

California Department of Transportation  
Office of Business and Economic  
Opportunity (OBEO)

Data Collection and Reporting

Presenter: Angela Shell, Assistant Director

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# Today's Presentation

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- ✓ Overview of the OBEO's data collection and reporting efforts.
- ✓ Understanding how the OBEO uses data to monitor and evaluate its Small Business Program.
- ✓ A rundown of reports produced by the OBEO.

# Policy & Reports Branch

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- ✓ Data collection: Small Business (SB), Disabled Veteran Business Enterprise (DVBE), and Disadvantaged Business Enterprise (DBE) programs.
- ✓ Customized reports for internal and external stakeholders.
- ✓ Strategies for maximizing SB, DVBE, and DBE participation.

# Data Evaluation Process

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## COMMITMENT AT AWARD

- ✓ Evaluation of bid documents.
- ✓ Monthly project delivery reports of awarded contracts.

## UTILIZATION

- ✓ Monthly reports of completed contracts.
- ✓ Review of supplemental documents.

# Data Sources

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- ✓ Bid Preference Forms
- ✓ Certified DBE/DVBE Summary
- ✓ Subcontracting Request
- ✓ Monthly DBE Trucking Report
- ✓ Small Business Participation Report
- ✓ Final Report - Utilization of DBE/DVBE

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**CERTIFIED DVBE SUMMARY**  
 DECS-05-0102.5 (REV. 3/2008)

# Low Bidder

04-24-10702-39 RCVB

DISTRICT-COUNTY-ROUTE 04 CC - 4,24,80,160,242,480 Var  
 CONTRACT NO: 04-3E4604  
 TOTAL BID: \$787,555.<sup>00</sup>  
 BID OPENING DATE: 1/22/14  
 BIDDERS NAME: ACC West Coast, Inc.  
 DVBE PRIME CONTRACTOR CERTIFICATION: N/A

Bid Item Number	Description of Work to Be Subcontracted to DVBE or Materials to Be Supplied by DVBE <sup>1</sup>	For Caltrans Only	DVBE (Name, Telephone No., and Certification No.)	\$ Amount
2 3, Partial ✓	Construction Area Signs Traffic Control System (Partial) (8 Shifts @ \$1,556/Shift)	DVBE 1/30/14	Titan DVBE Ph: 530-246-7836 Cert No. 1249460	\$16,900.00 \$12,448.00
4, Partial 6 11	Rent PCMS Boards (2 Ea x 3 Mo.) Prepare WPCP Public Safety Plan & Air Monitoring	DVBE 2/28/14	Global Environmental Network, Inc. Ph: 714-479-1199 Cert No. 24765	\$7,050.00 \$675.00 \$9,170.00
<b>Total Claimed Participation</b>				\$ 46,243.00 ✓ 5.87% ✓ %

Names of first tier DVBE subcontractors, and their items of work listed must be consistent with the names and items of work in the Subcontractor List (Pub. Conf. Code § 4100 et seq.) submitted with the bid. Identify second and lower tier subcontractors on this form.

- DVBE prime contractors must enter their DVBE reference number or their CBA name as listed with Department of General Services (DVBE prime contractors are certified with 100 percent DVBE participation and must not complete this section below).
- If 100% of an item is not performed or supplied by the DVBE, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

Submit to:

MSC 43  
 OFFICE ENGINEER  
 DEPARTMENT OF TRANSPORTATION  
 1727 30TH STREET  
 SACRAMENTO, CA 95816-7005

  
 Jennifer Rosenkowsky  
 Contract Review (Type of Print)  
 1/22/14 Date

APPROVED / MET GOAL

ADA Notice: This document will identify disabilities. This document is available in alternate formats. For information call (916) 654-2928 or TDD (916) 654-3880 or visit Records and Public Management, 1120 N Street, 5th-FB Sacramento, CA 95814.

CONTRACT EVALUATION MANAGER

DATE: 2-6-14

Contract No. 04-3E4604

DC

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTING REQUEST**  
 CEN-120 (REV. 8/2010)



This area includes all first-tier subcontractors.

This is where a large volume of SB participation occurs on Caltrans contracts.

