic Beverage Control Act.

During 2000-01, judicial review of Board decisions was requested of the Court of Appeal or State Supreme Court on 63 occasions. The appellate courts denied petitions in 58 cases and granted a writ of review in five cases. Five cases from 2000-01 and prior years are pending appellate decision.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD—Continued

Authority

Article XX, Section 22, of the California Constitution and Division 9, Business and Professions Code.

SUMMARY BY OBJECT
1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	8.7	8.8	8.8	\$435	\$436	\$436
Net Totals, Salaries and Wages	8.7	8.8	8.8	\$435	\$436	\$436
Staff Benefits	-	-	-	54	76	76
Totals, Personal Services	8.7	8.8	8.8	\$489	\$512	\$512
OPERATING EXPENSES AND EQUIPMENT				\$189	\$247	\$246
TOTALS, EXPENDITURES				\$678	\$759	\$758

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS

0117 Alcoholic Beverage Control Appeals Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$727	\$742	\$758
Allocation for employee compensation	5	-	-
Adjustment per Section 3.60	-4	18	-
Adjustment per Section 4.00	-	-1	-
Totals Available	\$728	\$759	\$758
Unexpended balance, estimated savings	-50	-	-
TOTALS, EXPENDITURES	\$678	\$759	\$758
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$678	\$759	\$758

FUND CONDITION STATEMENT

0117 Alcoholic Beverage Control Appeals Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$580	\$470	\$363
Prior year adjustments	-9	-	-
Balance, Adjusted.....	\$571	\$470	\$363
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees.....	577	652	791
Totals, Revenues and Transfers.....	\$577	\$652	\$791
Totals, Resources	\$1,148	\$1,122	\$1,154
EXPENDITURES			
Disbursements:			
2120 Alcoholic Beverage Control Appeals Board (State Operations).....	678	759	758
FUND BALANCE.....	\$470	\$363	\$396
Reserve for economic uncertainties	470	363	396

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS

The Department of Financial Institutions was established effective July 1, 1997, to regulate depository institutions, including commercial banks, savings associations, credit unions, industrial loan companies, and certain other providers of financial services. In addition, the Department licenses and regulates issuers of payment instruments, including companies licensed to sell money orders and/or travelers' checks or licensed to engage in the business of transmitting money abroad, and business and industrial development corporations. Programs are supported by assessment of the various industries, license and application fees, and charges for various other services.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

SUMMARY OF PROGRAM REQUIREMENTS		00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10	Licensing and Supervision of Banks and Trust Companies	101.3	106.4	109.2	\$13,138	\$14,393	\$14,724
20	Payment Instruments	6.9	8.5	8.5	671	784	788
40	Administration of Local Agency Security	1.5	4.7	4.7	160	324	306
50	Supervision of California Business and Industrial Development Corporations	0.1	0.1	0.1	10	28	28
60	Credit Unions	23.7	25.6	25.6	2,633	2,549	2,550
70	Savings and Loan	1.5	1.4	1.4	42	121	122
80	Industrial Loan Companies	10.7	10.4	10.4	736	953	956
90	Administration	42.1	49.9	49.9	3,119	3,996	3,993
	Distributed Administration	-	-	-	-3,119	-3,996	-3,993
TOTALS, PROGRAMS		187.8	207.0	209.8	\$17,390	\$19,152	\$19,474
	0240 Local Agency Deposit Security Fund				160	324	306
	0298 Financial Institutions Fund				14,259	15,979	16,318
	0299 Credit Union Fund				2,633	2,549	2,550
	0995 Reimbursements				338	300	300

10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

Program Objectives Statement

The primary objectives of this program are: (1) to protect the public from economic losses that result from bank and trust company failures without depriving the public of reasonably priced and convenient banking and trust services, and (2) to guard against the secondary effect on smaller financial institutions often associated with the failure of a bank or trust company.

Major Budget Adjustment Proposed for 2002-03

- An augmentation of \$310,000 and 3 positions to implement the provisions of Chapter 732, Statutes of 2001, which prohibits certain predatory lending practices. This proposal complements augmentations to the Department of Corporations and the Department of Real Estate that also address predatory lending practices.

Authority

California Financial Code, Division 1, Chapters 3, 4, 10, 12, 13.5, 15, 16, 17, 19, and 21 (Banking Law).

20 PAYMENT INSTRUMENTS

Program Objectives Statement

This program protects the public from economic loss resulting from the failure of a company licensed either to sell payment instruments (money orders) or travelers' checks, or to engage in the business of transmitting money abroad. The program ensures that business is being conducted in a financially sound manner by periodically examining these facilities and by requiring and analyzing specific reports. In addition, the program issues licenses to persons engaged in the business of receiving money for the purpose of transmitting the same or its equivalent to foreign countries, issuing travelers' checks, or selling money orders. In order to protect the public, a thorough investigation of each applicant is conducted before the license is issued.

Authority

California Financial Code, Division 1, Chapter 14, 14A, Division 16, Chapters 1-11.

40 ADMINISTRATION OF LOCAL AGENCY SECURITY

Program Objectives Statement

The Budget Act of 2000 transferred the Local Agency Security Program from the State Treasurer's Office back to the Department of Financial Institutions (DFI) effective January 1, 2001. As the Administrator of the Local Agency Security Program, the Commissioner acts as an agent for approximately 1,500 local treasurers in verifying the amount and quality of collateral pledged to secure deposits of public funds made by local agencies. The Commissioner also administers local agency security for savings and loans and credit unions.

Authority

Government Code Sections 53630-53686.

50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS

Program Objectives Statement

This program licenses and regulates nonfiduciary businesses and industrial development corporations. The program ensures that business is conducted in a financially sound manner through periodic examinations of these corporations and by requiring and analyzing specific reports. In addition, the department licenses corporations operating as business and industrial development corporations. Certain federal programs, most notably the Small Business Administration's Section 7A Loan Guarantee Program, require a lender to be a licensed and regulated financial institution to qualify for guarantees. By licensing and regulating business and industrial development corporations, this program ensures that these corporations qualify for loan guarantees.

Authority

California Financial Code, Division 15.

* Dollars in thousands, except in Salary Range.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

60 CREDIT UNIONS

Program Objectives Statement

A credit union is a cooperative, organized for the purposes of promoting thrift and savings among its members, and creating a source of credit for them. The credit union program administers and enforces the provisions of the Financial Code relating to credit unions. These responsibilities include: reviewing of applications to determine the appropriateness of financial data and personnel; monitoring financial condition and operating procedures for statutory compliance through reporting and field examinations; and responding to public inquiries for information and assistance.

Authority

California Financial Code, Division 5, Sections 14000 through 16154.

70 SAVINGS AND LOAN

Program Objectives Statement

The savings and loan program ensures that savings associations comply with laws and regulations, including those involving consumer protection and anti-discrimination, and ensures the continued financial growth of these associations consistent with public need and convenience.

Authority

California Financial Code, Division 2, Sections 5000 through 11709.

80 INDUSTRIAL LOAN COMPANIES

Program Objectives Statement

Industrial loan companies serve a wide range of customers for both consumer and commercial loans, but most industrial loan companies specialize in only a few specific areas of lending. The industrial loan program administers and enforces the provisions of the Financial Code relating to industrial loan companies, including the processing of applications and amendments for industrial loan companies, and conducting examinations to determine current and future safety and soundness.

Authority

California Financial Code, Division 7, Sections 18000 through 18643.

90 ADMINISTRATION

Program Objectives Statement

The Administration Program provides services essential for the administration of the Department and its programs, including executive, administrative, legal, legislative, policy, and information support.

Authority

California Financial Code, Division 1, Chapter 2, Article 4 (Banking Law).

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

	2000-01*	2001-02*	2002-03*
State Operations:			
0298 Financial Institutions Fund (Banking Account)	\$12,800	\$14,093	\$14,424
0995 Reimbursements.....	338	300	300
Totals, State Operations	\$13,138	\$14,393	\$14,724

ELEMENT REQUIREMENTS

10.10 Investigation of Applications for New Facilities	285	312	311
State Operations:			
0298 Financial Institutions Fund (Banking Account)	285	312	311
10.20 Continuing Supervision of Existing Banking Facilities	12,295	13,211	13,546
State Operations:			
0298 Financial Institutions Fund (Banking Account)	12,207	13,161	13,496
0995 Reimbursements.....	88	50	50
10.30 Continuing Supervision of Trust Facilities	558	870	867
State Operations:			
0298 Financial Institutions Fund (Banking Account)	308	620	617
0995 Reimbursements.....	250	250	250

PROGRAM REQUIREMENTS

20 PAYMENT INSTRUMENTS.....	\$671	\$784	\$788
State Operations:			
0298 Financial Institutions Fund (Banking Account)	671	784	788

* Dollars in thousands, except in Salary Range.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

1							
2							
3	PROGRAM REQUIREMENTS				2000-01*	2001-02*	2002-03*
4							
5	40 ADMINISTRATION OF LOCAL AGENCY SECURITY				\$160	\$324	\$306
6							
7	State Operations:						
8	0240 Local Agency Security Deposit Fund				160	324	306
9							
10	PROGRAM REQUIREMENTS						
11							
12	50 SUPERVISION OF CALIFORNIA BUSINESS AND						
13	 INDUSTRIAL DEVELOPMENT CORPORATIONS				\$10	\$28	\$28
14							
15	State Operations:						
16	0298 Financial Institutions Fund (Banking Account)				10	28	28
17							
18	PROGRAM REQUIREMENTS						
19							
20	60 CREDIT UNIONS				\$2,633	\$2,549	\$2,550
21							
22	State Operations:						
23	0299 Credit Union Fund				2,633	2,549	2,550
24							
25	PROGRAM REQUIREMENTS						
26							
27	70 SAVINGS AND LOAN				\$42	\$121	\$122
28							
29	State Operations:						
30	0298 Financial Institutions Fund (Savings and Loan Account)				42	121	122
31							
32	PROGRAM REQUIREMENTS						
33							
34	80 INDUSTRIAL LOAN COMPANIES				\$736	\$953	\$956
35							
36	State Operations:						
37	0298 Financial Institutions Fund (Industrial Loan Account)				736	953	956
38							
39	TOTALS, EXPENDITURES (State Operations)				<u>\$17,390</u>	<u>\$19,152</u>	<u>\$19,474</u>

SUMMARY BY OBJECT
1 STATE OPERATIONS

41							
42							
43							
44							
45	PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
46	Authorized Positions (Equals Sch. 7A)	187.8	218.0	218.0	\$11,123	\$12,508	\$12,681
47	Total Adjustments	-	-	3.0	-	-	197
48	Estimated Salary Savings	-	-11.0	-11.2	-	-624	-643
49							
50	Net Totals, Salaries and Wages	187.8	207.0	209.8	\$11,123	\$11,884	\$12,235
51	Staff Benefits	-	-	-	1,782	2,200	2,242
52							
53	Totals, Personal Services	187.8	207.0	209.8	\$12,905	\$14,084	\$14,477
54							
55	OPERATING EXPENSES AND EQUIPMENT				\$4,485	\$5,068	\$4,997
56							
57	TOTALS, EXPENDITURES				<u>\$17,390</u>	<u>\$19,152</u>	<u>\$19,474</u>

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0240 Local Agency Deposit Security Fund ^s

61							
62							
63							
64							
65							
66	APPROPRIATIONS				2000-01*	2001-02*	2002-03*
67	001 Budget Act appropriation				\$174	\$317	\$306
68	Adjustment per Section 3.60				-	8	-
69	Adjustment per Section 4.00				-	-1	-
70							
71	Totals Available				\$174	\$324	\$306
72	Unexpended balance, estimated savings				-14	-	-
73							
74	TOTALS, EXPENDITURES				<u>\$160</u>	<u>\$324</u>	<u>\$306</u>

0298 Financial Institutions Fund ^s

75							
76							
77							
78	APPROPRIATIONS						
79	001 Budget Act appropriation				\$16,127	\$15,596	\$16,318
80	Allocation for employee compensation				86	-	-
81	Adjustment per Section 3.60				-154	386	-
82							
83							
84							
85							
86							
87							
88							

* Dollars in thousands, except in Salary Range.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

	2000-01*	2001-02*	2002-03*
Adjustment per Section 4.60 (Rental Rate).....	\$17	\$21	-
Adjustment per Section 4.00.....	-	-31	-
Allocation for Department of Justice Attorney Services.....	-	2	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	-	5	-
Totals Available.....	\$16,076	\$15,979	\$16,318
Unexpended balance, estimated savings.....	-1,817	-	-
TOTALS, EXPENDITURES.....	\$14,259	\$15,979	\$16,318
0299 Credit Union Fund^s			
APPROPRIATIONS			
001 Budget Act appropriation.....	\$2,640	\$2,486	\$2,550
Allocation for employee compensation.....	10	-	-
Adjustment per Section 3.60.....	-20	66	-
Adjustment per Section 4.60 (Rental Rate).....	3	4	-
Adjustment per Section 4.00.....	-	-7	-
011 Budget Act appropriation (Transfer to the General Fund).....	-	-	(2,700)
TOTALS, EXPENDITURES.....	\$2,633	\$2,549	\$2,550
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements.....	\$338	\$300	\$300
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$17,390	\$19,152	\$19,474

FUND CONDITION STATEMENT

0136 State Banking Fund^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	-	-	-
REVENUES AND TRANSFERS			
Transfers from Other Funds:			
F00942 Special Deposit Fund per Section 12.20, Budget Act of 2000.....	\$1,211	-	-
Transfers to Other Funds:			
T00298 Financial Institutions Fund per Section 12.20, Budget Act of 2000 ..	-1,211	-	-
Totals, Revenues and Transfers.....	-	-	-
Totals, Resources.....	-	-	-
FUND BALANCE.....	-	-	-
0240 Local Agency Deposit Security Fund^s			
BEGINNING BALANCE.....	\$143	\$119	\$87
Prior year adjustments.....	2	-	-
Balance, Adjusted.....	\$145	\$119	\$87
Revenues:			
121200 Other regulatory taxes.....	257	294	294
164300 Penalty assessments.....	27	27	27
Totals, Revenues and Transfers.....	\$284	\$321	\$321
Totals, Resources.....	\$429	\$440	\$408
EXPENDITURES			
Disbursements:			
0950 State Treasurer's Office.....	150	-	-
2150 Department of Financial Institutions (State Operations).....	160	324	306
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations).....	-	29	-
Totals, Disbursements.....	\$310	\$353	\$306
FUND BALANCE.....	\$119	\$87	\$102
Reserve for economic uncertainties.....	119	87	102

* Dollars in thousands, except in Salary Range.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

0298 Financial Institutions Fund ^{1 s}		2000-01*	2001-02*	2002-03*
1				
2				
3				
4				
5	BEGINNING BALANCE.....	\$9,717	\$10,236	\$8,172
6	Prior year adjustments ²	22	-	-
7				
8	Balance, Adjusted.....	\$9,739	\$10,236	\$8,172
9				
10	REVENUES AND TRANSFERS			
11	Revenues:			
12	121200 Other regulatory taxes	10,673	11,092	11,325
13	125700 Other regulatory licenses and permits.....	1,185	1,192	1,158
14	141200 Sale of documents.....	1	1	1
15	150300 Income from surplus money investments	874	874	874
16	161000 Escheat of unclaimed checks and warrants	1	-	-
17	161400 Miscellaneous revenue.....	811	756	756
18				
19	Totals, Revenues	\$13,545	\$13,915	\$14,114
20				
21	Transfers from Other Funds:			
22	F00136 State Banking Fund per Section 12.20, Budget Act of 2000	1,211	-	-
23				
24	Totals, Transfers from Other Funds	\$1,211	-	-
25				
26	Totals, Revenues and Transfers	\$14,756	\$13,915	\$14,114
27				
28	Totals, Resources	\$24,495	\$24,151	\$22,286
29				
30	EXPENDITURES			
31	Disbursements:			
32	2150 Department of Financial Institutions (State Operations)	14,259	15,979	16,318
33				
34	FUND BALANCE.....	\$10,236	\$8,172	\$5,968
35	Reserve for economic uncertainties	10,236	8,172	5,968

¹ Includes the State Banking Account, the Savings and Loan Account and the Industrial Loan Account displayed separately for informational purposes following this statement.

² See State Banking Account.

0298 State Banking Account ^s
(Informational Display)

44	BEGINNING BALANCE.....	\$8,418	\$9,003	\$7,209
45	Prior year adjustments	22	-	-
46				
47	Balance, Adjusted.....	\$8,440	\$9,003	\$7,209
48				
49	REVENUES AND TRANSFERS			
50	Revenues:			
51	121200 Other regulatory taxes	10,673	11,092	11,325
52	Assessment of banks	10,257	10,596	10,829
53	Assessment of payment instruments licenses	416	496	496
54	125700 Other regulatory licenses and permits.....	473	388	387
55	141200 Sales of documents.....	1	1	1
56	150300 Income from surplus money investments	874	874	874
57	161400 Miscellaneous revenue.....	812	756	756
58				
59	Totals, Revenues	\$12,833	\$13,111	\$13,343
60				
61	Transfers from Other Funds:			
62	F00136 State Banking Fund per Section 12.20, Budget Act of 2000	1,211	-	-
63				
64	Totals, Transfers from Other Funds	\$1,211	-	-
65				
66	Totals, Revenues and Transfers	\$14,044	\$13,111	\$13,343
67				
68	Totals, Resources	\$22,484	\$22,114	\$20,552
69				
70	EXPENDITURES			
71	Disbursements:			
72	2150 Department of Financial Institutions (State Operations)	13,481	14,905	15,240
73				
74	FUND BALANCE.....	\$9,003	\$7,209	\$5,312
75	Reserve for economic uncertainties	9,003	7,209	5,312

* Dollars in thousands, except in Salary Range.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

0298 Savings and Loan Account^s
(Informational Display)

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$236	\$322	\$241
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses	128	40	40
Totals, Revenues and Transfers.....	<u>\$128</u>	<u>\$40</u>	<u>\$40</u>
Totals, Resources	\$364	\$362	\$281
EXPENDITURES			
Disbursements:			
2150 Department of Financial Institutions (State Operations)	42	121	122
FUND BALANCE.....	<u>\$322</u>	<u>\$241</u>	<u>\$159</u>
Reserve for economic uncertainties	322	241	159

0298 Industrial Loan Account^s
(Informational Display)

BEGINNING BALANCE.....	\$1,063	\$911	\$722
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits.....	584	764	731
Totals, Revenues and Transfers.....	<u>\$584</u>	<u>\$764</u>	<u>\$731</u>
Totals, Resources	\$1,647	\$1,675	\$1,453
EXPENDITURES			
Disbursements:			
2150 Department of Financial Institutions (State Operations)	736	953	956
FUND BALANCE.....	<u>\$911</u>	<u>\$722</u>	<u>\$497</u>
Reserve for economic uncertainties	911	722	497

0299 Credit Union Fund^s

BEGINNING BALANCE.....	\$1,572	\$1,868	\$2,919
Prior year adjustments	—	—	—
Balance, Adjusted.....	<u>\$1,572</u>	<u>\$1,868</u>	<u>\$2,919</u>
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits.....	2,729	3,329	3,680
150300 Income from surplus money investments	200	271	271
Totals, Revenues	<u>\$2,929</u>	<u>\$3,600</u>	<u>\$3,951</u>
Transfers:			
T00001 General Fund Loan per Item 2150-011-0299, Budget Act of 2002 ³	—	—	-2,700
Totals, Revenues and Transfers.....	<u>\$2,929</u>	<u>\$3,600</u>	<u>\$1,251</u>
Totals, Resources	\$4,501	\$5,468	\$4,170
EXPENDITURES			
Disbursements:			
2150 Department of Financial Institutions (State Operations)	2,633	2,549	2,550
FUND BALANCE.....	<u>\$1,868</u>	<u>\$2,919</u>	<u>\$1,620</u>
Reserve for economic uncertainties	1,868	2,919	1,620

³ This loan is one of the proposed solutions to the General Fund shortfall. It is not intended to adversely affect the programs supported by this fund.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

CHANGES IN AUTHORIZED POSITIONS							
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
Totals, Authorized Positions	187.8	218.0	218.0	\$11,123	\$12,508	\$12,681	
Proposed New Positions:				Salary Range			
Sr Financial Institutions Examiner	—	—	3.0	4,949-6,015	—	197	
Totals, Proposed New Positions	—	—	3.0	—	—	\$197	
Total Adjustments.....	—	—	3.0	—	—	\$197	
TOTALS, SALARIES AND WAGES	187.8	218.0	221.0	\$11,123	\$12,508	\$12,878	

2180 DEPARTMENT OF CORPORATIONS

The Department of Corporations protects the public and provides businesses with a financial services marketplace that is cost-effective and efficient through administration and enforcement of state laws regulating securities, franchise investment, lenders, and fiduciaries. Activities include licensing, examination, investor, and consumer education, and responding to public inquiries and complaints. Each program enforces its laws through administrative and civil actions. Pursuant to Chapter 525, Statutes of 1999, commencing July 1, 2000, the administration and the enforcement of health care laws were transferred to the Department of Managed Health Care.

SUMMARY OF PROGRAM REQUIREMENTS							
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
10 Investment Program	142.0	175.4	185.4	\$14,575	\$17,688	\$24,920	
20 Lender-Fiduciary Program.....	114.4	100.0	106.4	8,486	8,635	10,400	
50 Administration	57.7	63.6	63.6	4,699	5,116	5,227	
Distributed Administration	-57.7	-63.6	-63.6	-4,347	-5,116	-5,227	
TOTALS, PROGRAMS.....	256.4	275.4	291.8	\$23,413	\$26,323	\$35,320	
0067 State Corporations Fund				22,998	25,885	35,320	
0890 Federal Trust Fund				63	38	—	
0995 Reimbursements				352	400	—	

10 INVESTMENT PROGRAM

Program Objectives Statement

The Investment Program protects investors in securities and franchise investment transactions and promotes efficient capital formation in California. The program qualifies the offer and sale of certain securities, registers the sale of franchises, and licenses broker-dealers and investment advisers.

Major Budget Adjustments Proposed for 2002-03

- An increase of \$938,000 to fund the second year of the department’s California Electronic Access to Securities Information (CAL-EASI) pilot project. CalEasi will accept investment program documents over the Internet, image other hard copy submissions, and provide for workflow capabilities.
- An increase of \$134,000 for the department’s Information Technology (IT) unit. These funds will be used to provide additional IT staff training and support.
- An increase of \$10.0 million and 17.3 limited-term positions (16.4 personnel years) for the implementation of the Statewide Outreach on Predatory Practices Program (STOPP). STOPP includes staffing for consumer outreach and enforcement activities. The program will protect the financial security of Californians by educating vulnerable populations about both predatory practices and the risks and rewards of investing. This proposal complements augmentations to the Department of Financial Institutions and the Department of Real Estate that also address predatory lending practices.

Authority

- Corporations Code, Sections 25000-25804, inclusive.
- Corporations Code, Sections 28000-28958, inclusive.
- Corporations Code, Section 29500 et seq.
- Corporations Code, Sections 31000-31516, inclusive.
- California Code of Regulations, Title 10, Sections 250.1-250.25, 260.000-260.617, 310.000-310.505; 350.000-350.541.1.

20 LENDER-FIDUCIARY PROGRAM

Program Objectives Statement

The Lender-Fiduciary Program protects the public when borrowing and entering into financial transactions with lenders and fiduciaries licensed by the Department. The program licenses and examines mortgage bankers, finance lenders and escrow companies.

Authority

- Financial Code, Part 5, Divisions 3, 6, 9, 20.
- California Code of Regulations, Title 10, Sections 1290-1299, 1400-1570, 1700-1805.
- Business and Professions Code, Section 17750 et seq.

For the list of standard (lettered) footnotes, see the end of the Governor’s Budget.
 * Dollars in thousands, except in Salary Range.

2180 DEPARTMENT OF CORPORATIONS—Continued

50 ADMINISTRATION

Program Objectives Statement

This program provides executive leadership and direction to the Department, including budgeting, accounting, personnel, business and information technology services.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS	2000-01*	2001-02*	2002-03*
10 INVESTMENT PROGRAM	\$14,575	\$17,688	\$24,920
State Operations:			
0067 State Corporations Fund.....	14,512	17,250	24,920
0890 Federal Trust Fund.....	63	38	-
0995 Reimbursements.....	-	400	-
10.10 Corporate Securities Law	9,221	11,442	18,919
State Operations:			
0067 State Corporations Fund.....	9,158	11,004	18,919
0890 Federal Trust Fund.....	63	38	-
0995 Reimbursements.....	-	400	-
10.15 Broker/Dealers	2,595	3,137	3,022
State Operations:			
0067 State Corporations Fund.....	2,595	3,137	3,022
10.25 Investment Advisers	1,937	1,807	1,752
State Operations:			
0067 State Corporations Fund.....	1,937	1,807	1,752
10.35 Agent Monitoring Law	276	347	343
State Operations:			
0067 State Corporations Fund.....	276	347	343
10.55 California Commodity Law.....	12	106	99
State Operations:			
0067 State Corporations Fund.....	12	106	99
10.65 Franchise Investment Law	505	795	739
State Operations:			
0067 State Corporations Fund.....	505	795	739
10.75 Capitol Access Law	29	54	46
State Operations:			
0067 State Corporations Fund.....	29	54	46
PROGRAM REQUIREMENTS			
20 LENDER-FIDUCIARY PROGRAM	\$8,486	\$8,635	\$10,400
State Operations:			
0067 State Corporations Fund.....	8,486	8,635	10,400
20.10 Check Sellers, Bill Payers and Proraters	23	15	16
State Operations:			
0067 State Corporations Fund.....	23	15	16
20.30 Escrow Law	2,962	2,935	2,983
State Operations:			
0067 State Corporations Fund.....	2,962	2,935	2,983
20.90 California Finance Lenders Law	3,481	3,852	5,512
State Operations:			
0067 State Corporations Fund.....	3,481	3,852	5,512
20.95 Mortgage Bankers Law	2,020	1,833	1,889
State Operations:			
0067 State Corporations Fund.....	2,020	1,833	1,889
PROGRAM REQUIREMENTS			
50 ADMINISTRATION (Undistributed)	\$352	-	-
State Operations:			
0995 Reimbursements.....	352	-	-
TOTALS, EXPENDITURES (State Operations).....	\$23,413	\$26,323	\$35,320

SUMMARY BY OBJECT
1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	256.4	297.0	297.0	\$14,410	\$16,227	\$16,531
Total Adjustments	-	-	17.3	-	33	862
Estimated Salary Savings	-	-21.6	-22.5	-	-1,187	-1,240
Net Totals, Salaries and Wages	256.4	275.4	291.8	\$14,410	\$15,073	\$16,153

* Dollars in thousands, except in Salary Range.

2180 DEPARTMENT OF CORPORATIONS—Continued

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Staff Benefits	-	-	-	\$2,672	\$3,337	\$3,557
Totals, Personal Services	256.4	275.4	291.8	\$17,082	\$18,410	\$19,710
OPERATING EXPENSES AND EQUIPMENT				\$6,331	\$7,913	\$15,610
TOTALS, EXPENDITURES				\$23,413	\$26,323	\$35,320

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0067 State Corporations Fund^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$23,827	\$25,292	\$35,320
Allocation for employee compensation	140	-	-
Adjustment per Section 3.60	-131	587	-
Adjustment per Section 4.60 (Rental Rate)	3	52	-
Adjustment per Section 4.00	-	-46	-
011 Budget Act appropriation (Transfer to the General Fund)	-	-	(20,000)
Totals Available	\$23,839	\$25,885	\$35,320
Unexpended balance, estimated savings	-841	-	-
TOTALS, EXPENDITURES	\$22,998	\$25,885	\$35,320

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$72	-	-
Budget Adjustment	-9	-	-
Federal Funds	-	\$38	-
TOTALS, EXPENDITURES	\$63	\$38	-

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$352	\$400	-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$23,413	\$26,323	\$35,320

FUND CONDITION STATEMENT

0067 State Corporations Fund^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE	\$20,889	\$27,102	\$30,939
Prior year adjustments	4,444	-	-
Balance, Adjusted	\$25,333	\$27,102	\$30,939
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits	28,422	27,274	27,026
125800 Renewal fees	911	838	853
141200 Sales of documents	15	15	15
142500 Miscellaneous services to the public	17	17	17
150300 Income from surplus money investments	835	835	835
161000 Escheat of unclaimed checks and warrants	4	4	4
161900 Other revenue, cost recoveries	394	392	392
164300 Penalties assessments	369	347	2,347
Totals, Revenues	\$30,967	\$29,722	\$31,489
Transfers to Other Funds:			
T00001 General Fund loan per Item 2180-011-0067, Budget Act of 2002 ¹ ..	-	-	-20,000
T00933 Managed Care Fund per Chapter 525, Statutes of 1999	-6,200	-	-
Totals, Transfers to Other Funds	-\$6,200	-	-\$20,000
Totals, Revenues and Transfers	\$24,767	\$29,722	\$11,489
Totals, Resources	\$50,100	\$56,824	\$42,428

* Dollars in thousands, except in Salary Range.

2180 DEPARTMENT OF CORPORATIONS—Continued

EXPENDITURES							
Disbursements:				2000-01*	2001-02*	2002-03*	
2180 Department of Corporations (State Operations).....				\$22,998	\$25,885	\$35,320	
Totals, Disbursements				\$22,998	\$25,885	\$35,320	
FUND BALANCE.....				\$27,102	\$30,939	\$7,108	
Reserve for economic uncertainties				27,102	30,939	7,108	

¹ This loan is one of the proposed solutions to the General Fund shortfall. It is not intended to adversely affect the programs supported by this fund.

CHANGES IN AUTHORIZED POSITIONS							
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
Totals, Authorized Positions	256.4	297.0	297.0	\$14,410	\$16,227	\$16,531	
Workload and Administrative Adjustments:							
Proposed New Positions:				Salary Range			
Consumer Svcs Mgr ¹	-	-	1.0	4,336-5,232	-	52	
Corp Examiner (Range B) ^{4,6}	-	-	1.3	4,301-4,980	-	65	
Assoc Govtl Prog Analyst ¹	-	-	1.0	3,915-4,759	-	47	
Corp Counsel (Range B) ²	-	-	2.0	4,204-4,616	-	101	
Consumer Svcs Rep ¹	-	-	6.0	3,040-3,694	-	219	
Legal Asst ²	-	-	1.0	3,013-3,663	-	36	
Corp Investigator ^{3,5}	-	-	4.5	3,078-3,532	-	166	
Prog Techn III	-	1.0	2.0	2,626-3,193	33	66	
Ofc Techn ²	-	-	0.5	2,348-2,855	-	14	
Prog Techn II	-	3.0	6.0	2,348-2,855	98	196	
Ofc Asst-Range B	-	-4.0	-8.0	1,846-2,465	-98	-195	
Overtime	-	-	-	-	-	95	
Total Adjustments.....	-	-	17.3	-	\$33	\$862	
TOTALS, SALARIES AND WAGES	256.4	297.0	314.3	\$14,410	\$16,260	\$17,393	

¹ Limited-term positions effective July 2002-June 2003.

² Limited-term positions effective January 2002-December 2003.

³ Limited-term positions effective January 2002-December 2003.

⁴ Limited-term positions effective January 2002-December 2003.

⁵ 2.0 limited-term positions effective October 2002-September 2003 and 6.0 limited-term positions effective January 2003-December 2003.

⁶ 1.0 limited-term position effective October 2002-September 2003 and 1.0 limited-term position effective January 2003-December 2003.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT

To expand and preserve safe and affordable housing opportunities and promote strong communities for all Californians, the Department of Housing and Community Development (1) administers housing finance, economic development and rehabilitation programs, (2) proposes housing policy, (3) analyzes and implements building codes, and (4) enforces construction standards for manufactured homes.

SUMMARY OF PROGRAM REQUIREMENTS							
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
10 Codes and Standards Program.....	223.9	216.5	216.5	\$28,148	\$24,421	\$24,646	
20 Community Affairs Program.....	141.9	155.9	155.0	531,609	318,720	184,192	
30.01 Housing Policy Development Program	16.4	21.8	21.8	-103,171	3,730	2,053	
30.02 Distributed Housing Policy Development Program	-	-	-	-	-122	-122	
50.01 Administration Program.....	106.0	102.6	102.6	7,806	8,510	8,557	
50.02 Distributed Administration	-	-	-	-7,806	-8,510	-8,557	
TOTALS, PROGRAMS.....	488.2	496.8	495.9	\$456,586	\$346,749	\$210,769	
69 Loan Repayments Program.....	-	-	-	-5,607	-3,484	-3,499	
98 State-Mandated Local Programs	-	-	-	850	3,882	868	
TOTALS, ADJUSTED PROGRAMS.....	488.2	496.8	495.9	\$451,829	\$347,147	\$208,138	
0001 General Fund.....				559,017	95,470	37,595	
0245 Mobilehome Park Revolving Fund				4,008	4,088	4,266	
0472 Child Care and Development Facilities Direct Loan Fund.....				-15,472	5,171	348	

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	2000-01*	2001-02*	2002-03*
0474 Child Care and Development Facilities Loan Guaranty Fund	\$106	\$120	\$118
0530 Mobilehome Park Purchase Fund	5,591	8,575	8,485
Loan repayments from local agencies to Mobilehome Park Purchase Fund	-873	-982	-832
0635 Rural Predevelopment Loan Fund	2,424	-	-
Loan repayments from local agencies to Rural Predevelopment Loan Fund	-2,524	-	-
0648 Mobilehome Manufactured Home Revolving Fund	17,038	17,151	17,394
0714 Home Building and Rehabilitation Fund	814	883	883
0788 California Earthquake Safety and Rehabilitation Bond Fund	990	199	-
0813 Self-Help Housing Fund	-27,108	8,033	754
Loan repayments from local agencies to Self-Help Housing Fund	-219	-218	-205
0890 Federal Trust Fund	116,380	128,309	117,614
0927 Farmworker Housing Grant Fund	-12,918	13,939	2,800
0929 Housing Rehabilitation Loan Fund	-93,179	43,668	10,086
Loan repayments from local agencies to Housing Rehabilitation Loan Fund	-999	-1,200	-1,184
0938 Rental Housing Construction Fund	8,824	6,744	6,864
Loan repayments from local agencies to Rental Housing Construction Fund	-992	-526	-278
0942 Special Deposit Fund—Office of Migrant Services	2,380	2,571	-
0942 Special Deposit Fund—Century Freeway Housing Program	-	-	-
0972 Manufactured Home Recovery Fund	101	644	644
0980 Predevelopment Loan Fund	-	9,700	2,129
Loan repayments from local agencies to Predevelopment Loan Fund	-	-558	-1,000
0985 Emergency Housing Assistance Fund	-1,785	4,398	173
0995 Reimbursements	225	862	1,484
3006 Jobs-Housing Balance Improvement Account	-110,000	106	-

10 CODES AND STANDARDS PROGRAM

Program Objectives Statement

The Codes and Standards Program administers and enforces California Health and Safety Code provisions established to protect the public's health, safety, and general welfare in buildings, structures, and vehicles designed for human occupancy or habitation. The program has broad responsibility for administration and enforcement of the State Housing Law, Employee Housing Act and Mobilehome Parks Act, California Factory-Built Housing Law, and the Mobilehome-Manufactured Housing Act of 1980, as well as for enforcement of federal and state standards and regulations relating to the construction and safety of manufactured homes, mobilehomes, commercial coaches, and recreational vehicles. The program (1) establishes minimum standards for the design, construction, maintenance, use, and occupancy of structures used for human occupancy; (2) reviews and adopts building code requirements to minimize housing costs, conserve existing housing stock, and protect the public's welfare; (3) ensures that farmworker and other employee housing is safe and sanitary; (4) protects the security of all parties in interest to manufactured housing registration and titling; and (5) licenses the manufactured housing industry and enforces state laws governing manufactured housing sales practices.

Major Budget Adjustment Proposed for 2001-02:

- Reductions
 - \$38,000 General Fund, including \$18,000 from general expense and \$20,000 from travel.

Major Budget Adjustments Proposed for 2002-03:

- Reductions
 - \$151,000 General Fund, including \$13,000 from general expenses, \$33,000 from temporary help, and redirection of 1 position and \$105,000 General Fund from the State Housing Law Program to the Mobilehome Parks Program (special fund) to address workload increases.
- Other Adjustments
 - Add 1 position and redirect \$109,000 General Fund to make an expiring limited-term position permanent to address workload in the Employee Housing Program.

20 COMMUNITY AFFAIRS PROGRAM

Program Objectives Statement

The Community Affairs Program implements programs and policies which (1) assist in the development and preservation of affordable housing for low-income people and families and special needs populations; and (2) promote economic, community, and job development. To accomplish these objectives, the Division of Community Affairs administers loan, grant, and assistance programs that perform the following:

1. Create new multi-family rental housing for lower income people, families, and special needs populations by providing loans and grants to local government, non-profit and for-profit developers and by providing housing assistance certificates and vouchers.
2. Develop rural communities, Indian reservations and rancherias, and their economies through revitalization of neighborhoods, improvement of community facilities and infrastructure, housing rehabilitation, and retention of jobs for low-income families.
3. Produce and maintain temporary housing and support services for migrant agricultural workers and their families.
4. Provide homeownership opportunities for low- and moderate-income households through loans to mobilehome park resident organizations for park purchases.
5. Produce emergency shelter and related services for the homeless through grants for acquiring, renovating, expanding, and operating emergency shelters and for shelter vouchers.

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

6. Protect and preserve state-assisted housing resources for use by low-income people and families by monitoring project management, controlling fund disbursements and repayments, and managing resolution of properties that may become physically or fiscally deficient in the existing loan and grant portfolios.

7. Create and improve child care facilities through loans and loan guarantees.

Major Budget Adjustments Proposed for 2001–02:

- Reduction Issues in the November Revision
 - \$45.1 million transfer to the General Fund from resources in the Housing Rehabilitation Loan Fund for the Multifamily Housing Program. The remaining \$43.8 million will be loaned to projects starting construction prior to January 2003.
 - \$3.1 million transfer to the General Fund, from resources in the Housing Rehabilitation Loan Fund for the Downtown Rebound Program.
- Other Reductions
 - \$40,000 General Fund, including \$15,000 from general expense and \$25,000 from travel.

Major Budget Adjustments Proposed for 2002–03:

- Reduction Issues in the November Revision
 - \$2.0 million from the Downtown Rebound Program.
- Other Reductions
 - \$151,000 General Fund, including \$13,000 from general expense, \$33,000 from temporary help, and redirection of 1 position and \$105,000 General Fund from the Office of Migrant Centers Program to the Multifamily Housing Program (special fund) to address workload increases.
 - \$3.6 million from the Farmworker Housing Grant Program, reducing funding to \$14 million.
 - \$2.0 million from the Emergency Housing Assistance Program, reducing funding to \$11.3 million.
 - \$29.5 million from the Multifamily Housing Program, eliminating funding for the program.
 - \$2.1 million from the Self-Help Housing Program, eliminating funding for the program.
- Other Adjustments
 - \$440,000 federal funds and 4 positions, redirected from expiring limited-term positions in other programs, for increased workload in the HOME Investment Partnership Program.

30 HOUSING POLICY DEVELOPMENT PROGRAM

Program Objectives Statement

The Housing Policy Development Program analyzes and formulates housing and growth management policy for the State, assists private and public housing providers, and implements state housing goals through the review of local general plan housing elements. The program researches and identifies California’s housing needs, administers planning and incentive grant programs, and prepares the Consolidated State/Federal Housing Plan, which brings an estimated \$100 million in federal funding for housing, community, and economic development to California annually.

Major Budget Adjustments Proposed for 2001–02:

- Reduction Issues in the November Revision
 - \$59.7 million transfer to the General Fund, from resources in the Jobs-Housing Balance Improvement Account for the Jobs-Housing Balance Incentive Grant Program, eliminating the remaining funding for the program.
 - \$1 million transfer to the General Fund, from resources in the Housing Rehabilitation Loan Fund for the Downtown Rebound Program.
- Other Reductions
 - \$140,000 General Fund, including \$6,000 from general expense, \$16,000 from travel, and \$117,000 in redirected Research Center staffing.
- Other Adjustments
 - \$109,000 redirected (General Fund) to re-establish 1 position for continued workload in the Housing Element Program.

Major Budget Adjustments Proposed for 2002–03:

- Reduction Issues in the November Revision
 - \$350,000 (General Fund) from available state operations contract funding for technical assistance.
 - \$1 million (General Fund) from the Downtown Rebound Program.

50 ADMINISTRATION PROGRAM

Program Objectives Statement

The Administration Program provides 1) effective executive leadership in designing and implementing housing programs and policies; 2) fiduciary oversight and sound fiscal management through its audit, accounting, and budget functions; 3) development, review, and implementation of legislation affecting the department; 4) housing-specific legal expertise; and 5) support services in the areas of personnel, data processing, business services, and contract management.

69 LOAN REPAYMENT PROGRAM

Program Objectives Statement

This program displays the estimated loan repayments to the department’s special funds.

Authority

Health and Safety Code Sections 50000, et seq.

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

98 STATE-MANDATED LOCAL PROGRAM

Program Objectives Statement

This program reimburses councils of government and individual jurisdictions for preparation of the Regional Housing Needs Plans and other housing element mandates pursuant to Chapter 1143, Statutes of 1980.

Authority

Government Code Sections 65580, et seq.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 CODES AND STANDARDS PROGRAM

State Operations:	2000-01*	2001-02*	2002-03*
0001 General Fund	\$1,853	\$1,848	\$1,713
0245 Mobilehome Park Revolving Fund	4,008	4,088	4,266
0648 Mobilehome-Manufactured Home Revolving Fund	17,038	17,151	17,394
0890 Federal Trust Fund	122	200	200
0929 Housing Rehabilitation Loan Fund	108	100	42
(Code Enforcement Incentive Program)	(108)	(100)	(42)
0972 Manufactured Home Recovery Fund	97	244	244
0995 Reimbursements	193	390	387
Totals, State Operations	\$23,419	\$24,021	\$24,246
Local Assistance:			
0001 General Fund	-	-	-
0972 Manufactured Home Recovery Fund	4	400	400
0929 Housing Rehabilitation Loan Fund	4,725	-	-
(Code Enforcement Incentive Program)	(4,725)	-	-
Totals, Local Assistance	\$4,729	\$400	\$400

PROGRAM REQUIREMENTS

20 COMMUNITY AFFAIRS PROGRAM

State Operations:	2000-01*	2001-02*	2002-03*
0001 General Fund	\$2,431	\$2,702	\$2,451
0472 Child Care and Development Facilities Direct Loan Fund	528	421	348
0474 Child Care and Development Facilities Loan Guaranty Fund	106	120	118
0530 Mobilehome Park Purchase Fund	591	575	485
0635 Rural Predevelopment Loan Fund	111	-	-
0714 Homebuilding and Rehabilitation Fund	814	883	883
(for Family Home Demonstration Program)	(39)	(75)	(75)
0788 California Earthquake Safety and Housing Rehabilitation Bond Account	990	199	-
0813 Self-Help Housing Fund	642	754	754
(Self-Help Program)	(65)	(217)	(217)
(Calhome Program)	(505)	(537)	(537)
0890 Federal Trust Fund	4,811	5,809	6,064
0927 Farmworker Housing Grant Fund	621	727	888
0929 Housing Rehabilitation Loan Fund	3,023	4,681	4,821
(for California Disaster Housing Repair Program)	(779)	(800)	(800)
(for Homeownership Assistance Program)	(73)	(80)	(80)
(for Multi-family Housing Program)	(993)	(1,169)	(1,166)
(for Downtown Rebound Program)	(265)	(252)	(253)
(for Supportive Housing Program)	-	(60)	(60)
0938 Rental Housing Construction Fund	647	794	749
(for Family Home Demonstration Program)	(37)	(35)	(35)
(for Urban Predevelopment Loan Program)	(39)	(40)	-
(Economic Development/Jobs-Housing Balance Program)	-	(53)	(53)
(Transit Oriented Predevelopment Loan Program)	(6)	(100)	(42)
0942 Special Deposit Fund-Century Freeway Housing Program	-	-	-
0980 Predevelopment Loan Fund	-	127	129
0985 Emergency Housing Assistance Fund	715	758	625
0995 Reimbursements	32	97	97
Totals, State Operations	\$16,062	\$18,647	\$18,412
Local Assistance:			
0001 General Fund	551,642	84,964	30,855
0472 Child Care and Development Facilities Direct Loan Fund	-16,000	4,750	-

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	2000-01*	2001-02*	2002-03*
0530 Mobilehome Park Purchase Fund	\$5,000	\$8,000	\$8,000
0635 Rural Predevelopment Loan Fund	2,313	-	-
0813 Self-Help Housing Fund	-27,750	7,279	-
(Self-Help Program)	(2,129)	(2,100)	-
(Calhome Program)	(40,221)	(7,279)	-
0890 Federal Trust Fund	111,447	122,300	111,350
0927 Farmworker Housing Grant Fund	-13,539	13,212	1,912
(Office of Migrant Services Program)	-	-	(3,136)
(Farmworker Housing Grant Program)	-	(27,087)	(12,760)
0929 Housing Rehabilitation Loan Fund	-105,623	37,459	5,000
(Multi-family Housing Program)	(112,614)	(42,783)	-
(Downtown Rebound Program)	(9,000)	(11,270)	-
(Supportive Housing Program)	-	(3,456)	-
0938 Rental Housing Construction Fund	8,177	5,950	6,115
(Preservation Predevelopment Program)	(600)	-	-
(Economic Development/Jobs-Housing Balance Program)	(4,850)	-	-
(Transit Oriented Predevelopment/Jobs-Housing Balance Program)	(713)	-	-
0942 Special Deposit Fund—Office of Migrant Services	2,380	2,571	-
0980 Predevelopment Loan Fund	-	9,573	2,000
0985 Emergency Housing Assistance Fund	-2,500	3,640	-452
0995 Reimbursements	-	375	1,000
Totals, Local Assistance	\$515,547	\$300,073	\$165,780
PROGRAM REQUIREMENTS			
30 HOUSING POLICY DEVELOPMENT PROGRAM			
State Operations:			
0001 General Fund	\$2,241	\$2,074	\$1,708
Distributed to Other Funds	-	(122)	(122)
0929 Housing Rehabilitation Loan Fund	63	223	223
(Downtown Rebound Program)	(25)	(70)	(70)
(Inter-regional Partnership Grants for Jobs-Housing Balance)	(38)	(153)	(153)
3006 Jobs-Housing Balance Improvement Account	-	106	-
Totals, State Operations	\$2,304	\$2,403	\$1,931
Local Assistance:			
0001 General Fund	-	-	-
0929 Housing Rehabilitation Loan Fund	4,525	1,205	-
(Downtown Rebound Program)	(1,575)	(655)	-
(Inter-regional Partnership Grants for Jobs-Housing Balance)	(2,950)	(1,550)	-
3006 Jobs-Housing Balance Improvement Account	-110,000	-	-
Totals, Local Assistance	-\$105,475	\$1,205	-
PROGRAM REQUIREMENTS			
69 LOAN REPAYMENTS PROGRAM			
0530 Mobilehome Park Purchase Fund	-\$873	-\$982	-\$832
0635 Rural Predevelopment Loan Fund	-2,524	-	-
0813 Self-Help Housing Fund	-219	-218	-205
0929 Housing Rehabilitation Loan Fund	-999	-1,200	-1,184
0938 Rental Housing Construction Fund	-992	-526	-278
0980 Predevelopment Loan Fund	-	-558	-1,000
PROGRAM REQUIREMENTS			
98 STATE-MANDATED LOCAL PROGRAMS			
Local Assistance:			
(a) Ch. 1143/80—Regional Housing Needs Plan	\$850	\$850	\$868
(b) AB 1110-Chapter 574—Set aside for 1999 Mandates Claims Bill	-	-	-
(c) SB 1894-Chapter 117—Set aside for 2000 Mandates Claims Bill	-	-	-
(d) Pending—Set aside for 2001 Mandates Claims Bill	-	3,032	-
TOTAL EXPENDITURES			
State Operations	\$41,785	\$45,071	\$44,589
Local Assistance	410,044	302,076	163,549
TOTALS, EXPENDITURES	\$451,829	\$347,147	\$208,138

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	488.2	523.2	517.2	\$23,763	\$25,969	\$26,061
Total Adjustments	-	2.0	7.0	-	108	314
Estimated Salary Savings	-	-28.4	-28.3	-	-964	-1,026
Net Totals, Salaries and Wages	488.2	496.8	495.9	\$23,763	\$25,113	\$25,349
Staff Benefits	-	-	-	4,247	6,026	6,083
Totals, Personal Services	488.2	496.8	495.9	\$28,010	\$31,139	\$31,432
OPERATING EXPENSES AND EQUIPMENT				\$13,775	\$13,932	\$13,157
TOTALS, EXPENDITURES				\$41,785	\$45,071	\$44,589

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$7,073	\$6,799	\$5,872
Allocation for employee compensation	27	-	-
Adjustment per Section 3.60	-50	157	-
Adjustment per Section 3.90	-	-218	-
Adjustment per Section 4.60 (Rental Rate)	2	-	-
Adjustment per Section 4.00	-	-11	-
Allocation for postage rate increases	3	6	-
Totals Available	\$7,055	\$6,733	\$5,872
Unexpended balance, estimated savings	-530	-109	-
TOTALS, EXPENDITURES	\$6,525	\$6,624	\$5,872

0245 Mobilehome Park Revolving Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$4,030	\$4,007	\$4,266
Allocation for employee compensation	19	-	-
Adjustment per Section 3.60	-29	84	-
Adjustment per Section 4.60 (Rental Rate)	-	4	-
Adjustment per Section 4.00	-	-7	-
Totals Available	\$4,020	\$4,088	\$4,266
Unexpended balance, estimated savings	-12	-	-
TOTALS, EXPENDITURES	\$4,008	\$4,088	\$4,266

0472 Child Care and Development Facilities Direct Loan Fund ⁿ

APPROPRIATIONS			
014 Budget Act appropriation	\$567	\$413	\$348
Allocation for employee compensation	1	-	-
Adjustment per Section 3.60	-3	9	-
Adjustment per Section 4.00	-	-1	-
Totals Available	\$565	\$421	\$348
Unexpended balance, estimated savings	-37	-	-
TOTALS, EXPENDITURES	\$528	\$421	\$348

0474 Child Care and Development Facilities Loan Guaranty Fund ⁿ

APPROPRIATIONS			
011 Budget Act appropriation (transfer to the General Fund)	-	-	(\$1,384)
013 Budget Act appropriation	\$118	\$118	118
Adjustment per Section 3.60	-1	2	-
Totals Available	\$117	\$120	\$118
Unexpended balance, estimated savings	-11	-	-
TOTALS, EXPENDITURES	\$106	\$120	\$118

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0530 Mobilehome Park Purchase Fund ^a

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$674	\$564	\$485
011 Budget Act appropriation (transfer to the General Fund)	-	-	(6,000)
Allocation for employee compensation	1	-	-
Adjustment per Section 3.60	-5	12	-
Adjustment per Section 4.00	-	-1	-
Totals Available	<u>\$670</u>	<u>\$575</u>	<u>\$485</u>
Unexpended balance, estimated savings	<u>-79</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$591</u>	<u>\$575</u>	<u>\$485</u>

0635 Rural Predevelopment Loan Fund ^a

APPROPRIATIONS			
001 Budget Act appropriation	\$118	\$124	-
Adjustment per Section 3.60	-1	3	-
Revised expenditure authority per Chapter 395, Statutes of 2001	-	-127	-
Totals Available	<u>\$117</u>	<u>-</u>	<u>-</u>
Unexpended balance, estimated savings	<u>-6</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$111</u>	<u>-</u>	<u>-</u>

0648 Mobilehome Manufactured Home Revolving Fund ^a

APPROPRIATIONS			
001 Budget Act appropriation	\$17,242	\$16,808	\$17,394
Allocation for employee compensation	54	-	-
Adjustment per Section 3.60	-126	351	-
Adjustment per Section 4.60 (Rental Rate)	-	9	-
Adjustment per Section 4.00	-	-31	-
Allocation for postage rate increases	5	10	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects	-	4	-
Totals Available	<u>\$17,175</u>	<u>\$17,151</u>	<u>\$17,394</u>
Unexpended balance, estimated savings	<u>-137</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$17,038</u>	<u>\$17,151</u>	<u>\$17,394</u>

0714 Home Building and Rehabilitation Fund ^b

APPROPRIATIONS			
Prior year balances available:			
Ch 30/48/1988, Tsf from Local Assistance, Tsf to Rental Housing Construction Fund	\$803	-	-
Ch 30/48/1988, Tsf from Local Assistance, Tsf to Rental Housing Construction Fund	11	\$883	\$883
TOTALS, EXPENDITURES	<u>\$814</u>	<u>\$883</u>	<u>\$883</u>

0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund ^b

APPROPRIATIONS			
Prior year balances available:			
Chapter 27, Statutes of 1988 (Transfer from Local Assistance)	-	\$40	-
Ch 27/1988 (Tsf from Local Assistance Tsf to Housing Rehabilitation Loan Fund)	\$990	159	-
TOTALS, EXPENDITURES	<u>\$990</u>	<u>\$199</u>	<u>-</u>

0813 Self-Help Housing Fund ^a

APPROPRIATIONS			
001 Budget Act appropriation	\$768	\$213	\$217
Allocation for employee compensation	3	-	-
Adjustment per Section 3.60	-2	4	-
Health and Safety Code Sec. 50697.1 (CalHome Program)	-	537	537
Totals Available	<u>\$769</u>	<u>\$754</u>	<u>\$754</u>
Unexpended balance, estimated savings	<u>-127</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$642</u>	<u>\$754</u>	<u>\$754</u>

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0890 Federal Trust Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$5,321	\$5,205	\$6,264
Allocation for employee compensation	15	-	-
Adjustment per Section 3.60	-39	109	-
Adjustment per Section 4.60 (Rental Rate)	-	4	-
Adjustment per Section 4.00	-	-10	-
Budget Adjustment	-364	701	-
TOTALS, EXPENDITURES	<u>\$4,933</u>	<u>\$6,009</u>	<u>\$6,264</u>

0927 Farmworker Housing Grant Fund ⁿ

APPROPRIATIONS			
Health and Safety Code Section 50517.5	\$621	\$727	\$888
TOTALS, EXPENDITURES	<u>\$621</u>	<u>\$727</u>	<u>\$888</u>

0929 Housing Rehabilitation Loan Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$3,047	\$1,980	\$2,167
Allocation for employee compensation	21	-	-
Adjustment per Section 3.60	-14	41	-
Adjustment per Section 4.60 (Rental Rate)	-	4	-
Adjustment per Section 4.00	-	-4	-
Allocation for postage rate increases	2	4	-
Health and Safety Code Section 50661	179	1,169	1,166
Health and Safety Code Section 50661 (MHP)	-	60	60
Health and Safety Code Section 50661	38	153	153
Health and Safety Code Section 50661 (Downtown Rebound Program)	290	323	324
Health and Safety Code Section 50661 (Code Enforcement Incentive Program)	108	-	-
Health and Safety Code Section 50661 (Code Enforcement Incentive Program)	-	100	42
Health and Safety Code Section 50661	-	1,174	1,174
Health and Safety Code Section 50661 (Loan Program Rental)	11	-	-
Health and Safety Code Section 50661 (Loan Program Owner)	990	159	-
Totals Available	<u>\$4,672</u>	<u>\$5,163</u>	<u>\$5,086</u>
Unexpended balance, estimated savings	-477	-	-
TOTALS, EXPENDITURES	<u>\$4,195</u>	<u>\$5,163</u>	<u>\$5,086</u>
Less funding provided by the Earthquake Safety/Housing Rehabilitation Bond Acct	-990	-159	-
Less funding provided by the Home Building and Rehabilitation Fund	-11	-	-
NET TOTALS, EXPENDITURES	<u>\$3,194</u>	<u>\$5,004</u>	<u>\$5,086</u>

0938 Rental Housing Construction Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$643	\$629	\$652
Allocation for employee compensation	12	-	-
Adjustment per Section 3.60	-5	13	-
Adjustment per Section 4.00	-	-1	-
Health and Safety Code Section 50740	6	100	44
Health and Safety Code Section 50740	-	53	53
Health and Safety Code Section 50740 (Rental Housing Construction Program)	766	843	843
Health and Safety Code Section 50740 (Family Home Demonstration Program)	37	40	40
Totals Available	<u>\$1,459</u>	<u>\$1,677</u>	<u>\$1,632</u>
Unexpended balance, estimated savings	-9	-	-
TOTALS, EXPENDITURES	<u>\$1,450</u>	<u>\$1,677</u>	<u>\$1,632</u>
Less funding provided by the Home Building and Rehabilitation Loan Fund	-803	-883	-883
NET TOTALS, EXPENDITURES	<u>\$647</u>	<u>\$794</u>	<u>\$749</u>

0972 Manufactured Home Recovery Fund ⁿ

APPROPRIATIONS			
Health and Safety Code Section 18070.6 (Claims Against Dealers or Salespersons)	\$97	\$244	\$244
TOTALS, EXPENDITURES	<u>\$97</u>	<u>\$244</u>	<u>\$244</u>

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0980 Predevelopment Loan Fund ⁿ

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$129
Health and Safety Code Section 50531 (Transfer from Item 2240-001-0635).....	-	\$127	-
TOTALS, EXPENDITURES	-	\$127	\$129

0985 Emergency Housing and Assistance Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$764	\$744	\$625
Allocation for employee compensation	5	-	-
Adjustment per Section 3.60	-5	16	-
Adjustment per Section 4.00	-	-2	-
Totals Available	\$764	\$758	\$625
Unexpended balance, estimated savings	-49	-	-
TOTALS, EXPENDITURES	\$715	\$758	\$625

0995 Reimbursements

APPROPRIATIONS			
Reimbursements.....	\$225	\$487	\$484

3006 Jobs-Housing Balance Improvement Account ^s

APPROPRIATIONS			
001 Budget Act appropriation	-	\$212	-
Totals Available	-	\$212	-
Unexpended balance, estimated savings	-	-106	-
TOTALS, EXPENDITURES	-	\$106	-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$41,785	\$45,071	\$44,589

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	2000-01*	2001-02*	2002-03*
661701 Grants and subventions.....	\$282,034	\$218,074	\$36,758
664731 Loans.....	133,617	87,486	130,290
669791 Special adjustment-loan repayments	-5,607	-3,484	-3,499
TOTALS, EXPENDITURES	\$410,044	\$302,076	\$163,549

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
101 Budget Act appropriation	\$5,554	\$22,361	\$5,571
Allocation for contingencies or emergencies	309	-	-
102 Budget Act appropriation (Transfer to Office of Migrant Services Account)...	7,679	9,528	-
103 Budget Act appropriation (Transfer to Self-Help Housing Fund).....	102,100	2,100	-
104 Budget Act appropriation (Transfer to Farmworker Housing Grant Fund)	46,500	13,875	13,984
105 Budget Act appropriation (Transfer to Emergency Housing Assistance Fund).....	39,000	13,300	11,300
106 Budget Act appropriation (Transfer to Rental Housing Construction Fund)...	1,500	-	-
107 Budget Act appropriation (Transfer to Housing Rehabilitation Loan Fund)...	213,000	26,050	-
109 Budget Act appropriation (Transfer to Child Care & Devel Facil Direct Loan Fd).....	16,000	-	-
112 Budget Act appropriation (Transfer to the Housing Rehabilitation Loan Fund).....	10,000	-	-
114 Budget Act appropriation (Transfer to Jobs-Housing Balance Improvement Account).....	110,000	-	-
295 Budget Act appropriation (State Mandates).....	850	850	868
Chapter 932, Statutes of 2001	-	750	-
Chapter 177, Statutes of 2000 (State Mandates).....	823	-	-
Adjustment per Government Code Section 17613.....	-823	-	-

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	2000-01*	2001-02*	2002-03*
Chapter 723, Statutes of 2001 (State Mandates).....	-	\$3,032	-
Prior year balances available:			
Chapter 574, Statutes of 1999 (State Mandates)	\$662	-	-
Adjustment per Government Code Section 17613.....	-662	-	-
Totals Available	\$552,492	\$91,846	\$31,723
Unexpended balance, estimated savings	-	-3,000	-
TOTALS, EXPENDITURES	\$552,492	\$88,846	\$31,723
0472 Child Care and Development Facilities Direct Loan Fund ⁿ			
APPROPRIATIONS			
115 Budget Act appropriation (Transfer to the General Fund)	-	(\$11,000)	-
Education Code Section 8277.5 and 8277.6.....	-	4,750	-
TOTALS, EXPENDITURES	-	\$4,750	-
Less funding provided by the General Fund	-\$16,000	-	-
NET TOTALS, EXPENDITURES	-\$16,000	\$4,750	-
0530 Mobilehome Park Purchase Fund ⁿ			
APPROPRIATIONS			
Health and Safety Code Section 50782.....	\$5,000	\$8,000	\$8,000
TOTALS, EXPENDITURES	\$5,000	\$8,000	\$8,000
Loan repayments from local agencies.....	-873	-982	-832
NET TOTALS, EXPENDITURES	\$4,127	\$7,018	\$7,168
0635 Rural Predevelopment Loan Fund ⁿ			
APPROPRIATIONS			
Health and Safety Code Section 50516.....	\$2,313	-	-
TOTALS, EXPENDITURES	\$2,313	-	-
Loan repayments from local agencies.....	-2,524	-	-
NET TOTALS, EXPENDITURES	-\$211	-	-
0714 Home Building and Rehabilitation Fund ^b			
APPROPRIATIONS			
Prior year balances available:			
Chapters 30 and 48, Statutes of 1988 (Proposition 84, tsf to various funds)	\$6,742	\$5,928	\$5,045
Transfer to State Operations.....	-814	-883	-
Chapters 30 and 48, Statutes of 1988 (Proposition 107, tsf to various funds).....	13,948	13,948	13,948
Transfer to State Operations.....	-	-	-883
Totals Available	\$19,876	\$18,993	\$18,110
Balance available in subsequent years	-19,876	-18,993	-18,110
TOTALS, EXPENDITURES	-	-	-
0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund ^b			
APPROPRIATIONS			
Prior year balances available:			
Chapter 27, Statutes of 1988 (Transfer to Housing Rehabilitation Loan Fund)....	\$1,189	\$199	-
Transfer to State Operations.....	-990	-199	-
Totals Available	\$199	-	-
Balance available in subsequent years	-199	-	-
TOTALS, EXPENDITURES	-	-	-
0813 Self-Help Housing Fund ⁿ			
APPROPRIATIONS			
103 Budget Act appropriation	\$101,550	-	-
115 Budget Act appropriation.....	-	(\$18,000)	-

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	2000-01*	2001-02*	2002-03*
1			
2			
3			
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* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0942 Special Deposit Fund ⁿ

5	APPROPRIATIONS		2000-01*	2001-02*	2002-03*
6	Government Code Section 16370		\$10,059	\$12,099	-
8	TOTALS, EXPENDITURES		\$10,059	\$12,099	-
9	Less funding provided by the General Fund		-7,679	-9,528	-
11	NET TOTALS, EXPENDITURES		\$2,380	\$2,571	-

0972 Manufactured Home Recovery Fund ⁿ

15	APPROPRIATIONS				
16	Health and Safety Code Section 18070.....		\$4	\$400	\$400
18	TOTALS, EXPENDITURES		\$4	\$400	\$400

0980 Predevelopment Loan Fund ⁿ

22	APPROPRIATIONS				
23	Health and Safety Code Section 50531.....		-	\$9,573	\$2,000
25	TOTALS, EXPENDITURES		-	\$9,573	\$2,000
26	Loan repayment from local agencies.....		-	-558	-1,000
28	NET TOTALS, EXPENDITURES		-	\$9,015	\$1,000

0985 Emergency Housing and Assistance Fund ⁿ

32	APPROPRIATIONS				
33	Health and Safety Code Section 50800.5.....		\$36,500	\$16,940	\$10,848
35	TOTALS, EXPENDITURES		\$36,500	\$16,940	\$10,848
36	Less funding provided by the General Fund		-39,000	-13,300	-11,300
38	NET TOTALS, EXPENDITURES		-\$2,500	\$3,640	-\$452

0995 Reimbursements

41	APPROPRIATIONS				
42	Reimbursements.....		-	\$375	\$1,000

3006 Jobs-Housing Balance Improvement Account ^s

46	APPROPRIATIONS				
47	114 Budget Act appropriation.....		-	\$59,576	-
48	115 Budget Act appropriation (Transfer to the General Fund).....		-	(40,000)	(\$212)
49	Revised transfer per pending legislation		-	(59,682)	-
51	Totals Available		-	\$59,576	-
52	Unexpended balance, estimated savings		-	-59,576	-
54	TOTALS, EXPENDITURES		-	-	-
55	Less Funding provided by the General Fund.....		-\$110,000	-	-
57	NET TOTALS, EXPENDITURES		-\$110,000	-	-
59	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)		\$410,044	\$302,076	\$163,549
61	TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)		\$451,829	\$347,147	\$208,138

FUND CONDITION STATEMENT

0245 Mobilehome Park Revolving Fund ^s

70	BEGINNING BALANCE.....		\$445	\$819	\$792
71	Prior year adjustments		8	-	-
73	Balance, Adjusted.....		\$453	\$819	\$792
75	REVENUES AND TRANSFERS				
76	Revenues:				
77	125600 Other regulatory fees.....		4,203	3,900	3,900
78	125700 Other regulatory licenses and permits.....		135	100	100

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
141200 Sales of documents.....	\$4	\$6	\$6
161400 Miscellaneous revenue.....	32	55	55
Totals, Revenues and Transfers.....	<u>\$4,374</u>	<u>\$4,061</u>	<u>\$4,061</u>
Totals, Resources.....	<u>\$4,827</u>	<u>\$4,880</u>	<u>\$4,853</u>
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development (State Operations).....	4,008	4,088	4,266
Totals, Expenditures.....	<u>\$4,008</u>	<u>\$4,088</u>	<u>\$4,266</u>
FUND BALANCE.....	\$819	\$792	\$587
Reserve for economic uncertainties.....	819	792	587
0472 Child Care and Development Facilities Direct Loan Fund ⁿ			
BEGINNING BALANCE.....	\$931	\$16,781	\$660
Prior year adjustments.....	52	-	-
Balance, Adjusted.....	<u>\$983</u>	<u>\$16,781</u>	<u>\$660</u>
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
250300 Income from Surplus Money Investment Fund.....	326	50	25
Totals, Operating Revenues.....	<u>\$326</u>	<u>\$50</u>	<u>\$25</u>
Transfers to Other Funds:			
T00001 General Fund per Item 2240-115-0472, Budget Act of 2001.....	-	-11,000	-
Totals, Revenues and Transfers.....	<u>\$326</u>	<u>-\$10,950</u>	<u>\$25</u>
Totals, Resources.....	<u>\$1,309</u>	<u>\$5,831</u>	<u>\$685</u>
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	528	421	348
Local Assistance.....	-	4,750	-
Totals, Disbursements.....	<u>\$528</u>	<u>\$5,171</u>	<u>\$348</u>
Expenditure Reductions:			
2240 Department of Housing and Community Development: Less funding provided by General Fund (Local Assistance).....	-16,000	-	-
Totals, Expenditures.....	<u>-\$15,472</u>	<u>\$5,171</u>	<u>\$348</u>
FUND BALANCE.....	<u>\$16,781</u>	<u>\$660</u>	<u>\$337</u>
0474 Child Care and Development Facilities Loan Guaranty Fund ⁿ			
BEGINNING BALANCE.....	\$515	\$1,881	\$1,786
Prior year adjustments.....	1,438	-	-
Balance, Adjusted.....	<u>\$1,953</u>	<u>\$1,881</u>	<u>\$1,786</u>
REVENUES AND TRANSFERS			
Operating Revenues:			
250300 Income from Surplus Money Investment Fund.....	34	25	15
Totals, Revenues.....	<u>\$34</u>	<u>\$25</u>	<u>\$15</u>
Transfers to Other Funds:			
T00001 General Fund per Item 2240-115-0474, Budget Act of 2002.....	-	-	-1,384
Totals, Revenues and Transfers.....	<u>\$34</u>	<u>\$25</u>	<u>-\$1,369</u>
Totals, Resources.....	<u>\$1,987</u>	<u>\$1,906</u>	<u>\$417</u>
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	106	120	118
FUND BALANCE.....	<u>\$1,881</u>	<u>\$1,786</u>	<u>\$299</u>

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	2000-01*	2001-02*	2002-03*
0530 Mobilehome Park Purchase Fund ⁿ			
BEGINNING BALANCE.....	\$16,616	\$15,776	\$11,364
Prior year adjustments	404	-	-
Balance, Adjusted.....	\$17,020	\$15,776	\$11,364
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
214000 Interest from loans.....	612	650	670
216000 Fees and licenses	1,669	1,680	1,670
250300 Income from Surplus Money Investment Fund.....	1,190	850	840
299900 Miscellaneous income.....	3	1	1
Totals, Operating Revenues	\$3,474	\$3,181	\$3,181
Transfers to Other Funds:			
T0001 General Fund Loan per Item 2240-011-0530, Budget Act of 2002 ¹ ...	-	-	-6,000
Totals, Revenues and Transfers.....	\$3,474	\$3,181	-\$2,819
Totals, Resources	\$20,494	\$18,957	\$8,545
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	591	575	485
Local Assistance	5,000	8,000	8,000
9900 Statewide General Administration (Pro Rata) (Local Assistance).....	-	-	34
Totals, Disbursements	\$5,591	\$8,575	\$8,519
Expenditure Reductions:			
2240 Department of Housing and Community Development:			
Loan repayments (Local Assistance).....	-873	-982	-832
Totals, Expenditures.....	\$4,718	\$7,593	\$7,687
FUND BALANCE.....	\$15,776	\$11,364	\$858

¹ This loan is one of the proposed solutions to the General Fund shortfall. It is not intended to adversely affect the programs supported by this fund.