CONTRACTOR NAME DSS Company dba KNLBE RIVER CONSTRUCTION		COUNTY Stanislaus	ROUTE 33
BUSINESS ADDRESS 655 W. Clay St. Stockton, CA		CONTRACT NUMBER 10-CY2604	
CITY AND STATE	ZIP CODE 95206	FEDERAL AID PROJECT NUMBER (from special provisions) N/A	

SUBCONTRACTORS (Name, Business Address, Phone)	SIC ITEM NUMBER(S)	PERCENTAGE OF BID ITEM SUBCONTRACTED	CHECK F. (Box Categories Below)			DESCRIBE WORK WHEN LESS THAN 100% OF WORK IS SUBCONTRACTED	DOLLAR AMOUNT BASED ON BID A&S AMOUNT
			1	2	3		
Chrip Company, 1061 Stokes Ave., Stockton, CA 95215 (209) 948-2175	3 (part.)	.9		X		Traffic Control for Sub Performed Work	\$2,353.00
Chrip Company, 1061 Stokes Ave., Stockton, CA 95215 (209) 948-2175	17, 18, 19	100		X			\$24,030.00
Sambano Traffic Control Inc., 10957 Collings Rd., Wilton, CA 95693 (916) 687-5472	3 (part.)	12			X	Flagging	\$30,220.00
Caughlin Company, 809 E. Commerce Dr., St. George, UT 84790 (435) 634-1266	9 (part.)	68.4		X		CTR	\$147,537.00
Caughlin Company, 809 E. Commerce Dr., St. George, UT 84790 (435) 634-1266	10, 11	100		X			\$269,390.00

Categories: 1 Specialty 2 Listed Under Fair Practices Act 3 Certified DBE/DBE/DVBE

Locally Made:

- The Standard Specifications for Items set forth in the contract apply to the subcontracted work.
- If applicable, (Federal-aid projects only) Section 14 (Federal requirements) of the special provisions has been inserted in the Subcontracting Request to be incorporated in any lower tier subcontracts. Written contracts have been executed for the subcontracted work, where applicable.

CONTRACTOR'S SIGNATURE: *[Signature]* DATE: 4/23/14

This section is to be completed by the resident engineer.

1. Total bid items	\$ 1,420,431.00
2. Specialty items previously approved (if applicable, see Note in the Instructions)	\$ 0
3. Specialty items from this request (if applicable, see Note in the Instructions)	\$ 0
4. Total (lines 2 + 3)	\$ 0
5. Contractor must perform with own forces (lines 1 minus 4) x 30 %	\$ 426,129.30
6. Bid items previously subcontracted	\$ 0
7. Bid items subcontracted (if required)	\$ 473,540
8. Total (lines 5 + 7)	\$ 473,540
9. Balance of work contract to perform (line 1 min. 8)	\$ 946,891.00

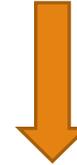
APPROVED:

RESIDENT ENGINEER'S SIGNATURE: *[Signature]* DATE: 4/23/14

# DBE Participation – Summary of Bid Commitment

**CALTRANS**  
Major and Minor A  
Construction Contracts

DISTRICT 01  
AWARD TRACKER by District — FEBRUARY 2015



Contract Number	Award Date	Dist/Co/Rte/PM	Description of Work	Bid Open Date	Fed Aid	Bond	Contractor	Awarded Amt \$	Subcont UDBE/DVBE Goal	Cert	Subcontract Amt (\$ Cert)	Ethnic Group	Const Type
01-0C3504	2/12/2015	01-Lak-29-R34.4/R40.0	Cold Plane Ac, Apply Crack Treatment And Place Rubberized Hma Overlay.	11/13/201	X		GHILOTTI BROS., INC.	3,839,414.00	AUSTIN ENTERPRISES R&S TRUCKING, INC FRANK MEDINA GENERAL A C DIKE CO		47,364.00 138,000.00 59,240.00 28,120.00	H F C F H F C F	2 4 7 2
									DBE: 7%	7.1%	\$272,724.00		
								Average DBE	7.0%	7.1%			
								Average DVBE					
							<b>Total for District 01</b>	<b>\$3,839,414.00</b>		<b>4</b>	<b>\$272,724.00</b>		

# DVBE Participation – Summary of Bid Commitment

**CALTRANS**  
Major and Minor A  
Construction Contracts

DISTRICT 03  
AWARD TRACKER by District — FEBRUARY 2015

Contract Number	Award Date	Dist/Co/Rte/PM	Description of Work	Bid Open Date	Fed Aid	Bond	Contractor	Awarded Amt \$	Subcont UDBE/DVBE Goal	Subcontract Amt (\$ Cert)	Ethnic Group	Const Type
03-4F6704	2/10/2015	03-Nev-49-3.4	Construct Right Turn Lane.	1/22/2015			AMERICA PACIFIC CONSTRUCTION	174,426.00	SAMBRANO TRAFFIC	9,850.00	DV	5
									DVBE: 5%	5.6%	\$9,850.00	
03-4M7204	2/4/2015	03-Sac,Yol-50-VAR	Rehabilitate Bridge Decks	1/22/2015			C.C. MYERS, INC.	1,155,555.00	USC SUPPLY WELLS SWEEPING	35,119.59 2,480.00	DV DV	7 1
									DVBE: 3%	3.3%	\$37,599.59	
03-4M8604	2/11/2015	03-Nev-80-15.0/16.4	Replace Damaged Fence	1/22/2015			ARROW FENCING	218,505.00	GLOBAL ENVIRONMENTAL	11,355.00	DV	7
									DVBE: 5%	5.2%	\$11,355.00	
								Average DBE				
								Average DVBE	4.3%	4.7%		
							<b>Total for District 03</b>	<b>\$1,548,486.00</b>	<b>4</b>	<b>\$58,804.59</b>		



# Quality Assurance/Quality Control

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- ✓ Identifying and resolving discrepancies.
- ✓ Missing documents from Resident Engineers and contract managers.
- ✓ Technical assistance.

# State-Funded Contract Award Report

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- ✓ Identifies DVBEs by district, division, and contract type.
- ✓ Serves as a tool to monitor Caltrans' overall annual DVBE participation.
- ✓ Provides a baseline for evaluating DVBE utilization throughout the life of a contract.