0635 Rural Predevelopment Loan Fund ⁿ

BEGINNING BALANCE.....	\$782	\$1,825	-
Prior year adjustments	564	-	-
Balance, Adjusted.....	\$1,346	\$1,825	-
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
215000 Income from investments	263	-	-
250300 Income from Surplus Money Investment Fund.....	116	-	-
Totals, Operating Revenues	\$379	-	-
Transfers to Other Funds:			
T00980 Predevelopment Loan Fund, Chapter 395, Statutes of 2001.....	-	-1,825	-
Totals, Revenues and Transfers.....	\$379	-\$1,825	-
Totals, Resources	\$1,725	-	-
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	111	-	-
Local Assistance	2,313	-	-
Totals, Disbursements.....	\$2,424	-	-
Expenditure Reductions:			
2240 Department of Housing and Community Development:			
Loan repayments (Local Assistance).....	-2,524	-	-
Totals, Expenditures.....	-\$100	-	-
FUND BALANCE.....	\$1,825	-	-

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	2000-01*	2001-02*	2002-03*
0648 Mobilehome Manufactured Home Revolving Fund ^a			
BEGINNING BALANCE.....	\$261	\$48	\$91
Prior year adjustments	563	-	-
Balance, Adjusted.....	\$824	\$48	\$91
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
215400 Mobilehome in-lieu tax	2,388	2,388	2,388
212000 Sale of documents	11	6	6
215000 Income from investments	445	300	200
216000 Fees and licenses	14,723	14,500	14,805
Totals, Operating Revenues	\$17,567	\$17,194	\$17,399
Transfers to Other Funds:			
T00001 General Fund per Item 2240-011-0001, Budget Act of 1997.....	-802	-	-
T00972 Manufactured Home Recovery Fund per Item 2240-011-0972, Budget Act of 1997 (loan repayment)	-503	-	-
Totals, Transfers to Other Funds	-\$1,305	-	-
Totals, Revenues and Transfers	\$16,262	\$17,194	\$17,399
Totals, Resources	\$17,086	\$17,242	\$17,490
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development (State Operations)	17,038	17,151	17,394
FUND BALANCE.....	\$48	\$91	\$96
0714 Home Building and Rehabilitation Fund ^b			
BEGINNING BALANCE.....	-	-	-
REVENUES AND TRANSFERS			
Receipts:			
520000 Proceeds from sale of bonds	(\$814)	(\$883)	(\$883)
550000 Loan proceeds from Pooled Money Investment.....	814	883	883
Totals, Receipts.....	\$814	\$883	\$883
Totals, Resources	\$814	\$883	\$883
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	814	883	883
For transfer to Housing Rehabilitation Loan Fund—0929.....	(11)	-	-
For transfer to Rental Housing Construction Fund—0938.....	(803)	(883)	(883)
(For Family Housing Demonstration Program—Included in RHCP transfer).....	(51)	(40)	(40)
Totals, Disbursements.....	\$814	\$883	\$883
FUND BALANCE.....	-	-	-
0788 California Earthquake Safety and Housing Rehabilitation Bond Account ^b			
BEGINNING BALANCE.....	-	-	-
REVENUES AND TRANSFERS			
Receipts:			
520000 Proceeds from sales of bonds	(\$990)	(\$199)	-
550000 Loan proceeds	990	199	-
Totals, Receipts.....	\$990	\$199	-
Totals, Resources	\$990	\$199	-

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

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3	EXPENDITURES			
4	Disbursements:			
5	2240 Department of Housing and Community Development:	2000-01*	2001-02*	2002-03*
6	State Operations.....	\$990	\$199	-
7	Local Assistance.....	-	-	-
8	For transfer to Housing Rehabilitation Loan Fund—0929 (State			
9	Operations).....	(990)	(159)	-
10				
11	Totals, Disbursements.....	\$990	\$199	-
12				
13	FUND BALANCE.....	-	-	-
14				
15	0813 Self-Help Housing Fund ⁿ			
16				
17	BEGINNING BALANCE.....	\$1,566	\$29,791	\$4,526
18	Prior year adjustments.....	-21	-	-
19				
20	Balance, Adjusted.....	\$1,545	\$29,791	\$4,526
21				
22	REVENUES AND TRANSFERS			
23	Receipts:			
24	Operating Revenues:			
25	215000 Income from investments.....	153	125	125
26	250300 Income from Surplus Money Investment Fund.....	766	425	300
27				
28	Totals, Operating Revenues.....	\$919	\$550	\$425
29	Transfers to Other Funds:			
30	T00001 General Fund per Item 2240-115-0813, Budget Act of 2001.....	-	-18,000	-
31				
32	Totals, Transfers to Other Funds.....	-	-\$18,000	-
33				
34	Totals, Revenues and Transfers.....	\$919	-\$17,450	\$425
35				
36	Totals, Resources.....	\$2,464	\$12,341	\$4,951
37				
38	EXPENDITURES			
39	Disbursements:			
40	2240 Department of Housing and Community Development:			
41	State Operations.....	642	754	754
42	Local Assistance.....	74,350	9,379	-
43	9900 Statewide General Administration (Pro Rata) (State Operations).....	-	-	60
44				
45	Totals, Disbursements.....	\$74,992	\$10,133	\$814
46	Expenditure Reductions:			
47	2240 Department of Housing and Community Development:			
48	Local Assistance:			
49	Loan repayments from local agencies.....	-219	-218	-205
50	Less funding provided by the General Fund.....	-102,100	-2,100	-
51				
52	Totals, Expenditure Reductions.....	-\$102,319	-\$2,318	-\$205
53				
54	Totals, Expenditures.....	-\$27,327	\$7,815	\$609
55				
56	FUND BALANCE.....	\$29,791	\$4,526	\$4,342
57				
58	0843 California Housing Trust Fund ⁿ			
59				
60	BEGINNING BALANCE.....	\$36	\$2,046	\$48
61	Prior year adjustments.....	1	-	-
62				
63	Balance, Adjusted.....	\$37	\$2,046	\$48
64				
65	REVENUES AND TRANSFERS			
66	Receipts:			
67	Operating Revenues:			
68	213000 Property and natural resources (Tidelands Oil Revenue).....	2,000	2,000	2,000
69	250300 Income from Surplus Money Investment Fund.....	9	2	2
70				
71	Totals, Operating Revenues.....	\$2,009	\$2,002	\$2,002
72	Transfers to Other Funds:			
73	T00001 General Fund per Item 2240-115-0843, Budget Act of 2000 and			
74	2001.....	-	-4,000	-2,000
75				
76	Totals, Revenues and Transfers.....	\$2,009	-\$1,998	\$2
77				
78	Totals, Resources.....	\$2,046	\$48	\$50
79				
80	FUND BALANCE.....	\$2,046	\$48	\$50
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88	* Dollars in thousands, except in Salary Range.			

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	2000-01*	2001-02*	2002-03*
0927 Farmworker Housing Grant Fund ⁿ			
BEGINNING BALANCE.....	\$254	\$14,287	\$448
Prior year adjustments.....	832	-	-
Balance, Adjusted.....	\$1,086	\$14,287	\$448
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
215000 Income from investments.....	105	100	100
299900 Miscellaneous (Rental Receipts).....	-	-	2,500
580000 Fund abatements.....	178	-	-
Totals, Operating Revenues.....	\$283	\$100	\$2,600
Transfers from Other Funds:			
F00942 Special Deposit Fund—OMS, Chapter 555, Statutes of 2001.....	-	-	30
Totals, Transfers from Other Funds.....	-	-	\$30
Totals, Revenues and Transfers.....	\$283	\$100	\$2,630
Totals, Resources.....	\$1,369	\$14,387	\$3,078
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	621	727	888
Local Assistance.....	32,961	27,087	15,896
9900 Statewide General Administration (Pro Rata) (State Operations).....	-	-	57
Totals, Disbursements.....	\$33,582	\$27,814	\$16,841
Expenditure Reductions:			
2240 Department of Housing and Community Development:			
Local Assistance:			
Less funding provided by General Fund.....	-46,500	-13,875	-13,984
Totals, Expenditures.....	-\$12,918	\$13,939	\$2,857
FUND BALANCE.....	\$14,287	\$448	\$221
0929 Housing Rehabilitation Loan Fund ⁿ			
BEGINNING BALANCE.....	\$25,353	\$117,516	\$32,439
Prior year adjustments.....	-7,089	-	-
Balance, Adjusted.....	\$18,264	\$117,516	\$32,439
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
215000 Income from investments.....	1,122	1,582	1,253
250300 Income from Surplus Money Investment Fund.....	3,780	5,000	5,000
299100 Other income.....	172	9	11
Transfers to Other Funds:			
T00001 General Fund Item 2240-115-0929 as added by pending legislation.....	-	-49,200	-
Totals, Operating Revenues.....	\$5,074	-\$42,609	\$6,264
Totals, Resources.....	\$23,338	\$74,907	\$38,703
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	4,195	5,163	5,086
California Disaster Housing Repair Program.....	(779)	(800)	(800)
Homeownership Assistance Program.....	(73)	(80)	(80)
Local Assistance.....	130,864	64,714	5,000
Totals, Disbursements.....	\$135,059	\$69,877	\$10,086
Expenditure Reductions:			
2240 Department of Housing and Community Development:			
State Operations:			
Less funding from Home Building and Rehabilitation Fund—0714.....	-11	-	-
Less funding from Earthquake Safety and Housing Rehabilitation Fund—0788.....	-990	-159	-

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued				
	2000-01*	2001-02*	2002-03*	
Local Assistance:				
Less funding provided by General Fund.....	-\$227,237	-\$26,050	-	
Loan repayments from local agencies.....	-999	-1,200	-\$1,184	
Totals, Expenditure Reductions	-\$229,237	-\$27,409	-\$1,184	
Totals, Expenditures	-\$94,178	\$42,468	\$8,902	
FUND BALANCE.....	\$117,516	\$32,439	\$29,801	
0938 Rental Housing Construction Fund ⁿ				
BEGINNING BALANCE.....	\$10,609	\$17,574	\$5,639	
Prior year adjustments	718	-	-	
Balance, Adjusted.....	\$11,327	\$17,574	\$5,639	
REVENUES AND TRANSFERS				
Receipts:				
Operating Revenues:				
215000 Income from investments	1,199	256	256	
250300 Income from Surplus Money Investment Fund.....	2,878	2,600	2,600	
299900 Income—Other abatements, revenue and interest on escrows	2	-	-	
Totals, Operating Revenues	\$4,079	\$2,856	\$2,856	
Transfers to Other Funds:				
T00980 Predevelopment Loan Fund per Chapter 395, Statutes 2001	-	-8,573	-	
Transfers from Other Funds:				
F03006 Jobs-Housing Balance Improvement Account per Chapter 80, Statutes of 2000.....	10,000	-	-	
Totals, Revenues and Transfers.....	\$14,079	-\$5,717	\$2,856	
Totals, Resources	\$25,406	\$11,857	\$8,495	
EXPENDITURES				
Disbursements:				
2240 Department of Housing and Community Development:				
State Operations.....	1,450	1,677	1,632	
Family Housing Demonstration Program.....	(37)	(35)	(35)	
Urban Predevelopment Loan Program.....	(39)	(40)	(40)	
Local Assistance	9,677	5,950	6,115	
9900 Statewide General Administrative (Pro Rata) (Local Assistance)	-	-	72	
Totals, Disbursements	\$11,127	\$7,627	\$7,819	
Expenditure Reductions:				
2240 Department of Housing and Community Development:				
State Operations:				
Less funding from Home Building and Rehabilitation Fund—0714.....	-803	-883	-883	
Local Assistance:				
Less funding provided by General Fund.....	-1,500	-	-	
Loan repayments	-992	-526	-278	
Totals, Expenditure Reductions	-\$3,295	-\$1,409	-\$1,161	
Totals, Expenditures	\$7,832	\$6,218	\$6,658	
FUND BALANCE.....	\$17,574	\$5,639	\$1,837	
0942 Special Deposit Fund-Office of Migrant Services ⁿ				
BEGINNING BALANCE.....	\$7	\$101	\$30	
Prior year adjustments	-62	-	-	
Balance, Adjusted.....	-\$55	\$101	\$30	
REVENUES AND TRANSFERS				
Receipts:				
Operating Revenues:				
299900 Income—Other rent revenue	2,536	2,500	-	
Totals, Operating Revenues	\$2,536	\$2,500	-	
Transfers to Other Funds:				
T00927 Farmwork Housing Grant Fund, Chapter 555, Statutes of 2001	-	-	-30	
Totals, Transfers to Other Funds	-	-	-\$30	
Totals, Revenues and Transfers	\$2,536	\$2,500	-\$30	
Totals, Resources	\$2,481	\$2,601	-	

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	2000-01*	2001-02*	2002-03*
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development (Local Assistance).....	\$10,059	\$12,099	-
Expenditure Reductions:			
2240 Department of Housing and Community Development:			
Less funding provided by the General Fund (Local Assistance)	-7,679	-9,528	-
Totals, Expenditures.....	\$2,380	\$2,571	-
FUND BALANCE.....	\$101	\$30	-
0972 Manufactured Home Recovery Fund ⁿ			
BEGINNING BALANCE.....	\$1,356	\$2,108	\$1,614
Prior year adjustments	3	-	-
Balance, Adjusted.....	\$1,359	\$2,108	\$1,614
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
216000 Fees and licenses	247	-	-
250300 Income from Surplus Money Investment Fund.....	100	150	100
Totals, Operating Revenues	\$347	\$150	\$100
Transfers from Other Funds:			
F00648 Mobilehome-Manufactured Home Revolving Fund per Item			
2240-011-0972, Budget Act of 1997 (loan repayment)	503	-	-
Totals, Revenues and Transfers.....	\$850	\$150	\$100
Totals, Resources	\$2,209	\$2,258	\$1,714
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	97	244	244
Local Assistance	4	400	400
9900 Statewide General Administrative (Pro Rata):			
State Operations.....	-	-	11
Local Assistance	-	-	3
Totals, Expenditures.....	\$101	\$644	\$658
FUND BALANCE.....	\$2,108	\$1,614	\$1,056
0980 Predevelopment Loan Fund ⁿ			
BEGINNING BALANCE.....	-	-	\$1,503
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
215000 Income from investments	-	\$117	92
250300 Income from Surplus Money Investment Fund.....	-	90	75
Totals, Operating Revenues	-	\$207	\$167
Transfers from Other Funds:			
F00635 Rural Predevelopment Loan Fund, Chapter 395, Statutes of 2001....	-	1,865	-
F00938 Rental Housing Construction Fund, Chapter 395, Statutes of 2001....	-	8,573	-
Totals, Transfers from Other Funds	-	\$10,438	-
Totals, Revenues and Transfers	-	\$10,645	\$167
Totals, Resources	-	\$10,645	\$1,670
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	-	127	129
Local Assistance	-	9,573	2,000
Totals, Disbursements.....	-	\$9,700	\$2,129

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

1				
2				
3				
4	Expenditure Reductions:		2000-01*	2001-02*
5	2240 Department of Housing and Community Development Loan			2002-03*
6	repayments (Local Assistance)	—	—	-\$1,000
7				
8	Totals, Expenditures	—	\$9,142	\$1,129
9	FUND BALANCE	—	\$1,503	\$541
10				
11				
12	0985 Emergency Housing and Assistance Fund ⁿ			
13	BEGINNING BALANCE	\$1,868	\$4,940	\$1,542
14	Prior year adjustments	465	—	—
15				
16	Balance, Adjusted	\$2,333	\$4,940	\$1,542
17				
18	REVENUES AND TRANSFERS			
19	Receipts:			
20	Operating Revenues:			
21	250300 Income from Surplus Money Investment Fund	821	1,000	750
22	580000 Fund abatements	1	—	—
23				
24	Totals, Operating Revenues	\$822	\$1,000	\$750
25				
26	Totals, Resources	\$3,155	\$5,940	\$2,292
27				
28	EXPENDITURES			
29	Disbursements:			
30	2240 Department of Housing and Community Development:			
31	State Operations	715	758	625
32	Local Assistance	36,500	16,940	10,848
33				
34	Totals, Disbursements	\$37,215	\$17,698	\$11,473
35	Expenditure Reductions:			
36	2240 Department of Housing and Community Development:			
37	Local Assistance:			
38	Less funding provided by the General Fund	-\$39,000	-\$13,300	-\$11,300
39				
40	Totals, Expenditure Reductions	-\$39,000	-\$13,300	-\$11,300
41				
42	Totals, Expenditures	-\$1,785	\$4,398	\$173
43				
44	FUND BALANCE	\$4,940	\$1,542	\$2,119
45				
46	3006 Jobs-Housing Balance Improvement Account ⁿ			
47	BEGINNING BALANCE	—	\$100,000	\$212
48				
49	REVENUES AND TRANSFERS			
50	Receipts:			
51	Transfers to Other Funds:			
52	T00938 Rental Housing Construction Fund per Chapter 80, Statutes of			
53	2000	-\$10,000	—	—
54	T00001 General Fund per Item 2240-115-3006, Budget Act of 2001 and			
55	Budget Act of 2002	—	-\$40,000	-\$212
56	T00001 General Fund per pending legislation	—	-\$59,682	—
57				
58	Totals, Revenues and Transfers	-\$10,000	-\$99,682	-\$212
59				
60	Totals, Resources	-\$10,000	\$318	—
61				
62	EXPENDITURES			
63	Disbursements:			
64	2240 Department of Housing and Community Development:			
65	State Operations	—	106	—
66				
67	Totals, Disbursements	—	\$106	—
68	Expenditure Reductions:			
69	Local Assistance:			
70	2240 Department of Housing and Community Development:			
71	Less funding provided by General Fund	-\$110,000	—	—
72				
73	Totals, Expenditures	-\$110,000	\$106	—
74				
75	FUND BALANCE	\$100,000	\$212	—
76				
77				
78				
79				
80				
81				
82				
83				
84				
85				
86				
87				
88				

* Dollars in thousands, except in Salary Range.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

CHANGES IN AUTHORIZED POSITIONS							
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
Totals, Authorized Positions	488.2	523.2	517.2	\$23,763	\$25,969	\$26,061	
Workload and Administrative Adjustments:							
Positions established:				Salary Range			
Dist Rep II (Prog 10)	-	-	1.0	4,238-5,110	-	54	
Dist Rep II (Prog 10 Mobile Home Park Program)	-	-	1.0	-	-	54	
Housing & Community Dev Rep II (Prog 30)	-	2.0	2.0	4,110-4,997	108	108	
Housing & Community Dev Rep II (Prog 20)	-	-	4.0	4,110-4,997	-	218	
Housing & Community Dev Rep II (Prog 20 MHP)	-	-	1.0	-	-	54	
Reduction in Authorized Positions:							
Housing & Community Dev Rep II (Prog 20 OMS)	-	-	-1.0	-	-	-54	
Dist Rep II (Prog 10 SHL)	-	-	-1.0	-	-	-54	
Temporary Help Reduction	-	-	-	-	-	-66	
Totals, Workload and Administrative Adjustments	-	2.0	7.0	-	\$108	\$314	
TOTALS, SALARIES AND WAGES	488.2	525.2	524.2	\$23,763	\$26,077	\$26,375	

2260 CALIFORNIA HOUSING FINANCE AGENCY

The California Housing Finance Agency was statutorily chartered in 1975 to be the State's affordable housing bank. Its mission is to finance below market-rate loans to create safe, decent, and affordable rental housing and to assist first-time homebuyers with below market-rate loans and mortgage insurance to achieve the dream of home ownership. The Agency is financially self-supporting, setting loan interest rates and mortgage insurance premiums slightly above its cost of funds and charging fees for specific services to cover its administrative costs and risks.

The Agency is administered by a fourteen member Board of Directors representing various private and public sectors of the housing market. Each spring the Board adopts an updated five-year business plan and an annual budget. The Board has not yet adopted a final operating budget for the 2002-03 fiscal year, so the informational budget presented here reflects the full-year costs at the approved level for the 2001-02 fiscal year. The Agency is currently implementing a \$10.1 billion business plan for fiscal years 2001-02 through 2005-06.

The Agency also administers, by contract, the School Facilities Fees Assistance Program for homebuyers and rental developers, approved by the Leroy F. Greene School Facilities Act of 1997. The past year expenditures and budgeted funding available for this program are displayed in the School Facilities Fee Assistance Fund condition statement in the Department of General Services budget (organization code 1760). Pursuant to Chapter 114, Statutes of 2001 (AB 445), this program sunsets January 1, 2002.

NOTE: The Agency's funding is not subject to Budget Act appropriations. The Agency's funds are derived from self-supporting revenue bonds, and this display is for informational purposes only. Further, statutorily, the Agency does not depend upon the faith and credit or the taxing power of the State of California for its programs.

Authority

Health and Safety Code Sections 50000-52533.

SUMMARY OF PROGRAM REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Lending Activity	183.8	201.4	201.4	\$15,567	\$18,425	\$18,425
20 Insurance Activity	9.0	11.4	11.4	1,414	1,773	1,773
TOTALS, PROGRAMS	192.8	212.8	212.8	\$16,981	\$20,198	\$20,198
0501 California Housing Finance Fund				15,567	18,425	18,425
0916 Housing Insurance Fund				1,414	1,773	1,773

10 LENDING ACTIVITY

Program Objectives Statement

The Agency issues tax-exempt and taxable bonds and uses the proceeds to finance housing at below-market interest rates by: (1) making mortgage loans to qualified first-time homebuyers to finance the purchase of single family homes, and (2) making permanent loans for the development and preservation of multifamily housing. In addition to increasing California's supply of safe, decent, and affordable housing, the Agency's financing activities stimulate the state's economy, resulting in additional employment opportunities and increased income to California residents.

Bonds/Notes Outstanding:			
Issued during year	\$2,096,722	\$1,400,000	\$1,700,000
Outstanding	7,688,313	8,088,313	8,888,313

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

2260 CALIFORNIA HOUSING FINANCE AGENCY—Continued

1				
2				
3				
4	Lending Activities:			
5	Loaned:			
6	During year.....	\$1,540,633	\$1,200,000	\$1,400,000
7	Outstanding.....	6,693,108	7,093,108	7,893,108
8	Dwelling Units (whole numbers):			
9	During year.....	11,544	11,086	10,250
10	Outstanding.....	83,537	79,170	89,170

20 INSURANCE ACTIVITY

Program Objectives Statement

The Agency's California Housing Loan Insurance Fund (CaHLIF) Program encourages and facilitates the preservation of existing housing and improves housing opportunities for persons of low and moderate income by providing mortgage insurance to homebuyers that might not otherwise qualify for a home loan.

Chapter 610, Statutes of 1977, established this program in support of the Agency's mission to provide affordable housing opportunities to all Californians. The program is self-supporting, and all money in the fund is continuously appropriated for the purposes of insuring single family loans pursuant to the program. To address the need for mortgage insurance products for the low and moderate income housing market, the fund currently insures Federal National Mortgage Association, Federal Home Loan Mortgage Corporation and the Agency's single family loans and has earned a claims-paying ability credit rating equivalent to that of a private mortgage insurance company. The program expects to insure \$3.5 billion in mortgages beginning in the current year through the next five years of market operation. Its operations are supported by premiums collected and the financial support of the Agency as a whole.

Performance Measures

28	Primary New Insurance Written	\$450,000	\$715,000	\$715,000
29	Renewal Insurance Written.....	1,100,000	1,450,000	2,020,000

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 LENDING ACTIVITY

40	State Operations:	2000-01*	2001-02*	2002-03*
41	0501 California Housing Finance Fund.....	\$15,567	\$18,425	\$18,425

PROGRAM REQUIREMENTS

20 INSURANCE ACTIVITY

46	State Operations:			
47	0916 Housing Insurance Fund.....	\$1,414	\$1,773	\$1,773

TOTAL EXPENDITURES

50	State Operations	\$16,981	\$20,198	\$20,198
51		<u> </u>	<u> </u>	<u> </u>
52	TOTALS, EXPENDITURES	\$16,981	\$20,198	\$20,198

SUMMARY BY OBJECT

1 STATE OPERATIONS

60	PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
61	Authorized Positions (Equals Sch. 7A).....	192.8	224.0	224.0	\$10,613	\$13,070	\$13,070
62	Estimated Salary Savings	-	11.2	11.2	-	-653	-653
63		<u> </u>					
64	Net Totals, Salaries and Wages	192.8	212.8	212.8	\$10,613	\$12,417	\$12,417
65	Staff Benefits	-	-	-	1,560	2,483	2,483
66		<u> </u>					
67	Totals, Personal Services	192.8	212.8	212.8	\$12,173	\$14,900	\$14,900
68					<u> </u>	<u> </u>	<u> </u>
69	OPERATING EXPENSES AND EQUIPMENT				\$4,808	\$5,298	\$5,298
70					<u> </u>	<u> </u>	<u> </u>
71	TOTALS, EXPENDITURES				\$16,981	\$20,198	\$20,198

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0501 California Housing Finance Fund ⁿ

80	APPROPRIATIONS	2000-01*	2001-02*	2002-03*
81	Health & Safety Code Sec 51000.....	\$15,567	\$18,425	\$18,425
82		<u> </u>	<u> </u>	<u> </u>
83	TOTALS, EXPENDITURES	\$15,567	\$18,425	\$18,425

* Dollars in thousands, except in Salary Range.

2260 CALIFORNIA HOUSING FINANCE AGENCY—Continued

0916 Housing Insurance Fund ⁿ

5	APPROPRIATIONS	2000-01*	2001-02*	2002-03*
6	Health & Safety Code Sec 51653	\$1,414	\$1,773	\$1,773
7		<u> </u>	<u> </u>	<u> </u>
8	TOTALS, EXPENDITURES	\$1,414	\$1,773	\$1,773
9		<u> </u>	<u> </u>	<u> </u>
10	TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$16,981	\$20,198	\$20,198

REVENUE AND EXPENDITURES STATEMENT

0501 California Housing Finance Fund ⁿ

18	OPERATING REVENUES	2000-01*	2001-02*	2002-03*
19	214000 Interest income from loans.....	\$451,157	\$470,000	\$485,000
20	215000 Income from investments	106,484	110,000	113,000
21	216000 Fees and licenses—Financing and application fees.....	17,252	18,000	19,000
22	299000 Other income	74,416	75,000	80,000
23		<u> </u>	<u> </u>	<u> </u>
24	Totals, Operating Revenues.....	\$649,309	\$673,000	\$697,000
25		<u> </u>	<u> </u>	<u> </u>
26	EXPENDITURES			
27	Interest payments on bonds and notes	430,319	450,000	465,000
28	Servicing fees and other expenditures.....	119,003	121,000	125,000
29	State operations.....	15,567	18,425	18,425
30		<u> </u>	<u> </u>	<u> </u>
31	TOTALS, EXPENDITURES	\$564,889	\$589,425	\$608,425
32	Revenue over (under) expenditures.....	84,420	83,575	88,575

0916 Housing Insurance Fund ⁿ

36	REVENUES			
37	Insurance premium	\$6,021	\$6,500	\$6,800
38	Investment interest	1,799	1,800	2,000
39	Other.....	162	175	200
40		<u> </u>	<u> </u>	<u> </u>
41	Totals, Revenues.....	\$7,982	\$8,475	\$9,000
42		<u> </u>	<u> </u>	<u> </u>
43	EXPENDITURES			
44	Reinsurance premium	300	325	340
45	Claims	697	1,000	1,200
46	State operations.....	1,414	1,773	1,773
47	Other.....	1,303	1,200	1,500
48		<u> </u>	<u> </u>	<u> </u>
49	TOTALS, EXPENDITURES	\$3,714	\$4,298	\$4,813
50	Revenues over (under) expenditures.....	4,268	4,177	4,187

2310 OFFICE OF REAL ESTATE APPRAISERS

The Office of Real Estate Appraisers (OREA) administers a program for licensing of real estate appraisers in federally related loan transactions. All appraisals for federally regulated real estate financing transactions must be conducted by persons licensed in accordance with applicable state standards. In addition, certain appraisals, because of the size of the real property or complexity involved, must be performed only by a state licensed appraiser.

SUMMARY OF PROGRAM

62	REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
63	10 Administration of Real Estate						
64	Appraisers Program.....	35.2	36.6	29.0	\$3,169	\$3,741	\$3,349
65	0400 Real Estate Appraisers Regulation Fund				3,089	3,666	3,274
66	0995 Reimbursements				80	75	75

10 ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM

Program Objectives Statement

This program conducts the three major activities of the office: licensing, which ensures that only qualified persons are licensed to conduct appraisals in federally related real estate loan transactions; enforcement, which ensures adherence to the applicable laws and regulations by all persons licensed by the State; and administration, which provides staff support.

Major Budget Adjustment Included in 2001-02

- Reduction of \$194,000 resulting from abolishment of two vacant positions.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

2310 OFFICE OF REAL ESTATE APPRAISERS—Continued

Major Budget Adjustment Proposed for 2002-03

- Reduction of \$429,000 and 8.0 positions (7.6 personnel years) to reflect decreased workload and a declining number of licensees.

SUMMARY BY OBJECT
1 STATE OPERATIONS

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	35.2	38.5	38.5	\$1,771	\$2,032	\$2,056
Total Adjustments	-	-	-8.0	-	-	-392
Estimated Salary Savings	-	-1.9	-1.5	-	-96	-77
Net Totals, Salaries and Wages	35.2	36.6	29.0	\$1,771	\$1,936	\$1,587
Staff Benefits	-	-	-	323	445	363
Totals, Personal Services	35.2	36.6	29.0	\$2,094	\$2,381	\$1,950
OPERATING EXPENSES AND EQUIPMENT				\$1,075	\$1,360	\$1,399
TOTALS, EXPENDITURES				\$3,169	\$3,741	\$3,349

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS

0400 Real Estate Appraisers Regulation Fund ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$3,823	\$3,800	\$3,274
Allocation for employee compensation	21	-	-
Adjustment per Section 3.60	-21	66	-
Adjustment per Section 4.00	-	-7	-
Allocation for Department of Justice Attorney Services	-	1	-
Totals Available	\$3,823	\$3,860	\$3,274
Unexpended balance, estimated savings	-734	-194	-
TOTALS, EXPENDITURES	\$3,089	\$3,666	\$3,274

0995 Reimbursements

APPROPRIATIONS			
Reimbursements	\$80	\$75	\$75
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$3,169	\$3,741	\$3,349

FUND CONDITION STATEMENT

0400 Real Estate Appraisers Regulation Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE	\$3,413	\$3,916	\$2,345
REVENUES AND TRANSFERS			
Revenues:			
123400 Real Estate examination fees	822	514	1,139
123500 Real Estate license fees	1,833	1,013	2,120
125600 Other regulatory fees	455	200	27
150300 Income from surplus money investments	218	100	185
161400 Miscellaneous revenue	73	118	18
164300 Penalty assessments	191	150	170
Totals, Revenues and Transfers	\$3,592	\$2,095	\$3,659
Totals, Resources	\$7,005	\$6,011	\$6,004
EXPENDITURES			
Disbursements:			
2310 Office of Real Estate Appraisers (State Operations)	3,089	3,666	3,274
FUND BALANCE	\$3,916	\$2,345	\$2,730
Reserve for economic uncertainties	3,916	2,345	2,730

* Dollars in thousands, except in Salary Range.

2310 OFFICE OF REAL ESTATE APPRAISERS—Continued

CHANGES IN AUTHORIZED POSITIONS							
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
Totals, Authorized Positions	35.2	38.5	38.5	\$1,771	\$2,032	\$2,056	
Workload and Administrative Adjustments:							
Reductions in Authorized Positions:				Salary Range			
Staff Counsel	—	—	-1.0	5,703-7,033	—	-64	
Staff Svcs Mgr I.....	—	—	-2.0	4,520-5,453	—	-119	
Asst Info Sys Analyst.....	—	—	-1.0	2,764-4,155	—	-41	
Bus Svcs Ofcr I	—	—	-1.0	3,422-4,113	—	-57	
Staff Svcs Analyst.....	—	—	-1.0	2,507-3,957	—	-40	
Crim Intelligence Spec II.....	—	—	-1.0	3,047-3,662	—	-40	
Ofc Techn	—	—	-1.0	2,348-2,855	—	-31	
Totals, Workload and Administrative Adjustments	—	—	-8.0	—	—	-\$392	
Total Adjustments	—	—	-8.0	—	—	-\$392	
TOTALS, SALARIES AND WAGES	35.2	38.5	30.5	\$1,771	\$2,032	\$1,664	

2320 DEPARTMENT OF REAL ESTATE

The Department of Real Estate (1) protects the public in offerings of subdivided property; (2) ensures that licensed individuals conducting real estate transactions are competent and qualified; (3) prevents fraud, deceit and misrepresentation in the real estate marketplace by assisting the public through the investigation of complaints; and (4) educates the public and professional communities regarding the laws and regulations governing the handling of real estate transactions.

SUMMARY OF PROGRAM REQUIREMENTS							
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
10 Licensing and Education.....	73.7	67.7	67.7	\$5,956	\$6,119	\$6,378	
20 Enforcement and Recovery.....	172.4	169.5	172.4	17,604	18,578	18,872	
30 Subdivisions	62.8	64.2	64.2	4,761	5,019	5,151	
40 Administration	51.8	47.5	47.5	4,187	4,682	4,824	
Distributed Administration.....	-51.8	-47.5	-47.5	-4,187	-4,682	-4,824	
TOTALS, PROGRAMS.....	308.9	301.4	304.3	\$28,321	\$29,716	\$30,401	
0317 Real Estate Commissioner's Fund.....				27,579	28,966	29,651	
0995 Reimbursements				742	750	750	

10 LICENSING AND EDUCATION

Program Objectives Statement

The Licensing and Education Program (1) ensures that only those persons who meet prescribed qualifications are licensed; (2) renews licenses for individuals who maintain qualifications by updating their technical knowledge through required continuing education; and (3) enforces standards of legal conduct established for such licensees. Dealing in real property is often a once-in-a-lifetime activity for a large segment of the public. The degree of sophistication associated with real estate transactions makes it essential that real estate agents are qualified to render services that meet the public's needs.

Major Budget Adjustments for 2002-03

- An increase of \$57,000 for rent.
- An increase of \$65,000 for credit card processing fees.
- An increase of \$39,000 for this program's prorated share of the Administration program's cost increases.

Authority

Business and Professions Code, Division 4, Part 1.

20 ENFORCEMENT AND RECOVERY

Program Objectives Statement

The Enforcement and Recovery Program investigates complaints filed by the public and possible infractions noted by the staff or other regulatory agencies to determine violations of the Real Estate Law. Trust fund complaint cases are subject to investigative audits, and mortgage loan brokers, property management companies and broker-escrows are routinely audited as resources allow. If the Department's findings justify further action, disciplinary proceedings—including suspension or revocation of a license and/or criminal proceedings—may be sought to protect the public. The goal of the program is to provide maximum protection for the purchasers of real property and those persons dealing with real estate licensees.

The Department's legal staff administers the Real Estate Recovery Account, a fund of last resort to members of the public defrauded by real estate licensees in connection with acts requiring a real estate license. Whenever a person obtains a judgment based on licensee fraud and the licensee is unable to pay, the victim may file a claim against the Real Estate Recovery Account up to the maximum allowed by law. Recovery claims are decided by the Commissioner. When claims are paid out of the fund, the license of the agent on whose account the payment was made is automatically suspended until the recovery account has been repaid with interest.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

2320 DEPARTMENT OF REAL ESTATE—Continued

Major Budget Adjustments for 2002–03

- An increase of 2.9 personnel years and \$224,000 to enforce the Predatory Lending Law, Chapter 732, Statutes of 2001 (AB 489).
- An increase of \$25,000 for rent increase.
- Conversion of 2.9 personnel years to permanent positions from limited term and \$176,000 for ongoing complaint workload.
- An increase of \$106,000 for this program’s prorated share of the Administration program’s cost increases.

Authority

Business and Professions Code, Division 4, Parts 1 and 2.

30 SUBDIVISIONS

Program Objectives Statement

The Subdivisions Program protects the public from fraud and misrepresentation in the sale or lease of subdivided land. No person may offer to sell or lease interest in a subdivision covered by the subdivided lands law without first filing a notice of intention and obtaining from the Real Estate Commissioner a public report on the subdivision. The public report examines virtually all aspects of the project and requires compliance with specified statutory and regulatory standards. The public report serves two functions aimed at protecting buyers of subdivision interests: (1) requires disclosure of material facts, and (2) ensures adherence to applicable standards for creating, operating, financing and documenting the project.

Major Budget Adjustments for 2002–03

- An increase of \$29,000 for rent.
- An increase of \$32,000 for this program’s prorated share of the Administration program’s cost increases.

Authority

Business and Professions Code, Division 4, Parts 1 and 2.

40 ADMINISTRATION

Program Objectives Statement

The Administration Program conducts the final review of subdivision, regulatory and license transactions, issues and renews licenses, and maintains the official files of the Department. Administrative support staff perform the major part of the Department’s financial management, personnel, electronic data processing, training, support and business services activities.

This program advises the Commissioner on legal matters and drafts proposed legislation and regulations for administration of the Real Estate Law and the Subdivided Lands Law. The legal staff also represents the State at disciplinary hearings, and responds to inquiries from the public, licensees, and members of the Legislature.

Major Budget Adjustments for 2002–03

- An increase of \$53,000 for rent.
- An augmentation of 0.9 personnel year and \$39,000 for workload related to credit card processing.
- An augmentation of \$85,000 for technology training.

Authority

Business and Professions Code, Division 4, Parts 1 and 2.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 LICENSING AND EDUCATION

State Operations:	2000–01*	2001–02*	2002–03*
0317 Real Estate Commissioner’s Fund.....	\$5,214	\$5,369	\$5,628
0995 Reimbursements.....	742	750	750
Totals, State Operations	\$5,956	\$6,119	\$6,378

PROGRAM REQUIREMENTS

20 ENFORCEMENT AND RECOVERY

State Operations:			
0317 Real Estate Commissioner’s Fund.....	\$17,604	\$18,578	\$18,872
Totals, State Operations	\$17,604	\$18,578	\$18,872

PROGRAM REQUIREMENTS

30 SUBDIVISIONS

State Operations:			
0317 Real Estate Commissioner’s Fund.....	\$4,761	\$5,019	\$5,151
Totals, State Operations	\$4,761	\$5,019	\$5,151

TOTAL EXPENDITURES

State Operations	\$28,321	\$29,716	\$30,401
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* Dollars in thousands, except in Salary Range.

2320 DEPARTMENT OF REAL ESTATE—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	308.9	320.0	316.0	\$14,883	\$15,504	\$15,584
Total Adjustments	-	-	7.0	-	-	276
Estimated Salary Savings	-	-18.6	-18.7	-	-684	-690
Net Totals, Salaries and Wages	308.9	301.4	304.3	\$14,883	\$14,820	\$15,170
Staff Benefits	-	-	-	2,542	3,350	3,383
Totals, Personal Services	308.9	301.4	304.3	\$17,425	\$18,170	\$18,553
OPERATING EXPENSES AND EQUIPMENT				\$8,462	\$8,546	\$8,848
SPECIAL ITEMS OF EXPENSE				2,434	3,000	3,000
TOTALS, EXPENDITURES				\$28,321	\$29,716	\$30,401

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0317 Real Estate Commissioner's Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$28,150	\$28,097	\$29,651
Allocation for employee compensation	156	-	-
Adjustment per Section 3.60	-159	554	-
Adjustment per Section 4.60 (Rental Rate).....	7	28	-
Adjustment per Section 4.00	-	-49	-
Allocation for Department of Justice Attorney Services	-	23	-
011 Budget Act appropriation (Transfer to the General Fund)	-	-	(9,900)
Prior year balances available:			
Item 2320-001-0317/1998, Reapp by Item 2320-490/2001	-	313	-
Totals Available	\$28,154	\$28,966	\$29,651
Unexpended balance, estimated savings	-575	-	-
TOTALS, EXPENDITURES	\$27,579	\$28,966	\$29,651
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements.....	\$742	\$750	\$750
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$28,321	\$29,716	\$30,401

FUND CONDITION STATEMENT

0317 Real Estate Commissioner's Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$19,539	\$14,609	\$14,520
Prior year adjustments	271	-	-
Balance, Adjusted.....	\$19,810	\$14,609	\$14,520
REVENUES AND TRANSFERS			
Revenues:			
123400 Division of Real Estate examination fees.....	3,848	4,008	4,008
123500 Division of Real Estate license fees.....	7,325	16,003	15,041
123600 Subdivision filing fees	6,593	6,686	6,686
125700 Other regulatory licenses and permits.....	250	220	220
141200 Sales of documents.....	131	130	130
142500 Miscellaneous services to the public	166	160	160
150300 Income from surplus money investments	1,255	1,200	1,000
161000 Escheat of unclaimed checks and warrants	21	20	20
161400 Miscellaneous revenue	293	290	290
164300 Penalty assessments	167	160	160
Totals, Revenues	\$20,049	\$28,877	\$27,715

* Dollars in thousands, except in Salary Range.

2320 DEPARTMENT OF REAL ESTATE—Continued

1							
2							
3							
4	Transfers from Other Funds:				2000-01*	2001-02*	2002-03*
5	F00942 Special Deposit Fund per Section 12.20, Budget Act of 1998 and						
6	2000				\$2,329	—	—
7	Totals, Transfers from Other Funds				\$2,329	—	—
8	Transfers to Other Funds:						
9	T00001 Loan to General Fund per Item 2320-011-0317, Budget Act of						
10	2002 ¹				—	—	-\$9,900
11	Totals, Transfers to Other Funds				—	—	-\$9,900
12							
13							
14	Totals, Revenues and Transfers				\$22,378	\$28,877	\$17,815
15							
16	Totals, Resources				\$42,188	\$43,486	\$32,335
17							
18	EXPENDITURES						
19	Disbursements:						
20	2320 Department of Real Estate (State Operations).....				27,579	28,966	29,651
21							
22	FUND BALANCE.....				\$14,609	\$14,520	\$2,684
23	Reserve for economic uncertainties:						
24	Department of Real Estate.....				5,862	6,695	1,297
25	Reserve for education and research.....				6,929	6,729	1,129
26	Reserve for recovery				1,818	1,096	258
27							

¹ This loan is one of the proposed solutions to the General Fund shortfall. It is not intended to adversely affect the programs supported by this fund.

CHANGES IN

AUTHORIZED POSITIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Totals, Authorized Positions	308.9	320.0	316.0	\$14,883	\$15,504	\$15,584
Proposed New Positions:						
Program 20:				Salary Range		
Attorney ²	—	—	1.0	3,651-4,616	—	46
Dep Commissioner ²	—	—	4.0	3,437-4,143	—	167
Auditor I ²	—	—	1.0	2,764-3,361	—	35
Program 40:						
Acct Clk II	—	—	1.0	2,348-2,355	—	28
Totals, Proposed New Positions.....	—	—	7.0	—	—	\$276
Total Adjustments	—	—	7.0	—	—	\$276
TOTALS, SALARIES AND WAGES	308.9	320.0	323.0	\$14,883	\$15,504	\$15,860

² 3.0 positions limited-term to 6/30/05.

2400 DEPARTMENT OF MANAGED HEALTH CARE

The Department of Managed Health Care protects health maintenance organizations (HMOs) and other health care consumers through the implementation, administration, and enforcement of California's HMO patient rights laws. The Department protects and assists consumers through its 24-hour-a-day HMO Help Center, licenses and oversees all HMOs in the state, and has the power to impose administrative and civil penalties.

Working within the Department is the Office of the Patient Advocate, which helps educate consumers about their HMO rights and responsibilities. Advising the Department are three boards comprised of a cross-section of those affected by and within the HMO system, including consumers, health care providers, and those who purchase coverage for their employees. The boards—The Advisory Committee on Managed Health Care, the Clinical Advisory Panel, and the Financial Solvency Standards Board—each meet at least several times a year to help guide the HMO industry toward better stability and solvency so consumers can be better assured of their HMO care.

SUMMARY OF PROGRAM

REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
30 Health Plan Program	174.4	203.2	213.2	\$33,419	\$32,407	\$32,451
50.01 Administration	71.2	97.3	96.5	5,178	8,400	8,415
50.02 Distributed Administration	—	—	—	-5,178	-8,400	-8,415
TOTALS, PROGRAMS.....	245.6	300.5	309.7	\$33,419	\$32,407	\$32,451
0933 Managed Care Fund.....				33,419	32,407	32,451

30 HEALTH PLAN PROGRAM

Program Objectives Statement

The Health Plan Program assures the accessibility and availability of medically necessary health care delivered to the public with appropriate quality-of-care oversight and through financially sound managed care plans. The program licenses health care service plans, conducts routine financial and medical surveys, and operates a consumer services toll-free complaint line.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

2400 DEPARTMENT OF MANAGED HEALTH CARE—Continued

Major Budget Adjustments Proposed for 2002-03

- \$850,000 to convert 14 limited-term positions to permanent and maintain existing staffing capacity for the HMO Call Center, which handles calls, consumer complaints, and independent medical review applications.
- \$210,000 to make 3 limited-term positions permanent for support of the Financial Solvency Standards Board and review the reports required from risk-bearing organizations.
- \$447,000 to convert 7 limited-term positions to permanent in the Office of Enforcement, maintaining current capacity to resolve cases.
- A salary savings adjustment of \$234,000 to fund 4 more financial examiners (using existing position authority) to increase the frequency of financial examinations of specialized health plans from once every five years to once every three years.
- \$500,000 augmentation to the existing \$500,000 base to expand the annual HMO Report Card published by the Office of the Patient Advocate.

Authority

Health and Safety Code Sections 1340-1399.64 inclusive. California Code of Regulations, Title 10, Sections 1300.43-1300.826.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS	2000-01*	2001-02*	2002-03*
30 HEALTH PLAN PROGRAM	\$33,419	\$32,407	\$32,451
State Operations:			
0933 Managed Care	33,419	32,407	32,451
30.10 Health Care Service Plans	32,434	30,895	30,430
State Operations:			
0933 Managed Care	32,434	30,895	30,430
30.20 Office of Patient Advocate.....	985	1,512	2,021
State Operations:			
0933 Managed Care	985	1,512	2,021
TOTALS, EXPENDITURES (State Operations)	<u>\$33,419</u>	<u>\$32,407</u>	<u>\$32,451</u>

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	245.6	334.0	309.0	\$13,859	\$17,595	\$16,969
Total Adjustments	-	-	24.0	-	-	990
Estimated Salary Savings	-	-33.5	-23.3	-	-1,760	-1,257
Net Totals, Salaries and Wages	245.6	300.5	309.7	\$13,859	\$15,835	\$16,702
Staff Benefits	-	-	-	2,057	3,908	3,425
Totals, Personal Services	245.6	300.5	309.7	\$15,916	\$19,743	\$20,127
OPERATING EXPENSES AND EQUIPMENT				\$17,503	\$12,664	\$12,324
TOTALS, EXPENDITURES				<u>\$33,419</u>	<u>\$32,407</u>	<u>\$32,451</u>

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0933 Managed Care Fund *

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$33,017	\$30,358	\$30,430
Allocation for employee compensation	111	-	-
Adjustment per Section 3.60	-103	553	-
Adjustment per Section 4.00	-	-50	-
Adjustment per Section 4.60 (Rental Rate).....	-	29	-
Allocation for postage rate increases	3	5	-
002 Budget Act appropriation	988	1,489	2,021
Allocation for employee compensation	2	-	-
Adjustment per Section 3.60	-5	25	-
Adjustment per Section 4.00	-	-2	-
Totals Available	\$34,013	\$32,407	\$32,451
Unexpended balance, estimated savings	-594	-	-
TOTALS, EXPENDITURES	<u>\$33,419</u>	<u>\$32,407</u>	<u>\$32,451</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$33,419	\$32,407	\$32,451

* Dollars in thousands, except in Salary Range.

2400 DEPARTMENT OF MANAGED HEALTH CARE—Continued

FUND CONDITION STATEMENT				2000-01*	2001-02*	2002-03*
0933 Managed Care Fund ^s						
9	BEGINNING BALANCE.....			\$6,158	\$2,117	\$2,335
10	Prior year adjustments			-6,158	-	-
11	Balance Adjusted			-	\$2,117	\$2,335
13	REVENUES AND TRANSFERS					
14	Revenues:					
15	125700 Other regulatory licenses and permits.....			28,069	30,856	31,456
16	142500 Miscellaneous services to the public			21	21	21
17	150300 Income from surplus money investments.....			523	493	493
18	161900 Other revenue, cost recoveries			723	1,255	1,255
20	Totals, Revenues			\$29,336	\$32,625	\$33,225
21	Transfers from Other Funds:					
22	F00067 Corporations Fund per Chapter 525, Statutes 1999.....			6,200	-	-
24	Totals, Transfers from Other Funds			\$6,200	-	-
26	Totals, Revenues and Transfers			\$35,536	\$32,625	\$33,225
28	Totals, Resources			\$35,536	\$34,742	\$35,560
30	EXPENDITURES					
31	Disbursements:					
32	2400 Department of Managed Care (State Operations).....			33,419	32,407	32,451
34	FUND BALANCE.....			\$2,117	\$2,335	\$3,109
35	Reserve for economic uncertainties			2,117	2,335	3,109

CHANGES IN AUTHORIZED POSITIONS				00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
43	Totals, Authorized Positions	245.6	334.0	309.0	\$13,859	\$17,595	\$16,969		
44	Proposed New Positions: ¹				Salary Range				
45	Corporations Counsel	-	-	1.0	5,703-7,034	-	68		
46	Corporations Counsel	-	-	1.0	5,067-6,127	-	61		
47	Assoc Corporations Investigator	-	-	1.0	4,143-4,999	-	50		
48	Assoc Govtl Prog Analyst.....	-	-	1.0	3,915-4,759	-	52		
49	Consumer Svcs Supvr.....	-	-	2.0	3,917-4,727	-	104		
50	Corporations Counsel	-	-	3.0	4,204-4,616	-	151		
51	Consumer Svcs Rep.....	-	-	4.0	3,040-3,694	-	161		
52	Legal Asst.....	-	-	1.0	3,013-3,663	-	40		
53	Staff Svcs Analyst.....	-	-	2.0	2,714-3,300	-	65		
54	Consumer Svcs Techn	-	-	5.0	2,348-2,855	-	155		
55	Sr Legal Typist	-	-	2.0	2,304-2,801	-	58		
56	Ofc Asst-Typing	-	-	1.0	1,908-2,319	-	25		
58	Totals, Proposed New Positions	-	-	24.0	-	-	\$990		
60	Total Adjustments	-	-	24.0	-	-	\$990		
62	TOTALS, SALARIES AND WAGES	245.6	334.0	333.0	\$13,859	\$17,595	\$17,959		

¹ Originally limited-term positions being continued as permanent.

2600 CALIFORNIA TRANSPORTATION COMMISSION

The California Transportation Commission is responsible for the following activities:

- (1) Adopting the State Transportation Improvement Program, which includes an estimate of state and federal funds expected to be available for transportation projects over a five-year period.
- (2) Adopting other capital improvement programs for highway, rail, aeronautics, toll bridge, and environmental enhancement projects.
- (3) Adopting guidelines for and administering a program to loan unallocated funds in the State Highway Account to transportation planning agencies, county transportation commissions, transit districts, and local transportation authorities for the advancement of projects eligible under the State Transportation Improvement Program.
- (4) Adopting guidelines for and administering a program to designate projects to be funded from the proceeds of federal highway grant anticipation notes issued.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

2600 CALIFORNIA TRANSPORTATION COMMISSION—Continued

- (5) Allocating State funds for capital improvements to specific highway, toll bridge, public mass transportation, and aeronautics projects upon readiness for construction, within the constraint of available financial resources.
 - (6) Recommending funding priorities to the Legislature among the various elements of the State's Mass Transportation program.
 - (7) Adopting guidelines, approving project applications and substitute projects, and allocating funds for a \$4.9 billion list of transportation projects defined in the Traffic Congestion Relief Program (TCRP).
 - (8) Adopting guidelines to implement a fund exchange program to allow the exchange of federal regional surface transportation funds, and federal congestion mitigation and air quality program funds for state transportation funds.
 - (9) Providing policy guidance to the Administration and Legislature by identifying key issues in the areas of financing, operating, and maintaining current and future transportation systems through the annual report to the Legislature.
 - (10) Evaluating for the Legislature the proposed budget of the California Department of Transportation, its adequacy for contributing to a balanced transportation program, and the adequacy of current state transportation revenues, including gasoline and diesel fuel taxes and vehicle weight fees.
 - (11) Monitoring and reporting on the progress of implementation of transportation capital improvement programs.
- The Commission advises and assists the Secretary of the Business, Transportation and Housing Agency and the Legislature in formulating and evaluating state policies and plans for California's transportation programs. The Commission also actively participates in the development of state and federal legislation that seeks to secure financial stability for the State's transportation needs.
- The Commission consists of nine members appointed by the Governor, all appointed to staggered four-year terms, and two non-voting ex-officio members, one from the State Senate and one from the State Assembly.

Major Budget Adjustments Proposed for 2002-03

- An increase of \$98,000 for a Assistant Executive Director for environmental policy and oversight.
- An increase of \$90,000 for an additional position to better coordinate the State Transportation Improvement Program (STIP) and the State budget.
- An increase of \$189,000 in the current year and \$194,000 in the budget year for re-establishment of two positions abolished pursuant to Section 12439 of the Government Code.

Authority

Government Code Sections 14500-14536; Streets and Highways Code Sections 70-86, 111, 111.5, 118, 150, 155.5, 165, 168, 183-183.3, 199-199.9, 210-215, 254; Public Utilities Code Section 130252.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Administration of California Transportation Commission	13.1	15.5	18.6	\$1,719	\$1,917	\$2,853
30 Clean Air and Transportation Improvement	2.0	2.0	-	104,566	125,272	125,000
TOTALS, PROGRAMS.....	15.1	17.5	18.6	\$106,285	\$127,189	\$127,853
0042 State Highway Account, State Transportation Fund				322	475	1,506
0046 Public Transportation Account, State Transportation Fund				1,397	1,442	1,347
0703 Clean Air and Transportation Improvement Fund				104,566	125,272	125,000

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A)	15.1	14.8	14.8	\$1,046	\$993	\$1,001
Total Adjustments	-	2.8	4.0	-	239	317
Estimated Salary Savings	-	-0.1	-0.2	-	-17	-34
Net Totals, Salaries and Wages	15.1	17.5	18.6	\$1,046	\$1,215	\$1,284
Staff Benefits	-	-	-	157	215	238
Totals, Personal Services	15.1	17.5	18.6	\$1,203	\$1,430	\$1,522
OPERATING EXPENSES AND EQUIPMENT				\$1,121	\$759	\$1,331
TOTALS, EXPENDITURES				\$2,324	\$2,189	\$2,853

**RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS**

0042 State Highway Account, State Transportation Fund^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$532	\$533	\$1,506
Allocation for employee compensation	2	-	-
Adjustment per Section 3.60	-1	7	-

* Dollars in thousands, except in Salary Range.

2600 CALIFORNIA TRANSPORTATION COMMISSION—Continued

1				
2				
3				
4			2000-01*	2001-02*
5	Adjustment per Section 4.00	-		-\$2
6	Totals Available	\$533		\$538
7	Unexpended balance, estimated savings	-211		-63
8				
9	TOTALS, EXPENDITURES	\$322		\$475
10				
11	0046 Public Transportation Account, State Transportation Fund ^s			
12				
13	APPROPRIATIONS			
14	001 Budget Act appropriation	\$1,444		\$1,448
15	Allocation for employee compensation	21		-
16	Adjustment per Section 3.60	-13		27
17	Adjustment per Section 4.00	-		-8
18				
19	Totals Available	\$1,452		\$1,467
20	Unexpended balance, estimated savings	-55		-25
21				
22	TOTALS, EXPENDITURES	\$1,397		\$1,442
23				
24	0703 Clean Air and Transportation Improvement Fund ^b			
25				
26	APPROPRIATIONS			
27	Public Utilities Code Section 99652	\$605		\$272
28				
29	TOTALS, EXPENDITURES	\$605		\$272
30				
31	TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$2,324		\$2,189
32				
33				

**SUMMARY BY OBJECT
2 LOCAL ASSISTANCE**

34				
35			2000-01*	2001-02*
36				2002-03*
37	Grants and Subventions.....	\$103,961		\$125,000
38				\$125,000

**RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE**

39				
40				
41				
42				
43				
44	0703 Clean Air and Transportation Improvement Fund ^b			
45	APPROPRIATIONS			
46	Public Utilities Code Sec 99612.....	\$103,961		\$125,000
47				
48	TOTALS, EXPENDITURES	\$103,961		\$125,000
49				
50	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$103,961		\$125,000
51				
52	TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$106,285		\$127,189
53				
54				
55				
56				
57				

FUND CONDITION STATEMENT

58				
59				
60				
61	0703 Clean Air and Transportation Improvement Fund ^b			
62	BEGINNING BALANCE.....	\$62,470		\$71,600
63				\$71,277
64	REVENUES AND TRANSFERS			
65	Other Revenues:			
66	520000 Proceeds from sale of bonds	113,739		125,000
67	Transfers to Other Funds:			
68	T00630 General Obligation Bond expense in connection with sale of bonds per Government Code Section 16724.5	-41		-49
69				
70	Totals, Revenues and Transfers.....	\$113,698		\$124,951
71				
72	Totals, Resources	\$176,168		\$196,551
73				
74				
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77				
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* Dollars in thousands, except in Salary Range.

2600 CALIFORNIA TRANSPORTATION COMMISSION—Continued

1							
2							
3							
4	EXPENDITURES						
5	Disbursements:						
6	State Operations:				2000-01*	2001-02*	2002-03*
7	0840 State Controller's Office				\$2	\$2	-
8	2600 California Transportation Commission				605	272	-
9	Totals, State Operations.....				\$607	\$274	-
10	Local Assistance:						
11	2600 California Transportation Commission				103,961	125,000	\$125,000
12	Totals, Disbursements.....				\$104,568	\$125,274	\$125,000
13							
14							
15	FUND BALANCE.....				\$71,600	\$71,277	\$71,277
16							

17							
18							
19							
20							
21	CHANGES IN						
22	AUTHORIZED POSITIONS	00-01	01-02	02-03	2000-01	2001-02	2002-03
23	Totals, Authorized Positions	15.1	14.8	14.8	\$1,046	\$993	\$1,001
24	Workload and Administrative Adjustments:						
25	Proposed New Positions:				Salary Range		
26	Asst Exec Director	-	1.0	1.0	6,632-7,312	88	88
27	Asst Exec Director	-	-	1.0	6,632-7,312	-	82
28	Staff Svcs Mgr III.....	-	-	1.0	6,032-6,651	-	75
29	Sr Transp Plnr	-	1.0	1.0	4,963-5,987	71	72
30	Temporary Help	-	0.8	-	-	80	-
31	Totals, Proposed New Positions.....	-	2.8	4.0	-	\$239	\$317
32	Total Adjustments	-	2.8	4.0	-	\$239	\$317
33	TOTALS, SALARY AND WAGES.....	15.1	17.6	18.8	\$1,046	\$1,232	\$1,318
34							
35							
36							
37							
38							
39							
40							

2640 SPECIAL TRANSPORTATION PROGRAMS

The Special Transportation Programs budget reflects mass transit program funding that is appropriated to the State Controller for allocation to regional transportation planning agencies. Administration of the State Transportation Assistance program is performed by the State Controller and the Department of Transportation.

41							
42							
43							
44							
45							
46							
47	SUMMARY OF PROGRAM REQUIREMENTS				2000-01*	2001-02*	2002-03*
48	10 State Transportation Assistance				\$115,912	\$171,000	\$115,358
49	TOTALS, PROGRAMS (Public Transportation Account, State Transportation						
50	Fund).....				\$115,912	\$171,000	\$115,358

10 STATE TRANSPORTATION ASSISTANCE

Program Objectives Statement

This program provides funds to local agencies for the operation of public mass transit systems and for street and road purposes in rural areas.

Major Budget Adjustment Included in 2001-02

Current year funding levels reflect expenditures of \$53.3 million greater than the formula based allocation pursuant to Item 2640-101-0046, Budget Act of 2000.

Authority

Public Utilities Code Sections 99312-99314.5; Revenue and Taxation Code Section 7102; and Government Code Section 29530.

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

70							
71							
72					2000-01*	2001-02*	2002-03*
73	661701 Grants and subventions (State Transportation Assistance)				\$115,912	\$171,000	\$115,358
74	(Expenditures).....						
75							
76							
77							
78							
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86							

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

2640 SPECIAL TRANSPORTATION PROGRAMS—Continued

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0046 Public Transportation Account, State Transportation Fund ^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
101 Budget Act appropriation	\$101,001	\$171,000	\$115,358
Allocation for contingencies or emergencies	14,911	-	-
TOTALS, EXPENDITURES	\$115,912	\$171,000	\$115,358
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$115,912	\$171,000	\$115,358

2660 DEPARTMENT OF TRANSPORTATION

The California Department of Transportation is recognized as one of the world's outstanding transportation and engineering organizations. The Department operates, maintains, and has constructed a comprehensive transportation system of more than 15,200 miles of highways and freeways and provides intercity rail passenger services under contract with Amtrak. California's road network supports the vast majority of all personal and commercial travel in California. Roads provide for the movement of people in private vehicles and on bicycles, and for the movement of freight trucks. The state highway system, which comprises less than 9 percent of the total roadway mileage in California, handles 52 percent of the miles traveled. The Department continues its efforts in the areas of congestion relief, rail transportation, promotion of transportation technology, protection of the state's transportation investment, and environmental and worker protection. The Department also ensures that California's 600+ airports/heliports meet safety, land use, and noise standards and provides funds to develop publicly owned airports. The Department's objectives are identified under six primary programs: Aeronautics, Highway Transportation, Mass Transportation, Transportation Planning, Administration, and Equipment Service Center.

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Aeronautics	26.4	29.8	31.3	\$8,675	\$11,426	\$11,426
20 Highway Transportation	19,139.0	19,765.6	19,834.6	6,828,168	6,262,961	7,457,255
20.10 Highway Transportation-Capital						
Outlay Support	(10,430.6)	(11,147.3)	(11,148.3)	(4,075,684)	(3,309,926)	(4,620,904)
20.30 Highway Transportation-Local						
Assistance	(338.3)	(368.3)	(368.3)	(1,589,811)	(1,809,204)	(1,739,843)
20.40 Highway Transportation-Program						
Development	(327.8)	(329.2)	(285.6)	(71,936)	(93,639)	(76,426)
20.65 Highway Transportation-Legal	(203.8)	(227.0)	(231.2)	(87,123)	(63,615)	(64,169)
20.70 Highway Transportation-						
Operations	(1,558.4)	(1,657.5)	(1,617.1)	(200,194)	(164,362)	(145,856)
20.80 Highway Transportation-						
Maintenance	(6,280.1)	(6,036.3)	(6,184.1)	(803,420)	(822,215)	(810,057)
30 Mass Transportation	148.1	169.7	169.7	493,474	843,678	848,273
40 Transportation Planning	1,076.7	1,001.2	996.2	157,682	208,312	189,001
50 Administration	1,577.2	1,727.9	1,724.5	280,582	321,545	325,568
60.10 Equipment Service Center	785.2	723.3	723.3	171,096	170,190	154,986
98 State-Mandated Local Programs	-	-	-	1,979	585	555
TOTALS, PROGRAMS	22,752.6	23,417.5	23,479.6	\$7,941,656	\$7,818,697	\$8,987,064
0001 General Fund				1,604,878	1,600	-
0041 Aeronautics Account, State Transportation Fund				9,033	8,126	8,271
0042 State Highway Account, State Transportation Fund				2,944,455	3,055,193	3,334,128
0045 Bicycle Transportation Account, State Transportation Fund				1,499	7,200	7,200
0046 Public Transportation Account, State Transportation Fund				127,815	171,827	153,196
0052 Local Airport Loan Account				-663	2,850	2,850
0183 Environmental Enhancement and Mitigation Demonstration Program						
Fund				10,000	10,000	11,800
0365 Historic Property Maintenance Fund				-	3,700	1,500
0608 Equipment Service Fund				149,198	152,305	154,341
0650 Toll Bridge Seismic Retrofit Account, State Transportation Fund				199,602	418,589	452,813
0653 Seismic Retrofit Bond Act of 1996				381,543	113,857	62,727
0660 Public Buildings Construction Fund				-	-	72,599
0890 Federal Trust Fund				3,434,862	2,913,095	3,556,435
0987 Toll Bridge Funds				46	-	-
0995 Reimbursements				406,792	467,006	346,384
2500 Pedestrian Safety Account, State Transportation Fund				-	6,000	2,000
3007 Traffic Congestion Relief Fund				172,596	487,349	820,820
Less funding provided by the General Fund				-1,500,000	-	-

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

10 AERONAUTICS

Program Objectives Statement

The Aeronautics Program supports California's aviation activities by promoting optimum use of existing airports. California's aeronautic activity is greater than any other area in the United States. The Program also alleviates problems such as incompatible land uses, potential safety hazards, aircraft noise, and airport terminal congestion by: (1) ensuring that airports and heliports comply with safety regulations, (2) providing engineering and financial assistance to local governments, (3) preparing the California Aviation System Plan which includes commercial air service, (4) providing guidance to local governments about airport land use, (5) administering the airport noise standards regulations, (6) enhancing goods movement to and from airports, and (7) ensuring improved ground access to airports.

Major Budget Adjustment Proposed for 2002–03:

- An increase of \$150,000 to the Aeronautics Program and an increase of 1.5 personnel years redirected from the Legal Program to the Aeronautics Program for legal services supporting the Airport Noise Program.

Authority

Public Utilities Code, Division 9.

20 HIGHWAY TRANSPORTATION

Program Objectives Statement

The highway Transportation Program's highest priorities are maintaining and operating existing facilities for maximum use and building new roads and highways. This budget commits major resources to congestion relief in urban areas, improvements to the rural interregional road system, and highway safety improvements, and ensures the efficient operation of the state highway system. The Highway Transportation Program provides for: (1) the administration, legal support, tort funding, and related legal costs, (2) coordination and control required by federal and state law for implementing transportation projects and furnishing financial assistance to city and county transportation programs; (3) the management of traffic through a system surveillance, analysis, and control effort; and (4) the operation of toll bridges. In addition, the Highway Transportation Program responds to the need for safe, efficient, and environmentally compatible highway transportation service through the use of theoretical and applied research, testing and evaluation, demonstration projects, new technology development, and resource conservation research.

Major Budget Adjustment Proposed for 2001–02:

- Current year expenditures reflect anticipated receipt of \$24,200,000 in federal funds to reimburse State Highway Account expenditures for terrorism related costs.

Major Budget Adjustments Proposed for 2002–03:

- An increase of \$23,389,000 and 167.5 personnel years to implement the Storm Water Management Plan and to comply with the requirements of the Federal Clean Water Act.
- An increase of \$5,000,000 in local assistance to provide a new Freeway Service Patrol grant program, in addition to an increase of \$100,000 in the Operations Program for data collection and ongoing evaluation of the program.
- An increase of limited-term funding of \$3,258,000 for relocation and lease costs to fund the move of San Diego District Office staff into interim space during construction of the new district office building.
- \$2,451,000 and 34.5 personnel years (ongoing) in the Operations Program to sustain the current level of service for the encroachment permit program.
- An increase of \$2,232,000 for the Maintenance Program, including one-time funding of \$1,700,000 for mural restoration and two-year funding of \$532,000 for an urban youth training program conducted by the California Conservation Corps.
- A continuation of \$418,000 and 7.5 limited-term personnel years in the Operations Program to provide manual double-checking of all permits for loads over 14 feet high until the Transportation Permits Management System is implemented.
- An increase of \$345,000 for facility maintenance and operating costs in the Operations Program for the newly opened Traffic Management Center in Orange County.
- An increase of \$171,660,000 in federal funds for anticipated TEA-21 Act grants, demonstration and discretionary projects.

Authority

Government Code, Title 2, Division 3, Part 5; Vehicle Code, Division 11, Chapters 2, 3, 13, and 14; Public Utilities Code, Division 1, Part 1, Chapters 6 and 6.5; Public Resources Code, Division 15, Chapter 5.8; Business and Professions Code, Division 3, Chapter 2.

30 MASS TRANSPORTATION

Program Objectives Statement

The Mass Transportation Program supports the Department's multi-modal transportation focus. The Program includes: (1) administration and oversight of intercity rail service in California including capital projects, (2) grant administration of State and federal capital and operations programs for mass transportation, and (3) planning support and coordination for mass transportation services in California. These activities support the state's transportation system by providing leadership in the implementation of safe, effective public transportation, improved air quality, environmental protection, and the efficient movement of people, information, and services. Additionally, it promotes the conservation of energy resources by providing, developing, and facilitating: (1) the transportation needs of all persons, including older persons, persons with low-mobility, and economically disadvantaged, (2) improved intercity passenger service through expanded service and related facility enhancements, (3) improved urban/commuter rail services, and (4) enhanced mobility in congested corridors.

The Mass Transportation Program's capital and local assistance budgets reflect the Department's current estimates of expenditures on two of the State's major transportation capital programs: the State Transportation Improvement Program and the Traffic Congestion Relief Program. Projects receiving funding from these programs are not selected through the budget process.

Authority

Government Code, Title 2, Division 3, Part 5, Chapter 1.

2660 DEPARTMENT OF TRANSPORTATION—Continued

40 TRANSPORTATION PLANNING

Program Objectives Statement

The Transportation Planning Program is designed to oversee implementation of statewide transportation policy through coordination and development of transportation plans and resulting projects. The Department prepares the long-range state transportation plan required by state and federal law and provides long-range transportation system planning and transportation planning studies as input to the regional transportation plans, the State Transportation Improvement Plan Program (STIP), and departmental policies and programs. The Department also prepares the Interregional Transportation Strategic Plan, which guides investment of the Interregional Improvement Program Funds in the STIP. The Department also provides analyses and recommendations regarding current transportation issues and improvements, management of planning activities on multi-modal transportation plans, air quality, goods movement, livable communities, corridor preservation, traffic forecasts, emission modeling, and energy conservation. Through Advanced Transportation System Development activities and clearing house/environmental review processes, an evaluation is made of the potential impact on the state transportation system of proposed local development. Further, the Department builds and maintains partnerships with regional/local agencies, tribal governments, and the private sector to coordinate transportation and local development.

50 ADMINISTRATION

Program Objectives Statement

The Administration Program provides the budgeting, accounting, auditing, personnel, administrative, office facilities and computer services required to support the programmatic responsibilities of the department. Major activities include accounting and related financial services, computer services, office facilities operations and management, and a wide range of personnel services, including training and equal opportunity programs.

Major Budget Adjustments Proposed for 2002–03:

- One-time funding of \$77,376,000 available over three years to prepare an enterprise information technology integration plan and begin work on information technology projects supporting:
 - Department-wide financial management
 - Local project management
 - Land management
 - Construction contract payments
 The integration plan will ensure that the new projects and others planned and underway work with each other with appropriate functional overlap.
- Second year funding of \$477,000 and 7.4 personnel years to improve labor-management relations at each of Caltrans' 12 districts by training management on the implementation of new bargaining agreements, servicing complaints, and settling labor grievances.

60 EQUIPMENT SERVICE CENTER

Program Objectives Statement

The Equipment Service Center Program provides mobile fleet equipment and services including: (1) mobile fleet equipment and services including purchasing new vehicles; (2) receiving, servicing and equipping new units; (3) assembling equipment components into completed units; (4) managing the fleet through rental to the Department programs and other entities; (5) repairing and maintaining the fleet, including payments for fuel and insurance; and (6) disposing of used vehicles. The Center is designed to reduce equipment costs through proactive equipment budget management by programs. The fleet consists of 14,160 units, ranging from sedans to heavy off-road equipment, with a total asset value of \$241,713,551.

Major Budget Adjustments Proposed for 2002–03:

- One-time funding of \$10,000,000 to replace and retrofit selected vehicles to operate using cleaner burning fuels.
- A one-time increase of \$570,000 to the Maintenance Program to pilot the use of litter removal vehicles.