CONTRACT #	CONTRACTOR/CONSULTANT	AWARDED AMOUNT	DVBE COMMIT AMOUNT	ADVERTISED GOAL	% DVBE COMM	DVBE PRIME	SB PRIME
<b>AWARDED CONTRACTS</b>							
<b>COMBINED MONTHLY TOTAL</b>							
35		\$37,418,765.93	\$1,495,105.25		4.00%	3	12
<b>COMBINED STATE FISCAL YEAR TO DATE</b>							
144		\$179,044,694.55	\$13,092,092.51		7.31%	5	66
<b>OE MAJOR CONSTRUCTION</b> ☑							
02-4G9804	ROCKY MOUNTAIN CONSTRUCTION,	\$1,344,134.34	\$62,160.00	3.00%	4.62%		
04-3E4204	G. BORTOLOTTO & COMPANY, INC.	\$1,076,805.39	\$65,258.55	3.00%	6.06%		
05-1C8004	SOUZA CONSTRUCTION INC	\$277,166.00	\$19,265.00	5.00%	6.95%		
06-0P0704	GRANITE CONSTRUCTION COMPANY	\$282,444.00	\$14,795.00	5.00%	5.24%		
07-2W7704	WESTERN STRUCTURES	\$373,937.00	\$373,937.00	5.00%	100.00%	X	
08-0P8204	JABRE CONTRACTING INC.	\$371,975.00	\$18,800.00	5.00%	5.05%		X
11-241514	HAZARD CONSTRUCTION COMPANY	\$8,084,176.00	\$595,762.30	0.00%	7.37%		
12-0N2404	V A P CONSTRUCTION INC	\$285,575.00	\$14,500.00	5.00%	5.08%		X
<b>MONTHLY TOTAL</b>							
8		\$12,096,212.73	\$1,164,477.85		9.63%	1	2
<b>STATE FISCAL YEAR TO DATE</b>							
31		\$73,017,937.20	\$3,427,171.11		4.69%	1	11
<b>DPAC - A &amp; E</b>							
<b>MONTHLY TOTAL</b>							
0		\$0.00	\$0.00		0.00%	0	0
<b>STATE FISCAL YEAR TO DATE</b>							
8		\$47,250,000.00	\$9,031,025.00		19.11%	1	1
<b>DPAC - MINOR B CONSTRUCTION</b>							
05A1743	ROBERT J. FRANK CONSTRUCTION	\$230,433.00	\$0.00	0.00%	0.00%		X
05A1746	BROUGH CONSTRUCTION, INC	\$141,163.00	\$0.00	0.00%	0.00%		X
08A2442	PELAGIC ENGINEERING	\$18,301.00	\$8,591.40	5.00%	46.94%		X
08A2458	TRAFFIC DEVELOPMENT SERVICES	\$85,059.00	\$85,059.00	5.00%	100.00%	X	
10A1681	SAFETY STRIPING SERVICE, INC	\$197,420.20	\$197,420.20	5.00%	100.00%	X	
12A1566	TRUESDELL CORP OF CA	\$177,177.00	\$39,556.80	5.00%	22.33%		
<b>MONTHLY TOTAL</b>							
6		\$849,553.20	\$330,627.40		38.92%	2	3
<b>STATE FISCAL YEAR TO DATE</b>							
21		\$3,059,599.35	\$607,586.40		19.86%	3	15
<b>DPAC - EMERGENCY FORCES ACCOUNTS</b>							
01A1527	GRANITE CONSTRUCTION COMPANY	5,000,000.00	\$0.00	0.00%	0.00%		
01A1528	MENDOCINO CONSTRUCTION SERVICE	1,950,000.00	\$0.00	0.00%	0.00%		X
01A1529	DESILVA GATES CONSTRUCTION L.P.	5,500,000.00	\$0.00	0.00%	0.00%		
01A1531	DESILVA GATES CONSTRUCTION L.P.	1,200,000.00	\$0.00	0.00%	0.00%		
02A1418	DONALD F. GALLINO, INC.	250,000.00	\$0.00	0.00%	0.00%		
03A2270	NEMETZ CONSTRUCTION	400,000.00	\$0.00	0.00%	0.00%		
04A4610	PIAZZA CONSTRUCTION	100,000.00	\$0.00	0.00%	0.00%		X
04A4615	NORCAL GENERAL CONSTRUCTION	281,000.00	\$0.00	0.00%	0.00%		X
04A4617	GRANITE ROCK COMPANY	500,000.00	\$0.00	0.00%	0.00%		
04A4624	GHILOTTI CONSTRUCTION COMPANY	2,500,000.00	\$0.00	0.00%	0.00%		
04A4636	DESILVA GATES CONSTRUCTION L.P.	750,000.00	\$0.00	0.00%	0.00%		
05A1755	LASH CONSTRUCTION, INC	60,000.00	\$0.00	0.00%	0.00%		
05A1757	JOHN MADONNA CONSTRUCTION	1,000,000.00	\$0.00	0.00%	0.00%		X
06A2024	STOCKBRIDE GENERAL CONTRACTING	132,000.00	\$0.00	0.00%	0.00%		
06A2030	ESLICK CONSTRUCTION INC	80,000.00	\$0.00	0.00%	0.00%		X
07A3749	AUTOBAHN CONSTRUCTION, INC	460,000.00	\$0.00	0.00%	0.00%		X
07A3767	UNION ENGINEERING COMPANY, INC	2,000,000.00	\$0.00	0.00%	0.00%		X
07A3770	PETERSON CHASE GENERAL ENG	1,500,000.00	\$0.00	0.00%	0.00%		
07A3775	POWELL CONSTRUCTORS	250,000.00	\$0.00	0.00%	0.00%		
11A2281	S & B ENGINEERING, INC	60,000.00	\$0.00	0.00%	0.00%		
12A1579	J. MCLOUGHLIN ENGINEERING CO., INC.	500,000.00	\$0.00	0.00%	0.00%		

# Federal Reports

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- ✓ Monthly Contract Award Report
- ✓ Commitment at Award Report
- ✓ DBEs Utilized Report
- ✓ Uniform Report

CALIFORNIA DEPARTMENT OF TRANSPORTATION  
OFFICE OF BUSINESS AND ECONOMIC OPPORTUNITY MONTHLY CONTRACT AWARD REPORT  
DBE COMMITMENT ON FEDERAL-AID PROJECTS  
OCTOBER 1, 2014 - JANUARY 31, 2015

Divisions	Contracts YTD	Amount	\$ Contract Goal	Avg Goal	\$ DBE Commitment	\$ into RC	\$ into RN	YTD Comm DIV	DBE Primes YTD
YTD OE	105	\$817,529,757.64	\$63,676,154.39	8.74%	\$81,347,679.32	\$59,142,770.79	\$22,204,908.53	9.95%	6
YTD DPAC	11	\$42,729,973.00	\$4,428,989.19	11.09%	\$5,212,249.00	\$4,428,989.19	\$783,259.81	12.20%	0
YTD Local Assistance	77	\$157,451,096.31	\$11,788,685.73	5.60%	\$23,294,501.72	\$11,014,768.78	\$12,279,732.94	14.79%	9
Total	193	\$1,017,710,826.95	\$79,893,829.31	8.48%	\$109,854,430.04	\$74,586,528.76	\$35,267,901.28	10.79%	15

**DISTRICT CONTRACTS AWARDED - FEDERAL AID CONSTRUCTION**  
**YTD: 10/1/14 - 12/31/14**

District	Contracts	DBE Primes	Contract Award \$	Contract Goal \$	DBE Commitment \$	RC	RN	Awarded by GFE	Prime Met Advertised Goal	Prime Exceeded Advertised Goal by Less Than 1%	Prime Exceeded Advertised Goal by More Than 1%
D1	5	0	\$ 25,369,129.50	\$ 1,733,072.61	\$ 2,299,909.50	\$ 1,733,072.61	\$ 566,836.90	\$ -	\$ -	\$ -	\$ 25,369,129.50
				6.83%	9.07%	6.83%	2.23%	0	0	0	5
D2	5	0	\$ 40,541,535.00	\$ 4,256,513.71	\$ 4,645,318.85	\$ 4,256,513.71	\$ 388,805.14	\$ -	\$ -	\$ 27,173,164.00	\$ 13,368,371.00
				10.50%	11.46%	10.50%	0.96%	0	0	1	4
D3	12	1	\$ 36,264,183.41	\$ 2,594,926.36	\$ 3,626,657.15	\$ 2,523,869.62	\$ 1,102,787.53	\$ 8,575,513.88	\$ -	\$ 14,911,790.73	\$ 12,776,878.80
				7.16%	10.00%	6.96%	3.04%	2	0	5	5
D4	12	0	\$ 34,147,868.33	\$ 2,821,378.33	\$ 3,484,813.59	\$ 2,732,056.18	\$ 752,757.41	\$ 585,148.00	\$ -	\$ 22,161,304.95	\$ 11,401,415.38
				8.26%	10.21%	8.00%	2.20%	1	0	5	6
D5	6	1	\$ 23,857,425.40	\$ 1,830,922.36	\$ 2,264,329.30	\$ 1,776,851.99	\$ 487,477.31	\$ -	\$ -	\$ 22,507,915.65	\$ 1,349,509.75
				7.67%	9.49%	7.45%	2.04%	0	0	4	2
D6	12	0	\$ 87,194,671.35	\$ 5,606,673.50	\$ 7,479,703.66	\$ 5,571,919.97	\$ 1,907,783.69	\$ 1,644,162.75	\$ -	\$ 23,017,942.00	\$ 62,532,566.60
				6.43%	8.58%	6.39%	2.19%	1	0	5	6
D7	12	1	\$ 101,289,687.49	\$ 7,689,217.72	\$ 10,990,525.66	\$ 7,558,919.16	\$ 3,431,606.50	\$ 2,323,271.39	\$ -	\$ 10,644,020.11	\$ 88,322,395.99
				7.59%	10.85%	7.46%	3.39%	2	0	3	7
D8	6	0	\$ 174,693,954.50	\$ 13,729,780.14	\$ 15,770,320.76	\$ 13,729,780.14	\$ 2,040,540.62	\$ -	\$ -	\$ 139,818,653.50	\$ 34,875,301.00
				7.86%	9.03%	7.86%	1.17%	0	0	4	2
D9	0	0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
				#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	0	0	0	0
D10	7	1	\$ 66,080,972.88	\$ 4,775,182.11	\$ 8,612,926.64	\$ 4,636,245.40	\$ 3,976,681.24	\$ -	\$ -	\$ 24,619,176.52	\$ 41,461,796.36
				7.23%	13.03%	7.02%	6.02%	0	0	3	4
D11	7	1	\$ 101,947,707.64	\$ 8,693,592.42	\$ 10,146,024.35	\$ 5,434,761.80	\$ 4,711,262.55	\$ 60,930,519.00	\$ -	\$ 9,099,685.68	\$ 31,917,502.96
				8.53%	9.95%	5.33%	4.62%	1	0	1	5
D12	4	0	\$ 56,217,377.74	\$ 4,091,566.45	\$ 4,893,417.65	\$ 4,091,566.45	\$ 801,851.20	\$ -	\$ -	\$ 52,407,994.84	\$ 3,809,382.90
				7.28%	8.70%	7.28%	1.43%	0	0	2	2
<b>TOTALS BY DISTRICT</b>	<b>88</b>	<b>5</b>	<b>\$ 747,604,513.24</b>	<b>\$ 57,822,825.70</b>	<b>\$ 74,213,947.11</b>	<b>\$ 54,045,557.02</b>	<b>\$ 20,168,390.09</b>	<b>\$ 74,058,615.02</b>	<b>\$ -</b>	<b>\$ 346,361,647.98</b>	<b>\$ 327,184,250.24</b>
				7.73%	9.93%	7.23%	2.70%	7	0	33	48