98 STATE-MANDATED LOCAL PROGRAMS

Program Objectives Statement

This program authorizes reimbursement of certain state-mandated costs incurred by local entities per Chapter 574, Statutes of 1999, and Chapter 644, Statutes of 1995.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 AERONAUTICS

	2000–01*	2001–02*	2002–03*
State Operations:			
0041 Aeronautics Account.....	\$2,294	\$2,614	\$2,764
0890 Federal Trust Fund.....	429	585	435
Totals, State Operations	\$2,723	\$3,199	\$3,199
Local Assistance:			
0041 Aeronautics Account.....	6,615	5,377	5,377
0052 Local Airport Loan Account.....	-663	2,850	2,850
Totals, Local Assistance	\$5,952	\$8,227	\$8,227

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

ELEMENT REQUIREMENTS			
10.10	Safety and Local Assistance		
	State Operations:	2000-01*	2001-02*
	0041 Aeronautics Account.....	\$2,154	\$2,563
	0890 Federal Trust Fund.....	427	585
	Local Assistance:		
	0041 Aeronautics Account.....	6,615	5,377
	0052 Local Airport Loan Account.....	-663	2,850
10.30	Planning and Noise		
	State Operations:		
	0041 Aeronautics Account.....	80	-
	0890 Federal Trust Fund.....	2	-
10.65	Legal Program		
	State Operations:		
	0041 Aeronautics Account.....	60	51
PROGRAM REQUIREMENTS			
20 HIGHWAY TRANSPORTATION			
	State Operations:		
	0042 State Highway Account	\$1,624,015	\$1,772,688
	0045 Bicycle Transportation Account	9	10
	0365 Historic Property Maintenance Fund	-	3,700
	0650 Toll Bridge Seismic Retrofit Account	83,331	119,810
	0653 Seismic Retrofit Bond Act of 1996	33,283	28,982
	0890 Federal Trust Fund.....	387,007	411,592
	0987 Toll Bridge Funds	46	-
	0995 Reimbursements.....	115,110	137,590
	3007 Traffic Congestion Relief Fund	-	7,712
	Totals, State Operations	\$2,242,801	\$2,482,084
	Local Assistance:		
	0001 General Fund	3,083	1,405
	0042 State Highway Account	490,155	219,987
	0045 Bicycle Transportation Account	1,490	7,190
	0183 Environmental Enhancement and Mitigation Demonstration Program Fund	10,000	10,000
	0890 Federal Trust Fund.....	1,016,008	1,425,017
	2500 Pedestrian Safety Account	-	6,000
	3007 Traffic Congestion Relief Fund	40,773	107,096
	Totals, Local Assistance	\$1,561,509	\$1,776,695
	Capital Outlay:		
	0001 General Fund	1,500,000	-
	0042 State Highway Account	358,495	476,015
	0650 Toll Bridge Seismic Retrofit Account	116,271	295,822
	0653 Seismic Retrofit Bond Act of 1996	348,260	84,875
	0660 Public Buildings Construction Fund	-	-
	0890 Federal Trust Fund.....	1,934,957	882,591
	0995 Reimbursements.....	262,754	219,836
	3007 Traffic Congestion Relief Fund	-1,496,879	45,043
	Totals, Capital Outlay	\$3,023,858	\$2,004,182
	Unclassified:		
	0890 Federal Trust Fund.....	-	-
ELEMENT REQUIREMENTS			
20.10	Capital Outlay Support		
	State Operations:		
	0042 State Highway Account	562,430	709,647
	0365 Historic Property Maintenance Fund	-	3,700
	0650 Toll Bridge Seismic Retrofit Account	83,331	119,810
	0653 Seismic Retrofit Bond Act of 1996	33,283	28,982
	0890 Federal Trust Fund.....	317,683	337,562
	0987 Toll Bridge Funds	44	-
	0995 Reimbursements.....	55,055	98,331
	3007 Traffic Congestion Relief Fund	-	7,712
20.20	Capital Outlay Projects		
	Capital Outlay:		
	0001 General Fund	1,500,000	-
	0042 State Highway Account	358,495	476,015
	0650 Toll Bridge Seismic Retrofit Account	116,271	295,822
	0653 Seismic Retrofit Bond Act of 1996	348,260	84,875

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	2000-01*	2001-02*	2002-03*
0660 Public Buildings Construction Fund	—	—	\$72,599
0890 Federal Trust Fund.....	\$1,934,957	\$882,592	1,754,447
0995 Reimbursements.....	262,754	219,836	174,937
3007 Traffic Congestion Relief Fund	-1,496,879	45,043	69,283
20.25 State-Local Transportation Partnership			
Local Assistance:			
0042 State Highway Account	65,979	13,738	6,856
20.30 Local Assistance			
State Operations:			
0042 State Highway Account	24,719	28,254	28,254
0045 Bicycle Transportation Account.....	9	10	10
0890 Federal Trust Fund.....	2,811	2,313	2,313
0995 Reimbursements.....	763	1,932	1,871
Local Assistance:			
0001 General Fund	3,083	1,405	—
0042 State Highway Account	424,176	206,249	238,512
0045 Bicycle Transportation Account.....	1,490	7,190	7,190
0183 Environmental Enhancement and Mitigation Demonstration Program Fund.....	10,000	10,000	11,800
0890 Federal Trust Fund.....	1,016,008	1,425,017	1,114,480
2500 Pedestrian Safety Account	—	6,000	2,000
3007 Traffic Congestion Relief Fund	40,773	107,096	154,897
Unclassified:			
0890 Federal Trust Fund.....	—	—	171,660
20.40 Program Development			
State Operations:			
0042 State Highway Account	41,962	41,095	37,163
0890 Federal Trust Fund.....	29,962	51,443	38,162
0995 Reimbursements.....	12	1,101	1,101
20.65 Legal Program			
State Operations:			
0042 State Highway Account	87,123	63,595	64,169
0995 Reimbursements.....	—	20	0
20.70 Operations			
State Operations:			
0042 State Highway Account	117,831	118,187	119,780
0890 Federal Trust Fund.....	32,529	16,053	1,035
0987 Toll Bridge Funds	2	—	—
0995 Reimbursements.....	49,832	30,122	25,041
20.80 Maintenance			
State Operations:			
0042 State Highway Account	789,950	811,910	799,622
0890 Federal Trust Fund.....	4,022	4,221	4,351
0995 Reimbursements.....	9,448	6,084	6,084
PROGRAM REQUIREMENTS			
30 MASS TRANSPORTATION			
State Operations:			
0042 State Highway Account	\$231	\$337	\$337
0046 Public Transportation Account.....	88,746	99,963	102,549
0890 Federal Trust Fund.....	1,141	1,699	1,699
0995 Reimbursements.....	743	881	881
Totals, State Operations	\$90,861	\$102,880	\$105,466
Local Assistance:			
0001 General Fund	71,800	90	—
0042 State Highway Account	120,972	122,285	76,994
0046 Public Transportation Account.....	14,850	41,618	2,821
0890 Federal Trust Fund.....	18,469	68,031	18,000
3007 Traffic Congestion Relief Fund	122,182	280,887	489,118
Totals, Local Assistance	\$348,273	\$512,911	\$586,933
Capital Outlay:			
0001 General Fund	29,995	5	—
0042 State Highway Account	8,296	85,091	37,983
0046 Public Transportation Account.....	—	1,072	24,916
0890 Federal Trust Fund.....	220	0	0
0995 Reimbursements.....	15,829	99,107	25,000
3007 Traffic Congestion Relief Fund	—	42,612	67,975
Totals, Capital Outlay	\$54,340	\$227,887	\$155,874

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

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3	ELEMENT REQUIREMENTS			
4				
5	30.10 State and Federal Mass Transit			
6	State Operations:	2000-01*	2001-02*	2002-03*
7	0042 State Highway Account	\$15	\$33	\$33
8	0046 Public Transportation Account	5,697	8,075	7,761
9	0890 Federal Trust Fund	1,141	1,460	1,460
10	0995 Reimbursements	743	634	634
11	Local Assistance:			
12	0001 General Fund	71,800	-	-
13	0042 State Highway Account	105,250	115,329	76,994
14	0046 Public Transportation Account	14,850	41,618	2,821
15	0890 Federal Trust Fund	18,469	68,031	18,000
16	3007 Traffic Congestion Relief Fund	122,182	280,887	489,118
17	30.20 Rail Transit Capital			
18	State Operations:			
19	0042 State Highway Account	216	304	304
20	0046 Public Transportation Account	82,885	91,795	94,695
21	0890 Federal Trust Fund	-	239	239
22	0995 Reimbursements	-	247	247
23	Local Assistance:			
24	0001 General Fund	0	90	-
25	0042 State Highway Account	15,722	6,956	-
26	Capital Outlay:			
27	0001 General Fund	29,995	5	-
28	0042 State Highway Account	8,296	85,091	37,983
29	0046 Public Transportation Account	-	1,072	24,916
30	0890 Federal Trust Fund	220	-	-
31	0995 Reimbursements	15,829	99,107	25,000
32	3007 Traffic Congestion Relief Fund	-	42,612	67,975
33	30.65 Legal Program			
34	State Operations:			
35	0046 Public Transportation Account	164	93	93
36				
37	PROGRAM REQUIREMENTS			
38	40 TRANSPORTATION PLANNING			
39				
40	State Operations:			
41	0042 State Highway Account	\$48,130	\$49,358	\$49,358
42	0046 Public Transportation Account	22,333	23,845	20,284
43	0890 Federal Trust Fund	36,834	48,801	34,554
44	0995 Reimbursements	72	209	185
45	Totals, State Operations	\$107,369	\$122,213	\$104,381
46	Local Assistance:			
47	0001 General Fund	-	100	-
48	0042 State Highway Account	4,000	15,000	10,000
49	0890 Federal Trust Fund	39,793	42,000	42,000
50	3007 Traffic Congestion Relief Fund	6,520	3,999	1,620
51	Totals, Local Assistance	\$50,313	\$61,099	\$53,620
52	Unclassified:			
53	0890 Federal Trust Fund	-	25,000	31,000
54				
55	ELEMENT REQUIREMENTS			
56				
57	40.10 Statewide Planning			
58	State Operations:			
59	0042 State Highway Account	48,130	49,358	49,358
60	0046 Public Transportation Account	15,057	19,037	15,476
61	0890 Federal Trust Fund	30,591	47,212	32,965
62	0995 Reimbursements	72	208	184
63	Local Assistance:			
64	3007 Traffic Congestion Relief Fund	6,520	-	-
65	40.20 Regional Planning			
66	State Operations:			
67	0046 Public Transportation Account	2,136	4,668	4,668
68	0890 Federal Trust Fund	5,976	1,589	1,589
69	0995 Reimbursements	-	1	1
70	Local Assistance:			
71	0001 General Fund	-	100	-
72	0042 State Highway Account	4,000	15,000	10,000
73	0890 Federal Trust Fund	39,793	42,000	42,000
74	3007 Traffic Congestion Relief Fund	-	3,999	1,620
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* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

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3	Unclassified:	2000-01*	2001-02*	2002-03*
4	0890 Federal Trust Fund.....	-	\$25,000	\$31,000
5	40.30 Administration			
6	State Operations:			
7	0046 Public Transportation Account.....	\$5,031	-	-
8	0890 Federal Trust Fund.....	267	-	-
9	40.65 Legal Program			
10	State Operations:			
11	0046 Public Transportation Account.....	109	140	140
12				
13				
14	PROGRAM REQUIREMENTS			
15	50 ADMINISTRATION			
16				
17	State Operations:			
18	0041 Aeronautics Account.....	\$124	\$135	\$130
19	0042 State Highway Account	266,284	292,865	289,352
20	0046 Public Transportation Account.....	1,886	5,329	2,626
21	0608 Equipment Service Fund.....	-	3,097	9,711
22	0650 Toll Bridge Seismic Retrofit Account	-	2,957	1,867
23	0890 Federal Trust Fund.....	4	7,779	9,001
24	0995 Reimbursements.....	12,284	9,383	12,881
25				
26	Totals, State Operations	\$280,582	\$321,545	\$325,568
27				
28	ELEMENT REQUIREMENTS			
29				
30	50.10 General Administration			
31	State Operations:			
32	0042 State Highway Account	61,348	90,616	80,378
33	0608 Equipment Service Fund.....	-	-	1,343
34	0995 Reimbursements.....	12,284	5,987	9,485
35	50.20 Central Administrative Services			
36	State Operations:			
37	0041 Aeronautics Account.....	68	78	73
38	0042 State Highway Account	42,851	55,743	59,976
39	0046 Public Transportation Account.....	1,106	4,455	1,752
40	0608 Equipment Service Fund.....	-	3,097	5,013
41	0650 Toll Bridge Seismic Retrofit Account	-	2,957	1,867
42	0890 Federal Trust Fund.....	4	7,779	9,001
43	50.60 Business, Information and Technical Services			
44	State Operations:			
45	0041 Aeronautics Account.....	56	57	57
46	0042 State Highway Account	162,085	146,506	148,998
47	0046 Public Transportation Account.....	780	874	874
48	0608 Equipment Service Fund.....	-	-	3,355
49	0995 Reimbursements.....	-	3,396	3,396
50				
51				
52	PROGRAM REQUIREMENTS			
53	60 EQUIPMENT SERVICE CENTER			
54				
55	State Operations:			
56	0042 State Highway Account	\$21,898	\$20,982	\$10,356
57	0608 Equipment Service Fund.....	149,198	149,208	144,630
58				
59	Totals, State Operations	\$171,096	\$170,190	\$154,986
60				
61	PROGRAM REQUIREMENTS			
62	98 STATE-MANDATED LOCAL PROGRAMS			
63				
64	Claiming Bills:			
65	Ch. 1082/90-Seismic Safety Retrofit	\$1,858	\$542	\$553
66	Ch. 644/94-Airport Land Use Commissions/Plans.....	-	27	2
67	Ch. 1297/94-Two Way Traffic Signals	121	16	-
68				
69	Totals.....	\$1,979	\$585	\$555
70				
71	TOTAL EXPENDITURES			
72	State Operations	\$2,895,432	\$3,202,111	\$3,129,987
73	Local Assistance.....	1,968,026	2,359,517	2,185,070
74	Capital Outlay	3,078,198	2,232,069	3,469,347
75	Unclassified.....	-	25,000	202,660
76				
77	TOTALS, EXPENDITURES	\$7,941,656	\$7,818,697	\$8,987,064
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88	* Dollars in thousands, except in Salary Range.			

2660 DEPARTMENT OF TRANSPORTATION—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	22,752.6	24,397.6	24,261.5	\$1,266,552	\$1,396,231	\$1,411,541
Total Adjustments	-	-	211.8	-	-	9,406
Estimated Salary Savings	-	-980.1	-993.7	-	-98,197	-112,064
Net Totals, Salaries and Wages	22,752.6	23,417.5	23,479.6	\$1,266,552	\$1,298,034	\$1,308,883
Staff Benefits	-	-	-	234,330	275,585	275,572
Totals, Personal Services	22,752.6	23,417.5	23,479.6	\$1,500,882	\$1,573,619	\$1,584,455
OPERATING EXPENSES AND EQUIPMENT				\$1,329,403	\$1,587,136	\$1,504,176
SPECIAL ITEMS OF EXPENSE						
Tort Payments				65,147	41,356	41,356
TOTALS, EXPENDITURES				\$2,895,432	\$3,202,111	\$3,129,987

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0041 Aeronautics Account, State Transportation Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$2,742	\$2,696	\$2,894
Allocation for employee compensation	8	-	-
Adjustment per Section 3.60	-20	57	-
Adjustment per Section 4.00	-	-4	-
011 Budget Act appropriation (Transfer to Public Transportation Account)	(30)	(30)	(30)
Totals Available	\$2,730	\$2,749	\$2,894
Unexpended balance, estimated savings	-312	-	-
TOTALS, EXPENDITURES	\$2,418	\$2,749	\$2,894

0042 State Highway Account, State Transportation Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$1,988,601	\$2,013,380	\$1,969,439
Allocation for employee compensation	5,791	-	-
Adjustment per Section 3.60	-9,606	27,539	-
Adjustment per Section 4.60 (Rental Rate)	30	38	-
Adjustment per Section 4.00	-	-1,846	-
Allocation for Department of Justice Attorney Services	-	28	-
Allocation for Americans w/ Disabilities Act (ADA) Barrier Removal Projects ..	-	2,444	-
Transfer to Legislative Claims (9670)	-880	-51	-
Transfer to Item 2660-001-0890 per Provision 3a	-44,610	-	-
002 Budget Act appropriation	-	-	77,376
005 Budget Act appropriation	14,552	14,608	14,620
007 Budget Act appropriation	61,521	61,521	84,910
011 Budget Act appropriation (Transfer to Toll Bridge Seismic Retrofit			
Account)	(123,388)	(341,826)	-
012 Budget Act appropriation (Contingency funding for disasters)	(40,000)	(40,000)	(40,000)
013 Budget Act appropriation (Loan to Traffic Congestion Relief Fund)	-	-	(474,000)
015 Budget Act appropriation (Transfer to the General Fund)	-	-	(14,445)
021 Budget Act appropriation (Transfer to Public Transportation Account)	(25,024)	(25,400)	(26,090)
022 Budget Act appropriation (Transfer to Environ Enhance & Mitigation Demo			
Fund)	(10,000)	(10,000)	(10,000)
031 Budget Act appropriation (Transfer to Equipment Services Fund)	21,898	20,982	10,356
Prior year balances available:			
Item 2660-001-0042/2000, Reapp by Item 2660-492/2001	-	11,200	-
Totals Available	\$2,037,297	\$2,149,843	\$2,156,701
Unexpended balance, estimated savings	-65,537	-13,612	-
Balance available in subsequent years	-11,200	-	-70,000
TOTALS, EXPENDITURES	\$1,960,560	\$2,136,231	\$2,086,701

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

1				
2				
3				
4	0045 Bicycle Transportation Account, State Transportation Fund ^s			
5	APPROPRIATIONS	<i>2000-01*</i>	<i>2001-02*</i>	<i>2002-03*</i>
6	001 Budget Act appropriation	\$10	\$10	\$10
7				
8	Totals Available	\$10	\$10	\$10
9	Unexpended balance, estimated savings	-1	-	-
10				
11	TOTALS, EXPENDITURES	\$9	\$10	\$10
12				
13	0046 Public Transportation Account, State Transportation Fund ^s			
14	APPROPRIATIONS			
15	001 Budget Act appropriation	\$114,990	\$127,581	\$125,459
16	Allocation for employee compensation	237	-	-
17	Adjustment per Section 3.60	-219	632	-
18	Adjustment per Section 4.00	-	-42	-
19	011 Budget Act appropriation (Loan to Traffic Congestion Relief Fund).....	-	(180,000)	(100,000)
20	Prior year balances available:			
21	Item 2660-001-0046/2000, Reapp by Item 2660-492/2001	-	966	-
22				
23	Totals Available	\$115,008	\$129,137	\$125,459
24	Unexpended balance, estimated savings	-1,078	-	-
25	Balance available in subsequent years	-966	-	-
26				
27	TOTALS, EXPENDITURES	\$112,964	\$129,137	\$125,459
28				
29	0056 Seismic Safety Retrofit Account, State Transportation Fund ^s			
30	APPROPRIATIONS			
31	011 Budget Act appropriation (Transfer to the State Highway Account).....	-	-	(\$13,048)
32				
33	0183 Environmental Enhancement and Mitigation			
34	 Demonstration Program Fund ^s			
35	APPROPRIATIONS			
36	011 Budget Act appropriation (Transfer to the State Highway Account).....	-	-	(\$10,185)
37				
38	0365 Historic Property Maintenance Fund ^s			
39	APPROPRIATIONS			
40	001 Budget Act appropriation	-	\$3,700	\$1,500
41				
42	TOTALS, EXPENDITURES	-	\$3,700	\$1,500
43				
44	0608 Equipment Service Fund ⁿ			
45	APPROPRIATIONS			
46	002 Budget Act appropriation	\$60,242	\$65,029	\$67,947
47	Allocation for employee compensation	128	-	-
48	Adjustment per Section 3.60	-393	1,153	-
49	Adjustment per Section 4.00	-	-75	-
50	Streets and Highways Code Section 140.3	112,626	107,180	96,750
51				
52	Totals Available	\$172,603	\$173,287	\$164,697
53	Unexpended balance, estimated savings	-1,507	-	-
54				
55	TOTALS, EXPENDITURES	\$171,096	\$173,287	\$164,697
56	Less funding provided by State Highway Account	-21,898	-20,982	-10,356
57				
58	NET TOTALS, EXPENDITURES	\$149,198	\$152,305	\$154,341
59				
60	0650 Toll Bridge Seismic Retrofit Account,			
61	 State Transportation Fund ^s			
62	APPROPRIATIONS			
63	Streets and Highways Code Section 188.10.....	\$83,331	\$122,767	\$122,133
64				
65	TOTALS, EXPENDITURES	\$83,331	\$122,767	\$122,133
66				
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* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

0653 Seismic Retrofit Bond Fund of 1996 ^b

5	APPROPRIATIONS	2000-01*	2001-02*	2002-03*
6	Government Code Section 8879.3.....	\$18,974	\$28,982	\$27,727
7	Government Code Section 16724.6.....	57	-	-
8	Government Code Section 16312.....	14,252	-	-
9		<u>33,283</u>	<u>28,982</u>	<u>27,727</u>
10	TOTALS, EXPENDITURES			

0890 Federal Trust Fund

14	APPROPRIATIONS			
15	001 Budget Act appropriation	\$394,841	\$444,131	\$424,848
16	Allocation for employee compensation	449	-	-
17	Adjustment per Section 3.60	-2,198	7,864	-
18	Adjustment per Section 4.00	-	-422	-
19	Transfer from Item 2660-001-0042 per Provision 3a.....	44,610	-	-
20	Allocation from Item 2660-399-0890	15,395	-	-
21	Budget Adjustment	-23,819	15,018	-
22	Prior year balances available:			
23	Item 2660-001-0890/2000, Reapp by Item 2660-492/2001	-	3,864	-
24		<u>429,278</u>	<u>470,455</u>	<u>424,848</u>
25	Totals Available			
26	Balance available in subsequent years	-3,864	-	-
27		<u>425,414</u>	<u>470,455</u>	<u>424,848</u>
28	TOTALS, EXPENDITURES			

0987 Toll Bridge Funds ⁿ

32	APPROPRIATIONS			
33	Streets and Highways Code, Division 17.....	\$46	\$0 ¹	-
34		<u>46</u>	<u>-</u>	<u>-</u>
35	TOTALS, EXPENDITURES			

¹ Fully reimbursed item.

0995 Reimbursements

41	APPROPRIATIONS			
42	Reimbursements.....	\$128,209	\$148,063	\$146,447

3007 Traffic Congestion Relief Fund ^s

46	APPROPRIATIONS			
47	011 Budget Act appropriation (Loans to the General Fund).....	-	(\$238,000)	(\$672,000)
48	Government Code Section 14556.5.....	-	7,712	37,927
49		<u>-</u>	<u>7,712</u>	<u>37,927</u>
50	TOTALS, EXPENDITURES			
51		<u>-</u>	<u>7,712</u>	<u>37,927</u>
52	TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$2,895,432	\$3,202,111	\$3,129,987

SUMMARY BY OBJECT

2 LOCAL ASSISTANCE

59		2000-01*	2001-02*	2002-03*
60	Grants and subventions (Expenditures)	\$1,968,026	\$2,359,517	\$2,185,070

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

70	APPROPRIATIONS	2000-01*	2001-02*	2002-03*
71	101 Budget Act appropriation	\$75,083	\$1,595	-
72	Totals Available	\$75,083	\$1,595	-
73	Unexpended balance, estimated savings	-200	-	-
74		<u>74,883</u>	<u>1,595</u>	<u>-</u>
75	TOTALS, EXPENDITURES			

0041 Aeronautics Account, State Transportation Fund ^s

79	APPROPRIATIONS			
80	Public Utilities Code Section 21680	\$6,580	\$5,377	\$5,377
81	Chapter 177, Statutes of 2000 (State Mandates).....	35	-	-
82		<u>6,615</u>	<u>5,377</u>	<u>5,377</u>
83	TOTALS, EXPENDITURES			

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

0042 State Highway Account, State Transportation Fund ^{s 1}

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
101 Budget Act appropriation	\$421,224	\$339,417	\$89,356
Transfer to Pedestrian Safety Account per Chapter 833, Statutes of 2000	-8,000	-	-
Transfer to Item 2660-301-0042 per Provision 3	-35,000	-	-
102 Budget Act appropriation	-	5,000	120,669
295 Budget Act appropriation (State Mandates)	-	544	555
Chapter 177, Statutes of 2000 (State Mandates)	2,014	-	-
Adjustment per Government Code Section 17613	-68	-	-
Chapter 723, Statutes of 2001 (State Mandates)	-	41	-
Prior year balances available:			
Item 2660-101-0042/1997	44,325	-	-
Item 2660-101-0042/1998, Reapp by Items 2660-491/02, partly revt'd 2660-495/02	366,365	115,717	13,042
Item 2660-101-0042/1999, Reapp by Items 2660-491/02, partly revt'd 2660-495/02	621,430	292,058	84,887
Item 2660-101-0042/2000, Partially Reverted by Item 2660-495/2002	-	316,457	138,937
Item 2660-101-0042/2001	-	-	250,897
Item 2660-125-0042/1996	43,318	-	-
Chapter 574, Statutes of 1999 (State Mandates)	32	-	-
Totals Available	\$1,455,640	\$1,069,234	\$698,343
Unexpended balance, estimated savings	-114,302	-223,614	-
Balance available in subsequent years	-724,232	-487,763	-365,426
TOTALS, EXPENDITURES	\$617,106	\$357,857	\$332,917

¹ Expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.

0045 Bicycle Transportation Account, State Transportation Fund ^s

101 Budget Act appropriation	\$1,500	\$7,190	\$7,190
Totals Available	\$1,500	\$7,190	\$7,190
Unexpended balance, estimated savings	-10	-	-
TOTALS, EXPENDITURES	\$1,490	\$7,190	\$7,190

0046 Public Transportation Account, State Transportation Fund ^{s 2}

101 Budget Act appropriation	-	\$31,915	-
103 Budget Act appropriation	\$2,000	-	-
105 Budget Act appropriation	2,363	2,793	\$2,821
Allocation for contingencies or emergencies	403	-	-
Chapter 656, Statutes of 2000	13,900	-	-
Prior year balances available:			
Item 2660-101-0046/1997	6,996	6,910	-
Item 2660-103-0046/2000, Reapp by Item 2660-492/2001	-	2,000	-
Item 2660-125-0046/1996	3,098	-	-
Chapter 656, Statutes of 2000, Reverted by Item 2660-495/02	-	1,900	-
Totals Available	\$28,760	\$45,518	\$2,821
Unexpended balance, estimated savings	-3,099	-3,900	-
Balance available in subsequent years	-10,810	-	-
TOTALS, EXPENDITURES	\$14,851	\$41,618	\$2,821

² Pursuant to proposed budget trailer bill, expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.

0052 Local Airport Loan Account ^s

Public Resources Code Section 21602	\$1,482	\$4,000	\$4,000
TOTALS, EXPENDITURES	\$1,482	\$4,000	\$4,000
Loan repayments from local agencies	-2,145	-1,150	-1,150
NET TOTALS, EXPENDITURES	-\$663	\$2,850	\$2,850

0183 Environmental Enhancement and Mitigation
Demonstration Program Fund ^s

101 Budget Act appropriation	\$10,000	\$10,000	\$11,800
TOTALS, EXPENDITURES	\$10,000	\$10,000	\$11,800

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

0890 Federal Trust Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
101 Budget Act appropriation	\$1,021,663	\$1,324,233	\$164,580
Allocation from Item 2660-399-0890	8,782	-	-
Transfer from Item 2660-301-0890 per Provision 4	8,000	-	-
Budget Adjustment	-8,989	-	-
102 Budget Act appropriation	2,000	-	1,026,000
Prior year balances available:			
Item 2660-101-0890/1998	72,248	-	-
Budget Adjustment	-71,731	-	-
Item 2660-101-0890/1999	836,408	488,455	-
Budget Adjustment	-	-358,195	-
Item 2660-101-0890/2000	-	303,655	-
Budget Adjustment	-	-103,686	-
Item 2660-101-0890/2001	-	-	119,414
Item 2660-102-0890/2000, Reapp by Item 2660-492/2001	-	2,000	-
Totals Available	\$1,868,381	\$1,656,462	\$1,309,994
Unexpended balance, estimated savings	-	-2,000	-
Balance available in subsequent years	-794,111	-119,414	-135,514
TOTALS, EXPENDITURES	\$1,074,270	\$1,535,048	\$1,174,480

2500 Pedestrian Safety Account, State Transportation Fund ^s

APPROPRIATIONS			
Transfer from Item 2660-101-0042 per Chapter 833, Statutes of 2000	\$8,000	-	-
Prior year balances available:			
Transfer from Item 2660-101-0042 per Chapter 833, Statutes of 2000	-	\$8,000	\$2,000
Totals Available	\$8,000	\$8,000	\$2,000
Balance available in subsequent years	-8,000	-2,000	-
TOTALS, EXPENDITURES	-	\$6,000	\$2,000

3007 Traffic Congestion Relief Fund ^{s 2}

APPROPRIATIONS			
Government Code Section 14556.5	\$169,474	\$391,982	\$645,635
TOTALS, EXPENDITURES	\$169,474	\$391,982	\$645,635
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,968,026	\$2,359,517	\$2,185,070

² Pursuant to proposed budget trailer bill, expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.

STATE BUILDING PROGRAM
EXPENDITURES

Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
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SUMMARY BY OBJECT

3 CAPITAL OUTLAY

Summary of Office Building Projects

This schedule summarizes projected expenditures for the Office Building subcomponent of the Department of Transportation Capital Outlay program.

Projects

20.20.500	Studies, preplanning and budget packages	\$295	\$102	\$30
20.20.510	San Diego Office Building—Replacement	-	3,084	72,599
20.20.511	Seismic Retrofit—Eureka Office Bldg	260	5,509	-
20.20.512	Seismic Retrofit—Redding District Office Bldg	-	239	2,568
20.20.513	Headquarters Office, Sacramento—Seismic Retrofit	470	695	8,939
20.20.514	Los Angeles Office Building—Replacement	3,967	30,000	90,000
20.20.515	Marysville Office Building—Replacement	-	-	2,264
	Provides a new 240,000 gross square foot building to replace the existing District 3 office building.			
	Totals, Office Building Capital Outlay Projects	\$4,992	\$39,629	\$176,400

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
Summary of Transportation Capital Outlay Projects			
TOTALS, EXPENDITURES	\$3,073,206	\$2,192,440	\$3,292,947
NET TOTALS, EXPENDITURES	\$3,078,198	\$2,232,069	\$3,469,347

RECONCILIATION WITH APPROPRIATIONS
3 CAPITAL OUTLAY
0001 General Fund

APPROPRIATIONS			
301 Budget Act appropriation	\$50,145	-	-
Chapter 91, Statutes of 2000 (Transfer to Traffic Congestion Relief Fund)	1,500,000	-	-
Prior year balances available:			
Item 2660-301-0001/2000	-	\$20,150	-
Totals Available	\$1,550,145	\$20,150	-
Unexpended balance, estimated savings	-	-20,145	-
Balance available in subsequent years	-20,150	-	-
TOTALS, EXPENDITURES	\$1,529,995	\$5	-

0042 State Highway Account, State Transportation Fund ^{s 1}

APPROPRIATIONS			
301 Budget Act appropriation	\$540,710	\$1,118,078	\$362,105
Transfer from Item 2660-101-0042 per Provision 3	35,000	-	-
302 Budget Act appropriation	27,000	-	413,873
311 Budget Act appropriation	9,715	188,558	13,801
Reversion per Government Code Section 16351, 16351.5, and 16408	-233	-	-
Augmentation per Government Code Section 16352, 16409, and 16354	110	1,194	-
Allocation from Item 2660-399-0042	5,000	5,000	5,000
Pending urgency legislation	-	20,000	-
Prior year balances available:			
Item 2660-301-0042/1997, Reapp by Item 2660-492/2000	517,970	-	-
Item 2660-301-0042/1997	-	460,835	-
Item 2660-301-0042/1998	279,505	-	-
Item 2660-301-0042/1999, Reapp by Items 2660-491/02, partly revt'd 2660-495/02	798,650	642,354	83,966
Item 2660-301-0042/2000, partially reverted by Item 2660-495/2002	-	477,995	193,162
Item 2660-301-0042/2001	-	-	877,660
Item 2660-302-0042/2000	-	26,320	23,969
Item 2660-311-0042/1999, Reapp by Item 2660-494/2000	51	-	-
Item 2660-311-0042/2000, Reapp by Item 2660-490/2001	-	4,151	-
Item 2660-311-0042/2001	-	-	154,274
Totals Available	\$2,213,478	\$2,944,485	\$2,127,810
Unexpended balance, estimated savings	-235,033	-1,050,349	-
Balance available in subsequent years	-1,611,656	-1,333,031	-1,213,300
TOTALS, EXPENDITURES	\$366,789	\$561,105	\$914,510

¹ Expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.

0046 Public Transportation Account, State Transportation Fund ^{s 2}

APPROPRIATIONS			
301 Budget Act appropriation	-	\$91,000	-
302 Budget Act appropriation	-	0 ³	\$0 ³
Prior year balances available:			
Item 2660-301-0046/2001	-	-	89,928
Item 2660-302-0046/1998	\$0 ³	-	-
Item 2660-302-0046/1999	0 ³	0 ³	-
Totals Available	-	\$91,000	\$89,928
Balance available in subsequent years	-	-89,928	-65,012
TOTALS, EXPENDITURES	-	\$1,072	\$24,916

² Pursuant to proposed budget trailer bill, expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.

³ Fully reimbursed item.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
0650 Toll Bridge Seismic Retrofit Account, State Transportation Fund ^{s 2}			
APPROPRIATIONS			
Streets and Highways Code Section 188.10.....	\$116,271	\$295,822	\$330,680
TOTALS, EXPENDITURES	\$116,271	\$295,822	\$330,680
² Pursuant to proposed budget trailer bill, expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.			
0653 Seismic Retrofit Bond Fund of 1996 ^b			
APPROPRIATIONS			
Government Code Section 8879.3.....	\$348,260	\$84,875	\$35,000
TOTALS, EXPENDITURES	\$348,260	\$84,875	\$35,000
0660 Public Buildings Construction Fund ⁿ			
APPROPRIATIONS			
311 Budget Act appropriation.....	-	-	\$72,599
TOTALS, EXPENDITURES	-	-	\$72,599
0890 Federal Trust Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$1,660,250	\$1,542,163	\$843,177
Transfer to Item 2660-101-0890 per Provision 4	-8,000	-	-
Budget Adjustment	-	19,200	-
302 Budget Act appropriation	199,000	-	821,586
Prior year balances available:			
Item 2660-301-0890/1998	108,477	-	-
Budget Adjustment	-60,024	-	-
Item 2660-301-0890/1999	932,723	95,484	-
Budget Adjustment	-	-53,622	-
Item 2660-301-0890/2000	-	602,764	-
Budget Adjustment	-	-522,764	-
Item 2660-301-0890/2001	-	-	818,619
Item 2660-302-0890/2000	-	199,000	181,014
Totals Available	\$2,832,426	\$1,882,225	\$2,664,396
Balance available in subsequent years	-897,248	-999,633	-909,949
TOTALS, EXPENDITURES	\$1,935,178	\$882,592	\$1,754,447
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements.....	\$278,583	\$318,943	\$199,937
3007 Traffic Congestion Relief Fund ^{s 2}			
APPROPRIATIONS			
Streets and Highways Code Section 14556.5	\$3,122	\$87,655	\$137,258
TOTALS, EXPENDITURES	\$3,122	\$87,655	\$137,258
Less funding provided by the General Fund	-1,500,000	-	-
NET TOTALS, EXPENDITURES	-\$1,496,878	\$87,655	\$137,258
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay).....	\$3,078,198	\$2,232,069	\$3,469,347

² Pursuant to proposed budget trailer bill, expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
RECONCILIATION WITH APPROPRIATIONS			
4 UNCLASSIFIED			
0042 State Highway Account, State Transportation Fund ^s			
APPROPRIATIONS			
399 Budget Act appropriation	\$5,000	\$5,000	\$5,000
Allocation to Capital Outlay	-5,000	-5,000	-5,000
TOTALS, EXPENDITURES	-	-	-
0890 Federal Trust Fund			
APPROPRIATIONS			
399 Budget Act appropriation	\$25,000	\$25,000	\$202,660
Allocation to Item 2660-001-0890.....	-15,395	-	-
Allocation to Item 2660-101-0890.....	-8,782	-	-
Totals Available	\$823	\$25,000	\$202,660
Unexpended balance, estimated savings	-823	-	-
TOTALS, EXPENDITURES	-	\$25,000	\$202,660
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	-	\$25,000	\$202,660
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance, Capital Outlay and Unclassified).....	\$7,941,656	\$7,818,697	\$8,987,064

FUND CONDITION STATEMENT

0041 Aeronautics Account, State Transportation Fund ^{s 1}

BEGINNING BALANCE.....	\$8,667	\$7,623	\$7,036
Prior year adjustments	-24	-	-
Balance, Adjusted.....	\$8,643	\$7,623	\$7,036
REVENUES AND TRANSFERS			
Revenues:			
141200 Sales of documents.....	9	6	6
150300 Income from surplus money investments.....	1,298	813	708
Totals, Revenues	\$1,307	\$819	\$714
Transfers from Other Funds:			
F00061 Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code, Section 8352.3	6,736	6,750	6,725
Totals, Transfers from Other Funds	\$6,736	\$6,750	\$6,725
Transfers to Other Funds:			
T00046 Public Transportation Account, State Transportation Fund per Item 2660-011-0041, Budget Acts of 2000, 2001, and 2002.....	-30	-30	-30
Totals, Transfers to Other Funds	-\$30	-\$30	-\$30
Totals, Revenues and Transfers	\$8,013	\$7,539	\$7,409
Totals, Resources	\$16,656	\$15,162	\$14,445
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations.....	2,418	2,749	2,894
Local Assistance	6,615	5,377	5,377
9900 Statewide General Administrative Expenditures (Pro Rata) (Local Assistance).....	-	-	28
Totals, Disbursements	\$9,033	\$8,126	\$8,299
FUND BALANCE.....	\$7,623	\$7,036	\$6,146
Reserve for economic uncertainties	7,623	7,036	6,146

¹ This fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
0042 State Highway Account, State Transportation Fund^{s 1, 2}			
BEGINNING BALANCE.....	\$1,374,738	\$1,770,176	\$1,282,232
Prior year adjustments	768,421	-	-
Balance, Adjusted.....	\$2,143,159	\$1,770,176	\$1,282,232
REVENUES AND TRANSFERS			
Revenues:			
114100 Motor vehicle registration (weight fees)	752,101	813,500	839,000
125700 Other regulatory licenses and permits (permit revenues).....	8,405	8,950	9,129
141200 Sales of documents (materials)	939	1,150	1,173
150300 Income from surplus money investments	99,958	36,917	17,822
151200 Income from condemnation deposits fund investments	4,177	3,400	3,468
152200 Rentals of state property (real property income).....	40,893	35,000	36,000
152300 Miscellaneous revenue from use of property and money	19,446	18,000	18,000
161000 Escheat revenue	412	570	570
161400 Miscellaneous revenue.....	13,945	1,800	1,800
Totals, Revenues	\$940,276	\$919,287	\$926,962
Transfers from Other Funds:			
F00044 Motor Vehicle Account, STF per Government Code Section 16475 ...	3,673	3,050	3,050
F00056 Seismic Safety Retrofit Account per Item 2660-011-0056, Budget Act of 2002	-	-	13,048
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108	1,644,076	1,680,712	1,711,450
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Sections 2104.1 and 2107.6	5,000	4,876	4,876
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108	316,942	299,158	308,694
F00183 Environmental Enhancement and Mitigation Demo Program Fund per Item 2660-011-0183, Budget Act of 2002	-	-	10,185
Totals, Transfers from Other Funds	\$1,969,691	\$1,987,796	\$2,051,303
Transfers to Other Funds:			
T00001 General Fund per Item 2660-015-0042, Budget Act of 2002.....	-	-	-14,445
T00046 Public Transportation Account, State Transportation Fund per Item 2660-021-0042, Budget Acts of 2000, 2001, and 2002.....	-25,024	-25,400	-26,090
T00046 Public Transportation Account, State Transportation Fund per Streets and Highways Code Section 183.1	-102,723	-83,254	-59,920
T00183 Environmental Enhancement and Mitigation Demo Program Fund per Item 2660-022-0042, Budget Acts of 2000, 2001, and 2002	-10,000	-10,000	-10,000
T00308 Earthquake Risk Reduction Fund of 1996 per Item 6440-011-0042, Budget Acts of 2000, 2001, and 2002	-1,000	-1,000	-1,000
T00650 Toll Bridge Seismic Retrofit Account, State Transportation Fund per Item 2660-011-0042, Budget Act of 2000.....	-123,388	-	-
T02500 Pedestrian Safety Account, State Transportation Fund per Chapter 833, Statutes of 2000	-8,000	-	-
T03007 Traffic Congestion Relief Fund Loan per Item 2660-013-0042 Budget Act of 2002 ³	-	-	-474,000
Totals, Transfers to Other Funds	-\$270,135	-\$119,654	-\$585,455
Totals, Revenues and Transfers	\$2,639,832	\$2,787,429	\$2,392,810
Totals, Resources	\$4,782,991	\$4,557,605	\$3,675,042
EXPENDITURES			
Disbursements:			
1730 Franchise Tax Board (State Operations)	-	1	1
2600 California Transportation Commission (State Operations).....	322	475	1,506
2660 Department of Transportation:			
State Operations.....	1,960,560	2,136,231	2,086,701
Local Assistance	617,106	357,857	332,917
Capital Outlay	366,789	561,105	914,510
2665 High-Speed Rail Authority (State Operations)	-	-	7,000
2720 California Highway Patrol:			
State Operations.....	23,640	24,466	27,238
Capital Outlay	-	-	3,007
2740 Department of Motor Vehicles:			
State Operations.....	40,276	42,616	40,056
Capital Outlay	33	1,234	524

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
3480 Department of Conservation:			
State Operations.....	\$11	\$12	\$12
8570 Department of Food and Agriculture (Capital Outlay).....	578	4,172	10,034
8660 Public Utilities Commission:			
State Operations.....	2,134	2,608	2,524
9350 Shared Revenue (9535):			
Local Assistance:			
Cities and counties, including a city and county, per Chapter 113, Statutes of 2001	-	144,042	161,664
9625 Interest Payments to the Federal Government:			
State Operations.....	468	500	500
9670 Legislative Claims:			
State Operations.....	898	54	-
9900 Statewide General Administrative Expenditures (Pro Rata) (Local Assistance).....	-	-	3,320
Totals, Disbursements	\$3,012,815	\$3,275,373	\$3,591,514
FUND BALANCE.....	\$1,770,176	\$1,282,232	\$83,528
Reserve for economic uncertainties	1,770,176	1,282,232	83,528

¹ This fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

² Expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.

³ This loan to the Traffic Congestion Relief Fund will be repaid when required to support State Highway Account cash flow and will not adversely affect the programs supported by this fund.

0045 Bicycle Transportation Account,
State Transportation Fund ^{s 1}

BEGINNING BALANCE.....	\$1,465	\$719	\$1,094
Prior year adjustments	14	-	-
Balance, Adjusted.....	\$1,479	\$719	\$1,094
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments	239	375	519
Transfers from Other Funds:			
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2106	500	7,200	7,200
Totals, Revenues and Transfers.....	\$739	\$7,575	\$7,719
Totals, Resources	\$2,218	\$8,294	\$8,813
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations.....	9	10	10
Local Assistance	1,490	7,190	7,190
9900 Statewide General Administrative Expenditures (Pro Rata) (Local Assistance).....	-	-	10
Totals, Disbursements	\$1,499	\$7,200	\$7,210
FUND BALANCE.....	\$719	\$1,094	\$1,603
Reserve for economic uncertainties	719	1,094	1,603

¹ This fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
0046 Public Transportation Account, State Transportation Fund^{s 1, 2}			
BEGINNING BALANCE.....	\$132,653	\$259,294	\$80,805
Prior year adjustments	1,689	-	-
Balance, Adjusted.....	\$134,342	\$259,294	\$80,805
REVENUES AND TRANSFERS			
Revenues:			
114900 Retail sales and use taxes.....	233,849	237,306	230,715
150300 Income from surplus money investments.....	12,079	4,220	2,629
Totals, Revenues	\$245,928	\$241,526	\$233,344
Transfers from Other Funds:			
F00041 Aeronautics Account, State Transportation Fund per Item 2660-011-0041, Budget Acts of 2000, 2001, and 2002.....	30	30	30
F00042 State Highway Account, State Transportation Fund per Item 2660-021-0042, Budget Acts of 2000, 2001, and 2002.....	25,024	25,400	26,090
F00042 Highway Account, State Transportation Fund per Streets and Highways Code Section 183.1.....	102,723	83,254	59,920
Totals, Transfers from Other Funds	\$127,777	\$108,684	\$86,040
Transfers to Other Funds:			
T03007 Traffic Congestion Relief Fund Loan per Item 2660-011-0046, Budget Acts of 2001 and 2002.....	-	-180,000	-100,000
Totals, Transfers to Other Funds	-	-\$180,000	-\$100,000
Totals, Revenues and Transfers	\$373,705	\$170,210	\$219,384
Totals, Resources	\$508,047	\$429,504	\$300,189
EXPENDITURES			
Disbursements:			
2600 California Transportation Commission:			
State Operations.....	1,397	1,442	1,347
2640 Special Transportation Programs:			
Local Assistance	115,912	171,000	115,358
2660 Department of Transportation:			
State Operations.....	112,964	129,137	125,459
Local Assistance	14,851	41,618	2,821
Capital Outlay	-	1,072	24,916
2665 High-Speed Rail Authority:			
State Operations.....	1,026	1,057	971
6440 University of California:			
State Operations.....	956	956	980
8660 Public Utilities Commission:			
State Operations.....	1,647	2,417	2,322
9900 Statewide General Administrative Expenditures (Pro Rata) (Local Assistance).....	-	-	140
Totals, Expenditures	\$248,753	\$348,699	\$274,314
FUND BALANCE.....	\$259,294	\$80,805	\$25,875
Reserve for unencumbered balance of continuing appropriations	259,294	80,805	25,875

¹ This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

² Pursuant to proposed budget trailer bill, expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
0052 Local Airport Loan Account^s			
BEGINNING BALANCE.....	\$3,466	\$5,780	\$3,844
Prior year adjustments	-30	-	-
Balance, Adjusted.....	\$3,436	\$5,780	\$3,844
REVENUES AND TRANSFERS			
Revenues:			
131200 Interest on loans to local agencies.....	1,464	784	682
150300 Income from surplus money investments.....	217	130	113
Totals, Revenues and Transfers.....	\$1,681	\$914	\$795
Totals, Resources	\$5,117	\$6,694	\$4,639
EXPENDITURES			
Disbursements:			
2660 Department of Transportation (Local Assistance).....	1,482	4,000	4,000
Expenditure Reductions:			
2660 Department of Transportation (Local Assistance):			
Loan repayments from local agencies	-2,145	-1,150	-1,150
9900 Statewide General Administrative Expenditures (Pro Rata) (Local Assistance).....	-	-	19
Totals, Expenditures	-\$663	\$2,850	\$2,869
FUND BALANCE.....	\$5,780	\$3,844	\$1,770
Reserve for economic uncertainties	5,780	3,844	1,770
0056 Seismic Safety Retrofit Account, State Transportation Fund^{s 4}			
BEGINNING BALANCE.....	\$9,891	\$12,451	\$13,048
Prior year adjustments	1,587	-	-
Balance, Adjusted.....	\$11,478	\$12,451	\$13,048
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments.....	973	597	-
Transfers to Other Funds:			
T00042 State Highway Account, State Transportation Fund per Item			
2660-011-0056, Budget Act of 2002	-	-	-13,048
Totals, Revenues and Transfers.....	\$973	\$597	-\$13,048
Totals, Resources	\$12,451	\$13,048	-
FUND BALANCE.....	\$12,451	\$13,048	-
Reserve for economic uncertainties	12,451	13,048	-
⁴ Balances reflect proposed trailer bill to eliminate fund and transfer encumbered and unencumbered balance to the State Highway Account. Any remaining encumbrances will be paid from the State Highway Account.			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund^{s 1}			
BEGINNING BALANCE.....	\$181,092	-	\$2,790
Prior year adjustments	-163,601	-	-
Balance, Adjusted.....	\$17,491	-	\$2,790
REVENUES AND TRANSFERS			
Revenues:			
113800 Motor vehicle fuel tax (gasoline).....	2,677,001	2,713,982	2,759,674
113900 Jet fuel tax	2,716	2,500	2,600
114000 Motor vehicle fuel tax (diesel).....	462,425	467,125	481,986
125700 Other regulatory licenses and permits.....	270	278	295
150300 Income from surplus money investments.....	5,708	3,750	3,750
161000 Escheat of unclaimed checks and warrants.....	95	122	130
Totals, Revenues	\$3,148,215	\$3,187,757	\$3,248,435

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
Transfers to Other Funds:			
T00041 Aeronautics Account, State Transportation Fund per Section 8352.3, Revenue and Taxation Code	-\$6,736	-\$6,750	-\$6,725
T00062 Highway Users' Tax Account, Transportation Tax Fund per Section 8353, Revenue and Taxation Code.....	-3,038,893	-3,057,211	-3,115,958
T00111 Agriculture Account, Agriculture Fund per Section 8352.5, Revenue and Taxation Code.....	-26,116	-23,338	-23,341
T00263 Off-Highway Vehicle Fund per Section 8352.6, Revenue and Taxation Code.....	-22,067	-20,360	-20,948
T00265 Conservation and Enforcement Services Account, Off-Highway Vehicle Fund per Section 8352.8, Revenue and Taxation Code ..	-10,869	-10,040	-10,326
T00392 Parks and Recreation Account, State Parks and Recreation Fund per Item 3790-012-0061, Budget Acts of 2000, 2001, and 2002.....	-11,649	-11,649	-26,649
Totals, Transfers to Other Funds	-\$3,116,330	-\$3,129,348	-\$3,203,947
Totals, Revenues and Transfers	\$31,885	\$58,409	\$44,488
Totals, Resources	\$49,376	\$58,409	\$47,278
EXPENDITURES			
Disbursements:			
0840 State Controller:			
State Operations.....	2,826	3,090	3,082
0860 State Board of Equalization:			
State Operations.....	14,783	19,978	20,439
3680 Department of Boating and Waterways:			
Unclassified.....	31,757	32,551	18,365
9670 Legislative Claims	10	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (Local Assistance).....	-	-	293
Totals, Expenditures	\$49,376	\$55,619	\$42,179
FUND BALANCE.....	-	\$2,790	\$5,099
Reserve for economic uncertainties	-	2,790	5,099

¹ This fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

**0183 Environmental Enhancement and Mitigation
Demonstration Program Fund ^s**

BEGINNING BALANCE.....	\$8,709	\$11,841	\$12,168
Prior year adjustments	1,505	-	-
Balance, Adjusted.....	\$10,214	\$11,841	\$12,168
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments	1,747	451	85
Transfers from Other Funds:			
F00042 State Highway Account, State Transportation Fund per Item 2660-022-0042, Budget Acts of 2000, 2001, and 2002.....	10,000	10,000	10,000
Transfers to Other Funds:			
T00042 State Highway Account, State Transportation Fund per Item 2660-011-0183, Budget Act of 2002 ^s	-	-	-10,185
Totals, Transfers to Other Funds	-	-	-\$10,185
Totals, Revenues and Transfers	\$11,747	\$10,451	-\$100
Totals, Resources	\$21,961	\$22,292	\$12,068

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
EXPENDITURES			
Disbursements:			
0540 (3030) Secretary for Resources:			
State Operations.....	\$120	\$124	\$124
2660 Department of Transportation:			
Local Assistance.....	10,000	10,000	11,800
9900 Statewide General Administrative Expenditures (Pro Rata) (Local Assistance).....	-	-	67
Totals, Expenditures.....	\$10,120	\$10,124	\$11,991
FUND BALANCE.....	\$11,841	\$12,168	\$77
Reserve for economic uncertainties.....	11,841	12,168	77
⁵ Transfer of interest earnings to State Highway Account.			
0365 Historic Property Maintenance Fund ^s			
BEGINNING BALANCE.....	\$600	\$2,133	\$27
Prior year adjustments.....	83	-	-
Balance, Adjusted.....	\$683	\$2,133	\$27
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investment fund.....	-	55	52
152200 Rentals of state property.....	1,450	1,539	1,634
Totals, Revenues and Transfers.....	\$1,450	\$1,594	\$1,686
Totals, Resources.....	\$2,133	\$3,727	\$1,713
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations.....	-	3,700	1,500
Totals, Expenditures.....	-	\$3,700	\$1,500
FUND BALANCE.....	\$2,133	\$27	\$213
Reserve for economic uncertainties.....	2,133	27	213
0608 Equipment Service Fund ⁿ			
BEGINNING BALANCE.....	-	\$14,313	\$19,418
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from surplus money investments fund.....	\$2,812	1,400	1,200
299000 Other (Program Rental).....	160,699	155,860	160,500
200500 External (Local Government).....	-	150	150
Totals, Revenues.....	\$163,511	\$157,410	\$161,850
Totals, Resources.....	\$163,511	\$171,723	\$181,268
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations.....	171,096	173,287	164,697
Totals, Disbursements.....	\$171,096	\$173,287	\$164,697
Expenditure Reductions:			
Less funding provided by State Highway Account (State Operations).....	-21,898	-20,982	-10,356
Totals, Expenditure Reductions.....	-\$21,898	-\$20,982	-\$10,356
Totals, Expenditures.....	\$149,198	\$152,305	\$154,341
FUND BALANCE.....	\$14,313	\$19,418	\$26,927

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
0650 Toll Bridge Seismic Retrofit Account			
State Transportation Fund ^{s 6}			
BEGINNING BALANCE.....	\$10,602	\$395,235	\$109,633
Prior year adjustments	310,344	-	-
Balance, Adjusted.....	\$320,946	\$395,235	\$109,633
REVENUES AND TRANSFERS			
Revenues:			
131700 Miscellaneous revenue from local agencies	5,807	-	-
142500 Miscellaneous services to the public	123,387	123,400	124,500
150600 Income from other investments	21,309	9,587	2,131
161400 Miscellaneous revenue (proceeds from interim financing).....	-	-	210,049
Totals, Revenues	\$150,503	\$132,987	\$336,680
Transfers from Other Funds:			
F00042 State Highway Account, State Transportation Fund per Item 2660-011-0042, Budget Act of 2000.....	123,388	-	-
F00596 Vincent Thomas Toll Revenue Fund per Streets and Highways Code Section 188.5	-	-	6,500
Totals, Transfers from Other Funds	\$123,388	-	\$6,500
Totals, Revenues and Transfers	\$273,891	\$132,987	\$343,180
Totals, Resources	\$594,837	\$528,222	\$452,813
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations.....	83,331	122,767	122,133
Capital Outlay	116,271	295,822	330,680
Totals, Disbursements.....	\$199,602	\$418,589	\$452,813
FUND BALANCE.....	\$395,235	\$109,633	-
Reserve for encumbrances	395,235	109,633	-

⁶ Expenditures in this fund are displayed on a cash basis pursuant to pending legislation, and consistent with the financing plan established by Chapter 907, Statutes of 2001 (AB 1171). Prior year adjustment reflects this change.

0653 Seismic Retrofit Bond Fund of 1996 ^b

BEGINNING BALANCE.....	-	-	-
Prior year adjustments	\$29,510	-	-
Balance, Adjusted.....	\$29,510	-	-
REVENUES AND TRANSFERS			
Operating Revenues:			
520000 Proceeds from sale of bonds	341,453	\$101,137	\$55,684
250300 Income from SMIF.....	10,580	12,720	7,096
Totals, Operating Revenues and Transfers.....	\$352,033	\$113,857	\$62,780
Totals, Resources	\$381,543	\$113,857	\$62,780
EXPENDITURES ⁷			
Disbursements:			
2660 Department of Transportation:			
State Operations:			
Phase 2	18,974	16,601	19,253
Interest PMIB Loans	14,309	12,381	8,474
Totals, State Operations	\$33,283	\$28,982	\$27,727
Capital Outlay:			
Phase 2	76,335	84,875	35,000
Toll.....	271,925	-	-
Totals, Capital Outlay	\$348,260	\$84,875	\$35,000

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
9900 Statewide General Administrative Expenditures (Pro Rata) (Local Assistance).....	-	-	\$53
Totals, Expenditures	\$381,543	\$113,857	\$62,780
FUND BALANCE.....	-	-	-
⁷ Expenditures in this fund are fully accrued to the year of encumbrance and do not reflect the modified cash methodology used to account for State Highway Account capital expenditures.			
0987 Consolidated Toll Bridge Funds ^u			
BEGINNING BALANCE.....	\$55,260	\$33,330	\$28,137
Prior year adjustments	-5,531	-	-
Balance, Adjusted.....	\$49,729	\$33,330	\$28,137
REVENUES AND TRANSFERS			
Operating Revenues:			
211000 Services to public (Sec. 211000).....	7,025	7,095	-
215000 Income from investments (Sec. 215000).....	857	283	53
Totals, Operating Revenues	\$7,882	\$7,378	\$53
Transfers to Other Funds:			
T00650 Toll Bridge Seismic Retrofit Account per Streets and Highways Code Section 188.5.....	-	-	-6,500
T00049 Toll Bridge Revenues Account per Sec. 30796.7.....	-12,175	-6,386	-
T00049 Toll Bridge Revenue Account per Ch. 328, Stats. 1997	-3,611	-	-
Totals, Transfers to Other Funds	-\$15,786	-\$6,386	-\$6,500
Totals, Operating Revenues and Transfers.....	-\$7,904	\$992	-\$6,447
Totals, Resources	\$41,825	\$34,322	\$21,690
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations.....	46	-	-
Totals, Disbursements.....	\$46	-	-
Other Disbursements:			
Debt Service and Other	8,449	6,185	4,064
Totals, Other Disbursements	\$8,449	\$6,185	\$4,064
Totals, Expenditures.....	\$8,495	\$6,185	\$4,064
FUND BALANCE.....	\$33,330	\$28,137	\$17,626
2500 Pedestrian Safety Account, State Transportation Fund ^s			
BEGINNING BALANCE.....	-	\$8,000	\$2,190
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from Surplus Money Investments.....	-	190	131
Transfers from Other Funds:			
F00042 State Highway Account, State Transportation Fund, per Chapter 833, Statutes of 2000	\$8,000	-	-
Totals, Revenues and Transfers.....	\$8,000	\$190	\$131
Totals, Resources	\$8,000	\$8,190	\$2,321
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
Local Assistance	-	6,000	2,000
Totals, Expenditures.....	-	\$6,000	\$2,000
FUND BALANCE.....	\$8,000	\$2,190	\$321
Reserve for economic uncertainties	8,000	2,190	321

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
STATE BUILDING PROGRAM EXPENDITURES			
3007 Traffic Congestion Relief Fund ^{s 2}			
BEGINNING BALANCE.....	-	\$1,482,404	\$937,055
REVENUES AND TRANSFERS			
Revenues:			
114900 Retail Sales and Use Taxes.....	\$500,000	-	-
Totals, Revenues	\$500,000	-	-
Transfers from Other Funds:			
F00042 State Highway Account, State Transportation Fund Loan per Item 2660-013-0042 Budget Act of 2002	-	-	474,000
F00046 Public Transportation Account Loan per Item 2660-011-0046, Budget Acts of 2001 and 2002	-	180,000	100,000
Totals, Transfers from Other Funds	-	\$180,000	\$574,000
Transfers to Other Funds:			
T00001 General Fund Loan per Item 2660-011-3007, Budget Acts of 2001 and 2002 ⁸	-	-238,000	-672,000
Totals, Transfers to Other Funds	-	-\$238,000	-\$672,000
Totals, Revenues and Transfers	\$500,000	-\$58,000	-\$98,000
Totals, Resources	\$500,000	\$1,424,404	\$839,055
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations.....	-	7,712	37,927
Local Assistance	169,474	391,982	645,635
Capital Outlay	3,122	87,655	137,258
2665 High-Speed Rail Authority:			
State Operations.....	5,000	-	-
Totals, Disbursements.....	\$177,596	\$487,349	\$820,820
Apportionments:			
9350 Shared Revenues, Apportionment of Traffic Congestion Relief Fund (9533):			
Local Assistance:			
To Counties, including a city and county (Streets and Highways Code Section 2182(a)(1))	200,000	-	-
To Cities, including a city and county (Streets and Highways Code Section 2182(a)(2))	200,000	-	-
Totals, Apportionments.....	\$400,000	-	-
Expenditure Reductions:			
2660 Department of Transportation:			
Less funding provided by General Fund (Capital Outlay).....	-1,500,000	-	-
Totals, Expenditure Reductions	-\$1,500,000	-	-
Totals, Expenditures	-\$922,404	\$487,349	\$820,820
Less funding provided by State Highway Account (State Operations) per Item 2660-401/01	-60,000	-	-
FUND BALANCE.....	\$1,482,404	\$937,055	\$18,235
Reserve for economic uncertainties	1,482,404	937,055	18,235

² Pursuant to proposed budget trailer bill, expenditures in this fund are reflected on a modified cash basis consistent with the State Transportation Improvement Program Fund Estimate.

⁸ The 2002-03 loan is one of the proposed solutions to the General Fund shortfall. It is not intended to adversely affect the programs supported by this fund.

CHANGES IN AUTHORIZED POSITIONS							
	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*	
Totals, Authorized Positions	22,752.6	24,397.6	24,261.5	\$1,266,552	\$1,396,231	\$1,411,541	
Workload and Administrative Adjustments:							
Reductions in Authorized Positions:							
District 11-San Diego:							
Operations:				Salary Range			
Toll Capt	-	-	-1.0	3,581-4,353	-	-52	
Toll Lieut	-	-	-1.0	3,120-3,793	-	-46	

* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
1				Salary Range		
2				\$2,732-3,321		-\$239
3				2,408-2,924		-456
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* Dollars in thousands, except in Salary Range.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
1						
2						
3						
4						
5	Operations:			Salary Range		
6	Transp Engr-Civil	-	-	1.0	\$3,747-4,550	-
7	Transp Engr Techn	-	-	0.5	2,903-3,527	-
8	District 07-Los Angeles:					
9	Maintenance:					
10	Caltrans Landscape Spec	-	-	1.0	4,208-5,071	-
11	Caltrans Maint Area Supt	-	-	0.5	4,208-5,071	-
12	Caltrans Maint Supvr	-	-	1.0	3,186-3,833	-
13	Caltrans Hwy Maint Leadworker	-	-	3.0	3,190-3,639	-
14	Caltrans Equipt Opr II	-	-	11.5	3,043-3,330	-
15	Caltrans Hwy Maint Worker	-	-	4.0	2,557-2,776	-
16	Operations:					
17	Sr Transp Engr-Caltrans	-	-	1.0	5,087-6,181	-
18	Transp Engr-Civil	-	-	7.0	3,747-5,118	-
19	Transp Engr Techn	-	-	0.5	2,903-3,527	-
20	District 08-San Bernardino:					
21	Maintenance:					
22	Caltrans Landscape Spec	-	-	0.5	4,208-5,071	-
23	Caltrans Maint Area Supt	-	-	0.5	4,208-5,071	-
24	Caltrans Hwy Maint Leadworker	-	-	2.0	3,190-3,639	-
25	Caltrans Equipt Opr II	-	-	8.5	3,043-3,330	-
26	Caltrans Hwy Maint Worker	-	-	3.0	2,557-2,776	-
27	Operations:					
28	Transp Engr-Civil	-	-	1.0	3,747-4,550	-
29	Transp Engr Techn	-	-	0.5	2,903-3,527	-
30	District 09-Bishop:					
31	Maintenance and Operations:					
32	Transp Engr-Civil	-	-	1.5	3,747-5,118	-
33	Caltrans Landscape Spec	-	-	0.5	4,208-5,071	-
34	Caltrans Maint Area Supt	-	-	0.5	4,208-5,071	-
35	Caltrans Equipt Opr II	-	-	5.5	3,043-3,330	-
36	Caltrans Hwy Maint Worker	-	-	2.0	2,557-2,776	-
37	District 10-Stockton:					
38	Maintenance and Operations:					
39	Transp Engr-Civil	-	-	3.0	3,747-5,118	-
40	Caltrans Landscape Spec	-	-	0.5	4,208-5,071	-
41	Caltrans Maint Area Supt	-	-	0.5	4,208-5,071	-
42	Transp Engr Techn	-	-	1.0	2,903-3,527	-
43	Caltrans Equipt Opr II	-	-	7.0	3,043-3,330	-
44	Caltrans Hwy Maint Worker	-	-	2.0	2,557-2,776	-
45	District 11-San Diego:					
46	Maintenance:					
47	Caltrans Landscape Spec	-	-	0.5	4,208-5,071	-
48	Caltrans Maint Area Supt	-	-	0.5	4,208-5,071	-
49	Caltrans Hwy Maint Leadworker	-	-	2.0	3,190-3,639	-
50	Caltrans Equipt Opr II	-	-	10.0	3,043-3,330	-
51	Caltrans Hwy Maint Worker	-	-	3.0	2,557-2,776	-
52	Operations:					
53	Transp Engr-Civil	-	-	4.1	3,747-5,118	-
54	Transp Engr Techn	-	-	1.0	2,903-3,527	-
55	District 12-Santa Ana:					
56	Maintenance:					
57	Caltrans Landscape Spec	-	-	0.5	4,208-5,071	-
58	Transp Engr-Civil	-	-	1.0	3,747-4,550	-
59	Caltrans Equipt Opr II	-	-	5.0	3,043-3,330	-
60	Caltrans Hwy Maint Worker	-	-	2.0	2,557-2,776	-
61	Operations:					
62	Transp Engr-Civil	-	-	1.0	4,213-5,118	-
63	Transp Engr Techn	-	-	1.0	2,903-3,527	-
64	Totals, Proposed New Positions ...	-	-	233.3	-	-
65	Total Adjustments	-	-	211.8	-	-
66	TOTALS, SALARIES AND WAGES	22,752.6	24,397.6	24,473.3	\$1,266,552	\$1,396,231
67						\$1,420,947

¹ 15.6 positions limited-term; effective 7/1/02-12/31/02.

2665 HIGH-SPEED RAIL AUTHORITY

The High-Speed Rail Authority was created by Chapter 796, Statutes of 1996, to direct the development and implementation of intercity high-speed rail service that is fully coordinated with other public transportation services. The Authority is required to prepare a plan for the construction and operation of a high-speed train network for the state, consistent with and continuing the work of the Intercity High-Speed Rail Commission conducted prior to January 1, 1997, and submit the plan to the Legislature and Governor or to the voters for approval. The Authority was scheduled to sunset on June 30, 2001, unless the plan was approved.

Chapter 791, Statutes of 2000, extended the Authority until December 31, 2003, reconstituted the Authority's membership, and continued the requirement to prepare the high-speed rail plan contingent upon a Budget Act appropriation for that purpose.

Major Budget Adjustment Proposed for 2002-03:

- \$7,000,000 for the continuing costs of environmental impact studies.

Authority

Public Utilities Code, Division 19.5 (commencing with Section 185000).
As amended by Chapter 791, Statutes of 2000 (AB 1703).

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
High-Speed Rail Authority	4.8	5.7	5.7	\$6,026	\$3,576	\$8,469
0042 State Highway Account, State Transportation Fund				-	-	7,000
0046 Public Transportation Account, State Transportation Fund ^s				1,026	1,057	971
0995 Reimbursements				-	2,519	498
3007 Traffic Congestion Relief Fund ^s				5,000	-	-

**SUMMARY BY OBJECT
1 STATE OPERATIONS**

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch 7A)	4.8	6.0	6.0	\$453	\$504	\$508
Estimated Salary Savings	-	-0.3	-0.3	-	-25	-25
Net Totals, Salaries and Wages	4.8	5.7	5.7	\$453	\$479	\$483
Staff Benefits	-	-	-	48	66	67
Totals, Personal Services	4.8	5.7	5.7	\$501	\$545	\$550
OPERATING EXPENSES AND EQUIPMENT				\$5,525	\$3,031	\$7,919
TOTALS, EXPENDITURES				\$6,026	\$3,576	\$8,469

**RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS**

0042 State Highway Account, State Transportation Fund^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	-	-	\$7,000
TOTALS, EXPENDITURES	-	-	\$7,000

0046 Public Transportation Account, State Transportation Fund^s

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$1,021	\$1,047	\$971
Allocation for employee compensation	9	-	-
Adjustment per Section 3.60	-3	14	-
Adjustment per Section 4.00	-	-4	-
Totals Available	\$1,027	\$1,057	\$971
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$1,026	\$1,057	\$971

0995 Reimbursements

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
Reimbursements	-	\$2,519	\$498

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

2665 HIGH-SPEED RAIL AUTHORITY—Continued

3007 Traffic Congestion Relief Fund

5	APPROPRIATIONS	2000-01*	2001-02*	2002-03*
6	Chapter 91, Statutes of 2000.....	\$5,000	-	-
8	TOTALS, EXPENDITURES	\$5,000	-	-
10	TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$6,026	\$3,576	\$8,469

2700 OFFICE OF TRAFFIC SAFETY

The Office of Traffic Safety administers the California Traffic Safety Program. Under the provisions of State law, the office conducts a wide range of activities designed to reduce deaths, injuries and property damage that result from traffic accidents. Activities include: (1) developing the California Highway Safety Plan, which identifies major traffic safety problems, appropriate countermeasure programs and available state and federal funds; (2) administering funds to state and local governmental entities in the form of project grants; and (3) coordinating statewide traffic safety programs and activities.

The nine priority areas of traffic safety are alcohol and drugs, occupant protection, pedestrian and bicycle safety, traffic records, emergency medical services, roadway safety, police traffic services, motorcycle safety and speed control.

Major Budget Adjustment Proposed in 2001-02 and Proposed for 2002-03:

- An increase of \$41,883,000 National Highway Traffic Safety Administration funds to support driving-under-the-influence program activities.

Authority

Vehicle Code, Division 2, Chapter 5.

SUMMARY OF PROGRAM REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 California Traffic Safety Program	27.3	32.0	32.0	\$73,231	\$84,616	\$84,564
TOTALS, PROGRAMS.....	27.3	32.0	32.0	\$73,231	\$84,616	\$84,564
0044 Motor Vehicle Account, State Transportation Fund				348	377	365
0890 Federal Trust Fund				72,883	84,239	84,199

SUMMARY BY OBJECT 1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	27.3	33.0	33.0	\$1,388	\$1,625	\$1,660
Estimated Salary Savings	-	-1.0	-1.0	-	-48	-49
Net Totals, Salaries and Wages	27.3	32.0	32.0	\$1,388	\$1,577	\$1,611
Staff Benefits	-	-	-	262	333	343
Totals, Personal Services	27.3	32.0	32.0	\$1,650	\$1,910	\$1,954
OPERATING EXPENSES AND EQUIPMENT				\$2,628	\$2,683	\$2,610
SPECIAL ITEMS OF EXPENSE				39,325	53,639	53,616
TOTALS, EXPENDITURES				\$43,603	\$58,232	\$58,180

RECONCILIATION WITH APPROPRIATIONS 1 STATE OPERATIONS

0044 Motor Vehicle Account, State Transportation Fund ^s

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$360	\$371	\$365
Allocation for employee compensation	2	-	-
Adjustment per Section 3.60	-2	7	-
Adjustment per Section 4.00	-	-1	-
Totals Available	\$360	\$377	\$365
Unexpended balance, estimated savings	-12	-	-
TOTALS, EXPENDITURES	\$348	\$377	\$365

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

2700 OFFICE OF TRAFFIC SAFETY—Continued

0890 Federal Trust Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation	\$24,762	\$24,955	\$57,815
Allocation for employee compensation	14	-	-
Adjustment per Section 3.60	-16	49	-
Adjustment per Section 4.00	-	-4	-
Budget Adjustment	18,495	32,855	-
TOTALS, EXPENDITURES	\$43,255	\$57,855	\$57,815
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$43,603	\$58,232	\$58,180

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE
0890 Federal Trust Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
101 Budget Act appropriation	\$17,355	\$17,355	\$26,384
Budget Adjustment	12,273	9,029	-
TOTALS, EXPENDITURES	\$29,628	\$26,384	\$26,384
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$29,628	\$26,384	\$26,384
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$73,231	\$84,616	\$84,564

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL

The California Highway Patrol ensures the safe, convenient, and efficient transportation of people and goods across the state's highway system.

The Motor Vehicle Account is the major funding source for the Department of Motor Vehicles and the California Highway Patrol. In recent years, the cost of the California Highway Patrol's retirement system was funded entirely from extra stock market investment earning. In the last two years, not only have the extra earnings not occurred, but also the investments have lost value so that the CHP's system is no longer fully funded. Retirement rates have been increased to make up for the lost value, which has increased costs to the Motor Vehicle Account by over \$100 million per year. The programs below include budget reductions to respond to this problem. (Reductions from the Motor Vehicle Account are also proposed for the Department of Motor Vehicles and the Air Resources Board. In addition, revenue increases are proposed to the Account that include increasing late registration penalties.)

SUMMARY OF PROGRAM

REQUIREMENTS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Traffic Management.....	8,720.4	8,899.7	9,011.4	\$849,889	\$945,031	\$1,032,652
20 Regulation and Inspection	970.1	1,000.0	1,119.1	94,118	106,736	129,885
30 Vehicle Ownership Security	298.6	304.8	304.8	21,397	26,791	28,802
40.01 Administration	1,770.1	1,806.5	1,810.5	111,265	134,791	137,242
40.02 Distributed Administration	-1,770.1	-1,806.5	-1,810.5	-111,265	-134,791	-137,242
TOTALS, PROGRAMS.....	9,989.1	10,204.5	10,435.3	\$965,404	\$1,078,558	\$1,191,339
0001 General Fund.....				36,582	600	-
0042 State Highway Account, State Transportation Fund.....				23,640	24,466	27,238
0044 Motor Vehicle Account, State Transportation Fund				864,887	932,018	1,082,470
Less funding provided by the General Fund.....				-33,546	-	-
Less funding provided by the Federal Trust Fund				-	-	-89,590
0292 Motor Carrier Permit Fund.....				1,233	1,720	1,738
0293 Motor Carrier Safety Improvement Fund.....				1,115	1,186	1,135
0840 California Motorcyclist Safety Fund				980	1,123	1,157
0890 Federal Trust Fund				8,841	50,427	101,577
0942 Hazardous Substance Account, Special Deposit Fund				6	200	200
0942 Asset Forfeiture Account, Special Deposit Fund.....				782	2,002	2,002
0974 California Peace Officer Memorial Foundation Fund				-	221	400
0995 Reimbursements				60,884	64,595	63,012

10 TRAFFIC MANAGEMENT

Program Objectives Statement

The objectives of this program are to minimize deaths, injuries, and property losses due to traffic accidents; to minimize traffic delays to the motoring public; and to provide protection and assistance to the motoring public, State employees and property.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
* Dollars in thousands, except in Salary Range.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

Major Budget Adjustments Proposed in 2001–02:

- \$35,870,000 from federal funds for overtime and increased flight operations to provide an increased level of security for State facilities and employees, as well as other facilities and locations that may be threatened by terrorist acts.
- \$16,055,000 for this program’s prorated share of employee retirement cost increases.