DBE=DBE Primes      RC=Race-Conscious      RN=Race-Neutral      GFE= Good Faith Effort



DBEs Utilized in Architectural & Engineering between October 1, 20

Hispanic American	M/F	\$	Contract	Prime	Districts	Native American	M/F	\$	Contract	Prime	Districts
Gonzales Surveys	F	\$ 79,746.39	1	1	6						
Coreprobe International	M	\$ 2,667.01	1	1	12						
Advanced Technology Lab.	M	\$ 1,544.76	1	1	12						
Garcia and Associates	M	\$ 35,948.00	1	1	8	African American	M/F	\$	Contract	Prime	Districts
						The Robert Group	M	\$ 37,703.00	1	1	7
Sub Cont Asian	M/F	\$	Contract	Prime	Districts	Asian Pacific	M/F	\$	Contract	Prime	Districts
S2 Engineering	M	\$5,850,947.35	2	2	7	WRECO	M	\$ 1,237,523.00	1	1	4
						CE2	M	\$ 88,379.00	1	1	4
						Axiom	M	\$ 95,881.00	1	1	8
						Analyzer International	M	\$ 265,383.99	1	1	7

Groups	Total firms	C		\$
Hispanic American Male	3	3	11.54%	\$ 5,891,107.12
Hispanic American Female	1	1	3.85%	\$ 79,746.39
African American Male	1	1	3.85%	\$ 37,703.00
African American Female	0	0	0.00%	\$ -
Asian Pacific Male	4	4	15.38%	\$ 1,687,166.99
Asian Pacific Female	0	0	0.00%	\$ -
Subcont Asian Male	1	2	7.69%	\$ 5,850,947.35
Subcont Asian Female	0	0	0.00%	\$ -
Native American Male	0	0	0.00%	\$ -
Native American Female	0	0	0.00%	\$ -
Women	10	15	57.69%	\$ 13,103,792.23
Contract		26		\$ 26,650,463.08
<b>Total prime contracts closed in FFY13-14</b>			19	

- Yellow= DBE's
- Blue= Prime Consultant
- BOLD = women
- Italic = DBE firm as Prime
- C = contracts
- Based on information provided

# OBEO Data Management Contacts

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SB/DVBE: Alicia Pearsall, [alicia.pearsall@dot.ca.gov](mailto:alicia.pearsall@dot.ca.gov), (916) 324-0722

DBE: Angela Frank, [angela.frank@dot.ca.gov](mailto:angela.frank@dot.ca.gov), (916) 445-0442

On the web: [www.dot.ca.gov/hq/bep](http://www.dot.ca.gov/hq/bep)