Major Budget Adjustments Proposed for 2002–03:

- Motor Vehicle Account expenditure reductions
 - \$1,799,000 (ongoing) for General Expense reductions.
 - \$1,349,000 (ongoing) to reflect gasoline cost reductions.
 - \$3,148,000 for equipment reductions (including an ongoing \$500,000 for vehicle purchases).
 - \$1,349,000 (one-time) by deferring 50 percent of special repairs.
- \$72,554,000 in Motor Vehicle Account offset by federal funds are proposed for ongoing responses to the increased threat of terrorist acts as follows:
 - \$24,799,00 for overtime for 60 days per year for twelve-hour shifts on high-alert days and \$5,129,000 for auxiliary costs.
 - \$5,700,000 for increased air patrol using the existing fleet.
 - \$20,615,000 for expanded air patrol of the State’s infrastructure and improved emergency response including \$15,500,000 one-time for five new helicopters, and \$5,115,000 for four crews (24 personnel years) and flight operations and maintenance.
 - \$2,797,000 for 35 officers to protect State facilities including the Capitol and the TransBay Terminal.
 - \$959,000 and 12 officers to protect state health labs.
 - \$4,848,000 for 44 officers to protect bridges, nuclear power plants, and other landmarks and public facilities.
 - \$1,918,000 for 24 officers staffing emergency information and command operations and participating in multi-agency task forces.
 - \$3,283,000 and 27 supervisors and support staff related to the additional patrol officers proposed.
 - \$2,506,000 (one-time) for specialized protective equipment.
- \$3,836,000 (reimbursements) for highway safety grants approved by the Office of Traffic Safety.
- \$16,055,000 for this program’s prorated share of employee retirement cost increases.
- \$10,562,000 for this program’s share of additional worker’s compensation costs.
- \$2,120,000 for this program’s share of telecommunications infrastructure improvements.
- \$543,000 to convert 6 limited term positions for the El Protector Program to permanent positions.

Authority

Vehicle Code, Division 2, Chapter 2, Article 3.

20 REGULATION AND INSPECTION

Program Objectives Statement

This program: reduces the incidence of truck and bus accidents attributable to defective vehicle equipment, improper maintenance, loading or securement of cargo, or disqualified drivers; protects the public from spills of hazardous materials or specially regulated loads and from the improper operation of specified vehicles such as ambulances and armored cars; protects farm workers transported in farm labor vehicles and children transported in school buses; ensures that proper registration fees are paid; and protects highways from excessive weights. The goal is to inspect as many vehicles, loads, hazardous materials shipping facilities, and vehicle maintenance facilities as possible with the resources available.

Major Budget Adjustments Proposed in 2001–02:

- \$2,930,000 from federal funds for overtime during heightened security alert periods.
- \$1,685,000 for this program’s prorated share of employee retirement cost increases.

Major Budget Adjustments Proposed for 2002–03:

- Motor Vehicle Account expenditure reductions
 - \$151,000 (ongoing) for General Expense reductions.
 - \$113,000 (ongoing) for gasoline cost reductions.
 - \$264,000 (one-time) by deferring 10 percent of equipment replacement.
 - \$113,000 (one-time) for deferring 50 percent of special repairs.
- \$16,379,000 in Motor Vehicle Account offset by federal funds are proposed for ongoing responses to the increased threat of terrorist acts as follows:
 - \$14,411,000 and 150 officers to increase staffing at key truck inspections stations to a 24 hour, seven days per week schedule to increase surveillance for trucks carrying explosives and other potential weapons.
 - \$1,617,000 for overtime for 60 days per year for twelve-hour shifts on high-alert days and \$335,000 for auxiliary costs.
 - \$16,000 for the prorated cost of supervisors and support staff related to the additional patrol officers proposed.
- \$4,936,000 (federal funds) for highway safety grants.
- \$1,685,000 for this program’s prorated share of employee retirement cost increases.
- \$1,109,000 for this program’s share of additional worker’s compensation costs.
- \$178,000 for this program’s share of telecommunications infrastructure improvements.

Authority

Vehicle Code Sections 2416, 2417, 2501, 2510, 2512, 2802, 2804, 2805, 2807, 2807.1, 2813, 12519, 12522, 31401, 34500, et seq., 34501, Division 14.8; Education Code Section 39813; Administrative Code Section 14204.

* Dollars in thousands, except in Salary Range.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

30 VEHICLE OWNERSHIP SECURITY

Program Objectives Statement

This program protects the public from vehicle theft through (1) investigation and prosecution of the professional vehicle thief; (2) assistance and training of CHP and allied agency personnel; and (3) prevention of vehicle theft through public awareness and coordination with industry. The program also ensures that vehicles registered in California have an appropriate vehicle identification number attached.

Major Budget Adjustments Proposed in 2001-02:

- \$693,000 from federal funds for overtime during heightened security alert periods.
- \$378,000 for this program's prorated share of employee retirement cost increases.

Major Budget Adjustments Proposed for 2002-03:

- Motor Vehicle Account expenditure reductions
 - \$50,000 (ongoing) for General Expense reductions.
 - \$38,000 (ongoing) to reflect gasoline cost reductions.
 - \$88,000 (one-time) by deferring 10 percent of equipment replacement.
 - \$38,000 (one-time) by deferring 50 percent of the normal budget for special repairs to facilities.
- \$657,000 in Motor Vehicle Account offset by federal funds are proposed for ongoing responses to the increased threat of terrorist acts as follows:
 - \$539,000 for overtime for 60 days per year for twelve-hour shifts on high-alert days and \$112,000 for auxiliary costs.
 - \$6,000 for the prorated cost of supervisors and support staff related to the additional patrol officers proposed.
- \$378,000 for this program's prorated share of employee retirement cost increases.
- \$267,000 for this program's share of additional worker's compensation costs.
- \$59,000 for this program's share of telecommunications infrastructure improvements.

Authority

Vehicle Code Sections 2400 and 2805.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 TRAFFIC MANAGEMENT

State Operations:	2000-01*	2001-02*	2002-03*
0001 General Fund	\$33,546	-	-
0044 Motor Vehicle Account, State Transportation Fund	786,259	\$843,930	\$969,387
Less funding provided by the General Fund	-33,546	-	-
Less funding provided by the Federal Trust Fund	-	-	-72,554
0840 California Motorcyclist Safety Fund	980	1,123	1,157
0890 Federal Trust Fund	550	36,311	72,999
0942 Asset Forfeiture Account, Special Deposit Fund	391	1,001	1,001
0995 Reimbursements	58,673	61,845	60,262
Totals, State Operations	\$846,853	\$944,210	\$1,032,252
Local Assistance:			
0001 General Fund	3,036	600	-
0974 California Peace Officer Memorial Foundation Fund	-	221	400
Totals, Local Assistance	\$3,036	\$821	\$400
Totals, Program 10	\$849,889	\$945,031	\$1,032,652

ELEMENT REQUIREMENTS

10.10 Ground Operations	834,567	923,828	990,858
State Operations:			
0001 General Fund	33,546	-	-
0044 Motor Vehicle Account, State Transportation Fund	775,676	828,664	927,593
Less funding provided by the General Fund	-33,546	-	-
Less funding provided by the Federal Trust Fund	-	-	-46,239
0840 California Motorcyclist Safety Fund	980	1,123	1,157
0890 Federal Trust Fund	550	30,374	46,684
0942 Asset Forfeiture Account, Special Deposit Fund	391	1,001	1,001
0995 Reimbursements	53,934	61,845	60,262
Local Assistance:			
0001 General Fund	3,036	600	-
0974 California Peace Officer Memorial Foundation Fund	-	221	400
10.20 Flight Operations	15,322	21,203	41,794
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	10,583	15,266	41,794
Less funding provided by the Federal Trust Fund	-	-	-26,315
0890 Federal Trust Fund	-	5,937	26,315
0995 Reimbursements	4,739	-	-

* Dollars in thousands, except in Salary Range.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

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4	PROGRAM REQUIREMENTS			
5	20 REGULATION AND INSPECTION			
6				
7	State Operations:	2000-01*	2001-02*	2002-03*
8	0042 State Highway Account, State Transportation Fund	\$23,640	\$24,466	\$27,238
9	0044 Motor Vehicle Account, State Transportation Fund	58,792	64,855	87,145
10	Less funding provided by the Federal Trust Fund	-	-	-16,379
11	0292 Motor Carrier Permit Fund	1,233	1,720	1,738
12	0293 Motor Carrier Safety Improvement Fund	1,115	1,186	1,135
13	0890 Federal Trust Fund	8,092	13,101	27,600
14	0942 Hazardous Substance Account, Special Deposit Fund	6	200	200
15	0995 Reimbursements	1,240	1,208	1,208
16				
17	Totals, Program 20	\$94,118	\$106,736	\$129,885
18				
19	ELEMENT REQUIREMENTS			
20				
21	20.05 School Pupil Transportation Safety	6,593	7,550	7,928
22	State Operations:			
23	0044 Motor Vehicle Account, State Transportation Fund	6,543	7,211	7,840
24	Less funding provided by the Federal Trust Fund	-	-	-2
25	0890 Federal Trust Fund	-	251	2
26	0995 Reimbursements	50	88	88
27	20.10 Regulated Special Purpose Vehicles	1,446	1,580	1,718
28	State Operations:			
29	0044 Motor Vehicle Account, State Transportation Fund	1,446	1,580	1,718
30	20.15 Transportation of Hazardous Materials	5,618	6,553	6,874
31	State Operations:			
32	0044 Motor Vehicle Account, State Transportation Fund	5,550	6,139	6,674
33	Less funding provided by the Federal Trust Fund	-	-	-2
34	0890 Federal Trust Fund	-	214	2
35	0942 Hazardous Substance Account, Special Deposit Fund	6	200	200
36	0995 Reimbursements	62	-	-
37	20.20 Farm Labor Transportation Safety	3,953	3,590	3,430
38	State Operations:			
39	0044 Motor Vehicle Account, State Transportation Fund	3,953	3,590	3,430
40	20.25 Commercial Vehicle Inspection and Enforcement	54,356	60,541	81,695
41	State Operations:			
42	0042 State Highway Account, State Transportation Fund	23,640	24,466	27,238
43	0044 Motor Vehicle Account, State Transportation Fund	24,203	28,171	47,998
44	Less funding provided by the Federal Trust Fund	-	-	-16,373
45	0292 Motor Carrier Permit Fund	1,233	1,720	1,738
46	0293 Motor Carrier Safety Improvement Fund	1,115	1,186	1,135
47	0890 Federal Trust Fund	3,037	3,878	18,839
48	0995 Reimbursements	1,128	1,120	1,120
49	20.45 Motor Carrier Safety Operations	22,152	26,922	28,240
50	State Operations:			
51	0044 Motor Vehicle Account, State Transportation Fund	17,097	18,164	19,485
52	Less funding provided by the Federal Trust Fund	-	-	-2
53	0890 Federal Trust Fund	5,055	8,758	8,757
54				
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57	PROGRAM REQUIREMENTS			
58	30 VEHICLE OWNERSHIP SECURITY			
59				
60	State Operations:			
61	0044 Motor Vehicle Account, State Transportation Fund	\$19,836	\$23,233	\$25,938
62	Less funding provided by the Federal Trust Fund	-	-	-657
63	0890 Federal Trust Fund	199	1,015	978
64	0942 Asset Forfeiture Account, Special Deposit Fund	391	1,001	1,001
65	0995 Reimbursements	971	1,542	1,542
66				
67	Totals, Program 30	\$21,397	\$26,791	\$28,802
68				
69	ELEMENT REQUIREMENTS			
70				
71	30.10 Vehicle Theft Control	19,175	24,137	26,104
72	State Operations:			
73	0044 Motor Vehicle Account, State Transportation Fund	17,614	20,753	23,240
74	Less funding provided by the Federal Trust Fund	-	-	-656
75	0890 Federal Trust Fund	199	841	977
76	0942 Asset Forfeiture Account, Special Deposit Fund	391	1,001	1,001
77	0995 Reimbursements	971	1,542	1,542
78	30.20 Vehicle Identification Numbering Program	2,222	2,654	2,698
79	State Operations:			
80	0044 Motor Vehicle Account, State Transportation Fund	2,222	2,480	2,698
81	Less funding provided by the Federal Trust Fund	-	-	-1
82	Federal Trust Fund	-	174	1
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* Dollars in thousands, except in Salary Range.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

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PROGRAM REQUIREMENTS

40 ADMINISTRATION

State Operations:		2000-01*	2001-02*	2002-03*
40.01 Administration.....		\$111,265	\$134,791	\$137,242
40.02 Distributed Administration.....		-111,265	-134,791	-137,242
TOTAL EXPENDITURES				
State Operations		\$962,368	\$1,077,737	\$1,190,939
Local Assistance.....		3,036	821	400
TOTALS, EXPENDITURES		<u>\$965,404</u>	<u>\$1,078,558</u>	<u>\$1,191,339</u>

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
Authorized Positions (Equals Sch. 7A).....	9,989.1	10,422.2	10,416.2	\$622,612	\$640,663	\$642,956
Total Adjustments	-	10.0	247.0	-	31,035	42,197
Estimated Salary Savings	-	-227.7	-227.9	-	-19,263	-19,270
Net Totals, Salaries and Wages	9,989.1	10,204.5	10,435.3	\$622,612	\$652,435	\$665,883
Staff Benefits	-	-	-	110,286	165,554	244,850
Totals, Personal Services	9,989.1	10,204.5	10,435.3	\$732,898	\$817,989	\$910,733
OPERATING EXPENSES AND EQUIPMENT.....				<u>\$229,470</u>	<u>\$259,748</u>	<u>\$280,206</u>
TOTALS, EXPENDITURES				<u>\$962,368</u>	<u>\$1,077,737</u>	<u>\$1,190,939</u>

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	2000-01*	2001-02*	2002-03*
001 Budget Act appropriation (Transfer to Motor Vehicle Account)	\$33,515	-	-
Allocation for employee compensation	31	-	-
TOTALS, EXPENDITURES	<u>\$33,546</u>	<u>-</u>	<u>-</u>

0042 State Highway Account, State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$24,295	\$23,805	\$27,238
Allocation for employee compensation	110	-	-
Allocation for contingencies or emergencies	-	216	-
Adjustment per Section 3.60	9	474	-
Adjustment per Section 3.60(b).....	-768	-	-
Adjustment per Section 4.00	-	-29	-
Totals Available	\$23,646	\$24,466	\$27,238
Unexpended balance, estimated savings	-6	-	-
TOTALS, EXPENDITURES	<u>\$23,640</u>	<u>\$24,466</u>	<u>\$27,238</u>

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$915,585	\$946,351	\$1,081,500
Allocation for employee compensation	3,915	-	-
Allocation for contingencies or emergencies	108	7,470	-
Adjustment per Section 3.60	295	16,413	-
Adjustment per Section 3.60(b).....	-55,915	-38,653	-
Adjustment per Section 4.60 (Rental Rate).....	11	9	-
Adjustment per Section 4.00	-	-1,011	-
Allocation for janitorial/contract	1	43	-
Allocation for Department of Justice Attorney Services	-	231	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	-	1	-
Transfer to Legislative Claims (9670).....	-30	-4	-

* Dollars in thousands, except in Salary Range.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

	2000-01*	2001-02*	2002-03*
003 Budget Act appropriation (lease revenue debt)	\$436	\$970	\$970
021 Budget Act appropriation (advance authorization).....	(5,000)	(5,000)	(5,000)
Prior year balances available:			
Chapter 557, Statutes of 1999.....	983	198	-
Totals Available	\$865,389	\$932,018	\$1,082,470
Unexpended balance, estimated savings	-304	-	-
Balance available in subsequent years	-198	-	-
TOTALS, EXPENDITURES	\$864,887	\$932,018	\$1,082,470
Less funding provided by the General Fund	-33,546	-	-
Less funding provided by the Federal Trust Fund	-	-	-89,590
NET TOTALS, EXPENDITURES	\$831,341	\$932,018	\$992,880
0246 Protective Services Fund ⁿ			
APPROPRIATIONS			
012 Budget Act appropriation (Transfer to Motor Vehicle Account)	-	-	(\$1,406)
TOTALS, EXPENDITURES	-	-	-
0292 Motor Carriers Permit Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,651	\$1,677	\$1,738
Allocation for employee compensation	7	-	-
Allocation for contingencies or emergencies	-	14	-
Adjustment per Section 3.60	1	31	-
Adjustment per Section 3.60(b).....	-90	-	-
Adjustment per Section 4.00	-	-2	-
Totals Available	\$1,569	\$1,720	\$1,738
Unexpended balance, estimated savings	-336	-	-
TOTALS, EXPENDITURES	\$1,233	\$1,720	\$1,738
0293 Motor Carriers Safety Improvement Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,187	\$1,156	\$1,135
Allocation for employee compensation	5	-	-
Allocation for contingencies or emergencies	-	10	-
Adjustment per Section 3.60	-	21	-
Adjustment per Section 3.60(b).....	-77	-	-
Adjustment per Section 4.00	-	-1	-
TOTALS, EXPENDITURES	\$1,115	\$1,186	\$1,135
0840 California Motorcyclist Safety Fund ⁿ			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,121	\$1,123	\$1,157
Totals Available	\$1,121	\$1,123	\$1,157
Unexpended balance, estimated savings	-141	-	-
TOTALS, EXPENDITURES	\$980	\$1,123	\$1,157
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,870	\$6,564	\$11,987
Allocation for employee compensation	9	-	-
Adjustment per Section 3.60	2	120	-
Adjustment per Section 4.00	-	-7	-
Budget Adjustment	2,960	43,750	-
011 Budget Act appropriation.....	-	-	89,590
TOTALS, EXPENDITURES	\$8,841	\$50,427	\$101,577
0903 State Penalty Fund ⁿ			
APPROPRIATIONS			
012 Budget Act appropriation (Transfer to California Motorcycle Safety Fund)...	(\$250)	(\$250)	(\$250)
TOTALS, EXPENDITURES	-	-	-

* Dollars in thousands, except in Salary Range.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

0942 Special Deposit Fund ⁿ

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation (Hazardous Substance Account).....	\$200	\$200	\$200
011 Budget Act appropriation (Asset Forfeiture Account).....	2,002	2,002	2,002
Totals Available	<u>\$2,202</u>	<u>\$2,202</u>	<u>\$2,202</u>
Unexpended balance, estimated savings	<u>-1,414</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$788</u>	<u>\$2,202</u>	<u>\$2,202</u>
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements.....	\$60,884	\$64,595	\$63,012
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	<u>\$962,368</u>	<u>\$1,077,737</u>	<u>\$1,190,939</u>

SUMMARY BY OBJECT
2 LOCAL ASSISTANCE

	2000-01*	2001-02*	2002-03*
Grants and subventions	<u>\$3,036</u>	<u>\$821</u>	<u>\$400</u>
TOTALS, EXPENDITURES	<u>\$3,036</u>	<u>\$821</u>	<u>\$400</u>

RECONCILIATION WITH APPROPRIATIONS
2 LOCAL ASSISTANCE
0001 General Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
101 Budget Act appropriation	\$5,000	\$999	-
Prior year balances available:			
Item 2720-101-0001/2000, Reapp by Item 2720-491/2001	-	1,964	-
Totals Available	<u>\$5,000</u>	<u>\$2,963</u>	<u>-</u>
Unexpended balance, estimated savings	-	-2,363	-
Balance available in subsequent years	<u>-1,964</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$3,036</u>	<u>\$600</u>	<u>-</u>
0974 California Peace Officer Memorial Foundation Fund ⁿ			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$400
Revenue and Taxation Code 18807	-	\$221	-
TOTALS, EXPENDITURES	<u>-</u>	<u>\$221</u>	<u>\$400</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	<u>\$3,036</u>	<u>\$821</u>	<u>\$400</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	<u>\$965,404</u>	<u>\$1,078,558</u>	<u>\$1,191,339</u>

FUND CONDITION STATEMENT
0246 Protective Services Fund ^s

	2000-01*	2001-02*	2002-03*
BEGINNING RESERVES			
Prior year adjustments	\$779	\$1,406	\$1,406
Balance, Adjusted.....	<u>730</u>	<u>-</u>	<u>-</u>
	<u>\$1,509</u>	<u>\$1,406</u>	<u>\$1,406</u>
REVENUES AND TRANSFERS			
Transfers to Other Funds:			
T00044 Motor Vehicle Account per Item 2720-012-0246, Budget Act of 2002.....	-	-	-1,406
Totals, Transfers to Other Funds	<u>-</u>	<u>-</u>	<u>-1,406</u>
Totals, Resources	<u>\$1,509</u>	<u>\$1,406</u>	<u>-</u>

* Dollars in thousands, except in Salary Range.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

	2000-01*	2001-02*	2002-03*
EXPENDITURES			
Disbursements:			
9900 Statewide General Administrative (Pro Rata) (State Operations)	\$103	-	-
Totals, Disbursements	\$103	-	-
FUND BALANCE.....	\$1,406	\$1,406	-
Reserve for encumbrances.....	1,406	1,406	-
0293 Motor Carrier Safety Improvement Fund ^s			
BEGINNING BALANCE.....	\$1,678	\$936	\$1,060
Prior year adjustments	-84	-	-
Balance, Adjusted.....	\$1,594	\$936	\$1,060
REVENUES AND TRANSFERS			
Revenues:			
125700 Other regulatory licenses and permits.....	-	1,200	3,600
150300 Interest Income from Surplus Money Investment Fund.....	89	90	180
Totals, Revenues	\$89	\$1,290	\$3,780
Transfers from Other Funds:			
F00292 Motor Carrier Permit Fund per Revenue and Taxation Code Section 7236(b).....	348	-	-
F00412 Transportation Rate Fund per Public Utilities Code Section 5003.1..	20	20	20
Totals, Transfers from Other Funds	\$368	\$20	\$20
Totals, Revenues and Transfers	\$457	\$1,310	\$3,800
Totals, Resources	\$2,051	\$2,246	\$4,860
EXPENDITURES			
Disbursements:			
2720 California Highway Patrol (State Operations).....	1,115	1,186	1,135
FUND BALANCE.....	\$936	\$1,060	\$3,725
Reserve for economic uncertainties	936	1,060	3,725
0840 California Motorcyclist Safety Fund ⁿ			
BEGINNING BALANCE.....	\$787	\$1,138	\$1,335
Prior year adjustments	11	-	-
Balance, Adjusted.....	\$798	\$1,138	\$1,335
REVENUES AND TRANSFERS			
Operating Revenues:			
214000 Interest Income from loans.....	77	77	77
216000 Motorcycle registration fees.....	988	988	988
Totals, Operating Revenues	\$1,065	\$1,065	\$1,065
Transfers from Other Funds:			
F00044 Motor Vehicle Account, STF, per Government Code Section 16475 .	5	5	5
F00903 State Penalty Fund per Chapter 610, Statutes of 1999.....	250	250	250
Totals, Transfers from Other Funds	\$255	\$255	\$255
Totals, Revenues and Transfers	\$1,320	\$1,320	\$1,320
Totals, Resources	\$2,118	\$2,458	\$2,655
EXPENDITURES			
Disbursements:			
2720 California Highway Patrol (State Operations).....	980	1,123	1,157
Totals, Disbursements	\$980	\$1,123	\$1,157
FUND BALANCE.....	\$1,138	\$1,335	\$1,498
Reserve for economic uncertainties	1,138	1,335	1,498
0974 California Peace Officer Memorial Foundation Fund ⁿ			
BEGINNING BALANCE.....	-	\$97	-
Operating Revenues:			
161400 Miscellaneous revenue.....	\$99	129	\$405
Totals, Operating Revenues	\$99	\$226	\$405
Totals, Resources	\$99	\$226	\$405

* Dollars in thousands, except in Salary Range.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

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4	EXPENDITURES						
5	Disbursements:				2000-01*	2001-02*	2002-03*
6	1730 Franchise Tax Board				\$2	\$5	\$5
7	2720 California Highway Patrol (Local Assistance)				-	221	400
8							
9	Totals, Disbursements				\$2	\$226	\$405
10	FUND BALANCE.....				\$97	-	-
11	Reserve for economic uncertainties				97	-	-
12							
13							
14							
15							
16							
17	CHANGES IN						
18	AUTHORIZED POSITIONS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
19	Totals, Authorized Positions	9,989.1	10,422.2	10,416.2	\$622,612	\$640,663	\$642,956
20	Workload and Administrative Adjustments:				Salary Range		
21	Positions Established:						
22	Ofcr, California Hwy Patrol.....	-	10.0	-	-	701	-
23	Overtime	-	-	-	-	30,334	120
24							
25	Totals, Workload and Administrative						
26	Adjustments.....	-	10.0	-	-	\$31,035	\$120
27	Proposed New Positions:						
28	Ofcr, California Hwy Patrol (315.5						
29	authorized, 81.5 positions effective						
30	7/1/02, 78.0 positions effective 9/1/02,						
31	78.0 positions effective 12/1/02, and						
32	78.0 positions effective 3/1/03)	-	-	218.0	-	-	12,478
33	Sgt, California Hwy Patrol.....	-	-	25.0	-	-	1,238
34	Acctg Techn I	-	-	2.0	-	-	62
35	Pers Svcs Spec II	-	-	2.0	-	-	79
36	Overtime	-	-	-	-	-	28,220
37							
38	Totals, Proposed New Positions	-	-	247.0	-	-	\$42,077
39							
40	Total Adjustments.....	-	10.0	247.0	-	\$31,035	\$42,197
41							
42	TOTALS, SALARIES AND WAGES	9,989.1	10,432.2	10,663.2	\$622,612	\$671,698	\$685,153
43							

STATE BUILDING PROGRAM EXPENDITURES

Actual 2000-01* Estimated 2001-02* Proposed 2002-03*

50 CAPITAL OUTLAY PROGRAM ELEMENTS Major Projects

54	50.15 WILLOWS						
55	50.15.115 Building Alterations				\$1,128 ^{Cs}	-	-
56							
57	50.16 WILLIAMS						
58	50.16.106 Replacement Facility				818 ^{APs}	\$205 ^{Ws}	\$2,969 ^{Cs}
59	Provides for the construction of a 10,416 square foot area office						
60	replacement facility.						
61							
62	50.20 SOUTH SACRAMENTO						
63	50.20.200 Building Alterations				1,459 ^{Cs}	-	-
64							
65	50.21 SOUTH LAKE TAHOE						
66	50.21.207 New Facility				2,372 ^{WCs}	-	3,475 ^{Cs}
67							
68	50.29 SACRAMENTO TRAFFIC MANAGEMENT CENTER						
69	50.29.209 Equipment for Sacramento Traffic Management Center.....				4 ^{Es}	-	-
70							
71	50.55 EAST LOS ANGELES						
72	50.55.505 Purchase of Leased Facility				-4 ^L	-	-
73							
74	50.56 LOS ANGELES REGIONAL TRANSPORTATION						
75	MANAGEMENT CENTER						
76	50.56.506 Equipment for Transportation Management Center				-	-	3,007 ^{Es}
77							
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* Dollars in thousands, except in Salary Range.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
50.69 EL CAJON			
50.69.609 Building Alterations	\$1,020 ^{Cs}	-	-
50.73 MONTEREY			
50.73.703 New Facility	1,188 ^{APs}	\$305 ^{Ws}	\$5,659 ^{Cs}
50.90 STATEWIDE			
50.90.900 Property Options and Appraisals	-	20	-
50.90.901 Studies, Preplanning, Budget Packages, and Options	100	100	60
Totals, Major Projects	\$8,085	\$630	\$15,170
TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY	\$8,085	\$630	\$15,170
0042 State Highway Account	-	-	3,007
0044 Motor Vehicle Account, State Transportation Fund	8,089	630	12,163
0660 Public Buildings Construction Fund	-4	-	-

RECONCILIATION WITH APPROPRIATIONS

3 CAPITAL OUTLAY

0042 State Highway Account, State Transportation Fund^s

APPROPRIATIONS			
Prior year balances available:			
Item 2720-301-0042/1999, Proposed reapp by Item 2720-490/2002	\$3,007	\$3,007	\$3,007
Totals Available	\$3,007	\$3,007	\$3,007
Balance available in subsequent years	-3,007	-3,007	-
TOTALS, EXPENDITURES	-	-	\$3,007

0044 Motor Vehicle Account, State Transportation Fund^s

APPROPRIATIONS			
301 Budget Act appropriation	\$7,393	\$2,789	\$12,163
Reversion per Government Code Section 16351, 16351.5, and 16408	-171	-2,159	-
Prior year balances available:			
Item 2720-301-0044/1997	62	-	-
Item 2720-301-0044/1999	1,188	-	-
Totals Available	\$8,472	\$630	\$12,163
Unexpended balance, estimated savings	-383	-	-
TOTALS, EXPENDITURES	\$8,089	\$630	\$12,163

0660 Public Buildings Construction Fundⁿ

APPROPRIATIONS			
Prior year balances available:			
Item 2720-301-0660/1999	\$202	\$7	-
Reversion per Government Code Section 16351, 16351.5, and 16408	-199	-	-
Totals Available	\$3	\$7	-
Unexpended balance, estimated savings	-	-7	-
Balance available in subsequent years	-7	-	-
TOTALS, EXPENDITURES	-\$4	-	-
TOTALS, EXPENDITURES (Capital Outlay)	\$8,085	\$630	\$15,170

2740 DEPARTMENT OF MOTOR VEHICLES

The Department of Motor Vehicles' objectives are: (1) to protect the public interest in vehicle and vessel ownership, to provide various revenue collection services for state and local agencies and to provide miscellaneous registration-related services through the vehicle and vessel registration and titling process; (2) to promote highway safety and financial responsibility by regulating the issuance and retention of driver licenses and to provide personal identification services to drivers and nondrivers; and (3) to provide public protection by licensing and regulating occupations and

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.
 * Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

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4 businesses related to the manufacture, transport, sale and disposal of vehicles and occupations and businesses related to the instruction of drivers
5 in the safe operation of vehicles on the highways. The Motor Vehicle Account is the major funding source for the Department of Motor Vehicles
6 and the California Highway Patrol. In recent years, the cost of the California Highway Patrol's retirement system was funded entirely from extra
7 stock market investment earning. In the last two years, not only have the extra earnings not occurred, but also the investments have lost value so
8 that the CHP's system is no longer fully funded. Retirement rates have been increased to make up for the lost value, which has increased costs to
9 the Motor Vehicle Account by over \$100 million per year. The programs below include budget reductions to respond to this problem. Along with
10 proposing a shift of excess revenues from the Motor Carrier Permit Fund to the Motor Vehicle Account, the following increased fees and penalties
11 are being proposed as revenue enhancements:
12 • Added penalties for late payments on vehicle registration.
13 • Increased filing fees for DUI offenders who appeal their suspensions.
14 • Increased fees for information provided to insurers.
15 • New fee for retaking a driving test.
16

17 **Authority**

18 Vehicle Code, Division 2, Chapters 1 and 6.

19

20 **SUMMARY OF PROGRAM**

21 **REQUIREMENTS**

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
22 11 Vehicle/Vessel Identification and						
23 Compliance	4,350.4	4,265.1	4,136.3	\$385,424	\$387,967	\$375,329
24 22 Driver Licensing and Personal						
25 Identification.....	2,122.9	2,173.1	2,165.8	166,992	175,523	168,717
26 25 Driver Safety.....	1,153.2	1,180.3	1,178.8	82,868	85,876	85,090
27 32 Occupational Licensing and						
28 Investigative Services.....	470.6	481.7	481.0	34,224	36,256	35,932
29 35 New Motor Vehicle Board.....	14.2	22.8	22.8	1,270	1,640	1,655
30 41 Administration.....	611.1	628.0	617.3	78,479	82,490	79,582
31 Distributed Administration.....	-	-	-	-78,479	-82,490	-79,582
32						
33						
34						
35						
TOTALS, PROGRAMS.....	8,722.4	8,751.0	8,602.0	\$670,778	\$687,262	\$666,723
36 0001 General Fund.....				17,194	2,946	1,599
37 0042 State Highway Account, State Transportation Fund.....				40,276	42,616	40,056
38 0044 Motor Vehicle Account, State Transportation Fund.....				341,189	348,594	343,305
39 0054 New Motor Vehicle Board Account.....				1,270	1,640	1,655
40 0064 Motor Vehicle License Fee Account, Transportation Tax Fund.....				255,057	272,625	263,346
41 0292 Motor Carriers Permit Fund.....				2,664	2,698	2,986
42 0516 Harbors and Watercraft Revolving Fund.....				1,790	4,748	2,018
43 0890 Federal Trust Fund.....				18	-	-
44 0995 Reimbursements.....				11,320	11,395	11,758

45 **11 VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE**

46 **Program Objectives Statement**

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48 This program establishes identification and ownership of vehicles and vessels of California residents, assures compliance with various related
49 laws, collects revenue for various state and local government programs, and provides information from vehicle and vessel records. Consistent with
50 these objectives, the department participates in the International Registration Plan that provides for the proration of commercial vehicle fees to the
51 member states and provinces of Canada.
52
53
54

55 **Major Budget Adjustments Included in 2001-02:**

- 56 • \$5,935,000 for increased costs of retirement benefits.
- 57 • \$1,644,000 for increased mailing costs.

58 **Major Budget Adjustments Proposed for 2002-03:**

- 59 • Expenditure reductions:
- 60 • \$425,000 (\$69,000 Motor Vehicle Account) in savings by contracting directly for construction day labor.
- 61 • \$789,000 (\$127,000 MVA) by reducing and recovering costs for printing the Vehicle Code and other publications.
- 62 • \$626,000 (\$89,000 MVA) and 15.8 personnel years by automating clearing of suspended transactions (e.g. when a smog certification
63 is missing).
- 64 • \$2,600,000 (\$372,000 MVA) and 52.5 personnel years in savings due to increased voluntary insurance industry participation in
65 electronically filing proof of insurance.
- 66 • \$2,830,000 (\$455,000 MVA) for various operating expense and equipment items.
- 67 • \$312,000 (\$45,000 MVA) and 5.4 personnel years for the Registration Enhancement Development section.
- 68 • \$192,000 (\$31,000 MVA) and 2.3 personnel years for staffing for activity cost accounting.
- 69 • \$473,000 (\$76,000 MVA) for the elimination of student assistant contracts.
- 70 • \$1,396,000 to continue the replacement of obsolete terminals in the field offices.
- 71 • \$868,000 to replace modular systems furniture and for moving expenses associated with the previously approved first floor asbestos
72 abatement project at Headquarters.
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* Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

- \$2,818,000 for additional worker's compensation costs.
- \$5,935,000 for increased retirement benefits costs.
- \$1,644,000 for increased mailing costs.

Authority

Vehicle Code, Division 3; 3.5; 16.5, Chapter 1 and 2; and 16.7. Revenue and Tax Code, Division 2, Part 5.

22 DRIVER LICENSING AND PERSONAL IDENTIFICATION**Program Objectives Statement**

This program issues identifying documentation to individuals who are eligible drivers and personal identification to other individuals. Activities in this program include application review, photography, fees collection and response to information requests. The program also promotes the financial responsibility of vehicle owners and operators.

Major Budget Adjustments Proposed for 2001–02:

- \$2,684,000 for increased costs of retirement benefits.
- \$750,000 for increased mailing costs.

Major Budget Adjustments Proposed for 2002–03:

- Expenditure reduction (all MVA):
 - \$192,000 in savings by contracting directly for construction day labor.
 - \$357,000 by reducing costs of printing and recovering costs of printing the Vehicle Code.
 - \$1,280,000 for various operating expense and equipment items.
 - \$87,000 and 1.2 personnel years for staffing in the Cost Accounting Section.
 - \$230,000 and 4.4 personnel years by eliminating occupational license inspections of business locations.
 - \$5,000,000 by eliminating the use of certified mail for Orders of Suspension and Revocation.
 - \$214,000 by eliminating the Hornet Foundation student assistant contract.
- \$632,000 to continue the replacement of obsolete terminals in the field offices.
- \$393,000 to replace modular systems furniture and for moving expense associated with the previously approved first floor asbestos abatement project at Headquarters.
- \$1,275,000 for additional worker's compensation costs.
- \$2,684,000 for increased retirement benefits costs.
- \$750,000 for increased costs for mailing.

Authority

Vehicle Code, Divisions 6; 7; and 10, Sections 20012 and 20014.

25 DRIVER SAFETY**Program Objectives Statement**

This program promotes highway safety by screening driver license applicants for driving competency, and regulation, control and improvement of drivers who become safety risks. Driver license applicants are required to demonstrate: (1) knowledge and understanding of safe driving principles and the laws governing the operation of vehicles on the highways; (2) the skill to drive within reasonably safe standards; and (3) adequate and/or compensating vision efficiency for the safe operation of vehicles. Driver control programs are maintained at hearing points throughout the state and include both those in which driver control actions are mandated by statute and in which action is determined administratively.

Major Budget Adjustments Included in 2001–02:

- \$1,311,000 for increased costs of retirement benefits.
- \$371,000 for increased costs for mailing.

Major Budget Adjustments Proposed for 2002–03:

- Expenditure reductions (all MVA):
 - \$94,000 in savings by contracting directly for construction day labor.
 - \$174,000 by reducing and recovering costs for printing the Vehicle Code and other publications.
 - \$625,000 for various operating expense and equipment items.
 - \$42,000 and 0.6 personnel year for the staffing for activity cost accounting.
 - \$104,000 by eliminating student assistant contracts.
- \$308,000 to continue the replacement of obsolete terminals in the field offices.
- \$192,000 to replace modular systems furniture and for moving expense associated with the previously approved first floor asbestos abatement project at Headquarters.
- \$622,000 for additional worker's compensation costs.
- \$1,311,000 for increased retirement benefits costs.
- \$371,000 for increased mailing costs.

Authority

Vehicle Code, Divisions 6; 7; and 10, Sections 20012 and 20014.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

32 OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES

Program Objectives Statement

This program provides consumer protection by licensing and regulating principal segments of motor vehicle-related businesses and enforcing laws within the department's jurisdiction.

Major Budget Adjustments Included in 2001-02:

- \$556,000 for increased costs of retirement benefits.
- \$155,000 for increased mailing costs.

Major Budget Adjustments Proposed for 2002-03:

- Expenditure reductions (all MVA):
 - \$40,000 in savings by directly contracting for construction day labor.
 - \$74,000 for reducing and recovering costs for printing the Vehicle Code and other publications.
 - \$265,000 for various operating expense and equipment items.
 - \$18,000 and 0.3 personnel year for the staffing for activity cost accounting.
 - \$44,000 by eliminating student assistant contracts.
- \$131,000 to continue the replacement of obsolete terminals in the field offices.
- \$81,000 to replace modular systems furniture and for moving expense associated with the previously approved first floor asbestos abatement project at Headquarters.
- \$264,000 for additional worker's compensation costs.
- \$556,000 increase for retirement benefits costs.
- \$155,000 for increased mailing costs.

Authority

Vehicle Code, Division 5.

35 NEW MOTOR VEHICLE BOARD

Program Objectives Statement

The primary objective of this program is (1) to prohibit manufacturers from adding, withdrawing or relocating automobile dealerships in market areas of existing franchisees, where such effect would be injurious to the existing franchisees and to the public interest, and (2) to protect members of the public from the activities of dishonest or unqualified motor vehicle licensees.

The New Motor Vehicle Board is a quasi-judicial tribunal that adjudicates disputes that arise between new motor vehicle franchisees and their respective franchisors concerning rights or obligations afforded by statute or by virtue of the franchise relationship between the parties. The Board hears and considers: protests filed by new motor vehicle dealers against the proposed termination or modification of, or refusal to continue, the franchise; protests on the proposed establishment or relocation of dealerships; disputes concerning dealer delivery preparation obligations and the compensation thereof; and disputes which arise as a result of the warranty relationship which exists between the dealers and their respective franchisors. The Board also hears and considers virtually every other type of dispute arising between a dealer and manufacturer or distributor concerning the franchise relationship. Further, the Board hears appeals on final decisions of the Director of the Department of Motor Vehicles. Finally, the Board mediates disputes that arise between consumers of new motor vehicles and the dealers and/or manufacturers or distributors from which the vehicles are acquired.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

11 VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE

State Operations:	2000-01*	2001-02*	2002-03*
0001 General Fund	\$17,194	\$2,946	\$1,599
0042 State Highway Account, State Transportation Fund	40,276	42,616	40,056
0044 Motor Vehicle Account, State Transportation Fund	59,782	52,662	56,010
0064 Motor Vehicle License Fee Account, Transportation Tax Fund	255,057	272,625	263,346
0292 Motor Carriers Permit Fund	2,664	2,698	2,986
0516 Harbors and Watercraft Revolving Fund	1,790	4,748	2,018
0890 Federal Funds	18	-	-
0995 Reimbursements	8,643	9,672	9,314
Totals, State Operations	\$385,424	\$387,967	\$375,329

PROGRAM REQUIREMENTS

22 DRIVER LICENSING AND PERSONAL IDENTIFICATION

State Operations:	2000-01*	2001-02*	2002-03*
0001 General Fund	-	-	-
0044 Motor Vehicle Account, State Transportation Fund	\$165,958	\$173,818	\$166,291
0890 Federal Trust Fund	-	-	-
0995 Reimbursements	1,034	1,705	2,426
Totals, State Operations	\$166,992	\$175,523	\$168,717

* Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

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4	PROGRAM REQUIREMENTS					
5	25 DRIVER SAFETY					
6	State Operations:			2000-01*	2001-02*	2002-03*
7	0044 Motor Vehicle Account, State Transportation Fund.....			\$81,612	\$85,863	\$85,077
8	0995 Reimbursements.....			1,256	13	13
9						
10	Totals, State Operations			\$82,868	\$85,876	\$85,090
11						
12	PROGRAM REQUIREMENTS					
13	32 OCCUPATIONAL LICENSING AND					
14	INVESTIGATIVE SERVICES					
15	State Operations:					
16	0044 Motor Vehicle Account, State Transportation Fund.....			\$33,837	\$36,251	\$35,927
17	0995 Reimbursements.....			387	5	5
18						
19	Totals, State Operations			\$34,224	\$36,256	\$35,932
20						
21	PROGRAM REQUIREMENTS					
22	35 NEW MOTOR VEHICLE BOARD					
23	State Operations:					
24	0054 New Motor Vehicle Board Account			\$1,270	\$1,640	\$1,655
25						
26	Totals, State Operations			\$1,270	\$1,640	\$1,655
27						
28	TOTALS, EXPENDITURES			\$670,778	\$687,262	\$666,723
29						
30						
31						
32						

SUMMARY BY OBJECT
1 STATE OPERATIONS

33							
34							
35							
36							
37							
38							
39	PERSONAL SERVICES	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
40	Authorized Positions (Equals Sch. 7A).....	8,722.4	9,216.9	9,153.2	\$345,278	\$360,100	\$363,383
41	Total Adjustments	-	-	-93.5	-	-	-3,058
42	Estimated Salary Savings	-	-465.9	-457.7	-	-10,964	-16,732
43							
44	Net Totals, Salaries and Wages	8,722.4	8,751.0	8,602.0	\$345,278	\$349,136	\$343,593
45	Staff Benefits	-	-	-	83,275	88,810	92,371
46							
47	Totals, Personal Services	8,722.4	8,751.0	8,602.0	\$428,553	\$437,946	\$435,964
48							
49	OPERATING EXPENSES AND EQUIPMENT.....				\$242,173	\$249,335	\$230,759
50							
51	SPECIAL ITEMS OF EXPENSE				52	-19	-
52							
53	TOTALS, EXPENDITURES				\$242,225	\$249,316	\$230,759
54							
55	NET TOTALS, EXPENDITURES				\$670,778	\$687,262	\$666,723
56							

RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0001 General Fund

57							
58							
59							
60							
61							
62							
63	APPROPRIATIONS				2000-01*	2001-02*	2002-03*
64	001 Budget Act appropriation				\$60	\$2,382	\$1,599
65	Allocation for contingencies or emergencies				9,772	250	-
66	Adjustment per Section 3.60				-	37	-
67	Adjustment per Section 3.90				-	-23	-
68	Allocation per Item 9907-001-0001, Budget Act of 2000.....				700	-	-
69	Prior year balances available:						
70	Chapter 31, Statutes of 2000				6,962	300	-
71							
72	Totals Available				\$17,494	\$2,946	\$1,599
73	Balance available in subsequent years				-300	-	-
74							
75	TOTALS, EXPENDITURES				\$17,194	\$2,946	\$1,599
76							
77							
78	0042 State Highway Account, State Transportation Fund^s						
79	APPROPRIATIONS						
80	001 Budget Act appropriation				\$39,782	\$41,819	\$40,056
81	Allocation for employee compensation				306	-	-
82	Allocation for contingencies or emergencies				291	-	-
83							
84							
85							
86							
87							
88							

* Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

	2000-01*	2001-02*	2002-03*
Adjustment per Section 3.60	-\$197	\$652	-
Adjustment per Section 4.60 (Rental Rate).....	1	1	-
Adjustment per Section 4.00	-	-61	-
Allocation for janitorial/contract.....	-	4	-
Allocation for postage rate increases.....	93	186	-
Allocation for Department of Justice Attorney Services	-	13	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	-	2	-
TOTALS, EXPENDITURES	\$40,276	\$42,616	\$40,056
0044 Motor Vehicle Account, State Transportation Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$333,726	\$341,857	\$343,305
Allocation for employee compensation	2,585	-	-
Allocation for contingencies or emergencies	2,446	-	-
Adjustment per Section 3.60	-1,649	5,416	-
Adjustment per Section 4.60 (Rental Rate).....	5	8	-
Adjustment per Section 4.00	-	-513	-
Allocation for janitorial/contract.....	-	31	-
Allocation for postage rate increases.....	781	1,561	-
Allocation for Department of Justice Attorney Services	-	104	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	-	13	-
Transfer to Legislative Claims (9670).....	-1	-19	-
011 Budget Act appropriation.....	(1,000)	(1,000)	(1,000)
Chapter 861, Statutes of 2000	3,521	-	-
Prior year balances available:			
Chapter 861, Statutes of 2000.....	-	136	-
Totals Available	\$341,414	\$348,594	\$343,305
Unexpended balance, estimated savings	-89	-	-
Balance available in subsequent years	-136	-	-
TOTALS, EXPENDITURES	\$341,189	\$348,594	\$343,305
0054 New Motor Vehicle Board Account ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,606	\$1,615	\$1,655
Allocation for employee compensation	2	-	-
Adjustment per Section 3.60	-8	25	-
Totals Available	\$1,600	\$1,640	\$1,655
Unexpended balance, estimated savings	-330	-	-
TOTALS, EXPENDITURES	\$1,270	\$1,640	\$1,655
0064 Motor Vehicle License Fee Account, Transportation Tax Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$250,710	\$267,543	\$263,346
Allocation for employee compensation	1,931	-	-
Allocation for contingencies or emergencies	3,065	-	-
Adjustment per Section 3.60	-1,239	4,172	-
Adjustment per Section 4.60 (Rental Rate).....	4	6	-
Adjustment per Section 4.00	-	-383	-
Allocation for janitorial/contract.....	-	24	-
Allocation for postage rate increases.....	586	1,173	-
Allocation for Department of Justice Attorney Services	-	81	-
Allocation for Americans w/Disabilities Act (ADA) Barrier Removal Projects ...	-	9	-
TOTALS, EXPENDITURES	\$255,057	\$272,625	\$263,346
0292 Motor Carriers Permit Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,658	\$2,660	\$2,986
Allocation for employee compensation	19	-	-
Adjustment per Section 3.60	-13	41	-
Adjustment per Section 4.60 (Rental Rate).....	-	1	-
Adjustment per Section 4.00	-	-4	-
011 Budget Act appropriation (Transfer to the Motor Vehicle Account).....	-	-	(9,021)
TOTALS, EXPENDITURES	\$2,664	\$2,698	\$2,986

* Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

0516 Harbors and Watercraft Revolving Fund

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation	\$1,786	\$4,685	\$2,018
Allocation for employee compensation	13	-	-
Adjustment per Section 3.60	-9	66	-
Adjustment per Section 4.00	-	-3	-
TOTALS, EXPENDITURES	\$1,790	\$4,748	\$2,018
0890 Federal Trust Fund			
APPROPRIATIONS			
Federal Funds	\$18	-	-
TOTALS, EXPENDITURES	\$18	-	-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$11,320	\$11,395	\$11,758
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$670,778	\$687,262	\$666,723

FUND CONDITION STATEMENT

0044 Motor Vehicle Account, State Transportation Fund ^{s 1}

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$299,414	\$348,368	\$209,985
Prior year adjustments	37,165	-	-
Balance, Adjusted.....	\$336,579	\$348,368	\$209,985
REVENUES AND TRANSFERS			
Revenues:			
114100 Motor vehicle registration (and other fees)	1,009,033	1,038,793	1,082,724
114200 Driver's license fees.....	131,581	82,289	131,213
114300 Other motor vehicle fees.....	42,001	44,073	45,723
114400 Identification card fees.....	7,447	7,462	7,462
120900 Off-highway vehicle fees (registration and other fees).....	2,681	2,451	2,451
125700 Other regulatory licenses and permits.....	14,452	13,500	13,500
142500 Miscellaneous services to the public (sale of information)	58,929	59,960	101,009
150300 Income from surplus money investments	23,023	14,000	14,000
161400 Miscellaneous revenue	15,725	15,961	16,201
Totals, Revenues	\$1,304,872	\$1,278,489	\$1,414,283
Transfers from Other Funds:			
F00140 Environmental License Plate Fund per Public Res Sec 21191	3,890	3,890	3,890
F00246 Protective Services Fund per Item 2720-012-0246/02.....	-	-	1,406
F00292 Motor Carrier Permit Fund per Item 2720-011-0292/02	-	-	8,936
Totals, Transfers from Other Funds	\$3,890	\$3,890	\$14,232
Transfers to Other Funds:			
T00001 General Fund per Govt Code Sec 16475	-111	-41	-41
T00042 State Highway Account per Govt Code Sec 16475	-3,673	-3,050	-3,050
T00064 Vehicle License Fee Account per Govt Code Sec 16475	-12,606	-5,552	-5,552
T00140 Environmental License Plate Fund per Govt Code Sec 16475	-154	-154	-154
T00261 Off Highway License Fee per Govt Code Sec 16475	-4	-3	-3
T00263 Off Highway Vehicle Trust per Govt Code Sec 16475	-11	-7	-7
T00292 Motor Carrier Permit per Govt Code Sec 16475	-53	-43	-43
T00840 Motorcyclist Safety Fund per Govt Code Sec 16475	-5	-5	-5
Totals, Transfers to Other Funds	-\$16,617	-\$8,855	-\$8,855
Totals, Revenues and Transfers	\$1,292,145	\$1,273,524	\$1,419,660
Totals, Resources	\$1,628,724	\$1,621,892	\$1,629,645
EXPENDITURES			
Disbursements:			
0250 Judicial Council	128	135	132
0520 Secretary, Business, Transportation and Housing (2030) (State Operations)	898	972	972
0555 Secretary for Environmental Protection (3895) (State Operations)	499	544	605
0820 Department of Justice (State Operations).....	18,859	19,561	19,532

* Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

	2000-01*	2001-02*	2002-03*
1730 Franchise Tax Board (State Operations)	\$1,543	\$1,794	\$1,779
2700 Office of Traffic Safety (State Operations)	348	377	365
2720 Department of California Highway Patrol:			
State Operations	864,887	932,018	1,082,470
Capital Outlay	8,089	630	12,163
2740 Department of Motor Vehicles:			
State Operations	341,189	348,594	343,305
Capital Outlay	408	11,112	4,476
3360 State Energy Resources Conservation and Development Commission (State Operations)	120	120	121
3900 Air Resources Board:			
State Operations	58,301	79,830	53,854
Local Assistance	15,111	15,111	10,111
4260 Department of Health Services (State Operations)	752	961	1,311
9670 Legislative Claims, State Board of Control (State Operations)	2,770	148	-
Totals, Disbursements	\$1,313,902	\$1,411,907	\$1,531,196
Expenditure Reductions:			
2720 California Highway Patrol (State Operations):			
Less funding provided by General Fund	-33,546	-	-
Less funding provided by Federal Funds	-	-	-89,590
Totals, Expenditures	\$1,280,356	\$1,411,907	\$1,441,606
FUND BALANCE	\$348,368	\$209,985	\$188,039
Reserve for economic uncertainties	348,368	209,985	188,039
0054 New Motor Vehicle Board Account ^s			
BEGINNING BALANCE	\$1,987	\$2,098	\$1,762
Prior year adjustments	114	-	-
Balance, Adjusted	\$2,101	\$2,098	\$1,762
REVENUES AND TRANSFERS			
Revenues:			
121300 New motor vehicle dealer license fee	1,261	1,265	1,175
142500 Miscellaneous services to the public	3	37	37
161400 Miscellaneous revenue	3	2	2
Totals, Revenues	\$1,267	\$1,304	\$1,214
Totals, Resources	\$3,368	\$3,402	\$2,976
EXPENDITURES			
Disbursements:			
2740 Department of Motor Vehicles (State Operations)	1,270	1,640	1,655
FUND BALANCE	\$2,098	\$1,762	\$1,321
Reserve for economic uncertainties	2,098	1,762	1,321
0064 Motor Vehicle License Fee Account, Transportation Tax Fund ^{s 1}			
BEGINNING BALANCE	-	-	-
Prior year adjustments	\$19,147	-	-
Balance, Adjusted	\$19,147	-	-
REVENUES AND TRANSFERS			
Revenues:			
113600 Motor vehicle license (in-lieu) fees	2,490,570	\$1,302,373	\$1,358,185
150300 Income from surplus money investments	15,902	15,000	15,000
Totals, Revenues	\$2,506,472	\$1,317,373	\$1,373,185
Transfers:			
Transfers from Other Funds:			
F00044 Motor Vehicle Account, SHA SMIF Interest Transferred Gov't Code Section 16475	12,606	5,552	5,552
F03011 Special Reserve Fund Vehicle License Fee per Revenue and Taxation Code Section 10903a	-	881,905	-
Totals, Transfers from Other Funds	\$12,606	\$887,457	\$5,552
Totals, Revenues and Transfers	\$2,519,078	\$2,204,830	\$1,378,737
Totals, Resources	\$2,538,225	\$2,204,830	\$1,378,737

* Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

1				
2				
3				
4	EXPENDITURES			
5	Disbursements:			
6	1730 Franchise Tax Board (State Operations)	2000-01*	2001-02*	2002-03*
7	2740 Department of Motor Vehicles:	\$2,899	\$3,399	\$3,369
8	State Operations	255,057	272,625	263,346
9	Capital Outlay	210	7,706	3,333
10	Totals, Disbursements	\$258,166	\$283,730	\$270,048
11	Apportionments:			
12	9430 Shared Revenues (Local Assistance) to cities and counties	3,674,456	3,744,123	3,929,535
13	Expenditure Reductions:			
14	9430 Shared Revenue (Local Assistance):			
15	Less funding provided by the General Fund	-1,394,397	-1,823,023	-2,820,846
16	Net Totals, Disbursements (Local Assistance—Shared Revenues)	\$2,280,059	\$1,921,100	\$1,108,689
17	Totals, Expenditures	\$2,538,225	\$2,204,830	\$1,378,737
18	FUND BALANCE	-	-	-
19				
20				
21				
22				

23
 24 ¹ This fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected
 25 as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic
 26 Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court
 27 mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided
 28 in the Governor's Budget Summary.
 29

30 **0292 Motor Carriers Permit Fund ^s**

31				
32	BEGINNING BALANCE	\$7,388	\$8,334	\$8,788
33	Prior year adjustments	171	-	-
34	Balance, Adjusted	\$7,559	\$8,334	\$8,788
35	REVENUES AND TRANSFERS			
36	Revenues:			
37	125700 Other regulatory licenses and permits	8,446	8,176	8,176
38	150300 Income from surplus money investments	527	455	455
39	Totals, Revenues	\$8,973	\$8,631	\$8,631
40	Transfers from Other Funds:			
41	F00044 Motor Vehicle Account, SHA SMIF Interest Transfer Government			
42	Code 16475	53	43	43
43	Totals, Transfers from Other Funds	\$53	\$43	\$43
44	Transfers to Other Funds:			
45	T00001 General Fund (Uniform Business License) per Revenue and			
46	Taxation Code Section 7236	-4,006	-3,802	-3,802
47	T00044 Motor Vehicle Account per Item 2740-011-0292, Budget Act of			
48	2002	-	-	-8,936
49	T00293 Motor Carriers Safety Improvement Fund per Revenue and			
50	Taxation Code Section 7236	-348	-	-
51	Totals, Transfers to Other Funds	-\$4,354	-\$3,802	-\$12,738
52	Totals, Revenues and Transfers	\$4,672	\$4,872	-\$4,064
53	Totals, Resources	\$12,231	\$13,206	\$4,724
54				
55				
56				
57				
58				
59				
60				

61	EXPENDITURES			
62	Disbursements:			
63	2720 California Highway Patrol Safety Enforcement Program (State			
64	Operations)	1,233	1,720	1,738
65	2740 Department of Motor Vehicles (State Operations)	2,664	2,698	2,986
66	Totals, Expenditures	\$3,897	\$4,418	\$4,724
67	FUND BALANCE	\$8,334	\$8,788	-
68	Reserve for economic uncertainties	8,334	8,788	-
69				
70				
71				

72 **0487 Financial Responsibility Penalty Account ^s**

73	BEGINNING BALANCE	\$2,569	\$2,317	\$2,311
74	REVENUES AND TRANSFERS			
75	Revenues:			
76	164100 Traffic violations	2,278	2,278	2,278
77				
78				
79				
80				
81				
82				
83				
84				
85				
86				
87				
88				

* Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

1							
2							
3							
4	Transfers to Other Funds:				2000-01*	2001-02*	2002-03*
5	T00001 General Fund per Vehicle Code Section 16072(c).....				-\$2,530	-\$2,284	-\$2,272
6							
7	Totals, Revenues and Transfers.....				-\$252	-\$6	\$6
8							
9	Totals, Resources.....				\$2,317	\$2,311	\$2,317
10	FUND BALANCE.....				\$2,317	\$2,311	\$2,317
11	Reserve for economic uncertainties				2,317	2,311	2,317
12							
13							
14							
15							
16							
17	CHANGES IN						
18	AUTHORIZED POSITIONS						
19	Totals, Authorized Positions	8,722.4	9,216.9	9,153.2	\$345,278	\$360,100	\$363,383
20	Workload and Administrative Adjustments:						
21	Reductions in Authorized Positions:						
22	Administrative Services Division:						
23	Financial Services Branch:				Salary Range		
24	Assoc Acctg Analyst.....	-	-	-5.2	4,110-4,997	-	-284
25	Facilities Operations/Management						
26	Support Branch:						
27	Ofc Asst.....	-	-	-4.7	1,846-2,465	-	-115
28							
29	Totals	-	-	-9.9	-	-	-\$399
30	Communication Program Division:						
31	Customer Information Branch:						
32	Motor Vehicle Fld Rep	-	-	-3.0	2,029-2,855	-	-88
33							
34	Totals	-	-	-3.0	-	-	-\$88
35	Licensing Operations Division:						
36	Occupational Licensing:						
37	Insp.....	-	-	-5.0	2,560-3,499	-	-188
38							
39	Totals	-	-	-5.0	-	-	-\$188
40	Registration Operations Division:						
41	Registration Services Branch:						
42	Motor Vehicle Prog Supvr	-	-	-0.3	2,433-2,967	-	-9
43	Motor Vehicle Techn (B).....	-	-	-2.3	2,180-2,855	-	-68
44	Key Data Opr	-	-	-9.3	1,916-2,648	-	-253
45	Ofc Asst.....	-	-	-0.7	1,846-2,465	-	-17
46	Overtime	-	-	-1.2	-	-	-4
47							
48	Totals	-	-	-13.8	-	-	-\$351
49	Administrative and Program Support:						
50	Staff Svcs Mgr II	-	-	-1.0	4,963-5,987	-	-60
51	Staff Svcs Mgr I	-	-	-1.0	4,520-5,453	-	-54
52	Assoc Info Sys Analyst.....	-	-	-1.0	4,110-4,977	-	-49
53	Assoc Govtl Prog Analyst.....	-	-	-2.0	3,915-4,759	-	-94
54							
55	Totals	-	-	-5.0	-	-	-\$257
56	Field Operations Division:						
57	General Administration:						
58	Mgr III	-	-	-5.6	3,917-4,761	-	-292
59	Motor Vehicle Fld Rep (B)	-	-	-51.3	2,029-2,855	-	-1,488
60							
61	Totals	-	-	-56.9	-	-	-\$1,780
62							
63	Totals, Reductions in						
64	Authorized Positions.....	-	-	-93.6	-	-	-\$3,063
65	Proposed New Positions:						
66	Administrative Services Division:						
67	Financial Services Branch:						
68	Temporary Help ²	-	-	0.1	-	-	5
69							
70	Totals, Proposed New Positions ...	-	-	0.1	-	-	\$5
71							
72	Total Adjustments	-	-	-93.5	-	-	-\$3,058
73							
74	TOTALS, SALARIES AND WAGES	8,722.4	9,216.9	9,059.7	\$345,278	\$360,100	\$360,325

² 0.1 position effective 7/1/01; limited-term 6/30/05.

* Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
71 CAPITAL OUTLAY			
PROGRAM ELEMENTS			
Major Projects			
71.03 SACRAMENTO HEADQUARTERS BUILDING			
71.03.017 4th Floor Asbestos Removal	\$58 ^{Cs}	\$387 ^{Cs}	—
71.03.018 1st Floor Asbestos Removal and Seismic Retrofit	525 ^{WDs}	14,983 ^{Cs}	—
71.03.019 3rd Floor Asbestos Removal and Seismic Retrofit.....	—	200 ^{Ps}	\$7,331 ^{WDCs}
71.03.020 5th Floor Asbestos Removal and Seismic Retrofit.....	—	—	219 ^{Ps}
71.22 BUDGET PACKAGES			
71.22.010 Studies, Preplanning and Budget Packages	68 ^{Ss}	100 ^{Ss}	—
71.43 STOCKTON			
71.43.010 Field Office Replacement.....	—	40 ^{As}	—
This project will construct a 16,500 gsf facility to house a full service Field and Investigations Office.			
71.46 SAN YSIDRO			
71.46.010 Field Office Relocation ^B	—	3,400 ^{APs}	267 ^{WDs}
This project will construct a 15,000 gsf facility to house a Field and Occupational Licensing Office.			
71.53 SOUTH SACRAMENTO			
71.53.010 Field Office Replacement ^B	—	942 ^{As}	516 ^{PWs}
This project will construct a 13,725 gsf facility to house a full service Field Office.			
Totals, Major Projects.....	\$651	\$20,052	\$8,333
TOTALS, EXPENDITURES, CAPITAL OUTLAY	\$651	\$20,052	\$8,333
0042 State Highway Account, State Transportation Fund ^s	33	1,234	524
0044 Motor Vehicle Account, State Transportation Fund ^s	408	11,112	4,476
0064 Motor Vehicle License Fee Account Transportation Tax Fund ^s	210	7,706	3,333

RECONCILIATION WITH APPROPRIATIONS

3 CAPITAL OUTLAY

0042 State Highway Account, State Transportation Fund^s

APPROPRIATIONS			
301 Budget Act appropriation	\$1,132	\$344	\$524
Prior year balances available:			
Item 2740-301-0042/2000, Reapp by Item 2740-490/2001	—	944	—
Totals Available	\$1,132	\$1,288	\$524
Unexpended balance, estimated savings	-155	-54	—
Balance available in subsequent years	-944	—	—
TOTALS, EXPENDITURES	\$33	\$1,234	\$524

0044 Motor Vehicle Account, State Transportation Fund^s

APPROPRIATIONS			
301 Budget Act appropriation	\$9,751	\$3,029	\$4,476
Prior year balances available:			
Item 2740-301-0044/1998	836	—	—
Item 2740-301-0044/1999	444	386	—
Item 2740-301-0044/2000, Reapp by Item 2740-490/2001	—	8,046	—
Totals Available	\$11,031	\$11,461	\$4,476
Unexpended balance, estimated savings	-2,191	-349	—
Balance available in subsequent years	-8,432	—	—
TOTALS, EXPENDITURES	\$408	\$11,112	\$4,476

* Dollars in thousands, except in Salary Range.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

STATE BUILDING PROGRAM EXPENDITURES	Actual 2000-01*	Estimated 2001-02*	Proposed 2002-03*
0064 Motor Vehicle License Fee Account, Transportation Tax Fund^s			
APPROPRIATIONS			
301 Budget Act appropriation	\$7,190	\$2,182	\$3,333
Prior year balances available:			
Item 2740-301-0044/2000, Reapp by Item 2740-490/2001	-	5,993	-
Totals Available	\$7,190	\$8,175	\$3,333
Unexpended balance, estimated savings	-987	-469	-
Balance available in subsequent years	-5,993	-	-
TOTALS, EXPENDITURES	\$210	\$7,706	\$3,333
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay).....	\$651	\$20,052	\$8,333

2780 STEPHEN P. TEALE DATA CENTER

The Stephen P. Teale Data Center assists California state agencies in meeting their business objectives by providing a cost-effective range of quality information technology services and products.

SUMMARY OF PROGRAM REQUIREMENTS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
10 Service Bureau Operations	289.3	314.0	314.2	\$81,452	\$80,856	\$82,259
20 Executive and Administrative Operations	72.9	72.9	72.6	6,571	6,813	6,545
00 Lease Revenue Bond Payments.....	-	-	-	-	8	5,078
TOTALS, PROGRAMS.....	362.2	386.9	386.8	\$88,023	\$87,677	\$93,882
0683 Stephen P. Teale Data Center Revolving Fund.....				88,023	87,677	93,882

10 SERVICE BUREAU OPERATIONS

Program Objectives Statement

The Service Bureau ensures efficient use of Teale Data Center resources based on estimates of customer workload; provides information technology support to customer organizations; and is committed to providing Teale Data Center services and products to the managers and non-technical staff of customer departments.

Specific functions of the program are:

- (1) Data Center Services—Provides data processing services 24 hours a day, 7 days a week, including the Multiple Virtual Storage systems, direct access storage devices, and tape media. Provides installation and maintenance of software and hardware ensuring system reliability, availability and serviceability. Provides customer support in the efficient use of the various platforms with specific attention to the satisfaction of Teale's customers.
- (2) Enterprise Systems—Assists departments with building information technology systems that support and improve business processes. Applies new and emerging technologies, provides support for strategic databases, provides Virtual Memory and UNIX hardware/software support, provides geographic information system services, and supports customer needs for client/server and Personal Computer/Local Area Network services.
- (3) Network Systems—Provides support for Teale's statewide telecommunications network (CSGnet), information security and operational recovery functions. Avails state agencies of products, services and support to enable the protection of information stored at Teale and accessed by state and other government employees, private sector businesses, and the public.
- (4) Customer Relations/Marketing—Facilitates the resolution of complex business problems of Teale's customers and provides education regarding data center service offerings and new technologies. Acts as the principal liaison for customer concerns, communicates customers' business needs, and generates new business through education and marketing. Provides project management services.

Major Budget Adjustment Proposed in 2001-02:

- A decrease of \$589,000 to reflect the transfer of responsibility for maintenance of the Geographic Information System (GIS) to the California Geographic Information Officer in the Resources Agency. Includes the redirection of 3.0 positions to other critical areas within the department.

Major Budget Adjustments Proposed for 2002-03:

- A decrease of \$515,000 to reflect the transfer of responsibility for maintenance of the Geographic Information System (GIS) to the California Geographic Information Officer. Includes the redirection of 3.0 positions to other critical areas within the department and the conversion to permanent status of a limited-term position.
- An increase of \$4,056,000 to acquire additional mid-range and internet web application server systems and overtime to meet increased customer use of client/server platforms.
- An increase of \$377,000 to acquire additional direct access storage device equipment to provide capacity required due to new and increased customer workload.
- A decrease of \$3,275,000 to align the budget for previously approved projects with actual costs.

Authority

Government Code Sections 11752, 11754, 13975.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands, except in Salary Range.

2780 STEPHEN P. TEALE DATA CENTER—Continued

20 EXECUTIVE AND ADMINISTRATIVE OPERATIONS

Program Objectives Statement

This program provides executive and administrative support to the Service Bureau to ensure the smooth operation of the line functions. Services include: procurement, contract administration, budget, customer billing, training, personnel, legal guidance, facility management, fiscal and accounting, and general executive and administrative management services.

Authority

Government Code Sections 11752, 11754, 13975.

00 LEASE REVENUE BOND PAYMENTS

Program Objectives Statement

This program provides funding pursuant to Chapter 293, Statutes of 1999, for the purchase of the Data Center's facility. The State Public Works Board will issue revenue bonds to finance the acquisition of the facility. Debt service repayment will be made through Item 2780-003-0683.

Authority

Government Code Section 14669.14.

SUMMARY BY OBJECT

1 STATE OPERATIONS

	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	362.2	401.0	400.0	\$22,714	\$25,395	\$25,670
Total Adjustments.....	-	-	1.0	-	-182	-117
Estimated Salary Savings.....	-	-14.1	-14.2	-	-963	-1,031
Net Totals, Salaries and Wages.....	362.2	386.9	386.8	\$22,714	\$24,250	\$24,522
Staff Benefits.....	-	-	-	3,710	4,395	4,120
Totals, Personal Services.....	362.2	386.9	386.8	\$26,424	\$28,645	\$28,642
OPERATING EXPENSES AND EQUIPMENT.....				\$61,599	\$59,024	\$60,162
CAPITAL COSTS						
Building Lease/Purchase.....				-	8	5,078
TOTALS, EXPENDITURES.....				\$88,023	\$87,677	\$93,882

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0683 Stephen P. Teale Data Center Revolving Fund ⁿ

	2000-01*	2001-02*	2002-03*
APPROPRIATIONS			
001 Budget Act appropriation.....	\$89,212	\$87,434	\$88,804
Allocation for employee compensation.....	152	-	-
Adjustment per Section 3.60.....	-295	882	-
Adjustment per Section 4.00.....	-	-58	-
Revised expenditure authority per Provision 1.....	1,148	-	-
003 Budget Act appropriation.....	-	1,828	5,078
Totals Available.....	\$90,217	\$90,086	\$93,882
Unexpended balance, estimated savings.....	-2,194	-2,409	-
TOTALS, EXPENDITURES.....	\$88,023	\$87,677	\$93,882
TOTALS, EXPENDITURES, ALL FUNDS (State Operations).....	\$88,023	\$87,677	\$93,882

FUND CONDITION STATEMENT

0683 Stephen P. Teale Data Center Revolving Fund ⁿ

	2000-01*	2001-02*	2002-03*
BEGINNING BALANCE.....	\$16,948	\$30,493	\$34,316
Prior year adjustments.....	8,147	-	-
Balance, Adjusted.....	\$25,095	\$30,493	\$34,316

* Dollars in thousands, except in Salary Range.

2780 STEPHEN P. TEALE DATA CENTER—Continued

1							
2							
3							
4	REVENUES AND TRANSFERS						
5	Operating Revenues:						
6	299000 Other:				2000-01*	2001-02*	2002-03*
7	Miscellaneous income				\$518	\$500	\$500
8	Income from operations ¹				92,903	91,000	84,000
9							
10	Totals, Operating Revenues				\$93,421	\$91,500	\$84,500
11							
12	Totals, Resources				\$118,516	\$121,993	\$118,816
13	EXPENDITURES						
14	Disbursements:						
15	2780 Stephen P. Teale Data Center (State Operations)				88,023	87,677	93,882
16							
17	FUND BALANCE.....				\$30,493	\$34,316	\$24,934

¹ 2001-2002 and 2002-2003 Income from operations reflects an expected rate reduction.

23							
24							
25	CHANGES IN						
26	AUTHORIZED POSITIONS	00-01	01-02	02-03	2000-01*	2001-02*	2002-03*
27	Totals, Authorized Positions	362.2	401.0	400.0	\$22,714	\$25,395	\$25,670
28	Workload and Administrative Adjustments:						
29	Positions Established:				Salary Range		
30	Sys Software Spec I-Tech	-	-	1.0	4,506-5,479	-	65
31	Overtime	-	-	-	-	-182	-182
32							
33	Totals, Workload and Administrative						
34	Adjustments	-	-	1.0	-	-\$182	-\$117
35							
36	Total Adjustments	-	-	1.0	-	-\$182	-\$117
37							
38	TOTALS, SALARIES AND WAGES	362.2	401.0	401.0	\$22,714	\$25,213	\$25,553

* Dollars in thousands, except in Salary Range.